

**(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE**

(11) Application No. AU 2008223446 B2

(54) Title
Restrictive agonist of Toll-like receptor 3 (TLR3)

(51) International Patent Classification(s)
A01N 43/04 (2006.01) **A61K 31/70** (2006.01)

(21) Application No: **2008223446** (22) Date of Filing: **2008.03.05**

(87) WIPO No: **WO08/109083**

(30) Priority Data

(31) Number
60/904,792 (32) Date
2007.03.05 (33) Country
US

(43) Publication Date: **2008.09.12**
(44) Accepted Journal Date: **2012.02.02**

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(56) Related Art
HUBBELL. H. R. et al. "Antitumor Effects of Interleukin-2 and Mismatched Double-Stranded RNA, Individually and in Combination, against a Human Malignant Melanoma Xenograft. Cancer Immunology Immunotherapy 1992, Vol 35, pages 151-157
GOWEN. B.B. et al. "Recombinant Eimeria Protozoan Protein Elicits Resistance to Acute Phlebovirus Infection in Mice but Not Hamsters" Antimicrobial Agents and Chemotherapy 2006, Vol. 50, No. 6, pages 2023-2029

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



PCT

(43) International Publication Date
12 September 2008 (12.09.2008)

(10) International Publication Number
WO 2008/109083 A3

(51) International Patent Classification:
A01N 43/04 (2006.01) *A61K 31/70* (2006.01)

AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:
PCT/US2008/002874

(22) International Filing Date: 5 March 2008 (05.03.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/904,792 5 March 2007 (05.03.2007) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— of inventorship (Rule 4.17(iv))

Published:

— with international search report

(88) Date of publication of the international search report:
27 November 2008



A3

WO 2008/109083 A3

(54) Title: RESTRICTIVE AGONIST OF TOLL-LIKE RECEPTOR 3 (TLR3)

(57) Abstract: A mismatched double-stranded ribonucleic acid, which is an agonist for Toll-like receptor 3 (TLR3), is used in vitro or in vivo as one or more of antiviral agent, antiproliferative agent, and immunostimulant. Methods of medical treatment and processes for manufacturing medicaments are provided.

RESTRICTIVE AGONIST OF TOLL-LIKE RECEPTOR 3 (TLR3)**CROSS-REFERENCE TO RELATED APPLICATION**

This application claims the priority benefit of provisional U.S. Application
5 No. 60/904,792, filed March 5, 2007.

FEDERALLY-SPONSORED RESEARCH OR DEVELOPMENT

The U.S. government has certain rights in this invention as provided for by
the terms of NIH-NO1-AI-15435, which was awarded by the Department of Health
10 and Human Services.

FIELD OF THE INVENTION

This invention relates to providing an agonist for Toll-like receptor 3 (TLR3)
for use as antiviral agent, antiproliferative agent, immunostimulant, or any of their
15 combinations. Methods of medical treatment and processes for manufacturing
medicaments are provided.

BACKGROUND OF THE INVENTION

Double-stranded RNA like poly(I:C) has been used as a TLR3 agonist. But
20 its usefulness as a medicament is limited by its toxicity. Improved medicaments
are thus sought that can be used as an antiviral agent, antiproliferative agent,
and/or immunostimulant by specifically targeting TLR3, instead of other receptors
belonging to this family. For example, a desirable medicament would have an
increased therapeutic index (e.g., the ratio of the dose that produces a toxic effect
25 divided by the dose that produces a therapeutic effect such as LD₅₀ divided by
ED₅₀) for treating an incipient or established infection, treating a precancerous or
cancerous condition, or inducing an inflammatory response as mediated by TLR3.

Double-stranded ribonucleic acid (dsRNA) triggers innate immunity (e.g.,
the production of interferon and other cytokines) through dsRNA-dependent intra-
30 cellular antiviral defense mechanisms including the 2',5'-oligoadenylate synthetase/RNase L and p68 protein kinase pathways. AMPLIGEN® poly(I:C₁₂U) from
HEMISPHERx® Biopharma is a specifically-configured dsRNA with antiviral and
immunostimulatory properties, but which exhibits reduced toxicity. AMPLIGEN®
poly(I:C₁₂U) inhibits viral and cancer cell growth through pleiotropic activities: it

regulates 2',5'-oligoadenylate synthetase/RNase L and p68 protein kinase pathways as do other dsRNA molecules. Here, poly(I:C₁₂U) is discovered to mediate its effects in the body by acting as a specific agonist of TLR3.

Therefore, the invention relates to providing treatment for a patient in need of an
5 antiviral agent, antiproliferative agent, and/or immunostimulant. A long-felt need for a selective TLR3 agonist is addressed thereby. Methods for treating subjects and processes for making medicaments, especially involving infectious disease, cell proliferation, and/or vaccination, are provided. Further objectives and advantages of the invention are described below or would be apparent to a person skilled in the art from that discussion.

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SUMMARY OF THE INVENTION

In a first aspect, the invention provides a method of initiating an innate immune response mediated only by Toll-Like Receptor 3 (TLR3), said method comprising administration to a subject of at least poly(I:C₁₂U) in an amount sufficient to activate
15 TLR3 without activating other Toll-like receptors or RNA helicases.

In a second aspect, the invention provides a method of treating a subject (i) infected with a virus or (ii) bearing a tumor or other transformed cell, said method comprising administration of a pharmaceutical composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other
20 Toll-like receptors or RNA helicases to reduce or eliminate (i) infection of the subject by the virus or (ii) proliferation of the tumor or other transformed cell in the subject, respectively.

In a third aspect, the invention provides a method of vaccinating a subject against a virus or a tumor, said method comprising administration of (i) a vaccine which induces
25 an immune response against the virus or the tumor and (ii) a pharmaceutical composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other Toll-like receptors or RNA helicases and to stimulate the immune response against a viral or tumor antigen of the vaccine in the subject.

In a fourth aspect, the invention provides use of poly(I:C₁₂U) in the manufacture
30 of a medicament for the treatment of a subject to initiate an innate immune response mediated only by Toll-Like Receptor 3 (TLR3) thereby, in an amount sufficient to activate TLR3 without activating other Toll-like receptors or RNA helicases.

In a fifth aspect, the invention provides use of poly(I:C₁₂U) in the manufacture of a medicament for the treatment of a subject (i) infected with a virus or (ii) bearing a tumor or other transformed cell, in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other Toll-like receptors or RNA helicases, to reduce or eliminate (i) 5 infection of the subject by the virus or (ii) proliferation of the tumor or other transformed cell in the subject, respectively.

In a sixth aspect, the invention provides use of poly(I:C₁₂U) in the manufacture of a medicament for the vaccination of a subject against a virus or a tumor, wherein vaccination comprises: administration of (i) a vaccine which induces an immune response 10 against the virus or the tumor and (ii) a pharmaceutical composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) and to stimulate the immune response against a viral or tumor antigen of the vaccine in the subject without activating other Toll-like receptors or RNA helicases.

In a seventh aspect, the invention provides use of a mismatched double-stranded 15 ribonucleic acid of poly(I:C₁₁₋₁₄U) to manufacture a medicament for binding to Toll-Like Receptor 3 (TLR3) on immune cells of a subject infected by a virus, bearing a tumor, or vaccinated against a virus or tumor, wherein said medicament comprises ribonucleic acid of poly(I:C₁₁₋₁₄U) in an amount sufficient to bind TLR3 without activating other Toll-like receptors or RNA helicases.

20 The invention may be used to treat a subject (e.g., human or animal) with an incipient or established viral infection, a pathological condition marked by abnormal cell proliferation (e.g., neoplasm or tumor), or as an immunostimulant to vaccinate the subject against viral infection. It is preferred that the amount of mismatched double-stranded 25 ribonucleic acid (dsRNA) used is sufficient to bind Toll-Like Receptor 3 (TLR3) on immune cells of the subject. Innate immunity may be triggered thereby. In particular, a specifically-configured dsRNA may be used to activate TLR3 without activating other Toll-like receptors like TLR4 or an RNA helicase like RIG-1 or mda-5.

The subject may be infected with a virus, especially a bunyavirus or more 30 particularly a phlebovirus. A pharmaceutical composition which is comprised of specifically-configured dsRNA in an amount sufficient to bind to TLR3 is administered to the subject. Viral infection of the subject is reduced or eliminated thereby as assayed by decreased recovery time, increased immunity (e.g., increase in antibody titer, lymphocyte proliferation, killing of infected cells, or natural killer (NK) cell activity), decreased virus

number of replication, or a combination thereof as compared to the subject not treated with specifically-configured dsRNA.

The subject may be afflicted by abnormal cell proliferation (e.g., neoplasm or tumor, other transformed cell). It may be that a pharmaceutical composition, which is comprised of specifically-configured dsRNA in an amount sufficient to bind to TLR3, is administered to the subject. Cell proliferation is reduced, neoplastic cells are eliminated, and/or morbidity or mortality of the subject is improved

thereby as compared to the condition of a subject not treated with specifically-configured dsRNA.

The subject may be vaccinated against the virus or neoplasm. Immediately before, during, or immediately after vaccination, a pharmaceutical composition 5 which is comprised of specifically-configured dsRNA in an amount sufficient to bind to TLR3 is administered to the subject. The immune response to the vaccine is stimulated thereby. The vaccine may be comprised of a killed or attenuated virus, fraction of a neoplastic cell, one or more isolated viral proteins, or one or more isolated tumor antigens. An *in situ* vaccine may be comprised of antigen 10 produced at the site and the specifically-configured dsRNA acting as an adjuvant thereon. The virus may be a bunyavirus, more particularly a phlebovirus.

Antigen presenting cells (e.g., dendritic cells, macrophages) and mucosal tissues (e.g., gastric or respiratory epithelium) are preferred targets in the body for the specifically-configured dsRNA. The virus or tumor may be presented, and the 15 antigen should be susceptible to the sole action of the specifically-configured dsRNA acting exclusively as a TLR3 agonist. The specifically-configured dsRNA is preferably administered by intravenous infusion; intradermal, subcutaneous, or intramuscular injection; intranasal or intratracheal inhalation; or oropharyngeal exposure.

20 Also provided are processes for using and making medicaments. It should be noted, however, that a claim directed to the product is not necessarily limited to these processes unless the particular steps of the process are recited in the product claim.

25 Further aspects of the invention will be apparent to a person skilled in the art from the detailed description and claims, and generalizations thereto.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows that poly(I:C₁₂U) treatment limits liver disease and systemic virus burden in wild-type but not *TLR3*^{-/-} mice. Groups of 8 week-old *TLR3*^{-/-} (Figs. 30 1A, 1C, 1G and 1E) and wild-type (Figs. 1B, 1D, 1E and 1F) mice were virally challenged with PTV (day 0) and treated with 10 µg of poly(I:C₁₂U) or saline placebo 24 hours after infection. Shown are mean serum ALT levels (Figs. 1A-1B), liver scores (Figs. 1C-1D) liver virus titers (Figs. 1E-1F) and serum virus titers (Figs. 1G-1H) for samples collected on the indicated days post-infection. Data

represent the mean and standard deviation of five animals per group. poly(I:C₁₂U), mdsRNA. IU, international units. * P < 0.05; ** P < 0.01 compared to saline-treated controls.

Figure 2 shows the induction of IFN- β in uninfected *TLR3*^{-/-} and wild-type mice following poly(I:C₁₂U) exposure. Groups of 8 week-old mice were injected i.p. with 10 μ g of poly(I:C₁₂U) and systemic IFN- β levels were determined for serum samples collected at the indicated times post-exposure. Data represent the mean and standard deviation of three animals per group.

10 DESCRIPTION OF SPECIFIC EMBODIMENTS OF THE INVENTION

The invention may treat an infection by an RNA virus belonging to Group III, Group IV, or Group V of the Baltimore classification system. It possesses ribonucleic acid (RNA) as its genetic material and does not replicate using a DNA intermediate. The RNA is usually single stranded (ssRNA) but can occasionally be double stranded (dsRNA). RNA viruses can be further classified according to the sense or polarity of their RNA into negative-sense and positive-sense RNA viruses. Positive-sense viral RNA is identical to viral mRNA and thus can be immediately translated by the host cell. Negative-sense viral RNA is complementary to mRNA and thus must be converted to positive-sense RNA by an RNA polymerase before translation. As such, purified RNA of a positive-sense virus can directly cause infection though it may be less infectious than the whole virus particle. Purified RNA of a negative-sense virus is not infectious by itself as it needs to be transcribed into positive-sense RNA.

RNA viruses that infect humans and animals include those belonging to the *Birnaviridae* and *Reoviridae* families (Group III dsRNA viruses); the *Arteriviridae*, *Astroviridae*, *Caliciviridae*, *Hepeviridae*, and *Roniviridae* families (Group IV positive-sense ssRNA viruses); and the *Arenaviridae*, *Bornaviridae*, *Bunyaviridae*, *Filoviridae*, *Paramyxoviridae*, and *Rhabdoviridae* families (Group V negative-sense ssRNA viruses). Specifically-configured, double-stranded ribonucleic acid (dsRNA) is also known to treat infection by RNA viruses from the *Flaviviridae*, *Hepadnaviridae*, *Orthomyxoviridae*, *Picornaviridae*, *Retroviridae*, and *Togaviridae* families. Viruses of these families may or may not be included within the scope of the invention.

Cells of the subject undergoing abnormal proliferation may be a neoplasm or tumor (e.g., carcinoma, sarcoma, leukemia, lymphoma), especially cells transformed by a tumor virus (e.g., DNA or RNA virus carrying a transforming gene or oncogene) or otherwise infected by a virus associated with cancer. For 5 example, Epstein-Barr virus is associated with nasopharyngeal cancer, Hodgkin's lymphoma, Burkitt's lymphoma, and other B lymphomas; human hepatitis B and C viruses (HBV and HCV) are associated with liver cancer; human herpesvirus 8 (HHV8) is associated with Kaposi's sarcoma; human papillomaviruses (e.g., HPV6, HPV11, HPV16, HPV18, or combination thereof) are associated with 10 cervical cancer, anal cancer, and genital warts; and human T-lymphotrophic virus (HTLV) is associated with T-cell leukemia and lymphoma. Cancers include those originating from the gastrointestinal (e.g., esophagus, colon, intestine, ileum, rectum, anus, liver, pancreas, stomach), genitourinary (e.g., bladder, kidney, prostate), musculoskeletal, nervous, pulmonary (e.g., lung), or reproductive (e.g., 15 cervix, ovary, testicle) organ systems.

Poly(riboinosinic) is partially hybridized to poly(ribocytosinic₁₂uracilic) and can be represented as $rI_n \cdot r(C_{12}U)_n$. Other specifically-configured dsRNA that may be used are based on copolynucleotides selected from poly(C_nU) and poly(C_nG) in which n is an integer from 4 to 29 or are mismatched analogs of complexes of 20 polyriboinosinic and polyribocytidilic acids, formed by modifying $rI_n \cdot rC_n$ to incorporate unpaired bases (uracil or guanine) along the polyribocytidylate (rC_n) strand. Alternatively mismatched dsRNA may be derived from $r(I) \cdot r(C)$ dsRNA by modifying the ribosyl backbone of polyriboinosinic acid (rI_n), e.g., by including 2'-O-methyl ribosyl residues. Mismatched dsRNA may be complexed with an RNA- 25 stabilizing polymer such as lysine cellulose. Of these mismatched analogs of $rI_n \cdot rC_n$, the preferred ones are of the general formula $rI_n \cdot r(C_{11-14}U)_n$ and are described in U.S. Patents 4,024,222 and 4,130,641; which are incorporated by reference. The dsRNA described therein generally are suitable for use according to the present invention. See also U.S. Patent 5,258,369.

30 Specifically-configured dsRNA may be administered by any suitable local or systemic route including enteral (e.g., oral, feeding tube, enema), topical (e.g., patch acting epicutaneously, suppository acting in the rectum or vagina), and parenteral (e.g., transdermal patch; subcutaneous, intravenous, intramuscular, intradermal, or intraperitoneal injection; buccal, sublingual, or transmucosal; inha-

lation or instillation intranasally or intratracheally). The nucleic acid may be micronized for inhalation, dissolved in a vehicle (e.g., sterile buffered saline or water) for injection or instillation, or encapsulated in a liposome or other carrier for targeted delivery. Preferred are carriers that target the nucleic acid to the TLR3 receptor on 5 antigen presenting cells and epithelium. A medicament may be formulated as a pharmaceutical composition containing at least an effective amount of specifically-configured dsRNA manufactured (and optionally stored) under aseptic conditions and tested for low microbial and endotoxin contamination. The medicament may further contain a physiologically-acceptable vehicle or carrier. It will be appreciated 10 that the preferred route may vary with condition and age of the subject, the nature of the infectious or neoplastic disease, and the chosen active ingredient.

The recommended dosage of the nucleic acid will depend on the clinical status of the subject and the experience of the physician or veterinarian in treating the viral infection or tumor burden. Specifically-configured dsRNA may be dosed 15 at about 200 mg to about 400 mg by intravenous infusion to a 70 kg subject on a schedule of twice weekly, albeit the dose amount and/or frequency may be varied by the physician or veterinarian in response to the subject's condition. Cells or tissues that express TLR3 are preferred sites for delivering the nucleic acid, especially antigen presenting cells (e.g., dendritic cells and macrophages) and 20 endothelium (e.g., respiratory and gastric systems). The effects of specifically-configured dsRNA may be inhibited or blocked by mutation of the TLR3 gene (e.g., deletion), down regulating its expression (e.g., siRNA), binding with a competitor for TLR3's ligand-binding site (e.g., neutralizing antibody) or a receptor antagonist, or interfering with a downstream component of the TLR3 signaling 25 pathway (e.g., MyD88 or TRIF).

AMPLIGEN® poly(I:C₁₂U) provides a selective agent for dissecting out the effects of TLR3 activation on the immune system that was not previously available. Other agents like TLR adapters MyD88 and TRIF mediate signaling by all 30 TLR or TLR3/TLR4, respectively. Thus, activation or inhibition of signaling through MyD88 or TRIF would not restrict the biological effects to those mediated by TLR3. Since the presence of TLR3 and its signaling is a requirement for AMPLIGEN® poly(I:C₁₂U) to act as a receptor agonist, one could assay for the absence of TLR3 mutations, the presence of TLR3 protein, intact TLR3-mediated signaling, or any combination thereof in the cell or tissue of a subject prior to administration

of the agonist. Such confirmation of TLR3 activity can be performed before, during, or after administration of the agonist. The agonist can be used to restrict the immune response to activation of TLR3 without activating other Toll-like receptors or RNA helicases.

5 The following examples further illustrate specific embodiments of the invention and are not intended to limit its scope, which is described above.

EXAMPLES

Punta Toro virus (PTV) is closely related by phylogeny to the viruses 10 causing Rift Valley fever and Sandfly fever. Unlike with highly pathogenic phleboviruses, human infection with PTV produces disease that is usually limited to a mild febrile illness. Infection models in small rodents have been described which produce acute disease with hepatic involvement similar to that observed in Rift Valley Fever virus infection of humans and domesticated ungulates. Several 15 groups have described the susceptibility of hamsters to severe disease induced by PTV infection. The availability of these rodent models makes PTV a viable alternative to the use of Rift Valley Fever virus for antiviral studies since the latter is highly restricted and requires high-level containment facilities. To that end, numerous evaluations of promising antivirals have been conducted using the PTV 20 models of acute phlebovirus-induced disease. Moreover, several large studies have involved the evaluation of immune modulators and have demonstrated that the PTV is acutely sensitive to IFN inducers. The importance of type I IFN is borne out in the mouse PTV infection model. Treatment with neutralizing antibodies to IFN- α/β completely abolishes resistance to infection reported in adult mice. Potent 25 type I IFN-inducers such as poly(I:C) or poly(I:C₁₂U) have consistently proven to be highly effective in protecting weanling mice from lethal PTV challenge.

There is accumulating evidence that two pathways are involved in activation events resulting from exposure to dsRNA, a replication intermediate of RNA 30 viruses. In addition to the TLR3 response pathway, a TLR3-independent pathway mediated by RNA helicase cytoplasmic sensors that contain caspase-recruiting domains (CARDs) has recently been uncovered. Signaling by these dsRNA sensors occurs through distinct pathways that converge to share various kinases and transcriptional factors that regulate the production of IFN- β , a critical factor in regulating antiviral immunity. Due to its endosomal restriction, TLR3 is likely

involved in the recognition of dsRNA that is internalized via the cellular phagocytic process. The cytosolic location of the RNA helicase dsRNA detectors, retinoic acid-induced protein I (RIG-I) and melanoma differentiation-associated gene-5 (mda-5), can sense viral infection within the cell. Recent evidence suggests that 5 mda-5 plays a dominant role over TLR3 in the type I IFN response to poly(I:C). Here data demonstrating the role of TLR3 in the induction of protective immunity by poly(I:C₁₂U) is provided.

Animal Subjects

10 *TLR3*^{-/-} mice were derived and backcrossed onto a C57BL/6 background at Yale University (Alexopoulou et al., Nature 413:732-738, 2001). They were bred and housed under specific pathogen-free conditions at Utah State University. C57BL/6 mice (wild type) were obtained from The Jackson Laboratory (Bar Harbor, ME). Age-matched female mice were used in all experiments. All animal 15 procedures used in these studies complied with guidelines set by the U.S. Department of Agriculture and the Utah State University Animal Care and Use Committee.

Immune modulators

20 Poly(I:C₁₂U) was provided by HEMISPERx® Biopharma (Philadelphia, PA) at a concentration of 2.4 mg/mL. It was diluted to the appropriate concentration with sterile saline immediately prior to injection. Materials to generate cationic liposome-DNA complexes (CLDC) were provided by Juvaris BioTherapeutics, Inc. (Pleasanton, CA). Liposomes, DNA, and the preparation of CLDC for 25 injection were described previously by Gowen et al. (Antiviral Res. 69:165-172, 2006). Recombinant *Eimeria* protozoan antigen (rEA) was provided by Barros Research Institute (Holt, MI) and was used as described by Gowen et al. (Antimicrobiol. Agents Chemother. 50:2023-2029, 2006). Ribavirin was supplied by ICN Pharmaceuticals (Costa Mesa, CA). All materials were administered by the 30 intraperitoneal (i.p.) route.

Evaluation of Poly(I:C₁₂U) in *TLR3*^{-/-} and Wild-Type Mice Infected with PTV

PTV, Adames strain, was obtained from Dr. Dominique Pifat of the U.S. Army Medical Research Institute for Infectious Diseases (Frederick, MD). Virus

stocks were prepared following four passages of the original virus stock through LLC-MK₂ cells (American Type Culture Collection, Manassas, VA). Weanling *TLR3*^{-/-} and C57BL/6 mice (3-4 weeks old) were inoculated by subcutaneous (s.c.) injection with 2×10^4 50% cell culture infectious doses (CCID₅₀) of PTV.

5 In the first study, a single dose of 10 µg poly(I:C₁₂U), 1 µg of CLDC, or saline placebo was administered i.p. 24 hours after infectious challenge. A ribavirin treatment group was also included in which treatment was administered twice per day for 5 days beginning 4 hours prior to viral challenge. The mice in each group were observed for death out to 21 days. In the second study, a 1 µg rEA-
10 treatment group replaced the CLDC and ribavirin groups. Also, additional animals were included for analysis of liver disease on day 3 of infection. Serum was collected from mice (n = 5) sacrificed on day 3 of the infection and livers were scored on a scale of 0 to 4 for hepatic jaundice: 0 being normal and 4 being maximal yellow discoloration. Serum alanine aminotransferase (ALT) activity was
15 determined using the ALT (SGPT) Reagent Set purchased from Pointe Scientific, Inc. (Lincoln Park, MI).

A temporal study was conducted to compare systemic and liver virus loads, hepatic discoloration, and ALT levels in *TLR3*^{-/-} and wild-type mice treated with poly(I:C₁₂U). Groups of 8 week-old mice (n = 5) were sacrificed for sample collection on day 2, 3, 4 or 5 of infection following therapeutic intervention with poly(I:C₁₂U) or saline. Day 1 samples were also collected to provide a baseline early during the course of infection. Older mice were used in this study since they are reportedly more resistant to PTV infection facilitating analysis at later stages of infection. Virus titers were assayed using an infectious cell culture assay as
20 described by Sidwell et al. (Antimicrob. Agents. Chemother. 32:331-336, 1988). Briefly, a specific volume of liver homogenate or serum was serially diluted and added to triplicate wells of LLC-MK₂ cell monolayers in 96-well microplates. Viral cytopathic effect (CPE) was determined six days post-virus exposure and the 50% endpoints were calculated as described by Reed & Muench (Am. J. Hyg. 27:493-
25 497, 1938).

IFN- β in *TLR3*^{-/-} and Wild-Type Mice Following Poly(I:C₁₂U) Treatment

Groups of 8 week-old *TLR3*^{-/-} and wild-type mice (n = 3 per group) were treated with 10 μ g poly(I:C₁₂U). Serum was collected at 0, 1.5, 3, 6 and 24 hours post-exposure. Systemic IFN- β levels were measured using ELISA reagents from

5 PBL (Piscataway, NJ) as specified by the manufacturer.

Statistical Analysis

Log-rank analysis was used to evaluate differences in survival data. The Fisher's exact test (two-tailed) was used for evaluating increases in total survivors.

10 The Mann-Whitney test (two-tailed) was performed to analyze the differences in mean day to death, virus titers, and serum ALT levels. Wilcoxon ranked sum analysis was used for mean liver score comparisons. The Student's t-test (two-tailed) was used to determine differences in IFN- β levels between *TLR3*^{-/-} and wild-type mice treated with poly(I:C₁₂U).

15

***TLR3*-deficient mice fail to develop protective immunity to PTV infection following treatment with poly(I:C₁₂U)**

Poly(I:C₁₂U) is a drug that has previously been reported to confer complete protection in C57BL/6 weanlings against lethal PTV challenge, reduce virus titers, and limit liver dysfunction and disease associated with PTV infection (Sidwell et al., Ann. N.Y. Acad. Sci. 653:344-35, 1992). To determine whether TLR3 activity plays an important role in the induction of antiviral defenses against PTV by poly(I:C₁₂U), 3-4 week-old *TLR3*^{-/-} and wild-type mice were treated 24 hours post-infectious challenge in a first study. There were no survivors in the *TLR3*^{-/-} group 20 of mice treated with poly(I:C₁₂U) (Table 1). In contrast, five of eight mice stimulated with CLDC, which likely acts primarily via TLR9 recognition of CpG motifs present in the plasmid DNA backbone, survived the infection. In the wild-type mice, both the dsRNA and CLDC protected 100% of the mice (Table 1), verifying that the immunomodulatory drug preparations were highly active. Ribavirin treatment was also included as an additional positive control since it routinely protects 25 90% or more of wild-type mice from lethal PTV challenge. Notably ribavirin only protected 75% (six of eight mice) of the *TLR3*^{-/-} mice from death whereas complete protection was observed in wild-type animals (Table 1). This may have been due to the smaller *TLR3*^{-/-} mice (~3 weeks of age) used compared to the

slightly larger wild-type mice (~3-4 weeks of age). Alternatively, the TLR3 deletion may reduce the capacity of these mice to limit the infection and combat the disease. Both CLDC and ribavirin significantly improved survival outcome.

5 Table 1. CLDC, but not mismatched dsRNA, poly(I:C₁₂U) elicited protective immunity to PTV infection in mice devoid of TLR3.

Strain	Treatment ^a	Alive/Total	Day of Death ^b		Log-Rank Prob>Chi Sq
			Mean ± SD	Range	
<i>TLR3</i> ^{-/-}	poly(I:C ₁₂ U), 10 µg	0/9	4.1 ± 0.3	4 – 5	0.6775
	CLDC, 1 µg	5/8**	3.7 ± 0.6	3 – 4	0.0163
	Ribavirin, 75 mg/kg/day	6/8**	6.0 ± 2.8	4 – 8	0.0003
	Sterile saline	0/9	4.2 ± 1.0	3 – 6	
Wild-type	poly(I:C ₁₂ U), 10 µg	10/10***			< 0.0001
	CLDC, 1 µg	10/10***			< 0.0001
	Ribavirin, 75 mg/kg/day	10/10***			< 0.0001
	Sterile saline	1/11	4.5 ± 0.7	4 – 6	

^aSingle-dose poly(I:C₁₂U), CLDC, and saline treatments administered i.p. 24 hours post-virus challenge. Ribavirin given i.p. twice per day for five days beginning four hours pre-virus challenge.

10 ^bMean and range day of death of mice dying prior to day 21.

*P< 0.05; **P< 0.01; ***P< 0.001 compared to the respective saline-treated controls.

A second study was conducted to verify the initial findings. In addition to having more closely age-matched mice (~ 4 weeks of age), five additional mice 15 were included for sacrifice on day 3 of infection in order to assess differences in liver disease as a consequence of PTV infection. As shown in Table 2, poly(I:C₁₂U) failed again to protect *TLR3*^{-/-} mice from a highly lethal dose of virus and failed to limit liver disease as reflected by reduced levels of serum ALT and liver

scores. rEA, a positive control immune modulator that acts through TLR11, was highly effective at protecting mice from death and significantly reducing serum ALT levels. As expected, treatment of wild-type mice with poly(I:C₁₂U) and rEA elicited 100% protection against the highly lethal challenge inoculum (Table 2).

5 Interestingly poly(I:C₁₂U) therapy, which is known to induce type I IFN, dramatically abrogated hepatic jaundice while rEA, which has not been shown to induce type I IFN, did not reduce mean liver scores in either strain of mouse. There were no significant differences when comparing the *TLR3*^{-/-} and wild-type saline-treated placebo and rEA treatment groups suggesting that both strains were equally

10 susceptible to PTV infection and responded similarly to rEA.

Table 2. TLR11 agonist, rEA, but not mismatched dsRNA, poly(I:C₁₂U), protected TLR3-deficient mice from lethal PTV disease.

Strain	Treatment ^a	Alive/Total	Day of Death ^b		Log-Rank		Liver	
			Mean \pm SD	Range	Prob>Chi Sq	ALT ^{c,d} \pm SD	Score ^{c,e} \pm SD	
TLR3 ^{-/-}	poly(I:C ₁₂ U) 10 μ g	0/10	4.1 \pm 0.6	3 – 5	0.4861	2700 \pm 1576	3.2 \pm 0.4	
	rEA, 1 μ g	10/10***			< 0.0001	155 \pm 77**	3.3 \pm 0.3	
	Sterile saline	1/10	4.1 \pm 0.6	3 – 5		3837 \pm 234	3.5 \pm 0.0	
Wild-type	poly(I:C ₁₂ U) 10 μ g	10/10***			< 0.0001	3 \pm 6**	0.6 \pm 0.2**	
	rEA, 1 μ g	10/10***			< 0.0001	93 \pm 56**	3.3 \pm 0.3	
	Sterile saline	1/20	4.8 \pm 1.1	3 – 7		3650 \pm 823	3.2 \pm 0.3	

^aSinge-dose poly(I:C₁₂U), rEA, and saline treatments administered i.p. 24 h post-virus challenge.

^bMean and range day of death of mice dying prior to day 21.

^cDetermined on day 3 of infection; 4-5 mice per group.

^dALT, alanine aminotransferase; measured in international units per liter.

^eScore of 0 (normal liver) to 4 (maximal discoloration).

*P< 0.05; **P< 0.01; ***P< 0.001 compared to respective saline-treated controls.

TLR3-deficient mice fail to reduce disease severity and viral load in response to poly(I:C₁₂U)

Although PTV is highly lethal in weanling C57BL/6 mice, 8 week-old animals are reportedly refractory to infection. Thus, a time course study was 5 conducted spanning the entire infection and disease process using older mice in order to further evaluate the contribution of TLR3 to the protective effect of poly(I:C₁₂U) immunotherapy. As seen in Fig. 1A, remarkable levels of ALT were not present until day 3 of infection in the *TLR3*^{-/-} and wild-type mice and peaked on day 4 before going back down. There were no differences in ALT levels 10 between poly(I:C₁₂U)-treated and saline-treated *TLR3*^{-/-} mice whereas levels remained near baseline in the wild-type mice that received dsRNA therapy (Figs. 1A-1B). Interestingly, despite the large variation seen on days 3 and 4 of infection, saline-treated wild-type mice presented with mean ALT levels three times greater than their TLR3-deficient counterparts. For liver damage 15 assessed by gross visual examination, disease indicated by discoloration was first noted on day 2 and peaked on day 4 in saline-treated mice (Figs. 1C-1D). In concordance with the liver disease reflected by the ALT data, a significant reduction in hepatic jaundice compared to the saline treatment control on days 4 and 5 was only demonstrated in wild-type mice responsive to poly(I:C₁₂U). 20 Again, the suggestion of greater liver disease severity in the wild-type mice was observed as they had higher day 4 mean liver scores compared to the *TLR3*^{-/-} mice (3.7 ± 0.3 and 3.4 ± 0.4, respectively). And consistent with the lack of protection seen in the previous challenge studies (Tables 1 and 2), the data indicate that TLR3 plays a vital role in mediating protective immunity against 25 PTV following poly(I:C₁₂U) treatment.

Control of liver and systemic viral burden during the course of infection following poly(I:C₁₂U) or saline treatment was also examined. Unexpectedly, no appreciable differences in liver viral loads were found, in part due to the high degree of variability seen with the wild-type mice (Figs. 1E-1F). The mean titers 30 were higher on days 2 and 3 in the poly(I:C₁₂U)-treated wild-type mice, but not statistically significant as demonstrated in several cases with serum ALT levels and liver scores. Notably, in contrast to the *TLR3*^{-/-} mice, virus was detected as

early as day 1 in several wild-type animals (Figs. 1E-1F). Although not detectable on day 1 of the infection, serum virus spiked dramatically by day 2, with the exception of the dsRNA-treated wild-type mice which were able to control the infection to barely detectable levels as shown by a greater than three-log
5 reduction of virus (Figs. 1G-1H). Once again, the effect of poly(I:C₁₂U) therapy seen in the wild-type animals was lost in the TLR-deficient mice. As with the comparison of liver viral loads in *TLR3*^{-/-} and wild-type mice, no significant differences were seen systemically in peak titers for the saline-treated groups (Figs. 1G-1H). But mean virus titers in *TLR3*^{-/-} mice dropped precipitously by
10 more than three-logs after day 3, while only a gradual decrease was observed in the wild-type. The comparison between the saline-treated groups of mice corroborates the suggested more severe liver disease profile seen with the wild-type animals. Liver virus was detectable earlier (day 1) and systemic virus persisted longer in the wild-type animals (Figs. 1E-1H).

15

TLR3-deficient mice do not produce IFN-β in response to poly(I:C₁₂U) treatment

dsRNA is a major inducer of IFN-β, a critical factor in the establishment of the host antiviral defenses. To test whether lack of functional TLR3 alters the IFN-β response profile, groups of wild-type and *TLR3*^{-/-} mice were treated with
20 the 10 µg poly(I:C₁₂U) dosage used in all experiments and systemic IFN-β production was determined at various time points. Following a 1.5 hour exposure period, a significant increase in IFN-β levels was observed in wild-type mice compared to the *TLR3*^{-/-} mice (Fig. 2). At 3 hours, IFN-β levels peaked in the wild-type mice while remaining at basal levels in the *TLR3*^{-/-} mice. By 6
25 hours, IFN-β levels had returned to baseline in the wild-type mice (Fig. 2). There was no indication of IFN-β induction at any of the time points evaluated for the *TLR3*^{-/-} mice. The differences in IFN-β production at the 1.5 and 3 hour sampling times were significant and likely factor in the inability of poly(I:C₁₂U) to elicit protective immunity against PTV infection in TLR3-deficient animals.

30

Discussion

There are several lines of evidence that argue against dsRNA-dependent protein kinase (PKR), the classic cytosolic sensor for dsRNA, being the prominent pathway for type I IFN induction and antiviral host defense. The 5 above results show that pattern recognition receptor TLR3 is essential for protective immunity elicited by poly(I:C₁₂U) in mice. The recent discoveries of other cytoplasmic dsRNA sensors and their involvement in host antiviral immunity suggest that mda-5 is the predominant mechanism for type I IFN induction, and the resulting antiviral state. It was found, however, that animals devoid of 10 TLR3 failed to develop protective immunity against, and limit disease associated with, PTV infection following a single-dose treatment with poly(I:C₁₂U). Moreover, TLR3 deficiency resulted in unchecked viral replication and the absence of an IFN- β response clearly evident in wild-type animals treated with poly(I:C₁₂U).

15 A caveat associated with antiviral studies in mice with immunodeficiencies such as TLR3 deletion is that lack of efficacy may be due in part to disruption of a TLR3-mediated response to PTV infection independent of poly(I:C₁₂U). To that end, it is conceivable that TLR3 depletion predisposes the mice to more severe disease and consequently a more difficult to treat infection. The results 20 from the first study (Table 1) suggested that this may be the case since the positive control drugs ribavirin and CLDC, which normally protect 100% and greater than 80% of challenged mice, respectively, were less effective. These results may, however, have been influenced by the age of the *TLR3*^{-/-} mice, which were noticeably smaller and presumably a few days younger than the 25 wild-type mice in this experiment. This explanation is supported by the results from the second study, where the mice were more rigorously age-matched so that they would all be close to 4 weeks of age. Indeed, very similar protection was seen among the two mice strains in response to rEA and similar lethality was observed with the saline placebo groups (Table 2). Thus, further evidence 30 refuting the diminished capacity of *TLR3*^{-/-} mice to combat PTV infection was also seen in older mice. In the time course study that was conducted to further resolve differences in the ability of *TLR3*^{-/-} and wild-type mice to respond to

poly(I:C₁₂U), comparison between the placebo-treated mice suggest that the *TLR3*^{-/-} mice may be more resistant to PTV infection and disease. Wild-type mice presented with higher levels of ALT and liver scores and, despite having similar peak serum titers, virus persisted longer showing a gradual reduction 5 whereas titers in *TLR3*^{-/-} mice dropped abruptly after day 3 of infection. Additional challenge studies in untreated *TLR3*^{-/-} and wild-type mice corroborate these findings indicating that *TLR3*^{-/-} mice are no more susceptible to PTV infection than their wild-type counterparts.

A recent investigation into the mechanism underlying the host response 10 to the commonly used dsRNA mimic, poly(I:C), provides compelling evidence that the cytosolic dsRNA sensor, mda-5, is the primary response pathway to type I IFN production. The above results suggest that TLR3 is the dominant dsRNA response mechanism. It is essential for antiviral activity and induction of IFN- β . Several issues come to mind when comparing these findings with those 15 of Gitlin and colleagues. In their studies, 100 μ g poly(I:C) was administered by intravenous i.v. injection while treatment in this study was limited to 10 μ g of poly(I:C₁₂U) administered by the i.p. route. The 10 μ g amount was based on experiments designed to determine the most appropriate dose for maximal 20 antiviral activity in the PTV infection model. Presumably, the composition of the dsRNA, its route of administration, and the amount inoculated contributed significantly to the discrepancies observed in the type I IFN responses. It is plausible that mda-5 has greater specificity for the poly(I:C) form of dsRNA while TLR3 has greater affinity for poly(I:C₁₂U). Also the route of delivery is important in that there appears to be cell-type specific differences in the 25 recognition of dsRNA. By delivering dsRNA i.v. the material is initially accessed in the marginal zone of the spleen populated by dendritic cells (DCs) that do not express significant levels of TLR3, thereby resulting in predominantly mda-5 mediated type I IFN induction. In contrast, i.p. administration results in initial encounter by resident and infiltrating inflammatory peritoneal macrophage 30 populations where TLR3-mediated activation appears to be the major pathway used. This idea is supported by a number of ex vivo studies that have explored dsRNA responses by TLR3- and TRIF-deficient peritoneal macrophages in

culture. Thus, direct exposure of macrophages to dsRNA in the peritoneal cavity produces a different response profile than what would be observed when exposure occurs through direct systemic delivery targeting CD8-negative DCs suited to mda-5 mediated type I IFN induction.

5 In addition to the above potential sources contributing to the observed differences between this study and Gitlin and coworkers, the amount of dsRNA used was significantly different. Here, 10-fold less dsRNA was used, which combined with the i.p. route of delivery, resulted in comparably lower levels of systemic IFN- β . Administration of 100 μ g of naked dsRNA by the i.v. route
10 produced more than 10-fold excess IFN- β than what was observed in this study (cf. Gitlin et al., Proc. Natl. Acad. Sci. USA 103:8459-8464, 2006). Nevertheless, the amount of IFN- β induced by the lower dose was more than sufficient
15 to induce protective immunity in the PTV infection model. In fact, one μ g or less of poly(I:C₁₂U) material still provides adequate protection against lethal PTV challenge, which is more likely physiologically relevant in the context of viral infection and potential immunotherapy with poly(I:C₁₂U). The latter is especially important considering the known toxicity of poly(I:C). Presumably, the inability
20 to produce type I IFN- β after exposure to i.p.-administered poly(I:C₁₂U) was vitally detrimental to the outcome of the challenge studies with the *TLR3*^{-/-} mice.

20 Patents, patent applications, books, and other publications cited herein are incorporated by reference in their entirety.

In stating a numerical range, it should be understood that all values within the range are also described (e.g., one to ten also includes every integer
25 value between one and ten as well as all intermediate ranges such as two to ten, one to five, and three to eight). The term "about" may refer to the statistical uncertainty associated with a measurement or the variability in a numerical quantity which a person skilled in the art would understand does not affect operation of the invention or its patentability.

30 All modifications and substitutions that come within the meaning of the claims and the range of their legal equivalents are to be embraced within their scope. A claim which recites "comprising" allows the inclusion of other ele-

ments to be within the scope of the claim; the invention is also described by such claims reciting the transitional phrases "consisting essentially of" (i.e., allowing the inclusion of other elements to be within the scope of the claim if they do not materially affect operation of the invention) or "consisting of" (i.e., 5 allowing only the elements listed in the claim other than impurities or inconsequential activities which are ordinarily associated with the invention) instead of the "comprising" term. Any of these three transitions can be used to claim the invention.

It should be understood that an element described in this specification 10 should not be construed as a limitation of the claimed invention unless it is explicitly recited in the claims. Thus, the granted claims are the basis for determining the scope of legal protection instead of a limitation from the specification which is read into the claims. In contradistinction, the prior art is explicitly excluded from the invention to the extent of specific embodiments that would 15 anticipate the claimed invention or destroy novelty.

Moreover, no particular relationship between or among limitations of a claim is intended unless such relationship is explicitly recited in the claim (e.g., the arrangement of components in a product claim or order of steps in a method claim is not a limitation of the claim unless explicitly stated to be so). All 20 possible combinations and permutations of individual elements disclosed herein are considered to be aspects of the invention. Similarly, generalizations of the invention's description are considered to be part of the invention.

From the foregoing, it would be apparent to a person of skill in this art 25 that the invention can be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments should be considered only as illustrative, not restrictive, because the scope of the legal protection provided for the invention will be indicated by the appended claims rather than by this specification.

The claims defining the invention are as follows:

1. A method of initiating an innate immune response mediated only by Toll-Like Receptor 3 (TLR3), said method comprising administration to a subject of at least 5 poly(I:C₁₂U) in an amount sufficient to activate TLR3 without activating other Toll-like receptors or RNA helicases.
2. A method of treating a subject (i) infected with a virus or (ii) bearing a tumor or other transformed cell, said method comprising administration of a pharmaceutical 10 composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other Toll-like receptors or RNA helicases to reduce or eliminate (i) infection of the subject by the virus or (ii) proliferation of the tumor or other transformed cell in the subject, respectively.
- 15 3. The method according to Claim 2, wherein the subject is infected with a virus.
4. The method according to Claim 3, wherein the virus is a bunyavirus.
5. The method according to Claim 4, wherein the virus is a phlebovirus.
- 20 6. A method of vaccinating a subject against a virus or a tumor, said method comprising administration of (i) a vaccine which induces an immune response against the virus or the tumor and (ii) a pharmaceutical composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other Toll-like receptors or RNA helicases and to stimulate the immune response against a viral or tumor antigen of the vaccine in the subject.
- 25 7. The method according to Claim 6, wherein the subject is vaccinated against a virus.
- 30 8. The method according to Claim 7, wherein the virus is a bunyavirus.
9. The method according to Claim 8, wherein the virus is a phlebovirus.

10. The method according to any one of Claims 1-9, wherein the subject is a human.

11. The method according to any one of Claims 2-9, wherein the virus or the tumor
is susceptible to the sole action of poly(I:C₁₂U) acting exclusively as a TLR3 agonist.

5

12. The method according to any one of Claims 2-9, wherein the virus or the tumor
expresses an antigen that is spontaneously selected by poly(I:C₁₂U) as an in situ target to
initiate an immune response against the antigen.

10 13. The method according to any one of Claims 1-9, wherein poly(I:C₁₂U) is infused
intravenously.

14. The method according to any one of Claims 1-9, wherein poly(I:C₁₂U) is injected
intradermally, subcutaneously, or intramuscularly; inhaled intranasally or intratracheally;
15 or exposed oropharyngeally.

15. Use of poly(I:C₁₂U) in the manufacture of a medicament for the treatment of a
subject to initiate an innate immune response mediated only by Toll-Like Receptor 3
(TLR3) thereby, in an amount sufficient to activate TLR3 without activating other Toll-
20 like receptors or RNA helicases.

25 16. Use of poly(I:C₁₂U) in the manufacture of a medicament for the treatment of a
subject (i) infected with a virus or (ii) bearing a tumor or other transformed cell, in an
amount sufficient to bind to Toll-Like Receptor 3 (TLR3) without activating other Toll-
like receptors or RNA helicases, to reduce or eliminate (i) infection of the subject by the
virus or (ii) proliferation of the tumor or other transformed cell in the subject,
respectively.

17. Use according to Claim 16, wherein the subject is infected with a virus.

30

18. Use according to Claim 17, wherein the virus is a bunyavirus.

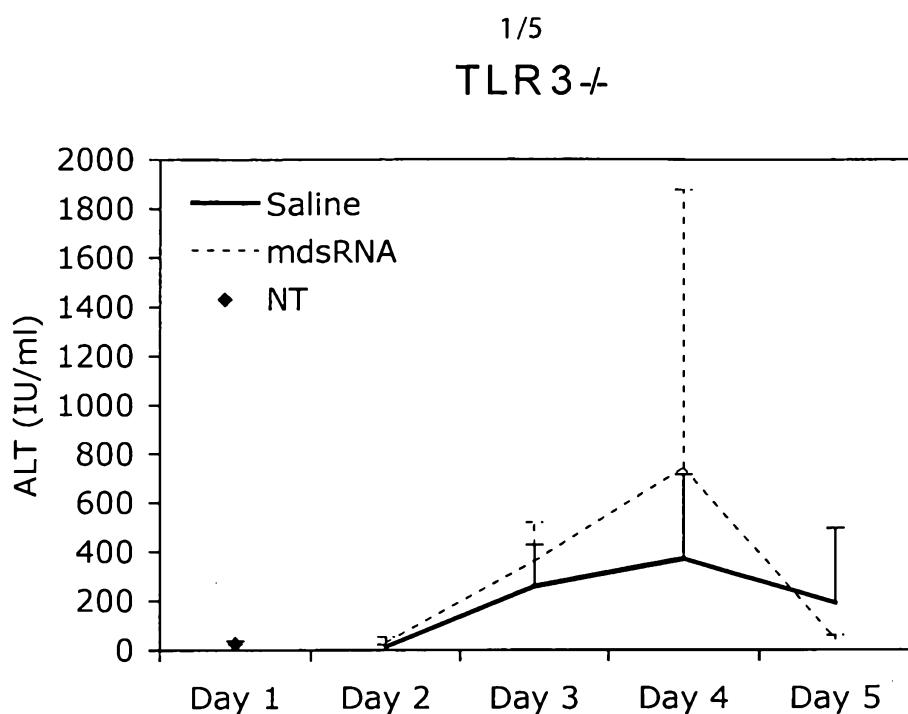
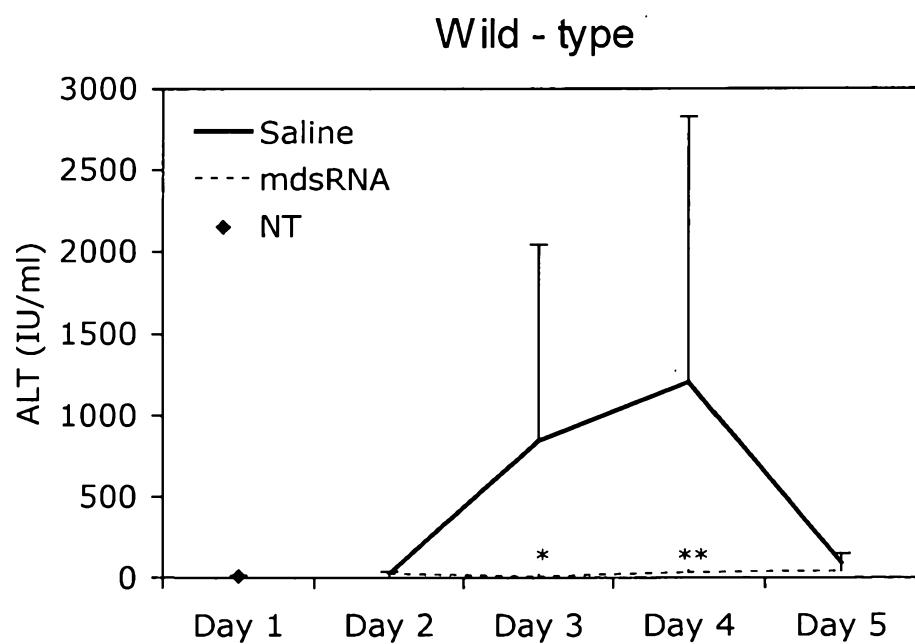
19. Use according to Claim 18, wherein the virus is a phlebovirus.

20. Use of poly(I:C₁₂U) in the manufacture of a medicament for the vaccination of a subject against a virus or a tumor, wherein vaccination comprises: administration of (i) a vaccine which induces an immune response against the virus or the tumor and (ii) a pharmaceutical composition comprised of poly(I:C₁₂U) in an amount sufficient to bind to Toll-Like Receptor 3 (TLR3) and to stimulate the immune response against a viral or tumor antigen of the vaccine in the subject without activating other Toll-like receptors or RNA helicases.
- 10 21. Use according to Claim 20, wherein the subject is vaccinated against a virus.
- 15 22. Use according to Claim 21, wherein the virus is a bunyavirus.
23. Use according to Claim 22, wherein the virus is a phlebovirus.
- 15 24. Use according to any one of Claims 15-23, wherein the subject is a human.
25. Use according to any one of Claims 16-23, wherein the virus or the tumor is susceptible to the sole action of poly(I:C₁₂U) acting exclusively as a TLR3 agonist.
- 20 26. Use according to any one of Claims 16-23, wherein the virus or the tumor expresses an antigen that is spontaneously selected by poly(I:C₁₂U) as an in situ target to initiate an immune response against the antigen.
- 25 27. Use according to any one of Claims 15-23, wherein the medicament is infused intravenously.
- 30 28. Use according to any one of Claims 15-23, wherein the medicament is injected intradermally, subcutaneously, or intramuscularly; inhaled intranasally or intratracheally; or exposed oropharyngeally.
29. Use of a mismatched double-stranded ribonucleic acid of poly(I:C₁₁₋₁₄U) to manufacture a medicament for binding to Toll-Like Receptor 3 (TLR3) on immune cells of a subject infected by a virus, bearing a tumor, or vaccinated against a virus or tumor,

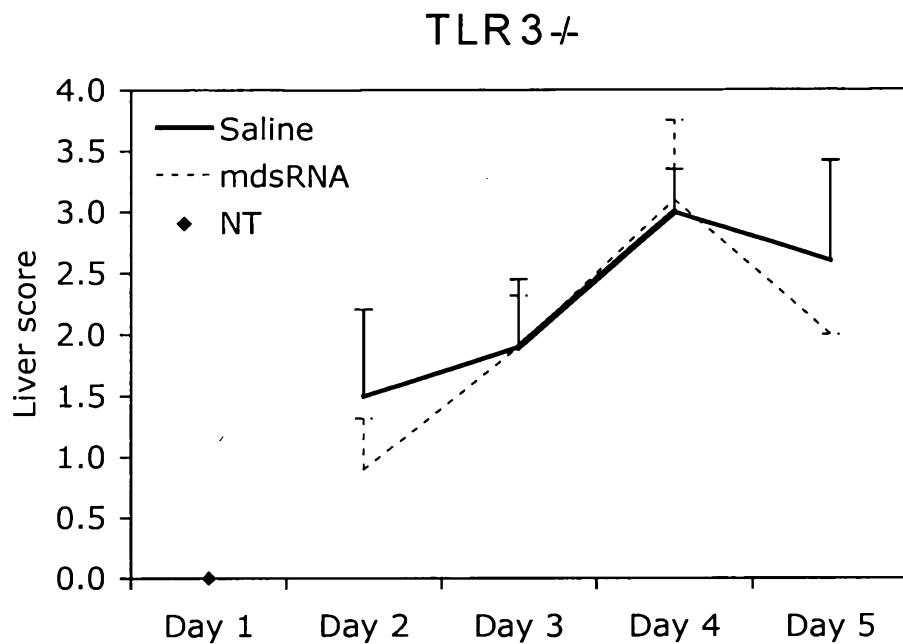
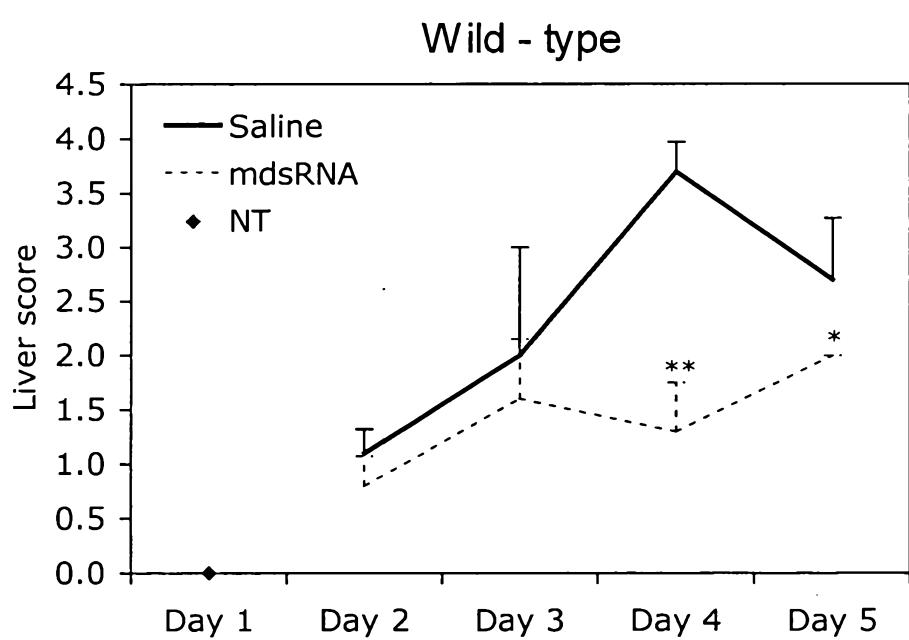
wherein said medicament comprises ribonucleic acid of poly(I:C₁₁₋₁₄U) in an amount sufficient to bind TLR3 without activating other Toll-like receptors or RNA helicases.

Dated 4 July, 2011
Utah State University

Patent Attorneys for the Applicant/Nominated Person
SPRUSON & FERGUSON

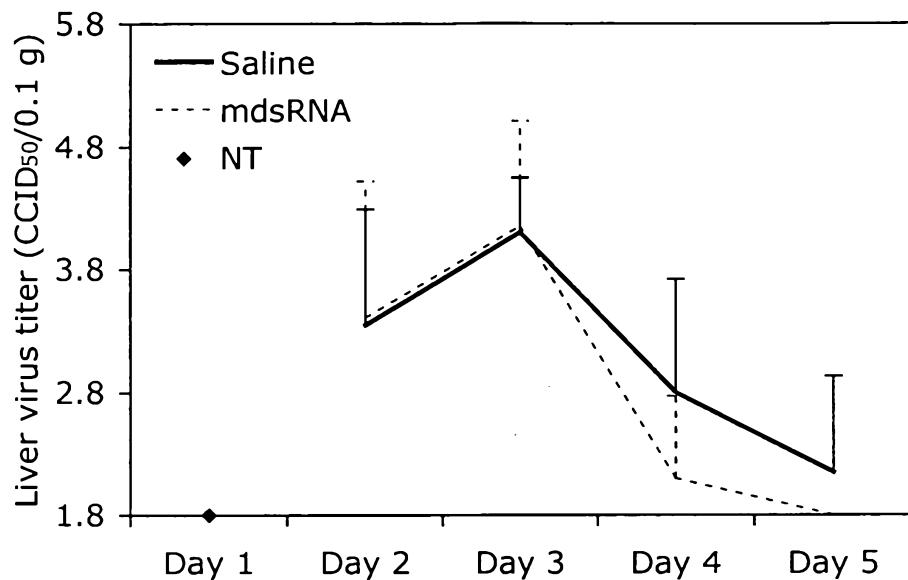
**FIG. 1A****FIG. 1B**

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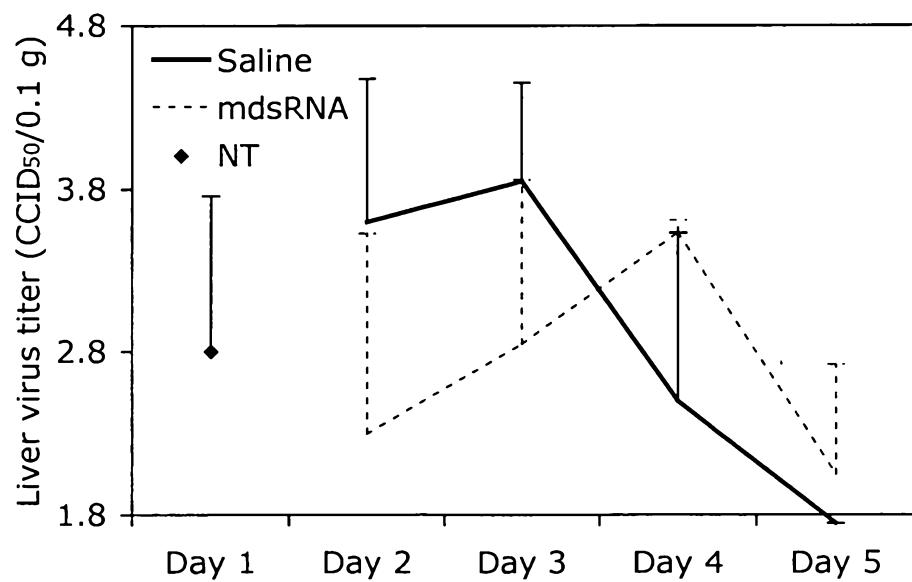
**FIG. 1C****FIG. 1D**

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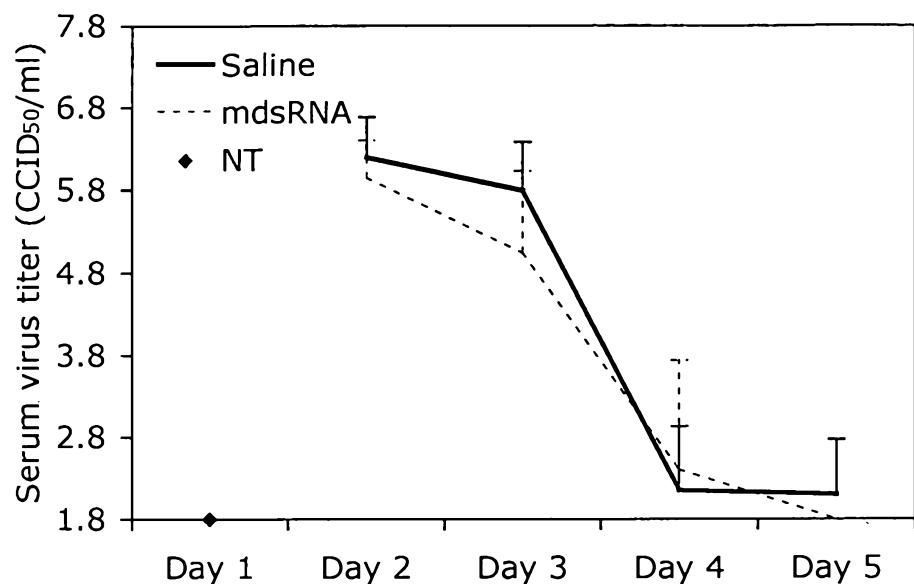
TLR 3-/-

**FIG. 1E**

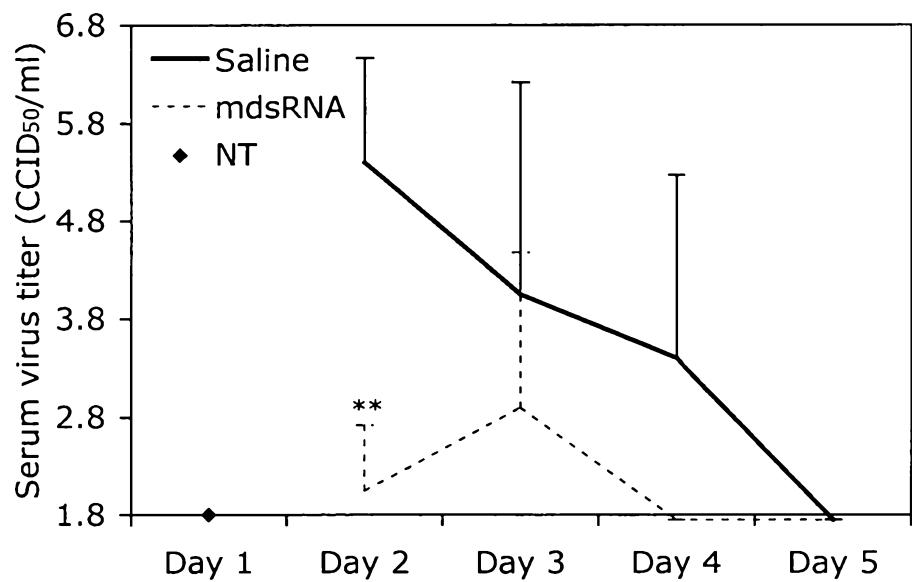
Wild-type

**FIG. 1F**

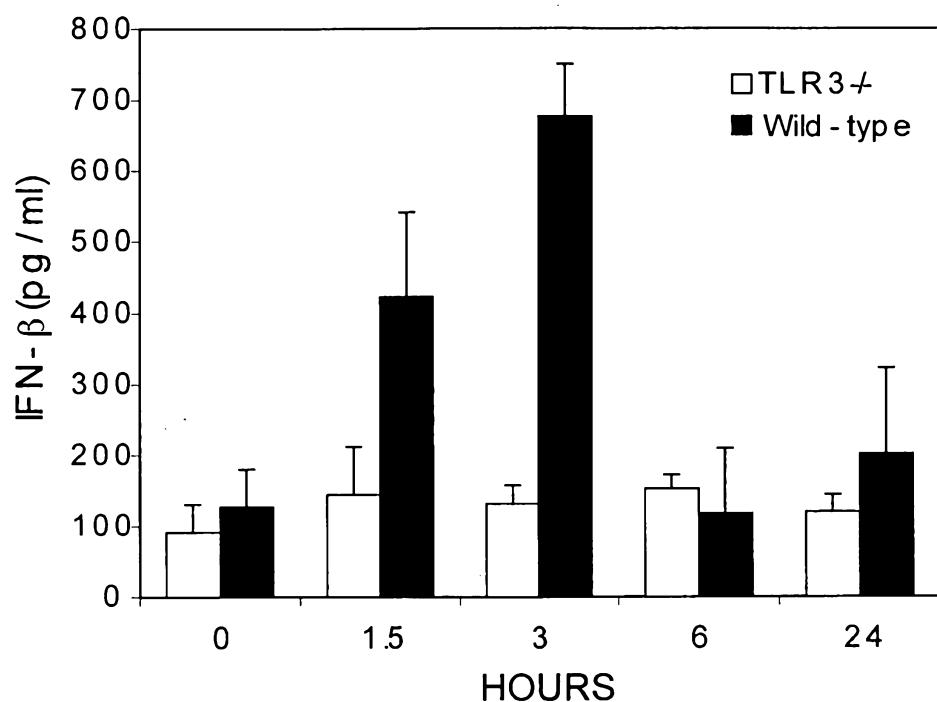
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TLR 3⁺**FIG. 1G**

Wild - type

**FIG. 1H**

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**FIG. 2**