(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 10 January 2002 (10.01.2002)

(51) International Patent Classification7:

(10) International Publication Number WO 02/02184 A1

1/39, 1/375

NE, Stacy, MN 55079 (US). MILTICH, Thomas, P.; 9148 Upland Lane North, Maple Grove, MN 55369 (US).

(21) International Application Number: PCT/US01/19526

(74) Agents: WOLDE-MICHAEL, Girma et al.; Medtronic, Inc. LC340, 710 Medtronic Parkway, Minneapolis, MN 55432 (US).

(22) International Filing Date: 19 June 2001 (19.06.2001)

(81) Designated States (national): CA, JP.

(25) Filing Language:

English

A61N 1/372.

(26) Publication Language: English (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(30) Priority Data:

09/607,833

30 June 2000 (30.06.2000)

Published:

with international search report

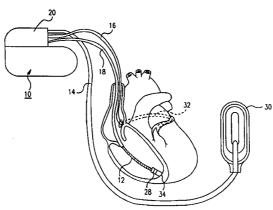
MEDTRONIC, INC. [US/US]; 710 (71) Applicant: Medtronic Parkway Northeast, Minneapolis, MN 55432 (US).

before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(72) Inventors: RORVICK, Anthony, W.; 4800 89th Crescent, Brooklyn Park, MN 55443 (US). BREYEN, Mark, D.; 4620 Arrowood Lane North, Plymouth, MN 55442 (US). PIGNATO, Paul, A.; 8440 North Fawn Lake Road

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: IMPLANTABLE MEDICAL DEVICE HAVING FLAT ELECTROLYTIC CAPACITOR WITH TAILORED ANODE LAYERS



(57) Abstract: Flat electrolytic capacitors and method of making and using same in implantable medical devices (IMDs) are disclosed, the capacitors having a plurality of capacitor layers of an electrode stack formed of tailored numbers of anode and cathode layers to fill the available stack height space in the capacitor case. The capacitor is formed with a capacitor or electrode stack assembly having a stack assembly thickness or height H_N that is tailored to fit a case wall height H_{CW} of the capacitor case with minimal wasted space and allowance for any stack height tolerance to. The electrode stack assembly comprises a plurality of N stacked capacitor layers each having a specified capacitor layer thickness or height. At least N₁ capacitor layers have a first capacitor layer thickness T_1 and N_2 capacitor layers have a second capacitor layer thickness T_2 where $N = (N_1 + N_2)$, and $H_N = N_1 * T_1 + T_1 * N_2$. The N capacitor layers are preferably formed of a cathode layer, and anode sub-assembly and at least one separator layer comprising one or more separator sheet on either side of the cathode layer and the anode-layer sub-assembly. The anode sub-assembly of the N_1 capacitor layers comprising x anode layers each having anode layer thickness t_x stacked together, each anode layer having an anode layer thickness T_x. The anode sub-assemblies of the N₂ capacitor layers comprising y anode layers each ahving anode layer thickness t_v stacked together, each anode layer having an anode layer thickness T_v.



IMPLANTABLE MEDICAL DEVICE HAVING FLAT ELECTROLYTIC CAPACITOR WITH TAILORED ANODE LAYERS

5

10

15

20

FIELD OF THE INVENTION

This invention relates to implantable medical devices (IMDs) and their various components, including flat electrolytic capacitors for same, and methods of making and using same, particularly to an electrode stack assembly having a plurality of capacitor layers formed of tailored numbers of anode layers of selected capacitor layers to tailor the stack assembly height to fill the available stack height space in the capacitor case.

BACKGROUND OF THE INVENTION

As described in the above-referenced parent patent application Serial No. 09/103,843, and the provisional application that it claims priority from, a wide variety of IMDs are known in the art. Of particular interest are implantable cardioverter-defibrillators (ICDs) that deliver relatively high energy cardioversion and/or defibrillation shocks to a patient's heart when a malignant tachyarrhythmia is detected. Current ICDs typically possess single or dual chamber pacing capabilities for treating specified chronic or episodic atrial and/or ventricular bradycardia and tachycardia and were referred to previously as pacemaker/cardioverter/defibrillators (PCDs). Earlier developed automatic implantable defibrillators (AIDs) did not have cardioversion or pacing capabilities. For purposes of the present invention ICDs are understood to encompass all such IMDs having at least high voltage cardioversion and/or defibrillation capabilities.

25

30

Generally speaking, it is necessary to employ a DC-DC converter within an ICD implantable pulse generator (IPG) to convert electrical energy from a low voltage, low current, electrochemical cell or battery enclosed within the IPG housing to a high voltage energy level stored in one or more high energy storage capacitor, as shown for example, in commonly assigned U.S. Patent No. 4,548,209. The conversion is effected upon confirmation of a tachyarrhythmia by a DC-DC "flyback" converter which includes a transformer having a primary winding in series with the battery and a secondary winding in series with the high energy capacitor(s) and an interrupting circuit or switch in series with the primary coil and battery that is periodically opened and closed during a charging

2

cycle. Charging of the high energy capacitor is accomplished by inducing a voltage in the primary winding of the transformer creating a magnetic field in the secondary winding when the switch is closed. The field collapses when the current in the primary winding is interrupted by opening the switch, and the collapsing field develops a current in the secondary winding which is applied to the high energy capacitor to charge it. The repeated interruption of the supply current charges the high energy capacitor to a desired level of several hundred volts over a charging time of the charge cycle. Then, the energy is rapidly discharged from the high voltage capacitor(s) through cardioversion/defibrillation electrodes coupled to the IPG through ICD leads and arranged about or in a heart chamber or vessel if the tachyarrhythmia is confirmed as continuing at the end of the charge time. The cardioversion/defibrillation shocks effected by discharge of such capacitors are typically in the range of about 25 to 40 Joules. The process of delivering cardioversion/defibrillation shocks in this way may be repeated if an earlier delivered cardioversion/defibrillation shock does not convert the tachyarrhythmia to a normal heart rhythm.

5

10

15

20

25

Energy, volume, thickness and mass are critical features in the design of ICD pulse generators that are coupled to the ICD leads. The battery(s) and high voltage capacitor(s) used to provide and accumulate the energy required for the cardioversion/defibrillation shocks have historically been relatively bulky and expensive. Presently, ICD IPGs typically have a volume of about 40 to about 60 cc, a thickness of about 13 mm to about 16 mm and a mass of approximately 100 grams.

It is desirable to reduce the volume, thickness and mass of such capacitors and ICD IPGs without reducing deliverable energy. Doing so is beneficial to patient comfort and minimizes complications due to erosion of tissue around the ICD IPG. Reductions in size of the capacitors may also allow for the balanced addition of volume to the battery, thereby increasing longevity of the ICD IPG, or balanced addition of new components, thereby adding functionality to the ICD IPG. It is also desirable to provide such ICD IPGs at low cost while retaining the highest level of performance. At the same time, reliability of the capacitors cannot be compromised.

3

Various types of flat and spiral-wound capacitors are known in the art, some examples of which are described as follows and/or may be found in the patents listed in Table 1 of the above-referenced parent patent application Serial No. 09/103,843.

5

10

15

20

25

30

Prior art high voltage electrolytic capacitors used in ICDs have two or more anode and cathode layers (or "electrodes") and operate at room or body temperature. Typically, the capacitor is formed with a capacitor case enclosing an etched aluminum foil anode, an aluminum foil or film cathode, and a Kraft paper or fabric gauze spacer or separator impregnated with a solvent based liquid electrolyte interposed therebetween. A layer of aluminum oxide that functions as a dielectric layer is formed on the etched aluminum anode, preferably during passage of electrical current through the anode. The electrolyte comprises an ion producing salt that is dissolved in a solvent and provides ionic electrical conductivity between the cathode and the aluminum oxide dielectric. The energy of the capacitor is stored in the electrostatic field generated by opposing electrical charges separated by the aluminum oxide layer disposed on the surface of the anode and is proportional to the surface area of the aluminum anode. Thus, to minimize the overall volume of the capacitor one must maximize anode surface area per unit volume without increasing the capacitor's overall (i.e., external) dimensions. The separator material, anode and cathode layer terminals, internal packaging, electrical interconnections, and alignment features and cathode material further increase the thickness and volume of a capacitor. Consequently, these and other components in a capacitor and the desired capacitance limit the extent to which its physical dimensions may be reduced.

Some ICD IPGs employ commercial photoflash capacitors similar to those described by Troup in "Implantable Cardioverters and Defibrillators," <u>Current Problems in Cardiology</u>, Volume XIV, Number 12, Dec. 1989, Year Book Medical Publishers, Chicago, and as described in U.S. Patent No. 4,254,775. The electrodes or anode and cathodes are wound into anode and cathode layers separated by separator layers of the spiral. Anode layers employed in such photoflash capacitors typically comprise one or two sheets of a high purity (99.99%), porous, highly etched, anodized aluminum foil. Cathode layers in such capacitors are formed of a non-porous, highly etched aluminum foil sheet which may be somewhat less pure (99.7%) respecting aluminum content than the anode layers. The separator formed of one or more sheet or layer of Kraft paper saturated

and impregnated with a solvent based liquid electrolyte is located between adjacent anode and cathode layers. The anode foil thickness and cathode foil thickness are on the order of 100 micrometers and 20 micrometers, respectively. Most commercial photoflash capacitors contain a core of separator paper intended to prevent brittle, highly etched aluminum anode foils from fracturing during winding of the anode, cathode and separator layers into a coiled configuration. The cylindrical shape and paper core of commercial photoflash capacitors limits the volumetric packaging efficiency and thickness of an ICD IPG housing made using same.

The aluminum anodes and cathodes of aluminum electrolytic capacitors generally each have at least one tab extending beyond their perimeters to facilitate electrical connection of all (or sets of) the anode and cathode layers electrically in parallel to form one or more capacitor and to make electrical connections to the exterior of the capacitor case. Tab terminal connections for a wound electrolytic capacitor are described in U.S. Patent No 4,663,824 that are laser welded to feedthrough pin terminals of feedthroughs extending through the case. Wound capacitors usually contain two or more tabs joined together by crimping or riveting.

Flat electrolytic capacitors have also been disclosed in the prior art for general applications as well as for use in ICDs. More recently developed ICD IPGs employ one or more flat high voltage capacitor to overcome some of the packaging and volume disadvantages associated with cylindrical photoflash capacitors. For example, U.S. Patent No. 5,131,388 discloses a flat capacitor having a plurality of stacked capacitor layers. Each capacitor layer contains one or more anode sheet forming an anode layer having an anode tab, a cathode sheet or layer having a cathode tab and a separator for separating the anode layer from the cathode layer. In the '388 patent, the electrode stack assembly of stacked capacitor layers is encased within a non-conductive, polymer envelope that is sealed at its seams and fitted into a chamber of a conductive metal, capacitor case or into a compartment of the ICD IPG housing, and electrical connections with the capacitor anode(s) and cathode(s) are made through feedthroughs extending through the case or compartment wall. The tabs of the anode layers and the cathode layers of all of the capacitor layers of the stack are electrically connected in parallel to form a single capacitor or grouped to form a plurality of capacitors. The aluminum anode layer tabs are gathered

5

together and electrically connected to a feedthrough pin of an anode feedthrough extending through the case or compartment wall. The aluminum cathode layer tabs are gathered together and electrically connected to a feedthrough pin of a cathode feedthrough extending through the case or compartment wall or connected to the electrically conductive capacitor case wall.

Many improvements in the design of flat aluminum electrolytic capacitors for use in ICD IPGs have been disclosed, e.g., those improvements described in "High Energy Density Capacitors for Implantable Defibrillators" presented by P. Lunsmann and D. MacFarlane at CARTS 96: 16th Capacitor and Resistor Technology Symposium, 11-15 March 1996, and at CARTS-EUROPE 96: 10th European Passive Components

Symposium., 7-11 October 1996, pp. 35-39. Further features of flat electrolytic capacitors for use in ICD IPGs are disclosed in U.S. Patent Nos. 4,942,501; 5,086,374; 5,146,391; 5,153,820; 5,562,801; 5,584,890; 5,628,801; and 5,748,439, all issued to MacFarlane et al.

15

20

25

30

5

10

A number of recent patents including U.S. Patent No. 5,660,737 and U.S. Patent Nos. 5,522,851; 5,801,917; 5,808,857; 5,814,082; 5,908,151; 5,922,215; 5,926,357; 5,930,109; 5,968,210 and 5,983,472, all assigned to the same assignee, disclose related flat electrolytic capacitor designs for use in ICDs. In several of these patents, internal alignment elements are employed as a means for controlling the relative edge spacing of the anode and cathode layers from the conductive capacitor case. In these patents, each anode layer and cathode layer is provided with an outwardly extending tab, and the anode and cathode tabs are electrically connected in common to a feedthrough pin and a step feature of the conductive capacitor case, respectively. The cathode tabs are gathered together against the step feature and ultrasonically welded together and to the step feature. In the '357 patent, the anode tabs are laser welded to one end of an aluminum ribbon that is ultrasonically welded at its other end to an aluminum layer that is ultrasonically welded to the terminal pin. The feedthrough terminal pin is electrically isolated from and extends outside and away from the case to provide an anode connection pin. A cathode connection pin is attached to the case and extends outwardly therefrom. The anode and cathode connection pins are electrically connected into the DC-DC converter circuitry, but the attachment mechanism is not described in any detail.

6

As noted above, the capacitor layers of a flat electrode stack assembly typically comprise at least one anode layer, a cathode layer and a separator formed of one or more separator sheet. However, it is known to employ two or more highly etched aluminum foil sheets to form an anode layer of each capacitor layer. The above referenced `890 patent shows three highly etched anode foils or sheets stacked together, and the above-referenced `082 patent suggests single, double, triple or higher multiple anode sheets in each capacitor layer. These suggested capacitor layers have the same selected number of anode sheets having the same anode sheet thickness and therefor would be of uniform thickness for any given capacitor stack. Therefore, all of the capacitor layers or anode-cathode sub-assemblies of a electrode stack assembly would be of the same thickness or height.

It is desirable to achieve the maximum surface area and capacitance of the electrode stack assembly and minimize empty height space of the interior case chamber without causing undue pressure on the electrode stack assembly as the separator swells upon electrolyte filling.

15

20

25

30

5

10

SUMMARY OF THE INVENTION

Accordingly, the present invention is directed to providing efficient usage of the space within the interior case chamber of an electrolytic capacitor particularly adapted for use in IMDs. The capacitor is formed with a capacitor or electrode stack assembly having a stack assembly thickness or height \mathbf{H}_N that is tailored to fit a case wall height \mathbf{H}_{cw} of the capacitor case with minimal wasted space and allowance for any stack height tolerance \mathbf{t}_o . The electrode stack assembly comprises a plurality of \mathbf{N} stacked capacitor layers each having a specified capacitor layer thickness or height. The \mathbf{N} capacitor layers are preferably formed of a cathode layer, and anode sub-assembly and at least one separator layer comprising one or more separator sheet on either side of the cathode layer and the anode sub-assembly.

At least N_1 capacitor layers have a first capacitor layer thickness T_{CL1} and N_2 capacitor layers have a second capacitor layer thickness T_{CL2} where $N = N_1 + N_2$, and $H_N = N_1 * T_{CL1} + N_2 * T_{CL2}$. (plus the thickness of additional upper and lower separator layers, if present) The anode sub-assemblies of the N_1 capacitor layers comprising x anode layers each having anode layer thickness t_x that are stacked together, each anode sub-assembly

7

having an anode sub-assembly thickness T_x . Similarly, the anode sub-assemblies of the N_2 capacitor layers comprising y anode layers each having anode layer thickness t_y that are stacked together, each anode sub-assembly having an anode sub-assembly thickness T_y .

5

10

15

20

25

30

In one thickness tailoring embodiment, the x anode layers each have the same anode layer thickness \mathbf{t}_x , the y anode layers each have the same anode layer thickness \mathbf{t}_y , $\mathbf{t}_x = \mathbf{t}_y$, and therefore the condition $\mathbf{x} \neq \mathbf{y}$ is necessary in order to achieve differing anode subassembly thicknesses \mathbf{T}_x and \mathbf{T}_y . In a second tailoring embodiment, the x anode layers each have the same anode layer thickness \mathbf{t}_x , the y anode layers each have the same anode layer thickness \mathbf{t}_y , but $\mathbf{t}_x \neq \mathbf{t}_y$, and therefore either condition $\mathbf{x} \neq \mathbf{y}$ or $\mathbf{x} = \mathbf{y}$ is sufficient in order to achieve differing anode sub-assembly thicknesses \mathbf{T}_x and \mathbf{T}_y . In a third tailoring embodiment, certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y1} , \mathbf{t}_{y2} , et seq., and $\mathbf{t}_{x1} \neq \mathbf{t}_{y1}$, $\mathbf{t}_{x2} \neq \mathbf{t}_{y2}$, et seq., and therefore either condition $\mathbf{x} \neq \mathbf{y}$ or $\mathbf{x} = \mathbf{y}$ is sufficient in order to achieve differing anode sub-assembly thicknesses \mathbf{T}_x and \mathbf{T}_y . In a fourth tailoring embodiment, certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and $\mathbf{t}_{x1} = \mathbf{t}_{y1}$, $\mathbf{t}_{x2} = \mathbf{t}_{y2}$, et seq., and therefore the condition $\mathbf{x} \neq \mathbf{y}$ is necessary in order to achieve differing anode sub-assembly thicknesses \mathbf{T}_x and \mathbf{T}_y .

In a preferred embodiment the electrolytic capacitor is formed of a capacitor case defining an interior case chamber and case chamber periphery, an electrode stack assembly of a plurality of stacked capacitor layers having anode and cathode tabs disposed in the interior case chamber, an electrical connector assembly for providing electrical connection with the anode and cathode tabs through the case, a cover, and electrolyte filling the remaining space within the interior case chamber. A case liner can also be disposed around the electrode stack assembly periphery, and its upper and lower wall thicknesses are taken into account in specifying the stack height tolerance \mathbf{t}_0 .

The number N of capacitor layers and the overall electrode stack assembly thickness or stack height \mathbf{H}_N of the N stacked capacitor layers that are fitted into the interior case chamber depends on the specified case side wall height \mathbf{H}_{cw} , and the stack height tolerance \mathbf{t}_o providing for variances in the stack thickness and any stack binders or liners holding the stacked capacitor layers together and/or isolating the stack periphery

8

from the case side wall. The stack tolerance t_o is defined to ensure that the electrode stack assembly, with or without a liner, fits into the interior case chamber after assembly and to allow for separator swelling upon filling with electrolyte. The total electrode stack assembly thickness or height H_N is dependent upon the total number N of capacitor layers and the thickness $T_1, T_2, \ldots T_n$ of the selected groups $N_1, N_2, \ldots N_n$ of capacitor layers. The capacitor layer thickness $T_1, T_2, \ldots T_n$ depends on the number and the thickness of the anode foils or sheets of the anode layers, the thickness of the cathode layers, and the thickness of the separator sheets, particularly when swollen by liquid electrolyte. By this selection, the maximum surface area and capacitance of the electrode stack assembly is achieved and empty height space of the interior case chamber is minimized without causing undue pressure.

5

10

15

20

25

30

Those of ordinary skill in the art will understand immediately upon referring to the drawings, detailed description of the preferred embodiments and claims hereof that many objects, features and advantages of the capacitors and methods of the present invention will find application in the fields other than the field of IMDs.

BRIEF DESCRIPTION OF THE DRAWINGS

These and other advantages and features of the present invention will be appreciated as the same becomes better understood by reference to the following detailed description of the preferred embodiment of the invention when considered in connection with the accompanying drawings, in which like numbered reference numbers designate like parts throughout the figures thereof, and wherein:

FIG. 1 illustrates the physical components of one exemplary embodiment of an ICD IPG and lead system in which the present invention may be advantageously incorporated;

FIG. 2 is a simplified functional block diagram illustrating the interconnection of voltage conversion circuitry with the high voltage capacitors of the present invention with the primary functional components of one type of an ICD IPG;

FIGs. 3(a) -3(g) are exploded perspective views of the manner in which the various components of the exemplary ICD IPG of FIGs. 1 and 2, including the electrolytic capacitors of the present invention, are disposed within the housing of the ICD IPG;

5

10

15

20

25

30

- FIG. 4 is an exploded view of one embodiment of a single anode/cathode layer or electrode stack sub-assembly of an electrolytic capacitor incorporating the present invention;
- FIG. 5(a) is an exploded perspective view of one embodiment of a cold welding apparatus in which anode layers of the electrode stack sub-assembly of FIG. 4 are cold-welded;
 - FIG. 5(b) is an unexploded view of the cold welding apparatus of FIG. 5(a);
- FIG. 5(c) is a cross-sectional view of the cold welding apparatus of FIGs. 5(a) and 5(b) in which anode layers of the electrode sub-assembly of FIG. 4 are cold-welded therein;
- FIG. 6(a) is an exploded top perspective view of one embodiment of a plurality of capacitor layers of an electrode stack assembly of an electrolytic capacitor incorporating the present invention;
- FIG. 6(b) is a cross-sectional view of a portion of one embodiment of a coldwelded anode assembly used in the electrolytic capacitor;
- FIG. 6(c) is a cross-sectional view of another portion of one embodiment of a coldwelded anode assembly used in the electrolytic capacitor;
- FIG. 7 is a top perspective view of one embodiment of an electrode stack assembly of an electrolytic capacitor incorporating the present invention;
- FIG. 8 is an enlarged view of a portion of the electrode stack assembly shown in FIG. 7;
- FIG. 9 is an exploded top perspective view of one embodiment of a capacitor of the present invention employing the electrode stack assembly of FIGs. 6, 7 and 8 therein;
- FIG. 10(a) is an exploded top perspective view of the partially assembled capacitor of FIG. 9;
- FIG. 10(b) is a partial cross-section view of the case base and side wall of FIG. 10(a);
 - FIG. 11 is a top view of one embodiment of a partly assembled capacitor of the present invention having no cover disposed thereon;
- FIG. 12 is a top perspective view of the capacitor of FIG. 11 having a cover disposed thereon.

10

FIG. 13 is a partial cross-section view of the case periphery and electrode stack periphery taken along lines 13-13 of FIG. 11 depicting peripheral edges of anode sub-assemblies, cathode layers, and separator layers of a portion of the stack height of the electrode stack assembly;

FIG. 14 is a perspective view of one embodiment of a fully assembled capacitor of the present invention having a case liner and no cover disposed thereon; and

FIG. 15 is a perspective view of the case liner of FIG. 14 placed around the periphery of the electrode stack assembly.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 illustrates one embodiment of ICD IPG 10 in which the capacitor of the

10

5

present invention is advantageously incorporated, the associated ICD electrical leads 14, 16 and 18, and their relationship to a human heart 12. The leads are coupled to ICD IPG 10 by means of multi-port connector block 20, which contains separate connector ports for each of the three leads illustrated. Lead 14 is coupled to subcutaneous electrode 30, which is intended to be mounted subcutaneously in the region of the left chest. Lead 16 is a coronary sinus lead employing an elongated coil electrode which is located in the coronary sinus and great vein region of the heart. The location of the electrode is illustrated in broken line format at 32, and extends around the heart from a point within the opening of the coronary sinus to a point in the vicinity of the left atrial appendage.

20

15

Lead 18 is provided with elongated electrode coil 28 which is located in the right ventricle of the heart. Lead 18 also includes stimulation electrode 34 which takes the form of a helical coil which is screwed into the myocardial tissue of the right ventricle. Lead 18 may also include one or more additional electrodes for near and far field electrogram sensing.

25

30

In the system illustrated, cardiac pacing pulses are delivered between helical electrode 34 and elongated electrode 28. Electrodes 28 and 34 are also employed to sense electrical signals indicative of ventricular contractions. As illustrated, it is anticipated that the right ventricular electrode 28 will serve as the common electrode during sequential and simultaneous pulse multiple electrode defibrillation regimens. For example, during a simultaneous pulse defibrillation regimen, pulses would simultaneously be delivered between electrode 28 and electrode 30 and between electrode 28 and electrode 32. During

11

sequential pulse defibrillation, it is envisioned that pulses would be delivered sequentially between subcutaneous electrode 30 and electrode 28 and between coronary sinus electrode 32 and right ventricular electrode 28. Single pulse, two electrode defibrillation shock regimens may be also provided, typically between electrode 28 and coronary sinus electrode 32. Alternatively, single pulses may be delivered between electrodes 28 and 30. The particular interconnection of the electrodes to an ICD will depend somewhat on which specific single electrode pair defibrillation shock regimen is believed more likely to be employed.

5

10

15

20

25

30

FIG. 2 is a block diagram illustrating the interconnection of high voltage output circuit 40, high voltage charging circuit 64 and capacitors 265 according to one example of the microcomputer based operating system of the ICD IPG of FIG. 1. As illustrated, the ICD operations are controlled by means of a stored program in microprocessor 42, which performs all necessary computational functions within the ICD. Microprocessor 42 is linked to control circuitry 44 by means of bi-directional data/control bus 46, and thereby controls operation of the output circuitry 40 and the high voltage charging circuitry 64. Pace/sense circuitry 78 awakens microprocessor 42 to perform any necessary mathematical calculations, to perform tachycardia and fibrillation detection procedures and to update the time intervals controlled by the timers in pace/sense circuitry 78 on reprogramming of the ICD operating modes or parameter values or on the occurrence of signals indicative of delivery of cardiac pacing pulses or of the occurrence of cardiac contractions,.

The basic operation and particular structure or components of the exemplary ICD of FIGs. 1 and 2 may correspond to any of the systems known in the art, and the present invention is not dependent upon any particular configuration thereof. The flat aluminum electrolytic capacitor of the present invention may be employed generally in conjunction with the various systems illustrated in the aforementioned '209 patent, or in conjunction with the various systems or components disclosed in the various U.S. patents listed in the above-referenced parent patent application Serial No. 09/103,843.

Control circuitry 44 provides three signals of primary importance to output circuitry 40. Those signals include the first and second control signals discussed above, labeled here as ENAB, line 48, and ENBA, line 50. Also of importance is DUMP line 52

12

which initiates discharge of the output capacitors and VCAP line 54 which provides a signal indicative of the voltage stored on the output capacitors C1, C2, to control circuitry 44. Defibrillation electrodes 28, 30 and 32 illustrated in FIG. 1, above, are shown coupled to output circuitry 40 by means of conductors 22, 24 and 26. For ease of understanding, those conductors are also labeled as "COMMON", "HVA" and "HVB". However, other configurations are also possible. For example, subcutaneous electrode 30 may be coupled to HVB conductor 26, to allow for a single pulse regimen to be delivered between electrodes 28 and 30. During a logic signal on ENAB, line 48, a cardioversion/defibrillation shock is delivered between electrode 30 and electrode 28. During a logic signal on ENBA, line 50, a cardioversion/ defibrillation shock is delivered between electrode 32 and electrode 28.

5

10

15

20

25

30

The output circuitry includes a capacitor bank, including capacitors C1 and C2 and diodes 121 and 123, used for delivering defibrillation shocks to the electrodes.

Alternatively, the capacitor bank may include a further set of capacitors as depicted in the above referenced '758 application. In FIG. 2, capacitors 265 are illustrated in conjunction with high voltage charging circuitry 64, controlled by the control/timing circuitry 44 by means of CHDR line 66. As illustrated, capacitors 265 are charged by means of a high frequency, high voltage transformer 65. Proper charging polarities are maintained by means of the diodes 121 and 123. VCAP line 54 provides a signal indicative of the voltage on the capacitor bank, and allows for control of the high voltage charging circuitry and for termination of the charging function when the measured voltage equals the programmed charging level.

Pace/sense circuitry 78 includes an R-wave sense amplifier and a pulse generator for generating cardiac pacing pulses, which may also correspond to any known cardiac pacemaker output circuitry and includes timing circuitry for defining ventricular pacing intervals, refractory intervals and blanking intervals, under control of microprocessor 42 via control/data bus 80.

Control signals triggering generation of cardiac pacing pulses by pace/sense circuitry 78 and signals indicative of the occurrence of R-waves, from pace/sense circuitry 78 are communicated to control circuitry 44 by means of a bi-directional data bus 81. Pace/sense circuitry 78 is coupled to helical electrode 34 illustrated in FIG. 1 by means of

13

a conductor 36. Pace/sense circuitry 78 is also coupled to ventricular electrode 28, illustrated in FIG. 1, by means of a conductor 82, allowing for bipolar sensing of R-waves between electrodes 34 and 28 and for delivery of bipolar pacing pulses between electrodes 34 and 28, as discussed above.

5

10

15

20

25

30

FIGs. 3(a) through 3(g) show perspective views of various components of ICD IPG 10, including one embodiment of the capacitor of the present invention, as those components are placed successively within the housing of ICD IPG 10. In FIG. 3(a), electronics module 360 is placed in right-hand shield 340 of ICD IPG 10. FIG. 3(b) shows ICD IPG 10 once electronics module 360 has been seated in right-hand shield 340.

FIG. 3(c) shows a pair of capacitors 265 formed as described herein prior to being placed within right-hand shield 340, the capacitors 265 being connected electrically in series by interconnections in electronics module 340. FIG. 3(d) shows ICD IPG 10 once the pair of capacitors 265 has been placed within right-hand shield 340.

FIG. 3(e) shows insulator cup 370 prior to its placing atop capacitors 265 in right-hand shield 340. FIG. 3(f) shows electrochemical cell or battery 380 having insulator 382 disposed around battery 380 prior to placing it in shield 340. Battery 380 provides the electrical energy required to charge and re-charge capacitors 265, and also powers electronics module 360. Battery 380 may take any of the forms employed in the prior art to provide cardioversion/defibrillation energy, some of which are identified in parent patent application Serial No. 09/103,843.

FIG. 3(g) shows ICD IPG 10 having left-hand shield 350 connected to right-hand shield 340 and feedthrough 390 projecting upwardly from both shield halves. Activity sensor 400 and patient alert apparatus 410 are shown disposed on the side lower portion of left-hand shield 350. Left-hand shield 350 and right-hand shield 340 are subsequently closed and sealed (not shown in the figures).

The present invention is directed to the tailoring of the thickness or height of an electrode stack assembly comprising one or more capacitor layers to the thickness or height of a capacitor case that the electrode stack assembly is fitted into. The preferred embodiment of the present invention is illustrated and described below in the context of a capacitor enclosure formed of two parts, a case and a cover, wherein the case defines an interior case chamber that is closed by the cover. The preferred case has a base having a

14

base peripheral edge and a case side wall extending between the base peripheral edge to a side wall opening edge defining a case opening edge. The base is larger dimensionally than the side walls, and the cover is shaped to about the same dimensions as the base. The cover is sealed against the case opening edge to enclose the interior case chamber which has a case chamber periphery and a case height \mathbf{H}_{ew} as shown in FIG. 10(b) and described further below. Thus, in this case configuration, the cover and base are the opposed major surfaces of the enclosure and are separated by the case side wall. The electrode stack assembly has a stack height that is correlated to the case height \mathbf{H}_{ew} as shown in FIG. 10(b) and described further below.

However, it will be understood that the case and cover may take a variety of

10

5

15

20

25

30

differing forms, and that the principles of the present invention may be applied to any such form. For example, two opposed case side walls may be dimensionally larger than the case base and the cover in the manner of a canister wherein the enclosure can be characterized by a case width between the opposed side walls. In this case and the electrode stack assembly is inserted through the end opening and between the opposed major case side walls. The electrode stack height of such an electrode stack assembly is tailored to the case width in accordance with the teachings of the present invention.

Consequently, the term "case height" embraces a case side wall height or a case width or a case thickness that the electrode stack assembly fits into in the stack height dimension.

FIG. 4 shows an exploded view of one embodiment of a capacitor layer or single

FIG. 4 shows an exploded view of one embodiment of a capacitor layer or single anode/cathode sub-assembly 227 of capacitor 265. The capacitor design described herein employs a stacked configuration of a plurality of capacitor layers or single anode/cathode sub-assemblies 227 as further described below with respect to FIG. 6. Each anode/cathode sub-assembly 227 comprises alternating substantially rectangular-shaped anode layers 185 and cathode layers 175, with a substantially rectangular-shaped separator layer 180 being interposed therebetween. The shapes of anode layers 185, cathode layers 175 and separator layers 180 are primarily a matter of design choice, and are dictated largely by the shape or configuration of case 90 within which those layers are ultimately disposed. Anode layers 185, cathode layers 175 and separator layers 180 may assume any arbitrary shape to optimize packaging efficiency.

15

Anode sub-assembly 170d most preferably comprises a plurality of non-notched anode layers 185a, 185b, 185c, notched anode layer 190 including anode tab notch 200, and anode tab 195 coupled to anode layer 185a. It will be understood that anode sub-assembly 170d shown in FIG. 4 is but one possible embodiment of an anode sub-assembly 170. Cathode layer 175d most preferably is formed of a single sheet and has cathode tab 176 formed integral thereto and projecting from the periphery thereof.

5

10

15

20

25

30

In one preferred embodiment of the sub-assembly 227 as depicted in the figures, two individual separator layer sheets 180a and 180b form the separator layer 180 that is disposed between each anode sub-assembly 170 and cathode layer 175. Further single separator layer sheets 180a and 180b are disposed against the outer surfaces of the anode layer 185c and the cathode layer 175d. When the sub-assemblies are stacked, the outermost single separator layer sheets 180a and 180b bear against adjacent outermost single separator layer sheets 180b and 180a, respectively, of adjacent capacitor layers so that two sheet separator layers 180 separate all adjacent cathode and anode layers of an electrode stack assembly 225.

It will be understood by those skilled in the art that the precise number of sub-assemblies 227 selected for use in a electrode stack assembly 225 will depend upon the energy density, volume, voltage, current, energy output and other requirements placed upon capacitor 265. Similarly, it will be understood by those skilled in the art that the precise number of notched and un-notched anode layers 185, anode tabs 195, anode sub-assemblies 170, cathode layers 175 and separator layers 180 selected for use in a given embodiment of anode/cathode sub-assembly 227 will depend upon the energy density, volume, voltage, current, energy output and other requirements placed upon capacitor 265.

In accordance with the present invention as described below in reference to FIG. 13, the number of anode layers 185a, 185b, 185c and notched anode layer 190 of any given anode sub-assembly 170 is tailored to provide a specific desired thickness of the capacitor layer or sub-assembly 227. The capacitor layer thickness $T_1, T_2, \ldots T_n$ depends on the number and the thickness of the anode foils or sheets of the anode layers, the thickness of the cathode layers, and the thickness of the separator sheets, particularly when swollen by liquid electrolyte. The total electrode stack assembly thickness or height H_N is dependent upon the total number N of capacitor layers and the thickness $T_1, T_2, \ldots T_n$ of

16

selected groups $N_1, N_2, \dots N_n$ of capacitor layers. The anode sub-assemblies 170 of a first group of N_1 capacitor layers each comprise x anode layers 185, 190 each having an anode layer thickness t_x that are welded together as described below in reference to FIGs. 5 and 6, whereby each anode sub-assembly 170 therefore has an anode sub-assembly thickness T_x . The anode sub-assemblies 170 of a second group of N_2 capacitor layers each comprise y anode layers 185, 190 each having an anode layer thickness t_y that are welded together in the same manner, whereby each anode sub-assembly has an anode sub-assembly thickness t_y . Further groups of capacitor layers 170 can be devised in this manner.

5

10

15

20

25

30

It will now become apparent that a virtually unlimited number of combinations and permutations respecting the number of anode/cathode sub-assemblies 227, and the number of un-notched and notched anode layers 185 forming anode sub-assembly 170, anode sub-assemblies 170, anode tabs 195, cathode layers 175 and separator layers 180 disposed within each anode/cathode sub-assembly 227, may be selected according to the particular requirements of capacitor 265. Anode layers 185, cathode layers 175 and separator layers 180 are most preferably formed of materials typically used in high quality aluminum electrolytic capacitors.

Anode layers 185 and 190 are formed of anode foil that is most preferably throughetched, has a high specific capacitance (at least about 0.3, at least about 0.5 or most preferably at least about 0.8 microfarads/cm²), has a dielectric withstand parameter of at least 425 Volts DC, a thickness ranging between about 50 and about 200 micrometers, more preferably between about 75 and 150 micrometers, more preferably yet between about 90 and about 125 micrometers, and most preferably being about 100 micrometers thick, and a cleanliness of about 1.0 mg/m² respecting projected area maximum chloride contamination. The anode foil preferably has a rated surge voltage of 390 Volts, an initial purity of about 99.99% aluminum, a final thickness of about 104 micrometers, plus or minus about five micrometers, and a specific capacitance of about 0.8 microfarads per square centimeter. Suitable anode foils are commercially available on a widespread basis.

Individual anode layers 185 are typically somewhat stiff and formed of high-purity aluminum processed by etching to achieve high capacitance per unit area. Thin anode foils are preferred, especially if they substantially maintain or increase specific capacitance while reducing the thickness of the electrode stack assembly 225, or maintain the thickness

17

of electrode stack assembly 225 while increasing overall capacitance. For example, it is contemplated that individual anode layers 185 have a thickness of about 10 micrometers, about 20 micrometers, about 30 micrometers, about 40 micrometers, about 50 micrometers, about 60 micrometers, about 70 micrometers, about 80 micrometers, about 90 micrometers, about 100 micrometers, about 110 micrometers, about 120 micrometers, about 130 micrometers, about 140 micrometers and about 150 micrometers.

5

10

15

20

25

30

Cathode layers 175 are preferably high purity and are comparatively flexible. Cathode layers 175 are most preferably formed from cathode foil having high surface area (i.e., highly etched cathode foil), high specific capacitance (preferably at least 200 microfarads/cm², and at least 250 microfarads/cm² when fresh), a thickness of about 30 micrometers, a cleanliness of about 1.0 mg/m² respecting projected area maximum chloride contamination, and a purity which may be less than corresponding to the starting foil material from which anode foil is made. The cathode foil preferably has an initial purity of at least 99% aluminum, and more preferably yet of about 99.4% aluminum, a final thickness of about 30 micrometers, and an initial specific capacitance of about 250 microfarads per square centimeter. In other embodiments, cathode foil has a specific capacitance ranging between about 100 and about 500 microfarads/cm², about 200 and about 400 microfarads/cm², or about 250 and about 350 microfarads/cm², a thickness ranging between about 10 and about 150 micrometers, about 15 and about 40 micrometers.

It is generally preferred that the specific capacitance of the cathode foil be as high as possible, and that cathode layer 175 be as thin as possible. For example, it is contemplated that individual cathode layers 175 have specific capacitances of about 100 microfarads/cm², about 200 microfarads/cm², about 300 microfarads/cm², about 400 microfarads/cm², about 500 microfarads/cm², about 600 microfarads/cm², about 700 microfarads/cm², about 800 microfarads/cm², about 900 microfarads/cm², or about 1,000 microfarads/cm². Suitable cathode foils are commercially available on a widespread basis. In still other embodiments, cathode foil is formed of materials or metals in addition to aluminum, aluminum alloys and "pure" aluminum.

Separator layer sheets 180a and 180b outer separator layers 165a and 165b are most preferably made from a roll or sheet of separator material. Separator layers 180 are

18

preferably cut slightly larger than anode sub-assemblies 170 and cathode layers 175 to accommodate misalignment during the stacking of layers, to prevent subsequent shorting between anode and cathode layers, and to otherwise ensure that a physical barrier is disposed between the anodes and the cathodes of the finished capacitor. In accordance with the present invention, the anode sub-assemblies 170 are also cut larger than the cathode layers 175.

5

10

15

20

25

30

It is preferred that separator layer sheets 180a and 180b and exterior separator layers 165a and 165b (shown in FIG. 9) be formed of a material that: (a) is chemically inert; (b) is chemically compatible with the selected electrolyte; (c) may be impregnated with the electrolyte to produce a low resistance path between adjoining anode and cathode layers, and (d) physically separates adjoining anode and cathode layers. In one preferred embodiment, separator material is a pure cellulose, very low halide or chloride content Kraft paper having a thickness of about 0.0005 inches (0.0013 mm), a density of about 1.06 grams/cm³, a dielectric strength of 1,400 Volts AC per 0.001 inch (0.025 mm) thickness, and a low number of conducting paths (about 0.4/ft² or less). Separator layer sheets 180a and 180b and outer separator layers 165a and 165b may also be formed of materials other than Kraft paper, such as Manila paper, porous polymeric materials or fabric gauze materials. For example, porous polymeric materials may be disposed between anode and cathode layers like those disclosed in U.S. Patent Numbers 3,555,369 and 3,883,784 in some embodiments of the capacitor layers

In such capacitor stacks formed of a plurality of capacitor layers, a liquid electrolyte saturates or wets separator layers 180 and is disposed within case 90. It is to be understood, however, that various embodiments include within their scope a solid or adhesive electrolyte such as those disclosed in U.S. Patent Numbers, 5,628,801; 5,584,890; 4,942,501; 5,146,391 and 5,153,820. Note that an appropriate inter-electrode adhesives/electrolyte layer may be employed in place of paper, gauze or porous polymeric materials to form separator layer 180.

Continuing to refer to FIG. 4, a first preferred step in assembling a flat aluminum electrolytic capacitor is to cut anode layers 185 and 190, anode tabs 195, cathode layers 175 and separator layers 180. Those components are most preferably cut to shape using dies having low wall-to-wall clearance, where inter-wall spacing between the substantially

19

vertically-oriented corresponding walls of the punch and die is most preferably on the order of about 6 millionths of an inch per side. Larger or smaller inter-wall spacings between the substantially vertically-oriented corresponding walls of the punch and cavity, such as about 2, about 4, about 5, about 7, about 8, about 10 and about 12 millionths of an inch may also be employed but are less preferred.

5

10

15

20

25

30

Such low clearance results in smooth, burr free edges being formed along the peripheries of anode layers 185 and 190, anode tabs 195, cathode layers 175 and separator layers 180. Smooth, burr free edges on the walls of the dies have been discovered to be critical respecting reliable performance of a capacitor. The presence of burrs along the peripheries of anode layers 185 and 190, anode tabs 195, cathode layers 175 and separator layers 180 may result in short circuit and failure of the capacitor. The means by which anode foil, cathode foil and separator materials are cut or formed may have a significant impact on the lack or presence of burrs and other cutting debris disposed about the peripheries of the formed or cut members. The use of low clearance dies produces an edge superior to the edge produced by other cutting methods, such as steel rule dies. The shape, flexibility and speed of a low clearance die have been discovered to be superior to those achieved by laser or blade cutting. Other methods of cutting or forming anode layers 185 and 190, anode tabs 195, cathode layers 175 and separator layers 180 include, but are not limited to, steel rule die cutting, laser cutting, water jet cutting and blade cutting.

The preferred low clearance of the die apparatus is especially important for cutting thin ductile materials such as the cathode foil. In addition to improving reliability, burr and debris reduction permits reductions in the thickness of separator layer 180, thereby improving energy density of the capacitor. Angle cutting, where the face of the punch is not held parallel to the opposing floor of the die during the cutting step, is another less preferred method of cutting or forming anode layers 185 and 190, anode tabs 195, cathode layers 175 and separator layers 180.

In a preferred method, foil or separator materials are drawn between the punch and cavity portions of a die having appropriate clearances on a roll. An air or hydraulically actuated press is then most preferably employed to actuate the punch or cavity portion of the die. The punch portion of the die is most preferably formed of hardened tool steel, or has other suitable wear resistant materials or coatings disposed on the cutting surfaces

20

thereof. When the cavity of the die is aligned vertically, the punch portion of the die may travel either upwards or downwards towards the die cavity during a cutting cycle. In the former case, components are cut and drop downwardly into a container for use in subsequent assembly operations. In the latter case, components are cut and may be presented directly to automated assembly equipment, such as robots equipped with vacuum or other pick-up tooling, for subsequent processing. Low clearance dies of the type described herein may be supplied by Top Tool, Inc. of Minneapolis, Minnesota.

5

10

15

20

25

30

Anode sub-assembly 170 most preferably includes one notched anode layer 190, which facilitates appropriate placing and positioning of anode tab 195 within anode sub-assembly 170. More than one notched anode layer 190 may also be included in anode sub-assembly 170. It is preferred that the remaining anode layers of anode sub-assembly 170 be non-notched anode layers 185. Anode tab 195 is most preferably formed of aluminum strip material. In one preferred embodiment, the aluminum strip material has a purity of about 99.99% aluminum and a lesser degree of anodization than the anode foil. When anode tab 195 is formed of a non-anodized material, cold welding of anode tab 195 to non-notched anode layers 185 may be accomplished with less force and deflection, more about which we say below. It is preferred that the thickness of anode tab 195 be about equal to that of notched anode layer 190. If more than one notched anode layer 190 is employed in anode sub-assembly 170, a thicker anode tab 195 may be employed.

Referring now to FIGs. 5(a) through 5(c), two non-notched anode layers 185a and 185b are placed on cold welding fixture base layer 207 of cold welding apparatus 202. The various structural members of cold welding apparatus 202 are most preferably formed of precision machined stainless steel or a high strength aluminum alloy. Layers 185a and 185b are next aligned and positioned appropriately on cold welding fixture base layer 207 using spring loaded alignment pins 209a through 209e. Pins 209a through 209e retract upon top layer 208 being pressed downwardly upon layers 185a and 185b disposed within cold welding cavity 220. See also FIG. 5(c), where a cross-sectional view of cold welding apparatus 202 is shown.

Anode layer 190 is similarly disposed within cavity 220, followed by placing anode tab 195 within anode tab notch 200 in notched anode layer 190. Anode tab 195 is most preferably positioned along the periphery of notched anode layer 190 with the aid of

additional spring loaded alignment pins 209f and 209g disposed along the periphery of anode tab 195. Non-notched anode layer 185c is then placed atop anode layer 190. Stacked anode sub-assembly 170 is then clamped between top plate 208 and base plate 207. Disposed within base plate 207 are anode layer cold welding pins 206a and anode tab cold welding pin 211a. Disposed within top plate 208 are anode layer cold welding pin 206b and anode tab cold welding pin 211b. Base plate 207 and top plate 208 are aligned such that the axes of cold welding pins 206a and 206b coincide with and are aligned respecting corresponding cold welding pins 211a and 211b.

Upper actuation apparatus 214 of cold welding apparatus 202 displaces cold welding pins 206b and 211b downwardly. Lower actuation apparatus 215 displaces cold welding pins 206a and 211a upwardly. In one embodiment of upper actuation apparatus 214 and lower actuation apparatus 215, pneumatic cylinders are employed to move pins 206a, 206b, 211a and 211b. In another embodiment of apparatus 214 and apparatus 215, a pair of rolling wheels is provided that move simultaneously and perpendicularly to the axes of pins 206a, 206b, 211a, and 211b. Still other embodiments of apparatus 214 and apparatus 215 may employ hydraulic actuators, cantilever beams, dead weights, springs, servomotors electromechanical solenoids, and the like for moving pins 206a, 206b, 211a and 211b. Control of actuation apparatus 214 and apparatus 215 respecting pin displacement force magnitude and timing may be accomplished using any one or combination of constant load, constant displacement, solenoid controller, direct or indirect means.

Following clamping with top plate 208, cold welding pins 206a, 206b, 211a and 211b are actuated. Cold welds 205 and 210 in anode sub-assembly 170 are formed by compression forces generated when cold weld pins 206a, 206b, 211a and 211b are compressed against anode sub-assembly 170. See FIG. 6(a), where the preferred regions in which cold welds 205 and 210 are formed are shown. Cold welds 205 and 210 may be described as not only cold welds, but forged welds. This is because the interfacial boundaries between anode layers 185 are deformed in the region of welds 205 and 210, thereby disrupting oxide layers and bringing base metals into direct contact with one another where metallic bonding occurs. Metallic bonding increases the strength of the welds.

In one embodiment of the method, a plurality of pneumatic cylinders function simultaneously in upper actuation apparatus 214 and lower actuation apparatus 215 to drive pins 206a, 206b, 211a and 211b against anode sub-assembly 170. Anode layer cold weld 205 and anode tab cold weld 210 are most preferably formed under direct constant load conditions, where pneumatic cylinders are pressurized to a predetermined fixed pressure. Anode layer cold weld 205 and anode tab cold weld 210 may also be formed under indirect constant displacement conditions, where pneumatic cylinders are pressurized until a displacement sensor placed across cold welding pins 206a, 206b, 211a or 211b generates a signal having a predetermined value, whereupon those pins are disengaged from anode/cathode sub-assembly 227.

In another embodiment of the method, a cantilever beam mechanism is incorporated into upper actuation apparatus 214 and lower actuation apparatus 215. Anode layer cold weld 205 and anode tab cold weld 210 are formed under direct constant displacement conditions, where cantilever beams are actuated and cause upper and lower members 208 and 207 to engage anode/cathode sub-assembly 227 until a hard stop point is reached. An indirect load controlled system may also be employed in apparatus 214 and apparatus 215, where cantilever or other means include a load measuring sensor for controlling the stop point of the cantilever beam, for example, when a predetermined load is measured by the sensor.

The cross-sectional shape of cold weld pins 206a, 206b, 211a and 211b may be square, circular, oval or any other suitable shape. The shape of the ends of cold weld pins 206a, 206b, 211a and 211b may be flat, rounded, domed or any other suitable shape appropriate for selectively controlling the properties of the cold welds produced therein. Likewise, more or fewer than four cold weld pins may be employed. The ends of cold weld pins 206a, 206b, 211a and 211b are most preferably rounded or domed and circular in cross-section. Cold weld pins 206a, 206b, 211a and 211b preferably have a diameter of about 0.060 inches (0.174 mm) and further have a beveled or radiused end. Cold weld pins 206a, 206b, 211a and 211b are preferably made from a high strength material that does not readily deform under the pressures obtained during welding, such as stainless steel, titanium, tool steel or HSLA steel. The ends or side walls of cold welding pins 206a,

23

206b, 211a and 211b may be coated, clad or otherwise modified to increase wear resistance, deformation resistance or other desirable tribilogical attributes of the pins.

5

10

15

20

25

30

The primary function of cold welds 205 and 210 is to provide electrical interconnections between layers 185a, 185b, 185c and 190 and anode tab 195, while minimizing the overall thickness of anode sub-assembly 170 in the regions of welds 205 and 210. Typical prior art commercial cylindrical capacitors exhibit a significant increase in the thickness of the anode layer in the regions of the cold welds. This increase in thickness is typically on the order of about two times the thickness of the tab, or about 0.008 inch (0.020 mm). In the case of cylindrical capacitors where only one or two non-coincident tab connections are present, the overall effect on anode layer thickness may be minimal. In a stacked layer design having many more interconnections and welds, however, increases in weld zone thickness have been found to significantly increase the overall thickness of the anode layer and the electrode stack assembly as a whole.

In one cold welding method and corresponding apparatus, no or an inappreciable net increase in anode sub-assembly 170 thickness results when cold weld geometries and formation processes are appropriately optimized. Several embodiments of anode-assembly 170 have been found to have no more than about a 20% increase in layer thickness due to the presence of cold welds, as compared to about a 200% increase in thickness resulting from cold welds found in some commercial cylindrical capacitors. Two, three, four, five, six or more anode layers 185 and 190 may be cold-welded to form anode sub-assembly 170 as described herein.

FIG. 6(b) shows a cross-sectional view of a portion of one embodiment of a cold-welded anode assembly formed in accordance with the preferred cold welding method. Anode layers 185a, 190, 185b and 185c having anode layer thicknesses t_a , t_N , t_b and t_c , respectively, are cold-welded together at weld 205 through the compressive action of pins 206a and 206b mounted in bottom plate 207 and top plate 208, respectively. Pins 206a and 206b form central depressions 293 and 294, respectively, in anode sub-assembly 170d, and further result in the formation of rims 295 and 296, respectively. Rims 295 and 296 project downwardly and upwardly, respectively, from the surrounding surfaces of anode sub-assembly 170d, thereby increasing the overall thickness T of anode sub-assembly

24

170d by ΔT (T measured in respect of the non-cold-welded surrounding regions or portions of anode sub-assembly 170d).

5

10

15

20

25

30

FIG. 6(c) shows a cross-sectional view of another portion of one embodiment of a cold-welded anode assembly wherein anode layers 185a, 185b and 185c and anode tab 195, having anode layer/tab thicknesses t_a , t_b , t_c and t_{tab} , respectively, are cold-welded together at weld 210 through the compressive action of pins 211a and 211b mounted in bottom plate 207 and top plate 208, respectively. Pins 211a and 211b form central depressions 297 and 298, respectively, in anode sub-assembly 170d, and further result in the formation of rims 299 and 301, respectively. Rims 299 and 301 project downwardly and upwardly, respectively, from the surface of anode sub-assembly 170d, thereby increasing overall thickness T of anode sub-assembly 170d by Δ T (T measured in respect of the non-cold-welded surrounding regions or portions of anode sub-assembly 170d).

The overall thickness **T** of anode sub-assembly 170d is therefore defined by the equation:

T = nt

The maximum overall thickness $\mathbf{T} + \Delta \mathbf{T}$ of anode sub-assembly 170d in the region of cold welds 205 or 210 is then defined by the equation:

 $T + \Delta T = n t + \Delta T$

where T_{as} is the overall thickness of anode sub-assembly 170d in non-cold-welded regions, \mathbf{n} is the number of anode layers 185 and/or 190 in anode sub-assembly 170d, and \mathbf{t} is the thickness of individual anode layers 185 and/or 190 or anode tab 195 where the thicknesses t_n , t_a , t_b , t_c and t_{tab} , are assumed to be the same.

It is highly desirable to form anode sub-assembly such that the ratio $\Delta T/T$ is less than or equal to 0.05, 0.1, 0.15, 0.20, 0.25, 0.30, 0.35, 0.40, 0.45 or 0.50. The lower the value of the ratio $\Delta T/T$, the greater the volumetric efficiency of capacitor 265. Additionally, the overall thickness of capacitor 265 may be reduced when the value of the ratio $\Delta T/T$ is made smaller.

Referring now to FIG. 6(a), the overall thickness of electrode stack assembly 225 may be reduced further by staggering or offsetting horizontally the respective vertical

locations of tabs 195a through 195h (and corresponding cold welds 210). In this embodiment, tabs 195a 195b, for example, are not aligned vertically in respect of one another. Such staggering or offsetting of tabs 195 permits the increases in thickness ΔT corresponding to each of anode subassemblies 170a through 170h to be spread out horizontally over the perimeter or other portion of electrode stack assembly 225 such that increases in thickness ΔT do not accumulate or add constructively, thereby decreasing the overall thickness of electrode stack assembly 225. Cold welds 205 may similarly be staggered or offset horizontally respecting one another and cold weld 210 to achieve a reduction in overall thickness of electrode stack assembly 225.

In another preferred embodiment, the anode sub-assembly 170 of each capacitor layer or electrode sub-assembly comprises a plurality of three, four, five or more anode sheets or layers 185 and 190, each sub-assembly most preferably having at least one anode layer having a corresponding anode tab 195 attached thereto or forming a portion thereof, the layers being cold welded together to form anode sub-assembly 170. For example, an anode sub-assembly 170 may comprise six anode layers 185 constructed by cold-welding two separate triple anode layers 185 that were previously and separately cold-welded or otherwise joined together. Alternatively, anode sub-assembly 170 layer may comprise seven anode layers constructed by cold-welding together one triple anode layer 185 and one quadruple anode layer 185 that were previously and separately cold-welded or otherwise joined together. In another preferred embodiment, multiple notched anode layers 190 may employed in anode sub-assembly 170, thereby permitting the use of a thicker anode tab material.

The geometry of base plate 207 and top plate 208 in the regions surrounding cold welding pins 206a, 206b, 211a and 211b has been discovered to affect the properties of cold welds 205 and 210. In a preferred method, the mating surfaces of plates 207 and 208 surfaces have no radiused break formed in the perimeters of the pin holes. The presence of radiused breaks or chamfers in those regions may cause undesired deformation of cold welds 205 and 210 therein. Such deformation may result in an increase in the thickness of anode sub-assembly 170, which may translate directly into an increase in the thickness of capacitor 265. Note further that the increase in thickness so resulting is a multiple of the number of anode sub-assemblies 170 present in electrode stack assembly 225.

26

Alternatively, radiused breaks or chamfers may be employed in the region of the pin holes in base plate 207 and top plate 208, but appropriate capacitor design accommodations are most preferably made, such as staggering the positions of adjoining stacked cold welds.

Once cold welding pins 206a, 206b, 211a and 211b have been actuated against anode sub-assembly 170, top plate 208 is removed and cold-welded anode sub-assembly 170 is provided for further stacking of anode/cathode sub-assembly 227. As illustrated in FIGs. 4, and 6(a), this illustrated embodiment of electrode stack assembly 225 most preferably comprises a plurality of cold-welded anode sub-assemblies 175a through 175h, a plurality of cathode layers 175a through 175i, a plurality of separator layers 180a and 180b, outer separator layers 165a and 165b, outer wrap 115 and wrapping tape 245.

5

10

15

20

25

30

Outer wrap 115 is most preferably die cut from separator material described supra, but may be formed from a wide range of other suitable materials such as polymeric materials, aluminum, suitable heat shrink materials, suitable rubberized materials and synthetic equivalents or derivatives thereof, and the like. Wrapping tape 245 is most preferably cut from a polypropylene-backed acrylic adhesive tape, but may also be replaced by a staple, an ultrasonic paper joint or weld, suitable adhesives other than acrylic adhesive, suitable tape other than polypropylene-backed tape, a hook and corresponding clasp and so on.

Outer wrap 115 and wrapping tape 245 together comprise an electrode stack assembly wrap which has been discovered to help prevent undesired movement or shifting of electrode stack assembly 225 during subsequent processing. It will now become apparent to one skilled in the art that many means other than those disclosed explicitly herein exist for immobilizing and securing electrode stack assembly 225 during subsequent processing which accomplish substantially the same function as the electrode stack assembly wrap comprising outer wrap 115 and wrapping tape 245. Alternative means for immobilizing and securing electrode stack assembly 225 other than those described hereinabove exist. Such alternative means include, but are not limited to, robotic or other mechanical clamping and securing means not necessarily forming a portion of electrode stack assembly 225, adhesive electrolytes for forming separator layers 180, and so on.

27

The stacking process by which electrode stack assembly 225 is most preferably made begins by placing outer wrap 115 into a stacking fixture followed by placing outer paper or separator layer 165a thereon. Next, cathode layer 175a is placed atop separator layer 165a, followed by separator layers 180a and 180b being disposed thereon. Coldwelded anode sub-assembly 170a is then placed atop separator layer 180b, followed by placing separator layers 180a and 180b thereon, and so on. The placing of alternating cathode layers 175 and anode sub-assemblies 170 with separator layers 180a and 180b interposed therebetween continues in the stacking fixture until final cathode layer 175h has been placed thereon.

10

5

15

20

25

30

In the embodiment of electrode stack assembly 225 shown in FIG. 6(a), eight anode sub-assemblies (anode sub-assemblies 170a through 170h) and nine cathode layers (cathode layers 175a through 175i) are illustrated. The voltage developed across each combined anode sub-assembly/separator layer/cathode layer assembly disposed within electrode stack assembly 225 most preferably ranges between about 360 and about 390 Volts DC. As described below, the various anode sub-assemblies of electrode stack assembly 225 are typically connected in parallel electrically, as are the various cathode layers of electrode stack assembly 225.

Consistent with the discussion hereinabove concerning FIG. 4, it will now be understood by one skilled in the art that electrode stack assembly 225 shown in FIG. 6(a) is merely illustrative, and does not limit the scope of the present invention in any way respecting the number or combination of anode sub-assemblies 170, cathode layers 175, separator layers 180, anode tabs 195, cathode tabs 176, and so on. The number of electrode components is instead determined according to the total capacitance required, the total area of each layer, the specific capacitance of the foil employed and other factors.

In another embodiment of electrode stack assembly 225, the number of anode layers 185 employed in each anode sub-assembly 170 is varied in the stack. Such a design permits the fabrication of capacitors having the same layer area but nearly continuously varying different and selectable total capacitance that a user may determine by increasing or decreasing the number of anode layers 185/190 included in selected anode sub-assemblies 170 (as opposed to adding or subtracting full anode/cathode sub-assemblies 227 from electrode stack assembly 225 to thereby change the total capacitance).

28

Following placing of cathode layer 175i in the stack, outer paper layer 165b is placed thereon, and outer wrap 115 is folded over the top of electrode stack assembly 225. Wrapping tape 245 then holds outer wrap 115 in place and secures the various components of electrode stack assembly 225 together.

5

10

15

20

25

30

The physical dimensions of separator layers 165 and 180 are most preferably somewhat larger than those of anode sub-assemblies 170 and cathode layers 175 to prevent contact of the electrodes with the case wall or electrical shorting between opposing polarity electrode layers due to the presence of burrs, stray or particulate material, debris or imperfections occurring therein. The reliability and functionality of capacitor 265 may be compromised if a portion of anode sub-assembly 170 comes into contact with a conducting case wall, if a burr on the periphery of anode sub-assembly 170 or cathode layer 175 comes into contact with an adjoining layer of opposing polarity, or if separator layer 180a or 180b does not provide sufficient electrical insulation between adjoining opposite-polarity electrode layers and conducting particulate matter bridges the gap therebetween.

The additional separator material most preferably disposed about the periphery of electrode stack assembly 225 is referred to herein as separator overhang. Decreasing the amount of separator overhang increases the energy density of capacitor 265. It is beneficial from an energy density optimization perspective, therefore, to decrease the amount or degree of separator overhang. The amount of separator overhang required has been discovered to be primarily a function of the stack-up tolerance characteristic of the stacking method employed.

A preferred method for assuring consistent registration of separator layers 165 and 180, anode sub-assemblies 170 and cathode layers 175 in electrode stack assembly 225 involves stacking the various elements of electrode stack assembly 225 using robotic assembly techniques. More particularly, the various electrode and separator layers of electrode stack assembly 225 are stacked and aligned using an assembly work cell comprising four Seiko 4-axis SCARA Model No. TT8800 and TT8500, or equivalent, to pick up and place the various electrode and separator elements in an appropriate stacking fixture. Other suitable methods for stacking and registering electrode and separator layers

29

include cam driven walking beam assembly machine techniques, rotary table machine techniques, multiple station single stacking machine techniques, and the like.

5

10

15

20

25

30

In a preferred method, a pre-formed or cut separator, electrode layer or sub-assembly is presented to a robot arm, which then picks the part up with end-of-arm tooling. A Venturi system produces a vacuum in the end-of-arm tooling. The system creates a vacuum at an appropriate time such that the part is sucked up onto the end-of-arm tooling. The vacuum is next released when the part is placed in the stacking fixture. A direct vacuum system, such as rubber suction cups, or other contact or non-contact pick up robotic or manual assembly methods may also be employed. The position of the part is robotically translated from the pickup point into the stacking fixture by the robot arm with an accuracy of 0.005 inch (0.013 mm) or less. After placing the part in the stacking fixture, part alignment is most preferably verified electronically with a SEIKO COGNEX 5400 VISION System, or equivalent, in combination with a SONY XC-75 camera, or equivalent. The camera is mounted on the robot arm to permit the accuracy of part placing to be verified. This system can accurately determine the position of each part or element in electrode stack assembly 225 to within 0.01 millimeters. Once all layers have been placed in the stacking fixture by the robot arm, the stack is presented for wrapping.

The foregoing methods permit precise alignment and stacking of separator layers 165 and 180, anode sub-assemblies 170 and cathode layers 175 in electrode stack assembly 225, while minimizing the addition of undesirable unused volume to capacitor 265.

Another method for assuring registration of separator layers 165 and 180, anode sub-assembly 170 and cathode layer 175 in electrode stack assembly 225 involves alignment elements disposed within the stacking fixture are employed in a manual process which utilizes fixture registration points. In such a method, the stacking fixture has several alignment elements such as posts or side walls disposed about its periphery for positioning separator layers 165 and 180. Because cathode layers 175 and anode sub-assemblies 170 do not extend to the periphery of the separator, an alternative means for accurately positioning those electrodes becomes necessary.

Positioning of alternating cathode layers 175 and anode sub-assemblies 170 is most preferably accomplished using alignment elements such as posts or side walls disposed

30

about the periphery of cathode tab 176 and anode tab 195. It has been discovered that the accuracy of layer placing and positioning is primarily a function of the length of the electrode tabs. The longer the tab, the less significant the alignment error becomes. Electrode tab length must typically be balanced against the loss of electrode material which occurs during die cutting, which in turn results primarily due to the longer length of cathode tab 176 in respect of the length of anode tab 195. Tabs 176 and 195 may include or contain alignment features therein having any suitable geometry for facilitating registration and positioning in respect of alignment elements. Any additional tab length utilized for registration of the electrode layers is most preferably trimmed from electrode stack assembly 225 during the process of electrode tab interconnection (more about which we say below).

5

10

15

20

25

30

Another method for ensuring registration of separator layers 165 and 180, anode sub-assembly 170 and cathode layer 175 in electrode stack assembly 225 does not require the use of internal alignment elements within capacitor 265 is enveloping or covering anode sub-assembly 170 and cathode layer 175 with separator material. In this method, separator layers 180a and 180b are combined into a single die cut piece part that is folded around either anode sub-assembly 170 or cathode layer 175. The free edges of the separator are then secured by doubled-sided transfer tape, another adhesive, stitching or ultrasonic paper welding. Construction of an electrode sub-assembly in this manner secures and registers anode sub-assembly 170 and cathode layer 175 in respect of the periphery of the separator envelope so formed. The resulting anode/cathode sub-assembly 227 is then presented for stacking in electrode stack assembly 225.

FIG. 7 shows a top perspective view of one embodiment of an electrode stack assembly 225 of the electrolytic capacitor 265. FIG. 8 shows an enlarged view of a portion of the electrode stack assembly 225 of FIG. 7. After wrapping electrode stack assembly 225 with outer wrap 115 and wrapping tape 245, interconnection of gathered anode tabs 232 and gathered cathode tabs 233 with their respective external terminals is most preferably made.

FIG. 9 shows an exploded top perspective view of the embodiment of the capacitor 265 employing the electrode stack assembly of FIGs. 6, 7 and 8 therein and not employing a case liner. This embodiment includes anode feedthrough 120 and cathode feedthrough

31

125 most preferably having coiled basal portions 121 and 126, respectively. Feedthroughs 120 and 125 provide electrical feedthrough terminals for capacitor 265 and gather gathered anode tabs 232 and gathered cathode tabs 233 within basal portions 121 and 126 for electrical and mechanical interconnection.

In one method of making tab interconnections and feedthrough terminal

connections, feedthrough wire is first provided for construction of feedthroughs 120 and 125, as shown in FIGs. 9 and 10(a). In one embodiment, a preferred feedthrough wire is aluminum having a purity greater than or equal to 99.99% and a diameter of 0.020 inch

(0.510 mm). Wire is trimmed to predetermined lengths for use in anode feedthrough 120

diameter or dimension is slightly larger than the diameter or dimension required to encircle

or cathode feedthrough 125. One end of the trimmed wire is coiled such that its inside

gathered anode tabs 232 or gathered cathode tabs 233.

5

10

15

20

25

30

Gathered anode tabs 232 are next gathered, or brought together in a bundle by crimping, and inside diameter 131 of anode feedthrough coil assembly 120 is placed over gathered anode tabs 232 such that anode feedthrough pin 130 extends outwardly away from the base of gathered anode tabs 232. Similarly, gathered cathode tabs 233 are gathered and inside diameter 136 of cathode feedthrough coil assembly 125 is placed over gathered cathode tabs 233 such that cathode feedthrough pin 135 extends outwardly away from the base of cathode tab 233. Coiled basal portions 121 and 126 of anode and cathode feedthroughs 120 and 125 are then most preferably crimped onto anode and cathode tabs 232 and 233, followed by trimming the distal ends thereof, most preferably such that the crimps so formed are oriented substantially perpendicular to imaginary axes 234 and 235 of gathered anode and cathode tabs 232 and 233. Trimming the distal ends may also, but less preferably, be accomplished at other non-perpendicular angles respecting imaginary axes 234 and 235.

A crimping force is applied to feedthrough coils 121 and 126 and tabs 232 and 233 throughout a subsequent preferred welding step. In one method, it is preferred that the crimped anode and cathode feedthroughs be laser or ultrasonically welded along the top portion of the trimmed edge of the distal ends to anode and cathode tabs 232 and 233. Following welding of feedthroughs 120 and 125 to gathered anode tabs 232 and gathered

32

cathode tabs 233, respectively, pins 130 and 135 are bent for insertion through feedthrough holes 142 and 143 of case 90.

Many different embodiments of the feedthroughs, and means for connecting the feedthroughs to anode and cathode tabs exist other than those shown explicitly in the figures. For example, the feedthroughs include embodiments comprising basal portions having open sides, forming "U" or "T" shapes in cross-section, forming a coil having a single turn of wire, forming a coil having three or more turns of wire, formed from flattened wire, or basal portions formed from crimping sleeves or layers of metal for connecting feedthrough pins 130 and 135 to anode and cathode tabs 232 and 233. Various methods of making tab interconnections and feedthrough connections which are not critical to the present invention are disclosed in commonly assigned U.S. Patent No. 6,006,133 which may be followed in completing the fabrication of capacitor 265.

5

10

15

20

25

30

FIG. 10(a) shows an exploded top perspective view of capacitor 265 of FIG. 9 in a partially assembled state. Case 90 contains a means for accepting anode ferrule 95 therein, shown in FIGs. 9 and 10(a) as anode feedthrough hole or opening 142. Case 90 further contains a means for accepting cathode ferrule 100, shown in FIGs. 9 and 10(a) as cathode feedthrough hole or opening 143. Case 90 also includes a means for accepting fill port ferrule 105, shown in FIGs. 9 and 10(a) as fill port hole 139. In a preferred embodiment, case 90 and cover 110 are formed of aluminum and are electrically connected to the cathode layers, and where case 90 and cover 110 are at the same electrical potential as the cathode layers, i.e., at negative potential.

Ferrules 95, 100 and 105 are most preferably welded to case 90 (or otherwise attached thereto such as by a suitable epoxy, adhesive, solder, glue or the like), and together comprise case sub-assembly 108. Radial flanges in anode ferrule 95 and cathode ferrule 100 provide a region for making a lap joint between the side wall of case 90 and around the perimeters of feedthrough ferrule holes 142 and 143. In preferred methods, a circumferential laser weld is made in the circumferential joint between the ferrules and the case side wall 92, and welding is carried out in two primary steps. First, a series of tack welds is made around the circumference of the joint. The tack welds are most preferably made either by making adjoining, successive tack welds around the perimeter or by making a first tack weld at a first location along the perimeter, making a second weld

33

diametrically opposed from the first weld along the perimeter, making a third weld adjacent to the first weld, making a fourth weld adjacent to the second weld, and so on. Finally, a final closing weld is made around the hole perimeter to hermetically seal tack welded joint 93.

5

10

15

20

25

30

Wire guides 140 and 141 center pins within the inside diameter of the ferrules to permit anode and cathode pins 130 and 135 to be electrically insulated from the inside surface of case 90, anode ferrule 95, and cathode ferrule 100. Wire guides 140 and 141 may themselves be electrically insulating, and electrical insulation of pins 130 and 135 from case 90 and other components is most preferably enhanced by means of potting adhesive 160.

Wire guides 140 and 141 most preferably contain annular, ramped, or "snap-in" features formed integrally therein. Those features prevent wire guides 140 and 141 from being pushed out of their respective ferrules during handling, but are most preferably formed such that insertion of wire guides 140 and 141 in their corresponding ferrules may occur using forces sufficiently low so as not to damage case 90 or ferrules 95 or 100 during the inserting step.

Wire guides 140 and 141 may be formed from any of a wide variety of electrically insulating materials that are stable in the environment of an electrolytic capacitor. In one preferred embodiment, the material from which wire guides 140 and 141 is made is an injection molded polysulfone known as AMOCO UDEL supplied by Amoco Performance Products of Atlanta, Georgia. In other embodiments, wire guides 140 and 141 may be formed from other chemically resistant polymers such as fluoroplastics (e.g., ETFE, PTFE, ECTFE, PCTFE, FEP, PFA or PVDF), fluoroelastomers, polyesters, polyamides, polyethylenes, polypropylenes, polyacetals, polyetherketones, polyarylketones, polyether sulfones, polyphenyl sulfones, polysulfones, polyarylsulfones, polyetherimides, polyimides, poly(amide-imides), PVC, PVDC-PVC copolymers, CPVC, polyfurans, poly(phenylene sulfides), epoxy resins, silicone elastomers, nitrile rubbers, chloroprene polymers, chlorosulfonated rubbers, polysulfide rubbers, ethylene-polypropylene elastomers, butyl rubbers, polyacrylic rubbers, fiber-reinforced plastics, glass, ceramic and other suitable electrically insulating, chemically compatible materials.

34

As used in the specification and claims hereof, the foregoing acronyms have the following meanings: the acronym "ETFE" means poly(ethylene-co-tetrafluoroethylene); the acronym "PTFE" means polytetrafluoroethylene; the acronym "CTFE" means poly(ethylene-co-chlorotrifluoroethylene); the acronym "PCTFE" means polychlorotrifluoroethylene; the acronym "FEP" means fluorinated ethylene-propylene copolymer; the acronym "PFA" perfluoroalkoxy fluoropolymer; the acronym "PVDF" means polyvinylidene fluoride; the acronym "PVC" means polyvinyl chloride; the acronym "PVDC-PVC" means polyvinylidene chloride - polyvinyl chloride copolymer; and the acronym "CPVC" means chlorinated polyvinyl chloride.

10

5

15

20

25

30

polysulfone known as AMOCO UDEL supplied by Amoco Performance Products of Atlanta, Georgia. Connector block 140 may also be formed from any suitable chemically resistant thermoplastic polymers such as a fluoroplastic (e.g., ETFE, PTFE, ECTFE, or PCTFE, FEP, PFA, PVDF), polyester, polyamide, polyethylene, polypropylene, polyacetal, polyarylketone, polyether sulfone, polyphenyl sulfone, polysulfone, polyarylsulfone, polyetherimides, polyimide, poly(amide-imide), PVC, PVDC-PVC copolymer, CPVC, polyfuran, poly(phenylene sulfide), epoxy resin and fiber reinforced plastic.

In one embodiment, connector block 145 is placed on anode ferrule 95 and cathode

A preferred material for forming connector block 145 is an injection molded

ferrule 100 by guiding anode feedthrough pin 130 through connector block anode feedthrough hole 300, and then guiding cathode feedthrough pin 135 through connector block cathode feedthrough hole 305. Connector block 145 is next seated flush against the exterior surface of case 90. Anode feedthrough pin 130 is then inserted into anode crimp tube 150b of wire harness 155. Cathode feedthrough pin 135 is then inserted into cathode crimp tube 150a of wire harness 155. Crimp tubes 150a and 150b are then crimped to feedthrough pins 130 and 135.

In other preferred embodiments, electrical connections in connector block 145 may be established using techniques such as ultrasonic welding, resistance welding and laser welding. In such joining techniques, the joint geometry may also be a cross-wire weld between feedthrough wire 130 or 135 and harness wire 151 or 152.

35

The distal or basal portions of crimp tubes 150a and 150b are crimped on insulated anode lead 151 and insulated cathode lead 152, respectively. Insulated leads 151 and 152 are likewise connected to terminal connector 153. Terminal connector 153 may most preferably be connected to electronics module 360. Standard methods of making aluminum electrolytic capacitors do not lend themselves readily to very small crimp connections, especially in miniaturized ICD designs. A preferred method permits small crimp connections and interconnection means to be formed, and further permits highly efficient packaging in ICD IPG 10.

5

10

15

20

25

30

In the preferred method described above, connector block 145 and epoxy adhesive provide strain relief to feedthrough pins 130 and 135 and to the feedthrough wire crimp connections, and further provide an epoxy seal between wire guides 140 and 141, case 90 and ferrules 95 and 100. The crimp tubes may also serve as a connection point for device level assembly. Alternatively, the crimp tubes may be integrated within wire harness 155 prior to capacitor assembly. The wire harness may then serve as a means of routing capacitor electrical connections as desired in, for example, device level assembly steps. In the embodiment shown in FIGs. 10 and 11, terminal connector 153 forms the female end of a slide contact. In another embodiment, terminal connector 153 is connected to other modules by resistance spot welding, ultrasonic wire bonding, soldering, crimping, or other attachment means.

The particular configuration and fabrication of the feedthroughs, the connections thereto, the connector block, the wire harness, etc., are not important to the present invention. Further details related to the fabrication of the depicted, exemplary form of the feedthroughs, internal and external connections thereto, the connector block, the wire harness, etc., are set forth in detail in the above-referenced `133 patent.

FIG. 11 shows a top view of one embodiment of assembled capacitor 265 with cover 110 not present and without a case liner separating electrode stack assembly 225 from the case 90 and cover 110. In one embodiment, the head space portion of electrode stack assembly 225 (referred to herein as head space 230) is insulated from case 90 and cover 110. The means by which head space insulation may be provided include molded, thermally-formed, die cut, or mechanically formed insulating materials and means, where the materials and means are stable in the environment of an electrolytic capacitor. Suitable

materials from which head space insulators may be formed include all those listed hereinabove respecting materials for forming wire guides 140 and 141. Another means of providing head space insulation is to wrap electrically insulating tape, similar to wrapping tape 245, around head space 230 to prevent the anode or cathode terminals from contacting case 90 or cover 110 or each other. Various crimp and joint configurations for joining the cover 110 to case 90 are described in detail in the above-referenced, commonly assigned '133 patent. In accordance with one aspect of the present invention, the head space insulation may be provided by a case liner 300 described further below. FIG. 11 may also include a lower half section 310 of the case liner 300 described below (not visible in FIG. 11) that the electrode stack assembly 225 is nested into. An upper half section would be fitted over the electrode stack assembly after completion of the above-described electrical connections for connecting feedthrough pins 130 and 135 to anode and cathode tabs 232 and 233.

After all welding steps are completed, the interior case chamber of capacitor 265 is filled with electrolyte through fill port 107 welded into a hole 139 in the side wall of the capacitor case 90, the capacitor is aged, the fill port lumen is closed and the capacitor is tested. The capacitor aging, the fill port construction, use in filling the capacitor interior case with electrolyte and the closure of the fill port lumen are not critical to the present invention, and examples of the same are disclosed in detail in the above-referenced, commonly assigned `133 patent. Applications in implantable defibrillators may require two capacitors 265 to be connected in series. In this embodiment, an insulator is provided by a two sided adhesive being disposed between the capacitors 265 so that they are joined along opposing faces with the insulator/adhesive strip disposed therebetween. The pair of capacitors 265 is then provided for assembly in ICD IPG 10 as shown and described above with respect to FIGs. 3(a) through 3(g).

In accordance with one aspect of the present invention, the capacitor case sub-assembly 108 and the case cover 110 of FIGs. 9 and 10(a) define an interior case chamber 93 when hermetically welded together at the case side wall upper edge as described above. The case 90 has a base 96 bounded by a base peripheral edge at the junction of the base 96 and side wall 91 extending upwardly at a right angle therefrom to a case opening edge 94 for receiving cover 110 whereby the interior case chamber has a case chamber periphery

37

97 corresponding in shape to the base peripheral edge 93 and bounded by the interior case side wall surface 92.

5

10

15

20

25

30

FIG. 10(b) illustrates details and dimensions of the case side wall 91 between the base 96 and the upper side wall edge 94 that receives the cover 110, particularly the side wall inner surface 92 that bounds and defines the case periphery, and the case height \mathbf{H}_{cw} and stack tolerance \mathbf{t}_o . The number \mathbf{N} of capacitor layers and the overall electrode stack assembly thickness or stack height \mathbf{H}_{N} of the \mathbf{N} stacked capacitor layers that are fitted into the interior case chamber 93 depends on the specified case side wall height \mathbf{H}_{cw} , and the stack height tolerance \mathbf{t}_o The stack tolerance \mathbf{t}_o is defined to ensure that the electrode stack assembly 225, with or without a liner, fits into the interior case chamber 93 after assembly and to allow for separator swelling upon filling with electrolyte and case swelling due to release of gases during charging and discharging cycles. A case liner 300 as shown in FIGs. 14 and 15 can also be disposed around the electrode stack assembly periphery 226, and its upper and lower wall thicknesses are taken into account in specifying the stack height tolerance \mathbf{t}_o .

The electrode stack assembly 225 located within the interior case chamber 93 is dimensioned to have a stack periphery 226 configured in mating relation with the case chamber periphery defined by the interior case side wall surface 92 as shown in FIGs. 10(a), 11, and 13. The particular exemplary embodiment described above in reference to a particular electrode stack assembly 225 has \mathbf{h} capacitor layers 227a - 227h ($\mathbf{N} = \mathbf{h}$) sandwiched between lower and upper separator layers 165a and 165b. The capacitor layers 227a - 227h and separator layers 165a and 165b are stacked in registration upon one another and between the case base 96 and the cover 110 through a stack height \mathbf{H}_h . For convenience, that example will be followed in the discussion of FIG. 13 which depicts edge portions of a first group of N, capacitor layers each having a first capacitor layer thickness T_{CL1} and a second group of N_2 capacitor layers each having a first capacitor layer thickness T_{CL2} . It will be understood that the first group of N_1 capacitor layers comprises capacitor layers 227a through 227d having a total layer thickness of 4 * T_{CL1} and a second group of N₂ capacitor layers 227e through 227h having a total layer thickness of $4 * T_{CL2}$. The total stack height $H_N = 4 * T_{CL1} + 4 * T_{CL2}$ (plus the thicknesses of the upper and lower separator layers 165a and 165b, if present).

38

As described above with respect to FIG. 4, and as shown in FIG. 13, each capacitor layer 227a - 227h comprises a cathode layer 175a - 175h having a cathode peripheral edge 175a' - 175h' extending toward the interior case side wall 92 throughout a major portion 229 of the case chamber periphery 97 (shown in FIG. 11) and having a cathode tab 176a - 176h extending in the head space 230 toward the case side wall 92 in a minor portion 231 of the case chamber periphery 97. Thus, the stack periphery 226 similarly consists of a major periphery length 229 corresponding to major portion 229 and a minor periphery length 241 corresponding to minor portion 231 at the head space 230 as shown in FIGs. 7 and 8. The stack periphery 226 is closely spaced from and configured in shape through the major periphery length 228 to the shape of the major portion 229 of the case chamber periphery 97.

5

10

15

20

25

30

Each capacitor layer 227a - 227h also includes an anode sub-assembly 170a - 170h comprising at least one anode layer 185 and/or 190 having an anode sub-assembly peripheral edge 170a' - 170h' extending toward the case side wall 92 throughout the major portion 229 and having an anode tab 195a - 195h extending in the head space 230 toward the case side wall interior surface 92 in the minor portion 231 of the case chamber periphery 97.

In accordance with the teachings of the present invention, the anode sub-assemblies of the N_1 capacitor layers (227c and 227d in FIG. 13) comprise x anode layers (where x=3 in FIG. 13) each having an anode layer thickness t_x that are stacked together, whereby each anode sub-assembly (170c and 170d in FIG. 13) has an anode sub-assembly thickness T_x . Similarly, the anode sub-assemblies of the N_2 capacitor layers (227e and 227f in FIG. 13) comprises y anode layers (where y=4 in FIG. 13) each having an anode layer thickness t_y that are stacked together, whereby each anode sub-assembly (170e and 170f in FIG. 13) has an anode sub-assembly thickness t_y .

In one thickness tailoring embodiment, the x anode layers each have the same anode layer thickness t_x , the y anode layers each have the same anode layer thickness t_y , $t_x = t_y$, and therefore the condition $x \neq y$ is necessary in order to achieve differing anode subassembly thicknesses T_x and T_y . In a second tailoring embodiment, the x anode layers each have the same anode layer thickness t_x , the y anode layers each have the same anode layer thickness t_y , but $t_x \neq t_y$, and therefore either condition $x \neq y$ or x = y is sufficient in

39

order to achieve differing anode sub-assembly thicknesses T_x and T_y . In a third tailoring embodiment, certain or all of the x anode layers have differing anode layer thicknesses t_{x1} , t_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses t_{y1} , t_{y2} , et seq., and $t_{x1} \neq t_{y1}$, $t_{x2} \neq t_{y2}$, et seq., and therefore either condition $x \neq y$ or x = y is sufficient in order to achieve differing anode sub-assembly thicknesses T_x and T_y . In a fourth tailoring embodiment, certain or all of the x anode layers have differing anode layer thicknesses t_{x1} , t_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses t_{y1} , t_{y2} , et seq., and $t_{x1} = t_{y1}$, $t_{x2} = t_{y2}$, et seq., and therefore the condition $t_{y2} = t_{y3} = t_{y4} = t_{y4$

5

10

15

20

25

30

Each capacitor layer 227a - 227h also includes the electrolyte bearing inner separator layer 180 formed of two separator layer sheets 180a and 180b as depicted in FIGs. 4 and 13. Each separator layer 180 has a separator peripheral edge 180' extending toward the interior case side wall 92. The separator layers 180 disposed between each adjacent anode sub-assembly and cathode layer electrically separates each anode sub-assembly from each adjacent cathode layer of the stacked capacitor layers. The upper and lower separator layers 165a and 165b of FIGs. 9 and 10(a) may also be applied to the upper and lower surfaces of the electrode stack assembly 225.

Thus, the total electrode stack assembly thickness or height \mathbf{H}_N is dependent upon the total number N of capacitor layers and the thickness $\mathbf{T}_1, \mathbf{T}_2, \ldots, \mathbf{T}_n$ of the selected groups $N_1, N_2, \ldots N_n$ of capacitor layers (and the thicknesses of the upper and lower separator layers 165a and 165b, if present). The capacitor layer thickness $\mathbf{T}_1, \mathbf{T}_2, \ldots, \mathbf{T}_n$ depends on the number and the thickness of the anode foils or sheets of the anode layers, the thickness of the cathode layers, and the thickness of the separator sheets, particularly when swollen by liquid electrolyte. By this selection, the maximum surface area and capacitance of the electrode stack assembly is achieved and empty height space of the interior case chamber is minimized without causing undue pressure.

As noted earlier, the principles of the present invention may be applied to any case enclosure configuration wherein the total electrode stack assembly thickness or height \mathbf{H}_{N} is correlated with the case height \mathbf{H}_{cw} which may correspond to a case width or thickness in the situation where the cover is on an end or side of the enclosure rather than the top as illustrated in the figures and described above.

40

In further reference to the embodiment of FIG. 13, it is preferred to cut or otherwise form separator layer 180 such that its outer periphery edge 180' is the outermost surface of the stack periphery 226 and conforms closely to that of the case chamber periphery 97 so that the outer peripheral edges 180' contact the adjacent interior side wall surface 92. In preferred embodiments, the periphery of separator layer is disposed within ± 0.009 inches of the adjoining side wall surface 92. Such close conformity between the periphery edge 180' and the corresponding internal side walls of case 90 has been discovered to provide the advantage of permitting separator layers 180 to immobilize or secure firmly in place electrode stack assembly 225 in case 90. This immobilization occurs because the separator paper forming separator layers 180 swells after electrolyte is added through the lumen of fill port 107 into the interior case chamber 93 of the otherwise assembled and sealed capacitor 265.

5

10

15

20

25

3.0

Further in reference to FIG. 13, in each capacitor layer 227b, 227c, et seq., the anode sub-assembly peripheral edges 170b', 170c', et seq., are disposed at a first distance D1 from the separator layer peripheral edges 180' and the case interior side wall surface 92 throughout the major portion 229 of the case chamber periphery 97. The cathode peripheral edges 175a', 175b', 175c', et seq., are disposed at a second distance D2 from the case interior side wall surface 92 and the separator layer peripheral edges 180' throughout the major portion 229 of the case chamber periphery 97. The distances D1 and D2 can be the same as illustrated, or the distance D2 can be greater than the distance D1, thereby increasing anode surface area and locating the anode peripheral edges such that anode sub-assembly peripheral edges they contact one another if edge defects are present and do not electrically short against an intervening cathode layer.

FIG. 14 shows a top view of such an embodiment of assembled capacitor 265 with cover 110 not present and with a case liner 300 separating electrode stack assembly 225 from the case 90 and cover 110. The case liner 300 provides an insulating barrier positioned about electrode stack assembly 225 to cover the stack periphery 226 throughout the major portion 229 illustrated in FIG. 9 and to also cover an edge portion of the outer separator layers 165a and 165b. Wiring harness connector block 145 is coupled to the electrode stack 108 through case 90 as described above.

41

FIG. 15 illustrates case liner 300 as used in FIG. 14 to enclose electrode stack assembly 225. In this illustrated embodiment, case liner 300 is constructed in an upper half section 308 and a lower half section 310. Electrode stack assembly 225 is positioned within the upper and lower half sections 308 and 310 in the assembly depicted in FIG. 15. A case liner side wall 306 that extends throughout the major portion 229 illustrated in FIG. 9 is formed when the assembly depicted in FIG. 15 is completed. A cut out section 312 is made in the case liner side wall 306 in the minor portion 231 of the case chamber periphery 97 shown in FIG. 11 to facilitate electrical connections from the feedthrough pins 130 and 135 to anode and cathode tabs 232 and 233, respectively. The electrical connections are made after the liner lower half section 310 is placed in the interior case chamber 93 and the electrode stack assembly is nested into the lower half section as in FIG. 11. The electrical connections illustrated in FIGs. 9, 10(a) and 11 are completed, and the upper case liner half section 308 is placed over the upper surface of the electrode stack assembly. A further cut-out hole is provided in the upper and lower half sections 308 and 310 in alignment with the fill port 107 to allow leak testing and introduction of the electrolyte as described, for example, in the above-referenced `133 patent.

5

10

15

20

25

30

Case liner 300 is made of an appropriate thickness of electrically insulating material depending upon the mechanical design of electrode stack assembly 225, the amount of separator layer overhang, the desired distance D1 separation between electrode stack periphery 226 and the case side wall surface 92, etc. In one embodiment liner wall thickness is in the range of 0.001 to 0.10 inches (0.025 to 0.254 mm) and more preferably in the range of 0.003 to 0.005 inches (0.075 to 0.127 mm). Liner wall thickness is also a function of the type of insulating material from which liner 300 is made.

In one embodiment, liner 300 is made of a polymeric material or polymeric blend of materials, and in one preferred embodiment the polymeric material is polysulfone. Other suitable polymeric materials include polypropylene, polyethylene and ETFE. Optionally, liner 300 can be formed of other insulating materials, such as those materials previously disclosed herein for construction of the wire guides 140 and 141. Liner 300 acts as a separator between the electrode stack periphery 226 and case side wall surface 92, and therefore could be made of porous materials or made porous, e.g., by having holes

42

therethrough. Other suitable electrical non-conducting materials for liner 300 will become apparent to those skilled in the art after reading the present application.

5

10

15

20

25

30

The mechanical design of the liner 300 may take many different configurations depending upon the configuration of the electrode stack assembly 225. In applications where the desired shape of capacitor assembly 64 has a low thickness to width aspect ratio, a stacked plate electrode 108 design is preferred to achieve optimal energy density. Liner 300 can be constructed of a single part, a two part assembly, or optionally made with multiple component construction. Various embodiments of liner 300 mechanical design are described in detail later in commonly assigned, co-pending U.S. Patent Application Serial No. 09/531,352 filed March 20, 2000, in the names of Mark D. Breyen et al., and entitled IMPLANTABLE MEDICAL DEVICE HAVING A CAPACITOR ASSEMBLY WITH LINER. The use of liner 300 extends to cylindrical or other capacitor assembly 64 shapes. Although liner 300 is preferably thermoformed or molded, in another preferred embodiment liner 300 can be coated or deposited on the inside of case 100 or upon electrode stack assembly 225. In this embodiment, the liner 300 is preferably less than 0.050 inch (0.130 mm) and more preferably less than 0.001 inches (0.025 mm), and more preferably less than 0.0005 inches (0.0013 mm) thick.

Although only a few exemplary embodiments of a capacitor 265 in which the present invention is advantageously implemented have been described in detail above, those skilled in the art will appreciate readily that many modifications are possible in the exemplary embodiments without materially departing from the novel teachings and advantages of the invention. Accordingly, all such modifications are intended to be included within the scope of the present invention as defined in the following claims.

The preceding specific embodiments are illustrative of a capacitor structure and method of fabrication thereof and its incorporation into an IMD in accordance with preferred embodiments of the present invention. It is to be understood, therefore, that other expedients known to those skilled in the art or disclosed herein, and existing prior to the filing date of this application or coming into existence at a later time may be employed without departing from the invention or the scope of the appended claims. In the claims, means-plus-function clauses are intended to cover the structures described herein as

43

performing the recited function and not only structural equivalents but also equivalent structures.

All patents and printed publications disclosed herein are hereby incorporated by reference herein into the specification hereof, each in its respective entirety.

44

We claim:

5

10

1.5

20

25

30

1. An implantable medical device comprising:

a housing;

an electronics module disposed within the housing;

an energy source within the housing electrically coupled to the electronics module;

a capacitor assembly within the housing electrically coupled to the electronics

module, the capacitor further comprising:

a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge through a side wall height to a side wall opening edge defining a case opening edge and a case chamber height \mathbf{H}_{cw} ;

a cover adapted to be sealed against the case opening edge to enclose an interior case chamber, whereby the interior case chamber has a case chamber periphery defined by said case side wall; and

an electrode stack assembly located within the interior case chamber, the electrode stack assembly having a stack periphery configured in mating relation with the case chamber periphery and further comprising a plurality of N capacitor layers stacked in registration upon one another and between the case base and the cover through a stack height H_N selected to be equal to or less than the case chamber height H_{cw} by a predetermined tolerance, wherein N_1 capacitor layers of the N capacitor layers each have a first capacitor layer thickness T_{CL1} and N_2 capacitor layers of the N capacitor layers each have a second capacitor layer thickness T_{CL2} .

whereby the stack height \mathbf{H}_{N} is dependent upon $\mathbf{N}_{1} * \mathbf{T}_{CL1} + \mathbf{N}_{2} * \mathbf{T}_{CL2}$.

2. An implantable medical device comprising:

a housing;

an electronics module disposed within the housing;

an energy source disposed within the housing and electrically coupled to the electronics module; and

45

a capacitor assembly disposed within the housing and electrically coupled to the electronics module, the capacitor assembly further comprising:

5

10

15

20

25

30

a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge to a side wall opening edge defining a case opening edge, and a cover sealed against the case opening edge to enclose the interior case chamber, whereby the interior case chamber has a case chamber periphery and a case chamber height \mathbf{H}_{cw} ; and

an electrode stack assembly located within the interior case chamber comprising N capacitor layers stacked in registration upon one another and between the case base and the cover having a stack height H_N selected to be equal to or less than the case chamber height H_{cw} by a predetermined tolerance,

wherein N_1 capacitor layers of the N capacitor layers each have a first capacitor layer thickness T_{CL1} and each further comprise:

a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

an anode sub-assembly comprising \mathbf{x} anode layers each having anode layer thickness \mathbf{t}_x and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness \mathbf{T}_x and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall, the electrolyte bearing separator layers disposed on each side of the anode sub-assembly and the cathode layer of the capacitor layer,

whereby the first capacitor layer thickness T_{CL1} is dependent upon the thickness of the plurality of separator layers, the cathode layer thickness and the anode sub-assembly thickness T_x ; and

WO 02/02184

wherein N_2 of the N capacitor layers each have a second capacitor layer thickness T_{CL2} and each comprise:

a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

an anode sub-assembly comprising y anode layers each having anode layer thickness t_y and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness T_y and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall, the electrolyte bearing separator layers disposed on each side of the anode sub-assembly and the cathode layer of the capacitor layer,

whereby the first capacitor layer thickness T_{CLI} is dependent upon the thickness of the plurality of separator layers, the cathode layer thickness and the anode sub-assembly thickness T_x ; and

whereby the stack height \mathbf{H}_{N} is dependent upon $\mathbf{N}_{1} * \mathbf{T}_{CL1} + \mathbf{N}_{2} * \mathbf{T}_{CL2}$.

- 3. The implantable medical device of Claim 2, wherein the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.
- 4. The implantable medical device of Claim 2, wherein the anode layer thickness \mathbf{t}_{x} is equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.
- 5. The implantable medical device of Claim 4, wherein the x anode layers comprise at least two anode layers and the y anode layers exceed the number of x anode layers.

5

10

15

20

25

47

6. The implantable medical device of Claim 2, wherein the anode layer thickness \mathbf{t}_{x} is not equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

5

7. The implantable medical device of Claim 2, wherein the anode layer thickness \mathbf{t}_{x} is not equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is equal to the number \mathbf{y} anode layers.

10

8. The implantable medical device of Claim 2, wherein certain or all of the x anode layers have differing anode layer thicknesses t_{x_1} , t_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses t_{y_1} , t_{y_2} , et seq., wherein $t_{x_1} \neq t_{y_1}$, $t_{x_2} \neq t_{y_2}$, et seq., and therefore either condition $x \neq y$ or x = y is sufficient in order to achieve differing anode sub-assembly thicknesses T_x and T_y .

15

9. The implantable medical device of Claim 2, wherein certain or all of the x anode layers have differing anode layer thicknesses t_{x1} , t_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses t_{y1} , t_{y2} , et seq., wherein $t_{x1} = t_{y1}$, $t_{x2} = t_{y2}$, et seq., and therefore the condition $x \neq y$ is necessary in order to achieve differing anode sub-assembly thicknesses T_x and T_y .

20

10. An electrolytic capacitor comprising:

a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge through a side wall height to a side wall opening edge defining a case opening edge and a case chamber height \mathbf{H}_{cw} ;

25

a cover adapted to be sealed against the case opening edge to enclose an interior case chamber, whereby the interior case chamber has a case chamber periphery defined by said case side wall; and

30

an electrode stack assembly located within the interior case chamber, the electrode stack assembly having a stack periphery configured in mating relation with the case

48

chamber periphery and further comprising a plurality of N capacitor layers stacked in registration upon one another and between the case base and the cover through a stack height \mathbf{H}_{N} selected to be equal to or less than the case chamber height \mathbf{H}_{cw} by a predetermined tolerance, wherein \mathbf{N}_{1} capacitor layers of the N capacitor layers each have a first capacitor layer thickness \mathbf{T}_{CL1} and \mathbf{N}_{2} capacitor layers of the N capacitor layers each have a second capacitor layer thickness \mathbf{T}_{CL2} , whereby the stack height \mathbf{H}_{N} is dependent upon $\mathbf{N}_{1}*\mathbf{T}_{CL1}+\mathbf{N}_{2}*\mathbf{T}_{CL2}$.

11. An electrolytic capacitor assembly comprising:

5

10

15

20

25

30

a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge to a side wall opening edge defining a case opening edge, and a cover sealed against the case opening edge to enclose the interior case chamber, whereby the interior case chamber has a case chamber periphery and a case chamber height \mathbf{H}_{cw} ; and

an electrode stack assembly located within the interior case chamber comprising N capacitor layers stacked in registration upon one another and between the case base and the cover having a stack height H_N selected to be equal to or less than the case chamber height H_{cw} by a predetermined tolerance,

wherein N_1 capacitor layers of the N capacitor layers each have a first capacitor layer thickness T_{CL1} and each further comprise:

a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

an anode sub-assembly comprising \mathbf{x} anode layers each having anode layer thickness $\mathbf{t}_{\mathbf{x}}$ and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness $\mathbf{T}_{\mathbf{x}}$ and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

5

10

15

20

25

30

a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall, the electrolyte bearing separator layers disposed on each side of the anode sub-assembly and the cathode layer of the capacitor layer;

whereby the first capacitor layer thickness T_{CL1} is dependent upon the thickness of the plurality of separator layers, the cathode layer thickness and the anode subassembly thickness T_{x} ; and

wherein N_2 of the N capacitor layers each have a second capacitor layer thickness $T_{\rm CL2}$ and each comprise:

a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

an anode sub-assembly comprising y anode layers each having anode layer thickness t_y and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness T_y and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall, the electrolyte bearing separator layers disposed on each side of the anode sub-assembly and the cathode layer of the capacitor layer;

whereby the first capacitor layer thickness T_{CL1} is dependent upon the thickness of the plurality of separator layers, the cathode layer thickness and the anode subassembly thickness T_x ; and

whereby the stack height \mathbf{H}_{N} is dependent upon $\mathbf{N}_{1}*\mathbf{T}_{CL1}+\mathbf{N}_{2}*\mathbf{T}_{CL2}$.

12. The capacitor of Claim 11, wherein the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

13. The capacitor of Claim 11, wherein the anode layer thickness \mathbf{t}_{x} is equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

5

14. The capacitor of Claim 13, wherein the x anode layers comprise at least two anode layers and the y anode layers exceed the number of x anode layers.

10

15. The capacitor of Claim 11, wherein the anode layer thickness \mathbf{t}_{x} is not equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

15

- 16. The capacitor of Claim 11, wherein the anode layer thickness $\mathbf{t}_{\mathbf{x}}$ is not equal to the anode layer thickness $\mathbf{t}_{\mathbf{y}}$, and the number \mathbf{x} anode layers is equal to the number \mathbf{y} anode layers.
- 17. The capacitor of Claim 11, wherein certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x_1} , \mathbf{t}_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y_1} , \mathbf{t}_{y_2} , et seq., wherein $\mathbf{t}_{x_1} \neq \mathbf{t}_{y_1}$, $\mathbf{t}_{x_2} \neq \mathbf{t}_{y_2}$, et seq., and therefore either condition $\mathbf{x} \neq \mathbf{y}$ or $\mathbf{x} = \mathbf{y}$ is sufficient in order to achieve differing anode sub-assembly thicknesses \mathbf{T}_x and \mathbf{T}_y .

20

18. The capacitor of Claim 11, wherein certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x1} , \mathbf{t}_{x2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y1} , \mathbf{t}_{y2} , et seq., wherein $\mathbf{t}_{x1} = \mathbf{t}_{y1}$, $\mathbf{t}_{x2} = \mathbf{t}_{y2}$, et seq., and therefore the condition $\mathbf{x} \neq \mathbf{y}$ is necessary in order to achieve differing anode subassembly thicknesses \mathbf{T}_{x} and \mathbf{T}_{y} .

25

19. A method of assembling an implantable medical device comprising: providing a housing; disposing an electronics module within the housing;

disposing an energy source within the housing;

51

electrically coupling the energy source to the electronics module; forming a capacitor assembly through the steps of:

5

10

15

20

25

30

providing a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge through a side wall height to a side wall opening edge defining a case opening edge and a case chamber height \mathbf{H}_{cw} ;

providing a cover adapted to be sealed against the case opening edge to enclose the interior case chamber, whereby the interior case chamber has a case chamber periphery defined by said case side wall; and

forming an electrode stack assembly adapted to be located within the interior case chamber, the electrode stack assembly having a stack periphery configured in mating relation with the case chamber periphery and further comprising a plurality of N capacitor layers stacked in registration upon one another and between the case base and the cover through a stack height \mathbf{H}_{N} selected to be equal to or less than the case chamber height \mathbf{H}_{cw} by a predetermined tolerance, wherein \mathbf{N}_{1} capacitor layers of the N capacitor layers each have a first capacitor layer thickness \mathbf{T}_{CL1} and \mathbf{N}_{2} capacitor layers of the N capacitor layers each have a second capacitor layer thickness \mathbf{T}_{CL2} , whereby the stack height \mathbf{H}_{N} is dependent upon $\mathbf{N}_{1}*\mathbf{T}_{CL1}+\mathbf{N}_{2}*\mathbf{T}_{CL2}$;

disposing the electrode stack assembly in the interior case chamber; hermetically sealing the case cover to the side wall opening edge; disposing the capacitor assembly within the housing; and electrically coupling the capacitor assembly to the electronics module.

20. The method of Claim 19 wherein the step of forming an electrode stack assembly further comprises:

forming the N_1 capacitor layers each having a first capacitor layer thickness $T_{\rm CL1}$ by:

forming a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

52

forming an anode sub-assembly comprising \mathbf{x} anode layers each having anode layer thickness \mathbf{t}_x and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness \mathbf{T}_x and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

disposing a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall on each side of the anode sub-assembly and the cathode layer of the capacitor layer; and

forming the N_2 capacitor layers each having a first capacitor layer thickness $T_{\text{\tiny CL2}}$

by:

forming a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

forming an anode sub-assembly comprising y anode layers each having anode layer thickness t_y and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness T_y and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

disposing a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall on each side of the anode sub-assembly and the cathode layer of the capacitor layer.

25

30

5

10

15

- 21. The method of Claim 19, wherein the number x anode layers is not equal to the number y anode layers.
- 22. The method of Claim 19, wherein the anode layer thickness \mathbf{t}_{x} is equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

WO 02/02184

5

10

15

20

- 23. The method of Claim 22, wherein the x anode layers comprise at least two anode layers and the y anode layers exceed the number of x anode layers.
- 24. The method of Claim 19, wherein the anode layer thickness t_x is not equal to the anode layer thickness t_y , and the number x anode layers is not equal to the number y anode layers.
- 25. The method of Claim 19, wherein the anode layer thickness \mathbf{t}_{x} is not equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is equal to the number \mathbf{y} anode layers.
- 26. The method of Claim 19, wherein certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x_1} , \mathbf{t}_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y_1} , \mathbf{t}_{y_2} , et seq., wherein $\mathbf{t}_{x_1} \neq \mathbf{t}_{y_1}$, $\mathbf{t}_{x_2} \neq \mathbf{t}_{y_2}$, et seq., and therefore either condition $\mathbf{x} \neq \mathbf{y}$ or $\mathbf{x} = \mathbf{y}$ is sufficient in order to achieve differing anode sub-assembly thicknesses \mathbf{T}_x and \mathbf{T}_y .
- 27. The method of Claim 19, wherein certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x_1} , \mathbf{t}_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y_1} , \mathbf{t}_{y_2} , et seq., wherein $\mathbf{t}_{x_1} = \mathbf{t}_{y_1}$, $\mathbf{t}_{x_2} = \mathbf{t}_{y_2}$, et seq., and therefore the condition $\mathbf{x} \neq \mathbf{y}$ is necessary in order to achieve differing anode subassembly thicknesses \mathbf{T}_x and \mathbf{T}_y .
- 28. A method of assembling an electrolytic capacitor comprising: providing a sealed capacitor case defining an interior case chamber, the case having a base having a base peripheral edge, a case side wall extending between the base peripheral edge through a side wall height to a side wall opening edge defining a case opening edge and a case chamber height \mathbf{H}_{cw} ;

WO 02/02184

providing a cover adapted to be sealed against the case opening edge to enclose the interior case chamber, whereby the interior case chamber has a case chamber periphery defined by said case side wall;

forming an electrode stack assembly adapted to be located within the interior case chamber, the electrode stack assembly having a stack periphery configured in mating relation with the case chamber periphery and further comprising a plurality of N capacitor layers stacked in registration upon one another and between the case base and the cover through a stack height H_N selected to be equal to or less than the case chamber height H_{cw} by a predetermined tolerance, wherein N_1 capacitor layers of the N capacitor layers each have a first capacitor layer thickness T_{CL1} and N_2 capacitor layers of the N capacitor layers each have a second capacitor layer thickness T_{CL2} , whereby the stack height H_N is dependent upon $N_1 * T_{CL1} + N_2 * T_{CL2}$;

disposing the electrode stack assembly within the interior case chamber; and hermetically sealing the case cover to the side wall opening edge;

29. The method of Claim 28 wherein the step of forming an electrode stack assembly further comprises:

forming the N_1 capacitor layers each having a first capacitor layer thickness T_{CL1} by:

forming a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

forming an anode sub-assembly comprising \mathbf{x} anode layers each having anode layer thickness \mathbf{t}_x and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness \mathbf{T}_x and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

10

5

15

20

55

disposing a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall on each side of the anode sub-assembly and the cathode layer of the capacitor layer; and

forming the N_2 capacitor layers each having a first capacitor layer thickness T_{CL2}

by:

forming a cathode layer having a cathode layer thickness and a cathode peripheral edge extending toward the case side wall throughout a major portion of said case chamber periphery and having a cathode tab extending toward the case side wall in a minor portion of said case chamber periphery;

10

5

forming an anode sub-assembly comprising y anode layers each having anode layer thickness t_y and an anode layer peripheral edge extending toward the case side wall throughout a major length of said base peripheral edge, whereby each anode sub-assembly has an anode sub-assembly thickness T_y and further comprises an anode tab extending toward the case side wall in a minor portion of said case chamber periphery; and

15

disposing a plurality of electrolyte bearing separator layers each having a separator peripheral edge extending toward the case side wall on each side of the anode sub-assembly and the cathode layer of the capacitor layer.

- 30. The method of Claim 28, wherein the number x anode layers is not equal to the number y anode layers.
- 31. The method of Claim 28, wherein the anode layer thickness \mathbf{t}_{x} is equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.
- 25
- 32. The method of Claim 31, wherein the x anode layers comprise at least two anode layers and the y anode layers exceed the number of x anode layers.

56

33. The method of Claim 28, wherein the anode layer thickness $\mathbf{t}_{\mathbf{x}}$ is not equal to the anode layer thickness $\mathbf{t}_{\mathbf{y}}$, and the number \mathbf{x} anode layers is not equal to the number \mathbf{y} anode layers.

5

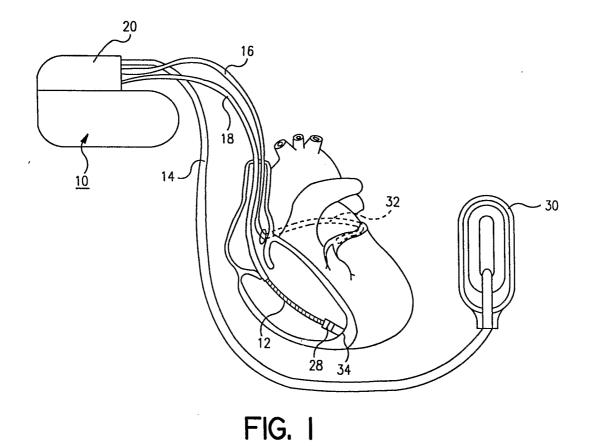
34. The method of Claim 28, wherein the anode layer thickness \mathbf{t}_{x} is not equal to the anode layer thickness \mathbf{t}_{y} , and the number \mathbf{x} anode layers is equal to the number \mathbf{y} anode layers.

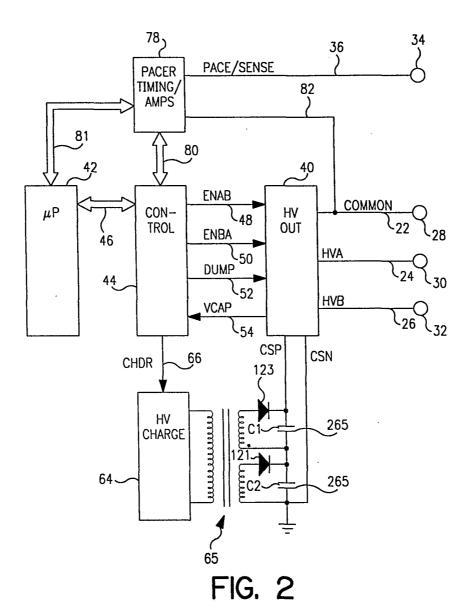
10

35. The method of Claim 28, wherein certain or all of the x anode layers have differing anode layer thicknesses t_{x_1} , t_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses t_{y_1} , t_{y_2} , et seq., wherein $t_{x_1} \neq t_{y_1}$, $t_{x_2} \neq t_{y_2}$, et seq., and therefore either condition $x \neq y$ or x = y is sufficient in order to achieve differing anode sub-assembly thicknesses T_x and T_y .

15

36. The method of Claim 28, wherein certain or all of the x anode layers have differing anode layer thicknesses \mathbf{t}_{x_1} , \mathbf{t}_{x_2} , et seq., and certain or all of the y anode layers have differing anode layer thicknesses \mathbf{t}_{y_1} , \mathbf{t}_{y_2} , et seq., wherein $\mathbf{t}_{x_1} = \mathbf{t}_{y_1}$, $\mathbf{t}_{x_2} = \mathbf{t}_{y_2}$, et seq., and therefore the condition $\mathbf{x} \neq \mathbf{y}$ is necessary in order to achieve differing anode subassembly thicknesses \mathbf{T}_x and \mathbf{T}_y .





SUBSTITUTE SHEET (RULE 26)



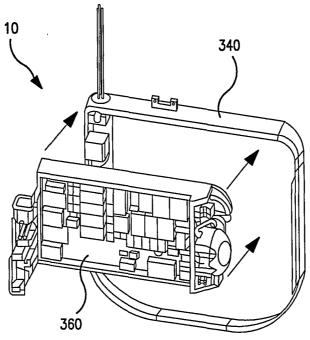
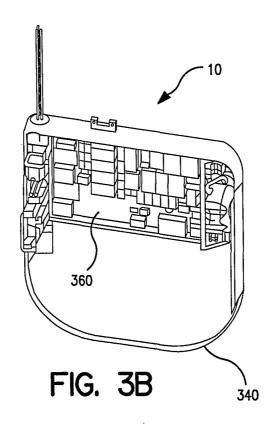
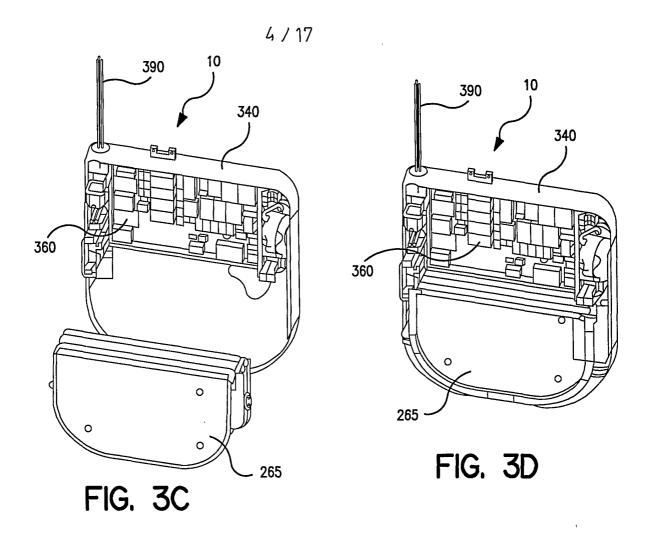
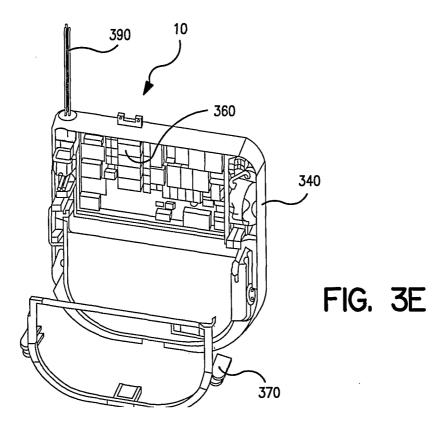


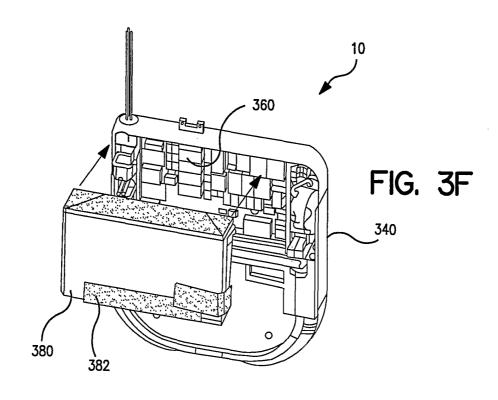
FIG. 3A



SUBSTITUTE SHEET (RULE 26)







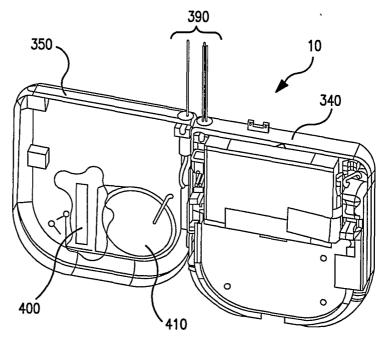
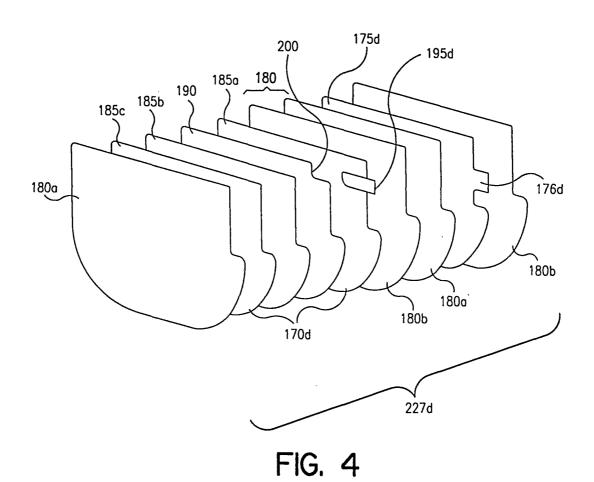


FIG. 3G



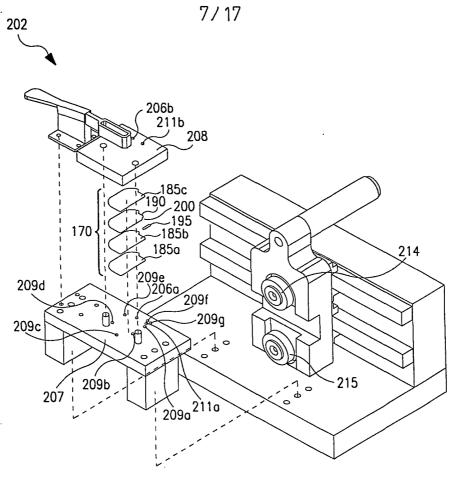


FIG. 5A

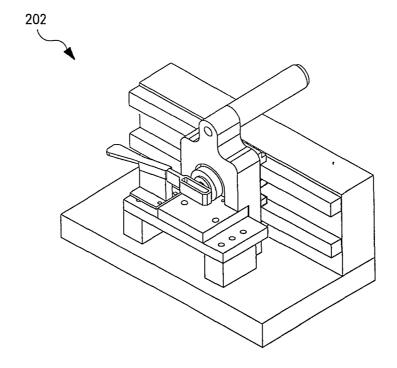
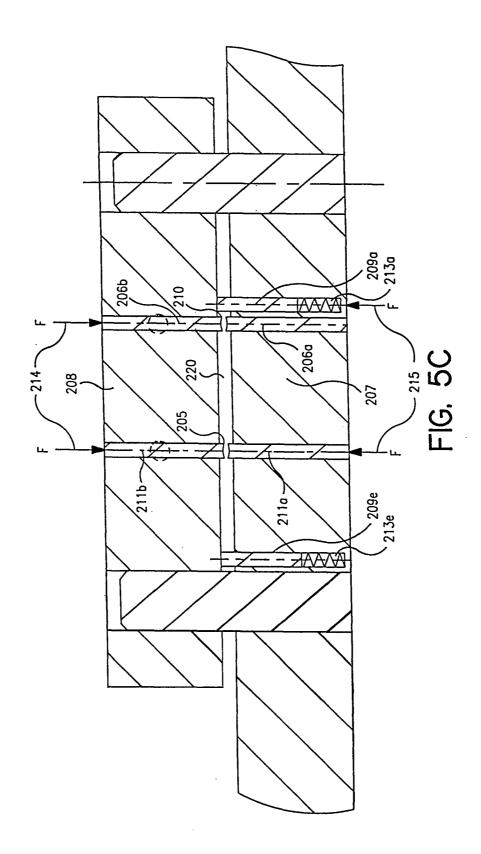
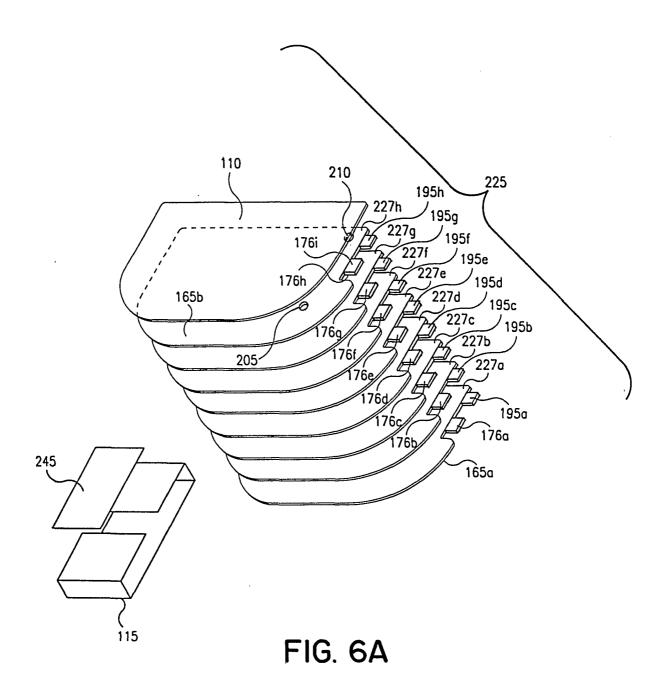
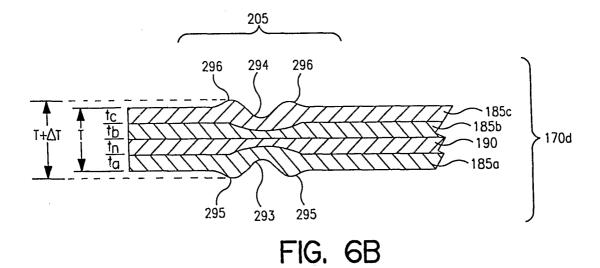


FIG. 5B







301 298 301 185c 185b 1900 1900 185α 185α FIG. 6C

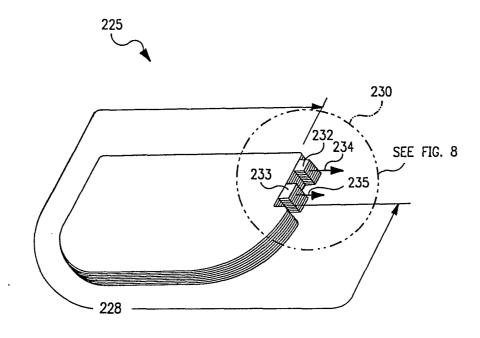


FIG. 7

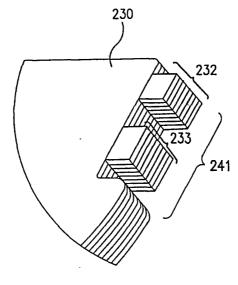
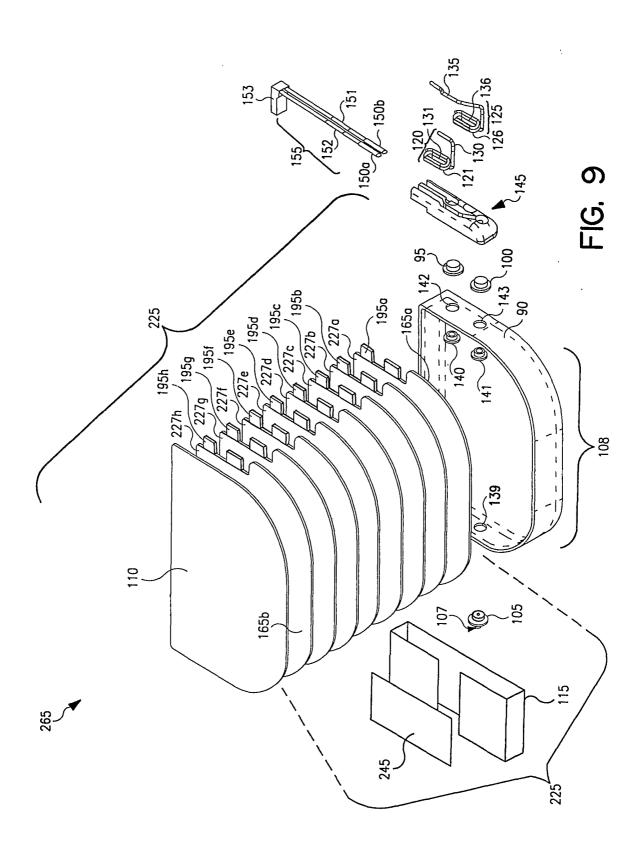


FIG. 8



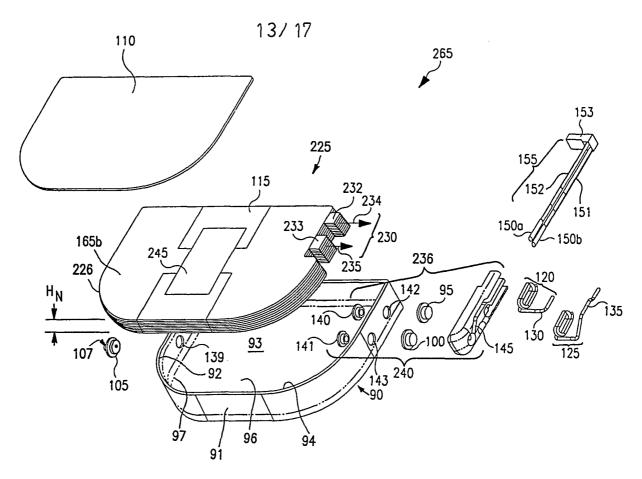
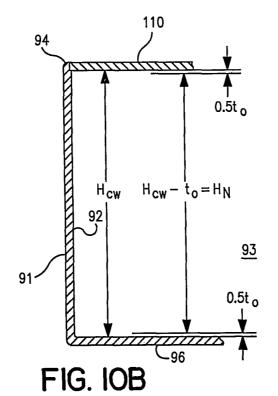


FIG. IOA



SUBSTITUTE SHEET (RULE 26)

14/17

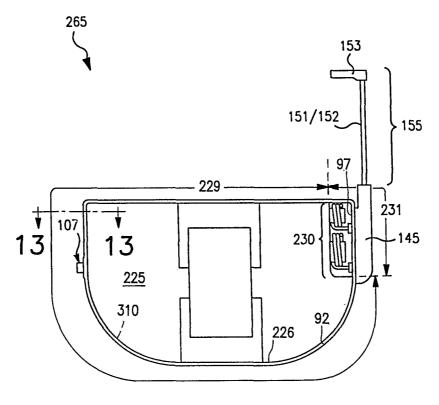
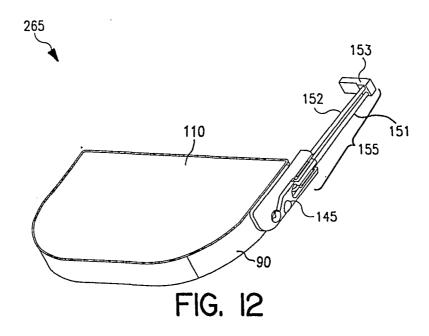
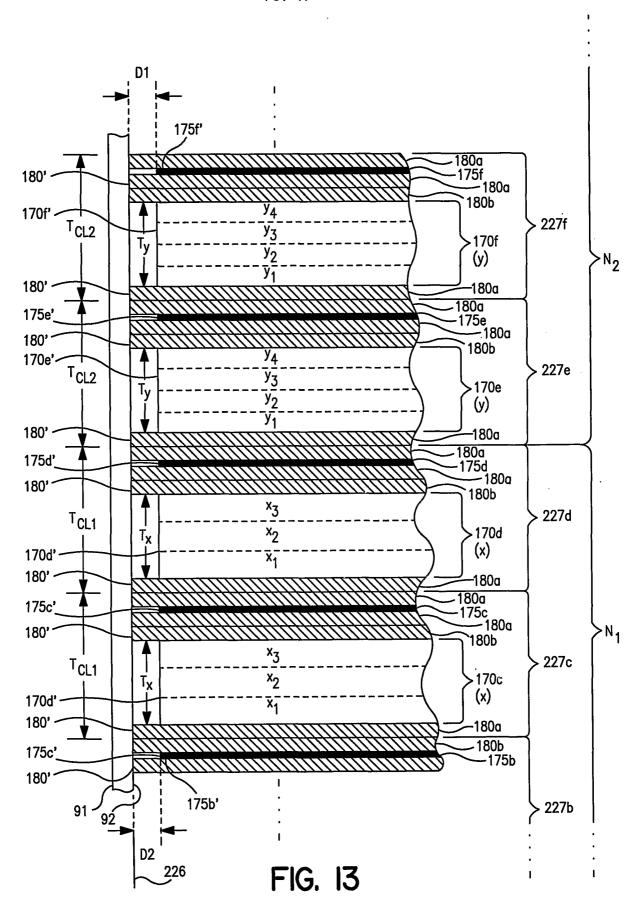


FIG. II





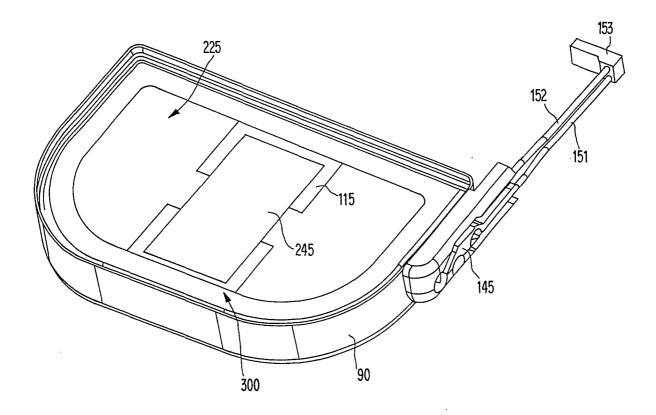
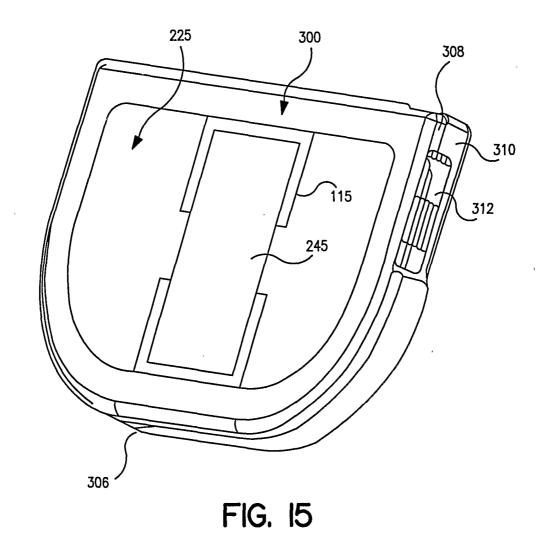


FIG. 14



INTERNATIONAL SEARCH REPORT

ional Application No

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61N1/372 A61N Ä61N1/39 A61N1/375 According to International Patent Classification (IPC) or to both national classification and IPC Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61N H01G Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category ° Citation of document, with indication, where appropriate, of the relevant passages 1,2,10, 11,19,28 WO 99 51303 A (MEDTRONIC INC) 14 October 1999 (1999-10-14) page 30, line 12 -page 42, line 25; figures US 5 801 917 A (ELIAS WILLIAM H) Α 1,2,10, 1 September 1998 (1998-09-01) 11,19,28 cited in the application the whole document US 5 808 856 A (BISCHOFF MICHAEL G ET AL) 1,2,11, Α 15 September 1998 (1998-09-15) 19,28 the whole document Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the earlier document but published on or after the international filing date invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 14 December 2001 21/12/2001 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Ferrigno, A Fax: (+31-70) 340-3016

INTERNATIONAL SEARCH REPORT

nformation on patent family members

Ir ional Application No

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9951303	A	14-10-1999	US	6042624 A	28-03-2000
			EP	1067986 A1	17-01-2001
			EP	1067989 A1	17-01-2001
			EP	1067987 A1	17-01-2001
			WO	9951301 A1	14-10-1999
			WO	9951303 A1	14-10-1999
			WO	9951302 A1	14-10-1999
			US	6032075 A	29-02-2000
			US	6157531 A	05-12-2000
			US	6141205 A	31-10-2000
			US	6099600 A	08-08-2000
			US	6006133 A	21-12-1999
			US	6009348 A	28-12-1999
			US	6212063 B1	03-04-2001
			US	6118652 A	12-09-2000
			US	6321114 B1	20-11-2001
			US	6184160 B1	06-02-2001
US 5801917	Α	.01-09-1998	US	5908151 A	01-06-1999
US 5808856	- 	15-09-1998	US	5603147 A	18-02-1997