

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
7 April 2011 (07.04.2011)

(10) International Publication Number  
**WO 2011/041490 A1**

PCT

(51) International Patent Classification:  
*A61K 38/08* (2006.01) *A61K 38/00* (2006.01)

(21) International Application Number:  
PCT/US2010/050836

(22) International Filing Date:  
30 September 2010 (30.09.2010)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
61/247,038 30 September 2009 (30.09.2009) US

(71) Applicant (for all designated States except US): **SAINT LOUIS UNIVERSITY** [US/US]; Office of Innovation and Intellectual Property, 3700 West Pine Mall, Fusz Memorial Hall, RM 253, St. Louis, MO 63108 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **HOFT, Daniel** [—/US]; Saint Louis University School of Medicine, Center for Vaccine Development, Edward A. Doisy Research Center, 1100 S. Grand Boulevard, 1st Floor, Saint Louis, MO 63104 (US).

(74) Agent: **HIGHLANDER, Steven, L.**; Fulbright & Jaworski L.L.P., 600 Congress Ave., Suite 2400, Austin, TX 78701 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))
- with sequence listing part of description (Rule 5.2(a))

(54) Title: PEPTIDES FOR INDUCING HETEROSUBTYPIC INFLUENZA T CELL RESPONSES

(57) Abstract: The present invention provides compositions and methods for generation of an anti-influenza immune response. In particular, conserved T cell epitopes within matrix protein and nucleoprotein components of influenza virus have been identified and further screened for those structures that will bind either or both of HLA I and II molecules. Methods for vaccinating subjects with formulations of such peptides for the treatment or prevention of influenza infection also are described.



WO 2011/041490 A1

## **DESCRIPTION**

### **PEPTIDES FOR INDUCING HETEROSUBTYPIC INFLUENZA T CELL RESPONSES**

#### **BACKGROUND OF THE INVENTION**

5           This application claims benefit of priority to U.S. Provisional Application Serial No. 61/247,038, filed September 30, 2009, the entire contents of which are hereby incorporated by reference.

##### **1.     Field of the Invention**

10           The present invention relates generally to the fields of virology and immunotherapy. More particularly, it concerns the identification of immunostimulatory peptides and the development of peptide vaccines for the treatment and prevention of influenza.

##### **2.     Description of Related Art**

15           Influenza, commonly referred to as the flu, is an infectious disease caused by RNA viruses of the family Orthomyxoviridae (the influenza viruses), that affects birds and mammals. The most common symptoms of the disease are chills, fever, pharyngitis, muscle pains, severe headache, coughing, weakness and general  
20   discomfort. Fever and coughs are the most frequent symptoms. In more serious cases, influenza causes pneumonia, which can be fatal, particularly for the young and the elderly. Although it is often confused with the common cold, influenza is a much more severe disease and is caused by a different type of virus. Influenza may produce nausea and vomiting, particularly in children, but these symptoms are more common  
25   in the unrelated disease gastroenteritis, which is sometimes called “stomach flu” or “24-hour flu.”

          Typically, influenza is transmitted from infected mammals through the air by coughs or sneezes, creating aerosols containing the virus, and from infected birds through their droppings. Influenza can also be transmitted by saliva, nasal secretions,  
30   feces and blood. Infections also occur through contact with these body fluids or with contaminated surfaces. Flu viruses can remain infectious for about one week at human body temperature, over 30 days at 0°C (32°F), and for much longer periods at very

low temperatures. Influenza viruses can be inactivated by disinfectants and detergents. As the virus can be inactivated by soap, frequent hand washing reduces the risk of infection.

Flu spreads around the world in seasonal epidemics, resulting in the deaths of  
5 hundreds of thousands annually - millions in pandemic years. Three influenza  
pandemics occurred in the 20th century and killed tens of millions of people, with  
each of these pandemics being caused by the appearance of a new strain of the virus  
in humans. Often, these new strains result from the spread of an existing flu virus to  
humans from other animal species. An avian strain named H5N1 has recently posed  
10 the greatest risk for a new influenza pandemic since it first killed humans in Asia in  
the 1990's.

Vaccinations against influenza are usually given to people in developed  
countries and to farmed poultry. The most common human vaccine is the trivalent  
influenza vaccine (TIV) that contains purified and inactivated material from three  
15 viral strains. Typically, this vaccine includes material from two influenza A virus  
subtypes and one influenza B virus strain. The TIV carries no risk of transmitting the  
disease, and it has very low reactivity. A vaccine formulated for one year may be  
ineffective in the following year, since the influenza virus evolves rapidly, and  
different strains become dominant. Anti-viral drugs can be used to treat influenza,  
20 with neuraminidase inhibitors being particularly effective.

The symptoms of human influenza were first described nearly 2,400 years  
ago. Since then, the virus has caused numerous pandemics. Historical data on  
influenza are difficult to interpret, because the symptoms can be similar to those of  
other diseases, such as diphtheria, pneumonic plague, typhoid fever, dengue, or  
25 typhus. The first convincing record of an influenza pandemic was of an outbreak in  
1580, which began in Russia and spread to Europe via Africa. In Rome, over 8,000  
people were killed, and several Spanish cities were almost wiped out. Pandemics  
continued sporadically throughout the 17th and 18th centuries, with the pandemic of  
1830–1833 being particularly widespread; it infected approximately a quarter of the  
30 people exposed. The most famous and lethal outbreak was the so-called Spanish flu  
pandemic (type A influenza, H1N1 subtype), which lasted from 1918 to 1919. It is not  
known exactly how many it killed, but estimates range from 20 to 100 million people.  
Later flu pandemics were not so devastating. They included the 1957 Asian Flu (type  
A, H2N2 strain) and the 1968 Hong Kong Flu (type A, H3N2 strain), but even these

smaller outbreaks killed millions of people. In later pandemics, antibiotics were available to control secondary infections and this may have helped reduce mortality compared to the Spanish Flu of 1918.

5 In April 2009, a novel H1N1 flu strain that combined genes from human, pig, and bird flu, initially dubbed the “swine flu,” emerged in Mexico, the United States, and several other nations. By late April, the H1N1 swine flu was suspected of having killed over 150 in Mexico, and prompted concern that a new pandemic was imminent. The structural similarity to the 1918 Spanish Flu, possibly the greatest medical disaster of all times, highlights to ongoing threat from influenza virus generally, and  
10 the H1N1 subtype in particular. Therefore, compositions and methods for the prevention and treatment of this disease remain highly sought after.

### **SUMMARY OF THE INVENTION**

Thus, in accordance with the present invention, there is provided a peptide comprising an amino acid sequence selected from the group consisting of SEQ ID  
15 NO:1-51. The peptide may be about 9-15 residues in length, about 9-13 residues in length, or about 9-11 residues in length, including 9, 10, 11, 12, and 13 residues. The peptide may be fused to another amino acid sequence. The peptide may be formulated in a pharmaceutically acceptable buffer, diluent or excipient, or may be lyophilized, and optionally may be formulated with an adjuvant.

20 In another embodiment, there is provided a method of inducing an immune response in a subject comprising administering to a subject one or more peptides comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51. The peptide or peptides may be about 9-15 residues in length, about 9-13 residues in length, or about 9-11 residues in length, including 9, 10, 11, 12, and 13  
25 residues. The peptide or peptides may be fused to another amino acid sequence.

The method may comprise administering at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, or all 51 peptides to the subject. The method may comprise administering at least one peptide binding Class I  
30 HLA and at least one peptide binding Class II HLA to the subject. The method may comprise administering at least one peptide from a matrix protein and at least one peptide from a nucleoprotein, or at least one peptide from a matrix 1 protein, at least

one peptide from a matrix 2 protein, and at least one peptide from a nucleoprotein. Further, the method may comprise administering a sufficient number of peptides to the subject to target 100% of HLA haplotypes in a population.

Administration may comprise injection, such as subcutaneous or intramuscular  
5 injection. Administration may comprise inhalation, such as administering a intranasal aerosol or mist. The peptide or peptides may be administered with an adjuvant, such as a squalene adjuvant, a cytokine adjuvant, a lipid adjuvant or a TLR ligand. The total amount of peptide administered may be between 50 µg/kg and 1 mg/kg. The peptide or peptides may be administered at least a second time, and the second  
10 administration may comprise at least one peptide distinct from the peptide or peptides of the initial administration. The method may further comprise administration of a live-attenuated vaccine or a killed vaccine to said subject. The subject may be a human subject or a non-human animal subject. The method may further comprise measuring a CD4<sup>+</sup>, a CD8<sup>+</sup> and/or a γδ T cell response in the subject following  
15 administration.

In yet another embodiment, there is provided a vaccine formulation comprising one or more peptides comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51. The peptide or peptides may be 9-15 residues in length. The peptide or peptides may be fused to another amino acid  
20 sequence. The formulation may comprise an adjuvant. The formulation may be an injectable formulation or an inhalable formulation. The formulation may be provided in a unit dosage of between 50 µg/kg and 1 mg/kg. The formulation may be lyophilized or in a liquid form, such as in a pharmaceutically acceptable buffer, carrier or diluent, and may also include an adjuvant.

25 The formulation may comprise at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, or all 51 peptides. The formulation may comprise at least one peptide binding Class I HLA and at least one peptide binding Class II HLA. The formulation may comprise at least one peptide from a  
30 matrix protein and at least one peptide from a nucleoprotein, or at least one peptide from a matrix 1 protein, at least one peptide from a matrix 2 protein, and at least one peptide from a nucleoprotein. The formulation may comprise a sufficient number of distinct peptides to target 100% of HLA haplotypes.

It is contemplated that any method or composition described herein can be implemented with respect to any other method or composition described herein.

The use of the word “a” or “an” when used in conjunction with the term “comprising” in the claims and/or the specification may mean “one,” but it is also  
5 consistent with the meaning of “one or more,” “at least one,” and “one or more than one.”

Other objects, features and advantages of the present invention will become apparent from the following detailed description. It should be understood, however, that the detailed description and the specific examples, while indicating specific  
10 embodiments of the invention, are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The following drawings form part of the present specification and are included  
15 to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

**FIG. 1 – Overall CFSE Results.** n = 10-13/group.

20 **FIG. 2 – ON Peptide Pool IFN- $\gamma$  ELISPOT Assay.** \*, p < 0.05 by Mann-Whitney U test (n = 10/group).

### **DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS**

As discussed above, influenza virus is the leading viral cause of severe  
25 respiratory tract illness in person of all age, and can also cause severe illness and death in the very young and elderly. Some particularly lethal strains can be fatal to even healthy young adults. All of these patient groups would benefit from more effective anti-viral therapeutic options for influenza virus, and in particular, the H1N1 subtype responsible for the 1918 and 2009 influenza outbreaks.

30 The present invention provides new vaccine compositions that can be delivered in the same manner as currently approved vaccines. The identified peptide

components target conserved epitopes that have a high probability of stimulating protective T cell responses, and when used together in multi-peptide formulations, can do so in the entire population. These and other aspects of the invention are described in detail below.

5

## **I. Definitions**

The phrases “isolated” or “biologically pure” refer to material which is substantially or essentially free from components which normally accompany the material as it is found in its native state. Thus, isolated peptides in accordance with the invention preferably do not contain materials normally associated with the peptides in their *in situ* environment.

An “epitope,” also known as an antigenic determinant, is the part of a macromolecule that is recognized by the immune system, specifically by antibodies, B cells, or T cells.

“Major histocompatibility complex” or “MHC” is a cluster of genes that plays a role in control of the cellular interactions responsible for physiologic immune responses. In humans, the MHC complex is also known as the HLA complex. For a detailed description of the MHC and HLA complexes (see Paul, 1993).

“Human leukocyte antigen” or “HLA” is a human class I or class II major histocompatibility complex (MHC) protein (see, *e.g.*, Stites, 1994).

An “HLA supertype or family,” as used herein, describes sets of HLA molecules grouped on the basis of shared peptide-binding specificities. HLA class I molecules that share somewhat similar binding affinity for peptides bearing certain amino acid motifs are grouped into HLA supertypes. The terms HLA superfamily, HLA supertype family, HLA family, and HLA xx-like supertype molecules (where xx denotes a particular HLA type), are synonyms.

The term “motif” refers to the pattern of residues in a peptide of defined length, usually a peptide of from about 8 to about 13 amino acids for a class I HLA motif and from about 6 to about 25 amino acids for a class II HLA motif, which is recognized by a particular HLA molecule. Peptide motifs are typically different for each protein encoded by each human HLA allele and differ in the pattern of the primary and secondary anchor residues.

A “supermotif” is a peptide binding specificity shared by HLA molecules encoded by two or more HLA alleles. Thus, a preferably is recognized with high or intermediate affinity (as defined herein) by two or more HLA antigens.

“Cross-reactive binding” indicates that a peptide is bound by more than one  
5 HLA molecule; a synonym is degenerate binding.

A “protective immune response” refers to a T cell response to an antigen derived from an infectious agent, which prevents or at least partially arrests disease symptoms or infection. The immune response may also include an antibody response which has been facilitated by the stimulation of helper T cells.

10

## II. Influenza Virus

### A. General

The etiological cause of influenza, the *Orthomyxoviridae* family of viruses, was first discovered in pigs by Richard Shope in 1931. This discovery was shortly  
15 followed by the isolation of the virus from humans by a group headed by Patrick Laidlaw at the Medical Research Council of the United Kingdom in 1933. However, it was not until Wendell Stanley first crystallized tobacco mosaic virus in 1935 that the non-cellular nature of viruses was appreciated.

The first significant step towards preventing influenza was the development in  
20 1944 of a killed-virus vaccine for influenza by Thomas Francis, Jr. This built on work by Australian Frank Macfarlane Burnet, who showed that the virus lost virulence when it was cultured in fertilized hen’s eggs. Application of this observation by Francis allowed his group of researchers at the University of Michigan to develop the first influenza vaccine, with support from the U.S. Army. The Army was deeply  
25 involved in this research due to its experience of influenza in World War I, when thousands of troops were killed by the virus in a matter of months.

Although there were scares in the State of New Jersey in 1976 (with the Swine Flu), worldwide in 1977 (with the Russian Flu), and in Hong Kong and other Asian countries in 1997 (with H5N1 avian influenza), there have been no major pandemics  
30 since the 1968 Hong Kong Flu. Immunity to previous pandemic influenza strains and vaccination may have limited the spread of the virus and may have helped prevent further pandemics.

The influenza virus is an RNA virus of the family *Orthomyxoviridae*, which comprises five genera: Influenzavirus A, Influenzavirus B, Influenzavirus C, Isavirus

and Thogotovirus. The Influenzavirus A genus has one species, influenza A virus. Wild aquatic birds are the natural hosts for a large variety of influenza A. Occasionally, viruses are transmitted to other species and may then cause devastating outbreaks in domestic poultry or give rise to human influenza pandemics. The type A  
5 viruses are the most virulent human pathogens among the three influenza types and cause the most severe disease. The influenza A virus can be subdivided into different serotypes based on the antibody response to these viruses. The serotypes that have been confirmed in humans, ordered by the number of known human pandemic deaths, are:

- 10                   • H1N1, which caused Spanish flu in 1918 and has been identified as the serotype of the 2009 outbreak of swine flu originating from Mexico
- H2N2, which caused Asian Flu in 1957
- H3N2, which caused Hong Kong Flu in 1968
- 15                   • H5N1, a pandemic threat in the 2007–08 flu season
- H7N7, which has unusual zoonotic potential
- H1N2, endemic in humans and pigs
- H9N2
- H7N2
- 20                   • H7N3
- H10N7

Influenza viruses bind to cells through sialic acid sugars on the surfaces of epithelial cells; typically in the nose, throat and lungs of mammals and intestines of birds. The cell imports the virus by endocytosis. In the acidic endosome, part of the  
25 viral hemagglutinin protein fuses the viral envelope with the vacuole's membrane, releasing the viral RNA (vRNA) molecules, accessory proteins and RNA-dependent RNA polymerase into the cytoplasm. These proteins and vRNA form a complex that is transported into the cell nucleus, where the RNA-dependent RNA polymerase begins transcribing complementary positive-sense vRNA. The vRNA is either  
30 exported into the cytoplasm and translated, or remains in the nucleus. Newly-synthesised viral proteins are either secreted through the Golgi apparatus onto the cell surface or transported back into the nucleus to bind vRNA and form new viral genome particles. Other viral proteins have multiple actions in the host cell, including

degrading cellular mRNA and using the released nucleotides for vRNA synthesis and also inhibiting translation of host-cell mRNAs.

Negative-sense vRNAs that form the genomes of future viruses, RNA-dependent RNA polymerase, and other viral proteins are assembled into a virion.

5 Hemagglutinin and neuraminidase molecules cluster into a bulge in the cell membrane. The vRNA and viral core proteins leave the nucleus and enter this membrane protrusion. The mature virus buds off from the cell in a sphere of host phospholipid membrane, acquiring hemagglutinin and neuraminidase with this membrane coat. As before, the viruses adhere to the cell through hemagglutinin; the  
10 mature viruses detach once their neuraminidase has cleaved sialic acid residues from the host cell. After the release of new influenza viruses, the host cell dies.

Because of the absence of RNA proofreading enzymes, the RNA-dependent RNA polymerase makes a single nucleotide insertion error roughly every 10 thousand nucleotides, which is the approximate length of the influenza vRNA. Hence, the  
15 majority of newly-manufactured influenza viruses are mutants, causing “antigenic drift.” The separation of the genome into eight separate segments of vRNA allows mixing or reassortment of vRNAs if more than one viral line has infected a single cell. The resulting rapid change in viral genetics produces antigenic shifts and allows the virus to infect new host species and quickly overcome protective immunity.

## 20 **B. The 1918 “Spanish” Flu**

The 1918 flu pandemic, commonly referred to as the Spanish Flu, was an influenza pandemic that spread to nearly every part of the world. It was caused by an unusually virulent and deadly Influenza A virus strain of subtype H1N1. Historical and epidemiological data are inadequate to identify the geographic origin of the virus.  
25 Most of its victims were healthy young adults, in contrast to most influenza outbreaks which predominantly affect juvenile, elderly, or otherwise weakened patients. The pandemic lasted from March 1918 to June 1920, spreading even to the Arctic and remote Pacific islands. It is estimated that anywhere from 20 to 100 million people were killed worldwide, or the approximate equivalent of one third of the population of  
30 Europe, more than double the number killed in World War I. This extraordinary toll resulted from the extremely high illness rate of up to 50% and the extreme severity of the symptoms, suspected to be caused by cytokine storms. The pandemic is estimated to have affected up to one billion people - half the world’s population at the time.

Scientists have used tissue samples from frozen victims to reproduce the virus for study. Among the conclusions of this research is that the virus kills via a cytokine storm, an overreaction of the body's immune system, which explains its unusually severe nature and the concentrated age profile of its victims. The strong immune systems of young adults ravaged the body, whereas the weaker immune systems of children and middle-aged adults caused fewer deaths.

The global mortality rate from the 1918/1919 pandemic is not known, but is estimated at 2.5 to 5% of those who were infected died. Note this does not mean that 2.5-5% of the human population died; with 20% or more of the world population suffering from the disease to some extent, a case-fatality ratio this high would mean that about 0.5-1% ( $\approx$ 50 million) of the whole population died. Influenza may have killed as many as 25 million in its first 25 weeks. Older estimates say it killed 40–50 million people while current estimates say 50 million to 100 million people worldwide were killed. This pandemic has been described as “the greatest medical holocaust in history” and may have killed more people than the Black Death.

As many as 17 million died in India, about 5% of India's population at the time. In Japan, 23 million persons were affected, and 390,000 died. In the U.S., about 28% of the population suffered, and 500,000 to 675,000 died. In Britain as many as 250,000 died; in France more than 400,000. In Canada approximately 50,000 died. Entire villages perished in Alaska and southern Africa. Estimates for the fatalities in the capital city, Addis Ababa, range from 5,000 to 10,000, with some experts opining that the number was even higher, while in British Somaliland one official there estimated that 7% of the native population died from influenza. In Australia an estimated 12,000 people died and in the Fiji Islands, 14% of the population died during only two weeks, and in Western Samoa 22%.

This huge death toll was caused by an extremely high infection rate of up to 50% and the extreme severity of the symptoms, suspected to be caused by cytokine storms. Indeed, symptoms in 1918 were so unusual that initially influenza was misdiagnosed as dengue, cholera, or typhoid. One observer wrote, “One of the most striking of the complications was hemorrhage from mucous membranes, especially from the nose, stomach, and intestine. Bleeding from the ears and petechial hemorrhages in the skin also occurred.” The majority of deaths were from bacterial pneumonia, a secondary infection caused by influenza, but the virus also killed people directly, causing massive hemorrhages and edema in the lung.

The unusually severe disease killed between 2 and 20% of those infected, as opposed to the more usual flu epidemic mortality rate of 0.1%. Another unusual feature of this pandemic was that it mostly killed young adults, with 99% of pandemic influenza deaths occurring in people under 65, and more than half in young adults 5 to 40 years old. This is unusual since influenza is normally most deadly to the very young (under age 2) and the very old (over age 70), and may have been due to partial protection caused by exposure to a previous Russian flu pandemic of 1889. Another oddity was that this influenza outbreak was widespread in summer and fall (in the Northern Hemisphere). Typically, influenza is worse in the winter months.

10 People without symptoms could be stricken suddenly and within hours be too weak to walk; many died the next day. Symptoms included a blue tint to the face and coughing up blood caused by severe obstruction of the lungs. In some cases, the virus caused an uncontrollable hemorrhaging that filled the lungs, and patients drowned in their body fluids (pneumonia). In others, the flu caused frequent loss of bowel control 15 and the victim would die from losing critical intestinal lining and blood loss.

In fast-progressing cases, mortality was primarily from pneumonia, by virus-induced consolidation. Slower-progressing cases featured secondary bacterial pneumonias, and there may have been neural involvement that led to mental disorders in a minority of cases. Some deaths resulted from malnourishment and even animal 20 attacks in overwhelmed communities.

One theory is that the virus strain originated at Fort Riley, Kansas, by two genetic mechanisms – genetic drift and antigenic shift – in viruses in poultry and swine which the fort bred for food; the soldiers were then sent from Fort Riley to different places around the world, where they spread the disease. However, evidence 25 from a recent reconstruction of the virus suggests that it jumped directly from birds to humans, without traveling through swine.

An effort to recreate the 1918 flu strain (a subtype of avian strain H1N1) was a collaboration among the Armed Forces Institute of Pathology, Southeast Poultry Research Laboratory and Mount Sinai School of Medicine in New York; the effort 30 resulted in the announcement (on October 5, 2005) that the group had successfully determined the virus's genetic sequence, using historic tissue samples recovered by pathologist Johan Hultin from a female flu victim buried in the Alaskan permafrost and samples preserved from American soldiers.

Kobasa *et al.* (2007) reported that monkeys (*Macaca fascicularis*) infected with the recreated strain exhibited classic symptoms of the 1918 pandemic and died from a cytokine storm – an overreaction of the immune system. This may explain why the 1918 flu had its surprising effect on younger, healthier people, as a person with a stronger immune system would potentially have a stronger overreaction. In December, 2008 research by Yoshihiro Kawaoka of University of Wisconsin linked the presence of three specific genes (termed PA, PB1, and PB2) and a nucleoprotein derived from 1918 flu samples to the ability of the flu virus to invade the lungs and cause pneumonia. The combination triggered similar symptoms in animal testing.

10

### C. The 2009 “Swine” Flu

The 2009 swine flu outbreak is an epidemic that began in April 2009 with a new strain of influenza virus. The new strain is commonly called swine flu, but some parties object to the name and it has also been referred to as Mexican flu, swine-origin influenza, North American influenza, and 2009 H1N1 flu. On April 30, 2009, the World Health Organization called it influenza A(H1N1). The outbreak is believed to have started in March 2009. Local outbreaks of an influenza-like illness were first detected in three areas of Mexico, but the virus responsible was not clinically identified as a new strain until April 24, 2009. Following the identification, its presence was soon confirmed in various Mexican states and in Mexico City. Within days, isolated cases (and suspected cases) were identified elsewhere in Mexico, the U.S., and several other Northern Hemisphere countries.

By April 28, 2009, the new strain was confirmed to have spread to Spain, the United Kingdom, New Zealand, and Israel, and the virus was suspected in many other nations, with a total of over 3,000 candidate cases, prompting the World Health Organization (WHO) to change its pandemic alert phase to “Phase 5,” which denotes “widespread human infection.” Despite the scale of the alert, the WHO stated on April 29, 2009 that the majority of people infected with the virus have made a full recovery without need of medical attention or anti-viral drugs. The common human H1N1 influenza virus affects millions of people every year according to the WHO, causing 250,000 and 500,000 deaths every year around the world. In industrialized countries, most of these deaths occur in those 65 or older.

In March and April 2009, over 3000 cases of suspected swine flu in humans were detected in Mexico and the southwestern United States. The disease was

detected in several countries on multiple continents within weeks of its initial discovery. The strain appears to be unusually lethal in Mexico but not in other countries. There have also been cases reported in the states of San Luis Potosí, Hidalgo, Querétaro and Mexico State. The Mexican fatalities are mainly young adults  
5 of 25 to 45, a common trait of pandemic flu.

The CDC has confirmed that U.S. cases were found to be made up of genetic elements from four different flu viruses – North American swine influenza, North American avian influenza, human influenza, and swine influenza virus typically found in Asia and Europe - “an unusually mongrelised mix of genetic sequences.” Pigs have  
10 been shown to act as a potential “mixing vessel” in which reassortment can occur between flu viruses of several species. This new strain appears to be a result of the reassortment of two swine influenza viruses, which themselves are descended from previous reassortments in pigs. Influenza viruses readily undergo reassortment because their genome is split between eight pieces of RNA (see *Orthomyxoviridae*).  
15 The virus was resistant to amantadine and rimantadine, but susceptible to oseltamivir (Tamiflu®) and zanamivir (Relenza®).

Gene sequences for every viral gene were made available through the Global Initiative on Sharing Avian Influenza Data (GISAID). Preliminary genetic characterization found that the hemagglutinin (HA) gene was similar to that of swine  
20 flu viruses present in U.S. pigs since 1999, but the neuraminidase (NA) and matrix protein (M) genes resembled versions present in European swine flu isolates. The six genes from American swine flu are themselves mixtures of swine flu, bird flu, and human flu viruses. While viruses with this genetic makeup had not previously been found to be circulating in humans or pigs, there is no formal national surveillance  
25 system to determine what viruses are circulating in pigs in the U.S. The seasonal influenza strain H1N1 vaccine is thought to be unlikely to provide protection.

The CDC has not fully explained why the U.S. cases were primarily mild disease while the Mexican cases had led to multiple deaths. However, research on previous pandemic strains has suggested that mortality can vary widely between  
30 different countries, with mortality being concentrated in the developing world. Differences in the viruses or co-infection are also being considered as possible causes. Of the fourteen initial samples from Mexico tested by the CDC, seven were found to match the American strain. The virus likely passes through several cycles of infection

with no known linkages between patients in Texas and California, and that containment of the virus is “not very likely.”

#### **D. Diagnosis**

5 Symptoms of influenza can start quite suddenly one to two days after infection. Usually the first symptoms are chills or a chilly sensation, but fever is also common early in the infection, with body temperatures ranging from 38-39°C (approximately 100-103°F). Many people are so ill that they are confined to bed for several days, with aches and pains throughout their bodies, which are worse in their  
10 backs and legs. Symptoms of influenza may include:

- Body aches, especially joints and throat
- Extreme coldness and fever
- Fatigue
- Headache
- 15 • Irritated watering eyes
- Reddened eyes, skin (especially face), mouth, throat and nose
- Abdominal pain (in children with influenza B)

It can be difficult to distinguish between the common cold and influenza in the early stages of these infections, but a flu can be identified by a high fever with a sudden  
20 onset and extreme fatigue. Diarrhea is not normally a symptom of influenza in adults, although it has been seen in some human cases of the H5N1 “bird flu” and can be a symptom in children.

Since anti-viral drugs are effective in treating influenza if given early, it can be important to identify cases early. Of the symptoms listed above, the combinations of  
25 fever with cough, sore throat and/or nasal conjection can improve diagnostic accuracy. Two decision analysis studies suggest that during local outbreaks of influenza, the prevalence will be over 70%, and thus patients with any of these combinations of symptoms may be treated with neuramidase inhibitors without testing. Even in the absence of a local outbreak, treatment may be justified in the  
30 elderly during the influenza season as long as the prevalence is over 15%.

The available laboratory tests for influenza continue to improve. The United States Centers for Disease Control and Prevention (CDC) maintains an up-to-date summary of available laboratory tests. According to the CDC, rapid diagnostic tests

have a sensitivity of 70–75% and specificity of 90–95% when compared with viral culture. These tests may be especially useful during the influenza season (prevalence = 25%) but in the absence of a local outbreak, or peri-influenza season (prevalence = 10%).

5           Influenza's effects are much more severe and last longer than those of the common cold. Most people will recover in about one to two weeks, but others will develop life-threatening complications (such as pneumonia).. Influenza, however, can be deadly, especially for the weak, old or chronically ill. The flu can worsen chronic health problems. People with emphysema, chronic bronchitis or asthma may  
10   experience shortness of breath while they have the flu, and influenza may cause worsening of coronary heart disease or congestive heart failure. Smoking is another risk factor associated with more serious disease and increased mortality from influenza.

          Common symptoms of the flu such as fever, headaches, and fatigue come  
15   from the huge amounts of proinflammatory cytokines and chemokines (such as interferon or tumor necrosis factor) produced from influenza-infected cells. In contrast to the rhinovirus that causes the common cold, influenza does cause tissue damage, so symptoms are not entirely due to the inflammatory response. This massive immune response can produce a life-threatening cytokine storm. This effect has been  
20   proposed to be the cause of the unusual lethality of both the H5N1 avian influenza, and the 1918 pandemic strain (see above).

          In some cases, an autoimmune response to an influenza infection may contribute to the development of Guillain-Barré syndrome. However, as many other infections can increase the risk of this disease, influenza may only be an important  
25   cause during epidemics. This syndrome can also be a rare side-effect of influenza vaccines, with an incidence of about one case per million vaccinations.

          People with the flu are advised to get plenty of rest, drink plenty of liquids, avoid using alcohol and tobacco and, if necessary, take medications such as paracetamol (acetaminophen) to relieve the fever and muscle aches associated with  
30   the flu. Children and teenagers with flu symptoms (particularly fever) should avoid taking aspirin during an influenza infection (especially influenza type B), because doing so can lead to Reye's syndrome, a rare but potentially fatal disease of the liver. Since influenza is caused by a virus, antibiotics have no effect on the infection; unless prescribed for secondary infections such as bacterial pneumonia, they may lead to

resistant bacteria. Anti-viral medication can be effective, but some strains of influenza can show resistance to the standard anti-viral drugs (see below).

### III. Influenza Peptides

#### 5 A. Influenza Virus Structural Proteins

As discussed above, the three major genera of influenza virus are Influenzavirus A, B and C. Influenzavirus A has one species, influenza A virus. Wild aquatic birds are the natural hosts for a large variety of influenza A. Occasionally, viruses are transmitted to other species and may then cause devastating outbreaks in domestic poultry or give rise to human influenza pandemics. The type A viruses are the most virulent human pathogens among the three influenza types and cause the most severe disease. The influenza A virus can be subdivided into different serotypes based on the antibody response to these viruses.

Influenzavirus B has one species, influenza B virus. Influenza B almost exclusively infects humans and is less common than influenza A. The only other animals known to be susceptible to influenza B infection are the seal and the ferret. This type of influenza mutates at a rate 2–3 times lower than type A and consequently is less genetically diverse, with only one influenza B serotype. As a result of this lack of antigenic diversity, a degree of immunity to influenza B is usually acquired at an early age. However, influenza B mutates enough that lasting immunity is not possible. This reduced rate of antigenic change, combined with its limited host range (inhibiting cross species antigenic shift), ensures that pandemics of influenza B do not occur.

Influenzavirus C has one species, influenza C virus, which infects humans, dogs and pigs, sometimes causing both severe illness and local epidemics. However, influenza C is less common than the other types and usually only causes mild disease in children.

Influenzaviruses A, B and C are very similar in overall structure. The virus particle is 80–120 nanometres in diameter and usually roughly spherical, although filamentous forms can occur. These filamentous forms are more common in influenza C, which can form cordlike structures up to 500 micrometres long on the surfaces of infected cells. However, despite these varied shapes, the viral particles of all influenza viruses are similar in composition. These are made of a viral envelope containing two

main types of glycoproteins, wrapped around a central core. The central core contains the viral RNA genome and other viral proteins that package and protect this RNA.

Unusually for a virus, its genome is not a single piece of nucleic acid; instead, it contains seven or eight pieces of segmented negative-sense RNA, each piece of  
 5 RNA contains either one or two genes. For example, the influenza A genome contains 11 genes on eight pieces of RNA, encoding for 11 proteins: hemagglutinin (HA), neuraminidase (NA), nucleoprotein (NP), M1, M2, NS1, NS2(NEP), PA, PB1, PB1-F2 and PB2.

Hemagglutinin (HA) and neuraminidase (NA) are the two large glycoproteins  
 10 on the outside of the viral particles. HA is a lectin that mediates binding of the virus to target cells and entry of the viral genome into the target cell, while NA is involved in the release of progeny virus from infected cells, by cleaving sugars that bind the mature viral particles. Thus, these proteins are targets for anti-viral drugs. Furthermore, they are antigens to which antibodies can be raised. Influenza A viruses  
 15 are classified into subtypes based on antibody responses to HA and NA. These different types of HA and NA form the basis of the *H* and *N* distinctions in, for example, *H5N1*. There are 16 H and 9 N subtypes known, but only H1, H2 and H3, and N1 and N2 are commonly found in humans.

## 20            **B.        Peptide Compositions**

As used herein, an “antigenic composition” comprises an influenza virus peptide antigen. Of particular interest here are peptides from the M1, M2 and NP molecules, and conserved epitopes therein. In particular embodiments, the antigenic composition comprises or encodes one or more peptides comprising one or more  
 25 sequences shown in SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7, SEQ ID NO:8, SEQ ID NO:9, SEQ ID NO:10, SEQ ID NO:11, SEQ ID NO:12, SEQ ID NO:13, SEQ ID NO:14, SEQ ID NO:15, SEQ ID NO:16, SEQ ID NO:17, SEQ ID NO:18, SEQ ID NO:19, SEQ ID NO:20, SEQ ID NO:21, SEQ ID NO:22, SEQ ID NO:23, SEQ ID NO:24, SEQ ID  
 30 NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31, SEQ ID NO:32, SEQ ID NO:33, SEQ ID NO:34, SEQ ID NO:35, SEQ ID NO:36, SEQ ID NO:37, SEQ ID NO:38, SEQ ID NO:39, SEQ ID NO:40, SEQ ID NO:41, SEQ ID NO:42, SEQ ID NO:43, SEQ ID NO:44, SEQ ID NO:45, SEQ ID NO:46, SEQ ID NO:47, SEQ ID NO:48, SEQ ID NO:50, and SEQ

ID NO:51, or an immunologically functional equivalent thereof. These sequences are shown in tabular form below in Tables 1-2.

**Table 1 - Conserved HLA class I binding epitopes from M1, M2 and NP\***

Influenza proteins	HLA class I	Prevalence of HLA subtypes (%)			Starting Position	Amino Acid Sequence	SEQ ID NO
		White	Black	Hisp			
						1 2 3 4 5 6 7 8 9	
<b>M1</b>	HLA-A*01	14.07	4.85	3.66	92	N <u>M</u> DRAV <u>K</u> LY	1
<b>M1</b>					36	N <u>T</u> DLEA <u>L</u> ME	2
<b>M1</b>	HLA-A*0201	45.8	30.3	54	58	GILGFVFTL	3
<b>M1</b>					51	I <u>L</u> SPL <u>T</u> KGI	4
<b>M1</b>					181	V <u>L</u> AST <u>T</u> AKA	5
<b>M1</b>					124	A <u>L</u> ASCM <u>G</u> LI	6
<b>M1</b>					59	I <u>L</u> GFV <u>F</u> TLT	7
<b>M1</b>	HLA-A*03	11.9	6.48	3.26	27	R <u>L</u> EDV <u>F</u> AGK	8
<b>M1</b>					49	R <u>P</u> I <u>L</u> S <u>P</u> LTK	9
<b>M1</b>	HLA-A*2402	16.8	8.8	26.7	31	V <u>F</u> AGKNTDL	10
<b>M1</b>					58	GILGFVFTL	3
<b>M1</b>	HLA-B*0702	17.7	15.5	11.8	89	D <u>P</u> NNMDRAV	11
<b>M1</b>					117	A <u>L</u> SYSTGAL	12
<b>M1</b>	HLA-B*08	18.1	6.3	9	45	W <u>L</u> KTRPILS	13
<b>M1</b>					31	V <u>F</u> AGKNTDL	10
<b>M1</b>	HLA-B*4402	19.7	10.5	17.4	43	M <u>E</u> WLKTRPI	14
<b>M1</b>					22	A <u>E</u> IAQRLED	15
<b>M2</b>	HLA-A*01	14.07	4.85	3.66	68	V <u>P</u> ESMREEY	16
<b>M2</b>					22	S <u>S</u> DPLVVA	17
<b>M2</b>	HLA-A*0201	45.8	30.3	54	27	VVAASIIGI	18
<b>M2</b>					22	S <u>S</u> DPLVVA	17
<b>M2</b>					60	KRGPS <del>T</del> EGV	19
<b>M2</b>					25	PLVVAASII	20
<b>M2</b>					58	GLKRGPS <del>T</del> E	21
<b>M2</b>	HLA-A*03	11.9	6.48	3.26	58	GLKRGPS <del>T</del> E	21
<b>M2</b>					25	PLVVAASII	20
<b>M2</b>	HLA-A*2402	16.8	8.8	26.7	24	DPLVVAASI	22
<b>M2</b>					27	VVAASIIGI	18
<b>M2</b>	HLA-B*0702	17.7	15.5	11.8	24	DPLVVAASI	22
<b>M2</b>					62	GPSTEGVPE	23
<b>M2</b>	HLA-B*08	18.1	6.3	9	58	GLKRGPS <del>T</del> E	21
<b>M2</b>					24	DPLVVAASI	22
<b>M2</b>	HLA-B*4402	19.7	10.5	17.4	27	VVAASIIGI	18
<b>M2</b>					24	DPLVVAASI	22
<b>NP</b>	HLA-A*01	14.1	4.85	3.66	2	ASQGTKRSY	24
<b>NP</b>					22	ATEIRASVG	25
<b>NP</b>	HLA-A*0201	45.8	30.3	54	158	GMDPRMCSL	26

NP					262	SALILRGSV	27
NP					225	ILKGKFQTA	28
NP					265	ILRGSVAHK	29
NP	HLA-A*03	11.9	6.48	3.26	265	ILRGSVAHK	29
NP					263	ALILRGSA	30
NP	HLA-B*0702	17.7	15.5	11.8	88	DPKKTGGPI	31
NP					473	NPIVPSFDM	32
NP	HLA-B*08	18.1	6.3	9	380	ELRSRYWAI	33
NP					225	ILKGKFQTA	28
NP	HLA-B*4402	19.7	10.5	17.4	114	EEIRRIWRQ	34
NP					146	ATYQRTRAL	35

\* - Total number of peptides in this pool is 35 (13 peptides predicted to bind to multiple HLA subtypes).

**Table 2 - Conserved HLA class II binding epitopes from M1, M2 and NP proteins\***

5

Influenza proteins	HLA class II	HLA Subtype Prevalence (%)			Starting Position	Amino Acid Sequence	SEQ ID NO
		white	Black	Hisp.			
M1	HLA_DQ7oDQB1s0301c.p.mtx	28.5	23.1	48	209	ARQMVQAMR	36
M1	HLA_DR1.p.mtx	18.5	8.4	10.1	239	AYQKRMGVQ	37
M1	HLA_DR1oDRB1s0101c.p.mtx	18.5	8.4	10.1	20	LKAEIAQRL	38
M1	HLA_DR3.p.mtx	17.7	19.5	14.4	20	LKAEIAQRL	38
M1	HLA_DR4.p.mtx	23.6	6.1	29.8	62	FVFTLTGPS	39
M1	HLA_DR7.p.mtx	26.2	11.1	16.6	77	RRFVQNALN	40
M1	HLA_DR8oDRB1s0801c.p.mtx	5.5	10.9	23.3	170	NPLIRHENR	41
M2	HLA_DR4oDRB1s0401c.p.mtx	23.6	6.1	29.8	26	LVVAASIIG	42
NP	HLA_DQ7oDQB1s0301c.p.mtx	28.1	23.1	48	376	IRPNENPAH	43
NP	HLA_DR1.p.mtx	18.5	8.4	10.1	99	FYIQMCTEL	44
NP	HLA_DR11oDRB1s1101c.p.m	17	18	18	445	YWAIRTRSG	45
NP	HLA_DR14.p.mtx	2.4	3.8	15.2	446	WAIRTRSGG	46
NP	HLA_DR15oDRB1s1501c.p.mtx	19.9	14.8	15	546	SYFFGDNAE	47
NP	HLA_DR1oDRB1s0101c.p.mtx	18.5	8.4	10.1	445	YWAIRTRSG	45
NP	HLA_DR3.p.mtx	17.7	19.5	14.4	374	SLIRPNENP	48
NP	HLA_DR4oDRB1s0401c.p.mtx	23.6	6.1	29.8	445	YWAIRTRSG	45
NP	HLA_DR7.p.mtx	26.2	11.1	16.6	207	TYQRTRALV	49
NP	HLA_DR7oDRB1s0701c.p.mtx	26.2	11.1	16.6	208	YQRTRALVR	50
NP	HLA_DR8oDRB1s0801c.p.mtx	5.5	10.9	23.3	177	RRIWRQANN	51

\* - Total number of peptides in this pool is 16 (3 peptides predicted to bind to multiple HLA subtypes).

As used herein, an “amino acid” or “amino acid residue” refers to any naturally-occurring amino acid, any amino acid derivative or any amino acid mimic known in the art, including modified or unusual amino acids. In certain embodiments, the natural residues of the peptide are sequential, without any non-amino acid interrupting the sequence of natural amino acid residues. In other embodiments, the sequence may comprise one or more non-natural amino acid moieties.

The peptides of the present invention can be synthesized in solution or on a solid support in accordance with conventional techniques. Various automatic synthesizers are commercially available and can be used in accordance with known protocols. See, for example, Stewart and Young (1984); Tam *et al.* (1983); Merrifield (1986); and Barany and Merrifield (1979), Houghten *et al.* (1985). In some embodiments, peptide synthesis is contemplated by using automated peptide synthesis machines, such as those available from Applied Biosystems (Foster City, CA). The peptides of the present invention may be isolated and extensively dialyzed to remove undesired small molecular weight molecules and/or lyophilized for more ready formulation into a desired vehicle.

The term “peptide” is used interchangeably with “oligopeptide” in the present specification to designate a series of residues, typically L-amino acids, connected one to the other, typically by peptide bonds between the  $\alpha$ -amino and carboxyl groups of adjacent amino acids. Particular T cell-inducing oligopeptides of the invention are 15 residues or less in length and usually consist of between about 8 and about 13 residues, particularly 9 to 11 residues. Specific lengths of 9, 10, 11, 12, 13, 14 and 15 residues are contemplated.

An “immunogenic peptide” or “peptide epitope” is a peptide which comprises an allele-specific motif or supermotif such that the peptide will bind an HLA molecule and induce a T cell response. Thus, immunogenic peptides of the invention are capable of binding to an appropriate HLA molecule and thereafter inducing a T cell response to the antigen from which the immunogenic peptide is derived.

Modified or unusual amino acid include, but are not limited to, those shown on Table 3 below.

**Table 3 - Modified and Unusual Amino Acids**

<b>Abbr.</b>	<b>Amino Acid</b>	<b>Abbr.</b>	<b>Amino Acid</b>
Aad	2-Aminoadipic acid	EtAsn	N-Ethylasparagine
Baad	3- Aminoadipic acid	Hyl	Hydroxylysine
Bala	2-alanine, -Amino-propionic acid	Ahyl	Allo-Hydroxylysine
Abu	2-Aminobutyric acid	3Hyp	3-Hydroxyproline
4Abu	4- Aminobutyric acid, piperidinic acid	4Hyp	4-Hydroxyproline
Acp	6-Aminocaproic acid	Ide	Isodesmosine
Ahe	2-Aminoheptanoic acid	Aile	Allo-Isoleucine
Aib	2-Aminoisobutyric acid	MeGly	N-Methylglycine, sarcosine
Baib	3-Aminoisobutyric acid	Melle	N-Methylisoleucine
Apm	2-Aminopimelic acid	MeLys	6-N-Methyllysine
Dbu	2,4-Diaminobutyric acid	MeVal	N-Methylvaline
Des	Desmosine	Nva	Norvaline
Dpm	2,2'-Diaminopimelic acid	Nle	Norleucine
Dpr	2,3-Diaminopropionic acid	Orn	Ornithine
EtGly	N-Ethylglycine		

As used herein, the term "biocompatible" refers to a substance which produces no significant untoward effects when applied to, or administered to, a given organism according to the methods and amounts described herein. Such untoward or undesirable effects are those such as significant toxicity or adverse immunological reactions. In particular embodiments, biocompatible protein, polypeptide or peptide containing compositions will generally be mammalian proteins or peptides or synthetic proteins or peptides each essentially free from toxins, pathogens and harmful immunogens.

### **C. Variants**

The present invention also contemplates modification of the peptides shown in Tables 1 and 2. Such peptide "variants" may include additional residues, such as additional N- or C-terminal amino acids, or altered/substituted/modified amino acids, and yet still comprise one of the sequences disclosed herein, so long as the sequence meets the criteria set forth above, including the maintenance of biological activity.

The following is a discussion based upon changing the amino acids of a peptide to create a variant peptide. In making such changes, the hydropathic index of amino acids may be considered. The importance of the hydropathic amino acid index in conferring interactive biologic function on a protein is generally understood in the art (Kyte and Doolittle, 1982). It is accepted that the relative hydropathic character of the amino acid contributes to the secondary structure of the resultant protein, which in turn defines the interaction of the protein with other molecules, for example, enzymes, substrates, receptors, DNA, antibodies, antigens, and the like.

It also is understood in the art that the substitution of like amino acids can be made effectively on the basis of hydrophilicity. U.S. Patent 4,554,101, incorporated herein by reference, states that the greatest local average hydrophilicity of a protein, as governed by the hydrophilicity of its adjacent amino acids, correlates with a biological property of the protein. As detailed in U.S. Patent 4,554,101, the following hydrophilicity values have been assigned to amino acid residues: basic amino acids: arginine (+3.0), lysine (+3.0), and histidine (-0.5); acidic amino acids: aspartate (+3.0  $\pm$  1), glutamate (+3.0  $\pm$  1), asparagine (+0.2), and glutamine (+0.2); hydrophilic, nonionic amino acids: serine (+0.3), asparagine (+0.2), glutamine (+0.2), and threonine (-0.4), sulfur containing amino acids: cysteine (-1.0) and methionine (-1.3); hydrophobic, nonaromatic amino acids: valine (-1.5), leucine (-1.8), isoleucine (-1.8), proline (-0.5  $\pm$  1), alanine (-0.5), and glycine (0); hydrophobic, aromatic amino acids: tryptophan (-3.4), phenylalanine (-2.5), and tyrosine (-2.3).

It is understood that an amino acid can be substituted for another having a similar hydrophilicity and produce a biologically or immunologically modified protein. In such changes, the substitution of amino acids whose hydrophilicity values are within  $\pm$  2 is preferred, those that are within  $\pm$  1 are particularly preferred, and those within  $\pm$  0.5 are even more particularly preferred.

As outlined above, amino acid substitutions generally are based on the relative similarity of the amino acid side-chain substituents, for example, their hydrophobicity, hydrophilicity, charge, size, and the like. Exemplary substitutions that take into consideration the various foregoing characteristics are well known to those of skill in the art and include: arginine and lysine; glutamate and aspartate; serine and threonine; glutamine and asparagine; and valine, leucine and isoleucine.

A specialized kind of insertional variant is the fusion protein. This molecule generally has all or a substantial portion of the native molecule, linked at the N- or C-

terminus, to all or a portion of a second peptide or polypeptide. In particular, embodiments where multiple peptides of the present invention (SEQ ID NOS:1-51) are linked in a “head-to-tail” fashion to create a polypeptide molecule, *i.e.*, an epitope multimer. The peptides may be linked to each directly through peptide bonds, or they  
5 may be separated by peptide “spacers,” or they may be attached using non-peptide or peptoid “linker,” which are well known in the art. In addition, inclusion of a cleavage site at or near the fusion junction or linker will facilitate removal or release of other peptide sequences. Other useful fusions include linking of functional domains, such as active sites from enzymes such as a hydrolase, glycosylation domains, cellular  
10 targeting signals or transmembrane regions.

#### **D. Peptide Purification**

In certain embodiments the peptides of the present invention may be purified. The term “purified peptide” as used herein, is intended to refer to a composition, isolatable from other components, wherein the protein or peptide is purified to any  
15 degree relative to its naturally-obtainable state. A purified protein or peptide therefore also refers to a protein or peptide, free from the environment in which it may naturally occur.

Generally, “purified” will refer to a peptide composition that has been subjected to fractionation to remove various other components, and which  
20 composition substantially retains its expressed biological activity. Where the term “substantially purified” is used, this designation will refer to a composition in which the protein or peptide forms the major component of the composition, such as constituting about 50%, about 60%, about 70%, about 80%, about 90%, about 95% or more of the proteins in the composition.

Protein/peptide purification techniques are well known to those of skill in the art. These techniques involve, at one level, the crude fractionation of the cellular milieu to polypeptide and non-polypeptide fractions. Having separated the polypeptide from other proteins, the polypeptide of interest may be further purified using chromatographic and electrophoretic techniques to achieve partial or complete  
25 purification (or purification to homogeneity). Analytical methods particularly suited to the preparation of a pure peptide are ion-exchange chromatography, exclusion chromatography; polyacrylamide gel electrophoresis; isoelectric focusing. Other methods for protein purification include, precipitation with ammonium sulfate, PEG,  
30

antibodies and the like or by heat denaturation, followed by centrifugation; gel filtration, reverse phase, hydroxylapatite and affinity chromatography; and combinations of such and other techniques.

5 In purifying a tumor-associated HLA-restricted peptide of the present invention, it may be desirable to express the polypeptide in a prokaryotic or eukaryotic expression system and extract the protein using denaturing conditions. The polypeptide may be purified from other cellular components using an affinity column, which binds to a tagged portion of the polypeptide. Although this preparation will be purified in an inactive form, the denatured material will still be  
10 capable of transducing cells. Once inside of the target cell or tissue, it is generally accepted that the polypeptide will regain full biological activity.

As is generally known in the art, it is believed that the order of conducting the various purification steps may be changed, or that certain steps may be omitted, and still result in a suitable method for the preparation of a substantially purified protein  
15 or peptide.

Various methods for quantifying the degree of purification of the protein or peptide will be known to those of skill in the art in light of the present disclosure. These include, for example, determining the specific activity of an active fraction, or assessing the amount of polypeptides within a fraction by SDS/PAGE analysis.  
20 Another method for assessing the purity of a fraction is to calculate the specific activity of the fraction, to compare it to the specific activity of the initial extract, and to thus calculate the degree of purity, herein assessed by a “-fold purification number.” The actual units used to represent the amount of activity will, of course, be dependent upon the particular assay technique chosen to follow the purification and  
25 whether or not the expressed protein or peptide exhibits a detectable activity.

It is known that the migration of a polypeptide can vary, sometimes significantly, with different conditions of SDS/PAGE (Capaldi *et al.*, 1977). It will therefore be appreciated that under differing electrophoresis conditions, the apparent molecular weights of purified or partially purified expression products may vary.

#### 30 IV. Vaccine Protocols and Formulations

In an embodiment of the present invention, a method of treatment and prevention of influenza by the delivery of a peptide or peptide composition is contemplated. An effective amount of the vaccine composition, generally, is defined

as that amount sufficient to detectably and repeatedly ameliorate, reduce, minimize or limit the extent of the disease or condition or symptoms thereof. More rigorous definitions may apply, including elimination, eradication or cure of disease.

5                   **A.     Administration**

The peptides of the present invention may be used *in vivo* to produce anti-influenza virus immune response, and thus constitute therapeutic and prophylactic vaccines. Thus, the peptides can be formulated for parenteral administration, *e.g.*, formulated for injection *via* the intradermal, intravenous, intramuscular, subcutaneous, or intraperitoneal routes. Administration by the intradermal and intramuscular routes are specifically contemplated. The vaccine can also be administered by a topical route directly to the mucosa, for example by nasal drops or mist, inhalation, or by nebulizer.

Some variation in dosage and regimen will necessarily occur depending on the age and medical condition of the subject being treated, as well as the route chosen. The person responsible for administration will, in any event, determine the appropriate dose for the individual subject. In many instances, it will be desirable to have multiple administrations of the vaccine. Thus, the compositions of the invention may be administered 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, or more times. The administrations will normally be at from one to twelve week intervals, more usually from one to six week intervals. Periodic re-administration will be desirable with recurrent exposure to the pathogen.

The administration may use various "unit doses." Unit dose is defined as containing a predetermined-quantity of the therapeutic composition. The quantity to be administered, and the particular route and formulation, are within the skill of those in the clinical arts.

**B.     Measuring Immune Responses**

One of ordinary skill would know various assays to determine whether an immune response against a peptide was generated. The phrase "immune response" includes both cellular and humoral immune responses. Various B lymphocyte and T lymphocyte assays are well known, such as ELISAs, cytotoxic T lymphocyte (CTL) assays, such as chromium release assays, proliferation assays using peripheral blood

lymphocytes (PBL), tetramer assays, and cytokine production assays. *See* Benjamini *et al.* (1991), hereby incorporated by reference.

### C. Injectable Formulations

One method for the delivery of a pharmaceutical according to the present invention is via injection. However, the pharmaceutical compositions disclosed herein may alternatively be administered intravenously, intradermally, intramuscularly, or even intraperitoneally as described in U.S. Patent 5,543,158; U.S. Patent 5,641,515 and U.S. Patent 5,399,363 (each specifically incorporated herein by reference in its entirety).

Injection may be by syringe or any other method used for injection of a solution, as long as the agent can pass through the particular gauge of needle required for injection. A novel needleless injection system has been described (U.S. Patent 5,846,233) having a nozzle defining an ampule chamber for holding the solution and an energy device for pushing the solution out of the nozzle to the site of delivery.

Solutions of the active compounds as free base or pharmacologically acceptable salts may be prepared in water suitably mixed with a surfactant, such as hydroxypropylcellulose. Dispersions may also be prepared in glycerol, liquid polyethylene glycols, and mixtures thereof and in oils. Under ordinary conditions of storage and use, these preparations contain a preservative to prevent the growth of microorganisms. The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions (U.S. Patent 5,466,468, specifically incorporated herein by reference in its entirety). In all cases, the form must be sterile and must be fluid to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms, such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (*e.g.*, glycerol, propylene glycol, and liquid polyethylene glycol, and the like), suitable mixtures thereof, and/or vegetable oils. Proper fluidity may be maintained, for example, by the use of a coating, such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants.

The prevention of the action of microorganisms can be brought about by various antibacterial and antifungal agents, for example, parabens, chlorobutanol,

phenol, sorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate and gelatin. Sterile aqueous media that can be employed will be known to those of skill in the art in light of the present disclosure. For example, one dosage may be dissolved in 1 ml of isotonic NaCl solution and either added to 1000 ml of hypodermolysis fluid or injected at the proposed site of infusion, (see for example, "Remington's Pharmaceutical Sciences" 15th Edition, pages 1035-1038 and 1570-1580). Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person responsible for administration will, in any event, determine the appropriate dose for the individual subject. Moreover, for human administration, preparations should meet sterility, pyrogenicity, general safety and purity standards as required by FDA Office of Biologics standards.

Sterile injectable solutions are prepared by incorporating the active compounds in the required amount in the appropriate solvent with various of the other ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum-drying and freeze-drying techniques which yield a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof.

The compositions disclosed herein may be formulated in a neutral or salt form. Pharmaceutically-acceptable salts, include the acid addition salts (formed with the free amino groups of the protein) and which are formed with inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as acetic, oxalic, tartaric, mandelic, and the like. Salts formed with the free carboxyl groups can also be derived from inorganic bases such as, for example, sodium, potassium, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, histidine, procaine and the like. Upon formulation, solutions will be administered in a manner compatible with the dosage formulation and in such amount

as is therapeutically effective. The formulations are easily administered in a variety of dosage forms such as injectable solutions, drug release capsules and the like.

As used herein, "carrier" includes any and all solvents, dispersion media, vehicles, diluents, antibacterial and antifungal agents, isotonic and absorption  
5 delaying agents, buffers, carrier solutions, suspensions, colloids, and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Supplementary active ingredients can also be incorporated into the compositions.

The phrase "pharmaceutically-acceptable" or "pharmacologically-acceptable" refers to molecular entities and compositions that do not produce an allergic or similar  
10 untoward reaction when administered to a human. The preparation of an aqueous injectable composition that contains a protein as an active ingredient is well understood in the art.

#### **D. Inhalable or Aerosol Formulations**

15 A particular mode of administration contemplated by the inventor for the peptides of the present invention is via inhalation and/or administration to the nasal mucosa, *i.e.*, intranasal administration. A variety of commercial vaccines (influenza, measles) are currently administered using a nasal mist formulation. The methods of the present invention can be carried out using a delivery similar to that used with the  
20 Flu-Mist<sup>®</sup> product, which employs the BD AccuSpray<sup>®</sup> System (Becton Dickinson). Also useful for this route are nebulizers, such as jet nebulizers and ultrasonic nebulizers.

#### **E. Additional Vaccine Components**

25 In other embodiments of the invention, the antigenic composition may comprise an additional immunostimulatory agent. Immunostimulatory agents include but are not limited to an additional antigens, an immunomodulator, an antigen presenting cell or an adjuvant. In other embodiments, one or more of the additional agent(s) is covalently bonded to the antigen or an immunostimulatory agent, in any  
30 combination.

##### **i. Adjuvants**

As also well known in the art, the immunogenicity of a particular immunogen composition can be enhanced by the use of non-specific stimulators of the immune

response, known as adjuvants. Adjuvants have been used experimentally to promote a generalized increase in immunity against unknown antigens (*e.g.*, U.S. Patent 4,877,611). Immunization protocols have used adjuvants to stimulate responses for many years, and as such adjuvants are well known to one of ordinary skill in the art.

5 Some adjuvants affect the way in which antigens are presented. For example, the immune response is increased when protein antigens are precipitated by alum. Emulsification of antigens also prolongs the duration of antigen presentation. Suitable molecule adjuvants include all acceptable immunostimulatory compounds, such as cytokines, toxins or synthetic compositions.

10 Exemplary, often preferred adjuvants include complete Freund's adjuvant (a non-specific stimulator of the immune response containing killed *Mycobacterium tuberculosis*), incomplete Freund's adjuvants and aluminum hydroxide adjuvant. Other adjuvants that may also be used include IL-1, IL-2, IL-4, IL-7, IL-12,  $\gamma$ -interferon, BCG, aluminum hydroxide, MDP compounds, such as thur-MDP and nor-MDP, CGP (MTP-PE), lipid A, and monophosphoryl lipid A (MPL). RIBI, which  
15 contains three components extracted from bacteria, MPL, trehalose dimycolate (TDM) and cell wall skeleton (CWS) in a 2% squalene/Tween 80 emulsion also is contemplated. MHC antigens may even be used.

In one aspect, an adjuvant effect is achieved by use of an agent, such as alum, used in about 0.05 to about 0.1% solution in phosphate buffered saline. Alternatively,  
20 the antigen is made as an admixture with synthetic polymers of sugars (Carbopol<sup>®</sup>) used as an about 0.25% solution. Adjuvant effect may also be made by aggregation of the antigen in the vaccine by heat treatment with temperatures ranging between about 70° to about 101°C for a 30 second to 2-minute period, respectively.  
25 Aggregation by reactivating with pepsin-treated (Fab) antibodies to albumin, mixture with bacterial cell(s) such as *C. parvum*, an endotoxin or a lipopolysaccharide component of Gram-negative bacteria, emulsion in physiologically acceptable oil vehicles, such as mannide mono-oleate (Aracel A), or emulsion with a 20% solution of a perfluorocarbon (Fluosol-DA<sup>®</sup>) used as a block substitute, also may be employed.

30 Some adjuvants, for example, certain organic molecules obtained from bacteria, act on the host rather than on the antigen. An example is muramyl dipeptide (N-acetylmuramyl-L-alanyl-D-isoglutamine [MDP]), a bacterial peptidoglycan. The effects of MDP, as with most adjuvants, are not fully understood. MDP stimulates

macrophages but also appears to stimulate B cells directly. The effects of adjuvants, therefore, are not antigen-specific. If they are administered together with a purified antigen, however, they can be used to selectively promote the response to the antigen.

In certain embodiments, hemocyanins and hemoerythrins may also be used in  
5 the invention. The use of hemocyanin from keyhole limpet (KLH) is preferred in certain embodiments, although other molluscan and arthropod hemocyanins and hemoerythrins may be employed.

Various polysaccharide adjuvants may also be used. For example, the use of various pneumococcal polysaccharide adjuvants on the antibody responses of mice  
10 has been described (Yin *et al.*, 1989). The doses that produce optimal responses, or that otherwise do not produce suppression, should be employed as indicated (Yin *et al.*, 1989). Polyamine varieties of polysaccharides are particularly preferred, such as chitin and chitosan, including deacetylated chitin.

Another group of adjuvants are the muramyl dipeptide (MDP, N-  
15 acetylmuramyl-L-alanyl-D-isoglutamine) group of bacterial peptidoglycans. Derivatives of muramyl dipeptide, such as the amino acid derivative threonyl-MDP, and the fatty acid derivative MTPPE, are also contemplated.

U.S. Patent 4,950,645 describes a lipophilic disaccharide-tripeptide derivative of muramyl dipeptide which is described for use in artificial liposomes formed from  
20 phosphatidyl choline and phosphatidyl glycerol. It is to be effective in activating human monocytes and destroying tumor cells, but is non-toxic in generally high doses. The compounds of U.S. Patent 4,950,645 and PCT Patent Application WO 91/16347, are contemplated for use with cellular carriers and other embodiments of the present invention.

25 BCG (bacillus Calmette-Guerin, an attenuated strain of *Mycobacterium*) and BCG-cell wall skeleton (CWS) may also be used as adjuvants, with or without trehalose dimycolate. Trehalose dimycolate may be used itself. Trehalose dimycolate administration has been shown to correlate with augmented resistance to influenza virus infection in mice (Azuma *et al.*, 1988). Trehalose dimycolate may be prepared  
30 as described in U.S. Patent 4,579,945. BCG is an important clinical tool because of its immunostimulatory properties. BCG acts to stimulate the reticulo-endothelial system, activates natural killer cells and increases proliferation of hematopoietic stem cells. Cell wall extracts of BCG have proven to have excellent immune adjuvant activity. Molecular genetic tools and methods for mycobacteria have provided the

means to introduce foreign genes into BCG (Jacobs *et al.*, 1987; Snapper *et al.*, 1988; Husson *et al.*, 1990; Martin *et al.*, 1990). Live BCG is an effective and safe vaccine used worldwide to prevent tuberculosis. BCG and other mycobacteria are highly effective adjuvants, and the immune response to mycobacteria has been studied  
5 extensively. With nearly 2 billion immunizations, BCG has a long record of safe use in man (Luelmo, 1982; Lotte *et al.*, 1984). It is one of the few vaccines that can be given at birth, it engenders long-lived immune responses with only a single dose, and there is a worldwide distribution network with experience in BCG vaccination. An exemplary BCG vaccine is sold as TICE BCG (Organon Inc., West Orange, NJ).

10 Amphipathic and surface active agents, *e.g.*, saponin and derivatives such as QS21 (Cambridge Biotech), form yet another group of adjuvants for use with the immunogens of the present invention. Nonionic block copolymer surfactants (Rabinovich *et al.*, 1994) may also be employed. Oligonucleotides are another useful group of adjuvants (Yamamoto *et al.*, 1988). Quil A and lentinen are other adjuvants  
15 that may be used in certain embodiments of the present invention.

Another group of adjuvants are the detoxified endotoxins, such as the refined detoxified endotoxin of U.S. Patent 4,866,034. These refined detoxified endotoxins are effective in producing adjuvant responses in mammals. Of course, the detoxified endotoxins may be combined with other adjuvants to prepare multi-adjuvant-  
20 incorporated cells. For example, combination of detoxified endotoxins with trehalose dimycolate is particularly contemplated, as described in U.S. Patent 4,435,386. Combinations of detoxified endotoxins with trehalose dimycolate and endotoxic glycolipids is also contemplated (U.S. Patent 4,505,899), as is combination of detoxified endotoxins with cell wall skeleton (CWS) or CWS and trehalose  
25 dimycolate, as described in U.S. Patents 4,436,727, 4,436,728 and 4,505,900. Combinations of just CWS and trehalose dimycolate, without detoxified endotoxins, is also envisioned to be useful, as described in U.S. Patent 4,520,019.

Those of skill in the art will know the different kinds of adjuvants that can be conjugated to cellular vaccines in accordance with this invention and these include  
30 alkyl lysophospholipids (ALP); BCG; and biotin (including biotinylated derivatives) among others. Certain adjuvants particularly contemplated for use are the teichoic acids from Gram-cells. These include the lipoteichoic acids (LTA), ribitol teichoic acids (RTA) and glycerol teichoic acid (GTA). Active forms of their synthetic

counterparts may also be employed in connection with the invention (Takada *et al.*, 1995).

Various adjuvants, even those that are not commonly used in humans, may still be employed in animals, where, for example, one desires to raise antibodies or to subsequently obtain activated T cells. The toxicity or other adverse effects that may result from either the adjuvant or the cells, *e.g.*, as may occur using non-irradiated tumor cells, is irrelevant in such circumstances.

Adjuvants may be encoded by a nucleic acid (*e.g.*, DNA or RNA). It is contemplated that such adjuvants may be also be encoded in a nucleic acid (*e.g.*, an expression vector) encoding the antigen, or in a separate vector or other construct. Nucleic acids encoding the adjuvants can be delivered directly, such as for example with lipids or liposomes.

## **ii. Biological Response Modifiers**

In addition to adjuvants, it may be desirable to coadminister biologic response modifiers (BRM), which have been shown to upregulate T cell immunity or downregulate suppressor cell activity. Such BRMs include, but are not limited to, Cimetidine (CIM; 1200 mg/d) (Smith/Kline, PA); low-dose Cyclophosphamide (CYP; 300 mg/m<sup>2</sup>) (Johnson/ Mead, NJ), cytokines such as  $\gamma$ -interferon, IL-2, or IL-12 or genes encoding proteins involved in immune helper functions, such as B-7.

## **iii. Chemokines**

Chemokines, nucleic acids that encode for chemokines, and/or cells that express such also may be used as vaccine components. Chemokines generally act as chemoattractants to recruit immune effector cells to the site of chemokine expression. It may be advantageous to express a particular chemokine coding sequence in combination with, for example, a cytokine coding sequence, to enhance the recruitment of other immune system components to the site of treatment. Such chemokines include, for example, RANTES, MCAF, MIP1- $\alpha$ , MIP1- $\beta$ , IP-10 and combinations thereof. The skilled artisan will recognize that certain cytokines (*e.g.*, IFN's) are also known to have chemoattractant effects and could also be classified under the term chemokines.

#### iv. Immunogenic Carrier Proteins

The use of peptides for antibody generation or vaccination may requires conjugation of the peptide to an immunogenic carrier protein, such as hepatitis B surface antigen, keyhole limpet hemocyanin or bovine serum albumin. Means for  
5 conjugating a polypeptide or peptide to a immunogenic carrier protein are well known in the art and include, for example, glutaraldehyde, m-maleimidobenzoyl-N-hydroxysuccinimide ester, carbodiimide and bis-biazotized benzidine. Other immunopotentiating compounds are also contemplated for use with the compositions of the invention such as polysaccharides, including chitosan, which is described in  
10 U.S. Patent 5,980,912, hereby incorporated by reference. Also, multiple (more than one) peptides may be crosslinked to one another (*e.g.*, polymerized).

#### F. Combination Treatments

In certain embodiments, it may prove useful to use the vaccines of the present  
15 invention in conjunction with an anti-viral therapy. The well known two classes of anti-virals are neuraminidase inhibitors and M2 inhibitors (adamantane derivatives). Neuraminidase inhibitors are currently preferred for flu virus infections. The CDC recommended against using M2 inhibitors during the 2005-06 influenza season.

Anti-viral drugs such as oseltamivir (Tamiflu<sup>®</sup>) and zanamivir (Relenza<sup>®</sup>) are  
20 neuraminidase inhibitors that are designed to halt the spread of the virus in the body. These drugs are often effective against both influenza A and B, and have been shown to be effective in combatting the recently emerged 2009 “swine” flu. The Cochrane Collaboration reviewed these drugs and concluded that they reduce symptoms and complications. Different strains of influenza viruses have differing degrees of  
25 resistance against these anti-virals, and it is impossible to predict what degree of resistance a future pandemic strain might have.

The anti-viral drugs amantadine and rimantadine are designed to block a viral ion channel (M2 protein) and prevent the virus from infecting cells. These drugs are sometimes effective against influenza A if given early in the infection but are always  
30 ineffective against influenza B. Measured resistance to amantadine and rimantadine in American isolates of H3N2 has increased to 91% in 2005. In contrast to neuraminidase inhibitors, amantadine and rimantadine have not proven effect again the 2009 “swine” flu.

## V. Examples

The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

### 10 EXAMPLE 1 - RESULTS

Using a bioinformatics approach, the inventor has identified 35 peptides from influenza virus Matrix 1 protein, Matrix 2 protein and Nucleoprotein that bind HLA class I, and 16 peptides that bind HLA class II. These peptides were identified using a three-step selection process. First, “shared” epitopes were identified across the 1918 Spanish flu virus, standard vaccine strains, an H5 avian strain and the current H1N1 swine flu virus. Then, using T cell epitope prediction algorithms, these peptides were further culled. Finally, a set of peptides that were believed to be presented in common HLA haplotypes were identified, and sets of HLA class I and class II peptides were produced sufficient to ensure a 200% coverage of the population.

20 These peptides were then screened for the ability to stimulate T cell response in peripheral blood samples from subjects in an NIH-sponsored, “Mix & Match” flu study (using both killed trivalent (TIV) and live attenuated (LAIV) vaccines) being conducted at the Saint Louis University VTEU. The results are shown in FIGS. 1-2. In summary, LAIV, but not TIV, induced infant flu-specific CD4<sup>+</sup> T cells, LAIV, but not TIV, induced infant flu-specific CD8<sup>+</sup> T cells, and LAIV, but not TIV, induced infant flu-specific  $\delta\gamma$  T cells. Moreover, LAIV, but not TIV, induced cell-mediated immunity against conserved epitopes.

### EXAMPLE 2 – FUTURE STUDIES

**Study subjects.** Peripheral blood samples will be collected from study subjects in the ongoing, NIH sponsored, “Mix & Match” flu study being conducted at

the Saint Louis University VTEU. The inventor will be recruiting 60 children (15/group), aged 6-35 months to receive as follows:

Group A: 2 doses of TIV (trivalent inactivated vaccine)

Group B: 2 doses of LAIV (live attenuated infectious vaccine)

5 Group C: 1 dose of TIV followed by 1 dose of LAIV

Group D: 1 dose of LAIV followed by 1 dose of TIV

All booster vaccinations will be given 30 days after the priming vaccinations. Blood samples will be collected at days 0, 30 and 60.

**Antigens - live viruses.** The following cold-adapted influenza vaccine strains will be obtained from MedImmune for *in vitro* stimulation assays:

1) A/New Caledonia/20/99

2) A/Wyoming/03/03

3) B/Jilin/20/2003

**Antigens - peptide antigens.** Influenza peptide pools will be used in an *in vitro* assay to stimulate CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses:

A. Focus on M1/M2 and NP proteins of influenza because they are about 90% conserved among subtypes of influenza.

B. Bioinformatics used to identify conserved sequences between NP/M1/M2 proteins expressed by the Influenza A vaccine strains and the potential H5N1 pandemic strains.

C. Predictive algorithms to identify MHC binding epitopes within the conserved regions of NP/M1/M2 proteins.

D. Conserved peptide sequences selected and included in 1 of 2 pools if they met the following criteria:

1. If predicted to bind prevalent HLA types (*i.e.*, HLA subtypes expressed in more than 10% of population; *e.g.*, HLA-A2 & common DR types)

2. Peptides with highest HLA binding scores

3. If previously reported to be immunogenic

E. Two peptide pools prepared for *in vitro* stimulation assays:

1. Peptide pool I to include 35 peptide sequences from M1, M2, and NP proteins of influenza predicted to bind prevalent HLA-class I molecules (Table 1)

2. Peptide pool II to include 16 peptide sequences from M1, M2, and NP proteins of influenza predicted to bind prevalent HLA-class II molecules (Table 2)

***In vitro* T cell CFSE-based flow cytometric assay.** The inventor will study lymphocyte proliferation and IFN- $\gamma$  production in the responding CD4<sup>+</sup>, CD8<sup>+</sup> and  $\gamma\delta$  TCR<sup>+</sup> T cell subsets. CFSE-labeled peripheral blood lymphocytes harvested pre-vaccination, and on days 30 and 60 post-vaccination, will be cultured in the presence of live viruses, peptide pools and control antigens at pre-determined optimal doses and duration. After expansion, T cells will be harvested and stained for T cell surface markers and intracellular cytokines. Stained cells will then be analyzed by FACS to determine antigen specific CD4<sup>+</sup>, CD8<sup>+</sup> and  $\gamma\delta$  TCR<sup>+</sup> T cells that have proliferated (dilute CFSE) and produced cytokines (*e.g.*, IFN- $\gamma$ ).

\* \* \* \* \*

All of the compositions and/or methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and/or methods and in the steps or in the sequence of steps of the method described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

## VI. References

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

U.S. Patent 4,435,386

U.S. Patent 4,436,727

U.S. Patent 4,436,728

U.S. Patent 4,505,899

U.S. Patent 4,505,900

U.S. Patent 4,520,019

U.S. Patent 4,554,101

U.S. Patent 4,579,945

U.S. Patent 4,866,034

U.S. Patent 4,877,611

U.S. Patent 4,950,645

U.S. Patent 5,399,363

U.S. Patent 5,466,468

U.S. Patent 5,543,158

U.S. Patent 5,641,515

U.S. Patent 5,846,233

U.S. Patent 5,980,912

Azuma *et al.*, *Cell Immunol.*, 116(1):123-134, 1988.

Barany and Merrifield, In: *The Peptides*, Gross and Meienhofer (Eds.), Academic Press, NY, 1-284, 1979.

Benjamini *et al.*, *Adv. Exp. Med. Biol.*, 303:71-77, 1991.

Capaldi *et al.*, *Biochem. Biophys. Res. Comm.*, 74(2):425-433, 1977.

Houghten *et al.*, *Infect. Immun.*, 48(3):735-740, 1985.

Husson *et al.*, *J. Bacteriol.*, 172(2):519-524, 1990.

Jacobs *et al.*, *Nature*, 327(6122):532-535, 1987.

Kobasa *et al.*, *Nature*, 445(7125):319-23, 2007.

Kyte and Doolittle, *J. Mol. Biol.*, 57(1):105-32, 1982.

Lotte *et al.*, *Adv. Tuberc. Res.*, 21:107-93; 194-245, 1984.

Luelmo, *Am. Rev. Respir. Dis.*, 125(3 Pt 2):70-72, 1982.

- Martin *et al.*, *Nature*, 345(6277):739-743, 1990.
- Merrifield, *Science*, 232(4748):341-347, 1986.
- Paul, *Transplant Proc.*, 25(2):2080-1, 1993.
- PCT Appln. WO 91/16347
- Rabinovich *et al.*, *Science*, 265(5177):1401-1404, 1994.
- Remington's Pharmaceutical Sciences, 15<sup>th</sup> ed., pages 1035-1038 and 1570-1580, Mack Publishing Company, Easton, PA, 1980.
- Stewart and Young, In: *Solid Phase Peptide Synthesis*, 2d. ed., Pierce Chemical Co., 1984.
- Stites, *J. Mol. Biol.*, 235(1):27-32, 1994.
- Takada *et al.*, *J. Clin. Microbiol.*, 33(3):658-660, 1995.
- Tam *et al.*, *J. Am. Chem. Soc.*, 105:6442, 1983.
- Yamamoto *et al.*, *Jpn. J. Cancer Res.*, 79:866-873, 1988.
- Yin *et al.*, *J. Biol. Resp. Modif.*, 8:190-205, 1989.

**CLAIMS**

1. A peptide comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51.
2. The peptide of claim 1, wherein said peptide is 9-15 residues in length.
3. The peptide of claim 1, wherein said peptide is fused to another amino acid sequence.
4. The peptide of claim 1, wherein said peptide is formulated in a pharmaceutically acceptable buffer, diluent or excipient.
5. The peptide of claim 1, wherein said peptide is lyophilized.
6. A method of inducing an immune response in a subject comprising administering to a subject one or more peptides comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51.
7. The method of claim 6, wherein said peptide or peptides is/are 9-15 residues in length.
8. The method of claim 6, wherein said peptide or peptides is/are fused to another amino acid sequence.
9. The method of claim 6, wherein at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, or all 51 peptides are administered to said subject.
10. The method of claim 6, wherein at least one peptide binding Class I HLA and at least one peptide binding Class II HLA are administered to said subject.

11. The method of claim 6, wherein at least one peptide from a matrix protein and at least one peptide from a nucleoprotein are administered to said subject.
12. The method of claim 11, wherein at least one peptide from a matrix 1 protein, at least one peptide from a matrix 2 protein, and at least one peptide from a nucleoprotein are administered to said subject.
13. The method of claim 6, wherein a sufficient number of peptides is administered to said subject to target 100% of HLA haplotypes.
14. The method of claim 6, wherein administration comprises injection.
15. The method of claim 14, wherein in injection comprises subcutaneous or intramuscular injection.
16. The method of claim 6, wherein administration comprises inhalation.
17. The method of claim 16, wherein inhalation comprises inhaling a nasal aerosol or mist.
18. The method of claim 6, wherein said peptide or peptides is/are administered with an adjuvant.
19. The method of claim 18, wherein said adjuvant is a squalene adjuvant, a cytokine adjuvant, a lipid adjuvant or a TLR ligand.
20. The method of claim 6, wherein the total amount of peptide administered is between 50 µg/kg and 1 mg/kg.
21. The method of claim 6, wherein said peptide or peptides is/are administered at least a second time.

22. The method of claim 6, further comprising a second administration to said subject of at least one peptide distinct from the peptide or peptides of the initial administration.
23. The method of claim 6, further comprising administration of a live-attenuated vaccine or a killed vaccine to said subject.
24. The method of claim 6, wherein said subject is a human subject.
25. The method of claim 6, further comprising measuring a CD4<sup>+</sup>, a CD8<sup>+</sup> and/or a  $\gamma\delta$  T cell response in said subject following administration.
26. A vaccine formulation comprising one or more peptides comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51.
27. The formulation of claim 26, wherein said peptide or peptides is/are 9-15 residues in length.
28. The formulation of claim 26, wherein said peptide or peptides is/are fused to another amino acid sequence.
29. The formulation of claim 26, wherein said formulation comprise at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, or all 51 peptides.
30. The formulation of claim 26, wherein said formulation comprises at least one peptide binding Class I HLA and at least one peptide binding Class II HLA.
31. The formulation of claim 26, wherein said formulation comprises at least one peptide from a matrix protein and at least one peptide from a nucleoprotein.

32. The formulation of claim 31, wherein said formulation comprises at least one peptide from a matrix 1 protein, at least one peptide from a matrix 2 protein, and at least one peptide from a nucleoprotein.
33. The formulation of claim 26, wherein said formulation comprises a sufficient number of distinct peptides to target 100% of HLA haplotypes.
34. The formulation of claim 26, wherein said formulation comprises an adjuvant.
35. The formulation of claim 26, wherein said formulation is an injectable formulation.
36. The formulation of claim 26, wherein said formulation is an inhalable formulation.
37. The formulation of claim 26, wherein said formulation is provided in a unit dosage of between 50 µg/kg and 1 mg/kg.
38. The formulation of claim 26, wherein said formulation is lyophilized.
39. The formulation of claim 26, wherein said formulation is a liquid.
40. The formulation of claim 39, wherein said liquid formulation is formulated in a pharmaceutically acceptable buffer, carrier or diluent.

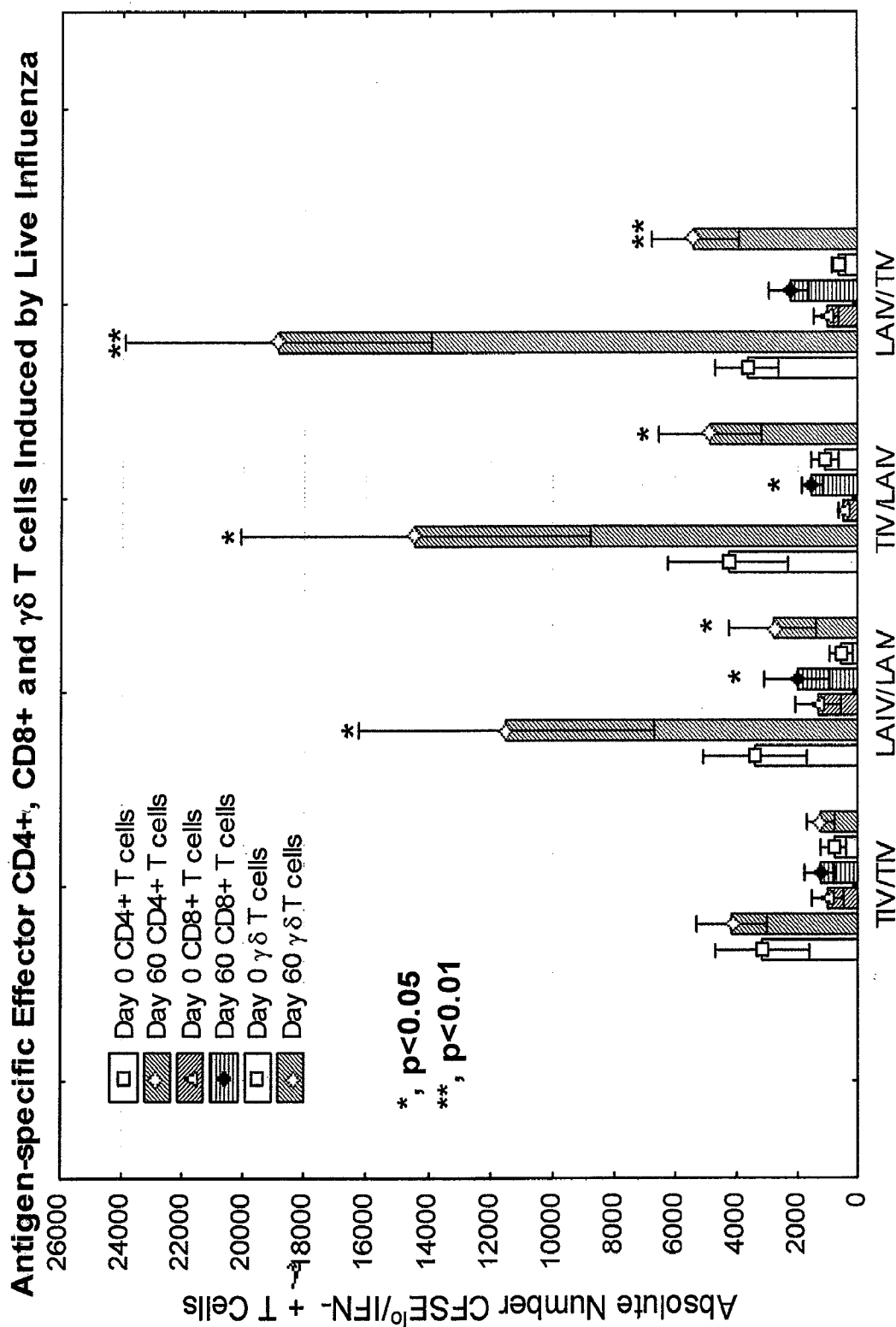


FIG. 1

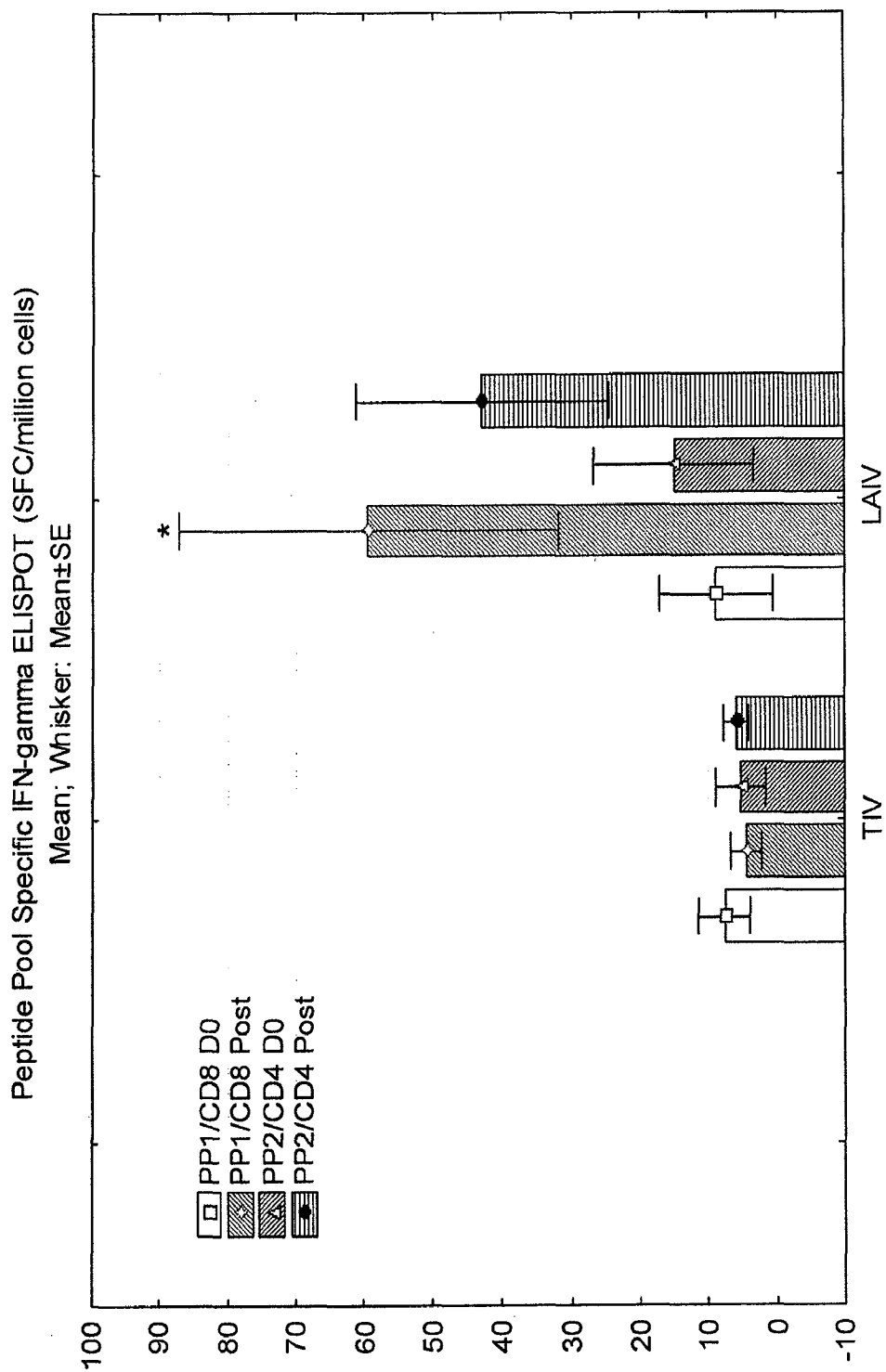


FIG. 2

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/50836

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 38/08; A61K 38/00 (2011.01)

USPC - 514/21.6; 530/300

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8)- A61K 38/08; A61K 38/00 (2011.01)

USPC- 514/21.6; 530/300

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PubWEST(PGPB,USPT,USOC,EPAB,JPAB); Google Patents; Google Scholar: influenza, vaccine, t-cell, cd4+, cd8+, haplotype, HLA near2 class 1 or II, epitope, activation, antigen, peptide, fusion protein, matrix, nucleoprotein, response  
GenCore 6.3: SEQ ID NO:1

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	WO 96/10631 A (KAWAOKA et al.) 11 April 1996 (11.04.1996) pg 11 ln 15-20; pg 13 ln 1; pg 17 ln 10-20; pg 26 ln 25-30; pg 29 ln 25-pg 30 ln 20; pg 53 ln 1-25; pg 55 ln 15-30; pg 56 ln 1-10; pg 57 ln 5-30; pg 60 ln 1-15; Fig. 1, pg 18 Table 1, SEQ ID NO:1	1-9, 11-12, 14-24, 26-29, 31-32, and 34-40 ----- 10, 13, 25, 30, and 33
Y	US 2008/0032921 A1 (ALEXANDER et al.) 07 February 2008 (07.02.2008) para [0034], [0139], [0228], [0246]-[0247], [0344]-[0348], [0354], Fig 3-4	10, 13, 25, 30, and 33
A	US 2002/0147167 A1 (ARMSTRONG et al.) 10 October 2002 (10.10.2002) para [0015], [0054]-[0055], [0094]	1-40
A	US 2002/0032162 A1 (CONTENT et al.) 14 March 2002 (14.03.2002) para [0005], [0016], [0053], [0153]	1-40
X,P	US 2010/0068224 A1 (CREA et al.) 18 March 2010 (18.03.2010) para [0014], [0031]-[0056], [0165]-[0172]	1-40

☐ Further documents are listed in the continuation of Box C.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

14 January 2011 (14.01.2011)

Date of mailing of the international search report

11 FEB 2011

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-3201

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300  
PCT OSP: 571-272-7774

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/50836

**Box No. 1** Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing filed or furnished:

a. (means)

☐

on paper

☒

in electronic form

b. (time)

☐

in the international application as filed

☐

together with the international application in electronic form

☒

subsequently to this Authority for the purposes of search

2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:

GenCore 6.3: SEQ ID NO:1

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/50836

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

Group I+: claims 1-40, drawn to a peptide comprising an amino acid sequence selected from the group consisting of SEQ ID NO:1-51 and a method of using said peptide. The first invention is restricted to a peptide comprising SEQ ID NO:1. Should an additional fee(s) be paid, Applicant is invited to elect an additional SEQ ID NO(s) to be searched. The exact claims searched will depend on the specifically elected SEQ ID NO(s) and binding moieties. Due to the number of sequences in this application, an additional invention(s) of Group I+ will be defined as necessary depending on Applicant's ultimate payment of additional fees. The additional sequences will be searched if applicant pays for each additional sequence or shows that the sequences share a special technical feature, i.e. a common structure or feature that defines a contribution over the prior art. Note that each additional sequence to be searched must be specified by the Applicant in the response to this invitation and must either (1) have an additional invention fee paid or (2) have a showing that the sequences share a common structure or feature that defines a contribution over the prior art.

\*\*\*\*\*SEE SUPPLEMENTAL SHEET\*\*\*\*\*

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
Claims 1-40, limited to SEQ ID NO:1

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/50836

\*\*\*\*\* Supplemental Box \*\*\*\*\*

Continuation of Box No. III Lack of Unity :

The inventions listed as Group I+ do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The special technical feature of the inventions listed as Group I+ is the specific amino acid sequence recited therein. The inventions do not share a special technical feature, because 1) no significant structural similarities can readily be ascertained among the amino acid sequences, and 2) WO 2008/039267-A2 to Alexander, et al., in the context of epitope-based vaccines directed towards influenza virus (Abstract), discloses a polypeptide comprising the claimed SEQ ID NO:1 (Claim 1, Influenza virus cytotoxic T lymphocyte (CTL) epitope peptide #562, 1 NMDRAVKLY 9). Without a shared special technical feature, the inventions lack unity with one another.

The inventions of Group I+ therefore lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.