#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

### (19) World Intellectual Property Organization

International Bureau



### 

# (10) International Publication Number WO 2011/143238 A3

(43) International Publication Date 17 November 2011 (17.11.2011)

(51) International Patent Classification:

A61F 2/24 (2006.01) A61L 27/04 (2006.01)

A61M 29/02 (2006.01) A61B 17/32 (2006.01)

(21) International Application Number:

PCT/US2011/035952

(22) International Filing Date:

10 May 2011 (10.05.2011)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/332,885 10 May 2010 (10.05.2010) US 61/472,083 5 April 2011 (05.04.2011) US

- (71) Applicant (for all designated States except US): ED-WARDS LIFESCIENCES CORPORATION [US/US]; One Edwards Way, Irvine, CA 92614 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CAO, Hengchu [CN/US]; One Edwards Way, Irvine, CA 92614 (US). CONKLIN, Brian, S. [US/US]; One Edwards Way, Irvine, CA 92614 (US). SCHMIDT, Paul, A. [US/US];

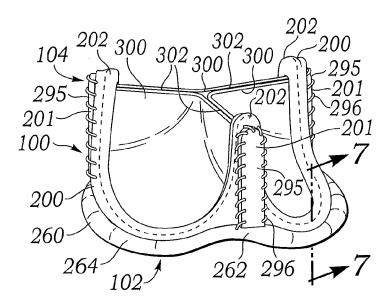
One Edwards Way, Irvine, CA 92614 (US). **KIM, Grace, Myong** [US/US]; One Edwards Way, Irvine, CA 92614 (US). **DAVIDSON, James, A.** [US/US]; One Edwards Way, Irvine, CA 92614 (US). **TRAN, Hoa, Trinh** [US/US]; One Edwards Way, Irvine, CA 92614 (US). **TAM, Kristy, Luong** [US/US]; One Edwards Way, Irvine, CA 92614 (US).

- (74) Agents: MICHALERYA, Melinda et al.; Edwards Lifesciences LLC, One Edwards Way, Irvine, CA 92614 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

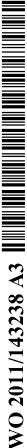
[Continued on next page]

(54) Title: PROSTHETIC HEART VALVE

# Fig. 5A



(57) Abstract: Disclosed prosthetic valves can comprise a sewing ring configured to secure the valve to an implantation site. Some disclosed valves comprise a resiliently collapsible frame having a neutral configuration and a collapsed deployment configuration. Some disclosed frames can self-expand to the neutral configuration when released from the collapsed deployment configuration. Collapsing a disclosed valve can provide convenient access to the sewing ring, such as for securing the valve to the implantation site, as well as for the insertion of the valve through relatively small surgical incisions.



## 

(84) Designated States (unless otherwise indicated, for every Published: kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))
- (88) Date of publication of the international search report:

29 March 2012

International application No. **PCT/US2011/035952** 

#### A. CLASSIFICATION OF SUBJECT MATTER

A61F 2/24(2006.01)i, A61M 29/02(2006.01)i, A61L 27/04(2006.01)i, A61B 17/32(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61F 2/24; B23P 11/02; A61B 17/00; A61B 17/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal)

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
X	US 2007-0050021 A1 (DERRICK JOHNSON) 01 March 2007 See the abstract; the paragraphs 0032-0035,0039; and Fig.3A.	1-6,7-9,10,14,15 ,16,17,19,23,24,25 ,26,28	
Y		18,20-22	
Y	US 2008-0033543 A1 (DONNELL GURSKIS et al.) 07 February 2008 See the abstract; the paragraphs 0087, 0091; and Figs.1,2,3A,3B.	18,20-22	
X	US 2006-0195184 A1 (ERNEST LANE et al.) 31 August 2006 See the entire document.	1-6,7-9,10,14,15 ,16,17,19,23,24,25 ,26,28	
Y		18,20-22	
X	US 05411522A A (TROTT; ARTHUR F.) 02 May 1995 See the abstract; col.5; claim 1; and Figs.1-3.	1-6,7-9,10,14,15 ,16,17,19,23,24,25 ,26,28	
Y		18,20-22	
A	US 2004-0078950 A1 (STEFAN SCHRECK) 29 April 2004 See the entire document.	1–28	

		Further	documents	are	listed	in	the	continuat	ion	of Box	хC.
--	--	---------	-----------	-----	--------	----	-----	-----------	-----	--------	-----

See p

See patent family annex.

- \* Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- 'E" earlier application or patent but published on or after the international
- 'L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- 'O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

30 JANUARY 2012 (30.01.2012)

Date of mailing of the international search report

08 FEBRUARY 2012 (08.02.2012)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office Government Complex-Dacjeon, 189 Cheongsa-ro, Seo-gu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

KIM, MYEONG HEE

Telephone No. 82-42-481-8160



### INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2011/035952

Box No. II	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This internat	ional search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
bec T	ause they relate to subject matter not required to be searched by this Authority, namely: he subject matters of claims 29-34 are directed to methods for treatment of the human by surgery. (Article 17(2)(a)(i), Rule 9.1(iv))
└ bec	tims Nos.: reause they relate to parts of the international application that do not comply with the prescribed requirements to such an ent that no meaningful international search can be carried out, specifically:
	nims Nos.: cause they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III	Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This Interna	tional Searching Authority found multiple inventions in this international application, as follows:
	all required addtional search fees were timely paid by the applicant, this international search report covers all searchable ims.
	all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment any additional fee.
	only some of the required additional search fees were timely paid by the applicant, this international search report covers y those claims for which fees were paid, specifically claims Nos.:
	required additional search fees were timely paid by the applicant. Consequently, this international search report is tricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on	Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.  No protest accompanied the payment of additional search fees.

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

### PCT/US2011/035952

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2007-0050021 A1	01.03.2007	CA 2619080 A1	01.03.2007
03 2007-00300ZT AT	01.03.2007	CN 101262833 A0	10.09.2008
		EP 1924223 A1	28.05.2008
		JP 2009-505731 A	12.02.2009
		JP 2009-505731 T	12.02.2009
		US 2009-054973 A1	26.02.2009
		US 7455689 B2	25.11.2008
		WO 2007-024755 A1	01.03.2007
		WO 2007 024733 AT	01.00.2007
US 2008-0033543 A1	07.02.2008	EP 2023860 A2	18.02.2009
		JP 2009-535128 A	01.10.2009
		JP 2009-535128 T	01.10.2009
		US 2007-265701 A1	15.11.2007
		US 2007-288089 A1	13. 12. 2007
		WO 2007-130881 A2	15.11.2007
		WO 2007-130881 A3	31.01.2008
HO 0000 0405404 44	04 00 0000	ALL 0000 040077 44	00 00 0000
US 2006-0195184 A1	31.08.2006	AU 2006-218877 A1	08.09.2006
		CA 2603198 A1	08.09.2006
		EP 1858451 A1	28.11.2007
		JP 2008-531201 A	14.08.2008
		JP 2008-531201 T	14.08.2008
		US 2010-0191327 A1 US 7717955 B2	29.07.2010
		WO 2006-093795 A1	18.05.2010
		WU 2000-093793 AT	08.09.2006
US 05411522A A	02.05.1995	US 05501696A A	26.03.1996
US 2004-0078950 A1	29.04.2004	AU 2003-267250 A1	08.04.2004
		AU 2003-267250 A8	08.04.2004
		BR 0314642 A	02.08.2005
		CA 2496643 A1	01.04.2004
		CA 2496643 C	05.04.2011
		EP 1539047 A2	15.06.2005
		JP 04-522259 B2	04.06.2010
		JP 2006-500104 A	05.01.2006
		JP 2006-500104 T	05.01.2006
		JP 4522259 B2	11.08.2010
		US 2005-0150775 A1	14.07.2005
		US 7137184 B2	21.11.2006
		US 7318278 B2	15.01.2008
		WO 2004-026173 A2	01.04.2004
		WO 2004-026173 A3	22.07.2004
		WO 2004-026173 A3	01.04.2004