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(54) Title: SUBSTRATE WITH ANTIMICROBIAL PROPERTIES

(57) **Abstract:** An antimicrobial substrate (glass, ceramic or metallic) coated with a mixed layer deposited by sputtering is described. The layer comprising at least one antimicrobial agent mixed to binder material chosen amongst the metal oxides, oxynitrides, oxycarbides or nitrides. This substrate presents antimicrobial properties. If a tempered and antimicrobial glass is required, the same co-sputtering process can be used, optionally an underlayer can be added. Antimicrobial properties are maintained even after a tempering process.



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## SUBSTRATE WITH ANTIMICROBIAL PROPERTIES

The present invention relates to a substrate of any type: metal, glass, glass ceramic, or plastic type substrate, wherein at least one of its surfaces has antimicrobial, in particular antibacterial or antifungal, properties. The present invention also relates to processes for the production of such a substrate.

5                   In the field of ceramic substrates, EP 653 161, for example, describes the possibility of covering these with a glaze composed of silver to provide them with antibacterial properties.

10                   In the field of glass-type substrates, sol-gel type processes are known to provide an antimicrobial surface. These processes require a hardening stage of the sol-gel layer, which involves elevated temperatures in the order of 500°-600°C (sintering temperature). Processes are also known that require the substrate to be dipped in a composition comprising a silver salt. In this case, a silver layer is not deposited, but an ion exchange takes place in the solution at an elevated temperature.

15                   A process for producing a glass substrate having antimicrobial properties is also known from EP 1449816. This process uses  $\text{AgNO}_3$  in oil and requires both a drying stage between 20° and 105°C and a thermal treatment at 600°-650°C. This thermal treatment has some disadvantages particularly with respect to cost and uniformity of the product. Moreover, it renders the process very poorly  
20                   reproducible, since it has been found that at these temperatures the diffusion of the silver is very rapid and a slight variation in the duration of the thermal treatment results in a significant variation in the depth of diffusion of the silver, and therefore this causes variation in the antibacterial properties of the substrate.

In particular, we have observed that with such a process, the majority of the silver has diffused between around 1 and 2  $\mu\text{m}$  and that at the surface the quantity of silver is too low to give antimicrobial properties to the glass.

It may also be noted that such a thermal treatment causes an undesirable yellow colouration of a soda-lime glass substrate. Furthermore, if the thermal treatment is carried out during a tempering process, after having been treated, the product may no more be cut into particular size.

WO 95/13704 describes anti-microbial materials, in particular for medical devices. In example 9, separated layers of Ag and ZnO were deposited sequentially by RF magnetron sputtering in a ratio of 75-25 wt%. The total thickness of the layers is 330 nm. RF magnetron sputtering is a deposition method which is hardly industrialisable today.

Therefore, there is a need to provide a substrate, either glass or metallic, with antimicrobial properties, which is easy to use and inexpensive to produce in an industrial manner.

In particular, there is a need to provide a glass substrate which can be tempered and which keeps antimicrobial properties, preferably bactericide properties, after tempering process.

In particular, one aim of the invention is to provide a glass substrate which can be tempered and which keeps antimicrobial properties after accelerating ageing tests carried out after tempering process.

According to one embodiment, the present invention relates to a substrate coated with at least one mineral layer, particularly selected from metal oxides, oxynitrides, oxycarbides, carbides, DLC (diamond like carbon) or nitrides, said layer comprising at least one antimicrobial agent, the coated substrate maintaining antimicrobial properties after accelerated ageing tests. In particular, the mineral layer can be selected from oxides of silicon, tin, nickel, chrome, zinc,

titanium, niobium, aluminium, zirconium or mixtures thereof, for example  $Zn_xSn_yO_z$  and  $NiCrO_x$ . Particularly preferred nitrides are silicon, titanium and aluminium nitrides and mixtures thereof.

The antimicrobial agent can be selected from various inorganic agents  
5 known for their antimicrobial properties, in particular silver, copper, gold and zinc. Advantageously, the antimicrobial agent is in ionic form.

The substrate can be metallic, e.g. made of steel, or stainless steel or ceramic type or plastic or thermoplastic type substrate or a glass-type substrate, in particular a sheet of flat glass, particularly soda-lime glass which may be float glass. It  
10 may be clear glass or coloured glass. Frosted or patterned glass can also be used. The glass sheets can be treated on one or on both of their faces. The face opposite the treated face can be subjected to any desired type of surface treatment. It may comprise a reflective layer (to form a mirror) or a layer of enamel or painting (for wall covering), generally at the surface opposite to the antimicrobial surface.

15 The substrate may have a thickness within the range of 0.2 to 12 mm.

The substrate may have a surface area of greater than 0.8 m to 0.8m; it may be adapted to be cut to a finished size by a subsequent cutting operation.

It is conceivable that the antimicrobial glass substrate thus obtained is subjected to a thermal treatment stage such as, in the case of glass substrate, a  
20 thermal tempering, bending or hardening, while still retaining its antimicrobial properties.

In some embodiments of the invention, a substrate having antimicrobial agents present at least at one exposed surface may be a sheet of annealed glass. The term annealed sheet of glass is used herein to mean that the  
25 glass may be cut to size without breaking in the way that a tempered or hardened sheet of glass would break upon cutting. Such a sheet of annealed glass preferably

has a surface compression of less than 5 MPa. After the eventual cutting operation, the substrate is able to be tempered and antimicrobial properties are maintained.

In an advantageous embodiment of the invention, the substrate can be first coated with an underlayer that blocks or slows down the diffusion of the antimicrobial agents during the tempering treatment. The function of the underlayer can be ascertained on a product made according to the invention by comparing the antimicrobial effect of similar products with and without undercoating and/or by analysing diffusion profiles.

In the case of metallic substrates, particularly preferred undercoat and/or mixed layers are chosen amongst titanium oxide, titanium nitride, zirconium oxide, silicon oxide or silicon oxinitride.

The substrate according to the invention preferably has an antibacterial effect on a large number of bacteria, whether gram positive or gram negative bacteria, in particular on at least one of the following bacteria: *Escherichia coli*, *Staphylococcus aureus*, *Pseudomonas aeruginosa*, *Enterococcus hirae*. The antibacterial effect, measured in accordance with the JIS Z 2801 standard, is in particular, at least on any one of these bacteria, higher than log 1, preferably higher than log 2 and particularly preferred higher than log 2.5. The substrate will be considered bactericidal according to the JIS Z 2810 standard if it has an effect higher than log 2. However, the invention also relates to substrates that have a lower effect (for example bacteriostatic effect, which means that the bacteria are not necessarily killed but can not develop any more).

It has been found that it is possible to deposit the mineral layer and the antimicrobial agent in one single step over the entire substrate, whether it is made of metal, e.g. steel, or is a glass-type substrate.

In particular, with the well known method of magnetron sputtering, it is possible to form a layer, e.g. of a metal oxide doped with an antimicrobial agent, e.g. silver, using two metal targets in the same deposition chamber (co-sputtering) or

using a single target with mixed materials. Targets with mixed materials may be metallic but it can be particularly advantageous to mix ceramic materials for one of the cathode in the co-sputtering process or to mix ceramic materials with metals for the single cathode in the single cathode process. For example, Ag, Cu, Au and Zn  
5 can be mixed with oxides of Ti, NiCr, Zr and other pure or mixed oxides in order to produce mixed ceramic-based targets which lead to highly efficient processes in terms of deposition rate and process stability.

It has also been found that a wide range of magnetron sputtering processes can be used to obtain the desired antimicrobial glass. Mid DC power as  
10 well as mid-frequency pulsed DC or AC power has been used successfully both in the co-sputtering mode and in sputtering mixed targets. Gas mixtures including Ar, O<sub>2</sub> and N<sub>2</sub> have been used over the whole range of 0 to 100% for each gas, depending on the type of material desired for the layer comprising the antimicrobial agent.

With these processes, no additional or subsequent diffusion of the  
15 antimicrobial agent may be needed. We obtain an antimicrobial substrate in one step, without any thermal treatment, which is cost saving.

It has also been discovered that, if a tempered and antimicrobial glass is required, the same process may be used, and optionally an underlayer may be added. Antimicrobial (in particular bacteriostatic but also bactericidal) properties  
20 may be maintained even after a tempering process (implying high temperature treatment during approximatively 2 to 10 min).

Layers of Ag doped metal oxide deposited in a single step by co-sputtering or sputtering of mixed targets, have been made which have antimicrobial properties with a simple process that does not require any thermal treatment.

25 When the substrate used is a clear glass, it can advantageously have antimicrobial properties as well as a neutral colouration in reflection. In particular, the colorimetric indexes (CIELAB system) in reflection  $a^*$  and  $b^*$  (Illuminant C, 10° observer) may be in the range of between -10 and 6, preferably between -8 and 3

and particularly preferred between -6 and 0, and the purity may be less than 15%, preferably less than 10% and particularly preferred less than 5%. If an underlayer is deposited a slight absorption in the visible (around 5 to 25 %) may be imparted to the underlayer. It may have a visible light reflection around 8 and 15%,

5                    If the substrate is a coloured glass, antimicrobial properties may be obtained without changing very much the initial colour of the substrate. The change of coloration is generally expressed with the colorimetric index by Delta E\* ; Delta E\* =  $[(L^*_1 - L^*_2)^2 + (a^*_1 - a^*_2)^2 + (b^*_1 - b^*_2)^2]^{1/2}$ . A Delta E\* lower than 3, preferably lower than 2 may be obtained for an antimicrobial substrate according to the  
10                    invention.

                    When the substrate is transparent (glass, plastic, ...), it may be advantageous to obtain antimicrobial properties while keeping the substrate essentially transparent. In particular, with a clear soda-lime glass of 4mm, the average light transmission in the visible range of the coated substrate according to the  
15                    invention may be higher than 50%, preferably higher than 60% and most preferably higher than 65%.

                    When the glass substrate used is a clear glass, it may advantageously have both antimicrobial properties and a low visible light absorption.

                    The substrate according to the invention has an antimicrobial effect  
20                    after at least one of the following accelerated ageing tests: wet spray test (test over 20 days in a chamber with a humidity of more than 95% at 40°C), after 500 hours of UV irradiation (4 340A ATLAS lamps, chamber at 60°C), after 24 hours immersed in a solution of H<sub>2</sub>SO<sub>4</sub> (0.1 N), after 24 hours immersed in a solution of NaOH (0.1 N), 48 hours of immersion in Mr Propre® detergent followed by 5 days of drying.

25                    It may be advantageous to use an undercoat comprising an oxide of zirconium. This may particularly be so when the mixed layer comprises an antibacterial agent and an oxide of titanium, particularly a titanium oxide in its anatase crystallised form.

Additional or alternative embodiments of the present invention are also described in dependant claims.

The present invention shall be described in more details below, in a non-restrictive manner:

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### **Example 1 (comparative)**

One sample of clear soda-lime glass having a thickness of 4 mm was coated with a layer of  $\text{SiO}_2(\text{Al})\text{:Ag}$  by co-sputtering. Two metal targets were used in a mixed atmosphere of argon and oxygen: one was composed of silicon doped with 8% Al and the second target was a metallic silver target. The Si(Al) target was sputtered with a pulsed DC power supply at 100 kHz while the Ag target was sputtered with DC power supply. The electric power supplies were regulated in order to obtain 10 mg of Ag in the layer per square meter of substrate with the total\_layer thickness of 24 nm.

15

### Measurement of the antimicrobial effect

The bactericidal properties (in particular on E. Coli) of all the samples were analysed in accordance with standard JIS Z 2801. A log 1 level indicates that 90% of the bacteria inoculated onto the surface of the glass were killed in 24 hours in the conditions of the standard; log 2 indicates that 99% of the bacteria were killed; log 3 indicates that 99.9% of the bacteria deposited were killed etc. If the value indicated is greater than a particular amount, this mean that the maximum of countable bacteria was killed.

20

A value greater than log 4 was obtained before tempering the sample.

### Tempering treatment

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The coated sample was subjected to a common tempering treatment (670°C during 200 sec.). And the bactericidal properties were analysed in same way as for the sample before tempering step. A log 0.76 was obtained which means that



no bactericidal nor bacteriostatic properties were maintained after tempering the coated glass.

### Examples 2 and 3

5                Samples of the same clear soda-lime glass (4 mm thick) were first coated with an underlayer and then coated with a layer of 24 nm of SiO<sub>2</sub>-Ag by co-sputtering using the same conditions as in example 1. The electric power supplies were regulated in order to obtain 20 mg/m<sup>2</sup> of Ag in the layer.

10              In example 2, the underlayer is a double underlayer deposited by CVD (Chemical Vapor Deposition) consisting of 75 nm of SiOxCy and 320 nm of fluorine doped tin oxide, the surface being slightly polished after deposition.

                In example 3, the underlayer is also a double SiOxCy/ SnO<sub>2</sub>:F layer but not polished.

15              The antibacterial effect was measured in the same manner as in example 1. Values greater than log 4 were obtained.

                After a tempering treatment carried out in the same manner as in example 1, antibacterial values greater than log 4 were maintained.

#### Accelerated ageing tests

                The following ageing tests were carried out :

- 20              - wet spray (test for 20 days in a chamber with a humidity of more than 95% and at 40°C);
- 500 hours of UV irradiation (4 340A ATLAS lamps, chamber at 60°C),
- 24 hours of immersion in an H<sub>2</sub>SO<sub>4</sub> solution (0.1 N),

- 48 hours of immersion in Mr Propre® “salle de bain liquide” detergent followed by 5 days of drying.

The antibacterial properties were again measured on the samples having been tempered and then subjected to the accelerated ageing tests.

5           The sample of example 2 maintained a log 4.9 value after  $\text{H}_2\text{SO}_4$  immersion, a log 4.7 value after the wet spray test, a log 4.1 after the detergent immersion test and after the UV test.

          The sample of example 3 maintained a log 4.5 value after  $\text{H}_2\text{SO}_4$  immersion, a log 4.7 value after the wet spray test, a log 3.6 after the detergent  
10   immersion test and a log 4.1 after the UV test.

#### **Example 4**

          Samples of the same clear soda-lime glass (4 mm thick) was first coated with a CVD underlayer of 75 nm of  $\text{SiOxCy}$  and 320 nm of fluorine doped tin oxide,  
15   and the surface has been slightly polished after deposition.

          The samples have then been coated with a layer of 15 nm of  $\text{SiO}_2\text{-Ag}$  by co-sputtering. As in example 1, two metal targets were used in a mixed atmosphere of argon and oxygen: one was composed of silicon doped with 8% Al and the second target was a metallic silver target. Both targets were sputtered with  
20   one single AC electric power supply operating at 27 kHz and being regulated in order to obtain 15 mg of Ag in the layer per square meter of substrate.

          The antibacterial effect was measured in the same manner as in the other examples. Values greater than log 4 were obtained.

          After the tempering treatment carried out in the same manner as in  
25   example 1, antibacterial value of log 4.6 was maintained.

The tempered samples were then subjected to accelerated ageing tests. After a wet spray test, the antibacterial properties were maintained to a value greater than log 4. After the detergent immersion test, a value of log 3.7 was obtained and after the UV test, a log 2.5 was obtained.

5

### Example 5

Samples of the same clear soda-lime glass were first coated with the same double CVD underlayer as in examples 2 and 4. A layer of SiZrOx doped with Ag was then deposited by co-sputtering using two metallic targets (Si-Zr (10wt%Zr) and Ag). Both targets were sputtered with one single electric power supply being regulated in order to obtain a total thickness of 19 nm and 21 mg/m<sup>2</sup> of Ag.

The antibacterial effect was measured in the same manner as in the previous examples. On the samples before tempering (a value greater than log 4 was obtained), after tempering (a value greater than log 4.6 was obtained). The tempered samples were subjected to accelerating ageing tests. After the H<sub>2</sub>SO<sub>4</sub> immersion test, a bactericidal value greater than log 4.9 was maintained. After the wet spray test, a value greater than log 4.7 was obtained and after the detergent immersion test, a log 4.1 was obtained.

15

### Examples 6 and 7

Samples of the same clear soda-lime glass were first coated with the same double CVD underlayer as in examples 2 and 4. A layer of TiAlOx doped with Ag was then deposited by co-sputtering using one Ag metal target and one ceramic target TiAlOx (12wt% AlOx) in a mixed atmosphere of argon and oxygen.

In example 6, the Ti(Al)Ox target was sputtered with a pulsed DC power supply at 100 kHz while the Ag target was sputtered with a DC power supply. The electric power supplies were regulated in order to obtain a thickness of 60 nm and 26 mg/m<sup>2</sup> of Ag in the layer.

In example 7, both targets were sputtered with one single AC power supply regulated in order to obtain a thickness of 7 nm and 30 mg/m<sup>2</sup> of Ag in the layer. The antibacterial effect was measured in the same manner as in the previous examples. On the sample before tempering a value greater than log 4 was obtained, after tempering a value greater than log 4.6 was obtained.

Good antibacterial properties were obtained for all examples of the invention before and after tempering treatment. Whereas in the comparative example 1 where no underlayer was deposited the antibacterial properties were no more observable when the sample has been tempered.

Each time an accelerating ageing test has been carried out, the sample according to the invention maintained good antibacterial properties.

Furthermore a sand abrasion test was carried out in order to measure the mechanical resistance of the coated samples. In this test, a piece of felt is rubbed on the sample for 600 passes. A weight of 1050 g is applied on the felt while an abrasive solution is poured on the sample (160 g of sand, mesh 500 per litre of water). After the test is completed, the change of reflected colour in the abraded zone is measured and expressed as delta E\*.

For example 6, a delta  $E^*$  of 2.2 was obtained, which means that the mechanical resistance of the layer is acceptable.

For example 7, a delta  $E^*$  of 0.5 was obtained, which means that the change of colour is undetectable with the eyes and that the mechanical resistance of the layer is very good.

The antibacterial properties were measured also after the abrasion test. For example 6, same very good level of antibacterial activity was obtained. For example 7, a log 2.4 was obtained which means that the sample was still bactericidal.

### **Example 8**

One sample of clear soda-lime glass having a thickness of 4 mm was coated with a layer of  $ZrO_2:Ag$  by co-sputtering. Two metal targets were used in a mixed atmosphere of argon and oxygen: one was composed of zirconium and the second target was a metallic silver target. An unipolar pulsed electric power supply was used and was regulated in order to obtain 7 wt% of Ag in the layer. The layer thickness was 225 nm.

The bactericidal properties of the sample was analysed in accordance with Standard JIS Z 2801 before and after tempering process

The coated sample was subjected to a tempering treatment (670°C during 200 sec.). And the bactericidal properties were analysed. A log 3.8 was obtained which means that the sample has good bactericidal properties after tempering.

### **Examples 9 and 10**

Samples of the same clear soda-lime glass (4 mm thick) was first coated with a CVD underlayer of 75 nm of  $SiO_xCy$  and 320 nm of fluorine doped tin oxide, and the surface has been slightly polished after deposition as in the previous examples 2 and 4-7.

A layer of TiOx doped with Ag has been deposited by magnetron co-sputtering using one metal target of Ag and one ceramic target TiOx respectively in a mixed atmosphere of argon and oxygen for example 9 and in a atmosphere comprising mainly argon for example 10.

5 For both samples, the Ag target was sputtered with a pulsed DC power supply at 50 kHz with 50  $\mu$ s one time, while the TiOx target was sputtered with DC power supply. The electric power supplies were regulated in order to obtain a layer of respectively 38 nm thick for example 9 and 11 nm for example 10. The layer comprises respectively 5 mg/m<sup>2</sup> of Ag in example 9 and 4 mg/m<sup>2</sup> of Ag in example  
10 10.

The samples were tempered as in the previous examples and the H<sub>2</sub>SO<sub>4</sub> and NaOH accelerated ageing tests described above were carried out. Antibacterial effect was measured in the same manner as described above.

For ex 9, values of log 2.4 and 1.9 were obtained respectively after  
15 H<sub>2</sub>SO<sub>4</sub> test and NaOH test.

For ex 10, values of log 2.8 and 2.0 were obtained respectively after H<sub>2</sub>SO<sub>4</sub> test and NaOH test.

After the sand abrasion test described in examples 6-7, the delta E\* was respectively 0.9 (Ex 9), and lower than 0.5 (Ex. 10). This means that the  
20 mechanical resistance of the layer is very good.

### **Example 11**

A sample of the same clear soda-lime glass was first coated with the same double CVD underlayer as in examples 2 and 4-7, 9-10. A layer of SiOxNy  
25 doped with Ag was then deposited by co-sputtering using one target of silicon and one target of silver in a mixed atmosphere of argon, nitrogen and oxygen.

The Si target was sputtered with a pulsed DC power supply at 50 kHz with 5  $\mu$ s while the Ag target was sputtered with a DC power supply. The electric power supplies were regulated in order to obtain a layer of 12 nm with 1 mg/m<sup>2</sup> of Ag.

5                    A sand abrasion test was carried out as described hereinabove. The delta E\* measured was 1.6 which means that the mechanical resistance of the layer is good.

### Example 12

10                    A sample of the same clear soda-lime glass (4 mm thick) was first coated with a CVD underlayer of 75 nm of SiO<sub>x</sub>Cy and 320 nm of fluorine doped tin oxide, and the surface has been slightly polished after deposition as in the previous examples 2 and 4-7.

15                    A layer of TiO<sub>x</sub> doped with Ag has been deposited by magnetron sputtering using a single target of mixed ceramic titanium and Ag (1.3 wt%). The single target was sputtered with a normal DC power supply in a mixed atmosphere of argon and oxygen. The electric power supply was regulated in order to obtain a layer of 36 nm with 2.2 mg/m<sup>2</sup> of Ag.

Very good antibacterial properties were obtained after tempering and after sand abrasion test (log4.7).

20

The colour in reflection was measured on the coated side for most of the samples. The results are summarized in the following table. All the values are obtained according to the Cielab system (D65, 10°). The light transmission integrated on the visible wavelengths has also been measured from some samples in  
25    D65, 2°.

	L*	a*	b*	Tv
Example 2	42.4	-3.6	3.3	
Example 4	42.7	-6.5	1.5	
Example 5	42.3	-5.9	3.3	
Example 6	42.6	-5.2	-1.0	
Example 7	44.1	-5.5	0.6	
Example 9	57.4	-0.6	-4.4	67.7
Example 10	45.3	-5.3	-1.7	77.9
Example 11	43	-6.9	0.7	81.8
Example 12	58.1	3.3	-5.0	80.4

For the ease of the manipulations, all the examples have been done  
5 with Ag as antimicrobial agent, but the same results are expected with Cu or Au  
which are also known for their antimicrobial properties.



## CLAIMS

1. Substrate coated on at least one of its surfaces with at least one mixed layer deposited by a sputtering under vacuum (preferably magnetically enhanced) process, the layer comprising at least one antimicrobial agent mixed with  
5 a binder material chosen amongst metal oxides, oxynitrides, oxycarbides, carbides, DLC or nitrides, in particular  $\text{SiO}_2$ ,  $\text{SnO}_2$ ,  $\text{ZrO}_2$ ,  $\text{ZnO}$ ,  $\text{TiO}_2$ ,  $\text{NbO}_x$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{NiCrO}_x$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{TiN}$ ,  $\text{AlN}$  or mixtures thereof, in particular  $\text{Zn}_x\text{Sn}_y\text{O}_z$ ,  $\text{TiZrO}_x$  or  $\text{SiO}_x\text{N}_y$ , the coated substrate maintaining antimicrobial properties after accelerated ageing tests.

2. Substrate according to the preceding claim, characterised in that  
10 it is coated with an underlayer with a function of slowing down or blocking the diffusion of antimicrobial agents.

3. Substrate according to any one of the preceding claims, characterised in that the antimicrobial agent is selected from silver, copper, gold and zinc, or mixture thereof.

4. Substrate according to any one of the preceding claims, characterised in that the total quantity of antimicrobial agents that it comprises is more than  $0.1 \text{ mg/m}^2$ , preferably more than  $1 \text{ mg/m}^2$  and particularly preferred more than  $2 \text{ mg/m}^2$  and is less than  $300 \text{ mg/m}^2$ , preferably less than  $150 \text{ mg/m}^2$  and particularly preferred less than  $80 \text{ mg/m}^2$ .  
15

5. Substrate according to any one of the preceding claims, characterised in that on at least one of the following bacteria: *E. coli*, *S. aureus*, *P. aeruginosa* (measured in accordance with the standard JIS Z 2801), it has a bactericidal effect higher than log 1, preferably higher than log 2 and particularly preferred higher than log 2.5.  
20

6. Substrate according to any one of the preceding claims, characterised in that the layer comprises tin oxide, silicon oxide, zirconium oxide,  
25

silicon nitride or mixtures thereof, and an antimicrobial agent selected from silver, copper and zinc, or mixtures thereof.

7. Substrate according to any one of claim 2 to 6, characterised in that the blocking underlayer is chosen amongst pyrolitic and sputtered layers, in particular layers comprising metal oxide, metal oxinitride, metal or metal alloy compound, such as Pd, Ni, Cr, Y, TiOx, NiCrOx, Nb, Ta, Al, Zr or ZnAl, SnO2, ZnxSnyOz, SiOx, SiOxNy, ZrOx or any mixtures thereof.

8. Substrate according to any one of claim 2 to 6, characterised in that the blocking underlayer is chosen amongst metal nitride, in particular nitride of Si, Ti, Zr or Al or mixtures thereof.

9. Substrate according to any one of claim 2 to 8, characterised in that the underlayer is deposited by pyrolytic method, in particular by Chemical Vapor Deposition.

10. Substrate according to any one of claim 2 to 9, characterised in that the underlayer has a thickness greater than 1 nm, preferably greater than 10 nm and particularly preferred greater than 50 nm.

11. Substrate according to any one of the preceding claims, characterised in that, the layer comprising the antimicrobial agent has a thickness greater than 2 nm, preferably greater than 5 nm and particularly preferred greater than 8 nm and lower than 300 nm, preferably lower than 250 nm, and particularly preferred lower than 200 nm.

12. Substrate according to any one of the preceding claims, characterised in that it is covered with an undercoating comprising ZrO2 and the layer comprising the antimicrobial agent is based on TiO2, in particular TiO2 at least partially crystallised in the anatase form.

13. Substrate according to any one of the preceding claims, characterised in that the substrate is metallic.

14. Substrate according to any one of Claims 1 and 12, characterised in that the substrate is a glass-type substrate.

15. Glass substrate coated on at least one of its surfaces with at least one mixed layer deposited by a sputtering under vacuum (preferably magnetically enhanced) process, the layer comprising at least one antimicrobial agent mixed with a binder material chosen amongst metal oxides, oxynitrides, oxycarbides, carbides, DLC or nitrides, in particular  $\text{SiO}_2$ ,  $\text{SnO}_2$ ,  $\text{ZrO}_2$ ,  $\text{ZnO}$ ,  $\text{TiO}_2$ ,  $\text{NbOx}$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{NiCrOx}$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{TiN}$ ,  $\text{AlN}$  and mixtures thereof, in particular  $\text{ZnxSnyOz}$ ,  $\text{TiZrOx}$  or  $\text{SiOxNy}$ , the coated substrate maintaining bactericidal properties after tempering treatment.

16. Glass substrate coated on at least one of its surfaces with at least one mixed layer deposited by a sputtering under vacuum (preferably magnetically enhanced) process, the layer comprising at least one antimicrobial agent mixed with a binder material chosen amongst metal oxides, oxynitrides, oxycarbides, carbides, DLC or nitrides, in particular  $\text{SiO}_2$ ,  $\text{SnO}_2$ ,  $\text{ZrO}_2$ ,  $\text{ZnO}$ ,  $\text{TiO}_2$ ,  $\text{NbOx}$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{NiCrOx}$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{TiN}$ ,  $\text{AlN}$  or mixtures thereof, in particular  $\text{ZnxSnyOz}$ ,  $\text{TiZrOx}$  or  $\text{SiOxNy}$ , the coated substrate maintaining antimicrobial properties after accelerated ageing tests done after a tempering treatment.

17. Glass substrate according to any of claims 14 to 15, characterised in that it presents characteristics of an annealed glass.

18. Glass substrate according to any one of Claims 14 to 16, characterised in that it has a neutral colouration in reflection, i.e. the colorimetric indexes  $a^*$  and  $b^*$  are in the range of between -10 and 6, preferably between -8 and 3 and particularly preferred between -6 and 0, and the  $L^*$  value is lower than 60, preferably lower than 52 and particularly preferred lower than 46.

19. Glass substrate according to any one of claims 14 to 18, characterised in that it has a light transmission in the visible range higher than 50%, preferably higher than 60% and most preferably higher than 65%.

20. Process for the production of a substrate having antimicrobial properties, characterised in that it consists of depositing at least one antimicrobial agent mixed with a binder material chosen amongst metal oxides, oxynitrides, oxycarbides, carbides, DLC or nitrides, by sputtering under vacuum using DC  
5 powering, unipolar pulsed powering or bipolar powering, using a frequency of 0 to 500 kHz.

21. Sputtering under vacuum process for the production of a coated substrate having antimicrobial properties, consisting of depositing a mixed layer of a metal oxide doped with an antimicrobial agent by sputtering, the coated substrate  
10 presenting antimicrobial properties after accelerated ageing tests.

22. Process according to any one of claims 20 or 21, characterised in that two separate targets are used.

23. Process according to any one of claims 20 or 21, characterised in that one single mixed target is used for depositing said mixed layer in particular  
15 mixture of ceramic and metallic materials.

24. Process according to any one of claims 20 to 23, characterised in that the mixed layer consists of a layer of Ag doped  $\text{SiO}_2$ ,  $\text{SnO}_2$ ,  $\text{ZrO}_2$ ,  $\text{ZnO}$ ,  $\text{TiO}_2$ ,  $\text{NbOx}$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{NiCrOx}$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{TiN}$ ,  $\text{AlN}$  or mixtures thereof, in particular  $\text{ZnxSnyOz}$ ,  $\text{TiZrOx}$  or  $\text{SiOxNy}$ .

20 25. Process for the production of a tempered and antimicrobial glass type substrate comprising the steps of

(i) depositing by sputtering vacuum process a mixed layer comprising an antimicrobial agent and a binder material;

(ii) tempering the coated substrate at a temperature  
25 comprised between 600 and 800 °C during 2 to 10 min according to the thickness of the substrate.

26. Process according to any one of claims ~~19 to 24~~ 20 to 25, characterised in that at least one underlayer is deposited on the substrate before the deposition of the mixed layer.
27. Process according to the preceding claim, characterised in that  
5 the underlayer has a function of blocking or slowing down the migration of the antimicrobial agent during the tempering step.
28. Process according to any one of claims 26 or 27, characterised in that the underlayer is chosen amongst pyrolytic and sputtered layers, in particular layers comprising metal oxide, metal or metal alloy compound, such as Pd, Ni, Cr, Y,  
10 TiO<sub>x</sub>, NiCrO<sub>x</sub>, Nb, Ta, Al, Zr or ZnAl, SnO<sub>2</sub>, Zn<sub>x</sub>Sn<sub>y</sub>O<sub>z</sub>, SiO<sub>x</sub>, SiO<sub>x</sub>N<sub>y</sub>, ZrO<sub>x</sub> or metal nitride, in particular nitride of Si, Ti, Zr or Al or mixtures thereof.
29. Process according to any one of claims 26 to 28, characterised in that the underlayer is deposited by pyrolytic method, in particular by CVD.

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2007/056109

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. C23C14/06 C03C17/00 C23C14/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
C23C C03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95/13704 A (WESTAIM TECHNOLOGIES INC [CA]; BURRELL ROBERT EDWARD [CA]; APTE PRASAD) 26 May 1995 (1995-05-26) cited in the application	1-5, 7, 9, 10, 13-16, 19-22
Y	page 12, line 2 - page 13, line 11  page 15, line 16 - line 21 page 18, line 5 - page 23, line 10; example 9  ----- -/--	6, 8, 11, 12, 17, 23-27

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents :

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- \*O\* document referring to an oral disclosure, use, exhibition or other means
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## INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2007/056109

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Information on patent family members

International application No

PCT/EP2007/056109

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