

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 December 2001 (06.12.2001)

PCT

(10) International Publication Number
WO 01/91603 A1

(51) International Patent Classification⁷: **A46B 9/10**,
A61C 17/34, 17/00, 17/02, 1/00

Court, Concord, CA 94521 (US). **WANG, Ben** [US/US];
269 Imperial Drive #3, Pacifica, CA 94044 (US).

(21) International Application Number: PCT/US01/40262

(74) Agent: **GALLOWAY, Peter, D.**; Ladas & Parry, 26 West
61 Street, New York, NY 10023 (US).

(22) International Filing Date: 8 March 2001 (08.03.2001)

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM,
HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK,
LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,
MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL,
TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/526,678 16 March 2000 (16.03.2000) US

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(63) Related by continuation (CON) or continuation-in-part
(CIP) to earlier application:
US 09/526,678 (CON)
Filed on 16 March 2000 (16.03.2000)

(71) Applicant (*for all designated States except US*):
GILLETTE CANADA COMPANY [CA/CA]; 4 Robert
Speck Parkway, Mississauga, Ontario L4Z 4C5 (CA).

Published:

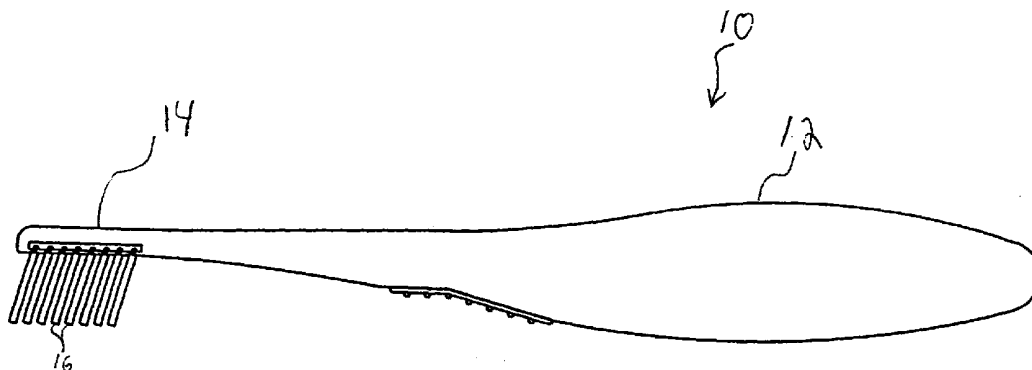
- with international search report
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **CHIANG, Casper**,
Wien-Tien [US/US]; 860 Turrini Drive, Danville, CA
94526 (US). **YANG, Andy** [US/US]; 5041 St. Garrett

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: ORAL CARE DEVICE



(57) Abstract: An oral care device includes a handle, a head extending from the handle, and one or more oral care elements extend-
ing from the head. The device also includes a drive for engaging a base portion of each of the one or more oral care elements and for
positioning each oral care element relative to that portion of the head from which the oral care element projects based on the motion
of the oral care device. In a preferred embodiment of the invention, each oral care element is a tuft of one or more bristles.

WO 01/91603 A1

ORAL CARE DEVICE

The invention relates generally to the field of oral care, and in particular to an oral care device.

Generally speaking, there are two types of brushes used to clean
5 teeth. The first type is an electric toothbrush in which tufts of bristles are automatically oscillated in a rotary or linear manner. The second type is a manual toothbrush wherein movement of the bristles is provided by the user of the brush moving the brush's handle. With both types of brushes each tuft of bristles is typically rigidly fixed to the head of the toothbrush and cannot be adjusted in
10 position relative to the portion of the head to which the tuft is secured.

Oral-B's® CrossAction™ toothbrush has three rows of tufts which are tilted at an acute angle relative to the head either towards (1 row) or away (2 rows) from the handle. The bristles in tufts which are tilted towards the direction of motion of the brush are able to penetrate the interproximal area, bend, and slide
15 across the interproximal area, thereby providing superior plaque removal. However, because the angle of the tuft relative to the head is fixed, the tufts are only tilted towards the direction of motion of the brush for half or less of the brushing strokes. As a result, optimal cleaning of the teeth is not achieved.

U.S. Patent 5,435,032 discloses a toothbrush having a head with a
20 hollow body 16 closed at one side by a flexible membrane 13 formed with cavities 17 to hold bases of tufts of bristles. The cavities form protrusions extending up inside the body 16 which are pushed against by a reciprocating finger 18 to tilt and move the bristles relative to the body 16. The bristle tilting and movement is done constantly and is not based on the motion of the toothbrush itself. As a result,
25 the bristles do not lean in the direction of brush motion during the entire period of brush motion.

The present invention is directed to overcoming one or more of the problems set forth above. Briefly summarized, according to one aspect of the present invention, an oral care device includes a handle, a head extending from the
30 handle, and one or more oral care elements extending from the head. The device also includes a drive for engaging a base portion of each of the one or more oral care elements and for positioning each oral care element relative to that portion of

- 2 -

the head from which the oral care element projects based on the motion of the oral care device. In a preferred embodiment of the invention, each oral care element is a tuft of one or more bristles.

By positioning each oral care element (e.g. tuft of 1 or more bristles)
5 based on the motion of the oral care device, the oral care elements can be optimally positioned to enhance the quality of care provided by the device. For example, all the tufts of bristles on a toothbrush can be leaned into the direction of motion of the brush for each brush stroke to maximize tooth cleaning effectiveness.

These and other aspects, objects, features and advantages of the
10 present invention will be more clearly understood and appreciated from a review of the following detailed description of the preferred embodiments and appended claims, and by reference to the accompanying drawings.

FIG. 1 is a side view of a toothbrush with part of the head removed to facilitate viewing;

15 FIG. 1A is a top view of the head of FIG. 1 with part of the head removed to facilitate viewing;

Figs. 1B and C show the head portion of FIG. 1 with tufts of bristles shown in alternate positions;

FIG. 2 is a side sectional view of the toothbrush of Fig. 1;

20 Figs. 2A-2C are blow-up alternatives for a portion of Fig. 2;

Figs. 3 and 4 show alternative oral care elements;

Fig. 5 discloses an alternative embodiment; and

Figs. 6 and 7 show a further alternative embodiment of the invention.

Beginning with FIG. 1 and 1A-1C, a toothbrush 10 includes a handle
25 12 from which extends a head 14. Tufts of one or more bristles 16 extend from head 14. FIG. 1A shows that there are four rows of bristles. Two inner rows of tufts 18 and two outer rows of tufts 20 are movable in two directions indicated by the arrows at the end of each of rows 18 between two positions shown in Figs. 1B and 1C. FIG. 1B shows the tufts being moved from their position in FIG. 1
30 (dashed lines) leaning away from handle 12 to a position leaning towards the handle. FIG. 1C shows the tufts being moved from their position in FIG. 1B (dashed lines) leaning towards the handle 12 to a position leaning away from

- 3 -

the handle.

When tufts 16 are in either of the positions shown in Figs. 1B or 1C, they are preferably angled at between about 17 to about 20 degrees from a line (not shown) perpendicular to the surface of head 14 through which the tufts project.

5 Tufts 16 are positioned as in FIG. 1B when a motion sensor (described in further detail below) in handle 12 detects that the toothbrush has started moving in the direction of an arrow 19. As such, tufts 18 are angled into the direction of motion of the toothbrush and provide enhanced cleaning of the teeth. The tufts of bristles are held in that angled position until the direction of motion of the toothbrush is
10 reversed. When the motion sensor detects that the toothbrush has started moving in the direction of an arrow 21 (FIG. 1C), tufts 16 are moved to the position shown in Figs. 1 and 1C and held in that position to the end of the brush stroke.

Turning to Figs. 2 and 2A-2C, the mechanism for positioning tufts 16 will be described. In Fig. 2, a bi-directional motion sensor 24 detects when the
15 direction of motion of brush 10 has changed and signals a microprocessor 26. The microprocessor, in response, controls operation of a drive mechanism 28, such as a solenoid, to move a drive shaft 30 in one or the other direction represented by double-headed arrow 31. Movement of the drive shaft moves bristle tufts 16 to either of two positions described above. Electrical power is provided by, for
20 example, a AAA battery (not shown). A feedback sensor 27 informs microprocessor 26 about the position of shaft 30.

In Fig. 2, drive mechanism 28 (e.g. a solenoid) has been deenergized, and a tension spring 31 has pulled shaft 30 to the right to position bristle tufts 16 as shown. Such positioning of the bristle tufts occurs as brush 10 begins to move
25 towards the left in Fig. 2. When the brush stroke changes direction towards the right, motion sensor 24 signals microprocessor 26 which energizes drive mechanism 28. The system is designed such that the drive mechanism overcomes the force of spring 31 and moves shaft 30 to the left, thus rotating bristles 16 to the position shown in Fig. 1B.

30 Again, at the beginning of a longitudinal brush stroke, tufts 16 are tilted or leaned into the direction of motion and held in that position until the end of the stroke. When the brush starts moving in the opposite direction, the bristles are

- 4 -

again moved (rotated) so that they are leaning into the new direction of motion during the entire return stroke.

Figs. 2A-2C are blow up alternatives to portion 29 of Fig. 2 and disclose various interfaces between drive shaft 30 and bristles 16. Fig. 2A shows a ball and socket interface 32. When drive shaft 32 is moved, it moves the balls of the ball joints, the balls being captured but rotatable in sockets in the drive shaft. A base portion 34 of each tuft is surrounded by a top portion 36 of the head of the toothbrush. As a result, bristles 16 are rotated about base portion 34.

Fig. 2B discloses a rack and pinion arrangement for moving the bristles. Shaft 30 includes teeth which engage a tiny gear secured to the base of each tuft to rotate the tuft in opposite directions. Fig. 2C shows a pivot plate 38, extending from shaft 30, which frictionally engages spheres or cylinders 40 to rotate tufts 16 as the plate is moved back and forth.

Figs. 3 and 4 are further blow ups to portion 29 of Fig. 2 and disclose oral care elements which can be used instead of or in conjunction with bristle tufts 16. Fig. 3 discloses a powered nozzle 42 which is used to project an oral care substance into the oral cavity. This substance can be, for example, a jet of water, ultrasonic energy, an anti-bacterial laser or an anti-bacterial gas.

Fig. 4 tufts which are made up of a single bristle 44. This bristle is designed to penetrate into the interdental spaces and is preferably about 0.020 inches in diameter. The bristle can have a plastic (e.g. nylon) core with a gel or foam sheath.

Fig. 5 discloses an alternative embodiment which gives more details of motion sensor 24, and eliminates microprocessor 26 and feedback sensor 27. Bi-directional motion sensor 24 includes a steel ball 48 and switch plates 50, 52 and 54. Ball 48 is always in contact with plate 54 and rolls between positions in contact with plates 50 or 52. When ball 48 is in contact with plate 50, drive mechanism 28 is turned on to rotate the tufts of bristles to a first position as described above. When ball 48 is in contact with plate 52, the drive mechanism is turned off, allowing a spring to rotate the tufts of bristles to a second position as described above. A battery 58 supplies electrical power.

Turning to Figs. 6 and 7, a further alternative embodiment of the

- 5 -

invention will be described. Fig. 6 shows a toothbrush 60 which is being moved in the direction of an arrow 62. A toothbrush user grips brush 60 by a slide handle 64. Handle 64 is mounted onto brush body 66 such that the handle can move back-and-forth relative to body 66 in the direction of arrow 62 and an arrow 68 (see
5 Fig. 7). A switch 70 is connected to handle 64, and a compression spring 72 presses switch 70 and handle 64 in the direction of arrow 62 to a first position shown in Fig. 6. In this position, switch 70 has disconnected an electrical power supply 74 from a solenoid 76. Switch 70 and solenoid 76 are connected by sliding electrical contacts.

10 A compression spring 78 is mounted on a drive shaft 80 between an internal wall 82 of body 66 and a forward end of solenoid 76. The drive shaft is connected to the solenoid. When the solenoid is deenergized as in Fig. 6, spring 78 presses the solenoid to the right, thus pulling the drive shaft to the right. This in turn rotates bristles 16 counter-clockwise to their position in Fig. 6 as described
15 above.

Referring to Fig. 7, when the user moves handle 64 in the direction of arrow 68, brush 60 is also moved in this direction. This movement overcomes the force of spring 72 allowing handle 64 to move relative to body 66. In the position shown in Fig. 7, switch 70 has connected power supply 74 to solenoid 76.
20 The energized solenoid moves to the left, overcoming the force of spring 78, and moves drive shaft 80 to the left. Such movement of the drive shaft causes bristles 16 to be rotated clockwise to their position shown in Fig. 7.

- 6 -

C L A I M S

1. An oral care device, comprising:
a handle;
a head extending from the handle;
5 one or more oral care elements extending from the head; and
a drive for engaging a base portion of each of the one or more oral
care elements and for positioning each said oral care element relative to that portion
of the head from which the oral care element projects based on the motion of the
oral care device.
- 10 2. The oral care device of claim 1, wherein each of the one or more oral
care elements is a tuft of one or more bristles.
3. The oral care device of claim 1, wherein each of the one or more oral
care elements is a nozzle for dispensing water, ultrasonic energy, an anti-bacterial
laser or an anti-bacterial gas.
- 15 4. The oral care device of claim 1, wherein the drive includes a motion
sensor for sensing motion of the oral care device and a microprocessor for receiving
information from the motion sensor and using this information to control operation
of the drive.
5. The oral care device of claim 1, wherein the positioning of each oral
20 care element changes its angle relative to that portion of the head from which the
oral care element projects.
6. The oral care device of claim 1, wherein each oral care element is
positioned to be angled towards a direction of motion of the oral care device.
7. The oral care device of claim 6, wherein each oral care element is
25 positioned at or near the commencement of motion of the oral care device in said
direction.
8. The oral care device of claim 6, wherein the direction of motion is
substantially parallel to a long axis of the handle.
9. The oral care device of claim 1, wherein each oral care element is
30 movable to a position which is angled by about 17 degrees to about 20 degrees
from a line which extends in a perpendicular direction from that portion of the head
from which each oral care element projects.

- 7 -

10. An oral care device, comprising:
a handle;
a head extending from the handle;
one or more oral care elements extending from the head; and
5 means for engaging a base portion of each of the one or more oral care elements and for positioning each said oral care element relative to that portion of the head from which the oral care element projects based on the motion of the oral care device.
11. A method of adjusting an oral care device as it is being used,
10 comprising the steps of:
providing an oral care device having a handle, a head extending from the handle, one or more oral care elements extending from the head, and a drive which engages a base portion of each of the one or more oral care elements;
automatically sensing the motion of the oral care device; and
15 automatically adjusting the position of each said oral care element relative to that portion of the head from which the oral care element projects based on the motion of the oral care device to achieve enhanced oral care.
12. The method of claim 11, wherein the adjusting step changes the angle of each oral care element relative to that portion of the head from which the oral
20 care element projects.
13. The method of claim 11, wherein the adjusting step positions each oral care element to be angled towards a direction of motion of the oral care device.
14. The method of claim 13, wherein the adjusting step positions each oral care element at or near the commencement of motion of the oral care device in
25 said direction.
15. The method of claim 13, wherein the direction of motion is substantially perpendicular to a long axis of the handle.
16. The method of claim 11, wherein the adjusting step moves each oral care element to a position which is angled by about 17 degrees to about 20 degrees
30 from a line which extends in a perpendicular direction from that portion of the head from which each oral care element projects.

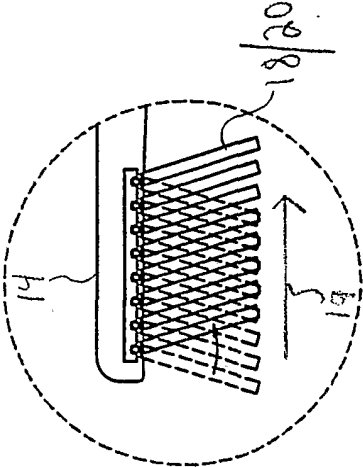
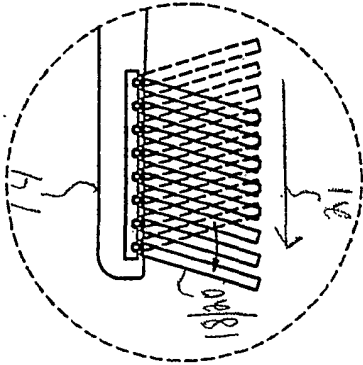
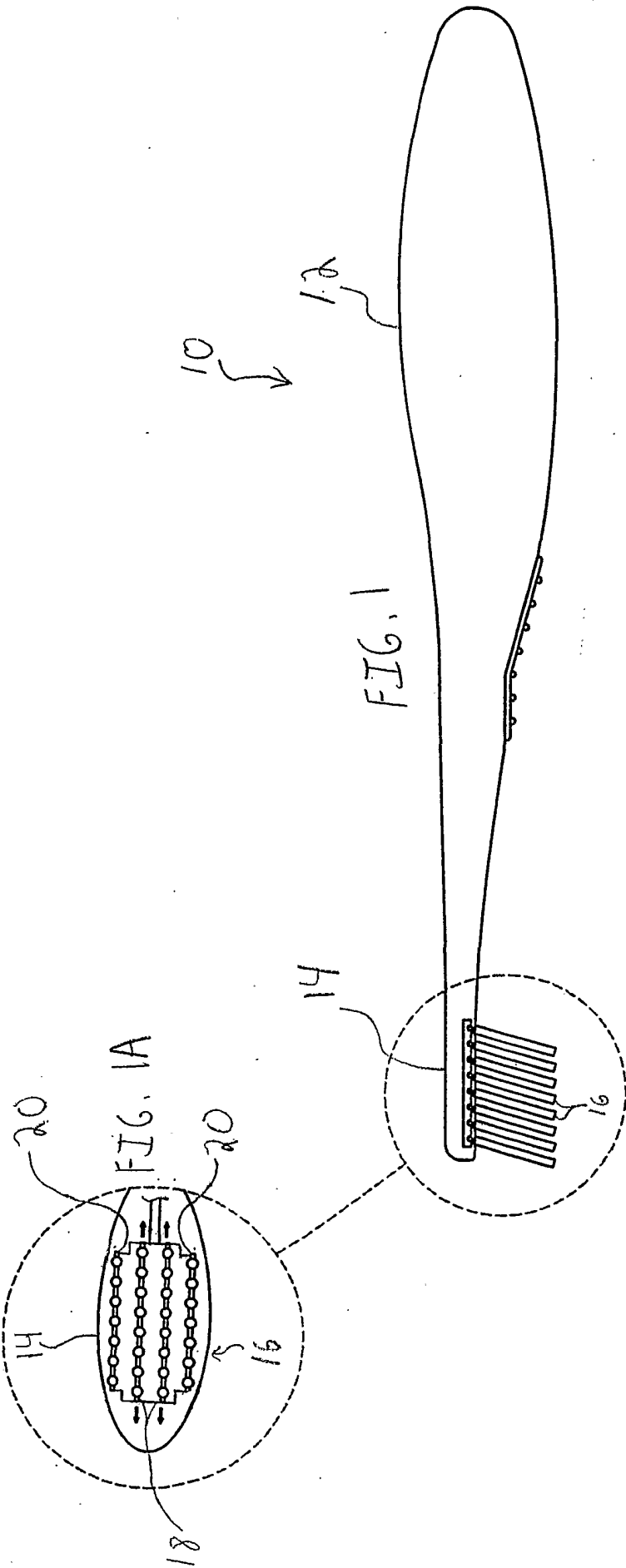
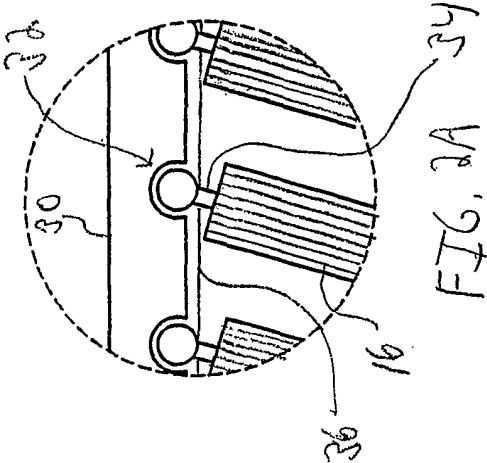
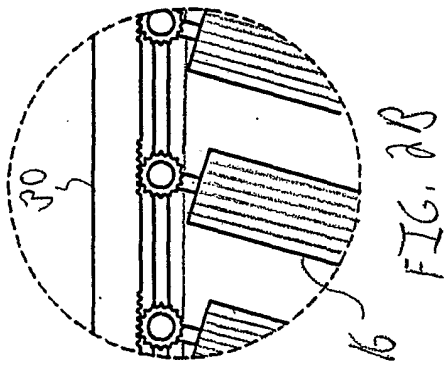
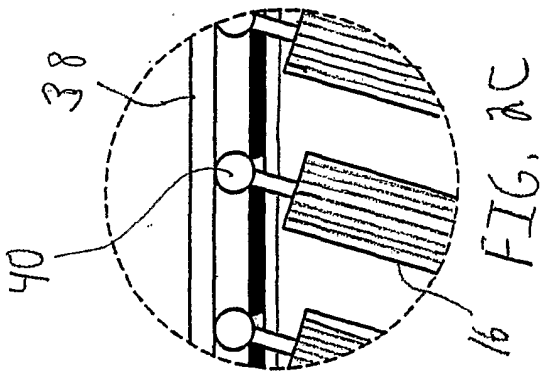
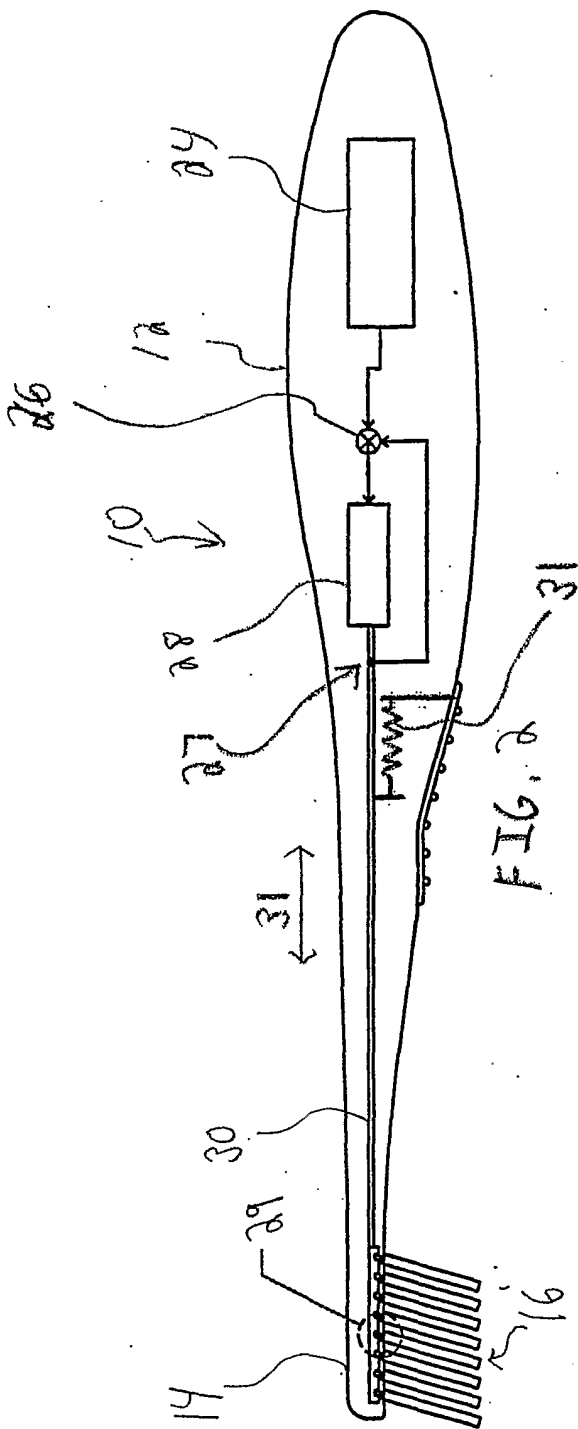
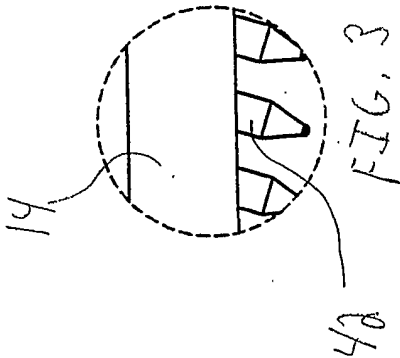
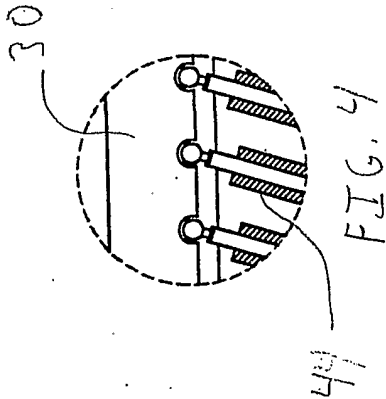


FIG. 1C

FIG. 1D





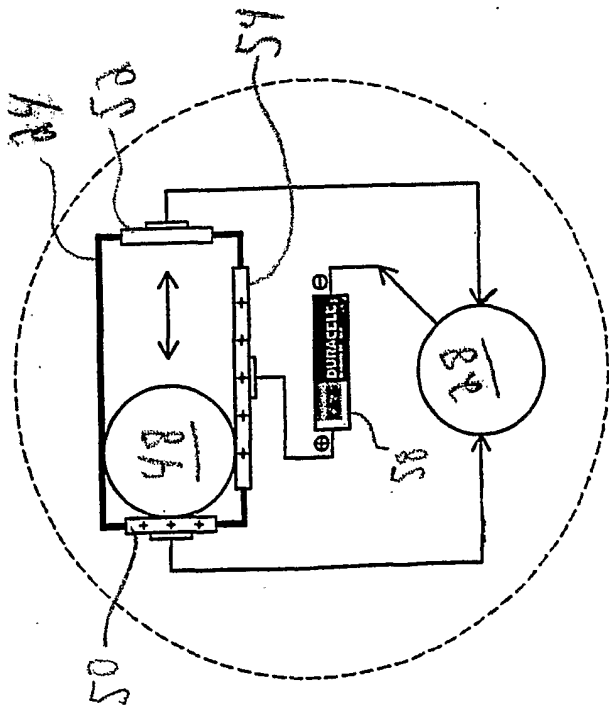
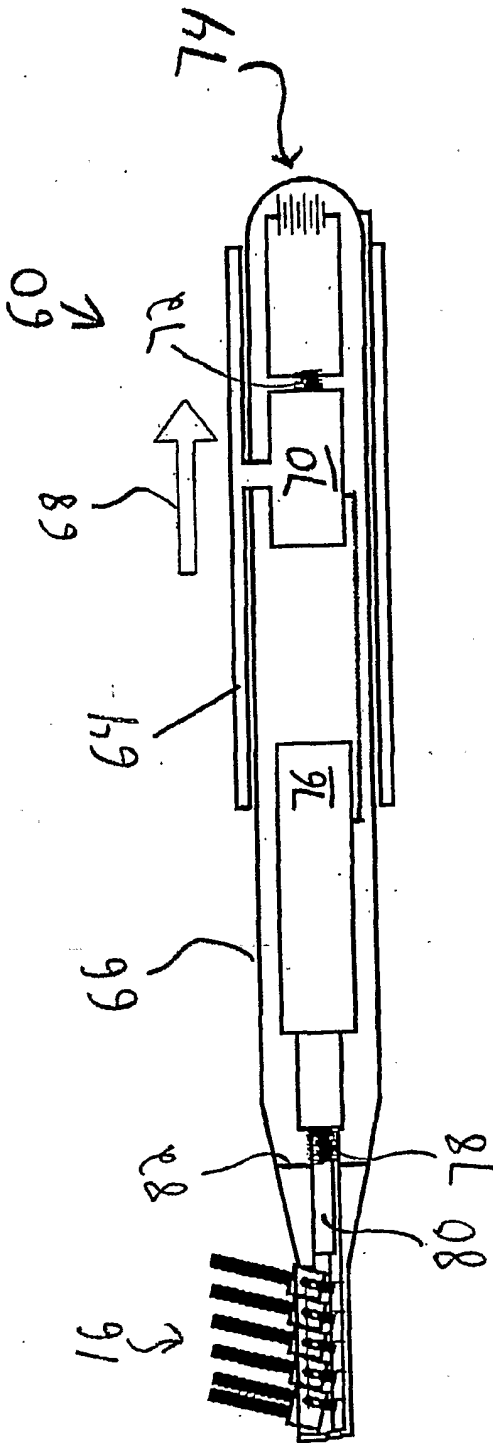
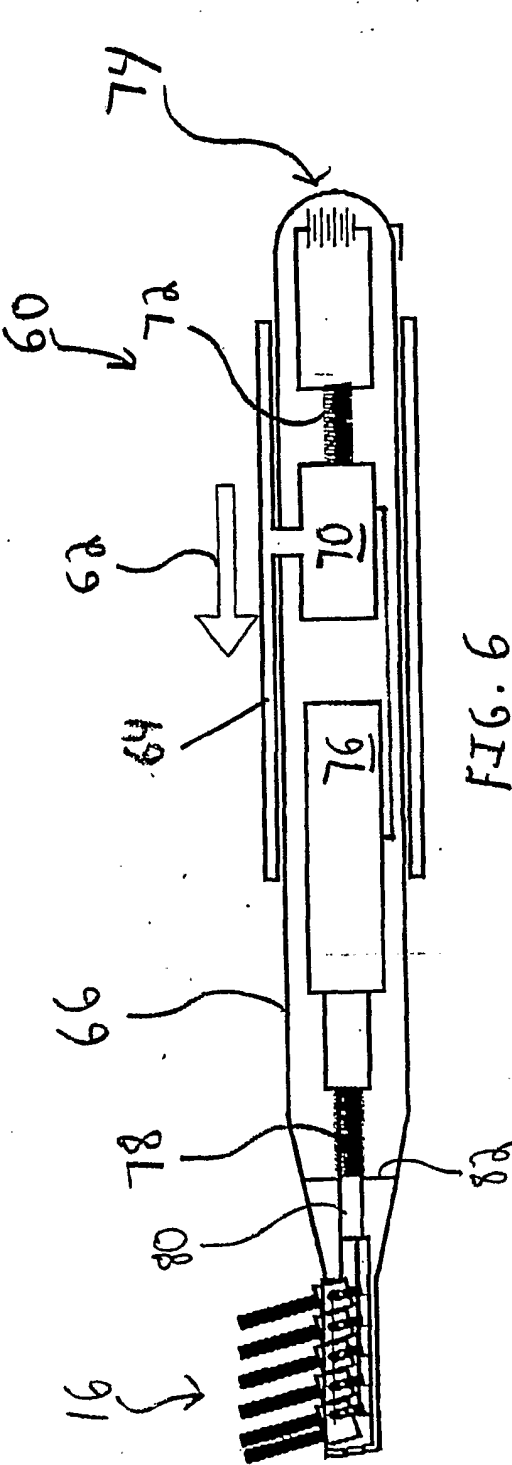


FIG. 5



INTERNATIONAL SEARCH REPORT

 In **onal Application No**
PCT/US 01/40262
A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A46B9/10 A61C17/34 A61C17/00 A61C17/02 A61C1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A46B A61C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 195 38 569 A (CORONET-WERKE) 24 April 1997 (1997-04-24) the whole document	1,2,10, 11
A	US 5 435 032 A (MCDUGALL) 25 July 1995 (1995-07-25) cited in the application the whole document	1,2,10, 11

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

13 November 2001

Date of mailing of the international search report

19/11/2001

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Vanrunxt, J

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/40262

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
DE 19538569	A	24-04-1997	DE 19538569 A1	24-04-1997
			AT 189105 T	15-02-2000
			CN 1200016 A	25-11-1998
			DE 59604327 D1	02-03-2000
			WO 9714330 A1	24-04-1997
			EP 0857026 A1	12-08-1998
			ES 2142095 T3	01-04-2000
			JP 11513581 T	24-11-1999
			US 6161245 A	19-12-2000
<hr/>				
US 5435032	A	25-07-1995	AU 4084193 A	30-12-1993
			WO 9324034 A1	09-12-1993
			GB 2286768 A , B	30-08-1995
<hr/>				