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(54) Title: DOSAGE OF FOAM FOR DELIVERING CONSUMER DESIRED DOSAGE VOLUME AND SURFACTANT AMOUNT IN AN OPTIMAL FORMULATION SPACE

Table 1: Volume of Foam Dosed Onto Hand

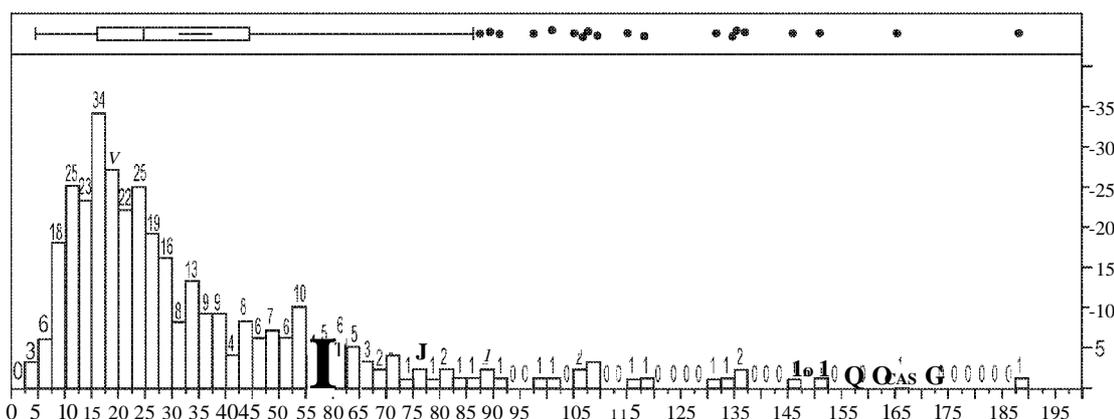


Fig. 1

(57) Abstract: Described herein is a dosage of foam including from 7.5 cm³ to 70 cm³ of the foam. The foam comprises (a) from 0.5 g to 4 g of a detergent surfactant, (b) from 0.001 g to 4 g propellant, and exhibits (c) a foam density of from 0.05 g/cm³ to 0.35 g/cm³, (d) a bubble size distribution having an R32 of from 5 µm to 100 µm and (e) a beta value of from 0.0075 g/cm³ to 0.1575 g/cm³.

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*DOSAGE OF FOAM FOR DELIVERING CONSUMER DESIRED DOSAGE VOLUME AND  
SURFACTANT AMOUNT IN AN OPTIMAL FORMULATION SPACE*

FIELD OF THE INVENTION

5 Described herein is a concentrated shampoo dosage of foam which delivers consumer desired dosage volume and surfactant amount in an optimal formulation space.

BACKGROUND OF THE INVENTION

10 Foams for the hair care represent an attractive form to the consumers. A shampoo product delivered via foam is readily spread on hair and enables hair cleansing without leaving significant residue on hair. The low density of the foam necessitates a high surfactant composition in order for the consumer to receive the appropriate level of cleansing in a realistic product volume in one dose. The delivery of shampoo and conditioner products via foam is not common today. Thus, the volume and performance of the delivered dosage of foam must be delightful to the consumer. Based  
15 on the foregoing, there is a need for concentrated shampoo products that are delivered as foams with consumer preferred properties including dosage volume and surfactant amount.

Described herein are dosages of foam having a  $\beta$  value which delivers consumer desired dosage volume and surfactant amount in an optimal formulation space.

20 SUMMARY OF THE INVENTION

Described herein is a dosage of foam comprising from about 7.5 cm<sup>3</sup> to about 70 cm<sup>3</sup> of the foam wherein the foam comprises: (a) from about 0.5 g to about 4 g of a deterative surfactant by weight of the foam; (b) from about 0.001 g to about 4 g propellant by weight of the foam; (c) a foam density of from about 0.05 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>; (d) a bubble size distribution  
25 comprising an R<sub>32</sub> of from about 5  $\mu\text{m}$  to about 100  $\mu\text{m}$ ; and (e) a  $\beta$  value of from about 0.0075 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>.

Also described herein is a dosage of foam comprising from about 7.5 cm<sup>3</sup> to about 70 cm<sup>3</sup> of the foam wherein the foam comprises: (a) from about 0.5 g to about 4 g of a deterative surfactant by weight of the foam; (b) from about 0.00005 g to about 0.25 g of a cationic deposition polymer  
30 by weight of the foam; (c) a foam density of from about 0.05 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>; (d) a bubble size distribution comprising an R<sub>32</sub> of from about 5  $\mu\text{m}$  to about 100  $\mu\text{m}$ ; and (e) a  $\beta$  value of from about 0.0075 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows the volume of foam dosed onto a hand for approximately 40 consumers per product, for a total of 355 data points;

FIG. 2 shows the grams of surfactant dosed onto a hand for approximately 60 consumers  
5 using Pantene® Clarifying Shampoo;

FIG. 3 shows the volume of foam dosed onto a hand before use; and

FIG. 4 shows the grams of surfactant dosed onto a hand for approximately 30 consumers.

## DETAILED DESCRIPTION OF THE INVENTION

10 While the specification concludes with claims particularly pointing out and distinctly claiming the invention, it is believed that the present invention will be better understood from the following description.

As used herein, the term "fluid" includes liquids and gels.

As used herein, the articles including "a" and "an" when used in a claim, are understood  
15 to mean one or more of what is claimed or described.

As used herein, "comprising" means that other steps and other ingredients which do not affect the end result can be added. This term encompasses the terms "consisting of" and "consisting essentially of".

As used herein, "mixtures" is meant to include a simple combination of materials and any  
20 compounds that may result from their combination.

As used herein, "molecular weight" or "Molecular weight" refers to the weight average molecular weight unless otherwise stated. Molecular weight of polymers may be measured using industry standard method, gel permeation chromatography ("GPC").

As used herein, the terms "include," "includes," and "including," are meant to be non-  
25 limiting and are understood to mean "comprise," "comprises," and "comprising," respectively.

As used herein, the term "viscosity reducing agent" can mean organic compounds having a molecular weight of from about 100 to about 300 daltons, alternatively from about 125 daltons to about 300 daltons. Additionally, the viscosity reducing agents may have a water solubility at between 23 and 25 degrees Celsius of from about 900 to 50,000 mg/L.

30 All percentages, parts and ratios are based upon the total weight of the compositions of the present invention, unless otherwise specified. All such weights as they pertain to listed ingredients are based on the active level and, therefore, do not include carriers or by-products that may be included in commercially available materials.

Unless otherwise noted, all component or composition levels are in reference to the active portion of that component or composition, and are exclusive of impurities, for example, residual solvents or by-products, which may be present in commercially available sources of such components or compositions.

5 It should be understood that every maximum numerical limitation given throughout this specification includes every lower numerical limitation, as if such lower numerical limitations were expressly written herein. Every minimum numerical limitation given throughout this specification will include every higher numerical limitation, as if such higher numerical limitations were expressly written herein. Every numerical range given throughout this specification will include  
10 every narrower numerical range that falls within such broader numerical range, as if such narrower numerical ranges were all expressly written herein.

#### Dosage of Foam

The dosage of foam can have a volume of from about 5 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively  
15 from about 7.5 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively from about 10 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively from about 20 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively from about 30 cm<sup>3</sup> to about 70 cm<sup>3</sup>, alternatively from about 7.5 cm<sup>3</sup> to about 45 cm<sup>3</sup>, alternatively from about 10 cm<sup>3</sup> to about 45 cm<sup>3</sup>, alternatively from about 5 cm<sup>3</sup> to about 40 cm<sup>3</sup>, alternatively from about 7.5 cm<sup>3</sup> to about 30 cm<sup>3</sup>, alternatively from about 10 cm<sup>3</sup> to about 90 cm<sup>3</sup>, alternatively  
20 from about 5 cm<sup>3</sup> to about 150 cm<sup>3</sup>, alternatively from about 5 cm<sup>3</sup> to about 30 cm<sup>3</sup>, alternatively from about 2.5 cm<sup>3</sup> to about 40 cm<sup>3</sup>, alternatively from about 10 cm<sup>3</sup> to about 50 cm<sup>3</sup>, alternatively from about 2.5 cm<sup>3</sup> to about 40 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 90 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 150 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 30 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 40 cm<sup>3</sup>, alternatively from about 15 cm<sup>3</sup> to about 50 cm<sup>3</sup>, alternatively  
25 from about 20 cm<sup>3</sup> to about 60 cm<sup>3</sup>, alternatively from about 20 cm<sup>3</sup> to about 50 cm<sup>3</sup>, and alternatively from about 15 cm<sup>3</sup> to about 40 cm<sup>3</sup>.

The dosage of foam can comprise from about 0.5 g to about 12 g, alternatively from about 0.5 g to about 8 g, alternatively from about 0.5 g to about 4 g, alternatively from about 0.5 g to about 3 g, alternatively from about 0.5 g to about 1.75 g, alternatively from about 0.75g to about  
30 1.75g, alternatively from about 1 g to about 1.25 g, alternatively from about 1 g to about 8 g, alternatively from about 1.25 g to about 4 g, alternatively from about 1.5 g to about 3 g, alternatively from about 1.25 g to about 2.0 g, alternatively from about 1 g to about 1.75 g, alternatively from about 1 g to about 1.25 g of a deterative surfactant by weight of the foam.

The dosage of foam can also comprise from about 0.0001 g to about 5 g, alternatively from about 0.001 g to about 5 g, alternatively from about 0.001 g to about 4 g, alternatively from about 0.01 g to about 4 g, alternatively from about 0.05 g to about 3 g, alternatively from about 0.075 to about 2 g, and alternatively from about 0.1 g to about 2 g propellant by weight of the foam.

5 The dosage of foam can also have a foam density of from about 0.05 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>, alternatively from about 0.055 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>, alternatively from about 0.125 g/cm<sup>3</sup> to about 0.275 g/cm<sup>3</sup>, alternatively from about 0.125 g/cm<sup>3</sup> to about 0.25 g/cm<sup>3</sup>, alternatively from about 0.08 g/cm<sup>3</sup> to about 0.25 g/cm<sup>3</sup>, alternatively from about 0.08 g/cm<sup>3</sup> to about 0.2 g/cm<sup>3</sup>, alternatively from about 0.08 g/cm<sup>3</sup> to about 0.18 g/cm<sup>3</sup>, alternatively from about 0.08 g/cm<sup>3</sup> to about 0.15 g/cm<sup>3</sup>, alternatively from about 0.08 g/cm<sup>3</sup> to about 0.12 g/cm<sup>3</sup>; alternatively from about 0.1 g/cm<sup>3</sup> to about 0.12 g/cm<sup>3</sup>, alternatively from about 0.12 g/cm<sup>3</sup> to about 0.2 g/cm<sup>3</sup>, or alternatively from about 0.15 g/cm<sup>3</sup> to about 0.2 g/cm<sup>3</sup>.

The dosage of foam can also have a bubble size distribution comprising an R<sub>32</sub> of from about 5 μm to about 100 μm, alternatively from about 10 μm to about 60 μm, alternatively from about 20 μm to about 50 μm; and alternatively from about 25 μm to about 40 μm.

The dosage of foam can have a yield point of from about 5 Pa to about 100 Pa, alternatively from about 15 Pa to about 100 Pa, alternatively from about 20 Pa to about 100 Pa, alternatively from about 25 Pa to about 100 Pa, alternatively from about 38 Pa to about 100 Pa alternatively from about 4 Pa to about 39 Pa, alternatively from about 5 Pa to about 20 Pa, and alternatively from about 6 Pa to about 19 Pa.

The dosage of foam can have from about 0.00005 g to about 0.25 g of a cationic deposition polymer by weight of the foam.

The dosage of foam can have a β value of from about 0.0075 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>, alternatively from about from about 0.0127 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>, alternatively from about 0.0200 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>, alternatively from about 0.0300 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>, alternatively from about 0.0300 g/cm<sup>3</sup> to about 0.1100 g/cm<sup>3</sup>, and alternatively from about 0.0300 g/cm<sup>3</sup> to about 0.0700 g/cm<sup>3</sup>.

### Hair Care Compositions

30 Also described herein are hair care compositions that can deliver the dosages of foam described herein when actuated via a mechanical or aerosol dispenser.

#### A. Deterative Surfactant

The hair care compositions described herein may comprise greater than about 20% by weight of a surfactant system which provides cleaning performance to the composition. The surfactant system comprises an anionic surfactant and/or a combination of anionic surfactants, with a co-surfactant selected from the group consisting of amphoteric, zwitterionic, nonionic and mixtures thereof. Various examples and descriptions of deterative surfactants are set forth in U.S. Patent No. 8,440,605; U.S. Patent Application Publication No. 2009/155383; and U.S. Patent Application Publication No. 2009/0221463, which are incorporated herein by reference in their entirety.

The hair care composition may comprise from about 10% to about 40%, from about 15% to about 36%, from about 18% to about 32%, from about 20% to about 30%, and/or from about 22% to about 28% by weight of one or more anionic surfactants.

Anionic surfactants suitable for use herein include alkyl sulfates and alkyl ether sulfates of the formula  $\text{ROSO}_3\text{M}$  and  $\text{RO}(\text{C}_2\text{H}_4\text{O})_x\text{SO}_3\text{M}$ , wherein R is alkyl or alkenyl of from about 8 to about 18 carbon atoms, x is 1 to 10, and M is a water-soluble cation such as ammonium, sodium, potassium, and triethanolamine cation or salts of the divalent magnesium ion with two anionic surfactant anions. The alkyl ether sulfates may be made as condensation products of ethylene oxide and monohydric alcohols having from about 8 to about 24 carbon atoms. The alcohols can be derived from fats such as coconut oil, palm oil, palm kernel oil, or tallow, or can be synthetic.

The composition of the present invention can also include anionic surfactants selected from the group consisting of:

- a)  $\text{R}_1\text{O}(\text{CH}_2\text{CHR}_3\text{O})_y\text{SO}_3\text{M}$ ;
- b)  $\text{CH}_3(\text{CH}_2)_z\text{CHR}_2\text{CH}_2\text{O}(\text{CH}_2\text{CHR}_3\text{O})_y\text{SO}_3\text{M}$ ; and
- c) mixtures thereof,

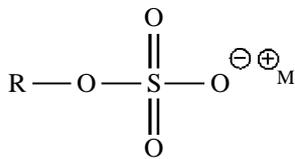
where  $\text{R}_1$  represents  $\text{CH}_3(\text{CH}_2)_i$ ,  $\text{R}_2$  represents H or a hydrocarbon radical comprising 1 to 4 carbon atoms such that the sum of the carbon atoms in z and  $\text{R}_2$  is 8,  $\text{R}_3$  is H or  $\text{CH}_3$ , y is 0 to 7, the average value of y is about 1 when y is not zero (0), and M is a monovalent or divalent, positively-charged cation.

Anionic surfactants suitable for use in the compositions are the alkyl and alkyl ether sulfates. Other suitable anionic surfactants are the water-soluble salts of organic, sulfuric acid reaction products. Still other suitable anionic surfactants are the reaction products of fatty acids esterified with isethionic acid and neutralized with sodium hydroxide. Other similar anionic surfactants are described in U.S. Patent Nos. 2,486,921; 2,486,922; and 2,396,278, which are incorporated herein by reference in their entirety.

Examples of additional anionic surfactants suitable for use herein include, but are not limited to, ammonium lauryl sulfate, ammonium laureth sulfate, triethylamine lauryl sulfate, triethylamine laureth sulfate, triethanolamine lauryl sulfate, triethanolamine laureth sulfate, monoethanolamine lauryl sulfate, monoethanolamine laureth sulfate, diethanolamine lauryl sulfate, diethanolamine laureth sulfate, lauric monoglyceride sodium sulfate, sodium lauryl sulfate, sodium laureth sulfate, potassium laureth sulfate, sodium lauryl sarcosinate, sodium lauroyl sarcosinate, lauryl sarcosine, cocoyl sarcosine, ammonium cocoyl sulfate, ammonium lauroyl sulfate, sodium cocoyl sulfate, sodium lauroyl sulfate, potassium cocoyl sulfate, potassium lauryl sulfate, monoethanolamine cocoyl sulfate, sodium trideceth-1 sulfate, sulfate, sodium trideceth-2 sulfate, sulfate, sodium trideceth-3 sulfate, sodium tridecyl sulfate, sodium methyl lauroyl taurate, sodium undecyl sulfate, sodium decyl sulfate, sodium methyl cocoyl taurate, sodium lauroyl isethionate, sodium cocoyl isethionate, sodium laurethsulfosuccinate, sodium laurylsulfosuccinate, sodium tridecyl benzene sulfonate, sodium dodecyl benzene sulfonate, and mixtures thereof.

Some non-limiting examples of anionic surfactants are:

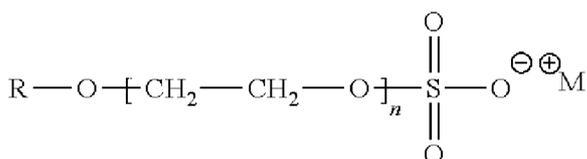
15 Alkyl Sulfates:



Alkyl sulfates

where **R** is C8-C24 alkyl (linear or branched, saturated or unsaturated) or mixtures thereof and **M**<sup>+</sup> is monovalent cation. Examples include Sodium lauryl sulfate (where **R** is C12 alkyl and **M**<sup>+</sup> is Na<sup>+</sup>), ammonium lauryl sulfate (where **R** is C12 alkyl and **M**<sup>+</sup> is NH<sub>3</sub><sup>+</sup>), and sodium coco-sulfate (where **R** is coconut alkyl and **M**<sup>+</sup> is Na<sup>+</sup>);

Alkyl Ether Sulfates:



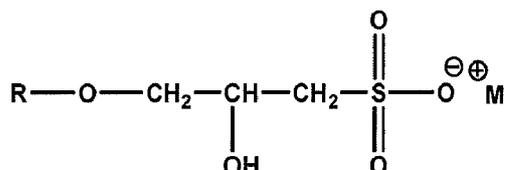
Alkyl ether sulfates

25

where **R** is C8-C24 alkyl (linear or branched, saturated or unsaturated) or mixtures thereof, n=1-12,

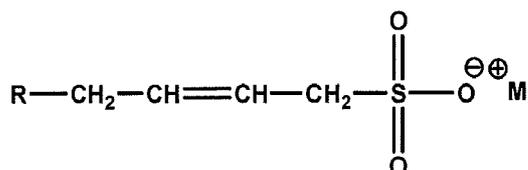
and  $M^+$  is monovalent cation. Examples include sodium laureth sulfate (where  $R$  is  $C_{12}$  alkyl and  $M^+$  is  $Na^+$ ,  $n=1-3$ ), ammonium laureth sulfate (where  $R$  is  $C_{12}$  alkyl,  $M^+$  is  $NH_3^+$ ,  $n=1-3$ ), and Sodium trideceth sulfate (where  $R$  is  $C_{13}$  alkyl,  $M^+$  is  $Na^+$ , and  $n=1-4$ );

- 5 Alkyl glyceryl ether sulfonates:



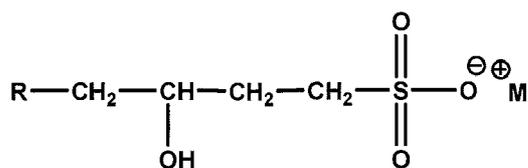
where  $R = C_8 - C_{24}$  alkyl (linear or branched, saturated or unsaturated) or mixtures thereof and  $M^+ =$  monovalent cation, such as Sodium Cocoglyceryl Ether Sulfonate ( $R =$  coco alkyl,  $M^+ = Na^+$ );

- 10 Alpha olefin sulfonates prepared by sulfonation of long chain alpha olefins. Alpha olefin sulfonates consist of mixtures of alkene sulfonates:



where  $R = C_8 - C_{18}$  alkyl or mixtures thereof and  $M^+ =$  monovalent cation;

- 15 Hydroxyalkyl sulfonates:



where  $R = C_4 - C_{18}$  alkyl or mixtures thereof and  $M^+ =$  monovalent cation. Examples include Sodium  $C_{12-14}$  Olefin Sulfonate ( $R = C_8 - C_{10}$  alkyl,  $M^+ = Na^+$ ) and Sodium  $C_{14-16}$  Olefin Sulfonate ( $R = C_{10} - C_{12}$  alkyl,  $M^+ = Na^+$ ).

- 20 The composition can also include anionic alkyl sulfates and alkyl ether sulfate surfactants having branched alkyl chains which are synthesized from  $C_8$  to  $C_{18}$  branched alcohols which may be selected from the group consisting of: Guerbet alcohols, aldol condensation derived alcohols, oxo alcohols and mixtures thereof. Non-limiting examples of the 2-alkyl branched alcohols include oxo alcohols such as 2-methyl-1-undecanol, 2-ethyl-1-decanol, 2-propyl-1-nonanol, 2-butyl-1-octanol, 2-methyl-1-dodecanol, 2-ethyl-1-undecanol, 2-propyl-1-decanol, 2-butyl-1-nonanol, 2-
- 25

pentyl-1-octanol, 2-pentyl-1-heptanol, and those sold under the tradenames LIAL® (Sasol), ISALCHEM® (Sasol), and NEODOL® (Shell), and Guerbet and aldol condensation derived alcohols such as 2-ethyl-1-hexanol, 2-propyl-1-butanol, 2-butyl-1-octanol, 2-butyl-1-decanol, 2-pentyl-1-nonanol, 2-hexyl-1-octanol, 2-hexyl-1-decanol and those sold under the tradename  
5 ISOFOL® (Sasol) or sold as alcohol ethoxylates and alkoxyates under the tradenames LUTENSOL XP® (BASF) and LUTENSOL XL® (BASF).

The anionic alkyl sulfates and alkyl ether sulfates may also include those synthesized from C8 to C18 branched alcohols derived from butylene or propylene which are sold under the trade names EXXAL™ (Exxon) and Marlipal® (Sasol). This includes anionic surfactants of the subclass  
10 of sodium trideceth-n sulfates (STnS), where n is between about 0.5 and about 3.5. Exemplary surfactants of this subclass are sodium trideceth-2 sulfates and sodium trideceth-3 sulfates. The composition of the present invention can also include sodium tridecyl sulfate.

The hair care composition may comprise a co-surfactant. The co-surfactant can be selected from the group consisting of amphoteric surfactant, zwitterionic surfactant, non-ionic surfactant  
15 and mixtures thereof. The co-surfactant can include, but is not limited to, lauramidopropyl betaine, cocoamidopropyl betaine, lauryl hydroxysultaine, sodium lauroamphoacetate, coco monoethanolamide and mixtures thereof.

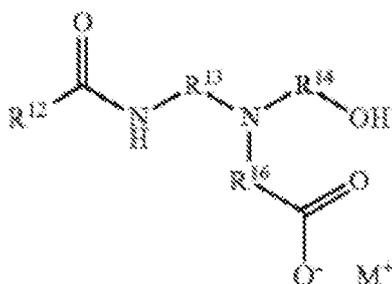
The hair care composition comprises from about 1% to about 15%, from about 2% to about 12%, from about 3% to about 10%, from about 4% to about 8% by weight of one or more co-  
20 surfactants selected from the group consisting of amphoteric surfactant, zwitterionic surfactant, non-ionic surfactant and mixtures thereof.

Suitable amphoteric or zwitterionic surfactants for use in the hair care composition herein include those which are known for use in shampoo or other hair care cleansing. Non limiting examples of suitable zwitterionic or amphoteric surfactants are described in U.S. Patent Nos.  
25 5,104,646 and 5,106,609, which are incorporated herein by reference in their entirety.

Amphoteric co-surfactants suitable for use in the composition include those surfactants described as derivatives of aliphatic secondary and tertiary amines in which the aliphatic radical can be straight or branched chain and wherein one of the aliphatic substituents contains from about  
8 to about 18 carbon atoms and one contains an anionic group such as carboxy, sulfonate, sulfate, phosphate, or phosphonate. Suitable amphoteric surfactants include, but are not limited to, those  
30 selected from the group consisting of: sodium cocaminopropionate, sodium cocaminodipropionate, sodium cocoamphoacetate, sodium cocoamphohydroxypropylsulfonate, sodium cocoamphopropionate, sodium cornamphopropionate, sodium lauraminopropionate,

sodium lauroamphoacetate, sodium lauroamphohydroxypropylsulfonate, sodium lauroamphopropionate, sodium cornamphopropionate, sodium lauriniinodipropionate, ammonium cocaminopropionate, ammonium cocaminodipropionate, ammonium cocoamphoacetate, ammonium cocoamphohydroxypropylsulfonate, ammonium cocoamphopropionate, ammonium cornamphopropionate, ammonium lauraminopropionate, ammonium lauroamphoacetate, ammonium lauroamphohydroxypropylsulfonate, ammonium lauroamphopropionate, ammonium cornamphopropionate, ammonium lauriminodipropionate, triethanonlamine cocaminopropionate, triethanonlamine cocaminodipropionate, triethanonlamine cocoamphoacetate, triethanonlamine cocoamphohydroxypropylsulfonate, triethanonlamine cocoamphopropionate, triethanonlamine cornamphopropionate, triethanonlamine lauraminopropionate, triethanonlamine lauroamphoacetate, triethanonlamine lauroamphohydroxypropylsulfonate, triethanonlamine lauroamphopropionate, triethanonlamine lauroamphopropionate, triethanonlamine cornamphopropionate, triethanonlamine lauriminodipropionate, cocoamphodipropionic acid, disodium caproamphodiacetate, disodium caproamphoadipropionate, disodium capryloamphodiacetate, disodium capryloamphodipropionate, disodium cocoamphocarboxyethylhydroxypropylsulfonate, disodium cocoamphodi acetate, disodium cocoamphodipropionate, disodium dicarboxyethylcocopropylenediamine, disodium laureth-5 carboxyamphodiacetate, disodium lauriminodipropionate, disodium lauroamphodiacetate, disodium lauroamphodipropionate, disodium oleoamphodipropionate, disodium PPG-2-isodecethyl-7 carboxyamphodiacetate, lauraminopropionic acid, lauroamphodipropionic acid, lauryl aminopropylglycine, lauryl diethylenediaminoglycine, and mixtures thereof

The amphoteric co-surfactant can be a surfactant according to the following structure:



wherein R12 is a C-linked monovalent substituent selected from the group consisting of substituted alkyl systems comprising 9 to 15 carbon atoms, unsubstituted alkyl systems comprising 9 to 15 carbon atoms, straight alkyl systems comprising 9 to 15 carbon atoms, branched alkyl systems comprising 9 to 15 carbon atoms, and unsaturated alkyl systems comprising 9 to 15 carbon atoms; R13, R14, and R15 are each independently selected from the group consisting of C-linked divalent

straight alkyl systems comprising 1 to 3 carbon atoms, and C-linked divalent branched alkyl systems comprising 1 to 3 carbon atoms; and M<sup>+</sup> is a monovalent counterion selected from the group consisting of sodium, ammonium and protonated triethanolamine. The amphoteric surfactant is selected from the group consisting of: sodium cocoamphoacetate, sodium cocoamphodiacetate, sodium lauroamphoacetate, sodium lauroamphodiacetate, ammonium lauroamphoacetate, ammonium cocoamphoacetate, triethanolamine lauroamphoacetate, triethanolamine cocoamphoacetate, and mixtures thereof.

The composition may comprises a zwitterionic co-surfactant, wherein the zwitterionic surfactant is a derivative of aliphatic quaternary ammonium, phosphonium, and sulfonium compounds, in which the aliphatic radicals can be straight or branched chain, and wherein one of the aliphatic substituents contains from about 8 to about 18 carbon atoms and one contains an anionic group such as carboxy, sulfonate, sulfate, phosphate or phosphonate. The zwitterionic surfactant can be selected from the group consisting of: cocamidoethyl betaine, cocamidopropylamine oxide, cocamidopropyl betaine, cocamidopropyl dimethylaminohydroxypropyl hydrolyzed collagen, cocamidopropyldimoriium hydroxypropyl hydrolyzed collagen, cocamidopropyl hydroxysultaine, cocobetaineamido amphopropionate, coco-betaine, coco-hydroxysultaine, coco/oleamidopropyl betaine, coco-sultaine, lauramidopropyl betaine, lauryl betaine, lauryl hydroxysultaine, lauryl sultaine, and mixtures thereof. A suitable zwitterionic surfactant is lauryl hydroxysultaine. The zwitterionic surfactant can be selected from the group consisting of: lauryl hydroxysultaine, cocamidopropyl hydroxysultaine, coco-betaine, coco-hydroxysultaine, coco-sultaine, lauryl betaine, lauryl sultaine, and mixtures thereof.

The co-surfactant can be a zwitterionic surfactant, wherein the zwitterionic surfactant is selected from the group consisting of: lauryl hydroxysultaine, cocamidopropyl hydroxysultaine, coco-betaine, coco-hydroxysultaine, coco-sultaine, lauryl betaine, lauryl sultaine, and mixtures thereof.

The co-surfactant can be a non-ionic surfactant selected from the group consisting of: Cocamide, Cocamide Methyl MEA, Cocamide DEA, Cocamide MEA, Cocamide MIPA, Lauramide DEA, Lauramide MEA, Lauramide MIPA, Myristamide DEA, Myristamide MEA, PEG-20 Cocamide MEA, PEG-2 Cocamide, PEG-3 Cocamide, PEG-4 Cocamide, PEG-5 Cocamide, PEG-6 Cocamide, PEG-7 Cocamide, PEG-3 Lauramide, PEG-5 Lauramide, PEG-3 Oleamide, PPG-2 Cocamide, PPG-2 Hydroxyethyl Cocamide, and mixtures thereof.

Suitable nonionic surfactants for use include those described in McCutcheon's Detergents and Emulsifiers, North American edition (1986), Allured Publishing Corp., and

McCutcheon's Functional Materials, North American edition (1992). Suitable nonionic surfactants for use in the hair care compositions include, but are not limited to, polyoxyethylenated alkyl phenols, polyoxyethylenated alcohols, polyoxyethylenated polyoxypropylene glycols, glyceryl esters of alkanolic acids, polyglyceryl esters of alkanolic acids, propylene glycol esters of alkanolic acids, sorbitol esters of alkanolic acids, polyoxyethylenated sorbitol esters of alkanolic acids, polyoxyethylene glycol esters of alkanolic acids, polyoxyethylenated alkanolic acids, alkanolamides, N-alkylpyrrolidones, alkyl glycosides, alkyl polyglucosides, alkylamine oxides, and polyoxyethylenated silicones.

Representative polyoxyethylenated alcohols include alkyl chains ranging in the C9-C16 range and having from about 1 to about 110 alkoxy groups including, but not limited to, laureth-3, laureth-23, ceteth-10, steareth-10, steareth-100, beheneth-10, and commercially available from Shell Chemicals, Houston, Texas under the trade names Neodol® 91, Neodol® 23, Neodol® 25, Neodol® 45, Neodol® 135, Neodol® 67, Neodol® PC 100, Neodol® PC 200, Neodol® PC 600, and mixtures thereof.

Also available commercially are the polyoxyethylene fatty ethers available commercially under the Brij® trade name from Uniqema, Wilmington, Delaware, including, but not limited to, Brij® 30, Brij® 35, Brij® 52, Brij® 56, Brij® 58, Brij® 72, Brij® 76, Brij® 78, Brij® 93, Brij® 97, Brij® 98, Brij® 721 and mixtures thereof.

Suitable alkyl glycosides and alkyl polyglucosides can be represented by the formula (S)<sub>n</sub>-O-R wherein S is a sugar moiety such as glucose, fructose, mannose, galactose, and the like; n is an integer of from about 1 to about 1000, and R is a C8-C30 alkyl group. Examples of long chain alcohols from which the alkyl group can be derived include decyl alcohol, lauryl alcohol, myristyl alcohol, cetyl alcohol, stearyl alcohol, oleyl alcohol, and the like. Examples of these surfactants include alkyl polyglucosides wherein S is a glucose moiety, R is a C8-20 alkyl group, and n is an integer of from about 1 to about 9. Commercially available examples of these surfactants include decyl polyglucoside and lauryl polyglucoside available under trade names APG® 325 CS, APG® 600 CS and APG® 625 CS) from Cognis, Ambler, Pa. Also useful herein are sucrose ester surfactants such as sucrose cocoate and sucrose laurate and alkyl polyglucosides available under trade names Triton™ BG-10 and Triton™ CG-110 from The Dow Chemical Company, Houston, Tx.

Other nonionic surfactants suitable for use are glyceryl esters and polyglyceryl esters, including but not limited to, glyceryl monoesters, glyceryl monoesters of C12-22 saturated,

unsaturated and branched chain fatty acids such as glyceryl oleate, glyceryl monostearate, glyceryl monopalmitate, glyceryl monobehenate, and mixtures thereof, and polyglyceryl esters of C12-22 saturated, unsaturated and branched chain fatty acids, such as polyglyceryl-4 isostearate, polyglyceryl-3 oleate, polyglyceryl-2- sesquioleate, triglyceryl diisostearate, diglyceryl  
5 monooleate, tetraglyceryl monooleate, and mixtures thereof.

Also useful herein as nonionic surfactants are sorbitan esters. Sorbitan esters of C 12-22 saturated, unsaturated, and branched chain fatty acids are useful herein. These sorbitan esters usually comprise mixtures of mono-, di-, tri-, etc. esters. Representative examples of suitable sorbitan esters include sorbitan monolaurate (SPAN® 20), sorbitan monopalmitate (SPAN® 40),  
10 sorbitan monostearate (SPAN® 60), sorbitan tristearate (SPAN® 65), sorbitan monooleate (SPAN® 80), sorbitan trioleate (SPAN® 85), and sorbitan isostearate.

Also suitable for use herein are alkoxyated derivatives of sorbitan esters including, but not limited to, polyoxyethylene (20) sorbitan monolaurate (Tween® 20), polyoxyethylene (20) sorbitan monopalmitate (Tween® 40), polyoxyethylene (20) sorbitan monostearate (Tween®  
15 60), polyoxyethylene (20) sorbitan monooleate (Tween® 80), polyoxyethylene (4) sorbitan monolaurate (Tween® 21), polyoxyethylene (4) sorbitan monostearate (Tween® 61), polyoxyethylene (5) sorbitan monooleate (Tween® 81), and mixtures thereof, all available from Uniqema.

Also suitable for use herein are alkylphenol ethoxylates including, but not limited to,  
20 nonylphenol ethoxylates (Tergitol™ NP-4, NP-6, NP-7, NP-8, NP-9, NP-10, NP-11, NP-12, NP-13, NP-15, NP-30, NP-40, NP-50, NP-55, NP-70 available from The Dow Chemical Company, Houston, Tx.) and octylphenol ethoxylates (Triton™ X-15, X-35, X-45, X-114, X-100, X-102, X-165, X-305, X-405, X-705 available from The Dow Chemical Company, Houston, Tx).

Also suitable for use herein are alkanolamides including cocamide monoethanolamine  
25 (CMEA) and tertiary alkylamine oxides including lauramine oxide and cocamine oxide.

Nonionic surfactants useful herein have an HLB (hydrophile-lipophile balance) of at least 8, greater than 10, and greater than 12. The HLB represents the balance between the hydrophilic and lipophilic moieties in a surfactant molecule and is commonly used as a method of classification. The HLB values for commonly-used surfactants are readily available in the  
30 literature (e.g., HLB Index in McCutcheon' s Emulsifiers and Detergents, MC Publishing Co., 2004).

Non limiting examples of other anionic, zwitterionic, amphoteric, and non-ionic additional

surfactants suitable for use in the hair care composition are described in McCutcheon's, Emulsifiers and Detergents, 1989 Annual, published by M. C. Publishing Co., and U.S. Patent Nos. 3,929,678, 2,658,072; 2,438,091; 2,528,378, which are incorporated herein by reference in their entirety.

5           The shampoo composition can comprise of 20-45 wt% deterative surfactant, from which 0-10 wt% is amphoteric surfactant, 2-25% is a sulfate having a branched alkyl carbon chain containing from C12 to C16 carbon atoms, 5-30 wt% is a sulfate having a linear alkyl carbon chain containing from C12 to C16 carbon atoms, a water-miscible solvent from about 2 wt% to about 8 wt%, and water carrier from about 40 wt% to about 80 wt%, wherein the ratio of linear  
10    anionic surfactant : Branched anionic surfactant is from about 0.4 to about 5.

          The shampoo composition can comprise of 20-45 wt% deterative surfactant, from which 0-10 wt% is amphoteric surfactant, 6-30 wt% is a sulfate having a branched alkyl carbon chain containing from C12 to C16 carbon atoms, 0-20 wt% is a sulfate having a linear alkyl carbon  
15    chain containing from C12 to C16 carbon atoms, a water-miscible solvent from about 2 wt% to about 10 wt%, and water carrier from about 40 wt% to about 80 wt%, wherein the weight ratio of (Linear anionic surfactant/Branched anionic surfactant) / Miscible solvent is higher than about 0.2, and wherein the weight ratio of Branched anionic surfactant / Miscible solvent is higher than  
20    5.

          The shampoo composition can comprise of 5-45 wt% deterative surfactant, from which 5-35 wt% is anionic deterative surfactant, a water-miscible solvent from about 1 wt% to about 20 wt%, a hydrofluoro olefin foaming agent from about 3 wt% or higher, and water carrier from about 20 wt% to about 90 wt%, wherein the weight ratio of the foaming agent to Water-miscible  
25    solvent is lower than about 3.

          The shampoo composition can comprise of 20-45 wt% deterative surfactant, from which 10-40 wt% is anionic deterative surfactant, 1-15 wt% of one or more co-surfactants selected from the group consisting of amphoteric, zwitterionic, nonionic and mixtures thereof; wherein about  
30    1% or more are zwitterionic surfactants which possess a hydroxyl group in their molecular structure, from about 0.1% to about 35% by weight of one or more viscosity reducing agents, from about 0.05% to about 1% by weight of one or more cationic polymers with a weight average molecular weight of less than about 1,000,000 g/mol.

The shampoo composition can comprise of 20-45 wt% deterative surfactant, from which 10-40 wt% is anionic deterative surfactant, 1-15 wt% of one or more co-surfactants selected from the group consisting of lauryl hydroxysultaine, coco-hydroxysultaine, sodium lauroamphoacetate, sodium cocoamphoacetate, sodium lauroamphopropionate, sodium cocoamphopropionate, and mixture thereof, from about 0.1% to about 35% by weight of one or more viscosity reducing agents, from about 0.05% to about 1% by weight of one or more cationic polymers with a weight average molecular weight of less than about 1,000,000 g/mol.

## 10 B. VISCOSITY REDUCING AGENTS

The hair care composition described herein may comprise from about 0.5% to about 15%, alternatively from about 0.75% to about 10.0%, alternatively from about 1% to about 7.5%, alternatively from about 1.25% to about 5.0%, and alternatively from about 1.5% to about 3.5% of a viscosity reducing agent, by weight of the hair care composition. Non-limiting examples of suitable viscosity reducing agents include water miscible solvents, hydrotropes, Class A materials, Class B materials, silicone polyethers, and mixtures thereof.

The hair care composition described herein may have a liquid phase viscosity of from about 8 centipoise to about 15,000 centipoise, alternatively from about 9 centipoise to about 12,000 centipoise, alternatively from about 10 centipoise to about 11,000 centipoise, alternatively from about 11 centipoise to about 5,000 centipoise, alternatively from about 12 centipoise to 2,500 centipoise, alternatively from about 13 centipoise to about 1,500 centipoise, and alternatively from about 14 centipoise to about 1,000 centipoise. The hair composition viscosity values may be measured using a TA Instruments AR-G2 Rheometer with a concentric cylinder attachment at a shear rate of 100 reciprocal seconds at 25°C.

### 25 1. WATER MISCIBLE SOLVENTS

The compositions can include water miscible glycols and other diols. Non-limiting examples include dipropylene glycol, tripropylene glycol, diethylene glycol, ethylene glycol, propylene glycol, glycerin, 1,3-propane diol, 2,2-propanediol, 1,2-butanediol, 1,3-butanediol, 1,4-butanediol, 2,3-butanediol, 2-methyl-2,4-pentanediol, and mixtures thereof. The hair care composition may comprise two or more water miscible solvents, wherein at least one of the solvents is dipropylene glycol.

### 30 2. HYDROTROPES

The compositions can include hydrotropes. Non-limiting examples include lower aliphatic alcohols, lower alkylbenzene sulphonates (derivatives of xylene and toluene) and combinations of these. Preferred are alcohol, urea, sodium xylene sulphonate., ammonium xylene sulfonate, and potassium xylene sulfonate.

5                   3. CLASS A MATERIALS

The Class A viscosity reducing agents may have a partition dispersion coefficient of from about -5 to about -0.7, alternatively from about -4.6 to about -0.85, alternatively from about -4.5 to about -0.9, alternatively from about -3.1 to about -0.7, and alternatively from about -3 to about -0.85. The Class A viscosity reducing agents may have a partition dispersion coefficient of from about -4.6 to about -1.9, alternatively from about -4.5 to about -2, wherein the one or more viscosity reducing agents has at least 2 polar groups, or has 1 polar group and less than 5 acyclic sp<sup>3</sup> hybridized carbon atoms that are connected to each other in a contiguous group. The Class A viscosity reducing agents may have a partition dispersion coefficient of from about -4.6 to about -1.9, alternatively from about -4.5 to about -2, wherein the one or more viscosity reducing agents has 2 to 4 polar groups, or has 1 polar group and 1 to 3 acyclic sp<sup>3</sup> hybridized carbon atoms that are connected to each other in a contiguous group. The Class A viscosity reducing agents may have a partition dispersion coefficient of from about -4.6 to about -1.9, alternatively from about -4.5 to about -2, wherein the one or more viscosity reducing agents has 2 to 4 polar groups, or has 1 polar group and 2 acyclic sp<sup>3</sup> hybridized carbon atoms that are connected to each other in a contiguous group. The Class A viscosity reducing agents may provide unexpected viscosity reduction when used in the hair care composition described herein.

The partition dispersion coefficient (PDC) is defined by the following equation:

$$\text{PDC} = \log P - 0.3001 * (\square D)^2 + 10.362 * DD - 93.251$$

25                   wherein logP is the octanol water partitioning coefficient as computed by the Consensus algorithm implemented in ACD/Percepta version 14.02 by Advanced Chemistry Development, Inc. (ACD/Labs, Toronto, Canada), and wherein  $\square D$  is the Hansen solubility dispersion parameter in (MPa)<sup>1/2</sup> computed using Steven Abbott and Hiroshi Yamamoto's "HSPIP - Hansen Solubility Parameters in Practice" program, 4th Edition, version 4.1.07.

30                   The viscosity reducing agents may be organic compounds comprising 1 polar group, alternatively at least 1 polar group, alternatively 2 to 4 polar groups, and alternative alternatively at least 2 polar groups. The polar groups may be selected from the group consisting of alcohols, aldehydes, esters, lactones, coumarins, ethers, ketones, phenol, phenyl, oxides, alkenyl, alkynyl, and combinations thereof. The viscosity reducing agents may have a molecular weight of between

100 daltons and 300 daltons, alternatively from about 125 daltons to about 300 daltons. Additionally, the viscosity reducing agents may have a water solubility at between 23 and 25 degrees Celsius of from about 900 to 50,000 mg/L.

The Class A viscosity reducing agents may be selected from the group consisting of  
5 raspberry ketone, triethyl citrate, 5-methyl-3-heptanone oxime, hydroxycitronellal, camphor gum, 2-isopropyl-5-methyl-2-hexenal, eucalyptol, 1,1-dimethoxyoctane, isobutyl hexanoate, dihydro iso jasmonate, and combinations thereof. Alternatively, the Class A viscosity reducing agents may be selected from the group consisting of raspberry ketone, triethyl citrate, hydroxycitronellal, ethanol, dipropylene glycol, and combinations thereof.

#### 10 4. CLASS B MATERIALS

The Class B viscosity reducing agents may have a partition dispersion coefficient of from about 0.05 to about 5.1, alternatively from about 0.08 to about 4.5, alternatively from about 0.09 to about 4.4, alternatively from about 0.05 to about 2.0, alternatively from about 0.08 to about 1.8, alternatively from about 0.09 to about 1.7, and alternatively from about 0.095 to about 1.68.

15 The Class B viscosity reducing agents may provide unexpected viscosity reduction when used in the hair care composition described herein.

The partition dispersion coefficient (PDC) is defined by the following equation:

$$\text{PDC} = \log P - 0.3001 * (\text{DD})^2 + 10.362 * \text{DD} - 93.251$$

wherein logP is the octanol water partitioning coefficient as computed by the Consensus  
20 algorithm implemented in ACD/Percepta version 14.02 by Advanced Chemistry Development, Inc. (ACD/Labs, Toronto, Canada), and wherein  $\square D$  is the Hansen solubility dispersion parameter in (MPa)<sup>1/2</sup> computed using Steven Abbott and Hiroshi Yamamoto's "HSPIP - Hansen Solubility Parameters in Practice" program, 4<sup>th</sup> Edition, version 4.1.07.

The viscosity reducing agents may be organic compounds comprising 1 polar group,  
25 alternatively at least 1 polar group, alternatively 2 to 4 polar groups, and alternative alternatively at least 2 polar groups. The polar groups may be selected from the group consisting of alcohols, aldehydes, esters, lactones, coumarins, ethers, ketones, phenol, phenyl, oxides, alkenyl, alkynyl, and combinations thereof. The viscosity reducing agents may have a molecular weight of between 100 daltons and 300 daltons, alternatively from about 125 daltons to about 300 daltons.  
30 Additionally, the viscosity reducing agents may have a water solubility at between 23 and 25 degrees Celsius of from about 10 to 900 mg/L.

The Class B viscosity reducing agents may be selected from the group consisting of veloutone, isoamyl salicylate, gamma-terpinene, linalyl iso butyrate, alpha-terpinene, limonene,

dipentene, geranyl phenyl acetate, iso propyl myristate, hexadecane, and combinations thereof. Alternatively, the Class B viscosity reducing agents may be selected from the group consisting of veloutone, gamma-terpinene, linalyl iso butyrate, alpha-terpinene, limonene, dipentene, geranyl phenyl acetate, iso propyl myristate, hexadecane, and combinations thereof. Alternatively, the

5 Class B viscosity reducing agents may be selected from the group consisting of veloutone, isoamyl salicylate, gamma-terpinene, linalyl iso butyrate, alpha-terpinene, limonene, dipentene, geranyl phenyl acetate, and combinations thereof.

#### 5. SILICONE POLYETHERS

The personal care composition may comprise silicone polyethers. Non-limiting examples

10 include PEG-8 Dimethicones with molecular weights between 500 g/mol and 3500 g/mol including, Silsurf A208 (MW of about 855 g/mol) and Silsurf D208 (MW of about 2706 g/mol).

#### C. Water Carrier

The hair care compositions can include from about 45% to about 78%, alternatively from

15 about 50% to about 75%, alternatively from about 55% to about 70%, alternatively from about 60% to about 68% water by weight of the hair care composition.

#### D. Cationic Polymers

The hair care composition can also comprise a cationic polymer. These cationic polymers

20 can include at least one of (a) a cationic guar polymer, (b) a cationic non-guar galactomannan polymer, (c) a cationic tapioca polymer, (d) a cationic copolymer of acrylamide monomers and cationic monomers, and/or (e) a synthetic, non-crosslinked, cationic polymer, which may or may not form lyotropic liquid crystals upon combination with the deterative surfactant (f) a cationic cellulose polymer. Additionally, the cationic polymer can be a mixture of cationic polymers.

25 The hair care composition may comprise a cationic guar polymer, which is a cationically substituted galactomannan (guar) gum derivatives. Guar gum for use in preparing these guar gum derivatives is typically obtained as a naturally occurring material from the seeds of the guar plant. The guar molecule itself is a straight chain mannan, which is branched at regular intervals with single membered galactose units on alternative mannose units. The mannose units are linked to

30 each other by means of  $\beta(1-4)$  glycosidic linkages. The galactose branching arises by way of an  $\alpha(1-6)$  linkage. Cationic derivatives of the guar gums are obtained by reaction between the hydroxyl groups of the polygalactomannan and reactive quaternary ammonium compounds. The

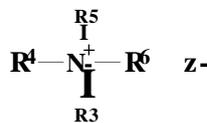
degree of substitution of the cationic groups onto the guar structure should be sufficient to provide the requisite cationic charge density described above.

The cationic polymer, including but not limited to a cationic guar polymer, has a molecular weight of less than 1.0 million g/mol, or from about 10 thousand to about 1 million g/mol, or from about 25 thousand to about 1 million g/mol, or from about 50 thousand to about 1 million g/mol, or from about 100 thousand to about 1 million g/mol. The cationic guar polymer has a charge density of from about 0.2 to about 2.2 meq/g, or from about 0.3 to about 2.0 meq/g, or from about 0.4 to about 1.8 meq/g; or from about 0.5 meq/g to about 1.7 meq/g.

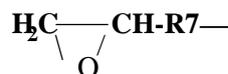
The cationic guar polymer has a weight average molecular weight of less than about 1.0 million g/mol, and has a charge density of from about 0.1 meq/g to about 2.5 meq/g. The cationic guar polymer has a weight average molecular weight of less than 950 thousand g/mol, or from about 10 thousand to about 900 thousand g/mol, or from about 25 thousand to about 900 thousand g/mol, or from about 50 thousand to about 900 thousand g/mol, or from about 100 thousand to about 900 thousand g/mol. from about 150 thousand to about 800 thousand g/mol, . The cationic guar polymer has a charge density of from about 0.2 to about 2.2 meq/g, or from about 0.3 to about 2.0 meq/g, or from about 0.4 to about 1.8 meq/g; or from about 0.5 meq/g to about 1.5 meq/g.

The hair care composition can comprise from about 0.01% to about 1%, alternatively from about 0.05% to about 1%, alternatively from about 0.05% to about 0.9%, alternatively from about 0.1% to about 0.8%, or alternatively from about 0.2% to about 0.7% of cationic polymer (a), by total weight of the hair care composition.

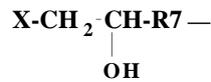
The cationic guar polymer may be formed from quaternary ammonium compounds. The quaternary ammonium compounds for forming the cationic guar polymer conform to the general formula 1:



wherein where  $\text{R}^3$ ,  $\text{R}^4$  and  $\text{R}^5$  are methyl or ethyl groups;  $\text{R}^6$  is either an epoxyalkyl group of the general formula 2:

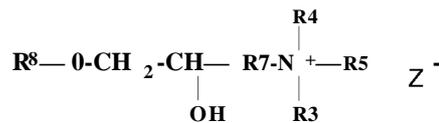


or  $\text{R}^6$  is a halohydrin group of the general formula 3:



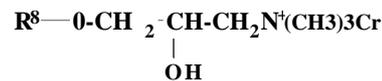
wherein R<sup>7</sup> is a C<sub>1</sub> to C<sub>3</sub> alkylene; X is chlorine or bromine, and Z is an anion such as Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup> or HSO<sub>4</sub><sup>-</sup>.

The cationic guar polymer conforms to the general formula 4:



5

wherein R<sup>8</sup> is guar gum; and wherein R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup> and R<sup>7</sup> are as defined above; and wherein Z is a halogen. The cationic guar polymer conforms to Formula 5:



Suitable cationic guar polymers include cationic guar gum derivatives, such as guar hydroxypropyltrimonium chloride. The cationic guar polymer is a guar hydroxypropyltrimonium chloride. Specific examples of guar hydroxypropyltrimonium chlorides include the Jaguar<sup>®</sup> series commercially available from Rhone-Poulenc Incorporated, for example Jaguar<sup>®</sup> C-500, commercially available from Rhodia. Jaguar<sup>®</sup> C-500 has a charge density of 0.8 meq/g and a molecular weight of 500,000 g/mol. Other suitable guar hydroxypropyltrimonium chloride are: guar hydroxypropyltrimonium chloride which has a charge density of about 1.1 meq/g and a molecular weight of about 500,000 g/mol is available from ASI, a charge density of about 1.5 meq/g and a molecular weight of about 500,000 g/mole is available from ASI. Other suitable guar hydroxypropyltrimonium chloride are: Hi-Care 1000, which has a charge density of about 0.7 meq/g and a Molecular weight of about 600,000 g/mole and is available from Rhodia; N-Hance 3269 and N-Hance 3270, which has a charge density of about 0.7 meq/g and a molecular weight of about 425,000 g/mol and is available from ASIAquaCat CG518 has a charge density of about 0.9 meq/g and a Molecular weight of about 50,000 g/mol and is available from ASI. BF-13, which is a borate (boron) free guar of charge density of about 1.1 meq/g and molecular weight of about 800,000 and BF-17, which is a borate (boron) free guar of charge density of about 1.7 meq/g and M. W.t of about 800,000 both available from ASI.

The hair care compositions may comprise a galactomannan polymer derivative having a mannose to galactose ratio of greater than 2:1 on a monomer to monomer basis, the galactomannan polymer derivative selected from the group consisting of a cationic galactomannan polymer

derivative and an amphoteric galactomannan polymer derivative having a net positive charge. As used herein, the term "cationic galactomannan" refers to a galactomannan polymer to which a cationic group is added. The term "amphoteric galactomannan" refers to a galactomannan polymer to which a cationic group and an anionic group are added such that the polymer has a net positive charge.

Galactomannan polymers are present in the endosperm of seeds of the Leguminosae family. Galactomannan polymers are made up of a combination of mannose monomers and galactose monomers. The galactomannan molecule is a straight chain mannan branched at regular intervals with single membered galactose units on specific mannose units. The mannose units are linked to each other by means of  $\beta$  (1-4) glycosidic linkages. The galactose branching arises by way of an a (1-6) linkage. The ratio of mannose monomers to galactose monomers varies according to the species of the plant and also is affected by climate. Non Guar Galactomannan polymer derivatives suitable for use can have a ratio of mannose to galactose of greater than 2:1 on a monomer to monomer basis. Suitable ratios of mannose to galactose can be greater than about 3:1, and the ratio of mannose to galactose can be greater than about 4:1. Analysis of mannose to galactose ratios is well known in the art and is typically based on the measurement of the galactose content.

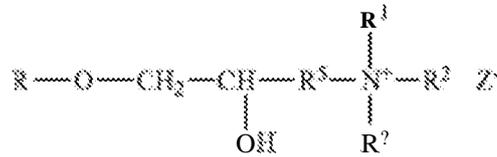
The gum for use in preparing the non-guar galactomannan polymer derivatives is typically obtained as naturally occurring material such as seeds or beans from plants. Examples of various non-guar galactomannan polymers include but are not limited to Tara gum (3 parts mannose/1 part galactose), Locust bean or Carob (4 parts mannose/1 part galactose), and Cassia gum (5 parts mannose/1 part galactose).

The non-guar galactomannan polymer derivatives have a M. Wt. from about 1,000 to about 1,000,000, and/or from about 5,000 to about 900,000.

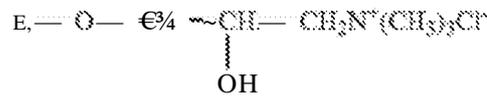
The hair care compositions of the can also include galactomannan polymer derivatives which have a cationic charge density from about 0.5 meq/g to about 7 meq/g. The galactomannan polymer derivatives can have a cationic charge density from about 1 meq/g to about 5 meq/g. The degree of substitution of the cationic groups onto the galactomannan structure should be sufficient to provide the requisite cationic charge density.

The galactomannan polymer derivative can be a cationic derivative of the non-guar galactomannan polymer, which is obtained by reaction between the hydroxyl groups of the polygalactomannan polymer and reactive quaternary ammonium compounds. Suitable quaternary ammonium compounds for use in forming the cationic galactomannan polymer derivatives include those conforming to the general formulas 1-5, as defined above.

Cationic non-guar galactomannan polymer derivatives formed from the reagents described above are represented by the general formula 6:



wherein R is the gum. The cationic galactomannan derivative can be a gum hydroxypropyltrimethylammonium chloride, which can be more specifically represented by the general formula 7:



Alternatively the galactomannan polymer derivative can be an amphoteric galactomannan polymer derivative having a net positive charge, obtained when the cationic galactomannan polymer derivative further comprises an anionic group.

The cationic non-guar galactomannan can have a ratio of mannose to galactose is greater than about 4:1, a molecular weight of about 50,000g/mol to about 1,000,000g/mol, and/or from about 100,000 g/mol to about 900,000 g/mol and a cationic charge density from about 1 meq/g to about 5 meq/g, and/or from 2 meq/ g to about 4 meq/ g and can also be derived from a cassia plant.

The hair care compositions can comprise at least about 0.05% of a galactomannan polymer derivative by weight of the composition, alternatively from about 0.05% to about 2%, by weight of the composition, of a galactomannan polymer derivative.

The hair care compositions can comprise water-soluble cationically modified starch polymers. As used herein, the term "cationically modified starch" refers to a starch to which a cationic group is added prior to degradation of the starch to a smaller molecular weight, or wherein a cationic group is added after modification of the starch to achieve a desired molecular weight. The definition of the term "cationically modified starch" also includes amphoterically modified starch. The term "amphoterically modified starch" refers to a starch hydrolysate to which a cationic group and an anionic group are added.

The hair care compositions can comprise cationically modified starch polymers at a range of about 0.01% to about 10%, and/or from about 0.05% to about 5%, by weight of the composition.

The cationically modified starch polymers disclosed herein have a percent of bound nitrogen of from about 0.5% to about 4%.

The cationically modified starch polymers for use in the hair care compositions can have a molecular weight about 50,000 g/mol to about 1,000,000 g/mol and/or from about 100,000 g/mol  
5 to about 1,000,000 g/mol.

The hair care compositions can include cationically modified starch polymers which have a charge density of from about 0.2 meq/g to about 5 meq/g, and/or from about 0.2 meq/g to about 2 meq/g. The chemical modification to obtain such a charge density includes, but is not limited to, the addition of amino and/or ammonium groups into the starch molecules. Non-limiting examples  
10 of these ammonium groups may include substituents such as hydroxypropyl trimmonium chloride, trimethylhydroxypropyl ammonium chloride, dimethylstearylhydroxypropyl ammonium chloride, and dimethyldodecylhydroxypropyl ammonium chloride. See Solarek, D. B., Cationic Starches in Modified Starches: Properties and Uses, Wurzburg, O. B., Ed., CRC Press, Inc., Boca Raton, Fla. 1986, pp 113-125. The cationic groups may be added to the starch prior to degradation to a smaller  
15 molecular weight or the cationic groups may be added after such modification.

The cationically modified starch polymers generally have a degree of substitution of a cationic group from about 0.2 to about 2.5. As used herein, the "degree of substitution" of the cationically modified starch polymers is an average measure of the number of hydroxyl groups on each anhydroglucose unit which is derivatized by substituent groups. Since each anhydroglucose  
20 unit has three potential hydroxyl groups available for substitution, the maximum possible degree of substitution is 3. The degree of substitution is expressed as the number of moles of substituent groups per mole of anhydroglucose unit, on a molar average basis. The degree of substitution may be determined using proton nuclear magnetic resonance spectroscopy ("<sup>1</sup>H NMR") methods well known in the art. Suitable <sup>1</sup>H NMR techniques include those described in "Observation  
25 on NMR Spectra of Starches in Dimethyl Sulfoxide, Iodine-Complexing, and Solvating in Water-Dimethyl Sulfoxide", Qin-Ji Peng and Arthur S. Perlin, Carbohydrate Research, 160 (1987), 57-72; and "An Approach to the Structural Analysis of Oligosaccharides by NMR Spectroscopy", J. Howard Bradbury and J. Grant Collins, Carbohydrate Research, 71, (1979), 15-25.

The source of starch before chemical modification can be chosen from a variety of sources  
30 such as tubers, legumes, cereal, and grains. Non-limiting examples of this source starch may include corn starch, wheat starch, rice starch, waxy corn starch, oat starch, cassaya starch, waxy barley, waxy rice starch, glutenous rice starch, sweet rice starch, amioca, potato starch, tapioca starch, oat starch, sago starch, sweet rice, or mixtures thereof.

The cationically modified starch polymers can be selected from degraded cationic maize starch, cationic tapioca, cationic potato starch, and mixtures thereof. Alternatively, the cationically modified starch polymers are cationic corn starch and cationic tapioca.

5 The starch, prior to degradation or after modification to a smaller molecular weight, may comprise one or more additional modifications. For example, these modifications may include cross-linking, stabilization reactions, phosphorylations, and hydrolyzations. Stabilization reactions may include alkylation and esterification.

10 The cationically modified starch polymers may be incorporated into the composition in the form of hydrolyzed starch (e.g., acid, enzyme, or alkaline degradation), oxidized starch (e.g., peroxide, peracid, hypochlorite, alkaline, or any other oxidizing agent), physically/mechanically degraded starch (e.g., via the thermo-mechanical energy input of the processing equipment), or combinations thereof.

15 An optimal form of the starch is one which is readily soluble in water and forms a substantially clear (% Transmittance  $\geq 80$  at 600 nm) solution in water. The transparency of the composition is measured by Ultra-Violet/Visible (UV/VIS) spectrophotometry, which determines the absorption or transmission of UV/VIS light by a sample, using a Gretag Macbeth Colorimeter Color i 5 according to the related instructions. A light wavelength of 600 nm has been shown to be adequate for characterizing the degree of clarity of cosmetic compositions.

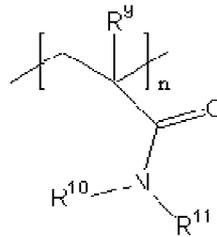
20 Suitable cationically modified starch for use in hair care compositions are available from known starch suppliers. Also suitable for use in hair care compositions are nonionic modified starch that can be further derivatized to a cationically modified starch as is known in the art. Other suitable modified starch starting materials may be quaternized, as is known in the art, to produce the cationically modified starch polymer suitable for use in hair care compositions.

25 Starch Degradation Procedure: a starch slurry can be prepared by mixing granular starch in water. The temperature is raised to about 35°C. An aqueous solution of potassium permanganate is then added at a concentration of about 50 ppm based on starch. The pH is raised to about 11.5 with sodium hydroxide and the slurry is stirred sufficiently to prevent settling of the starch. Then, about a 30% solution of hydrogen peroxide diluted in water is added to a level of about 1% of peroxide based on starch. The pH of about 11.5 is then restored by adding additional sodium  
30 hydroxide. The reaction is completed over about a 1 to about 20 hour period. The mixture is then neutralized with dilute hydrochloric acid. The degraded starch is recovered by filtration followed by washing and drying.

The hair care composition can comprise a cationic copolymer of an acrylamide monomer and a cationic monomer, wherein the copolymer has a charge density of from about 1.0 meq/g to about 3.0 meq/g. The cationic copolymer can be a synthetic cationic copolymer of acrylamide monomers and cationic monomers.

5 The cationic copolymer can comprise:

(i) an acrylamide monomer of the following Formula AM:

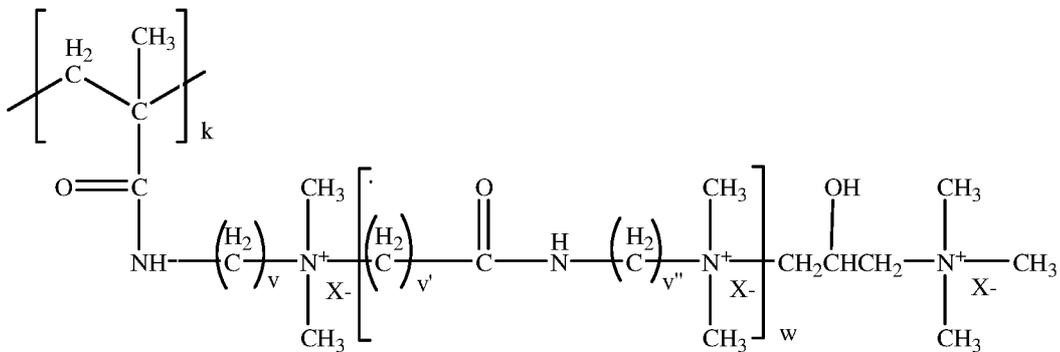


Formula AM

where R<sup>9</sup> is H or Ci-4 alkyl; and R<sup>10</sup> and R<sup>11</sup> are independently selected from the group consisting of H, Ci-4 alkyl, CH<sub>2</sub>OCH<sub>3</sub>, CH<sub>2</sub>OCH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, and phenyl, or together are C<sub>3</sub>-6cycloalkyl; and

10

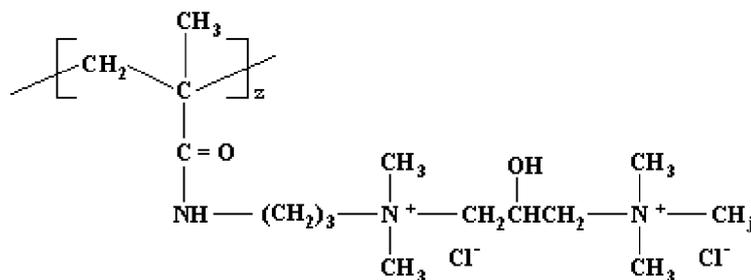
(ii) a cationic monomer conforming to Formula CM:



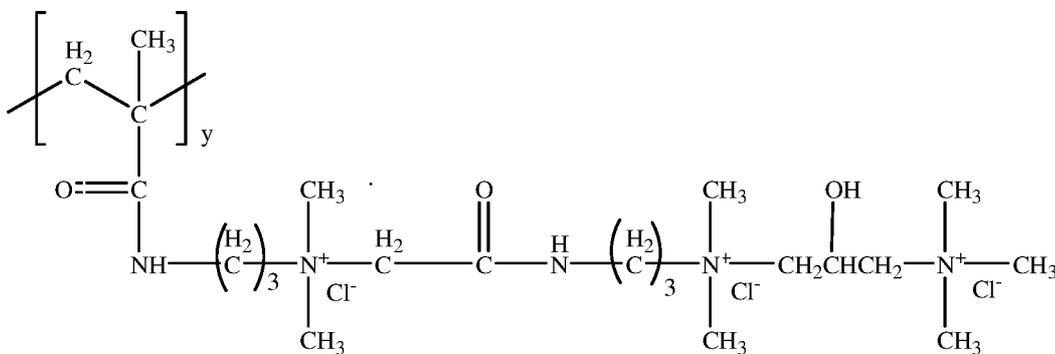
Formula CM

15 where k = 1, each of v, v', and v'' is independently an integer of from 1 to 6, w is zero or an integer of from 1 to 10, and X<sup>-</sup> is an anion.

The cationic monomer can conform to Formula CM and where k = 1, v = 3 and w = 0, z = 1 and X<sup>-</sup> is Cl<sup>-</sup> to form the following structure:



The above structure may be referred to as diquat. Alternatively, the cationic monomer can conform to Formula CM and wherein v and v' are each 3, v' = 1, w = 1, y = 1 and X<sup>-</sup> is Cl<sup>-</sup>, such as:



5

The above structure may be referred to as triquat.

Suitable acrylamide monomer include, but are not limited to, either acrylamide or methacrylamide.

The cationic copolymer is of an acrylamide monomer and a cationic monomer, wherein the cationic monomer is selected from the group consisting of: dimethylaminoethyl (meth)acrylate, dimethylaminopropyl (meth)acrylate, ditertiobutylaminoethyl (meth)acrylate, dimethylaminomethyl (meth)acrylamide, dimethylaminopropyl (meth)acrylamide; ethylenimine, vinylamine, 2-vinylpyridine, 4-vinylpyridine; trimethylammonium ethyl (meth)acrylate chloride, trimethylammonium ethyl (meth)acrylate methyl sulphate, dimethylammonium ethyl (meth)acrylate benzyl chloride, 4-benzoylbzyl dimethylammonium ethyl acrylate chloride, trimethyl ammonium ethyl (meth)acrylamido chloride, trimethyl ammonium propyl (meth)acrylamido chloride, vinylbenzyl trimethyl ammonium chloride, diallyldimethyl ammonium chloride, and mixtures thereof.

The cationic copolymer can comprise a cationic monomer selected from the group consisting of: cationic monomers include trimethylammonium ethyl (meth)acrylate chloride, trimethylammonium ethyl (meth)acrylate methyl sulphate, dimethylammonium ethyl (meth)acrylate benzyl chloride, 4-benzoylbzyl dimethylammonium ethyl acrylate chloride,

20

trimethyl ammonium ethyl (meth)acrylamido chloride, trimethyl ammonium propyl (meth)acrylamido chloride, vinylbenzyl trimethyl ammonium chloride, and mixtures thereof.

The cationic copolymer can be water-soluble. The cationic copolymer is formed from (1) copolymers of (meth)acrylamide and cationic monomers based on (meth)acrylamide, and/or  
5 hydrolysis-stable cationic monomers, (2) terpolymers of (meth)acrylamide, monomers based on cationic (meth)acrylic acid esters, and monomers based on (meth)acrylamide, and/or hydrolysis-stable cationic monomers. Monomers based on cationic (meth)acrylic acid esters may be cationized esters of the (meth)acrylic acid containing a quaternized N atom. Cationized esters of the (meth)acrylic acid containing a quaternized N atom are quaternized dialkylaminoalkyl  
10 (meth)acrylates with C1 to C3 in the alkyl and alkylene groups. Suitable cationized esters of the (meth)acrylic acid containing a quaternized N atom can be selected from the group consisting of: ammonium salts of dimethylaminomethyl (meth)acrylate, dimethylaminoethyl (meth)acrylate, dimethylaminopropyl (meth)acrylate, diethylaminomethyl (meth)acrylate, diethylaminoethyl (meth)acrylate; and diethylaminopropyl (meth)acrylate quaternized with methyl chloride. The  
15 cationized esters of the (meth)acrylic acid containing a quaternized N atom is dimethylaminoethyl acrylate, which is quaternized with an alkyl halide, or with methyl chloride or benzyl chloride or dimethyl sulfate (ADAME-Quat). the cationic monomer when based on (meth)acrylamides can be quaternized dialkylaminoalkyl(meth)acrylamides with C1 to C3 in the alkyl and alkylene groups, or dimethylaminopropylacrylamide, which is quaternized with an alkyl halide, or methyl chloride  
20 or benzyl chloride or dimethyl sulfate.

Suitable cationic monomer based on a (meth)acrylamide include quaternized dialkylaminoalkyl(meth)acrylamide with C1 to C3 in the alkyl and alkylene groups. The cationic monomer based on a (meth)acrylamide can be dimethylaminopropylacrylamide, which is quaternized with an alkyl halide, especially methyl chloride or benzyl chloride or dimethyl sulfate.

25 The cationic monomer can be a hydrolysis-stable cationic monomer. Hydrolysis-stable cationic monomers can be, in addition to a dialkylaminoalkyl(meth)acrylamide, all monomers that can be regarded as stable to the OECD hydrolysis test. The cationic monomer can be hydrolysis-stable and the hydrolysis-stable cationic monomer can be selected from the group consisting of: diallyldimethylammonium chloride and water-soluble, cationic styrene derivatives.

30 The cationic copolymer can be a terpolymer of acrylamide, 2-dimethylammoniummethyl (meth)acrylate quaternized with methyl chloride (ADAME-Q) and 3-dimethylammoniumpropyl(meth) acrylamide quaternized with methyl chloride (DIMAPA-Q). The cationic copolymer can be formed from acrylamide and acrylamidopropyltrimethylammonium

chloride, wherein the acrylamidopropyltrimethylammonium chloride has a charge density of from about 1.0 meq/g to about 3.0 meq/g.

The cationic copolymer can have a charge density of from about 1.1 meq/g to about 2.5 meq/g, or from about 1.1 meq/g to about 2.3 meq/g, or from about 1.2 meq/g to about 2.2 meq/g,  
 5 or from about 1.2 meq/g to about 2.1 meq/g, or from about 1.3 meq/g to about 2.0 meq/g, or from about 1.3 meq/g to about 1.9 meq/g.

The cationic copolymer can have a molecular weight from about 10 thousand g/mol to about 1 million g/mol, or from about 25 thousand g/mol to about 1 million g/mol, or from about 50 thousand g/mol to about 1 million g/mol, or from about 100 thousand g/mol to about 1.0 million  
 10 g/mol, or from about 150 thousand g/mol to about 1.0 million g/mol.

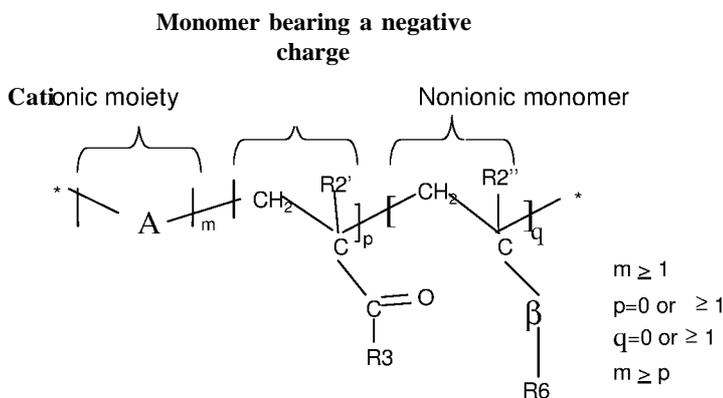
(a) Cationic Synthetic Polymers

The hair care composition can comprise a cationic synthetic polymer that may be formed from

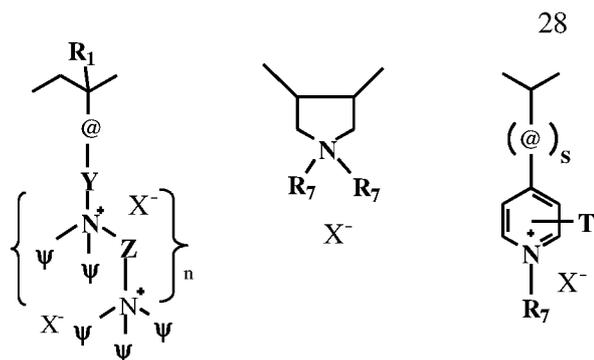
- 15
- i) one or more cationic monomer units, and optionally
  - ii) one or more monomer units bearing a negative charge, and/or
  - iii) a nonionic monomer,

wherein the subsequent charge of the copolymer is positive. The ratio of the three types of monomers is given by "m", "p" and "q" where "m" is the number of cationic monomers, "p" is the  
 20 number of monomers bearing a negative charge and "q" is the number of nonionic monomers

The cationic polymers can be water soluble or dispersible, non-crosslinked, and synthetic cationic polymers having the following structure:



where A, may be one or more of the following cationic moieties:



where @ = amido, alkylamido, ester, ether, alkyl or alkylaryl;

where Y = C1-C22 alkyl, alkoxy, alkylidene, alkyl or aryloxy;

where  $\psi$  = C1-C22 alkyl, alkyloxy, alkyl aryl or alkyl arylox;

10 where Z = C1-C22 alkyl, alkyloxy, aryl or aryloxy;

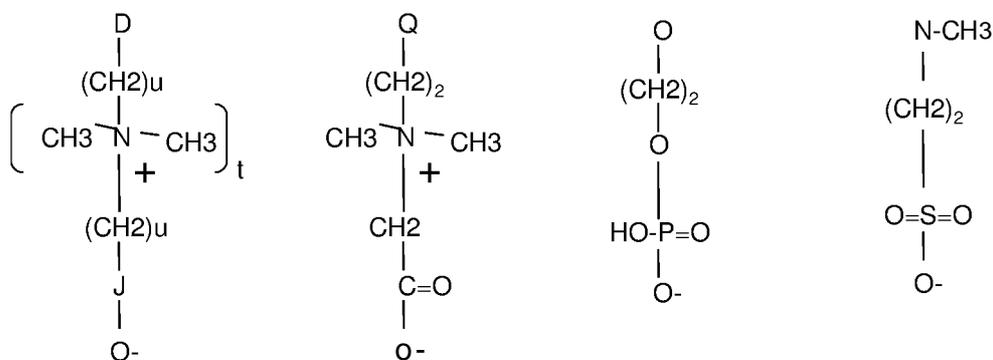
where R1 = H, C1-C4 linear or branched alkyl;

where s = 0 or 1, n = 0 or  $\geq 1$ ;

where T and R7 = C1-C22 alkyl; and

where X- = halogen, hydroxide, alkoxide, sulfate or alkylsulfate.

15 Where the monomer bearing a negative charge is defined by R2' = H, C1-C4 linear or branched alkyl and R3 as:



where D = O, N, or S;

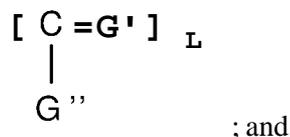
where Q = N<sup>3/4</sup> or O;

where u = 1-6;

20 where t = 0-1; and

where J = oxygenated functional group containing the following elements P, S, C.

Where the nonionic monomer is defined by R2'' = H, C1-C4 linear or branched alkyl, R6 = linear or branched alkyl, alkyl aryl, aryl oxy, alkyloxy, alkylaryl oxy and  $\beta$  is defined as



where G' and G'' are, independently of one another, O, S or N-H and L = 0 or 1.

Examples of cationic monomers include aminoalkyl (meth)acrylates, (meth)aminoalkyl (meth)acrylamides; monomers comprising at least one secondary, tertiary or quaternary amine  
 5 function, or a heterocyclic group containing a nitrogen atom, vinylamine or ethylenimine; diallyldialkyl ammonium salts; their mixtures, their salts, and macromonomers deriving from therefrom.

Further examples of cationic monomers include dimethylaminoethyl (meth)acrylate, dimethylaminopropyl (meth)acrylate, ditertiobutylaminoethyl (meth)acrylate,  
 10 dimethylaminomethyl (meth)acrylamide, dimethylaminopropyl (meth)acrylamide, ethylenimine, vinylamine, 2-vinylpyridine, 4-vinylpyridine, trimethylammonium ethyl (meth)acrylate chloride, trimethylammonium ethyl (meth)acrylate methyl sulphate, dimethylammonium ethyl (meth)acrylate benzyl chloride, 4-benzoylbzyl dimethylammonium ethyl acrylate chloride, trimethyl ammonium ethyl (meth)acrylamido chloride, trimethyl ammonium propyl  
 15 (meth)acrylamido chloride, vinylbenzyl trimethyl ammonium chloride, diallyldimethyl ammonium chloride.

Suitable cationic monomers include those which comprise a quaternary ammonium group of formula -NR<sub>3</sub><sup>+</sup>, wherein R, which is identical or different, represents a hydrogen atom, an alkyl group comprising 1 to 10 carbon atoms, or a benzyl group, optionally carrying a hydroxyl group,  
 20 and comprise an anion (counter-ion). Examples of anions are halides such as chlorides, bromides, sulphates, hydrosulphates, alkylsulphates (for example comprising 1 to 6 carbon atoms), phosphates, citrates, formates, and acetates.

Suitable cationic monomers include trimethylammonium ethyl (meth)acrylate chloride, trimethylammonium ethyl (meth)acrylate methyl sulphate, dimethylammonium ethyl  
 25 (meth)acrylate benzyl chloride, 4-benzoylbzyl dimethylammonium ethyl acrylate chloride, trimethyl ammonium ethyl (meth)acrylamido chloride, trimethyl ammonium propyl (meth)acrylamido chloride, vinylbenzyl trimethyl ammonium chloride.

Additional suitable cationic monomers include trimethyl ammonium propyl (meth)acrylamido chloride.

30 Examples of monomers bearing a negative charge include alpha ethylenically unsaturated monomers comprising a phosphate or phosphonate group, alpha ethylenically unsaturated

monocarboxylic acids, monoalkylesters of alpha ethylenically unsaturated dicarboxylic acids, monoalkylamides of alpha ethylenically unsaturated dicarboxylic acids, alpha ethylenically unsaturated compounds comprising a sulphonic acid group, and salts of alpha ethylenically unsaturated compounds comprising a sulphonic acid group.

5            Suitable monomers with a negative charge include acrylic acid, methacrylic acid, vinyl sulphonic acid, salts of vinyl sulfonic acid, vinylbenzene sulphonic acid, salts of vinylbenzene sulphonic acid, alpha-acrylamidomethylpropanesulphonic acid, salts of alpha-acrylamidomethylpropanesulphonic acid, 2-sulphoethyl methacrylate, salts of 2-sulphoethyl methacrylate, acrylamido-2-methylpropanesulphonic acid (AMPS), salts of acrylamido-2-  
10 methylpropanesulphonic acid, and styrenesulphonate (SS).

              Examples of nonionic monomers include vinyl acetate, amides of alpha ethylenically unsaturated carboxylic acids, esters of an alpha ethylenically unsaturated monocarboxylic acids with an hydrogenated or fluorinated alcohol, polyethylene oxide (meth)acrylate (i.e. polyethoxylated (meth)acrylic acid), monoalkylesters of alpha ethylenically unsaturated  
15 dicarboxylic acids, monoalkylamides of alpha ethylenically unsaturated dicarboxylic acids, vinyl nitriles, vinylamine amides, vinyl alcohol, vinyl pyrrolidone, and vinyl aromatic compounds.

              Suitable nonionic monomers include styrene, acrylamide, methacrylamide, acrylonitrile, methylacrylate, ethylacrylate, n-propylacrylate, n-butylacrylate, methylmethacrylate, ethylmethacrylate, n-propylmethacrylate, n-butylmethacrylate, 2-ethyl-hexyl acrylate, 2-ethyl-  
20 hexyl methacrylate, 2-hydroxyethylacrylate and 2-hydroxyethylmethacrylate.

              The anionic counterion ( X<sup>-</sup> ) in association with the synthetic cationic polymers may be any known counterion so long as the polymers remain soluble or dispersible in water, in the hair care composition, or in a coacervate phase of the hair care composition, and so long as the counterions are physically and chemically compatible with the essential components of the hair  
25 care composition or do not otherwise unduly impair product performance, stability or aesthetics. Non limiting examples of such counterions include halides (e.g., chlorine, fluorine, bromine, iodine), sulfate and methylsulfate.

              The concentration of the cationic polymers ranges about 0.025% to about 5%, from about 0.1% to about 3%, and/or from about 0.2% to about 1%, by weight of the hair care composition.

30            Suitable cationic cellulose polymers are salts of hydroxyethyl cellulose reacted with trimethyl ammonium substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 10 and available from Dow/ Amerchol Corp. (Edison, N.J., USA) in their Polymer LR, JR, and KG series of polymers. Other suitable types of cationic cellulose include the polymeric quaternary

ammonium salts of hydroxyethyl cellulose reacted with lauryl dimethyl ammonium-substituted epoxide referred to in the industry (CTFA) as Polyquatemium 24. These materials are available from Dow/ Amerchol Corp. under the tradename Polymer LM-200. Other suitable types of cationic cellulose include the polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with  
5 lauryl dimethyl ammonium-substituted epoxide and trimethyl ammonium substituted epoxide referred to in the industry (CTFA) as Polyquatemium 67. These materials are available from Dow/ Amerchol Corp. under the tradename SoftCAT Polymer SL-5, SoftCAT Polymer SL-30, Polymer SL-60, Polymer SL-100, Polymer SK-L, Polymer SK-M, Polymer SK-MH, and Polymer SK-H.

#### 10 E. Conditioning Agents

The hair care compositions may comprise one or more conditioning agent. Conditioning agents include materials that are used to give a particular conditioning benefit to hair and/or skin. The conditioning agents useful in the hair care compositions of the present invention typically comprise a water-insoluble, water-dispersible, non-volatile, liquid that forms emulsified, liquid  
15 particles. Suitable conditioning agents for use in the hair care composition are those conditioning agents characterized generally as silicones (e.g., silicone oils, cationic silicones, silicone gums, high refractive silicones, and silicone resins), organic conditioning oils (e.g., hydrocarbon oils, polyolefins, and fatty esters) or combinations thereof, or those conditioning agents which otherwise form liquid, dispersed particles in the aqueous surfactant matrix.

20

##### 1. Silicone Conditioning Agents

The hair care composition can comprise from about 0% to about 20% by weight, alternatively from about 6% to about 18% by weight; and alternatively from about 8% to about  
25 16% by weight of one or more silicones with a particle size of less than about 300 nm, alternatively less than about 200 nm, and alternatively less than about 100 nm. The silicone can be in the form of a nanoemulsion.

The particle size of the one or more silicones may be measured by dynamic light scattering  
30 (DLS). A Malvern Zetasizer Nano ZEN3600 system ([www.malvern.com](http://www.malvern.com)) using He-Ne laser 633nm may be used for the measurement at 25°C.

The autocorrelation function may be analyzed using the Zetasizer Software provided by Malvern Instruments, which determines the effective hydrodynamic radius, using the Stokes-

Einstein equation:

$$D = \frac{k_B T}{6\pi\eta R}$$

wherein  $k_B$  is the Boltzmann Constant, T is the absolute temperature,  $\eta$  is the viscosity of the medium, D is the mean diffusion coefficient of the scattering species, and R is the hydrodynamic radius of particles.

Particle size (i.e. hydrodynamic radius) may be obtained by correlating the observed speckle pattern that arises due to Brownian motion and solving the Stokes-Einstein equation, which relates the particle size to the measured diffusion constant, as is known in the art.

For each sample, 3 measurements may be made and Z-average values may be reported as the particle size.

The one or more silicones may be in the form of a nanoemulsion. The nanoemulsion may comprise any silicone suitable for application to the skin and/or hair.

The one or more silicones may include in their molecular structure polar functional groups such as Si-OH (present in dimethiconols), primary amines, secondary amines, tertiary amines, and quaternary ammonium salts. The one or more silicones may be selected from the group consisting of aminosilicones, pendant quaternary ammonium silicones, terminal quaternary ammonium silicones, amino polyalkylene oxide silicones, quaternary ammonium polyalkylene oxide silicones, and amino morpholino silicones.

The one or more silicones may comprise:

(a) at least one aminosilicone corresponding to formula (V):



in which:

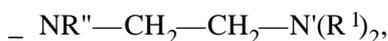
G is chosen from a hydrogen atom, a phenyl group, OH group, and C<sub>1</sub>-C<sub>8</sub> alkyl groups, for example methyl,

a is an integer ranging from 0 to 3, and alternatively is 0,

b is chosen from 0 and 1, and alternatively b is 1,

m and n are numbers such that the sum (n+m) can range for example from 1 to 2 000, such as for example from 50 to 150, wherein n can be for example chosen from numbers ranging from 0 to 1 999, such as for example from 49 to 149, and wherein m can be chosen from numbers ranging for example from 1 to 2 000, such as for example from 1 to 10;

R' is a monovalent group of formula  $-\text{C}_q\text{H}_{2q}\text{L}$  in which q is a number from 2 to 8 and L is an optionally quaternized amine group chosen from the groups:



—N(R'')<sub>2</sub>,  
 —N<sup>+</sup>(R'')<sub>3</sub>A<sup>-</sup>,  
 —N<sup>+</sup>H(R'')<sub>2</sub>A<sup>-</sup>,  
 —N<sup>+</sup>H<sub>2</sub>(R'')A<sup>-</sup>, and

5 —N(R'')—CH<sub>2</sub>—CH<sub>2</sub>—N<sup>+</sup>R''H<sub>2</sub>A<sup>-</sup>,

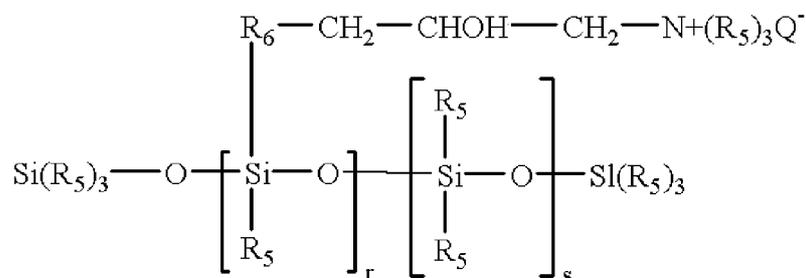
in which R'' can be chosen from a hydrogen atom, phenyl groups, benzyl groups, and saturated monovalent hydrocarbon-based groups, such as for example an alkyl group comprising from 1 to 20 carbon atoms, and A<sup>-</sup> is chosen from halide ions such as, for example, fluoride, chloride, bromide and iodide.

10 The one or more silicones may include those corresponding to formula (1) wherein a = 0, G=methyl, m and n are numbers such that the sum (n+m) can range for example from 1 to 2 000, such as for example from 50 to 150, wherein n can be for example chosen from numbers ranging from 0 to 1 999, such as for example from 49 to 149, and wherein m can be chosen from numbers ranging for example from 1 to 2 000, such as for example from 1 to 10; and L is —N(CF<sub>3</sub>)<sub>2</sub> or —  
 15 NH<sub>2</sub>, alternatively —NH<sub>2</sub>.

Additional said at least one aminosilicone of the invention include:

(b) pendant quaternary ammonium silicones of formula (VII):

(VII)



in which:

20 R<sub>5</sub> is chosen from monovalent hydrocarbon-based groups comprising from 1 to 18 carbon atoms, such as Ci-Cis alkyl groups and C<sub>2</sub>-Cis alkenyl groups, for example methyl;

R<sub>6</sub> is chosen from divalent hydrocarbon-based groups, such as divalent Ci-Cis alkylene groups and divalent Ci-Cis alkyleneoxy groups, for example Ci-Cs alkyleneoxy groups, wherein said R<sub>6</sub> is bonded to the Si by way of an SiC bond;

25 Q<sup>-</sup> is an anion that can be for example chosen from halide ions, such as chloride, and organic acid salts (such as acetate);

r is an average statistical value ranging from 2 to 20, such as from 2 to 8;

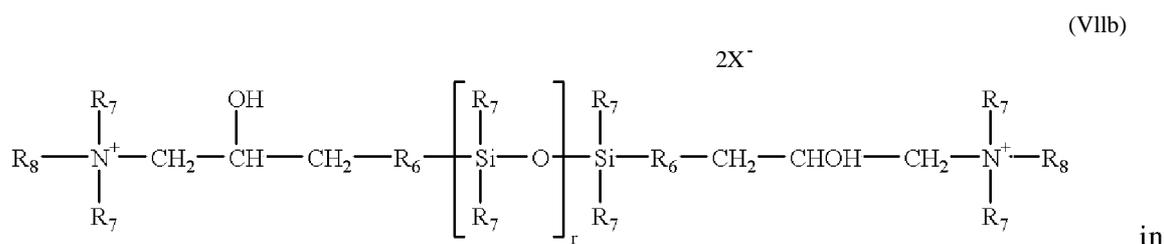
s is an average statistical value ranging from 20 to 200, such as from 20 to 50.

Such aminosilicones are described more particularly in U.S. Pat. No. 4,185,087, the disclosure of which is incorporated by reference herein.

A silicone which falls within this class is the silicone sold by the company Union Carbide under the name "Ucar Silicone ALE 56".

5 Further examples of said at least one aminosilicone include:

c) quaternary ammonium silicones of formula (VIIb):



which:

groups **R7**, which may be identical or different, are each chosen from monovalent hydrocarbon-based groups comprising from 1 to 18 carbon atoms, such as Ci-Cis alkyl groups, for example  
 10 methyl, C2-C18 alkenyl groups, and rings comprising 5 or 6 carbon atoms;

**R6** is chosen from divalent hydrocarbon-based groups, such as divalent Ci-Cis alkylene groups and divalent Ci-Cisalkylenoxy, for example Ci-Cs, group connected to the Si by an SiC bond;

**R8**, which may be identical or different, represent a hydrogen atom, a monovalent hydrocarbon-based group comprising from 1 to 18 carbon atoms, and in particular a Ci-Cis alkyl group, a C2-  
 15 Ci8 alkenyl group or a group **—R6—NHCOR7**;

**X<sup>-</sup>** is an anion such as a halide ion, in particular chloride, or an organic acid salt (acetate, etc.);

**r** represents an average statistical value from 2 to 200 and in particular from 5 to 100.

Such silicones are described, for example, in application EP-A-0 530 974, the disclosure of which  
 20 is incorporated by reference herein.

Silicones falling within this class are the silicones sold by the company Eovnik under the names Abil Quat 3270, Abil Quat 3272, Abil Quat 3474 and Abil ME 45.

Further examples of said at least one aminosilicone include:

d) quaternary ammonium and polyalkylene oxide silicones

25 wherein the quaternary nitrogen groups are located in the polysiloxane backbone, at the termini, or both.

Such silicones are described in PCT Publication No. WO 2002/010257, the disclosure of which is incorporated by reference herein.

Silicones falling within this class are the silicones sold by the company Momentive under the



Amodimethicone/Morpholinomethyl Silsesquioxane Copolymer. A particularly suitable amodimethicone is the product having the commercial name Wacker Belsil® ADM 830 IE.

Examples of such silicones are available from the following suppliers:

*offered by the company Dow Corning:* Fluids: 2-8566, AP 6087, AP 6088, DC 8040 Fluid, fluid

5 8822A DC, DC 8803 & 8813 polymer, 7-6030, AP-8104, AP 8201; Emulsions: CE-8170 AF Micro Emulsion, 2-8177, 2-8194 Microemulsion, 9224 Emulsion, 939, 949, 959, DC 5-7113 Quat Microemulsion, DC 5-7070 Emulsion, DC CE-8810, CE 8401 Emulsion, CE 1619, Dow Corning Toray SS-3551, Dow Corning Toray SS-3552;

*offered by the company Wacker:* Wacker Belsil ADM 652, ADM 656, 1100, 1600, 1650 (fluids)

10 ADM 6060 (linear amodimethicone) emulsion; ADM 6057 E (branched amodimethicone) emulsion; ADM 8020 VP (micro emulsion); SLM 28040 (micro emulsion);

*offered by the Company Momentive:* Silsoft 331, SF1708, SME 253 & 254 (emulsion), SM2125 (emulsion), SM 2658 (emulsion), Silsoft Q (emulsion)

*offered by the company Shin-Etsu:* KF-889, KF-867S, KF-8004, X-52-2265 (emulsion);

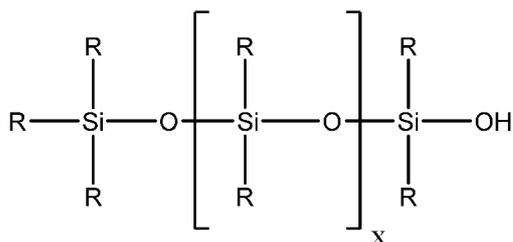
15 *offered by the Company Siltech Silicones:* Siltech E-2145, E-Siltech 2145-35;

*offered by the company Evonik Industries:* Abil T Quat 60th

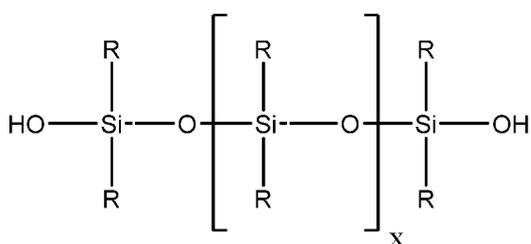
Some non-limiting examples of aminosilicones include the compounds having the following INCI names: Silicone Quaternium-1, Silicone Quaternium-2, Silicone Quaternium-3, Silicone Quaternium-4, Silicone Quaternium-5, Silicone Quaternium-6, Silicone Quaternium-7, Silicone Quaternium-8, Silicone Quaternium-9, Silicone Quaternium-10, Silicone Quaternium-11, Silicone Quaternium-12, Silicone Quaternium-15, Silicone Quaternium-16, Silicone Quaternium-17, Silicone Quaternium-18, Silicone Quaternium-20, Silicone Quaternium-21, Silicone Quaternium-22, Quaternium-80, as well as Silicone Quaternium-2 Panthenol Succinate and Silicone Quaternium-16/Glycidyl Dimethicone Crosspolymer.

25 The aminosilicones can be supplied in the form of a nanoemulsion and include MEM 9049, MEM 8177, MEM 0959, MEM 8194, SME 253, and Silsoft Q.

The one or more silicones may include dimethicones, and/or dimethiconols. The dimethiconols are hydroxyl terminated dimethylsilicones represented by the general chemical formulas



and



5

wherein **R** is an alkyl group (preferably **R** is methyl or ethyl, more preferably methyl) and **x** is an integer up to about 500, chosen to achieve the desired molecular weight. Commercial dimethiconols typically are sold as mixtures with dimethicone or cyclomethicone (e.g., Dow Coming® 1401, 1402, and 1403 fluids).

## 2. Non-Silicone Conditioning Agents

The conditioning agent of the hair care compositions described herein may also comprise at least one organic conditioning agents, either alone or in combination with other conditioning agents, such as the silicones described above. Non-limiting examples of organic conditioning agents are described below.

### a. Hydrocarbon Oils

Suitable organic conditioning agents for use as conditioning agents in hair care compositions include, but are not limited to, hydrocarbon oils having at least about 10 carbon atoms, such as cyclic hydrocarbons, straight chain aliphatic hydrocarbons (saturated or unsaturated), and branched chain aliphatic hydrocarbons (saturated or unsaturated), including polymers and mixtures thereof. Straight chain hydrocarbon oils can be from about C<sub>12</sub> to about C<sub>19</sub>.

Branched chain hydrocarbon oils, including hydrocarbon polymers, typically will contain more than 19 carbon atoms.

b. Polyolefins

5 Organic conditioning oils for use in the hair care compositions described herein also include liquid polyolefins, including liquid poly- $\alpha$ -olefins and/or hydrogenated liquid poly- $\alpha$ -olefins. Polyolefins for use herein are prepared by polymerization of  $C_4$  to about  $C_{14}$  olefinic monomers, and from about  $C_6$  to about  $C_{12}$ .

10 c. Fatty Esters

Other suitable organic conditioning agents for use as a conditioning agent in the hair care compositions described herein include fatty esters having at least 10 carbon atoms. These fatty esters include esters with hydrocarbyl chains derived from fatty acids or alcohols. The hydrocarbyl radicals of the fatty esters hereof may include or have covalently bonded thereto other compatible  
15 functionalities, such as amides and alkoxy moieties (e.g., ethoxy or ether linkages, etc.). Other oligomeric or polymeric esters, prepared from unsaturated glyceryl esters can also be used as conditioning materials.

d. Fluorinated Conditioning Compounds

20 Fluorinated compounds suitable for delivering conditioning to hair as organic conditioning agents include perfluoropolyethers, perfluorinated olefins, fluorine based specialty polymers that may be in a fluid or elastomer form similar to the silicone fluids previously described, and perfluorinated dimethicones.

25 e. Fatty Alcohols

Other suitable organic conditioning oils for use in the hair care compositions described herein include, but are not limited to, fatty alcohols having at least about 10 carbon atoms, about 10 to about 22 carbon atoms, and from about 12 to about 16 carbon atoms.

30 f. Alkyl Glucosides and Alkyl Glucoside Derivatives

Suitable organic conditioning oils for use in the hair care compositions described herein include, but are not limited to, alkyl glucosides and alkyl glucoside derivatives. Specific non-limiting

examples of suitable alkyl glucosides and alkyl glucoside derivatives include Glucam E-10, Glucam E-20, Glucam P-10, and Glucquat 125 commercially available from Amerchol.

g. Polyethylene Glycols

5 Additional compounds useful herein as conditioning agents include polyethylene glycols and polypropylene glycols having a molecular weight of up to about 2,000,000 such as those with CTFA names PEG-200, PEG-400, PEG-600, PEG-1000, PEG-2M, PEG-7M, PEG-14M, PEG-45M and mixtures thereof.

10 F. Foaming Agent

The hair care compositions described herein can comprise a foaming agent. The hair care composition described herein may comprise from about from about 1% to about 10% foaming agent, alternatively from about 2% to about 9% foaming agent, and alternatively from about 3% to about 8% foaming agent, by weight of the hair care composition. The foaming agent can be a propellant. The hair care composition described herein may comprise from about from about 1%  
15 to about 10% propellant, alternatively from about 2% to about 9% propellant, and alternatively from about 3% to about 8% propellant, by weight of the hair care composition.

The foaming agent may comprise one or more volatile materials, which in a gaseous state, may carry the other components of the hair care composition in particulate or droplet form. The  
20 foaming agent may have a boiling point within the range of from about -45° C. to about 5° C. The foaming agent may be liquefied when packaged in convention aerosol containers under pressure. The rapid boiling of the foaming agent upon leaving the aerosol foam dispenser may aid in the atomization of the other components of the hair care composition.

Aerosol foaming agents which can be employed in the hair care compositions described  
25 herein can be selected from the group consisting of 1,3,3,3-tetrafluoroprop-1-ene, 1,1 difluoroethane, and mixtures thereof.

G. Viscosity

The hair care composition may have a liquid phase viscosity of from about 1 centipoise  
30 (cP) to about 40,000 cP, alternatively from about 1,000 to about 30,000 cP, alternatively from about 3,000 cP to about 25,000 cP, alternatively from about 5,000 cP to about 20,000 cP, alternatively from about 7,000 cP to about 15,000 cP, alternatively from about 9,000 cP to about 12,000 cP, alternatively from about 1 cP centipoise to about 3000 cP, alternatively from about 10

cP centipoise to about 3000 cP, alternatively from about 20 cP to about 2000 cP, alternatively from about 500 to about 2000 cP, alternatively from about 750 cP to about 1250 cP, alternatively from about 1000 to about 3000 cP measured at 26.5°C as defined herein. The viscosities are measured by a Cone and Plate Controlled Stress Brookfield Rheometer R/S Plus, by  
5 Brookfield Engineering Laboratories, Stoughton, MA. The cone used (Spindle C-75-1) has a diameter of 75 mm and 1° angle. The viscosity is determined using a steady state flow experiment at constant shear rate of 2 s<sup>-1</sup> at a temperature of 26.5 °C. The sample size is 2.5ml and the total measurement reading time is 3 minutes.

#### 10 H. Perfume

The hair care composition may comprise from about 0.5% to about 7%, alternatively from about 1% to about 6%, and alternatively from about 2% to about 5% perfume, by weight of the hair care composition.

The hair care composition may have a silicone to perfume ratio of from about 95:5 to about  
15 50:50, alternatively from about 90:10 to about 60:40, and alternatively from about 85:15 to about 70:30.

Examples of suitable perfumes may be provided in the CTFA (Cosmetic, Toiletry and Fragrance Association) 1992 international Buyers Guide, published by CFTA Publications and OPD 1993 Chemicals Buyers Director}' 80th Annual Edition, published by Schnell Publishing Co.

20 A plurality of perfume components may be present in the hair care composition.

#### I. Optional Ingredients

The hair conditioning composition described herein may optionally comprise one or more additional components known for use in hair care or personal care products, provided that the  
25 additional components are physically and chemically compatible with the essential components described herein, or do not otherwise unduly impair product stability, aesthetics or performance. Such optional ingredients are most typically those materials approved for use in cosmetics and that are described in reference books such as the CTFA Cosmetic Ingredient Handbook, Second Edition, The Cosmetic, Toiletries, and Fragrance Association, Inc. 1988, 1992. Individual  
30 concentrations of such additional components may range from about 0.001 wt% to about 10 wt% by weight of the conditioning composition.

Emulsifiers suitable as an optional ingredient herein include mono- and di-glycerides, fatty alcohols, polyglycerol esters, propylene glycol esters, sorbitan esters and other emulsifiers known

or otherwise commonly used to stabilize air interfaces, as for example those used during preparation of aerated foodstuffs such as cakes and other baked goods and confectionary products, or the stabilization of cosmetics such as hair mousses.

Further non-limiting examples of such optional ingredients include preservatives, perfumes  
5 or fragrances, cationic polymers, viscosity modifiers, coloring agents or dyes, conditioning agents, hair bleaching agents, thickeners, moisturizers, foam boosters, additional surfactants or nonionic cosurfactants, emollients, pharmaceutical actives, vitamins or nutrients, sunscreens, deodorants, sensates, plant extracts, nutrients, astringents, cosmetic particles, absorbent particles, adhesive particles, hair fixatives, fibers, reactive agents, skin lightening agents, skin tanning agents, anti-  
10 dandruff agents, perfumes, exfoliating agents, acids, bases, humectants, enzymes, suspending agents, pH modifiers, hair colorants, hair perming agents, pigment particles, anti-acne agents, anti-microbial agents, sunscreens, tanning agents, exfoliation particles, hair growth or restorer agents, insect repellents, shaving lotion agents, non-volatile solvents or diluents (water-soluble and water-insoluble), co-solvents or other additional solvents, and similar other materials.

15

#### Anti-dandruff Actives

The hair care compositions described herein may also contain an anti-dandruff agent.  
20 Suitable, non-limiting examples of anti-dandruff particulates include: pyridinethione salts, selenium sulfide, particulate sulfur, and mixtures thereof. Such anti-dandruff particulate should be physically and chemically compatible with the essential components of the composition, and should not otherwise unduly impair product stability, aesthetics or performance.

##### 1. Pyridinethione salts

25 Pyridinethione anti-dandruff particulates, especially 1-hydroxy-2-pyridinethione salts, are a particulate anti-dandruff agents for use in compositions of the present invention. The concentration of pyridinethione anti-dandruff particulate typically ranges from about 0.1% to about 10%, by weight of the composition. The concentration of pyridinethione anti-dandruff particulate ranges from about 0.1% to about 8%, and alternatively, ranges from about 0.3% to about 5%.  
30 Pyridinethione salts can include those formed from heavy metals such as zinc, copper, tin, cadmium, magnesium, aluminum and zirconium. A pyridinethione salts formed from a heavy metal zinc, and alternatively, the zinc salt of 1-hydroxy-2-pyridinethione (known as "zinc pyridinethione" or "ZPT"), and alternatively of 1-hydroxy-2-pyridinethione salts in platelet

particle form, wherein the particles have an average size of up to about 20 $\mu$ . The particles have an average size up to about 5 $\mu$ , and alternatively up to about 2.5 $\mu$ . Salts formed from other cations, such as sodium, may also be suitable. Pyridinethione anti-dandruff agents are described, for example, in U.S. Pat. No. 2,809,971; U.S. Pat. No. 3,236,733; U.S. Pat. No. 3,753,196; U.S. Pat. No. 3,761,418; U.S. Pat. No. 4,345,080; U.S. Pat. No. 4,323,683; U.S. Pat. No. 4,379,753; and U.S. Pat. No. 4,470,982. It is contemplated that when ZPT is used as the anti-dandruff particulate in the compositions herein, that the growth or re-growth of hair may be stimulated or regulated, or both, or that hair loss may be reduced or inhibited, or that hair may appear thicker or fuller.

## 2. Other Anti-microbial Actives

10 In addition to the anti-dandruff active selected from polyvalent metal salts of pyrrhione, the present invention may further comprise one or more anti-fungal or anti-microbial actives in addition to the metal pyrrhione salt actives. Suitable anti-microbial actives include coal tar, sulfur, whitfield's ointment, castellani's paint, aluminum chloride, gentian violet, octopirox (piroctone olamine), ciclopirox olamine, undecylenic acid and it's metal salts, potassium permanganate, selenium sulphide, sodium thiosulfate, propylene glycol, oil of bitter orange, urea preparations, griseofulvin, 8-Hydroxy quinoline ciloquinol, thiobendazole, thiocarbamates, haloprogin, polyenes, hydroxypyridone, morpholine, benzylamine, allylamines (such as terbinafine), tea tree oil, clove leaf oil, coriander, palmarosa, berberine, thyme red, cinnamon oil, cinnamic aldehyde, citronellic acid, hinokitol, ichthyol pale, Sensiva SC-50, Elestab HP- 100, azelaic acid, lyticase, iodopropynyl butylcarbamate (IPBC), isothiazalinones such as octyl isothiazalinone and azoles, and combinations thereof. Anti-microbials can include itraconazole, ketoconazole, selenium sulphide and coal tar.

### a. Azoles

25 Azole anti-microbials include imidazoles such as benzimidazole, benzothiazole, bifonazole, butaconazole nitrate, climbazole, clotrimazole, croconazole, eberconazole, econazole, elubiol, fenticonazole, fluconazole, flutimazole, isoconazole, ketoconazole, lanoconazole, metronidazole, miconazole, neticonazole, omoconazole, oxiconazole nitrate, sertaconazole, sulconazole nitrate, tioconazole, thiazole, and triazoles such as terconazole and itraconazole, and combinations thereof. When present in the composition, the azole anti-microbial active can be included in an amount from about 0.01% to about 5%. The azole anti-microbial active can be included in an amount from about 0.1% to about 3%, and alternatively, from about 0.3% to about 2%, by weight of the composition. The azole anti-microbial can be ketoconazole.

b. Selenium Sulfide

Selenium sulfide is a particulate anti-dandruff agent suitable for use in the anti-microbial compositions of the present invention, effective concentrations of which range from about 0.1% to about 4%, by weight of the composition, and alternatively, from about 0.3% to about 2.5%, and  
5 alternatively from about 0.5% to about 1.5%. Selenium sulfide is generally regarded as a compound having one mole of selenium and two moles of sulfur, although it may also be a cyclic structure that conforms to the general formula  $Se_xS_y$ , wherein  $x + y = 8$ . Average particle diameters for the selenium sulfide are typically less than  $15\mu\text{m}$ , as measured by forward laser light scattering device (e.g. Malvern 3600 instrument), and alternatively, less than  $10\mu\text{m}$ . Selenium sulfide  
10 compounds are described, for example, in U.S. Pat. No. 2,694,668; U.S. Pat. No. 3,152,046; U.S. Pat. No. 4,089,945; and U.S. Pat. No. 4,885,107.

c. Sulfur

Sulfur may also be used as a particulate anti-microbial/anti-dandruff agent in the anti-microbial compositions of the present invention. Effective concentrations of the particulate sulfur  
15 are typically from about 1% to about 4%, by weight of the composition, and alternatively from about 2% to about 4%.

d. Keratolytic Agents

The present invention may further comprise one or more keratolytic agents such as Salicylic Acid.

20 e. Additional Anti-microbial Actives

Additional anti-microbial actives of the present invention may include extracts of melaleuca (tea tree) and charcoal. The present invention may also comprise combinations of anti-microbial actives. Such combinations may include octopirox and zinc pyrithione combinations, pine tar and sulfur combinations, salicylic acid and zinc pyrithione combinations, octopirox and  
25 climbazole combinations, and salicylic acid and octopirox combinations, zinc pyrithione and climbazole and mixtures thereof. These actives, when used herein, are used at levels of from about 1% to about 4%, and alternatively, from about 2% to about 4%.

The composition comprises an effective amount of a zinc-containing layered material. The composition can comprise from about 0.001% to about 10%, or from about 0.01% to about 7%, or  
30 from about 0.1% to about 5% of a zinc-containing layered material, by total weight of the composition.

Zinc-containing layered materials may be those with crystal growth primarily occurring in two dimensions. It is conventional to describe layer structures as not only those in which all the

atoms are incorporated in well-defined layers, but also those in which there are ions or molecules between the layers, called gallery ions (A.F. Wells "Structural Inorganic Chemistry" Clarendon Press, 1975). Zinc-containing layered materials (ZLMs) may have zinc incorporated in the layers and/or be components of the gallery ions. The following classes of ZLMs represent relatively  
 5 common examples of the general category and are not intended to be limiting as to the broader scope of materials which fit this definition.

Many ZLMs occur naturally as minerals. The ZLM can be selected from the group consisting of: hydrozincite (zinc carbonate hydroxide), basic zinc carbonate, aurichalcite (zinc copper carbonate hydroxide), rosasite (copper zinc carbonate hydroxide), and mixtures thereof.  
 10 Related minerals that are zinc-containing may also be included in the composition. Natural ZLMs can also occur wherein anionic layer species such as clay-type minerals (e.g., phyllosilicates) contain ion-exchanged zinc gallery ions. All of these natural materials can also be obtained synthetically or formed *in situ* in a composition or during a production process.

Another common class of ZLMs, which are often, but not always, synthetic, is layered  
 15 double hydroxides. The ZLM can be a layered double hydroxide conforming to the formula  $[M^{2+i-x}M^{3+x}(OH)_2]^{x+} A^{m-}_{x/m} \cdot nH_2O$  wherein some or all of the divalent ions ( $M^{2+}$ ) are zinc ions (Crepaldi, EL, Pava, PC, Tronto, J, Valim, JB *J. Colloid Interfac. Sci.* 2002, 248, 429-42).

Yet another class of ZLMs can be prepared called hydroxy double salts (Morioka, H., Tagaya, H., Karasu, M, Kadokawa, J, Chiba, K *Inorg. Chem.* 1999, 38, 4211-6). The ZLM can be  
 20 a hydroxy double salt conforming to the formula  $[M^{2+i-x}M^{2+i+x}(OH)_3(i-y)]^+ A^{n-}_{(1=3y)/n} \cdot nH_2O$  where the two metal ions ( $M^{2+}$ ) may be the same or different. If they are the same and represented by zinc, the formula simplifies to  $[Zn_{i+x}(OH)_2]^{2x+} 2x A^- \cdot nH_2O$ . This latter formula represents (where  $x=0.4$ ) materials such as zinc hydroxychloride and zinc hydroxynitrate. The ZLM can be a zinc hydroxychloride and/or zinc hydroxynitrate. These are related to hydrozincite as well wherein a  
 25 divalent anion replace the monovalent anion. These materials can also be formed *in situ* in a composition or in or during a production process.

The composition can comprise basic zinc carbonate. Commercially available sources of basic zinc carbonate include Zinc Carbonate Basic (Cater Chemicals: Bensenville, IL, USA), Zinc Carbonate (Shepherd Chemicals: Norwood, OH, USA), Zinc Carbonate (CPS Union Corp.: New  
 30 York, NY, USA), Zinc Carbonate (Elementis Pigments: Durham, UK), and Zinc Carbonate AC (Bruggemann Chemical: Newtown Square, PA, USA). Basic zinc carbonate, which also may be referred to commercially as "Zinc Carbonate" or "Zinc Carbonate Basic" or "Zinc Hydroxy Carbonate", is a synthetic version consisting of materials similar to naturally occurring

hydrozincite. The idealized stoichiometry is represented by  $Zn_3(OH)_6(CO_3)_2$  but the actual stoichiometric ratios can vary slightly and other impurities may be incorporated in the crystal lattice.

5 In embodiments having a zinc-containing layered material and a pyrrhione or polyvalent metal salt of pyrrhione, the ratio of zinc-containing layered material to pyrrhione or a polyvalent metal salt of pyrrhione is from about 5:100 to about 10:1, or from about 2:10 to about 5:1, or from about 1:2 to about 3:1.

#### Method of Treating Hair

10 The method of treating the hair described herein can comprise (1) providing a hair care composition, as described herein, (2) dispensing the hair care composition as a liquid form or a foam form using a mechanical foam dispenser or an aerosol foam dispenser; (3) applying the composition to the hair; and (4) rinsing the composition from the hair. The hair care composition can form a stable dosage of foam. A dosage of foam is stable when it substantially sustains its  
15 volume from the time of dispensing to its application onto the hair.

The container can be filled with the hair care composition using a standard process known in the art. The container can be shaken to homogenize the composition prior to dispensing. For example, the container can be shaken between 1 to 10 times either immediately before dispensing or up to 24hr before dispensing.

20

#### Aerosol Foam Dispenser

The hair care compositions described herein can be dispensed via and aerosol foam dispenser. The aerosol foam dispenser may comprise a reservoir for holding the concentrated hair treatment composition. The reservoir may be made out of any suitable material selected from the  
25 group consisting of plastic, metal, alloy, laminate, and combinations thereof. The reservoir may be for one-time use. The reservoir may be removable from the aerosol foam dispenser. Alternatively, the reservoir may be integrated with the aerosol foam dispenser. There may be two or more reservoirs.

The reservoir may be comprised of a material selected from the group consisting of rigid  
30 materials, flexible materials, and combinations thereof. The reservoir may be comprised of a rigid material if it does not collapse under external atmospheric pressure when it is subject to an interior partial vacuum.

The aerosol foam dispenser may comprise a dip-tube to enable upright dispensing.

The aerosol foam dispenser may be of the bag on valve type wherein the container comprises an inner bag and an outer container, which encloses the inner bag, while the inner bag has a valve mechanism attached which is movable between an open position and a closed position. The outer container may be formed from metal or plastic or the like, and any of the foaming agents described herein can be filled in a space between the outer container and the inner bag (in this case the foaming agents would be known as propellants to one skilled in the art). The inner bag may be flexible, and can be made from a single material or from a composite material including plastic, which may comprise at least a polymeric layer and a layer which acts as a gas barrier, e.g., made from metal, such as Aluminum. The inner material of the bag may be inert to the contents of the composition, and the inner material may also be impenetrable by the contents of the composition in the bag. The inner bag may comprise a layer of a material which is essentially impermeable to the propellant inside of the bag. The inner bag may comprise a layer of a material which is essentially impermeable to the propellant outside of the bag which generally is not intended to be mixed with the composition in the inner bag during storage.

The foam can have a dosage weight of from about 1 g to about 5 g when dispensed from the aerosol foam dispenser. The foam can also have a dosage weight of from about 1 g to about 7 g when dispensed from the aerosol foam dispenser, alternatively from about 2 g to about 6 g, alternatively from about 2.5 g to about 5 g, and alternatively from about 3 g to about 4.5 g. The dosage may be obtained via a single squeeze or actuation of the aerosol foam dispenser, but may be accomplished via two or more squeezes or actuations of the aerosol foam dispenser.

The hair care compositions as exemplified herein can be delivered as foams using the following aerosol package: an aluminum can with height of 190 mm and diameter of 53 mm with overflow capacity of 330 mL, supplied by CCL container equipped with (a) a Cozy-Foam one-piece actuator, supplied by Lindal; the actuator can be designed to fit a male stem and can include a nozzle channel, wherein the nozzle channel ends in a section with an inner diameter of 0.80 mm having a direction of 125° in relation to the long axis of the container leading to a nozzle having a diameter of 5.8 mm; (b) a valve with a 0.080 inches valve housing orifice and 2 x 0.040 inch stem orifice, supplied by Aptar; and (c) a dip tube having an inner diameter of 0.025 inches and a length of 190 mm.

## EXAMPLES & DATA

The following examples and data illustrate the formulations and dosages of foam described herein. The exemplified compositions may be prepared by conventional formulation and mixing

techniques. It will be appreciated that other modifications of the formulations and dosages of foam described herein within the skill of those in the shampoo formulation art can be undertaken without departing from the spirit and scope of the formulations and dosages of foam described herein. All parts, percentages, and ratios herein are by weight unless otherwise specified. Some components  
 5 may come from suppliers as dilute solutions. The amount stated reflects the weight percent of the active material, unless otherwise specified.

10

**DATA**

Consumer Test Methodology for Volume Data

Approximately 40 consumers per product, for a total of 355 data points, were asked  
 15 to dispense a total of four foam products (selected from Ex. 1-9 in Next, the total grams dispensed was further converted to grams of surfactant dispensed at the analysis stage based on the total concentration of each product. Data was reported as the distribution of 148 data points (2 bottles were not returned).

FIG. 4 shows the grams of surfactant dosed onto a hand for approximately 60 consumers  
 20 using Pantene® Clarifying Shampoo. Table 4 shows the quartile summary of FIG. 4. In particular, users between the first quartile and the third quartile dosed from 0.81 g to 1.9 g and 0.53 g to 2.66 g includes 80% of the participants.

Table 4: Quartile Summary of FIG. 4

Quartiles		
100.0%	maximum	6.85 g
99.5%		6.85 g
97.5%		4.61 g
90.0%		2.66 g
75.0%	quartile	1.90 g
50.0%	median	1.20 g
25.0%	quartile	0.81 g
10.0%		0.53 g
2.5%		0.35 g
0.5%		0.29 g
0.0%	minimum	0.29 g

25

Table 5, below) in a sequential monadic incomplete block test. The amount dispensed was at the discretion of the consumers based on the amount they assessed would be needed to wash their own hair. Data was collected as grams of product dispensed by weighing the can of product before and after the consumer dispensed the desired amount. Data was converted to volume dispensed at the analysis stage by using the known density of each of the products dispensed. Data was reported as the distribution of all 355 data points.

FIG. 1 shows the volume of foam dosed onto a hand for approximately 40 consumers per product, for a total of 355 data points. **Error! Reference source not found,** shows the quartile summary of FIG. 1. In particular, users between the first quartile and the third quartile dosed from 15.7 cm<sup>3</sup> to 44.2 cm<sup>3</sup> and 11 cm<sup>3</sup> to 65.8 cm<sup>3</sup> includes 80% of the participants.

Table 1: Quartile Summary of FIG. 1

Quartiles		
100.0%	maximum	188.2 cm <sup>3</sup>
99.5%		170.4 cm <sup>3</sup>
97.5%		119.6 cm <sup>3</sup>
90.0%		65.8 cm <sup>3</sup>
75.0%	quartile	44.2 cm <sup>3</sup>
50.0%	median	24.6 cm <sup>3</sup>
25.0%	quartile	15.7 cm <sup>3</sup>
10.0%		11 cm <sup>3</sup>
2.5%		6.8 cm <sup>3</sup>
0.5%		4.7 cm <sup>3</sup>
0.0%	minimum	4.3 cm <sup>3</sup>

Consumer Test Methodology for Surfactant Dosage Data

Pantene® Clarifying Shampoo (Product Day Code (L)43005395PK, UPC 80878177875) acquired in the U.S., manufactured on October 27<sup>th</sup>, 2014, was given to consumers and used for 4 weeks period. Prior to test placement each bottle was weighed and its weight captured as Initial Weight. Approximately 60 of the consumers participating in the study returned their product after their 4 week period. Products were then weighed after return and their weight captured as Final Weight. The grams of product used were calculated by subtracting the Final Weight from the Initial Weight. Data was converted to grams of surfactant dispensed at the analysis stage by using the known surfactant concentration (15.7%) of the Pantene® Clarifying Shampoo. Data was reported as the distribution of 58 data points.

FIG. 2 shows the grams of surfactant dosed onto a hand for approximately 60 consumers using Pantene® Clarifying Shampoo. Table 2 shows the quartile summary of FIG. 2. In particular, users between the first quartile and the third quartile dosed from 1.0 g to 1.9 g and 0.6 g to 2.9 g includes 80% of the participants.

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Table 2: Quartile Summary of FIG. 2

Quartiles		
100.0%	maximum	3.8 g
99.5%		3.8 g
97.5%		3.7 g
90.0%		2.9 g
75.0%	quartile	1.9 g
50.0%	median	1.3 g
25.0%	quartile	1.0 g
10.0%		0.6 g
2.5%		0.4 g
0.5%		0.4 g
0.0%	minimum	0.4 g

Consumer Test Methodology for Volume Data before Use

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A two-week sequential monadic test was run with formulas outlined in *Error! Reference source not found.*, below. Consumer panelists were all females ages 18-55 and considered system users. Panelists that wash 4+ times a week selected and balanced for system hair length, thickness, damage, and type. Panelists that who have scalp sensitivity or who are AD shampoo users were not used. Each research test leg was placed with 30 panelists. Each panelist saw 2 of 5 test products  
 15 (selected from Ex. A-E in Error' Reference **source not found.**, below). Each panelist used the first product for one week and the second product for one week. The amount dispensed was at the discretion of the consumers based on the amount she assessed would be needed to wash her own hair. Data was collected as grams of product dispensed by weighing the can of product before and after the consumer dispensed the desired amount. Data was converted to volume dispensed at the  
 20 analysis stage by using the known density of each of the products dispensed. Data was reported as the distribution of all 148 data points (2 bottles were not returned).

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FIG. 3 shows the volume of foam dosed onto a hand before use with a product selected from Ex. A-E. Table 3 shows the quartile summary of FIG. 3. In particular, users between the first quartile and the third quartile dosed from 30.4 cm<sup>3</sup> to 71.8 cm<sup>3</sup> and 20.5 cm<sup>3</sup> to 98.3 cm<sup>3</sup> includes 80% of the participants.



Sodium Lauryl Sulfate (SLS) (3)	0	0	0	0	0	0	2.25	0	0
Lauramidopropyl Betaine (4)	0	0	0	0	0	0	0	0	6
Dipropylene Glycol (5)	0	0	0	0	0	0	0	0	4
Glycerin (6)	0	0	0	0	0	0	0	0	0
Linoleamidopropyl PG-Dimonium Chloride Phosphate (7)	0	0	0	0	0	0	0	0.5	0
Cocamidopropyl Betaine (8)	2	2	2	1	0	0	2	0	0
Glycol Distearate (9)	0	0	3	3	0	3	0	0	0
Trihydroxystearin (10)	0	0	0	0	0	0	0.1	0	0
Octopirox (11)	0	0	0	0	0	0	0	2	0
Zinc Pyrithione (12)	4	4	4	4	4	4	4	0	0
Zinc Carbonate (13)	1.61	1.61	1.61	1.61	1.61	1.61	1.61	0	0
Fragrance (14)	1.7	1.7	1.7	1.7	1.7	1.7	1.7	3	2.4
Guar Hydroxypropyltrimonium Chloride (N-Hance 3196) (15)	0	0	0	0	0	0	0	0.4	0
Guar Hydroxypropyltrimonium Chloride (LMW) (16)	0.6	0.4	0.6	0.4	0.6	0.6	0.4	0	0.4
Dimethicone DM5500 (17)	1	1	1	1	1	1	1	0	0
Hydrochloric acid (18)	QS	0	0						
Preservative (19)	0.03 3	0.003 3							
Sodium Xylene Sulfonate (20)	0	0	2.5	0	0	0	2.5	2.6	0
Sodium Chloride (21)	0	0	0	0	0	0	0	0	1
Citric Acid (22)	0	0	0	0	0	0	0	0	0.4
Sodium Benzoate (23)	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0	0
Water and Minors (QS to 100%) (24)	QS								
Blowing Agent A46 (Isobutane and Propane) (25)	5	3	0	0	0	0	4	3.5	0
Blowing Agent HF0 (Trans 1,3,3,3 Tetrafluoroprop 1 ene) (26)	0	0	3	4	3	5	0	0	5.5
PEG 8 Dimethicone A208 MW855 (27)	0	2.5	0	0	0	2.5	0	0	0

1. Sodium Laureth- 1 Sulfate from the Stepan Company
2. Sodium Undecyl Sulfate (C11, Isachem 123S) at 70% active, supplier: P&G

3. Sodium Lauryl Sulfate from Stepan Company
4. LAPB (Mackam DAB), at 35% active level, supplier: Rhodia
5. Dipropylene Glycol from Dow Chemical
6. Glycerin from P&G Chemicals
- 5 7. Linoleamidopropyl PG-Dimonium Chloride Phosphate
8. Amphosol HCA from Stepan Company
9. Glycol Distearate from Golschmidt Chemical Company
10. Trihydroxystearin Elementis Specialties
11. Octopirox from Clariant
- 10 12. U2 ZPT from Lonza
13. Zinc Carbonate from Bruggeman Group
14. Fragrance from P&G Chemicals
15. NHance™ 3196 from Ashland with a MW of 1,700,000 g/mol and charge density of 0.7meq/g
- 15 16. Jaguar® C500 from Solvay with a M. Wt of 500,000 g/mol and charge density of 0.8meq/g
17. Dimethicone DM5500, Wacker Silicone
18. Hydrochloric acid from Mallinckrodt Baker Inc.
19. Preservative Kathon CG from Akzo Nobel
20. Sodium Xylene Sulfonate from Stepan Company
- 20 21. Sodium Chloride USP (food grade) Supplier Morton
22. Citric Acid from Cargill Inc.
23. Sodium Benzoate from Kalama Chemical
24. Water from Misty Mountain Spring Water
25. Blowing Agent A46 (Isobutane and Propane) Diversified Cpc International (Channahon US)
- 25 26. Blowing Agent HF0 (Trans 1,3,3,3 Tetrafluoroprop-1-ene) from Honey Well
27. PEG 8 Dimethicone A208 MW855, Siltech LLC

Table 6: Formulas Tested Corresponding to FIGS. 3-4 and Tables 3-4

	<b>Ex. A</b>	<b>Ex. B</b>	<b>Ex. C</b>	<b>Ex. D</b>	<b>Ex. E</b>
Sodium Undecyl Sulfate (2)	12.0	15.0	18.0	21.0	24.0
Lauramidopropyl Betaine (4)	3.0	3.75	4.5	5.25	6.0
Dipropylene Glycol (5)	2.0	2.5	3.0	3.5	4.0
Fragrance (14)	2.4	2.4	2.4	2.4	2.4
Guar	0.4	0.4	0.4	0.4	0.4
Hydroxypropyltrimonium Chloride (LMW) (16)					
Preservative (19)	0.0033	0.0033	0.0033	0.0033	0.0033
Sodium Chloride (21)	0.5	0.63	0.75	0.88	1.0
Citric Acid (22)	0.2	0.4	0.3	0.35	0.4
Water and Minors (QS to 100%) (24)	QS	QS	QS	QS	QS
Blowing Agent HF0 (Trans 1,3,3,3 Tetrafluoroprop 1 ene) (26)	3.9	3.9	5.1	5.7	6.5

2. Sodium Undecyl Sulfate (CI 1, Isachem 123S) at 70% active, supplier: P&G  
 4. LAPB (Mackam DAB), at 35% active level, supplier: Rhodia  
 5. Dipropylene Glycol from Dow Chemical  
 14. Fragrance from P&G Chemicals  
 5 16. Jaguar® C500 from Solvay with a M. Wt of 500,000 g/mol and charge density of 0.8meq/g  
 19. Preservative Kathon CG from Akzo Nobel  
 20. Sodium Xylene Sulfonate from Stepan Company  
 21. Sodium Chloride USP (food grade) Supplier Morton  
 22. Citric Acid from Cargill Inc.  
 10 24. Water from Misty Mountain Spring Water  
 25. Blowing Agent A46 (Isobutane and Propane) Diversified Cpc International (Channahon US)  
 26. Blowing Agent HFO (Trans 1,3,3,3 Tetrafluoroprop-1-ene) from Honey Well

Table 7: Additional Example Compositions

15

<i>Example Number</i>	<b>Ex. 10</b>	<b>Ex. 11</b>	<b>Ex. 12</b>	<b>Ex. 13</b>
Sodium Laureth Sulfate (SLE1S) (1)	24	26	28	28
Sodium Undecyl Sulfate (2)	0	0	0	0
Sodium Lauryl Sulfate (SLS) (3)	0	0	0	0
Lauramidopropyl Betaine (4)	0	0	0	0
Dipropylene Glycol (5)	0	0	0	0
Glycerin (6)	0	0	0	6
Linoleamidopropyl PG-Dimonium Chloride Phosphate (7)	0	0	0	0
Cocamidopropyl Betaine (8)	0	0	0	0
Glycol Distearate (9)	1.5	1.5	1.5	1.5
Trihydroxystearin (10)	0	0	0	0
Octopirox (11)	0	0	1	1
Zinc Pyrithione (12)	1.5	1.5	1.5	4
Zinc Carbonate (13)	1.61	1.61	1.61	1.61
Fragrance (14)	1.7	1.7	1.7	1.7
Guar Hydroxypropyltrimonium Chloride (N-Hance 3196) (15)	0	0	0	0
Guar Hydroxypropyltrimonium Chloride (LMW) (16)	0.4	0.4	0.4	0.66
Dimethicone DM5500 (17)	2	4	6	1
Hydrochloric acid (18)	QS	QS	QS	QS
Preservative (19)	0.0033	0.0033	0.0033	0.0033

Sodium Xylene Sulfonate (20)	2.5	3	3	2.5
Sodium Chloride (21)	0	0	0	0
Citric Acid (22)	0	0	0	0
Sodium Benzoate (23)	0.15	0.15	0.15	0.15
Water and Minors (QS to 100%) (24)	QS	QS	QS	QS
Blowing Agent A46 (Isobutane and Propane) (25)	4.5	0	0	0
Blowing Agent HF0 (Trans 1,3,3,3 Tetrafluoroprop 1 ene) (26)	0	5.5	5.5	5.5
PEG 8 Dimethicone A208 MW855 (27)	0	0	0	0

1. Sodium Laureth- 1 Sulfate from the Stepan Company
2. Sodium Undecyl Sulfate (CI 1, Isachem 123S) at 70% active, supplier: P&G
3. Sodium Lauryl Sulfate from Stepan Company
- 5 4. LAPB (Mackam DAB), at 35% active level, supplier: Rhodia
5. Dipropylene Glycol from Dow Chemical
6. Glycerin from P&G Chemicals
7. Linoleamidopropyl PG-Dimonium Chloride Phosphate
8. Amphosol HCA from Stepan Company
- 10 9. Glycol Distearate from Golschmidt Chemical Company
10. Trihydroxystearin Elementis Specialties
11. Octopirox from Clariant
12. U2 ZPT from Lonza
13. Zinc Carbonate from Bruggeman Group
- 15 14. Fragrance from P&G Chemicals
15. NHance™ 3196 from Ashland with a MW of 1,700,000 g/mol and charge density of 0.7meq/g
16. Jaguar® C500 from Solvay with a M. Wt of 500,000 g/mol and charge density of 0.8meq/g
17. Dimethicone DM5500, Wacker Silicone
- 20 18. Hydrochloric acid from Mallinckrodt Baker Inc.
19. Preservative Kathon CG from Akzo Nobel
20. Sodium Xylene Sulfonate from Stepan Company
21. Sodium Chloride USP (food grade) Supplier Morton
22. Citric Acid from Cargill Inc.
- 25 23. Sodium Benzoate from Kalama Chemical
24. Water from Misty Mountain Spring Water
25. Blowing Agent A46 (Isobutane and Propane) Diversified Cpc International (Channahon US)
26. Blowing Agent HF0 (Trans 1,3,3,3 Tetrafluoroprop- 1-ene) from Honey Well
27. PEG 8 Dimethicone A208 MW855, Siltech LLC
- 30

Table 8:  $\beta$  Value Calculations & Foam Dosage Properties

<i>Example Number</i>	Foam Dosage Density	Formulation Surfactant (%)	$\beta$	Foam Dosage Volume	Foam Dosage Surfactant Amount
	(g/cm <sup>3</sup> )	(g/100g)	(g/cm <sup>3</sup> )	(cm <sup>3</sup> )	(g)
Ex. 15	0.050	15%	0.0075	70	0.525
Ex. 16	0.350	45%	0.1575	20	3.150
Ex. 17	0.055	23%	0.0127	70	0.886
Ex. 18	0.350	45%	0.1575	20	3.150
Ex. 19	0.125	16%	0.0200	70	1.400
Ex. 20	0.350	45%	0.1575	20	3.150
Ex. 21	0.125	24%	0.0300	70	2.100
Ex. 22	0.350	45%	0.1575	20	3.150
Ex. 23	0.125	24%	0.0300	70	2.100
Ex. 24	0.275	40%	0.1100	20	2.200
Ex. 25	0.125	24%	0.0300	70	2.100
Ex. 26	0.250	28%	0.0700	20	1.400
Ex. 27	0.050	15%	0.0075	70	0.525
Ex. 28	0.350	45%	0.1575	10	1.575
Ex. 29	0.055	23%	0.0127	70	0.886
Ex. 30	0.350	45%	0.1575	10	1.575
Ex. 31	0.125	16%	0.0200	70	1.400
Ex. 32	0.350	45%	0.1575	10	1.575

Table 9: Additional  $\beta$  Value Calculations & Foam Dosage Properties

<i>Example Number</i>	Foam Dosage Density	Formulation Surfactant (%)	$\beta$	Foam Dosage Volume	Foam Dosage Surfactant Amount
	(g/cm <sup>3</sup> )	(g/100g)	(g/cm <sup>3</sup> )	(cm <sup>3</sup> )	(g)
Ex. 33	0.05	15	0.0075	10	0.075
Ex. 34	0.35	15	0.0525	70	3.675
Ex. 35	0.05	23	0.0115	10	0.115
Ex. 36	0.35	23	0.0805	70	5.635
Ex. 37	0.05	30	0.0150	10	0.150
Ex. 38	0.35	30	0.1050	70	7.350
Ex. 39	0.05	45	0.0225	10	0.225
Ex. 40	0.35	45	0.1575	70	11.025

### Test Methods

#### $\beta$ Value Calculation (g/cm<sup>3</sup>)

$$(\text{Surfactant in Formula (\%)} \times \text{Foam Density (g/cm}^3\text{)})/100 = \beta \text{ Value (g/cm}^3\text{)}$$

5

#### Foam Density & Foam Volume

Foam density is measured by placing a 100 ml beaker onto a mass balance, tarring the mass of the beaker and then dispensing product from the aerosol container into the 100 ml beaker until the volume of the foam is above the rim of the vessel. The foam is made level with the top  
10 of the beaker by scraping a spatula across it within 10 seconds of dispensing the foam above the rim of the vessel. The resulting mass of the 100 ml of foam is then divided by the volume (100) to determine the foam density in units of g/ml.

Foam volume is measured by placing a weigh boat onto a mass balance, tarring the mass of the weigh boat and then dispensing the desired amount of product from the aerosol container.  
15 The grams of foam dispensed is determined and then divided by the density of foam as determined from the Foam Density methodology to reach a volume of foam in ml or cm<sup>3</sup>.

#### Viscosity Cone/Plate Viscosity Measurement

The viscosities of formulations are measured by a Cone/Plate Controlled Stress  
20 Brookfield Rheometer R/S Plus, by Brookfield Engineering Laboratories, Stoughton, MA. The cone used (Spindle C-75-1) has a diameter of 75 mm and 1° angle. The viscosity is determined using a steady state flow experiment at constant shear rate of 2 s<sup>-1</sup> and at temperature of 26.5 °C. The sample size is 2.5ml and the total measurement reading time is 3 minutes.

#### Foam Rheology Method (Yield Point)

Foam shampoo is applied to the AR1000 rheometer for foam oscillation stress sweep. 60mm smooth acrylic plate is utilized for shear stress measurement. Measurement is made at 25C. The plate head is lowered to 1200 microns and excess foam is removed with a spatula so that drag does not occur during measurement. The measurement gap height is then lowered 1000  
30 microns. Sweep occurs from 0.1 to 400Pa. Data is analyzed via TA Rheology Advantage Data Analysis software. Yield point is determined at the point at which the oscillatory shear stress begins to deviate from its tangent. The yield point measurements are reported in Pa units.

#### Kruss Lather Analyzer (Bubble Size)

The commercially available Kruss lather analyzer DFA100, supplied from Kruss, is used to analyze the foam shampoo for the initial Sauter mean radius  $R_{32}$  (bubble size). Shampoo foam is dispensed into the CY457 1 column containing a prism. An internal stopper is placed into the column approximately 100ml from the top of the chamber. The camera height is set to 244mm and camera position is placed in the 3 slot. Structure foaming is captured at 2 frames per second for 120 seconds. Data analysis is performed on the Kruss Advance 1.5.1.0 software application version.

### **Combinations**

- 10 1) A dosage of foam comprising:
  - a. from about  $7.5 \text{ cm}^3$  to about  $70 \text{ cm}^3$  of the foam;  
wherein the foam comprises:
    - i. from about 0.5 g to about 4 g of a deterative surfactant by weight of the foam;
    - ii. from about 0.001 g to about 4 g propellant by weight of the foam;
    - 15 iii. a foam density of from about  $0.05 \text{ g/cm}^3$  to about  $0.35 \text{ g/cm}^3$ ;
    - iv. a bubble size distribution comprising an  $R_{32}$  of from about  $5 \mu\text{m}$  to about  $100 \mu\text{m}$ ; and
    - v. a  $\beta$  value of from about  $0.0075 \text{ g/cm}^3$  to about  $0.1575 \text{ g/cm}^3$ .
- 20 2) The dosage of foam of paragraph A, wherein the  $\beta$  value is from about  $0.0127 \text{ g/cm}^3$  to about  $0.1575 \text{ g/cm}^3$ .
- 3) The dosage of foam of paragraphs A or B, wherein the  $\beta$  value is from about  $0.0200 \text{ g/cm}^3$  to about  $0.1575 \text{ g/cm}^3$ .
- 4) The dosage of foam of any of paragraphs A-C, wherein the  $\beta$  value is from about  $0.0300 \text{ g/cm}^3$  to about  $0.1575 \text{ g/cm}^3$ .
- 25 5) The dosage of foam of any of paragraphs A-D, wherein the  $\beta$  value is from about  $0.0300 \text{ g/cm}^3$  to about  $0.1100 \text{ g/cm}^3$ .
- 6) The dosage of foam of any of paragraphs A-E, wherein the  $\beta$  value is from about  $0.0300 \text{ g/cm}^3$  to about  $0.0700 \text{ g/cm}^3$ .
- 7) The dosage of foam of any of paragraphs A-F, wherein the bubble size distribution comprises an  $R_{32}$  of from about  $10 \mu\text{m}$  to about  $60 \mu\text{m}$ .
- 8) The dosage of foam of any of paragraphs A-G, wherein the bubble size distribution comprises an  $R_{32}$  of from about  $20 \mu\text{m}$  to about  $50 \mu\text{m}$ .

- 9) The dosage of foam of any of paragraphs A-H, wherein the volume of the dosage of foam is from about  $10 \text{ g/cm}^3$  to about  $70 \text{ g/cm}^3$ .
- 10) The dosage of foam of any of paragraphs A-I, wherein the volume of the dosage of foam is from about  $20 \text{ g/cm}^3$  to about  $70 \text{ g/cm}^3$ .
- 11) The dosage of foam of any of paragraphs A-J, wherein the volume of the dosage of foam is from about  $7.5 \text{ g/cm}^3$  to about  $45 \text{ g/cm}^3$ .
- 12) The dosage of foam of any of paragraphs A-K, wherein the volume of the dosage of foam is from about  $10 \text{ g/cm}^3$  to about  $45 \text{ g/cm}^3$ .
- 13) The dosage of foam of any of paragraphs A-L, wherein the foam density is from about from about  $0.055 \text{ g/cm}^3$  to about  $0.35 \text{ g/cm}^3$ .
- 14) The dosage of foam of any of paragraphs A-M, wherein the foam density is from about  $0.125 \text{ g/cm}^3$  to about  $0.35 \text{ g/cm}^3$ .
- 15) The dosage of foam of any of paragraphs A-N, wherein the foam density is from about  $0.125 \text{ g/cm}^3$  to about  $0.275 \text{ g/cm}^3$ .
- 16) The dosage of foam of any of paragraphs A-O, wherein the foam density is from about  $0.125 \text{ g/cm}^3$  to about  $0.25 \text{ g/cm}^3$ .
- 17) The dosage of foam of any of paragraphs A-P, wherein the deterative surfactant is an anionic surfactant.
- 18) The dosage of foam of any of paragraphs A-Q, wherein the dosage of foam comprises from about 0.5 g to about 3 g of a deterative surfactant by weight of the foam.
- 19) The dosage of foam of any of paragraphs A-R, wherein the dosage of foam comprises from about 0.75 g to about 1.75 g of a deterative surfactant by weight of the foam.
- 20) A dosage of foam comprising:
- a. from about  $7.5 \text{ cm}^3$  to about  $70 \text{ cm}^3$  of the foam;
 

wherein the foam comprises:

    - i. from about 0.5 g to about 4 g of a deterative surfactant by weight of the foam;
    - 5 ii. from about 0.00005 g to about 0.25 g of a cationic deposition polymer by weight of the foam;
    - iii. a foam density of from about  $0.05 \text{ g/cm}^3$  to about  $0.35 \text{ g/cm}^3$ ;
    - iv. a bubble size distribution comprising an  $R_{32}$  of from about  $5 \mu\text{m}$  to about  $100 \mu\text{m}$ ; and
    - 10 v. a  $\beta$  value of from about  $0.0075 \text{ g/cm}^3$  to about  $0.1575 \text{ g/cm}^3$ .

- 21) The dosage of foam of paragraph T, wherein the  $\beta$  value is from about 0.0127 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>.
- 22) The dosage of foam of paragraphs T or U, wherein the  $\beta$  value is from about 0.0200 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>.
- 5 23) The dosage of foam of any of paragraphs T-V, wherein the  $\beta$  value is from about 0.0300 g/cm<sup>3</sup> to about 0.1575 g/cm<sup>3</sup>.
- 24) The dosage of foam of any of paragraphs T-W, wherein the  $\beta$  value is from about 0.0300 g/cm<sup>3</sup> to about 0.1100 g/cm<sup>3</sup>.
- 25) The dosage of foam of any of paragraphs T-X, wherein the  $\beta$  value is from about 0.0300  
10 g/cm<sup>3</sup> to about 0.0700 g/cm<sup>3</sup>.
- 26) The dosage of foam of any of paragraphs T-Y, wherein the bubble size distribution comprises an R32 of from about 10  $\mu\text{m}$  to about 60  $\mu\text{m}$ .
- 27) The dosage of foam of any of paragraphs T-Z, wherein the bubble size distribution comprises an R32 of from about 20  $\mu\text{m}$  to about 50  $\mu\text{m}$ .
- 15 28) The dosage of foam of any of paragraphs T-AA, wherein the volume of the dosage of foam is from about 10 g/cm<sup>3</sup> to about 70 g/cm<sup>3</sup>.
- 29) The dosage of foam of any of paragraphs T-BB, wherein the volume of the dosage of foam is from about 20 g/cm<sup>3</sup> to about 70 g/cm<sup>3</sup>.
- 30) The dosage of foam of any of paragraphs T-CC, wherein the volume of the dosage of  
20 foam is from about 7.5 g/cm<sup>3</sup> to about 45 g/cm<sup>3</sup>.
- 31) The dosage of foam of any of paragraphs T-DD, wherein the volume of the dosage of foam is from about 10 g/cm<sup>3</sup> to about 45 g/cm<sup>3</sup>.
- 32) The dosage of foam of any of paragraphs T-EE, wherein the foam density is from about from about 0.055 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>.
- 25 33) The dosage of foam of any of paragraphs T-FF, wherein the foam density is from about 0.125 g/cm<sup>3</sup> to about 0.35 g/cm<sup>3</sup>.
- 34) The dosage of foam of any of paragraphs T-GG, wherein the foam density is from about 0.125 g/cm<sup>3</sup> to about 0.275 g/cm<sup>3</sup>.
- 35) The dosage of foam of any of paragraphs T-HH, wherein the foam density is from about  
30 0.125 g/cm<sup>3</sup> to about 0.25 g/cm<sup>3</sup>.
- 36) The dosage of foam of any of paragraphs T-II, wherein the deterative surfactant is an anionic surfactant.

37) The dosage of foam of any of paragraphs T-JJ, wherein the dosage of foam comprises from about 0.5 g to about 3 g of a deterative surfactant by weight of the foam.

38) The dosage of foam of any of paragraphs T-KK, wherein the dosage of foam comprises from about 0.75 g to about 1.75 g of a deterative surfactant by weight of the foam.

5           The dimensions and values disclosed herein are not to be understood as being strictly limited to the exact numerical values recited. Instead, unless otherwise specified, each such dimension is intended to mean both the recited value and a functionally equivalent range surrounding that value. For example, a dimension disclosed as "40 mm" is intended to mean "about 40 mm."

10           Every document cited herein, including any cross referenced or related patent or application and any patent application or patent to which this application claims priority or benefit thereof, is hereby incorporated herein by reference in its entirety unless expressly excluded or otherwise limited. The citation of any document is not an admission that it is prior art with respect to any invention disclosed or claimed herein or that it alone, or in any combination with any other  
15 reference or references, teaches, suggests or discloses any such invention. Further, to the extent that any meaning or definition of a term in this document conflicts with any meaning or definition of the same term in a document incorporated by reference, the meaning or definition assigned to that term in this document shall govern.

20           While particular embodiments of the present invention have been illustrated and described, it would be obvious to those skilled in the art that various other changes and modifications can be made without departing from the spirit and scope of the invention. It is therefore intended to cover in the appended claims all such changes and modifications that are within the scope of this invention.

## CLAIMS

What is claimed is:

- 1) A dosage of foam comprising:
  - a. from 7.5 cm<sup>3</sup> to 70 cm<sup>3</sup> of the foam, preferably from 7.5 g/cm<sup>3</sup> to 45 g/cm<sup>3</sup>;  
wherein the foam comprises:
    - vi. from 0.5 g to 4 g of a deterative surfactant by weight of the foam;
    - vii. from 0.001 g to 4 g propellant by weight of the foam;
    - viii. a foam density of from 0.05 g/cm<sup>3</sup> to 0.35 g/cm<sup>3</sup>;
    - ix. a bubble size distribution comprising an R<sub>32</sub> of from 5 μm to 100 μm;
    - x. a β value of from 0.0075 g/cm<sup>3</sup> to 0.1575 g/cm<sup>3</sup>.
- 2) The dosage of foam according to the preceding claim, wherein the foam density is from 0.055 g/cm<sup>3</sup> to 0.35 g/cm<sup>3</sup>, from 0.125 g/cm<sup>3</sup> to 0.35 g/cm<sup>3</sup>, more preferably 0.125 g/cm<sup>3</sup> to 0.275 g/cm<sup>3</sup>, and more preferably from 0.125 g/cm<sup>3</sup> to 0.25 g/cm<sup>3</sup>.
- 3) The dosage of foam according to anyone of the preceding claims, wherein the bubble size distribution is from 10 μm to 60 μm and preferably from 20 μm to 50 μm.
- 4) The dosage of foam according to anyone of the preceding claims, wherein the β value is from preferably from 0.0127 g/cm<sup>3</sup> to 0.1575 g/cm<sup>3</sup> and preferably from 0.0200 g/cm<sup>3</sup> to 0.1575 g/cm<sup>3</sup>.
- 5) The dosage of foam according to anyone of the preceding claims, wherein the β value is from 0.0300 g/cm<sup>3</sup> to 0.1575 g/cm<sup>3</sup>, preferably from 0.0300 g/cm<sup>3</sup> to 0.1100 g/cm<sup>3</sup>, and more preferred from 0.0300 g/cm<sup>3</sup> to 0.0700 g/cm<sup>3</sup>.
- 6) The dosage of foam according to anyone of the preceding claims, wherein the volume of the dosage of foam is from 10 g/cm<sup>3</sup> to 70 g/cm<sup>3</sup>, preferably from 20 g/cm<sup>3</sup> to 70 g/cm<sup>3</sup>, and more preferably from 10 g/cm<sup>3</sup> to 45 g/cm<sup>3</sup>.
- 7) The dosage of foam according to anyone of the preceding claims, wherein the deterative surfactant is an anionic surfactant.

- 8) The dosage of foam according to anyone of the preceding claims, wherein the dosage of foam comprises from 0.5 g to 3 g of a deterative surfactant by weight of the foam and preferably from 0.75 g to 1.75 g of a deterative surfactant by weight of the foam.
- 9) The dosage of foam according to anyone of the preceding claims, wherein the foam further comprises from 0.00005 g to 0.25 g of a cationic deposition polymer by weight of the foam.

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Table 1: Volume of Foam Dosed Onto Hand

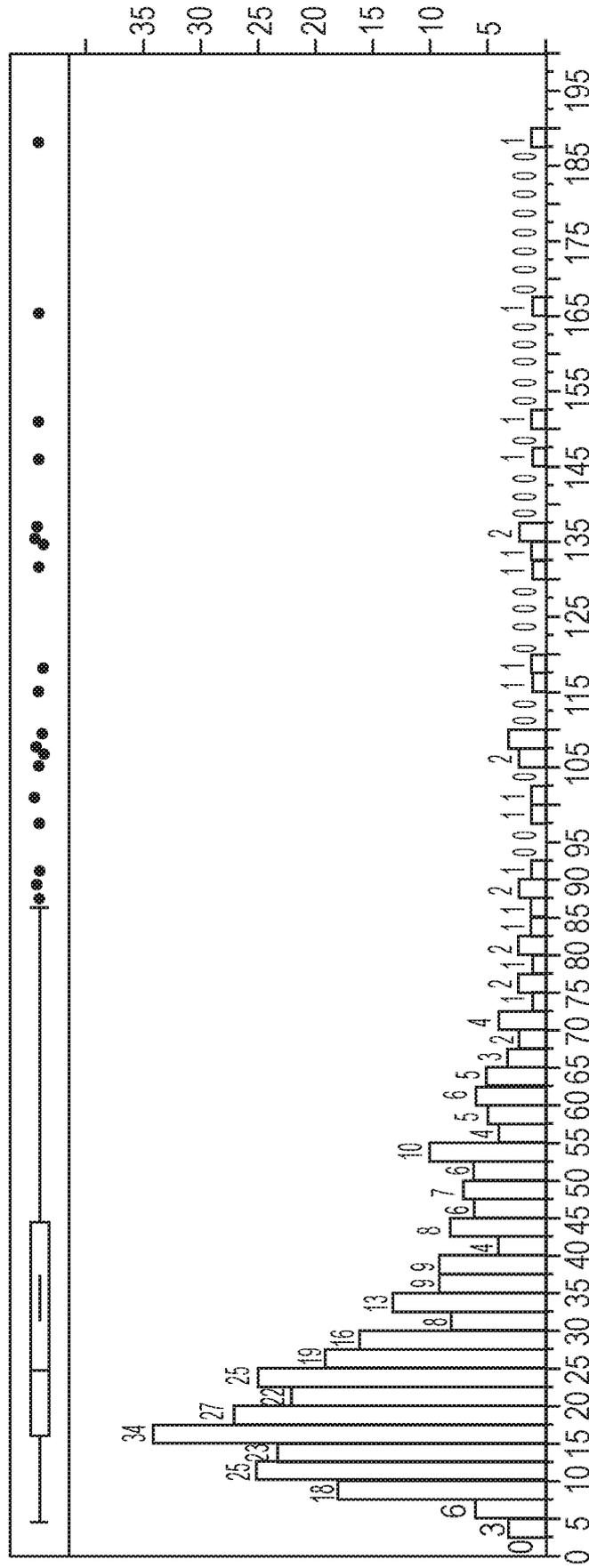


Fig. 1

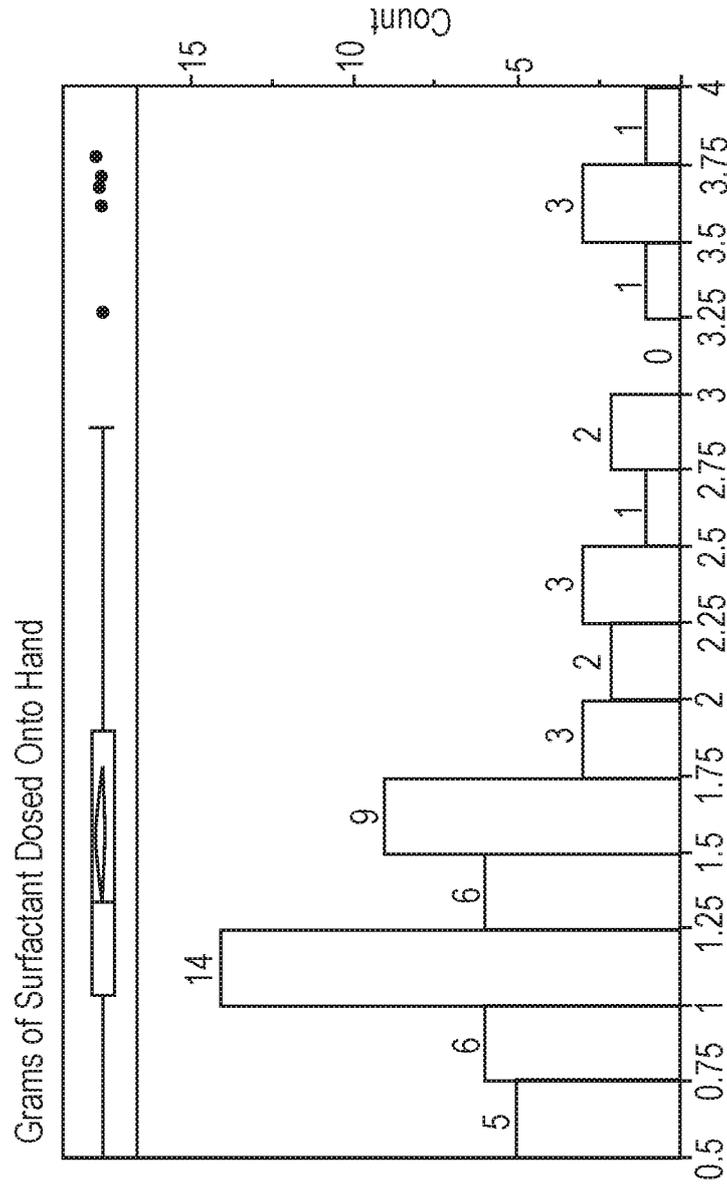


Fig. 2

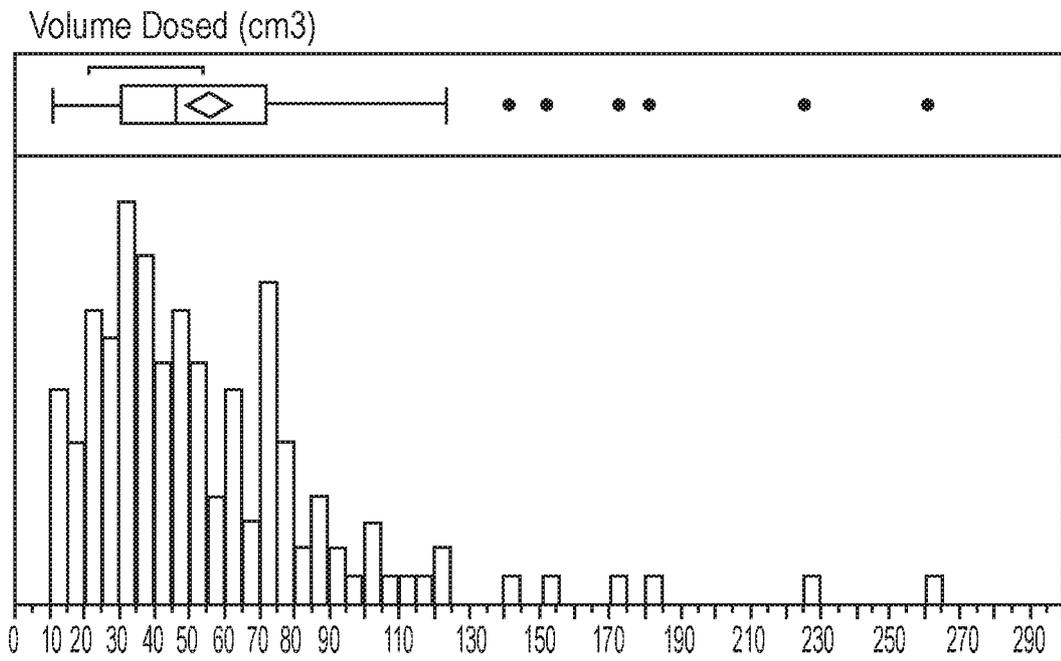


Fig. 3

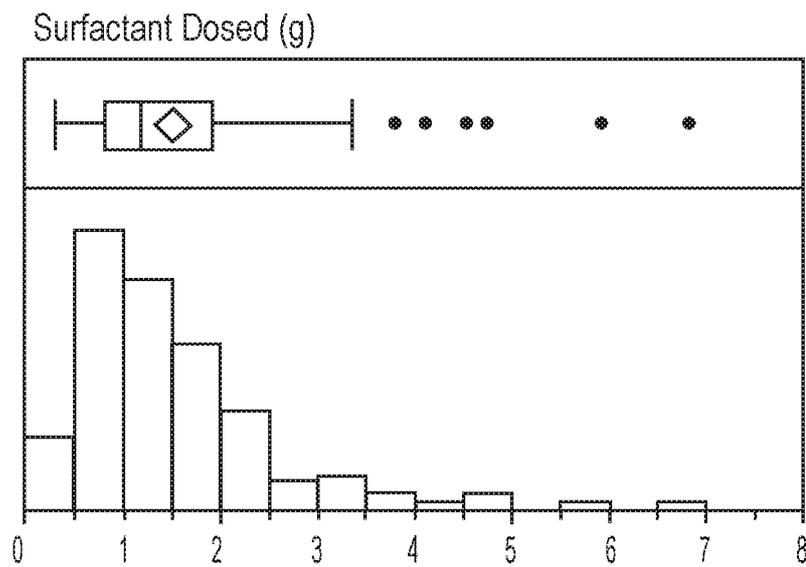


Fig. 4

**INTERNATIONAL SEARCH REPORT**

International application No PCT/US2017/057522
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**A. CLASSIFICATION OF SUBJECT MATTER**  
 INV. A61Q5/Q2 A61Q5/00 A61K8/04 A61K8/31 A61K8/44  
 A61K8/46  
 ADD.  
 According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**  
 Minimum documentation searched (classification system followed by classification symbols)  
 A61Q A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
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Further documents are listed in the continuation of Box C.       See patent family annex.

\* Special categories of cited documents :

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"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  12 January 2018	Date of mailing of the international search report  02/02/2018
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Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Diebold, Al ain
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