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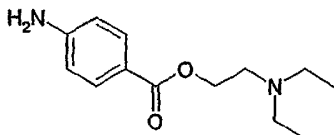
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- (71) Applicants (for all designated States except US): **SAMARITAN PHARMACEUTICALS** [US/US]; 101 Convention Center Drive, Las Vegas, NV 89109 (US).
- (72) Inventors: **PAPADOPOULOS, Vassilios** [US/US]; 15417 Peach Leaf Drive, North Potomac, MD 20878 (US). **GREESON, Janet** [US/US]; 2877 Paradise Road, Suite 801, Las Vegas, NV 89109 (US). **LECANU, Laurent** [FR/US]; 8370 Greensboro Drive, Building #4, Apt. 706, McLean, VA 22102 (US).
- (74) Agents: **STEFFEY, Charles, E.** et al.; Schwegman, Lundberg, Woessner & Kluth, P.A., P.O. Box 2938, Minneapolis, MN 55402 (US).
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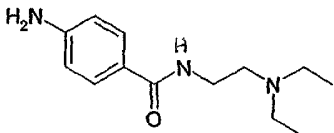
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(54) Title: ANTI-HIV BENZAMIDE COMPOUNDS

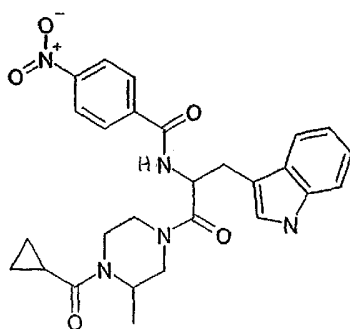
Chemical structure of the compounds tested



SP01 (Procaine)



SP100 (Procainamide)



SP010

(57) Abstract: The invention provides a therapeutic method for preventing or treating a pathological condition or symptom in a mammal, such as a human, wherein the infectivity of a pathogen such as a retrovirus toward mammalian cells is implicated and inhibition of its infectivity is desired comprising administering to a mammal in need of such therapy, an effective amount of an N-benzamide derivative of a piperaziny amide of an amino thereof that inhibits pathogenic infectivity, including pharmaceutically acceptable salts thereof.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## ANTI-HIV BENZAMIDE COMPOUNDS

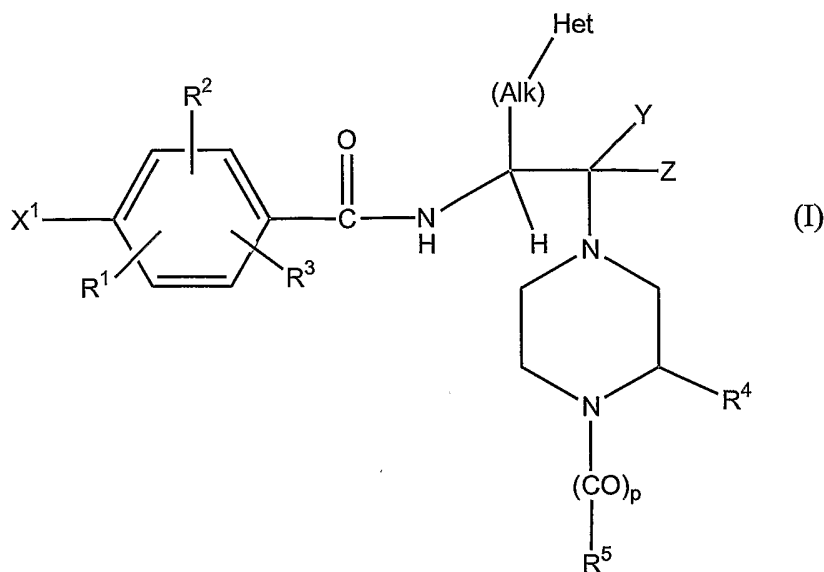
### Background of the Invention

The global HIV/AIDS epidemic killed more than 3 million people in  
5 2003, and an estimated 5 million acquired the human immunodeficiency virus  
(HIV) – bringing to 40 million the number of people living with the virus around  
the world. Despite progress in developing anti-viral regimens, there is not a  
fully effective therapy for AIDS. Current therapeutic strategies for AIDS include  
10 protease inhibitors, nucleoside analog reverse transcriptase inhibitors, non-  
nucleoside analog reverse transcriptase inhibitors, fusion inhibitors and also the  
highly toxic hydroxyurea (Yarchoan R *et al.* (1986) *Lancet* **1**(8481): 575-580;  
Richards AD *et al.* (1989) *FEBS Lett* **247**(1): 113- 117; Gao WY *et al.* (1995)  
*Proc Natl Acad Sci USA* **92**(18): 8333-8337; De Clercq E (1999) *Farmaco* **54**(1-  
2): 26-45; Williams IG (2003) *Int J Clin Pract* **57**(10): 890-897). Unfortunately,  
15 emerging resistances due to virus genotype mutations (Cavert W and Balfour  
HH (2003) *Clin Lab Med* **23**(4): 915-928; Gallant JE *et al.* (2003) *Antivir Ther*  
**8**(6): 489-506; Olson WC and Maddon PJ (2003) *Curr Drug Targets Infect*  
*Disord* **3**(4): 283-294) and serious side-effects are strong limitations to the  
treatment efficacy.

20 Currently, there is a need for effective anti-viral agents, including anti-  
retroviral agents. There is also a need for pharmacological tools for the further  
study of physiological processes associated with infection.

### Summary of the Invention

25 The invention provides a method to prevent viral replication by blocking  
or inhibiting the ability of viruses, such as retroviruses, including HIV, to infect  
mammalian cells *in vitro* or *in vivo*. Thus, the present invention provides a  
method for treatment of a mammal threatened or afflicted by an infectious  
pathogen, such as a bacteria or virus, by administering to said mammal an  
30 effective amount of a compound of formula I:



wherein:

- a)  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are individually H, OH, halo, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl((C<sub>1</sub>-C<sub>6</sub>)alkyl), (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkanoyl, halo(C<sub>1</sub>-C<sub>6</sub>)alkyl, hydroxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxycarbonyl; (C<sub>1</sub>-C<sub>6</sub>)alkylthio or (C<sub>1</sub>-C<sub>6</sub>)alkanoyloxy; or  $R^1$  and  $R^2$  together are methylenedioxy;
- b)  $X^1$  is NO<sub>2</sub>, CN, -N=O, (C<sub>1</sub>-C<sub>6</sub>)alkylC(O)NH-, isoxazolyl, or N(R<sup>6</sup>)(R<sup>7</sup>) wherein, R<sup>6</sup> and R<sup>7</sup> are individually, H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, ((C<sub>1</sub>-C<sub>6</sub>)alkyl), wherein cycloalkyl optionally comprises 1-2, S, nonperoxide O or N(R<sup>8</sup>), wherein R<sup>8</sup> is H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl or benzyl; aryl, aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>2</sub>-C<sub>6</sub>)alkenyl, heteroaryl, heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, or R<sup>6</sup> and R<sup>7</sup> together with the N to which they are attached form a 5- or 6-membered heterocyclic or heteroaryl ring, optionally substituted with R<sup>1</sup> and optionally comprising 1-2, S, non-peroxide O or N(R<sup>5</sup>);
- c) Alk is (C<sub>1</sub>-C<sub>6</sub>)alkyl;
- d) X and Z are =O, -O(CH<sub>2</sub>)<sub>m</sub>O- or -(CH<sub>2</sub>)<sub>m</sub>- wherein m is 2-4, or X is H and Z is OH or SH;
- e) Het is heteroaryl or heterocycloalkyl, each optionally substituted by 1, 2 or 3 R<sup>1</sup>, or a combination thereof, or is a bond connecting (Alk) to NH;
- f) p is 0 or 1; and the pharmaceutically acceptable salts thereof.

Preferably (Alk) is (C<sub>1</sub>-C<sub>4</sub>)alkyl, such as -(CH<sub>2</sub>)-, -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>3</sub>- or -(CH<sub>2</sub>)<sub>4</sub>-.

Preferably, 1, 2 or 3 of R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> is H.

Preferably, R<sup>6</sup> and R<sup>7</sup> are individually H, (C<sub>1</sub>-C<sub>4</sub>)alkyl (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl,  
5 (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl or benzyl.

Preferably, X<sup>1</sup> is NO<sub>2</sub>.

Preferably, each of R<sup>4</sup> and R<sup>5</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl.

Preferably, 1 or 2 of R<sup>1</sup>, R<sup>2</sup> or R<sup>3</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkoxy.

Preferably, p is 1.

10 Preferably, Z and Y together are =O.

Preferably, Het is heteroaryl, e.g., 1H-indol-3-yl, indan-3-yl or 1H-imidazol-4-yl.

The invention also provides a pharmaceutical composition, such as a unit dosage form, comprising a compound of formula I, or a pharmaceutically  
15 acceptable salt thereof, in combination with a pharmaceutically acceptable diluent or carrier, which optionally can include one or more anti-HIV agents of one or more of the classes of anti-HIV agents referenced herein above, and can optionally include stabilizers, preservatives, and absorption control agents.

20 Additionally, the invention provides a therapeutic method for preventing or treating a pathological condition or symptom in a mammal, such as a human, wherein the infectivity of a pathogenic agent or microorganism such as a virus or a retrovirus toward mammalian cells is implicated and inhibition of its infectivity is desired comprising administering to a mammal in need of such therapy, an effective amount of a compound of formula I, or a pharmaceutically acceptable  
25 salt thereof.

The invention provides a compound of formula I for use in medical therapy (e.g., for use in treating a mammal infected, e.g., with a retrovirus such as HIV), as well as the use of a compound of formula I for the manufacture of a medicament useful for the treatment of infection in a mammal, such as a human.

30 The invention also provides a method for binding a compound of formula I to mammalian cells to alter the permeability of the cell membrane to infectious agents comprising contacting the cells *in vivo* or *in vitro*, with an amount of a compound of formula I effective to interact with, and to alter the properties of

the membranes of said cells, e.g., to alter the sterol composition of the cell membranes. Cells comprising a compound of formula I as a ligand bound to receptor sites can be used to measure the selectivity of test compounds for specific receptors on or in cell walls, or can be used as a tool to identify potential  
5 therapeutic agents for the treatment of diseases or conditions dependent on cell wall permeability, by contacting said agents with said ligand-receptor complexes, and measuring the extent of displacement of the ligand and/or binding of the agent.

The invention also provides novel compounds of formula I, as well as,  
10 processes and intermediates disclosed herein that are useful for preparing compounds of formula (I) or salts thereof. Many of the compounds of formula I are also useful as intermediates in the preparation of compounds of formula I.

#### Brief Description of the Figures

15 Figure 1 depicts the chemical structure of SP01, SP010 and SP100.

Figure 2, panels A-C are graphs depicting the inhibitory effect of SP01, SP010 and SP100 on the HIV-1 IIIB strain replication in HeLa cells.

Compounds were tested either alone or in a formulation (1A, 010A or 100A) 3TC, ddl and AZT are known anti-viral compounds.

20 Figure 3, (panels A-C) are graphs depicting the inhibitory effect of 24-hour SP01, SP010 and SP100 premedication on the HIV-1 IIIB strain replication in HeLa cells. Compounds were tested in a formulation (01A, 010A or 100A).

Figure 4 (panels A-C) are graphs depicting the inhibitory effect of 48-hour SP01, SP010 and SP100 premedication on the HIV-1 IIIB strain replication  
25 in HeLa cells.

Figure 5 (panels A-C) are graphs depicting the inhibitory effect of SP01, SP01A and SP010 on the multi-drug resistant HIV MDR-769 strain replication in HeLa cells.

Figure 6 is a reaction scheme for the synthesis of SP010.  
30

### Detailed Description

The following definitions are used, unless otherwise described: halo is fluoro, chloro, bromo, or iodo. Alkyl, alkoxy, alkenyl, alkynyl, etc. denote both straight and branched groups; but reference to an individual radical such as

5 "propyl" embraces only the straight chain radical, a branched chain isomer such as "isopropyl" being specifically referred to. Aryl denotes a phenyl radical or an ortho-fused bicyclic carbocyclic radical having about nine to ten ring atoms in which at least one ring is aromatic. Heteroaryl encompasses a radical attached via a ring carbon of a monocyclic aromatic ring containing five or six ring atoms

10 consisting of carbon and one to four heteroatoms each selected from the group consisting of non-peroxide oxygen, sulfur, and N(X) wherein X is absent or is H, O, (C<sub>1</sub>-C<sub>4</sub>)alkyl, phenyl or benzyl, as well as a radical of an ortho-fused bicyclic heterocycle of about eight to ten ring atoms derived therefrom, particularly a benz-derivative or one derived by fusing a propylene, trimethylene, or

15 tetramethylene diradical thereto.

It will be appreciated by those skilled in the art that compounds of the invention having a chiral center may exist in and be isolated in optically active and racemic forms. Some compounds may exhibit polymorphism. It is to be understood that the present invention encompasses any racemic, optically-active,

20 polymorphic, or stereoisomeric form, or mixtures thereof, of a compound of the invention, which possess the useful properties described herein, it being well known in the art how to prepare optically active forms (for example, by resolution of the racemic form by recrystallization techniques, by synthesis from optically-active starting materials, by chiral synthesis, or by chromatographic

25 separation using a chiral stationary phase) and how to determine anti-infectious activity using the standard tests described herein, or using other similar tests which are well known in the art.

Specific and preferred values listed below for radicals, substituents, and ranges, are for illustration only; they do not exclude other defined values or other

30 values within defined ranges for the radicals and substituents.

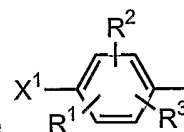
Specifically, (C<sub>1</sub>-C<sub>6</sub>)alkyl can be methyl, ethyl, propyl, isopropyl, butyl, iso-butyl, sec-butyl, pentyl, 3-pentyl, or hexyl; (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl can be cyclopropyl, cyclobutyl, cyclopentyl, or cyclohexyl; (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl(C<sub>1</sub>-

C<sub>6</sub>)alkyl can be cyclopropylmethyl, cyclobutylmethyl, cyclopentylmethyl, cyclohexylmethyl, 2-cyclopropylethyl, 2-cyclobutylethyl, 2-cyclopentylethyl, or 2-cyclohexylethyl; heterocycloalkyl and heterocycloalkylalkyl includes the foregoing cycloalkyl wherein the ring optionally comprises 1-2 S, non-peroxide  
 5 O or N(R<sup>8</sup>) as well as 2-5 carbon atoms; such as morpholinyl, piperidinyl, piperazinyl, indanyl, 1,3-dithian-2-yl, and the like; (C<sub>1</sub>-C<sub>6</sub>)alkoxy can be methoxy, ethoxy, propoxy, isopropoxy, butoxy, iso-butoxy, sec-butoxy, pentoxy, 3-pentoxy, or hexyloxy; (C<sub>2</sub>-C<sub>6</sub>)alkenyl can be vinyl, allyl, 1-propenyl, 2-propenyl, 1-butenyl, 2-butenyl, 3-butenyl, 1-pentenyl, 2-pentenyl, 3-pentenyl, 4-  
 10 pentenyl, 1-hexenyl, 2-hexenyl, 3-hexenyl, 4-hexenyl, or 5-hexenyl; (C<sub>2</sub>-C<sub>6</sub>)alkynyl can be ethynyl, 1-propynyl, 2-propynyl, 1-butylnyl, 2-butylnyl, 3-butylnyl, 1-pentylnyl, 2-pentylnyl, 3-pentylnyl, 4-pentylnyl, 1-hexynyl, 2-hexynyl, 3-hexynyl, 4-hexynyl, or 5-hexynyl; (C<sub>1</sub>-C<sub>6</sub>)alkanoyl can be formyl, acetyl, propanoyl or butanoyl; halo(C<sub>1</sub>-C<sub>6</sub>)alkyl can be iodomethyl, bromomethyl,  
 15 chloromethyl, fluoromethyl, trifluoromethyl, 2-chloroethyl, 2-fluoroethyl, 2,2,2-trifluoroethyl, or pentafluoroethyl; hydroxy(C<sub>1</sub>-C<sub>6</sub>)alkyl can be alkyl substituted with 1 or 2 OH groups, such as hydroxymethyl, 1-hydroxyethyl, 2-hydroxyethyl, 1-hydroxypropyl, 2-hydroxypropyl, 3-hydroxypropyl, 1-hydroxybutyl, 4-hydroxybutyl, 3, 4-dihydroxybutyl, 1-hydroxypentyl, 5-hydroxypentyl, 1-  
 20 hydroxyhexyl, or 6-hydroxyhexyl; (C<sub>1</sub>-C<sub>6</sub>)alkoxycarbonyl can be methoxycarbonyl, ethoxycarbonyl, propoxycarbonyl, isopropoxycarbonyl, butoxycarbonyl, pentoxycarbonyl, or hexyloxycarbonyl; (C<sub>1</sub>-C<sub>6</sub>)alkylthio can be methylthio, ethylthio, propylthio, isopropylthio, butylthio, isobutylthio, pentylthio, or hexylthio; (C<sub>2</sub>-C<sub>6</sub>)alkanoyloxy can be acetoxy, propanoyloxy, butanoyloxy, isobutanoyloxy, pentanoyloxy, or hexanoyloxy; aryl can be phenyl, indenyl, or naphthyl; and heteroaryl can be furyl, imidazolyl, triazolyl, triazinyl, oxazolyl, isoxazolyl, thiazolyl, isothiazoyl, pyrazolyl, pyrrolyl, pyrazinyl, tetrazolyl, pyridyl, (or its N-oxide), thienyl, pyrimidinyl (or its N-oxide), 1H-indolyl, isoquinolyl (or its N-oxide) or quinolyl (or its N-oxide).

30 The term "retrovirus" includes, but is not limited to, the members of the family *retroviridae*, including alpharetroviruses (e.g., avian leukosis virus), betaretroviruses (e.g., mouse mammary tumor virus), gammaretroviruses (e.g., murine leukemia virus), deltaretroviruses (e.g., bovine leukemia virus),

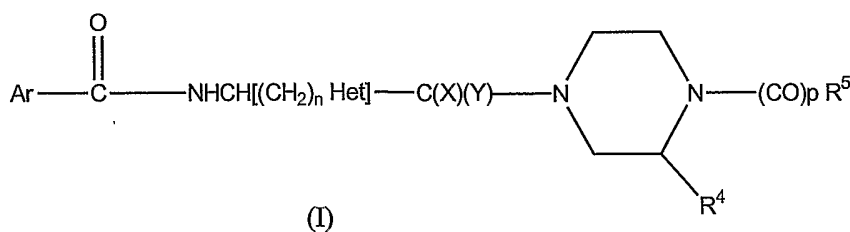
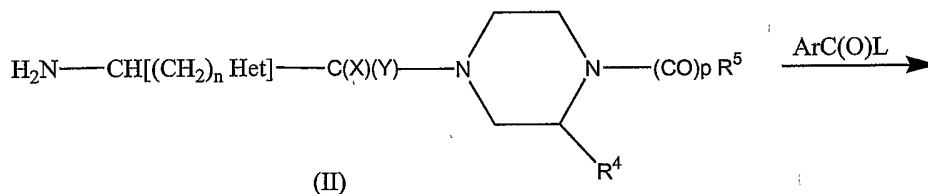
epsilon-retroviruses (e.g., Walley dermal sarcoma virus), lentiviruses (e.g., HIV-1) and spumaviruses (e.g., human spumavirus).

The compounds of formula (I) wherein Y and Z are =O (oxo), are formally N-phenacyl derivatives of heterocyclic- or heteroaryl-alpha-amino acid piperazinyl amides. Thus, methods generally applicable to peptide synthesis can be employed to prepare compounds of formula I. For example, see published PCT application WO 02/094857, U.S. Pat. No. 6,043,218, 6,407,211 and 5,583,108.



In general, compounds of formula (I) wherein Ar is wherein  $X^1, R^1, R^2, R^3, R^4, R^5, \text{Het } n$  and  $p$  are as defined above and X and Y are =O are prepared from aminoalkyl derivatives of formula II as shown in Scheme 1, below, wherein L is Cl or Br.

Scheme 1.



15

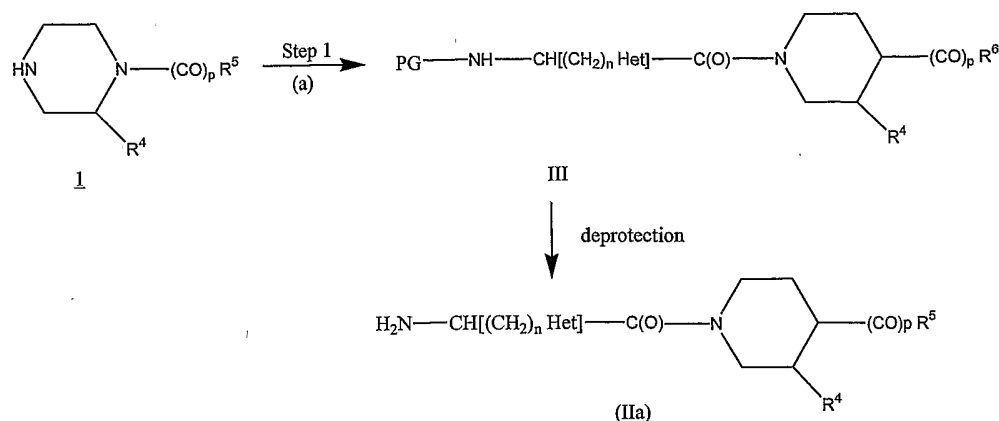
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25

Preparation of compounds of Formula II.

A compound of formula IIa, is prepared as shown in Scheme 2, below.

Scheme 2.



- 5 In general, compounds of formula IIa, are prepared in two steps by first converting a compound of formula I to an N-protected aminoalkyl derivative of formula III via methods (a), followed by removal of the amino protecting in III, as described below.

Preparation of Compounds of Formula III

## 10 Method (a)

In method (a), an N-protected aminoalkyl derivative of formula III where PG is an amino protecting group (e.g., tert-butoxycarbonyl (BOC), benzyloxycarbonyl (CBZ), benzyl, and the like) is prepared by reacting a compound of formula 1 with a compound of formula 4:



- where X is carboxy ( $-\text{COOH}$ ) or a reactive carboxy derivative, e.g., acid halide. The reaction conditions employed depend on the nature of the X group. If X is a carboxy group, the reaction is carried out in the presence of a suitable coupling agent (e.g., N,N-dicyclohexylcarbodiimide, 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide, and the like) in a suitable organic solvent (e.g., methylene chloride, tetrahydrofuran, and the like) to give an amide intermediate. If X is an acid derivative such as an acid chloride, the reaction is carried out in the presence of a suitable base such as triethylamine, pyridine in an organic solvent (e.g., methylene chloride, dichloroethane, N,N-dimethylformamide, and the like) to give an amide intermediate.
- 20
- 25

In general, compounds of formula 4 which are N-protected, heterocyclic or heteroaryl  $\alpha$ -amino acids or are derived therefrom, are either commercially available or they can be prepared by methods well known in the field of organic chemistry.

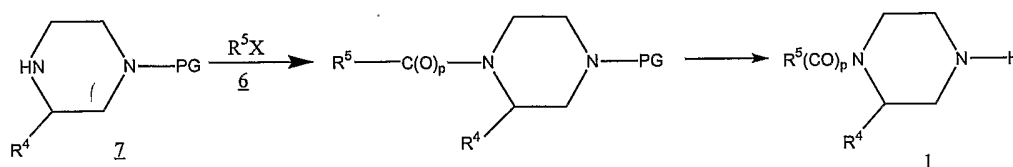
- 5 Generally, both natural and unnatural amino acids useful in the present invention are commercially available from vendors such as Sigma-Aldrich and Bachem. Examples of natural amino acids are tryptophan and histidine. Unnatural amino acids include, 3-(indan-3-yl)-2-aminopropanoic acid, 3-(morpholin-1-yl)-2-aminopropanoic acid, 3-(piperidin-1-yl)-2-aminopropanoic acid, 3-(piperazin-1-yl)-2-aminopropanoic acid, 3-(pyridin-2-yl)-2-aminopropanoic acid, 4-(pyridin-2-yl)-2-aminobutanoic acid, 4-(imidazol-2-yl)-2-aminobutanoic acid, 4-(benzofuran-2-yl)-2-aminobutanoic acid; 3-(1,3-dithian-2-yl)-2-aminopropanoic acid and the like.

- 15 Compounds of formula 4 where X is an acid derivative, e.g., an acid chloride, can be prepared from the corresponding acids of formula 4 (X is —COOH), by chlorinating the carboxy group with a suitable chlorinating agent (e.g., oxalyl chloride, thionyl chloride and the like) in a suitable organic solvent such as methylene chloride and the like.

## 20 Method (b)

Compounds of formula I are prepared as shown in Scheme C below by reacting a piperazine of formula 7 with a compound of formula 6, followed by the removal of the amino protecting group, utilizing the reaction conditions described in method (a) above.

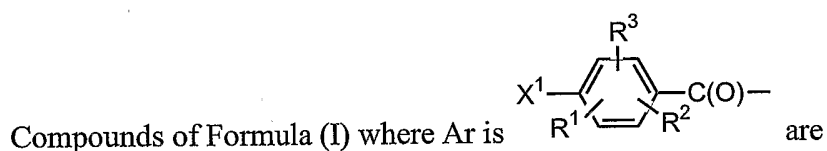
## 25 Scheme B



- 30 reaction conditions described in method (a) above. Method (b) is particularly suitable for preparing compounds of Formula IIa wherein  $R^5X$  contains an amido or a carbonyl group.

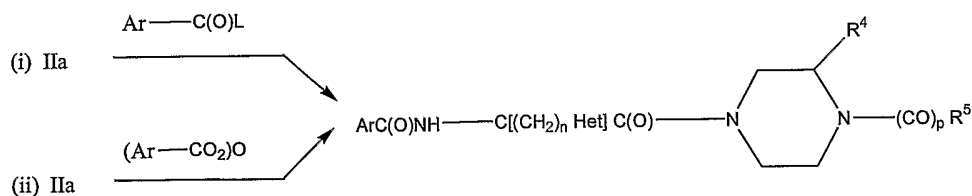
In general, compounds of formula 6 which can also be used to introduce the moiety  $[X^1(R^1)(R^2)(R^3)Ph]C(O)$  into the compound of formula I are commercially available or can be prepared by methods well known in the art. For example, arakyl halides and arakyl acids such as benzyl bromide, 3,4-dichlorobenzyl bromide, phenylacetic acids and 2-phenylpropionic acids are commercially available. Others can be prepared from suitable starting materials such as phenylacetic acid, phenylpropanol, 2-pyridineethanol, nicotinic acid etc., by following procedures described for the synthesis of compounds of formula 4 in method (a) above.

Piperazines and homopiperazines of formula 7 such as piperazine, 2 or 3-methylpiperazines and homopiperazine are commercially available. Piperazines 7 can also be prepared by following the procedures described in the European Pat. Pub. No. 0 068 544 and U.S. Pat. No. 3,267,104.



prepared as described in Scheme C below:

Scheme C



A compound of Formula (I) can be prepared, either:

(i) by reacting a compound of Formula IIa, with an acylating reagent  $Ar-C(O)L$ , wherein L is a leaving group under acylating conditions, such as a halo (particularly Cl or Br) or imidazolide. Suitable solvents for the reaction include aprotic polar solvents (e.g., dichloromethane, THF, dioxane and the like). When an acyl halide is used as the acylating agent the reaction is carried out in the presence of a non-nucleophilic organic base (e.g., triethylamine or pyridine, preferably pyridine); or

(ii) by heating a compound of formula IIa with an acid anhydride. Suitable solvents for the reaction are tetrahydrofuran, dioxane and the like; or

(iii) reacting a compound of formula IIa, or a compound of formula  $H_2NCH-((Alk)Het)C(O)Ot-Bu$  (8) with a compound of formula  $ArCHO$  in the presence of  $NaCNBH_4$ , followed by hydrolysis of the ester group, if present. Many alpha-amino acid t-butyl esters are commercially available, e.g., from Bachem.

5                    Thus, a specific value for  $R^1$  in formula I, above is H,  $(C_2-C_4)alkyl$ ,  $(C_2-C_4)alkoxy$  or  $(C_3-C_6)heterocycloalkyl$ .

                  A specific value for  $R^2$  is H.

                  A specific value for  $R^3$  is H.

                  A specific value for  $X^1$  is  $NO_2$ .

10                    A specific value for  $N(R^6)(R^7)$  is amino, diethyl amino, dipropylamino, cyclohexylamino, or propylamino.

                  A specific value for (Alk) is  $-(CH_2)-$ .

                  A specific value for  $R^4$  is  $CH_3$ .

                  A specific value for  $R^5$  is cyclopropyl.

15                    Another preferred group of compounds are compounds of formula I which are 4-N-alkanoylpiperazin-1-yl-carbonylalkylbenzamides.

                  A preferred compound of the invention is SP10 (Fig. 1).

                  In cases where compounds are sufficiently basic or acidic to form stable nontoxic acid or base salts, administration of the compounds as salts may be  
20                    appropriate. Examples of pharmaceutically acceptable salts are organic acid addition salts formed with acids which form a physiological acceptable anion, for example, tosylate, methanesulfonate, acetate, citrate, malonate, tartarate, succinate, benzoate, ascorbate,  $\alpha$ -ketoglutarate, and  $\alpha$ -glycerophosphate. Suitable inorganic salts may also be formed, including hydrochloride, sulfate,  
25                    nitrate, bicarbonate, and carbonate salts.

                  Pharmaceutically acceptable salts may be obtained using standard procedures well known in the art, for example by reacting a sufficiently basic compound such as an amine with a suitable acid affording a physiologically acceptable anion. Alkali metal (for example, sodium, potassium or lithium),  
30                    alkaline earth metal (for example calcium or magnesium) or zinc salts can also be made.

                  The compounds of formula I can be formulated as pharmaceutical compositions and administered to a mammalian host, such as a human patient in

a variety of forms adapted to the chosen route of administration, i.e., orally or parenterally, by intravenous, intramuscular, topical or subcutaneous routes, or by inhalation or insufflation.

Thus, the present compounds may be systemically administered, e.g., orally, in combination with a pharmaceutically acceptable vehicle such as an inert diluent or an assimilable edible carrier. They may be enclosed in hard or soft shell gelatin capsules as powders, pellets or suspensions or may be compressed into tablets. For oral therapeutic administration, the active compound may be combined with one or more excipients and used in the form of ingestible tablets, buccal tablets, troches, capsules, elixirs, suspensions, syrups, wafers, and the like. Such compositions and preparations should contain at least 0.1% of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 2 to about 60% of the weight of a given unit dosage form. The amount of active compound in such therapeutically useful compositions is such that an effective dosage level will be obtained.

The tablets, troches, pills, capsules, and the like may also contain the following: binders such as gum tragacanth, acacia, corn starch or gelatin; excipients such as dicalcium phosphate; a disintegrating agent such as corn starch, potato starch, alginic acid and the like; a lubricant such as magnesium stearate; and a sweetening agent such as sucrose, fructose, lactose or aspartame or a flavoring agent such as peppermint, oil of wintergreen, or cherry flavoring may be added. When the unit dosage form is a capsule, it may contain, in addition to materials of the above type, a liquid carrier, such as a vegetable oil or a polyethylene glycol. Various other materials may be present as coatings or to otherwise modify the physical form of the solid unit dosage form. For instance, tablets, pills, or capsules may be coated with gelatin, wax, shellac or sugar and the like. A syrup or elixir may contain the active compound, sucrose or fructose as a sweetening agent, methyl and propylparabens as preservatives, a dye and flavoring such as cherry or orange flavor. Of course, any material used in preparing any unit dosage form should be pharmaceutically acceptable and substantially non-toxic in the amounts employed. In addition, the active

compound may be incorporated into sustained-release preparations and devices, such as patches, infusion pumps or implantable depots.

The active compound may also be administered intravenously or intraperitoneally by infusion or injection. Solutions of the active compound or  
5 its salts can be prepared in water, optionally mixed with a nontoxic surfactant. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, triacetin, and mixtures thereof and in oils. Under ordinary conditions of storage and use, these preparations contain a preservative to prevent the growth of  
microorganisms.

10 The pharmaceutical dosage forms suitable for injection, infusion or inhalation can include sterile aqueous solutions or dispersions. Sterile powders can be prepared comprising the active ingredient which are adapted for the extemporaneous preparation of sterile injectable or infusible solutions or  
15 dispersions, optionally encapsulated in liposomes. In all cases, the ultimate dosage form should be sterile, fluid and stable under the conditions of manufacture and storage. The liquid carrier or vehicle can be a solvent or liquid dispersion medium comprising, for example, water, ethanol, a polyol (for  
example, glycerol, propylene glycol, liquid polyethylene glycols, and the like), vegetable oils, nontoxic glyceryl esters, and suitable mixtures thereof. The  
20 proper fluidity can be maintained, for example, by the formation of liposomes, by the maintenance of the required particle size in the case of dispersions or by the use of surfactants. The prevention of the action of microorganisms can be brought about by various antibacterial and antifungal agents, for example,  
parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. In many  
25 cases, it will be preferable to include isotonic agents, for example, sugars, buffers or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying  
absorption, for example, aluminum monostearate, cellulose ethers, and gelatin.

30 Sterile injectable solutions are prepared by incorporating the active compound in the required amount in the appropriate solvent with various of the other ingredients enumerated above, as required, followed by filter sterilization. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and the freeze drying

techniques, which yield a powder of the active ingredient plus any additional desired ingredient present in the previously sterile-filtered solutions.

For topical administration, the present compounds may be applied in pure form, i.e., when they are liquids. However, it will generally be desirable to  
5 administer them to the skin as compositions or formulations, in combination with a dermatologically acceptable carrier, which may be a solid or a liquid.

Useful solid carriers include finely divided solids such as talc, clay, microcrystalline cellulose, silica, alumina and the like. Useful liquid carriers include water, alcohols or glycols or water-alcohol/glycol blends, in which the  
10 present compounds can be dissolved or dispersed at effective levels, optionally with the aid of non-toxic surfactants. Adjuvants such as fragrances and additional antimicrobial agents can be added to optimize the properties for a given use. The resultant liquid compositions can be applied from absorbent pads, used to impregnate bandages and other dressings, or sprayed onto the  
15 affected area using pump-type or aerosol sprayers.

Thickeners such as synthetic polymers, fatty acids, fatty acid salts and esters, fatty alcohols, modified celluloses or modified mineral materials can also be employed with liquid carriers to form spreadable pastes, gels, ointments, soaps, and the like, for application directly to the skin of the user.

20 Examples of useful dermatological compositions which can be used to deliver the compounds of formula I to the skin are known to the art; for example, see Jacquet et al. (U.S. Pat. No. 4,608,392), Geria (U.S. Pat. No. 4,992,478), Smith et al. (U.S. Pat. No. 4,559,157) and Wortzman (U.S. Pat. No. 4,820,508).

Useful dosages of the compounds of formula I can be determined by  
25 comparing their *in vitro* activity, and *in vivo* activity in animal models. Methods for the extrapolation of effective dosages in mice, and other animals, to humans are known to the art; for example, see U.S. Pat. No. 4,938,949.

Generally, the concentration of the compound(s) of formula I in a liquid composition, such as a lotion, will be from about 0.1-25 wt-%, preferably from  
30 about 0.5-10 wt-%. The concentration in a semi-solid or solid composition such as a gel or a powder will be about 0.1-5 wt-%, preferably about 0.5-2.5 wt-%.

The amount of the compound, or an active salt or derivative thereof, required for use in treatment will vary not only with the particular salt selected

but also with the route of administration, the nature of the condition being treated and the age and condition of the patient and will be ultimately at the discretion of the attendant physician or clinician.

In general, however, a suitable dose will be in the range of from about 5 0.5 to about 100 mg/kg, e.g., from about 10 to about 75 mg/kg of body weight per day, such as 3 to about 50 mg per kilogram body weight of the recipient per day, preferably in the range of 6 to 90 mg/kg/day, most preferably in the range of 15 to 60 mg/kg/day.

The compound is conveniently administered in unit dosage form; for 10 example, containing 5 mg to as much as 1-3 g, conveniently 10 to 1000 mg, most conveniently, 50 to 500 mg of active ingredient per unit dosage form.

Ideally, the active ingredient should be administered to achieve peak plasma concentrations of the active compound of from about 0.5 to about 75  $\mu\text{M}$ , preferably, about 1 to 50  $\mu\text{M}$ , most preferably, about 2 to about 30  $\mu\text{M}$ . This 15 may be achieved, for example, by the intravenous injection of a 0.05 to 5% solution of the active ingredient, optionally in saline. For example, as much as about 0.5-3 g of a compound of formula I can be dissolved in about 125-500 ml of an intravenous solution comprising, e.g., 0.9% NaCl, and about 5-10% glucose. Such solutions can be infused over an extended period of up to several 20 hours, optionally in conjunction with other anti-viral agents, antibiotics, etc. The active ingredient can also be orally administered as a bolus containing about 1-100 mg of the active ingredient. Desirable blood levels may be maintained by continuous infusion to provide about 0.01-5.0 mg/kg/hr or by intermittent infusions containing about 0.4-15 mg/kg of the active ingredient(s).

25 The desired dose may conveniently be presented in a single dose or as divided doses administered at appropriate intervals, for example, as two, three, four or more sub-doses per day. The sub-dose itself may be further divided, e.g., into a number of discrete loosely spaced administrations; such as multiple inhalations from an insufflator or by application of a plurality of drops into the 30 eye.

The ability of a compound of the invention to act as an antiviral agent may be determined using pharmacological models which are well known to the art, or using tests described below.

The following illustrate representative pharmaceutical dosage forms, containing a compound of formula I, for therapeutic or prophylactic use in humans.

5

<u>(i) Tablet 1</u>	<u>mg/tablet</u>
SP10	100.0
Lactose	77.5
Povidone	15.0
Croscarmellose sodium	12.0
Microcrystalline cellulose	92.5
Magnesium stearate	<u>3.0</u>
	300.0

10

<u>(ii) Tablet 2</u>	<u>mg/tablet</u>
SP10	20.0
Microcrystalline cellulose	410.0
Starch	50.0
Sodium starch glycolate	15.0
Magnesium stearate	<u>5.0</u>
	500.0

20

<u>(iii) Capsule</u>	<u>mg/capsule</u>
SP10	10.0
Colloidal silicon dioxide	1.5
Lactose	465.5
Pregelatinized starch	120.0
Magnesium stearate	<u>3.0</u>
	600.0

30

<u>(iv) Injection 1 (1 mg/ml)</u>	<u>mg/ml</u>
SP10 (free base form)	1.0
Dibasic sodium phosphate	12.0
Monobasic sodium phosphate	0.7
Sodium chloride	4.5
1.0 N Sodium hydroxide solution (pH adjustment to 7.0-7.5)	q.s.
Water for injection	q.s. ad 1 mL

35

<u>(v) Injection 2 (10 mg/ml)</u>	<u>mg/ml</u>
SP10 (free base form)	10.0
Monobasic sodium phosphate	0.3
Dibasic sodium phosphate	1.1
Polyethylene glycol 400	200.0
0.1 N Sodium hydroxide solution (pH adjustment to 7.0-7.5)	q.s.
Water for injection	q.s. ad 1 mL

45

	<u>(vi) Aerosol</u>	<u>mg/can</u>
	SP10	20.0
	Oleic acid	10.0
5	Trichloromonofluoromethane	5,000.0
	Dichlorodifluoromethane	10,000.0
	Dichlorotetrafluoroethane	5,000.0

The above formulations may be prepared by conventional procedures well known in the pharmaceutical art.

The invention will be further described by reference to the following detailed examples.

**Example 1. Synthetic protocol for the compound SP010**

15 **A. [1-(1H-indol-3-ylmethyl)-2-(3-methyl-piperazin-1-yl)-2-oxo-ethyl] carbamic acid tertbutyl ester (B).**

Boc-L-Tryptophan (A) (4.556 g; 15 mmol) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (DCM) (60 ml), 1,1'-carbonyldiimidazole (CDI) (2.513 g, 15.5 mmol) was added and then the reaction mixture was stirred at RT for 100 min. 2-Methylpiperazine (1.502 g; 15 mmol) was added and stirring was continued at RT for 6 more hours. 1,2-Dichloroethane (DCE) (15 ml) was added and the organic solution was washed with 5% aq. Na<sub>2</sub>CO<sub>3</sub>, 3% aq. HCl and water, respectively. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness. The residue was solidified with diethyl ether-hexane mixture to obtain the title product (B) as a white crystalline solid (3.021 g; 52%).

25 **B. [2-(4-cyclopropanecarbonyl-3-methyl-piperazin-1-yl)-1-(1H-indol-3-ylmethyl)-2-(3-methyl)-2-oxo-ethyl] carbamic acid tertbutyl ester (C).**

The piperazine derivative obtained in the previous step (B) (3.021 g; 7.82 mmol) was dissolved in DCE (30 ml). TEA (15.64 mmol; 2.81 ml) was added followed by the addition of cyclopropanecarbonyl chloride (0.77 g; 7.43 mmol; 0.674 ml). The reaction mixture was stirred at RT for 5 hours. The organic solution was extracted with 3% aq. HCl, 3% aq. Na<sub>2</sub>CO<sub>3</sub> and with water, respectively. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness to obtain the desired product as a white solid (D) (3.245 g; 91%).

35 **C. 2-amino-1-(4-cyclopropanecarbonyl-3-methyl-piperazin-1-yl)-3-(1H-indol-3-yl)-propan-1-one (D).**

The Boc-protected amino acid derivative (C) prepared in the previous step (3.254 g; 7.16 mmol) was dissolved in DCM (5 ml). TFA (8 ml) was added while cooling in an ice-water bath. The cooling bath was removed and the reaction mixture was stirred at RT for 5 hours. The mixture was evaporated to dryness, then 10% aq. NaOH (20 ml) was added to the residue while cooling in an ice-water bath. The aqueous solution was extracted with DCE (2x30 ml) and then the combined organic phase was washed with water to neutrality. The organic solution was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness to obtain the free amine as a light yellow solid (D) (0.787 g; 32%).

10 D. N-[2-(4-cyclopropanecarbonyl-3-methyl-piperazin-1-yl)-1-(1H-indol-3-yl-methyl)-2-oxo-ethyl]-4-nitro-benzamide (SP010).

The amino-compound obtained in the previous step (D) (0.763 g; 1.62 mmol) was dissolved in DCE (30 ml), TEA (4.05 mmol; 0.565 ml) was added followed by the addition of 4-nitrobenzoyl chloride (0.256 g; 1.54 mmol). The reaction mixture was stirred at RT for 5 hours. The organic solution was extracted with 3% aq. HCL, 3% aq. Na<sub>2</sub>CO<sub>3</sub> and water respectively. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness to obtain the desired product as a yellow solid (SP010) (0.79 g; 96%). The progress of every transformation reaction was checked by TLC. The identity and the purity of the final product of each step was qualified and quantified by <sup>1</sup>H-NMR and LC-MS spectroscopy.

15  
20

**Example 2. In vitro study of the inhibition of HIV-1 IIIB replication on HeLa cells by procaine and procaine derivatives**

25 A. Methods

In order to study the viral replication *in vitro*, the GenPhar (Mt. Pleasant, SC) AV-Finder™-HIV Drug Discovery Assay was used, that consists of two components: (1) a cloned, continuous-passage HeLa cell line containing an HIV-1 tat-activated molecular switch and a Green Fluorescent Protein reporter gene and (2) a recombinant adenovirus (rAd) vector containing the genes for all three of the HIV-1 receptor/co-receptors (CD4, CXCR4, and CCR5) to transduce into HeLa cells and convert them into highly susceptible HIV-1 indicator cells for use in the assay. The indicator cells over-express the HIV-1 receptor genes and

30

are readily infected with any HIV-1 strain or isolate. All HIV-1 strains tested thus far, regardless of co-receptor preference, and all subtypes or clades of HIV-1 will infect these indicator cells. Infected cells fluoresce brightly so that the inhibition of virus replication by potential antiviral drugs can be readily detected and quantified using standard laboratory plate reader technology.

Detector plates are set up at day 1 by adding HeLa cells (3000/well) to the adenovirus AD-3R in DMEM containing CCS in 96-well plates and to incubate at 37°C under 95% humidity and 5% CO<sub>2</sub> for 2 days. Without pre-medication, at day 3, HIV-1 IIIB (200IP/well) and increasing concentrations of procaine, procainamide (both from Aldrich-Sigma), SP10, or reference compounds (AZT, ddI, 3TC) were added and incubated overnight. At day 4, the medium was replaced by fresh medium containing the corresponding concentration of the compounds of interest. The infectivity was assessed by measuring the fluorescence on each well at day 7 ( $\lambda_{emis}=485$  nm;  $\lambda_{exc}=520$  nm). With 24 hours pre-medication, increasing concentrations of procaine, procainamide, SP10 (Fig. 1) or reference compounds (AZT, ddI, 3TC) were added at day 3 and incubated overnight. At day 4, HIV-1 IIIB (200IP/well) and increasing concentrations of procaine, procainamide, SP10 or reference compounds (AZT, ddI, 3TC) were added and incubated overnight. At day 5, the medium was replaced by fresh medium containing the corresponding concentration of compounds of interest and the infectivity was assessed by measuring the fluorescence on each well at day 8. Results are expressed as percentage of inhibition of the viral replication.

Following the above described cell treatment protocol, the levels of cellular 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) reduction, a measure of mitochondrial integrity, were determined in order to examine whether the compounds tested were cytotoxic.

Procaine HCl was used either alone dissolved in water (SP01) or in an Anticort-like formulation (SP01A) containing zinc sulfate heptahydrate and ascorbic acid at the ratio of about 26-27 (26.6)/1/1-2 (1.6) (for example 200 mg procaine HCl with 7.5 mg of zinc sulfate heptahydrate and 12.5 mg of ascorbic acid; Xu, J. et al. *J Pharmacol. Exper. Ther.* 2003 307:1148-1157) (Samaritan Pharmaceuticals).

## B. Results

### **1. Effect on HIV-1 IIB viral replication. No pre-medication.**

The structures of the compounds procaine HCl (SP01), procainamide (SP100) and N-(2-(4-Cyclopropanecarbonyl-3-methyl-piperazin-1-yl)-1-(1H-indol-3-yl-methyl)-2-(oxo)-ethyl]-4-nitro-benzamide (SP10) are shown in Figure 1. SP10 was obtained from Comgenex (Budapest, Hungary). Compounds were dissolved in water or when indicated in the Anticort-like formulation (SP01A, SP100A, SP10A).

SP01 inhibited the HIV-1 IIB viral replication with a higher efficacy than the classical antiviral agent 3TC when used at concentrations up to 0.1  $\mu\text{M}$  (Fig. 2A). SP01A also inhibited viral replication in a dose-dependent manner reaching a 43% inhibition compared to 90% inhibition obtained with maximal concentrations of 3TC (Fig. 2A). Interestingly SP01 and SP01A at all concentrations tested, up to 100  $\mu\text{M}$  were devoid of cell toxicity as assessed by the MTT cytotoxicity assay, in contrast to 3TC which showed toxicity with an IC<sub>50</sub> of 71  $\mu\text{M}$ . In further studies, the antiviral agents ddI and AZT were found to be cytotoxic with IC<sub>50</sub>s of 89 and 161  $\mu\text{M}$  concentrations, respectively. Thus, future experiments and in order to be able to accurately compare the antiviral properties of the compounds under investigation to that of classical antiviral agents, concentrations ranging from pM up to 10  $\mu\text{M}$  were used. SP10 and SP10A were found to be more potent than ddI at concentrations up to 1  $\mu\text{M}$  (Fig. 2B), inhibiting viral replication by 40%. For both SP10 and SP10A the strongest inhibition was observed at 0.01  $\mu\text{M}$  inhibiting by 55.60 $\pm$ 2.12% and 50.20 $\pm$ 1.70% ( $p > 0.001$ ) respectively the viral replication compared to 26.37 $\pm$ 26.11% ( $p < 0.05$ ) inhibition observed by ddI.

### **2. Effect on HIV-1 IIB viral replication. Effects of 24 hours pre-medication.**

Except for AZT, all the compounds tested were dissolved in the Anticort-like solution. After 24 hours pre-medication, all of them displayed at a concentration or another a better efficacy than AZT on the viral replication (Fig. 3). SP01A (Fig. 3A) and SP010A (Fig. 3B) reduced viral replication in a more dramatic manner compared to AZT reaching a plateau of 63% and 52% inhibition for SP01A and SP10A respectively, compared to 32% inhibition by

AZT. The peak of the inhibitory activity observed was 0.03 nM for SP01A and SP010. SP100 was also effective but at the same extent as AZT (Fig. 3C).

### 3. Effect on HIV-1 IIB viral replication. Effects of 48 hours pre-medication.

Forty-eight hours pretreatment with SP01 inhibited by 75% HIV  
5 replication at all concentrations tested (Fig. 4A). Under the same protocol AZT inhibited the HIV replication in a dose-dependent manner with an IC<sub>50</sub> of 30 nM. 48 hours pretreatment with SP01A also inhibited viral replication (Fig. 4B) and the same was true for SP010 which inhibited with an IC<sub>50</sub> of 0.01 nM (Fig. 4C).

### 10 4. Effect on HIV MDR 769 viral replication. Effects without pre-medication.

As expected AZT was not effective in inhibiting the HIV MDR 769  
strain replication (Fig. 5 A,B,C). SP01 inhibited by 75% the HIV MDR 769 viral  
15 replication at concentrations up to 1 nM. At higher concentrations the compound was not effective. In contrast SP01A effectively inhibited the MDR HIV strain replication at all concentrations tested, reaching up to 80% inhibition. SP010 also inhibited the replication of the MDR HIV strain although with a maximal efficacy reaching 50%.

## 20 Example 3. Clinical Study

### A. Methodology

#### 1. Ethical conduct of the study

This study was conducted in accordance with ethical principals that are consistent with good clinical practice and applicable regulatory requirements.

#### 25 2. Study drug and doses administered

Capsules of 200 mg Procaine HCl were supplied by Samaritan  
Pharmaceuticals in a formulation containing procaine HCl, zinc sulfate  
heptahydrate (to decrease the rate of absorption of procaine), ascorbic acid (as an  
antioxidant), potassium benzoate, and disodium phosphate and sodium sorbate  
30 as a preservative. The dose was determined by prior studies of the bioavailability of procaine HCl and the doses used in previous studies of procaine HCl in the treatment of depression in elderly persons (Whalen et al. *J. Clin. Epidemiol.*

1994 47: 537-546; Cohen et al., *Psychosomatics* 1974 15: 15-19; Sakalis et al. *Current Therapeutic Research* 1974 16: 59-63).

### 3. Selection of study population

Eligible patients were  $\geq 18$  years, HIV-1 positive (cohorts A, B, C, D);  
5 on stable triple antiretroviral regimen for the preceding 8 weeks; with current  
CD4 counts  $>200/\text{mm}^3$ .

### 4. Study design

The study was a non-randomized, Phase II, open-label, single  
investigative center, eight-week study sequentially using four doses of orally  
10 administered procaine HCl: 200 mg (cohort A), 400 mg (cohort B), 600 mg  
(cohort C) and 800 mg (cohort D). Six subjects were enrolled per cohort. During  
the screening phase of the study, subjects previously diagnosed with HIV-1  
provided written informed consent. Each potential participant underwent  
complete medical history, and all medications taken within the past 3 months  
15 and any current medications were reviewed. Each potential participant  
underwent clinical laboratory tests, including RNA PCR to determine viral load  
as well as infection screening (HIV antibody test).

Patients returned on Day 7 to begin the 8 weeks of medication  
administration. They were given daily medication diaries to record when they  
20 are taking their study medication. Subjects underwent complete clinical and  
biological examinations. HIV negative subjects were discharged, having  
completed their part of the study. In the subsequent visits of weeks 2, 3, 4, 6, 9  
(last dose of medication), each subject underwent clinical laboratory tests,  
including viral load by NASBA. Patients received their last dose of medication  
25 on day 64. Patients returned at week 11 (end of study) for complete laboratory  
tests.

### 5. Efficacy variables: viral load measurements

Viral load was measured by NASBA Assay (Using Nuclisens assay from  
Organon Technica®) with a lower limit of detection of 50 copies/ml, banked  
30 samples were stored at  $-70^{\circ}\text{C}$ .

## 6. Statistical Methods

For each dose level (A-D), changes (week 9 - baseline) in efficacy variables were tested for significance using a paired Student t-test (two sided). Analyses of variance (ANOVA) and analyses of covariance (ANCOVA) were conducted to compare the changes in safety and efficacy (covariate = baseline values) variables across the four dose levels, respectively. In addition, regression analyses were conducted to test for a linear trend in efficacy variables across the four dose levels. Changes from baseline to week 9 for all four dose levels combined were tested using paired t-tests. Similar analyses were conducted for changes from week 9 to week 11 to assess potential "rebound effects" after the drug was removed. Mixed effects modeling procedures were used to test for linear and quadratic trends across all study visits. Finally, subgroup analyses which combined low vs. high dose levels were also conducted. The significance level was set at 0.05. Statistical analyses utilized SAS v9.0 (Carey, NC).

The results obtained *in vitro* were analyzed by ANOVA followed by a Dunnett's test.

## 7. Demographics

30 male patients entered the study, of whom 24 received procaine HCl; there were 12 Caucasian, 7 Hispanic, 9 black, 1 Asian, 1 self-defined as "other." Mean age was of 42 (38-49) years Cohort A, 46 (39-52) cohort B, 40 (34-60) cohort C and 42 (37-52) cohort D, years. All subjects completed the protocol but one (cohort A) who left the study on day 7 after receiving one dose of study drug and was not replaced.

### B. Efficacy evaluation

#### 1. Viral load (Table 1)

Because the subjects in the study had to be on HAART, the majority of subjects entered with undetectable viral load measures. But for the patients in the study with detectable viral loads, viral load measures tended to decrease over time. In an attempt to obtain additional measures of viral load changes, stored samples from patients who had undetectable viral loads were run using the more sensitive FDA approved NASBA assay which has a lower limit of detection (50 copies/ml). Results from these assays are shown in Table 1.

Table 1. Mean Changed Values Across Cohort and All cohort combined in Viral Load

	Cohort A			Cohort B			Cohort C			Cohort D			Linear Trend		
	Mean	SD	P*	Mean	SD	P*	Mean	SD	P*	Mean	SD	P*		P-value**	
<b>A. From Baseline to Week 9</b>															
Viral Load†	-0.52	0.98	0.30	-0.21	0.65	0.51	-0.79	0.42	0.03	-0.54	1.46	0.41	0.23	0.78	
2 patients omitted from analysis	-0.64	2.15	0.60	0.48	1.49	0.51	-1.82	0.97	0.03	-0.10	2.10	0.92	0.40	0.87	
<b>B. From Week 9 to Week 11</b>															
Viral Load†	-0.48	0.61	0.21	-0.35	0.28	0.047	0.54	1.09	0.39	0.38	0.48	0.11	0.10	0.02	
2 patients omitted from analysis	-1.10	1.71	0.38	-0.80	0.63	0.47	1.25	2.51	0.39	1.04	1.15	0.11	0.09	0.03	
<b>C. All Cohort combined</b>															
	Change values of week 9 from baseline			Change values of week 11 from week 9			Change values of week 11 from week 9			Change values of week 11 from week 9					
	Mean	SD	P-value*	Mean	SD	P-value*	Mean	SD	P-value*	Mean	SD	P-value*	Mean	SD	P-value*
Viral load PCR I†	-0.50	0.96	0.03	0.04	0.73	0.81	0.04	0.73	0.03	0.04	0.73	0.81	0.04	0.73	0.81
With 2 patients omitted from analysis	-0.71	1.72	0.10	0.17	1.76	0.69	0.17	1.76	0.10	0.17	1.76	0.69	0.17	1.76	0.69
Viral load PCR II†	-0.51	0.83	0.03	0.09	0.79	0.62	0.09	0.79	0.03	0.09	0.79	0.62	0.09	0.79	0.62
With 2 patients omitted from analysis	-0.72	1.28	0.01	0.31	1.89	0.51	0.31	1.89	0.01	0.31	1.89	0.51	0.31	1.89	0.51

† Log transformed Polymerase Chain reaction values, PCR I = all measures; PCR II = only viral load less than 400 copies/ml; \* Two-sided paired t-test; \*\* Ancova: adjusted for baseline value.

The results are presented using two approaches: first all measurements obtained by the more sensitive assay were used, even if they were over 400, and second, a second analysis was performed using only values from the more sensitive assay, if the new value was less than 400. Analysis of data from the more sensitive assays revealed no significant differences across treatment groups ( $p=0.23$  for update I, and  $p=0.10$  for update II), as well as no significant linear trend across dose levels ( $p=0.78$  for update I and  $p=0.44$  for update II). All four groups exhibited decreases in mean viral load. Comparison of mean changes from week 9 to week 11 (i.e., the post drug administration period), showed that there was a rebound effect seen at the two higher dose groups (C and D) using the more sensitive assay as noted by the significant linear trend ( $p=0.02$  for update I,  $p=0.01$  for update II, Table 1b). As shown in Table 1c, which compares mean changes for all dose groups combined, there was a statistically significant decrease in mean viral load using the more sensitive assays ( $p=0.03$  for update I,  $p=0.01$  for update II). The original viral load measures also showed a more modest decrease that did not reach statistical significance ( $p=0.22$ ). No rebound effect was noted ( $p>0.62$  for all three analyses). Because two patients changed their antiretroviral therapy during the study, there were some chances that these two patients contributed excessively to the viral load changes seen. Analyses were redone with these two patients omitted. Again in the baseline to week 9 analysis across doses, most groups had a decrease in viral load. Also, from week 9 to week 11 viral load increased, the greatest increase being in the highest doses groups. In conclusion there was a reduction of viral load of about one half log in all groups in the baseline to week 9 analysis. Interruption of drug treatment resulted in a rebound at the two higher doses.

### C. Discussion

Procaine (SP01), Procainamide (SP100) and SP010 reduce HIV-1 IIIIB replication in human cells with an efficacy higher than AZT, ddI or 3TC. In an experimental protocol without pre-medication, an inhibition of HIV-1 IIIIB replication by these compounds was observed up to 50% with concentrations in the nanomolar range and there was not a major difference between the compounds dissolved in water compared to those dissolved in the Anticort formulation (SP01A, SP010A and SP100A). Surprisingly, within the range of 1

nM to 1  $\mu$ M, SP010 displayed a higher efficacy than ddI in inhibiting viral replication.

In order to assess whether the virus was the direct target of the compounds or another mechanism is mediating the effect of these compounds on viral replication, the HeLa cells were pre-medicated for 24 hours with the different compounds in Anticort-like solution before the virus was added. Interestingly, the effect obtained was much stronger than without pre-medication and with concentrations in the picomolar range. The curve plateau was at more than 63% inhibition for SP01A, 52% for SP010A whereas it was around 32% for AZT. SP100A was less effective than AZT. In addition, the anti-viral activity of SP010A peaked up to 65% inhibition of the replication at 30 pM, and below 60% for SP01A whereas at the same concentration the inhibitory effect of AZT did not reach 30 %.

Preincubation of the cells with the compounds under investigation for a 48 hours time period had even more pronounced effects, up to 80% inhibition of viral replication, even at picomolar concentrations. This difference in efficacy displayed after pre-medication versus no pre-medication suggests that the compounds under investigation may not directly target the virus but, more likely, modify the sensitivity of the cells to the virus entry, rendering them more resistant. Several observations established that inhibitors of cholesterol synthesis inhibit cell fusion formation induced by HIV-1 (Srivinas et al., *AIDS Res Hum retrovir*, 1994 **10**: 1489-1496) and that drugs extracting cholesterol from the cellular membrane exert an anti-HIV effect *in vitro* (Sarin et al., *N Engl J Med*, 1985 **313**: 1289-1290; Liao et al., *AIDS Res Hum retrovir*, 2001 **17**: 1009-1019; Maccarrone et al., *J Neurochem*, 2002 **82**(6): 1444-1452). In addition, it has been demonstrated that pre-incubation of procaine decreased the cholesterol synthesis rate limiting HMG-CoA mRNA expression induced by hormonal stimulation in mice and human adrenal cells (Xu et al., *J Pharmacol Exp Therap*, 2003 **307**:1147-1157).

These data suggest that procaine and procaine based compounds containing or derived from the SP01, SP010 and SP100 compounds reduce the HIV virus replication by modifying the cholesterol content of the cell membrane, rendering it much more difficult, even impossible, for the virus to entry and

infect the cell. If this is true then it is believed that, in contrast to the classical anti-viral agents, such AZT, 3TC and ddI, SP01, SP10 and SP100 should be effective in blocking the HIV MDR 769 virus replication, due to reduced infectivity of the cells. Indeed, although AZT was ineffective in blocking HIV MDR 769 virus replication, SP01, SP010 and SP100 effectively blocked the replication of the virus/infectivity of the cells.

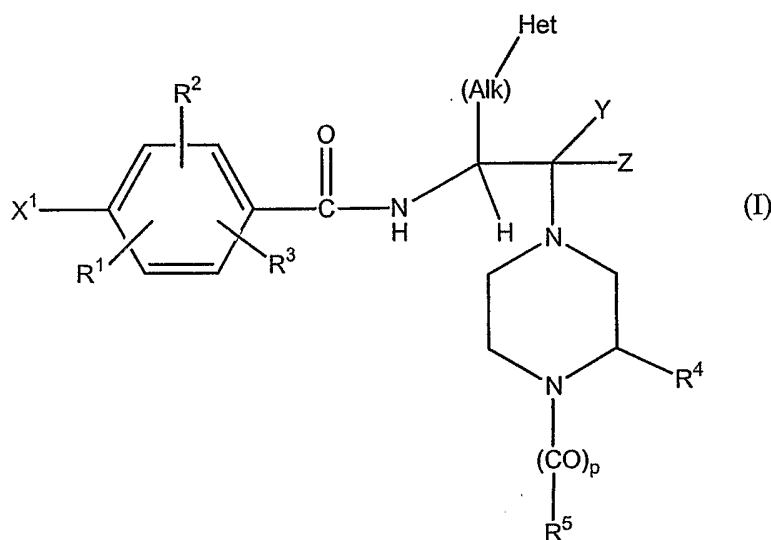
In a clinical setting, administration of procaine (SP01) in the Anticort formulation (SP01A) also caused a significant decrease in viral load of about 0.5 log between baseline and study end in patients under HAART therapy. The determination of viral load was made using a more sensitive assay, which compares favorably with many current NRTI medications.

In conclusion, the data herein demonstrates the ability of procaine, procainamide and the benzamide derivative SP010 to provide new anti-retroviral therapy efficacious either alone or in combination with HAART and mega HAART therapies. These results suggest that these compounds act most likely on mammalian cells by increasing their resistance to the virus entry rather than acting directly on the virus itself. Although the mechanism of action is not fully understood, an agent that acts on the host cells rather than directly on the virus can lower the rate of emergence of resistant strains and therefore to increase the efficacy of the current anti-retroviral therapies. The addition of oral procaine HCl in the Anticort formulation to the stable triple antiretroviral regimen of HIV+ patients demonstrated a reduction of viral load and an improvement in patient quality of life after just 9 weeks treatment. The finding that procaine in Anticort reduced the viral load in patients under HAART therapy, where viral load is supposed to be maximally suppressed, is in agreement with the *in vitro* studies presented above and indicates that the family of compounds disclosed in the present invention are beneficial in cases of resistance to triple antiretroviral therapy in HIV+ patients.

All publications, patents, and patent documents are incorporated by reference herein, as though individually incorporated by reference. The invention has been described with reference to various specific and preferred  
5 embodiments and techniques. However, it should be understood that many variations and modifications may be made while remaining within the spirit and scope of the invention.

**WHAT IS CLAIMED IS:**

1. A method for treatment of a mammal threatened or afflicted by an infectious pathogen, such as a bacteria or virus, by administering to said  
 5 mammal an effective amount of a compound of formula I:



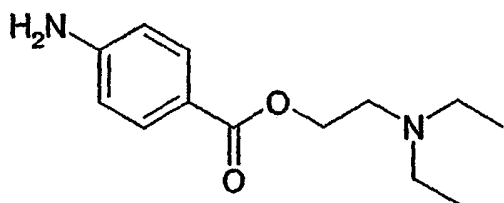
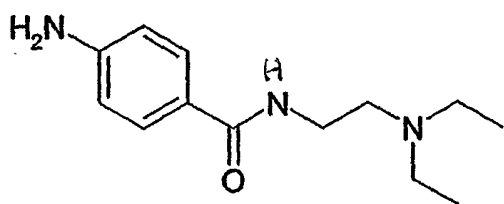
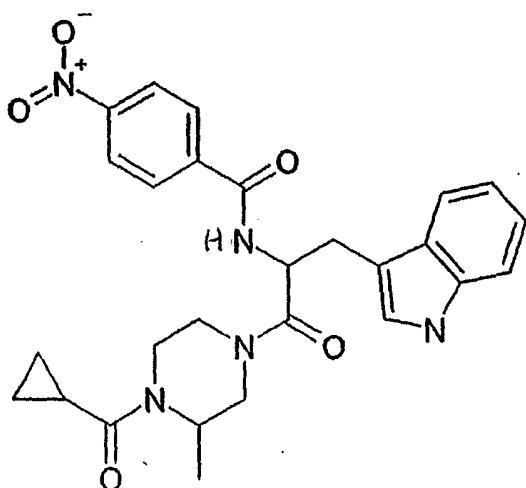
wherein:

- a)  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are individually H, OH, halo, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-  
 10 C<sub>6</sub>)alkoxy, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl((C<sub>1</sub>-C<sub>6</sub>)alkyl), (C<sub>2</sub>-C<sub>6</sub>)alkenyl,  
 (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkanoyl, halo(C<sub>1</sub>-C<sub>6</sub>)alkyl, hydroxy(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>1</sub>-  
 C<sub>6</sub>)alkoxycarbonyl; (C<sub>1</sub>-C<sub>6</sub>)alkylthio or (C<sub>1</sub>-C<sub>6</sub>)alkanoyloxy; or  $R^1$  and  $R^2$   
 together are methylenedioxy;
- b)  $X^1$  is, NO<sub>2</sub>, CN, -N=O, (C<sub>1</sub>-C<sub>6</sub>)alkyl(C(O)NH-, isoxazolyl, or  
 15 N( $R^6$ )( $R^7$ ) wherein  $R^6$  and  $R^7$  are individually, H, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl,  
 (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl((C<sub>1</sub>-C<sub>6</sub>)alkyl), wherein cycloalkyl  
 optionally comprises 1-2, S, nonperoxide O or N( $R^8$ ), wherein  $R^8$  is H, (C<sub>1</sub>-  
 C<sub>6</sub>)alkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl or benzyl; aryl,  
 aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, aryl(C<sub>2</sub>-C<sub>6</sub>)alkenyl, heteroaryl, heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl, or  $R^6$   
 20 and  $R^7$  together with the N to which they are attached form a 5- or 6-membered  
 heterocyclic or heteroaryl ring, optionally substituted with  $R^1$  and optionally  
 comprising 1-2, S, non-peroxide O or N( $R^5$ );
- c) Alk is (C<sub>1</sub>-C<sub>6</sub>)alkyl;

- d) X and Z are =O, -O(CH<sub>2</sub>)<sub>m</sub>O- or -(CH<sub>2</sub>)<sub>m</sub>- wherein m is 2-4, or X is H and Z is OH or SH;
- e) Het is heteroaryl or heterocycloalkyl, each optionally substituted by 1, 2 or 3 of R<sup>1</sup> or a combination thereof or is a bond connecting (Alk) to NH;
- 5 f) p is 0 or 1; and the pharmaceutically acceptable salts thereof.
2. The method of claim 1 wherein the amount is effective to inhibit entry of the pathogen or a subunit thereof into the cells.
- 10 3. The method of claims 1 or 2 wherein the pathogen is a virus.
4. The method of claims 1-4 wherein the pathogen is a retrovirus.
5. The method of claims 1-4 wherein the pathogen is HIV.
- 15 6. The method of claims 1-5 wherein the cells are contacted *in vitro*.
7. The method of claims 1-5 wherein the cells are contacted *in vivo*.
- 20 8. The method of claim 7 wherein the compound of formula I is administered to a human.
9. The method of claim 7 wherein the human has been exposed to a virus.
- 25 10. The method of claim 7 wherein the human has been exposed to a retrovirus.
11. The method of claim 10 wherein the human is HIV-positive.
- 30 12. The method of claims 10-11 wherein the human is an AIDS patient.
13. The method of claims 1-12 wherein (Alk) is (C<sub>1</sub>-C<sub>4</sub>)alkyl, such as -(CH<sub>2</sub>)-, -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>3</sub>- or -(CH<sub>2</sub>)<sub>4</sub>-.

14. The method of claims 1-13 wherein both of R<sup>4</sup> and R<sup>5</sup> are (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl or (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, preferably (C<sub>1</sub>-C<sub>4</sub>)alkyl or (C<sub>3</sub>-C<sub>6</sub>)cycloalkyl.
- 5
15. The method of claims 1-14 wherein 1 or 2 of R<sup>1</sup>, R<sup>2</sup> or R<sup>3</sup> is H or (C<sub>1</sub>-C<sub>6</sub>)alkoxy, preferably (C<sub>1</sub>-C<sub>3</sub>)alkoxy.
16. The method of claims 1-15 wherein X and Z are =O.
- 10
17. The method of claims 1-16 wherein p is 1.
18. The method of claims 1-17 where Het is 1H-indol-3-yl or imidazolin-3-yl.
- 15
19. The method of claims 1-5 and 7-18 wherein the compound of formula I is administered orally to a mammal, such as a human.
- 20
20. The method of claims 1-5 and 7-19 wherein the compound of formula I is administered parenterally, as by injection, infusion, inhalation or insufflation, to a mammal, such as a human.
21. The method of claims 1-5 and 7-20 wherein the compound of formula (I) is administered in combination with a pharmaceutically acceptable carrier.
- 25
22. The method of claims 1-5 and 7-21 wherein the carrier is a liquid, such as a solution, suspension or gel.
23. The method of claims 1-5 and 7-21 wherein the carrier is a solid.
- 30
24. The method of claims 21-23 wherein the carrier comprises zinc sulfate heptahydrate and ascorbic acid.

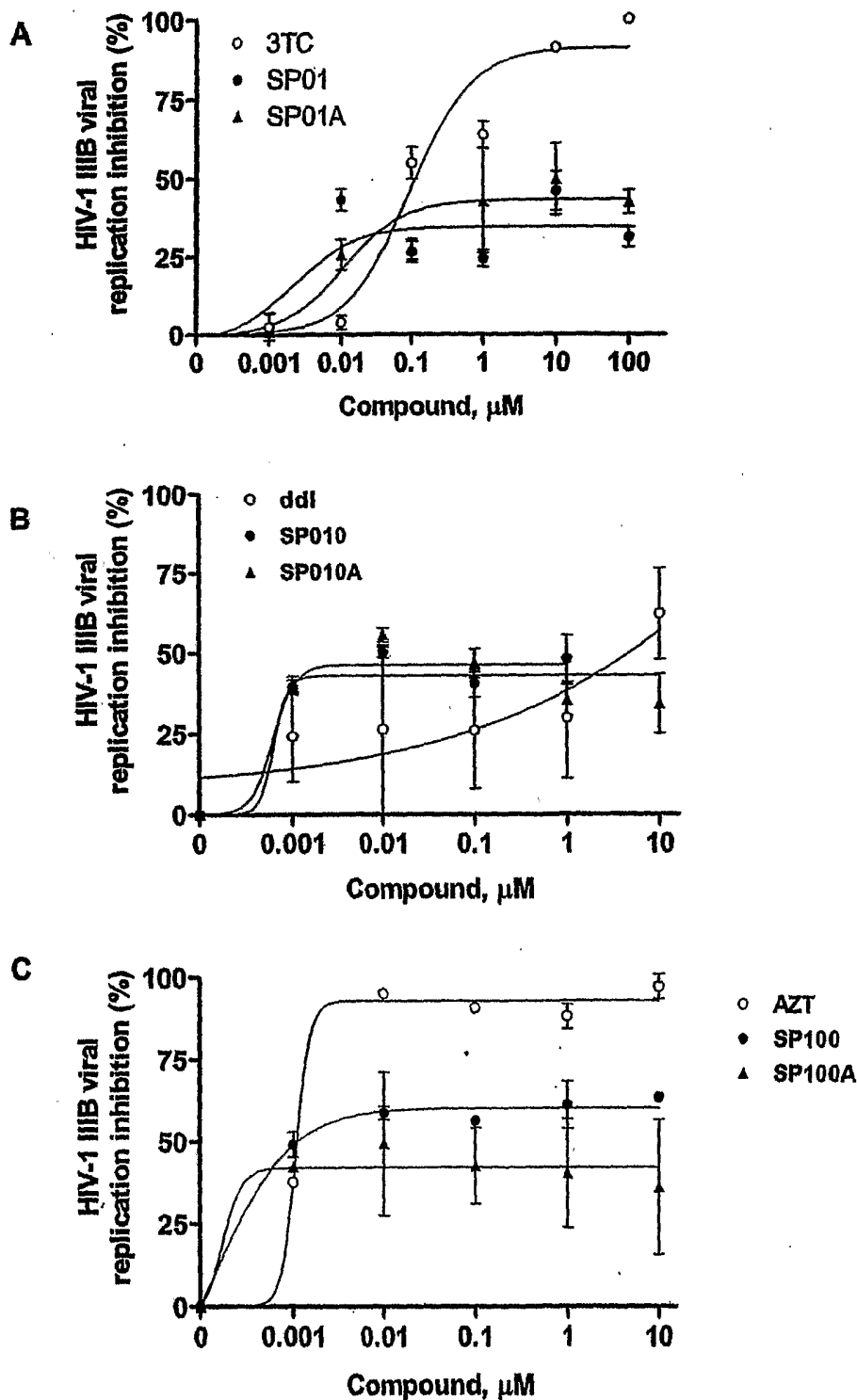
25. The method of claims 1-23 wherein the compound of formula I is N-[2-((4-cyclopropylcarbonyl)-3-methylpiperazin-1-yl)-1-(1H-indol-3-yl-methyl)-2-(oxo)ethyl]-4-nitrobenzamide.
- 5 26. A dosage form comprising a compound of formula I in combination with a pharmaceutically acceptable carrier.

**Figure 1****Chemical structure of the compounds tested****SP01 (Procaine)****SP100 (Procainamide )****SP010**

2/6  
**Figure 2**

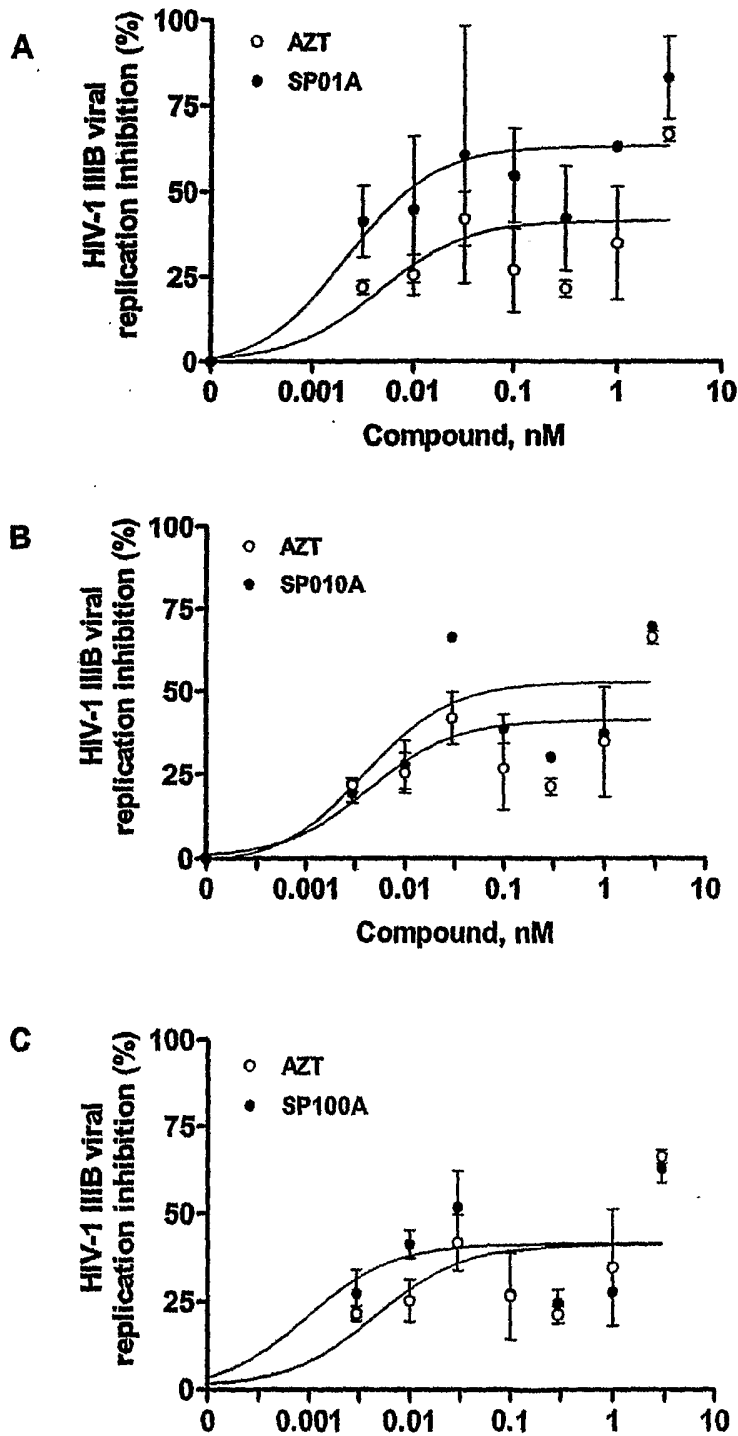
Inhibitory effect of SP01, SP010 and SP100 on the HIV-1 IIIIB strain replication in HeLa cells. Compounds were tested either alone or in an Anticort-like formulation (A).

3TC, ddl and AZT are standard anti-viral compounds.



**Figure 3**<sup>3/6</sup>

Inhibitory effect of 24-hour SP01, SP010 and SP100 pre-medication on the HIV-1 IIIB strain replication in HeLa cells. Compounds were tested in an Anticort-like formulation (A). AZT is a standard anti-viral compound.



**Figure 4**<sup>4/6</sup>

Inhibitory effect of 48-hour SP01, SP010 and SP100 pre-medication on the HIV-1 IIB strain replication in HeLa cells. AZT is a standard anti-viral compound.

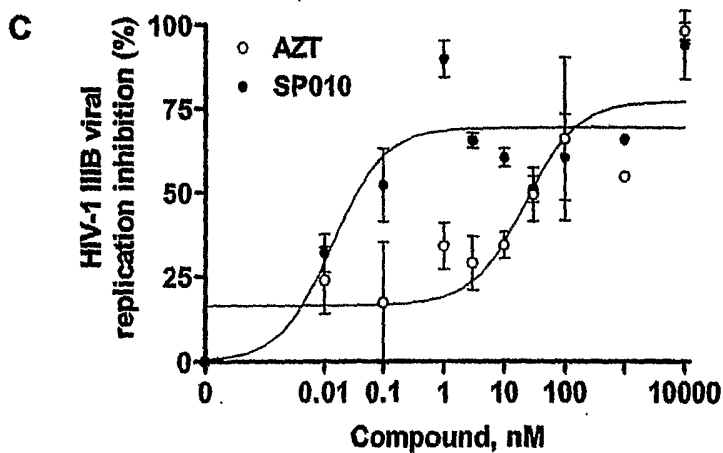
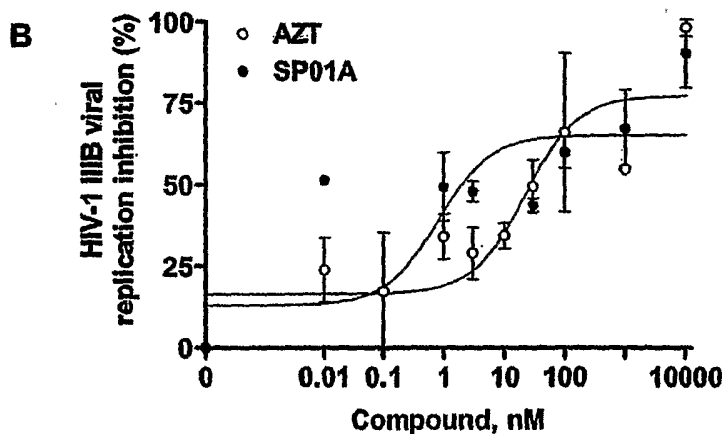
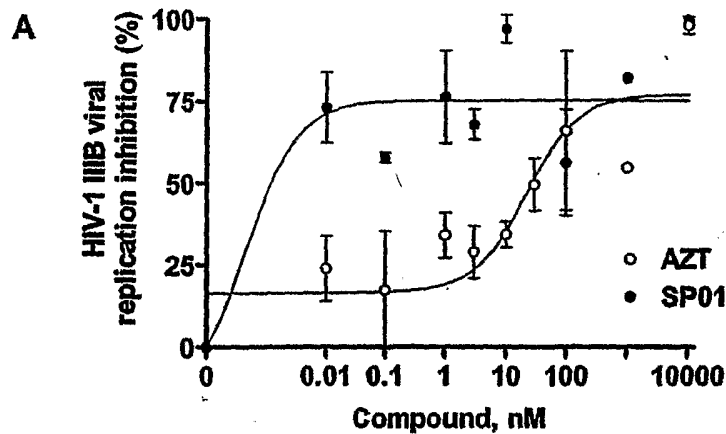


Figure 5<sup>5/6</sup>

Inhibitory effect of SP01, SP01A and SP010 on the multi-drug resistant HIV MDR-769 strain replication in HeLa cells. AZT is a standard anti-viral compound.

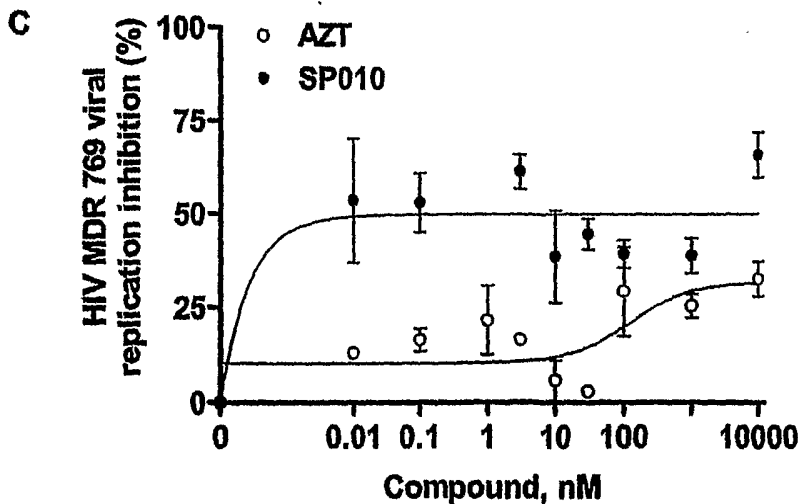
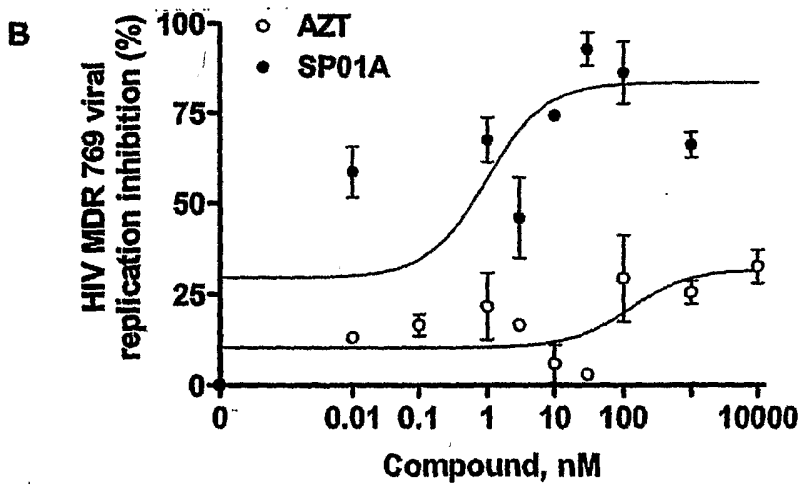
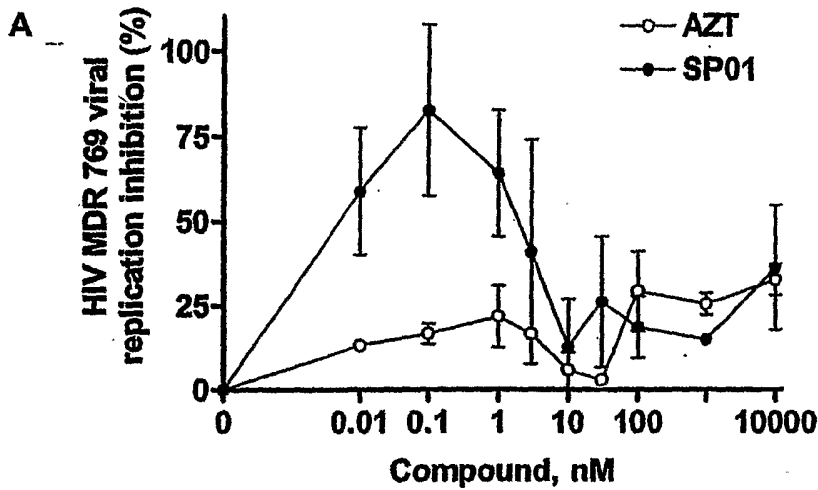


Fig. 6. Synthetic Protocol for the compound SP010

Synthetic scheme