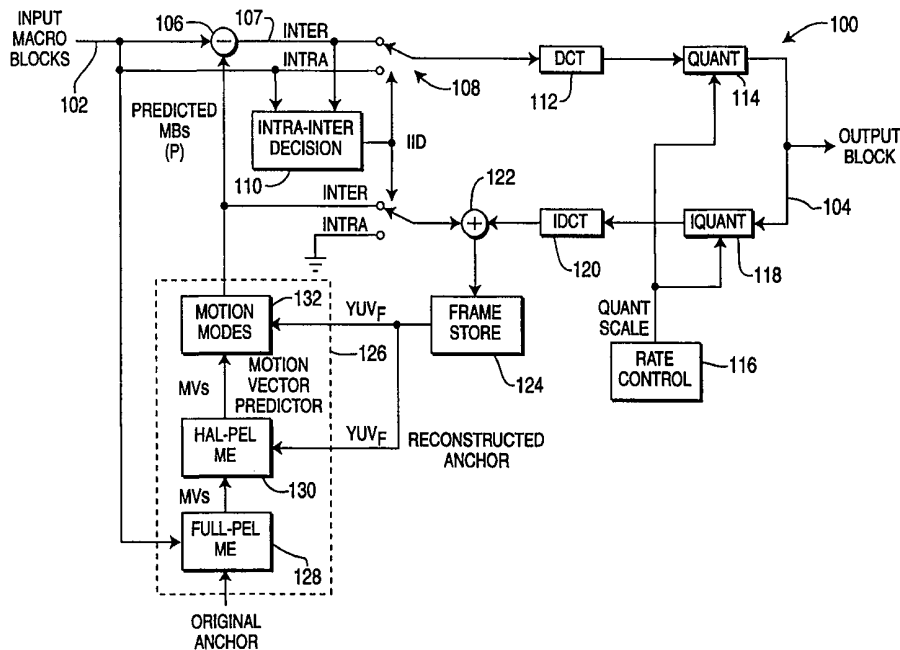




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : G06K 9/36</p>	<p>A1</p>	<p>(11) International Publication Number: WO 99/16011 (43) International Publication Date: 1 April 1999 (01.04.99)</p>
<p>(21) International Application Number: PCT/US98/20234 (22) International Filing Date: 28 September 1998 (28.09.98) (30) Priority Data: 60/060,112 26 September 1997 (26.09.97) US 09/002,295 31 December 1997 (31.12.97) US (71) Applicant: SARNOFF CORPORATION [US/US]; 201 Washington Road, CN 5300, Princeton, NJ 08543-5300 (US). (72) Inventor: REITMEIER, Glenn, A.; 193 Cinnabar Lane, Yardley, PA 19067 (US). (74) Agents: BURKE, William, J. et al.; Sarnoff Corporation, 201 Washington Road, CN 5300, Princeton, NJ 08543-5300 (US).</p>		<p>(81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: MULTIPLE COMPONENT COMPRESSION ENCODER MOTION SEARCH METHOD AND APPARATUS



(57) Abstract

A method and concomitant apparatus that adaptively utilizes luminance and chrominance information to optimize motion estimation in an MPEG-like compression encoder.

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MULTIPLE COMPONENT COMPRESSION ENCODER MOTION SEARCH METHOD AND APPARATUS

The invention claims benefit of U.S. Provisional Application Number
5 60/060112, filed September 26, 1997 and incorporated herein by reference in its
entirety.

The present invention relates to an apparatus and concomitant method
for optimizing the coding of motion video. More particularly, this invention
10 relates to a method and apparatus that adaptively utilizes luminance and
chrominance information to estimate motion in an MPEG-like encoder.

BACKGROUND OF THE INVENTION

The Moving Picture Experts Group (MPEG) created the ISO/IEC
15 international Standards 11172 and 13818 (generally referred to as MPEG-1 and
MPEG-2 format respectively) to establish a standard for coding/decoding
strategies. Although these MPEG standards specify a general coding
methodology and syntax for generating an MPEG compliant bitstream, many
variations are permitted to accommodate a plurality of different applications
20 and services such as desktop video publishing, video conferencing, digital
storage media and television broadcast. A related video encoding standard is
the "Draft ITU-T Recommendation H.263: Video Coding for Low Bitrate
Communication" (December 1995), which has been promulgated by the
International Telecommunications Union. The above standards are herein
25 incorporated by reference in their respective entireties.

MPEG-like encoder algorithms depend upon on creating an estimate of an
image to be compressed, and subtracting from the image to be compressed the
pixel values of the estimate or prediction. If the estimate is good, the subtraction
will leave a very small residue to be transmitted. If the estimate is not close to
30 zero for some pixels or many pixels, those differences represent information that
needs to be transmitted so a decoder can reconstruct the image correctly. The

kinds of image sequences that cause large prediction differences include severe motion and/or sharp details.

MPEG-like video coding systems use motion compensated prediction as part of the data compression process. Thus macroblocks in the current frame of interest are predicted by macroblock-sized regions in previously transmitted frames. Motion compensation refers to the fact that the locations of the macroblock-sized regions in the reference frame can be offset to account for local motions. The macroblock offsets are known as motion vectors.

The various standards do not specify how encoders should determine motion vectors. It is, therefore, the task of the encoder designer to implement a motion estimation and motion compensation process. Currently, motion estimation and motion compensation implementations utilize luminance information from an input video signal. This is largely a matter of convenience and practicality, since the luminance (Y) signal is higher in bandwidth than color difference signals (U and V), thereby containing more accurate edge information and allowing good motion estimation to be made. Since motion estimation is the most computation intensive (and thus costly) part of a video compression encoder, the use of the luminance signal alone is the traditional motion estimation approach in such encoders.

Unfortunately, compression encoders implementing luminance signal motion estimation and compensation typically exhibit artifacts in areas of low luminance energy, such as deep blacks, or highly saturated reds and blues. In these instances of low luminance signal energy, motion vector information computed using the luminance signal tends to be inaccurate. The effect of this inaccuracy propagates to an end user's decoder and display device, producing visual artifacts such as a "wormy" image, which can be very annoying.

Therefore, a need exists in the art for a high quality encoding apparatus and method that eliminates the above-described artifacts by performing a more thorough motion estimation computation.

30

SUMMARY OF THE INVENTION

The invention is a method and concomitant apparatus that adaptively utilizes luminance and chrominance information to optimize motion estimation in an MPEG-like compression encoder. The invention optimizes motion-related compression coding of a video signal by examining luminance (Y) and color
5 difference (U, V) components within the video signal, identifying a preferred component to represent motion within the video signal, and providing the preferred component to motion encoding circuitry within a decoder.

Specifically, one embodiment of the invention is a method for optimizing motion estimation within a block-based coding system, comprising the steps of:
10 estimating, using a luminance component, the motion of a macroblock to be coded with respect to an anchor frame, to produce a first motion vector and first residual; estimating, using a first chrominance component, the motion of the macroblock to be coded with respect to the anchor frame, to produce a second motion vector and second residual; and selecting a preferred motion vector to use
15 in a subsequent motion estimation or motion encoding process.

Another embodiment of the invention is an apparatus for estimating motion in a block-based coding system, comprising: a first component weighter, coupled to receive luminance and chrominance information associated with a macroblock to be coded, for generating a weighted macroblock component signal;
20 a second component weighter, coupled to receive luminance and chrominance information associated with an anchor frame, for generating a weighted anchor frame component signal; and a motion estimator, coupled to the first and second component weighters, for generating a motion vector and an associated residual.

25

BRIEF DESCRIPTION OF THE DRAWINGS

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying drawings, in which:

FIG. 1 depicts a high level block diagram of a block-based coding system
30 (specifically, an MPEG encoder) incorporating the present invention.

FIG. 2 depicts a full-pel motion estimator according to an embodiment of the invention and suitable for use in the block-based coding system of

FIG. 1; and

FIG. 3 depicts a full-pel motion estimator according to an embodiment of the invention and suitable for use in the block-based coding system of FIG. 1;

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

DETAILED DESCRIPTION

Although the present invention is described within the context of an MPEG compliant encoder, those skilled in the art will realize that the present invention can be adapted to other encoders that are compliant with other coding/decoding standards.

FIG. 1 depicts a high level block diagram of a block-based coding system 100 (specifically, an MPEG encoder) incorporating the present invention. The input signal, at port 102, to the system is assumed to be a preprocessed image that has been partitioned into a plurality of blocks, where the blocks are sequentially provided as an input to the system. Under the MPEG standard, these blocks of pixels are commonly known as macroblocks, e.g., a 16x16 pixel block comprised of a collection of four 8x8 luminance blocks and two co-located 8x8 chrominance blocks. The following disclosure uses the MPEG standard terminology; however, it should be understood that the term macroblock is intended to describe a block of pixels of any size that is used for the basis of motion compensation.

The system 100 computes, from the input signal motion vectors and the stored reconstructed anchor frame, a series of predicted macroblocks (P). Each predicted macroblock is illustratively produced by decoding the output signal, at port 104, just as the receiver of the transmitted output signal would decode the received signal. Subtractor 106 generates, on path 107, a residual signal (also referred to in the art as simply the residual or the residual macroblock) by subtracting the predicted macroblock from the input macroblock.

If the predicted macroblock is substantially similar to the input macroblock, the residuals are relatively small and are easily coded using very few bits. In such a scenario, the macroblock will be encoded as a motion

compensated macroblock, i.e., motion vector(s) and associated residual(s). However, if the difference between the predicted macroblock and the input macroblock is substantial, the residuals are difficult to code. Consequently, the system operates more efficiently by directly coding the input macroblock rather than coding the motion compensated residual macroblock. This selection is known as a selection of the coding mode. Coding the input macroblock (I) is referred to as intra-coding, while coding the residuals is referred to as inter-coding. The selection between these two modes is known as the Intra-Inter-Decision (IID).

10 The IID is made by the IID circuit 110, which sets the coding mode switch 108. The IID is typically computed by first computing the variance of the residual macroblock (Var R) and the variance of the input macroblock (Var I). The coding decision is based on these values. There are several functions that can be used to make this decision. For example, using the simplest function, if 15 Var R is less than Var I, the IID selects the Inter-mode. Conversely, if Var I is less than Var R, the IID selects the Intra-mode.

The selected block is processed in a discrete cosine transform (DCT) block 112. The DCT produces coefficients representing the input signal to the DCT. A quantizer 114 quantizes the coefficients to produce the output block at 20 port 104. A dynamic rate controller 116 controls the quantization scale (step size) used to quantize the coefficients. Optionally, the rate controller 116 also controls the number of DCT coefficients that are coded by the system.

The primary task of the dynamic rate controller 116 is to manage the fullness of a rate buffer from which a constant output bit rate is provided to a 25 transmission channel. The constant bit rate must be maintained even though the encoding rate may vary significantly, depending on the content of each image and the sequence of images. Another important task of the dynamic rate controller 116 is to insure that the bit stream produced by the encoder does not overflow or underflow a decoder's input buffer. Overflow and underflow control 30 is accomplished by maintaining and monitoring a virtual buffer within the encoder. This virtual buffer is known as the video buffering verifier (VBV). To ensure proper decoder input buffer bit control, the encoder's rate control process

establishes for each picture, and also for each macroblock of pixels comprising each picture, a bit quota (also referred to herein as a bit budget). By coding the blocks and the overall picture using respective numbers of bits that are within the respective bit budgets, the VBV does not overflow or underflow. Since the
5 VBV mirrors the operation of the decoder's input buffer, if the VBV does not underflow or overflow, then the decoder's input buffer will not underflow or overflow.

To accomplish such buffer control, the rate controller makes the standard assumption in video coding that the current picture looks somewhat similar to
10 the previous picture. If this assumption is true, the blocks of pixels in the picture are motion compensated by the coding technique and, once compensated, require very few bits to encode. This method works very well, as long as the actual number of bits needed to code the picture is near the target number of bits assigned to the picture, i.e., that the number of bits actually used is within
15 the bit quota for that picture.

A dynamic rate controller method and apparatus is disclosed in U.S. Patent Application Serial No. 08/606.622, filed February 26, 1996 for DYNAMIC CODING RATE CONTROL IN A BLOCK-BASED VIDEO CODING SYSTEM, and incorporated herein by reference in its entirety. A feature of the rate control
20 method and apparatus disclosed in the above-incorporated application is the ability to detect "scene-cuts" and responsively adapt the rate control process to intra-code the macroblocks associated with the new scene.

To most accurately compute the residual, the encoder needs access to the decoded images. To accomplish such access, the quantizer 114 output is passed
25 through both an inverse quantizer 118 and an inverse DCT 120. The output of the inverse DCT is ideally identical to the input to the DCT 112. In the inter-mode, the decoded macroblock is produced by summing the output of the inverse DCT and the predicted macroblock. During the intra-mode, the decoded macroblock is simply the output of the inverse DCT. The decoded macroblocks
30 are then stored in a frame store 124. The frame store accumulates a plurality of these "reconstructed" macroblocks that constitute an entire reconstructed frame of image information. The motion vector predictor 126 uses the reconstructed

frame as data for motion vectors that are used in generating predicted macroblocks for forthcoming input images.

To generate motion vectors, the motion vector predictor 126 comprises three components: a full-pel motion estimator 128, a half-pel motion
5 estimator 130, and a motion mode block 132. The full-pel motion estimator 128 is a "coarse" motion vector generator that searches for a coarse match between a macroblock in a previous image and the present input macroblock. This coarse match is typically determined with respect to the luminance information components of the previous image and the present input macroblock. The
10 previous image is referred to as an anchor image. Under the MPEG standards, the anchor image is what is known as an I or P frame within an image sequence known as a Group Of Pictures (GOP). The motion vector is a vector representing the relative position where a coarse match was found between the two macroblocks. The coarse motion vector generator 128 produces a motion vector
15 that is accurate to one picture element (pel).

The accuracy of the full-pel motion estimator 128 is improved in the half-pel motion estimator 130. The half-pel estimator 130 uses the full-pel motion vectors and the reconstructed macroblocks from the frame store 124 to compute motion vectors to half-pel accuracy. The half-pel motion vectors are
20 then sent to the motion modes block 132. Depending on the frame type (i.e., I, P or B), there may be multiple motion vectors related to each macroblock. I-frame or intra-coded macroblocks do not utilize any prediction, P-frame or forward predicted macroblocks are associated with respective forward predicted motion vectors and residuals, while B-frame or backward predicted macroblocks are
25 associated with both forward and backward predicted motion vectors and residuals. The modes block 132 selects the best motion vector for representing motion for each input macroblock.

Full-pel motion estimation is a more computationally intensive task than half-pel motion estimation. Moreover, full-pel motion estimation is typically
30 computed using only one component of the respective macroblocks, namely the luminance component. Typically, the full pel estimator 128 utilizes a single component, namely the luminance component within a macroblock being

encoded. Similarly, the half-pel motion estimator 130 typically utilizes the same component, namely the luminance component, to perform the half-pel motion estimation of the macroblock being encoded.

The concepts of Motion Estimation and Motion Compensation are based
5 on an underlying assumption that the current picture is not very different from a previously occurring picture (the anchor image). However, when, e.g., a substantial scene change occurs, the anchor pictures are substantially different from the current picture. Hence, the predicted macroblocks are very inaccurate and the residuals are large. As such, for most input macroblocks of a picture,
10 the IID selects the input macroblock (intra-mode) for coding in lieu of coding the residuals (inter-mode).

Since the intra-mode of coding a macroblock typically requires a larger portion of the bit budget than the inter-coding of the macroblock, it is desirable that the motion estimation circuitry or software provides accurately predicted
15 macroblocks having relatively small residuals. In high quality encoders it is crucial to optimally utilize the bit budget to provide, e.g., selective enhancement of portions of a picture. For example, a method for preferentially increasing an encoding bit allocation to macroblocks including preferred chrominance information, e.g., flesh-tone chrominance information, is described in U.S. patent
20 application serial number 09/001619, filed simultaneously herewith (Attorney Docket 12661), and incorporated herein by reference in its entirety.

The present inventor recognized that certain types of macroblocks are not accurately predicted from an anchor picture due to the type of prediction employed. That is, predictive methodologies utilizing only the luminance "space"
25 of the anchor picture and macroblock to be coded may be thwarted by content-related features of the macroblock, the anchor picture or both. Specifically, luminance prediction has been found to be less reliable in the case of macroblock or anchor picture content having low luminance energy, such as deep blacks, or highly saturated reds and blues. Thus, in the case of low
30 luminance energy, motion vector information computed using the luminance signal alone tends to be inaccurate. This inaccuracy propagates to an end user's decoder and display device, producing visual artifacts, such as a "wormy" image,

which can be very annoying. Also, such inaccurate luminance predictions may cause the IID to produce an erroneous intra-mode decision, wherein the macroblock will be encoded in a less than efficient manner by utilizing more bits than necessary.

5 FIG. 2 depicts a full-pel motion estimator 128 according to an embodiment of the invention and suitable for use in the block-based coding system of FIG. 1. Specifically, motion estimator 128 of FIG. 2 comprises a full-pel luminance motion estimator 128-1, a full-pel first color difference motion estimator 128-2, a full-pel second color difference motion estimator 128-3, a first multiplier 128-4, a
10 second multiplier 128-5, and a best motion vector selector 128-6.

The luminance motion estimator 128-1 is responsive to a luminance component Y of a macroblock within the input macroblock stream 102, and a luminance component Y' of the original anchor frame, to produce an output signal Y_M . The output signal Y_M comprises a luminance component Y motion
15 estimation signal indicative of luminance "space" motion of the input macroblock with respect to the anchor frame. The output signal Y_M also comprises an error measurement Y_{error} indicative of the accuracy of the luminance component Y motion estimation. The luminance component motion estimation signal Y_M and error measurement Y_{error} are coupled to a first input of the best motion vector
20 selector 128-6.

The first color difference motion estimator 128-2 is responsive to a first color difference component U (or C_R) of a macroblock within the input macroblock stream 102, and a first color difference component U' of the original anchor frame, to produce an output signal U_M . The output signal U_M comprises
25 a first color difference component U motion estimation signal indicative of first color difference "space" motion of the input macroblock with respect to the anchor frame. The output signal U_M also comprises an error measurement U_{error} indicative of the accuracy of the first color difference component U motion estimation. The first color difference motion estimation signal U_M and error
30 measurement U_{error} are coupled to the first multiplier 128-4, where they are multiplied by a factor of two. That is, the vertical displacement component and the horizontal displacement component of the motion vector is multiplied by two.

It is important to note that the circuitry for implementing the multiply by two function may comprise a simple shift register, since shifting a binary number left one bit is multiplying that number by two. The output of the first multiplier is coupled to a second input of the best motion vector selector 128-6.

5 The second color difference motion estimator 128-3 is responsive to a second color difference component V (or C_B) of a macroblock within the input macroblock stream 102, and a second color difference component V' of the original anchor frame, to produce an output signal VM . The output signal VM comprises a second color difference component V motion estimation signal
10 indicative of second color difference "space" motion of the input macroblock with respect to the anchor frame. The output signal VM also comprises an error measurement V error indicative of the accuracy of the second color difference component V motion estimation. The second color difference motion estimation signal VM and error measurement V error is coupled to the second multiplier
15 128-5, where they are multiplied by a factor of two. The output of the second multiplier is coupled to a third input of the best motion vector selector 128-6.

It is important to note that the full-pel luminance motion estimator 128-1 is likely to be more complex than either of the full-pel first color difference motion estimator 128-2 and the full-pel second color difference motion estimator
20 128-3. This is because typical MPEG video formats utilize more luminance information than chrominance information. For example, the well known 4:2:0 video format utilizes four luminance (Y) samples and two chrominance samples (one each of U and V). Thus, the amount of 4:2:0 video data to be processed by the full-pel luminance motion estimator 128-1 is four times greater than the
25 amount of data to be processed by either of the first and second color difference motion estimators 128-2, 128-3. In applications where higher vertical chrominance resolution is desired, a 4:2:2 video format is typically used. The 4:2:2 format utilizes four luminance (Y) samples and four chrominance samples (two each of U and V). Thus, the amount of 4:2:2 formatted video data to be
30 processed by the full-pel luminance motion estimator 128-1 is two times greater than the amount of data to be processed by either of the first and second color difference motion estimators 128-2, 128-3. In the case of using the 4:2:2 video

format, there is no need to multiply the vertical displacement component of the motion vector by two and, therefore, the first and second multipliers are only operative upon the horizontal displacement component of the motion vector.

The first color difference motion estimator 128-2 and second color
5 difference motion estimator 128-3 work in substantially the same manner. Moreover, the factor of two multiplication utilized by first multiplier 128-4 and second multiplier 128-5 assumes that the 4:2:0 video format data is being used. Other multiplication factors may be used, depending upon the video format of the macroblocks being processed.

10 The best motion vector selector 128-6 utilizes, e.g., the error measurement associated with each of the luminance YM, first color difference UM and second color difference VM motion estimation signals to determine which motion estimation signal most accurately represents the relative motion between the input macroblock and the anchor frame (i.e., the "best" motion estimate). The
15 best motion estimation signal is coupled to an output of full-pel motion estimator 128 as motion vectors (MVs) for use by, e.g., half-pel motion estimator 127. In addition, the output signal of the full-pel motion estimator 128 includes selection indicium (SELECTION DATA) that indicates type of motion estimation selected as the "best" type. The selection indicium is utilized by, e.g., half-pel motion
20 estimator 127 to further refine the motion estimate using the "best" motion estimation component, and by motion mode block 132 to assist in the motion mode decision process.

In one embodiment of the invention, decision logic of a known type within the selector 128-6 is used to compare the error measurement portion Yerror,
25 Uerror and V error of the three motion estimation signals YM, UM and VM. The decision logic couples to an output (i.e., selects as "best") that motion estimation signal (YM, UM or VM) having the lowest error measurement. The decision logic utilizes, illustratively, mean square error metrics or minimum absolute error metrics as part of the selection process. In another embodiment of
30 the invention, the luminance motion estimation signal YM is preferentially coupled to the output. That is, the luminance motion estimation signal YM is coupled to the output as long as the error metric Yerror associated with that

signal is below a threshold level. The threshold level may be predetermined, selectable or adaptively controlled in response to, e.g., a user input signal optional control.

FIG. 4 depicts a flow diagram of a motion vector generation and selection process suitable for use in the motion estimator 128 of FIG. 2. Specifically, the motion vector generation and selection routine 400 is used to identify which of the luminance (YM), first chrominance (UM), and second chrominance (VM) full pel motion estimation values is the "best" motion estimation value to use in subsequent processing steps or circuitry, such as illustratively represented by half-pel motion vector predictor 130 and motion mode block 132 of FIG. 1. Each of the luminance (YM), first chrominance (UM), and second chrominance (VM) full pel motion estimation values are used to compute an error (i.e., residual) for the entire macroblock. In the following discussion, the term Yerror refers to the error of the entire macroblock when computed using the luminance (YM) motion estimation value as the predictor. Similarly, the terms Uerror and Verror refer to the error of the entire macroblock when computed using, respectively, the first chrominance (UM) and second chrominance (VM) motion estimation values as the predictor.

The routine 400 is entered at step 405, when the luminance and chrominance information Y,U,V of a macroblock is input into the full pel motion estimation unit 128. The routine 400 proceeds to step 410 where full pel motion vectors, and motion vector errors associated with luminance space (Y), first chrominance space (U), and second chrominance space (V) are estimated. The routine 400 then proceeds to step 415, where the first and second chrominance space motion vectors (UM, VM) and motion vector errors (Uerror, V error) are scaled to compensate for luminance and chrominance sampling density differences. In the case of a 4:2:0 video format, the scaling factor is two. The routine then proceeds to step 420, where a query is made as to the operational mode of the best MV selector unit. If the answer to the query at step 420 is a "Luminance Threshold" mode of operation, then the routine 400 proceeds to step 425, where the error associated with the estimated luminance space motion vector error (Yerror) is compared to a threshold level. If the comparison at step

425 indicates that the estimated luminance space motion vector error (Yerror) is less than the threshold level, then the routine proceeds to step 430, where the estimated luminance space motion vectors (YM) are selected as the best motion vectors.

5 If the query at step 420 indicates that a "Low Error" mode of operation is to be utilized, or the comparison at step 425 indicates that the estimated luminance space motion vector error (Yerror) is equal to or above the threshold level, then the routine 400 proceeds to step 435. At step 435, the estimated luminance space motion vector error (Yerror) is compared to the estimated first
10 chrominance space motion vector error (Uerror). If the Yerror is greater than the Uerror, then the routine 400 proceeds to step 445. If Yerror is less than the Uerror, then the routine 400 proceeds to step 440.

 At step 440, the estimated luminance space motion vector error (Yerror) is compared to the estimated second chrominance space motion vector error
15 (Verror). If Yerror is greater than Verror, the routine 400 proceeds to step 445. If the Yerror is less than the Verror, the routine 400 proceeds to step 430, where the estimated luminance space motion vectors (YM) are selected as the best motion vectors. After selecting the best motion vectors, the routine 400 proceeds to step 460, where it is exited.

20 At step 445, the estimated first chrominance space motion vector error (Uerror) is compared to the estimated second chrominance space motion vector error (Verror). If Uerror is greater than Verror, the routine 400 proceeds to step 450, where the estimated second chrominance space motion vectors (VM) are selected as the best motion vectors. If the Uerror is less than the Verror, the
25 routine 400 proceeds to step 455, where the estimated first space motion vectors (UM) are selected as the best motion vectors. After selecting the best motion vectors, the routine 400 proceeds to step 460, where it is exited.

 FIG. 3 depicts an implementation of a full-pel motion estimation module
128 according to an embodiment of the invention and suitable for use in the
30 block-based coding system of FIG. 1. Specifically, motion estimation module 128 of FIG. 3 comprises a first component weighter 128-7, a second component weighter 128-8, and a motion estimator 128-9.

The first component weighter 128-7 receives the luminance Y and color difference U, V components of a macroblock within the input macroblock stream 102. In response, the first component weighter 128-7 applies a weighting, or scaling factor, to each component Y, U and V, to produce an
5 image-representative output signal YUV_1 . The output signal YUV_1 is not a true luminance or chrominance signal; rather, the output signal YUV_1 is an image representative signal comprising image information components generated using the luminance and chrominance components of the input macroblock.

The second component weighter 128-8 receives the luminance Y' and color
10 difference U', V' components of the original anchor frame. In response, the second component weighter 128-8 applies a weighting, or scaling factor, to each component Y', U' and V', to produce an image-representative output signal YUV_2 . The YUV_2 output signal comprises an image representative signal such as the YUV_1 output signal of first component weighter 128-8. Moreover, the weighting
15 coefficients utilized by the second component weighter 128-8 are the same as those utilized by the first component weighter 128-7.

The image representative output signals YUV_1 and YUV_2 of, respectively, first and second component weighters 128-7 and 128-8, are coupled to respective inputs of the motion estimator 128-9. Motion estimator 128-9 performs a full-pel
20 motion estimation function in a substantially standard manner to produce full pel motion vectors (MVs) for use by, e.g., half-pel motion estimator 127. In addition, the output signal of the full-pel motion estimator 128 includes selection indicium (SELECTION DATA) that indicates type of motion estimation selected as the "best" type. The selection indicium may be utilized by, e.g., half-pel
25 motion estimator 127 to further refine the motion estimate, and motion mode block 132 to assist in the motion mode decision process.

In another embodiment of the invention, the component weighters 128-7 and 128-8 produce respective "principle components" type signals for use in the motion estimator 128-9. Principle components signals are typically used to
30 produce a single composite output image from multi-spectral imaging sources. While there are various methods that may be used to form principle components signals, a straightforward method comprises the steps of selecting each pixel of

an output image from the pixel of the input image that has the highest value (or the value closest to an optimal value). In the case of U and V color difference signals which are bipolar (+ and -), an absolute value transformation is applied prior to the pixel selection. A macroblock-related principle component signal
5 YUV₁ and an anchor frame-related principle component signal YUV₂ are processed by the motion estimator 128-9 in substantially the same manner as described above to produce full pel motion vectors (MVs) for use by, e.g., half-pel motion estimator 127.

Advantageously, the above-described "principle components" approach
10 requires no more motion estimation hardware than a simple luminance-only motion estimation circuit. Moreover, the hardware required to form the principle components signals (i.e., component weighters 128-7 and 128-8) is minimal relative to the motion estimation circuit complexity of FIG. 2. That is, the circuit complexity required to implement component weighters 128-7 and
15 128-8 of FIG. 3 is less than the circuit complexity required to implement first and second color difference motion estimators 128-2 and 128-3 shown in FIG. 2.

In still another embodiment of the invention, component weighters 128-7 and 128-8, and motion estimator 128-9 operate on red R, blue B and green G primary color signals. However, the embodiment requires a more complex
20 motion estimator than required in the above-described motion estimation embodiments.

The above-described embodiments of the invention advantageously provide high quality compression encoding, even in the case of low luminance energy. By performing motion estimation in both chrominance space and
25 luminance space, and selecting the best motion estimate, the invention provides an optimization of the compression encoding process. In addition, the above-described embodiments may be easily adapted to different video formats, such as the well known 4:2:2 and 4:4:4 video formats.

Although various embodiments which incorporate the teachings of the
30 present invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.

What is claimed is:

1. A method for optimizing motion estimation within a block-based coding system, said method comprising the steps of:
 - 5 estimating, using a luminance component, the motion of a macroblock to be coded with respect to an anchor frame, to produce a first motion vector and first residual;
 - estimating, using a first chrominance component, the motion of said macroblock to be coded with respect to said anchor frame, to produce a second
10 motion vector and second residual;
 - selecting a preferred motion vector to use in a subsequent motion estimation or motion encoding process.

2. The method of claim 1, wherein said step of selecting comprises the steps
15 of:
 - comparing said first residual to a threshold level; and
 - in the case of said first residual being less than said threshold level:
 - selecting said first motion vector as said preferred motion vector;
 - in the case of said first motion vector being equal to or greater than said
20 threshold level:
 - comparing said first residual to said second residual; and
 - selecting, as said preferred motion vector, the motion vector associated with the smallest residual.

- 25 3. The method of claim 1, wherein said step of selecting comprises the steps of:
 - comparing said first residual to said second residual; and
 - selecting, as said preferred motion vector, the motion vector associated with the smallest residual.

30

4. The method of claim 1, further comprising the step of:
estimating, using a second chrominance component, the motion of a
macroblock to be coded with respect to an anchor frame, to produce a third
5 motion vector and third residual.
5. The method of claim 4, wherein said step of selecting comprises the steps
of:
comparing said first residual to a threshold level; and
10 in the case of said first residual being less than said threshold level:
selecting said first motion vector as said preferred motion vector;
in the case of said first motion vector being equal to or greater than said
threshold level:
comparing said first, second and third residuals; and
15 selecting, as said preferred motion vector, the motion vector
associated with the smallest residual.
6. Apparatus for estimating motion in a block-based coding system,
comprising:
20 a first motion estimator, coupled to receive luminance information
associated with a macroblock to be coded and an anchor frame, for generating a
first motion vector and associated first residual;
a second motion estimator, coupled to receive first chrominance
information associated with said macroblock to be coded and said anchor frame,
25 for generating a second motion vector and associated second residual;
a selector, coupled to said first and second motion estimators, for selecting
and coupling to an output a preferred motion vector.
7. The apparatus of claim 6, further comprising:
30 a scaler, for scaling said second motion vector and associated second
residual to a level representative of a sampling density of said first motion
vector and associated first residual.

8. The apparatus of claim 10, further comprising:
a third motion estimator, coupled to receive second chrominance
5 information associated with said macroblock to be coded and said anchor frame,
for generating a third motion vector and associated third residual;
said selector further being coupled to said third motion estimator;
said preferred motion vector comprising a preferred one of said first,
second and third motion vectors.
- 10
9. The apparatus of claim 8, wherein
said preferred one motion vector is the motion vector associated with the
lowest value residual among said first, second and third residuals.
- 15 10. The apparatus of claim 8, wherein:
said preferred one motion vector is the first motion vector if said first
residual is below a threshold value; and
said preferred one motion vector is the motion vector associated with the
lowest value residual among said first, second and third residuals if said first
20 residual is not below said threshold value.
11. Apparatus for estimating motion in a block-based coding system,
comprising:
a first component weighter, coupled to receive luminance and
25 chrominance information associated with a macroblock to be coded, for
generating a weighted macroblock component signal;
a second component weighter, coupled to receive luminance and
chrominance information associated with an anchor frame, for generating a
weighted anchor frame component signal; and
30 a motion estimator, coupled to said first and second component weighters,
for generating a motion vector and an associated residual.

12. The apparatus of claim 11, wherein:
said first and second component weighters are of the principle component type; and
said weighted macroblock and weighted anchor frame component signals
5 are principle component signals.
13. The apparatus of claim 12, wherein:
said first and second component weighters select, for each pixel value in said respective weighted macroblock and weighted anchor frame component
10 signals, the one luminance, first chrominance or second chrominance pixel value having the highest value for a particular pixel.

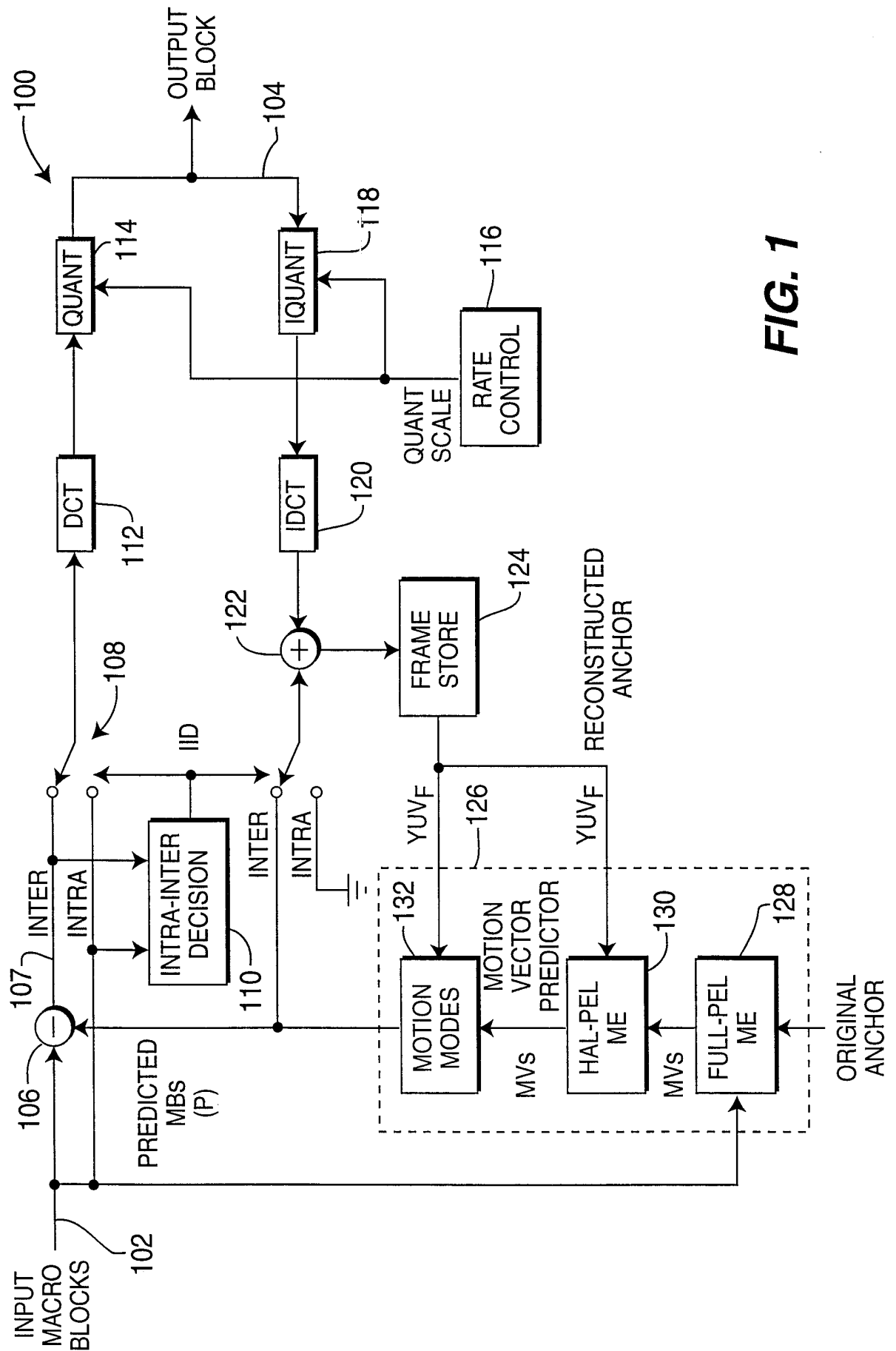


FIG. 1

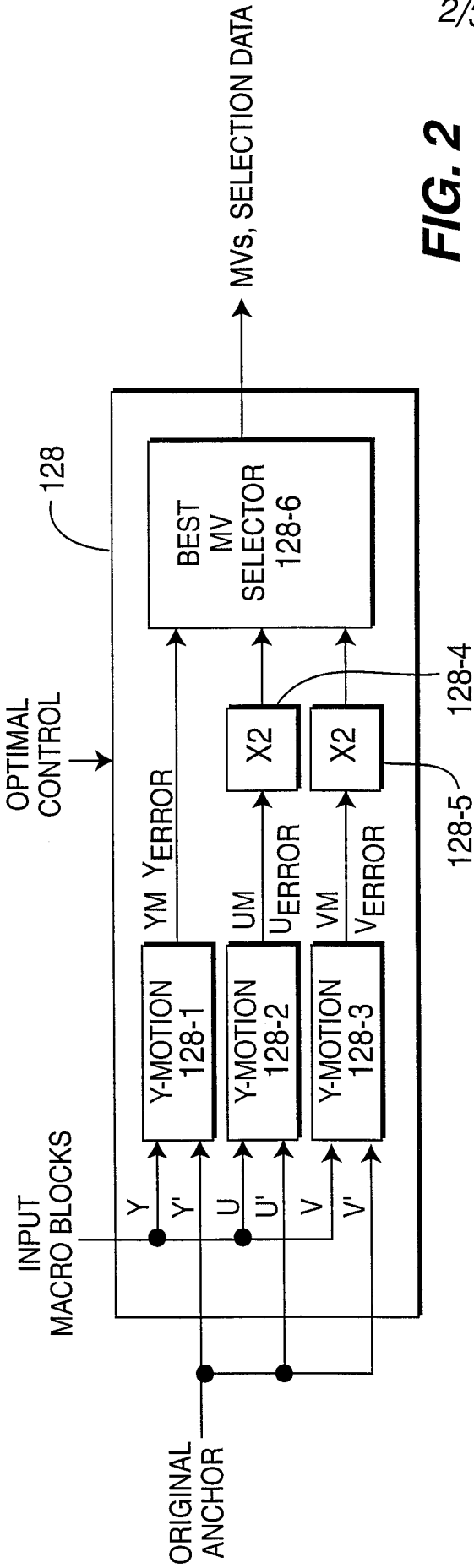


FIG. 2

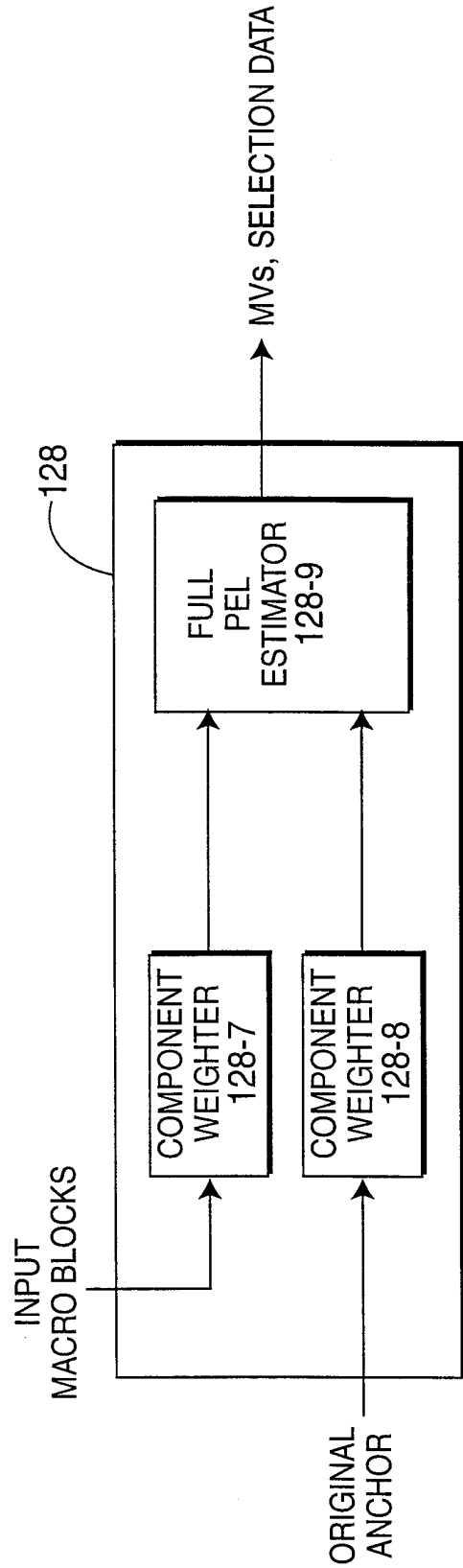


FIG. 3

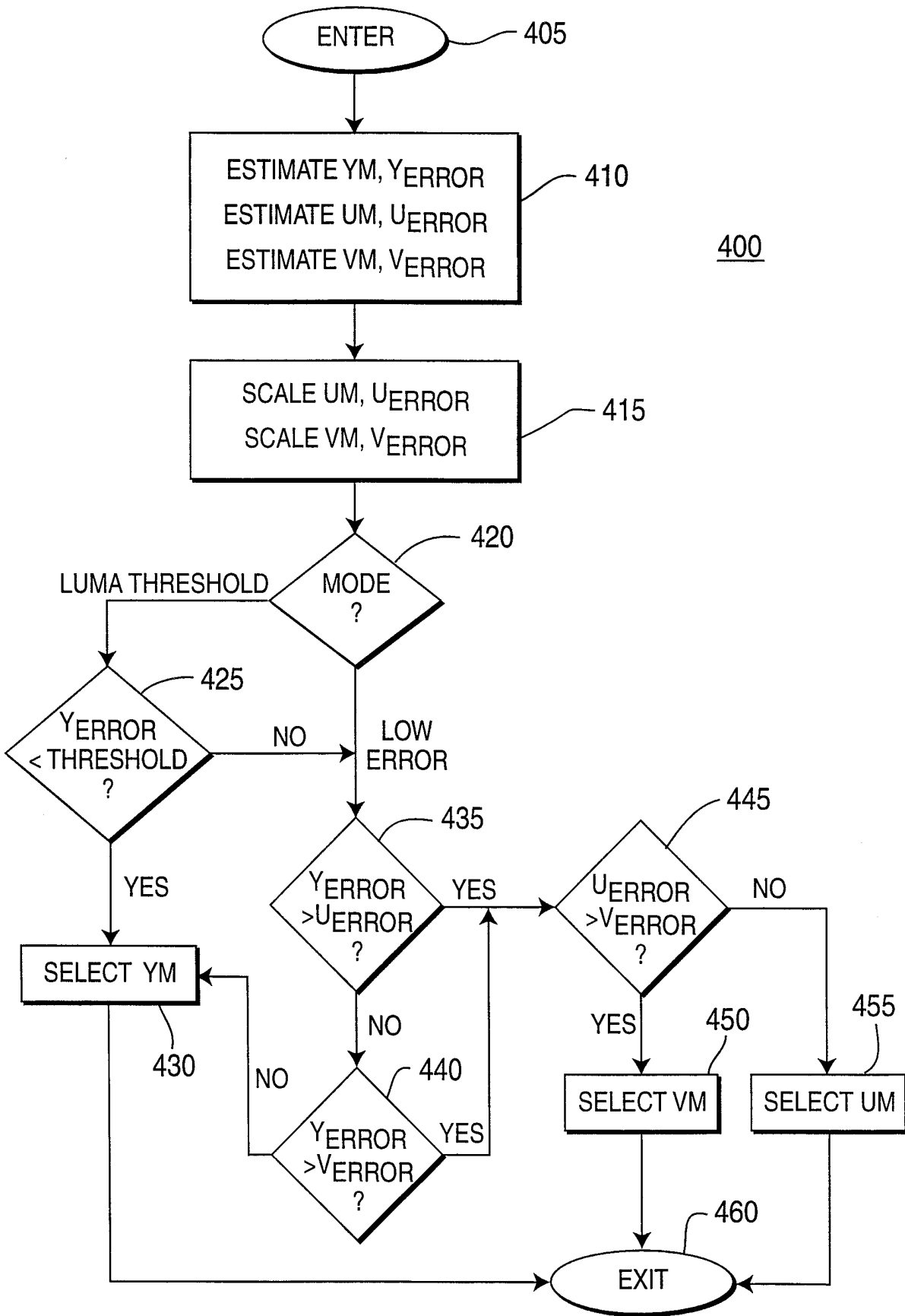


FIG. 4
SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/20234

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G06K 9/36
US CL :382/236

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 382/232, 234, 235, 236, 238, 239, 240, 250

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,146,325 A (NG) 08 September 1992, Figure 3 and column 5, lines 39-68 and column 7, lines 1-68.	1, 3-4, 6-7 and 11-13
X	US 5,703,966 A (ASTLE) 30 December 1997, Figure 1 and column 5, line 40 through column 11, line 25.	1, 3-4, 6-7 and 11-13
X	US 5,812,787 A (ASTLE) 22 September 1998, Figure 1 and refer to column 4, line 50 through column 10, line 20.	1, 3-4, 6-7 and 11-13

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

12 NOVEMBER 1998

Date of mailing of the international search report

03 FEB 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/20234

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.: 8-10
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
 No protest accompanied the payment of additional search fees.