(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 12 April 2001 (12.04.2001)

PCT

(10) International Publication Number WO 01/26036 A3

(51) International Patent Classification⁷: G06K 7/10

(21) International Application Number: PCT/US00/27289

(22) International Filing Date: 4 October 2000 (04.10.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

09/411,936 4 October 1999 (04.10.1999) US

(71) Applicant: WELCH ALLYN DATA COLLECTION, INC. [US/US]; 4619 Jordan Road, Skaneateles Falls, NY 13153 (US).

(72) Inventors: BARBER, Charles, P.; 5004 Lakeview Drive, Fayetteville, NY 13066 (US). GERST, Carl, W.; 80 East Genesee Street, Skaneateles, NY 13152 (US). HUNTER, Vivian, L.; 3732 Inclination Drive, Baldwinsville, NY 13027 (US). MCCALL, Melvin, D.; 5481 East Homer-Baltimore Road, Homer, NY 13077 (US).

HAHN, Bruce, L.: 433 Westbrook Hills Drive, Syracuse, NY 13215 (US).

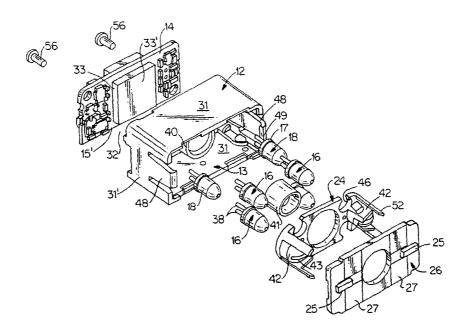
- (74) Agent: BLASIAK, George, S.; Wall Marjama & Bilinski, Suite 400, 101 South Salina Street, Syracuse, NY 13202 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FJ, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW). Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

[Continued on next page]

(54) Title: IMAGING MODULE FOR OPTICAL READER



(57) Abstract: The present invention is an imaging module (10) including a frame (12) supporting various optical components and a circuit board (14), which, in one embodiment, carries essentially an entirety of illumination (16) and aiming (18) LEDs of the module. The frame may include resilient fingers (48) which enable the frame to receive certain optical components in a snap-fit arrangement. Further, the module may be arranged so that the outer walls (31) and (31') of the module provide a containment for preventing structural damage to sensitive and fragile internal components of the module.



01/26036 A3



(88) Date of publication of the international search report: 10 January 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Int. tional Application No PCT/US 00/27289

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06K7/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ccc} \text{Minimum documentation searched (classification system followed by classification symbols)} \\ IPC & 7 & G06K \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, IBM-TDB

Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
US 5 621 203 A (SPITZ GLENN ET AL) 15 April 1997 (1997-04-15)	1,6		
column 6, line 65 -column 7, line 11	11,14, 15,18, 20,25,26		
column 11, line 30-42			
US 5 777 314 A (ROUSTAEI ALEXANDER) 7 July 1998 (1998-07-07)	1		
column 5, line 30 -column 6, line 8; figures 1,2	6,11,14, 15,18, 20,25,26		
-/			
	15 April 1997 (1997-04-15) column 6, line 65 -column 7, line 11 column 11, line 30-42 US 5 777 314 A (ROUSTAEI ALEXANDER) 7 July 1998 (1998-07-07) column 5, line 30 -column 6, line 8; figures 1,2		

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.			
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	 *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family 			
Date of the actual completion of the international search	Date of mailing of the international search report			
28 August 2001	05 09 2001			
Name and mailing address of the ISA	Authorized officer			
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Schauler, M			

3

Int tional Application No PCT/US 00/27289

<u> </u>	Citation of document, with indication where appropriate of the relevant passages	Relevant to claim No.	
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	riciovani to Ciditti NO.	
(EP 0 548 951 A (SYMBOL TECHNOLOGIES INC) 30 June 1993 (1993-06-30)	1	
A	column 1, line 24-31	6,11,14, 15,18, 20,25,26	
	column 2, line 56 -column 3, line 6 column 10, line 16-35; figure 3		
A	EP 0 431 831 A (NCR CO) 12 June 1991 (1991-06-12) column 3, line 19-26; figure 1	11	
X	US 5 814 803 A (MCQUEEN ALEXANDER M ET AL) 29 September 1998 (1998-09-29)	20,23	
А	column 37, line 27 -column 38, line 13; figures 65A,65B	1,6,11, 14,15, 18,25,26	
	column 45, line 39 -column 46, line 4; figures 70A,70B column 1, line 64 -column 2, line 8		
Х	EP 0 944 017 A (SYMBOL TECHNOLOGIES INC) 22 September 1999 (1999-09-22)	14	
Α	paragraphs '0045!-'0049!; figure 4B	1,6,11, 15,18, 20,25,26	
X	US 5 811 784 A (O'HAGAN TIMOTHY P ET AL) 22 September 1998 (1998-09-22)	26,27	
А	column 9, line 21 -column 10, line 17; figures 16,17	1,6,11, 14,15, 18,20,25	
Χ	DE 24 45 727 A (PITNEY BOWES) 8 April 1976 (1976-04-08)	25	
Α	page 4, paragraph 2 -page 5, paragraph 1; figure 2	1,6,11, 14,15, 18,20,26	
Α	US 5 783 811 A (FENG CHEN ET AL) 21 July 1998 (1998-07-21) column 7, line 34-51; figures 5,6	15	
Α	US 5 656 805 A (PLESKO GEORGE A) 12 August 1997 (1997-08-12) column 17, line 18-64; figure 6	15	

ruternational application No. PCT/US 00/27289

INTERNATIONAL SEARCH REPORT

Box i	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	rnational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
1. χ	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark (The additional search fees were accompanied by the applicant's protest.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-10,18,19

An imaging module having a frame, a circuit board mounted to said frame, an image sensor, at least one illumination light source, and a back plate receiving and aligning of said image sensor carried by said circuit board

2. Claims: 11-13

An imaging module having a frame, a circuit board mounted to said frame, an image sensor, and at least one illumination light source, wherein said module includes at least one planar optical element and said frame comprises sidewalls having resilent fingers formed therein for receiving and securing said optical component in said frame

3. Claim : 14

An imaging module having a frame, a circuit board mounted to said frame, an image sensor, and at least one illumination light source, wherein said circuit board carries said image sensor and essentially all image sensor signal processing and decoding circuitery

4. Claims: 15-17

An imaging module having a frame, a circuit board mounted to said frame, an image sensor, and at least one illumination light source; said frame including substantially rigid top, bottom, and side sidewalls wherein said sidewalls and said circuit board define outer walls of said module

5. Claims: 20-24

An imaging module having a frame, a circuit board mounted to said frame, an image sensor, at least one illumination light source, a pair of aiming light sources, and a pair of slit apertures disposed forwardly of said aiming light sources

6. Claim : 25

An imaging module having a a circuit board, an image sensor, a light source having leads wherein said leads are abutted agains a side of said image sensor and mounted to said circuit board so that a portion of said light source opposes a top surface of the image sensor

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

7. Claims: 26-28

An optical reader comprising imaging assembly and illumination assembly wherein the illumination assembly includes at least two rows of LEDs and an illumination optical assembly comprising diffusors and wedges for diffusing and directing light towards the target

Information on patent family members

Int tional Application No
PCT/US 00/27289

		T		101700	1
Patent document cited in search repor	rt	Publication date		Patent family member(s)	Publication date
US 5621203	A	15-04-1997	US CA EP US CN EP US US US	5369264 A 2134699 A 0653720 A 0690403 A 7200718 A 5710417 A 2093371 A 1084661 A,B 0589361 A 6187481 A 5742038 A 5608200 A 5996895 A 5811785 A	29-11-1994 18-05-1995 17-05-1995 03-01-1996 04-08-1995 20-01-1998 26-03-1994 30-03-1994 30-03-1994 08-07-1994 21-04-1998 04-03-1997 07-12-1999 22-09-1998
US 5777314	А	07-07-1998	US US US US AT AU DE DK EP JP PT WO US	5354977 A 5349172 A 5291009 A 5786582 A 5756981 A 187001 T 3778693 A 69327114 D 69327114 T 680641 T 0680641 A 8501644 T 680641 T 9317397 A 5532467 A RE36528 E	11-10-1994 20-09-1994 01-03-1994 28-07-1998 26-05-1998 15-12-1999 13-09-1993 30-12-1999 13-07-2000 25-04-2000 08-11-1995 20-02-1996 28-04-2000 02-09-1993 02-07-1996 25-01-2000
EP 0548951	А	30-06-1993	US AT CA CN DE DE DE ES	5262627 A 164959 T 2084212 A 1077297 A,B 69225055 D 69225055 T 2117027 T 5266236 A	16-11-1993 15-04-1998 25-06-1993 13-10-1993 14-05-1998 12-11-1998 01-08-1998 15-10-1993
EP 0431831	Α	12-06-1991	US DE DE JP	4967076 A 69026798 D 69026798 T 3280176 A	30-10-1990 05-06-1996 05-12-1996 11-12-1991
US 5814803	A	29-09-1998	US DE GB JP WO US	5770847 A 19581524 T 2301691 A,B 9512372 T 9620454 A 6073851 A 6042012 A	23-06-1998 05-06-1997 11-12-1996 09-12-1997 04-07-1996 13-06-2000 28-03-2000
EP 0944017	А	22-09-1999	US AU BR JP	6186400 B 2129099 A 9900733 A 11312209 A	13-02-2001 30-09-1999 11-01-2000 09-11-1999

Information on patent family members

Int tional Application No PCT/US 00/27289

Patent document cited in search report	-	Publication date	F	Patent family member(s)	Publication date
US 5811784	A	22-09-1998	AU WO US US US US US US	6341196 A 9701828 A 5815200 A 5702059 A 5703349 A 6019286 A 5783811 A 5811774 A 5818028 A	30-01-1997 16-01-1997 29-09-1998 30-12-1997 30-12-1997 01-02-2000 21-07-1998 22-09-1998 06-10-1998
DE 2445727	Α	08-04-1976	NONE	-	
US 5783811	A	21-07-1998	US US US US AU CA EP WO US US US US US US US US US US US US US	6019286 A 5703349 A 5702059 A 5811784 A 5714745 A 701057 B 7449596 A 2234617 A 0856182 A 11515124 T 9715024 A 5763864 A 5818028 A 6341196 A 9701828 A 5815200 A 5811774 A	01-02-2000 30-12-1997 30-12-1997 22-09-1998 03-02-1998 21-01-1999 07-05-1997 24-04-1997 05-08-1998 21-12-1999 24-04-1997 09-06-1998 06-10-1998 30-01-1997 16-01-1997 29-09-1998 22-09-1998
US 5656805	A	12-08-1997	USSUSAPPOSSUSSUSSUSSUSSUSSUSSUSSUSSUSSUSSUSSUSSU	5506394 A 5371347 A 5469291 A 5187612 A 5932860 A 2174623 A 0725955 A 9504398 T 9512177 A 6118569 A 6179444 B 5864128 A 5880452 A 2893192 A 2121332 A 0608368 A 7500433 T 9308539 A 5550367 A 5519198 A 5596446 A 5691834 A 5831261 A 5691834 A 5831261 A 69129202 D 69129202 T	09-04-1996 06-12-1994 21-11-1995 16-02-1993 03-08-1999 04-05-1995 14-08-1996 28-04-1997 04-05-1995 12-09-2000 30-01-2001 26-01-1999 09-03-1999 21-05-1993 29-04-1993 29-04-1993 27-08-1996 21-05-1996 21-01-1997 03-11-1997 03-11-1997 03-11-1997 11-06-1992 16-05-1998 30-07-1998

Information on patent family members

Int .tional Application No PCT/US 00/27289

date	Patent family member(s)		Publication date 01-09-1993
	EP 0557443 A		
	JP	6502755 T	24-03-1994
	WO	9209133 A	29-05-1992
	US	6101017 A	08-08-2000
	US	5959757 A	28-09-1999
	date	EP JP WO US	EP 0557443 A JP 6502755 T WO 9209133 A US 6101017 A

Form PCT/ISA/210 (patent family annex) (July 1992)