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(54) Title: METHODS FOR HARVESTING MAMMALIAN CELL CULTURES

(57) Abstract: The invention provides methods and materials for culturing mammalian cells and harvesting recombinant protein.

## METHODS FOR HARVESTING MAMMALIAN CELL CULTURES

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No.  
5 62/007,588 filed June 4, 2014, which is incorporated in its entirety by reference  
herein.

### FIELD OF THE INVENTION

The invention provides method and materials for culturing mammalian cells  
10 and harvesting recombinant proteins.

### BACKGROUND OF THE INVENTION

As the demand for greater quantities of therapeutic recombinant proteins  
continues to grow, much effort is being placed on process optimization, particularly  
15 methods and strategies for growing, feeding, and maintaining production cell  
cultures which have a positive impact on cell viability and protein recovery.  
Developing manufacturing processes for production of recombinant proteins is a  
complex endeavor where many variables must be balanced. This is particularly  
true for upstream processes, where every element of the cell culture process can  
20 have a large impact on the later stages of production, particularly harvest and  
downstream processing.

A typical cell culture undergoes a growth phase, this is a period of  
exponential growth where cell density is increased. The growth phase is followed  
by a transition phase when exponential cell growth is slowing and protein  
25 production starts to increase. This marks the start of the stationary phase, a  
production phase, where cell density typically levels off and product titer increases.  
In batch harvest systems, where the cell culture is maintained for a set number of  
days followed by harvesting the entire culture all at once, the majority of the  
product may be produced in the last few days prior to harvest when the cell culture

typically has reached its greatest output. While this may result in a single high titer harvest, it is at the expense of a non-productive turnaround time to initiate the next run and the lag time to once again achieve peak production. In a continuous harvest systems, where product containing permeate is collected from the cell culture on a continuous basis throughout the production phase, the cell culture duration is extended, but at the expense of lower product titers and higher volumes of waste cell culture fluid to be dealt with during the harvest and purification stages.

Cell culture and harvest process development is ultimately is an exercise in process optimization, trading variables such as processing speed for product titer and product quality. The challenges include, for example, maintaining cell viability, achieving a workable product titer, and balancing the output from the upstream process with what the harvest and downstream processes can handle.

New process methods that provide even incremental improvements in recombinant protein production and recovery are valuable, given the expense of large scale cell culture processes and the growing demand for greater quantities of and lower costs for biological products. Improvements to cell culture processes that can lead to greater product recovery, thereby reducing the costs associated with manufacturing protein therapeutics are needed. The invention fulfills these needs by providing such methods and materials for extending cell culture duration while increasing protein recovery.

## **SUMMARY OF THE INVENTION**

The invention provides a method for an extended periodic harvest comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a filter and collecting a permeate, wherein a null permeate is initially collected until a first predetermined parameter is reached, at which time a harvest permeate is collected for a predetermined time, this is followed by alternately collecting a null

permeate until a second predetermined parameter is reached, then collecting a harvest permeate for a predetermined time, wherein the alternating collection of null permeate and harvest permeate continues until the cell culture is terminated.

In one embodiment the predetermined parameter is selected from time,  
5 viable cell density, packed cell volume or titer.

In one embodiment the first predetermined parameter is at least 12 hours to 25 days following the establishment of the cell culture. In one embodiment the first predetermined parameter is at least 24 to 72 hours following the establishment of the cell culture. In one embodiment the first predetermined parameter is at least  
10 4 days following the establishment of the cell culture. In one embodiment the first predetermined parameter is at least 5 or more days following the establishment of the cell culture. In one embodiment the first predetermined parameter is at least 25 days following the establishment of the cell culture. In one embodiment the first predetermined parameter is at least 5 to 25 days following the establishment of the  
15 cell culture. In one embodiment the first predetermined parameter is at least 10 to 12 days following the establishment of the cell culture.

In one embodiment the second predetermined parameter is at least 12 to 72 hours following the collection of the harvest permeate. In one embodiment the second predetermined parameter is at least 24 to 72 hours following the collection  
20 of the harvest permeate. In one embodiment the second predetermined parameter is at least 24 to 48 hours following the collection of the harvest permeate.

In one embodiment the predetermined time is at least 12 to 72 hours. In one embodiment the predetermined time is at least 24 to 72 hours. In one embodiment the predetermined time is at least 24 to 48 hours.\

25 In one embodiment the null permeate is initially collected for at least 24 hours to 25 days, at which time a harvest permeate is collected for 12 to 72 hours, followed by alternately collecting a null permeate for at least 24 hours to 25 days, then collecting a harvest permeate for 12 to 72 hours.

In one embodiment when the null permeate is collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off (MWCO) that retains the recombinant protein in the bioreactor. In a related embodiment the molecular weight cutoff is 300 kDa or less. In a related embodiment the hollow  
5 fiber filter is an ultrafilter.

In one embodiment when the harvest permeate is collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor. In a related embodiment the molecular weight cutoff is at least 500 kDa. In a related embodiment the  
10 hollow fiber filter is a microfilter.

In one embodiment the filter is a single unit filter system. In a related embodiment rein the single unit filter system comprises at least one hollow fiber filter component having a pore size or molecular weight cut off (MWCO) that retains the recombinant protein in the bioreactor and at least one hollow fiber filter  
15 component having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor. In a related embodiment the molecular weight cutoff of at least one hollow fiber filter component that retains the recombinant protein in the bioreactor is 300 kDa or less. In a related embodiment the molecular weight cutoff of at least one hollow fiber filter  
20 component that does not retain the recombinant protein in the bioreactor is at least 500 kDa. In a related embodiment at least one hollow fiber filter component that retains the recombinant protein in the bioreactor is an ultrafilter and at least one hollow fiber filter component that does not retain the recombinant protein in the bioreactor is a microfilter. In a related embodiment the single unit filter system is  
25 contained within a housing. In a related embodiment the single unit filter system further comprises a spacer between at least two of the hollow fiber filter components.

In one embodiment when the null permeate is collected it is drawn from at least one hollow fiber filter component having a pore size or molecular weight cut  
30 off (MWCO) that retains the recombinant protein in the bioreactor.

In one embodiment when the harvest permeate is collected, it is drawn from at least one hollow fiber filter component having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor.

In one embodiment when the permeate is collected from a filter that is a  
5 hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor, the fresh cell culture medium is formulated with or supplemented to achieve at least 5g/L of a non-ionic block copolymer. In a related embodiment the non-ionic block copolymer is a polyoxypropylene-polyoxyethylene block copolymer. In a related embodiment the  
10 non-ionic block copolymer is poloxamer 188.

In one embodiment the above method further comprising taking samples during the cell culture processes, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and/or the cell culture process. In a related embodiment the samples are quantitatively and/or  
15 qualitatively monitored using process analytical techniques.

In one embodiment the perfusing is continuous perfusion. In one embodiment the rate of perfusion is constant. In one embodiment the perfusing is performed at a rate of less than or equal to 1.0 working volume per day. In one embodiment the perfusing is accomplished by a peristaltic pump, a double  
20 diaphragm pump, a low shear pump or alternating tangential flow. In a related embodiment the perfusing is accomplished by alternating tangential flow.

In one embodiment the method above further comprises subjecting the cell culture to a temperature shift wherein the cells are cultured a) at first temperature for a first period of time and b) at second temperature for a second period of time.  
25 In a related embodiment the temperature shift occurs at the transition between the growth phase and production phase. In a related embodiment the temperature shift occurs during the production phase. In a related embodiment the temperature shift is in response to a predetermined parameter. In a related embodiment the temperature shift is in response to a predetermined parameter wherein achieving

the predetermined parameter is determined using a capacitance based biomass probe.

In one embodiment the cell culture is established by inoculating the bioreactor with at least  $0.1 \times 10^6$  viable cells/mL. In a related embodiment the  
5 inoculum was grown by means of a perfusion process using alternating tangential flow filtration.

In one embodiment prior to entering the bioreactor, the cell culture medium is treated using nanofiltration, high temperature short time (HTST), or UV in combination with filtration.

10 In one embodiment the bioreactor is a production bioreactor. In a related embodiment the bioreactor has a capacity of at least 500L. In a related embodiment the bioreactor has a capacity of at least 500L to 2000L. In a related embodiment the bioreactor has a capacity of at least 1000L to 2000L.

15 In one embodiment the cell culture medium is a serum-free cell culture medium. In one embodiment the cell culture medium is a serum-free chemically defined cell culture medium. In one embodiment the cell culture medium is a perfusion cell culture medium.

20 In one embodiment the mammalian cells are Chinese Hamster Ovary (CHO) cells. In one embodiment the recombinant protein is selected from the group consisting of a human antibody, a humanized antibody, a chimeric antibody, a recombinant fusion protein, or a cytokine.

In one embodiment the recombinant protein is purified from the harvest permeate by one or more of flocculation, precipitation, centrifugation, depth filtration, affinity chromatography, size exclusion chromatography, ion exchange  
25 chromatography, mixed mode anion exchange chromatography, hydrophobic interaction chromatography or hydroxyapatite chromatography. In one embodiment the method above further comprises taking samples during the

purification process, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and the purification process.

In one embodiment the recombinant protein is formulated into a pharmaceutically acceptable formulation. In one embodiment is provided a  
5 recombinant protein produced by the above method.

In invention also provides a method for harvesting a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing the cell culture with fresh cell culture medium formulated or supplemented to  
10 achieve a concentration of at least 5 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor and collecting a permeate containing the recombinant protein.

In one embodiment the molecular weight cutoff is at least 500 kDa. In one  
15 embodiment the hollow fiber filter is a microfilter.

The invention also provides a method for harvesting a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing the cell culture with fresh cell culture media formulated or supplemented to achieve  
20 a concentration of at least 1 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off (MWCO) that retains the recombinant protein in the bioreactor, and collecting a permeate; once a predetermined parameter is reached perfusing the cell culture with fresh cell culture medium formulated or supplemented to achieve a  
25 concentration of at least 5 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor and collecting a permeate containing the recombinant protein.

In one embodiment the molecular weight cutoff of the hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor is 300 kDa or less. In one embodiment the hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein  
5 in the bioreactor is an ultrafilter.

In one embodiment the molecular weight cutoff of the hollow fiber filter having a pore size or molecular weight cut off (MWCO) that does not retain the recombinant protein in the bioreactor is at least 500 kDa. In one embodiment the hollow fiber filter having a pore size or molecular weight cut off (MWCO) that  
10 does not retain the recombinant protein in the bioreactor is a microfilter.

In one embodiment the a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and the hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor are components of a single unit filter system.

15 In one embodiment the non-ionic block copolymer is a polyoxypropylene-polyoxyethylene block copolymer. In one embodiment the non-ionic block copolymer is poloxamer 188.

In one embodiment the method above further comprises taking samples during the cell culture processes, evaluating the samples to quantitatively and/or  
20 qualitatively monitor characteristics of the recombinant protein and/or the cell culture process. In one embodiment the samples to quantitatively and/or qualitatively monitored using process analytical techniques.

In one embodiment the perfusing is continuous perfusion. In one embodiment the rate of perfusion is constant. In one embodiment the perfusing is  
25 performed at a rate of less than or equal to 1.0 working volume per day. In one embodiment the perfusing is accomplished by a peristaltic pump, a double diaphragm pump, a low shear pump or alternating tangential flow. In one embodiment the perfusing is accomplished by alternating tangential flow.

In one embodiment the method above further comprises subjecting the cell culture to a temperature shift wherein the cells are cultured a) at first temperature for a first period of time and b) at second temperature for a second period of time. In a related embodiment n the temperature shift occurs at the transition between the growth phase and production phase. In a related embodiment the temperature shift occurs during the production phase. In a related embodiment the temperature shift is in response to a predetermined parameter. In a related embodiment the temperature shift is in response to a predetermined parameter wherein reaching the predetermined parameter is determined using a capacitance based biomass probe.

10 In one embodiment the cell culture is established by inoculating the bioreactor with at least  $0.1 \times 10^6$  viable cells/mL. In one embodiment the inoculum was grown by means of a perfusion process using alternating tangential flow filtration.

15 In one embodiment prior to entering the bioreactor, the cell culture medium is treated using nanofiltration, high temperature short time (HTST), or UV in combination with filtration.

In one embodiment the bioreactor is a production bioreactor. In one embodiment n the bioreactor has a capacity of at least 500L. In a related embodiment the bioreactor has a capacity of at least 500L to 2000L. In a related embodiment the bioreactor has a capacity of at least 1000L to 2000L.

In one embodiment the cell culture medium is a serum-free cell culture medium. In one embodiment the cell culture medium is a serum-free chemically defined cell culture medium. In one embodiment the cell culture medium is a perfusion cell culture medium.

25 In one embodiment the mammalian cells are Chinese Hamster Ovary (CHO) cells.

In one embodiment the recombinant protein is selected from the group consisting of a human antibody, a humanized antibody, a chimeric antibody, a recombinant fusion protein, or a cytokine.

In one embodiment the recombinant protein is purified from the harvest permeate by one or more of flocculation, precipitation, centrifugation, depth filtration, affinity chromatography, size exclusion chromatography, ion exchange chromatography, mixed mode anion exchange chromatography, hydrophobic interaction chromatography or hydroxyapatite chromatography.

In one embodiment the method above further comprises taking samples during the purification process, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and the production process.

In one embodiment the recombinant protein is formulated into a pharmaceutically acceptable formulation.

In one embodiment is provided a recombinant protein produced by the above method.

The invention also provides a single unit filter system comprising two or more hollow fiber filter components of different pore sizes or molecular weight cut offs (MWCO), wherein the hollow fiber filter components are secured to one another in series such that a sterile flow path is maintained between the individual hollow fibers and the hollow fiber filter components of different pore size or molecular weight cut offs are isolated from one another with respect to their hollow shell sides from which the permeate is withdrawn, such that permeate can be removed independently from each respective hollow fiber filter component.

In one embodiment at least one hollow fiber filter component has a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and at least one hollow fiber filter component has a pore size filter that is a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor. In a related embodiment at least one hollow fiber filter component has a molecular weight cutoff of 300 kDa or less and at least one hollow fiber filter component has a molecular weight cutoff of at least 500 kDa. In a related embodiment at least one hollow fiber filter component

is an ultrafilter and at least one hollow fiber filter component is a microfilter. In a related embodiment the single unit filter system is contained within a housing. In one embodiment the single filter unit further comprising a spacer between at least two of the hollow fiber filter components

5           The method also provides a method for culturing cells expressing a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a single unit filter system and collecting a permeate, wherein  
10 the single unit filter system is attached to the bioreactor and the cell culture is drawn out of the bioreactor and into the single unit filter system by a single pumping system, wherein the cell culture passes through the lumen side of the hollow fibers of the single unit filter system and back into the bioreactor and a permeate is withdrawn from one or more of the hollow fiber filters components.

15           In one embodiment the method above further comprise taking samples during the cell culture processes, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and/or the cell culture process. In a related process the samples are quantitatively and/or qualitatively monitored by process analytical techniques.

20           In one embodiment the perfusing is continuous perfusion. In one embodiment the rate of perfusion is constant. In one embodiment the perfusing is performed at a rate of less than or equal to 1.0 working volume per day.

          In one embodiment the perfusing is accomplished by a peristaltic pump, a double diaphragm pump, a low shear pump or alternating tangential flow. In one  
25 embodiment the perfusing is accomplished by alternating tangential flow.

          In one embodiment when the permeate is collected from a hollow fiber filter component that has a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor, the fresh cell culture medium is formulated with or supplemented to achieve at least 5g/L of a non-ionic block

copolymer. In a related embodiment the non-ionic block copolymer is a polyoxypropylene-polyoxyethylene block copolymer. In a related embodiment the non-ionic block copolymer is poloxamer 188.

In one embodiment the method above further comprises subjecting the cell  
5 culture to a temperature shift wherein the cells are cultured a) at first temperature for a first period of time and b) at second temperature for a second period of time. In one embodiment the temperature shift occurs at the transition between the growth phase and production phase. In a related embodiment the temperature shift occurs during the production phase. In a related embodiment the temperature shift  
10 is in response to a predetermined parameter wherein reaching the predetermined parameter is determined using a capacitance based biomass probe.

In one embodiment the cell culture is established by inoculating the bioreactor with at least  $0.1 \times 10^6$  viable cells/mL. In a related embodiment the inoculum was grown by means of a perfusion process using alternating tangential  
15 flow filtration.

In one embodiment prior to entering the bioreactor, the cell culture medium is treated using nanofiltration, high temperature short time (HTST), or UV in combination with filtration.

In one embodiment the bioreactor is a production bioreactor. In a related  
20 embodiment the bioreactor has a capacity of at least 500L. In a related embodiment the bioreactor has a capacity of at least 500L to 2000L. In a related embodiment the bioreactor has a capacity of at least 1000L to 2000L.

In one embodiment the cell culture medium is a serum-free cell culture medium. In one embodiment the cell culture medium is a serum-free chemically  
25 defined cell culture medium. In one embodiment the cell culture medium is a perfusion cell culture medium.

In one embodiment the mammalian cells are Chinese Hamster Ovary (CHO) cells.

In one embodiment the recombinant protein is selected from the group consisting of a human antibody, a humanized antibody, a chimeric antibody, a recombinant fusion protein, or a cytokine.

In one embodiment the recombinant protein is purified from the harvest permeate by one or more of flocculation, precipitation, centrifugation, depth  
5 filtration, affinity chromatography, size exclusion chromatography, ion exchange chromatography, mixed mode anion exchange chromatography, hydrophobic interaction chromatography or hydroxyapatite chromatography

In one embodiment the method above further comprising taking samples  
10 during the purification process, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and the production process.

In one embodiment the recombinant protein is formulated into a pharmaceutically acceptable formulation. In one embodiment is provided a  
15 recombinant protein produced the method above.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 Schematic of single unit filter system, having a single opening where the  
20 cell culture fluid enters and exits through a single opening. Filters can be in any orientation, microfilter hollow fiber followed by ultrafilter hollow fiber is shown.

Figure 2 Titer from extended periodic harvest process, n=2 (open square) compared  
to ultrafiltration process, n=4 (closed circle).

25 Figure 3 Viable cell density from extended periodic harvest process, n=2 (open square) compared to ultrafiltration process, n=4 (closed circle).

Figure 4 Percent viability from extended periodic harvest process, n=2 (open square) compared to ultrafilter process, n=4 (closed circle).

5 Figure 5 Percent viability from reactors equipped with 750 kDa filter (open circles) and from reactors equipped with 30 kDa filters (closed circles). The percent viability decreased to 80% by day 6 in the reactors equipped with the 750 kDa filters. The percent viability in the reactors equipped with the 30 kDa filters was maintained at >80% for 14 days.

10 Figure 6 Lutrol<sup>®</sup> F68 concentrations measured in the supernatant (closed circle) and the permeate (open square) of reactors with a 30 kDa or a 750 kDa hollow fiber filter unit. The 30 kDa supernatant shows an accumulation of Lutrol<sup>®</sup> F68 over days 9-13.

15 Figure 7A Normalized viable cell density for cell lines A and B at each Lutrol<sup>®</sup> F68 concentration (2g/L -5g/L) compared to 1 g/L, giving cell density ratio. Comparisons were made using Student's t-tests between the data at all passages compared to that of 1 g/L. Statistical significance: \*  $\leq 0.0001$ ; \*\*  $\leq 0.001$ ; \*\*\*  $\leq 0.01$ ; \*\*\*\*  $\leq 0.05$ .

20 Figure 7B Percent viability for cell lines A and B at each Lutrol<sup>®</sup> F68 concentration (2g/L -5g/L) compared to 1 g/L. Comparisons were made using Student's t-tests between the data at all passages compared to that of 1 g/L. Statistical significance: \*  $\leq 0.0001$ ; \*\*  $\leq 0.001$ ; \*\*\*  $\leq 0.01$ ; \*\*\*\*  $\leq 0.05$ .

25 Figure 7C Cell diameter for cell lines A and B at each Lutrol<sup>®</sup> F68 concentration compared to the cell diameter at 1 g/L Lutrol<sup>®</sup> F68. Comparisons were made using Student's t-tests between the data at all passages compared to that of 1 g/L. Statistical significance: \*  $\leq 0.0001$ ; \*\*  $\leq 0.001$ ; \*\*\*  $\leq 0.01$ ; \*\*\*\*  $\leq 0.05$ .

30

Figure 8 Percent cell viability for cell lines A and B at 1 g/L Lutrol<sup>®</sup> F68 (open squares) and 5 g/L Lutrol<sup>®</sup> F68 (closed circles). Viability was maintained at >90% for > 30 days when concentration of Lutrol<sup>®</sup> F68 was increased to 5 g/L.

- 5 Figure 9 The effect of pluronic concentration on the viability of cells grown in 2L reactors with a 750 kDa ATF filter with perfusion media containing 1g/L Lutrol<sup>®</sup> F68 (closed square) or 5g/L Lutrol<sup>®</sup> F68 (open circle).

Figure 10 The effect of increasing the concentration of Lutrol<sup>®</sup> F68 (up to 5 g/L) on the recovery of viability of cells growing at 1 g/L Lutrol<sup>®</sup> F68 in 2L reactors with a 750 kDa ATF filter. Media A: closed circle. Media B: open circle. Arrow indicates when Lutrol<sup>®</sup> F68 concentration was increased.

10

Figure 11: GCMS quantification of glucose and mannose. (A) TIC for GC separation of hexoses and (B) typical mass spectrum fragmentation pattern found in hexose peaks containing both the 12C-hexose and 13C-internal standard hexose. (C) Assay linearity for glucose and mannose quantification.

15

Figure 12: The effect of mannose on high mannose glycosylation of IgG. (A) CHO cells cultured with increasing amounts of mannose; (B) CHO cells cultured with increasing amounts of mannose and at different concentrations of glucose. The linear increase in high mannose glycosylation is independent of glucose concentration.

20

Figure 13: Schematic of the PAC feedback loop. The key elements of the PAC process needed to deliver predefined product quality attributes are the QTPP, a PAT system including automated sampling and attribute specific analytics, a control model to modify the process and a process with known control levers to adjust attribute levels.

25

30

Figure 14: A single reactor run was used to calculate model parameters via least squares regression. The symbols are the measurements used to find the model parameters via least squares regression. The dashed lines are the resulting model outputs. The dotted lines are the model fits using the growth parameters which were used for MPC. The solid red lines in figures A and B show the mannose feed used to generate this training data. A) %high mannose B) Reactor mannose concentration C) Cell density (arbitrarily scaled calculated volume) D) Product concentration

10 Figure 15: Demonstration of control of %high mannose via Model Predictive Control. In all figures the symbols are measured values, but only the open symbols were used for the Model Predictive Control. The dotted line is the model output given the measurements and control action taken. The solid red lines in figures A and B show the mannose feed determined by MPC. A) %high mannose B) Reactor mannose concentration C) Cell density as arbitrarily scaled calculated volume(SCV) D) Product concentration

Figure 16: Comparison of PAC and non-PAC data. The %high mannose data were obtained via the HILIC assay.

20

## DETAILED DESCRIPTION OF THE INVENTION

The invention provides an extended periodic harvest method which offers the advantage of maintaining a continuous cell culture at its peak production while obtaining a high titer permeate. The invention provides a method for extended periodic harvest comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein product, maintaining the cell culture by perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a filter and collecting a permeate, wherein a null permeate is initially collected until a first predetermined parameter is reached, at which time a harvest permeate is collected for a predetermined time, this is followed by

alternately collecting a null permeate until a second predetermined parameter is reached, then collecting a harvest permeate for a predetermined time, wherein the alternating collection of the null permeate and harvest permeate continues until the cell culture is terminated.

5           The predetermined parameters may be reached by achieving some desired characteristic, attribute or performance milestone of the cell culture; such as viable cell density, packed cell volume or titer or a time point. In one embodiment, the predetermined parameter may be reached when the viable cell density is greater than or equal to  $1 \times 10^6$  viable cells/ml. In one embodiment, predetermined  
10 parameter may be reached when the viable cell density is at least  $20 \times 10^6$  viable cells/ml to  $30 \times 10^6$  viable cells/ml. In one embodiment, predetermined parameter may be reached when the packed cell volume is less than or equal to 35%. In one embodiment, predetermined parameter may be reached when the packed cell volume is less than or equal to 30%.

15           The predetermined parameter may be based on a time point. The time point may be measured in hours, days, weeks, or months following a triggering event or action. A triggering event or action may be hours or days in culture, hours or days following an event such as reaching a viable cell density, packed cell volume, titer, inoculating the bioreactor or collecting a harvest permeate. In one embodiment,  
20 the predetermined parameter may be reached within 12 hours to 25 days following a triggering event or action. In one embodiment, the predetermined parameter may be reached within 24 to 72 hours following a triggering event or action. In one embodiment, predetermined parameter may be reached within 4 days of the triggering event or action. In one embodiment, predetermined parameter may be  
25 reached 5 days or more following the triggering event or action. In one embodiment, predetermined parameter may be reached at least 25 days following the triggering event or action. In one embodiment, the first predetermined parameter may be reached within 5 to 25 days following inoculation of the bioreactor. In one embodiment, the first predetermined parameter may be reached  
30 within 10 to 12 days following inoculation of the bioreactor. In one embodiment, a

second predetermined parameter may be reached within 12 to 72 hours following the collection of a harvest permeate. In one embodiment, a second predetermined parameter may be reached within 24 to 72 hours following the collection of a harvest permeate. In one embodiment, a second predetermined parameter may be reached within 24 to 48 hours following the collection of a harvest permeate.

Once the predetermined parameter has been reached, a harvest permeate may be collected for a predetermined time. In one embodiment the predetermined time is at least 12 to 72 hours. In one embodiment the predetermined time is 24 to 72 hours. In one embodiment the predetermined time is 24 to 48 hours.

In one embodiment the filter is a single unit filter system. In a related embodiment the single unit filter system comprises at least one hollow fiber filter component having a pore size or molecular weight cut off (MWCO) that retains the recombinant protein in the bioreactor and at least one hollow fiber filter component having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor. In another embodiment the molecular weight cutoff of at least one hollow fiber filter component that retains the recombinant protein in the bioreactor is 300 kDa or less. In another embodiment the molecular weight cutoff of at least one hollow fiber filter component that does not retain the recombinant protein in the bioreactor is at least 500 kDa. In another embodiment at least one hollow fiber filter component that retains the recombinant protein in the bioreactor is an ultrafilter and at least one hollow fiber filter component that does not retain the recombinant protein in the bioreactor is a microfilter. In another embodiment the single unit filter system is contained within a housing. In another embodiment the single unit filter system further comprises a spacer between at least two of the hollow fiber filter components.

In one embodiment when the null permeate is collected using a single unit filter system it is drawn from at least one hollow fiber filter component having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor. In one embodiment when the harvest permeate is collected using a single unit filter system it is drawn from at least one hollow fiber filter having a

pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor. In one embodiment the permeate is collected from a filter that is a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor, the fresh cell culture medium is formulated with or supplemented to achieve at least 5g/L of a non-ionic block copolymer. In a related embodiment the non-ionic block copolymer is a polyoxypropylene-polyoxyethylene block copolymer. In another related embodiment the non-ionic block copolymer is poloxamer 188.

The invention also provides a method for harvesting a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing the cell culture with fresh cell culture medium formulated or supplemented to achieve a concentration of at least 5 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor and collecting a permeate containing the recombinant protein. In one embodiment the molecular weight cutoff is at least 500 kDa. In one embodiment the hollow fiber filter is a microfilter.

The invention also provides a method for harvesting a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by perfusing the cell culture with fresh cell culture media formulated or supplemented to achieve a concentration of at least 1 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor, and collecting a permeate; once a predetermined parameter is reached, perfusing the cell culture with fresh cell culture medium formulated or supplemented to achieve a concentration of at least 5 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor and collecting a permeate

containing the recombinant protein. In one embodiment the molecular weight cutoff of the hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor is 300 kDa or less. In a related embodiment the hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor is an ultrafilter. In one embodiment the molecular weight cutoff of the hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor is at least 500 kDa. In a related embodiment the hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor is a microfilter. In one embodiment the a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and the hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor are components of a single unit filter system. In a related embodiment the non-ionic block copolymer is a polyoxypropylene-polyoxyethylene block copolymer. In another related embodiment the non-ionic block copolymer is poloxamer 188.

The invention also provides a single unit filter system comprising two or more hollow fiber filter components of different pore sizes or molecular weight cut offs, wherein the hollow fiber filter components are secured to one another in series such that a sterile flow path is maintained between the individual hollow fibers and the hollow fiber filter components of different pore size or molecular weight cut offs are isolated from one another with respect to their hollow shell sides from which the permeate with withdrawn, such that permeate can be removed independently from each respective hollow fiber filter component. In one embodiment at least one hollow fiber filter component has a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and at least one hollow fiber filter component has a pore size filter that is a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor. In one embodiment at least one hollow fiber filter component has a molecular weight cutoff of 300 kDa or less and at least one hollow fiber filter component has a molecular weight cutoff of at least 500 kDa. In

one embodiment at least one hollow fiber filter component is an ultrafilter and at least one hollow fiber filter component is a microfilter. In one embodiment the single unit filter system is contained within a housing. In one embodiment the single unit filter system further comprising a spacer between at least two of the  
5 hollow fiber filter components

In a related embodiment the invention provides a method for culturing cells and/or harvesting a recombinant protein comprising expressing a recombinant protein comprising establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein, maintaining the cell culture by  
10 perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a single unit filter system and collecting a permeate, wherein the single unit filter system is attached to the bioreactor and the cell culture is drawn out of the bioreactor and into the single unit filter system by a single pumping system, wherein the cell culture passes through the lumen side of the hollow fibers of the  
15 single unit filter system and back into the bioreactor and a permeate is withdrawn from one or more of the hollow fiber filters components.

In a related embodiment the methods of the invention further comprise taking samples during the cell culture processes, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant  
20 protein and/or the cell culture process. In a related embodiment the samples are quantitatively and/or qualitatively monitored using process analytical techniques.

In a related embodiment of the methods of the invention the perfusing is continuous perfusion. In one embodiment the rate of perfusion is constant. In one embodiment the perfusing is performed at a rate of less than or equal to 1.0  
25 working volume per day. In one embodiment the perfusing is accomplished by a peristaltic pump, a double diaphragm pump, a low shear pump or alternating tangential flow. In one embodiment the perfusing is accomplished by alternating tangential flow.

In a related embodiment the methods of the invention further comprise subjecting the cell culture to a temperature shift wherein the cells are cultured a) at first temperature for a first period of time and b) at second temperature for a second period of time. In one embodiment the temperature shift occurs at the transition  
5 between the growth phase and production phase. In one embodiment the temperature shift occurs during the production phase. In one embodiment the temperature shift is in response to a predetermined parameter wherein achieving the predetermined parameter is determined using a capacitance based biomass probe. In one embodiment the temperature shift is in response to a predetermined  
10 parameter wherein achieving the predetermined parameter is determined using a capacitance based biomass probe.

In a related embodiment of the methods of the invention the cell culture is established by inoculating the bioreactor with at least  $0.1 \times 10^6$  viable cells/mL. In one embodiment the inoculum was grown by means of a perfusion process using  
15 alternating tangential flow filtration. In one embodiment prior to entering the bioreactor, the cell culture medium is treated using nanofiltration, high temperature short time (HTST), or UV in combination with filtration.

In a related embodiment of the methods of the invention the bioreactor is a production bioreactor. In one embodiment the bioreactor has a capacity of at least  
20 500L. In one embodiment the bioreactor has a capacity of at least 500L to 2000L. In one embodiment the bioreactor has a capacity of at least 1000L to 2000L.

In a related embodiment of the methods of the invention the cell culture medium is a serum-free chemically defined cell culture medium. In one embodiment the cell culture medium is a perfusion cell culture medium. In one  
25 embodiment the mammalian cells are Chinese Hamster Ovary (CHO) cells. In one embodiment the recombinant protein is selected from the group consisting of a human antibody, a humanized antibody, a chimeric antibody, a recombinant fusion protein, or a cytokine.

In a related embodiment of the methods of the invention the recombinant protein is purified from the harvest permeate by one or more of flocculation, precipitation, centrifugation, depth filtration, affinity chromatography, size exclusion chromatography, ion exchange chromatography, mixed mode anion  
5 exchange chromatography, hydrophobic interaction chromatography or hydroxyapatite chromatography. In one embodiment the methods of the invention further comprise taking samples during the purification process, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and the purification process. In one embodiment the samples  
10 are quantitatively and/or qualitatively monitored using process analytical techniques. In one embodiment the recombinant protein is formulated into a pharmaceutically acceptable formulation.

The invention also provides a recombinant protein produced by any method of the invention.

#### 15 Cell Culture

By “cell culture” or “culture” is meant the growth and propagation of cells outside of a multicellular organism or tissue. Suitable culture conditions for mammalian cells are known in the art. *See e.g.* Animal cell culture: A Practical Approach, D. Rickwood, ed., Oxford University Press, New York (1992).  
20 Mammalian cells may be cultured in suspension or while attached to a solid substrate.

As used herein, the terms “cell culturing medium” (also called “culture medium,” “cell culture media,” “tissue culture media,”) refers to any nutrient solution used for growing cells, *e.g.*, animal or mammalian cells, and which  
25 generally provides at least one or more components from the following: an energy source (usually in the form of a carbohydrate such as glucose); one or more of all essential amino acids, and generally the twenty basic amino acids, plus cysteine; vitamins and/or other organic compounds typically required at low concentrations; lipids or free fatty acids; and trace elements, *e.g.*, inorganic compounds or naturally

occurring elements that are typically required at very low concentrations, usually in the micromolar range.

The nutrient solution may optionally be supplemented with additional components to optimize growth of cells, such as hormones and other growth factors, such as insulin, transferrin, epidermal growth factor, serum, and the like; salts, such as calcium, magnesium and phosphate, and buffers, *e.g.*, HEPES; nucleosides and bases, such as adenosine, thymidine, hypoxanthine; and protein and tissue hydrolysates, such as hydrolyzed plant or animal protein (peptone or peptone mixtures, which can be obtained from animal byproducts, purified gelatin or plant material); antibiotics, such as gentamycin; polyamines, such as putrescine, spermidine and spermine (see WIPO Publication No. WO 2008/154014) and pyruvate (see US Patent No. 8053238), anti-apoptotic compounds, *e.g.*, MDL 28170, cypermethrin, cyclosporine A, BBMP, Bongkreikic acid, S-15176 difumerate, cyclic pifithrin-a, pifithrin mu, BI-6C9, NSCI, NS3694 or Necrostatin-1 (see WIPO Publication No. WO 2014/022102) depending on the requirements of the cells to be cultured and/or the desired cell culture parameters.

Non-ionic surfactants may also be added to the cell culture medium. Examples of non-ionic surfactants include, but are not limited to, polyvinyl alcohol, polyethylene glycol, and non-ionic block copolymer surfactants. Also included are alkyl poly(ethylene oxide), copolymers of poly(ethylene oxide) and poly(propylene oxide) (EO-PO block copolymers), poly(vinylpyrrolidone), alkyl polyglucosides (such as sucrose monostearate, lauryl diglucoside, or sorbitan monolaureate, octyl glucoside and decyl maltoside), fatty alcohols (cetyl alcohol or oleyl alcohol), or cocamides (cocamide MEA, cocamide DEA and cocamide TEA).

Also included are block copolymers based on ethylene oxide and propylene oxide, also referred to as polyoxypropylene-polyoxyethylene block copolymers. These molecules are nonionic triblock copolymers having a central hydrophobic chain of polyoxypropylene (poly(propylene oxide)) flanked by two hydrophilic chains of polyoxyethylene (poly(ethylene oxide)). Of particular interest are those having 70 polyoxypropylene units and 30 units of each of the polyoxyethylene

chains. In a preferred embodiment the block copolymer is poloxamer 188 (CAS #90003-11-6 with an average molecular weight of 8.4 kd, BASF Chemical, Washington, NJ) which is sold under various brand names such as Pluronic<sup>®</sup> F68, Kolliphor<sup>®</sup> P-188, Lutrol<sup>®</sup> F68, and Lutrol<sup>®</sup> 188.

5           These polyoxypropylene-polyoxyethylene block copolymers are used to protect cells from bubble-induced death due to sparging and foam in the reactor. As described herein, the level of poloxamer 188 that is typically used in cell culture medium (1g/L) may not be sufficient to protect cells from high shear forces within an alternating tangential flow (ATF) perfusion system when cell cultures are  
10 exposed to microfiltration. As described herein, adding a polyoxypropylene-polyoxyethylene block copolymer, such as poloxamer 188, at higher concentrations, such as 5 g/L, had a positive impact on cell viability, which enabled longer culture durations under ATF perfusion conditions.

Cell culture media include those that are typically employed in and/or are  
15 known for use with any cell culture process, such as, but not limited to, batch, extended batch, fed-batch and/or perfusion or continuous culturing of cells.

A “base” (or batch) cell culture medium or feed medium refers to a cell culture medium that is typically used to initiate a cell culture and is sufficiently complete to support the cell culture.

20           A “growth” cell culture medium or feed medium refers to a cell culture medium that is typically used in cell cultures during a period of exponential growth, a “growth phase”, and is sufficiently complete to support the cell culture during this phase. A growth cell culture medium may also contain selection agents that confer resistance or survival to selectable markers incorporated into the host  
25 cell line. Such selection agents include, but are not limited to, geneticin (G4118), neomycin, hygromycin B, puromycin, zeocin, methionine sulfoximine, methotrexate, glutamine-free cell culture medium, cell culture medium lacking glycine, hypoxanthine and thymidine, or thymidine alone.

A “production” cell culture medium or feed medium refers to a cell culture  
30 medium that is typically used in cell cultures during the transition when exponential growth is ending and during the subsequent transition and/or

production phases when protein production takes over. Such cell culture medium is sufficiently complete to maintain a desired cell density, viability and/or product titer during this phase.

5 A “perfusion” cell culture medium or feed medium refers to a cell culture medium that is typically used in cell cultures that are maintained by perfusion or continuous culture methods and is sufficiently complete to support the cell culture during this process. Perfusion cell culture medium formulations may be richer or more concentrated than base cell culture medium formulations to accommodate the method used to remove the spent medium. Perfusion cell culture medium can be  
10 used during both the growth and production phases.

Cell culture medium components may be completely milled into a powder medium formulation; partially milled with liquid supplements added to the cell culture medium as needed; or added in a completely liquid form to the cell culture.

15 Cell cultures can be supplemented with concentrated feed medium containing components, such as nutrients and amino acids, which are consumed during the course of the production phase of the cell culture. Concentrated cell culture medium can contain some or all of the nutrients necessary to maintain the cell culture; in particular, concentrated medium can contain nutrients identified as or known to be consumed during the course of the production phase of the cell  
20 culture. Concentrated medium may be based on just about any cell culture media formulation. Concentrated feed medium can contain some or all the components of the cell culture medium at, for example, about 2X, 3X, 4X, 5X, 6X, 7X, 8X, 9X, 10X, 12X, 14X, 16X, 20X, 30X, 50X, 100x, 200X, 400X, 600X, 800X, or even about 1000X of their normal amount.

25 Cell cultures can also be supplemented with independent concentrated feeds of particular nutrients which may be difficult to formulate or are quickly depleted in cell cultures. Such nutrients may be amino acids such as tyrosine, cysteine and/or cystine (see e.g., WIPO Publication No. 2012/145682). In one embodiment, a concentrated solution of tyrosine is independently fed to a cell culture grown in a  
30 cell culture medium containing tyrosine, such that the concentration of tyrosine in

the cell culture does not exceed 8 mM. In another embodiment, a concentrated solution of tyrosine and cystine is independently fed to the cell culture being grown in a cell culture medium lacking tyrosine, cystine or cysteine. The independent feeds can begin prior to or at the start of the production phase. The independent feeds can be accomplished by fed batch to the cell culture medium on the same or different days as the concentrated feed medium. The independent feeds can also be perfused on the same or different days as the perfused medium. Such independent feeds can be added to the cell culture medium after one or more days, and can also be added repeatedly during the course of the production phase, as long as tyrosine, cysteine and cystine depletion in the cell culture medium is avoided.

Methods can be employed to continuous feed a mammalian cell culture, such as those that do not employ feedback control (see WIPO Publication No. WO 2013/040444).

Cell culture medium, in certain embodiments, is serum-free and/or free of products or ingredients of animal origin. Cell culture medium, in certain embodiments, is chemically defined, where all of the chemical components are known.

Animal or mammalian cells are cultured in a medium suitable for the particular cells being cultured and which can be determined by the person of skill in the art without undue experimentation. Commercially available media can be utilized and include, but is not limited to, Iscove's Modified Dulbecco's Medium, RPMI 1640, Minimal Essential Medium-alpha. (MEM-alpha), Dulbecco's Modification of Eagle's Medium (DMEM), DME/F12, alpha MEM, Basal Medium Eagle with Earle's BSS, DMEM high Glucose, with Glutamine, DMEM high glucose, without Glutamine, DMEM low Glucose, without Glutamine, DMEM:F12 1:1, with Glutamine, GMEM (Glasgow's MEM), GMEM with glutamine, Grace's Complete Insect Medium, Grace's Insect Medium, without FBS, Ham's F-10, with Glutamine, Ham's F-12, with Glutamine, IMDM with HEPES and Glutamine, IMDM with HEPES and without Glutamine, IP41 Insect Medium, (Leibovitz)(2X), without Glutamine or Phenol Red, 15 (Leibovitz), without

Glutamine, McCoy's 5A Modified Medium, Medium 199, MEM Eagle, without Glutamine or Phenol Red (2X), MEM Eagle-Earle's BSS, with glutamine, MEM Eagle-Earle's BSS, without Glutamine, MEM Eagle-Hanks BSS, without Glutamine, NCTC-109, with Glutamine, Richter's CM Medium, with Glutamine,  
5 RPMI 1640 with HEPES, Glutamine and/or Penicillin-Streptomycin, RPMI 1640, with Glutamine, RPMI 1640, without Glutamine, Schneider's Insect Medium or any other media known to one skilled in the art, which are formulated for particular cell types. To the foregoing exemplary media can be added supplementary components or ingredients, including optional components, in appropriate  
10 concentrations or amounts, as necessary or desired, and as would be known and practiced by those having in the art using routine skill.

#### Media Treatments

The cell culture medium can be treated using methods or devices to sterilize or  
15 disinfect media prior to addition to the bioreactor and/or cell culture. In one embodiment, the cell culture media is treated using high temperature short time (HTST) (see, *e.g.*, U.S. Patent No. 7,420,183). In one embodiment, the cell culture media is treated using UV in combination with filtration (see, *e.g.*, WIPO Publications WO 2008/157247; WO 2012/115874; WO 2013/063298 and WO  
20 2013/138159). In another embodiment, the cell culture media is subjected to nanofiltration (see, *e.g.*, Liu *et al.*, (2000) *Biotechnol. Prog.* 16:425-434). In another embodiment, the cell culture media is treated with chemicals that inactivate viruses, such as solvents, detergents, psoralen, or beta-propiolactone.

#### 25 Cells

Cell lines (also referred to as "cells" or "host cells") used in the invention are genetically engineered to express a polypeptide of commercial or scientific interest. Cell lines are typically derived from a lineage arising from a primary culture that can be maintained in culture for an unlimited time. The cells can  
30 contain introduced, *e.g.*, via transformation, transfection, infection, or injection, expression vectors (constructs), such as plasmids and the like, that harbor coding

sequences, or portions thereof, encoding the proteins for expression and production in the culturing process. Such expression vectors contain the necessary elements for the transcription and translation of the inserted coding sequence. Methods which are well known to and practiced by those skilled in the art can be used to  
5 construct expression vectors containing sequences encoding the produced proteins and polypeptides, as well as the appropriate transcriptional and translational control elements. These methods include *in vitro* recombinant DNA techniques, synthetic techniques, and *in vivo* genetic recombination. Such techniques are described in J. Sambrook et al., 2012, Molecular Cloning, A Laboratory Manual, 4<sup>th</sup> edition Cold  
10 Spring Harbor Press, Plainview, N.Y. or any of the previous editions; F. M. Ausubel et al., 2013, Current Protocols in Molecular Biology, John Wiley & Sons, New York, N.Y, or any of the previous editions; Kaufman, R.J., Large Scale Mammalian Cell Culture, 1990, all of which are incorporated herein for any purpose.

15 Animal cells, mammalian cells, cultured cells, animal or mammalian host cells, host cells, recombinant cells, recombinant host cells, and the like, are all terms for the cells that can be cultured according to the processes of this invention. Such cells are typically cell lines obtained or derived from mammals and are able to grow and survive when placed in either monolayer culture or suspension culture  
20 in medium containing appropriate nutrients and/or other factors, such as those described herein. The cells are typically selected that can express and secrete proteins, or that can be molecularly engineered to express and secrete, large quantities of a particular protein, more particularly, a glycoprotein of interest, into the culture medium. It will be understood that the protein produced by a host cell  
25 can be endogenous or homologous to the host cell. Alternatively, the protein is heterologous, *i.e.*, foreign, to the host cell, for example, a human protein produced and secreted by a Chinese hamster ovary (CHO) host cell. Additionally, mammalian proteins, *i.e.*, those originally obtained or derived from a mammalian organism, are attained by the methods the present invention and can be secreted by  
30 the cells into the culture medium.

The compositions of the present invention can be used to culture a variety of cells. In one embodiment, the cultured cells are eukaryotic cells such as plant and/or animal cells. The cells can be mammalian cells, fish cells, insect cells, amphibian cells or avian cells. A wide variety of mammalian cell lines suitable for growth in culture are available from the American Type Culture Collection (Manassas, Va.) and other depositories as well as commercial vendors. Cell that can be used in the processes of the invention include, but not limited to, MK2.7 cells, PER-C6 cells, Chinese hamster ovary cells (CHO), such as CHO-K1 (ATCC CCL-61), DG44 (Chasin et al., 1986, *Som. Cell Molec. Genet.*, 12:555-556; 5 Kolkekar et al., 1997, *Biochemistry*, 36:10901-10909; and WO 01/92337 A2), dihydrofolate reductase negative CHO cells (CHO/-DHFR, Urlaub and Chasin, 10 1980, *Proc. Natl. Acad. Sci. USA*, 77:4216), and dp12.CHO cells (U.S. Pat. No. 5,721,121); monkey kidney cells (CV1, ATCC CCL-70); monkey kidney CV1 cells transformed by SV40 (COS cells, COS-7, ATCC CRL-1651); HEK 293 cells, 15 and Sp2/0 cells, 5L8 hybridoma cells, Daudi cells, EL4 cells, HeLa cells, HL-60 cells, K562 cells, Jurkat cells, THP-1 cells, Sp2/0 cells, primary epithelial cells (e.g., keratinocytes, cervical epithelial cells, bronchial epithelial cells, tracheal epithelial cells, kidney epithelial cells and retinal epithelial cells) and established cell lines and their strains (e.g., human embryonic kidney cells (e.g., 293 cells, or 20 293 cells subcloned for growth in suspension culture, Graham et al., 1977, *J. Gen. Virol.*, 36:59); baby hamster kidney cells (BHK, ATCC CCL-10); mouse sertoli cells (TM4, Mather, 1980, *Biol. Reprod.*, 23:243-251); human cervical carcinoma cells (HELA, ATCC CCL-2); canine kidney cells (MDCK, ATCC CCL-34); human lung cells (W138, ATCC CCL-75); human hepatoma cells (HEP-G2, HB 25 8065); mouse mammary tumor cells (MMT 060562, ATCC CCL-51); buffalo rat liver cells (BRL 3A, ATCC CRL-1442); TRI cells (Mather, 1982, *Annals NY Acad. Sci.*, 383:44-68); MCR 5 cells; FS4 cells; PER-C6 retinal cells, MDBK (NBL-1) cells, 911 cells, CRFK cells, MDCK cells, BeWo cells, Chang cells, Detroit 562 cells, HeLa 229 cells, HeLa S3 cells, Hep-2 cells, KB cells, LS 180 cells, LS 174T 30 cells, NCI-H-548 cells, RPMI 2650 cells, SW-13 cells, T24 cells, WI-28 VA13, 2RA cells, WISH cells, BS-C-I cells, LLC-MK<sub>2</sub> cells, Clone M-3 cells, 1-10 cells,

RAG cells, TCMK-1 cells, Y-1 cells, LLC-PK<sub>1</sub> cells, PK(15) cells, GH<sub>1</sub> cells, GH<sub>3</sub> cells, L2 cells, LLC-RC 256 cells, MH<sub>1</sub>C<sub>1</sub> cells, XC cells, MDOK cells, VSW cells, and TH-I, B1 cells, or derivatives thereof), fibroblast cells from any tissue or organ (including but not limited to heart, liver, kidney, colon, intestines, esophagus, stomach, neural tissue (brain, spinal cord), lung, vascular tissue (artery, vein, capillary), lymphoid tissue (lymph gland, adenoid, tonsil, bone marrow, and blood), spleen, and fibroblast and fibroblast-like cell lines (*e.g.*, TRG-2 cells, IMR-33 cells, Don cells, GHK-21 cells, citrullinemia cells, Dempsey cells, Detroit 551 cells, Detroit 510 cells, Detroit 525 cells, Detroit 529 cells, Detroit 532 cells, Detroit 539 cells, Detroit 548 cells, Detroit 573 cells, HEL 299 cells, IMR-90 cells, MRC-5 cells, WI-38 cells, WI-26 cells, MiCl<sub>1</sub> cells, CV-1 cells, COS-1 cells, COS-3 cells, COS-7 cells, African green monkey kidney cells (VERO-76, ATCC CRL-1587; VERO, ATCC CCL-81); DBS-FrhL-2 cells, BALB/3T3 cells, F9 cells, SV-T2 cells, M-MSV-BALB/3T3 cells, K-BALB cells, BLO-11 cells, NOR-10 cells, C<sub>3</sub>H/IOTI/2 cells, HSDM<sub>1</sub>C<sub>3</sub> cells, KLN205 cells, McCoy cells, Mouse L cells, Strain 2071 (Mouse L) cells, L-M strain (Mouse L) cells, L-MTK (Mouse L) cells, NCTC clones 2472 and 2555, SCC-PSA1 cells, Swiss/3T3 cells, Indian muntac cells, SIRC cells, C<sub>11</sub> cells, and Jensen cells, or derivatives thereof) or any other cell type known to one skilled in the art.

Cells may be suitable for adherent, monolayer and/or suspension culture, transfection, and expression of proteins, for example, antibodies. The cells can be used, for example, with batch, fed batch and perfusion or continuous culture methods.

## Types of Cell Cultures

For the purposes of understanding, yet without limitation, it will be appreciated by the skilled practitioner that cell cultures and culturing runs for protein production can include batch culture, fed-batch culture, perfusion culture, or combinations thereof. In batch culture, cells are initially cultured in medium and this medium is not removed, replaced, or supplemented, *i.e.*, the cells are not “fed”

with fresh medium, during or before the end of the culturing run. The entire cell culture is harvested at the end of the culturing run.

For fed-batch cultures, the culturing run time is increased by supplementing the culture medium periodically or continuously with fresh medium during the run, *i.e.*, the cells are “fed” with new medium (“fed medium”) during the culturing run. Fed-batch cultures can include the various feeding regimens and times as described above, for example, daily, every other day, every two days, etc., more than once per day, or less than once per day, and so on. Further, fed-batch cultures can be fed continuously with feeding medium. The desired product is then harvested at the end of the culturing run.

Perfusion culture, sometimes known as continuous culture, is one in which the cell culture receives the addition of fresh medium (“perfusion medium”) and spent medium is removed from the bioreactor. Perfusion can be continuous, step-wise, intermittent, or a combination of any or all of any of these. Perfusion rates can be less than a working volume to many working volumes per day. The term “perfusion flow rate” is the amount of media that is passed through (added and removed) from a bioreactor, typically expressed as some portion of or a multiple of the working volume, in a given time. “Working volume” refers to the amount of bioreactor volume used for cell culture. In one embodiment the perfusion flow rate is one working volume or less per day. Perfusion feed medium can be formulated to maximize perfusion nutrient concentration to minimize perfusion rate.

Preferably the cells are retained in the culture and the spent medium that is removed is substantially free of cells or has significantly fewer cells than the cell culture. Recombinant proteins expressed by the cell culture may be retained or removed from the cell culture, depending on the retention system used. Sometimes it is preferable for the host cells and the expressed recombinant proteins to remain in the retentate in the bioreactor and for the permeate be substantially free of or have significantly less of either (“null permeate”). Other times it may be preferable to retain cells but allow the expressed proteins to pass into the permeate (“harvest permeate”).

Perfusion can be accomplished by a number of means including centrifugation, sedimentation, or filtration, *See e.g.* Voisard et al., (2003), *Biotechnology and Bioengineering* 82:751-65. In one embodiment a filtration method is used. Filters include membrane filters, ceramic filters and metal filters  
5 and may be in any shape, including spiral wound or tubular or in the form of a sheet. One or more filters can be connected to, in fluid communication with, a bioreactor together or independently, in series or in parallel.

Hollow fiber filters are used in mammalian cell perfusion culture for cell and/or recombinant protein product retention. When the cell culture, including cell  
10 culture media, cells (whole and lysed), soluble expressed recombinant proteins, host cell proteins, waste products and the like, are introduced to the filter, depending on the pore size or molecular weight cutoff (MWCO) the hollow fiber material may retain certain cell culture components on the lumen side (inside) and allow certain components to pass through the filter (permeate) based on the pore  
15 size or molecular weight cutoff of the hollow fiber material. The material that is retained (retentate) is returned to the bioreactor. Fresh perfusion cell culture media is added to the bioreactor and permeate is withdrawn from the filter at predetermined intervals or continuously to maintain a desired or constant bioreactor volume. The permeate can be discarded, stored in holding tanks, bags or  
20 totes or transferred directly to another unit operation, such as filtration, centrifugation and/or other downstream purification methods or the like. Hollow fibers for microfiltration typically have a pore size ranging from 0.1  $\mu\text{m}$  to 5-10  $\mu\text{m}$  or a molecular weight cut off of 500 kDa or more and can be used to allow the protein to pass through into the permeate. Ultrafiltration hollow fibers typically  
25 have a pore size range of 0.01  $\mu\text{m}$  to 0.1  $\mu\text{m}$  or a molecular weight cut off of 300 kDa or less, and can be used to retain the desired protein in the retentate and return it back to the bioreactor. This can be used, for example, to concentrate the recombinant protein product for harvest. Such filters are available commercially, such as Xampler UFP-750-E-4MA, Xampler UFP-30-E-4MA, (GE Healthcare,  
30 Pittsburg, PA) and Midikros TC Modules T02-E030-10, T02-050-10, T02-E750-05, T02-M10U-06 (Spectrum Laboratories, Inc, Dominguez, CA).

The invention provides that when the null permeate is collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off that does not allow the recombinant protein product to pass into the permeate and instead retains it in the bioreactor. The invention also provides that when the harvest permeate is  
5 collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off that allows the recombinant protein to pass through the hollow fiber.

The cell culture is drawn out of the bioreactor and into the filter by a pumping system, which passes the cell culture through the lumen side of the hollow fiber. Examples of cell pumping systems include peristaltic pumps, double  
10 diaphragm pumps, low shear pumps (Levitronix® pumps, Zurich, Switzerland) and alternating tangential flow systems (ATF™, Refine Technology, Pine Brook, NJ, *See e.g.* US Patent No. 6,544,424; Furey (2002) *Gen. Eng. News.* 22 (7), 62-63.). The permeate may be drawn from the filters by use of peristaltic pumps.

#### Single Unit Filter System

The invention provides a single unit filter system comprising two or more  
15 hollow fiber filter components of different pore sizes or molecular weight cutoffs combined in series in a single unit filter system, optionally contained within a housing, which can be operated by a single cell pumping device. This allows for collection in a product retention mode (removing null permeate) or a product  
20 collection mode (removing harvest permeate) via one filter system (Figure 1). The single unit filter system offers the advantages of removing host cell proteins and other waste from the cell culture during harvest cycles, prolonging the duration of the cell culture. The single unit filter system has potentially less filter fouling for greater harvest efficiency. The single unit filter system can provide permeate in  
25 multiple, smaller batches for easier and efficient loading of downstream purification columns. The single unit filter system may be used as part of a continuous manufacturing process.

The configuration of the single unit filter system includes two or more hollow fiber filter components having different pore sizes or molecular weight

cutoffs, configured in series, such that the all the filters are in fluid communication with each other and the bioreactor and may be operated by a single pumping device. Such hollow fiber filter components are commercially available from GE Healthcare and Spectrum Laboratories, Inc., for example. While the cell culture  
5 flows through all filters, permeate may be selectively removed from one or more filters at a time. The permeate (null or harvest) is removed by withdrawing permeate from the appropriate hollow fiber component based its pore size or molecular weight cutoff. Null permeate and harvest permeate are removed separately and independently by use of individual peristaltic pumps. Timing and  
10 ratio of permeate collection can be controlled through their separate peristaltic pumps.

The individual hollow fiber filter components may be aligned in any configuration that is suitable for the application. In one embodiment the hollow fiber filter component(s) having a pore size or molecular weight cutoff such that  
15 the recombinant protein product of the cell culture is retained in the retentate in the cell culture bioreactor is placed such that it is the first to receive the cell culture flow from the bioreactor.

The configuration of the single unit filter system allows the harvest permeate and null permeate to be removed from the bioreactor in a segregated  
20 manner and in a manner such that the relative volumetric ratio and timing of removal can be controlled as desired. The permeate is collected from the single unit filter system at the same rate as the perfusion rate.

In addition to using commercially available hollow fiber filter components the hollow fiber material may be constructed having two separate zones within a  
25 single filter which are isolated from each other with by a potting zone. Also, separate hollow fibers having different pore size or molecular weight cutoff may be joined by a connector zone in a middle potting area to isolate the two permeate sides. Each pore size domain, over the length of the hollow fiber, would have the corresponding shell sides isolated from the other pore size shell side domains so

that permeate could be withdrawn from each pore size shell side domain independent of the others.

The filters may be secured to each other by any method that allows for fluid communication between the hollow fiber filter components. The filter components  
5 may be glued or welded together. The filters may be joined together by a clamp, such as a tri claim, or other mechanical device that secures the filter units together and allows for fluid communication between the filters. The filter housing may be provided with internal and external threaded regions to be used to join the filter units, either directly or through a threaded coupler. Filters may also be connected  
10 by any type of locking mechanism.

Positioning two filters directly end to end may create a tight junction or a slight misalignment between the hollow fibers that could impede cell flow and cause cell damage due to shear. As a result, there could be a drop in viability due to the alignment of the filters. A spacer or coupler which provides for some  
15 distance between the adjacent filter units, allowing for the flow of cells to transition more easily between the lumen of one filter into the lumen of the next hollow fiber may be used between filter units. The spacer separates the individual filter units from one another, allowing for a sterile flow path between the individual hollow fibers while also maintaining the isolation of the respective hollow shell sides from  
20 which the permeate with withdrawn.

Such spacers may be made from any material that would make a secure and sterile connection between the filters and allow for fluid communication between the filters. Such spacers may be self-sealing to the filters. The spacers may be glued or welded to the filters being joined. The spacer may secured to the filters by  
25 a mechanical device that secures the spacer to the filters, such as a clamp. The spacer may be provided with internal or external threaded regions to be used to secure the spacer to the filters directly or through a threaded coupler. The spacer may also be connected by any type of locking mechanism.

The single unit filter system may be optionally enclosed by an outer housing for ease of use, especially when more than two filters are configured in series. The outer housing may be made of plastic or other suitable material that will maintain a sterile barrier to the material inside the filter unit. The housing  
5 may be a secondary enclosure made to fit over commercially available hollow fiber filters or the housing may be manufactured as the primary housing for the connected hollow fiber filters. The housing should have sufficient openings to allow for introduction and collection of feed and retentate as well at least one permeate port for each hollow fiber filter having a different pore size or molecular  
10 weight cut off.

The single unit filter system may be used in conjunction with a single cell pumping system which passes the cell culture through the lumen side of the hollow fiber at a constant flow rate, has described above.

#### Cell Culture Processes

15 Cell culture can be carried out under conditions that accommodate small to large scale production of recombinant proteins using culture vessels and/or culture apparatuses that are conventionally employed for animal or mammalian cell culture. For culturing on a larger scale, equipment such as roller bottle systems, packed bed type culture devices, fermentor type tank bioreactors, air lift type  
20 bioreactors, fluidized bed bioreactors, immobilized cell bioreactors, hollow fiber bioreactors, stirred tank bioreactors, multistage bioreactors, centrifugal bioreactors or any other suitable device known to one skilled in the art can be used. Single use bioprocessing equipment, such as single use bioreactors may also be used. Microcarriers may also be used with bioreactor systems. The systems can be  
25 operated in a batch, fed-batch or perfusion/continuous mode. In addition, the culture vessels may be equipped with additional apparatus such a cell separators using filters, gravity, centrifugal force, and the like.

The term "growth phase" of a cell culture refers to the period of exponential cell growth (i.e., the log phase) where the cells are generally rapidly dividing. Cells  
30 are maintained at the growth phase for a period of about one day, or about two

days, or about three days, or about four days, or longer than four days. The duration of time for which the cells are maintained at growth phase will vary based on the cell-type, the rate of growth of cells and/or the culture conditions, for example.

The term "transition phase" refers to the period between the growth phase  
5 and the production phase. Generally, transition phase is the time during which culture conditions may be controlled to support a shift from growth phase to production phase. Various cell culture parameters may be monitored or manipulated to control the shift, including but are not limited to one or more of, temperature, osmolality, concentrations of vitamins, amino acids, sugars,  
10 ammonium, lactic acid, and salts or the like.

The term "production phase" refers to the period of time where the cell growth is/has plateaued. The logarithmic cell growth typically decreases before or during this phase and protein production takes over. Fed batch and perfusion cell culture processes supplement the cell culture medium or provide fresh medium  
15 during this phase to achieve and/or maintain desired cell density, viability and/or recombinant protein product titer. A production phase can be conducted at large scale. Large scale cell cultures can be maintained in a volume of at least about 100, 500, 1000, 2000, 3000, 5000, 7000, 8000, 10,000, 15,000, 20,000 liters or more. In a preferred embodiment the production phase is conducted in 500L,  
20 1000L and/or 2000L bioreactors.

The production of recombinant proteins can be done in multiple phases. In a multiple phase process, cells are cultured in two or more distinct phases. Typically cells are first cultured in one or more growth phases, under environmental conditions that maximize cell proliferation and viability, then  
25 transitioned to a production phase, under environmental conditions that maximize protein production. In a commercial process for production of recombinant proteins by mammalian cells, there are commonly multiple, for example, at least about 2, 3, 4, 5, 6, 7, 8, 9, 10 or more growth phases that occur in different culture vessels (N-x to N-1) preceding the final production culture. The growth and  
30 production phases may be preceded by, or separated by, one or more transition

phases. A production phase can be conducted at large scale. The method according to the present invention may be used to extend the production phase of a cell culture.

When preparing for commercial production of a recombinant protein, the cell cultures that precede a final production culture typically go through two processes, a seed train and an inoculum train. The seed train phase (N-X) takes place at small scale where cells are quickly expanded in number. At the inoculum train phase (N-1), cells are further expanded to generate the inoculum for the production bioreactor. Seed and N-1 trains can be produced by any culture method, typically batch cell cultures. N-1 cell densities of  $>15 \times 10^6$  cells/mL are typical for seeding production bioreactors. Higher N-1 cell densities and/or adjusting the cell culture media can decrease or even eliminate the time needed to reach a desired cell density in the production bioreactor. In one embodiment higher N-1 cell densities are achieved via perfusion culture using alternating tangential flow filtration. An N-1 cell culture grown by means of a perfusion process using alternating tangential flow filtration can provide cells at any desired density, high cell densities such as densities of  $>90 \times 10^6$  cells/mL or more, can be easily achieved. The N-1 cell culture can be used to generate a single bolus inoculation culture or can be used as a rolling seed stock culture that is maintained to inoculate multiple production bioreactors. The inoculation density can have a positive impact on the level of recombinant protein produced. Recombinant protein product levels tend to increase with increasing inoculation density. Improvement in titer is tied not only to higher inoculation density, but is likely to be influenced by the metabolic and cell cycle state of the cells that are placed into production. During the N-1 process the cell culture may be allowed to enter a production phase prior to inoculation into the production bioreactor. Such inoculation allows for production to begin immediately in the production bioreactor.

The term "cell density" refers to the number of cells in a given volume of culture medium. "Viable cell density" refers to the number of live cells in a given volume of culture medium, as determined by standard viability assays (such as

trypan blue dye exclusion method). The term “packed cell volume” (PCV), also referred to as “percent packed cell volume” (%PCV), is the ratio of the volume occupied by the cells, to the total volume of cell culture, expressed as a percentage (see Stettler, *et al.*, (2006) *Biotechnol Bioeng.* Dec 20:95(6):1228-33). Packed cell volume is a function of cell density and cell diameter; increases in packed cell volume could arise from increases in cell density or cell diameter or both. Packed cell volume is a measure of the solid content in the cell culture. Since host cells vary in size and cell cultures also contain dead and dying cells and other cellular debris, packed cell volume can describe with a greater degree of accuracy the solid content within a cell culture. For example, a 2000L culture having a cell density of  $50 \times 10^6$  cells/ml would have vastly different packed cell volumes depending on the size of the cells. In addition, some cells will increase in size, such as when in a growth arrested state, so the packed cell volume prior to growth-arrest and post growth-arrest will likely be different, due to increase in biomass as a result to cell size increase. Lower packed cell volume during the production phase helps mitigate dissolved oxygen sparging problems that can hinder higher cell density perfusion cultures. The lower packed cell volume also allows for a smaller media volume which allows for the use of smaller media storage vessels and can be combined with slower flow rates. Lower packed cell volume also has less impact on harvest and downstream processing, compared to higher cell biomass cultures. All of which reduces the costs associated with manufacturing recombinant protein therapeutics.

In one embodiment the method further comprises that the packed cell volume during a production phase is less than or equal to 35%. In a related embodiment the packed cell volume is less than or equal to 30%.

In one embodiment the viable cell density of the mammalian cell culture at a packed cell volume less than or equal to 35% is  $10 \times 10^6$  viable cells/ml to  $80 \times 10^6$  viable cells/ml. In a related embodiment the viable cell density of the mammalian cell culture is  $20 \times 10^6$  viable cells/ml to  $30 \times 10^6$  viable cells/ml.

30 Cell Culture Controls

Cell culture conditions suitable for the methods of the present invention are those that are typically employed and known for batch, fed-batch, or perfusion (continuous) culturing of cells or any combination of those methods, with attention paid to pH, dissolved oxygen (O<sub>2</sub>), and carbon dioxide (CO<sub>2</sub>), agitation and  
5 humidity, and temperature. During recombinant protein production it is desirable to have a controlled system where cells are grown for a desired time or to a desired density and then the physiological state of the cells is switched to a growth-limited or arrested, high productivity state where the cells use energy and substrates to produce the recombinant protein in favor of increasing cell density. For  
10 commercial scale cell culture and the manufacture of biological therapeutics, the ability to limit or arrest cell growth and being able to maintain the cells in a growth-limited or arrested state during the production phase is very desirable. Such methods include, for example, temperature shifts, use of chemical inducers of protein production, nutrient limitation or starvation and cell cycle inhibitors, either  
15 alone or in combination.

Once such mechanism for limiting or arresting growth is to shift the temperature during the cell culture. For example, a growth phase may occur at a higher temperature, shifting to a lower temperature may initiate and/or maintain a production phase. For example, a growth phase may occur at a first temperature  
20 set-point from about 35°C to about 38°C, and a production phase may occur at a second temperature set-point from about 29°C to about 37°C, optionally from about 30°C to about 36°C or from about 30°C to about 34°C.

Switching the temperature set-point can be done manually or can be done automatically by making use of bioreactor control systems. The temperature set-  
25 point may be switched at a predetermined time or in response to one or more cell culture parameters, such as cell density, titer, or concentration of one or more media components. One such method uses an online biomass monitoring tool integrated into the bioreactor control system to trigger a temperature set-point change when a desired cell density is reached. For example, a capacitance based  
30 biomass probe may be used for online cell density estimation and the data from online measurements can be used to trigger a shift in the bioreactor temperature.

Such capacitance based probes include Fogale capacitance sensor (DN12-200) (Nimes, France).

In addition, chemical inducers of protein production, such as caffeine, butyrate, and/or hexamethylene bisacetamide (HMBA), may be added at the same  
5 time as, before, or after a temperature shift. If inducers are added after a temperature shift, they can be added from one hour to five days after the temperature shift, optionally from one to two days after the temperature shift. The cell cultures can be maintained for days or even weeks while the cells produce the desired protein(s).

10 Another method to maintain cells at a desired physiological state is to induce cell growth-arrest by exposure of the cell culture to low L-asparagine conditions and/or asparagine starvation (see *e.g.*, WIPO Publication No. WO 2013/006479). Cell growth-arrest may be achieved and maintained through a culture medium that contains a limiting concentration of L-asparagine and  
15 maintaining a low concentration of L-asparagine in the cell culture. Maintaining the concentration of L-asparagine at 5 mM or less can be used to induce and maintain cells in a growth-arrested state whereby productivity is increased.

Cell cycle inhibitors, compound known or suspected to regulate cell cycle progression and the associated processes of transcription, DNA repair,  
20 differentiation, senescence and apoptosis related to this are also useful to induce cell growth-arrest. Cell cycle inhibitors that interact with the cycle machinery, such as cyclin-dependent kinases (CDKs) are useful as are those molecules that interact with proteins from other pathways, such as AKT, mTOR, and other pathways that affect, directly or indirectly, the cell cycle.

## 25 Harvest and Purification

The expressed recombinant proteins may be secreted into the culture medium from which they can be recovered and/or collected. The recombinant proteins may then be subjected on one or more processing steps including harvest,

purification, endotoxin and/or viral inactivation/filtration, ultrafiltration/diafiltration into a suitable pharmaceutical formulation and/or storage

The expressed recombinant proteins may be captured in the harvest permeate. The proteins may be purified, or partially purified, from harvest  
5 permeates using processes and commercially available products known in the art and/or available from commercial vendors. Such methods include flocculation; centrifugation; precipitation; filtration methods such as depth filtration; chromatography methods including, affinity chromatography, size exclusion  
10 chromatography, ion exchange chromatography, mixed mode anion exchange chromatography, hydrophobic interaction chromatography and hydroxyapatite chromatography, among other available methods.

The purified proteins can then be “formulated”, meaning buffer exchanged, sterilized, bulk-packaged, and/or packaged for a final user. Suitable formulations for pharmaceutical compositions include those described in *Remington's*  
15 *Pharmaceutical Sciences*, 18th ed. 1995, Mack Publishing Company, Easton, PA.

#### Process Analytical Techniques

Process analytical technologies and methods are available to monitor and evaluate samples taken during cell culture and purification processes to quantitatively and/or qualitatively monitor characteristics of the recombinant  
20 protein and the production process. This real time or inline information can be used to monitor and/or control product and production parameters, such as titer, cell density; product quality attributes such as post translational modifications; product or process variability such as impurities and the like, to make timely decisions and modify processes as necessary. For example product quality  
25 attributes such as distribution of glycan species, oxidation levels or deamidation can be monitored and/or controlled.

Each step of an upstream cell culture process or a downstream purification process may be monitored to provide information about the amount of a particular

product quality attribute (PQA) and to control this PQA with a preset target and range.

Samples may be taken intermittently, at desired frequencies, or continuously. Samples may be analyzed in real time or near real time or stored for later analysis. This information can be used to make changes during upstream and downstream processes.

Detection of product quality attribute may be done using mass spectrometry, liquid chromatography with UV and/or mass spectrometry detection and capillary electrophoresis and the like.

These processes are adaptable to continuous monitoring with manual or automated process adjustments such as feeds, temperature, process duration as determined by the level of a specified product quality attribute.

Intact mass analysis to detect the presence of post-translational modifications such as amino acid processing and glycosylation may be made using a polyhydroxyethyl aspartamide column operated in size-exclusion mode and coupled with ESI-MS (Brady et al., (2008) J Am Soc Mass Spectro, 19: 502-509).

Real-time monitoring eluate from ion exchange chromatography by monitoring a normalized LS/UV ratio for each fraction using laser light scattering detector and an UV absorbance, see US Patent Publication No. US 2013-0303732.

Multi attribute method makes use of single liquid-chromatography/mass spectrometry (LC/MS) to search and characterize tandem MS data using various database and search platforms such as Sequest (The Scripps Research Institute, La Jolla, CA), X!Tandem (The Global Proteome Machine Organization) or Mascot (Matrix Science, Boston, MA). Samples may be denatured at high pH or to maintain disulfide isoforms and protect succinimide variants, at low pH. The sample is then reduced and alkylated followed by digestion with trypsin. The sample is then injected into an MS (such as a Q Exactive™ Hybrid Quadrupole-Orbitrap Mass Spectrometer, Thermo Fischer Scientific, Waltham, MA) and analysis is performed using Pinpoint software (Thermo Fischer Scientific).

Attributes that can be identified, quantified and monitored include isomerization, deamination, disulfide reduction, host cell protein contamination, mutations, misincorporations, hydroxylysine, thioether, non-glycosylated heavy chains, C-terminal amidation, residual protein A, characterize glycans and provide molecule  
5 identity. The mass accuracy for each attribute monitored can be set at less than 5 ppm of the predicted mass. Identification of the peptide/attribute is confirmed by MS2 fragmentation and orthogonal characterization methods (HILIC-MS for glycosylation for example). The experimental isotopic distribution must have a dot product score better than 0.95 when compared to the theoretical isotopic  
10 distribution. A retention time window is set for each attribute and all detectable charge states for each attribute are considered for quantification. A criteria is defined that will detect changes in the attribute. For example, deamination can be monitored by determining a deamination value (deaminated peptide divided by the sum of the deaminated peptide and the unmodified parent peptide multiplied by  
15 100. Glycosylation can be monitored by comparing each specific glycan to the sum of all detectable glycans.

In some embodiments process analytical technologies may also include “product attribute control” (PAC). PAC combines multiple PAT elements with a model of the bioprocess to enact real time feedback control of one or more CQAs.  
20 This new PAC process is an example of implementation of QbD production of biopharmaceuticals. The PAC process draws on the use of a control lever that can impact the CQA. The control lever is incorporated into a model based control loop to maintain the CQA at the desired target as specified in the QTPP. Specifically, a control lever is an adjustment to a process parameter that impacts a CQA in a way  
25 that can be modeled mathematically. For example, levels of an inhibitor or activator could be dynamically adjusted to regulate a glycosylation enzyme activity to regulate the glycosylation profile of a product, provided their impact can be modeled mathematically. Likewise temperature or pH could be adjusted during a run provided their impact on CQAs can also be reliably modeled.

30 Proteins

As used herein “peptide,” “polypeptide” and “protein” are used interchangeably throughout and refer to a molecule comprising two or more amino acid residues joined to each other by peptide bonds. Peptides, polypeptides and proteins are also inclusive of modifications including, but not limited to, glycosylation, lipid attachment, sulfation, gamma-carboxylation of glutamic acid residues, hydroxylation and ADP-ribosylation.

Proteins can be of scientific or commercial interest, including protein-based drugs. Proteins include, among other things, antibodies, fusion proteins, and cytokines. Peptides, polypeptides and proteins may be produced by prokaryote and eukaryote cell lines using cell culture methods and may be referred to as “recombinant peptide”, “recombinant polypeptide”, “recombinant protein”, “recombinant protein product” and “product”. The expressed protein(s) may be produced intracellularly or secreted into the culture medium from which it can be recovered and/or collected.

Nonlimiting examples of mammalian proteins that can be advantageously produced by the methods of this invention include proteins comprising amino acid sequences identical to or substantially similar to all or part of one of the following proteins: tumor necrosis factor (TNF), flt3 ligand (WO 94/28391), erythropoietin, thrombopoietin, calcitonin, IL-2, angiopoietin-2 (Maisonpierre et al. (1997), Science 277(5322): 55-60), ligand for receptor activator of NF-kappa B (RANKL, WO 01/36637), tumor necrosis factor (TNF)-related apoptosis-inducing ligand (TRAIL, WO 97/01633), thymic stroma-derived lymphopoietin, granulocyte colony stimulating factor, granulocyte-macrophage colony stimulating factor (GM-CSF, Australian Patent No. 588819), mast cell growth factor, stem cell growth factor (US Patent No.6,204,363), epidermal growth factor, keratinocyte growth factor, megakaryote growth and development factor, RANTES, human fibrinogen-like 2 protein (FGL2; NCBI accession no. NM\_00682; Rüegg and Pytela (1995), Gene 160:257-62) growth hormone, insulin, insulinotropin, insulin-like growth factors, parathyroid hormone, interferons including  $\alpha$ -interferons,  $\gamma$ -interferon, and consensus interferons (US Patent Nos. 4,695,623 and 4,897,471), nerve growth factor, brain-derived neurotrophic factor, synaptotagmin-like proteins (SLP 1-5),

neurotrophin-3, glucagon, interleukins, colony stimulating factors, lymphotoxin- $\beta$ , leukemia inhibitory factor, and oncostatin-M. Descriptions of proteins that can be produced according to the inventive methods may be found in, for example, *Human Cytokines: Handbook for Basic and Clinical Research*, Volumes 1-3  
5 (Aggarwal and Gutterman, eds. Blackwell Sciences, Cambridge, MA, 1998); *Growth Factors: A Practical Approach* (McKay and Brown, eds., Oxford University Press Inc., New York, 1998) all editions; and *The Cytokine Handbook*, Vols. 1 and 2 (Thompson and Lotze eds., Academic Press, San Diego, CA, 2003).

Additionally the methods of the invention would be useful to produce  
10 proteins comprising all or part of the amino acid sequence of a receptor for any of the above-mentioned proteins, an antagonist to such a receptor or any of the above-mentioned proteins, and/or proteins substantially similar to such receptors or antagonists. These receptors and antagonists include: both forms of tumor necrosis factor receptor (TNFR, referred to as p55 and p75, US Patent No.  
15 5,395,760 and US Patent No. 5,610,279), Interleukin-1 (IL-1) receptors (types I and II; EP Patent No. 0460846, US Patent No. 4,968,607, and US Patent No. 5,767,064), IL-1 receptor antagonists (US Patent No. 6,337,072), IL-1 antagonists or inhibitors (US Patent Nos. 5,981,713, 6,096,728, and 5,075,222) IL-2 receptors, IL-4 receptors (EP Patent No. 0 367 566 and US Patent No. 5,856,296), IL-15  
20 receptors, IL-17 receptors, IL-18 receptors, Fc receptors, granulocyte-macrophage colony stimulating factor receptor, granulocyte colony stimulating factor receptor, receptors for oncostatin-M and leukemia inhibitory factor, receptor activator of NF-kappa B (RANK, WO 01/36637 and US Patent No. 6,271,349), osteoprotegerin (US. Patent No. 6,015,938), receptors for TRAIL (including TRAIL receptors 1, 2,  
25 3, and 4), and receptors that comprise death domains, such as Fas or Apoptosis-Inducing Receptor (AIR).

Other proteins that can be produced using the invention include proteins comprising all or part of the amino acid sequences of differentiation antigens (referred to as CD proteins) or their ligands or proteins substantially similar to  
30 either of these. Such antigens are disclosed in Leukocyte Typing VI (*Proceedings of the VIth International Workshop and Conference*, Kishimoto, Kikutani et al.,

eds., Kobe, Japan, 1996). Similar CD proteins are disclosed in subsequent workshops. Examples of such antigens include CD22, CD27, CD30, CD39, CD40, and ligands thereto (CD27 ligand, CD30 ligand, etc.). Several of the CD antigens are members of the TNF receptor family, which also includes 41BB and OX40.

5 The ligands are often members of the TNF family, as are 41BB ligand and OX40 ligand.

Enzymatically active proteins or their ligands can also be produced using the invention. Examples include proteins comprising all or part of one of the following proteins or their ligands or a protein substantially similar to one of these:

10 a disintegrin and metalloproteinase domain family members including TNF-alpha Converting Enzyme, various kinases, glucocerebrosidase, superoxide dismutase, tissue plasminogen activator, Factor VIII, Factor IX, apolipoprotein E, apolipoprotein A-I, globins, an IL-2 antagonist, alpha-1 antitrypsin, ligands for any of the above-mentioned enzymes, and numerous other enzymes and their ligands.

15 The term "antibody" includes reference to both glycosylated and non-glycosylated immunoglobulins of any isotype or subclass or to an antigen-binding region thereof that competes with the intact antibody for specific binding, unless otherwise specified, including human, humanized, chimeric, multi-specific, monoclonal, polyclonal, and oligomers or antigen binding fragments thereof. Also

20 included are proteins having an antigen binding fragment or region such as Fab, Fab', F(ab')<sub>2</sub>, Fv, diabodies, Fd, dAb, maxibodies, single chain antibody molecules, complementarity determining region (CDR) fragments, scFv, diabodies, triabodies, tetrabodies and polypeptides that contain at least a portion of an immunoglobulin that is sufficient to confer specific antigen binding to a target

25 polypeptide. The term "antibody" is inclusive of, but not limited to, those that are prepared, expressed, created or isolated by recombinant means, such as antibodies isolated from a host cell transfected to express the antibody.

Examples of antibodies include, but are not limited to, those that recognize any one or a combination of proteins including, but not limited to, the above-

30 mentioned proteins and/or the following antigens: CD2, CD3, CD4, CD8, CD11a, CD14, CD18, CD20, CD22, CD23, CD25, CD33, CD40, CD44, CD52, CD80

(B7.1), CD86 (B7.2), CD147, IL-1 $\alpha$ , IL-1 $\beta$ , IL-2, IL-3, IL-7, IL-4, IL-5, IL-8, IL-10, IL-2 receptor, IL-4 receptor, IL-6 receptor, IL-13 receptor, IL-18 receptor subunits, FGL2, PDGF- $\beta$  and analogs thereof (*see* US Patent Nos. 5,272,064 and 5,149,792), VEGF, TGF, TGF- $\beta$ 2, TGF- $\beta$ 1, EGF receptor (*see* US Patent No. 5 6,235,883) VEGF receptor, hepatocyte growth factor, osteoprotegerin ligand, interferon gamma, B lymphocyte stimulator (BlyS, also known as BAFF, THANK, TALL-1, and zTNF4; *see* Do and Chen-Kiang (2002), Cytokine Growth Factor Rev. 13(1): 19-25), C5 complement, IgE, tumor antigen CA125, tumor antigen MUC1, PEM antigen, LCG (which is a gene product that is expressed in association with lung cancer), HER-2, HER-3, a tumor-associated glycoprotein TAG-72, the SK-1 antigen, tumor-associated epitopes that are present in elevated levels in the sera of patients with colon and/or pancreatic cancer, cancer-associated epitopes or proteins expressed on breast, colon, squamous cell, prostate, pancreatic, lung, and/or kidney cancer cells and/or on melanoma, glioma, or neuroblastoma cells, the necrotic core of a tumor, integrin alpha 4 beta 7, the integrin VLA-4, B2 15 integrins, TRAIL receptors 1, 2, 3, and 4, RANK, RANK ligand, TNF- $\alpha$ , the adhesion molecule VAP-1, epithelial cell adhesion molecule (EpCAM), intercellular adhesion molecule-3 (ICAM-3), leukointegrin adhesin, the platelet glycoprotein gp IIb/IIIa, cardiac myosin heavy chain, parathyroid hormone, rNAPc2 (which is an inhibitor of factor VIIa-tissue factor), MHC I, 20 carcinoembryonic antigen (CEA), alpha-fetoprotein (AFP), tumor necrosis factor (TNF), CTLA-4 (which is a cytotoxic T lymphocyte-associated antigen), Fc- $\gamma$ -1 receptor, HLA-DR 10 beta, HLA-DR antigen, sclerostin, L-selectin, Respiratory Syncytial Virus, human immunodeficiency virus (HIV), hepatitis B virus (HBV), 25 *Streptococcus mutans*, and *Staphylococcus aureus*. Specific examples of known antibodies which can be produced using the methods of the invention include but are not limited to adalimumab, bevacizumab, infliximab, abciximab, alemtuzumab, bapineuzumab, basiliximab, belimumab, briakinumab, canakinumab, certolizumab pegol, cetuximab, conatumumab, denosumab, eculizumab, gemtuzumab, 30 ozogamicin, golimumab, ibritumomab tiuxetan, labetuzumab, mapatumumab, matuzumab, mepolizumab, motavizumab, muromonab-CD3, natalizumab,

nimotuzumab, ofatumumab, omalizumab, oregovomab, palivizumab, panitumumab, pentumomab, pertuzumab, ranibizumab, rituximab, rovelizumab, tocilizumab, tositumomab, trastuzumab, ustekinumab, vedolizomab, zalutumumab, and zanolimumab.

5           The invention can also be used to produce recombinant fusion proteins comprising, for example, any of the above-mentioned proteins. For example, recombinant fusion proteins comprising one of the above-mentioned proteins plus a multimerization domain, such as a leucine zipper, a coiled coil, an Fc portion of an immunoglobulin, or a substantially similar protein, can be produced using the  
10       methods of the invention. *See e.g.* WO94/10308; Lovejoy et al. (1993), Science 259:1288-1293; Harbury et al. (1993), Science 262:1401-05; Harbury et al. (1994), Nature 371:80-83; Håkansson et al.(1999), Structure 7:255-64. Specifically included among such recombinant fusion proteins are proteins in which a portion of a receptor is fused to an Fc portion of an antibody such as etanercept (a p75  
15       TNFR:Fc), and belatacept (CTLA4:Fc). Chimeric proteins and polypeptides, as well as fragments or portions, or mutants, variants, or analogs of any of the aforementioned proteins and polypeptides are also included among the suitable proteins, polypeptides and peptides that can be produced by the methods of the present invention.

20           While the terminology used in this application is standard within the art, definitions of certain terms are provided herein to assure clarity and definiteness to the meaning of the claims. Units, prefixes, and symbols may be denoted in their SI accepted form. Numeric ranges recited herein are inclusive of the numbers defining the range and include and are supportive of each integer within the defined range.  
25       The methods and techniques described herein are generally performed according to conventional methods well known in the art and as described in various general and more specific references that are cited and discussed throughout the present specification unless otherwise indicated. *See, e.g.,* Sambrook *et al. Molecular Cloning: A Laboratory Manual*, 4<sup>th</sup> ed., Cold Spring Harbor Laboratory Press, Cold  
30       Spring Harbor, N.Y. (2012); Ausubel *et al., Current Protocols in Molecular Biology*, Greene Publishing Associates (1995), and Greenfield, *Antibodies: A*

*Laboratory Manual* 2<sup>nd</sup> ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y. (2013), or any earlier editions. All documents, or portions of documents, cited in this application, including but not limited to patents, patent applications, articles, books, and treatises, are hereby expressly incorporated by  
5 reference. What is described in an embodiment of the invention can be combined with other embodiments of the invention.

The present invention is not to be limited in scope by the specific embodiments described herein that are intended as single illustrations of individual aspects of the invention, and functionally equivalent methods and components are  
10 within the scope of the invention. Indeed, various modifications of the invention, in addition to those shown and described herein will become apparent to those skilled in the art from the foregoing description and accompanying drawings. Such modifications are intended to fall within the scope of the appended claims.

## EXAMPLES

### Example 1

#### Extended Periodic Cell Culture Process

This experiment describes a cell culture process with extended periodic  
5 harvesting (X-PH) compared to an ultrafiltration culture process (UF). For the  
extended periodic process, perfusion was carried out using a single filter unit  
system comprising an ultrafilter having a pore size or MWCO such that the  
recombinant protein product of the cell culture was retained in the retentate in the  
cell culture bioreactor connected to a microfilter having a pore size or molecular  
10 weight cutoff such that the recombinant protein of interest was carried in the  
permeate and collected as product harvest. The perfusion was performed by  
drawing a recombinant protein-free permeate, or null permeate, from the ultrafilter  
component until a predetermined parameter was reached, in this case days in  
culture, at which time the perfusion was carried out by drawing a recombinant  
15 protein containing permeate, or harvest permeate, from the microfilter component,  
for a predetermined time. After the predetermined time had elapsed, the process  
was repeated. This cycle of retaining and harvesting the protein product was  
repeated until the culture was terminated.

For the ultrafiltration culture process, cells were cultured in a perfusion system  
20 using an ultrafilter with a pore size or molecular weight cutoff such that the  
recombinant protein product was retained in the retentate in the cell culture  
bioreactor and a recombinant protein-free permeate was collected. The retained  
recombinant protein product was recovered as a harvest from the bioreactor when  
the culture was terminated.

25

#### Extended Periodic Harvesting Culture Process (X-PH)

On day 0, CHO cells expressing a recombinant antibody were inoculated  
into two 2 L bioreactors (Applikon, Foster City, CA) at  $1 \times 10^6$  viable cells/mL in a  
working volume of 1500 ml of a serum-free chemically-defined batch medium.  
30 The cultures were maintained at 36°C, DO at 48.0 mmHg, agitation at 350 RPM.

The cell cultures were initiated in batch mode, perfusion was started on day 2 using an ATF-2™ alternating tangential flow filtration system (Refine Technologies, Hanover, NJ) using a 30 cm 30 kDa hollow fiber filter (Xampler UFP-30-E-4MA, GE Healthcare, Pittsburg, PA) connected in series with a 30 cm  
5 750 kDa hollow fiber filter (Xampler UFP-750-E-4MA, GE Healthcare). The filters were joined together with an approximately 1 inch long sanitary connector spool piece using sanitary clamps. The medium was a serum-free chemically-defined perfusion medium containing 1.5 g/L pluronic (Kolliphor P188 SAFC Biosciences, ST. Louis, MO).

10 The perfusion rate was increased gradually from 0.5 to 1.0 bioreactor working volume/day over the cell culture run and was uniform through the filter unit. Null and harvest permeates were collected, via independent perfusion pumps, at the same rate as the perfusion rate. Daily samples were taken from the bioreactor to assess the culture. Viable cell density (VCD) and viability were  
15 determined using Vi-Cell (Beckman Coulter, Brea, CA). Titer was measured by HPLC analysis.

For glycan analysis, protein-containing samples were collected and purified by Protein A. The purified samples were treated with PNGase-F and incubated at 37°C for 2 hours to release the N-linked glycans. The enzymatically released  
20 glycans were labeled with 2-aminobenzoic acid (2-AA) at 80°C for 75 minutes. Excess 2-AA label was then removed with a Glycoclean S cartridge. The samples were evaporated overnight and the resulting dry pellet was reconstituted with water for subsequent HILIC (hydrophilic interaction liquid chromatography) analysis. The glycans were injected and bound to the column in high organic conditions and  
25 eluted with an increasing gradient of an aqueous ammonium formate buffer. Fluorescence detection was used to monitor the glycan elution and the relative percentage of the major and minor glycan species were calculated.

Prior to day 11, null permeate, or non-product containing permeate, was continuously collected by drawing permeate from the 750 kDa ultrafilter using a  
30 peristaltic pump (Watson Marlow 120U/DV Falmouth, Cornwall, UK) and was

discarded. Because of the filter size, the protein product of the cell culture was retained in the retentate in the cell culture bioreactor.

Harvest permeate, or product containing permeate, was collected at five separate predetermined times during the cell culture run, according to the schedule provided in Table 1. The harvest permeate was collected by drawing permeate from the 30 kDa microfilter using a peristaltic pump (Watson Marlow 120U/DV Falmouth, Cornwall, UK). The protein product of the cell culture was carried in the permeate and collected as part of the harvest permeate. The harvest permeate was evaluated for titer and product quality as described above. The harvest permeate was stored in permeate bags (RCBB-300, RIM Bio Inc., Seattle, WA).

Table 1 Harvest permeate collection schedule

Harvest	Day	Collection Time (hours)
1	11 to 12	22
2	14 to 15	23
3	17 to 18	25
4	20 to 21	26
5	23 to 24	25

Immediately following the completion of the collection of the each of the harvest permeates, null permeate was again continuously collected from the 750 kDa ultrafilter filter and discarded. The culture was terminated following collection of the harvest permeate on day 24.

#### Ultrafilter Culture Process (UF)

On day 0, CHO cells expressing the same recombinant antibody as above were inoculated into four 2 L bioreactors (Applikon, Foster City, CA) at  $1 \times 10^6$  viable cells/mL in a working volume of 1500 ml of a serum-free defined batch medium. The cultures were maintained at 36°C, DO at 48.0 mmHg, agitation at 350 RPM.

The cell cultures were initiated in batch mode, perfusion was started on day 2 using an ATF-2™ alternating tangential flow filtration system (Refine Technologies, Hanover, NJ) equipped a 60 kDa hollow fiber filter (Xampler UFP-30-E-4MA, GE Healthcare, Pittsburg, PA). The medium was a serum-free defined  
5 perfusion medium containing 1 g/L pluronic (Kolliphor P 188 SAFC Biosciences, ST. Louis, MO).

The perfusion rate increased gradually from 0.5 to 1.0 working volume/day over the cell culture run. Samples were taken daily to assess the culture. Viable cell density (VCD) and viability were determined using Vi-Cell (Beckman Coulter,  
10 Brea, CA). Titer was measured by HPLC analysis.

Permeate was collected at the same rate as the perfusion rate. Because of the filter size, the protein product of the cell culture was retained in the retentate in the cell culture bioreactor until harvested when the culture was terminated on day 15.

The titer profile from the X-PH process was consistent with the titer from the  
15 UF culture process until day 11 (Figure 2). The titer profiles separate when the first 750 kDa harvest cycle is introduced in the X-PH process. A similar correspondence was observed in the viable cell density (Figure 3) and % viability (Figure 4).

A fifty-one day bioreactor production period was used to allow comparison of  
20 the X-PH process to the UF process with an allocated three day turnaround of the production bioreactor between runs. With this criterion, two 24 day X-PH process runs and three UF process runs would be done in a comparable fifty-one day period.

The X-PH process would provide 98% more recovered product compared to the  
25 UF process over a 51 day production period (Table 2). This mainly comprised a 26% increase in integrated viable cell density (IVCD) and a 46% increase in specific productivity. The X-PH process would use 27% more medium in two runs relative to three runs of the UF process, but this translates to a ~36% reduction of

the medium requirement (medium cost) per gram of product produced by the X-PH process compared to the UF process.

Table 2. Product recovered over a 51 day Manufacturing Period in a 2L bioreactor with 1.5L working volume

Process	Runs in 51 Days	IVCD (x10 <sup>6</sup> cell-day/mL)	Specific Productivity (pg/cell-day)	Total Product Made (g)	Recovered Product		Total Product Recovered (g)
					PX-MF Harvest Bags (g)	Bioreactor Harvest (g)	
UF	Single Run	689.9	~27.9	28.9	-	23.1	23.1
	Three Runs	2069.7		86.6		69.3	69.3
X-PH	Single Run	1302.8	~41.3	80.7	65.1	3.7	68.8
	Two Runs	2605.6		161.3	130.2	7.3	137.5

5

The X-PH process product quality was evaluated by HILIC glycan mapping and compared to a standard generated using the 15 day UF process (Table 3). The X-PH process glycan map attributes are consistent with the standard using the UF process.

10 Table 3. HILIC Glycan Analysis

Process		High Mannose (%)	Total Fucosylated (%)	Total Galactosylated (%)	Unknown (%)
X-PH	Harvest 1 Run #1	8.0	86.3	17.2	5.2
	Harvest 1 Run #2	9.5	84.3	18.4	5.7
	Harvest 2 Run #1	7.5	87.3	15.8	4.6
	Harvest 2 Run #2	7.9	86.5	16.1	4.9
	Harvest 3 Run #1	7.7	86.5	15.9	4.9
	Harvest 3 Run #2	8.3	85.8	15.0	4.9
	Harvest 4 Run #1	7.3	87.4	15.5	4.5
	Harvest 4 Run #2	7.8	86.6	14.9	4.9
	Harvest 5 Run #1	9.4	84.1	16.8	5.4
	Harvest 5 Run #2	8.9	84.5	14.8	5.5

	Mean $\pm$ Std Dev	8.2 $\pm$ 0.8	85.9 $\pm$ 1.2	16.0 $\pm$ 1.1	5.1 $\pm$ 0.4
UF	Standard	7.2	86.0	16.4	5.7

### Example 2

This experiment compares the impact of low and high concentrations of the polyoxypropylene-polyoxyethylene block copolymer, Lutrol<sup>®</sup> F68, and 30 kDa vs 750 kDa filters in a perfusion culture system.

On day 0, a CHO cell line expressing a recombinant antibody was inoculated into four 2L bioreactors (Applikon Biotechnology, Foster City, CA) at  $2 \times 10^6$  viable cells/mL in a working volume of 1500 ml of a serum-free chemically-defined perfusion medium containing 1 g/L Lutrol<sup>®</sup> F68 (BASF, Mt Olive, NJ). The cultures were maintained at 36°C, dissolved oxygen concentration at 48%, pH 6.9, agitation at 350 RPM.

The cell culture runs were initiated in batch mode; perfusion was started on day 2 when the cell densities reached  $4-5 \times 10^6$  cells/ml. Perfusion was accomplished using an ATF-2<sup>™</sup> alternating tangential flow perfusion and filtration system (Refine Technologies, Hanover, NJ). The cell culture was continuously circulated through the lumen side of an external vertically oriented filter, entering at the upper end. Permeate was continuously withdrawn via peristaltic pump. Two reactors were equipped with 30 kDa hollow fiber filters (Xampler UFP-30-E-4MA, GE Healthcare, Pittsburg, PA). Two reactors were equipped with 750 kDa hollow fiber filters (Xampler UFP-750-E-4MA, GE Healthcare, Pittsburg, PA)

The perfusion rate increased gradually from 0.5 to 3 mL/minute over the cell culture run. Permeate samples were collected at the same rate as the perfusion rate. Samples were taken once daily from the bioreactor and the permeate line. Cell density, viability and cell diameter were measured by CEDEX (Roche, Nutley, NJ) after dilution with phosphate-buffered saline to obtain a cell density of  $<10^7$  cells/ml. The pH and partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) and O<sub>2</sub> (pO<sub>2</sub>) were measured using a blood gas analyzer; concentration of glucose, lactate, glutamine, ammonia

and ion concentrations of  $K^+$  and  $Na^+$  were maintained by a NovaFLEX instrument (Nova Biomedical, Waltham, MA).

In reactors equipped with the 750 kDa filter, cellular viability decreased to 80% by Day 6. The decrease was not as pronounced in the reactors equipped with the  
5 30 kDa filters in which viability was maintained at over 80% for 14 days. (Figure 5)

Accumulation of up to 7 g/L of Lutrol<sup>®</sup> F68 in the supernatant of reactors equipped with the 30 kDa hollow fiber filter was seen. Under these conditions Lutrol<sup>®</sup> F68 was lower than detectable levels in the permeate samples. In the  
10 reactors equipped with the 750 kDa filter, levels of Lutrol<sup>®</sup> F68 in the bioreactor supernatant and permeate remained relatively constant and similar to the levels in the perfusion medium, ranging from 1-1.5 g/L, suggesting no accumulation (Figure 6). The average molar mass of Lutrol<sup>®</sup> F68 is 8,400 Da and theoretically should flow through the 30 kDa filter. Formation of Lutrol<sup>®</sup> F68 micelles can occur at  
15 concentrations much lower than 1 g/L (0.04 mM at 20-25°C, according to the manufacturer). It is likely that the concentration of Lutrol<sup>®</sup> F68 was enhanced due to the formation of micelles within the reactor and the filter.

#### Lutrol<sup>®</sup> F68 toxicity studies

To test for the effect of toxicity of high Lutrol<sup>®</sup> F68 concentration on cells, two  
20 CHO cell lines (Cell line A and Cell line B) expressing different monoclonal antibodies were carried over 10 passages (at 3 days/passage), at an initial seeding density of  $8 \times 10^5$  cells/ml in 250 ml shake flasks with cell culture media containing 1, 2, 3, 4 or 5 g/L Lutrol<sup>®</sup> F68.

An overall increase in cell density was observed ( $3.4-6.5 \times 10^6$  cells/ml) after 3  
25 days. Viable cell density was normalized to the 1g/L condition to enable comparison between the different Lutrol<sup>®</sup> F68 concentrations.

The cells grown in media containing 3, 4 or 5 g/L Lutrol<sup>®</sup> F68 were consistently higher over the passages, in which a 10% increase in cell density was

measured compared to the 1g/L condition ( $p < 0.01$ ) (Figure 7A). The viability was also greater for the higher Lutrol<sup>®</sup> F68 cultures (mean  $>95\%$ ) (Figure 7B). Cell diameter was also found to have increased slightly in all conditions compared to the 1g/L condition (15.42  $\mu\text{M}$ ) ( $p < 0.05$ ) (Figure 7C). The variation of viable cell  
5 density, % viability and diameter in the higher Lutrol<sup>®</sup> F68 conditions were due to changing characteristics over the continuous passaging.

The effect of toxicity of high Lutrol<sup>®</sup> F68 concentration on cells was also carried out in a 2L fed-batch culture using two CHO cell lines expressing monoclonal antibodies. Again, there was no impact of the high Lutrol<sup>®</sup> F68 on  
10 viable cell density, viability, cell diameter or titer (Figure 8).

#### Supplementing ATF perfusion cultures with 5 g/L Lutrol<sup>®</sup> F68

An experiment comparing 1g/L and 5g/L Lutrol<sup>®</sup> F68 was performed. On day 0, a CHO cell line expressing a recombinant antibody was inoculated into six 2L bioreactors (Applikon Biotechnology, Foster City, CA) at  $2 \times 10^6$  viable cells/mL  
15 in a working volume of 1500 ml. Two reactors received a serum-free defined perfusion medium containing 1 g/L Lutrol<sup>®</sup> F68 and two reactors received a serum-free defined perfusion medium containing 5 g/L Lutrol<sup>®</sup> F68. The cultures were maintained at 36°C, dissolved oxygen concentration at 48%, pH 6.9, agitation at 350 RPM.

20 The cell culture runs were initiated in batch mode; perfusion was started on day 2. Perfusion was accomplished using an ATF-2<sup>TM</sup> alternating tangential flow filtration system (Refine Technologies, Hanover, NJ) equipped with 750 kDa hollow fiber filters (Xampler UFP-750-E-4MA, GE Healthcare, Pittsburg, PA). The perfusion rate was 3 working volumes/day.

25 Samples were taken once daily from the bioreactor and the permeate line and were tested as described above.

Increasing the Lutrol<sup>®</sup> F68 concentration to 5g/L resulted in extended viability of  $>95\%$  for 14 days and  $>90\%$  for up to day 25 (Figure 9).

### Recovery of low Lutrol<sup>®</sup> F68 concentration cultures with high Lutrol<sup>®</sup> F68

On day 0, a CHO cell line expressing a recombinant antibody was inoculated into four 2L production bioreactors (Applikon Biotechnology, Foster City, CA) at  $2 \times 10^6$  cells/mL in a working volume of 1500 ml. The cell culture runs were initiated in batch mode; perfusion was started on day 2. Perfusion was accomplished using an ATF-2<sup>TM</sup> alternating tangential flow filtration system (Refine Technologies, Hanover, NJ) equipped with 750 kDa hollow fiber filters (Xampler UFP-750-E-4MA, GE Healthcare, Pittsburg, PA). The reactors were divided into two groups of two, each group receiving a different perfusion cell culture medium formulation (Media A and Media B). Both media formulations contained 1 g/L Lutrol<sup>®</sup> F68. The cultures were maintained at 36°C, dissolved oxygen concentration at 48%, pH 6.9, agitation at 350 RPM. The cultures were maintained under these conditions until the percent cell viability dropped to 80%. At that time, the media for both groups was supplemented with an additional 4g/L Lutrol<sup>®</sup> F68 (for a total of 5g/L). The cultures were maintained under these high pluronic conditions until day 30. Recovery of cellular viability by addition of a higher concentration of Lutrol<sup>®</sup> F68 is shown in Figure 10. After supplementation, the percent viability increased by up to 15%. The protective effect of the higher concentration of pluronic in a culture with declining cell viability was evident regardless of the cell culture media formulation.

### **Example 3**

This experiment demonstrates the successful pilot scale application of multiple quality by design (QbD) elements into a PAC process to deliver a predefined quality target product profile (QTPP). The controlled CQA in this experiment was high-mannose N-linked glycosylation on the Fc-domain of a monoclonal antibody (“high mannose”).

The level of high mannose in the product was controlled by addition or removal of mannose (the control lever) to the cell-culture media. However, metabolite precursors, metabolic inhibitors, small molecules, enzymatic cofactors

and inducible promoters could also be used. Recent studies have shown that different sugars can impact the level of high mannose on an antibody product. Specifically the feeding of mannose has been shown to increase the level of high mannose without impacting culture productivity. Through empirical studies, an understanding of the impact of mannose concentration in the cell culture media on IgG high mannose levels was developed and the relationship to the cell culture process was studied. The knowledge gained from small-scale studies and a training production run at pilot scale was incorporated to develop a PAC algorithm based on the principle of Model Predictive Control (MPC). MPC is a control scheme in which a mathematical model of the process is used to predict its future trajectory. The transient nature of a typical CHO bioreactor processes, the likelihood of interactions between multiple CQAs and their control levers, and the lags associated with complex analytics all provide strong motivation for MPC. PAT technologies including near real-time mass spectrometry analytics combined with standard bioreactor monitoring were used to provide timely data to inform the model used for MPC. Data from the multiple assays were incorporated into the MPC system which determined the amount of mannose to add to the bioreactor to maintain the high mannose CQA within the target range.

*Cell culture plate assay ratios of glucose to mannose:*

The cell line was a recombinant CHO cell line expressing a monoclonal antibody. The cells were seeded at  $7.5 \times 10^5$  cells/mL in chemically defined (CD) medium containing 12 g/L glucose at a working volume of 2 mL in deep-well plates. The cells were incubated in an orbital shaker at 36.0°C and 5% CO<sub>2</sub> at 220 rpm (orbital diameter of 50 mm). On days 3-4, the glucose concentration was measured via a Polychem Glucose Reagent Plate Assay (MedTest DX, Canton MI) and the cultures centrifuged to replace 26% of the spent medium with fresh CD medium. Similarly, on day 5, 100% of the spent medium was replaced with fresh CD medium that contained no glucose. The total hexose concentration was subsequently adjusted to 10g/L using different ratios of glucose to mannose by addition from concentrated hexose stock solutions. Cells were allowed to grow for

24 hours. Supernatants were removed, IgG was purified and %high mannose was measured by a hydrophilic interaction liquid chromatography (HILIC) assay.

GC-MS Hexose assay

Glucose and mannose concentrations were quantified in cell culture media  
5 by GC-MS. A 1 mL sample of cell culture was centrifuged to pellet cells and the supernatant was filtered (0.2µM). The filtered supernatant was diluted 1 in 10 into DI water and 10 µL of this dilution added to a 1.5 µL centrifuge tube. A 5 µL aliquot of a hexose internal standard solution was added containing 10mM D-[UL-13C6]mannose 99.9% (Omicron Biochemicals) and 10mM D-[U-13C6]glucose  
10 99.9% (Cambridge Isotope Labs). The sample was dried (SpeedVac) for 30 min. Hexoses were derivatized by the addition of 20 µL anhydrous pyridine and 30 µL of N-methyl-N-(trimethylsilyl)trifluoroacetamide (MSTFA) with 1% trimethylchlorosilane (TMCS) incubated at 40°C for 30 min. Immediately after derivatization, samples were analyzed on an Agilent GC 6890N MSD 5973 system.  
15 A 1 µL sample was injected on an Agilent DB-35 GC column (30m x 0.32 mm x 0.25 um) with a 1 in 50 split. Helium was held at a constant flow of 1 mL/min. Oven temperature was held at 190°C for 2 min and ramped up to 202°C at a rate of 4°C/min.

Temperature was further ramped to 280°C at a rate of 60°C/min. Total run  
20 time was 6.3 min. Each hexose gave two peaks representing both open and closed isomeric forms. Mannose eluted at 2.7 min and 3.34 min; glucose eluted at 3.5 min and 4.18 min (Figure 11(A)). The 3.34 min peak area was used for mannose quantification and the 4.18 min peak for glucose quantification. Hexoses were quantified using the characteristic TMS carbohydrate fragment  $m/z = 204/16$  and  
25 standard isotope dilution where the  $m/z = 204$  peak area of the  $^{12}C$  sugar was compared to the  $m/z = 206$  peak area of the  $^{13}C$  sugar (Figure 11 (B)).

IdeS (FabRICATOR®) limited proteolysis MS-PAT Assay

Filtered cell-culture media samples were analyzed without further purification. Approximately 60 µg of each sample was digested with 60 units of the

IdeS enzyme (fabRICATOR, Genovis, Lund, Sweden) at 37°C for 30 minutes. The digested samples were then reduced in 4M guanidine hydrochloride with 50mM Dithiothreitol (DTT) at 55°C for 10 minutes. Then, the digested and reduced samples were analyzed by RP-HPLC/MS.

5 RP-HPLC/MS analysis was performed using Waters Acquity Ultra-Performance liquid chromatography (UPLC) (Milford, MA) coupled to an Agilent MST Time of Flight (TOF) mass spectrometer (Santa Clara, CA). The prepared samples were separated on a reversed-phased Waters BEH phenyl column (1.7 µm, 2.1 x 150 mm; Milford, MA) maintained at 80 °C. The peaks were monitored by  
10 UV at 220 nm and TOF-MS. The mass data were extracted from the total ion current (TIC) of the peaks, followed by deconvolution and quantification using Agilent MassHunter software.

*Hydrophilic Interaction Chromatography (HILIC) Glycan Map Assay*

100 µg of purified antibody was digested with PNGase F (New England  
15 Biolabs) followed by addition of 50 µL fluorescent labeling solution containing 12 mg/mL 2-aminobenzoic acid (2AA) with 0.04 M sodium cyanoborohydride. This mixture was incubated at 80 °C for 75 minutes. The labeled glycans were analyzed by Acquity UPLC equipped with a Fluorescence Detector (Milford, MA). Approximately 3 µL of labeled glycans was injected to an Acquity UPLC BEH  
20 Glycan Column (# 186004741, Milford, MA) followed by fluorescence detector using an emission at 360 nm and detection at 425 nm. The 2AA labeled glycan species were identified by MS/MS technique.

The generation of data for fitting the model parameters, and the subsequent demonstration of MPC for control of %high mannose were performed in  
25 bioreactors. Mannose (Sigma, M6020) was added on the first day of culture to a culture concentration of 1 g/L using a 25% stock solution. Perfusion was initiated on culture day two. Perfusion media was delivered at increasing volumes from 0.5 to 1.0 bioreactor volumes per day. The bioreactor was controlled using Delta V automation (Emerson). Bioreactor sampling was performed every four hours using

the MAST SP200 autosample valve (Bend Research) for titer, hexose and product glycan measurement. After automated sample collection, samples were manually centrifuged and 0.2um filtered to remove cells and debris. Daily samples for measurements of growth, viability, osmolality, and lactate were collected either manually or using the MAST SP200. Viable cell density (VCD) and culture % viability were measured using a Nova CDV (Nova Biomedical). Lactate concentrations were determined using a Nova Bioprofile Basic analyzer (Nova Biomedical).

#### Model Predictive Control

Programming for the Model Predictive Control (MPC) and for fitting model parameters was done in MATLAB (version R2014a, Mathworks) and the code is available in the supplemental materials. The model parameters were determined by least squares regression of the model equations to data from a single training reactor run. For control of high mannose by mannose feeds (via MPC) each daily rate change was calculated via the following steps:

1. Calculation of current model offset. The reactor operational history combined with daily measured values (if available) were used as inputs to generate a numerical solution of the model differential equations. The difference between the model and the most recent measurement could then be calculated. This difference was used as an estimate of the future offset.

$$H_k - \text{Model value at timepoint } k = f(t_k)$$

$$H'_k = \text{Measured value at timepoint } k$$

$$H_{k+1} = \text{Adjusted predicted value at timepoint } k+1 = F(t_{k+1}) + (H_k - H'_k)$$

2. Determination of optimal future rates via MPC. The standard MPC receding horizon method<sup>17</sup> was used on each day once the control was initiated. The optimal set of five rate changes was determined by minimizing sum of the squared error between the product quality profile predicted by the model and the target setpoint. Although five rate changes were calculated, only the first one was

used. By the time the next rate change was due to be implemented, new data were available which were then used to recalculate the optimal set of future rates.

The mannose feed rate was found by treating it as the independent variable in the minimization of the objective function (which in this case is the sum of squares of the difference between the desired high mannose level on the molecule and that found via integration of the governing differential equations). The above equations are sufficiently well behaved so the solution obtained by the MATLAB solvers was sufficient for control to +/- 1% high mannose species on the antibody. The method for controlling high mannose is one sided in that addition of mannose to the reactor increases %high mannose on the antibody. The reduction of %high mannose production is achieved by reducing the mannose concentration (by dilution via perfusion after lowering the mannose feed rate).

#### GC-MS Hexose Quantification

Real-time quantification of mannose was a necessary input for MPC. GCMS was used to distinguish the hexoses (mannose and glucose) in cell culture media. Hexoses were quantified by isotope dilution as described above. Figure 11(A) shows baseline separation by GC of mannose and from cell culture media during a typical run. Figure 11(B) shows the hexose fragmentation pattern (identical between mannose and glucose), where the m/z 204 peak was quantified using the m/z 206 peak from the <sup>13</sup>C labeled internal standard. The limit of detection for both glucose and mannose was 0.02 g/L and linearity was demonstrated for quantification up to 6 g/L hexose (Figure 11(C)).

#### Cell culture plate assay ratios of glucose to mannose

Cells were cultured with a constant 10 g/L total hexose but increasing the concentration of mannose to give the glucose:mannose ratios of 10:0, 9:1, 8:2, 7:3, 6:4, 5:5, 4:6, 3:7, 2:8 and 0:10. Cells were cultured for 24 hours with the different hexose ratios. Supernatants were removed, IgG was purified and %high mannose was measured by HILIC assay. Figure 12(A) demonstrates a linear relationship between the concentration of mannose in the cell culture media and the total high

mannose level, where high mannose was lowest at 10% in media containing only glucose and highest at 37% in media containing only mannose.

To establish if the increase in high mannose was due to either the increase in mannose sugar concentration or the decrease in glucose sugar concentration, cells were cultured as for the first plate experiment, with the exception of day 5, where media was exchanged for fresh media containing 1, 3, 5, 7 or 10 g/L mannose. Five different concentrations of glucose (1, 3, 5, 7 or 9 g/L) were added to each of the mannose containing cultures. This made for a total of 25 different cultures with the lowest amount of total hexose being 2 g/L (1 gm/L glucose and 1 gm/L mannose Figure 12(B)) and the highest 19 g/L (9gm/L glucose and 10 gm/L mannose; Figure 12(B)). Cells were allowed to grow for 24 hours. Supernatants were then removed, IgG was purified and %high mannose was measured by HILIC assay. Figure 12(B) indicates that the linear increase in high mannose is independent of glucose concentration and only dependent on mannose concentration in the media. This relationship was used to develop a MPC control loop.

#### Control Loop Development: Model Predictive Control

For control loop development, the bioreactor was connected to the MAST SP200 automated sampling device and a mannose solution feed pump in addition to routine bioreactor controls and off-line analytics (Figure 13). A 15 day perfusion bioreactor run was performed to generate training data to develop the MPC feedback loop for one sided control of high mannose using mannose feeds. Data for %high mannose (Figure 14(A)), mannose concentration in the reactor (Figure 14(B)), cell growth (Figure 14(C)) and titer accumulation (Figure 14(D)) were collected at 4 hour time intervals with the exception of glycan data which was not collected during days 9-11. The MPC model was developed from this data set, except for the growth parameters which, due to an error, were calculated from a similar run. The model predictions using both sets of growth parameters are shown in Figure 14 and are virtually identical.

Control Loop Development: Model equations

Ordinary differential equations were constructed to describe the rate of change of cell number, product, mannose concentration, and high mannose species.

$$\frac{dN}{dt} = \frac{\mu N(N_m - N)}{N_m}$$

Here  $N$  is the cell density,  $\mu$  is the maximum growth rate, and  $N_m$  is the maximum cell density. The cell density can be in cells/volume or cell volume/volume. In this work a calculated and arbitrarily scaled volume was calculated from cell count and diameter measured on the Nova CDV. For titer, the specific productivity was assumed to be constant and variable retention of product (which depends on the perfusion filter used) is accounted for:

$$\frac{dP}{dt} = q_p N - S D P$$

The product concentration is  $P$ , and  $q_p$  is the specific productivity.  $S$  is the sieving coefficient which is the fraction of product which passes through the perfusion filter, and  $D$  is the perfusion rate in reactor volumes/day. The rate of change of mannose

$$\frac{dM}{dt} = D(M_f - M) - q_M N$$

Mannose concentration in the reactor is  $M$ ,  $M_f$  is the effective concentration of mannose in the perfusion medium and  $q_M$  is the specific mannose consumption rate. Mannose consumption rate as assumed to follow Michaelis-Menton kinetics:

$$q_M = \frac{V_M M}{K_M + M}$$

The maximum reaction rate is  $V_M$  and the  $K_M$  is the Michaelis-Menton constant. Data from cell culture plates suggested that the relative rate of production of high mannose species was proportional to the mannose concentration (Figure 12(B)):

$$\frac{dH}{dP} = F_H M$$

- 5 Here H is the concentration of high mannose species and  $F_H$  is the high mannose proportionality factor. Examination of previous data (not shown) suggested that  $F_H$  varied with cell density so the following purely empirical equation was used for  $F_H$ :

$$F_H = K_1 * (K_2 + N)$$

- 10  $K_1$  and  $K_2$  are empirical constants. Finally, the rate of change of high mannose is found via the chain rule:

$$\frac{dH}{dt} = \frac{dH}{dP} \frac{dP}{dt} = q_p K_1 (K_2 + N) M N$$

- All the model parameters were determined via least squares regression of the training data shown in Figure 14. The parameters were found by fitting the cell growth profile shown in Figure 14(C). The value for  $q_p$  was found by fitting the titer curve shown in Figure 14(D). The decline in titer after day 12 is due to  
 15 switching from an ultrafiltration membrane (for which the sieving coefficient,  $S$ , is

zero) to a microfiltration membrane (which gave a sieving coefficient in the range 0.76 to 0.78. The remaining parameters were found by fitting those equations to the data in Figure 14(A) and Figure 14(B) simultaneously.

The parameter values used in the model and their 95% confidence limits are shown in Table 4. Numerical values of model parameters and confidence limits obtained from training data and used for MPC. Graphs of the resulting model fit to the training data are shown in Figure 14(dashed lines A-D), while the fits with the growth parameters used for MPC are the dotted lines. The parameter fit for the mannose Michaelis Menton constant,  $K_M$ , is much larger than the concentrations used, so the mannose consumption kinetics were effectively first order. The training data and subsequent demonstration of MPC were performed under identical conditions with the exception of the mannose concentration which served as the control lever for high mannose.

Table 4. Numerical values of model parameters and confidence limits obtained from training data and used for MPC.

Parameter	Value	95% Confidence Interval	Units
$\mu$	0.7415 (0.7048) <sup>2</sup>	{0.67, 0.83}	1/day
$N_M$	13.8 (14.2) <sup>2</sup>	{12.5, 15.1}	SCV/L
$q_p$	0.1705	{0.167, 0.179}	g/SCV/day
$V_M$	102.5	N/A <sup>2</sup>	g/L/day
$K_M$	$1.47 \times 10^3$	N/A <sup>2</sup>	g/L
$V_M/K_M$ <sup>2</sup>	0.695	{0.062, 0.077}	1/day
$K_1$	0.00332	{0.0029, 0.0038}	L <sup>2</sup> /g/SCV
$K_2$	4.2	{2.57, 5.84}	SCV/L

NOTE: SCV is Scaled Cell Volume and is calculated from cell density and average diameter

Demonstration of MPC to Control high mannose Level

Subsequent to derivation of the parameter values, the feed back loop was used in a bioreactor run to actively control the %high mannose level to 6% +/- 1% for Antibody A. Perfusion and mannose feeding were initiated on day 2 of the

production culture. The initial mannose feed was set at a rate that would keep its concentration roughly constant in the reactor. This initial mannose feed rate was estimated based on previous experience with the process and was not part of the control loop. The control loop was started on day 5 of production. Samples were  
5 taken daily and analyzed to determine the inputs into the MPC model. The lag between reactor sample and rate change ranged between 5 and 14 hours, with an average of 8.5 hours. Once all the necessary data were available, they were manually input into the MATLAB model to calculate the next mannose feed rate. The resulting trajectory of the %high mannose, the other modeled quantities, and  
10 the resulting MPC based feed concentrations (calculated from feed rates) are shown in Figure 15. Once control was initiated, the measured and modeled %high mannose rapidly increased and was maintained within 1% of the 6% target (Figure 15(A)). The measured and modeled mannose concentration profiles rose and fell as expected in response to the mannose feed (Figure 15(B)). The cell growth largely  
15 followed the assumed logistic curve with the exception of fairly significant deviations on days 6 and 12 (Figure 15 (C)). The measured titer matched the model although the discontinuities in the last few days of culture are evidence that the model was under estimating protein production (Figure 15(D)). The adjustment of the MPC model state to match measurements enabled good control of high  
20 mannose in spite of the observed deviations. In Figure 16 a comparison between the PAC process and historical pilot plant runs is shown. The historical runs were performed using a conventional process which is similar in design and performance to the PAC process but without the active control loop. Instead, the conventional process is dependent on static process parameters to run within a margin of error  
25 for every batch to deliver the desired product, which will need to pass quality control for deposition. With the PAC process, PQ is measured near real time and, due to active control during the production run, will not require subsequent analytical characterization prior to disposition.

**CLAIMS**

1. A method for an extended periodic harvest comprising
  - establishing a cell culture by inoculating a bioreactor with mammalian cells
  - 5 expressing a recombinant protein,
  - maintaining the cell culture by perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a filter and collecting a permeate,
  - wherein a null permeate is initially collected until a first predetermined parameter is reached, at which time a harvest permeate is collected for a
  - 10 predetermined time,
  - this is followed by alternately collecting a null permeate until a second predetermined parameter is reached, then collecting a harvest permeate for a predetermined time,
  - wherein the alternating collection of null permeate and harvest permeate
  - 15 continues until the cell culture is terminated.
2. The method according to claim 1, wherein the predetermined parameter is selected from time, viable cell density, packed cell volume or titer.
3. The method according to claim 1, wherein the first predetermined parameter is at least 12 hours to 25 days following the establishment of the cell culture.
- 20 4. The method according to claim 1, wherein the second predetermined parameter is at least 12 to 72 hours following the collection of the harvest permeate.
5. The method according to claim 1, wherein the predetermined time is at least 12 to 72 hours.

6. The method according to claim 1, wherein when the null permeate is collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor.
7. The method according to claim 1, wherein when the harvest permeate is collected, the filter is a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor.
8. The method according to claim 1, wherein the filter is a single unit filter system.
9. The method according to claim 1, wherein when the permeate is collected from a filter that is a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor, the fresh cell culture medium is formulated with or supplemented to achieve at least 5g/L of a non-ionic block copolymer.
10. The method according to claim 1 further comprising taking samples during the cell culture processes, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and/or the cell culture process.
11. The method according to claim 1, wherein the perfusing is continuous perfusion.
12. The method according to claim 1, wherein the perfusing is performed at a rate of less than or equal to 1.0 working volume per day.
13. The method according to claim 1, wherein the perfusing is accomplished by a peristaltic pump, a double diaphragm pump, a low shear pump or alternating tangential flow.
14. The method according to claim 1, wherein the method further comprises subjecting the cell culture to a temperature shift wherein the cells are cultured a) at first temperature for a first period of time and b) at second temperature for a second period of time.

15. The method according to claim 14, wherein the temperature shift is in response to a predetermined parameter wherein achieving the predetermined parameter is determined using a capacitance based biomass probe.
16. The method according to claim 1 wherein the cell culture is established by  
5 inoculating the bioreactor with at least  $0.1 \times 10^6$  viable cells/mL.
17. The method according to claim 1, wherein the mammalian cells are Chinese Hamster Ovary (CHO) cells.
18. The method according to claim 1, wherein the recombinant protein is selected from the group consisting of a human antibody, a humanized antibody, a chimeric  
10 antibody, a recombinant fusion protein, or a cytokine.
19. The method according to claim 1, wherein the recombinant protein is purified from the harvest permeate by one or more of flocculation, precipitation, centrifugation, depth filtration, affinity chromatography, size exclusion chromatography, ion exchange chromatography, mixed mode anion exchange  
15 chromatography, hydrophobic interaction chromatography or hydroxyapatite chromatography
20. A method according to claim 19, further comprising taking samples during the purification process, evaluating the samples to quantitatively and/or qualitatively monitor characteristics of the recombinant protein and the purification process.
- 20 21. A method according to claim 20, wherein the samples are quantitatively and/or qualitatively monitored using process analytical techniques.
22. The method according to claim 19, wherein the recombinant protein is formulated into a pharmaceutically acceptable formulation.
23. A recombinant protein produced by the method of claim 1.
- 25 24. A method for harvesting a recombinant protein comprising

establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein,

maintaining the cell culture by perfusing the cell culture with fresh cell culture medium formulated or supplemented to achieve a concentration of at least 5 g/L of a non-ionic block copolymer and

passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor and

collecting a permeate containing the recombinant protein.

10 25. A method for harvesting a recombinant protein comprising

establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein,

maintaining the cell culture by perfusing the cell culture with fresh cell culture media formulated or supplemented to achieve a concentration of at least 1 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor, and collecting a permeate;

once a predetermined parameter is reached perfusing the cell culture with fresh cell culture medium formulated or supplemented to achieve a concentration of at least 5 g/L of a non-ionic block copolymer and passing the cell culture through a hollow fiber filter having a pore size or molecular weight cut off that does not retain the recombinant protein in the bioreactor and

collecting a permeate containing the recombinant protein.

26. The method according to claim 25, wherein the a hollow fiber filter having a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and the hollow fiber filter having a pore size or molecular weight cut off

that does not retain the recombinant protein in the bioreactor are components of a single unit filter system.

27. A single unit filter system comprising two or more hollow fiber filter components of different pore sizes or molecular weight cut offs, wherein the  
5 hollow fiber filter components are secured to one another in series such that a sterile flow path is maintained between the individual hollow fibers and the hollow fiber filter components of different pore size or molecular weight cut offs are isolated from one another with respect to their hollow shell sides from which the permeate is withdrawn, such that permeate can be removed independently from  
10 each respective hollow fiber filter component.

28. The single unit filter system according to claim 27, wherein at least one hollow fiber filter component has a pore size or molecular weight cut off that retains the recombinant protein in the bioreactor and at least one hollow fiber filter component has a pore size filter that is a hollow fiber filter having a pore size or molecular  
15 weight cut off that does not retain the recombinant protein in the bioreactor.

29. The single unit system according to claim 27, wherein the single unit filter system is contained within a housing.

30. The single unit filter system according to claim 27, further comprising a spacer between at least two of the hollow fiber filter components

20 31. A method for culturing cells expressing a recombinant protein comprising  
establishing a cell culture by inoculating a bioreactor with mammalian cells expressing a recombinant protein,

maintaining the cell culture by perfusing fresh cell culture medium into the bioreactor, passing the cell culture through a single unit filter system according to  
25 claim 27 and collecting a permeate,

wherein the single unit filter system is attached to the bioreactor and the cell culture is drawn out of the bioreactor and into the single unit filter system by a

single pumping system, wherein the cell culture passes through the lumen side of the hollow fibers of the single unit filter system and back into the bioreactor and a permeate is withdrawn from one or more of the hollow fiber filters components.

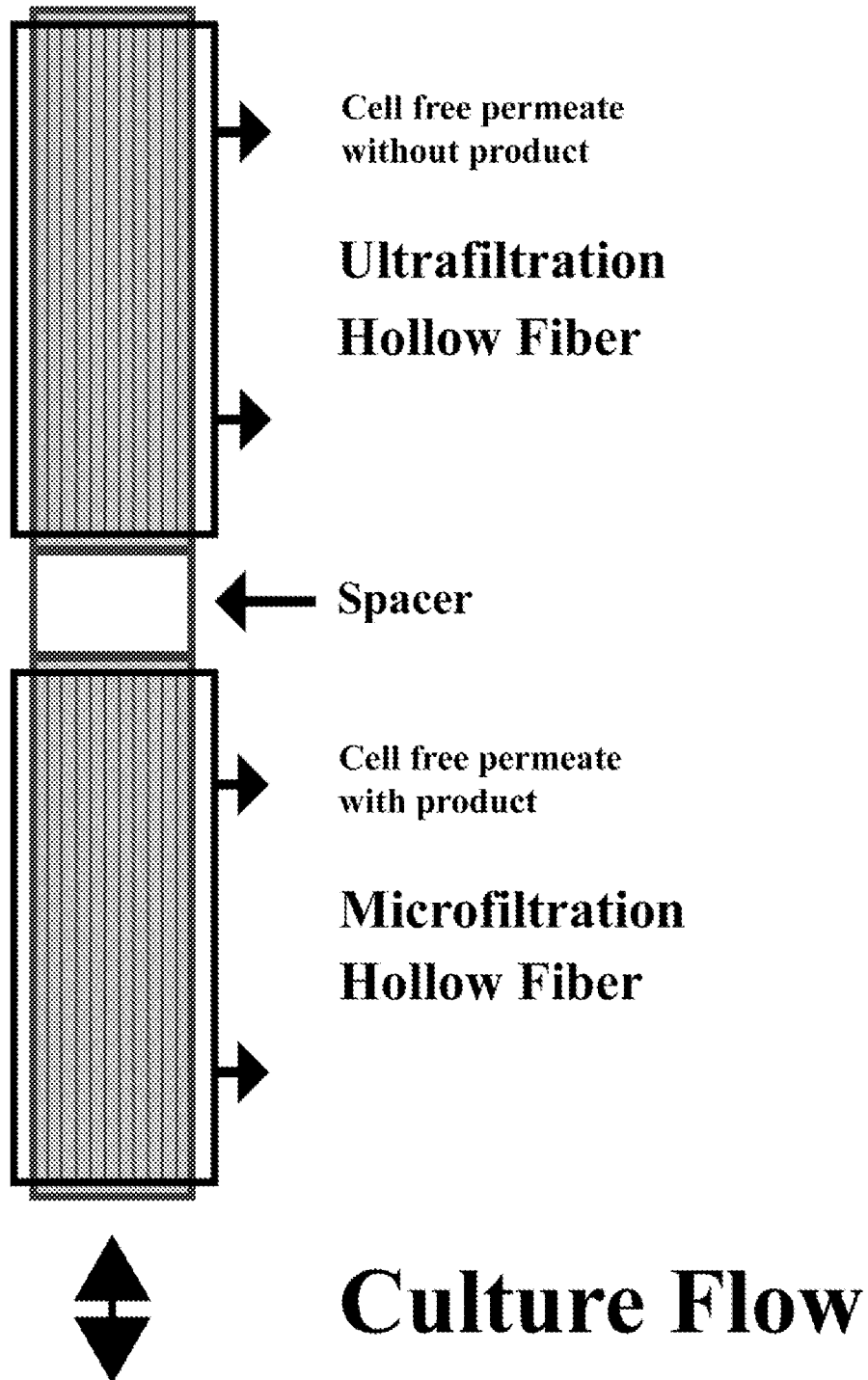


Figure 1

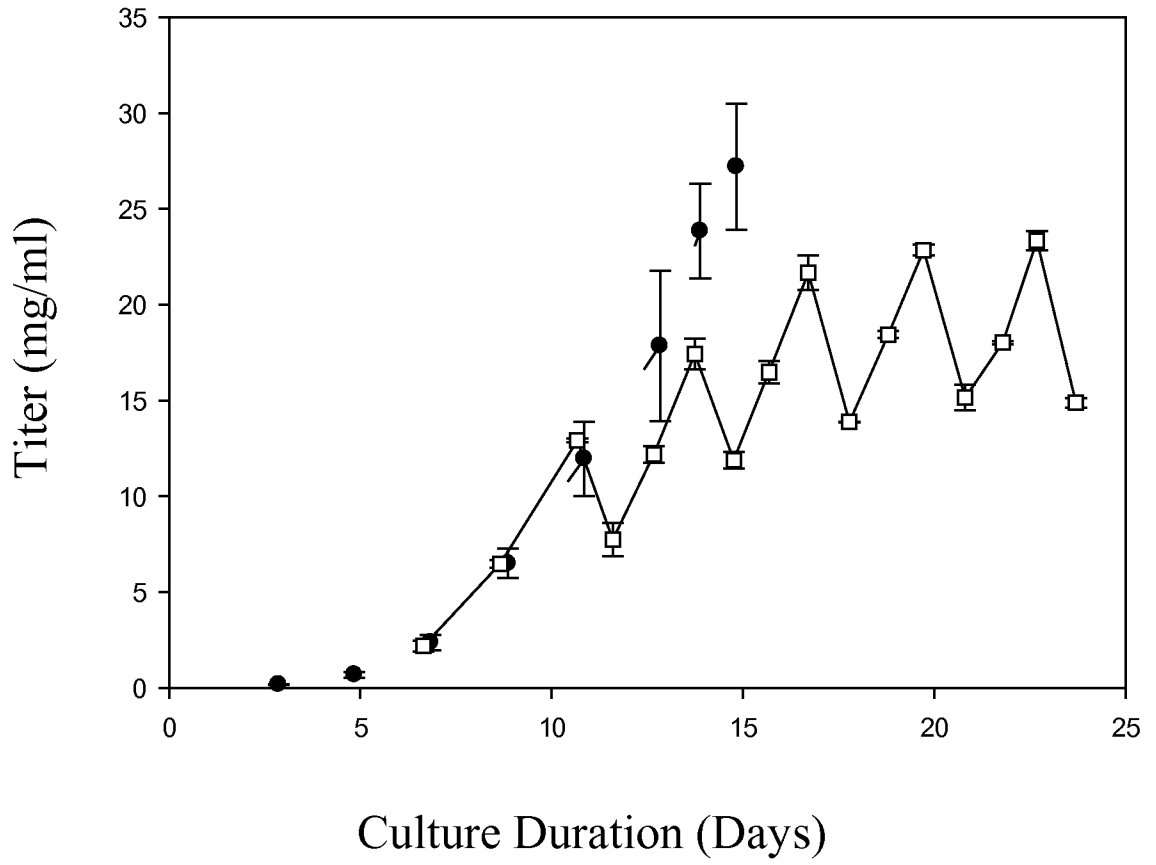


Figure 2

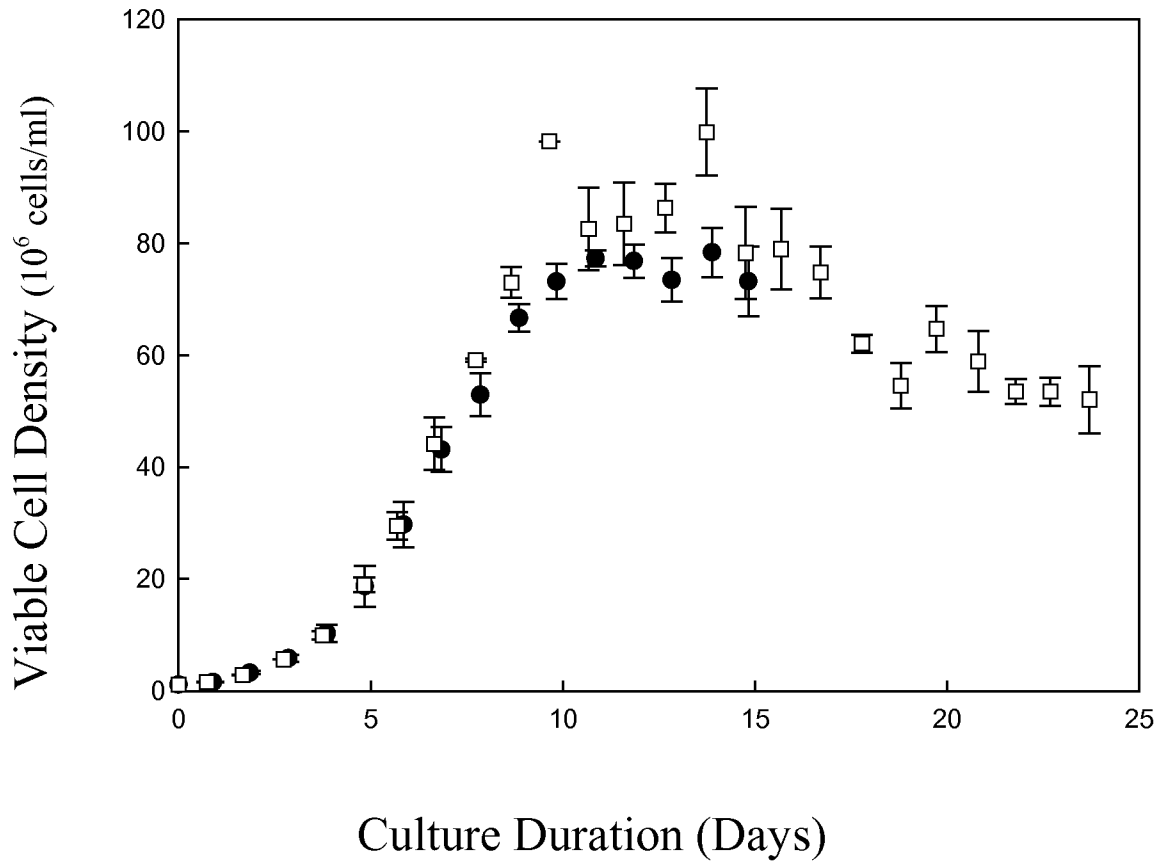


Figure 3

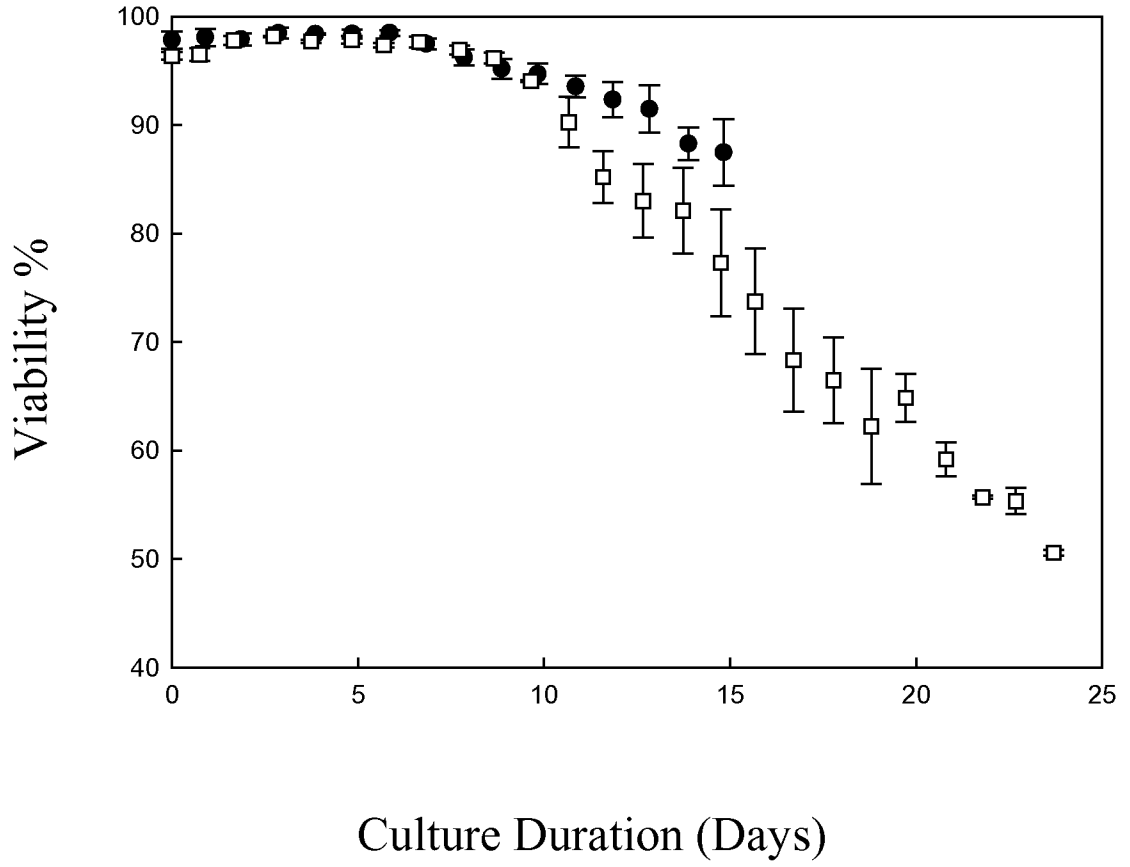


Figure 4

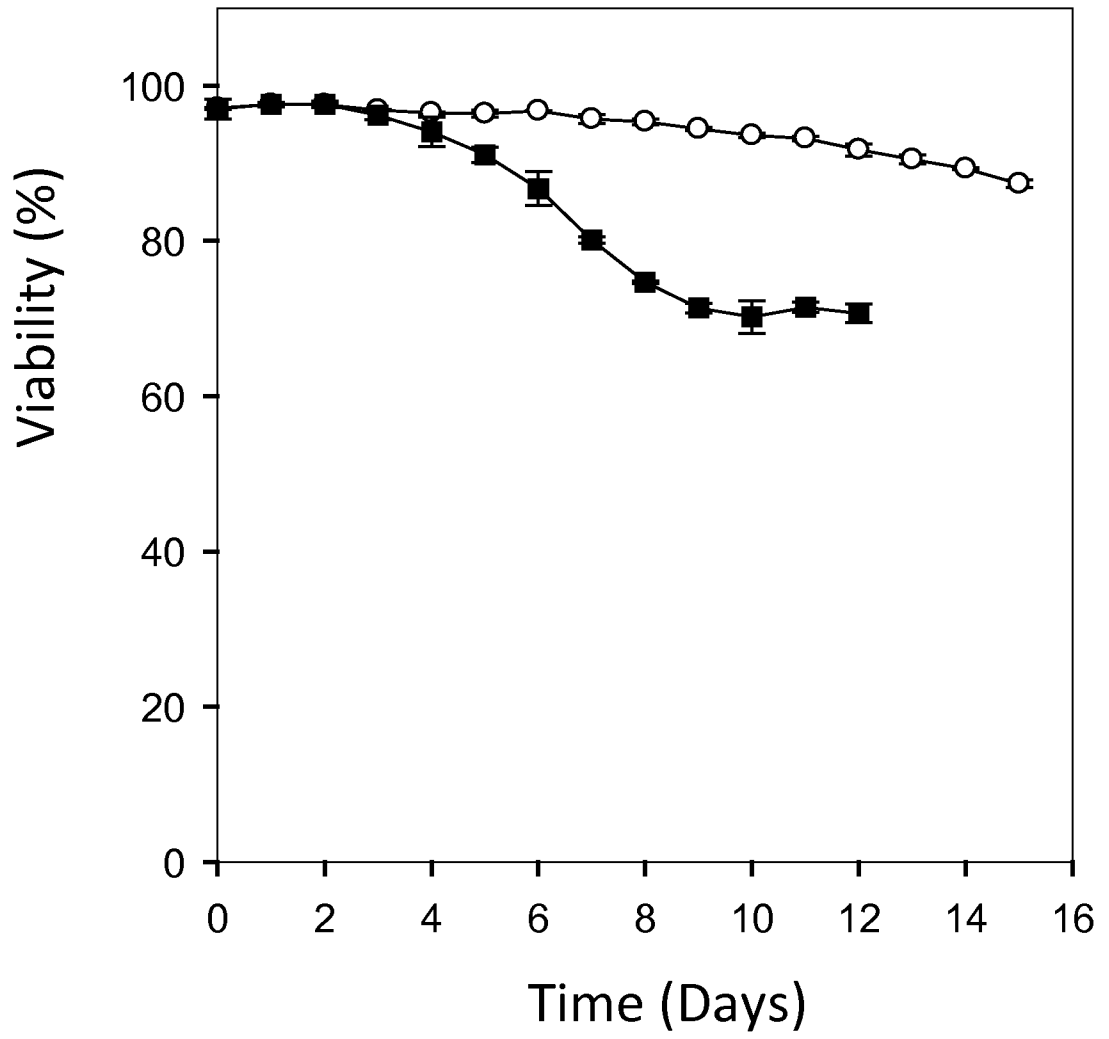


Figure 5

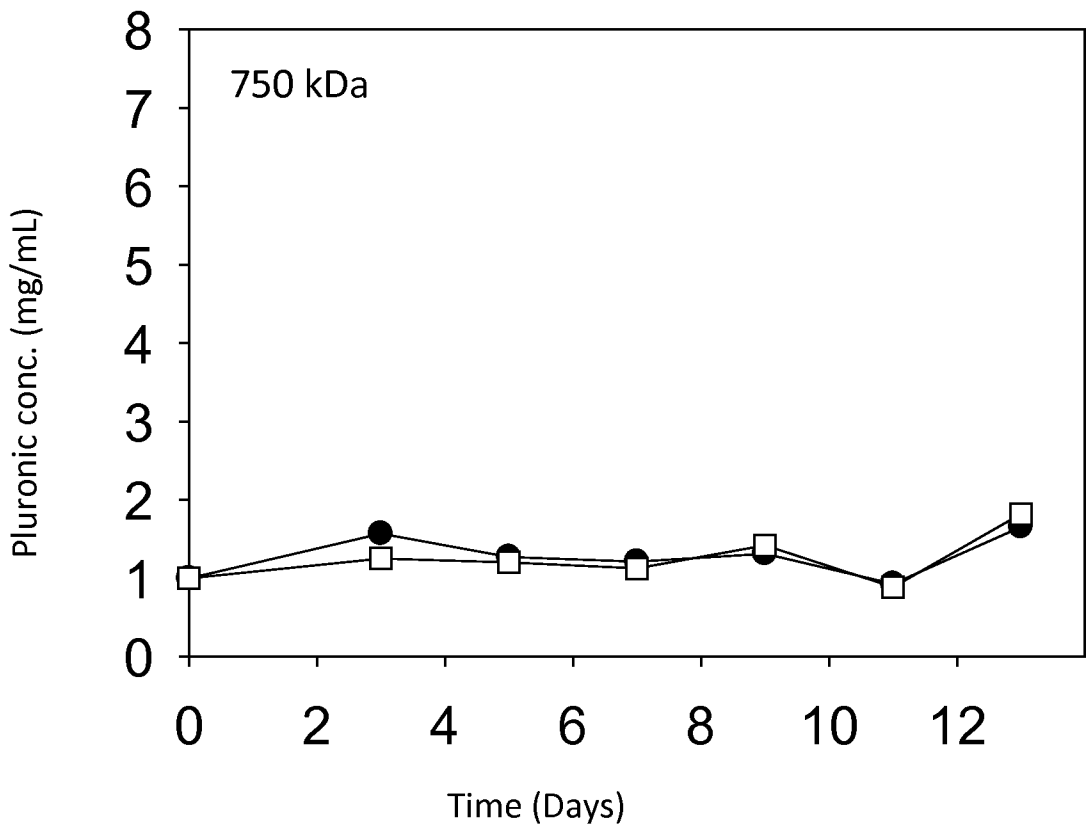
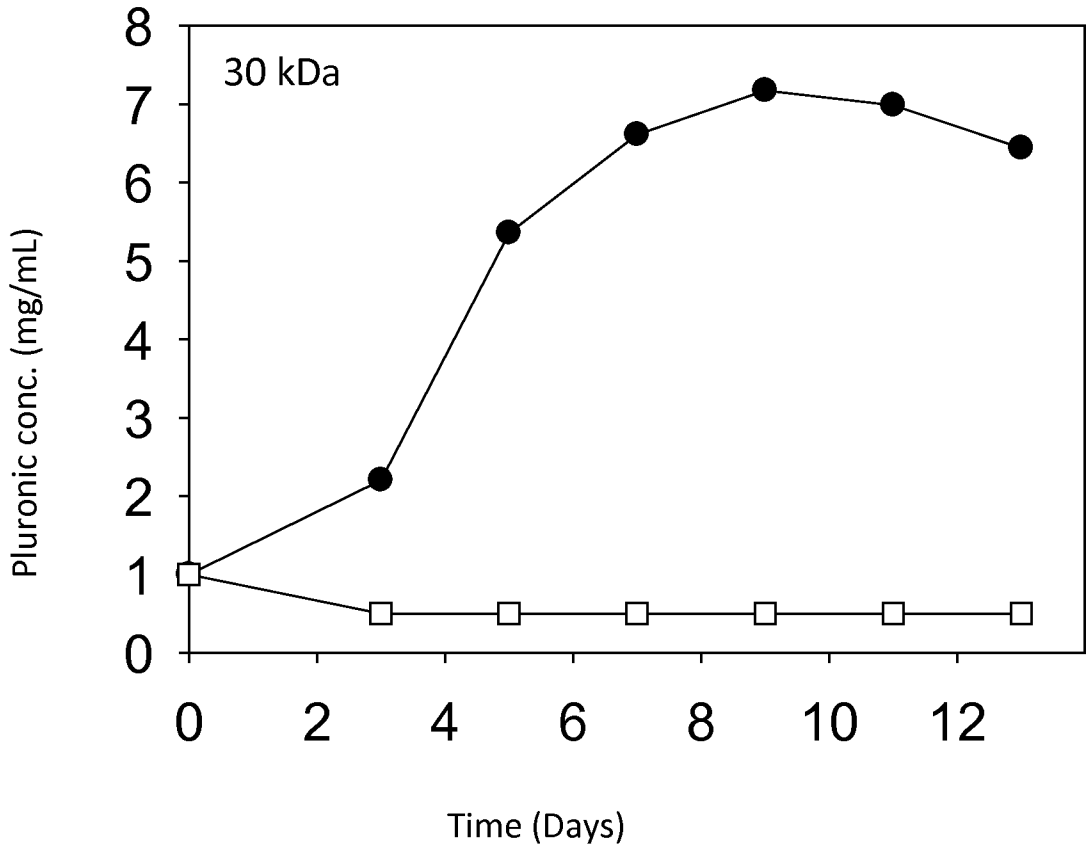


Figure 6

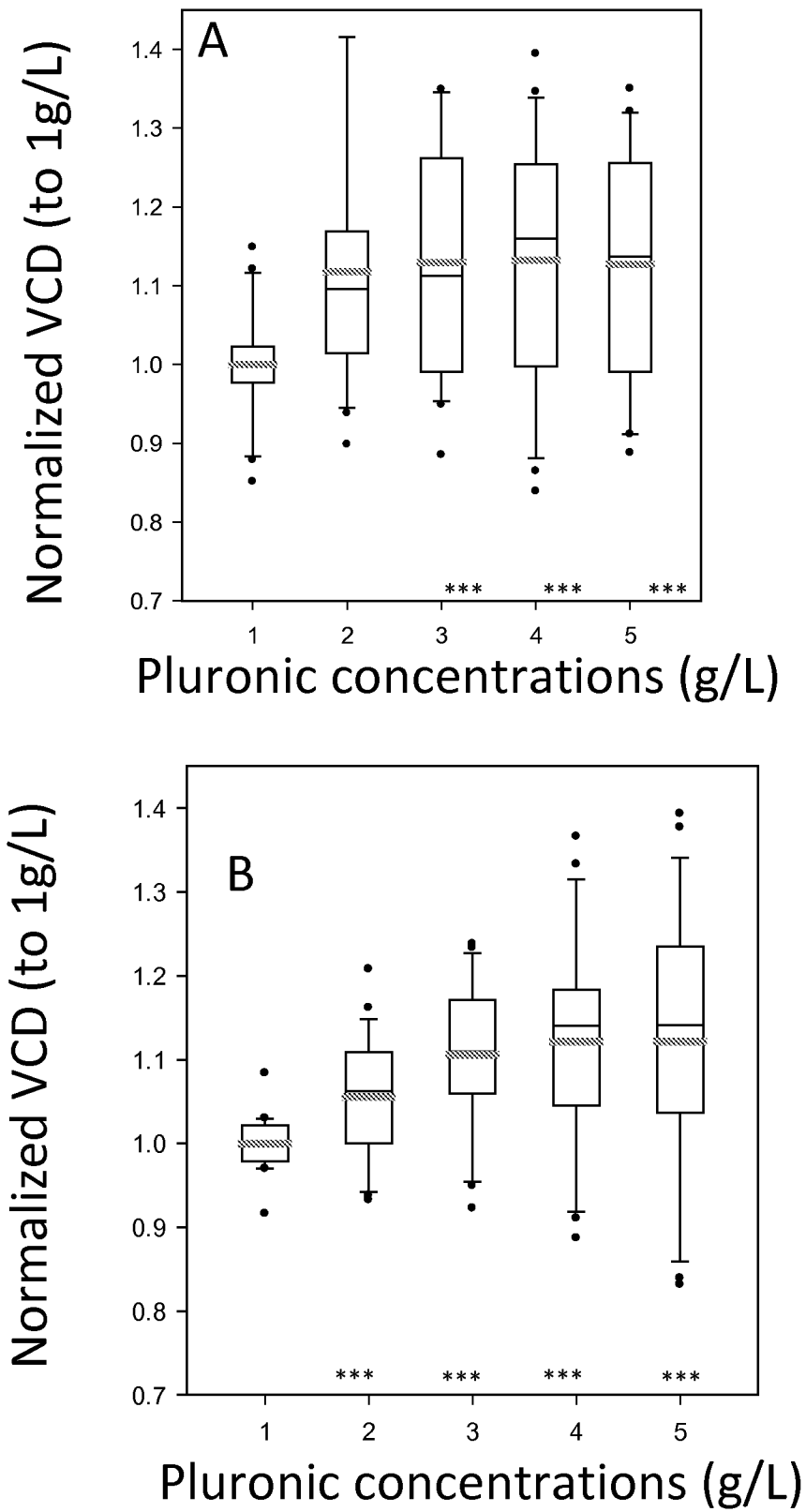


Figure 7A

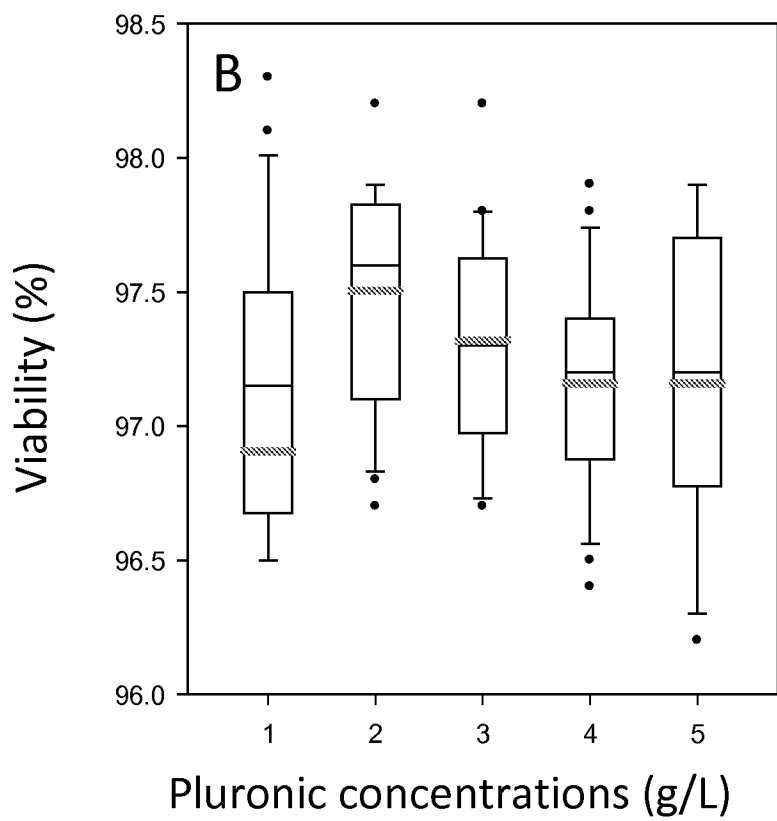
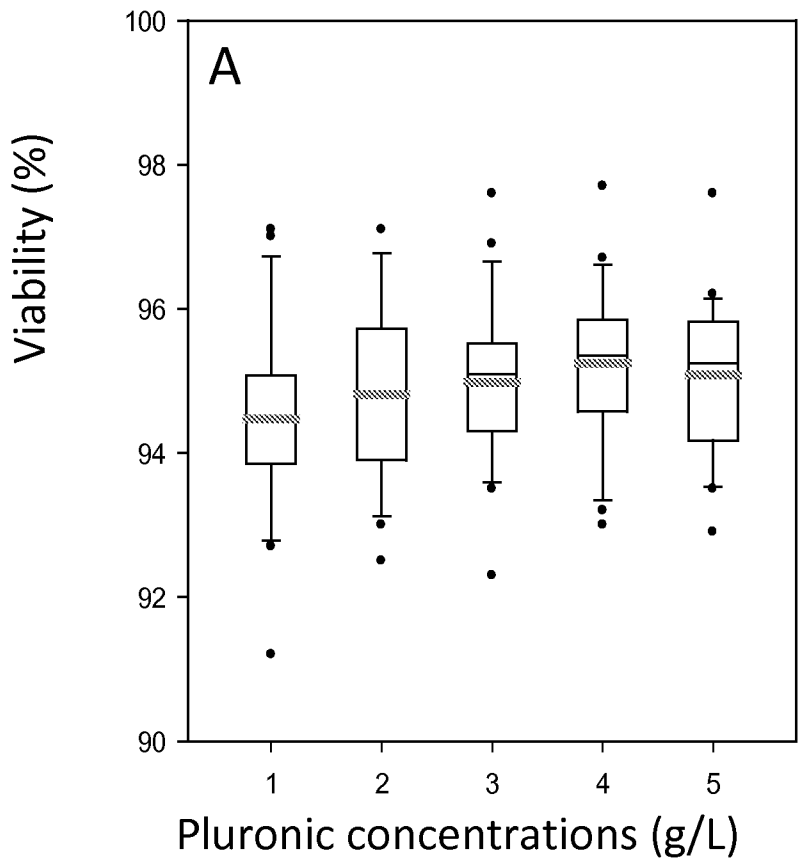


Figure 7B

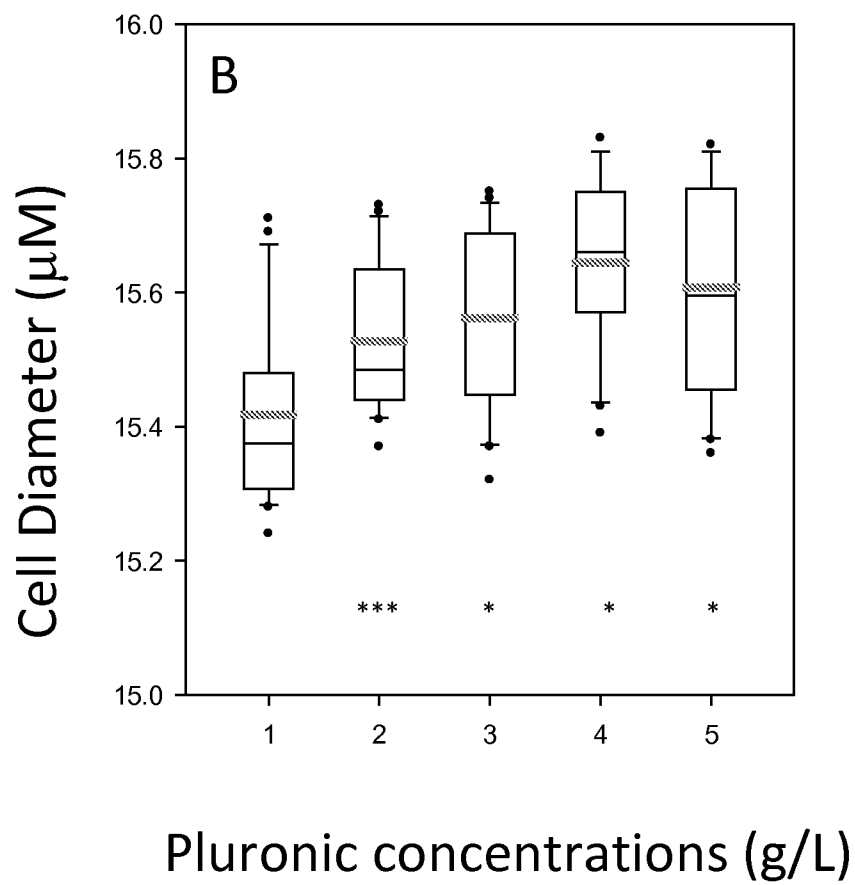
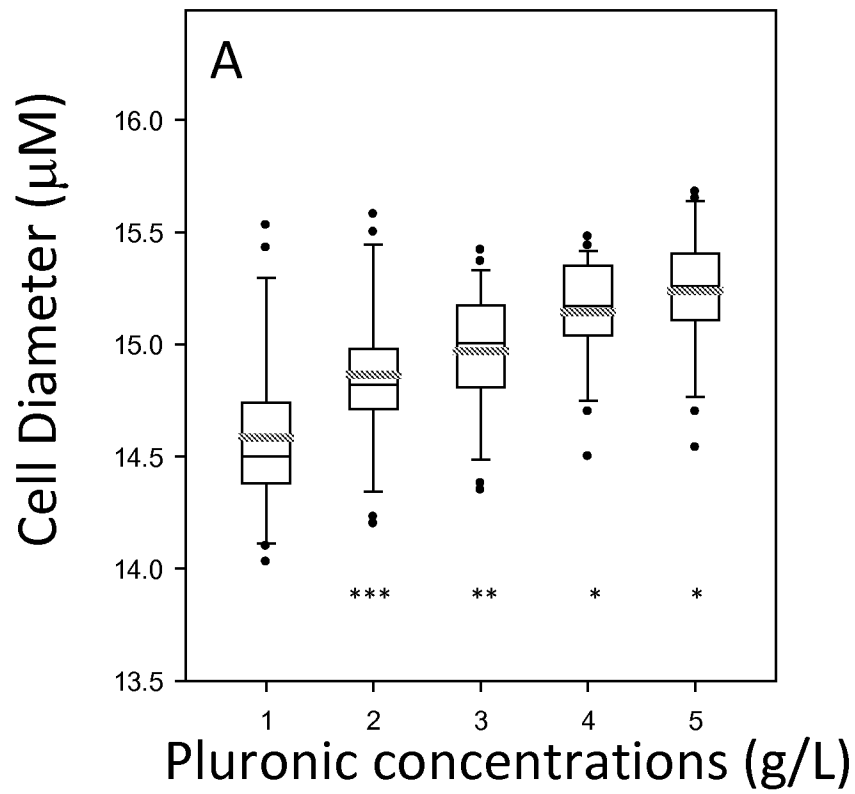


Figure 7C

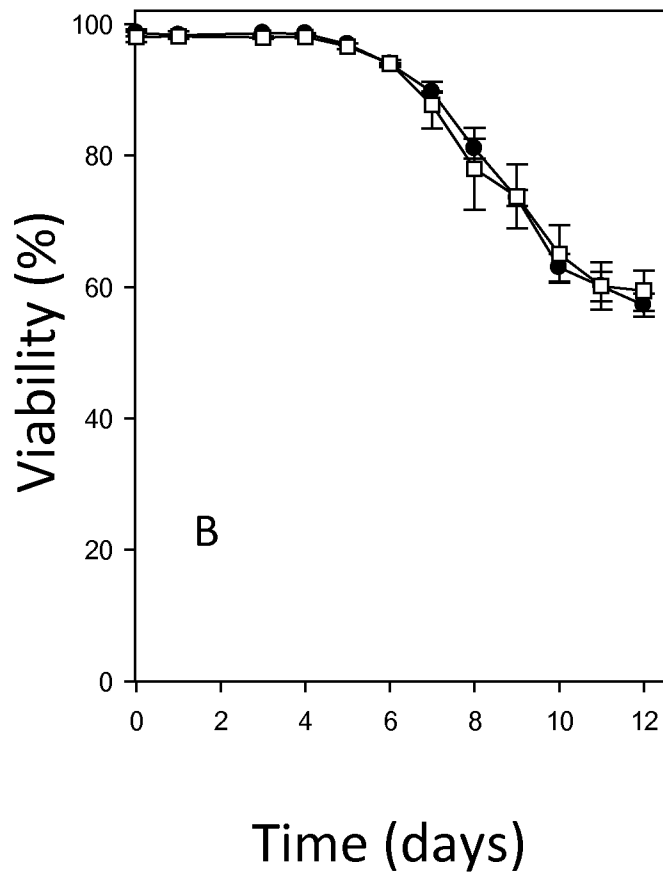
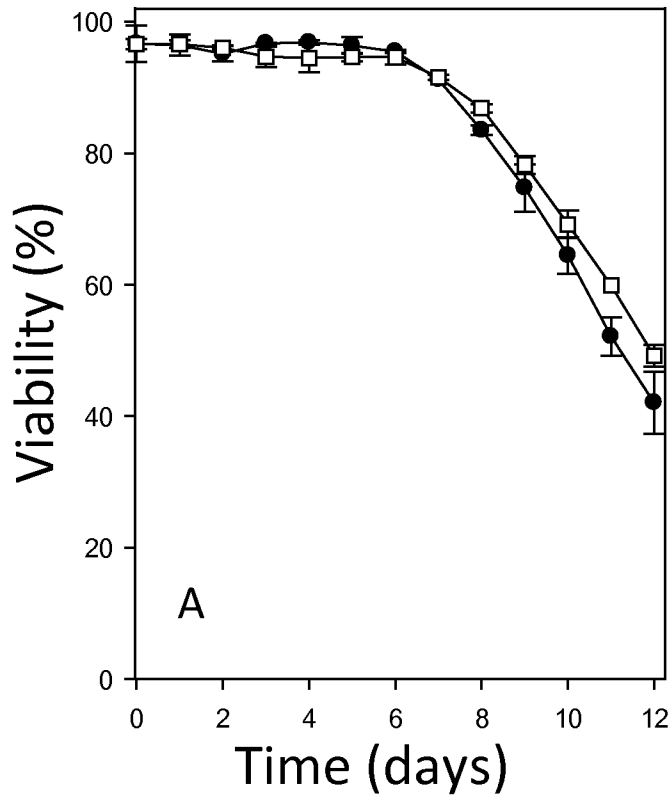


Figure 8

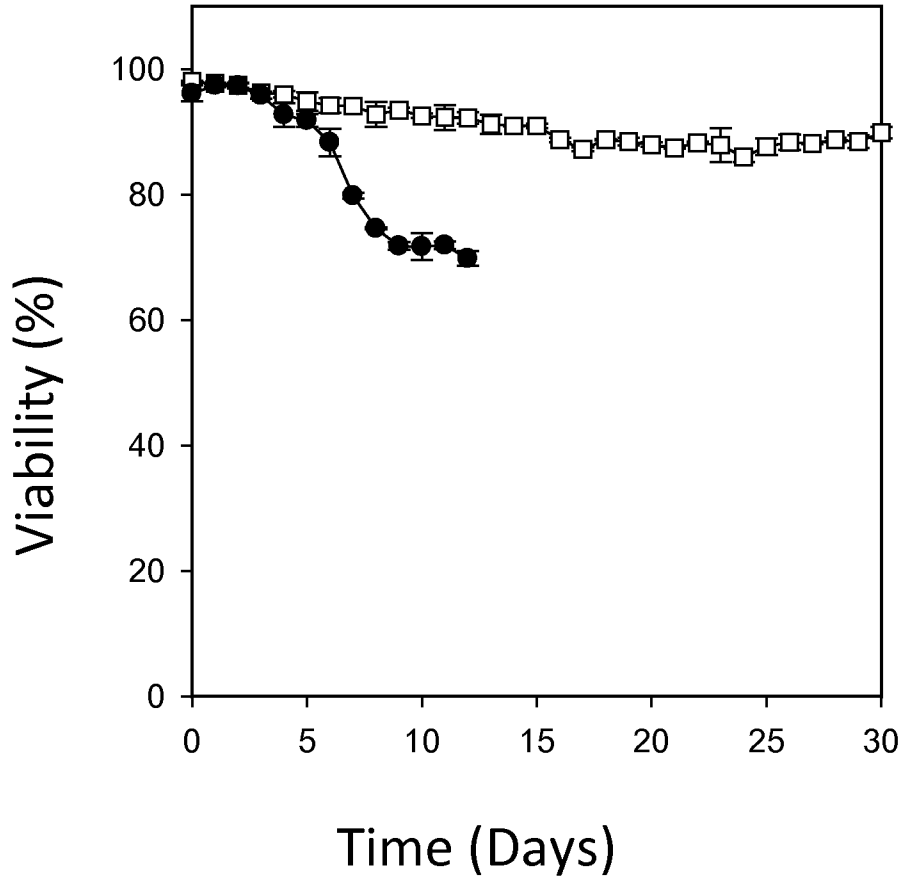


Figure 9

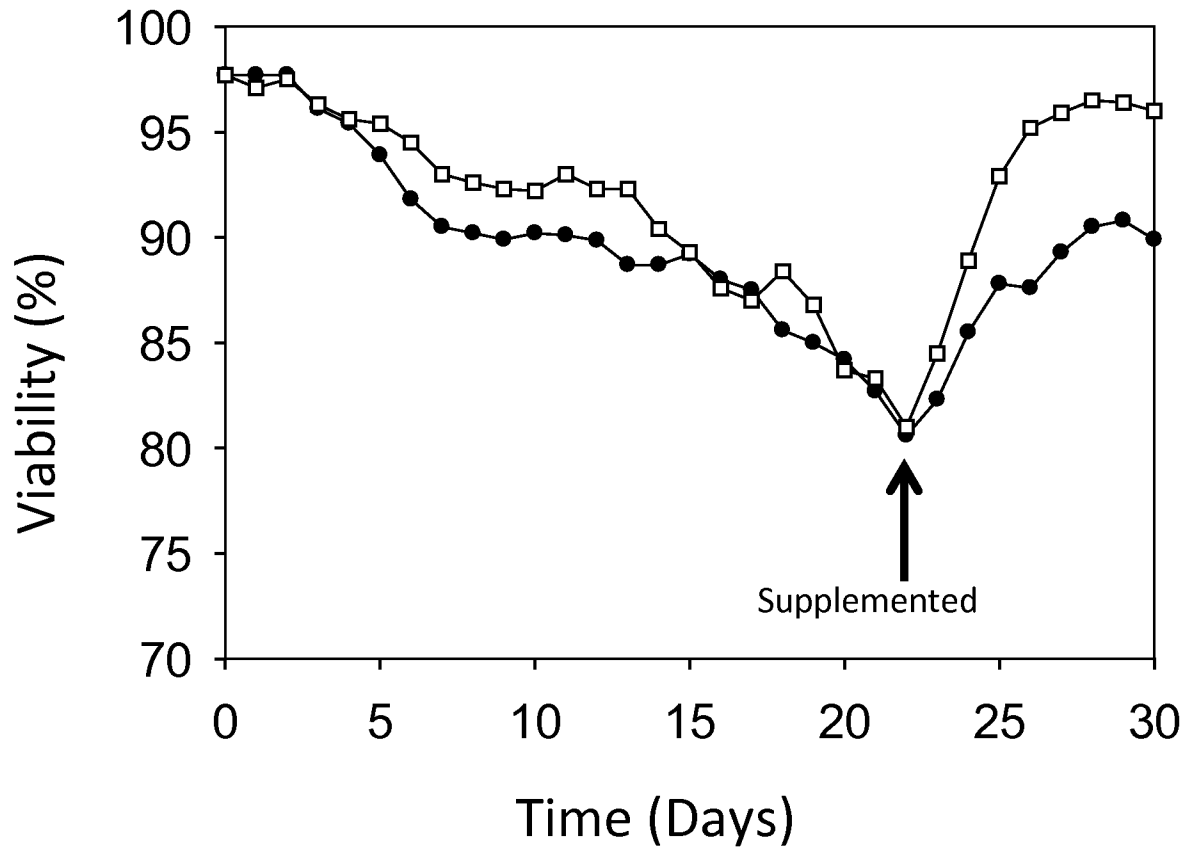


Figure 10

FIG.11B

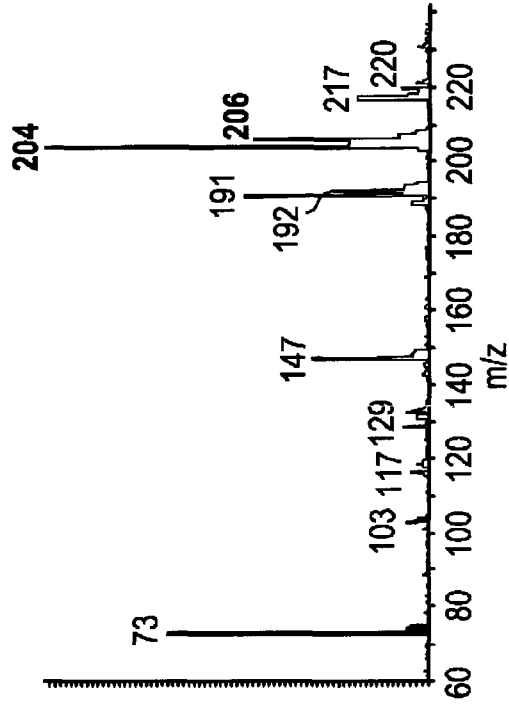


FIG.11A

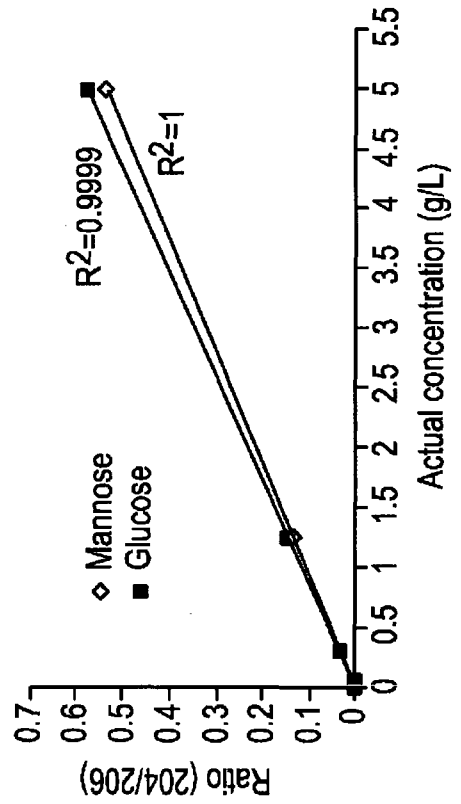
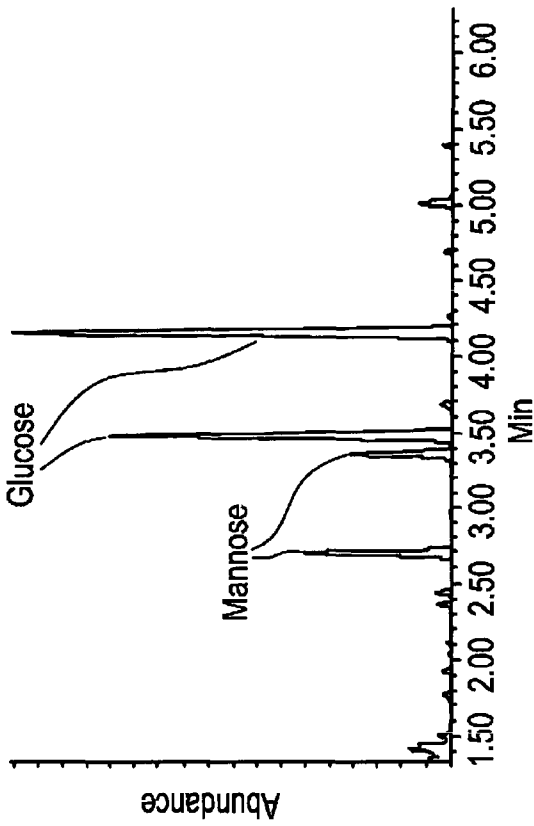


FIG.11C

FIG. 12A

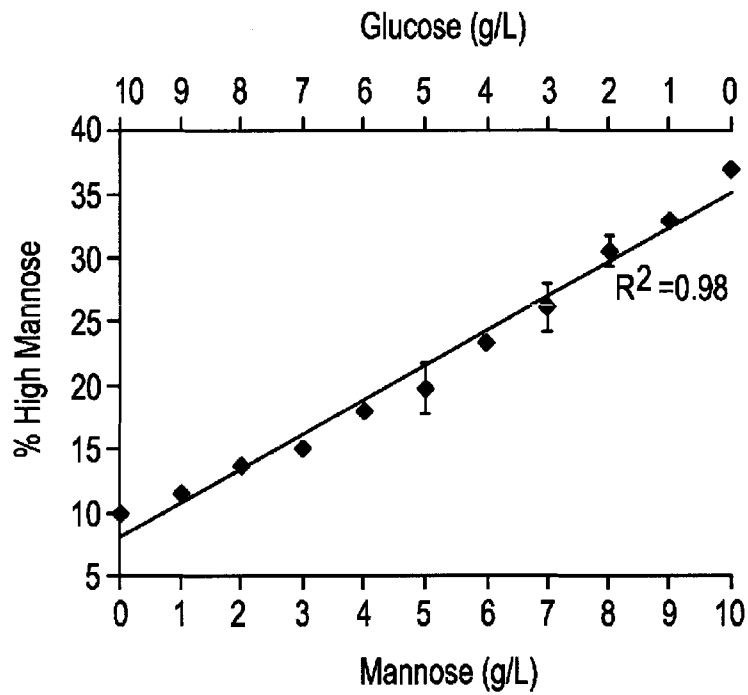


FIG. 12B

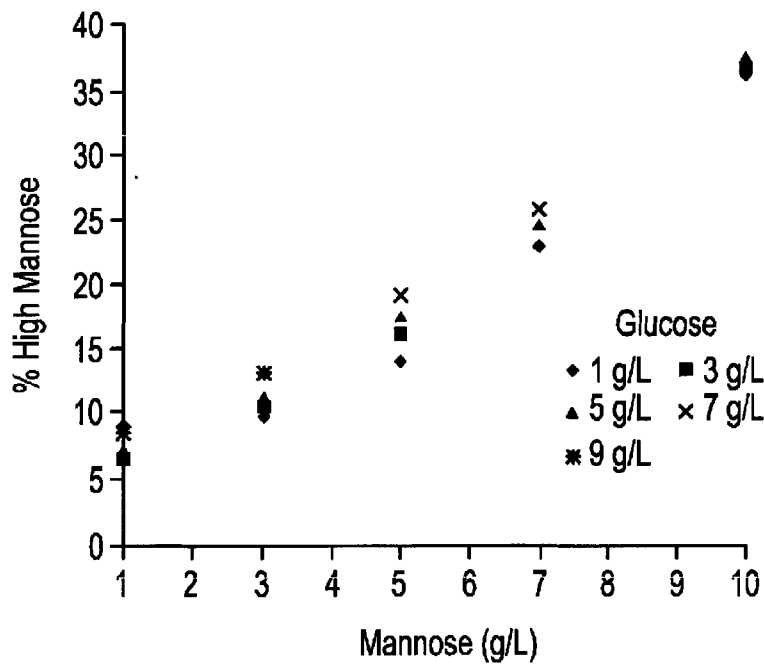


FIG. 13

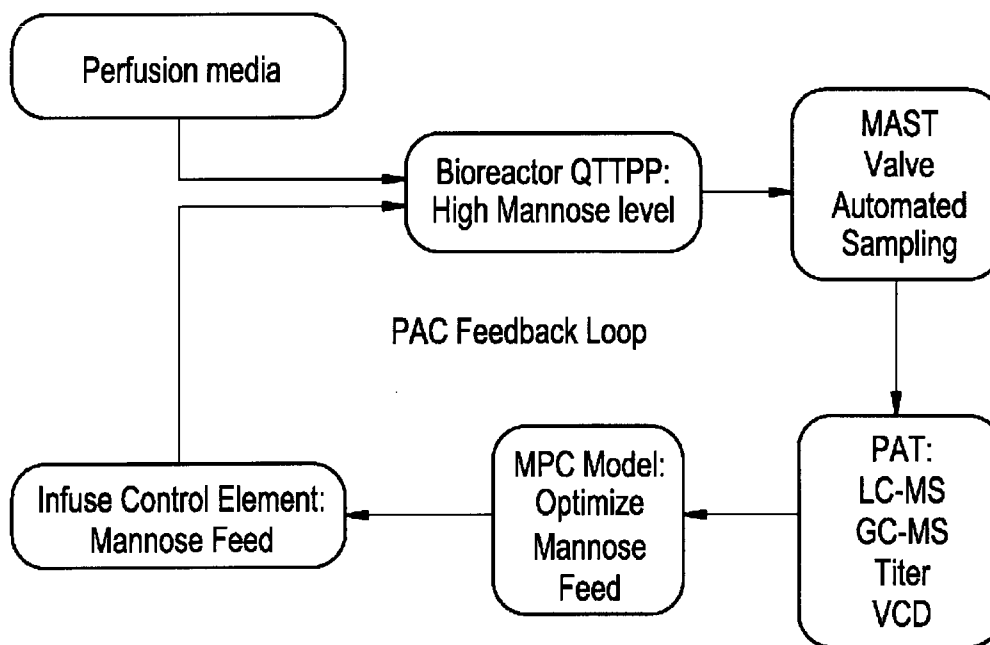


FIG. 14B

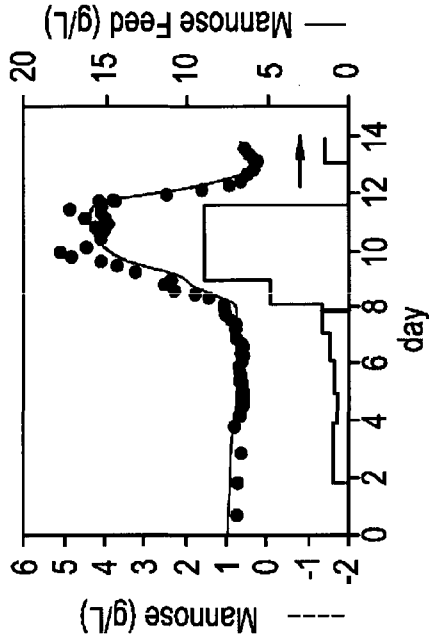


FIG. 14D

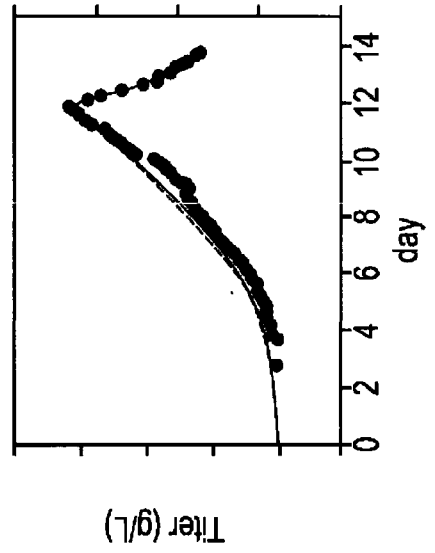


FIG. 14A

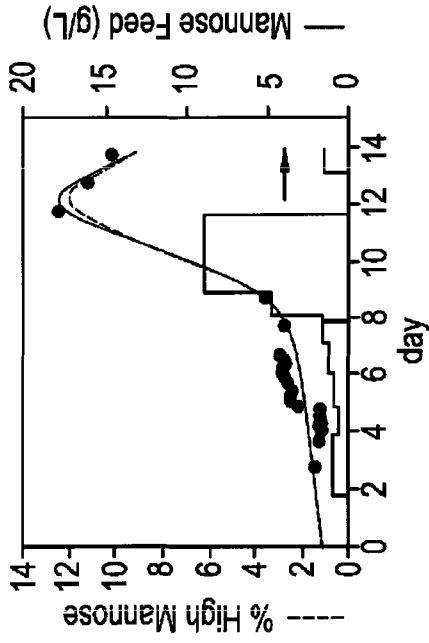


FIG. 14C

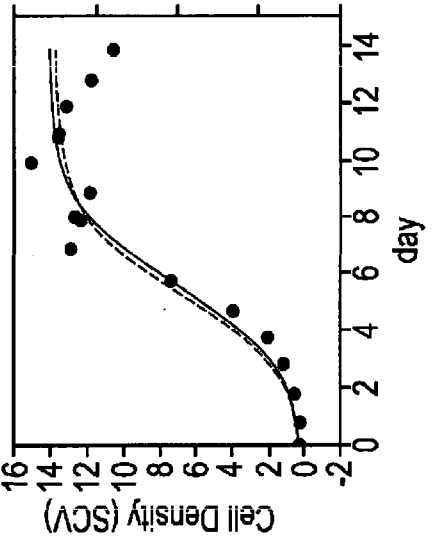


FIG. 15B

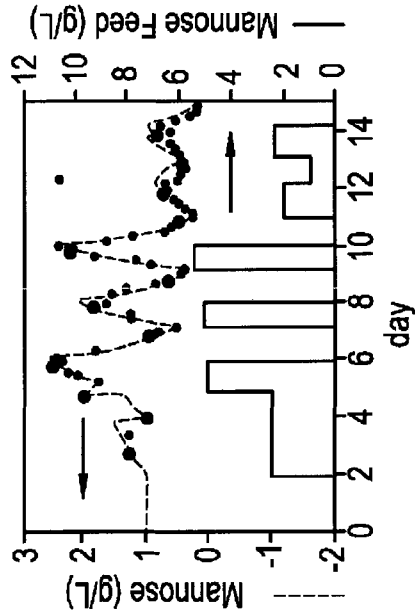


FIG. 15D

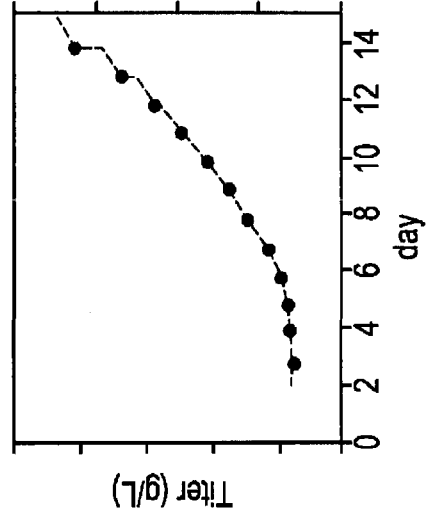


FIG. 15A

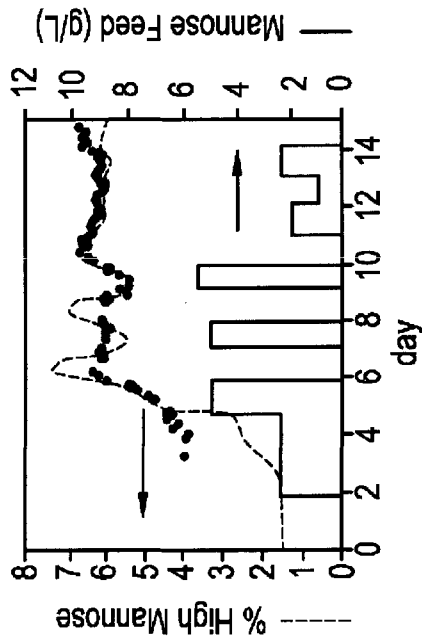


FIG. 15C

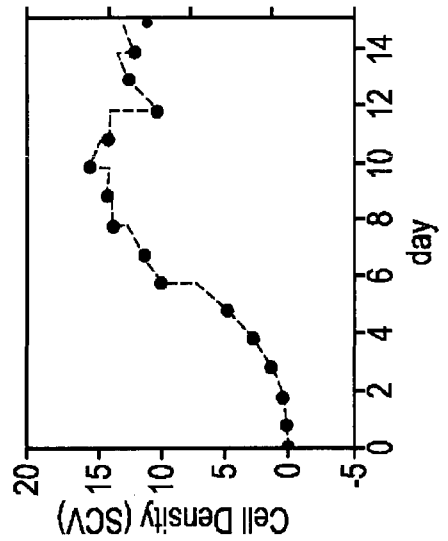
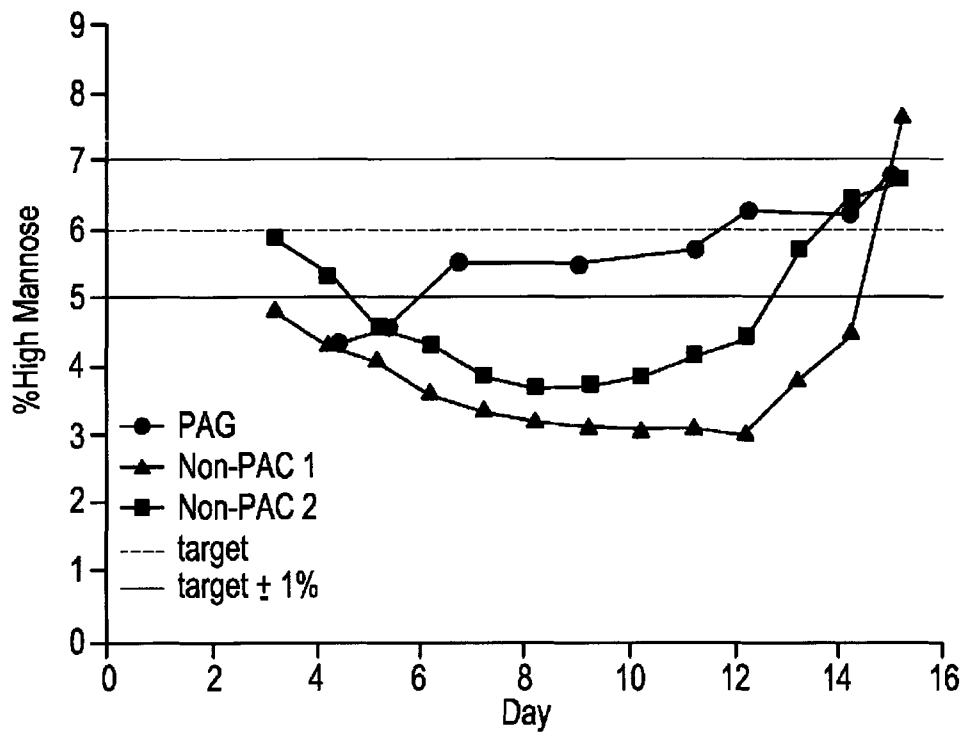


FIG. 16



INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/034297

A. CLASSIFICATION OF SUBJECT MATTER  
INV. C12P21/00 C12M1/00 C12N5/00  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
C12P C12M C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, WPI Data, BIOSIS, CHEM ABS Data, EMBASE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ALTSHULER G L ET AL: "CONTINUOUS HYBRIDOMA GROWTH AND MONOCLONAL ANTIBODY PRODUCTION IN HOLLOW FIBER REACTORS-SEPARATORS", BIOTECHNOLOGY AND BIOENGINEERING, WILEY & SONS, HOBOKEN, NJ, US, vol. 28, no. 5, 1 January 1986 (1986-01-01), pages 646-658, XP002325535, ISSN: 0006-3592, DOI: 10.1002/BIT.260280503	1-11,16, 18-23, 27-31
Y	Results section; figure 2 ----- -/--	12,13,15

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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Date of the actual completion of the international search  11 August 2015	Date of mailing of the international search report  20/08/2015
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Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Armandola, Elena
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## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2015/034297

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2009/023562 A2 (WYETH CORP [US]; HILLER GREGORY W [US]) 19 February 2009 (2009-02-19)	1-5,8, 11,14, 16,17, 23,31
Y	the whole document	12,13,15
X	----- SPYROS I. VERNARDIS ET AL: "Metabolic profiling reveals that time related physiological changes in mammalian cell perfusion cultures are bioreactor scale independent", METABOLIC ENGINEERING, vol. 19, 1 September 2013 (2013-09-01), pages 1-9, XP55206004, ISSN: 1096-7176, DOI: 10.1016/j.ymben.2013.04.005 the whole document	1-5
A	----- RODRIGUEZ J ET AL: "High productivity of human recombinant beta-interferon from a low-temperature perfusion culture", JOURNAL OF BIOTECHNOLOGY, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 150, no. 4, 1 December 2010 (2010-12-01), pages 509-518, XP027516641, ISSN: 0168-1656, DOI: 10.1016/J.JBIOTEC.2010.09.959 [retrieved on 2010-11-23]	1-31
A	----- YAO-MING HUANG ET AL: "Maximizing productivity of CHO cell-based fed-batch culture using chemically defined media conditions and typical manufacturing equipment", BIOTECHNOLOGY PROGRESS, vol. 26, no. 5, 22 September 2010 (2010-09-22), pages 1400-1410, XP055168194, ISSN: 8756-7938, DOI: 10.1002/btpr.436	1-31
A	----- CHRYSANTHOPOULOS P K ET AL: "Metabolomics for high-resolution monitoring of the cellular physiological state in cell culture engineering", METABOLIC ENGINEERING, ACADEMIC PRESS, US, vol. 12, no. 3, 1 May 2010 (2010-05-01), pages 212-222, XP027013813, ISSN: 1096-7176 [retrieved on 2009-11-12]	1-31
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## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/034297

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>GOUDAR C ET AL: "Metabolic flux analysis of CHO cells in perfusion culture by metabolite balancing and 2D [<sup>13</sup>C, <sup>1</sup>H] COSY NMR spectroscopy", METABOLIC ENGINEERING, ACADEMIC PRESS, US, vol. 12, no. 2, 1 March 2010 (2010-03-01), pages 138-149, XP026913663, ISSN: 1096-7176, DOI: 10.1016/J.YMBEN.2009.10.007 [retrieved on 2009-11-05]</p> <p style="text-align: center;">-----</p>	1-31
A,P	<p>RAHUL GODAWAT ET AL: "End-to-end integrated fully continuous production of recombinant monoclonal antibodies", JOURNAL OF BIOTECHNOLOGY, 1 June 2015 (2015-06-01), XP055205965, ISSN: 0168-1656, DOI: 10.1016/j.jbiotec.2015.06.393</p> <p style="text-align: center;">-----</p>	1-31

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2015/034297

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2009023562 A2	19-02-2009	EP 2188371 A2	26-05-2010
		US 2009042253 A1	12-02-2009
		WO 2009023562 A2	19-02-2009
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