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**(54) Title:** TUMOUR CYTOTOXIC AGENT AND METHODS THEREOF

[illegible]

**(57) Abstract:** The present invention discloses a novel antitumour agent which provides an antitumour activity based on metabolites with reduced side effects. Moreover, the antitumour agent is prepared starting with a highly safe bacterium used in food production, which is a probiotic lactic acid bacterium.



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**TITLE****TUMOUR CYTOTOXIC AGENT AND METHODS THEREOF****FIELD OF INVENTION**

The present invention relates to a use of metabolites obtained from Gram-positive bacteria such as Lactic acid bacteria as an anti-tumour agent. Indeed, the present invention also discloses methods for treating and/or preventing tumour growth on human cells.

**BACKGROUND OF INVENTION**

Lactic acid bacteria that existed widely in the natural world are microbes of generating an organic acid by using a carbohydrate such as a glucose or lactose (von Wright, 2005). The Lactic acid bacterium has been directly or indirectly used in food from a long time ago. As a result of a research on an intestinal microflora of a man, it has been reported that the main Lactic acid bacteria in the gastrointestinal track of a healthy man are *Lactobacillus acidophilus*, *Lactobacillus casei*, *Lactobacillus fermentum*, *Lactobacillus plantarum* and so on. Such Lactic acid bacteria are resistant to gastric acid and bile (Balcazar, 2007). Presently, the Lactic acid bacterium has been widely used as a microbial agent or vital cell preparation of fermented goods and so on all over the world. There are many reports about the cytotoxic effect of fermented milk or Lactic acid bacteria on tumour cells. For example, the administration of *Lactobacillus casei* can prevent the development of colorectal cancer, with a daily intake of live *L. casei* suppressing atypia of colorectal tumours in 398 men and women who were free from tumours and who had at least two colorectal tumours removed (Ishikawa *et al.*, 2005). Lactic acid bacteria are the bacteria metabolizing carbohydrate and producing lactic acid thereby. These bacteria belong to facultative anaerobes or obligatory anaerobes which proliferate well under anaerobic conditions. A few commonly known genuses of Lactic acid bacteria are *Streptococcus*, *Lactobacillus*, *Leuconostoc*, *Bifidobacteria* and *Pediococcus*. A *Streptococcus* genus microorganism is a homofermentative bacterium that generates lactic acid by fermenting milk to suppress putrefying bacteria or pathogenic bacteria. A *Lactobacillus* genus microorganism is a bacilliiform, and a homo- or heterofermentative bacterium, which is widely seen in the fermentation of dairy products or vegetables. A *Leuconostoc* genus

microorganism, a diplococcus, is a heterofermentative bacterium and mostly involved in fermenting vegetables. A *Bifidobacteria* genus microorganism is an obligatory anaerobe which generates L(+) lactic acid useful for children's health, but it cannot survive under aerobic conditions (Holzapfel *et al.*, 2001).

- 5 Many chemical compositions have been reported as an anti-tumour agent. However, these compositions not only destroy the tumour cells, but also destroy normal cells. Alternatively, surgical treatment is generally performed to remove tumour tissue. However, this could be difficult as this method does not remove the tumour tissues thoroughly. It is said that the actual condition of any therapy provides side effects and does not fully acquire the best results. For example, chemotherapy has been successfully used as neo-adjuvant, adjuvant and salvage strategies (Carlson *et al.*, 2006). Chemotherapy targets rapidly dividing cancer cells, with deleterious side effect to rapidly dividing normal cells. This results in the most common side effects of chemotherapy such as endothelial toxicity, immunosuppression, mucositis and alopecia (Mitchell, 2004; Mailloux *et al.*, 2005).
- 10 Moreover, the use of conventional chemotherapeutic drugs has been proved to cause chemoresistance in cancer cells (Zhivotovsky and Orrenius, 2009). It is also used as concomitant treatment therapy with irradiation and biological agents (American Cancer Society, 2009). Despite much more advance in chemotherapy to surgery and irradiation, cancer mortality rate still ranks high among causes of mortality in many countries (WHO, 2009a). It is therefore essential to develop novel chemotherapeutics with greater efficacy while limited toxicity to normal cells.

- The desire by consumers to use natural methods for health maintenance rather than long-term chemotherapeutics agents linked to their expectation that food becomes a source of prolonged well-being, supports the speculation that the probiotic market will expand rapidly. Results obtained from multidisciplinary research will probably essential for the positioning of probiotic preparations as either a food, a food supplement or as pharmaceutical preparation (Mercenier *et al.*, 2002). Bacteriocins are isolated from Lactic acid bacteria and they exhibit inhibitory effects against various pathogens in a manner similar to antibiotics. However, bacteriocins are distinguishable from antibiotics in terms of their synthesis, mode of action, toxicity and resistance mechanisms.
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- 30

New antitumour compound are continually in demand, for the treatment of cancer in man and the production of new anticancer compounds is an important feature of developing antitumour agents for further studies. Equally important are novel strains of cultures used in the production processes for preparing these compounds. Additionally, it has been  
5 determined that the metabolites produced by certain microorganism exhibited antimicrobial activity against important human pathogenic bacteria and fungi, as well as having antiviral and anti-tumour activities (Zhao *et al.*, 2006; Wachsman *et al.*, 1999).

An object of the present invention is to provide a novel antitumour agent which has excellent antitumour activity based on metabolites with reduced side effects and which can  
10 be prepared starting with a highly safe bacterium used in food production. Yet, another objective of this present invention provides methods of measuring anticancer efficacy (cancer-cell-growth inhibition and apoptosis induction) of Lactic acid bacteria, and further to provide screening method of the Lactic acid bacteria which have anticancer efficacy.

#### **SUMMARY OF INVENTION**

15 Accordingly, the present invention relates to the use of a Lactic acid bacteria strains to prevent or treat a tumour, wherein the bacteria strains being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand(the bacteria strains are in a live form or none live but intact). Moreover, the *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14,  
20 RG11 and RI11 is said to produce metabolite(s) such as bacteriocins which is capable to inhibit proliferation and induce apoptosis of cancer in a mammal (preferably human being) in order to prevent or treat tumour. In particular, the metabolite is an anti-tumour agent and the metabolite(s) is supported with nutrients, vitamin (preferably vitamin B), salt of organic acids (preferably sodium salt of formic acid, acetic acid and lactic acid )r  
25 combination thereof. Indeed, the amount of metabolite(s) use in this particular invention is at a range between 0% (v/v) and 50% (v/v) of the total complete growth media. Following to this, it is said that the anti-tumour agent provides the means of reducing viability in various types of human cancerous cells (e.g human breast cancer cell line MCF-7, human colorectal cancer cell line HT-29, human cervical cancer cell line HeLa, human liver  
30 cancer cell line Hep G2, human leukemia cell lines HL-60 and K-562) by providing a

concentration of 1% (v/v) to 50% (v/v). In addition, the anti-tumour agent provides the means of inhibiting the proliferation in various types of human cancerous cells by having a concentration of 1% (v/v) to 50% (v/v). Also, the present invention illustrate Lactic acid bacteria strains having to facilitate induction of apoptosis of the cells of a cancer, wherein the strains being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand. Accordingly, the present invention discloses manufacturing of a drug destined for the treatment or prevention of cancer, wherein the drug is manufactured by means of *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains. In fact, the anti-tumour agent also provides a combination of 6 types of metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.

Yet, another aspect of the present invention relates to composition to treat or prevent cancer, comprising an effective quantity of at least one Lactic acid bacteria strains and a pharmaceutically acceptable vehicle, said strain(s) being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 is deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand. It is said that, the composition contains an anti-tumour agent which include metabolites such as bacteriocins and organic acids (includes sodium salt of formic acid, acetic acid and lactic acid )and further contains nutrients, vitamin(preferably vitamin B), and salt of organic acids or combination thereof. Also, the composition further includes a combination of 6 metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.

In addition, the present invention also relates to a method to facilitate apoptosis of cancer cells in a mammal (human being) and the method comprises the administration the composition mentioned above. In particular, this invention preferably provides a kit for preventing or treating a cancer in a mammal, wherein the kit comprises a container containing the composition as mentioned above. Also, the present invention discloses foodstuffs for anti-tumour containing *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC

Central Research Unit of Thailand. Also, the foodstuffs includes a combination of 6 metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

- 5 The present invention will be fully understood from the detailed description given herein below and the accompanying drawings which are given by way of illustration only, and thus are not limitative of the present invention, wherein:

10 Figure 1 is a graph of anti-proliferative effect of metabolites derived from the six strains of Lactic acid bacteria on MCF-7 cells. Values within the same row and experiment having an asterisk are significantly different from untreated control,  $P < 0.05$ .

Figure 2 is a graph of anti-proliferative effect of metabolites derived from the six strains of Lactic acid bacteria on HT-29 cells. Values within the same row and experiment having an asterisk are significantly different from untreated control,  $P < 0.05$ .

- 15 Figure 3 is a graph of apoptosis induction of Lactic acid bacteria metabolites on MCF-7 cells. Notes: “early apop” indicated early apoptotic cells and “late apop” indicated late apoptotic cells and necrotic cells. T1: untreated control; T2: treatment group ( $\pm$  indicates standard error). The treatment group is compared to untreated control. Values within the same row and experiment sharing a common superscript letter are not significantly  
20 different,  $P > 0.05$ .

Figure 4 is a picture of fluorescence photomicrographs of MCF-7 cells treated with UL4 metabolite. Panel A: 24 hours, B: 48 hours and C: 72 hours. Morphological changes following exposure to Lactic acid bacteria metabolite are typical of apoptosis, showing  $\uparrow a$  = cell shrinkage,  $\uparrow b$  = membrane blebbing,  $\uparrow c$  = apoptotic bodies formation, and  $\uparrow d$ ,  
25 necrotic cells. (Magnification: 400  $\times$ ).

Figure 5 is a graph of two-parameter scatterplots (left panel) and single parameter histogram (right panel) illustrating the detection of DNA strand breaks in apoptotic cells by TUNEL assay. Panel A: 72h untreated control; B: 72h treated with UL4 metabolite.

Apoptotic cells (R4) are characterized by very high frequency of DNA strand breaks (note exponential scale of Y coordinate) in scatterplots and M2 gate in histogram.

Figure 6 is a sequence listing of *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11  
5 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central  
Research Unit of Thailand

### **DETAILED DESCRIPTION OF THE INVENTION**

In particular, the present invention describes the cytotoxic effects of metabolites of Lactic  
10 acid bacteria strains isolated from Malaysian foods, *Lactobacillus* sp., on various  
cancerous cells without cytotoxic effects on normal cells. The present invention also  
relates to the induction of cell death on human cancerous cells. More particularly, the  
present invention relates to inhibition of proliferation of colon and breast cancer cells.  
More specifically, the present invention relates to the induction of apoptosis on human  
15 breast cancer cells.

It was demonstrated that the present invention provides a method for easily measuring the  
antitumour effect of Lactic acid bacterium, a method for screening a Lactic acid bacterium  
having an antitumour effect by using the method, a method for easily measuring an anti-  
inflammatory cytokine effect of Lactic acid bacterium and a method for screening a Lactic  
20 acid bacterium having an inflammatory cytokine inhibitory effect by using the method.

The solution is based on that the present invention, relates to a novel *Lactobacillus* genus  
microorganism and more particularly, *Lactobacillus plantarum* including I-UL4, TL1,  
RS5, RG14, RG11 and RI11 strains isolated from food sources. In particular, these strains  
are said to be having immune enhancement, anticancer activities and a use thereof. Due to  
25 its excellent anticancer activities by reducing the cancer cell viability, inhibition on  
proliferation of cancer cells and induction of apoptosis on human cancer cells, the  
*Lactobacillus plantarum* including I-UL4, TL1, RS5, RG14, RG11 and RI11 strains of the  
present invention can be effectively used for the production of various products such as



anticancer agent, food additive, feed additive, health supplement or functional food in nutraceutical industry and pharmaceutical products.

- Accordingly, the I-UL4, TL1, RS5, RG14, RG11 and RI11 strains were obtained from the Department of Bioprocess Technology, Universiti Putra Malaysia. Indeed, the strains are
- 5 capable of producing metabolites. Upon obtaining the metabolites from these stains, the metabolites are transferred in a fresh universal bottle and the pH of the metabolites were adjusted to a physiological pH using sodium hydroxide (NaOH). Moreover, the metabolites were filtered through 0.22  $\mu\text{m}$  membrane syringe filter prior to treatment on cancer cells. Later, the metabolites were kept at 4  $^{\circ}\text{C}$  till use.
- 10 Without being limited to theory, it is believed that the metabolites derived from probiotic Lactic acid bacteria are capable of significantly reducing cancer cell viability, inhibiting proliferation of cancer cells and inducing apoptosis on human cancer cells. See working examples herein for further details.

15 **BEST MODE TO CARRY OUT THE INVENTION**

- Before the present invention is further described, it is to be understood that this invention is not limited to particular embodiments described, as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the
- 20 present invention will be limited only by the appended claims. When a range of values is provided, it is understood that each intervening value, to the tenth of the unit of the lower limit unless the context clearly dictates otherwise, between the upper and lower limit of that range and any other stated or intervening value in that stated range, is encompassed within the invention. The upper and lower limits of these smaller ranges may
- 25 independently be included in the smaller ranges, and are also encompassed within the invention, subject to any specifically excluded limit in the stated range. When the stated range includes one or both of the limits, ranges excluding either or both of those included limits are also included in the invention.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can also be used in the practice or testing of the present invention, the preferred methods and materials are now described. All publications mentioned herein are incorporated herein by reference to disclose and describe the methods and/or materials in connection with which the publications are cited. It must be noted that as used herein and in the appended claims, the singular forms "a," "an," and "the" include plural referents unless the context clearly dictates otherwise.

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### EXAMPLES

The following example serves to illustrate the scope of the use of the present invention and not to limit its scope. Modifications and variations may be made to it without going away from neither the spirit nor the scope of the invention. Even though one may use other methods or products equivalent to those that we find hereinafter to test or to carry out the present invention, the material and the preferred methods are described. In the context of the present invention, in order to determine how the Lactic acid bacteria help in the apoptosis of cancer, trials have been conducted on the human breast cancer cell line MCF-7, human colorectal cancer cell line HT-29, human cervical cancer cell line HeLa, human liver cancer cell line Hep G2, human leukemia cell lines HL-60 and K-562. The Lactic acid bacteria used constitute of *Lactobacillus plantarum* including I-UL4, TL1, RS5, RG14, RG11 and RI11 strains. Additionally, these strains produce metabolite/s which acts as an anti-tumour agent or cytotoxic agent. Yet, in this present invention, the anti-tumour agent or cytotoxic agent is supported by bacteriocins, nutrients, vitamin, salt of organic acids.

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**Preparing Metabolite**

- 5      i)      The metabolites of *Lactobacillus* sp. was transferred to fresh universal bottle and the pH of the metabolites was adjusted to physiological pH (between 7.2 and 7.4) using NaOH. The metabolites was filtered through 0.22 µm membrane syringe filter (Milipore, USA) prior to treatment on both cancerous cell lines and normal cells.

**Obtaining cell line**

- 10      i)      The Animal Tissue Culture Laboratory of Universiti Putra Malaysia provided the human breast cancer cell line MCF-7, human colorectal cancer cell line HT-29, human cervical cancer cell line HeLa, human liver cancer cell line Hep G2, human leukemia cell lines HL-60 and K-562. As for non-malignant normal glandular epithelium cells MCF-10A which was used as a model of normal glandular epithelium was obtained from the American Type Culture Collection (ATCC). All  
15      cancer and normal cell lines were maintained in the ATCC recommended medium supplemented with 10% (v/v) heat-inactivated Foetal Bovine Serum and 100 U/ml penicillin-streptomycin, and incubated at 37 °C in 5% CO<sub>2</sub> atmosphere.
- 20      ii)      All animal experiments were conducted adhering to the guidelines of Faculty of Veterinary and Animal Sciences, Universiti Putra Malaysia. The 7-8 weeks old male ICR mice were sacrificed by cervical dislocation. The spleen and thymus obtained after dissection were rinsed 2-3 times with ice-cold PBS followed by mincing on a wire mesh soaked in PBS. The cell suspension was filtered using a wire mesh to remove any cell clumps. All the cells obtained were washed 2-3 times with ice-cold PBS by centrifugation (300 × g for 5 min).
- 25      ii)      With the guideline and consent obtained from Faculty of Veterinary and Animal Sciences, Universiti Putra Malaysia, about 10 ml of blood was drawn (venipuncture) aseptically from healthy human volunteers (25-30 years of age) and transferred to preservative free heparin tube. Anticoagulated blood was diluted with equal volume of pH 7.5 phosphate buffered saline (PBS) and

slowly layered over Ficoll-Paque Plus. The mixture was centrifuged in a swinging bucket rotor at  $400 \times g$  for 40 min at 18-20°C. Peripheral blood mononuclear cells (PBMC) were collected from Ficoll-plasma interface and washed twice with PBS. The cells pellets of mice splenocytes, thymocytes and human PBMC were resuspended in complete growth media with density of  $5 \times 10^5$  cells/ml.

#### **Measures of Viability of Cancer Cells, Proliferation and Apoptosis Induction**

- i) The effect of metabolites derived from six strains of locally isolated *Lactobacillus* sp. on cell viability was assessed using MTT assay according to Mosmann (1983). Cells were plated onto flat-bottomed 96-well plates at the density of  $1 \times 10^4$  cells/well for 24 h prior to treatment [control cells, 0 % (v/v) of metabolites] or in the presence of two-fold dilution of concentrations [50 % (v/v) to 0.5 % (v/v)] of metabolites. After 24 h, 48 h, and 72 h of incubation respectively, 20  $\mu$ l of MTT solution (5 mg/ml in PBS) was added to each well and incubated in the dark for 4 h at 37°C and 5% CO<sub>2</sub> atmospheric condition. The resultant formazan crystals were dissolved in 100  $\mu$ l of DMSO. The absorbance (A) at 570 nm with reference wavelength of 630 nm was then recorded using  $\mu$  Quant ELIZA reader (Biotek EL340, USA). Percentage of cell viability is calculated as  $(A_{\text{sample}} - A_{\text{blank}}) / (A_{\text{control}} - A_{\text{blank}}) \times 100\%$ . All experiments were conducted in three independent experiments with triplicate samples for each experiment and the concentration of 50% inhibition (IC<sub>50</sub>) values were determined.

**Table 1. Concentration of metabolites derived from six strains of *Lactobacillus* sp. showing 50% inhibition (IC<sub>50</sub> values) on various cancer cell lines and normal cells after 72 h of incubation.**

		IC <sub>50</sub> values of metabolites derived from <i>Lactobacillus</i> sp.					
	Type of cells	UL4	TL1	RS5	RG14	RG11	RI11
5	<b>Cancer cell lines</b>						
	MCF-7 cells	10	13	21	20	16	16
	HeLa	20	18	24	20	N.D.	18
	Hep G2	22	22	27	22	N.D.	N.D.
	HT-29	N.D.	N.D.	28	22	N.D.	N.D.
10	K-562	10	5	5	5	5	5
	HL-60	5	5	9	10	1	1
	<b>Normal cells</b>						
15	MCF-10A	26	N.D.	N.D.	N.D.	N.D.	N.D.
	Human PBMC	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.
	Mice splenocytes	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.
	Mice thymocytes	N.D.	N.D.	N.D.	N.D.	N.D.	N.D.

Notes: IC<sub>50</sub> value, % (v/v) of metabolites causing 50% inhibition of cell viability of treated cells. N.D., no IC<sub>50</sub> value was detected up to concentration of 50% (v/v).

- 20     **iii)** Cells were seeded at  $5 \times 10^3$  cells/well in 96-well plate. The medium was aspirated after 24 h and replaced with fresh medium containing the test extract to be studied for 24 h, 48 h and 72 h, respectively. Further procedure was carried out according to protocol from manufacturer. Using a BrdU ELISA system as an alternative to the radioactive [<sup>3</sup>H] thymidine incorporation assay, cells were reincubated with
- 25     BrdU subsequently to a final concentration of 10  $\mu$ M for 2-24 h. Medium was aspirated from each well. Fixative/denaturing solution was added to enable antibody binding to the incorporated BrdU where cells were fixed, permeabilized and the DNA denatured. Anti-BrdU antibody was added to every well and

incubated for 1 h to bind to incorporated BrdU. Unbound antibody was then washed away and horseradish peroxidase-conjugated goat anti-mouse which binds to the detector antibody was added. Stop solution was added to each well before the colored reaction product is quantified by measuring the absorbance of each well at 450 nm (reference wavelength 540 nm) using spectrophotometer (Biotek EL340, USA).

iv) One of the hallmarks of apoptotic cells is the externalization of phosphatidylserine (PS). In principle, annexin V detects cell surface phosphatidylserine while PI stains cellular DNA of cells with compromised cell membranes. This allows the discrimination of viable cells (annexin V/PI<sup>-</sup>) from early apoptotic cells (annexin V<sup>+</sup>/PI<sup>-</sup>) and late apoptotic and necrotic cells (annexin V<sup>+</sup>/PI<sup>+</sup>). In this study, mode of cell death was examined using the BD Biosciences Annexin V-FITC Apoptosis Detection Kit. MCF-7 cells were seeded into six-well tissue culture plates at a density of  $2.5 \times 10^5$  cells/well and allowed to attach overnight, followed by treatment for 24, 48 and 72 h. At the end of treatment, detached and trypsinised cells were pelleted down and resuspended in binding buffer. Cells were then stained with annexin V-FITC and PI for 15 min in the dark and diluted with binding buffer to a final volume of 500  $\mu$ l before flow cytometry analysis. A total of 10,000 events per sample were acquired (Vermes *et al.*, 1995). Data acquisition and analysis were performed on FACS-Calibur flow cytometer (BD Biosciences, USA). Results are expressed as the mean $\pm$ SEM of at least three separate experiments.

v) MCF-7 cells were treated with UL4 metabolite in six well plates and were incubated in 5% CO<sub>2</sub> atmospheric condition at 37°C for 24, 48 and 72 hours. After 24, 48 and hours of incubation, respectively, detached cells in the medium were collected and added back to trypsinised adherent cells. The cells were washed with PBS and then incubated with 10  $\mu$ l of AO (100  $\mu$ g/mL) and PI (100  $\mu$ g/mL) at a ratio of 1:1 in 1 mL of cells and recentrifuged at  $200 \times g$  for 5 min. The supernatant was aspirated, leaving 50  $\mu$ l of remnant supernatant. The pellet was resuspended and 10  $\mu$ l of cell suspension was dripped on slide and covered with cover slip. Within 30 min, the slide was observed under fluorescent microscope (Nikon FC-

35DX, Japan) with combination of excitation filter and barrier filter of 450-490 nm and long pass filter of 520 nm. The percentages of viable (green intact cells), apoptotic (green shrinking cells with condensed or fragmented nucleus), and late apoptotic and necrotic (red cells) were determined from >200 cells for the data to be statistically significant.

- 5
- vi) Cells treated with UL4 metabolite were harvested after incubation period of 24, 48 and 72 hours. The detached and trypsinised cells were pelleted and fixed with ice cold 80% ethanol drop by drop and incubate at 4°C until analysis (minimum 2 hours). Then, the cell was pelleted again and washed with PBS-BSA-Az-EDTA
- 10
- buffer for twice. The cells were pulse-vortexed to elute the DNA fragments. For DNA analysis, cells were stained in PBS buffer consists of 0.1% (v/v) Triton X-100, 10 mM EDTA, 50 µg/mL RNase A and 2 µg/mL PI. This process was carried out in the dark because PI is sensitive to light. The cell was then incubated for 30 min at 4°C and then read with FACS-Calibur flow cytometer (BD Biosciences,
- 15
- USA) at Animal Tissue Culture Laboratory, Department of Molecular Biology, Faculty of Biotechnology and Biomolecular Sciences, University Putra Malaysia within 24 hours. Ten thousand events per samples were acquired. Doublets and cell debris were gated off in DNA dot plot view before calculation. Cell cycle distribution was calculated using CELLQuest Pro software (BD Biosciences,
- 20
- USA). The experiment was repeated for at least three times with triplicated samples for each experiment.

**Table 2. Effect of UL4 metabolite on cell cycle phases distribution of MCF-7**

	untreated control (%)	UL4 metabolite (%)
<b>24 hours</b>		
Sub- G <sub>0</sub> /G <sub>1</sub>	0.5 ± 0.3	1.7 ± 1.6
G <sub>0</sub> /G <sub>1</sub>	59.1 ± 3.1	64.5 ± 6.7
S	21.4 ± 4.2	16.4 ± 3.6
M	19.3 ± 1.6	17.6 ± 3.3
<b>48 hours</b>		
Sub- G <sub>0</sub> /G <sub>1</sub>	0.5 ± 0.2	14.3* ± 5.6
G <sub>0</sub> /G <sub>1</sub>	80.1 ± 6.2	62.7 ± 2.1
S	9.4 ± 3.7	13.7 ± 2.7
M	10.1 ± 2.6	9.7 ± 1.1



**72 hours**

Sub- G <sub>0</sub> /G <sub>1</sub>	0.5 ± 0.1	9.5* ± 4.7
G <sub>0</sub> /G <sub>1</sub>	89.9 ± 1.4	70.2 ± 3.4
S	3.3 ± 0.4	9.9 ± 1.1
M	6.2 ± 1.5	10.1 ± 1.2

---

Notes: The data shown above the bars represent the mean of percentage of cells. Error bars represent SEM. Values within the same row and experiment having an asterick are significantly different ( $P > 0.05$ ).

- 5 (vi)DNA labeling was carried out using BD Biosciences APO-DIRECT Kit. Cells were seeded at  $2 \times 10^5$  cells/well in six-well tissue culture plate. After 24 hours, the medium was replaced with fresh medium containing the test extracts to be studied at the desired concentrations. Further procedure was done according to manufacturer's instructions. After incubation, the detached and trypsinised cells
- 10 were pelleted and resuspended in 1% (w/v) paraformaldehyde in PBS (pH 7.4) at a concentration of  $1-2 \times 10^6$  cells/mL. The cell suspension was placed on ice for 30-60 min. Fixed cells were then collected by centrifugation at  $300 \times g$  for 5 min and the supernatant was discarded. The cell pellets were washed twice in 5 mL of PBS and were resuspended in the residual PBS in tubes by gently vortexing.
- 15 Subsequently, cells were resuspended in 70% (v/v) ice-cold ethanol and left for a minimum of 30 min on ice before being stored at  $-20^\circ\text{C}$ . After appropriate storage time (1-7 days), the cell suspension was centrifuged at  $300 \times g$  for 5 min and the 70% v/v ethanol was aspirated. The cells were washed with 1 mL of Wash Buffer twice and the cell pellet was resuspended in 50  $\mu\text{L}$  of the DNA Labeling Solution.

After incubation of 60 min, the cells were rinsed with 1 mL of Rinse Buffer twice and pelleted by centrifugation at  $300 \times g$  for 5 min. The cell pellet was stained with 0.5 mL of the PI/RNase Staining Buffer. The cells were incubated in dark for 30 min at RT and analyzed by FACS-Calibur flow cytometry using CELLQuest Pro software within 3 hours. Ten thousand events per sample were acquired with the cell doublets and debris were gated off in DNA dot plot view before calculation.

Results were expressed as mean  $\pm$  S.E and analyzed by General Linear Model. The statistical analysis was conducted using Minitab Statistical Software at differences of  $P < 0.05$ .

**CLAIMS**

1. Use of a Lactic acid bacteria strains to prevent or treat a tumour, wherein the bacteria strains being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.  
5
2. Use according to claims 1, wherein the bacteria strains are in a live form or none live but intact.
3. Use according to claim 1, wherein the *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 produces metabolite which is capable to inhibit proliferation and induce apoptosis of cancer in a mammal in order to prevent or treat tumour.  
10
4. Use according to claim 3, wherein the mammal is a human being.
5. Use according to claim 3, wherein the metabolite includes bacteriocins.
6. Use according to any one of claims 1 to 5, wherein the metabolite is an anti-tumour agent.  
15
7. Use according to claim 6, wherein the anti-tumour agent is supported with nutrients, vitamin, salt of organic acids or combination thereof.
8. Use according to claim 7, wherein the vitamin is vitamin B.
9. Use according to claim 7, wherein the salt of organic acids includes sodium salt of formic acid, acetic acid and lactic acid.  
20
10. Use according to any one of claims 1 to 9, wherein the metabolite is at a range between 0% (v/v) and 50% (v/v) of the total complete growth media.
11. Use of the anti-tumour agent according to any one of claims 6 to 10, wherein the anti-tumour agent having the means of reducing viability in various types of human cancerous cells by having a concentration of 1% (v/v) to 50% (v/v).  
25
12. Use according to claim 11, wherein the human cancerous cells are human breast cancer cell line MCF-7, human colorectal cancer cell line HT-29, human cervical cancer cell line HeLa, human liver cancer cell line Hep G2, human leukemia cell lines HL-60 and K-562.
13. Use of the anti-tumour agent according to any one of claims 6 to 10, wherein the anti-tumour agent having the means of inhibit the proliferation in various types of human cancerous cells by having a concentration of 1% (v/v) to 50% (v/v).  
30

14. Use according to claim 11, wherein the human cancerous cells are human breast cancer cell line MCF-7 and human colorectal cancer cell line HT-29.
15. Use of a Lactic acid bacteria strains to facilitate induction of apoptosis of the cells of a cancer, wherein the strains being *Lactobacillus plantarum* I-UL4, TL1, RS5,  
5 RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
16. Use of at least one Lactic acid bacteria strain to manufacture a drug destined for the treatment or prevention of cancer, wherein the strains being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC  
10 Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
17. Use according to claim 1 to 16, wherein the anti-tumour agent provides a combination of 6 types of metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
18. Composition to treat or prevent cancer, comprising an effective quantity of at least one Lactic acid bacteria strains and a pharmaceutically acceptable vehicle, said strains being *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 is deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
19. Composition according to claim 18, characterized in that said bacterial strains are in a live form or none live form but intact.
20. Composition according to any one of claims 18 to 19, wherein the composition contains an anti-tumour agent which include metabolites such as bacteriocins and organic acids.
21. Composition according to any one of claims 18 to 20, wherein the composition further contains nutrients, vitamin, and salt of organic acids or combination thereof.
22. Composition according to claim 21, wherein the vitamin is vitamin B.
23. Composition according to claim 21, wherein the salt of organic acids includes sodium salt of formic acid, acetic acid and lactic acid.
24. Composition according to any one of claims 18 to 23, wherein the composition further includes a combination of 6 metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
- 30

25. Method to facilitate apoptosis of cancer cells in a mammal, the method comprises the administration in said mammal of a composition as defined in claims 18 to 23.
26. Method according to claim 25, the mammal is a human being.
27. Kit for preventing or treating a cancer in a mammal, wherein the kit comprises a  
5 container containing a composition as defined in claims 18 to 24.
28. Foodstuffs for anti-tumour containing *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.
29. Foodstuffs for anti-tumour according to claim 28, wherein the foodstuffs includes a  
10 combination of 6 metabolites derived from *Lactobacillus plantarum* I-UL4, TL1, RS5, RG14, RG11 and RI11 strains deposited at the BIOTEC Culture Collection (BCC), BIOTEC Central Research Unit of Thailand.

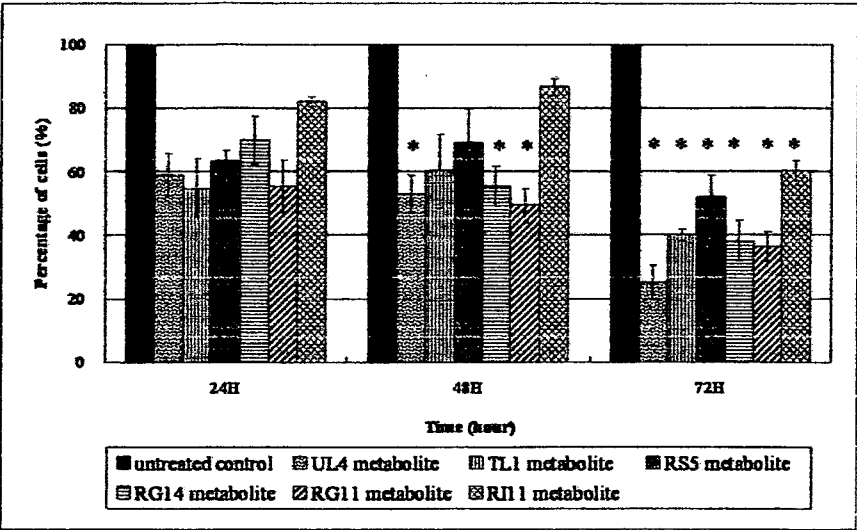


FIGURE 1: Anti-proliferative effect of metabolites derived from six strains of Lactic acid bacteria on MCF-7 cells.

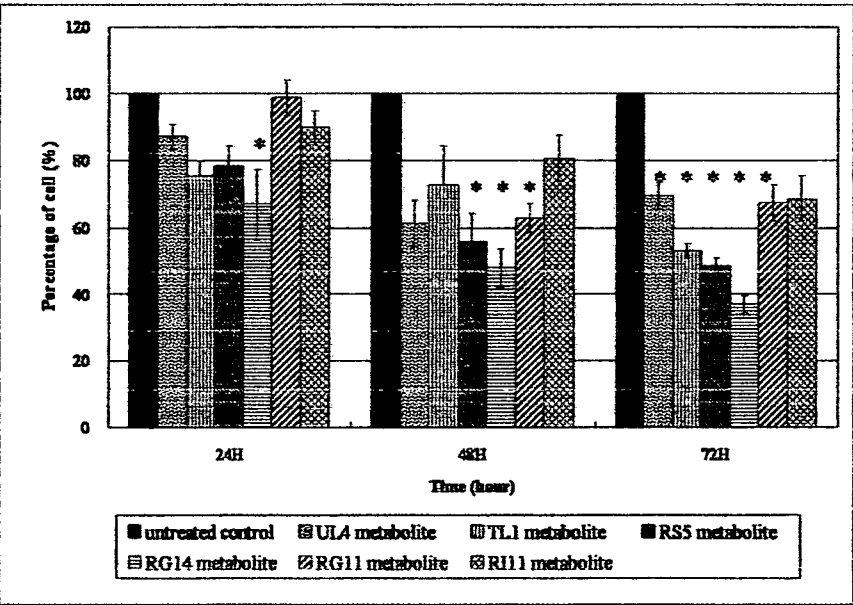


FIGURE 2: Anti-proliferative effect of metabolites derived from six strains of Lactic acid bacteria on HT-29 cells.

2/10

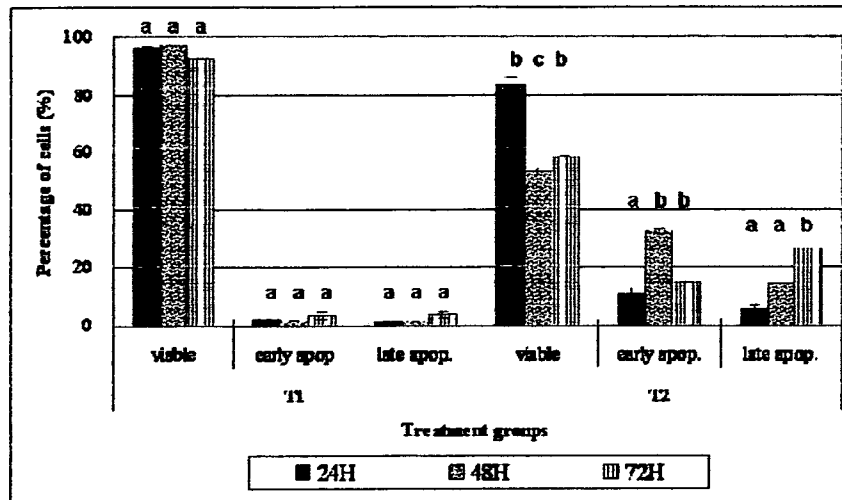
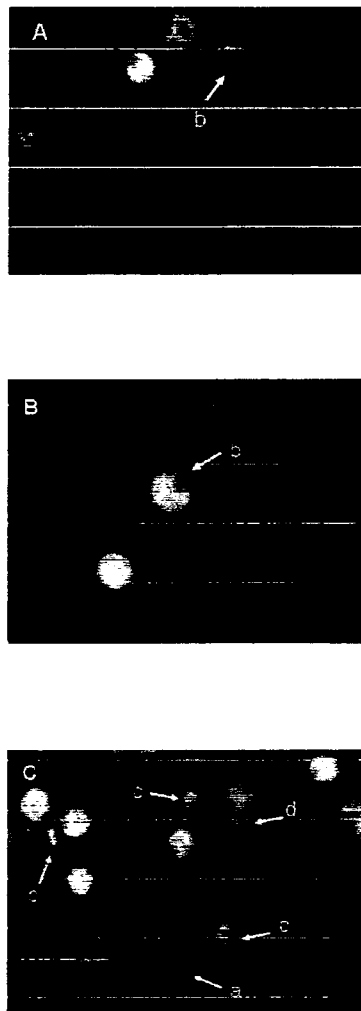


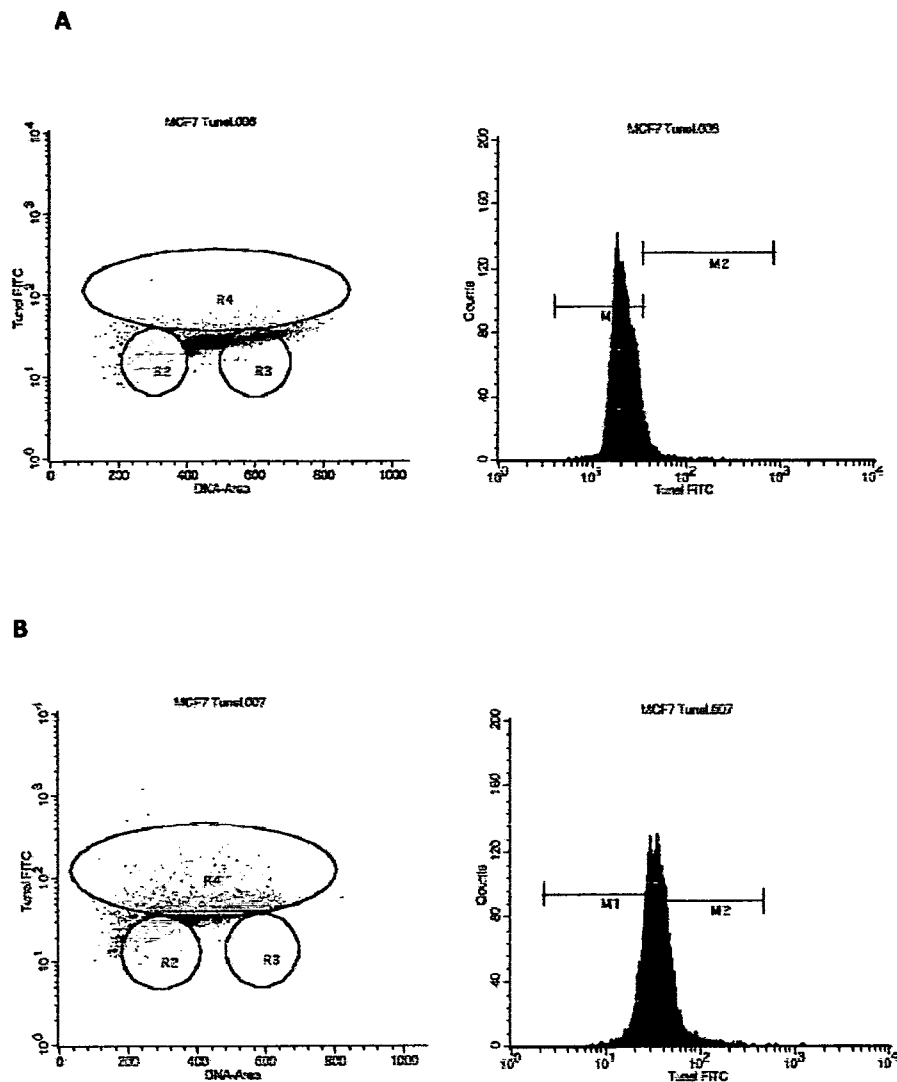
FIGURE 3: Apoptosis induction of Lactic acid bacteria metabolites on MCF-7 cells.

3/10



**FIGURE 4: Fluorescence photomicrographs of MCF-7 cells treated with UL4 metabolite. Panel A: 24 hours, B: 48 hours and C: 72 hours. Morphological changes following exposure to LAB metabolite are typical of apoptosis, showing ↑a = cell shrinkage, ↑b = membrane blebbing, ↑c = apoptotic bodies formation, and ↑d, necrotic cells. (Magnification: 400 ×).**





**FIGURE 5: Two-parameter scatterplots (left panel) and single parameter histogram (right panel) illustrating the detection of DNA strand breaks in apoptotic cells by TUNEL assay. Panel A: 72h untreated control; B: 72h treated with UL4 metabolite. Apoptotic cells (R4) are characterized by very high frequency of DNA strand breaks (note exponential scale of Y coordinate) in scatterplots and M2 gate in histogram.**

FIGURE 6: SEQUENCE LISTING OF *Lactobacillus plantarum****Lactobacillus plantarum* strain RG14**

acgaactctg gtattgattg gtgcttgcac catgatttac attgagtga gtggcgaact	60
ggtagtaac acgtgggaaa cctgccaga agcgggggat aacacctgga aacagatgct	120
aataccgcat aacaacttgg accgcatggt ccgagcttga aagatggctt cggctatcac	180
tttggatgg tcccgcggcg tattagctag atgggggggt aacggctcac catggcaatg	240
atacgtagcc gacctgagag ggtaatcggc cacattggga ctgagacacg gcccaaactc	300
ctacgggagg cagcagtagg gaatctcca caatggacga aagtctgatg gagcaacgcc	360
gcgtgagtga agaagggtt cggctcgtaa aactctgttg ttaaagaaga acatatctga	420
gagtaactgt tcaggtattg acgtattta accagaaagc cacggctaac tacgtgccag	480
cagcccggt aatacgtagg tggcaagcgt tgtccggatt tattgggcgt aaagcgagcg	540
caggcggtt ttaaagtctg at	562

6/10

***Lactobacillus plantarum* strain RI-11**

acgaactctg tattgattgg tgcttgcac atgatttaca tttagtgag tggcgaactg 60  
gtgagtaaca cgtgggaaac ctgccagaa gcgggggata acacctgaa acagatgcta 120  
ataccgcata acaacttga ccgcatggc cgagcttgaa agatggcttc ggctatcact 180  
tttgatggt cccgcggcgt attagctaga tggggggta acggctcacc atggcaatga 240  
tacgtagccg acctgagagg gtaatcgcc acattgggac tgagacacgg cccaaactcc 300  
tacgggaggc agcagtaggg aatcttcac aatggacgaa agtctgatgg agcaacgccg 360  
cgtgagtga gaagggttc ggctcgtaaa actctgtgt taaagaaga catatctgag 420  
agtaactgtt caggtattga cggatttaa ccagaaagcc acggctaact acgtgccagc 480  
agccgcgta atacgtagg ggcaagcgt gtccggattt attggcgta aagcgagcgc 540  
aggcggttt ttaagtctga t 561

7/10

***Lactobacillus plantarum* strain RS5**

acgaactctg gtattgattg gtgcttgcac catgatttac atttgagtga gtggcgaact	60
ggtagtaac acgtgggaaa cctgcccaga agcgggggat aacacctgga aacagatgct	120
aataccgcat aacaacttg accgcatggt ccgagcttga aagatggctt cggctatcac	180
tttggatgg tcccgcggcg tattagctag atgggtgggt aacggctcac catggcaatg	240
atacgtagcc gacctgagag ggtaatcggc cacattggga ctgagacacg gcccaaactc	300
ctacgggagg cagcagtagg gaatcttcca caatggacga aagtctgatg gagcaacgcc	360
gcgtgagtga agaaggggtt cggctcgtaa aactctgttg ttaaagaaga acatatctga	420
gagtaactgt tcaggtattg acggtattta accagaaagc cacggctaac tacgtgccag	480
cagccgcggt aatacgtagg tggcaagcgt tgtccggatt tattgggcgt aaagcgagcg	540
caggcggtt ttaagtctg at	562

***Lactobacillus plantarum* strain RG11**

acgaactctg gtattgattg gtgcttgcac catgatttac atttgagtga gtggcgaact	60
ggtagagtaac acgtgggaaa cctgcccaaga agcgggggat aacacctgga aacagatgct	120
aataccgcat aacaacttgg accgcatggt ccgagcttga aagatggctt cggctatcac	180
tttggatgg tcccgcgcg tattagctag atgggtgggt aacggctcac catggcaatg	240
atacgtagcc gacctgagag ggtaatcggc cacattggga ctgagacacg gcccaaactc	300
ctacgggagg cagcagtagg gaatcttcca caatggacga aagtctgatg gagcaacgcc	360
gcgtgagtga agaagggttt cggctcgtaa aactctgtt ttaaagaaga acatatctga	420
gagtaactgt tcaggtattg acggtattta accagaaagc cacggctaac tacgtgccag	480
cagcccggt aatacgtagg tggcaagcgt tgcctggatt tattggcggt aaagcgagcg	540
caggcggtt tttaagtctg at	562

***Lactobacillus plantarum* strain UL4**

acgaactctg gtattgattg gtgcttgcac catgatttac atttgagtga gtggcgaact	60
ggtagtaac acgtgggaaa cctgcccaga agcgggggat aacacctgga aacagatgct	120
aataccgcat aacaacttgg accgcatggt ccgagcttga aagatggctt cggctatcac	180
tttggatgg tcccgcggcg tattagctag atggtgggt aacggctcac catggcaatg	240
atacgtagcc gacctgagag ggtaatcggc cacattggga ctgagacacg gcccaaactc	300
ctacgggagg cagcagtagg gaatcttcca caatggacga aagtctgatg gagcaacgcc	360
gcgtgagtga agaaggggtt cggctcgtaa aactctgtt tttaaagaaga acatatctga	420
gagtaactgt tcaggtattg acggtattta accagaaagc cacggctaac tacgtgccag	480
cagccgcggt aatacgtagg tggcaagcgt tgtcccgat ttattggccg taaagcgagc	540
gcaggcggtt ttttaagtct gat	563

10/10

*Lactobacillus plantarum* strain TL1

acgaactctg gtatgattg gtgcttgcac catgatttac atttgagtga gtggcgaaat 60  
 ggtgagtaac acgtgggaaa cctgccaga agcgggggat aacacctgga aacagatgct 120  
 aataccgat aacaacttg accgatggt ccgagcttga aagatggctt cggctatcac 180  
 ttttgatgg tcccgggcg taitagctag atggtggggt aacggctcac catggcaatg 240  
 atacgtagcc gaccggag agtaatcggc cacattggga cagagacac gcccaaactc 300  
 ctacgggagg cagcagtacg gaattctcca caatggacga aagtctgag gagcaacgcc 360  
 gcgtgagtga agaagggtt cggctcgtaa aactctgtt taaagaaga acatatctga 420  
 gactaactgt tcaggtatt acgtattta accagaaag caccgctaac tacgtgccag 480  
 cagccgggt aatacgtagg tggcaagcgt tgcctggatt taatggcgt aaagcgagcg 540  
 caggcgggt ttaagttg at 562

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/MY2010/000307

## A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl.

A61K 35/74 (2006.01)

A61P 35/00 (2006.01)

C12N 1/20 (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Files WPI, Medline, Epodoc, Caplus and Biosis keywords: Lactobacil?, probiotic#, plantarum, IUL4, TL1, RS5, RI11, RG11 and RG14

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<u>X</u>	WO 2010/117255 A1 (UNIVERSITI PUTRA MALAYSIA (UPM)) 14 October 2010 See pg. 3 second and third paragraph; pg. 7 last paragraph and pg. 8 first paragraph; claims 1-9 and 25.	<u>18-23 and 27</u>
A		1-17, 24-26, 28 and 29
E	WO 2011/019264 A1 (UNIVERSITI PUTRA MALAYSIA (UPM)) 17 February 2011 See pg. 4 second paragraph; page 9 examples and claims 1-3.	18-23 and 27



Further documents are listed in the continuation of Box C



See patent family annex

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
01 April 2011Date of mailing of the international search report  
8 APR 2011Name and mailing address of the ISA/AU  
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/MY2010/000307

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	<p>Foo, H.L. et al., "Effects of Adding <i>Lactobacillus plantarum</i> I-UL4 Metabolites in Drinking Water of Rats", Pakistan Journal of Nutrition, (2003), Vol. 2, No. 5, pages 283-288.</p> <p>See pg. 283 &amp; 284 Materials and Methods first paragraph; pg. 286 last paragraph and Abstract</p>	<p><u>18-20 23 and 27</u></p> <p>1-17, 21, 22, 24-26, 28 and 29</p>
	<p>Foo H. L. et al., "Effects of Feeding <i>Lactobacillus plantarum</i> I-UL4 Isolated from Malaysian Tempeh on Growth Performance, Faecal Flora and Lactic Acid Bacteria and Plasma Cholesterol Concentrations in Postweaning Rats", Food Science and Biotechnology, (2003), Vol. 12, No. 4, pages 403-408</p> <p>See pg. 404 lines 6-27; Table 1; pg. 405 right column lines 10-16</p>	<p><u>18-23 and 27</u></p> <p>1-17, 24-26, 28 and 29</p>
X A	<p>Thu T. V. et al., "Effects of liquid metabolite combinations produced by <i>Lactobacillus plantarum</i> on growth performance, faeces characteristics, intestinal morphology and diarrhoea incidence in postweaning piglets", Tropical animal health and production, (Published online 15 July 2010), Vol. 43, No. 1, pages 69-75</p> <p>See pg. 70 Materials and Methods first paragraph; pg. 73 Discussion lines 6-10.</p>	<p><u>18-21 23 and 27</u></p> <p>1-17, 22, 24-26, 28 and 29</p>

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/MY2010/000307

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
WO	2010117255	AU	2009344224	MY	2009000050
WO	2011019264	NONE			
Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.					
END OF ANNEX					

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/MY2010/000307

**Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)**

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing filed or furnished:
  - a. (means)  
☐ on paper  
☐ in electronic form
  - b. (time)  
☐ in the international application as filed  
☐ together with the international application in electronic form  
☐ subsequently to this Authority for the purposes of search
2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
3. Additional comments:  
**Sequence listing furnished on paper and in an electronic form with the international application as filed was not used for the purpose of this search and opinion.**