(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2014/138049 A3

(43) International Publication Date 12 September 2014 (12.09.2014)

(21) International Application Number:

PCT/US2014/020277

(22) International Filing Date:

4 March 2014 (04.03.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/771,970 4 March 2013 (04.03.2013)

US

- (71) Applicant (for all designated States except US): SYRA-CUSE UNIVERSITY [US/US]; 113 Bowne Hall, Syracuse, NY 13244 (US).
- (72) Inventor; and
- (71) Applicant (for US only): MATHER, Patrick, T. [US/US]; 1235 Westmoreland Avenue, Syracuse, NY 13210 (US).
- (74) Agents: NOCILLY, David, L. et al.; Bond, Schoeneck & King, PLLC, One Lincoln Center, Syracuse, NY 13202 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

[Continued on next page]

(54) Title: REVERSIBLE SHAPE MEMORY POLYMERS EXHIBITING AMBIENT ACTUATION TRIGGERING

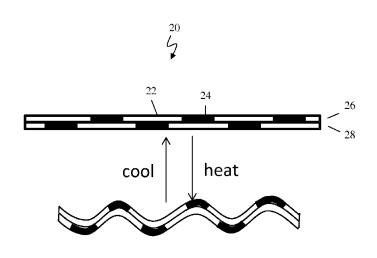


FIG. 5

(57) Abstract: Shape memory polymers featuring reversible actuation capability under ambient stimulus for integration with apparel. One approach is to use a multiblock polymer consisting of two (or potentially more) blocks in which the one block is the crystalline switching block with relatively low melting transitions, the other block has a higher thermal transition, and the two blocks are linked together by a linker molecule. Another approach is to use a graft copolymer having high and low melting transitions where the graft copolymer has a first polymer serving as the backbone and a second polymer being grafted to or from the backbone at certain graft locations. A further approach is to use latent crosslinking of a semicrystalline polymer with reactive groups placed on the backbone. Finally, these polymers may be formed as actuators that undergo curling, twisting and even volumetric expansion.





(88) Date of publication of the international search report: 26 February 2015

International application No. **PCT/US2014/020277**

A. CLASSIFICATION OF SUBJECT MATTER

C08F 299/00(2006.01)i, C08F 297/00(2006.01)i, C08G 81/00(2006.01)i, C08G 83/00(2006.01)i, C08J 5/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C08F 299/00; B32B 27/38; C08F 32/08; C08G 63/06; B32B 27/40; B29C 41/02; B05D 3/12; B29C 51/10; C08G 18/48; C08F 297/00; C08G 81/00; C08G 83/00; C08J 5/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: shape memory, actuator, elastomer, heat

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2009-0280330 A1 (XIE, T. et al.) 12 November 2009 See claims 1, 2, 5, 12.	1-20
A	JP 01-204719 A (ZEON KASEI CO., LTD.) 1989.08.17 See page 105-107.	1-20
A	US 2010-0181698 A1 (BERGER, E. J. et al.) 22 July 2010 See claims 1-10.	1-20
A	US 6720402 B2 (LANGER, R. S. et al.) 13 April 2004 See claims 1-33; figure 3.	1-20
A	US 2010-0240841 A1 (SHIMURA, M. et al.) 23 September 2010 See claims 1, 7-9.	1-20

		Further documents are	listed in the	e continuation	of Box	C
--	--	-----------------------	---------------	----------------	--------	---

 \boxtimes

See patent family annex.

- * Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step, when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search 16 December 2014 (16.12.2014)

Date of mailing of the international search report

22 December 2014 (22.12.2014)

Name and mailing address of the ISA/KR

In Ko

International Application Division Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City, 302-701, Republic of Korea

Facsimile No. +82-42-472-7140

Authorized officer

KIM, Eun Hee

Telephone No. +82-42-481-5543



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2014/020277

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2009-0280330 A1	12/11/2009	CN 101643632 A CN 101643632 B DE 102009035621 A1 US 8685528 B2	10/02/2010 19/02/2014 11/03/2010 01/04/2014
JP 01-204719 A	17/08/1989	HO 1204719 A HO 717816 B2 JP 2002422 C	17/08/1989 01/03/1995 20/12/1995
US 2010-0181698 A1	22/07/2010	DE 102010004698 A1 US 8038923 B2	23/09/2010 18/10/2011
US 6720402 B2	13/04/2004	AT 327287 T AU 1999-08499 A AU 758800 B2 BR 9908339 A CA 2316945 A1 DE 69931474 D1 DE 69931474 T2 DK 1062278 T3 EP 1062278 A2 EP 1062278 B1 ES 2265186 T3 HU 0102138 A2 HU 0102138 A3 IL 137878 A IL 1	15/06/2006 06/09/1999 27/03/2003 02/10/2001 26/08/1999 29/06/2006 10/05/2007 25/09/2006 27/12/2000 24/05/2006 01/02/2007 28/09/2001 28/06/2002 10/04/2006 31/10/2001 05/01/2006 12/02/2002 16/01/2004 16/07/2001 21/03/2001 20/03/2003 14/05/2002 26/08/1999 04/11/1999
US 2010-0240841 A1	23/09/2010	CN 101889039 A US 8470935 B2 WO 2009-063943 A1	17/11/2010 25/06/2013 22/05/2009