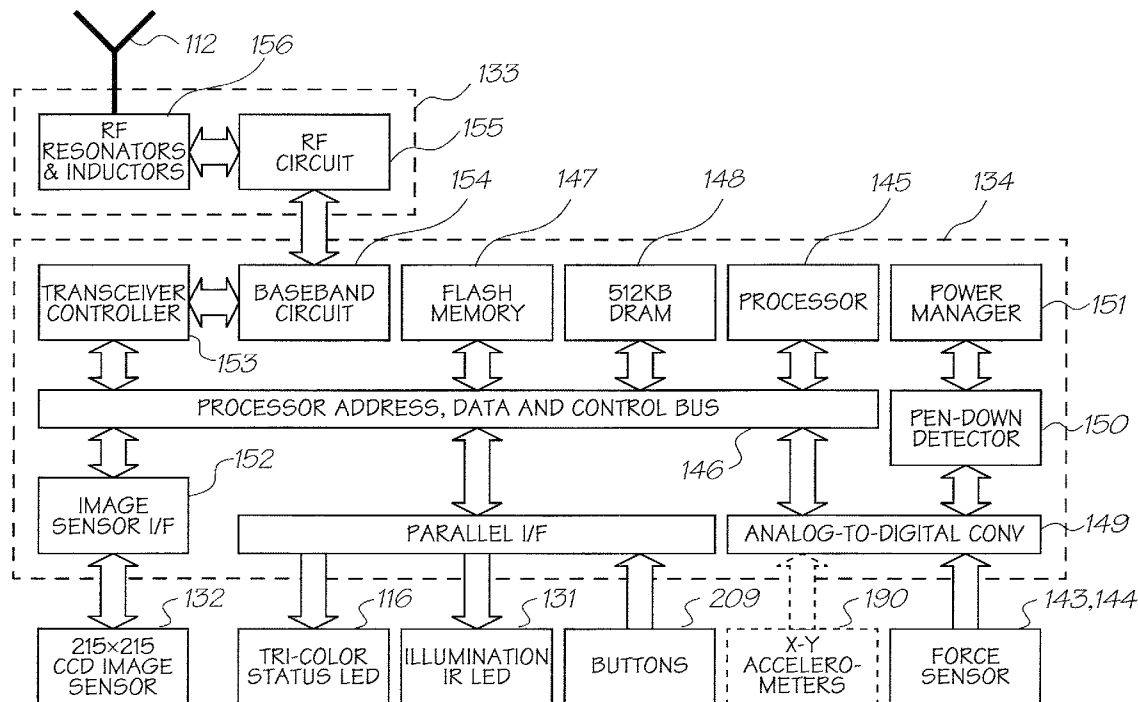




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(19) **United States**(12) **Patent Application Publication**  
**Lapstun et al.**(10) **Pub. No.: US 2008/0192234 A1**(43) **Pub. Date: Aug. 14, 2008**(54) **METHOD OF SENSING MOTION OF A  
SENSING DEVICE RELATIVE TO A SURFACE**(75) Inventors: **Paul Lapstun, Balmain (AU); Kia  
Silverbrook, Balmain (AU)**Correspondence Address:  
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393 DARLING STREET  
BALMAIN 2041**(73) Assignee: **Silverbrook Research Pty Ltd**(21) Appl. No.: **12/015,507**(22) Filed: **Jan. 17, 2008****Related U.S. Application Data**(60) Provisional application No. 60/888,775, filed on Feb.  
8, 2007.**Publication Classification**(51) **Int. Cl.****G01N 21/00** (2006.01)**G01B 11/14** (2006.01)(52) **U.S. Cl. .... 356/72; 356/620**(57) **ABSTRACT**

A method of sensing motion of a sensing device relative to a surface, the method including the steps of: optically imaging a position-coding pattern disposed on or in the surface; independently sensing relative position changes of the sensing device using a motion sensor; generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern; independently generating relative motion data using the relative position changes sensed by the motion sensor; and determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data.



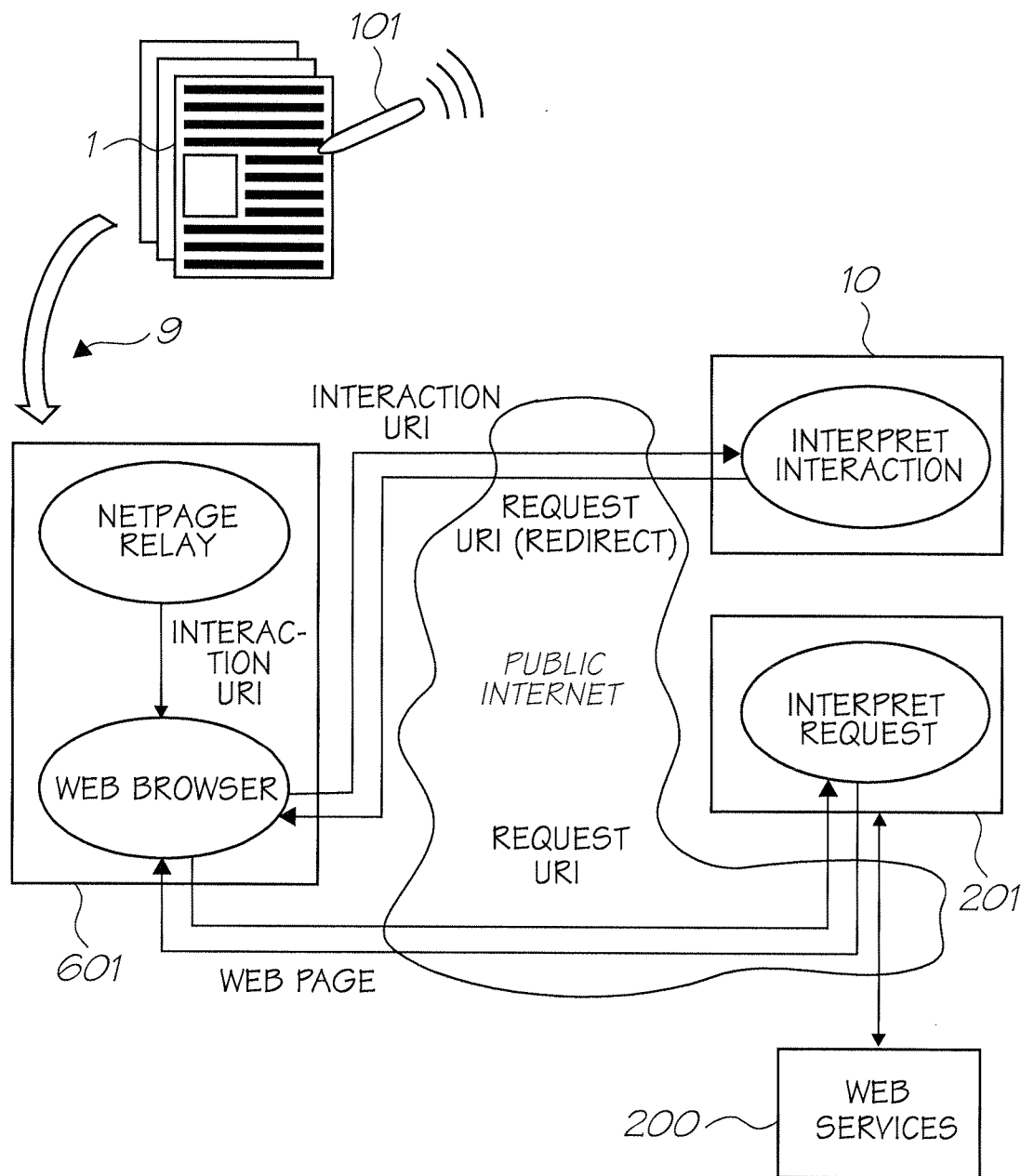


FIG. 1

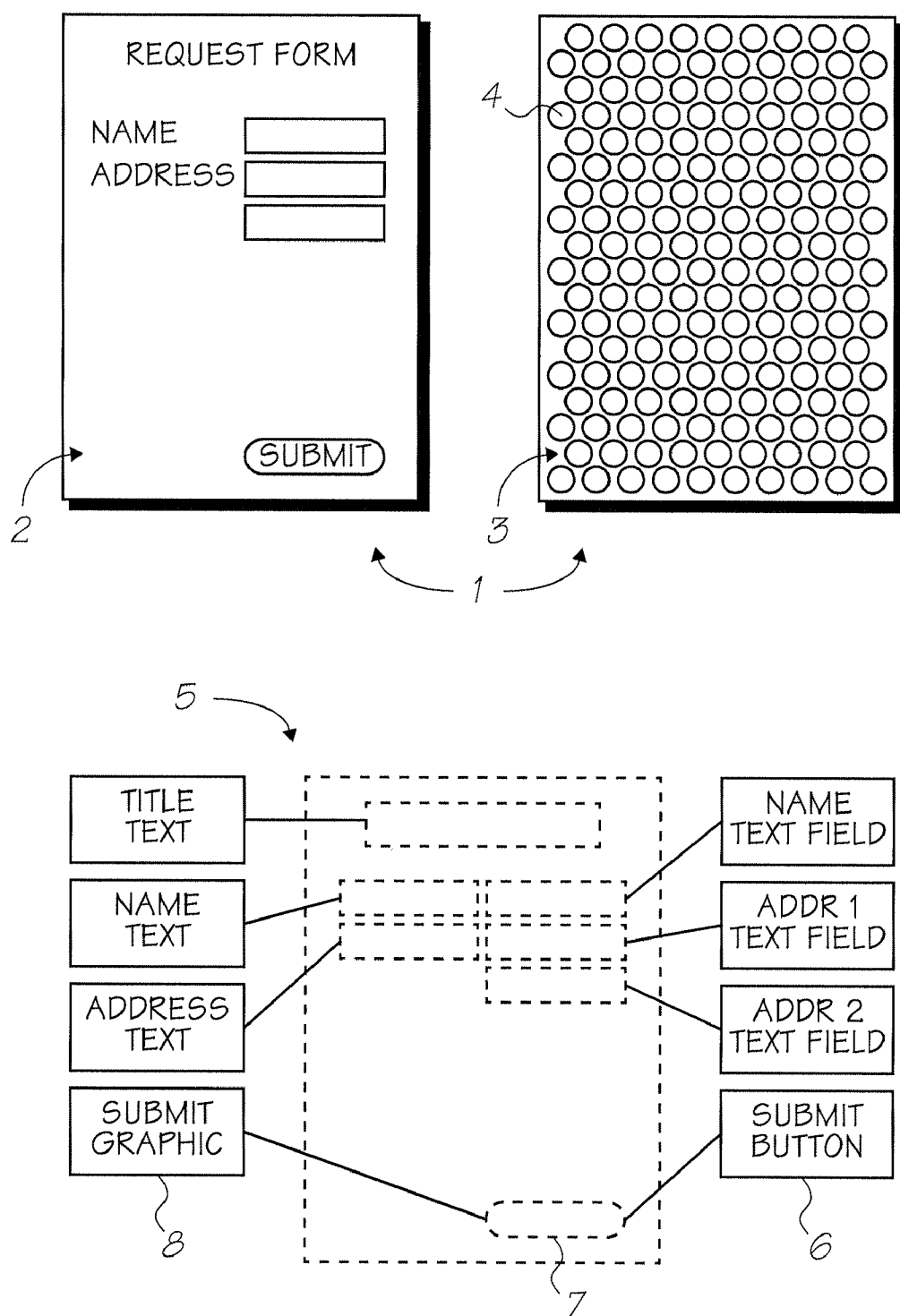


FIG. 2

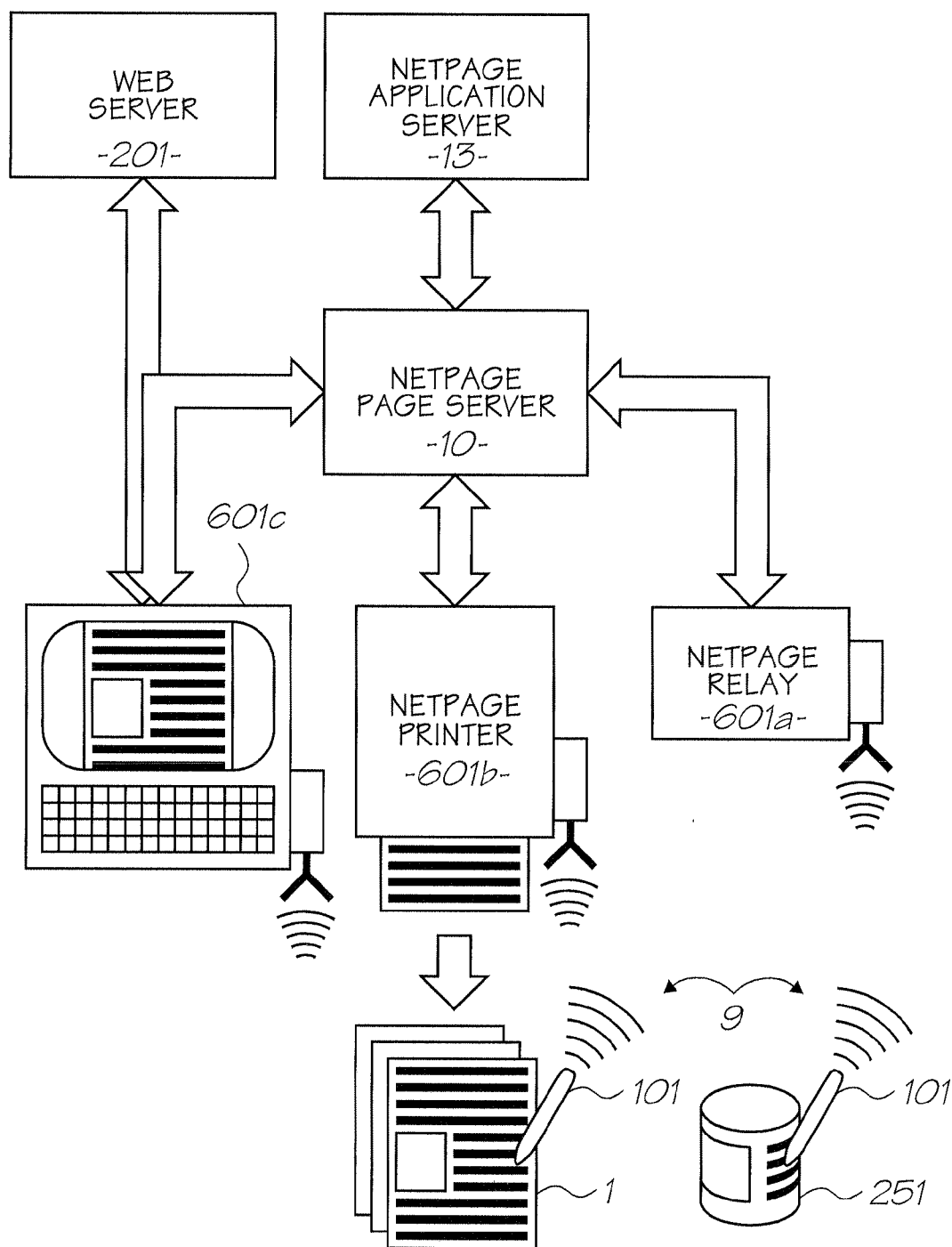


FIG. 3

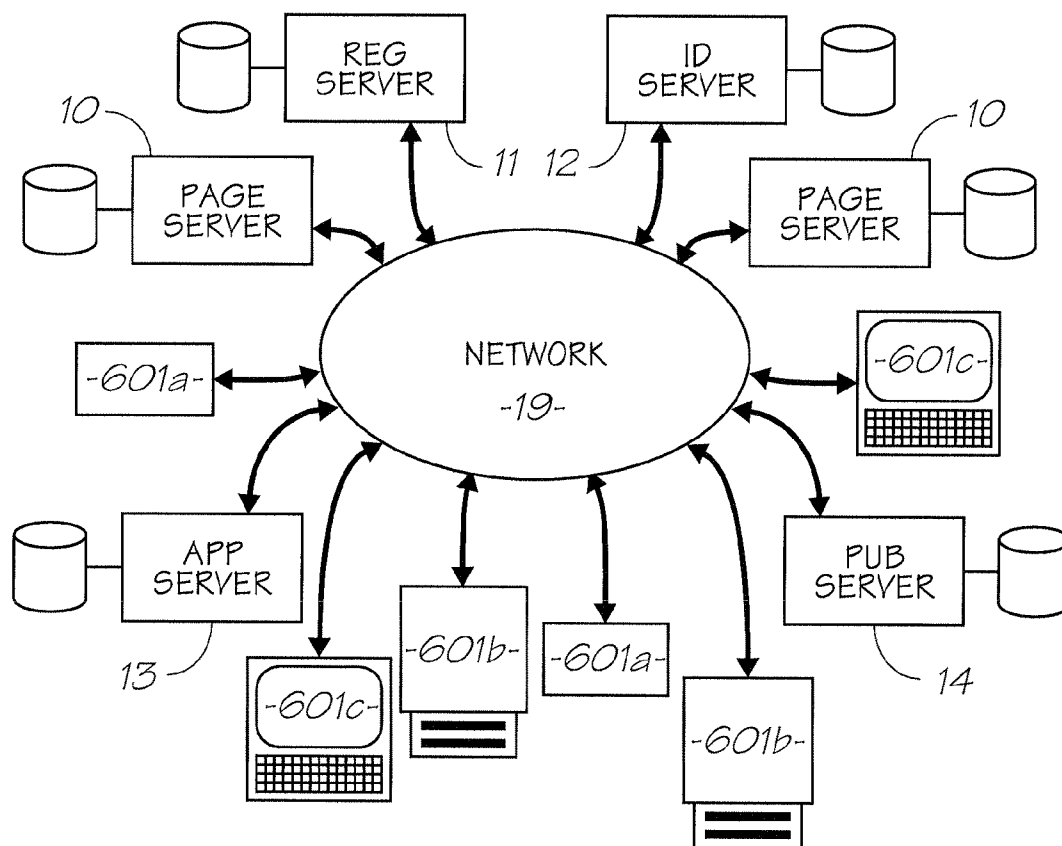


FIG. 3A

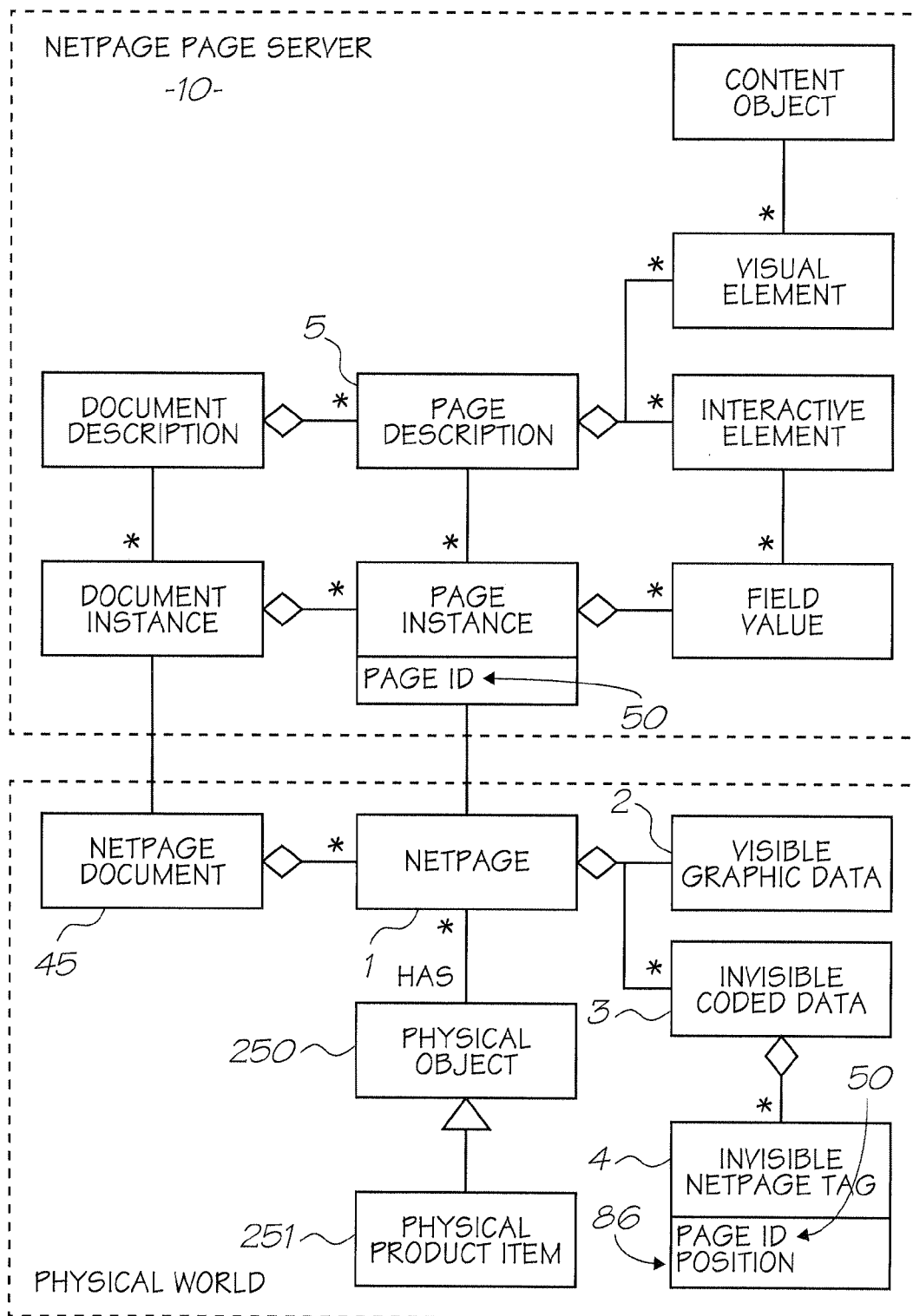


FIG. 4

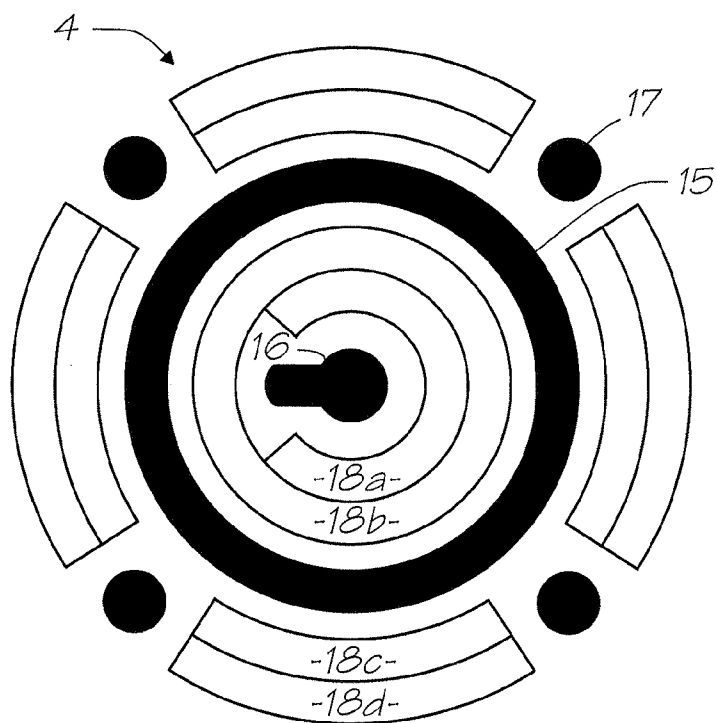


FIG. 5A

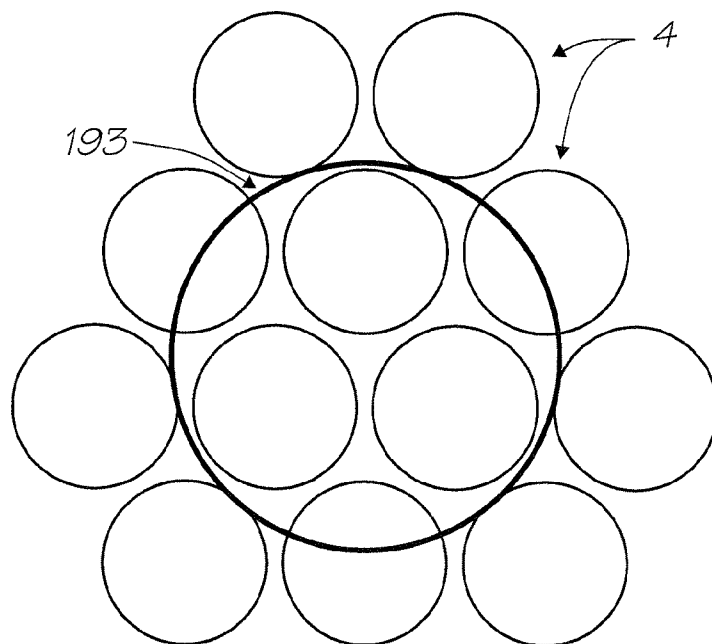


FIG. 5B

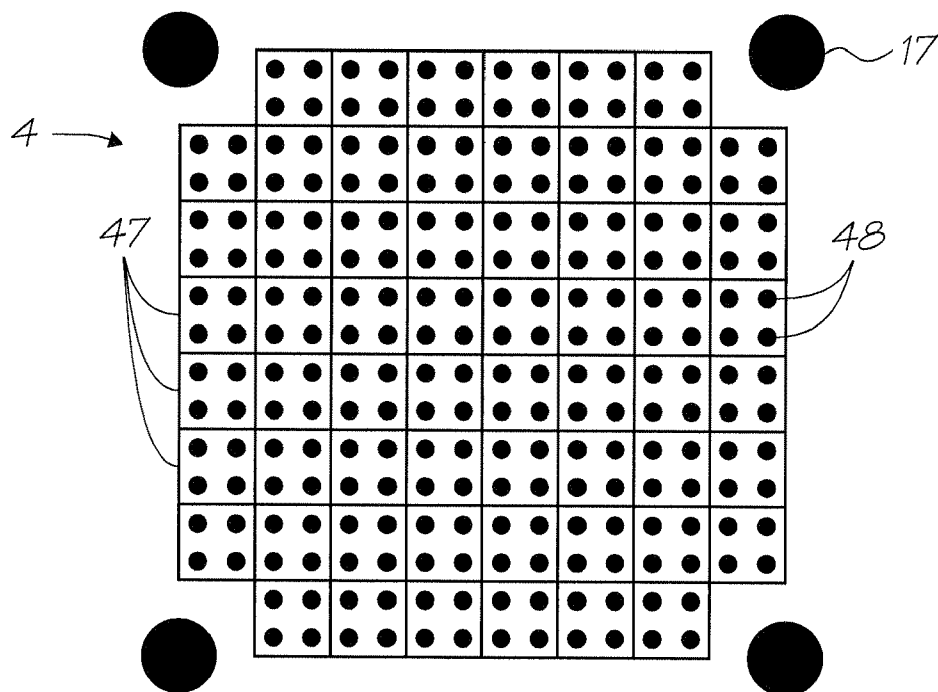


FIG. 6A

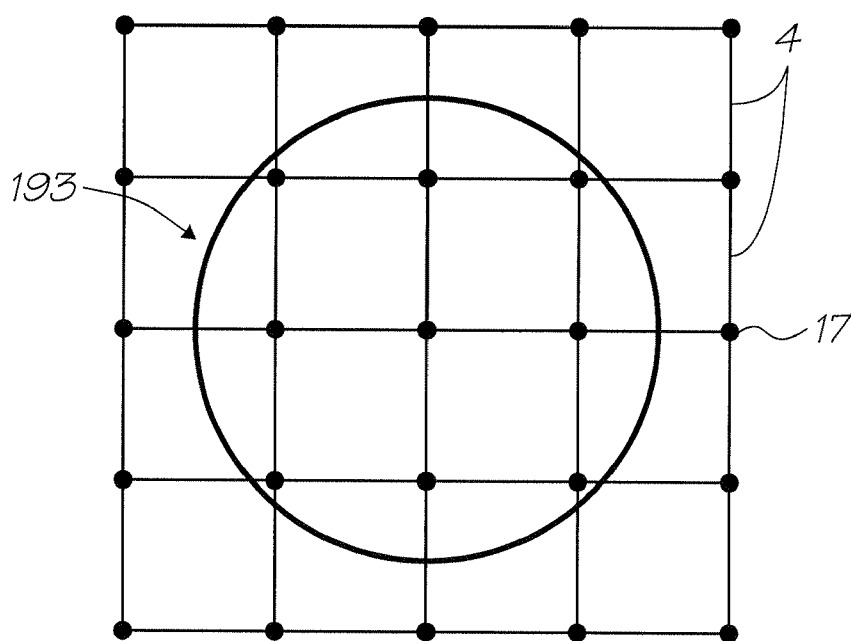


FIG. 6B



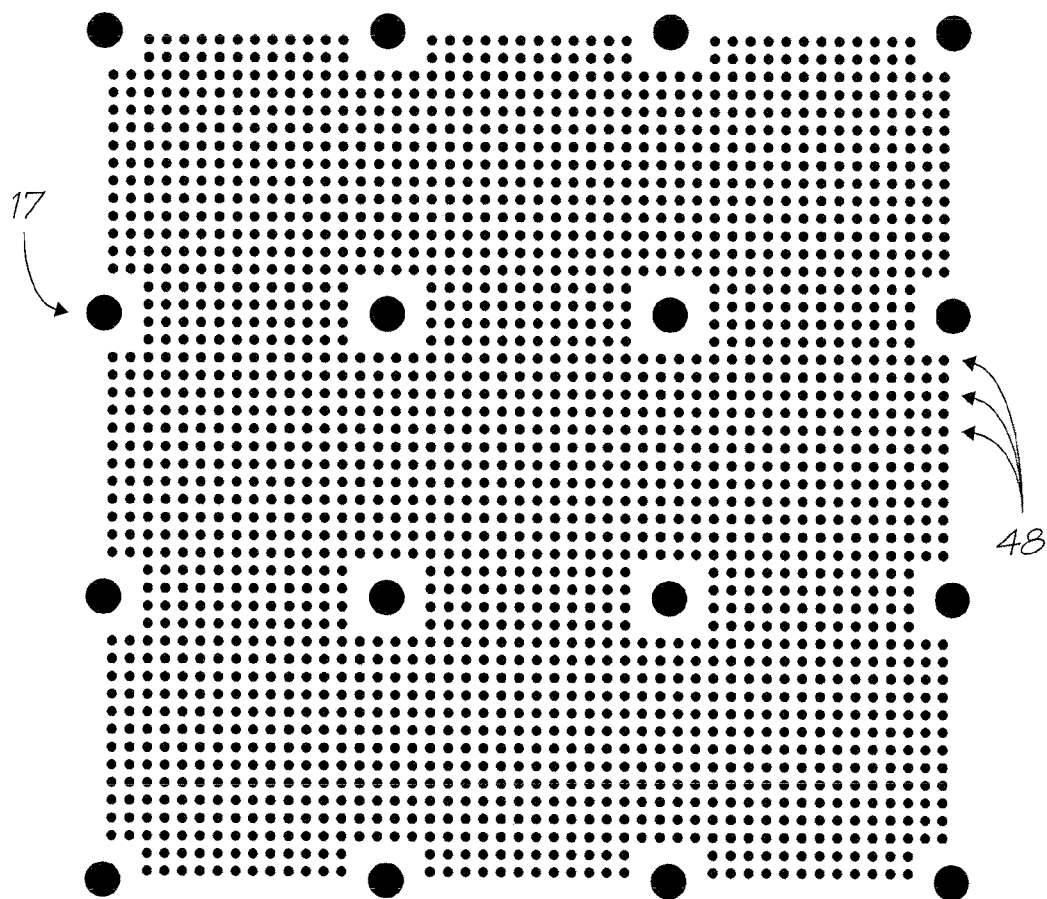


FIG. 6C

		1A	4	1B	4	1C	4	
	0E	2L	NZ	2H	WZ	2D	7E	2A
4C	1D	4	1E	4	1F	4	1G	
4K	2M	3J	2	3I	2E	HZ	2B	
4B	1H	4	1I	4	1J	4	1K	
3G	2N	3F	2J	3E	2F	3D	2C	
4A	1L	4	1M	4	1N	4	1O	
	2O	3C	2K	3B	2G	3A		

FIG. 6D

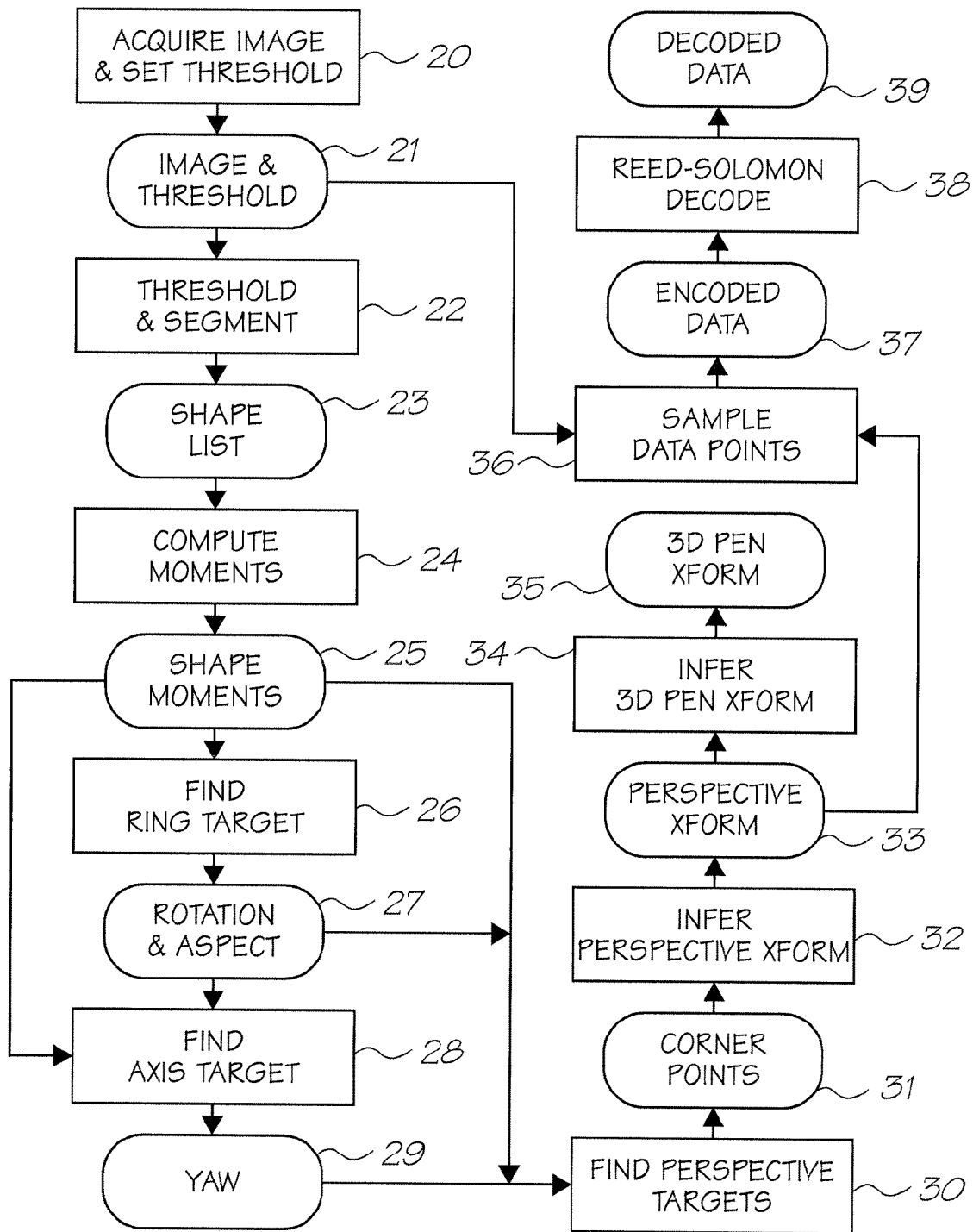


FIG. 7

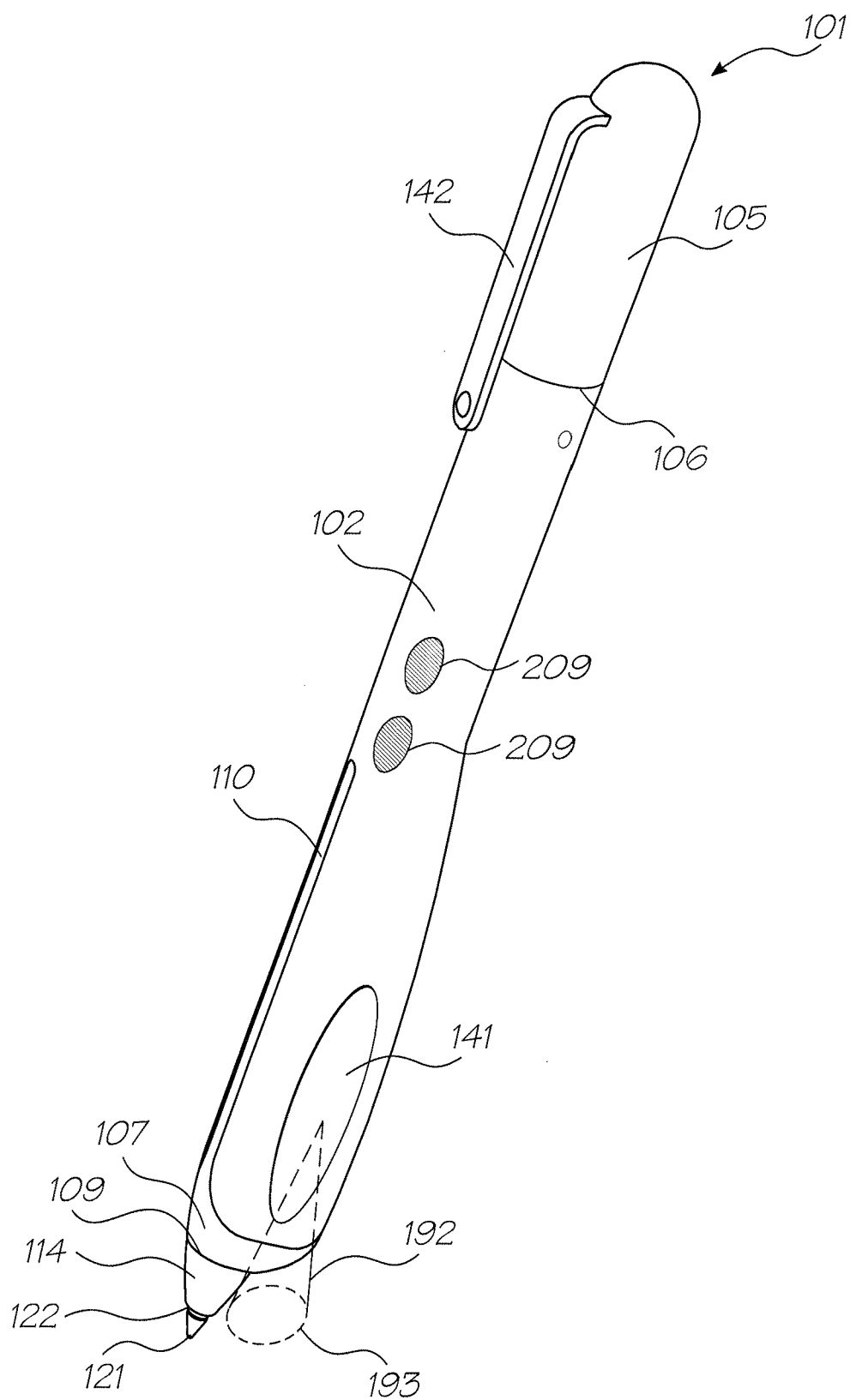


FIG. 8

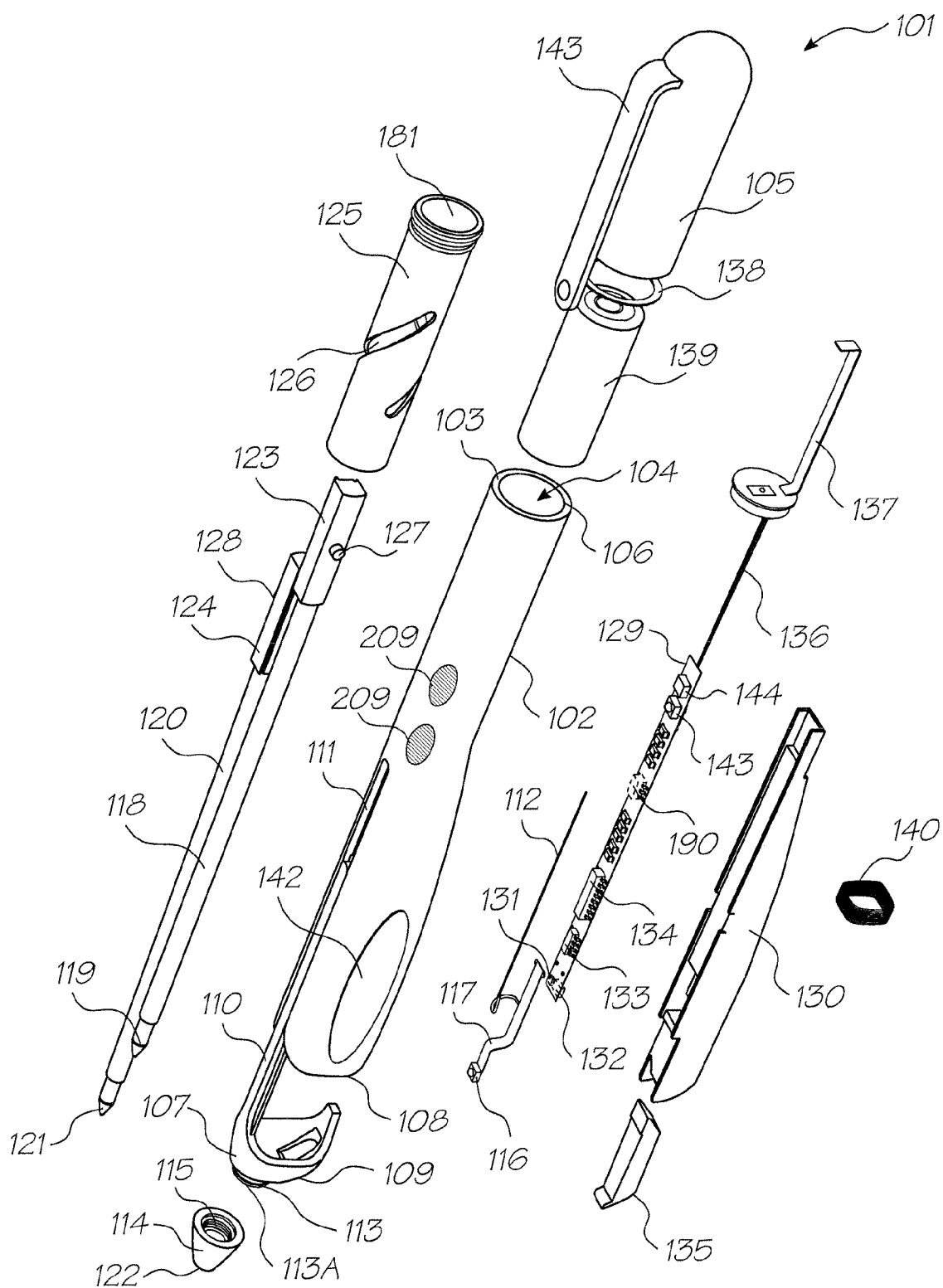


FIG. 9

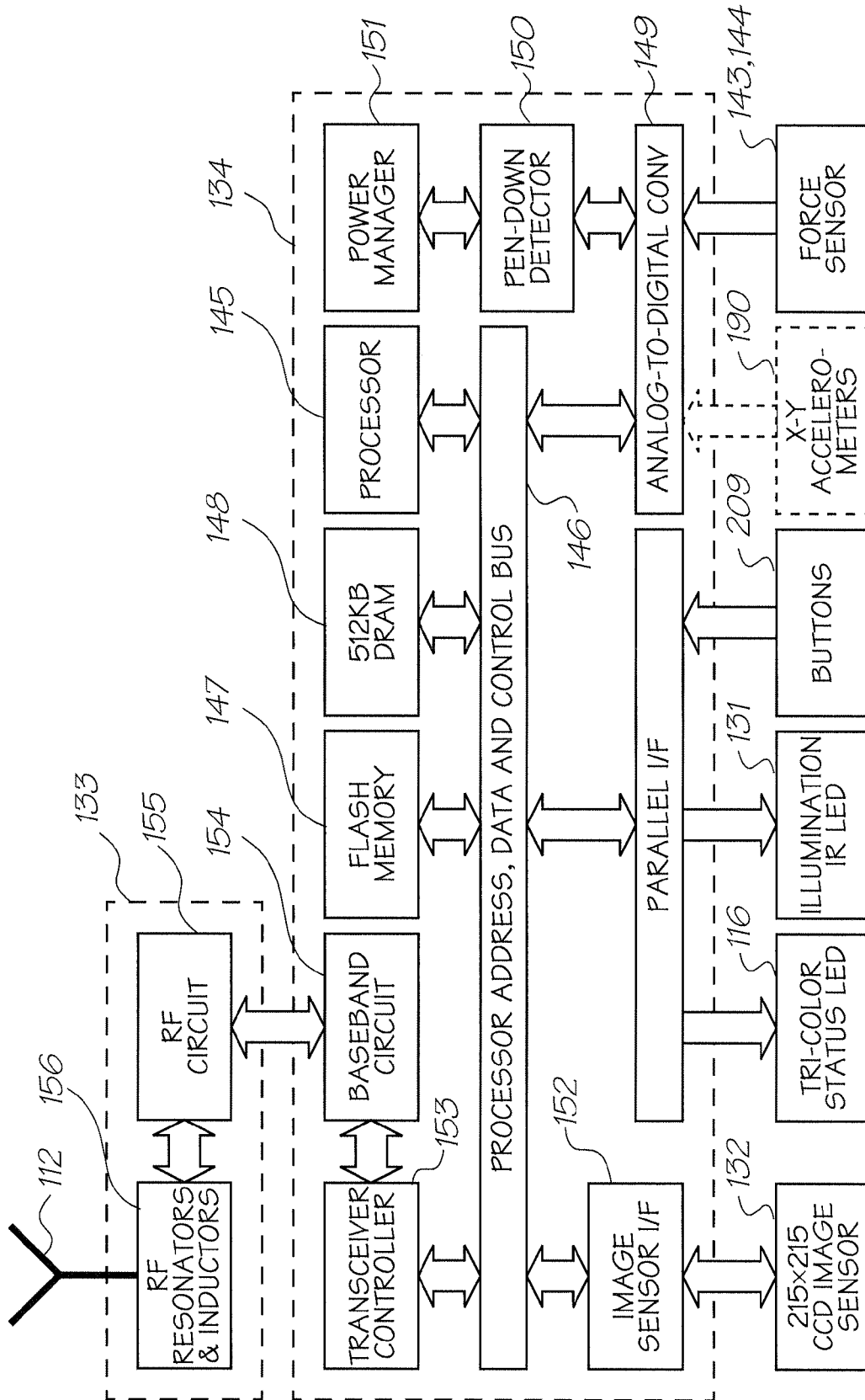


FIG. 10

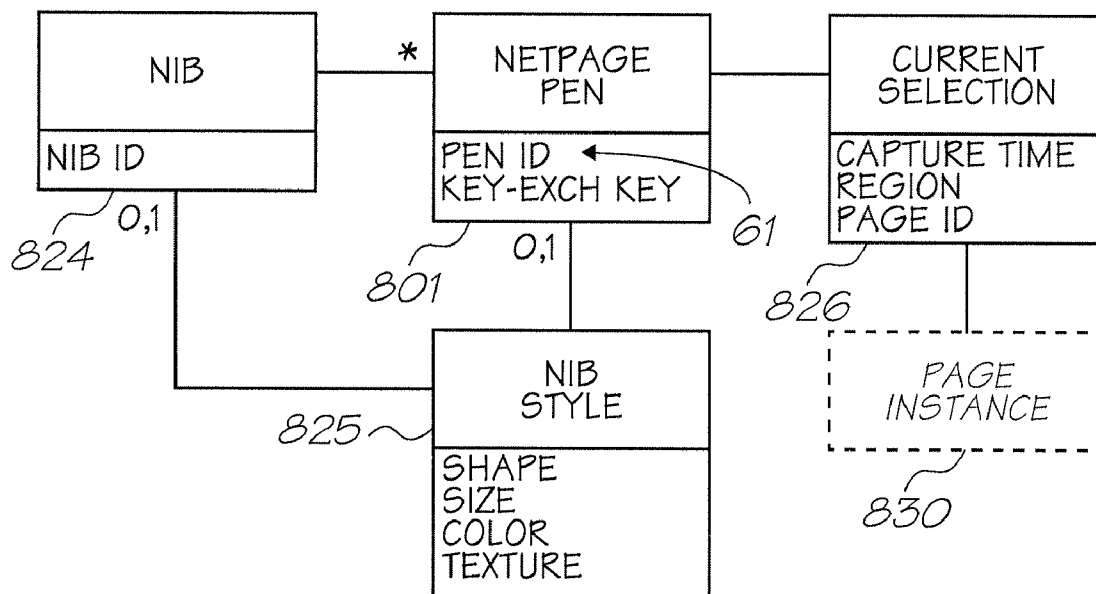


FIG. 11

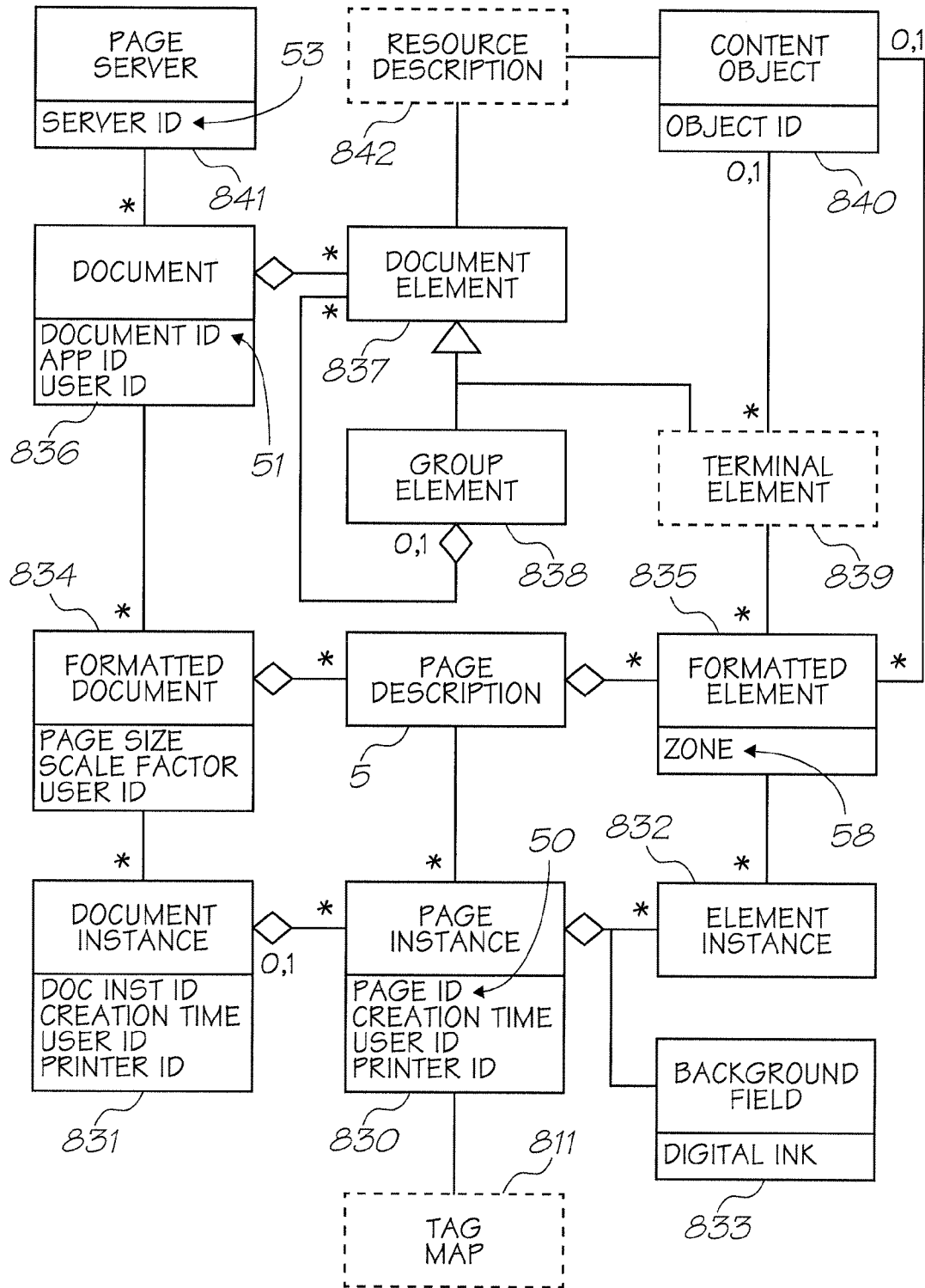


FIG. 12

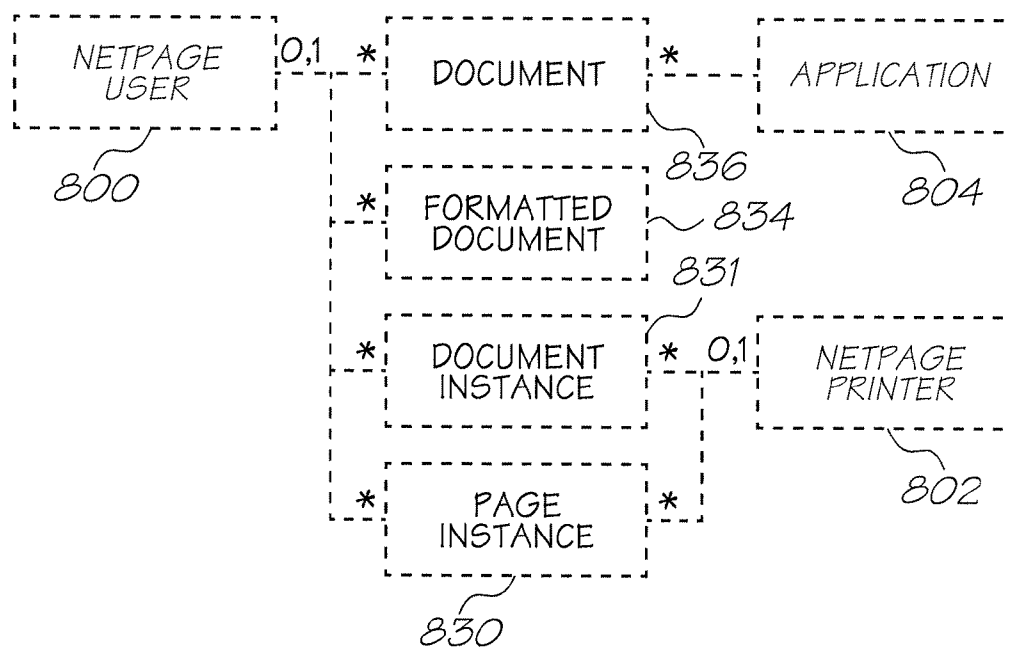


FIG. 13

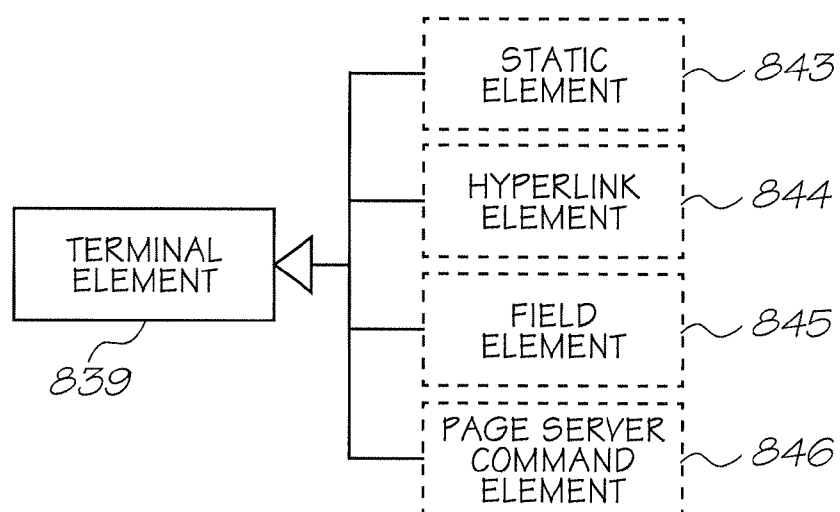


FIG. 14



# METHOD OF SENSING MOTION OF A SENSING DEVICE RELATIVE TO A SURFACE

## FIELD OF INVENTION

[0001] The present invention relates to a method and system for sensing a position-coding pattern on a surface. It has been developed primarily to improve the efficiency and accuracy of a motion-sensing device.

## COPENDING

[0002] The following applications have been filed by the Applicant simultaneously with the present application:

[0003] NPZ026US NPZ027US NPZ028US NPZ029US NPZ030US NPZ031US

[0004] The disclosures of these co-pending applications are incorporated herein by reference. The above applications have been identified by their filing docket number, which will be substituted with the corresponding application number, once assigned.

## CROSS REFERENCES

[0005] The following patents or patent applications filed by the applicant or assignee of the present invention are hereby incorporated by cross-reference.

6276850	6520631	6158907	6539180	6270177	6405055	6628430
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11/525857	11/540569	11/583869	11/592985	11/585947	7306307	11/604316
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6540332	6547368	7070256	6508546	10/510151	6679584	10/510000
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-continued

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11/730391	11/730788	11/749148	11/749149	11/749152	11/749151	11/759886
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11/655987	11/650541	11/706301	11/707039	11/730388	11/730786	11/730785
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11/785108	11/744214	11744218	11748485	11/748490	11/764778	11/766025
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11955358	11965710	11962050				

## BACKGROUND

[0006] The Applicant has previously described a method of enabling users to access information from a computer system via a printed substrate e.g. paper. The substrate has coded data

printed thereon, which is read by an optical sensing device when the user interacts with the substrate using the sensing device. A computer receives interaction data from the sensing device and uses this data to determine what action is being

requested by the user. For example, a user may make hand-written input onto a form or make a selection gesture around a printed item. This input is interpreted by the computer system with reference to a page description corresponding to the printed substrate.

**[0007]** It would be desirable to improve the efficiency and accuracy of motion sensing by the sensing device. Efficiency improvements enable the sensing device to consume less power and increase its lifetime between charges or battery replacement. Accuracy improvements are desirable at, for example, high sampling rates when the sensing device may be unable to capture sufficient number of images of the coded data due to inherent limitations in its design.

#### SUMMARY OF INVENTION

**[0008]** In a first aspect the present invention provides a method of sensing motion of a sensing device relative to a surface, the method including the steps of:

**[0009]** optically imaging a position-coding pattern disposed on or in the surface;

**[0010]** independently sensing relative position changes of the sensing device using a motion sensor;

**[0011]** generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern;

**[0012]** independently generating relative motion data using the relative position changes sensed by the motion sensor; and

**[0013]** determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data.

Optionally, an extent to which the relative motion data supplements the absolute motion data is dependent on at least one of:

**[0014]** a sampling rate of imaging the position-coding pattern;

**[0015]** a speed of movement of the sensing device relative to the surface; and power-saving criteria for the sensing device.

Optionally, the motion sensor is selected from any one of the group comprising: at least one accelerometer; a mechanical mouse; an optical mouse; and a point interferometry device. Optionally, said motion sensor is an optical mouse utilizing at least one of: a pattern-based optical mouse technique; a texture-based optical mouse technique; and a laser-speckle-based optical mouse technique.

Optionally, the absolute motion data comprises a plurality of sensed absolute positions, the relative motion data comprises a plurality of sensed relative position changes, and wherein the method includes the further step of:

**[0016]** combining the sensed relative position changes with the sensed absolute positions to generate additional absolute positions.

Optionally, the combining step comprises at least one of:

**[0017]** adding the sensed relative position changes to the sensed absolute positions; and

**[0018]** subtracting the sensed relative position changes from the sensed absolute positions.

Optionally, neighbouring absolute positions, as sensed over time, are interleaved with at least one relative position change.

Optionally, the position-coding pattern is indicative of a plurality of locations on the surface and of an identity of a region. In a further aspect the method further comprising the step of:

**[0019]** determining the identity of the region using the imaged position-coding pattern.

Optionally, the identity of the region is coincident with an identity of the surface.

Optionally, the position-coding pattern is comprised of a plurality of tags, each tag identifying the identity of the surface and a location of the tag on the surface.

In a further aspect the method further comprising the step of:

**[0020]** transmitting data indicative of the motion of the sensing device to a computer system, thereby enabling the computer system to interpret said motion and initiate an action corresponding to said motion.

In a second aspect the present invention provides a sensing device configured for determining its own motion relative to a surface, said sensing device comprising:

**[0021]** a first motion sensor comprising:

**[0022]** an image sensor for imaging a position-coding pattern on the surface; and

**[0023]** a first processor configured for generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern;

**[0024]** a second motion sensor configured for independently generating relative motion data by determining one or more relative position changes of the sensing device; and

**[0025]** a second processor configured for determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data.

Optionally, the first and second processors are the same processor.

Optionally, the second processor is configured such that an extent to which the relative motion data supplements the absolute motion data is dependent on at least one of:

**[0026]** a sampling rate of imaging the position-coding pattern;

**[0027]** a speed of movement of the sensing device relative to the surface; and

**[0028]** power-saving criteria for the sensing device.

Optionally, the second motion sensor is selected from any one of the group comprising: at least one accelerometer; a mechanical mouse; an optical mouse; and a point interferometry device.

Optionally, said second motion sensor is an optical mouse utilizing at least one of: a pattern-based optical mouse technique; a texture-based optical mouse technique; and a laser-speckle-based optical mouse technique.

Optionally, said second motion sensor is an optical mouse comprising the image sensor.

In a further aspect the present invention provides a sensing device further comprising communication means for transmitting data indicative of the motion of the sensing device to a computer system, thereby enabling the computer system to interpret said motion and initiate an action corresponding to said motion.

In a third aspect the present invention provides a system for initiating an action corresponding to motion of a sensing device relative to a surface, said system comprising:

(A) the sensing device comprising:

**[0029]** a first motion sensor comprising:

**[0030]** an image sensor for imaging a position-coding pattern on the surface; and

**[0031]** a first processor configured for generating absolute motion data by determining a plurality of absolute



positions of the sensing device relative to the surface using the imaged position-coding pattern;

[0032] a second motion sensor configured for generating relative motion data by determining one or more relative position changes of the sensing device, said second motion sensor being operatively independent of said first motion sensor;

[0033] a second processor configured for determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data; and

[0034] communication means for communicating data indicative of the motion of the sensing device to a computer system; and

(B) the computer system configured for:

[0035] receiving the data indicative of the motion of the sensing device;

[0036] interpreting said motion; and

[0037] initiating an action corresponding to the motion of the sensing device.

#### BRIEF DESCRIPTION OF DRAWINGS

[0038] Preferred and other embodiments of the invention will now be described, by way of non-limiting example only, with reference to the accompanying drawings, in which:

[0039] FIG. 1 shows an embodiment of basic netpage architecture;

[0040] FIG. 2 is a schematic of a the relationship between a sample printed netpage and its online page description;

[0041] FIG. 3 shows an embodiment of basic netpage architecture with various alternatives for the relay device;

[0042] FIG. 3A illustrates a collection of netpage servers, Web terminals, printers and relays interconnected via a network;

[0043] FIG. 4 is a schematic view of a high-level structure of a printed netpage and its online page description;

[0044] FIG. 5A is a plan view showing a structure of a netpage tag;

[0045] FIG. 5B is a plan view showing a relationship between a set of the tags shown in FIG. 5A and a field of view of a netpage sensing device in the form of a netpage pen;

[0046] FIG. 6A is a plan view showing an alternative structure of a netpage tag;

[0047] FIG. 6B is a plan view showing a relationship between a set of the tags shown in FIG. 6A and a field of view of a netpage sensing device in the form of a netpage pen;

[0048] FIG. 6C is a plan view showing an arrangement of nine of the tags shown in FIG. 6A where targets are shared between adjacent tags;

[0049] FIG. 6D is a plan view showing the interleaving and rotation of the symbols of the four codewords of the tag shown in FIG. 6A;

[0050] FIG. 7 is a flowchart of a tag image processing and decoding algorithm;

[0051] FIG. 8 is a perspective view of a netpage pen and its associated tag-sensing field-of-view cone;

[0052] FIG. 9 is a perspective exploded view of the netpage pen shown in FIG. 8;

[0053] FIG. 10 is a schematic block diagram of a pen controller for the netpage pen shown in FIGS. 8 and 9;

[0054] FIG. 11 is a schematic view of a pen class diagram;

[0055] FIG. 12 is a schematic view of a document and page description class diagram;

[0056] FIG. 13 is a schematic view of a document and page ownership class diagram; and

[0057] FIG. 14 is a schematic view of a terminal element specialization class diagram.

#### DETAILED DESCRIPTION OF PREFERRED AND OTHER EMBODIMENTS

[0058] Note: Memjet™ is a trade mark of Silverbrook Research Pty Ltd, Australia.

[0059] In the preferred embodiment, the invention is configured to work with the netpage networked computer system, a detailed overview of which follows. It will be appreciated that not every implementation will necessarily embody all or even most of the specific details and extensions discussed below in relation to the basic system. However, the system is described in its most complete form to reduce the need for external reference when attempting to understand the context in which the preferred embodiments and aspects of the present invention operate.

[0060] In brief summary, the preferred form of the netpage system employs a computer interface in the form of a mapped surface, that is, a physical surface which contains references to a map of the surface maintained in a computer system. The map references can be queried by an appropriate sensing device. Depending upon the specific implementation, the map references may be encoded visibly or invisibly, and defined in such a way that a local query on the mapped surface yields an unambiguous map reference both within the map and among different maps. The computer system can contain information about features on the mapped surface, and such information can be retrieved based on map references supplied by a sensing device used with the mapped surface. The information thus retrieved can take the form of actions which are initiated by the computer system on behalf of the operator in response to the operator's interaction with the surface features.

[0061] In its preferred form, the netpage system relies on the production of, and human interaction with, netpages. These are pages of text, graphics and images printed on ordinary paper, but which work like interactive webpages. Information is encoded on each page using ink which is substantially invisible to the unaided human eye. The ink, however, and thereby the coded data, can be sensed by an optically imaging sensing device and transmitted to the netpage system. The sensing device may take the form of a clicker (for clicking on a specific position on a surface), a pointer having a stylus (for pointing or gesturing on a surface using pointer strokes), or a pen having a marking nib (for marking a surface with ink when pointing, gesturing or writing on the surface). References herein to "pen" or "netpage pen" are provided by way of example only. It will, of course, be appreciated that the pen may take the form of any of the sensing devices described above.

[0062] In one embodiment, active buttons and hyperlinks on each page can be clicked with the sensing device to request information from the network or to signal preferences to a network server. In one embodiment, text written by hand on a netpage is automatically recognized and converted to computer text in the netpage system, allowing forms to be filled in. In other embodiments, signatures recorded on a netpage are automatically verified, allowing e-commerce transactions to be securely authorized. In other embodiments, text on a netpage may be clicked or gestured to initiate a search based on keywords indicated by the user.

[0063] As illustrated in FIG. 2, a printed netpage 1 can represent an interactive form which can be filled in by the user

both physically, on the printed page, and “electronically”, via communication between the pen and the netpage system. The example shows a “Request” form containing name and address fields and a submit button. The netpage consists of graphic data **2** printed using visible ink, and coded data **3** printed as a collection of tags **4** using invisible ink. The corresponding page description **5**, stored on the netpage network, describes the individual elements of the netpage. In particular it describes the type and spatial extent (zone) of each interactive element (i.e. text field or button in the example), to allow the netpage system to correctly interpret input via the netpage. The submit button **6**, for example, has a zone **7** which corresponds to the spatial extent of the corresponding graphic **8**.

**[0064]** As illustrated in FIGS. **1** and **3**, a netpage sensing device **101**, such as the pen shown in FIGS. **8** and **9** and described in more detail below, works in conjunction with a netpage relay device **601**, which is an Internet-connected device for home, office or mobile use. The pen is wireless and communicates securely with the netpage relay device **601** via a short-range radio link **9**. In an alternative embodiment, the netpage pen **101** utilises a wired connection, such as a USB or other serial connection, to the relay device **601**.

**[0065]** The relay device **601** performs the basic function of relaying interaction data to a page server **10**, which interprets the interaction data. As shown in FIG. **3**, the relay device **601** may, for example, take the form of a personal computer **601a**, a netpage printer **601b** or some other relay **601c**.

**[0066]** The netpage printer **601b** is able to deliver, periodically or on demand, personalized newspapers, magazines, catalogs, brochures and other publications, all printed at high quality as interactive netpages. Unlike a personal computer, the netpage printer is an appliance which can be, for example, wall-mounted adjacent to an area where the morning news is first consumed, such as in a user's kitchen, near a breakfast table, or near the household's point of departure for the day. It also comes in tabletop, desktop, portable and miniature versions. Netpages printed on-demand at their point of consumption combine the ease-of-use of paper with the timeliness and interactivity of an interactive medium.

**[0067]** Alternatively, the netpage relay device **601** may be a portable device, such as a mobile phone or PDA, a laptop or desktop computer, or an information appliance connected to a shared display, such as a TV. If the relay device **601** is not a netpage printer **601b** which prints netpages digitally and on demand, the netpages may be printed by traditional analog printing presses, using such techniques as offset lithography, flexography, screen printing, relief printing and rotogravure, as well as by digital printing presses, using techniques such as drop-on-demand inkjet, continuous inkjet, dye transfer, and laser printing.

**[0068]** As shown in FIG. **3**, the netpage sensing device **101** interacts with the coded data on a printed netpage **1**, or other printed substrate such as a label of a product item **251**, and communicates, via a short-range radio link **9**, the interaction to the relay **601**. The relay **601** sends corresponding interaction data to the relevant netpage page server **10** for interpretation. Raw data received from the sensing device **101** may be relayed directly to the page server **10** as interaction data. Alternatively, the interaction data may be encoded in the form of an interaction URI and transmitted to the page server **10** via a user's web browser. Of course, the relay device **601** (e.g. mobile phone) may incorporate a web browser and a display device.

**[0069]** Interpretation of the interaction data by the page server **10** may result in direct access to information requested by the user. This information may be sent from the page server **10** to, for example, a user's display device (e.g. a display device associated with the relay device **601**). The information sent to the user may be in the form of a webpage constructed by the page server **10** and the webpage may be constructed using information from external web services **200** (e.g. search engines) or local web resources accessible by the page server **10**. In some circumstances, the page server **10** may access application computer software running on a netpage application server **13**.

**[0070]** Alternatively, and as shown explicitly in FIG. **1**, a two-step information retrieval process may be employed. Interaction data is sent from the sensing device **101** to the relay device **601** in the usual way. The relay device **601** then sends the interaction data to the page server **10** for interpretation with reference to the relevant page description **5**. Then, the page server **10** forms a request (typically in the form of a request URI) and sends this request URI back to the user's relay device **601**. A web browser running on the relay device **601** then sends the request URI to a netpage web server **201**, which interprets the request. The netpage web server **201** may interact with local web resources and external web services **200** to interpret the request and construct a webpage. Once the webpage has been constructed by the netpage web server **201**, it is transmitted to the web browser running on the user's relay device **601**, which typically displays the webpage. This system architecture is particularly useful for performing searching via netpages, as described in our earlier U.S. patent application Ser. No. 11/672,950 filed on Feb. 8, 2007 (the contents of which is incorporated by reference). For example, the request URI may encode search query terms, which are searched via the netpage web server **201**.

**[0071]** The netpage relay device **601** can be configured to support any number of sensing devices, and a sensing device can work with any number of netpage relays. In the preferred implementation, each netpage sensing device **101** has a unique identifier. This allows each user to maintain a distinct profile with respect to a netpage page server **10** or application server **13**.

**[0072]** Digital, on-demand delivery of netpages **1** may be performed by the netpage printer **601b**, which exploits the growing availability of broadband Internet access. Netpage publication servers **14** on the netpage network are configured to deliver print-quality publications to netpage printers. Periodical publications are delivered automatically to subscribing netpage printers via pointcasting and multicasting Internet protocols. Personalized publications are filtered and formatted according to individual user profiles.

**[0073]** A netpage pen may be registered with a netpage registration server **11** and linked to one or more payment card accounts. This allows e-commerce payments to be securely authorized using the netpage pen. The netpage registration server compares the signature captured by the netpage pen with a previously registered signature, allowing it to authenticate the user's identity to an e-commerce server. Other biometrics can also be used to verify identity. One version of the netpage pen includes fingerprint scanning, verified in a similar way by the netpage registration server.

#### Netpage System Architecture

**[0074]** Each object model in the system is described using a Unified Modeling Language (UML) class diagram. A class

diagram consists of a set of object classes connected by relationships, and two kinds of relationships are of interest here: associations and generalizations. An association represents some kind of relationship between objects, i.e. between instances of classes. A generalization relates actual classes, and can be understood in the following way: if a class is thought of as the set of all objects of that class, and class A is a generalization of class B, then B is simply a subset of A. The UML does not directly support second-order modelling—i.e. classes of classes.

**[0075]** Each class is drawn as a rectangle labelled with the name of the class. It contains a list of the attributes of the class, separated from the name by a horizontal line, and a list of the operations of the class, separated from the attribute list by a horizontal line. In the class diagrams which follow, however, operations are never modelled.

**[0076]** An association is drawn as a line joining two classes, optionally labelled at either end with the multiplicity of the association. The default multiplicity is one. An asterisk (\*) indicates a multiplicity of “many”, i.e. zero or more. Each association is optionally labelled with its name, and is also optionally labelled at either end with the role of the corresponding class. An open diamond indicates an aggregation association (“is-part-of”), and is drawn at the aggregator end of the association line.

**[0077]** A generalization relationship (“is-a”) is drawn as a solid line joining two classes, with an arrow (in the form of an open triangle) at the generalization end.

**[0078]** When a class diagram is broken up into multiple diagrams, any class which is duplicated is shown with a dashed outline in all but the main diagram which defines it. It is shown with attributes only where it is defined.

## 1 Netpages

**[0079]** Netpages are the foundation on which a netpage network is built. They provide a paper-based user interface to published information and interactive services.

**[0080]** A netpage consists of a printed page (or other surface region) invisibly tagged with references to an online description of the page. The online page description is maintained persistently by the netpage page server **10**. The page description describes the visible layout and content of the page, including text, graphics and images. It also describes the input elements on the page, including buttons, hyperlinks, and input fields. A netpage allows markings made with a netpage pen on its surface to be simultaneously captured and processed by the netpage system.

**[0081]** Multiple netpages (for example, those printed by analog printing presses) can share the same page description. However, to allow input through otherwise identical pages to be distinguished, each netpage may be assigned a unique page identifier. This page ID has sufficient precision to distinguish between a very large number of netpages.

**[0082]** Each reference to the page description is encoded in a printed tag. The tag identifies the unique page on which it appears, and thereby indirectly identifies the page description. The tag also identifies its own position on the page. Characteristics of the tags are described in more detail below.

**[0083]** Tags are typically printed in infrared-absorptive ink on any substrate which is infrared-reflective, such as ordinary paper, or in infrared fluorescing ink. Near-infrared wavelengths are invisible to the human eye but are easily sensed by a solid-state image sensor with an appropriate filter.

**[0084]** A tag is sensed by a 2D area image sensor in the netpage sensing device, and the tag data is transmitted to the netpage system via the nearest netpage relay device. The pen is wireless and communicates with the netpage relay device via a short-range radio link. Tags are sufficiently small and densely arranged that the sensing device can reliably image at least one tag even on a single click on the page. It is important that the pen recognize the page ID and position on every interaction with the page, since the interaction is stateless. Tags are error-correctably encoded to make them partially tolerant to surface damage.

**[0085]** The netpage page server **10** maintains a unique page instance for each unique printed netpage, allowing it to maintain a distinct set of user-supplied values for input fields in the page description for each printed netpage.

**[0086]** The relationship between the page description, the page instance, and the printed netpage is shown in FIG. **4**. The printed netpage may be part of a printed netpage document **45**. The page instance may be associated with both the netpage printer which printed it and, if known, the netpage user who requested it.

## 2 Netpage Tags

### 2.1 Tag Data Content

**[0087]** In a preferred form, each tag identifies the region in which it appears, and the location of that tag within the region and an orientation of the tag relative to a substrate on which the tag is printed. A tag may also contain flags which relate to the region as a whole or to the tag. One or more flag bits may, for example, signal a tag sensing device to provide feedback indicative of a function associated with the immediate area of the tag, without the sensing device having to refer to a description of the region. A netpage pen may, for example, illuminate an “active area” LED when in the zone of a hyper-link.

**[0088]** As will be more clearly explained below, in a preferred embodiment, each tag typically contains an easily recognized invariant structure which aids initial detection, and which assists in minimizing the effect of any warp induced by the surface or by the sensing process. The tags preferably tile the entire page, and are sufficiently small and densely arranged that the pen can reliably image at least one tag even on a single click on the page. It is important that the pen recognize the page ID and position on every interaction with the page, since the interaction is stateless.

**[0089]** In a preferred embodiment, the region to which a tag refers coincides with an entire page, and the region ID encoded in the tag is therefore synonymous with the page ID of the page on which the tag appears. In other embodiments, the region to which a tag refers can be an arbitrary subregion of a page or other surface. For example, it can coincide with the zone of an interactive element in which case the region ID can directly identify the interactive element.

TABLE 1

Tag data	
Field	Precision (bits)
Page ID/Region ID	100
Tag ID/x-y coordinates	16
Flags	4
Total	120

[0090] Each tag contains 120 bits of information, typically allocated as shown in Table 1. Assuming a maximum tag density of 64 per square inch, a 16-bit tag ID supports a region size of up to 1024 square inches. Larger regions can be mapped continuously without increasing the tag ID precision simply by using abutting regions and maps. The 100-bit region ID allows  $2^{100}$  ( $\sim 10^{30}$  or a million trillion trillion) different regions to be uniquely identified.

## 2.2 Tag Data Encoding

[0091] The 120 bits of tag data are redundantly encoded using a (15, 5) Reed-Solomon code. This yields 360 encoded bits consisting of 6 codewords of 15 4-bit symbols each. The (15, 5) code allows up to 5 symbol errors to be corrected per codeword, i.e. it is tolerant of a symbol error rate of up to 33% per codeword.

[0092] Each 4-bit symbol is represented in a spatially coherent way in the tag, and the symbols of the six codewords are interleaved spatially within the tag. This ensures that a burst error (an error affecting multiple spatially adjacent bits) damages a minimum number of symbols overall and a minimum number of symbols in any one codeword, thus maximizing the likelihood that the burst error can be fully corrected.

[0093] Any suitable error-correcting code can be used in place of a (15, 5) Reed-Solomon code, for example a Reed-Solomon code with more or less redundancy, with the same or different symbol and codeword sizes; another block code; or a different kind of code, such as a convolutional code (see, for example, Stephen B. Wicker, *Error Control Systems for Digital Communication and Storage*, Prentice-Hall 1995, the contents of which are herein incorporated by cross-reference).

## 2.3 Physical Tag Structure

[0094] The physical representation of the tag, shown in FIG. 5a, includes fixed target structures 15, 16, 17 and variable data areas 18. The fixed target structures allow a sensing device such as the netpage pen to detect the tag and infer its three-dimensional orientation relative to the sensor. The data areas contain representations of the individual bits of the encoded tag data.

[0095] To achieve proper tag reproduction, the tag is rendered at a resolution of 256×256 dots. When printed at 1600 dots per inch this yields a tag with a diameter of about 4 mm. At this resolution the tag is designed to be surrounded by a “quiet area” of radius 16 dots. Since the quiet area is also contributed by adjacent tags, it only adds 16 dots to the effective diameter of the tag.

[0096] The tag may include a plurality of target structures. A detection ring 15 allows the sensing device to initially detect the tag. The ring is easy to detect because it is rotationally invariant and because a simple correction of its aspect ratio removes most of the effects of perspective distortion. An orientation axis 16 allows the sensing device to determine the approximate planar orientation of the tag due to the yaw of the sensor. The orientation axis is skewed to yield a unique orientation. Four perspective targets 17 allow the sensing device to infer an accurate two-dimensional perspective transform of the tag and hence an accurate three-dimensional position and orientation of the tag relative to the sensor.

[0097] All target structures are redundantly large to improve their immunity to noise.

[0098] In order to support “single-click” interaction with a tagged region via a sensing device, the sensing device must be able to see at least one entire tag in its field of view no matter where in the region or at what orientation it is positioned. The required diameter of the field of view of the sensing device is therefore a function of the size and spacing of the tags.

[0099] Thus, if a tag has a circular shape, the minimum diameter of the sensor field of view is obtained when the tags are tiled on an equilateral triangular grid, as shown in FIG. 5b.

## 2.4 Tag Image Processing and Decoding

[0100] The tag image processing and decoding performed by a sensing device such as the netpage pen is shown in FIG. 7. While a captured image is being acquired from the image sensor, the dynamic range of the image is determined (at 20). The center of the range is then chosen as the binary threshold for the image 21. The image is then thresholded and segmented into connected pixel regions (i.e. shapes 23) (at 22). Shapes which are too small to represent tag target structures are discarded. The size and centroid of each shape is also computed.

[0101] Binary shape moments 25 are then computed (at 24) for each shape, and these provide the basis for subsequently locating target structures. Central shape moments are by their nature invariant of position, and can be easily made invariant of scale, aspect ratio and rotation.

[0102] The ring target structure 15 is the first to be located (at 26). A ring has the advantage of being very well behaved when perspective-distorted. Matching proceeds by aspect-normalizing and rotation-normalizing each shape's moments. Once its second-order moments are normalized the ring is easy to recognize even if the perspective distortion was significant. The ring's original aspect and rotation 27 together provide a useful approximation of the perspective transform.

[0103] The axis target structure 16 is the next to be located (at 28). Matching proceeds by applying the ring's normalizations to each shape's moments, and rotation-normalizing the resulting moments. Once its second-order moments are normalized the axis target is easily recognized. Note that one third order moment is required to disambiguate the two possible orientations of the axis. The shape is deliberately skewed to one side to make this possible. Note also that it is only possible to rotation-normalize the axis target after it has had the ring's normalizations applied, since the perspective distortion can hide the axis target's axis. The axis target's original rotation provides a useful approximation of the tag's rotation due to pen yaw 29.

[0104] The four perspective target structures 17 are the last to be located (at 30). Good estimates of their positions are computed based on their known spatial relationships to the ring and axis targets, the aspect and rotation of the ring, and the rotation of the axis. Matching proceeds by applying the ring's normalizations to each shape's moments. Once their second-order moments are normalized the circular perspective targets are easy to recognize, and the target closest to each estimated position is taken as a match. The original centroids of the four perspective targets are then taken to be the perspective-distorted corners 31 of a square of known size in tag space, and an eight-degree-of-freedom perspective transform 33 is inferred (at 32) based on solving the well-understood equations relating the four tag-space and image-space point pairs (see Heckbert, P., *Fundamentals of Texture Mapping and Image Warping*, Masters Thesis, Dept. of EECS, U. of

California at Berkeley, Technical Report No. UCB/CSD 89/516, June 1989, the contents of which are herein incorporated by cross-reference).

**[0105]** The inferred tag-space to image-space perspective transform is used to project (at **36**) each known data bit position in tag space into image space where the real-valued position is used to bilinearly interpolate (at **36**) the four relevant adjacent pixels in the input image. The previously computed image threshold **21** is used to threshold the result to produce the final bit value **37**.

**[0106]** Once all 360 data bits **37** have been obtained in this way, each of the six 60-bit Reed-Solomon codewords is decoded (at **38**) to yield **20** decoded bits **39**, or **120** decoded bits in total. Note that the codeword symbols are sampled in codeword order, so that codewords are implicitly de-interleaved during the sampling process.

**[0107]** The ring target **15** is only sought in a subarea of the image whose relationship to the image guarantees that the ring, if found, is part of a complete tag. If a complete tag is not found and successfully decoded, then no pen position is recorded for the current frame. Given adequate processing power and ideally a non-minimal field of view **193**, an alternative strategy involves seeking another tag in the current image.

**[0108]** The obtained tag data indicates the identity of the region containing the tag and the position of the tag within the region. An accurate position **35** of the pen nib in the region, as well as the overall orientation **35** of the pen, is then inferred (at **34**) from the perspective transform **33** observed on the tag and the known spatial relationship between the image sensor (containing the optical axis of the pen) and the nib (which typically contains the physical axis of the pen). The image sensor is usually offset from the nib.

## 2.5 Alternative Tag Structures

**[0109]** The tag structure described above is designed to support the tagging of non-planar surfaces where a regular tiling of tags may not be possible. In the more usual case of planar surfaces where a regular tiling of tags is possible, i.e. surfaces such as sheets of paper and the like, more efficient tag structures can be used which exploit the regular nature of the tiling.

**[0110]** FIG. **6a** shows a square tag **4** with four perspective targets **17**. The tag represents sixty 4-bit Reed-Solomon symbols **47**, for a total of 240 bits. The tag represents each one bit as a dot **48**, and each zero bit by the absence of the corresponding dot. The perspective targets are designed to be shared between adjacent tags, as shown in FIGS. **6b** and **6c**. FIG. **6b** shows a square tiling of 16 tags and the corresponding minimum field of view **193**, which must span the diagonals of two tags. FIG. **6c** shows a square tiling of nine tags, containing all one bits for illustration purposes.

**[0111]** Using a (15, 7) Reed-Solomon code, 112 bits of tag data are redundantly encoded to produce 240 encoded bits. The four codewords are interleaved spatially within the tag to maximize resilience to burst errors. Assuming a 16-bit tag ID as before, this allows a region ID of up to 92 bits.

**[0112]** The data-bearing dots **48** of the tag are designed to not overlap their neighbors, so that groups of tags cannot produce structures which resemble targets. This also saves ink. The perspective targets therefore allow detection of the tag, so further targets are not required. Tag image processing proceeds as described in section 1.2.4 above, with the exception that steps **26** and **28** are omitted.

**[0113]** Although the tag may contain an orientation feature to allow disambiguation of the four possible orientations of the tag relative to the sensor, it is also possible to embed orientation data in the tag data. For example, the four codewords can be arranged so that each tag orientation contains one codeword placed at that orientation, as shown in FIG. **6d**, where each symbol is labelled with the number of its codeword (1-4) and the position of the symbol within the codeword (A-O). Tag decoding then consists of decoding one codeword at each orientation. Each codeword can either contain a single bit indicating whether it is the first codeword, or two bits indicating which codeword it is. The latter approach has the advantage that if, say, the data content of only one codeword is required, then at most two codewords need to be decoded to obtain the desired data. This may be the case if the region ID is not expected to change within a stroke and is thus only decoded at the start of a stroke. Within a stroke only the codeword containing the tag ID is then desired. Furthermore, since the rotation of the sensing device changes slowly and predictably within a stroke, only one codeword typically needs to be decoded per frame.

**[0114]** It is possible to dispense with perspective targets altogether and instead rely on the data representation being self-registering. In this case each bit value (or multi-bit value) is typically represented by an explicit glyph, i.e. no bit value is represented by the absence of a glyph. This ensures that the data grid is well-populated, and thus allows the grid to be reliably identified and its perspective distortion detected and subsequently corrected during data sampling. To allow tag boundaries to be detected, each tag data must contain a marker pattern, and these must be redundantly encoded to allow reliable detection. The overhead of such marker patterns is similar to the overhead of explicit perspective targets.

**[0115]** Additional tag structures are disclosed in U.S. Pat. No. 6,929,186 ("Orientation-indicating machine-readable coded data") filed by the applicant or assignee of the present invention and the contents of which is herein incorporated by reference.

## 2.6 Tag Map

**[0116]** Decoding a tag typically results in a region ID, a tag ID, and a tag-relative pen transform. Before the tag ID and the tag-relative pen location can be translated into an absolute location within the tagged region, the location of the tag within the region must be known. This is given by a tag map, a function which maps each tag ID in a tagged region to a corresponding location. The tag map class diagram is shown in FIG. **22**, as part of the netpage printer class diagram.

**[0117]** A tag map reflects the scheme used to tile the surface region with tags, and this can vary according to surface type. When multiple tagged regions share the same tiling scheme and the same tag numbering scheme, they can also share the same tag map.

**[0118]** The tag map for a region must be retrievable via the region ID. Thus, given a region ID, a tag ID and a pen transform, the tag map can be retrieved, the tag ID can be translated into an absolute tag location within the region, and the tag-relative pen location can be added to the tag location to yield an absolute pen location within the region.

**[0119]** The tag ID may have a structure which assists translation through the tag map. It may, for example, encode Cartesian (x-y) coordinates or polar coordinates, depending on the surface type on which it appears. The tag ID structure is

dictated by and known to the tag map, and tag IDs associated with different tag maps may therefore have different structures.

[0120] With the tagging scheme described above, the tags usually function in cooperation with associated visual elements on the netpage. These function as user interactive elements in that a user can interact with the printed page using an appropriate sensing device in order for tag data to be read by the sensing device and for an appropriate response to be generated in the netpage system.

[0121] Additionally (or alternatively), decoding a tag may be used to provide orientation data indicative of the yaw of the pen relative to the surface. The orientation data may be determined using, for example, the orientation axis 16 described above (Section 2.3) or orientation data embedded in the tag data (Section 2.5).

### 3 Document and Page Descriptions

[0122] A preferred embodiment of a document and page description class diagram is shown in FIGS. 12 and 13.

[0123] In the netpage system a document is described at three levels. At the most abstract level the document 836 has a hierarchical structure whose terminal elements 839 are associated with content objects 840 such as text objects, text style objects, image objects, etc. Once the document is printed on a printer with a particular page size, the document is paginated and otherwise formatted. Formatted terminal elements 835 will in some cases be associated with content objects which are different from those associated with their corresponding terminal elements, particularly where the content objects are style-related. Each printed instance of a document and page is also described separately, to allow input captured through a particular page instance 830 to be recorded separately from input captured through other instances of the same page description.

[0124] The presence of the most abstract document description on the page server allows a copy of a document to be printed without being forced to accept the source document's specific format. The user or a printing press may be requesting a copy for a printer with a different page size, for example. Conversely, the presence of the formatted document description on the page server allows the page server to efficiently interpret user actions on a particular printed page.

[0125] A formatted document 834 consists of a set of formatted page descriptions 5, each of which consists of a set of formatted terminal elements 835. Each formatted element has a spatial extent or zone 58 on the page. This defines the active area of input elements such as hyperlinks and input fields.

[0126] A document instance 831 corresponds to a formatted document 834. It consists of a set of page instances 830, each of which corresponds to a page description 5 of the formatted document. Each page instance 830 describes a single unique printed netpage 1, and records the page ID 50 of the netpage. A page instance is not part of a document instance if it represents a copy of a page requested in isolation.

[0127] A page instance consists of a set of terminal element instances 832. An element instance only exists if it records instance-specific information. Thus, a hyperlink instance exists for a hyperlink element because it records a transaction ID 55 which is specific to the page instance, and a field instance exists for a field element because it records input specific to the page instance. An element instance does not exist, however, for static elements such as textflows.

[0128] A terminal element 839 can be a visual element or an input element. A visual element can be a static element 843 or a dynamic element 846. An input element may be, for example, a hyperlink element 844 or a field element 845, as shown in FIG. 14. Other types of input element are of course possible, such as input elements, which select a particular mode of the pen 101.

[0129] A page instance has a background field 833 which is used to record any digital ink captured on the page which does not apply to a specific input element.

[0130] In the preferred form of the invention, a tag map 811 is associated with each page instance to allow tags on the page to be translated into locations on the page.

### 4 The Netpage Network

[0131] In one embodiment, a netpage network consists of a distributed set of netpage page servers 10, netpage registration servers 11, netpage ID servers 12, netpage application servers 13, and netpage relay devices 601 connected via a network 19 such as the Internet, as shown in FIG. 3.

[0132] The netpage registration server 11 is a server which records relationships between users, pens, printers and applications, and thereby authorizes various network activities. It authenticates users and acts as a signing proxy on behalf of authenticated users in application transactions. It also provides handwriting recognition services. As described above, a netpage page server 10 maintains persistent information about page descriptions and page instances. The netpage network includes any number of page servers, each handling a subset of page instances. Since a page server also maintains user input values for each page instance, clients such as netpage relays 601 send netpage input directly to the appropriate page server. The page server interprets any such input relative to the description of the corresponding page.

[0133] A netpage ID server 12 allocates document IDs 51 on demand, and provides load-balancing of page servers via its ID allocation scheme.

[0134] A netpage relay 601 uses the Internet Distributed Name System (DNS), or similar, to resolve a netpage page ID 50 into the network address of the netpage page server 10 handling the corresponding page instance.

[0135] A netpage application server 13 is a server which hosts interactive netpage applications.

[0136] Netpage servers can be hosted on a variety of network server platforms from manufacturers such as IBM, Hewlett-Packard, and Sun. Multiple netpage servers can run concurrently on a single host, and a single server can be distributed over a number of hosts. Some or all of the functionality provided by netpage servers, and in particular the functionality provided by the ID server and the page server, can also be provided directly in a netpage appliance such as a netpage printer, in a computer workstation, or on a local network.

### 5 The Netpage Pen

[0137] The active sensing device of the netpage system may take the form of a clicker (for clicking on a specific position on a surface), a pointer having a stylus (for pointing or gesturing on a surface using pointer strokes), or a pen having a marking nib (for marking a surface with ink when pointing, gesturing or writing on the surface). A pen 101 is described herein, although it will be appreciated that clickers and pointers may have similar features. The pen 101 uses its

embedded controller **134** to capture and decode netpage tags from a page via an image sensor. The image sensor is a solid-state device provided with an appropriate filter to permit sensing at only near-infrared wavelengths. As described in more detail below, the system is able to sense when the nib is in contact with the surface, and the pen is able to sense tags at a sufficient rate to capture human handwriting (i.e. at 200 dpi or greater and 100 Hz or faster). Information captured by the pen may be encrypted and wirelessly transmitted to the printer (or base station), the printer or base station interpreting the data with respect to the (known) page structure.

**[0138]** The preferred embodiment of the netpage pen **101** operates both as a normal marking ink pen and as a non-marking stylus (i.e. as a pointer). The marking aspect, however, is not necessary for using the netpage system as a browsing system, such as when it is used as an Internet interface. Each netpage pen is registered with the netpage system and has a unique pen ID **61**. FIG. **11** shows the netpage pen class diagram, reflecting pen-related information maintained by a registration server **11** on the netpage network.

**[0139]** When the nib is in contact with a netpage, the pen determines its position and orientation relative to the page. The nib is attached to a force sensor, and the force on the nib is interpreted relative to a threshold to indicate whether the pen is “up” or “down”. This allows an interactive element on the page to be “clicked” by pressing with the pen nib, in order to request, say, information from a network. Furthermore, the force may be captured as a continuous value to allow, say, the full dynamics of a signature to be verified.

**[0140]** The pen determines the position and orientation of its nib on the netpage by imaging, in the infrared spectrum, an area **193** of the page in the vicinity of the nib. It decodes the nearest tag and computes the position of the nib relative to the tag from the observed perspective distortion on the imaged tag and the known geometry of the pen optics. Although the position resolution of the tag may be low, because the tag density on the page is inversely proportional to the tag size, the adjusted position resolution is quite high, exceeding the minimum resolution required for accurate handwriting recognition.

**[0141]** Pen actions relative to a netpage are captured as a series of strokes. A stroke consists of a sequence of time-stamped pen positions on the page, initiated by a pen-down event and completed by the subsequent pen-up event. A stroke is also tagged with the page ID **50** of the netpage whenever the page ID changes, which, under normal circumstances, is at the commencement of the stroke.

**[0142]** Each netpage pen has a current selection **826** associated with it, allowing the user to perform copy and paste operations etc. The selection is timestamped to allow the system to discard it after a defined time period. The current selection describes a region of a page instance.

**[0143]** It consists of the most recent digital ink stroke captured through the pen relative to the background area of the page. It is interpreted in an application-specific manner once it is submitted to an application via a selection hyperlink activation.

**[0144]** Each pen has a current nib **824**. This is the nib last notified by the pen to the system. In the case of the default netpage pen described above, either the marking black ink nib or the non-marking stylus nib is current. Each pen also has a current nib style **825**. This is the nib style last associated with the pen by an application, e.g. in response to the user selecting a color from a palette. The default nib style is the nib style

associated with the current nib. Strokes captured through a pen are tagged with the current nib style. When the strokes are subsequently reproduced, they are reproduced in the nib style with which they are tagged.

**[0145]** The pen **101** may have one or more buttons **209**. As described in U.S. application Ser. No. 11/672,950 filed on Feb. 8, 2007 (the contents of which is herein incorporated by reference), the button(s) may be used to determine a mode or behavior of the pen, which, in turn, determines how a stroke or, more generally, interaction data is interpreted by the page server **10**.

**[0146]** Whenever the pen is within range of a relay device **601** with which it can communicate, the pen slowly flashes its “online” LED. When the pen fails to decode a stroke relative to the page, it momentarily activates its “error” LED. When the pen succeeds in decoding a stroke relative to the page, it momentarily activates its “ok” LED.

**[0147]** A sequence of captured strokes is referred to as digital ink. Digital ink forms the basis for the digital exchange of drawings and handwriting, for online recognition of handwriting, and for online verification of signatures.

**[0148]** The pen is typically wireless and transmits digital ink to the relay device **601** via a short-range radio link. The transmitted digital ink is encrypted for privacy and security and packetized for efficient transmission, but is always flushed on a pen-up event to ensure timely handling in the printer.

**[0149]** When the pen is out-of-range of a relay device **601** it buffers digital ink in internal memory, which has a capacity of over ten minutes of continuous handwriting. When the pen is once again within range of a relay device, it transfers any buffered digital ink.

**[0150]** A pen can be registered with any number of relay devices, but because all state data resides in netpages both on paper and on the network, it is largely immaterial which relay device a pen is communicating with at any particular time.

**[0151]** One embodiment of the pen is described in greater detail in Section 7 below, with reference to FIGS. **8** to **10**.

## 6 Netpage Interaction

**[0152]** The netpage relay device **601** receives data relating to a stroke from the pen **101** when the pen is used to interact with a netpage **1**. The coded data **3** of the tags **4** is read by the pen when it is used to execute a movement, such as a stroke. The data allows the identity of the particular page to be determined and an indication of the positioning of the pen relative to the page to be obtained. Interaction data, typically comprising the page ID **50** and at least one position of the pen, is transmitted to the relay device **601**, where it resolves, via the DNS, the page ID **50** of the stroke into the network address of the netpage page server **10** which maintains the corresponding page instance **830**. It then transmits the stroke to the page server. If the page was recently identified in an earlier stroke, then the relay device may already have the address of the relevant page server in its cache. Each netpage consists of a compact page layout maintained persistently by a netpage page server (see below). The page layout refers to objects such as images, fonts and pieces of text, typically stored elsewhere on the netpage network.

**[0153]** When the page server receives the stroke from the pen, it retrieves the page description to which the stroke applies, and determines which element of the page description the stroke intersects. It is then able to interpret the stroke in the context of the type of the relevant element.

[0154] A “click” is a stroke where the distance and time between the pen down position and the subsequent pen up position are both less than some small maximum. An object which is activated by a click typically requires a click to be activated, and accordingly, a longer stroke is ignored. The failure of a pen action, such as a “sloppy” click, to register may be indicated by the lack of response from the pen’s “ok” LED.

[0155] Hyperlinks and form fields are two kinds of input elements, which may be contained in a netpage page description. Input through a form field can also trigger the activation of an associated hyperlink. These types of input elements are described in further detail in the above-identified patents and patent applications, the contents of which are herein incorporated by cross-reference.

## 7 Detailed Netpage Pen Description

### 7.1 Pen Mechanics

[0156] Referring to FIGS. 8 and 9, the pen, generally designated by reference numeral 101, includes a housing 102 in the form of a plastics moulding having walls 103 defining an interior space 104 for mounting the pen components. Mode selector buttons 209 are provided on the housing 102. The pen top 105 is in operation rotatably mounted at one end 106 of the housing 102. A semi-transparent cover 107 is secured to the opposite end 108 of the housing 102. The cover 107 is also of moulded plastics, and is formed from semi-transparent material in order to enable the user to view the status of the LED mounted within the housing 102. The cover 107 includes a main part 109 which substantially surrounds the end 108 of the housing 102 and a projecting portion 110 which projects back from the main part 109 and fits within a corresponding slot 111 formed in the walls 103 of the housing 102. A radio antenna 112 is mounted behind the projecting portion 110, within the housing 102. Screw threads 113 surrounding an aperture 113A on the cover 107 are arranged to receive a metal end piece 114, including corresponding screw threads 115. The metal end piece 114 is removable to enable ink cartridge replacement.

[0157] Also mounted within the cover 107 is a tri-color status LED 116 on a flex PCB 117. The antenna 112 is also mounted on the flex PCB 117. The status LED 116 is mounted at the top of the pen 101 for good all-around visibility.

[0158] The pen can operate both as a normal marking ink pen and as a non-marking stylus. An ink pen cartridge 118 with nib 119 and a stylus 120 with stylus nib 121 are mounted side by side within the housing 102. Either the ink cartridge nib 119 or the stylus nib 121 can be brought forward through open end 122 of the metal end piece 114, by rotation of the pen top 105. Respective slider blocks 123 and 124 are mounted to the ink cartridge 118 and stylus 120, respectively. A rotatable cam barrel 125 is secured to the pen top 105 in operation and arranged to rotate therewith. The cam barrel 125 includes a cam 126 in the form of a slot within the walls 181 of the cam barrel. Cam followers 127 and 128 projecting from slider blocks 123 and 124 fit within the cam slot 126. On rotation of the cam barrel 125, the slider blocks 123 or 124 move relative to each other to project either the pen nib 119 or stylus nib 121 out through the hole 122 in the metal end piece 114. The pen 101 has three states of operation. By turning the top 105 through 90° steps, the three states are:

[0159] Stylus 120 nib 121 out;

[0160] Ink cartridge 118 nib 119 out; and

[0161] Neither ink cartridge 118 nib 119 out nor stylus 120 nib 121 out.

[0162] A second flex PCB 129, is mounted on an electronics chassis 130 which sits within the housing 102. The second flex PCB 129 mounts an infrared LED 131 for providing infrared radiation for projection onto the surface. An image sensor 132 is provided mounted on the second flex PCB 129 for receiving reflected radiation from the surface. The second flex PCB 129 also mounts a radio frequency chip 133, which includes an RF transmitter and RF receiver, and a controller chip 134 for controlling operation of the pen 101. An optics block 135 (formed from moulded clear plastics) sits within the cover 107 and projects an infrared beam onto the surface and receives images onto the image sensor 132. Power supply wires 136 connect the components on the second flex PCB 129 to battery contacts 137 which are mounted within the cam barrel 125. A terminal 138 connects to the battery contacts 137 and the cam barrel 125. A three volt rechargeable battery 139 sits within the cam barrel 125 in contact with the battery contacts. An induction charging coil 140 is mounted about the second flex PCB 129 to enable recharging of the battery 139 via induction. The second flex PCB 129 also mounts an infrared LED 143 and infrared photodiode 144 for detecting displacement in the cam barrel 125 when either the stylus 120 or the ink cartridge 118 is used for writing, in order to enable a determination of the force being applied to the surface by the pen nib 119 or stylus nib 121. The IR photodiode 144 detects light from the IR LED 143 via reflectors (not shown) mounted on the slider blocks 123 and 124. [0163] Rubber grip pads 141 and 142 are provided towards the end 108 of the housing 102 to assist gripping the pen 101, and top 105 also includes a clip 142 for clipping the pen 101 to a pocket.

### 7.2 Pen Controller

[0164] The pen 101 is arranged to determine the position of its nib (stylus nib 121 or ink cartridge nib 119) by imaging, in the infrared spectrum, an area of the surface in the vicinity of the nib. It records the location data from the nearest location tag, and is arranged to calculate the distance of the nib 121 or 119 from the location tag utilising optics 135 and controller chip 134. The controller chip 134 calculates the orientation (yaw) of the pen using an orientation indicator in the imaged tag, and the nib-to-tag distance from the perspective distortion observed on the imaged tag.

[0165] Utilising the RF chip 133 and antenna 112 the pen 101 can transmit the digital ink data (which is encrypted for security and packaged for efficient transmission) to the computing system.

[0166] When the pen is in range of a relay device 601, the digital ink data is transmitted as it is formed. When the pen 101 moves out of range, digital ink data is buffered within the pen 101 (the pen 101 circuitry includes a buffer arranged to store digital ink data for approximately 12 minutes of the pen motion on the surface) and can be transmitted later.

[0167] In Applicant’s U.S. Pat. No. 6,870,966, the contents of which is incorporated herein by reference, a pen 101 having an interchangeable ink cartridge nib and stylus nib was described.

[0168] Accordingly, and referring to FIG. 27, when the pen 101 connects to the computing system, the controller 134 notifies the system of the pen ID, nib ID 175, current absolute time 176, and the last absolute time it obtained from the system prior to going offline. The pen ID allows the comput-



ing system to identify the pen when there is more than one pen being operated with the computing system.

[0169] The nib ID allows the computing system to identify which nib (stylus nib **121** or ink cartridge nib **119**) is presently being used. The computing system can vary its operation depending upon which nib is being used. For example, if the ink cartridge nib **119** is being used the computing system may defer producing feedback output because immediate feedback is provided by the ink markings made on the surface. Where the stylus nib **121** is being used, the computing system may produce immediate feedback output.

[0170] Since a user may change the nib **119**, **121** between one stroke and the next, the pen **101** optionally records a nib ID for a stroke **175**. This becomes the nib ID implicitly associated with later strokes.

[0171] Cartridges having particular nib characteristics may be interchangeable in the pen. The pen controller **134** may interrogate a cartridge to obtain the nib ID **175** of the cartridge. The nib ID **175** may be stored in a ROM or a barcode on the cartridge. The controller **134** notifies the system of the nib ID whenever it changes. The system is thereby able to determine the characteristics of the nib used to produce a stroke **175**, and is thereby subsequently able to reproduce the characteristics of the stroke itself.

[0172] The controller chip **134** is mounted on the second flex PCB **129** in the pen **101**. FIG. **10** is a block diagram illustrating in more detail the architecture of the controller chip **134**.

[0173] FIG. **10** also shows representations of the RF chip **133**, the image sensor **132**, the tri-color status LED **116**, the IR illumination LED **131**, the IR force sensor LED **143**, and the force sensor photodiode **144**.

[0174] The pen controller chip **134** includes a controlling processor **145**. Bus **146** enables the exchange of data between components of the controller chip **134**. Flash memory **147** and a 512 KB DRAM **148** are also included. An analog-to-digital converter **149** is arranged to convert the analog signal from the force sensor photodiode **144** to a digital signal.

[0175] An image sensor interface **152** interfaces with the image sensor **132**. A transceiver controller **153** and base band circuit **154** are also included to interface with the RF chip **133** which includes an RF circuit **155** and RF resonators and inductors **156** connected to the antenna **112**.

[0176] The controlling processor **145** captures and decodes location data from tags from the surface via the image sensor **132**, monitors the force sensor photodiode **144**, controls the LEDs **116**, **131** and **143**, and handles short-range radio communication via the radio transceiver **153**. It is a medium-performance (~40 MHz) general-purpose RISC processor.

[0177] The processor **145**, digital transceiver components (transceiver controller **153** and baseband circuit **154**), image sensor interface **152**, flash memory **147** and 512 KB DRAM **148** are integrated in a single controller ASIC. Analog RF components (RF circuit **155** and RF resonators and inductors **156**) are provided in the separate RF chip.

[0178] The image sensor is a 215×215 pixel CCD (such a sensor is produced by Matsushita Electronic Corporation, and is described in a paper by Itakura, K T Nobusada, N Okusenya, R Nagayoshi, and M Ozaki, "A 1 mm 50k-Pixel IT CCD Image Sensor for Miniature Camera System", IEEE Transactions on Electronic Devices, Volt 47, number 1, January 2000, which is incorporated herein by reference) with an IR filter.

[0179] The controller ASIC **134** enters a quiescent state after a period of inactivity when the pen **101** is not in contact with a surface. It incorporates a dedicated circuit **150** which monitors the force sensor photodiode **144** and wakes up the controller **134** via the power manager **151** on a pen-down event.

[0180] The radio transceiver communicates in the unlicensed 900 MHz band normally used by cordless telephones, or alternatively in the unlicensed 2.4 GHz industrial, scientific and medical (ISM) band, and uses frequency hopping and collision detection to provide interference-free communication.

[0181] In an alternative embodiment, the pen incorporates an Infrared Data Association (IrDA) interface for short-range communication with a base station or netpage printer.

### 7.3 Alternative Motion Sensor

[0182] In a further embodiment, the pen **101** includes a pair of orthogonal accelerometers mounted in the normal plane of the pen **101** axis. The accelerometers **190** are shown in FIGS. **9** and **10** in ghost outline.

[0183] The provision of the accelerometers enables this embodiment of the pen **101** to sense motion without reference to surface location tags. Each location tag ID can then identify an object of interest rather than a position on the surface. For example, if the object is a user interface input element (e.g. a command button), then the tag ID of each location tag within the area of the input element can directly identify the input element.

[0184] The acceleration measured by the accelerometers in each of the x and y directions is integrated with respect to time to produce an instantaneous velocity and position.

[0185] Since the starting position of the stroke is not known, only relative positions within a stroke are calculated. Although position integration accumulates errors in the sensed acceleration, accelerometers typically have high resolution, and the time duration of a stroke, over which errors accumulate, is short.

### 7.4 Additional or Supplementary Motion Sensor

[0186] As will be appreciated from the foregoing, if the Netpage tags **4** encode an x-y coordinate grid, then the Netpage pen **101** can identify its own position relative to the surface by reading any small part of the tag pattern. It can then measure its own motion by determining its position via the tags **4** at a sufficiently high rate. The resulting motion data represents the path of the pen relative to the surface as a sequence of discrete positions. If the motion itself is band limited, e.g. because it is produced by the action of a human hand, and the sampling rate exceeds twice the highest frequency present, then the position samples can be interpolated to exactly reconstruct the continuous path of the pen (ignoring noise, quantisation and end effects). If the sampling rate is inadequate then reconstruction is liable to introduce aliasing artifacts into the reconstructed continuous path. Whether this is a problem is application specific.

[0187] Capturing and subsequently reconstructing the exact path of the pen **101** is important when the motion represents handwriting. It is slightly less important when the motion represents a selection gesture (e.g. circumscription, underlining etc), so long as the pen **101** gives the user adequate control. For a given sampling rate the user can trade reduced speed for increased control.

**[0188]** A number of motion sensing mechanisms may be employed in a Netpage pen **101** in addition to the image sensor **132** and processor **145**, which images and decodes location-indicating Netpage tags **4**. These typically either measure absolute displacement or relative displacement. For example, an optical mouse that measures displacement relative to an external grid (see U.S. Pat. No. 4,390,873 and U.S. Pat. No. 4,521,772) measures absolute displacement, whereas a mechanical mouse that measures displacement via the movement of a wheel or ball in contact with the surface (see U.S. Pat. No. 3,541,541 and U.S. Pat. No. 4,464,652) measures relative displacement because measurement errors accumulate. An optical mouse that measures displacement relative to surface texture (see U.S. Pat. No. 6,631,218, U.S. Pat. No. 6,281,882, U.S. Pat. No. 6,297,513 and U.S. Pat. No. 4,794,384), measures relative displacement for the same reason. Motion sensors based on point interferometry (see U.S. Pat. No. 6,246,482) or acceleration (see U.S. Pat. No. 4,787,051) also measure relative displacement. The contents of all US patents identified in the preceding paragraph relating to motion sensors are herein incorporated by reference.

**[0189]** Netpage tags can be used as the basis for measuring absolute displacement in a manner similar to an optical mouse that measures displacement relative to an external grid. Since the tags incorporate regularly-spaced target features, the position of these features can be correlated from one tag image to the next to determine displacement. Since the exact spacing of the targets is known, measurement errors do not accumulate. As described above, the pen **101** can take into account the perspective distortion of the tag pattern to compute a precise displacement. In order to correlate the position of targets between successive tag images, the tag images must overlap so that the correlation is unambiguous. As described in U.S. Pat. No. 7,055,739, so long as the displacement between successive tag images is less than half the target spacing the correlation is unambiguous.

**[0190]** The path of the pen **101** relative to a surface may usefully be decomposed into three separate components: the shape of the path; the orientation of the path relative to the surface; and the position of the path relative to the surface. The shape may be absolute or relative, in line with whether the motion sensing mechanism measures absolute or relative displacement. The precision of a relative path depends both on the magnitude of measurement errors and the number of measurements (i.e. the duration of the path, assuming a fixed sampling rate). The orientation is only known when the motion sensing mechanism measures motion relative to an external reference of known geometry, such as the Netpage tag pattern or a gridded optical mouse pad. The orientation may only be known modulo the rotational symmetry of the external reference. For example, if the external reference has four-fold symmetry then the orientation may only be known modulo 90 degrees. The position is only known when the external reference, such as the Netpage tag pattern, allows the position to be determined. Table 2 lists motion-sensing mechanisms that illustrate these differences.

TABLE 2

Characteristics of motion-sensing mechanisms			
Motion-sensing mechanism	Shape	Orientation	position
texture-sensing optical mouse	relative	no	no
grid-sensing optical mouse	absolute	yes	no
tag-sensing Netpage pen	absolute	yes	yes

**[0191]** An arbitrary motion sensing mechanism can be used in combination with the Netpage pen's fundamental ability to read a Netpage tag pattern. In the absence of a tag pattern (or any other reference), the pen **101** can capture a relative path shape. In the presence of a tag pattern that only identifies regions, the pen **101** can capture an oriented relative path shape within a region (or across multiple regions). In the presence of a tag pattern that also encodes a location grid, the pen **101** can capture an oriented relative path shape, determine the position of the path by sampling its own absolute position once, and can optionally improve the precision of the path shape by sampling its own position more than once (e.g. sparingly but on a regular basis, or just at the end-points of each stroke) and fitting the path shape to the sampled positions to obtain a positioned, oriented, absolute path.

**[0192]** Assuming a motion sensing mechanism that generates successive displacement deltas  $\Delta s_i$  (i.e. relative position changes), the corresponding position  $s_i$  is updated as follows:

$$s_i = s_{i-1} + \Delta s_i \quad (\text{EQ } 1)$$

**[0193]** If the motion sensing mechanism is a pair of orthogonal accelerometers in the normal plane of the pen axis (see U.S. Pat. No. 7,105,753), then the instantaneous acceleration  $a_i$  measured by the accelerometers is integrated with respect to time to produce an instantaneous velocity delta  $\Delta v_i$ , velocity  $v_i$ , and displacement delta  $\Delta s_i$ , using the kinematic equations relating acceleration, velocity and displacement:

$$\Delta v_i = a_i \Delta t \quad (\text{EQ } 2)$$

$$v_i = v_{i-1} + \Delta v_i \quad (\text{EQ } 3)$$

$$\Delta s_i = v_{i-1} \Delta t + 1/2 a_i \Delta t^2 \quad (\text{EQ } 4)$$

**[0194]** The accuracy of the integration increases with decreasing sampling period  $\Delta t$  (and with decreasing acceleration). Non-linear integration may also be used for greater accuracy. It will be appreciated that these calculations may be performed by the processor **145** described above.

**[0195]** There are several potential advantages to incorporating an additional relative motion sensing mechanism in a Netpage pen **101**. As noted above, the rate at which the pen **101** reads the Netpage tag pattern can be reduced if motion data is available at a higher rate from another source. This can improve power consumption if the other motion sensing mechanism is relatively efficient, such as an optical mouse chip, a point interferometry device, or accelerometers. In addition, motion sensing remains possible in the absence of a Netpage tag pattern. This is useful when the Netpage pen **101** is used, for example, to generate cursor control commands.

**[0196]** The present invention has been described with reference to a preferred embodiment and number of specific alternative embodiments. However, it will be appreciated by those skilled in the relevant fields that a number of other embodiments, differing from those specifically described, will also fall within the spirit and scope of the present invention. Accordingly, it will be understood that the invention is not intended to be limited to the specific embodiments described in the present specification, including documents incorporated by cross-reference as appropriate. The scope of the invention is only limited by the attached claims.

1. A method of sensing motion of a sensing device relative to a surface, the method including the steps of:  
optically imaging a position-coding pattern disposed on or in the surface;

independently sensing relative position changes of the sensing device using a motion sensor;  
 generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern;  
 independently generating relative motion data using the relative position changes sensed by the motion sensor;  
 and  
 determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data.

2. The method of claim 1, wherein an extent to which the relative motion data supplements the absolute motion data is dependent on at least one of:

- a sampling rate of imaging the position-coding pattern;
- a speed of movement of the sensing device relative to the surface; and
- power-saving criteria for the sensing device.

3. The method of claim 1, wherein the motion sensor is selected from any one of the group comprising: at least one accelerometer; a mechanical mouse; an optical mouse; and a point interferometry device.

4. The method of claim 3, wherein said motion sensor is an optical mouse utilizing at least one of: a pattern-based optical mouse technique; a texture-based optical mouse technique; and a laser-speckle-based optical mouse technique.

5. The method of claim 1, wherein the absolute motion data comprises a plurality of sensed absolute positions, the relative motion data comprises a plurality of sensed relative position changes, and wherein the method includes the further step of: combining the sensed relative position changes with the sensed absolute positions to generate additional absolute positions.

6. The method of claim 5, wherein the combining step comprises at least one of:

- adding the sensed relative position changes to the sensed absolute positions; and
- subtracting the sensed relative position changes from the sensed absolute positions.

7. The method of claim 1, wherein neighbouring absolute positions, as sensed over time, are interleaved with at least one relative position change.

8. The method of claim 1, wherein the position-coding pattern is indicative of a plurality of locations on the surface and of an identity of a region.

9. The method of claim 8, further comprising the step of: determining the identity of the region using the imaged position-coding pattern.

10. The method of claim 8, wherein the identity of the region is coincident with an identity of the surface.

11. The method of claim 1, wherein the position-coding pattern is comprised of a plurality of tags, each tag identifying the identity of the surface and a location of the tag on the surface.

12. The method of claim 1, further comprising the step of: transmitting data indicative of the motion of the sensing device to a computer system, thereby enabling the computer system to interpret said motion and initiate an action corresponding to said motion.

13. A sensing device configured for determining its own motion relative to a surface, said sensing device comprising: a first motion sensor comprising:

- an image sensor for imaging a position-coding pattern on the surface; and

- a first processor configured for generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern;

- a second motion sensor configured for independently generating relative motion data by determining one or more relative position changes of the sensing device; and
- a second processor configured for determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data.

14. The sensing device of claim 13, wherein the first and second processors are the same processor.

15. The sensing device of claim 13, wherein the second processor is configured such that an extent to which the relative motion data supplements the absolute motion data is dependent on at least one of:

- a sampling rate of imaging the position-coding pattern;
- a speed of movement of the sensing device relative to the surface; and
- power-saving criteria for the sensing device.

16. The sensing device of claim 13, wherein the second motion sensor is selected from any one of the group comprising: at least one accelerometer; a mechanical mouse; an optical mouse; and a point interferometry device.

17. The sensing device of claim 16, wherein said second motion sensor is an optical mouse utilizing at least one of: a pattern-based optical mouse technique; a texture-based optical mouse technique; and a laser-speckle-based optical mouse technique.

18. The sensing device of 17, wherein said second motion sensor is an optical mouse comprising the image sensor.

19. The sensing device of claim 13, further comprising communication means for transmitting data indicative of the motion of the sensing device to a computer system, thereby enabling the computer system to interpret said motion and initiate an action corresponding to said motion.

20. A system for initiating an action corresponding to motion of a sensing device relative to a surface, said system comprising:

(A) the sensing device comprising:

- a first motion sensor comprising:

- an image sensor for imaging a position-coding pattern on the surface; and

- a first processor configured for generating absolute motion data by determining a plurality of absolute positions of the sensing device relative to the surface using the imaged position-coding pattern;

- a second motion sensor configured for generating relative motion data by determining one or more relative position changes of the sensing device, said second motion sensor being operatively independent of said first motion sensor;

- a second processor configured for determining the motion of the sensing device using the absolute motion data supplemented with the relative motion data; and

- communication means for communicating data indicative of the motion of the sensing device to a computer system; and

(B) the computer system configured for:

- receiving the data indicative of the motion of the sensing device;
- interpreting said motion; and

- initiating an action corresponding to the motion of the sensing device.