Office de la Propriété Intellectuelle du Canada

Un organisme d'Industrie Canada Canadian Intellectual Property Office

An agency of Industry Canada

CA 2512139 C 2010/09/28

(11)(21) **2 512 139** 

# (12) BREVET CANADIEN CANADIAN PATENT

(13) **C** 

(86) Date de dépôt PCT/PCT Filing Date: 2003/12/17

(87) Date publication PCT/PCT Publication Date: 2004/07/29

(45) Date de délivrance/Issue Date: 2010/09/28

(85) Entrée phase nationale/National Entry: 2005/06/29

(86) N° demande PCT/PCT Application No.: EP 2003/014414

(87) N° publication PCT/PCT Publication No.: 2004/062706

(30) Priorité/Priority: 2003/01/08 (DE103 00 271.5)

(51) Cl.Int./Int.Cl. *C08F 265/06* (2006.01), *A61L 27/14* (2006.01), *A61L 27/54* (2006.01), *A61L 31/04* (2006.01), *C08F 283/06* (2006.01), *C08J 3/24* (2006.01), *C08J 3/28* (2006.01), *C08L 33/14* (2006.01)

(72) Inventeurs/Inventors:

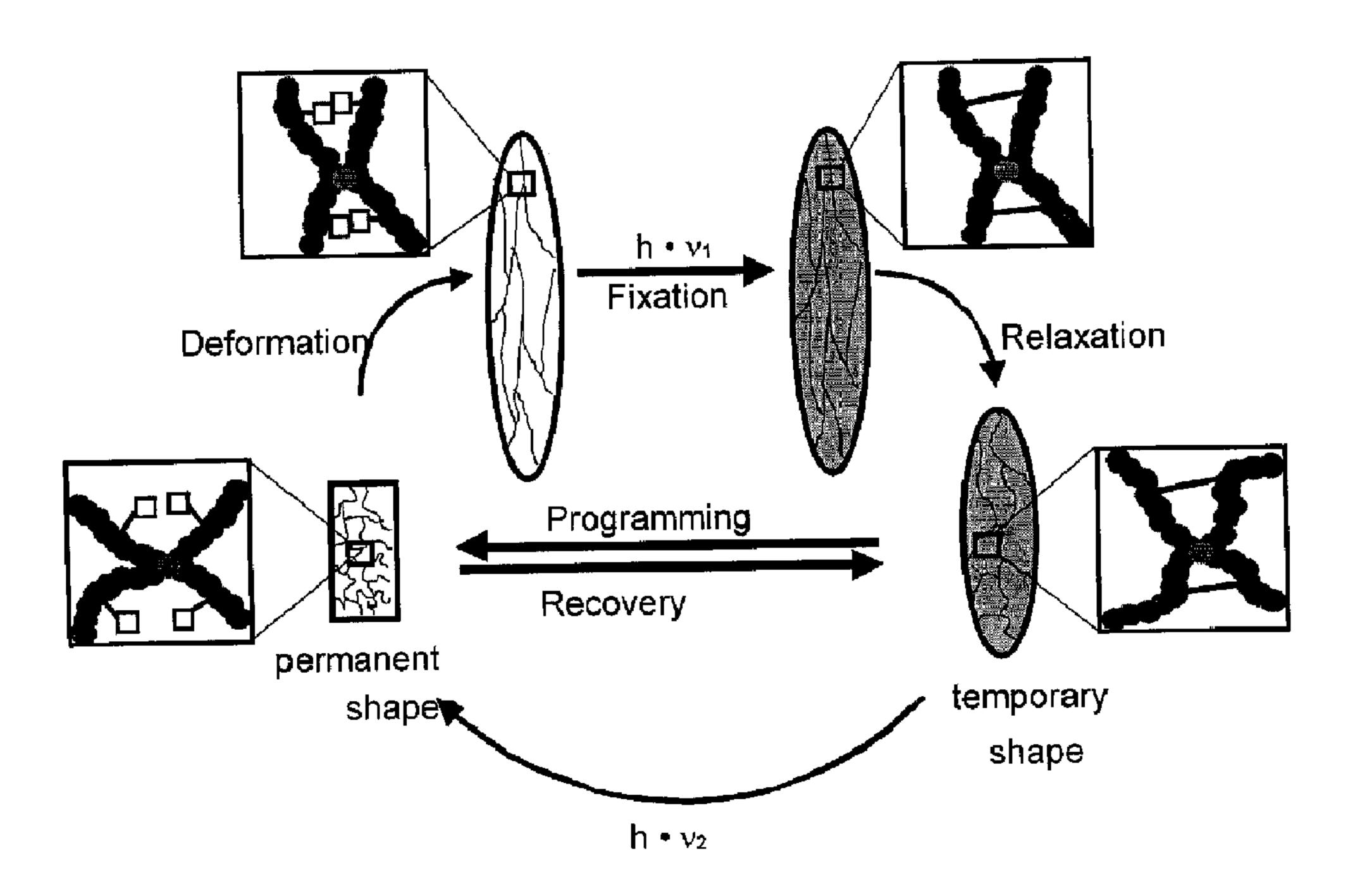
LENDLEIN, ANDREAS, DE; JIANG, HONGYAN, DE; JUENGER, OLIVER, DE

(73) Propriétaire/Owner:

GKSS-FORSCHUNGSZENTRUM GEESTHACHT GMBH, DE

(74) Agent: ROBIC

(54) Titre: RESEAUX POLYMERES PHOTOSENSIBLES (54) Title: PHOTOSENSITIVE POLYMER NETWORKS



Functional principle of a photosensitive network on the macroscopic and molecular level

- □ Photoreactive Group
- Covalent crosslinking point
- Polymer chain

#### (57) Abrégé/Abstract:

The invention relates to amorphous photosensitive networks characterised by good shape memory properties.





#### (12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

#### (19) Weltorganisation für geistiges Eigentum Internationales Büro





#### (43) Internationales Veröffentlichungsdatum 29. Juli 2004 (29.07.2004)

# **PCT**

Deutsch

DE

# (10) Internationale Veröffentlichungsnummer WO 2004/062706 A1

A61L 27/14, (51) Internationale Patentklassifikation<sup>7</sup>: 27/50, 31/04, C08L 33/14, C08F 265/04

(21) Internationales Aktenzeichen: PCT/EP2003/014414

(22) Internationales Anmeldedatum:

17. Dezember 2003 (17.12.2003)

(25) Einreichungssprache:

(26) Veröffentlichungssprache: Deutsch

(30) Angaben zur Priorität: 103 00 271.5 8. Januar 2003 (08.01.2003)

- (71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): MNEMOSCIENCE GMBH [DE/DE]; Carlstrasse 50, 52531 Uebach-Palenberg (DE).
- Erfinder; und

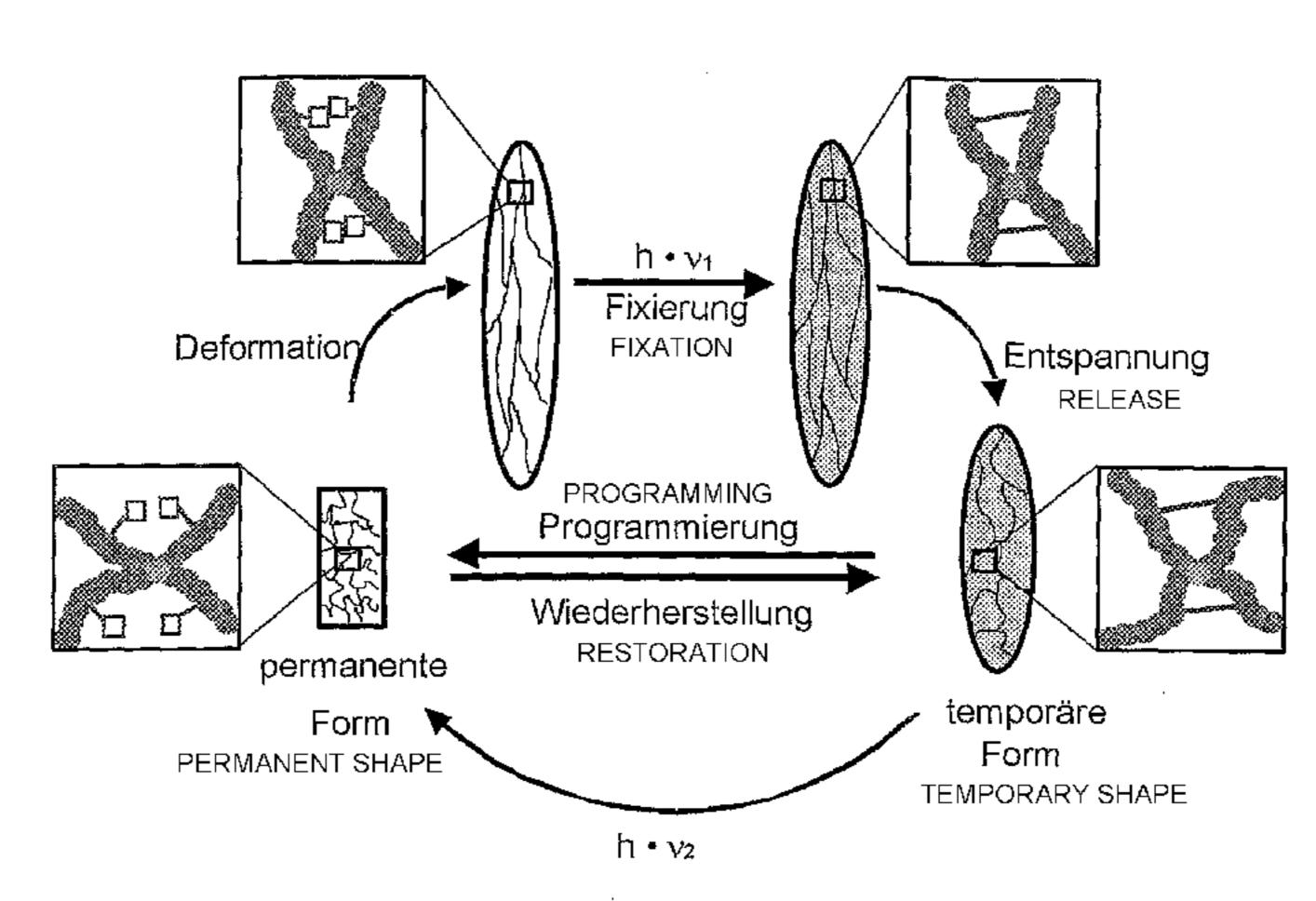
75) Erfinder/Anmelder (nur für US): LENDLEIN, Andreas

[DE/DE]; Sundgauerstr. 142, 14167 Berlin (DE). JIANG, Hongyan [CN/DE]; Monheimsallee 1, 52062 Aachen (DE). JÜNGER, Oliver [DE/DE]; Mühlweg 42, 55128 Mainz (DE).

- (74) Anwalt: GRÜNECKER, KINKELDEY, STOCKMAIR & SCHWANHÄUSSER; Maximilianstrasse 58, 80538 München (DE).
- (81) Bestimmungsstaaten (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Bestimmungsstaaten (regional): ARIPO Patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Fortsetzung auf der nächsten Seite]

- (54) Title: PHOTOSENSITIVE POLYMER NETWORKS
- (54) Bezeichnung: PHOTOSENSITIVE POLYMERE NETZWERKE



- Funktionsprinzip eines photosensitiven Netzwerks auf makroskopischer und molekularer Ebene.
- ☐ Photoreaktive Gruppe
- Kovalenter Vernetzungspunkt
- Polymerkette
- AA... FUNCTIONAL PRINCIPLE OF A PHOTOSENSITIVE NETWORK
- ON A MACROSCOPIC AND MOLECULAR PLANE
- BB...PHOTOREACTIVE GROUP
- CC...COVALENT CROSS-LINKING POINT
- DD..POLYMER CHAIN
- (57) Abstract: The invention relates to amorphous photosensitive networks characterised by good shape memory properties.
- (57) Zusammenfassung: Die vorliegende Erfindung betrifft amorphe photosensitive Netzwerke. Die Netzwerke zeichnen sich durch gute Form-Gedächtnis-Eigenschaften aus.

#### 

eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Veröffentlicht:

— mit internationalem Recherchenbericht

— vor Ablauf der für Änderungen der Ansprüche geltenden Frist; Veröffentlichung wird wiederholt, falls Änderungen eintreffen

Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

# Photosensitive Polymer Networks

The present invention relates to photosensitive polymeric networks, photosensitive components, suitable for the preparation of photosensitive polymeric networks as well as methods for programming.

#### Prior Art

Polymeric networks are important building materials in a vast variety of applications, in which classical network materials, such as metals, ceramics and wood, are no longer sufficient due to their restricted physical properties. Polymeric networks therefore have gained a broad field of application, in particular on the basis of the fact that it is possible to vary the properties of the network materials by a variation of the monomeric units of the polymeric networks.

One particular fascinating class of polymeric networks, which has been developed in the recent years, are the so-called shape memory polymers (in the following shape memory polymers, SMP or SMP-materials), i.e. polymeric networks, which can retain, in addition to their actual, visible shape one or even more shapes in memory, which shapes are recovered only after having been subjected to a specific external stimulus, such as a change in temperature. Due to the possibility to achieve a desired change in shape these materials are of high interest in a vast variety of fields, in which for example a variation in size is desired. This is in particular true for medicinal implants, which shall only reach their final size at the final destination, so that it is possible to introduce these implants using minimal invasive chirurgic processes only. Such materials are for example disclosed in the international patent applications WO-A-99-42528 and WO-A-99-42147.

The majority of shape memory polymers described so far are susceptible to a thermal stimulus. In several fields of application a change of temperature however is not desired, so that a different stimulus, such as light, appears to be more suitable. The use of biocompatible SMPs in living organisms allows for example a temperature increase of only a few degrees above body temperature. Higher temperatures are detrimental for the surrounding tissue. Furthermore most materials are subject to natural changes in temperature. If due to such a natural change in temperature the so-called transfer or trigger temperature of the SMP material is exceeded, the shape memory effect is triggered even though it is not desired to do so.

One possibility for overcoming this drawback is the use of photosensitive SMP materials. Known examples of photosensitive polymers are however mostly gel materials, which may change due to the influence of light their degree of swelling (O.Pieroni, F. Ciardelli, Trends Polym. Sci. 3, 282 (1995); Y. Osada, J. –P. Gong, Adv. Mater, 10, 827 (1996); A. Suzuki, T. Tanaka, Nature 346, 345 (1990). It is for example possible to initiate the sol/gel transfer of a photosensitive gel by means of the influence of light (F.M. Andreopoulos, C.R. Deible, M.T. Stauffer, S.G. Weber, W.R. Wagner, E.J. Beckmann, A.J. Russel, J. Am. Chem. Soc, 118,6235 (1996).

A further example is the permeability of a membrane made from a photosensitive hydrogel, which can be influenced by light (F.M. Andreopoulos, E.J. Beckmann, A.J. Russel, Biomaterials 19, 1343 (1998).

This process however is only a three-dimensional isotropic reversible change in volume, which is not suitable in order to give rise to defined changes in shape. Gels furthermore are due to their low mechanical stability not sufficient for many fields of application.

The SMP materials disclosed in WO-A-99-42528 and WO-A-99-42147 are prepared from segments. Their partly crystalline morphology gives rise to scattering of light at the surface which prevents a photo reaction within the material. Due to these features such materials cannot be stimulated by means of light.

#### Object of the present invention

It is therefore the object of the present invention to provide polymeric networks which are able to overcome the drawbacks of the prior art, i.e. which are in particular susceptible to a trigger not associated with temperature. In contrast to hydrogels the materials shall have a high mechanical strength. The polymeric networks shall furthermore enable that it is made possible to design and tailor the properties due to a variation of the composition, so that it is possible to tailor materials having a desired profile of properties.

## Short description of the invention

The present invention solves this object by providing the photosensitive polymeric network comprising an amorphous network and a photoreactive component.

In particular this photosensitive polymeric network is not a hydrogel.

Furthermore the present invention provides photosensitive components which are suitable for the preparation of polymeric amorphous networks in a process wherein:

- either a matrix component is polymerised with a crosslinking
   component and a photoreactive component,
  - or a matrix component is polymerised with a crosslinking component followed by admixing a photoreactive component with the amorphous network. Further aspects of the present invention will be defined in the following description.

#### Short description of the Figures

Figure 1 shows the functional principle of a photosensitive network on macroscopic as well as molecular level. Figure 2 shows the photoreaction of cinnamic acid and of a cinnamylacylate. Figure 3 shows the mechanical properties of a photosensitive network during a cyclic photomechanical experiment. Figure 4 demonstrates the dependency of the shape memory properties from the content of the photoreactive component.

#### Detailed description of the invention

In the following the present invention is described in more detail.

The photosensitive polymeric network in accordance with the present invention comprises a covalently crosslinked polymer (amorphous network), which is provided with photoreactive groups (covalently bound to the amorphous network or mixed in physically), which provide the material light inducible shape memory properties. The polymer main scaffold does not absorb the wavelength acquired for the photoreaction. The network furthermore is substantially amorphous, homogeneous and transparent.

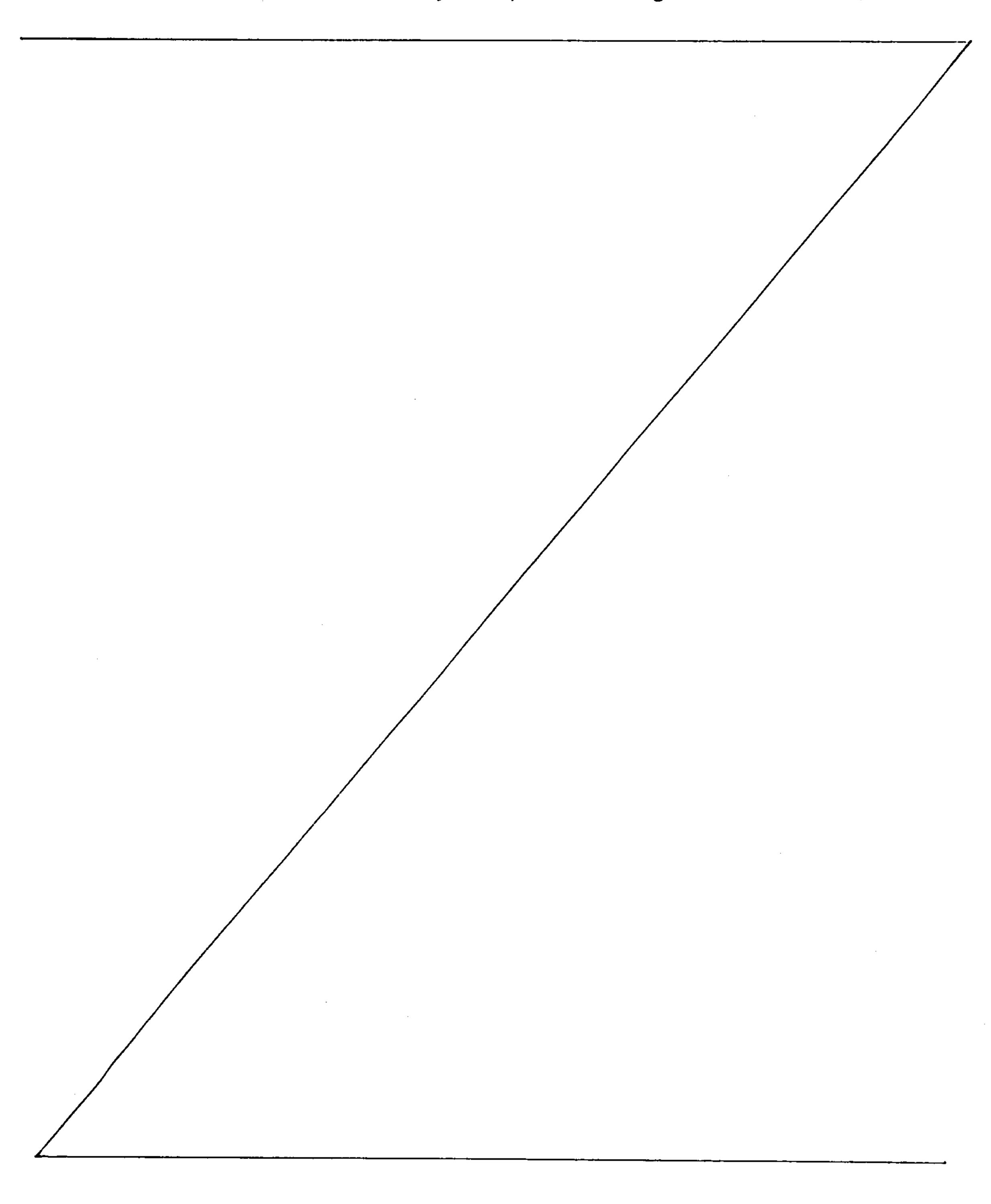


Figure 1 shows the functional principle of a photosensitive network on macroscopic and molecular level. Along the main chains of the network, substituents are provided which are equipped at the terminal with a photoreactive group. Upon radiation with UV these groups are able to give rise to covalent bonds. If the material is deformed and irradiated with light of a suitable wavelength  $\lambda_1$ , the initial network is crosslinked further. Due to this additional crosslinking a temporary fixation of the material in deformed shape is obtained (programming). In view of the fact that the photocrosslinking is reversible, the initial shape of the material can be recovered by means of irradiation with light of another wavelength  $\lambda_2$ , which disengages the additional crosslinking (recovery). Such a photomechanical cycle can be repeated as often as desired.

In order to provide the desired properties the photosensitive polymeric networks in accordance with the present invention have to be substantially transparent with respect to the irradiation designed for the change in shape. Only then the object mentioned above can be solved. Typically this irradiation is within the UV area, since this enables the prevention of the triggering of the change of shape with light within the visible range, which can hardly be excluded completely in normal life. Furthermore the content of UV irradiation contained in most of the traditional sources of light is not sufficient to trigger the change in shape in the material in accordance with the present invention. Preferably the material of the present invention accordingly is transparent with respect to UV irradiation, in particular within the range of 200 to 400 nm, more preferably within the range of 250 to 350 nm.

## Components of the network

#### 1. Matrix

The basis of the networks is formed by means of a matrix, which, as mentioned above, is transparent with respect to the irradiation designed for the triggering of the change in shape, i.e. preferably a UV transparent matrix. Furthermore this matrix should show a certain degree of elasticity and flexibility (elastomeric properties). Furthermore it is required that the matrix is amorphous. Furthermore it is important that the matrix is crosslinked, in order to provide a certain degree of mechanical stability, as well as the desired shape memory properties in accordance with the present invention. In principle all polymerizable compounds are employable in accordance with the present invention, provided that they are suitable for the preparation of a matrix as designated above. Preferably these compounds should be polymerizable in bulk.

It is preferred in accordance with the present invention when the basis of the network of the present invention is a matrix on the basis of low molecular acrylates and methacrylates, which may be polymerised by means of a radical mechanism, in particular  $C_1$ - $C_6$ -(meth)acrylates and hydroxy derivatives thereof, wherein hydroxyethylacrylate, hydroxypropyl methacrylate, hydroxypropyl acrylate, poly(ethylene glycol)methacrylate and n-butylacrylate are preferred, in particular n-butylacrylate and hydroxyethylmethacrylate.

N-butylacrylate, which is preferred as matrix component, has the advantage that the homopolymer possesses a low glass transition temperature of -55° C, so that one may expect elastic properties for the networks on the basis of this component. A comonomer, in particular hydroxyethylmethacrylate, serves optionally for tailoring the thermal and mechanical properties. These two compounds can be polymerised in any ratio, wherein, if hydroxyethylmethacrylate (HEMA) is present, n-butylacrylate should represent the major part. Preferred mol ratios of n-butylacrylate to HEMA are within the range of from 10:0.1 to 10:5, preferably 10:1 to 10:3 in particular about 10:2.

# 2. Crosslinking agents

In addition to the material for the matrix the polymeric network in accordance with the present invention also comprises a component, responsible for the crosslinking of the matrix. The chemical nature of this component depends obviously from the nature of the matrix materials. Again it is possible to use a broad variety of compounds, adjusted to the matrix materials.

For the preferred networks on the basis of the acrylate materials described above as preferred embodiments, suitable crosslinking agents are bifunctional acrylate compounds, which do have a suitable reactivity with the starting material for the matrix, so that they can be reacted together. Such crosslinking agents comprise short chain bifunctional crosslinking agents, such as ethylene diacrylate, low molecular weight bi-or polyfunctional crosslinking agents, oligomeric, linear diacrylate crosslinking agents, such as poly(oxyethylene)diacrylate or poly(propylene)diacrylate and branched oligomers or polymers having acrylate terminals.

As crosslinking agent it is preferred to use a dimethacrylate, in particular poly(propylene glycol)dimethacrylate, having a molecular weight of from 300 to 1,000 g/mol, preferably

about 560 g/mol. The crosslinking agent is used in relatively low concentrations of about 0.3 to 3 mol%, based on the total amount of material to be polymerised to the network, in order to obtain elastic networks. Higher amounts of crosslinking agents do give rise to less elastic materials or even brittle materials.

In accordance with the present invention the introduction of the crosslinking agents in the network occurs by simple mixing of the crosslinking agent with the starting materials for the matrix, followed by polymerisation, preferable in bulk, using suitable initiators.

# 3. Photoreactive Component

As further component the network in accordance with the present invention comprises a photoreactive component (group), which is also responsible for the triggering of a change in shape which is controllable. This photoreactive group is a unit, able to undergo a reversible reaction (with a second photoreactive group) by means of stimulation with suitable light irradiation, preferably UV irradiation, enabling the formation or the dissociation of covalent bond. Preferred photoreactive groups are photoreactive groups able to undergo a reversible photodimerization.

The photoreactive components may, upon suitable funcionalization, either be directly copolymerised using a radical reaction with the above-mentioned monomers or may form the inter-penetrating part of an inter-penetrating network (IPN).

Suitable photoreactive components are photoreactive components which do have the properties mentioned above and which can either be copolymerised into the network (for example in an acrylate containing network by means of introducing the photo active group into an acrylate monomer or acrylate oligomer) or which can be introduced into the already established network by means of swelling procedures or the like, for example in the form of suitably functionalised polymers or oligomers.

As photoreactive component it is preferred to use in the photosensitive network in accordance with the present invention cinnamic acid esters (cinnamates, CA) and cinnamyl acid acyl esters (cinnamylacylates, CAA).

It is known that cinnamic acid and its derivatives dimerize under the influence of UV light of about 300 nm forming a cyclobutane. These dimmers can be cleaved again, when

irradiated with UV light of shorter wavelength of about 240 nm. The absorption maxima may be changed for example by substituents at the phenyl ring, however, the absorption maxima always remain within the UV area. Further derivatives which may show photo dimerization are 1,3-diphenyl-2-propene-1-one (chalkon), cinnamylacyl acid, 4-methylcoumarin, various ortho-substittuted cinnamic acids, cinnamyloxysilanes (silylether of cinnamic alcohol).

The photo dimerisation of cinnamic acid and similar derivatives is a [2+2] cyclo addition of the double bonds giving rise to a cyclobutane derivative. The I- as well as the Z-isomers are able to show this reaction. Upon irradiation the E/Z-isomerization occurs concurrently and in competition with the cyclo addition. In the crystalline state the E/Z-isomerization is however inhibited. Due to the different possibilities of arrangement of the isomers 11 theoretical different stereo isomer products are possible (truxilic acids, truxinic acids). The distance required for the reaction (between double bonds of two cinnamic groups) is about 4 Å. Figure 2 shows the photoreaction of cinnamic acid and or a cinnamylacylate.

The introduction of the photoreactive component into the network in accordance with the present invention occurs, as disclosed above, using two alternatives. On the one hand it is possible to copolymerize the photoreactive group (component) into the matrix of the network, so that the network as such is photoreactive. This simplifies in a certain way the method for preparation, since after one single polymerisation the polymeric photosensitive network can be obtained. Further optional reaction steps relate then only to purification steps or steps for the introduction of further optional components. At the same time this enables to tailor in a simple way the properties of the network in accordance with the present invention, since substantially the polymerization mixture already defines these properties. The second alternative is defined by the fact that not the network as such is provided with the photoreactive group but that the photoreactive group is introduced by means of physical processes into the network matrix. A typical example thereof is the preparation of an IPN using a crosslinked polymer matrix (which can be as described above) together with a suitably functionalised second polymer or oligomer, which provides the photoreactive group and which is able to penetrate the network. An advantage of this alternative is the fact that the preparation of the polymeric network matrix is not subjected to severe limitations, since the sensitive and even sometimes detrimental photoreactive group is not present during the preparation of the network matrix. For example in this case the network matrix can polymerised by means

of UV initiation, which is not possible with the first alternative, since in this case the photoreactive groups of the photoreactive component might interfere with the polymerisation.

In order to prove the photoreaction (cyclo addition) different spectroscopic methods can be employed. By means of UV spectroscopy it is possible to observe the increase of the absorption maximum at 275 nm due to the loss of conjugation of the  $\pi$ -electrons of the benzol ring with the alkene-carbonyl-group.

## 3.1 Copolymerization of the photoreactive component

One possibility for the introduction of the photoreactive component into the network is the coupling of the photoreactive group to the starting material of the network matrix. With respect to the preferred networks on the basis of acrylates it is for example possible to esterify the corresponding cinnamic acid chlorides or cinnamylacyl acid chlorides with hydroxyalkylacrylates or hydroxyalkylmethacrylates. Thereby one obtains photoreactive esters, which can be easily copolymerised with other monomers using a radical reaction. Suitable hydroxyacrylates and hydroxymethacrylates for esterification with cinnamic acid (CA) or cinnamylacyl acid (CAA) are hydroxyethylmethacrylate (HEMA), hydroxyethylacrylate (HEA), hydroxypropylmethacrylate (HPMA), hydroxypropylacrylate (HPA), poly(ethylene glycol)methacrylate (PEGMA). Esterification occurs under conditions which are known to the skilled person from the prior art (method according to Schotten-Baumann, the hydroxyalkylacrylate or the hydroxymethacrylate is dissolved in diethylether and is reacted first with a cinnamic acid chloride and then with triethylamine).

The radical polymerisation of the above named components in order to produce the network preferably occurs in bulk, using a thermolabile initiator. Suitable initiators are peroxides, such as benzoylperoxide, di-tert-butylperoxide, as well as azo compounds, such as azobisisobutyronitrile (AiBN). Preferable an AiBN is used in concentrations of 0.1 to 1 wt%.

The amount of photoreactive component usually is from 1 to 30 mol%, on the basis of the total mixture of 1. to 3., preferable 2 to 20 mol%, more preferably 4 to 12 mol%e.

The copolymerisation gives rise to a random (statistical) distribution of the photoreactive component within the polymeric network, as was demonstrated by means of

spectroscopic determination. This ensures the shape memory properties, since only an even distribution of the photoreactive component within the total network establishes uniform, reproducible and reliable shape memory properties.

# 3.2 Subsequent loading (physical mixing)

A further possibility to provide a network with photoreactive groups is the subsequent physical loading of non-functionalized networks. Loading of a network can be carried out by placing the network in a solution of the photoreactive component in order to swell the network, followed by drying. The photoreactive component penetrates the entire network. If the loaded network is irradiated subsequently with UV light the photoreactive group dimerizes under formation of a reversible network within the permanent network. An inter-penetrating network (IPN) is produced.

The non-functionalized network of this embodiment corresponds preferably to the amorphous network, described above which comprises a matrix component and a crosslinking agent. The above-mentioned preferred embodiments are also preferred with respect to the present embodiment.

In order to provide a reversible network at all it is required that the photoreactive component comprises at least three photo crosslinkable groups per molecule. For the loading of the permanent networks therefore star shaped, branched polymers or oligomers are suitable, or comb like or rod like graft-polymers or graft-oligomers are suitable. Preferred is a star shaped macro monomer comprising a photoreactive group at each chain terminal (branch). The branches consist preferably of alkylene glycol units.

The macro monomer can be produced from star shaped molecules having terminal OH-groups, which are esterified with the above-mentioned photoreactive acid chlorides. Preferably a 4-branched polyethylene glycol having a molecular weight of 400 to 1000 g/mol preferably about 560 g/mol is used, which can be obtained from commercial sources. The molecular weight and the number of branches however, are not essential. However, at least three branches are required. Esterification occurs under the conditions known from literature.

The loading of the network with the photoreactive component occurs by swelling the network in a solution of a photoreactive component. For the preferred network on the

basis of acrylates, loaded with the preferred four branch star shaped photoreactive components, the loading amounts preferably to 5 to 45 wt%, based on the total mixture, more preferred 15 to 35 wt% and in particular 25 to 25 wt%, most preferably about 30 wt%.

Also in the IPNs, which are preferred in accordance with the present invention, the photoreactive component is present within the network in a substantially even distribution, which ensures, as disclosed above, the shape memory properties.

## Photosensitive Networks

#### Simple networks

By means of radical polymerisation of a cinnamic acid ester, as described above, with acrylates or methacrylates, as mentioned above, photoreactive networks can be prepared, which will be demonstrated under reference of two network series. For the first series a cinnamic acid ester was copolymerised with two components (n-butylacrylate and poly(propylene glycol) dimethacrylate), whereas in the second series the copolymerization occurred with three components (additionally hydroxyethylmethylacrylate HEMA). The concentration of the cinnamic acid ester was varied within each series. The content of photoreactive component in the mixtures amounted to between 0.075 and 1.27 mmol/g.

The values for the gel content of the obtained networks, i.e. the content of components which cannot be extracted, are often above 90%, in most cases even above 95%, which corresponds to high turnovers. It therefore can be assumed that a monomer mixture and the corresponding network do show identical composition.

## **IPN**

Networks suitable for physical loading with photosensitive components (macro monomer) preferably consist of n-butylacrylate and poly(propylene glycol)dimethacrylate. The networks are swollen in a solution of the macro monomer in THF and dried subsequently. The degree of loading can be varied by adjusting the concentration of the solution. After drying of the samples a weight increase of for example 30% can be detected, with a solution comprising 10 wt% of macro monomer. This corresponds to a

content of photoreactive groups within the network of 0.32 mmol/g (0.32 mmol/g  $\times$  85% functionalization of the terminal group = 0.27 mmol/g).

The photosensitive networks in accordance with the present invention are characterized by the following properties.

All networks are transparent, which signifies a homogeneous, amorphous morphology. An exception are networks 10A-C, which are slightly opaque.

The networks are characterized by a low glass transition temperature. For the networks of the series without HEMA these temperatures are between –46.1 and –10.9° C (DSC). With HEMA the temperature lies between –11.9 and 16.1° C. As a tendency it can be said that the glass transition temperature increases with increasing contents of photoreactive components.

Above the glass transition temperature the networks are elastic. At room temperature the stress at rupture of the networks without HEMA amounts to 20 to 45%, whereas the networks with HEMA show values of up to 60%. E-modulus increases with increasing amounts of photoreactive comonomer within the network to values of up to 4.2 MPa (networks without HEMA) and 120 MPa (with HEMA) respectively, i.e. elasticity decreases. The inter-penetrating networks can be elongated by 100% without rupture.

By means of the photoreaction the mechanical properties of the materials change. The UV irradiation with  $\lambda_1$  gives rise to a covalent crosslinking of the photoreactive groups and may increase the E-modulus by 18% (Example IPN). With the irradiation with UV light of the other characteristic wavelength  $\lambda_2$  the crosslinkage is dissolved and E-modulus decreases again.

The high elasticity of the networks prior to irradiation enables a simple deformation of the material for programming of a temporary shape. The amorphous networks of the present invention are good SMP materials having high degree of recovery, i.e. the original shape is recovered with a high accuracy even after several cycles of shape change. Expressed in percentage the recovery rates amount usually to more than 90%. Also it can be said that no detrimental loss of mechanical properties occurs.

The shape memory properties of the materials of the present invention are defined in the following.

Shape memory polymers in accordance with the present invention are materials, which are, due to their chemical-physical structure, able to undergo desired shape changes. These materials posses, in addition to their principle permanent shape, a further shape which can be impressed temporarily. Such materials are characterized by two features. They comprise the so-called photoreactive group, which can initiate a light stimulated transfer. Furthermore, these materials comprise covalent crosslinking points, which are responsible for the so-called permanent shape. This permanent shape is characterized by the three-dimensional structure of a network. The crosslinking points present in the network in accordance with the present invention are of covalent nature and are obtained in the preferred embodiments of the present invention by means of polymerisation of acrylate terminal groups or methacrylate terminal groups. The photoreactive groups, which trigger the light induced transfer (shape change), are in the present invention, with respect to the preferred embodiments, the cinnamate groups or the cinnamyl acyl groups.

A photo mechanical cycle comprises the following steps: Elongation of the sample, irradiation with  $\lambda_1$  (fixation, programming), relaxation of the sample, irradiation with  $\lambda_2$  (recovery). By suitable stress strain experiments the shape memory effect can be demonstrated. As example for such stress strain measurements Figure 3 shows the mechanical behaviour of a photosensitive network during three photomechanical cycles.

In Figure 3 a SMP foil was elongated by 10% (from  $\epsilon_l$  to  $\epsilon_m$ ) and irradiated for 90 minutes with  $\lambda_1 > 250$  nm (45 minutes per side). The accuracy with which the temporary shape can be fixed is designated shape fixation  $R_f$ . The clamps were then brought to the initial distance ( $\epsilon_u$ ) and the (bended foil) was irradiated in this stress relieved status for 90 minutes with  $\lambda_2 < 250$  nm. During this irradiation the foil contracts again (shape memory effect), whereby however in the first cycle not exactly the original length is obtained but a small residual elongation remains within the material ( $\epsilon_p$ ) (Equilibration during the first cycles). The accuracy with which the initial shape is recovered is designated recovery ratio  $R_r$ .

 $R_f$  and  $R_r$  can be calculated as follows: (a)  $R_f = \epsilon_u / \epsilon_m \times 100$ 

and (b) 
$$R_r(N) = (\varepsilon_m - \varepsilon_p(N))/\varepsilon_m - \varepsilon_p(N-1) \times 100$$

with N = number of cycle.

Irradiation of the elongated sample can occur either under length regulation (constant sample length) or stress regulated (constant stress). If elongation is kept constant during irradiation, the stress increases. With constant stress it is usually possible to detect a contraction of the sample. Figure 4 shows that the selection of the method has only a small influence on the shape memory properties. The shape memory properties depend from the concentration of the photoreactive group in the network, as can be derived from Figure 4. R<sub>r</sub> and R<sub>f</sub> (the 5<sup>th</sup> cycle was taken as the relevant cycle) reach at a concentration of about 18% a limit.

The photosensitive polymeric networks in accordance with the present invention are characterized in that for the first time shape memory materials have been provided which can be triggered using a stimulus being different from temperature. Thereby the present invention opens a new field of shape memory materials and opens new options for the use of such materials in application areas in which temperature triggered shape memory materials cannot be used. The preferred networks in accordance with the present invention furthermore can be triggered with UV light of a narrow wavelength area, an area posing no problems for most of the applications, since suitable sources for irradiation are present and since furthermore this wavelength area is of no harm for other materials.

The amorphous network in accordance with the present invention may comprise, in addition to the above discussed essential components, further compounds, as long as the function of the network is not affected. Such additional materials may be for example coloring agents, fillers or additional polymer materials, which are used for various purposes. In particular the amorphous network of the present invention to be used for medicinal purposes may comprise medicinal active principles and diagnostic agents such as contrast agents. These can be introduced into the network in a usual manner.

The following examples illustrate further the present invention.

# Preparation of star shaped photosensitive macro monomers

Star shaped polyethylene glycol with 4 branches (molecular weight 2000 g/mol) is dissolved in dry THF and triethylamine. To this solution slowly a solution of cinnamylidene acetyl chloride dissolved in dry THF is added. The reaction mixture is

stirred for 12 hours at room temperature and then for 3 days at 50° C. Precipitated salts are filtered off, the filtrate is concentrated and the obtained product is washed with diethyl ether. H-NMR measurements show a turnover of 85%. UV spectroscopy shows that the macro monomer has an absorption maximum at 310 nm prior to photoreaction and an absorption maximum of 254 nm after photoreaction.

#### Preparation of Networks

10 mmol n-butylacrylate (BA), a cinnamic acid ester (0.1 – 3 mmol) and optionally 2 mmol hydroxyethlmethacrylate (HEMA) are mixed in a glass flask. To this mixture 1 mol% AiNB and 0.3 mol% poly(propylene glycol)dimethacrylate (Mn = 560) are added. The mixture is introduced with a syringe into a mould formed by two silated glass plates, provided therebetween a teflon ring having a thickness of 0,5 mm. Polymerization of the mixture occurs during 18 hours at 80° C.

The shape in which the crosslinking occurs corresponds to the permanent shape. The mixture can also be crosslinked in any other desired shape.

After the polymerisation the network is removed from the mould and covered with 150 ml hexane. Thereafter portions of chloroform are added. This solvent mixture is exchanged over the next 24 hours several times in order to removed low molecular compounds and non-crosslinked components. Finally, the network is cleaned with hexane and dried in a vacuum at 30° overnight. The weight of the extracted sample, relative to the initial weight corresponds to the gel content. The following two tables show the amounts of the monomers used as well as the swelling of the networks in chloroform and the gel content of the networks.

	Monomer content of mixture (mmol)							
Nr.	BA	HEMA- CA	HEA-CA	HPMA- CA	HPA-CA	PEGMA- CA	Q (%)	G (%)
1A	10	0,25		_	_	-	720	97,2
1B	10	0,5	_	_	-	_	550	94,9
1C	10	1	_	<b></b>		-	400	91,6
2A	10		0,1	_	-	_	620	89,0
2B	10	_	0,25	_	_	-	900	96,2
2C	10	_	0,5		_	_	680	95,7
2D	10	_	1	-		-	1320	96,5
2E	10	_	2	_		_	1320	96,5
3A	10		<b>—</b>	0,25	_	_	950	98,7
3B	10	_		0,5	_	-	650	93,4
3C	10	<b>-</b>	<b></b>	1	_		450	98,4
4A	10		_	-	0,25	-	830	95,9
4B	10	-	_	_	0,5		700	98,1
4C	10	_	-		1		550	94,3
5A	10	_	_	-	_	0,25	600	98,2
5B	10	_			_	0,5	550	97,3
5C	10	_			_	1	530	92,4

In a further series an amount of 2 mmol hydroxyethylmethacrylate (HEMA) is added to the binary polymer system, in view of the fact that this comonomer enables a further possibility to control the mechanical properties of the polymer networks.

	Monomer content of mixture (mmol)								
Nr.	BA	HEMA	HEMA- CA	HEA- CA	HPMA- CA	HPA- CA	PEGMA- CA	Q (%)	G (%)
6A	10	2	1	_	_		_	370	95,5
6B	10	2	2	•	_	-	_	350	99,2
6C	10	2	3	_	_	_	_	420	96,8
7A	10	2		1	_	_	_	390	98,5
7B	10	2	-	2	_		<b></b>	300	92,8
7C	10	2	-	3	_	-	-	250	96,4
8A	10	2	-	<b>-</b>	1	<b></b>		240	94,4
8B	10	2	-	-	2	-		310	92,3
8C	10	2		-	3	<b>-</b>	_	310	92,9
9A	10	2	_	-	<b></b>	1	-	450	94,7
9B	10	2	<u>-</u>	-	_	2	-	360	82,7
9C	10	2	_		_	3		380	80,2
10A	10	2					1	1300	83,4
10B	10	2	_	<b>-</b>		•••	2	1450	83,8
10C	10	2	_	<del></del>	-	<b>-</b>	3	2150	84,8

# Preparation of inter-penetrating networks IPN

n-butylacrylate is crosslinked with 3 wt% (0.6 mol%) poly(propylene glycol)dimethacrylate (molecular weight 560 g/mol) in the presence of 0.1 wt% AiBN, as described above. The obtained film is swollen in THF in order to remove unreacted monomer, followed by drying. Thereafter the film is placed into a solution of the star shaped photoreactive macro monomer in THF (10 wt%) in order to swell the network, followed by drying. The loading of the network with the photoreactive component amounts thereafter to about 30 wt%. The polymeric amorphous networks are

furthermore evaluated with respect to their thermal and mechanical properties. The results of these evaluations are summarized in the following table.

Nr.	T <sub>g</sub> (°C)	E-Modulus E at RT (MPa)	Stress at break σ <sub>r</sub> at RT (MPa)	Elongation at break ε <sub>r</sub> at RT (%)
1A	-40,8	0,54	0,24	45
1B	-34,5	1,10	0,21	15
1C	-21,2	1,77	0,24	10
2A	-46,1	0,29	1,00	20
2B	-40,3	0,22	0,15	20
2C	-35,6	0,94	0,18	20
2D	-19,9	1,69	0,42	20
2E	-10,9	4,22	0,12	35
3A	-30,6	0,56	0,15	30
3B	-22,8	0,90	0,31	35
3C	-18,6	2,39	0,44	25
4A	-40,5	0,54	0,18	35
4B	-34,9	1,04	0,24	25
4C	-24,9	1,88	0,35	25
5A	-38,8	0,36	0,08	20
5B	-36,5	1,44	0,10	15
5C	-29,6	1,41	0,22	6
6A	-10,0	1,80	0,34	25
6B	2,2	11,52	2,48	35
6C	16,1	120,69	9,66	15

Nr.	T <sub>g</sub> (°C)	E-Modulus E at RT (MPa)	Stress at break σ <sub>r</sub> at RT (MPa)	Elongation at break ε <sub>r</sub> at RT (%)
7A	-11,4	2,67	0,51	25
7B	7,3	9,71	2,26	30
7C	12,6	39,78	5,28	25
8A	-11,9	2,35	0,83	45
8B	6,6	25,02	5,17	50
8C	10,4	139,9	13,06	15
9A	3,5	1,53	0,53	50
9B	8,5	14,04	4,55	60
9C	13,9	32,42	6,42	50
10A	-27,4 25,7	1,40	0,29	30
10B	-23,6 52,8	2,41	0,67	25
10C	-20,0 56,6	4,74	0,96	25
11 *	-46,5	0,15	> 1,60	> 2000
Nr.	T <sub>g</sub>	E-Modulus E at RT (MPa)	Stress at break  σ <sub>r</sub> at RT  (MPa)	Elongation at break ε <sub>r</sub> at RT (%)
12 ** prior to irradiation	-45,0	0,17	1,0 - 1,5	300 - 500
12 ** after irradiation  * Network of n-bi	-40,0	0,20	0,5 - 0,9	30 — 100

<sup>\*</sup> Network of n-butylacrylate, 0,3 mol% crosslinking agent, without photoreactive component

<sup>\*\*</sup> IPN; 0,6 mol% crosslinking agent, physically loaded with photoreactive component

CA 02512139 2005-06-29

19

The shape memory properties were evaluated in cyclic photo mechanical experiments. For these experiments dumb bell-shape samples, prepared by punching having a

thickness of 0.5 mm and a length of 10 mm and a width of 3 mm were used.

Optionally the material is pretreated prior to the start of the photomechanical cycles by

irradiation with  $\lambda_2$ , in order to cleave cyclobutane rings which may be present in the

material, so that preferably all photoreactive groups are present in their monomeric form.

The elongation of the samples occurs at a rate of 10 mm/min. In order to fix the

temporary shape the samples are elongated by 30% and irradiated at constant stress. In

order to trigger the shape memory effect the samples were irradiated again without

external stress.

Irradiation of the samples occurred by means of a UV lamp. With the use of a filter the

right wavelength area is selected.

Normal networks with CA:  $\lambda_1 = > 250$  nm,  $\lambda_2 = < 250$  nm

IPN with CAA:  $\lambda_1 = > 300 \text{ nm}$ ;  $\lambda_2 = 254 \text{ nm}$ 

The distance to the sample was 10 cm when a lamp with 200 watt was employed (> 300

nm), or 3 cm, when using a 4 watt lamp (254 nm), or 10 cm, using a 40 watt lamp (> and

< 250 nm).

The optimum duration of irradiation depends for example from the distance of the lamp to

the sample and from the light intensity. For normal networks a duration of irradiation of

30 minutes per side is sufficient in order to obtain the maximum values for R<sub>f</sub> and R<sub>r</sub>. In

the case of IPNs a maximum value for R<sub>f</sub> of 21% is obtained after 4 hours of irradiation.

These experiments demonstrate the superior properties of the amorphous networks of

the present invention. The networks are characterized by providing good values for the

total recovery after 5 cycles, which is a characterizing property for SMP properties. This

is shown in the following table. Materials of the prior art show often values of less than

80%.

Due to the simple building blocks of the networks in accordance with the present invention it is furthermore secured that the synthesis is not too complicated. By varying the composition, as demonstrated above, polymeric materials can be tailored which are characterized by desired combinations of properties.

The materials in accordance with the present invention are in particular suitable for use in the medicinal field, as implants, for the target designed stimuli sensitive drug release, as argumentation materials for ligaments or as replacement materials for discs. Furthermore the amorphous networks are above the glass transition temperature transparent, which is of further advantage for certain fields of application.

.

.

#### 21

## **CLAIMS**

- 1. A photosensitive polymeric network, comprising an amorphous network and a photoreactive component.
- 2. The photosensitive polymeric network in accordance with claim 1, wherein the amorphous network comprises a matrix component and a crosslinking component.
- 3. The photosensitive network in accordance with claim 2, wherein the photoreactive component is copolymerised with the amorphous network.
- 10 4. The photosensitive polymeric network in accordance with claim 2, wherein the photoreactive component is not copolymerised with the amorphous network.
  - 5. The photosensitive polymeric network in accordance with claim 4, wherein the polymeric network comprises an amorphous network and a photoreactive component, physically admixed therewith.
  - 6. The photosensitive polymeric network in accordance with any one of claims 2 to 5, wherein the matrix component is an acrylate material and/or a methacrylate material and wherein the crosslinking component is a diacrylate compound and/or a dimethacrylate compound.
- 7. The photosensitive polymeric network in accordance with any one of claims 1 to 6, wherein the photoreactive component is a component able to undergo a reversible photodimerization.
  - 8. The photosensitive polymer network in accordance with any one of claims 1 to 7, wherein the photoreactive component is a cinnamic acid ester compound or a

cinnamyl acid ester compound.

- 9. The photosensitive polymeric network in accordance with any one of claims 1 to 8, wherein the photoreactive compound is copolymerised with the amorphous network in the form of an acrylate compound or wherein the photoreactive component is physically admixed with the amorphous network in the form of a polymer or oligomer having at least three photoreactive groups.
- 10. A process for the preparation of a photosensitive polymeric network in accordance with any one of claims 1 to 9, wherein:
- either a matrix component is polymerised with a crosslinking component
   and a photoreactive component,
  - or a matrix component is polymerised with a crosslinking component followed by admixing a photoreactive component with the amorphous network.
  - 11. Use of a photosensitive polymeric network in accordance with any one of claims 1 to 9 as implants, for the target designed stimuli sensitive drug release, as argumentation materials for ligaments or as replacement materials for discs.
  - 12. Use of a photosensitive polymeric network in accordance with any one of claims 1 to 9, for transportation and for targeted release of drugs or diagnostic agents.
- 13. A photoreactive component, comprising an oligomeric or polymeric scaffold with at least three terminals, wherein each terminal comprises a photoreactive group.
  - 14. The photoreactive component in accordance with to claim 13, wherein the photoreactive group is a group able to undergo a reversible photo dimerization.

- 15. The photoreactive component in accordance with claim 14, wherein the photoreactive group is a cinnamic acid ester compound or a cinnamyl acid ester compound.
- 16. The photoreactive component in accordance with any one of claims 13 to 15, wherein the scaffold is a star shaped scaffold with 3 to 6 chain terminals.
- 17. The photoreactive component in accordance with claim 16, wherein the scaffold is a polyalkylene glycol scaffold.
- 18. The photoreactive component in accordance with claim 17, wherein the scaffold is a polyethylene glycol scaffold.
- 10 19. The use of a photoreactive component in accordance with any one of claims 13 to 18 for the preparation of a polymeric photosensitive network.
  - 20. A process for programming a photosensitive polymeric network comprising an amorphous network and a photoreactive component, wherein the process comprises the following steps:
  - providing a sample of a photosensitive polymeric network comprising a photoreactive component having photoreactive groups that are not present in a photodimerized form,
    - deformation of the sample,
- irradiation of the sample with light having a wavelength initiating
   photodimerization of the photoreactive component, and
  - relaxation of the sample.
  - 21. The process for programming a photosensitive polymeric network in accordance with claim 20, wherein the photoreactive component is a cinnamic acid ester compound or a cinnamyl acid ester compound.

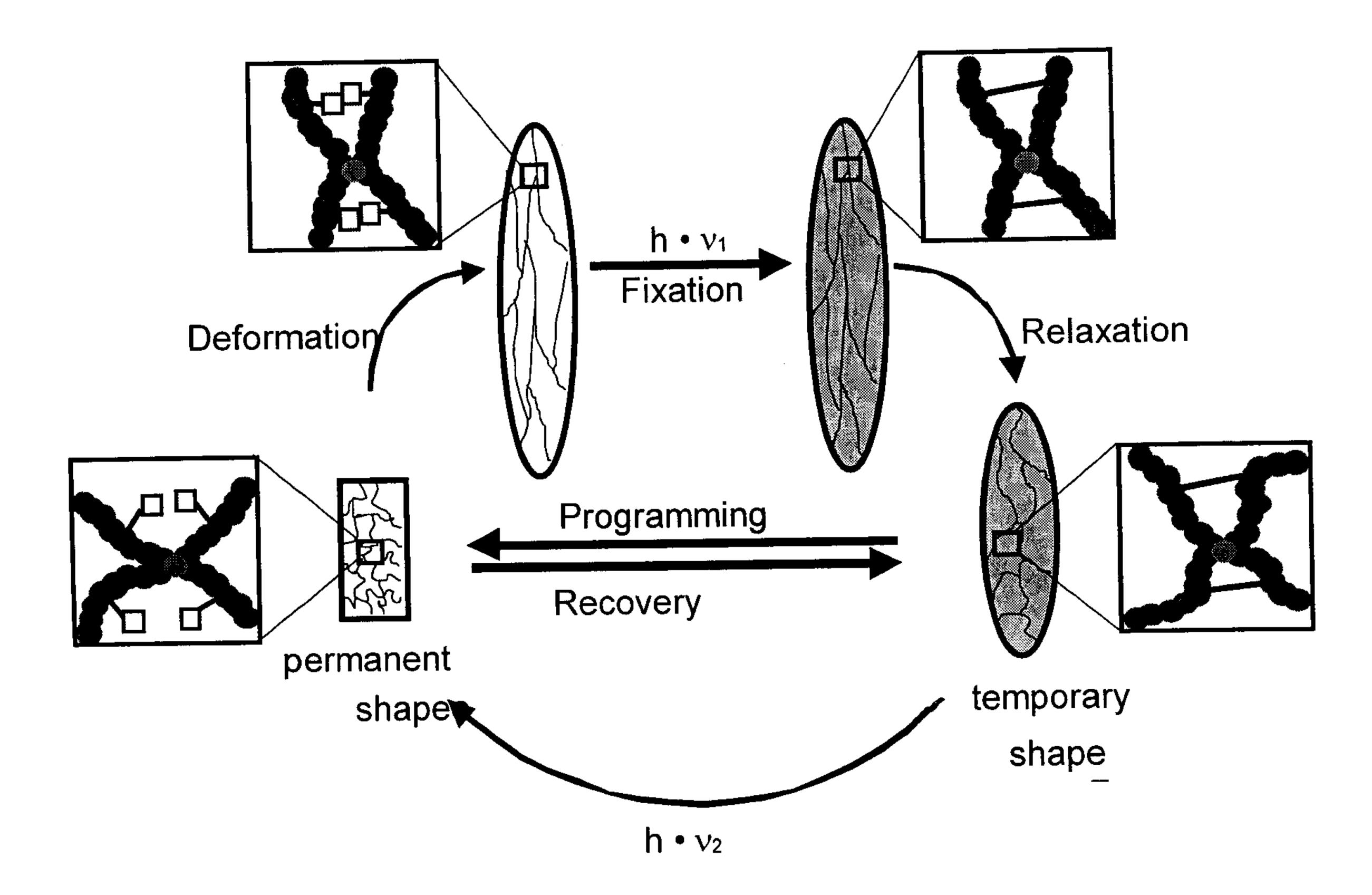
24

22. The process for programming a photosensitive polymeric network in accordance with claim 20 to 21, wherein the light is UV irradiation having a wavelength in the area of > 250 nm.

•

And the second of the second o

Figure 1



Functional principle of a photosensitive network on the macroscopic and molecular level

- □ Photoreactive Group
- Covalent crosslinking point
- Polymer chain

Figure 2

Ph H
$$CO_2H$$
 $h \cdot v$ 
 $Ph$ 
 $H$ 
 $CO_2H$ 
 $H$ 
 $CO_2H$ 

$$R \rightarrow 0$$

$$R \rightarrow 0$$

$$\lambda > 300 \text{ nm}$$

$$\lambda = 254 \text{ nm}$$

$$R' \rightarrow 0$$

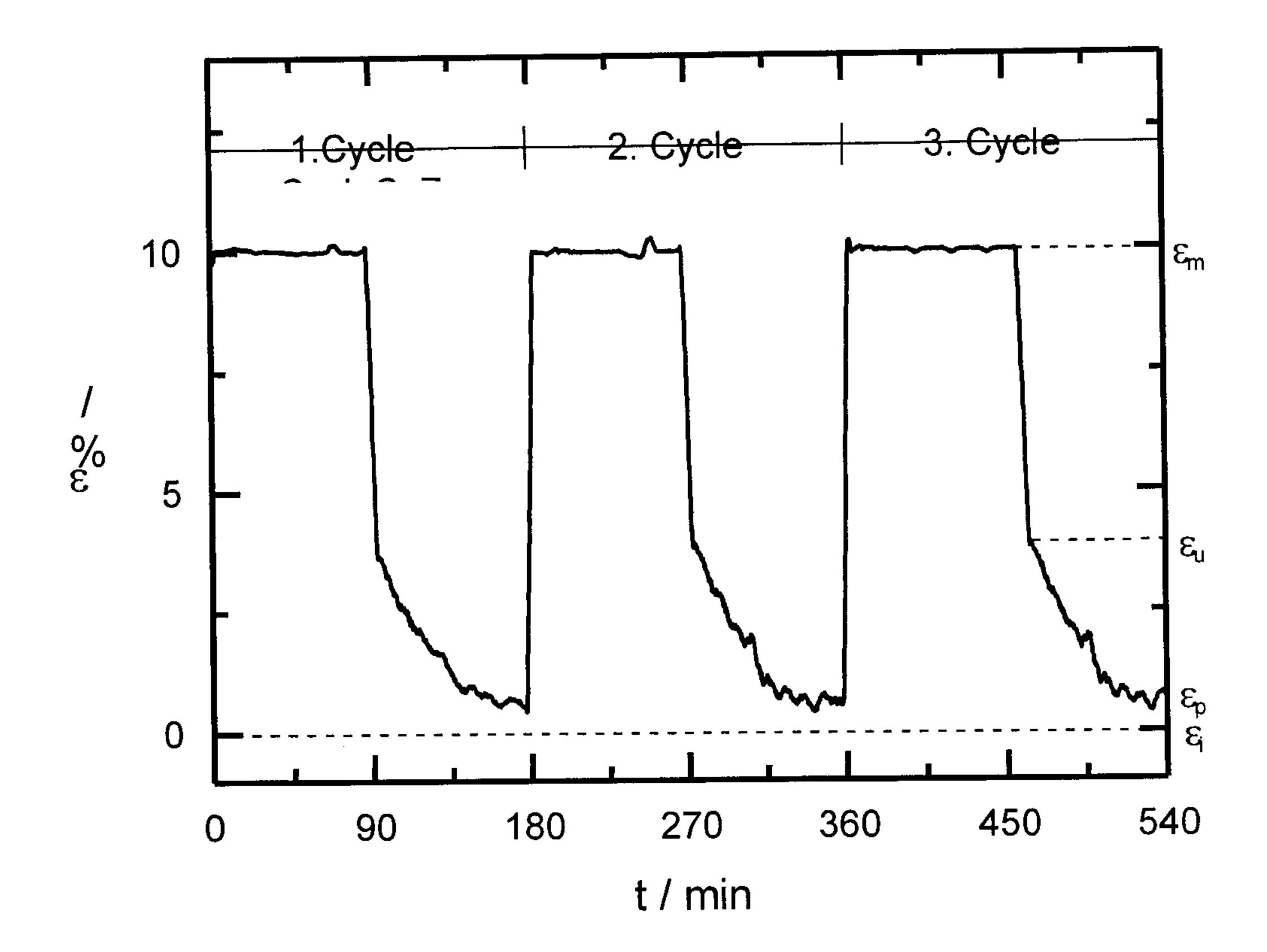
Above: photoreaction of cinnamic acid

Below: Reversible Photo crosslinking of cinnamylacylate with UV light

R: Cinnamylacylate ((C<sub>6</sub>H<sub>5</sub>)-(CH)<sub>4</sub>-CO<sub>2</sub>-); R': Polymer network;

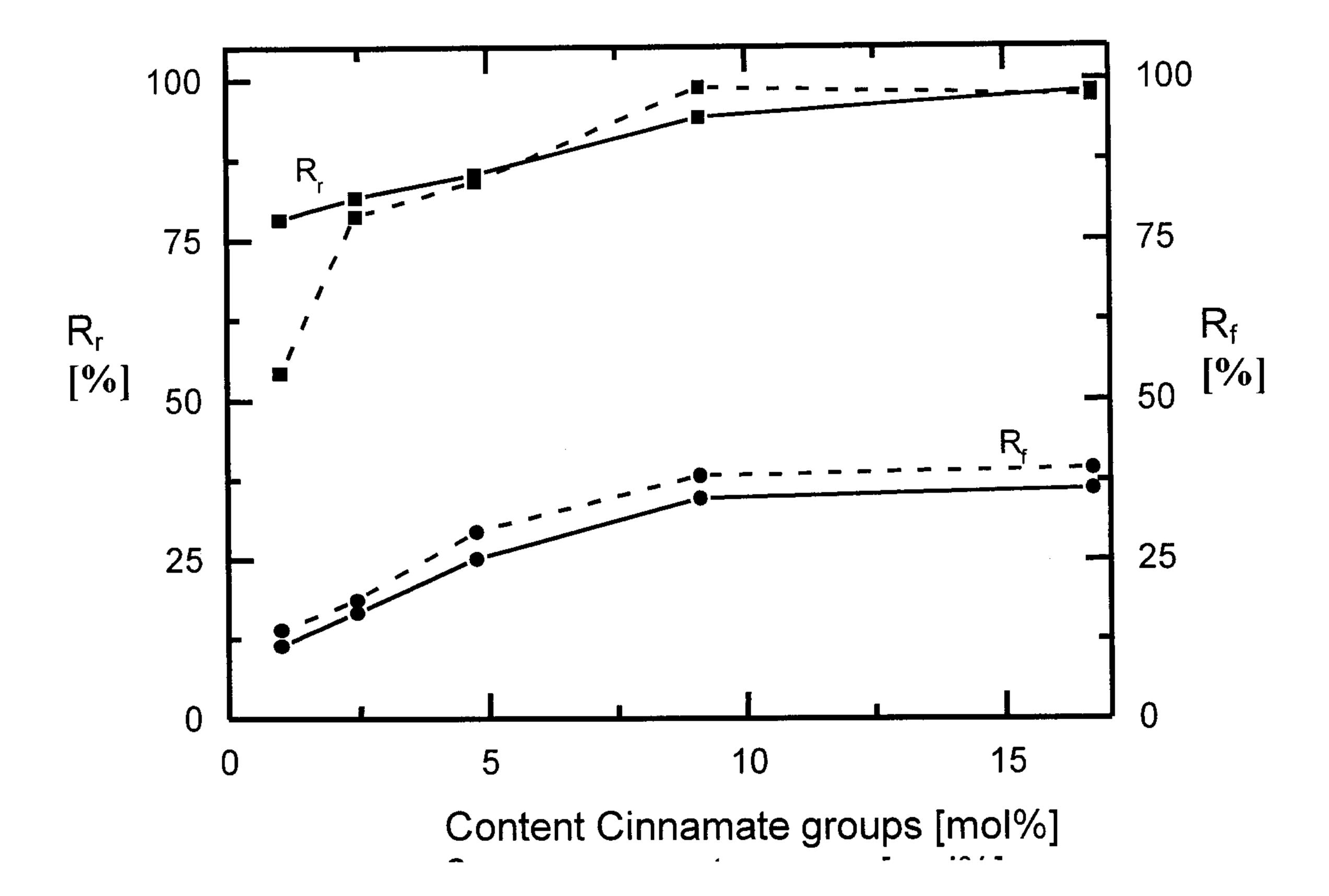
X: b-PEG (star shaped, 4 branches).

Figure 3

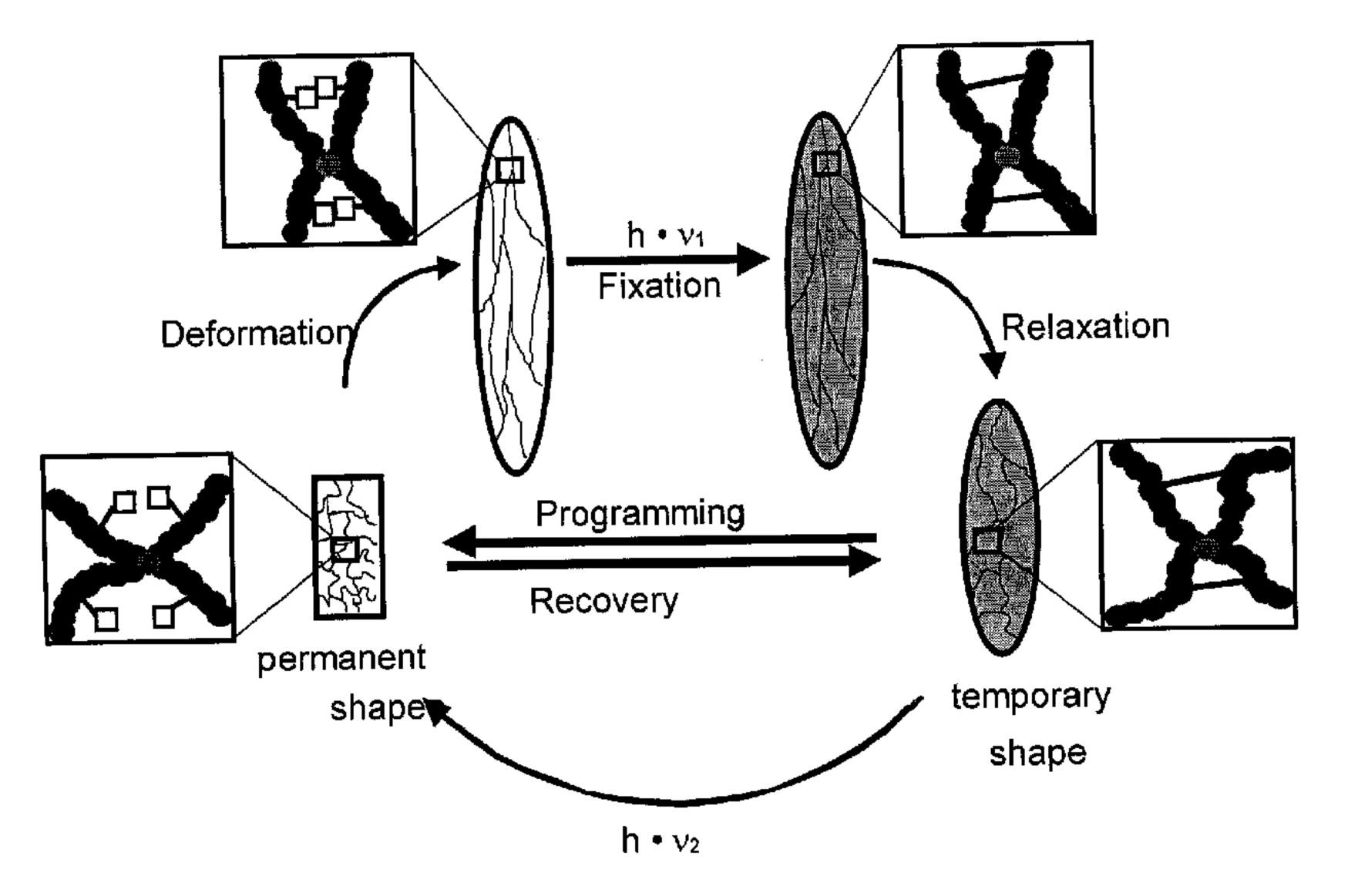


Elongation  $\epsilon$  of a photosensitive network (Sample 7A) during 3 repetitions of a photomechanical cycle (stress regulated)

Figure 4



Shape memory properties of photosensitive SMP samples 2A-2E having increasing contents of photoreactive component. Straight lines do show the results of the stress regulated photo mechanical cycles (- -  $R_r$  and - • -  $R_f$ ), while dashed lines show the results of the length regulated cycles (-- --  $R_r$  and --•--  $R_f$ ). For the calculation the 5<sup>th</sup> cycle was used. Elongation was 10%.



Functional principle of a photosensitive network on the macroscopic and molecular level

- □ Photoreactive Group
- Covalent crosslinking point
- Polymer chain