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(54) Title:

GENOME EDITING IN RATS USING ZINC-FINGER NUCLEASES

(57) Abstract:

Disclosed herein are methods and compositions for genome editing of one or more loci in a rat, using fusion proteins comprising a zinc-finger protein and a cleavage domain or cleavage half-domain. Polynucleotides encoding said fusion proteins are also provided, as are cells comprising said polynucleotides and fusion proteins.

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(54) Title: GENOME EDITING IN RATS USING ZINC-FINGER NUCLEASES

(57) Abstract: Disclosed herein are methods and compositions for genome editing of one or more loci in a rat, using fusion proteins comprising a zinc-finger protein and a cleavage domain or cleavage half-domain. Polynucleotides encoding said fusion proteins are also provided, as are cells comprising said polynucleotides and fusion proteins.

GENOME EDITING IN RATS USING ZINC-FINGER NUCLEASES

5 CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] The present application claims the benefit of U.S. Provisional Application Nos. 61/200,985, filed December 4, 2008; 61/205,970, filed January 26, 2009 and 61/263,904, filed November 24, 2009, the disclosures of which are hereby incorporated by reference in its entirety.

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STATEMENT OF RIGHTS TO INVENTIONS MADE UNDER FEDERALLY SPONSORED RESEARCH

[0002] Not applicable.

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TECHNICAL FIELD

[0003] The present disclosure is in the fields of genome engineering of rats, including somatic and heritable gene disruptions, genomic alterations, generation of alleles carrying random mutations at specific positions of rat genes and induction of homology-directed repair.

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BACKGROUND

[0004] Rats (*Rattus norvegicus*) are a widely used animal model in the fields of hypertension, cardiovascular physiology, diabetes, metabolic disorders, behavioral studies and toxicity testing. Michalkiewicz et al. (2007) *J. Amer. Phys. Society*
25 293:H881-H894. The availability of these model systems, advances in rat genomics and sequence of the rat, human and mouse genomes have greatly accelerated the use of inbred rat models for discovery of the genetic basis of complex diseases and provided animal models for therapeutic drug discovery.

[0005] However, the advances in the information about the rat genome have
30 not been accompanied by parallel progress in genome modification technology. Unlike mice, rat embryonic stem cell clones for gene targeting are not readily produced. Pronuclear injection has also proven difficult and has a poor success rate in generating transgenic rats. Michalkiewicz et al. (2007) *J. Amer. Phys. Society* 293:H881-H894 report generation of transgenic rats using a lentiviral construct

expressing an enhanced green fluorescent protein (eGFP) reporter gene, where the eGFP transgene was found to be present in 1-4 copies integrated at random sites within the genome.

[0006] There remains a need for methods of modifying rat genomes in a targeted fashion. Precisely targeted site-specific cleavage of genomic loci offers an efficient supplement and/or alternative to conventional homologous recombination. Creation of a double-strand break (DSB) increases the frequency of homologous recombination at the targeted locus more than 1000-fold. More simply, the imprecise repair of a site-specific DSB by non-homologous end joining (NHEJ) can also result in gene disruption. Creation of two such DSBs results in deletion of arbitrarily large regions. The modular DNA recognition preferences of zinc-fingers protein allows for the rational design of site-specific multi-finger DNA binding proteins. Fusion of the nuclease domain from the Type II restriction enzyme *Fok* I to site-specific zinc-finger proteins allows for the creation of site-specific nucleases. See, for example, United States Patent Publications 20030232410; 20050208489; 20050026157; 20050064474; 20060188987; 20060063231; 20070134796; 2008015164; 20080131962; 2008015996 and International Publication WOs 07/014275 and 2008/133938, which all describe use of zinc-finger nucleases and which are incorporated by reference in their entireties for all purposes.

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SUMMARY

[0007] Disclosed herein are compositions for genome editing in rat, including, but not limited to: cleaving of one or more genes in rat resulting in targeted alteration (insertion, deletion and/or substitution mutations) in one or more rat genes, including the incorporation of these targeted alterations into the germline; targeted introduction of non-endogenous nucleic acid sequences, the partial or complete inactivation of one or more genes in rat; methods of inducing homology-directed repair and/or generation of random mutations encoding novel allelic forms of rat genes.

[0008] In one aspect, described herein is a zinc-finger protein (ZFP) that binds to target site in a region of interest in a rat genome, wherein the ZFP comprises one or more engineered zinc-finger binding domains. In one embodiment, the ZFP is a zinc-finger nuclease (ZFN) that cleaves a target genomic region of interest in rat, wherein the ZFN comprises one or more engineered zinc-finger binding domains and a nuclease cleavage domain or cleavage half-domain. Cleavage domains and cleavage

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half domains can be obtained, for example, from various restriction endonucleases and/or homing endonucleases. In one embodiment, the cleavage half-domains are derived from a Type IIS restriction endonuclease (e.g., *Fok* I). The ZFN may specifically cleave one particular rat gene sequence. Alternatively, the ZFN may
5 cleave two or more homologous rat gene sequences.

[0009] The ZFN may bind to and/or cleave a rat gene within the coding region of the gene or in a non-coding sequence within or adjacent to the gene, such as, for example, a leader sequence, trailer sequence or intron, or within a non-transcribed region, either upstream or downstream of the coding region. In certain embodiments,
10 the ZFN binds to and/or cleaves a coding sequence or a regulatory sequence of the target rat gene.

[0010] In another aspect, described herein are compositions comprising one or more of the zinc-finger nucleases described herein. In certain embodiments, the composition comprises one or more zinc-finger nucleases in combination with a
15 pharmaceutically acceptable excipient.

[0011] In another aspect, described herein is a polynucleotide encoding one or more ZFNs described herein. The polynucleotide may be, for example, mRNA.

[0012] In another aspect, described herein is a ZFN expression vector comprising a polynucleotide, encoding one or more ZFNs described herein, operably
20 linked to a promoter.

[0013] In another aspect, described herein is a rat host cell comprising one or more ZFN expression vectors. The rat host cell may be stably transformed or transiently transfected or a combination thereof with one or more ZFP expression vectors. In one embodiment, the rat host cell is an embryonic stem cell. In other
25 embodiments, the one or more ZFP expression vectors express one or more ZFNs in the rat host cell. In another embodiment, the rat host cell may further comprise an exogenous polynucleotide donor sequence. In any of the embodiments, described herein, the rat host cell can comprise an embryo cell, for example a one or more cell embryo.

[0014] In another aspect, described herein is a method for cleaving one or more genes in a rat cell, the method comprising: (a) introducing, into the rat cell, one or more polynucleotides encoding one or more ZFNs that bind to a target site in the one or more genes under conditions such that the ZFN(s) is (are) expressed and the
30 one or more genes are cleaved.

[0015] In yet another aspect, described herein is a method for introducing an exogenous sequence into the genome of a rat cell, the method comprising the steps of: (a) introducing, into the rat cell, one or more polynucleotides encoding one or more ZFNs that bind to a target site in the one or more genes under conditions such that the ZFN(s) is (are) expressed and the one or more genes are cleaved; and (b) contacting the cell with an exogenous polynucleotide; such that cleavage of the gene(s) stimulates integration of the exogenous polynucleotide into the genome by homologous recombination. In certain embodiments, the exogenous polynucleotide is integrated physically into the genome. In other embodiments, the exogenous polynucleotide is integrated into the genome by copying of the exogenous sequence into the host cell genome via nucleic acid replication processes (*e.g.*, homology-directed repair of the double strand break). In yet other embodiments, integration into the genome occurs through non-homology dependent targeted integration (*e.g.* “end-capture”). In certain embodiments, the one or more nucleases are fusions between the cleavage domain of a Type IIS restriction endonuclease and an engineered zinc-finger binding domain.

[0016] In another embodiment, described herein is a method for modifying one or more gene sequence(s) in the genome of a rat cell, the method comprising (a) providing a rat cell comprising one or more target gene sequences; and (b) expressing first and second zinc-finger nucleases (ZFNs) in the cell, wherein the first ZFN cleaves at a first cleavage site and the second ZFN cleaves at a second cleavage site, wherein the gene sequence is located between the first cleavage site and the second cleavage site, wherein cleavage of the first and second cleavage sites results in modification of the gene sequence by non-homologous end joining. In certain embodiments, non-homologous end joining results in a deletion between the first and second cleavage sites. The size of the deletion in the gene sequence is determined by the distance between the first and second cleavage sites. Accordingly, deletions of any size, in any genomic region of interest, can be obtained. Deletions of 25, 50, 100, 200, 300, 400, 500, 600, 700, 800, 900, 1,000 nucleotide pairs, or any integral value of nucleotide pairs within this range, can be obtained. In addition deletions of a sequence of any integral value of nucleotide pairs greater than 1,000 nucleotide pairs can be obtained using the methods and compositions disclosed herein. In other embodiments, non-homologous end joining results in an insertion between the first and second cleavage sites. Methods of modifying the genome of a rat as described

herein can be used to create models of animal (*e.g.*, human) disease, for example by inactivating (partially or fully) a gene or by creating random mutations at defined positions of genes that allow for the identification or selection of transgenic rats carrying novel allelic forms of those genes, by insertion of humanized rat genes (to
5 study, by way of a non-limiting example, drug metabolism) or by insertion of a mutant alleles of interest to examine, for example, the phenotypic affect of such a mutant allele.

[0017] In yet another aspect, described herein is a method for germline disruption of one or more target genes in rat, the method comprising modifying one or
10 more gene sequences in the genome of one or more cells of a rat embryo by any of the methods described herein and allowing the rat embryo to develop, wherein that the modified gene sequences are present in at least a portion of gametes of the sexually mature rat.

[0018] In another aspect, described herein is a method of creating one or more
15 heritable mutant alleles in rat loci of interest, the method comprising modifying one or more loci in the genome of one or more cells of a rat embryo by any of the methods described herein; raising the rat embryo to sexual maturity; and allowing the sexually mature rat to produce offspring; wherein at least some of the offspring comprise the mutant alleles.

[0019] In any of the methods described herein, the polynucleotide encoding
20 the zinc finger nuclease(s) can comprise DNA, RNA or combinations thereof. In certain embodiments, the polynucleotide comprises a plasmid. In other embodiments, the polynucleotide encoding the nuclease comprises mRNA.

[0020] In a still further aspect, provided herein is a method for site specific
25 integration of a nucleic acid sequence into a chromosome. In certain embodiments, the method comprises: (a) injecting an embryo with (i) at least one DNA vector, wherein the DNA vector comprises an upstream sequence and a downstream sequence flanking the nucleic acid sequence to be integrated, and (ii) at least one RNA molecule encoding a zinc finger nuclease that recognizes the chromosomal site
30 of integration, and (b) culturing the embryo to allow expression of the zinc finger nuclease, wherein a double stranded break introduced into the site of integration by the zinc finger nuclease is repaired, via homologous recombination with the DNA vector, so as to integrate the nucleic acid sequence into the chromosome. Suitable embryos may be derived from several different vertebrate species, including

mammalian, bird, reptile, amphibian, and fish species. Generally speaking, a suitable embryo is an embryo that may be collected, injected, and cultured to allow the expression of a zinc finger nuclease. In some embodiments, suitable embryos may include embryos from rodents, companion animals, livestock, and primates. Non-limiting examples of rodents may include mice, rats, hamsters, gerbils, and guinea pigs. Non-limiting examples of companion animals may include cats, dogs, rabbits, hedgehogs, and ferrets. Non-limiting examples of livestock may include horses, goats, sheep, swine, llamas, alpacas, and cattle. Non-limiting examples of primates may include capuchin monkeys, chimpanzees, lemurs, macaques, marmosets, tamarins, spider monkeys, squirrel monkeys, and vervet monkeys. In other embodiments, suitable embryos may include embryos from fish, reptiles, amphibians, or birds. Alternatively, suitable embryos may be insect embryos, for instance, a *Drosophila* embryo or a mosquito embryo.

[0021] Also provided is an embryo comprising at least one DNA vector, wherein the DNA vector comprises an upstream sequence and a downstream sequence flanking the nucleic acid sequence to be integrated, and at least one RNA molecule encoding a zinc finger nuclease that recognizes the chromosomal site of integration. Organisms derived from any of the embryos as described herein are also provided.

BRIEF DESCRIPTION OF THE DRAWINGS

[0022] **Figure 1** shows Surveyor™ nuclease (“CEL-I”) assays results of p53-specific ZFN pairs in rat C6 cells. The ZFN pair used in each lane is shown above the lane and the percent NHEJ activity as detected by the Surveyor mismatch assay is shown at the bottom.

[0023] **Figure 2** shows Surveyor™ nuclease (“CEL-I”) assays results of eGFP-targeted ZFN pairs 16834/16833, 16856/16855 and 16859/16860 in rat C6 cells carrying the eGFP gene. The ZFN pair used is shown above each lane and the % non-homologous end joining (%NHEJ) is indicated below each lane as appropriate.

[0024] **Figure 3** is a schematic depicting targeted modification of a GFP transgene using ZFNs in transgenic GFP rats.

[0025] **Figure 4**, panels A and B, show targeted disruption of GFP by ZFNs in rat pups born from pronuclear injection of GFP-targeted ZFNs into embryos obtained from transgenic GFP rats. Figure 4A shows the 5 pups under ultra-violet light,

revealing 3 GFP positive animals and 2 animals that do not express GFP (GFP-negative). Figure 4B shows results of PCR analysis of tail biopsies of GFP+ and GFP- pups.

[0026] **Figure 5** depicts ZFN-mediated cleavage in exon 1 of endogenous *IgM* in C6 cells using ZFN pairs driven by the CMV promoter (CMV) or the CAG promoter. “Linked” refers to ZFN pairs on the same plasmid linked by the 2A peptide while “unlinked” refers to ZFN pairs not linked by the 2A peptide.

[0027] **Figure 6** depicts analysis, by Surveyor™ nuclease, of genomic DNA was prepared from the tails of 43 animals resulting from live births of *IgM* ZFN-injected one-cell embryos. As indicated, rats #6, 7, 8, 19, and 46 scored positive for modification at the *IgM* locus. Bars with white numbers indicate pups born from individual (numbered) mothers.

[0028] **Figure 7** depicts results of PCR analysis of the *IgM* modified rats (#6, 7, 8, 19, and 46 as identified in Figure 6) for insertion of the ZFN plasmids into the genome.

[0029] **Figure 8**, panels A and B, shows CEL-I and sequencing analysis of *IgM* modified rat #19. The alignment of the WT, Rat 19 wild type allele and the Rat 19 deletion allele sequences (Panel 8B) demonstrates the sequences that have been deleted from the Rat 19 deletion allele.

[0030] **Figure 9**, panels A to C, depict analysis of *IgM* modified rats (#6, 7, 8, 19, and 46 as identified in Figure 6) for activity at 8 different off-target sites. Off target sites (Site 1, Site 2 etc.) are as delineated in Table 9.

[0031] **Figure 10** depicts sequence analysis of ZFN mediated modification of *Rab38*. Shown in this Figure is an alignment of the wildtype allele with two deletion alleles ($\Delta 6$ and $\Delta 42$).

[0032] **Figure 11**, panels A to C, depict analysis of the pups obtained from crossing ZFN-*IgM* modified rats and a wild-type rat. Figure 11A shows PCR and CEL-I analysis of the 5 pups (numbered 224 to 228) from crossing rat #19 (Example 3) with a wild-type rat. Figure 11B shows sequencing analysis confirmation that the 3 *IgM* modified pups (# 225, 227 and 228 as identified in the figure) include the same 64 basepair deletion allele at the *IgM* locus as parent rat #19. Figure 11C shows PCR and CEL-I analysis of additional pups of rat #19 as well as pups from crosses of *IgM* modified rats #46 and #8. The parental *IgM*-modified rat is indicated at the top “F0” and the numbers of the pups are indicated above each lane.

[0033] **Figure 12** is a schematic depicting the repair outcomes after a targeted ZFN-induced double stranded break. Shaded bars represent the donor fragment, whereas white bars depict target site for ZFN double stranded break.

[0034] **Figure 13** is a schematic depicting the construction of RFLP donor plasmids. Shown, are the plasmid, and left and right PCR-amplified fragments homologous to the integration target site. Restriction enzymes used for cloning are denoted. The left fragment used KpnI and NotI or PmeI. The right fragment used NotI or PmeI and SacII.

[0035] **Figure 14** is a schematic depicting the construction of GFP-expressing donor plasmids. The GFP cassette was PCR amplified from an existing plasmid and closed into the NotI RFLP donor using a NotI site.

[0036] **Figure 15**, panels A and B, depict methods of detecting RFLP integration. Figure 15A is a schematic depicting methods of detecting RFLP integration and restriction enzyme digestion. Figure 15B is a schematic depicting integration of the GFP expression cassette using PCR amplification.

[0037] **Figure 16** is a photographic image of fluorescently stained PCR fragments resolved on an agarose gel. The leftmost lane contains a DNA ladder. Lanes 1 to 6 contain PCR fragments amplified using mouse Mdr1a-specific primers from a whole or a fraction of a mouse blastocyst. Lanes 1 and 2 were amplified from 5/6 and 1/6 of a blastocyst, respectively. Lane 3 was from one whole blastocyst. Lanes 4 to 6 were from 1/2, 1/3, and 1/6 of the same blastocyst, respective. Lane 7 contains a positive control PCR fragment amplified using the same primers from extracted mouse toe DNA.

[0038] **Figure 17**, panels A and B, depict photographic images of fluorescently stained DNA fragments resolved on an agarose gel. The leftmost lanes contain a DNA ladder. Lanes 1 to 39 of Figure 17A contain PCR fragments amplified using mMdr1a-specific primers from 37 mouse embryos cultured in vitro after being microinjected with ZFN RNA against mouse Mdr1a and RFLP donor with NotI site, along with one positive and negative control for PCR amplification. Lanes 1 to 39 of Figure 17B contain the PCR fragments of Figure 17A after performing the Surveyor™ mutation detection assay.

[0039] **Figure 18**, panels A and B, are photographic images of fluorescently stained DNA fragments resolved on an agarose gel. The leftmost and rightmost lanes contain a DNA ladder. Lanes contain PCR fragments amplified using mMdr1a-

specific primers from mouse embryos shown in Figure 17, and digested with NotI without purifying the PCR product. Figure 18B is a longer run of the same gel in Figure 18A. The uncut PCR products are around 1.8 kb, and the digested products are two bands around 900 bp.

5 [0040] **Figure 19** is a photographic image of fluorescently stained DNA fragments resolved on an agarose gel. The leftmost lane contains a DNA ladder. Lanes 1 to 6 contain some of the PCR fragments from as shown in Figure 18 digested with NotI after the PCR products were column purified so that NotI can work in its optimal buffer. Lines 7 and 8 are two of the samples digested with NotI (as in Figure 10 18). This gel shows NotI digestion in PCR reactions was complete.

[0041] **Figure 20** is a photographic image of fluorescently stained PCR fragments resolved on an agarose gel. The leftmost lane contains a DNA ladder. Lanes 1 to 5 contain PCR fragments amplified using PXR-specific primers from 1, 1/2, 1/6, 1/10, 1/30 of a rat blastocyst. Lane 6 is a positive control amplified using the 15 same primers from purified Sprague Dawley genomic DNA.

[0042] **Figure 21**, panels A and B, are photographic images of fluorescently stained DNA fragments resolved on an agarose gel. The leftmost and rightmost lanes contain a DNA ladder. Figure 21A shows PCR fragments amplified from rat embryos cultured in vitro after microinjection of PXR ZFN mRNA and the NotI RFLP donor, 20 using PXR-specific primers and digested with NotI. Figure 21B shows the same PCR fragments as in Figure 21A after performing the Surveyor™ mutation detection assay.

[0043] **Figure 22** is a photographic image of fluorescently stained DNA fragments resolved on an agarose gel. The first 4 lanes are PCR amplified from 4 well developed fetus at 12.5 days post conception from embryos injected with mMdr1a 25 ZFN mRNA with the NotI RFLP donor. The PCR was digested with NotI. Lane 4 is positive one. Lanes 5-8 are 4 decidua, aborted implantations. All four were negative.

[0044] **Figure 23**, panels A to E, are schematic and photographic images of fluorescently stained DNA fragments resolved on an agarose gel. Figure 23A is a schematic showing the location of the primers used. Figures 23B and 23C show 30 results from primers PF and GR. Figures 23D and 23E show results from primers PR + GF. Expected fragment size is 2.4kb. Two out of forty fetuses were positive for GFP.

[0045] **Figure 24** is a photographic image of DNA fragments resolved on an agarose gel. Lane 8 represents a 13 dpc fetus positive for the NotI site.

DETAILED DESCRIPTION

[0046] Described herein are compositions and methods for genomic editing in rat (*e.g.*, cleaving of genes; alteration of genes, for example by cleavage followed by insertion (physical insertion or insertion by replication via homology-directed repair) of an exogenous sequence and/or cleavage followed by non-homologous end joining (NHEJ); partial or complete inactivation of one or more genes; generation of alleles with random mutations to create altered expression of endogenous genes; *etc.*) and alterations of the rat genome which are carried into the germline. Also disclosed are methods of making and using these compositions (reagents), for example to edit (alter) one or more genes in a target rat cell. Thus, the methods and compositions described herein provide highly efficient methods for targeted gene alteration (*e.g.*, knock-in) and/or knockout (partial or complete) of one or more rat genes and/or for randomized mutation of the sequence of any target allele, and, therefore, allow for the generation of animal models of human diseases.

[0047] The compositions and methods described herein provide rapid, complete, and permanent targeted disruption of endogenous loci in rats without the need for labor-intensive selection and/or screening and with minimal off-target effects. Whole animal gene knockouts can also be readily generated in a single-step by injecting ZFN mRNA or ZFN expression cassettes.

General

[0048] Practice of the methods, as well as preparation and use of the compositions disclosed herein employ, unless otherwise indicated, conventional techniques in molecular biology, biochemistry, chromatin structure and analysis, computational chemistry, cell culture, recombinant DNA and related fields as are within the skill of the art. These techniques are fully explained in the literature. *See*, for example, Sambrook *et al.* MOLECULAR CLONING: A LABORATORY MANUAL, Second edition, Cold Spring Harbor Laboratory Press, 1989 and Third edition, 2001; Ausubel *et al.*, CURRENT PROTOCOLS IN MOLECULAR BIOLOGY, John Wiley & Sons, New York, 1987 and periodic updates; the series METHODS IN ENZYMOLOGY, Academic Press, San Diego; Wolffe, CHROMATIN STRUCTURE AND FUNCTION, Third edition, Academic Press, San Diego, 1998; METHODS IN ENZYMOLOGY, Vol. 304, "Chromatin" (P.M. Wassarman and A. P. Wolffe, eds.), Academic Press, San Diego,

1999; and METHODS IN MOLECULAR BIOLOGY, Vol. 119, "Chromatin Protocols" (P.B. Becker, ed.) Humana Press, Totowa, 1999.

Definitions

5 [0049] The terms "nucleic acid," "polynucleotide," and "oligonucleotide" are used interchangeably and refer to a deoxyribonucleotide or ribonucleotide polymer, in linear or circular conformation, and in either single- or double-stranded form. For the purposes of the present disclosure, these terms are not to be construed as limiting with respect to the length of a polymer. The terms can encompass known analogues of natural nucleotides, as
10 well as nucleotides that are modified in the base, sugar and/or phosphate moieties (*e.g.*, phosphorothioate backbones). In general, an analogue of a particular nucleotide has the same base-pairing specificity; *i.e.*, an analogue of A will base-pair with T.

[0050] The terms "polypeptide," "peptide" and "protein" are used interchangeably to refer to a polymer of amino acid residues. The term also applies to amino acid polymers
15 in which one or more amino acids are chemical analogues or modified derivatives of a corresponding naturally-occurring amino acids.

[0051] "Binding" refers to a sequence-specific, non-covalent interaction between macromolecules (*e.g.*, between a protein and a nucleic acid). Not all components of a binding interaction need be sequence-specific (*e.g.*, contacts with
20 phosphate residues in a DNA backbone), as long as the interaction as a whole is sequence-specific. Such interactions are generally characterized by a dissociation constant (K_d) of 10^{-6} M⁻¹ or lower. "Affinity" refers to the strength of binding: increased binding affinity being correlated with a lower K_d .

[0052] A "binding protein" is a protein that is able to bind non-covalently to
25 another molecule. A binding protein can bind to, for example, a DNA molecule (a DNA-binding protein), an RNA molecule (an RNA-binding protein) and/or a protein molecule (a protein-binding protein). In the case of a protein-binding protein, it can bind to itself (to form homodimers, homotrimers, *etc.*) and/or it can bind to one or more molecules of a different protein or proteins. A binding protein can have more than one type of binding
30 activity. For example, zinc-finger proteins have DNA-binding, RNA-binding and protein-binding activity.

[0053] A "zinc-finger DNA binding protein" (or binding domain) is a protein, or a domain within a larger protein, that binds DNA in a sequence-specific manner through one or more zinc-fingers, which are regions of amino acid sequence within the binding domain

whose structure is stabilized through coordination of a zinc ion. The term zinc-finger DNA binding protein is often abbreviated as zinc-finger protein or ZFP.

[0054] Zinc-finger binding domains can be "engineered" to bind to a predetermined nucleotide sequence. Non-limiting examples of methods for engineering zinc-finger proteins are design and selection. A designed zinc-finger protein is a protein not occurring in nature whose design/composition results principally from rational criteria. Rational criteria for design include application of substitution rules and computerized algorithms for processing information in a database storing information of existing ZFP designs and binding data. See, for example, US Patents 6,140,081; 6,453,242; and 6,534,261; see also WO 98/53058; WO 98/53059; WO 98/53060; WO 02/016536 and WO 03/016496.

[0055] A "selected" zinc-finger protein is a protein not found in nature whose production results primarily from an empirical process such as phage display, interaction trap or hybrid selection. See *e.g.*, US 5,789,538; US 5,925,523; US 6,007,988; US 6,013,453; US 6,200,759; WO 95/19431; WO 96/06166; WO 98/53057; WO 98/54311; WO 00/27878; WO 01/60970 WO 01/88197 and WO 02/099084.

[0056] The term "sequence" refers to a nucleotide sequence of any length, which can be DNA or RNA; can be linear, circular or branched and can be either single-stranded or double stranded. The term "donor sequence" refers to a nucleotide sequence that is inserted into a genome. A donor sequence can be of any length, for example between 2 and 10,000 nucleotides in length (or any integer value therebetween or thereabove), preferably between about 100 and 1,000 nucleotides in length (or any integer therebetween), more preferably between about 200 and 500 nucleotides in length.

[0057] A "homologous, non-identical sequence" refers to a first sequence which shares a degree of sequence identity with a second sequence, but whose sequence is not identical to that of the second sequence. For example, a polynucleotide comprising the wild-type sequence of a mutant gene is homologous and non-identical to the sequence of the mutant gene. In certain embodiments, the degree of homology between the two sequences is sufficient to allow homologous recombination therebetween, utilizing normal cellular mechanisms. Two homologous non-identical sequences can be any length and their degree of non-homology can be as small as a single nucleotide (*e.g.*, for correction of a genomic point mutation by targeted homologous recombination) or as large as 10 or more kilobases (*e.g.*, for

insertion of a gene at a predetermined ectopic site in a chromosome). Two polynucleotides comprising the homologous non-identical sequences need not be the same length. For example, an exogenous polynucleotide (*i.e.*, donor polynucleotide) of between 20 and 10,000 nucleotides or nucleotide pairs can be used.

5 [0058] Techniques for determining nucleic acid and amino acid sequence identity are known in the art. Typically, such techniques include determining the nucleotide sequence of the mRNA for a gene and/or determining the amino acid sequence encoded thereby, and comparing these sequences to a second nucleotide or amino acid sequence. Genomic sequences can also be determined and compared in
10 this fashion. In general, identity refers to an exact nucleotide-to-nucleotide or amino acid-to-amino acid correspondence of two polynucleotides or polypeptide sequences, respectively. Two or more sequences (polynucleotide or amino acid) can be compared by determining their percent identity. The percent identity of two sequences, whether nucleic acid or amino acid sequences, is the number of exact
15 matches between two aligned sequences divided by the length of the shorter sequences and multiplied by 100. An approximate alignment for nucleic acid sequences is provided by the local homology algorithm of Smith and Waterman, Advances in Applied Mathematics 2:482-489 (1981). This algorithm can be applied to amino acid sequences by using the scoring matrix developed by Dayhoff, Atlas of
20 Protein Sequences and Structure, M.O. Dayhoff ed., 5 suppl. 3:353-358, National Biomedical Research Foundation, Washington, D.C., USA, and normalized by Gribskov, Nucl. Acids Res. 14(6):6745-6763 (1986). An exemplary implementation of this algorithm to determine percent identity of a sequence is provided by the Genetics Computer Group (Madison, WI) in the "BestFit" utility application. The
25 default parameters for this method are described in the Wisconsin Sequence Analysis Package Program Manual, Version 8 (1995) (available from Genetics Computer Group, Madison, WI). A preferred method of establishing percent identity in the context of the present disclosure is to use the MPSRCH package of programs copyrighted by the University of Edinburgh, developed by John F. Collins and Shane
30 S. Sturrok, and distributed by IntelliGenetics, Inc. (Mountain View, CA). From this suite of packages the Smith-Waterman algorithm can be employed where default parameters are used for the scoring table (for example, gap open penalty of 12, gap extension penalty of one, and a gap of six). From the data generated the "Match" value reflects sequence identity. Other suitable programs for calculating the percent

identity or similarity between sequences are generally known in the art, for example, another alignment program is BLAST, used with default parameters. For example, BLASTN and BLASTP can be used using the following default parameters: genetic code = standard; filter = none; strand = both; cutoff = 60; expect = 10; Matrix =

5 BLOSUM62; Descriptions = 50 sequences; sort by = HIGH SCORE; Databases = non-redundant, GenBank + EMBL + DDBJ + PDB + GenBank CDS translations + Swiss protein + Spupdate + PIR. Details of these programs can be found at the following internet address: <http://www.ncbi.nlm.gov/cgi-bin/BLAST>. With respect to

10 sequences described herein, the range of desired degrees of sequence identity is approximately 80% to 100% and any integer value therebetween. Typically the percent identities between sequences are at least 70-75%, preferably 80-82%, more preferably 85-90%, even more preferably 92%, still more preferably 95%, and most preferably 98% sequence identity.

[0059] Alternatively, the degree of sequence similarity between

15 polynucleotides can be determined by hybridization of polynucleotides under conditions that allow formation of stable duplexes between homologous regions, followed by digestion with single-stranded-specific nuclease(s), and size determination of the digested fragments. Two nucleic acid, or two polypeptide sequences are substantially homologous to each other when the sequences exhibit at

20 least about 70%-75%, preferably 80%-82%, more preferably 85%-90%, even more preferably 92%, still more preferably 95%, and most preferably 98% sequence identity over a defined length of the molecules, as determined using the methods above. As used herein, substantially homologous also refers to sequences showing complete identity to a specified DNA or polypeptide sequence. DNA sequences that

25 are substantially homologous can be identified in a Southern hybridization experiment under, for example, stringent conditions, as defined for that particular system.

Defining appropriate hybridization conditions is within the skill of the art. See, *e.g.*, Sambrook et al., *supra*; Nucleic Acid Hybridization: A Practical Approach, editors B.D. Hames and S.J. Higgins, (1985) Oxford; Washington, DC; IRL Press).

30 [0060] Selective hybridization of two nucleic acid fragments can be determined as follows. The degree of sequence identity between two nucleic acid molecules affects the efficiency and strength of hybridization events between such molecules. A partially identical nucleic acid sequence will at least partially inhibit the hybridization of a completely identical sequence to a target molecule. Inhibition of

hybridization of the completely identical sequence can be assessed using hybridization assays that are well known in the art (*e.g.*, Southern (DNA) blot, Northern (RNA) blot, solution hybridization, or the like, see Sambrook, et al., *Molecular Cloning: A Laboratory Manual*, Second Edition, (1989) Cold Spring Harbor, N.Y.). Such assays can be conducted using varying degrees of selectivity, for example, using conditions varying from low to high stringency. If conditions of low stringency are employed, the absence of non-specific binding can be assessed using a secondary probe that lacks even a partial degree of sequence identity (for example, a probe having less than about 30% sequence identity with the target molecule), such that, in the absence of non-specific binding events, the secondary probe will not hybridize to the target.

[0061] When utilizing a hybridization-based detection system, a nucleic acid probe is chosen that is complementary to a reference nucleic acid sequence, and then by selection of appropriate conditions the probe and the reference sequence selectively hybridize, or bind, to each other to form a duplex molecule. A nucleic acid molecule that is capable of hybridizing selectively to a reference sequence under moderately stringent hybridization conditions typically hybridizes under conditions that allow detection of a target nucleic acid sequence of at least about 10-14 nucleotides in length having at least approximately 70% sequence identity with the sequence of the selected nucleic acid probe. Stringent hybridization conditions typically allow detection of target nucleic acid sequences of at least about 10-14 nucleotides in length having a sequence identity of greater than about 90-95% with the sequence of the selected nucleic acid probe. Hybridization conditions useful for probe/reference sequence hybridization, where the probe and reference sequence have a specific degree of sequence identity, can be determined as is known in the art (see, for example, Nucleic Acid Hybridization: A Practical Approach, editors B.D. Hames and S.J. Higgins, (1985) Oxford; Washington, DC; IRL Press).

[0062] Conditions for hybridization are well-known to those of skill in the art. Hybridization stringency refers to the degree to which hybridization conditions disfavor the formation of hybrids containing mismatched nucleotides, with higher stringency correlated with a lower tolerance for mismatched hybrids. Factors that affect the stringency of hybridization are well-known to those of skill in the art and include, but are not limited to, temperature, pH, ionic strength, and concentration of organic solvents such as, for example, formamide and dimethylsulfoxide. As is

known to those of skill in the art, hybridization stringency is increased by higher temperatures, lower ionic strength and lower solvent concentrations.

[0063] With respect to stringency conditions for hybridization, it is well known in the art that numerous equivalent conditions can be employed to establish a particular stringency by varying, for example, the following factors: the length and nature of the sequences, base composition of the various sequences, concentrations of salts and other hybridization solution components, the presence or absence of blocking agents in the hybridization solutions (e.g., dextran sulfate, and polyethylene glycol), hybridization reaction temperature and time parameters, as well as, varying wash conditions. The selection of a particular set of hybridization conditions is selected following standard methods in the art (see, for example, Sambrook, et al., Molecular Cloning: A Laboratory Manual, Second Edition, (1989) Cold Spring Harbor, N.Y.).

[0064] "Recombination" refers to a process of exchange of genetic information between two polynucleotides. For the purposes of this disclosure, "homologous recombination (HR)" refers to the specialized form of such exchange that takes place, for example, during repair of double-strand breaks in cells via homology-directed repair mechanisms. This process requires nucleotide sequence homology, uses a "donor" molecule to template repair of a "target" molecule (*i.e.*, the one that experienced the double-strand break), and is variously known as "non-crossover gene conversion" or "short tract gene conversion," because it leads to the transfer of genetic information from the donor to the target. Without wishing to be bound by any particular theory, such transfer can involve mismatch correction of heteroduplex DNA that forms between the broken target and the donor, and/or "synthesis-dependent strand annealing," in which the donor is used to resynthesize genetic information that will become part of the target, and/or related processes. Such specialized HR often results in an alteration of the sequence of the target molecule such that part or all of the sequence of the donor polynucleotide is incorporated into the target polynucleotide.

[0065] In the methods of the disclosure, one or more targeted nucleases as described herein create a double-stranded break in the target sequence (*e.g.*, cellular chromatin) at a predetermined site, and a "donor" polynucleotide, having homology to the nucleotide sequence in the region of the break, can be introduced into the cell. The presence of the double-stranded break has been shown to facilitate integration of

the donor sequence. The donor sequence may be physically integrated or, alternatively, the donor polynucleotide is used as a template for repair of the break via homologous recombination, resulting in the introduction of all or part of the nucleotide sequence as in the donor into the cellular chromatin. Thus, a first sequence
5 in cellular chromatin can be altered and, in certain embodiments, can be converted into a sequence present in a donor polynucleotide. Thus, the use of the terms “replace” or “replacement” can be understood to represent replacement of one nucleotide sequence by another, (*i.e.*, replacement of a sequence in the informational sense), and does not necessarily require physical or chemical replacement of one
10 polynucleotide by another.

[0066] In any of the methods described herein, additional pairs of zinc-finger proteins can be used for additional double-stranded cleavage of additional target sites within the cell.

[0067] In certain embodiments of methods for targeted recombination and/or
15 replacement and/or alteration of a sequence in a region of interest in cellular chromatin, a chromosomal sequence is altered by homologous recombination with an exogenous “donor” nucleotide sequence. Such homologous recombination is stimulated by the presence of a double-stranded break in cellular chromatin, if sequences homologous to the region of the break are present.

[0068] In any of the methods described herein, the first nucleotide sequence
20 (the “donor sequence”) can contain sequences that are homologous, but not identical, to genomic sequences in the region of interest, thereby stimulating homologous recombination to insert a non-identical sequence in the region of interest. Thus, in certain embodiments, portions of the donor sequence that are homologous to
25 sequences in the region of interest exhibit between about 80 to 99% (or any integer therebetween) sequence identity to the genomic sequence that is replaced. In other embodiments, the homology between the donor and genomic sequence is higher than 99%, for example if only 1 nucleotide differs as between donor and genomic sequences of over 100 contiguous base pairs. In certain cases, a non-homologous
30 portion of the donor sequence can contain sequences not present in the region of interest, such that new sequences are introduced into the region of interest. In these instances, the non-homologous sequence is generally flanked by sequences of 50-1,000 base pairs (or any integral value therebetween) or any number of base pairs greater than 1,000, that are homologous or identical to sequences in the region of

interest. In other embodiments, the donor sequence is non-homologous to the first sequence, and is inserted into the genome by non-homologous recombination mechanisms.

[0069] Any of the methods described herein can be used for partial or complete inactivation of one or more target sequences in a cell by targeted integration of donor sequence that disrupts expression of the gene(s) of interest. Cell lines with partially or completely inactivated genes are also provided.

[0070] Furthermore, the methods of targeted integration as described herein can also be used to integrate one or more exogenous sequences. The exogenous nucleic acid sequence can comprise, for example, one or more genes or cDNA molecules, or any type of coding or noncoding sequence, as well as one or more control elements (e.g., promoters). In addition, the exogenous nucleic acid sequence may produce one or more RNA molecules (e.g., small hairpin RNAs (shRNAs), inhibitory RNAs (RNAis), microRNAs (miRNAs), etc.).

[0071] "Cleavage" refers to the breakage of the covalent backbone of a DNA molecule. Cleavage can be initiated by a variety of methods including, but not limited to, enzymatic or chemical hydrolysis of a phosphodiester bond. Both single-stranded cleavage and double-stranded cleavage are possible, and double-stranded cleavage can occur as a result of two distinct single-stranded cleavage events. DNA cleavage can result in the production of either blunt ends or staggered ends. In certain embodiments, fusion polypeptides are used for targeted double-stranded DNA cleavage.

[0072] A "cleavage half-domain" is a polypeptide sequence which, in conjunction with a second polypeptide (either identical or different) forms a complex having cleavage activity (preferably double-strand cleavage activity). The terms "first and second cleavage half-domains;" "+ and - cleavage half-domains" and "right and left cleavage half-domains" are used interchangeably to refer to pairs of cleavage half-domains that dimerize.

[0073] An "engineered cleavage half-domain" is a cleavage half-domain that has been modified so as to form obligate heterodimers with another cleavage half-domain (e.g., another engineered cleavage half-domain). *See, also*, U.S. Patent Publication Nos. 2005/0064474; 2007/0218528 and 2008/0131962, incorporated herein by reference in their entireties.

[0074] "Chromatin" is the nucleoprotein structure comprising the cellular genome. Cellular chromatin comprises nucleic acid, primarily DNA, and protein, including histones and non-histone chromosomal proteins. The majority of eukaryotic cellular chromatin exists in the form of nucleosomes, wherein a nucleosome core comprises approximately 150 base pairs of DNA associated with an octamer comprising two each of histones H2A, H2B, H3 and H4; and linker DNA (of variable length depending on the organism) extends between nucleosome cores. A molecule of histone H1 is generally associated with the linker DNA. For the purposes of the present disclosure, the term "chromatin" is meant to encompass all types of cellular nucleoprotein, both prokaryotic and eukaryotic. Cellular chromatin includes both chromosomal and episomal chromatin.

[0075] A "chromosome," is a chromatin complex comprising all or a portion of the genome of a cell. The genome of a cell is often characterized by its karyotype, which is the collection of all the chromosomes that comprise the genome of the cell.

The genome of a cell can comprise one or more chromosomes.

[0076] An "episome" is a replicating nucleic acid, nucleoprotein complex or other structure comprising a nucleic acid that is not part of the chromosomal karyotype of a cell. Examples of episomes include plasmids and certain viral genomes.

[0077] A "target site" or "target sequence" is a nucleic acid sequence that defines a portion of a nucleic acid to which a binding molecule will bind, provided sufficient conditions for binding exist. For example, the sequence 5'-GAATTC-3' is a target site for the Eco RI restriction endonuclease.

[0078] An "exogenous" molecule is a molecule that is not normally present in a cell, but can be introduced into a cell by one or more genetic, biochemical or other methods. "Normal presence in the cell" is determined with respect to the particular developmental stage and environmental conditions of the cell. Thus, for example, a molecule that is present only during embryonic development of muscle is an exogenous molecule with respect to an adult muscle cell. Similarly, a molecule induced by heat shock is an exogenous molecule with respect to a non-heat-shocked cell. An exogenous molecule can comprise, for example, a functioning version of a malfunctioning endogenous molecule or a malfunctioning version of a normally-functioning endogenous molecule. An exogenous molecule can also be a molecule

normally found in another species, for example, a human sequence introduced into a rat genome.

[0079] An exogenous molecule can be, among other things, a small molecule, such as is generated by a combinatorial chemistry process, or a macromolecule such as a protein, nucleic acid, carbohydrate, lipid, glycoprotein, lipoprotein, polysaccharide, any modified derivative of the above molecules, or any complex comprising one or more of the above molecules. Nucleic acids include DNA and RNA, can be single- or double-stranded; can be linear, branched or circular; and can be of any length. Nucleic acids include those capable of forming duplexes, as well as triplex-forming nucleic acids. See, for example, U.S. Patent Nos. 5,176,996 and 5,422,251. Proteins include, but are not limited to, DNA-binding proteins, transcription factors, chromatin remodeling factors, methylated DNA binding proteins, polymerases, methylases, demethylases, acetylases, deacetylases, kinases, phosphatases, integrases, recombinases, ligases, topoisomerases, gyrases and helicases.

[0080] An exogenous molecule can be the same type of molecule as an endogenous molecule, *e.g.*, an exogenous protein or nucleic acid. For example, an exogenous nucleic acid can comprise an infecting viral genome, a plasmid or episome introduced into a cell, or a chromosome that is not normally present in the cell. Methods for the introduction of exogenous molecules into cells are known to those of skill in the art and include, but are not limited to, lipid-mediated transfer (*i.e.*, liposomes, including neutral and cationic lipids), electroporation, direct injection, cell fusion, particle bombardment, calcium phosphate co-precipitation, DEAE-dextran-mediated transfer and viral vector-mediated transfer.

[0081] By contrast, an "endogenous" molecule is one that is normally present in a particular cell at a particular developmental stage under particular environmental conditions. For example, an endogenous nucleic acid can comprise a chromosome, the genome of a mitochondrion, chloroplast or other organelle, or a naturally-occurring episomal nucleic acid. Additional endogenous molecules can include proteins, for example, transcription factors and enzymes.

[0082] A "fusion" molecule is a molecule in which two or more subunit molecules are linked, preferably covalently. The subunit molecules can be the same chemical type of molecule, or can be different chemical types of molecules. Examples of the first type of fusion molecule include, but are not limited to, fusion

proteins (for example, a fusion between a ZFP DNA-binding domain and a cleavage domain) and fusion nucleic acids (for example, a nucleic acid encoding the fusion protein described *supra*). Examples of the second type of fusion molecule include, but are not limited to, a fusion between a triplex-forming nucleic acid and a polypeptide, and a fusion between a minor groove binder and a nucleic acid.

5 [0083] Expression of a fusion protein in a cell can result from delivery of the fusion protein to the cell or by delivery of a polynucleotide encoding the fusion protein to a cell, wherein the polynucleotide is transcribed, and the transcript is translated, to generate the fusion protein. Trans-splicing, polypeptide cleavage and polypeptide ligation can also be involved in expression of a protein in a cell. Methods for polynucleotide and polypeptide delivery to cells are presented elsewhere in this disclosure.

10 [0084] A "gene," for the purposes of the present disclosure, includes a DNA region encoding a gene product (see *infra*), as well as all DNA regions which regulate the production of the gene product, whether or not such regulatory sequences are adjacent to coding and/or transcribed sequences. Accordingly, a gene includes, but is not necessarily limited to, promoter sequences, terminators, translational regulatory sequences such as ribosome binding sites and internal ribosome entry sites, enhancers, silencers, insulators, boundary elements, replication origins, matrix attachment sites and locus control regions.

20 [0085] "Gene expression" refers to the conversion of the information, contained in a gene, into a gene product. A gene product can be the direct transcriptional product of a gene (*e.g.*, mRNA, tRNA, rRNA, antisense RNA, ribozyme, structural RNA or any other type of RNA) or a protein produced by translation of a mRNA. Gene products also include RNAs which are modified, by processes such as capping, polyadenylation, methylation, and editing, and proteins modified by, for example, methylation, acetylation, phosphorylation, ubiquitination, ADP-ribosylation, myristilation, and glycosylation.

25 [0086] "Modulation" of gene expression refers to a change in the activity of a gene. Modulation of expression can include, but is not limited to, gene activation and gene repression. Genome editing (*e.g.*, cleavage, alteration, inactivation, random mutation) can be used to modulate expression. Gene inactivation refers to any reduction in gene expression as compared to a cell that does not include a ZFP as described herein. Thus, gene inactivation may be partial or complete.

[0087] A "region of interest" is any region of cellular chromatin, such as, for example, a gene or a non-coding sequence within or adjacent to a gene, in which it is desirable to bind an exogenous molecule. Binding can be for the purposes of targeted DNA cleavage and/or targeted recombination. A region of interest can be present in a chromosome, an episome, an organellar genome (*e.g.*, mitochondrial, chloroplast), or an infecting viral genome, for example. A region of interest can be within the coding region of a gene, within transcribed non-coding regions such as, for example, leader sequences, trailer sequences or introns, or within non-transcribed regions, either upstream or downstream of the coding region. A region of interest can be as small as a single nucleotide pair or up to 2,000 nucleotide pairs in length, or any integral value of nucleotide pairs.

[0088] The terms "operative linkage" and "operatively linked" (or "operably linked") are used interchangeably with reference to a juxtaposition of two or more components (such as sequence elements), in which the components are arranged such that both components function normally and allow the possibility that at least one of the components can mediate a function that is exerted upon at least one of the other components. By way of illustration, a transcriptional regulatory sequence, such as a promoter, is operatively linked to a coding sequence if the transcriptional regulatory sequence controls the level of transcription of the coding sequence in response to the presence or absence of one or more transcriptional regulatory factors. A transcriptional regulatory sequence is generally operatively linked in *cis* with a coding sequence, but need not be directly adjacent to it. For example, an enhancer is a transcriptional regulatory sequence that is operatively linked to a coding sequence, even though they are not contiguous.

[0089] With respect to fusion polypeptides, the term "operatively linked" can refer to the fact that each of the components performs the same function in linkage to the other component as it would if it were not so linked. For example, with respect to a fusion polypeptide in which a ZFP DNA-binding domain is fused to a cleavage domain, the ZFP DNA-binding domain and the cleavage domain are in operative linkage if, in the fusion polypeptide, the ZFP DNA-binding domain portion is able to bind its target site and/or its binding site, while the cleavage domain is able to cleave DNA in the vicinity of the target site.

[0090] A "functional fragment" of a protein, polypeptide or nucleic acid is a protein, polypeptide or nucleic acid whose sequence is not identical to the full-length

protein, polypeptide or nucleic acid, yet retains the same function as the full-length protein, polypeptide or nucleic acid. A functional fragment can possess more, fewer, or the same number of residues as the corresponding native molecule, and/or can contain one or more amino acid or nucleotide substitutions. Methods for
5 determining the function of a nucleic acid (*e.g.*, coding function, ability to hybridize to another nucleic acid) are well-known in the art. Similarly, methods for determining protein function are well-known. For example, the DNA-binding function of a polypeptide can be determined, for example, by filter-binding, electrophoretic mobility-shift, or immunoprecipitation assays. DNA cleavage can be assayed by gel
10 electrophoresis. See Ausubel *et al.*, *supra*. The ability of a protein to interact with another protein can be determined, for example, by co-immunoprecipitation, two-hybrid assays or complementation, both genetic and biochemical. See, for example, Fields *et al.* (1989) *Nature* **340**:245-246; U.S. Patent No. 5,585,245 and PCT WO 98/44350.

15

Zinc-finger Nucleases

[0091] Described herein are zinc-finger nucleases (ZFNs) that can be used for genomic editing (*e.g.*, cleavage, alteration, inactivation and/or random mutation) of one or more rat genes. ZFNs comprise a zinc-finger protein (ZFP) and a nuclease
20 (cleavage) domain (*e.g.*, cleavage half-domain).

A. Zinc-finger Proteins

[0092] Zinc-finger binding domains can be engineered to bind to a sequence of choice. See, for example, Beerli *et al.* (2002) *Nature Biotechnol.* **20**:135-141; Pabo
25 *et al.* (2001) *Ann. Rev. Biochem.* **70**:313-340; Isalan *et al.* (2001) *Nature Biotechnol.* **19**:656-660; Segal *et al.* (2001) *Curr. Opin. Biotechnol.* **12**:632-637; Choo *et al.* (2000) *Curr. Opin. Struct. Biol.* **10**:411-416. An engineered zinc-finger binding domain can have a novel binding specificity, compared to a naturally-occurring zinc-finger protein. Engineering methods include, but are not limited to, rational design
30 and various types of selection. Rational design includes, for example, using databases comprising triplet (or quadruplet) nucleotide sequences and individual zinc-finger amino acid sequences, in which each triplet or quadruplet nucleotide sequence is associated with one or more amino acid sequences of zinc-fingers which bind the

particular triplet or quadruplet sequence. See, for example, co-owned U.S. Patents 6,453,242 and 6,534,261, incorporated by reference herein in their entireties.

[0093] Exemplary selection methods, including phage display and two-hybrid systems, are disclosed in US Patents 5,789,538; 5,925,523; 6,007,988; 6,013,453; 5 6,410,248; 6,140,466; 6,200,759; and 6,242,568; as well as WO 98/37186; WO 98/53057; WO 00/27878; WO 01/88197 and GB 2,338,237. In addition, enhancement of binding specificity for zinc-finger binding domains has been described, for example, in co-owned WO 02/077227.

[0094] Selection of target sites; ZFPs and methods for design and construction 10 of fusion proteins (and polynucleotides encoding same) are known to those of skill in the art and described in detail in U.S. Patent Application Publication Nos. 20050064474 and 20060188987, incorporated by reference in their entireties herein.

[0095] In addition, as disclosed in these and other references, zinc-finger domains and/or multi-fingered zinc-finger proteins may be linked together using any 15 suitable linker sequences, including for example, linkers of 5 or more amino acids in length (*e.g.*, TGEKP (SEQ ID NO:1), TGGQRP (SEQ ID NO:2), TGQKP (SEQ ID NO:3), and/or TGSQKP (SEQ ID NO:4)). See, also, U.S. Patent Nos. 6,479,626; 6,903,185; and 7,153,949 for exemplary linker sequences 6 or more amino acids in length. The proteins described herein may include any combination of suitable 20 linkers between the individual zinc-fingers of the protein.

[0096] As described below, in certain embodiments, a four-, five-, or six-finger binding domain is fused to a cleavage half-domain, such as, for example, the cleavage domain of a Type II restriction endonuclease such as *FokI*. One or more pairs of such zinc-finger/nuclease half-domain fusions are used for targeted cleavage, 25 as disclosed, for example, in U.S. Patent Publication No. 20050064474.

[0097] For targeted cleavage, the near edges of the binding sites can be separated by 5 or more nucleotide pairs, and each of the fusion proteins can bind to an opposite strand of the DNA target. All pairwise combinations can be used for targeted cleavage of a rat gene. Following the present disclosure, ZFNs can be targeted to any 30 sequence in the rat genome.

[0098] In some embodiments, the DNA binding domain is an engineered domain from a TAL effector derived from the plant pathogen *Xanthomonas* (see Boch *et al.*, (2009) *Science* 29 Oct 2009 (10.1126/science.117881) and Moscou and Bogdanove, (2009) *Science* 29 Oct 2009 (10.1126/science.1178817)).

B. Cleavage Domains

[0099] The ZFNs also comprise a nuclease (cleavage domain, cleavage half-domain). The cleavage domain portion of the fusion proteins disclosed herein can be
5 obtained from any endonuclease or exonuclease. Exemplary endonucleases from which a cleavage domain can be derived include, but are not limited to, restriction endonucleases and homing endonucleases. See, for example, 2002-2003 Catalogue, New England Biolabs, Beverly, MA; and Belfort *et al.* (1997) *Nucleic Acids Res.* 25:3379-3388. Additional enzymes which cleave DNA are known (*e.g.*, S1 Nuclease;
10 mung bean nuclease; pancreatic DNase I; micrococcal nuclease; yeast HO endonuclease; see also Linn *et al.* (eds.) *Nucleases*, Cold Spring Harbor Laboratory Press, 1993). One or more of these enzymes (or functional fragments thereof) can be used as a source of cleavage domains and cleavage half-domains.

[0100] Similarly, a cleavage half-domain can be derived from any nuclease or
15 portion thereof, as set forth above, that requires dimerization for cleavage activity. In general, two fusion proteins are required for cleavage if the fusion proteins comprise cleavage half-domains. Alternatively, a single protein comprising two cleavage half-domains can be used. The two cleavage half-domains can be derived from the same endonuclease (or functional fragments thereof), or each cleavage half-domain can be
20 derived from a different endonuclease (or functional fragments thereof). In addition, the target sites for the two fusion proteins are preferably disposed, with respect to each other, such that binding of the two fusion proteins to their respective target sites places the cleavage half-domains in a spatial orientation to each other that allows the cleavage half-domains to form a functional cleavage domain, *e.g.*, by dimerizing.

25 Thus, in certain embodiments, the near edges of the target sites are separated by 5-8 nucleotides or by 15-18 nucleotides. However any integral number of nucleotides or nucleotide pairs can intervene between two target sites (*e.g.*, from 2 to 50 nucleotide pairs or more). In general, the site of cleavage lies between the target sites.

[0101] Restriction endonucleases (restriction enzymes) are present in many
30 species and are capable of sequence-specific binding to DNA (at a recognition site), and cleaving DNA at or near the site of binding. Certain restriction enzymes (*e.g.*, Type IIS) cleave DNA at sites removed from the recognition site and have separable binding and cleavage domains. For example, the Type IIS enzyme *Fok I* catalyzes double-stranded cleavage of DNA, at 9 nucleotides from its recognition site on one

strand and 13 nucleotides from its recognition site on the other. See, for example, US Patents 5,356,802; 5,436,150 and 5,487,994; as well as Li *et al.* (1992) *Proc. Natl. Acad. Sci. USA* **89**:4275-4279; Li *et al.* (1993) *Proc. Natl. Acad. Sci. USA* **90**:2764-2768; Kim *et al.* (1994a) *Proc. Natl. Acad. Sci. USA* **91**:883-887; Kim *et al.* (1994b) *J. Biol. Chem.* **269**:31,978-31,982. Thus, in one embodiment, fusion proteins comprise the cleavage domain (or cleavage half-domain) from at least one Type IIS restriction enzyme and one or more zinc-finger binding domains, which may or may not be engineered.

[0102] An exemplary Type IIS restriction enzyme, whose cleavage domain is separable from the binding domain, is *Fok* I. This particular enzyme is active as a dimer. Bitinaite *et al.* (1998) *Proc. Natl. Acad. Sci. USA* **95**: 10,570-10,575. Accordingly, for the purposes of the present disclosure, the portion of the *Fok* I enzyme used in the disclosed fusion proteins is considered a cleavage half-domain. Thus, for targeted double-stranded cleavage and/or targeted replacement of cellular sequences using zinc-finger-*Fok* I fusions, two fusion proteins, each comprising a *Fok*I cleavage half-domain, can be used to reconstitute a catalytically active cleavage domain. Alternatively, a single polypeptide molecule containing a zinc-finger binding domain and two *Fok* I cleavage half-domains can also be used. Parameters for targeted cleavage and targeted sequence alteration using zinc-finger-*Fok* I fusions are provided elsewhere in this disclosure.

[0103] A cleavage domain or cleavage half-domain can be any portion of a protein that retains cleavage activity, or that retains the ability to multimerize (*e.g.*, dimerize) to form a functional cleavage domain.

[0104] Exemplary Type IIS restriction enzymes are described in International Publication WO 07/014275, incorporated herein in its entirety. Additional restriction enzymes also contain separable binding and cleavage domains, and these are contemplated by the present disclosure. See, for example, Roberts *et al.* (2003) *Nucleic Acids Res.* **31**:418-420.

[0105] In certain embodiments, the cleavage domain comprises one or more engineered cleavage half-domain (also referred to as dimerization domain mutants) that minimize or prevent homodimerization, as described, for example, in U.S. Patent Publication Nos. 20050064474; 20060188987 and 20080131962, the disclosures of all of which are incorporated by reference in their entireties herein. Amino acid residues at positions 446, 447, 479, 483, 484, 486, 487, 490, 491, 496, 498, 499, 500,

531, 534, 537, and 538 of *Fok* I are all targets for influencing dimerization of the *Fok* I cleavage half-domains.

[0106] Exemplary engineered cleavage half-domains of *Fok* I that form obligate heterodimers include a pair in which a first cleavage half-domain includes mutations at amino acid residues at positions 490 and 538 of *Fok* I and a second

5 cleavage half-domain includes mutations at amino acid residues 486 and 499.

[0107] Thus, in one embodiment, a mutation at 490 replaces Glu (E) with Lys (K); the mutation at 538 replaces Iso (I) with Lys (K); the mutation at 486 replaced Gln (Q) with Glu (E); and the mutation at position 499 replaces Iso (I) with Lys (K).

10 Specifically, the engineered cleavage half-domains described herein were prepared by mutating positions 490 (E→K) and 538 (I→K) in one cleavage half-domain to produce an engineered cleavage half-domain designated “E490K:I538K” and by mutating positions 486 (Q→E) and 499 (I→L) in another cleavage half-domain to produce an engineered cleavage half-domain designated “Q486E:I499L”. The

15 engineered cleavage half-domains described herein are obligate heterodimer mutants in which aberrant cleavage is minimized or abolished. *See, e.g.*, Example 1 of U.S. Patent Publication No. 2008/0131962, the disclosure of which is incorporated by reference in its entirety for all purposes.

[0108] Engineered cleavage half-domains described herein can be prepared

20 using any suitable method, for example, by site-directed mutagenesis of wild-type cleavage half-domains (*Fok* I) as described in U.S. Patent Publication No. 20050064474 (Serial No. 10/912,932, Example 5) and U.S. Patent Provisional Application Serial No. 60/721,054 (Example 38).

25 C. Additional Methods for Targeted Cleavage in Rat

[0109] Any nuclease having a target site in any rat gene(s) can be used in the methods disclosed herein. For example, homing endonucleases and meganucleases have very long recognition sequences, some of which are likely to be present, on a statistical basis, once in a human-sized genome. Any such nuclease having a target

30 site in a rat gene can be used instead of, or in addition to, a zinc-finger nuclease, for targeted cleavage in a rat gene.

[0110] Exemplary homing endonucleases include I-*Sce*I, I-*Ceu*I, PI-*Psp*I, PI-*Sce*, I-*Sce*IV, I-*Csm*I, I-*Pan*I, I-*Sce*II, I-*Ppo*I, I-*Sce*III, I-*Cre*I, I-*Tev*I, I-*Tev*II and I-*Tev*III. Their recognition sequences are known. *See* also U.S. Patent No. 5,420,032;

U.S. Patent No. 6,833,252; Belfort *et al.* (1997) *Nucleic Acids Res.* **25**:3379–3388; Dujon *et al.* (1989) *Gene* **82**:115–118; Perler *et al.* (1994) *Nucleic Acids Res.* **22**, 1125–1127; Jasin (1996) *Trends Genet.* **12**:224–228; Gimble *et al.* (1996) *J. Mol. Biol.* **263**:163–180; Argast *et al.* (1998) *J. Mol. Biol.* **280**:345–353 and the New

5 England Biolabs catalogue.

[0111] Although the cleavage specificity of most homing endonucleases is not absolute with respect to their recognition sites, the sites are of sufficient length that a single cleavage event per mammalian-sized genome can be obtained by expressing a homing endonuclease in a cell containing a single copy of its recognition site. It has also been reported that the specificity of homing endonucleases and meganucleases can be engineered to bind non-natural target sites. *See, for example, Chevalier et al.* (2002) *Molec. Cell* **10**:895-905; Epinat *et al.* (2003) *Nucleic Acids Res.* **31**:2952-2962; Ashworth *et al.* (2006) *Nature* **441**:656-659; Paques *et al.* (2007) *Current Gene Therapy* **7**:49-66.

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Delivery

[0112] The ZFNs described herein may be delivered to a target rat cell by any suitable means, including, for example, by injection of ZFN mRNA. *See, Hammerschmidt et al.* (1999) *Methods Cell Biol.* **59**:87-115

20 [0113] Methods of delivering proteins comprising zinc-fingers are described, for example, in U.S. Patent Nos. 6,453,242; 6,503,717; 6,534,261; 6,599,692; 6,607,882; 6,689,558; 6,824,978; 6,933,113; 6,979,539; 7,013,219; and 7,163,824, the disclosures of all of which are incorporated by reference herein in their entireties.

[0114] ZFNs as described herein may also be delivered using vectors containing sequences encoding one or more of the ZFNs. Any vector systems may be used including, but not limited to, plasmid vectors, retroviral vectors, lentiviral vectors, adenovirus vectors, poxvirus vectors; herpesvirus vectors and adeno-associated virus vectors, etc. *See, also, U.S. Patent Nos.* 6,534,261; 6,607,882; 6,824,978; 6,933,113; 6,979,539; 7,013,219; and 7,163,824, incorporated by reference herein in their entireties. Furthermore, it will be apparent that any of these vectors may comprise one or more ZFN encoding sequences. Thus, when one or more pairs of ZFNs are introduced into the cell, the ZFNs may be carried on the same vector or on different vectors. When multiple vectors are used, each vector may comprise a sequence encoding one or multiple ZFNs.

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[0115] Conventional viral and non-viral based gene transfer methods can be used to introduce nucleic acids encoding engineered ZFPs in rat cells. Such methods can also be used to administer nucleic acids encoding ZFPs to rat cells *in vitro*. In certain embodiments, nucleic acids encoding ZFPs are administered for *in vivo* or *ex vivo* uses.

[0116] Non-viral vector delivery systems include electroporation, lipofection, microinjection, biolistics, virosomes, liposomes, immunoliposomes, polycation or lipid:nucleic acid conjugates, naked DNA, artificial virions, and agent-enhanced uptake of DNA. Sonoporation using, *e.g.*, the Sonitron 2000 system (Rich-Mar) can also be used for delivery of nucleic acids. Viral vector delivery systems include DNA and RNA viruses, which have either episomal or integrated genomes after delivery to the cell. Additional exemplary nucleic acid delivery systems include those provided by Amaxa Biosystems (Cologne, Germany), Maxcyte, Inc. (Rockville, Maryland), BTX Molecular Delivery Systems (Holliston, MA) and Copernicus Therapeutics Inc, (see for example US6008336). Lipofection is described in *e.g.*, US 5,049,386, US 4,946,787; and US 4,897,355) and lipofection reagents are sold commercially (*e.g.*, Transfectam™ and Lipofectin™). Cationic and neutral lipids that are suitable for efficient receptor-recognition lipofection of polynucleotides include those of Felgner, WO 91/17424, WO 91/16024. Delivery can be to cells (*ex vivo* administration) or target tissues (*in vivo* administration). The preparation of lipid:nucleic acid complexes, including targeted liposomes such as immunolipid complexes, is well known to one of skill in the art (*see, e.g.*, Crystal, *Science* 270:404-410 (1995); Blaese *et al.*, *Cancer Gene Ther.* 2:291-297 (1995); Behr *et al.*, *Bioconjugate Chem.* 5:382-389 (1994); Remy *et al.*, *Bioconjugate Chem.* 5:647-654 (1994); Gao *et al.*, *Gene Therapy* 2:710-722 (1995); Ahmad *et al.*, *Cancer Res.* 52:4817-4820 (1992); U.S. Pat. Nos. 4,186,183, 4,217,344, 4,235,871, 4,261,975, 4,485,054, 4,501,728, 4,774,085, 4,837,028, and 4,946,787).

[0117] As noted above, the disclosed methods and compositions can be used in any type of rat cell. Progeny, variants and derivatives of rat cells can also be used.

Applications

[0118] The disclosed methods and compositions can be used for genomic editing of any rat gene or genes. In certain applications, the methods and

compositions can be used for inactivation of rat genomic sequences. In other applications, the methods and compositions allow for generation of random mutations, including generation of novel allelic forms of genes with different expression as compared to unedited genes or integration of humanized rat genes, which in turn allows for the generation of animal models. In other applications, the methods and compositions can be used for creating random mutations at defined positions of genes that allows for the identification or selection of animals carrying novel allelic forms of those genes. In other applications, the methods and compositions allow for targeted integration of an exogenous (donor) sequence into any selected area of the rat genome. Regulatory sequences (*e.g.* promoters) could be integrated in a targeted fashion at a site of interest. By “integration” is meant both physical insertion (*e.g.*, into the genome of a host cell) and, in addition, integration by copying of the donor sequence into the host cell genome via the nucleic acid replication processes. Donor sequences can also comprise nucleic acids such as shRNAs, miRNAs etc. These small nucleic acid donors can be used to study their effects on genes of interest within the rat genome. Genomic editing (*e.g.*, inactivation, integration and/or targeted or random mutation) of a rat gene can be achieved, for example, by a single cleavage event, by cleavage followed by non-homologous end joining, by cleavage followed by homology-directed repair mechanisms, by cleavage followed by physical integration of a donor sequence, by cleavage at two sites followed by joining so as to delete the sequence between the two cleavage sites, by targeted recombination of a missense or nonsense codon into the coding region, by targeted recombination of an irrelevant sequence (*i.e.*, a “stuffer” sequence) into the gene or its regulatory region, so as to disrupt the gene or regulatory region, or by targeting recombination of a splice acceptor sequence into an intron to cause mis-splicing of the transcript. See, U.S. Patent Publication Nos. 20030232410; 20050208489; 20050026157; 20050064474; 20060188987; 20060063231; and International Publication WO 07/014275, the disclosures of which are incorporated by reference in their entireties for all purposes.

[0119] There are a variety of applications for ZFN-mediated genomic editing of rat. The methods and compositions described herein allow for the generation of rat models of human diseases. For example, editing of the p53 gene allows for the generation of a “cancer rat” that provides an animal model for studying cancer and testing cancer therapies.

EXAMPLES**Example 1: ZFNs induce targeted disruption in rat C6 cells**

[0120] ZFNs targeted to rat p53 were designed and incorporated into plasmids

5 essentially as described in Urnov *et al.* (2005) *Nature* 435(7042):646-651. The recognition helices for representative rat p53 designs are shown below in Table 1. The target sites for these ZFNs are shown in Table 2.

Table 1: rat p53-specific ZFN designs

ZFN Name	F1	F2	F3	F4
10356	RSDDLTR (SEQ ID NO:16)	RSDHLSR (SEQ ID NO:44)	DNPNLNR (SEQ ID NO:55)	RSDDLRSR (SEQ ID NO:100)
10358	DNPNLNR (SEQ ID NO:55)	RSDDLRSR (SEQ ID NO:100)	NSQHLTE (SEQ ID NO:101)	QSSHLSR (SEQ ID NO:102)
10359	QSGNLAR (SEQ ID NO:21)	RSDDLTR (SEQ ID NO:16)	NSQHLTE (SEQ ID NO:101)	QSSHLSR (SEQ ID NO:102)
10357	RSDDLTR (SEQ ID NO:16)	RSDHLSR (SEQ ID NO:44)	QSGNLAR (SEQ ID NO:21)	RSDDLTR (SEQ ID NO:16)
10360	RSDNLAR (SEQ ID NO:103)	RSDHLTT (SEQ ID NO:104)	RSDNLSQ (SEQ ID NO:105)	ASNDRKK (SEQ ID NO:106)
10362	RSDHLSE (SEQ ID NO:87)	RSAALAR (SEQ ID NO:107)	RSDHLSE (SEQ ID NO:87)	RNQHRIT (SEQ ID NO:108)
10361	RSDNLAR (SEQ ID NO:103)	RSDHLTT (SEQ ID NO:104)	RSDNLSE (SEQ ID NO:43)	DSRSRIN (SEQ ID NO:109)
10363	DRSHLSR (SEQ ID NO:110)	RSDDLTR (SEQ ID NO:16)	RSDHLSR (SEQ ID NO:44)	DRSHLAR (SEQ ID NO:12)

10

Table 2: rat p53-specific ZFN targets

ZFN Name	Target Site (5' to 3')
10356	aaGCGGAAGGGGCGggccatagcccggg (SEQ ID NO:111)
10358	caGGACGTGCGGAAtgcgttaagggaat (SEQ ID NO:112)

10359	caGGACGTGCGGAAtgcttaaggaat (SEQ ID NO:112)
10357	aaGCGGAAGGGGCGggccatagcccggg (SEQ ID NO:111)
10360	ctTCCCAGTGGGAGgtgacagaaccctg (SEQ ID NO:113)
10362	acCGGCGGGTGC GGgaggactgcactta (SEQ ID NO:114)
10361	ctTCCCAGTGGGAGgtgacagaaccctg (SEQ ID NO:113)
10363	ccGGCGGGtGCGGGCggactgcacttag (SEQ ID NO:115)

[0121] ZFN-encoding plasmids were transfected into rat C6 cells. To determine the ZFN activity at the p53 locus, CEL-I mismatch assays were performed essentially as per the manufacturer's instructions (Trangenomic SURVEYOR™).

5 Cells were harvested and chromosomal DNA prepared using a Quicextract™ Kit according to manufacturer's directions (Epicentre®). The appropriate region of the p53 locus was PCR amplified using Accuprime™ High-fidelity DNA polymerase (Invitrogen). PCR reactions were heated to 94°C, and gradually cooled to room temperature. Approximately 200ng of the annealed DNA was mixed with 0.33μL
 10 CEL-I enzyme and incubated for 20 minutes at 42°C. Reaction products were analyzed by polyacrylamide gel electrophoresis in 1X Tris-borate-EDTA buffer.

[0122] Results are shown in Figure 1 where various pairs of p53-specific ZFNs described in Tables 1 and 2 were tested in combination. Percent mismatch, a measure of NHEJ activity are shown at the bottom of each lane. The results indicate
 15 that these ZFNs are active against this rat locus.

[0123] ZFNs targeted to GFP were designed and incorporated into plasmids essentially as described in Urnov et al. (2005) *Nature* 435(7042):646-651. ZFN pairs were screened for activity in a yeast-based chromosomal system as described in U.S. Serial No. 12/284,887, entitled "Rapid in vivo Identification of Biologically Active
 20 Nucleases." Briefly, galactose-inducible ZFNs were transformed into a yeast strain containing an integrated Single Strand Annealing (ySSA) reporter, which consisted of the full eGFP sequence inserted between two overlapping segments of the *MEL1* gene driven by the PGK promoter. The expression of the ZFNs was induced for 6 hours, then repressed for 18 hours, after which time a standard colorimetric assay was used
 25 to quantify the amount of MEL1 protein in the supernatant.

[0124] The recognition helices for representative GFP zinc-finger designs are shown below in Table 3.

Table 3: GFP Zinc-finger Designs

ZFN Name	F1	F2	F3	F4	F5	F6
16833 "33"	RSAHLSR (SEQ ID NO:5)	TSANLSR (SEQ ID NO:6)	RSDNLSV (SEQ ID NO:7)	DRSNLTR (SEQ ID NO:8)		
16834 "34"	RSDTLSQ (SEQ ID NO:9)	QRDHRIK (SEQ ID NO:10)	DRSNLSR (SEQ ID NO:11)	DRSHLAR (SEQ ID NO:12)	DRSNLTR (SEQ ID NO:8)	
16855 "55"	RSDHLSA (SEQ ID NO:13)	DSSTRKT (SEQ ID NO:14)	TSGLSR (SEQ ID NO:15)	RSDDLTR (SEQ ID NO:16)	TSANLSR (SEQ ID NO:6)	
16856 "56"	RSDNLST (SEQ ID NO:17)	DSSSRIK (SEQ ID NO:18)	RAVLSE (SEQ ID NO:19)	TNSNRIT (SEQ ID NO:20)	RSAHLSR (SEQ ID NO:5)	QSGNLAR (SEQ ID NO:21)
16859 "59"	TSGLSR (SEQ ID NO:15)	QSGSLTR (SEQ ID NO:22)	TSGLSR (SEQ ID NO:15)	QSSDLRR (SEQ ID NO:23)	RSDALSR (SEQ ID NO:24)	TSGSLTR (SEQ ID NO:25)
16860 "60"	RSANLSV (SEQ ID NO:30)	DRANLSR (SEQ ID NO:29)	DRSDLSR (SEQ ID NO:28)	RSDLSLV (SEQ ID NO:27)	DSSARKK (SEQ ID NO:26)	

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[0125] Target sites of the GFP zinc-finger designs are shown below in Table 4. Nucleotides in the target site that are contacted by the ZFP recognition helices are indicated in uppercase letters; non-contacted nucleotides indicated in lowercase.

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Table 4: Target Sites of GFP Zinc-fingers

ZFN Name	Target Site (5' to 3')
16833	GACCAGGATGGG (SEQ ID NO:31)
16834	GACGGCGACgTAAACG (SEQ ID NO:32)
16855	GATGCGGTTcACCAGG (SEQ ID NO:33)
16856	GAAGGGCATCGAcTTCAAG (SEQ ID NO:34)
16859	GTTGTGGCTGTTGTAGTT (SEQ ID NO:35)
16860	ATCATGGCCGACAAG (SEQ ID NO:36)

[0126] Active GFP-targeted ZFN expression constructs were transfected into rat C6 cells containing a GFP expression construct.

[0127] As shown in FIG. 2, all ZFN pairs tested cleaved the GFP gene in the target cells.

Example 2: ZFNs induce targeted disruption in transgenic rats

5 [0128] GFP-specific ZFNs as described in Example 1 were also introduced by pronuclear injection (PNI) or cytoplasmic injection (of ZFN mRNA) at varying concentrations into one-cell embryos obtained from transgenic rats expressing GFP described in Michalkiewicz *et al.* (2007) *J. Amer. Phys. Society* 293:H881-H894. See, Fig. 3.

10 [0129] The injected embryos were cultured for 2-3 days until they reached the 2-4 cell stage. Some of the 2-4 cell embryos were then transferred to pseudo-pregnant females. DNA was extracted from both cultured embryos and transferred embryos and cleavage of the GFP gene assessed.

[0130] Results of the different mode of injection and concentration of ZFNs injected into the embryos injected using ZFN pair 16859/16860 are shown in the Table 5 below.

TABLE 5

Method of injection	ZFN conc. (ng/ μ L)	Embryos injected	Survived	Divided 2-cells	%	Transferred	Born
PNI	1	40	23	19	83		
	2	39	29	19	66		
	1.5	36	25			25	5
cytoplasmic	5	40	26	16	62		
	10	39	32	16	50		
	20	38	31	24	77		
	10	256	138			138	

20 [0131] GFP imaging of cytoplasmic injections of ZFN mRNA showed that many more ZFN-containing embryos failed to express GFP than uninjected embryos, indicating that no mosaicism was present in the cells in which ZFNs were active.

[0132] Five pups were born from pronuclear injection (PNI) of ZFNs into embryos that were transferred into pseudo-pregnant females. See, Table 5. As shown 25 in FIG. 4A, 3 of the five pups expressed GFP while 2 pups did not.

[0133] Genomic DNA was prepared from the tails of the two GFP-negative animals and screened for modification via PCR. When compared to the wild-type eGFP locus, the regions bordering the site targeted by the ZFN 59/60 pair were significantly reduced, suggesting deletions of approximately 150 bp for both GFP-negative animals. Again, no mosaicism was evident in the tail biopsy as indicated by the absence of a wild-type eGFP band. These deletions were then directly analyzed by sequencing, which revealed deletions of 162 nt and 156 nt, resulting in the smaller bands evident in FIG. 4B. Furthermore, as shown in FIG. 4B, no mosaicism was evident in GFP negative pups since no wild-type eGFP band was detected.

10 [0134] Thus, ZFNs successfully modified the chromosomal GFP transgene.

Example 3: ZFNs cleave endogenous rat loci

[0135] ZFNs were designed to cleave endogenous loci as described below.

15 A. *IgM*

[0136] In one experiment, ZFNs were designed to cleave the endogenous rat *IgM* gene, as described above and were tested for cleavage activity in rat C6 cells. Exemplary rat *IgM*-targeted ZFPs are shown below in Table 6 below.

20

Table 6: *IgM* Zinc-finger Designs

ZFN Name	F1	F2	F3	F4	F5	F6
17747	DRSHLTR (SEQ ID NO:41)	RSDALTQ (SEQ ID NO:40)	DRSDLSR (SEQ ID NO:28)	RSDALAR (SEQ ID NO:39)	RSDLSA (SEQ ID NO:38)	TSSNRKT (SEQ ID NO:37)
17749	NKVGLIE (SEQ ID NO:46)	TSSDLSR (SEQ ID NO:45)	RSDHLSR (SEQ ID NO:44)	RSDNLSE (SEQ ID NO:43)	QNAHRKT (SEQ ID NO:42)	
17759	DRSALSR (SEQ ID NO:51)	TSGHLSR (SEQ ID NO:52)	RSDNLST (SEQ ID NO:53)	HNATRIN (SEQ ID NO:54)	DRSALSR (SEQ ID NO:51)	QSGNLAR (SEQ ID NO:21)
17756	RSANLAR (SEQ ID NO:56)	RSDNLRE (SEQ ID NO:57)	TSGSLSR (SEQ ID NO:58)	QSGSLTR (SEQ ID NO:59)	RSDVLSE (SEQ ID NO:60)	TSGSLTR (SEQ ID NO:25)
17767	QSSDLSR (SEQ ID NO:61)	RSDALAR (SEQ ID NO:39)	TSGHLSR (SEQ ID NO:52)	RSDALSR (SEQ ID NO:39)	DRSDLSR (SEQ ID NO:28)	
17764	RSDALAR (SEQ ID	RSDHLST (SEQ ID	HSNARKN (SEQ ID	DRSDLSR (SEQ ID	TSGHLSR (SEQ ID	

	NO:39)	NO:62)	NO:63)	NO:28)	NO:52)	
17782	RSANLSV (SEQ ID NO:30)	DRANLSR (SEQ ID NO:29)	RSDALAR (SEQ ID NO:39)	DRSDLSR (SEQ ID NO:28)	RSDDLTR (SEQ ID NO:16)	
17778	RSAHLSR (SEQ ID NO:5)	QSGDLTR (SEQ ID NO:64)	RSDALAR (SEQ ID NO:39)	RSDTLSV (SEQ ID NO:65)	DNSTRIK (SEQ ID NO:66)	

[0137] Target sites of the rat *IgM*-targeted zinc-finger designs are shown below in Table 7. Nucleotides in the target site that are contacted by the ZFP recognition helices are indicated in uppercase letters; non-contacted nucleotides indicated in lowercase.

Table 7: Target Sites of *IgM* Zinc-fingers

ZFN Name	Target Site (5' to 3')
17747	AATTTGGTGGCCATGGGC (SEQ ID NO:47)
17749	AGACAGGGGGCTCTC (SEQ ID NO:48)
17759	ctGAAGTCATGCAGGGTGTcagaacctt (SEQ ID NO:67)
17756	ttGTTCTGGTAGTTcCAGGAGaaggaaa (SEQ ID NO:68)
17767	gtGCTGTGGGTGTGGCTagtgtttgat (SEQ ID NO:69)
17764	aaGGTGCCATTGGGGTGactttccatga (SEQ ID NO:70)
17782	gaGAGGACcGTGGACAAGtccactggta (SEQ ID NO:71)
17778	tcACCATGtGTGGCAGGGcctcgtggcc (SEQ ID NO:72)

[0138] All *IgM*-targeted ZFNs contained the EL/KK *Fok* I mutations as described in U.S. Patent Publication No. 2008/0131962. ZFN expression was driven by either the CAG or the CMV promoter. ZFN (1 μ g each) were transfected into 200,000 C6 cells via Amaxa nucleofection using the solution SF and the Amaxa Shuttle 96-well nucleofector. The *IgM* locus was PCR amplified using GJC153F (5'-ggaggcaagaagatggattc-3') and GJC154R (5'-gaatcggcacatgcagatct-3') and ZFN cleavage was assayed with the Surveyor™ nuclease as described, for example, in U.S. Patent Publication Nos. 20080015164; 20080131962 and 20080159996.

[0139] In C6 cells, ZFN pair 17747/17749 cleaved 3% of chromosomes when the CMV promoter was used and approximately 1% when the CAG promoter was used (Figure 4). This ZFN pair cleaved the rat *IgM* gene in the coding region of exon 1. Rat oocytes were injected with 10 ng/uL of a plasmid encoding ZFN pair 17747/17749 under the control of the CAG promoter using standard techniques. Oocytes were fertilized and implanted into pseudo-pregnant females. Out of 430

oocytes injected and implanted, 43 live births resulted. Genomic DNA was prepared from the tails of these 43 animals and screened for modification using the Surveyor™ nuclease.

[0140] As shown in Figure 7, five of the 43 animals (rats #6, 7, 8, 19, and 46) scored positive for modification at the *IgM* locus. The patterns of Surveyor™ nuclease digestion were identical both with and without the addition of wild-type rat genomic DNA, suggesting that none of the rats has a homozygous mutation.

[0141] GJC153F/GJC154R PCR products from the positive rats were cloned and sequenced. A description of the mutated alleles is in Table 8.

Table 8

Rat	Allele	Count	Approx. NHEJ %	Notes
6	Wild-type	8	49	
6	Δ9	2		in frame deletion of DEN
7	Wild-type	5	31	
7	Δ5	1		out of frame
7	Δ13	1		out of frame
7	Δ15	3		in frame deletion of SDENL
7	Δ18	1		in frame deletion of DENLA
7	Δ39	1		in frame del. of SCESPLSDENLVA
8	Wild-type	7	25	
8	Δ3, 7b bp mut.	3		in frame deletion of D, E->P
8	Δ23	2		out of frame
19	Wild-type	7	70	
19	Δ64	17		largest deletion, out of frame
46	Wild-type	9	47	
46	Δ5	2		out of frame

Count refers to the number of time a particular sequence was isolated.

NHEJ, % is the approximate percentage of chromosomes modified in the tail DNA

[0142] Sequencing of the *IgM* locus in these rats confirmed the results of the Surveyor™ nuclease assay. All deletions overlap the ZFN binding sites. The spectrum of small deletions seen here is typical of NHEJ-mediated mutation. Rats 7 and 8 have more than one mutated allele and are therefore mosaics for the *IgM* mutation. Although sequencing of rats 6, 19, and 46 gave only one mutated allele, they may be mosaic for *IgM* modification in other tissues.

[0143] Thus, ZFNs successfully modified the endogenous rat *IgM* locus.

[0144] To determine whether the ZFN plasmid itself integrated into the rat genome, a PCR-based assay was developed to test for ZFN plasmid integration. Briefly, rat genomic DNA and the ZFN plasmid were mixed so as to mimic a plasmid insertion frequency of once per genome. Performing 35 cycles of PCR amplification of this mixture with one oligo in the CAG promoter (5'-GCT AAC CAT GTT CAT GCC TTC-3') (SEQ ID NO:49) and another oligo in the 2A region of the plasmid (5'-CAT CCT AGG GCC GGG ATT CTC- 3') (SEQ ID NO:50) gave a band of 1338 bp (Figure 7, lane 3). When genomic DNA from wild-type and the five ZFN-modified rats was analyzed, no PCR product was detectable; indicating that insertion of the plasmid into the rat genome is not a high-frequency event.

[0145] In addition, *IgM* modified rat #19 was further analyzed by CEL-I assay and sequencing. As shown in Figures 7A and 7B, *IgM*-ZFNs produced a 64 base pair deletion in this rat in the *IgM* locus.

[0146] Finally, ZFNs cleavage at off-target sites was also evaluated. A computer algorithm was used to predict the location of the most likely off-target sites (Doyon et al (2008) *Nature Biotechnology* 26(6):702-708). All likely off-target sites were assayed for ZFN modification using the Surveyor™ nuclease assay as described above. The results of this analysis are shown in Table 9 and Figs. 9A-C.

Site	Score	Sequence	Mm	Gene	PCR	Frag. A	Frag. B	Hit
1	8.18E-17	AGtCAGctCCTGTCTAGAAGA GAAcTgGGTgtCtATGGGCC (SEQ ID NO:73)	8		320	221	99	No
2	2.90E-18	CaAatGCCCaCCTGTCTGAATG GttTaTGcTGGCaATGGGCT (SEQ ID NO:74)	9		325	222	103	No
3	1.67E-18	GGIGAGaCCCCTGTCTTAACA AAAgATGGgGGgtTGGGaA (SEQ ID NO:75)	9		379	239	40	No
4	7.75E-19	GatCCAaGGCCACCAAcTgGA GTTTAAGACAaaGGGCTCTgC (SEQ ID NO:76)	8		322	218	104	No
5	6.44E-19	TGtCCATGGCCICtccTcTTTG CTAGAgcGGtGGCTCTCA (SEQ ID NO:77)	9	Pde4d	396	200	196	No
6	1.49E-19	GGAttGCCCCCTGTCaGTCAC AGcATaTGGTGGCCATaGatG (SEQ ID NO:78)	8	LOC499 913	342	200	142	No
7	1.14E-19	GGAGAagCCCaTGTgTACTCT TtAgTTGGTGGCtTGGGaG (SEQ ID NO:79)	9		567	317	250	No
8	1.07E-19	GcCCataGGCCAaCAAcTcTCA GGCTAGACAacGGGCTCTCA (SEQ ID NO:80)	9	Actn1	354	255	99	No

Table 9

20 Mm: mismatches relative to the intended target site
 Frag. A, B: Expected sizes of Surveyor™ nuclease cleavage products
 Hit: Rats showing correct Surveyor™ nuclease cleavage products

[0147] As shown, no off-target sites tested showed evidence of modification. As shown, no off-target sites tested showed evidence of modification. Sequencing analysis of CEL-I positive rat #19 shown in Figure 9A and five of its offspring shown in Figure 11 at Site 1 revealed that the CEL-I positive signal was due to a SNP near the potential off-target site. The mismatch occurs because the rats are heterozygous for this SNP which was also found in non-treated rats (data not shown). Although present in 50% of chromosomes in CEL-I-positive animals, the SNP is poorly recognized by the CEL-I enzyme resulting in unexpectedly lower-intensity cleavage products.

B. *Rab38*

[0148] ZFNs were also designed to target the endogenous *Rab38* locus in rats, particularly exon 1 of the rat *Rab38* gene. Exemplary *Rab38* zinc-finger designs are shown in Table 8 below.

Table 10: *Rab38* zinc-finger designs

ZFN Name	F1	F2	F3	F4	F5	F6
18160	DRSNLSS (SEQ ID NO: 81)	RSHSLLR (SEQ ID NO: 82)	RSDSLSA (SEQ ID NO: 38)	TSGSLTR (SEQ ID NO: 25)	QSGNLAR (SEQ ID NO: 21)	QSGHLSR (SEQ ID NO: 83)
18181	TSGHLSR (SEQ ID NO: 52)	HKWQRNK (SEQ ID NO: 84)	DRSVLRR (SEQ ID NO: 85)	DSSTRKK (SEQ ID NO: 86)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)
16897	RSDTLSE (SEQ ID NO: 89)	QKRNRTK (SEQ ID NO: 90)	RSDSLSA (SEQ ID NO: 38)	TSGSLTR (SEQ ID NO: 25)	QSGNLAR (SEQ ID NO: 21)	QSGHLSR (SEQ ID NO: 83)
16898	RSDHLSK (SEQ ID NO: 91)	HNDSRTN (SEQ ID NO: 92)	DRSDLSR (SEQ ID NO: 28)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)	N/A
18173	RSDYLPR (SEQ ID NO: 93)	QSNDLNS (SEQ ID NO: 94)	DRSDLSR (SEQ ID NO: 28)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)	N/A
18174	RSDYLPR (SEQ ID NO: 93)	QRVTRDA (SEQ ID NO: 95)	DRSDLSR (SEQ ID NO: 28)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)	N/A
18175	HSNARKT (SEQ ID NO: 96)	ASKTRTN (SEQ ID NO: 97)	DRSDLSR (SEQ ID NO: 28)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)	N/A
18161	RSHSLLR (SEQ ID NO: 82)	RSDSLSA (SEQ ID NO: 38)	TSGSLTR (SEQ ID NO: 25)	QSGNLAR (SEQ ID NO: 21)	QSGHLSR (SEQ ID NO: 83)	N/A
18183	RSHSLLR (SEQ ID NO: 82)	RSDYLPR (SEQ ID NO: 93)	DRSVLRR (SEQ ID NO: 85)	DSSTRKK (SEQ ID NO: 86)	RSDHLSE (SEQ ID NO: 87)	DKSNRKK (SEQ ID NO: 88)

[0149] Target sites of the rat *Rab38*-targeted zinc-finger designs are shown below in Table 11. Nucleotides in the target site that are contacted by the ZFP recognition helices are indicated in uppercase letters; non-contacted nucleotides indicated in lowercase.

5 Table 11: Target Sites of Rab38 Zinc-fingers

ZFN Name	Target Site (5' to 3')
18161	gaGGAGAAGTTTTGGTGCACgtagcgct (SEQ ID NO:98)
18181	acTACCGGGCCACCATTGGTgtggactt (SEQ ID NO:99)
16897	gaGGAGAAGTTTTGgTGCACGtagcgct (SEQ ID NO:98)
16898	acTACCGGGCCacCATTGGtgtggactt (SEQ ID NO:99)
18173	acTACCGGGCCaCCATTGgtgtggactt (SEQ ID NO:99)
18174	acTACCGGGCCaCCATTGgtgtggactt (SEQ ID NO:99)
18175	acTACCGGGCCACCATTggtgtggactt (SEQ ID NO:99)
18160	gaGGAGAAGTTTTGGTGcacgtagcgct (SEQ ID NO:98)
18183	acTACCGGGCCACCATTGGTgtggactt (SEQ ID NO:99)

[0150] All *Rab38*-targeted ZFNs contained the EL/KK *Fok* I mutations as described in U.S. Patent Publication No. 2008/0131962. ZFN expression was driven by either the CAG or the CMV promoter. ZFN (1 μ g each) were transfected into
 10 200,000 C6 cells via Amaxa nucleofection using the solution SF and the Amaxa Shuttle 96-well nucleofector. Cleavage was assayed with the CEL-I Surveyor™ nuclease as described, for example, in U.S. Patent Publication Nos. 20080015164; 20080131962 and 20080159996.

[0151] *Rab38* ZFN-encoding expression plasmids were linearized with *Xba*I,
 15 phenol chloroform extracted and precipitated. Messenger RNA was in vitro transcribed using the MessageMax™ T7 ARCA-Capped Message Transcription Kit (Epicentre Biotechnologies). The resulting synthesis was purified using the MegaClear Kit™ (Ambion) before resuspension in RNase-free 0.1X TE (1mM Tris-Cl pH 8.0, 0.1mM EDTA), quantitated using a NanoDrop-1000 (Thermo Scientific)
 20 and stored at -80°C until use. Messenger RNAs encoding *Rab38* ZFNs were mixed to a final total concentration of 5 ng/ μ L in 0.1X TE. Embryos were injected with *Rab38* ZFNs under constant time and pressure (Pi= 65, Pc= 20, ti=1.5s) into the cytoplasm and incubated at 37.5°C and 5% CO₂ in KSOM (Millipore) overnight as previously described in Filipiak et al. (2006) *Transgenic Res* 15:673-686 for molecular analysis.

[0152] A mutation-enrichment strategy as described in Lloyd et al. ((2005) *Proc Natl Acad Sci U S A* 102:2232-2237) was used to detect alterations of the *Rab38* target exon in chromosomes of DNA extracted from embryos cultured for 48 hours post injection.

5 [0153] As shown in Figure 10, and as demonstrated for both the GFP and *IgM* loci above, multiple mutant *Rab38* alleles could be detected in the genomes of as few as 16 two-cell embryos and sequencing revealed deletions at the target site.

[0154] Thus, these data confirm that multiple genomic loci are suitable targets for ZFN-mediated genome editing.

10

Example 4: ZFN mediated germline modifications

[0155] *IgM*-modified rats #19, #46 and #8 as described in Example 3 were mated to a wild-type rat and tail biopsies were taken, genomic DNA isolated and then CEL-I and PCR assays were performed on the nucleic acid purified from the pups.

15 [0156] As shown in Figures 11A and 11C, pups (numbered 225, 227, 228, 229, 230, 231, 234 and 235) resulting from a cross between rat #19 and a wild type rat carried the 64 base pair deletion of *IgM* modified parental rat #19, as determined by PCR and CEL-I assay. In addition, sequencing analysis confirmed that 3 pups of rat #19 (pups #225, 227 and 228) were modified at *IgM* locus. See, Figure 11B.

20 Furthermore, as shown in Figure 11C, a pup resulting from mating rat #46 to a wild-type rat carried the same *IgM* modification as parental rat #46 (see, pup number 236 of Figure 11C).

[0157] These data demonstrate that ZFN-mediated disruption of a rat locus is transmitted in the germline.

25

Example 5: Construction of restriction fragment length polymorphism (RFLP) donor nucleic acid for targeted integration into the PXR nucleic acid region of the rat genome

[0158] There are two possible DNA repair outcomes after a targeted, ZFN-induced double-stranded break (**FIG. 12**). The break may be repaired by non-homologous end joining (NHEJ), leading to mutations containing base deletions or additions or, in the presence of a donor DNA, the donor DNA can be used as a template to repair the double stranded break by homologous recombination (HR). If

30

the donor DNA encodes specific sequence changes, these deliberate mutations will be incorporated into the genome of the organism at the target site.

[0159] To test targeted integration in the rat genome using pronuclear injection, constructs were designed and prepared for targeted integration into the PXR gene region of the rat genome. Constructs were assembled to introduce either a NotI or PmeI restriction fragment length polymorphism (RFLP) site into the PXR gene region (FIG. 13). The constructs were designed with either 200, 800 or 2000 base pairs of sequence homology to the PXR gene target site flanking the RFLP sites to be introduced. The three sizes of regions of homology were used to determine the size of homology required for efficient targeting and homologous recombination.

[0160] The clones were assembled using PCR amplification to introduce convenient restriction sites for cloning, and the RFLP site at the extremities of the PXR homology regions (FIG. 12). PCR primers used for amplifying the PXR region of homology are described in Table 12. Accuprime HF DNA polymerase was used for PCR reaction amplification. A 30s extension was used for the 200bp fragments, a 1.5min extension was used for the 800bp fragments, and a 4min extension was used for the 2Kbp fragments. PCR fragments were then digested with the appropriate restriction enzymes and cloned into pBluescript using three-way ligation to produce six plasmids listed in Table 13.

Table 12: Primer sequences	
Name	Sequence
PXR 200 bp F KpnI	5'- aaaaggtacctctgtgttttccgttctagtcag (SEQ ID NO:116)
PXR 200 bp R SacII	5'- aaaaccgcggtgaagtatactggctctcttga (SEQ ID NO:117)
PXR target F NotI	5'- gtgtagcggccgcgacaaggccaatggctatcac (SEQ ID NO:118)
PXR target F PmeI	5'- gtgtagttaaacgacaaggccaatggctatcac (SEQ ID NO:119)
PXR target R NotI	5'- ttgtcgcggccgctacacggcgagafflgaagacctc (SEQ ID NO:120)
PXR target R PmeI	5'- ttgtcgttaactacacggcgagattgaagacctc (SEQ ID NO:121)
PXR 800 bp F KpnI	5'- aaaaggtacctcagactggtccagatttagamaagggg (SEQ ID NO:122)
PXR 800 bp R SacII	5'- aaaaccgcgataaatctactggttcgccaagctag (SEQ ID NO:123)
PXR 2Kb F KpnI	5'- aaaaggtaccgagtagtaggaaatgcacttc (SEQ ID NO:124)
PXR 2Kb R SacII	5'- aaaaccgcggaagagaattattgctgacagtc (SEQ ID NO:125)
PXR 50 bp F	5'- gagcctatcaactagatgagg (SEQ ID NO:126)
PXR 50 bp R	5'- ctacatcctcacaggtcatgac (SEQ ID NO:127)

Table 13: Plasmids constructed	
RFLP introduced	Length of region of homology
NotI	200bp
NotI	800bp

NotI	2Kbp
PmeI	200bp
PmeI	800bp
PmeI	2Kbp

Example 6: Construction of restriction fragment length polymorphism (RFLP) donor nucleic acid for targeted integration into the rRosa26 nucleic acid region of the rat genome.

[0161] Plasmids were also constructed to target integration of NotI and PmeI RFLP sites into the rRosa26 nucleic acid region of the rat genome. Design and construction of the plasmids was as described in **Example 5** above. The PCR primer pairs used for amplifying the rRosa26 region of homology are described in **Table 14**.

10

Table 14: Primer sequences	
Name	Sequence
rRosa26 200 bp F KpnI	aaaaggtaccgggagtgatgaaggagttg (SEQ ID NO:128)
rRosa26 200 bp R SacII	aaaaccgcggcgatcacaagcaataat (SEQ ID NO:129)
rRosa26 target F NotI	cttcgcgcccgatctgcaactggagtcttc (SEQ ID NO:130)
rRosa26 target F PmeI	cttcgtttaaactgatctgcaactggagtcttc (SEQ ID NO:131)
rRosa26 target F NotI	gatcgcggccgcaagaagggggaagggaatc (SEQ ID NO:132)
rRosa26 target R PmeI	gatcgtttaaactgaagaagggggaagggaatc (SEQ ID NO:133)
rRosa26 800 bp F KpnI	aaaaggtaccgcgtgtgaaaacacaaatgg (SEQ ID NO:134)
rRosa26 800 bp R SacII	aaaaccgcggaaggaaagaggcattcatgg (SEQ ID NO:135)
rRosa26 2Kb F KpnI	aaaaggtaccattatggaggggaggactgg (SEQ ID NO:136)
rRosa26 2Kb R SacII	aaaaccgcggacatgtggcaaacaggaga (SEQ ID NO:137)
rRosa26 50 bp F	tgtcttctgaggaccgcc (SEQ ID NO:138)
rRosa26 50 bp R	ctgccagaagactccgc (SEQ ID NO:139)

Example 7: Construction of restriction fragment length polymorphism (RFLP) donor nucleic acid for targeted integration into the Mdr1a nucleic acid region of the mouse or rat genome

15 [0162] Plasmids were constructed to target integration of NotI and PmeI RFLP sites into the mMdr1a nucleic acid region of the mouse genome or the rMdr1a nucleic acid region of the rat genome. Design and construction of the plasmids was as described in **Example 5** above. The PCR primer pairs used for amplifying the Mdr1a region of homology are described in **Tables 15 and 16**. “m” stands for mouse and “r” stands for rat.

20

Table 15	
Name	Sequence
mMdr1a 200 bp F KpnI	aaaaggraccaacaactaggctcaggag (SEQ ID NO:140)

mMdr1a 200 bp R SacII	aaaaccgcgccacatggctaagcacagcatg (SEQ ID NO:141)
mMdr1a target F NotI	cctgcgcccgcgactgtcagctggatttg (SEQ ID NO:142)
mMdr1a target F PmeI	cctgtttaaaccggactgtcagctggatttg (SEQ ID NO:143)
mMdr1a target R NotI	gtccgcgcccgcgagggtgatggccaaaatc (SEQ ID NO:144)
mMdr1a target R PmeI	gtccgtttaaaccagggtgatggccaaaatc (SEQ ID NO:145)
mMdr1a 800 bp F KpnI	aaaaggtaccatgctgtgaagcagatacc (SEQ ID NO:146)
mMdr1a 800 bp R SacII	aaaaccgcgctgaaaactgaatgagacattgc (SEQ ID NO:147)
mMdr1a 2KB F KpnI	aaaaggtaccgtaattgtccaattgcatctcc (SEQ ID NO:148)
mMdr1a 2KB R SacII	aaaaccgcgctctcagttctctgctgttg (SEQ ID NO:149)
mMdr1a 50 bp F	gatttaccgctggctggaag (SEQ ID NO:150)
mMdr1a 50 bp R	ctggactcatggacttacc (SEQ ID NO:151)

Table 15	
Name	Sequence
rMdr1a 200 bp F KpnI	aaaaggtacctggctcaggagaaaaattgtg (SEQ ID NO:152)
rMdr1a 200 bp R SacII	aaaaccgcgccacggctaaagacagcatga (SEQ ID NO:153)
rMdr1a target F NotI	ccctgcgcccgcgactgtcagctggatttg (SEQ ID NO:154)
rMdr1a target F PmeI	ccctgtttaaaccggactgtcagctggatttg (SEQ ID NO:155)
rMdr1a target R NotI	gtccgcgcccgcgagggtgatggccaaaatc (SEQ ID NO:156)
rMdr1a target R PmeI	gtccgtttaaaccagggtgatggccaaaatc (SEQ ID NO:157)
rMdr1a 800 bp F KpnI	aaaaggtaccggagataggctggttgacg (SEQ ID NO:158)
rMdr1a 700 bp R SacII	aaaaccgcggtggtgtagttcggatgg (SEQ ID NO:159)
rMdr1a 2Kb F KpnI	aaaaggtaccaggtgttcttgagatgtgc (SEQ ID NO:160)
rMdr1a 2Kb T SacII	aaaaccgcggtcctcttggtggtgagttt (SEQ ID NO:161)
rMdr1a 50 bp F	gatttaccgctggctggaag (SEQ ID NO:162)
rMdr1a 50 bp R	ctggactcacgggcttacc (SEQ ID NO:163)

Example 8: Construction of GFP expression integration cassette.

- 5 [0163] To test targeted integration of nucleic acid fragments larger than RFLPs, constructs were designed and prepared for targeted integration of a GFP expression cassette into the PXR and rRosa26 nucleic acid genomic regions of the rat and the mMdr1a nucleic acid genomic regions of the mouse. Briefly, a GFP expression cassette containing the human PGK promoter, the GFP open reading
- 10 frame, and a polyadenylation signal was amplified using PCR to introduce NotI restriction sites at the extremities (FIG. 14) using the following primers: PGKGFP-F NotI (5'-aaagcgccgcttggggtgctcctttcc) (SEQ ID NO:164) and PGKGFP-R NotI (5'-aaaagcgccgccatagagcccaccgcatc) (SEQ ID NO:165). The PCR fragment was then cloned into the NotI-containing plasmids constructed in Examples 5-7.

15

Example 9: Preparation of zinc finger mRNAs for targeted integration

- [0164] A pair of zinc finger nucleases were designed for each targeted integration site and cloned as described on the Sigma web site. For more information,

see Science (2009) 325:433, herein incorporated by reference. ZFN expressing mRNAs were then produced *in vitro* by first digesting 20 μg of each maxiprep ZFN expression plasmid DNA in 100 μl reactions containing 10 μl buffer 2 (NEB, #B7002S), 10 μl 10x BSA (diluted from 100x BSA, NEB, #B9001S), 8 μl XbaI (NEB, #R0145S), at 37°C for 2h. The reactions were extracted with 100 μl of phenol/chloroform (Sigma, P2069), centrifuged at over 20,000 x g for 10 min. The aqueous supernatant was precipitated with 10 μl 3M NaOAc (Sigma, S7899) and 250 μl 100% ethanol and centrifuged at top speed for 25 min at room temperature. The resulting pellet was washed by adding 300 μl 70% ethanol filtered through a 0.02 μM filter. The pellet was air dried and resuspended in 20 μl of 0.02 μM filtered 0.1xTE.

[0165] The purified digested DNA was then used to produce ZFN transcripts using *in vitro* transcription with MessageMax T7 Capped Message Transcription Kit (#MMA60710) from Epicentre Biotechnologies as described. In short, kit components are prewarmed to room temperature, and reaction components for a 20 μl reaction were combined at room temperature in the following order: 5 μl of 0.02 μM filtered RNase-free water, 1 μl prepared template, 2 μl 10x transcription buffer, 8 μl 2-way Cap/NTP premix, 2 μl 100 mM DTT and 2 μl MessageMax T7 Enzyme Solution. The reactions were then incubated in a 37°C incubator for 30 min.

[0166] The capped RNA was then tailed with polyA using the A-Plus Poly (A) Polymerase tailing kit (Epicentre, #PAP5 104H) as described. Reaction components were combined at room temperature in the following given order: 55.5 μl 0.02 μM filtered RNase-free water, 10 μl 10x A-Plus Reaction Buffer, 10 μl 10 mM ATP, 2.5 μl ScriptGuard RNase Inhibitor (40 unit/ μl), 20 μl *In vitro* transcription capping reaction, 2 μl A-plus poly A polymerase. The reaction was then incubated at 37°C for 30 min. The resulting capped polyA-tailed mRNA was purified by precipitation with an equal volume of 5M NH_4OAc twice. The mRNA pellet was then air dried, and resuspended in 30 μl of filtered injection buffer (1 mM Tris, pH7.4, 0.25 mM EDTA), and RNA concentration was measured using a Nanodrop spectrophotometer.

Example 10: Targeted integration into embryos

[0167] To integrate nucleic acids into the rat or mouse genome, zinc finger nuclease mRNA was mixed with the maxiprep target DNA filtered with 0.02 μM filters. The nucleic acid mixture consisted of one part ZFN mRNAs to one part donor

DNA. The nucleic acid mixture was then microinjected into the pronucleus of a one-celled embryo using known methods. The injected embryos were either incubated *in vitro*, or transferred to pseudo moms. The resulting embryos/fetus, or the toe/tail of clip live born animals were harvested for DNA extraction and analysis.

5 [0168] To extract DNA, tissue was lysed in 100 μ l Epicentre's QuickExtract at 50°C for 30 min, followed by incubation at 65°C for 10 min, and 98°C for 3 min. To determine if targeted integration occurred, PCR was used to amplify the target region using appropriate primers. For experiments where RFLP was integrated into the genome of the animal, the PCR products were digested with the introduced RFLP
10 enzyme to detect integration (**FIG. 15A**). In addition, a Cel-I endonuclease assay using wild type PCR fragments and PCR fragments derived from injected embryos was used demonstrate ZFN mRNA was functional in the embryos by detecting NHEJ, which is independent of targeted integration. For experiments where GFP was integrated into the genome of the animal, a shift in size of the PCR fragment is
15 indicative of the integration (**FIG. 15B**). Alternatively, amplification of the integration junction, where one primer lands only on the GFP cassette was used to assess integration of the donor nucleic acid.

20 **Example 11: Testing of DNA extraction and PCR amplification of the mMdr1a target site in the mouse genome**

[0169] PCR conditions to amplify target nucleic acid extracted from tissue were tested using embryos with 1-64 cells extracted as described in **Example 10**. A 900bp fragment containing the mouse mMdr1a target region was amplified using 36 amplification cycles with 4 min extension at 60°C in reactions containing up to 5 μ l
25 Epicentre's QuickExtract solution in 50 μ l reactions (**FIG. 16**). These results show that QuickExtract does not interfere with PCR amplification, and that DNA can be amplified from sample extracted from only 1-10 cells. To enhance sensitivity, the number of PCR cycles may be increased, or nested PCR reactions may be performed.

30 **Example 12: Integration of NotI donor RFLP into the rat PXR genomic region**

[0170] A donor plasmid (with an 800bp arm) for integrating a NotI RFLP site into the PXR region of the rat genome was injected into rat embryos with ZFN mRNAs as described above. PCR, followed by NotI restriction enzyme analysis and Cel-I endonuclease analysis were performed using DNA extracted from a number of

embryos. PCR amplification was successful with a number of embryos (**FIG. 17A**), and Cel-I endonuclease analysis revealed that most of the fragments had nucleic acid sequence changes at the desired target (**FIG. 17B**).

5 **Example 13: Integration of NotI donor RFLP into the mouse mMdr1a genomic region**

[0171] The targeted integration of the NotI RFLP into the mouse mMdr1a region was repeated as described in Example 8. The mMdr1a region was amplified using PCR and digested with NotI. PCR amplification was successful with a number
10 of embryos (**FIG. 18**), and digestion with NotI revealed that a number of embryos comprised the integrated RFLP site (see e.g. lanes 13, 17, 19, 20 and 23). In all, targeted integration in 7 out of the 32 embryos for which data was generated.

[0172] These results were confirmed by repeating the NotI digestion reaction after further cleaning the PCR reaction product (**FIG. 19**).

15

Example 14: Testing DNA extraction and PCR amplification of the PXR target site in the rat genome

[0173] PCR amplification of the PXR region from blastocysts was tested to determine the level of sensitivity. The PCR reaction contained 5 μ l template, 5 μ l
20 PCR buffer, 5 μ l of each primer, 0.5 μ l of Taq polymerase enzyme, and 33.5 μ l water for a 50 μ l reaction. The template consisted of undiluted DNA extracted from rat blastocysts or DNA diluted at a ratio of 1:2, 1:6, 1:10, and 1:30 (**FIG. 20**).

Example 15: Integration of NotI donor RFLP into the rat PXR genomic region

25 [0174] A donor plasmid (with 800bp homology arms) for integrating a NotI RFLP site into the PXR region of the rat genome was injected into rat embryos with ZFN mRNAs as described above. A total of 123 embryos were injected, and 106 survived. Decreasing concentrations of nucleic acids were injected to test for toxicity. Of the 51 embryos injected with 5 ng of nucleic acids, 17 survived and divided to two
30 cell embryos on day two. Of the 23 embryos injected with 2 ng of nucleic acids, 14 survived and divided to two cell embryos on day two. Of the 29 embryos injected with 10 ng of nucleic acids, 12 survived and divided to two cell embryos on day two. Of the ten uninjected control embryos, all survived and divided to two cell embryos on day two.

[0175] PCR amplification of the PXR region, followed by NotI and Cel-I endonuclease analysis were performed using DNA extracted from a number of embryos. PCR amplification was successful with a number of embryos, and NotI and Cel-I endonuclease analysis revealed that 18 out of 47 embryos had nucleic acid sequence changes at the desired target (FIG. 21).

Example 16: Targeted integration of RFLP into the mMdr1a target region of the mouse genome in fetus.

[0176] A donor plasmid (with 800bp homology arms) for introducing NotI into the mMdr1a region of the mouse genome was injected into mouse embryos with ZFN mRNAs as described above. One out of four well-developed fetuses at 12.5 dpc were positive for the NotI site. All four deciduas were negative. (FIG. 22).

Example 17: Targeted integration of GFP into the mMdr1a locus of a fetus

[0177] A donor plasmid (with 800bp homology arms) for introducing GFP cassette into the mMdr1a region of the mouse genome was injected into mouse embryos with ZFN mRNAs as described above. Two out of forty fetuses at 12.5 dpc were positive for the GFP cassette (FIG. 23).

Example 18. Targeted integration of RFLP into the PXR target region of the rat genome in a fetus

[0178] A donor plasmid (with 800 bp homology arms) for introducing NotI into the PXR region of the rat genome was injected into mouse embryos with ZFN mRNAs as described above. One out of eight fetuses at 13 dpc were positive for the NotI site (FIG. 24).

[0179] All patents, patent applications and publications mentioned herein are hereby incorporated by reference in their entirety.

[0180] Although disclosure has been provided in some detail by way of illustration and example for the purposes of clarity of understanding, it will be apparent to those skilled in the art that various changes and modifications can be practiced without departing from the spirit or scope of the disclosure. Accordingly, the foregoing descriptions and examples should not be construed as limiting.

CLAIMS

What is claimed is:

- 5 1. A method for modifying one or more endogenous cellular genes in a rat cell, the method comprising:
- introducing, into the rat cell, one or more polynucleotides encoding one or more zinc finger nucleases (ZFNs) that bind to a target site in the one or more genes under conditions such that the ZFN(s) is (are) expressed and the one or more
- 10 endogenous cellular genes are cleaved and modified.
2. The method of claim 1, wherein the modification comprises introducing an exogenous sequence into the genome of a rat cell by homologous recombination stimulated by cleavage of the one or more endogenous cellular genes.
- 15 3. The method of claim 2, wherein the exogenous sequence is integrated physically into the genome.
4. The method of claim 2, wherein the exogenous sequence is integrated
- 20 into the genome by copying of the exogenous sequence into the host cell genome via nucleic acid replication processes.
5. The method of claim 4, wherein the nucleic acid replication process comprises homology-directed repair of the double strand break.
- 25 6. The method of claim 4, wherein the nucleic acid replication process comprises non-homology dependent targeted integration.
7. The method of claim 1, wherein the modification results from non-
- 30 homologous end joining following cleavage.
8. The method of claim 7, wherein first and second zinc finger nucleases cleave the genome at two sites and further wherein the non-homologous end joining results in a deletion between the first and second cleavage sites.

9. The method of any of claims 1 to 8, wherein the zinc finger nucleases comprise a cleavage domain or cleavage half-domain of a Type IIS restriction endonuclease.

5

10. A method for germline disruption of one or more target genes in rat, the method comprising modifying one or more gene sequences in the genome of one or more cells of a rat embryo the method comprising:

10 modifying one or more of the target genes in one or more cells of a rat embryo according to the method of any of claims 1 to 9; and

allowing the rat embryo to develop, wherein that the modified gene sequences are present in at least a portion of gametes of the sexually mature rat.

11. A method of creating one or more heritable mutant alleles in rat loci of interest, the method comprising

15 modifying one or more loci in the genome of one or more cells of a rat embryo by the method of any of claims 1 to 9;

raising the rat embryo to sexual maturity; and

20 allowing the sexually mature rat to produce offspring; wherein at least some of the offspring comprise the mutant alleles.

12. A rat comprising one or more modified alleles, produced by the method of any of claims 1 to 11.

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