

(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE

(11) Application No. AU 2008312041 B2

(54) Title
Canister filter system with drain that cooperates with filter element

(51) International Patent Classification(s)
B01D 35/16 (2006.01) **B01D 29/96** (2006.01)
B01D 29/15 (2006.01)

(21) Application No: **2008312041** (22) Date of Filing: **2008.09.19**

(87) WIPO No: **WO09/051636**

(30) Priority Data

(31) Number (32) Date (33) Country
11/873,489 **2007.10.17** **US**

(43) Publication Date: **2009.04.23**
(44) Accepted Journal Date: **2013.05.30**

(71) Applicant(s)
Caterpillar Inc.

(72) Inventor(s)
Allott, Mark T.;Ofori-Amoah, David

(74) Agent / Attorney
Freehills Patent Attorneys, Level 43 101 Collins Street, Melbourne, VIC, 3000

(56) Related Art
JP 9173716 A (DENSO CORP) 8 July 1997
US 2006/0118476 (WEINDORF et. al.) 8 June 2006
US 5366400 A (KUCIK MICHAEL) 22 November 1994
WO2008/157597 A (CUMMINS FILTRATION IP, INC) 24 December 2008

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
23 April 2009 (23.04.2009)

PCT

(10) International Publication Number
WO 2009/051636 A1

(51) International Patent Classification:
B01D 35/16 (2006.01) *B01D 29/96* (2006.01)
B01D 29/15 (2006.01)

(74) Agents: CHEEK, John, J. et al.; 100 N.E. Adams Street, Peoria, IL 61629-9510 (US).

(21) International Application Number:
PCT/US2008/010982

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date:
19 September 2008 (19.09.2008)

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(25) Filing Language: English

Published:

(26) Publication Language: English

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(30) Priority Data:
11/873,489 17 October 2007 (17.10.2007) US

(71) Applicant (for all designated States except US): CATER-PILLAR INC. [US/US]; 100 N.E. Adams Street, Peoria, IL 61629-9510 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): OFORI-AMOAH, David [US/US]; 4100 N. Marine Drive, Apt. 15k, Chicago, IL 60613 (US). ALLOTT, Mark, T. [US/US]; 7021 S. Gerdes Road, Mapleton, IL 61547 (US).

(54) Title: CANISTER FILTER SYSTEM WITH DRAIN THAT COOPERATES WITH FILTER ELEMENT

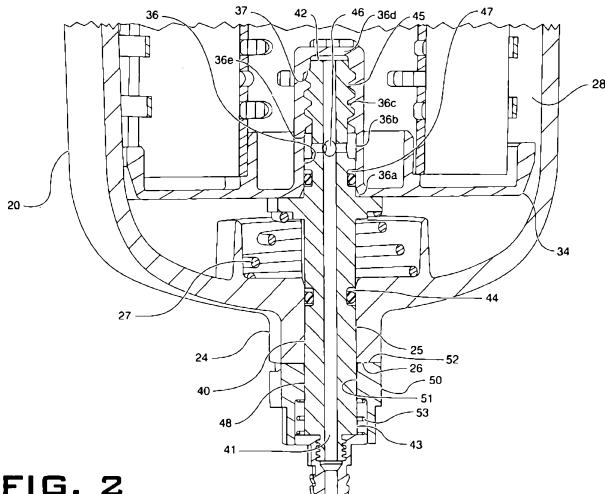


FIG. 2

(57) **Abstract:** A canister filter system (1) includes a base (10), a canister (20) attachable to the base (10), and positioned inside the canister (20) a filter element (30) having filter media (31). A drain (40) engages and forms a seal with the filter element (30) in a closed position. In an open position, the drain (40) allows fluid to be removed from the canister (20). Because the drain (40) is engaged to and forms a seal with the filter element (30) in the closed position, the drain (40) cannot be closed unless a filter element (30) is present inside the canister (20). This prevents accidental or intentional use of the filter system (1) without a filter element (30) in place.

WO 2009/051636 A1

DescriptionCANISTER FILTER SYSTEM WITH DRAIN THAT COOPERATES WITH FILTER ELEMENT5 Technical Field

The field of this disclosure is filter systems. More specifically, the field is canister filter systems for liquids, such as lube oil or liquid fuels, which have drains for draining liquid out of the canister.

Background

10 Canister filter systems are used extensively today on equipment such as internal combustion engines, construction and mining machinery, and many other types of industrial machinery. They are used to filter contaminants from fluids in fuel systems, lubrication oil systems, hydraulic fluid power systems, hydraulic fluid control systems, transmission fluid systems, engine air
15 intake systems, and the like.

A canister filter system typically includes a base which is often attached to the equipment, a canister (also sometimes called a housing, cup, can, or cover), and a filter element which is removably positioned inside the canister. After the filter element is positioned inside the canister, the canister is attached to
20 the base with threads or other attachment means to form a sealed compartment around the filter element. The canister, base, and filter element cooperate to define fluid pathways through which fluid is directed through the filter element. The filter element contains filter media which traps and collects contaminants as the fluid passes through it. The trapped contaminants may include dirt, water, soot, ash, metallic particles, and other harmful debris.

25 Eventually these contaminants clog the filter media and reduce its effectiveness. Or other conditions can develop over time which also reduce the

effectiveness of the filter media in removing contaminants. When this occurs, the filter element should be replaced (or possibly cleaned, but this is impractical for most applications). But only the filter element needs to be replaced, while the canister, base, and other components are reused. The filter element is designed to

5 be conveniently replaced and readily disposed. The filter element can be replaced on demand, i.e. when the filter becomes clogged and requires replacement, or periodically, according to the guidance of a periodic maintenance schedule established for the particular application.

Canister filter systems can have many advantages over other filter

10 systems such as spin-on filters. For instance, canister filter systems can be relatively inexpensively provided with a drain. To avoid spills, a technician may wish to remove the fluid from the canister in a controlled and contained manner before detaching the canister to replace the filter element. The drain facilitates the removal of fluid that is inside the canister. In some circumstances the fluid

15 can spill if it is not first removed from the canister before detaching the canister from the base. The drain is typically integrated into the canister. Because in a canister filter system the drain generally increases just the cost of the canister, which is reused and purchased only once, and generally does not increase the cost of the filter element, which is frequently replaced and purchased many times, the

20 additional cost of including a drain does not significantly increase the total operating cost to the equipment owner.

U.S. Patent No. 6,814,243, granted 9 November 2004, ("the '243 patent") is an example of prior art canister filter systems incorporating a drain in the canister. FIG. 1 of the '243 patent illustrates a canister 14 with an integrated

25 drain (the drain is not labeled with a reference character, but is shown incorporated into the bottom of canister 14 in FIG. 1, and is shown in a closed position).

The '243 patent is also an example of another, increasingly important feature of canister filter systems. The arrangement of the filter system

described in the '243 patent makes it impossible to install the canister to the base, without first having a filter element properly installed in the canister. This prevents, for example, accidentally or intentionally running the machinery without the filter element in place. As components such as fuel pumps, fuel
5 injectors, hydraulic pumps, valves, bearings, engines, etc., become more expensive, more high tech, and are made with tighter tolerances and specifications, it is increasingly important to protect these components against contamination. Contamination may cause premature wear and even failure, and the problem is compounded when the component has tight tolerances between
10 parts or is very expensive. Thus, it may be very advantageous in some applications to ensure that a technician does not accidentally or intentionally try to run machinery without an appropriate filter element in place.

However, while the filter system of the '243 patent performs well in some applications, it may suffer from several disadvantages, or is otherwise
15 not well suited for other applications. For instance, the filter system of the '243 patent may not be well suited for applications where the fluid in the canister is at a high pressure. Because the connection of the canister to the base is through the filter element, the force of high pressure in the canister is reacted through the filter element, which may not be strong enough for the pressures of some
20 applications. Additionally, O-ring between the canister and base is not intended to hold high pressure inside of the canister.

The presence of threads in the filter element's center tube can be a disadvantage in some circumstances. The threads in the center tube, which are used to connect the filter element and canister to the base, are located in the clean
25 fluid pathway out of the system. Threads in the clean fluid pathway may contribute to contamination.

In addition, the canister of the system described in the '243 patent can be relatively complicated and expensive to manufacture for some

2008312041 30 Apr 2013

-4-

applications. The connection structure incorporated into the bottom of the canister may add too much cost for some applications.

Because of these drawbacks, another canister filter design is needed which still prevents accidentally or intentionally using the filter system without a filter element installed, 5 but is also relieved of some or all of the disadvantages exhibited by the '243 patent.

Reference to any prior art in the specification is not, and should not be taken as, an acknowledgment, or any form of suggestion, that this prior art forms part of the common general knowledge in Australia or any other jurisdiction or that this prior art could reasonably be expected to be ascertained, understood and regarded as relevant by a person skilled in the art.

10 Summary

According to a first aspect, there is provided a filter element comprising: a center tube defining a central reservoir; annular filter media surrounding the center tube and the central reservoir; an axial open end joined to the center tube, the axial open end including an opening allowing fluid to flow from the central reservoir to the outside of the filter element; an axial 15 closed end joined to the center tube opposite the axial open end, the axial closed end including an end plate; and a seal structure formed adjacent the axial closed end for forming a releasable seal with a drain, the seal structure being formed at least partially in a pocket that extends axially inward of the end plate of the axial closed end toward the axial open end of the filter element, such that at least a portion of the pocket is disposed axially between the axial open end and the 20 end plate of the axial closed end.

According to a second aspect, there is provided a filter element comprising: annular filter media surrounding a central reservoir; an axial open end at a first end of the central reservoir with an opening allowing fluid to flow from the central reservoir to the outside of the filter element; an axial closed end at a second end of the central reservoir opposite the first end 25 wherein fluid may not pass through the axial closed end into or out of the central reservoir; and a pocket formed adjacent the axial closed end, the pocket having threads formed on an inside surface, the pocket comprising an open end, a threaded section including the threads, and a smooth section interposed between the open end and the threaded section, wherein: the axial closed end includes an end plate, the pocket includes a closed end opposite the open end of the 30 pocket, and the pocket is formed in the axial closed end and extends axially inward of the end plate of the axial closed end toward the axial open end of the filter element, such that at least a

2008312041 30 Apr 2013

-4a-

portion of the pocket is disposed axially between the axial open end and the end plate of the axial closed end.

According to a third aspect, there is provided a method of assembling a canister filter system having a base, a canister, a filter element, and a drain passing through a bore in the canister, the method comprising: positioning the filter element inside the canister; closing the drain so that fluid cannot pass out of the canister through the drain wherein closing the drain forms a releasable seal between the drain and the filter element via a seal structure, the seal structure being formed at least partially in a pocket that extends axially inward of an end plate of an axial closed end of the filter element toward an axial open end of the filter element, such that at least a portion of the pocket is disposed axially between the axial open end and the end plate of the axial closed end; and engaging the canister to the base to define a flow path from the base, through the filter element, and back through the base.

According to a fourth aspect, there is provided a canister filter system comprising: a canister having an open end and a closed end; a drain penetrating through the closed end of the canister, the drain having an inlet end and an opposite outlet end, the inlet end having seal structure formed thereon; a filter element insertable through the open end of the canister and positioned inside the canister, the filter element having: a center tube defining a central reservoir; annular filter media surrounding the center tube and the central reservoir; an open axial end joined to the center tube, the open end having an opening permitting fluid to exit from the central reservoir; a closed axial end joined to the center tube opposite the open axial end, the closed axial end including an end plate with an outer surface generally facing away from the open axial end; a seal structure adjacent the closed axial end, the seal structure being formed at least partially in a pocket that is integrally formed with the closed axial end, the pocket including an open end, a closed end, and a threaded section disposed adjacent the closed end of the pocket, the pocket extending axially inward of the end plate of the closed axial end toward the open axial end, such that the closed end of the pocket and at least a portion of the threaded section of the pocket are disposed axially between the open axial end and the outer surface of the end plate of the closed axial end; and wherein the drain is movable between a closed position wherein the seal structure prevents fluid from flowing from the canister into the inlet end of the drain, and an open position wherein fluid may flow from the canister into the inlet end of the drain and out of the outlet end of the drain; and when the drain is in the closed position, the seal structure on the

30 Apr 2013

2008312041

-4b-

inlet end of the drain is engaged with the seal structure formed at least partially on the pocket of the filter element to form a releasable seal.

Brief Description of the Drawings

FIG. 1 is a cut away view of a canister filter system, including a base, a canister, 5 and filter element.

FIG. 2 is a detailed view from FIG. 1 with the drain 40 in a closed position.

FIG. 3 is a detailed view from FIG. 1 with the drain 40 in an open position.

Detailed Description

The following is a detailed description of exemplary embodiments of the 10 invention. The exemplary embodiments described herein and illustrated in the drawing figures are intended to teach the principles of the invention, enabling

those of ordinary skill in this art to make and use the invention in many different environments and for many different applications. The exemplary embodiments should not be considered as a limiting description of the scope of patent protection. The scope of patent protection shall be defined by the appended 5 claims, and is intended to be broader than the specific embodiments described herein.

FIG. 1 illustrates a canister filter system 1 having a base 10, a canister 20, and a filter element 30. The general construction and use of a canister filter system is understood by those of ordinary skill in this art. Thus, all 10 the details of the construction and use of canister filter system 1 need not be explained here. The canister filter system 1 may be used to filter fluids such as diesel or gasoline or other liquid fuels, lubrication oil, hydraulic fluid for hydraulic power systems, transmission fluid, or even possibly intake air for an engine. The canister filter system 1 may also be used as a fuel/water separator 15 filter. The canister filter system 1 with the features described herein could be adapted by those of ordinary skill in this art to serve many different purposes and suit many other applications.

The base 10 includes an inlet channel 11 for fluid inlet into the canister filter system 1, and an outlet channel 12 for fluid outlet from the canister 20 filter system 1. The base also includes base threads 13.

The canister 20 includes an open end 21 and a closed end 22. Adjacent the open end 21 are canister threads 23 which can be engaged with base threads 13 to hold the canister 20 to base 10. Threads are one example of engagement structures which may be included on the base 10 and canister 20 to 25 form a releasable engagement. Other engagement structures may be used as will be recognized by those of ordinary skill in this art.

The filter element 30 may take many different forms to suit a particular application. In the illustrated embodiment, the filter element 30 is well suited for filtering fuel or lubrication oil. The filter element 30 may include

annularly arranged filter media 31 circumferentially surrounding a central reservoir defined by center tube 32. Axial ends of filter media 31 are sealed by end plates. Open end plate 33 defines an axial open end of filter element 30. The open end plate 33 is termed "open" because it includes an opening 35 for 5 allowing passage of fluid to outlet channel 12 from the central reservoir defined by center tube 32. Closed end plate 34 defines an axial closed end of filter element 30. The closed end plate 34 is termed "closed" because it prevents any fluid outside the filter element 30 adjacent axial end of filter media 31 from flowing unfiltered into center tube 32. Open end plate 33 and closed end plate 34 10 may each be joined to the center tube 32 via welding, adhesives, etc. Alternatively, several or all of center tube 32, open end plate 33, and closed end plate 34 may be constructed as unitary components.

Fluid to be filtered enters from the inlet channel 11 and flows to the annular cavity 28 between canister 20 and filter media 31. The fluid then 15 passes into and through filter media 31, then into center tube 32 through the perforations shown therein in FIG. 1. The fluid exits center tube 32 through open end plate 33 and opening 35 into the outlet channel 12. The open end plate 33 and closed end plate 34 help define the fluid channels into and out of filter media 31, preventing any fluid from flowing directly to outlet channel 12 and bypassing 20 filter media 31. First and second annular seals 38 and 39 may advantageously be included on filter element 30 and also help define and seal fluid passageways into and out of filter element 30. First annular seal 38 may be included on the open end plate 33 around opening 35 and adjacent the axial open end of filter element 30 to help seal the inlet channel 11 from the outlet channel 12. Second annular 25 seal 39, larger in diameter than first annular seal 38, may be formed circumferentially around the open end plate 33 to provide the seal between canister 20 and base 10, or in other words provides a seal to prevent fluid in inlet channel 11 from leaking out of the joint between canister 20 and base 10. First and second annular seals 38, 39 may be integrally formed with open end plate 33,

or attached with adhesives or other methods, as is known in this art. When first and second annular seals 38, 39 are integrally formed on or included on open end plate 33, proper replacement of these seals is assured when the filter element is replaced at proper intervals. Otherwise, a technician may fail to properly replace 5 the seals at appropriate intervals, which could result in leakage out of the system, or leakage within the system allowing unfiltered fluid to bypass the filter element 31 and lead to contamination.

With reference now to FIGS. 2 and 3, a drain 40 penetrates the closed end 22 of canister 20. The drain 40 provides a drain channel 41 for 10 removing fluid from inside of canister 20. The drain 40 is elongated and includes an inlet end 42 and an outlet end 43 connected to one another by the drain channel 41. Inlet end 42 is positioned inside of canister 20. Outlet end 43 is positioned outside of canister 20. The drain 40 may be moved between a closed position and an open position. In the closed position of FIG. 2, fluid is not able 15 to flow through drain channel 41. In the open position of FIG. 3, fluid is able to flow from the inlet end 42, through drain channel 41, and out from outlet end 43. Drain 40 can be adapted to suit many different applications. The illustrated embodiment provides only one exemplary configuration for drain 40.

Canister 20 includes a drain boss 24 on closed end 22. Drain boss 20 24 protrudes out and away from closed end 22, and may include surfaces thereon that would allow a tool, such as an adjustable, open-ended wrench, to engage the drain boss 24 and turn the canister 20. Drain boss 24 forms a bore 25. Drain 40 is positioned in, and is able to slide axially and rotate in bore 25. An O-ring 44 is formed around the exterior of drain 40 and an O-ring is positioned 25 therein. Alternatively, the O-ring groove may be formed on the bore 25. The O-ring prevents fluid leakage out of canister 20 through bore 25 from between drain 40 and drain boss 24.

Drain 40 may cooperate with filter element 30 to form a releasable engagement with filter element 30, and a releasable seal with filter element 30,

when drain 40 is in its closed position. In the illustrated embodiment, drain 40 forms a releasable engagement with filter element 30 through engagement structure that includes a releasable threaded connection. Closed end plate 34 may form a pocket 36 in which are provided threads 37. Threads 37 are formed on an 5 inside surface of the pocket 36. Mutual threads 45 may be formed near inlet end 42 of drain 40. Drain 40 may be engaged with filter element 30 by threading together threads 37 and 45. Threads are one example of engagement structures which may be included on the filter element 30 and drain 40 to form a releasable engagement. Other known engagement structures may be used for particular 10 advantage in certain applications as will be recognized by those of ordinary skill in this art.

When in its closed position, with drain 40 releasably engaged with filter element 30, a releasable seal is made with filter element 30 so that practically no fluid can enter inlet end 42 of drain 40. The releasable seal is made 15 with seal structure which, in the illustrated embodiment, includes an inlet opening 46 extending between drain channel 41 and the radial exterior of inlet end 42, and pocket 36 which receives the inlet opening 41 when the drain 40 is sealed. Putting drain 40 in its closed position moves inlet opening 46 inside of pocket 36, blocking the inlet opening 46 so that practically no fluid can enter therein. 20 Additionally, an O-ring groove 47 may be formed on drain 40 and an O-ring positioned therein. This O-ring may provide additional protection against fluid leaking from between drain 40 and pocket 36 and entering inlet opening 46. Instead of positioning the O-ring inside of pocket 36, the O-ring could also be positioned between drain 40 and another portion of closed end plate 34, and the 25 O-ring could be positioned in a groove formed on closed end plate 33 instead of on drain 40. When moving drain 40 to its closed position, as it advances into pocket 36, fluid trapped therein may need an escape path. This path may be provided by allowing drain channel 41 to be open through axial inlet end 42 of drain 40.

Pocket 36 includes an open end 36a, a smooth section 36b, a threaded section 36c, and a closed end 36d. Closed end 36d ensures that no fluid may flow from center tube 32 into pocket 36 and inlet opening 46, and vice versa. Threads 37 are formed in the threaded section 36c. Smooth section 36b may act 5 as a part of the sealing structure by fitting tightly against the surfaces of drain 40 to prevent fluid from entering between and flowing from open end 36a to inlet opening 46. Smooth section 36b may also provide a surface against which the O-ring in O-ring groove 47 may seal for additional protection against fluid passage. To help maintain the smoothness of the surface of smooth section 36b, the 10 diameter of this section may be larger than the major diameter of the threads 37, forming a lip 36e between the smooth section 36b and threaded section 36c. The larger diameter of smooth section 36b will help avoid the threads 45 on drain 40 from degrading the smooth surface used for sealing purposes.

When in an opened position, drain 40 is at least partially 15 disengaged from filter element 30, and inlet opening 46 is open so that fluid may flow into drain channel 41. In the illustrated embodiment with a threaded engagement, putting the drain 40 in an opened position requires turning drain 40 to disengage threads 37 and 45. As threads 37 and 45 disengage, inlet end 42 of drain 40 advances out of pocket 36, unblocking inlet opening 46. Together, these 20 features ensure that no fluid may enter inlet end 42 of drain 40 except when inlet opening 46 has backed out of pocket 36, clearing the smooth section 36b and the open end 36a. Fluid is then free to flow from inside canister 20, through inlet opening 46, through drain channel 41, and exit through outlet end 43 of drain 40.

Threads 37 and pocket 36 on filter element 30 need not necessarily 25 be formed in closed end plate 34. The threads 37 and pocket 36 could also be formed as part of center tube 32, or some other part of filter element 30, as will be understood by those of ordinary skill in this art.

Other features and constructions may be used to provide cooperation between drain 40 and filter element 30 so that fluid cannot flow

through drain 40 when drain 40 is in the closed position, and fluid may flow through drain 40 when drain 40 is in the opened position. For example, filter element 30 and drain 40 could be constructed so that drain 40 makes a releasable seal with filter element 30 to close drain 40, but the two may not be releasably engaged. Instead, as an example, the filter element 30 and drain could be independently engaged with the canister 20, and moving drain 40 to a closed position would involve drain 40 moving upward to form a releasable seal with filter element 30, but not releasably engage it.

The releasable engagement and the releasable seal between the drain 40 and the filter element 30 has several advantages. First, the engagement and/or seal ensure that a filter element 30 is placed inside canister 20 before the system can be used. A technician will not accidentally or intentionally assemble the system without a filter element 30 because without it, the drain 40 cannot be closed. Ensuring the presence of filter element 30 helps ensure that the fluid will be properly filtered.

With no threaded connections in the pathway of clean fluid from the center tube 32 to the outlet channel 12, the possibility of contamination is reduced. Threaded connections in the clean, filtered fluid pathway have been identified as a potential source of contamination. When threads are cut or formed in other ways on a metal component, or even a plastic component, a small amount of debris is often left on the threads. When the threaded connection is made, the debris may be removed through the threading action, and is then free to enter the clean fluid pathway and result in contamination of downstream components. Thus, the avoidance of threads in the clean fluid pathway eliminates this potential source of contamination.

The provision of threads on the filter element 30 provides a convenient means for repairing the threaded connection should the threads be crossed or damaged in some manner. If a threaded connection is between the canister 20 and drain 40 (as in prior art systems), either the canister 20 or the

drain 40, or both, must be replaced if the threads are crossed or damaged in some other way. If threads 37 formed on filter element 30 are formed in plastic, while threads 45 on the drain 40 are formed in a harder material (possibly aluminum or another metal), when threads 37 and 45 are crossed, more than likely only threads 5 37 will be damaged. Threads 37 are easily replaceable by replacing the filter element 30. Finally, the engagement between the drain 40 and filter element 30 provides a means for securely holding the filter element inside the canister 20.

10 Holding the filter element 30 inside of canister 20 may have some advantages during installation and replacement of the filter element 30. For example, the canister 20 can be turned upside down by a technician to drain residual fluid therefrom, without the filter element 30 falling out. Also, the filter element 30 can be held in the correct position inside of canister 20 so that when the canister 20 is attached to the base 10, the filter element 30 will properly align with features on the base 10.

15 Other advantages may also be realized in some applications. In some applications, the manufacturing of canister 20 may be simplified because no structure for engaging the drain (e.g. threads) is needed on the canister.

20 Drain knob 50 facilitates turning drain 40 for moving between its closed and open positions. Drain knob 50 may be optionally positioned about drain 40 on the exterior of canister 20. Drain knob 50 includes splines 51 that mate with splines 48 formed on the exterior of drain 40. The splines 51, 48 allow drain knob 50 to move axially relative to drain 40 (along an axis parallel to the rotational axis of drain 40), but tie the two together rotationally. Turning drain knob 50 will cause a corresponding rotation of drain 40.

25 In addition, drain knob 50 includes camming surfaces 52 that engage with mutual camming surfaces 26 on drain boss 24. A spring 53 acts between the drain 40 and the drain knob 50, biasing the camming surfaces 52 towards engagement with the camming surfaces 26. When camming surfaces 52 and 26 engage one another, they permit the drain knob 50 to rotate relative the

canister 20 in only a single direction. Camming surfaces 52 and 26 may be formed to permit rotation of drain knob 50 and drain 40 in the direction of its closed position (clockwise in the illustrated embodiment), but prohibit drain 40 to rotate in the opposite direction towards its open position unless camming surfaces 5 52 and 26 are disengaged. They may be disengaged by pulling drain knob 50 against the bias of spring 53, and separating the two camming surfaces 52, 26. The camming surfaces 52, 26 permit relative rotation in one direction by providing cams whereby the cams may slide by one another in one direction. The camming surfaces 52, 26 prohibit relative rotation in the other direction by 10 providing positive stopping surfaces which interfere or clash.

15 A spring 27 may optionally act between drain 40 and canister 20. Spring 27 biases the drain 40 into the canister 20. This may provide advantages in inserting and removing the filter element 30. For instance, in cooperation with the drain knob 50, biasing the drain 40 upwards causes the camming surfaces 52, 15 26 to engage and temporarily block rotation of the drain 40 in one direction. With spring 27 positioned as shown in the figures, and with camming surfaces 52 and 26, a technician can install a replacement filter element 30 in a simple manner by holding the canister 20 with one hand, and turning the filter element 30 with the other hand to engage the filter element 30 with the drain 40.

20 The canister filter system 1 may be assembled by first positioning the filter element 30 inside the canister 20. The canister 20 includes an open end 21 through which the filter element 30 may pass, and a closed end 22. Next the drain 40 is caused to engage the filter element 30. The drain 40 passes through the bore 25 in the canister 20, with the inlet end 42 projecting into the canister to 25 engage with the filter element 30. Preferably, the filter element 30 and drain 40 are first fully engaged, which simultaneously moves the drain to a closed position, before the canister 20 is finally engaged with the base 10 to complete the assembly.

With first and second annular seals 38 and 39 (see FIG. 1) integrally formed with or attached to filter element 30, many of the surfaces and seals which provide a sealing function in the system 1 will be replaced when the filter element 30 is replaced. This helps ensure the system 1 will function 5 properly throughout its life.

Industrial Applicability

The canister filter system 1 may be used to filter contaminants from fluid systems including fuel systems, lubrication oil systems, hydraulic fluid power systems, hydraulic fluid control systems, transmission fluid systems, 10 engine air intake systems, and the like, while permitting fluid to be conveniently drained using drain 40. Because of the arrangement of drain 40 with filter element 30, a technician is prevented from accidentally or intentionally operating system 1 unless a filter element 30 is in place. This operability limitation helps protect components which are sensitive to contamination.

30 Apr 2013

2008312041

5

10

15

-14-

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A filter element comprising:
 - a center tube defining a central reservoir;
 - 5 annular filter media surrounding the center tube and the central reservoir;
 - an axial open end joined to the center tube, the axial open end including an opening allowing fluid to flow from the central reservoir to the outside of the filter element;
 - 10 an axial closed end joined to the center tube opposite the axial open end, the axial closed end including an end plate; and
 - a seal structure formed adjacent the axial closed end for forming a releasable seal with a drain, the seal structure being formed at least partially in a pocket that extends axially inward of the end plate of the axial closed end toward the axial open end of the filter element, such that at least a portion of the pocket is disposed axially between the axial open end and the end plate of the axial closed end.
2. A filter element according to claim 1 further comprising engagement structure formed adjacent the axial closed end for forming a releasable engagement with the drain.
3. A filter element according to claim 2 wherein the engagement structure includes threads.
4. A filter element according to claim 3 wherein the threads are formed on an inside surface 20 of the pocket.
5. A filter element according to claim 4 wherein the pocket includes an open end, a threaded section that includes the threads, and a smooth section interposed between the open end and the threaded section.
6. A filter element according to claim 5, wherein the closed end plate prevents fluid from 25 passing therethrough into the center tube.
7. A filter element according to claim 1 further comprising:
 - a first annular seal surrounding the opening in the axial open end, and

30 Apr 2013

2008312041

5

10

15

20

25

a second annular seal, larger in diameter than the first annular seal, for sealing between a canister and a base when the filter element is installed in a canister filter system.

8. A filter element comprising:
 - annular filter media surrounding a central reservoir;
 - an axial open end at a first end of the central reservoir with an opening allowing fluid to flow from the central reservoir to the outside of the filter element;
 - an axial closed end at a second end of the central reservoir opposite the first end wherein fluid may not pass through the axial closed end into or out of the central reservoir; and
 - a pocket formed adjacent the axial closed end, the pocket having threads formed on an inside surface, the pocket comprising an open end, a threaded section including the threads, and a smooth section interposed between the open end and the threaded section, wherein:
 - the axial closed end includes an end plate,
 - the pocket includes a closed end opposite the open end of the pocket, and
 - the pocket is formed in the axial closed end and extends axially inward of the end plate of the axial closed end toward the axial open end of the filter element, such that at least a portion of the pocket is disposed axially between the axial open end and the end plate of the axial closed end.
9. A filter element according to claim 8, further comprising:
 - a first annular seal surrounding the opening in the axial open end; and
 - a second annular seal, larger in diameter than the first annular seal, for sealing between a canister and a base when the filter element is installed in a canister filter system.
10. A filter element according to claim 9, wherein the axial open end includes an open end plate, and the central reservoir is defined by a center tube, the center tube being joined to the open end plate and the end plate of the closed axial end.

2008312041 30 Apr 2013

-16-

11. A method of assembling a canister filter system having a base, a canister, a filter element, and a drain passing through a bore in the canister, the method comprising:

positioning the filter element inside the canister;

5 closing the drain so that fluid cannot pass out of the canister through the drain wherein closing the drain forms a releasable seal between the drain and the filter element via a seal structure, the seal structure being formed at least partially in a pocket that extends axially inward of an end plate of an axial closed end of the filter element toward an axial open end of the filter element, such that at least a portion of the pocket is disposed axially between the axial open end and the end plate of the axial closed end; and
10 engaging the canister to the base to define a flow path from the base, through the filter element, and back through the base.

12. A method according to claim 11, further comprising forming a releasable engagement between the drain and the filter element.

13. A method according to claim 12, wherein forming a releasable engagement between the
15 drain and the filter element comprises causing threads formed on the drain to engage with threads formed on the filter element.

14. A method according to claim 13, wherein forming a releasable seal with the filter element comprises turning the drain relative to the filter element so that the threads on the filter element further engage the threads on the drain until an inlet opening formed on the drain is blocked by
20 the filter element.

15. A method according to claim 14, wherein:

positioning the filter element inside the canister comprises inserting the filter element through an open end of the canister; and

25 engaging the canister to the base comprises engaging threads formed adjacent the open end of the canister with threads formed on the base.

16. A canister filter system comprising:

a canister having an open end and a closed end;

30 Apr 2013

2008312041 5 10

15

20

25

30

a drain penetrating through the closed end of the canister, the drain having an inlet end and an opposite outlet end, the inlet end having seal structure formed thereon;

5 a filter element insertable through the open end of the canister and positioned inside the canister, the filter element having:

a center tube defining a central reservoir;

annular filter media surrounding the center tube and the central reservoir;

an open axial end joined to the center tube, the open end having an opening permitting fluid to exit from the central reservoir;

10 a closed axial end joined to the center tube opposite the open axial end, the closed axial end including an end plate with an outer surface generally facing away from the open axial end;

15 a seal structure adjacent the closed axial end, the seal structure being formed at least partially in a pocket that is integrally formed with the closed axial end, the pocket including an open end, a closed end, and a threaded section disposed adjacent the closed end of the pocket, the pocket extending axially inward of the end plate of the closed axial end toward the open axial end, such that the closed end of the pocket and at least a portion of the threaded section of the pocket are disposed axially between the open axial end and the outer surface of the end plate of the closed axial end; and wherein

20 the drain is movable between a closed position wherein the seal structure prevents fluid from flowing from the canister into the inlet end of the drain, and an open position wherein fluid may flow from the canister into the inlet end of the drain and out of the outlet end of the drain; and

25 when the drain is in the closed position, the seal structure on the inlet end of the drain is engaged with the seal structure formed at least partially on the pocket of the filter element to form a releasable seal.

17. A canister filter system according to claim 16, further comprising:

threads formed on the inlet end of the drain; and

30 wherein, when the drain is in the closed position, the threads on the inlet end of the drain are engaged with the threaded section of the pocket to form a releasable engagement.

2008312041 30 Apr 2013

-18-

18. A canister filter system according to claim 16, wherein the seal structure includes an inlet opening formed in the radial exterior of an inlet end of the drain.

19. A canister filter system according to claim 18, wherein the pocket comprises a smooth section between the open end of the pocket and the closed end of the pocket, and wherein the inlet opening is blocked when the drain is in the smooth section.

5 20. A filter element according to claim 1 wherein:

the end plate of the axial closed end includes an outer surface generally facing away from the axial open end; and

10 the pocket includes an open end, a closed end, and a threaded section adjacent the closed end of the pocket, the closed end of the pocket and at least a portion of the threaded section of the pocket being disposed axially between the axial open end and the outer surface of the end plate of the axial closed end.

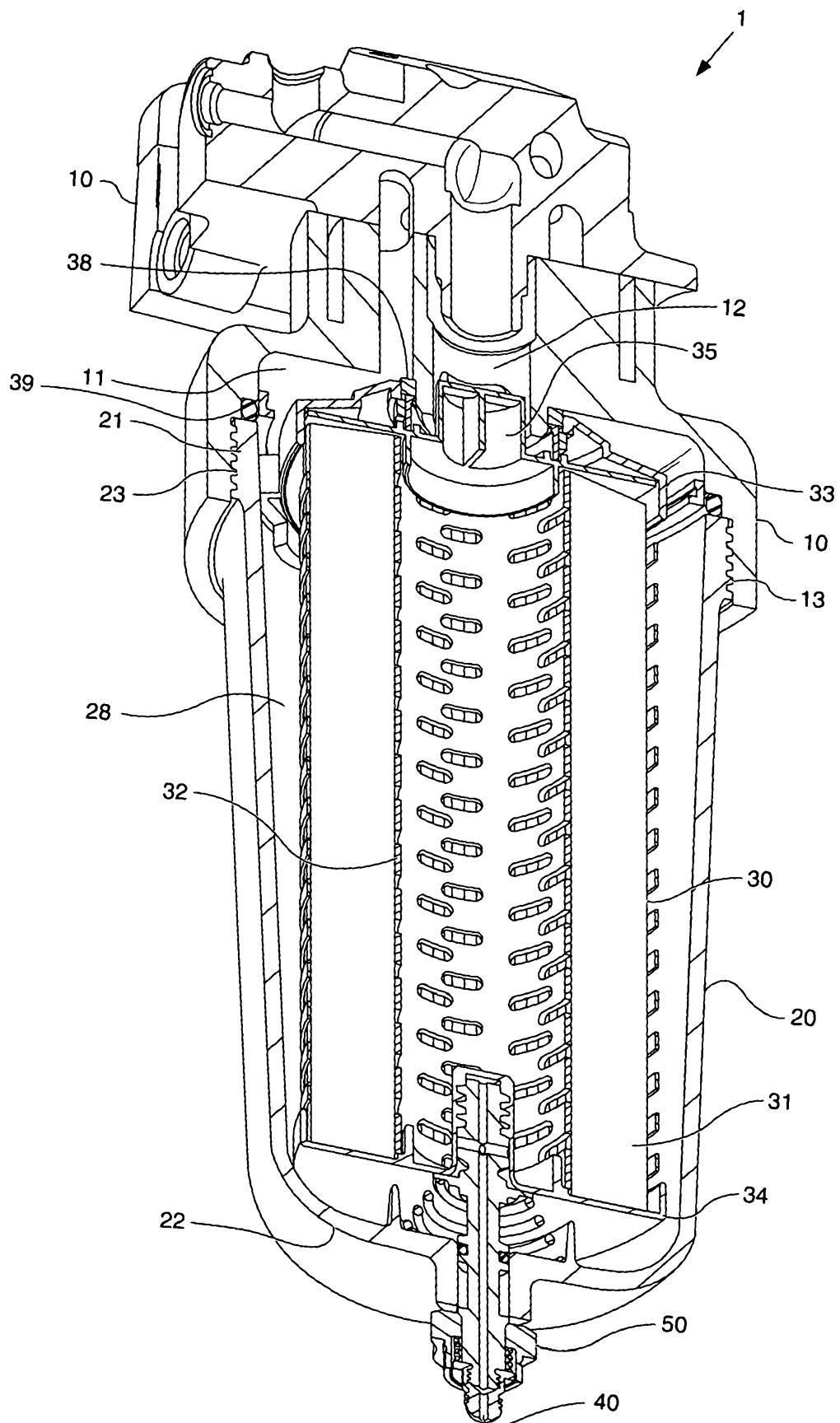
21. A filter element according to claim 8, wherein:

15 the end plate of the axial closed end includes an outer surface generally facing away from the axial open end; and

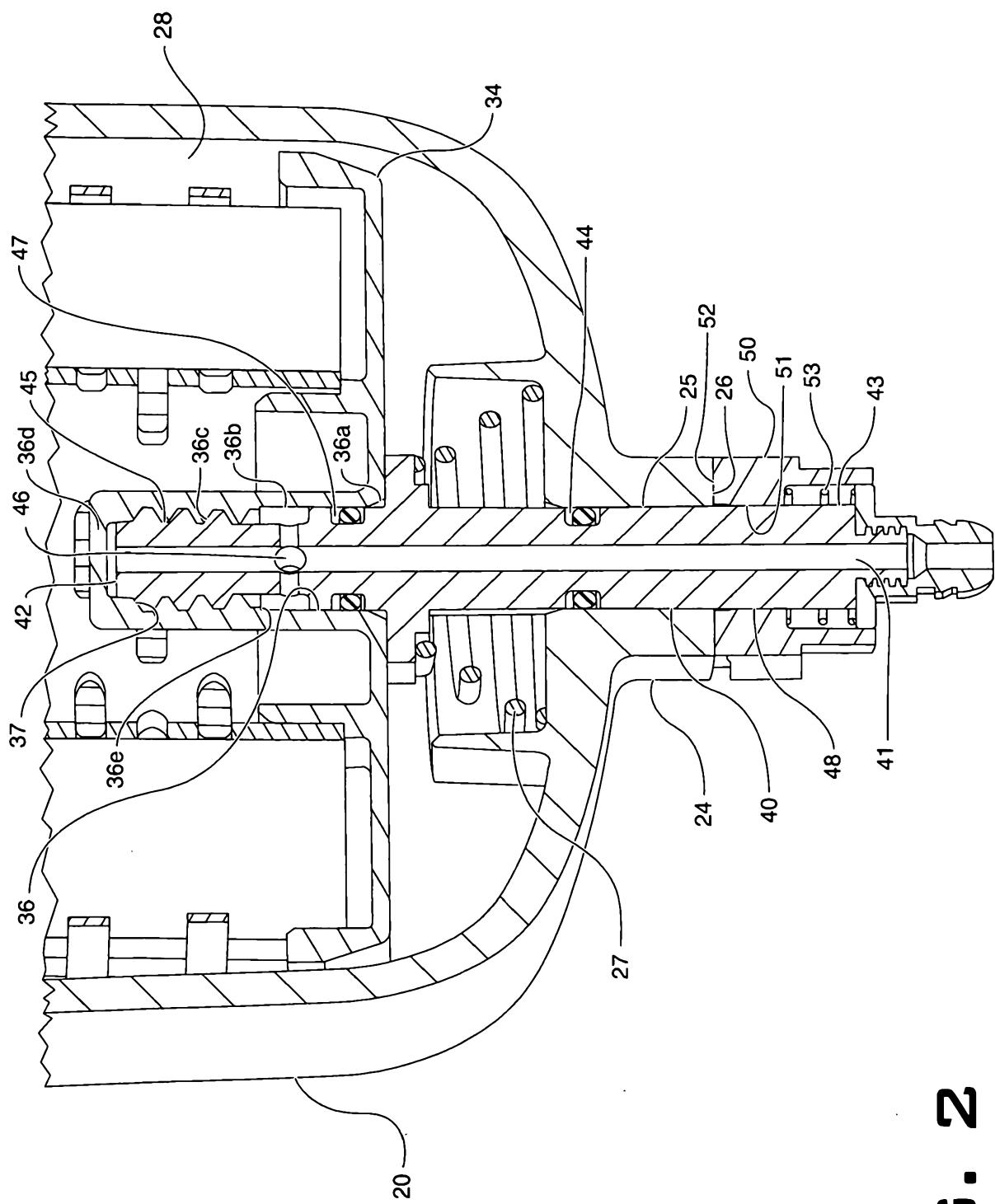
wherein the closed end of the pocket and at least a portion of the threaded section of the pocket are disposed axially between the axial open end and the outer surface of the end plate of the axial closed end.

FIG. 1

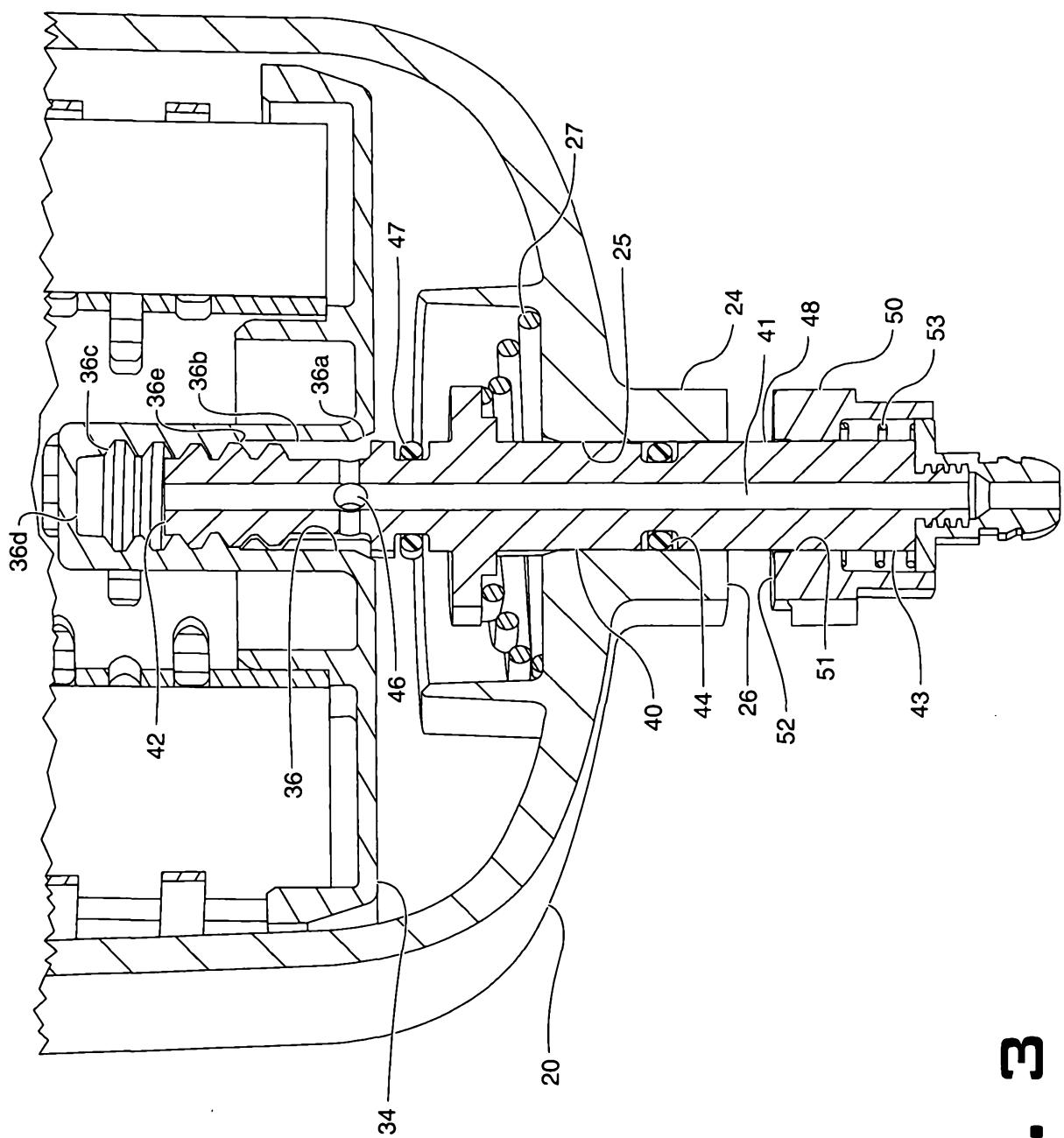
1/3



2/3

**FIG. 2**

3/3

**FIG. 3**