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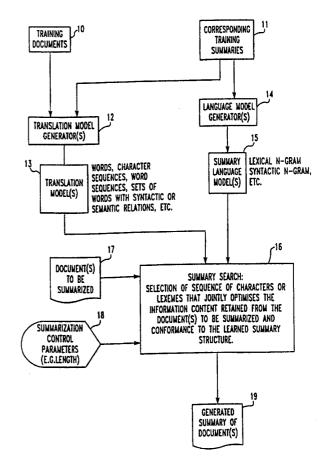
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# (54) Title: METHOD FOR PRODUCING SUMMARIES OF TEXT DOCUMENT

#### (57) Abstract

A computer method for preparing a summary string (19) from a source document of encoded text (17). The method comprises comparing a training set of encoded text documents (10) with manually generated summary strings (11) associated therewith to learn probabilities (13) that a given summary word or phrase will appear in summary strings (19) given a source word or phrase appears in encoded text documents (17) and constructing from the source document a summary string containing summary words or phrases (19) having the highest probabilities of appearing in a summary string (19) based on the learned probabilities established in the previous step.



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# METHOD FOR PRODUCING SUMMARIES OF TEXT DOCUMENT

#### BACKGROUND OF THE INVENTION

Extractive summarization is the process selecting and extracting text spans--usually whole sentences--from a source document. The extracts are then arranged in some order (usually the order as found in the source document) to form a summary. In this method, the quality of the summary is dependent on the scheme used to select the text spans from the source document. Most of the prior art uses a combination of lexical, frequency and 10 syntactic cues to select whole sentences for inclusion in the summary. Consequently, the summaries cannot be shorter than the shortest text span selected and cannot combine concepts from different text spans in a simple phrase or 15 statement. U.S. Patent No. 5,638,543 discloses selecting sentences for an extractive summary based on scoring sentences based on lexical items appearing in sentences. U.S. Patent No. 5,077,668 discloses alternative sentence scoring scheme based upon markers of 20 relevance such as hint words like "important", "significant" and "crucial". U.S. Patent No. 5,491,760 works on bitmap images of a page to identify key sentences based on the visual appearance of hint words. U.S. Patent Nos. 5,384,703 and 5,778,397 disclose selecting sentences 25 scored on the inclusion of the most frequently used nonstop words in the entire text.

In contrast to the large amount of work that has been undertaken in extractive summarization, there has been much less work on generative methods of summarization. A generative method of summarization selects words or phrases (not whole sentences) and generates a summary based upon the selected words or phrases. Early approaches to generative methods are discussed in the context of the FRUMP system. See DeJong, G.F., "An Overview of the FRUMP System", Strategies for Natural Language Processing, (Lawrence Erlbaum Associates, Hillsdale, NJ 1982). This system provides a set of templates for extracting information from news stories and presenting it in the form

of a summary. Neither the selection of content nor the generation of the summary is learned by the system. The selection templates are handcrafted for a particular application domain. Other generative systems are known.

5 However, none of these systems can: (a) learn rules, procedures, or templates for content selection and/or generation from a training set or (b) generate summaries that may be as short as a single noun phrase.

the method disclosed herein relates somewhat to
the prior art for statistically modeling of natural
language applied to language translation. U.S. Patent No.
5,510,981 describes a system that uses a translation model
describing correspondences between sets of words in a
source language and sets of words in a target language to
achieve natural language translation. This system proceeds
linearly through a document producing a rendering in the
target language of successive document text spans. It is
not directed to operate on the entire document to produce
a summary for the document.

## SUMMARY OF THE INVENTION

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As used herein, a "summary string" is a derivative representation of the source document which may, for example, comprise an abstract, key word summary, folder name, headline, file name or the like. Briefly, according to this invention, there is provided a computer method for generating a summary string from a source document of encoded text comprising the steps of:

- a) comparing a training set of encoded text documents with manually generated summary strings associated therewith to learn probabilities that a given summary word or phrase will appear in summary strings given that a source word or phrase appears in an encoded text document; and
- b) from the source document, generating a summary string containing a summary word, words, a phrase or phrases having the highest probabilities of appearing in a summary string based on the learned probabilities

established in the previous step. Preferably, the summary string contains the most probable summary word, words, phrase or phrases for a preselected number of words in the summary string.

In one embodiment, the training set of encoded manually generated summary strings is compared to learn the probability that a summary word or phrase appearing in a summary string will follow another summary word or phrase. Summary strings are generated containing the most probable sequence of words and/or phrases for a preselected number of words in the summary string.

In a preferred embodiment, the computer method, according to this invention, comprises comparing a training set of encoded text documents with manually generated summary strings associated therewith to learn the probabilities that a given summary word or phrase will appear in summary strings given a source word or phrase appears in the encoded text considering the context in which the source word or phrase appears in the encoded text documents. For example, the context in which the source words or phrases may be considered includes titles, headings, standard paragraphs, fonts, bolding, and/or italicizing.

In yet another preferred embodiment, the computer 25 method, according to this invention, further comprises learning multiple probabilities that a summary word or phrase will appear in a summary string given a source word or phrase appears in the encoded text and considering the various usages of the word or phrase in the encoded text, 30 for example, syntactic usages and semantic usages.

In a still further preferred embodiment, according to this invention, the step for comparing a training set of encoded manually generated summary strings takes into consideration external information in the form of queries, user models, past user interaction and other biases to optimize the form of the generated summary strings.

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#### BRIEF DESCRIPTION OF THE DRAWING

Further features and other objects and advantages will become clear from the following detailed description made with reference to the drawing which is a schematic diagram illustrating the processing of text to produce summaries.

### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to the drawing, a collection of representative documents are assembled at 10 and corresponding manually generated summaries are assembled at 11. These comprise a training set. They are encoded for computer processing and stored in computer memory. They may be preprocessed to add syntactic and semantic tags.

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The documents and summaries are processed in the translation model generator at 12 to build a translation 15 model 13 which is a file containing the probabilities that a word found in a summary will be found in the document. The translation model generator constructs a statistical model describing the relationship between the text units or the annotated text units in documents and the text units or 20 annotated text units used in the summaries of documents. The translation model is used to identify items in a source document 17 that can be used in summaries. These items may include words, parts of speech ascribed to words, semantic 25 tags applied to words, phrases with syntactic tags, phrases with semantic tags, syntactic or semantic relationships established between words or phrases in the document, structural information obtained from the document, such as positions of words or phrases, mark-up information obtained 30 from the document such as the existence of bold face or italics, or of headings or section numbers and so forth.

The summaries are processed by the language model generator 14 to produce a summary language model 15. The language model is a file containing the probabilities of each word or phrase found in the training set summaries following another word or phrase. The language model generator builds a statistical model describing the likely

order of appearance of text units or annotated text units in summaries. The headlines or summaries may be preprocessed to identify text items that can be used in determining the typical structure of summaries. These text items may include words, parts of speech ascribed to words, semantic tags applied to words, phrases, phrases with syntactic tags, syntactic or semantic relations established between words or phrases, structure information, such as positions of words or phrases in the summary, and so forth.

The translation model 13 and summary language model 15 along with a document 17 to be summarized and summarization control parameters 18 are supplied to the summary search engine 16 to select a sequence of items (characters or lexemes) that jointly optimize the information content extracted from the source document to be summarized. These are supplied to the summary generation engine 19 which generates the summary.

The following Table is an example document for explaining the practice of this invention:

20 <u>Table 1</u>

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"The U.N. Security Council on Monday was to address a dispute between U.N. chief weapons inspector Richard Butler and Iraq over which disarmament documents Baghdad must hand over.

Speaking in an interview with CNN on Sunday evening, Butler said that despite the latest dispute with Iraq, it was too soon to make a judgment that the Iraqis had broken last week's agreement to unconditionally resume cooperation with weapons inspector -- an agreement which narrowly averted air strikes by the United States and Britain."

Some possible headline/summaries for the document produced above are:

"Security Council to address Iraqi document dispute."
"Iraqi Weapons Inspections Dispute."

These summaries illustrate some of the reasoning required for summarization. The system must decide (1) what information to present in the summary, (2) how much detail to include in the summary or how long the summary can be, and (3) how best to phrase the information so that it seems coherent. The two summaries above illustrate some of the issues of length, content and emphasis.

The statistical models are produced by comparison of a variety of documents and summaries for those documents similar to those set forth above to learn for a variety of parameter settings, mechanisms for both (1) content selection for the most likely summaries of a particular length and (2) generating coherent English (or any other language) text to express the content. The learning for both content selection and summary generation may take place at a variety of conceptual levels ranging from characters, words, word sequences or n-grams, phrases, text spans and their associated syntactic and semantic tags. In this case, prior to the comparison, the texts in the training sets must be tagged.

Set forth in the following table is the text of Table 1 after being tagged with syntactic parts of speech using the LDC standard, e.g., DT: definite article, NNP: proper noun, JJ: adjective.

#### Table 2

The\_DT\_U.N.\_NNP Security NNP Council NNP on IN Monday\_NNP was VBD to\_TO address VB a NN dispute NN between\_IN U.N.\_NNP chief\_JJ weapons NNS inspector\_NN Richard NNP Butler\_NNP and CC Iraq NNP over\_IN which WDT disarmament NN documents NNS Baghdad\_NNP must NN hand NN over. CD NN NN NN Speaking\_VBG in\_IN an DT interview NN with IN CNN NNP on IN Sunday NNP evening, NNP Butler\_NNP 10 said\_VBD that\_IN despite IN the DT latest JJS dispute\_NN with\_IN Iraq, NNP it PRP was VBD too RB soon\_RB to\_VBP make VB a DT judgment NN that IN the\_DT Iraqis\_NNPS had\_VBD broken VBN last JJ week's\_NN agreement\_NN to TO unconditionally RB 15 resume VB cooperation NN with NN weapons NNS inspectors:\_NNS an\_DT agreement NN which WDT narrowly\_RB averted\_VBP airstrikes NNS by IN the DT United\_NNP States\_NNPS and\_CC Britain.\_NNP.

Set forth in the following table is the text of Table 1 after being tagged with semantic tags using the TIPSTER/MUC standards; NE: named entity, TE: temporal entity, LOC: location.

## Table 3

The [U.N. Security Council] -NE on [Monday] -TE was to address a dispute between [U.N.] -NE chief weapons inspector [Richard Butler] -NE and [Iraq] -NE over which disarmament documents [Baghdad] -NE must hand over.

Speaking in an interview with [CNN]-NE on [Sunday]TE evening, [Butler]-NE said that despite the latest
dispute with [Iraq]-NE, it was too soon to make a

10 judgment that the [Iraqis]-NE had broken last week's
agreement to unconditionally resume cooperation with
weapons inspectors -- an agreement which narrowly
averted airstrikes by the [United States]-NE and
[Britain]-NE.

15 The training set is used to relationship between the appearance of some features (text spans, labels, or other syntactic and semantic features of the document) in the document, and the appearance of features in the summary. This can be, in the simplest case, a mapping between the appearance of a word in the 20 document and the likelihood of the same or another word appearing in the summary.

The applicants used a training set of over twenty-five thousand documents that had associated 25 headlines or summaries. These documents were analyzed to ascertain the conditional probability of a word in a document given that the word appears in the headline. In the following table, the probabilities for words appearing in the text of Table 1 are set forth.

		Table 4
	Word	Conditional Probability
	Iraqi	0.4500
	Dispute	0.9977
5	Weapons	1.000
	Inspection	0.3223
	Butler	0.6641

The system making use of the translation model extracts words or phrases from the source text based upon the probability these or other words will appear in summaries.

The probability that certain subsets of words individually likely to appear in summaries will appear in combination can be calculated using Bayes theorem. Thus, the probability that the phrase "weapons inspection dispute", or any ordering thereof may be expressed simply: Pr("weapons" | "weapons" in document)

- \*Pr("inspection" | "inspection" in document)
- \*Pr("dispute" | "dispute" in document).

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- 20 Equivalently, this probability may be expressed:
  Log(Pr("weapons" | "weapons" in document))
  - + Log(Pr("inspection" | "inspection" in document))
  - + Log(Pr("dispute" | "dispute" in document)).

More involved models can express the relationship
25 among arbitrary subsets, including subsequences, of the
words in the document and subsets of candidate words that
may appear in the summary. The more involved models can
express relationships among linguistic characterizations of
subsets of terms in the document and summaries such as
30 parts-of-speech tags, or parse trees.

The more involved models may express relationships among these sets of terms and meta-information related to the document or the summary, such as length, derived statistics over terms (such as proportion

of verbs or nouns in the document, average sentence length, etc.), typographical information, such as typeface, formatting information, such as centering, paragraph breaks and so forth, and meta-information, such as provenance (author, publisher, date of publication, Dewey or other classification) recipient, reader, news group, media through which presented (web, book, magazine, TV chiron or caption).

One of the advantages in learning a content selection model is that the system can learn relationships between summary terms that are not in the document and terms that are in the document, and apply those relationships to new documents thereby introducing new terms in the summary.

15 Once a content selection model has been trained on the training set, conditional probabilities for the features that have been seen in the summaries can be computed. The summary structure generator makes use of these conditional probabilities to compute the most likely 20 summary candidates for particular parameters, such as length of summary. Since the probability of a word appearing in a summary can be considered to be independent of the structure of the summary, the overall probability of particular candidate summary can be computed multiplying the probabilities of the content in the summary 25 with the probability of that content expressed using a particular summary structure (e.g., length and/or word order).

Since there is no limitation on the types of relationships that can be expressed in the content selection model, variations on this invention can use appropriate training sets to produce a cross-lingual or even cross-media summary. For example, a table expressing the conditional probability that an English word should appear in a summary of a Japanese document could be used to simultaneously translate and summarize Japanese documents.

An inventory of spoken word forms, together with a concatenative synthesis algorithm and a table of conditional probabilities that speech segments would be used in a spoken summary of a particular document, could be used to generate spoken summaries. Similarly, corresponding video or other media could be chosen to represent the content of documents.

#### Example

For use in generating summaries, the probability of finding particular words in a summary is learned from the training set. For certain words appearing in the text set forth in Table 1, the learned probabilities are listed in the following table:

	<u>Table 5</u>				
15	<u>Word</u>	Log probability of word in Reuters headlines			
	Iraqi	-3.0852			
	Dispute	-1.0651			
	Weapons	-2.7098			
	Inspection	-2.8417			
20	Butler	-1.0038			

Also, for generating summaries, the probability of finding pairs of words in sequence in the training set summaries is learned. For certain words appearing in the text set forth in Table 1, the learned probabilities are listed in the following table:

#### Table 6

30	Word pair (word 1, word 2)	Log probability of word 2 given word 1
	Iraqi weapons	-0.7622
	Weapons inspection	-0.6543
	Inspection dispute	-1.4331

To calculate the desirability of a headline containing the sequence "Iraqi weapons inspection...", the system multiplies the likelihood of seeing the word "Iraqi" in a headline (see Table 5) by it being followed by "weapons" and that being followed by "inspection" (see Table 6). This may be expressed as follows:

Log(P("Iraqi"))+Log(P("weapons"|

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"Iraqi"))+Log(P("inspection" | "weapons")),

which, using the values in the tables, yields a log probability of -2.8496. Alternative sequences using the same words, such as "Iraqi dispute weapons", have probabilities that can be calculated similarly. In this case, the sequence "Iraqi dispute weapons" has not appeared in the training data, and is estimated using a back-off weight. A back-off weight is a very small but non-zero weight or assigned probability for words not appearing in the training set.

These calculations can be extended to take into account the likelihood of semantic and syntactic tags both 20 at the word or phrase level, or can be carried out with respect to textual spans from characters on up. The calculations can also be generalized to use estimates of the desirability of sequences of more than two text spans (for example, tri-gram (three-word sequence) probabilities 25 may be used).

Other measures of the desirability of word sequences can be used. For example, the output of a neural network trained to evaluate the desirability of a sequence containing certain words and tags could be substituted for the log probabilities used in the preceding explanation. Moreover, other combination functions for these measures could be used rather than multiplication of probabilities or addition of log probabilities.

In general, the summary generator comprises any 35 function for combining any form of estimate of the desirability of the whole summary under consideration such

that this overall estimate can be used to make a comparison between a plurality of possible summaries.

Even though the search engine and summary generator have been presented as two separate processes, there is no reason for these to be separate.

In the case of the phrase discussed above, the overall weighting used in ranking can, as one possibility, be obtained as a weighted combination of the content and structure model log probabilities.

Beta\*(Log(Pr("Iraqi" | start\_of\_sentence))+Log(Pr((weapons" |
"Iraqi"))+Log(Pr("inspection" | "weapons"))).

Using a combination of content selection models, language models of user needs and preferences, and summary parameters, a plurality of possible summaries, together with estimates of their desirability, is generated. These summaries are ranked in order of estimated desirability, and the most highly ranked summary or summaries are

produced as the output of the system.

Depending on the nature of the language, translation and other models, heuristic means may be employed to permit the generation and ranking of only a subset of the possible summary candidates in order to render the summarization process computationally tractable. In the first implementation of the system, Viterbi beam search was used to greatly limit the number of candidates produced. The beam search makes assumptions regarding the best possible word in at the front position of a summary and in consideration of the next position will not undo the assumption concerning the first position. Other search techniques, such as A\* or IDA\*, SMA\*, may be employed to comply with particular algorithmic or resource limitations.

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An example of the results of commanding the search to output the most highly ranked candidate for a

variety of values of the summary length control parameter is set forth in the following table.

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	Number of Words	String
5	1	Iraq
	2	United States
	3	Iraq on Weapons
	4	United States on Iraq
	5	United States in latest week
10	6	United States in latest week on Iraq
	7	United States on security cooperation
		in latest week

The following computer code appendix contains code in the Java language to implement this invention. 15 UltraSummarise class is the main function that makes a summarizer object, loads a story, creates a search object and uses the Vocabulary class and story to produce a summary. The ViteriSearch class defines the meat of the It takes the LanguageModel class, operation. TranslationModel class and the story and searches for strings having the highest probability of being used in a summary for the story. The LanguageModel class reads in a file which is a model for summaries containing the probabilities of each word following another. The TranslationModel class reads in a file containing the probabilities that a word will appear in a summary given words in the story. The Story class reads in the story. The Vocabulary class reads in a file that turns words into numbers.

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30 Those skilled in the computer programming arts could implement the invention described herein in a number of computer programming languages. It would not be necessary to use an object oriented programming language such as Java.

#### COMPUTER CODE APPENDIX

The following code in the Java language was written to implement the invention described above. The UltraSummarise class is the main function that makes a summarizer object, loads a story, creates a search object and uses the Vocabulary class and search object to produce a summary. The ViterbiSearch class defines the meat of the operation. It takes the LanguageModel class, the TranslationModel class and the story and searches for strings having the highest probability of being used in a summary for the story. The LanguageModel class reads in a file which is a model for summaries containing the probabilities of each word following another word in a summary. The TranslationModel class reads in a file containing the probabilities that a word will appear in a summary given words in the story. The Story class reads in the story. The Vocabulary class reads in a file that turns words into numbers.

```
import java.util.Date;
import LanguageModel;
import TranslationModel;
import Story;
import Vocabulary;
import ViterbiSearch;
final public class UltraSummarise
 final static int MAX N LEXEMES = 40000;
 final static int MAX N BIGRAMS = 400000;
 LanguageModel LM;
 TranslationModel TRM;
 Vocabulary Vcb;
 boolean myboredom=true;
 String sty1.sty2;
 public UltraSummarise (String [] args) throws Exception
   if (args.length >3) {
                          myboredom=true;
   Vcb=new Vocabulary(args[0],MAX_N_LEXEMES); //name,maxnlexemes
   LM=new LanguageModel(args[0], MAX_N_LEXEMES, MAX_N_BIGRAMS); // name,
maxnlexemes, maxnbigrams
   TRM=new TranslationModel(args[0], MAX_N_LEXEMES); // name, maxnlexemes
   sty1=args[1]; sty2=args[2];
 public void Run() throws Exception
  Story
            Sty;
  ViterbiSearch Search:
```

```
Sty=new Story(sty1, Vcb,MAX N LEXEMES); // storyname, maxnleximes
  Search= new ViterbiSearch(myboredom, Vcb, LM, TRM);
  Search.produceStringSummary(Sty,15);
 public static void main (String [] args) throws Exception
    System.out.println(new Date());
   if (args.length < 2) {
       System.err.println("Usage java UltraSummarise corpusname story-file <bored>");
       System.exit(1);
   UltraSummarise Ult=new UltraSummarise(args);
   Ult.Run();
   System.out.println(new Date());
   System.exit (0);
  }
}
import java.util.Hashtable;
import java.io.*;
import Vocabulary;
final public class LanguageModel {
 int MAX_N LEXEMES;
 int MAX LEX BIGRAMS;
// this is ugly, but it's not straightforward to avoid,
// since the bigram file doesn't start with a count. Later, perhaps force it to.
 final static boolean verbose_debug=false;
 int lastlexicalbigram;
int lastlexicalunigram;
 float [] lexicalunigramprobs;
float [] lexicalunigrambackoffs;
float [] lexicalbigramprobs;
final float NOT A LOG PROB = 5.0F; // n:log(n)==5 is not a probability
String corpusname;
```

```
Hashtable bigram hashtable;
 int bigram hashtable last element = 0; //used by bigram_index below
static char [] mycharacters = {
  'A','B','C','D','E','F','G','H','I','J',
  'K','L','M','N','O','P','Q','R','S','T',
  'U','V','W','X','Y','Z','a','b','c','d',
  'e','f','g','h','i','j','k','l','m','n',
  'o','p','q','r','s','t','u','v','w','x',
 'y','z'
};
static int range = mycharacters.length;
static StringBuffer keyspace = new StringBuffer("");
public LanguageModel (String setcorpusname, int MAX_N_LEXEMES,
                     int MAX_LEX_BIGRAMS) throws Exception
   corpusname = new String (setcorpusname);
   lexicalunigramprobs = new float [MAX_N_LEXEMES];
   lexicalunigrambackoffs = new float [MAX_N_LEXEMES];
   lexicalbigramprobs = new float [MAX_LEX_BIGRAMS];
  bigram_hashtable = new Hashtable(MAX_LEX_BIGRAMS);
  System.out.println("Reading LM "+corpusname);
  readLM();
 }
public String getCorpusName()
 {
  return new String(corpusname);
 }
// convert two bigram index elements into strings and store them into a hash table,
// look them up later
int bigram_index (int word1, int word2, boolean create_p) throws Exception {
 String mytempstring = null;
 int index;
 keyspace.setLength(0);
 index = word1;
 while (index>0) {
  keyspace.append(mycharacters[index % range]);
  index /= range;
 }
```

```
keyspace.append('');
 index = word2;
 while (index>0) {
   keyspace.append(mycharacters[index % range]);
   index /= range;
 if (\text{keyspace.length}() >= 1024)
   throw new Exception("something wrong with indices to bigram index");
 mytempstring=keyspace.toString();
      System.out.print(mytempstring+",");
 if (! (bigram hashtable.containsKey(mytempstring))){
  if (create p) {
      bigram hashtable.put(mytempstring,
                        new Integer(bigram hashtable last element++));
      if (verbose debug) System.out.println("Put hash entry for ["+mytempstring+
                                       "] ="+word1+","+word2);
  }
  else {
      if (verbose_debug) System.out.println("no hash entry for ["+mytempstring+
                                       "] ="+word1+","+word2);
      return -1;
  }
 }
 return ((Integer)(bigram hashtable.get(mytempstring))).intValue();
}
void readLM() throws Exception
 {
  // read bigrams
  try {
      StreamTokenizer BigramFile =
       new StreamTokenizer(new BufferedReader
                        (new InputStreamReader
                         (new FileInputStream(corpusname+".biprobs"))));
      // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
      BigramFile.wordChars(0x0021,0x007e); // basically all the characters
      // that could concievably be in a word in English
      int last bi = -1;
```

```
while (BigramFile.nextToken() != BigramFile.TT_EOF){
     if (BigramFile.ttype != BigramFile.TT_NUMBER){
      System.out.println(" Non number ["+BigramFile.sval+"] in "
                      +corpusname+".biprobs");
      System.exit(1);
     int w1=(int)BigramFile.nval;
     if (BigramFile.nextToken() == BigramFile.TT_EOF){
      System.out.println(" Number "+w1+" without second number in "
                      +corpusname+".biprobs");
      System.exit(1);
     if (BigramFile.ttype != BigramFile.TT NUMBER){
      System.out.println(" Non number where second number expected ["
                      +BigramFile.sval+"] in "+corpusname+".biprobs");
      System.exit(1);
     int w2=(int)BigramFile.nval;
     if (BigramFile.nextToken() == BigramFile.TT_EOF){
      System.out.println(" Numbers "+w1+","+w2+" without probability in "
                      +corpusname+".biprobs");
      System.exit(1);
     if (BigramFile.ttype != BigramFile.TT_NUMBER){
      System.out.println(" Non word in "+corpusname+".biprobs");
      System.exit(1);
     float prob = (float)BigramFile.nval;
    if (verbose_debug)System.out.println((w1)+","+w2+" => "+prob);
    int bi = bigram index(w1,w2,true);
    if (bi < last bi) {
      System.err.println("Got duplicate "+w1+","+w2+"both mappeed to "+bi);
    }
    last bi=bi;
    lexicalbigramprobs[bi]=prob;
    lastlexicalbigram++;
catch (java.io.FileNotFoundException e)
    System.out.println(" Couldn't open "+corpusname+".biprobs");
    System.exit(1);
```

```
catch (java.io.IOException e)
     System.out.println(" Problem reading "+corpusname+".biprobs");
     System.exit(1);
System.out.println(lastlexicalbigram+" bigrams read");
// read unigrams
try {
    lastlexicalunigram=0;
    StreamTokenizer UnigramFile =
     new StreamTokenizer(new BufferedReader
                      (new InputStreamReader
                      (new FileInputStream(corpusname+".uniprobs"))));
    // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
    UnigramFile.wordChars(0x0021,0x007e); // basically all the characters
   // that could concievably be in a word in English
    while (UnigramFile.nextToken() != UnigramFile.TT_EOF){
     if (UnigramFile.ttype != UnigramFile.TT_NUMBER){
      System.out.println(" Non number ["+UnigramFile.sval+"] in "
                      +corpusname+".uniprobs");
      System.exit(1);
     int w1=(int)UnigramFile.nval;
     if (UnigramFile.nextToken() = UnigramFile.TT_EOF){
      System.out.println(" Numbers "+w1+" without probability in "
                      +corpusname+".uniprobs");
      System.exit(1);
     if (UnigramFile.ttype != UnigramFile.TT_NUMBER){
      System.out.println(" Non word in "+corpusname+".uniprobs");
      System.exit(1);
     float prob = (float)UnigramFile.nval;
    if (verbose debug) System.out.println((w1)+" => "+prob);
    lexicalunigramprobs[w1]=prob;
    lastlexicalunigram++;
catch (java.io.FileNotFoundException e)
```

```
System.out.println(" Couldn't open "-corpusname-".uniprobs");
     System.exit(1);
catch (java.io.IOException e)
     System.out.println(" Problem reading "+corpusname+".uniprobs");
     System.exit(1);
System.out.println(lastlexicalunigram+" unigrams read");
// read unigrambackoffs
try {
   int lastuniback=0;
   StreamTokenizer UnibackFile =
    new StreamTokenizer(new BufferedReader
                     (new InputStreamReader
                      (new FileInputStream(corpusname+".unibackoff"))));
   // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
   UnibackFile.wordChars(0x0021,0x007e); // basically all the characters
   // that could concievably be in a word in English
   while (UnibackFile.nextToken() != UnibackFile.TT_EOF){
    if (UnibackFile.ttype != UnibackFile.TT_NUMBER){
      System.out.println(" Non number ["+UnibackFile.sval+"] in "
                      +corpusname+".unibackoff");
     System.exit(1);
    int w1=(int)UnibackFile.nval;
    if (UnibackFile.nextToken() = UnibackFile.TT_EOF){
     System.out.println(" Number "+w1+" without probability in "
                     +corpusname+".unibackoff");
     System.exit(1);
    if (UnibackFile.ttype != UnibackFile.TT_NUMBER){
     System.out.println(" Non word in "+corpusname+".unibackoff");
     System.exit(1);
    float prob = (float)UnibackFile.nval;
    if (verbose debug) System.out.println((w1)+" => "+prob);
    lexicalunigrambackoffs[w1]=prob;
    lastuniback++;
```

```
System.out.println(lastuniback+" unigrams backoffs read");
    catch (java.io.FileNotFoundException e)
         System.out.println(" Couldn't open "+corpusname+".unibackoff");
         System.exit(1);
    catch (java.io.IOException e)
        System.out.println(" Problem reading "+corpusname+".unibackoff");
        System.exit(1);
       }
 float bigram probability(int word1, int word2) throws Exception
  int bi index = bigram index (word1, word2, false);
  if (bi index = -1)
    return NOT A LOG PROB;
  else return lexicalbigramprobs[bi index];
 float backoff weight(int w) {return lexicalunigrambackoffs[w];}
 float unigram probability(int w) {return lexicalunigrambackoffs[w];}
 public float probability(int w1, int w2) throws Exception
   //p(wd2|wd1) = if(bigram exists) p_2(wd1,wd2)
                       bo wt 1(wd1)*p 1(wd2)
           else
   float bigram prob=bigram probability(w1,w2);
   if (!(NOT_A_LOG_PROB == bigram_prob)){
       //System.out.println(w1+" "+w2+" bigram " +(bigram prob));
       return bigram prob;
   } else {
       //System.out.println(w1+" "+w2+" backoff "
+(backoff weight(w1)+unigram probability(w2)));
      return
        // -100000.0F;
        (backoff weight(w1)+unigram probability(w2))*3; // make backoff rather undesirable
```

```
}
import java.io.*;
final public class TranslationModel {
 float [] lexicaltranslationprobs;
 String corpusname;
 static boolean verbose_debug = false;
 public TranslationModel(String ThisCorpusName, int MaxNLexemes) {
  lexicaltranslationprobs
                            = new float [MaxNLexemes];
  corpusname=ThisCorpusName;
  System.out.println("Reading Translation Model "+corpusname+".numtrprobs");
  readTrModel();
 }
 public float probability(int w1)
   return lexicaltranslationprobs[w1]; //log 1
  }
 void readTrModel() {
  try {
   int i=0:
   StreamTokenizer TransFile =
       new StreamTokenizer(new BufferedReader
                        (new InputStreamReader
                        (new FileInputStream(corpusname+".numtrprobs")));
   // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
   TransFile.wordChars(0x0021,0x007e); // basically all the characters
   // that could concievably be in a word in English
   while (TransFile.nextToken() != TransFile.TT_EOF){
       if (TransFile.ttype != TransFile.TT_NUMBER){
        System.out.println(" Non number ["+TransFile.sval+"] in
"+corpusname+".numtrprobs");
```

```
System.exit(1);
        int index=(int)TransFile.nval;
        if (TransFile.nextToken() == TransFile.TT_EOF){
         System.out.println(" Number "+index+" without string in "
                         +corpusname+".numtrprobs");
         System.exit(1);
        if (TransFile.ttype != TransFile.TT_NUMBER){
         System.out.println(" Non float in "+corpusname+".numtrprobs");
         System.exit(1);
        lexicaltranslationprobs[index]=(float)TransFile.nval;
       if (verbose debug) System.out.println((index)+ " => "+( lexicaltranslationprobs[index]));
        i++;
    }
    System.out.println((i)+" translation probs read");
   catch (java.io.FileNotFoundException e)
       System.out.println(" Couldn't open "+corpusname+".numtrprobs");
       System.exit(1);
    }
   catch (java.io.IOException e)
       System.out.println(" Problem reading "+corpusname+".numtrprobs");
       System.exit(1);
    }
}
import java.util.Date;
import java.io.*;
import Vocabulary;
public class Story {
 final static boolean verbose debug=false;
```

```
static String storyvocabname;
 private int n unique lexemes;
 private int [] unique lexemes;
 private int [] lexeme used;
 public Story (String storyname, Vocabulary Vocab, int MAX N LEXEMES) {
  storyvocabname=storyname;
  unique_lexemes = new int [MAX N LEXEMES];
  lexeme_used = new int [MAX N LEXEMES];
 // use plain initVocab() if you have numeric stories
  initVocabFromTextFile(Vocab);
 int termCount(){
  return n unique lexemes;
 int term(int i){
  return unique_lexemes[i-1];
 void initVocabFromTextFile(Vocabulary Vocab) {
  // Read the current story vocab
  try {
   n_unique lexemes=0;
   StreamTokenizer VCBFile =
       new StreamTokenizer(new BufferedReader
                       (new InputStreamReader
                       (new FileInputStream(storyvocabname))));
   while (VCBFile.nextToken() != VCBFile.TT EOF){
       String tok;
       if (VCBFile.ttype = VCBFile.TT NUMBER){
        tok=(String.valueOf(VCBFile.nval)).toLowerCase();
       }else if (VCBFile.ttype == VCBFile.TT_WORD){
        tok=VCBFile.sval.toLowerCase().replace('.','').replace('"','').replace(',','').trim();
       }else {
        //
               System.out.println("Story.java Found funny thing in "+storyvocabname+" type
"+VCBFile.ttype);
        tok="<unknown>":
        continue;
       }
      //
              System.out.println(" "+tok+" "+Vocab.toIndex(tok));
```

```
if(Vocab.toIndex(tok) \ge 0){
       if (0 == lexeme used[Vocab.toIndex(tok)]) {
        unique_lexemes[n_unique_lexemes++]=Vocab.toIndex(tok);
        lexeme used[Vocab.toIndex(tok)]+--;
       }
      }
      if (verbose debug)
       System.out.println((n unique lexemes-1)+
                       "-> "+(unique lexemes[n_unique lexemes-1]));
  }
  //
       n_unique lexemes --; // Undo extra increment
  System.out.println("Using vocabulary of "+(n_unique_lexemes)+" words");
 catch (java.io.FileNotFoundException e)
      System.out.println(" Couldn't open "+storyvocabname);
      System.exit(1);
 catch (java.io.IOException e)
  {
      System.out.println(" Problem reading "+storyvocabname);
      System.exit(1);
}
void initVocab() {
 // Read the current story vocab token indices
 try {
 n_unique_lexemes=0;
  StreamTokenizer VCBFile =
     new StreamTokenizer(new BufferedReader
                      (new InputStreamReader
                       (new FileInputStream(storyvocabname))));
  while (VCBFile.nextToken() != VCBFile.TT_EOF){
     if (VCBFile.ttype != VCBFile.TT_NUMBER){
       System.out.println(" Non number in "+storyvocabname);
       System.exit(1);
     unique_lexemes[n_unique_lexemes++]=(int)VCBFile.nval;
     if (verbose debug)
       System.out.println((n_unique_lexemes-1)+
                      "-> "+(unique lexemes[n_unique lexemes-1]));
```

```
n_unique_lexemes --; // Undo extra increment
    System.out.println("Using vocabulary of "+(n unique lexemes+1)+" words");
   catch (java.io.FileNotFoundException e)
       System.out.println(" Couldn't open "+storyvocabname);
       System.exit(1);
   catch (java.io.IOException e)
       System.out.println(" Problem reading "+storyvocabname);
       System.exit(1);
    }
 }
 public static void main (String [] args) throws Exception {
  int MAX_N_LEXEMES = 100000;
  Vocabulary Vocab;
  Story CurrentStory;
  System.out.println(new Date());
  if (args.length < 1) {
   System.err.println("Usage Story corpusname textualstory");
   System.exit(1);
  Vocab=new Vocabulary(args[0],MAX N_LEXEMES); //name,maxnlexemes
  CurrentStory=new Story(args[1],Vocab, MAX_N_LEXEMES);
  System.out.println(new Date());
  System.exit (0);
}
import java.util.Hashtable;
import java.io.*:
/* The vocabulary used by the search, It has a method
 It provides mehods that convert the lexical items used by the language model
 into strings for output*/
public class Vocabulary {
 final static boolean verbose debug = false;
 String [] vocab words;
```

```
Hashtable vocab_index:
 int start sent;
 int end sent;
 public Vocabulary (String corpusname, int MAX N LEXEMES) {
  vocab words = new String [MAX N LEXEMES];
  vocab_index = new Hashtable(MAX N LEXEMES);
  // Read the current story vocab names
  try {
   StreamTokenizer VCBFile =
       new StreamTokenizer(new BufferedReader
                       (new InputStreamReader
                        (new FileInputStream(corpusname+".numvocab"))));
   // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
   VCBFile.wordChars(0x0021,0x007e); // basically all the characters
   // that could concievably be in a word in English
   while (VCBFile.nextToken() != VCBFile.TT_EOF){
       if (VCBFile.ttype != VCBFile.TT NUMBER){
        System.out.println(" Non number ["+VCBFile.sval+"] in "+corpusname+".numvocab
"+VCBFile.lineno());
        System.exit(1);
       int index=(int)VCBFile.nval;
       if (VCBFile.nextToken() == VCBFile.TT_EOF){
        System.out.println(" Number "+index+" without string in "
+corpusname+".numvocab "+VCBFile.lineno());
        System.exit(1);
      // read in string that has been quoted by the massage program,
      // and strip the quotes off (java kind of rules compared to c)
       vocab words[index]=VCBFile.sval.replace("",' ').trim().toLowerCase();
      if (vocab_index.containsKey(vocab_words[index])){
     System.out.println("Repeated Vocab term "+vocab_words[index]+" at index "+ index);
       } else {
        vocab index.put(vocab words[index],new Integer(index));
      if (verbose debug) System.out.println((index)+ " => "+( vocab words[index]));
   }
  catch (java.io.FileNotFoundException e)
```

```
System.out.println(" Couldn't open "-corpusname+".numvocab");
        System.exit(1);
   catch (java.io.IOException e)
        System.out.println(" Problem reading "+corpusname+".numvocab");
        System.exit(1);
   // read sentence start and end markers
   try {
    StreamTokenizer StartStopFile =
       new StreamTokenizer(new BufferedReader
                        (new InputStreamReader
                         (new FileInputStream(corpusname+".startend"))));
   // see http://charts.unicode.org/Unicode.charts/glyphless/U0000.html
    StartStopFile.wordChars(0x0021,0x007e); // basically all the characters
   // that could concievably be in a word in English
    while (StartStopFile.nextToken() != StartStopFile.TT EOF){
       if (StartStopFile.ttype != StartStopFile.TT NUMBER){
        System.out.println(" Non number ["+StartStopFile.sval+"] in "
+corpusname+".startend");
        System.exit(1);
       }
       start_sent=(int)StartStopFile.nval;
       if (StartStopFile.nextToken() == StartStopFile.TT_EOF){
        System.out.println(" Number "+start_sent+" without second in "
+corpusname+".startend");
        System.exit(1);
       if (StartStopFile.ttype != StartStopFile.TT NUMBER){
        System.out.println(" Non word in "+corpusname+".startend");
        System.exit(1);
       end_sent = (int)StartStopFile.nval;
   }
  catch (java.io.FileNotFoundException e)
       System.out.println(" Couldn't open "+corpusname+".startend");
       System.exit(1);
  catch (java.io.IOException e)
```

```
{
        System.out.println(" Problem reading "-corpusname-".startend");
        System.exit(1);
    }
   }
 public int startsenttoken (){
  return start_sent;
 public int endsenttoken (){
  return end sent;
 public String toString(int i){
  return vocab_words[i];
 public int toIndex(String s){
  if (vocab_index.containsKey(s)){
  return ((Integer)(vocab index.get(s))).intValue();
  } else return(-1);
}
/* Need to make this general, so that the probabilities for each
  transition come from an externally supplied method */
import java.util.Date;
import java.io.*;
import QSortAlgorithm;
import Vocabulary;
public class ViterbiSearch
 final static int MAX_N_SENSES = 40000;
 final static int MAX SENT LEN = 10;
 final public static float LOG BOREDOM_DISCOUNT = -100.0F;
 final static float beam_width = 3.0F;
 final static int MIN BEAM SIZE = 20;
```

```
}
void setUpSearchStates (Story Sty){
 n current states=0;
 System.out.println ("Viterbi Search using story with "+(Sty.termCount())+" words");
 curr_start_sent=0;
 SetSearch_state(curr_start_sent,Vocab.startsenttoken());
 curr_end_sent=Sty.termCount()+1;
 SetSearch state(curr end sent, Vocab.endsenttoken());
 for (i=1; i \le Sty.termCount(); i ++)
  SetSearch state(i,Sty.term(i));
}
void SetSearch state(int state, int value){
 search states[state]=value;
 // System.out.println("State:"+state+" word:"+value);
 state to lexeme[state]=value;
 n_current states=java.lang.Math.max(n current states,state+1);
* The following code section does the
* actual viterbi search
// change these later to dynamic size, since easy in java
int [][] backpointers
 = new int [MAX SENT LEN][MAX N SENSES];
// only actually need 2 slices, but this is clearer
float [][] scores
 = new float [MAX_SENT_LEN][MAX_N_SENSES];
int [] currentscoreindices = new int [MAX N SENSES];
float [] currentscores = new float [MAX N SENSES];
void backtrack(int pos in sent, int from state)
  if (pos_in_sent>=0)
```

```
backtrack(pos in sent-1,backpointers[pos in sent][from state]);
    System.out.print(Vocab.toString(search states[from_state])+" ");//+"("+from state+"
"+search states[from state]+")");
 // This backtracks through the current path to date,
 // discouraging the selection of words already in the path
 // -- multiple occurrances are multiply discouraging.
 // This is not a terribly good idea, since it can't undo decisions earlier on,
 // it may pick a non optimal repetition disallowing path
 float discount by boredom level(float bored probability,
                              int pos in sent, int from word,
                              int word to match){
  if (pos in sent>=0) \{
   if (from_word==word_to_match) {
              System.out.print("BORED!"+word_to_match);
       bored probability += LOG BOREDOM_DISCOUNT;
   bored_probability=
       discount by boredom level(bored_probability,
                              pos in sent-1,
                              backpointers[pos in sent][from word],
                              word to match);
  return bored_probability;
 }
 void dump()
   int word in sent;
   int this vocab;
   for (word in sent=0; word in sent<MAX_SENT_LEN; word_in_sent++){
       System.out.println (word in sent);
       for (this vocab = 0; this vocab < n_current_states; this_vocab++){
        System.out.println(this vocab+"<-"+backpointers[word_in_sent][this_vocab]+"
"+scores[word in sent][this vocab]);
       System.out.println("\n");
   }
  }
```

```
public void produceStringSummary (Story Sty, int length) throws Exception {
     setUpSearchStates(Sty);
     doSearch();
   void doSearch() throws Exception {
     // System.out.println("doSearch ncurrentstates is "+n_current_states+"\n curr_start_Sent=
"+Vocab.toString(curr start sent)+" ("+curr start sent+")"+"curr end Sent=
"+Vocab.toString(curr end sent)+" ("+curr_end_sent+")");
     // first state probabilities are transitions out of <s>
      for (int this state = 0; this state < n_current_states; this_state++){
        backpointers[0][this state]=curr start sent;
        scores[0][this state]
                =LMod.probability(search states[curr start_sent],
                                                search states[this state])
                +TrMod.probability(search states[this state]);
       // Beam search
       currentscores[this state]=scores[0][this state];
       currentscoreindices[this state]=this state;
     // Beam search
     quicksort.sort(currentscores, currentscoreindices);
     for (int word in sent=1; word in sent<MAX_SENT_LEN; word_in_sent++){
       int best state=curr start sent;
        float best score=-100000.0F;
       // Beam search - don't consider states with log probs (beam width) times smaller
       // than best score
       int current beam = 0;
       for (int from state i = 0; from state i < n current states; from state i + +) {
                                System.out.println("From state i:"+from state i+" n current states:"+
                                    n current states+" Current
Scores["+((n_current_states-1)-from_state_i)+"]:"+(currentscores[(n_current_states-1)-from_state
e i])+" Current Scores
indices["+((n_current states-1)-from_state_i)+"]:"+(currentscoreindices[(n_current states-1)-from_state_i)+"]:"+(current states-1)-from_state_i)+"+(current state_i)+"+(current state_i)+
m state i]));
               if ((from state i >=MIN BEAM SIZE) &&
                     (currentscores[(n current states-1)-from state i]
                     < (currentscores[n current states-1] * beam_width)))
                  break;
                current beam=from state i;
        }
```

```
for (int this_state = 0; this_state < n current_states; this_state \leftrightarrow)
   float max score= -1000000.0F;
   int current_back=curr start sent;
   for (int from state i = 0; from state i \le current beam; from state <math>i + i)
    float test score:
    int from state = currentscoreindices[(n current states-1)-from state i];
            System.out.println("state "+this state+" from i:"+from state i+" from:"+
    //
                      from state);
    // Never repeat a state immediately, or make a transition out of EOS
    if ((from state = this state)
       (from state == curr end_sent))
     continue:
    if ((word in sent > 1) && (from state = curr_start_sent))
     continue;
    test score=scores[word in sent-1][from state]
     +LMod.probability(search states[from state], search states[this state]);
    if (test score > max score) {
     current back=from state:
     max score=test score;
    }
   float bored probability=TrMod.probability(search states[this state]);
   if (boredom)
    bored probability=
     discount by boredom level(bored probability,
                             word in sent-1, current_back, this_state);
   scores[word in sent][this state]=max score+bored_probability;
   // Save scores for sorting, so can do beam search
   currentscores[this state]=max score+bored probability;
   currentscoreindices[this state]=this state;
   backpointers[word in sent][this state]=current back;
   // we need to check if the best state now is end of sent, and stop if so
   if (scores[word in sent][this state] > best score){
    best score = scores[word in sent][this state];
    best state = this state;
   }
```

```
}
// Beam Search
quicksort.sort(currentscores, currentscoreindices);

System.out.print(word_in_sent+":");
if (best_state == curr_end_sent) System.out.print ("* ");
backtrack(word_in_sent, curr_end_sent);
System.out.println(" "+scores[word_in_sent][curr_end_sent]+" Beam "+(current_beam+1));
}
// dump();
}
// dump();
}
```

As used in the following claims, a "summary string" is a derivative representation of the source document which may, for example, comprise an abstract, key word summary, folder name, headline, file name or the like.

Having thus defined our invention in the detail and particularity required by the Patent Laws, what is desired to be protected by Letters Patent is set forth in the following claims.

#### WHAT IS CLAIMED IS:

5

1. A computer method for preparing a summary string from a source document of encoded text, the method comprising the steps of:

- a) comparing a training set of encoded text documents with manually generated summary strings associated therewith to learn probabilities that a given summary word or phrase will appear in summary strings given a source word or phrase appears in an encoded text document; and
- b) constructing from the source document a summary string containing summary words or phrases having the highest probabilities of appearing in a summary string based on the learned probabilities established in the previous step.
  - 2. The computer method according to claim 1, comprising constructing a summary string containing the most probable summary word, words, phrase or phrases for a preselected number of words or phrases in the summary string.
  - 3. The computer method according to claim 2, comprising comparing the training set of encoded text documents with manually generated summary strings to learn the probability that a summary word or phrase appearing in a summary string will follow another summary word or phrase and constructing a summary string containing the most probable word or sequence of words and/or phrases for a preselected number of words in the summary string.
  - 4. The computer method according to claim 1, comprising comparing a corpus of encoded text documents with manually generated summary strings associated therewith to learn the probabilities that a given summary word or phrase will appear in summary strings given a source word or phrase appears in the encoded text considering the context in which the source word or phrase appears in the encoded text documents.

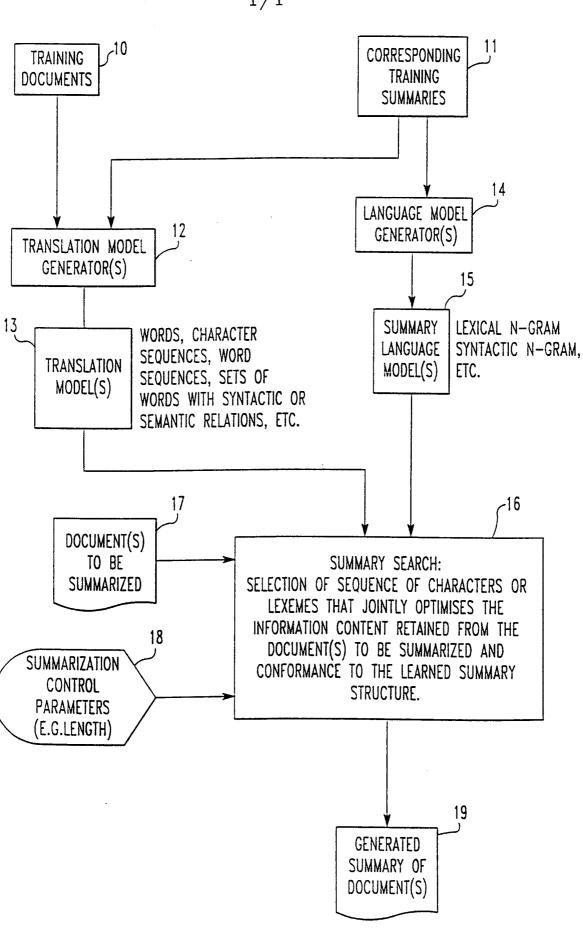
5. The computer method according to claim 4, wherein the contexts in which the source words or phrases are considered include titles, headings and standard paragraphs.

- 6. The computer method according to claim 4, wherein the contexts in which the source words or phrases are considered include fonts, bolding and italicizing.
- 7. The computer method according to claim 4, further comprising learning multiple probabilities that a summary word or phrase will appear in a summary string given a source word or phrase appears in the encoded text considering the various usages of the word or phrase in the encoded text.
  - 8. The computer method according to claim 7, wherein the usages in which the source words are considered are syntactic usages.
  - 9. The computer method according to claim 8, wherein the syntactic usages include the word or phases part of speech.
  - 10. The computer method according to claim 7, wherein the usages in which the source words or phrases are considered are semantic usages.
  - 11. The computer method according to claim 10, wherein the usages in which source words or phrases are considered include usage categories selected from the TIPSTER/MUC standards.
- 12. The computer method according to claim 10, wherein the usages in which source words or phrases are considered include usage categories selected from the group AGENT, CIRCUMSTANCE, CIRCUMSTANCE/TEMPORAL, 5 COMMUNICATIVE ACTION and OBJECT.

13. The computer method according to claim 4, wherein the step for comparing a corpus of encoded text documents with manually generated summary strings takes into consideration external information in the form of queries, user models, past user interaction and other biases to optimize the form of the summary strings constructed in the summary constructing step.

14. The computer method according to claim 1, comprising producing summaries in a different language from the source document by using a training set of an encoded text document in one language with manual summaries in another language.





# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/00268

A. CLASSIFICATION OF SUBJECT MATTER					
US CL: 704/1, 9, 10; 707/530, 531, 532 According to International Patent Classification (IPC) or to both national classification and IPC					
	ocumentation searched (classification system follows	ed by classification symbols)			
U.S. :	704/1, 9, 10; 707/530, 531, 532	,			
Documentat	ion searched other than minimum documentation to th	e extent that such documents are included	l in the fields searched		
	ata base consulted during the international search (r	name of data base and, where practicable	e, search terms used)		
Please Sec	e Extra Sheet.				
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.		
Y	US 5,778,397 A (KUPIEC ET AL) 07	JULY 1998, Abstract, col. 4,	1-14		
	lines 7-65, col. 5, lines 17-32, col. 10	, lines 5-13, col. 12, lines 3			
	64, col. 16, lines 1-65.				
Y	US 5,638,543 A (PEDERSEN ET AL	1-14			
A	US 5,848,191 A (CHEN ET AL) 08	1-14			
Y	US 5,077,668 A (DOI) 31 DECEMBI	1-14			
v	LIC 5 207 027 A (MODINOTO ET AL	\ 22 MADGH 1004 FIGURE	1 14		
Y	US 5,297,027 A (MORIMOTO ET AL 5, COL. 4, lines 41-67, col. 5, lines		1-14		
	3, COL. 4, mics 41-07, coi. 3, mics	1-20.			
X Furth	er documents are listed in the continuation of Box (	C. See patent family annex.			
• Spe	cial categories of cited documents	"T" later document published after the ant			
	ument defining the general state of the art which is not considered be of particular relevance.	date and not in conflict with the app the principle or theory underlying th			
	ne of particular relevance  the document published on or after the international filing date.	"X" document of particular relevance, th			
*L* doc	ument which may throw doubts on priority claim(s) or which is	considered novel or cannot be conside when the document is taken alone	ered to involve an inventive step		
	d to establish the publication date of another citation or other cial reason (as specified)	'Y' document of particular relevance, the considered to involve an inventive			
"O" doc	ument referring to an oral disclosure, use, exhibition or other	combined with one or more other such being obvious to a person skilled in	h documents, such combination		
*P* doc	ument published prior to the international filing date but later than priority date claimed	"&" document member of the same patent family			
	actual completion of the international search	Date of mailing of the international se	arch report		
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Box PCT		Patrick N. Edouard James R. Matthews			
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## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/00268

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C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relev	ant passages	Relevant to claim No
4	US 5,708,825 A (SOTOMAYOR) 13 JANUARY 1998,	Abstract.	1-14
<i>(</i>	US 5,384,703 A (WITHGOTT ET AL) 24 JANUARY abstract.	1995,	1-14
1			

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/00268

B. FIELDS SEARCHED Electronic data bases consulted (Name of data base and where practicable terms used):					
WEST/EAST search terms: (summar\$ or conden\$ or abstract\$) same (document or text or file) and (likelohood or probability or frequency near2 occurrence) and (704\$.ccls. or 707\$.ccls.)					