

650164

AUSTRALIA  
PATENTS ACT 1990  
NOTICE OF ENTITLEMENT

We, **American Malze-Products Company**, the applicant/Nominated Person in respect of Application No. 22585/92 state the following:-

The Nominated Person is entitled to the grant of the patent because the Nominated Person derives title to the invention from the inventors by assignment.

The Nominated Person is entitled to claim priority from the application listed in the declaration under Article 8 of the PCT because the Nominated Person is the assignee of the applicants in respect of the application listed in the declaration under Article 8 of the PCT, and because that application was the first application made in a Convention country in respect of the invention.

DATED this NINETEENTH day of JULY 1993



.....  
a member of the firm of  
DAVIES COLLISON  
CAVE for and on behalf  
of the applicant(s)

(DCC ref: 1597182)



AU9222585

(12) PATENT ABRIDGMENT (11) Document No. AU-B-22585/92  
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 650164

(54) Title  
WHITE WAXY STARCH DEXTRINS FOR USE IN ADHESIVES

International Patent Classification(s)  
(51)<sup>5</sup> C08B 030/18 C08L 003/02 C09D 103/02 C09J 103/02

(21) Application No. : 22585/92 (22) Application Date : 17.06.92

(87) PCT Publication Number : WO93/09145

(30) Priority Data

(31) Number (32) Date (33) Country  
788257 05.11.91 US UNITED STATES OF AMERICA

(43) Publication Date : 07.06.93

(44) Publication Date of Accepted Application : 09.06.94

(71) Applicant(s)  
AMERICAN MAIZE-PRODUCTS COMPANY

(72) Inventor(s)  
DAVID MAURO; RONALD KOZLOWSKI; LARRY BENKO

(74) Attorney or Agent  
DAVIES COLLISON CAVE , 1 Little Collins Street, MELBOURNE VIC 3000

(57) Claim

1. A process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to convert the white waxy corn starch to a dextrin.
5. A white waxy dextrin produced by a process according to any one of claims 1 to 4 and having a Brookfield viscosity from about 1000 to about 9000 cps.
8. A process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to convert the white waxy corn starch to a dextrin which when added to water in an amount of about 4% by weight produces a solution which has a transmittance from 60% to 85% of light when measured spectrophotometrically at 500 mμ through a 1 cm cell.

OPI DATE 07/06/93 APPLN. ID 22585/92  
AOJP DATE 05/08/93 PCT NUMBER PCT/US92/05060



AU9222585

(CT)

<p>(51) International Patent Classification <sup>5</sup> : C08B 30/18, C08L 3/02 C09D 103/02, C09J 103/02</p>	<p>A1</p>	<p>(11) International Publication Number: <b>WO 93/09145</b> (43) International Publication Date: 13 May 1993 (13.05.93)</p>
<p>(21) International Application Number: PCT/US92/05060 (22) International Filing Date: 17 June 1992 (17.06.92) (30) Priority data: 788,257 5 November 1991 (05.11.91) US (71) Applicant: AMERICAN MAIZE-PRODUCTS COMPANY [US/US]; 250 Harbor Plaza Drive, Stamford, CT 06904 (US). (72) Inventors: MAURO, David ; 14921 Evers, Dolton, IL 60419 (US). KOZLOWSKI, Ronald ; 4156 Sheffield Avenue, Hammond, IN 46320 (US). BENKO, Larry ; 335 Persimmon Drive, Schererville, IN 46375 (US).</p>	<p>(74) Agent: LUCAS, Donald, C.; 205 East 42nd Street, New York, NY 10017 (US). (81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LU, MC, NL, SE). <b>Published</b> <i>With international search report.</i> <b>650164</b></p>	
<p>(54) Title: WHITE WAXY STARCH DEXTRINS FOR USE IN ADHESIVES</p> <p>(57) Abstract</p> <p>A white waxy corn starch dextrin produces a paste of excellent color and clarity when compared to waxy corn starch dex- trins without the need for bleaching.</p>		

-1-

WHITE WAXY STARCH DEXTRINS FOR USE IN ADHESIVES

This invention relates to adhesives and, more particularly, to the use of a white waxy corn starch dextrin in an adhesive. The paste made from the white waxy corn starch dextrin exhibits excellent color and viscosity stability as well as good clarity compared to conventional waxy corn starch dextrins.

Dextrins are well-known starch degradation products extensively used in industry in mucilage, adhesives and in adhesive formulations as for example on stamps and envelopes. Industrial dextrins are characterized as canary dextrins, cream dextrins, white dextrins and British gums.

Degradation of starch to dextrins is conventionally obtained by heating starch alone or by heating starch in the presence of an acid or catalyst. In all cases the starch molecules are reduced in size to the selected degree to provide a relatively fast drying adhesive with good tack. Dextrinization is an art and each manufacturer has its own particular procedures. The degree of degradation of the starch molecules depends on the temperature employed, speed of heating, the time of holding the starch at the selected temperature, and the type and amount of acid or catalyst that is used in the selected dextrinization process.

Dextrins and British gums are typically prepared by heating starch containing about 12.0% moisture content by weight to a temperature of about 200°F <sup>(90°C)</sup> to ~~about~~ <sup>about</sup> 360°F (180°C) or more for a period of time that may range from two to ten and more hours depending on the desired degree of degradation. As a practical matter, degradation of the starch is carried out to the extent that at least about 30% by weight of the dextrinized dry product will



-2-

be soluble in water. The acid customarily used is hydrochloric acid that is sprayed on the dry starch in an amount of up to about 0.04% hydrochloric acid by weight of starch. Higher amounts of hydrochloric acid may be used in conventional manner and any of the known conventional acids or catalysts such as sodium bicarbonate, sodium phosphate or chlorine gas at a neutral or alkaline pH may be employed.

Root starches and in particular potato and tapioca conventionally provide canary dextrans which in solution have excellent clarity and stability even at concentrations up to 65% by weight of dry solids in water. Canary dextrans derived from waxy corn starch in conventional manner tend to give hazy solutions that do not possess the luster of dextrans derived from potato or tapioca starches. In order to overcome this problem, U.S. Patent No. 4,549,909 disclosed the use of dextrans from waxy corn starch that give aqueous solutions possessing excellent clarity, luster and stability. These dextrans were prepared by pretreating the waxy starch with sodium or calcium hypochlorite. The pretreatment bleaching is carried out in controlled manner to provide only mild oxidation which in some way tenderizes or so preconditions the waxy starch that it is readily dextrinized. The resulting waxy starch dextrans provide excellent clarity, luster and stability in aqueous solutions containing up to 65% solids. This was quite unexpected since oxidized starches are known to be sensitive to heat and rapidly turn brown upon heating.

One of the drawbacks of the waxy dextrin of the '909 patent is that it must be double treated, bleached and then dextrinized. Such double treatment is more time-consuming and costly.

Additionally, the dextrin of the '909 patent is classified as a modified starch product by the U.S. Food and Drug Administration. This is a drawback when

used in an adhesive applied to an envelope. Such adhesives are typically moistened by simply licking the adhesive prior to sealing the envelope. There is a general trend by consumers to seek a natural product which is devoid of ingredients such as modified starch.

5           A waxy corn starch dextrin has now been discovered which does not fall under the category of a modified corn starch product and which possesses good clarity and color and viscosity stability when compared to conventional waxy corn starch dextrins in aqueous solutions. Such a waxy dextrin is made by employing a waxy starch which is obtained from maize that is homozygous with the white (w)  
10 and waxy (wx) recessive genes. In other words, the starch is obtained from maize that is wwx homozygous genotype. In order to make the white waxy corn starch dextrin of the present invention, a white waxy corn starch is treated with an acid and cooked at a temperature between about 200 °F (90 °C) and 350 °F (180 °C) for a period of about 2 to about 10 hours. The dextrin of the present invention is  
15 made from non-bleached white waxy corn starch.

Therefore according to the present invention there is provided a process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to convert the white  
20 waxy corn starch to a dextrin.

According to another embodiment of the present invention there is provided a process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to  
25 convert the white waxy corn starch to a dextrin which when added to water in an amount of about 4% by weight produces a solution which has a transmittance from 60% to 85% of light when measured spectrophotometrically at 500 mμ through a 1 cm cell.

The term white waxy or wwx genotype as used in the specification and  
30 claims means not only the wwx homozygous genotype, wwwxwx, which has been obtained

by standard plant breeding techniques but also the wwx genotype which has been moved to another portion of the plant genome by translocation, inversion or any other method of chromosome engineering to include variations thereof whereby the disclosed properties of the starch of the present invention are obtained.

- 5 The waxy gene is reported to be located on chromosome 9 of the maize chromosomes and the white gene is reported to be located on chromosome 6. See "Development Genetics", Volume 5, pages 1-25, 1984.

Generally, to obtain a starch-bearing plant with both double recessive mutants of the w and wx genotype,

-4-

a plant of a w mutant is crossed with a plant having a wx mutant and thereafter inbred to obtain a plant homozygous in wwx. After the homozygous wwx genotype is obtained, standard breeding techniques are used to obtain hybrid vigor. Hybrids are preferred because of their high starch yield compared to inbred lines. The method of crossing plants and of obtaining specific genotypes in the offspring as well as breeding to obtain hybrid vigor is well-known.

White waxy starch is extracted from maize which is a wwx homozygous genotype in a conventional manner. Good results have been obtained by wet milling. Normally, the starch obtained from a wet milling operation is in a slurry. The white waxy starch is preferably recovered from the slurry and dried.

Suitable catalysts for use in roasting the white waxy starch include hydrochloric acid, nitric acid, monochloroacetic acid, phosphoric acid, and chlorine ~~may be employed~~. Hydrochloric acid is preferred. The amount of acid used is such to bring the pH of the starch to about 3.0.

Any of the conventional roasting apparatus may be used such as the known bulk cookers, fluidized bed dextrinizers or kiln type cookers. U.S. Patent No. 3,200,012 describes one form of cylindrical drum roaster and U.S. Patent No. 3,527,606 describes a paddle type roaster which may be conveniently employed for dextrinizing the pretreated waxy starch. Roasting temperature may range from about 200°F <sup>(90°C)</sup> up to 350°F <sup>(180°C)</sup> and more depending on the type of roaster employed for a period of time of from about 2 up to about 10 hours to obtain a dextrin of desired viscosity. In accordance with the present invention, the final white waxy starch dextrin will dissolve in water upon heating to 190°F (90°C) to provide a solution containing at least 30% solids and excellent clarity and luster.

-5-

In one specific example, dried white waxy corn starch is treated with gaseous hydrochloric acid to bring the pH of the white waxy corn starch to about 3.0. The acidified white waxy corn starch was roasted in a horizontal cooker at 335°F <sup>(163°C)</sup> for about 2-3 hours. The resulting canary white waxy corn starch dextrins readily dissolved in cold water at about 10% solids (dry basis) and the solution had luster and excellent clarity.

10 It has been found that the white waxy corn starch of the present invention produced an aqueous solution at 4% by weight with a transmittance from 60% to 85% of light at a measurement of 500 mμ to 800 mμ through a 1 cm cell. More specifically, it has been found that  
15 a solution of 4% by weight of white waxy corn starch dextrin of the present invention has a light transmittance over 75% T when measured spectrophotometrically in a 1 cm cell. These measurements are at ambient temperatures.

In contrast, a test solution of the pretreated  
20 waxy corn starch as taught in the '909 patent containing 4% solids by weight transmitted from 73% to 95% of light in the visible spectrum from 500 mμ to 800 mμ through a 1.0 centimeter cell when measured in a conventional spectrophotometer and conventional waxy corn starch  
25 dextrins transmit only about 48% to 64% of light under the same test conditions.

It is important for clarity of aqueous solutions to carry out dextrinization of the white waxy corn starch at a pH not greater than about 3.5. It has been found  
30 that dextrinization of the white waxy starch proceeds rapidly at the specified low pH and there is no tendency for the white waxy corn starch dextrin to take <sup>on</sup> an objectionable color or lose clarity as is likely to occur when the white waxy corn starch is roasted for the long period  
35 of time required when the pH is above about 3.5.



-6-

~~For the purposes of~~ <sup>IF</sup> long term viscosity stability, <sup>is desired</sup>  
it is important to carry out the dextrinization at a  
temperature above about 300°F (150°C).

The dextrinization of the white waxy corn starch  
5 may be carried out in conventional manner at the specified  
pH to produce any of the conventional dextrans such  
as the white dextrans, canary dextrans, cream dextrans  
or British gums. In general, the Brookfield viscosity  
of these dextrans using a No. 4 spindle at 20 r.p.m.  
10 will be from about 1000 to 9000 cps. at a solids level  
of about 50 to 60% and the dextrin will possess a reducing  
sugar content below about 6.0%. Dilute (1.5-2.0N) hydrochloric  
acid used in an amount of up to about 0.04% by weight  
of starch will, in general, provide the specified pH  
15 of below about 3.5 for dextrinizing the white waxy corn  
starch of the present invention.

These and other aspects of the present invention  
may be more fully understood by reference to the following  
examples:

20

EXAMPLE 1

This example illustrates making a white waxy corn  
starch dextrin in accordance with the present invention  
and the viscosity stability of the dextrin.

One hundred (100) pounds of a white waxy corn starch  
25 was loaded into a Littleford converter (a horizontally  
oriented cooker) and 11.93 grams of hydrogen chloride  
gas was added to lower the pH to 2.75. The moisture  
level of the starch was initially 10.2% by weight.  
A sample, Sample 1-A, was removed after three hours  
30 and another sample, Sample 1-B, was removed after three  
hours and twenty minutes. The temperature control on  
the cooker was set to the maximum (340°F <sup>(170°C)</sup>) and it took  
two and one-half hours to reach that temperature.

Each dextrin sample was slurried in water to about  
35 60% solids and 0.144 grams of Dowicil® was added to  
control bacterial growth. The viscosity stability as



well as the color stability of these two samples are illustrated in Table 1 below.

Table 1

	<u>Day</u>	<u>Sample 1-B Viscosity (cps)</u>	<u>Sample 1-A Viscosity (cps)</u>
5	0	3170	3770
	1	3700	4410
	2	3640	3800
	3	3400	4060
10	7	3440	4090
	15	3400	4200
	21	3670	4190
	30	3540	4320
	35	3700	4540
15	43	3600	4370
	50	4100	5160
	56	3900	5150

In both samples, the color was gold and remained stable throughout the tests. Sample 1-B had better stability than Sample 1-A.

The temperature of each sample was maintained at ambient (about 70°F (20°C)) throughout the test. The viscosity was measured using a Brookfield viscometer with a No. 4 spindle at 20 r.p.m.

25 EXAMPLE 2

This example illustrates making the white waxy dextrin of the present invention using double the acid and a shorter reaction time.

In this example the same reaction vessel was used with 20.13 grams of hydrogen chloride gas. The pH was 2.45. The moisture level of the starch initially was 9.8% by weight. A sample, Sample 2-A, was removed from the cooker after two hours while another sample, Sample 2-B, was removed after two and one-half hours. As with Example 1, the temperature control on the cooker was set at the maximum. By two hours, the contents of the cooker reached 333°F (167°C) while a half hour later it had reached 340°F (170°C).

Pastes were made up of each sample as in Example 1 and each paste was tested over time as in Example 1. The results are reported in Table 2 below.

Table 2

	Day	Viscosity (cps) Sample 2-A	Day	Viscosity (cps) Sample 2-B
5	0	2590	0	2265
	1	2480	1	2650
	2	2575	2	2285
10	7	2740	3	2450
	14	2590	7	2520
	21	2630	15	2530
	29	2850	21	2540
	34	2780	30	2545
15	42	2630	35	2600
	49	3020	44	2450
	55	2750	50	2680
			56	2540

As with Example 1, each paste was stored at ambient temperature (about 70°F (20°C)). Each sample was dark brown in color and remained so throughout the test period.

EXAMPLE 3

This example illustrates the importance of converting the starch to a dextrin at a temperature above 300° F (150°C) in order to get long term viscosity stability.

Using the reaction vessel of Example 1, one hundred pounds of a white waxy corn starch is converted to a dextrin using 20.15 grams of hydrogen chloride gas. The pH of the contents was 2.43 and the starch had a moisture content of 10.3% by weight. The reaction was carried out for four hours. The temperature control on the cooker was set to go up to about 265°F (129°C), which it reached after one and one-half hours of cooking.

The viscosity of this product is reported below.

Table 3

<u>Day</u>	<u>Spindle</u>	<u>Viscosity (cps)</u>
0	3	2,930
5 1	3	3,510
2	3	3,550
7	4	6,890
14	5	8,140
21	5	9,200
10 29	5	10,100
34	5	9,800
42	5	10,080
49	5	10,500
56	5	9,980

15 The paste was prepared and tested in the same manner as in Examples 1 and 2 except three different spindles were used as indicated.

The color of the paste was light gold and the color remained the same throughout the test period. However,  
20 as noted, the viscosity did not remain stable over a long period of time. This lack of long term stability is due to the low conversion temperature.

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated integer or group of integers but not the exclusion of any other integer or group of integers.



THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

1. A process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and  
5 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to convert the white waxy corn starch to a dextrin.

2. A process according to claim 1 wherein the white waxy starch is treated with hydrochloric acid to adjust the pH of the starch to about 3.0 for roasting.  
10

3. A process according to claim 1 or claim 2 wherein the roasting is carried out at a temperature above about 300 °F (150 °C) to provide a dextrin which has long term viscosity stability.

15 4. A process according to any one of claims 1 to 3 wherein the roasting is carried out at a temperature of about 335 °F (168 °C) for a period of about 2 to 3 hours.

5. A white waxy dextrin produced by a process according to any one of claims  
20 1 to 4 and having a Brookfield viscosity from about 1000 to about 9000 cps.

6. A white waxy dextrin produced by a process according to any one of claims 1 to 4.

25 7. A white waxy corn dextrin produced by a process according to any one of claims 1 to 4 and having an aqueous solution capable of transmitting over 75% of light when measured spectrophotometrically at 728 mμ through a 1 cm cell in a 4% by weight test solution.

30 8. A process for manufacturing a white waxy corn starch dextrin comprising roasting a white waxy corn starch at a temperature between 200 °F (90 °C) and 350 °F (180 °C) at a pH of not over 3.5 for a period of about 2 to 10 hours to convert the white waxy corn starch to a dextrin which when added to water in an

amount of about 4% by weight produces a solution which has a transmittance from 60% to 85% of light when measured spectrophotometrically at 500 m $\mu$  through a 1 cm cell.

- 5 9. A process according to claim 8 wherein the roasting is carried out at a temperature above about 300°F (150°C) to provide a dextrin which has long term viscosity stability.
- 10 10. A process according to claim 8 or claim 9 wherein the roasting is carried out at a temperature of about 335°F (168°C) for a period of about 2 to 3 hours.
11. A white waxy corn dextrin produced by a process according to any one of claims 8 to 10.
- 15 12. A process according to claim 1 or claim 8 or a white waxy corn dextrin produced therefrom substantially as hereinbefore described with reference to any one of the examples.
- 20 DATED this 1st day of March, 1994.

AMERICAN MAIZE-PRODUCTS COMPANY

By Its Patent Attorneys

DAVIES COLLISON CAVE



INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/05060

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :C08B 30/18; C08L 3/02; C09D 103/02; C09J 103/02  
US CL :106/205,211, 127/33,71

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

NONE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US,A,642,329 (HIGGINS) 30 JANUARY 1900 See col. 2, lines 66-95.	1-5
Y	US,A, 2,115,157 (BULFER ET AL) 26 APRIL 1938 See example 1.	1-5.
Y	US,A, 3,527,606 (TAYLOR ET AL) 08 SEPTEMBER 1970 See abstract; column 2, lines 62-65; example 1.	1-5

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*&* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 24 AUGUST 1992	Date of mailing of the international search report OCT 1992
---	--

Name and mailing address of the ISA/  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

DAVID M. BRUNSMAN

Facsimile No. NOT APPLICABLE

Telephone No. (703) 308-0662