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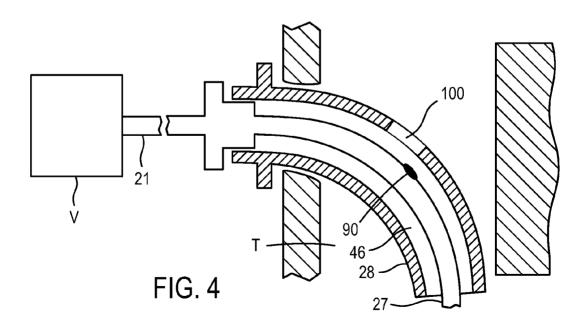
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(54) Title: METHODS AND DEVICES FOR SENSING RESPIRATION AND PROVIDING VENTILATION THERAPY



(57) Abstract: Methods and systems are provided for intra-airway breath sensors where intra-airway breath sensors are not located within a ventilation gas delivery circuit, but are exposed to spontaneous respiration airflow from a patient. Furthermore, methods and systems of the present invention may be used to protect an intra-airway breath sensor from contacting tissue or accumulating debris that may impair abilities of the intra-airway breath sensors.

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# METHODS AND DEVICES FOR SENSING RESPIRATION AND PROVIDING VENTILATION THERAPY

#### **FIELD OF THE INVENTION**

The present invention relates to ventilation therapy for persons suffering from respiratory impairment and breathing disorders, such as chronic obstructive pulmonary disease (COPD), pulmonary fibrosis, acute respiratory distress syndrome (ARDS), neuromuscular impairment, sleep apnea and/or other related conditions. More specifically, the present invention relates to accurately and reliably measuring a patient's respiratory pattern using breath sensing, including providing methods, systems and apparatus to protect breath sensors.

#### **BACKGROUND OF THE INVENTION**

There are two general types of control systems for conventional ventilators. A first type is delivery of gas to a patient based on a frequency selected by the clinician. The frequency selected delivery is independent of patient activity. This control system is used when the patient is non-alert, sedated, unresponsive or paralyzed. In this type of system the ventilator is breathing for the patient. A second type of control system is delivery of gas to the patient in response to an inspiratory effort created by the patient. This type of ventilation helps the patient breathe. There are also ventilators and modes of ventilation that combine the two types of control systems.

In the case of a control system that responds to patient breathing effort, breath effort sensors are required to detect inspiration. In basic conventional systems, the breath sensors detect the start of inspiration using a pressure or flow sensor. The inspiratory effort sensor is located somewhere in the path of ventilation gas delivered by a ventilation gas delivery circuit. A ventilation gas delivery circuit is generally defined as the path of respiration gas delivered by a ventilator. The inspiratory effort sensor may be either inside the ventilator, or in the tubing between the ventilator and the patient, including at the patient end of the tubing. Various attempts have been made to place the inspiratory effort sensor(s) inside the patient, or externally attached to the patient to improve breath effort detection and/or improve response time of the ventilator gas delivery.

Pressure or flow sensors within the ventilation gas delivery circuit have successfully been used to detect the start of inspiration to trigger the ventilator to deliver gas to the patient. However, when there is a need or desire to measure the entire respiratory curve in addition to just the start of inspiration, sensors within the ventilation gas delivery circuit produce inadequate results because the gas being delivered by the ventilator also moves past the sensor. Thus, the sensor no longer measures the patient's respiration, but rather the gas delivered through the ventilation gas circuit. In a closed ventilation system, the ventilator activity approximates the overall lung activity, hence this positioning of sensors may be adequate. In an open ventilation system, or in ventilation systems that augment a patient's spontaneous breathing, sensors within the ventilation gas delivery circuit are inadequate in measuring the entire respiratory curve.

Sensors not within the ventilator gas delivery circuit have the ability to measure the entire respiration activity. For example, chest impedance sensors can be used to measure the entire respiratory curve of a patient and to use that signal to control the ventilator and synchronize the ventilator to the patient's breathing. Although an improvement, this approach has the disadvantage that the chest impedance signal is prone to drift, noise and artifacts caused by patient motion and abdominal movement. In another technology, neural activity related to the respiratory drive is used to measure the respiration of a patient. However, this has the disadvantage that it is invasive and requires electrodes typically placed in the esophagus to detect the neural activity.

U.S. Non-Provisional Patent Application Serial No. 10/870,849 (U.S. Printed Publication 2005/0034721), which is incorporated by reference in its entirety above, describes a new form of breath sensing with sensors not within a ventilation gas delivery circuit. The sensors may be located in the airway of a patient, for example, in the patient's trachea, but not within the ventilation gas delivery circuit. In this manner, the gas delivery from the ventilator may not dominate the sensor's measurements. This intra-airway sensor may measure naturally inspired gas flow of the patient, naturally exhaled gas flow of the patient, and the effect of the ventilator gas delivery on lung volumes. The sensor may not measure gas flowing in the ventilator delivery circuit as in conventional systems. This breath sensing method

may then measure, not just the start of inspiration, but the entire respiratory pattern of the patient. This may be advantageous to optimize the synchrony of the ventilator to the patient's natural breath pattern, so that the patient is comfortable. Also, if the goal is to provide therapy during different portions of the respiratory curve, such as during the middle of inspiration, or during a particular part of the expiratory phase, then this method may be used to accurately measure the entire respiratory curve. This new breath sensing technology, however, may not be simple or obvious to reduce to practice. Sensors within the airway of the patient are prone to problems stemming from tissue interaction, patient-to-patient variability, variability within a given patient over time, and a variable physiological environment that can not be controlled. For example, debris in the airway may collect on the sensors and may cause signal artifacts and disrupt the sensors' ability to accurately and reliably measure the entire breath curve. Or, the sensor could come into contact with the tracheal wall, which may disrupt the sensors' signal. Alternatively, tracheal movement during breathing can affect the signal.

Needs exist for improved breath sensing systems and methods for ensuring reliable and accurate breath measurements.

#### **SUMMARY OF THE INVENTION**

The present invention may be directed to methods and systems for intraairway breath sensors, especially those sensors not within a ventilation gas delivery circuit, but exposed to a patient's spontaneous respiration airflow. The present invention is an improvement over existing breath sensing techniques. Further, apparatus and methods for shielding and protecting the intra-airway sensors from disruptions such as contacting tissue or accumulating debris are provided.

Additional features, advantages, and embodiments of the invention are set forth or apparent from consideration of the following detailed description, drawings and claims. Moreover, it is to be understood that both the foregoing summary of the invention and the following detailed description are exemplary and intended to provide further explanation without limiting the scope of the invention as claimed.

### **BRIEF DESCRIPTION OF THE INVENTION**

The accompanying drawings, which are included to provide a further understanding of the invention and are incorporated in and constitute a part of this specification, illustrate preferred embodiments of the invention and together with the detailed description serve to explain the principles of the invention. In the drawings:

- FIG. 1a shows prior art for breath effort detection by using breath sensors within a ventilator gas delivery circuit.
  - FIG. 1b shows optional prior art using an ultrasonic flow meter.
  - FIG. 1c shows optional prior art using a rotameter flow meter.
- FIG. 1d is a graph illustrating a signal from the system of FIG. 1a where the sensed pressure does not necessarily correspond to respiration.
- FIG. 2a shows prior art using chest impedance for breath sensing and ventilator control.
- FIG. 2b is a graph illustrating a drift in the impedance signal of FIG. 2a caused by an environmental or stability problem.
- FIG. 3a shows prior art in which intra-airway breath sensors are used for ventilator control and monitoring respiration activity.
- FIG. 3b is a graph illustrating a disruption of the sensor signal of FIG. 3a caused by an environmental problem.
- FIG. 4 shows a partial cross-sectional view the overall system of the invention including a ventilation catheter and a fenestrated outer cannula and a breath sensor in the annular space, and a ventilator.
- FIG. 5 shows a partial cross-sectional view of the overall system of the invention including a ventilation catheter, a fenestrated outer cannula and a breath sensing lumen and sensing port, and a sensor placed outside the patient in a ventilator

FIG. 6 shows a ventilation catheter and non-fenestrated outer cannula with a breath sensor in the annular space.

- FIG. 7 shows a ventilation catheter and an outer cannula with a breath sensor part of the outer cannula.
- FIG. 8 shows a ventilation catheter and an outer cannula with a breath sensing lumen and port as part of the outer cannula.
- FIG. 9 shows a ventilation catheter and an outer cannula and a separate sensor assembly placed in the space between the ventilation catheter and outer cannula.
- FIG. 10 shows a ventilation catheter and an outer cannula and a separate sensing lumen assembly placed in the space between the ventilation catheter and outer cannula.
- FIG. 11 shows a ventilation catheter and an outer cannula with an channel open to ambient between the catheter and cannula and a sensor in the channel.
  - FIG. 12A shows a dual lumen trach tube with an fenestrated outer cannula.
- FIG. 12B shows the outer cannula of FIG. 12A with the inner cannula removed.
- FIG. 12C is a cross section of a ventilation catheter placed inside the fenestrated outer cannula of FIG. 12B where a sensing element is positioned in an annular space.
- FIG. 13 is a detailed view of an alternative, adjustable ventilation catheter connector.
- FIG. 14 is a partial cross section of a ventilation catheter placed inside the fenestrated outer cannula of FIG. 12B where a sensing lumen port is positioned in an annular space.
- FIG. 15 shows a ventilation catheter with intra-airway breath sensing protected inside a fenestrated single cannula tracheostomy tube.

FIG. 16 is a cross section of a ventilation catheter with intra-airway breath sensor protected inside a fenestrated outer cannula with inferior and superior fenestration positions.

- FIG. 17 shows a ventilation catheter with an outer cannula with fenestrations on a lateral wall of the outer cannula.
- FIG. 18A is a cross section of a ventilation catheter with intra-airway breath sensors protected inside a fenestrated outer cannula, with positioning and anchoring features for the ventilation catheter.
  - FIG. 18B is an end view of the ventilation catheter shown in FIG. 18A.
- FIG. 19 shows a ventilation catheter with a fenestrated outer cannula having a depression to create an annular gap between the ventilation catheter and the fenestrated outer cannula.
- FIG. 20A is a cross section of a ventilation catheter inside a fenestrated outer cannula with a depression adjoining the fenestration in a wall of the outer cannula to create an annular gap between the ventilation catheter and the fenestrated outer cannula.
- FIG 20B is a view of the device in FIG. 20A however with the depression on the inferior side.
- FIG. 21A is a cross section of a ventilation catheter inside a fenestrated outer cannula with a protrusion in an inner wall of the outer cannula to create an annular gap between the ventilation catheter and the fenestrated outer cannula.
- FIG. 21B is a view of the device in FIG. 21A however with the depression on the inferior side.
- FIG. 22 shows a ventilation catheter with intra-airway breath sensors protected inside a minimally penetrating fenestrated outer cannula.
- FIG. 23 shows a ventilation catheter inserted through a stoma sleeve where a sensor is protected by a stoma sleeve.

FIG. 24 shows a ventilation catheter with intra-airway breath sensors protected by an air permeable shield that is collapsible.

- FIG. 25A shows a ventilation catheter with intra-airway breath sensors protected by a permeable wire basket shield that may be collapsible against a catheter shaft and may be expanded when in use.
- FIG. 25B is a cross sectional view of the ventilation catheter shown in FIG. 10a.
- FIG. 26 shows a ventilation catheter with intra-airway breath sensors protected by a permeable conical shield that may be foldable, collapsible against a catheter shaft, and may be expanded when in use.
- FIG. 27 shows a system layout of the system shown in FIG. 4, with an additional ventilator gas delivery sensor.

#### <u>DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS</u>

FIG. 1a shows a prior art ventilator breath detection triggering system where a pressure sensor is located within a ventilation gas delivery circuit 21. A ventilator V may deliver ventilation gas to a patient P through a ventilation gas delivery circuit 21 and a ventilation tube 25. A ventilation circuit pressure tap 22 may be located within the ventilation gas delivery circuit 21. The ventilation circuit pressure tap 22 may sense pressure in the ventilation gas delivery circuit 21. Thus, when the patient P inspires, a negative pressure created in the lung L may be transmitted to the trachea T, and the negative pressure may be detected in the ventilation circuit pressure tap 22. The ventilation circuit pressure tap 22 may be in communication with a ventilator breath delivery control unit 20.

Alternatively, as shown in FIG. 1b, a flow sensor may be used in place of the pressure sensor. The flow sensor may be an ultrasonic flow sensor 30 or another type of flow sensor. Alternatively, as shown in FIG. 1c, a rotameter flow sensor 32 may be located within the ventilation gas delivery circuit 21 to detect inspiration by the patient P, as shown in FIGS. 1a and 1b.

A signal representing the reading from the sensors 22, 30, 32 may be communicated to the ventilator breath delivery control unit 20 in the ventilator V. The sensors 22, 30, 32 within the ventilation gas delivery circuit 21 may measure the start of a breath. After the ventilator breath delivery control unit 20 receives the signal, the ventilator V may be triggered to deliver a mechanical breath to the patient P through the ventilation gas delivery circuit 21. After the ventilator V is triggered, the sensors 20, 30, 32 may measure activity of the ventilator V. The sensors 20, 30, 32 may not accurately measure patient breathing.

FIG. 1d shows the measurement of the patient's tracheal pressure P(t) detected by the sensors 20, 30, 32 in comparison with a tracing R of a patient's actual respiration. A patient's inspiration 54 may be initially detected by the sensors 20, 30, 32 as a decrease in pressure from a patient inspiration pressure 50. After triggering of the ventilator V, however, the sensors 20, 30, 32 may only measure ventilator breath delivery pressure 52 and not patient exhalation 56.

FIG. 2a shows a prior art ventilator triggering system where the breath sensor is a chest impedance sensor. The breath sensor is not located within a ventilation gas delivery system 21. A chest impedance sensor may have the drawback that signals representing patient breathing may be affected by motion of the patient P not related to breathing. A chest impedance band 62 may be connected to a ventilator V and corresponding ventilator breath delivery control unit 20 by chest impedance wires 60.

FIG. 2b shows a respiration trace R of the patient P, which may correspond to the patient's actual breathing for a certain time, as compared to a flow of gas in a patient's trachea T as shown in tracheal airflow tracing Q. A patient inspiration tracheal flow curve 64 and a patient exhalation tracheal flow curve 66 may be detected by the chest impedance band 62 as seen in a chest impedance inspiration trace 74 and a chest impedance exhalation trace 76, respectively. However, due to motion and patient position and other factors, the chest impedance signal may have chest impedance signal drift 78 or may have chest impedance signal noise from patient motion 80.

FIG. 3a shows a prior art breath sensing system. An intra-airway breath sensor 190 may be located in an airflow path of a patient P in the patient's trachea T.

The intra-airway breath sensor 190 may be used to detect spontaneous breathing by the patient P. To effectively measure spontaneous breathing, the intraairway breath sensor 190 is preferably not located within a ventilation gas delivery circuit 21. For purposes of this disclosure, a sensor not located within the ventilation gas delivery circuit 21 may be considered to be "in parallel" to the ventilation gas delivery circuit 21. Sensors that are located within the ventilation gas delivery circuit 21 may be considered "in series" in relation to the ventilation gas delivery circuit 21 for purposes of this disclosure. Sensors that are within the ventilation gas delivery circuit 21 may not adequately measure spontaneous breathing after the triggering of a ventilator V because the sensor may then measure primarily the gas delivered by the ventilator V and because the spontaneous breathing may move substantially less air than the ventilator V. A benefit of not having sensors in communication with the ventilator gas delivery circuit is that the sensor may measure the entire spontaneous breathing signal even after triggering the ventilator V because the sensor would not be within the stream of gas supplied by the ventilator V. Sensors outside of the ventilator gas delivery circuit are not directly measuring gas delivered from the ventilator V.

The intra-airway breath sensor 190 of FIG. 3a may not be in communication with the ventilation gas delivery circuit 21. The intra-airway breath sensor 190 may be mounted on an outside surface of ventilation tube 25. The intra-airway breath sensor 190 may measure spontaneous breathing and create a signal representing the spontaneous breathing. The signal may be communicated to a ventilator breath delivery control unit 20 within the ventilator V by intra-airway breath sensing wires 92, wireless technology, RFID, or other communications technology.

The positioning of the intra-airway breath sensor 190 within the trachea T not in communication with a ventilator gas delivery circuit 21 may be an improvement over conventional systems because the intra-airway breath sensor 190 may be less prone to drift and disturbance from environmental influences and patient movement. The sensor may also be less invasive and obtrusive to the patient P, and may be more convenient for a supervising clinician. The intra-airway breath sensor 190 may

be mounted on a portion 24 of a ventilation tube 25 inserted into the airway of a patient P. Additionally, when the ventilator V is triggered to deliver gas to the patient P through the ventilation gas delivery circuit 21, a measurement by the intra-airway breath sensor 190 may not be dominated by action of the ventilator V and may continue to measure spontaneous respiration of the patient P.

FIG. 3b shows a tracheal airflow trace Q compared with a breath sensor signal tracing S. Patient inspiration tracheal flow 65 and patient exhalation tracheal flow 67 compare well with an inspiration trace 75 and an expiration trace 77, respectively. However, the intra-airway breath sensor 190 may be susceptible to contacting tissue, such as a wall of the trachea T, or accumulation of debris on a surface of the intra-airway breath sensor 190. Contacting tissue and/or accumulation of debris may disrupt measurement from the intra-airway breath sensor 190 as shown by an intra-tracheal breath sensor signal attenuation from tissue contact or debris 94. Protection of the efficacy and accuracy of the intra-airway breath sensor 190 may be important to ensure proper function of a ventilator gas delivery circuit 21.

FIG. 4 shows a system diagram of an embodiment of the present invention. A ventilation catheter 27 may be placed inside an outer tube 28, such as a trachesotomy tube, and a breath sensor or sensors 90 may be placed in an annular space 46 between the ventilation catheter 27 and the outer tube 28 for protection against accumulation of debris and tracheal wall contact. Typically, the system may be configured to facilitate at least part of the patient's spontaneous breathing airflow to travel in the annular space. The sensor signal may be transmitted to the ventilator V to control the ventilator, which may be attached to the ventilation catheter 27 with a gas delivery circuit 21. The outer tube 28 may include fenestrations 100 so gas may flow easily in and out of the annular space 46.

An intra-airway breath sensor 90 may be located in the trachea T, nose, mouth, throat, bronchial or any other location within the path of inhaled and exhaled air. Furthermore, it may be appreciated that embodiments of the present invention may apply to other physiological applications where a catheter is placed in any luminal structure for sensing and therapy. It should be further appreciated that with

the appropriate modifications, embodiments of the present invention may be reusable or disposable and may be adapted for adult, pediatric or neonatal use.

The breath sensors in accordance with the principles of the present invention may be thermal sensors, pressure sensors, sensing lumens, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, or any other sensor capable of sensing respiration. The breath sensors may be a single sensing element/transducer. Alternatively, the breath sensors may contain multiple sensing elements/transducers for redundancy of signal measurements. Additionally, the breath sensors may contain multiple elements arranged in a sensing array such that at least one of the multiple elements may be used as a reference signal. In the present disclosure, a sensor may be referred to as either singular or plural, however, all of the above configurations may apply.

Preferably, the breath sensors may be mounted on a portion of a ventilation tube inserted into the airway of a patient P as shown in FIG. 4 Alternatively, as shown in the system diagram in FIG. 5, an external breath sensor 96 may be positioned outside the body. The external breath sensor 96 may measure airflow or breathing pressure occurring in the patient airway via a sensing conduit or lumen 42. The sensing conduit or lumen 42 may have an opening or sensing port 44 within a patient airway in the annular space 46 between the ventilation tube 27 and the outer tube 28. The conduit or lumen 42 may run from the opening 44 to an external breath sensor, for example a sensor 96, located in the ventilator V. The sensor 96 may communicate with a control unit 20 to control a gas delivery device 142 to control the delivery of gas to the patient.

Fenestrations 100 in the outer tube 28 may be provided as shown in FIGS. 4 and 5 to facilitate spontaneously breathing airflow travels in the annular space. Alternatively, as shown in FIG. 6, the outer tube 28 can be without fenestrations, and the sensor 90 may register the tracheal breathing pressures that are occurring without requiring an open flow path through the outer tube 28.

The breath sensor or external breath sensor and corresponding sensing conduit may be coupled to a ventilation tube as shown in FIGS. 4-6. Alternatively,

the breath sensor or external breath sensor and corresponding sensing conduit may be integrated with other components of the present invention as described herein. For example, a breath sensor 90 may be part of the inner wall of the outer cannula 28, as shown in FIG 7. The ventilation catheter 27, when inserted into the outer cannula 28, may form an electrical connection with the sensor 90 so the sensor signal may be transmitted to the ventilator with wiring 92.

Or, as shown in FIG. 8, a sensing lumen 42 and sensing lumen port 44 can be coupled to the outer cannula 28. When the ventilation catheter 27 is connected to the outer cannula 28, the outer cannula sensing lumen 42 connects via a pneumatic female and male connection 104, 103, respectively, to an external lumen 109 extending away from the patient to an external sensor (not shown), for example, a sensor 96 located in the ventilator V as previously shown in FIG. 5. In FIGS. 7 and 8, the sensor 90 or sensing lumen 42 and port 44 may be located on the superior side of the outer tube 28, in which case fenestrations, if present, may be located on lateral walls of the outer tube (described later). Alternatively, the sensor 90 or sensing lumen 42 and port 44 shown in FIGS. 7 and 8 can be located on the inferior side of the outer tube 28, in which case fenestrations may be located on the superior side of the outer tube 28. Further, the sensor 90 or sensing lumen 42 and port 44 can be located on a lateral wall of the outer tube 28.

Alternatively, the breath sensor or external breath sensor may be decoupled from the various components of the present invention. For example, as shown in FIG. 9, a separate assembly 97 including the sensor 90 can be inserted into the annular space 46 between the ventilation catheter 27 and outer cannula 28. The separate assembly 97 and sensor 90 can be inserted or retracted using a handle 105.

Or, alternatively, as shown in FIG. 10, a separate assembly 98 comprising a sensing lumen 42 can be inserted into the annular space 46 between the ventilation catheter 27 and the outer cannula 28, where the sensing lumen 42 connects via an external sensing lumen 109 to sensor positioned outside the body, for example a sensor 96 at the ventilator V as shown in FIG. 5. The separate assembly 98 and sensing lumen 42 can be inserted and retracted using a handle 106.

Protection for sensing devices may be provided to reduce tissue contact with the sensing devices and accumulation of debris on the sensing devices. Sensing devices may be at least partially surrounded by airflow-permeable coverings that allow spontaneous respiration to pass through the airflow-permeable coverings and reach the sensing devices.

FIG. 11 shows an alternative where the annular space 46 between the ventilation tube 27 and outer cannula 28 may communicate with ambient air depicted by arrows 107. Some of the spontaneous breathing airflow in the trachea T, indicated by arrow 150, may travel to and from ambient through the annular space 46. The sensor 90 may be placed in the annular space 46 and may register the breathing signal.

FIGS. 12A - 12C show the sequence of operation and configuration when using a dual cannula tracheostomy tube assembly 23 containing a tracheostomy tube inner cannula 110 and a tracheostomy tube outer cannula 28. For purposes of this invention, the terms ventilation catheter, ventilation tube, and related expressions are used interchangeably. Similarly, the terms tracheostomy tube, outer cannula, outer tube and related expressions are used interchangeably. Various combinations of elements in alternative embodiments may be combined together within the scope of the present invention.

FIG. 12A shows the tracheostomy tube outer cannula 28 surrounding the tracheostomy tube inner cannula 110. The tracheostomy tube outer cannula 28 may be disposed relative to the tracheostomy tube inner cannula 110 such that an annular space 46 may exist between an inner surface of the tracheostomy tube outer cannula 28 and an outer surface of the tracheostomy tube inner cannula 110. The tracheostomy tube outer cannula 28 may have one or more fenestrations 100 to allow airflow into the annular space 46. As indicated by arrows 150, spontaneous respiration may pass through the one or more fenestrations 100 into the annular space 46 and out an end 151 of the tracheostomy tube outer cannula 28. Ventilation gas (arrow 152) from a ventilator may pass through the tracheostomy tube inner cannula 110 and into a patient airway. Ventilation gas (arrow 152) and/or spontaneous respiration 150 may also pass through tracheostomy tube inner cannula 110 and the annular space 46,

respectively, in the reverse direction. Fenestrations 100 may permit flow of gas past the dual cannula tracheostomy tube 23 to and from the upper airway. The fenestrations 100 may also permit speech by allowing exhaled air flow past vocal cords.

The dual cannula tracheostomy tube 23 may include a tracheostomy tube neck flange 112 and/or a tracheostomy tube ventilation circuit connector 111. The tracheostomy tube ventilation circuit connector 111 may allow the dual cannula tracheostomy tube 23 to be connected to various types of ventilators. The dual cannula tracheostomy tube 23 configuration may be used when it is preferred to have the option of removing the ventilator and ventilation catheter and allowing the patient to breathe through the outer cannula.

FIG. 12B shows an embodiment of the present invention with the tracheostomy tube inner cannula 110 removed from the tracheostomy tube outer cannula 28 which is left in position in the patient airway.

FIG. 12C shows another variation of an inner cannula ventilation catheter 26 substituted for the tracheostomy tube inner cannula 110. The inner cannula ventilation catheter 26 may be configured to be placed inside the tracheostomy tube outer cannula 28 for precise positioning of intra-airway breath sensors 90 in the annular space 46 between the inner cannula ventilation catheter 26 and the tracheostomy tube outer cannula 28. For example, the precise positioning may include obtaining the correct depth of insertion of the breath sensors relative to the outer cannula length, or the correct circumferential orientation of the sensors in relationship to the outer cannula inner wall, as will be explained later. Thus, the intra-airway breath sensors 90 may be protected within the annular space 46 and may not be susceptible to contacting tissue or accumulating debris. However, the intra-airway breath sensors 90 may be in communication with the spontaneous respiration 150 (shown in FIG. 12A) in the inspiratory and expiratory direction and may detect and measure the breathing pattern of the patient P.

A ventilation catheter seal and connector 116 may connect the inner cannula ventilation catheter 26 to the tracheostomy tube outer cannula 28 for sealing, security and positioning and a flange 115 facilitates insertion and removal of the

ventilation catheter 26 from the outer cannula 28. The seal and connector may be, for example, a friction fit seal/connector, a twist and lock seal/connector, or a snap-fit seal/connector, a compressible gasket such as silicone, a line-to-line fit between the mating parts, a mating tapered interface, and/or a slight interference fit with one soft material and an opposing hard material. The location of the intra-airway breath sensors 90 may be anywhere inside the annular space 46, however, preferably the intra-airway breath sensors 90 may be positioned at a location between the fenestrations 100 and the end 151 of the tracheostomy tube outer cannula 28. If the sensors are positioned too close to the distal end of the outer cannula, the sensor may be prone to Venturi artifacts created by gas flow exiting the ventilation catheter from the ventilator. Hence location of the sensors at a distance from the outer cannula opening is preferred.

Because the amount of airflow traveling through the annular space may be only a portion of the total tracheal airflow, the breath signal measured by the breath sensor may be a dampened signal. However, this is deemed acceptable, since the measurement accurately reflects flow or pressure, albeit not necessarily reflective of the true amplitude.

In FIG. 12C, the inner cannula ventilation catheter 26 may include rigidity to prevent unwanted flexure of the inner cannula ventilation catheter 26 that may inadvertently cause the intra-airway breath sensors 90 to contact the outer cannula inner wall.

FIG. 13 shows an alternative connection mechanism where the inner cannula ventilation catheter 26 may include a connector 116 and flange 115 assembly which includes an adjustable sliding seal 117 between the catheter shaft 118 and the connector/flange 116/115 assembly. The ventilation catheter connector/flange assembly 116/115 may be used to position a distal tip D of the inner cannula ventilation catheter 26 and the intra-airway breath sensors 90 in a desired position. The ventilation catheter connector/flange assembly 116/115 may be configured such that it locks or self-locks onto the catheter shaft 118 when not moving the inner cannula ventilation catheter 26. For example, the ventilation catheter connector/flange assembly 116/115 may use a detent system, a collet system, a compression clip a spring-loaded push button, or a locking pin. Alternatively, the

position of the intra-airway breath sensors 90 may be adjustable. For example the a sensor can be advanced or retracted by moving a rod or wire as shown previously in FIG. 10.

FIG. 14 shows a sensing lumen 42 extending from outside a patient P at a proximal end and into an airway, such as a trachea T. The sensing lumen 42 may have a distal end within the airway with a sensing lumen port/opening 44 positioned in the annular space 46. A sensor may be located outside of the patient P as shown previously in FIG. 5, but may be in communication with the sensing lumen 42, sensing lumen port/opening 44, and/or the airway. This may be advantageous to reduce cost of the ventilation catheter or to reduce the required size of the ventilation catheter.

In addition to the embodiments of FIGS. 12 - 14, other ventilation catheter and tracheostomy tube combinations and interconnections can be used.

FIG. 15 describes a ventilation catheter 31 adapted to be inserted into a signal cannula tracheostomy tube 29. The tracheostomy tube 29 may include one or more fenestrations 100 to allow spontaneous respiration to pass between the ventilation catheter 31 and the tracheostomy tube 29. One or more intra-airway breath sensors 90 may be located within the tracheostomy tube 29, or on the ventilation tube 31. The one or more intra-airway breath sensors 90 may be protected within an annular space 46 as previously described. The ventilation catheter 31 and tracheostomy tube 29 may have one or more mating features as those described previously to permit connecting the ventilation catheter 31 and the tracheostomy tube 29. The one or more mating features may position the one or more intra-airway breath sensors 90 in a desired position.

The embodiment of FIG. 15 may also include a tracheostomy tube neck flange 112, a ventilation catheter seal 116 and a tracheostomy tube ventilation circuit connector 111. This embodiment allows the ventilation catheter 31 to be removed and a conventional ventilator and breathing circuit to be connected to the 15mm connector 111 of the single cannula tracheostomy tube 29, for example, in the event conventional ventilation is required.

Embodiments of the present invention may include various patterns and configurations of fenestrations to allow gas to pass through a sensor protection device onto a sensor. Fenestrations may be located at any location and some preferred locations and configurations are described below. Gas permeable shields for sensors may come in various shapes and numbers, but the gas permeable shields preferably prevent tissue contact with the sensors and/or accumulation of debris on the sensors. For purposes of this invention, the superior direction refers to a position facing an exit of a patient airway from a body of the patient, for example, facing the upper airway. Additionally, the inferior direction refers to a position facing away from the exit of a patient airway from a body of the patient, for example, facing the lower airway. A lateral direction refers to any direction that is not superior or inferior. As discussed above, the fenestrations and/or gas permeable shields may be disposed in any position. The shape of fenestrations may be circular, oval, or any other reasonable shape. The location and shape of the fenestrations can be any combination of the above.

FIG. 16 shows an alternate embodiment of a ventilation catheter 33 and outer cannula tracheostomy tube 34. The outer cannula tracheostomy tube 34 may include one or more fenestrations 100 on a superior side of the tracheostomy tube 120 and/or one or more fenestrations 101 an inferior side of the tracheostomy tube 122. One or more fenestrations 100, 101 on various surfaces of the outer cannula tracheostomy tube 34 may decrease resistance to inspired and expired gas flow through the outer cannula tracheostomy tube 34. Furthermore, one or more fenestrations 100, 101 on various surfaces of the outer cannula tracheostomy tube 34 may provide redundancy for gas flow through the outer tracheostomy tube 34 in the event that one or more fenestrations 100, 101 are miss-aligned, blocked and/or obscured. Fig. 16 also describes an connector/seal 119 that connects to the outer cannula 120.

FIG. 17 shows fenestrations 102 on a lateral sides 121 of the outer cannula tracheostomy tube 34.

Proper positioning of the one or more intra-airway sensors 90 may be important for proper functioning of the breath sensing and ventilator control system. Furthermore, it may be important for the one or more intra-airway sensors 90 to

remain in an original or desired position over time. Configurations and methods for positioning and stabilizing the one or more intra-airway sensors 90 may be provided.

FIG. 18A shows an embodiment in which a ventilation catheter 35 includes one or more ventilation catheter stabilization/positioning anchors 130. The one or more ventilation catheter stabilization/positioning anchors 130 may locate and hold one or more intra-airway breath sensors 90 at a desired position within an outer cannula 36. The one or more ventilation catheter stabilization/positioning anchors 130 may help center the ventilation catheter 35 in the outer cannula 36 so the one or more intra-airway breath sensors 90 do not contact an inner wall 37 of the outer cannula 36. The one or more ventilation catheter stabilization/positioning anchors 130 may also prevent the ventilation catheter 35 from whipping when pressurized gas is delivered through the ventilation catheter 35. The one or more ventilation catheter stabilization/positioning anchors 130 may be positioned at one or multiple locations. For example, the one or more ventilation catheter stabilization/positioning anchors 130 may be positioned a location near the one or more intra-airway breath sensors 90 to assure that the one or more intra-airway breath sensors 90 are properly positioned in the annular space 46. Alternatively, the one or more ventilation catheter stabilization/positioning anchors 130 may be positioned a location near a distal tip D of the ventilation catheter 35 to reduce movement of the distal tip during gas delivery. A ventilation catheter outer seal 114 is shown.

FIG. 18B is an end view of FIG. 18A. Other possible configurations of the one or more ventilation catheter stabilization/positioning anchors 130 are possible to locate the one or more intra-airway breath sensors in a desired position within the annular space 46. The anchors are for example compressible filaments or wires, such as an elastomeric filament or a shape memory alloy wire. The filaments or wires can be for example a loop shape, or spokes, or a braid, or a woven basket. The density of the anchor structure is very low offering little to no airflow resistance, unless the anchor is proximal to the fenestration, in which case the anchor can be resistive to airflow since airflow is not needed in that zone for the breath sensors to detect the breathing signal.

FIG. 19 shows a cannula deflector 40 for ensuring the one or more intraairway sensors 90 are exposed to air flowing within the annular space 46. The

cannula deflector 40 of FIG. 19 is shown in a superior side of the outer cannula 38 for the purpose of spacing a ventilation catheter 39 and sensor 90 away from the inner wall of the outer cannula 38. The ventilation catheter 39 may be formed and shaped into an arc radius that is larger than the arc radius of the outer cannula 38. The cannula deflector 40 may deflect the ventilation catheter 39 into a tighter radius. Therefore, exact matching of the radius of the ventilation catheter 39 to the radius of the outer cannula 38 during manufacturing may be unnecessary. The cannula deflector 40 may be shaped atraumatically to avoid any harsh contact should contact occur between the deflector and the tissue. One or more fenestrations 100 may be positioned at various locations on the outer cannula 38.

FIG. 20A shows a cannula deflector 40 in the outer cannula 38 adjoining a fenestration 100. One or more intra-airway breath sensors 90 and/or a sensing lumen port may be positioned just distal to the cannula deflector 40 and the fenestration 100. This may be advantageous when the superior or inferior portion of the cannula which extends into the tracheal lumen from the anterior wall of the trachea, is relatively short, and there is not enough distance between the anterior wall and posterior wall of the trachea for both s deflector and a fenestration if separated from one another.

FIG. 21A shows a cannula deflector 40 that protrudes only from an inner wall of the outer cannula 38. An outer diameter of the outer cannula 38 may not be affected by the cannula deflector 40. This may be advantageous for insertion and removal of the outer cannula 38 from an airway. The cannula deflector 40 may be near or adjoining one or more fenestration 100 or may be separated from the one or more fenestrations 100 by a predetermined distance. Typically, the deflector and fenestration may have to be located close together due to the limited space requirements imposed by the tracheal diameter. The embodiments described in FIGS. 19, 20A and 21A may be especially applicable in cases in which a single cannula tracheostomy tube is being used, since a tracheostomy tube inner cannula is not placed into the tracheostomy tube. A tracheostomy tube inner cannula, when used with a dual cannula tracheostomy tube, is typically as large as possible to optimize gas delivery. The deflector may require a smaller diameter tracheostomy tube inner cannula contrary to common practice.

In addition to the location of the cannula deflector 40 and the one or more intra-airway sensors 90 shown in FIGS. 19, 20A and 21A as a superior location, the cannula deflector 40 may be located at other positions on the outer cannula 38. Other positions for the cannula deflector 40 may be an inferior side 122 of the outer cannula 38 as shown in FIGS. 20B and 21B and/or a lateral side 121 of the outer cannula 38 (not shown). Preferably, the one or more intra-airway sensors 90 may be located on corresponding sides of the ventilation catheter 39. For example, if the cannula deflector 40 is on the inferior side 122 of the outer cannula 38, the one or more intra-airway breath sensors 90 may be located on an inferior side of the ventilation catheter 39. Various positions and combination may be used. The sensor 90 may be positioned at a location away from the midline of the catheter 38 so that when inserted, the sensor does not get damaged by rubbing on the deflector.

FIG. 22 shows an embodiment of the present invention with a short tracheostomy tube 49. An inner ventilation catheter 47 may extend distally beyond a distal end 51 the short tracheostomy tube 49. The embodiment of FIG. 8a may be beneficial because the short tracheostomy tube 49 may extend into an airway only as far as necessary to prevent one or more intra-airway breath sensors 90 from contacting the tissue and/or and or reduce accumulation of debris on the one or more intra-airway breath sensors. The patient's airway, therefore, may be potentially more open to spontaneous breathing. In addition, this configuration may facilitate measuring a breathing signal that is closer to the true signal, since there is less obstruction of spontaneous gas flow by the device, for example less Venturi effects, turbulence and dampening of the tracheal flow and pressure. An inner ventilation catheter seal 113 is shown.

FIG. 23 shows an embodiment of the present invention where the ventilation catheter 47 may be adapted to be placed in a stoma sleeve 48. The stoma sleeve 48 may only marginally extend into the airway. The marginal extension into the airway may provide enough shielding for the one or more intra-airway breath sensors 90 to prevent contact with tissue and/or reduce accumulation of debris. The embodiment of FIG. 22 may be beneficial because the stoma sleeve 48 may be of a relatively small diameter and, therefore, less obtrusive to a patient P. Use of the stoma sleeve 48 may be useful when the patient P is not at risk of requiring full

support ventilation because the stoma sleeve 48 typically does not include a standard 15mm connector required for connection to a conventional ventilator. The stoma sleeve is preferably different than a similar conventional device known as the Montgomery T-Tube, because the stoma sleeve must be configured to create space between the sleeve and the ventilation catheter to define an annular space for the breath sensor. Also, the stoma sleeve is preferably different than a similar conventional device known as a stoma stent such as the Hood Stoma Stent, because the stoma stent does not elongate into the tracheal airway. The stoma sleeve and main lumen there through must elongate a distance into the tracheal lumen in order to define the annular space or protective zone for the breath sensors. Some patients may require the tracheostomy tube compatible version, rather than the stoma sleeve version. For example, if a patient requires other respiratory treatments and accessories on occasion or is at risk of requiring conventional mechanical ventilation, the 15mm respiratory connector that is part of the tracheostomy tube will facilitate attachment to other respiratory treatments.

Other embodiments of the present invention may have alternative or supplemental protection for the one or more intra-airway breath sensors. For the purposes of this disclosure, the terms protectors and shielding are used interchangeably. Various forms of protection may be used interchangeably or together. In the following exemplary embodiments, the outer cannula or stoma sleeve may be replaced or used with alternative protection devices. Preferably, protectors and/or shields may be airflow permeable.

FIG. 24 shows a fenestrated shield 136 on a ventilation catheter 27. The ventilation catheter 27 may be inserted into an airway, such as a trachea T through a stoma tract 134 or other similar opening. The ventilation catheter may preferably be inserted directly through the stoma tract 134, but may be inserted through a tracheostomy tube or other similar apparatus if needed. A ventilation catheter neck flange 132 may provide positioning and securing of the ventilation catheter 27. One or more intra-airway breath sensors 90 may be mounted on the ventilation catheter 27. The one or more intra-airway breath sensors may be protected by the fenestrated shield 136.

The fenestrated shield 136 may be a basket-type device and is permeable to airflow. The basket may be a woven or braided filament or wire structure with one or both ends of the structure attached to the ventilation catheter shaft. The structure has a normally expanded dimension, but can be easily compressed into a compressed dimension for insertion of the ventilation catheter 27 through the stoma 134.

FIG. 25A shows a basket type fenestrated shield 136 that may be collapsed by a pull wire mechanism or stretch mechanism (not shown) from a collapsed state C to an expanded state E and back. The pull wire mechanism is attached to the proximal end of the basket wire structure. Pulling on the wire in the proximal direction elongates the structure proximally, such that the structure diameter reduces or collapses. Therefore, the proximal end of the basket wire structure is slideably attached to the ventilation catheter shaft. The basket type fenestrated shield 136 may also be collapsed by temperature sensitive shape memory alloys that respond to temperature change. The materials may be in a first collapsed state at room temperature, but upon insertion into an airway, the materials may enter a second expanded state based upon the change in temperature from room temperature to the temperature within the airway. The basket type fenestrated shield 136 may also be tapered to facilitate insertion and removal of the ventilation catheter 27 through the stoma. The wires of the basket may be very resilient and pliable to facilitate insertion or removal without requiring uncomfortable amounts of forces. FIG. 25B is an end view of the device of FIG. 25A when in the expanded state. When the basket type fenestrated shield 136 is in an expanded state E, the basket type fenestrated shield 136 has a diameter larger than the diameter of the ventilation catheter 27. However, when the basket type fenestrated shield 136 is in a collapsed state C, the basket type fenestrated shield 136 may have a diameter only marginally larger than the diameter of the ventilation catheter 27. In the collapsed state C, the basket type fenestrated shield 136 may collapsed against an outer surface of the ventilation catheter 27.

The one or more intra-airway breath sensors 90 may be disposed on the ventilation catheter 27. Preferably, the basket type fenestrated shield 136 may at least partially surround the one or more intra-airway breath sensors 90 when the

basket type fenestrated shield 136 is in an expanded state E. The one or more intraairway breath sensors 90 may prevent tissue contact and/or may reduce accumulation of debris on the one or more intra-airway breath sensors 90.

Alternatively, the protection device may be a cuff or any other similar structure that is airflow permeable.

FIG. 26 shows an airflow permeable shield 138 that may be conical and tapered to favor removal out of a stoma tract 134. The airflow permeable shield 138 may be coupled to a ventilation catheter 27 at a tapered end of the airflow permeable shield 138. The airflow permeable shield 138 may be collapsible. To collapse the airflow permeable shield 138 for insertion, the airflow permeable shield 138 may be composed of shape-memory materials. The airflow permeable shield 138 may be provided in a collapsed state C and then may then expand to an expanded state E after insertion into an airway by responding to body temperature. Alternatively, the airflow permeable shield 138 may be folded by hand or machine into the collapsed state C and then inserted into the airway and then self-expand or manually or mechanically expand to the expanded state E. The airflow permeable shield 138 may assume predetermined conical protective shield folds 140 when collapsed. The airflow permeable shield 138 may manually, mechanically or automatically collapse prior to or during removal from the airway and stoma.

The airflow permeable shield 138 may include one or more fenestrations 100. The one or more fenestrations 100 may be lengthened to facilitate collapsing and expanding of the airflow permeable shield 138. Alternatively, the airflow permeable shield may be permeable to airflow without the one or more fenestrations 100.

The intra-airway breath sensors of various embodiments of the present invention may be combined with breath sensors within the ventilation gas delivery circuit so patient breathing and ventilator activity may be monitored separately, but simultaneously. For example as shown in FIG. 27, the intra-airway breath sensor 90 as described in the above embodiments can be used to measure the patient's breathing, and the effect the ventilator V has on the patient's respiratory system, while a sensor 108 measuring the output of the ventilator V in the gas delivery circuit 21 is measuring the ventilator output.

Although the foregoing description is directed to the preferred embodiments of the invention, it is noted that other variations and modifications will be apparent to those skilled in the art, and may be made without departing from the spirit or scope of the invention. Moreover, features described in connection with one embodiment of the invention may be used in conjunction with other embodiments, even if not explicitly stated above.

### **WHAT IS CLAIMED IS:**

1. A breath sensing and ventilation delivery apparatus comprising: a catheter,

- one or more intra-airway breath sensors coupled to an outer surface of the catheter, and
- an airflow permeable protector, wherein the airflow permeable protector at least partially surrounds the catheter such that the airflow permeable protector prevents the one or more intra-airway breath sensors from contacting a tissue and reduces accumulation of debris on the one or more intra-airway breath sensors.
- 2. The apparatus of claim 1, wherein the airflow permeable protector is an outer cannula.
- 3. The apparatus of claim 2, wherein the outer cannula has one or more fenestrations.
- 4. The apparatus of claim 2, wherein the outer cannula at least partially surrounds the catheter forming an annular space between the outer cannula and the catheter.
- 5. The apparatus of claim 1, wherein the airflow permeable protector is a protective shield.
- 6. The apparatus of claim 5, wherein the protective shield is selected from the group consisting of a basket, a cone, a cuff, a grouping of wires or filaments, a shield tapered on at least one end, a shield collapsible against an outer surface of the ventilation catheter, stoma sleeve, and combinations thereof.
- 7. The method of claim 1, wherein the one or more intra-airway breath sensors are selected from the group consisting of thermal sensors, pressure sensors, pressure sensors, pressure sensors, pressure sensors, greater sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
  - 8. A breath sensing and ventilation delivery apparatus comprising: a ventilation catheter,
  - an outer cannula with one or more fenestrations, wherein the outer cannula at least partially surrounds the ventilation catheter to create an annular

space between an inner diameter of the outer cannula and an outer diameter of the ventilation catheter, and

- one or more intra-airway breath sensors within the annular space between an inner diameter of the outer cannula and an outer diameter of the ventilation catheter.
- 9. The apparatus of claim 8, wherein the ventilation catheter extends beyond a distal portion of the outer cannula and into an airway.
- 10. The apparatus of claim 8, further comprising a positioner for positioning the ventilation catheter at a predetermined position within the outer cannula.
- 11. The apparatus of claim 10, wherein the positioner is basket-type device.
- 12. The apparatus of claim 10, wherein the positioner is an deflector in a wall of the outer cannula.
- 13. The apparatus of claim 8, further comprising an anchor for preventing movement of a distal tip of the ventilation catheter.
- 14. The apparatus of claim 8, wherein the one or more fenestrations are located in a position selected from the group consisting of a superior side of the outer cannula, an inferior side of the outer cannula, a lateral side of the outer cannula, and combinations thereof.
- 15. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are selected from the group consisting of thermal sensors, pressure sensors, pressure sensors, pressure sensing lumen, tubes with sensing lumen, sensing subassemblies, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
- 16. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are multiple elements placed in an array, wherein one element is used as a reference signal.
- 17. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are coupled to the ventilation catheter.
- 18. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are coupled to the outer cannula.
- 19. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are de-coupled from the ventilation catheter and the outer cannula.

20. The apparatus of claim 8, wherein the one or more intra-airway breath sensors are a sensing lumen not in communication with a ventilation catheter gas delivery circuit, wherein the sensing lumen comprises a sensing element and a port positioned in the annular space and wherein the sensing element is located external to a body and communicating with the sensing lumen.

- 21. The apparatus of claim 8, wherein the ventilation catheter is removable.
- 22. The apparatus of claim 8, further comprising a seal between the outer cannula and the ventilation catheter at a location proximal to the one or more intra-airway breath sensors.
- 23. The apparatus of claim 8, wherein the ventilation catheter comprises a moveable connection between the outer cannula and a flange seal.
  - 24. A breath sensing and ventilation delivery apparatus comprising: a tubular member with one or more fenestrations, wherein spontaneous respiration by a patient passes through the one or more fenestrations, one or more intra-airway breath sensors within a lumen of the tubular member,
  - wherein the tubular member is at least partially inserted into an airway such that the one or more intra-airway breath sensors are located within the airway, and
  - wherein the one or more intra-airway breath sensors are exposed to the spontaneous respiration by the patient while within the airway.
- 25. The apparatus of claim 24, wherein the one or more fenestrations are located in a position selected from the group consisting of a superior side of the tubular member, an inferior side of the tubular member, and combinations thereof.
- 26. The apparatus of claim 24, wherein the one or more intra-airway breath sensors are selected from the group consisting of thermal sensors, pressure sensors, pressure sensors, pressure sensing lumen, tubes with sensing lumen, sensing subassemblies, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
  - 27. A breath sensing and ventilation delivery apparatus comprising:

an airflow permeable protector with one or more fenestrations, wherein spontaneous respiration by a patient passes through the one or more fenestrations.

- one or more intra-airway breath sensors at least partially surrounded by the airflow permeable protector,
- wherein the airflow permeable protector is at least partially inserted into an airway such that the one or more intra-airway breath sensors are located within the airway, and
- wherein the one or more intra-airway breath sensors are exposed to the spontaneous respiration by the patient while within the airway.
- 28. The apparatus of claim 27, wherein the one or more fenestrations are located in a position selected from the group consisting of a superior side of the airflow permeable protector, an inferior side of the airflow permeable protector, and combinations thereof.
- 29. The apparatus of claim 27, wherein the one or more intra-airway breath sensors are selected from the group consisting of thermal sensors, pressure sensors, pressure sensors, pressure sensing lumen, tubes with sensing lumen, sensing subassemblies, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
  - 30. A breath sensing and ventilation catheter apparatus comprising: a ventilation catheter for ventilation gas delivery,
  - at least one breath sensor positioned on an outside surface of the ventilation catheter,
  - an airflow permeable shield at least partially surrounding the at least one breath sensor, and
  - wherein the airflow permeable shield prevents contact of the at least one breath sensor with tissue and reduces accumulation of debris on the at least one breath sensor.
- 31. The apparatus of claim 30, wherein the airflow permeable shield is a collapsible basket.
- 32. The apparatus of claim 30, wherein the airflow permeable shield is a cone tapering from a proximal end to a distal end, and wherein the cone further comprises one or more fenestrations.

33. The apparatus of claim 30, wherein the airflow permeable shield is a cuff.

- 34. The apparatus of claim 30, wherein the airflow permeable shield is a stoma sleeve.
- 35. The apparatus of claim 30, wherein the airflow permeable shield is collapsible against an outer surface of the ventilation catheter.
- 36. The apparatus of claim 30, wherein the at least one breath sensor is selected from the group consisting of thermal sensors, pressure sensors, pressure sensing lumens, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
  - 37. A method for breath sensing and ventilation comprising: inserting at least one intra-airway breath sensor in an airway of a patient, wherein the at least one intra-airway breath sensor is within with the patient's own airway gas flow and the at least one intra-airway breath sensor is not located within a ventilator gas flow, and
  - wherein the at least one intra-airway breath sensor is shielded from contacting tissue and from accumulating debris by a protection device.
- 38. The method of claim 37, wherein the protection device is an outer cannula.
- 39. The method of claim 38, wherein the outer cannula at least partially surrounds a ventilation catheter for providing the ventilator gas flow, wherein the outer cannula forms an annular space between the outer cannula and the ventilation catheter.
- 40. The method of claim 39, wherein the at least one intra-airway breath sensor is within the annular space.
- 41. The method of claim 38, wherein the outer cannula has one or more fenestrations.
- 42. The method of claim 37, wherein the protection device is a protective shield.
- 43. The method of claim 42, wherein the protective shield is selected from the group consisting of a basket, a cone, a cuff, a grouping of wires or filaments, a shield tapered on at least one end, a shield collapsible against an outer surface of the ventilation catheter, stoma sleeve, and combinations thereof.

44. The method of claim 37, wherein the at least one intra-airway breath sensor is selected from the group consisting of thermal sensors, pressure sensors, pressure sensing lumen, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.

- 45. A method for breath sensing and ventilation comprising:
- inserting at least one intra-airway breath sensor in a path of a patient's airway airflow, but not within a ventilation gas delivery circuit,
- monitoring the patient's airway airflow with the at least one intra-airway breath sensor,
- operating at least one ventilation gas sensor within a ventilation gas delivery circuit, and
- monitoring the ventilator gas delivery airflow with the at least one ventilation gas sensor simultaneous with monitoring the patient's airway airflow with the at least one intra-airway breath sensor.
- 46. The method of claim 45, wherein the at least one intra-airway breath sensor is coupled to a ventilation catheter.
- 47. The method of claim 45, wherein the at least one intra-airway breath sensor is at least partially surrounded by a protector.
  - 48. The method of claim 47, wherein the protector is an outer cannula.
- 49. The method of claim 48, wherein the outer cannula comprises one or more fenestrations.
- 50. The method of claim 47, wherein the protector is an airflow permeable shield.
- 51. The method of claim 50, wherein the airflow permeable shield is selected from the group consisting of a basket, a cone, a cuff, a grouping of wires or filaments, a shield tapered on at least one end, a shield collapsible against an outer surface of the ventilation catheter, stoma sleeve, and combinations thereof.
- 52. The method of claim 45, wherein the at least one intra-airway breath sensor is selected from the group consisting of thermal sensors, pressure sensors, pressure sensing lumen, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.
  - 53. An apparatus for breath sensing and ventilation comprising:

a ventilation catheter for supplying ventilation gas to a patient via a ventilation gas delivery circuit,

- a sensing conduit not in communication with the ventilation catheter gas delivery circuit,
- an opening in the sensing conduit for allowing flow of spontaneous breathing of the patient through the sensing conduit when the opening is positioned within an airway, and
- a sensing element communicating with the sensing conduit for detecting the spontaneous breathing of the patient, wherein the sensing element is located external to the patient.
- 54. The apparatus of claim 53, further comprising an outer cannula at least partially surrounding the ventilation catheter and the sensing conduit.
- 55. The apparatus of claim 54, wherein the outer cannula comprises one or more fenestrations.
- 56. The apparatus of claim 53, further comprising a protector at least partially surrounding the ventilation catheter and the sensing conduit.
- 57. The apparatus of claim 56, wherein the protector is selected from the group consisting of a basket, a cone, a cuff, a grouping of wires or filaments, a shield tapered on at least one end, a shield collapsible against an outer surface of the ventilation catheter, stoma sleeve, and combinations thereof.
  - 58. A breath sensing and ventilation delivery apparatus comprising: a ventilation catheter,
  - an outer cannula, wherein the outer cannula at least partially surrounds the ventilation catheter to create an annular space between an inner diameter of the outer cannula and an outer diameter of the ventilation catheter, and
  - one or more intra-airway breath sensors within the annular space between an inner diameter of the outer cannula and an outer diameter of the ventilation catheter.
- 59. The apparatus of claim 58, wherein the one or more intra-airway breath sensors are coupled to the ventilation catheter.
- 60. The apparatus of claim 58, wherein the one or more intra-airway breath sensors are coupled to the outer cannula.

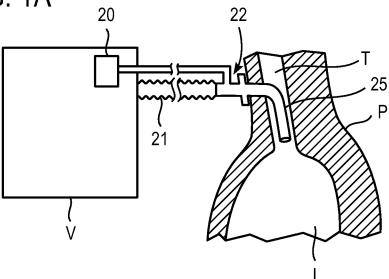
61. The apparatus of claim 58, wherein the one or more intra-airway breath sensors are de-coupled from the ventilation catheter and the outer cannula.

62. The apparatus of claim 58, wherein the at least one intra-airway breath sensor is selected from the group consisting of thermal sensors, pressure sensors, pressure sensing lumen, gas composition sensors, flow sensors, ultrasonic sensors, resistivity sensors, piezoelectric sensors, light emittance/reflectance sensors, and combinations thereof.

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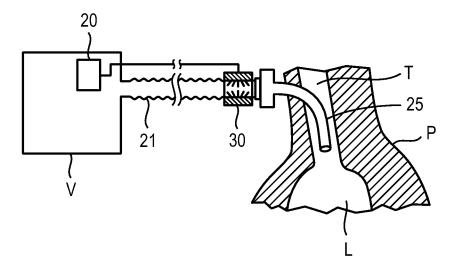
**PRIOR ART** 

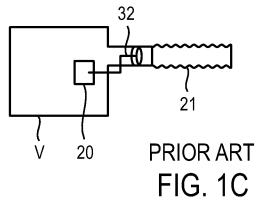
FIG. 1A

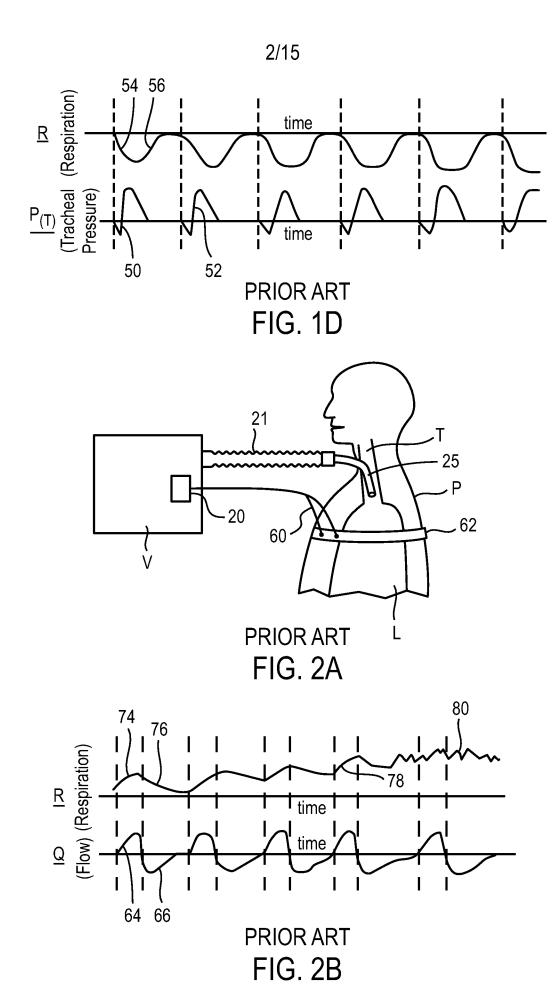


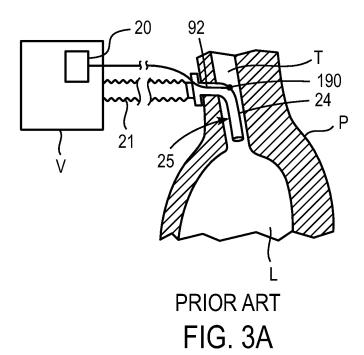
**PRIOR ART** 

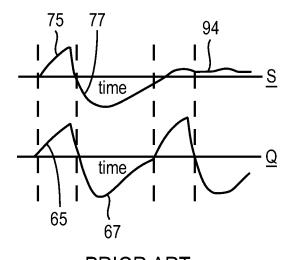
FIG. 1B





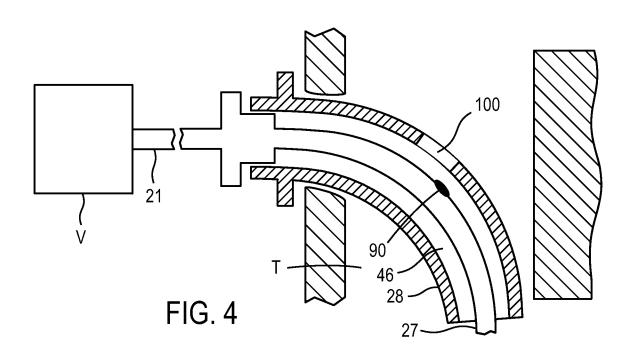


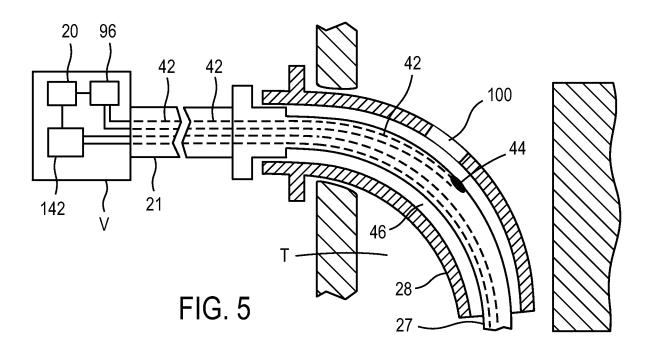




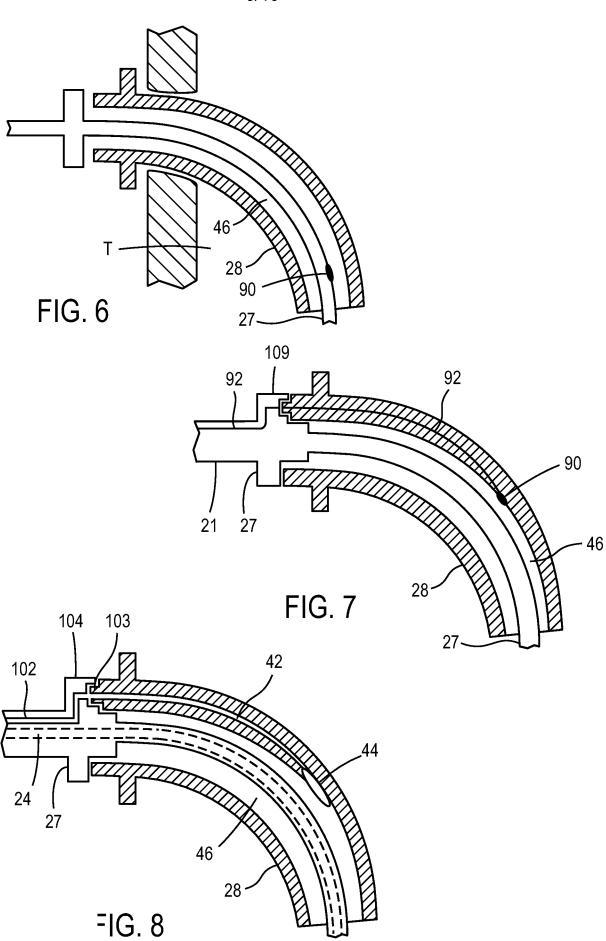
PRIOR ART FIG. 3B

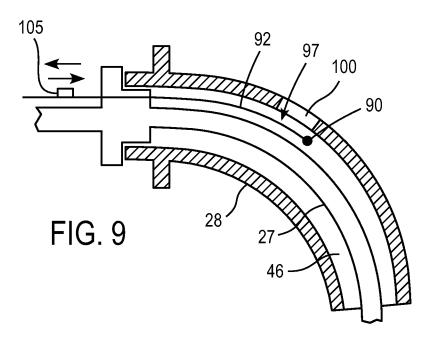


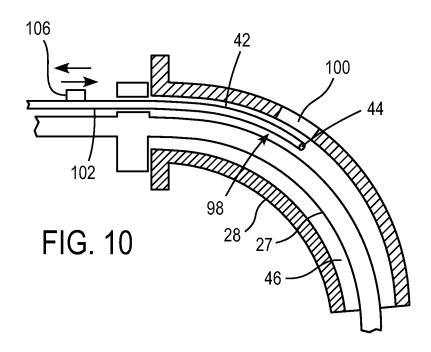


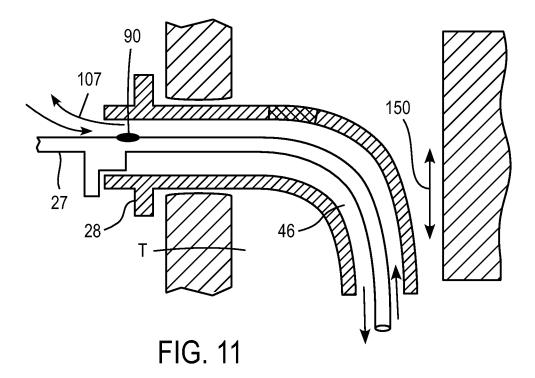


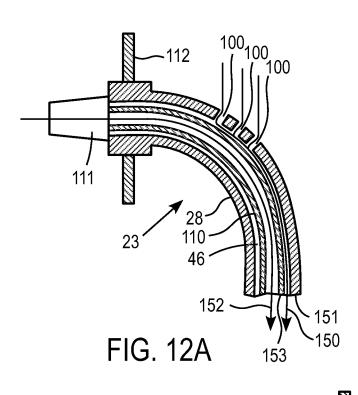


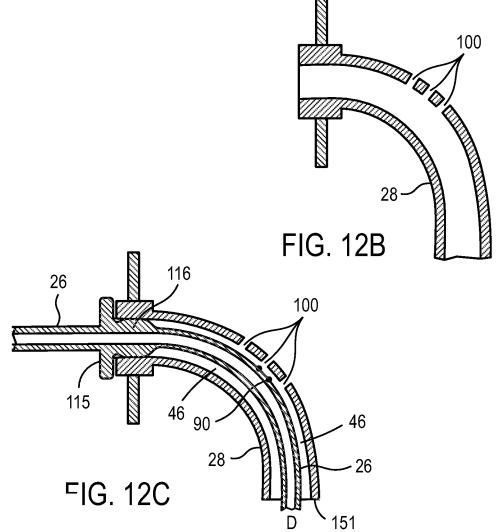


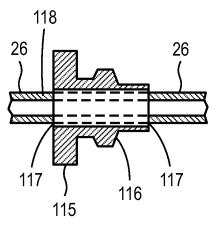


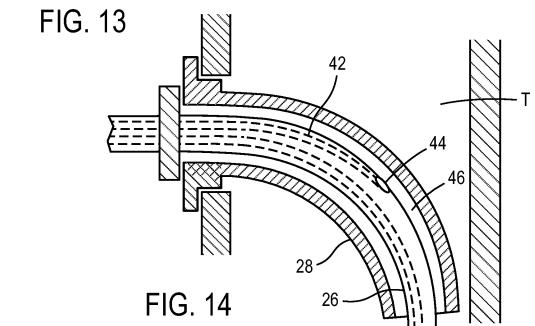


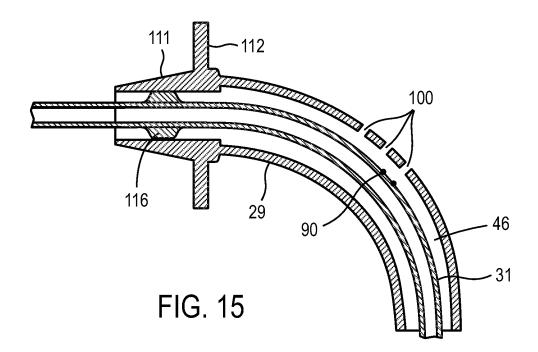














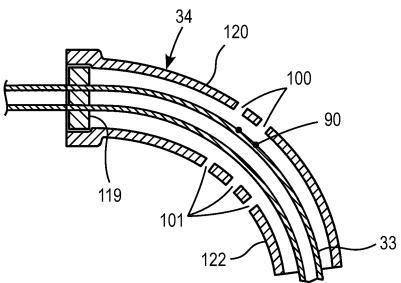
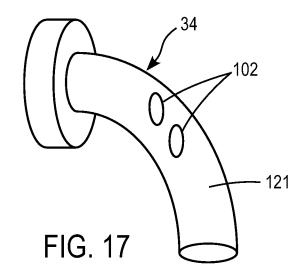
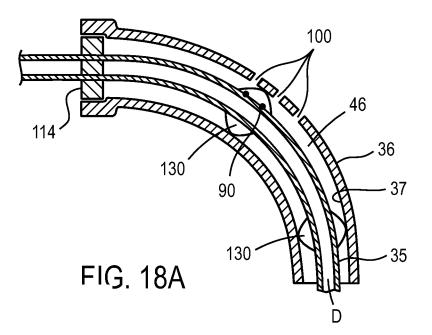


FIG. 16





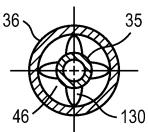
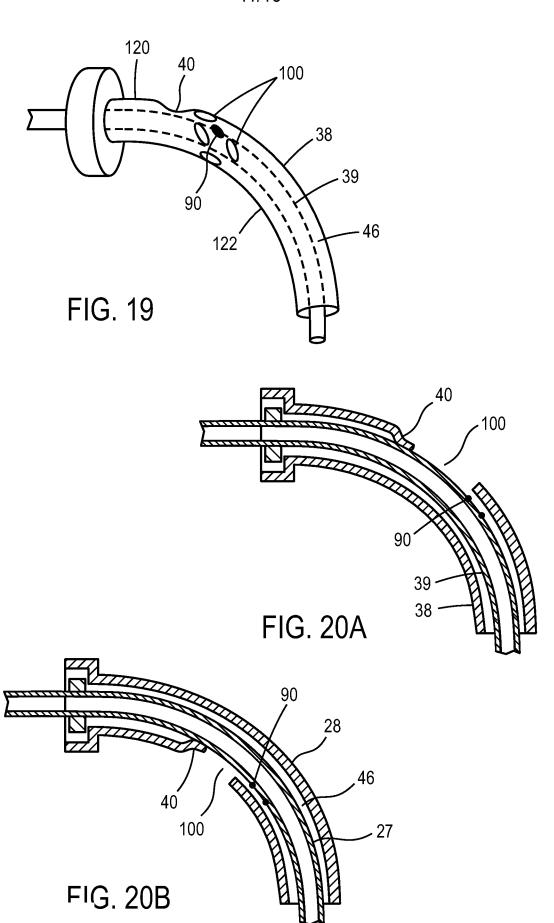
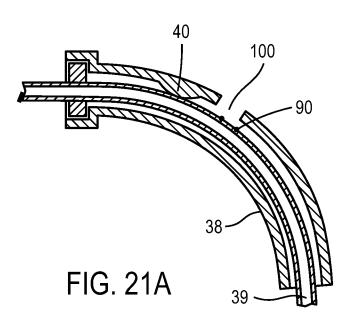
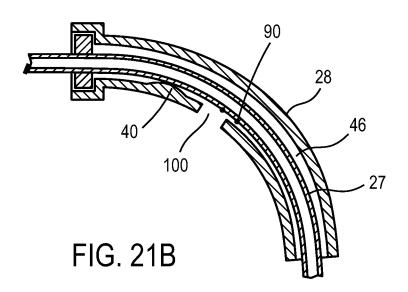


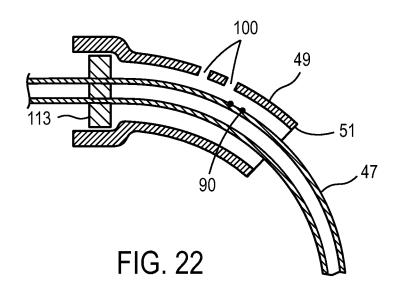
FIG. 18B











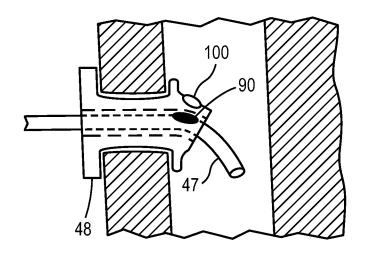


FIG. 23

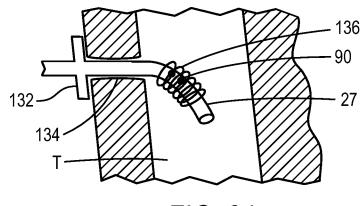
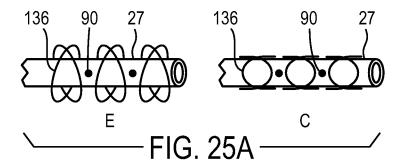
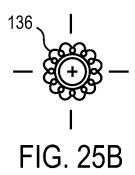
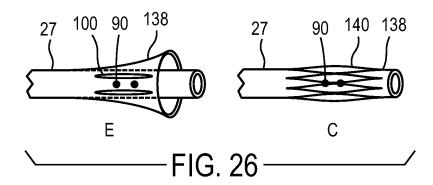


FIG. 24







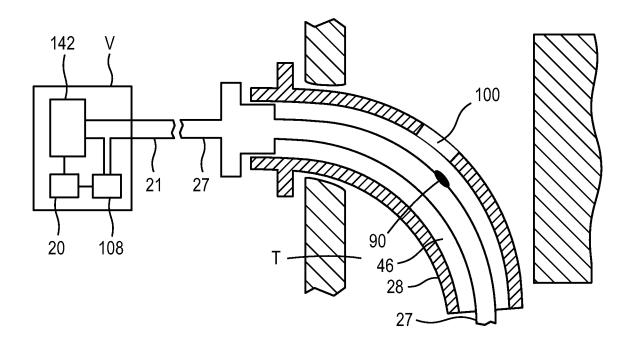


FIG. 27

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US 08/64015

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - A61M 16/00, A62B 7/00, F16K 31/02 (2008.04) USPC - 128/204.23					
According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED					
Minimum documentation searched (classification system followed by classification symbols) USPC: 128/204.23					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC: 128/200.24, 204.18, 204.21, 204.26					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST (PGPB,USPT,USOC,EPAB,JPAB) Google (Patents, Scholar, and Web) Search Terms Used: catheter cannula respiratory fenestration sensor shield seal ventilation permeable					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.		
X	US 2005/0034721 A1 (FREITAG) 17 February 2005 (17.02.2005), entire document, especially: para [0012], [0021], [0028], [0037], Fig. 3		58-60. 62		
Υ			1-57, 61		
Υ	US 5,419,314 A (CHRISTOPHER) 20 May 1995 (30.05.1995), entire document, especially: Abstract; col. 4, ln 37-41; col. 5, ln 66 - col. 6, ln 4;		1-36, 41, 45-57		
Y	US 2006/0264772 A1 (ALJURI et al.) 23 November 2006 (23.11.2006), para [0057], [0065]		16, 19, 20, 22, 23, 37-44, 61		
Y	US 5,368,017 A (SORENSON et al.) 29 November 1994 (29.11.1994), col. 5, ln 12-14; col. 7, ln 17-19; col. 12, ln 20-50		5, 6, 13, 31-35, 42, 43, 50, 51, 57		
Further documents are listed in the continuation of Box C.					
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"E" earlier a			claimed invention cannot be		
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other "Y" document of particular relevance; to		claimed invention cannot be			
•	document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination				
"P" document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed					
Date of the actual completion of the international search 22 September 2008 (22.09.2008)		26 SEP 2008			
Name and mailing address of the ISA/US  Authorized officer:					
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450		Lee W. Young			
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