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- (71) Applicant (for all designated States except US): NO-VARTIS AG [CH/CH]; Lichtstrasse 35, CH-4056 Basel (CH).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): VAN NOY, Stephen, J. [US/US]; 810 Aberdeen Way, Southlake, Texas 76092 (US).
- (74) Agents: PREJEAN, Jonathan, E. et al.; 6201 South Freeway, TB 4-8, Fort Worth, Texas 76134 (US).
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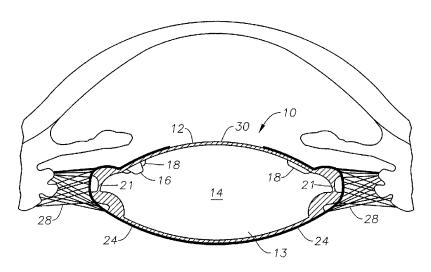


Fig. 2

(57) Abstract: An accommodating intraocular lens (AIOL) adapted for implantation into a capsular bag includes an outer shell, a valve, and a force transfer assembly. The outer shell includes at least one surface modification on at least a periphery of the outer shell to promote bonding with the capsular bag. The valve is configured to permit injection of a fill material. The force transfer assembly in the outer shell is adapted to transfer forces from the capsular bag to change the shape of the filled outer shell in response to changes in capsular bag shape.





# ACCOMMODATIVE INTRAOCULAR LENS AND METHOD OF IMPLANTATION

### **RELATED APPLICATIONS**

This application claims priority to U.S. provisional application Serial No. 61/491819, filed on May 31, 2011, the contents which are incorporated herein by reference.

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#### Field of the Invention

This invention relates generally to the field of intraocular lenses (IOL) and, more particularly, to accommodative IOLs.

# Background of the Invention

The human eye in its simplest terms functions to provide vision by transmitting light through a clear outer portion called the cornea, and focusing the image by way of a crystalline lens onto a retina. The quality of the focused image depends on many factors including the size and shape of the eye, and the transparency of the cornea and the lens. The lens is held in place within the posterior chamber of the eye by a membrane known as the capsular bag or posterior capsule, immersed in the aqueous humor. The shape of the lens and the refractive index of the lens relative to the aqueous humor determine where light rays are focused onto the retina.

When age or disease causes the lens to become less transparent, vision deteriorates because of the diminished light which can be transmitted to the retina. This deficiency in the lens of the eye is medically known as a cataract. An accepted treatment for this condition is surgical removal of the lens and replacement of the lens function by an artificial intraocular lens (IOL). Cataractous lenses are often removed by a surgical technique called phacoemulsification. During this procedure, an opening is made in the anterior side of the capsular bag, a thin membrane enclosing the natural lens. A thin phacoemulsification cutting tip is inserted into the diseased lens and vibrated ultrasonically. The vibrating cutting tip liquefies or emulsifies the lens so that the lens may be aspirated out of the eye. The diseased lens, once removed, is replaced by an artificial lens.

In the natural lens, multifocality of distance and near vision is provided by a mechanism known as accommodation. The natural lens, early in life, is soft and contained within the capsular bag. The bag is suspended from the ciliary muscle by the zonules. Relaxation of the ciliary muscle tightens the zonules, and stretches the capsular bag. As a result, the natural lens tends to flatten. Tightening of the ciliary muscle relaxes the tension on the zonules, allowing the capsular bag and the natural

lens to assume a more rounded shape. In this way, the natural lens can be focused alternatively on near and far objects. As the lens ages, it becomes harder and is less able to change shape in reaction to the tightening of the ciliary muscle. This makes it harder for the lens to focus on near objects, a medical condition known as presbyopia. Presbyopia affects nearly all adults over the age of 45 or 50.

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When a cataract or other disease requires the removal of the natural lens and replacement with an artificial intraocular lens ("IOL"), the IOL used to replace the natural lens has often been a monofocal lens. These lenses do not change power in response to the movement of the capsular bag, requiring that the patient use a pair of spectacles or contact lenses for near vision. However, there are several examples in the prior art of bladder or bag-like intraocular lenses that consist of an outer flexible skin filled with a viscous gel. The resulting lens completely fills the capsular bag and is very soft and pliable, much like the natural lens. See for example, U.S. Patent Nos. 4,373,218 (Schachar), 4,585,457 (Kalb), 4,685,921 (Peyman), 4,693,717 (Michelson), 5,275,623 (Sarfarazi), 4,822,360 (Deacon), 5,489,302 (Skottun) and 6,217,612 (Woods). But in order to provide accommodation, movement of the ciliary muscle must be adequately transmitted to the lens system through the capsular bag, and none of these references disclose a mechanism for ensuring that there is a tight connection or fixation between the capsular bag and the lens system.

Therefore, a need continues to exist for a safe and stable accommodative intraocular lens system and method for implantation that provides accommodation over a broad and useful range.

# Brief Summary of the Invention

An accommodating intraocular lens (AIOL) adapted for implantation into a capsular bag includes an outer shell, a valve, and a force transfer assembly. The outer shell includes at least one surface modification on at least a periphery of the outer shell to promote bonding with the capsular bag. The valve is configured to permit injection of a fill material. The force transfer assembly in the outer shell is adapted to transfer forces from the capsular bag to change the shape of the filled outer shell in response to changes in capsular bag shape.

#### Brief Description of the Drawings

FIG. 1 is an enlarged cross-sectional view of the lens according to a particular embodiment of the present invention.

FIG. 2 is an enlarged cross-sectional view of the lens of FIG. 1 showing the lens implanted in a capsular bag.

FIG. 3 is an enlarged cross-sectional view of the lens of FIG. 1 showing the lens implanted in a capsular bag and material being injected into the lens to approximate the unaccommodated state.

FIG. 4 is an enlarged cross-sectional view of the lens of FIG. 1 showing the lens implanted in a capsular bag and material being removed from the lens.

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- FIG. 5 is an enlarged cross-sectional view of the lens of FIG. 1 showing the lens implanted in a capsular bag and being in the accommodated state.
- FIG. 6 is flowchart showing a method of implanting an inflatable accommodating lens according to particular embodiments of the present invention;
- FIG. 7 illustrates a dual-optic accommodating IOL according to a particular embodiment of the present invention;
- FIGs. 8A, 8B, and 8C illustrates various embodiments of a dual-optic AIOL according to particular embodiments of the present invention as viewed along the optical axis;
- FIG. 9 is a flowchart showing an example method of implanting a dual-optic AIOL according to particular embodiments of the present invention; and
- FIG. 10 illustrates a peripheral band usable in conjunction with various embodiments of the present invention.

# Detailed Description of the Invention

Various embodiments of the present invention may provide an improved accommodating lens by promoting adhesion of the capsular bag around mechanical features of the accommodating IOL. This provides a more robust mechanical connection between the bag and the IOL to allow the flattening and relaxing of the bag, as opposed to the force of the ciliary muscles, to move the lens. The changes in shape of the capsular bag are in turn used either to deform the lens to produce a power change (akin to the accommodation of the natural lens) or to produce a change in IOL power by separating two optical elements.

Various embodiments of the present invention also include mechanical structures for translating the force produced by movement of the capsular bag into forces producing either deformation of the lens or separation of optical elements of the lens. By combining this with strong adhesion of the capsular bag to the IOL at particular points along the mechanical structure, particular embodiments of IOLs according to the present invention advantageously provide increased mechanical efficiency and a greater degree of accommodative change in the optical power of the IOL.

As best seen in FIG. 1, a lens 10 according to a particular embodiment of the present invention generally consists of an outer shell 12 defining internal void 13 which contains and interior fill material 14. The outer shell 12 is preferably formed in any suitable overall diameter or length, for example, around 10 millimeters, for implantation in the capsular bag of the eye. The outer shell 12 preferably is made from a soft, foldable material that is inherently resistant to the formation of posterior capsular opacification ("PCO"), such as a soft acrylic. In certain embodiments, the material of the outer shell 12 may be relatively more elastic than the capsular bag, so that outer shell 12 can be moved by the capsular bag with relative ease. The outer shell 12 contains a fill valve 16 allowing fill material 14 to be injected into or removed from void 13.

The outer shell 12 may also include a force transfer structure, such as a plurality of stiffening radial ribs 18 having an appropriate spacing, e.g., 30°, and/or may be attached to a peripheral band surrounding the lens 10 (as described in greater detail below). In the depicted embodiment, the lens 10 also includes living hinges 21 at the periphery, so as to facilitate the shape change of the surfaces. In particular embodiments, a peripheral band may be coupled to the living hinge assembly to transfer forces from the capsular bag into actuation of the living hinges. The outer shell 12 may also contain sharp peripheral corners 20 designed to prevent equatorial cell proliferation on the optical surfaces of the lens 10, but cell adhesion is preferably encouraged around the hinges 21 at the periphery of the lens to improve the mechanical efficiency of force transfer between the capsular bag and lens 10.

At least part of the outer shell 12 is coated with surface modification 22, which may include coatings, texturing, or other suitable variation designed to promote protein adhesion. Examples of such coatings include complementary proteins, growth factors for the capsular bag, chitin or other organic chemicals used in signaling cell growth conditions. The polymer structure used to form lens 10 may be protein-fortified, so that the lens material itself has a surface that encouraged protein bonding. Other suitable surface modifications include nano-channels or other structures allowing cellular interpenetration into the lens structure. Such structures may also include coatings or treatments to promote cell growth and binding within the interpenetrating cell/lens network. Still other suitable surface modifications include the use of biocompatible adhesives.

Fill material 14 preferably is a liquid, gel, or low molecular weight polymer with a refractive index greater than that of the surrounding aqueous humor. Such materials may include (but are not limited to) silicone oil, perfluoron, and cross-linked or non-cross-linked polymer gels. It is also preferable to minimize losses of fill

material 14 due to diffusion, so outer shell 12 should preferably be relatively impermeable to fill material 14 and the surrounding aqueous humor. As best seen in FIG. 2, lens 10 may be implanted in capsular bag 24 in an unfilled state. As seen in FIG. 3, internal void 13 is then filled with fill material 14 through valve 16 using an appropriate instrument, such as cannula 26 so that lens 10 approximates the shape of the natural lens in a disaccommodated state, which results in anterior surface 30 of outer shell 12 being relatively flat.. In the depicted embodiment of FIG. 2, the anterior surface 30 changes shape considerably during accommodation while the posterior surface remains relatively unchanged in shape, but alternative embodiments could have both the anterior and the posterior surfaces changing shape to a lesser or greater degree. One advantage of thickening the posterior surface or making the posterior surface relatively more rigid is that the posterior surface could be relatively fixed in order to more easily allow aspheric and/or toric correction to be used in lens 10. Diffractive and/or multifocal optics could be incorporated into the surface as well.

When lens 10 is over-filled, zonules 28 are in a relaxed position. Lens 10 is left in this over-filled condition for a period of time sufficient for protein adhesions to form between outer shell 12 and capsular bag 24, e.g., 2-4 weeks. As best seen in FIG. 4, after protein adhesions have formed between outer shell 12 and capsular bag 24, sufficient filler material 14 is removed from void 13 through valve 16 for lens 10 to adopt the shape of a disaccommodated lens, as best seen in FIG. 5, with zonules 28 in tension and anterior surface 30 having a more rounded shape relative to the overfilled state, as shown by arrows 32. The lens 10 may be also mechanically biased toward the accommodated state, so that when the capsular bag relaxes, the default tendency of the lens 10 is to restore to the accommodated state. For example, the living hinges 21 may have a spring action that tends to urge the anterior surface of the lens 30 into the accommodated shape.

FIG. 6 is a flowchart 100 showing an example implantation method according to particular embodiments of the present invention. At step 102, an inflatable accommodating lens ("AIOL") is provided with a surface modification to promote protein adhesion with the capsular bag. At step 104, the AIOL is implanted in an unfilled state. At step 106, the AIOL is overfilled to facilitate contact with the capsular bag. At step 109, the capsular bag is allowed to heal around the AIOL for sufficient time to allow bonding between the capsular bag and the AIOL. At step 110, fill material is removed from the AIOL to reach a disaccommodated state for the AIOL.

FIG. 7 is a cross sectional view of a dual-optic AIOL 200 according to another embodiment of the present invention. For purposes of this specification, "dual-optic"

refers to an AIOL including at least two optical elements, but such a dual-optic AIOL could include additional optical elements as well. The dual-optic AIOL 200 includes an anterior optical element 202 and a posterior optical element 204. Preferably, one of the anterior optical element 202 or posterior optical element 204 has a positive power, and the other has a negative power so that the difference between the powers is relatively large and a change in spacing between the optical elements 202 and 204 produces a significant change in overall optical power. One or both surfaces may also include aspheric, toric, diffractive, and/or multifocal correction as well. While both optical elements 202 and 204 are shown within the capsular bag, the AIOL 200 could include a sulcus-fixated anterior optical element 202 or even an

The AIOL 200 also includes interlocking features 206 between the optical elements 202 and 204. Interlocking features 206 are located peripherally around the optical elements 202 and 204, and the interlocking features 206 also include surface modifications, such as the ones described above, to promote bonding of the capsular bag to the interlocking features 206. Interlocking features 206 may be formed integrally, so that the entire AIOL 200 is a single piece, or they can alternatively be complementary features attached to their respective optical elements 202 and 204 so that the interlocking features 206 are connected to one another before or during implantation. While the interlocking features 206 are illustrated in an integrated living hinge configuration, other arrangements could function suitably as well, including arrangements using a hook-and-clasp or hinge pin. In the illustrated embodiment, the interlocking features 206 are configured to hold the optical elements 202 and 204 spaced apart from one another in the disaccommodated state to prevent adhesion.

Because the capsular bag is firmly attached to the interlocking features 206, the capsular bag pulls the interlocking features 206 outwardly when flattened. The interlocking features 206 are shaped such that the optical elements 202 and 204 are pulled together when the interlocking features 206 are pulled outward. The interlocking features 206 are also shaped to store mechanical energy when the optical elements 202 and 204 are pulled together. For example, the interlocking features 206 may include spring windings that are twisted by pulling outwardly on the interlocking features 206. Thus, when the capsular bag is flattened, the AIOL 200 will be pulled into a disaccommodated (lower power) state. When the capsular bag relaxes, the interlocking features 206 release the stored mechanical energy to force the optical elements 202 and 204 apart, increasing the optical power of the AIOL 200 to provide accommodation.

In order for the capsular bag to have adequate tension to pull the interlocking features 206, the capsular bag should be bonded firmly to the interlocking features 206 in the disaccommodated state. To facilitate this bonding, the AIOL 200 may include retaining features, such as clips, that hold the optical elements 202 and 204 in the disaccommodated state with mechanical energy being stored in the interlocking features 206. The retaining features may be left in place for two or more weeks while postsurgical healing and bonding of the capsular bag is taking place. Following the bonding process, the retaining features can be removed or otherwise disabled, such as by directing laser pulses to sever the retaining features. The retaining features could also be made biodegradable, so that they would erode over time and eventually dissolve after the capsular bag was well-bonded. Once the retaining features are no longer holding the optical elements 202 and 204 together, the mechanical energy stored in spring members can be released when the tension on the capsular bag is released, providing accommodation as described above.

FIGs. 8A, 8B, and 8C illustrate several different embodiments of interlocking features 206 as viewed along the optical axis. In the embodiment shown in FIG. 8A, the interlocking features 206 form a continuous circle with surface modification at the periphery of the AIOL 200 to facilitate attachment to the capsular bag. In the embodiment shown in FIG. 8B, the interlocking features 206 include fenestrations to facilitate interpenetration of capsular cells into the interlocking features 206. In the embodiment shown in FIG. 8C, the interlocking features 206 are joined at six T-shaped junctions that have surface modifications to promote capsular cell growth and bonding to the interlocking features 206. The illustrated embodiments are only examples, and any structure capable of storing mechanical energy that has appropriate surface modifications to promote bonding with the capsular bag can be suitable for interlocking features 206.

FIG. 9 is a flowchart 300 illustrating an example method for implanting a dual-optic AIOL like the one illustrated in FIG. 7. At step 302, a dual-optic AIOL with interlocking features having surface modifications to promote bonding to the capsular bag is provided. At step 304, retaining features holding the AIOL 200 in a disaccommodated state are provided. At step 306, the AIOL is implanted. At step 308, the capsular bag is allowed to heal and to bond to the AIOL. At step 308, the retaining features are disabled to allow the AIOL 200 to move freely in response to the movement of the capsular bag.

FIG. 10 illustrates a peripheral band 400 suitable for use with any of the foregoing embodiments described above, although it is illustrated particularly with the lens 10 of FIG. 1. Peripheral band 400 serves to improve the mechanical connection

with the capsular bag by preserving the tension in the anterior and posterior zonules as the capsular bag heals around the lens 10. One difficulty that can arise with accommodating IOLs generally is that the AIOL can be somewhat flatter than the natural lens. This causes the more anterior and posterior zonules to be in greater tension as the capsular bag heals around the IOL than they would be around the natural lens. The peripheral band 400 has sufficient width to span the area of the capsular bag where the zonules are attached, thus preventing the capsular bag from flattening in this area and preserving the zonular tension. This advantageously improves the force transfer from the capsular bag. The peripheral band 400 can also be attached to the living hinges 21 illustrated, for example, in FIG. 1, to provide additional leverage for the capsular bag forces to change the shape of the lens 10. The peripheral band 400 can also be made of an elastic material that is mechanically biased toward the accommodated state, allowing the lens 10 to more easily restore to an accommodated position when tension in the capsular bag is relaxed.

As with other embodiments described above, the peripheral band 400 has surface modifications that promote bonding of the capsular bag to the peripheral band 400. The peripheral band 400 is mechanically connected to lens 10 or to the interlocking features 206 of dual-optic AIOL 200 so as to preserve a robust mechanical connection between the capsular bag and the movement of the AIOL. This mechanical connection can be made, for example, by sizing the peripheral band 400 so that it fits snugly around the AIOL, by adhering the peripheral band 400 to the AIOL using adhesive, or by co-polymerizing or otherwise integrally forming the peripheral band 400 with the AIOL. The peripheral band 400 can also include a sharp corner for prevention of PCO.

Various embodiments of the present invention, including examples of AIOLs that promote bonding to the capsular bag and facilitate mechanical response of the AIOL to changes in the capsular bag in order to produce accommodation, have been provided. This description is given for purposes of illustration and explanation. It will be apparent to those skilled in the relevant art that changes and modifications may be made to the invention described above without departing from the scope of the invention as claimed. Such modifications include, for example, the adaptation of any of the described embodiments for drug delivery or the modifications of such features as the peripheral band to reduce positive or negative dysphotopsia.

What is claimed is:

1. An accommodating intraocular lens (AIOL) adapted for implantation into a capsular bag, comprising:

an outer shell, the outer shell including at least one surface modification on at least a periphery of the outer shell to promote bonding with the capsular bag;

a valve configured to permit injection of a fill material;

and a force transfer assembly in the outer shell adapted to transfer forces from the capsular bag to change the shape of the filled outer shell in response to changes in capsular bag shape.

- 2. The AIOL of Claim 1, further comprising at least one sharp edge shaped to hold the capsular bag to reduce posterior capsule opacification.
- 3. The AIOL of Claim 1, further comprising at least one living hinge at a periphery of the AIOL.
- 4. The AIOL of Claim 1, wherein a portion of the outer shell not having the at least one surface modification is treated to prevent cell growth of the capsular bag.
- 5. The AIOL of Claim 1, wherein the force transfer assembly comprises a plurality of stiffening ribs.
- 6. The AIOL of Claim 1, wherein the fill material comprises a polymer gel.
- 7. The AIOL of Claim 1, wherein the outer shell further comprises a peripheral band and wherein the at least one surface modification is on the peripheral band.
- 8. The AIOL of Claim 1, wherein the at least one surface modification comprises nano-texturing.
- 9. The AIOL of Claim 1, wherein the at least one surface modification comprises a coating including growth factors for the capsular bag.

10. A dual optic accommodating intraocular lens (AIOL) adapted for implantation into a capsular bag, comprising:

an anterior optic;

a posterior optic; and

interlocking features connected the anterior optic to the posterior optic, the interlocking features having at least one surface modification to promote bonding of the capsular bag to the interlocking features, wherein the interlocking features are adapted to store mechanical energy when pulled outwardly by the bonded capsular bag and wherein the interlocking features comprise spring windings.

- 11. The dual-optic AIOL of Claim 10, wherein the interlocking features comprise living hinges.
- 12. The dual-optic AIOL of Claim 10, wherein the at least one surface modification comprises nano-texturing.
- 13. The dual-optic AIOL of Claim 10, wherein the at least one surface modification comprises a coating including growth factors for the capsular bag.
- 14. The dual-optic AIOL of Claim 10, further comprising a peripheral band connected to the interlocking features.
- 15. The dual-optic AIOL of Claim 10, further comprising retaining features storing mechanical energy in the interlocking features to allow the interlocking features to be bonded to the capsular bag.

16. A peripheral band adapted to couple to an accommodating intraocular lens (AIOL) within a capsular bag attached to a plurality of zonules in an attachment region, the peripheral band having at least one surface modification to promote bonding to the capsular, the peripheral band having a width spanning the attachment region of the capsular bag.

- 17. The peripheral band of Claim 16, wherein the peripheral band is sized to fit snugly around the AIOL to transfer forces from the capsular bag to the AIOL.
- 18. The peripheral band of Claim 16, wherein the peripheral band is bonded to the AIOL with adhesive.
- 19. The peripheral band of Claim 16, wherein the peripheral band is formed integrally with the AIOL.
- 20. The peripheral band of Claim 16, wherein the at least one surface modification comprises nano-texturing.
- 21. The peripheral band of Claim 16, wherein the at least one surface modification comprises a coating including growth factors for the capsular bag.

22. A method of implanting an accommodating intraocular lens (AIOL) in a capsular bag, comprising:

providing an AIOL comprising an outer shell, the outer shell including at least one surface modification on at least a periphery of the outer shell to promote bonding with the capsular bag, a valve configured to permit injection of a fill material, and a force transfer assembly in the outer shell adapted to transfer forces from the capsular bag to change the shape of the filled outer shell in response to changes in capsular bag shape;

overfilling the AIOL using a fill material; allowing the capsular bag to bond to the outer shell of the AIOL; and removing fill material from the AIOL to place the AIOL in a disaccommodated state.

- 23. The method of Claim 22, wherein the fill material comprises a polymer gel.
- 24. The method of Claim 22, wherein the at least one surface modification comprises nano-texturing.
- 25. The method of Claim 22, wherein the at least one surface modification comprises a coating including growth factors for the capsular bag.

26. A method of implanting a dual-optic accommodating intraocular lens (AIOL), comprising:

providing a dual-optic AIOL comprising an anterior optic, a posterior optic, and interlocking features connected the anterior optic to the posterior optic, and retaining features, the interlocking features having at least one surface modification to promote bonding of the capsular bag to the interlocking features, wherein the interlocking features are adapted to store mechanical energy in spring windings when pulled outwardly by the bonded capsular bag and the retaining features are adapted to store mechanical energy in the interlocking features to allow the interlocking features to be bonded to the capsular bag;

implanting the dual-optic AIOL into the capsular bag; allowing the capsular bag to bond to the dual-optic AIOL; and releasing the retaining features.

- 27. The method of Claim 26, wherein releasing the retaining features comprises removing the retaining features.
- 28. The method of Claim 26, wherein releasing the retaining features comprises severing the retaining features with a laser.

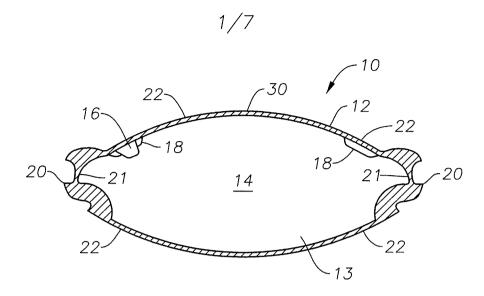


Fig. 1

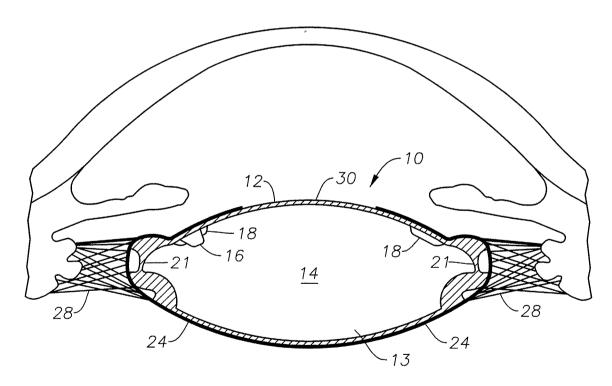


Fig. 2

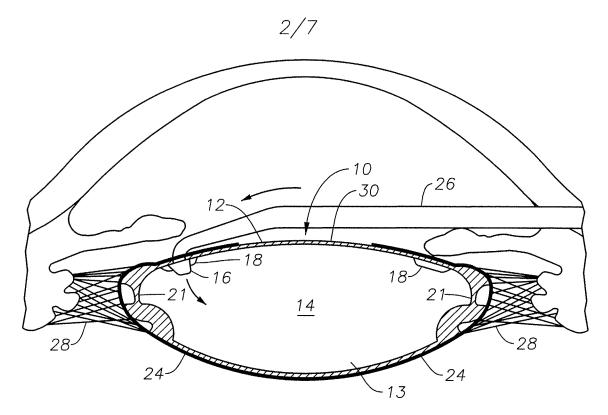


Fig. 3

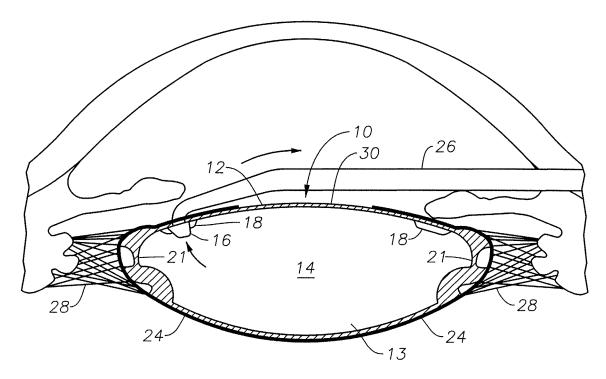


Fig. 4

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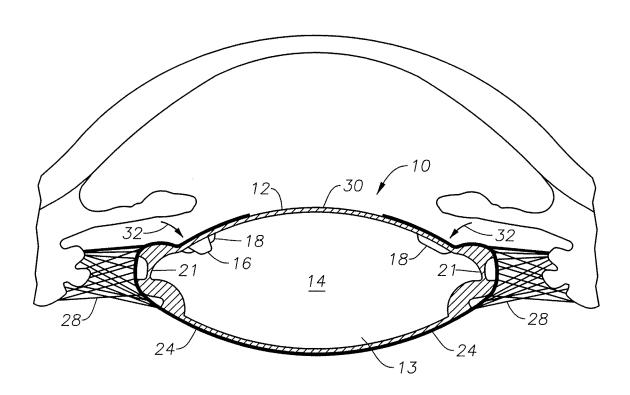


Fig. 5

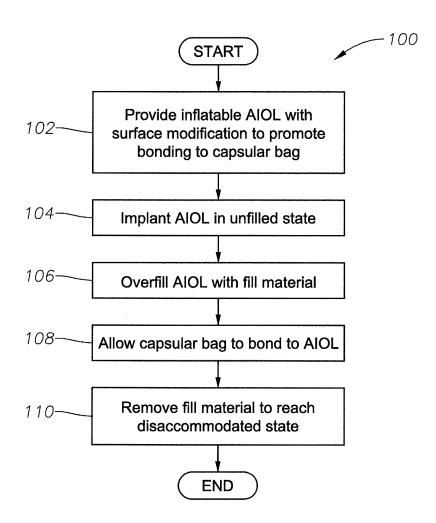
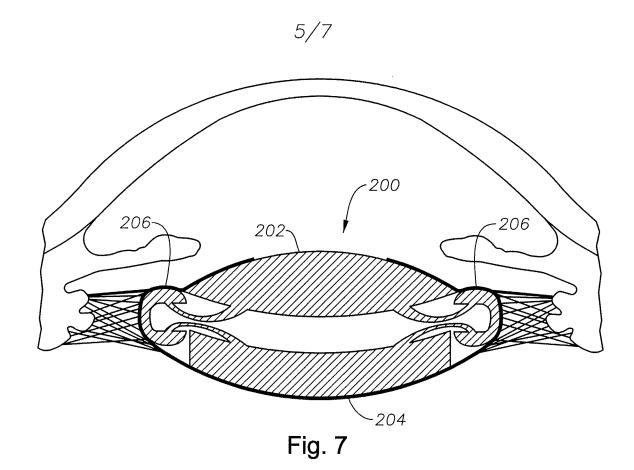


Fig. 6



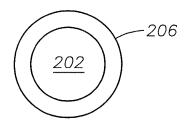


Fig. 8A

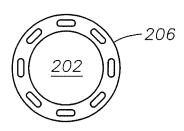


Fig. 8B

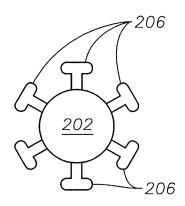


Fig. 8C

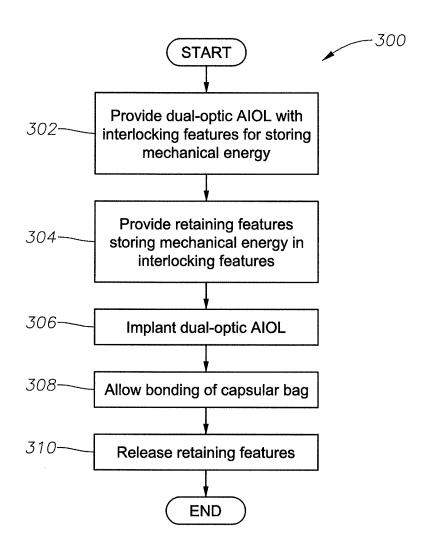


Fig. 9

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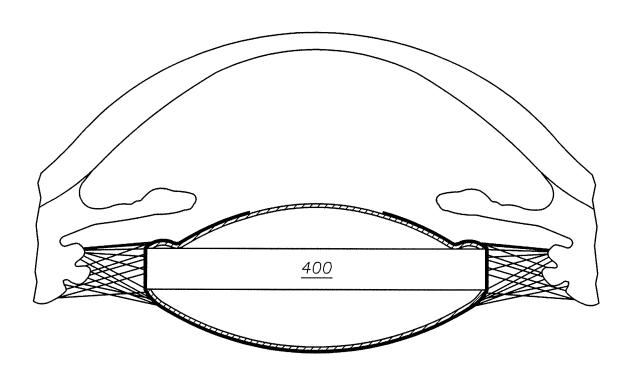


Fig. 10

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US 12/38973

#### CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61F 2/16 (2012.01)

USPC - 623/6.13

According to International Patent Classification (IPC) or to both national classification and IPC

# FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

USPC: 623/6.13

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC: 623/4.1, 6.11, 6.13, 6.15, 6.22, 6.32, 6.34, 6.37, 6.38, 6.39 IPC: A61F2/00, 2/02, 2/14(keyword limited; terms below)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Thomson (all patents); Google: Search Terms Used: IOL, intraocular, lens, remov\*3, withdaw\*3, fluid, fill, gel, liquid, nanostructure, nanometer, textur\*3, surface, overfill\*3, peripheral, band, accommodat\*3, valve, reinforc\*5, stiff\*5, bend\*3, deform\*5, flex\*3, ciliary, rough\*5, coat\*3, exterior, inflat\*3, expand\*3, hinge

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|-----------|---|-----------------------|
| Y         | US 5,213,579 A (YAMADA et al) 25 May 1993 (25.05.1993) fig 6, col 2, ln 62, col 3, ln 2, col 3, ln 44-46, col 7, ln 34-43 | 1-9, 22-25            |
| Y         | US 2008/0077239 A1 (ZICKLER et al) 27 March 2008 (27.03.2008) fig 9, para [0011]-[0012], [0036], [0047], [0051]-[0053]    | 1-9, 22-25            |
| Y         | US 2009/0319040 A1 (KHOURY) 24 December 2009 (24.12.2009) fig 6   | 3                     |
| Y         | US 6,036,396 A (KELLEHER) 16 May 2000 (16.05.2000) col 16, ln 42-col 17, ln 4   | 4                     |
| Y         | US 2009/0312836 A1 (PINCHUK et al) 17 December 2009 (17.12.2009) para [0071], [0089]                                      | 9, 25                 |
| Y         | US 2010/0324671 A1 (SHADDUCK) 23 December 2010 (23.12.2010) para [0050]   | 22-24                 |
| Α         | US 20100324674 A1 (BROWN) 23 December 2010 (23.12.2010) Entire document.  | 1-9, 22-25            |
| Α         | US 20060212116 A1 (WOODS) 21 September 2006 (21.09.2006) Entire document.   | 1-9, 22-25            |
| Α         | US 5035710 A (NAKADA et al.) 30 July 1991 (30.07.1991) Entire document.   | 1-9, 22-25            |
|           |   |                       |
|           |   |                       |
|           |   |                       |
|           |   |                       |

|  | Further documents are listed in the continuation of Box C.   | [  |  |
|--|--|--|--|
| *  | Special categories of cited documents:   | "T"  | later document published after the international filing date or priority   |
| "A"  | document defining the general state of the art which is not considered to be of particular relevance |  | date and not in conflict with the application but cited to understand<br>the principle or theory underlying the invention          |
| "E"  | earlier application or patent but published on or after the international filing date                | "X"  | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive |
| "L"  | document which may throw doubts on priority claim(s) or which is                                     |  | step when the document is taken alone  |
|  | cited to establish the publication date of another citation or other special reason (as specified)   | "Y" document of particular relevance; the claimed invention canno considered to involve an inventive step when the documen |  |
| "O"  | document referring to an oral disclosure, use, exhibition or other means                             |  | combined with one or more other such documents, such combination being obvious to a person skilled in the art                      |
| "Р"  | document published prior to the international filing date but later than the priority date claimed   | "&"  | document member of the same patent family  |
| Date of the actual completion of the international search  |  |  | e of mailing of the international search report  |
| 23 September 2012 (23.09.2012)   |  |  | 22 OCT 2012  |
| Name and mailing address of the ISA/US   |  | F  | Authorized officer:  |
| Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 |  |  | Lee W. Young   |
| Facsimile No. 571-273-3201   |  | PCT Helpdesk: 571-272-4300<br>PCT OSP: 571-272-7774  |  |

# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 12/38973

| Box No. II   | Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)   |  |  |  |
|--|---|--|--|--|
| This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:   |   |  |  |  |
|  | Claims Nos.: Decause they relate to subject matter not required to be searched by this Authority, namely:   |  |  |  |
| t  | Claims Nos.:  Decause they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:   |  |  |  |
|  | Claims Nos.:  Decause they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).   |  |  |  |
| Box No. II   | Observations where unity of invention is lacking (Continuation of item 3 of first sheet)  |  |  |  |
| This International Searching Authority found multiple inventions in this international application, as follows: Group I: claims 1-9, 22-25 directed to an accommodating intraocular lens Group II: claims 10-15, 26-28 directed to a dual optic accommodating intraocular lens Group III: claims 16-21 directed to a peripheral band |   |  |  |  |
| The groups of inventions above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:   |   |  |  |  |
| The special technical feature of the Group I claims is a shell with a valve to allow injection of fill material and force transfer means, which is not present in the claims of Group II or III.   |   |  |  |  |
| The special technical feature of the Group II claims is anterior and posterior optics connected by energy storing windings, which is not present in the claims of Group I or III.  |   |  |  |  |
|  | As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  |  |  |  |
| 2  | As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.   |  |  |  |
| 3  | As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:  |  |  |  |
| r  | No required additional search fees were timely paid by the applicant. Consequently, this international search report is estricted to the invention first mentioned in the claims; it is covered by claims Nos.: -9, 22-25   |  |  |  |
| Remark o   | The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.  No protest accompanied the payment of additional search fees. |  |  |  |