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(54) **PLASTICIZERS COMPRISING
BIOLOGICALLY-BASED MONO AND DI
ESTERS**

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(57) **ABSTRACT**

A composition comprising an ester of 1,3-propanediol and a polymer is provided, and the ester is an ester of biologically-derived 1,3-propanediol. The ester can have at least 3% biobased carbon, at least 6% biobased carbon, or at least 10% biobased carbon. The composition can further comprise biologically-derived 1,3-propanediol. Also provided is a process for producing a plastic material comprising an ester of 1,3-propanediol. The process comprises providing an ester of 1,3-propanediol; and mixing the ester with a polymer.

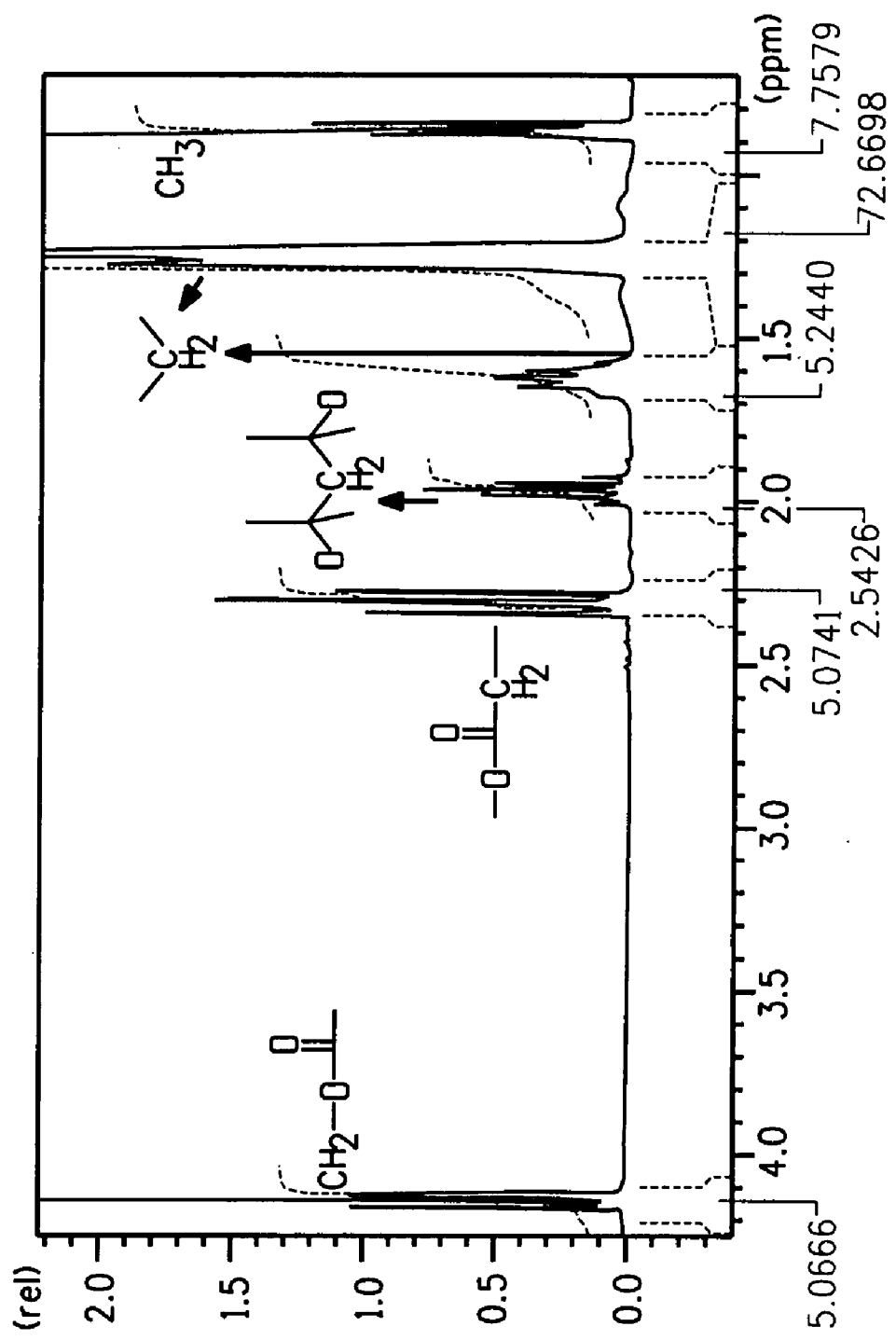
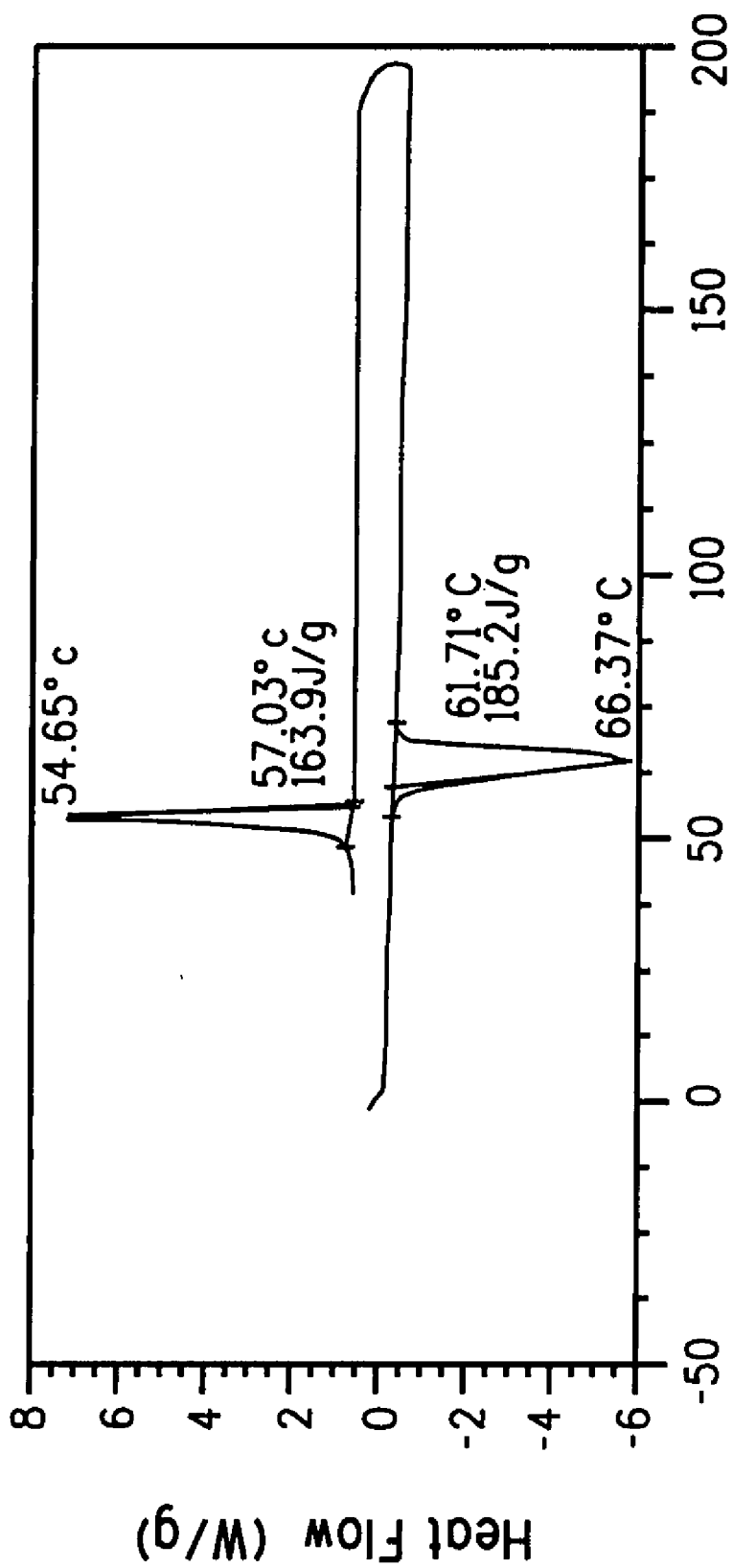


FIG. 1



Temperature (°C)

FIG. 2

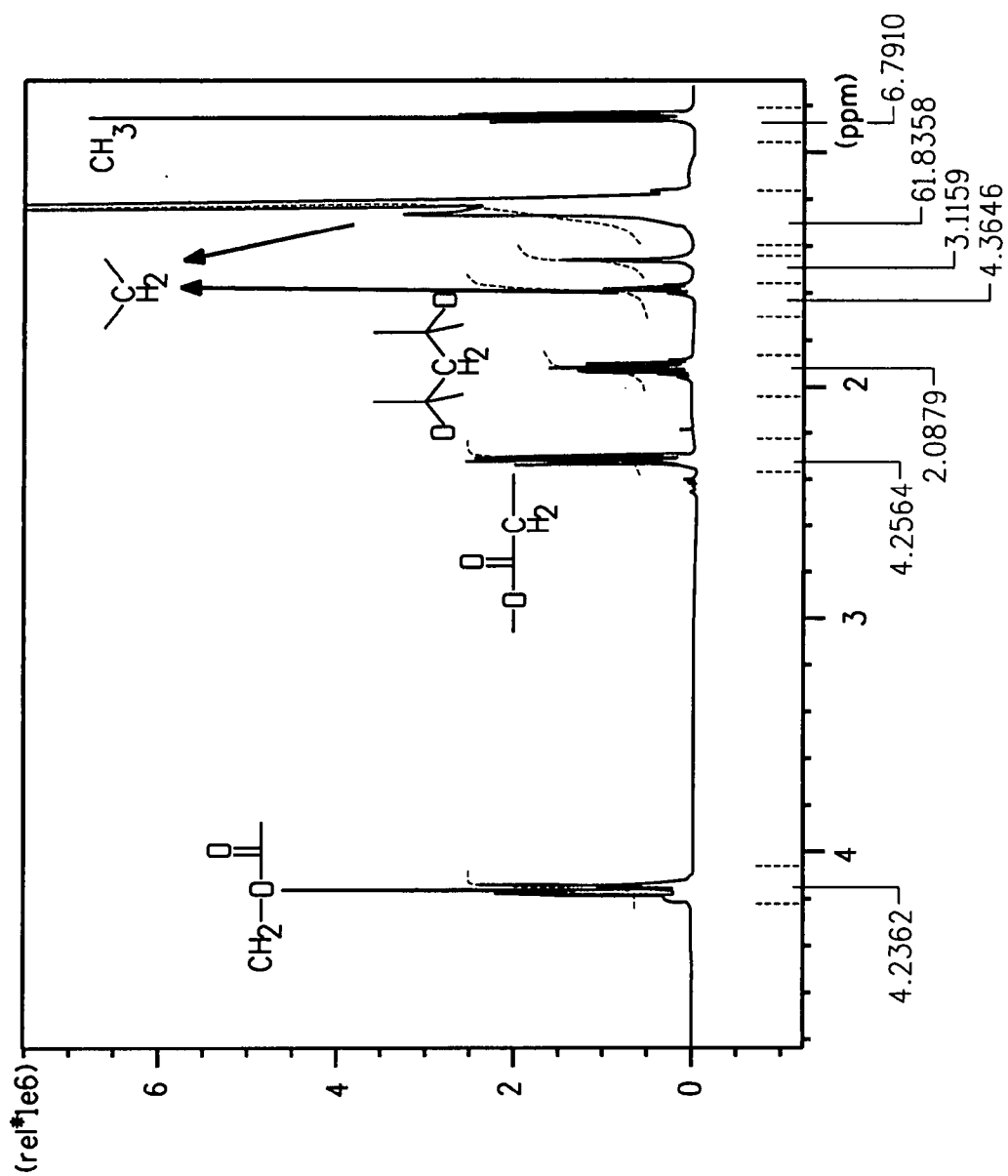


FIG. 3

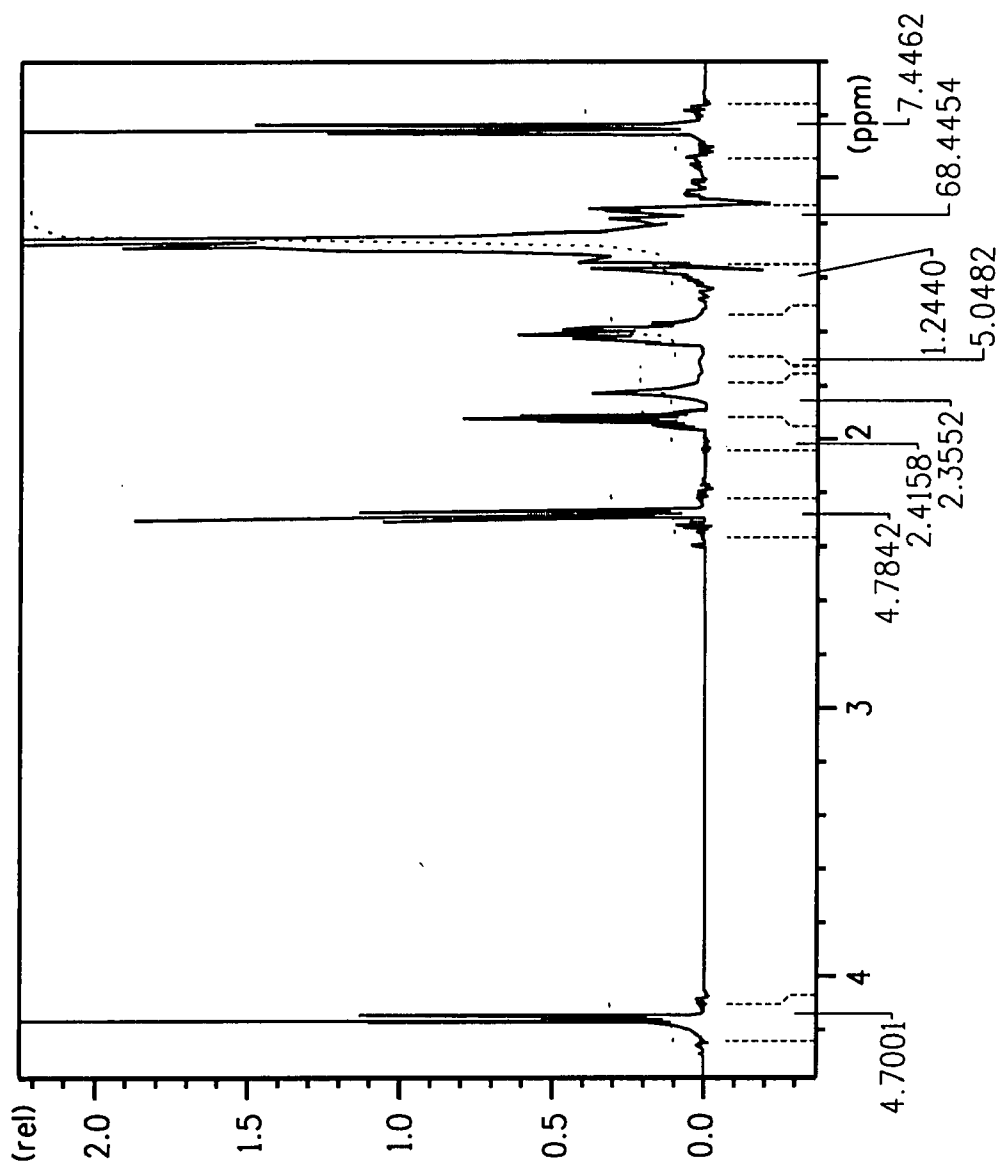


FIG. 4

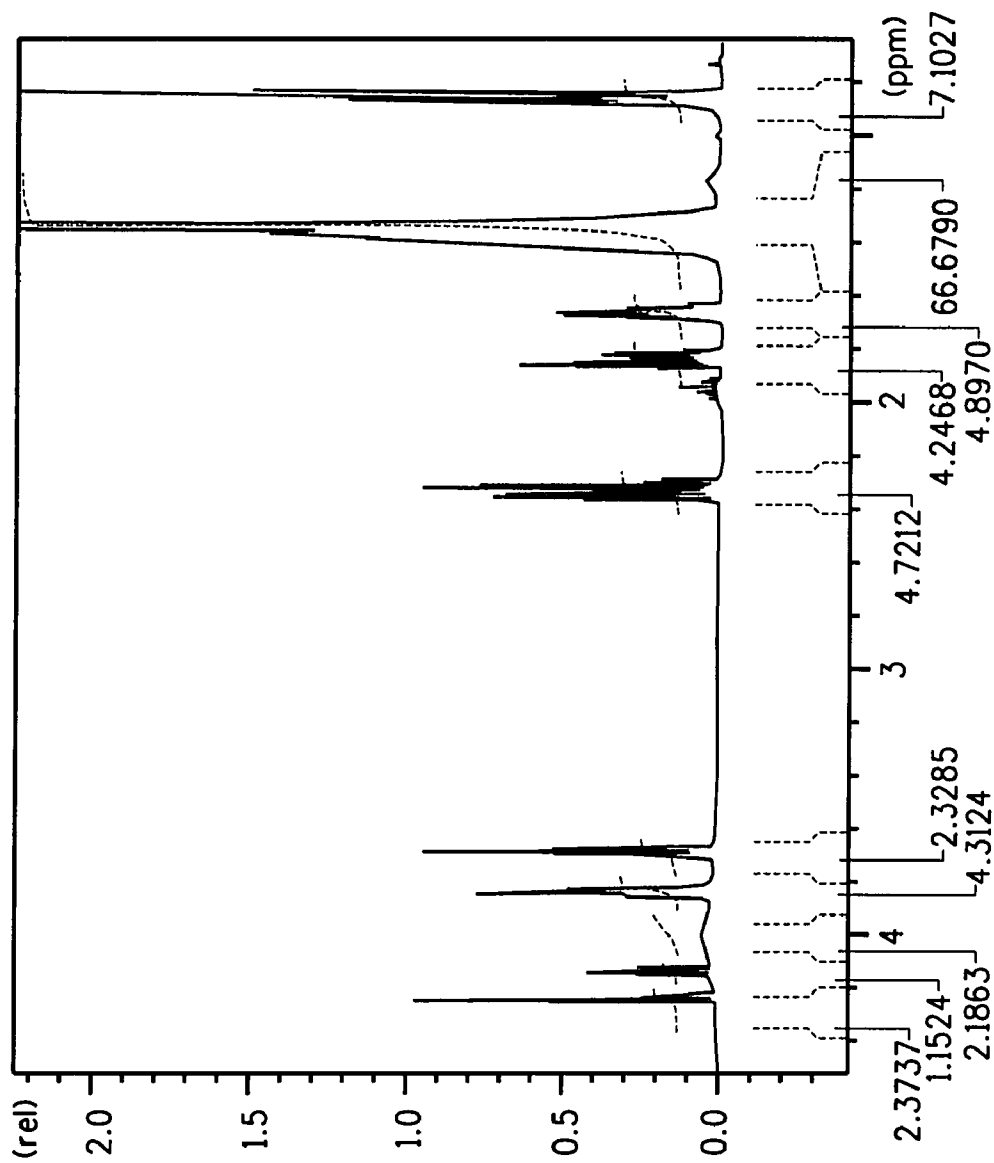


FIG. 5

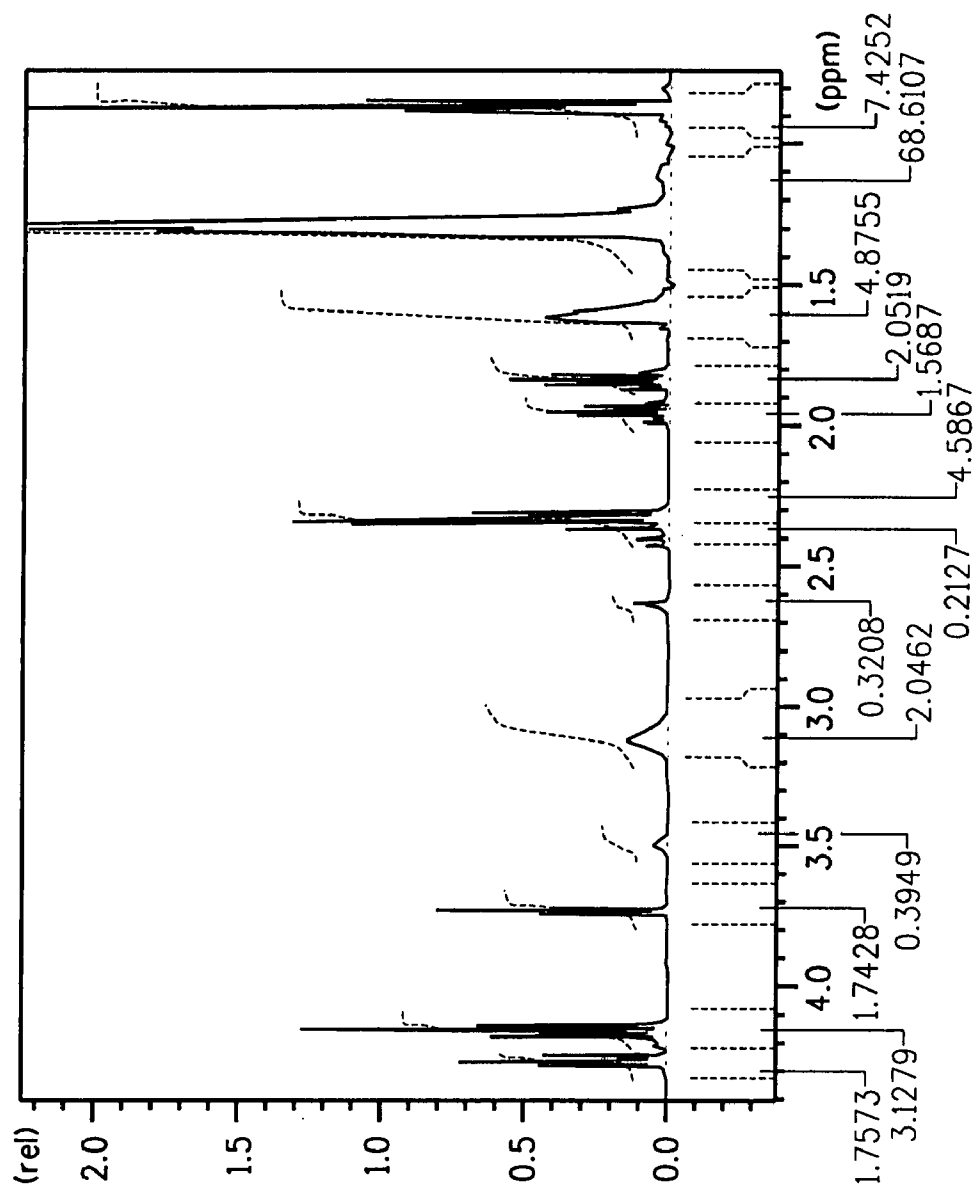


FIG. 6

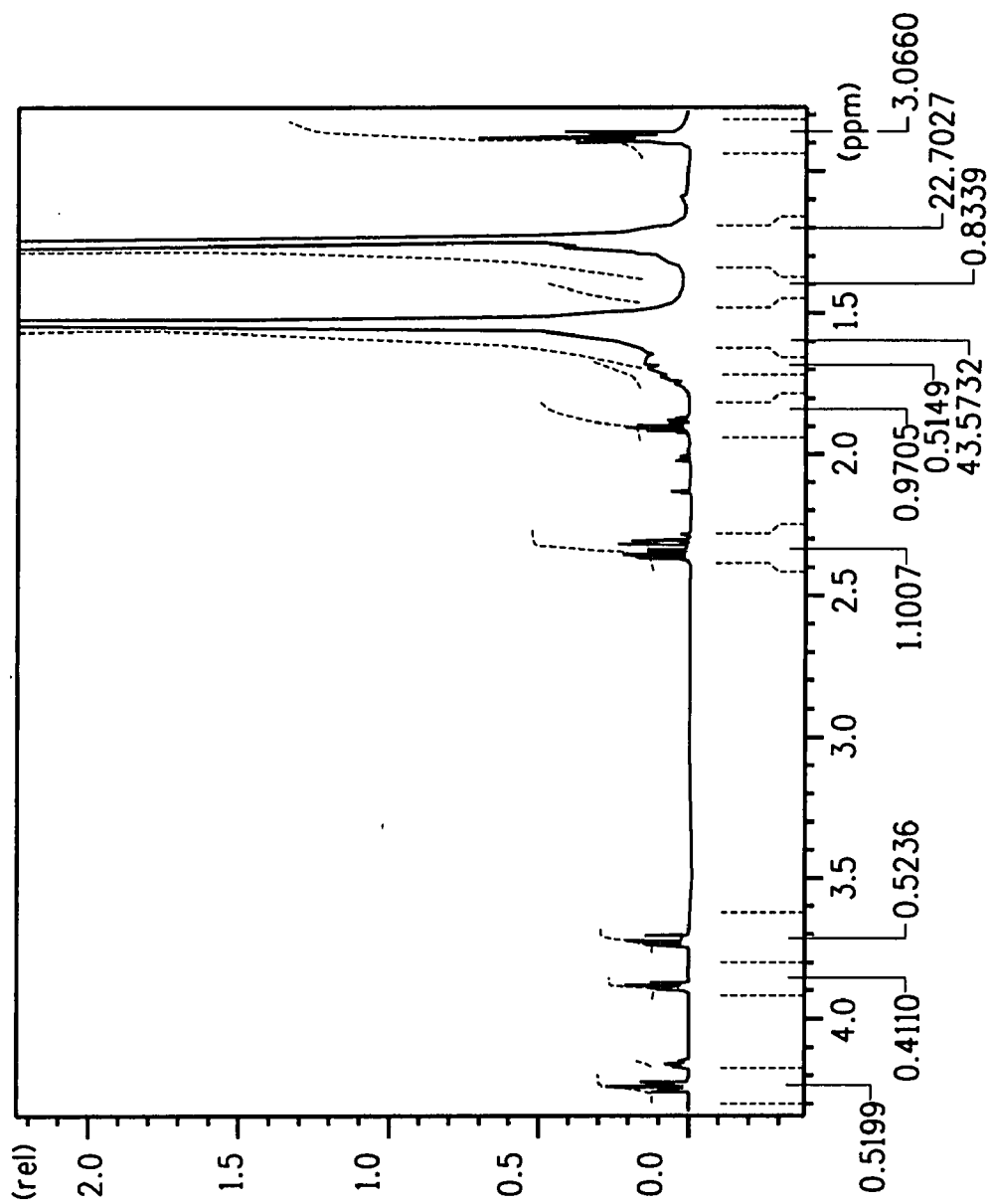


FIG. 7

PLASTICIZERS COMPRISING BIOLOGICALLY-BASED MONO AND DI ESTERS

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] The present application claims the benefit of U.S. Provisional Application Ser. No. 60/772,471, filed Feb. 10, 2006; U.S. Provisional Application No. 60/772,194, filed Feb. 10, 2006, U.S. Provisional Application No. 60/772,193, filed Feb. 10, 2006, U.S. Provisional Application No. 60/772,111, filed Feb. 10, 2006, U.S. Provisional Application No. 60/772,120, filed Feb. 10, 2006, U.S. Provisional Application No. 60/772,110, filed Feb. 10, 2006, U.S. Provisional Application No. 60/772,112, filed Feb. 10, 2006, U.S. Provisional Application No. 60/846,948, filed Sep. 25, 2006, U.S. Provisional Application No. 60/853,920, filed Oct. 24, 2006, U.S. Provisional Application No. 60/859,264, filed Nov. 15, 2006, U.S. Provisional Application No. 60/872,705, filed Dec. 4, 2006 and U.S. Provisional Application No. 60/880,824, filed Jan. 17, 2007, the disclosures of which are expressly incorporated herein by reference in their entireties.

FIELD OF THE INVENTION

[0002] The field of this invention relates to plasticizers generally, and more specifically to conjugate esters of 1,3-propandiol are plasticizers.

BACKGROUND OF THE INVENTION

[0003] A plasticizer is a component that is added to a material (usually a resin or elastomer) to make that material softer, more flexible and easier to process. Plasticizers for plastics are additives, most commonly phthalates, which give hard plastics like PVC the desired flexibility and durability. They are often based on esters of polycarboxylic acids with linear or branched aliphatic alcohols of moderate chain length. Plasticizers work by embedding themselves between the chains of polymers, spacing them apart (increasing of the "free volume"), and thus significantly lowering the glass transition temperature for the plastic and making it softer.

[0004] Plasticizers are usually small molecules, the molecular weights in the range of 100-1000. The most widely plastized polymer is poly(vinyl chloride) (PVC) because of its excellent plasticizer compatibility. PVC is virtually a useless polymer without a plasticizer because pure PVC forms a brittle corrosive mass that rapidly degrades. Plasticizers are generally used for thermoplastic materials, but small amounts of plasticizers can be used in thermosets to improve the impact resistance of the polymers.

[0005] The amount of plasticizer added to a polymer varies depending on the effect required. A small addition of plasticizer may be made to improve the workability of the polymer melt. This contrasts with larger additions made with the specific intention of completely transforming the properties of the product.

[0006] Some plasticizers are known carcinogens and endocrine disruptors. Accordingly, safer plasticizers with better biodegradability and less biochemical effects are desired. Additionally, plasticizers that do not contribute to greenhouse gas emissions during production or degradation are also desirable.

[0007] Consumers and manufacturers are increasingly concerned with the environmental impact of all products. The effort towards environmental impact awareness is a universal concern, recognized by government agencies. The Kyoto Protocol amendment to the United Nations Framework Convention on Climate Change (UNFCCC) currently signed by 156 nations is one example of a global effort to favor safer environmental manufacturing over cost and efficiency. Consumers are increasingly selective about the origins of the products they purchase. The 2004 Co-operative Bank's annual Ethical Consumerism Report (www.co-operativebank.co.uk) disclosed a 30.3% increase in consumer spending on ethical retail products (a general classification for environmental safe, organic and fair trade goods) between 2003 and 2004 while total consumer spending during the same period rose only 3.7%.

[0008] One of the single greatest environmental concerns to consumers is the global warming effect and greenhouse gases that contribute to the effect. Greenhouse gases are gases that allow sunlight to enter the atmosphere freely. When sunlight strikes the Earth's surface, some of it is reflected back towards space as infrared radiation. Greenhouse gases absorb this infrared radiation and trap the heat in the atmosphere. Over time, the amount of energy sent from the sun to the Earth's surface should be about the same as the amount of energy radiated back into space, leaving the temperature of the Earth's surface roughly constant. However, increasing the quantity of greenhouse gases above the quantity that existed before the rise of human industrialization is thought to increase the retained heat on the Earth's surface and produce the global warming observed in the last two centuries.

[0009] Carbon dioxide is singled out as the largest component of the collection of greenhouse gases in the atmosphere. The level of atmospheric carbon dioxide has increased 50% in the last two hundred years. Any further addition of carbon dioxide to the atmosphere is thought to further shift the effect of greenhouse gases from stabilization of global temperatures to that of heating. Consumers and environmental protection groups alike have identified industrial release of carbon into the atmosphere as the source of carbon causing the greenhouse effect. Only organic products composed of carbon molecules from renewably based sources such as plant sugars and starches and ultimately atmospheric carbon are considered to not further contribute to the greenhouse effect, when compared to the same organic molecules that are petroleum or fossil fuel based.

[0010] In addition to adding carbon dioxide to the atmosphere, current methods of industrial production of propanediols produce contaminants and waste products that include among them sulfuric acid, hydrochloric acid, hydrofluoric acid, phosphoric acid, tartaric acid, acetic acids, alkali metals, alkaline earth metals, transitional metals and heavy metals, including Iron, cobalt, nickel, copper, silver, molybdenum, tungsten, vanadium, chromium, rhodium, palladium, osmium, iridium, rubidium, and platinum (U.S. Pat. Nos. 2,434,110, 5,034,134, 5,334,778, and 5,10,036).

[0011] There is a need for all manufactures to provide products reduced environmental impacts, and to especially consider the carbon load on the atmosphere. There is also an environmental advantage for manufacturers to provide products of renewably based sources.

[0012] Published U.S. Patent Application No. 2005/0069997 discloses a process for purifying 1,3-propanediol from the fermentation broth of a cultured *E. coli* that has been bioengineered to synthesize 1,3-propanediol from sugar. The basic process entails filtration, ion exchange and distillation of the fermentation broth product stream, preferably including chemical reduction of the product during the distillation procedure. Also provided are highly purified compositions of 1,3-propanediol.

SUMMARY OF THE INVENTION

[0013] A composition comprising an ester of 1,3-propanediol and a polymer is provided, and the ester is an ester of biologically-derived 1,3-propanediol. The ester can have at least 3% biobased carbon, at least 6% biobased carbon, or at least 10% biobased carbon. The composition can further comprise biologically-derived 1,3-propanediol. Also provided is a process for producing a plastic material comprising an ester of 1,3-propanediol. The process comprises providing an ester of 1,3-propanediol; and mixing the ester with a polymer.

BRIEF DESCRIPTION OF THE DRAWINGS

[0014] FIG. 1 is diagram of nuclear magnetic resonance spectra of the products obtained in Example 3. The figure plots the following values: (CDCl₃): δ =0.88 (t, CH₃—CH₂, 6H), 1.26 (t, CH₂—CH₂—CH₂, 28H), 1.61 (t, CH₂—CH₂—C=O, 4H), 1.97 (t, —O—CH₂—CH₂—CH₂—O, 2H), 2.28 (t, CH₂—C=O, 4H), 4.15 (t, C(=O)—O—CH₂— 4H).

[0015] FIG. 2 is a DSC (Differential Scanning Calorimetry) curve of the product obtained in Example 3. DSC (T_m=66.4° C. and T_c=54.7° C.).

[0016] FIG. 3 is diagram of nuclear magnetic resonance spectra of the products obtained in example 4. The figure plots the following values: δ =0.88 (t, CH₃—CH₂, 6H), 1.26 (t, CH₂—CH₂—CH₂, 28H), 1.61 (t, CH₂—CH₂—C=O, 4H), 1.97 (t, —O—CH₂—CH₂—CH₂—O, 2H), 2.28 (t, CH₂—C=O, 4H), 4.15 (t, C(=O)—O—CH₂— 4H).

[0017] FIG. 4 is diagram of nuclear magnetic resonance spectra of the recrystallized products obtained in example 5. The figure plots the following values: δ =0.88 (t, CH₃—CH₂), 1.27 (t, CH₂—CH₂—CH₂), 1.60 (t, CH₂—CH₂—C=O), 1.87 and 1.96 (t, —O—CH₂—CH₂—CH₂—O), 2.31 (t, CH₂—C=O), 3.70 (t, HO—CH₂—CH₂—), 4.15 and 4.24 (t, C(=O)—O—CH₂—).

[0018] Figure is diagram of nuclear magnetic resonance spectra of the products obtained in example 6. The figure plots the following values: δ =0.88 (t, CH₃—CH₂), 1.27 (t, CH₂—CH₂—CH₂), 1.63 (t, CH₂—CH₂—C=O), 1.82, 1.87 and 1.96 (t, —O—CH₂—CH₂—CH₂—O), 2.31 (t, CH₂—C=O), 3.69 and 3.86 (t, HO—CH₂—CH₂—), 4.15 and 4.21 (t, C(=O)—O—CH₂—).

[0019] FIG. 6 is diagram of nuclear magnetic resonance spectra of the products obtained in example 7. The figure plots the following values: δ =0.88 (t, CH₃—CH₂), 1.27 (t, CH₂—CH₂—CH₂), 1.60 (t, CH₂—CH₂—C=O), 1.87 and 1.96 (t, —O—CH₂—CH₂—CH₂—O), 2.31 (t, CH₂—C=O), 3.70 (t, HO—CH₂—CH₂—), 4.15 and 4.24 (t, C(=O)—O—CH₂—).

[0020] FIG. 7 is diagram of nuclear magnetic resonance spectra of the products obtained in example 8. The figure

plots the following values: δ =0.88 (t, CH₃—CH₂), 1.27 (t, CH₂—CH₂—CH₂), 1.63 (t, CH₂—CH₂—C=O), 1.82, 1.87 and 1.96 (t, —O—CH₂—CH₂—CH₂—O), 2.31 (t, CH₂—C=O), 3.70 and 3.86 (t, HO—CH₂—CH₂—), 4.15 and 4.24 (t, C(=O)—O—CH₂—).

BIOLOGICAL DEPOSITS

[0021] The transformed *E. coli* DH5 α containing cosmid pKP1 containing a portion of the *Klebsiella* genome encoding the glycerol dehydratase enzyme was deposited on 18 Apr. 1995 with the ATCC under the terms of the Budapest Treaty and is identified by the ATCC number ATCC 69789. The transformed *E. coli* DH5 α containing cosmid pKP4 containing a portion of the *Klebsiella* genome encoding a diol dehydratase enzyme was deposited on 18 Apr. 1995 with the ATCC under the terms of the Budapest Treaty and is identified by the ATCC number ATCC 69790. As used herein, "ATCC" refers to the American Type Culture Collection international depository located at 10801 University Boulevard, Manassas, Va., 20110-2209, U.S.A. The "ATCC No." is the accession number to cultures on deposit with the ATCC.

DETAILED DESCRIPTION OF THE INVENTION

[0022] Applicants specifically incorporate the entire content of all cited references in this disclosure. Further, when an amount, concentration, or other value or parameter is given as either a range, preferred range, or a list of upper preferable values and lower preferable values, this is to be understood as specifically disclosing all ranges formed from any pair of any upper range limit or preferred value and any lower range limit or preferred value, regardless of whether ranges are separately disclosed. Where a range of numerical values is recited herein, unless otherwise stated, the range is intended to include the endpoints thereof, and all integers and fractions within the range. It is not intended that the scope of the invention be limited to the specific values recited when defining a range.

[0023] Compositions comprising a plasticizer are provided. Specifically, the plasticizers provided are mono and di esters of 1,3-propanediol. In one embodiment of the invention, the 1,3-propanediol used in forming the esters is biologically-derived. The 1,3-propanediol esters made from biologically-derived 1,3-propanediol contain some biobased carbon. Compositions and methods for producing compositions comprising a polymer and a plasticizer are also provided.

[0024] The 1,3-propanediol esters provided herein are effective in a variety of polymers. The polymer compositions can be made into shaped items, foams, films, including both cast and biaxially oriented films, using conventional equipment. The steps involved are typically: preparing a dry blend of polymer, melt-blending the polymers, extruding the polymers to form pellets (including other shapes such as flakes), re-melting the pellets, extruding the pellets through a die, and can be carried out at temperatures in the range of about 160° C. to about 300° C.

[0025] Polyvinyl chloride (PVC) is a commonly plasticized polymer. Highly flexible PVC is used in a wide range of application as floor tiles, sheet flooring, carpet backing, and in different medical devices. More than 90% of the

plasticizer volume is used by the PVC industry. Without plasticizers, PVC has very limited applications as a polymeric material.

[0026] The 1,3-propanediol ester plasticizers can also be used in other polymers including acrylic polymers. These are mostly acrylic ester polymers and methacrylic ester polymers. Highly flexible coatings are produced with acrylics containing plasticizers. The compatibilities of a plasticizer with acrylics is up to about 10 wt % of plasticizer, however, for low molecular weight plasticizers higher compatibility level can be achieved.

[0027] Ester plasticizers according to the invention can also be used in the production of nylon. Plasticizers can improve the processing of nylon polymers. The high degree of crystallization of nylon polymers allow to use low level of plasticizers. Polyesters are also often produced with plasticizers. Examples of polyesters include: poly(ethylene terephthalate) (PET, or 2GT), poly(trimethylene terephthalate) (PTT, or 3GT), poly(tetramethylene terephthalate) (PBT, or 4GT), poly(lactic acid) (PLA). Plasticizers in amounts up to 15-20% can be used as processing aids and external lubricants in the production of polyesters.

[0028] Other polymers in which the 1,3-propanediol esters are useful as plasticizers include polysaccharides, such as starch and cellulose, which are used as matrix materials with plasticizers in amounts up to 50% to process elastomeric materials. Polyolefins also benefit from the 1,3-propanediol ester plasticizers. Polyolefins are compatible with plasticizers up to about 5%. Small amounts of plasticizers, up to about 5%, are also commonly used with polystyrenes to increase flexibility.

[0029] Additionally, fluoroplastics have improved elongation and increased softness when 1,3-propanediol ester plasticizers are used up to about 250%. Rubber materials also benefit from plasticizers. Ester plasticizers can be used with latex rubber, nitrile rubber, and chloropene to reduce glass transition temperature (T_g). Plasticizers, up to about 20%, may improve binding properties, toughness, and flexibility of polyvinyl butyral (PVB) resins.

[0030] There are two groups of external plasticizers, primary and secondary. A primary plasticizer, when added to a polymer, causes elongation and softness will be increased. A secondary plasticizer, when added to the polymer alone, does not bring about these changes and may have limited compatibility with the polymer, however, when added to the polymer in combination with a primary plasticizer, the secondary plasticizer enhances the plasticizing performance of the primary plasticizer. The 1,3-propanediol esters described herein are appropriately used as either primary or secondary plasticizers.

[0031] There are several ways to use plasticizers, especially plasticizers comprising esters of 1,3-propanediol. One application of plasticizers is in PVC suspensions. In making suspensions, vinyl chloride is suspended in water and polymerized using a water soluble initiator. The polymers produced in the suspension have particles that are highly porous and therefore are able to absorb large amounts of plasticizers.

[0032] Another application 1,3-propanediol ester plasticizers are in plastisols. Microsuspension polymerization and dispersion polymerization can be used to produce plastisols.

Much lower particle size resins are produced using this technology compared to the suspension method. Mixing the filler, blending resin, dispersion resin with plasticizer will create a paste or plastisol. Mono esters and mixtures of different monoesters can be used in plastisols (as secondary plasticizers) and diesters can be used as primary plasticizers. Typically the plasticizer concentration can vary from about 10 to about 100%, based on polymer weight.

[0033] The paper "Influence of the Glycol Component in Dibenzoate Plasticizers on the Properties of the Plasticized PVC Films", 3. Appl. Polym. Sci., Vol. 97, 822-824(2005) discloses the application of chemically produced 1,3-propanediol dibenzoate as a plasticizer in PVC applications. According to the results chemically produced 1,3-propanediol dibenzoate show acceptable or moderate plasticizer properties, while the extraction results on the plasticized PVC film samples using chemically derived 1,3-propanediol dibenzoate show superior properties. The films showed excellent solvent resistance against unpolar liquids (motor oil and gasoline) and also against water and ethanol.

[0034] While the physical properties and plasticizer efficacy of esters formed from biologically-based 1,3-propanediol are similar to esters produced from chemically derived 1,3-propanediol, the toxicity of the bio-derived esters can be lower than the toxicity of chemically derived esters. Additionally, the manufacturing process does not contribute fossil-fuel based carbon to the atmosphere, thus decreasing the amount of greenhouse gas emissions while providing identical, or improved functionality.

[0035] The polymeric compositions made with the 1,3-propanediol esters as plasticizers can include anywhere between about 0.01% and about 100% ester depending on the application. Accordingly, the composition can comprise between about 0.01% and about 5% ester, between about 5% and about 15% ester, between about 15% and about 25% ester, between about 25% and about 50% ester, and between about 50% and about 100% ester.

[0036] Fatty acid monoesters and diesters of biologically-produced 1,3 propanediol are formed by esterification of biologically derived 1,3-propanediol. Biologically-derived 1,3-propanediol can be obtained through catalytic conversion of non-fossil fuel carbon via fermentation with an organism that is able to synthesize 1,3-propanediol. The process provides 1,3-propanediol and its conjugate monoesters and diesters without introducing additional carbon into the atmosphere during the production, use, or disposal of the material.

[0037] Biologically produced 1,3 propanediol represents a new feedstock for useful monoesters and diesters of 1,3 propanediol. Such monoesters and diesters have not previously been produced from a biosourced monomer. As such, new compositions of matter, comprising 1,3 propanediol esters derived from biosourced carbon substrates are provided. These compositions may be distinguished from similar compositions derived from all petrochemical carbon on the basis of biobased carbon content.

[0038] The terms used in this application shall be accorded the following definitions:

[0039] The terms "bio-PDO esters", "bio-based PDO ester", "biologically-derived-PDO esters" and "biologically-based 1,3-propanediol esters" and similar terms as used

herein refer to monoesters and diesters produced from biologically produced 1,3-propanediol.

[0040] The terms “bioPDO”, “bio-produced PDO”, “biologically-produced 1,3-propanediol”, “bio-derived 1,3-propanediol” and “biologically derived 1,3-propanediol” and similar terms as used here in refer to 1,3-propanediol derived from microorganism metabolism of plant-derived sugars composed of carbon of atmospheric origin, and not composed of fossil-fuel carbon.

[0041] “Substantially purified,” as used by applicants to describe the biologically-produced 1,3-propanediol produced by the process of the invention, denotes a composition comprising 1,3-propanediol having at least one of the following characteristics: 1) an ultraviolet absorption at 220 nm of less than about 0.200 and at 250 nm of less than about 0.075 and at 275 nm of less than about 0.075; or 2) a composition having $L^*a^*b^*$ “ b^* ” color value of less than about 0.15 and an absorbance at 270 nm of less than about 0.075; or 3) a peroxide composition of less than about 10 ppm; or 4) a concentration of total organic impurities of less than about 400 ppm.

[0042] A “ b^* ” value is the spectrophotometrically determined “Yellow Blue measurement as defined by the CIE $L^*a^*b^*$ measurement ASTM D6290.

[0043] The abbreviation “AMS” refers to accelerator mass spectrometry.

[0044] “Biologically produced” means organic compounds produced by one or more species or strains of living organisms, including particularly strains of bacteria, yeast, fungus and other microbes. “Bio-produced” and biologically produced are used synonymously herein. Such organic compounds are composed of carbon from atmospheric carbon dioxide converted to sugars and starches by green plants.

[0045] “Biologically-based” means that the organic compound is synthesized from biologically produced organic components. It is further contemplated that the synthesis process disclosed herein is capable of effectively synthesizing other monoesters and diesters from bio-produced alcohols other than 1,3-propanediol; particularly including ethylene glycol, diethylene glycol, triethylene glycol, 1,2-propylene glycol, dipropylene diol, tripropylene diol, 2-methyl 1,3-propanediol, neopentyl glycol and bisphenol A. “Bio-based”, and “bio-sourced”; “biologically derived”; and “bio-derived” are used synonymously herein.

[0046] “Fermentation” as used refers to the process of metabolizing simple sugars into other organic compounds. As used herein fermentation specifically refers to the metabolism of plant derived sugars, such sugar are composed of carbon of atmospheric origin.

[0047] “Carbon of atmospheric origin” as used herein refers to carbon atoms from carbon dioxide molecules that have recently, in the last few decades, been free in the earth’s atmosphere. Such carbons in mass are identifiable by the present of particular radioisotopes as described herein. “Green carbon”, “atmospheric carbon”, “environmentally friendly carbon”, “life-cycle carbon”, “non-fossil fuel based carbon”, “non-petroleum based carbon”, “carbon of atmospheric origin”, and “biobased carbon” are used synonymously herein.

[0048] “Carbon of fossil origin” as used herein refers to carbon of petrochemical origin. Such carbon has not been exposed to UV rays as atmospheric carbon has, therefore masses of carbon of fossil origin has few radioisotopes in their population. Carbon of fossil origin is identifiable by means described herein. “Fossil fuel carbon”, “fossil carbon”, “polluting carbon”, “petrochemical carbon”, “petrocarbon” and carbon of fossil origin are used synonymously herein.

[0049] “Naturally occurring” as used herein refers to substances that are derived from a renewable source and/or are produced by a biologically-based process.

[0050] “Fatty acid” as used herein refers to carboxylic acids that are often have long aliphatic tails, however, carboxylic acids of carbon length 1-40 are specifically included in this definition for the purpose of describing the present invention. “Fatty acid esters” as used herein are esters, which are composed of such, defined fatty acids.

[0051] “Catalyst” as used herein refers to a substance that is facilitates a chemical reaction without being either a reactant or a product of said reaction.

[0052] By the acronym “NMR” is meant nuclear magnetic resonance.

[0053] By the terms “color” and “color bodies” is meant the existence of visible color that can be quantified using a spectrophotometer in the range of visible light, using wavelengths of approximately 400-800 nm, and by comparison with pure water. Reaction conditions can have an important effect on the nature of color production. Examples of relevant conditions include the temperatures used, the catalyst and amount of catalyst. While not wishing to be bound by theory, we believe color precursors include trace amounts of impurities comprising olefinic bonds, acetals and other carbonyl compounds, peroxides, etc. At least some of these impurities may be detected by such methods as UV spectroscopy, or peroxide titration.

[0054] “Color index” refers to an analytic measure of the electromagnetic radiation-absorbing properties of a substance or compound.

[0055] “Hydrogenation reactor” refers to any of the known chemical reactors known in the literature, including but not limited to shaker-tubes, batch autoclaves, slurry reactors, up-flow packed bed, and trickle flow packed bed reactors.

[0056] The abbreviation “IRMS” refers to measurements of CO₂ by high precision stable isotope ratio mass spectrometry.

[0057] The term “carbon substrate” means any carbon source capable of being metabolized by a microorganism wherein the substrate contains at least one carbon atom.

[0058] Unless otherwise stated, all percentages, parts, ratios, etc., are by weight. Trademarks are shown in upper case. Further, when an amount, concentration, or other value or parameter is given as either a range, preferred range or a list of upper preferable values and lower preferable values, this is to be understood as specifically disclosing all ranges formed from any pair of any upper range limit or preferred value and any lower range limit or preferred value, regardless of whether ranges are separately disclosed.

[0059] A small amount of the carbon dioxide in the atmosphere is radioactive. This ^{14}C carbon dioxide is created when nitrogen is struck by an ultra-violet light produced neutron, causing the nitrogen to lose a proton and form carbon of molecular weight 14 which is immediately oxidized in carbon dioxide. This radioactive isotope represents a small but measurable fraction of atmospheric carbon. Atmospheric carbon dioxide is cycled by green plants to make organic molecules during the process known as photosynthesis. The cycle is completed when the green plants or other forms of life metabolize the organic molecules producing carbon dioxide which is released back to the atmosphere. Virtually all forms of life on Earth depend on this green plant production of organic molecule to produce the chemical energy that facilitates growth and reproduction. Therefore, the ^{14}C that exists in the atmosphere becomes part of all life forms, and their biological products. These renewably based organic molecules that biodegrade to CO_2 do not contribute to global warming as there is no net increase of carbon emitted to the atmosphere. In contrast, fossil fuel based carbon does not have the signature radiocarbon ratio of atmospheric carbon dioxide.

[0060] Assessment of the renewably based carbon in a material can be performed through standard test methods. Using radiocarbon and isotope ratio mass spectrometry analysis, the biobased content of materials can be determined. ASTM International, formally known as the American Society for Testing and Materials, has established a standard method for assessing the biobased content of materials. The ASTM method is designated ASTM-D6866.

[0061] The application of ASTM-D6866 to derive a "biobased content" is built on the same concepts as radiocarbon dating, but without use of the age equations. The analysis is performed by deriving a ratio of the amount of radiocarbon (^{14}C) in an unknown sample to that of a modern reference standard. The ratio is reported as a percentage with the units "pMC" (percent modern carbon). If the material being analyzed is a mixture of present day radiocarbon and fossil carbon (containing no radiocarbon), then the pMC value obtained correlates directly to the amount of Biomass material present in the sample.

[0062] The modern reference standard used in radiocarbon dating is a NIST (National Institute of Standards and Technology) standard with a known radiocarbon content equivalent approximately to the year AD 1950. AD 1950 was chosen since it represented a time prior to thermo-nuclear weapons testing which introduced large amounts of excess radiocarbon into the atmosphere with each explosion (termed "bomb carbon"). The AD 1950 reference represents 100 pMC.

[0063] "Bomb carbon" in the atmosphere reached almost twice normal levels in 1963 at the peak of testing and prior to the treaty halting the testing. Its distribution within the atmosphere has been approximated since its appearance, showing values that are greater than 100 pMC for plants and animals living since AD 1950. It's gradually decreased over time with today's value being near 107.5 pMC. This means that a fresh biomass material such as corn could give a radiocarbon signature near 107.5 pMC.

[0064] Combining fossil carbon with present day carbon into a material will result in a dilution of the present day pMC content. By presuming 107.5 pMC represents present

day biomass materials and 0 pMC represents petroleum derivatives, the measured pMC value for that material will reflect the proportions of the two component types. A material derived 100% from present day soybeans would give a radiocarbon signature near 107.5 pMC. If that material was diluted with 50% petroleum derivatives, it would give a radiocarbon signature near 54 pMC.

[0065] A biomass content result is derived by assigning 100% equal to 107.5 pMC and 0% equal to 0 pMC. In this regard, a sample measuring 99 pMC will give an equivalent biobased content result of 93%.

[0066] Assessment of the materials described herein were done in accordance with ASTM-D6866. The mean values quoted in this report encompasses an absolute range of 6% (plus and minus 3% on either side of the biobased content value) to account for variations in end-component radiocarbon signatures. It is presumed that all materials are present day or fossil in origin and that the desired result is the amount of biobased component "present" in the material, not the amount of biobased material "used" in the manufacturing process.

[0067] Compositions in accordance with the invention include a composition comprising an ester of 1,3-propanediol. The esters can have a varying amount of biobased carbon depending on the compound used in the esterification. Biologically derived 1,3-propanediol contains biobased carbon. All three carbon atoms in 1,3 propanediol are biobased carbons. If the conjugate esters are formed using carboxylic acids that contain all biobased carbon, then the resulting esters also contain all biobased carbon. If, however, the carboxylic acids contain non-biobased carbons, i.e. carbons from a fossil fuel source, then the resulting ester will contain a percentage of biobased carbon in proportion to the number of carbons contributed from the carboxylic acid compared to the three carbons contributed from the biologically-derived 1,3-propanediol.

[0068] For example, distearate propanediol contains 39 carbon atoms, 18 from each of the stearic acid carbon chains and three from the 1,3-propanediol. Accordingly, if the stearic acid is non-biobased, 36 carbons out of the total 39 in distearate propanediol are non-biobased carbon. The predicted biobased content of distearate propanediol made from biologically-derived propanediol, and non-biologically derived stearic acid is 7.7 percent.

[0069] In an analysis performed using the ASTM-D6866 method, propylene glycol dibenzoate (BENZOFLEX (R) 284, Velsicol Chem. Corp. Rosemont, Ill.) was found to have 0% bio-based carbon content. The same analysis of propanediol dibenzoate, synthesized using biologically-derived 1,3-propanediol had 19% bio-based carbon content. The predicted bio-based carbon content propanediol dibenzoate made from biologically-derived 1,3 propanediol is 17.6%, which is within the standard deviation of the method.

[0070] If the stearic acid in the above example is biobased, the resulting distearate propanediol would have a biobased content of 100%. Accordingly, the conjugate esters of biologically-derived 1,3-propanediol have biobased content values proportional to the biobased content of the acids used to form the esters. The esters therefore can have biobased content of at least 3% biobased carbon, at least 6% biobased carbon, at least 10% biobased carbon, at least 25% biobased

carbon, at least 50% biobased carbon, at least 75% biobased carbon, and 100% biobased carbon.

[0071] If the organic acid is steric acid or oleic acid, the ester recovered should be greater than 5% biobased carbon. When the organic acid is lauric acid, the ester recovered should be greater than 10% biobased carbon.

Biologically-Derived 1,3-Propanediol

[0072] Biologically-derived 1,3-propanediol is collected in a high purity form. Such 1,3-propanediol has at least one of the following characteristics: 1) an ultraviolet absorption at 220 nm of less than about 0.200 and at 250 nm of less than about 0.075 and at 275 nm of less than about 0.075; or 2) a composition having $L^*a^*b^*$ "b*" color value of less than about 0.15 and an absorbance at 270 nm of less than about 0.075; or 3) a peroxide composition of less than about 10 ppm; or 4) a concentration of total organic impurities of less than about 400 ppm. A "b*" value is the spectrophotometrically determined Yellow Blue measurement as defined by the CIE $L^*a^*b^*$ measurement ASTM D6290.

[0073] The level of 1,3-propanediol purity can be characterized in a number of different ways. For example, measuring the remaining levels of contaminating organic impurities is one useful measure. Biologically-derived 1,3-propanediol can have a purity level of less than about 400 ppm total organic contaminants; preferably less than about 300 ppm; and most preferably less than about 150 ppm. The term ppm total organic purity refers to parts per million levels of carbon-containing compounds (other than 1,3-propanediol) as measured by gas chromatography.

[0074] Biologically-derived 1,3-propanediol can also be characterized using a number of other parameters, such as ultraviolet light absorbance at varying wavelengths. The wavelengths 220 nm, 240 nm and 270 nm have been found to be useful in determining purity levels of the composition. Biologically-derived 1,3-propanediol can have a purity level wherein the UV absorption at 220 nm is less than about 0.200 and at 240 nm is less than about 0.075 and at 270 nm is less than about 0.075.

[0075] Biologically-derived 1,3-propanediol can have a b^* color value (CIE $L^*a^*b^*$) of less than about 0.15.

[0076] The purity of biologically-derived 1,3-propanediol compositions can also be assessed in a meaningful way by measuring levels of peroxide. Biologically-derived 1,3-propanediol can have a concentration of peroxide of less than about 10 ppm.

[0077] It is believed that the aforementioned purity level parameters for biologically-derived and purified 1,3-propanediol (using methods similar or comparable to those disclosed in U.S. Patent Application No. 2005/0069997) distinguishes such compositions from 1,3-propanediol compositions prepared from chemically purified 1,3-propanediol derived from petroleum sources.

[0078] 1,3-propanediol produced biologically via fermentation is known, including in U.S. Pat. No. 5,686,276, U.S. Pat. No. 6,358,716, and U.S. Pat. No. 6,136,576, which disclose a process using a recombinantly-engineered bacteria that is able to synthesize 1,3-propanediol during fermentation using inexpensive green carbon sources such as glucose or other sugars from plants. These patents are

specifically incorporated herein by reference. Biologically-derived 1,3-propanediol can be obtained based upon use of the fermentation broth generated by a genetically-engineered *Escherichia coli* (*E. coli*), as disclosed in U.S. Pat. No. 5,686,276. Other single organisms, or combinations of organisms, may also be used to biologically produce 1,3-propanediol, using organisms that have been genetically-engineered according to methods known in the art. "Fermentation" refers to a system that catalyzes a reaction between substrate(s) and other nutrients to product(s) through use of a biocatalyst. The biocatalysts can be a whole organism, an isolated enzyme, or any combination or component thereof that is enzymatically active. Fermentation systems useful for producing and purifying biologically-derived 1,3-propanediol are disclosed in, for example, Published U.S. Patent Application No. 2005/0069997 incorporated herein by reference.

[0079] Biologically derived 1,3-propanediol contains carbon from the atmosphere incorporated by plants, which compose the feedstock for the production of biologically derived 1,3-propanediol. In this way, the biologically derived 1,3-propanediol contains only renewable carbon, and not fossil fuel based, or petroleum based carbon. Therefore the use of biologically derived 1,3-propanediol and its conjugate esters has less impact on the environment as the 1,3-propanediol does not deplete diminishing fossil fuels. The use of biologically derived 1,3-propanediol and its conjugate esters also does not make a net addition of carbon dioxide to the atmosphere, and thus does not contribute to greenhouse gas emissions. Accordingly, the present invention can be characterized as more natural and having less environmental impact than similar compositions comprising petroleum based glycols.

[0080] Moreover, as the purity of the biologically derived 1,3-propanediol utilized in the compositions described herein is higher than chemically synthesized 1,3-propanediol and other glycols, risk of introducing impurities that may cause irritation is reduced by its use over commonly used glycols, such as propylene glycol.

[0081] In one embodiment of the invention, a composition comprising 1,3-propanediol and an ester of 1,3-propanediol is provided, where the 1,3-propanediol is biologically derived. The biologically-derived 1,3-propanediol in these compositions can have at least 85% biobased carbon, at least 95% biobased carbon, or 100% biobased carbon, when assessed by the application of ASTM-D6866 as described above.

[0082] A sample of biologically-derived 1,3-propanediol was analyzed using ASTM method D 6866-05. The results received from Iowa State University demonstrated that the above sample was 100% bio-based content. In a separate analysis, also performed using a ASTM-D6866 method, chemical, or petroleum-based 1,3-propanediol (purchased from SHELL) was found to have 0% bio-based content. Propylene glycol (USP grade from ALDRICH) was found to have 0% bio-based content.

[0083] It is contemplated herein that other renewably-based or biologically-derived glycols, such as ethylene glycol or 1,2 propylene glycol, diethylene glycol, triethylene glycol among others, can be used in the personal care compositions of the present invention.

[0084] There may be certain instances wherein a plasticizer composition of the invention may comprise a combi-

nation of a biologically-derived 1,3-propanediol and one or more non biologically-derived glycol components, such as, for example, chemically synthesized 1,3-propanediol. In such occasions, it may be difficult, if not impossible to determine which percentage of the glycol composition is biologically-derived, other than by calculating the bio-based carbon content of the glycol component. In this regard, in the plasticizer compositions of the invention, the 1,3-propanediol used to form 1,3 propanediol esters, can comprise at least about 1% bio-based carbon content up to 100% bio-based carbon content, and any percentage there between.

Ester Conjugates of Biologically Derived 1,3-Propanediol

[0085] Esters of biologically derived 1,3-propanediol, "bio-PDO" can be synthesized by contacting bio-PDO with an organic acid. The organic acid can be from any origin, preferably either a biosource or synthesized from a fossil source. Most preferably the organic acid is derived from natural sources or bio-derived having formula R_1-COOH . Where in the substituent R_1 can be saturated or unsaturated, substituted or unsubstituted, aliphatic or aromatic, linear or branched hydrocarbon having chain length 1 to 40 or their salts or alkyl esters. The hydrocarbon chain can also have one or more functional groups such as alkene, amide, amine, carbonyl, carboxylic acid, halide, hydroxyl groups. Naturally occurring organic acids produced esters containing all biobased carbon. These naturally occurring organic acids, especially those produced by a biological organism, are classified as bio-produced and the resulting ester or diester could thereby also be classified as bio-produced. Naturally occurring sources of such fatty acids include coconut oil, various animal tallows, lanolin, fish oil, beeswax, palm oil, peanut oil, olive oil, cottonseed oil, soybean oil, corn oil, rape seed oil. Conventional fractionation and/or hydrolysis techniques can be used if necessary to obtain the fatty acids from such materials.

[0086] Appropriate carboxylic acids for producing esters of biologically-derived 1,3-propanediol generally include: (1) C1-C3 carbon containing mono carboxylic acids, including formic acid and acetic acid; (2) fatty acids, such as those acids containing four or more carbon atoms; (3) saturated fatty acids, such as butyric acid, caproic acid, valeric acid, caprylic acid, capric acid, lauric acid, myristic acid, palmitic acid, stearic acid, arachidic acid, and behenic acid; (4) unsaturated fatty acids, such as oleic acid, linoleic acid, and erucic acid; (5) polyunsaturated fatty acids, such as alpha-linolenic acid, stearidonic acid (or moroctic acid), eicosatetraenoic acid, omega-6 fatty acids, arachidonic acids, and omega-3 fatty acids, eicosapentaenoic acid (or timnodonic acid), dosocapentaenoic acid (or clupanodonic acid), and docosahexaenoic acid (or cervonic acid); (6) hydroxy fatty acids, such as 2-hydroxy linoleic acid, and ricinoleic acid; phenylalkanoic fatty acids, such as 11-phenyl undecanoic acid, 13-phenyl tridecanoic acid, and 15-phenyl tridecanoic acid; and (7) cyclohexyl fatty acids, such as 11-cyclohexyl undecanoic acid, and 13-cyclohexyl tridecanoic acid.

[0087] The following acids and their salts or alkyl esters are specifically useful, acetic, butyric, lauric, myristic, palmitic, stearic, arachidic, adipic, benzoic, caprylic, maleic, palmitic, sebacic, archidonic, erucic, palmitoleic, pentadecanoic, heptadecanoic, nondecanoic, octadectetraenoic, eicosatetraenoic, eicosapentaenoic, docasapentaenoic, tetra-

cosapentaenoic, tetrahexaenoic, docosahexenoic, (alpha)-linolenic, docosahexaenoic, eicosapentaenoic, linoleic, arachidonic, oleic, erucic, formic, propionic, valeric, caproic, capric, malonic, succinic, glutaric, adipic, pimelic, suberic, azelaic, tartaric, citric, salicylic, acetyl-salicylic, pelargonic, behenic, cerotic, margaric, montanic, melissic, lacceroic, ceromelissic, geddic, ceroplastic undecylenic, ricinoleic, and elaeostearic acid as well as mixtures of such acids. A more preferred list of suitable organic acids are acetic, adipic, benzoic, maleic, sebacic, and mixtures of such acids. A more preferred list of suitable "fatty acids" meaning generally acids named containing 8-40 carbon in the carbon useful in the present invention include butyric, valeric, caproic, caprylic, pelargonic, capric, lauric, myristic, palmitic, stearic, arachidic, behenic, cerotic, oleic, linoleic, linolenic, margaric, montanic, melissic, lacceroic, ceromelissic, geddic, ceroplastic and the mixtures of such acids. Among those acids, these acids, and their salts and alkyl esters are most preferred stearic, lauric, palmitic, oleic, 2-ethyl hexanoic, and 12-hydroxystearic and mixtures of such acids.

[0088] The esters produced include all the appropriate conjugate mono and diesters of 1,3 propanediol using the described organic acids. Some esters in particular that are produced include propanediol distearate and monostearate, propanediol dilaurate and monolaurate, propanediol dioleate and monooleate, propanediol divalerate and monovalerate, propanediol dicaprylate and monocaprylate, propanediol dimyristate and monomyristate, propanediol dipalmitate and monopalmitate, propanediol dibehenate and monobehenate, propanediol adipate, propanediol maleate, propanediol dibenzoate, propanediol diacetate, and all mixtures thereof.

[0089] In particular, the esters produced include: propanediol distearate and monostearate, propanediol dioleate and monooleate, propanediol dicaprylate and monocaprylate, propanediol dimyristate and monomyristate, and all mixtures thereof.

[0090] Generally 1,3-propanediol can be contacted, preferably in the presence of an inert gas reacted with a fatty acid or mixture of fatty acids or salts of fatty acids in the absence or presence of a catalyst or mixture of two or more catalysts, at temperatures ranging from 25° C. to 400° C.

[0091] During the contacting, water is formed and can be removed in the inert gas stream or under vacuum to drive the reaction complete. Any volatile byproducts can be removed similarly. When the reaction is complete, the heating can be stopped and cooled.

[0092] The catalyst can be removed preferably by dissolving and removing in deionized water. If catalyst can be removed by treating with deionized water, the reaction mixture is treated with aqueous solutions of acid or base to forms salts and removing the salts either by washing or filtering.

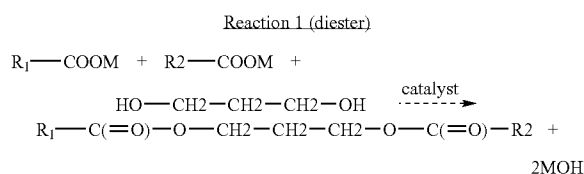
[0093] Further purification to obtain high purity fatty esters, preferably for pharmaceutical application can be carried out by dissolving in a solvent that dissolves fatty ester easily at higher temperatures and least at lower temperatures and recrystallizing with or without addition of additional solvent at low temperatures.

[0094] The catalyst can be an acid for non-limiting examples, sulfuric acid, or p-toluene sulfonic acid. The catalyst can also be a base, for non-limiting example,

sodium hydroxide. The catalyst can also be a salt, for non-limiting example, potassium acetate. The catalyst can also be an alkoxide, for non-limiting example, titanium tetraisopropoxide. The catalyst can also be a heterogeneous catalyst, for non-limiting examples: zeolite, heteropolyacid, amberlyst, or ion exchange resin. The catalyst can also be a metal salt, for non-limiting examples, tin chloride, or copper chloride. The catalyst can also be an enzyme, such as those known in the art. The catalyst can also be an organic acid, for a non-limiting example, formic acid. Finally the catalyst can also be an organometallic compound, for non-limiting example, n-butylstannoic acid.

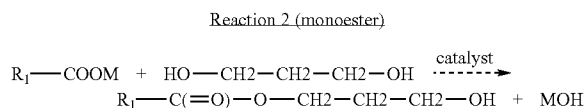
[0095] This process can be carried out in the presence or absence of a solvent. If a solvent is not necessary to facilitate the production of fatty ester, it is preferred that the process is carried out in the absence of solvent.

[0096] The process can be carried out at atmospheric pressure or under vacuum or under pressurized conditions.



[0097] Where R_1 and R_2 is a hydrocarbon, preferably with a carbon chain length of about 1 to about 40. Such hydrocarbons can be saturated or unsaturated, substituted or unsubstituted, linear or branched

[0098] M is hydrogen, an alkali metal or an alkyl group.



[0099] Where R_1 is a hydrocarbon, preferably with a carbon chain length of about 1 to about 40. Such hydrocarbons can be saturated or unsaturated, substituted or unsubstituted, linear or branched. M is hydrogen, an alkali metal or an alkyl group.

[0100] Compositions in accordance with the invention comprise esters in which R1 has one or more functional groups selected from the group consisting of alkene, amide, amine, carbonyl, carboxylic acid, halide, hydroxyl groups, ether, alkyl ether, sulfate and ethersulfate. The esters can have the formula $R_1\text{---C(=O)---O---CH}_2\text{---CH}_2\text{---CH}_2\text{---O---C(=O)---R}_2$, wherein both R1 and R2 are linear or branched carbon chains of a length between about 1 to about 40 carbons. R1 and R2 can have one or more functional groups selected from the group consisting of alkene, amide, amine, carbonyl, carboxylic acid, halide, hydroxyl groups, ether, alkyl ether, sulfate and ethersulfate. Additionally, R1 and R2 can be the same carbon chain in the case of a diester.

[0101] Any molar ratio of diol to dicarboxylic acid or its salt or its ester can be used. The preferred range of the diol

to dicarboxylic acid is from about 1:3 to about 2:1. This ratio can be adjusted to shift the favor of the reaction from monoester production to diester production. Generally, to favor the production of diesters slightly more than about a 1:2 ratio is used; whereas to favor the production of monoesters about a 1:1 ratio is used. In general, if the diester product is desired over the monoester the ratio of diol to dicarboxylic acid can range from about 1.01:2 to about 1.1:2; however if the monoester is desired a range of ratios from about 1.01:1 to about 2:1 is used.

[0102] The catalyst-content for the reaction can be from 1 ppm to 10 wt % of the reaction mixture, preferably from 10 ppm to 10 wt %, more preferably from 50 ppm to 2 wt % of the reaction mixture.

[0103] The product may contain diesters, monoesters or combination diesters and monoesters and small percentage of unreacted acid and diol depending on the reaction conditions. Unreacted diol can be removed by washing with deionized water. Unreacted acid can be removed by washing with deionized water or aqueous solutions having base or during recrystallization.

[0104] Any ester of 1,3-propanediol can be made or used in accordance with the present invention. Short, middle and long chain monoesters and diesters of the 1,3-propanediol can be made. Specifically those acids containing between about 1 and about 36 carbons in the alkyl chain can be produced. More specifically, the following monoesters and diesters can be produced: propanediol distearate (monostearate and the mixture), propanediol dilaurate (monolaurate and the mixture), propanediol dioleate (monooleate and the mixture), propanediol divalerate (monovalerate and the mixture), propanediol dicaprylate (monocaprylate and the mixture), propanediol dimyristate (monomyristate and the mixture), propanediol dipalmitate (monopalmitate and the mixture), propanediol dibehenate (monobehenate and the mixture), propanediol adipate, propanediol maleate, propanediol dibenzoate, and propanediol diacetate.

[0105] Compositions comprising an ester of 1,3-propanediol, wherein the 1,3-propanediol is biologically derived contain biobased carbon from the biologically derived 1,3-propanediol. Accordingly, these esters can have varying amounts of biobased carbon, depending on what acids are used in the esterification process. The compositions can include esters that have at least 3% biobased carbon, at least 6% biobased carbon, at least 10% biobased carbon, at least 25% biobased carbon, at least 50% biobased carbon, at least 75% biobased carbon, or 100% biobased carbon depending on the length of the carbon chain of the organic acid used to produce the ester, whether the ester is a diester or a monoester, and whether the organic acid contained biobased carbon or fossil-fuel based carbon.

[0106] These compositions comprising an ester of 1,3-propanediol can be produced by providing biologically produced 1,3-propanediol; contacting the 1,3-propanediol with an organic acid, wherein the ester is produced; and recovering the ester. The 1,3-propanediol provided can have at least 95% biobased carbon, or 100% biobased carbon. Additionally, the biologically-produced 1,3-propanediol provided for the process can have at least one of the following characteristics: 1) an ultraviolet absorption of less than about 0.200 at 220 nm and less than about 0.075 at 250 nm and less than about 0.075 at 275 nm; 2) a composition

having L*a*b* "b*" color value of less than about 0.15 and an absorbance of less than about 0.075 at 270 nm; 3) a peroxide composition of less than about 10 ppm; and 4) a concentration of total organic impurities of less than about 400 ppm.

[0107] The ester can also be produced by providing 1,3-propanediol with at least 90% biobased carbon; contacting the 1,3-propanediol with an acid, forming the ester; and recovering the ester. The contacting of the 1,3-propanediol with an acid can be done in the presence of a catalyst to facilitate the esterification reaction, and the catalyst can be categorized as a member of one or more of the acids, bases, salts, alkoxides, heterogeneous, catalysts, metal salts, enzymes, organic acids, and organometallic compounds. Specifically, the catalyst can be sulfuric acid, or p-toluene sulfonic acid, sodium hydroxide, potassium acetate, titanium tetraisopropoxide, zeolite, heteropolyacid, amberlyst, ion exchange resin, tin chloride, or copper chloride, formic acid, or n-butylstannoic acid.

EXAMPLES

[0108] The present invention is further defined in the following Examples. These Examples, while indicating preferred embodiments of the invention, are given by way of illustration only. From the above discussion and these Examples, one skilled in the art can ascertain the essential characteristics of this invention, and without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various usages and conditions.

[0109] The meaning of abbreviations used is as follows: "min" means minute(s), "sec" means second(s), "h" means hour(s), "μL" means microliter(s), "mL" means milliliter(s), "L" means liter(s), "nm" means nanometer(s), "mm" means millimeter(s), "cm" means centimeter(s), "μm" means micrometer(s), "mM" means millimolar, "M" means molar, "mmol" means millimole(s), "μmole" means micromole(s), "g" means gram(s), "μg" means microgram(s), "mg" means milligram(s), "g" means the gravitation constant, "rpm" means revolutions per minute, "SEM" means standard error of the mean, "vol %" means volume percent and "NMR" means nuclear magnetic resonance.

[0110] The meaning of abbreviations used is as follows: "% wt." means percent by weight; "qs" means as much as suffices; "EDTA" means ethylenediamine tetraacetate; "° C." means degrees Centigrade; "° F." is degrees Fahrenheit, "Bio-PDO" means biologically-derived 1,3-propanediol; "ppm" is parts per million; "AU" is absorbance unit; "nm" is nanometer(s); "GC" is gas chromatograph; "APHA" is American Public Health Association; "cps" is centipoise; "f/t" is freeze/thaw; "mP·s" is millipascal seconds; "D.I." is deionized.

General Methods:

[0111] Standard recombinant DNA and molecular cloning techniques used in the Examples are well known in the art and are described by Sambrook, J., Fritsch, E. F. and Maniatis, T., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 1989, by T. J. Silhavy, M. L. Bannan, and L. W. Enquist, *Experiments with Gene Fusions*, Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y., 1984, and by

Ausubel, F. M. et al., *Current Protocols in Molecular Biology*, Greene Publishing Assoc. and Wiley-Interscience, N.Y., 1987.

[0112] Materials and methods suitable for the maintenance and growth of bacterial cultures are also well known in the art. Techniques suitable for use in the following Examples may be found in *Manual of Methods for General Bacteriology*, Philipp Gerhardt, R. G. E. Murray, Ralph N. Costilow, Eugene W. Nester, Willis A. Wood, Noel R. Krieg and G. Briggs Phillips, eds., American Society for Microbiology, Washington, D.C., 1994, or by Thomas D. Brock in *Biotechnology: A Textbook of Industrial Microbiology*, Second Edition, Sinauer Associates, Inc., Sunderland, Mass., 1989.

[0113] All reagents, restriction enzymes and materials used for the growth and maintenance of bacterial cells were obtained from Aldrich Chemicals (Milwaukee, Wis.), BD Diagnostic Systems (Sparks, Md.), Life Technologies (Rockville, Md.), or Sigma Chemical Company (St. Louis, Mo.), unless otherwise specified.

[0114] Glycerol used in the production of 1,3-propanediol was obtained from J. T. Baker Glycerin USP grade, Lot 325608 and G19657.

[0115] Differential Scanning Calorimetry: DSC thermograms were recorded using Universal V3 1A TA instrument under constant stream of nitrogen with a heating and cooling rate of 10° C./min.

[0116] NMR: ¹H NMR spectra were recorded on Bruker DRX 500 using XWINNMR version 3.5 software. Data was acquired using a 90 degree pulse (p1) and a 30 second recycle delay (d1). Samples were dissolved in deuterated chloroform and nondeuterated chloroform was used as internal standard.

Isolation and Identification Bio-PDO

[0117] The conversion of glycerol to bio-PDO was monitored by HPLC. Analyses were performed using standard techniques and materials available to one of skill in the art of chromatography. One suitable method utilized a Waters Maxima 820 HPLC system using UV (210 nm) and RI detection. Samples were injected onto a Shodex SH-1011 column (8 mm×300 mm, purchased from Waters, Milford, Mass.) equipped with a Shodex SH-1011P precolumn (6 mm×50 mm), temperature controlled at 50° C., using 0.01 N H₂SO₄ as mobile phase at a flow rate of 0.5 mL/min. When quantitative analysis was desired, samples were prepared with a known amount of trimethylacetic acid as external standard. Typically, the retention times of glycerol (RI detection), 1,3-propanediol (RI detection), and trimethylacetic acid (UV and RI detection) were 20.67 min, 26.08 min, and 35.03 min, respectively.

[0118] Production of bio-PDO was confirmed by GC/MS. Analyses were performed using standard techniques and materials available to one of skill in the art of GC/MS. One suitable method utilized a Hewlett Packard 5890 Series II gas chromatograph coupled to a Hewlett Packard 5971 Series mass selective detector (EI) and a HP-INNOWax column (30 m length, 0.25 mm i.d., 0.25 micron film thickness). The retention time and mass spectrum of 1,3-propanediol generated from glycerol were compared to that of authentic 1,3-propanediol (m/e: 57, 58).

Production of Bio-Based Monoesters and Diesters
from Bio-Produced 1,3-Propanediol

[0119] Monoesters and diester of bio-produced 1,3-propanediol may be produced by combining bioPDO with organic acid. The combination is to be performed in dry conditions under heat and prolonged agitation with a selected catalyst. The ratio of monoester to diester produced will vary according to the molar ratio of acid to bioPDO and the selection of catalyst.

[0120] The production of esters was confirmed using ^1H nuclear magnetic resonance. Analyses were performed using standard techniques and materials available to one of skill in the art of ^1H NMR.

[0121] Proton Nuclear Magnetic Resonance (^1H NMR) Spectroscopy is a powerful method used in the determination of the structure of unknown organic compounds. It provides information concerning: the number of different types of hydrogens present in the molecule, the electronic environment of the different types of hydrogens and the number of hydrogen "neighbor" a hydrogen has.

[0122] The hydrogens bound to carbons attached to electron withdrawing groups tend to resonate at higher frequencies from TMS, tetramethylsilane, a common NMR standard. The position of where a particular hydrogen atom resonates relative to TMS is called its chemical shift (δ). Typical chemical shifts of fatty ester are as follows.

[0123] $\delta=0.88$ for terminal CH_3

[0124] $\delta=1.26, 1.61$ and 1.97 for methylene groups of ($-\text{CH}_2-\text{CH}_2-\text{CH}_2$), ($\text{CH}_2-\text{CH}_2-\text{C}=\text{O}$) and ($\text{O}-\text{CH}_2-\text{CH}_2-\text{CH}_2-\text{O}$) respectively,

[0125] $\delta=2.28$ for methylene group adjacent to ester ($\text{CH}_2-\text{C}=\text{O}$)

[0126] $\delta=4.15$ for ester ($\text{C}(\text{=O})-\text{O}-\text{CH}_2-$).

Proton NMR can distinguish the protons corresponding to the end groups (CH_2-OH) ($\delta=3.7$) from that of the middle ester groups ($\text{CH}_2-\text{O}-\text{C}(\text{=O})-$) ($\delta=4.15$ and 4.24 for diester and monoester, respectively) and thus it is possible to identify ester and can monitor the reaction by comparing the integral areas of these two peaks.

$$\% \text{ Esterification} = \frac{\text{Combined areas of peaks at 41.5 and } 4.24 \times 100}{\text{Combined areas of peaks at 3.70, 41.5 and 4.24}}$$

Example 1

Conversion of D-Glucose to 1,3-Propanediol Under
Fermentation Conditions

[0127] *E. coli* strain ECL707, containing the *K. pneumoniae* dha regulon cosmids pKP1 or pKP2, the *K. pneumoniae* pdu operon pKP4, or the Supercos vector alone, is grown in a 5 L Applikon fermenter for the production of 1,3-propanediol from glucose.

[0128] The medium used contains 50-100 mM potassium phosphate buffer, pH 7.5, 40 mM $(\text{NH}_4)_2\text{SO}_4$, 0.1% (w/v) yeast extract, 10 μM CoCl_2 , 6.5 μM CuCl_2 , 100 μM FeCl_3 , 18 μM FeSO_4 , 5 μM H_3BO_3 , 50 μM MnCl_2 , 0.1 μM

Na_2MoO_4 , 25 μM ZnCl_2 , 0.82 mM MgSO_4 , 0.9 mM CaCl_2 , and 10-20 g/L glucose. Additional glucose is fed, with residual glucose maintained in excess. Temperature is controlled at 37° C. and pH controlled at 7.5 with 5N KOH or NaOH. Appropriate antibiotics are included for plasmid maintenance. For anaerobic fermentations, 0.1 vvm nitrogen is sparged through the reactor; when the dO setpoint was 5%, 1 vvm air is sparged through the reactor and the medium is supplemented with vitamin B12.

[0129] Titrers of 1,3-propanediol (g/L) range from 8.1 to 10.9. Yields of bio-PDO (g/g) range from 4% to 17%.

Example 2

Purification of Biosourced 1,3-Propanediol

[0130] Published U.S. Patent Application No. 2005/0069997 discloses a process for purifying 1,3-propanediol from the fermentation broth of a cultured *E. coli* that has been bioengineered to synthesize 1,3-propanediol from sugar. The basic process entails filtration, ion exchange and distillation of the fermentation broth product stream, preferably including chemical reduction of the product during the distillation procedure.

[0131] 1,3-Propanediol, produced as recited in Example 1, was purified, by a multistep process including broth clarification, rotary evaporation, anion exchange and multiple distillation of the supernatant.

[0132] At the end of the fermentation, the broth was clarified using a combination of centrifugation and membrane filtration for cell separation, followed by ultrafiltration through a 1000 MW membrane. The clarified broth processed in a large rotary evaporator. Approximately 46 pounds of feed material (21,000 grams) were processed to a concentrated syrup. A 60 ml portion of syrup was placed in the still pot of a 1" diameter distillation column. Distillation was conducted at a vacuum of 25 inches of mercury. A reflux ratio of approximately 1 was used throughout the distillation. Several distillate cuts were taken, the central of which received further processing. The material was diluted with an equal volume of water, the material was loaded onto an anion exchange column (mixed bed, 80 grams of NM-60 resin), which had been water-washed. Water was pumped at a rate of 2 ml/min, with fractions being collected every 9 minutes. Odd number fractions were analyzed, and fractions 3 through 9 contained 3 G. The fractions containing 3 G were collected and subjected to microdistillation to recover several grams of pure 1,3-propanediol monomer (which was polymerized to mono and diesters according to the methods described in Example 2-8).

Example 3

Production of Propanediol Distearate Using
P-Toluenesulfonic Acid as Catalyst

[0133] To prepare propanediol distearate from biosource 1,3-propanediol and stearic acid, biosource 1,3-propanediol was purified using methods as in examples 1 and 2. 2.58 g (0.033 moles) of biosource 1,3-propanediol, 19.45 g (0.065 moles) of stearic acid (Aldrich, 95%), and 0.2125 g (0.001 moles) of p-toluenesulfonic acid (Aldrich 98.5%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air

and moisture for 15 min. Then reaction temperature was raised to 100° C. while thoroughly stirring the reaction mixture under nitrogen flow and continued for 210 min.

[0134] After completion of the reaction, reaction mixture was cooled to about 35° C. and the product was transferred into a beaker. The product was purified by adding 100 mL of water and thoroughly stirring at 45-60° C., to form an emulsion for 15 min. The mixture was cooled and the solid propanediol distearate was separated by filtration.

[0135] The product was characterized by ¹H NMR (Nuclear Magnetic Resonance) spectra (CDCl₃ (deuterated chloroform)): δ=0.88 (t, CH₃—CH₂, 6H), 1.26 (t, CH₂—CH₂—CH₂, 28H), 1.61 (t, CH₂—CH₂—C=O, 4H), 1.97 (t, —O—CH₂—CH₂—CH₂—O, 2H), 2.28 (t, CH₂—C=O, 4H), 4.15 (t, C(=O)—O—CH₂— 4H) and DSC (T_m=66.4° C. and T_c=54.7° C.), as shown in FIG. 1.

Example 4

Purity Characterizations of Biologically-Derived 1,3-Propanediol

[0136] In Table 1 below, biologically-derived 1,3-propanediol (produced and purified as described in Published U.S. Patent Application No. 2005/0069997) (“Bio-PDO”) is compared, in several purity aspects, to two separate commercially-obtained preparations of chemically-produced 1,3-propanediol (Source A and B).

TABLE 1

	Units	Source A	Source B	Bio-PDO
Total Org. Impurities	ppm	570	695	80
UV Abs 220 nm,	AU	0.25	1.15	0.12
UV Abs 250 nm,	AU	0.123	0.427	0.017
UV Abs 275 nm	AU	0.068	0.151	0.036
UV Abs 350 nm	AU	0.013	0.007	0.001
Peroxides	ppm	67	43	2
CIE L*a*b* ASTM D6290	b*	0.411	0.03	0.1
Carbonyls	ppm	147	175	1

[0137] A typical profile of purity aspects are provided in Table 2 below, on a sample of biologically-produced 1,3-propanediol purified by a process disclosed in Published U.S. Patent Application No. 2005/0069997.

TABLE 2

	Units	
1,3-Propanediol	GC area %	99.992
pH, neat	pH	8.22
UV Abs. @ 270 nm, 1:5 dilution	AU	0.01
Color APHA		3
Color (Process Measurement) L*a*b*	b*	0.10
Water	ppm	115
UV abs 220 nm neat	AU	0.144
UV abs 250 nm neat	AU	0.017
UV abs 275 nm neat	AU	0.036
UV abs 350 nm neat	AU	0.001
Peroxide	ppm	2
Metals	ppm	<1
Sulfur	ppm	<1
Carbonyl	ppm	1

[0138] The unit ppm of total organic impurities means parts per million of total organic compounds in the final

preparation, other than 1,3-propanediol, as measured by a gas chromatograph with a flame ionization detector. Results are reported by peak area. A flame ionization detector is insensitive to water, so the total impurity is the sum of all non 1,3-propanediol organic peaks (area %) ratioed to the sum of all area % (1,3-propanediol included). The term “organic materials” refers to the contaminants containing carbon.

[0139] The tables show that the disclosed method of purification provides for highly pure biologically derived 1,3-propanediol, as compared to commercially-obtained preparations of chemically-produced 1,3-propanediol.

Example 5

Production of Propanediol Distearate Using P-Toluenesulfonic Acid as Catalyst

[0140] 39.61 g (0.133 moles) of stearic acid (Aldrich, 95%), 5.05 g (0.066 moles) of bio-source 1,3-propanediol (Bio-PDO) and 0.46 g (0.0024 moles) of p-toluenesulfonic acid were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min. Then reaction temperature was raised to 100° C. while thoroughly stirring the reaction mixture under nitrogen flow. When the reaction temperature reached 100° C., nitrogen flow was shut off and low vacuum was applied to remove byproduct. The reaction was continued for 2 h. The vacuum was stopped and product was cooled under nitrogen flow.

[0141] The product was purified as described in Example 3 and recrystallized as described in Example 4.

[0142] The product was characterized by ¹H NMR spectra (CDCl₃): δ=0.88 (t, CH₃—CH₂, 6H), 1.26 (t, CH₂—CH₂—CH₂, 28H), 1.61 (t, CH₂—CH₂—C=O, 4H), 1.97 (t, —O—CH₂—CH₂—CH₂—O, 2H), 2.28 (t, CH₂—C=O, 4H), 4.15 (t, C(=O)—O—CH₂— 4H). FIG. 4 depicts a graph of these data.

Example 6

Production of Propanediol Monostearate and Propanediol Distearate using tin chloride as catalyst

[0143] 72.06 g (0.243 moles) of stearic acid (Aldrich, 95%), 9.60 g (0.126 moles) of 1,3-propanediol and 0.25 g of SnCl₂ (Aldrich 98%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min. Then reaction temperature was raised to 120° C. while thoroughly stirring the reaction mixture under nitrogen flow and continued for 240 min.

[0144] After completion of the reaction, reaction mixture was cooled and analyzed by NMR. The product contained 39 mole % of propanediol monostearate, 19 mole % of propanediol distearate and 42 mole % 1,3-propanediol.

[0145] ¹H NMR spectra (CDCl₃) δ=0.88 (t, CH₃—CH₂), 1.27 (t, CH₂—CH₂—CH₂), 1.63 (t, CH₂—CH₂—C=O), 1.82, 1.87 and 1.96 (t, —O—CH₂—CH₂—CH₂—O), 2.31 (t, CH₂—C=O), 3.69 and 3.86 (t, HO—CH₂—CH₂—), 4.15 and 4.21 (t, C(=O)—O—CH₂—). FIG. 5 depicts a graph of these data.

Example 7

Production of Propanediol Monostearate and Propanediol Distearate Using Titanium Tetraisopropoxide as Catalyst

[0146] 35.51 g (0.119 moles) of stearic acid (Aldrich, 95%), 9.55 g (0.125 moles) of 1,3-propanediol and 0.01 g of $\text{Ti}(\text{OC}_3\text{H}_7)_4$ (Aldrich, 99.99%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min. Then reaction temperature was raised to 170° C. while thoroughly stirring the reaction mixture under nitrogen flow and continued for 240 min. Then the reaction was continued under vacuum for another 30 min. The vacuum was stopped and product was cooled under nitrogen flow and analyzed by NMR.

[0147] The product has 36 mole % propanediol monostearate and 64 mole % propanediol distearate.

[0148] ^1H NMR spectra (CDCl_3) δ 0.88 (t, $\text{CH}_3\text{—CH}_2$), 1.27 (t, $\text{CH}_2\text{—CH}_2\text{—C}_2$), 1.60 (t, $\text{CH}_2\text{—CH}_2\text{—C=O}$), 1.87 and 1.96 (t, $\text{—O—CH}_2\text{—CH}_2\text{—CH}_2\text{—O}$), 2.31 (t, $\text{CH}_2\text{—C=O}$), 3.70 (t, $\text{HO—CH}_2\text{—CH}_2\text{—}$), 4.15 and 4.24 (t, $\text{C(=O)—O—CH}_2\text{—}$). FIG. 6 depicts a graph of these data.

Example 8

Production of propanediol Monostearate and Propanediol Distearate using potassium acetate as catalyst

[0149] 39.72 g (0.133 moles) of stearic acid (Aldrich, 95%), 10.12 g (0.133 moles) of bio-source 1,3-propanediol (Bio-PDO) and 2.47 g (0.025 moles) of potassium acetate (Aldrich, 99%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min.

[0150] Then reaction temperature was raised to 130° C. while thoroughly stirring the reaction mixture under nitrogen flow. The reaction was continued for 4 h under nitrogen flow. Then the nitrogen flow was shut off and vacuum was applied for 10 min before stopping the reaction. The obtained product was analyzed without further purification.

[0151] NMR analysis confirmed the product contained 64.7 mole % of propanediol monostearate, 9.7% mole % of Propanediol distearate and 25.6 mole % 1, 3 Propanediol.

[0152] ^1H NMR spectra (CDCl_3) δ =0.88 (t, $\text{CH}_3\text{—CH}_2$), 1.27 (t, $\text{CH}_2\text{—CH}_2\text{—CH}_2$), 1.63 (t, $\text{CH}_2\text{—CH}_2\text{—C=O}$), 1.82, 1.87 and 1.96 (t, $\text{—O—CH}_2\text{—CH}_2\text{—CH}_2\text{—O}$), 2.31 (t, $\text{CH}_2\text{—C=O}$), 3.70 and 3.86 (t, $\text{HO—CH}_2\text{—CH}_2\text{—}$), 4.15 and 4.24 (t, $\text{C(=O)—O—CH}_2\text{—}$). FIG. 7 depicts a graph of these data.

Example 9

Production of Propanediol Dilaurate Using P-Toluenesulfonic Acid as Catalyst

[0153] 50.2 g (0.246 moles) of lauric acid (Aldrich, 98%), 9.35 g (0.123 moles) of bio-source 1,3-propanediol (Bio-PDO) and 0.6 g (0.0031 moles) of p-toluenesulfonic acid (Aldrich 98.5%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min.

[0154] Then reaction temperature was raised to 130° C. while thoroughly stirring the reaction mixture under nitrogen flow. The reaction was continued for 4 h under nitrogen flow. After completion of the reaction, the product was cooled and 90 mL of 0.5 wt % sodium hydroxide solution was added and agitated at 40 to 50° C. for 10 min. Then the product was filtered and thoroughly washed with deionized water and dried.

[0155] NMR analysis confirmed the product contained 99.2 mole % of propanediol dilaurate

[0156] ^1H NMR spectra (CDCl_3) δ =0.88 (t, $\text{CH}_3\text{—CH}_2$), 1.27 (t, $\text{CH}_2\text{—CH}_2\text{—CH}_2$), 1.63 (t, $\text{CH}_2\text{—CH}_2\text{—C=O}$), 1.96 (t, $\text{—O—CH}_2\text{—CH}_2\text{—CH}_2\text{—O}$), 2.28 (t, $\text{CH}_2\text{—C=O}$), 4.15 (t, $\text{C(=O)—O—CH}_2\text{—}$)

Example 10

Production of Propanediol Dioleate Using P-Toluenesulfonic Acid as Catalyst

[0157] 51.7 g (0.164 moles) of oleic acid (Aldrich, 90%), 6.26 g (0.082 moles) of bio-source 1,3-propanediol (Bio-PDO) and 0.6 g (0.0031 moles) of p-toluenesulfonic acid (Aldrich 98.5%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min.

[0158] Then reaction temperature was raised to 130° C. while thoroughly stirring the reaction mixture under nitrogen flow. The reaction was continued for 4 h under nitrogen flow. After completion of the reaction, the product was cooled and 90 mL of 0.5 wt % sodium hydroxide solution was added and agitated at 40 to 50° C. for 10 min.

[0159] The mixture was transferred into a separating funnel and 500 mL of deionized water added and mixture was allowed to form two separate layers. Aqueous layer was removed.

[0160] Another 500 mL deionized water was added, the solution was mixed and aqueous layer was after two clear layer were formed. The process was repeated for one more time.

[0161] NMR analysis confirmed the product contained 99.2 mole % of propanediol dilaurate

[0162] ^1H NMR spectra (CDCl_3) δ 0.88 (t, $\text{CH}_3\text{—CH}_2$), 1.27 and 1.30 ($\text{CH}_2\text{—CH}_2\text{—CH}_2$), 1.63 (t, $\text{CH}_2\text{—CH}_2\text{—C=O}$), 1.96 (t, $\text{—O—CH}_2\text{—CH}_2\text{—CH}_2\text{—O}$), 2.28 (t, $\text{CH}_2\text{—C=O}$), 4.15 (t, $\text{C(=O)—O—CH}_2\text{—}$), 5.35 (m $\text{CH}_2\text{—CH=CH—CH}_2$)

Example 11

Production of Propanediol Distearate Using D-Toluenesulfonic Acid as Catalyst

[0163] Bio-source 1,3-propanediol was prepared as described herein, specifically as described in Examples 1 and 2. 5.2 g (0.068 moles) of biosource 1,3-propanediol, 38.9 g (0.13 moles) of stearic acid (Aldrich, 95%), and 0.425 g (0.002 moles) of p-toluenesulfonic acid (Aldrich, 98.5%) were charged into glass reactor fitted with mechanical stirrer and the reactor was flushed with dry nitrogen gas to remove air and moisture for 15 min. Then reaction temperature was

raised to 130 ° C while thoroughly stirring the reaction mixture under nitrogen flow and continued for 195 min at 130° C.

[0164] The product was purified as described in Example 3. The product was further purified by dissolving in chloroform and recrystallizing by adding acetone at 15° C. The recrystallized product was filtered and dried.

[0165] The product was characterized by 1H NMR spectra (CDCl₃): δ=0.88 (t, CH₃—CH₂, 6H), 1.26 (t, CH₂—CH₂—CH₂, 28H), 1.61 (t, CH₂—CH₂—C=O, 4H), 1.97 (t, —O—CH₂—CH₂—CH₂—O, 2H), 2.28 (t, CH₂—C=O, 4H), 4.15 (t, C(=O)—O—CH₂— 4H).

Comparative Example 1

[0166] The properties of poly(lactic acid) (PLA) made with 1,3-propanediol plasticizers and commercially available plasticizers were compared. Specifically, tensile modulus, tensile strength, and elongation break was measured for each of the PLA blends made using the different plasticizers, and the blend made without any plasticizer.

[0167] The matrix polymer used was poly(lactic acid) (PLA)4040D from Cargill, Inc., Minnetonka, Minn. The esters were synthesized from biologically-derived 1,3-propanediol. The production, purification, and characterization of the esters is described above. The commercially available plasticizer ester used was propylene glycol dibenzoate for comparison.

[0168] In forming the polymer, PLA resin was dried in a vacuum oven at 80° C. for 16 hours, then was melt extruded with various amount of plasticizer on a 28-mm twin screw extruder, commercial unit (Werber-Pfleiderer, Ramsey, N.J.). The plasticizers were transferred by an injection pump. Plasticizers solid at room temperature were melted prior usage. Pellets of the PLA and plasticizer blend were dried under vacuum at 80° C. prior to film extrusion process. The dried pellets were extruded through a standard die, quenched by passing through a water chilled roll, cooled to room temperature and wound. Films of various thickness were prepared, and data of physical properties for 4 mil thick films are in Table 1 below.

[0169] The physical properties of the extruded films samples were measured by an Instron Corp. Tensile Tester, Model no. 1125 (Instron Corp., Norwood Mass.). The tensile properties were measured according to ASTM D-882-02. Each data point is the mean of at least 5 individual test samples.

TABLE 1

Tensile Modulus of PLA blends with different plasticizers. Tensile Modulus (GPa)				
Plasticizer (%)	No plasticizer	Propanediol-di-ethylhexanoate	Propanediol-dibenzoate	Comparative example
0	2.5			
2.1		2.8	2.7	2.8
5.8		2.7	2.9	2.9
10.8		2.4	2.9	2.9

[0170]

TABLE 2

Tensile properties of PLA control and PLA with different plasticizers. Tensile Strength @ Yield (GPa)				
Plasticizer %	No plasticizer	Propanediol-di-ethylhexanoate	Propanediol-dibenzoate	Comparative example
0	52			
2.1		48.7	51.1	50.5
5.8		43	53.7	47.8
10.8		35.4	48.6	45.8
Tensile Strength @ Break (GPa)				
0	49.9			
2.1		41.6	44.2	41.5
5.8		33.5	47.1	41.1
10.8		24.9	42.2	30.9

[0171]

TABLE 3

Effect of plasticizer on the tensile elongation of PLA blends Elongation @ Break (%)				
Plasticizer %	No plasticizer	Propanediol-di-ethylhexanoate	Propanediol-dibenzoate	Comparative example
0	5.65			
2.1		4.83	3.74	6.26
5.8		3.89	4.59	2.91
10.8		10.54	2.87	4.54

[0172] Generally polyesters, including PLA, are not easily plasticized, but the plasticizers can act as processing aids. The data of Table 1-3 show that using aliphatic esters of 1,3-propanediol (propanediol-di-ethylhexanoate) can increase the elongation break of PLA almost 100% compared to commercially available plasticizers. The performance of Propanediol-dibenzoate is comparable to the commercial ester plasticizer.

Comparative Example 2

[0173] The properties of poly(vinyl chloride) (PVC) made with 1,3-propanediol plasticizers and commercially available plasticizers were compared. Specifically, Young's modulus, stress at break, and strain at break were measured for each of the PVC blends made using the different plasticizers and different weight percents, and the blend made without any plasticizer.

[0174] The 1,3 propanediol ester was synthesized in methods comparable to those disclosed herein. The 1,3 propanediol ester used was propanediol dibenzoate and the commercial product used was propylene glycol (1,2-propanediol) dibenzoate, available as Benzoflex 284 (R) from Velsicol, Rosemont, Ill.

[0175] Poly(vinyl chloride)(PVC) Geon® 2188GC was obtained from PolyOne, Cleveland, Ohio. The polymer was mixed with 1,3 propanediol ester and commercial ester material in an industrial mixer at 60 rpm for 15 minutes. The temperature was kept between 170-175° C. for the mixing. After the mixing the polymer was cooled, ground and dried at 80° C. in vacuum under a nitrogen blanket.

[0176] The polymers were press molded with a mold size of 220 mm×150 mm. The specimen test geometry (Type (5) bars) followed the ASTM D638 requirements. Physical measurements were run on the test bars (ASTM D638) on an Instron Corporation Tensile Tester, Model no. 1125 (Instron Corp., Norwood Mass.). The level of plasticizer used and the physical test data are incorporated in Table 4.

TABLE 4

Mechanical properties of plasticized PVC				
Composition	Plasticizer Wt %	Young's Mod MPa	Stress @ Break MPa	Strain @ Break %
PVC/propanediol dibenzoate	2	2424.0	39.8	123.3
PVC/propanediol dibenzoate	5	2027.0	35.7	112.5
PVC/propanediol dibenzoate	8	3332.3	34.6	114.8
PVC/propanediol dibenzoate	10	2091.9	30.0	83.0
PVC/propanediol dibenzoate	20	924.2	22.0	105.3
PVC/Commercial Ester	2	2531.0	38.5	111.2
PVC/Commercial Ester	5	2312.6	36.9	113.2
PVC/Commercial Ester	8	2294.0	36.6	105.4
PVC/Commercial Ester	10	1884.0	30.6	81.8
PVC Control	0	1907.0	39.7	116.5

[0177] Although the invention is illustrated and described herein with reference to specific embodiments, the invention is not intended to be limited to the details shown. Rather, various modifications may be made in the details within the scope and range of equivalents of the claims and without departing from the invention.

What is claimed:

1. A composition comprising an ester of 1,3-propanediol and a polymer, wherein the ester is an ester of biologically-derived 1,3-propanediol.

2. The composition of claim 1, wherein the ester has at least 3% biobased carbon.

3. The composition of claim 1, wherein the ester has at least 6% biobased carbon.

4. The composition of claim 1, wherein the ester has at least 10% biobased carbon.

5. The composition of claim 1, wherein the ester has at least 25% biobased carbon.

6. The composition of claim 1, wherein the ester has at least 50% biobased carbon.

7. The composition of claim 1, wherein the ester has at least 75% biobased carbon.

8. The composition of claim 1, wherein the ester has 100% biobased carbon.

9. The composition of claim 1, wherein the ester has the formula $R1-C(=O)-O-CH_2-CH_2-CH_2-OH$, wherein R1 is a linear or branched carbon chain of a length between about 1 and about 40 carbons.

10. The composition of claim 9, wherein R1 has one or more functional groups selected from the group consisting of alkene, amide, amine, carbonyl, carboxylic acid, halide, hydroxyl groups, ether, alkyl ether, sulfate and ethersulfate.

11. The composition of claim 1 wherein the ester has the formula $R1-C(=O)-O-CH_2-CH_2-CH_2-O-C(=O)-R2$, wherein R1 and R2 are linear or branched carbon chains of a length between about 1 and about 40 carbons.

12. The composition of claim 11, wherein R1 and R2 have one or more functional groups selected from the group consisting of alkene, amide, amine, carbonyl, carboxylic acid, halide, hydroxyl groups, ether, alkyl ether, sulfate and ethersulfate.

13. The composition of claim 11 wherein R1 and R2 are the same carbon chain.

14. The composition of claim 1 wherein the ester is selected from the group consisting of:

- i. propanediol distearate, monostearate and a mixture thereof;
- ii. propanediol dilaurate, monolaurate and a mixture thereof;
- iii. propanediol dioleate, monooleate and a mixture thereof;
- iv. propanediol divalerate, monovalerate and a mixture thereof;
- v. propanediol dicaprylate, monocaprylate and a mixture thereof;
- vi. propanediol dimyristate, monomyristate and a mixture thereof;
- vii. propanediol dipalmitate, monopalmitate and a mixture thereof;
- viii. propanediol dibehenate, monobehenate and a mixture thereof;
- ix. propanediol adipate;
- x. propanediol maleate;
- xi. propanediol dibenzoate;
- xii. propanediol diacetate;
- xiii. propanediol diethylhexanoate; and
- xiv. mixtures thereof.

15. The composition of claim 14 wherein the ester is selected from the group consisting of:

- a. propanediol distearate, monostearate and a mixture thereof;
- b. propanediol dioleate, monooleate and a mixture thereof;
- c. propanediol dicaprylate, monocaprylate and a mixture thereof; and
- d. mixtures thereof.

16. The composition of claim 1 further comprising biologically-derived 1,3-propanediol.

17. The composition of claim 1, wherein the composition comprises between about 0.01% and about 100% ester.

18. The composition of claim 1, wherein the composition comprises between about 0.01% and about 5% ester.

19. The composition of claim 1, wherein the composition comprises between about 5% and about 15% ester.

20. The composition of claim 1, wherein the composition comprises between about 15% and about 25% ester.

21. The composition of claim 1, wherein the composition comprises between about 25% and about 50% ester.

22. The composition of claim 1, wherein the composition comprises between about 50% and about 100% ester.

23. The composition of claim 1 wherein the polymer is selected from the group consisting of polyvinyl chloride, poly(lactic acid), polyvinylidene chloride, polyvinyl butyral, acrylonitrile butadiene styrene, polyvinyl acetate, vinyl acrylate, and vinyl acetate polymers.

24. The composition of claim 1 wherein the polymer a member of the groups is selected from the group consisting of acrylic polymers, nylon, polyesters, polysaccharides, polyolefins, polystyrene, fluoroplastics, and rubbers.

25. A process for producing a plastic material comprising an ester of 1,3-propanediol, the process comprising:

(a) providing an ester of 1,3-propanediol; and

(b) mixing the ester with a polymer.

26. The process of claim 25 wherein the 1,3-propanediol ester is formed from 1,3 propanediol that has at least 95% biobased carbon.

27. The process of claim 26 wherein the 1,3-propanediol has 100% biobased carbon.

28. The process of claim 26 wherein the biologically-produced 1,3-propanediol has at least one of the following characteristics: 1) an ultraviolet absorption of less than about 0.200 at 220 nm and less than about 0.075 at 250 nm and less than about 0.075 at 275 nm; 2) a composition having $L^*a^*b^*$ "b*" color value of less than about 0.15 and an absorbance of less than about 0.075 at 270 nm; 3) a peroxide composition of less than about 10 ppm; and 4) a concentration of total organic impurities of less than about 400 ppm.

29. A composition comprising a plasticizer, wherein the plasticizer is an ester of biologically-derived 1,3-propanediol.

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