

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 May 2008 (15.05.2008)

PCT

(10) International Publication Number  
**WO 2008/057296 A1**

(51) International Patent Classification:  
**H04Q 7/32** (2006.01)

(21) International Application Number:  
PCT/US2007/022759

(22) International Filing Date: 25 October 2007 (25.10.2007)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/863,185 27 October 2006 (27.10.2006) US

(71) Applicant (for all designated States except US): **INTER-DIGITAL TECHNOLOGY CORPORATION** [US/US]; 3411 Silverside Road, Concord Plaza, Suite 105, Hagley Building, Wilmington, DE 19810 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **SAMMOUR, Mohammed** [CA/CA]; 2555 Modugno, Apt. #705, Montreal, H4R 2L5 (CA). **CHANDRA, Arty** [IN/US]; 31 Jeffrey Place, Manhasset Hills, NY 11040 (US). **WANG, Jin** [CN/US]; 34 Fairlawn Drive, Central Islip, NY 11722 (US). **SOMASUNDARAM, Shankar** [IN/US]; 5 Andover Drive, Deer Park, NY 11729 (US).

(74) Agent: **BALLARINI, Robert, J.**; Volpe And Koenig, P.C., United Plaza, Suite 1600, 30 South 17th Street, Philadelphia, PA 19103 (US).

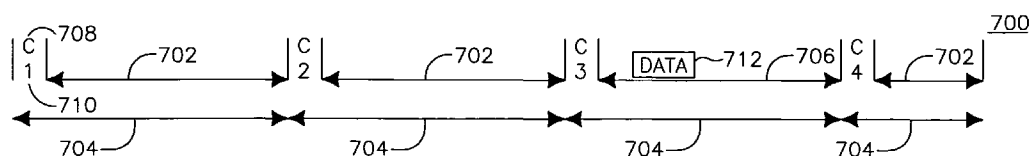
(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(54) Title: METHOD AND APPARATUS FOR ENHANCING DISCONTINUOUS RECEPTION IN WIRELESS SYSTEMS



(57) Abstract: A method of discontinuous reception (DRX) in a wireless transmit receive unit (WTRU). The method includes the WTRU receiving DRX setting information over a radio resource control (RRC) signal, and the WTRU receiving DRX activation information over medium access control (MAC) signal.



WO 2008/057296 A1

[0001]                   METHOD AND APPARATUS FOR ENHANCING  
DISCONTINUOUS RECEPTION IN WIRELESS SYSTEMS

[0002]                   FIELD OF INVENTION

[0003]           The present invention relates to wireless communication systems. More particularly, a method and apparatus is disclosed for enhancing discontinuous reception (DRX) in wireless systems.

[0004]                   BACKGROUND

[0005]           A goal of the Long Term Evolution (LTE) program of the Third Generation Partnership Project (3GPP) is to bring new technology, network architecture, configurations and applications and services to wireless networks in order to provide improved spectral efficiency, reduced latency, faster user experiences and richer applications and services with less cost. LTE's aim is to create an Evolved Universal Terrestrial Radio Access Network (E-UTRAN).

[0006]           In an LTE compliant network, discontinuous reception (DRX) operation is used by a wireless transmit/receive unit (WTRU) to save power. DRX allows the WTRU to sleep during regular intervals and wake up at specific time instances to verify if the network has data for it.

[0007]           Figure 1 shows a typical protocol stack architecture for an LTE network in accordance with the prior art. The system may include a WTRU 102, an e Node-B (eNB) 104 and an access gateway (aGW) 106. A non access stratum (NAS) protocol 108 and a packet data convergence protocol 110 (PDCP) may reside in the WTRU 102 and the aGW 106 to allow for communication between the devices. A radio resource control (RRC) protocol 112, a radio link control (RLC) protocol 114, a medium access control (MAC) protocol 116 and a physical layer (PHY) 118 may reside in both the WTRU 102 and the eNB 104 to allow for communications between those devices.

[0008]           The RRC protocol 112 may operate in two states: RRC\_IDLE and RRC\_CONNECTED. While in RRC\_IDLE state the WTRU DRX cycle is

configured by signaling over the NAS protocol 108. This state includes system information broadcasts, paging, and cell resection mobility. A WTRU in RRC\_IDLE state preferably is allocated an ID number that identifies the WTRU in a tracking area. No RRC protocol context is stored in an eNB.

[0009] In the RRC\_CONNECTED state, the WTRU may make a connection with an E-UTRAN. The E-UTRAN knows the cell to which the WTRU belongs to so that the network can transmit and receive data to/from the WTRU. In the RRC\_CONNECTED state, the network controls mobility (handover) and the WTRU conducts neighbor cell measurements. Furthermore, at the RLC/MAC level, a WTRU can transmit and receive data to/from the network and monitors a control signaling channel for a shared data channel to see if any transmission over the shared data channel has been allocated to the WTRU. The WTRU also reports channel quality information and feedback information to the eNB. A DRX/discontinuous transmission (DTX) period can be configured according to WTRU activity level for power saving and efficient resource utilization. This is typically under control of the eNB.

[0010] The NAS protocol 108 may operate in an LTE\_DETACHED state, in which there is no RRC entity. The NAS protocol 108 may also operate in an LTE\_IDLE state. Also, the NAS protocol 108 may operate in an RRC\_IDLE state, while in LTE\_DETACHED state, during which some information may be stored in the WTRU and in the network, such as IP addresses, security associations, WTRU capability information and radio bearers. Decisions regarding state transitions are typically decided in the eNB or the aGW.

[0011] The NAS protocol 108 may also operate in an LTE\_ACTIVE state, which includes an RRC\_CONNECTED state. In this state, state transitions are typically decided in the eNB or the aGW.

[0012] DRX may be activated in LTE\_ACTIVE state, which corresponds to the RRC\_CONNECTED state. Some of the services that would run in the LTE\_ACTIVE state are those services generating small packets on a regular basis, such as VoIP. Also, those services generating delay insensitive bulk packets on an infrequent basis, such as FTP, may run in the LTE\_ACTIVE, as

well as those services generating small packets on a rare basis, such as presence service.

[0013] Based on the characteristics of the aforementioned services, data transmission/reception may be performed during DRX operation without RRC signaling. Also, a DRX cycle length should be long enough for battery power savings. Furthermore, the amount of data transmitted within a DRX cycle should be variable from cycle by cycle. For example, DRX for FTP service may allow an increase in the amount of data for each DRX cycle.

[0014] Figure 2 shows a DRX signal structure 200 in accordance with the prior art. An active period 202 is the period during when a WTRU's transmitter/receiver is turned on and a sleep period 204 is the period during when a WTRU's transmitter/receiver is turned off. A DRX cycle length 206 is the time distance between consecutive active period start positions.

[0015] The DRX cycle length 206 may be determined by the network, considering the quality of service (QoS) requirements of a service activated in the WTRU. Active period start positions should be unambiguously identified by both the WTRU and the eNB.

[0016] At an active period start position, the WTRU may monitor an L1/L2 control channel during a predefined time interval to see whether there is incoming data. A length of the active period 202 may be variable, depending on the amount of data to be transmitted during the DRX cycle 206. An end position of active period 202 may be explicitly signaled by the eNB or implicitly assumed after inactivity of the predefined time interval. Uplink data transmission can be initiated anytime during the sleep period 204. Active period uplink data transmission may end when the uplink transmission is completed.

[0017] Figure 3 shows a two layer DRX method 300 in accordance with the prior art. The two layer method may be used to support flexible DRX and includes splitting the DRX signals into high level and low level. Referring to Figure 3, a high level DRX signal 302 is controlled by the RRC. The high level DRX interval 306 depends upon the basic flow requirements of the connection, for example, voice over IP, web browsing, and the like. The high level DRX interval

306 is preferably determined by the RRC in the eNB and is signaled to the WTRU using RRC control signaling.

[0018] A low level DRX signal 304 is signaled by the MAC layer. A low level DRX interval 308 is flexible and may support fast changes in the DRX interval. A MAC header may carry information regarding low level settings.

[0019] Dependence between the high level DRX 302 and low level DRX 304 should be at a minimum because the high level DRX interval 306 can be used as fallback DRX interval in case of any errors occur applying the lower level DRX interval 308. The network and the WTRU preferably are synchronized with the high layer DRX interval 306.

[0020] The relatively long high level DRX interval 306 is beneficial for WTRU power savings, but limits downlink (DL) scheduling flexibility and throughput. If there is a significant amount of data buffered in an eNB or WTRU transmission buffer, it may be beneficial to change the short low level DRX interval 308 for a period of time suitable for the transmission of the buffered data. After the data transmission, the WTRU and the eNB could resume the high level DRX interval 302.

[0021] As shown in Table 1, DRX may be split between regular signals and interim signals.

[0022] Table1: Active mode DRX control signaling

	RRC	MAC
Regular DRX control	X	
Interim DRX control		X

[0023] Signaling DRX in the RRC is based on the regularity of the basic connection requirements and may result in a regular DRX signal ensuring the requirements of the connection. Regular DRX is determined in the eNB. A WTRU should know, through RRC signaling, to apply regular DRX. In other words, when a WTRU enters active mode, one of the RRC parameters delivered to the WTRU will be the regular DRX parameters to be applied. While in active mode

the eNB can change, at any point in time and through RRC signaling, the regular DRX parameters used by the WTRU.

[0024] Figure 4 shows RRC signaling for regular DRX 400 in accordance with the prior art. An eNB 406 transmits an RRC signal 404 to a WTRU 402. The RRC signal 404 includes a regular DRX request. The WTRU 402 responds to the eNB 406 with an RRC signal 408 indicating that the WTRU received the regular DRX request.

[0025] MAC layer DRX may be able to handle fast and irregular changes, such as, an instantaneous increase of data throughput, for example. The MAC layer interim DRX may be temporary. Interim DRX settings preferably are determined in the eNB. A WTRU acquires information regarding which interim DRX parameters to apply through MAC signaling. MAC signaling from the eNB to the WTRU may include interim DRX information. The WTRU may apply the interim DRX according to network instructions. Applying interim DRX does not affect the regular DRX interval. When a WTRU no longer applies interim DRX it will resume regular DRX.

[0026] Figure 5 shows MAC signaling 500 for regular DRX in accordance with the prior art. An e Node-B 506 transmits a MAC signal 504 to a WTRU 502. The MAC signal 504 includes DRX activation commands. The WTRU 502 responds to the eNB 506 with a hybrid automatic retransmit request (HARQ) process 508 indicating whether the WTRU received the activation commands.

[0027] SUMMARY

[0028] A method and apparatus for discontinuous reception (DRX) in a wireless transmit receive unit (WTRU) is disclosed. The method preferably includes a WTRU receiving DRX setting information over a radio resource control (RRC) signal, and the WTRU receiving DRX activation information over medium access control (MAC) signal. The method may also include the WTRU grouping DRX setting information into a DRX profile and determining a DRX profile index associated with the DRX profile. The method may also include the WTRU, in a

DRX minimum active period, receiving a data indication signal from an eNB and remaining in an active period based on the data indication signal.

[0029] BRIEF DESCRIPTION OF THE DRAWINGS

[0030] A more detailed understanding may be had from the following description, given by way of example and to be understood in conjunction with the accompanying drawings wherein:

[0031] Figure 1 shows a typical protocol stack architecture for an LTE network in accordance with the prior art;

[0032] Figure 2 shows a DRX signal structure in accordance with the prior art;

[0033] Figure 3 shows a two layer DRX method in accordance with the prior art;

[0034] Figure 4 shows regular DRX signaling in accordance with the prior art;

[0035] Figure 5 shows interim DRX signaling in accordance with the prior art;

[0036] Figure 6a shows DRX settings information signaling in accordance with one embodiment;

[0037] Figure 6b shows DRX activation information signaling in accordance with one embodiment;

[0038] Figure 7a is a signal diagram of DRX operation in accordance with one embodiment;

[0039] Figure 7b is a signal diagram of DRX operation in accordance with an alternative embodiment;

[0040] Figure 7c is a signal diagram of DRX operation in accordance with another embodiment; and

[0041] Figure 7d is a signal diagram of DRX operation in accordance with yet another embodiment.

[0042] DETAILED DESCRIPTION

[0043] When referred to hereafter, the terminology "wireless transmit/receive unit (WTRU)" includes but is not limited to a user equipment (UE), a mobile station, a fixed or mobile subscriber unit, a pager, a cellular telephone, a personal digital assistant (PDA), a computer, or any other type of user device capable of operating in a wireless environment. When referred to hereafter, the terminology "base station" includes but is not limited to a Node-B, a site controller, an access point (AP), or any other type of interfacing device capable of operating in a wireless environment.

[0044] Two layer DRX operation may include a regular DRX operation controlled by RRC signaling and an interim DRX operation controlled by MAC signaling. The use of RRC signaling takes advantage of the reliability and robustness of RRC signaling in general. Reliability is achieved via response or acknowledgement messages that are generated at the RRC layer or via the use of the acknowledged mode (AM) service of the RLC layer. Also, ciphering and integrity protection are required for RRC signaling, thus making an RRC signal a reliable signal.

[0045] A MAC signal is used for speed. MAC signaling is generally faster to generate and to process than RRC signaling. Interim DRX operations that use MAC signaling may be flexible, but do not include the reliability and security aspects that provided in RRC signaling and not MAC signaling.

[0046] DRX signaling information can be classified into two categories: 1) DRX settings, parameters or configurations, such as DRX cycle periodicity, for example, and 2) DRX activation commands, such as to turn DRX on or off, for example.

[0047] The DRX settings, parameters or configuration information preferably is signaled reliably, robustly, and securely. Interim DRX RRC signaling parameters and configuration information may be communicated via RRC signaling. However, DRX activation commands that, for example, instruct the WTRU to enter DRX mode, are preferably signaled quickly via MAC



signaling. For example, the commands to enter or exit interim DRX are signaled via MAC signaling.

[0048] In an alternative, some DRX settings, parameters, or configuration information may be signaled with the DRX activation commands.

[0049] Figure 6a shows interim DRX setting signaling in accordance with one embodiment. Interim DRX setting information may be conveyed using RRC messages. A WTRU 602 receives an RRC signal 606 containing interim DRX setting information from an eNB 604. The WTRU 602 may respond to the eNB 604 with a confirmation signal 608.

[0050] Figure 6b shows interim DRX activation signaling in accordance with one embodiment. The interim DRX activation signals are conveyed using MAC signals. The WTRU 602 receives a MAC signal 610 containing interim DRX activation information from an eNB 604. The WTRU 602 may respond to the eNB 604 with a hybrid automatic repeat request (HARQ) signal 612.

[0051] Sets of DRX setting information can be grouped to form a DRX profile. A DRX Profile ID may be used to indicate the DRX profile. RRC signaling may be used to define a DRX profile and attach it to a DRX Profile ID. The DRX profile may be used with interim DRX, regular DRX, or any other DRX mode. Once the profiles are setup or preconfigured, an eNB and a WTRU may exchange DRX activation commands that may reference an appropriate DRX Profile ID for the WTRU. The activation commands may be RRC signals, but are preferably MAC signals.

[0052] The WTRU may dynamically apply the DRX parameter information in a particular DRX profile using MAC signaling that makes reference to the DRX profile ID, rather than having to specify and detail all DRX parameters. An interim DRX activation signal may reference a DRX Profile ID, or may contain some DRX settings that were not included in the RRC signaling. This signaling method may be applied to any level DRX or any type of DRX operation in general.

[0053] A DRX cycle preferably contains an active period and a sleep period. The active period start positions may be unambiguously identified by both a

WTRU and an eNB, while the active period length may be variable and depend on an amount of data to be transmitted during the DRX cycle.

[0054] A DRX signaling message preferably specifies an activation time or a start time that is used to indicate a time to activate the DRX cycle or enter into DRX mode. An activation time can be indicated in absolute terms, or relative to the present time, to ensure that the both the WTRU and the eNB unambiguously identify the start of the DRX cycle. MAC or RRC signaling messages used for DRX preferably include a DRX activation or start time.

[0055] A WTRU may remain in an awake DRX mode for a minimum active period. The minimum active period preferably is communicated in a DRX signaling message, either RRC or MAC, or it can be predefined. The minimum active period may ensure that if a WTRU has missed some transmissions it will soon be awake to receive them.

[0056] DRX structure may be defined periodically, for example, one DRX cycle every 50 msec. In order to increase the flexibility of DRX, another mode of DRX operation may be utilized whereby a DRX cycle start time is defined during a previous DRX cycle. This mode can be used independent of or in addition to the periodic mode of DRX operation. As an example, during the active period of a DRX cycle, once the WTRU has received its intended data and there are no further packets to transmit to that WTRU at the eNB, the eNB may instruct the WTRU via a signaling message, either MAC or RRC, to go to sleep for a predetermined time and/or wake up at a predetermined time.

[0057] Additionally, it may be advantageous under certain circumstances to keep the WTRU awake during a DRX cycle instead of allowing it to go to sleep until the next DRX cycle. In order to achieve that, a DRX signaling message, either MAC or RRC, may be used to instruct the WTRU to stay awake until a specified time, such as, the next DRX cycle, for example.

[0058] A WTRU may, by default, enter DRX once it is in the active/connected state. As an alternative, signaling messages may be used to exchange capability information regarding whether the WTRU supports DRX operation in the active/connected state. An eNB may obtain the WTRU's active

mode DRX capability and any other parameters associated with such capability. Accordingly, the eNB may instruct the WTRU to go into active mode DRX as it deems necessary.

[0059] A WTRU may remain awake for a minimum active period. During this period, the eNB may use Layer 1, Layer 2 or Layer 3 signaling messages to indicate whether data will be transmitted to the WTRU during a particular DRX cycle. The WTRU may stay in the active period until the beginning of the next DRX cycle. The WTRU will not sleep following the reception of its data until the beginning of the next DRX cycle.

[0060] The WTRU may wait for an explicit signal from the eNB to indicate the presence of data for a particular WTRU. IF the WTRU does not receive an indication from the eNB, the WTRU may determine that no signal was transmitted or the signal went missing but shall stay awake because there might be something on the downlink for the WTRU

[0061] Figure 7a shows a signal diagram for DRX operation 700 in accordance with one embodiment. The DRX cycle 704 includes a minimum active time 710 and a sleep time 702. The WTRU may receive a command 708 in each minimum active time 710. If data is available for the WTRU, the WTRU receives an indication in the command 708, receives the data 712, and stays awake until the next DRX cycle 704.

[0062] In an alternative embodiment, if the eNB has not or will not transmit data for the WTRU during this DRX cycle, it does not send the command 708. The WTRU may interpret the lack of command as an indication that it can go back to sleep until the next DRX cycle, as it has no data to receive.

[0063] Figure 7b shows a signal diagram for DRX operation 720 in accordance with another embodiment. The WTRU receives a command 708 during the minimum active time 710 indicating whether there is data for the WTRU. Once the WTRU receives the command that indicates that the eNB is transmitting during the DRX cycle 712, the WTRU exits DRX completely and may disregard its prior DRX operation and configuration. The WTRU may then stay awake in a non-DRX cycle 722. The eNB may use a signaling message 724

to instruct the WTRU to go back into DRX operation at time  $t_2$  726. The signaling can be RRC, MAC, or PHY signaling, and a trigger to generate the signaling can be the detection of idle or inactivity time following a data transmission of data. Another trigger may be the eNB's knowledge that there are no more packets that need to be transmitted to the WTRU. The WTRU then resumes DRX operation and receives a command 708 during the next minimum active time 710 in the next DRX cycle 704.

[0064] Figure 7c shows a signal diagram for DRX operation 740 in accordance with an alternative embodiment. The DRX signaling message that is used to activate DRX operation 744 may include a periodicity of the DRX cycle, that is, a DRX cycle time), a minimum active time, and a relative or/and absolute time when the WTRU should start or activate the DRX operation. The WTRU may go back into DRX operation at the next DRX cycle, as in Figure 7b, or after the next DRX cycle occurs, as in Figure 7c.

[0065] A WTRU that is not in DRX mode may send a signaling message to an eNB indicating that the WTRU wants to enter DRX mode. The signaling can be RRC, MAC, or PHY signaling. The WTRU may use a trigger to generate the signaling, such as, the detection of an idle time or inactivity time following the reception of data by the WTRU, for example. There may be other triggers as well. Upon receiving the signaling message, the eNB generates a response signal to instruct the WTRU to go into DRX operation and the DRX settings.

[0066] Figure 7d shows a signal diagram for DRX operation 760 in accordance with another alternative embodiment. A signaling message 762 indicates a relative or absolute time 764 when data transmission will begin and optionally, a relative or absolute time when data transmission will end 766. The WTRU stays in DRX mode.

[0067] A DRX cycle is typically associated with a single WTRU. However, for multimedia broadcast/multicast service (MBMS), it is difficult to broadcast to multiple WTRU's that have different DRX cycles. Therefore, an eNB or a radio access network (RAN) may define an "MBMS DRX" cycle that is common for a group of WTRU's. One-to-one signaling messages can be exchanged between the

eNB and a WTRU to set up and confirm the MBMS DRX cycle. In an alternative embodiment, the MBMS DRX cycle can be set up via multicast or broadcast messages, for example, on a broadcast channel. In another alternative embodiment, the MBMS DRX cycle can be implicit or derived from a predetermined MBMS scheduling pattern. A WTRU may power down its MBMS transceiver during an MBMS DRX cycle.

[0068] It is preferable to coordinate between the MBMS traffic or the MBMS DRX cycle and the WTRU's normal DRX cycle. For example, MBMS traffic can be scheduled with the DRX cycle of the WTRU. This scheme may be less flexible if there are many WTRUs involved in MBMS that have different DRX cycles, but may lead to increased efficiency since the WTRU will have aligned DRX and MBMS intervals.

[0069] During DTX, a WTRU transmits during pre-determined intervals, and sleeps during the rest. Coordination between DTX and DRX may be utilized, and the DRX and DTX intervals/cycles may coincide as much as possible, in order to allow maximum efficiency in power consumption. For example, uplink resource assignment can be done in a periodically. Aligning the uplink resource assignment with the DRX period may result in greater efficiency. In particular, periodic thin channel assignments can coincide with the DRX cycle.

[0070] System messages related to handover are critical. If a DRX cycle is too long, a WTRU may react too late to handover commands, which can cause complete failure of transmission and reception. Accordingly, the handover timing should be a consideration when the DRX cycle is determined, adjusted and signaled by an eNB.

[0071] For example, when a WTRU is close to a cell edge a measurement cycle may be required to be shorter than the normal DRX cycle in LTE active mode. Therefore, a signaling message may be sent to the WTRU to reconfigure the DRX cycle to reflect the WTRU being close to a cell edge.

[0072] Also, when a neighbor cell's measurements are strong, meaning it is a high probability that handover may occur, the DRX cycle should be turned off by the eNB by sending a signaling message or command to the WTRU. The

WTRU may continuously monitor its own and its neighbor cell's reference signal, to, for example, prepare autonomous timing adjustment, or to prepare for any handover related activity. In general, when the serving cell's signal strength or transmission quality indicator is below a certain threshold, the WTRU preferably is not be put into DRX mode in order to give the WTRU a better chance to make measurements and try and sustain the call.

[0073] WTRU mobility aspects may also be a factor to determine the DRX cycle in LTE active mode. Separate DRX settings may be implemented for different services, such as VoIP, web browsing traffic and the like. A WTRU may have multiple separate or independent DRX cycles for each of the services, or a WTRU may have a single DRX cycle whose DRX settings/parameters satisfy the most frequent traffic pattern. If multiple DRX cycles are used, the cycles may be aligned or coincide as much as possible, in order to maximize the potential for power savings.

[0074] EMBODIMENTS

1. A method of discontinuous reception (DRX) in a wireless transmit receive unit (WTRU), the method comprising the WTRU receiving DRX setting information over a radio resource control (RRC) signal.
2. The method as in embodiment 1 further comprising the WTRU receiving DRX activation information over medium access control (MAC) signal.
3. The method as in embodiment 1 or 2 further comprising grouping DRX setting information into a DRX profile.
4. The method as in embodiment 3 further comprising determining a DRX profile index associated with the DRX profile.
5. The method as in embodiment 4 further comprising the WTRU receiving the DRX profile over RRC signaling.
6. A method of discontinuous reception (DRX) in a wireless communication system, the method comprising a wireless transmit receive unit (WTRU) in a DRX minimum active period receiving a data indication signal from an e Node-B (eNB).

7. The method as in embodiment 6 further comprising the WTRU remaining in an active period based on the data indication signal.
8. The method as in embodiment 6 or 7 further comprising the WTRU discontinuing DRX operation based on the data indication signal.
9. The method as in embodiment 6, 7 or 8 further comprising the WTRU resuming DRX operation based on a received signal from an eNB after data reception.
10. The method as in any one of embodiments 6-9 further comprising the WTRU transmitting a signal to the eNB, wherein the signal comprises a request to enter DRX mode.
11. The method as in embodiment 10 further comprising the WTRU transmitting the signal to the eNB based on a trigger.
12. The method as in embodiment 10 or 11 further comprising the eNB responding to the signal with a second signal, wherein the second signal comprises DRX settings information.
13. The method as in any one of embodiments 6-12 wherein the data indication signal comprises a DRX start time.
14. The method as in any one of embodiments 6-12 wherein the data indication signal comprises a DRX cycle time, a DRX minimum activation time, and a DRX start time.
15. The method as in any one of embodiments 6-14 further comprising the WTRU discontinuing DRX operation based on the data indication signal.
16. The method as in any one of embodiments 6-15 further comprising the WTRU resuming DRX operation at a start of a regular DRX cycle.
17. The method as in any one of embodiments 6-16 wherein the data indication signal comprises a data transmission start time and an indication of a temporal length of the data transmission.
18. A method of discontinuous reception and discontinuous transmission in a wireless communication system, the method comprising coordinating DRX and discontinuous transmission (DTX) cycles such that the DRX and DTX cycles coincide.

19. The method as in embodiment 18 wherein a DRX measurement cycle is dependent on a distance of a wireless transmit receive unit (WTRU) from a cell edge.

20. The method as in any one of embodiment 19 further comprising the WTRU discontinuing DRX mode based on a serving cell strength falling below a threshold.

21. The method as in any one of embodiments 18-20 further comprising determining a separate DRX cycle for certain services, wherein the certain services comprise voice-over-IP (VOIP) and web browsing.

22. A wireless transmit receive unit (WTRU) configured to receive discontinuous reception (DRX) setting information in an radio resource control (RRC) signal.

23. The WTRU as in embodiment 22 further configured to receive DRX activation information in a medium access control (MAC) signal.

24. The WTRU as in embodiment 22 or 23 wherein the WTRU is further configured to receive a data indication signal from an e Node-B (eNB) while the WTRU is in a DRX minimum active period.

25. The WTRU as in embodiment 24 wherein the WTRU is further configured to remain in an active period based on the data indication signal.

26. The WTRU as in embodiment 23 or 24 wherein the WTRU is further configured to discontinue DRX operation based on the data indication signal.

27. The WTRU as in any one of embodiments 24-26 wherein the WTRU is further configured to resume DRX operation based on a received signal from an eNB after data reception.

28. The WTRU as in embodiment 27 wherein the WTRU is further configured to transmit a signal to the eNB, wherein the signal comprises a request to enter DRX mode.

29. The WTRU as in embodiment 27 or 28 wherein the WTRU is further configured to transmit the signal to the eNB based on a trigger.



30. The WTRU as in any one of embodiments 24-29 wherein the WTRU is further configured to discontinue DRX operation based on the data indication signal.

31. The WTRU as in any one of embodiments 24-30 wherein the WTRU is further configured to resume DRX operation at a start of a regular DRX cycle.

32. The WTRU as in any one of embodiments 24-31 wherein the WTRU is further configured to measure an activity time following reception of data.

33. The WTRU as in embodiment 32 wherein the WTRU is further configured to determine DRX operation start based on the inactivity time.

34. The WTRU as in any one of embodiments 24-33 wherein the WTRU is further configured to remain in DRX operation for a minimum active period.

[0075] Although the features and elements are described in the preferred embodiments in particular combinations, each feature or element can be used alone without the other features and elements of the preferred embodiments or in various combinations with or without other features and elements. The methods or flow charts provided may be implemented in a computer program, software, or firmware tangibly embodied in a computer-readable storage medium for execution by a general purpose computer or a processor. Examples of computer-readable storage mediums include a read only memory (ROM), a random access memory (RAM), a register, cache memory, semiconductor memory devices, magnetic media such as internal hard disks and removable disks, magneto-optical media, and optical media such as CD-ROM disks, and digital versatile disks (DVDs).

[0076] Suitable processors include, by way of example, a general purpose processor, a special purpose processor, a conventional processor, a digital signal processor (DSP), a plurality of microprocessors, one or more microprocessors in association with a DSP core, a controller, a microcontroller, Application Specific Integrated Circuits (ASICs), Field Programmable Gate Arrays (FPGAs) circuits, any other type of integrated circuit (IC), and/or a state machine.

[0077] A processor in association with software may be used to implement a radio frequency transceiver for use in a wireless transmit receive unit (WTRU),

user equipment (UE), terminal, base station, radio network controller (RNC), or any host computer. The WTRU may be used in conjunction with modules, implemented in hardware and/or software, such as a camera, a video camera module, a videophone, a speakerphone, a vibration device, a speaker, a microphone, a television transceiver, a hands free headset, a keyboard, a Bluetooth® module, a frequency modulated (FM) radio unit, a liquid crystal display (LCD) display unit, an organic light-emitting diode (OLED) display unit, a digital music player, a media player, a video game player module, an Internet browser, and/or any wireless local area network (WLAN) module.

\*

\*

\*

## CLAIMS

What is claimed is:

1. A method of discontinuous reception (DRX) in a wireless transmit receive unit (WTRU), the method comprising:  
the WTRU receiving DRX setting information over a radio resource control (RRC) signal; and  
the WTRU receiving DRX activation information over medium access control (MAC) signal.
2. The method as in claim 1 further comprising grouping DRX setting information into a DRX profile.
3. The method as in claim 2 further comprising determining a DRX profile index associated with the DRX profile.
4. The method as in claim 3 further comprising the WTRU receiving the DRX profile over RRC signaling.
5. A method of discontinuous reception (DRX) in a wireless communication system, the method comprising:  
a wireless transmit receive unit (WTRU) in a DRX minimum active period receiving a data indication signal from an e Node-B (eNB); and  
the WTRU remaining in an active period based on the data indication signal.
6. The method as in claim 5 further comprising:  
the WTRU discontinuing DRX operation based on the data indication signal; and  
the WTRU resuming DRX operation based on a received signal from an eNB after data reception.

7. The method as in claim 5 further comprising the WTRU transmitting a signal to the eNB, wherein the signal comprises a request to enter DRX mode.

8. The method as in claim 7 further comprising the WTRU transmitting the signal to the eNB based on a trigger.

9. The method as in claim 7 further comprising the eNB responding to the signal with a second signal, wherein the second signal comprises DRX settings information.

10. The method as in claim 5 wherein the data indication signal comprises a DRX start time.

11. The method as in claim 5 wherein the data indication signal comprises a DRX cycle time, a DRX minimum activation time, and a DRX start time.

12. The method as in claim 5 further comprising:  
the WTRU discontinuing DRX operation based on the data indication signal; and  
the WTRU resuming DRX operation at a start of a regular DRX cycle.

13. The method as in claim 5 wherein the data indication signal comprises a data transmission start time and an indication of a temporal length of the data transmission.

14. A method of discontinuous reception and discontinuous transmission in a wireless communication system, the method comprising coordinating DRX

and discontinuous transmission (DTX) cycles such that the DRX and DTX cycles coincide.

15. The method as in claim 5 wherein a DRX measurement cycle is dependent on a distance of a wireless transmit receive unit (WTRU) from a cell edge.

16. The method as in claim 14 further comprising the WTRU discontinuing DRX mode based on a serving cell strength falling below a threshold.

17. The method as in claim 14 further comprising determining a separate DRX cycle for certain services, wherein the certain services comprise voice-over-IP (VOIP) and web browsing.

18. A wireless transmit receive unit (WTRU) configured to:  
receive discontinuous reception (DRX) setting information in an radio resource control (RRC) signal; and  
receive DRX activation information in a medium access control (MAC) signal.

19. The WTRU as in claim 18 wherein the WTRU is further configured to:  
receive a data indication signal from an e Node-B (eNB) while the WTRU is in a DRX minimum active period; and  
remain in an active period based on the data indication signal.

20. The WTRU as in claim 18 wherein the WTRU is further configured to:

discontinue DRX operation based on the data indication signal; and  
resume DRX operation based on a received signal from an eNB after data reception.

21. The WTRU as in claim 18 wherein the WTRU is further configured to transmit a signal to the eNB, wherein the signal comprises a request to enter DRX mode.

22. The WTRU as in claim 21 wherein the WTRU is further configured to transmit the signal to the eNB based on a trigger.

23. The WTRU as in claim 18 wherein the WTRU is further configured to:

discontinue DRX operation based on the data indication signal; and  
resume DRX operation at a start of a regular DRX cycle.

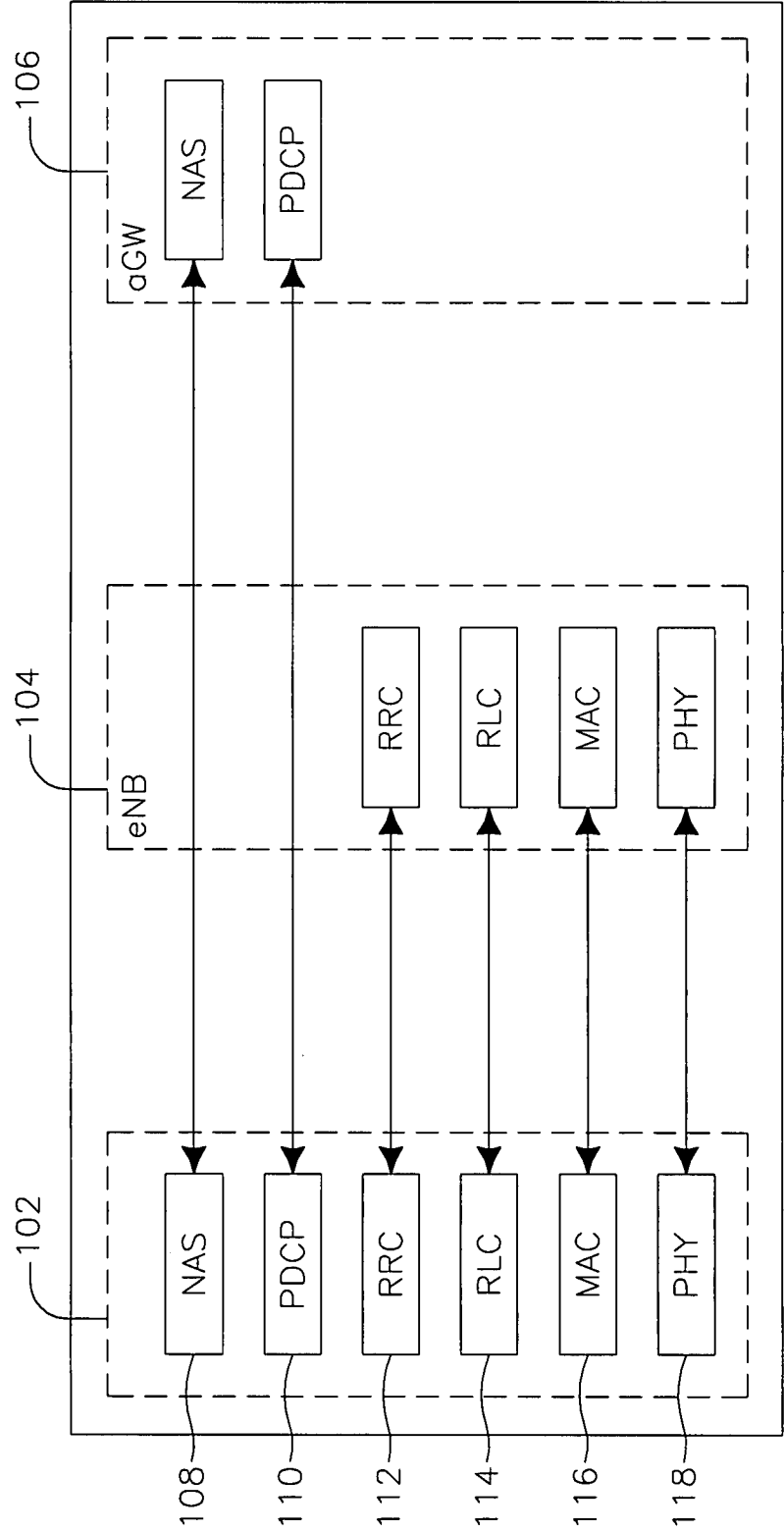
24. The WTRU as in claim 18 wherein the WTRU is further configured to:

measure an inactivity time following reception of data; and  
determine DRX operation start based on the inactivity time.

25. The WTRU as in claim 18 wherein the WTRU is further configured to:

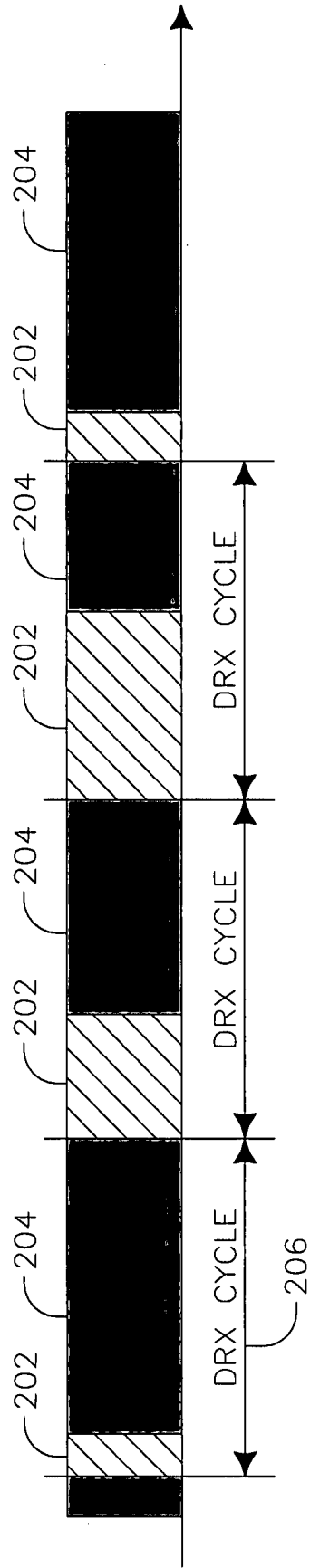
remain in DRX operation for a minimum active period.

100



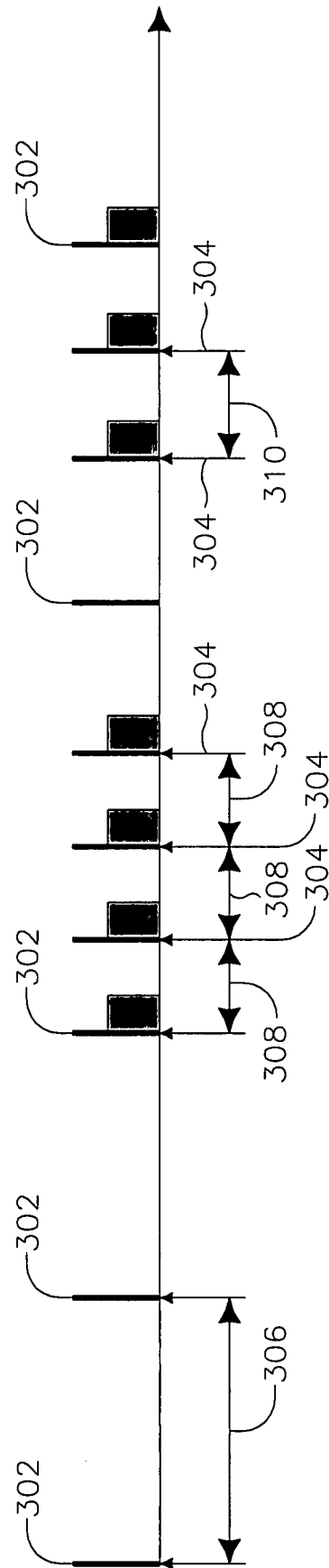
PRIOR ART  
**FIG.1**

200

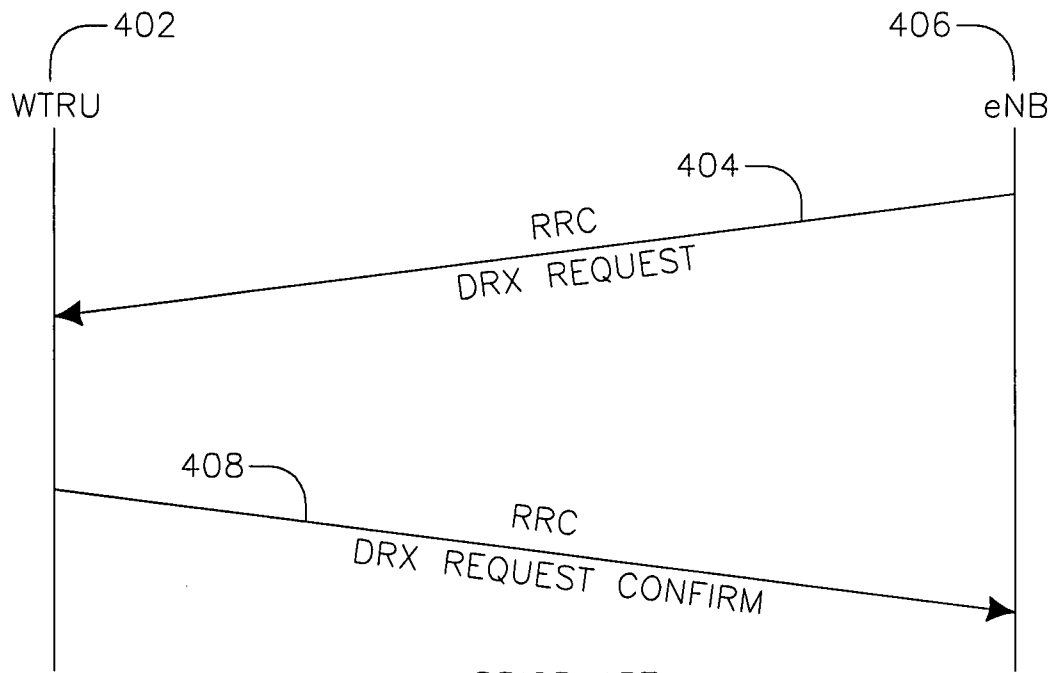


PRIOR ART  
**FIG.2**

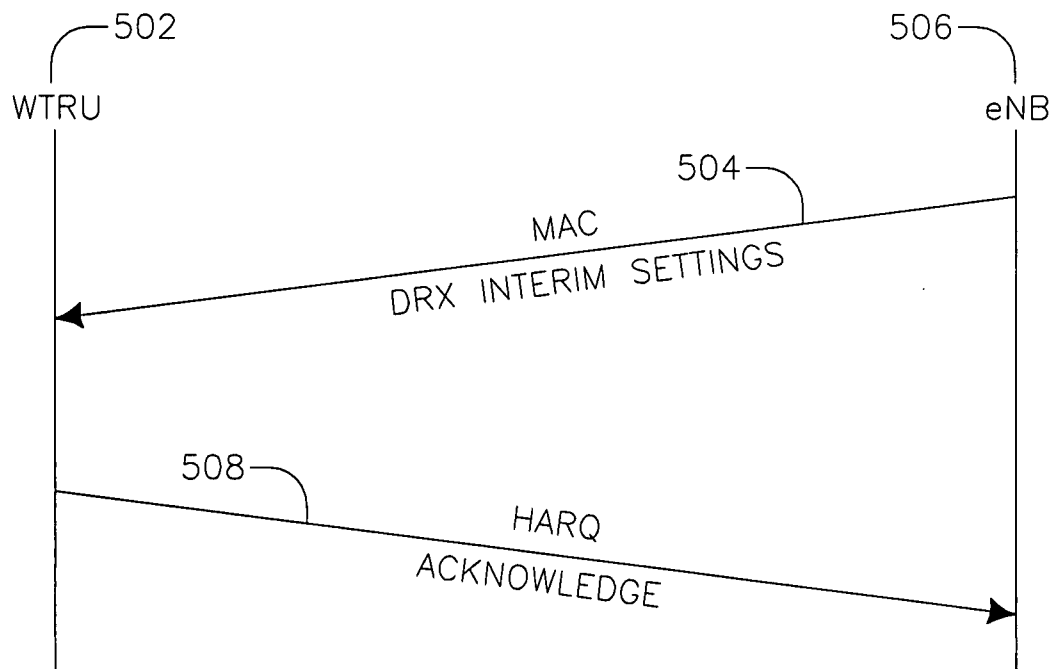




PRIOR ART  
**FIG.3**

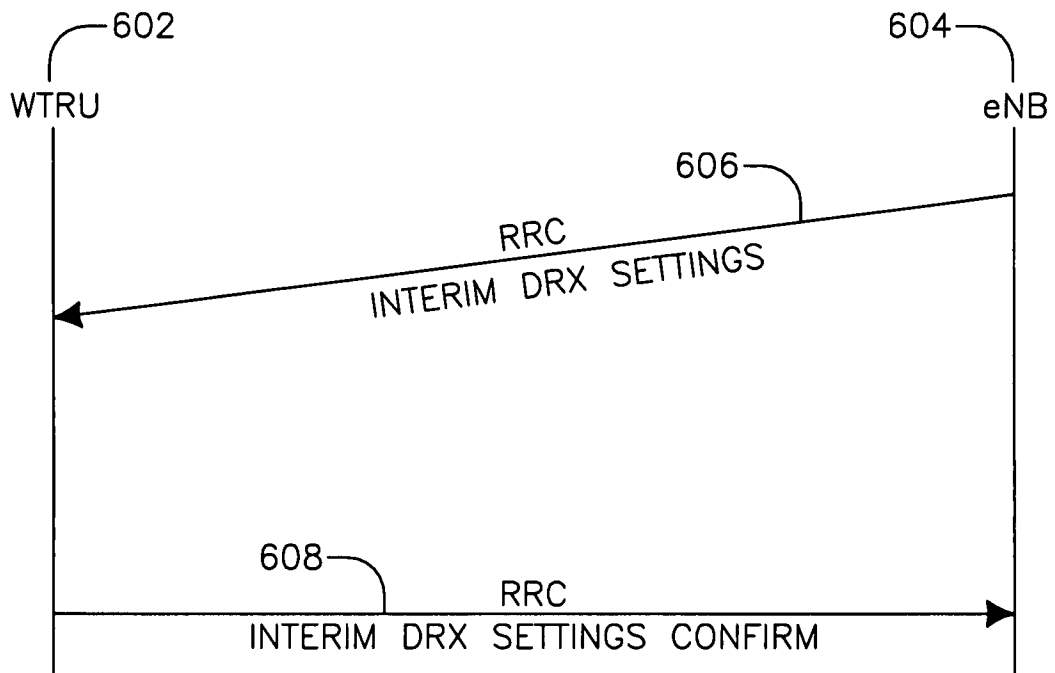
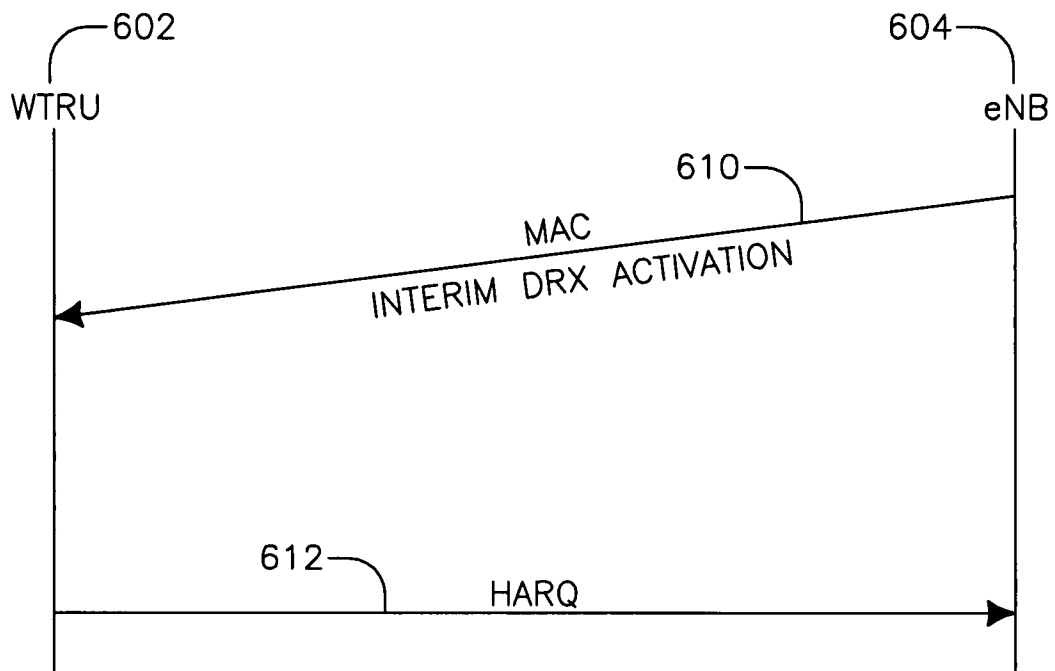
400

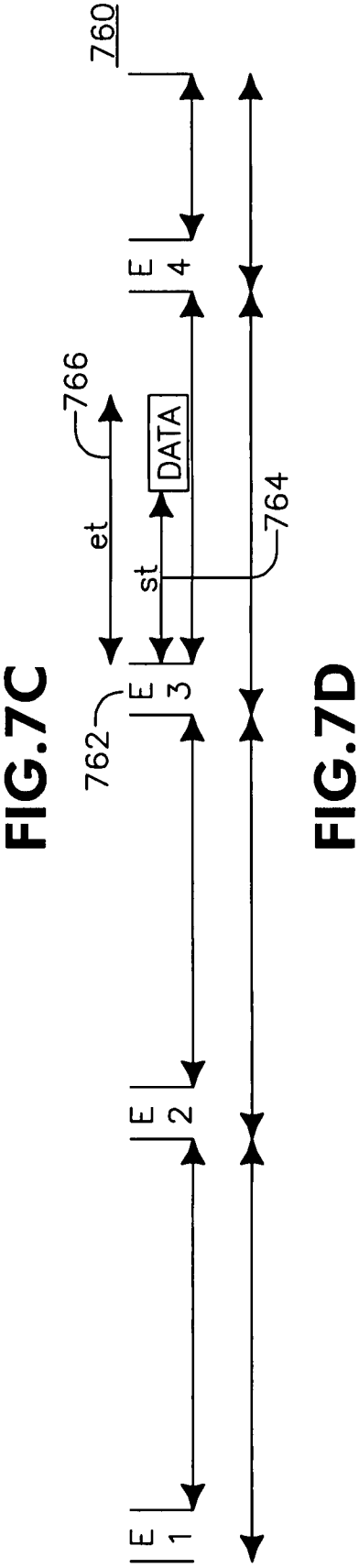
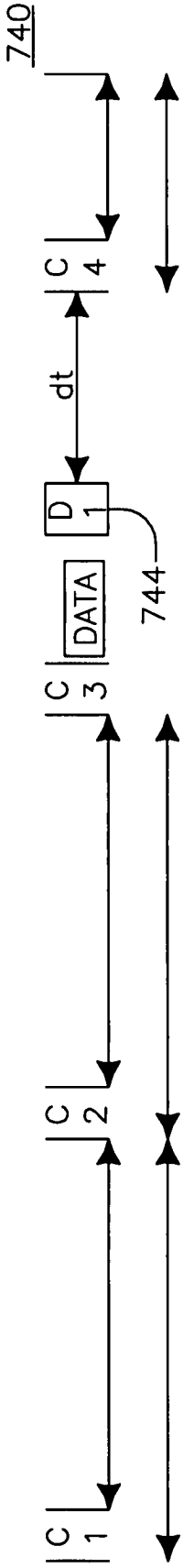
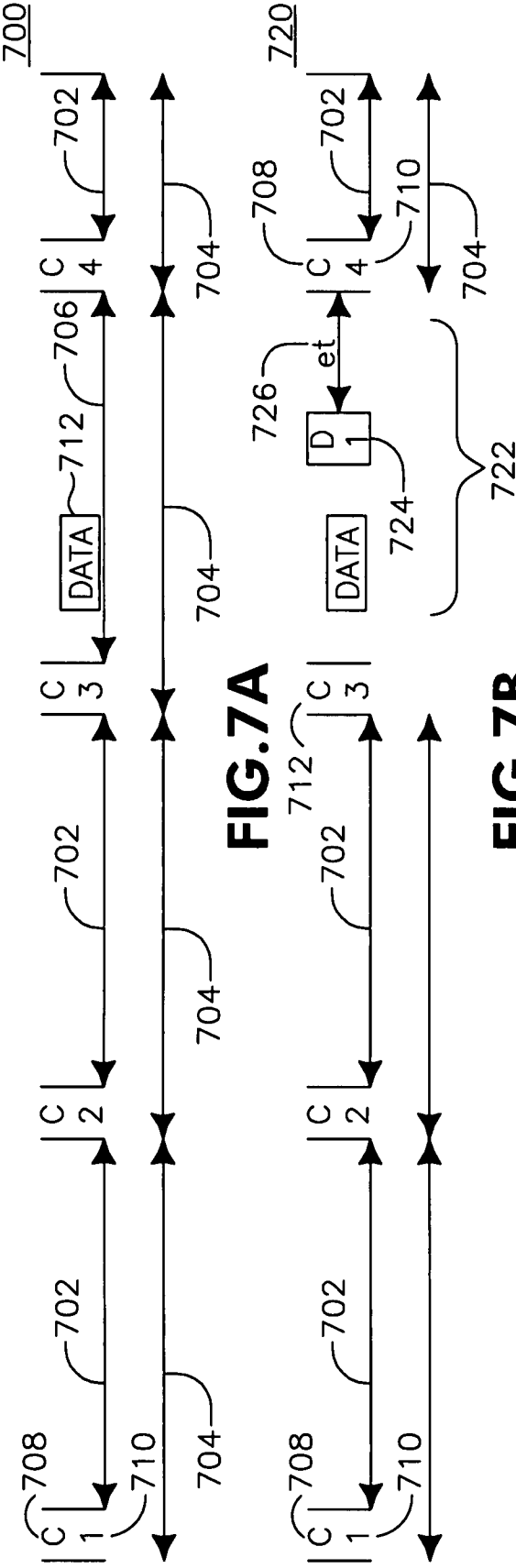
PRIOR ART

**FIG.4**500

PRIOR ART

**FIG.5**

**FIG. 6A****FIG. 6B**



**FIG. 7D**

# INTERNATIONAL SEARCH REPORT

International application No

PCT/US2007/022759

## A. CLASSIFICATION OF SUBJECT MATTER

INV. H04Q7/32

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2004/029596 A1 (KIM SOENG-HUN [KR] ET AL) 12 February 2004 (2004-02-12) paragraphs [0068], [0077], [0079]	1-4, 18
Y	US 2006/029011 A1 (ETEMAD KAMRAN [US] ET AL) 9 February 2006 (2006-02-09) paragraphs [0026] - [0033]	1-4, 18
X	US 2003/185162 A1 (FRASER RONALD W [US] ET AL) 2 October 2003 (2003-10-02) paragraphs [0056] - [0060], [0068]	5-13, 19-25
X	US 2005/063304 A1 (SILLASTO EERO [FI] ET AL) 24 March 2005 (2005-03-24) paragraphs [0035], [0036]	5-13, 19-25
	-/--	



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\* & \* document member of the same patent family

Date of the actual completion of the International search

9 April 2008

Date of mailing of the international search report

18/04/2008

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040; Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Milano, Massimo

## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2007/022759

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	EP 1 841 249 A (SAMSUNG ELECTRONICS CO LTD [KR]) 3 October 2007 (2007-10-03) paragraphs [0020] - [0023] -----	5-13, 19-25
X	US 2002/045458 A1 (PARANTAINEN JANNE [FI] ET AL) 18 April 2002 (2002-04-18) paragraph [0083] -----	14-17
A	-: "MTCH DISCONTINUOUS TRANSMISSION AND RECEPTION" 3RD GENERATION PARTNERSHIP PROJECT (3GPP); TECHNICAL SPECIFICATION GROUP (TSG) RADIO ACCESS NETWORK (RAN); WORKING GROUP 2 (WG2), XX, XX, vol. 41, no. R2-40415, 20 February 2004 (2004-02-20), pages 1-7, XP008072116 the whole document -----	1-25
A	3GPP TSG-RAN WG2 MEETING / QUALCOMM: "Paging for LTE" INTERNET CITATION, [Online] 8 May 2006 (2006-05-08), XP002455652 Retrieved from the Internet: URL: <a href="http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_53/Documents/R2-061200.zip">http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_53/Documents/R2-061200.zip</a> [retrieved on 2007-10-17] the whole document -----	1-25

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2007/022759

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search reportcovers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-4, 18

Method for optimizing discontinuous reception control signalling  
---

2. claims: 5-13,19-25

Method for controlling discontinuous reception in a wireless communication system  
---

3. claims: 14-17

Method for coordinating discontinuous reception and discontinuous transmission cycles.  
---



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2007/022759

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2004029596 A1	12-02-2004	AU 2003204794 A1 CN 1496137 A DE 60308268 T2 EP 1377099 A1 JP 2004166211 A KR 20030097373 A	22-01-2004 12-05-2004 28-12-2006 02-01-2004 10-06-2004 31-12-2003
US 2006029011 A1	09-02-2006	WO 2006036299 A2	06-04-2006
US 2003185162 A1	02-10-2003	DE 10313923 A1 JP 3954980 B2 JP 2004007513 A	30-10-2003 08-08-2007 08-01-2004
US 2005063304 A1	24-03-2005	AU 2002253207 A1 WO 03096730 A1	11-11-2003 20-11-2003
EP 1841249 A	03-10-2007	WO 2007111480 A1 US 2007286080 A1	04-10-2007 13-12-2007
US 2002045458 A1	18-04-2002	AU 8221801 A BR 0113413 A CA 2420953 A1 CN 1471775 A WO 0217573 A1 FI 20001876 A JP 2004507932 T ZA 200301451 A	04-03-2002 29-07-2003 28-02-2002 28-01-2004 28-02-2002 26-02-2002 11-03-2004 22-06-2004