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(54) Title: METHODS AND APPARATUS FOR SORTING CELLS USING AN OPTICAL SWITCH IN A MICROFLUIDIC CHANNEL NETWORK

(57) Abstract: Apparatus and Methods are provided for a microfabricated fluorescence activated cell sorter based on an optical switch for rapid, active control of cell routing through a microfluidic channel network. This sorter enables low-stress, highly efficient sorting of populations of small numbers of cells (i.e., 1000 - 100,000 cells). The invention includes packaging of the microfluidic channel network in a self-contained plastic cartridge that enables microfluidic channel network to macro-scale instrument interconnect, in a sterile, disposable format.

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S P E C I F I C A T I O N

METHODS AND APPARATUS FOR SORTING CELLS USING AN OPTICAL SWITCH IN A MICROFLUIDIC CHANNEL NETWORK**Related Applications**

5 [0001] This application claims priority to U.S. Provisional Application Ser. No. 60/499,294, filed August 28, 2003, entitled "Microsorter Cartridge" and U.S. Provisional application Ser. No. 60/574,897, filed May 26, 2004, entitled "Optical Switch to Enable Cell Sorting in a Microfluidic Channel Network", and are incorporated herein by reference as if fully set forth herein.

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Field of the Invention

[0002] This invention relates to methods and apparatus for the use of optical forces in a microfluidic channel network to provide an optical switch that enables selective routing of target cells through the network to sort them from non-target cells and collect them.

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Background of the Invention

[0003] Conventional fluorescent activated cell sorters (FACS) are widely used in research and clinical applications¹. These instruments are capable of very fast, multiparameter analysis and sorting but generally require large sample volumes, a trained operator for 20 operation and maintenance, and are difficult to sterilize. FACS instruments are able to analyze as few as 10,000 and as many as tens of millions of cells. However, below 100,000 cells the ability to perform sorting diminishes¹. Other separation methods such as magnetic beads don't require as many cells as FACS but they suffer from nonspecific binding, aggregation of cells and beads, and from the possibility that the beads themselves 25 could interfere in subsequent processing steps. Thus, for sorting precious, small samples or cells from primary tissue, a cell sorter that is capable of handling small sample volumes with low cell numbers and that allows efficient recovery of the sorted populations addresses a unique scientific niche.

[0004] Microfabricated cytometers have the potential to sort with as few as 1,000 cells 30 while concomitantly consuming less reagents in an easy to use, closed system. The latter is important because, unlike conventional FACS instruments, aerosols are not created,

reducing the risks of contamination of the sorted cells and of working with biohazardous materials. Several microfabricated cell sorters have been described, but mostly as “proof of concept”. Fu, et al.² reported 30-fold enrichment of *E. coli* at a throughput of 17 cells/s. Only 20% of the bacteria were viable after sorting and the sort purity in the target 5 reservoir was 30%. In a subsequent study³, the throughput increased to 44 cells/s but the target purity decreased to less than 10%, with recovery reported as 39%. Wolff, et al.⁴ were able to sort beads from chicken red blood cells at a throughput of 12,000 events/s, with 100-fold enrichment. However, purity in the target well was about 1%. In these 10 studies, enrichment was defined as the increase in the concentration of the target population in the collection well compared to the starting concentration. Purity referred to the accuracy of the sort and was the percentage of target cells sorted over all cells sorted into the collection well. Recovery was defined as the number of cells counted by the fluorescent detector vs. cells recovered from the collection well. The latter two studies used pressure switches in microfluidic devices that switched the entire fluid flow path and, 15 consequently, any particles contained within the fluid plug. The mechanical compliance in these switches caused the fluid switch speed to be the rate limiting step for throughput³. Electrokinetic flow control has also been reported, e.g., electroosmosis^{2,5,6} or dielectrophoresis^{7,8,9}, but the high electric field gradients and physicochemical restrictions on the ionic strength of the buffer are non-ideal conditions for cells.

20 [0005] Buican et al.⁹ first proposed the use of optical forces for the deflection of particles through a fluidic channel. The force exerted on a particle by an optical beam is a function of the optical power and the relative optical properties of the particle and its surrounding fluid medium. Forces on the order of 1 pN/mW can be achieved for biological cells approximately 10 μ m in diameter. While the optical force is small, the force necessary to 25 deflect a cell into an adjacent flowstream is also small, e.g. 900 pN to move a 10 μ m diameter cell, 20 – 40 μ m laterally across the flow in a few milliseconds. This is the force necessary to overcome the viscous drag force on the cell at the velocity implied by this lateral motion.

[0006] The principles behind the optical forces and general background technology may 30 be found in U.S. Patent 6,744,038, which is incorporated herein by reference as if fully set forth herein.

Summary of the Invention

[0007] As described below, these optical forces are used to realize an optical switch in a microfluidic channel network, operable as a cell sorting system. The optical switch is triggered by detection of a fluorescence signal from target cells flowing in the microfluidic channel network upstream of the optical switch position, although other detection modalities such as light scattering could equally be used for activation of the optical switch. The optical switch is used to direct cells or particles into one of a multiple number of output channel flow streams without modifying the underlying flow, whereby the desired cells are collected for further use. It is important that the flow in a microfluidic channel is typically laminar at a very low Reynolds number. Consequently, any cell flowing in a particular lamina, or flow stream, will stay in that flow stream in the absence of any forces transverse to the lamina. The optical switch utilizes optical forces on a cell to accomplish just this, the transport of cells transverse to the lamina to move the cells from a flow stream that exits a bifurcation junction through one output channel to a flow stream that exits the bifurcation junction through the second output channel.

[0008] The following paragraphs detail the methodology used to create an optical switch and the approaches used to optimize the optical switch, the design of the microfluidic channel network and the properties of the flow of cells or particles in the microfluidic network in order to achieve enhanced sorting performance. The optical switch generally works by projecting an optical illumination field into the microfluidic channel network in the vicinity of the cell's trajectory in an established flow in a microfluidic channel. The interaction of the cell with the optical field produce forces on the cell that transport it transverse to the established flow such that it moves from one flowstream to another flowstream in the established flow, without trapping the cell or significantly altering its motion in the primary flow.

[0009] In the following text the terms cells and particles both will be understood to mean any of biological cells, biological particles, natural organic or inorganic particles and man-made organic or inorganic particles. The size range of the cells sorted in the microfluidic channel network is typically that of biological cells, with diameters ranging from approximately 1 μm to approximately 50 μm . More generally, cells with diameters ranging from approximately 100 nm to approximately 100 μm are candidates for sorting by means of an optical switch in a microfluidic channel network.

[0010] Also, in general a laser has been used to produce the optical beam used in the optical switch. The laser currently used for the optical switch is a near-IR, continuous wave laser that is known not to harm the viability of biological cells at the power densities and exposure times used to demonstrate optical switching. Alternate laser sources may be considered for different

applications, including visible or near-UV wavelength lasers if damage to the particles is not an issue, or pulsed lasers where a large flux of light can be used to move the particle very quickly. However, the source of the optical beam does not need to be limited to a laser, even though further discussion of the embodiments of the invention uses a laser to produce the optical switch.

5 According to one aspect of the invention, there is provided a method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels. The method comprising the steps of: receiving a cell in a fluidic medium at an inlet, flowing the cell through a fluidic main channel, subjecting the cell to a bias flow resulting in collection in a first reservoir, 10 identifying a cell to be sorted through application of a lateral force into a second reservoir, and applying a lateral force on the cell, the lateral force comprising a laser spot configured to be translated at a non-zero angle down the fluidic main channel toward the at least two outlet channels and characterized in that the lateral force is a non-trapping force and in that the lateral force is moved alongside the selected cell as it flows down the fluidic main channel, thereby 15 increasing the total interaction time between the lateral force and the cell, whereby the cell selectively exits into the outlet channels.

According to a second aspect of the invention, there is provided an integrated structure for microfluidic analysis and sorting comprising: a cartridge, the cartridge comprising an optical window region, a plurality of reservoirs, including at least: a sample reservoir, a fluid reservoir, a 20 waste reservoir, and a target collection reservoir, a chip, the chip including at least: a sample inlet channel, the cell inlet channel being fluidically coupled to the sample reservoir, one or more fluid inlet channels, the fluid inlet channels being fluidically coupled to the fluid reservoir, a detection region, a branched sorting region, and at least two outlet channels including at least a waste channel and a target channel, waste channel being fluidically coupled to the waste reservoir and 25 the target channel being coupled to the target collection reservoir, the chip being disposed adjacent the optical window, and a lid, the lid including at least: a pneumatic pressure port, the port having an inlet and being coupled to at least one of the sample reservoir and the fluid reservoir to separately pressurize one or more channels to preferentially bias flow through the branched sorting region resulting in collection in the waste reservoir in fluid communication with 30 the waste channel, a filter disposed between the inlet of the pneumatic pressure port and at least one of the sample reservoir and the fluid reservoir, a detector adapted to detect cells of a given state and to generate a signal in response thereto, and a switch coupled to the detector and actuatable in response to the signal.

According to a third aspect of the invention, there is provided a method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels. The method comprise the steps of: receiving a cell in a fluidic medium at an inlet, flowing the cell through a fluidic main channel, subjecting the cell to a bias flow resulting in collection in a first reservoir in fluid communication with the first outlet channel, wherein the bias flow is created by separately applying pressure to one or more of the channels of the microfluidic network, identifying a cell to be sorted through application of a lateral force into a second reservoir, and applying a lateral force on the cell, whereby the cell is moved transverse to the preferentially biased flow and selectively exits into the second outlet channel coupled to the second reservoir.

According to fourth aspect of the invention, there is provided a method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels. The method comprises the steps of: receiving a cell in a fluidic medium at an inlet, flowing the cell through a fluidic main channel, subjecting the cell to a bias flow preferentially resulting in collection in a first reservoir in fluid communication with the first outlet channel, wherein the bias flow is created by configuring one or more of the channels of the microfluidic network to have asymmetric geometries, identifying a cell to be sorted through application of a lateral force into a second reservoir, and applying a lateral force on the cell, whereby the cell is moved transverse to the preferentially biased flow and selectively exits into the second outlet channel coupled to the second reservoir.

Brief Description of the Drawings

[0011] FIG 1 is a plan view of a "Y" shaped sorting junction in a microfluidic channel network.

25 [0012] FIG 2 is a plan view of a microfluidic channel network that incorporates both a sheath flow pinch junction and a "Y" shaped sorting junction connected by a main channel, with 50/50 splitting of cells in the flow, collectively referred to as a 50/50 optical switch network.

30 [0013] FIG 3 is a plan view of a microfluidic channel network that incorporates both a sheath flow pinch junction and a "Y" shaped sorting junction connected by a main channel, with skewed splitting of cells in the flow via differential sheath flow, collectively referred to as a sheath flow skewed optical switch network, with an optical switch.

[0014] FIG 4 is a plan view of a microfluidic channel network that incorporates both a sheath flow pinch junction and a "Y" shaped sorting junction connected by a main channel, with skewed

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splitting of cells in the flow via differential outlet channel width, collectively referred to as an outlet flow skewed optical switch network, with an optical switch.

[Note that in subsequent figures text based labeling has been used instead number based labeling; nevertheless, in similar figures the number based labeling of FIGs 1 - 4 still applies.]

- 5 [0015] FIG 5 is a 50/50 optical switch network with a bi-directional laser line optical switch.
- [0016] FIG 6 is a 50/50 optical switch network with a bi-directional laser spot optical switch.

[0017] FIG 7 is a plan view of laser line optical switches in larger microfluidic channel networks with more than two outlet channels.

[0018] FIG 8 shows possible optical designs for modulation and/or shuttering of the optical switch.

5 [0019] FIG 9 is a plan view of a sheath flow skewed optical switch network with a laser spot optical switch that is translated parallel to the cell flow or at an angle to the cell flow.

[0020] FIG 10 shows a detector arrangement and timing/trigger diagram using a single laser source for the cell detection and trigger decision method.

10 [0021] FIG 11 shows a detector arrangement and timing/trigger diagram using two laser sources for the cell detection and trigger decision method.

[0022] FIG 12 is a schematic of a representative design for photolithography masks for microfluidic channel networks in both bottom and top glass substrates that provides a 2-dimensional sheath flow pinch of the cell flow in the main channel when these substrates are bonded to form a single network.

15 [0023] FIG 13 shows a 3-dimensional illustration of the design described in FIG. 12.

[0024] FIG 14 is an illustration of the side view of a microfluidic channel network that provides sequential sheath flow pinch of the cell flow in the vertical direction and then in the horizontal direction, resulting in full 2-dimensional sheath flow pinch of the cell flow in the main channel.

20 [0025] FIG 15 is a 3-dimensional illustration of the microfluidic channel network described in FIG. 14.

[0026] FIG 16 is a schematic of a representative photolithography mask design for both bottom and top glass substrates that when bonded together form the microfluidic channel network illustrated in FIGs. 14 and 15.

25 [0027] FIG 17 is a representative embodiment of a photolithography mask for a complete microfluidic channel network, with a T-pinch junction and a T-bifurcation junction to the outlet channels, to implement the optical switch based cell sort method.

[0028] FIG 18 is a representative embodiment of a photolithography mask for a complete microfluidic channel network, with a triangle-pinch junction and a Y-bifurcation junction to the outlet channels, to implement the optical switch based cell sort method.

30 [0029] FIG 19 shows a preferred embodiment of a microfluidic channel network in a completed microfluidic cell sorting chip.

[0030] FIG 20 shows a preferred embodiment for a self-contained disposable cartridge for the optical switch based microfluidic channel network cell sorter.

[0031] FIG 21 shows a preferred embodiment of the optical system for the optical switch based microfluidic channel network cell sorter.

5 [0032] FIG 22 shows representative performance of the optical switch based microfluidic channel network cell sorter for various implementations of the optical switch.

Detailed Description of the Invention

[0033] FIG. 1 shows one embodiment of an optical switch 10 that serves to sort cells in a 10 1x2 microfluidic channel network, i.e. a network with one main input channel 11 and two output channels 12 and 13 extending from a bifurcation junction. A "Y" geometry for the bifurcation junction is shown in Fig. 1, but other bifurcations such as a "T" geometry may also be used. In general these microfluidic channels are produced in optically transparent substrates to enable projection of the optical switch and other cell detection optics into the 15 channel. This substrate is typically, but not limited to, glass, quartz, plastics, e.g., polymethylmethacrylate (PMMA), etc., and other castable or workable polymers (e.g. polydimethylsiloxane, PDMS or SU8). The depth of the microfluidic channels is typically in, but not limited to, the range 10 μ m to 100 μ m. The width of the microfluidic channels is typically, but not limited to, 1 to 5 times the depth. The cross section is typically 20 rectangular, or rectangular with quarter-round corners in the case of microfluidic channels produced by photolithographic masking of glass substrate followed by isotropic etching of the channels.

[0034] The flow conditions are set such that when the optical beam, in this case from a laser, is turned off or blocked so that the beam does not impinge on the junction region, all 25 cells will preferentially flow into one of the output channels, for example the right output channel 13. When the optical beam is turned on or unblocked, the beam strikes the junction region and optical forces generated by the interaction of the cells with the optical beam direct the cells into the left output channel 12. In this example, the optical pattern chosen for directing the cells is a long, thin line of laser illumination at some angle relative 30 to the direction of fluid flow. Optical gradient forces displace the cells laterally, away from the main stream line of cells, such that switched cells then exit the main channel into one output channel, for example 12 while unswitched cells from the main stream of cells exit into the other output channel, for example 13. The setting and control of the flow

conditions in the microfluidic channel network can be achieved by direct drive pumping, pneumatic pumping, electro-kinetics, capillary action, gravity, or other means to generate fluidic flow.

[0035] The performance of the sorting mechanism in terms of throughput (the temporal rate of cells entering the sorting region at the top of the bifurcation junction), yield efficiency (the fraction of target cells in the target output channel, 12), and purity (the ratio of the number of target cells to the total number of cells in the target output channel, 12), are impacted by various factors, each of which affects the implementation of the optical switch. The optical switch can be characterized by several parameters such as the shape of the optical pattern projected into the sorting junction region of the microfluidic channel network, the position of the pattern with respect to the bifurcation junction, any motion of the optical pattern with respect to its initial position and shape, the duration of activation of the optical switch, the wavelength and power of the laser source used to produce the optical switch pattern, etc. The selection of particular values of these parameters for the optical switch is a critical function of, among other things, the topology and geometry of the microfluidic channel system, the flow rates (cell velocities) within the microchannel system, the ability to control the position of cells flowing in the main channel (whether they are flowing in the center of the main channel or off-set to one side), the amount of displacement of the cells necessary to achieve reliable switching, the depth of the channels, the shape of the channels, and the forces produced by the cells' interactions with the optical switch.

[0036] In general, when cells are introduced into the flow in the main channel they may move down the channel at any transverse position within the flow. Consequently the cells may be moving at differing velocities, depending upon their transverse positions due to the well known parabolic (for cylindrical microfluidic channels) or quasi-parabolic (for more general cross sections) velocity profile of pressure driven flow in microfluidic channels. This would make it difficult to bias the flow of all cells to one output channel, say 13, as shown in FIG. 1. Any implementation of an optical switch with this flow geometry would necessarily result in low throughput and inefficient use of the laser power available to produce the optical switch. The use of appropriate flow conditions can help alleviate these restrictions on the performance of the optical switch.

[0037] Establishing the appropriate flow conditions can be done in many ways. In one embodiment, 1-dimensional focusing of cells (horizontally in the planar view shown) into

a single file in the center of the main channel is achieved by pinching the cell input channel flow **20** with added flow of buffer from both the left **21** and right **22** sides, using a sheath flow approach as shown in FIG. 2. Maintaining the cells in the center of the main channel is achieved by having equal flow from each side. This flow effectively creates a 5 fluidic splitting plane **23**, as shown in FIG. 2, and this ultimately will result in a 50/50 splitting of the fluid and cells at the bifurcation junction. Implementation of an optical switch to sort target cells from a mixed population of cells using this microfluidic channel design and flow conditions requires an optical switch that actively switches both target cells to one output channel, say **12** as shown in FIG. 1 and non-target cells to the other 10 output channel, say **13**.

[0038] Alternatively, the focused line of cells can be positioned off-set from the center of the main channel by putting unequal flows into the side sheath flow channels, FIG. 3a-b. This effectively causes a skewed flow of cells from the input channel **30** to one side of the splitting plane **33** within the main channel. The side of the main channel to which the cell 15 flow is skewed will be opposite to the side in which the sheath flow has the higher flow rate. That is, when the right sheath buffer **32** flows faster than the left sheath buffer **31**, the line of cells is skewed toward the left of the flow in the main channel, as shown in FIG. 3a-b. However, the left sheath flow could also have the higher flow which would push the line of cells toward the right side of the main channel. Also shown in FIG. 3a-b 20 are a fluorescence detector **34** and an optical switch **35**. The fluorescence detector is used as a means to decide which cells to sort, and will be discussed in further detail later. It is evident from FIG. 3b that an effective sort involves moving a cell across the splitting plane from a flow stream that exits the bifurcation junction to the fluorescence-negative non-target cell microfluidic channel **36** into a flow stream that exits the bifurcation 25 junction to the fluorescence-positive, target cell microfluidic channel **37**. Manipulation of the sheath buffer flow rate can be achieved either by separately controlling the flow rate in the respective side channels using direct drive pumping, pneumatic pumping, electro-kinetics, capillary action, gravity, or other means to generate fluidic flow, or by specifically designing the microfluidic sheath network to ensure that central flow (50/50 30 splitting) or off-set flow occurs, through careful balancing of the pressure drops in each of the microfluidic channels..

[0039] An alternative approach to achieve the preferential flow of all cells from the input flow **40** in the main channel into one output microfluidic channel, say the fluorescence-

negative channel **46**, prior to fluorescence detection **44**, is to obtain central pinching using equal sheath buffer flow rates **41** and **42**, but then preferentially bias the cell flow into fluorescence-negative channel by having a larger volumetric fluid flow out of the bifurcation junction into the fluorescence-negative output channel **46** relative to the fluorescence-positive output channel **47**. This is demonstrated in FIG. 4a-b, in which the left output channel **46** is wider than the right output channel **47**. This configuration effectively places the splitting plane **43** to the right of the centrally located cell stream. Therefore, with the cells in the desired position, the optical switch **45** is then used to translate the target cells across the splitting plane into the target cell, fluorescence-positive., right output channel. This approach is equally effective by having the right output channel wider than the left output channel, whereby target cells are translated by the optical switch across the splitting plane, which is now located to the left of the centrally located cell stream, and are consequently sorted into the left output channel. Thus, by specifically designing the microfluidic channel outlet network, or by actively controlling the outlet back pressure in the respective outlet channels, the flow of cells into a desired output channel can be controlled.

[0040] The use of either central flow or an off-set flow, and the respective distance of the focused cell flow from a fluidic splitting plane, ultimately dictates the magnitude of the displacement of the cells necessary to achieve reliable switching. This further dictates the length of the laser line and the laser power required to achieve reliable switching. The closer the cell stream is to the splitting plane, the shorter the displacement required, and the more efficient the sorting process becomes. For enhanced purity of the sorted population and for high throughput, the single optical switch in a mono-directional arrangement requires the sample stream be offset from the splitting plane. In this manner the occurrence of a mistaken sort is minimized.

[0041] An alternative to this design is to use a bi-directional optical switch which utilizes two laser lines. With this approach one laser line sorts the desired cells to one output channel, and the other laser line sorts all other cells into the other output channel. This approach can be used with either the 50/50, FIG. 2, or the offset, FIG. 3 and 4, splitting configuration. In the latter case when a cell is not in the switching zone, one may choose to leave the laser on in either of its two positional states, or one may also shutter the laser during this time. The optical switch can also be made bi-directional by having two mirror-image laser lines impinging on the switching region, located just above the bifurcation

junction, which independently turn on to direct cells to either of the two outputs stemming from the bifurcation junction.

[0042] A schematic of the bi-directional optical switch using laser lines in a 1x2 microfluidic network is shown in FIG. 5. A similar bi-directional optical switch has also 5 be achieved with laser spots directed to either side of the channel, as shown in FIG. 6. As with the mono-directional optical switch, a single laser source can be used in the bi-directional optical switch, or alternatively the bi-directional optical switch can use two independent laser sources. The bi-directional design potentially offers some performance advantages versus the mono-directional design. The first is that purity is potentially 10 maximized because every cell is directed by the laser. Secondly, the fluid flow is simplified because equal flow can be directed out each of the two output ports, instead of some predetermined ratio of flow.

[0043] Although only the 1x2 microfluidic channel design with flow through one input main channel into a bifurcation to two output channels has been considered thus far in this 15 description, microfluidic networks with 1xN, or MxN, outputs can be utilized. Optical switching can be achieved in these larger networks by having an arbitrarily large number of independently modulated laser lines. Some embodiments are shown in FIG. 7a-c. Furthermore, cells can also be fed back multiple times through the same sorter to increase 20 the purity of the sort, or alternatively, channels can also be arranged in a cascade for multiple levels of sorting.

[0044] Two different activation modes can be considered when operating the optical switch in a mono-directional or bi-directional arrangement; a passive mode or an active mode. The passive mode is such that the state of the optical switch is either on or off, regardless of what cell may be flowing through the channel. In this case knowledge of 25 when or how many cells are entering the switching region is not required, and consequently, depending on the state of the laser, all cells within the switching region are switched. Alternatively, in the active mode the cells are first detected as they enter a detection/selection region, and then are switched based on some decision process. FIG. 3a-b and FIG. 4a-b show examples of this mode that use a fluorescence detector placed 30 just prior to the switching region. In this case, all fluorescent cells were directed to one output channel, and all non-fluorescent cells were directed to the other output channel. Other non-fluorescent detection/selection techniques for the decision process include Time-Of-Flight, scatter, imaging, capacitance, or any detection modality that can identify

a desired cell. Regardless of the detection/selection method, switching using the active mode can be utilized to sort one population of cells from another based on some decision process.

[0045] In order to utilize the active mode, the optical beam must be modulated on or off in response to the decision process. Regardless of the number of lasers used, or whether the optical switch is mono-directional or bi-directional, the lasers can be modulated in many ways, including using an electro-optic modulator, modulating the laser power, shuttering the laser, using a liquid crystal modulator, using a galvanometer, and using an acousto-optic modulator. For the bi-directional optical switch with two lasers, the separate lasers can be turned on and off independently; however, when using a single laser source the two different orientations of the optical switch line can be achieved by using a polarization rotator (such as a liquid crystal modulator) and having each of the two different line patterns be each of two separate polarizations. Similarly, an acoustic-optic modulator or a galvanometer mirror can be used to modulate the position of a single spot used as the optical switch, or a two-axis acousto-optic modulator or two-axis galvanometer mirror can be used to draw two different line shapes to be used as the bi-directional optical switch.

[0046] FIG. 8 shows three different possible optical designs for performing the modulation and/or shuttering of the optical switch. In FIG. 8a the bi-directional optical switch is created from a single optical beam (laser) directed toward and passing through a Liquid Crystal Modulator (LCM). The LCM is a polarization rotator and therefore if the beam is polarized in one direction it will pass straight through the Polarizing Beam Splitter (PBS), through a cylindrical lens creating a line shape, through another PBS, and then through some focusing optics which focus the line onto the microfluidic switching region. This effectively creates one line of the bi-directional optical switch used to switch cells into one of the bifurcated channel outputs. To switch cells into the other output channel a mirror image line must be created. This is accomplished by rotating the LCM which alters the polarization of the beam. Consequently, when the beam strikes the first PBS it is directed into an alternate path through a different cylindrical lens (creating a line shape), through the other PBS, which directs the beam back through the focusing optics which focus the mirror image line onto the microfluidic switching region. Note that the cylindrical lenses were used to create the line shape for the bi-directional optical switch; alternatively the cylindrical lenses can be removed resulting in spots used for the optical switch. In FIG. 8b, rather than use the combination of the LCM and PBS, with or without

the cylindrical lenses, an Acousto-Optic Modulator (AOM) can be used to create the lines or spots used in the bi-directional optical switch. This is achieved by configuring the AOM to obtain the desired line shape that is required. Also, the AOM can be used to shutter the optical beam in an on/off fashion, directing the beam to a beam stop for the 5 Optical Switch off condition. FIG. 8c shows the combination of the systems described in FIG. 8a and FIG. 8b. In any configuration that uses an AOM to vary the direction of the beam, a galvanometer mirror, either one-axis or two-axis, depending on the desired beam motion, may be used in place of the AOM.

[0047] Many variations for the optical pattern can be considered when optimizing 10 switching efficiency for mono- or bi-directional optical switches. As mentioned above a laser line has been used as the optical switch pattern. The line might be generated by a cylindrical lens, by scanning a galvanometer mirror or an acousto-optic modulator, by a diffractive optic, by a custom refractive optic, or by any other technique. To date the line has been generated using a cylindrical lens, by scanning a galvanometer or by using an 15 acousto-optic modulator. The length of the line can be arbitrarily long or as short as a single point. The line can have higher intensity at the top of the line and gradually taper down in intensity toward the end of the line. Additionally the line might be a curved arc which optimizes the output direction of the cells. Additionally, in real time the angle of the line or the shape of the line might vary (i.e. swivel to optimize output). For 20 implementations with multiple output channels, any arbitrary pattern of lines in 2D space might be generated to optimize the direction of each output cell. Alternatively, the line might be created by an array of discrete spots.

[0048] To further improve the performance of the sorting mechanism in terms of 25 throughput, yield efficiency, and purity, the optical switch has been configured such that the laser spot is swept alongside a selected cell as it flows down the main channel toward the bifurcation junction, thereby increasing the total interaction time between the cell and the laser. The optical switch utilizes a laser spot which is translated, in a straight line, down the length of the main channel toward the bifurcation junction. The line swept by the spot can be parallel with the walls of the main channel (FIG. 9a), or can be at some 30 angle relative to the cell flow stream (FIG. 9b). Therefore, the angle can range from 0-90 degrees. The ability to sweep the spot is achieved using either an AOM or scanning galvanometer mirrors. The optical switch is triggered to sweep by a decision based on detection of the desired cell using fluorescence or other detection modality that can

identify a desired cell; for example Time-Of-Flight, scatter, imaging, or capacitance. The cell position can be either off-set or centered in the main channel, which dictates the length of the line swept by the spot and the laser power used to achieve efficient switching/sorting. Thus, as a desired cell is detected the optical switch is turned on, and

5 the spot appears alongside the desired cell. The spot then tracks alongside the selected cell and uses optical forces to direct the selected cell into the desired output channel.

[0049] Two approaches to facilitate efficient triggering of the optical switch are described below. Typical to both methods is the use of a temporal signal to analyze the moving cell, and use this information to generate a decision to switch, or not to switch. This temporal

10 signal is essentially a measure of a signal as a function of time, which can yield a distinctive temporal fingerprint in terms of both peak intensity and peak width. The signal may be fluorescence, scatter (for instance, forward scatter), capacitance, imaging, or any detection modality that can identify a desired cell. One approach is to utilize a single laser source coupled with two or more detectors to accomplish both cell detection and cell

15 identification. FIG. 10a-d show this approach using one laser source combined with a fluorescence detector and a forward scatter detector. The temporal signals from these detectors are used as the information for the switch decision. The presence of a cell is verified by the forward scatter signal and when this signal is coupled with a fluorescence signal intensity which is within a predetermined range; this “gating” information is then

20 used to trigger the optical switch. Note that only a single fluorescence detector is shown, however multiple fluorescence detectors can be used for further refined cell identification. In the case depicted the cell stream is centrally located by using equal flow rate sheath buffers, with output channels having different widths used to create a splitting plane to the right of the cell stream. However, any configuration used to manipulate the position of the

25 cell stream and splitting plane, as discussed above, can be used. Also, common to both configurations is the presence of an error checking detector, which verifies whether a cell has been switched or not. The detection in this case can be based on fluorescence, scatter (for instance forward scatter), capacitance, imaging, or any detection modality that can identify a desired cell.

30 [0050] FIG. 10a-b show the detector arrangement and the timing/trigger diagram for when the sort parameter is negative and the optical switch is not triggered. The cells enter the main fluidic channel and are focused into a single file by sheath buffer flowing from both sides. As a cell passes the through the laser in the detection/selection region, both

fluorescence and forward scatter signals are detected simultaneously, or nearly simultaneously. Although the presence of a cell is successfully detected via the forward scatter signal (at time t_1), the fluorescence signal is below the gating level and the optical switch is not triggered (at time t_2). Thus, no error check signal (at time t_3) is obtained 5 since no cell was switched. Alternatively, FIG. 10c-d show the detector arrangement and the timing/trigger diagram for when the sort parameter is positive and the optical switch is triggered. Here, as a cell passes through the laser in the detection/selection region, both fluorescence and forward scatter signals are again detected (at time t_1) simultaneously, or nearly simultaneously, but the fluorescence signal is within the gating level and the optical 10 switch is triggered (at time t_2). An error check signal (at time t_3) is obtained since a cell was switched. In this approach the trigger time (at time t_2) is a preset value (Δt) measured from the initial detection time (t_1), and this Δt value is determined by the speed of the cells and the position of the optical switch relative to the detection/selection region. This 15 approach is satisfactory to achieve efficient sorting; however as a means to further improve the triggering accuracy a second approach is used.

[0051] FIG. 11a-d shows this second approach, in which two laser sources are used instead of one. Also, as with the single laser approach described above, the temporal signals from these detectors are used as the information for the switch decision. One laser is used in a detection zone to separately accomplish cell detection prior to the 20 identification/selection region. The detection in this case can be based on fluorescence, scatter (for instance forward scatter), capacitance, imaging, or any detection modality that can identify a desired cell. The second laser is coupled with two or more detectors and is used to accomplish cell detection and cell identification. Again, identification in this case can be based on fluorescence, scatter (for instance forward scatter), capacitance, imaging, 25 or any detection modality that can identify a desired cell. The purpose for two sequential cell detection steps is such that the cell flow rate can be obtained from the time difference (Δt) between the first detection (at time t_1) and the second detection (at time t_2). Knowing the spacing between detector windows (d) will yield the flow rate ($v=d/\Delta t$), and this value combined with the known distance the optical switch is from the identification window (x) 30 is then used to calculate the triggering time for the optical switch ($t_3 = x/v$). Again switching only occurs when specific gating levels are reached for the cell identification step. Although only a single fluorescence detector is shown for identification, multiple fluorescence detectors can be used. In the case depicted the cell stream is centrally located

by using equal flow rate sheath buffers, with output channels having different widths used to create a splitting plane to the right of the cell stream. However, any configuration used to manipulate the position of the cell stream and splitting plane, as discussed above, can be used. Also, common to both configurations is the presence of an error checking detector, 5 which verifies whether a cell has been switched or not. The detection in this case can be based on fluorescence, scatter (for instance forward scatter), capacitance, imaging, or any detection modality that can identify a desired cell.

[0052] FIG. 11a-b shows the detector arrangement and the timing/trigger diagram for when the sort parameter is negative and the optical switch is not triggered. The cells enter 10 the main fluidic channel and are focused into a single file by sheath buffer flowing from both sides. The presence of a cell is verified by the forward scatter signal (at time t_1) as it passes through the detection window region. As the cell passes through the identification/selection window a second forward scatter signal is obtained (at time t_2), however, this signal is coupled with a fluorescence signal intensity (at time t_2) which is not 15 within the gating level and the optical switch is not triggered (at time t_3). No error check signal (at time t_4) is obtained since no cell was switched. Even without sorting a cell the flow rate (v) of the cell stream is obtained using (t_1) , (t_2) and the known distance (d) between the detection and identification windows. This is obtained using the relationships: $\Delta t = (t_2) - (t_1)$ and $v = d / \Delta t$.

20 [0053] Alternatively, FIG. 11c-d show the detector arrangement and the timing/trigger diagram for when the sort parameter is positive and the optical switch is triggered. Here, the presence of a cell is again verified by the forward scatter signal (at time t_1) as it passes through the detection window region. As the cell passes through the identification/selection window a second forward scatter signal is obtained (at time t_2), and 25 this signal is coupled with a fluorescence signal intensity (at time t_2) which is within the gating level and the optical switch is triggered (at time t_3). An error check signal (at time t_4) is now obtained since a cell was switched. In this approach the trigger time (t_3) is not a preset value, but rather it is calculated using the cell stream flow rate (v) and the known distance (x) between the optical switch and the identification window. This is obtained 30 using the relationships: $\Delta t = (t_2) - (t_1)$; $v = d / \Delta t$; $(t_3) = x / v$. This approach allows for more efficient sorting as it can account for fluctuations in cell flow rate, and therefore more accurately trigger the optical switch. An added benefit of this approach is, for each individual cell, the possibility of adjusting the rate at which the laser spot is translated

down the channel such that it matches the velocity of the cell as determined above, thus maximizing the interaction time between the cell and the laser spot of the optical switch. The translation velocity of the laser spot would be varied by varying the driver for the AOM.

5 [0054] Another approach to improving the sorting efficiency, while incorporating the triggering approaches described above, is to centralize the cells in the main channel using channel designs which create a true sample core, whereby the core is completely surrounded by the sheath buffer. Variability in the location of a cell along the channel height can cause variability in cell detection and fluorescence intensity. Ensuring that the 10 cells are in a core flowing in the center of the main channel may improve sorting efficiency, since this minimizes any variability due to radial distribution of cells, and controls the distance the cell needs to be moved to effect efficient sorting. Such a core flow can be achieved with a 2-dimensional pinch of the input flow stream with sheath buffer.

15 [0055] This approach requires a bottom substrate and a top substrate; each with microfluidic channel networks formed in them. FIG. 12a-b and FIG. 13 show one method to accomplish this, in which the channel design on one substrate is the mirror image of the design on the other substrate. Thus, when the two substrates are brought together, with the channel designs facing each other, the channel networks overlay and form complete fluidic 20 conduits. Fig 12a-b show one type of design used in this approach, with the sample channel shown as a dashed line. The key feature of this approach is to ensure that the sample channels are shallower than the sheath channels, such that when the substrates are brought together the sample conduit appears to enter the junction as a hole. This is shown in FIG. 13, where the cells can be seen to enter the junction, and then are pinched from all 25 sides creating a sample core which flows in the center of the main channel. Note that the channels can be formed by wet chemical etch or laser etch of glass or quartz, by molding or embossing in plastics or polymers.

[0056] Another method involves having a series of intersecting channels arranged such that in the first junction/intersection the cells are pushed vertically toward one wall of the 30 main channel, the next junction/intersection forces this cell stream vertically into the center of the main channel, and then a final pinch flow from both sides at a third junction/intersection creates the complete sheath buffer shroud around a sample core flowing in the main channel. This is shown in FIG. 14 and FIG. 15, with one possible

channel schematic shown in FIG. 16. In this example, at junction (A) sample flows from the top substrate into the junction and down into the channel in the bottom substrate, where the side sheath buffer flows into the junction from the sides. The sample is slightly focused and pushed to the top wall of the bottom channel as it continues to flow toward 5 the next junction (B). At junction (B) the sample flows along the top of the bottom channel from junction A to junction (B). Here a second sheath buffer flows into the junction (B) from the top substrate and the sample is pushed down to the middle of the channel in the bottom substrate. The sample continues to flow along the middle of the bottom channel toward the next junction (C). Here a third sheath buffer flows into 10 junction (C) from both sides, and the sample is pinched into single file. The sample is now surrounded by sheath buffer as it continues to flow, as a sample core, centered both horizontally and vertically within the main input channel.

[0057] All of the microfluidic channel network designs described in Figs. 1-16 have been produced in glass substrate utilizing conventional photolithographic masking and isotropic 15 etching of the masked glass substrates. The isotropic etch typically produces microfluidic channels that have a depth d_e at the center of the channel and a width $w = w_p + 2 \times d_e$ at the top of the channel, where w_p is the width of the photolithography pattern that defines the channel. The bottom profile of the channel has a quarter-round contour of radius d_e at each edge due to the isotropic etch and the top of the etched channel is open. A glass 20 substrate, typically a glass cover slip, is thermally bonded to the substrate with the etched microfluidic channels to seal the tops of the channels and complete a microfluidic channel network. Holes are typically drilled in the top substrate prior to the thermal bonding to provide vias for ingress and egress of fluid flow to the microfluidic channel network. The depth d_e of the channels depends on the rate of the chemical etch process and the duration 25 of the etch step. The depth of the microfluidic channels is typically in, but not limited to, the range 10 μm to 100 μm . The width of the microfluidic channels is typically, but not limited to, 2 to 5 times the depth. This is achieved by using lines on the photolithography mask that are typically, but not limited to, the range 5 μm to 400 μm . As mentioned previously, other substrates may be used, such as plastics or moldable or castable 30 polymers. In these cases, the microfluidic channels typically have rectangular cross sections, but otherwise are similar to the channels in the glass substrates. The size of the glass substrate in which the microfluidic channel network is produced is typically in, but not limited to, the range of 5 mm \times 5 mm to 25 mm \times 50 mm with a total thickness in, but

not limited to, the range 500 μm to 2 mm. The top substrate is typically the same size, with thickness in, but not limited to, the range 300 μm to 1 mm. The vias are typically, but not limited to, 200 μm to 600 μm in diameter. The completed substrate, with a microfluidic channel network and a bonded cover plate with vias for fluidic ports for 5 ingress and egress of fluid flow, is termed a microfluidic sorting chip or chip for brevity.

[0058] The microfluidic channels networks shown in Figs. 1-16 typically have only described the local geometries of the inlet microfluidic channel, the sheath buffer pinch junction channels, the cell identification and optical switch main channel, and the bifurcation of the main channel to the outlet channel. This description needs to be 10 expanded to provide for regions in each channel to make the connections to reservoirs in a macro-scale fluidic device or cartridge that provides the interface to the vias described above to provide ingress and egress of the fluid flow from the network. The cross section and length of each of these microfluidic channels typically needs to be adjusted to assure appropriate controlled flow within the entire microfluidic channel network, depending on 15 the technique selected to achieve the flow in the channels. Both the cross section and the length of these channels are determined by the pattern used to produce the photolithography mask.

[0059] Fig. 17 shows one embodiment of a mask for a complete microfluidic channel network that has an inlet channel, two sheath channels to a T-pinch junction and two outlet channels from a T-bifurcation junction. This mask was designed to provide a 7:1 volumetric pinch ratio (the sheath flow rate is seven times greater than the cell inlet flow rate). The length of the channels was designed to provide both sufficient pressure drop to enable the use of either standard low flow syringe pumps or low pressure pneumatic controllers to establish the flow. The design also reflects the balance of pressures needed 20 to enable use of only two pumps, one for the cell inlet channel and one for the two sheath channels, with the outlets maintained at atmospheric pressure. The sheath channel inlet is at the termination at the top of the design, the cell inlet channel originates below this in the center of the two sheath channels and is long enough to provide the appropriate pressure drop to set the 7:1 pinch ratio, and the two outlets are located at the termini at the bottom 25 left and right.

[0060] Fig. 18 shows another embodiment that incorporates a triangular junction for the pinch junction and a Y-bifurcation junction, in a design that provides a 10:1 volumetric pinch ratio. Otherwise the design is geometrically similar to that of Fig. 17. Many other 30

designs are clearly possible, but they all share the common features of needing to provide for fluidic ingress and egress and to provide appropriate pressure drops and pressure balances for the method chosen to establish the fluid flow. Similar design conditions are used to produce the photolithography masks used to make the microfluidic channel networks for 2-dimensional pinch flow networks described previously.

[0061] Fig. 19 shows a preferred embodiment of a microfluidic channel network in a completed microfluidic sorting chip. The two inlet ports, for the cell sample flow and for the sheath buffer flow are identified, as are the two outlet ports, for the fluorescence-positive target cells and for the fluorescence-negative non-target cells, the waste stream. The chip is 24 mm by 40 mm. The thickness of the etched substrate is 1.1 mm. The thickness of the bonded cover plate is 550 μ m. The microfluidic channels are 50 μ m deep. The cell inlet microfluidic channel is 110 μ m wide. The sheath flow and outlet microfluidic channels are 150 μ m wide, as is the main microfluidic channel. The sheath flow pinch junction is an inverted equilateral triangle, 300 μ m per side, connecting the cell inlet channel through the base of the triangle, at the top of the junction, with the two sheath flow pinch channels from each side to the main channel through the apex of the triangle, at the bottom of the junction. This microfluidic channel network design is optimized to use pneumatic control of the flow at all four ports to establish the network flow.

[0062] Microfluidic connections to the chip may be made in a variety of ways. One method is to use flexible microfluidic tubing directly connected to the ports, either by gluing or using various tubing adapters that can be attached to the surface of the chip at the ports. This tubing can be connected directly to syringe pumps or similar systems that provide volumes for handling both the cell sample and the sheath buffers and provide the pressure to flow these volumes through the chip. Using the syringe pumps for handling the sample volume requires that the pump be cleaned and reloaded for each sample and introduces the possibility for carry over or contamination from one sample to the next.

[0063] An improved method for microfluidic connections to the chip utilizes a cartridge that is directly adhered to the chip using a UV-curable adhesive, a PSA bonding sheet, or other conventional bonding methods. The cartridge has four built-in reservoirs that separately provide interface connections to the cell inlet channel, the two sheath channels (from one reservoir), and each of the two outlet channels. Such a cartridge provides the possibility of sterile handling of both the cell sample and the sorted target cells and waste

stream, since they can be completely confined to the volumes of the cartridge before and after the cell sort. The flow for such a cartridge and chip system can be provided by using two pneumatic pressure controllers that separately pressurize the cell inlet and sheath buffer reservoirs to induce flow through the microfluidic channel network of the chip to 5 the outlet reservoirs that are at atmospheric pressure.

[0064] An improved flow control method is provided by using four pneumatic controllers that separately pressurize each of the cell inlet, sheath buffer, target cell collection and waste collection reservoirs. Such a flow control system provides the ability to separately adjust the volumetric pinch ratio at the sheath pinch junction, the flow velocity of the cells 10 in the main microfluidic channel for the fluorescence analysis and optical switch, and the split ratio at the switching bifurcation to enable biased flow, as described previously.

[0065] Fig. 20 shows a preferred embodiment of a self-contained disposable cartridge that provides fluidic reservoirs for the cell sample volume, the sheath buffer volume and the two outlet collection volumes for target cells and waste respectively. The cartridge is 15 manufactured from acrylic plastic and may either be machined or cast. Other plastics or suitable materials may be substituted for acrylic if appropriate. The cell sample volume is typically conical in shape, tapering towards the port to the inlet microfluidic channel. In the preferred embodiment, the inlet reservoir contains a polypropylene insert to minimize cell adhesion and consequently maximize cell yield. The chip is bonded with UV 20 adhesive to the optical window region, and the outlet ports from the chip interface with their respective reservoir volumes. The reservoir volumes are sealed with the snap-on lid that has drilled ports for connection between the pneumatic controllers and the individual reservoirs. The lid contains a silicone gasket to aid in sealing against the cartridge body. It also incorporates a 0.1 μ m polypropylene filter to create a gas permeable, liquid tight 25 interface between the cartridge volumes and the external environment. This maintains aseptic conditions on the cartridge and minimizes any biohazard contamination to the user or the instrument.

[0066] The cartridge is prepared for a cell sorting run by first priming the microfluidic channel network through the sheath port with sheath buffer solution, using an ordinary 30 syringe with a luer fitting. In this way the channels are primed and the sheath reservoir is filled with 800 μ l and each outlet reservoir is filled with 200 μ l. The cell sample reservoir is aspirated of excess buffer liquid and then 5 – 25 μ l of cell sample is placed into the

sample input reservoir using a pipette. The cartridge lid is then applied and snapped into place, providing a self-contained system in which to perform the cell sorting run.

[0067] The cartridge is designed to be placed in a holder that positions the main channel of the chip such that the optical imaging system that projects the optical switch beam into

5 the channel is appropriately aligned and focused into the channel. The cartridge holder also includes a pressure manifold plate that has four ports, connected by external tubing to the four pneumatic controllers. Each manifold port is sealed to its respective cartridge lid port with an o-ring, and these seals are made leak free by pressing the manifold against the cartridge lid with a cam-lock mechanism.

10 [0068] A preferred embodiment of the optical system for the optical switch is shown in FIG. 21. The cartridge, with the pneumatic manifold connecting to the snap-on lid, is positioned such that the optical switch region is at the focus of both a lens system viewing from above the cartridge and a lens system viewing from below. The output beam from a 488 nm laser is projected through the imaging system into the main channel just upstream 15 of the sorting region, as shown in FIGs. 3-7 and 9-11, to provide excitation for the detection of fluorescence from fluorescence-positive target cells. The fluorescence emission is collected by the same lens and imaged through a dichroic mirror and an appropriate fluorescence emission filter to a photomultiplier tube. The signal from the photomultiplier tube is processed by the electronics to measure the level of the 20 fluorescence from the cells and determine the presence of fluorescence-positive target cells in the flow stream in the main channel. The fluorescence excitation is not limited to the 488 nm wavelength, but can be at any wavelength that is appropriate for the fluorophores used to identify the target cells. If a different excitation illumination is used, the wavelength of the fluorescence emission filter must be changed accordingly. When 25 fluorescence-positive cells are identified, the electronics triggers the AOM to direct the beam from the IR-laser, typically a 1070 nm laser operation between 5 W and 20 W output power, into the main channel at the optical switch position. In the preferred embodiment, the AOM is controlled to produce an optical switch pattern as described in FIG. 9b, although any of the optical switch methods previously described could be implemented.

30 The lens below the cartridge images the 488 nm excitation illumination onto a photodiode. The signal detected by this photodiode is used to help distinguish fluorescently labeled cells from smaller debris that may carry the fluorescent label, and also to identify clumps

of cells that might have formed. These events are rejected as candidates for sorting to the target output channel.

[0069] Yet another preferred embodiment would incorporate appropriate imaging and optical filtering to provide a forward scattering signal based on the illumination of the cell 5 by the 488 nm laser that is used to excite the fluorescence. The optics would provide a range of angular sensitivity, such as, but not limited to this range, 0.8° to 10°, for the detection of the forward scattering signal. This signal can help characterize cells in addition to the fluorescence signal, as well as help distinguish cells from debris. The forward scattering illumination is not limited to the fluorescence excitation laser, but could 10 be at any other wavelength provided by an additional light source that is properly imaged into the main channel.

[0070] Yet another preferred embodiment would incorporate additional fluorescence detection channels that are sensitive to fluorescence emissions at different wavelength, typically using a single excitation wavelength, such as, but not limited to, 488 nm. Each 15 detection channel would incorporate a PMT with an appropriate dichroic mirror and emission filter for the fluorescence emission wavelength of the additional fluorophore. From two to four fluorescence detection channels are readily accommodated in this manner. Using more than one fluorophore in this manner provides the ability for multiple detection criteria to identify the target cells for sorting with the optical switch.

20 [0071] Yet another preferred embodiment would incorporate an error checking capability that provides optical illumination, typically as a narrow line across one of the channels in the network, and typically at a longer wavelength, perhaps, but not limited to, 785 nm from a solid state laser, that is outside the range of wavelengths used for fluorescence detection and forward scatter detection, but is shorter than the optical switch wavelength 25 that is typically at 1070 nm. This source can be appropriately imaged into the microfluidic channel network to provide lines that can be used to detect passage of particles through any vertical plane in the network. This provides additional ability to check the performance of the optical switch performance and provides additional capability for the timing of the trigger of the optical switch, as described in FIG. 11.

30 [0072] Yet another preferred embodiment of the optical system would incorporate an additional optical illumination path at, but not restricted to, 750 nm, e.g., as produced by band pass filtering the light from an LED, and illuminating a region of the microfluidic channels with that light. That region would be imaged through a 750 nm pass filter onto a

CCD camera to provide visualization of the performance of the cells flowing in the microfluidic channel network at the bifurcation junction and/or at the pinch junction. The filters before the camera would be adequate to block any shorter wavelength radiation associated with the excitation or detection of fluorescence and with the forward/side 5 scatter optics and the error detection optics. The filters would also block the longer wavelength, 1070 nm light from the optical switch.

[0073] The preferred embodiment of the cartridge shown in Fig. 20 is designed to hold the microfluidic channel network in a horizontal configuration, so that all of the channels and inlet/outlet ports are at the same vertical level. This minimizes the effects of gravity on 10 the pressure drops through the microfluidic channels, leading to more stable and controllable flow in the network. However, gravity will still have an effect on the cells in the flow, particularly as the cells pass from the cell sample reservoir into the cell inlet microfluidic channel. Another preferred embodiment of the sorter, to help control the effects of gravity on settling of the cells in this reservoir and on their settling in the 15 relatively slower flow in the inlet microfluidic channel before the cells flow speeds up at the pinch junction, is to enhance the buoyancy of the cells, such that settling of the cells is minimized. Increasing the buoyancy can be achieved by using additives in the sample buffer. Examples of these rheological control additives, particularly those that are either pseudoplastic or shear thinning, or both, are xanthan gum, carageenan, sodium 20 carboxymethylcellulose, methylcellulose, hydroxypropylmethylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxypropyl guar, Gum Arabic, Gum Tragacanth, Alginate, polyacrylates, carbomer. Other additives include HistopaqueTM, which is a mixture of polysucrose and sodium diatrizoate, and OptiprepTM, which is a 60% w/v solution of iodixanol in water. The concentration of these additives used depends on 25 the density of the cell being sorted. For instance, in the case of OptiprepTM the concentration can range from 5% to 40%. Finally, salinity of the sample buffer and addition of sucrose can also be used to adjust the buoyancy of cells.

[0074] The buffers that are used for the cell sample volume and for the sheath flow can be 30 any buffers that are biologically compatible with the cells that are being sorted, and are compatible with optical illumination that is used both for the fluorescence detection modality and for the optical switch, i.e., the buffer has sufficiently low absorbance at the fluorescence excitation/detection wavelengths and the optical switch wavelength. A preferred embodiment of the sheath buffer uses PBS/BSA, phosphate buffered saline

(PBS) at pH 7.2 with 1% bovine serum albumin (BSA) fraction 5. A preferred embodiment of the cell buffer uses PBS/BSA with 14.5% Optiprep for live cell samples and 27% Optiprep for a variety of formalin fixed cell samples.

[0075] The performance of the optical switch method of cell sorting in a microfluidic channel network is evaluated by the throughput, purity and recovery of the sort as previously described. The cartridge described in FIG. 20 is optimized to allow measurement of the performance, since it is made of acrylic, the bottoms of the target and waste collection reservoirs are transparent and the cells that are sorted into these reservoirs can be quantified as to both number and fluorescence labeling using an inverted fluorescence microscope. Several of the switching configurations described in FIGs. 3-11 were evaluated. These evaluations were performed using a 50:50 mix of live HeLa:HeLa-GFP cells that was sorted using either a 1- or 2-sided stationary laser spot, or a 0° or 8° 1-sided laser sweep. The laser was swept at 240 Hz. The laser ON time was 4 msec and the laser power was 20 W for all switch modes. For the swept spot method, the focused IR laser spot was translated about 70 μ m along the main channel.

[0076] As shown in FIG. 22, the bi-directional optical switch with laser spots, described in FIG. 6, gave good results for purity and recovery for the 50:50 mixes of target:non-target cells up to a throughput of 50 cells/s. However, at lower subpopulation concentrations (data not shown), it was not an efficient use of laser power to switch non-target cells, and coincidence errors increased at higher cell throughput rates. Additionally, small particulates that were not switched would contaminate the target reservoir.

[0077] FIG. 22 also shows the performance of the 1-sided switching methods, described in FIG. 9, with a stationary laser spot or a spot that is translated in the direction of flow, either parallel or at a slight angle to the flow. The sample core flow stream was biased to the waste outlet such that all the cells went to waste by default in the absence of the optical switch. Both of these methods gave improved performance, as shown by the plots. The fact that the performance of these two methods crosses, suggests that the triggering of the optical switch was not optimal, and suggests that the active triggering of the optical switch as described in FIGs. 10 and 11 will improve performance.

ENDNOTES

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The claims defining the invention are as follows:

1. A method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels, comprising the steps of:

5 receiving a cell in a fluidic medium at an inlet,
flowing the cell through a fluidic main channel,
subjecting the cell to a bias flow resulting in collection in a first reservoir,
identifying a cell to be sorted through application of a lateral force into a second
10 reservoir, and

15 applying a lateral force on the cell, the lateral force comprising a laser spot configured to be translated at a non-zero angle down the fluidic main channel toward the at least two outlet channels and characterized in that the lateral force is a non-trapping force and in that the lateral force is moved alongside the selected cell as it flows down the fluidic main channel, thereby increasing the total interaction time between the lateral force and the cell, whereby the cell
selectively exits into the outlet channels.

2. The method for cell sorting of claim 1 wherein the lateral force is an optical force.

20 3. The method for cell sorting of claim 2 wherein the optical force utilizes laser illumination.

4. The method for cell sorting of claim 3 wherein the laser illumination is moved linearly along the channel in proximity to the cell as it flows through the channel.

25 5. The method for cell sorting of claim 3 wherein the laser illumination is moved diagonally along the channel in proximity to the cell as it flows through the channel.

6. An integrated structure for microfluidic analysis and sorting comprising:
30 a cartridge, the cartridge comprising
an optical window region,
a plurality of reservoirs, including at least:
a sample reservoir,
a fluid reservoir,

a waste reservoir, and

a target collection reservoir,

a chip, the chip including at least:

5 a sample inlet channel, the cell inlet channel being fluidically coupled to the sample reservoir,

one or more fluid inlet channels, the fluid inlet channels being fluidically coupled to the fluid reservoir,

10 a detection region,

a branched sorting region, and

15 at least two outlet channels including at least a waste channel and a target channel, waste channel being fluidically coupled to the waste reservoir and the target channel being coupled to the target collection reservoir,

the chip being disposed adjacent the optical window, and

20 a lid, the lid including at least:

a pneumatic pressure port, the port having an inlet and being coupled to at least one of the sample reservoir and the fluid reservoir to separately pressurize one or more channels to preferentially bias flow through the branched sorting region resulting in collection in the waste reservoir in fluid communication with the waste channel,

a filter disposed between the inlet of the pneumatic pressure port and at least one of the sample reservoir and the fluid reservoir,

25 a detector adapted to detect cells of a given state and to generate a signal in response thereto, and

a switch coupled to the detector and actuatable in response to the signal.

7. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the sample reservoir is conical.

8. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the sample reservoir is tapered.

30 9. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the sample reservoir further includes a polypropylene insert.

10. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the lid includes separate pneumatic pressure ports for the sample reservoir and the fluid reservoir.
11. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the lid 5 includes a pneumatic pressure port for the target collection reservoir.
12. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the lid includes a pneumatic pressure port for the waste reservoir.
- 10 13. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the lid provides optical access to the reservoirs.
14. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the filter is gas permeable.
- 15 15. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the filter is fluid impermeable.
16. The integrated structure for microfluidic analysis and sorting of claim 14 wherein the 20 filter is fluid impermeable.
17. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the filter is a polypropylene filter.
- 25 18. The integrated structure for microfluidic analysis and sorting of claim 6 wherein a UV curable adhesive is disposed between the chip and the cartridge.
19. The integrated structure for microfluidic analysis and sorting of claim 6 further including a pressure sensitive adhesive bonding sheet between the chip and the cartridge.
- 30 20. The integrated structure for microfluidic analysis and sorting of claim 6 further including a gasket between the lid and cartridge.

21. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the waste reservoir contains non-target materials.
22. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the waste reservoir contains excess fluid.
23. The integrated structure for microfluidic analysis and sorting of claim 6 wherein the chip and cartridge comprise two separate structures.
- 10 24. A method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels, comprising the steps of:
 - receiving a cell in a fluidic medium at an inlet,
 - flowing the cell through a fluidic main channel,
- 15 subjecting the cell to a bias flow resulting in collection in a first reservoir in fluid communication with the first outlet channel, wherein the bias flow is created by separately applying pressure to one or more of the channels of the microfluidic network,
- identifying a cell to be sorted through application of a lateral force into a second reservoir, and
- 20 applying a lateral force on the cell, whereby the cell is moved transverse to the preferentially biased flow and selectively exits into the second outlet channel coupled to the second reservoir.
- 25 25. The method for cell sorting of claim 24, wherein subjecting the cell to a bias flow by applying asymmetric pressure comprises introducing a pressure differential across the at least two outlet channels to direct the cell toward the first outlet channel coupled to the first reservoir.
26. The method for cell sorting of claim 24, wherein subjecting the cell to a bias flow by applying asymmetric pressure comprises introducing a pressure differential across the at least two inlet channels to direct the cell toward the first outlet channel coupled to the first reservoir.
- 30 27. A method for cell sorting in a device comprising a microfluidic network having an inlet, one or more inlet channels, a fluidically coupled main channel, and at least two fluidically coupled outlet channels, comprising the steps of:

receiving a cell in a fluidic medium at an inlet,
flowing the cell through a fluidic main channel,
subjecting the cell to a bias flow preferentially resulting in collection in a first reservoir
in fluid communication with the first outlet channel, wherein the bias flow is created by
5 configuring one or more of the channels of the microfluidic network to have asymmetric
geometries,

identifying a cell to be sorted through application of a lateral force into a second
reservoir, and

10 applying a lateral force on the cell, whereby the cell is moved transverse to the
preferentially biased flow and selectively exits into the second outlet channel coupled to the
second reservoir.

28. The method for cell sorting of claim 27, wherein the bias flow is created by configuring
the at least two outlet channels to have asymmetric geometries.

15 29. The method for cell sorting of claim 27, wherein the bias flow is created by configuring
the at least two inlet channels to have asymmetric geometries.

20

DATED this Eighteenth Day of November, 2010

Celula, Inc.

Patent Attorneys for the Applicant

SPRUSON & FERGUSON

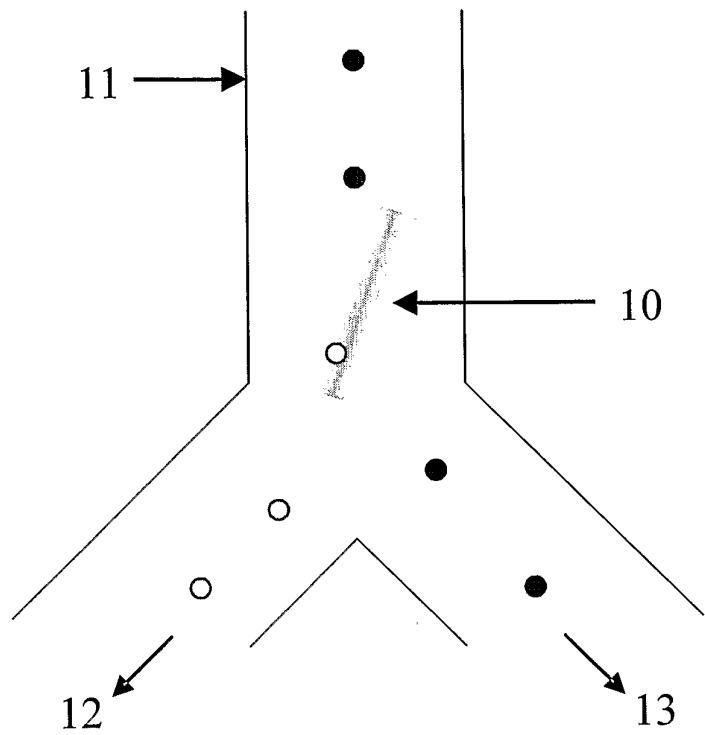


FIG. 1

Sheath flow: 50/50 splitting of cells

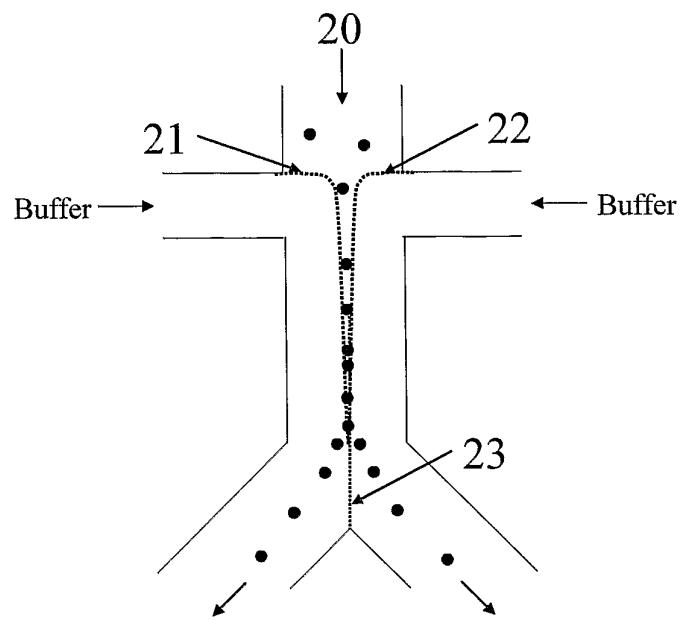


FIG. 2

a) Skewed particle flow via differential sheath flow rate
Fluorescence-Negative/Optical Switch not triggered

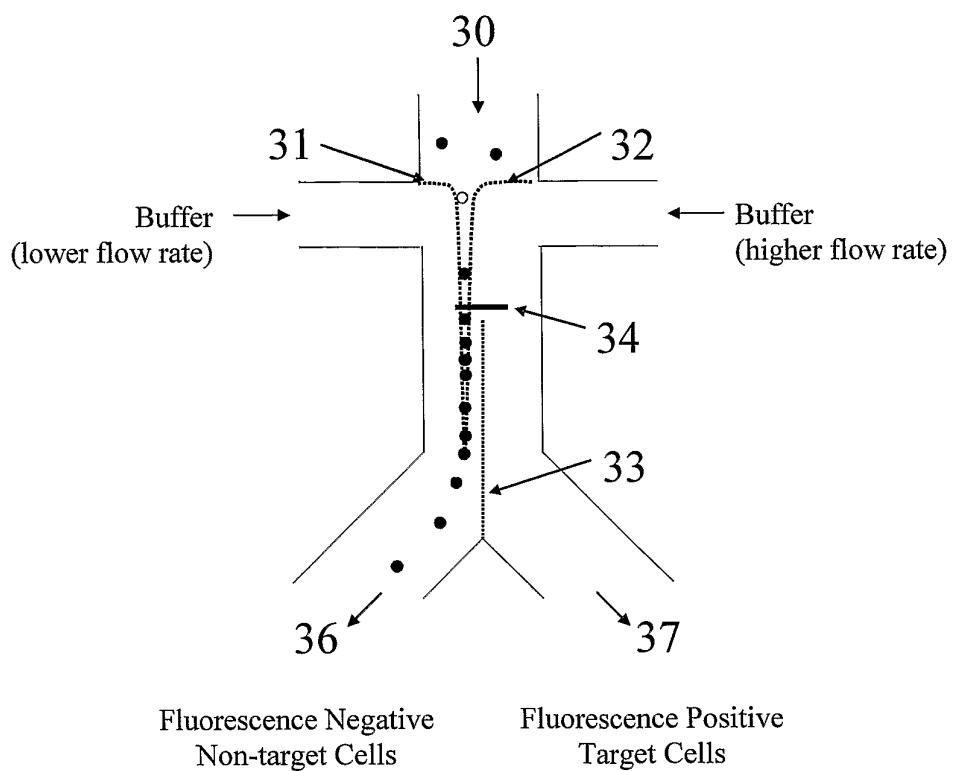


FIG. 3a

b) Skewed particle flow via differential sheath flow rate
Fluorescence-Positive/Optical Switch triggered

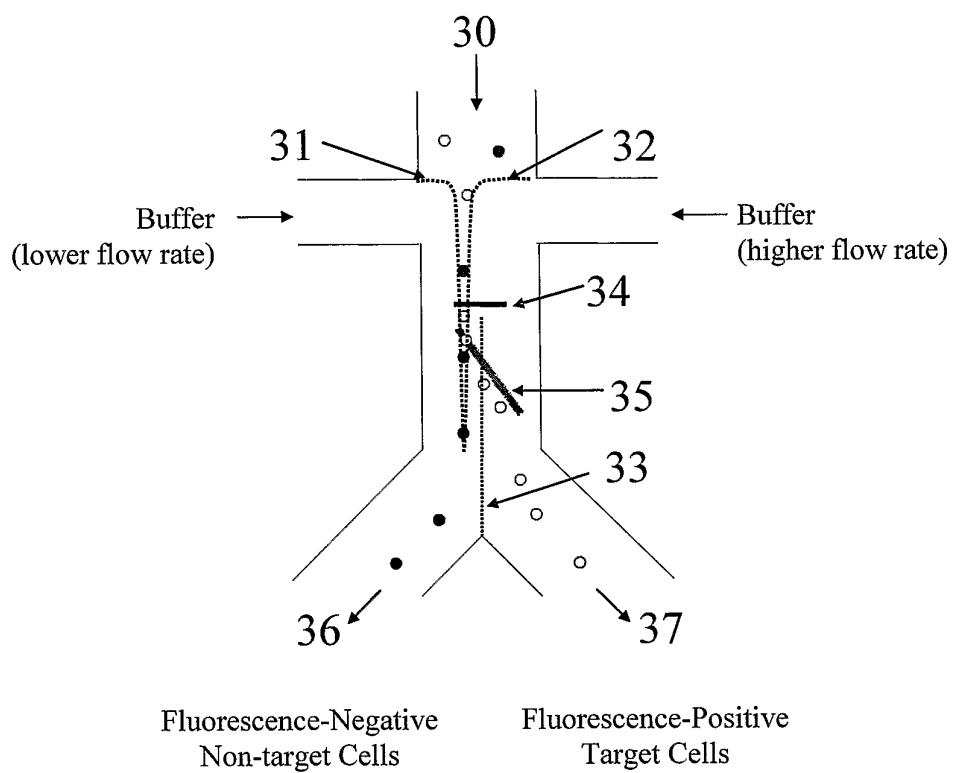


FIG. 3b

a) Skewed particle flow via differential channel width
Fluorescence-Negative/Optical Switch not triggered

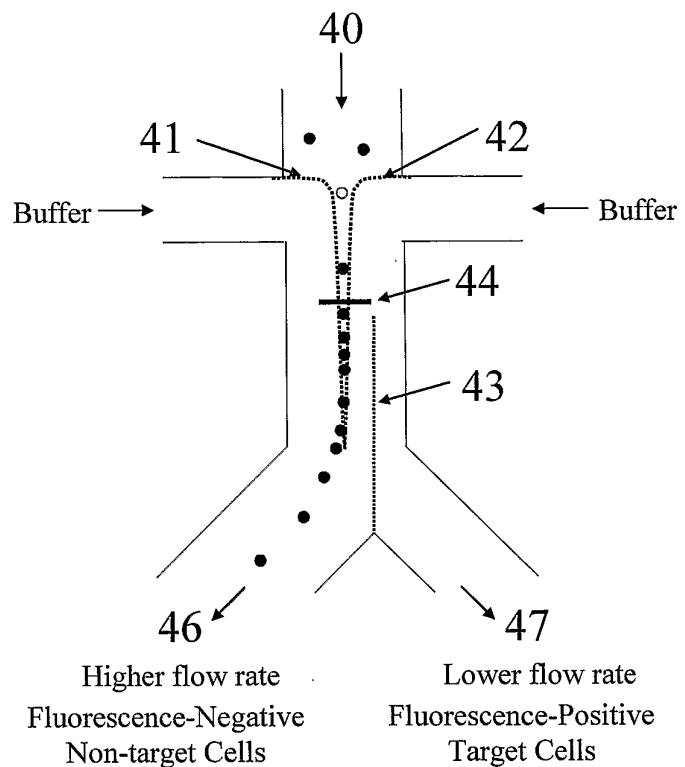


FIG. 4a

b) Skewed particle flow via differential channel width
Fluorescence Positive/Optical Switch triggered

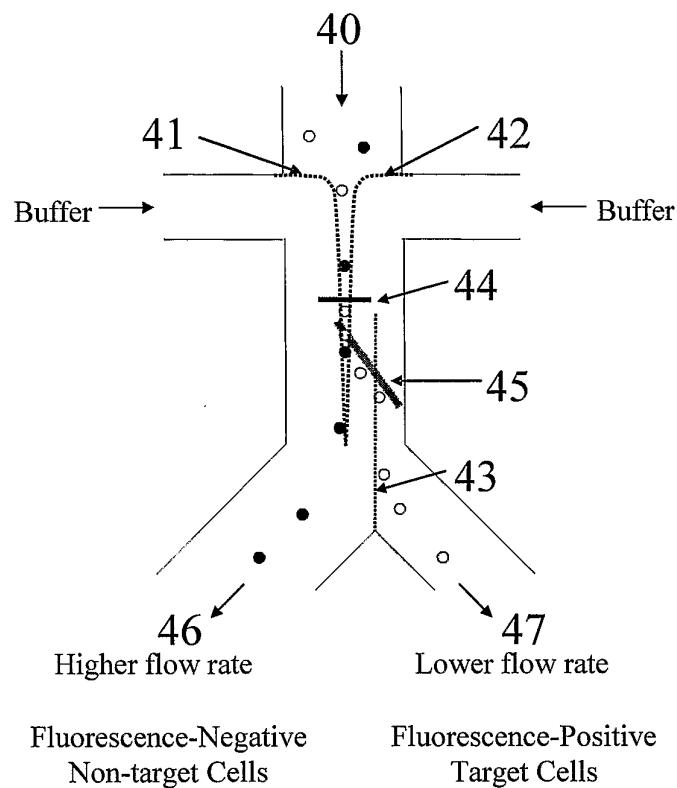


FIG. 4b

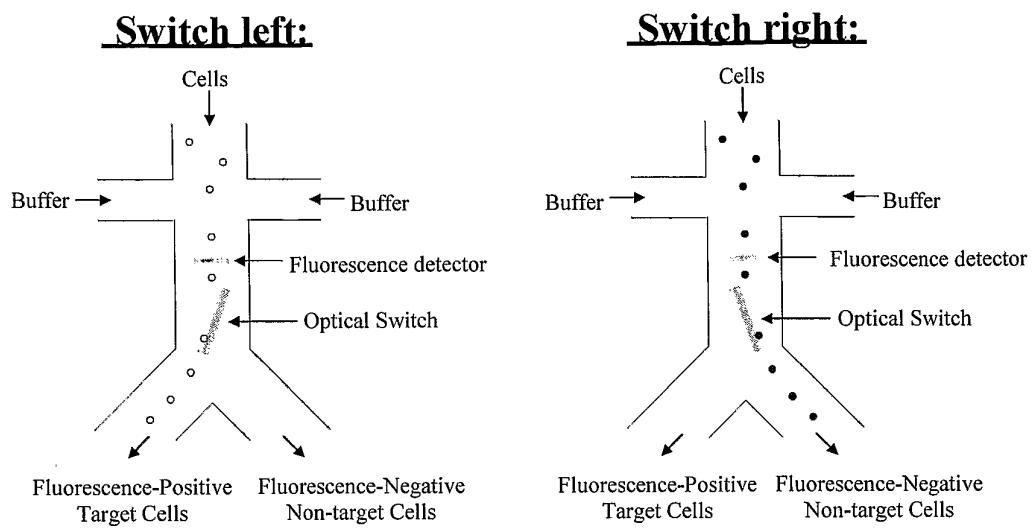


FIG. 5

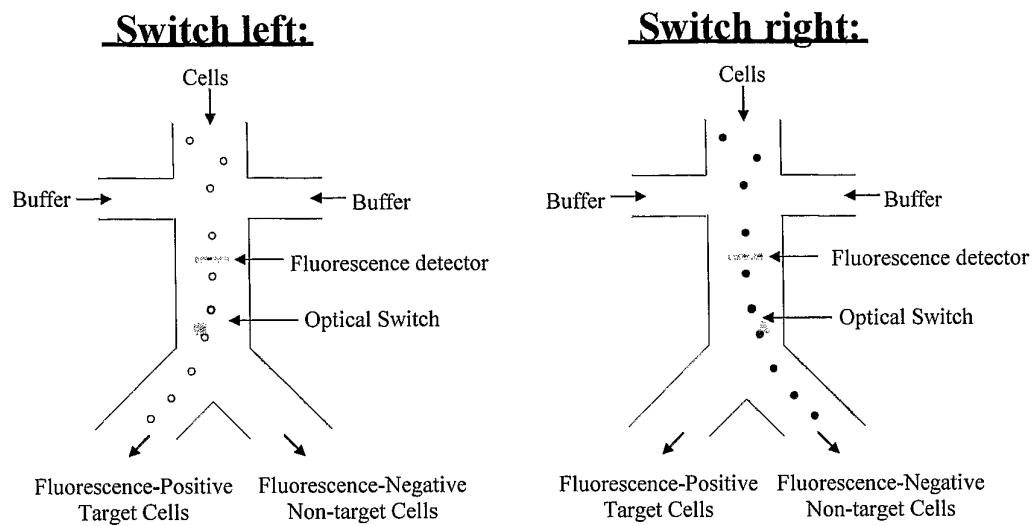


FIG.6

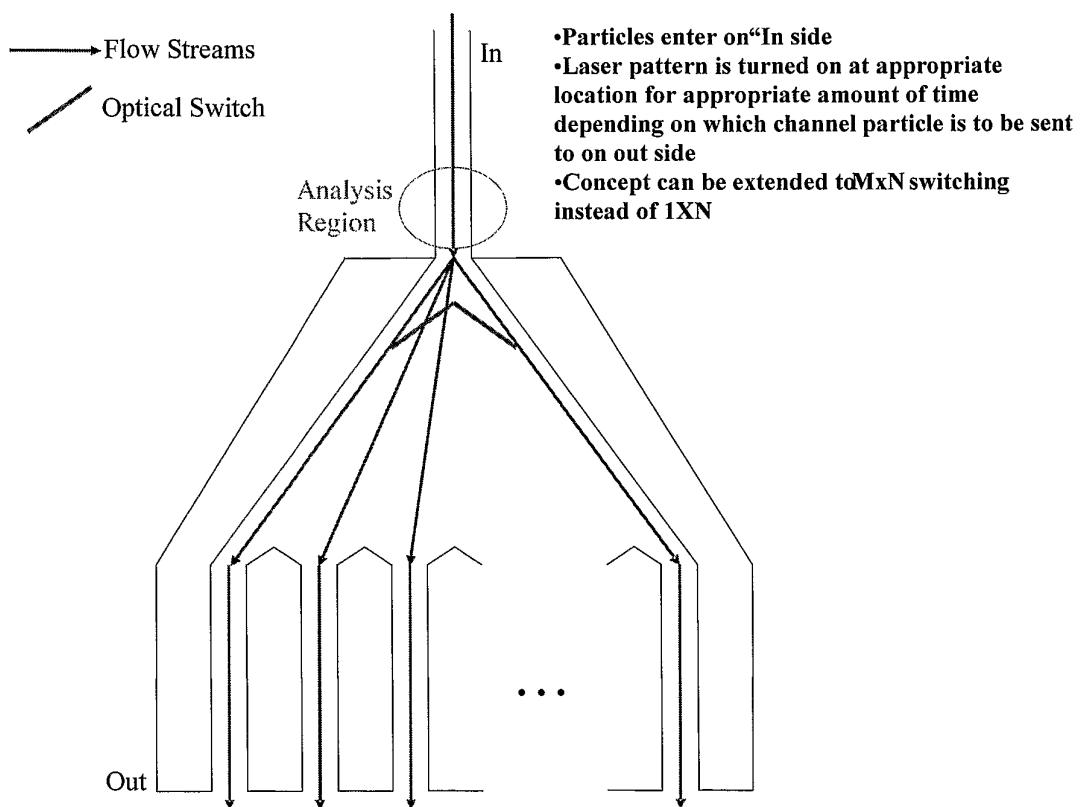


FIG. 7a

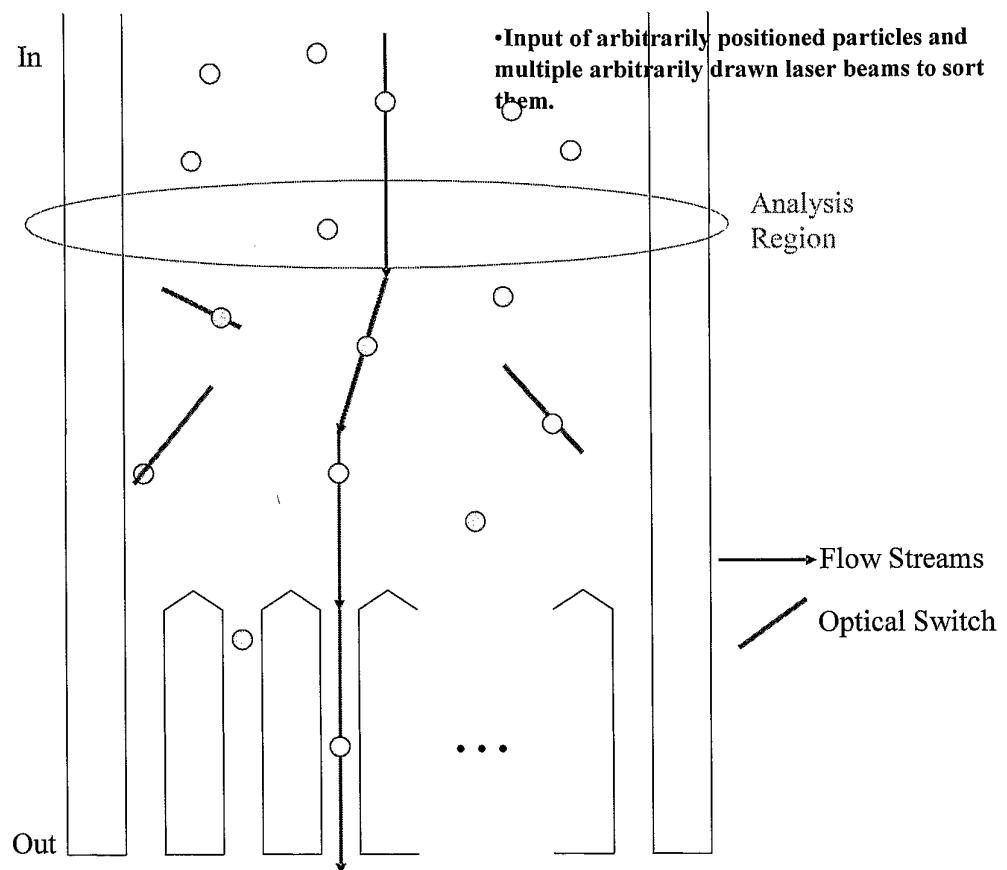


FIG. 7b

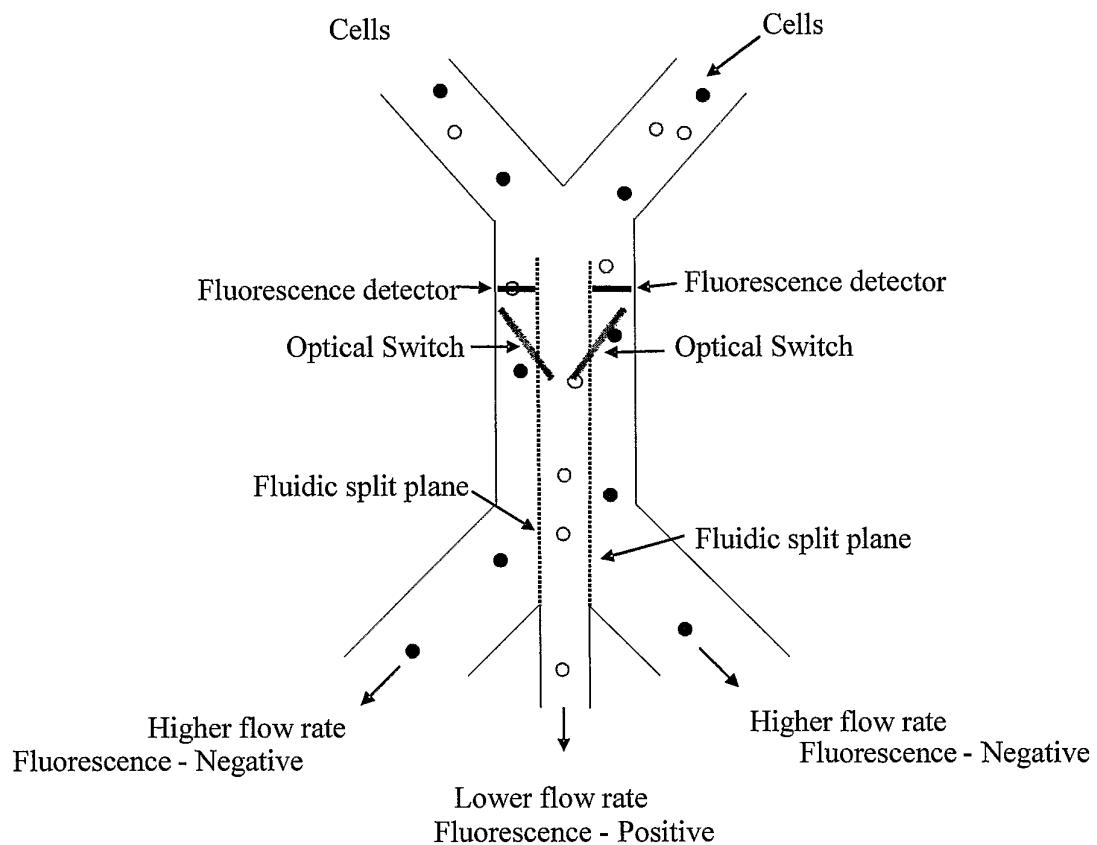
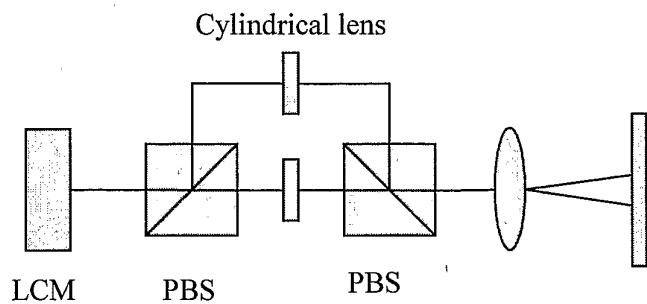
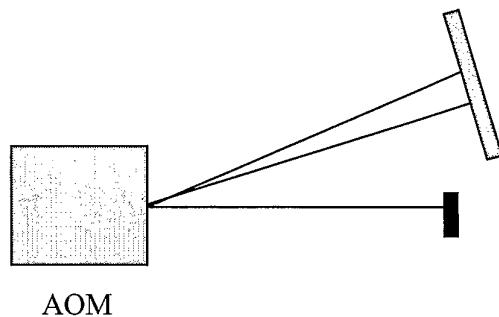


FIG. 7c

A.)



B.)



C.)

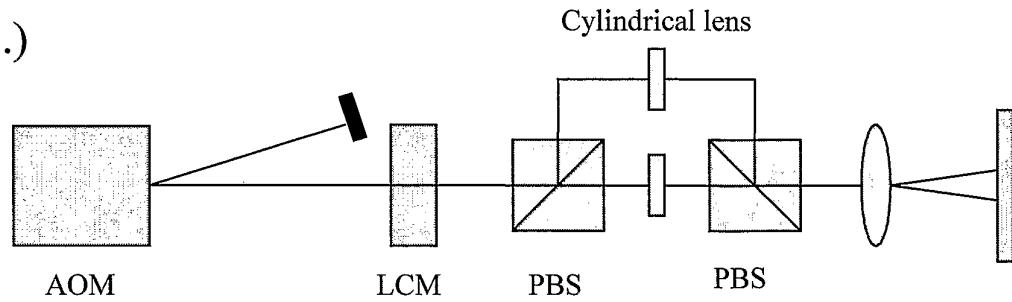


FIG. 8

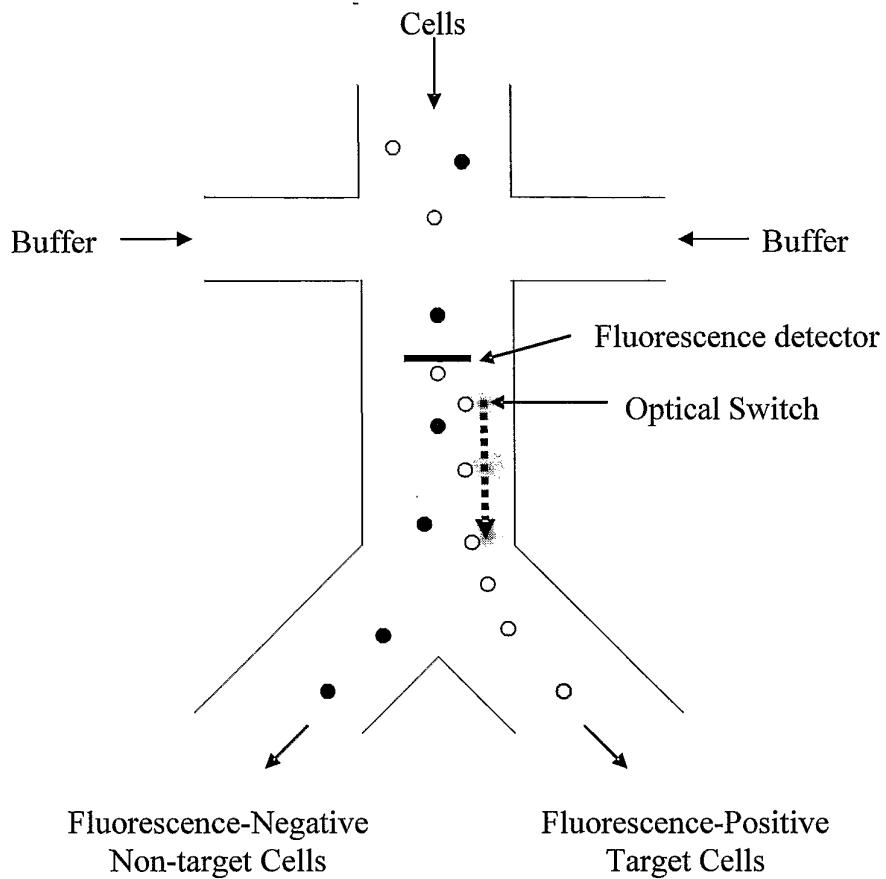
a) Fluorescence Positive/Optical Switch triggered

FIG. 9a

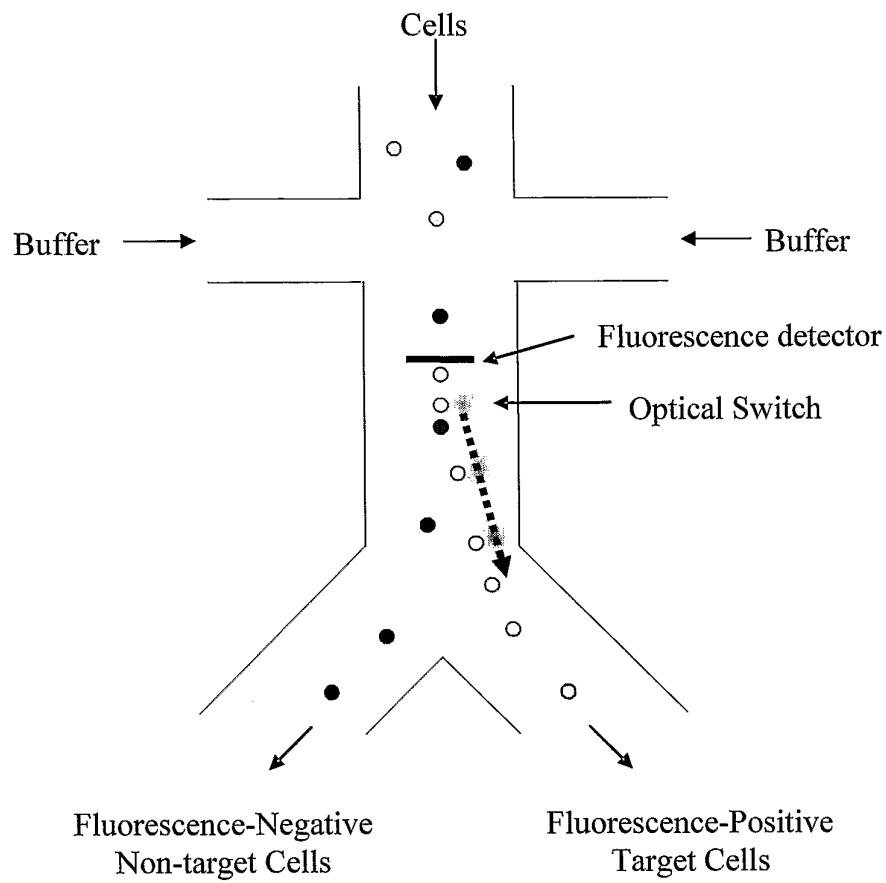
b) Fluorescence Positive/Optical Switch Triggered

FIG. 9b

Method for timing and triggering optical switch laser

- Single laser and separate detectors used to detect particle and trigger switch laser

a) **Detector Arrangement:**
Sort parameter negative/Optical Switch not triggered

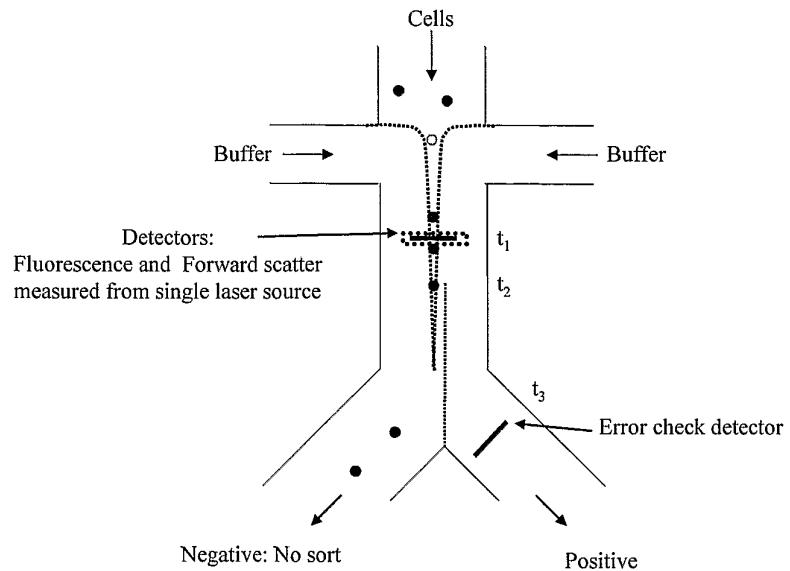


FIG. 10a

Method for timing and triggering optical switch laser

- Single laser and separate detectors used to detect particle and trigger switch laser

b) Timing/trigger diagram :
Sort parameter negative/Optical Switch not triggered

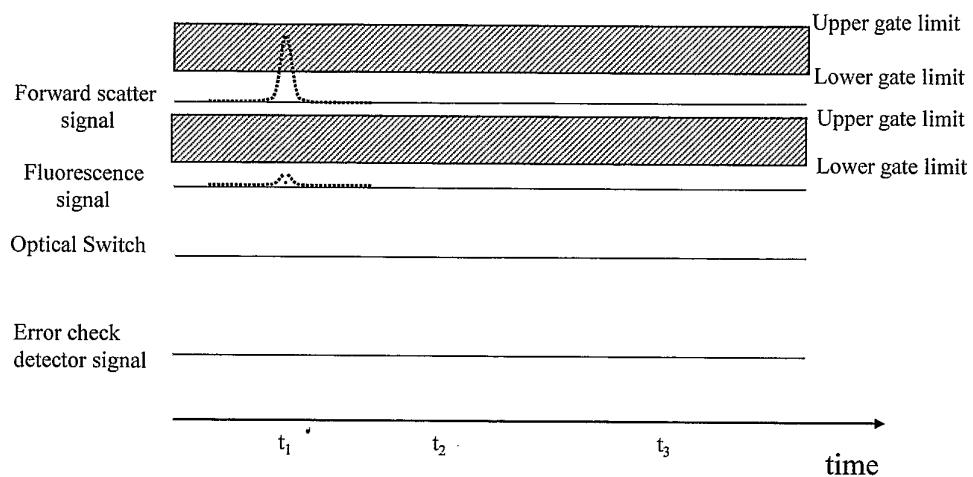


FIG. 10b

Method for timing and triggering optical switch laser

- Single laser and separate detectors used to detect particle and trigger switch laser

c) Detector Arrangement:
Sort parameter positive/Optical Switch triggered

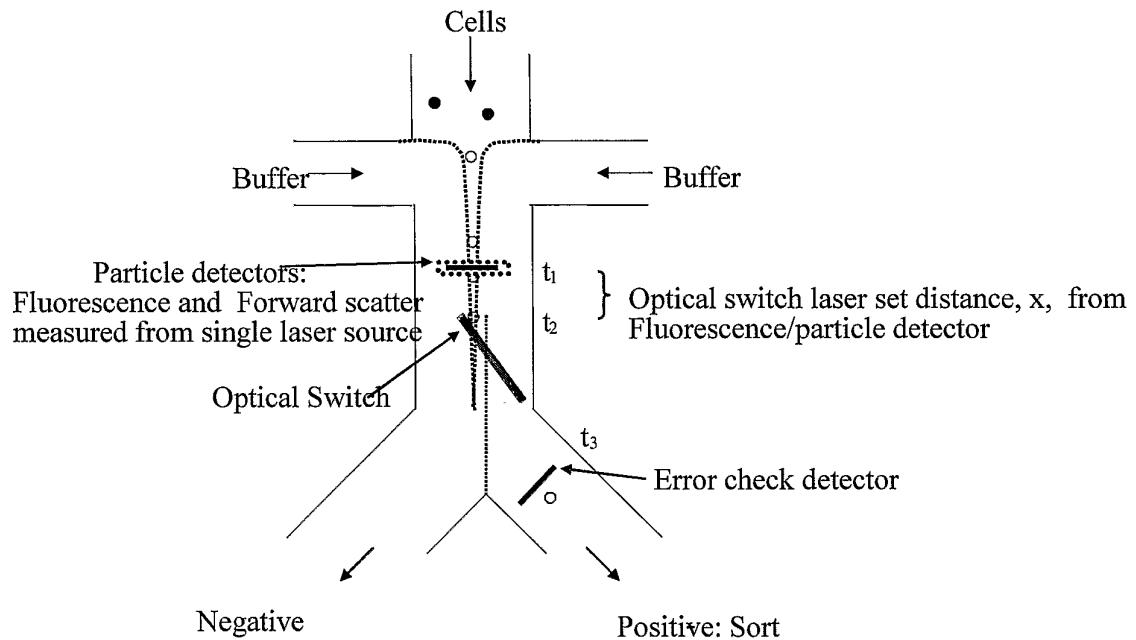


FIG. 10c

Method for timing and triggering optical switch laser

- Single laser and separate detectors used to detect particle and trigger switch laser

d)

Timing/trigger diagram :

Sort parameter positive/Optical Switch triggered (t_2 is a pre-set delay)

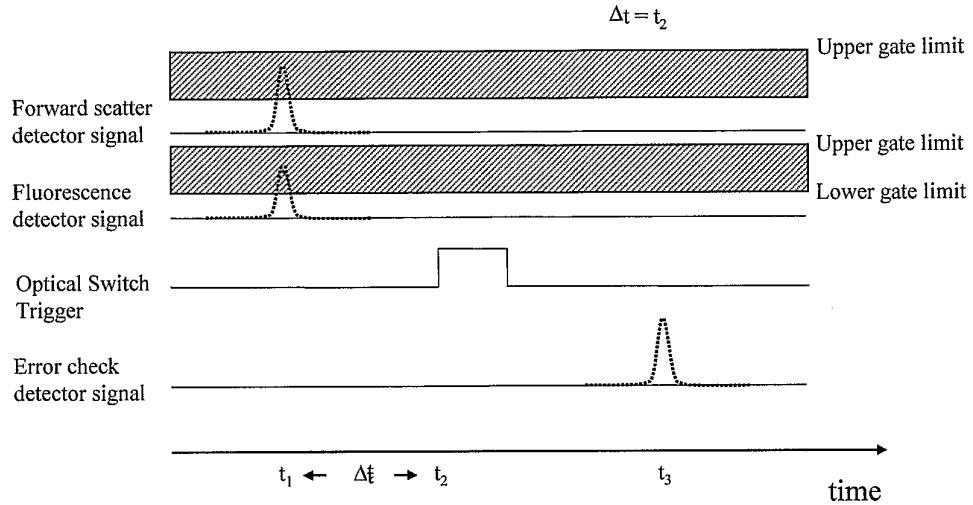


FIG. 10d

Method for timing and triggering optical switch laser

- Separate lasers and detectors used to detect particle, determine particle flow rate and trigger switch laser

a) **Detector Arrangement:**
Sort parameter negative/Optical Switch not triggered

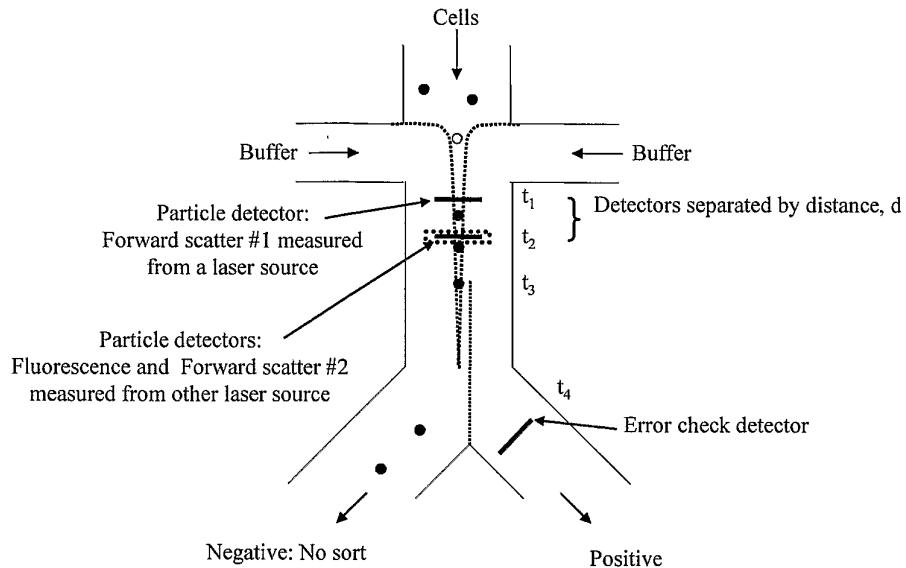


FIG. 11a

Method for timing and triggering optical switch laser

- Separate lasers and detectors used to detect particle, determine particle flow rate and trigger switch laser

b) Timing/trigger diagram :
 Sort parameter negative/Optical Switch not triggered $\Delta t = t_2 - t_1$
 $v = d/\Delta t$

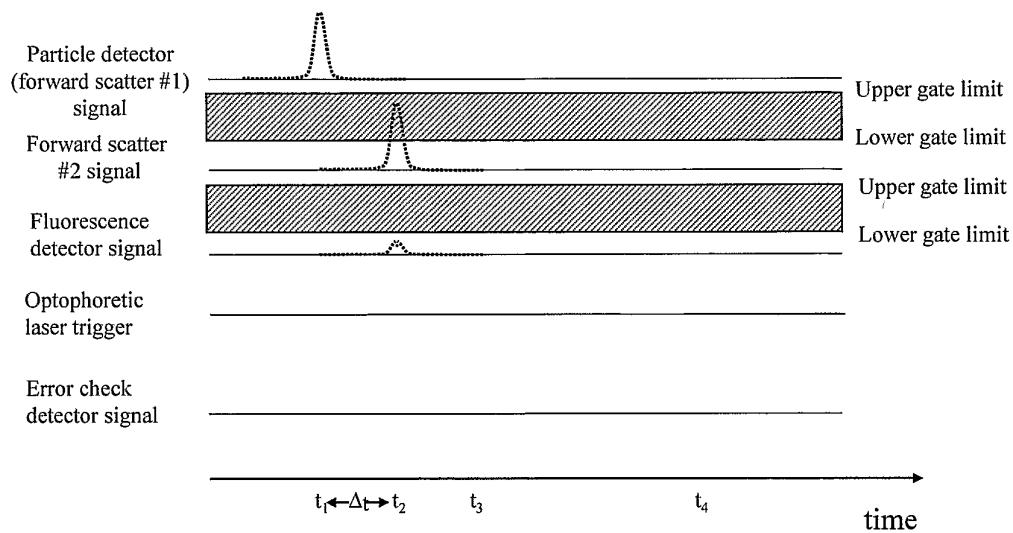


FIG. 11b

Method for timing and triggering optical switch laser

- Separate lasers and detectors used to detect particle, determine particle flow rate and trigger switch laser

c) **Detector Arrangement:**
Sort parameter positive/Optical Switch triggered

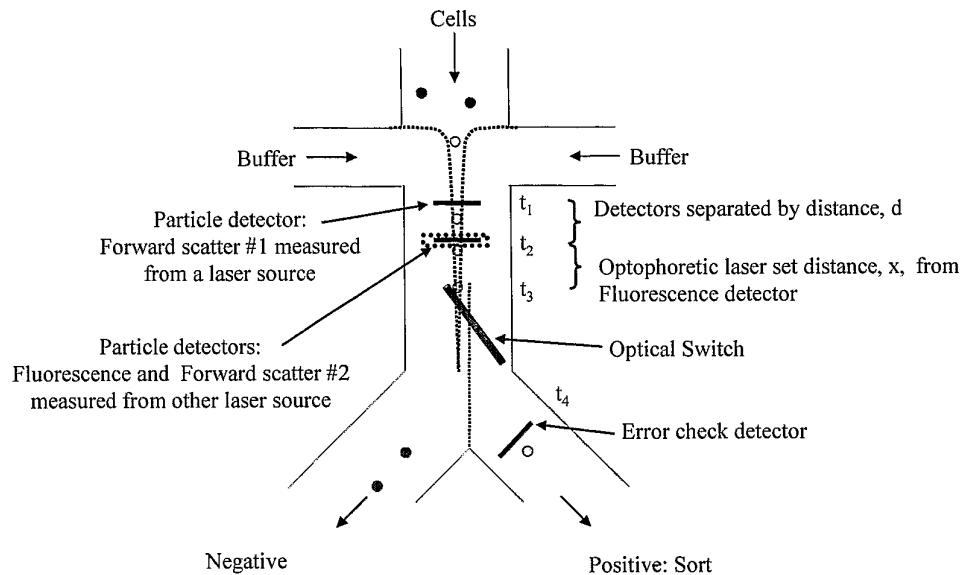


FIG. 11c

Method for timing and triggering optical switch laser

- Separate lasers and detectors used to detect particle, determine particle flow rate and trigger switch laser

d) Timing/trigger diagram :

Sort parameter positive/Optical Switch triggered

$$\Delta t = t_2 - t_1$$

$$v = d/\Delta t$$

$$t_3 = x/v$$

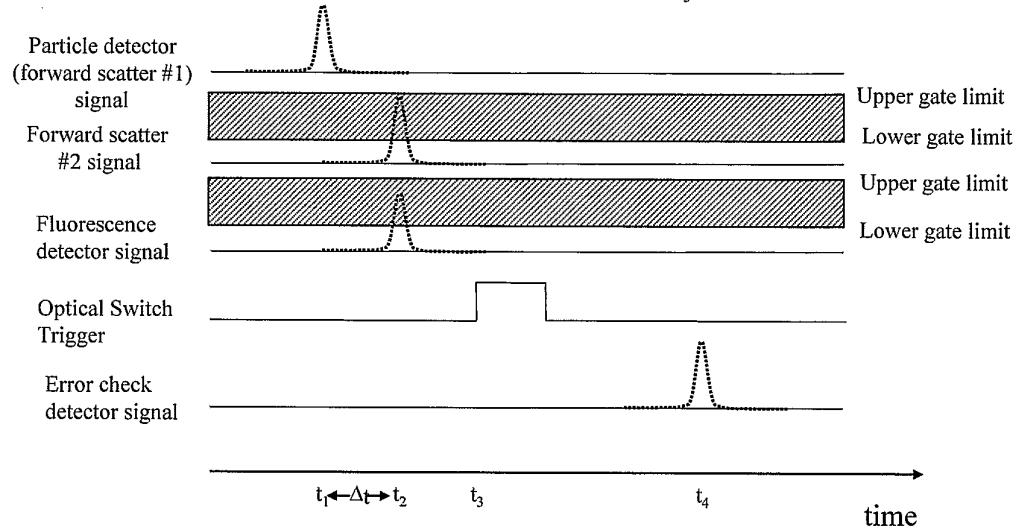


FIG. 11d

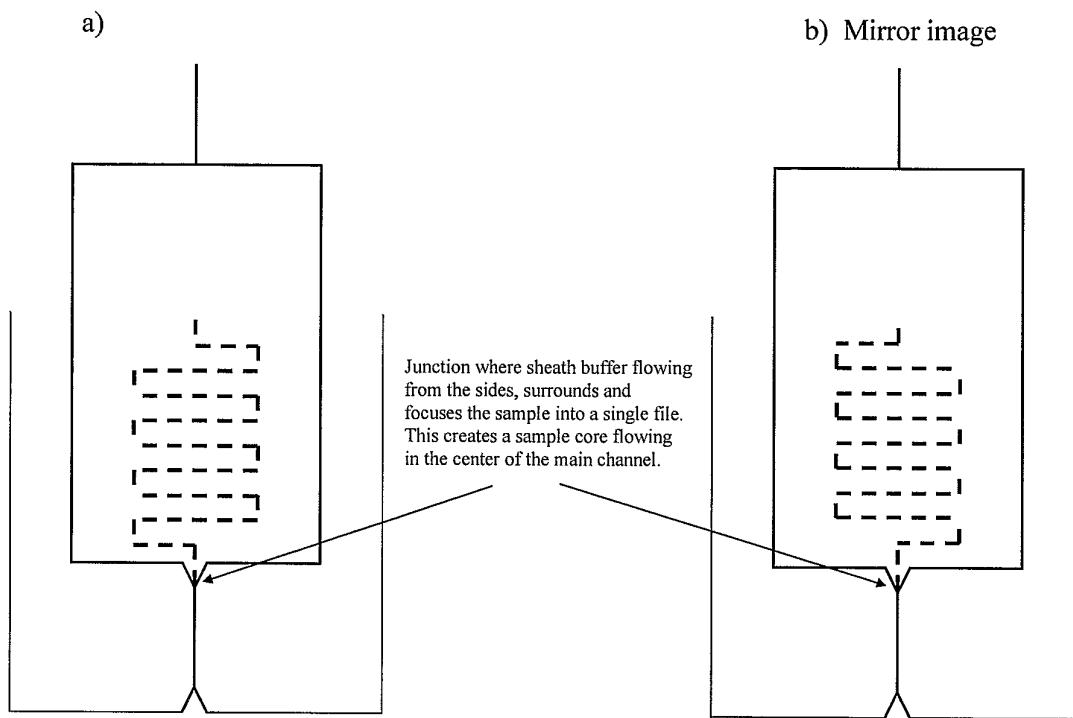
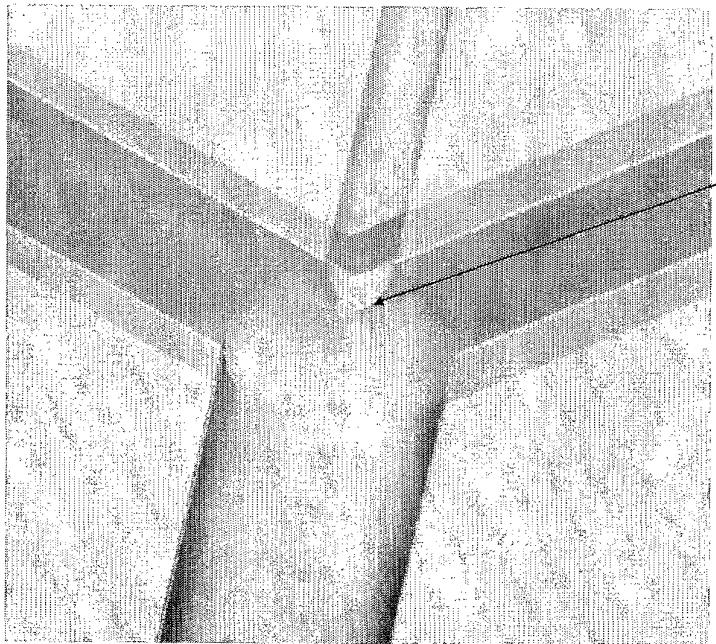


FIG. 12



Junction where sheath buffer flowing from the sides, surrounds and focuses the sample into a single file. This creates a sample core flowing in the center of the main channel.

FIG. 13

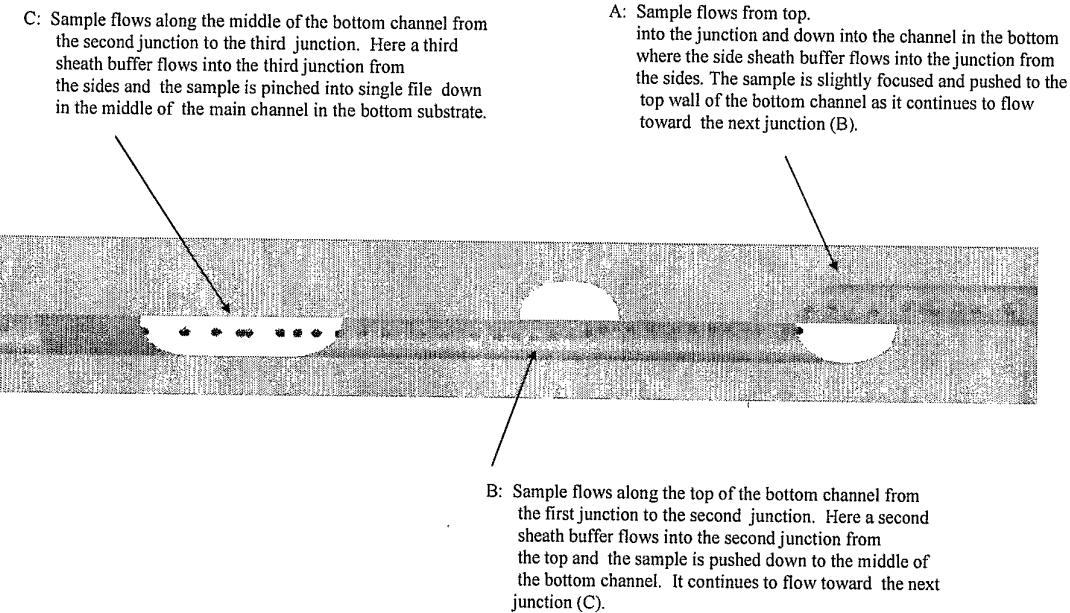


FIG. 14

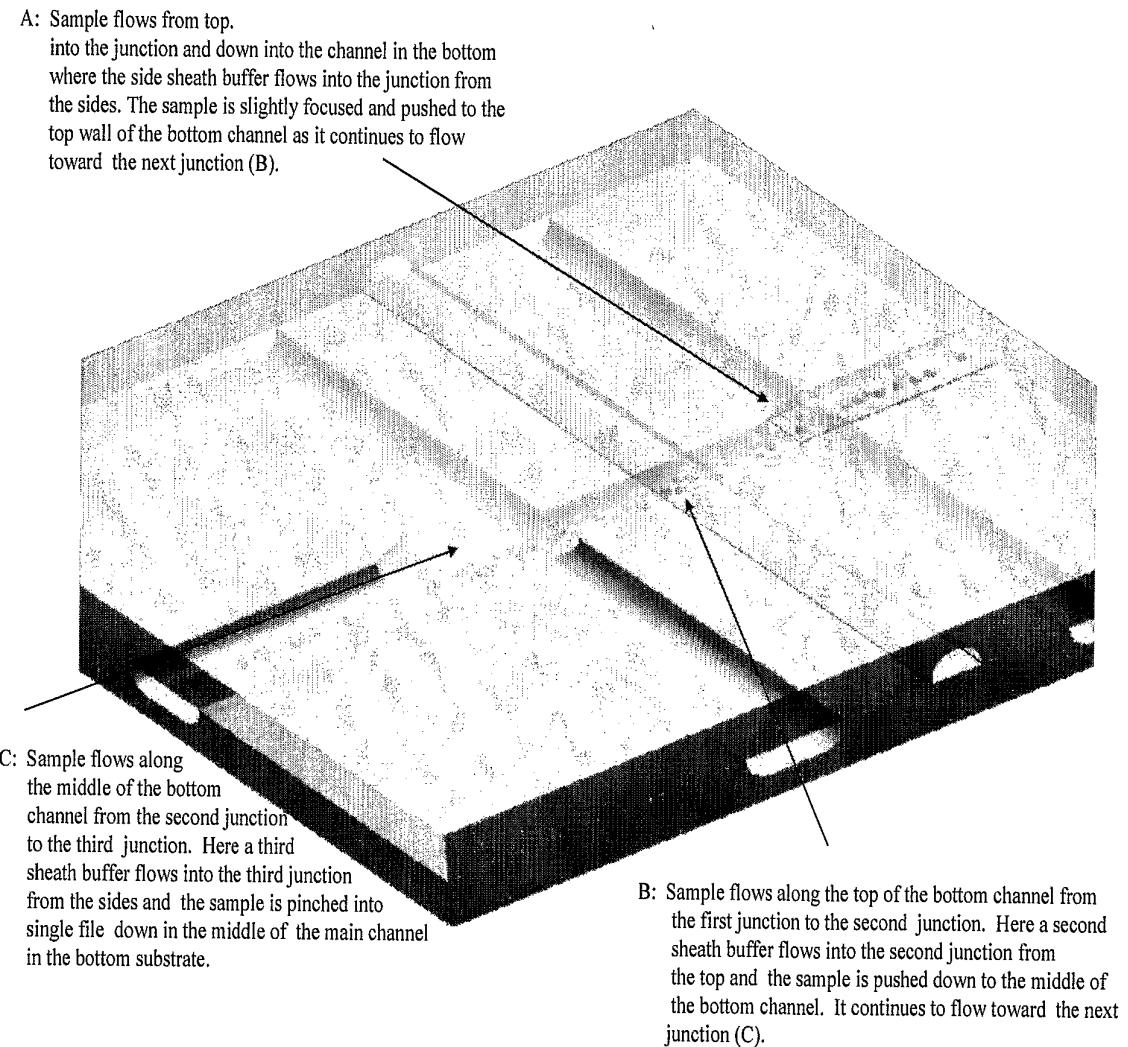


FIG. 15

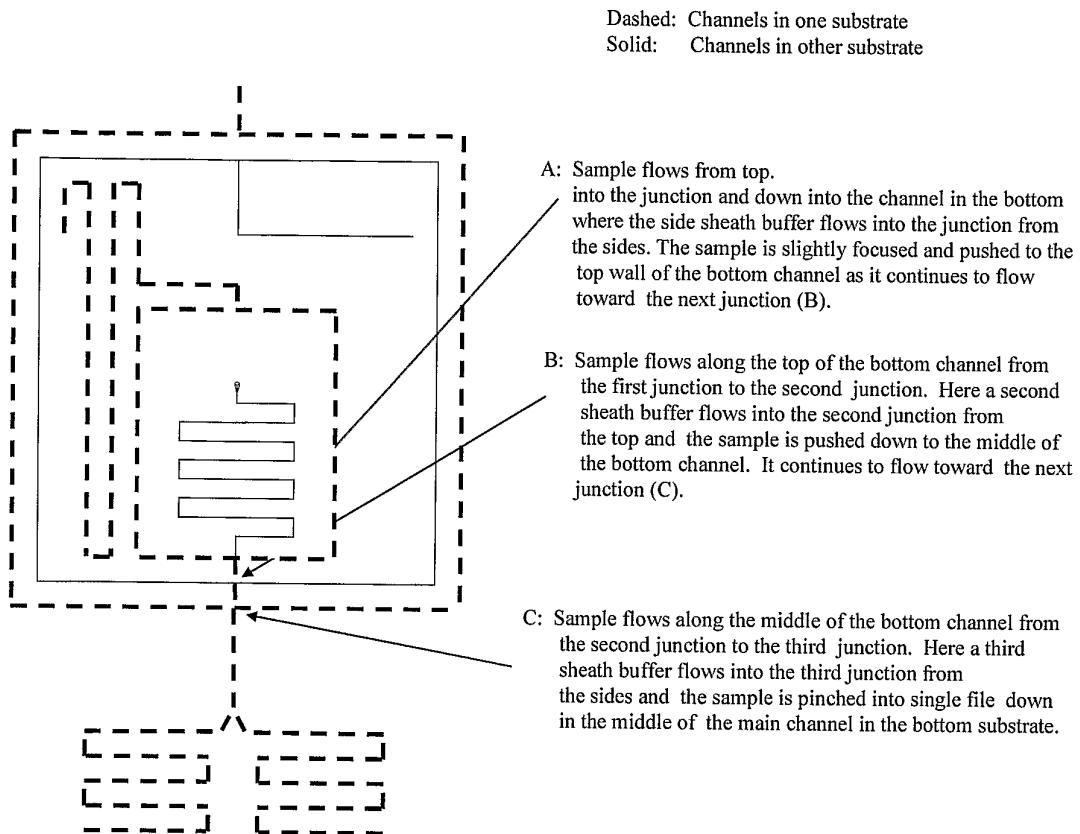
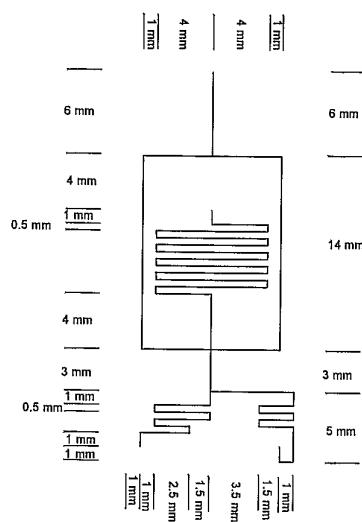


FIG. 16

Focus Chip B:
7x pinch
2-way output (side)

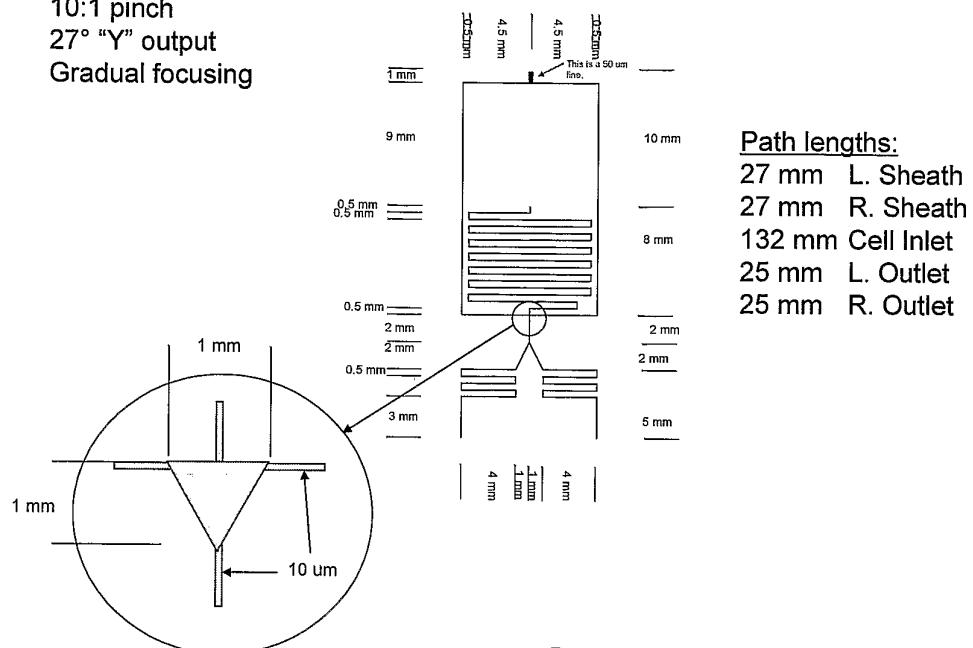


Drawing is on a 0.5 mm grid.
All lines are 10 μ m wide.

FIG. 17

Focus Chip G:

10:1 pinch
27° "Y" output
Gradual focusing

Path lengths:

27 mm L. Sheath
27 mm R. Sheath
132 mm Cell Inlet
25 mm L. Outlet
25 mm R. Outlet

Drawing is on a 0.5 mm grid.
All lines are 10 μm wide unless indicated.

Fig. 18

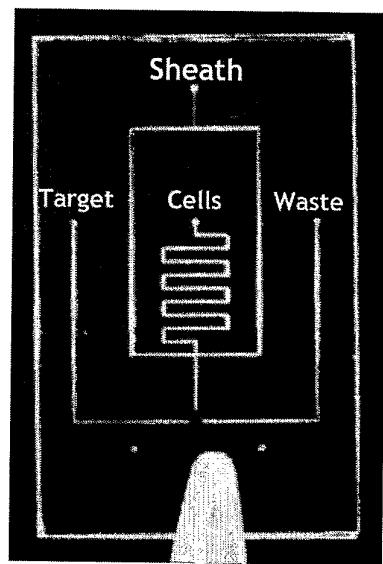


FIG. 19

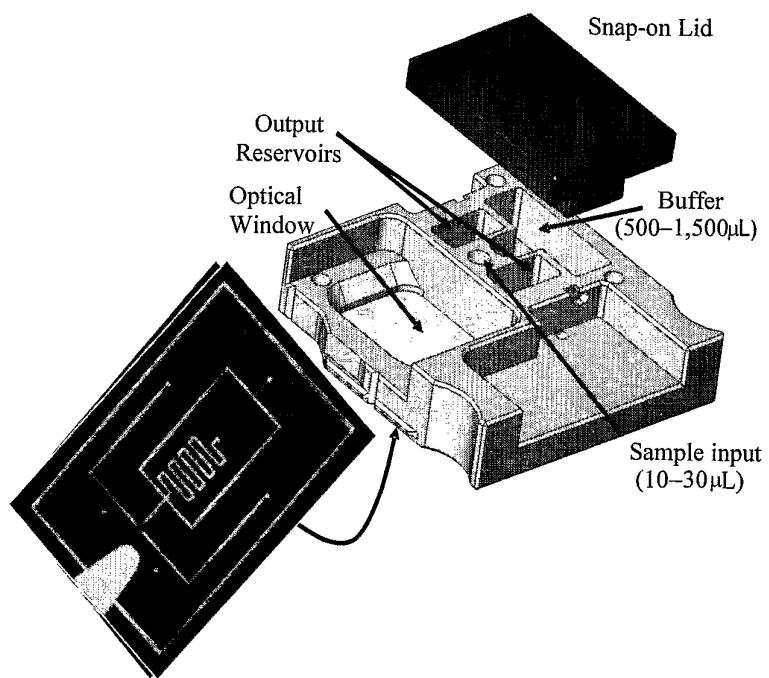


FIG. 20

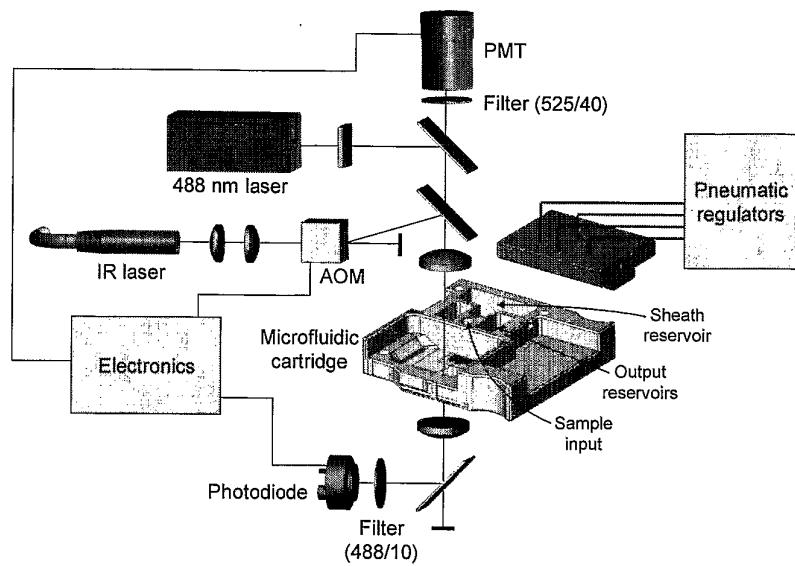


FIG. 21

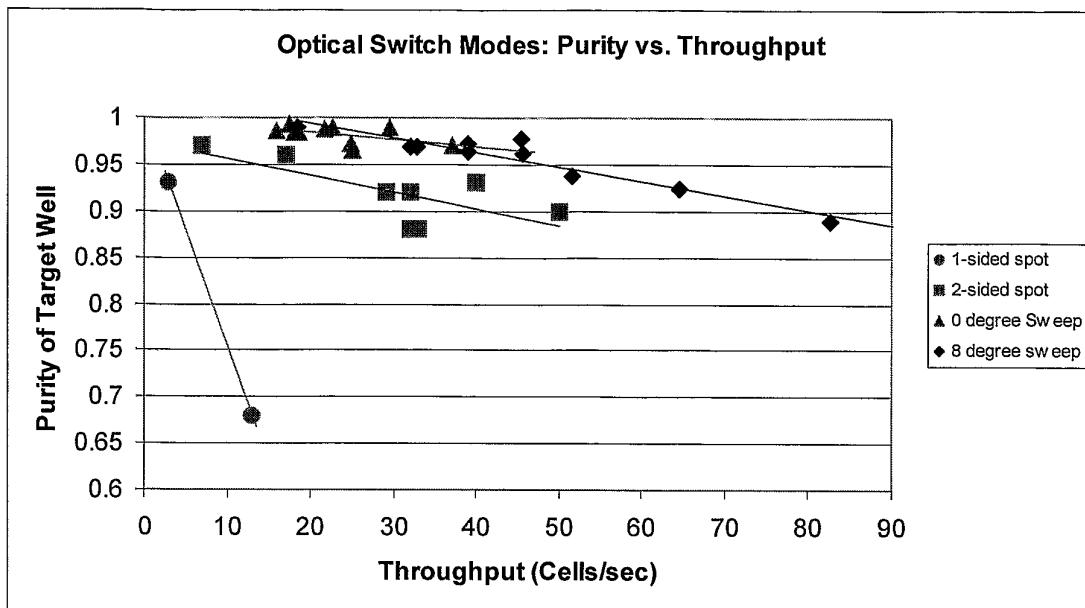


FIG. 22