

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
7 June 2007 (07.06.2007)

PCT

(10) International Publication Number  
WO 2007/065024 A2

- (51) International Patent Classification:  
A61K 36/18 (2006.01)
- (21) International Application Number:  
PCT/US2006/046320
- (22) International Filing Date:  
4 December 2006 (04.12.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/741,774 2 December 2005 (02.12.2005) US
- (71) Applicant (for all designated States except US): HEALTH  
ENHANCEMENT PRODUCTS, INC. [US/US]; 2600  
EAST 5TH STREET, SUITE 101, Tempe, AZ 85281 (US).

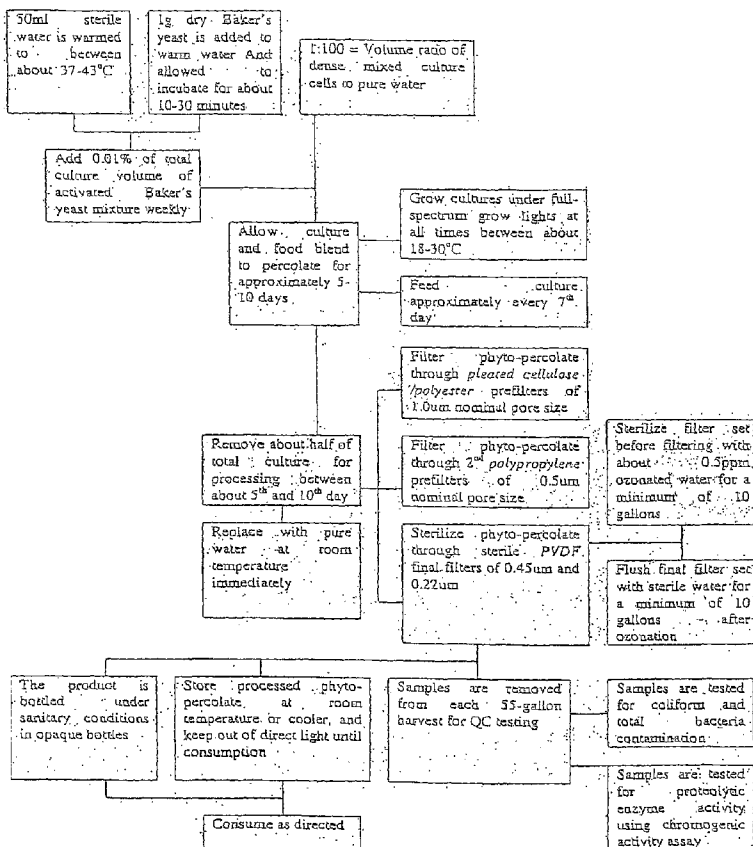
- (74) Agents: SERIO, John, C. et al.; BROWN RUDNICK  
BERLACK ISRAELS LLP, ONE FINANCIAL CENTER,  
BOX IP, Boston, MA 02111 (US).
- (81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS,  
JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS,  
LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY,  
MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS,  
RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN,  
TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (72) Inventor; and
- (75) Inventor/Applicant (for US only): THOMAS, Tiffany  
[US/US]; 8531 E. ROVEY AVENUE, Scottsdale, AZ  
85250 (US).

- (84) Designated States (unless otherwise indicated, for every  
kind of regional protection available): ARIPO (BW, GH,  
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,  
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,  
FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT,  
RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA,  
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: COMPOSITION AND USE OF PHYTO-PERCOLATE FOR TREATMENT OF DISEASE



(57) Abstract: This invention relates generally to a method of preparation of a phyto-percolate that is derived from fresh water mixture including algae. The phyto-percolate is believed to contain an enzyme having proteolytic activity. The invention further relates to the use of the phyto-percolate in a variety of disease states.

WO 2007/065024 A2



**Published:**

— *without international search report and to be republished upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## COMPOSITION AND USE OF PHYTO-PERCOLATE FOR TREATMENT OF DISEASE

### FIELD OF INVENTION

5 This invention relates generally to methods and compositions for treating human diseases, disorders, and conditions using a preparation of a phyto-percolate isolated from a complex mixture of fresh water algae and other microorganisms.

### BACKGROUND OF INVENTION

10 Enzymes have a very important use within biochemical cycles in the human body. The majority of acute and chronic diseases create an inflammatory process that results in the destruction of surrounding tissue. This tissue debris becomes toxic and further hinders the processes of detoxification, elimination and defense by way of free radical oxidation. Proteolytic enzymes are responsible for the body's detoxification processes. As humans  
15 age and chronic disease processes progress, a deficiency of the proteolytic enzymes that carry out the body's waste detoxification processes may be experienced. This enzymatic deficiency aids in the production of a chronic hyper-inflammatory state, and the disease process becomes much more complex.

Enzymes are the catalysts that control and direct all metabolic processes. Without  
20 adequate enzymes in the body, chaos reigns and the immune system and other metabolic processes become less efficient, making tissue repair slow and poorly replicated. Proteolytic enzymes, or proteases, are enzymes capable of breaking down proteins by cleaving peptide bonds. They are produced and utilized by every living organism on Earth for protection, nutrient breakdown and assimilation, and waste removal. Many  
25 degenerative diseases stem from proteolytic enzyme deficiencies, leading to the inadequate removal of carcinogenic wastes from the body.

It is believed that the immune system, which helps protect us from diseases including cancer, cardiovascular disease, and other immune deficient or deregulated disorders, can become ineffective because of advanced disease state or age. Immune  
30 deficiency caused by disease state or advancing age can impair benefits received from the use of therapeutic drugs that may be taken for the treatment of these various disorders. Therapeutic drugs may lose their effectiveness in a compromised immune system as a disease state progresses due to metabolic dysfunction or poor therapeutic drug assimilation.

With advancing age, humans experience an increasing accumulation of environmental influences that are believed to have toxic effects on the human body. An observed effect associated with aging is a less accurate tissue repair process, possibly an expression of DNA mutations caused by environmental factors. Because of these alterations, foreign antigens in the way of microbes, and environmental toxins such as radiation and chemical compounds through foods, water, and air, are allowed to increasingly invade the human organism. These environmental toxins are introduced primarily through the mucous membranes of the intestinal tract, upper respiratory tracts and lungs.

10 Human genes, which are made up of double-strands of DNA, are the directors of tissue repair. It is believed that through advancing age and contact with the surrounding destructive elements, the expression of such DNA may become less and less accurate because of replication errors and mutations, thus creating very different functional end products of repair when compared to a younger individual.

15 Impaired immune protection and regulation, it is believed, allows an increasing amount of toxic environmental components to invade the cells of our bodies. These toxic components express destructive patterns of oxidation by way of free radical activity, thus rendering important metabolic processes to function inadequately. Because of biochemical cellular destruction, dead, fractionated cellular components are created, adding to the toxic manifestations. White cells, which are an important part of the immune system, congregate at the sites of tissue destruction in an effort to slow the process down. A chemical reaction that takes place at the site causes inflammation that further increases the destructive pattern. This pattern of tissue destruction, secondary to foreign antigen invasion and the associated white cell activity, can create an ongoing autoimmune hyperactive inflammatory state and an increasing amount of toxic tissue destruction and debris. Because of the increased inefficiency of tissue repair and the ever presence of surrounding environmental influences, human metabolic processes become less and less efficient with age.

25 The inner lining of the blood vessels, particularly the arteries, can be affected by this destructive pattern. Because many environmental contaminants are introduced into human bodies through the intestinal tract and lungs, they spread through the body by way of the vascular bed, thus coming first in contact with the inner lining of the blood vessels.

This ongoing contact in the inner lining of the arteries with toxic free radicals results in the destructive oxidative process. This maintains an ongoing inflammatory state that includes cell break down and scar tissue formation in the form of sclerotic plaques. These plaques are made up of fibrous tissue, cholesterol, calcium deposits and necrotic tissue (broken  
5 down cellular components). Increasing arterial restriction and blood thickening due to pathological fibrin diminishes blood flow and alters oxygen and nutrient distribution to vital organs. This gradually increasing cellular starvation affects the functions of the brain, heart, kidneys, muscles, joints and other vital systems.

It is believed that accelerated DNA mutations and errors in replication, increased  
10 oxidation, inflammation, dysregulated white cell activity, and tissue destruction are the results of a gradual progression of contact with environmental forces, including pathogenic microbials. The amount of contact depends on lifestyle and individual health care. Some illnesses either originate from excessive free radical oxidation destruction at the body's cellular level, or cause a great increase in free radical oxidation destruction. Therefore,  
15 when the body's own metabolic and healing processes are unable to cope with the excess of toxic waste products, a cycle of ongoing inflammation and disease is created that interferes with the body's normal immune activity and tissue repair. Tissue destruction also activates the body's coagulation, or blood-clotting, mechanism, generating a barrage of intra-vascular thrombi, or blood clots, and blood-thickening fibrin, that can precipitate  
20 strokes, heart attacks, pulmonary emboli, kidney damage, and phlebitis.

Oxidative free radical activity becomes rampant because of the action of the involving white cells attempting to control the initial cause of the destruction. The resulting pathological agents secondary to this influence of white cell activity create an ongoing destructive pattern upon local surrounding tissue, the endothelial cells that line the vascular  
25 bed, and the epithelial cells lining the intestinal tract. Not only is there destructive activity upon the above-mentioned tissues but also there is oxidative breakdown or pathological activation of the coagulation factors. This includes pathologically activated fibrinogen to produce a soluble fibrin that, unlike insoluble fibrin, which is an important component of the normal blood-clotting mechanism, cannot be cross-linked and is pathological, or  
30 harmful to the body. This soluble fibrin not only negatively influences general capillary circulation but also kidney filtration, oxygen exchange within the alveoli of the lungs, and

oxygenation of brain tissue. It not only thickens the blood, but is in itself an oxidative free radical, and contributes to the degenerative oxidation process.

Much of the expressed symptomatology from the production of soluble fibrin is caused by gram-negative bacteria, mycoplasma and *Candida albicans*, which are allowed  
5 to flourish in the immune-compromised environment created by excess wastes and fibrin, and is related to the cellular destruction and by-products of ongoing free radical activity. Fibrinolytic activity, or the process of breaking down fibrin, along with the eradication of the foreign pathological agents by other therapeutic interventions, can lead to increasingly effective immune system and white cell activity, and will greatly accelerate the healing  
10 process.

Most cancer processes liberate hydrogen peroxide, which acts as a free radical oxidative agent. In addition to hydrogen peroxide, the effects of cancer growth and chemotherapy produce excess soluble fibrin products as a response to these abnormal and destructive processes. The fibrin is produced as part of the body's natural reaction to tissue  
15 damage, which also occurs normally at the site of a superficial wound. However, at the site of cancer growth, fibrin coats cancer cells, tragically insulating them from destruction by the body's immune system. These coagulation mechanisms, stimulated by the oxidative damage associated with chronic illness, the damaging effects of chemotherapy, and the nature of abnormal cancer growth, all lead to further damage. Chronic illnesses such as  
20 cancer produce an acceleration of disseminated intravascular coagulation, causing not only a build-up of soluble fibrin but also of small intravascular thrombi, or clots that float around the vascular bed acting as emboli that obstruct circulation. The use of a fibrinolytic agent, along with any other therapeutic regime, will increase immune regulation and the effectiveness of white cell activity, improve capillary circulation and nutrient flow to the  
25 body's organs, aid in eliminating toxins, and enhance the benefits of other therapeutic agents. In addition, fibrinolytic agents will reduce the amount of free radical soluble fibrin that accelerates degenerative oxidation, and can increase the body's immune effectiveness in combating cancer growth.

*In vivo* laboratory monitoring of disease processes has supported the observations  
30 that improved cellular function and efficiency come with less oxidative, free radical activity, improved cellular nutrition, enhanced immune activity and white cell function and improved oxygenation.

## SUMMARY OF THE INVENTION

In one aspect, the invention provides a method for treating or preventing a disorder in a mammal (e.g., human, dog, cat, horse, etc.) by administering to the mammal a therapeutically effective amount of phyto-percolate or derivative thereof.

In useful embodiments, the phyto-percolate derivative is a protein having a molecular weight of about 67.5 kDa, a protein having a molecular weight of about 21.0 kDa, or a polysaccharide. In another embodiment, the phyto-percolate derivative has fibrinolytic enzymatic activity. The phyto-percolate derivative may be isolated from the phyto-percolate or it may be produced by any appropriate method known in the art. Suitable methods for producing the phyto-percolate derivative include, for example, recombinantly expressing the derivative (e.g., protein) by a microorganism and synthetically producing a derivative (i.e., chemical (cell-free) synthesis). The recombinant microorganism may be one or more of the species present in ATCC Deposit #PTA-5863, or it may be any other appropriate specie.

In particular embodiments a particular dosage is between about 1 and about 8 ounces per day of the phyto-percolate. Particularly noted is a dosage of about 1 to about 4 ounces per day. Preferably, the phyto-percolate that is administered to the human contains between about 10 ppm and about 150 ppm of a phyto-percolate derivative. In another useful embodiment, a therapeutically effective amount of one or more of the derivatives is administered to the human. Preferably, the mammal is administered between about 1 mg and 1000 mg of the derivative per day. Suitable methods for administration of the phyto-percolate include oral administration. Suitable methods for administration of a phyto-percolate derivative (e.g., an isolated derivative) include, for example, oral, topical, rectal, or vaginal administration as well as intravenous, intramuscular, and subcutaneous injection.

Another aspect of this invention is directed to a method of treating an overweight condition or obesity comprising administering to the mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating type I and II diabetes comprising administering to the mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating an inflammatory disorder comprising administering to the mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof. It is believed that the phyto-percolate and derivatives have broad spectrum anti-inflammatory properties and therefore may be used to reduce or prevent inflammation in a wide range of diseases and disorders.

Another aspect of this invention is directed to a method for treating a stomach disorder comprising administering to the mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof. Stomach disorders amenable to treatment with the phyto-percolate and/or derivatives thereof include, for example, a stomach ulcer and gastric reflux disease.

In another aspect of this invention, the phyto-percolate or derivatives may be used to alleviate side-effects of another primary therapy. For example, the phyto-percolate may be administered to reduce the oxidative stress, chemotherapy-induced nausea, chemotherapy-induced liver damage, appetite suppression, hair loss, fingernail and toenail loss and discoloration that result from anti-AIDS therapy and anti-cancer therapy (e.g., chemotherapy and radiation therapy).

In another aspect of this invention, the phyto-percolate or derivatives may be used to reduce the recovery time in mammals (e.g., humans and horses) after periods of stress (e.g., exercise). In a related aspect, the phyto-percolate or derivatives are administered in order to restore physical energy and mental acuity following periods of stress.

In another aspect of this invention, the phyto-percolate or derivatives may also be administered topically directly to the eye (e.g., in the form of eye drops) to treat lesions of the cornea, dry eyes, and similar ocular disorders.

Another aspect of this invention is directed to a method for treating conditions or disorders associated with infectious disease (e.g., a viral infection) comprising administering to the mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof. Infectious disease may be the cause of many of the above and below listed diseases such as pneumonia, all viruses, acariosis, acne, adenovirus, AIDS, amebiasis, anthrax, athlete's food, babesiosis, bartonellosis, Bell's palsy, botulism, candidiasis, carbuncles, Chaga's disease, chicken pox, Chlamydia, coccidiomycosis, coronavirus, cryptococcosis, cytomegalovirus, Dengue fever, echovirus, erysipelas,

furuncle, gangrene, Guillan-Barre syndrome, hepatitis, impetigo, influenza, leucopenia, Lyme's disease, malaria, bartonellosis, measles, mumps, mycobacterium, mycosis, parasites, pediculosis, P.I.D. pyoderma, rabies, rubella, salmonella, salpingitis, septicemia, shingles, sinusitis, syphilis, tetanus, T. Cruzi and warts.

5 Another aspect of this invention is directed to a method for treating diseases related to the heart, blood vessels, renal, liver, and endocrine system comprising administering a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating a vasospasm comprising administering to a mammal (e.g., human) a therapeutically effective amount of  
10 a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating heart failure comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating cardiac  
15 hypertrophy comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating dysregulated blood pressure comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

20 Another aspect of this invention is directed to a method for treating angina comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating peripheral vascular disease comprising administering to a mammal (e.g., human) a therapeutically  
25 effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating cerebral diseases and diseases related to the central nervous system that are vascular in origin comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

30 Another aspect of this invention is directed to a method for treating neurodegeneration comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating Alzheimer's disease comprising administering to a mammal (e.g., human) a therapeutically effective amount of a compound of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating depression  
5 comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating addiction, including drug detoxification and/or substance abuse including nicotine, cocaine and alcohol abuse comprising administering to a mammal (e.g., human) a therapeutically  
10 effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating attention deficit disorder and attention deficit hyperactivity disorder comprising administering to a mammal (e.g., human) a therapeutically effective amount of a compound of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating sleep disorders  
15 comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating seasonal affective disorder comprising administering to a mammal (e.g., human) a therapeutically  
20 effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating environmental and food allergies comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating conditions  
25 related to pain or nociception comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating migraine comprising administering to a mammal (e.g., human) a therapeutically effective amount of a compound of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating disorders  
30 related to disruption of circadian rhythms including jet lag comprising administering to a

mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating diseases related to abnormal gastrointestinal motility, secretion, and/or function comprising administering  
5 to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating diarrhea and/or incontinence comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

10 Another aspect of this invention is directed to a method for treating a gastric ulcer comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating irritable bowel syndrome comprising administering to a mammal (e.g., human) a therapeutically effective  
15 amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating inflammatory bowel disease comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating nausea  
20 comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating sexual dysfunction comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

25 Another aspect of this invention is directed to a method for altering fertility comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate or derivative thereof.

Another aspect of this invention is directed to a method for treating conditions or disorders associated with the immune system comprising administering to a mammal (e.g.,  
30 human) a therapeutically effective amount of a phyto-percolate. Immune system deficiency may be the cause of many of the above and below listed diseases such as cancer,

emphysema, encephalitis, environmental sensitivity, erysipelas, food poisoning and Reynaud's disease.

Another aspect of this invention is directed to a method for treating conditions or disorders associated with hormonal imbalances comprising administering to a mammal 5 (e.g., human) a therapeutically effective amount of a phyto-percolate. Hormonal imbalances may be the cause of many of the above and below listed diseases such as acne, Addison's disease, endometriosis, Grave's disease, osteoporosis, menstrual and menopausal regulation, glucose, and other metabolic regulation. In this regards, the phyto-percolate and derivatives may be used to improve the general health and overall function of 10 metabolic organs like the kidney, liver, and pancreas. It is believed that the phyto-percolate and derivatives improve the efficiency of those organs and increases their metabolic and endocrine functions.

Another aspect of this invention is directed to a method for treating conditions or disorders associated with neurological deficiencies comprising administering to a mammal 15 (e.g., human) a therapeutically effective amount of a phyto-percolate. Neurological deficiencies may be the cause of many of the above and below listed diseases such as Lou Gehrig's disease, chronic pain, Huntingdon's Chorea, diabetic neuropathy, multiple sclerosis, Myasthenia Gravis, Parkinson's disease, poliomyelitis, senile dementia, nigrostriatal degeneration, stroke, tardive dyskinesia and tinnitus.

20 Another aspect of this invention is directed to a method for treating respiratory diseases comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate.

Another aspect of this invention is directed to a method for treating asthma comprising administering to a mammal (e.g., human) a therapeutically effective amount of 25 a phyto-percolate.

Another aspect of this invention is directed to a method for treating diseases related to abnormal hormone release and utilization comprising administering to a mammal (e.g., human) a therapeutically effective amount of a phyto-percolate.

Another aspect of this invention is directed to a method for treating abnormal 30 insulin release and utilization comprising administering to a mammal (e.g., human) a therapeutically effective amount of a compound of a phyto-percolate.

Another aspect of this invention is directed to a method for treating skin lesions and disorders.

In addition to the “direct” effect of the phyto-percolate of this invention there are diseases/conditions wherein subjects with said diseases/conditions will benefit from the associated weight loss, and metabolic and immune system regulation, such as insulin resistance with impaired glucose tolerance, Type II Diabetes, hypertension, hyperlipidemia, cardiovascular disease, gall stones, certain cancers, sleep apnea, etc. resulting from use of phyto-percolate.

In a further illustrative embodiment a method of making the inventive phyto-percolate is disclosed. The phyto-percolate is prepared by cultivating a mixture of freshwater algae and bacteria that is augmented by a nutrient blend that is related to the production of fibrinolytic enzymes, proteins, and other molecules, forming a fortified algae culture. Added to this fortified algal and bacterial culture is purified fresh water that has been purified by reverse osmosis, distillation and/or deionization. The culture is percolated with said purified fresh water and nutrient blend for a predetermined time forming a phyto-percolate that is fibrinolytic and proteinaceous in nature. The phyto-percolate is decanted from the fortified algal and bacterial culture and processed. Suitable methods of processing the phyto-percolate include filtration, centrifugation, lyophilization, purification, dilution, and other methods. The filtering of the decanted phyto-percolate in one particular embodiment is by micro-filtration where the micro-filtration removes particles larger than about 0.22 $\mu$ m.

In another aspect, this invention provides a substantially pure compound isolated from a phyto-percolate. In a preferred embodiment, the compound is isolated from the percolate produced by culturing the microorganisms of ATCC Deposit #PTA-5863 or other appropriate species as described herein. In another embodiment, the compound is a protein having a molecular weight of about 67.5 kDa.

In a related aspect, the invention provides a pharmaceutical formulation comprising a substantially pure compound isolated from a phyto-percolate and a pharmaceutically acceptable excipient.

The term “inflammatory disorder” encompasses a variety of conditions including conditions related to a hyperactive immune system, chronic inflammation, and autoimmune disorders. Inflammatory disorders include, for example, acne vulgaris; acute

febrile neutrophilic dermatosis; acute respiratory distress syndrome; Addison's disease; adrenocortical insufficiency; adrenogenital syndrome; allergic conjunctivitis; allergic rhinitis; allergic intraocular inflammatory diseases, ANCA-associated small-vessel vasculitis; angioedema; ankylosing spondylitis; aphthous stomatitis; arthritis, asthma; 5 atherosclerosis; atopic dermatitis; autoimmune disease; autoimmune hemolytic anemia; autoimmune hepatitis; Behcet's disease; Bell's palsy; berylliosis; balanitis circumscripta plasmacellularis; balanoposthitis; bronchial asthma; bullous herpetiformis dermatitis; bullous pemphigoid; carditis; celiac disease; cerebral ischaemia; chronic obstructive pulmonary disease; cirrhosis; Cogan's syndrome; contact dermatitis; COPD; Crohn's 10 disease; Cushing's syndrome; dermatomyositis; diabetes mellitus; discoid lupus erythematosus; eczema (e.g., asteatotic eczema, dyshidrotic eczema, vesicular palmoplantar eczema); eosinophilic fasciitis; epicondylitis; erythema annulare centrifugum; erythema dyschromicum perstans; erythema multiforme; erythema nodosum; exfoliative dermatitis; fibromyalgia; focal glomerulosclerosis; giant cell arteritis; gout; gouty arthritis; 15 graft-versus-host disease; granuloma annulare; hand eczema; Henoch-Schonlein purpura; herpes gestationis; hirsutism; hypersensitivity drug reactions; idiopathic cerato-scleritis; idiopathic pulmonary fibrosis; idiopathic thrombocytopenic purpura; inflamed prostate; inflammatory bowel or gastrointestinal disorders, inflammatory dermatoses; juvenile rheumatoid arthritis; laryngeal edema; lichen nitidus; lichen planus; lichen sclerosus et 20 atrophicus; lichen simplex chronicus; lichen spinulosus; Loeffler's syndrome; lupus nephritis; lupus vulgaris; lymphomatous tracheobronchitis; macular edema; multiple sclerosis; musculoskeletal and connective tissue disorder; myasthenia gravis; myositis; nummular dermatitis; obstructive pulmonary disease; ocular inflammation; organ transplant rejection; osteoarthritis; pancreatitis; pemphigoid gestationis; pemphigus 25 vulgaris; polyarteritis nodosa; polymyalgia rheumatica; primary adrenocortical insufficiency; primary biliary cirrhosis; pruritus scroti; pruritis/inflammation, psoriasis; psoriatic arthritis; Reiter's disease; relapsing polychondritis; pyoderma gangrenosum; rheumatic carditis; rheumatic fever; rheumatoid arthritis; rosacea caused by sarcoidosis; rosacea caused by scleroderma; rosacea caused by Sweet's syndrome; rosacea caused by 30 systemic lupus erythematosus; rosacea caused by urticaria; rosacea caused by zoster-associated pain; sarcoidosis; scleroderma; segmental glomerulosclerosis; septic shock syndrome; serum sickness; shoulder tendinitis or bursitis; Sjogren's syndrome; Still's

disease; stroke-induced brain cell death; Sweet's disease; systemic dermatomyositis; systemic lupus erythematosus; systemic sclerosis; Takayasu's arteritis; temporal arteritis; thyroiditis; toxic epidermal necrolysis; tuberculosis; type-1 diabetes; ulcerative colitis; uveitis; vasculitis; and Wegener's granulomatosis.

5           The term "substantially pure," when referring to a protein or other derivative of the phyto-percolate, means the state of a substance that has been separated from the other components of the phyto-percolate. Typically, a substantially pure derivative is at least 80%, by weight, free from the proteins and other organic molecules of the phyto-percolate. Preferably, the substantially pure derivative is at least 90%, 95%, or 99%, by weight, free  
10 from those organic molecules. A substantially pure protein derivative may be obtained, for example, by extracting it from a source other than the phyto-percolate. A protein derivative, for example, may be recombinantly expressed in another microorganism or in a cell-free translation system.

15

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The foregoing and other features and advantages of the present invention will be more fully understood from the following detailed description of illustrative embodiments, taken in conjunction with the accompanying drawing in which:

20           FIGURE 1 is a flow chart showing a method of preparing a phyto-percolate;  
            FIGURE 2 is an HPLC chromatogram of the diluted phyto-percolate;  
            FIGURE 3 is an FTIR spectrum of the diluted phyto-percolate; and  
            FIGURE 4 is a [<sup>1</sup>H]-NMR spectrum of the diluted phyto-percolate.

#### **25 DETAILED DESCRIPTION**

The present invention provides a phyto-percolate that has therapeutic and other beneficial properties when administered to humans and other animals. Without being bound by any theory, it is believed that at least one of the therapeutically active agents in the phyto-percolate is an enzyme. Methods for preparing the phyto-percolate are also  
30 provided. Detailed embodiments of the present invention are disclosed herein, however, it is to be understood that the disclosed embodiments are merely exemplary of the invention, which may be embodied in various forms. Therefore, specific functional details disclosed

herein are not to be interpreted as limiting, but merely as a basis for the claims and as a representative basis for teaching one skilled in the art to variously employ the present invention in virtually any appropriately detailed embodiment.

## 5 **Phyto-Percolate Production**

According to the invention, a phyto-percolate is derived from a culture comprised of freshwater algae, moss, bacteria, actinomycetes, and fungi. It is believed that the culture is comprised of at least one or more of the following genera:

<i>Acinetobacter</i>	<i>Liefsonia</i>	<i>Staphylococcus</i>
<i>Aerococcus</i>	<i>Micrococcus</i>	<i>Stenotrophomonas</i>
<i>Aquaspirillum</i>	<i>Oedocladium</i>	<i>Stichococcus</i>
<i>Bacillus</i>	<i>Phyllobacterium</i>	<i>Streptomyces</i>
<i>Brevibacterium</i>	<i>Pseudomonas</i>	<i>Ulothrix</i>
<i>Caseobacter</i>	<i>Ralstonia</i>	<i>Variovorax</i>
<i>Chlorella</i>	<i>Rhizobium</i>	<i>Weeksella</i>
<i>Clavibacter</i>	<i>Rhodococcus</i>	<i>Xanthomonas</i>
<i>Corynebacterium</i>	<i>Riemerella</i>	
<i>Dermacoccus</i>	<i>Shingomonas</i>	

10 Particular note is made of the genera *Aquaspirillum*, *Bacillus*, *Pseudomonas*, *Ralstonia*, *Stenotrophomonas*, *Stichococcus*, and *Ulothrix*. Without being bound by any theory, it is believed that these genera are the most abundant organism in each culture and may be the primary producers of the phyto-percolate derivatives. A deposit of a culture  
15 resulting in a phyto-percolate of the present invention has been placed in the American Type Culture Collection, of Manassas, VA., as Deposit #: PTA-5863.

In particular embodiments, a heterotrophic rotifer species exists in the cultures, as well as bacteria that have been identified as *Stenotrophomonas maltophilia*, *Ralstonia pickettii*, *Ralstonia paucula*, *Acinetobacter* *genospecies 11*, *Acinetobacter junii*, *Liefsonia aquatica*, *Riemerella anatipestifer*, *Variovorax paradoxus*, and *Streptomyces griseorubens*  
20 . Without being bound to any particular theory, it is believed that these bacteria may produce proteolytic enzymes that are contributors to the effectiveness of the phyto-percolate.

A method of producing phyto-percolate is depicted in FIGURE 1. Phyto-percolate cultures of approximately 100-200 ml of dense algal cells in approximately 2.5 gal, or approximately 10 liters, of reverse-osmosis purified sterile water are fed about 1 milliliter (ml) per week of liquid extract of live active yeast, or Baker's yeast, *Saccharomyces cerevisiae*, which has been prepared from 1.0g dry active yeast added to 50ml warm water, at between about 37° and about 43°C. The mixture is allowed to incubate for 10-30 minutes, or until it slightly foams. The cultures are fed in either 1.0 ml weekly doses, or 0.5ml twice-weekly doses. It is contemplated within the scope of the invention that other yeast cultures may be used. It is further contemplated that other organic nutrients or substrates known in the art may be used such as glucose or proteose, or other algal growth media prepared from inorganic nutrients, supplements, and/or vitamins.

In one embodiment, the cultures are grown under full-spectrum grow lights at about 25°C, and produce a final unadjusted pH of between about 6.2 to about 7 that fluctuates. The cultures are grown in clear glass fishbowl containers having a volume of approximately 2.5 gal with semi-transparent plastic lids, with the exception of about a 3mm hole in the lid for gas exchange. It is contemplated within the scope of the invention that other culture containers, ingredients, conditions and methods known in the art may be used that allow the cells to grow in a manner in which the phyto-percolate derivatives are expressed. Such methods may include larger batch, semi-continuous, continuous or other type culture systems including bioreactors or photoreactors, may or may not include aeration or agitation, may or may not include solid, liquid, semi-solid or other form of growth media or substrate, may or may not include the above particular conditions of temperature, contact time or area, or light intensity.

In this particular embodiment the cultures are harvested weekly or bi-weekly, between the 5<sup>th</sup> and 10<sup>th</sup> day after feeding, by drawing off the top 1.25 gal of phyto-percolate from each 2.5 gal culture. This is referred to as the "raw phyto-percolate." The algal or other cells and yeast food forming the phyto-percolate culture remain in the bottom of the culture container substantially undisturbed while the phyto-percolate is decanted. The decanted material is then processed as desired. The volume of the container is then optionally returned to original volume. Conveniently this is accomplished with reverse-osmosis purified water at approximately room temperature, about 25°C. It is contemplated

within the scope of the invention that other culture and harvest systems, timetables volumes and methods may be used that result in phyto-percolate derivatives.

Without being bound by any particular theory, it is believed the patterns of harvest and feeding affect enzyme production. It is believed that more frequent smaller feedings 5 such as 0.5ml twice-weekly may stimulate greater enzyme production than single large amount feedings such as 2ml bi-weekly, while discouraging contamination with undesirable bacteria and rotifer colonization. Since enzyme systems are highly dynamic and are directly affected by the immediate surroundings, the suggestion is supported that a food blend such as a liquid extract of active Baker's yeast increases the active proteolytic 10 enzymes in the phyto-percolate culture compared with other foods or nutrient blends.

The peaks of enzyme concentration in the percolate over the course of several weeks are mapped under various feeding regimens, and serve to dictate the optimal date for harvests. According to the invention, the enzyme concentration is analyzed in the cultures and processed phyto-percolate to detect any negative effects of regular harvesting on the 15 algal cultures over time, and is combined with data on the effects of environmental and stress factors such as dark/light, starvation, and/or changes in temperature or pH, which may stimulate or discourage enzyme production. Methods for analyzing these parameters include the isolation and homogenization of select cultures to eliminate all variables besides those being tested, and include monitoring of chlorophyll, total protein and enzyme 20 activity, utilizing spectro-photometric methods, to measure the health and enzyme activity of the cultures over the course of an isolated-variable experiment.

In this particular embodiment the method for analyzing proteolytic activity is a typical chromogenic assay using Chromogenix substrate from DiaPharma, S-2251: chromogenic substrate for plasmin and streptokinase-activated plasminogen. Chromogenic 25 substrates are peptides that react with proteolytic enzymes and proportionally change color as the substrate is lysed by the enzymes. The color change may be measured spectro-photometrically over time and is proportional to the proteolytic activity. The synthetic chromogenic assay substrates are designed to have enzyme binding selectivity similar to that of the enzyme's natural substrate. It is believed that the enzymes present in phyto- 30 percolate are selective for substrates including fractionated proteins and fibrin. It is contemplated within the scope of the invention that other methods for analyzing proteolytic activity and phyto-percolate derivatives may be used.

Enzyme activity for samples of described phyto-percolate currently ranges from 15-50 mU/mL of plasmin-like activity, when phyto-percolate is prepared as described. These values have been found to correlate with clinical observations of reduced pathological fibrin in humans orally consuming phyto-percolate. Methods for evaluating *in vivo* effects of phyto-percolate include peripheral blood observations on wet and dry blood smears, diagnostic and/or analytical blood tests, and various clinical observations and measurements such as body weight. Reductions in excess pathological fibrin and platelet aggregation have been observed, which are secondary to inflammation and tissue destruction. Changes in white blood cell mobility and number have also been observed. Anti-inflammatory effects of phyto-percolate *in vivo* have also been monitored with independent blood laboratory studies focusing on chronic inflammatory activity and hyper-coagulant states.

In an alternative embodiment, the phyto-percolate may be produced using a continuous culture format in which the phyto-percolate is substantially continuously removed from the culture and the lost volume is replaced with fresh culture media and/or nutrients. Further, the phyto-percolate may be produced using a bioreactor that is suitable for production on a larger scale than the batch culture method described above.

### **Phyto-Percolate Filtration**

After harvest of the phyto-percolate from the cultures, the decanted fluid is filtered through a series of depth prefilters and sterile membrane filters made of low-protein binding materials. Examples of suitable final sterilizing filters are provided by Millipore Corp. Durapore brand filters, made of PVDF material. These have been shown to protect the enzyme concentration, and provide a final sterile filtration level of about 0.22 microns, as well as being chemically inert to ozonated water. Ozonated water is used for sterilizing the filter system, as it does not leave a damaging residue like chlorine.

All filters are 10" cartridge membrane or depth filters of various chemically-inert materials. The prefilters are housed in cartridge filter housings made of styrene-acrylonitrile (SAN). The final filters are housed in polypropylene (PP) housings with Kynar fittings. The material is harvested and filtered using Tygon tubing, peristaltic pumps and 55 gallon containers or other containers that have been pre-sterilized with ozonated water.

The phyto-percolate passes through a filtration regimen comprised of two pre-filters in SAN housings of pore size 1 $\mu$ m (nominal), made of pleated cellulose/polyester. Examples of these filters are manufactured by Cole-Parmer, Vernon Hills, Illinois, USA, catalog number EW-29830-20. It is contemplated within the scope of the invention that  
5 other filters known in the art may be used in this step as pre-filters, that are chemically inert.

The phyto-percolate is again filtered using a second stage pre-filter made of polypropylene in a polypropylene housing, with a nominal pore size of about 0.5 $\mu$ m. In one illustrative embodiment, this finishing filter is manufactured by Millipore Corporation, Bedford, MA., Durapore® brand, Catalog # D00501S01. It is contemplated within the  
10 scope of the invention that other filters known in the art may be used in this step as second pre-filters, that are chemically inert.

The phyto-percolate is then passed through a pre-sterilized final filter that sterile-filters the phyto-percolate and removes all traces of bacteria, yeast, mold, algae and other particle contaminants. According to the invention, a final filter set consists of sterile  
15 membrane filters in PP housing having progressively smaller pore sizes of 0.45 $\mu$ m and 0.22 $\mu$ m (absolute). These finishing filters' membranes are made of hydrophilic extremely-low protein-binding PVDF. In one illustrative embodiment, these finishing filters are manufactured by Millipore Corporation, Durapore ® brand, Catalog #'s CVHI01TPE and CVDI01TPE. It is contemplated within the scope of the invention that other filters known in  
20 the art may be used that are inert to the phyto-percolate derivatives and processing and sanitizing materials including ozonated water. It is also contemplated within the scope of the invention that other methods of processing may be used.

Filtration by size exclusion removes approximately >99.9% of contaminants such as bacteria, yeast and mold spores, and algal cells. It is also believed to preserve  
25 enzymatic activity if filter materials are made of low-protein-binding, chemically-inert materials. The resulting liquid, the phyto-percolate, is substantially comprised of water, active enzymes, proteins and sugars. The phyto-percolate, after passing through the finishing filter is then usefully stored in sealed sterile 55 gal HDPE drums at between 21° and 27°C until bottling. Samples are taken from each batch immediately after filtering to  
30 test for enzyme efficacy and contamination and for standardization. It is contemplated within the scope of the invention that other methods of sampling and testing may be used. The acceptable values for fibrinolytic enzyme efficacy to be administered p.o. are observed

in the phyto-percolate as between 0 and 50 milli-units of plasmin-like activity; however higher levels may provide greater therapeutic benefit. It is believed that this filtered phyto-percolate contains approximately 50 ppm of the 67.5 kDa protein (see below).

The phyto-percolate is processed and bottled under sanitary conditions known in the art using ozone sterilization. It is believed that this step avoids enzyme degradation associated with the use of chlorine or heat sterilization because ozone leaves no residue if left to dissipate, or if followed by a rinse of sterile water. It is contemplated within the scope of the invention that other methods of filtration and sanitization known in the art may be used that are not unreasonably degrading of the enzymatic or other activity. The phyto-percolate is usefully packaged in opaque UV-protectant bottles and shipped with cold packs to reduce product degradation. It is contemplated within the scope of the invention that other methods of packing, bottling, storing, and transporting may be used.

#### **Phyto-Percolate Characterization**

It is believed that the raw phyto-percolate, prior to filtration, is a complex mixture of macromolecules. It was expected that the filtration process described above reduced the molecular complexity of the phyto-percolate filtrate. We performed several physico-chemical tests to determine the composition of the filtrate. In each case, the phyto-percolate filtrate was lyophilized, redissolved in ddH<sub>2</sub>O, and refiltered to remove any undissolved particulate matter.

A sample of the lyophilized phyto-percolate was subjected to isocratic reverse phase HPLC, on a size-exclusion chromatography column (TSK-GEL Super SW Series; Tosoh Biosciences, Montgomeryville, PA), under non-denaturing conditions. Proteins were identified using a micro flow cell UV detector at 280 nm. As shown in FIGURE 2, a major protein species of 67.5 kDa was identified (retention time 18.747 minutes). The 67.5 kDa peak contributed about 90% of the total signal measured at 280 nm. Also detected were peaks at retention times of 21.544 minutes (21.0 kDa) and 23.957 minutes. Analysis under denaturing and other conditions indicates that the 21.0 kDa species is a protein molecule and the 23.957 minute peak is primarily polysaccharide. The major components of the phyto-percolate (the 67.5 kDa protein, 21.0 kDa protein, and the polysaccharide identified at 23.957 minutes) are referred to herein as phyto-percolate

derivatives and may contribute to the biological and therapeutic efficacy of the phyto-percolate.

Another sample of the lyophilized phyto-percolate was subjected to Fourier Transform Infrared (FTIR) spectroscopy. The results are provided in FIGURE 3. 5 FIGURE 3 shows a spectrum that is characteristic of a dissolved protein sample.

A third sample of the lyophilized phyto-percolate was used for [<sup>1</sup>H]-NMR. The NMR spectrum is provided in FIGURE 4. Here again, the results are consistent with a single protein species.

## 10 Weight Management Using Phyto-Percolate

Excessive weight has emerged as a prominent and growing health problem. Greater than 61% of Americans over the age of 20 are overweight, 25% of whom are obese. Second only to tobacco use as the top underlying preventable cause of death, excessive weight is a major risk factor for developing diabetes, heart disease, hypertension, 15 gallbladder disease, arthritis, lung diseases, and certain types of cancer.

### Example 1: Rodent Model of Weight Loss

A 21 day weight loss study using twelve mature (12 month old) Sprague-Dawley rats was performed. Each animal was orally administered 10 ml/kg of undiluted and unfiltered phyto-percolate (i.e., raw phyto-percolate) for 14 days, followed by non-dosing 20 for 7 days. Each animal was weighted daily and observed for signs of toxicity. As shown in more detail in Table 1, the rats lost an average of 33 grams (6.3%) of body weight over the initial 14 day dosing period. They immediately began to regain lost body weight upon cessation of phyto-percolate administration. By the 21 day time point (7 days of non-dosing), the rats had lost an average of 25 grams (4.7%) of initial body weight (i.e., gained 25 an average of 8 grams since phyto-percolate cessation).

The test animals were observed for adverse reactions immediately after each dose and at 4 and 24 hours subsequent. Daily observation for adverse reactions was continued during the 7 day non-dosing period. Specifically, clinical observations for adverse reactions were made for respiration, motor activity, convulsions, reflexes, ocular signs, 30 salivation, piloerection, analgesia, muscle tone, gastrointestinal effects, and skin/dermal alterations. Gastrointestinal effects were the only observed adverse reaction. Soft to loose stool was observed in all test animals. No other adverse reaction was observed.

Test Subject	Pre-dosing Weight (g)	14 Day Weight (g)	Weight Loss (% Initial Body Weight)	21 Day Weight (g)	Weight Loss (% Initial Body Weight)
1	484	443	41 (8.5%)	453	31 (6.4%)
2	482	461	21 (4.4%)	479	3 (0.6%)
3	549	521	28 (5.1%)	531	18 (3.3%)
4	536	499	37 (6.9%)	507	29 (5.4%)
5	510	462	48 (9.4%)	468	42 (8.2%)
6	488	459	29 (5.9%)	465	23 (4.7%)
7	535	506	29 (5.4%)	514	21 (3.9%)
8	586	558	28 (4.8%)	562	24 (4.1%)
9	569	504	65 (11.4%)	518	51 (9.0%)
10	522	492	30 (5.7%)	498	24 (4.6%)
11	556	532	24 (4.3%)	537	19 (3.4%)
12	524	503	21 (4.0%)	507	17 (3.2%)
AVG	528.4	495.0	33.4 (6.3%)	503.3	25.1 (4.7%)

### **Example 2: Human Weight Loss and Glucose Control Study**

A single-center, prospective, randomized, triple-masked, placebo-controlled 5 parallel-group-design pilot clinical trial of the phyto-percolate was performed using two different batches of the phyto-percolate. This trial was conducted in accordance with FDA regulations and under a protocol approved by an Institutional Review Board (IRB).

**Subjects:** Primary inclusion criteria were men and women having a body mass index (BMI) of 25-40 m/kg<sup>2</sup>, 18-70 years old (inclusive), and desirous of losing weight. 10 Major exclusion criteria were moderate to severe co-morbid disease (e.g., cancer); history of stroke, transient ischemic attack (TIA), or similar conditions; uncontrolled hypertension, insulin-dependent diabetes, renal disease, moderately severe cardiac disease, lupus, alcohol

abuse, and current or recent use of certain medications including medications and/or supplements for weight loss, glucose management, or arthritis. Women were excluded if they were pregnant, nursing, or actively trying to become pregnant.

Protocol: Patients were assigned to self-administer one ounce of filtered phyto-percolate or placebo three times each day (t.i.d.) on an empty stomach at least 30 minutes before a meal. Subjects were asked to participate in a reduced carbohydrate diet and light exercise program and complete a one-day-per-week Food Log and a daily Exercise Log for the duration of the clinical trial. Patients were evaluated during a baseline examination and then again at 2-week, 4-week, and 6-week visits. Evaluations included measurement of body weight, arm and waist circumference, and body fat measurements.

Glucose Control Study: At the baseline examination and at the 4-week and 6-week visits, patients' fasting (12 hour) blood glucose was measured and then their blood glucose was measured one hour after a glucose challenge (25 grams of jelly beans; 90.4% carbohydrate). The difference between the glucose challenge reading and the baseline reading in a single visit is an indicator of the patient's ability to regulate serum glucose levels.

Test Materials: The patients in the treatment groups were assigned one of two different lots (Batch 1 and Batch 2) of phyto-percolate prepared as described above. The placebo product was similar in appearance (color, viscosity, and odor) to the diluted phyto-percolate. All test materials were dispensed in unlabeled blue bottles with instructions to refrigerate after opening.

Enrollment: A total of 44 subjects were enrolled and randomized for this trial. Ten subjects completed the study on Batch 1 (Cohort 1) of the phyto-percolate and twelve subjects completed Batch 2 (Cohort 2). Seven subjects completed the placebo phase of the trial.

Results: There were no significant adverse events reported. Patients in the treatment arms of the study reported greater energy and reduced hunger compared to the Placebo group. The remaining results are as follows:

After 2, 4, and 6 weeks of treatment with the diluted filtered phyto-percolate, the average percent total weight loss (above placebo) for all treated patients (Cohorts 1 and 2; n=22) 77.7%, 48.5%, and 68.1%, respectively. After six weeks of phyto-percolate

treatment, Cohort 1 lost an average of 106% (9.03 lbs) and Cohort 2 lost an average of 37% (6.01 lbs) more than the weight loss measured in the Placebo group (4.39 lbs).

	2-Week	4-Week	6-Week
Placebo (n=7)	2.60	3.71	4.39
Cohort 1 (n=10)	5.71	6.81	9.03*
Cohort 2 (n=12)	3.71	4.43	6.01

- p < 0.10 (unpaired Student's t-test)

Weight Loss	Placebo (number of patients)	Cohort 1 (number of patients)
> +1 lb.	--	1
+1 lb. > patient > -1 lb.	--	1
-1 lb. > patient > -3 lb.	2	--
-3 lb. > patient > -5 lb.	2	--
-5 lb. > patient > -7 lb.	3	1
-7 lb. > patient > -9 lb.	--	3
-9 lb. > patient > -11 lb.	--	2
-11 lb. > patient > -13 lb.	--	--
-13 lb. > patient > -15 lb.	--	--
-15 lb. > patient > -17 lb.	--	1
-17 lb. > patient > -19 lb.	--	--

< -19 lb.	--	1*
-----------	----	----

\* maximum weight loss was 28 lbs.

**Table 4: Arm and Waist Circumference -  
Difference Between Baseline and 6 Weeks**

	Placebo	Cohort 1	Cohort 2
Arm	0.083"	0.41" *	0.13"
Waist	1.09"	2.08" **	1.34"

\* p < 0.042

\*\* p < 0.21

5

**Table 5: Body Composition – Percent Body Fat:  
Difference Between Baseline and 6 Weeks**

	Placebo	Cohort 1	Cohort 2
Body Fat @ Baseline	39.1%	39.2%	39.0%
Improvement in Body Fat (lbs)	2.11	6.03*	2.89
Improvement in Lean Mass (lbs)	0.16	0.79**	0.24

\* p < 0.01

\*\* p < 0.15

10

**Table 6: Frequency Distribution of Body Fat Loss in Individual  
Patients at 6 Weeks**

Weight Loss	Placebo (number of patients)	Cohort 1 (number of patients)
> +1 lb.	--	2
+1 lb. > patient > -1 lb.	2	1
-1 lb. > patient > -3 lb.	2	--
-3 lb. > patient > -5 lb.	2	2

-5 lb. > patient > -7 lb.	1	2
-7 lb. > patient > -9 lb.	--	--
-9 lb. > patient > -11 lb.	--	1
-11 lb. > patient > -13 lb.	--	--
-13 lb. > patient > -15 lb.	--	--
-15 lb. > patient > -17 lb.	--	1
-17 lb. > patient > -19 lb.	--	--
< -19 lb.	--	1

\* maximum weight loss was 28 lbs.

Patient	Baseline			4-Week			6-Week		
	Fast	Chal.	Diff.	Fast	Chal.	Diff.	Fast	Chal.	Diff.
1	158	264	106	155	246	91	152	238	86
2	72	128	56	89	107	18	80	94	14
3	75	135	60	87	130	43	91	117	26
4	73	128	55	78	74	-4	76	80	4
5	105	151	46	104	127	23	103	125	22
6	139	210	71	129	198	69	126	181	55
7	145	204	59	124	200	76	132	195	63
8	85	122	37	74	159	85	83	133	50
9	91	143	52	91	125	34	92	121	29
10	78	119	41	92	99	7	88	98	10
Mean			58.3			44.2			35.9
n>126*	3			2			2		

\* values > 126 mg/dl are indicative of diabetes.

	Baseline	4-Week	6-Week
Placebo	61.7	58.3	54.0
<i>Improvement</i>		3.4 (5.5%)	7.7 (12.3%)
Cohort 1	58.3	44.2	35.9
<i>Improvement</i>		14.1 (24.2%)	22.4 (39.6%)*
Cohort 2	60.6	56.2	55.4
<i>Improvement</i>		4.2 (6.9%)	5.2 (8.6%)

\* p < 0.08

5

Conclusions: The weight loss, improvement in body fat, improvement in glucose control, as well as energy and hunger categories over the course of this six-week study for

those on the phyto-percolate was strong, particularly when compared to the placebo group. Cohort 1 lost about twice as much weight (1.5 lbs/week) as the placebo group (0.78 lbs/week). Seven of the ten subjects in Cohort 1 lost seven pounds or more, while none of the seven in the placebo group lost that much weight. Correspondingly, a significant 5 reduction in waist size was measured in Cohort 1.

Significant improvements also were measured in the glucose tolerance test. Test subjects demonstrated an average of 2.6x (156%) and 1.7x (69%) improved glucose control at 4 weeks and 6 weeks, respectively, when compared to the placebo group. Furthermore, 6 of the 22 test subjects met the clinically important criterion of > 50% 10 control over baseline. Three of these six demonstrated complete control of the glucose challenge, defined as > 85% glucose control over baseline.

#### **In Vitro Anti-inflammatory Effects: COX-2 Inhibition**

Cyclooxygenase-2 (COX-2) is a key regulator of the inflammatory cascade. COX- 15 2 inhibitors are believed to reduce inflammation by blocking prostaglandin production. In view of the adverse effects associated with mixed COX inhibitors (aspirin, ibuprofen, and naproxen) and the presently available COX-2-specific inhibitors (valdecoxib, celecoxib, rofecoxib), there is a need for improved anti-inflammatory therapies with fewer side effects.

20 Three separate preparations of the phyto-percolate were screened, using an *in vitro* assay, for COX-2 inhibition. Riendeau et al., *Can. J. Physiol. Pharmacol.* 75: 1088-1095, 1997; Warner et al., *Proc. Natl. Acad. Sci. USA* 96: 7563-7568, 1999. Briefly, this assay measured to conversion of 0.3  $\mu$ M arachidonic acid to PGE<sub>2</sub> by human recombinant insect Sf21 cells expression human COX-2. The incubation buffer contained 100 mM Tris-HCl 25 (pH 7.7), 1 mM glutathione, 1  $\mu$ M hematin, and 500  $\mu$ M phenol. PGE<sub>2</sub> was quantified using an enzyme-linked immunoassay (EIA).

Sample 1 was a sample of diluted phyto-percolate concentrated approximately 100-fold by drying under N<sub>2</sub>. Sample 2 was prepared by drying a 4800  $\mu$ l sample of diluted phyto-percolate under N<sub>2</sub> and reconstituting it in 96  $\mu$ l of ddH<sub>2</sub>O just prior to assay. 30 Sample 3 was prepared by lyophilizing a 4800  $\mu$ l sample of diluted phyto-percolate and reconstituting it in 96  $\mu$ l of ddH<sub>2</sub>O just prior to assay. The concentrations of phyto-percolate used, 100X, 10X, and 1X, refer to 10  $\mu$ l, 1  $\mu$ l, and 0.1  $\mu$ l of sample, respectively,

in a final assay volume of 100  $\mu$ l. Rofecoxib was used as a positive control for COX-2 inhibition. Each sample was assayed in at least three concentrations and the assays were performed in duplicate.

Sample	Concentration	% COX-2 Inhibition (individual assay values)	IC <sub>50</sub>
1	100X	29 (27, 30.9)	> 100X
	10X	11 (9.2, 13.4)	
	1X	-4 (-9.0, 0.3)	
2	100X	61 (66.7, 56.1)	46.5X
	10X	27 (23.7, 30.5)	
	1X	20 (13.3, 27.6)	
3	100X	58 (63.9, 52.3)	61.9X
	10X	24 (21.7, 26.0)	
	1X	18 (13.3, 23.1)	
rofecoxib	1 $\mu$ M	88 (90.1, 85.6)	0.198 $\mu$ M
	0.3 $\mu$ M	55 (58.8, 51.8)	
	0.1 $\mu$ M	33 (34.7, 31.5)	
	0.03 $\mu$ M	16 (22, 10.5)	
	0.01 $\mu$ M	11 (8.2, 14)	

5

#### **In Vivo Anti-inflammatory Effects: Carrageenan-Induced Paw Edema**

The carrageenan-induced paw edema assay was used as an *in vivo* indicator of the anti-inflammatory effects of the phyto-percolate. Carrageenan induces local inflammation and edema when injected into the paw pad of a rat (Di Rosa et al., 1971). The development of paw edema is believed to be biphasic (Vinegar et al., 1969). The initial phase is attributable to the local release of histamine and serotonin (Crunkhon et al., 1971) and the second phase is caused by prostaglandin release as a result of COX activation. The second phase is measured as an increase in paw volume and has been demonstrated to be responsive to steroidal and non-steroidal anti-inflammatory agents.

Groups of test subjects (n=6) received oral doses of either vehicle control (water; 5 ml/kg), indomethacin (30 mg/kg), aspirin (100 mg/kg), unfiltered phyto-percolate (10 ml/kg), or filtered phyto-percolate (10 ml/kg) 30 minutes prior to intraplantar administration of carrageenan (0.1 ml of a 1% solution). Paw volume was measured at 0, 2, 4, 6, 8, and 20 hours after treatment using a plethysmometer to measure volume displacement. Each treatment group is compared to control.

As shown in Table 10, the paw volume of the control animals and all treatment groups nearly doubled in two hours and remained so through the four hour time point. By six hours, paw volume was reduced by 30% and 50% in the groups administered the filtered and unfiltered phyto-percolate, respectively. This reduction in edema was significantly better than that observed for either the indomethacin or the aspirin groups at this time. Further, the reduction in edema measured for the two phyto-percolate groups was comparable to both the indomethacin and aspirin groups at the 8 hour and 20 hour time points.

15

Group	0 hours	2 hours	4 hours	6 hours	8 hours	20 hours
Control	1.24 $\pm$ 0.17	2.18 $\pm$ 0.24	2.17 $\pm$ 0.27	2.12 $\pm$ 0.15	2.05 $\pm$ 0.08	1.85 $\pm$ 0.08
Indomethacin	1.25 $\pm$ 0.05 (1%)	2.25 $\pm$ 0.23 (7%)	2.18 $\pm$ 0.22 (1%)	2.00 $\pm$ 0.22 (-12%)	1.83 $\pm$ 0.23 (-22%)	1.37 $\pm$ 0.10 (-38%)
Aspirin	1.25 $\pm$ 0.08 (1%)	2.22 $\pm$ 0.28 (4%)	2.07 $\pm$ 0.23 (-10%)	1.92 $\pm$ 0.18 (-20%)	1.80 $\pm$ 0.18 (-25%)	1.42 $\pm$ 0.16 (-23%)
Filtered	1.22 $\pm$ 0.04 (-2%)	2.15 $\pm$ 0.10 (-3%)	2.15 $\pm$ 0.10 (-2%)	1.78 $\pm$ 0.10 (-34%)	1.78 $\pm$ 0.10 (-27%)	1.35 $\pm$ 0.08 (-30%)
Unfiltered	1.20 $\pm$ 0.13 (-4%)	2.15 $\pm$ 0.12 (-3%)	2.13 $\pm$ 0.10 (-4%)	1.67 $\pm$ 0.10 (-45%)	1.67 $\pm$ 0.10 (-38%)	1.28 $\pm$ 0.12 (-37%)

### Immunological Effects: Rodent Model of HIV Infection

The effect of treatment using the phyto-percolate was investigated using a rat model of HIV infection. The HIV model used inoculates rats with seven (7) of the nine (9) HIV genes, making it a non-contagious model that develops full symptoms of HIV by 9 months after inoculation, with a life expectancy of 12 months.

5 Some of the most devastating symptoms of HIV manifest themselves in the liver and the immune system. Liver problems are frequent causes of illness and death in people with HIV infection. Throughout the study, liver function tests including AST, ALT, GGTP, bilirubin, and albumin were monitored in the treatment and control groups. C-reactive protein was assayed as an inflammatory marker. The immune response was  
10 monitored using IgG, IgA, and IgM levels which are known to decline during the progression of AIDS.

For testing, serum was drawn by cardiac puncture for baseline (pre-inoculation) values. The treatment group received diluted phyto-percolate for their drinking water, which was allowed *ad libitum*, while the control group received filtered water. Serum was  
15 drawn by cardiac puncture, as above, every thirty (30) days until the termination of the study.

After 60 days of treatment with the diluted phyto-percolate, the treatment group had an average 30% increase in IgA levels, 50% increase in IgG levels, and a 40% reduction in C-reactive protein (C-RP) levels, relative to the untreated group (Table 11).  
20 No significant differences in body weight, average daily food consumption, or average daily liquid consumption were detected between the groups.

Animal Group	AST (U/L)	ALT (U/L)	Bilirubin (mg/dL)	C-RP (mg/ml)	IgG (mg/dL)	IgM (mg/dL)	IgA (mg/dL)
Control							
<i>Base</i>	117	70	0.07	3.41	57	27	18
<i>1 Mo.</i>	95	60	0.12	0.65	69	26	24
<i>2 Mo.</i>	122	67	0.12	0.93	120	26	24
HIV							

<i>Base</i>	116	77	0.07	3.37	60	26	21
<i>1 Mo.</i>	166	76	0.21	0.58	108	27	25
<i>2 Mo.</i>	139	81	0.13	0.56	167	23	38

### **Administration of Phyto-Percolate**

The phyto-percolate dosage will vary with the severity of the disease, the 5 biochemical activity of the disease, and the age and weight of the subject. The effects of using the phyto-percolate will be measured using standard parameters known in the art for any such disease state.

In one embodiment, the phyto-percolate is orally administered as a liquid. As described in several of the foregoing examples, the phyto-percolate is diluted in filtered 10 water to about 50 ppm of the protein species of the 67.5 kDa peak measured by HPLC and UV detection (described above). However, depending upon the severity of disease or desired clinical outcome, the concentration of phyto-percolate (and hence the dosage for the protein species) may be altered. For example, the protein species may be present in the orally administered liquid in concentrations including about 100 ppm, 250 ppm, 500 ppm, 15 750 ppm, 1000 ppm, 1500 ppm, or more. It is also contemplated that the protein fraction is isolated from the phyto-percolate and formulated for parentera administration (e.g., intravenous, intramuscular, and subcutaneous injection, topical, rectal or vaginal administration or other).

In an adult subject, the dosage of diluted phyto-percolate will vary from about one 20 ounce per day, generally on an empty stomach, such as for maintenance and the retardation of aging, to about an ounce every hour, up to about 12 ounces per day, in a hospitalized burn or accident case, or during the chemotherapy infusion. The controlled diabetic or cardiovascular subject is generally treated at about two to three ounces of phyto-percolate per day. Dosing on an empty stomach is noted because of the potential for interference on 25 phyto-percolate function from food-stimulated gastrointestinal activities. A 50-70 lb. child is dosed at about three to four ounces per day, generally dosing on an empty stomach, during an acute infection. The greater the free radical oxidative tissue destructive activity caused by age or disease state, the greater the recommended dosage of the phyto-percolate. Without being bound to any particular theory, it is thought that the intake of phyto-

percolate per day is more directly related to the severity of oxidative tissue destruction than to the weight of the subject.

It will be understood that various modifications may be made to the embodiments disclosed herein. Therefore, the above description should not be construed as limiting, but 5 merely as exemplification of the various embodiments. Those skilled in the art will envision other modifications within the scope and spirit of the claims appended hereto. Likewise it should be understood that the phyto-percolate can be used to enhance the well being and performance of animals.

The foregoing has been a description of an illustrative embodiment of the present 10 invention. While several illustrative details have been set forth, such are only for the purpose of explaining the present invention. Various other changes, omissions and additions in the form and detail thereof may be made therein without departing from the spirit and scope of the invention.

**WHAT IS CLAIMED IS:**

1. A method of treating or preventing a disorder in a mammal, said method comprising administering to said mammal a therapeutically effective amount of phyto-percolate or a derivative thereof.

5

2. The method of claim 1, wherein said derivative is a protein having a molecular weight of about 67.5 kDa.

3. The method of claim 1, wherein said derivative is a protein having a  
10 molecular weight of about 21.0 kDa.

4. The method of claim 1, wherein said derivative is a polysaccharide.

5. The method of any of claims 1-3, wherein said derivative has fibrinolytic  
15 enzymatic activity.

6. The method of any of claims 1-5, wherein said phyto-percolate comprises between about 10 ppm and about 150 ppm of said derivative.

20 7. The method of claim 6, wherein said therapeutically effective amount is between about 1 ounce to about 12 ounces per day of said phyto-percolate.

8. The method of any of claims 1-7, wherein said disorder is selected from the group consisting of obesity, diabetes, inflammatory disorders, viral infections,  
25 cardiovascular diseases, cerebral vascular diseases, compromised immune system disorders, and metabolic disorders.

9. The method of any of claims 1-7, wherein said disorder is obesity.

30 10. The method of any of claims 1-7, wherein said disorder is diabetes.

11. The method of any of claims 1-7, wherein said disorder is an inflammatory selected from the group arthritis, rheumatoid arthritis, ulcerative colitis, and inflammatory bowel disease.
- 5 12. The method of any of claims 1-7, wherein said disorder is an HIV infection.
13. The method of any of claims 1-7, wherein said disorder is gastric reflux disease.
- 10 14. The method of any of claims 1-13, wherein said mammal is selected from the group consisting of a human, a dog, a cat, and a horse.
- 15 15. The method of any of claims 1-14, wherein said compound functions as a broad spectrum anti-inflammatory agent.
16. A compound isolated from a phyto-percolate, wherein said phyto-percolate is produced by culturing the microorganisms of ATCC Deposit # PTA-5863.
17. The compound of claim 16, wherein said compound is isolated from a  
20 culture of one or more of the microorganisms of ATCC Deposit # PTA-5863.
18. The compound of claim 16, wherein said compound is a protein.
19. The compound of claim 16, wherein said protein has a molecular weight of  
25 about 67.5 kDa.
20. The compound of claim 16, wherein said protein has a molecular weight of about 21.0 kDa.
- 30 21. The compound of claim 16, wherein said compound is a polysaccharide.

22. A pharmaceutical formulation comprising the compound of any of claims 15-20 and a pharmaceutically acceptable excipient.

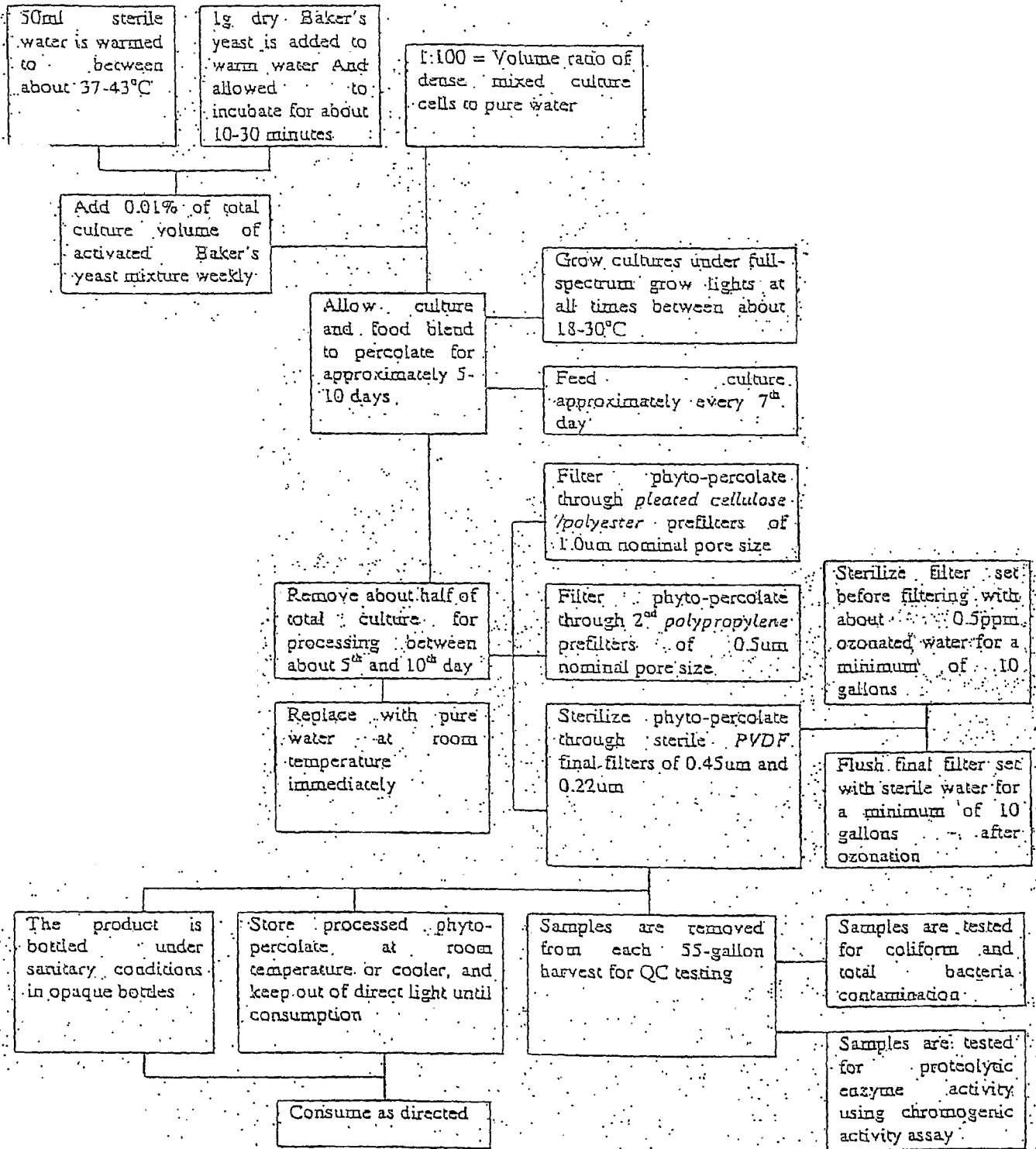


FIG. 1

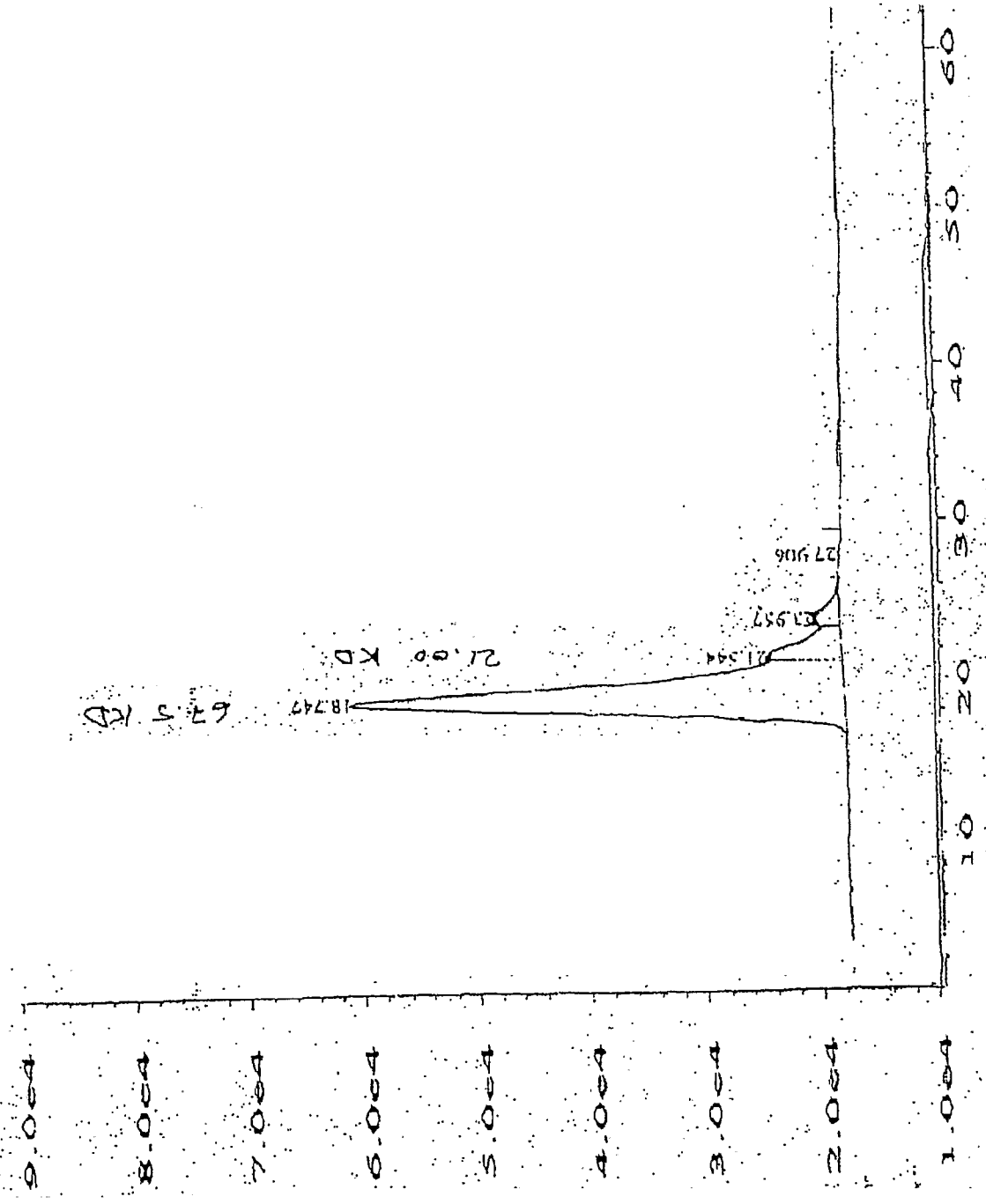


FIG. 2

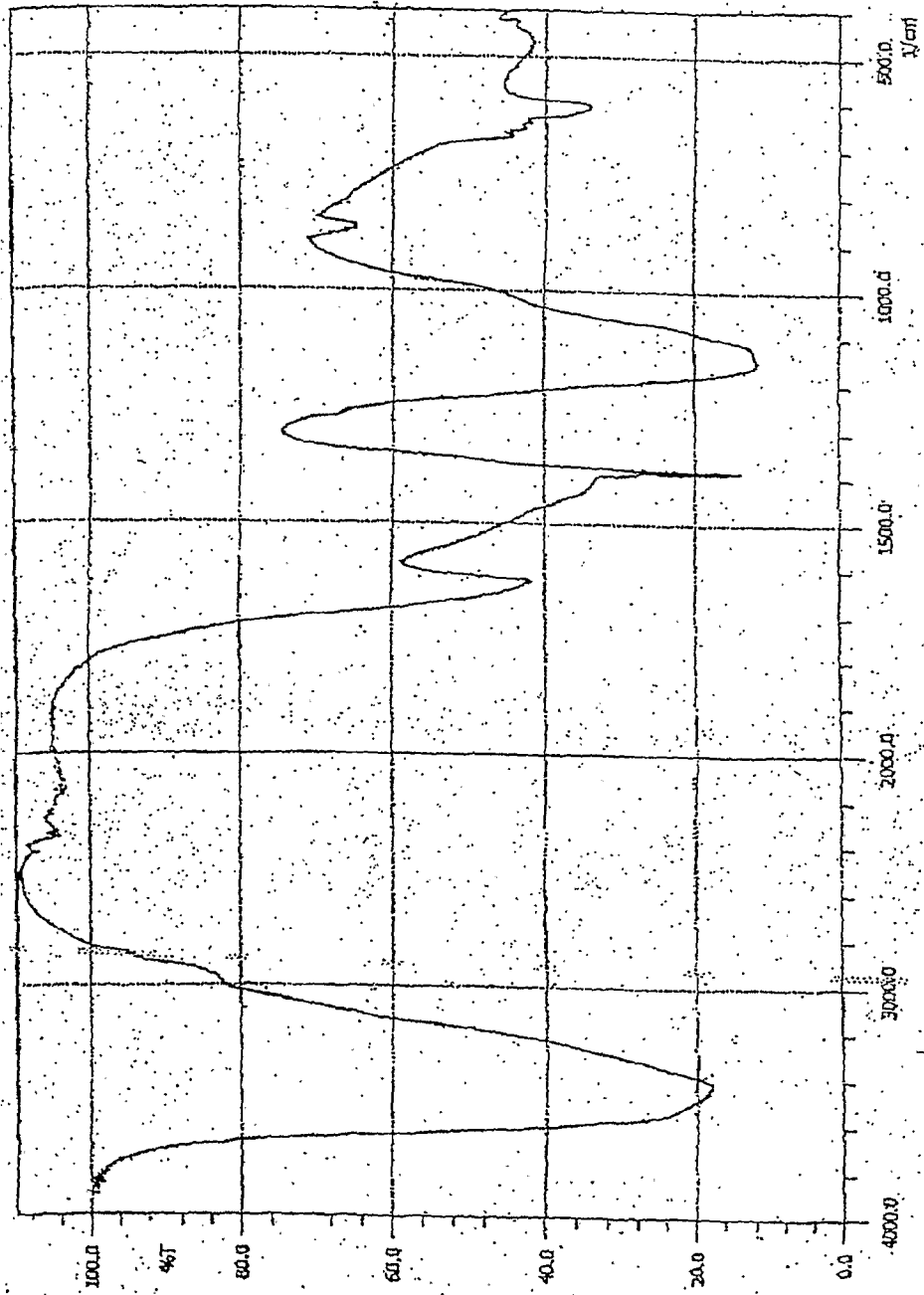


FIG. 3

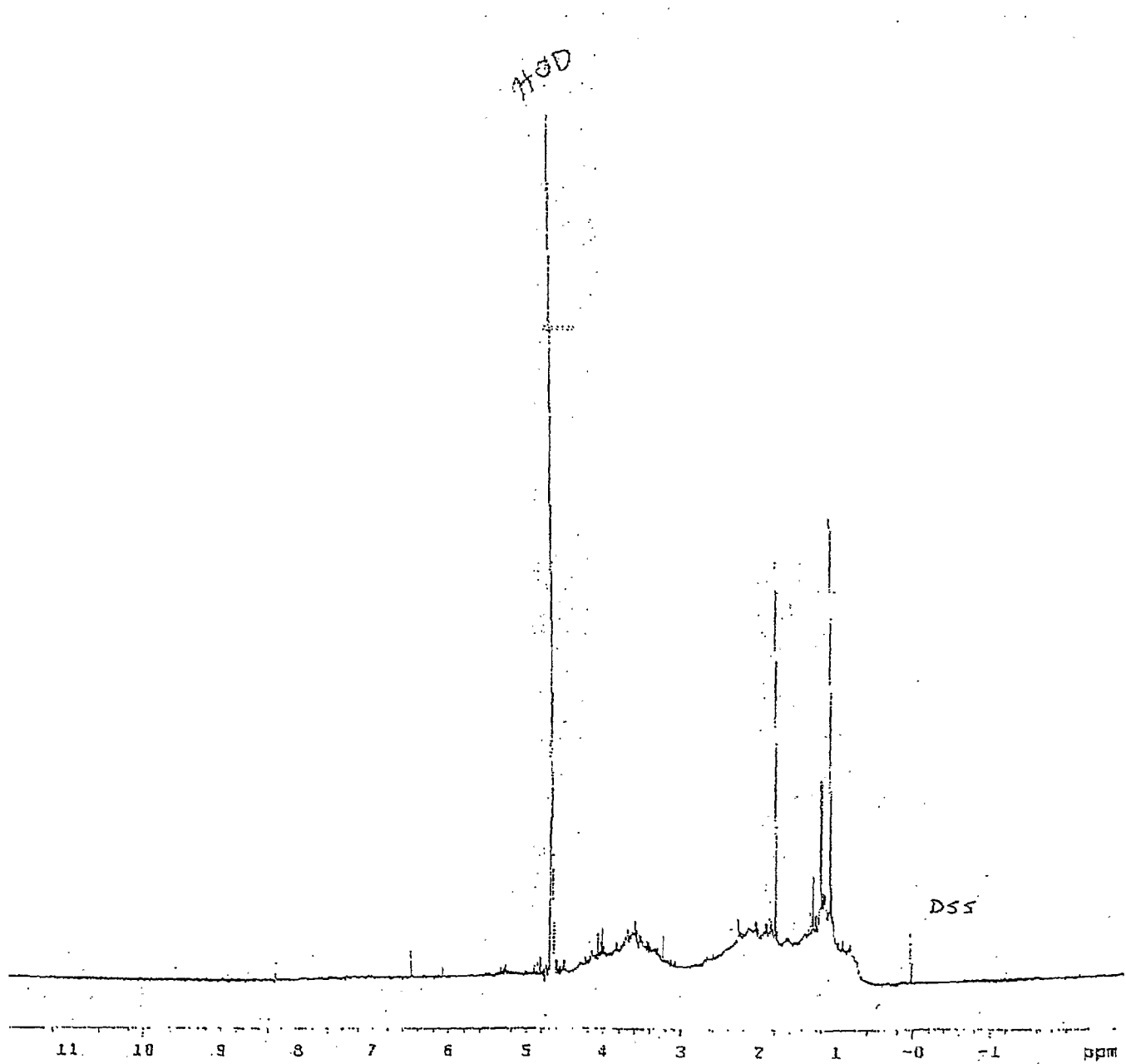


FIG. 4