

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
8 December 2011 (08.12.2011)

(10) International Publication Number
WO 2011/152673 A2

(51) International Patent Classification:
H04J 11/00 (2006.01) **H04W 88/08** (2009.01)
H04B 7/26 (2006.01) **H04W 88/02** (2009.01)

(74) Agents: **KWON, Hyuk-Rok** et al.; 2F. Seokwang Bldg., 1-96, Sinmun-ro 2ga, Jongro-ku, Seoul 110-062 (KR).

(21) International Application Number:
PCT/KR2011/004051

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date:
2 June 2011 (02.06.2011)

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
61/350,887 2 June 2010 (02.06.2010) US
61/421,119 8 December 2010 (08.12.2010) US
13/115,900 25 May 2011 (25.05.2011) US

(71) Applicant (for all designated States except US): **SAMSUNG ELECTRONICS CO., LTD.** [KR/KR]; 416, Maetan-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do 443-742 (KR).

(72) Inventors; and

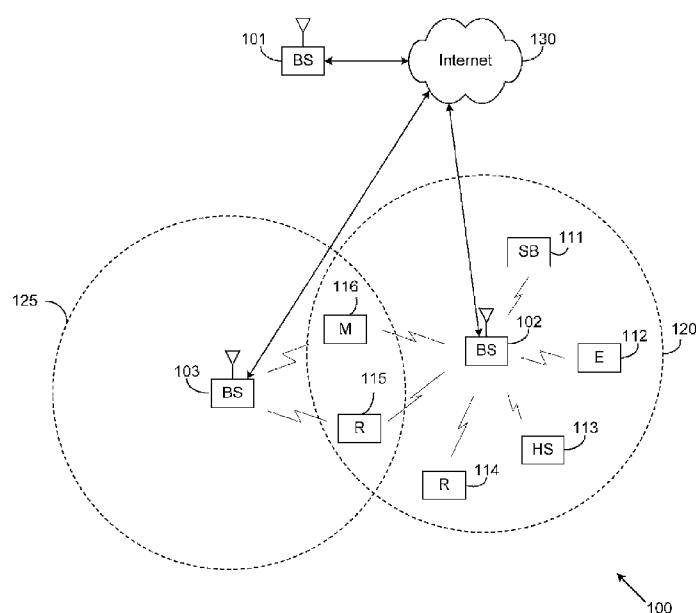
(75) Inventors/Applicants (for US only): **NAM, Young-Han** [KR/US]; 280 W. Renner Rd., Apt. 821, Richardson, Collin County, Texas 75080 (US). **ZHANG, Jianzhong** [CN/US]; 5709 Misted Breeze Drive, Plano, Collin County, Texas 75093 (US). **HAN, Jin-Kyu** [KR/KR]; 325-89, Shingil -3dong, Youngdeungpo-gu, Seoul 150-053 (KR).

Published:

- without international search report and to be republished upon receipt of that report (Rule 48.2(g))

(54) Title: METHOD AND SYSTEM FOR TRANSMITTING CHANNEL STATE INFORMATION IN WIRELESS COMMUNICATION SYSTEMS

[Fig. 1]



(57) Abstract: A base station includes a transmit path circuitry to transmit an uplink grant in a DCI format to a subscriber station. The base station also includes a receive path circuitry to receive only UCI on a PUSCH from a subscriber station when the uplink grant includes a MCS of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a CSI request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of DL CCs reported in a current CSI reporting, N_{CCs} .

Description

Title of Invention: METHOD AND SYSTEM FOR TRANSMITTING CHANNEL STATE INFORMATION IN WIRELESS COMMUNICATION SYSTEMS

Technical Field

[1] The present application relates generally to wireless communications and, more specifically, to a method and system for transmitting channel state information in wireless communication systems.

Background Art

[2] In 3rd Generation Partnership Project Long Term Evolution (3GPP LTE), Orthogonal Frequency Division Multiplexing (OFDM) is adopted as a downlink (DL) transmission scheme.

Disclosure of Invention

Technical Problem

[3] A base station is provided. The base station includes a transmit path circuitry configured to transmit an uplink grant in a downlink control information (DCI) format to a subscriber station. The base station also includes a receive path circuitry configured to receive only uplink control information (UCI) on a physical uplink shared channel (PUSCH) from the subscriber station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH is 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[4] A method of operating a base station is provided. The method includes transmitting an uplink grant in a downlink control information (DCI) format to a subscriber station. The method also includes receiving only uplink control information (UCI) on a physical uplink shared channel (PUSCH) from the subscriber station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less

than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[5] A subscriber station is provided. The subscriber station includes a receive path circuitry configured to receive an uplink grant in a downlink control information (DCI) format from a base station. The subscriber station also includes a transmit path circuitry configured to transmit only uplink control information (UCI) on a physical uplink shared channel (PUSCH) to the base station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[6] A method of operating a subscriber station, the method includes receiving an uplink grant in a downlink control information (DCI) format from a base station. The method also includes transmitting only uplink control information (UCI) on a physical uplink shared channel (PUSCH) to the base station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[7] Before undertaking the DETAILED DESCRIPTION OF THE INVENTION below, it may be advantageous to set forth definitions of certain words and phrases used throughout this patent document: the terms "include" and "comprise," as well as derivatives thereof, mean inclusion without limitation; the term "or," is inclusive, meaning and/or; the phrases "associated with" and "associated therewith," as well as derivatives thereof, may mean to include, be included within, interconnect with, contain, be contained within, connect to or with, couple to or with, be communicable with, cooperate with, interleave, juxtapose, be proximate to, be bound to or with, have, have a property of, or the like; and the term "controller" means any device, system or

part thereof that controls at least one operation, such a device may be implemented in hardware, firmware or software, or some combination of at least two of the same. It should be noted that the functionality associated with any particular controller may be centralized or distributed, whether locally or remotely. Definitions for certain words and phrases are provided throughout this patent document, those of ordinary skill in the art should understand that in many, if not most instances, such definitions apply to prior, as well as future uses of such defined words and phrases.

Brief Description of Drawings

- [8] For a more complete understanding of the present disclosure and its advantages, reference is now made to the following description taken in conjunction with the accompanying drawings, in which like reference numerals represent like parts;
- [9] FIGURE 1 illustrates an exemplary wireless network that transmits messages in the uplink according to the principles of this disclosure;
- [10] FIGURE 2 is a high-level diagram of an orthogonal frequency division multiple access (OFDMA) transmitter according to one embodiment of this disclosure;
- [11] FIGURE 3 is a high-level diagram of an OFDMA receiver according to one embodiment of this disclosure;
- [12] FIGURE 4 illustrates a diagram of a base station in communication with a plurality of mobile stations according to an embodiment of this disclosure;
- [13] FIGURE 5 illustrates a spatial division multiple access (SDMA) scheme according to an embodiment of this disclosure;
- [14] FIGURE 6 illustrates a method of operating a base station according to an embodiment of this disclosure; and
- [15] FIGURE 7 illustrates a method of operating a subscriber station according to an embodiment of this disclosure.

Best Mode for Carrying out the Invention

- [16] FIGURES 1 through 7, discussed below, and the various embodiments used to describe the principles of the present disclosure in this patent document are by way of illustration only and should not be construed in any way to limit the scope of the disclosure. Those skilled in the art will understand that the principles of the present disclosure may be implemented in any suitably arranged wireless communication system.
- [17] With regard to the following description, it is noted that the LTE terms “node B”, “enhanced node B”, and “eNodeB” are other terms for “base station” used below. Also, the LTE term “user equipment” or “UE” is another term for “subscriber station” used below.
- [18] FIGURE 1 illustrates exemplary wireless network 100, which transmits messages

according to the principles of the present disclosure. In the illustrated embodiment, wireless network 100 includes base station (BS) 101, base station (BS) 102, base station (BS) 103, and other similar base stations (not shown).

- [19] Base station 101 is in communication with Internet 130 or a similar IP-based network (not shown).
- [20] Base station 102 provides wireless broadband access to Internet 130 to a first plurality of subscriber stations within coverage area 120 of base station 102. The first plurality of subscriber stations includes subscriber station 111, which may be located in a small business (SB), subscriber station 112, which may be located in an enterprise (E), subscriber station 113, which may be located in a WiFi hotspot (HS), subscriber station 114, which may be located in a first residence (R), subscriber station 115, which may be located in a second residence (R), and subscriber station 116, which may be a mobile device (M), such as a cell phone, a wireless laptop, a wireless PDA, or the like.
- [21] Base station 103 provides wireless broadband access to Internet 130 to a second plurality of subscriber stations within coverage area 125 of base station 103. The second plurality of subscriber stations includes subscriber station 115 and subscriber station 116. In an exemplary embodiment, base stations 101-103 may communicate with each other and with subscriber stations 111-116 using OFDM or OFDMA techniques.
- [22] While only six subscriber stations are depicted in FIGURE 1, it is understood that wireless network 100 may provide wireless broadband access to additional subscriber stations. It is noted that subscriber station 115 and subscriber station 116 are located on the edges of both coverage area 120 and coverage area 125. Subscriber station 115 and subscriber station 116 each communicate with both base station 102 and base station 103 and may be said to be operating in handoff mode, as known to those of skill in the art.
- [23] Subscriber stations 111-116 may access voice, data, video, video conferencing, and/or other broadband services via Internet 130. In an exemplary embodiment, one or more of subscriber stations 111-116 may be associated with an access point (AP) of a WiFi WLAN. Subscriber station 116 may be any of a number of mobile devices, including a wireless-enabled laptop computer, personal data assistant, notebook, handheld device, or other wireless-enabled device. Subscriber stations 114 and 115 may be, for example, a wireless-enabled personal computer (PC), a laptop computer, a gateway, or another device.
- [24] FIGURE 2 is a high-level diagram of an orthogonal frequency division multiple access (OFDMA) transmit path 200. FIGURE 3 is a high-level diagram of an orthogonal frequency division multiple access (OFDMA) receive path 300. In FIGURES

2 and 3, the OFDMA transmit path 200 is implemented in base station (BS) 102 and the OFDMA receive path 300 is implemented in subscriber station (SS) 116 for the purposes of illustration and explanation only. However, it will be understood by those skilled in the art that the OFDMA receive path 300 may also be implemented in BS 102 and the OFDMA transmit path 200 may be implemented in SS 116.

- [25] The transmit path 200 in BS 102 comprises a channel coding and modulation block 205, a serial-to-parallel (S-to-P) block 210, a Size N Inverse Fast Fourier Transform (IFFT) block 215, a parallel-to-serial (P-to-S) block 220, an add cyclic prefix block 225, and an up-converter (UC) 230.
- [26] The receive path 300 in SS 116 comprises a down-converter (DC) 255, a remove cyclic prefix block 260, a serial-to-parallel (S-to-P) block 265, a Size N Fast Fourier Transform (FFT) block 270, a parallel-to-serial (P-to-S) block 275, and a channel decoding and demodulation block 280.
- [27] At least some of the components in FIGURES 2 and 3 may be implemented in software while other components may be implemented by configurable hardware or a mixture of software and configurable hardware. In particular, it is noted that the FFT blocks and the IFFT blocks described in the present disclosure document may be implemented as configurable software algorithms, where the value of Size N may be modified according to the implementation.
- [28] Furthermore, although the present disclosure is directed to an embodiment that implements the Fast Fourier Transform and the Inverse Fast Fourier Transform, this is by way of illustration only and should not be construed to limit the scope of the disclosure. It will be appreciated that in an alternate embodiment of the disclosure, the Fast Fourier Transform functions and the Inverse Fast Fourier Transform functions may easily be replaced by Discrete Fourier Transform (DFT) functions and Inverse Discrete Fourier Transform (IDFT) functions, respectively. It will be appreciated that, for DFT and IDFT functions, the value of the N variable may be any integer number (i.e., 1, 2, 3, 4, etc.), while for FFT and IFFT functions, the value of the N variable may be any integer number that is a power of two (i.e., 1, 2, 4, 8, 16, etc.).
- [29] In BS 102, channel coding and modulation block 205 receives a set of information bits, applies coding (e.g., Turbo coding) and modulates (e.g., QPSK, QAM) the input bits to produce a sequence of frequency-domain modulation symbols. Serial-to-parallel block 210 converts (i.e., de-multiplexes) the serial modulated symbols to parallel data to produce N parallel symbol streams where N is the IFFT/FFT size used in BS 102 and SS 116. Size N IFFT block 215 then performs an IFFT operation on the N parallel symbol streams to produce time-domain output signals. Parallel-to-serial block 220 converts (i.e., multiplexes) the parallel time-domain output symbols from Size N IFFT block 215 to produce a serial time-domain signal. Add cyclic prefix block 225 then

inserts a cyclic prefix to the time-domain signal. Finally, up-converter 230 modulates (i.e., up-converts) the output of add cyclic prefix block 225 to RF frequency for transmission via a wireless channel. The signal may also be filtered at baseband before conversion to RF frequency.

[30] The transmitted RF signal arrives at SS 116 after passing through the wireless channel and reverse operations performed at BS 102. Down-converter 255 down-converts the received signal to baseband frequency and remove cyclic prefix block 260 removes the cyclic prefix to produce the serial time-domain baseband signal. Serial-to-parallel block 265 converts the time-domain baseband signal to parallel time domain signals. Size N FFT block 270 then performs an FFT algorithm to produce N parallel frequency-domain signals. Parallel-to-serial block 275 converts the parallel frequency-domain signals to a sequence of modulated data symbols. Channel decoding and de-modulation block 280 demodulates and then decodes the modulated symbols to recover the original input data stream.

[31] Each of base stations 101-103 may implement a transmit path that is analogous to transmitting in the downlink to subscriber stations 111-116 and may implement a receive path that is analogous to receiving in the uplink from subscriber stations 111-116. Similarly, each one of subscriber stations 111-116 may implement a transmit path corresponding to the architecture for transmitting in the uplink to base stations 101-103 and may implement a receive path corresponding to the architecture for receiving in the downlink from base stations 101-103.

[32] The total bandwidth in an OFDM system is divided into narrowband frequency units called subcarriers. The number of subcarriers is equal to the FFT/IFFT size N used in the system. In general, the number of subcarriers used for data is less than N because some subcarriers at the edge of the frequency spectrum are reserved as guard subcarriers. In general, no information is transmitted on guard subcarriers.

[33] The transmitted signal in each downlink (DL) slot of a resource block is described by a resource grid of $N_{RB}^{DL} N_{sc}^{RB}$ subcarriers and N_{symb}^{DL} OFDM symbols. The quantity N_{RB}^{DL} depends on the downlink transmission bandwidth configured in the cell and fulfills $N_{RB}^{\min,DL} \leq N_{RB}^{DL} \leq N_{RB}^{\max,DL}$, where $N_{RB}^{\min,DL}$ and $N_{RB}^{\max,DL}$ are the smallest and largest downlink bandwidth, respectively, supported. In some embodiments, subcarriers are considered the smallest elements that are capable of being modulated.

[34] In case of multi-antenna transmission, there is one resource grid defined per antenna port.

[35] Each element in the resource grid for antenna port P is called a resource element (RE) and is uniquely identified by the index pair (k,l) in a slot where

$k = 0, \dots, N_{RB}^{DL} N_{sc}^{RB} - 1$ and $l = 0, \dots, N_{symb}^{DL} - 1$ are the indices in the frequency and time domains, respectively. Resource element (k, l) on antenna port P corresponds to the complex value $\alpha_{k, l}^{(P)}$. If there is no risk for confusion or no particular antenna port is specified, the index P may be dropped.

[36] In LTE, DL reference signals (RSs) are used for two purposes. First, UEs measure channel quality information (CQI), rank information (RI) and precoder matrix information (PMI) using DL RSs. Second, each UE demodulates the DL transmission signal intended for itself using the DL RSs. In addition, DL RSs are divided into three categories: cell-specific RSs, multi-media broadcast over a single frequency network (MBSFN) RSs, and UE-specific RSs or dedicated RSs (DRSs).

[37] Cell-specific reference signals (or common reference signals: CRSs) are transmitted in all downlink subframes in a cell supporting non-MBSFN transmission. If a subframe is used for transmission with MBSFN, only the first a few (0, 1 or 2) OFDM symbols in a subframe can be used for transmission of cell-specific reference symbols. The notation R_p is used to denote a resource element used for reference signal transmission on antenna port P.

[38] UE-specific reference signals (or dedicated RS: DRS) are supported for single-antenna-port transmission on the Physical Downlink Shared Channel (PDSCH) and are transmitted on antenna port 5. The UE is informed by higher layers whether the UE-specific reference signal is present and is a valid phase reference for PDSCH demodulation or not. UE-specific reference signals are transmitted only on the resource blocks upon which the corresponding PDSCH is mapped.

[39] The time resources of an LTE system are partitioned into 10 msec frames, and each frame is further partitioned into 10 subframes of one msec duration each. A subframe is divided into two time slots, each of which spans 0.5 msec. A subframe is partitioned in the frequency domain into multiple resource blocks (RBs), where an RB is composed of 12 subcarriers.

[40] FIGURE 4 illustrates a diagram 400 of a base station 420 in communication with a plurality of mobile stations 402, 404, 406, and 408 according to an embodiment of this disclosure.

[41] As shown in FIGURE 4, base station 420 simultaneously communicates with multiple of mobile stations through the use of multiple antenna beams, each antenna beam is formed toward its intended mobile station at the same time and same frequency. Base station 420 and mobile stations 402, 404, 406, and 408 are employing multiple antennas for transmission and reception of radio wave signals. The radio wave signals can be Orthogonal Frequency Division Multiplexing (OFDM) signals.

[42] In this embodiment, base station 420 performs simultaneous beamforming through a

plurality of transmitters to each mobile station. For instance, base station 420 transmits data to mobile station 402 through a beamformed signal 410, data to mobile station 404 through a beamformed signal 412, data to mobile station 406 through a beamformed signal 414, and data to mobile station 408 through a beamformed signal 416. In some embodiments of this disclosure, base station 420 is capable of simultaneously beamforming to the mobile stations 402, 404, 406, and 408. In some embodiments, each beamformed signal is formed toward its intended mobile station at the same time and the same frequency. For the purpose of clarity, the communication from a base station to a mobile station may also be referred to as downlink communication, and the communication from a mobile station to a base station may be referred to as uplink communication.

[43] Base station 420 and mobile stations 402, 404, 406, and 408 employ multiple antennas for transmitting and receiving wireless signals. It is understood that the wireless signals may be radio wave signals, and the wireless signals may use any transmission scheme known to one skilled in the art, including an Orthogonal Frequency Division Multiplexing (OFDM) transmission scheme.

[44] Mobile stations 402, 404, 406, and 408 may be any device that is capable receiving wireless signals. Examples of mobile stations 402, 404, 406, and 408 include, but are not limited to, a personal data assistant (PDA), laptop, mobile telephone, handheld device, or any other device that is capable of receiving the beamformed transmissions.

[45] The use of multiple transmit antennas and multiple receive antennas at both a base station and a single mobile station to improve the capacity and reliability of a wireless communication channel is known as a Single User Multiple Input Multiple Output (SU-MIMO) system. A MIMO system promises linear increase in capacity with K where K is the minimum of number of transmit (M) and receive antennas (N) (i.e., $K = \min(M, N)$). A MIMO system can be implemented with the schemes of spatial multiplexing, a transmit/receive beamforming, or transmit/receive diversity.

[46] As an extension of SU-MIMO, multi-user MIMO (MU-MIMO) is a communication scenario where a base station with multiple transmit antennas can simultaneously communicate with multiple mobile stations through the use of multi-user beamforming schemes such as Spatial Division Multiple Access (SDMA) to improve the capacity and reliability of a wireless communication channel.

[47] FIGURE 5 illustrates a SDMA scheme according to an embodiment of this disclosure.

[48] As shown in FIGURE 5, base station 420 is equipped with 8 transmit antennas while mobile stations 402, 404, 406, and 408 are each equipped two antennas. In this example, base station 420 has eight transmit antennas. Each of the transmit antennas transmits one of beamformed signals 410, 502, 504, 412, 414, 506, 416, and 508. In

this example, mobile station 402 receives beamformed transmissions 410 and 502, mobile station 404 receives beamformed transmissions 504 and 412, mobile station 406 receives beamformed transmissions 506 and 414, and mobile station 408 receives beamformed transmissions 508 and 416.

[49] Since base station 420 has eight transmit antenna beams (each antenna beams one stream of data streams), eight streams of beamformed data can be formed at base station 420. Each mobile station can potentially receive up to 2 streams (beams) of data in this example. If each of the mobile stations 402, 404, 406, and 408 was limited to receive only a single stream (beam) of data, instead of multiple streams simultaneously, this would be multi-user beamforming (i.e., MU-BF).

[50] In 3GPP LTE Release 8/9, a UE may transmit up to one CW in a subframe. According to R1-106540, “Way Forward On Aperiodic CSI Triggering,” November 2010, which is hereby incorporated by reference into the present application as if fully set forth herein, in order to determine the modulation order, redundancy version and transport block (TB) size for the physical uplink shared channel (PUSCH), the UE first:

- [51] - reads the “modulation and coding scheme and redundancy version” field (I_{MCS}),
- [52] - checks the “CQI request” bit,
- [53] - computes the total number of allocated physical resource blocks (PRBs) (N_{PRB}) based on the procedure defined in Section 8.1 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010, which is hereby incorporated by reference into the present application as if fully set forth herein, and
- [54] - computes the number of coded symbols for the control information.

[55] For $0 \leq I_{MCS} \leq 28$, the modulation order (Q_m) is determined as follows:

[56] - if the UE is capable of supporting 64QAM in the PUSCH and has not been configured by higher layers to transmit only QPSK and 16QAM, the modulation order is given by Q_m' in Table 8.6.1-1;

[57] - if the UE is not capable of supporting 64QAM in the PUSCH or has been configured by higher layers to transmit only QPSK and 16QAM, Q_m' is first read from Table 8.6.1-1, and the modulation order is set to $Q_m = \min(4, Q_m')$; and

[58] - if the parameter ttiBundling provided by higher layers is set to TRUE, then the resource allocation size is restricted to $N_{PRB} \leq 3$ and the modulation order is set to $Q_m = 2$.

[59] For $29 \leq I_{MCS} \leq 31$, if $I_{MCS} = 29$, the “CQI request” bit field in an uplink DCI format is set to trigger a report and $N_{PRB} \leq 4$, the modulation order is set to $Q_m = 2$. Otherwise, the modulation order is determined from the downlink control information (DCI)

transported in the latest physical downlink control channel (PDCCH) with DCI format 0 for the same transport block using $0 \leq I_{MCS} \leq 28$. If there is no PDCCH with DCI format 0 for the same transport block using $0 \leq I_{MCS} \leq 28$, the modulation order is determined from:

- [60] - the most recent semi-persistent scheduling assignment PDCCH when the initial PUSCH for the same transport block is semi-persistently scheduled, or
- [61] - the random access response grant for the same transport block when the PUSCH is initiated by the random access response grant.
- [62] The UE uses I_{MCS} and Table 8.6.1-1 to determine the redundancy version (rv_{idx}) to use in the physical uplink shared channel.
- [63] In Release 8/9, when the information elements (IEs) in DCI format 0 satisfy the following sets of conditions, there is no transport block (TB) for the uplink shared channel (UL-SCH) and only the uplink control information (UCI) will be transmitted by the UE where the UCI coded bits are modulated by QPSK ($Q_m=2$):
 - [64] $I_{MCS} = 29$,
 - [65] CQI request = 1, and
 - [66] $N_{PRB} \leq 4$.
- [67] In Release 10 LTE-Advanced, UL MIMO SM is introduced and a new DCI format, DCI format 4, was defined in R1-106556, Change Request for 3GPP Technical Specification No. 36.212, December 2010, which is hereby incorporated by reference into the present application as if fully set forth herein.
- [68] DCI format 4 is used for the scheduling of the PUSCH in one UL cell with multi-antenna port transmission mode.
- [69] The DL cell from which the PUSCH assignments for a given UL cell originate is configured by higher layers.
- [70] The following information is transmitted by the DCI format 4:
 - Carrier indicator - 0 or 3 bits. This field is only present if configured by higher layers for the recipient UE. The mapping from a carrier indicator value to a cell is UE specific and configured by higher layers.
 - Resource block assignment and hopping resource allocation -
- [71] $\left\lceil \log_2 \left(\left(\left\lceil \frac{N_{RB}^{UL} / P + 1}{4} \right\rceil \right) \right) \right\rceil$ bits, where the value of P depends on the number of DL resource blocks as indicated in section 7.1.6 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
- [72] For single-cluster allocation, the $\left(\left[\log_2 (N_{RB}^{UL} (N_{RB}^{UL} + 1) / 2) \right] \right)$ least sig-

nificant bits (LSBs) provide the resource allocation in the UL subframe as defined in section 8.1 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.

- [74] For multi-cluster allocation, all the bits in the field provide the resource allocation in the UL subframe as defined in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
- [75] - TPC command for scheduled PUSCH.
- [76] - Cyclic shift for demodulation reference signals (DM RS) and orthogonal cover code (OCC) index ? 3 bits as defined in section 5.5.2.1.1 of R1-106556, Change Request for 3GPP Technical Specification No. 36.212, December 2010, which is hereby incorporated by reference into the present application as if fully set forth herein.
- [77] - UL index - 2 bits as defined in sections 5.1.1.1, 7.2.1, 8 and 8.4 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010. This field is present only for Time-division duplex (TDD) operation with uplink-downlink configuration 0.
- [78] - Downlink Assignment Index (DAI) - 2 bits as defined in section 7.3 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010. This field is present only for TDD operation with uplink-downlink configurations 1-6.
- [79] - CQI request - 1 or 2 bits as defined in section 7.2.1 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010. The 2-bit field only applies to UEs that are configured with more than one DL cell.
- [80] - SRS request - 2 bits as defined in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
- [81] - Multi-cluster flag - 1 bit as defined in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
- [82] In addition, for transport block 1:
 - [83] - Modulation and coding scheme - 5 bits as defined in section 7.1.7 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
 - [84] - New data indicator - 1 bit.
- [85] In addition, for transport block 2:
 - [86] - Modulation and coding scheme ? 5 bits as defined in section 7.1.7 of R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010.
 - [87] - New data indicator - 1 bit.
- [88] Precoding information and number of layers: number of bits as specified in Table 5.3.3.1.8-1. Bit field as shown in Table 5.3.3.1.8-2 and Table 5.3.3.1.8-3. If both transport blocks are enabled, transport block 1 is mapped to codeword 0, and transport block 2 is mapped to codeword 1. In the case in which one of the transport blocks is disabled, the transport block to codeword mapping is specified according to Table

5.3.3.1.5-2.

- [89] If the number of information bits in format 4 belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit is appended to format 4.
- [90] In DCI format 4, a transport block is disabled if either the combination of $I_{MCS} = 0$ and $N_{PRB} > 1$ or the combination of $I_{MCS} = 28$ and $N_{PRB} = 1$ is signaled. Otherwise, the transport block is enabled.
- [91] In embodiments of this disclosure, with regard to aperiodic channel state information (CSI) request signaling:
 - [92] if carrier aggregation is configured, the aperiodic CSI request field contains 2 bits (1 bit is added to the DCI format in the UE-specific search space):
 - “00” state indicates no CSI is triggered,
 - “01” state indicates trigger for the downlink component carrier (DL CC) that is SIB2-linked to the uplink component carrier (UL CC) transmitting the CSI report,
 - “10” meaning is configured by radio resource control (RRC), and
 - “11” meaning is configured by RRC.
 - [97] For the common search space:
 - “0” state indicates no CSI is triggered, and
 - “1” meaning is configured by RRC.
 - [100] The RRC can configure any combination of up to 5 component carriers.
- [101] In embodiments of this disclosure, UCI-only reporting (or CQI-only reporting) on a PUSCH implies that a UE maps only UCI on the PUSCH. At the same time, the UE does not map any data transport block on the PUSCH. The UCI includes aperiodic CSI, rank information (RI), and Hybrid Automatic Repeat Request acknowledgement (HARQ-ACK) signaling.
- [102] In the below embodiments, the term TB disabling/enabling is used. In R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010, for example, TB disabling/enabled is defined as follows: “In DCI format 4, a transport block is disabled if either the combination of $I_{MCS} = 0$ and $N_{PRB} > 1$ or the combination of $I_{MCS} = 28$ and $N_{PRB} = 1$ is signaled. Otherwise, the transport block is enabled.” In the case of UCI-only transmission, even if one TB is enabled on the PUSCH, no TBs are transmitted on the PUSCH and only UCI is transmitted.
- [103] In embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:
 - [104] - the transmission rank is equal to 1;
 - [105] - the modulation and coding scheme (MCS) of an enabled TB is 29, or the RV (redundancy version) is one;
 - [106] - the CSI request field is non-zero. If carrier aggregation is configured, this implies

that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and

- [107] - the N_{PRB} , i.e., the number of physical resource blocks (PRBs) allocated for the UE, is less than or equal to 4.
- [108] In Release 8 or 9, the CSI coded bits in a UCI only reporting is modulated only with a QPSK modulation scheme.
- [109] In particular embodiments, the UE determines that the transmission rank is one according to the following:
- [110] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and
- [111] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled. At the same time, the precoder information field is one of 0, 1, 2, ..., 23.
- [112] In the case of carrier aggregation, the number of CSI bits to be reported in the PUSCH is determined by the CSI request bit field. As an example, it is assumed that the UE is configured with 5 component carriers (CCs), or 5 serving cells, indexed by cell 0 (or the primary cell), cell 1, ..., cell 4. It is further assumed that the states in the 2-bit CSI request field indicate CSI reporting methods as in Table 1 below.

[113] Table 1

[Table 1]

Table 1

Aperiodic CSI request field	CSI reporting method
00	No CSI is triggered.
01	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
10	CSI reporting is triggered for DL CC 0 and DL CC 1 (or cells 0 and 1).
11	CSI reporting is triggered for all configured DL CCs (or cells), i.e., cell 0, 1, 2, 3, 4.

[114] It is noted that the CSI reporting methods indicated by aperiodic CSI request field values 10 and 11 are configured by an RRC signaling. In this embodiment, when the aperiodic CSI request field value is 11, the UE has to report for all 5 DL CCs. As the number of CSI bits to be sent in the UCI-only reporting can be up to five times larger with carrier aggregation than without carrier aggregation, a method of ensuring a reliable transmission of the CSI is provided in this disclosure.

[115] One method of ensuring the reliability of the CSI transmission when carrier aggregation is configured is to use a higher modulation for the CSI. When 16QAM is used for modulating the CSI bits, the coding rate for the CSI will be reduced to half of

the coding rate when QPSK is used for modulating CSI bits. For indicating a modulation format of the CSI in the CQI only reporting in DCI format 4, a few embodiments are provided below. In the embodiments below, it is assumed that only one TB is disabled. A disabled TB index is denoted by i , where i = either 1 or 2. The enabled TB index becomes $3-i$. For example, if TB 1 is disabled, then TB (3-1), i.e. TB 2, is enabled.

[116] In some embodiments, the new data indicator (NDI) bit of a disabled TB is used to indicate a modulation format of CSI. For example,

[117]

NDI of the disabled TB (NDI _i)	Modulation order of the CSI Q_m in the UCI-only reporting
0	2 (QPSK)
1	4 (16QAM)

[118] In DCI format 4, when only one TB is enabled, the NDI bit of a disabled TB does not convey any information. Hence, the NDI bit of a disabled TB can be used for other purposes, like indicating a modulation format of CSI.

[119] In some embodiments, MCS 31 (or RV 3) is used to indicate 16QAM. For example, the MCS of the CSI in the UCI-only reporting is determined as follows:

[120]

MCS field of the enabled TB (MCS _{3-i})	Modulation order of the CSI Q_m in the UCI only reporting
29	2 (QPSK)
31	4 (16QAM)

[121] In a physical hybrid ARQ indicator channel (PHICH) triggered retransmission, RVs are used in the order of RV0, RV2 (MCS 30), RV3 (MCS 31), RV1 (MCS 29) in HARQ transmission rounds. This implies that RV1 will be used the least and RV3 will be used the second least. Therefore, using MCS 31 (or RV3) to indicate a UCI only request transmission is subject to fewer scheduling restrictions than the other MCS.

[122] In some embodiments, the modulation format of the CSI is determined by at least one of the payload size and the number of DL CCs reported by the current CSI reporting.

[123] The coding rate of the CSI is dependent on the number of CSI information bits to be transmitted in at most 4 RBs (as determined by the CSI-only reporting condition) and a modulation format. When the number of CSI bits is small enough, even QPSK can provide a sufficiently low coding rate to ensure a reliable transmission. On the other hand, when the number of CSI bits is large, 16QAM will be needed to keep the coding rate low.

[124] Therefore, in some embodiments, a threshold number, T_{bits} , of total CSI information bits is used to determine the modulation format for the CSI in the UCI-only reporting. If the total CSI information bits to be transmitted in the current UCI-only reporting is

greater than or equal to T_{bits} , then 16QAM is used. Otherwise, QPSK is used.

[125]

Total number of CSI information bits to be transmitted on the PUSCH, N_{total}	Modulation order of the CSI Q_m in the UCI only reporting
If $N_{\text{total}} < T_{\text{bits}}$	2 (QPSK)
If $N_{\text{total}} \geq T_{\text{bits}}$	4 (16QAM)

[126]

Note that the total number of CSI bits in the UCI only transmission is determined by both the number of DL CCs reported by the CSI report and the CSI feedback modes in the DL CCs currently being reported.

[127]

For example, it is assumed that the 2-bit CSI reporting field is RRC configured so that the states in the 2-bit CSI reporting field indicate the CSI reporting methods as in Table 1. It is further assumed that the PUSCH feedback modes are configured for DL CCs 0 and 1 so that the number of CSI information bits to feedback for the DL CCs 0 and 1 are $N_0 = 48$ and $N_1 = 72$, respectively. If the 2-bit CSI reporting field has a state “10”, then the total number of CSI information bits to transmit on the PUSCH is $N_{\text{total}} = 48+72 = 120$. If it is further assumed that the threshold number of bits $T_{\text{bits}} = 100$, then the modulation format for CSI will be 16QAM according to this embodiment. If an UL grant triggers an aperiodic CSI reporting on the PUSCH in the UL CC 0 which is SIB-linked with the DL CC 0 and the 2-bit CSI reporting field in the UL grant has a state “01”, then the UE would transmit a CSI report for the DL CC 0. Furthermore, as the number of CSI information bits is $N_0 = 48 < T_{\text{bits}} = 100$ for the DL CC 0, the UE would use QPSK modulation for the CSI.

[128]

In some embodiments, a threshold number, e.g. T_{CCs} , of the number of DL CCs reported by the current UCI only reporting is used to determine the modulation format for the CSI in the UCI-only reporting. If the number of DL CCs reported in the current UCI-only reporting is greater than or equal to T_{CCs} , then 16QAM is used. Otherwise, QPSK is used.

[129]

Number of DL CCs reported on the PUSCH, N_{CCs}	Modulation order of the CSI Q_m in the UCI only reporting
If $N_{\text{CCs}} < T_{\text{CCs}}$	2 (QPSK)
If $N_{\text{CCs}} \geq T_{\text{CCs}}$	4 (16QAM)

[130]

For example, it is assumed that the 2-bit CSI reporting field is RRC configured so that the states in the 2-bit CSI reporting field indicates CSI reporting methods as in Table 1. It is further assumed that $T_{\text{CCs}} = 3$. If the 2-bit CSI reporting field has a state “01” (which indicates that the UE should report for 2 DL CCs, i.e., cells 0 and 1), then the modulation format for the CSI will be QPSK according to this embodiment. If the 2-bit CSI reporting field has a state “11” (which indicates that the UE should report for 5 DL CCs), then the modulation format for the CSI will be 16QAM according to this embodiment. If an UL grant triggers an aperiodic CSI reporting on the PUSCH in the

UL CC 0 which is SIB-linked with the DL CC 0 and the 2-bit CSI reporting field in the UL grant has a state “01”, then the UE would transmit a CSI report for the DL CC 0. Furthermore, as the number of DL CCs reported in the current UCI-only reporting ($N_{CCs} = 1$) is less than $T_{CCs} = 3$, the UE would use QPSK modulation for the CSI.

- [131] In some embodiments, the modulation format of the CSI is RRC configured.
- [132] In some embodiments, the modulation format of the CSI is determined by a disabled TB index.
- [133] For example, if the TB1 is disabled, then QPSK is used. Otherwise, 16QAM is used.

Disabled TB index	Modulation order of the CSI Q_m in the UCI only reporting
1	2 (QPSK)
2	4 (16QAM)

- [134] [135] In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:
 - [136] - the transmission rank is equal to 1;
 - [137] - the MCS of an enabled TB is 29, or the RV (redundancy version) is one;
 - [138] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and
- [139] - the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to the threshold number of PRBs, i.e., T_{PRB} .
- [140] In some embodiments, the UE determines that the transmission rank is one according to the following:
 - [141] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and
 - [142] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on PUSCH, the UE determines that the transmission rank is one if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.
- [143] As the threshold number of PRBs, i.e., T_{PRB} , indicating the UCI-only reporting is increased, the CSI coding rate can be decreased, which will be useful to ensure a reliable transmission of the CSI in the case of carrier aggregation. When $T_{PRB} = 8$, the maximum coding rate for the CSI will be reduced to half of the maximum coding rate when $T_{PRB} = 4$. For indicating the threshold number of PRBs, i.e., the T_{PRB} , in the CQI only reporting in DCI format 4, this disclosure provides the embodiments below. In the embodiments below, assuming that only one TB is disabled, a disabled TB index is denoted by i , where $i =$ either 1 or 2. The enabled TB index becomes 3- i . For example,

if TB 1 is disabled, TB (3-1), i.e., TB 2 is enabled.

[144] In some embodiments, the NDI bit of a disabled TB is used to indicate the threshold number of PRBs, i.e., the T_{PRB} . For example:

[145]

NDI of the disabled TB (NDI_j)	Threshold number of PRBs, T_{PRB}
0	4
1	8

[146] In some embodiments, MCS 31 (or RV 3) is used to indicate $T_{PRB} = 8$. For example, the threshold number of PRBs, T_{PRB} , in the UCI-only reporting is determined as follows:

[147]

MCS field of the enabled TB (MCS_{3-i})	Threshold number of PRBs, T_{PRB}
29	4
31	8

[148] In some embodiments, the threshold number of PRBs, T_{PRB} , is determined by at least one of the payload size and the number of DL CCs reported in the current CSI reporting.

[149] In some embodiments, a threshold number, e.g. T_{bits} , of total CSI information bits is used to determine the threshold number of PRBs, T_{PRB} , in the UCI-only reporting. If the total CSI information bits to be transmitted in the current UCI-only reporting is less than T_{bits} , then $T_{PRB} = 4$. Otherwise, T_{PRB} is greater than 4. In one example, if the total CSI information bits to be transmitted in the current UCI-only reporting is less than T_{bits} , then $T_{PRB} = 4$. Otherwise, $T_{PRB} = 8$.

[150]

Total number of CSI information bits to be transmitted on the PUSCH, N_{total}	Threshold number of PRBs, T_{PRB}
If $N_{total} < T_{bits}$	4
If $N_{total} \geq T_{bits}$	8

[151] In another example, if the total CSI information bits to be transmitted in the current UCI-only reporting is less than T_{bits} , then $T_{PRB} = 4$. Otherwise, $T_{PRB} = 20$.

[152]

Total number of CSI information bits to be transmitted on the PUSCH, N_{total}	Threshold number of PRBs, T_{PRB}
If $N_{total} < T_{bits}$	4
If $N_{total} \geq T_{bits}$	20

[153] In some embodiments, a threshold number, e.g., T_{CCs} , of the number of DL CCs reported in the current UCI only reporting is used to determine the threshold number of PRBs, T_{PRB} , in the UCI-only reporting. If the number of DL CCs reported in the current UCI-only reporting is less than T_{CCs} , then $T_{PRB} = 4$. Otherwise, T_{PRB} is greater than 4. In

one example, if the number of DL CCs reported in the current UCI-only reporting is less than T_{CCs} , then $T_{PRB} = 4$. Otherwise, $T_{PRB} = 8$. In particular embodiments, T_{CCs} is 2.

[154]

Number of DL CCs reported on the PUSCH, N_{CCs}	Threshold number of PRBs, T_{PRB}
If $N_{CCs} < T_{CCs}$	4
If $N_{CCs} \geq T_{CCs}$	8

[155]

In another example, if the number of DL CCs reported in the current UCI-only reporting is less than T_{CCs} , then $T_{PRB} = 4$. Otherwise, $T_{PRB} = 20$. In particular embodiments, T_{CCs} is 2.

[156]

Number of DL CCs reported on the PUSCH, N_{CCs}	Threshold number of PRBs, T_{PRB}
If $N_{CCs} < T_{CCs}$	4
If $N_{CCs} \geq T_{CCs}$	20

[157]

In some embodiments, the threshold number of PRBs, T_{PRB} , is RRC configured.

[158]

In some embodiments, the threshold number of PRBs, T_{PRB} , is determined by a disabled TB index as indicated by the table below.

[159]

For example, if TB1 is disabled, then QPSK is used. Otherwise, 16QAM is used.

[160]

Disabled TB index	Threshold number of PRBs, T_{PRB}
1	4
2	8

[161]

In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[162]

- the transmission rank is equal to 1;

[163]

- the MCS of an enabled TB is 29, or RV(redundancy version) is one;

[164]

- the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and

[165]

- the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to T_{PRB} .

[166]

In this embodiment, the modulation format used for CSI modulation can be determined by any of the embodiments provided in this disclosure, and the T_{PRB} can be determined by any of the embodiments provided in this disclosure.

[167]

In one example, CSI modulation format is indicated by the NDI bit of the disabled TB, while the T_{PRB} is determined by a disabled TB index as shown in the following tables:

[168]

NDI of the disabled TB (NDI _i)	Modulation order of the CSI Q_m in the UCI-only reporting
0	2 (QPSK)
1	4 (16QAM)

Disabled TB index	Threshold number of PRBs, T_{PRB}
1	4
2	8

[169] In another example, the CSI modulation format and the T_{PRB} are jointly indicated by one codepoint, e.g., the NDI of a disabled TB, as shown in the following tables:

[170]

NDI of the disabled TB (NDI _i)	Modulation order of the CSI Q_m in the UCI-only reporting	Threshold number of PRBs, T_{PRB}
0	2 (QPSK)	4
1	4 (16QAM)	8

[171] In some embodiments of this disclosure, when a UE is receiving a DCI format 0/0A scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[172]

- the MCS is 29, or RV (redundancy version) is one;

[173]

- the CSI request field is non-zero. If carrier aggregation is configured and the DCI is transmitted in a UE-specific search space, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured or if the DCI is transmitted in a cell-specific search space, this implies that CSI request field is 1; and

[174]

- the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to T_{PRB} .

[175]

- In some embodiments of this disclosure, when a UE is receiving a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[176]

- the transmission rank is equal to 1;

[177]

- the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and

[178]

- the NDI bit of the disabled TB is 1.

[179]

In DCI format 4, when only one CW is enabled, the NDI bit of a disabled TB does not convey any information. Hence, the NDI bit of a disabled TB can be used for other purposes, such as indicating the UCI-only transmission. In a sense, this method is a simpler method than the other embodiments, as the number of conditions to be met for determining a UCI-only transmission is smaller. Another benefit is that the UCI-only transmission is no longer limited within a PUSCH with a small number of RB allocation (e.g., up to 4 RBs).

[180]

Assuming that only one TB is disabled, a disabled TB index is denoted by i, where i

= either 1 or 2. The enabled TB index becomes 3-i.

- [181] The UE determines that the transmission rank is one according to the following:
 - [182] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and
 - [183] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on PUSCH, the UE determines that the transmission rank is one if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.
- [184] To indicate the modulation format of the CSI in the UCI-only transmission, any of the embodiments provided in this disclosure may be used. Furthermore, the embodiments described below may be used to indicate the modulation format.
- [185] The MCS field of the enabled TB indicates a modulation format for the CSI in the UCI-only transmission.
- [186] In one example, the modulation order of the CSI is determined as in the following table:

[187]

MCS field of the enabled TB (MCS _{3-i})	Modulation order of the CSI Q_m in the UCI only reporting
0	2 (QPSK)
1	4 (16QAM)
2 through 31	Reserved

- [188] In another example, the modulation order of the CSI is determined by the modulation order indicated by the MCS field to Table 8.6.1-1 in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010. In other words, the modulation order of the CSI is determined as in the following table:

[189]

MCS field of the enabled TB (MCS _{3-i})	Modulation order of the CSI Q_m in the UCI only reporting
0 through 10	2 (QPSK)
11 through 20	4 (16QAM)
21 through 31	Reserved

- [190] In another example, one bit of the 5-bit MCS field of the enabled TB indicates the modulation order. If the most significant bit (MSB) of the 5-bit MCS field of the enabled TB is used for indicating the modulation order, the following table is used:

[191]

MSB of the 5-bit MCS field of the enabled TB (MCS _{3-i})	Modulation order of the CSI Q_m in the UCI only reporting
0	2 (QPSK)
1	4 (16QAM)

- [192] Furthermore, the MCS field of the enabled TB may be used to jointly indicate the UCI contents to be reported in the current UCI-only reporting and the modulation format. Here, UCI contents include the number and the identities of the DL CCs (or

serving cells) reported in the current UCI-only reporting, how many HARQ-ACK bits and how many RI bits should be piggybacked in the current UCI-only reporting, and so on.

[193] In one example, the MCS field of the enabled TB indicates the UCI contents and the modulation order as in the following:

[194]

MCS field of the enabled TB (MCS_{3-i})	Modulation order of the CSI Q_m in the UCI only reporting	UCI contents
0	2 (QPSK)	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
1	2 (QPSK)	CSI reporting is triggered for all configured DL CCs.
2	4 (16QAM)	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
3	4 (16QAM)	CSI reporting is triggered for all configured DL CCs.
4 through 31	Reserved	Reserved

[195] In another example, one bit of the 5-bit MCS field of the enabled TB indicates the modulation order, while another bit of the 5-bit MCS field of the enabled TB indicates the UCI contents. If the MSB of the 5-bit MCS field of the enabled TB is used for indicating the modulation order and the 2nd MSB of the 5-bit MCS field of the enabled TB is used for indicating the UCI contents, the following table for the modulation order indication is used:

[196]

MSB of the 5-bit MCS field of the enabled TB (MCS_{3-i})	Modulation order of the CSI Q_m in the UCI only reporting
0	2 (QPSK)
1	4 (16QAM)

[197]

and the following table for indicating the UCI contents:

[198]

2 nd MSB of the 5-bit MCS field of the enabled TB (MCS_{3-i})	UCI contents
0	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
1	CSI reporting is triggered for all configured DL CCs.

[199]

In some embodiments of this disclosure, when a UE is receiving a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[200]

- the transmission rank is equal to 1;

[201]

- the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1;

[202]

- the NDI bit of the disabled TB is 1; and

[203]

- the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to T_{PRB} .

[204]

In DCI format 4, when only one CW is enabled, the NDI bit of a disabled TB does not convey any information. Hence, the NDI bit of a disabled TB can be used for other purposes, like indicating the UCI-only transmission. In a sense, this method is a

simpler method than the other embodiments, as the number of conditions to be met for finding out a UCI-only transmission is smaller. Another benefit is that the UCI-only transmission is no longer limited within a PUSCH with a small number of RB allocation (e.g., up to 4 RBs).

[205] Assuming that only one TB is disabled, a disabled TB index is denoted by i , where i = either 1 or 2. The enabled TB index becomes $3-i$. In this embodiment, the NDI of the disabled TB will indicate a state as in the following table:

[206]

NDI of the disabled TB (NDI _i)	State indicated
0	Single-TB transmission
1	UCI-only transmission

[207] In some embodiments, the UE determines that the transmission rank is one according to the following:

[208] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and

[209] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.

[210] To indicate the modulation format of the CSI in the UCI-only transmission, any of the above described embodiments may be used.

[211] Furthermore, the MCS field of the enabled TB may be used to jointly indicate the UCI contents reported in the current UCI-only reporting, the modulation format and the threshold number of PRBs, i.e., T_{PRB} . Here, the UCI contents include the number and the identities of the DL CCs (or serving cells) to be reported in the current UCI-only reporting, how many HARQ-ACK bits and how many RI bits should be piggybacked in the current UCI-only reporting, and so on.

[212] In one example, the MCS field of the enabled TB indicates UCI contents as in the following:

[213]

MCS field of the enabled TB (MCS _{3-i})	Modulation order of the CSI Q_m in the UCI only reporting	Threshold number of PRBs, T_{PRB}	UCI contents
0	2 (QPSK)	4	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
1	2 (QPSK)	4	CSI reporting is triggered for all configured DL CCs.
2	4 (16QAM)	4	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
3	4 (16QAM)	4	CSI reporting is triggered for all configured DL CCs.
4	2 (QPSK)	8	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
5	2 (QPSK)	8	CSI reporting is triggered for all configured DL CCs.
6	4 (16QAM)	8	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
7	4 (16QAM)	8	CSI reporting is triggered for all configured DL CCs.
8 through 31	reserved	reserved	reserved

[214] In another example, one bit of the 5-bit MCS field of the enabled TB indicates the modulation order, while another bit of the 5-bit MCS field of the enabled TB indicates the UCI contents and a still other bit of the 5-bit MCS field of the enabled TB indicates the threshold number of PRBs T_{PRB} . If the MSB of the 5-bit MCS field of the enabled TB is used for indicating the modulation order, the 2nd MSB of the 5-bit MCS field of the enabled TB is used for indicating the UCI contents, and the 3rd MSB of the 5-bit MCS field of the enabled TB is used for indicating threshold number of PRBs T_{PRB} , then the following table is used for the modulation order indication:

[215]

MSB of the 5-bit MCS field of the enabled TB (MC _{S_{3-i}})	Modulation order of the CSI Q_m in the UCI only reporting
0	2 (QPSK)
1	4 (16QAM)

[216] the following table for indicating the UCI contents:

[217]

2 nd MSB of the 5-bit MCS field of the enabled TB (MCS _{S_{3-i}})	UCI contents
0	CSI reporting is triggered for the DL CC that is SIB2-linked to the UL CC transmitting the CSI report.
1	CSI reporting is triggered for all configured DL CCs.

[218] and the following table for indicating the threshold number of PRBs T_{PRB} :

[219]

3 rd MSB of the 5-bit MCS field of the enabled TB (MC _{S_{3-i}})	Threshold number of PRBs, T_{PRB}
0	4
1	8

[220] In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[221] - both TBs are disabled; and

[222] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1.

[223] In this embodiment, one CW (e.g., CW0) is enabled to carry UCI on the enabled CW even if both TBs are disabled. Hence, the UE reads a column for one enabled CW in the transmitted precoding matrix indicator (TPMI) tables 5.3.3.1.8-2 and 5.3.3.1.8-3 in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010, for example, to determine a TPMI from the precoder information field.

[224] The modulation format used for CSI modulation can be determined by any of the embodiments provided in this disclosure, and the T_{PRB} can be determined by any of the embodiments provided in this disclosure.

[225] Furthermore, as both TBs are disabled, both NDI bits do not convey any information. Hence, one NDI bit can be used to indicate the CSI modulation format.

[226] In one example, NDI1 indicate the CSI modulation format as follows:

[227]	NDI of the TB1 (NDI ₁)	Modulation order of the CSI Q_m in the UCI only reporting
	0	2 (QPSK)
	1	4 (16QAM)

[228] In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[229] - both TBs are disabled;

[230] - the transmission rank is one; and

[231] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1.

[232] In this embodiment, one CW (e.g., CW0) is enabled to carry UCI on the enabled CW even if both TBs are disabled. Hence, the UE reads a column for one enabled CW in the transmitted precoding matrix indicator (TPMI) tables 5.3.3.1.8-2 and 5.3.3.1.8-3 in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010, for example, to determine a TPMI from the precoder information field.

[233] In some embodiments of this disclosure, the UE determines that the transmission rank is one according to the following:

[234] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and

[235] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.

[236] In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[237] - both TBs are disabled;

[238] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and

[239] - the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to T_{PRB} .

[240] In this embodiment, one CW (e.g., CW0) is enabled to carry UCI on the enabled CW even if both TBs are disabled. Hence, the UE reads a column for one enabled CW in

the transmitted precoding matrix indicator (TPMI) tables 5.3.3.1.8-2 and 5.3.3.1.8-3 in R1-106557, Change Request for 3GPP Technical Specification No. 36.213, December 2010, for example, to determine a TPMI from the precoder information field.

[241] The modulation format used for the CSI modulation can be determined by any of the embodiments provided in this disclosure, and the T_{PRB} can be determined by any of the embodiments provided in this disclosure.

[242] Furthermore, as both TBs are disabled, both NDI bits do not convey any information. Hence, one NDI bit can be used to indicate the CSI modulation format, while the other NDI bit can be used to indicate the threshold number of RBs.

[243] In one example, NDI1 indicates the CSI modulation format as follows:

NDI of the disabled TB (NDI ₁)	Modulation order of the CSI Q_m in the UCI only reporting
0	2 (QPSK)
1	4 (16QAM)

[245] At the same time, NDI2 indicates the threshold number of PRBs as follows:

NDI of the disabled TB (NDI ₂)	Threshold number of PRBs, T_{PRB}
0	4
1	8

[247] In some embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:

[248] - TB1 is disabled and the transmission rank is equal to 1;

[249] - the MCS of TB2 (i.e., the MCS of the enabled TB) is 29, or the RV(redundancy version) is one;

[250] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and

[251] - the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to 4.

[252] In some embodiments, the UE determines that the transmission rank is one according to the following:

[253] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and

[254] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on the PUSCH, the UE determines that the transmission rank is one, if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.

[255] The modulation format used for the CSI modulation can be determined by any of the

embodiments provided in this disclosure, and the T_{PRB} can be determined by of the embodiments provided in this disclosure.

- [256] In embodiments of this disclosure, when a UE receives a DCI format 4 scheduling a PUSCH, the UE determines UCI-only reporting if the following set of conditions are satisfied:
 - [257] - TB2 is disabled and the transmission rank is equal to 1;
 - [258] - the MCS of TB1 (i.e., MCS of the enabled TB) is 29, or RV(redundancy version) is one;
 - [259] - the CSI request field is non-zero. If carrier aggregation is configured, this implies that CSI request field is 01, 10 or 11. If carrier aggregation is not configured, this implies that CSI request field is 1; and
- [260] the N_{PRB} , i.e., the number of PRBs allocated for the UE, is less than or equal to 4.
- [261] In some embodiments, the UE determines that the transmission rank is one according to the following:
 - [262] - if the UE is configured to transmit up to 2 layers (or on 2 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled; and
 - [263] - if the UE is configured to transmit up to 4 layers (or on 4 antenna ports) on the PUSCH, the UE determines that the transmission rank is one if only one TB is disabled and at the same time the precoder information field is one of 0, 1, 2, ..., 23.
- [264] The modulation format used for the CSI modulation can be determined by any of the embodiments provided in this disclosure, and the T_{PRB} can be determined by any of the embodiments provided in this disclosure.
- [265] In some embodiments of this disclosure, the modulation format of the CSI in UCI-only transmission is determined by which DCI format triggers a UCI only transmission. For example, when DCI format 0/0A triggers UCI-only transmission, QPSK is used for the modulation format of the UCI. When DCI format 4 triggers UCI-only transmission, 16QAM is used for the modulation format of UCI as shown in the following table:
- [266]

DCI format triggering a UCI only transmission	Modulation order of the CSI Q_m in the UCI only reporting
DCI format 0/0A (SIMO DCI format)	2 (QPSK)
DCI format 4 (MIMO DCI format)	4 (16QAM)
- [267] In some embodiments of this disclosure, CQI/PMI is mapped/allocated onto a subset of the N_s layers being transmitted on the uplink in a MIMO uplink subframe. The size of the subset, N_s , could be less than or equal to the total number of layers, which is denoted by N .
- [268] This subset of layers could be implicitly inferred by the UE according to (1) the

number of codewords; (2) the codeword to layer mapping structure; and (3) the codeword that uses highest initial-transmission MCS value. For example, if $N = 4$ and layers 1,2 are used for codeword 1 transmission while layers 3,4 are used for codeword 2 transmission, and if the MCS used for TB1 in the TB1's initial transmission,

$I_{MCS,initial}^{(1)}$, is greater than the MCS used for TB2's initial transmission, $I_{MCS,initial}^{(2)}$,

then the UE can decide to transmit UL control information (UCI) on layers 1 and 2, which correspond to the layers with the better initial MCS.

- [269] In some embodiments, the initial-transmission MCSs $I_{MCS,initial}^{(1)}$ and $I_{MCS,initial}^{(2)}$ for the two TBs (CWs) are determined according to the following procedure:
 - [270] - The UE reads the MCS1 $I_{MCS}^{(1)}$ in DCI 0B. If TB1 is to be transmitted for the first time, i.e., if $I_{MCS}^{(1)} < 29$, then the UE sets the initial-transmission MCS for TB1, $I_{MCS,initial}^{(1)} = I_{MCS}^{(1)}$. Otherwise, i.e., if $I_{MCS}^{(1)} \geq 29$, then the UE determines the initial-transmission MCS for TB1, $I_{MCS,initial}^{(1)}$, from the DCI transported in the latest PDCCH for TB1 using $I_{MCS}^{(1)} < 29$.
 - [271] The UE reads the MCS2 $I_{MCS}^{(2)}$ in DCI 0B. If TB2 is to be transmitted for the first time, i.e., $I_{MCS}^{(2)} < 29$, then the UE sets the initial-transmission MCS for TB2, $I_{MCS,initial}^{(2)} = I_{MCS}^{(2)}$. Otherwise, i.e., if $I_{MCS}^{(2)} \geq 29$, then the UE determines the initial-transmission MCS for TB2, $I_{MCS,initial}^{(2)}$, from the DCI transported in the latest PDCCH for TB2 using $I_{MCS}^{(2)} < 29$.
- [272] Therefore, for one CW transmission, the UCI is mapped onto the layers of that CW. For two CWs transmission with different MCS values indicated by the UL grant, the UCI is mapped onto the layers of the CW with the higher initial MCS value.
- [273] In addition, for the case of two codewords having the same the same MCS, the following approaches are provided:
 - [274] Method 1: the UE always maps the UCI on CW0 (codeword0, or the first codeword), which is mapped to either layer 0 or layers 0 and 1, according to the CW to layer mapping table, and transmission rank.
 - [275] Method 2: the UE always maps the UCI on CW1 (codeword1, or the second codeword).
 - [276] Method 3: the UE maps the UCI on CW1 (the second codeword) for the case of rank 3 (3 layers) transmission, and maps UCI on CW0 for other rank transmissions. The reason for the special treatment for rank 3 is that in rank3, CW0 is mapped to layer0,

and CW1 is mapped to layers 1 and 2. Therefore, it may be better to map UCI to the CW with 2-layer transmission since this provides more resources for UCI transmission.

[277] In this disclosure, for ease of description, CQI-only request signaling methods using one example design of a UL MIMO SM DCI format, namely DCI format 0B, are described. However, one of ordinary skill in the art would recognize that the signaling methods provided in this disclosure can be applied to other designs of DCI format 0B as well without departing from the scope or spirit of this disclosure.

[278] When a CQI-only request is signaled to a UE, the UE does not transmit TB for the UL-SCH in a CW that will carry CQI/PMI, and the UE transmits only UCI (without UL data) in the CW.

[279] DCI format 0B includes the following IEs:

[280] - PMI/RI - 1 bit for 2-Tx UE, and 5 bits for 4-Tx UE,

[281] - NDI1 - 1 bit,

[282] - MCS1 IE for CW0, $I_{MCS}^{(1)}$ - 5 bits,

[283] - NDI2 - 1 bit, and

[284] - MCS2 IE for CW1, $I_{MCS}^{(2)}$ - 5 bits.

[285] In Rel-10 LTE-A, the number of CQI/PMI information bits to be transmitted in a subframe can be significantly larger than that of Rel-8/9 LTE, when carrier aggregation and enhanced MIMO CQI/PMI feedback are considered. To increase spectral efficiency of UCI-only transmissions, two options can be considered.

[286] Option 1: Allow higher-order modulations for UCI-only transmissions.

[287] Option 2: Allow multi-CW transmissions for UCI-only transmissions.

[288] In some embodiments of this disclosure, CQI-only request in LTE-A is indicated to a UE when IEs in DCI 0B intended for the UE satisfy the following three conditions:

[289] Condition 1: At least one MCS IE is 29 (i.e., either $I_{MCS}^{(1)} = 29$ or $I_{MCS}^{(2)} = 29$ or both $I_{MCS}^{(1)} = I_{MCS}^{(2)} = 29$),

[290] Condition 2: CQI request = 1, and

[291] Condition 3: $N_{PRB} \leq T_{PRB}$.

[292] The number of information bits carried in a CQI report can depend on the number of DL CCs reported by the CQI report. Hence, the threshold number of the PRBs, T_{PRB} , indicating the CQI-only report may need to be adapted according to the number of DL CCs. In addition, a number of coded bits in a CQI only report depend on a modulation order and a number of layers used for the CQI-only report.

[293] In some embodiments of this disclosure, the threshold number of the PRBs, T_{PRB} , in Condition 3 is defined as a function of at least one of a number of DL CCs reported by a CQI report, N_{DLCC} , a modulation order $Qm \in \{2,4,6,\}$ and a number of layers on

which a CQI report is transmitted, L_{CQI} . Some examples are listed below:

[294] $T_{PRB} = 4N_{DLCC}/(Q_m/2)/L_{CQI}$,

[295] $T_{PRB} = 4N_{DLCC}$,

[296] $T_{PRB} = 4N_{DLCC}/(Q_m/2)$, and

[297] $T_{PRB} = 4N_{DLCC}/L_{CQI}$.

[298] In some embodiments of this disclosure, when a UE receives a DCI 0B indicating 1 CW transmission in a subframe with CQI request = 1, CQI/PMI is transmitted in the first CW, CW0. On the other hand, when a UE receives a DCI 0B indicating 2 CW transmission in a subframe with CQI request = 1, a UE needs to identify a CW to transmit CQI. The following describes methods of indicating a CW to transmit CQI to a UE in case of 2 CW transmissions.

[299] When a UE receives a DCI 0B indicating a 2 CW (TB) transmission in a subframe and CQI request = 1 in the DCI 0B, the UE transmits CQI/PMI in one of the CW, according to the method below.

[300] First, the UE determines the initial-transmission MCS $I_{MCS,initial}^{(1)}$ and $I_{MCS,initial}^{(2)}$ for the two TBs (CWs) according to the procedure described in embodiment 1:

[301] If $N_{PRB} > T_{PRB}$, then a CW to carry CQI/PMI is determined by comparing the two MCSs used for the initial transmission of the two TBs (or the two CWs). When the two MCSs are different, a CW having a higher initial-transmission MCS carries the CQI/PMI. When the two MCSs are the same, CW0 carries the CQI/PMI. This operation can be done at the UE as in the following:

[302] - Considering the case where TB1 is transmitted in CW0 and TB2 is transmitted in CW1, if $I_{MCS,initial}^{(1)} \geq I_{MCS,initial}^{(2)}$, then CQI/PMI is transmitted in CW0. If $I_{MCS,initial}^{(1)} < I_{MCS,initial}^{(2)}$, then CQI/PMI is transmitted in CW1.

[303] - Considering the case where TB1 is transmitted in CW1 and TB2 is transmitted in CW0. If $I_{MCS,initial}^{(1)} \leq I_{MCS,initial}^{(2)}$, then CQI/PMI is transmitted in CW0. If $I_{MCS,initial}^{(1)} > I_{MCS,initial}^{(2)}$, then CQI/PMI is transmitted in CW1.

[304] If $N_{PRB} \leq T_{PRB}$, then a CW to carry CQI/PMI is determined depending on whether at least one of $I_{MCS}^{(1)}$ and $I_{MCS}^{(2)}$ is 29 or not.

[305] If neither $I_{MCS}^{(1)}$ or $I_{MCS}^{(2)}$ is 29, then CQI/PMI is transmitted in a CW with a higher initial-transmission MCS.

[306] - Considering the case where TB1 is transmitted in CW0 and TB2 is transmitted in CW1, if $I_{MCS,initial}^{(1)} \geq I_{MCS,initial}^{(2)}$, then the CQI/PMI is transmitted in CW0. If $I_{MCS,initial}^{(1)} < I_{MCS,initial}^{(2)}$, then the CQI/PMI is transmitted in CW1.

[307] - Considering the case where TB1 is transmitted in CW1 and TB2 is transmitted in CW0, if $I_{MCS,initial}^{(1)} \leq I_{MCS,initial}^{(2)}$, then the CQI/PMI is transmitted in CW0. If $I_{MCS,initial}^{(1)} > I_{MCS,initial}^{(2)}$, then the CQI/PMI is transmitted in CW1.

[308] If either $I_{MCS}^{(1)}$ or $I_{MCS}^{(2)}$ is 29, then the CQI/PMI is transmitted in a CW having MCS IE index 29.

[309] - Considering the case where TB1 is transmitted in CW0 and TB2 is transmitted in CW1, if $I_{MCS}^{(1)} = 29$ and $I_{MCS}^{(2)} \neq 29$, then the CQI/PMI is transmitted in CW0. If $I_{MCS}^{(1)} \neq 29$ and $I_{MCS}^{(2)} = 29$, then the CQI/PMI is transmitted in CW1.

[310] - Considering the case where TB1 is transmitted in CW1 and TB2 is transmitted in CW2, if $I_{MCS}^{(1)} = 29$ and $I_{MCS}^{(2)} \neq 29$, then the CQI/PMI is transmitted in CW1. If $I_{MCS}^{(1)} \neq 29$ and $I_{MCS}^{(2)} = 29$, then the CQI/PMI is transmitted in CW0.

[311] If both $I_{MCS}^{(1)}$ and $I_{MCS}^{(2)}$ are 29, then a few options for carrying CQI/PMI are provided by this disclosure.

[312] Option 1: CQI/PMI is transmitted in one fixed CW, e.g., CW0. In the other CW not carrying CQI/PMI, a UL data (or a TB) is transmitted with a redundancy version (RV) = 1 with a MCS IE index 29.

[313] Option 2: CQI/PMI is transmitted in both CWs, where CQI/PMI information bits are separately encoded and mapped for the two CWs.

[314] Option 3: CQI/PMI is transmitted in both CWs, where CQI/PMI modulation symbols are split into the layers of the two CWs.

[315] It is possible to have a higher layer configuration to switch between these three options for mappings. In the following embodiments, for ease of description, Option 1 immediately above is used as an example to illustrate how this modulation format is indicated by DCI format 0B.

[316] In some embodiments of this disclosure, when a UE receives a DCI 0B indicating a CQI-only request, i.e., when Conditions 1, 2 and 3 are satisfied ($N_{PRB} \leq T_{PRB}$, CQI request = 1, and at least one MCS index is 29), the modulation order of the CQI/PMI is determined by the CSI IE of the DCI format. If the CSI value belongs to subset 1, one modulation order is indicated. If the CIS value belongs to subset 2, another modulation order is indicated for Option 1 immediately above, for example, as shown in the table below:

[317]

CSI value belonging to	Modulation order of the CQI/PMI Q_m
Subset 1 (e.g., {0,1,2,3})	2
Subset 2 (e.g., {4,5,6,7})	4

[318] In one embodiment of this disclosure, when a UE receives a DCI 0B indicating a CQI-only request, i.e., when Conditions 1, 2 and 3 are satisfied ($N_{PRB} \leq T_{PRB}$, CQI request = 1, and at least one MCS index is 29), the modulation order of the CQI/PMI is determined by the following rules:

[319] - If DCI format 0B indicates that only 1TB (CW) is enabled (although no data TB is transmitted, and only UCI is transmitted in this CW), the NDI bit of the enabled TB is used to indicate the modulation format of CQI/PMI.

[320] - Otherwise, if DCI format 0B indicates both TBs (CWs) are enabled (although no data TB is transmitted in one of the CWs, and only UCI is transmitted in this CW), then an additional rule is applied:

[321] - If only one TB's MCS index is equal to 29, then the NDI of this TB is used to indicate the modulation format.

[322] - If both TB's MCS indices are equal to 29, then two options exist. In Option 1, the NDI of the 1st TB is always used to indicate modulation format of CQI/PMI. In Option 2, the NDI of the 2nd TB is always used to indicate the modulation format of CQI/PMI.

[323] Once the NDI bit is specified by the above rule, the mapping from NDI bit to the modulation order for Option 1, for example, is shown in the table below:

[324]

NDI	Modulation order of the CQI/PMI Q_m
0	2
1	4

[325]

The expected behavior of the above embodiment is further described below.

[326]

An embodiment where TB1 is transmitted in CW0 and TB2 is transmitted in CW1 is considered.

[327]

If CQI/PMI is to be transmitted by CW0, NDI1 (NDI of TB1) indicates the modulation order as shown below:

[328]

NDI1	Modulation order of the CQI/PMI Q_m
0	2
1	4

[329]

If CQI/PMI is to be transmitted by CW1, NDI2 (NDI of TB2) indicates the modulation order as shown below:

[330]

NDI2	Modulation order of the CQI/PMI Q_m
0	2
1	4

[331]

An embodiment where TB1 is transmitted in CW1 and TB2 is transmitted in CW0 (if

swap bit is applicable) is considered.

- [332] If CQI/PMI is to be transmitted by CW0, NDI2 indicates the modulation order.
- [333] If CQI/PMI is to be transmitted by CW1, NDI1 indicates the modulation order.
- [334] In addition, if the UE receives a DCI format 0 or an UL DCI format that can indicate on TB (variation of format 0), then in the case of CQI-only transmission, this disclosure provides two options of determining the modulation order:
 - [335] Option 1: always use QPSK, and
 - [336] Option 2: use the NDI bit to indicate the modulation format, similar to the method proposed above for format 0B.
- [337] In some embodiments of this disclosure, when a UE receives a DCI 0B indicating a CQI-only request, i.e., when Conditions 1, 2 and 3 are satisfied ($N_{PRB} \leq T_{PRB}$, CQI request = 1, and at least one MCS index is 29), the modulation order of the CQI/PMI is jointly determined by the CSI IE of the DCI format and the one selected NDI bit. An example table is as follows:

[338]

CSI value belonging to	NDI	Modulation order of the CQI/PMI Q_m
Subset 1 (e.g., {0,1,2,3})	0	2
	1	4
Subset 2 (e.g., {4,5,6,7})	0	2
	1	6

- [339] In addition to the conditions discussed above for indicating CQI-only request, further embodiments are provided by this disclosure.
- [340] In one embodiment of this disclosure, CQI-only request in LTE-A is indicated to a UE when IEs in DCI 0B intended for the UE satisfy the following three conditions (assuming CQI is always transmitted on CW 0):
 - [341] Condition 1: If the MCS associated with CW0 is 29,
 - [342] - this MCS can be associated with either TB1 or TB2 in the case in which 1 TB (CW) is enabled, and
 - [343] - this MCS is associated with TB1 in the case in which 2 TBs (2 CWs) are enabled assuming no swap bit. Otherwise, both TBs are possible.
- [344] Condition 2: CQI request = 1.
- [345] Condition 3: $N_{PRB} \leq T_{PRB}$.
- [346] In some embodiments of this disclosure, CQI-only request in LTE-A is indicated to a UE when IEs in DCI 0B intended for the UE satisfy the following three conditions:
 - [347] Condition 1: If only 1 TB (CW) is enabled, the MCS associated with CW0 is 29. If 2 TBs (CWs) are enabled, the MCS associated with CW1 is 29.
 - [348] Condition 2: CQI request = 1,
 - [349] Condition 3: $N_{PRB} \leq T_{PRB}$.
- [350] FIGURE 6 illustrates a method 600 of operating a base station according to an em-

bodiment of this disclosure.

[351] As shown in FIGURE 6, method 600 includes transmitting an uplink grant in a downlink control information (DCI) format to a subscriber station (block 601). Method 600 also includes receiving only uplink control information (UCI) on a physical uplink shared channel (PUSCH) from the subscriber station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} (block 603).

[352] In some embodiments, when the DCI format is DCI format 4, the uplink grant further includes a transmission rank of the UCI information with a value of 1 when receiving only UCI on the PUSCH from the subscriber station.

[353] FIGURE 7 illustrates a method 700 of operating a subscriber station according to an embodiment of this disclosure.

[354] As shown in FIGURE 7, method 700 includes receiving an uplink grant in a downlink control information (DCI) format 4 from a base station (block 701). Method 700 also includes transmitting only uplink control information (UCI) on a physical uplink shared channel (PUSCH) to the base station when the uplink grant includes a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1; a channel state information (CSI) request field with a non-zero value; and a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} . T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} (block 703).

[355] In some embodiments, when the DCI format is DCI format 4, the uplink grant further includes a transmission rank of the UCI information with a value of 1 when transmitting only UCI on the PUSCH from the subscriber station.

[356] Although the present disclosure has been described with an exemplary embodiment, various changes and modifications may be suggested to one skilled in the art. It is intended that the present disclosure encompass such changes and modifications as fall within the scope of the appended claims.

Claims

[Claim 1] A base station comprising:

- a transmit path circuitry configured to transmit an uplink grant in a downlink control information (DCI) format to a subscriber station; and
- a receive path circuitry configured to receive only uplink control information (UCI) on a physical uplink shared channel (PUSCH) from the subscriber station when the uplink grant includes:
- a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1;
- a channel state information (CSI) request field with a non-zero value; and
- a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} ,
- wherein T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[Claim 2] A method of operating a base station, the method comprising:

- transmitting an uplink grant in a downlink control information (DCI) format to a subscriber station; and
- receiving only uplink control information (UCI) on a physical uplink shared channel (PUSCH) from the subscriber station when the uplink grant includes:
- a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1;
- a channel state information (CSI) request field with a non-zero value; and
- a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} ,
- wherein T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[Claim 3] A subscriber station comprising:
a receive path circuitry configured to receive an uplink grant in a downlink control information (DCI) format from a base station; and
a transmit path circuitry configured to transmit only uplink control information (UCI) on a physical uplink shared channel (PUSCH) to the base station when the uplink grant includes:
a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1;
a channel state information (CSI) request field with a non-zero value; and
a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} ,
wherein T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[Claim 4] A method of operating a subscriber station, the method comprising:
receiving an uplink grant in a downlink control information (DCI) format from a base station; and
transmitting only uplink control information (UCI) on a physical uplink shared channel (PUSCH) to the base station when the uplink grant includes:
a modulation and coding scheme (MCS) of an enabled transport block (TB) with a value of 29, or a redundancy version of the PUSCH with a value of 1;
a channel state information (CSI) request field with a non-zero value; and
a total number of physical resource blocks allocated for the subscriber station, N_{PRB} , with a value less than or equal to a threshold number of physical resource blocks, T_{PRB} , wherein T_{PRB} is based at least partly upon one of a total number of CSI information bits to be transmitted on the PUSCH, N_{total} , and a number of downlink component carriers (DL CCs) reported in a current CSI reporting, N_{CCs} .

[Claim 5] The base station in accordance with Claim 1, the method in accordance with Claim 2, the subscriber station in accordance with Claim 3 or the method in accordance with Claim 4, wherein if N_{total} is greater than or

equal to a threshold number of total CSI information bits, T_{bits} , then T_{PRB} is equal to 4, and
 wherein if N_{total} is less than T_{bits} , then T_{PRB} is greater than 4.

[Claim 6] The base station in accordance with Claim 1, the method in accordance with Claim 2, the subscriber station in accordance with Claim 3 or the method in accordance with Claim 4, wherein if N_{total} is greater than or equal to a threshold number of total CSI information bits, T_{bits} , then T_{PRB} is equal to 4, and
 wherein if N_{total} is less than T_{bits} , then T_{PRB} is 20.

[Claim 7] The base station in accordance with Claim 1, the method in accordance with Claim 2, the subscriber station in accordance with Claim 3 or the method in accordance with Claim 4, wherein if N_{CCs} is greater than or equal to 2, then T_{PRB} is equal to 4, and
 wherein if N_{CCs} is less than 2, then T_{PRB} is greater than 4.

[Claim 8] The base station in accordance with Claim 1, the method in accordance with Claim 2, the subscriber station in accordance with Claim 3 or the method in accordance with Claim 4, wherein if N_{CCs} is greater than or equal to 2, then T_{PRB} is equal to 4, and
 wherein if N_{CCs} is less than 2, then T_{PRB} is 20.

[Claim 9] The base station in accordance with Claim 1, the method in accordance with Claim 2, the subscriber station in accordance with Claim 3 or the method in accordance with Claim 4, wherein the DCI format is DCI format 0.

[Claim 10] The base station in accordance with Claim 1, wherein when the DCI format is DCI format 4, the receive path circuitry is configured to receive only UCI on the PUSCH from the subscriber station when the uplink grant further includes a transmission rank of the UCI information with a value of 1.

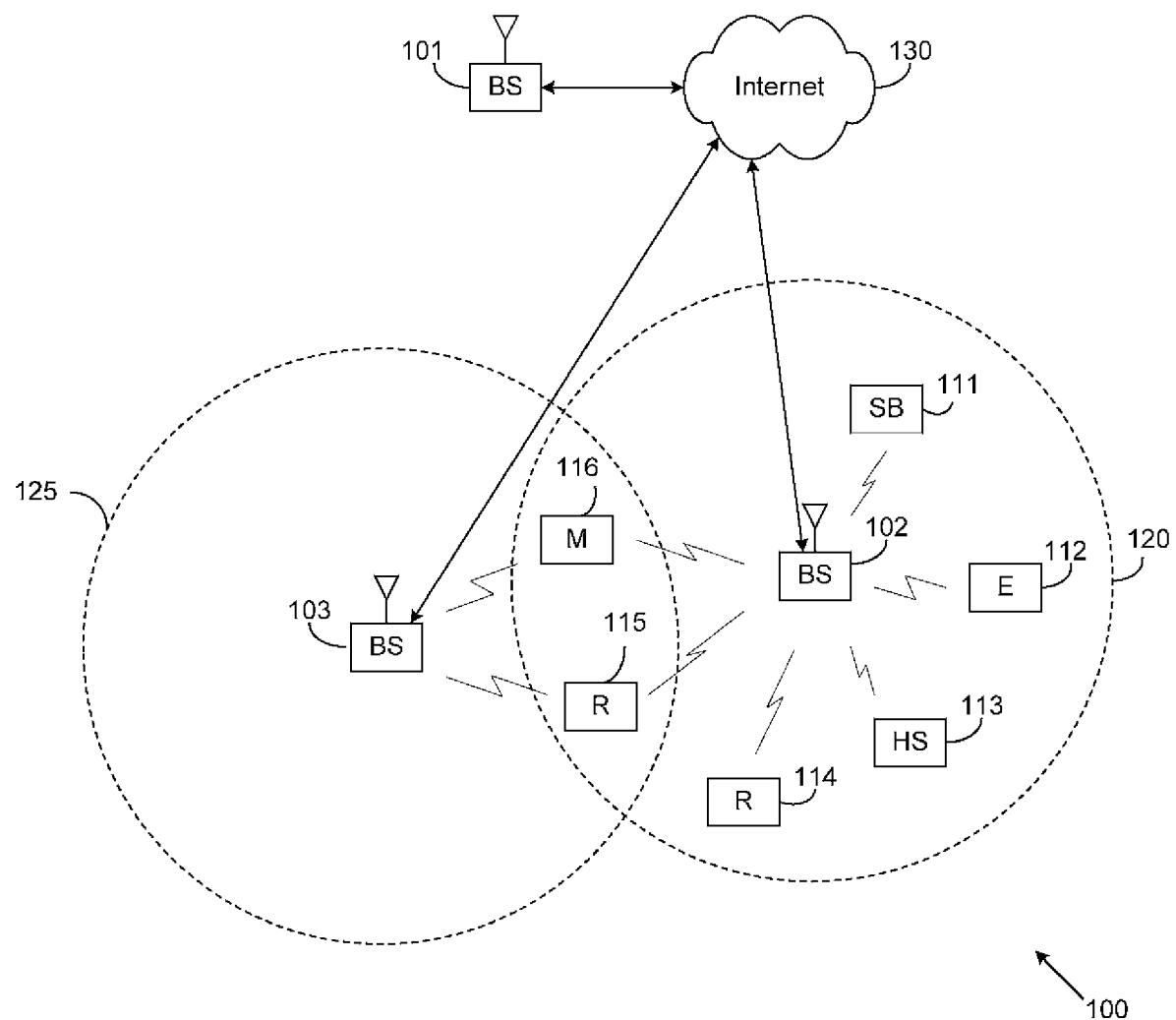
[Claim 11] The method in accordance with Claim 2, wherein when the DCI format is DCI format 4, the uplink grant further includes a transmission rank of the UCI information with a value of 1 when receiving only UCI on the PUSCH from the subscriber station.

[Claim 12] The subscriber station in accordance with Claim 3, wherein when the DCI format is DCI format 4, the transmit path circuitry is configured to transmit only UCI on the PUSCH to the base station when the uplink grant further includes a transmission rank of the UCI information with a value of 1.

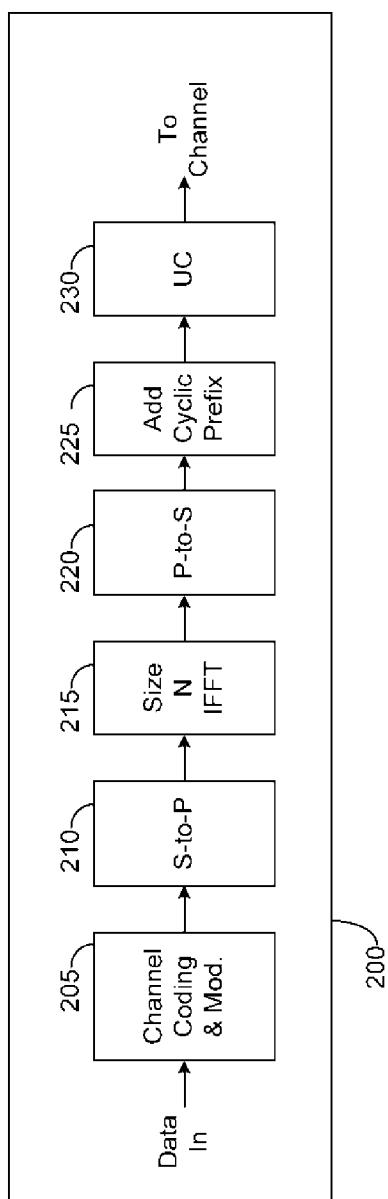
[Claim 13] The method in accordance with Claim 4, wherein when the DCI format

is DCI format 4, the uplink grant further includes a transmission rank of the UCI information with a value of 1 when transmitting only UCI on the PUSCH to the base station.

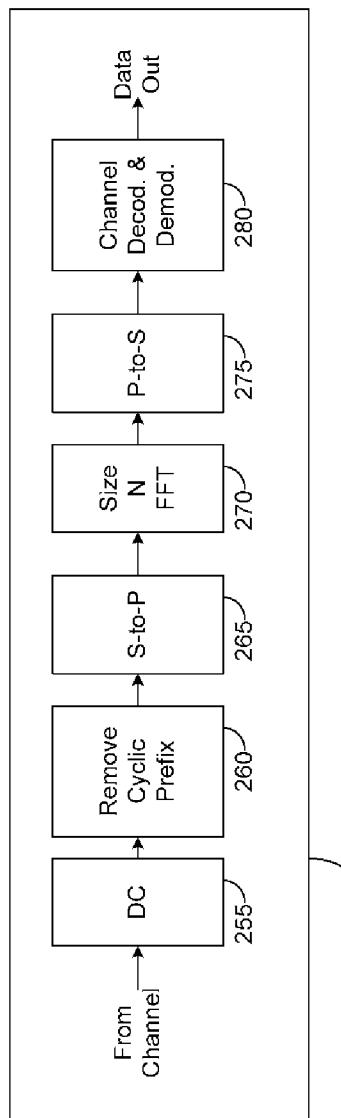
[Fig. 1]



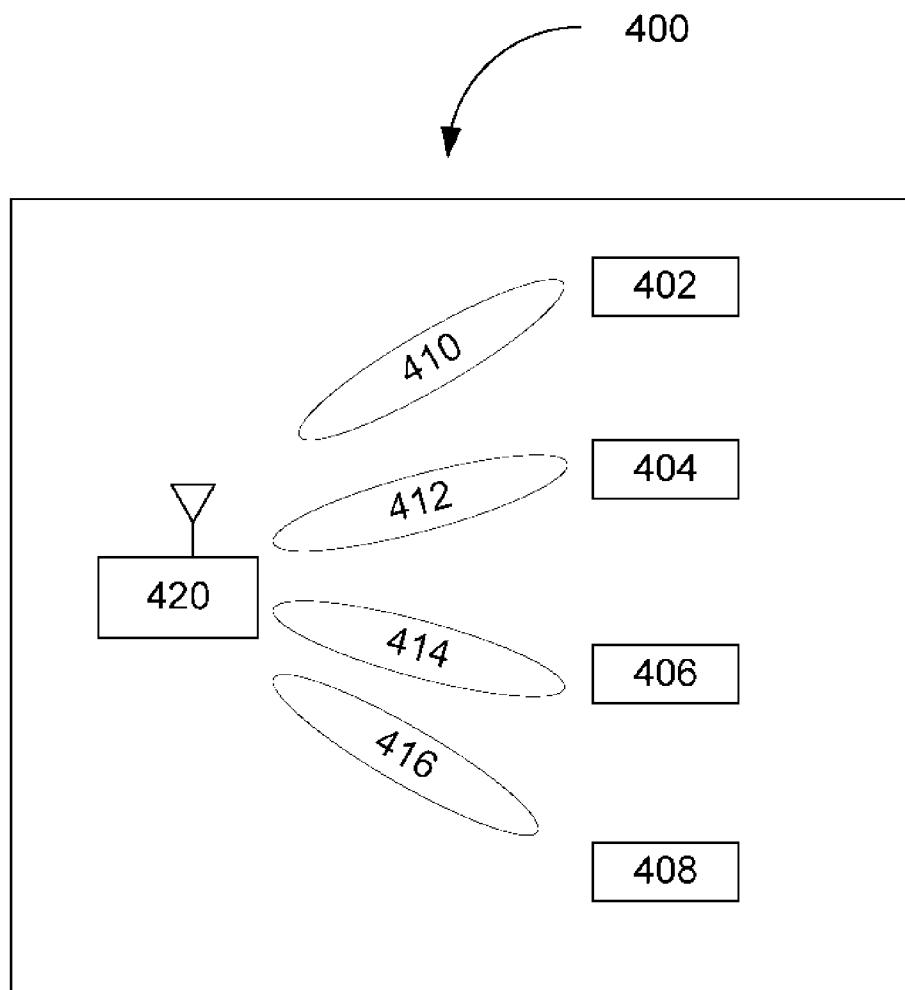
[Fig. 2]



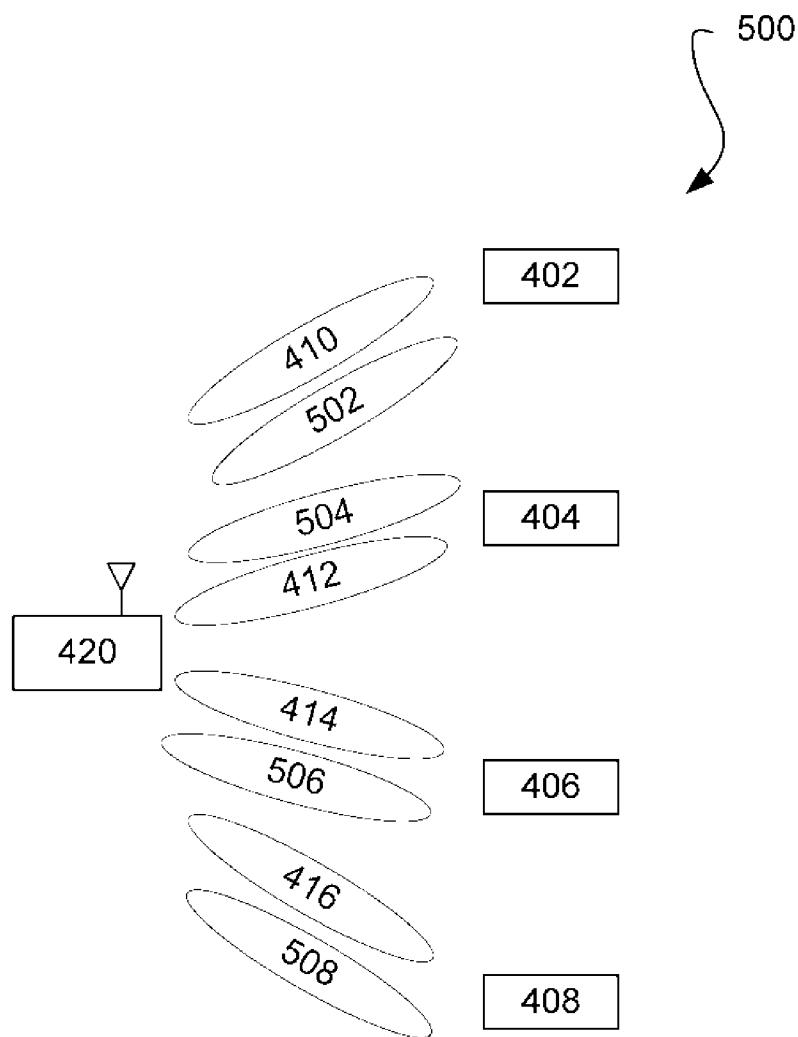
[Fig. 3]



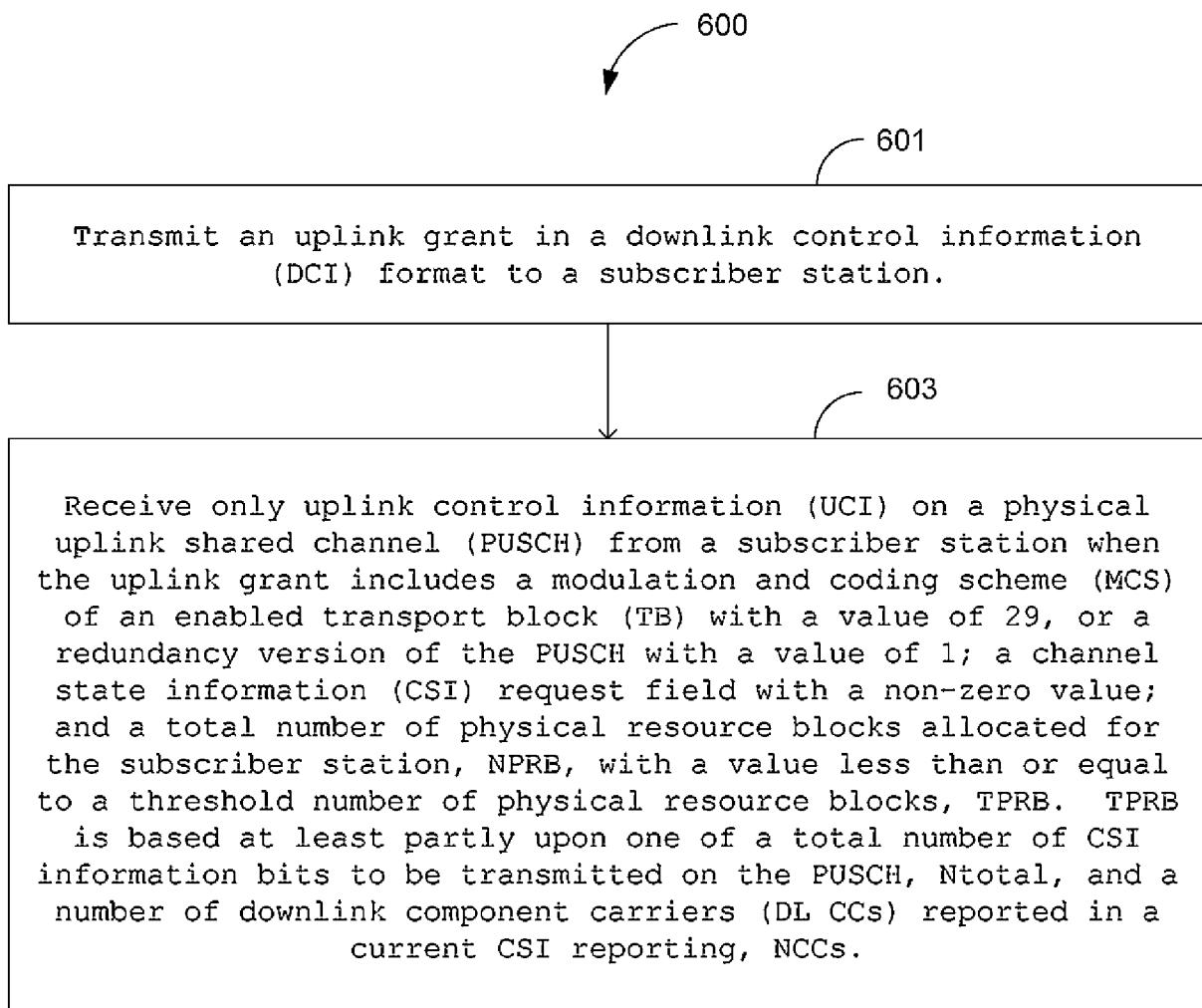
[Fig. 4]



[Fig. 5]



[Fig. 6]



[Fig. 7]

