

(19) **DANMARK**



Patent- og  
Varemærkestyrelsen

(10) **DK/EP 3716998 T3**

(12) **Oversættelse af  
europæisk patentskrift**

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- (51) Int.Cl.: **A 61 K 38/57 (2006.01)** **A 61 P 37/06 (2006.01)**
- (45) Oversættelsen bekendtgjort den: **2024-04-02**
- (80) Dato for Den Europæiske Patentmyndigheds bekendtgørelse om meddelelse af patentet: **2024-01-10**
- (86) Europæisk ansøgning nr.: **18821851.5**
- (86) Europæisk indleveringsdag: **2018-11-30**
- (87) Den europæiske ansøgnings publiceringsdag: **2020-10-07**
- (86) International ansøgning nr.: **US2018063179**
- (87) Internationalt publikationsnr.: **WO2019108865**
- (30) Prioritet: **2017-12-01 US 201762593446 P** **2018-09-10 US 201862729376 P**
- (84) Designerede stater: **AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR**
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- (54) Benævnelse: **A1AT TIL REDUCERING AF RISIKOEN FOR DEBETÆNDELSE AF AKUT GRAFT VERSUS VÆRTS-SYGDOM EFTER HÆMATOPOEITISK CELLETRANSPLANTATION**
- (56) Fremdragne publikationer:  
**WO-A1-2017/117558**  
**US-A1- 2012 045 449**  
**I. TAWARA ET AL: "Alpha-1-antitrypsin monotherapy reduces graft-versus-host disease after experimental allogeneic bone marrow transplantation", PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, vol. 109, no. 2, 27 December 2011 (2011-12-27), pages 564-569, XP055080303, ISSN: 0027-8424, DOI: 10.1073/pnas.1117665109**  
**MARCONDES A MARIO Q ET AL: "Alpha 1 Anti-Trypsin (AAT) Offers Potent Therapy for Steroid Resistant Gut Gvhd: Interim Results of a Phase I/II Clinical Study", BLOOD, AMERICAN SOCIETY OF HEMATOLOGY, US, vol. 124, no. 21, 1 December 2014 (2014-12-01), page 3927, XP009193721, ISSN: 0006-4971, DOI: 10.1182/BLOOD-2014-04-570440**



# DESCRIPTION

## TECHNICAL FIELD

**[0001]** This disclosure relates to methods for reducing the risk of development of graft versus host disease (GVHD) in patients receiving hematopoietic cell transplantation (HCT) by particular methods of administering alpha-1 antitrypsin (abbreviated A1AT or AAT or A1-PI) to patients both prior to and following a HCT procedure.

## BACKGROUND AND INTRODUCTION

**[0002]** Acute graft versus host disease (aGVHD) is a leading cause of death in patients undergoing hematopoietic cell transplantation (HCT), particularly allogeneic HCT. Most cases of aGVHD occur about 20-30 days after an HCT procedure, but aGVHD may occur up to 100 days after a procedure and the symptoms in some cases may persist beyond the 100 day mark. (See, e.g., CG Kanakry et al., J. Clin. Oncol. 32(31): 3497-3505 (2014); reporting a median of 34 days for the onset of Stage II to IV aGVHD symptoms with a range of 15-88 days.) aGVHD typically involves three organ systems: the skin, the gastrointestinal (GI) tract, and the liver. Symptoms include a maculopapular skin rash, diarrhea, vomiting, nausea, abdominal cramps, and jaundice due to hyperbilirubinemia. aGVHD is staged into four different grades or stages (I-IV) with IV being the most severe. Patients with Stage IV aGVHD have less than a 10% chance of survival, while those with Stage III have about a 30% survival rate, and those with Stage II and I have about an 80% and 90% chance of survival, respectively. (MC Pasquini, Best Pract. Res. Clin. Hematol. 21(2): 193-204 (2008).)

**[0003]** The risk of developing aGVHD after a HCT procedure depends on several factors including whether the donor is or is not related to the recipient, whether there is any mismatch in human leukocyte antigens (HLA) between the donor and recipient, and the type of pre-conditioning regimen that the recipient underwent prior to the HCT procedure. (See, e.g., DA Jacobsohn and GB Vogelsang, Orphanet J. Rare Dis. 2: 35 (doi.10.1186/1750-1172-2-35 (2007).) In addition to aGVHD's life-threatening nature, it has also proven difficult to treat, with about 50% of allogeneic HCT patients developing aGVHD even with the currently available treatments. (See *Id.*; SZ Pavletic & DH Fowler Hematology Am. Soc. Hematol. Educ. Program 2012: 251-65 (2012).) Current treatments focus, for example, on steroids such as methylprednisone and methylprednisolone as well as immunosuppressants such as methotrexate and calcineurin inhibitors. Not only do these treatments show limited success, their T cell suppressive activity risks negatively affecting the HCT graft. Thus, patients need other approaches to treating aGVHD and also preventing its onset after a HCT procedure that should not negatively impact the HCT graft.

**[0004]** WO 2017/117558 discloses a method for treating GvHD in a subject comprising

identifying a subject having GvHD; identifying the subject having GvHD as having steroid-refractory GvHD of grade III or grade IV; and administering a pharmaceutically acceptable formulation of a composition comprising AAT, a recombinant thereof or a RCL mutant thereof or a carboxyterminal fragment of AAT thereof; and a pharmaceutically acceptable excipient to the subject over a 1 day to 60-day administration period, wherein the composition is administered to the subject on day 1 of the administration period at a higher concentration dose than administered in one or more follow-on concentration doses thereby treating the subject. US 2012/045449 discloses a method for treating or preventing GvHD in a subject in need thereof comprising administering to the subject a composition comprising AAT or mutant thereof or a carboxyterminal derivative thereof. Tawara et al. suggests that administration of AAT represents a viable strategy to mitigate clinical GvHD (Tawara et al. Proc Natl Acad Sci USA 2011, 109 (2): 564). Marcondes et al. suggests that administration of AAT as salvage therapy for steroid resistant gut GVHD is feasible without clinically relevant toxicity (Marcondes et al. Blood (2014) 124 (21): 3927).

**[0005]** Alpha-1 antitrypsin (A1AT) is a protease inhibitor and member of the serpin family of proteins. It is currently indicated for A1AT deficiency replacement therapy, for example at weekly dosages of 60 mg/kg. A1AT binds to enzymatic targets such as neutrophil elastase and has been shown to have anti-inflammatory, anti-neutrophil influx and activation, and anti-apoptotic effects on cells. Furthermore, A1AT is not a T-cell suppressant and instead supports a tolerogenic hematopoietic profile. The present disclosure relates, *inter alia*, to particular methods and dosage regimes using relatively high dosages of A1AT both prior to and following an HCT procedure for reducing the risk of onset of aGVHD after the procedure.

## SUMMARY

**[0006]** The invention is defined by the appended claims.

**[0007]** The present disclosure includes alpha-1 antitrypsin (A1AT) for use in methods of reducing the risk of onset of acute graft versus host disease (aGVHD) in a human subject receiving hematopoietic cell transplantation (HCT) comprising administering alpha-1 antitrypsin (A1AT) according to the following schedule: (a) administering a dose of at least 120 mg/kg A1AT to the subject at least one day prior to an HCT procedure; (b) administering a dose of at least 90 mg/kg A1AT to the subject at least twice weekly following HCT for at least 4 weeks, which may optionally be followed by a dose of at least 90 mg/kg A1AT at least once weekly for at least an additional 4 weeks. In some embodiments, the following schedule is used: (a) administering a dose of at least 120 mg/kg A1AT to the subject at least one day prior to an HCT procedure; (b) administering a dose of at least 90 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks, which may optionally be followed by a dose of at least 90 mg/kg A1AT once weekly for at least an additional 4 weeks. This A1AT dosage regime, in some embodiments, is given in combination with at least one immunosuppressive agent.

**[0008]** In some embodiments, part (a) above comprises administering a dose of 120, 130,

140, 150, 160, 170, 180, 190, 200, 220, 240, 260, 280, or 300 mg/kg A1AT to the subject at least one day prior to an HCT procedure. In some embodiments, more than one such dose can be administered prior to the HCT procedure, such as within 3 months, 2 months, 1 month, 14 days, or 7 days of the HCT procedure. In some embodiments, part (a) comprises administering a dose of at least 120 mg/kg A1AT to the subject one day, two days, or three days prior to an HCT procedure. In some such embodiments, a dose of at least 120 mg/kg A1AT is administered to the subject one day prior to an HCT procedure.

**[0009]** In some embodiments, part (b) above comprises administering a dose of 90, 100, 110, 120, 130, 140, 150, 160, 180, or 200 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks. This is optionally followed by administering a dose of 90, 100, 110, 120, 130, 140, 150, 160, 180, or 200 mg/kg A1AT once weekly for at least an additional 4 weeks.

**[0010]** This disclosure also contemplates methods of reducing the risk of onset of acute graft versus host disease (aGVHD) in a human subject receiving hematopoietic cell transplantation (HCT) comprising administering alpha-1 antitrypsin (A1AT) according to the following schedule: (a) administering a dose of 120 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 90 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks. This may optionally be followed by a dose of 90 mg/kg A1AT once weekly for at least an additional 4 weeks. And the above A1AT regime can optionally be given in combination with at least one immunosuppressive agent.

**[0011]** The disclosure further contemplates methods of reducing the risk of onset of acute graft versus host disease (aGVHD) in a human subject receiving hematopoietic cell transplantation (HCT) comprising administering alpha-1 antitrypsin (A1AT) according to the following schedule: (a) administering a dose of 180 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 120 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks. This may optionally be followed by a dose of 120 mg/kg A1AT once weekly for at least an additional 4 weeks. And the above A1AT regime can optionally be given in combination with at least one immunosuppressive agent.

**[0012]** In any of the methods described above, administration of A1AT can continue for at least 100 days after an HCT procedure. In embodiments where an immunosuppressive is given, the subject may be administered at least one immunosuppressive agent comprising methylprednisone, methylprednisolone, or another steroid agent. In some cases the subject is administered 1-2 mg/kg methylprednisone or methylprednisolone per day following an HCT procedure. In some embodiments, the subject is administered at least one immunosuppressive agent comprising tacrolimus, cyclosporine, another calcineurin inhibitor, and/or methotrexate. In some embodiments, the subject is administered mycophenolate mofetil (MMF), an anti-TNF antibody, antilymphocyte globulin (ATG), and/or mesenchymal stem cells. In additional embodiments, the subject may be further administered one or more of pentostatin, ruxolitinib, brentuximab vedotin (anti-CD30 antibody), tocilizumab (anti-IL6R antibody), an IL6 signaling inhibitor, mycophenolate mofetil (MMF), an anti-TNF antibody, basiliximab, daclizumab, inolimomab, alemtuzumab, etanercept, infliximab, a leukotriene antagonist, antilymphocyte

globulin (ATG) such as horse ATG, and mesenchymal stem cells.

**[0013]** In some embodiments herein, the subject undergoes a myeloablative conditioning regimen. In other embodiments, the subject undergoes a reduced intensity conditioning regimen. In yet other embodiments, the subject does not undergo a conditioning regimen.

**[0014]** In some embodiments herein, the HCT procedure is an allogeneic HCT procedure comprising cells from (a) a related donor with at least one HLA mismatch or (b) an unrelated donor with or without at least one HLA mismatch. In some embodiments herein, the subject suffers from a leukemia, lymphoma, or myeloma. In other embodiments, the patient may suffer from a genetic hematopoietic disorder, such as thalassemia, sickle cell anemia, severe combined immunodeficiency, aplastic anemia, myelodysplastic syndrome. Furthermore, in embodiments herein, the patient may suffer from one or more of the following diseases or disorders, which may be treated with allogeneic HCT: acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myeloid leukemia (CML), chronic lymphoblastic leukemia (CLL), a myeloproliferative disorder, a myelodysplastic syndrome, multiple myeloma, non-Hodgkin lymphoma, Hodgkin disease, aplastic anemia, pure red cell aplasia, paroxysmal nocturnal hemoglobinuria, Fanconi anemia, thalassemia major, sickle cell anemia, severe combined immunodeficiency (SCID), Wiskott-Aldrich syndrome, hemophagocytic lymphohistiocytosis (HLH), inborn errors of metabolism such as mucopolysaccharidosis, Gaucher disease, metachromatic leukodystrophy, adrenoleukodystrophy, epidermolysis bullosa, severe congenital neutropenia, Shwachman-Diamond syndrome, Diamond-Blackfan anemia, or leukocyte adhesion deficiency.

**[0015]** In some embodiments herein, the subject is at risk of developing Stage III or IV aGVHD following HCT.

**[0016]** In some embodiments, the median serum A1AT levels in the subject are above the normal human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject are above normal mean human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 5.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 5.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 4.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 4.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject are above 3.5 mg/mL on the day of the HCT procedure and remain above 3.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject are above 3.5 mg/mL on the day of the HCT procedure and remain above 3.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject

remain above 3.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 3.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 2.5 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 2.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 2.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 2.0 mg/mL for at least 28 days after the HCT procedure.

**[0017]** In some of the above embodiments, peak serum A1AT levels in the subject are above 3.5 mg/mL for at least 4 weeks after the first A1AT administration. Some embodiments further comprise determining whether the peak serum A1AT level in the subject is above 3.5 mg/mL following one or more administrations of A1AT, and, if the level is below 3.5 mg/mL, increasing the dose of A1AT administered to the subject. In some embodiments, dosage levels of A1AT given to a patient are chosen so as to be at or above a dosage level that has been shown to provide an average or median peak serum A1AT level in a group of previously tested clinical subjects of greater than or equal to 3.5 mg/mL. In some embodiments, the median serum A1AT levels in the subject are above the normal human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject are above normal mean human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure.

**[0018]** In some embodiments, the first administration of A1AT is at a higher dose than the subsequent A1AT administrations during the first 4 weeks of treatment. In some such embodiments, the first administration of A1AT is at a dose of at least 120 mg/kg. In some such embodiments, the first administration of A1AT is at a dose of at least 180 mg/kg. In some embodiments, the first administration of A1AT is at a dose of 120, 130, 140, 150, 160, 170, 180, 200, or 220 mg/kg. In some embodiments, the first administration of A1AT is at a dose of 120, 130, 140, or 150 mg/kg. In some embodiments, the first administration of A1AT is at 120 mg/kg. In some embodiments, the first administration of A1AT is at 180 mg/kg.

**[0019]** In some embodiments, the steroid comprises prednisone, methylprednisone, or methylprednisolone. In some such embodiments, the steroid comprises prednisone, and the prednisone is administered at a daily dose of 0.5-3 mg/kg, 1-3 mg/kg, 1-2 mg/kg, 0.5 mg/kg, 1 mg/kg, 2 mg/kg, or 3 mg/kg. In some such embodiments, the steroid comprises methylprednisolone, and the methylprednisolone is administered at a daily dose of 0.5-3 mg/kg, 1-3 mg/kg, 1-2 mg/kg, 0.5 mg/kg, 1 mg/kg, 2 mg/kg, or 3 mg/kg. In some embodiments, the steroid comprises a topical steroid formulation, such as where the patient has Stage I aGVHD or otherwise has a significant maculopapular rash. In some embodiments, the steroid comprises a non-absorbable steroid, such as budesonide or beclomethasone, which, for example, may be added to a steroid regime or replaced for systemic steroids where a patient's

aGHVD symptoms include GI tract involvement. In some embodiments, the subject is further administered at least one immunosuppressive agent comprising tacrolimus, cyclosporine, another calcineurin inhibitor, and/or methotrexate. In some embodiments, the subject is further administered mycophenolate mofetil (MMF), an anti-TNF antibody, antilymphocyte globulin (ATG), and/or mesenchymal stem cells. In additional embodiments, the subject may be further administered one or more of pentostatin, ruxolitinib, brenbuximab vedotin (anti-CD30 antibody), tocilizumab (anti-IL6R antibody), an IL6 signaling inhibitor, mycophenolate mofetil (MMF), an anti-TNF antibody, basiliximab, daclizumab, inolimomab, alemtuzumab, etanercept, infliximab, a leukotriene antagonist, antilymphocyte globulin (ATG) such as horse ATG, and mesenchymal stem cells.

**[0020]** In some embodiments, the subject undergoes a myeloablative conditioning regimen. In some embodiments, the subject undergoes a reduced intensity conditioning regimen.

**[0021]** In some embodiments, the HCT procedure is an allogeneic HCT procedure. In some embodiments, the allogeneic HCT procedure comprises cells from (a) a related donor with at least one HLA mismatch or (b) an unrelated donor with or without at least one HLA mismatch. In any of the embodiments above, the subject may suffer from leukemia, lymphoma, myeloma, a genetic hematopoietic disorder, such as thalassemia, sickle cell anemia, severe combined immunodeficiency, aplastic anemia, or myelodysplastic syndrome, or from acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myeloid leukemia (CML), chronic lymphoblastic leukemia (CLL), a myeloproliferative disorder, a myelodysplastic syndrome, multiple myeloma, non-Hodgkin lymphoma, Hodgkin disease, aplastic anemia, pure red cell aplasia, paroxysmal nocturnal hemoglobinuria, Fanconi anemia, thalassemia major, sickle cell anemia, severe combined immunodeficiency (SCID), Wiskott-Aldrich syndrome, hemophagocytic lymphohistiocytosis (HLH), inborn errors of metabolism such as mucopolysaccharidosis, Gaucher disease, metachromatic leukodystrophy, adrenoleukodystrophy, epidermolysis bullosa, severe congenital neutropenia, Shwachman-Diamond syndrome, Diamond-Blackfan anemia, or leukocyte adhesion deficiency.

**[0022]** In any of the above methods, in some embodiments the subject does not have a genetic A1AT deficiency and/or has not previously received A1AT deficiency replacement therapy.

## **DETAILED DESCRIPTION**

**[0023]** The section headings used herein are for organizational purposes only and are not to be construed as limiting the subject matter described.

### **Definitions**

[0024] Unless otherwise defined, scientific and technical terms used in connection with the present invention shall have the meanings that are commonly understood by those of ordinary skill in the art. Further, unless otherwise required by context, singular terms shall include pluralities and plural terms shall include the singular.

[0025] In this application, the use of "or" means "and/or" unless stated otherwise. In the context of a multiple dependent claim, the use of "or" refers back to more than one preceding independent or dependent claim in the alternative only. Also, terms such as "element" or "component" encompass both elements and components comprising one unit and elements and components that comprise more than one subunit unless specifically stated otherwise.

[0026] As described herein, any concentration range, percentage range, ratio range or integer range is to be understood to include the value of any integer within the recited range and, when appropriate, fractions thereof (such as one tenth and one hundredth of an integer), unless otherwise indicated.

[0027] Units, prefixes, and symbols are denoted in their Système International de Unites (SI) accepted form. Numeric ranges are inclusive of the numbers defining the range. Measured values are understood to be approximate, taking into account significant digits and the error associated with the measurement.

[0028] The headings provided herein are not limitations of the various aspects of the disclosure, which can be had by reference to the specification as a whole. Accordingly, the terms defined immediately below are more fully defined by reference to the specification in its entirety.

[0029] As utilized in accordance with the present disclosure, the following terms, unless otherwise indicated, shall be understood to have the following meanings:

**"Administering"** refers to the physical introduction of a composition comprising a therapeutic agent to a subject, using any of the various methods and delivery systems known to those skilled in the art. General routes of administration for protein therapeutics include intravenous, intramuscular, subcutaneous, intraperitoneal, spinal or other parenteral routes of administration, for example by injection or infusion. The phrase "parenteral administration" as used herein means modes of administration other than enteral and topical administration, usually by injection, and includes, without limitation, intravenous, intramuscular, intraarterial, intrathecal, intralymphatic, intralesional, intracapsular, intraorbital, intracardiac, intradermal, intraperitoneal, transtracheal, subcutaneous, subcuticular, intraarticular, subcapsular, subarachnoid, intraspinal, epidural and intrasternal injection and infusion, as well as *in vivo* electroporation. Non-parenteral routes include a topical, epidermal or mucosal route of administration, for example, orally, intranasally, vaginally, rectally, sublingually or topically. Administering can also be performed, for example, once, a plurality of times, and/or over one or more extended periods.

[0030] The terms **"polypeptide"** and **"protein"** are used interchangeably to refer to a polymer

of amino acid residues, and are not limited to a minimum length. Such polymers of amino acid residues may contain natural or non-natural amino acid residues, and include, but are not limited to, peptides, oligopeptides, dimers, trimers, and multimers of amino acid residues. Both full-length proteins and fragments thereof are encompassed by the definition. The terms also include post-expression modifications of the polypeptide, for example, glycosylation, sialylation, acetylation, phosphorylation, and the like. Furthermore, for purposes of the present invention, a "**polypeptide**" refers to a protein which includes modifications, such as deletions, additions, and substitutions (generally conservative in nature), to the native sequence, as long as the protein maintains the desired activity. These modifications may be deliberate, as through site-directed mutagenesis, or may be accidental, such as through mutations of hosts which produce the proteins or errors due to PCR amplification.

**[0031]** "**Alpha-1 antitrysin**" abbreviated (A1AT), (A1-PI) or (AAT) herein includes a polypeptide comprising the full length human A1AT, which may be obtained from pooled human plasma, or which may be recombinant. It also includes a shorter human A1AT that retains a biological function such as protease inhibition (WO2010/088415) of the full length protein as well as fusion molecules comprising a human A1AT polypeptide. In some embodiments it includes AATs having no significant serine protease inhibitor activity (WO2010/088415). In some embodiments, the A1AT is a fusion molecule comprising an A1AT polypeptide and a fusion partner, optionally an Fc molecule, (e.g., an Fc fragment, an Fc analog, etc.), PEG, or albumin, such as an A1AT-Fc fusion molecule described in WO2013/106589 and WO2014/160768. In some embodiments, the A1AT comprises a signal polypeptide whereas in other embodiments it does not. In some embodiments, the A1AT comprises Zemaira<sup>®</sup> (CSL Behring), Prolastin<sup>®</sup> (Grifols), Prolastin<sup>®</sup> C (Grifols), Aralast<sup>®</sup> (Shire), Aralast NP<sup>®</sup> (Shire), Glassia<sup>®</sup> (Kamada), Trypsone<sup>®</sup> (Grifols), Alfalastin<sup>®</sup> (LFB Biomedicaments), or other commercial formulation or any combination thereof.

**[0032]** The terms "**subject**" and "**patient**" are used interchangeably herein to refer to a human unless the context makes it clear that a non-human subject or patient is intended (e.g. a "canine subject" or the like).

**[0033]** "**Treatment**," as used herein, refers to therapeutic treatment, for example, wherein the object is to slow down (lessen) an existing disease or disorder, as well as, for example, wherein the object is to prevent or delay the onset of symptoms of the disease or disorder in a patient who is at risk for developing the disease or disorder or to reduce the severity of the disease or disorder once it has begun.

**[0034]** "**Reducing the risk of onset of**" a disease or disorder is a type of treatment for the disease or disorder intended to reduce the risk that a subject who does not presently have the disease or disorder will develop the disease or disorder in the future, such as after an event like HCT or another non-organ or cellular transplantation.

**[0035]** The term "**effective amount**" or "**therapeutically effective amount**" refers to an amount of a drug effective to treat a disease or disorder in a subject or to reduce the risk of

onset of the disease or disorder. In certain embodiments, an effective amount refers to an amount effective, at dosages and for periods of time necessary, to achieve the desired therapeutic result. A therapeutically effective amount of A1AT for example may vary according to factors such as the disease state, age, sex, and weight of the individual, and the ability of the antibody or antibodies to elicit a desired response in the individual.

**[0036] "Hematopoietic cell transplantation"** abbreviated HCT or HSCT is a procedure that comprises transplantation of hematopoietic stem cells or progenitor cells from a donor to a host or recipient, for example, with the goal of reestablishing immune cell function or bone marrow function.

**[0037] "Graft versus host disease"** abbreviated **GVHD**, occurs when immune cells such as immunocompetent T cells and natural killer (NK) cells in the donor transplant recognize host antigens as foreign and target them. Symptoms may range from rashes of the skin to systemic complications involving organs such as the gastrointestinal tract and liver.

**[0038]** GVHD may be either acute or chronic. "**Acute GVHD**" abbreviated **aGVHD**, may occur within the first 100 days after a transplantation procedure while chronic GVHD (cGVHD) may occur at later time points such as up to 12 months following a transplantation procedure.

**[0039]** Acute GVHD may be graded depending upon its severity and the extent of systemic involvement, such as Stage I, II, III, or IV (also called Grade I, II, III, or IV), with Stage IV being the most severe and having the highest risk of mortality.

**[0040]** An "**immunosuppressive agent**" herein broadly refers to any therapeutic agent intended to reduce an immune reaction in a patient.

**[0041]** GVHD may also be steroid-refractory. "**Steroid-refractory acute GVHD**" as used herein, for example, refers to aGVHD that does not improve despite treatment with steroids such as methylprednisone and methylprednisolone.

### **Hematopoietic Cell Transplantation (HCT)**

**[0042]** HCT involves transplantation of hematopoietic cells such as stem cells and progenitor cells from a donor to a patient, for example, to reestablish immune cell function or bone marrow function in the patient. For example, hematopoietic stem and/or progenitor cells may be collected from bone marrow, peripheral blood or umbilical cord blood and infused into the patient.

**[0043]** The source of the transplanted cells may be from the patient to be treated ("autologous HCT"), for example, collected prior to a therapy that may act to destroy these cells such as myeloablative therapy or chemotherapy, and used where the patient's hematopoietic cells are otherwise healthy. In such cases, immunoreactions following treatment are relatively rare since

the transplanted cells were originally taken from the patient. Alternatively, where the patient's own hematopoietic cells are diseased, for example, the donor cells are taken from a different human donor ("allogeneic HCT"), who may or may not be genetically related to the patient. Appropriate donors for allogeneic HCT may be identified, for example, through comparison of human leukocyte antigens (HLAs) of the donor versus recipient cells. A sibling or relative with an identical set of HLAs to the recipient is ideal, but, of course, not always available. A "syngeneic HCT" is a procedure in which the donor is an identical twin of the recipient. An unrelated donor, for example, identified through a database ideally will have no or only one HLA mismatch compared to the recipient. A greater degree of mismatch may be tolerated in some circumstances otherwise warrant. In some cases, umbilical cord blood may be used as donor as it may be considered immunologically naive compared to cells from an adult donor.

**[0044]** HCT procedures may be used as treatments for a variety of medical conditions such as cancers of immune origin, e.g. leukemia, lymphoma, and myeloma, and other diseases that result in abnormal hematopoiesis such as thalassemia, sickle cell anemia, severe combined immunodeficiency, aplastic anemia, myelodysplastic syndrome, and HIV-associated lymphomas, as well as for patients who are undergoing treatment (e.g. chemotherapy) for disorders where hematopoietic cells may be damaged by the disease treatment, such as neuroblastoma and germ cell tumors. Accordingly, in embodiments herein, the patient may suffer from a leukemia, lymphoma, or myeloma. In other embodiments, the patient may suffer from a genetic hematopoietic disorder, such as thalassemia, sickle cell anemia, severe combined immunodeficiency, aplastic anemia, myelodysplastic syndrome. Furthermore, in embodiments herein, the patient may suffer from one or more of the following diseases or disorders, which may be treated with allogeneic HCT: acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myeloid leukemia (CML), chronic lymphoblastic leukemia (CLL), a myeloproliferative disorder, a myelodysplastic syndrome, multiple myeloma, non-Hodgkin lymphoma, Hodgkin disease, aplastic anemia, pure red cell aplasia, paroxysmal nocturnal hemoglobinuria, Fanconi anemia, thalassemia major, sickle cell anemia, severe combined immunodeficiency (SCID), Wiskott-Aldrich syndrome, hemophagocytic lymphohistiocytosis (HLH), inborn errors of metabolism such as mucopolysaccharidosis, Gaucher disease, metachromatic leukodystrophy, adrenoleukodystrophy, epidermolysis bullosa, severe congenital neutropenia, Shwachman-Diamond syndrome, Diamond-Blackfan anemia, or leukocyte adhesion deficiency. In some embodiments, patients having one of the above-listed diseases or disorders may receive an allogeneic HCT.

**[0045]** To attempt to avoid complications following an HCT procedure, the HCT patient may undergo various post-HCT drug regimens as well as particular pre-conditioning procedures. In some cases, the cellular transplant may be prepared in such a way as to reduce risks of transplanting immunocompetent T cells or cells that may trigger adverse reactions such as GVHD. Such procedures are described further below.

### **GVHD Following Transplantation**

**[0046]** Both acute (a) and chronic (c) GVHD are potential complications of an HCT procedure, particularly an allogeneic HCT procedure. In spite of immunosuppressive treatments following HCT procedures, about 20-80% of allogeneic HCT recipients develop aGVHD following the procedure. (See, e.g., P.J. Martin et al. *Biol. Blood Marrow Transplant.* 18: 1150-63 (2012).) In general, GVHD is often considered acute if it occurs within 100 days of the HCT procedure.

**[0047]** The risk of GVHD is related to the source of the transplanted cells. In particular, the risk of GVHD may increase if there is an HLA mismatch between the donor and recipient and the risk is greater in the case of an unrelated donor compared to a matched, sibling donor. (See *Id.*) The risk of GVHD is also lower in transplants from umbilical cord blood compared to transplants taken from adult bone marrow or adult peripheral blood, perhaps due to the immaturity of the immune cells in umbilical cord blood. However, the use of umbilical cord blood may be limited due to its relatively low volume.

**[0048]** Acute GVHD following HCT may occur in various degrees of severity and may involve different bodily organs to different degrees. aGVHD may frequently affect the skin, liver, and gastrointestinal (GI) tract. aGVHD may be graded according to criteria set by the IMBTR, for example, depending on the severity of symptoms and whether the liver and/or GI tract are involved. (P.A. Rowlings et al., *Br. J. Haematol.* 97: 855-64 (1997).) For example, the grading system involves first grading the involvement of different organs. The impact on the skin may be graded on a 4-level scale by the extent of a skin rash (% of the body surface impacted) and whether a generalized erythroderma is present with or without bullae formation. The concentration of bilirubin may indicate extent of liver involvement. The extent to which diarrhea and abdominal pain occur may be used to indicate GI tract involvement. In general, Stage I aGVHD does not involve the liver or GI tract and comprises a skin rash but not generalized erythroderma or more serious symptoms. Stage II or higher aGVHD either involves generalized erythroderma (exfoliative dermatitis involving 90% or more of the skin) and/or liver and/or GI tract involvement. For example, a Stage II patient may have generalized erythroderma but no involvement of liver or the GI tract, or may have a systemic reaction including a less serious skin reaction along with liver and/or GI tract symptoms such as a high bilirubin concentration or significant diarrhea symptoms. A Stage III aGVHD patient may have both a generalized erythroderma and more significant liver and GI tract symptoms, while a Stage IV aGVHD patient may have severe skin rash involving bullae along with abdominal pain, severe diarrhea, and high bilirubin concentration, for instance.

**[0049]** The following table summarizes how aGVHD may be staged in some embodiments, herein:

**Table 1: Exemplary determination of aGVHD stage (IMBTR criteria)**

Organ Stage	Skin	Liver (Bilirubin)	Upper GI	Lower GI (stool/day)
0	No active erythematous GVHD rash	< 2 mg/dL	None or intermittent nausea or anorexia	< 500 mL/day

Organ Stage	Skin	Liver (Bilirubin)	Upper GI	Lower GI (stool/day)
1	Maculopapular rash < 25% of body surface area (BSA)	2 - 3 mg/dL	Persistent nausea, vomiting or anorexia (not related to drug toxicity)	500-999 mL/day
2	Maculopapular rash 25-50% BSA	3.1 - 6 mg/dL		1000-1500 mL/day
3	Maculopapular rash > 50% BSA	6.1 - 15 mg/dL		> 1500 mL/day
4	Generalized rash (> 50% BSA) plus bullous formation and desquamation > 5% BSA	> 15 mg/dL		Severe abdominal pain with or without ileus or grossly bloody stool, regardless of stool volume
<b>Overall clinical stage</b>				
0	No stage 1-4 in any organ			
1	Stage 1-2 skin and stage 0 liver, upper GI, and lower GI			
2	Stage 3 skin; and/or stage 1 liver; and/or stage 1 upper GI; and/or stage 1 lower GI			
3	Stage 2-3 liver and/or stage 2-3 lower GI, with stage 0-3 skin and/or stage 0-1 upper GI			
4	Stage 4 skin, liver, or lower GI, with stage 0-1 upper GI			
GVHD in the upper GI may be confirmed in some cases by upper GI biopsy or colonoscopy.				

**[0050]** Patient prognosis also significantly worsens with grade of aGVHD, with patients having Stage IV aGVHD having a less than 10% survival rate. (MC Pasquini, 2008.)

**[0051]** In some embodiments, aGVHD may be scored by criteria developed at the University of Minnesota, and published in M.L. MacMillan et al., *Biol. Blood Marrow Transplant.*, 21(4): 761-767 (2015). According to this scoring system, initial high-risk (HR) aGVHD is defined as either skin stage 4 (see table above), lower GI stage 3-4 (see table above) or liver stage 3-4 (see table above), or skin stage 3+ and either lower GI stage 2-4 or liver stage 2-4. Symptoms that do not meet these criteria may be classified as standard risk (SR) aGVHD. Accordingly, this system uses the IMBTR stages above to place aGVHD subjects into "high risk (HR)" or non-high risk, i.e., standard risk (SR) categories.

**[0052]** In spite of immunosuppressive treatments following HCT procedures, about 20-80% of

allogeneic HCT recipients develop aGVHD following the procedure. (See, e.g., P.J. Martin et al. *Biol. Blood Marrow Transplant.* 18: 1150-63 (2012).) In any event, several immunosuppressive treatments are commonly used to treat GVHD in post-HCT patients. For example, current first-line therapy for aGVHD includes steroid treatment with methylpredisone or methylprednisolone, which may be administered for Grade II and higher aGVHD. There are various second-line therapies for patients who do not respond sufficiently to steroid treatment and are, thus, steroid-refractory. These include mycophenolate mofetil (MMF), anti-TNF antibodies or other antibody drugs such as antibodies binding to CD3, CD147 and IL-2, antilymphocyte globulin (ATG), mesenchymal stem cells, and methotrexate (MTX). Given the severity of higher grade aGVHD, however, further treatment options as well as procedures to reduce the risk of onset of aGVHD in HCT patients are needed.

### **Exemplary Methods of Reducing the Risk of Onset of aGVHD**

**[0053]** Encompassed herein is alpha-1 antitrypsin (A1AT) for use in methods of reducing the risk of onset of aGVHD in a subject by administering A1AT both before and after an HCT procedure. For example, in some embodiments, administration of A1AT begins one, two, or three days prior to the HCT procedure and continues for at least 4 weeks after the procedure, such as at least 8 weeks, at least 12 weeks, or at least 100 days, or at least 120 days following the HCT procedure. In some embodiments, administration of A1AT begins prior to the HCT procedure such as one, two, three, seven, ten, or fourteen days prior or 1 month, 2 months, or 3 months prior to the procedure, and then continues for at least 4 weeks after the procedure. As administration of A1AT begins prior to the HCT procedure, in some embodiments the subject does not have GVHD symptoms at the start of the administration period. Similarly, in some embodiments, the subject's individual risk of developing aGVHD after the HCT procedure cannot be determined prior to the procedure.

**[0054]** In some embodiments, the subject is administered A1AT according to the following schedule: (a) administering a dose of at least 120 mg/kg A1AT, such as 120, 130, 140, 150, 160, 170, 180, 190, 200, 220, 240, 260, 280, or 300 mg/kg, to the subject at least one day prior to an HCT procedure; and (b) administering a dose of at least 90 mg/kg A1AT, such as 90, 100, 110, 120, 130, 140, 150, 160, 180, or 200 mg/kg A1AT, to the subject twice weekly following HCT for at least 4 weeks. In some embodiments, this regime is then followed by a dose of at least 90 mg/kg A1AT, such as 90, 100, 110, 120, 130, 140, 150, 160, 180, or 200 mg/kg A1AT, once weekly for at least an additional 4 weeks. This procedure may optionally also involve administration of at least one immunosuppressive agent.

**[0055]** Specific administration schedules include (a) administering a dose of 120 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 90, 100, 110, or 120 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks, optionally followed by a dose of 90, 100, 110, or 120 mg/kg A1AT once weekly for at least an additional 4 weeks; as well as (a) administering a dose of 180 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 100, 110, 120, 130, or 140

mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks, optionally followed by a dose of 100, 110, 120, 130, or 140 mg/kg A1AT once weekly for at least an additional 4 weeks; as well as (a) administering a dose of 150 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 90, 100, 110, or 120 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks, optionally followed by a dose of 90, 100, 110, or 120 mg/kg A1AT once weekly for at least an additional 4 weeks; as well as (a) administering a dose of 120 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 90 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks; as well as (a) administering a dose of 120 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 90 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks followed by a dose of 90 mg/kg A1AT once weekly for at least an additional 4 weeks; as well as (a) administering a dose of 150 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 100 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks; as well as (a) administering a dose of 150 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 100 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks followed by a dose of 100 mg/kg A1AT once weekly for at least an additional 4 weeks; as well as (a) administering a dose of 180 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 120 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks; as well as (a) administering a dose of 180 mg/kg A1AT to the subject one day prior to an HCT procedure; and (b) administering a dose of 120 mg/kg A1AT to the subject twice weekly following HCT for at least 4 weeks followed by a dose of 120 mg/kg A1AT once weekly for at least an additional 4 weeks. Any of these above schedules may be performed in combination with administration of at least one immunosuppressive agent.

**[0056]** Immunosuppressive agents administered following HCT may include steroids such as prednisone, methylprednisone or methylprednisolone, which are currently first-line therapies for treating aGVHD following HCT, additional steroids such as budesonide and beclomethasone, which may be administered when there is GI tract involvement, as well as other agents such as calcineurin inhibitors like tacrolimus, sirolimus, and cyclosporine or others, which may be administered with methotrexate in some cases. Other therapies such as pentostatin, ruxolitinib, brenbuximab vedotin (anti-CD30 antibody), tocilizumab (anti-IL6R antibody), an IL6 signaling inhibitor, mycophenolate mofetil (MMF), an anti-TNF antibody, basiliximab, daclizumab, inolimomab, alemtuzumab, etanercept, infliximab, a leukotriene antagonist, antilymphocyte globulin (ATG) such as horse ATG, and/or mesenchymal stem cells may also be administered in combination with A1AT in some embodiments. In some embodiments, subjects may be administered a combination of A1AT with steroid and an IL6 signaling inhibitor such as tocilizumab or another IL6 signaling inhibitor. In some embodiments, where methylprednisone or methylprednisolone are administered, they are administered at 1-3 mg/kg/day, or 1-2 mg/kg/day.

**[0057]** In some embodiments, the subject may have also undergone a conditioning regimen such as a myeloablative conditioning regimen or a reduced intensity conditioning regimen.

**[0058]** In some embodiments, the HCT procedure is an allogeneic HCT procedure comprising cells from (a) a related donor with at least one HLA mismatch or (b) an unrelated donor with or without at least one HLA mismatch. In some embodiments, the donor is a related donor with one HLA mismatch. In some embodiments, the donor is an unrelated donor without an HLA mismatch. In some embodiments, the donor is an unrelated donor with one HLA mismatch. In some embodiments, the donor cells are not from umbilical cord blood. In some embodiments, the donor cells are derived from bone marrow. In some embodiments, the cells are derived from peripheral blood.

**[0059]** In some embodiments, the subject suffers from a disease or disorder such as leukemia, lymphoma, or myeloma. In other embodiments, the patient may suffer from a genetic hematopoietic disorder, such as thalassemia, sickle cell anemia, severe combined immunodeficiency, aplastic anemia, myelodysplastic syndrome. Furthermore, in embodiments herein, the patient may suffer from one or more of the following diseases or disorders, which may be treated with allogeneic HCT: acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myeloid leukemia (CML), chronic lymphoblastic leukemia (CLL), a myeloproliferative disorder, a myelodysplastic syndrome, multiple myeloma, non-Hodgkin lymphoma, Hodgkin disease, aplastic anemia, pure red cell aplasia, paroxysmal nocturnal hemoglobinuria, Fanconi anemia, thalassemia major, sickle cell anemia, severe combined immunodeficiency (SCID), Wiskott-Aldrich syndrome, hemophagocytic lymphohistiocytosis (HLH), inborn errors of metabolism such as mucopolysaccharidosis, Gaucher disease, metachromatic leukodystrophy, adrenoleukodystrophy, epidermolysis bullosa, severe congenital neutropenia, Shwachman-Diamond syndrome, Diamond-Blackfan anemia, or leukocyte adhesion deficiency.

**[0060]** In some embodiments, the subject is at risk of developing Stage III or IV aGVHD following HCT.

**[0061]** In some embodiments, the median serum A1AT levels in the subject are above the normal human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject are above normal human physiological levels on the day of the HCT procedure and remain above those levels for at least 28 days after the HCT procedure. AAT levels in subjects without genetic deficiency are generally in the range of 1.5 to 3.5 mg/mL, but, as AAT is an acute-phase reactant, these levels can be increased transiently by at least 2-fold or even more, for example, in a physiological response to inflammation. (Silverman and Sandhaus, N Engl J Med. 2009 Jun 25;360(26):2749-57.) In some embodiments, the median serum A1AT levels in the subject remain above 2.5 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 2.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 2.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 2.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the administration of A1AT is

performed such that the median serum A1AT levels in the subject are above 3.0 mg/mL on the day of the HCT procedure and remain above 3.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the administration is performed such that the peak serum A1AT levels in the subject are above 3.0 mg/mL on the day of the HCT procedure and remain above 3.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the administration of A1AT is performed such that the median serum A1AT levels in the subject are above 3.5 mg/mL on the day of the HCT procedure and remain above 3.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the administration is performed such that the peak serum A1AT levels in the subject are above 3.5 mg/mL on the day of the HCT procedure and remain above 3.5 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 4.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 4.0 mg/mL for at least 28 days after the HCT procedure. In some embodiments, the median serum A1AT levels in the subject remain above 5.0 mg/mL on the day of the HCT procedure and for at least 28 days after the HCT procedure. In some embodiments, the peak serum A1AT levels in the subject remain above 5.0 mg/mL for at least 28 days after the HCT procedure.

**[0062]** In some embodiments, dosage levels of A1AT given to a patient are chosen so as to be at or above a dosage level that has been shown to provide an average or median peak serum A1AT level in a group of previously tested clinical subjects of greater than or equal to a particular threshold, such as 2.5 mg/mL, 3.0 mg/mL, 3.5 mg/mL, 4.0 mg/mL, 4.5 mg/mL, or 5 mg/mL. In some embodiments, pre- and post-HCT A1AT dosages are designed so as to be at or above a dosage level that has been shown to provide an overall average or median serum A1AT level in a group of previously tested clinical subjects of greater than 1.5 mg/mL, greater than 2.0 mg/mL, or between 1.5 and 3.5 mg/mL, or between 2 and 4 mg/mL.

**[0063]** In other methods herein, pre- and post-HCT A1AT dosages are designed to ensure that median serum A1AT levels remain above 2.0 mg/mL both on the day of the HCT procedure and for at least 28 days after the HCT procedure. For example, in some embodiments, A1AT dosing following the HCT procedure may be daily, every 2 days, every 3 days, every 7 days, every 10 days or every 14 days so long as the median serum levels of A1AT remain above 2.0 mg/mL for at least 28 days after the procedure. For instance, in some embodiments patients may experience a depletion of serum proteins such as A1AT, for example, as a result of developing aGVHD symptoms. In such a case, the portion of the dosage regime following the HCT procedure may need to be adjusted so as to maintain serum A1AT levels above the normal human serum level following the HCT procedure. In other cases A1AT levels may be relatively stable such that a less frequent dosing following the procedure can be used. For example, in some embodiments, A1AT dosing following the HCT procedure may be daily, every 2 days, every 3 days, every 7 days, every 10 days or every 14 days so long as the median serum levels of A1AT remain above 3.0 mg/mL for at least 28 days after the procedure. In other embodiments, A1AT dosing following the HCT procedure may be daily, every 2 days, every 3 days, every 7 days, every 10 days or every 14 days so long as the median serum levels of A1AT remain above 3.5 mg/mL for at least 28 days after the procedure. In other

embodiments, A1AT dosing following the HCT procedure may be daily, every 2 days, every 3 days, every 7 days, every 10 days or every 14 days so long as the median serum levels of A1AT remain above 4.0 mg/mL for at least 28 days after the procedure. In other embodiments, A1AT dosing following the HCT procedure may be daily, every 2 days, every 3 days, every 7 days, every 10 days or every 14 days so long as the median serum levels of A1AT remain above 5.0 mg/mL for at least 28 days after the procedure.

**[0064]** In methods herein, the A1AT may be derived from pooled human plasma or may be recombinant. The A1AT may also be a fusion protein, for example, comprising a fusion partner of albumin, Fc, or polyethylene glycol. Certain A1AT products, including A1AT-Fc fusion proteins, are described in patent publications WO 2013/106589, WO 2006/133403, US 9,457,070, US 9,884,096, and WO 2013/003641. Several commercial therapeutic A1AT products are available, including Prolastin<sup>®</sup>, Prolastin<sup>®</sup> C, Glassia<sup>®</sup>, Aralast<sup>®</sup>, Aralast<sup>®</sup> NP, Zemaira<sup>®</sup>/Respreeza<sup>®</sup>, and Alfalastin<sup>®</sup> (LFB).

## **EXAMPLES**

**[0065]** The examples discussed below are intended to be purely exemplary of the invention and should not be considered to limit the invention in any way. The examples are not intended to represent that the experiments below are all or the only experiments performed. Efforts have been made to ensure accuracy with respect to numbers used (for example, amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is weight average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

### **Example 1: A Phase 2/3 Open-Label and Double-Blind Clinical Studies of A1AT for Reducing Risk of Onset of Acute GVHD in HCT Recipients**

**[0066]** A human clinical trial of A1AT in HCT recipients is planned in two parts, an open-label portion with two cohorts of 20 patients, cohorts 1 and 2, given two particular dosage regimes, followed by a double-blind and placebo-controlled trial of A1AT vs. placebo in 160 patients using the dosage regime chosen in the open-label portion of the trial. In the open-label portion, cohort 1 will be given 120 mg/kg A1AT (Zemaira<sup>®</sup>/Respreeza<sup>®</sup>) one day prior to an HCT procedure (day -1) and then will be given 90 mg/kg A1AT twice weekly until day 28, then 90 mg/kg A1AT once weekly until day 56. Cohort 2 will be given 180 mg/kg A1AT (Zemaira<sup>®</sup>/Respreeza<sup>®</sup>) one day prior to an HCT procedure (day -1) and then will be given 120 mg/kg A1AT twice weekly until day 28, then 120 mg/kg A1AT once weekly until day 56. Data from the two cohorts will be reviewed at least 100 days after the HCT procedures for all patients and a dosage regime for the double-blind portion of the trial will be selected based on

the previously tested regimes. In these dosage regimes, sufficient A1AT may be given both prior to and following HCT such that either median or peak A1AT levels in patients exceed levels of A1AT in normal human peripheral blood.

**[0067]** Patients selected for the trial must have an age of at least 18 years for the open-label portion and at least 12 years for the double-blind portion. The trial will be restricted to patients who have received a myeloablative conditioning regimen. Since the conditioning regimen can impact risk of developing aGVHD, limiting variation in conditioning regimen may help to evaluate results. Patients must also receive HCT from either a) a related donor where there is one or two HLA mismatches (e.g., 6/8 or 7/8) or b) an unrelated donor with either an HLA match or a mismatch (e.g. 7/8). Patients receiving an umbilical cord blood transplant are excluded, as are patients given anti-T cell antibody therapy, and patients who have previously had an HCT.

**[0068]** Patients will also be treated with a standard immunosuppression regimen of tacrolimus and methotrexate in addition to the A1AT or placebo. The observed rate of aGVHD in patients receiving tacrolimus and methotrexate is about 40-60%. (M. Jagasia et al. Blood 119(1): 296-307 (2012); Pavletic & Fowler, 2012.) Patients who develop aGVHD in spite of the treatment regimen may further be treated with steroids. In the second phase of the trial, patients on placebo who develop aGVHD and who are treated with steroids but are refractory to the steroid treatment may be unblinded so as to receive further treatment with A1AT.

**[0069]** The primary endpoint for both parts of the trial will be the frequency of Grade II or higher aGVHD within 100 days following HCT. aGVHD in patients is graded according to Table 1 provided earlier in this disclosure. Secondary endpoints will be the frequency of each of Grades II, III, and IV aGVHD within 100 days following HCT, incidence of chronic GVHD at days 180 and 365 after HCT, incidence of systemic infections at days 28, 60, 180, and 365, days to non-relapse mortality, and overall mortality at days 180 and 366. Other secondary endpoints include frequency of recurrence of primary malignancies at days 180 and 365, incidence of discontinuation of immune suppression at days 180 and 365, time to neutrophil engraftment, frequency of steroid-refractory aGVHD (Grade II-IV) that respond to treatment with A1AT at day 28, overall response rate (ORR) for subjects with steroid-refractory aGVHD at day 56, incidence of related adverse events, and pharmacokinetic parameters such as AUC, Cmax and trough.

## **REFERENCES CITED IN THE DESCRIPTION**

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**PATENTKRAV**

- 5 **1.** Alfa-1 antitrypsin (A1AT) til anvendelse til at reducere risikoen for indtræden af akut graft versus vært sygdom (aGVHD) hos et mennekeligt individ, der modtager hæmatopoietisk celletransplantation (HCT), omfattende administration af alfa-1 antitrypsin i henhold til følgende skema:
- 10 (a) administration af en dosis på mindst 120 mg/kg A1AT til individet mindst én dag før en HCT-procedure; og  
(b) administration af en dosis på mindst 90 mg/kg A1AT til individet to gange om ugen efter HCT i mindst 4 uger.
- 15 **2.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge krav 1, omfattende (b) administration af en dosis på mindst 90 mg/kg A1AT til individet to gange ugentligt efter HCT i mindst 4 uger efterfulgt af en dosis på mindst 90 mg/kg A1AT én gang ugentligt i mindst yderligere 4 uger.
- 20 **3.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge krav 1 eller 2, omfattende (a) administration af en dosis på 120, 130, 140, 150, 160, 170, 180, 190, 200, 220 eller 240 mg/ kg A1AT til individet mindst én dag før en HCT-procedure.
- 25 **4.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge krav 1, 2 eller 3, omfattende (a) administration af en dosis på mindst 120 mg/kg A1AT til individet en dag, to dage eller tre dage før til en HCT-procedure, især en dag før en HCT-procedure.
- 30 **5.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-4, omfattende (b) administration af en dosis på 90, 100, 110, 120, 130, 140, 150, 160, 180 eller 200 mg/kg A1AT til forsøgspersonen to gange ugentligt efter HCT i mindst 4 uger, eventuelt efterfulgt af en dosis på 90, 100, 110, 120, 130, 140, 150, 160, 180 eller 200 mg/kg A1AT én gang om ugen i mindst yderligere en 4 uger.
- 35 **6.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-5, omfattende administration af A1AT ifølge følgende skema:
- 40 administration af en dosis på 180 mg/kg A1AT til individet én dag før en HCT-procedure; og  
administration af en dosis på 120 mg/kg A1AT til individet to gange ugentligt efter HCT i mindst 4 uger.
- 45 **7.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge krav 6, omfattende (b) administration af en dosis på 120 mg/kg A1AT til individet to gange ugentligt efter HCT i mindst 4 uger efterfulgt af en dosis på 120 mg/kg A1AT en gang om ugen i mindst yderligere 4 uger.
- 50 **8.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-7, hvor administrationen af A1AT fortsætter i mindst 100 dage efter en HCT-procedure.
- 55 **9.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-8, hvor individet administreres mindst ét immunsuppressivt middel omfattende methylprednison, methylprednisolon eller et andet steroidmiddel, især hvor individet administreres 1 -2 mg/kg methylprednison eller methylpred-nisolon pr. dag efter en HCT-procedure.

- 10.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-9, hvor individet er i risiko for at udvikle trin III eller IV aGVHD efter HCT.
- 5 **11.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-10, hvor median-serum-A1AT-niveauer i individet er over 3,5 mg/ml på dagen for HCT-proceduren og forbliver over 3,5 mg/ml i mindst 28 dage efter HCT-proceduren.
- 10 **12.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-11, hvor topserum-A1AT-niveauerne i individet er over 3,5 mg/ml på dagen for HCT-proceduren og forbliver over 3,5 mg/ml for mindst 28 dage efter HCT-proceduren.
- 15 **13.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-12, hvor individet yderligere administreres med mindst ét immunsuppressivt middel, der omfatter tacrolimus, cyclosporin, en anden calcineurinhæmmer og/eller methotrexat.
- 20 **14.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-13, hvor individet yderligere administreres mycophenolatmofetil (MMF), et anti-TNF-antistof, antilymphocytglobulin (ATG) og/eller mesenkymale stamceller.
- 25 **15.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-14, hvor individet gennemgår et myeloablantivt konditioneringsregime
- 30 **16.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-15, hvor individet gennemgår en intensitets-reduceret konditioneringsregime.
- 35 **17.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-16, hvor HCT-proceduren er en allogene HCT-procedure
- 40 **18.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge krav 17, hvor den allogene HCT-procedure omfatter celler fra (a) en beslægtet donor med mindst én HLA-mismatch eller (b) en ikke-beslægtet donor med eller uden mindst én HLA-mismatch.
- 45 **19.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 13-18, hvor steroidet administreres mindst én gang dagligt.
- 50 **20.** Alfa-1 antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-19, hvor individet lider af leukæmi, lymfom, myelom, en genetisk hæmatopoietisk lidelse, såsom thalassæmi, seglcelleanæmi, svær kombineret immundefekt, aplastisk anæmi eller myelodysplastisk syndrom eller fra akut myeloid leukæmi (AML), akut lymfatisk leukæmi (ALL), kronisk myeloid leukæmi (CML), kronisk lymfatisk leukæmi (CLL), en myeloproliferativ lidelse, et myelodysplastisk syndrom, myelomatose, non-Hodgkin lymfom, Hodgkins sygdom, aplastisk anæmi, aplasia af ren røde blodlegemer, paroksyttisk natlig hæmoglobinuri, Fanconis anæmi, thalassæmi major, seglcelleanæmi, svær kombineret immundefekt (SCID), Wiskott-Aldrichs syndrom, hæmofagocytisk lymfohistiocytose (HLH), medfødte metabolske mefejl, såsom mukopolysakkaridose, Gauchers sygdom, metakromatisk leukodystrofi, adrenoleukodystrofi, epidermolysis bullosa, alvorlig medfødt neutropeni, Shwachman-Diamond syndrom, Diamond-Blackfan anæmi eller leukocytadhæsi-  
onsmangel.
- 55 **21.** Alfa-1-antitrypsin (A1AT) til anvendelse ifølge et hvilket som helst af kravene 1-20, hvor individet ikke har en genetisk A1AT-mangel og/eller ikke tidligere har modtaget A1AT-mangeltilstandserstatningsterapi.