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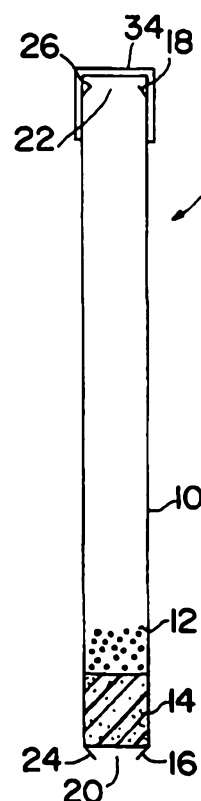


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(54) Title: FLOW CONTROLLER CONFIGURATIONS FOR AN ACTIVE AGENT DELIVERY DEVICE

(57) Abstract

The present invention is directed to an oral active agent delivery system comprising improved flow controllers. A hollow tubular member (10) containing the active agent formulation and having a fluid passing controller (14) is placed at one end (16) into a fluid and at a second end (18) into a patient's mouth. The active agent is delivered when the patient sips on the end of the chamber. The improved controllers prevent leakage of the active agent formulation.



1 **FLOW CONTROLLER CONFIGURATIONS FOR**
2 **AN ACTIVE AGENT DELIVERY DEVICE**

3
4
5 Field of the Invention

6 The present invention relates to the oral delivery of a liquid dispersion
7 of an active agent. More particularly, improved flow controller configurations
8 are disclosed which prevent active agent formulation particles from slipping in
9 between the controller and the inner wall of the tubular delivery device. The
10 controllers of the present invention allow a liquid to pass through or around
11 the controller to form a suspension or slurry of the active agent formulation
12 while preventing the controller from becoming stuck within the delivery device
13 during administration of the active agent. The controllers of the present
14 invention also provide an indication of the amount of the dose administered.
15 Improved controller retention structures are also disclosed.

16
17 Background of the Invention

18 Tablets, capsules, caplets and many other types of devices have
19 been used for oral delivery of active agents. These forms are relatively easy
20 to manufacture and convenient for use in the hospital or other institutional
21 settings or at home. Many different types of active agents have been
22 incorporated into such dosage forms - ranging from analgesics to antibiotics
23 to hormones.

24 There are patients that, because of age or infirmity, have difficulty
25 swallowing solid oral dosage forms. According to Kikendall et al., Digestive
26 Diseases and Sciences 28:2(1983), there were 221 cases documented
27 between 1970-1982 of tablet and capsule induced oesophageal injury.
28 The most commonly implicated drugs were tetracycline (108 cases),
29 emepromium bromide (36 cases), potassium chloride (16 cases) and
30 ferrous salts (12 cases).

1 In view of the above, there exists a need for oral dosage forms where
2 swallowing of a large solid system is avoided that are easy to use and
3 manufacture.

4 U.S. Patent No. 2,436,505 to DuRall describes a pill doser for
5 administering medicines in liquid form or in pills or tablets. The device has a
6 bowl at the top for containing the medicine and a tube that can be submerged
7 in a liquid held in a drinking glass. The liquid is drawn upward for
8 administering the liquid and any pill or tablet present in the bowl.

9 U.S. Patent No. 2,867,536 to Mead et al. describes an improved
10 drinking straw where a soluble flavoring material is contained within an
11 annular space contained within an inner and an outer tube. The inner tube
12 has a bore through which liquid can be drawn. During use, the upper and
13 lower caps are removed, the flavoring material emptied into the liquid and the
14 flavored liquid drawn up through the inner tube and into the mouth.

15 U.S. Patent No. 3,610,483 to Visconti describes a dispensing device
16 for liquid medication that is formed in the shape of a straw. A predetermined
17 dose of liquid medication is loaded into the straw which is then capped at both
18 ends until the medication is dispensed when a patient removes the caps and
19 sucks air into the device.

20 U.S. Patent No. 4,581,013 to Allen is directed to a doser for orally
21 administering a medication. A tube with a removable closure and a radially
22 extending plate supports a solid medication and permits passage of a stream
23 of liquid. The tube is fitted on top of a straw that is placed into a liquid.

24 U.S. Patent No. 4,792,333 to Kidder describes a tamper proof package
25 for containing and orally administering a solid substance. A tube has two
26 portions that are separated by a supporting and confining means that
27 supports and confines the solid substance but permits fluid flow. The ends of
28 the tube are hermetically sealed.

29 U.S. Patent No. 4,981,468 to Benefiel et al. is directed to a unit
30 dosage form for delivering a therapeutic agent in free-flowing form. A slanted
31 grid supports the dose between two ends of a tube.

1 Published PCT Application WO 97/03634 to Wong et al. describes an
2 oral active agent delivery system comprising a hollow chamber that contains
3 discrete units of active agent. A fluid passing retainer prevents release of the
4 discrete units but permits fluid entry into the chamber. The retainer is
5 transportable with the fluid entering the system.

6 A variety of other oral delivery systems have been described.
7 These include a medicated pacifier (U.S. Patent No. 5,123,915 to Miller et al.)
8 and a lollipop type device for a solid medicament (U.S. Patent No. 5,223,259
9 to Lackney).

10

11 Summary of the Invention

12 In one aspect, the present invention provides improved flow controllers
13 for oral active agent delivery devices. The active agent is in the form of
14 discrete units and is contained within the lumen of a hollow tubular active
15 agent delivery device. The controllers prevent release of the discrete units
16 from the first end of the delivery device and permit fluid to enter into the
17 lumen to form a suspension or slurry while lifting the formulation up the lumen
18 towards the second end of the tubular member to the point of drug delivery.

19 In another aspect, improved flow controller retention structures are
20 provided which prevent the controller from exiting through either end of the
21 delivery device and facilitate use of the device.

22 In still another aspect, an improved controller for an oral active agent
23 delivery system for delivering discrete units of active agent formulation in
24 admixture with a fluid is provided. The system comprises a hollow tubular
25 member having a first end and a second end and containing an active agent
26 formulation in the form of discrete units between the ends, the controller being
27 located within the hollow tubular member and capable of permitting fluid entry
28 into the tubular member while preventing release of the discrete units from
29 the first end of the tubular member and being transportable toward said
30 second end by the fluid entering the system, and the controller comprises a
31 core of bonded fibers.

Description of the Drawings

The figures are not drawn to scale, but are set forth to illustrate various embodiments of the invention. Like numbers refer to like structures.

FIG. 1 is a cross-sectional view of one embodiment of the delivery device of the invention in prepared form prior to placement in a liquid medium.

FIGS. 2A - 2C are cross-sectional views of various controller retention structures according to the invention.

FIGS. 3A - 3C are top views of various embodiments of the retaining means 32 depicted in FIG. 2C.

FIGS. 4A - 4C are cross-sectional views of various embodiments of second end 18 of the device of FIG. 1.

FIG. 5 shows the device of FIG. 1 following placement in a liquid medium and delivery of a portion of the active agent formulation.

FIGS. 6 - 11 are cross-sectional views of various embodiments of controller 14. FIGS. 7E and 8E are top views of the controllers depicted in FIGS. 7A and 8A, respectively.

FIG. 12 is a perspective view of another embodiment of the invention wherein the controller is formed from a plug of bonded fibers.

Detailed Description of the Invention

Accordingly, one aspect of the present invention is directed to improved flow controllers for controlling the passage of fluid through or around the controller to form a suspension or slurry with an active agent formulation within an oral delivery system for delivering discrete units of the active agent formulation in admixture with a fluid. The system comprises a tubular member comprising a first end and a second end. The first end is suitable for placement in a liquid and the second end is suitable for placement in the mouth of a patient. The system further comprises a lumen that contains a therapeutically effective amount of an active agent in the form of discrete units. The controllers prevent release of the discrete units from the first end and permit fluid to enter into the lumen to form a suspension or slurry

1 while lifting the formulation up the lumen towards the second end of the
2 tubular member. According to this aspect of the invention, the controller
3 comprises an exterior surface provided with at least one protrusion extending
4 therefrom which provides discrete areas of contact between the controller and
5 the tubular member to provide a desired amount of drag or friction.

6 Another aspect of the invention relates to improved controller retention
7 structures provided at the first and/or second ends of the delivery device in
8 order to provide that the controller is maintained within the delivery device.
9 The retention structure at the second end of the device may be configured to
10 facilitate use of the delivery device.

11

12 Definitions

13 The term "active agent" refers to an agent, drug, compound,
14 composition of matter or mixture thereof which provides some pharmacologic,
15 often beneficial, effect. This includes foods, food supplements, nutrients,
16 drugs, vitamins, and other beneficial agents. As used herein, the terms
17 further include any physiologically or pharmacologically active substance that
18 produces a localized or systemic effect in a patient. The active drug that can
19 be delivered includes antibiotics, antiviral agents, anepileptics, analgesics,
20 anti-asthmatics, anti-inflammatory agents and bronchodilators, and may be
21 inorganic and organic compounds, including, without limitation, drugs which
22 act on the peripheral nerves, adrenergic receptors, cholinergic receptors,
23 the skeletal muscles, the cardiovascular system, smooth muscles, the blood
24 circulatory system, synoptic sites, neuroeffector junctional sites, endocrine
25 and hormone systems, the immunological system, the reproductive system,
26 the skeletal system, autacoid systems, the alimentary and excretory systems,
27 the histamine system and the central nervous system. Suitable agents may
28 be selected from, for example, polysaccharides, steroids, hypnotics and
29 sedatives, psychic energizers, tranquilizers, anticonvulsants, muscle
30 relaxants, antiparkinson agents, analgesics, anti-inflammatories, muscle
31 contractants, antimicrobials, antimalarials, hormonal agents including

1 contraceptives, sympathomimetics, polypeptides and proteins capable of
2 eliciting physiological effects, diuretics, lipid regulating agents, antiandrogenic
3 agents, leukotriene antagonists, antiparasitics, neoplastics, antineoplastics,
4 hypoglycemics, nutritional agents and supplements, growth supplements,
5 fats, ophthalmics, antienteritis agents, electrolytes and diagnostic agents.
6 The invention is particularly suited for antiviral therapy particularly to the
7 combination dose of protease inhibitors and nucleoside analogues for HIV
8 treatment.

9 Examples of active agents useful in this invention include zafirlukast
10 prochlorperazine edisylate, ferrous sulfate, aminocaproic acid, mecamlamine
11 hydrochloride, procainamide hydrochloride, amphetamine sulfate,
12 methamphetamine hydrochloride, benzphetamine hydrochloride,
13 isoproterenol sulfate, phenmetrazine hydrochloride, bethanechol chloride,
14 methacholine chloride, pilocarpine hydrochloride, atropine sulfate,
15 scopolamine bromide, isopropamide iodide, tridihexethyl chloride, phenformin
16 hydrochloride, methylphenidate hydrochloride, theophylline cholineate,
17 cephalixin hydrochloride, diphenidol, meclizine hydrochloride,
18 prochlorperazine maleate, phenoxybenzamine, thiethylperazine maleate,
19 anisindione, diphenadione erythryl tetranitrate, digoxin, isofluorophate,
20 acetazolamide, methazolamide, bendroflumethiazide, chlorpropamide,
21 tolazamide, chlormadinone acetate, phenaglycodol, allopurinol, aluminum
22 aspirin, methotrexate, acetyl sulfisoxazole, hydrocortisone,
23 hydrocorticosterone acetate, cortisone acetate, dexamethasone and its
24 derivatives such as betamethasone, triamcinolone, methyltestosterone,
25 17-b-estradiol, ethinyl estradiol, ethinyl estradiol 3-methyl ether, prednisolone,
26 17-b-hydroxyprogesterone acetate, 19-nor-progesterone, norgestrel,
27 norethindrone, norethisterone, norethiederone, progesterone, norgesterone,
28 norethynodrel, aspirin, acetaminophen, indomethacin, naproxen, fenoprofen,
29 sulindac, indoprofen, nitroglycerin, isosorbide dinitrate, propranolol, timolol,
30 atenolol, alprenolol, cimetidine, clonidine, imipramine, levodopa,
31 chlorpromazine, methyl dopa, dihydroxyphenylalanine, calcium gluconate,

1 ketoprofen, ibuprofen, cephalexin, erythromycin, haloperidol, zomepirac,
2 ferrous lactate, vincamine, phenoxybenzamine, diltiazem, milrinone,
3 captopril, mandol, guanabenz, hydrochlorothiazide, ranitidine, flurbiprofen,
4 fenbufen, fluprofen, tolmetin, alclofenac, mefenamic, flufenamic, difuninal,
5 nimodipine, nitrendipine, nisoldipine, nicardipine, felodipine, lidoflazine,
6 tiapamil, gallopamil, amlodipine, mioflazine, lisinopril, enalapril, captopril,
7 ramipril, enalaprilat, famotidine, nizatidine, sucralfate, etintidine, tetratolol,
8 minoxidil, chlordiazepoxide, diazepam, amitriptyline, and imipramine. Further
9 examples are proteins and peptides which include, but are not limited to,
10 insulin, colchicine, glucagon, thyroid stimulating hormone, parathyroid and
11 pituitary hormones, calcitonin, renin, prolactin, corticotrophin, thyrotropic
12 hormone, follicle stimulating hormone, chorionic gonadotropin, gonadotropin
13 releasing hormone, bovine somatotropin, porcine somatropin, oxytocin,
14 vasopressin, prolactin, somatostatin, lypressin, pancreozymin and leutinizing
15 hormone.

16 The term "active agent formulation" intends the active agent(s)
17 optionally in combination with pharmaceutically acceptable carriers and
18 additional inert ingredients.

19 The term "discrete units" intends the active agent formulation in solid or
20 particulate form, and includes active agent formulations in liquid form
21 encompassed by a solid surface.

22 An "oral dosage form" as described herein is meant the active agent
23 formulation when placed in a discrete unit that is capable of maintaining its
24 physical configuration and chemical integrity while housed within the delivery
25 device.

26 As used herein, the terms "therapeutically effective amount" or
27 "therapeutically effective rate" refer to the amount or rate of the active agent
28 needed to effect the desired pharmacologic, often beneficial result.

29 The term "controller" refers to a plug or the like that allows for passage
30 of fluids but does not allow for passage of other ingredients such as the active
31 agent formulation that is contained in the delivery device.

1 The dispensing devices of the invention find use where it is
2 inconvenient or unsafe to use solid oral dosage forms such as capsules or
3 tablets. The devices may be particularly useful in geriatric or pediatric patient
4 populations but they may also be useful for those who have difficulty
5 swallowing capsules or tablets. A single delivery device or several devices
6 can be administered to a patient during a therapeutic program.

7 This invention comprises the following features, either alone or in
8 combination with each other:

9 An improved controller for an oral active agent delivery system for
10 delivering discrete units of active agent formulation in admixture with a fluid
11 comprising a hollow tubular member having a first end and a second end and
12 containing an active agent formulation in the form of discrete units between
13 the ends, the controller being located within the hollow tubular member and
14 being capable of permitting fluid entry into the tubular member while
15 preventing release of the discrete units from the first end of the tubular
16 member and being transportable toward the second end by the fluid entering
17 the system. The controller comprises an exterior surface provided with at
18 least one protrusion extending therefrom which provides a discrete area(s) of
19 contact between the controller and the tubular member.

20 The controller may comprise a cylindrical body portion provided with at
21 least one protrusion on its exterior surface wherein the protrusion prevents
22 leakage of the active agent from the first end of the device. The protrusion
23 may comprise at least one ridge extending outwardly from and along the
24 circumference of the cylindrical body portion wherein the ridge comprises a
25 continuous spiral ridge extending outwardly from the exterior surface of the
26 cylindrical body portion. The ridge may be at an acute angle or perpendicular
27 to the longitudinal axis of the cylindrical member.

28 The controller may be fabricated with at least one longitudinal channel
29 formed in the exterior surface of the cylindrical body portion to allow passage
30 of fluid across the controller.

1 A groove may be provided in the cylindrical body portion along the
2 circumference of the exterior surface and an O-ring positioned within the
3 groove.

4 A groove in the cylindrical body portion may comprise retaining ridges
5 and a flanged ring positioned within the groove and secured by the retaining
6 ridges.

7 A hollow cap may be provided covering one end of said cylindrical
8 body portion.

9 The controller may comprise at least one vertical fin extending from a
10 central, cylindrical portion of the controller along its length. The fin may be
11 rectangular or have a wave shaped exterior surface and may be provided with
12 at least one recess along the exterior surface thereof.

13 A flexible circular member may be provided at one end of the
14 controller, the diameter of the circular member being substantially the same
15 or larger than that of the inner diameter of the tubular member.

16 The invention will now be described with reference to the
17 accompanying drawings. FIG. 1 depicts, in a cross-sectional view, one
18 embodiment of the delivery device according to the invention. The device is
19 in prepared form prior to placement in a fluid. Dispensing device **1** is shown
20 in FIG. 1 to comprise an elongate tubular member **10** with a first end **16** and a
21 second end **18**. Contained within tubular member **10** is a lumen that contains
22 an active agent formulation **12** and a controller **14**. Active agent formulation
23 **12**, which can be particles of drug, coated drug particles, or "tiny time pills",
24 either alone or with additional carriers, is placed in the tubular member **10**.
25 The tubular member **10** comprises a retaining means such as a restriction **24**
26 to prevent controller **14** from exiting through the first end **16**. The cross-
27 section of opening **20** is smaller than that of the controller **14**. In the
28 embodiment shown in FIG. 1, the retaining means is made by crimping the
29 end **16** of tubular member **10**. Any convenient means that prohibits controller
30 **14** from exiting through first end **16** while permitting passage of fluid is
31 contemplated by this invention such as, without limitation, a series of dimples

1 **28** or a continuous indentation **30** formed near one or both ends of the tubular
2 member **10** as shown in FIGS. 2A and 2B, respectively. In another
3 embodiment depicted in FIG. 2C, retaining means **32** is positioned at one or
4 both ends of tubular member **10** for preventing controller **14** from exiting
5 tubular member **10**. The retaining means **32** may be as depicted in FIGS.
6 3A - 3C, however, any element is contemplated that will allow passage of fluid
7 without permitting passage of controller **14**.

8 Second end **18** of tubular member **10** also has a retaining means **26**
9 for preventing release of controller **14**. In the embodiment shown in FIG. 1,
10 the retaining means **26** is prepared by crimping the end **18** of tubular member
11 **10**. Preferably, retaining means **26** is configured to facilitate sucking of the
12 active agent formulation **12** into the mouth of the user as shown in FIGS. 4A
13 and 4B. According to another preferred embodiment depicted in FIG. 4C,
14 tubular member **10** gradually tapers to a reduced diameter top portion **36** at
15 the second end thereof. Side exit openings **38** are provided along tapering
16 region and allow the active agent formulation **12** to be administered to the
17 patient while preventing controller **14** from exiting tubular member **10**. End-
18 cap **34** is placed over the second end **18** of the tubular member **10** prior to
19 use to prevent release of the active agent formulation **12**.

20 FIG. 5 shows the delivery device **1** in operation after having been
21 placed in fluid **30**. The first end **16** of the delivery device **1** is placed in the
22 fluid **30** and the second end **18** of the device is placed in the patient's mouth
23 after removing cap **34**. It is preferable to place the device **1** into the container
24 holding fluid **30** prior to removing cap **34**. The patient sips on the second end
25 **18** of the device and an admixture of fluid **30** and active agent formulation **12**
26 is delivered through opening **22** and into the patient's mouth.

27 FIGS. 6 - 11 depict various embodiments of the improved flow
28 controllers **14** of the present invention. Controllers **14** are designed to allow a
29 predetermined amount of drug to move up through tubular element **10** to the
30 point of delivery at the second end **18**. The controller **14** is configured to
31 allow liquid to pass either through or around the controller without allowing

active agent formulation **12** to slip between the sides of controller **14** and the inner wall of tubular member **10** or to leak through the porosity of controller **14** towards first end **16**. According to another embodiment, it is preferable that controller **14** is adapted to accommodate a variation in part sizes such as the inner diameter of tubular member **10**. This is accomplished through the selection of materials for controller and/or by providing controller **14** with protrusions such as fins, ridges, or rings which act as a seal. The protrusions also create friction or drag between controller **14** and tubular member **10** to allow time for the liquid to mix with the active agent formulation **12** after passing through or around controller **14**.

With reference to the drawings, FIG. 6A depicts one embodiment of controller **14** wherein controller **14** is a solid foam plug having an hourglass shape. FIG. 2B is also a solid foam plug with a central section **3** having a smaller diameter than top and bottom sections **5** in order to form a spool design. In each of these embodiments, the upper and lower sections are of a greater diameter than the middle section and create friction or drag between controller **14** and tubular member **10** to allow time for the liquid to mix with the active agent formulation **12** and act as a seal to prevent any backflow of active agent.

FIGS. 7A - 7D are cross-views of another embodiment of controller **14** of the present invention. In these embodiments, a spiral ridge **7** runs along the outer surface of cylindrical plug member **9**. The spiral ridge **7** may be a continuous spiral or a plurality of parallel ridges and may be fabricated separately or together with the cylindrical plug member **9**. Spiral ridge **7** may be of varying thickness and configurations and preferably forms an acute angle with the longitudinal axis of cylindrical plug member **9**. For example, the spiral ridge may be provided a wavy ridge as shown in FIG. 7B in order to provide desired flow characteristics of the liquid as it passes through controller **14** before mixing with the active agent formulation **12**.

In another embodiment depicted in FIG. 7C, the cylindrical plug member **9** is provided with a number of horizontal ribs **11** preferably 1 - 4.

1 According to this embodiment, cylindrical member **9** may be solid or hollow as
2 seen in FIG. 7D. Additionally, the plug member **9** of FIGS. 7A - 7D may be
3 provided with flow through channels **13** as depicted in FIG. 7E (top view) so
4 that liquid may be drawn up through channels **13** and past controller **14** to mix
5 with the active agent formulation **12**. The size of the channels **13** is selected
6 to allow liquid to be drawn up through controller **14** but not so large as to
7 allow active agent formulation to pass through controller **14**.

8 FIGS. 8A and 8B depict another embodiment wherein controller **14**
9 comprises a number of vertical fins **15**, preferably from 2 - 10 rectangular fins.
10 As seen in FIG. 8B, the fins may be wavy in order to provide for more
11 turbulent flow of liquid as it passes around the fins **15** before mixing with the
12 active agent formulation **12**. According to yet another embodiment, controller
13 **14** may be a molded finned controller as depicted in FIGS. 8C and 8D formed
14 from a non-porous, preferably thermoplastic material. As seen in FIG. 8C,
15 controller **14** comprises circular top **17** from which fins **19** extend downwardly
16 therefrom. Top **17** is a flexible member capable of flexing in a direction away
17 from fins **19** so as to allow fluid to pass around top **17** and tubular member **10**
18 and may be provided as a separate element. Fins **19** also act as a support
19 to prevent flexing of top **17** in a direction towards fins **19** so as to prevent
20 active agent formulation from passing around controller **14** and out first
21 end **16**.

22 As seen in FIG. 8C gap **d** may be provided between some or all of the
23 fins **19** and top **17**. Additionally, recesses **21** may be provided along the edge
24 of the fins **19** in order to provide the desired amount of contact between
25 controller **14** and the inner surface of tubular member **10**. FIG. 8D depicts
26 another embodiment wherein the fins **19** are rounded at the bottom to meet at
27 a single point. Areas **23** indicate the point of contact between the controller
28 **14** and tubular member **10**. FIG. 8E is a top view of the controller of FIG. 8A.

29 FIGS. 9 - 10 depict other embodiments of the controller of the present
30 invention which comprise an O - ring **25** or flanged ring of material **27** which
31 provide controller **14** with a seal against the inner wall of tubular member **10**.

1 FIG. 9A shows the controller body **31** including annular groove **33** to receive
2 O - ring **25** therein. As seen in FIGS. 9B and 9C, O - ring **25** may be a solid
3 or hollow, tubular ring of material. Alternatively, as seen in FIGS. 10A - 10C,
4 controller **14** may be formed to include ridges **29** which act to retain flanged
5 ring **27** in position on the controller **14**. O - ring **25** and flanged ring **27**
6 prevent the active agent formulation **12** from passing between controller **14**
7 and the inner wall of tubular member **10**, thus preventing the controller **14**
8 from getting stuck as it moves within tubular member **10**. Further, rings **25**
9 and **27** allow the outer diameter of controller **14** to vary slightly to
10 accommodate differing diameters encountered within tubular member **10**.

11 In the embodiment shown in FIGS. 11A - 11C, controller **14** is provided
12 as a hollow cap. The hollow cap controller **35** may be designed to function as
13 a controller by itself, or may be placed over a hollow or solid cylindrical plug
14 member **37** to form the plug cap depicted in FIG. 11B. Other hollow cap
15 designs are depicted in FIG. 11C wherein cap **35** comprises stepped
16 flange **39** at its open end to provide the desired contact with tubular
17 member **10**.

18 As illustrated in FIG. 12, the controller **14** may be fabricated as a plug
19 of bonded fibers **40**. The fibers may be bonded by conventional means such
20 as by intertwining or weaving of the fibers or portions thereof, by the
21 application of heat, causing at least a portion of the outer surfaces of the
22 fibers to attach to each other, and the like. For ease of manufacture, the
23 controller **14** is typically formed as a cylinder. The plug of bonded fibers **40** is
24 compressible and may be manufactured with a diameter slightly greater than
25 the inner diameter of tubular member **10**. When seated within the tubular
26 member **10**, the controller **14** will seal to prevent release of discrete units from
27 the first end of the tubular member **10**, yet permit fluid to enter the lumen to
28 transport the active agent to the second end and to the patient. The fiber
29 plug is also transportable with the fluid to the second end of the tubular
30 member upon application of suction to the second end of the tubular member.
31 While not shown, the external surfaces of the fiber plug may be modified as

1 described herein to provide various configurations for sealing between the
2 outer surface of the controller and the inner surface of the tubular member.

3 The controller **14** serves as a one-way valve and may be formed from
4 porous or non-porous materials. When suction is applied through the tubular
5 member **10**, the controller **14** is deformed, thereby permitting fluid to flow
6 around and/or through the controller **14**. When suction is removed, the
7 controller **14** relaxes and automatically seals the tubular member **10**. The
8 controller **14** also can move up the elongated tubular member, thereby aiding
9 in delivery of the active agent formulation **12**. The position of controller **14** in
10 tubular member **10** serves as an indicator of approximately how much of the
11 active agent formulation **12** has actually been delivered. The controller
12 permits the free flow of liquid medium but prohibits passage of the active
13 agent formulation from the device prior to delivery.

14 The controller **14** may be prepared from thermoplastic materials and
15 low or high density foam materials known in the art such as, without limitation,
16 ethylene vinyl acetate copolymers and polyolefins such as, for example,
17 polyethylene, polypropylene and the like, and may be a low density, closed
18 cell foam.

19 Also, as described above, the controller **14** may be fabricated as a
20 deformable and/or porous plug of bonded fibers, preferably in the shape of a
21 cylinder, with or without modification of the external surface of the controller.
22 The controller **14** may be formed as a bonded fiber cylinder of polymeric
23 fibers, such as, polyolefin fibers, with or without a polyester core, having a
24 fiber diameter of between 0.25 and 0.35 inches and a fiber length of between
25 0.25 and 0.4 inches, preferably a diameter between 0.280 and 0.310 inches
26 and a length between 0.300 and 0.320 inches. The plug will generally be
27 fabricated with a diameter that is slightly larger than the inner diameter of the
28 tubular member **10** such that it will be slightly compressed within the tubular
29 member **10**, but not so tightly compressed that fluid does not flow through
30 and/or around the controller upon the application of suction. Examples of

1 useful polyolefins include low density polyethylene (LDPE), high density
2 polyethylene (HDPE), ultra high molecular weight polyethylene (UHMW)
3 and polypropylene. Presently preferred fiber materials include polypropylene
4 fibers obtained from American Filtrona Corporation and those having a
5 polyester core with a polyolefin sheath obtained from Porex Technologies,
6 Fairburn, Georgia. Other materials that may be use to fabricate the fiber
7 plug controller include polyesters, cellulose acetate, nylon, felt, and cotton.
8 Generally hydrophobic materials are preferred, whether intrinsically
9 hydrophobic or modified to be hydrophobic by the addition of surfactants and
10 the like. Substantially cylindrical fiber plugs provide controllers having the
11 desirable sealing characteristics set forth herein and permit the flow of fluid to
12 deliver the active agent formulation as described. Such fiber plugs may be
13 fabricated with or without the surface modifications of the controllers
14 described herein.

15 The active agent itself may be in liquid, solid, or semisolid form. The
16 active agent formulation that contains the active agent may contain additional
17 material such as binders, coating materials, or stabilizers such that the
18 formulation is formed into one or more discrete units. The units may also be
19 mixed with sugar granules and flavoring agents to enhance ingestion. The
20 discrete units may be designed in a multitude of ways to provide a specific
21 drug delivery profile. One embodiment comprises a formulation that is in
22 particulate form. These particulates are generally between about 50 and
23 2000 μm in diameter, usually between about 100-500 μm in diameter. Where
24 the particulate has an unpleasant taste, the particulate may be taste masked
25 by methods that are well known in the art. For example, the particulate may
26 be mixed with effervescent materials (acid and carbonate sources) to form a
27 free flowing mixture. The particulates may be designed to provide immediate
28 delivery of the active agent, they may be coated to provide for prolonged
29 release or delayed pulse release of the active agent, or they may be designed
30 to provide for a combination of immediate, pulsed and/or prolonged delivery
31 of active agent. The particulates may be coated with an enteric coating to

1 provide for targeted release of the active agent. In addition there may be
2 active agent formulations that contain more than one active agent.

3 In other embodiments, the active agent may be in the discrete units
4 in liquid form contained, for example, within soft gelatin capsules or
5 microcapsules, or within a solid oral dosage form. These dosage forms may
6 include, matrix or other types of tablets, pellets and elongated tablets where
7 the height to diameter ratio exceeds one, capsules, elementary osmotic
8 pumps, such as those described in US Patent No. 3,845,770, mini osmotic
9 pumps such as those described in US Patent Nos. 3,995,631, 4,034,756,
10 and 4,111,202, and multichamber osmotic systems referred to as push-pull
11 and push-melt osmotic pumps, such as those described in US Patent Nos.
12 4,320,759, 4,327,725, 4,449,983, and 4,765,989 all of which are incorporated
13 herein by reference.

14 It is to be understood that more than one active agent may be
15 incorporated into the active agent formulation in a device of this invention,
16 and that the use of the term "agent" in no way excludes the use of two or
17 more such agents.

18 The agents can be in various forms, such as soluble and insoluble
19 charged or uncharged molecules, components of molecular complexes or
20 nonirritating, pharmacologically acceptable salts.

21 The amount of active agent employed in the delivery device will be that
22 amount necessary to deliver a therapeutically effective amount of the agent to
23 achieve the desired result. In practice, this will vary widely depending upon
24 the particular agent, the severity of the condition, and the desired therapeutic
25 effect. However, the device is generally useful for active agents that must be
26 delivered in fairly large doses of from about 100 mg to 5000 mg, usually in the
27 range of from about 250 mg to about 2500 mg. However, since the devices
28 may also be useful in pediatric patients, doses in the ranges of 25 to 250 mg
29 are also contemplated herein.

30 Representative materials for forming devices including the elongated
31 tubular member, the end caps and tabs, include, without limitation, paper,

1 plastic such as propylene/styrene copolymers, polypropylene, high density
2 polyethylene, low density polyethylene and the like. The devices usually
3 have an inner diameter of between about 3 and 8 mm and a wall thickness
4 of between about 0.1 and 0.4 mm. The devices are between about 10 and
5 30 cm in length.

6 The fluid that is used for suspending the active agent formulation by
7 sipping through the active agent formulation chamber is preferably any good-
8 tasting liquid including but not limited to water, juice, milk, soda, coffee, tea
9 etc. Care must be taken to ensure compatibility of the fluid with the active
10 agent formulation.

11 The above description has been given for ease of understanding only.
12 No unnecessary limitations should be understood therefrom, as modifications
13 will be obvious to those skilled in the art.

1 We claim:

2

3 1. An improved controller for an oral active agent delivery system for
4 delivering discrete units of active agent formulation in admixture with a fluid,
5 said system comprising a hollow tubular member, said tubular member having
6 a first end and a second end and containing an active agent formulation in the
7 form of discrete units between said ends, said controller being located within
8 said hollow tubular member and capable of permitting fluid entry into the
9 tubular member while preventing release of the discrete units from the first
10 end of the tubular member and being transportable toward said second end
11 by the fluid entering the system, said controller comprising an exterior surface
12 provided with at least one protrusion extending therefrom, said protrusion
13 providing discrete areas of contact between the controller and the tubular
14 member.

15 2. The controller of claim 1 wherein said controller comprises a cylindrical
16 body portion provided with said at least one protrusion on its exterior surface
17 wherein said protrusion prevents leakage of said active agent from said first
18 end of the device.

19 3. The controller of claim 2 wherein the protrusion comprises at least one
20 ridge extending outwardly from and along the circumference of the cylindrical
21 body portion.

22 4. The controller of claim 3 wherein the ridge comprises a continuous
23 spiral ridge extending outwardly from the exterior surface of the cylindrical
24 body portion.

25 5. The controller of claim 3 wherein the ridge is perpendicular to the
26 longitudinal axis of the cylindrical member.

27 6. The controller of claim 5 further comprising at least one longitudinal
28 channel formed in the exterior surface of the cylindrical body portion to allow
29 passage of fluid across the controller.

- 1 7. The controller of claim 3 wherein the cylindrical body portion comprises
2 a groove along the circumference of the exterior surface and the ridge
3 comprises an O-ring positioned within the groove.
- 4 8. The controller of claim 3 wherein the cylindrical body portion comprises
5 a groove comprising retaining ridges and the ridge is a flanged ring which is
6 positioned within the groove and secured by the retaining ridges.
- 7 9. The controller of claim 3 wherein the ridge forms an acute angle with
8 the longitudinal axis of the cylindrical member.
- 9 10. The controller of claim 2 wherein the protrusion comprises a hollow cap
10 covering one end of said cylindrical body portion.
- 11 11. The controller of claim 1 wherein the controller comprises a central
12 portion and the protrusion comprises at least one vertical fin extending from
13 the central portion along its length.
- 14 12. The controller of claim 11 wherein the central portion comprises a
15 cylindrical body portion.
- 16 13. The controller of claim 11 wherein the at least one fin is rectangular.
- 17 14. The controller of claim 11 wherein the at least one fin is provided with
18 at least one recess along the exterior surface thereof.
- 19 15. The controller of claim 11 wherein the at least one fin comprises a
20 wave shaped exterior surface.
- 21 16. The controller of claim 11 further comprising a flexible circular member
22 at one end of the controller, the diameter of said circular member being
23 substantially the same or larger than that of the inner diameter of the tubular
24 member.
- 25 17. The controller of claim 1 wherein the controller is formed from a
26 thermoplastic material.
- 27 18. The controller of claim 17 wherein the thermoplastic material is
28 selected from ethylene vinyl acetate copolymers, polyethylene, and
29 polypropylene.
- 30 19. The controller of claim 1 wherein the controller is formed from a high or
31 low density foam.

20. The controller of claim 19 wherein the controller is formed from a closed cell foam.

21. The controller of claim 20 wherein the controller is formed from low density closed cell polyethylene.

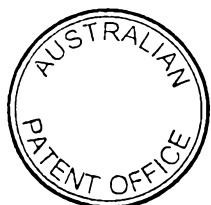
22. An improved controller for an oral active agent delivery system substantially as hereinbefore described with reference to the accompanying drawings.

Dated this 9th day of February 2001

Alza Corporation

Patent Attorneys for the Applicant

PETER MAXWELL & ASSOCIATES



09/02/2001

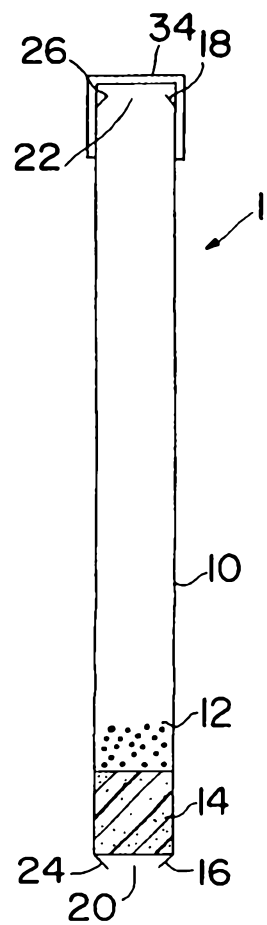


FIG. 1

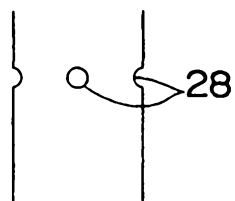


FIG. 2A

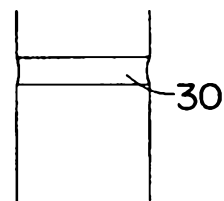


FIG. 2B

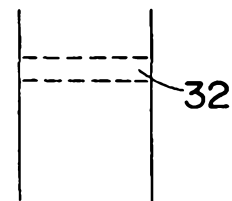


FIG. 2C

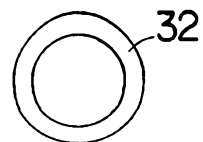


FIG. 3A

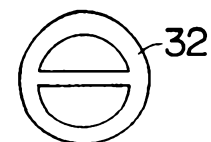


FIG. 3B

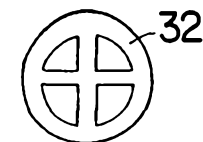


FIG. 3C

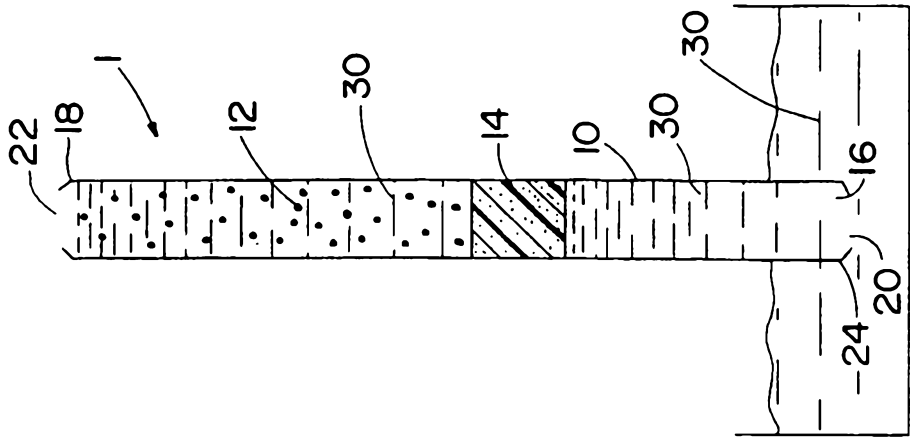


FIG. 5

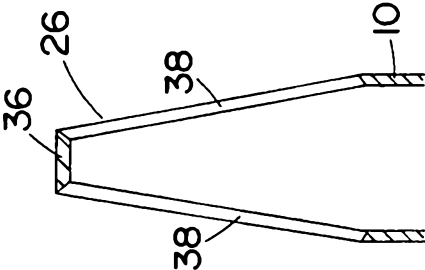


FIG. 4C

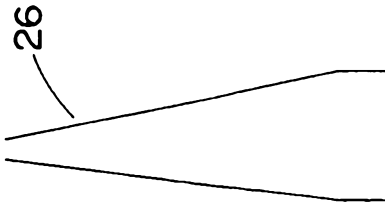


FIG. 4B

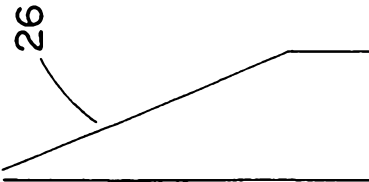


FIG. 4A

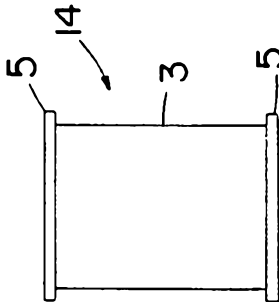


FIG. 6B

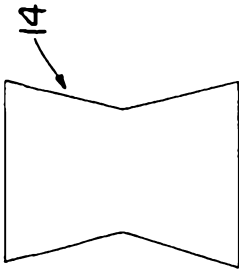


FIG. 6A

3/ 6

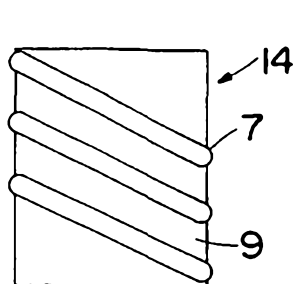


FIG. 7A

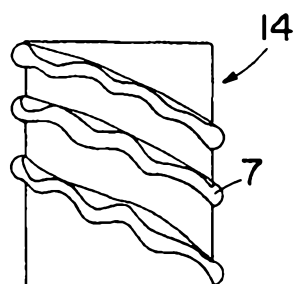


FIG. 7B

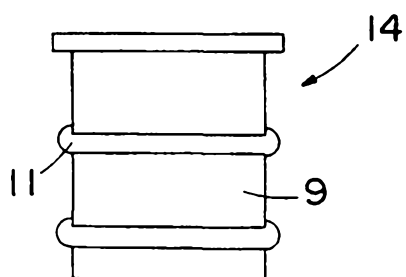


FIG. 7C

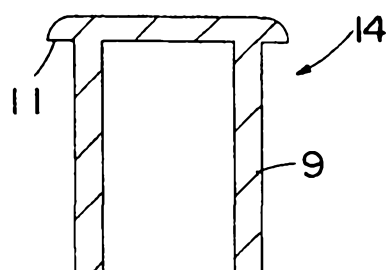


FIG. 7D

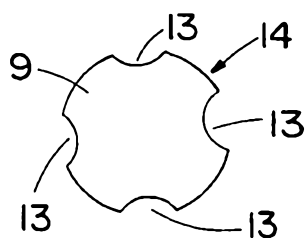


FIG. 7E

4 / 6

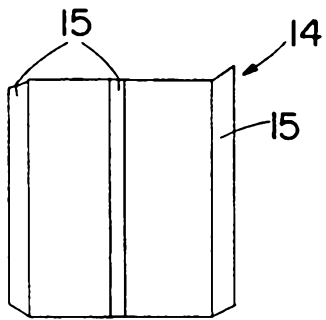


FIG. 8A

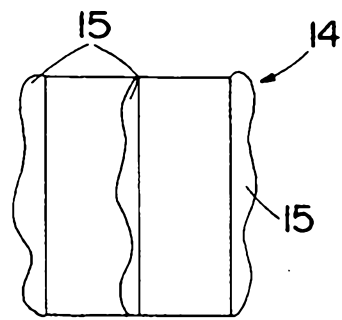


FIG. 8B

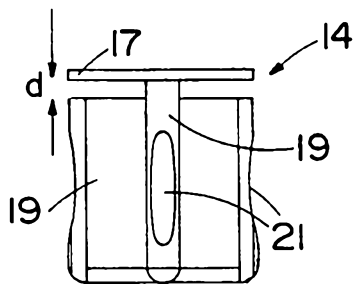


FIG. 8C

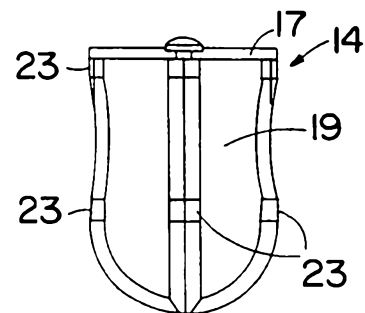


FIG. 8D

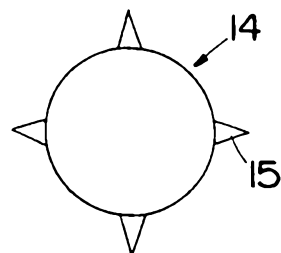


FIG. 8E

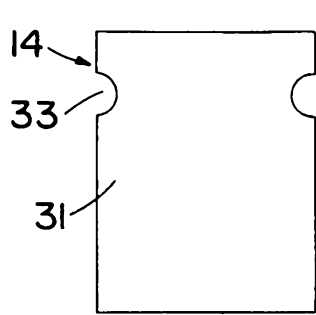


FIG. 9A

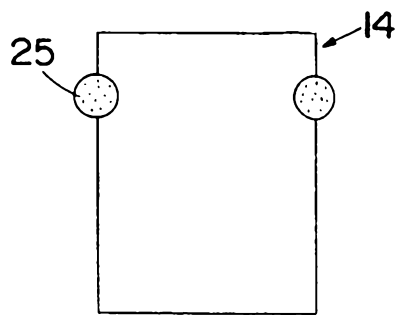


FIG. 9B

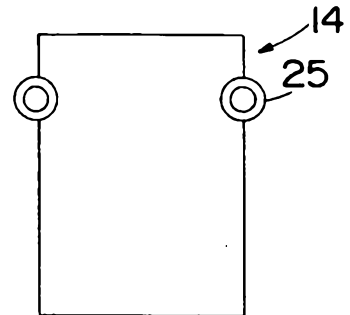


FIG. 9C

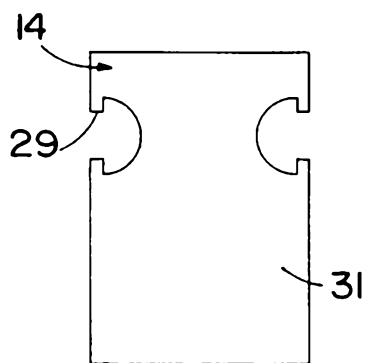


FIG. 10A

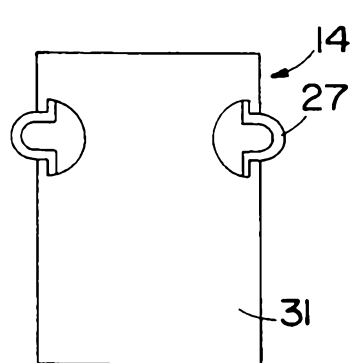


FIG. 10B

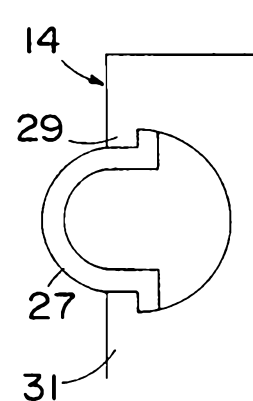


FIG. 10C

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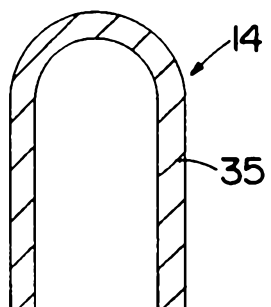


FIG. 1A

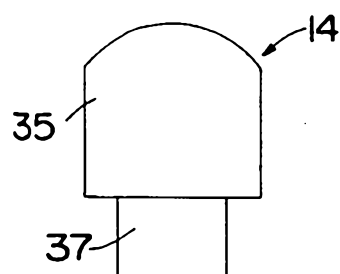


FIG. 1B

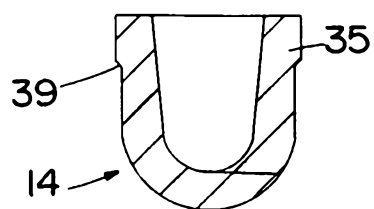


FIG. 1C

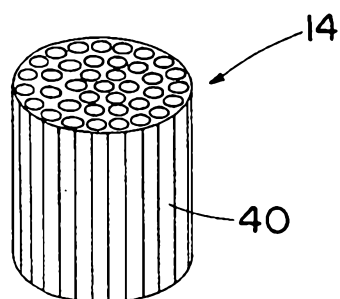


FIG. 12