## (19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 21 May 2004 (21.05.2004)

**PCT** 

# (10) International Publication Number WO 2004/041024 A1

(51) International Patent Classification<sup>7</sup>:

A46B 9/04

(21) International Application Number:

PCT/US2003/034589

(22) International Filing Date: 30 October 2003 (30.10.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 60/423,254 1 November 2002 (01.11.2002) US

(71) Applicant (for all designated States except US): COL-GATE-PALMOLIVE COMPANY [US/US]; 300 Park Avenue, New York, NY 10022 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): HOHLBEIN, Douglas, J. [US/US]; 45 Diverty Road, Pennington, NJ 08534 (US).

(74) Agent: GOLDFINE, Henry, S.; Colgate-Palmolive Company, 909 River Road, P.O. Box 1343, Piscataway, NJ 08855-1343 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

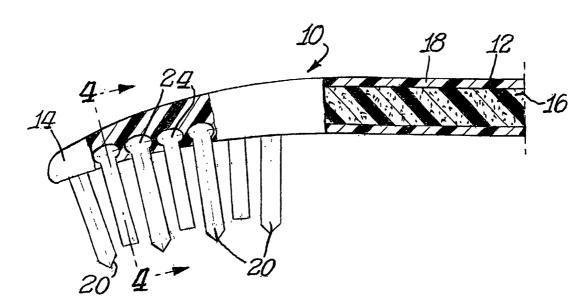
(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: TOOTHBRUSH



(57) Abstract: A toothbrush (10) with a flexible head (14) is disclosed. At least the head (14) of the toothbrush (10) may be manufactured by in-molded technology. A blend of thermoplastic elastomer and polypropylene is used to impart added flexibility to the head (14). That flexibility is further enhanced by the tapered profile of the head (14). Cleaning elements (20) may be secured in place during manufacture using in-molded techniques or staples (26).

O 2004/041024 A1

WO 2004/041024 PCT/US2003/034589

#### TITLE

#### Toothbrush

BACKGROUND OF THE INVENTION

5

10

15

20

25

The present invention is directed to a toothbrush, either manual or powered, which includes a handle and a head. Cleaning elements are mounted to the head such as tufts of bristles and/or elastomeric wipers. When toothpaste is applied to the cleaning elements the user inserts the head into the mouth and brushes the teeth in a known manner.

The head of a conventional toothbrush usually has a flat or slightly altered surface to which cleaning elements are ments are attached. Usually the cleaning elements are strands of plastic material(s) formed into tufts, bundles or other groupings. A goal of many toothbrushes is to accommodate the cleaning element profile to that of the teeth being cleaned. Achieving that goal is complicated by the difficulty in matching a toothbrush profile to the complex surface of a typical set of human teeth. The latter generally lie in a "C" shaped curve which presents the need for a brush to address a convex outer curve and a concave inner curve. In addition, the toothbrush should be capable of cleaning irregularities on the tooth surface as well as the interproximal area between teeth.

Various approaches have been taken in the prior art to accommodate the complex shape of the human mouth and the attendant difficulties in cleaning teeth and gums within

5

10

20

25

the mouth. One approach to this problem is provision of a flexible toothbrush head.

Blakeman U.S. Patent No. 2,706,825 issued April 26, 1955 discloses a replaceable bristle head for a toothbrush. The flexible head undulates in a manner so that rows of bristles move in a direction aligned with the axis of the toothbrush handle.

U.S. Patent No. 5,651,158 issued July 29, 1997 to Hans Halm discloses a toothbrush handle with a segmented head wherein adjoining segments are linked by an elastomeric material. The segments are primarily oriented transverse to the longitudinal axis of the toothbrush but may also be oriented parallel to that axis.

U.S. Patent No. 6,408,476 discloses another form

of segmented toothbrush head with transverse grooves and an elastomeric portion joining the segments. A method of manufacturing this head is also disclosed.

The use of elastomeric material within folds of a toothbrush handle is the subject of United States Patent No. 5,903,949.

International Publication Number W096/02165 dated February 1, 1996 discloses a resiliently flexible bristle-bearing toothbrush head containing bristles with a generally concave surface. The head, being flexible, can flex under the action of tooth brushing to accommodate the varying profiles of users' teeth. Grooves transverse or parallel to the longitudinal axis of the toothbrush which are filled

with elastomeric material permit flexure along the length or across the breath of the head (pages 4-5).

A tip region of a toothbrush head is flexibly mounted to the rigid balance of the head in the disclosure of International Publication Number WO 97/07707 dated March 6, 1997. A flexible plastic material forms the link (hinge) which connects the two parts of the head (pages 2-3). In use, the tip region of the head can fold backwards toward the rigid balance of the head, thereby enabling the head to better accommodate itself to the curved shape of the user's teeth.

Another flexible toothbrush head is disclosed n European Patent Specification No. EP 0577 656 B1 published May 4, 1997.

## 15 SUMMARY OF THE INVENTION

5

10

20

25

A common theme among the aforementioned approaches to providing a flexible head is the use of different materials throughout the head to achieve the desired flexibility. Most of the above cited art use elastomeric materials at joints in the head structure to provide that flexibility. This invention proposes to achieve flexibility while using a homogeneous material for the head, rather than separate portions of the head with varying physical properties. This approach offers considerable simplification of a flexible toothbrush head assembly.

The preferred material for forming the head has sufficient rigidity to maintain the structure of the head and retain the cleaning elements in place within the head

WO 2004/041024 PCT/US2003/034589

while still offering the desired flexibility. This desired mix of properties can be achieved by blending two or more materials prior to molding. A preferred blend uses a large percentage (up to about 50%) of a thermoplastic elastomer (TPE) with polypropylene. The percentages of the respective components would be governed by the geometry of th head, with a thinner head generally needing less TPE for flexibility.

5

10

15

25

Because a softer material is used in the head, retention of cleaning elements in the head may require special attention. Therefore, special care should be taken in staple insertion to properly anchor the cleaning elements. Alternatively, groups or tufts of cleaning elements can be secured in the flexible head of this invention using the injection molding technique (IMT) for placement and securement of toothbrush bristles. In the IMT process, the bristles are preferably attached during formation of the toothbrush handle, or at least during formation of the head.

## DESCRIPTION OF THE DRAWINGS

Figure 1 is a fragmental top plan view of a toothbrush head showing placement of a group of bristles (tufts) and staples holding the bristles in the head.

Figure 2 is a schematic fragmental top plan view of a single tuft of bristles placed in the hole of the flexible head of this toothbrush.

Figure 3 is a fragmental side elevational view, partially broken away to show flexible encasement of bristles in the toothbrush head.

Figure 4 is a cross sectional end view taken along line 4-4 of Figure 3 with phantom outline of the bristles movement with the flexible head.

Figure 5 is a fragmental side elevational view of a power toothbrush having a removable flexible head.

5

10

## DETAILED DESCRIPTION

The toothbrush 10 of this invention includes handle 12 and head 14. Head 14 is preferably formed of a flexible plastic material, comprising a blend of thermoplastic elastomers such as those sold under the KRATON® trademark, and polypropylene. This blend, and those similar to it, provide a unique combination of flexibility and structural integrity for the toothbrush head.

The desired flexibility of head 14 is also a function of its shape and thickness. Thus, as shown in Figure 3, the thickness of head 14 may be gradually reduced or tapered toward the distal end of the toothbrush 10 to improve the flexibility of the head 14.

While it is an object of this invention to provide

a flexible head 14, the handle 12 typically should be more
rigid so that the user can control the force of the
toothbrush 10 on the user's teeth. To accomplish that goal,
it is desirable to include a more rigid plastic in at least
a portion of the handle 12 of toothbrush 10. To achieve

this marriage of a flexible head and relatively rigid handle, several approaches can be taken. The flexible blend of
KRATON® and polypropylene can be used as a core material 16
for the handle surrounded by a more rigid plastic shell 18.

Conversely, the core 16 can be formed of a more rigid material and the shell 18 formed from the TPE and polypropylene blend. As shown in Figure 3, the latter embodiment provides a soft "feel" to the handle and is thus a desirable feature in most applications.

5

10

15

20

25

The use of the more flexible blend material for head 14 does present some special issues regarding placement and retention of cleaning elements 20 in head 14. During flexure of flexible head 14, the holes 22 in head 14 which hold the cleaning elements 20 may be widened or expanded as illustrated in Figure 2. More specifically, one dimension of the hole 22 may be enlarged as represented by the distances shown in Figure 2. That enlargement of hole 22 could loosen the hold on the tufted end 24 of cleaning elements 20 inserted in head 14. To minimize the chance that any group of cleaning elements 20 might pull out of hole 22 in head 14, it is desirable to carefully orient staples 26 used to secure the tufted end of cleaning elements 20 in hole 22. Thus, as shown in Figure 1, if head 14 flexes along a line perpendicular to the longitudinal axis of toothbrush 10 as shown by the arrows in the Figure, the staples 26 are preferably similarly aligned with the flex axis. Thereby, there is minimal movement of the staples during flexure.

An alternative means for improving retention of cleaning elements 20 in the flexible head 14 is use of the IMT process. Descriptions of that process used for the manufacture of toothbrushes are found in United States Pat-

ent Nos. 5,390,984; 5,533,791 and 5,609,890, the disclosures of which are incorporated herein by reference.

5

10

15

20

25

In the IMT process, the tufted ends 24 of cleaning units 20 are held in place as the head 14 is formed. rounded ends 24 assume a mushroom shape (See Figures 3 and 4) which is essentially surrounded by the TPE/polypropylene blend used to form head 14. This mushroom shape helps to hold the tufted ends 24 of cleaning elements 20 in place, even when one axis of the head 14 is stretched. To further improve retention of cleaning elements 20 in head 14, the IMT process can be operated with localized heating to partially melt the tufted ends 24 of cleaning elements 20 so that a bridge 25 is formed between ends 24. As illustrated in Figure 4, this bridge 25 integrates the various groupings of cleaning elements 20 at the ends 24. The flexible head 14 is then molded around the bridge 25. This substantially improves retention of cleaning elements 20 in head 14. bridging is particularly effective in holding cleaning elements 20 in place where the head 14 is flexed in a direction where the cleaning elements move laterally relative to the longitudinal axis of the toothbrush 10, as illustrated in Figure 4.

Any suitable form of cleaning elements may be used as the cleaning elements 20 in the broad practice of this invention. The term "cleaning elements" is intended to be used in a generic sense which could include conventional fiber bristles or massage elements or other forms of cleaning elements such as elastomeric fingers or walls arranged in a

circular cross-sectional shape or any type of desired shape including straight portions or sinusoidal portions.

It is to be understood that the specific illustration of the cleaning elements is merely for exemplary pur-The invention can be practiced with various combinations of the same or different cleaning element configurations (such as stapled or in-molded technology bristles, etc.) and/or with the same bristle or cleaning element materials (such as nylon bristles, spiral bristles, rubber bristles, etc.) Similarly, while Figures 3-4 illustrate the 10 cleaning elements to be generally perpendicular to head 14, some or all of the cleaning elements may be angled at various angles with respect to the outer surface of head 14. is thereby possible to select the combination of cleaning 15 element configurations, materials and orientations to achieve specific intended results to deliver additional oral health benefits, like enhanced cleaning, tooth polishing, tooth whitening and/or massaging of the gums.

This invention may also be practiced where the
head 14 includes one or more power or electrically operated
movable sections carrying cleaning elements.

Figure 5 illustrates a toothbrush 10A which includes a power driven movable disc or section 40 having cleaning elements. The movable section 40 could be oscillated rotationally such as by using the type of drive mechanism shown in U.S. Patent No. 5,625,916, or could move in and out using the type of drive mechanism shown in U.S. Patent No. Re35,941; all of the details of both patents are in-

25

WO 2004/041024 PCT/US2003/034589

corporated herein by reference thereto. Alternatively, the other types of drives referred to above could move section 40 in other manners and directions. Although Figure 5 shows movable section 40 to be at one end of the head, the movable section(s) could be located at any desired location on the head.

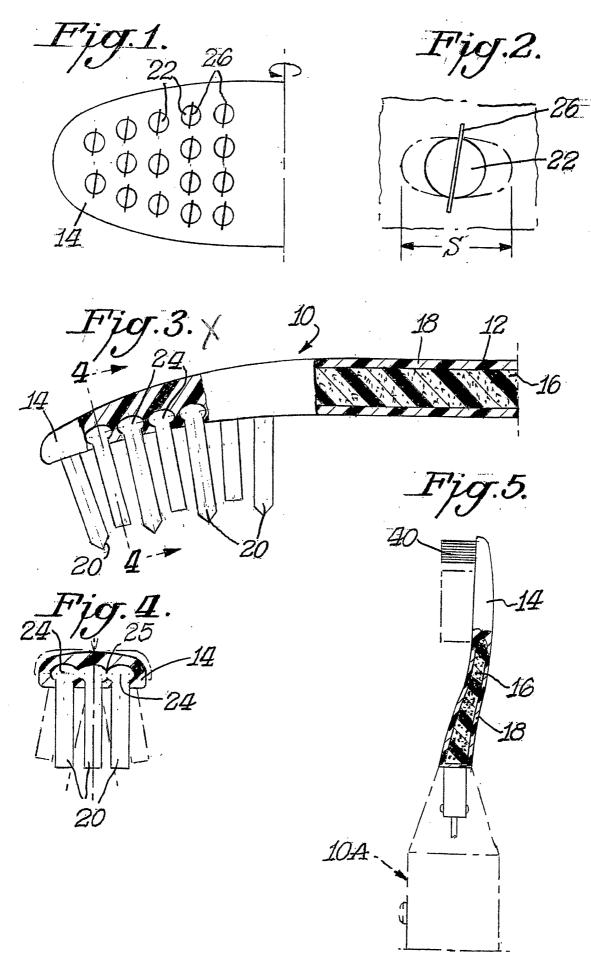
## WHAT IS CLAIMED IS:

1. A toothbrush comprising a handle, a head secured to the handle, the head having greater flexibility than the handle and having a shape which tapers from the proximal to distal end thereof to improve its flexibility, and cleaning elements secured within recesses in the head.

5

20

- 2. The toothbrush of claim 1 wherein the cleaning elements are secured to the head using in-molded technology.
- 3. The toothbrush of claim 1 wherein the cleaning
- elements are secured in the head using staples aligned along the flexural axis of the toothbrush head.
  - 4. The toothbrush of claim 2 wherein at least some of the tufted ends of the cleaning elements are joined to each during formation of the head using in-molded technology.
- 15 5. The toothbrush of claim 1 wherein the head is molded from a blend of a thermoplastic elastomer and polypropylene
  - 6. The toothbrush of claim 5 wherein at least a portion of the handle is molded from a blend of thermoplastic elastomer and polypropylene.
  - 7. The toothbrush of claim 1 wherein the head of the toothbrush, including cleaning elements, is manufactured in a single step.



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/34589

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7) : A46B 9/04 US CL : 15/167.1, 172	
According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED	
Minimum documentation searched (classification system followed by classification symbols) U.S.: 15/167.1, 172	
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched	
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)	
C. DOCUMENTS CONSIDERED TO BE RELEVANT	
Category * Citation of document, with indication, where a	ppropriate, of the relevant passages Relevant to claim No.
X US 6,073,299 A (HOHLBEIN) 13 June 2000, see en	ntire document. 1-5, 7
Y	6
•	
	,
	}
	{
Further documents are listed in the continuation of Box C.	See patent family annex.
* Special categories of cited documents:	"T" later document published after the international filing date or priority
"A" document defining the general state of the art which is not considered to be	date and not in conflict with the application but cited to understand the principle or theory underlying the invention
of particular relevance  "E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
"L" document which may throw doubts on priority claim(s) or which is cited to	when the document is taken alone
establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is
"O" document referring to an oral disclosure, use, exhibition or other means	combined with one or more other such documents, such combination being obvious to a person skilled in the art
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
10 March 2004 (10.03.2004)	Authorized officer Randall Chin Chap Llll
Name and mailing address of the ISA/US	Authorized officer Ar (1/10)
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Randall Chin Chan Llet
P.O. Box 1450	Telephone No. (703) 308-0661
Alexandria, Virginia 22313-1450	10. (103) 300-0001