(19)

(12)



(11) Publication number:

(43) Publication date:

(51) Int. CI:

SG 190120 A1

28.06.2013

G06F 11/00, G06F 12/14;

Patent Application

(21) Application number: 2013033907

(22) Date of filing: **31.10.2011**

(30) Priority: US 61/456,192 01.11.2010

US 13/200,504 23.09.2011

(71) Applicant:

HBGARY, INC. 3604 FAIR OAKS BLVD.,

SUITE 250, SACRAMENTO, CA 95864 CA

US

(72) Inventor: HOGLUND, MICHAEL, G. 3604 FAIR

OAKS BLVD., SUITE 250 SACRAMENTO,

CA 95864 US

BRACKEN, SHAWN, M. 3604 FAIR

OAKS BLVD., SUITÉ 250 SACREMENTO,

CA 95864 US

(54) Title:

INOCULATOR AND ANTIBODY FOR COMPUTER SECURITY

(57) Abstract:

In an embodiment of the invention, a method includes: determining, in a computer, an area where an undesired computer program will reside; and providing a data object in the area, so that the data object is an antibody that provides security to the computer and immunity against the undesired program. Another embodiment of the invention also provides an apparatus (or system) that can be configured to perform at least some of the above functionalities.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2012/061319 A1

(43) International Publication Date 10 May 2012 (10.05.2012)

- (51) International Patent Classification: G06F 11/00 (2006.01) G06F 12/14 (2006.01)
- (21) International Application Number:

PCT/US2011/058653

(22) International Filing Date:

31 October 2011 (31.10.2011)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/456,192 1 November 2010 (01.11.2010) 13/200,504 23 September 2011 (23.09.2011)

US US

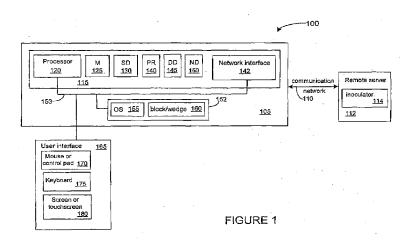
- (71) Applicant (for all designated States except US): HB-Gary, Inc. [US/US]; 3604 Fair Oaks Blvd., Suite 250, Sacramento, CA 95864 (US).
- (72) Inventors (for all designated States except US): HOGLUND, Michael, G.; 3604 Fair Oaks Blvd., Suite 250, Sacramento, CA 95864 (US). BRACKEN, Shawn, M.; 3604 Fair Oaks Blvd., Suite 250, Sacremento, CA 95864 (US).
- (74) Agent: DE GUZMAN, Arnold, M.; Deguzman & Associates, PC, 5276 Hollister Avenue, Suite 160, Santa Barbara, CA 93111 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

- AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(54) Title: INOCULATOR AND ANTIBODY FOR COMPUTER SECURITY



(57) Abstract: In an embodiment of the invention, a method includes; determining, in a computer, an area where an undesired computer program will reside; and providing a data object in the area, so that the data object is an antibody that provides security to the computer and immunity against the undesired program. Another embodiment of the invention also provides an apparatus (or system) that can be configured to perform at least some of the above functionalities.





1	INOCULATOR AND ANTIBODY FOR COMPUTER SECURITY
2	
3	Inventors: Michael Gregory Hoglund
4	Shawn Michael Bracken
5	
6	
7	CROSS-REFERENCE TO RELATED APPLICATION
8	This application claims a priority to and claims the
9	benefit of U.S. Provisional Application No. 61/456,192.
10	U.S. Provisional Application No. 61/456,192 is incorporated
11	herein by reference in its entirety.
12	
13	BACKGROUND
14	Undesired programs, such as malware, are computer
15	programs or software that perform actions on a computer (or
16	computer system) without the consent of the computer user.
17	Malware can include, for example, viruses, worms, Trojan
18	horses, backdoors, spyware, adware, botnets, or other
19	malware or other programs that can have a malicious effect
20	(or perform other undesired functions) on a computer. A
21	malicious effect on a computer is, for example, when the
22	computer (or computer resources or objects) becomes
23	damaged, disrupted, corrupted, undesirably burdened, or
24	otherwise affected in an undesirable manner. As known to

1 those skilled in the art, what is undesirable to a user may

- 2 actually be desirable to another user or may even be a
- 3 viable software product of a vendor or software tool in the
- 4 malware testing procedures.
- 5 As some specific examples, botnets are software agents
- 6 used to create and send spam, malware, or viruses, or flood
- 7 a network with messages such as a denial of service attack.
- 8 Spyware is a type of malware that can be installed on
- 9 computers and collects information about users without
- 10 their knowledge. Viruses are programs that can damage or
- 11 disrupt programs or computers, and can reproduce itself and
- 12 infect other programs or computers.
- 13 Current approaches to cleaning (e.g., removing,
- 14 deleting, or quarantining) of undesired programs or
- 15 preventing infections of undesired programs require, for
- 16 example, the use of software agents, active running code
- 17 (processes), or behavioral blocking software. For example,
- 18 firewalls are used to block known malware, but uses
- 19 computer resources to function. Conventional malware
- 20 protection solutions may often be expensive and difficult
- 21 for large businesses (or organizations) to maintain.
- 22 Some specific non-malicious computer programs may also
- 23 be undesired by a business. For example, a business (e.g.,
- 24 a large corporation) may not want peer-to-peer filesharing

1 programs (or other types of computer programs) in the

- 2 network of the business. Additionally, these non-malicious
- 3 undesired programs can consume computer resources such as,
- 4 for example, hardware resources (e.g., memory space and/or
- 5 processor resource) and/or software resources (e.g.,
- 6. operating system tasks). Therefore, a computer user may
- 7 desire to prevent the installment of particular computer
- 8 programs that are not necessarily malicious code.
- 9 When a user discovers the presence of an undesired
- 10 computer program in a computer (or the presence of an
- 11 undesired program in devices in a network, or the presence
- 12 of an undesired program in a portable computing device such
- 13 as, for example, a personal digital assistant, smart phone,
- 14 iPad, or iPhone), the user can usually remove the undesired
- 15 program by use of a currently available solution. For
- 16 example, current software programs are available to remove
- 17 malware or viruses in a computer. However, the computer
- 18 can often be re-infected by the same undesired program. If
- 19 the re-infection rate is high (e.g., approximately 50% or
- 20 greater), then the cost of maintaining the computer will
- 21 become expensive for a business, particularly if multiple
- 22 computers become re-infected with the same undesired
- 23 program.

1 This increased cost is likely incurred if the business

- 2 uses an "enterprise system" which is a large-scale,
- 3 organization-wide, integrated application-software package.
- 4 For example, if a business is re-imaging a computer for use
- 5 with or as part of the enterprise system, and after
- 6 analyzing the re-imaged computer, the re-imaged computer is
- 7 found to be infected or re-infected by an undesired program
- 8 (e.g., malware or virus) that has previously infiltrated
- 9 the computers of the business, then the procedure of having
- 10 to remove the re-infection will lead to increased costs for
- 11 the business.
- 12 The current technology does not provide a less
- 13 complicated and less expensive approach to preventing the
- 14 re-infection of computers or networked devices. Therefore,
- 15 the current technology is limited in its capabilities and
- 16 suffers from at least the above constraints and
- 17 deficiencies.

1 BRIEF DESCRIPTION OF THE DRAWINGS

- Non-limiting and non-exhaustive embodiments of the
- 3 present invention are described with reference to the
- 4 following figures, wherein like reference numerals refer to
- 5 like parts throughout the various views unless otherwise
- 6 specified.
- 7 Figure 1 is a block diagram of an apparatus (system)
- 8 in accordance with an embodiment of the invention.
- 9 Figure 2 is a block diagram showing various features
- 10 in the apparatus of Figure 1, in accordance with various
- 11 embodiments of the invention.
- 12 Figure 3 is a block diagram of a method in accordance
- 13 with an embodiment of the invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

In the description herein, numerous specific details

- 3 are provided, such as examples of components, modules,
- 4 software, programmed code, and/or methods, to provide a
- 5 thorough understanding of embodiments of the invention.
- 6 One skilled in the relevant art will recognize, however,
- 7 that an embodiment of the invention can be practiced
- 8 without one or more of the specific details, or with other
- 9 apparatus, systems, methods, modules, software, programmed
- 10 code, components, materials, parts, and/or the like. In
- 11 other instances, well-known structures, materials, modules,
- 12 software, programmed code, or operations are not shown or
- 13 described in detail to avoid obscuring aspects of
- 14 embodiments of the invention. Additionally, the figures
- 15 are representative in nature and their shapes are not
- 16 intended to illustrate the precise shape or precise size of
- 17 any element and are not intended to limit the scope of the
- 18 invention.

19

- 20 Figure 1 is a block diagram of an apparatus (system)
- 21 100 in accordance with an embodiment of the invention, or
- 22 is a block diagram an apparatus (system) that can be used
- 23 in an embodiment of the invention. An example computing
- 24 device 105 (or computer 105) is connectable to and can

1 communicate along a communication network 110. Therefore,

- 2 the computing device 105 can communicate with and download
- 3 data objects or programs from a remote server 112 via the
- 4 network 110. An inoculator engine 114 in accordance with
- 5 an embodiment of the invention will operate on the platform
- 6 of the server 114, and the functionality of the inoculator
- 7 engine 114 will be discussed below.
- 8 The computing device 105 can be, for example and
- 9 without limitations, a computer (e.g., a personal
- 10 computer), server, workstation, mainframe computer,
- 11 minicomputer, microcomputer, notebook or laptop computer,
- 12 palmtop, a smart device (e.g., a smart printer, smart
- 13 camera, smart scanner, or other smart devices), or a
- 14 portable computing device (e.g., cell phone, smart phone,
- 15 personal digital assistant, iPad, iPhone, or other portable
- 16 computing devices), or another type of computer.
- 17 The communication network 110 is, for example and
- 18 without limitations, a public network such as a wide area
- 19 network (e.g., Internet), a local area network (LAN), or a
- 20 different type of private network, semi-private, or public
- 21 network. The link(s) forming the network 110 can be wired,
- 22 wireless, or a combination of both.
- The computing device 105 includes standard elements
- 24 115 that are used in computing operations or data

1 transmissions. Some of the elements 115 shown in Figure 1

- 2 are hardware elements or objects (e.g., disk drivers). Of
- 3 course, the components in the elements 115 may vary
- 4 depending on the type of computing device. For example,
- 5 some hardware elements in a personal computer can vary in
- 6 comparison to the hardware elements in a PDA or smart
- 7 phone. As an example, the elements 115 include a processor
- 8 120, one or more memory devices 125, storage devices 130
- 9 such as disks, ports 140 (which could alternatively be
- 10 components in a network interface 142), a disk driver 145,
- 11 a network driver 150 (which could alternatively be a
- 12 component in the network interface 142), and/or other known
- 13 elements that are used in computing devices.
- 14 The computing device 105 also includes software
- 15 elements 152 such as, for example, an operating system (OS)
- 16 155 that performs management functions and other functions
- 17 that are known to those skilled in the art. The OS 155 can
- 18 be one of various types of known OS 155 such as, for
- 19 example, the Microsoft WINDOWS® based operating system, MAC
- 20 OS®, MAC OS X®, iOS (mobile operating system), UNIX or
- 21 UNIX-like OS, BSD and its descendant operating systems,
- 22 LINUX AND GNU, Google CROME OS®, OS/2, or another operating
- 23 system. It is noted that at least some of the above names
- 24 for operating systems are registered trademarks. As will

1 be discussed below, the inoculator 114 is configured to

- 2 transmit a block object 160 (i.e., block 160 or wedge 160)
- 3 onto the computing device 105 to prevent a known specific
- 4 software program from being installed or executing in the
- 5 computing device 105. The block object 160 is a data
- 6 object that is an inert object (or a null object or a data
- 7 object that does not perform a function and does not
- 8 execute a function). This block object 160 is also
- 9 alternatively referred herein as a "block 160" or a "wedge
- 10 160". A particular block object 160 will block the
- 11 installation or execution of a particular computer program
- 12 on the computing device 105, as will be discussed below in
- 13 additional details. The computer program that will be
- 14 blocked can be a malicious computer program or a non-
- 15 malicious computer program.
- 16 The computing device 105 can also include a user
- 17 interface 165 or/and other peripheral components. Of
- 18 course, the user interface 165 may vary, depending on the
- 19 type of computing device 105. For example, a user
- 20 interface for a notebook computer can vary from a user
- 21 interface for a PDA or a user interface for a smart phone.
- 22 The interface 165 can be integrated with or can be coupled
- 23 to and function with the computing device 105. As an
- 24 example, the interface 165 for a personal computer can

1 include at least one of the following: mouse (or control

- 2 pad) 170, keyboard 176, and/or screen (or touchscreen) 180.
- 3 A bus 153 can permit the above discussed components for the
- 4 computing device 105 to communicate with and function
- 5 together. Other standard hardware, software, or firmware
- 6 components that can be used in the device 105 are not shown
- 7 in Figure 1 for purposes of clarity in the drawings.
- 8 The inoculator engine 114 is formed by software code
- 9 based on a standard programming language (e.g., C, C++, or
- 10 other suitable languages)

- 12 Figure 2 is a block diagram that shows additional
- 13 details of the apparatus 100 of Figure 1, in accordance
- 14 with an embodiment of the invention. Assume that a known
- 15 computer program 205 (i.e., unwanted or undesired computer
- 16 program 205, or unwanted or undesired software program 205)
- 17 is, for example, a known malware or a known non-malicious
- 18 program. A malware could be, for example, viruses, worms,
- 19 Trojan horses, backdoors, spyware, adware, botnets, or
- 20 other malware or other programs that can have a malicious
- 21 effect (or perform other undesired functions) on a
- 22 computer. A non-malicious program could be, for example,
- 23 peer-to-peer filesharing programs (or other types of
- 24 computer programs that are non-malicious). Other non-

1 malicious programs can be, for example, computer programs

- 2 that execute computer games, videos, or other programs that
- 3 a business would like to prevent from being installed (or
- 4 re-installed) in the computing device 105 so that employees
- 5 are not distracted and can remain productive in the
- 6 workplace. The known computer program 205 could also be a
- 7 recently discovered or recently analyzed malware program or
- 8 non-malicious program that has previously infected the
- 9 computing device 105 (or infected any other devices that
- 10 are connected to the network 110 or connected to the
- 11 computing device 105), has been previously deleted from the
- 12 computing device 105, and then previously analyzed in its
- 13 attributes 210. The attributes 210 of a computer program
- 14 205 can be stored in, for example, a separate remote
- 15 database 212 or in a database in the remote server 112, or
- 16 in another device (not shown in Figure 2) that can be
- 17 accessed by the remote server 112. Various methods for
- 18 analyzing attributes of a computer program are known to
- 19 those skilled in the art.
- In one example, the computer program 205 has been
- 21 previously installed in the computing device 105 (or
- 22 another computing device that can communicate with the
- 23 computing device 105 or can communicate on network 110).

Assume that computer program 205 has infected the 1 computing device 105 (or another device that can 2 communicate with the computing device 105 or can 3 communicate on network 110). As one example, in order to 4 remove the computer program 205 from the computing device 5 105, the Windows Management Interface (WMI) can be used to 6 connect to the computing device 105. As known to those 7 skilled in the art, WMI allows management and control of 8 computing devices with a particular operating system such 9 as, for example, Windows OS. As an example, the computing 10 devices to be managed via WMI can be in a network such as, 11 for example, an enterprise network. Network administrators 12 can use WMI to query and set information on computing 13 devices, applications, and networks. Use of WMI allows the 14 administrator to use Microsoft-supported API (application 15 program interface) calls to access the registry 230 (in the 16 file system 220), and determine if a particular computer 17 program 205 (malicious computer program or non-malicious 18 computer program) has been installed in the computing 19 device 105. 20 The administrator can use API calls to write data to a 21 specific registry key 222. As an example, this specific 22 registry key 222 is the registry key that is used from 23 Microsoft service pack installations or updates, and this 24

registry key will contain instructions about the particular 1 files and registry keys to remove after a re-boot of the 2 computing device 105. To remove a particular computer 3 program 205 from the computing device 105, the instructions 4 for removing the particular computer program 205 is written 5 into the specific registry key 222. When the computing 6 device 105 is re-booted, the operating system 115 will read 7 the specific registry key 222 and will remove the 8 particular computer program 205 (and also remove the 9 registry key 206 for the computer program 205) from the 10 computing device 105. The OS 155 performs this removal of 11 the program 205 and registry key 206 based on the 12 instructions in the registry key 222 for removing the 13 particular program 205 and registry key 206. Therefore, 14 features in the WMI can be advantageously used for cleaning 15 (e.g., inoculating, removing or deleting or quarantining) a 16 computer program 205 from the computing device 105. Based 17 on the discussion herein, it is understood that other 18 methods for removing a computer program 205 from the 19 computing device 105 may also be advantageously used. 20 21 Assume that the attributes 210 of the known computer 22

13

program 205 are then analyzed. Those skilled in the art

can analyze a computer program to determine the following

23

- 1 characteristics or details of the attributes 210. As an
- 2 example, the attributes 210 indicate that the known program
- 3 205 has a software name "UNWANTED-1" and file extension
- 4 "ext". Assume further that attributes 210 indicate that
- 5 the known computer program 205 will be stored by the files
- 6 system 220 into the location 215. As known to those
- 7 skilled in the art, a file system is one of the subsystems
- 8 in any operating system type (platform). Typically, the
- 9 location 215 will be an area in the memory 125 (Figure 1)
- 10 of the computing device 105.
- 11 The attributes 210 may also indicate that the known
- 12 program 205 will create the registry key 206 into the
- 13 registry 230 (which is managed by the file system 220 and
- 14 is in a memory area 235 of memory 125 (Figure 1)), if the
- 15 known program 205 is attempting to install into a WINDOWS-
- 16 based operating system or is attempting to install in
- 17 another type of operating system that uses a registry-type
- 18 system for installed software.
- 19 As known to those skilled in the art, a registry is a
- 20 database used by the Windows operating system to store
- 21 configuration information about the computer program. Most
- 22 computer programs would write data to the registry, at
- 23 least during installation.

Those skilled in the art will realize that variations 1 or alternatives in the configurations discussed herein can 2 be made. For example and as discussed herein, some objects 3 (or other storage components) that are stored in memory 4 (e.g., Random Access Memory) may not be resident in the 5 memory at all times, and stored on disk and loaded into 6 memory when these objects are accessed. As a more specific 7 example, some objects (or other storage components) such as 8 the disk driver 145 (Figure 1), the registry 230, and/or 9 the file system 220 are typically stored on disk and may 10 not be resident in RAM at all times, and loaded into RAM 11 only when these objects (or storage components) are 12 13 accessed. 14 Alternatively or additionally, the attributes 210 may 15 indicate that the known program 205 will create a 16 configuration information file 240 (i.e., settings file 17 240) if the known program 205 is attempting to install into 18 a non-WINDOWs based operating system. The configurations 19 file 240 effectively registers the known program 205 when 20 the program 205 installs into the computing device 105. 21 For example, in the MAC® OS® operating system, the 22 configurations file 240 is a preference file 240. A 23 computer program 205 would rebuild its preference file 240 24

if the preference file is deleted. It is within the scope 1 of embodiments of the invention for the configuration file 2 240 to encompass any suitable configuration file or 3 metadata file, or other data file that would be associated 4 with a computer program 205 and that would be used by any 5 suitable type of operating system that is not necessarily 6 limited to the MAC OS operating system. 7 8 In an embodiment of the invention, an inoculator 9 engine 114 can be stored in a memory 250 and can be 10 executed by a processor 255 in the remote computing device 11 112 (which can be, for example and without limitations, a 12 server or another type of computer). If the operating 13 system 155 is a Windows operating system, then the 14 administrator can permit the processor 255 to execute the 15 inoculator engine 114. The inoculator engine 114 will read 16 the attributes 210, including registry key 206, that has 17 been stored or gathered in the database 212 and that are 18 attributes or metadata associated with the known computer 19 program 205. The attributes 210 would typically indicate 20 the memory space or memory address space (in target device 21 105) that the computer program 205 will reside after 22 installation or the file system location (in target device 23

105) in which the computer program 205 will reside after

1 installation. The attributes 210 may also indicate the

- 2 registry location 226 that will receive a registry key 206
- 3 of the undesired computer program 205.
- In one embodiment of the invention, the inoculator
- 5 engine 114 will provide and transmit 260 across network 110
- 6 a block object 160 (i.e., block 160 or wedge 160) onto the
- 7 computing device 105 to prevent a known specific software
- 8 program (e.g., known program 205) from being installed or
- 9 executing in the target computing device 105. The block
- 10 object 160 is a data object that is an inert object (or a
- 11 null object or a data object that does not perform a
- 12 function and does not execute a function). This block
- 13 object 160 is also alternatively referred herein as a
- 14 "block 160" or a "wedge 160" or a "dummy file 160" which
- 15 does not execute but only occupies memory space. This
- 16 block object 160 can be, for example, a given data of a
- 17 particular size that does not perform any function and that
- 18 occupies the memory space (or memory address space) of the
- 19 known computer program 205 that is to be prevented from re-
- 20 installing into the computing device 105.
- 21 A file system 220 of the OS 155 will install the block
- 22 data 160 into a memory area 215. For a Windows type
- 23 operating system, the file system 220 will also install a
- 24 fake registry key 225 (null registry key 225) in a registry

1 area 226 in which an unwanted computer program 205 would

- 2 otherwise generate and install a registry key 206 when the
- 3 unwanted computer program 205 will attempt to install.
- 4 This null registry key 225 is also given data of a
- 5 particular size that does not perform any function (i.e.,
- 6 does not execute) and that occupies the registry area 226
- 7 of the registry key 206 of the undesired computer program
- 8 205.
- 9 In another type of operating system, the file system
- 10 220 will install a fake configuration information 241 (null
- 11 configuration information 241) into the configuration file
- 12 240, where this fake configuration information 241 is for
- 13 the block object 160 (null object 160, data object 160, or
- 14 dummy object 160). For MAC OS operating systems, this
- 15 configuration file is commonly known as a preference file.
- 16 The fake configuration information 241 is also an inert
- 17 object or non-functioning data object. In other words,
- 18 this null configuration information 241 is also given data
- 19 of a particular size that does not perform any function
- 20 (i.e., does not execute) and that occupies the memory area
- 21 of the configuration information of an undesired computer
- 22 program 205 to be installed in a non-Windows system.

The null registry key 225 (for Windows-based systems 1 or other OS-types the use registry keys) is also referred 2 herein and in the below claims as a null configuration 3 object 225. The null configuration information 241 (for 4 non-Windows-based systems) is also referred herein and in 5 the below claims as a null configuration object 241. A 6 null configuration object 225 (or 241) is associated with 7 the null object 160 (data object 160). 8 9 It is noted that all types of operating systems (e.g., 10 operating systems in mobile devices such as the iPad or 11 iPhone or smart phones) have file systems. These file 12 systems can then be used to install an embodiment of the 13 block object 160 and/or an embodiment of the fake 14 configuration information 241, in order to prevent the 15 installation of an unwanted computer program 205 into a 16 target device 105. 17 A particular block object 160 (data object 160) will 18 block the installation (re-installation) or execution of a 19 particular computer program 205 on the computing device 20 105. The computer program 205 that will be blocked can be 21 a malicious computer program or a non-malicious computer 22 program. When the program 205 attempts to re-install into 23 the device 105, the block object 160 will occupy the memory 24

1 area 215 that is the destination memory space of the

- 2 program 205. As a result, the block object 160 prevents
- 3 the program from re-installing into the device 105 and is
- 4 an antibody (or antibody in functionality) that prevents
- 5 the program 205 from installing into or re-infecting the
- 6 device 105. Since the block object 160 is in the
- 7 destination memory space 215 of the program 205, the
- 8 program 205 will not be able to install and will be subject
- 9 to an error occurrence.
- 10 As known to those skilled in the art, for a computer
- 11 program that installs into a Windows operating system, that
- 12 computer program will also register in the registry. In an
- 13 embodiment of the invention, the inoculator engine 114
- 14 would also provide and transmit 260 a fake registry key 225
- 15 (or dummy registry key 225 or substitute registry key 225)
- 16 into the registry 230. This fake registry key 225 is
- 17 associated with the block object 160. The operating system
- 18 155 will install the fake registry key 225 in the registry
- 19 230 as a substitute for the actual registry key 206 of the
- 20 computer program 205. This fake registry key 225 is
- 21 installed in the area 226 of the registry 230 where the
- 22 computer program 205 (e.g., a malware 205 or non-malicious
- 23 program 205) would create a registry key 206 during the
- 24 installation of the computer program 205 into the target

1 device 105. Since the fake registry key 225 is already

- 2 installed in the registry 230 when the computer program 205
- 3 is attempting to install into the target device 105, the
- 4 computer program 205 will encounter an error because the
- 5 computer program 205 will be unable to delete the fake
- 6 registry key 225.
- 7 Therefore, the block data 160 and/or fake registry key
- 8 225 will block the target device 105 areas in which the
- 9 computer program 205 will need to "live" or install.
- In one embodiment of the invention, the computer
- 11 program 205 will be unable to delete the fake registry key
- 12 225 because the security permission settings 265 (which is
- 13 managed by the security subsystem 266) will prevent the
- 14 computer program 205 from modifying the value of the fake
- 15 registry key 225 into a proper value associated with the
- 16 undesired program 205. As a result, the computer program
- 17 205 will not successfully install in the target device 105
- 18 and the target device 105 is protected from re-infection by
- 19 the computer program 205. A creator of the computer
- 20 program 205 would have to, for example, change the name of
- 21 the computer program 205 or use different registry keys
- 22 other than the registry key 206 for the program 205, in
- 23 order to potentially allow successful installation of the
- 24 computer program 205 into the device 105.

1

In another embodiment of the invention, the security 2 permission 265 in the OS 155 can be set for the block 3 object 160 so that the unwanted computer program 205 is 4 unable to modify or replace the installed block object 160. 5 For example, the security permission 265 may be set so that 6 only a network administrator can modify the installed block 7 object 160. The security permissions 265 for data objects 8 can be set by use of the security subsystem 266 and can be 9 remotely set or configured, via network 110, by a network 10 administrator. The security permission 265 settings 11 provide a security or a guard against an unwanted software 12 program 205 from successfully over-writing, deleting, 13 replacing, or modifying the block object 160. The security 14 subsystem 266 sets the security permissions 265 for the 15 block object 160 and/or for the null registry key 225. 16 17 Assume that the name of the unwanted computer program 18 205 is "UNWANTED-1". In another embodiment of the 19 invention, to prevent the unwanted software program 205 20 from successfully over-writing, deleting, replacing, or 21 modifying the block object 160 which blocks future access 22 of the computer program 205 into memory space 215, the 23 network administrator or user can change, via file system 24

220 and security subsystem 266, the type of the block 1 object 160 but keep the name of the block object to be the 2 For example, the network administrator can change 3 the block object 160 from a file object 160 into a 4 directory 160A which is a different type of object from a 5 file object. The name of the directory 160A will be the 6 same as the previous file object 160 which is "UNWANTED-1". 7 When the unwanted computer program 205 (with the name 8 "UNWANTED-1") tries to install into the memory area 215 in 9 the future, the unwanted computer program 205 will not be 10 able to install because of a name collision with the 11 directory 160A which has the same name "UNWANTED-1". 12 name collision will cause the OS 155 to trigger an error 13 code, which can alert the network administrator or user of 14 target device 105 of an attempted installation into the 15 target device 105 by an unwanted computer program 205. 16 17. In another embodiment of the invention, to prevent the 18 unwanted software program 205 from successfully over-19 writing, deleting, replacing, or modifying the directory 20 160A (which, as mentioned in the above example, is named 21 "UNWANTED-1"), the network administrator or user can, via 22 file system 220 and security subsystem 266, place another 23 block object 160B in the directory 160A which is in memory 24

1 area 215. As with the block object 160 in the above

- 2 examples, the block object 160b is also a non-functioning
- 3 or non-executing piece of data object. The block object
- 4 160B can have a name (e.g., "UNWANTED-2") which is
- 5 different from the name of the block object 160 or can have
- 6 a same name (e.g., "UNWANTED-1") as the name of the block
- 7 object 160. Since the block object 160B is in the
- 8 directory 160A, the unwanted computer program 205 will not
- 9 be able to delete or modify the directory 160A when the
- 10 computer program 205 attempts to install into the target
- 11 device 105 in the future.

- 13 In another embodiment of the invention, the OS 155 can
- 14 be programmed to generate a security event 272, if the fake
- 15 registry key 225 is attempted to be accessed, modified, or
- 16 deleted by an intruding computer program 205 that is
- 17 attempting to install or re-install into the target device
- 18 105, or if the block object 160 is attempted to be
- 19 accessed, modified, or deleted by an intruding computer
- 20 program 205 that is attempting to install or re-install
- 21 into the target device 105. The OS 155 (e.g., via security
- 22 subsystem 266) can trigger this security event 155 if a
- 23 computer program 205 attempts to access (e.g., attempted
- 24 reading, attempted writing, attempted modification, or

1 attempted deletion) a data object in a particular memory

- 2 area 215 and/or particular registry area 226. This
- 3 security event 272 is then transmitted to the auditing
- 4 subsystem 274, and the subsystem 274 can record this
- 5 security event 275 into a security event log 276. Various
- 6 commercially available software and open source software
- 7 can be used to read and analyze the security event log 276.
- 8 Effectively, the security event 272 is a "trip wire" or
- 9 "burglar alarm" that alerts the network admistrator or user
- 10 of target device 105 when a computer program 205 is trying
- 11 to install into the target device 105 and accesses or
- 12 attempts to modify or delete the fake registry 225 and/or
- 13 the block object 160. In another embodiment of the
- 14 invention, the above-discussed security event 272 feature
- 15 is omitted.

- 17 In an example operation that is a non-limiting
- 18 example, assume that a piece of software 205 (which can be
- 19 malicious or non-malicious) registers as a service in a
- 20 computer system with, for example, a Microsoft Windows
- 21 operating system or other type of operating systems. In
- 22 the Windows OS, assume that the software 205 registers
- 23 under the registry key 206 named "current control
- 24 set/services" and the service name is "bad-software". When

1 this software 205 installs or infects the target device

- 2 105, the software 205 creates that registry key 206 into
- 3 the registry 230. The software 205 can be cleaned (e.g.,
- 4 deleted or removed) from the target system 205 by use of
- 5 methods as, for example discussed above.
- 6 When the attributes 210 of this software 205 has been
- 7 analyzed and recorded (e.g., in database 212), the
- 8 inoculator engine 114 can place an antibody into the target
- 9 system 105 to prevent the software 205 from installing into
- 10 the target device 105 in the future. As discussed above,
- 11 this antibody can be a block object 160 (wedge 160),
- 12 directory 160A which would have the same name as the
- 13 software 205, directory 160A with a block object 160B, fake
- 14 registry key 225 that is associated with the block object
- 15 160, and/or fake configuration information 241 that is
- 16 associated with the block object 160. The above components
- 17 in an antibody can differ based on, for example, the type
- 18 of operating system and/or the amount of security that the
- 19 network admistrator prefers to place on a block object 160,
- 20 directory 160A, registry key 225, and/or fake configuration
- 21 information 241, as discussed above.
- The inoculator engine 114 would open the registry 235,
- 23 via remote procedure calls (RPCs) along network 110. RPCs
- 24 are built-in capabilities in the Microsoft based operating

1 systems. As known to those skilled in the art, RPC is a

- 2 type of protocol that allows a program on one computer to
- 3 execute a program in another computer. The program in one
- 4 computer sends a message to the other computer with
- 5 appropriate arguments and the other computer returns a
- 6 message containing the results of the program executed.
- 7 The inoculator engine 114 would create a registry key
- 8 named as "bad-software" underneath the "current control
- 9 set/services" registry path. Therefore, the inoculator
- 10 engine 114 creates a registry key of the same name that
- 11 would have been created by the program 205 attempted to
- 12 install into the target device 105.
- 13 As similarly discussed above, the inoculator engine
- 14 114 can be used to set the security permission 265 on the
- 15 registry key 225. For example, the security permission 265
- 16 would prevent read access and write access to the registry
- 17 key 225 for anyone except the owner of the registry key
- 18 225. The owner of the registry key 225 is typically the
- 19 network administrator.
- In this same example, assume that later, the user of
- 21 device 105 is browsing the Internet or another networks,
- 22 and the same program 205 (named "bad-software") attempts to
- 23 exploit the target device 105. The "bad-software" program
- 24 205 will create its registry key 206 (named "current

1 control set/services/bad-software") and attempt to install

- 2 its registry key 206 into the registry 230, as the program
- 3 205 is attempting to install into the target device 105.
- 4 Since the registry key 225 was previously installed in the
- 5 registry 230, an access denied error would be generated in
- 6 a code line in the program 205. This error code is
- 7 generated because the registry key 225 is already installed
- 8 in the registry 230 and indicates that access to the
- 9 registry area occupied by registry key 225 is denied for
- 10 the program 205. Since the program 205 will typically not
- 11 have the proper security permission credentials to over-
- 12 write or modify the registry key 225, the error code is
- 13 generated. The program 205 will have no programming
- 14 response for dealing with this error code, the program 205
- 15 will be unable to successfully complete installation into
- 16 the target device 105 and when the target device 105 is re-
- 17 booted, the program 205 is deleted by the OS 155 from the
- 18 target device 105 because the proper registry key and file
- 19 path is not installed for the program 205 to survive during
- 20 re-boot of the target device 105.
- In another embodiment of the invention, the antibodies
- 22 discussed above (e.g., block object 160, directory 160A,
- 23 registry key 225, and/or fake configuration information
- 24 241) can be placed in a target device 105 that has not been

previously infected by the computer program 205. 1 solution permits active inoculation of target devices 105 2 against exploits by known unwanted software 205. 3 4 Therefore, in an embodiment of the invention, an 5 apparatus and/or method is provided with an inoculator and 6 antibody for computer security. A block object (i.e., 7 block or wedge) is installed onto a target computing device 8 105 (i.e., target computer 105 or computing system 105 in 9 Figure 1), where the block object prevents a specific known 10 software program from being installed and executing in the 11 target computing device. As an example, this block object 12 can be installed from a remote device, via a network, to 13 the target device 105 by use of Microsoft Windows RPC 14 The solution provided by an embodiment of the 15 invention advantageously does not require the installation 16 of a software agent on the target device. Instead, the 17 solution provides data objects, files, and/or registry keys 18 to prevent installation of an unwanted computer software 19 program 205. Thus, an embodiment of the invention 20 advantageously avoids the prior solutions' use of agents, 21 active running code, behavioral block software, or other 22 prior solutions that can be expensive or can consume system 23

resources. The target system in the target device can be,

l for example, the Microsoft Windows OS, although other types

- 2 of operating systems can be protected by an embodiment of
- 3 the invention. Once the block object is installed
- 4 ("wedged") in the target system, the target system will be
- 5 protected or immune against the specific unwanted software
- 6 program that tries to install or execute in the target
- 7 system. As discussed above, fake registry keys, along with
- 8 the block object, may also be used to protect the target
- 9 system.
- The solution provided by an embodiment of the
- 11 invention can make a target system immune against specific
- 12 malicious software program (typically called "malware").
- 13 However, the solution provided by an embodiment of the
- 14 invention can also make a target system immune against non-
- 15 malicious software as selected by a network administrator
- 16 or other users.

- 18 Figure 3 is a block diagram of a method 300 in
- 19 accordance with an embodiment of the invention. An area
- 20 where an undesired computer program will reside is first
- 21 determined (block 305). A data object is then placed in the
- 22 area, so that the data object is an antibody that provides.
- 23 security to the computer and immunity against the undesired
- 24 computer program (block 310). As an optional step in block

1 315, actions can be performed to prevent the access (e.g.,

- 2 deletion and/or modification) of the data object. As
- 3 discussed above, preventing the access, deletion and/or
- 4 modification of the data object can include setting
- 5 security permissions for the data object, modifying the
- 6 type of the data object, or placing a second data object
- 7 into the data object.
- 8 In another embodiment of the invention, the access
- 9 (e.g., deletion and/or modification) to the null registry
- 10 key 225 (or null configuration information 241, if
- 11 applicable) can also be prevented by, for example, setting
- 12 security permissions for the null registry key 225 or null
- 13 configuration information 241, by use of the security
- 14 subsystem 266.
- 15 Prior to determining the area in block 305, the
- 16 undesired computer program can be cleaned (inoculated) from
- 17 the computer or another device in a network.

- 19 It is also within the scope of the present invention
- 20 to implement a program or code that can be stored in a
- 21 machine-readable or computer-readable medium to permit a
- 22 computer to perform any of the inventive techniques
- 23 described above, or a program or code that can be stored in
- 24 an article of manufacture that includes a computer readable

1 medium on which computer-readable instructions for carrying

- 2 out embodiments of the inventive techniques are stored,
- 3 including embedded devices such as Field Programmable Gate
- 4 Arrays (FPGAs) or other specialized equipment. Other
- 5 variations and modifications of the above-described
- 6 embodiments and methods are possible in light of the
- 7 teaching discussed herein.
- 8 The above description of illustrated embodiments of
- 9 the invention, including what is described in the Abstract,
- 10 is not intended to be exhaustive or to limit the invention
- 11 to the precise forms disclosed. While specific embodiments
- of, and examples for, the invention are described herein
- 13 for illustrative purposes, various equivalent modifications
- 14 are possible within the scope of the invention, as those
- 15 skilled in the relevant art will recognize.
- 16 These modifications can be made to the invention in
- 17 light of the above detailed description. The terms used in
- 18 the following claims should not be construed to limit the
- 19 invention to the specific embodiments disclosed in the
- 20 specification and the claims. Rather, the scope of the
- 21 invention is to be determined entirely by the following
- 22 claims, which are to be construed in accordance with
- 23 established doctrines of claim interpretation.

1 <u>CLAIMS</u>

2

3 What is claimed is:

4

- 5 1. A method comprising:
- 6 determining, in a computer, an area where an undesired
- 7 computer program will reside; and
- 8 providing a data object in the area, so that the data
- 9 object is an antibody that provides security to the
- 10 computer and immunity against the undesired program.

11

- 12 2. The method of claim 1, further comprising:
- providing a null configuration object in a second area
- 14 in the computer, wherein the null configuration object is
- 15 associated with the data object.

16

- 17 3. The method of claim 2, wherein the null configuration
- 18 object comprises a null registry key.

19

- 20 4. The method of claim 2, wherein the null configuration
- 21 object comprises a null configuration information.

22

23 5. The method of claim 1, further comprising:

preventing the deletion or modification of the data 1 2 object. 3 The method of claim 5, wherein preventing the deletion 4 or modification of the data object comprises: 5 setting a security permission for the data object. 6 7 The method of claim 5, wherein preventing the deletion 8 7. or modification of the data object comprises: 9 modifying the data object to an object type that 10 differs from the undesired program. 11 12 The method of claim 7, wherein preventing the deletion 13 or modification of the data object comprises: 14 placing a second data object in the data object type. 15 16 The method of claim 1, further comprising: 17 generating a security event in response to an access 18 of the data object. 19 20 10. The method of claim 2, further comprising: 21 generating a security event in response to an access 22

of the null configuration object.

23

- 1 11. An apparatus comprising:
- an inoculator engine configured to determine, in a
- 3 computer, an area where an undesired computer program will
- 4 reside and provide a data object in the area, so that the
- 5 data object is an antibody that provides security to the
- 6 computer and immunity against the undesired program.

7

- 8 12. The apparatus of claim 11, wherein the inoculator
- 9 engine is configured to provide a null configuration object
- 10 in a second area in the computer, wherein the null
- 11 configuration object is associated with the data object.

12

- 13 13. The apparatus of claim 12, wherein the null
- 14 configuration object comprises a null registry key.

15

- 16 14. The apparatus of claim 12, wherein the null
- 17 configuration object comprises a null configuration
- 18 information.

19

- 20 15. The apparatus of claim 11, further comprising a
- 21 security subsystem configured to prevent the deletion or
- 22 modification of the data object.

- 1 16. The apparatus of claim 15, wherein the security
- 2 subsystem prevents the deletion or modification of the data
- 3 object by setting a security permission for the data
- 4 object.

5

- 6 17. The apparatus of claim 15, wherein the security
- 7 subsystem prevents the deletion or modification of the data
- 8 object by modifying the data object to an object type that
- 9 differs from the undesired program.

10

- 11 18. The apparatus of claim 17, wherein the security
- 12 subsystem prevents the deletion or modification of the data
- 13 object by placing a second data object in the data object
- 14 type.

15

- 16 19. The apparatus of claim 11, further comprising:
- a security subsystem configured to generate a security
- 18 event in response to an access of the data object.

19

- 20 20. The apparatus of claim 12, further comprising:
- 21 a security subsystem configured to generate a security
- 22 event in response to an access of the null configuration
- 23 object.

- 1 21. An apparatus comprising:
- means for determining, in a computer, an area where an
- 3 undesired computer program will reside; and
- 4 means for providing a data object in the area, so that
- 5 the data object is an antibody that provides security to
- 6 the computer and immunity against the undesired program.

7

- 8 22. An article of manufacture, comprising:
- 9 a computer-readable medium having stored thereon
- 10 instructions to:
- determine, in a computer, an area where an undesired
- 12 computer program will reside; and
- provide a data object in the area, so that the data
- 14 object is an antibody that provides security to the
- 15 computer and immunity against the undesired program.