

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
24 November 2011 (24.11.2011)

(10) International Publication Number  
WO 2011/146273 A1

(51) International Patent Classification:  
*G02B 13/16 (2006.01)*

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:  
PCT/US2011/035737

(22) International Filing Date:  
9 May 2011 (09.05.2011)

(25) Filing Language:  
English

(26) Publication Language:  
English

(30) Priority Data:  
12/784,521 21 May 2010 (21.05.2010) US

(71) Applicant (for all designated States except US): EAST-MAN KODAK COMPANY [US/US]; 343 State Street, Rochester, NY 14650-2201 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BIETRY, Joseph, Raymond [US/US]; 343 State Street, Rochester, NY 14650 (US). KURTZ, Andrew, Frederick [US/US]; 343 State Street, Rochester, NY 14650-2201 (US). SILVERSTEIN, Barry, D. [US/US]; 343 State Street, Rochester, NY 14650 (US). MAZZARELLA, James [US/US]; 343 State Street, Rochester, NY 14650-2201 (US).

(74) Agent: READ, Mary, E.; Eastman Kodak Company, 343 State Street, Rochester, New York 14650-2201 (US).

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) Title: DESIGNING LENSES USING STRESS BIREFRINGENCE PERFORMANCE CRITERION

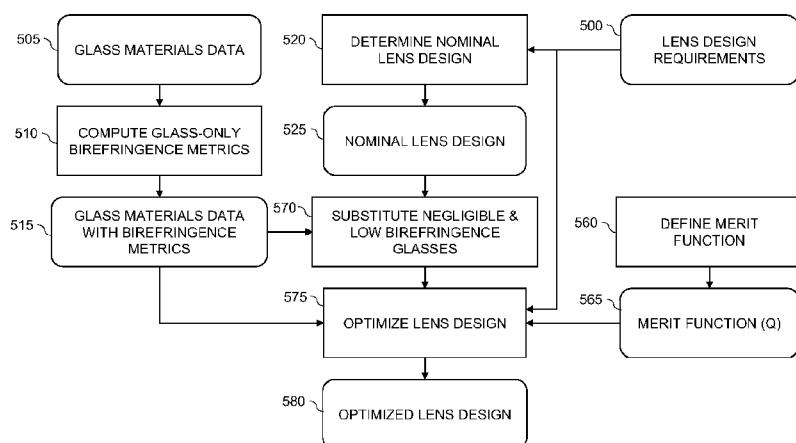


FIG. 15

(57) Abstract: A method for designing an imaging lens having reduced susceptibility to thermally-induced stress birefringence, the imaging lens having first and second groups of lens elements located either side of an aperture stop, the method comprising: defining a set of lens design attributes; defining a set of lens performance criteria including a thermally-induced stress birefringence performance criterion; defining a first set of candidate glasses having a negligible susceptibility to thermal stress birefringence and a second set of candidate glasses having at most a moderate susceptibility to thermal stress birefringence; selecting glasses for lens elements that are located adjacent to the aperture stop from the first set of candidate glasses; selecting glasses for the remaining lens elements from the first or second sets of candidate glasses; and using a computer processor to determine a lens design for the imaging lens.

**DESIGNING LENSES USING STRESS BIREFRINGENCE**  
**PERFORMANCE CRITERION**

**FIELD OF THE INVENTION**

5           The present invention relates to optical imaging systems, and more particularly to imaging lens having a low sensitivity to stress birefringence induced by the thermal load imparted by the transiting light.

**BACKGROUND OF THE INVENTION**

10           Projection and electronic display systems are widely used to display image content. In the case of projection systems, whether the traditional film based systems, or the newer electronic systems, light from a light source (typically a lamp) is directed to an image modulation element (such as film or one or more spatial light modulators) that imparts image data to the transiting light.

15           Typically the film or light modulator arrays are then imaged to the display surface or screen.

As another aspect, there is growing interest in high-quality projection systems that display 3 dimensional (3D) or perceived stereoscopic content in order to offer consumers an enhanced visual experience. Historically, 20 stereoscopic content was projected in theaters using film media, such that two sets of films are loaded to two separate projection apparatus, one for each eye. Left- and right-eye images are then simultaneously projected using polarized light, where one polarization is used for the image presented to the left eye; light of the orthogonal polarization is then used for the image presented to the right eye.

25           Audience members wear corresponding orthogonally polarized glasses that block one polarized light image for each eye while transmitting the orthogonal polarized light image.

Recently, electronic or digital cinema projectors that provide stereo projection have been commercialized. In particular, projectors based on the 30 Digital Light Processor (DLP) or Digital Micro-mirror Device (DMD), developed by Texas Instruments, Inc., Dallas, TX, are used in theatres in both stereo and non-stereo versions. DLP devices are described in a number of patents, for

example U.S. Patent 4,441,791, U.S. Patent 5,535,047 and U.S. Patent 5,600,383 (all to Hornbeck).

FIG. 1A shows a simplified block diagram of a projector 100 that uses DLP spatial light modulators. A light source 50 (typically a xenon arc lamp) provides polychromatic unpolarized light into a prism assembly 55, such as a Philips prism, for example. Prism assembly 55, which is shown as a Philips prism, splits the polychromatic light into red, green, and blue component wavelength bands and directs each band to a corresponding spatial light modulator (SLM) 170r, 170g, or 170b. Prism assembly 55 then recombines the modulated light from the spatial light modulators 170r, 170g, and 170b and provides this unpolarized light to an imaging lens 200 for projection onto a display screen or other suitable surface. DLP-based projectors have demonstrated the capability to provide the necessary light throughput, contrast ratio, and color gamut for most projection applications from desktop to large cinema. Alternately, liquid crystal devices (LCDs), which modulate light by altering polarization states of transiting light, can be used instead of DLP devices, with comparative benefits of higher resolution and larger device size, but greater difficulty in delivering contrast, contrast uniformity, and color uniformity for the projected image.

Conventional methods for forming stereoscopic images from these SLM-based projectors (DLP or LCD) use either of two primary techniques to distinguish between the left- and right-eye content. One less common technique, utilized by Dolby Laboratories, for example, uses color space separation, such as described in U.S. Patent Application Publication 2007/0127121 by Maximus et.al. Filters are used in the white-light illumination system to momentarily block out portions of each of the primary colors for a portion of the frame time. The appropriate color adjusted stereo content that is associated with each eye is then presented to each modulator for the eye. The viewer wears a corresponding filter set that similarly transmits only one of the two 3-color (RGB) spectral sets. Color space separation avoids problems in handling polarized light from the projector, at the screen, and with the viewer's glasses, but light inefficiencies and the cost of the glasses are problematic.

The second method for forming stereoscopic images uses polarized light. For example U.S. Patent 6,793,341, by Svardal et al. describes a method in which the two orthogonal polarization states are provided by separate spatial light modulators and projected simultaneously onto the screen, which typically has properties to preserve the polarization states of the reflected light. The viewer wears polarized glasses with polarization transmission axes for left and right eyes orthogonally oriented with respect to each other. Although this arrangement offers efficient use of light, it can be an expensive configuration.

Another approach, commercialized by Real-D, Beverly Hills, CA, uses a conventional projector modified to modulate alternate polarization states that are rapidly switched from one to the other. In particular, as shown in FIG. 1A, a DLP projector is modified to have a polarizer and polarizer switching device placed in the output path of the light, such as at a position 90 indicated by a dashed line in FIG. 1A. The polarization switcher is required, since DLP projectors output modulated, but unpolarized light. This output is unpolarized because unpolarized light sources (lamps) are used, and the typical DLP device windows are depolarizing (due to stress induced birefringence). An achromatic polarization switcher, such as that of U.S. Patent 7,528,906 to Robinson et al. can be placed at position 90 after the polarizer. A switcher of this type (the ZScreen<sup>TM</sup>) alternately rotates polarized light between two orthogonal polarization states, such as linear polarization states, to allow the presentation of two distinct images, one to each eye, while the user views the projected image with polarized glasses.

Because the polarization contrast specifications for the polarizer are modest (~50:1) as a trade-off to boost polarizer efficiency, image crosstalk between the left-eye and right-eye images can occur. This can cause viewers to experience ghost images, for example such that the left eye not only sees a bright left-eye image but a dim right-eye image. Real-D provides a variety of solutions to this problem, including the use of real time digital pre-processing of the image content to reduce ghosting in image. In particular, a digital processor applies a crosstalk model to predict potential ghosting by comparing the left- and right-eye images, and then it subtracts the predicted ghost image. U.S. Patent publication

2006/0268104 to M. Cowan et al., entitled “Ghost-compensation for improved stereoscopic projection”, expands upon this approach. As another example, in U.S. Patent 7,518,662 by Chen et al., the polarization contrast of the ZScreen switcher is improved with a tilted polarization compensator.

5 For a variety of reasons, including improving light efficiency, expanding color gamut, increasing light source lifetime and reducing ongoing replacement costs, there is continuing impetus to replace the traditional lamps (such xenon arc, tungsten halogen, or UHP) with solid state light sources (such as lasers or LEDs) in projectors, whether 2-D or 3-D. However, to date, the desire for  
10 laser-based projection systems has been unfulfilled, in part as compact, robust, low-to-moderate cost, visible wavelength laser technologies had not emerged in a commercializable form, particularly for green and blue. With the recent emergence of blue diode lasers and compact green SHG lasers, low cost, laser based, pico-projectors from companies such as Microvision are reaching the  
15 market place.

In parallel, similar obstacles for compact high power visible lasers capable of supporting digital cinema projection have also started to disappear, as companies such as Laser Light Engines (Salem, NH) and Necsel (Milpitas, CA) have demonstrated prototype or early product laser devices. For example, Necsel  
20 (previously known as Novalux) offers green (532 nm) and blue (465 nm) laser arrays, each of which provides 3-5 Watts of optical output power. At these power levels, and allowing for system efficiency losses, a modest sized projector (~1500 lumens output) for a large conference room or a home theatre, can be achieved using a single laser device per color. However, in the case of cinema, the on-  
25 screen luminance requires 10,000-40,000 lumens or 40-170 Watts of combined optical power (flux) incident to the screen, depending on screen size and screen gain. In turn, allowing for internal optical efficiency losses, this means that 40-120 Watts of optical power is required from the laser sources in each color channel. Presently, these power levels can only be accomplished by optically combining  
30 the output of multiple laser arrays in each color channel. Eventually, the laser technologies may advance such that a single compact laser device can drive each

color. Of course, each approach has its advantages and disadvantages, relative to trade-offs of simplicity, cost, and susceptibility to laser failure.

Simplistically, a digital cinema projector can be provided by replacing the conventional lamp used for the light source 50 of FIG. 1A with a multitude of laser devices. Moreover, as lasers are inherently polarized light sources, more efficient 3D projection can be provided, as the polarization switcher is used at position 90, without an accompanying polarizer. However, this simplistic view is unrealistic for high power laser-based projection applications such as for digital cinema. As just suggested, a projection system providing 40-170 optical watts on screen is subjected to much higher light levels internally, with the highest light levels (in flux or watts) occurring at the light source assemblies, and the lowest likely at the output surface of the projection lens. Because of its spatial and temporal coherence, laser light focuses into smaller volumes with higher power densities than light beams from incoherent (lamp) sources, even when flux levels are comparable. The highest internal power densities occur in places where the light is concentrated, such as at an integrating bar, the spatial light modulators, aperture stops, or intermediate images. Of course, these high light levels can bring accompanying thermal issues, as illumination light, imaging light, or even stray light encounters internal surfaces or materials.

There are already numerous problems caused by the intense light in conventional lamp based systems, some of which will only be amplified in laser-based systems. For example, in a lamp-based system, the input aperture of the integrating bar, which receives high intensity focused light and surrounding stray light from the lamp, is typically surrounded with an air-cooled heat sink assembly. As another example, in digital cinema projection systems, the spatial light modulators are typically cooled with circulating chilled water.

At such high light levels, the intense light (and particularly residual UV light) can also impact the performance or reliability of materials, including optical adhesives, cements, or polymers used in prism elements, doublets, or liquid crystal devices. As a result, these materials must be chosen carefully to avoid induced degradation from thermal or chemical changes. Likewise, induced mechanical stresses from mismatched coefficients of thermal expansion of the

optical elements or their mounting assemblies must also be minimized or managed to avoid stress, deformation, or breakage.

As one particularly subtle effect, which effects polarization based projection systems, including those for 3D projection, small portions of the high 5 light intensity light can be absorbed by the optical materials, thereby inducing stress birefringence with the elements. That in turn can change the polarization orientations of the transiting light, thereby impacting image contrast, image contrast uniformity, color uniformity or other attributes that reduce the perceived on-screen image quality.

10 In the case of spatial light modulator devices, and liquid crystal on silicon (LCOS) devices in particular, a problem can occur where the intense light causes thermal loading and stress birefringence in the counter electrode substrate, which is internal to the device itself. To give further context, FIG. 1B illustrates a prior art projector 101, in which incident illumination light beams 140 are directed 15 into respective modulation optical systems 80 for each color, which are projector sub-systems that comprise a polarization beamsplitter 60 (also known as a polarization prism), a polarization compensator 360, and a spatial light modulator 170g, 170b or 170r. The modulated beams from the modulation optical systems 80 are combined using an X-prism 65, and directed to projection lens 270 for 20 projection onto a display screen (not shown). Typically, the polarization behavior and properties of these components within the modulation optical system 80 determines the on-screen polarization contrast provided by the projector 101.

25 The counter electrode substrate (not shown) is a thin plate of optical glass that is laid parallel to the silicon substrate within LCD spatial light modulators 170g, 170b and 170r. Liquid crystal materials, as well as pixel structures formed into (or on) the silicon, then fill the thin gap between these substrates. The counter electrode substrate is coated with a patterned transparent electrode (typically of ITO), to enable electric fields to be applied between the substrates to control the orientations of the liquid crystal molecules on a pixel 30 wise basis.

This structure works well at low light intensities, such that the polarization orientations commanded by the pixels are maintained as the light

transits the counter electrode substrate, and the resulting polarized image light can then encounter downstream polarization optics, such as polarization beam splitters, analyzers, or switches, with the polarized image light having the intended orientations. However, under high light intensities, the portion of the light 5 transiting the counter electrode substrate that is absorbed can cause sufficient internal heating to induce stress birefringence, which in turn alters polarization orientations.

In recognition of this problem, U.S. Patent 5,576,854 to Schmidt et al., proposes a method for identifying optimal glasses that can be used to fabricate 10 the counter electrode substrate of an LCOS panel. In particular, they proposed a figure of merit M for identifying candidate glasses given by the product:

$$M = \rho E \kappa \quad (1)$$

15 where  $\rho$  is the coefficient of thermal expansion (CTE),  $\kappa$  is the stress optical coefficient, and  $E$  is the modulus of elasticity (E). Schmidt et al. identified two glasses as particularly valuable candidates; Schott SF-57 for its unusually low stress optical coefficient, and fused silica for its unusually low coefficient of thermal expansion. According to Schmidt et al., in the case of fused silica, heating 20 causes minimal expansion of the glass, which in turn cause little thermally induced stress. In the case of SF-57, the thermal stress coefficient itself is very low, which means little direct translation of heat into stress birefringence. As alluded to earlier, a similar problem presently exists with the cover glass windows 25 for DLP modulators; but as these devices have generally not been used to modulate intense polarized light with an expectation of maintaining polarization states, neither the glass selection nor the glass mounting design were undertaken with the goal of minimizing stress birefringence.

The relationship of glass selection and thermal stress birefringence in projection displays is also explored in the article “Thermal Stress Birefringence 30 in LCOS Projection Displays”, by R. Cline et al., which was published in Displays, Vol. 23, pp. 151-159, 2002. This article is concerned with identifying glasses appropriate for use in polarization beamsplitters 60 (FIG. 1B) or Philips

prism assemblies 55 (FIG. 1A) in projection display systems. In particular, the authors introduce an expanded figure of merit for assessing candidate glasses that includes not only the coefficient of thermal expansion ( $\rho$ ), the stress optical coefficient ( $\kappa$ ), and the modulus of elasticity (E), but also the glass thermal 5 conductivity (K), light absorption ( $\alpha$ ), and Poisson's ratio ( $\mu$ ):

$$M = \frac{\alpha \rho E \kappa}{K(1-\mu)} \quad (2)$$

Cline et al. propose that only Schott SF-57, Ohara PBH56, and fused silica can be 10 used in prisms for high power polarization sensitive projectors (1000+ lumens), while a wider range of glasses, including Schott SK5 or Schott BK7, can be used for prisms in low power ( $\leq 500$  lumen) projectors.

In contrast, in U.S. Patent 7,357,511 to Aastuen et al., the inventors suggest that the glasses proposed by Cline et al., for satisfactory low stress 15 birefringence (such as Schott SK5 or Schott BK7) are actually inadequate, and that contrast degradation from these alternate glasses is actually too large. Aastuen et al. then propose an alternate modulation optical system 80 where the polarization contrast of the polarization beamsplitter 60 can be improved relative to stress birefringence in the glass comprising the prism, including thermally 20 induced stress birefringence, by providing a polarization compensator 360 between the polarization beamsplitter 60 and the spatial light modulator 170 (see FIG. 1B). They provide evidence that a polarization compensator 360 having a quarter wave of retardance can provide sufficient compensation for stress 25 birefringence such that the prism glass choice is no longer limited to low stress optical coefficient ( $\kappa$ ) glasses, such as Schott SF-57.

It is also noted that unwanted birefringence has caused image 30 quality problems in fields outside of the projection space, including in the area of micro-lithography. For example, in U.S. Patent 6,785,051 to Allan et al., describes a refractive/reflective imaging system directed at 200 nm UV microlithography. In that spectral range, the very small selection of available optical materials is 35 dominated by crystalline materials such as calcium fluoride ( $\text{CaF}_2$ ) that exhibit

significant intrinsic birefringence. In order to reduce the accumulative birefringence or polarization state changes in the optics, Allan et al. provide one or more corrective optical elements (optical plates or beam-splitters) which are also fabricated from the same type of intrinsically birefringent materials. In this 5 case, a corrective photoelastic birefringence is provided by an externally applied stress or strain (from tensile, compressive or shear stress) which was imparted to the corrective element by mechanical fixturing, a piezoelectric actuator, a thermal element or other stress inducer.

Likewise, U.S. Patent 6,879,379 to Brunotte et al., also discloses a 10 UV microlithographic imaging system using lens elements comprising intrinsically birefringent materials such as CaF<sub>2</sub> and a corrective element. The intrinsic birefringence imparts unwanted polarization rotation effects with position and angle. In this case, the corrective element is an optical plate or lens which is located proximate to an aperture stop, and which is also made from CaF<sub>2</sub>. 15 Mechanical stresses are then applied in a pulsed fashion using piezoelectric actuators, so as to impart stress birefringence to the element that compensates for the angle dependent polarization effects caused by the intrinsic birefringence.

While interesting, the solutions of Brunotte et al. ('379) and Allan et al. ('051) apply to imaging systems using a limited set of intrinsically 20 birefringent materials. By comparison, the solutions provided by Schmidt et al. ('854), Cline et al., and Aastuen et al. ('511) were developed in the context of lamp-based projection systems that were targeted for low power applications, but are potentially extendable to digital cinema. However, these solutions are narrowly targeted at the optical components (cover glasses and prisms) within the 25 modulation optical systems 80 for a projector 101.

In laser projection systems the localized light intensities and power densities can be appreciably higher as compared to white-light systems, due to the coherence or focusing power of the laser light, and thermal effects can be induced throughout an optical system. In extreme cases, optical self-focusing effects in 30 non-linear optical materials can cause optical damage or breakdown.

In the case of laser-based digital cinema projectors, while permanent damage mechanisms such as self-focusing are likely not germane, other thermal effects such as thermally induced stress birefringence can affect optical elements, including components other than those residing in the

5 modulation optical subsystems, such as the prism assemblies, spatial light modulators, or cover plates or counter electrode substrate therein. In particular, the design and use of imaging lens assemblies, which comprise a complex multitude of lens elements, and which are tasked to image intense laser light while not being subject to thermally induced stress birefringence and resulting polarization effects,

10 is a concern, particularly at the digital cinema power levels, which are much higher than managed previously for stress birefringence. As is known to those skilled in the lens design arts, an imaging lens assembly utilizes an arrangement of non-planar lens elements, whose materials, thicknesses, curvatures, and relative placements are carefully designed to provide the desired image quality, relative to

15 aberrations and diffraction. However, the added complexity of further controlling thermally induced stress birefringence, relative to the design of an imaging lens system and the constituent lens elements, is a problem that is neither taught nor anticipated in the prior art.

20

## SUMMARY OF THE INVENTION

The present invention represents a method for designing an imaging lens having reduced susceptibility to thermally-induced stress birefringence for imaging an object plane to an image plane, the imaging lens having an aperture stop positioned between the object plane and the image plane,

25 a first group of lens elements located on the object plane side of the aperture stop, and a second group of lens elements located on the image plane side of the aperture stop, the method comprising:

defining a set of lens design attributes that describe the geometrical properties of the lens;

30 defining a set of lens performance criteria, including one or more image quality performance criteria and a thermally-induced stress birefringence performance criterion;

defining a first set of candidate glasses having a negligible susceptibility to thermal stress birefringence as characterized by a thermal stress birefringence metric;

5 defining a second set of candidate glasses having more than a negligible susceptibility to thermal stress birefringence but at most a moderate susceptibility to thermal stress birefringence as characterized by the thermal stress birefringence metric;

10 selecting glasses for lens elements in the first and second groups of lens elements that are located immediately adjacent to the aperture stop from the first set of candidate glasses;

selecting glasses for the lens elements in the first and second groups of lens elements that are not located immediately adjacent to the aperture stop from the first or second sets of candidate glasses; and

15 using a computer processor to determine a lens design for the imaging lens which achieves the lens design attributes while satisfying the defined lens performance criteria, wherein the lens design specifies thicknesses, spacings, shapes, and glasses for the lens elements in the first and second groups of lens elements.

It has the advantage that the performance of the imaging lenses  
20 when they are used to produce images using polarized light will not be significantly affected by thermal changes resulting from the absorption of the imaging light.

It has the further advantage that such imaging lenses can be used for stereoscopic projection systems without producing objectionable cross-talk  
25 between the left- and right-eye images due to stress-birefringence-induced depolarization.

It has the additional advantage that the reduced birefringence susceptibility is achieved while simultaneously achieving an acceptable image quality level.

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be more readily understood from the detailed description of exemplary embodiments presented below considered in conjunction with the attached drawings, of which:

5 FIGS. 1A and 1B illustrate portions of prior art digital projection systems;

FIG. 2 illustrates the overall system architecture for a projector incorporating an imaging lens of the present invention;

10 FIGS. 3A and 3B illustrate exemplary light source assemblies, including multiple laser devices and a laser combiner, as used in a projection system of the present invention;

FIG. 4 illustrates the general construction of an imaging lens of the present invention, comprising both a relay lens and a projection lens;

FIG. 5A depicts a prior art double gauss type imaging lens;

15 FIG. 5B depicts a glass chart, plotting optical glasses relative to their index of refraction and Abbe number;

FIG. 6A depicts the optical design of a first exemplary projection lens, having moderate thermal stress susceptibility and good image quality;

20 FIG. 6B depicts the optical performance of the projection lens of FIG. 6A using MTF plots;

FIG. 6C depicts the optical design of a first exemplary relay lens, having moderate thermal stress susceptibility and good image quality;

FIG. 6D depicts the optical performance of the relay lens of FIG. 6C using MTF plots;

25 FIGS. 7A and 7B illustrate contour and cross-sectional beam profiles of light beams emergent from the laser combining assembly;

FIGS. 7C and 7D illustrate contour and cross-sectional illumination profiles incident to the spatial light modulators;

30 FIG. 7E illustrates a light intensity distribution in telecentric space following the integrating bar;

FIG. 7F illustrates a light intensity distribution near the aperture stop of the relay lens;

FIG. 7G illustrates a light intensity distribution near the aperture stop of the projection lens;

FIGS. 8A-8D are tables showing optical glass properties;

FIG. 9A is a table summarizing the thermal stress birefringence 5 performance of the first exemplary projection lens of FIG. 6A;

FIG. 9B is a table summarizing the thermal stress birefringence performance of the first exemplary relay lens of FIG. 6C;

FIG. 10A depicts the optical design of a second exemplary projection lens, having low thermal stress susceptibility and poor image quality;

10 FIG. 10B depicts the optical performance of the second exemplary projection lens of FIG. 10A using MTF plots;

FIG. 10C depicts the optical design of a second exemplary relay lens, having low thermal stress susceptibility and poor image quality;

15 FIG. 10D depicts the optical performance of the second exemplary relay lens of FIG. 10C using MTF plots;

FIG. 11A is a table summarizing the thermal stress birefringence performance of the second exemplary projection lens of FIG. 10A;

FIG. 11B is a table summarizing the thermal stress birefringence performance of the second exemplary relay lens of FIG. 10C;

20 FIG. 12A depicts the optical designs of a third exemplary projection lens, having low thermal stress susceptibility and good image quality;

FIG. 12B depicts the optical performance of the third exemplary projection lens of FIG. 12A using MTF plots;

25 FIG. 12C depicts the optical design of a third exemplary relay lens, having low thermal stress susceptibility and good image quality;

FIG. 12D depicts the optical performance of the third exemplary relay lens of FIG. 12C using MTF plots;

FIG. 13A is a table summarizing the thermal stress birefringence performance of the third exemplary projection lens of FIG. 12A;

30 FIG. 13B is a table summarizing the thermal stress birefringence performance of the third exemplary relay lens of FIG. 12C;

FIG. 14A is a table specifying the lens design parameters for the third exemplary projection lens of FIG. 12A;

FIG. 14B is a table specifying the lens design parameters for the third exemplary relay lens of FIG. 12C;

5 FIG. 15 is a flowchart illustrating a method for designing imaging lens with reduced thermal stress birefringence susceptibility according to an embodiment of the present invention; and

10 FIG. 16 is a flowchart illustrating another method for designing imaging lens with reduced thermal stress birefringence susceptibility according to an embodiment of the present invention; and

FIG. 17 depicts a method for reducing axial color while using a projection lens of the present invention.

It is to be understood that the attached drawings are for purposes of illustrating the concepts of the invention and may not be to scale.

15

## **DETAILED DESCRIPTION OF THE INVENTION**

The present description is directed in particular to elements forming part of, or cooperating more directly with, apparatus in accordance with the invention. It is to be understood that elements not specifically shown or 20 described may take various forms well known to those skilled in the art.

The invention is inclusive of combinations of the embodiments described herein. References to “a particular embodiment” and the like refer to features that are present in at least one embodiment of the invention. Separate references to “an embodiment” or “particular embodiments” or the like do not necessarily refer to the same embodiment or embodiments; however, such 25 embodiments are not mutually exclusive, unless so indicated or as are readily apparent to one of skill in the art. The use of singular or plural in referring to the “method” or “methods” and the like is not limiting. It should be noted that, unless otherwise explicitly noted or required by context, the word “or” is used in this disclosure in a non-exclusive sense.

In order to better understand the present invention, it is instructive to describe the overall context within which apparatus and methods of the present

invention can be operable. The schematic diagram of FIG. 2 shows a basic arrangement for a projector 102 that is used in a number of embodiments of the present invention. Three illumination assemblies 110r, 110g and 110b are shown, each providing one of the primary Red, Green, or Blue (RGB) colors from a respective light source assembly 115. The light source assemblies 115 include one or more light sources, which in particular are laser light source devices. (The laser light source devices are not shown in FIG. 2, but are shown in representative fashion in FIGS. 3A and 3B.)

FIG. 3A shows one approach for combining light from multiple laser arrays 120 and 120' to form a laser combining assembly 125, which is a sub-portion of a light source assembly 115 (FIG. 2). High power semiconductor (or solid state) laser arrays of several Watts or more optical output have been readily available in the red and infrared (IR) for many years. These lasers typically comprise a single row of single mode by multimode laser emitters 122. However, high power compact green and blue laser arrays are only now becoming available. Thus far, the previously mentioned lasers from Necsel, which are a type of IR pumped, frequency doubled, VECSEL (Vertical Extended Cavity Surface-Emitting Laser) laser, have shown particular promise. Due largely to heat and packaging problems for critical components, the currently available pre-commercialized devices have a constrained architecture that provides two parallel rows laser emitters 122 (24 emitters per row).

While it is optically beneficial to closely arrange the output light beams from the constituent laser arrays, it is also desirable to mechanically separate the laser arrays 120 from each other to reduce thermal crosstalk and concentrated thermal loads. It can also be desirable to separate the light source assemblies 115 (FIG. 2), as well as the electronic delivery and connection and the associated heat, from the thermally-sensitive, optical projection system, to allow optimal performance of the projection engine. In FIG. 3A, one or more interspersed mirrors 160 can be used to place the optical axis of additional laser arrays 120' in line with laser array 120 to provide a multitude of light beams 140, each comprised of a plurality of individual light beams 140', directed together

toward an illumination lens 150 having an associated aperture 130, which is a component of the respective illumination assembly 110r, 110g and 110b (FIG. 2).

FIG. 3B then depicts a portion of an exemplary illumination assembly 110 according to an alternate embodiment. The illumination assembly 5 110 includes a light source assembly 115 for a given color that comprises two laser combining assemblies 125. Using such an arrangement, the power output can be increased, to support larger screens with higher screen lumen requirements. In this example, each of the two laser combining assemblies 125 utilizes a faceted prism 127 with window facets and reflecting facets (which work by total internal 10 reflectance) on each side, to redirect light beams 140 from laser emitters 122 in laser arrays 120 down a common optical path. The output light from the two laser combining assemblies 125 is directed by illumination beam combiner 135 down a common optical path towards the other illumination optics, as represented by illumination lenses 150 and light integrator 155. The illumination beam combiner 15 135 can combine the light beams 140 by a variety of approaches, including spectrally (for the case where the laser arrays 120 in the laser combining assemblies 125 are clustered on opposite sides of a central wavelength), spatially, or by polarization. One path can have an optional half wave plate 137.

Considering FIGS. 2 and 3B in combination, each illumination 20 assembly 110r, 110g and 110b, typically includes one or more illumination lenses 150, a light integrator 155 (such as a fly's eye integrator or integrating bar, for example) that shapes and direct the transiting light beams, and further illumination lenses 150 and mirrors 160, that together direct illumination light along an optical axis 145 to an associated spatial light modulator 170. For example, incoming light 25 from the light source assembly 115 can be directed into a light integrator 155 using an illumination lens 150. The resulting homogenized light fills an output aperture of the light integrator 155. The output aperture is then re-imaged to an area in an optical plane to which the spatial light modulator 170 is aligned. The illumination lenses 150 and the light integrator 155 can be fabricated using fused 30 silica in order to reduce any polarization degradation effects that might occur from induced stress birefringence in these elements.

The spatial light modulators 170 can be micro-electromechanical systems (MEMS) devices, such as a DLP or some other type of reflective MEMS device, including any of the types of MEMS modulators that modulate light by reflection or by diffraction. The spatial light modulators 170 can also be LCD-type devices or of other technologies. In the case of DLP-type devices, modulation provides “On” state or image light that is directed to the display surface, and “Off” state light that is directed into a beam dump (not shown). In the case that projector 102 switches the orientations of polarized light sources to drive stereo image projection (3D), then “polarization state neutral” modulator devices are preferred. In particular, spatial light modulators 170 are preferred that do not modulate light at each pixel by modulating the polarization state of the pixel, such that any change to the polarization state of the incident light for any pixel is inadvertent and small. This means the spatial light modulators 170 will preferably modulate the incident light identically on a pixel wise basis, regardless of the polarization state of the incident light. Therefore, it is presumed that audience members are wearing polarization sensitive glasses so as to view the stereoscopic or 3D images. It should also be understood that the projector 102 can provide conventional images that are perceived as two dimensional.

Unlike projectors that provide 3D imagery with a polarization switching accessory added externally to the projector, in this projector 102, illuminating light from illumination assemblies 110 is intended to be polarized. In particular, the light sources are arranged to provide a common polarization state, known as “s-polarized” or “p-polarized” in the language of the field. The illumination assemblies 110 can include a variety of optics, including wave plates or polarizers (not shown) to align, preserve, or accentuate the native polarization states of the light sources. The illumination assemblies 110 can also include a polarization switch 139, which can be electro-optically or electro-mechanically actuated, to change the polarization state of the light illuminating spatial light modulators 170 to s-polarized, p-polarized, or other polarization states (such as left or right circular) that are useful for 3D image projection. As a result, it is preferred that the various illumination components, including the illumination lenses 150 and the light integrator 155 be polarization preserving. The light path

within each illumination assembly 110r, 110g and 110b follows the same basic pattern, although there can be differences to accommodate differences in light source (laser) properties from one color channel compared to another. Each illumination assembly 110 can have its own polarization switch 139, which can be 5 operated in synchronized fashion with each other, or a common polarization switch 139 can be used for multiple color channels.

As shown in FIG. 2, illumination light is directed onto spatial light modulators 170 by redirection with one or more mirrors 160. Modulated image light, bearing image data imparted into the transiting light by the addressed pixels 10 of the spatial light modulators 170 is combined to traverse a common optical path passing through imaging lens 200 and onto a display surface 190 (such as a projection screen). In the illustrated embodiment, a dichroic combiner 165 comprises a first combiner 166 and a second combiner 167, each of which is a dichroic element having appropriate thin film optical coatings that selectively 15 transmits or reflects light according to its wavelength. As this projector 102 is designed to provide 3-D image content using internal modulation of the optical polarization states, the dichroic combiner 165 and the imaging lens 200 should also be polarization neutral, such that little or no differences in efficiency, 20 polarization contrast, or image quality are induced by these elements. Likewise, display surface 190 is preferably a polarization-preserving screen.

It should be understood that mirrors 160 need not lie in the plane of the optical system. Thus the mirror 160 in the optical path for the green channel can be out of plane, and not obstructing light passing to projection lens 270, as might be otherwise implied by FIG. 2. Additionally, while dichroic combiner 165 25 is shown as a pair of tilted glass plates, other exemplary constructions can be used, including X-prisms 65 (FIG. 1B), V-prisms, or Philips (or Plumbicon) type prisms (FIG. 1A). In other embodiments, mirrors 160 can also be provided in the form of prisms, such as the widely used TIR (total internal reflection) prism that is often used in combination with the Philips prism and DLP devices.

30 In FIG. 2, imaging lens 200 is depicted as a multi-element assembly comprising multiple lens elements 205 that images spatial light

modulators 170r, 170g and 170b at their respective object planes directly onto an image plane (display surface 190) at high magnification (typically 100×-400×).

FIG. 4 expands on the design of the imaging lens 200, depicting an embodiment where the imaging lens 200 comprises two portions, a relay lens 250 and a projection lens 270, each of which comprise a multitude of lens elements 205 operating at finite conjugates and assembled into a lens housing 240. For example, relay lens 250 is designed to collect and image F/6 light from the object planes of the spatial light modulators 170 to form a real aerial intermediate image 260 at a corresponding image plane. This intermediate image 260 is then the object plane for projection lens 270, which provides a magnified image of the intermediate image to distant image plane (display surface 190), which is the nominal image plane, within an allowed depth of focus. That is, the spatial light modulators 170 are image conjugate to the intermediate image 260, which in turn is image conjugate to the display surface 190.

15 The relay lens 250 preferably provides a long working distance 245, of 150-200 mm or more, to provide clearance for the dichroic combiner 165 and the mirrors 160 (FIG. 2) in the vicinity of the spatial light modulators 170. An exemplary relay lens 250 images the spatial light modulators 170 with a lateral magnification of 1x or slightly larger, providing a real intermediate image 260 20 whose size is comparable to the image area of a frame of 35 mm movie film. As a result, projection lens 270 can potentially be a conventional projection lens designed to project 35 mm film images, such as the projection lenses currently manufactured by Schneider-Kreuznach of Bad Kreuznach, Germany.

25 While the imaging lens 200 depicted in FIG. 4 can seem more complicated than that of FIG. 2, in practice the relay lens 250 and the projection lens 270 of FIG. 4 are easier to design and produce, and therefore are of lower cost, than the equivalent unified imaging lens 200 present in commercial DLP cinema projectors today. In part this is because it is easier to provide a long working distance 245 while working near 1x magnification than when working at 30 high magnification. Additionally, this approach enables laser de-speckling, by providing a convenient location for insertion of a despeckler 180, such as a moving diffuser, at or near the intermediate image 260. In this system, the

despeckler 180 is preferably a lenslet array comprising sparsely placed lenslets having dimensions the size of one or more imaged pixels. With the despeckler 180 in operation, the projection lens 270 is preferably a faster lens ( $\sim F/3$ ) than the relay lens 250.

5            Given this background, it is noted that an experimental laser based projector 102, having the general configuration depicted in FIGS. 2, 3A, and 3B, but utilizing the imaging lens 200 of FIG. 4, with the relay lens 250, intermediate image 260, and projection lens 270 has been assembled and tested by the inventors. In operation, one prototype version of the system exhibited a loss of  
10 polarization contrast, such that the blue channel polarization contrast was  $\sim 400:1$  or greater when the projector was operated for low luminance output (at 3000 lumens for example), but was degraded to  $\sim 100:1$  when the projector is operated at levels above  $\sim 6000$  lumens without the despeckler 180, and to  $\sim 150:1$  with the despeckler 180 in operation. In particular, a polarization change or loss was most  
15 apparent for blue imagery as blue light experiences higher light absorption levels. The polarization change caused perceptible crosstalk or ghosts for projected stereoscopic images by viewers wearing polarization discriminating glasses. While this crosstalk can be remedied using the ghost correction digital post processing methods offered by Real-D, the present invention provides a preferable  
20 solution where internal lens design corrections can be used to eliminate the need for such correction.

          In particular, as the polarization contrast degrades with light level, this is consistent with the finding that the problem originates with thermally induced stress birefringence. As will become apparent, a solution is provided  
25 which involves the selective use of optical glasses in the design of the relay lens 250 and the projection lens 270. Both of these lenses, as depicted in the embodiment of FIG. 4, are generally double Gauss type lenses. The basic double Gauss lens dates back to the 1800's, with C.F. Gauss and then Alvan Clark developing the original form. First, C. F. Gauss improved upon a Fraunhofer  
30 telescope objective by adding a meniscus lens to its single convex and concave lens design. Alvan Clark then took two of these lenses and placed them back to back to obtain the double Gauss design. Paul Rudolph improved upon this further,

with the use of cemented doublets to correct for chromatic aberrations, as described in U.S. Patent 583,336. A double Gauss lens consists of two Gauss lenses back to back, forming two groups of lens elements 208 positioned about the aperture stop 230, in which the lens elements of the two groups may be identical, 5 as demonstrated by Rudolph.

FIG. 5A depicts a prior art double gauss type imaging lens 200 that images an object surface 195 along an optical axis 145 to a distant image plane (not shown). In a common basic form, it consists of an inner pair of negative lens elements 206b of flint type glasses positioned about the aperture stop 230, and 10 outer pairings of positive lens elements 206a consisting of crown type glasses. In this example, the lens element designs on either side of the aperture stop 230 (the Gauss lens assemblies) are not identical.

The significance of crown and flint glasses is illustrated by the glass chart 210 shown in FIG. 5B, which is an “Abbe-diagram” plotting the 15 widely recognized glass chart data published by Schott Glass, Inc. Crown glasses 215 have low dispersion (indicated by a high Abbe number  $v_d > 50$ ) and generally have a low refractive index ( $n_d$ ), while flint glasses 217 have comparatively high dispersions (low Abbe number  $v_d < 50$ ) and generally have higher refractive 20 indices. Weak-flints or weak-crowns, with intermediate dispersion characteristics, are found near the intermediate Abbe number,  $v_d \sim 50$ . In FIG. 5A, the lens elements are also identified as either crown lens elements 220 which is made using a crown glass 215 or a flint lens elements 222 which is made from a flint 25 glass 217.

The general symmetry of the double Gauss design approach and 25 the splitting of the optical power into many elements reduces the optical aberrations imparted by the lens system. It forms the basis for many camera lenses in use today, especially the wide-aperture standard lenses used on 35 mm and other small-format cameras. A completely symmetrical design is free of coma, distortion and TCA (transverse chromatic aberration or lateral color). There are 30 many variations of the design, with extra lens elements added, or with asymmetrical designs about the aperture stop 230, with the symmetry sacrificed to

achieve other goals. As examples, U.S. Patent 4,704,011 to R. Mercado describes a double Gauss type photographic objective, while U.S. Patent 6,795,255 to W. Reinecke et al. describes a double Gauss type movie lens for projecting images from film, and commonly assigned U.S. Patent 5,172,275 to D. DeJager describes 5 a complex double Gauss type lens applicable to motion picture film scanning.

FIG. 6A illustrates the design of a first exemplary projection lens 270 having a double Gauss lens design with two groups of lens elements 208 similar to the projection lens 270 within the imaging lens 200 illustrated in FIG. 4. The projection lens 270 has two inner negative lens elements 402 and 403 positioned about an aperture stop 230 that are flint lens elements 222, negative lens elements 402 being fabricated using Schott SF1 glass and negative lens elements 403 being fabricated using SF2 glass. The projection lens 270 also has 4 outer positive lens elements 400, 401, 404 and 405, all of which are crown lens elements 220. The largest positive lens element 405 on the far right is fabricated 10 using Ohara S-BSM-10, while the other positive lens elements 400, 401 and 404 are all fabricated using Ohara S-LAM-60, from Ohara Glass Inc. These glasses have close Schott glass equivalents, which are N-SK10 and N-LAF35, respectively. Optical rays 235 are shown tracing the path of light rays through the 15 projection lens 270.

FIG. 6B shows a modulation transfer function (MTF) plot 300 which depicts the broad spectrum MTF performance of the exemplary projection lens 270 in FIG. 6A. While not diffraction limited, it can be seen that the MTF averages ~65% at 50 cy/mm over the imaged field.

FIG. 6C depicts the design of a first exemplary relay lens 250, 25 which is a stretched version of a double Gauss lens design, similar to the relay lens 250 illustrated in FIG. 4. The relay lens 250, which has two groups of lens elements 208 plus a field lens (lens element 416) is used to form an intermediate image 260 (at an image plane) of the spatial light modulator 170 (which is positioned at an object plane). This lens design includes a pair of negative lens 30 elements 412 and 413 positioned around the aperture stop 230 that are flint lens elements 222 fabricated using Schott SF4 glass. The relay lens 250 also includes a collection of positive lens elements 410, 411, 414 and 415 that are crown lens

elements 220. The positive lens elements 410 and 411 are fabricated using Ohara S-BAL35. The positive lens element 414 is fabricated using Ohara S-LAM54, and the positive lens element 415 is fabricated using Ohara S-NSL3. The relay lens 250 also includes a positive field lens element 416 positioned near the 5 intermediate image 260, also fabricated using Ohara S-LAM54. Dichroic combiner 165 is also shown represented by a non-tilted planar element.

FIG. 6D depicts the optical performance of the relay lens 250 from FIG. 6C with MTF plot 300. The optical performance is nearly diffraction limited, with an average MTF of ~79% at 50 cy/mm over the image field.

10 As noted previously, a conventional imaging lens 200, comprising the combination of a conventional relay lens 250 (i.e., that shown in FIG. 6C) and a conventional projection lens 270 (i.e., that shown in FIG. 6A), was found to experience a drop in blue light polarization image contrast to ~150:1 or less and blue image ghosting, when transmitting laser light to the display surface 190 for 15 moderately high screen brightness levels (6,000-11,000 lumens), compared to ~400:1 polarization contrast at low screen lumen levels. Separate measurements of the polarization contrast of the output light when the imaging lens assemblies were not present showed little change with luminance levels, thus confirming that the relay lens 250 and projection lens 270 were the primary culprits. In particular, 20 these measurements showed that the blue channel (465 nm) polarization contrast degraded to ~100:1, while the green and red contrast levels remained higher (~300:1). Taken together, this data strongly suggested that the polarization contrast was degrading due to thermally induced stress birefringence effects, which would only worsen at yet higher power levels. As most optical glasses 25 (except most notably fused silica) experience higher absorption in the blue, stress birefringence can be induced more readily by blue light absorption. As a result, stress birefringence can affect image quality in the different color channels to varying extents.

Thermally-induced stress birefringence is the change in refractive 30 index ( $\Delta n(\lambda, T)$ ) induced in the glass with temperature (T), and it functionally depends on many parameters, including the wavelength  $\lambda$ , the absorption of the glass ( $\alpha$ ), the optical stress susceptibility of the glass ( $\kappa$ ), and the coefficient of

thermal expansion ( $\rho$ ). It also depends on the spatio-temporal distribution of light intensity (irradiance) or power density (for example, in units of  $\text{W/mm}^2$ ,  $\text{lumens/mm}^2$ , or lux) for the light transiting the glass.

FIGS. 7A-7F illustrate exemplary light intensity distributions that can transit the optics of the projector 102 depicted in FIGS. 2, 3A, 3B and 4. As depicted in FIGS. 3A and 3B, the laser combining assemblies 125 can produce a multitude of output light beams 140, which combine to fill or partially fill an aperture 130. Because of the structure of the laser arrays 120, which can have one or more spaced arrays of offset laser emitters 122, a multitude of individual light beams 140' within a depicted light beam 140 are obtained. Combining beams from multiple laser arrays 120 increases the multitude of individual light beams 140', many of which have traversed different optical path lengths in reaching the aperture 130. As the individual light beams 140' propagate, they merge and overlap into each other. Depending on the position in the optical system, the relative optical path lengths traversed by the various individual light beams 140', and the use of light homogenizers, integrators, or diffusers, some light beams 140' or images of laser arrays 120 or combinations thereof, may be more distinguishable than other individual light beams 140' or combinations thereof. The net result is that cross-sections of the light intensity distributions can show varying amounts of structure, depending on the location within the optical system.

To expand upon this last point, FIG. 7A illustrates a modeled light intensity distribution 320 near the aperture 130 of a light source assembly 115, in which the individual light beams 140' from the innermost laser arrays 120 are more defocused than the light beams from the outermost laser arrays. FIG. 7B illustrates two cross-sectional profiles 322 through the light intensity distribution 320 of FIG. 7A at slice positions 321. These light intensity distributions 320 are highly structured, rather than spatially uniform, as the multitude of light beams 140' are only partially overlapped with each other, and the amount of overlap differs from center to edge.

Patterns similar to that shown in FIG. 7A re-occur throughout the optical system. For example, in the angular far field of the light integrator 155

(FIG. 3B), a similarly patterned light intensity distribution 326 emerges as shown in FIG. 7E, although multiple bounces within an integrating bar have made it more complicated. Per the design intent, the integrating bar produces a nominally uniform light intensity distributions 323 at its output face as shown in the contour view of FIG. 7C. FIG. 7D shows corresponding cross-sectional profiles 325 corresponding to the slice positions 324 shown in FIG. 7C.

The light intensity distribution 323 at the output face of the integrating bar is then re-imaged to illuminate the spatial light modulator 170 of that color channel. Relay lens 250 (FIG. 4) re-images the spatial light modulators 170 to form a combined white light image (depending on image content) as real aerial intermediate image 260, which the projection lens 270 subsequently re-images onto the display surface 190. At, or near to, the aperture stops 230 of the relay lens 250 and the projection lens 270, highly structured light intensity patterns, echoing the light intensity distribution 326 of FIG. 7E, can appear, depending on the optical diffusion or angular averaging applied in the system. For example, FIG. 7F illustrates an exemplary modeled light intensity distribution 327 near the aperture stop 230 of the relay lens 250, while FIG. 7G illustrates an exemplary modeled light intensity distribution 328 near the aperture stop 230 of the projection lens 270 (without the inclusion of a despeckler 180).

It is noted that the use of despeckler 180 can cause the propagating light to be angular broadened, or spatio-temporally averaged within the projection lens, or both. As a result, the micro-structure of the light intensity distribution 320 at or near the aperture stop 230 of the projection lens 270 may not be as sharply defined, nor temporally stationary. Nonetheless, the lens elements 205 within the relay lens 250 and the projection lens 270 can experience transiting light having high optical power densities, particularly near the aperture stops 230 where the light intensity distributions 326 and 327 can have the light concentrated in numerous peaks in the micro-structure of the light intensity distributions. These concentrations of light energy can cause thermally induced optical stress birefringence throughout these lens assemblies, but particularly in lens elements 205 near the aperture stops 230.

As further background to understanding birefringence, it is known that the propagation of light can be described by wave equations, including Eq. (3) which describes a plane polarized wave  $\psi(x,t)$  as a function of the distance  $x$  and the time  $t$ , where  $A(x,t)$  is the amplitude function, and  $\phi(x,t)$  is the phase of the disturbance:

$$\Psi(x, t) = A(x, t)e^{i\phi(x, t)} \quad (3)$$

The phase of the propagating wave can be written as:

10

$$\phi(x, t) = \omega t - kx = \omega(t - x/v) \quad (4)$$

where,  $\omega$  is the rate of change of phase with time,  $k$  is the rate of change of phase with distance, and  $v$  is wave velocity. The value  $\omega$  is also known as the angular frequency, where  $\omega = 2\pi/v$ , and the value  $k$  is also known as the propagation number, where  $k = 2\pi/\lambda_0$ . The frequency  $v$  and the wavelength  $\lambda_0$  of light in free space are related by the speed of light,  $c = \lambda_0/v$ .

As light enters and traverses an optical material, it can experience a variable reflectivity and phase change  $\Delta\phi$ , depending on the angle of incidence, 20 the polarization orientation of the incident light relative to the media, the refractive index  $n$  of the optical material, and the thickness of the material. The Fresnel equations, which model surface reflectance or transmission, affect the amplitude term of Eq. (3). The refractive index in a material or medium is basically the ratio of the speed of light in vacuum  $c$  to the speed  $v$  in the medium 25 ( $n = c/v$ ). Substituting into Eq. (4) puts the phase in terms of the refractive index:

$$\phi(x, t) = \omega t - (2\pi n/\lambda_0)x \quad (5)$$

Even in an isotropic material of constant refractive index, a 30 differential phase change  $\Delta\phi$  can occur across different beam propagation angles,

as the optical path length ( $d/n$ ) in the material changes from one angle to another, where  $d$  is the thickness of the material. However, in the case of a complex structure, such as a birefringent material, a differential amount of rotation and ellipticity can be induced in the transiting light. Thus, differential phase changes

5      $\Delta\phi$  can occur depending on the angle and polarization states of the incident light; ( $\Delta\phi = \Delta\phi_{sp} = \phi_p - \phi_p$ ). In this nomenclature, “s” and “p” denote whether the electric field vector of the light oscillates perpendicularly to the plane of incidence (s-polarization) to a surface, or p-polarized light, where the electric field vector oscillates parallel to the plane of incidence over the entire range of incidence

10    angles.

Birefringent materials are non-istropic materials with respect to polarization. That is, birefringence is a directional variation of refractive index ( $\Delta n_{sp} = n_s - n_p = n_x - n_y$ ), and it can be provided by intrinsic material properties, by form-birefringent sub-wavelength structures, or by induced mechanical stresses.

15    Retardance is the resulting phase change  $\Delta\phi$  expressed as distance ( $R = \Delta\phi \lambda$ ), where the phase change  $\Delta\phi(x, d, \lambda) = 2\pi d(\Delta n/\lambda)$ . For example a  $\pi/2$  (or  $90^\circ$ ) phase change  $\Delta\phi$  can be provided by a properly oriented birefringent element (wave plate) having a quarter wave  $\lambda/4$  of retardance, which, at 550 nm, equals  $\sim 138$  nm retardance.

20    In particular, the application of uniform temperature produces changes in the mechanical stress  $\sigma$  of optical components, such as cemented elements, due to mismatches in coefficients of thermal expansion between the elements and/or the mounting materials. Temperature gradients, as can be associated with light intensity distribution micro-structures discussed previously, 25 also induce stress in single, homogeneous elements. Either residual stresses imparted during manufacturing or fabrication processes, or states of stress from pressure, inertial, or vibratory loads can cause birefringence in optical elements. In essence, the effect of stress, whether mechanically-induced or residual, is to change the index of refraction of the optical material.

30    In this case, the application of sufficient temperatures within the relay and projection lens elements of projector 102, particularly in localized

regions, causes thermally induced optical stress birefringence. The induced birefringence difference is directly proportional to the induced difference in the principal mechanical stresses or stress tensors,  $\Delta\sigma_{1,2} = (\sigma_1(x,y,z) - \sigma_2(x,y,z))$  between two spatial proximate locations, as given by equation (4);

5

$$\Delta n = \Delta n_{1,2}(x, y, z) = \kappa \Delta\sigma_{1,2} \quad (6)$$

where  $\kappa$  is the stress optical coefficient of the material, given in units of  $\text{mm}^2/\text{N}$  and the induced mechanical stress  $\sigma$  is typically given in  $\text{N/mm}^2$  (or MPa). The 10 induced stress  $\sigma$ , or equivalently the induced stress birefringence  $\Delta n$ , can be written in terms of the material temperature change induced by heating:

$$\Delta n \approx \rho E \Delta T \kappa / (1 - \mu) \quad (7)$$

15 where  $\Delta T$  is the induced temperature change. In this equation,  $\rho$  is the coefficient of thermal expansion (CTE), which for room temperature range glass, is typically given in units of  $10^{-6}/^\circ\text{K}$ . The variable  $E$  is the Young's modulus, which is a measure of the stiffness of an isotropic elastic material, and which is often given in units of  $\text{N/mm}^2$ . Poisson's ratio, the unit-less variable  $\mu$ , is a metric for the 20 orthogonal response of a material to a strain (stretching or contraction).

The induced temperature change  $\Delta T$ , can be related to the light absorption. The amplitude function  $A(x,t)$  can be expanded to show its dependence on light absorption  $\alpha$ :

25

$$A(x, t) = A(x) = A_0 e^{-\alpha x / 2} \quad (8)$$

where  $A_0$  is the initial amplitude. This leads to Beer's Law that describes the exponential nature of light absorption:

$$I(x) = I_0 e^{-\alpha x} \quad (9)$$

where  $I(x)$  is the light intensity (or irradiance) in units of  $\text{W/m}^2$ , and  $I_0$  is the initial light intensity.

5 The volume heat generation  $Q(x)$ , in  $\text{W/m}^3$ , from the light absorbed within a thickness  $x$  of a material, as a function of the light intensity  $I(x)$ , and the internal transmittance  $t_i$  or the absorption coefficient  $\alpha$ , is:

$$Q(x) = I(x)(1 - t_i)/x = I(x)(1 - e^{-\alpha x})/x \quad (10)$$

10

The law of Heat Conduction, or Fourier's Law, in a one-dimensional form is given by  $q_x = -K dT/dx$ , where  $q_x$  is the local heat flux, in  $\text{W/m}^2$ , and  $K$  is the thermal conductivity (in  $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ). It is noted that while heat transfer and balance (steady state) depends on heat conduction, convection, 15 and radiation, for an optical structure, conduction is often the most important factor in determining temperature gradients or changes. Also, the thermal conductivity  $K$  of most materials, including glass, is fairly constant over a broad range of temperatures. Fourier's Law can be integrated to derive a change in temperature  $\Delta T$  affecting an area from light absorption:

20

$$\Delta T \approx I_0 L x \alpha / 4K \quad (11)$$

where  $L$  is the axial thickness of the optical element

Substituting Eq. (11) into Eq. (7) links the stress induced birefringence to 25 the incident light intensity and the material absorption:

$$\Delta n \approx I_0 L x \frac{\rho \kappa \alpha E}{4K(1 - \mu)} \quad (12)$$

This equation suggests several figure of merits or metrics which are useful to the present invention. The first thermal stress birefringence metric:

$$M_1 = \frac{\rho \kappa \alpha E}{K(1-\mu)} \quad (13)$$

5

is a materials (glass) only stress birefringence metric which can be useful in selecting candidate glasses. A second intensity-weighted thermal stress birefringence metric:

10

$$M_2 = I_0 L M_1 = I_0 L \frac{\rho \kappa \alpha E}{K(1-\mu)} \quad (14)$$

is valuable because it factors in the light intensity (i.e., optical power density) incident ( $I_0$ ) to the optical element and the axial thickness ( $L$ ) of the optical element.

15

In Eq. (12), the distance  $x$  is a position within the material, relating to the fact that the intensity given in Eq. (9) and the change in temperature given in Eq. (11) cause localized changes in stress birefringence  $\Delta n$  as a function of position. Including  $x$  in metrics  $M_1$  or  $M_2$  would add little insight relative to glass selection for different lens elements.

20

An intermediate thermal stress birefringence metric,  $M_3 = M_1 \cdot L$ , suggests that thinner lens elements (smaller thickness  $L$ ) can tolerate higher values of  $M_1$ . However, as will be seen, the variations in  $M_1$  and  $I_0$  tend to dominate the selection and design process.

25

The second metric  $M_2$  factors in the power densities present in the lens elements 205, which can vary through the lens assemblies of the relay lens 250 and the projection lens 270. As the light intensity distributions in the lenses (see FIGS. 7A-7E) can evidence, micro-structures with the highest light intensities occur in regions proximate to the aperture stops 230. As a result, the inventors have discovered that glass selection is most critical for lens elements in these

regions. Therefore, the intensity-weighted thermal stress birefringence metric  $M_2$  has been found to be helpful, provided that peak light intensities ( $I_0$ ) can be reasonably estimated.

The inventors used finite element analysis (FEA) to thermal-  
5 mechanically model the temperature induced stress from light absorption in the projection lens 270 of FIG. 6A. This modeling indicated that the optical flux levels transiting the negative lens elements 402 and 403 nearest the aperture stop 230 of the projection lens 270 can be 8 $\times$  (or more) higher than the light levels transiting the outermost positive lens elements 400 and 405, causing localized  
10 temperature changes of  $\Delta T \sim 5$  °C. These localized temperature changes in turn cause mechanical stress, and thus birefringent refractive index changes  $\Delta n$ .

With this background, The tables given in FIG. 8A-8D provides data on an assortment of modern glasses by name, along with key parameters including their refractive indices  $n_d$ , Abbe number  $v_d$ , internal transmission  $t_i$ ,  
15 absorption  $\alpha$  (where  $\alpha = -\ln(t_i)/x$ ), stress optical coefficient  $\kappa$ , thermal conductivity  $K$ , coefficient of thermal expansion (CTE)  $\rho$ , Poisson's ratio ( $\mu$ ), Young's modulus (E), and the glass-only figure of merit  $M_1$ . The absorption coefficient values ( $\alpha$ ) were calculated using internal transmittance values at  $\lambda = 460$  nm. Although other assessment wavelengths can be used, most glasses  
20 experience an increase in internal absorptance in the UV-to-blue spectral range, and thus have increased stress birefringence potential compared to green or red spectral ranges. FIG. 8A shows various glass properties for a collection of low stress birefringence susceptibility glasses. FIG. 8B shows the same properties for a collection of moderate and high stress birefringence susceptibility glasses.  
25 FIGS. 8C and 8D show the calculated values for the thermal stress birefringence metrics  $M_1'$ ,  $M_1''$  and  $M_1$ , for the glasses in FIGS. 8A and 8B, respectively.

The tables of FIGS. 8A-8D show a subset of the glasses (<15%) available in the combined Schott and Ohara glass catalogs, which feature a disproportionate share of glasses that have advantageous properties for low  
30 thermally induced stress birefringence. One of the most common glasses, Schott

BK-7 glass, has a good low value for the figure of merit  $M_1$  ( $\sim 0.51 \times 10^{-6} \text{ W}^{-1}$ ), while the new lead free glasses (such as N-SF2 and N-SF4) tend to have higher  $M_1$  values than the original glasses (SF-2 and SF-4) that they replace. The tables of FIGS. 8A-8D includes only Schott or Ohara optical glasses, but alternative or 5 equivalent glasses from other manufacturers can be analyzed and used in design appropriately.

The glasses in the tables of FIG. 8A-8D are also identified as to whether they are considered to be crowns or flints. By this glass metric, the best potential glasses, relative to minimizing thermally induced stress birefringence 10 continue to be fused silica (represented by Schott Lithosil-Q) and Schott SF-57 (or its equivalents, such as Ohara PBH56), as they have very low or negligible thermal stress birefringence metric values ( $M_1 < 0.1 \times 10^{-6} \text{ W}^{-1}$ ). These glasses are the same ones suggested by Schmidt et al., and others. In examining this table, which spans nearly the full range of available glasses, it is noted that the glass 15 only thermal stress birefringence metric  $M_1$  varies over a range of 25,000:1 from the best glass (Lithosil;  $M_1 \sim 0.001 \times 10^{-6} \text{ W}^{-1}$ ) to the worst glass (Ohara S-NPH2;  $M_1 \sim 28.5 \times 10^{-6} \text{ W}^{-1}$ ). Notably, there is a  $\sim 8 \times$  or more jump in the  $M_1$  values from the negligible stress birefringence susceptibility glass group ( $M_1 \approx 0.001 \times 10^{-6} \text{ W}^{-1}$  to  $0.05 \times 10^{-6} \text{ W}^{-1}$ ) that contains fused silica, SF-57, and PBH56, to the best of the 20 low stress birefringence susceptibility glasses, such as Schott LLF1 or Ohara S-NSL36 ( $M_1 \approx 0.36 \times 10^{-6} \text{ W}^{-1}$  to  $0.46 \times 10^{-6} \text{ W}^{-1}$ ).

The Tables of FIGS. 8C-8D also include two constituent figures of merit  $M_1'$  and  $M_1''$ . The first constituent figure of merit  $M_1' = \rho \kappa \alpha$ , and accounts only for the dominant parameters: the coefficient of thermal expansion ( $\rho$ ), the 25 stress optical coefficient ( $\kappa$ ), and the absorption coefficient ( $\alpha$ ), whose values vary widely, by  $\sim 30 \times$ ,  $\sim 200 \times$ , and  $\sim 950 \times$ , respectively, amongst the different glasses. Relative to the first constituent figure of merit  $M_1'$ , fused silica has the smallest value as its absorption and CTE are both very low, while SF-57 and PBH56 do well because their stress optical coefficients are low. Likewise, the worst glasses 30 relative to the glass-only thermal stress birefringence metric  $M_1$ , Schott N-SF4

and Ohara S-NPH4, are the worst relative to the first constituent figure of merit  $M_1'$  metric as well, with values 6,000-12,000 greater than Lithosil fused silica.

However, for the purposes of choosing glasses for lens design, accurately discriminating among the glasses with low or moderate stress 5 birefringence susceptibility is important. It is noted that several materials properties, namely Poisson's ratio  $\mu$ , Young's modulus  $E$ , and the thermal conductivity  $K$  all individually vary in a limited range of  $\sim 2\text{-}2.5\times$ , and thus have secondary impact on the glass-only thermal stress birefringence metric  $M_1$ . However, in making glass selection decisions for lens design, these secondary 10 factors can be important. Thus, the tables of FIGS. 8C-8D also shows a secondary constituent metric  $M_1''$  for just these terms, where  $M_1'' = E / (K (1-\mu))$  and  $M_1 = M_1' \cdot M_1''$ .

In looking at the data column for the secondary constituent metric 15  $M_1''$ , it is noted that fused silica (Lithosil) does well again, because it's Poisson's ratio  $\mu$  and thermal conductivity  $K$  have advantageous values. But glasses such as Ohara S-NSL36, Ohara S-NSL-3, Schott LF-5, and Schott LLF1 are also 20 comparatively advantaged relative to other glasses, as their  $M_1''$  values are  $2\text{-}3\times$  lower than the most penalized glasses. Thus, using  $M_1'$  as a surrogate for  $M_1$  can give an incorrect indication of the stress sensitivity relative to the glasses with low or moderate  $M_1$  values, and potentially lead to poor glass choices during lens design.

The accompanying tables shown in FIGS. 9A and 9B provide 25 estimates for the intensity-weighted thermal stress birefringence metric  $M_2$  for the lens elements in the first exemplary designs, projection lens 270 of FIG. 6A and the relay lens 250 of FIG. 6C, respectively. In each of these tables, the lens elements are identified with the lens element part numbers from FIG. 6A. In both tables, values for the glass-only thermal stress birefringence metric  $M_1$  are given for each of the lens elements, corresponding to the values given in the tables of FIGS. 8A-8D. An aggregate  $M_1$  value is also given for each lens assembly. In 30 estimating the intensity-weighted thermal stress birefringence metric  $M_2$ , axial

thickness values (L) are used for the lens elements, although alternate values, such as the average lens thickness of the traversed clear aperture of a given lens element, could be used instead. The tables also include values for the normalized power loads on each element, based on modeled estimated optical power loads in 5  $\text{W/mm}^2$  or lux. The intensity-weighted thermal stress birefringence metric  $M_2$  is then estimated for each lens element using the normalized power load values. An aggregate  $M_2$  value is also given for each lens assembly.

The first exemplary projection lens design of FIGS. 6A-6B was designed with priority for image quality, and with thermally induced stress 10 birefringence not ignored, but treated as a secondary consideration. Thus, the table of FIG. 9A shows a glass set comprising glasses which are all solidly in the moderate range for the glass-only thermal stress birefringence metric ( $M_1 \sim 1.0 \times 10^{-6} \text{ W}^{-1}$  on average). The glasses used in this design do not include any 15 glasses having negligible  $M_1$  values (such as PBH56 or fused silica), or any glasses having very high  $M_1$  values (such as Schott N-SF2 or N-SF4). As the 20 various elements have comparable thermal stress birefringence metric  $M_1$  values, and as the highest applied power densities occur at lens elements 400-402, which are closest to the spatial light modulator 170, the aggregate intensity-weighted thermal stress birefringence metric  $M_2$  is dominated by lens elements 400-402.

FIG. 10A illustrates the design of a second exemplary projection 25 lens 270. Like the first exemplary projection lens of FIG. 6A, this lens generally has the classic double Gauss form with two groups of lens elements 208, with four inner negative lens elements 422, 423, 424 and 425 about the aperture stop 230, and four outer positive lens elements 420, 421, 426 and 427. This lens was 30 designed with a priority on reducing thermally stress birefringence, therefore all of the lens elements are either fused silica lens elements 428 or Ohara PBH56 lens elements 429. In particular, the four inner flint glass lens elements 422, 423, 424 and 425 are fabricated using the very low stress Ohara PBH56 glass, and the four outer crown glass lens elements 420, 421, 426 and 427 are all fabricated using the lowest absorption crown glass, fused silica.

In considering the tables of FIGS. 8A-8D, it is noted that the Schott SF57 glasses and Ohara S-FSL-5 have glass-only thermal stress birefringence metric  $M_1$  values that are  $\sim 1/2$  that of Ohara PBH56. However, other considerations influence the design choices. In particular, the Schott SF57 types 5 are in rare supply, whereas PBH56 is readily obtainable in fairly large quantities. Furthermore, the Ohara S-FSL5 glass is very expensive (about 13 $\times$  that of BK7), and is not very desirable relative to high susceptibility to staining.

The table of FIG. 11A shows thermal stress birefringence metric values for the second exemplary projection lens of FIG. 10A. It can be seen that 10 this configuration has a greatly reduced  $M_1$  figure of merit that is  $\sim 35\times$  lower in value than the first exemplary projection lens of FIG. 6A (compared to the table of FIG. 9A). However, as can be seen from the MTF plot 300 in FIG. 10B, the image quality has comparatively suffered, even though the second exemplary projection lens of FIG. 10A has eight lens elements instead of six. In particular, as previously 15 discussed with respect to FIG. 6B, the first exemplary projection lens provides  $\sim 65\%$  MTF at 50 cy/mm over the imaged field. By comparison, the second exemplary projection lens of FIG. 10A provides  $\sim 68\%$  MTF on-axis, but averages an unacceptable 32% MTF off-axis. The off-axis MTF is only half of what was achieved with the first exemplary projection lens.

20 Similarly, FIG. 10C illustrates a second exemplary relay lens 250 which was designed with high prioritization to reducing susceptibility to thermally induced stress birefringence. In particular, all the lens elements 430-436 have negligible  $M_1$  values, and are either fused silica lens elements 437 or SF-57 lens elements 438. This lens has the same general configuration of the relay lens 250 of FIG. 6C, except that it resembles a double Gauss type lens even more weakly. 25 However, like the projection lens 270 of FIG. 10A, the two innermost lens elements 432 and 433 positioned about the aperture stop 230 are negative lenses fabricated using a low stress flint glass (SF-57 in this case). The outer lens elements 430, 431, 434 and 435 are fabricated using the low absorption crown 30 glass fused silica. Additionally, a field lens element 436 positioned near the intermediate image 260 is fabricated using SF-57.

FIG. 11B shows a table giving the values of the thermal stress birefringence metrics  $M_1$  and  $M_2$  for the second exemplary relay lens of FIG. 10C. Comparing them to the values given in FIG. 9B, it can be seen that they are much lower ( $\sim 90\times$ ) than the first exemplary relay lens of FIG. 6C, indicating that the 5 expected susceptibility to thermally induced stress birefringence would be greatly reduced. However, as demonstrated by the corresponding MTF plot 300 of FIG. 10D, the image quality of the second exemplary relay lens of FIG. 10C has also suffered relative to the first exemplary relay lens of FIG. 6C. In particular, whereas FIG. 6D showed  $\sim 79\%$  MTF at 50 cy/mm over the imaged field for the 10 first exemplary relay lens, FIG. 10D shows that the second exemplary relay lens provides  $\sim 71\%$  MTF on-axis, and an average of only  $\sim 56\%$  MTF off-axis.

These results suggest that a balanced design approach, giving comparable priority to attaining both good image quality and low thermally induced stress birefringence, is advantageous to the designs of both the projection 15 lens and the relay lens. FIG. 12A illustrates the design of a third exemplary projection lens 270, which differs from its predecessors in that a balanced design emphasis was given to both good image quality and low thermally induced stress birefringence. Like the first and second exemplary projection lenses shown in FIGS. 6A and 10A, this projection lens by appearance also has the classic double 20 Gauss form with two groups of lens elements 208, except that the larger negative meniscus lens element 442 to the left of the aperture stop 230, is a crown fused silica lens element 446 instead of a flint (PBH56), which goes against the classical form of this lens type. The smaller negative meniscus lens element 443 is a flint glass PBH56 lens element 447. However, the other lens elements, the four outer 25 crown lens elements 440, 441, 444 and 445 are Ohara S-LAL18 lens elements 448, rather than fused silica or PBH56 lens elements.

In undertaking the design of this lens system, the use of a high-index, weak-crown glass, such as Ohara S-LAL18, was useful for these outer elements to provide an improved MTF performance relative to the second 30 exemplary projection lens of FIG. 10A, while providing improved thermal stress birefringence performance relative to the first exemplary projection lens of FIG. 6A. Amongst the candidate high-index, weak-crown glasses, Ohara S-

LAL18 glass has the lowest glass-only thermal stress birefringence metric  $M_1$  value available ( $M_1 = 0.726 \times 10^{-6} \text{ W}^{-1}$ ), compared to other such glasses, like Ohara S-LAL8 ( $M_1 = 1.15 \times 10^{-6} \text{ W}^{-1}$ ), Ohara S-LAL54 ( $M_1 = 1.31 \times 10^{-6} \text{ W}^{-1}$ ), or Ohara S-LAL61 ( $M_1 = 1.56 \times 10^{-6} \text{ W}^{-1}$ ), or high-index, weak-flint glasses like 5 Ohara S-LAH66 ( $M_1 = 1.09 \times 10^{-6} \text{ W}^{-1}$ ).

FIG. 12B depicts the MTF plot 300 for the third exemplary projection lens 270 of FIG. 12A. The MTF averages  $\sim 59\%$  at 50 cy/mm at all field points. While the image quality is not quite as good as the first exemplary projection lens (see FIG. 6B), it is much better than the performance provided by 10 the second exemplary projection lens (see FIG. 10B).

Accompanying tables FIGS. 13A and 13B are provided for these third exemplary projection lens and relay lens designs, which give calculated values for the thermal stress birefringence metrics  $M_1$  and  $M_2$ . These tables can be compared to those for the two alternate designs for the projection lens 270 and 15 relay lens 250, given in FIGS. 9A-9B and FIGS. 11A-11B, to explore the different trade-offs with respect to reducing stress birefringence versus providing enhanced image quality.

The table in FIG. 13A shows that the aggregate glass-only thermal stress birefringence metric has a value  $M_1 = 2.95 \times 10^{-6} \text{ W}^{-1}$ , which is  $\sim 2\times$  smaller 20 than the value for the first exemplary lens of FIG. 6A (see FIG. 9A). This table also shows that the intensity-weighted thermal stress birefringence metric  $M_2$ , which factors in lens element thickness and the incident light intensity, is even 25 larger, approaching a  $3\times$  improvement. While the innermost lens elements 442 and 443 experience the highest thermal loads, their  $M_1$  values are so low that the  $M_2$  values remain low even with the incident light intensity factored in. As a 30 result, minimal contrast loss is expected from these elements. While the outer lens elements 440, 441, 444 and 445 have higher  $M_1$  values, their power loads are much more modest, and their  $M_2$  values are muted.

Comparing the data of FIG. 13A to that of FIG. 9A suggests that 30 further opportunities to improve the lens design relative to stress birefringence,

particularly with respect to lens element 444. If this lens element could be fabricated using a glass with a lower  $M_1$  value, the susceptibility to stress birefringence could be measurably improved. While using fused silica or PBH56 would certainly help for stress birefringence, their dispersion properties would 5 have a negative impact on the image quality. As an alternative, a glass near the middle of the glass chart (FIG. 5B), such as a weak-crown or weak-flint, that has both intermediate dispersion and low stress birefringence characteristics can be used for this element, to help balance color aberrations while also reducing stress birefringence.

10 As one example, a low-index ( $n_d = 1.517$ ) weak-crown glass ( $v_d = 52.4$ ), such as Ohara S-NSL36, which has a smaller glass-only thermal stress birefringence metric ( $M_1 = 0.463 \times 10^{-6} \text{ W}^{-1}$ ) than does the high-index ( $n_d = 1.729$ ) weak-crown ( $v_d = 54.7$ ) Ohara S-LAL18 ( $M_1 = 0.726 \times 10^{-6} \text{ W}^{-1}$ ), can be used instead. This can be helpful, potentially reducing the stress birefringence 15 susceptibility per  $M_2$  by 25% compared to the current third exemplary projection lens. Likewise, other neighboring glasses like Ohara S-BAL-11 (a low-index crown,  $n_d = 1.572$ ,  $v_d = 57.7$ ,  $M_1 = 0.529 \times 10^{-6} \text{ W}^{-1}$ ), Schott LLF-1 (a low-index weak-flint,  $n_d = 1.548$ ,  $v_d = 45.8$ ,  $M_1 = 0.375 \times 10^{-6} \text{ W}^{-1}$ ) or Schott LF5 (a low-index flint,  $n_d = 1.581$ ,  $v_d = 40.9$ ,  $M_1 = 0.453 \times 10^{-6} \text{ W}^{-1}$ ), all with relatively low 20 glass-only figure of merit values ( $M_1 < 0.53 \times 10^{-6} \text{ W}^{-1}$ ) can also be used advantageously. However, as these glasses have lower refractive indices than does Ohara S-LAL18, the shape of lens element 444, or any lens elements that such a change was applied to, would likely become more severe to deliver the same optical power, which in turn can introduce more aberrations. Understandably such 25 substitutions cannot be done without incurring further design optimizations, including perhaps corrective glass changes for other elements. For example, another lens element may be required between lens elements 444 and 445 (FIG. 12A).

In considering FIGS. 8, 11A and 13A, a threshold is suggested for 30 choosing glasses for lens design where the stress birefringence susceptibility must

be low or negligible. In particular, applying a glass selection limit restricting choices to glasses having low thermal stress birefringence metric values (e.g.,  $M_1 \leq 0.80 \times 10^{-6} \text{ W}^{-1}$ ) is useful in many cases. This limit includes not only the negligible  $M_1$  glasses like fused silica and PBH56, but also BK7 (the most

5 commonly used glass), together with middle-of-the-glass-chart low-index weak-flints or crowns (such as LLF1, S-NSL36) and other similar glasses (LF5, S-BAL11). It also includes high-index, middle-of-the-glass-chart glasses (S-LAL18 and SLAL7 ( $M_1 = 0.736 \times 10^{-6} \text{ W}^{-1}$ ), a high-index flint (SF6), a middle-index flint (F2) and two glasses with useful partial dispersion characteristics for color

10 correction (N-PK52A and N-FK51A). Certainly, the development of new optical glasses, resident in the middle of the glass chart with high ( $n_d > 1.70$ ) or low ( $n_d < 1.60$ ) refractive indices, but with yet lower stress birefringence susceptibility ( $M_1 \sim 0.2 \times 10^{-6} \text{ W}^{-1}$ , for example) would help this class of design problems significantly.

15 For lens designs where thermally induced stress birefringence is a high priority, the inventors have found that advantaged results can be obtained if the lens elements nearest the aperture stop 230 (such as lens elements 442 and 443 in FIG. 12A) are made using glasses with negligible thermal stress birefringence metric  $M_1$  values (e.g.,  $M_1 \leq 0.1 \times 10^{-6} \text{ W}^{-1}$ ). Other lens elements having small

20 clear apertures (radial size), or experiencing high power densities (typically in the next grouping of lens elements outward from the aperture stop 230 such as lens elements 441 and 444 in FIG. 12A), or a field lens near a small object (such as lens element 456 of FIG. 12C) can also benefit from the use of these same negligible  $M_1$  glasses. However, for cases where image quality optimization

25 motivates other glass choices, then opening up the glass choices to include the low  $M_1$  value glasses (e.g.,  $0.1 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 0.8 \times 10^{-6} \text{ W}^{-1}$ ) can help significantly. For lens elements experiencing even less power density exposure, the glass selection list can be relaxed further, for example to include additional moderate  $M_1$  value glasses (e.g.,  $0.8 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ). As this threshold

30 expands the list to include several high-index flint glasses (such as SF1, SF2, or

SF4) and high-index, middle-of-the-glass-chart glasses (such as LAH-66, LAM60, LAL54, and LAL61), the lens design latitude is opened up significantly. In some cases, these same moderate  $M_1$  glasses ( $0.8 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ) can be used for more interior lens elements that experience higher power densities if it

5 is found that this would provide a significant improvement in image quality., However, in order to keep thermal stress birefringence levels low, the thickness (L) of such lens elements should generally be kept small (a few millimeters, for example). Using the more restrictive low  $M_1$  threshold ( $M_1 \leq 0.80 \times 10^{-6} \text{ W}^{-1}$ ) eliminates more than 85% of the glasses in the glass chart from consideration,

10 while using the less restrictive moderate  $M_1$  threshold ( $M_1 \leq 1.60 \times 10^{-6} \text{ W}^{-1}$ ) eliminates about 60% of the glasses (relative to the current Schott and Ohara optical glass catalogs). Obviously, a lens designer can choose to select glasses where  $M_1 > 1.60 \times 10^{-6} \text{ W}^{-1}$  in order to meet image quality requirements, particularly for lens elements experiencing a low power density, however this will

15 come at the cost of increased thermal stress birefringence susceptibility.

The prescription for the third exemplary projection lens 270, shown in FIG. 12A, is provided in the table of FIG. 14A, with the data for radii (lens shape or curvature), thicknesses, and materials included. All the lens surfaces have spherical, rather than aspheric, toric, or cylindrical profiles. Potentially the use of

20 rotationally symmetric aspheric surfaces on one or more lens elements can provide even greater design freedom, such that more lens elements can be made using low thermal stress birefringence susceptibility glasses (e.g.,  $M_1 \leq 0.80 \times 10^{-6} \text{ W}^{-1}$  instead of  $M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ) while maintaining image quality.

25 Parameters, such as aspheric coefficients, are commonly used to describe aspheric surfaces in lens design programs such as Code V and Zemax and are described in optical texts such as "Lens Design Fundamentals," by R. Kingslake (Academic Press, New York, 1978). Aspheric surfaces usually enable improvements (reduced magnitudes) to the monochromatic aberrations of a lens

30 system. However, the use of aspheric surfaces profiles can indirectly improve color aberrations as well by redistributing optical power in a lens system. Such

surfaces may also yield solutions that depart from the traditional double gauss lens form described earlier. Thus, the use of aspheric surfaces can enable an alternate design for an imaging lens or lens system, including the projection lens 270 of FIG. 12A, potentially providing both better image quality performance and a 5 better selection of glasses for low thermal stress birefringence susceptibility. For example, the use of one or more aspheric surfaces in the design of the third exemplary projection lens could enable lens element 444, which according to its  $M_2$  value, experiences high power loading, to be switched to the low stress Ohara S-NSL36 glass from the higher stress Ohara S-LAL18 glass, without the need to 10 the aberration compensating lens element proposed earlier.

FIG. 12C illustrates a third exemplary relay lens 250 which was designed with a balanced prioritization relative to achieving both low stress birefringence susceptibility and good image quality. This third exemplary relay lens has the same general configuration of the first and second exemplary relay 15 lenses of FIGS. 6C and 10C, although it resembles a classic double Gauss type lens more closely than does the FIG. 10C lens. In this lens system, the two innermost lens elements 452 and 453 positioned about the aperture stop 230 are negative PBH56 lens elements 457 fabricated using the low-stress flint glass PBH56. The field lens element 456 near the image plane or intermediate image 20 260 is also a PBH56 lens element 457. Lens element 451 is a low-absorption crown glass fused silica lens element 459. The other lens elements 450, 454 and 455 are Ohara S-LAL18 lens elements 458, which have a relatively low  $M_1$  value 25 ( $M_1 = 0.726 \times 10^{-6} \text{ W}^{-1}$ ). The prescription for the third exemplary relay lens 250, shown in FIG. 12C, is provided in the table of FIG. 14B, with the data for radii, thicknesses, and materials included.

The table given in FIG. 13B shows the thermal stress birefringence metric values for the third exemplary relay lens of FIG. 12C. In considering the data of FIGS. 9B, 11B and 13B, it can be seen that the thermal stress birefringence metrics  $M_1$  and  $M_2$  for the second exemplary relay lens (FIG. 11B) are much 30 lower (~90x) compared to the first exemplary relay lens (FIG. 9B), whereas the third exemplary relay lens (FIG. 13B) has thermal stress birefringence metrics  $M_1$

and  $M_2$  that are only  $2\text{-}3\times$  lower compared to the first exemplary relay lens. (FIG. 9B). However, the smaller improvements in the thermal stress birefringence susceptibility is offset by better image quality performance.

FIG. 12D shows an MTF plot 300 characterizing the imaging 5 quality performance for the third exemplary relay lens of FIG. 12C. As per the balanced design intent, the image quality of this third exemplary relay lens is substantially improved relative to the second exemplary relay lens (see FIG. 10D), having  $\sim 79\%$  MTF at 50 cy/mm. The third exemplary relay lens provides nearly diffraction limited performance for all field positions, much like the first 10 exemplary relay lens (see FIG. 6D).

In examining the thermal stress birefringence susceptibility data in FIG. 13B for the third exemplary relay lens of FIG. 12C250, it is seen that lens element 454 is the dominant contributor to the intensity-weighted thermal stress birefringence metric  $M_2$ . This suggests that changing lens element 454 from 15 SLAL-18 ( $M_1 = 0.726 \times 10^{-6} \text{ W}^{-1}$ ) to a lower stress glass, such as Ohara S-NSL36 ( $M_1 = 0.462 \times 10^{-6} \text{ W}^{-1}$ ), would significantly reduce the thermal stress birefringence susceptibility. Of course, as this glass has a lower refractive index, the lens would have to be redesigned to compensate for the loss of optical power, while nominally maintaining the image quality. Additional lens elements, aspheric 20 surfaces, or other corrective approaches can be employed to accomplish this.

The lens designs prescribed in FIGS. 14A and 14B, and shown in FIGS. 12A and 12C, were fabricated, assembled, and tested in the projector 102 (FIG. 2) of the present invention. This projector used light source assemblies 115 comprising multiple laser arrays 120 and combining optics, in general accordance 25 with the design principle associated with FIGS. 2, 3A and 3B. This projector also used the basic imaging lens architecture of FIG. 4, with the third exemplary projection and relay lenses of FIGS. 12A and 12C substituted for the first exemplary projection and relay lenses of FIGS. 6A and 6C.

Significantly improved levels of polarization contrast were 30 observed in an operational projector providing  $\sim 11,000$  screen lumens when using the third exemplary projection and relay lenses of FIGS. 12A and 12C, with

~200:1 stabilized polarization contrast without a despeckler 180, and ~250:1 polarization contrast with an operating despeckler 180. As discussed earlier, it is expected that even further improvements can be realized by making additional adjustments to the lens designs.

5                   In considering the prior discussion, it is noted that lens designers typically guide their efforts using a lens design program merit function to combine differentially weighted terms that express their design intent. For example, a multi-factorial lens design merit function can contain terms for focal length, aberration correction, working distance, glass selection, and numerous other 10 parameters. However, at present, stress birefringence is not available as a design control parameter or term available for lens design merit functions in common optical design programs such as Code V<sup>TM</sup> (Optical Research Associates, Pasadena, CA) or Zemax<sup>TM</sup> (Zemax Inc., Bellevue, WA). Moreover, many of the constituent factors on which the glass-only thermal stress birefringence metric  $M_1$  15 depends (such as Poisson's ratio ( $\mu$ ) or the stress optical coefficient ( $\kappa$ )) are also not directly available as sourced data in the databases of the lens design programs. As a result, in order to reduce stress birefringence while optimizing image quality, the lens designer will generally need to be guided less by automated computer processor calculations than is typical today.

20                   In some cases, lens design programs may have capabilities that would enable a lens designer to import the additional optical materials data into the program databases, and then supply the  $M_1$  and  $M_2$  equations as calculative and weighted parameters for a lens design program merit function to provide a method for more fully automating the design of an imaging lens having a reduced 25 susceptibility to thermally induced stress birefringence.

                         The merit function should generally include one or more image quality performance terms and at least one term related to a thermally-induced stress birefringence performance term computed using a thermal stress birefringence metric. The lens design can then be automatically optimized by 30 optimizing the merit function. In this way, the program will be enabled to

automatically balance the thermal stress birefringence susceptibility and the image quality performance, as well as any other relevant lens attributes.

An example of a lens design merit function  $Q$  that can be used to guide the lens optimization process is:

5

$$Q = w_a \sum_n w_A A_n + w_b \sum_m w_B G_m + w_c \sum_i w_C M_{2,i} \quad (15)$$

where  $A_n$  are aberration or image quality correction terms (for example, for spherical aberration, astigmatism, MTF, PSF (point spread function) or encircled 10 energy),  $G_m$  are terms for geometrical limits or attributes (for example, for working distances, angular limits, collected numerical aperture (NA) or F-number (F/#), field of view, magnification, focal length, track length, lens element thickness limits, or depth of focus) and  $M_{2,i}$  are terms for the intensity-weighted thermal stress birefringence metric for the  $i^{\text{th}}$  lens element. The weighting factors 15  $w_a$ ,  $w_b$ ,  $w_c$ ,  $w_A$ ,  $w_B$  and  $w_C$  are used to adjust the relative importance of the various terms in the merit function according to the requirements of a particular application. It is recognized that early in the lens design process, knowledge of the optical power loads may be limited. Therefore, in some embodiments, the lens 20 design merit function  $Q$  can use terms for thermal stress birefringence that express the glass only stress birefringence metric  $M_1$  instead of the intensity weighted  $M_2$  metric. The lens design merit function  $Q$  can include numerous other terms, including ones that enable automated glass optimization. In this context, other lens design parameters, such as lens element curvatures (radii), thicknesses, 25 positions (including cemented or not), use of aspheric coefficients, glass choices, or the number of lens elements, are the variables that are adjusted when attempting to optimize the lens relative to the merit function  $Q$ . These variable parameters can have targets, ranges, or limits within the lens design merit function.

FIG. 15 shows a flow chart of an exemplary method for designing 30 an imaging lens having reduced susceptibility to thermally-induced stress birefringence which involves optimizing a merit function that includes a

thermally-induced stress birefringence performance term. An input to the lens design process is a set of lens design requirements 500. The lens design requirements would include lens design attributes such as various geometrical properties (e.g., focal length, F/#, working distance and magnification), as well as 5 image quality requirements and thermal stress birefringence susceptibility requirements.

Another input to the lens design process is a database of glass materials data 505 accessible from a memory having glass information relevant to the lens design process. Preferably, the glass materials data 505 would include 10 refractive indices ( $n_d$ ), Abbe number ( $v_d$ ), absorption coefficient ( $\alpha$ ), stress optical coefficient ( $\kappa$ ), thermal conductivity (K), coefficient of thermal expansion ( $\rho$ ), Poisson's ratio ( $\mu$ ) and Young's Modulus (E).

A compute glass-only birefringence metrics step 510 is used to determine glass-only thermal stress birefringence metrics (e.g.,  $M_1$ ) for the glasses 15 in the glass materials data 505 to determine an updated table of glass materials data with birefringence metrics 515. Generally, glasses having a negligible or low susceptibility to thermal stress birefringence should be used to design imaging lenses having a reduced susceptibility to thermally-induced stress birefringence. Therefore, it is useful to define two sets of candidate glasses: a first set of 20 candidate glasses having a negligible susceptibility to thermal stress birefringence (e.g.,  $M_1 \leq 0.1 \times 10^{-6} \text{ W}^{-1}$ ), and a second set of candidate glasses having at most a moderate susceptibility to thermal stress birefringence (e.g.,  $0.1 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 1.60 \times 10^{-6} \text{ W}^{-1}$ ).

A determine nominal lens design step 520 is used to determine a 25 nominal lens design 525 that satisfies the lens design requirements 500, responsive to the glass materials data 505. Preferably, the determine nominal lens design step 520 is performed using any one of the common lens design software packages (e.g., Code V<sup>TM</sup>, or Zemax<sup>TM</sup>) available for use on personal computers leveraging well-known lens design methods. This effort is commonly undertaken 30 using a lens design merit function Q and an iterative optimization process. Generally, such lens design methods include using the lens design software to

determine shapes, sizes, spacings and optical glasses for a plurality of lens elements. The resulting nominal design can be stored in a memory, and used as a baseline for both further optimization and comparison.

A define merit function step 560 is used to define a merit function 565, such as the example given in Eq. 15. The merit function 565 will generally include one or more image quality performance terms and a thermally-induced stress birefringence performance term in order to select a lens design that simultaneously produces high image quality and low stress birefringence susceptibility. It is understood that the merit function 565 is mutable, and that a lens designer may add or remove terms from a lens design merit function Q, or change weighting factors, limits, or ranges of acceptability, during the course of the design effort.

A substitute negligible and low birefringence glasses step 570 is used to substitute glasses having a negligible or a low susceptibility to thermal stress birefringence for the glasses in the nominal lens design 525. This step can be done automatically using software executed by a computer processor.

Alternately, it can be done manually by a lens designer. In one embodiment, a lens designer substitutes glasses from the first set of candidate glasses for one or more of the lens elements having the highest power densities. In many cases, these will be the lens elements located immediately adjacent to the aperture stop. Glasses for the rest of the lens elements can be selected from either the first or second sets of candidate glasses. Generally, the glasses that are substituted for the original glasses in the nominal lens design 525 are determined by identifying the glasses from the first and second sets of candidate glasses that most closely match the refractive index and dispersive properties of the original glasses.

Next an optimize lens design step 575 is used to determine an optimized lens design 580 responsive to the merit function 565. A computer processor performs this step using an optimization process to adjust various parameters for the lens elements (e.g., thicknesses, spacings and shapes) to provide the best performance. Both interim and final results can be stored in a memory. In some cases, the optimization process can also be used to automatically choose glasses for the lens elements. Since the merit function 565

includes both image quality performance terms and a thermally-induced stress birefringence performance term, the optimized lens design 580 will balance both of these important attributes of the design. The lens designer can choose to emphasize the importance of one attribute or the other by adjusting the weights of 5 the corresponding terms in the merit function 565. There are many optimization processes well known to those skilled in the art that can be used to determine the optimized lens design 580. Examples of common optimization processes include damped least squares, orthonormalization and simulated annealing.

In some cases, the lens designer may conclude that the optimized 10 lens design 580 determined by the optimize lens design step 575 is not adequate to satisfy the requirements of a particular application. In this case, the lens designer may choose to take steps such as substituting different glasses for one or more of the lens elements, adding additional lens elements, allowing one or more of the surfaces to have an aspheric surface profile or adding a diffractive optical surface. 15 The optimize lens design step 575 can then be executed again to determine a new optimized lens design 580.

Even without having the benefit of the automated calculation of 20 lens design program merit functions that include the thermal stress birefringence metrics, the lens designer can successfully design lenses having a reduced susceptibility to thermal stress birefringence by following the principles of this invention. This can be accomplished by using the tables of FIG. 8A-8D (or an expanded version thereof) to guide the lens designer in the selection of optical materials, and then using the optimization tools in a conventional lens design program running on a computer to determine an optimized lens design.

25 Generally, this process will involve defining a set of lens performance criteria that the imaging lens must satisfy, including one or more image quality performance criteria, and a thermally-induced stress birefringence performance criterion. The image quality performance criteria can, for example, be a specification of the required MTF performance. The thermally-induced stress 30 birefringence performance criterion can, for example, be an allowable maximum value for an aggregate thermal stress birefringence metric which combines

intensity-weighted thermal stress birefringence metrics for each of the lens elements.

The most important factor that must be considered in the process of producing a lens design that exhibits minimal thermal stress birefringence, 5 especially under high optical power density conditions, is the selection of the optical glasses. A few glasses are obvious choices such as Schott SF57 and Ohara PBH56 for their near zero stress coefficient, and Fused Silica for its extremely low absorption and low coefficient of thermal expansion. All other glasses impart some larger degree of polarization degradation under thermal stress. A figure of 10 merit such as the previously discussed glass-only thermal stress birefringence metric  $M_1$  can be used to create a list that orders the glasses from best (lowest metric value) to worst (highest metric value), such as the list given in FIGS. 8C- 8D, or an expanded version thereof. This properties summarized in this glass list are also useful during the lens design process for choosing glass types that have 15 desirable optical aberration control attributes (index and dispersion). A balance between aberration control and thermal stress birefringence control must be made for the glass choice for each lens element, as was previously discussed.

For illustrative purposes, a lens design process similar to that used for designing the third exemplary projection lens 270 of FIG. 12A will now be 20 described with reference to FIG. 16. Again, in this process, the lens designer has the benefit of a lens design program, a computer with a processor and memory, but a lens design merit function  $Q$  that lacks terms for directly optimizing thermally induced stress birefringence. Instead the lens designer can use the tables of FIGS. 8A-8D, or their more complete equivalents, including potentially data 25 from other glass manufacturers, as input to the lens designer's efforts. As with the FIG. 15 process, inputs to the lens design process are a set of lens design requirements 500 and a database of glass materials data 505 having glass information relevant to the lens design process.

As with the FIG. 15 process, a compute glass-only birefringence 30 metrics step 510 is used to determine glass-only thermal stress birefringence metrics (e.g.,  $M_1$ ) for the glasses in the glass materials data 505 to determine an

updated table of glass materials data with birefringence metrics 515. Likewise, a determine nominal lens design step 520 is used to determine a nominal lens design 525 that stultifies the lens design requirements 500, but which may include lens elements that use glasses having poor thermal stress birefringence susceptibility.

5 Next a substitute negligible stress birefringence glass step 530 is used to determine an intermediate lens design 535. Preferably, this step includes identifying the lens element in the nominal lens design 525 that has an optical glass that most closely matches the refractive index and dispersion characteristics of a high-index/high-dispersion glass having negligible stress birefringence 10 susceptibility (e.g., Schott SF 57 or Ohara PBH56). The optical glass for the identified lens element is replaced by the glass having the negligible stress birefringence susceptibility glass, and the lens design is then re-optimized using the lens design software.

15 If there are any other optical elements that have glasses that are similar to the high-index/high-dispersion negligible stress birefringence glasses (e.g., refractive index within  $\pm 0.1$  and v-number within  $\pm 20$ ) then the substitute negligible stress birefringence glass step 530 can be applied iteratively until the optical glasses for all such lens elements have been substituted.

20 Similarly, if there are any other optical elements that have glasses that are similar to a low-index/low-dispersion negligible stress birefringence glass (e.g., fused silica) then the substitute negligible stress birefringence glass step 530 can be applied iteratively to substitute the optical glasses for all such lens elements with the low-index/low-dispersion negligible stress birefringence glass and re-optimize the lens design using the lens design software.

25 In another embodiment, the substitute negligible stress birefringence glass step 530 identifies which elements in the nominal lens design 525 have the highest optical power densities and substitutes negligible stress birefringence glass (e.g., a glass where  $M_1 \leq 0.1 \times 10^{-6} \text{ W}^{-1}$ ) for those lens elements. Generally, the negligible stress birefringence glass that most closely 30 matches the refractive index and v-number of the original optical glass of the identified lens element is used to substitute for the original optical glass. Generally, the lens elements having the highest power densities will be the lens

elements that are closest to the aperture stop 230. Therefore, it is not always necessary to compute the optical power densities. In some embodiments, the optical glass for the lens elements immediately adjacent to the aperture stop are automatically substituted using negligible stress birefringence glass.

5 Next, a substitute low stress birefringence glass step 540 is used to determine a new intermediate lens design 545 by substituting the optical material for one of the lens elements with an optical material having a low stress birefringence susceptibility (e.g.,  $M_1 \leq 0.8 \times 10^{-6} \text{ W}^{-1}$ ). Preferably, this step includes identifying the low stress birefringence susceptibility glass from the glass  
10 materials data with birefringence metrics 515 that most closely matches the refractive index and v-number of a selected lens element. The optical glass for the selected lens element is replaced by the low stress birefringence susceptibility glass, and the lens design is then re-optimized using the lens design software. The substitute low stress birefringence glass step 540 can be applied iteratively until  
15 low stress birefringence susceptibility glasses have been substituted for all of the lens elements.

Next an evaluate composite metrics and update lens design step 550 is used to determine a final lens design 555. For this step, the intermediate lens design 545 is analyzed to determine whether it has acceptable image quality  
20 performance. It is also analyzed to determine thermal stress birefringence metrics for the lens elements that incorporates the lens element geometry (e.g.,  $M_2$ ), provided that estimates of the optical flux loading on the different lens elements is available. If the image quality performance of the intermediate lens design 545 has been degraded too much, than alternate glass choices can be made. For  
25 example, the optical glass for those lens elements which experience a lower power density can be updated to select materials from an expanded set of optical glasses where the threshold thermal stress birefringence metrics is increased somewhat to include intermediate stress birefringence susceptibility glasses (e.g.,  $M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ).

30 An additional supportive step is possible, in which the lens design is modeled thermal-mechanically, for example by finite element analysis (FEA),

to model the light absorption volumetric profiles within the lens elements, the resulting heat load and temperature changes  $\Delta T$ , and then the resulting induced stress birefringence  $\Delta n$ . This modeling can account for heat conduction, convection, and radiation effects within or around the lens elements and the 5 housing 240, including any lens cooling techniques applied to reduce the thermal effects imparted by light absorption. The results can then be used to test or validate the lens design expectations, including the use of the  $M_2$  metric. The resulting information can guide the lens design process, verifying the need for negligible, low, or moderate thermal stress birefringent glasses for given lens 10 elements, or indicating opportunities to select less restrictive glasses.

In some cases, it may also be necessary to relax one or more of the glass selections made in the substitute negligible stress birefringence glass step to use a low stress birefringent glass instead of the original negligible stress birefringent glass. If so, all of the glasses that were selected by the substitute low 15 stress birefringence glass step 540 should be reevaluated.

If the resulting thermal stress birefringence susceptibility is too high, or if the image quality performance is too low, then lens elements can be selectively chosen where the use of aspheric surfaces can provide increased image quality performance while allowing glass materials to be used having a tighter 20 threshold thermal stress birefringence metric (e.g.,  $M_1 \leq 0.8 \times 10^{-6} \text{ W}^{-1}$ ). Emphasis can be directed to changing glasses for lens elements that experience the highest optical power densities.

While the exemplary lens designs given in the description of the present invention have been based on a classical double Gauss lens design, it will 25 be obvious to one skilled in the art that the same basic design principles can be used in the design of any other type of imaging lens, or systems of imaging lenses, where there is a need to reduce susceptibility to thermally-induced stress birefringence. For example, the design principles could be used to design imaging lenses falling into well-known lens design categories such as anastigmat lenses, 30 Petzval lenses, telephoto lenses, zoom lenses, afocal lenses, and F-theta lenses.

As a particular example, F-theta lenses are used in laser printing in post objective or pre-objective scanner configurations, where a scanner such as a

galvanometer is located proximate to the aperture stop plane, and all the lens elements of the F-theta objective lens are located on one side (pre- or post-) of the aperture stop plane. F-theta lenses typically have all their lens elements clustered in one group, to either side of the aperture stop. Again, the lens elements nearest the aperture stop are the elements most likely to benefit from careful glass choice selections for reducing thermally induced stress birefringence. As another example, zoom lenses can have multiple lens groups (2-4 for example), including moving groups.

The lens design concepts of the present invention are not limited in application to imaging lenses, but can be used for other lens systems such as illumination systems, or in combined reflective-refractive optical systems such as those based on telescope type design configurations. Again, the lens elements proximate to an aperture stop, or other elements experiencing high power densities are the elements most likely to benefit from the use of reduced stress birefringence susceptibility glasses. In accordance with the present invention, lens systems of any type can be made by selecting negligible stress birefringent susceptibility glasses for use with the lens elements having the highest optical power densities, and then using glasses having at most a moderate stress birefringent susceptibility for the remaining lens elements.

It is noted that the image quality of the exemplary projection lenses 270, per FIGS. 6B and 9B exhibit a limited MTF performance (~30%) at 100 cy/mm. To support the upper-end digital cinema industry specification for on-screen resolution, the ability to project discernable pixels with 4K horizontal resolution is required. To better achieve this goal, it would be preferable that the MTF at 100 cy/mm be improved to exceed 40%. Likewise, it would also be preferred to provide better MTF performance at 50 cy/mm (~75% or better, instead of 60-65%) to provide improved image quality for 2K resolution images. At the same time, it would also be preferable to further reduce the susceptibility of the relay lens 250 and projection lens 270 to thermally induced stress birefringence, to provide more margin, as well as to better enable brighter screens (e.g., 20,000- 60,000 lumens).

There are several potential approaches to achieving these goals, which can be applied either individually or in combination. Certainly, as discussed previously, strategic choices of select alternate glasses, such as LF-5 or S-NSL36, can help some lens elements significantly. The use of aspheric surfaces on one or 5 more lens elements can also be very helpful. As another approach, the optical speed of the system can be increased, such that the projection lens 270 operates at F/2.5 or faster, so as to enhance the MTF performance. Although the projection lenses 270 are aberration limited, rather than diffraction limited, this can still provide some improvement. Increasing the system speed can also help reduce the 10 thermally induced stress birefringence because the light will be spread over more glass area, thereby reducing the peak power densities. However, this approach can make the individual lens elements, as well as the lens assembly, more expensive and more difficult to design and fabricate.

It is also recognized that the lens housing 240, or more directly the 15 lens elements themselves, can be cooled, either passively or actively, using heat sinks, conductive tapes, liquid cooling, passive or forced air, thermal-electric cooling devices, or other techniques, with the goal of reducing the effective thermal load on the lens elements, and thus the induced stress birefringence. Such cooling, if it is sufficiently effective and reliable, can change the glass choices for 20 one or more lens elements. It is also recognized that the mounting of lens elements within the lens housing 240 needs to be done judiciously, so that thermal expansion of the lens elements against internal housing features does not cause stress birefringence from induced mechanical stress.

In considering image quality issues, it is noted that the MTF 25 performance of the projection lens 270, including that of the third exemplary projection lens (FIG. 12A) is limited by the optical aberration known as primary axial color or longitudinal color. In a lens designed with primary axial color correction, the best focus plane for green light is offset from the best focus blue and red planes. That means that red and blue channels have planes of best focus 30 that overlap at the same place in image space (at or near display surface 190), but they are both longitudinally shifted from the green channel's plane of best focus.

If the normal lens design rationale were followed, improved color correction can be obtained by designing the projection lens 270 as an apochromat, much as was done in the previously cited U.S. Patent 5,172,275 to D. DeJager. Typically in lens design, secondary axial color is eliminated by designing lens 5 assemblies with one or more lens elements consisting of an anomalous dispersion glasses such as the Schott PSK and NKZFS glass types. Unfortunately, these glasses have among the worst values for thermal stress birefringence susceptibility ( $M_1 \sim 3.5 \times 10^{-6} \text{ W}^{-1}$ ), and thus trying to fix axial color with these glasses will significantly increase the stress birefringence at the same time. Schott PK52 and 10 Schott FK51 also provide useful partial dispersions, and are nearly 10x better for thermal stress sensitivity ( $M_1 \sim 0.36 \times 10^{-6} \text{ W}^{-1}$ ), but their locations on the glass chart (low indices ( $n_d$ ) and high Abbe numbers ( $v_d$ )) bring other compromises.

Alternately, as discussed in commonly assigned U.S. Patent 6,317,268 to M. Harrigan, entitled "Movie Projection Lens," the inclusion of a 15 diffractive optical element (DOE) is particularly useful for correcting color aberrations, including axial color (axial chromatic aberration). Diffractive optical elements are patterned with step structures that form thin phase elements and operate by means of interference and diffraction to effect light propagation. They can be formed on planar elements or curved lens surfaces. In the case of the 20 present invention, one or more diffractive optical elements 350 can be provided within a projection lens 270 or the relay lens 250, such as at the exemplary positions 90 depicted in FIG. 6A. The use of diffractive optical elements can improve the image quality, and can also enable alternate glass selections, making it easier for the lens designer to use glasses with lower stress birefringence 25 susceptibility (such as a weak-crown like Ohara SNSL36 or a weak-flint like Schott LF5) in key locations. However, diffractive optical elements can introduce flare light, thereby causing degradation in ANSI or in-frame contrast, so care is required.

Further consideration of the second exemplary projection lens 270 30 of FIG. 10A, which comprises only the very low stress birefringent PBH56 and fused silica glasses, together with the corresponding table in FIG. 11A, indicates

that there is considerable room available to further reduce susceptibility to thermally induced stress birefringence if glass selections and design performance would allow it. As another approach, adding a polarization compensator 360 within projection lens 270, for example at position 90 of FIG. 4, can also be 5 beneficial. In prior discussions, specifically with regard to the related patent by Aastuen et al. and FIG. 1B, the use of polarization compensators 360 was discussed in part. Within projector 101, polarization compensators typically reside within the modulation optical system 80, and provide polarization compensation to the spatial light modulators 170r, 170g and 170b, polarization beamsplitters 60, 10 or both in combination. For example, in U.S. Patent 6,909,473 to Mi et al., polarization compensation can be provided for both a vertically aligned LCD type modulator and a wire grid polarization beamsplitter. In the case of Aastuen et al., similar polarization compensation expands the list of candidate glasses for the substrate glass of a thin film, glass-embedded, polarization beamsplitter.

15           There are numerous examples of polarization compensators developed to enhance the polarization performance with LCDs, including those designed for vertically aligned or nematic LCDs. These compensators typically use polymer films to provide angular varying birefringence, structured in a spatially variant fashion, to affect polarization states in portions (that is, within 20 certain spatial and angular areas) of the transiting light beam, without affecting the polarization states in other portions of the light beam. Alternately, as described in U.S. Patent 7,170,574 to Tan et al., robust polarization compensators have been developed by creating form birefringent grating structures with dielectric thin film layers deposited on glass substrates. In general, the polarization compensators 25 counteract the differential effects experienced by the polarization states of skew rays or oblique rays, which traverse different optical paths through birefringent materials, than do more normally incident rays.

          In the instance of the present invention, the polarization compensator 360 does not reside in a modulation optical system 80, to adjust the 30 polarization states of the traversing light beams 140 relative to a spatial light modulator 170 and a polarization beamsplitter 60. Instead, the polarization compensator can be provided at a position 90 within projection lens 270, and can

provide polarization compensation for stress birefringence effects within the projection lens. In particular, and it can provide compensation for residual thermally induced stress birefringence. In this case, the compensator restores the polarization states or orientations that deviate from their incident conditions in 5 accordance with the stress birefringence, such that they better resemble the incident states, and then provide the desired left-eye or right-eye polarization states to the viewers, who are wearing polarization sensitive glasses. The incident polarization states were, for example, largely defined in the illumination assembly 110, by the laser devices and the polarization switch 139. It should be understood 10 that one or more polarization compensators 360 can also be provided within or about relay lens 250 to compensate for stress birefringence effects caused by that lens.

As shown in FIG. 4, polarization compensators 360 can be located at various exemplary positions 90 within the imaging lens 200, or at a position 90 15 proximate to both the dichroic combiner 165 and the relay lens 250. Polarization compensation can correct for polarization effects caused by multiple components, including the projection lens 270, the relay lens 250, and the dichroic combiner 165. Accordingly, the use of polarization compensators 360 can enable the projection lens 270 or relay lens 250 to be designed with a wider range of glasses 20 than otherwise, as the effects of thermally induced stress birefringence are otherwise reduced. That can provide design freedom to further improve image quality.

Returning to the problematic issue of residual secondary axial color affecting the third exemplary projection lens (FIG. 12A), the red and blue 25 channels have planes of best focus that overlap at the same place in image space (at or near display surface 190), but that are both longitudinally shifted from the green channel's plane of best focus. As the spatial light modulators 170 reside in different planes in FIG. 1, this effect can be reduced by adjusting the modulator positions, but with a resulting penalty of a small magnification difference. The 30 color focus error is large enough that it can be noticed by a critical observer, and this problem can be exacerbated at higher resolutions. As another alternative to using polarization compensators 360 or diffractive optical elements 350, an

additional optical element that increases the path of the red and blue light with respect to the green light can be used. This will effectively place all three colors at a common focus at the image plane. A simple way to accomplish this makes use of a first surface mirror 370, as shown in FIG. 17, where green light is reflected 5 from the top layers of a multilayer coating 375 while transmitting the blue and red light. The red and blue light is then reflected from layers that are deeper into the multilayer dielectric coating 375 such that the increased travel distance to this lower layer is the same as the amount of secondary axial color in the projection lens 270.

10 First surface mirror 370 is shown in exaggerated fashion, as the amount of secondary axial color in the projection lens 270 is about 50 microns in object space, so the depth of the coating layers between the top and bottom will be less than that because the red and blue light experience two passes through the material indices of the coating layers (reduced OPD). The green and red/blue 15 images are also shifted laterally from one another by a small image shift 378 at the intermediate image 260, but this can be adjusted by repositioning the spatial light modulators 170 appropriately.

20 It is noted that this concept can be extended to correct for primary axial color also, by having three reflective layers formed on the substrate 377, one for each color. This approach can be used to correct for the relay lens 250, the projection lens 270, or both in combination. Again, the thicknesses would be 25 adjusted to compensate for the longitudinal shifts of the colors.

25 The first surface mirror 370 is shown at 45 degrees in FIG. 16, but the idea works for correcting axial chromatic aberrations with any tilt angle as long as the light paths are not blocked by any physical obstructions. Additionally, the first surface mirror 370 can be angularly adjusted to change the amount of 30 color correction introduced. If image shift is a problem, a two reflection arrangement can be used to counteract the shift, for example by positioning two reflective surfaces, each with a corrective coating, in a penta prism type configuration.

The present invention provides a basis for understanding thermally induced stress birefringence, including numeric metrics  $M_1$  and  $M_2$  (the latter

accounting for the applied optical loading), an identification of glasses having comparatively negligible, low, moderate, or high values of thermal stress susceptibility (as measured by  $M_1$ ), and supporting design principles and methods for selectively using these glasses for different lens elements within lens assemblies during lens design efforts. The present invention has also provided practical design examples of imaging lenses having differing levels of thermal stress susceptibility and imaging performance, and practical evidence of their impact on improved projector performance. In particular, a set of thermal stress susceptibility thresholds has been identified, which are used to designate

5      negligible stress glasses ( $0.1 \times 10^{-6} \text{ W}^{-1} \leq M_1$ ), low stress glasses ( $0.1 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 0.8 \times 10^{-6} \text{ W}^{-1}$ ), and moderate stress glasses ( $0.8 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ), each of which include a set of glasses with valuable properties for lens design. It should be understood that within the spirit and scope of the present invention, that these thresholds are somewhat arbitrary.

10     For example, a glass (perhaps not yet developed) having a value of  $M_1 = 0.12 \times 10^{-6} \text{ W}^{-1}$  may be considered to have either a negligible or low thermal stress birefringence sensitivity. Indeed, the perception thereof may depend on the application or the lens designers involved. Likewise, the other thresholds, for low  $M_1 \leq 0.8 \times 10^{-6} \text{ W}^{-1}$ , and moderate ( $M_1 \leq 1.6 \times 10^{-6} \text{ W}^{-1}$ ) thermal stress birefringence glasses are useful and effective, but again are somewhat arbitrary.

15     For example, the low threshold could be set at  $M_1 \leq 0.9 \times 10^{-6} \text{ W}^{-1}$  or  $M_1 \leq 1.0 \times 10^{-6} \text{ W}^{-1}$ , and the moderate threshold could be set at  $M_1 \leq 1.8 \times 10^{-6} \text{ W}^{-1}$ .

20     The invention has been described in detail with particular reference to certain preferred embodiments thereof, but it will be understood that variations and modifications can be effected within the scope of the invention. It is emphasized that the apparatus or methods described herein can be embodied in a number of different types of systems, using a wide variety of types of supporting hardware and software. It should also be noted that drawings are not drawn to scale, but are illustrative of key components and principles used in these

25     embodiments.

30

**PARTS LIST**

50	light source
55	prism assembly
60	polarization beamsplitter
5	65 X-prism
80	modulation optical system
90	position
100	projector
101	projector
10	102 projector
110	Illumination assembly
110r	Illumination assembly
110g	Illumination assembly
100b	Illumination assembly
15	115 light source assembly
120	laser array
120'	laser array
122	laser emitter
125	laser combining assembly
20	127 faceted prism
130	aperture
135	illumination beam combiner
137	half wave plate
139	polarization switch
25	140 light beam
140'	individual light beam
145	optical axis
150	illumination lens
155	light integrator
30	160 mirror
165	dichroic combiner
166	first combiner

- 167 second combiner
- 170 spatial light modulator
- 170r spatial light modulator
- 170g spatial light modulator
- 5 170b spatial light modulator
- 180 despeckler
- 190 display surface
- 195 object surface
- 200 imaging lens
- 10 205 lens element
- 206a positive lens element
- 206b negative lens element
- 208 group of lens elements
- 210 glass chart
- 15 215 crown glasses
- 217 flint glasses
- 220 crown lens element
- 222 flint lens element
- 230 aperture stop
- 20 235 optical rays
- 240 lens housing
- 245 working distance
- 250 relay lens
- 260 intermediate image
- 25 270 projection lens
- 300 modulation transfer function (MTF) plot
- 320 light intensity distribution
- 321 slice positions
- 322 cross-sectional profiles
- 30 323 light intensity distribution
- 324 slice positions
- 325 cross-sectional profiles

326 light intensity distribution  
327 light intensity distribution  
328 light intensity distribution  
350 diffractive optical element  
5 360 polarization compensator  
370 first surface mirror  
375 multilayer coating  
377 substrate  
378 image shift  
10 400 lens element  
401 lens element  
402 lens element  
403 lens element  
404 lens element  
15 405 lens element  
410 lens element  
411 lens element  
412 lens element  
413 lens element  
20 414 lens element  
415 lens element  
416 lens element  
420 lens element  
421 lens element  
25 422 lens element  
423 lens element  
424 lens element  
425 lens element  
426 lens element  
30 427 lens element  
428 fused silica lens element  
429 PBH56 lens element

430 lens element  
431 lens element  
432 lens element  
433 lens element  
5 434 lens element  
435 lens element  
436 lens element  
437 fused silica lens element  
438 SF-57 lens element  
10 440 lens element  
441 lens element  
442 lens element  
443 lens element  
444 lens element  
15 445 lens element  
446 fused silica lens element  
447 PBH56 lens element  
448 S-LAL18 lens element  
450 lens element  
20 451 lens element  
452 lens element  
453 lens element  
454 lens element  
455 lens element  
25 456 lens element  
457 PBH56 lens element  
458 S-LAL18 lens element  
459 fused silica lens element  
500 lens design requirements  
30 505 glass materials data  
510 compute glass-only birefringence metrics step  
515 glass materials data with birefringence metrics

- 520 determine nominal lens design step
- 525 nominal lens design
- 530 substitute negligible stress birefringence glass step
- 535 intermediate lens design
- 5 540 substitute low stress birefringence glass step
- 545 intermediate lens design
- 550 evaluate composite metrics and update lens design step
- 555 final lens design
- 560 define merit function step
- 10 565 merit function
- 570 substitute negligible & low birefringence glasses step
- 575 optimize lens design step
- 580 optimized lens design

**CLAIMS:**

1. A method for designing an imaging lens having reduced susceptibility to thermally-induced stress birefringence for imaging an object plane to an image plane, the imaging lens having an aperture stop positioned between the object plane and the image plane, a first group of lens elements located on the object plane side of the aperture stop, and a second group of lens elements located on the image plane side of the aperture stop, the method comprising:
  - 10 defining a set of lens design attributes that describe the geometrical properties of the lens;
  - defining a set of lens performance criteria, including one or more image quality performance criteria and a thermally-induced stress birefringence performance criterion;
  - 15 defining a first set of candidate glasses having a negligible susceptibility to thermal stress birefringence as characterized by a thermal stress birefringence metric;
  - defining a second set of candidate glasses having more than a negligible susceptibility to thermal stress birefringence but at most a moderate susceptibility to thermal stress birefringence as characterized by the thermal stress birefringence metric;
  - 20 selecting glasses for lens elements in the first and second groups of lens elements that are located immediately adjacent to the aperture stop from the first set of candidate glasses;
  - 25 selecting glasses for the lens elements in the first and second groups of lens elements that are not located immediately adjacent to the aperture stop from the first or second sets of candidate glasses; and
  - using a computer processor to determine a lens design for the imaging lens which achieves the lens design attributes while satisfying the defined lens performance criteria, wherein the lens design specifies thicknesses, spacings, shapes, and glasses for the lens elements in the first and second groups of lens elements.

2. The method of claim 1 wherein the thermal stress birefringence metric includes factors relating to a coefficient of thermal expansion of the glass, a stress optical coefficient of the glass and a light absorption coefficient of the glass.

3. The method of claim 2 wherein the thermal stress birefringence metric further includes at least one additional factor relating to a modulus of elasticity of the glass, a thermal conductivity of the glass, a Poisson's ratio of the glass, an optical power density in the glass, a thickness of the lens element or a clear aperture size of the lens element.

4. The method of claim 2 wherein the thermal stress birefringence metric is given by:

15  $M_1' = \rho \kappa \alpha.$

where  $\rho$  is the coefficient of thermal expansion,  $\kappa$  is the stress optical coefficient, and  $\alpha$  is the light absorption coefficient.

5. The method of claim 2 wherein the thermal stress birefringence metric is given by:

$$M_1 = \rho \kappa \alpha E / (K \cdot (1 - \mu)).$$

where  $\rho$  is the coefficient of thermal expansion,  $\kappa$  is the stress optical coefficient,  $\alpha$  is the light absorption coefficient,  $E$  is the modulus of elasticity,  $K$  is the thermal conductivity, and  $\mu$  is Poisson's ratio.

25

6. The method of claim 5 wherein glasses first set of candidate glasses satisfy the condition that  $M_1 \leq 0.1 \times 10^{-6} \text{ W}^{-1}$  and the second set of candidate classes satisfy the condition that  $0.1 \times 10^{-6} \text{ W}^{-1} \leq M_1 \leq 1.60 \times 10^{-6} \text{ W}^{-1}$ .

7. The method of claim 1 wherein the lens design attributes describing the geometrical properties include a lens a lens focal length, F/#, a lens magnification or a lens working distance.

5 8 The method of claim 1 wherein the image quality performance criteria include a modulation transfer function criterion or a point spread function criterion.

9 The method of claim 1 wherein the thermally-induced stress  
10 birefringence performance criterion is based on an aggregate thermal stress birefringence metric which combines intensity-weighted thermal stress birefringence metrics determined for each of the lens elements in the imaging lens.

15 10. The method of claim 9 wherein the intensity-weighted thermal stress birefringence metric is given by:

$$M_2 = I_0 L \rho \kappa \alpha E / (K \cdot (1-\mu)).$$

where  $I_0$  is the optical power density in the glass,  $L$  is the thickness of the lens element,  $\rho$  is the coefficient of thermal expansion,  $\kappa$  is the stress optical coefficient,  $\alpha$  is the light absorption coefficient,  $E$  is the modulus of elasticity,  $K$  is the thermal conductivity, and  $\mu$  is Poisson's ratio.

11. The method of claim 1 wherein the step of selecting the glasses for the lens elements includes:

25 specifying a nominal lens design which achieves the lens design attributes but which includes lens elements using glasses that are not in the first and second sets of candidate glasses;

30 identifying glasses from the first and second sets of candidate glasses that most closely match the refractive index and dispersive properties of the glasses that are not in the first and second sets of candidate glasses; and

substituting the identified glasses for the glasses that are not in the first and second sets of candidate glasses.

12. The method of claim 1 wherein the step of using the  
5 computer processor to determine the lens design for the imaging lens includes iteratively adjusting the selected glasses and optimizing the lens design until the defined performance criteria are satisfied.

13. The method of claim 1 wherein glasses for the lens  
10 elements having the highest optical power densities are selected from the first set of candidate glasses.

14. The method of claim 1 wherein glasses for the thinnest lens elements are selected from the second set of candidate glasses.

15. The method of claim 1 wherein the step of using the computer processor to determine the lens design for the imaging lens includes determining an aspheric surface profile or a diffractive optical surface profile for one or more of the lens elements.

20 16. The method of claim 1 wherein the lens design includes a polarization compensator.

25 17. The method of claim 1 wherein the lens design includes a mirror with a multi-layer dielectric coating which differentially alters the path length for different wavelength bands, thereby reducing chromatic aberrations.

18. The method of claim 1 wherein the imaging lens is used to image polarized light.

30 19. The method of claim 1 wherein the imaging lens is a relay lens or a projection lens for a digital projection system.

20. A method for designing a lens system having reduced susceptibility to thermally-induced stress birefringence, the method comprising:

defining a set of lens design attributes that describe the geometrical properties of the lens;

defining a set of lens performance criteria, including one or more image quality performance criteria and a thermally-induced stress birefringence performance criterion;

defining a first set of candidate glasses having a negligible susceptibility to thermal stress birefringence as characterized by a thermal stress birefringence metric;

defining a second set of candidate glasses having more than a negligible susceptibility to thermal stress birefringence but at most a moderate susceptibility to thermal stress birefringence as characterized by the thermal stress birefringence metric;

defining an arrangement of lens elements relative to an aperture stop, wherein the lens elements are arranged in at least one group of lens elements;

selecting glasses for lens elements in the at least one group of lens elements that experience the highest optical power densities from transiting light or are located proximate to the aperture stop from the first set of candidate glasses;

selecting glasses for the other lens elements in the at least one group of lens elements from the first or second sets of candidate glasses;

using a computer processor to determine a lens design for the lens system which achieves the lens design attributes while satisfying the defined lens performance criteria, wherein the lens design specifies thicknesses, spacings, shapes, and glasses for the lens elements in the at least one group of lens elements.

21. The method of claim 18 wherein the thermal stress birefringence metric includes factors relating to a coefficient of thermal expansion of the glass, a stress optical coefficient of the glass and a light absorption coefficient of the glass.

22. The method of claim 18 wherein the thermal stress birefringence metric further includes at least one additional factor relating to a modulus of elasticity of the glass, a thermal conductivity of the glass, a Poisson's 5 ratio of the glass, an optical power density in the glass, a thickness of the lens element or a clear aperture size of the lens element.

23. The method of claim 18 wherein the thermal stress birefringence metric is given by:

10 
$$M_1 = \rho \kappa \alpha E / (K \cdot (1-\mu)).$$

where  $\rho$  is the coefficient of thermal expansion,  $\kappa$  is the stress optical coefficient,  $\alpha$  is the light absorption coefficient,  $E$  is the modulus of elasticity,  $K$  is the thermal conductivity, and  $\mu$  is Poisson's ratio.

15 24. The method of claim 18 wherein at least some of the lens design attributes and the lens performance criteria are expressed in a lens design merit function that is used during a lens design optimization process by the computer processor.

20 25. The method of claim 18 wherein thermal-mechanical modeling is used to assess the thermal load applied to one or more of the lens elements from absorption of a portion of the transiting light, the thermal-mechanical modeling accounting for the effects of heat conduction, convection, and radiation, including lens system cooling, as appropriate.

25

26. The method of claim 23 wherein the thermal-mechanical modeling estimates the stress birefringence levels that are thermally induced in one or more lens elements.

27. The method of claim 23 wherein the selection of glasses is based at least in part on the results of the thermal-mechanical modeling of the lens elements.

5 28. The method of claim 18 wherein the lens system is an F-theta lens or a zoom lens having multiple lens groups

10 29. The method of claim 18 wherein lens system includes a first group of lens elements located one side of the aperture stop, and a second group of lens elements located on other side of the aperture stop.

30. The method of claim 18 wherein less restrictive glasses, relative to thermally induced stress birefringence, are selected for at least one lens element of the lens system based upon a thickness of the lens element.

31. A method for designing an imaging lens having a plurality of lens elements for imaging an object plane to an image plane, the imaging lens having reduced susceptibility to thermally-induced stress birefringence, the method comprising:

5 defining a set of lens design attributes that describe the geometrical properties of the lens;

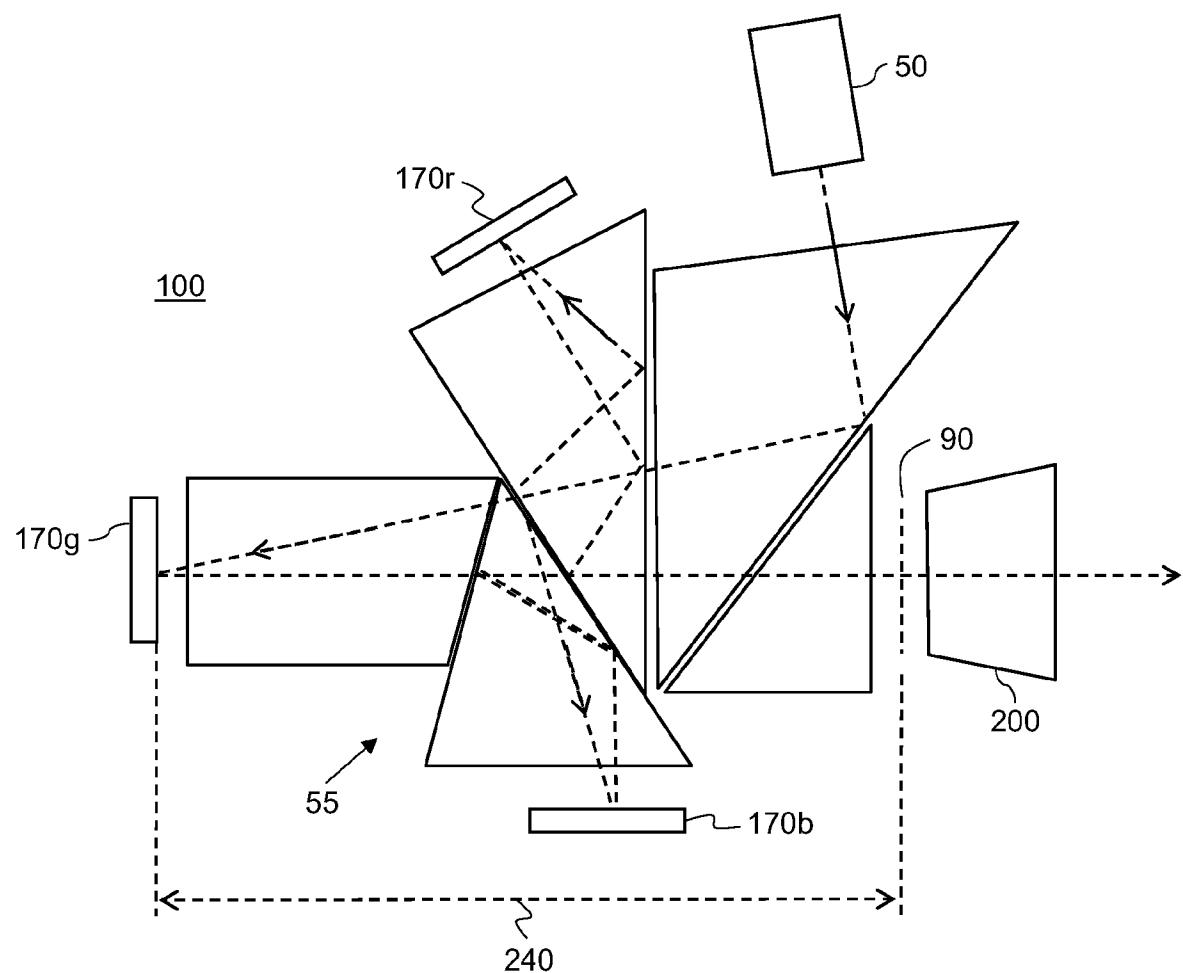
defining a merit function, including one or more image quality performance terms and a thermally-induced stress birefringence performance term;

10 defining a first set of candidate glasses having a negligible susceptibility to thermal stress birefringence as characterized by a thermal stress birefringence metric;

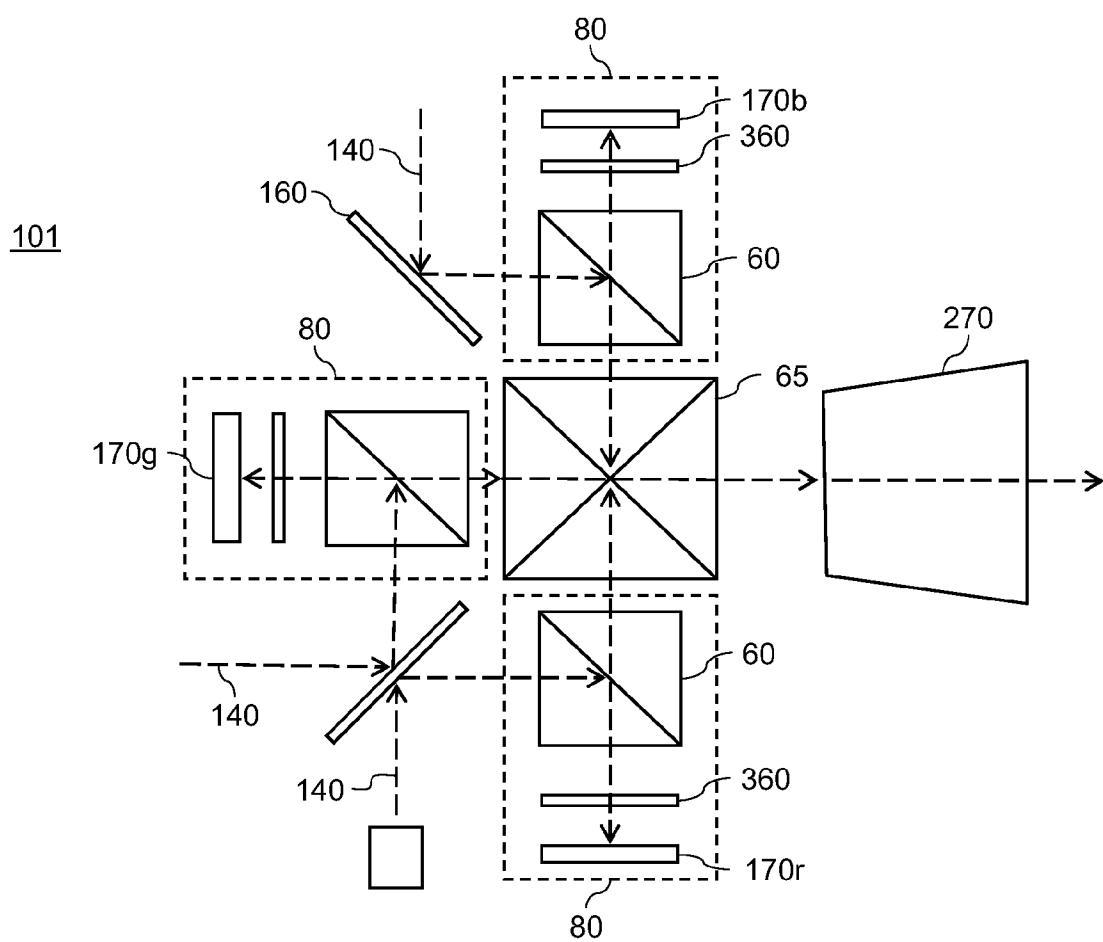
15 defining a second set of candidate glasses having more than a negligible susceptibility to thermal stress birefringence but at most a moderate susceptibility to thermal stress birefringence as characterized by the thermal stress birefringence metric; and

20 using a computer processor to determine a lens design for the imaging lens which achieves the specified lens design attributes by applying an optimization process responsive to the merit function, wherein the lens design specifies thicknesses, spacings, shapes, and glasses for the lens elements, and wherein the glasses for the lens elements are selected from the first and second sets of candidate glasses, and wherein the glass for at least one of the lens elements is selected from the first set of candidate glasses.

1/39



**FIG.1A**  
(PRIOR ART)



**FIG. 1B**  
(PRIOR ART)

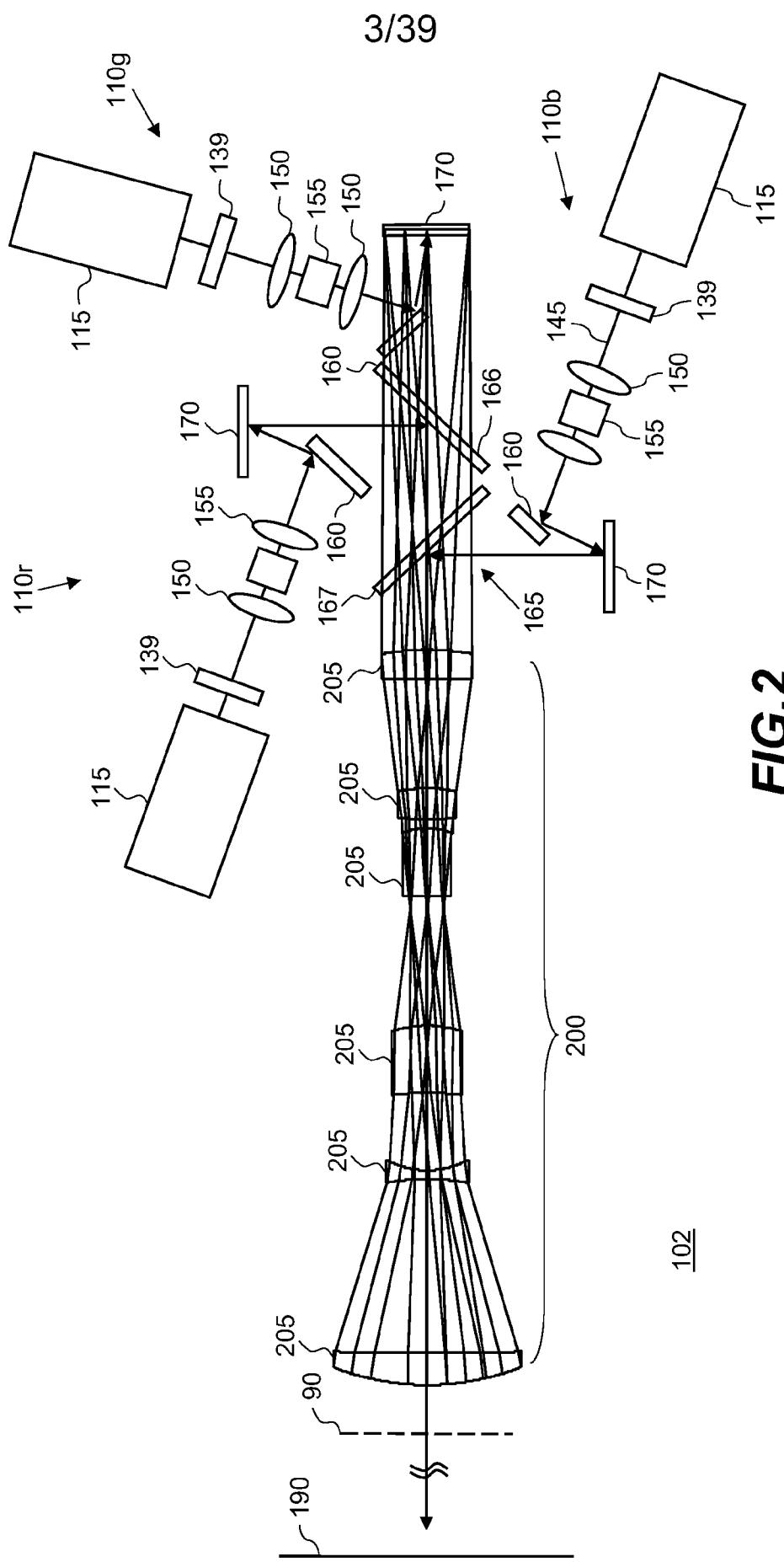


FIG. 2

4/39

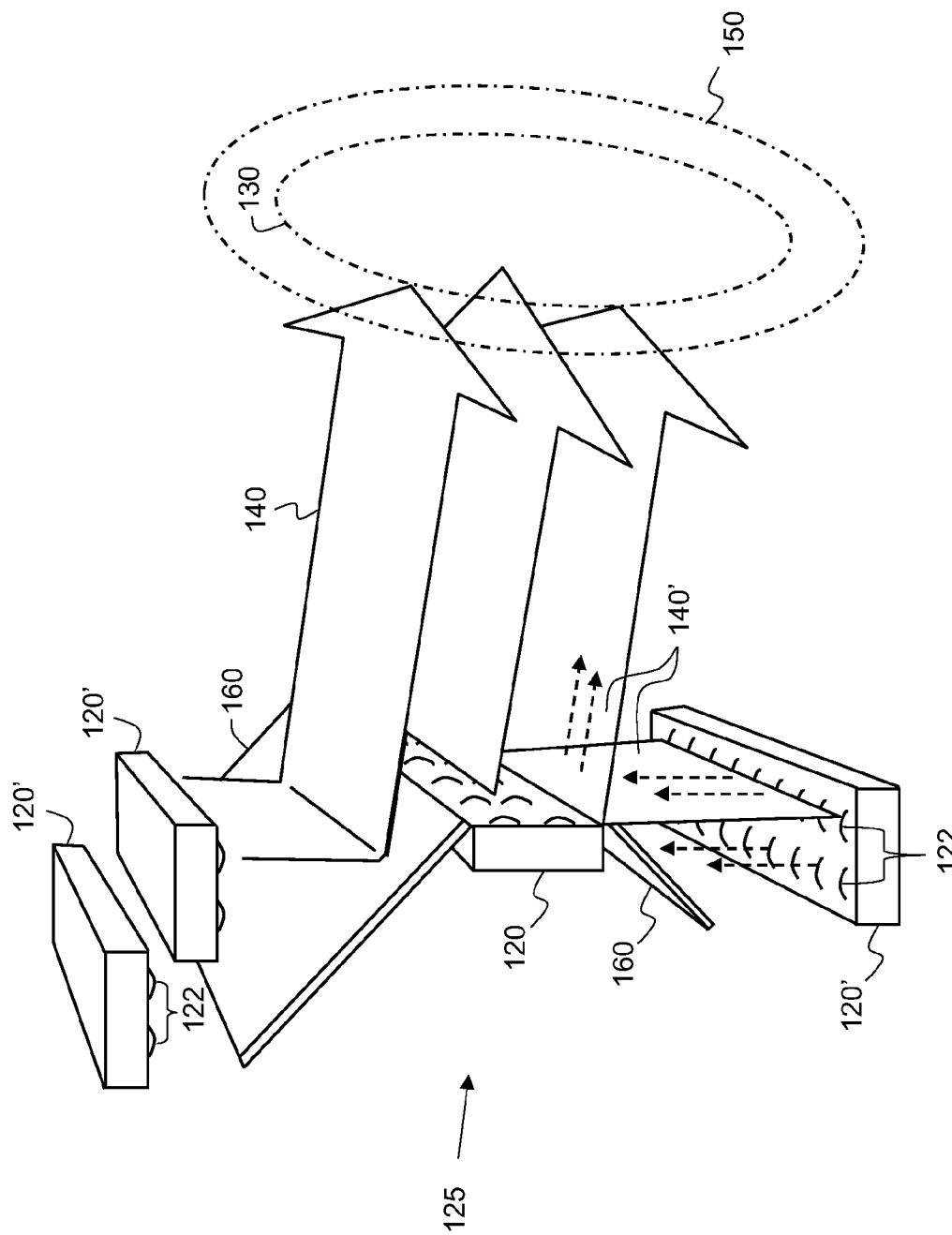


FIG. 3A

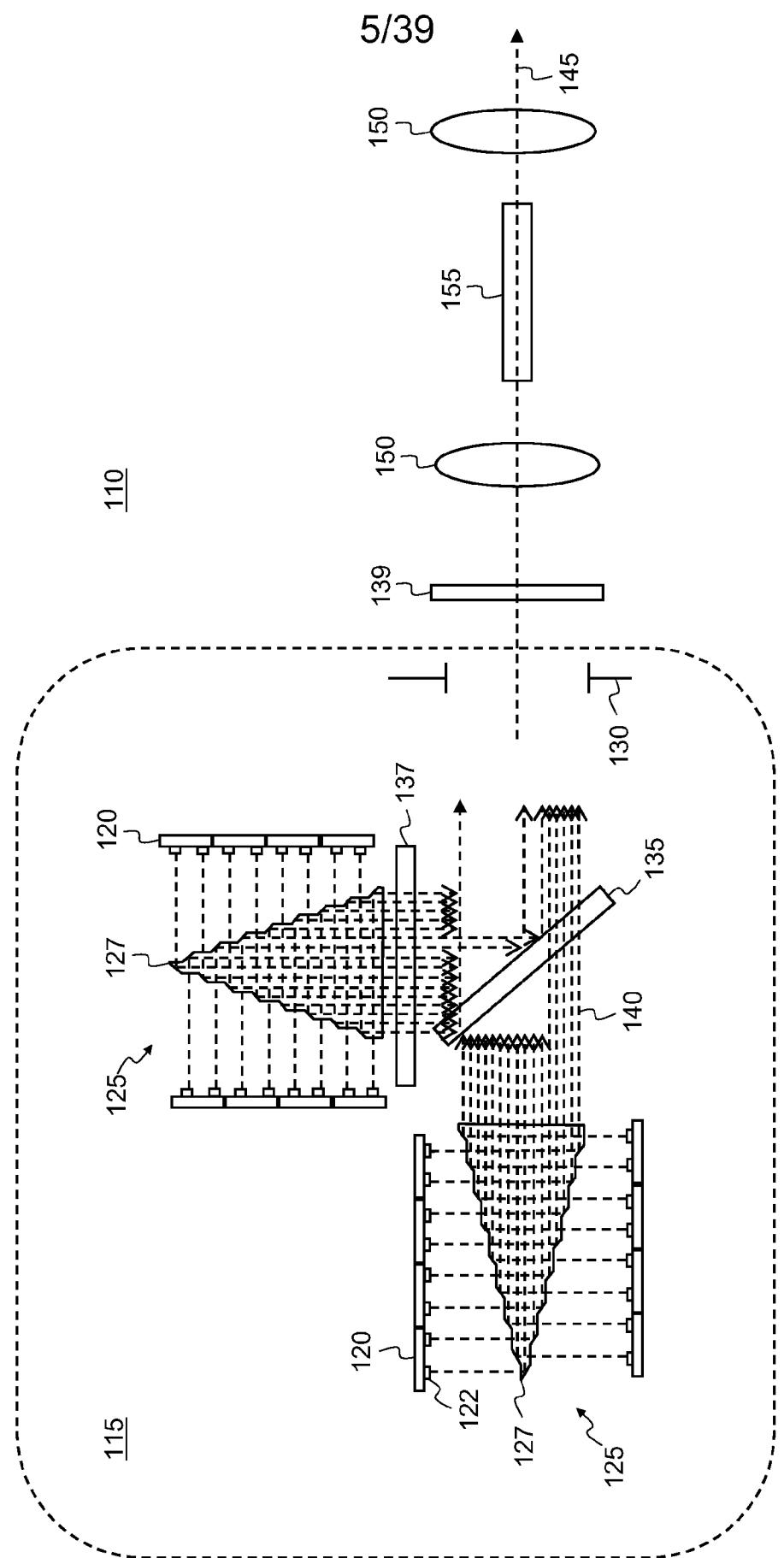


FIG. 3B

6/39

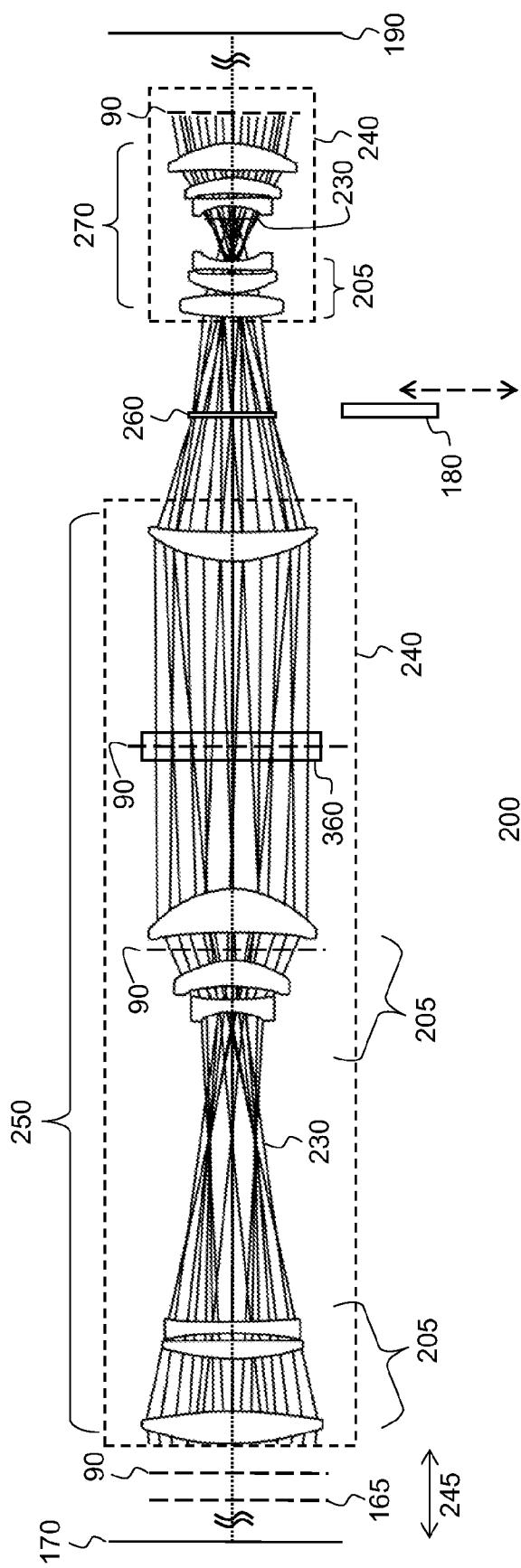
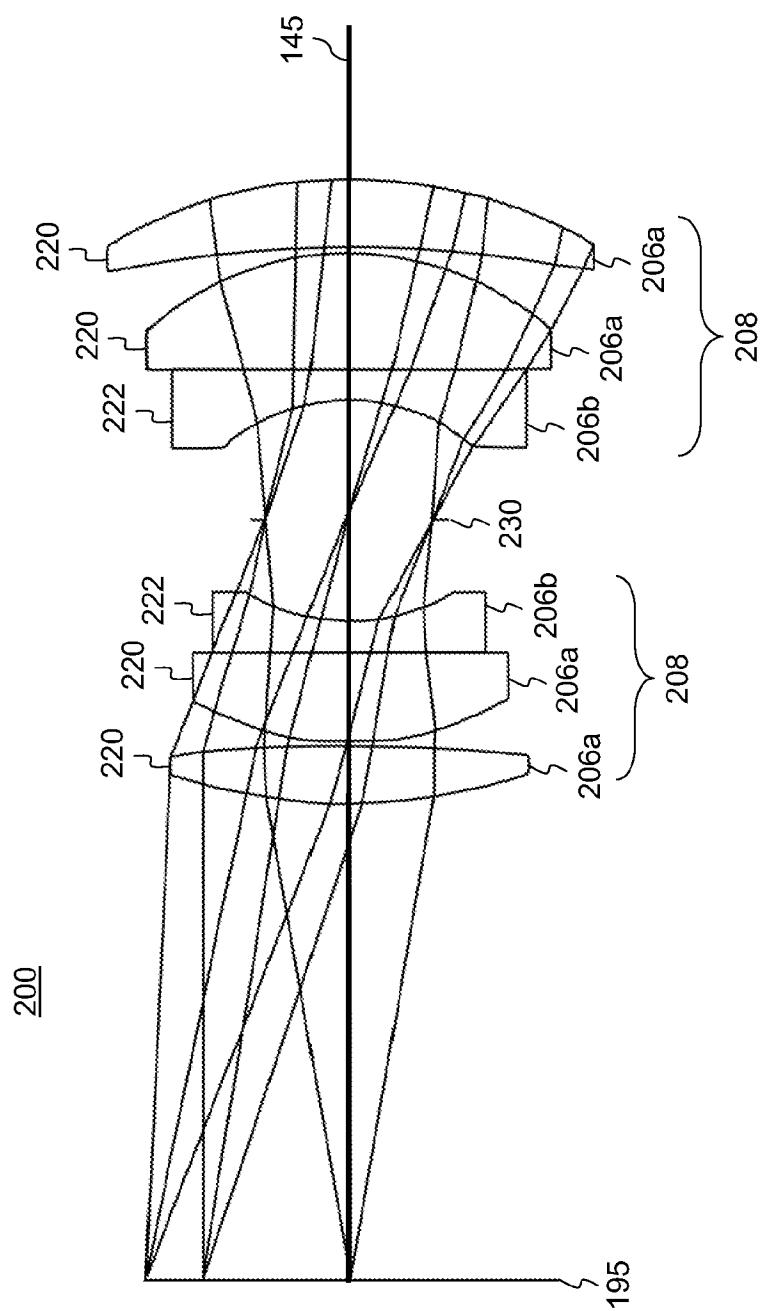


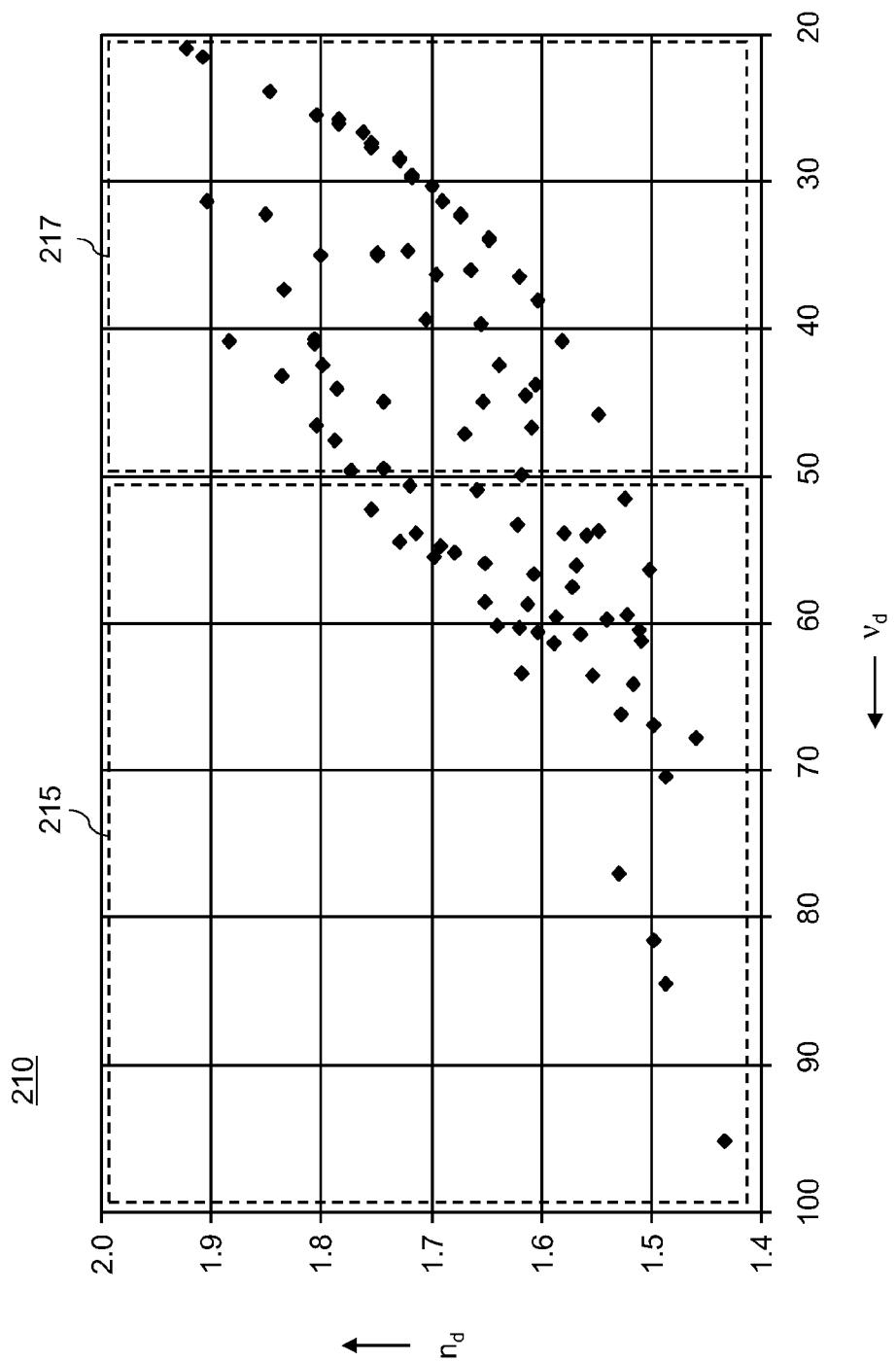
FIG.4

7/39



**FIG. 5A**  
(PRIOR ART)

8/39



**FIG. 5B**  
(PRIOR ART)

9/39

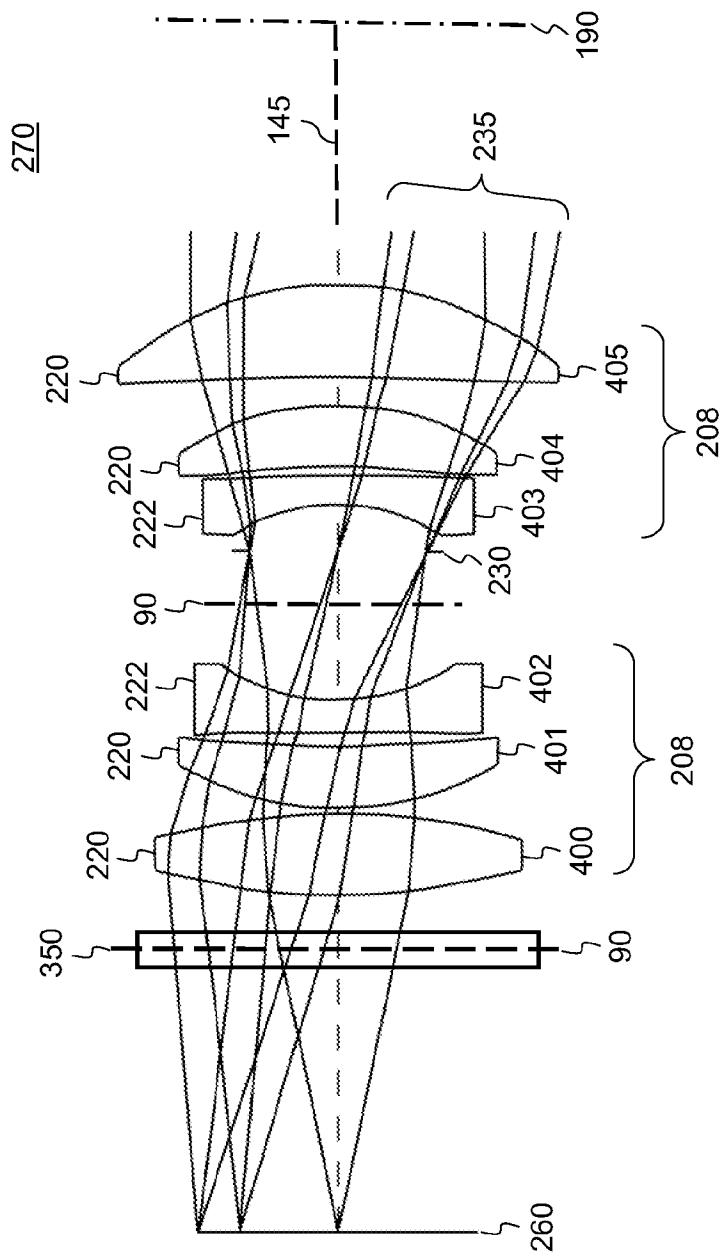


FIG. 6A

10/39

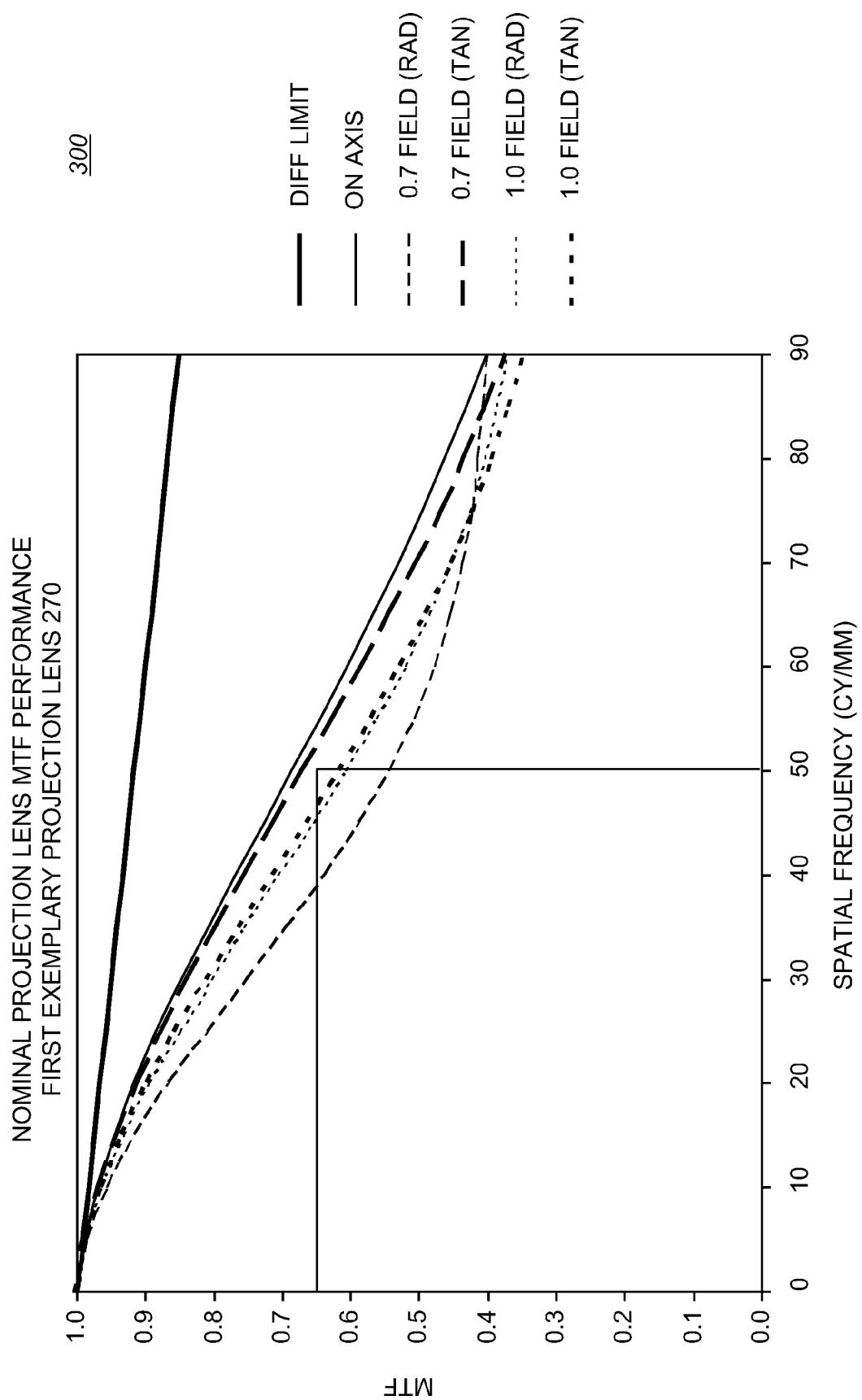


FIG. 6B

11/39

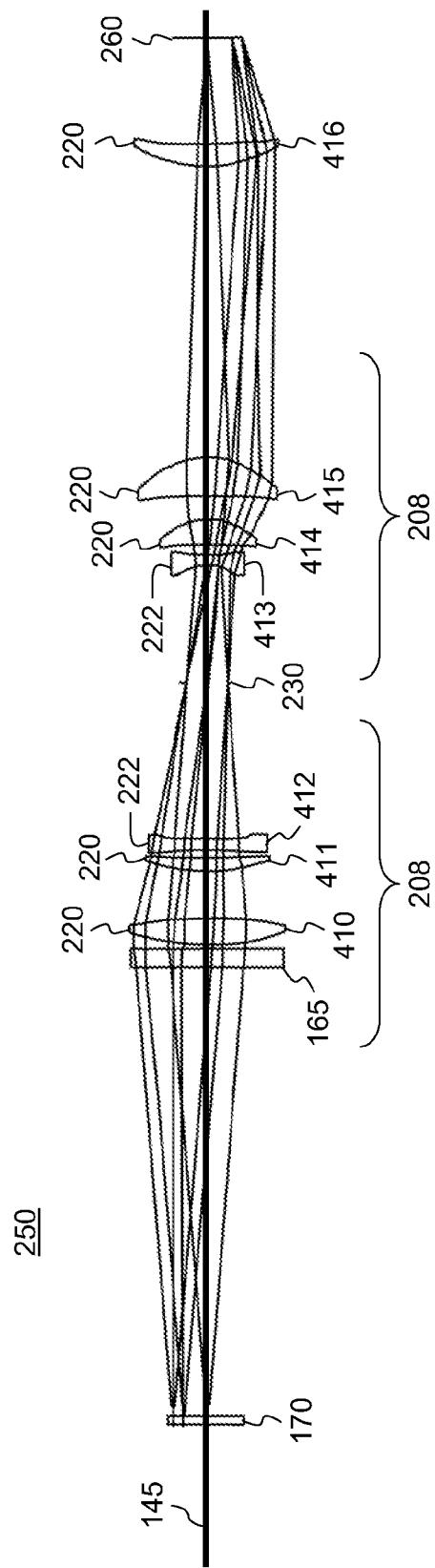


FIG. 6C

12/39

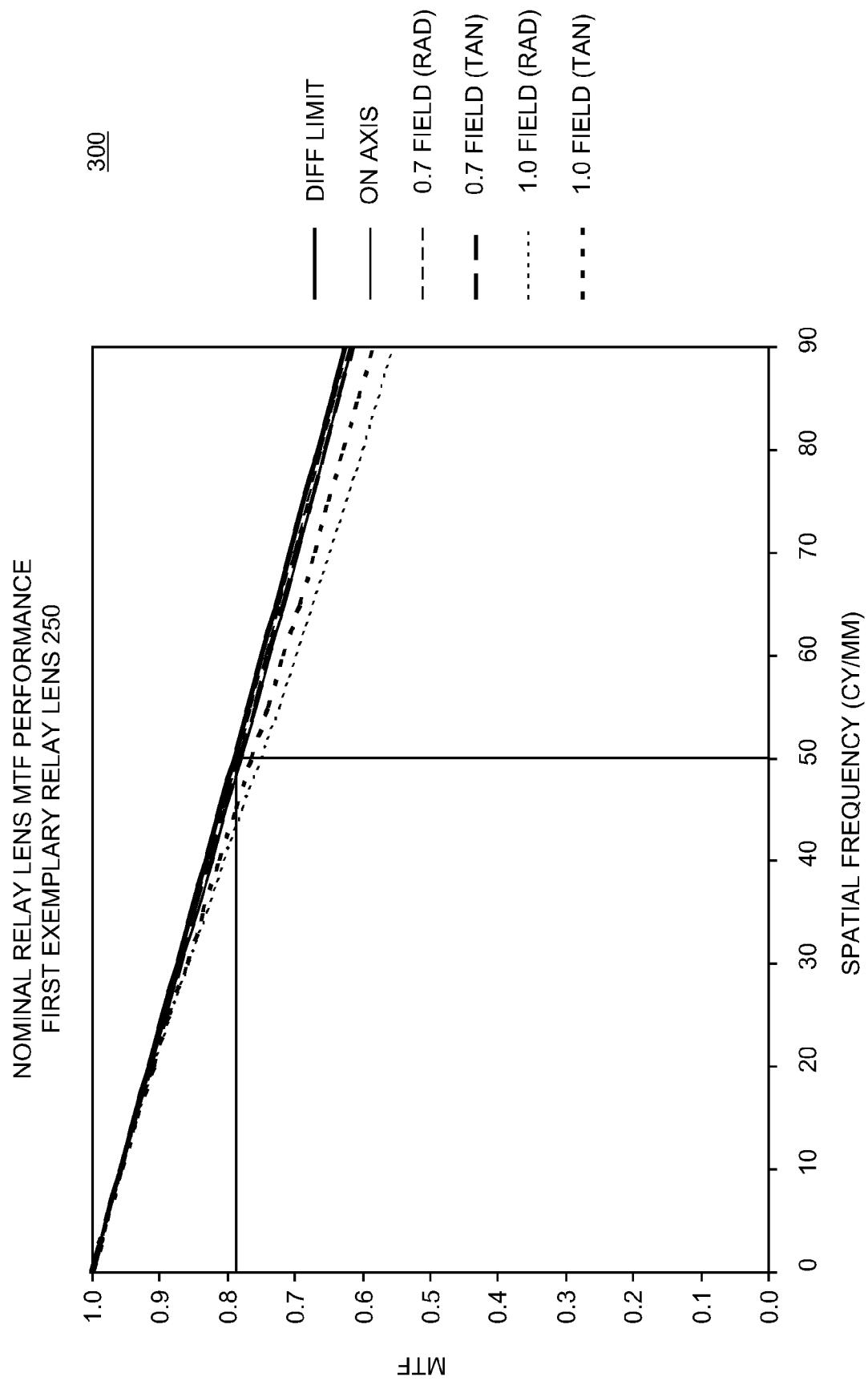
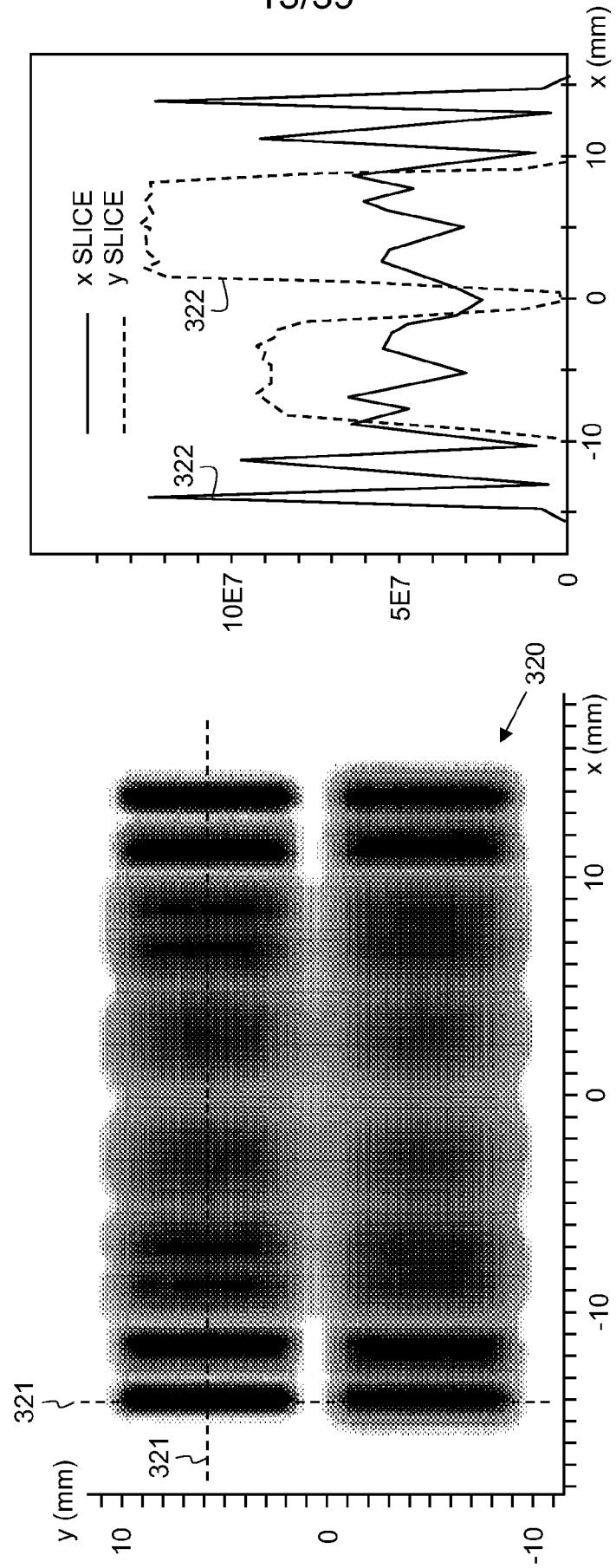


FIG. 6D

13/39



**FIG. 7B**

FIG. 7A

14/39

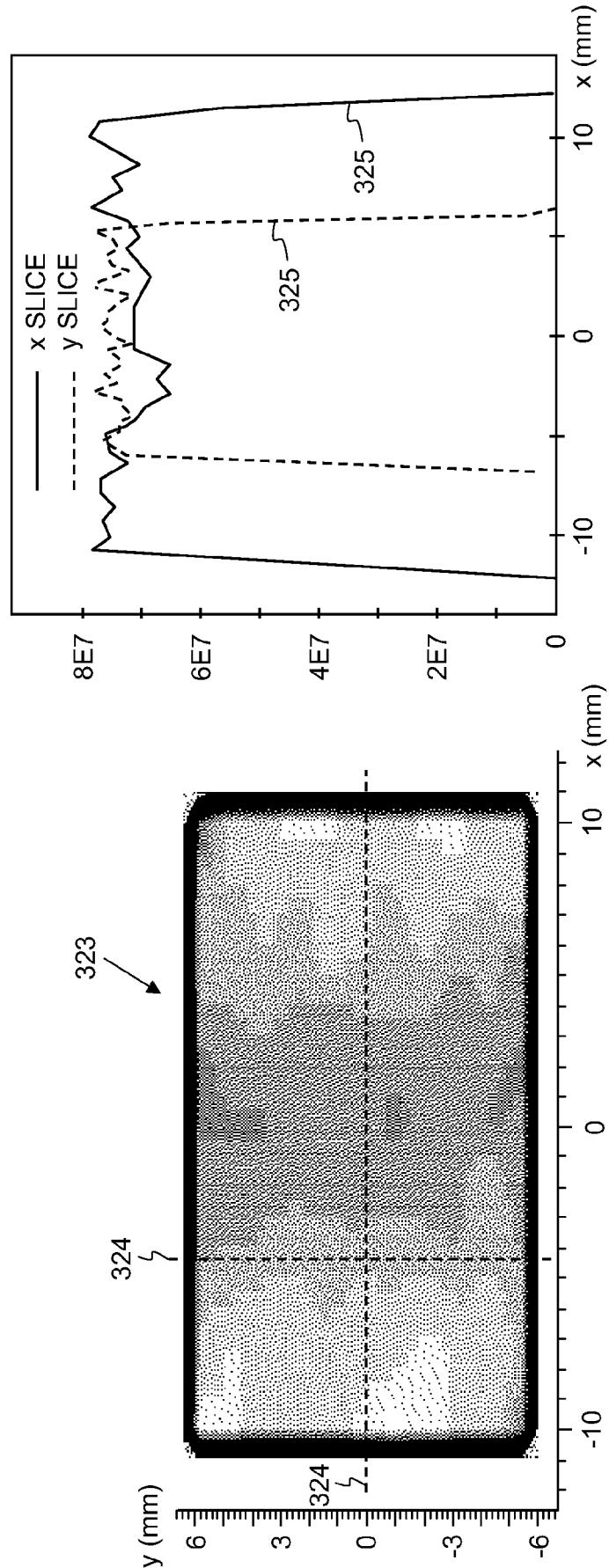
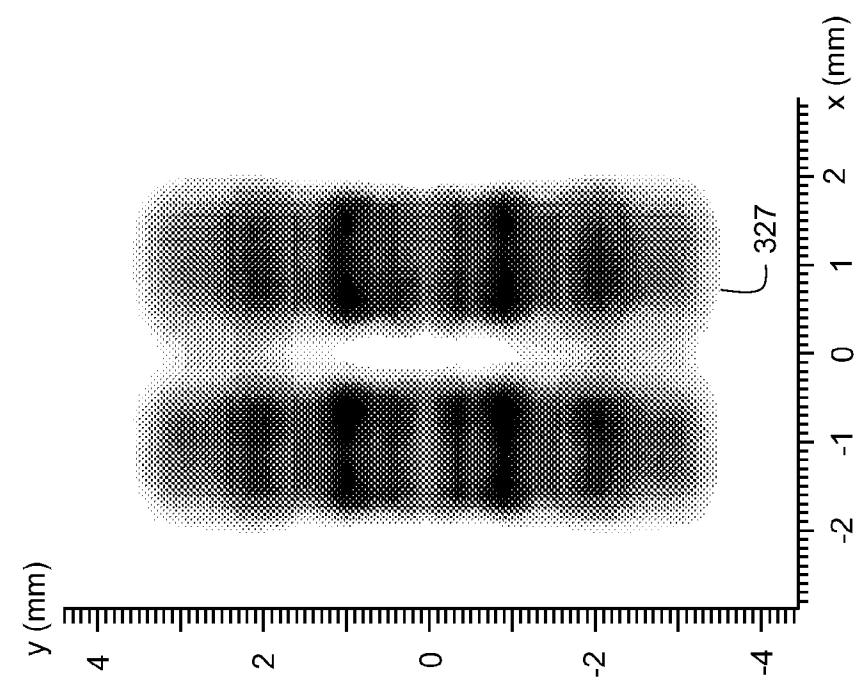
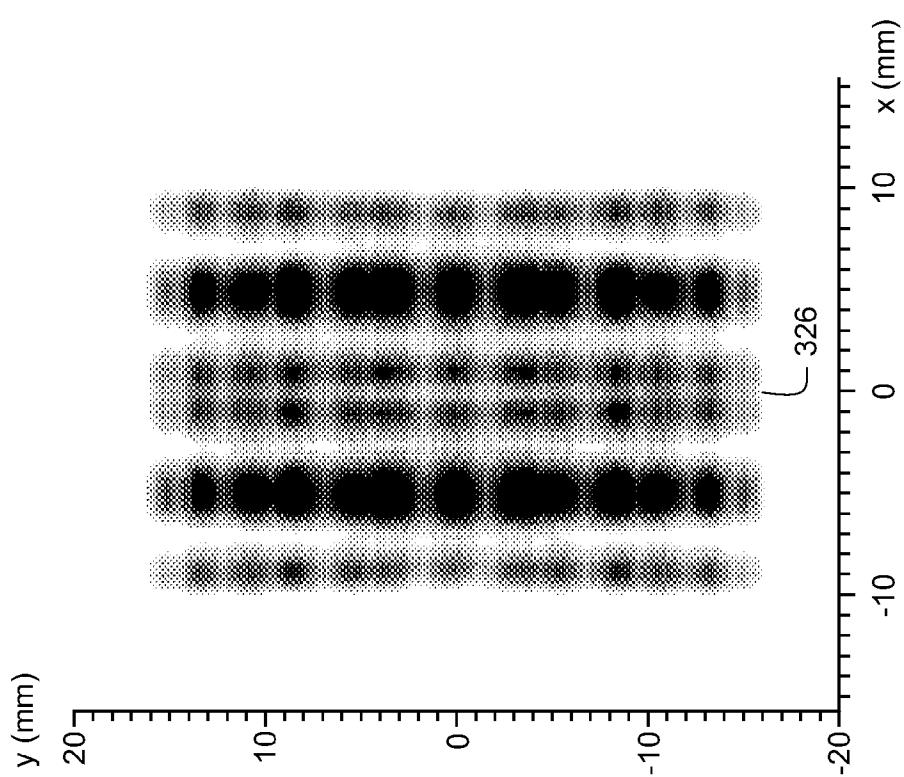


FIG.7C

FIG.7D

15/39

**FIG.7F****FIG.7E**

16/39

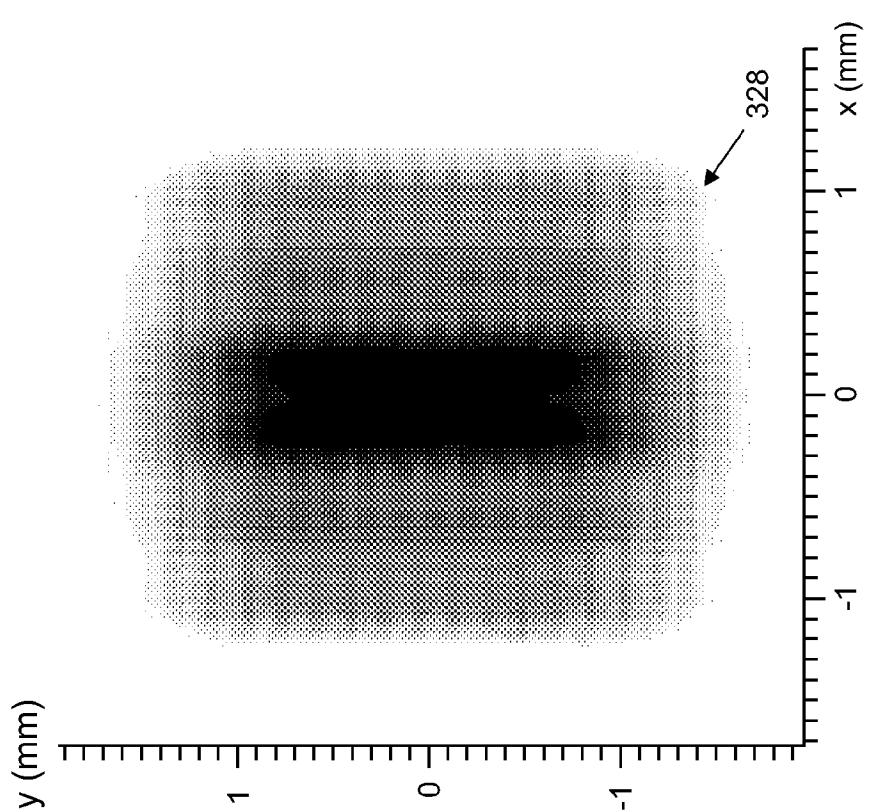


FIG. 7G

GLASS	GLASS TYPE	REFRACTIVE INDICES (n <sub>d</sub> )	ABBE NUMBER (v <sub>d</sub> )	THERMAL CONDUCTIVITY (k)	(W/m-K)	(10 <sup>-6</sup> K)	(μ)	10 <sup>3</sup> N/mm <sup>2</sup>	10 <sup>-6</sup> mm <sup>2</sup> /N	YOUNG'S MODULUS (E)	POISSON'S RATIO (μ)	THERMAL COEFFICIENT OF EXPANSION (p)	THERMAL COEFFICIENT OF EXPANSION (p)	YOUNG'S MODULUS (E)	STRESS OPTICAL COEFFICIENT (k)	INTERNAL TRANSMITTANCE (t <sub>i</sub> ) (FOR 10mm @ 460nm)	ABSORPTION (α) (BLUE)	COEFFICIENT (α)	INTERNAL TRANSMITTANCE (t <sub>i</sub> ) (FOR 10mm @ 460nm)	ABSORPTION (α) (BLUE)
SCHOTT LITHOSIL-Q	CROWN	1.458	67.83	1.31	0.50	0.170	72	3.40	0.9999	0.000010										
SCHOTT SF57HHT	FLINT	1.847	23.83	0.62	8.30	0.248	54	0.02	0.9911	0.000890										
OHARA S-FSL5	CROWN	1.487	70.23	1.01	9.00	0.227	62	2.87	0.9999	0.000010										
SCHOTT SF57	FLINT	1.847	23.83	0.62	8.30	0.248	54	0.02	0.9871	0.001300										
OHARA PBH56	FLINT	1.841	24.56	0.64	8.40	0.250	59	0.09	0.9995	0.000501										
SCHOTT N-PK52A	CROWN	1.497	81.61	0.73	13.0	0.298	71	0.67	0.997	0.000301										
SCHOTT N-FK51A	CROWN	1.487	84.47	0.76	12.7	0.302	73	0.70	0.997	0.000301										
SCHOTT LLF1	WEAK FLINT	1.548	45.75	0.8	8.10	0.208	60	3.05	0.998	0.000160										
OHARA S-FPL53	CROWN	1.439	94.93	0.86	14.5	0.303	69	0.57	0.996	0.000400										
SCHOTT F2	FLINT	1.620	36.37	0.78	8.20	0.220	57	2.81	0.998	0.000200										
SCHOTT LF5	FLINT	1.581	40.85	0.87	9.10	0.223	59	2.83	0.998	0.000201										
OHARA S-NSL36	WEAK CROWN	1.517	52.43	1.09	8.00	0.139	64	2.82	0.997	0.000301										
SCHOTT N-BK7	CROWN	1.517	64.17	1.11	7.10	0.206	82	2.77	0.9972	0.000281										
SCHOTT SF6	FLINT	1.805	25.43	0.67	8.10	0.244	55	0.65	0.991	0.000904										
OHARA S-BAL11	CROWN	1.573	57.74	0.97	6.60	0.237	82	2.42	0.997	0.000301										
OHARA S-BAL35	CROWN	1.589	61.14	0.92	5.70	0.250	83	2.15	0.996	0.000401										
OHARA S-NSL3	CROWN	1.518	58.9	1.03	9.00	0.217	70	2.60	0.997	0.000301										
OHARA S-BSM10	CROWN	1.623	57.05	0.82	6.50	0.266	84	1.88	0.996	0.000401										
OHARA S-LAL18	WEAK CROWN	1.729	54.68	0.87	5.90	0.289	120	1.58	0.996	0.000401										

FIG. 8A

18/39

GLASS	GLASS TYPE	REFRACTIVE INDICES ( $n_d$ )	ABBE NUMBER ( $V_d$ )	THERMAL CONDUCTIVITY (k)	THERMAL EXPANSION (p)	POISSON'S RATIO ( $\nu$ )	YOUNG'S MODULUS (E)	STRESS OPTICAL COEFFICIENT (k)	INTERNAL TRANSMITTANCE (t <sub>1</sub> ) (FOR 10mm @ 460nm)	ABSORPTION COEFFICIENT ( $\alpha$ ) (BLUE)	mm <sup>-1</sup>
SCHOTT SF1	FLINT	1.717	29.51	0.70	8.10	0.232	56	1.80	0.994	0.000645	
SCHOTT SF4	FLINT	1.755	27.58	0.65	8.00	0.241	56	1.36	0.992	0.000808	
SCHOTT SF2	FLINT	1.648	33.85	0.74	8.40	0.227	55	2.62	0.995	0.000483	
OHARA S-LAH66	WEAK FLINT	1.772	49.6	0.85	6.20	0.291	122	1.43	0.994	0.000602	
OHARA S-LAL54	WEAK CROWN	1.651	56.16	0.76	7.10	0.277	88	1.66	0.993	0.000703	
OHARA S-LAM60	WEAK FLINT	1.743	49.34	0.85	5.40	0.294	113	1.9	0.993	0.000703	
OHARA S-LAL61	WEAK CROWN	1.740	52.64	0.86	5.70	0.291	119	1.55	0.991	0.000904	
OHARA S-LAM54	FLINT	1.757	47.82	0.89	5.70	0.292	117	1.70	0.990	0.001005	
SCHOTT N-SSK8	WEAK FLINT	1.618	49.83	0.84	7.21	0.251	84	2.36	0.988	0.001260	
SCHOTT N-SF2	FLINT	1.648	33.82	1.14	6.68	0.231	86	3.06	0.984	0.001613	
SCHOTT N-KZFS4	FLINT	1.613	44.49	0.84	7.30	0.241	78	3.90	0.990	0.001005	
SCHOTT N-SF4	FLINT	1.755	27.38	0.95	9.45	0.256	90	2.76	0.959	0.004186	
OHARA S-NPH2	FLINT	1.923	18.90	0.969	6.7	0.249	99.1	3.31	0.910	0.009431	

FIG. 8B

19/39

GLASS	GLASS TYPE	REFRACTIVE INDICES (n <sub>d</sub> )	ABBE NUMBER (V <sub>d</sub> )	M <sub>1</sub> =P <sub>Ka</sub>	M <sub>2</sub> =E/(K(1- $\mu$ ))	M <sub>3</sub> =P <sub>Ka</sub> E/(K(1- $\mu$ ))
					10 <sup>-12</sup> mm/(N-K)	10 <sup>-6</sup> N-K/(W-mm)
SCHOTT LITHOSIL-Q	CROWN	1.458	67.83	0.000017	66.219	0.00113
SCHOTT SF57HHT	FLINT	1.847	23.83	0.00148	115.820	0.01711
OHARA S-FSL5	CROWN	1.487	70.23	0.00258	80.035	0.02067
SCHOTT SF57	FLINT	1.847	23.83	0.00216	115.820	0.02501
OHARA PBH56	FLINT	1.841	24.56	0.000379	124.304	0.04710
SCHOTT N-PK52A	CROWN	1.497	81.61	0.002619	138.547	0.36285
SCHOTT N-FK51A	CROWN	1.487	84.47	0.002679	137.649	0.36882
SCHOTT LLF1	WEAK FLINT	1.548	45.75	0.003961	94.697	0.37507
OHARA S-FPL53	CROWN	1.439	94.93	0.003313	115.682	0.38321
SCHOTT F2	FLINT	1.620	36.37	0.004613	93.688	0.43219
SCHOTT LF5	FLINT	1.581	40.85	0.005164	87.683	0.45275
OHARA S-NSL36	WEAK CROWN	1.517	52.43	0.006778	68.257	0.46266
SCHOTT N-BK7	CROWN	1.517	64.17	0.005526	92.706	0.51231
SCHOTT SF6	FLINT	1.805	25.43	0.00476	108.100	0.51455
OHARA S-BAL11	CROWN	1.573	57.74	0.004799	110.205	0.52885
OHARA S-BAL35	CROWN	1.589	61.14	0.004912	121.239	0.59550
OHARA S-NSL3	CROWN	1.518	58.9	0.007031	87.134	0.61260
OHARA S-BSM10	CROWN	1.623	57.05	0.004898	138.394	0.67783
OHARA S-LAL18	WEAK CROWN	1.729	54.68	0.003736	194.419	0.72640

FIG.8C

20/39

GLASS	GLASS TYPE	REFRACTIVE INDICES ( $n_d$ )	ABBE NUMBER ( $\nu_d$ )	$M_1 = \rho c a$	$M_2 = E / (K(1-\nu))$	$M_3 = \rho c a E / (K(1-\nu))$
					$10^{-12} \text{ mm}/(\text{N}\cdot\text{K})$	$10^{-6} \text{ N}\cdot\text{K}/(\text{W}\cdot\text{mm})$
SCHOTT SF1	FLINT	1.717	29.51	0.009407	103.87	0.97707
SCHOTT SF4	FLINT	1.755	27.58	0.008792	113.51	0.99800
SCHOTT SF2	FLINT	1.648	33.85	0.010628	96.805	1.02881
OHARA S-LAH66	WEAK FLINT	1.772	49.6	0.005336	203.47	1.08564
OHARA S-LAL54	WEAK CROWN	1.651	56.16	0.008279	159.396	1.31967
OHARA S-LAM60	WEAK FLINT	1.743	49.34	0.007207	189.751	1.36759
OHARA S-LAL61	WEAK CROWN	1.740	52.64	0.007987	194.938	1.55707
OHARA S-LAM54	FLINT	1.757	47.82	0.009739	185.788	1.80934
SCHOTT N-SSK8	WEAK FLINT	1.618	49.83	0.021433	133.511	2.86159
SCHOTT N-SF2	FLINT	1.648	33.82	0.03297	98.100	3.23432
SCHOTT N-KZFS4	FLINT	1.613	44.49	0.028613	122.341	3.50059
SCHOTT N-SF4	FLINT	1.755	27.38	0.10919	127.334	13.90368
OHARA S-NPH2	FLINT	1.923	18.90	0.209153	136.179	28.48221

**FIG. 8D**

THERMAL STRESS BIREFRINGENCE PERFORMANCE  
OF THE FIRST EXEMPLARY PROJECTION LENS 270

ELEMENT	GLASS	THICKNESS (mm)	M1 ( $10^{-6}$ MW)	PEAK POWER (NORMALIZED)	$M_2$
400	OHARA S-LAM60	7.21	1.3676	0.298	2.942
401	OHARA S-LAM60	5.70	1.3676	0.525	4.094
402	SCHOTT SF2	2.70	1.0288	1.000	2.778
403	SCHOTT SF1	2.70	0.9771	0.382	1.009
404	OHARA S-LAM60	5.35	1.3676	0.246	1.798
405	OHARA S-BSM10	8.28	0.6778	0.126	0.707
AGGREGATE			6.7865		13.328

***FIG. 9A***

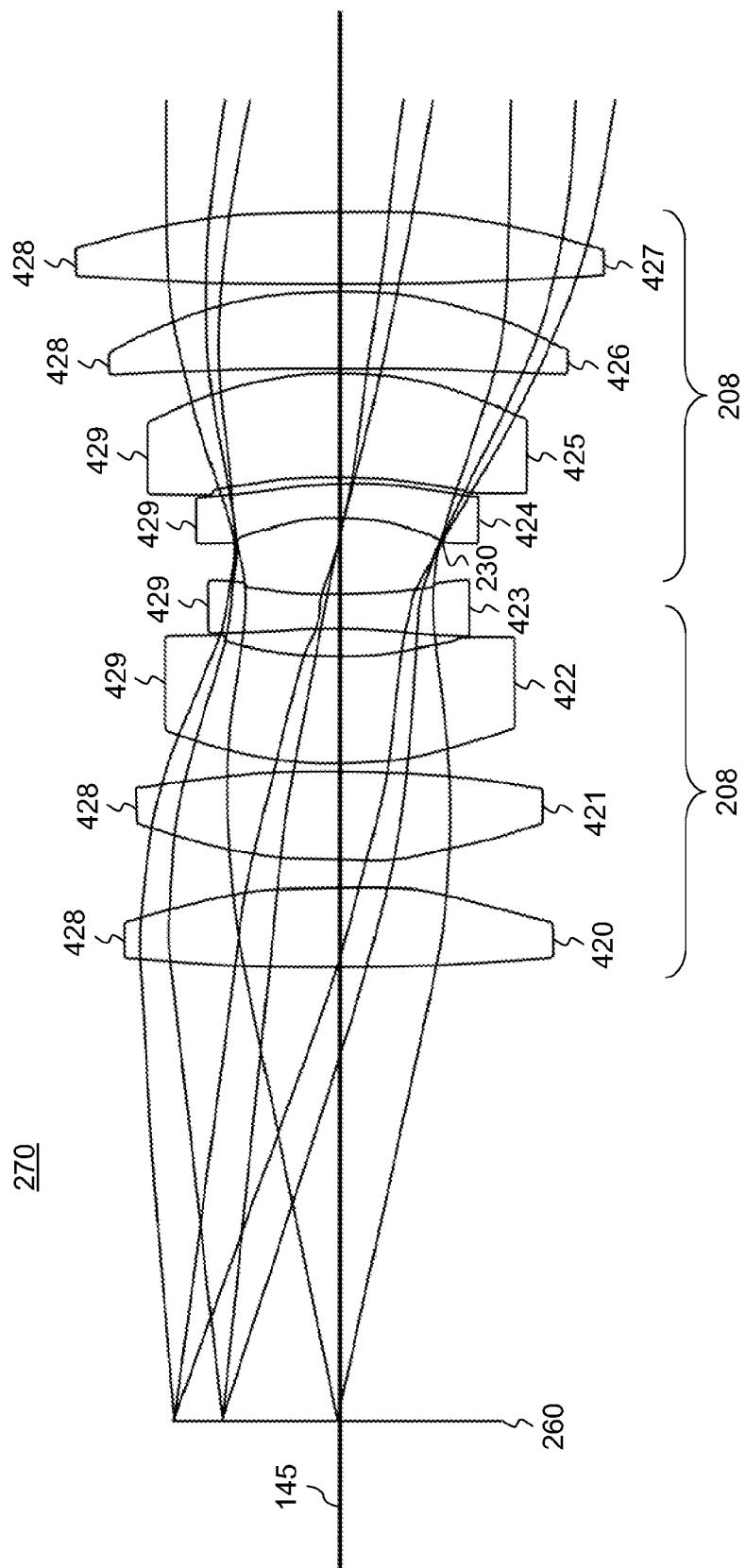
22/39

## THERMAL STRESS BIREFRINGENCE PERFORMANCE OF THE FIRST EXEMPLARY RELAY LENS 250

ELEMENT	GLASS	THICKNESS (mm)	$M_1$ ( $10^{-6}/W$ )	PEAK POWER (NORMALIZED)	$M_2$
410	OHARA S-BAL35	10.00	0.5955	0.080	0.4764
411	OHARA S-BAL35	5.58	0.5955	0.180	0.5981
412	SCHOTT SF4	4.00	0.998	0.488	1.9481
413	SCHOTT SF4	4.00	0.998	1.000	3.9920
414	OHARA S-LAM54	8.00	1.8093	0.388	5.6162
415	OHARA S-NSL3	14.00	0.6126	0.336	2.8817
416	OHARA S-LAM54	8.00	1.8093	0.252	3.6476
AGGREGATE			7.4183		19.1601

***FIG. 9B***

23/39



**FIG. 10A**

24/39

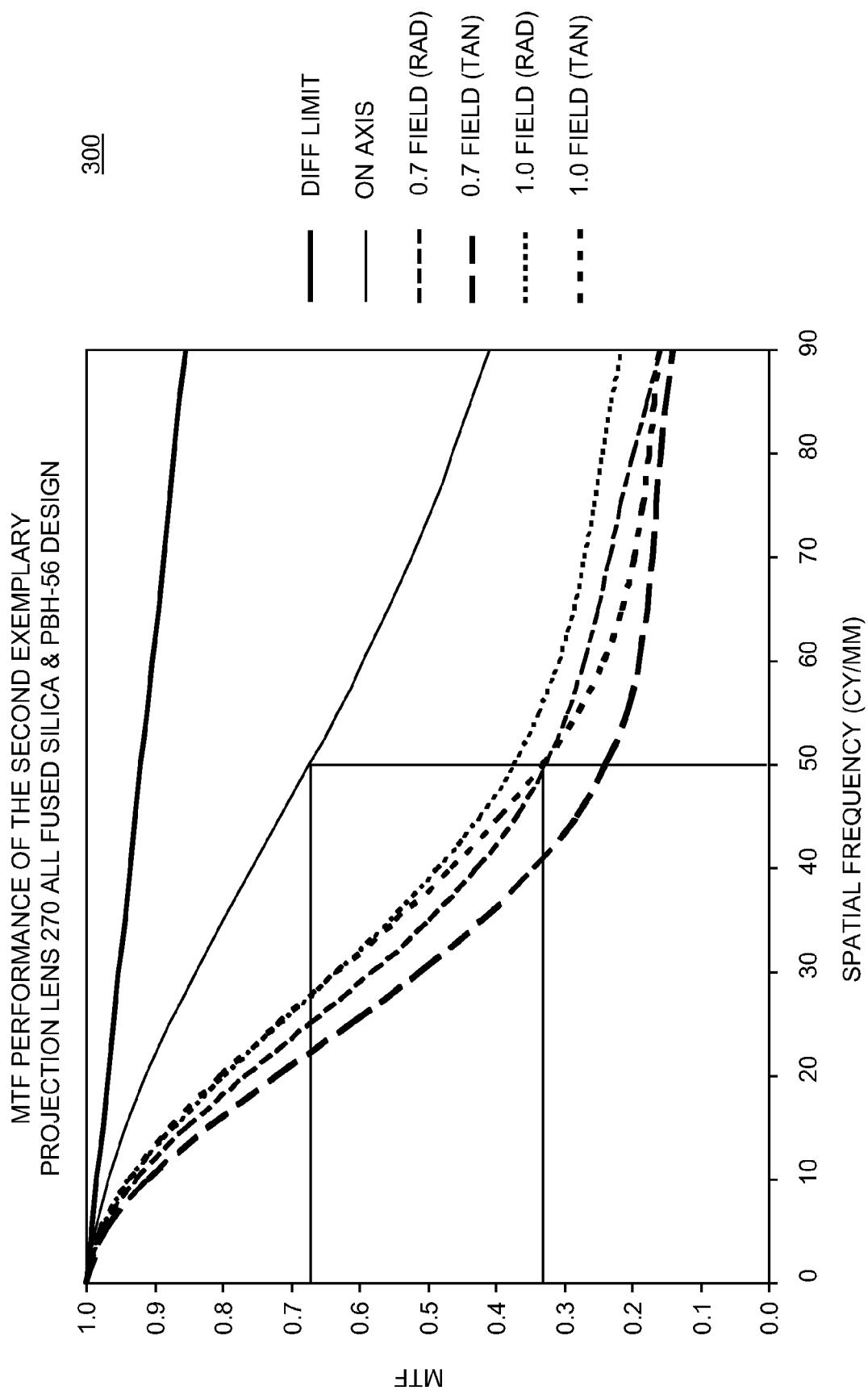


FIG. 10B

25/39

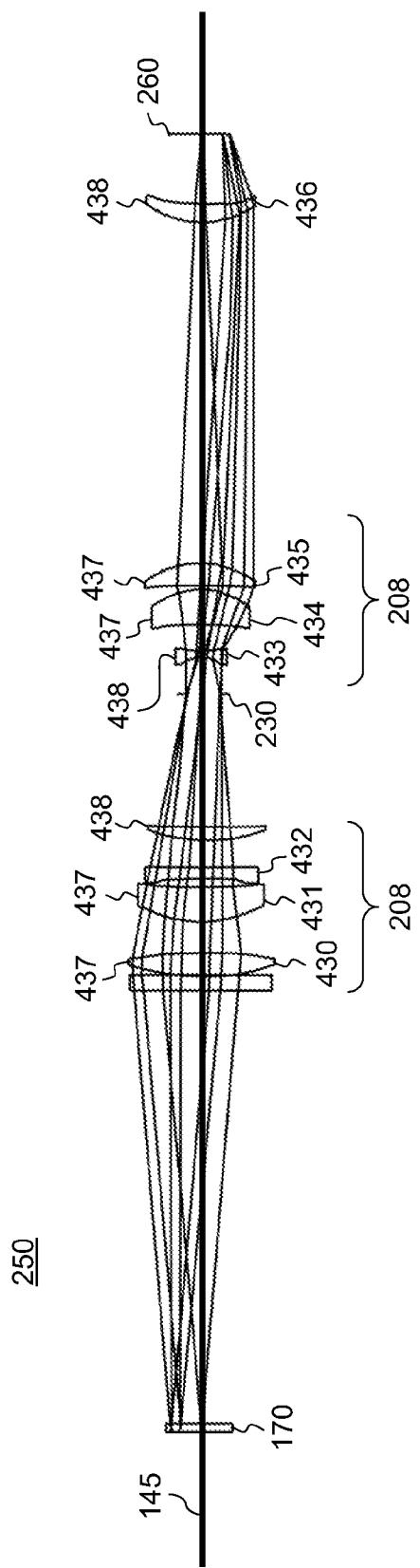


FIG. 10C

26/39

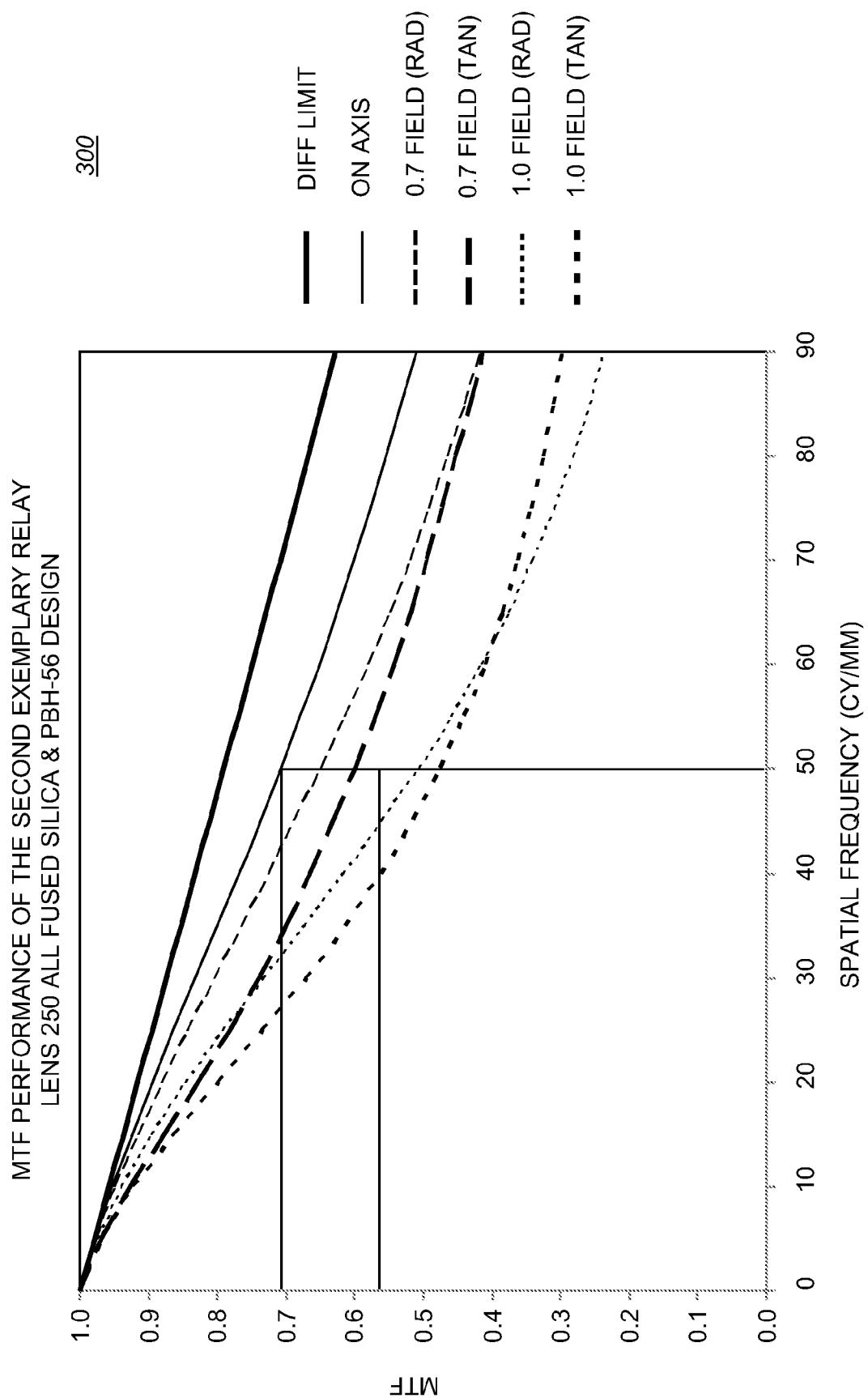


FIG. 10D

27/39

THERMAL STRESS BIREFRINGENCE PERFORMANCE OF THE  
SECOND EXEMPLARY PROJECTION LENS 270

ELEMENT	GLASS	THICKNESS (mm)	$M_1$ ( $10^{-6}/W$ )	PEAK POWER (NORMALIZED)	$M_2$
420	SCHOTT LITHOSIL-Q	6.374	0.00113	0.298	0.002
421	SCHOTT LITHOSIL-Q	7.116	0.00113	0.378	0.003
422	OHARA PBH56	8.458	0.04710	0.525	0.209
423	OHARA PBH56	2.700	0.04710	0.840	0.107
424	OHARA PBH56	2.700	0.04710	1.000	0.127
425	OHARA PBH56	8.149	0.04710	0.382	0.147
426	SCHOTT LITHOSIL-Q	6.024	0.00113	0.246	0.002
427	SCHOTT LITHOSIL-Q	5.778	0.00113	0.126	0.001
AGGREGATE			0.1929		0.598
IMPROVEMENT RATIO			35.17		22.30

FIG. 11A

28/39

THERMAL STRESS BIREFRINGENCE PERFORMANCE OF  
THE SECOND EXEMPLARY RELAY LENS 250

ELEMENT	GLASS	THICKNESS (mm)	$M_1$ ( $10^{-6}/W$ )	PEAK POWER (NORMALIZED)	$M_2$
430	SCHOTT LITHOSIL-Q	9.998	0.00113	0.080	0.0009
431	SCHOTT LITHOSIL-Q	15.000	0.00113	0.180	0.0031
432	SCHOTT SF57	5.000	0.025012	0.488	0.0610
433	SCHOTT SF57	4.000	0.025012	1.000	0.1000
434	SCHOTT LITHOSIL-Q	15.000	0.00113	0.388	0.0066
435	SCHOTT LITHOSIL-Q	9.926	0.00113	0.336	0.0038
436	SCHOTT SF57	7.000	0.025012	0.252	0.0441
AGGREGATE			0.0796	0.2195	
IMPROVEMENT RATIO			93.25		87.291

**FIG. 11B**

29/39

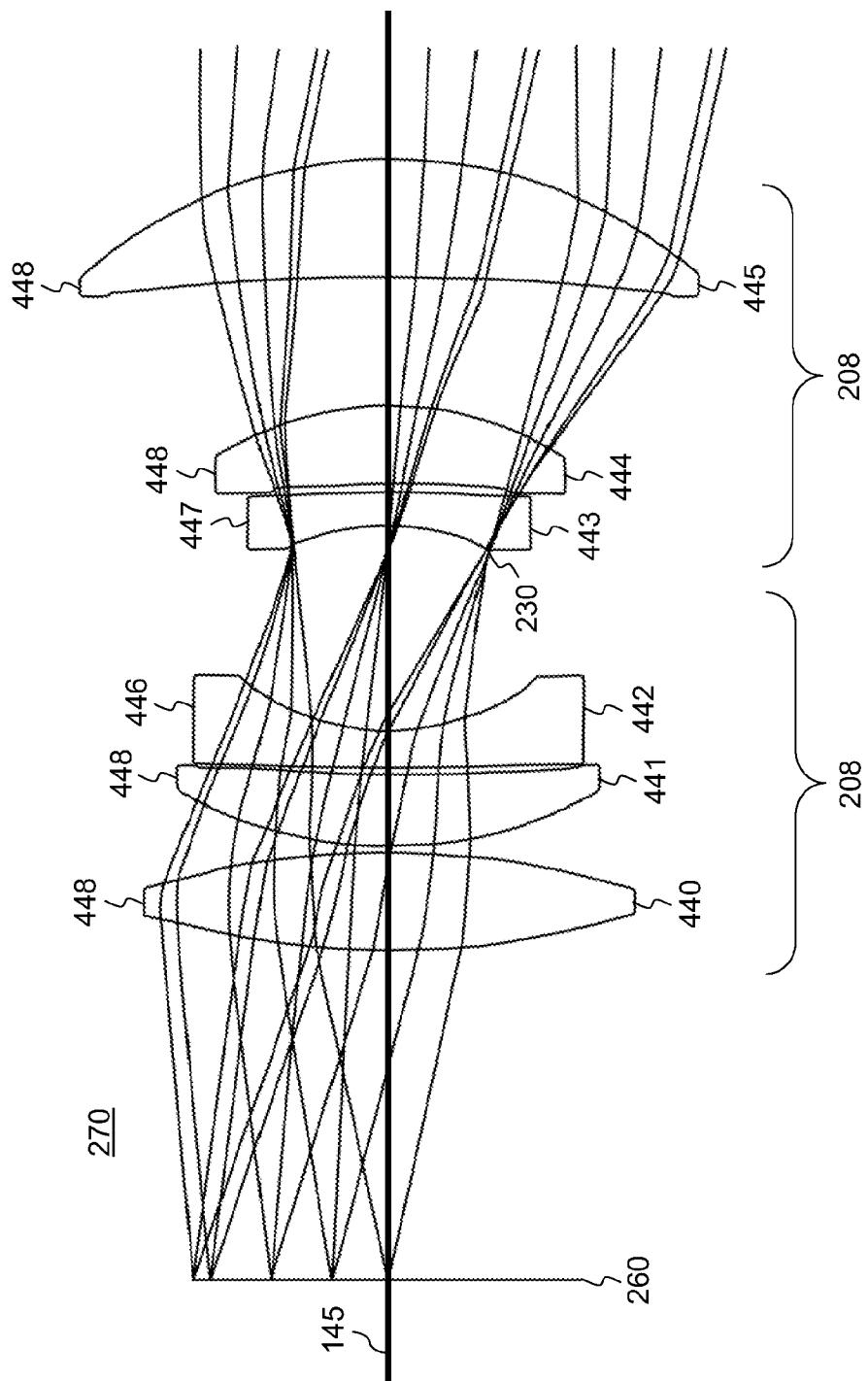
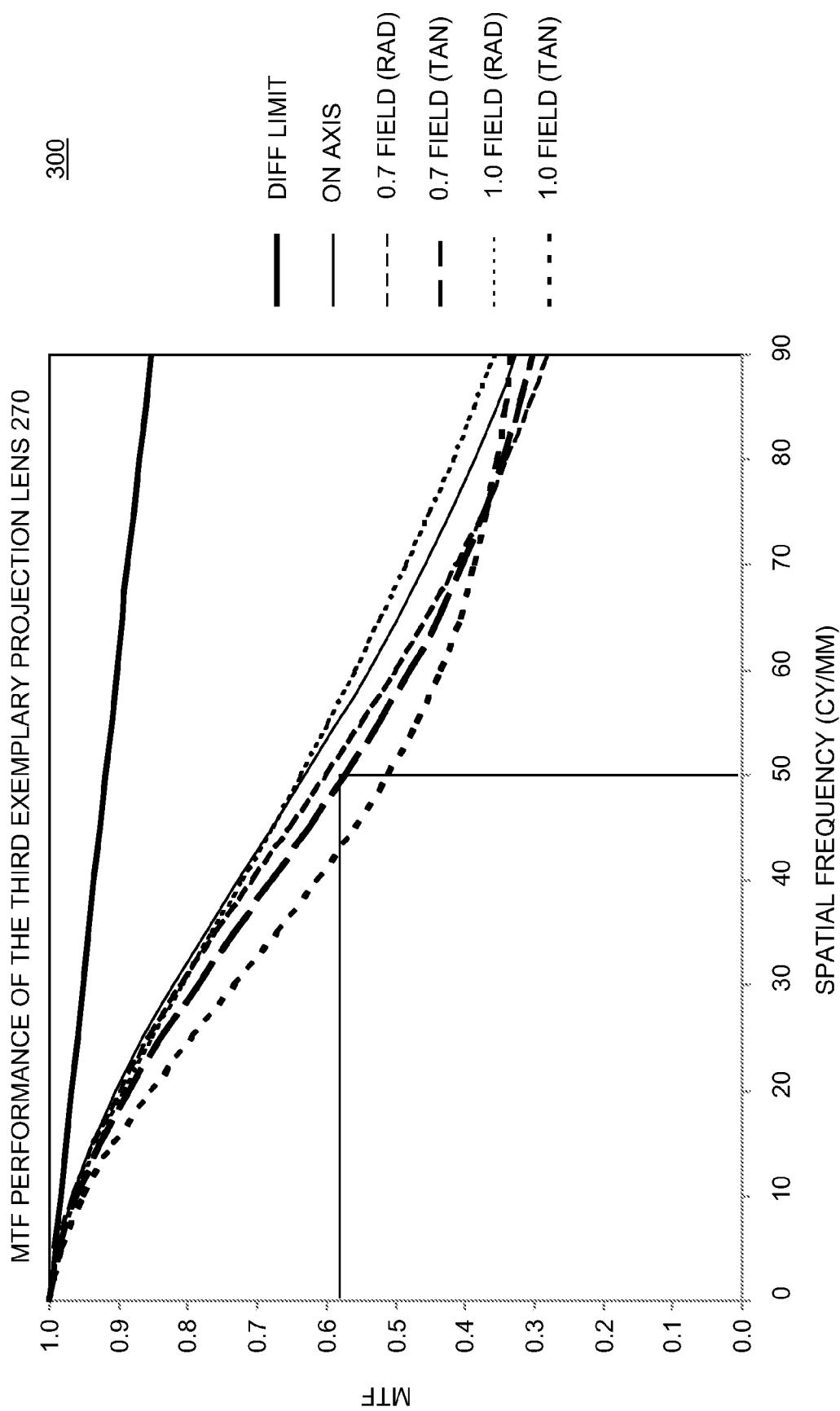
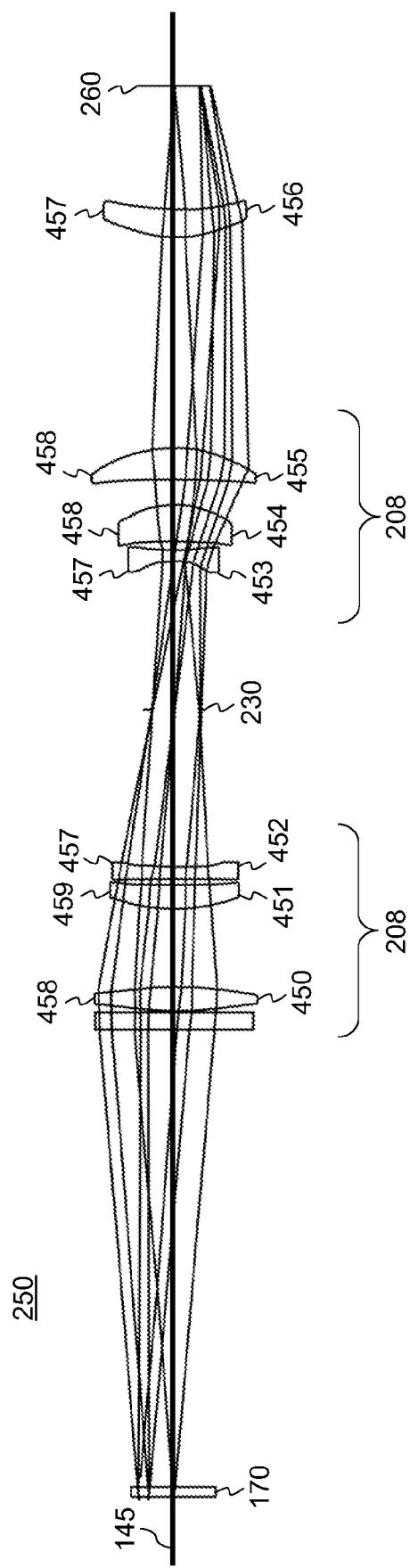


FIG. 12A

30/39

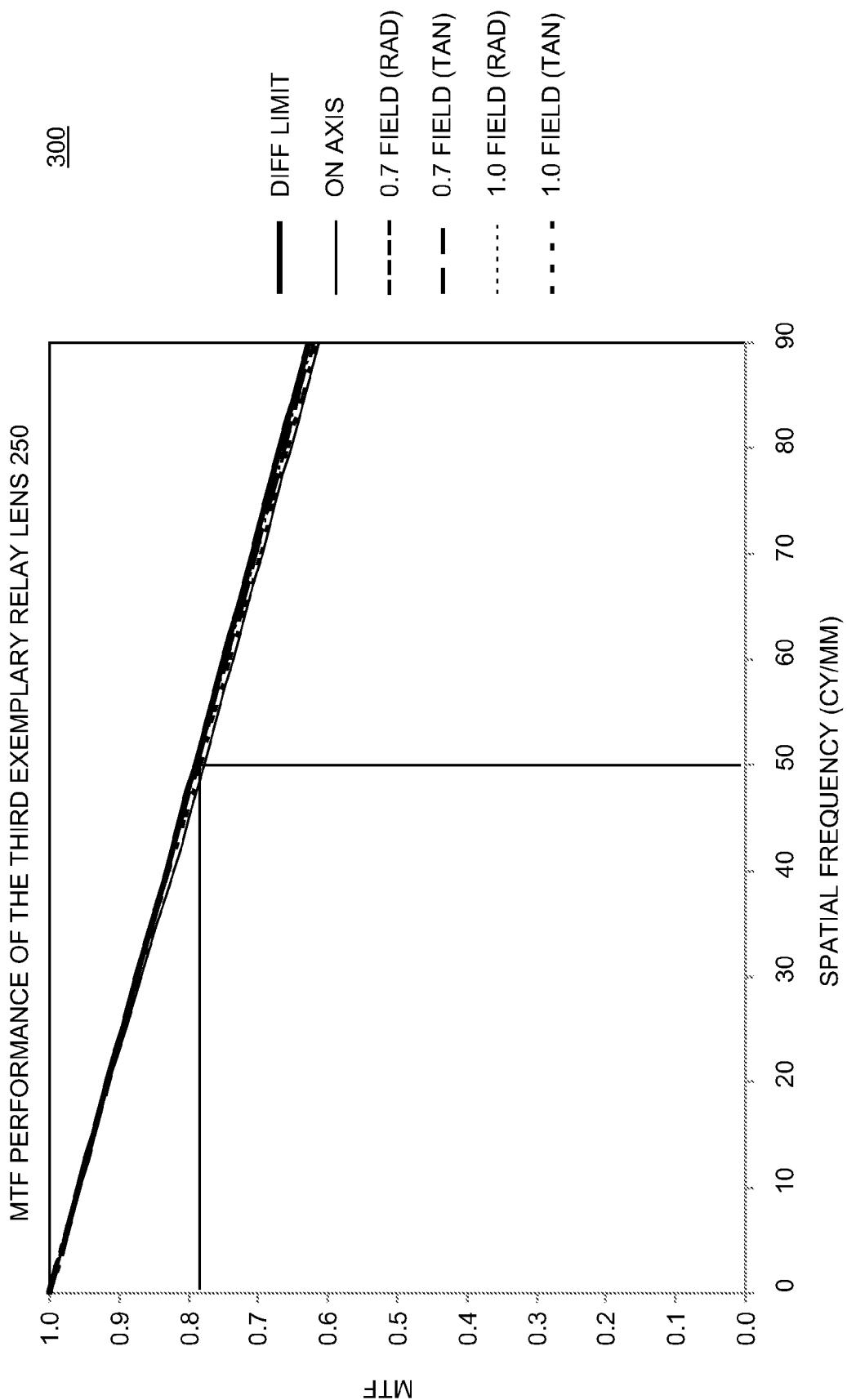
**FIG. 12B**

31/39



**FIG. 12C**

32/39

**FIG.12D**

33/39

THERMAL STRESS BIREFRINGENCE PERFORMANCE  
OF THE THIRD EXEMPLARY PROJECTION LENS 270

ELEMENT	GLASS	THICKNESS (mm)	$M_1$ ( $10^{-6}W$ )	PEAK POWER (NORMALIZED)	$M_2$
440	OHARA S-LAL18	6.98	0.7264	0.130	0.658
441	OHARA S-LAL18	5.07	0.7264	0.180	0.664
442	SCHOTT LITHOSIL-Q	2.70	0.0011	0.225	0.001
443	OHARA PBH56	2.50	0.0471	1.000	0.118
444	OHARA S-LAL18	5.50	0.7264	0.641	2.561
445	OHARA S-LAL18	8.52	0.7264	0.124	0.765
AGGREGATE			2.9538		4.767
IMPROVEMENT RATIO			2.30		2.80

**FIG. 13A**

34/39

THERMAL STRESS BIREFRINGENCE PERFORMANCE OF THE THIRD EXEMPLARY RELAY LENS 250

ELEMENT	GLASS	THICKNESS (mm)	$M_1$ ( $10^{-6}/W$ )	PEAK POWER (NORMALIZED)	$M_2$
450	OHARA S-LAL18	7.73	0.72640	0.2752	1.5453
451	SCHOTT LITHOSIL-Q	8.97	0.00113	0.5110	0.0052
452	OHARA PBH56	5.0	0.04710	0.5781	0.1361
453	OHARA PBH56	4.13	0.04710	1.0000	0.1945
454	OHARA S-LAL18	11.88	0.72640	0.5842	5.0415
455	OHARA S-LAL18	11.43	0.72640	0.1988	1.6502
456	OHARA PBH56	9.53	0.04710	0.1484	0.0666
AGGREGATE IMPROVEMENT RATIO			2.3217		8.6395
			3.195		2.218

**FIG. 13B**

35/39

SURFACE	RADIUS (mm)	THICKNESS (mm)	APERTURE (mm)	GLASS
OBJECT (SCREEN)	INFINITY			
1	33.628	8.5200	41.599	SLAL18 OHARA
2	162.829	9.2600	39.409	
3	23.032	5.5000	22.890	SLAL18 OHARA
4	55.548	0.6160	18.568	
5	158.100	2.5000	18.287	PBH56 OHARA
6	16.467	1.7600	14.164	
7	-16.900	13.0340	13.975	(STOP)
8	-245.900	2.7000	20.415	SiO2 SCHOTT
9	-245.900	0.5300	25.704	
10	-109.408	5.0700	25.847	SLAL18 OHARA
11	-30.893	0.5000	27.948	
12	59.970	6.9800	32.320	SLAL18 OHARA
13	-59.970	23.8813	32.662	
IMAGE (INT IMG)	INF		28.016	

**FIG. 14A**

36/39

SURFACE	RADIUS (mm)	THICKNESS (mm)	APERTURE (mm)	GLASS
OBJECT (DLP)		171.0000		
1	158.5630	7.7300	54.6919	SLAL18 OHARA
2	-158.5630	27.3000	54.5364	
3	57.8650	8.9700	42.5011	SIO2 SCHOTT
4	INF	1.3100	40.0894	
5	-204.2040	5.0000	39.8404	PBH56 OHARA
6	277.8740	54.4900	37.9682	
7	INF	52.5400	15.8749	APERTURE STOP
8	-21.9660	4.1300	22.9547	PBH56 OHARA
9	168.8000	2.9000	27.8491	
10	-70.6670	11.8800	29.1486	SLAL18 OHARA
11	-38.6200	8.9900	37.7977	
12	-328.6680	11.4300	50.1425	SLAL18 OHARA
13	-42.7620	73.3300	52.1954	
14	52.1840	9.5300	48.0193	PBH56 OHARA
15	86.3170	43.1900	44.7866	
INT IMAGE			26.9214	

*FIG. 14B*

37/39

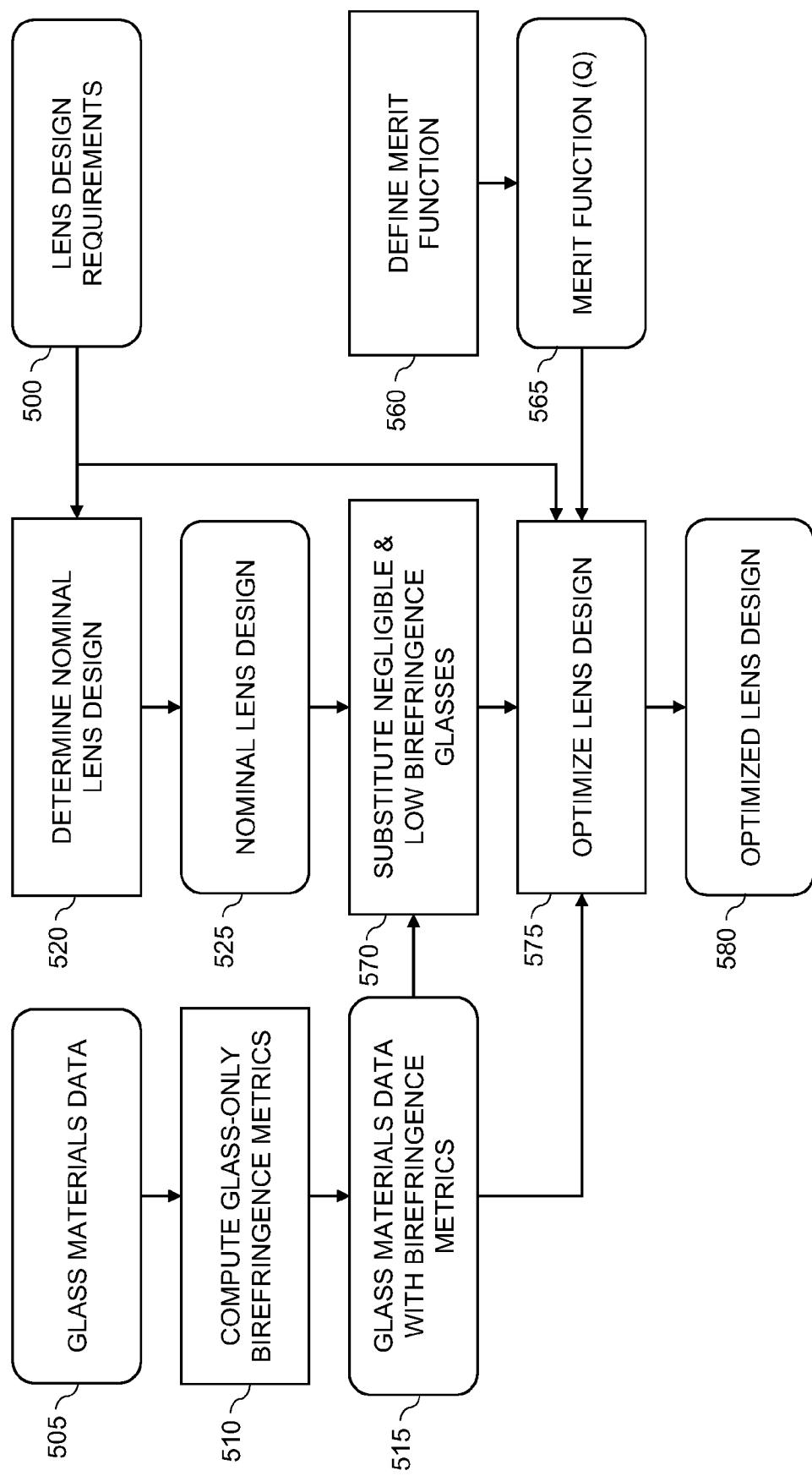
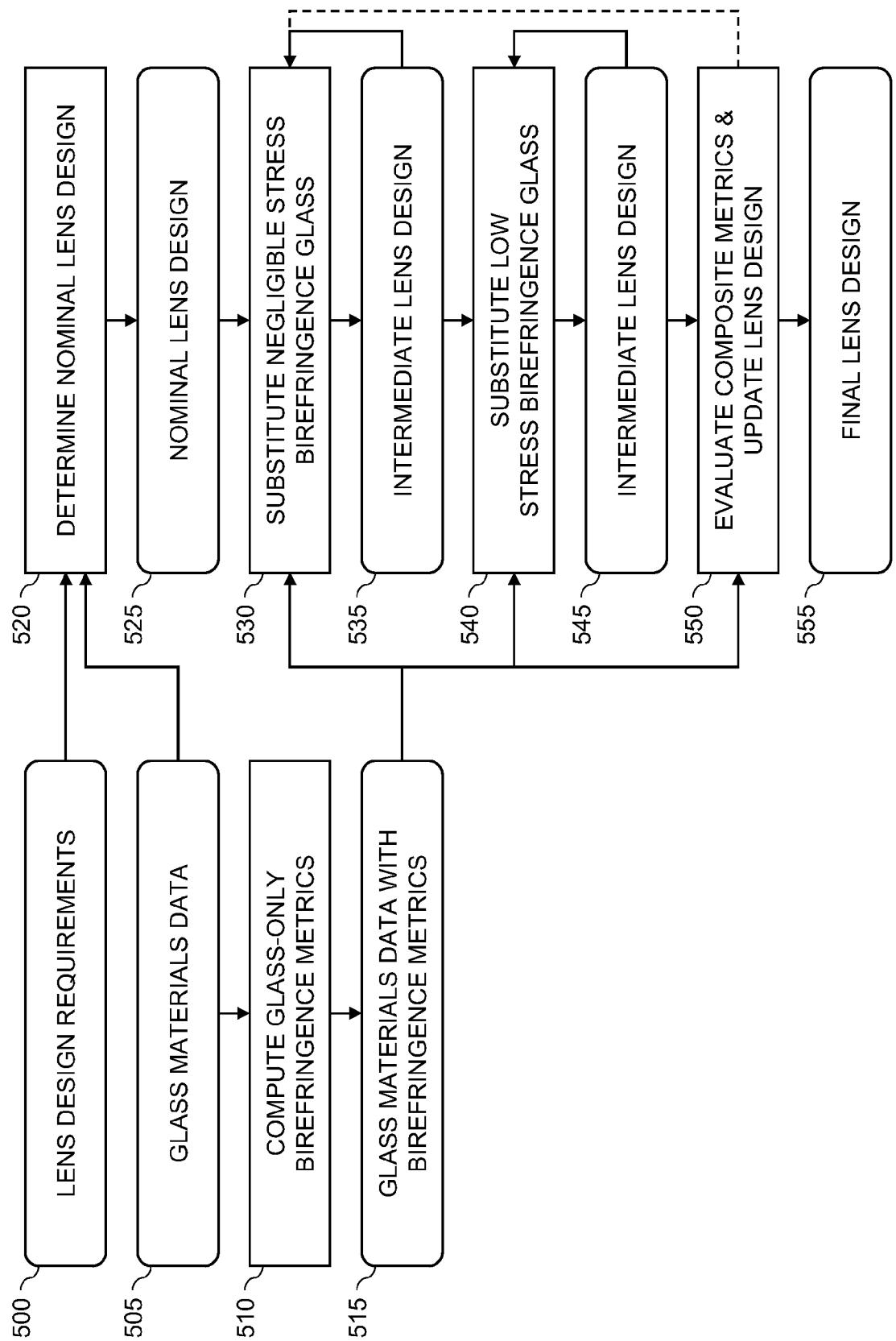


FIG.15

38/39

**FIG. 16**

39/39

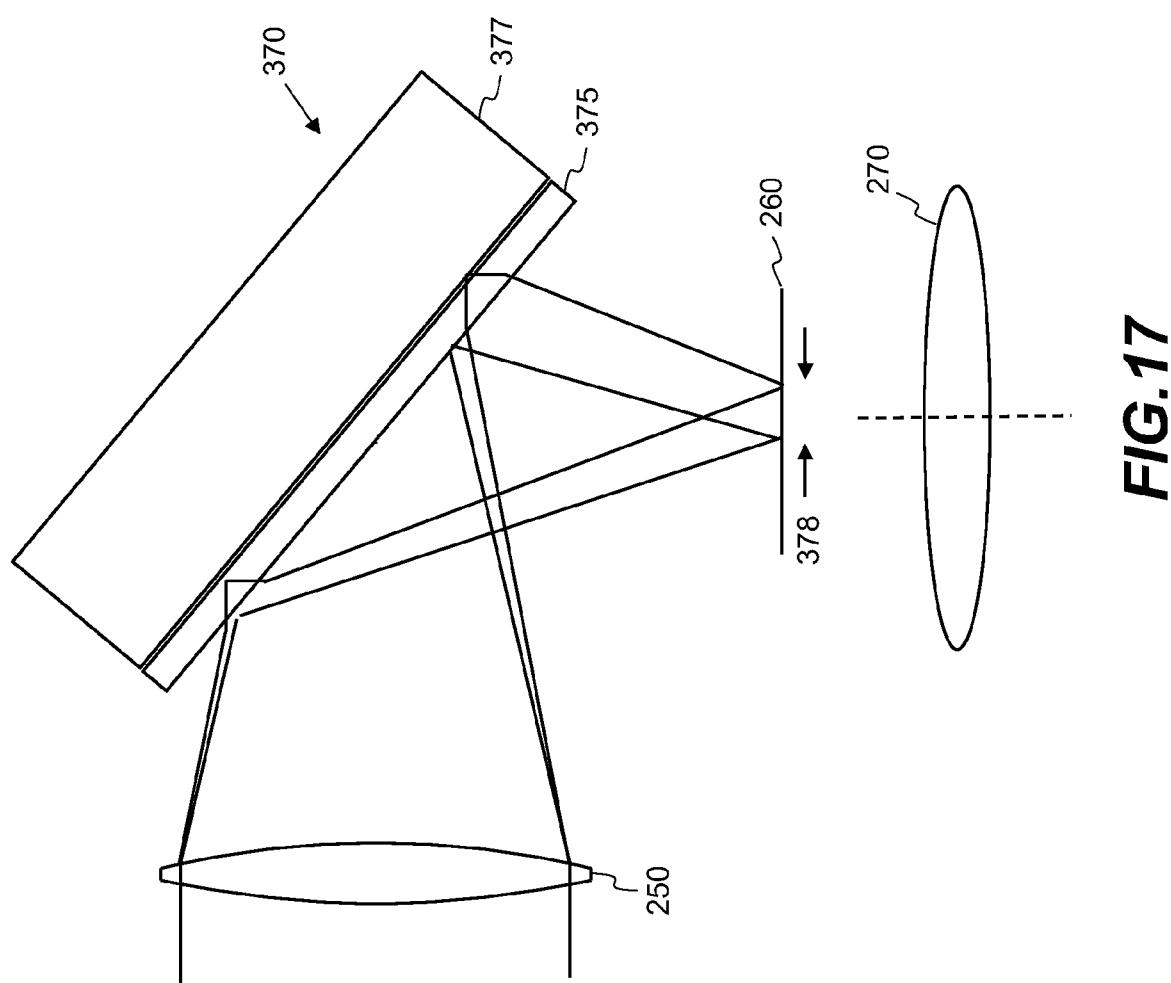


FIG. 17

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2011/035737

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. G02B13/16  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
G02B H04N G03B G03F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2004/111690 A1 (ZEISS CARL SMT AG [DE]; GERHARD MICHAEL [DE]; ENKISCH BIRGIT [DE]; GRU) 23 December 2004 (2004-12-23) page 9, line 14 - page 12, line 4; figure 4 page 22, line 18 - page 23, line 4 -----	1,20,31
A	US 2006/109560 A1 (KAMENOV VLADIMIR [DE] ET AL) 25 May 2006 (2006-05-25) abstract; figure 3 paragraphs [0064], [0071], [0072], [0079] ----- -/-	1,10,31



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
15 July 2011	22/07/2011

Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Ciarrocca, Marco
----------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------

## INTERNATIONAL SEARCH REPORT

International application No
PCT/US2011/035737

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CLINE R ET AL: "Thermal stress birefringence in LCOS projection displays", DISPLAYS DEVICES, DEMPA PUBLICATIONS, TOKYO, JP, vol. 23, no. 3, 1 June 2002 (2002-06-01), pages 151-159, XP004357158, ISSN: 0141-9382, DOI: DOI:10.1016/S0141-9382(02)00021-5 cited in the application the whole document -----	1,20,31
A	US 2004/263989 A1 (COBB JOSHUA M [US] ET AL) 30 December 2004 (2004-12-30) abstract; figure 2 paragraph [0067] -----	1,20,31
A	US 2010/091249 A1 (BENEDIX GUENTHER [DE] ET AL) 15 April 2010 (2010-04-15) abstract; figure 1 paragraph [0069] -----	1,20,31

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No  
PCT/US2011/035737

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 2004111690	A1 23-12-2004	AU 2003304216	A1	04-01-2005
US 2006109560	A1 25-05-2006	None		
US 2004263989	A1 30-12-2004	CA 2467980	A1	25-12-2004
		CN 1576950	A	09-02-2005
		EP 1492354	A2	29-12-2004
		JP 4344285	B2	14-10-2009
		JP 2005018071	A	20-01-2005
US 2010091249	A1 15-04-2010	DE 102008051252	A1	15-04-2010