(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 19 October 2006 (19.10.2006)

(10) International Publication Number $WO\ 2006/110830\ A3$

(51) International Patent Classification: *A61B 18/18* (2006.01)

(21) International Application Number:

PCT/US2006/013683

(22) International Filing Date: 11 April 2006 (11.04.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 60/670,535 11 April 2005 (11.04.2005)

(71) Applicant (for all designated States except US): CIERRA, INC. [US/US]; 2761 Fair Oaks Avenue, Redwood City, California 94063 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): ALEJANDRO, Jose [US/US]; 1054 W. McKinley Avenue, Sunnyvale, CA 94086 (US). ENGELSON, Erik [US/US]; 685 Sierra Avenue, Menlo Park, CA 94025 (US). FILLOUX, Dominique [US/US]; 371 Idyllwild Court, Redwood City, California 94061 (US). FRANCIS, Dan [US/US]; 1116 Sussex Square, Mountain View, CA 94040 (US). HORNE, Kenneth [US/US]; 200 Brannan Street, #432, San Francisco, California 94107 (US). KIM, Lucia [US/US]; 390

North Second Street, Apartment 505, San Jose, California 95112 (US). **KUMAR, Uday, N.** [US/US]; 1895 Jackson Street, Apartment 705, San Francisco, California 94109 (US). **SUTTON, Doug** [US/US]; 1595 Adobe Drive, Pacifica, California 94044 (US). **TAIMISTO, Miriam, H.** [US/US]; 3065 Bilbo Drive, San Jose, California 95121 (US). **UCHIDA, Andy** [US/US]; 121 Laural Way, Mountain View, California 94040 (US).

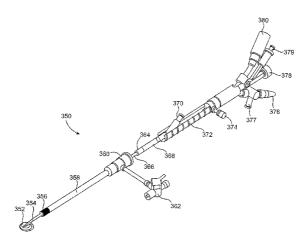
(74) Agents: HESLIN, James, M. et al.; Two Embarcadero Center, 8th Floor, San Francisco, California 94111-3834 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,

[Continued on next page]

(54) Title: METHODS AND APPARATUS TO ACHIEVE A CLOSURE OF A LAYERED TISSUE DEFECT



(57) Abstract: Methods for treating anatomic tissue defects such as a patent foramen ovale generally involve positioning a distal end of a catheter device at the site of the defect, exposing a housing and energy transmission member from the distal end of the catheter, engaging the housing with tissues at the site of the defect, applying suction or other approximating tool to the tissue via the housing to bring the tissue together, and applying energy to the tissue with the energy transmission member or to deliver a clip or fixation device to substantially close the defect. Apparatus generally include a catheter body, a housing extending from a distal end of the catheter body for engaging tissue at the site of the defect, and further adapted to house a fusing or fixation device such as an energy transmission member adjacent a distal end of the housing, or a clip or fixation delivery element.



WO 2006/110830 A3



FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report: 16 April 2009

Published:

with international search report

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US06/13683

A. CLASSIFICATION OF SUBJECT MATTER IPC: A61B 18/18(2006.01)				
USPC: 606/49 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols) U.S.: 606/27-52				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOC	UMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the relevant passages			Relevant to claim No.
Y	US 6,416,505 (Fleischman et al.) 09 July 2002 (07.09.2002), entire document.			1-423
Y	US 5,709,224 (Behl et al.) 20 January 1998 (20.01.1998), entire document.			1-423
Y	US 6,273,886 (Edwards et al.) 14 August 2001 (08.14.2001), entire document.			1-423
Further documents are listed in the continuation of Box C. See patent family annex.				
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"T"	date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
		"Y"	document of particular relevance; the considered to involve an inventive step with one or more other such documents	when the document is combined
"O" document referring to an oral disclosure, use, exhibition or other means			obvious to a person skilled in the art	
"P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent family		
Date of the actual completion of the international search 27 February 2008 (27.02.2008)		Date of mailing of the international search report 10 MAR 2008		
			ed officer	North
Mail Stop PCT, Attn: ISA/US		Authorized officer Linda C Dvorak Telephone No. 703 308 0858 Linda C Dvorak Telephone No. 703 308 0858		
Commissioner for Patents P.O. Box 1450			A No. 702 200 0050	1_
Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201		relephon	ne No. 703 308 0858	00

Form PCT/ISA/210 (second sheet) (April 2007)