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(54) Title: THE INVOLVEMENT OF THE BDNF GENE IN MOOD DISORDERS

(57) Abrégé/Abstract:

Methods and kits for determining susceptibility of a patient to mood disorders are described. The method involves analyzing a sample comprising nucleic acids from a patient for a polymorphism in the promoter region of the BDNF gene.

ABSTRACT OF THE DISCLOSURE

Methods and kits for determining susceptibility of a patient to mood disorders are described. The method involves analyzing a sample comprising
5 nucleic acids from a patient for a polymorphism in the promoter region of the BDNF gene.

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CANADA

Title: THE INVOLVEMENT OF THE BDNF GENE IN MOOD DISORDERS

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TITLE: THE INVOLVEMENT OF THE BDNF GENE IN MOOD DISORDERS**FIELD OF THE INVENTION**

The present invention relates to methods and kits for determining
5 susceptibility of a patient to mood disorders.

BACKGROUND OF THE INVENTION

Bipolar Disorder (BP) is a severe psychiatric disease that afflicts about
1% of the general population worldwide (American Psychiatric Association,
1994). BP is characterized by recurrent episodes of mania and depression.
10 Family, adoption and twin studies (Craddock and Jones, 1999) have shown
that the disorder has a strong genetic component, and a non-mendelian mode
of inheritance with more than one gene involved. (Gershon, 1990; McGuffin
and Katz, 1989).

Findings suggest that Brain Derived Neurotrophic Factor (BDNF) plays
15 a major contribution in neuroplasticity, in other words the way that the brain
adapts to the environment through various modes of learning. These learning
styles and predispositions are involved as a potential long-term mediators in
mood stabilization (Smith MA et al, 1995; Nibuya et al., 1999). Animal studies
have shown that BDNF is implicated in stress exposure and antidepressant
20 response. Depressive states in animal models show a short and long term
decrement in levels of BDNF in the hippocampus (Smith et al., 1995; Nibuya
et al., 1995).

Recent reports indicate that antidepressant treatments including
electroconvulsive therapy induce the expression of brain neurotrophins (Post
25 et al; Duman et al; and Vaidya, 1998) suggesting that neurotrophin production
in the brain in depressed patients may be deficient. Further evidence for the
involvement of neurotrophins and particularly for BDNF in depression, comes
from studies in rats. BDNF was reported to promote the function and growth
of serotonin- (5-HT) containing neurons in the brain (Mamounas LA, 1995)
30 and infusion of BDNF in the adult rat brain induce sprouting of 5-HT nerve
terminals. (Siuciak et al., 1994; 1996). This is of particular relevance because
in major depression there is observed a decrease in brain 5-HT turnover in

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tissue and ventricular fluids. BDNF being lipophobic and a relatively large protein does not cross the blood brain barrier. Therefore, 5-HT receptors, phosphodiesterase inhibition and β -adrenoceptors appear to be implicated in the production of BDNF in some brain areas. (Nibuya et al.1995, Duman et al, 5 1997). Given that the principal treatment of depressive states in mood disorders consists of pharmacotherapy with select serotonin reuptake inhibitors (SSRIs), the biological relationship of BDNF to serotonin system development could be considered an important rationale for examination of BDNF as a candidate gene in mood disorders.

10 The BDNF gene (BDNF) was first reported by Mainsonpierre et al, (1991); Ozcelik et al., (1991), to be localized on the long arm of chromosome 11 (11p13) and later mapped by Hanson et al, (1992) at the boundary of 11p13 and 11p14. One of the approaches in the study of a disease with a complex mode of inheritance is the study of linkage disequilibrium (Risch and 15 Merikangas, 1996) where a particular locus is tested in parent-proband triads to detect association between the locus and the disease in presence of linkage. (Knapp, 1999). Two such studies have found a negative correlation between the presence of certain single nucleotide polymorphisms in the protein encoding region of the BDNF gene and the incidence of 20 neuropsychiatric disorders, such as bipolar disorder (Sklar, et al., 2002 and Lander, et al., 2001).

There remains a need for further genetic markers that can be used to study diseases, such as neuropsychiatric disorders, that have a complex mode of inheritance, in order to effectively distinguish between the disorders 25 and to allow the design and administration of effective therapeutics.

SUMMARY OF THE INVENTION

The present inventors have shown that a polymorphism in the promoter region of the Brain Derived Neurotrophic Factor gene (BDNF) confers susceptibility to mood disorders. In particular the inventors have shown that 30 the 170bp allele 3 of BDNF is preferentially transmitted to individuals with Bipolar Mood disorder (BP).

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The present invention therefore provides a method of determining the susceptibility of a patient to a mood disorder comprising:

- (a) obtaining a sample from a patient; and
- (b) testing the sample for the presence of a polymorphism in the promoter region of the *BDNF* gene, wherein the presence of polymorphism indicates that the patient is susceptible to a mood disorder.

The polymorphism is preferably in the CA repeat region of the promoter. In one embodiment, the patient has bipolar disorder or unipolar disorder and has the 170 bp allele 3 of the CA polymorphism of the *BDNF* gene.

The present invention further relates to methods of diagnostic evaluation, genetic testing and prognosis for a mood disorder in a patient.

The present invention also provides a kit for determining susceptibility of a patient to a mood disorder, for diagnosing a mood disorder or for determining if a patient will have increased symptomology associated with a mood disorder, comprising reagents necessary for determining the presence of a polymorphism in the promoter region of the *BDNF* gene and directions for its use.

Other features and advantages of the present invention will become apparent from the following detailed description. It should be understood, however, that the detailed description and the specific examples while indicating preferred embodiments of the invention are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

DETAILED DESCRIPTION OF THE INVENTION

The inventors genotyped 283 probands diagnosed with BP I, BP II or Schizoaffective Disorder, Bipolar type and their biological parents at the *BDNF* dinucleotide polymorphism located about 1 Kb upstream of the transcription site of the gene. The study also comprised families with multiple

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affected sibpairs. Unipolar diagnoses were also noted in the diagnostic assessments. Subjects were genotyped according to standard procedures.

The inventors found four common alleles of the dinucleotide polymorphism of BDNF gene A1=(18.5%), A2=(3%), A3=(70.0%), A4=(6%),
5 with a heterozygosity rate of 47%. The Family Based Association Test (FBAT) results showed an excess of transmission of allele 3 from parents to the offspring ($p = 0.0295$). The inventors also tested for parent sex-specific transmission of the alleles but no parent-of-origin effect was detected in the transmission of BDNF alleles.

10 The results strongly suggest linkage disequilibrium (LD) between this marker at *BDNF* and BP. The presence of linkage disequilibrium between BDNF and BP implies that this locus may be involved in the pathogenesis of the disease. This is the first study to date of the BDNF gene promoter polymorphism in mood disorders.

15 The present invention therefore provides a method of determining the susceptibility of a patient to a mood disorder comprising:

- (a) obtaining a sample from a patient; and
- (b) testing the sample for the presence of a polymorphism in the promoter region of the BDNF gene, wherein the presence of a
20 polymorphism indicates that the patient is susceptible to a mood disorder.

In one embodiment, the polymorphism is in the CA repeat region of the promoter. In a specific embodiment, the patient expresses the 170 bp allele 3 in the polymorphism. Accordingly, the present invention therefore provides a
25 method of determining the susceptibility of a patient to a mood disorder comprising:

- (a) obtaining a sample from a patient; and
- (b) testing the sample for the presence of a polymorphism in the BDNF gene, wherein the presence of the 170 bp allele 3 indicates that the
30 patient is susceptible to a mood disorder.

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The term "170 bp allele 3" means a 170 bp unit found in the BDNF dinucleotide polymorphism located about 1 Kb upstream of the transcription site of the gene.

5 The term "mood disorder" refers to any type of mood disorder including, but not limited to, bipolar disorders, unipolar disorder, dysthymic disorder, cyclothymic disorder, seasonal affective disorder, substance induced mood disorder.

10 The term "bipolar disorder" refers to any type of bipolar disorder, including, but not limited to, Bipolar I, Bipolar II, and Schizoaffective Bipolar-type Disorder.

The sample obtained from the patient can be any biological sample containing nucleic acids including, but not limited to, blood, urine, skin, hair, sperm, buccal mucosa as well as tissue samples and fractions of any of the foregoing.

15 The sample may be tested for the presence of a polymorphism in the promoter region of the BDNF gene (such as the allele 3 in the BDNF gene) using a variety of techniques known in the art. Generally, nucleic acids comprising the promoter region of the BDNF gene, or a portion thereof, are obtained from the sample and amplified using the Polymerase Chain Reaction
20 (PCR) using primers to the dinucleotide repeat polymorphism located 1040 bp upstream of the transcription site of the BDNF gene. By "a portion thereof" it is meant a sufficient portion of the BDNF promoter region to allow the identification of a polymorphism, in particular the 170 bp allele 3 polymorphism, in this region. The PCR products can be subjected to any
25 method that would allow one to identify the presence of a polymorphism. In one embodiment, the PCR products may be subjected to an electrophoretic assay (such as gel electrophoresis or capillary electrophoresis) to determine the relative size of the PCR product. For example, the size of the PCR product can be determined by comparing its migration on an electrophoresis
30 gel with a 200 bp ladder. Once the size has been determined in this manner, it can be compared with the predicted size of the BDNF alleles to confirm its identity. For example, the allele 3 has a size of 170 bp.

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In another embodiment, the PCR products may be probed with a fluorescently-labeled nucleic acid sequence specific for a region in the promoter or the allele 3. In a further embodiment, the PCR products may be sequenced using techniques known in the art including commercially available sequencing kits to determine if a polymorphism is present in the sample. U.S. Patent No. 5,180,820 discloses the sequence of BDNF gene. Other sequencing technologies such as Denaturing High Pressure Liquid Chromatography or mass spectroscopy may also be employed. In yet another embodiment, detection of a polymorphism such as the allele 3 can be performed by using restriction enzymes or Single Stranded Conformation Polymorphism (SSCP) techniques. In addition, methods for high throughput detection of nucleotide polymorphisms using allele-specific probes may be used such as DNA chip technology. The design and use of allele-specific probes for analyzing polymorphisms is described in, for example, Saiki et al., 1986. Saiki, 1989 and Dattagupta. Allele-specific probes can be designed that hybridize to a segment of target DNA from one patient but do not hybridize to the corresponding segment from another patient due to the presence of different polymorphic forms in the respective segments from the two patients. This technique may be used in high-through-put or non-high-through-put formats. Combinations of any of the above methods may be used.

As stated above, the present invention also relates to methods of diagnostic evaluation, genetic testing and prognosis for a mood disorder, such as bipolar disorder, in a patient. Accordingly, there is included in the present invention, a method of diagnosing a mood disorder in a patient by analyzing for the presence of a polymorphism in the promoter region of the BDNF gene in a biological sample obtained from the patient. In embodiments of the invention, the presence of a polymorphism in the promoter region of the BDNF gene, in particular, the 170 bp allele 3, indicates a likelihood that the patient is suffering from a mood disorder.

There is also included in the present invention, a method of determining if a patient will have increased symptomology associated with a mood disorder, such as bipolar disorder, by analyzing for the presence of a

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polymorphism in the promoter region of the BDNF gene in a biological sample obtained from the patient. In embodiments of the invention, the presence of a polymorphism in the promoter region of the BDNF gene, in particular, the 170 bp allele 3, indicates a likelihood that the patient will have increased symptomology associated with a mood disorder.

The method of the present invention may be used in combination with similar screens for other susceptibility markers for mood disorders, such as bipolar disorder, for example, markers at chromosomal loci 21q22 (Straub et al., 1994), 18p (Berretini et al., 1994 and Berrettini, 2002), 18q (Freimer et al., 1996), 4q35 (Schofield, et al., 2001), or markers in genes such as the human proline dehydrogenase gene (Karayiorgou, et al., 2002), serotonin receptor gene (Battersby, et al., 2000), mammalian rTS gene (Chen et al., 2001) and the encoding region of the BDNF gene (Sklar et al., 2002 and Lander et al., 2001).

The invention also includes kits for use in the above methods for detecting the presence of a polymorphism in the promoter region of the BDNF gene. Accordingly, the present invention provides a kit for determining the susceptibility of a patient to a mood disorder, for diagnosing mood disorders or for determining if a patient will have increased symptomology associated with a mood disorder, comprising reagents necessary for determining the presence of a polymorphism in the promoter region of the BDNF gene and directions for its use. In one embodiment, the kit is for determining the susceptibility of a patient to a bipolar or unipolar disorder comprising reagents necessary for determining the presence of a 170 bp allele 3 of the BDNF gene and directions for its use.

The reagents useful in the kit can be determined by one of skill in the art and can include primers to the appropriate regions of the BDNF gene in order to amplify nucleic acids from a test sample using PCR. The kit may further include nucleic acid probes useful in determining the presence of a polymorphism in the promoter region such as the allele 3. The kit may also include electrophoretic markers such as a 200 bp ladder. Other components of the kit can include nucleotides, enzymes and buffers useful in a method of

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the invention. As an example, a kit of the invention may include primers for amplifying the region surrounding the promoter region, DNA polymerase, each of dATP, dTTP, dCTP and dGTP, 7-deaza-dGTP, 10 mM Tris-HCl, 50 mM KCl, 1.5 mM MgCl₂ and 5% DMSO. The kit will also include detailed instructions for carrying out the method for detecting the presence of a polymorphism in the promoter region of the BDNF gene.

The following non-limiting examples are illustrative of the present invention:

EXAMPLE

10 **MATERIALS AND METHODS**

Sample and Assessment

Two hundred and eighty-three probands (119 men, 164 women) with a primary diagnosis of Bipolar I (N=182), Bipolar II (N=100), or Schizoaffective disorder, manic type (N=11), mean age 34.2 years \pm 10.00 sd, and mean age at onset of the illness 19.69 \pm 7.34 sd, with their living parents were recruited from hospital clinics and newspaper advertisements in Toronto and across Central Canada. Diagnoses on the probands were assessed by a structured interview for DSM-IV (American Psychiatric Association, 1994) (SCID-I) administered by trained interviewers blind with respect to the genotypes of the probands. In many cases the diagnosis of unipolar depression could also be applied to the subjects, depending on the timing of the assessment in the life course of the patient. The overall life history was used when making the diagnosis of Bipolar disorder.

Two hundred and sixty nine probands (95.0%) were of European Caucasian origin, seven (2.5%) were Asian, four (1.4%) were Native American (aboriginal) and three (1.1%) were African American. From all patients and their parents, written informed consent to participate in the study was obtained.

Genotyping

30 Twenty milliliters of blood were drawn from each subject and DNA was extracted through the high salt method (Lahiri and Nurnberger, 1991). Polymerase Chain Reaction PCR was performed on 150 ng of DNA to amplify

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a fragment containing the dinucleotide repeat polymorphism located 1040 bp upstream of the transcription site (Proschel et al., 1992) of the BDNF gene. The primers were labelled either with the isotope ^{32}P or with a fluorescent dye. DNA was denatured at 95C for 5' and a mix was added to it comprised 1X PCR buffer, 1.5 μM of magnesium chloride, 160 μM each of dATP, dTTP, dCTP and dGTP, 2 μM primer, and 0.5 U of Amplitaq™ DNA Polymerase in a total volume of 10 μl (Perkin-Elmer). PCR conditions consisted of thirty cycles of 95C for 45 sec, 55C for 45 sec, and 72C for 45 sec. PCR products were subjected to electrophoresis on a 6% denaturing polyacrylamide gel for two hours after of which the DNA was transferred to Whatmann paper and exposed to film for 30 min. DNA bands were assigned allele numbers according to their size (allele 1 = 174 bp; allele 2 = 172 bp; allele3 = 170 bp; allele 4 = 168; allele 5 = 166).

Genetic analysis

The inventors tested for presence of linkage disequilibrium between the BDNF dinucleotide polymorphism and BP using the Family Based Association Test (FBAT) test which allows for inclusion of both triads and extended families in the analysis (Stephen et al, 2000).

RESULTS

Genotyping data were analysed with the FBAT and the results are shown in Table 1. The inventors found four common alleles of the dinucleotide polymorphism of BDNF gene A1=(18.5%), A2=(3%), A3=(70.0%), A4=(6%), with a heterozygosity rate of 47%. The Family Based Association Test (FBAT) results showed an excess of transmission of allele 3 from parents to the offspring ($p = 0.0295$). The inventors also tested for parent sex-specific transmission of the alleles but no parent-of-origin effect was detected in the transmission of BDNF alleles.

The results strongly suggest linkage disequilibrium (LD) between this marker at BDNF and BP. The presence of linkage disequilibrium between BDNF and BP implies that this locus may be involved in the pathogenesis of the disease. This is the first study to date of the BDNF gene promoter

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polymorphism in mood disorders. The size of this sample is large enough to guarantee reasonable power for the LD analysis performed (McGinnis, 2000).

DISCUSSION

Brain imaging studies of BP and unipolar depressed patients have
5 demonstrated morphometric changes suggesting brain cell atrophy and /or
cell death in the cortex of these patients. (Elkis et al. 1995, Soares et al. 1997,
Drevets et al. 1997, Drevets et al. 1997, Sheline et al. 1996, Sheline et al.
1999, Steffens et al. 1998). BDNF is a neurotrophin present mostly in the
neocortex, hippocampus, and amygdala (Ip et al., 1993; Korsching, 1993;
10 Buchman and Davies, 1993; Duman, 1999) that affects primarily neurons in
the central nervous system (Rosenthal et al. 1991). This neurodevelopmental
gene may be implicated in the etiology of BP by affecting the mechanisms
involved in cell formation, death and regeneration in the human brain. There is
strong evidence that *BDNF* plays a role in depression from animal studies as
15 reviewed in the introduction.

In disorders with a major genetic etiology such as BP, the candidate
gene approach using neurotransmitter-related genes has been applied as the
predominant strategy in the search for linkage or linkage disequilibrium
(Sanders et al, 1999).

20 Most of the work to date that supports the role of BDNF in depression
has been derived from studies in animals. For example, hippocampal atrophy
has been observed in humans through neuroimaging but in animals the
change that occurs in the hippocampus is at the microscopic level. Therefore
it is not clear yet, if the same changes occur in humans. Also, BDNF is only
25 one molecule among others, such as glutamate, that might be implicated in
neuron survival (Moghaddam et al., 1994). The findings overall, however,
suggest an important role for the BDNF promoter polymorphism in risk for
mood disorders. Because BP overlaps extensively with other mood disorders
including unipolar depression, the findings are applicable to depression in
30 general. Depression, in turn, is the most common of all the psychiatric
disorders, and represents one of the leading health problems world wide,
along with cardiovascular and infectious diseases. Thus in terms of the

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attributable risk of the BDNF gene in mood disorders, the relevance to world health appears to be very significant.

While the present invention has been described with reference to what are presently considered to be the preferred examples, it is to be understood
5 that the invention is not limited to the disclosed examples. To the contrary, the invention is intended to cover various modifications and equivalent arrangements included within the spirit and scope of the appended claims.

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Table 1. Results of the Family Based Association Test (FBAT) performed on the total sample of 283 triads.

5

alleles	frequencies	Z	p-value
1	0.1851	0.992	0.3212
2	0.0305	1.069	0.2850
3	0.6999	2.177	0.0295
4	0.0600	1.012	0.3115
5	0.0112	« «	« «
7	0.0041	« «	« «
8	0.0031	« «	« «
9	0.0051	« «	« «
10	0.0010	« «	« «

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WE CLAIM:

1. A method of determining the susceptibility of a patient to a bipolar disorder comprising:
 - (a) obtaining a sample from a patient; and
 - (b) testing the sample for the presence of a 170 bp allele 3 in a CA repeat of the BDNF gene, wherein the presence of the allele indicates that the patient is susceptible to bipolar disorder.
2. The method according to claim 1 wherein the sample is blood.
3. A method according to claim 1 or 2 wherein step (b) comprises (i) extracting nucleic acids comprising a CA repeat of the BDNF gene from the sample; (ii) amplifying the extracted nucleic acids comprising the CA repeat of the BDNF gene using polymerase chain reaction (PCR); (iii) performing electrophoresis of the PCR products; and (iv) determining the presence of the 170 bp allele 3 in the CA repeat of the BDNF gene.
4. A method according to claim 1 or 2 wherein step (b) comprises
 - (i) extracting nucleic acids comprising a CA repeat of the BDNF gene from the sample;
 - (ii) sequencing the nucleic acids comprising the CA repeat of the BDNF gene; and
 - (iii) determining the presence of the 170 bp allele 3 in the CA repeat of the BDNF gene.
5. The method of any one of claims 1 to 4, wherein the bipolar disorder is selected from the group consisting of bipolar I, bipolar II and schizoaffective disorder.
6. A method of determining if a patient will have increased symptomology associated with a bipolar disorder by analyzing for a presence of a 170 bp allele 3 in the CA repeat of the BDNF gene in a biological sample obtained

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from the patient, wherein the presence of the 170 bp allele 3 in the CA repeat of the BDNF gene indicates a likelihood that the patient will have increased symptomology associated with a bipolar disorder.

7. The method of claim 6, wherein the bipolar disorder is selected from the group consisting of bipolar I, bipolar II and schizoaffective disorder.

8. A kit for determining the susceptibility of a patient to a bipolar disorder or for determining if a patient will have increased symptomology associated with a bipolar disorder comprising (i) reagents for conducting a method according to any of claims 1-7 and (ii) instructions for its use.

9. A kit according to claim 8, wherein said reagents comprise nucleic acid primers for amplifying nucleic acids comprising the CA repeat of the BDNF gene in a polymerase chain reaction.

10. A kit according to claim 9, wherein the reagents comprise nucleic acid primers for amplifying nucleic acids comprising the CA repeat of the BDNF gene in a polymerase chain reaction, DNA polymerase, dATP, dTTP, dCTP, dGTP and buffers.