

US 20090155235A1

# (19) United States

# (12) Patent Application Publication Cevc et al.

(10) **Pub. No.: US 2009/0155235 A1**(43) **Pub. Date: Jun. 18, 2009** 

(54) AGGREGATES WITH INCREASED
DEFORMABILITY, COMPRISING AT LEAST
THREE AMPHIPATS, FOR IMPROVED
TRANSPORT THROUGH SEMI-PERMEABLE
BARRIERS AND FOR THE NON-INVASIVE
DRUG APPLICATION IN VIVO, ESPECIALLY
THROUGH THE SKIN

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(21) Appl. No.: 12/356,381

(22) Filed: Jan. 20, 2009

# Related U.S. Application Data

- (63) Continuation of application No. 10/357,618, filed on Feb. 4, 2003.
- (60) Provisional application No. 60/417,847, filed on Oct. 11, 2002.

# **Publication Classification**

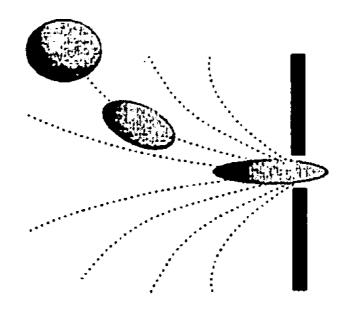
(51)	Int. Cl.	
	A61K 31/122	(2006.01)
	A61K 31/192	(2006.01)
	A61K 31/196	(2006.01)
	A61K 31/485	(2006.01)
	G01N 33/48	(2006.01)

(52) **U.S. Cl.** ....... **424/94.1**; 514/570; 514/567; 514/282; 702/19

# (57) ABSTRACT

The invention describes combinations of at least three amphipatic substances forming aggregate suspensions in a polar liquid. Judicious choice of system components, which differ at least 2-times to 10-times in solubility, ensures said aggregates to have extended, unusually adaptable surfaces. This is probably due to simultaneous action on said aggregates of at least two more soluble substances amongst said three system components, at least one of which is an active ingredient and preferably a drug; the third component alternatively, can take the role of a drug. The patent further deals with the use of said combinations in pharmaceutical preparations capable of transporting drugs into the body of warm blood creatures. This is made possible by the drug loading capability of said aggregates with the highly flexible and deformable coating, which renders the resulting drug carriers highly adaptable. The patent finally reveals suitable methods and favourable conditions for carrier manufacturing and application.

# Shape deformation



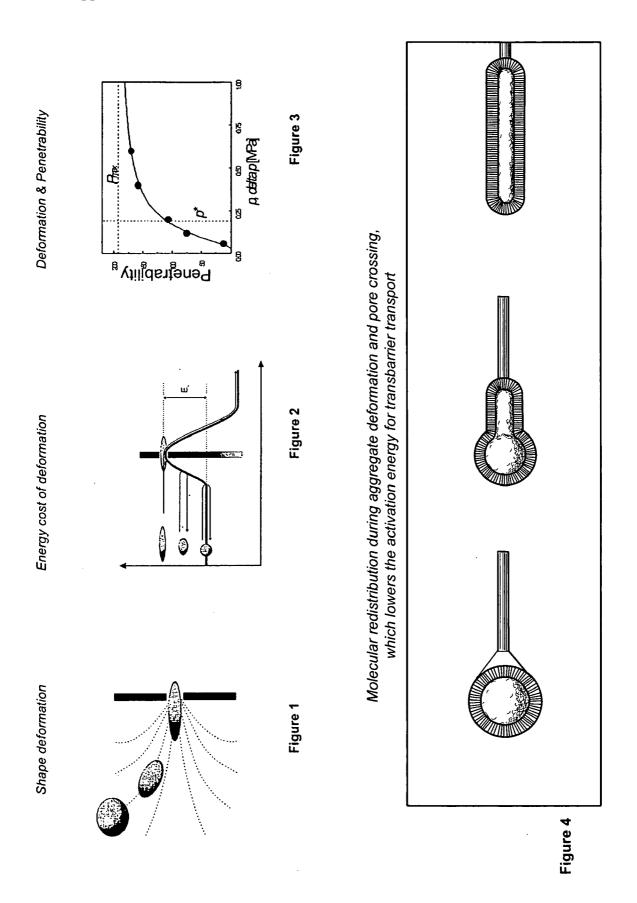
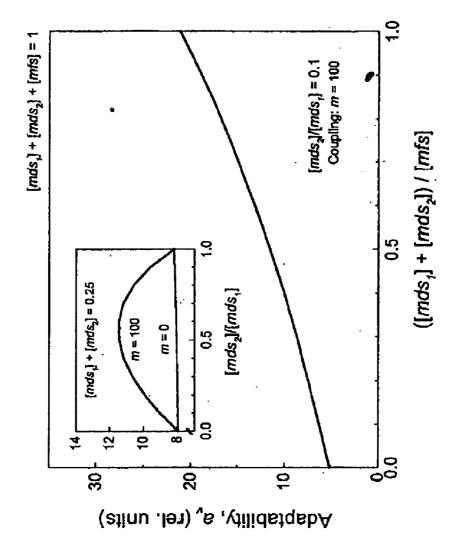
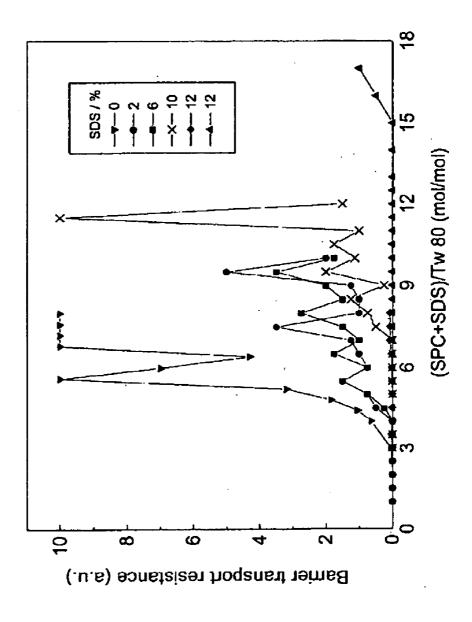
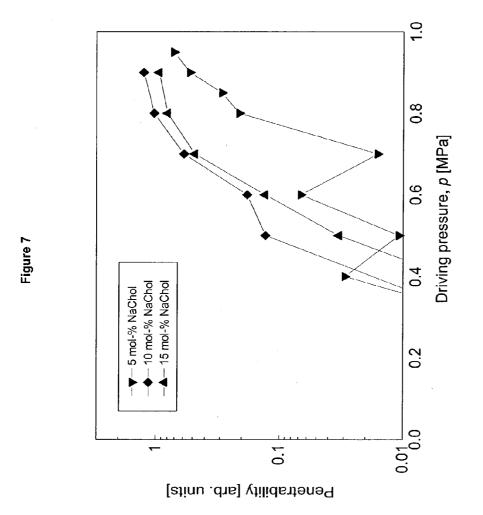


Figure 5

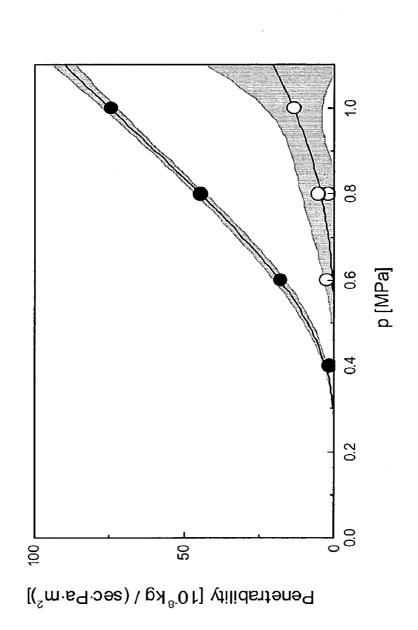






Pressure dependence barrier penetrability to three different suspensions of mixed bilayer vesicles, pushed through narrow pores, as a function of the second surfactant concentration.

Penetrability of suspensions described in 143 (\*) and 144 (o). The curves were calculated within the framework of Maxwell's energy distribution model, by using formula (\*). Figure 8:



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[0001] The present application is a continuation of U.S. application Ser. No. 10/357,618 filed on Feb. 4, 2003, which claims the benefit of U.S. provisional application No. 60/417, 847 filed on Oct. 11, 2002, each of which is incorporated herein by reference in its entirety.

#### FIELD OF THE INVENTION

[0002] The invention relates to aggregates with extended surface (extended-surface aggregates, ESAs) with increased deformability and improved barrier penetration capability, said ESAs being suspendable in a suitable liquid medium and comprising at least three amphipats (amphipatic components) and being capable to improve the transport of actives through semi-permeable barriers, such as the skin, especially for the non-invasive drug application in vivo by means of barrier penetration by such aggregates. The three amphipats include at least one membrane forming compound (MFC), which can form the membrane of said ESAs, and at least two membrane destabilising compounds (MDC<sub>1</sub> and MDC<sub>2</sub>) differentiated by their capability of forming smaller aggregates (with no extended surfaces) by either themselves or else in combination with each other and/or characterized by their relatively high solubility in said suitable liquid medium. The ESAs are loaded with at least one biologically active compound, which can be one of the at least three amphipats.

[0003] The invention relates also to preparations comprising extended surface aggregates (ESAs), that can penetrate barriers even when the typical ESAs radius (when an ESA is considered to be spherical) is at least 40% (and preferably at least 50% or even more) greater than the average radius of a pore in the barrier before and after the ESAs have penetrated the barrier.

# **BACKGROUND INFORMATION**

[0004] Administration of active ingredients frequently is limited by natural barriers, such as the skin, which prevent adequate absorption of the active molecules due to the low barrier permeability for such ingredients.

[0005] Availability and use of preparations that can overcome this barrier impermeability problem and allow noninvasive active ingredient administration would be advantageous in many cases. In humans and animals, for example, a percutaneous administration of such preparations would protect the active ingredients against decomposition in the gastrointestinal tract and possibly would result in a modified, therapeutically attractive distribution of the agent in the body; such non-invasive administration could also affect the pharmacokinetics of the active ingredient and permit less frequent and/or simpler disease treatment (G. Cevc. Exp. Opin. Invest. Drugs (1997) 6: 1887-1937.). In the case of plants, improved penetration through or into the cuticle could lower the concentration of active ingredient that is required for the desired effect and, in addition, could significantly decrease contamination of the environment (Price, C. E. (1981) in: The Plant Cuticle (D. F. Cutler, K. L. Alvin, C. E. Price, Publisher), Academic, New York, pp. 237-252).

[0006] Many methods for increasing the skin permeability have been discussed (see, for example, G. Cevc, 1997, op. cit.). Most prominent are jet injection (for a classical review see Siddiqui & Chien Crit. Rev. Ther. Drug. Carrier Syst. (1987) 3: 195-208), the use of electrical (Bumette & Ongpipattanakul J. Pharm. Sci. (1987) 76: 765-773) or accoustic (Vyas et al., J Microencapsul (1995) 12: 149-54) skin perturbation or else the use of chemical additives, such as certain solvents or surfactants. Such chemicals generally act as the skin permeation enhancers by increasing the partitioning and/or diffusivity of the active ingredient in the skin lipids.

[0007] Most often used permeation enhancers are nonionic short or long-chain alcohols and uncharged surfactants etc., anionic materials (particularly fatty acids), cationic longchain amines, sulfoxides, as well as various amino derivatives, and amphoteric glycinates and betaines. None of these, however, solves the problem of active ingredient transport through the skin or mucous barrier to general satisfaction.

[0008] An overview of the measures, which have been used for the purpose of increasing active ingredient penetration through plant cuticles, is summarised in the work of Price (1981, op. cit.).

[0009] Epidermal use of one or several amphipatic substances in the form of a suspension or an O/W or W/O emulsion, has also brought about too little improvement. An extensive review written by G. Cevc (1997, op. cit.) explains why liposomes, at best, can modify drug retention time or stability on the skin and or improve transcutaneous drug transport by partly occluding the skin surface. Japanese patent application JP 61/271,204 A2 (86/27 1204) provides an example for stabilizing effect of liposomes on the skin, relying on hydroquinone glucosidal as stabilizing material.

[0010] The use of lipid vesicles loaded with an active ingredient combined with a gel-forming agent in the form of "transdermal patches" was proposed in WO 87/1938 A1. However, the ability of the active ingredient to permeate the skin was not appreciably increased. Massive use of permeation-promoting polyethylene glycol and of fatty acids, together with lipid vesicles, was required by Gesztes and Mezei (1988, Anesth. Analg. 67, 1079-1081) to attain only a moderate local analgesia with lidocaine-containing formulations applied for several hours under occlusion on the skin.

[0011] U.S. Pat. No. 6,193,996 describes a pressure sensitive skin adhesive that uses skin permeation enhancers. European Patent applications EPA 102 324 and EPA 0 088 046, and U.S. Pat. No. 4,619,794, all by H. Hauser, describe methods for preparing unilamellar vesicles using a single membrane destabilising component. The vesicles may be used as carriers for different drugs. However, such vesicles are not used on the skin or for transport through semi-permeable barriers. European Patent application EPA 0 152 379 by Muntwyler and Hauser similarly describes the preparation of unilamellar vesicles. However, these vesicles often need to be separated from the residual multilamellar liposomes, facilitated by the presence of charged drugs, for final use of the former for treating human or animal body. The authors also point the potential need to neutralize the drug during vesicle preparation to obtain the desired unilamellar liposomes. Further, such vesicles are not used for transport of drugs through a semi-permeable barrier.

[0012] European patent EP 0475 160, corresponding U.S. Pat. No. 6,165,500 and Canadian patent 2,067,754, all with

the title "Preparation for the application of agents in minidroplets", describe special preparations related to the suspensions described in this application. These documents report the use of different agents associated with minuscule droplets or, in particular, with the vesicles consisting of one or a few membrane-like amphiphile assemblies for overcoming semipermeable barriers including the skin. These references describe preparations having a single membrane destabilising component. WO 98/17255 and AU 724218, likewise, describe vesicles for the transport of a variety of drugs through the skin.

[0013] In two relatively early reports on dermal liposomal tetracaine (Gesztes A, Mezei M. "Topical anesthesia of the skin by liposome-encapsulated tetracaine." Anesth. Analg. (1988), 67:1079-1081) and lidocaine (Foldvari M, Gesztes A, Mezei M. "Dermal drug delivery by liposome encapsulation: clinical and electron microscopic studies." J Microencapsul (1990), 7:479-489), Mezei's group reported anaesthetic performance of such locally used drugs and corresponding autoradiography data. Drug was found in the epidermis and in dermis of humans and guinea pigs when the skin was treated under an impermeable (occlusive) coating with the liposomeencapsulated anaesthetics. The formulations always contained multilamellar soybean phosphatidylcholine vesicles. However, the reports demonstrate no liposome-mediated drug transport through the skin. (Foldvari M. "In vitro cutaneous and percutaneous delivery and in vivo efficacy of tetracaine from liposomal and conventional vehicles." Pharm Res (1994) 11:1593-1598) and with an additional oily ingredient (Foldvari M. "Effect of vehicle on topical liposomal drug delivery: petrolatum bases." J Microencapsul (1996), 13:589-600). This conclusion is supported by the fact that the reported maximum transported drug dose (5.3%) was more than 20-times higher than the reported transported lipid dose (0.2%) (Foldvari, 1994). Further, Foldvari's formulations evidently were not optimised for adaptability but rather for best drug retention/release.

[0014] P. Gonzalez, M. E. Planas, L. Rodriguez, S. Sanchez, and G. Cevc in an article on "Noninvasive, percutaneous induction of topical analgesia by a new type of drug carriers and prolongation of the local pain-insensitivity by analgesic liposomes" (Anesth. Analg. (1992), 95: 615-621) report the results of investigations with surfactant-containing formulations, typically loaded with lidocaine (2%, as a free base) in a mixed lipid 4-8% suspension (w/v). Lipid aggregates were prepared from a 4/1 mol/mol phosphatidylcholine/ sodium cholate mixture, starting with an ethanolic lipid solution (7-3 w-% EtOH in the final product) for easier manufacturing. However, all the tested suspensions were reported by Planas et al. to be unstable. Further, Planas et al. failed to disclose how a stable drug formulation could be prepared, which would be suitable for transdermal drug deliverv.

[0015] Peters and Moll (1995) ("Pharmacodynamics of a liposomal preparation for local anaesthesia". Arzneimittel-forschung (1995), 45:1253-6, describe permeation of a topically applied drug through the skin. The permeation is enhanced by ethanol, is based on diffusion, and is achieved under occlusion.

[0016] Carafa and colleagues describe the use of surfactant-based, phospholipid-free vesicles (Carafa et al., 2002 ("Lidocaine-loaded non-ionic surfactant vesicles: characterisation and in vitro permeation studies." Int J Pharm (2002), 231:21-32). However, such vesicles do not simultaneously include both a MFC and a MDC, and are unsatisfactory.

# SUMMARY OF THE INVENTION

[0017] Applicants have discovered that incorporation of a surfactant into a bilayer membrane that is built from another

less soluble amphipat, such as a phospholipid, can increase the flexibility of the resulting complex membrane. This promotes the capability of complex aggregates in the form of droplets covered by the bi-component membranes to cross pores in a semi-permeable barrier that otherwise would prevent comparably large aggregates from crossing. Further, the use of aggregates with highly deformable membrane coating can mediate agent transport into and/or across mammalian skin. This can be achieved by selecting a surfactant, which is a membrane destabilising component (=MDC), and a less soluble amphipat, which is the membrane forming component (=MFC), so as to maximize the mixed membrane flexibility and the mixed aggregate stability. Further the surfactant can be selected to increase bilayer membrane adaptability. Patent applications by applicant, especially WO 92/03122 and WO 98/172550 describe basic requirements for the use of lipid/surfactant mixtures for transbarrier transport. [0018] It is an objective of the invention to provide preparations that can transport active ingredients through a barrier in the form of vesicles or other extended surface aggregates (ESAs) comprising said actives, said preparations having improved permeation capability through semi-permeable barriers.

[0019] It is an aspect of the invention to provide preparations comprising extended surface aggregates (ESAs) which permit the ESAs to permeate barriers, with the radius of the ESAs (when considered as spherical) being at least 40% (and preferably at least 50% or even more) greater than the average pore radius of the barrier, after the ESAs have permeated the barrier pores.

[0020] It is a further aspect of the invention to provide a preparation based on a combination of at least one first (membrane forming component MFC), at least one second (membrane destabilising component MDC), and at least one third (membrane destabilising component MDC) amphipatic component suspended in a suitable liquid medium in the form of corresponding mixed amphipat extended surface aggregates (ESAs) with one or a few bilayer-like, mixed amphipat coating(s), wherein said ESAs formed by a combination of all three said components have surfaces in contact with said liquid medium, that are at least 50% more extended, on the average, than the typical surfaces of aggregates comprising the said at least one second and at least one third amphipatic component alone, at the same concentrations and, in case, after adjustment for physico-chemical effects of the absence of said first amphipatic compound (MFC).

[0021] A further aspect of the invention is to provide suspensions of extended surface aggregates in a liquid medium comprising: at least one first membrane forming component (MFC); at least one second membrane destabilising component (MDC); at least one third membrane destabilising component (MDC), the third component typically being a drug, such that said complex extended surface aggregates (ESAs) can penetrate intact mammalian skin and thus increase drug concentration in the skin and/or increase the reach of drug distribution below the skin, in comparison with the result of the same drug application in a solution on the skin. In a special version of said suspensions, said extended surface aggregates are membrane-enclosed, liquid-filled vesicles, said first component is a membrane-forming lipid, and said second and third components are membrane-destabilising components.

[0022] Another aspect of the invention provides a combination of at least one first (membrane forming, component MFC), at least one second (membrane destabilising component MDC), and at least one third (membrane destabilising component MDC) amphipatic component suspended in a suitable liquid medium in the form of mixed amphipat

extended surface aggregates (ESAs) with one or a few bilayer-like, mixed amphipat coating(s), wherein the

[0023] said at least one first substance has a tendency to self aggregate and is at least 10-times less soluble in said liquid medium than said at least one second and said one third substance, allowing the first to form extended surfaces,

[0024] said at least one second substance is at least 10-times more soluble than said at least one first substance in said liquid medium and, on its own, tends to form or supports the formation of surfaces, that are at least 2-times less extended than the surfaces containing the at least one first substance alone.

[0025] said at least one third substance being also at least 10-times more soluble in said liquid medium than the first substance and optionally forms self-aggregates with aggregation numbers at least 10-times smaller than that of self-aggregates of said first substance; and

[0026] said extended surfaces comprising said at least one first, at least one second and at last one third substance, in equilibrium, have at least 50% more extended surfaces than the surfaces formed by the at least one second or one third substance alone, at the same concentration and, in case, after adjustment for physico-chemical effects of the absence of said first amphipatic compound (MFC).

[0027] Yet another aspect of the invention is a preparation based on a combination of at least one first (membrane forming component MFC), at least one second (membrane destabilising component MDC), and at least one third (membrane destabilising component MDC) amphipatic component suspended in a suitable liquid medium in the form of corresponding mixed aggregates with an extended surface (ESAs) with one or a few, preferably bilayer-like, mixed amphipat coating (s), wherein said MFC alone forms extended-surface aggregates with aggregation number of at least 5000, and preferably more than 10,000, and both MDCs alone and the combination of both MDCs form smaller aggregates with no really extended surface and aggregation number below 5000, and preferably below 1000 in contact with said suitable liquid medium.

[0028] All compositions according to the present invention comprising three amphipatic compounds which together form extended surface aggregates either have a defined solubilization point, or do comprise more than 0.1 mol % of the solubilizing amount of those components which at higher concentrations would solubilize the extended surface aggregates.

[0029] All embodiments of the invention are useful in preparations for the application, administration or transport of at least one active ingredient which can be amongst said three substances, especially for medicinal or biological purposes, into and through barriers and constrictions, such as the skin of warm blood creatures or the like.

[0030] Preferably the adaptability of extended surface comprising all three said amphipatic components to ambient stress exceeds by at least 20% or by at least twice the standard deviation of a typical measurement (whichever is smaller) the adaptability of the extended surface comprising the at least one first and the at least one second amphipatic component used at the corresponding concentrations or the adaptability of the extended surface comprising the at least one first and the at least one third amphipatic component at corresponding concentrations, whichever is smaller.

[0031] The adaptability can be expressed as the inverse value of the p\* value. This specific p\* value is typically higher than 50%, often is around 60% and preferably is 57% of  $P_{max}$ -value.

[0032] Further objectives and advantages of the instant invention will become apparent from the following description of preferred embodiments, which include a best mode preparation.

[0033] In the present description, the general terms employed hereinbefore and hereinafter have the following meanings.

[0034] The term "aggregate" denotes a group of more than just a few amphipats of similar or different kind. A small aggregate, as used in the context of this invention, has an aggregation number n<sub>a</sub>>3, that is, contains at least 3 molecules, but does not exceed n<sub>a</sub><5000 or more preferably  $n_a$ <1000, that is, contains no more than 5000 or 1000 molecules. The "extended surface aggregate (ESA)", "an aggregate with extended surface", a "vesicle" or an "extended surface" as used in the context of this invention, all have aggregation number ≥5000, that is, contain a minimum of 5000 molecules, and most often are characterized by an even higher aggregation number, that is, contain an even higher number of molecules. Preferred ESAs have aggregation numbers of  $n_a > 10000$  and even more preferably  $n_a > 50000$ . For a preparation containing aggregates, the reference will always be made to the average aggregation number or to the average number of molecules per aggregate, except if indicated otherwise. The term "aggregation number" equals the number of molecules which together form an aggregate. Corresponding methods of n<sub>a</sub> determination are well known in the art.

[0035] When a lipid aggregate is water filled and surrounded with at least one membrane it is called a lipid vesicle. The membrane as defined in this description is a mixture of at least three amphipats (MFC+MDC<sub>1</sub>+MDC<sub>2</sub>) preferably in the form of a bilayer; a membrane destabilising component hereby is potentially a MFC-MDC combination (i.e. a mixed amphipat associate).

[0036] The aggregates of the invention are coated with one half, one, or several bilayers. These may also be called mixed amphipat coating(s), and correspond to a lipid monolayer, bilayer or oligo-layers, respectively.

[0037] For a solid aggregate with the surface comprising only one layer of molecules (a monolayer), the aggregate surface  $S_{aggregate}$  is given by the product of aggregate number and the exposed single molecule surface  $S_{molecule}$ :

$$\mathbf{S}_{aggregate}\!\!=\!\!\mathbf{n}_a\mathbf{S}_{molecule}$$

 $S_{\it molecule}$  can either be measured directly, e.g. in a Langmuir trough or with diffractometric or reflectometric method, or else can be calculated with any suitable computer model (e.g. HyperChem).

[0038] An aggregate with a bilayer coating has only half as large surface area:

$$S_{aggregate}(\texttt{bilayer},\!n_a) \!\!=\!\! 0.5 S_{aggregate}(\texttt{monolayer},\!n_a)$$

[0039] "Aggregate radius"  $n_a$  for a spherical aggregate is proportional to the square root of the aggregate surface:

$$r_{aggregate} = (S_{aggregate}/4 \bigcirc 6)^{0.5}$$

other aggregate geometries requiring appropriate formula adaptation.

[0040] A "barrier" in the context of this invention is (as in, for example, EP 0 475 160 and WO 98/17255) a body with through-extending narrow pores, such narrow pores having a

radius which is at least 25% smaller than the radius of the ESAs (considered as spherical) before said ESAs permeate through such pores.

[0041] The term "narrow" used in connection with a pore implies that the pore radius is significantly, typically at least 25%, smaller than the radius of the entity tested with regard to its ability to cross the pore. The necessary difference typically should be greater for the narrower pores. Using 25% limit is therefore quite suitable for >150 nm diameter whereas >100% difference requirement is more appropriate for the smaller systems, e.g. with <50 nm diameter. For diameters around 20 nm, aggregate diameter difference of at least 200% is often required.

[0042] The term "semipermeable" used in connection with a barrier implies that a solution can cross transbarrier openings whereas a suspension of non-adaptable aggregates (large enough for the above definition of "narrow" pores to apply) cannot. Conventional lipid vesicles (liposomes) made from any common phosphatidylcholine in the gel lamellar phase or else from any biological phosphatidylcholine/cholesterol 1/1 mol/mol mixture or else comparably large oil droplets, all having the specified relative diameter, are three examples for such non-adaptable aggregates.

[0043] The term "stable" means that the tested aggregates do not change their diameter spontaneously or under the transport related mechanical stress (e.g. during passage through a semipermeable barrier) unacceptably, which most often means only to a pharmaceutically acceptable degree. A 20-40% change is normally considered acceptable; the halving or doubling of aggregate diameter is borderline and a greater change in diameter is typically unacceptable. Alternatively and very conveniently, the change in aggregate diameter resulting from pore crossing under pressure is used to assess system stability; the same criteria are then applied as for "narrow" pores, mutatis mutandis. To obtain the correct value for aggregate diameter change, a correction for flux/ vortex effects may be necessary. These procedures are described in greater detail in the publication of the applicant in Cevc G., Schätzlein A., Richardsen H. (2002) Ultradeformable Lipid Vesicles Can Penetrate the Skin and other Semi-Permeable Barriers Intact. Evidence from Double Label CLSM Experiments and Direct Size Measurements. Biochim. Biophys. Acta 1564:21-30.

[0044] The term "barrier transport resistance" describes the resistance of a given barrier to the transport of a given fluid with or without suspended aggregates. Mathematically speaking, this resistance is given by the ratio of transport driving pressure and of transport rate (=flow): resistance=delta  $p/j_a$ . In more qualitative terms, used in some of the examples in this document, barrier resistance is identified with the total fluid volume that can be filtered through a given barrier by certain pressure within given time. Alternatively the pressure needed to achieve certain flux can be used to describe functionally barrier resistance.

[0045] Barrier transport resistance generally decreases linearly with the number and total area of pores in the given transport obstacle. For relatively small pores the resistance value can also depend on average pore diameter, mainly due to friction/viscosity effects. In addition to this, barrier transport resistance is sensitive to transported fluid/suspension characteristics and thus strongly depends on the suspended particle adaptability and sometimes concentration. In first approximation, this later sensitivity is due to elastic and viscous loss during transport.

[0046] The term aggregate "adaptability" which governs the "tolerable surface curvature" is defined as the ability of a given aggregate to change easily, and essentially reversibly, its properties, such as shape, elongation ratio, and surface to volume ratio. Essential for this invention is the adjustment of aggregate shape and properties to the anisotropic stress caused by pore crossing. Sufficient adaptability implies that an aggregate is able to sustain different unidirectional forces or stress, such as pressure, without significant fragmentation, which defines a "stable" aggregate. If an aggregate passes through a barrier fulfilling this condition the terms "adaptability" and (shape) "deformability" plus "permeability" are essentially equivalent.

[0047] Non-destructing passage of ultradeformable, mixed lipid aggregates through narrow pores in a semi-permeable barrier is thus diagnostic of high aggregate adaptability. If pore radius is two times smaller than the average aggregate radius the aggregate must change its shape and surface-to-volume ratio at least 100% to pass without fragmentation through the barrier. An easy and reversible change in aggregate shape inevitably implies high aggregate deformability and requires large surface-to-volume ratio adaptation. A change in surface-to-volume ratio per se implies: a) high volume compressibility, e.g. in the case of compact droplets containing material other than, and immiscible with, the suspending fluid; b) high aggregate membrane permeability, e.g. in the case of vesicles that are free to exchange fluid between inner and outer vesicle volume.

[0048] Measuring capability of given aggregate suspension to cross a semi-permeable barrier with narrow pores thus offers simple means for functionally testing aggregate adaptability, as is described in Practical Examples. This capability for suspensions of sufficiently stable aggregates is inversely proportional to the effective barrier transport resistance and, in the first approximation, to vesicle adaptability  $a_\nu \eta a_a$  (subscripts v and a denoting vesicle and aggregate, respectively). If no other adaptability value is available, the inverse value of barrier transport resistance or  $1/p^*$  value, which are defined further in the text, can be used to characterise adaptability of aggregates in a suspension.

[0049] The adaptability of a vesicle-like aggregate depends on reversible vesicle membrane permeability and deformability. Lipid bilayer permeability can be assessed by the wellestablished methods, such as the osmotic swelling method that is described in many scientific papers and in Phospholipids Handbook, edited by G. Cevc for Marcel Dekker Publishers (New York, 1993). Less directly and quantitatively, but still telling, vesicle bilayer permeability can be checked by comparing the average aggregate diameter before and after pore crossing: vesicle bursting and fragmentation is indicative of aggregate membrane impermeability. In case of lipid vesicles, the latter is identical to lipid bilayer impermeability. Open membrane deformability is governed by lipid bilayer flexibility. This quantity is proportional to bilayer bending elasticity and is hence determined by the elastic membrane bending modulus=the elastic curvature modulus of a bilayer=B. The latter parameter can be measured with several methods known in the art, including pipette aspiration measurements, vesicle shape or fluctuation analysis, bilayer deformation under stress in an atomic force microscope, etc. Bilayer curvature elastic energy density of a vesicle with radius  $r_{ves}$  is given by  $B/2r_{ves}^2$ , which shows that most elastic/ flexible bilayers, with smallest B-values, are most deformable. For phosphatidylcholine bilayers in the fluid lamellar phase B-value is typically of the order  $10^{-19}$  J. This value is at least one order of magnitude higher than the corresponding value determined for a suitable MDC-MFC or MDC<sub>1</sub>-MDC<sub>2</sub>-MFC mixture, which is B~5  $10^{-17}$  J. This explains why the described three-component amphipat mixtures form very flexible bilayers and highly deformable vesicles.

[0050] It is important to realise that any system property that tends to lower aggregate shape adaptability also lowers the likelihood for aggregate motion through the pores with a radius smaller than the average aggregate radius. Incorporation of large incompressible bodies (e.g. oil droplets) into or between the shape-deformable aggregates therefore lowers, if not blocks, trans-barrier transport. Incompressibility of aggregate core has similarly negative effect. Aggregates in the form of (lipid) vesicles suspended in and filled with nearly incompressible water must therefore expel some water from vesicle interior during aggregate deformation to attain high/ maximum adaptability. Introduction of membrane stiffening agents (including cholesterol and other sterols, little polar long chain lipids, etc., as quasi-MFC) into bilayers also lowers the adaptability of the resulting mixed aggregates. Vesicle-like aggregates with many bilayer coatings (=membranes) are also relatively non-adaptable (i.e. have lower a value, as defined further in the text) and must be pushed with a higher force (i.e. have a higher p\* value, as defined further in the text) through narrow pores than the aggregates with just a few or only one such coating(s). The reasons for this are obvious: in the simplest approximation, aggregate adaptability is inversely proportional to the number of bilayers enshrining liquid core of an aggregate. Further system changes that negatively impact on aggregate adaptability can be analysed in similar fashion.

[0051] If a vesicle can pass through a narrow pore without irreversibly adjusting its diameter to the pore diameter within 50% or even 100% uncertainty range, the vesicle bilayer membrane under terms of this document is declared to be permeable as well as flexible. To assess lipid aggregate adaptability it is therefore useful to employ another aspect of the invention, by using the following method:

[0052] 1) measure the flux  $j_a$  of aggregate suspension through a semi-permeable barrier (e.g. gravimetrically) for different transport-driving trans-barrier pressures delta p;

[0053] 2) calculate the pressure dependence of barrier penetrability P for the given suspension by dividing each measured flux value with the corresponding driving pressure value: P (delta p)=j<sub>a</sub>(delta p)/delta p;

[0054] 3) monitor the ratio of final and starting vesicle diameter  $2r_{ves}$  (delta p)/ $2r_{ves,0}$  (e.g. with the dynamic light scattering), wherein  $2r_{ves}$  (delta p)/ is the vesicle diameter after semi-permeable barrier passage driven by delta p and  $2r_{ves,0}$  is the starting vesicle diameter, and if necessary making corrections for the flow-rate effects;

[0055] 4) align both data sets P (delta p) vs.  $r_{ves}$ , (delta p)/ $r_{ves,0}$  to determine the co-existence range for high aggregate adaptability and stability; it is also useful, but not absolutely essential, to parameterise experimental penetrability data within the framework of Maxwell-approximation in terms of the necessary pressure value p\* and of maximum penetrability value  $P_{max}$ , which are defined graphically in the following illustrative schemes.

[0056] FIGS. 1 to 4 illustrate schematically the physical and molecular principles underlying the abovementioned approach and the mathematical model used to analyse the corresponding experimental data.

[0057] It is plausible to sum-up all the contributions to a moving aggregate energy (deformation energy/ies, thermal energy, the shearing work, etc.) into a single, total energy. The equilibrium population density of aggregate's energetic levels then may be taken to correspond to Maxwell's distribution. All aggregates with a total energy greater than the activation energy,  $E f E_{\mathcal{A}}$ , are finally concluded to penetrate the barrier The pore-crossing probability for such aggregates is then given by:

$$P(e) = 1 - \operatorname{erf}\left(\sqrt{\frac{1}{e}}\right) + \sqrt{\frac{4}{\pi e}} \cdot \exp\left[-\frac{1}{e}\right],$$

e being dimensionless aggregate energy in units of the activation energy  $\mathbf{E}_{\mathbf{A}}.$ 

[0058] It is therefore plausible to write barrier penetrability to a given suspension as a function of transport driving pressure (=driving pressure difference) p (=delta p) as:

$$P(p) = p_{max} \cdot \left\{ 1 - \text{erf}\left(\sqrt{\frac{p^*}{p}}\right) + \sqrt{\frac{4p^*}{\pi p}} \cdot \exp\left[-\frac{p^*}{p}\right] \right\} \ (^*)$$

 $P_{max}$  is the maximum possible penetrability of a given barrier. (For the aggregates with zero transport resistance this penetrability is identical to the penetrability of the suspending medium flux.)  $p^*$  is an adjustable parameter that describes the pressure sensitivity, and thus the transport resistance, of the tested system. (For barriers with a fixed pore radius this sensitivity is a function of aggregate properties solely. For non-interacting particles the sensitivity is dominated by aggregate adaptability, allowing to make the assumption:  $a_a$  proportional to  $1/p^*$ )

[0059] In a presently preferred embodiment of the invention, the experimental approach to quantitative aggregate adaptability determination is to identify vesicle adaptability value with the inverse pressure difference needed to attain certain predefined, practically relevant fraction of maximum achievable flux-pressure ratio with the vesicle suspension; using 50-60% maximum penetrability criterion ( $P_{max}$ ) gives reasonable results. Specifically, all p\* values given in this document correspond to 57% of  $P_{max}$ -value. Adaptability value, up to an uninteresting constant, is then given by the inverse value of the p\* value that corresponds to 57% of the  $P_{max}$ -value.

[0060] By making a few more reasonable suppositions one can use the experimentally determined p\*-value to calculate the activation energy E<sub>4</sub> for transbarrier transport of adaptable vesicular aggregates. The dominant energetic contribution to the work of bilayer deformation-bilayer elastic energy; bilayer permeabilisation energy, as the case may be—can then be deduced from  $E_4$ -value. Finally, bilayer elastic energy can be translated into bilayer curvature elastic energy density, which depends on the elastic curvature modulus of bilayer, B, as is explained earlier in the text. Bilayer permeabilisation energy independently can be related to the work needed to break a bilayer membrane, and thus to bilayer lysis tension, assuming that elastic energy is much smaller than membrane permeabilisation energy. For simple lipid vesicles this has been done by the group of B. Frisken (cf. Biophys. J. 74: 2996-3002 (1998) and Langmuir 16: 928-933 (2000)), amongst others. Such detailed analysis is not necessary for optimising aggregate suspensions for transbarrier transport, however, and therefore is not used in the present application.

[0061] The "liquid suspending medium" or "liquid medium" or "suitable liquid medium" is well known and is defined in EP 0475 160 and in WO 98/17255.

[0062] An "amphipat" (or an amphipatic component) is any substance capable of forming an ESA or of modifying the adaptability of an ESA, when brought into contact with the liquid suspending medium.

[0063] For the broadest definition, the amphipats are divided into two subgroups, the "membrane forming compounds" (MFCs) or "surface building" or "extended surface-forming or "surface-supporting substance", which are capably of forming extended surface aggregates (ESAs), and "the membrane destabilising compounds" (MDCs). The latter typically render the ESAs formed by the MFCs more adaptable.

[0064] In some aspects the three amphipatic compounds, one MFC and two MDCs forming the ESAs are then defined that the MFC alone forms ESAs, the one MDC alone forms small aggregates, the other MDC alone optionally forms small aggregates and the combination of both MDCs forms small aggregates, in contact with said liquid suspending medium. The ESAs and the small aggregates being defined in terms of aggregation numbers as stated above.

[0065] In some aspects the three amphipatic compounds, one MFC and two MDCs forming the ESAs are then characterised by their solubility in the liquid suspending medium. The MFCs are then defined to be less soluble than the MDCs at least by a factor of 2. In more preferred embodiments the MFCs are then defined to be less soluble than the MDCs at least by a factor of 10 and in preferred embodiments the solubilities of the two MDCs differ at least by a factor of 2. Alternatively or simultaneously the MFCs are defined to be less soluble than the MDCs at least by a factor of 10, one MDC forms aggregates with surfaces that are at least 2 times less extended than the surfaces of aggregates formed by the MFC and the other MDC forms aggregates with aggregation numbers at least 10 times smaller than the aggregation numbers of aggregates formed by the MFC. Yet another possibility is to define MDC as molecules, which are typically characterised by hydrophilicity-lipophilicity ratio (HLB) between 10 and 20, even better between 12 and 18 and most preferred between 13 and 17.

[0066] In some aspects the MFC and MDCs are defined to form in the combination of one MFC and two different MDCs extended surface aggregates with surfaces that are at least 50% more extended, extended meaning larger, on the average than surfaces of aggregates comprising only the two different MDCs alone, at the same concentrations and, in case, after adjustment for physico-chemical effects of the absence of said MFC.

[0067] For some aspects a selection or all definitions at once apply.

[0068] The amphipats within the meaning of the present invention comprise the membrane forming substances and the "edge-active (surface active)" substances also known from EP 0 475 160 and WO 98/17255, but within the limitations defined in the attached claims.

[0069] The term "drug" means a biologically or therapeutically active ingredient, e.g. a medicament. Unless indicated otherwise, the generic names proposed by the world Health

Organisation (WHC)) (Recommended International Nonproprietary Names), such as can be found e.g. in the Merck Index, are used for the drugs, which are specified in greater detailed further in the text.

[0070] The term "low" used in connection with molecular weight of a polypeptide means molar mass below 1500 and the term "intermediate" in similar context implies molar mass between 1500 and 5000.

[0071] The term "lower" used in connection with organic radicals, for example lower alkyl lower alkylene, lower alkoxy, lower alkanoyl, etc., means that such organic radicals, unless expressly defined otherwise, contain up to and including 7, preferably up to and including 4, carbon atoms.

[0072] The term "long" used in connection with a fatty residue attached to a lipid, a surfactant or a drug implies the presence of 10 to 24 carbon atoms in alkyl alkenyl, alkoxy, alkenyloxy or acyloxy chains, which individually or together, as the case may be, bear the class name of "fatty chains". Implicitly included in this term, but not further specified in detail, are "fatty chains" with at least one branched or a cyclic, but unpolar or little polar, segment.

[0073] The use of square brackets in the text relates to molar concentrations of the substance put between the brackets, except if indicated otherwise.

[0074] The terms "surface active" and "edge active" relates to the ability of a certain third compound to change the surface tension and/or interface tension in systems comprising at least two compounds forming a surface of interface.

[0075] In this specification the terms "compound", "substance" and "component" generally indicate a single chemical species, which needs, however, not to be totally uniform.

# DESCRIPTION OF FIGURES

[0076] FIG. 1: Schematic representation of aggregate shape deformation during pore crossing.

[0077] FIG. 2: Energy level associated with different states of aggregate deformation that result from an enforced aggregate passing through a narrow pore in a semi-permeable barrier.

[0078] FIG. 3: Penetrability of a semi-permeable porous barrier to the suspension of vesicles smaller the average pore diameter in the barrier as a function of transbarrier pressure which drives the suspension through the barrier.

[0079] FIG. 4: Molecular redistribution in an aggregateenshrining lipid bilayer during aggregate deformation and pore crossing, which lowers the activation energy for transbarrier transport.

[0080] FIG. 5: Schematic illustration of the role played by membrane destabilising component(s) on lipid bilayer adaptability. The effect of relative concentration of the second membrane destabilising component is shown in inset.

[0081] FIG. 6 shows the effect of changing molar ratio of the second (Tween80=) and the third (surfactant; SDS) amphipatic system component, relatively to the first amphipatic system component (phospholipid; SPC), on the resistance of resulting mixed lipid suspension to the filtration through a barrier with 0.2 micrometer pore-diameter (left panel). The starting and final vesicle diameter was significantly greater than the average pore diameter.

[0082] FIG. 7 exemplifies the effect of a charged biosurfactant, sodium cholate, in mixtures with another surfactant (Tween 80) containing phospholipid bilayers on the ability of the resulting lipid vesicle suspensions to penetrate through a semipermeable barrier under influence of transbarrier hydro-

static pressure. Pressure dependence barrier penetrability to three different suspensions of mixed bilayer vesicles, pushed through narrow pores, as a function of the second surfactant concentration.

[0083] FIG. 8 illustrates penetrability of the suspensions prepared as described in examples 143 and 144. The curves were calculated within the framework of Maxwell's energy distribution model, by using formula (\*)

#### DETAILED DESCRIPTION OF THE INVENTION

[0084] The invention describes suspensions of complex ESAs with at least three amphipatic components, one of which is membrane forming and at least two of which are membrane destabilising, which can be suspended in a suitable, e.g. pharmaceutically acceptable, polar liquid medium and loaded with at least one biologically active compound, which can correspond to one of the amphipats. An essential characteristic of such, relatively large, aggregates is the ability to penetrate pores in semi-permeable barriers even when the pore radius is significantly, i.e. at least 25% and often is more than 40% or even better more than 50% and most preferably is more than 70% smaller than the average aggregate radius before barrier crossing. Another important characteristic of aggregates introduced in this document is the relatively low concentration of one of the two membrane destabilising components, which is below the concentration needed to achieve high aggregate shape deformability when this component is used for the purpose on its own. High aggregate deformability is a prerequisite for reaching practically useful—i.e. sufficiently high-suspension flux through a barrier, such that approaches in order of magnitude the flux of suspending medium. The other necessary condition is sufficient aggregate stability, which ensures that the average aggregate radius after barrier crossing is still at least 40%, more often is at least 50% and most typically is at least 100% larger than the pore radius. High deformability and sufficient stability of aggregates that can cross semipermeable barriers are sub-summarised in the term aggregate adaptability, which is parameterised as a<sub>a</sub>. Highly adaptable complex aggregates excel in their ability to transport active ingredients through semi-permeable barriers, such as mammalian skin.

[0085] The present invention specially relates to the selection of one membrane destabilizing amphipatic component of the system such that can boost the deformability of mixed aggregates supported by judicious choice of the other system components to the effect of improving barrier penetration by such aggregates. The invention also teaches how to select the right total amphipat concentration of and, in case, amphipat ionisation in mixed aggregate suspensions. The invention further relates to the preparation and application of resulting suspensions in pharmaceutical formulations, with a focus on epicutaneous application on, or less frequently in, the warm blood creatures.

[0086] We discovered unexpectedly that incorporation of an additional, suitable amphipatic membrane destabilising component (MDC<sub>2</sub>) in aforementioned bi-component (MFC+MDC<sub>1</sub>) aggregates can increase the resulting three-component (MFC+MDC<sub>1</sub>+MDC<sub>2</sub>) aggregate adaptability  $a_a(MFC+MDC_1+MDC_2) + \mu a_a(MFC+MDC_1)$  and thus augments the shape deformability of resulting aggregates. This lowers the pressure p\* needed to drive substantial suspension flux through a barrier: p\*(MFC+MDC<sub>1</sub>+MDC<sub>2</sub>)>[p\*(MFC+MDC<sub>1</sub>). The capability of said at least three-component aggregates to move through a semi-permeable barrier is

therefore increased. This finding is surprising taken that the droplets covered by a bi-component bilayer membrane already have a rather high barrier crossing ability compared to the droplets enshrined by a simple lipid bilayer:  $a_a(MFC+MDC_1)>>a_a(MFC)$ .

**[0087]** Apparently, the third aggregate component, which acts as a second membrane destabilising component, can increase or support transport-permitting aggregate adaptability beyond normal expectation:  $a_a(MFC+MDC_1+MDC_2) \# > a_a(MFC+MDC_1)$  and  $a_a(MFC+MDC_1+MDC_2) > \# a_a(MFC+MDC_2)$ . This is illustrated in inset to FIG. 5.

[0088] The three-component bilayer membrane comprising a lipid (MFC), a suitable first surfactant/amphipatic drug (MDC<sub>1</sub>) and a suitable second surfactant/amphipatic drug (MDC<sub>2</sub>) may also require a lower driving pressure to achieve transbarrier transport: p\*(MFC+MDC<sub>1</sub>+MDC<sub>2</sub>)<p\*(MFC+MDC<sub>2</sub>). Additionally or alternatively, a lower total amount of bilayer destabilising second amphipat may suffice for obtaining sufficiently adaptable aggregates, such that can cross a semipermeable barrier. The role of both membrane destabilising compounds is potentially, but not necessarily quantitatively, interchangeable (cf. FIG. 5).

[0089] Specifically, we found that relative concentration of said third component, which acts as membrane destabilising amphipat in the at least quaternary suspension (liquid suspending medium+MFC+MDC<sub>1</sub>+MDC<sub>2</sub> preferably water+ lipid+drug+surfactant) containing aggregates with a high adaptability, can be kept below the necessary MDC<sub>2</sub>, preferably the surfactant, concentration in a ternary suspension (liquid suspending medium+MFC+MDC<sub>1</sub> preferably waterlipid+surfactant) containing aggregates of similar adaptabil $a_a(MFC+MDC_1+MDC_2) \approx Ha_a(MFC+MDC_2)$ 
$$\begin{split} & [\text{MDC}_2]_{three-component} < [\text{MDC}_2]_{bi-component} \quad \text{or} \\ & a_a(\text{MFC+MDC}_1 + \text{MDC}_2) \approx \eta a_a(\text{MFC+MDC}_1) \ \text{and} \ [\text{MDC}_1] \end{split}$$
three-component < [MDC<sub>1</sub>]<sub>bi-component</sub>, values in square brackets denoting molar membrane component concentrations. Practical Examples provide several illustrations for this. In our opinion, this phenomenon reflects a synergy between the action of two bilayer components, e.g. between both membrane destabilising constituents (preferably amphipatic drug (s), surfactant(s); MDC<sub>1</sub>, MDC<sub>2</sub>). The dependence of adaptability curve on the magnitude of coupling parameter m, documented in inset to FIG. 5, supports such notion. We furthermore suggest that the interacting two membrane destabilizing components together make said three-component lipid bilayers more permeable and/or more flexible than the two-component bilayer membranes in which one of these MDC is lacking. This means that:  $a_a([MFC]+[MDC_1]+$  $[MDC_2]$ )> $a_a([MFC]+[MDC_1])$  and  $a_a([MFC]+[MDC_1]+$  $[MDC_2']$ )> $a_a([MFC']+[MDC_2'])$ , similar concentration symbols meaning identical membrane component concentration. The corresponding p\* values typically exhibit the inverse behaviour of  $a_a$  values.

[0090] Preferably, the aggregate adaptability fulfils the condition  $a_a([MFC]+[MDC_1]+[MDC_2])>a_a([MFC]+[MDC_1]+[MDC_2])>a_a[MFC]+[MDC_2]), wherein the combined molar concentration of both membrane destabilizing compounds [MDC_1]+[MDC_2] in aggregates comprising three amphipats (MFC+MDC_1+MDC_2) is equal or less than the molar concentration of [MDC_1] in the aggregates that comprise only two amphipats (MFC+MDC_1) and/or is less than the molar concentration of [MDC_2] in the aggregates comprising only two amphipats$ 

(MFC+MDC<sub>2</sub>), at the same molar concentration of MFC, or the aggregate adaptability fulfils the condition  $a_a([MFC]+[MDC_1]+[MDC_2])\approx a_a([MFC]+[MDC_1])$  and/or  $a_a([MFC]+[MDC_1]+[MDC_2])\approx a_a([MFC]+[MDC_2])$ , wherein the combined molar concentration  $[MDC_1]+[MDC_2]$  in aggregates comprising three amphipats  $(MFC+MDC_1+MDC_2)$  is less than the molar concentration of  $[MDC_1]$  in the aggregates comprising only two amphipats  $(MFC+MDC_1)$  and/or is less than the molar concentration of  $[MDC_2]$  in the aggregates comprising only two amphipats  $(MFC+MDC_2)$ , at the same molar concentration of  $[MDC_2]$  in the same molar concentration of  $[MFC+MDC_2]$ , at the same molar concentration of  $[MFC+MDC_2]$ , at the same typically exhibit the inverse behaviour of  $[MFC+MDC_2]$ .

[0091] Therefore, a second membrane destabilizing compound can be used to form aggregates comprising three amphipates (MFC+2 different MDCs) and thus achieve aggregate adaptability a<sub>a</sub> which is higher than that of an aggregate comprising only two amphipats (MFC+MDC). Accordingly MDC<sub>1</sub> can be used to increase the adaptability a<sub>a</sub> of an aggregate comprising MFC and MDC2, and MDC2 can be used to increase the adaptability a<sub>a</sub> of an aggregate comprising MFC and MDC<sub>1</sub> by forming an aggregate comprising three amphipats (MFC+2 different MDCs). Likewise the second membrane destabilizing compound can be used to decrease the amount of the first membrane destabilizing compound which would be necessary to achieve a certain adaptability a<sub>a</sub> when used alone in an aggregate comprising two amphipats. Accordingly MDC1 can be used to form an aggregate comprising three amphipats (MFC+MDC<sub>1</sub> and MDC<sub>2</sub>) to lessen the amount of MDC<sub>2</sub> necessary when used alone in an aggregate comprising MFC and MDC<sub>2</sub> to achieve a certain adaptability a<sub>a</sub> and/or MDC<sub>2</sub> can be used to form an aggregate comprising three amphipats (MFC+MDC<sub>1</sub> and MDC<sub>2</sub>) to lessen the amount of  $\ensuremath{\mathsf{MDC}}_1$  necessary when used alone in an aggregate comprising MFC and MDC<sub>1</sub> to achieve a certain adaptability. Preferably the second membrane destabilizing compound MDC<sub>1</sub> or MDC<sub>2</sub> is used to form an aggregate comprising three amphipats (MFC+MDC<sub>1</sub>+MDC<sub>2</sub>) whereby the total molar amount of destabilizing compound necessary to achieve a certain adaptability of an aggregate comprising two amphipats, one membrane forming compound and the respective other membrane destabilizing compound (MFC+  $MDC_1$ ) or  $(MFC+MDC_2)$ , is reduced, so  $[MDC_1]+[MDC_2]$ in amphipats comprising [MFC]+[MDC<sub>1</sub>]+[MDC<sub>2</sub>] is less than [MDC<sub>1</sub>] in amphipats comprising [MFC]+[MDC<sub>1</sub>] and/ or [MDC<sub>2</sub>] in amphipats comprising [MFC]+[MDC<sub>2</sub>].

[0092] We note that the characteristics listed in previous paragraph favourably affect the transport of said pluri-component mixed lipid vesicles through the skin. Simultaneous presence of at least two bilayer destabilising amphipats in aggregate suspension based on the lipid that forms stable bilayers is therefore beneficial for application of corresponding pharmaceutical formulations on semi-permeable barriers, such as the skin.

[0093] We thus unveil a fairly general, previously unknown phenomenon with great practical and commercial potential. An example is the transport of drugs across various biological barriers mediated by the three-component aggregates (typically vesicles comprising two membrane-destabilising amphipats) in said at least quaternary mixture. The requirement for this is the capability of complex aggregates to cross pores with a radius at least 25% smaller than the average aggregate radius before passage through the pores. The pores can also be part of the pathway through the skin, which makes said at least quaternary mixtures suitable for transdermal drug

delivery. Quaternary mixtures containing at least one polar, but poorly soluble lipid (which on its own forms extended aggregates) and at least two relatively highly soluble amphipats (surfactants/drugs, which tend to destabilise the aforementioned lipid bilayer), consequently can improve drug transport into the body of warm blood creatures.

[0094] Most drugs are amphipatic. Many such molecules, especially in the ionised form, are also edge active and are thus attracted to the hydrophilic-hydrophobic boundaries. Some drugs may self-aggregate or at least tend to adsorb to an air-water or lipid-water interface; this is mainly due to hydrophobic, ionic, or H-bond interactions between drugs and lipid (aggregates), which can lead to the creation of weak druglipid associates. The solubility and/or amphipaty of such associates typically are greater than that of the involved lipid or drug alone. This is the reason why amphipatic drugs under certain conditions can destabilise or even permeate and solubilise lipid bilayer membranes. Such drugs then act as membrane destabilising components (MDC) in the sense of the present invention, but this is not necessarily the case under all conditions. Typically, sufficiently high drug solubility and sufficiently high drug partition coefficient in or binding constant to a bilayer membrane are both required for the effect. The specific, suitable value for these two parameters depends on choice of other system characteristics (pH, salt and its concentration, lipid concentration, water activity, etc.). The rule of thumb is that the highest membrane-concentration of the most water-soluble drug form normally will work best, stability considerations permitting.

[0095] To solve the above mentioned problems, this invention describes preparations based on a combination of at least one first, at least one second, and at least one third amphipatic component suspended in a suitable liquid medium in the form of corresponding mixed amphipat aggregates with one or a few bilayer-like, mixed amphipat coating(s), in which the combination of all three said components form extended surfaces in contact with said liquid medium that are at least 50% more extended, on the average, than the typical surface of the aggregates comprising the said at least one second and at least one third amphipatic component alone and the adaptability of extended surface aggregates comprising all three said amphipatic components to ambient stress exceeds by at least 20% or by at least twice the standard deviation of a typical measurement, whichever is smaller, the adaptability of the aggregates with extended surface that comprises the at least one first and the at least one second amphipatic component used at the corresponding concentrations or the adaptability of the extended surface comprising the at least one first and the at least one third amphipatic component at corresponding concentrations, whichever is smaller, for the application, administration or transport of an active ingredient, which can be one of the three amphipatic components, especially for biological, medical, immunological, or cosmetic purposes, into and through the pores in semi-permeable barriers or other constrictions, such as through the skin of warm blood creatures or

[0096] In an alternative definition of the described problems solution, a combination of at least one first, at least one second, and at least one third amphipatic component suspended in a suitable liquid medium in the form of mixed amphipat aggregates with one or a few bilayer-like, mixed amphipat coating(s), and thus with an extended surface, is used, in which the said at least one first amphipatic component, on the one hand, and said at least one second and one third amphipatic components, on the other hand, have at least 2-times different solubilities in said liquid medium, and said at least one first substance has a tendency to self aggregate and is at least 10-times less soluble in said liquid medium than said at least one second and said one third substance, allowing the first to form extended surfaces; furthermore, said at least one second substance is at least 10-times more soluble in said liquid medium and, on its own, tends to form or supports the formation of surfaces that are at least 2-times less extended than the surfaces containing the at least one first substance alone and said at least one third substance is also at least 10-times more soluble in said liquid medium than the first substance and may, but needs not, form self-aggregates with aggregation numbers at least 10-times smaller than that of self-aggregates of said first substance and said extended surfaces comprising said at least one first, at least one second and at last one third substance, in equilibrium, have at least 50% greater extended surfaces than the surfaces formed by the at least one second or one third substance alone and/or both together, and preferably the aggregates with an extended surface comprising all three said amphipatic components have adaptability to ambient stress that exceeds by at least 20% or by at least twice the standard deviation of a typical measurement, whichever is smaller, provided that the adaptability of the extended surface comprising the at least one first and the at least one second amphipatic component used at the corresponding concentrations or the adaptability of the extended surface comprising the at least one first and the at least one third amphipatic component at the corresponding concentrations, whichever is smaller, all of which serves the purpose of application, administration or transport of at least one active ingredient, which can be amongst said three substances, especially for medicinal or biological purposes, into and through barriers and constrictions, such as the skin of warm blood creatures or the like.

[0097] A favourable problem solution relies on use of said extended surfaces n the form of membrane surfaces.

[0098] Suitable combinations also fulfil the requirements as stated in previous paragraphs, simultaneously ensuring that the said at least one second substance increases the flexibility of extended surfaces comprising said at least one first, at least one second, and at least one third substance in comparison with the surfaces formed merely by an at least one first substance or else with the surfaces formed by at least one first and at least one third substance.

[0099] Further suitable combinations fulfil the requirements by ensuring that the said at least one second and one third substance together increase the permeability of extended surfaces containing the said at least one first, at least one second, and at least one third substance, in comparison with the surfaces formed merely by the at least one first substance or else with the surfaces formed by at least one first and at least one third substance.

[0100] Combinations, which also fulfil said requirements contain said at least one second substance such that increases the ability to tolerate high curvature, as assessed by relative stability of said aggregates with an extended surface comprising said one first, said one second and said one third substance against enforced higher curvature during passing through a constriction with maximum diameter at least 1.4 times smaller than the average diameter of an extended surface formed by an at least one first substance alone.

[0101] When expressed in terms of relative solubilities of different components, combinations as taught by this appli-

cation preferably comprise at least one first substance and the at least one second substance that differ in solubility in the suspending medium on the average at least 10-fold. Alternatively, the at least one second substance and the at least one third substance differ in solubility in the suspending medium on the average at least 2-fold.

[0102] It is furthermore recommendable to use combinations, as described in previous paragraphs, characterised by the fact that the concentration of said at least one second substance used in the combination with said one first and said one third substance is below 80% of the concentration that would be needed to render the aggregates comprising only said one first and said one second substance as adaptable to ambient stress as the selected combination of all at least three substances. In preferred combinations according to the description in penultimate paragraph, the concentration of said at least one second substance amounts to at least 0.1% of the relative stated concentration. In further preferred combination, the concentration of said at least one second substance amounts to 1-80% of the relative stated concentration.

[0103] It is also possible to define the combination suitable for solving the problems described in this application by selecting relative concentration of said at least one third substance, used in combination with said one first and said one second substance, to be above 0.1% of maximum possible concentration of the said at least one third substance in the system, a) as defined in terms of the solubility of said third substance in the system or in said at least three-component aggregates, or else b) as determined by the negative action of said at least one third substance on the stability of said at least three-component aggregates. This means that more than 0.1% of saturating concentration of said third substance in the at least three component aggregates is preferably used or else, that the 0.1% limit pertains to maximum possible concentration of said third substance which results in at least threecomponent aggregates to fail to fulfil the necessary aggregate stability criterion defined previously in the text.

[0104] Furthermore, it is possible to define a suitable combination by requesting relative concentration of said at least one third substance used in combination with said one first and with said one second substance to be between 1% and 99%, more favourably to be between 10% and 95%, and most preferably to be between 25% and 90% of maximum possible concentration of said at least one third substance, a) as defined in terms of the solubility of said third substance in the system or in said at least three-component aggregates, or else b) as determined by the detrimental effect of said at least one third substance on the stability of said at least three-component aggregates, the qualitative meaning of these definitions being described in previous paragraph.

[0105] Problem solving amphipat combination preferably contains between 0.01 weight-% and 50 weight-% dry mass as total mass of all at least three amphipatic substances, which together form highly adaptable aggregates with an extended surface. In more preferred formulations, this mass is selected to be between 0.1 weight-% and 40 weight-%, even more preferably between 0.5 weight-% and 30 weight-% and most preferably between 1 weight-% and 15 weight-%.

[0106] Amphipat combinations designed according to this application form extended surfaces with a high adaptability, containing said at least three substances, preferably with an average surface curvature corresponding to an average radius between 15 nm and 5000 nm. A particularly favoured choice are the systems with extended highly adaptable surfaces,

which contain said at least three substances, with an average curvature corresponding to an average radius between 30 nm and 1000 nm, more preferably between 40 nm and 300 nm and most preferably between 50 nm and 150 nm.

[0107] Electrolyte composition and concentration affects the desirable properties of said amphipat combinations. It is therefore preferred to select these characteristics of the electrolyte in which the extended surfaces with at least one first, at least one second, and at least one third substance are suspended, and which comprises mono and/or oligovalent ions, so as to attain ionic strength between I=0.001 and I=1. A more preferred choice yields ionic strength between I=0.02 and I=0.5 which even more preferably is selected to be between I=0.1 and I=0.3.

[0108] Proton concentration in the selected electrolyte is an important parameter in case of ionizable systems. pH value of the suspending electrolyte therefore preferably should be chosen: a) in the vicinity of the logarithm of the apparent ionisation constant (pKa) of said at least one second substance, if the latter is mono-ionizable, or in the vicinity of such pKa value that maximises the solubility of said at least one second substance, if the latter has several ionizable groups, or else b) in the vicinity of pH optimum for the most rapidly decaying or the otherwise most sensitive amongst the said at least three substances, if the said at least one second substance is not ionizable. More specifically, the ph value of the polar medium in which the extended surfaces comprising at least one first, at least one second, and at least one third substance are suspended should be between pH=pKa-3 and pH=pKa+3, the final pH selection being also affected by said stability considerations. When a narrower choice is desirable, fixing electrolyte a) between ph=pKa-1.5 and pH=pKa+2, if said at least one third substance is more soluble at high pH, and b) between pH=pKa-2 and pH=pKa+1.5, if said at least one third substance is more soluble at low pH, is recommendable, the final pH selection again being subject to stability considerations.

[0109] A preferred solution to outlined problems is the use of said combinations characterised in that the at least one first substance, which is less soluble in the liquid medium and/or is the surface-building substance in the system, is a lipid, in that the at least one second substance, which is more soluble in the liquid medium and/or increases the tolerable surface curvature or adaptability of said extended surface, is a membrane destabilising amphipat and typically a surfactant, and in that said at least one third substance is either a biologically active amphipatic ingredient, which has a capability of its own to increase the tolerable surface curvature or adaptability of said extended surface, or else is a different surfactant different from the said at least second substance. The second and third substance may be interchanged.

[0110] Some preferred amphipat combinations that can conveniently be used to solved the outlined problems are favourably arranged in the form of minute fluid droplets suspended or dispersed in a liquid, and surrounded by a coating of one or several layers of the at least one first substance, which is capable of self-aggregation, and of at least one second substance and of at least one third substance, which are both amphipatic, provided that a) the former substance and the latter two substances differ in solubility in a suitable liquid suspending medium at least 10-fold, or else provided that b) the average radius of homo-aggregates of the more soluble amongst the at least one second and third substance or of hetero-aggregates of the at least one first, the at least one

second and the at least one third substance is smaller than the average radius of homo-aggregates of said at least one first substance, which is the least soluble amongst the three.

[0111] A preferred and practically very useful choice for the at least one first substance, as defined herein, is a polar or a non-polar, surface-forming lipid. This lipid is most often capable of forming bilayer membranes and preferably forms bilayers on its own. When looked upon from the solubility point of view, such surface-forming lipid can be dissolved in the liquid suspending medium e.g. suspension supporting polar medium preferably in a concentration range between  $10^{-12} \, \mathrm{M}$  and  $10^{-7} \, \mathrm{M}$ .

[0112] For biological applications it is commendable to select the at least one first substance forming extended surfaces as described in this document from the group of lipids, lipoids from a biological source, corresponding synthetic lipids and biochemical or chemical modifications, i.e. derivatives, thereof.

[0113] Particularly preferred and attractive in the sense of previous paragraph is the group comprising glycerides, glycolipids, glycerophospholipids, isoprenoidlipids, sphingolipids, steroids, sterines or sterols, sulphur-containing lipids, lipids containing at least one carbohydrate residue, or other polar fatty derivatives, which are therefore all suitable candidates for said at least one first substance that forms said extended surfaces. More specifically, the selection is made amongst phosphatidylcholines, phosphatidyl-ethanolamines, phosphatidylglycerols, phosphatidylinositols, phosphatidic acids, phosphatidylserines, sphingomyelins, sphingophospholipids, glycosphingolipids, cerebrosides, ceramidpolyhexosides, sulphaticies, sphingoplasmalogenes, or gangliosides.

[0114] Said extended surface-forming substance, which solves the problems outlined in the application, is preferably selected from the group of lipoids or lipids with one or two, often different, fatty chains, especially with acyl-, alkanoyl-, alkyl-, alkylene-, alkenoyl-, alkoxy, or chains with omegacyclohexyl-, cyclo-propane-, iso- or anteiso-branched segments, or any other practically useful aliphatic chain. There is some preference to use lipids with n-decyl, n-dodecyl (lauryl), n-tetradecyl(myristyl), n-hexadecyl(cetyl), n-octadecyl (stearyl), n-eicosyl (arachinyl), n-docosyl(behenyl) or n-tet-9-cis-dodecenyl(lauroleyl), racosyl(lignoceryl), tetradecenvl (myristoleyl). 9-cis-hexadecenvl (palmitoleinyl), 9-cis-octadecenyl(petroselinyl), 6-transoctadecenyl(petroselaidinylj, 9-cis-octadecenyl(oleyl), 9-trans-octadecenyl(elaidinyl), 9-cis-eicosenyl(gadoleinyl), 9-cis-docosenyl(cetoleinyl) or n-9-cis-tetracosoyl(nervonyl), n-dodecyloxy(lauryloxy), n-decyloxy, n-tetradecyloxy (myristyloxy), n-hexadecyloxy(cetyloxy), n-octadecyloxy (stearyloxy), n-eicosyloxy(arachinyloxy), n-docosoyloxy (behenyloxy) or n-tetracosoyloxy (lignoceryloxy), 9-cisdodecenyloxy(lauroleyloxy), 9-cis-tetradecenyloxy (myristoleyloxy), 9-cis-hexadecenyloxy(palmitoleinyloxy), 6-cisoctadecenyloxy, (petroselinyloxy), 6-trans-octadecenyloxy (petroselaidinyloxy), 9-cis-octadecenyloxy(oleyloxy), 9-trans-octadecenyloxy(elaidinyloxy), and 9-cis-eicosenyl (gadoleinyloxy), 9-cis-docosenyl (cetoleinyloxy) or n-9-cistetracosoyl(nervonyloxy), n-decanoyloxy, n-dodecanoyloxy (lauroyloxy), n-tetradecanoyloxy(myristoyloxy), n-hexadecanoyloxy(palmitoyloxy) I n-octadecanoyloxy (stearoyloxy), n-eicosanoyloxy(arachinoyloxy), n-n-docosoanyloxy (behenoyloxy) and n-tetracosanoyloxy(lignoceroyloxy), 9-cis-dodecenyloxy(lauroleoyloxy), 9-cis-tetradecenoyloxy

(myristoleoyloxy), 9-cis-hexadecenoyloxy(palmitoleinoyloxy), 6-cis-octadecenoyloxy (petroselinoyloxy), 6-trans-octadecenoyloxy(petroselaidinoyloxy), 9-cis-octadecenoyloxy (oleoyloxy), 9-trans-octadecenoyloxyelaidinoyloxy), and 9-cis-eicosenoyloxy (gadoleinoyloxy), 9-cis-docosenoyloxy (cetoleinoyloxy) and 9-cis-tetracosenoyloxy (nervonoyloxy) or the corresponding sphingosine derivative chains.

[0115] A preferred suitable solution to the problems outlined herein are amphipat combinations in which said at least one second substance is a surface active substance such as a surfactant/detergent. The latter is preferably selected from the group comprising nonionic, zwitterionic, anionic and cationic surfactants. It is preferred to use a surfactant with the solubility in a liquid suspending medium such as a polar liquid, in which the extended surfaces are prepared, in the range  $5 \times 10^{-7}$  M to  $10^{-2}$  M.

[0116] A long list of surfactants that qualify for the use in said quaternary suspensions, and a number of definitions, is given in EP 0 475 160 and U.S. Pat. No. 6,165,500, which are herewith explicitly included by reference. Valuable source of information on the substances which qualify in this sense are also different specialist handbooks, such as Handbook of Industrial Surfactants. The following list therefore only offers a selection, which is by no means complete or exclusive, of several surfactant classes that are particularly common or useful in conjunction with the present patent application. This includes ionized long-chain fatty acids or long chain fatty alcohols, long chain fatty ammonium salts, such as alkyl- or alkenoyl-trimethyl-, -dimethyl- and -methyl-ammonium salts, alkyl- or alkenoyl-sulphate salts, long fatty chain dimethyl-aminoxides, such as alkyl- or alkenoyl-dimethyl-aminoxides, long fatty chain, for example alkanoyl, dimethylaminoxides and especially dodecyl dimethyl-aminoxide, long fatty chain, for example alkyl-N-methylglucamides and alkanovl-N-methylglucamides, such as MEGA-8, MEGA-9 and MEGA-10, N-long fatty chain-N,N-dimethylglycines, for example N-alkyl-N,N-dimethylglycines, 3-(long fatty chain-dimethylammonio)-alkanesulphonates, for example 3-(acyldimethylammonio)-alkanesulphonates, long fatty chain derivatives of sulphosuccinate salts, such as bis(2-ethylalkyl)sulphosuccinate salts, long fatty chain-sulphobetaines, for example acyl-sulphobetaines, long fatty chain betaines, such as EMPIGEN BB or ZWITTERGENT-3-16, -3-14, -3-12, -3-10, or -3-8, or polyethylen-glycol-acylphenyl ethers, especially nonaethylen-glycol-octylphenyl ether, polyethylene-long fatty chain-ethers, especially polyethylene-acyl ethers, such as nonaethylen-decyl ether, nonaethylen-dodecyl ether or octaethylene-dodecyl ether, polyethyleneglycol-isoacyl ethers, such as octaethyleneglycolisotridecyl ether, polyethyleneglycol-sorbitane-long fatty chain esters, for example polyethyleneglycol-sorbitane-acyl esters and especially polyethylenglykol-monolaurate (e.g. Tween 20), polyethylenglykol-sorbitan-monooleate (e.g. Tween 80), polyethylenglykol-sorbitan-monolauroleylate, polyethylenglykol-sorbitan-monopetroselinate, polyethylenglykol-sorbitan-monoelaidate, polyethylenglykol-sorbitan-myristoleylate, polyethylenglykol-sorbitan-palmipolyethylenglykol-sorbitan-petroselinylate, toleinylate, polyhydroxyethylene-long fatty chain ethers, for example polyhydroxyethylene-acyl ethers, such as polyhydroxyethylene-lauryl ethers, polyhydroxyethylene-myristovl ethers. polyhydroxyethylene-cetylstearyl, polyhydroxyethylenepalmityl ethers, polyhydroxyethylene-oleoyl ethers, polyhydroxyethylene-palmitoleoyl ethers, polyhydroxyethylene-linoleyl, polyhydroxyethylen-4, or 6, or 8, or 10, or 12-lauryl, miristoyl, palmitoyl, palmitoleyl, oleoyl or linoeyl ethers (Brij series), or in the corresponding esters, polyhydroxyethylen-laurate-, -myristate, -palmitate, -stearate or -oleate, especially polyhydroxyethylen-8-stearate (Myrj 45) and polyhydroxyethylen-8-oleate or -8-laurate, polyethoxylated castor oil 40 (Cremophor EL), sorbitane-mono long fatty chain, for example alkylate (Arlacel or Span series), especially as sorbitane-monolaurate (Arlacel 20, Span 20) or -monooleaate, a long fatty chain, for example acyl-N-methylglucamide, alkanoyl-N-methylglucamide, especially decanoyl-N-methylglucamide, dodecanoyl-N-methylglucamide or octadecenoyl-N-methylglucamide; also suitable are long fatty chain sulphates, for example alkyl-sulphates, alkyl sulphate salts, such as lauryl-sulphate (SDS), oleoyl-sulphate; long fatty chain thioglucosides, such as alkylthioglucosides and especially heptyl-, octyl- nonyl- and decyl-beta-D-thioglucopyranoside; long fatty chain derivatives of various carbohydrates, such as pentoses, hexoses and disaccharides, especially alkyl-glucosides and maltosides, such as hexyl-, heptyl-, octyl-, nonyl- and decyl-beta-D-glucopyranoside or -D-maltopyranoside; further salts, especially sodium or potassium salts, of cholate, deoxycholate, glycocholate, glycodeoxycholate, taurodeoxycholate, taurocholate; corresponding fatty acid salts, especially oleate, elaidate, linoleate, laurate, or myristate; furthermore lysophospholipids, such as n-octadecylene-glycerophosphatidic acid, octadecylenephosphorylglycerol, octadecylene-phosphorylserine, -phosphatidylcholine, n-long fatty chain-glycero-phosphatidic acids, such as n-acyl-glycero-phosphatidic acids, especially lauryl glycero-phosphatidic acids, oleoyl-glycerophosphatidic acid, n-long fatty chain-phosphorylglycerol, such as n-acyl-phosphorylglycerol, especially lauryl-, myristoyl-, oleoyl- or palmitoeloyl-phosphorylglycerol, n-long fatty chain-phosphorylserine, such as n-acyl-phosphorylserine, especially lauryl-, myristoyl-, oleoyl- or palmitoeloyl-phosphorylserine, n-tetradecyl-glycero-phosphatidic acid, n-tetradecyl-phosphorylglycerol, n-tetradecyl-phosphorylserine, corresponding-, elaidoyl-, vaccenyl-lysophospholipids, corresponding short-chain phospholipids, as well as all surface active and thus membrane destabilising polypeptides.

[0117] For the solution of problems addressed by the application, charge-charge or charge-polar headgroup interactions amongst the involved amphipats may be important. If so, the following consideration can be made: if the at least one second substance is charged the at least one third substance can be is uncharged and if the at least one second substance is uncharged the at least one third substance ideally should be charged; similar preference of combinations is also possible for the said at least one first and one second or for the said at least one first and one third substance, respectively. When at least one charged amphipat is used to prepare aggregates with at least three different components, the extended aggregate surface, formed by the at least one first, one second and one third substance, at least one of which is charged, is preferably chosen to contain between 1% and 75% of the charged component. An even more favourable choice is to use combinations of at least one first, one second and one third substance, at least one of which is charged, that contain between 5% and 50% of the charged component and most preferably between 10% and 30% of the charged component.

[0118] In some cases it is preferred to use combinations according to claims of this application such that contain a

phosphatidylcholine, a phosphatidylethanolamine-N-monoor N-di-methyl, phosphatidic acid or its methyl ester, phosphatidylserine and/or phosphatidylglycerol as the surfacesupporting at least one first substance and a lysophospholipid, especially a lysophosphatidic acid, lysomethylphosphatidic acid, lysophosphatidylglycerol, lysophosphatidylcholine, a partially N-methylated lysophosphatidylethanolamine, or else a monovalent salt of cholate, deoxycholate, glycocholate, glycodeoxycholate, or a sufficiently polar sterol-derivative, or a suitable salt form of laurate, myristate, palmitate, oleate, palmitoleate, elaidate or some other pharmaceutically acceptable long-chain fatty acid salt and/or a Tween-, a Myrj-, or a Brij-surfactant with said aliphatic chains, or a Triton, a long-chain fatty sulphonate, -sulphobetaine, -N-glucamide or -sorbitane (Arlacel or Span) surfactant, any of which can take the role of the at least one second or of at least one third substance, as the case may be, such second/third substance on its own forming less extended surfaces than the at least one first substance on its own.

[0119] Preferred combinations that conveniently solve the outlined problems may alternatively contain a biologically active amphipat, which can destabilise lipid membranes, as the least one second or one third substance, as the case may be, unless a surfactant different from the at least one second substance or one third substance, but otherwise selected from similar surfactant classes, is selected for the purpose.

[0120] As a useful rule of the thumb, which can be applied to select a suitable at least one third or second substance, is preferably to select the solubility of such substance in the liquid suspending medium, such as a polar liquid, to be between  $10^{-6}$  M and 1 M.

[0121] For some embodiments it is preferred to seek such molecule taking the role of at least one third or second amphipat that adsorbs to the surface of lipid bilayers but is also well miscible with or reasonably soluble in the polar liquid in which said extended lipid bilayer surfaces are formed.

[0122] It is furthermore preferred, and practically useful, to use such drug or drug form that can take the role of as the at least one third or second substance, as the case may be, especially when this role is not taken by the at least one first and/or the at least one second or third substance, respectively. If so, such ionisation or salt form of the drug is chosen that serve the purpose best. To the effect, the bulk pH, electrolyte composition and concentration value, and in case of need also co-solvents including different short chain alcohols or other short chain polar amphipats are selected appropriately.

[0123] Drugs suitable for solving the problems sketched in this work can belong to the class of substituted ammonium compounds of the formula

$$\begin{array}{c}
Ra \\
\downarrow \\
Rb \\
Rc
\end{array}$$
(1)

in which a) Ra represents a hydrophobic group, and Rb, Rc, and Rd, independently of one another, each represents hydrogen, Cl-C4-alkyl, 2-hydroxyethyl, allyl or cycle-C3-C6-alkyl-Cl-C3-alkyl, or two of the radicals Rb, Rc and Rd together represent C4- or C5-alkylene optionally interrupted by —HN—, —N(C1-C4-alkyl)-, —N(2-hydroxyethyl)- or by oxygen, or; b) Ra and Rb are two hydrophobic groups or

together represent a hydrophobic group, and Rc and Rd, independently of one another, each represents hydrogen, C1-C4-alkyl, allyl or cyclo-C3-C6-alkyl-C1-C3-alkyl, or c) Ra, Rb and Rc together represent a hydrophobic group, and Rd represents hydrogen or C1-C4-alkyl, and A<sup>-</sup> represents the anion of a pharmaceutically acceptable acid, as a carboxylic acid salt of the formula

$$Ra$$
— $COO^-Y^+$  (2)

Ra representing a hydrophobic group and  $Y^+$  representing the cation of a pharmaceutically acceptable base, as an alpha-amino acid compound of the formula

$$\begin{array}{c|c} Rb & Ra \\ \hline N & \\ N & \\ Rc & COOH \end{array}$$

[0124] In the above formula 3, Ra represents a hydrophobic group and Rb and Rc, independently of one another, each represents hydrogen or C1-C4-alkyl, as a phosphoric acid monoester of the formula

$$Ra \longrightarrow O \longrightarrow P \qquad (4)$$

$$O \longrightarrow P \qquad O \longrightarrow P$$

$$O \longrightarrow P \qquad O \longrightarrow P$$

wherein Ra represents a hydrophobic group and Y<sup>+</sup> represents the cation of a pharmaceutically acceptable base, or as an acid addition salt of a compound having a hydrophobic group Ra and an imidazoline, imidasolidine or hydrasino group as hydrophilic group.

[0125] In a substituted ammonium compound of the formula 1 that can be used as a medicament, in case a) the hydrophobic group Ra is an aliphatic hydrocarbon radical that can be interrupted by an oxygen or sulphur atom, may contain the groups —CO(=O)—, —O—C(=O)—, —C(O)—NH—,—O—C(=O)—NH— or hydroxy, and can be substituted by from 1 to 3 optionally substituted, monocyclic, aliphatic or aromatic hydrocarbon radicals, by an optionally substituted, bi- or tri-cyclic, aromatic or partially saturated hydrocarbon radical, by an optionally substituted, monocyclic, aromatic, partially saturated or saturated heterocycle or by an optionally substituted, bi- or tri-cylic, aromatic, partially saturated or benzo-fused heterocycle.

[0126] The hydrophobic group Ra can also be an optionally substituted, monocyclic, aliphatic or aromatic hydrocarbon radical or a bicyclic, aliphatic or benzo-fused hydrocarbon radical. The hydrophilic group is, for example, a group of the formula

$$\begin{array}{c|c}
 & \downarrow \\
 & \downarrow \\
 & \downarrow \\
 & \downarrow \\
 & Rc
\end{array}$$
Rd

wherein Rb, Rc, and Rd, independently of one another, each represents hydrogen, C1-C4-alkyl, for example methyl,

ethyl, isopropyl or n-propyl, or 2-hydroxyethyl, or in which two of the radicals Rb, Rc, and Rd together represent piperidino, piperazinyl, 1-methylpiperazinyl, 1-(2-hydroxyethyl)-piperazinyl or morpholino, and the other radical represents hydrogen.

[0127] In a substituted ammonium compound of the formula 1 that can be used as a medicament, in case b) Ra and Rb are two hydrophobic groups, for example two aliphatic hydrocarbon radicals, which can be substituted by one or two optionally substituted, monocyclic, aliphatic or aromatic hydrocarbon radicals or by an optionally substituted, monocyclic, aromatic, partially saturated or saturated heterocycle, or Ra and Rb together represent an optionally substituted, monocyclic, aromatic, saturated, partially saturated or benzofused heterocycle. The hydrophilic group is a group of the formula

in which Rc and Rd, independently of one another each represents hydrogen or C1-C4-alkyl, preferably methyl.

[0128] In a substituted ammonium compound of the formula 1, which can be used as a medicament, in case c) Ra, Rb, and Rc form the hydrophobic group and together represent an optionally substituted, aromatic, partially saturated or benzofused heterocycle. The hydrophilic group is a group of the formula

$$-$$
N<sup>+</sup> $-$ Rd

in which Rd represents hydrogen or C1-C4-alkyl, preferably methyl.

[0129] A<sup>-</sup> is the anion of a pharmaceutically acceptable acid, for example a mineral acid, for example the chloride, hydrogen sulphate or dihydrogen phosphate ion, the bromide or iodide ion, or the anion of an organic acid, for example a lower alkanecarboxylic acid, for example the acetate ion, of an unsaturated carboxylic acid, for example the fumarate or maleate ion, of a hydroxy acid, for example the lactate, tartrate or citrate ion, or of an aromatic acid, for example the salicylate ion.

[0130] In a carboxylic acid salt of the formula 2, which can be used as a medicament, the hydrophobic group Ra is an aliphatic hydrocarbon radical that can be substituted by an optionally substituted, monocyclic, aromatic hydrocarbon radical or by an optionally substituted, bi- or tri-cyclic, aromatic or partially saturated hydrocarbon radical, by an optionally substituted, monocyclic, aromatic or partially saturated or benzo-fused heterocycle or by a steroid radical, or Ra is an optionally substituted, monocyclic, aromatic hydrocarbon radical, an optionally substituted, bi- or tri-cyclic, aromatic or partially saturated hydrocarbon radical, an optionally substituted, monocyclic, aromatic or partially saturated hydrocarbon radical, an optionally substituted, monocyclic, aromatic or partially saturated heterocycle or an saturated or benzo-fused heterocycle.

[0131] The cation Y<sup>+</sup> of a pharmaceutically acceptable base is, for example, an alkali metal ion, for example a lithium, sodium or potassium ion, an alkaline earth metal ion, for example a magnesium or calcium ion, or an ammonium or mono-, di- or tri-C1-C4-alkylammonium ion, for example a trimethyl-, ethyl-, diethyl- or triethyl-ammonium ion, a 2-hydroxyethyl-tri-C1-C4-alkylammonium ion, for example cholinyl, or the cation of a basic amino acid, for example lysine or arginine.

[0132] Carboxylic acid salts of the formula 2 having biological activity or carboxylic acids that can be converted into them by salt formation are, for example, salts of glucocorticoids that are esterified in the 21-position by a dicarboxylic acid, for example methylprednisolone sodium succinate, prednisolone sodium succinate; short-term narcotics of the 3,20-dioxo-5β-pregnane type that can be esterified by succinic acid, for example hydroxydione succinate sodium or 11,20-dioxo-3alpha-hydroxy-5alpha-pregnane, for example alphaxolone, or the 21-compound, for example alphadolone; salts of choleritics, for example cholic acid salts or deoxycholic acid salts; analgesics, for example salts of substituted phenylacetic acids or 2-phenylpropionic acids, for example alclofenac, ibufenac, ibuprofen, clindanac, fenclorac, ketoprofen, fenoprofen, indoprofen, fenclofenac, diclofenac, flurbiprofen, pirprofen, naproxen, benoxaprofen, carprofen or cicloprofen; analgesically active anthranilic acid derivatives, for example of the formula optionally substituted, bi- or tricyclic, aromatic,

COOH
$$R_{2}$$

$$R_{3}$$

$$R_{3}$$

in which R1, R2 and R3 independently of one another, each represents hydrogen, methyl, chlorine or trifluoromethyl, for example mefenamic acid, flufenamic acid, tolfenamic acid or meclofenamic acid; analgesically active anilino-substituted nicotinic acid derivatives, for example miflumic acid, clonixin or flunixin; analgesically active heteroarylacetic acids or 2-heteroarylpropionic acids having a 2-indol-3-yl or pyrrol-2-yl radical, for example indomethacin, oxmetacin, intrazol, acemetazin, cinmetacin, zomepirac, tolmetin, colpirac or tiaprofenic acid; analgesically active indenylacetic acids, for example sulindac; analgesically active heteroaryloxyacetic acids, for example benzadac, prostanoic acids that stimulate the smooth musculature, for example PGE2 (dinoprostone), PGF2alpha (dinoprost), 15 (S)-15-methyl-PGE2, 15(S)-15-methyl-PGF2alpha, (carboprost), (!) 15 (Xi)-15methyl-13,14-dihydro-11-deoxy-PGE1 (deprostil), 15(S)-15-methyl-11-deoxy-PGE1 (doxaprost), 16,16-dimethyl-PGE2, 17-phenyl-18,19,20-trinor-PGF2alpha, 16-phenoxy-17,18,19,20-tetranor-PGF2alpha, for example cloprostenol or fluprostenol, or N-methylsulphonyl-15-phenoxy-17,18, 19,20-tetranor-PGF2alpha (suiproston); bacteriostatics, for example salts of nalixidic acid derivatives, for example salts of nalixidic acid, cinoxacin, oxolinic acid, pironidic acid or pipenidic acid, penicillanic acid and cephalosporanic acid derivatives having antibiotic activity with 6β- or 7β-acylamino groups, which are present in fermentatively, semisynthetically or totally synthetically obtainable 6β-acylamino-penicillanic acid or 7β-acylaminocephalosporanic acid derivatives or 7β-acylaminocephalosporanic acid derivatives modified in the 3-position, for example penicillanic acid derivatives that have become known under the names penicillin G or V, phenethicillin, propicillin, nafcillin, oxacillin, cloxacillin, dicloxacillin, flucloxacillin, cyclacillin, epicillin, mecillinam, methicillin, azlocillin, sulbenicillin, ticarcillin, mezlocillin, piperacillin, carindacillin, azidocillin or ciclazillin, or cephalosporin derivatives that have become known under the names cefaclor, cefuroxime, cefaziur, cephacetrile, cefazolin, cephalexin, cefadroxil, cephaloglycin, cefoxitin, cephaloridine, cephsulodin, cefotiam, ceftazidine, ciefonicid, cefotaxime, cefinenoxime, ceftizoxime, cephalothin, cephradine, cefamandol, cephanone, cephapirin, cefroxadin, cefatrizine, cefazedone, ceftrixon or ceforanid, and other β-lactam antibiotics, for example moxalactam, clavulanic acid, nocardicine A, sulbactam, aztreonam or thienamycin; or antineoplastics having a 4-[bis-(2-chloroethyl)amino-phenyl]-butyric acid structure, for example chlorambucil, or antineoplastics having two carboxy grows, for example methotrexate.

[0133] Compounds of the formula 3 having a biological activity are, for example, neurotransmitters in which the hydrophobic group is methyl substituted by hydroxyphenyl, for example L-tyrosine, L-dopa, alpha-methyldopa or metirosine; thyroid hormones having iodine-substituted phenyl radicals, for example levo-thyrosine, diiodotyrosine or liothyronine; or anti-neoplastics having an amino acid structure, for example melphalen.

[0134] In a compound of the formula 4 having biological activity the non-polar, hydrophobic group Ra is a glucocorticoid radical and A<sup>+</sup> is sodium, for example betamethasone disodium phosphate, dexamethasone disodium phosphate, cortisone phosphate, hydrocortisone phosphate, prednisolone disodium phosphate or paramethasone-21-disodium phosphate, Salt-type compounds having a hydrophobic group and an imidazoline, imidazolidine or hydrazino group as hydrophilic group are, for example, salts of anti-depressantly active hydrazine derivatives, for example iproniazid, nialamide, isocarboxazid, phenelzine, pheniprazine, mebanazine or fenoxypropazine; a-sympathomimetics having an imidazoline structure, for example naphazoline, tetryzolin, tramazoline, xylo-metazoline or oxyinetazoline; n-sympatholytics having an imidazoline structure, for example phentolamine or tolazoline, or centrally active antihypertensives having an imidazoline structure, for example clonidine, tolonidine or flutonidine; or vasodilatators having a hydrazino group, for example dihydralazine, hydralazine or picodralazine.

[0135] A phospholipid (II) that is mixed with an amphipatic compound (I) with surfactant of biological activity, such as a drug, for example, can have the following formula

in which one of the radicals R1 and R2 represents hydrogen, hydroxy or C1-C4-alkyl, and the other radical represents a long fatty chain, especially an alkyl, alkenyl, alkoxy, akenyloxy or acyloxy, each having from 10 to 24 carbon atoms, or both radicals R1 and R2 represent a long fatty chain, especially an alkyl, alkenyl, alkoxy, alkenyloxy or acyloxy each having from 10 to 24 carbon atoms, R3 represents hydrogen or C1-C4-alkyl, and R4 represents hydrogen, optionally substituted C1-C7-alkyl or a carbohydrate radical having from 5 to 12 carbon atoms or, if both radicals R1 and R2 represent hydrogen or hydroxy, R4 represents a steroid radical, or is a salt thereof. The radicals R1, R2, R3, and R4 are typically selected so as to ensure that lipid bilayer membrane is in the fluid lamellar phase during practical application and is a good match to the drug of choice.

[0136] In a phospholipid of the formula 5, R1, R2 or R3 having the meaning C1-C4-alkyl is preferably methyl, but may also be ethyl, n-propyl, or n-butyl.

[0137] Alkyl R1 or R2 is preferably straight-chained with an even number of 10 to 24 carbon atoms, for example n-decyl, n-dodecyl(lauryl), n-tetradecyl(myristyl), n-hexadecyl (cetyl), n-octadecyl (stearyl), n-eicosyl(arachinyl), n-docosyl (behenyl) or n-tetracosyl(lignoceryl).

[0138] Alkenyl R1 and/or R2 is preferably straight-chained with an even number of 12 to 24 carbon atoms and a double bond, for example 9-cis-dodecenyl(lauroleyl), 9-cis-tetradecenyl (myristoleyl), 9-cis-hexadecenyl(palmitoleinyl), 9-cis-octadecenyl(petroselinyl), 6-trans-octadecenyl (petroselaidinyl), 9-cis-octadecenyl(oleyl), 9-trans-octadecenyl (elaidinyl), 9-cis-eicosenyl (gadoleinyl), 9-cis-docosenyl (cetoleinyl) or n-9-cis-tetracosoyl(nervonyl).

[0139] Alkoxy R1 and/or R2 is preferably straight-chained with an even number of 10 to 24 carbon atoms, for example n-decyloxy, n-dodecyloxy(lauryloxy), n-tetradecyloxy (myristyloxy), n-hexadecyloxy (cetyloxy), n-octadecyloxy (stearyloxy), n-eicosyloxy(arachinyloxy), n-docosoyloxy (behenyloxy) or n-tetracosoyloxy(lignoceryloxy).

[0140] Alkenyloxy R1 and/or R2 is preferably straight-chained with an even number of 12 to 24 carbon atoms, for example 9-cis-dodecenyloxy(lauroleyloxy), 9-cis-tetradecenyloxy(myristoleyloxy), 9-cis-hexadecenyloxy(palmitoleinyloxy), 6-cis-octadecenyloxy, (petroselinyloxy), 6-trans-octadecenyloxy (petroselaidinyloxy), 9-cis-octadecenyloxy(oleyloxy), 9-trans-octadecenyloxy (elaidinyloxy), and 9-cis-eicosenyl(gadoleinyloxy), 9-cis-docosenyl(cetoleinyloxy) or n-9-cis-tetracosoyl (nervonyloxy).

[0141] Acyloxy R1 and/or R2 is preferably straight-chained with an even number of 10 to 24 carbon atoms, for example alkanoyloxy or alkenoyloxy, preferably n-decanoyloxy, n-dodecanoyloxy (lauroyloxy), n-tetradecanoyloxy (myristoyloxy), n-hexadecanoyloxy(palmitoyloxy) I n-octadecanoyloxy (stearoyloxy), n-eicosanoyloxy (arachinoyloxy), n-n-docosoanyloxy (behenoyloxy) and n-tetracosanoyloxy(lignoceroyloxy).

[0142] Alkenoyloxy R1, and/or R2 is preferably straight-chained with an even number of 10 to 20 carbon atoms, for example 9-cis-dodecenyloxy(lauroleoyloxy), 9-cis-tetradecenoyloxy (myristoleoyloxy), 9-cis-hexadecenoyloxy (palmitoleinoyloxy), 6-cis-octadecenoyloxy (petroselinoyloxy), 6-trans-octadecenoyloxy(petroselaidinoyloxy), 9-cis-octadecenoyloxy (oleoyloxy), 9-trans-octadecenoyloxyelaid inoyloxy), and 9-cis-eicosenoyloxy(gadoleinoyloxy), 9-cis-docosenoyloxy(cetoleinoyloxy) and 9-cis-tetracosenoyloxy (nervonoyloxy).

[0143] Optionally substituted C1-C7-alkyl R4 is, for example, methyl, ethyl, isopropyl, n-propyl, isobutyl or n-butyl, which can be substituted by acidic groups, for example, carboxy or sulpho, by acidic and basic groups, for example, carboxy and amino, the amino group being in the alphaposition to the carboxy group, by free or etherified hydroxy groups, it being possible for two etherified hydroxy groups to be bonded to one another by a bivalent hydrocarbon radical, for example methylene, ethylene, ethylidene, 1,2-propylene or 2,2-propylene; or by halogen, for example chlorine or bromine, by lower alkoxycarbonyl, for example methoxy- or ethoxy-carbonyl, or by lower alkanesulphonyl, for example methanesulphonyl.

[0144] Substituted C1-C7-alkyl R4 is, for example, carboxy-lower alkyl for example carboxymethyl, 2-carboxyethyl or 3-carboxy-n-propyl, (omega-amino-omega-carboxy-lower alkyl for example 2-amino-2-carboxyethyl or 3-amino-3-carboxy-n-propyl, hydroxy-lower alkyl for example 2-hydroxyethyl or 2,3-dihydroxypropyl, lower alkoxy-lower alkyl for example methoxy- or ethoxy-methyl, 2-methoxyathyl or 3-methoxy-n-propyl, lower alkylene-dioxy-lower alkyl for example 2,3-ethylenedioxypropyl or 2,3-(2,2-propylene)-dioxy-propyl, or halo-lower alkyl for example chloro- or bromo-methyl, 2-chloro- or 2-bromo-ethyl, 2- or 3-chloro- or 2- or 3-bromo-n-propyl.

[0145] Substituted C1-C7-alkyl R4 is preferably ethyl substituted by tri-lower alkylammonium, for example trimethylor triethyl-ammonium, for example 2-trimethylammoniumethyl or 2-ammonium-ethyl, or is, for example omega-aminoomega-carboxy-lower alkyl for example 2-amino-2-carboxyethyl.

[0146] A carbohydrate radical R4 having from 5 to 12 carbon atoms is, for example, a natural monosaccharide radical that is derived from a pentose or hexose present in the form of aldose or ketose. Detailed definitions of most relevant carbohydrate radicals (pentoses, hexoses, disaccharides, etc.) is given in the patent EP 0 475 160 by the same applicant.

[0147] A steroid radical R4 is, for example, a sterol radical that is esterified by the phosphatidyl group by way of the hydroxy group located in the 3-position of the steroid nucleus.

[0148] A sterol radical is, for example, the lanosterol, sitosterol, coprostanol, cholestanol, glycocholic acid, ergosterol or stigmasterol radical, preferably the cholesterol radical.

[0149] If R4 represents a steroid radical, R1 and R2 are preferably hydroxy and R3 is hydrogen.

[0150] Phospholipids of the formula 5 can be in the form of free acids or in the form of salts. Salts are formed by reaction of the free acid of the formula II with a base, for example a dilute, aqueous solution of alkali metal hydroxide, for example lithium, sodium or potassium hydroxide, magnesium or calcium hydroxide, a dilute aqueous ammonia solution or an aqueous solution of an amine, for example a mono-, di- or tri-lower alkylamine, for example ethyl-, diethyl- or triethyl-amine, 2-hydroxyethyl-tri-C1-C4-alkyl-amine, for example choline, and a basic amino acid, for example lysine or arginine.

[0151] A phospholipid of the formula 5 has especially two acyloxy radicals R1 and R2, for example alkanoyloxy or alkenoyloxy, for example lauroyloxy, myristoyloxy, palmitoyloxy, stearoyloxy, arachinoyloxy, oleoyloxy, linoyloxy or linoleoyloxy, and is, for example, natural lecithin (R3=hydrogen, R4=2-trimethylammonium ethyl) or cephalin (R3=hydrogen, R4=2-ammonium ethyl) having different

acyloxy radicals R1 and R2, for example egg lecithin or egg cephalin or lecithin or cephalin from soya beans, synthetic lecithin or cephalin having different or identical acyloxy radicals R1 and R2, for example 1-palmitoyl-2-oleoyl lecithin or cephalin or dipalmitoyl, distearoyl, diarachinoyl, dioleoyl, dilinoyl or dilinoleoyl lecithin or cephalin, natural phosphatidyl serine (R3=hydrogen, R4=2-amino-2-carboxyethyl) having different acyloxy radicals R1 and R2, for example phosfrom bovine synthetic phatidyl serine brain. phosphatidylserine having different or identical acyloxy radicals R1 and R2, for example dioleoyl-, dimyristoyl- or dipalmitoyl-phosphatidyl serine, or natural phosphatidic acid (R3 and R4=hydrogen) having different acyloxy radicals R1 and R2.

[0152] A phospholipid of the formula 5 is also a phospholipid in which R1 and R2 represent two identical alkoxy radicals, for example n-tetradecyloxy or n-hexadecyloxy (synthetic ditetradecyl or dihexadecyl lecithin or cephalin), R1 represents alkenyl and R2 represents acyloxy, for example myristoyloxy or palmitoyloxy (plasmalogen, R3=hydrogen, R4=2-trimethylammonium ethyl), R1 represents acyloxy and R2 represents hydroxy (natural or synthetic lysolecithin or lysocephalin, for example 1-myristoyl- or 1-palmitoyl-lysolecithin or -cephalin; natural or synthetic lysophosphatidyl serine, R3=hydrogen, R4=2-amino-2-carboxyethyl, for example lysophosphatidyl serine from bovine brain or 1-myristoyl- or 1-palmitoyl-lysophosphatidyl serine, synlysophosphatidyl glycerine, R3=hydrogen, R4=CH<sub>2</sub>OH—CHOH—CH<sub>2</sub>—, natural or synthetic lysophosphatidic acid, R3=hydrogen, R4=hydrogen, for example egg lysophosphatidic acid or 1-lauroyl-, 1-myristoyl- or 1-palmitoyl-lysophosphatidic acid).

[0153] A lipid that is analogous to abovementioned phospholipid and can replace the latter is, for example, a lysolecithin analogue, for example 1-lauroyl-1,3-propanediol-3-phosphoryl choline, a monoglycenide, for example monoolein on monomyristin, a cerebnoside, a ganglioside, or a glyceride that does not contain a free or esterified phosphoryl or phosphonyl group in the 3-position, for example a diacylglyceride or 1-alkenyl-1-hydroxy-2-acylglyceride, having the mentioned acyl or alkenyl groups in which the 3-hydroxy group is etherified by one of the mentioned carbohydrate radicals, for example a galactosyl radical, for example monogalactosyl glycerine.

[0154] The lipids and certain surfactants mentioned hereinbefore and hereinafter having a chiral carbon atom can be present both in the form of racemic mixtures and in the form of optically pure enantiomers in the pharmaceutical compositions that can be prepared and used according to the invention.

[0155] The said at least one third amphipatic substance in said combination, which acts as a drug, can be an adrenocorticostatic, a  $\beta$ -adrenolytic, an androgen an antiandrogen, an antiparasitic, an anabolic, an anaesthetic, an analgesic, an analeptic, an antiallergic, an antiarrhythmic, an antiarterosclerotic, an antiasthmatic, a bronchospasmolytic, an antibiotic, an antidrepressive, an antipsychotic, an antidiabetic, an anticonvulsive, an anticholinergic, an enzyme, a coenzyme or corresponding inhibitor, an antihistaminic, an antihypertonic, a biological inhibitor of drug activity, an antihypotonic, an anticoagulant, an antimycotic, an antimyasthenic, an agent against Morbus Parkinson or Morbus Alzheimer, an antiphlogistic, an antipyretic, an antirheumatic, an antiseptic, a respi-

ratory analeptic or a respiratory stimulant, a broncholytic, a cardiotonic, a chemotherapeutic, a coronary dilatator, a cytostatic, a diuretic, a ganglium-blocker, a glucocorticoid, an antiflew agent, a haemostatic, a hypnotic, an immunoglobuline or its fragment, an immunologically active substance, a bioactive carbohydrate, a bioactive carbohydrate derivative, a contraceptive, an anti-migraine agent, a mineralo-corticoid, a morphine-antagonist, a muscle relaxant, a narcotic, a neurotherapeutic, a neuroleptic, a neurotransmitter or its antagonist, a small peptide, a small peptide derivative, an ophthalmic, a sympaticomimetic or a sympathicolytic, a parasympaticomimetic or a para-sympathicolytic, a psoriasis drug, a neurodermitis drug, a mydriatic, a psychostimulant, a rhinologic, a sleep-inducing agent or its antagonist, a sedating agent, a spasmolytic, tuberculostatic, an urologic agent, a vasoconstrictor or vasodilatator, a virustatic, a wound-healing substance, or a combination of aforesaid agents.

[0156] When a drug is used as said at least one second or third component, its content is preferably chosen to be between 0.1 rel. % and 60 rel. % compared to the total mass of all three said substances forming said extended surfaces. Somewhat narrower, and more preferred, choice is to use between 0.5 rel. % and 50 rel. % and most favourably between 1 rel. % and 40 rel. % compared to the total mass of all three said substances that form said extended surfaces.

[0157] Said at least one third substance in amphipat combination, which solves the outlined problems, can be a low molecular weight immunomodulator, a bio-catalyst, a coenzyme, a hormone, or a low molecular weight agonist or antagonist of some biologically important substance action.

[0158] Any low to intermediate weight polypeptide with membrane destabilising properties is also useful in the context of this invention, if included into said combinations in suitable form and concentration.

[0159] A list of potential ingredients that can be used for preparing pharmaceutical formulations according to the present invention is given in Cosmetic Ingredient Review (CIR Compendium), which is regularly published in Washington, D.C., and in appropriate Food and Drug Administration or other national regulatory agency publications, including the list of GRAS (Generally Recognised As Safe) compounds.

[0160] It is furthermore an explicit aim of the document, to teach the use of amphipat combinations, as described herein, as drug carriers, drug depots, or for other kind of medicinal or biological application. For the purpose the required extended surfaces are advantageously provided in the form of membranes formed by the at least one first substance, the at least one second and the at least one third substance, which together surround miniature droplets. The substance with a biological activity, such as a drug, is then mainly associated with said droplets at the surface or else is mainly incorporated into the droplet to be carried by the droplet to the place where the biologically active substance is supposed to act.

[0161] Relatively detailed recommendations for preparing compositions, as advocated in this application, are given in EP 0 475 160 and U.S. Pat. No. 6,165,500, which are herewith included by reference. When filtration is use to prepare aggregate suspensions, filter material with pore diameters between 0.01  $\mu m$  and 0.1  $\mu m$ , more preferably with pore diameters between 0.02  $\mu m$  and 0.3  $\mu m$  and even more advisable with pore diameters between 0.05  $\mu m$  and 0.15  $\mu m$  are used for homogenisation.

[0162] The present patent application moreover teaches suitable methods for preparing combinations such that solve the outlined problems by providing suitable formulations of biologically, cosmetically and/or pharmaceutically active agents, comprising the steps of: a) selecting the at least one first and the at least one second substance which together form extended surfaces, when in contact with said liquid suspending medium, such that said extended surfaces formed by the at least one first and the at least one second substance are more adaptable than the at least one first substance alone and the surfaces formed by the at least one second substance alone are not extended; alternatively; b) selecting the at least one first and the at least one third substance which together form extended surfaces, when in contact with said medium, such that said extended surfaces formed by the at least one first and the at least one third substance are more adaptable than the at least one first substance alone and the surfaces formed by the at least one third substance alone are not extended, if this substance self-aggregates; and c) generating said combination of at least one first, at least one second, and at least one third substance, such that the surface of resulting at least three component combination is even more adaptable than the surface prepared from at least one first and one second substance alone or of the surfaces formed by the at least one first and one third substance alone, bringing the combination of at least two or all three said substances into suspension by means of controlled mechanical fragmentation, preferably in the presence of or before being mixed with the at least one third substance, such that said third substance is incorporated at least partly in said extended surface formed by controlled mechanical fragmentation to obtain final preparation.

[0163] It is particularly preferred to use filtration, pressure change or mechanical homogenisation, or else shaking, stirring, or mixing as said means of controlled mechanical fragmentation. The desirable intermediary or final characteristics of the liquid medium used to prepare aggregate suspension are defined in previous paragraphs of this section.

[0164] The present patent application furthermore teaches methods based on use of said at least quaternary mixtures containing at least one active agent selected from the group comprising anti-diabetic agents, growth factors, immunomodulators, enzymes, recognition molecules, adrenocorticostatics, adrenolitics, androgens, antiandrogens, antiparasitics, anabolics, anaesthetics, analgesics, analeptics, antiallergics, antiarrhythmics, antiarterosclerotics, antiasthmatics, bronchospasmolytics, antibiotics, antidrepressiva, antipsychotics, antidots, antiemetics, antiepileptics, antifibrinolytics, anticonvulsiva, anticholinergics, enzyme, coenzymes or corresponding inhibitors, antihistaminics, antihypertonics, biological inhibitors of drug activity, antihypotonics, anticoagulants, antimycotics, antimyasthenics, agents against Morbus Parkinson or Morbus Alzheimer, antiphlogistics, antipyretics, antirheumatics, antiseptics, respiratory analeptics or respiratory stimulants, broncholytics, cardiotonics, chemotherapeutics, coronary dilatators, cytostatics, diuretics, ganglium-blockers, glucocorticoids, antiflew agents, haemostatics, hypnotics, immunologically active substances, contraceptives, anti-migraine agents, mineralocorticoids, morphine-antagonists, muscle relaxants, narcotics, neurotherapeutics, neuroleptics, neurotransmitters or their antagonists, peptides, peptide derivatives, ophthalmics, sympaticomimetics or sympathicolytics, para-sympaticomimetics or para-sympathicolytics, anti-psoriasis drugs, neurodermitis drugs, mydriatics, psychostimulants, rhinologics, sleep-inducing agents or their antagonists, sedating agents, spasmolytics, tuberculostatics, urologics, vasoconstrictors or vasodilatators, virustatics, wound-healing substances, or a combination of aforesaid agents.

[0165] Aforesaid method can rely on either using the recommended at least three amphiphilic substances as such, or else dissolved in a physiologically compatible polar fluid, comprising water or water-miscible fluids, or insolvation-mediating agent, together with a polar solution. Use of cosolvents is also possible.

[0166] A preferred, particularly practical method for preparing said aggregate formulations contains at least one surfactant or surfactant-like amphipat, which destabilises bilayer membrane, and at least one more membrane destabilising, biologically active ingredient or an additional surfactant in said polar solution.

[0167] In the case of need, the method can include the formation of said surfaces induced by addition of one or more formulation or aggregate components into a fluid phase, e.g. by using evaporation from a reverse phase, injection or dialysis, or even by additional mechanical stress.

[0168] Furthermore, it may be-preferred to use preparation method in which the formation of said surfaces is induced by filtration, the filtering material having pores between 0.01  $\mu m$  and 0.8  $\mu m$  wide. The choice of most convenient or favourable pore diameter depends on the desired final aggregate dimensions and also on the anticipated or achieved suspension flux through a filter. Higher flux rates produce stronger shear and relatively smaller final vesicle diameter, suspension viscosity also being important.

**[0169]** When filtration is used to manufacture aggregate suspensions, it may be convenient to use several filters sequentially or in parallel. In the former case, pore diameters in different filters can vary in diameter.

[0170] An preferred advantageous method for preparing suspensions according to the present invention is such that ensures said agents and carriers to associate, at least partly, after the formation of said extended surfaces.

[0171] For better convenience, said extended surfaces, with which agent molecules are made to associate, may be prepared just before the application of the formulation. If desired, and possible, this can be done from a suitable concentrate or a lyophylisate.

[0172] It is practically convenient to use a single container comprising the selected pharmaceutical composition based on the combination of substances as described in previous text. It is also convenient to make said container a part of a package.

[0173] The present patent application moreover teaches a method for generating a therapeutic effect on a warm blood creature by applying a suitably selected pharmaceutical composition onto or into a leaving creature's body, whereby the selection of a suitable combination of substances is made according to the claims of this document.

[0174] Special application of the method described herein is to choose such administration volume that ensures control over the applied medicament dose and the outcome of therapeutic application.

[0175] It may be preferred, and practically valuable, to load a suspension of drug-free aggregates with the drug, via association, during the day prior to an administration, preferably within 360 min, more preferably within 60 min and most preferably within 30 min time window before the administration of resulting formulation in or on the body.

[0176] The method of treatment done according to the present invention typically involves administration of at least

one dose of the pharmaceutical composition with therapeutic activity on or in a warm blood animal.

[0177] Last but not least, the present invention teaches a method for finding suitable compositions, as described herein. This method comprising the steps of: a) determining the flux of aggregates in a suspension associated with a drug through pores in a well-defined barrier, or various barriers, as a function of the driving force or the driving pressure, which acts across the barrier; b) describing the data within the framework of a suitable model such that fits the characteristic flux vs. pressure or penetrability vs. pressure curve; c) to deduce the characteristic system parameters, such as  $p^*$  and  $P_{max}$ , in particular; d) employing said parameters to optimise or characterise the formulation for application. Eq. (\*) is recommended as, and is claimed herein to be, particularly suitable for describing and analysing such data.

# PRACTICAL EXAMPLES

[0178] The following examples illustrate the invention without setting or delineating its limits. All temperatures are in degree Celsius. Carrier diameters are in nanometers, pressures in Pascal (Pa) and other units correspond to standard SI system. Ratios and percentages are given in moles, unless otherwise stated.

[0179] All measurements were done at room temperature, except when specified otherwise. For aggregate adaptability/barrier transport resistance measurements the test temperature was constant to within plus/minus 2 degrees. For aggregate size measurements the temperature accuracy was plus/minus 0.1 degree. The pH value of the bulk suspension was determined with a commercial (gel) electrode. All substances were used as received and were of p.a. quality, unless stated otherwise. Molar masses were taken to be identical to the published reference data.

**[0180]** Determination of Barrier Transport Resistance and aggregate Adaptability. Barrier resistance to the transport of test vesicle suspension in earlier patent applications by the same applicant was called "permeation" resistance. In this document, more precise term "penetration" resistance is used to stress the fact that vesicles do not diffuse (=permeate) through but rather penetrate barriers.

[0181] In first approximation one relies on simple experimental method (SEM) and takes barrier transport resistance (in arbitrary units) to be proportional to the pressure (in arbitrary units) needed to drive a suspension of relatively large vesicles through a 0.2 micrometer filter with good efficacy. (In our experience, a porous filter acts as a permeability barrier when the average pore diameter is at least 40% to 50%, for the vesicles bigger and smaller than 150 nm, respectively, and more preferably is at least 100% smaller than the average vesicle diameter in the tested suspension.) Barrier transport resistance is then given in relative units of 1 to 10 elsewhere (in EP 0475 160 and U.S. Pat. No. 6,165,500) and in this document whenever reference is made to a 0.2 micrometer filter. Barrier penetrability, which in older publications is called permeability, is identified with inverse barrier resistance value. Aggregate adaptability is a direct function of the former value, as is explained e.g. in Critical Reviews in Therapeutic Drug Carrier Systems 13:257-388 (1996) or in Adv. Drug Delivery Rev. 18:349-378 (1996).

**[0182]** Use of relative penetrability and barrier resistance values is also convenient. These values are given by the ratio of the penetrability/permeability or of the corresponding barrier resistance values measured with a given suspension and its supporting medium (e.g. water), e.g.: (relative) Penetrability  $\eta P_{rel} = P_{suspension}/P_{medium}$ . Similar use of the trans-barrier flux data, measured with constant driving pressure, pro-

vides more direct but still relative measure of barrier penetrability/permeability for different formulations. Theoretical explanation for such comparisons and calculations is given in Critical Reviews in Therapeutic Drug Carrier Systems 13:257-388 (1996).

[0183] To get an absolute Barrier Transport Resistance or Penetrability data, and to interpret these values in molecular terms, an improved analytical method is needed, which is described in brief in Definitions sections (see especially e.q. (\*)). To get absolute penetrability—and thus aggregate adaptability—data, transbarrier flux is first measured serially. (This can be done as is described in this document or in Biochim. Biophys. Acta 1368: 201-215 (1998).) Barrier resistance/penetrability value for the test suspension is then calculated from the flux vs. pressure data using e.q. (\*), following the description given in previous sections. From calculated resistance/penetrability value, a convenient parameter that describes the adaptability of mixed aggregates is deduced, e.g. by assuming:  $a_a=1/p^*$ .

[0184] Aggregate adaptability is thus identified with the inverse pressure difference needed to attain a predefined, practically relevant fraction of maximum achievable flux-pressure ratio; using 50-60% maximum penetrability criterion gives reasonable results. Specifically, all p\* values given in this document correspond to 57% of  $P_{max}$ -value. If the maximum penetrability for a given suspension-barrier combination cannot be measured, the penetrability of a barrier to the medium in which the tested aggregates are suspended is used as surrogate:  $P_{max}$ =f×Suspending medium flux/Driving pressure. Proportionality factor is then typically taken to be up to 3-times (and more often up to 2-times) smaller than 57%, to allow for trivial friction effects.

[0185] Exemplary results are given in FIGS. 4 and 5. The latter figure also graphically illustrates the meaning of parameters "p\*" (in pressure units, and proportional to the barrier transport resistance) and "Maximum penetrability" ( $P_{max}$ ) in flux per pressure units, and indicative of barrier porosity).)

[0186] Aggregate size determination. The average aggregate (most often vesicle) diameter was measured with the dynamic light scattering (for a few samples with a Malvern Zeta-Sizer instrument and for the majority of samples with the instrument with an ALV 5000 correlator. Cumulant analysis method and an implementation of software package "Contin" were used for analysing the correlation curves obtained with Zeta-Sizer. To analyse the ALV measurements the software delivered by the manufacturer (cumulant analysis or "Contin") was employed.

#### Examples 1-120

# Composition

[0187]

37.74-84.5 mg	Phosphatidylcholine from soy-bean (SPC, ~85% purity, MFS) introduced as an ethanolic solution SPC/EtOH = 1/1 V/V and containing approx. 10% charged phospholipid (presumably anionic phosphatidylglycerol)
187-34.9 mg	Polysorbate (Tween 80, pharmaceutical grade; MDS <sub>1</sub> )
5.6-20 rel. mol-%	Sodium dodecylsulphate (SDS, p.a.; MDS <sub>2</sub> ) replacing phospholipid to the given amount
add 1 ml	Isotonic phosphate buffer (pH = 7.2)

[0188] Objective: to test the synergism between membrane destabilising, and thus aggregate adaptability increasing,

activity of two different surfactants, used in a combination with a lipid, as the basic membrane forming system component.

**[0189]** Suspension preparation. To prepare a series with changing lipid/surfactant ratio in the range 1/1 to 9/1, the necessary amounts of phospholipid and surfactant are pipetted into buffer to yield 10% lipid suspensions. These are first stirred at room temperature for 5 days and then pre-filtered through a 0.8 micrometer polycarbonate filter to narrow down the starting aggregate diameter The average vesicle diameter  $(2r_{ves})$  is determined and confirmed to exceed at least 2-fold the nominal diameter of pores in the test filter  $(2r_{pore})$  which is approximately 0.2 micrometer. This is done with the dynamic light scattering e.g. by using a Malvern Zeta-Sizer instrument.

[0190] Transport (pore penetration) capability. Transport resistance is equated with the volume of test suspension that does not pass through a 0.2 micrometer filter in a sterile holder. (A ready-to-use, commercially available "blue" filter unit of Sartorius (Göttingen, Germany) is used for the test.) This reveals that transport resistance decreases with increasing Tween content when the relative SPC content is lower than 6/1 (SPC/Tw). The trend is enhanced by the presence of sodium dodecylsulphate in the mixed lipid aggregates. The latter shifts the minimum amount of Tween needed to cross the semi-permeable barrier to increasingly lower relative concentration values.

[0191] For example, when 12 mol-% of SPC in the mixed amphipat aggregates is replaced by SDS, the suspension can be pushed through a barrier with 0.2 micrometer pores practically without transport resistance even when the relative SPC/Tween concentration is as low as 15/1. Increasing SDS content further does not improve the situation, as measured in this test series. In contrast, reducing SDS content to and below 10 mol-% relative to SPC shows a clear deterioration of penetration ability of the resulting quaternary suspension. Rather low transport resistance is now measured for SPC/ Tween 7/1 (in case of 10 mol-% SDS concentration) and for SPC/Tween 4/1, when SDS concentration is between approx. 2 mol-% and 5 mol-%, as can be seen from FIG. 6. In contrast. maximum barrier resistance value of 10 is found for the suspensions without SDS and/or with little Tween and SDS, the properties of which approach those of plain, single component liposomes, which also have characteristic resistance value of 10.

[0192] Post-test determination of vesicle diameter confirms that vesicles are still at least 1.3-times greater than the nominal pore diameter.

# Examples 121-129

# Composition

[0193]

14.2 mg 85.8 mg	Polysorbate (Tween 80) Phosphatidylcholine from soy-bean (SPC),
0-175 rel. mol-%	as with examples 1-120 Sodium dodecylsulphate (SDS), relative to SPC and replacing phospholipid to the given amount
add 1 ml	Isotonic phosphate buffer (pH = 7.2)

**[0194]** Objective: as with examples 1-120, to test the synergism of different surfactant action on extended surface aggregate properties.

[0195] Suspension preparation. The method used to prepare vesicle suspension was the same as in examples 1-120. The only notable difference between both test series was the somewhat greater average diameter and polydispersity of the vesicles used in examples 121-129.

[0196] Transport ability (pore penetration capability and adaptability) of aggregate suspension. To characterise the resistance of semi-permeable barrier to suspension flux (=transbarrier flux), the same method as in examples 1-120 was used. The resistance was measured as a function of relative SDS concentration in bilayer, to determine minimum amount of this latter surfactant that is needed to maximise suspension flux across the barrier and minimises the barrier transport resistance value. Experimental data suggest that the threshold limit is around 6 mol-% with some uncertainty in the 2-6 mol % region. This is consistent with the results of first test series (examples 1-120) except in that the measured resistance values are now somewhat higher. This is explicable by different starting vesicle diameter and polydispersity. The results are given in following table.

#### TABLE 1

The effect of SDS, as the second surfactant (MDS $_2$ ) in addition to Tween 80 (MDS $_1$ , 10 mol-% rel. to SPC), on the resistance of mixed lipid membranes containing phosphatidylcholine (SPC; MFS), as the basic building block, to the passage through a semi-permeable barrier with pores, which were at least ~50% smaller than the average aggregate diameter.

SDS/SPC [mol/mol]	Barrier transport resistance [rel. units, as defined in SEM]
0/100, reference Tween Tfs	10
2/98	4
4/96	10
6/94	1.88
8/92	1.75
10/90	1.50
25/175	1.12
15/85	0.75
35/165	0.44

#### Examples 130-131

# Composition

# [0197]

[52.1 mg	Phosphatidylcholine from soy-bean (SPC), actual amount = 52.2 mg - Na Chol amount in mg
45.2 mg	Polysorbate (Tween 80)
5, 10, 15 mol-%	Sodium cholate = Na Chol (relative to SPC in the
add 1 ml	suspension) Isotonic phosphate buffer (pH = 7.2)

[0198] Objective: as with examples 1-120, but using a different charged surfactant (cholate instead of SDS).

[0199] Suspension preparation. The starting suspension was prepared as in previous examples. However, to make vesicles in the test formulation more uniform before actual measurements, the starting suspension was pre-filtered through 80 nm pore filters. This yielded vesicles with approx. 120 nm diameter, as determined with the dynamic light scattering using ALV 5000 correlator and a personal computer.

[0200] Vesicle transport ability (pore penetration capability/adaptability). The actual transport test was done with relatively narrow pore (30 nm) filters, using different pressures applied on the filter to characterise the penetrability of such semi-permeable filter to the test suspension. This revealed fairly comparable penetration ability for the vesicles with 10 mol-% and 15 mol-% cholate, exceeding the pore penetration ability, and thus the adaptability, of the vesicles with merely 5 mol-% of cholate as the third membrane destabilising component (cf. FIG. 3). These results indicate that incorporation of the second surfactant into mixed lipid bilayers does not increase membrane adaptability proportionally, as one would expect on the basis of model results shown in FIG. 7.

# Examples 132-138

#### Composition

[0201]

See Table 2 Phosphatidylcholine from soy-bean (SPC) See Table 2 Ketoprofen, sodium (KT); See Table 2 Tween 80, see Table 2 Add 1 ml Phosphate buffer (pH = 7.2)	· · · · · · · · · · · · · · · · · · ·
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**[0202]** Objective: to test the synergistic effect of a membrane destabilising drug (KT) combined with a surfactant (Tween 80) in a lipid (SPC) suspension in terms of mixed aggregate adaptability and relative capability to cross semi-permeable barriers.

[0203] Test suspension preparation. The stated phospholipid and drug amounts were brought into suspension using mechanical homogenisation. That resulting average aggregate diameter was around 100 nm.

[0204] Vesicle transport ability (pore penetration capability/adaptability. The efflux of the test suspension from a vessel pressurised with nitrogen gas was measured as a function of the time to determine the pressure dependency of material transport through the 20 nm pore filter in front of an opening in the measuring vessel. From the measured flux data, the effective "barrier penetrability", which defines the adaptability of the tested mixed amphipat vesicles, was calculated as is described in the main text body. The measured curves were also analysed in terms of the pressure p\*, needed to achieve 57% of maximum possible suspension flux/pressure ratio. The result of the test series indicate that both ketoprofen and Tween can act as a membrane destabilising component. Consequently, either of these two system ingredients improves the ability of test suspension to penetrate barriers compared with simple phosphatidylcholine, reference liposomes in a suspension without KT or Tween 80. When a combination of said membrane destabilising components is used, extended surface aggregate adaptability is increased to the value measured with proper non-ionic Tween-based Transfersome® suspension, with surfactant concentration much higher than that used in the quaternary mixture. Data given in Table 2 justify the conclusion. They are also compared with those pertaining to simple buffer fluid (Ref. fluid) in which the mixed SPC/KT/Tween vesicles were suspended.

TABLE 2

Experimental and fit results for the pore penetration experiments done with various quaternary suspensions of a phospholipid (SPC; MFS), a drug (KT; MDS $_1$ ), and Tween 80 (MDS $_2$ ) co-suspended in a buffer;

Tween 80 [mol % of SPC]	Ketoprofen [mol % of TLI]	p* [MPa]	$P_{max}$ [10 <sup>-11</sup> m Pa <sup>-1</sup> · sec <sup>-1</sup> ]	Adaptability $a_a$ , [MPa $^{-1}$ ]
0 (Liposomes)	0	>3	Not measurable	(<0.3)
0	25	$2.41 \pm 0.15$	Not measurable	0.415
0	33	$1.66 \pm 0.07$	$345 \pm 37$	0.602
10	33	$0.25 \pm 0.03$	$230 \pm 17$	4.000
50	0	$0.20 \pm 0.01$	$227 \pm 3$	5.000
0 (=Ref. Fluid)	0	Not applicable	$613 \pm 15$	Not applicable

TL = total lipid

# Examples 139-142

#### Composition

# [0205]

_	Phosphatidylcholine from soy-bean (SPC), used as a saturated ethanolic solution
	SPC amount = 75 mg - Brij 98 content given in Table 3
25.0 mg	Ketoprofen, sodium (KT)
See Table 3	Brij 98
Add 1 ml	Phosphate buffer ( $pH = 7.2$ )

**[0206]** Objective: to test adaptability/pore penetrability supporting activity of a different surfactant (Brij) combined with a membrane destabilising drug (KT) in lipid (SPC) extended surface aggregates.

[0207] Suspension preparation was essentially the same as in examples 132-135.

[0208] Vesicle transport ability (pore Penetration capability/adaptability). In order to test whether or not the increased adaptability of SPC/KT ternary suspensions is a unique feature of Tween, as the fourth component, the effect of another surfactant was investigated. In order to avoid undesired electrostatic interactions between the anionic KT and such additive, the uncharged Brij 98 (oleoyl-chain, 20 oxyethylene units per molecule) was chosen. The penetrability of resulting SPC/KT/Brij 3/1/0-0.323 w/w/w mixtures was finally calculated using eq. (\*)

[0209] The results for similar series measured with Brij 98 are given in Table 3.

TABLE 3

Fit results, based on e.q. (\*), for the transbarrier flux of suspensions containing a lipid (SPC; MFS), a drug (KT; MDS<sub>1</sub>) and Brij 98 (MDS<sub>2</sub>) in different relative concentrations, SPC and Brij together representing the total lipid (TL)

Brij 98 [mol % of SPC]	KT [mol % of TL]	p* [MPa]	$P_{max}$ $[10^{-11} \text{m}]$ $Pa^{-1} - \sec^{-1}]$	Adaptability $a_a$ , [MPa <sup>-1</sup> ]
0	33	1.66 ± 0.07	345 ± 37	0.602
2.5	33	0.56 ± 0.07	266 ± 28	1.786

# TABLE 3-continued

Fit results, based on e.q. (\*), for the transbarrier flux of suspensions containing a lipid (SPC; MFS), a drug (KT; MDS<sub>1</sub>) and Brij 98 (MDS<sub>2</sub>) in different relative concentrations, SPC and Brij together representing the total lipid (TL)

Brij 98 [mol % of SPC]	KT [mol % of TL]	p* [MPa]	$P_{max}$ $[10^{-11} \text{m}$ $Pa^{-1} - \sec^{-1}]$	Adaptability $a_a$ , [MPa <sup>-1</sup> ]
5.0	33	$0.29 \pm 0.07$	191 ± 30	3.448
7.5	33	$0.32 \pm 0.06$	171 ± 21	3.125

<sup>§</sup>The quoted error only accounts for analytical and not for experimental data uncertainty, which for example 16 exceeds 80%

# **Examples 143-146**

# [0210] Composition:

100 mg	Total lipid (TL, including SPC and Tween 80)
See Table 4	Phosphatidylcholine from soy-bean (SPC)
See Table 4	Tween80
See Table 4	Diclofenac
See Table 4	Ethanol (EtOH)
5.25	Benzyl alcohol
Add 1 g	154 mM Phosphate buffer, $pH = 7.2$

[0211] Objective: to test the effects of a surfactant (Tween 80) and a drug (diclofenac), as two membrane destabilising amphipats, and of a short-chain alcohol (ethanol) as an additional—and potentially the second membrane destabilising amphipat.

[0212] Vesicle preparation was done essentially as described in example 8 of WO 98/17255, but a more modern version of barrier penetration assay was used to assess vesicle aggregate adaptability. Vesicles with a similar overall composition but lacking ethanol were tested (cf. examples. The results are given in FIG. 8 and in Table 4.

[0213] Due to the limited measuring range of pore penetration assay, it was only possible to obtain a rough estimate for the adaptability of extended surface aggregates tested in this test series. The estimated p\*-value of the preparations containing ethanol were lowered to ~1.6 MPa from ~4.8 MPa measured in the absence of this alcohol. (It must be kept in mind, however, that experimental variability in these tests was at least 50%, as the standard deviations given in Table 4 only stem from the fit routine.) The direction of the change is reasonable, but the calculated absolute difference in p\* is not significant.

TABLE 4

	Results of d	riving pre	ssure and aggre	gate adaptabili	ty analysis for the test.	_
Ex	Tween 80 [mol % of SPC]	EtOH [w-%]	Diclofenac [w-% of TL]	p* [MPa]	${\rm P}_{max} \\ [10^{-11} {\rm m~Pa^{-1}~sec^{-1}}]$	Adaptability a <sub>a</sub> , [MPa <sup>-1</sup> ]
143	0	0	10	$(4.8 \pm 1.6)$	Not measurable	0.208
143 144	0	0 9	10 10	$(4.8 \pm 1.6)$ $2.4 \pm 0.04$	Not measurable 402 ± 17	0.208 0.417
	_	-		` /		

<sup>§</sup>The quoted error only accounts for analytical and not for experimental data uncertainty, which for example 16 exceeds 80%.

[0214] Data given in FIG. 8 and in Table 4 imply that ethanol makes the tested lipid aggregates more adaptable. The effect is much smaller, however, than in case of using a surfactant, such as Tween 80 (see Table 2).

[0215] Simple use of a membrane destabilising drug (diclofenac) and of a short-chain alcohol, as membrane softening agents disclosed in the prior art, thus only produces extended surface aggregates with an adaptability significantly inferior to that of the formulations disclosed in the present invention.

[0216] Ethanol containing, diclofenac loaded vesicles, indeed, are more adaptable than the ethanol-free vesicles. However, even the former vesicles have a much higher p\* value, and therefore are far less adaptable, than the ternary mixtures of phosphatidylcholine, a non-ionic surfactant (Tween 80) and ketoprofen described in Table 2; the beneficial effect of a surfactant-like membrane destabilising component, such as Tween 80, is directly reflected in the lower p\* value and/or in a higher flux of the modified formulation through a barrier. This conclusion is practically inaffected if the latter formulation contains ethanol.

[0217] It therefore stands to reason that at least two membrane destabilising components should be present in an aggregate with extended surface in adequate quantities to maximise the adaptability of extended surface aggregates. Mere use of a lipid, ethanol and a drug, as is disclosed in the prior art, is insufficient for reaching the goal.

Examples 147-153

Composition

[0218]

75.0 mg	Phosphatidylcholine from soy-bean (SPC)
25.0 mg	Ketoprofen, sodium (KT)
see the following table	Tween 80
ad 1 ml	Phosphate buffer (pH = $7.2$ )

[0219] Suspension preparation: as with the examples 132-135.

[0220] Vesicle transport ability (pore penetration capability/adaptability) data, as measured in this test series, confirm and expand the findings obtained with examples 136-138. Tween acting as the second membrane destabilising component improves the ability of test suspension to penetrate barriers even when this surfactant is present in the quaternary mixture merely in small amount, as long as relative concentration of Tween is at least approximately 2.5 mol-%, and even better 5 mol-%. Data given in Table 5 justify the conclusion. They are compared with the reference non-ionic Tween-based TransfersomeB formulation (Reference Tfs) and with the buffer fluid (Reference fluid) in which mixed amphipat vesicles were suspended.

TABLE 5

Fit results for the pore penetration experiments done with various quaternary suspensions of a phospholipid (SPC; MFC), a drug (KT; MDC,), and Tween 80 (MDCs) cosuspended in a buffer at different relative concentrations of the latter.

Formulation	p* [MPa]	$P_{max}$ [10 <sup>-11</sup> m Pa <sup>-1</sup> · sec <sup>-1</sup> ]	Adaptability $a_a$ , [MPa $^{-1}$ ]
10 mol-% Tween no buffer	0.49 ± 0.02	212 ± 8	2.041
10 mol-% Tween,	$0.25 \pm 0.03$	$230 \pm 17$	4.000
50  mM buffer, $l = 117  mM$			
7.5 mol-% Tween, 6.3% v/v EtOH no buffer	$0.31 \pm 0.06$	194 ± 23	3.226
7.5 mol-% Tween, 6.3% v/v EtOH	$0.13 \pm 0.01$	248 ± 11	7.692
50  mM buffer, $l = 117  mM$			
Reference Tween Tfs in the buffer	$0.20 \pm 0.01$	227 ± 3	5.000

- 1-92. (canceled)
- **93**. A method for selecting a vesicular composition that is capable of delivering an active agent through a barrier having pores, comprising:
  - a) determining the barrier transport resistance (p\*) of a vesicular composition according to the following equation:

$$P(p) = p_{max} \cdot \left\{ 1 - \text{erf}\left(\sqrt{\frac{p^*}{p}}\right) + \sqrt{\frac{4p^*}{\pi p}} \cdot \exp\left[-\frac{p^*}{p}\right] \right\}$$

wherein

P(p) is the barrier penetrability as a function of transport driving pressure;

p is the transport driving pressure; and

 $p_{max}$  is the maximum possible penetrability of the barrier, the vesicular composition comprising:

- (i) vesicles comprising:
  - (1) a lipid;
  - (2) a surfactant; and
  - (3) an active agent; and
- (ii) a pharmaceutically acceptable liquid medium; andb) selecting the vesicular composition if p\* is higher than 50%.
- **94.** The method of claim **93**, wherein the vesicular composition that is selected has a p\* that is between 50% and 60%.
- **95**. The method of claim **94**, wherein the vesicular composition that is selected has a p\* that is 57%.
- 96. The method of claim 93, wherein the lipid is a glyceride, glycolipid, glycerophospholipid, isoprenoidlipid, sphingolipid, steroid, sterine, sterol, sulphur-containing lipid, lipid containing at least one carbohydrate residue, or polar fatty acid derivative.
- 97. The method of claim 93, wherein the lipid is a phosphatidylcholine, phosphatidyl-ethanolamine, phosphatidylg-lycerol, phosphatidylinositol, phosphatidic acid, phosphatidylserine, sphingomyelin, sphingophospholipid, glycosphingolipids, cerebroside, ceramidpolyhexoside, sulphatide, sphingoplasmalogene, or ganglioside.
- 98. The method of claim 93, wherein the lipid is a phospholipid.
- **99.** The method of claim **98**, wherein the phospholipid is a phosphatidylcholine.
- 100. The method of claim 99, wherein the phosphatidylcholine is from soy-bean, or the phosphatidylcholine is egg lecithin
- 101. The method of claim 93, wherein the surfactant is a non-ionic, zwitterionic, anionic, or cationic surfactant.
- 102. The method of claim 93, wherein the surfactant is a non-ionic surfactant.
- 103. The method of claim 102, wherein the non-ionic surfactant is a polyethyleneglycol-sorbitan-10 to 24 carbon atom fatty chain ester.
- 104. The method of claim 103, wherein the polyethyleneglycol-sorbitan-10 to 24 carbon atom fatty chain ester is a polyethyleneglycol-sorbitan-monolaurate or a polyethyleneglycol-sorbitan-monoleate.
- 105. The method of claim 102, wherein the non-ionic surfactant is a polyhydroxyethylene-10 to 24 carbon atom fatty chain ether.
- **106**. The method of claim **105**, wherein the polyethyleneglycol-10 to 24 carbon atom fatty chain ether is a polyhydroxy-

- ethylene-lauryl ether, a polyhydroxyethylene-myristoyl ether, or a polyhydroxyethylene-oleoyl ether.
- 107. The method of claim 93, wherein the lipid is a phophatidylcholine and the surfactant is a non-ionic surfactant
- **108**. The method of claim **107**, wherein the non-ionic surfactant is present in an amount of about 2.5 mol % to 10 mol % of the amount of the phosphatidylcholine.
- 109. The method of claim 93, wherein the active agent is a cosmetic agent.
- 110. The method of claim 93, wherein the active agent is a drug.
- 111. The method of claim 110, wherein the drug is one or more of an adrenocorticostatic, β-adrenolytic, androgen, antiandrogen, antiparasitic, anabolic, anesthetic, analgesic, analeptic, antiallergic, antiarrhythmic, antiarterosclerotic, antiasthmatic, bronchospasmolytic, antibiotic, antidrepressive, antipsychotic, antidiabetic, antidote, antiemetic, antiepileptic, antifibrinolytic, anticonvulsive, anticholinergic, enzyme or corresponding inhibitor, coenzyme or corresponding inhibitor, antihistaminic, antihypertonic, biological inhibitor of drug activity, antihypotonic, anticoagulant, antimycotic, antimyasthenic, agent against Morbus Parkinson or Morbus Alzheimer, antiphlogistic, antipyretic, antirheumatic, antiseptic, respiratory analeptic, respiratory stimulant, broncholytic, cardiotonic, chemotherapeutic, coronary dilatator, cytostatic, diuretic, ganglium-blocker, glucocorticoid, anti-influenza agent, haemostatic, hypnotic, immunoglobulin or fragment thereof, immunologically active substance, bioactive carbohydrate, bioactive carbohydrate derivative, contraceptive, anti-migraine agent, mineralo-corticoid, morphine-antagonist, muscle relaxant. narcotic. neurotherapeutic, neuroleptic, neurotransmitter or antagonist thereof, small peptide, small peptide derivative, ophthalmic, sympathicomimetic, sympathicolytic, para-sympathicomimetic, para-sympathicolytic, psoriasis drug, neurodermitis drug, mydriatic, psychostimulant, rhinologic, sleep-inducing agent or antagonist thereof, sedating agent, spasmolytic, tuberculostatic, urologic agent, vasoconstrictor, vasodilatator, virustatic, growth factor, immunomodulator, recognition molecule, anticholinergic, antihistaminic, antihypertonic, anticoagulant, antimycotic, antimyasthenic, antiphlogistic, antipyretic, antirheumatic, antiseptic, broncholytic, cardiotonic, cytostatic, diuretic, haemostatic, mineralocorticoid, or wound-healing substance.
- 112. The method of claim 111, wherein the drug is an anesthetic.
- 113. A method for transporting an active agent into and/or across mammalian skin, comprising applying to the skin a vesicular composition selected according to the method of claim 93.
- 114. A vesicular composition selected according to the method of claim 93.
- 115. A method for transporting an anesthetic agent into and/or across mammalian skin, comprising applying to the skin a vesicular composition comprising:
  - (a) vesicles comprising:
    - (i) a phosphatidylcholine;
    - (ii) a non-ionic surfactant; and
- (iii) an anaesthetic agent that is in the form of a salt; wherein the non-ionic surfactant is present in an amount of about 2.5 mol % to 10 mol % of the amount of the phosphatidyl choline; and

(b) a pharmaceutically acceptable, polar liquid medium, wherein the barrier transport resistance (p\*) of the vesicular composition according to the following equation:

$$P(p) = p_{max} \cdot \left\{ 1 - \operatorname{erf}\!\left( \sqrt{\frac{p^*}{p}} \right) + \sqrt{\frac{4p^*}{\pi p}} \cdot \exp\!\left[ -\frac{p^*}{p} \right] \right\}$$

is higher than 50%, wherein

P(p) is the barrier penetrability as a function of transport driving pressure;

p is the transport driving pressure; and

 $p_{max}$  is the maximum possible penetrability of the barrier.

116. The method of claim 115, wherein the vesicular composition has a p\* that is between 50% and 60%.

117. The method of claim 116, wherein the vesicular composition has a p\* that is 57%.

118. The method of claim 115, wherein the phosphatidylcholine is from soy-bean, or the phosphatidylcholine is egg lecithin.

119. The method of claim 115, wherein the non-ionic surfactant is a polyethyleneglycol-sorbitan-10 to 24 carbon atom fatty chain ester.

120. The method of claim 119, wherein the polyethyleneglycol-sorbitan-10 to 24 carbon atom fatty chain ester is a

polyethyleneglycol-sorbitan-monolaurate or a polyethyleneglycol-sorbitan-monooleate.

- 121. The method of claim 115, wherein the non-ionic surfactant is a polyhydroxyethylene-10 to 24 carbon atom fatty chain ether.
- 122. The method of claim 121, wherein the polyethyleneglycol-10 to 24 carbon atom fatty chain ether is a polyhydroxyethylene-lauryl ether, a polyhydroxyethylene-myristoyl ether, or a polyhydroxyethylene-oleoyl ether.
- 123. The method of claim 115, wherein the anesthetic agent is morphine, hydromorphone, oxymorphone, oxycodone, buprenorphine, nalbuphine, pentazocine, cyclazocine, pethidine, methadone, dipipanone, dextromoramide, tramadol, dimethylthiambutene, procaine, chloroprocaine, hydroxyprocaine, propoxycaine, oxy-buprocaine, propoxymetacatine, piridocaine, leucinocaine, butacaine, tetracaine, hydroxytetracaine, -cetacaine, piperocaine, cyclomethycaine, parethoxycaine, stadacain, cinchocaine, lidocaine, pyrrocaine, ranocaine, butanilicaine, tolycaine, mepivacaine, bupivacaine, prilocaine, carticaine, propitocaine, dyclonine, pramocaine, fomocaine, quinisocaine, profenamine, promethazine, periciazine, perphenazine, prochlorperazine, triflumpromazine, trifluoperazine, fluphenazine, clomipramine, trimipramine, chloroprothixene, doxepin, or a thiepin.

\* \* \* \* \*