



- (51) International Patent Classification:
C12Q 1/68 (2006.01)
- (21) International Application Number:
PCT/US2013/022878
- (22) International Filing Date:
24 January 2013 (24.01.2013)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
13/357,416 24 January 2012 (24.01.2012) US
- (71) Applicant: THE UNITED STATES OF AMERICA, as represented by THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES [US/US]; Office Of Technology Transfer, National Institutes Of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 (US).

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

- (72) Inventor: WEINBERGER, Daniel, R.; 3116 Davenport Street, NW, Washington, DC 20008 (US).
- (74) Agent: TRAVER, Robert, D.; Sheridan Ross P.C., 1560 Broadway, Suite 1200, Denver, CO 80202 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

- Published:**
- with international search report (Art. 21(3))
 - with sequence listing part of description (Rule 5.2(a))

(54) Title: SCHIZOPHRENIA-RELATED ISOFORM OF KNCH2 AND DEVELOPMENT OF ANTIPSYCHOTIC DRUGS

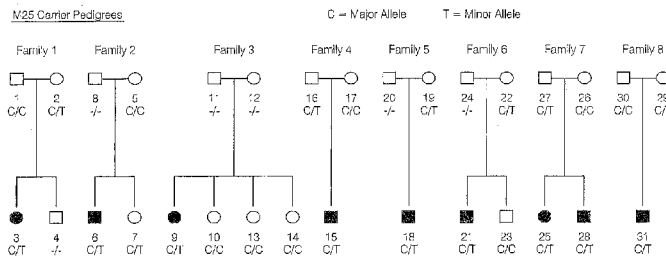


FIG. 13

(57) Abstract: The invention is related to a novel primate specific brain isoform of the potassium channel KCNH2 and genetic association with risk for schizophrenia and response to therapy.

WO 2013/112676 A1

SCHIZOPHRENIA-RELATED ISOFORM OF KCNH2 AND DEVELOPMENT OF ANTIPSYCHOTIC DRUGS

FIELD OF THE INVENTION

5 The invention is related to a novel primate specific brain isoform of the potassium channel KCNH2 and genetic association with risk for schizophrenia.

DESCRIPTION OF THE RELATED ART

10 A major challenge in modern medicine is to understand cellular and molecular mechanisms underlying common mental illnesses such as schizophrenia, which involve complex genetic and environmental determinants. Recent evidence indicates that multiple genes, each accounting for relatively small effects across populations, contribute to risk for schizophrenia (P. J. Harrison and D. R. Weinberger 2005 *Mol Psychiatry* 10:40). Prevailing etiological hypotheses maintain that the interaction of such genetic factors with each other and the environment results
15 in neurodevelopmental abnormalities predisposing to the illness later in life (D. A. Lewis and P. Levitt 2002 *Annu Rev Neurosci* 25:409). Given this complexity, together with likely genetic and allelic heterogeneity, epistatic effects, undetected population stratification, and imprecise and differing definitions of phenotype, it is not surprising that statistical association studies to identify putative risk genes for schizophrenia have not enjoyed unanimous replication. In light of
20 these difficulties in the statistical evidence for causative genes, it has been argued that the end game in gene identification for complex polygenic disorders, such as schizophrenia, will require demonstration that risk variants impact on the biology of the gene in a manner that converges on important aspects of the biology of the illness (K. M. Weiss and J. D. Terwilliger 2000 *Nat Genet.* 26:151). This has led to efforts to study the effects of potential schizophrenia
25 susceptibility genes on intermediate biologic phenotypes relevant to schizophrenia (A. Meyer-Lindenberg and D. R. Weinberger 2006 *Nat Rev Neurosci* 7:818; M. F. Egan et al. 2004 *Proc Natl Acad Sci USA* 101:12604).

 Among the most promising intermediate phenotypes are prefrontal cortex (PFC) mediated executive functions, and hippocampal formation (HF) mediated memory functions,
30 both of which are impaired in patients with schizophrenia and in their healthy relatives (D. R. Weinberger 1999 *Biol Psychiatry* 45:395). Biological abnormalities in hippocampal and prefrontal cortices also are frequently reported in patients with schizophrenia and are also found

with increased frequency in their healthy relatives, suggesting that they are heritable traits related to susceptibility genes. For example, schizophrenia patients and their healthy relatives show reductions in HF volumes (L. J. Seidman et al. 2003 Schizophr Bull 29:803; M. D. Nelson et al. 1998 Arch Gen Psychiatry 55:433), and in levels of N-acetylaspartate (NAA) (R. G. Steen et al. 5 2005 Neuropsychopharmacology 30:1949), a measure of neuronal activity and synaptic abundance, possibly related to diminished neuropil observed on postmortem examination (P. J. Harrison 1999 Brain 122:593). Various physiologic investigations of cortical activity in patients with schizophrenia and in their healthy relatives reveal disorganized activation in HF and PFC (J. H. Callicott et al. 2003 Am J Psychiatry 160:709; C. S. Carter 2006 Biol Psychiatry 60:1169; G. 10 Winterer et al. 2004 Am J Psychiatry 161:490; E. Bramon et al. 2004 Schizophr Res 70:315; S. Frangou et al. 1997 Schizophr Res 23:45; R. Freedman et al. 1991 Schizophr Res 4:233; S. Heckers et al. 1998 Nat Neurosci 1:318; A. M. Achim and M. Lepage 2005 Br J Psychiatry 187:500; D. Ongur et al. 2006 Arch Gen Psychiatry 63:356). Studies in non-human primates and in other animal models indicate that abnormal activation and disorganized, high-frequency 15 discharges in cortical networks may underlie impaired memory performance (P. S. Goldman-Rakic 1995 Neuron 14:477). However, the molecular and genetic factors that contribute to abnormal neuronal activity associated with schizophrenia have so far not been identified.

SUMMARY OF THE INVENTION

20 The invention is related to a novel primate specific brain isoform of the voltage-gated potassium channel KCNH2 and genetic association with risk for schizophrenia, and to related nucleic acid molecules, polypeptides, antibodies, screening assays, and diagnostics.

Some embodiments concern an isolated nucleic acid molecule comprising a polynucleotide sequence that is at least 85%, 90%, 95%, 96%, 97%, 98%, or 99% identical to a 25 nucleotide sequence encoding a polypeptide comprising the amino acid sequence of SEQ ID NO:5. More embodiments concern the isolated nucleic acid molecule above, wherein the first amino acid of said polypeptide is replaced with M S S H S A (SEQ ID NO: 16) or M F S H S T (SEQ ID NO: 17). Still more embodiments concern the nucleic acid molecule above comprising a polynucleotide sequence that is at least 85%, 90%, 95%, 96%, 97%, 98%, or 99% identical to 30 the cDNA having SEQ ID NO:3.

In desirable embodiments, the isolated nucleic acid molecule above is a nucleic acid that encodes the amino acid sequence of SEQ ID NO: 5. In some embodiments, the isolated nucleic acid molecule above is a nucleic acid that encodes the polypeptide of SEQ ID NO: 5, wherein the first amino acid of said polypeptide is replaced with M S S H S A (SEQ ID NO: 16) or M F S H S T (SEQ ID NO: 17). Still more embodiments concern an isolated nucleic acid molecule that hybridizes under stringent hybridization conditions to the nucleic acid molecule above, wherein said nucleic acid molecule that hybridizes does not hybridize under stringent hybridization conditions to a nucleic acid molecule having a nucleotide sequence consisting of only A residues or of only T residues.

More embodiments concern an isolated polypeptide comprising an amino acid sequence that is at least 85%, 90%, 95%, 96%, 97%, 98%, or 99% identical to a polypeptide comprising the amino acid sequence of SEQ ID NO: 5. In some aspects, the isolated polypeptide above is modified in that the first amino acid of said polypeptide is replaced with M S S H S A (SEQ ID NO: 16) or M F S H S T (SEQ ID NO: 17). In more embodiments, said polypeptide comprises the amino acid sequence of SEQ ID NO: 5 and, in yet more embodiments, the isolated polypeptide above is modified such that the first amino acid of said polypeptide is replaced with M S S H S A (SEQ ID NO: 16) or M F S H S T (SEQ ID NO: 17).

Some aspects of the invention also concern a method of making a recombinant vector comprising inserting any of the isolated nucleic acid molecules described above into a vector. Recombinant vectors produced by the method above and methods of making a recombinant host cell comprising introducing this vector into a host cell are also contemplated embodiments. Recombinant host cells produced by these methods are also embodiments. Additionally, some aspects of the invention concern a method of making a recombinant polypeptide comprising culturing the host cell above under conditions such that said recombinant polypeptide is expressed. An isolated antibody capable of specifically binding to any one of the polypeptides described above is also a contemplated embodiment.

More embodiments concern a method of screening for therapeutic agents useful in the treatment of a neurological disease in a human comprising contacting a test compound with any one of the polypeptides described above; and detecting binding of said test compound to said polypeptide.

Still more embodiments concern a method of screening for therapeutic agents useful in the treatment of a neurological disease in a human comprising (a) determining the activity of any one of the polypeptides described above, at a first concentration of a test compound or in the absence of said test compound, (b) determining the activity of said polypeptide at a second
5 concentration of said test compound, and comparing the activity of said polypeptide under conditions (a) and (b) to the activity of the polypeptide in the presence of a known regulator. In some embodiments, the activity is current. Additional embodiments concern a method of screening for therapeutic agents useful in the treatment of a neurological disease in a human comprising contacting a test compound with a nucleic acid molecule comprising a
10 polynucleotide sequence that encodes any one of the polypeptides described above, and detecting binding of said test compound to said polynucleotide.

Further, some aspects of the invention concern a method for the preparation of a pharmaceutical composition useful for the treatment of a neurological disease in a human comprising identifying a regulator of any one of the polypeptides described above, determining
15 whether said regulator ameliorates the symptoms of said neurological disease in a human, and combining said regulator with an acceptable pharmaceutical carrier. In some embodiments, the method above is practised, wherein said regulator is a small molecule, an RNA molecule, an antisense oligonucleotide, a polypeptide, an antibody, or a ribozyme.

Still further embodiments concern a method for predicting the likelihood that an
20 individual will have schizophrenia or will have increased symptomology associated with schizophrenia comprising obtaining a DNA sample from an individual to be assessed, and determining the nucleotide present at a single nucleotide polymorphism (SNP) selected from Marker M1, M2, M3, M4, M5, M6, M7, M8, M9, M10, M11, M12, M13, M14, M15, M16, M17,
M18, M19, M20, M21, M22, M23, M24, M25, M26, M27, M28, M29, M30, M31, M32, M33,
25 M34, M35, M36, M37, M38, M39, M40, M41, M42, or M43, wherein the presence of a nucleotide from the risk allele at the SNP indicates that the individual has a greater likelihood of having schizophrenia, or a likelihood of having increased symptomology associated with schizophrenia, than an individual having a nucleotide from the protective allele at that SNP.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Membrane-spanning topology of HERG K⁺ Channels.

Figure 2. Sequence alignment of the eag domains of KCNH2 (top, SEQ ID NO: 6) and Photoactive Yellow Protein (bottom, SEQ ID NO: 7).

5 Figure 3. Protein and cDNA sequences for KCNH2-1A and Isoform 3.1 in human. KCNH2-1A protein (SEQ ID NO: 2), KCNH2-1A cDNA (SEQ ID NO: 1); Isoform 3.1 protein (SEQ ID NO: 4), Isoform 3.1 cDNA (SEQ ID NO: 3). HERG Δ2-102 is defined as a protein lacking amino acids 2-102 of HERG (SEQ ID NO: 2), thus making it SEQ ID NO: 5.

Figure 4. General Domain Layouts of KCNH2 and Novel Isoform 3.1.

10 Figure 5: Genetic association of 7q36.1 with risk for schizophrenia.

Figure 6: Association of risk SNPs with (A) cognitive measures, (B) brain structure volumes, and (C) regional brain activity during memory-based tasks.

Figure 7: (A) Regional gene expression and (B) association with risk genotype.

15 Figure 8: Detection and quantification of Isoform 3.1 mRNA and protein. (A) Tissue-specific PCR; (B) Tissue-specific QPCR; (C) Protein Expression; and (D) Transfection and Cellular localization.

Figure 9. Characterization of KCNH2 currents in HEK293T cells expressing KCNH2-1A and Isoform 3.1. (A) Diagram of domain structures; (B) Currents evoked by voltage steps; (C) Effects on tail currents; (D) Activation curves; (E) Tail currents evoked by voltage steps; and F) Deactivation time constants.

20

Figure 10. Effect of Isoform 3.1 on KCNH2 currents and firing patterns in cortical neurons. (A) Tail currents in GFP-transfected neurons; (B) Tail currents in Isoform 3.1-transfected neurons; (C) Deactivation time constants; (D) Action potential discharge; (E) Spike frequencies; and F) Effect of Isoform 3.1 on spike frequency adaptation.

25 Figure 11. Physical map of SNPs, transcripts, QPCR assays, PCR primers, and re-sequenced regions.

Figure 12. Single-marker and 3 -marker sliding- window results for (A) CBDB, (B) NIMHGI-C, (C) NIMHGI-AA, and (D) German DNA collections.

30 Figure 13. Pedigrees of 8 families with rare SNP M25 and association tables excluding these families.

Figure 14. Genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -12, -22 mm) for SNP M30, derived using optimized VBM. A) Plot of gray matter volumes, B) Thresholded statistical t-maps.

5 Figure 15. Genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -9, -24 mm) for SNP M31, derived using optimized VBM. A) Plot of gray matter volumes, B) Thresholded statistical t-maps.

Figure 16. Genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -9, -24 mm) for SNP M33, derived using optimized VBM. A) Plot of gray matter volumes, B) Thresholded statistical t-maps.

10 Figure 17. Genotype based differences in hippocampal engagement during a declarative memory task for SNP M31. A) Percent blood oxygen level-dependent (BOLD) signal change during the encoding condition of the task in the left posterior hippocampus. B) Thresholded statistical t-maps.

15 Figure 18. Genotype based differences in hippocampal engagement during a declarative memory task for SNP M33. A) Percent blood oxygen level-dependent (BOLD) signal change during the encoding condition of the task in the left posterior hippocampus. B) Thresholded statistical t-maps.

Figure 19. NOS3 and KCNH2-1A expression in frontal cortex of neuroleptic treated rats.

Figure 20. Tissue differences in Isoform 3.1 and KCNH2-1A using PCR and QPCR.

20 Figure 21. Alignment and conservation of Isoform 3.1 5'-UTR sequence in primate and non-primate species. (A) DNA Sequence alignment of upstream region of exon 3. Human, (SEQ ID NO: 8); Rhesus, (SEQ ID NO: 9); Mouse, (SEQ ID NO: 10); Rat, (SEQ ID NO: 11). (B) Predicted longest ORF upstream of hERG-1a Exon 3. Human, (SEQ ID NO: 12); Rhesus, (SEQ ID NO: 13); Mouse, (SEQ ID NO: 14); Rat, (SEQ ID NO: 15).

25

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Definitions

Unless defined otherwise, technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention
30 belongs. See, *e.g.*, Singleton P and Sainsbury D., in Dictionary of Microbiology and Molecular Biology 3rd ed., J. Wiley & Sons, Chichester, New York, 2001.

The transitional term "comprising" is synonymous with "including," "containing," or "characterized by," is inclusive or open-ended and does not exclude additional, unrecited elements or method steps.

5 The transitional phrase "consisting of" excludes any element, step, or ingredient not specified in the claim, but does not exclude additional components or steps that are unrelated to the invention such as impurities ordinarily associated therewith.

The transitional phrase "consisting essentially of" limits the scope of a claim to the specified materials or steps and those that do not materially affect the basic and novel characteristic(s) of the claimed invention.

10 Overview

As described herein, we report an extensive series of basic and clinical experiments leading to the discovery and characterization of a novel isoform of the voltage-gated potassium channel KCNH2, whose expression in human brain is markedly increased in schizophrenia, significantly correlated with schizophrenia-risk single nucleotide polymorphisms (SNPs), and
15 whose expression in cell culture dramatically alters neuronal excitability.

An ultimate goal of modern medicine is to understand the basic mechanisms underlying common and complex human diseases, such as diabetes and mental illness. Conventional genetic linkage and association studies have been less than conclusive because these diseases involve multiple genes, and each gene elicits relatively small effects across populations. Moreover,
20 because of the complexity of genetic factors and their interaction with the environment, it is often difficult to link genetic findings to important biological or mechanistic aspects of the illness. To overcome these obstacles, we have taken a powerful translational approach that dissects the complex phenomenology of schizophrenia into neural system and molecular components and maps genetic association onto these multiple levels of analysis. Using this
25 approach in the present study, we identify a new class of schizophrenia susceptibility gene, the KCNH2 potassium channel, but more importantly, a novel isoform of this type of voltage-gated potassium channel that is primate and brain specific and increased 2.5 fold in schizophrenia. In addition, we characterize the electrophysiological properties of this novel isoform of KCNH2 and reveal that elevated expression in neurons leads to disorganized neuronal activation patterns,
30 a physiological correlate of schizophrenia. These convergent findings provide dramatic new insights into the molecular mechanisms underlying schizophrenia and related disorders.

The main findings and the potential impact of the study are as follows. First, based on differential gene expression data from schizophrenic brain tissues, we identified a region on 7q36 containing KCNH2, where SNPs within a 3kb intronic region are significantly associated with schizophrenia. More importantly, we were able to replicate this genetic association in four independent clinical samples, including one of African- American origin, which suggests that this gene impacts on risk for schizophrenia throughout the world, albeit to varying degrees. The consistency of our genetic association study strongly implicates KCNH2 as a risk gene for schizophrenia.

Second, we have shown, in an independent set of healthy control individuals, significant association of the risk SNPs with schizophrenia-like shifts in several of the most consistently reported intermediate biologic phenotypes: impairments in memory and IQ/cognitive processing speed, reduced hippocampal volumes as measured by MRI, and inefficient physiological engagement of the hippocampus during memory tasks as measured by fMRI. These independent associations with variations in brain structure and function provide further links between the gene and well-established cognitive functions and neurobiological phenomena relevant to schizophrenia.

Third, we have extended the study beyond the clinic to elucidate the basic mechanisms that may underlie the genetic associations with KCNH2. We started with characterizing the expression of KCNH2 mRNA in postmortem human brain. Unexpectedly, we identified a novel isoform (3.1) of KCNH2 that is primate specific and highly abundant within the brain. Unlike the classic KCNH2-1A form, this novel Isoform 3.1 shows trace expression in heart. Remarkably, expression of this isoform is significantly increased within the hippocampus of patients with schizophrenia (2.5 fold increase relative to the full length form) and even in normal individuals who carry risk alleles. Thus, genetic association appears to relate to this novel isoform specifically, relating largely to regulation of its expression. In addition, structural analysis reveals that the novel isoform lacks the major part of the PAS domain, which is known to be critical for the characteristic slow deactivation of KCNH2. We then overexpressed this novel isoform in rodent pyramidal neurons and found that it produced a rapidly deactivating K⁺ current and a high-frequency, non-adapting firing pattern. These results have important implications for normal higher order cognition and also for disordered cognition seen in schizophrenia. As a sustained, non-adapting discharge pattern has been considered to be important for cortical

information processing underlying higher-order cognitive and memory tasks in primates, normal physiological levels of the 3.1 Isoform may be an important evolutionary development in primates to support this pattern of neuronal activity. However, elevated expression of this isoform, as is found in schizophrenia, might cause uncontrolled and desynchronized firings in cortical circuits, thus leading to anomalies in performance in complex cognitive functions seen in schizophrenia patients and even in normal subjects carrying risk alleles and abnormal patterns of cortical physiological activity. Indeed, disorganized physiologic activity is a hallmark of recent neurophysiologic studies of patients with schizophrenia.

Taken together, these convergent findings provide compelling evidence to implicate a novel KCNH2 isoform as playing a role in the etiology and pathophysiology of schizophrenia. Given that many available antipsychotic drugs also bind to KCNH2-1A (reference Kongsamut et al., *Eur J Pharmacol.* 2002 Aug 16;450(1):37-41), accounting for their cardiac side effects, and that Isoform 3.1 is relatively brain specific and plays a major role in controlling neuronal firing, our results also point to a promising new therapeutic target that could spare the cardiac side effects typically associated with other antipsychotic agents.

Description of HERG and PAS Domain

HERG (human eag-related gene) is a member of the eag (ether-a-go-go) K⁺ channel family (Warmke, J. W. et al. 1991 *Science* 252:1560-1562; Warmke, J.W. and Ganetzky, B 1994 *Proc Natl Acad Sci USA* 91:3438-3442). These channels, found in human heart and nervous system, underlie one form of the long QT syndrome, LQT2 (Curran, M.E. et al. 1995 *Cell* 80:795-803), a genetic condition causing familial cardiac arrhythmia and sudden death. In common with other voltage-dependent K⁺ channels, HERG has a subunit topology of six membrane-spanning stretches (Fig. 1). Four of these subunits form a tetramer with a central ion conduction pore. Voltage-dependent gating, or opening and closing of the pore, is conferred by the S4 "voltage sensor" (the arginine-rich fourth membrane-spanning stretch) present in all members of the voltage-dependent cation channel family (Sigworth, FJ. 1994 *Rev Biophys* 27:1-40).

Referring to Fig. 1, the HERG channel monomer has six transmembrane segments labeled S1 to S6; S4 is the voltage sensor, and it contains six positively charged amino acids as indicated by the + symbols. The channel has a large C terminus and the characteristic N-terminal

eag domain, both cytosolic; the pore region is situated between S5 and S6. The functional channel is a tetramer with a central ion conduction pathway.

HERG exhibits two distinct and physiologically significant gating characteristics: rapid inactivation and slow deactivation (Trudeau, M. C. et al. 1995 *Science* 269:92-95; Smith, et al. 1996 *Nature* 379:833-836; Spector, P.S. et al. 1996 *J Gen Physiol* 107:611-619). The presence of rapid inactivation means that when the channel is opened with cell membrane depolarization it very quickly enters a nonconducting (inactivated) state, passing very little current in the outward direction. When the membrane is returned to its normal resting potential near -80 mV, the channel apparently retraces its conformational steps and passes through the open state on the way back to its closed configuration. The return to the closed state, referred to as the process of deactivation, is very slow in the HERG channel, and consequently a large inward "tail" K⁺ current is observed during a voltage clamp experiment. This slow rate of HERG channel deactivation plays an important role in cardiac electrical excitability by governing the length of the action potential (Sanguinetti, M.C. et al. 1995 *Cell* 81:299-307).

Deletion of the HERG cytoplasmic amino terminus has been shown to profoundly affect the rate of deactivation (Schönherr, R. and Heinemann, S.H. 1996 *J Physiol* 493:635-642; Spector, P.S. et al., 1996 *J Gen Physiol* 107:611-619; Terlau et al. 1997 *J Physiol* 502:537-543). This finding is very interesting because the amino terminus of HERG contains a sequence of about 135 amino acids, which is not only highly conserved, but is sufficiently unique to be considered a defining feature of the eag K⁺ channel family (Warmke, J. W. and Ganetzky, B. 1994 *Proc Natl Acad Sci USA* 91:3438-3442). The eag domain controls deactivation by tightly associating with the body of the K⁺ channel, presumably through a hydrophobic patch on its surface. Its three-dimensional structure defines the first eukaryotic member of the PAS domain family. PAS (acronym for the gene products of Per, Arnt, and Sim) (Reppert, S.M. 1998 *Cell* 21:1-4; Sassone-Corsi, P. 1998 *Nature* 392:871-874) domains are found in proteins involved in the circadian rhythm, the cyclic patterns of hormone secretion, breeding, and locomotor activity in mammals and the oscillation of photosynthesis in plants. In prokaryotic cells, PAS domains regulate a variety of biochemical processes by serving as light and chemical sensors.

Referring to Fig. 2, the eag domains of KCNH2 (top) and Photoactive Yellow Protein (PYP) (bottom) are aligned according to superimposed structural models. It is clear that the eag domain and PYP have highly similar three-dimensional structures. The dashed boxes mark stretches of

sequence where aligned residues occupy the same position in secondary structural elements that are common to both structures. Secondary structural elements are marked above and below the corresponding sequences: α helices as arrows, β strands as filled rectangles, 3io helix as open rectangle. The structural elements of the eag domain structure are labeled. The solid box marks residues that are disordered in the eag domain structure. Residue numbering is shown above and below the respective sequences. The eag domain is an $\alpha + \beta$ protein with a five-stranded antiparallel β sheet (βA to βE) packed against a long ordered "vine" composed of coil and a single turn of 3io helix ($\alpha'A$). The sheet is decorated on two sides by α helices (αA to αC). The structure has its N and C termini positioned side by side forming two central strands of the β sheet.

The major difference between the two proteins occurs in the way the αC helix and associated "vine" pack against the β sheet. A structure-based sequence alignment of the eag domain and PYP shows that there is no significant sequence conservation. The relatedness of these proteins is apparent only through comparison of their three-dimensional structures. HERG and other members of the eag K^+ channel family contain a PAS domain on their cytoplasmic N terminus. Cabral et al. 1998 (Cabral et al. 1998 Cell 95:649-655) artificially created an N-terminally truncated protein (HERG $\Delta 2-135$) lacking amino acids 2-135 of HERG.

The investigators determined that removal of the PAS domain alters a physiologically important gating transition in HERG, and addition of isolated domain to the cytoplasm of cells expressing truncated HERG reconstitutes wild-type gating. In summary, Cabral et al. 1998 concluded that the eag domain is a member of the PAS domain family and its role is to modify K^+ channel gating.

Nucleic Acid Molecules

The cDNA sequence (SEQ ID NO:1) and deduced amino acid sequence (SEQ ID NO:2) of KCNH2 are shown in Fig. 3; the general domain layouts are illustrated in Fig. 4. The cDNA sequence (SEQ ID NO:3) and deduced amino acid sequence (SEQ ID NO:4) of Isoform 3.1 are shown in Fig. 3; the general domain layouts are illustrated in Fig. 4.

The present invention provides isolated nucleic acid molecules comprising polynucleotide sequences that have been identified as encoding isoform 3.1, here defined as HERG $\Delta 2-102$. HERG $\Delta 2-102$ means an N-terminally truncated polypeptide lacking amino acids 2-102 of HERG (SEQ ID NO: 2) thus missing much of the PAS domain but retaining secondary

structural elements β D and β E sheets and making it SEQ ID NO: 5, and, in some embodiments, replacing the first amino acid with 6 amino acids unique to the isoform in humans (M S S H S A; SEQ ID NO: 16), in monkeys (M F S H S T; SEQ ID NO: 17), or in other species. The invention further provides a nucleotide sequence determined from a mRNA molecule encoding isoform

5 3.1, which comprises the cDNA encoding isoform 3.1, including the 1.1 Kb 5' untranslated region unique to isoform 3.1 (SEQ ID NO:3).

SEQ ID NO: 5

MGSCFLCLVDVVPVKNEDGAVIMFILNFEVVMKDMVGSAPAHDTNHRGPPTSWL
 APGRAKTFRLKLPALLALTAPVESSVRSRGAGGAGAPGAVVVDVLDLTPAAPSSESLA
 10 LDEVTAMDNHVAGLGPAAEERRALVGPGSPPRSAPGQLPSRAHSLNPDASGSSCSL
 ARTRSRESCASVRRASSADDIEAMRAGVLPPIPRHASTGAMHPLRSGLLNSTSDSD
 LVRYRTISKIPQITLNFVDLKGDPFLASPTSDREIIPKIKERTHNVTEKVTQVLSLGA
 DVLPEYKQLQAPRIHRWILHYSFKAVWDWLILLVIYTAVFTPYSAAFLKETEEGPP
 ATECGYACQPLAVVDLIVDIMFIVDILINFRTTYVN ANEEVVSHPGRIAVHYFKG
 15 WFLIDMVAaipfdllifgsgseeligllktarllrlvrvarklDRYSEYGA AVLFL
 MCTFALIAHWLACIWYAIGNMEQPHMDSRIGWLHNLGDQIGKP YNSSGLGGPSIKD
 KYVTAL YFTFSSLTSVGFVGNVSPNTNSEKIFSICVMLIGSLMYASIFGNVSAIIQRLYS
 GTARYHTQMLRVREFIRFHQIPNPLRQRLEEYFQHAWSYTNIDMNAV LKGFPECL
 QADICLHLNRSLLQHCKPFRGATKGCRLALAMKFKTTHAPPGDTLVHAGDLLTAL
 20 YFISRGSIEILRGDVVVAILGKNDIFGEPLNLYARPGKSNGDVRALTYCDLHKIHRDD
 LLEVLDMYPEFSDHFWSSLEITFNLRDTNMIPGSPGSTELEGGFSRQRKRKLSFRRR
 TDKDTEQPGEVSALGPGRAGAGPSSRGRPGGPWGESPSSGPSSPESEDEGPGRSSS
 PLRLVPFSSPRPPGEPGGEPLMEDCEKSSDTCNPLSGAFSGVSNIFSWGDSRGRQ
 YQELPRCPAPTPSLLNIPLSSPGRPRGDVESRLDALQRQLNRLETRLSADMATVLQ
 25 LLQRQMTLVPPAYS AVTTPGPGPTSTSPLLPV SPLPTLTLDSLSQVSQFMACEELPPG
 APELPQEGPTRRLSLPGQLGALTSQPLHRHGSDPGS

The term "isolated" means that the material is removed from its original environment (e.g., the natural environment if it is naturally occurring). For example, a naturally-occurring nucleic acid molecule or polynucleotide present in a living organism is not isolated, but the same

30 nucleic acid molecule or polynucleotide, separated from some or all of the coexisting materials in the natural environment, is isolated. Such nucleic acid molecule could be part of a vector

and/or such polynucleotide could be part of a composition, and still be isolated in that such vector or composition is not part of the natural environment of the nucleic acid molecule or polynucleotide.

5 By "nucleotide sequence" of a nucleic acid molecule or polynucleotide is intended, for a DNA molecule or polynucleotide, a sequence of deoxyribonucleotides, and for an RNA molecule or polynucleotide, the corresponding sequence of ribonucleotides (A, G, C and U), where each thymidine deoxyribonucleotide (T) in the specified deoxyribonucleotide sequence is replaced by the ribonucleotide uridine (U).

10 Using the information provided herein, such as a nucleotide sequence shown in the sequence listing, a nucleic acid molecule of the present invention encoding a polypeptide may be obtained using standard cloning and screening procedures, such as those for cloning cDNAs using mRNA as starting material. See, for example, *Molecular Cloning: a Laboratory Manual*, 3rd edition, Sambrook et al. 2001 Cold Spring Harbor Laboratory Press, New York and *Current Protocols in Molecular Biology*, Ausubel et al. eds., John Wiley & Sons, 1994.

15 Nucleic acid molecules of the present invention may be in the form of RNA, such as mRNA, or in the form of DNA, including, for instance, cDNA and genomic DNA obtained by cloning or produced synthetically. The DNA may be double-stranded or single-stranded. Single-stranded DNA or RNA may be the coding strand, also known as the sense strand, or it may be the non-coding strand, also referred to as the anti-sense strand.

20 In addition to nucleic acid molecules encoding isoform 3.1, isolated nucleic acid molecules of the invention include DNA molecules that comprise a sequence substantially different from those described above but that, due to the degeneracy of the genetic code, still encode the proteins shown in the sequence listing. Of course, the genetic code and species-specific codon preferences are well known in the art. Thus, it would be routine for one skilled in the art to generate the degenerate variants described above, for instance, to optimize codon expression for a particular host (*e.g.*, change codons in the human mRNA to those preferred by a bacterial host such as *E. coli*).

25 The invention further provides a nucleic acid molecule having a sequence complementary to one of the above sequences. Such isolated molecules, particularly DNA molecules, are useful as probes for gene mapping, by in situ hybridization with chromosomes, and for detecting expression of the corresponding gene(s) in human tissue, for instance, by Northern blot analysis.

The present invention is further directed to nucleic acid molecules encoding portions of the nucleotide sequences described herein as well as to fragments of the isolated nucleic acid molecules described herein. By a "fragment" of an isolated nucleic acid molecule is intended fragments at least about 15 nt, and more preferably at least about 20 nt, still more preferably at least about 30 nt, and even more preferably, at least about 40 nt in length that are useful as diagnostic probes and primers. Of course, larger fragments 50-500 nt in length are also useful according to the present invention as are fragments corresponding to most, if not all, of the nucleic acid molecules encoding isoform 3.1. By a fragment "at least 20 nt in length," for example, is intended fragments that include 20 or more contiguous bases from the nucleic acid molecules encoding isoform 3.1. Some nucleic acid fragments of the present invention include the 1.1 Kb 5' untranslated region unique to isoform 3.1.

In another aspect, the invention provides an isolated nucleic acid molecule comprising a polynucleotide that hybridizes under stringent hybridization conditions to a portion of a nucleic acid molecule of the invention described above. By "stringent hybridization conditions" is intended overnight incubation at 42°C in a solution comprising: 50% formamide, 5x SSC (750 mM NaCl, 75 mM trisodium citrate), 50 mM sodium phosphate (pH 7.6), 5x Denhardt's solution, 10% dextran sulfate, and 20 µg/ml denatured, sheared salmon sperm DNA, followed by washing the filters in 0.1x SSC at about 65°C.

By a polynucleotide that hybridizes to a "portion" of a polynucleotide is intended a polynucleotide (either DNA or RNA) hybridizing to at least about 15 nucleotides (nt), and more preferably at least about 20 nt, still more preferably at least about 30 nt, and even more preferably about 30-70 (*e.g.*, 50) nt, or 50-500 nts in length or fragments corresponding to most, if not all, of the reference polynucleotide.

By a portion of a polynucleotide of "at least 20 nt in length," for example, is intended 20 or more contiguous nucleotides from the nucleotide sequence of the reference polynucleotide. Of course, a polynucleotide that hybridizes only to a poly A sequence (such as any 3' terminal poly(A) tract of a cDNA), or to a complementary stretch of T (or U) residues, would not be included in a polynucleotide of the invention used to hybridize to a portion of a nucleic acid of the invention, since such a polynucleotide would hybridize to any nucleic acid molecule containing a poly (A) stretch or the complement thereof (*e.g.*, practically any double-stranded cDNA clone).

Also encoded by nucleic acids of the invention are the amino acid sequences of the invention together with additional, non-coding sequences, including for example, but not limited to introns and non-coding 5' and 3' sequences, such as the transcribed, non-translated sequences that play a role in transcription, mRNA processing, including splicing and polyadenylation signals, for example— ribosome binding and stability of mRNA; and additional coding sequence that codes for additional amino acids, such as those that provide additional functionalities. Thus, the sequence encoding the polypeptide may be fused to a marker sequence, such as a sequence encoding a peptide that facilitates purification of the fused polypeptide. In certain preferred embodiments of this aspect of the invention, the marker amino acid sequence is a hexa-histidine peptide, such as the tag provided in a pQE vector (QIAGEN Inc., 28159 Avenue Stanford, Valencia, California 91355), among others, many of which are commercially available. As described in Gentz et al. 1989 Proc Natl Acad USA 86:821- 824, for instance, hexa-histidine provides for convenient purification of the fusion protein. The "HA" tag is another peptide useful for purification that corresponds to an epitope derived from the influenza hemagglutinin protein, which has been described by Wilson et al. 1984 Cell 37:767-778.

Variant and Mutant Polynucleotides

The present invention further relates to variants of the nucleic acid molecules of the i 0 present invention, which encode portions, analogs or derivatives of the isoform. Variants may occur naturally, such as a natural allelic variant. By an "allelic variant" is intended one of several alternate forms of a gene occupying a given locus on a chromosome of an organism. Genes II, Lewin, B., ed., John Wiley & Sons, New York (1985). Non-naturally occurring variants may be produced using art-known mutagenesis techniques.

Such variants include those produced by nucleotide substitutions, deletions or additions. The substitutions, deletions or additions may involve one or more nucleotides. The variants may be altered in coding regions, non-coding regions, or both. Alterations in the coding regions may produce conservative or non-conservative amino acid substitutions, deletions or additions. Especially preferred among these are silent substitutions, additions and deletions, which do not alter the properties and activities of the channel protein or portions thereof. Also especially preferred in this regard are conservative substitutions.

Most highly preferred are nucleic acid molecules encoding isoform 3.1 having the amino acid sequence of SEQ ID NO: 5 and the nucleotide sequence of the cDNA having SEQ ID NO:

3. Further embodiments include an isolated nucleic acid molecule comprising a polynucleotide having a nucleotide sequence at least 85% identical, more preferably at least 90% identical, and most preferably at least 95%, 96%, 97%, 98% or 99% identical to a polynucleotide of the invention, or a polynucleotide that hybridizes under stringent hybridization conditions to such a polynucleotide. This polynucleotide that hybridizes does not hybridize under stringent hybridization conditions to a polynucleotide 0 having a nucleotide sequence consisting of only A residues or of only T residues. An additional nucleic acid embodiment of the invention relates to an isolated nucleic acid molecule comprising a polynucleotide that includes the 1.1 Kb 5' untranslated region unique to isoform 3.1.

By a polynucleotide having a nucleotide sequence at least, for example, 95% "identical" to a reference nucleotide sequence is intended that the nucleotide sequence of the polynucleotide is identical to the reference sequence except that the polynucleotide sequence may include up to five point mutations per each 100 nucleotides of the reference nucleotide sequence encoding the reference polypeptide. In other words, to obtain a polynucleotide having a nucleotide sequence at least 95% identical to a reference nucleotide sequence, up to 5% of the nucleotides in the reference sequence may be deleted or substituted with another nucleotide, or a number of nucleotides up to 5% of the total nucleotides in the reference sequence may be inserted into the reference sequence. These mutations of the reference sequence may occur at the 5' or 3' terminal positions of the reference nucleotide sequence or anywhere between those terminal positions, interspersed either individually among nucleotides in the reference sequence or in one or more contiguous groups within the reference sequence.

As a practical matter, whether any particular nucleic acid molecule is at least 85%, 90%, 95%, 96%, 97%, 98% or 99% identical to, for instance, the nucleotide sequence encoding isoform 3.1 having the amino acid sequence of SEQ ID NO: 5 and the nucleotide sequence of the cDNA having SEQ ID NO: 3 can be determined conventionally using known computer programs such as the Bestfit program (Wisconsin Sequence Analysis Package, Version 8 for Unix, Genetics Computer Group, University Research Park, 575 Science Drive, Madison, Wis. 53711). Bestfit uses the local homology algorithm of Smith and Waterman 1981 *Advances in Applied Mathematics* 2:482-489, to find the best segment of homology between two sequences. When using Bestfit or any other sequence alignment program to determine whether a particular sequence is, for instance, 95% identical to a reference sequence according to the present

invention, the parameters are set, of course, such that the percentage of identity is calculated over the full length of the reference nucleotide sequence and that gaps in homology of up to 5% of the total number of nucleotides in the reference sequence are allowed.

Vectors, Host Cells and Protein Production

5 The present invention also relates to vectors that include the isolated DNA molecules of the present invention, host cells that are genetically engineered with the recombinant vectors, and the production of polypeptides or fragments thereof by recombinant techniques. The vector may be, for example, a phage, plasmid, viral or retroviral vector. Retroviral vectors may be replication competent or replication defective. In the latter case, viral propagation generally will
10 occur only in complementing host cells.

 The polynucleotides may be joined to a vector containing a selectable marker for propagation in a host. Generally, a plasmid vector is introduced in a precipitate, such as a calcium phosphate precipitate, or in a complex with a charged lipid. If the vector is a virus, it may be packaged *in vitro* using an appropriate packaging cell line and then transduced into host
15 cells.

 The DNA insert should be operatively linked to an appropriate promoter, such as the phage lambda PL promoter, the *E. coli* lac, tip, phoA and tac promoters, the SV40 early and late promoters and promoters of retroviral LTRs, to name a few. Other suitable promoters will be known to the skilled artisan. The expression constructs will further contain sites for transcription
20 initiation, termination and, in the transcribed region, a ribosome binding site for translation. The coding portion of the transcripts expressed by the constructs will preferably include a translation initiating codon at the beginning and a termination codon (UAA, UGA or UAG) appropriately positioned at the end of the polypeptide to be translated.

 As indicated, the expression vectors will preferably include at least one selectable
25 marker. Such markers include dihydrofolate reductase, G418 or neomycin resistance for eukaryotic cell culture and tetracycline, kanamycin or ampicillin resistance genes for culturing in *E. coli* and other bacteria. Representative examples of appropriate hosts include, but are not limited to, bacterial cells, such as *E. coli*, *Streptomyces* and *Salmonella typhimurium* cells; fungal cells, such as yeast cells; insect cells such as *Drosophila* S2 and *Spodoptera* Sf9 cells; animal
30 cells such as CHO, COS, and 293 cells; and plant cells. Appropriate culture mediums and conditions for the above-described host cells are known in the art.

Among vectors available for use in bacteria include pQE70, pQE60 and pQE-9, available from QIAGEN, Inc., supra; pBluescript vectors, Phagescript vectors, pNH8A, pNH16a, pNH18A, pNH46A, available from Stratagene Cloning Systems, Inc.; and ptrc99a, pKK223-3, pKK233-3, pDR540, pRIT5 available from Pharmacia Biotech, Inc. Among suitable eukaryotic
5 vectors are pWLNEO, pSV2CAT, pOG44, pXT1 and pSG available from Stratagene; and pSVK3, pBPV, pMSG and pSVL available from Pharmacia. Other suitable vectors will be readily apparent to the skilled artisan.

Introduction of the construct into the host cell can be effected by calcium phosphate transfection, DEAE-dextran mediated transfection, cationic lipid-mediated transfection,
10 electroporation, transduction, infection or other methods. Such methods are described in many standard laboratory manuals, such as Davis et al., Basic Methods In Molecular Biology (1986).

The polypeptide may be expressed in a modified form, such as a fusion protein, and may include not only secretion signals, but also additional heterologous functional regions. For instance, a region of additional amino acids, particularly charged amino acids, may be added to
15 the N-terminus of the polypeptide to improve stability and persistence in the host cell, during purification, or during subsequent handling and storage. Also, peptide moieties may be added to the polypeptide to facilitate purification. Such regions may be removed prior to final preparation of the polypeptide. The addition of peptide moieties to polypeptides to engender secretion or excretion, to improve stability and to facilitate purification, among others, are familiar and
20 routine techniques in the art. A representative fusion protein comprises a heterologous region from immunoglobulin that is useful to stabilize and purify proteins.

A protein of this invention can be recovered and purified from recombinant cell cultures by well-known methods including ammonium sulfate or ethanol precipitation, acid extraction, anion or cation exchange chromatography, phosphocellulose chromatography, hydrophobic
25 interaction chromatography, affinity chromatography, hydroxylapatite chromatography and lectin chromatography. Most preferably, high performance liquid chromatography ("HPLC") is employed for purification. Polypeptides of the present invention include: products purified from natural sources, including bodily fluids, tissues and cells, whether directly isolated or cultured; products of chemical synthetic procedures; and products produced by recombinant techniques
30 from a prokaryotic or eukaryotic host, including, for example, bacterial, yeast, higher plant, insect and mammalian cells. Depending upon the host employed in a recombinant production

procedure, the polypeptides of the present invention may be glycosylated or may be non-glycosylated. In addition, polypeptides of the invention may also include an initial modified methionine residue, in some cases as a result of host-mediated processes. Thus, it is well known in the art that the N-terminal methionine encoded by the translation initiation codon generally is removed with high efficiency from any protein after translation in all eukaryotic cells. While the N-terminal methionine on most proteins also is efficiently removed in most prokaryotes, for some proteins this prokaryotic removal process is inefficient, depending on the nature of the amino acid to which the N-terminal methionine is covalently linked.

Polypeptides and Fragments

The invention further provides isolated polypeptides having an amino acid sequence encoded by isoform 3.1 nucleic acid molecules, or a peptide or polypeptide comprising a portion of the above polypeptides. At the simplest level, the amino acid sequence can be synthesized using commercially available peptide synthesizers. This is particularly useful in producing small peptides and fragments of larger polypeptides. Such fragments are useful, for example, in generating antibodies against the native polypeptide.

Variant and Mutant Polypeptides

To improve or alter the characteristics of the polypeptides of the invention, protein engineering may be employed. Recombinant DNA technology known to those skilled in the art can be used to create novel mutant proteins or "mutedins" including single or multiple amino acid substitutions, deletions, additions or fusion proteins. Such modified polypeptides can show, *e.g.*, enhanced activity or increased stability. In addition, they may be purified in higher yields and show better solubility than the corresponding natural polypeptide, at least under certain purification and storage conditions.

In addition, it also will be recognized by one of ordinary skill in the art that some amino acid sequences of a polypeptide can be varied without significant effect on the structure or function of the protein. If such differences in sequence are contemplated, it should be remembered that there will be critical areas on the protein that determine activity.

Thus, the invention further includes variants of a polypeptide that show substantial biological activity or that include regions of the protein such as the portions discussed below. Such mutants include deletions, insertions, inversions, repeats, and type substitutions selected according to general rules known in the art so as to have little effect on activity. For example,

guidance concerning how to make phenotypically silent amino acid substitutions is provided in Bowie, J. U. et al., 1990 Science 247:1306-1310, wherein the authors indicate that there are two main approaches for studying the tolerance of an amino acid sequence to change. The first method relies on the process of evolution, in which mutations are either accepted or rejected by natural selection. The second approach uses genetic engineering to introduce amino acid changes at specific positions of a cloned gene and selections or screens to identify sequences that maintain functionality.

As the authors state, these studies have revealed that proteins are surprisingly tolerant of amino acid substitutions. The authors further indicate which amino acid changes are likely to be permissive at a certain position of the protein. For example, most buried amino acid residues require nonpolar side chains, whereas few features of surface side chains are generally conserved. Other such phenotypically silent substitutions are described in Bowie, J. U. et al., supra, and the references cited therein. Typically seen as conservative substitutions are the replacements, one for another, among the aliphatic amino acids Ala, Val, Leu and He; interchange of the hydroxyl residues Ser and Thr, exchange of the acidic residues Asp and Glu, substitution between the amide residues Asn and Gln, exchange of the basic residues Lys and Arg and replacements among the aromatic residues Phe, Tyr.

Thus, the fragment, derivative or analog of a polypeptide of the invention may be (i) one in which one or more of the amino acid residues are substituted with a conserved or non-conserved amino acid residue (preferably a conserved amino acid residue) and such substituted amino acid residue may or may not be one encoded by the genetic code, or (ii) one in which one or more of the amino acid residues includes a substituent group, or (iii) one in which the mature polypeptide is fused with another compound, such as a compound to increase the half-life of the polypeptide (for example, polyethylene glycol), or (iv) one in which the additional amino acids are fused to the above form of the polypeptide, such as an IgG Fc fusion region peptide or leader or secretory sequence or a sequence that is employed for purification of the above form of the polypeptide or a proprotein sequence. Such fragments, derivatives and analogs are deemed to be within the scope of those skilled in the art from the teachings herein.

Thus, the polypeptides of the present invention may include one or more amino acid substitutions, deletions or additions, either from natural mutations or human manipulation. As indicated, changes are preferably of a minor nature, such as conservative amino acid

substitutions that do not significantly affect the folding or activity of the protein (refer to Table 1).

Table 1. Conservative Amino Acid Substitutions

Aromatic	Phenylalanine Tryptophan Tyrosine
Hydrophobic	Leucine Isoleucine Valine
Polar	Glutamine Asparagine
Basic	Arginine Lysine Histidine
Acidic	Aspartic Acid Glutamic Acid
Small	Alanine Serine Threonine Methionine Glycine

5 Amino acids in the protein of the present invention that are essential for function can be identified by methods known in the art, such as site-directed mutagenesis or alanine-scanning mutagenesis (Cunningham and Wells 1989 Science 244:1081-1085). The latter procedure introduces single alanine mutations at every residue in the molecule. The resulting mutant molecules are then tested for biological activity such as binding characteristics or *in vitro* or *in vivo* channel activity.

10 The polypeptides of the present invention are preferably provided in an isolated form. A recombinantly produced version of a polypeptide of the invention can be substantially purified by the one-step method described in Smith and Johnson, 1988 Gene 67:31-40. Polypeptides of the invention also can be purified from natural or recombinant sources using antibodies of the invention raised against the protein in methods that are well known in the art of protein purification.

15 Further polypeptides of the present invention include polypeptides that have at least 90% similarity, more preferably at least 95% similarity, and still more preferably at least 96%, 97%, 98% or 99% similarity to those described above. The polypeptides of the invention also comprise

those that are at least 85% identical, more preferably at least 90% or 95% identical, still more preferably at least 96%, 97%, 98% or 99% identical to the polypeptide encoded by isoform 3.1 nucleic acid molecules, and also include portions of such polypeptides with at least 30 amino acids and more preferably at least 50 amino acids.

5 By "% similarity" for two polypeptides is intended a similarity score produced by comparing the amino acid sequences of the two polypeptides using the Bestfit program (Wisconsin Sequence Analysis Package, Version 8 for Unix, Genetics Computer Group, University Research Park, 575 Science Drive, Madison, Wis. 53711) and the default settings for determining similarity. Bestfit uses the local homology algorithm of Smith and Waterman 1981
10 Advances in Applied Mathematics 2:482-489, to find the best segment of similarity between two sequences.

By a polypeptide having an amino acid sequence at least, for example, 95% "identical" to a reference amino acid sequence of a polypeptide described herein is intended that the amino acid sequence of the polypeptide is identical to the reference sequence except that the
15 polypeptide sequence may include up to five amino acid alterations per each 100 amino acids of the reference amino acid of the polypeptide of the invention. In other words, to obtain a polypeptide having an amino acid sequence at least 95% identical to a reference amino acid sequence, up to 5% of the amino acid residues in the reference sequence may be deleted or substituted with another amino acid, or a number of amino acids up to 5% of the total amino acid
20 residues in the reference sequence may be inserted into the reference sequence. These alterations of the reference sequence may occur at the amino or carboxy terminal positions of the reference amino acid sequence or anywhere between those terminal positions, interspersed either individually among residues in the reference sequence or in one or more contiguous groups within the reference sequence.

25 As a practical matter, whether any particular polypeptide is at least 90%, 95%, 96%, 97%, 98% or 99% identical to, for instance, an amino acid sequence encoded by the isoform 3.1 nucleic acid molecules can be determined conventionally using known computer programs such the Bestfit program (Wisconsin Sequence Analysis Package, Version 8 for Unix, Genetics Computer Group, University Research Park, 575 Science Drive, Madison, Wis. 53711). When
30 using Bestfit or any other sequence alignment program to determine whether a particular sequence is, for instance, 95% identical to a reference sequence according to the present

invention, the parameters are set, of course, such that the percentage of identity is calculated over the full length of the reference amino acid sequence and that gaps in homology of up to 5% of the total number of amino acid residues in the reference sequence are allowed.

Antibodies

5 "Antibody" as used herein includes intact immunoglobulin molecules, as well as fragments thereof, such as Fab, F(ab')₂, and Fv, which are capable of binding an epitope of isoform 3.1. Typically, at least 6, 8, 10, or 12 contiguous amino acids are required to form an epitope. However, epitopes that involve non-contiguous amino acids may require more, *e.g.*, at least 15, 25, or 50 amino acid. An antibody that specifically binds to an epitope of isoform 3.1
10 can be used therapeutically, as well as in immunochemical assays, such as Western blots, ELISAs, radio immunoassays, immunohistochemical assays, immunoprecipitations, or other immunochemical assays known in the art. Various immunoassays can be used to identify antibodies having the desired specificity. Numerous protocols for competitive binding or immunoradiometric assays are well known in the art. Such immunoassays typically involve the
15 measurement of complex formation between an immunogen and an antibody that specifically binds to the isoform 3.1 immunogen.

Typically, an antibody that specifically binds to isoform 3.1 provides a detection signal at least 5-, 10-, or 20-fold higher than a detection signal provided with other proteins when used in an immunochemical assay. Preferably, antibodies that specifically bind to isoform 3.1 do not
20 detect other proteins, especially KCNH2, in immunochemical assays and can immunoprecipitate isoform 3.1 from solution.

Isoform 3.1 can be used to immunize a mammal, such as a mouse, rat or rabbit to produce polyclonal antibodies. If desired, isoform 3.1 can be conjugated to a carrier protein, such as bovine serum albumin, thyroglobulin, and keyhole limpet hemocyanin. Depending on the host
25 species, various adjuvants can be used to increase the immunological response. Such adjuvants include, but are not limited to, Freund's adjuvant, mineral gels (*e.g.*, aluminum hydroxide), and surface active substances (*e.g.*, lysolecithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanin, and dinitrophenol). Among adjuvants used in humans, BCG (*bacilli Calmette-Guerin*) and *Corynebacterium parvum* are especially useful.

30 Monoclonal antibodies that specifically bind to isoform 3.1 can be prepared using any technique that provides for the production of antibody molecules by continuous cell lines in

culture. These techniques include, but are not limited to, the hybridoma technique, the human B-cell hybridoma technique, and the EBV-hybridoma technique.

In addition, techniques developed for the production of "chimeric antibodies", the splicing of mouse antibody genes to human antibody genes to obtain a molecule with appropriate antigen specificity and biological activity, can be used. Monoclonal and other antibodies also can be "humanized" to prevent a patient from mounting an immune response against the antibody when it is used therapeutically. Such antibodies may be sufficiently similar in sequence to human antibodies to be used directly in therapy or may require alteration of a few key residues. Sequence differences between rodent antibodies and human sequences can be minimized by replacing residues that differ from those in the human sequences by site directed mutagenesis of individual residues or by grafting of entire complementarily determining regions. Antibodies that specifically bind to isoform 3.1 can contain antigen binding sites that are either partially or fully humanized.

Alternatively, techniques described for the production of single chain antibodies can be adapted using methods known in the art to produce single chain antibodies that specifically bind to isoform 3.1. Antibodies with related specificity, but of distinct idiotypic composition, can be generated by chain shuffling from random combinatorial immunoglobulin libraries. Single-chain antibodies also can be constructed using a DNA amplification method, such as PCR, using hybridoma cDNA as a template. Single-chain antibodies can be mono- or bispecific, and can be bivalent or tetravalent. Construction of tetravalent, bispecific single-chain antibodies is taught. A nucleotide sequence encoding a single-chain antibody can be constructed using manual or automated nucleotide synthesis, cloned into an expression construct using standard recombinant DNA methods, and introduced into a cell to express the coding sequence. Alternatively, single-chain antibodies can be produced directly using, for example, filamentous phage technology.

Antibodies that specifically bind to isoform 3.1 also can be produced by inducing *in vivo* production in the lymphocyte population or by screening immunoglobulin libraries or panels of highly specific binding reagents. Other types of antibodies can be constructed and used therapeutically in methods of the invention. Binding proteins that are derived from immunoglobulins and that are multivalent and multispecific, such as the "diabodies" described in WO 94/13804, also can be prepared.

Antibodies according to the invention can be purified by methods well known in the art. For example, antibodies can be affinity purified by passage over a column to which isoform 3.1 is bound. The bound antibodies can then be eluted from the column using a buffer with a high salt concentration.

5 **Antisense Oligonucleotides**

Antisense oligonucleotides are nucleotide sequences that are complementary to a specific DNA or RNA sequence. Once introduced into a cell, the complementary nucleotides combine with natural sequences produced by the cell to form complexes and block either transcription or translation. Preferably, an antisense oligonucleotide is at least 11 nucleotides in length, but can
10 be at least 12, 15, 20, 25, 30, 35, 40, 45, or 50 or more nucleotides long. Longer sequences also can be used. Antisense oligonucleotide molecules can be provided in a DNA construct and introduced into a cell as described above to decrease the level of isoform 3.1 gene products in the cell.

Antisense oligonucleotides can be deoxyribonucleotides, ribonucleotides, or a
15 combination of both. Oligonucleotides can be synthesized manually or by an automated synthesizer, by covalently linking the 5' end of one nucleotide with the 3' end of another nucleotide with non-phosphodiester internucleotide linkages such as alkylphosphonates, phosphorothioates, phosphorodithioates, alkylphosphonothioates, alkylphosphonates, phosphoramidates, phosphate esters, carbamates, acetamidate, carboxymethyl esters, carbonates,
20 and phosphate triesters.

Modifications of isoform 3.1 gene expression can be obtained by designing antisense oligonucleotides that will form duplexes to the control, 5', or regulatory regions of the isoform 3.1 gene. Oligonucleotides derived from the transcription initiation site, *e.g.*, between positions -10 and +10 from the start site, are preferred. Similarly, inhibition can be achieved using "triple
25 helix" base-pairing methodology. Triple helix pairing is useful because it causes inhibition of the ability of the double helix to open sufficiently for the binding of polymerases, transcription factors, or chaperons. Therapeutic advances using triplex DNA have been described in the literature. An antisense oligonucleotide also can be designed to block translation of mRNA by preventing the transcript from binding to ribosomes.

30 Precise complementarity is not required for successful complex formation between an antisense oligonucleotide and the complementary sequence of an isoform 3.1 polynucleotide.

Antisense oligo nucleotides that comprise, for example, 2, 3, 4, or 5 or more stretches of contiguous nucleotides that are precisely complementary to an isoform 3.1 polynucleotide, each separated by a stretch of contiguous nucleotides that are not complementary to adjacent isoform 3.1 nucleotides, can provide sufficient targeting specificity for isoform 3.1 mRNA. Preferably, each stretch of complementary contiguous nucleotides is at least 4, 5, 6, 7, or 8 or more nucleotides in length. Non-complementary intervening sequences are preferably 1, 2, 3, or 4 nucleotides in length. One skilled in the art can easily use the calculated melting point of an antisense-sense pair to determine the degree of mismatching that will be tolerated between a particular antisense oligonucleotide and a particular isoform 3.1 polynucleotide sequence.

Antisense oligonucleotides can be modified without affecting their ability to hybridize to an isoform 3.1 polynucleotide. These modifications can be internal or at one or both ends of the antisense molecule. For example, internucleoside phosphate linkages can be modified by adding cholesteryl or diamine moieties with varying numbers of carbon residues between the amino groups and terminal ribose. Modified bases and/or sugars, such as arabinose instead of ribose, or a 3', 5'-substituted oligonucleotide in which the 3' hydroxyl group or the 5' phosphate group are substituted, also can be employed in a modified antisense oligonucleotide. These modified oligonucleotides can be prepared by methods well known in the art.

Ribozymes

Ribozymes are RNA molecules with catalytic activity. Ribozymes can be used to inhibit gene function by cleaving an RNA sequence, as is known in the art. The mechanism of ribozyme action involves sequence-specific hybridization of the ribozyme molecule to complementary target RNA, followed by endonucleolytic cleavage. Examples include engineered hammerhead motif ribozyme molecules that can specifically and efficiently catalyze endonucleolytic cleavage of specific nucleotide sequences. The coding sequence of an isoform 3.1 polynucleotide can be used to generate ribozymes that will specifically bind to mRNA transcribed from an isoform 3.1 polynucleotide. Methods of designing and constructing ribozymes that can cleave other RNA molecules in trans in a highly sequence specific manner have been developed and described in the art. For example, the cleavage activity of ribozymes can be targeted to specific RNAs by engineering a discrete "hybridization" region into the ribozyme. The hybridization region contains a sequence complementary to the target RNA and thus specifically hybridizes with the target RNA.

Specific ribozyme cleavage sites within an isoform 3.1 RNA target can be identified by scanning the target molecule for ribozyme cleavage sites that include the following sequences: GUA, GUU, and GUC. Once identified, short RNA sequences of between 15 and 20 ribonucleotides corresponding to the region of the target RNA containing the cleavage site can be evaluated for secondary structural features that may render the target inoperable. Suitability of candidate isoform 3.1 RNA targets also can be evaluated by testing accessibility to hybridization with complementary oligonucleotides using ribonuclease protection assays. The nucleotide sequences shown in SEQ ID NO: 3 and its complement provide sources of suitable hybridization region sequences. Longer complementary sequences can be used to increase the affinity of the hybridization sequence for the target. The hybridizing and cleavage regions of the ribozyme can be integrally related such that upon hybridizing to the target RNA through the complementary regions, the catalytic region of the ribozyme can cleave the target.

Ribozymes can be introduced into cells as part of a DNA construct. Mechanical methods, such as microinjection, liposome-mediated transfection, electroporation, or calcium phosphate precipitation, can be used to introduce a ribozyme-containing DNA construct into cells in which it is desired to decrease isoform 3.1 expression. Alternatively, if it is desired that the cells stably retain the DNA construct, the construct can be supplied on a plasmid and maintained as a separate element or integrated into the genome of the cells, as is known in the art. A ribozyme-encoding DNA construct can include transcriptional regulatory elements, such as a promoter element, an enhancer or UAS element, and a transcriptional terminator signal, for controlling transcription of ribozymes in the cells. Ribozymes also can be engineered to provide an additional level of regulation, so that destruction of mRNA occurs only when both a ribozyme and a target gene are induced in the cells.

Screening/Screening Assays

25 Regulators

Regulators as used herein, refer to compounds that affect the functional activity of an isoform 3.1 *in vitro* and/or *in vivo*. Regulators can be agonists and antagonists of an isoform 3.1 polypeptide and can be compounds that exert their effect on the isoform 3.1 activity via the expression, via post-translational modifications, by direct interaction with the channel protein or by other means. Agonists of isoform 3.1 are molecules that, when bound to isoform 3.1, increase or prolong the functional activity of isoform 3.1. Agonists of isoform 3.1 include proteins,

nucleic acids, carbohydrates, small molecules, or any other molecule that activate isoform 3.1. Antagonists of isoform 3.1 are molecules that, when bound to isoform 3.1, decrease the amount or the duration of the functional activity of isoform 3.1. Antagonists include proteins, nucleic acids, carbohydrates, antibodies, small molecules, or any other molecule that decrease the activity of isoform 3.1.

The term "modulate", as it appears herein, refers to a change in the activity of isoform 3.1 polypeptide. For example, modulation may cause an increase or a decrease in functional activity, binding characteristics, or any other biological, functional, or immunological properties of isoform 3.1.

As used herein, the terms "specific binding" or "specifically binding" refer to that interaction between a protein or peptide and an agonist, an antibody, or an antagonist. The interaction is dependent upon the presence of a particular structure of the protein recognized by the binding molecule (*i.e.*, the antigenic determinant or epitope). For example, if an antibody is specific for epitope "A" the presence of a polypeptide containing the epitope A, or the presence of free unlabeled A, in a reaction containing free labeled A and the antibody will reduce the amount of labeled A that binds to the antibody.

The invention provides methods (also referred to herein as "screening assays") for identifying compounds that can be used for the treatment of neurological diseases, *e.g.*, schizophrenia. The methods entail the identification of candidate or test compounds or agents (*e.g.*, peptides, peptidomimetics, small molecules or other molecules) that bind to isoform 3.1 and/or have a stimulatory or inhibitory effect on the biological functional activity of isoform 3.1 or its expression and then determining which of these compounds have an effect on symptoms or diseases regarding neurological diseases, *e.g.*, schizophrenia, in an *in vivo* assay.

Candidate or test compounds or agents that bind to isoform 3.1 and/or have a stimulatory or inhibitory effect on the functional activity or the expression of isoform 3.1 are identified either in assays that employ cells that express isoform 3.1 on the cell surface (cell-based assays) or in assays with isolated isoform 3.1 (cell-free assays). The various assays can employ a variety of variants of isoform 3.1 (*e.g.*, full-length isoform 3.1, a biologically active fragment of isoform 3.1, or a fusion protein that includes all or a portion of isoform 3.1). Moreover, isoform 3.1 can be derived from any suitable mammalian species (*e.g.*, human or monkey isoform 3.1). The assay can be a binding assay entailing direct or indirect measurement of the binding of a test

compound or a known isoform 3.1 ligand to isoform 3.1. The assay can also be an activity assay entailing direct or indirect measurement of the activity of isoform 3.1. The assay can also be an expression assay entailing direct or indirect measurement of the expression of isoform 3.1 mRNA or isoform 3.1 protein. The various screening assays are combined with an *in vivo* assay
5 entailing measuring the effect of the test compound on the symptoms of neurological diseases, *e.g.*, schizophrenia.

In one embodiment, the invention provides assays for screening candidate or test compounds that bind to or modulate the functional activity of a membrane-bound (cell surface expressed) form of isoform 3.1. Such assays can employ full-length isoform 3.1, a biologically
10 active fragment of isoform 3.1, or a fusion protein that includes all or a portion of isoform 3.1. As described in greater detail below, the test compound can be obtained by any suitable means, *e.g.*, from conventional compound libraries. Determining the ability of the test compound to bind to a membrane-bound form of isoform 3.1 can be accomplished, for example, by coupling the test compound with a radioisotope or enzymatic label such that binding of the test compound to
15 the isoform 3.1 -expressing cell can be measured by detecting the labeled compound in a complex. For example, the test compound can be labelled with ^{125}I , ^{35}S , ^{14}C , or ^3H , either directly or indirectly, and the radioisotope detected by direct counting of radioemmission or by scintillation counting. Alternatively, the test compound can be enzymatically labelled with, for example, horseradish peroxidase, alkaline phosphatase, or luciferase, and the enzymatic label
20 detected by determination of conversion of an appropriate substrate to product.

In a competitive binding format, the assay comprises contacting an isoform 3.1 expressing cell with a known compound that carries a detectable label and that binds to isoform 3.1 to form an assay mixture, contacting the assay mixture with a test compound, and determining the ability of the test compound to interact with the isoform 3.1 expressing cell,
25 wherein determining the ability of the test compound to interact with the isoform 3.1 expressing cell comprises determining the ability of the test compound to preferentially bind the isoform 3.1 expressing cell as compared to the known compound.

In another embodiment, the assay is a cell-based assay comprising contacting a cell expressing a membrane-bound form of isoform 3.1 (*e.g.*, full-length isoform 3.1, a biologically
30 active fragment of isoform 3.1, or a fusion protein that includes all or a portion of isoform 3.1) expressed on the cell surface with a test compound and determining the ability of the test

compound to modulate (*e.g.*, stimulate or inhibit) the functional activity of the membrane-bound form of isoform 3.1. Determining the ability of the test compound to modulate the functional activity of the membrane-bound form of isoform 3.1 can be accomplished by any method suitable for measuring the functional activity of isoform 3.1, *e.g.*, any method suitable for measuring the activity of any ion channel or other electrogenic target protein (described in greater detail below). The activity of an electrogenic target can be measured in a number of ways, not all of which are suitable for any given target protein. Among the measures of activity are: alteration in ion concentrations, measuring alterations in microphysiometric conditions, measuring alterations in voltage or measuring alterations in currents.

10 The present invention also includes cell-free assays. Such assays involve contacting a form of isoform 3.1 (*e.g.*, full-length isoform 3.1, a biologically active fragment of isoform 3.1, or a fusion protein comprising all or a portion of isoform 3.1) with a test compound and determining the ability of the test compound to bind to isoform 3.1. Binding of the test compound to isoform 3.1 can be determined either directly or indirectly as described above. In one embodiment, the assay includes contacting isoform 3.1 with a known compound that carries a detectable label and that binds isoform 3.1 to form an assay mixture, contacting the assay mixture with a test compound, and determining the ability of the test compound to interact with isoform 3.1, wherein determining the ability of the test compound to interact with isoform 3.1 comprises determining the ability of the test compound to preferentially bind to isoform 3.1 as compared to the known compound.

20 The cell-free assays of the present invention are amenable to use of either a membrane-bound form of isoform 3.1 or a soluble fragment thereof. In the case of cell-free assays comprising the membrane bound form of the polypeptide, it may be desirable to utilize a solubilizing agent such that the membrane-bound form of the polypeptide is maintained in solution. Examples of such solubilizing agents include but are not limited to non-ionic detergents such as n-octylglucoside, n-dodecylglucoside, n-dodecylmaltoside, octanoyl-N-methylglucamide, decanoyl-N-methyl-glucamide, Triton X-100, Triton X-114, Thesit, Isotridecylpoly(ethylene glycol ether)_n, 3-[(3-cholamidopropyl) dimethylamminio]-1-propane sulfonate (CHAPS), 3-[(3-cholamidopropyl)dimethylamminio]-2-hydroxy-1-propane sulfonate (CHAPSO), or N-dodecyl=N,N-dimethyl-3 ammonio-1-propane sulfonate.

30 In various embodiments of the above assay methods of the present invention, it may be

desirable to immobilize isoform 3.1 (or an isoform 3.1 target molecule) to facilitate separation of complexed from uncomplexed forms of one or both of the proteins, as well as to accommodate automation of the assay. Binding of a test compound to isoform 3.1, or interaction of isoform 3.1 with a target molecule in the presence and absence of a candidate compound, can be
5 accomplished in any vessel suitable for containing the reactants.

Examples of such vessels include microtiter plates, test tubes, and micro- centrifuge tubes. In one embodiment, a fusion protein can be provided that adds a domain that allows one or both of the proteins to be bound to a matrix. For example, glutathione-S-transferase (GST) fusion proteins can be adsorbed onto glutathione sepharose beads (Sigma Chemical; St. Louis, Mo.) or
10 glutathione derivatized microtiter plates, which are then combined with the test compound or the test compound and either the non-adsorbed target protein or isoform 3.1, and the mixture incubated under conditions conducive to complex formation (*e.g.*, at physiological conditions for salt and pH). Following incubation, the beads or microtitre plate wells are washed to remove any unbound components and complex formation is measured either directly or indirectly, for
15 example, as described above. Alternatively, the complexes can be dissociated from the matrix, and the level of binding or activity of isoform 3.1 can be determined using standard techniques.

Other techniques for immobilizing proteins on matrices can also be used in the screening assays of the invention. For example, either isoform 3.1 or its target molecule can be immobilized utilizing conjugation of biotin and streptavidin. Biotinylated polypeptide of the
20 invention or target molecules can be prepared from biotin-NHS (N-hydroxy-succinimide) using techniques well known in the art (*e.g.*, biotinylation kit, Pierce Chemicals; Rockford, IU.), and immobilized in the wells of streptavidin- coated plates (Pierce Chemical). Alternatively, antibodies reactive with isoform 3.1 or target molecules but that do not interfere with binding of the polypeptide of the invention to its target molecule can be derivatized to the wells of the plate,
25 and unbound target or polypeptide of the invention trapped in the wells by antibody conjugation. Methods for detecting such complexes, in addition to those described above for the GST-immobilized complexes, include immunodetection of complexes using antibodies reactive with isoform 3.1 or target molecule, as well as enzyme-linked assays that rely on detecting an enzymatic activity associated with isoform 3.1 or target molecule.

30 The screening assay can also involve monitoring the expression of isoform 3.1. For example, regulators of expression of isoform 3.1 can be identified in a method in which a cell is

contacted with a candidate compound and the expression of isoform 3.1 protein or mRNA in the cell is determined. The level of expression of isoform 3.1 protein or mRNA the presence of the candidate compound is compared to the level of expression of isoform 3.1 protein or mRNA in the absence of the candidate compound. The candidate compound can then be identified as a
5 regulator of expression of isoform 3.1 based on this comparison.

For example, when expression of isoform 3.1 protein or mRNA protein is greater (statistically significantly greater) in the presence of the candidate compound than in its absence, the candidate compound is identified as a stimulator of isoform 3.1 protein or mRNA expression. Alternatively, when expression of isoform 3.1 protein or mRNA is less (statistically significantly
10 less) in the presence of the candidate compound than in its absence, the candidate compound is identified as an inhibitor of isoform 3.1 protein or mRNA expression. The level of isoform 3.1 protein or mRNA expression in the cells can be determined by methods described below.

Binding Assays

For binding assays, the test compound is preferably a small molecule that potentially
15 binds to a regulatory channel site or nearby the channel pore of isoform 3.1, thereby competing with physiological modulators for said regulatory site, blocking the channel pore, or holding it in its open or closed state such that normal biological activity is prevented, enhanced or otherwise modified. Examples of such small molecules include, but are not limited to, small peptides or peptide-like molecules as well as small compounds.

Potential ligands that bind to a polypeptide of the invention include, but are not limited
20 to, the natural ligands of known isoform 3.1 ion channels and analogues or derivatives thereof. In binding assays, either the test compound or the isoform 3.1 polypeptide can comprise a detectable label, such as a fluorescent, radioisotopic, chemiluminescent, or enzymatic label, such as horseradish peroxidase, alkaline phosphatase, or luciferase. Detection of a test compound that
25 is bound to isoform 3.1 polypeptide can then be accomplished, for example, by direct counting of radioemmission, by scintillation counting, or by determining conversion of an appropriate substrate to a detectable product. Alternatively, binding of a test compound to an isoform 3.1 polypeptide can be determined without labeling either of the interactants. For example, a microphysiometer can be used to detect binding of a test compound with a isoform 3.1
30 polypeptide. A microphysio- meter (*e.g.*, Cytosensor™) is an analytical instrument that measures the rate at which a cell acidifies its environment using a light-addressable potentiometric sensor

(LAPS). Changes in this acidification rate can be used as an indicator of the interaction between a test compound and isoform 3.1.

Determining the ability of a test compound to bind to isoform 3.1 also can be accomplished using a technology such as real-time Biomolecular Interaction Analysis (BIA).

5 BIA is a technology for studying biospecific interactions in real time, without labeling any of the interactants (*e.g.*, BIAcore™). Changes in the optical phenomenon surface plasmon resonance (SPR) can be used as an indication of real-time reactions between biological molecules. In a competitive binding format, the assay includes contacting isoform 3.1 with a known compound that carries a detectable label and that is coupled to a detectable label and that binds
10 isoform 3.1 to form an assay mixture, contacting the assay mixture with a test compound, and determining the ability of the test compound to interact with isoform 3.1, wherein determining the ability of the test compound to interact with isoform 3.1 comprises determining the ability of the test compound to preferentially bind to isoform 3.1 as compared to the known compound. In yet another aspect of the invention, an isoform 3.1 -like polypeptide can be used as a "bait
15 protein" in a two-hybrid assay or three-hybrid assay to identify other proteins that bind to or interact with isoform 3.1 and modulate its activity.

The two-hybrid system is based on the modular nature of most transcription factors, which consist of separable DNA-binding and activation domains. Briefly, the assay utilizes two different DNA constructs. For example, in one construct, polynucleotide encoding isoform 3.1
20 can be fused to a polynucleotide encoding the DNA binding domain of a known transcription factor (*e.g.*, GAL-4). In the other construct a DNA sequence that encodes an unidentified protein ("prey" or "sample") can be fused to a polynucleotide that codes for the activation domain of the known transcription factor. If the "bait" and the "prey" proteins are able to interact *in vivo* to form a protein-dependent complex, the DNA-binding and activation domains of the transcription
25 factor are brought into close proximity. This proximity allows transcription of a reporter gene (*e.g.*, LacZ), which is operably linked to a transcriptional regulatory site responsive to the transcription factor. Expression of the reporter gene can be detected, and cell colonies containing the functional transcription factor can be isolated and used to obtain the DNA sequence encoding the protein that interacts with isoform 3.1.

30 It may be desirable to immobilize either the isoform 3.1 (or polynucleotide) or the test compound to facilitate separation of the bound form from unbound forms of one or both of the

interactants, as well as to accommodate automation of the assay. Thus, either the isoform 3.1 -
like polypeptide (or polynucleotide) or the test compound can be bound to a solid support.
Suitable solid supports include, but are not limited to, glass or plastic slides, tissue culture plates,
microtiter wells, tubes, silicon chips, or particles such as beads (including, but not limited to,
5 latex, polystyrene, or glass beads). Any method known in the art can be used to attach isoform
3.1 -like polypeptide (or polynucleotide) or test compound to a solid support, including use of
covalent and non-covalent linkages, passive absorption, or pairs of binding moieties attached
respectively to the polypeptide (or polynucleotide) or test compound and the solid support. Test
compounds are preferably bound to the solid support in an array, so that the location of
10 individual test compounds can be tracked. Binding of a test compound to isoform 3.1 (or a
polynucleotide encoding for isoform 3.1) can be accomplished in any vessel suitable for
containing the reactants. Examples of such vessels include microtiter plates, test tubes, and
microcentrifuge tubes.

In one embodiment, isoform 3.1 is a fusion protein comprising a domain that allows
15 binding of isoform 3.1 to a solid support. For example, glutathione- S- transferase fusion proteins
can be adsorbed onto glutathione sepharose beads (Sigma Chemical, St. Louis, Mo.) or
glutathione derivatized microtiter plates, which are then combined with the test compound or the
test compound and the non-adsorbed isoform 3.1 ; the mixture is then incubated under conditions
conducive to complex formation (*e.g.*, at physiological conditions for salt and pH). Following
20 incubation, the beads or microtiter plate wells are washed to remove any unbound components.
Binding of the interactants can be determined either directly or indirectly, as described above.
Alternatively, the complexes can be dissociated from the solid support before binding is
determined.

Other techniques for immobilizing proteins or polynucleotides on a solid support also can
25 be used in the screening assays of the invention. For example, either isoform 3.1 (or a
polynucleotide encoding isoform 3.1) or a test compound can be immobilized utilizing
conjugation of biotin and streptavidin. Biotinylated isoform 3.1 (or a polynucleotide encoding
biotinylated isoform 3.1) or test compounds can be prepared from biotin-NHS (N-
hydroxysuccinimide) using techniques well known in the art (*e.g.*, biotinylation kit, Pierce
30 Chemicals, Rockford, 111.) and immobilized in the wells of streptavidin-coated plates (Pierce
Chemical). Alternatively, antibodies that specifically bind to isoform 3.1, polynucleotide, or a

test compound, but that do not interfere with a desired binding site, such as the active site of isoform 3.1, can be derivatized to the wells of the plate. Unbound target or protein can be trapped in the wells by antibody conjugation.

5 Methods for detecting such complexes, in addition to those described above for the GST-immobilized complexes, include immunodetection of complexes using antibodies that specifically bind to isoform 3.1 polypeptide or test compound, enzyme-linked assays that rely on detecting an activity of isoform 3.1 polypeptide, and SDS gel electrophoresis under non-reducing conditions.

10 Screening for test compounds that bind to an isoform 3.1 polypeptide or polynucleotide also can be carried out in an intact cell. Any cell that comprises an isoform 3.1 polypeptide or polynucleotide can be used in a cell-based assay system. An isoform 3.1 polynucleotide can be naturally occurring in the cell or can be introduced using techniques such as those described above. Binding of the test compound to isoform 3.1 or a polynucleotide encoding isoform 3.1 is determined as described above.

15 **Functional Assays**

Test compounds can be tested for the ability to increase or decrease isoform 3.1 functional activity of an isoform 3.1 polypeptide. The isoform 3.1 activity can be measured, for example, using methods described in the specific examples, below. Isoform 3.1 functional activity can be measured after contacting an intact cell having functional ion channel activity with a test compound. A test compound that decreases isoform 3.1 activity by at least about 10, preferably about 50, more preferably about 75, 90, or 100% is identified as a potential agent for decreasing isoform 3.1 activity. A test compound that increases isoform 3.1 activity by at least about 10, preferably about 50, more preferably about 75, 90, or 100% is identified as a potential agent for increasing isoform 3.1 activity.

25 Other screening techniques include the use of cells that express isoform 3.1 (for example, transfected CHO cells) in a system that measures extracellular pH changes caused by channel activation. For example, compounds may be contacted with a cell that expresses the channel polypeptide of the present invention and a second messenger response, *e.g.*, signal transduction or pH changes, can be measured to determine whether the potential compound activates or inhibits the channel. Another such screening technique involves introducing RNA or DNA encoding isoform 3.1 into *Xenopus* oocytes to transiently express the channel. The receptor

oocytes can then be contacted with the channel ligand or activated otherwise and a compound to be screened, followed by detection of modulation of the observed control signal. Other screening techniques include the use of cells that express isoform 3.1 (for example, transfected CHO cells) in a system that measures voltage changes caused by channel activation. For example,

5 compounds may be contacted with a cell that expresses the channel polypeptide of the present invention and voltage changes can be measured to determine whether the potential compound activates or inhibits the channel.

Another screening technique involves expressing isoform 3.1 in cells in which the channel is linked to a phospholipase C or D. Such cells include endothelial cells, smooth muscle
10 cells, embryonic kidney cells, etc. The screening may be accomplished as described above by quantifying the degree of activation of the channel from changes in the phospholipase activity.

Gene Expression

In another embodiment, test compounds that increase or decrease isoform 3.1 gene expression are identified. As used herein, the term "correlates with expression of a
15 polynucleotide" indicates that the detection of the presence of nucleic acids, the same or related to a nucleic acid sequence encoding isoform 3.1, by northern analysis or real-time PCR is indicative of the presence of nucleic acids encoding isoform 3.1 in a sample, and thereby correlates with expression of the transcript from the polynucleotide encoding isoform 3.1. The term "microarray", as used herein, refers to an array of distinct polynucleotides or
20 oligonucleotides arrayed on a substrate, such as paper, nylon or any other type of membrane, filter, chip, glass slide, or any other suitable solid support. An isoform 3.1 polynucleotide is contacted with a test compound, and the expression of an RNA or polypeptide product of isoform 3.1 polynucleotide is determined. The level of expression of appropriate mRNA or polypeptide in the presence of the test compound is compared to the level of expression of
25 mRNA or polypeptide in the absence of the test compound. The test compound can then be identified as a regulator of expression based on this comparison. For example, when expression of mRNA or polypeptide is greater in the presence of the test compound than in its absence, the test compound is identified as a stimulator or enhancer of the mRNA or polypeptide expression. Alternatively, when expression of the mRNA or polypeptide is less in the presence of the test
30 compound than in its absence, the test compound is identified as an inhibitor of the mRNA or polypeptide expression.

The level of isoform 3.1 mRNA or polypeptide expression in the cells can be determined by methods well known in the art for detecting mRNA or polypeptide. Either qualitative or quantitative methods can be used. The presence of polypeptide products of isoform 3.1 polynucleotide can be determined, for example, using a variety of techniques known in the art, including immuno chemical methods such as radioimmunoassay, Western blotting, and immunohistochemistry. Alternatively, polypeptide synthesis can be determined *in vivo*, in a cell culture, or in an *in vitro* translation system by detecting incorporation of labelled amino acids into isoform 3.1.

Such screening can be carried out either in a cell-free assay system or in an intact cell. Any cell that expresses isoform 3.1 polynucleotide can be used in a cell-based assay system. The isoform 3.1 polynucleotide can be naturally occurring in the cell or can be introduced using techniques such as those described above. Either a primary culture or an established cell line can be used.

Test Compounds

Suitable test compounds for use in the screening assays of the invention can be obtained from any suitable source, *e.g.*, conventional compound libraries. The test compounds can also be obtained using any of the numerous approaches in combinatorial library methods known in the art, including: biological libraries; spatially addressable parallel solid phase or solution phase libraries; synthetic library methods requiring deconvolution; the "one-bead one-compound" library method; and synthetic library methods using affinity chromatography selection. The biological library approach is limited to peptide libraries, while the other four approaches are applicable to peptide, non-peptide oligomer or small molecule libraries of compounds. Examples of methods for the synthesis of molecular libraries can be found in the art. Libraries of compounds may be presented in solution or on beads, bacteria, spores, plasmids or phage.

Modeling of Regulators

Computer modeling and searching technologies permit identification of compounds, or the improvement of already identified compounds, that can modulate isoform 3.1 expression or activity. Having identified such a compound or composition, the active sites or regions are identified. Such active sites might typically be ligand binding sites, such as the interaction domain of the ligand with isoform 3.1. The active site can be identified using methods known in the art including, for example, from the amino acid sequences of peptides, from the nucleotide

sequences of nucleic acids, or from study of complexes of the relevant compound or composition with its natural ligand. In the latter case, chemical or X-ray crystallographic methods can be used to find the active site by finding where on the factor the complexed ligand is found.

5 Next, the three dimensional geometric structure of the active site is determined. This can be done by known methods, including X-ray crystallography, which can determine a complete molecular structure. On the other hand, solid or liquid phase NMR can be used to determine certain intramolecular distances. Any other experimental method of structure determination can be used to obtain partial or complete geometric structures. The geometric structures may be measured with a complexed ligand, natural or artificial, which may increase the accuracy of the
10 active site structure determined.

If an incomplete or insufficiently accurate structure is determined, the methods of computer based numerical modeling can be used to complete the structure or improve its accuracy. Any recognized modeling method may be used, including parameterized models specific to particular biopolymers such as proteins or nucleic acids, molecular dynamics models
15 based on computing molecular motions, statistical mechanics models based on thermal ensembles, or combined models.

For most types of models, standard molecular force fields, representing the forces between constituent atoms and groups, are necessary, and can be selected from force fields known in physical chemistry. The incomplete or less accurate experimental structures can serve
20 as constraints on the complete and more accurate structures computed by these modeling methods.

Finally, having determined the structure of the active site, either experimentally, by modeling, or by a combination, candidate modulating compounds can be identified by searching databases containing compounds along with information on their molecular structure. Such a
25 search seeks compounds having structures that match the determined active site structure and that interact with the groups defining the active site. Such a search can be manual, but is preferably computer-assisted. These compounds found from this search are potential isoform 3.1 modulating compounds.

Alternatively, these methods can be used to identify improved modulating compounds
30 from an already known modulating compound or ligand. The composition of the known compound can be modified and the structural effects of modification can be determined using the

experimental and computer modeling methods described above applied to the new composition. The altered structure is then compared to the active site structure of the compound to determine if an improved fit or interaction results. In this manner systematic variations in composition, such as by varying side groups, can be quickly evaluated to obtain modified modulating compounds or ligands of improved specificity or activity.

Applications

The present invention provides for both prophylactic and therapeutic methods for neurological diseases, *e.g.*, schizophrenia.

The regulatory method of the invention involves contacting a cell with an agent that modulates one or more of the activities of isoform 3.1. An agent that modulates activity can be an agent as described herein, such as a nucleic acid or a protein, a naturally-occurring cognate ligand of the polypeptide, a peptide, a peptidomimetic, or any small molecule. In one embodiment, the agent stimulates one or more of the biological activities of isoform 3.1. In another embodiment, the agent inhibits one or more of the biological activities of isoform 3.1. As such, the present invention provides methods of treating an individual afflicted with a disease or disorder characterized by unwanted expression or activity of isoform 3.1 or a protein in the isoform 3.1 signaling pathway. In one embodiment, the method involves administering an agent like any agent identified or being identifiable by a screening assay as described herein, or combination of such agents that may upregulate or downregulate the expression or activity of isoform 3.1 or of any protein in the isoform 3.1 signaling pathway. In another embodiment, the method involves administering a regulator of isoform 3.1 as therapy to compensate for increased or undesirably high expression or activity, or, alternatively, reduced or undesirably low expression or activity of isoform 3.1 or a protein in the isoform 3.1 signaling pathway.

Stimulation of activity or expression of isoform 3.1 is desirable in situations in which activity or expression is abnormally low and in which increased activity is likely to have a beneficial effect. Conversely, inhibition of activity or expression of isoform 3.1 is desirable in situations in which activity or expression of isoform 3.1 is abnormally high and in which decreasing its activity is likely to have a beneficial effect.

Pharmaceutical Compositions

This invention further pertains to novel agents identified by the above-described screening assays and uses thereof for treatments as described herein.

The active agents (also referred to herein as "active compounds") of the invention can be incorporated into pharmaceutical compositions suitable for administration. Such compositions typically comprise the active agents and a pharmaceutically acceptable carrier. As used herein the language "pharmaceutically acceptable carrier" is intended to include any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like, compatible with pharmaceutical administration. The use of such media and agents for pharmaceutically active substances is well known in the art. Except insofar as any conventional media or agent is incompatible with the active compound, use thereof in the compositions is contemplated. Supplementary active compounds can also be incorporated into the compositions.

The invention includes pharmaceutical compositions comprising a regulator of isoform 3.1 expression or activity (and/or a regulator of the activity or expression of a protein in the isoform 3.1 signaling pathway) as well as methods for preparing such compositions by combining one or more such regulators and a pharmaceutically acceptable carrier. Also within the invention are pharmaceutical compositions comprising a regulator identified using the screening assays of the invention packaged with instructions for use. For regulators that are antagonists of isoform 3.1 activity or that reduce isoform 3.1 expression, the instructions would specify use of the pharmaceutical composition for treatment of neurological diseases, *e.g.*, schizophrenia. For regulators that are agonists of isoform 3.1 activity or increase isoform 3.1 expression, the instructions would specify use of the pharmaceutical composition for treatment of other neurological diseases.

An antagonist of isoform 3.1 may be produced using methods that are generally known in the art. In particular, purified isoform 3.1 may be used to produce antibodies or to screen libraries of pharmaceutical agents to identify those that specifically bind isoform 3.1. Antibodies to isoform 3.1 may also be generated using methods that are well known in the art. Such antibodies may include, but are not limited to, polyclonal, monoclonal, chimeric, single chain antibodies, Fab fragments, and fragments produced by a Fab expression library.

In another embodiment of the invention, the polynucleotides encoding isoform 3.1, or any fragment or complement thereof, may be used for therapeutic purposes. In one aspect, the complement of the polynucleotide encoding isoform 3.1 may be used in situations in which it would be desirable to block the transcription of the mRNA. In particular, cells may be

transformed with sequences complementary to polynucleotides encoding isoform 3.1. Thus, complementary molecules or fragments may be used to modulate isoform 3.1 activity, or to achieve regulation of gene function. Such technology is now well known in the art, and sense or antisense oligonucleotides or larger fragments can be designed from various locations along the coding or control regions of sequences encoding isoform 3.1.

Expression vectors derived from lentiviruses, may be used for delivery of nucleotide sequences to the targeted organ, tissue, or cell population. Methods that are well known to those skilled in the art can be used to construct vectors that will express nucleic acid sequence complementary to the polynucleotides of the gene encoding isoform 3.1. These techniques are described, for example, in the scientific literature.

Any of the therapeutic methods described above may be applied to any subject in need of such therapy, including, preferably, humans.

An additional embodiment of the invention relates to the administration of a pharmaceutical composition containing isoform 3.1 in conjunction with a pharmaceutically acceptable carrier, for any of the therapeutic effects discussed above. Such pharmaceutical compositions may constitute isoform 3.1, antibodies to isoform 3.1, and mimetics, agonists, antagonists, or inhibitors of isoform 3.1. The compositions may be administered alone or in combination with at least one other agent, such as a stabilizing compound, which may be administered in any sterile, biocompatible pharmaceutical carrier including, but not limited to, saline, buffered saline, dextrose, and water. The compositions may be administered to a patient alone, or in combination with other agents, drugs or hormones.

A pharmaceutical composition of the invention is formulated to be compatible with its intended route of administration. Examples of routes of administration include parenteral, *e.g.*, intravenous, intradermal, subcutaneous, oral (*e.g.*, inhalation), transdermal (topical), transmucosal, and rectal administration. Solutions or suspensions used for parenteral, intradermal, or subcutaneous application can include the following components: a sterile diluent such as water for injection, saline solution, fixed oils, polyethylene glycols, glycerine, propylene glycol or other synthetic solvents; antibacterial agents such as benzyl alcohol or methyl parabens; antioxidants such as ascorbic acid or sodium bisulfite; chelating agents such as ethylenediaminetetraacetic acid; buffers such as acetates, citrates or phosphates and agents for the adjustment of tonicity such as sodium chloride or dextrose. pH can be adjusted with acids or

bases, such as hydrochloric acid or sodium hydroxide. The parenteral preparation can be enclosed in ampoules, disposable syringes or multiple dose vials made of glass or plastic.

Pharmaceutical compositions suitable for injectable use include sterile aqueous solutions (where water soluble) or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. For intravenous administration, suitable carriers include physiological saline, bacteriostatic water or phosphate buffered saline (PBS). In all cases, the composition must be sterile and should be fluid to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, a pharmaceutically acceptable polyol like glycerol, propylene glycol, liquid polyethylene glycol, and suitable mixtures thereof. The proper fluidity can be maintained, for example, by the use of a coating such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. Prevention of the action of microorganisms can be achieved by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, ascorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars, polyalcohols such as mannitol, sorbitol, and sodium chloride in the composition. Prolonged absorption of the injectable compositions can be brought about by including in the composition an agent that delays absorption, for example, aluminum monostearate and gelatin. Sterile injectable solutions can be prepared by incorporating the active compound in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle that contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and freeze-drying, which yields a powder of the active ingredient plus any additional desired ingredient from a previously sterile- filtered solution thereof.

Oral compositions generally include an inert diluent or an edible carrier. They can be enclosed in gelatin capsules or compressed into tablets. For the purpose of oral therapeutic administration, the active compound can be incorporated with excipients and used in the form of

tablets, troches, or capsules. Oral compositions can also be prepared using a fluid carrier for use as a mouthwash, wherein the compound in the fluid carrier is applied orally and swished and expectorated or swallowed.

Pharmaceutically compatible binding agents, and/or adjuvant materials can be included
5 as part of the composition. The tablets, pills, capsules, troches and the like can contain any of the following ingredients, or compounds of a similar nature: a binder such as microcrystalline cellulose, gum tragacanth or gelatin; an excipient such as starch or lactose, a disintegrating agent such as alginic acid, Primogel, or corn starch; a lubricant such as magnesium stearate or sterotes; a glidant such as colloidal silicon dioxide; a sweetening agent such as sucrose or saccharin; or a
10 flavoring agent such as peppermint, methyl salicylate, or orange flavoring.

For administration by inhalation, the compounds are delivered in the form of an aerosol spray from a pressurized container or dispenser that contains a suitable propellant, *e.g.*, a gas such as carbon dioxide, or a nebulizer.

Systemic administration can also be by transmucosal or transdermal means. For
15 transmucosal or transdermal administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants are generally known in the art, and include, for example, for transmucosal administration, detergents, bile salts, and fusidic acid derivatives. Transmucosal administration can be accomplished through the use of nasal sprays or suppositories. For transdermal administration, the active compounds are formulated into
20 ointments, salves, gels, or creams as generally known in the art.

The compounds can also be prepared in the form of suppositories (*e.g.*, with conventional suppository bases such as cocoa butter and other glycerides) or retention enemas for rectal delivery.

In one embodiment, the active compounds are prepared with carriers that will protect the
25 compound against rapid elimination from the body, such as a controlled release formulation, including implants and microencapsulated delivery systems. Biodegradable, biocompatible polymers can be used, such as ethylene vinyl acetate, polyanhydrides, polyglycolic acid, collagen, polyorthoesters, and polylactic acid.

It is especially advantageous to formulate oral or parenteral compositions in dosage unit
30 form for ease of administration and uniformity of dosage. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the subject to be treated; each unit

containing a predetermined quantity of active compound calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. The specification for the dosage unit forms of the invention are dictated by and directly dependent on the unique characteristics of the active compound and the particular therapeutic effect to be achieved, and the limitations inherent in the art of compounding such an active compound for the treatment of individuals.

The pharmaceutical compositions can be included in a container, pack, or dispenser together with instructions for administration. For pharmaceutical compositions that include an antagonist of isoform 3.1 activity, a compound that reduces expression or activity of isoform 3.1, or a compound that reduces expression or activity of a protein in the isoform 3.1 signaling pathway or any combination thereof, the instructions for administration will specify use of the composition for neurological diseases, *e.g.*, schizophrenia. For pharmaceutical compositions that include an agonist of isoform 3.1 activity, a compound that increases expression of isoform 3.1, or a compound that increases expression or activity of a protein in the isoform 3.1 signaling pathway or any combination thereof, the instructions for administration will specify use of the composition for other neurological diseases.

Determination of a Therapeutically Effective Dose

The determination of a therapeutically effective dose is well within the capability of those skilled in the art. A therapeutically effective dose refers to that amount of active ingredient that increases or decreases isoform 3.1 activity relative to isoform 3.1 activity that occurs in the absence of the therapeutically effective dose. For any compound, the therapeutically effective dose can be estimated initially either in cell culture assays or in animal models, usually mice, rats, rabbits, or monkeys. The animal model also can be used to determine the appropriate concentration range and route of administration. Such information can then be used to determine useful doses and routes for administration in humans.

Therapeutic efficacy and toxicity, *e.g.*, ED₅₀ (the dose therapeutically effective in 50% of the population) and LD₅₀ (the dose lethal to 50% of the population), can be determined by standard pharmaceutical procedures in cell cultures or experimental animals. The dose ratio of toxic to therapeutic effects is the therapeutic index, and it can be expressed as the ratio, LD₅₀/ED₅₀. Pharmaceutical compositions that exhibit large therapeutic indices are preferred. The data obtained from cell culture assays and animal studies is used in formulating a range of

dosage for human use. The dosage contained in such compositions is preferably within a range of circulating concentrations that include the ED₅₀ with little or no toxicity. The dosage varies within this range depending upon the dosage form employed, sensitivity of the patient, and the route of administration. The exact dosage will be determined by the practitioner, in light of factors related to the subject that requires treatment. Dosage and administration are adjusted to provide sufficient levels of the active ingredient or to maintain the desired effect. Factors that can be taken into account include the severity of the disease state, general health of the subject, age, weight, and gender of the subject, diet, time and frequency of administration, drug combination(s), reaction sensitivities, and tolerance/response to therapy. Long-acting pharmaceutical compositions can be administered every 3 to 4 days, every week, or once every two weeks depending on the half-life and clearance rate of the particular formulation. Normal dosage amounts can vary from 0.1 micrograms to 100,000 micrograms, up to a total dose of about 1 gram, depending upon the route of administration. Guidance as to particular dosages and methods of delivery is provided in the literature and generally available to practitioners in the art.

Those skilled in the art will employ different formulations for nucleotides than for proteins or their inhibitors. Similarly, delivery of polynucleotides or polypeptides will be specific to particular cells, conditions, locations, etc. If the reagent is a single-chain antibody, polynucleotides encoding the antibody can be constructed and introduced into a cell either *ex vivo* or *in vivo* using well-established techniques including, but not limited to, transferrin-polycation-mediated DNA transfer, transfection with naked or encapsulated nucleic acids, liposome-mediated cellular fusion, intracellular transportation of DNA-coated latex beads, protoplast fusion, viral infection, electroporation, "gene gun", and DEAE- or calcium phosphate-mediated transfection.

If the expression product is mRNA, the reagent is preferably an antisense oligonucleotide or a ribozyme. Polynucleotides that express antisense oligonucleotides or ribozymes can be introduced into cells by a variety of methods, as described above. Preferably, a reagent reduces expression of isoform 3.1 gene or the activity of isoform 3.1 by at least about 10, preferably about 50, more preferably about 75, 90, or 100% relative to the absence of the reagent. The effectiveness of the mechanism chosen to decrease the level of expression of isoform 3.1 gene or the activity of isoform 3.1 can be assessed using methods well known in the art, such as

hybridization of nucleotide probes to isoform 3.1-specific mRNA, quantitative RT-PCR, immunologic detection of isoform 3.1, or measurement of isoform 3.1 activity.

In any of the embodiments described above, any of the pharmaceutical compositions of the invention can be administered in combination with other appropriate therapeutic agents.

5 Selection of the appropriate agents for use in combination therapy can be made by one of ordinary skill in the art, according to conventional pharmaceutical principles. The combination of therapeutic agents can act synergistically to effect the treatment or prevention of the various disorders described above. Using this approach, one may be able to achieve therapeutic efficacy with lower dosages of each agent, thus reducing the potential for adverse side effects. Any of the
10 therapeutic methods described above can be applied to any subject in need of such therapy, including, for example, humans.

Diagnostics

One embodiment of the invention relates to a method for predicting the likelihood that an individual will have a neuropsychiatric disorder, *e.g.*, schizophrenia, or for aiding in the
15 diagnosis of a neuropsychiatric disorder, *e.g.*, schizophrenia, or a greater likelihood of having reduced symptomology associated with a neuropsychiatric disorder, *e.g.*, schizophrenia, comprising the steps of obtaining a DNA sample from an individual to be assessed and determining the nucleotide present at a single nucleotide polymorphism (SNP) of the KCNH2 gene. The presence of a nucleotide from the protective allele at the SNP indicates that the
20 individual has a lower likelihood of having a neuropsychiatric disorder, or a greater likelihood of having reduced symptomology associated with a neuropsychiatric disorder, than if that individual had a nucleotide from the risk allele at that SNP. Conversely, the presence of a nucleotide from the risk allele at the SNP indicates that the individual has a greater likelihood of having a neuropsychiatric disorder, or a likelihood of having increased symptomology associated
25 with a neuropsychiatric disorder, than if that individual had a nucleotide from the protective allele at that SNP. In a preferred embodiment, the neuropsychiatric disorder is schizophrenia. In a particular embodiment, the individual is an individual at risk for development of schizophrenia. In another embodiment the individual exhibits clinical symptomatology associated with schizophrenia. In one embodiment, the individual has been clinically diagnosed as having
30 schizophrenia.

The SNP is selected from Marker M1, M2, M3, M4, M5, M6, M7, M8, M9, M10, M11, M12, M13, M14, M15, M16, M17, M18, M19, M20, M21, M22, M23, M24, M25, M26, M27, M28, M29, M30, M31, M32, M33, M34, M35, M36, M37, M38, M39, M40, M41, M42, or M43.

5

MARKER	ALLELES	RISK	RISK	PROTECTIVE
M1	C/T	Allele 1	C	T
M2	G/A	Allele 2	A	G
M3	A/C	Allele 1	A	C
M4	G/A	Allele 2	A	G
M5	InsT	Allele 1	--	InsT
M6	T/C	Allele 1	T	C
M7	G/A	Allele 1	G	A
M8	T/G	Allele 1	T	G
M9	A/G	Allele 1	A	G
M10	G/A	Allele 1	G	A
M11	T/C	Allele 1	T	C
M12	G/A	Allele 1	G	A
M13	G/A	Allele 2	G	A
M14	T/C	Allele 1	C	T
M15	T/C	Allele 1	T	C
M16	G/A	Allele 2	A	G
M17	A/C	Allele 2	C	A
M18	C/T	Allele 1	C	T
M19	C/T	Allele 2	T	C
M20	C/T	Allele 1	C	T
M21	C/T	Allele 2	T	C
M22	G/C	Allele 1	G	C
M23	G/C	Allele 1	G	C
M24	G/C	Allele 1	G	C

M25	C/T	Allele 2	T	C
M26	C/T	Allele 1	C	T
M27	T/G	Allele 1	T	G
M28	C/T	Allele 1	C	T
M29	C/T	Allele 1	C	T
M30	G/T	Allele 2	T	G
M31	A/G	Allele 2	G	A
M32	C/T	Allele 1	C	T
M33	G/A	Allele 2	A	G
M34	G/A	Allele 1	G	A
M35	A/G	Allele 1	A	G
M36	G/T	Allele 1	G	T
M37	A/G	Allele 1	A	G
M38	T/A	Allele 1	T	A
M39	A/G	Allele 2	G	A
M40	C/G	Allele 1	C	G
M41	A/G	Allele 2	G	A
M42	G/A	Allele 2	A	G
M43	C/G	Allele 2	G	C

The genetic material to be assessed can be obtained from any nucleated cell from the individual. For assay of genomic DNA, virtually any biological sample (other than pure red blood cells) is suitable. For example, convenient tissue samples include whole blood, semen, saliva, tears, urine, fecal material, sweat, skin and hair. For assay of cDNA or mRNA, the tissue sample must be obtained from an organ in which the target nucleic acid is expressed. For example, cells from the central nervous system (such as cells of the hippocampus), neural crest-derived cells, skin, heart, lung and skeletal muscle are suitable sources for obtaining cDNA for the KCNH2 gene. Neural crest-derived cells include, for example, melanocytes and keratinocytes.

Many of the methods described herein require amplification of DNA from target samples. This can be accomplished by *e.g.*, PCR. See generally: PCR Technology: Principles and Applications for DNA Amplification ed. H.A. Erlich, Freeman Press, NY, NY, 1992. Other suitable amplification methods include the ligase chain reaction (LCR), transcription
5 amplification, self-sustained sequence replication and nucleic acid based sequence amplification (NASBA). The latter two amplification methods involve isothermal reactions based on isothermal transcription, which produce both single stranded RNA (ssRNA) and double stranded DNA (dsDNA) as the amplification products in a ratio of about 30 or 100 to 1, respectively. The nucleotide that occupies the polymorphic site of interest can be identified by a variety of
10 methods, such as Southern analysis of genomic DNA; direct mutation analysis by restriction enzyme digestion; Northern analysis of RNA; denaturing high pressure liquid chromatography (DHPLC); gene isolation and sequencing; hybridization of an allele-specific oligonucleotide with amplified gene products; single base extension (SBE); or analysis of the KCNH2 protein. A sampling of suitable procedures are discussed below in turn.

15 **Allele-Specific Probes**

The design and use of allele-specific probes for analyzing polymorphisms is described by *e.g.*, Saiki et al. 1986 *Nature* 324:163-166. Allele-specific probes can be designed that hybridize to a segment of target DNA from one individual but do not hybridize to the corresponding
20 segment from another individual due to the presence of different polymorphic forms in the respective segments from the two individuals. Hybridization conditions should be sufficiently stringent that there is a significant difference in hybridization intensity between alleles, and preferably an essentially binary response, whereby a probe hybridizes to only one of the alleles. Hybridizations are usually performed under stringent conditions, for example, at a salt
25 concentration of no more than 1M and a temperature of at least 25°C. For example, conditions of 5X SSPE (750 mM NaCl, 50 mM NaPhosphate, 5 mM EDTA, pH 7.4) and a temperature of 25-30°C, or equivalent conditions, are suitable for allele-specific probe hybridizations. Equivalent conditions can be determined by varying one or more of the parameters given as an example, as known in the art, while maintaining a similar degree of identity or similarity between the target
30 nucleotide sequence and the primer or probe used.

Some probes are designed to hybridize to a segment of target DNA such that the polymorphic site aligns with a central position (*e.g.*, in a 15-mer at the 7 position; in a 16-mer, at

either the 8 or 9 position) of the probe. This design of probe achieves good discrimination in hybridization between different allelic forms.

Allele-specific probes are often used in pairs, one member of a pair showing a perfect match to a reference form of a target sequence and the other member showing a perfect match to a variant form. Several pairs of probes can then be immobilized on the same support for simultaneous analysis of multiple polymorphisms within the same target sequence.

Tiling Arrays

The polymorphisms can also be identified by hybridization to nucleic acid arrays, some examples of which are described in WO 95/11995. WO 95/11995 also describes subarrays that are optimized for detection of a variant form of a precharacterized polymorphism. Such a subarray contains probes designed to be complementary to a second reference sequence, which is an allelic variant of the first reference sequence. The second group of probes is designed by the same principles, except that the probes exhibit complementarity to the second reference sequence. The inclusion of a second group (or further groups) can be particularly useful for analyzing short subsequences of the primary reference sequence in which multiple mutations are expected to occur within a short distance commensurate with the length of the probes (*e.g.*, two or more mutations within 9 to 21 bases).

Allele-Specific Primers

An allele-specific primer hybridizes to a site on target DNA overlapping a polymorphism and only primes amplification of an allelic form to which the primer exhibits perfect complementarity. See, Gibbs 1989 *Nucleic Acid Res* 17:2427-2448. This primer is used in conjunction with a second primer that hybridizes at a distal site. Amplification proceeds from the two primers, resulting in a detectable product that indicates the particular allelic form is present. A control is usually performed with a second pair of primers, one of which shows a single base mismatch at the polymorphic site and the other of which exhibits perfect complementarity to a distal site. The single-base mismatch prevents amplification and no detectable product is formed. The method works best when the mismatch is included in the 3'-most position of the oligonucleotide aligned with the polymorphism because this position is most destabilizing to elongation from the primer.

Direct-Sequencing

The direct analysis of the sequence of polymorphisms of the present invention can be accomplished using either the dideoxy chain termination method or the Maxam Gilbert method (see Molecular Cloning: a Laboratory Manual, 3rd edition, Sambrook et al. 2001 Cold Spring Harbor Laboratory Press, New York).

5 **Denaturing Gradient Gel Electrophoresis**

Amplification products generated using the polymerase chain reaction can be analyzed by the use of denaturing gradient gel electrophoresis. Different alleles can be identified based on the different sequence-dependent melting properties and electrophoretic migration of DNA in solution (Erlich, ed. 1992 PCR Technology, Principles and Applications for DNA Amplification,
10 W.H. Freeman and Co, New York, Chapter 7).

Single-Strand Conformation Polymorphism Analysis

Alleles of target sequences can be differentiated using single-strand conformation polymorphism analysis, which identifies base differences by alteration in electrophoretic migration of single stranded PCR products, as described in Orita et al. 1989 PNAS USA
15 86:2766-2770. Amplified PCR products can be generated as described above, and heated or otherwise denatured, to form single-stranded amplification products. Single-stranded nucleic acids may refold or form secondary structures that are partially dependent on the base sequence. The different electrophoretic mobilities of single-stranded amplification products can be related to base-sequence differences between alleles of target sequences.

20 The polypeptide and polynucleotide markers of the isoform 3.1 of KCNH2 gene of the invention are useful in methods for diagnosing schizophrenia, determining the extent and/or severity of the disease, monitoring progression and neurological symptoms of the disease and/or response to therapy, and predicting the response of patients to therapy, including antipsychotic medications. The markers are also useful in methods for treating schizophrenia and for
25 evaluating the efficacy of treatment for the disease. The markers may also be used to screen candidate drugs for treatment of schizophrenia. Such methods can be performed in human and non-human primate subjects.

The polypeptide markers of the isoform 3.1 of KCNH2 may be isolated by any suitable method known in the art. Native polypeptide markers can be purified from natural sources by standard
30 methods known in the art (*e.g.*, chromatography, centrifugation, differential solubility, immunoassay). In one embodiment, polypeptide markers may be isolated from a serum sample

using the chromatographic methods disclosed herein. In another embodiment, polypeptide markers may be isolated from a sample by contacting the sample with substrate-bound antibodies or aptamers that specifically bind to the marker.

The present invention also includes polynucleotide markers of isoform 3.1 of KCNH2 related to the polypeptide markers of the present invention. In one aspect, the invention provides polynucleotides that encode the polypeptides of the invention. The polynucleotide may be genomic DNA, cDNA, or mRNA transcripts that encode the polypeptides of the invention. In one embodiment, the invention provides polynucleotide markers that are closely associated with expression of isoform 3.1 of KCNH2, including single nucleotide polymorphisms (SNPs).

In one embodiment, the invention includes polynucleotide markers of isoform 3.1 of KCNH2 that encode a polypeptide that is a fragment, precursor, successor or modified version of the isoform 3.1 of KCNH2.

In another embodiment, the invention includes polynucleotides that have substantial sequence similarity to a polynucleotide that encodes an isoform of a KCNH2 polypeptide or a fragment, precursor, successor or modified version of such polynucleotide, or a molecule that comprises such polypeptide. Two polynucleotides have "substantial sequence identity" when there is at least about 70% sequence identity, at least about 80% sequence identity, at least about 90% sequence identity, at least about 95% sequence identity or at least 99% sequence identity between their amino acid sequences or when the polynucleotides are capable of forming a stable duplex with each other under stringent hybridization conditions. Such conditions are described elsewhere herein. As described above with respect to polypeptides, the invention includes polynucleotides that are allelic variants, the result of SNPs, or that in alternative codons to those present in the native materials as inherent in the degeneracy of the genetic code.

In some embodiments, the polynucleotides described may be used as surrogate markers of schizophrenia. Thus, for example, if the level of a polypeptide marker is increased in schizophrenic patients, an increase in the mRNA that encodes the polypeptide marker may be interrogated rather than the polypeptide marker (*e.g.*, to diagnose schizophrenia in a subject).

The present invention also provides methods of detecting the markers of isoform 3.1 of KCNH2 of the present invention. The practice of the present invention employs, unless otherwise indicated, conventional methods of analytical biochemistry, microbiology, molecular biology and recombinant DNA techniques within the skill of the art. Such techniques are

explained fully in the literature. (See, *e.g.*, Sambrook, J. et al. *Molecular Cloning: A Laboratory Manual*. 3rd, ed., Cold Spring Harbor Laboratory, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 2000; *DNA Cloning: A Practical Approach*, Vol. I & II (D. Glover, ed.); *Oligonucleotide Synthesis* (N. Gait, ed., Current Edition); *Nucleic Acid Hybridization* (B. Hames & S. Higgins, eds., Current Edition); *Transcription and Translation* (B. Hames & S. Higgins, eds., Current Edition); *CRC Handbook of Parvoviruses*, Vol. I & II (P. Tijessen, ed.); *Fundamental Virology*, 2nd Edition, Vol. I & II (B. N. Fields and D. M. Knipe, eds.)).

The markers of the invention may be detected by any method known to those of skill in the art, including without limitation LC-MS, GC-MS, immunoassays, hybridization and enzyme assays. The detection may be quantitative or qualitative. A wide variety of conventional techniques are available, including mass spectrometry, chromatographic separations, 2-D gel separations, binding assays (*e.g.*, immunoassays), competitive inhibition assays, and so on. Any effective method in the art for measuring the presence/absence, level or activity of a polypeptide or polynucleotide is included in the invention. It is within the ability of one of ordinary skill in the art to determine which method would be most appropriate for measuring a specific marker. Thus, for example, an ELISA assay may be best suited for use in a physician's office while a measurement requiring more sophisticated instrumentation may be best suited for use in a clinical laboratory. Regardless of the method selected, it is important that the measurements be reproducible.

The markers of the invention can be measured by mass spectrometry, which allows direct measurements of analytes with high sensitivity and reproducibility. A number of mass spectrometric methods are available. Electrospray ionization (ESI), for example, allows quantification of differences in relative concentration of various species in one sample against another; absolute quantification is possible by normalization techniques (*e.g.*, using an internal standard). Matrix-assisted laser desorption ionization (MALDI) or the related SELDI® technology (Ciphergen, Inc.) also could be used to make a determination of whether a marker was present, and the relative or absolute level of the marker. Mass spectrometers that allow time-of-flight (TOF) measurements have high accuracy and resolution and are able to measure low abundant species, even in complex matrices like serum or CSF.

For protein markers, quantification can be based on derivatization in combination with isotopic labeling, referred to as isotope coded affinity tags ("ICAT"). In this and other related

methods, a specific amino acid in two samples is differentially and isotopically labeled and subsequently separated from peptide background by solid phase capture, wash and release. The intensities of the molecules from the two sources with different isotopic labels can then be accurately quantified with respect to one another. Quantification can also be based on the isotope dilution method by spiking in an isotopically labeled peptide or protein analogous to those being measured. Furthermore, quantification can also be determined without isotopic standards using the direct intensity of the analyte comparing with another measurement of a standard in a similar matrix.

In addition, one- and two-dimensional gels have been used to separate proteins and quantify gels spots by silver staining, fluorescence or radioactive labeling. These differently stained spots have been detected using mass spectrometry, and identified by tandem mass spectrometry techniques.

In one embodiment, the markers are measured using mass spectrometry in connection with a separation technology, such as liquid chromatography-mass spectrometry or gas chromatography-mass spectrometry. In particular, coupling reverse-phase liquid chromatography to high resolution, high mass accuracy ESI time-of-flight (TOF) mass spectroscopy allows spectral intensity measurement of a large number of biomolecules from a relatively small amount of any complex biological material. Analyzing a sample in this manner allows the marker (characterized by a specific RT and m/z) to be determined and quantified.

As will be appreciated by one of skill in the art, many other separation technologies may be used in connection with mass spectrometry. For example, a wide selection of separation columns is commercially available. In addition, separations may be performed using custom chromatographic surfaces (*e.g.*, a bead on which a marker specific reagent has been immobilized). Molecules retained on the media subsequently may be eluted for analysis by mass spectrometry.

Analysis by liquid chromatography-mass spectrometry produces a mass intensity spectrum, the peaks of which represent various components of the sample, each component having a characteristic mass-to-charge ratio (m/z) and retention time (RT). The presence of a peak with the m/z and RT of a marker indicates that the marker is present. The peak representing a marker may be compared to a corresponding peak from another spectrum (*e.g.*, from a control sample) to obtain a relative measurement. Any normalization technique in the art

(*e.g.*, an internal standard) may be used when a quantitative measurement is desired.

“Deconvoluting” software is available to separate overlapping peaks. The retention time depends to some degree on the conditions employed in performing the liquid chromatography separation. The preferred conditions, those used to obtain the retention times that appear in the
5 Tables, are set forth in the Example. The mass spectrometer preferably provides high mass accuracy and high mass resolution. The mass accuracy of a well-calibrated Micromass TOF instrument, for example, is reported to be approximately 5 mDa, with resolution $m/\Delta m$ exceeding 5000.

10 In other preferred embodiments, the level of the markers may be determined using a standard immunoassay, such as sandwiched ELISA using matched antibody pairs and chemiluminescent detection. Commercially available or custom monoclonal or polyclonal antibodies are typically used. However, the assay can be adapted for use with other reagents that specifically bind to the marker. Standard protocols and data analysis are used to determine the marker concentrations from the assay data.

15 A number of the assays discussed above employ a reagent that specifically binds to the marker. Any molecule that is capable of specifically binding to a marker is included within the invention. In some embodiments, the binding molecules are antibodies or antibody fragments that specifically recognize the isoform 3.1 of KCNH2 or a fragment thereof. In other
20 embodiments, the binding molecules are non-antibody species, such as aptamers that specifically recognize the isoform 3.1 of KCNH2 or a fragment thereof. Thus, for example, the binding molecule may be an enzyme for which the marker is a substrate. The binding molecules may recognize any epitope of the targeted markers.

25 As described above, the binding molecules may be identified and produced by any method accepted in the art. Methods for identifying and producing antibodies and antibody fragments specific for an analyte are well known. Examples of other methods used to identify the binding molecules include binding assays with random peptide libraries (*e.g.*, phage display) and design methods based on an analysis of the structure of the marker.

30 The markers of the invention also may be detected or measured using a number of chemical derivatization or reaction techniques known in the art. Reagents for use in such techniques are known in the art, and are commercially available for certain classes of target molecules.

Finally, the chromatographic separation techniques described above also may be coupled to an analytical technique other than mass spectrometry such as fluorescence detection of tagged molecules, NMR, capillary UV, evaporative light scattering or electrochemical detection.

Measurement of the relative amount of an RNA or protein marker of the invention may be by any method known in the art (see, *e.g.*, Sambrook, J., Fritsh, E. F., and Maniatis, T. Molecular Cloning: A Laboratory Manual. 2nd, ed., Cold Spring Harbor Laboratory, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989; and Current Protocols in Molecular Biology, eds. Ausubel et al. John Wiley & Sons: 1992). Typical methodologies for RNA detection include RNA extraction from a cell or tissue sample, followed by hybridization of a labeled probe (*e.g.*, a complementary polynucleotide) specific for the target RNA to the extracted RNA, and detection of the probe (*e.g.*, Northern blotting). Typical methodologies for protein detection include protein extraction from a cell or tissue sample, followed by hybridization of a labeled probe (*e.g.*, an antibody) specific for the target protein to the protein sample, and detection of the probe. The label group can be a radioisotope, a fluorescent compound, an enzyme, or an enzyme co-factor. Detection of specific protein and polynucleotides may also be assessed by gel electrophoresis, column chromatography, direct sequencing, or quantitative PCR (in the case of polynucleotides) among many other techniques well known to those skilled in the art.

Detection of the presence or number of copies of all or a part of a marker of the isoform 3.1 of KCNH2 gene of the invention may be performed using any method known in the art. Typically, it is convenient to assess the presence and/or quantity of a DNA or cDNA by Southern analysis, in which total DNA from a cell or tissue sample is extracted, is hybridized with a labeled probe (*e.g.*, a complementary DNA molecule), and the probe is detected. The label group can be a radioisotope, a fluorescent compound, an enzyme, or an enzyme co-factor. Other useful methods of DNA detection and/or quantification include direct sequencing, gel electrophoresis, column chromatography, and quantitative PCR, as is known by one skilled in the art.

Polynucleotide similarity can be evaluated by hybridization between single stranded nucleic acids with complementary or partially complementary sequences. Such experiments are well known in the art. High stringency hybridization and washing conditions, as referred to herein, refer to conditions which permit isolation of nucleic acid molecules having at least about

80% nucleic acid sequence identity with the nucleic acid molecule being used to probe in the hybridization reaction (*i.e.*, conditions permitting about 20% or less mismatch of nucleotides). Very high stringency hybridization and washing conditions, as referred to herein, refer to conditions which permit isolation of nucleic acid molecules having at least about 90% nucleic acid sequence identity with the nucleic acid molecule being used to probe in the hybridization reaction (*i.e.*, conditions permitting about 10% or less mismatch of nucleotides). As discussed above, one of skill in the art can use the formulae in Meinkoth et al., *ibid.* to calculate the appropriate hybridization and wash conditions to achieve these particular levels of nucleotide mismatch. Such conditions will vary, depending on whether DNA:RNA or DNA:DNA hybrids are being formed. Calculated melting temperatures for DNA:DNA hybrids are 10°C less than for DNA:RNA hybrids. In particular embodiments, stringent hybridization conditions for DNA:DNA hybrids include hybridization at an ionic strength of 6X SSC (0.9 M Na⁺) at a temperature of between about 20°C and about 35°C (lower stringency), more preferably, between about 28°C and about 40°C (more stringent), and even more preferably, between about 35°C and about 45°C (even more stringent), with appropriate wash conditions. In particular embodiments, stringent hybridization conditions for DNA:RNA hybrids include hybridization at an ionic strength of 6X SSC (0.9 M Na⁺) at a temperature of between about 30°C and about 45°C, more preferably, between about 38°C and about 50°C, and even more preferably, between about 45°C and about 55°C, with similarly stringent wash conditions. These values are based on calculations of a melting temperature for molecules larger than about 100 nucleotides, 0% formamide and a G + C content of about 40%. Alternatively, T_m can be calculated empirically as set forth in Sambrook et al., *supra*, pages 9.31 to 9.62. In general, the wash conditions should be as stringent as possible, and should be appropriate for the chosen hybridization conditions. For example, hybridization conditions can include a combination of salt and temperature conditions that are approximately 20-25°C below the calculated T_m of a particular hybrid, and wash conditions typically include a combination of salt and temperature conditions that are approximately 12-20°C below the calculated T_m of the particular hybrid. One example of hybridization conditions suitable for use with DNA:DNA hybrids includes a 2-24 hour hybridization in 6X SSC (50% formamide) at about 42°C, followed by washing steps that include one or more washes at room temperature in about 2X SSC, followed by additional washes at higher temperatures and lower ionic strength (*e.g.*, at least one wash as about 37°C in

about 0.1X-0.5X SSC, followed by at least one wash at about 68°C in about 0.1X-0.5X SSC). Other hybridization conditions, and for example, those most useful with nucleic acid arrays, will be known to those of skill in the art.

The present invention also includes methods of diagnosing schizophrenia and related
5 methods. In general, it is expected that the biomarkers described herein will be measured in combination with other signs, symptoms and clinical tests of schizophrenia, such as psychological evaluation, or schizophrenia biomarkers reported in the literature. Likewise, more than one of the biomarkers of the present invention may be measured in combination. Measurement of the biomarkers of the invention along with any other markers known in the art,
10 including those not specifically listed herein, falls within the scope of the present invention. Markers appropriate for this embodiment include those that have been identified as increased or decreased in samples obtained from schizophrenia samples compared with samples from non-schizophrenia samples (*e.g.*, any one of markers M1, M2, M3, M4, M5, M6, M7, M8, M9, M10, M11, M12, M13, M14, M15, M16, M17, M18, M19, M20, M21, M22, M23, M24, M25, M26,
15 M27, M28, M29, M30, M31, M32, M33, M34, M35, M36, M37, M38, M39, M40, M41, M42, and M43). Other markers appropriate for this embodiment include fragments, precursors, successors and modified versions of such markers, polypeptides having substantial sequence identity to such markers, components having an *m/z* value and RT value of about the values set forth for these markers, and molecules comprising one of the foregoing. Other appropriate
20 markers for this embodiment will be apparent to one of skill in the art in light of the disclosure herein.

In specific embodiments, the present invention provides methods for determining whether a subject has schizophrenia. In another aspect, the invention provides methods for diagnosing schizophrenia in a subject. In another aspect, the invention provides methods for
25 determining the likelihood of developing schizophrenia in a subject. In another aspect, the invention provides methods for determining the likelihood that an individual will have increased symptomology associated with schizophrenia. These methods comprise obtaining a biological sample from a subject suspected of having schizophrenia, or at risk for developing schizophrenia, detecting the expression level or activity of one or more biomarkers of the 3.1
30 isoform of the KCNH2 gene in the sample, and comparing the result to the expression level or

activity of the marker(s) in a sample obtained from a non-schizophrenia subject, or to a reference range or value established for non-schizophrenic individuals.

As used herein, the term “biological sample” includes a sample from any body fluid or tissue (*e.g.*, serum, plasma, blood, cerebrospinal fluid, urine, brain tissue). Typically, the standard biomarker level or reference range is obtained by measuring the same marker or markers in a set of normal controls. Measurement of the standard biomarker level or reference range need not be made contemporaneously; it may be a historical measurement. Preferably the normal control is matched to the patient with respect to some attribute(s) (*e.g.*, age). Depending upon the difference between the measured and standard level or reference range, the patient can be diagnosed as having schizophrenia or as not having schizophrenia. In some embodiments, schizophrenia is diagnosed in the patient if the expression level of the biomarker or biomarkers in the patient sample is statistically more similar to the expression level of the biomarker or biomarkers that has been associated with schizophrenia than the expression level of the biomarker or biomarkers that has been associated with the normal controls.

What is presently referred to as schizophrenia may turn out to be a number of related, but distinguishable conditions. Classifications may be made, and these types may be further distinguished into subtypes. Any and all of the various forms of schizophrenia are intended to be within the scope of the present invention. Indeed, by providing a method for subsetting patients based on biomarker measurement level, the compositions and methods of the present invention may be used to uncover and define various forms of the disease.

The methods of the present invention may be used to make the diagnosis of schizophrenia, independently from other information such as the patient’s symptoms or the results of other clinical or paraclinical tests. However, the methods of the present invention may be used in conjunction with such other data points.

Because a diagnosis is rarely based exclusively on the results of a single test, the method may be used to determine whether a subject is more likely than not to have schizophrenia, or is more likely to have schizophrenia than to have another disease, based on the difference between the measured and standard level or reference range of the biomarkers of the 3.1 isoform of the KCNH2 gene. Thus, for example, a patient with a putative diagnosis of schizophrenia (*e.g.*, suspected to be suffering from schizophrenia) may be diagnosed as being “more likely” or “less likely” to have schizophrenia in light of the information provided by a method of the present

invention. If a plurality of biomarkers are measured, at least one and up to all of the measured biomarkers must differ, in the appropriate direction, for the subject to be diagnosed as having (or being more likely to have) schizophrenia. In some embodiments, such difference is statistically significant.

5 The biological sample may be of any tissue or fluid, including a serum or tissue sample, but other biological fluids or tissue may be used. Possible biological fluids include, but are not limited to, plasma, urine and brain tissue. In some embodiments, the level of a marker may be compared to the level of another marker or some other component in a different tissue, fluid or biological “compartment.” Thus, a differential comparison may be made of a marker in brain
10 tissue and serum. It is also within the scope of the invention to compare the level of a marker with the level of another marker or some other component within the same compartment.

 As will be apparent to those of ordinary skill in the art, the above description is not limited to making an initial diagnosis of schizophrenia, but also is applicable to confirming a provisional diagnosis of schizophrenia or “ruling out” such a diagnosis. Furthermore, an
15 increased or decreased level or activity of the marker(s) in a sample obtained from a subject suspected of having schizophrenia, or at risk for developing schizophrenia, is indicative that the subject has or is at risk for developing schizophrenia.

 The invention also provides a method for determining a subject’s risk of developing schizophrenia, the method comprising obtaining a biological sample from a subject, detecting the
20 level or activity of a marker in the sample, and comparing the result to the level or activity of the marker in a sample obtained from a non-schizophrenic subject, or to a reference range or value wherein an increase or decrease of the marker is correlated with the risk of developing schizophrenia.

 The assay system can also include a means for detecting a control marker that is
25 characteristic of the cell type being sampled can generally be any type of reagent that can be used in a method of detecting the presence of a known marker (at the nucleic acid or protein level) in a sample, such as by a method for detecting the presence of a biomarker described previously herein. Specifically, the means is characterized in that it identifies a specific marker of the cell type being analyzed that positively identifies the cell type. For example, in a brain
30 tissue assay, it is desirable to screen brain tissue for the level of the biomarker of the 3.1 isoform of the KCNH2 gene expression and/or protein activity. Therefore, the means for detecting a

control marker identifies a marker that is characteristic of a brain cell, so that the cell is distinguished from other cell types, such as a connective tissue or inflammatory cell. Such a means increases the accuracy and specificity of the assay of the present invention. Such a means for detecting a control marker include, but are not limited to: a probe that hybridizes under
5 stringent hybridization conditions to a nucleic acid molecule encoding a protein marker; PCR primers which amplify such a nucleic acid molecule; an aptamer that specifically binds to a conformationally-distinct site on the target molecule; and/or an antibody, antigen binding fragment thereof, or antigen binding peptide that selectively binds to the control marker in the sample. Nucleic acid and amino acid sequences for many cell markers are known in the art and
10 can be used to produce such reagents for detection.

The assay systems and methods of the present invention can be used not only to identify patients that are predicted to be responsive to an antipsychotic medication, but also to identify treatments that can improve the responsiveness of schizophrenic patients to antipsychotic medications by identifying patients likely to discontinue a prescribed regimen of antipsychotic medications, and
15 to develop adjuvant treatments that enhance the response of patients to antipsychotic medications.

The present inventors have demonstrated that patients heterozygous for mutations associated with the 3.1 isoform of the KCNH2 gene have schizophrenia or are likely to develop schizophrenia, and are likely to benefit from the administration of an antipsychotic medication,
20 and are much less likely to discontinue a prescribed regimen of an antipsychotic medication. Taken together, these data provide the first evidence to indicate that the primate-specific isoform 3.1 of KCNH2 is strongly associated with schizophrenia and may play a causative roll in development and/or presentation of the clinical disease.

Therefore, one embodiment is a method for predicting the clinical response of a
25 schizophrenic patient to an antipsychotic medication by detecting one or more markers of KCNH2 in a biological sample from a schizophrenia patient. The clinical response may include benefits to the schizophrenic patient, including a significant reduction in ratings of positive schizophrenic syndrome, significant improvement in general psychopathology, and significant decrease in thought disturbance.

Another embodiment is a method of identifying a patient at risk for developing schizophrenia by detecting the presence of mutations in KCNH2 in a biological sample of a subject having or suspected of having a schizophrenia.

Thus, another aspect of the present invention provides methods for predicting the clinical response of a patient having schizophrenia to an antipsychotic medication, including, for example one or more of olanzapine, perphenazine, quetiapine, risperidone, and ziprasidone. In one embodiment of this aspect of the invention the method of identifying patients that will respond to antipsychotic therapies includes obtaining a biological sample from a schizophrenia patient, and detecting in the sample for the presence of a maker of the invention which is a marker of the expression of the 3.1 isoform of the KCNH2 gene.

The presence of at least one mutation that is associated with the 3.1 isoform of the KCNH2 is indicative of whether or not the patient is likely to benefit from an antipsychotic medication. Alternatively, the absence of a biomarker of the invention that is associated with the 3.1 isoform of the KCNH2 protein or expression is indicative of an individual that is not likely to benefit from the administration of an antipsychotic medication. Any schizophrenic patient may be analyzed by these methods in order to assess the prognosis of the patient and assess the likely benefit of administering antipsychotic medications and therapies.

Another aspect of the present invention provides methods that can be used to identify individuals at risk for developing schizophrenia, and/or for predicting the prognosis of a patient having schizophrenia. This aspect of the invention relates to the discovery that the biomarkers of the invention that are associated with the 3.1 isoform of KCNH2 are associated with an increased risk and incidence of schizophrenia. Therefore, one embodiment of this aspect of the invention provides a method of diagnosing or assessing the risk of developing schizophrenia in an individual including obtaining a biological sample from an individual, analyzing the sample for the presence of at least one biomarker of the invention associated with the 3.1 isoform of KCNH2. Elevated gene expression and/or protein function of the 3.1 isoform of KCNH2 indicates that the individual is at risk for developing schizophrenia or is suffering from schizophrenia and is likely to benefit from the administration of an antipsychotic therapy, including an antipsychotic medication, and is unlikely to prematurely discontinue a prescribed regimen of antipsychotic medication. Alternatively, very low or absent gene expression and/or protein function of the 3.1 isoform of KCNH2 indicates that the individual is at low risk for

developing schizophrenia or is unlikely to be suffering from schizophrenia and is unlikely to benefit from the administration of an antipsychotic therapy, including an antipsychotic medication, and is more likely to prematurely discontinue a regimen of an antipsychotic medication prescribed to that patient.

5 According to the present invention, the individual being tested can be a human or non-human primate. The individual may or may not be suspected of having schizophrenia. In one embodiment, the individual being tested has not been diagnosed as having schizophrenia. In another embodiment, the individual has been diagnosed as having a schizophrenia.

10 As used herein, a mutation refers to an alteration in a sequence of nucleotides in an KCNH2 nucleic acid molecule, such that the sequence of nucleotides is no longer identical to the sequence of nucleotides at the corresponding location in a wild-type KCNH2 gene. Such alterations can be nucleotide insertions, substitutions, deletions or modifications.

15 Any method suitable for detecting mutations in nucleic acid molecules can be used to practice the present invention. In one embodiment, at least a portion of the sequence of a KCNH2 nucleic acid molecule present in the sample is determined and compared to the corresponding sequence in the wild-type, KCNH2 gene. Any method that is capable of determining the sequence of a nucleic acid molecule can be used to determine the sequence of KCNH2 nucleic acid molecules in the sample. Such methods include, but are not limited to Sanger dideoxy sequencing, Pyrosequencing, RNA sequencing, next-generation sequencing
20 technologies, single-strand conformation polymorphism, heteroduplex analysis, DNA microarray technology, denaturing gradient gel electrophoresis, allele-specific PCR, Scorpion Amplification Refractory Mutation System (SARMS) technology, SNaPshot analysis of PCR products, and mass spectrometry.

25 The inventors have disclosed specific mutations in KCNH2 genes that were obtained from brain tissue, indicating that the presence of at least one of these mutations in a KCNH2 nucleic acid molecule correlates with the presence of the 3.1 isoform of KCNH2 and/or schizophrenia of symptomatology of schizophrenia. Thus, in one embodiment, the step of analyzing comprises testing the nucleic acid molecules in the sample from a patient or individual for a mutation selected from the group consisting of Marker M1, M2, M3, M4, M5, M6, M7,
30 M8, M9, M10, M11, M12, M13, M14, M15, M16, M17, M18, M19, M20, M21, M22, M23, M24, M25, M26, M27, M28, M29, M30, M31, M32, M33, M34, M35, M36, M37, M38, M39,

M40, M41, M42, and M43. The In another embodiment, the step of analyzing comprises testing the nucleic acid molecules in the sample from a patient or individual for a mutation selected from the group consisting of at least one single nucleotide polymorphism in the KCNH2 gene selected from rs3900779, rs748693 and rs1036145.

5 It will be appreciated by those skilled in the art that such nomenclature (*i.e.*, M10) refers to a specific position in the KCNH2 transcript. However, it is equally understood that such mutations correspond to mutations in the genomic DNA (e.g. rs1036145), and the mutations could also be referred to by nomenclature signifying their genomic location. Methods of detecting specific mutations in nucleic acid molecules are known in the art and can be utilized in
10 the present invention. For example, as noted above, the full sequence of a KCNH2 nucleic acid molecule present in the sample could be determined and compared to the wild-type KCNH2 gene sequence.

In one embodiment, the polymerase chain reaction (PCR) is used to test for the presence of specific mutations. With regard to this embodiment, it is known that PCR relies on
15 hybridization of nucleic acid primers to the nucleic acid molecule being amplified (*i.e.* the template nucleic acid molecule). It is also known in the art that mismatches in the hybridization between the nucleic acid primers and the template nucleic acid molecule can result in polymerase failing to extend the primers. In particular, if the 3' nucleotide in the primer does not pair with the nucleotide at the complementary position in the template, the polymerase fails to extend the
20 primer and the template DNA is not amplified. Thus, in one embodiment of the present invention, the step of analyzing comprises performing PCR using primers specific for a KCNH2 nucleic acid template. In a further embodiment, the PCR is performed using primers in which the 3' nucleotide of at least one primer that does not pair with the nucleotide at the complementary position in the template. Other methods of detecting specific mutations can also be used to
25 practice the present invention including, for example, single stranded conformation polymorphism analysis, loss/gain of restriction site analysis, heteroduplex analysis.

The 3.1 isoform of KCNH2 may also be determined by the expression and/or the activity level of the corresponding protein. Methods of measuring these activities are disclosed herein, and are known to those skilled in the art. For example, the 3.1 isoform of KCNH2 can be
30 determined using protein-protein binding assays known to those skilled in the art. One example of such an assay is an immunoprecipitation assay. In such an assay, the proteins to be tested for

binding are mixed together to allow binding, and then a precipitable capture molecule, such as a bead-bound antibody that binds to the 3.1 isoform of KCNH2, is added to the mixture. The capture molecule is then precipitated and the precipitate analyzed, for example using PAGE analysis and/or immunoblotting, to determine what proteins co-precipitate with the capture molecule. Such techniques are known to those skilled in the art.

Another useful assay for measuring protein-protein interactions is an enzyme-linked immunosorbent assay (ELISA). Such an assay comprises: a) contacting an ELISA plate, which is coated with a suitable amount of a first protein, with a solution containing a second protein being tested for its ability to bind the first protein; and b) determining if the first and second protein form a complex. The step of determining if a protein-protein complex is formed comprises assaying for the presence of a complex using an antibody to the second protein. The above description is a general description of an ELISA assay, and variations of such an assay are known to those skilled in the art.

Another embodiment of the invention relates to an assay kit for selecting a schizophrenic patient who is predicted to benefit or not to benefit from therapeutic administration of an antipsychotic medication. The assay kit includes: (a) a means for detecting in a biological sample obtained from the patient a level of a biomarker of the invention, which is a marker of the expression of the 3.1 isoform of KCNH2. The kit also includes: (b) a control selected from: (i) a control sample for detecting expression of the 3.1 isoform of KCNH2; (ii) a control sample for detecting low or absent expression of the 3.1 isoform of KCNH2; (iii) information containing a predetermined control level of the expression of the 3.1 isoform of KCNH2; and/or (iv) information containing a predetermined control level of a biomarker of the expression of the 3.1 isoform of KCNH2. In one aspect, the kit can further include at least one means for detecting at least one mutation in a KCNH2 polynucleotide.

A Novel, Primate-Specific Brain Isoform of KCNH2: Role in Cognition, Hippocampal Biology and Association with Schizophrenia

Organized neuronal activity is critical for cortical information processing and is disrupted in schizophrenia. We have identified a primate-specific isoform (3.1) of the potassium channel KCNH2 that controls neuronal firing. Several SNPs in a 3kb upstream region are associated with schizophrenia in four independent datasets. The risk-SNPs affect memory, IQ and speed of

cognitive processing as well as hippocampal structure and physiology. Isoform 3.1 mRNA levels are comparable to KCNH2-1A in prefrontal cortex and hippocampus, but over 1000-fold lower in heart. Postmortem expression analysis shows a 2.5-fold increase in Isoform 3.1 relative to KCNH2-1A in schizophrenic hippocampus and association with risk SNPs. Structurally, Isoform 5 3.1 lacks most of the PAS domain critical for slow channel deactivation. Electrophysiological characterization in rodent cortical neurons reveals that overexpression of Isoform 3.1 results in a rapidly deactivating K⁺ current and a high-frequency, non-adapting firing pattern. These results reveal an unexpected role of a novel KCNH2 channel in cortical physiology, cognition, and psychosis and provide a potential new target for psychotherapeutic drugs.

10 **Introduction**

Using a combination of genetic, brain imaging, and behavioral analyses in the present study, we have identified a novel schizophrenia susceptibility gene - the KCNH2 potassium channel - in four independent clinical samples, and we have also found association with several intermediate phenotypes, including impaired cognition and imaging measures of HF structure 15 and function in a sample of normal individuals who carry risk alleles. KCNH2 (also called hERG1), Genbank accession No. U04270, a member of the ether-a-go-go related family of voltage gated potassium channels (J. W. Warmke and B. Ganetzky 1994 Proc Natl Acad Sci U S A 91:3438), is best known for its role in slow repolarization of the membrane potential during the myocardial action potential, thereby regulating the QT interval (M. C. Sanguinetti and M. 20 Tristani-Firouzi 2006 Nature 440:463). Despite extensive characterization of KCNH2 in myocardial cells, surprisingly few studies have been carried out in neurons, let alone in human or non-human primate neurons. However, KCNH2 is expressed abundantly in many brain regions including hippocampus and frontal cortex (L. Guasti et al. 2005 J Comp Neurol 491:157; M. J. Saganich et al. 2001 J Neurosci 21:4609). Its distinct physiological features, namely slow 25 activation, fast inactivation, and slow and voltage-dependent deactivation (J. W. Warmke and B. Ganetzky 1994 Proc Natl Acad Sci U S A 91:3438, M. C. Trudeau et al. 1995 Science 269:92; P. L. Smith et al. 1996 Nature 379:833; J. H. Morais Cabral et al. 1998 Cell 95:649), make KCNH2 an excellent candidate in controlling neuronal firing patterns and network oscillation in PFC and HF. Modeling work predicts that KCNH2 is critical for spike-frequency adaptation, or a gradual 30 termination of a train of evoked action potentials, a common firing pattern seen in excitatory neurons in the brain (N. Chiesa et al. 1997 J Physiol 501:313). Several studies have shown that

inhibition of KCNH2 converts low-frequency, adapting to high-frequency, non-adapting neuronal discharges (N. Chiesa et al. 1997 *J Physiol* 501:313; T. Sacco et al. 2003 *J Neurophysiol* 90:1817), a firing pattern necessary for sustained neuronal activity subserving numerous complex cognitive functions (Y. Wang et al. 2006 *Nat Neurosci* 9:534).

5 To unravel the molecular mechanisms of our clinical associations with KCNH2, we have characterized the expression of KCNH2 mRNA in postmortem human brain. This led to the unexpected identification of a novel, primate brain specific isoform (Isoform 3.1) with its transcription start site near the risk sequence variants. Remarkably, the expression of Isoform 3.1 is increased in the hippocampus of schizophrenia patients and even in normal individuals who
10 carry risk alleles of KCNH2. These results prompted us to introduce the gene into rodent cortical neurons, which do not express endogenous Isoform 3.1. Expression of Isoform 3.1 significantly alters hERG channel's deactivation rate, resulting in high-frequency, non-adapting firing patterns. Such changes in spike frequency could lead to desynchronization of neuronal assemblies thought to be a critical aspect of cortical dysfunction in schizophrenia (G. Winterer and D. R. Weinberger 2004 *Trends Neurosci* 27:683). Together, these convergent results
15 implicate a role of a novel KCNH2 isoform in the etiology and pathophysiology of schizophrenia and make it a promising new target for antipsychotic therapy.

Identification of the NOS3/KCNH2 region associated with schizophrenia

It has become increasingly apparent that genetic risk for schizophrenia and many other
20 complex illnesses will not relate to major amino acid changes and highly detrimental protein mutations, though these may still occur in rare forms of the illness (R. E. Straub and D. R. Weinberger 2006 *Biol Psychiatry* 60:81). Instead, the molecular effects of susceptibility genes are more likely to be subtle, relating to the regulation and splicing of transcripts or proteins. Thus, the gene expression profiles of patient tissue may provide clues to the identification of
25 candidate risk genes. Preliminary support for this possibility has emerged from the association of RGS4 (M. E. Talkowski et al. 2006 *Schizophr Bull* 32:203) and CNP (T. R. Peirce et al. 2006 *Arch Gen Psychiatry* 63:18) with schizophrenia, which were originally identified as candidate genes from microarray expression profile analyses in postmortem brain of patients with schizophrenia (K. Mimics et al. 2001 *Mol Psychiatry* 6:293). Consequently, we selected 10
30 genes recently reported as differentially expressed in a large number of schizophrenia patients as determined by microarray (S. Prabakaran et al. 2004 *Mol Psychiatry* 9:684) and genotyped

haplotype tagging SNPs (htSNPs) taken from HapMap (release #15) in 170 Caucasian families with an offspring with schizophrenia. Family-based association analysis was performed (N. M. Laird et al. 2000 Genet Epidemiol 19 (Suppl 1) S36) and nominally significant signals were observed in 5 of the 10 genes (Table 2). In particular, SNPs located in the genomic region of NOS3 (7q36.1) demonstrated the highest linkage disequilibrium (LD) with the illness. The positive SNPs in this region, however, mapped upstream of NOS3, within a neighboring gene, KCNH2, which is transcribed in the opposite direction (Fig. 5; Fig. 11). A survey of comparative genomic databases indicates that the genomic contiguity and orientation of NOS3 and KCNH2 is highly conserved among mammalian species.

Referring to Fig. 5 (top) is shown the inverse log of the p-value from family-based association results for the CBDB Sibling Study (GC, diamonds), NIMHGI-C (GI-C, squares), NIMHGI-AA (GI-AA, triangles) and for the German case-control study (G, circles) for single SNPs and 3-SNP sliding window haplotypes. Only markers and haplotypes with p-values less than 0.1 are shown. A physical map of the region is given and depicts known genes within the region. Referring to Fig. 5 (bottom), the LD structure of the genotyped markers is given for 370 unrelated healthy control Caucasians and depicted as D'. Graphics were created using the R package `snp.plotter` available from nicodemusk@mail.nih.gov (A. Luna and K. K. Nicodemus 2007 Bioinformatics 23:774-776).

KCNH2 as a risk gene for schizophrenia

A haplotype block indicative of minimal historical recombination (S. B. Gabriel et al. 2002 Science 296:2225) containing parts of NOS3 and KCNH2 was observed in persons of Western European ancestry genotyped by the International HapMap Project (The International HapMap Project 2003 Nature 426:789). The haplotype block begins 1.2Kb downstream of the NOS3 transcription start site (in the 5' UTR) and extends 21.3Kb upstream of this gene (Fig. 11). The initial 13.1 Kb of this upstream region are non-coding and intergenic, but the remainder overlaps with 6.9Kb of KCNH2. Of the 3 htSNPs required to tag this region (S. B. Gabriel et al. 2002 Science 296:2225), rs1036145 (M33) was over-transmitted ($p = 0.0032$) to schizophrenia patients in a cohort of 295 Caucasian families (CBDB cohort) as was the entire haplotype block (global $p = 0.005$).

Based on these data it was expected that M33 is in strong LD with causative SNP(s) and/or responsible for the association signal itself. Consequently, additional dbSNPs were

genotyped across a region stretching from the 3'-end of KCNH2 to the 3'-end of NOS3, with highest coverage focused around M33. A 7.2 Kb region flanking M33 was re-sequenced in 48 schizophrenia patients to detect rare or unreported SNPs. We also re-sequenced exons 1-3 of KCNH2 and their intronic borders (total sequence 10.4 kb) and no coding polymorphisms were discovered. A total of 43 SNPs were genotyped in the CBDB cohort including 11 novel SNPs. Six SNPs were significantly associated with schizophrenia (5 with $p < 0.01$) as were many of the 3-marker haplotypes containing them (Fig. 5; Fig. 12, Table 3). The majority of these significantly associated SNPs map to a small 3 kb segment of intron two of KCNH2.

Referring to Fig. 12, single-marker and 3-marker sliding-window results are shown for CBDB, NIMHGI-C, NIMHGI-AA, and RU cohorts. SNPs denoted novel were identified by re-sequencing DNA from 48 schizophrenia patients (see Example 1). Fig. 12A depicts the FBAT three marker sliding windows in CBDB family sample (CBDB Sibling Study 3-SNP Sliding Window Haplotype Results (296 families)). Fig. 12B depicts the FBAT three marker sliding windows in NIMH-C family sample (NIMHGI-C 3 SNP Sliding Window Haplotype Results (71 families)). Fig. 12C depicts the FBAT three marker sliding windows in NIMH-AA family sample (NIMHGI-AA SNP Sliding Window Haplotype Results (51 families)). Fig. 12D depicts the Haplo. stats three marker sliding windows in German case control sample (German Case-Control 3-SNP sliding window haplotype results).

Forty of these markers were then genotyped in the two additional family-based cohorts derived from the NIMH Genetics Initiative project (C. R. Cloninger et al. 1998 Am J Med Genet 81:275), consisting of 71 Caucasian families (NIMHGI-C) and 51 African American families (NIMHGI-AA). Though substantially limited in power due to the small number of families, SNPs and/or haplotypes in the same small region were significantly associated with schizophrenia in both datasets (Fig. 5; Fig. 12, Table 3). In particular, in all 3 populations the association signal was localized to intron 2 of KCNH2, though significantly associated SNPs were not identical in all cohorts, as has been found in association with a number of other putative schizophrenia genes (R. E. Straub and D. R. Weinberger 2006 Biol Psychiatry 60:81). However, two common overlapping haplotypes containing A-G at M9 and M10 and C-G at M21 and M22 were associated with risk in both Caucasian family datasets: in the NIMHGI-C (M9-M10 global $p = 0.079$, haplotype $p = 0.076$; M21-M22 global $p = 0.092$, haplotype $p = 0.082$) and CBDB (M9-M10 global $p = 0.048$, haplotype $p = 0.083$; M21-M22 global $p = 0.098$, haplotype $p =$

0.10). Also, consistent with single SNP analyses, haplotypes containing M30 major allele G were negatively associated with schizophrenia in both the CBDB sample (global $p = 0.023$, haplotype $p = 0.01$) and NIMHGI-C (global $p = 0.10$, haplotype $p = 0.028$), even though no association between M30 and schizophrenia was observed in the single SNP analyses of NIMHGI-C.

5 Haplotype C-A-G at M32-M33-M34 was significantly positively associated in both the CBDB and NIMH-GI AA samples (CBDB haplotype $p = 0.008$; NIMHGI-AA haplotype $p = 0.044$). Interestingly, one rare SNP (Caucasian MAF = 0.007) was found in eight families across all study cohorts, and all of the nine affected offspring in these families inherited the same rare allele from a heterozygous parent (see Fig. 13). Referring to Fig. 13, all families found to contain
10 at least one individual with the rare allele (T) are depicted. Plots follow standard pedigree methods (black = affected/schizophrenic, etc.). All individuals with "-/-" genotypes were unavailable for DNA collection. Note that all affected offspring inherit rare allele and all individuals were Caucasian.

Finally, given the positive family-based association signals, we tested for association in a
15 fourth sample, a Caucasian case-control dataset collected in Germany consisting of 501 patients and 626 controls, typing the 6 significantly associated SNPs found in the CBDB cohort and also the novel SNPs that were found by resequencing. Using logistic regression for the single SNPs, significant association was observed in SNPs M20 and M30 corresponding to odds ratios of 2.99 (CI = 1.26, 7.11) and 1.58 (CI = 1.05, 2.38), respectively (Fig. 5; Table 3). Thus, in our two
20 reasonably powered Caucasian samples, we confirm association for the same two SNPs and for the same alleles, and show evidence for association to varying haplotypes in two other small family samples, including one of AA origin. Taken together, these results identify the region of KCNH2 as a susceptibility locus for schizophrenia and suggest that KCNH2 is the gene responsible for this association.

25 **Impact of KCNH2 SNPs on cognition, hippocampal structure and function**

If inheriting variations of SNPs within this region increases risk for schizophrenia by altering brain function, then such genetic variations should be associated with schizophrenia intermediate brain phenotypes in individuals who carry risk alleles even if they do not manifest the entire diagnostic syndrome (A. Meyer-Lindenberg and D. R. Weinberger 2006 Nat Rev
30 Neurosci 7:818). A variety of cognitive deficits, related to attention, memory, and IQ/processing speed have been prominently implicated as intermediate phenotypes related to schizophrenia and

are associated with increased relative risk in unaffected relatives of patients (T. D. Cannon et al. 2000 *Am J Hum Genet* 67:369; M. F. Egan et al. 2000 *Am J Psychiatry* 157:1309; M. F. Egan et al. 2001 *Biol Psychiatry* 50:98; T. E. Goldberg et al. 2003 *Arch Gen Psychiatry* 60:889). Given the high expression of KCNH2 within the prefrontal cortex (PFC) and HF, two regions

5 subserving these cognitive processes and consistently implicated in the neuropathology of schizophrenia (P. J. Harrison 1999 *Brain* 122:593, D. R. Weinberger et al. 2001 *Biol Psychiatry* 50:825), it is reasonable to expect that if risk SNPs impact on the biology of these brain regions, such cognitive deficits would also be associated with risk genotypes, regardless of disease status. Accordingly, the most significantly associated SNPs from the CBDB study (M30, M31, M33)

10 were assessed for their effects on seven independent summary measures of cognitive function (Genderson, M.R. et al. *Schiz Res* (in press)) (Table 4) in an independent sample of healthy, unrelated controls who were screened to exclude individuals with a history of psychiatric disorders. Using linear regression adjusting for age in models assessing speed of processing (correlation coefficient p-value for test of processing speed and age = 0.0062; t-test p-value for

15 differences in means by sex = 0.57) and no covariates in models assessing visual memory (t-test p-value for differences in means by sex = 0.26; correlation coefficient for visual memory by age = 0.75), significant association was observed between risk genotypes and performance on IQ/processing speed and visual memory tasks (Fig. 6A; Table 4). Thus, even in healthy controls, individuals carrying the same alleles observed more frequently in schizophrenia patients

20 performed significantly worse on tasks measuring IQ, visual reasoning and memory, and timed sequencing than subjects without risk alleles.

The genetic associations with cognition suggest that variation in KCNH2 impacts on the biology of the neural systems that subserve these functions. Declarative memory is strongly dependent on hippocampal function, where at least in rodents, KCNH2 is most abundantly

25 expressed (M. J. Saganich et al. 2001 *J Neurosci* 21:4609). Thus, these associations could reflect genetic modulation of the physiology of memory processing within the HF, and they might also represent more fundamental changes at the level of structural development of the hippocampus. Therefore, we hypothesized that the same SNPs significantly associated with schizophrenia in the CBDB family sample and the case control sample from Germany would relate to changes in

30 hippocampal structure and physiology, and again, if such changes are manifestations of the biology of gene effects in brain, then healthy controls carrying risk alleles would be predicted to

show evidence of such changes. We have argued for the use of healthy controls for genetic associations at the level of brain morphometry and information processing in order to avoid potential confounders related to chronic illness and medical treatment (A. R. Hariri and D. R. Weinberger 2003 Br Med Bull 65:259). Voxel-based morphometry (VBM) was used to assess regional brain volumes, focusing on the HF, of a sample of healthy control subjects.

Following FDR correction ($\alpha = 0.05$), significant volume decreases in hippocampal structures were observed in individuals carrying the minor alleles (risk alleles) of SNPs M30, M31, and M33 using both whole brain and region of interest (ROI) analyses, and additive allele load effects were observed (Fig. 6B; Figs. 14-18; Tables 5-8). Control analyses based on non-risk SNPs were consistently negative for association with VBM changes (Figs. 14-18, Tables 5-8).

Because abnormal cognitive function reflects physiologic dysfunction, we predicted that the same SNPs would impact on the physiology of memory processing subserved by the HF, again in healthy control risk allele carriers. In order to control for the effects of task performance on the fMRI response and thus to isolate the effect of genotype on the physiology of information processing, genotype groups were matched for performance on cognitive tasks (accuracy and reaction time). This matching strategy allowed a test of the effect of genotype on how cognitive information is handled physiologically at the neural system level, independent of how well subjects perform on the task. fMRI was used to assess regional activation during incidental encoding of a temporal lobe memory task (A. R. Hariri et al. 2003 J Neurosci 23:6690), again within healthy control subjects. We observed significantly greater activation (FWE correction $\alpha = 0.05$) of the HF within normal risk carriers (Fig. 6C; Figs. 14-18, Tables 5-8). This pattern of inefficient (*i.e.*, excessive activity for a fixed level of performance) processing of memory information suggests that the tuning of the cortical microcircuits subserving memory processing in the HF is relatively disordered in individuals with risk genotypes. Similar patterns of inefficient processing of memory in HF have been reported for other genes impacting on episodic memory (*e.g.*, S. Y. Bookheimer et al. 2000 N Engl J Med 343:450 and G. Egan et al. 2003 Proc Natl Acad Sci USA 100:15241).

Referring to Fig. 6A, genotype effects of most significant family-based markers on cognitive performance measures are shown. P-values for the regression model are based on two-degree of freedom F-tests. Linear regression was used to assess differences between cognitive

measures and heterozygote (G/A) and homozygote (A/A) carriers with respect to G/G non-risk carriers. P-values for each genotype comparison are shown followed by β -coefficients for genotypes indicated. Significant main effects ($p < 0.05$) are shown in bold. Referring to Fig. 6B, optimized voxel based morphometry analysis (VBM) of a different cohort of healthy control carriers was performed using an analysis of covariance model with gray matter volume (GM), age, and gender as covariates of no interest. Thresholded ($p = 0.05$ FDR corrected) statistical maps show a relative linear decrease in HF GM from subjects homozygous for the risk allele ($n = 17$) to heterozygote carriers ($n = 59$) to non carriers ($n = 63$). Referring to Fig. 6C, thresholded ($p < 0.05$ FWE corrected) statistical t-maps and mean (± 1 SEM) percent blood oxygen level-dependent (BOLD) signal change during the encoding conditions of a declarative memory task in the left posterior hippocampus (MNI coordinates of peak cluster: -34 -25 -15 mm) showing a significant linear increase of activation in homozygote carriers ($n = 14$) relative to heterozygote carriers ($n = 37$), and to non carriers ($n = 28$) of the risk allele of the M30 SNP.

Referring to Fig. 14, genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -12, -22 mm) for SNP M30 were derived using optimized VBM. This plot (Fig. 14A) and the thresholded ($p = 0.05$ FDR corrected) statistical t-maps (Fig. 14B) show a linear decrease in gray matter volume from homozygotes ($n = 17$) for the risk allele, to heterozygote carriers ($n = 59$) to non carriers ($n = 63$). For illustrative purposes, the measures for hippocampal gray matter volume were mean centered and extracted from the most significant right anterior hippocampal cluster on ANCOVA analysis in SPM 2 using age, gender, and total gray matter volume as covariates of no interest.

Referring to Fig. 15, genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -9, -24 mm) for SNP M31 were derived using optimized VBM. This plot (Fig. 15A) and the thresholded ($p = 0.05$ FDR corrected) statistical t-maps (Fig. 15B) show a linear decrease in gray matter volume from homozygotes ($n = 16$) for the risk allele, to heterozygote carriers ($n = 59$) to non carriers ($n = 66$). For illustrative purposes, the measures for hippocampal gray matter volume were mean centered and extracted from the most significant right anterior hippocampal cluster on ANCOVA analysis in SPM 2 using age, gender, and total gray matter volume as covariates of no interest.

Referring to Fig. 16, genotype based differences in right hippocampal gray matter volume (MNI coordinates: 26, -9, -24 mm) for SNP M33 were derived using optimized VBM. This plot

(Fig. 16A) and the ($p=0.07$ FDR corrected) statistical t-maps (Fig. 16B) show a linear decrease in gray matter volume from homozygotes ($n=16$) for the risk allele, to heterozygote carriers ($n=61$), to non carriers ($n=64$). For illustrative purposes, the measures for hippocampal gray matter volume were mean centered and extracted from the most significant right anterior hippocampal cluster on ANCOVA analysis in SPM 2 using age, gender, and total gray matter volume as covariates of no interest.

Referring to Fig. 17, genotype based differences in hippocampal engagement during a declarative memory task for SNP M31 are shown. Fig. 17A depicts mean (± 1 SEM) percent BOLD signal change during the encoding condition of the task in the left posterior hippocampus (MNI coordinates of peak cluster: -34 -22 -15 mm) showing a significant linear increase of activation in homozygote carriers ($n=12$) relative to heterozygote carriers ($n=35$) and non carriers ($n=30$) of the risk allele. Thresholded ($p < 0.05$ FWE corrected) statistical t-maps are shown in Fig. 17B.

Referring to Fig. 18, genotype based differences in hippocampal engagement during a declarative memory task for SNP M33 are shown. Fig. 18A depicts mean (± 1 SEM) percent BOLD signal change during the encoding condition of the task in the left posterior hippocampus (MNI coordinates of peak cluster: -34 -22 -15 mm) showing a significant linear increase of activation in homozygote carriers ($n=11$) relative to heterozygote carriers ($n=37$) and non carriers ($n=27$) of the risk allele. Thresholded ($p < 0.05$ FWE corrected) statistical t-maps are shown in Fig. 18B.

Effects of schizophrenia and risk genotypes on KCNH2 mRNA expression

The association of genetic markers with schizophrenia and with related brain phenotypes in 5 independent data sets including samples of European and non-European ancestry and in healthy controls screened for psychiatric disorders lends strong support to the involvement of this genomic region in manifestations of the illness, including its neural system biology. However, these findings do not identify the underlying molecular mechanisms of risk. To begin addressing this issue, we investigated gene expression in human brain and its relationship to our evidence of genetic association. Indeed, using quantitative RT-PCR in a sample of postmortem brains from 31 schizophrenia and 69 healthy control subjects, we found KCNH2-1A expression levels to be significantly lower in the DLPFC of schizophrenia patients ($p = 0.008$; Fig. 7A). We also replicated the increase in NOS3 expression ($p = 0.019$; Fig. 6A) in DLPFC reported earlier

in another set of postmortem schizophrenic brains (S. Prabakaran et al. 2004 *Mol Psychiatry* 9:684) (Table 9). Furthermore, given the enrichment of both genes within the HF, we also measured their expression in this region in a largely overlapping cohort of 29 schizophrenia and 59 healthy control subjects. KCNH2-1A was again significantly reduced in schizophrenia patients ($p = 0.005$; Fig. 7A).

Most patients with schizophrenia receive antipsychotic drugs, which may bind to KCNH2 and affect its expression (S. Kongsamut et al. 2002 *Eur J Pharmacol* 450:37). In order to assess the possibility that the observed changes in either gene might be a consequence of antipsychotic medication, 63 rats (8-10 per treatment group) were administered one of three doses of clozapine or haloperidol for 28 days. Neither clozapine nor haloperidol showed significant effects on the expression of either gene measured within the frontal cortex (Fig. 19). However, a slight decrease in KCNH2-1 A was observed in rats treated with 0.08 and 0.6 mg/kg/day of haloperidol though this did not reach significance ($p=0.082$ and 0.119 , respectively). Thus, the effects of medication on the observed decrease in KCNH2-1 A cannot be excluded.

Referring to Fig. 7A, differences in mRNA expression within the hippocampus of 30 schizophrenia patients/62 healthy control subjects, and within the DLPFC of a largely overlapping set of 31 schizophrenia patients/69 healthy control subjects are shown. All expression values were normalized to the geometric mean of three housekeeping genes (B2M, GUSB, and PBGD) (J. Vandesompele et al. 2002 *Genome Biol* 3:RESEARCH0034) and are expressed as fold difference versus the mean of healthy controls. Data were tested for main effects using backwards stepwise linear regression using age, pH, RIN, and diagnosis as independent variables, p-values represent main effect of diagnosis in the final regression model.

Fig. 7B depicts the association of marker 33 (M33) risk genotype (A carriers) with Isoform 3.1 expression within the hippocampus (data from A) with respect to race and diagnosis. Main effect of genotype was determined following the same linear regression modeling as in A but within Caucasian and African American subsets separately and including M33 as a variable in the model. M33 A/G individuals were pooled due to the small number of A/A individuals. No significant differences were observed between A/G and G/G carriers as determined by multinomial logistic regression. All error bars represent one standard error of the mean.

Referring to Fig. 19, 8-10 rats per treatment group were treated with one of three doses of either Haloperidol (0.08, 0.6, and 1mg/kg) or Clozapine (0.5, 5, 10 mg/kg) for 28 days (as

compared to rats treated with vehicle alone). Frontal cortex expression levels of NOS3 (ABI assay Rn02132634_sl) and KCNH2-1A (ABI assay Rn01442523_ml) were normalized to the geometric mean of two housekeeping genes (GAPDH, B2M). Effects of antipsychotic treatment on gene expression were tested by linear regression with gene expression as the dependent variable. Differences between vehicle alone and the two therapeutic range equivalent dosages (0.08 and 0.6 mg/kg/day of Haloperidol; 0.5 and 5 mg/kg/day of Clozapine) were tested using post-hoc LSD. No significant differences were observed between any neuroleptic treatment group and vehicle only treated rats, p-values for the treatment with the greatest difference as compared to vehicle alone are shown for Haloperidol.

10 Given the physical proximity of KCNH2-1A to the genetic association signals in the clinical samples and its differential expression in schizophrenia patients, it seemed plausible that the molecular mechanism of association might involve transcriptional regulation. By the same logic applied to the cognition and imaging analyses, if genetic risk affects the transcription of KCNH2-1A, then individuals with risk alleles, even if normal controls, should have expression profiles of these genes similar to those of schizophrenia patients (*i.e.*, reduced levels).
15 Accordingly, we tested for differences in the expression of KCNH2-1A between healthy controls who were carriers of risk alleles versus those who were not. No significant effect of risk genotype was observed (KCNH2-1A: $p = 0.52$; Table 10). NOS3 expression also showed no association with risk SNPs (Table 10). It is important to note that differential expression of
20 KCNH2 was not detected in the original microarray study though an increase in NOS3 was (Prabakaran, S. et al. 2004 *Mol Psychiatry* 9:684-697; Huffaker, A et al. 2006 *Proc Natl Acad Sci U S A* 103:10098-10103). Though this may reflect the overall greater average PMI of the sample set used in the microarray study, it more likely relates to the regions of KCNH2 probed by the microarrays. The 2 probe sets for KCNH2 included on the HG-U133A (Affymetrix)
25 microarrays are located in the 3'-UTR of the gene (Figure 11). These probe sets would therefore be unable to distinguish the different isoforms particularly given the highly conserved 3'-UTR structure in KCNH2-1A and Isoform 3.1. Moreover, here, using isoform specific RT-QPCR, we report significant reductions in the expression of KCNH2-1A with concomitant increases in the expression of Isoform 3.1. Thus, the combined detection of both isoforms might result in little to
30 no 'net' difference. While this may indicate the presence of allelic heterogeneity (*i.e.*, different SNPs confer risk to the illness in different populations), it may also suggest that the molecular

effects of genetic risk do not impact on the expression of KCNH2-1 A, or they may relate to more complex aspects of gene processing, such as the splicing of transcripts or the expression of other isoforms.

Identification of a novel KCNH2 isoform in human brain

5 Due to the large size of KCNH2 intron 2 and the presence of several regions highly conserved across species (genome.ucsc.edu), the effects of the risk SNPs may relate to the splicing of an, as yet, unidentified exon or the expression of a novel isoform in this intronic region. Using 5'-RACE on total RNA pooled from the DLPFC of 10 schizophrenia patients and beginning from exon 4 of the full-length KCNH2 transcript (KCNH2-1A; NM_00023) we
10 identified a novel 5' extension of exon 3 producing an isoform that originates 1.1Kb upstream of the known start of exon3 (Fig. 5; Fig. 11). Further cloning from a human cDNA library (Stratagene) found that the isoform is expressed endogenously and contains all of the downstream exons of the full-length form of the gene (through exon 15 of KCNH2-1A; Fig. 20, Table 11). In silico prediction (on the world-wide-web at ncbi.nlm.nih.gov) of the longest ORF
15 of the novel isoform (referred to as Isoform 3.1) revealed that the majority of the 1.1Kb 5' extension of exon 3 would be untranslated and that the first methionine is in frame with the full-length protein. As such, Isoform 3.1 is expected to be missing the first 102 amino acids of the full-length KCNH2-1A, replacing them with 6 amino acids unique to the isoform. Interestingly, the 102 amino acids encoded for by exons 1 and 2 of hERG1a comprise a large portion of the
20 PAS domain, a motif that slows the rate of channel deactivation (J. H. Morais Cabral et al. 1998 Cell 95:649). The translation of Isoform 3.1 and the predicted difference in protein size was confirmed using western blot in transfected HEK cells (Fig. 8C). Western blot in human hippocampus and frontal cortex following immunoprecipitation revealed a protein band of similar molecular weight to that in transfected HEK cells (Fig. 8C). Furthermore, neuroblastoma
25 cells transfected with constructs encoding either or both KCNH2-1A or Isoform 3.1 show overlapping expression of the protein isoforms on the plasma membrane (Fig. 8D).

To address the possibility that Isoform 3.1 is expressed primarily in brain, we measured the quantity of KCNH2-1A and Isoform 3.1 across several tissues, including heart and three different brain regions, using quantitative RT-PCR. Furthermore, since known isoforms of
30 KCNH2 and its homologues can co-assemble to create heteromeric potassium channels with unique electrophysiological properties (L. Guasti et al. 2005 J Comp Neurol 491:157) further

modified by the ratio and arrangement of subunits (S. Wimmers et al. 2002 Pflugers Arch 445:423), we measured the ratio of Isoform 3.1 to KCNH2-1 A and found the two forms comparable in expression within several brain regions (Fig. 8B; Fig. 20, Table 11). However, Isoform 3.1 was over 1000-fold less abundant than KCNH2-1A within the heart, suggesting that the role of Isoform 3.1 may reside largely within the brain.

Referring to Fig. 8A, PCR products were generated for KCNH2-1 A and Isoform 3.1 using forward primers in exon 1 of KCNH2-1A (Ex1) and in the 5'-UTR of Isoform 3.1 (Isol), respectively, and the same reverse primers in exons 3 (Ex3) and 4 (Ex4) of KCNH2-1A (see Example 1). PCR was performed using cDNA prepared from commercially available total RNA (Ambion) isolated from human heart, hippocampus, and fetal brain. PCR products for KCNH2-1A were expected at 373 and 463 bps, respectively, and for Isoform 3.1 at 388 and 478 bp, respectively. The band -1.5 kb in Isol-Ex4 lanes corresponds to small amounts of unspliced RNA or genomic DNA present in the samples. Referring to Fig. 8B, Quantitative PCR was performed using TaqMan assays (ABI) to measure relative mRNA expression of Isoform 3.1 (custom TaqMan assay designed to the 5'-UTR of Isoform 3.1) versus KCNH2-1A (Hs00165120_ml) \pm standard error of mean. Expression values were measured in cDNA made from DNase treated (Invitrogen) commercially available total RNA (Ambion) from human hippocampus, prefrontal cortex, heart, and skeletal muscle. Referring to Fig. 8C, Western blots were prepared using protein extracted from post-mortem human hippocampus and frontal cortex. As positive controls, HEK cells were transfected with vectors encoding KCNH2-1A or Isoform 3.1 under the control of a CMV promoter. Westerns were probed with an antibody raised against the pore portions of KCNH2 (Santa Cruz, N-20) and thus predicted to detect isoform 3.1 and KCNH2-1 A. Proteins extracted from human brain regions showed two distinct bands, one at the same size as Isoform 3.1 transfected HEK cells. However, the larger, more dominant band in human brain was not observed at the size of KCNH2-1A transfected HEK proteins. Instead, the larger band occurs at -160 kDa, which is the reported size of KCNH2-1 A from *in vivo* protein extracts suggesting post-translational modifications (E. M. Jones et al. 2004 J Biol Chem 279:44690). Referring to Fig. 8D, Primary rat neurons transfected with KCNH2-1A or Isoform 3.1 containing vectors.

Referring to Fig. 20, PCR of KCNH2-1A (full-length) and Isoform 3.1 was performed using 10 different tissue extractions of total RNA. Full-length PCR products were generated using Ex1-F forward primer to Ex3-R and Ex4-R reverse primers (see Example 1). Isoform 3.1

PCR products were generated using Ex3.1-F forward primer and the same Ex3-R and Ex4-R reverse primers. Expected product sizes: 373, 463, 388, 478 bps respectively. Cloning and sequencing of the band at 1547 bps in PCR of Ex-3.1-F to Ex4-R of some samples corresponded to sequences without intron 3 spliced out suggesting that this represents DNA contamination in these samples.

In Table 11, quantitative RT-PCR was used to measure the relative quantity of Isoform 3.1 as compared to KCNH2-1A expression in 8 total RNA isolates. All samples were DNase treated prior to cDNA synthesis to prevent the effects of DNA contamination on the samples. All Ct values were determined at the same threshold value and all sample expression values were measured in triplicate.

Finally, as ERG family genes are moderately conserved in sequence across several species (J. W. Warmke and B. Ganetzky 1994 Proc Natl Acad Sci U S A 91:3438), we expected Isoform 3.1 to be present within other mammals. However, again using 5'-RACE, we were unable to detect Isoform 3.1 homologues in mouse brain. Moreover, in silico analysis revealed that the 1.1Kb region unique to Isoform 3.1 was highly degenerate outside of primates (Fig. 21). In particular, in mouse, rat, canine, and zebrafish, no promoter-like sequences were observed within 3 Kb upstream of exon 3 and the longest ORF in frame with exon 3 lacks a starting methionine (Fig. 21).

Referring to Fig. 21 A, a multiple alignment is shown of the 250 bp region upstream of KCNH2-1A (hERG-1A) exon 3 splice site and 180 bps of exon 3 in human, rhesus, mouse, and rat. Genome sequences were obtained from UCSC and aligned using Clustal W (on the world-wide-web at ebi.ac.uk/clustalw). Exon 3 splice site (CAG) is boxed by a fine dashed line followed by exon 3 (heavy dashed-line box), both of which are highly conserved across species. In-frame start codons (ATG) are shown with a closed box for human and rhesus sequences and equivalent ATG in mouse and rat are frameshifted (in frame codon is shown with a closed box). Fig. 21B shows multiple alignments of the predicted ORF of this region in frame with exon 3 and the remainder of the KCNH2 coding sequence. Again, human and rhesus sequences show starting methionines while mouse and rat sequences are devoid of upstream, in-frame methionines prior to stop codons.

Higher expression of Isoform 3.1 in schizophrenia and in subjects with risk genotype

Primate-specific, brain enriched expression, and the location of risk SNPs in the 5' upstream of Isoform 3.1's first exon led us to hypothesize that the mechanism of genetic risk for schizophrenia would involve differential expression of the Isoform 3.1. Using quantitative RT-PCR we found Isoform 3.1 expression significantly increased within the HF of patients versus healthy control subjects ($p = 0.012$, Fig. 7A). The ratio of Isoform 3.1 to KCNH2-1A showed a dramatic, 2.5 fold increase in the patients ($p = 0.003$). Additionally, higher expression levels of Isoform 3.1 were significantly associated with risk alleles even within healthy control subjects (Fig. 7B). This trend was observed irrespective of race or diagnosis eliminating the potential confounders of medication or genetic background stratification. These results converge on the conclusions that the genetic mechanism of association with schizophrenia involves regulation of Isoform 3.1 transcription and that overexpression of this isoform and its potential physiologic effects are related to the pathogenesis of the disorder.

Functional role of Isoform 3.1 revealed by electrophysiology

Structural analysis of Isoform 3.1 revealed some interesting properties that suggest potentially unique physiological effects. The PAS domain (aa 25-136) in the N-terminus ensures a slow deactivation of the KCNH2 channel, a unique feature of hERG (M. C. Sanguinetti and M. Tristani-Firouzi 2006 Nature 440:463). In contrast, a few amino acids (aa 7-12) in the N-terminal of hERG channels facilitate the channel deactivation (H. Terlau et al. 1997 J Physiol 502:537). Compared with KCNH2-1A, the Isoform 3.1 substitutes the first 102 amino acids at the N-terminus with 6 new amino acids (Fig. 9A), removing all the facilitating signals and deleting the majority of the PAS domain. To determine the biophysical properties of this new channel isoform, we performed whole-cell recording on HEK cells transfected with either KCNH2-1A or Isoform 3.1. Holding at -60mV , the channels were activated by various depolarizing steps (Fig. 9B, top). As reported previously, KCNH2-1A exhibited a typical slow activation (Fig. 9B, middle). Isoform 3.1 was also activated slowly (Fig. 9B, bottom). Quantitative analysis revealed no difference in the activation kinetics of KCNH2-1A and Isoform 3.1 (Fig. 9D). When the membrane potential was hyperpolarized to -120mV , the channel quickly recovered from the inactive state, resulting in a big "tail current" that deactivated slowly (Fig. 9C, top). Treatment of the cells with the hERG channel inhibitor E-4031 completely blocked the current (Fig. 9C, top), suggesting that this current is solely mediated by the hERG channel. In contrast, the cells transfected with Isoform 3.1 exhibited a dramatically reduced tail current (Fig. 9C, bottom).

Treatment with E-4031 also blocked the current, confirming the nature of the channel. To better compare the deactivation kinetics of the two isoforms, membrane potential was first depolarized to +60mV for 1 sec, followed by hyperpolarizing steps at various potentials (Fig. 9E, top). The large inward currents mediated by Isoform 3.1 decayed towards baseline much faster than those by KCNH2-1A (Fig. 9E, middle and bottom). The deactivation phase of the currents was fitted by a single exponential curve, generating a deactivation constant (τ) that is voltage-dependent (Fig. 9F). In all voltage steps tested (-70mV- 120mV), τ is greatly reduced in Isoform 3.1, as compared to that of KCNH2-1A. Taken together, Isoform 3.1 mediates an inward rectified K⁺ current with dramatically faster deactivation kinetics.

Referring to Fig. 9 A, schematic diagrams of the domain structures of KCNH2-1A and Isoform 3.1 depict initial leader sequences, the PAS domain (or partial PAS domain in the case of Isoform 3.1), and amino acid sequences identical between KCNH2-1A and Isoform 3.1. The numbers above the bars are positions of amino acids. Fig. 9B depicts currents evoked by voltage steps (2 sec) from VH of -60 mV to potentials from -100 to +80 mV in 10-mV increments, followed by a voltage pulse to -120 mV. Upper panel: voltage protocol. Middle and lower panels: traces obtained from cells transfected with KCNH2-1A and Isoform 3.1 cDNAs, respectively. These traces are corrected for leak currents. Fig. 9C shows the effects of E-4031 on tail currents evoked by a test pulse to -120 from holding potential of +80 mV, using the same protocol as in A. Traces recorded from the same cells before and after treatment with 10 μ M E-4031 were superimposed. Upper and lower panels show KCNH2 currents recorded from cells transfected with KCNH2-1A and Isoform 3.1 cDNAs, respectively. Fig. 9D illustrates activation curves of KCNH2-1A and Isoform 3.1. Fig. 9E illustrates tail currents evoked by voltage steps from +60 mV to potentials between -120 mV and -70 mV in 10 mV increments. Traces in upper and lower panels represent tail currents of KCNH2-1A and Isoform 3.1, respectively. Fig. 9F illustrates deactivation time constants of KCNH2 currents at different re-polarizing voltages. Between -120 to -70 mV the decay phase of KCNH2 currents was fitted by a single-exponential function to calculate the deactivation constant. The data are presented as mean + SE. The numbers of cells recorded are indicated in the parentheses.

We next examined the functional role of Isoform 3.1 in cortical neurons. Given that rodent CNS neurons express KCNH2-1A, but not Isoform 3.1, Isoform 3.1 introduced into these neurons would presumably complex with the endogenous KCNH2-1A, thereby markedly

changing the deactivation kinetics of the K⁺ current. Isoform 3.1 was transfected with or without GFP into the cultured cortical neurons on the day of plating, and whole-cell, voltage-clamp recording was performed on neurons cultured for 10 days following perfusion with a cocktail of inhibitors to block Na⁺ and Ca²⁺ currents. In neurons transfected with GFP alone, application of a voltage protocol similar to that in Fig. 9E elicited a series of tail currents that were blocked by E-4031 (Fig. 10A). Subtraction of the currents after E-4031 treatment (middle) from control currents (upper) generated pure "KCNH2-1A-mediated" current (lower) that exhibited a typical, slow deactivation kinetics (Fig. 10A). In contrast, application of the same protocol to neurons transfected with Isoform 3.1 elicited a dramatically reduced tail current with much faster deactivation (Fig. 10B). Quantitative analysis indicated that τ reduced by 5.88 (fast component) and 7.43 (slow component) folds at -100 mV of command potential, respectively (Fig. 10C). These results suggest that by complexing with the endogenous KCNH2-1A, Isoform 3.1 may alter the membrane repolarization kinetics, leading to an increase in neuronal excitability. To test this possibility, we examined action potentials induced by a step depolarization under the current-clamp conditions. In control neurons (non-transfected) as well as neurons transfected with GFP, a 1-sec depolarization elicited about 11 action potentials (Fig. 10D, upper and middle). In neurons transfected with Isoform 3.1, the same depolarization step induced significantly more spikes compared with control and GFP -transfected neurons (Fig. 10D, lower). On average, the spike frequencies induced by a 40-pA depolarizing step were 8.9 ± 1.3 Hz in control and 16.6 ± 0.5 Hz in Isoform 3.1 -transfected neurons, respectively (Fig. 10E). Further examination of the inter-spike intervals (ISIs) also revealed that expression of Isoform 3.1 converted an adapting (Fig. 10D, upper) to a non-adapting (Fig. 10D, lower) firing pattern. Indeed, the ratio of 1st and last ISIs increased from 0.57 ± 0.05 in control neurons to 0.96 ± 0.02 in isoform 3.1 -transfected neurons (Fig. 10F). This result is interesting, since the non-adapting discharge pattern has been implicated in persistent firing of neurons in PFC during working memory tasks (Y. Wang et al. 2006 Nat Neurosci 9:534). Taken together, these results suggest that expression of Isoform 3.1 in human PFC significantly increases neuronal excitability and offers a potential mechanism for persistent neuronal activity.

Referring to Fig. 10, cortical neurons were transfected with either GFP alone or GFP and Isoform 3.1, and cultured for 10 days. In Fig. 10A and 10B, KCNH2-mediated tail currents in GFP- and Isoform 3.1-transfected neurons are shown, respectively. Tail currents evoked by

voltage pulses (1 sec) from VH of +80 mV to potentials between -70 and -120 mV, in 10-mV increments. E-4031 -sensitive currents are obtained by subtracting currents recorded after E-4031 application from those from before E-4031 application. Fig. 10C illustrates a semilogarithmic plot of deactivation time constants of E-4031 -sensitive currents at different re-polarizing

5 voltages. Between -120 and -100 mV decay of E-4031-sensitive currents was fitted by double-exponential functions, whereas between -90 and -70 mV time course followed single-exponential functions. Deactivation constants in the neurons transfected with isoform 3.1 are consistently smaller than those in control neurons at different re-polarizing voltages. Fig. 10D illustrates the effect of over-expression of Isoform 3.1 on action potential discharge of cultured cortical

10 neurons. Repetitive firing was evoked by long depolarizing pulse (40 pA, 1 sec). Strong spike frequency adaptation is seen in control neurons and those transfected with GFP, whereas neurons transfected with Isoform 3.1 exhibit non-adaptation discharge behavior. Fig. 10E illustrates spike frequencies induced by injecting different depolarizing currents. Spike frequency is defined as the number of spikes per 1 sec. Neurons expressing Isoform 3.1 consistently exhibit significantly

15 higher spike frequencies starting from 30 pA of current injection. Fig. 10F illustrates the effect of Isoform 3.1 on spike frequency adaptation. The degree of non-adaptation, measured by the ratio of first to last interspike intervals evoked by a 40-pA depolarizing pulse, was significantly higher in neurons expressing Isoform 3.1 than that in control or GFP-expressing neurons. The data are presented as mean \pm SE. The numbers of cells recorded are indicated in the parentheses (D) or

20 columns (E) and asterisk indicates a significant difference (ANOVA, $p < 0.01$).

TABLE 2
Microarray Candidate Gene Screen

Gene	SNP rs#	Location	MAF	Alleles	Z-score	Empirical p-val	Global p-val	Most Significant Haplotype
TIMM17A (1q32.1)	rs2819370	198646712	0.45	C/T	1.90	0.0700	0.125	1 0.17 Frequency
	rs2819376	198662876	0.17	A/C	0.19	0.8586		1 0.05 p-value
	rs7513	198670652	0.49	G/A	0.08	0.9270		2 -1.94 Z-score
MDH1 (2p13.3)	rs1878505	63578241	0.28	A/G	-1.20	0.2215	0.707	1 0.44 Frequency
	rs11689644	63621359	0.12	T/G	-0.41	0.6494		1 0.45 p-value
	rs262534	63818026	0.28	T/A	-0.14	0.8973		1 0.75 z-Score
SLC25A12 (2q24)	rs2138349	172368904	0.32	T/C	-0.94	0.3676	0.939	2 0.33 Frequency
	rs6757773	172375137	0.31	A/T	1.00	0.3466		1 0.38 p-value
	rs312917	172396665	0.07	A/G	0.24	0.8249		1 -0.87 Z-score
	rs10165126	172645822	0.30	C/T	0.29	0.8706		1
CFLAR (2q33-q34)	rs10931929	201673392	0.29	C/G	-2.56	0.0180	0.521	1 0.04 Frequency
	rs204765	201848666	0.47	C/T	-0.51	0.6250		2 0.16 p-value
	rs3900115	201876183	0.47	A/G	-0.67	0.5141		1 1.29 Z-score
NOS3 (7q36.1)	rs1036145	150112078	0.30	G/A	3.24	0.0032	0.005	2 0.33 Frequency
	rs2873885	150115728	0.25	A/G	2.03	0.0430		1 0.00 p-value
OAZIN (8q22.3)	rs2513910	103886282	0.43	A/G	-1.73	0.0590	0.433	1 0.34 Frequency
	rs2436854	103895213	0.28	C/T	-0.52	0.5747		1 0.16 p-value
	rs2513921	103899571	0.42	T/C	-1.76	0.0720		1 1.43 Z-score
	rs2916558	103916575	0.38	C/A	0.98	0.2747		2
	rs1055376	103946610	0.33	C/T	-1.09	0.3136		

Gene	SNP rs#	Location	MAF	Alleles	Z-score	Empirical p-val	Global p-val	Most Significant Haplotype
COX6A1 (12q24.2)	rs2076022	119338510	0.45	C/T	-1.37	0.1730		1 0.29 Frequency
	rs968474	119341250	0.34	C/T	0.28	0.7540		2 0.02 p-value
	rs2235218	119351339	0.30	A/C	-1.92	0.0370	0.266	1 2.21 Z-score
	rs606443	119373350	0.36	T/G	0.47	0.6569		2
	rs7964008	119381117	0.15	C/T	-1.46	0.2075		1
	rs7963543	119386668	0.15	A/C	-0.13	0.8992		1
GOT2 (16q21)	rs6993	57298868	0.38	C/T	1.47	0.1030		2 0.05 Frequency
	rs30842	57300955	0.25	G/T	1.00	0.3252	0.643	1 0.30 p-value
	rs30838	57302918	0.35	G/A	2.08	0.0260		1 0.92 Z-score
	rs7204324	57308370	0.09	A/T	1.25	0.1990		1
	rs1046446	71407894	0.22	G/A	-0.49	0.6547	0.670	1 0.17 Frequency
rs1137582	71461135	0.47	G/C	-0.61	0.5698		2 0.28 p-value	
NDUFV2 (18p11.31- p11.2)	rs11081459	9097815	0.10	T/C	-1.64	0.1180	0.455	1 0.76 Frequency
	rs1785560	9106080	0.13	T/C	0.42	0.7564		1 0.14 p-value
	rs906807	9107867	0.23	G/A	-0.22	0.8680		1 1.36 Z-score
	rs874250	9109156	0.14	G/A	-1.07	0.3279		1
	rs11081463	9142875	0.10	T/A	-1.18	0.2769		1
	rs11876821	9176684	0.08	G/T	-0.41	0.7041		1

Shown is summary information for htSNPs genotyped in the regions of 10 candidate genes. Summary information includes: minor allele frequency (MAF) in the CBDB chromosomal location, and SNP alleles (major/minor). Observed z-scores for transmission of the minor allele to affected offspring in 175 Caucasian families are reported for individual markers and the most significant combined haplotype as determined by FBAT (see Example 1). Reported p-values are simulated from the experimental data 1000 iterations and reported for individual SNPs, the global haplotypes, and the most significant haplotype. Haplotypes are reported as 1 (major allele) and 2 (minor allele) each included SNP and significantly associated (p < 0.05) SNPs or haplotypes are shown in bold.

TABLE 3 - SNPs Genotyped in Four Clinical Samples and FBAT Statistical Results

Marker	SNP rs#	Chr. 7 position	Alleles	CBDB Sibling Study (296 Families)			NIMHG1-C (71 families)			NIMHG1-AA (51 families)			German Case-Control Study (501 cases/626 controls)			
				MAF	Minor Allele Direction of Association	empirical p-value	MAF	Minor Allele Direction of Association	empirical p-value	MAF	Minor Allele Direction of Association	empirical p-value	Control MAF	Genotype	OR (95% CI)	p-value
M1	rs1805120	150280464	C/T	0.26	Negative	0.33	0.18	Negative	0.09	0.19	Negative	0.39	0.24	2/2	0.68 (0.39, 1.18)	0.17
M2	novel	150288028	G/A	0.23	Positive	0.5	0.19	Positive	0.32	0.069	Negative	0.38				
M3	rs12666582	150288134	A/C	0.35	Negative	0.26	0.3	Negative	0.76	0.48	Negative	0.1	0.31	2/2	1.23 (0.82, 1.83)	0.31
M4	rs6947240	150288142	G/A	0.23	Positive	0.42	0.2	Positive	0.4	0.1	Negative	0.57	0.25	2/2	0.67 (0.38, 1.15)	0.15
M5	novel	150288178	InsT	0.35	Negative	0.26	0.3	Negative	0.53	0.47	Negative	0.1	0.32	2/2	1.21 (0.81, 1.79)	0.35
M6	novel	150288335	T/C	0.03	Negative	0.11	0.058	Negative	0.75	0.16	Null**	0.87	0.034	2 carrier	0.89 (0.53, 1.48)	0.65
M7	novel	150288741	G/A	0.26	Negative	0.22	0.2	Negative	0.076	0.2	Negative	0.17	---	---	---	---
M8	rs3823687	150288823	T/G	0.26	Negative	0.42	0.19	Negative	0.13	0.2	Negative	0.15	---	---	---	---
M9	rs3807376	150289132	A/G	0.26	Negative	0.15	0.2	Negative	0.21	0.21	Negative	0.3	---	---	---	---
M10	novel	150289611	G/A	0.26	Negative	0.34	0.2	Negative	0.12	0.25	Negative	0.45	0.21	2/2	0.93 (0.51, 1.67)	0.8
M11	novel	150289715	T/C	0.02	Negative	0.42	0.009	---	---	0	*	*	0.01	2 carrier	1.39 (0.62, 3.11)	0.43
M12	novel	150289729	G/A	0.04	Negative	0.43	0.019	Null**	0.82	0.008	Positive	0.01	0.038	2 carrier	1.30 (0.84, 2.01)	0.24
M13	rs3778874	150289984	G/A	0.26	Negative	0.19	0.2	Negative	0.042	0.26	Negative	0.23	0.2	2/2	1.08 (0.58, 2.01)	0.87

Marker	SNP rs#	Chr. 7 position	Alleles	CBDB Sibling Study (296 Families)			NIMHG-C (71 families)			NIMHG-AA (51 families)			German Case-Control Study (501 cases/626 controls)			
				MAF	Minor Allele Direction of Association	empirical p-value	MAF	Minor Allele Direction of Association	empirical p-value	MAF	Minor Allele Direction of Association	empirical p-value	Control MAF	Genotype	OR (95% CI)	p-value
M14	rs2968857	150293263	T/C	0.38	Positive	0.68	0.42	Positive	0.65	0.14	Null**	0.88	***	***	2.01	***
M15	rs10236214	150299003	T/C	0.33	Negative	0.37	0.33	Positive	0.59	0.16	Positive	0.77	***	***	***	***
M16	rs11763131	150299115	G/A	0.31	Positive	0.004	0.31	Positive	0.38	0.11	Null**	0.82	0.27	2/2	1.72 (1.06, 2.80)	0.029
M17	rs3807374	150299279	A/C	0.3	Positive	0.001	0.31	Positive	0.65	0.18	Positive	0.21	0.54	2/2	1.50 (0.94, 2.34)	0.093
M18	rs4725984	150299447	C/T	0.32	Negative	0.28	0.3	Positive	0.72	0.17	Positive	0.75	***	***	***	***
M19	rs3807373	150299654	C/T	0.3	Positive	0.0065	0.29	Positive	0.47	0.1	Null**	0.88	0.27	2/2	1.49 (0.94, 2.38)	0.091
M20	rs3807372	150299990	C/T	0.14	Negative	0.057	0.17	Positive	0.5	0.32	Negative	0.16	0.13	2/2	2.99 (1.26, 7.11)	0.013
M21	novel	150300253	C/T	0.02	Positive	0.56	0.02	Negative	0.062	0.017	Negative	0.24	***	***	***	***
M22	rs3778873	150300800	G/C	0.21	Negative	0.61	0.14	Negative	0.068	0.25	Negative	0.67	***	***	***	***
M23	novel	150300839	G/C	0.03	Negative	0.34	0.057	Negative	0.75	0.066	Positive	0.43	***	***	***	***
M24	rs3778872	150300909	G/C	0.21	Negative	0.17	0.13	Negative	0.065	0.26	Negative	0.66	***	***	***	***
M25	novel	150301321	C/T	0.01	Positive	0.26	0.014	Positive	0.24	0	***	***	0.013	2 carrier	0.99 (0.45, 2.18)	0.98
M26	novel	150301660	C/T	0.02	Negative	0.11	0.057	Negative	0.5	0.008	***	***	***	***	***	***
M27	rs3778871	150301705	T/G	0.15	Negative	0.36	0.089	Negative	0.033	0.32	Null**	0.91	***	***	***	***
M28	rs3778870	150301925	C/T	0.05	Negative	0.94	***	***	***	***	***	***	***	***	***	***
M29	rs3778869	150302031	C/T	0.14	Negative	0.26	***	***	***	***	***	***	***	***	***	***
M30	rs3800779	150302147	G/T	0.35	Positive	0.0075	0.33	Null**	0.93	0.17	Negative	0.73	0.31	2/2	1.58 (1.05, 2.38)	0.03
M31	rs748693	150302370	A/G	0.35	Positive	0.019	0.33	Null**	0.93	0.17	Positive	0.72	0.36	2/2	1.26 (0.85, 1.85)	0.25

Marker	SNP rs#	Chr. 7 position	Alleles	CBDB Sibling Study (296 Families)			NIMHG-I-C (71 families)			NIMHG-I-AA (51 families)			German Case-Control Study (501 cases/626 controls)				
				Minor Allele Direction of Association	MAF	empirical p-value	MAF	Minor Allele Direction of Association	empirical p-value	MAF	Minor Allele Direction of Association	MAF	Control MAF	Genotype	OR (95% CI)	P-value	
M32	rs3807370	150304247	C/T	Negative	0.34	0.58	Positive	0.34	0.48	0.073	Negative	0.74	---	---	---	---	---
M33	rs1036145	150305363	G/A	Positive	0.35	0.0035	Positive	0.32	0.87	0.17	Positive	0.17	0.32	2/2	1.34 (0.90, 2.01)	0.15	
M34	rs11771808	150306801	G/A	Negative	0.34	0.7	Positive	0.36	0.59	0.15	Negative	0.82	---	---	---	---	---
M35	rs2373885	150309013	A/G	Negative	0.27	0.37	Negative	0.34	0.37	0.47	Negative	0.58	---	---	---	---	---
M36	rs4496877	150311439	G/T	Negative	0.34	0.49	Positive	0.37	0.3	0.063	Null**	0.87	---	---	---	---	---
M37	rs10277327	150314277	A/G	Negative	0.26	0.27	Negative	0.25	0.3	0.44	Negative	0.84	---	---	---	---	---
M38	rs1800783	150320330	T/A	Negative	0.35	0.48	Positive	0.4	0.22	0.46	Negative	0.81	---	---	---	---	---
M39	rs1007311	150326941	A/G	Positive	0.48	0.36	Positive	0.45	0.95	0.52	Negative	0.5	---	---	---	---	---
M40	rs2566514	150335183	C/G	Negative	0.24	0.33	Negative	0.28	0.65	0.46	Negative	0.77	---	---	---	---	---
M41	rs743507	150338421	A/G	Positive	0.26	0.96	Positive	0.26	0.68	0.22	Negative	0.52	---	---	---	---	---
M42	rs891512	150339022	G/A	Positive	0.22	0.96	Positive	0.21	0.72	0.034	Negative	0.25	---	---	---	---	---
M43	rs1077872	150345679	C/G	Positive	0.34	0.68	Positive	0.34	---	---	---	---	---	---	---	---	---

* no transmissions were observed
 ** observed transmission exactly equal to expected value
 *** not genotyped

SNPs denoted novel were identified by resequencing DNA from 48 schizophrenia patients (see Example 1). The type of SNP (major allele listed first) and MAF (minor frequency) is given for each cohort. For SNPs genotyped in the family-based cohorts, the Z-score of transmission for the minor allele to affected offspring is given follow the simulated p-value (Max = 100,000 iterations). For SNPs genotyped in the German cohort, the odds ratio for the minor allele in cases vs. controls as well as corresponding Chi-square p-value is given followed by the multinomial linear regression coefficient (β) for case vs. control 2/2 individuals and its simulated p-value iterations). $p < 0.15$ are italicized; $p < 0.05$ are bold.

TABLE 4 — COGNITIVE PERFORMANCE FACTOR SUMMARY TABLE

Factor	Included Tests
<p>Factor 1: Verbal Memory</p>	<p>WMS-R Logical Memory — I and II WMS-R Verbal Paired Associates — I Hard and II Total; California Verbal Learning Tests 1-5</p>
<p>Factor 2: Nback</p>	<p>Nback 1, 2, and 3</p>
<p>Factor 3: Visual Memory</p>	<p>WMS-R Visual Reproduction I and II; Benton Line Orientation</p>
<p>Factor 4: Processing Speed</p>	<p>WAIS IQ; Trailmaking Test Parts A and B; Letter Fluency; Category Fluency</p>
<p>Factor 5: Card Sorting</p>	<p>Wisconsin Card Sorting Test — Preservative Error and Categories Completed</p>
<p>Factor 6: Attention</p>	<p>Nback 0; Gordon Distractibility; Gordon Vigilance</p>
<p>Factor 7: Digit Span</p>	<p>WMSR Digit Span — Forward and Backward</p>

TABLE 5 — Demographic and Genetic Data of Normal Volunteers Included in VBM Analysis

	M30	M31	M33	M40
n	139	141	141	140
Gender (M:F)	67:72	68:73	69:72	68:72
Age (M±SD, ys)	31.9±9.1	32.4±9.4	32.4±9.4	32.1±9.4
IQ	107.1±8.7	107.6±9.1	107.6±9.0	107.6±9.0
# copies of risk allele (HNR>H>HR)	63/59/17	66/59/16	64/61/16	71/59/10

HNR = Homozygous for the Non-Risk Allele; H=Heterozygous for the Risk Allele; HR=Homozygous for the Risk Allele

TABLE 6 — Demographic and Genetic Data of Normal Volunteers Included in fMRI

	M30	M31	M33	M40
n	79	77	75	76
Gender (M:F)	34:45	34:43	31:44	34:42
Age (M±SD, ys)	30.9±9.7	31.0±9.7	30.9±9.6	30.8±9.5
IQ	107.7±9.7	107.8±19.8	107.6±9.9	107.7±9.8
# copies of risk allele (HNR>H>HR)	28/37/14	30/35/12	27/37/11	39/35/2

HNR = Homozygous for the Non-Risk Allele; H=Heterozygous for the Risk Allele; HR=Homozygous for the Risk Allele

TABLE 7

Optimized voxel based morphometry (VBM) data for the KCNH2 SNPs. Brain hippocampal areas that show a significant linear decrease in grey matter volume (GM) from subjects homozygous for the risk allele (HR) to heterozygote carriers (H) to non carriers (HNR). VBM analysis was performed using an analysis of covariance model with GM, age, and gender as covariates of no interest.

	Z	x	y	Z
M30 (n=63,59,17)				
	3.34*,†	26	-12	-22
	3.02*	-27	-21	-17
	2.84*	33	-26	-15
	2.79*	-23	-10	-24
M31 (n=66,59,16)	Z	x	y	Z
	3.16*,†	26	-9	-24
	2.68*	28	-37	-2
M33 (n=64,61,16)	Z	x	y	Z
	3.08§	26	-9	-24

All the results are thresholded with a $p < 0.005$ and small-volume corrected for the hippocampus; *significant with a threshold of $pFDR=0.05$; § $pFDR=0.07$ and $pFWE=0.06$; †, significant with a threshold of $pFWE=0.05$. Peak cluster coordinates are expressed in mm in MNI system. n=sample size of HNR, H, HR, respectively.

TABLE 8

Genotyped based differential engagement of the hippocampus during incidental encoding of declarative memory task for the KCNH2 SNPs. This data shows a linear increase of activation in homozygous for the risk allele (HR) relative to heterozygote carriers (H) and non carriers (HNR).

M30 (n=28,37,14)	Z	x	y	z
	3.48*	-34	-25	-15
M31 (n=30,35,12)	Z	x	y	z
	3.11†	-34	-22	-15
M33 (n=27,37,11)	Z	x	y	z
	3.24*	-34	-22	-15

All the results are thresholded with a $p < 0.001$ and small-volume corrected for the hippocampus;

*significant with a threshold of $pFWE=0.05$; †, $pFWE=0.06$. Peak cluster coordinates are expressed in mm in MNI system. n=sample size of HNR, H, HR, respectively.

TABLE 9 — Demographic and Sample Details of Brain Collection Chart

(A) Demographic information for real-time q-PCR analysis of post-mortem human DLPFC. (B) Demographic information of samples used for real-time q-PCR analysis of human Hippocampus. Summary statistics of critical demographic variables are shown (± 1 SD) by patient group. Differences between groups were assessed using either ANOVA followed by Least-Significant Difference post-hoc analysis (p-values denoted with '*') or using Fisher's Exact test (p-values denoted with '@').

	Control (n = 69)	Schizophrenia (n = 31)	p-value
A			
Age	40.6 \pm 15.27	45.1 \pm 14.89	0.175*
Gender (M/F)	50 / 19	20 / 11	0.482@
Race (C / AA)	22 / 47	14 / 17	0.261@
PMI (hours)	31.2 \pm 13.75	35.8 \pm 14.94	0.134*
Brain pH	6.62 \pm 0.24	6.49 \pm 0.22	0.011*
*ANOVA Tested			
@Fisher's exact test			
B			
Age	41.7 \pm 14.9	46.4 \pm 15.5	0.178*
Gender (M/F)	44 / 15	19 / 10	0.453@
Race (C / AA)	19 / 40	11 / 18	0.637@
PMI	31.5 \pm 15.0	36.7 \pm 15.1	0.129*
RIN_HIP	5.8 \pm 1.08	5.3 \pm 0.94	0.038*
pH	6.63 \pm 0.25	6.48 \pm 0.33	0.023*
*ANOVA Tested			
@Fisher's exact test			

TABLE 10 - NOS3 and KCNH2 mRNA Expression Statistics in Human Brain

(A) Expression within hippocampus and DLPFC of KCNH2-1A (ABI assay: Hs00165120_m1) and NOS3 (ABI assay: Hs_00167166_m1) was normalized to the geometric mean of 3 housekeeping genes (B2M, GUSB, PBGD) and then tested for potential effects of several demographic variables using backward stepwise linear regression. Tested variables included: RNA Integrity Number (RIN), agonal state, post-mortem pH, post-mortem interval (PMI), and age. Isoform 3.1 (Custom ABI assay) was only measured in hippocampus due to the exhaustion of DLPFC total RNA. Variables found to have significant main effects in the final regression model were included as covariates within an ANCOVA model testing for the main effect of diagnosis (Dx) on expression. (B) After ANCOVA testing for case-control differences, the effects of race and M33 genotype were included into the ANCOVA model in order to test for the association between M33 and gene expression. All analyses were performed using SPSS 12.0.

A - Case Control Expression Differences

Hippocampus

Gene	Coefficient	Reverse Stepwise Linear Regression β	p-value	Covariate	ANCOVA Model p-value
KCNH2-1A	PMI	-0.298	0.052	Dx	0.102
	pH	-0.439	0.041		0.003
NOS3	None			Dx	0.061
					0.076
Isoform 3.1				Dx	0.012

DLPFC

Gene	Reverse Stepwise Linear Regression		ANCOVA Model	
	Coefficient	β	Covariate	p-value
KCNH2:1A	Age	-0.843	Age	0.001
	Agonal State	0.346	Agonal State	0.725
			Dx	0.016
NOS3	pH	-0.598		0.154
			Dx	0.032

B – Gene Expression vs. Genotype and Race

Hippocampus

Gene	ANCOVA Model	
	Coefficient	p-value
KCNH2-1A	PMI	0.336
	Dx	0.006
	Race	0.158
	M33	0.516
NOS3	pH	0.051
	Dx	0.084
	Race	0.009
	M33	0.9
Isoform 3.1	Dx	0.037
	Race	0.309
	M33	0.007

DLPFC

Gene	ANCOVA Model	
	Coefficient	p-value
KCNH2-1A	Age	0.001
	Agonal State	0.392
	Dx	0.009
	Race	0.533
	M33	0.328
NOS3	pH	0.199
	Dx	0.074
	Race	0.089
	M33	0.557

TABLE 11

Quantitative RT-PCR was used to measure the relative quantity of Isoform 3.1 as compared to KCN2-1A expression in 8 total RNA isolates. All samples were DNase treated prior to cDNA synthesis to prevent the effects of DNA contamination on the samples. All Ct values were determined at the same threshold value and all sample expression values were measured in triplicate.

Quantitative RT-PCR

DNase Treated Sample	Detector	Average CT	CT Stdev	Fold difference vs. Full length (\pm SEM)
13 Tissue Pool	KCN2-1A	26.10	0.02	
	Isoform 3.1	31.85	0.13	-53.6 \pm 3.3
Caudate Nucleus	KCN2-full	27.14	0.19	
	KCN2-3.1all	29.76	0.11	-6.14 \pm 0.8
Hippocampus	KCN2-full	24.46	0.09	
	KCN2-3.1all	32.02	0.15	-188.7 \pm 19.7
PFC	KCN2-full	27.83	0.44	
	KCN2-3.1all	29.75	0.06	-3.8 \pm 0.9
Skeletal Muscle	KCN2-full	31.69	0.20	
	KCN2-3.1all	-	-	-
Heart	KCN2-full	20.94	0.03	
	KCN2-3.1all	31.28	0.14	-1297.2 \pm 91.6
Placenta	KCN2-full	28.30	0.10	
	KCN2-3.1all	35.09	0.36	-110.5 \pm 24.4
Testicle	KCN2-full	21.93	0.07	
	KCN2-3.1all	30.51	0.09	-382.1 \pm 25.1

Discussion

The findings described here provide compelling evidence for a novel genetic association with risk for schizophrenia at 7q36.1, in the region of KCNH2, which was observed in four independent genetic cohorts of European and African American ancestry, including three family-based and one case-control dataset. Our results satisfy a proposed "3 -cohort replication rule" for preliminary evidence that a gene conveys risk to a genetically complex illness (K. E. Lohmueller et al. 2003 Nat Genet 33:177). All samples showed association to SNPs within a small segment of intron 2 of KCNH2. Similar circumstances have been reported with other genes that appear to be compelling candidate susceptibility genes for schizophrenia (P. J. Harrison and D. R. Weinberger 2005 Mol Psychiatry 10:40; P. J. Harrison and A. J. Law 2006 Biol Psychiatry 60:132; D. J. Porteous et al. 2006 Biol Psychiatry 60:123; N. M. Williams et al. 2005 Schizophr Bull 31:800). Interestingly, the resequencing in 48 schizophrenia patients found a novel but rare (~1% MAF) SNP, M25. Investigation of these pedigrees found that every proband inherited the M25 minor allele from a heterozygote parent, which may indicate evidence for the contribution of a rare allele/rare variant hypothesis of genetic association at KCNH2. Though risk alleles may vary, affecting a variety of transcriptional and other regulatory domains, they appear to converge on similar downstream molecular changes.

All clinical samples showed association to a small region (<3kb) and much of the genetic risk of the region appears to be sufficiently tagged by M30, M31, and M33. Accordingly, these markers also demonstrated the strongest independent associations with a priori predicted schizophrenia-associated intermediate phenotypes including cognitive impairments, brain volume reductions, brain activity changes, and also with increases in Isoform 3.1 expression, all in healthy unrelated individuals of various genetic backgrounds who carry the risk alleles yet have no history of mental illness. In sum, we show association of genetic variation in this small ~3kb region with clinical phenomena strongly linked to schizophrenia and with selective expression of a specific novel transcript whose start site is only ~11 kb downstream of this risk region. We propose that these results identify a new schizophrenia susceptibility gene and that the mechanism of this association involves anomalous processing of a novel brain selective transcript of KCNH2 that leads to alterations in cortical development and function militating towards the clinical phenomenology of schizophrenia.

The control of membrane repolarization and spike frequency in neurons by KCNH2 isoforms has intriguing implications for cortical function and the pathophysiology of schizophrenia. Cortical information processing is critically dependent on organized neuronal oscillations within cortical circuits (R. T. Canolty et al. 2006 Science 313:1626). For example, neurons in the prefrontal cortex (PFC) exhibit sustained firing of high frequency activity during the delayed period that is thought to be critical for working memory (Y. Wang et al. 2006 Nat Neurosci 9:534). We found that expression of Isoform 3.1 in rat cultured cortical neurons results in a much faster deactivation of the hERG channels, leading to a marked increase in spike frequency and a conversion from adapting to non-adapting firing patterns. This "sustained" firing pattern might be important for cortical information processing underlying higher-order cognitive and memory tasks and suggests a role for Isoform 3.1 in normal human cognitive processing. However, the 2.5-fold increase in Isoform 3.1 relative to KCNH2-1A in schizophrenic brain might serve to abnormally increase neuronal excitability and disrupt normal oscillatory rhythms. "Dysrhythmia" of such tonic firing would be expected to result in anomalous modulation of microcircuits resulting in poor regulation of signal-to-noise (G. Winterer and D. R. Weinberger 2004 Trends Neurosci 27:683). Thus, the over-expression of Isoform 3.1 within the schizophrenia brain and its functional effects on neuronal firing is congruous with current hypotheses of a disease related alteration in cortical signal-to-noise processing within schizophrenia (G. Winterer and D. R. Weinberger 2004 Trends Neurosci 27:683).

The discovery of Isoform 3.1 has compelling therapeutic implications. The unique structure of isoform 3.1, its role in non-adaptive firing, its low expression in heart, and elevated expression in brains of schizophrenia patients and genetic risk carriers, lead to the conclusion that selective inhibition of Isoform 3.1 but not KCNH2 would correct the disorganized firing in schizophrenia brain without eliciting cardiac side effects. Thus, Isoform 3.1 is a promising novel therapeutic target for the treatment of schizophrenia.

In conclusion, we have identified and characterized a novel, primate specific brain potassium channel, localized a novel genetic association with risk for schizophrenia to within a small region of KCNH2 (7q36.1), and have confirmed this finding in four independent clinical-based samples. Three SNPs in particular (M30, M31, and M33) showed evidence of association in all tested populations. We report the observation that polymorphisms in a specific 3 Kb region of KCNH2 consistently occur with higher frequency within schizophrenia patients and that,

regardless of allelic heterogeneity, that healthy control carriers of risk SNPs within this region demonstrate a schizophrenia-like shift in cognitive traits (processing speed and visual memory) and in hippocampal volume and physiologic engagement during memory processing. The mechanism of these associations appears to be related to genetic regulation of a novel Isoform 3.1 of KCNH2, which has unique electrophysiological properties, primate and brain specificity, and expressional dependence on risk genotypes. Our results represent a first step towards discovery of a novel therapeutic target for schizophrenia and related conditions.

Example 1

Genetic Association Cohorts

10 The CBDB Sibling Study consists of subjects collected as part of an ongoing investigation into neurobiological traits related to genetic risk for schizophrenia (M. F. Egan et al. 2000 Am J Psychiatry 157:1309). Participants were between the ages of 18 and 60 and only Caucasians of self-identified European decent were analyzed in this study to reduce genetic heterogeneity. DNA was available from 252 probands, 311 of their siblings (mostly unaffected), 15 268 parents, and 383 unrelated healthy controls. Further information about the collection, screening, and exclusion criteria has been previously described (M. F. Egan et al. 2000 Am J Psychiatry 157:1309). Two additional family-based cohorts were included from the National Institutes of Mental Health Genetics Initiative on schizophrenia (NIMHGI) (S. V. Faraone et al. 1998 Am J Med Genet 81:290). The cohorts consisted of 51 African American families (GI-AA) 20 and 71 Caucasian families (GI-C). Only nuclear families were included with DNA available from at least one sibling with a diagnosis of schizophrenia or schizoaffective disorder, and at least one parent. A fourth cohort was collected from the Munich area in Germany consisting of 501 schizophrenia patients and 626 unrelated healthy controls (K. K. Nicodemus et al. 2007 Hum Genet 120:889-906). Further details regarding the collection, evaluation, and exclusion 25 criteria of the German cohort have been described (K. K. Nicodemus et al. 2007 Hum Genet 120:889-906).

Candidate gene screening

Ten candidate genes were selected from those previously reported as differentially expressed between schizophrenia patients and healthy controls (S. Prabakaran et al. 2004 Mol Psychiatry 9:684). htSNPs tagging haplotype blocks overlapping with part or all of the gene of 30 interest and which had predesigned TaqMan SNP assays (Applied Biosystems, Inc) were

genotyped on a screening sample of 175 families (698 Caucasian subjects) of the CBDB Sibling Study. All SNPs were genotyped using 5'-exonuclease TaqMan SNP assays as previously described (K. J. Livak 1999 Genet Anal 14:143). Single marker and whole haplotype association testing was performed using family-based association test (FBAT) (S. Horvath et al. 2001 Eur J Hum Genet 9:301) and evaluated at $\alpha = 0.05$.

KCNH2 genotyping and re-sequencing

Genotypes were obtained for a total of 43 SNPs within a 65.2 Kb region of KCNH2 and NOS3 (chr7: 150280464 - 150345679). A total of 13.5Kb were re-sequenced in 48 schizophrenia patients by Polymorphic Inc. (Alameda, California) and also in house, including 10.4Kb flanking rs 1036145 (chr7:150,299,575-150,309,948) and 3.1Kb upstream of exon 3 (chr7: 150,287,750-150,290) with high cross-species conservation in the UCSC genomes database (genome.ucsc.edu). Re-sequencing found 11 novel SNPs. SNPs were genotyped in all four cohorts using TaqMan SNP assays as before. Finally, SNP M33 was genotyped in 116 individuals (34 schizophrenia patients and 82 healthy controls) of the CBDB/NIMH Brain Collection (see below) for whom total RNA was also extracted from the DLPFC and hippocampus for QPCR (see below).

Cognitive Testing

Depending on the cognitive test, data were available for between 230 and 330 of the individuals included in the CBDB family-based cohort. The tests included tasks aimed at assessing a wide range of features shown to be significantly affected in patients with schizophrenia including IQ, visual and learning memory, attention span, etc. (M. F. Egan et al. 2001 Biol Psychiatry 50:98). Factor analysis of 24 performance scores from nine neuropsychological tests shown to be associated with increased genetic risk for schizophrenia (M. F. Egan et al. 2004 Proc Natl Acad Sci USA 101:12604; T. E. Goldberg et al. 2006 Neuropsychopharmacology 31:2022; J. H. Callicott et al. 2005 Proc Natl Acad Sci U S A 102:8627) identified seven factors that explained 68% of the variance on these measures (Genderson, M.R. et al. Schiz Res (in press)). These 7 factors were used to assess genotype effects on cognitive task performance (see below) and are labeled as follows: Verbal Memory, Nback, Visual Memory, Processing Speed/IQ, Card Sorting, Attention, and Digit Span. Further information regarding the test measures included in each factor is provided in Table 4.

Statistical genetics analysis

Hardy- Weinberg Equilibrium (HWE) was tested using F-exact tests Analyses of single SNPs were conducted using the Family Based Association Test (FBAT) in nuclear families (S. Horvath et al. 2001 Eur J Hum Genet 9:301) and logistic regression in comparisons of unrelated cases and controls using STATA, version 8.2 (College Park, TX, USA). Haplotype analysis was performed using FBAT for families and the R package haplo. stats (D. J. Schaid et al. 2002 Am J Hum Genet 70:425) in unrelated case-control tests. All tests were run with simulation and represent p-values following 1000 iterations. Cognitive intermediate phenotypes were tested in control subjects only using linear regression and were based on the seven normalized derived factor scores.

10 **Quantitative real-time PCR (rt-PCR)**

Human brain tissue was collected as part of the CBDB/NIMH Brain Collection. Details about the collection, screening, and dissection processes have been described (B. K. Lipska et al. 2006 Hum Mol Genet 15:1245). Predesigned TaqMan MGB Assays (Applied Biosystems, Inc.) for the major transcripts of NOS3 (Hs001 67166_ml) and KCNH2 (KCNH2-1A; Hs001 65120_ml) along with 3 housekeeping genes (GUSB, B2M, and PBGD) were used to measure expression in 100 ng of cDNA equivalents isolated from the DLPFC (69 controls; 31 schizophrenia) or the hippocampus (59 controls; 29 schizophrenia) of HBS subjects. A custom TaqMan MGB assay was designed for Isoform 3.1 targeting the 5'-UTR region of the gene (F-primer: 5'- CATGAGAAAAGAATTATATACATTATGTGTATCACAACATC, SEQ ID NO: 18; R-Primer: 5'-GCCTCATTTTTTCCATCTATAAAATGGGAA, SEQ ID NO: 19; Probe: 5'-ACTGTGTACCCCATAAATATGTA, SEQ ID NO: 20). A pool of cDNA from 16 individuals (randomly selected) was used to create an 8 -point, 1A serial dilution standard curve. All QPCR reactions were performed in triplicate.

Expression levels of NOS3, KCNH2-1A, and Isoform 3.1 assays were normalized to the geometric mean of GUSB (Hs99999908_ml), B2M (Hs99999907_ml), and PBGD (Hs00609297_ml) (J. Vandesompele et al. 2002 Genome Biol 3:RESEARCH0034). Data was tested for normality using Shapiro-Wilk W test. NOS3 qPCR data were non-normally distributed and were consequently log₂ transformed while KCNH2-1A and Isoform 3.1 qPCR data were normally distributed. All normalized expression values were tested for the main effects of various demographic and sample factors using reverse-stepwise linear regression. Tested factors included: RNA integrity number (RIN), postmortem brain pH, postmortem interval (PMI), age at

death, agonal state, manner of death. Variables with significant regression model coefficients were included in the final ANCOVA model for diagnosis (evaluated at $\alpha = 0.05$) (see Table 10). The effects of genotype and race were tested using a second ANCOVA model including race and M33.

5 **Imaging Methods**

Subjects: All the subjects who participated in the imaging aspects of this study were Caucasian (see Table 5 and 6 for demographics). They were cleared of neurological, psychiatric, or substance abuse problems and had no history of other medical problems or medical treatment relevant to cerebral metabolism and blood flow. There was no significant difference in age, sex, IQ score and education distribution across the genotype groups. All available scans of subjects with genotypes of interest were used in these analyses.

Structural image processing: Three-dimensional structural MRI scans were acquired on a 1.5-T GE scanner using a T1-weighted SPGR sequence (TR/TE/NEX 24/5/1, flip angle 45°, matrix size 256 x 256, FOV 24 x 24 cm) with 124 sagittal slices (0.94 x 0.94 x 1.5 mm resolution) and pre-processed as previously described (L. Pezawas et al. 2004 J Neurosci 24:10099) followed by an optimized VBM protocol using customized templates (J. Ashburner and K. J. Friston 2000 Neuroimage 11:805; C. D. Good et al. 2001 Neuroimage 14:21). Resulting gray matter images were smoothed with a 12-mm Gaussian kernel prior to statistics. Analysis was performed on Linux workstations (RedHat) using MATLAB 7.02 (Math Works) within the General Linear Model in SPM2 (K. J. Friston et al. 1994 Hum Brain Mapp 2:189-210) (on the world-wide-web at fil.ion.ucl.ac.uk/spm). The specification of a design matrix identical to the one used in this study was described in detail elsewhere (L. Pezawas et al. 2004 J Neurosci 24:10099). Briefly, effects of the KCNH2 SNPs on gray matter volume were examined by using an analysis of covariance model including the following covariates of no interest: total gray matter volume, orthogonalized first- and second-order polynomials of age, and gender. A hypothesis-driven region of interest (ROI) approach was used to investigate genotype related alterations in structure within the hippocampal formation. Gray-matter volume changes were assessed statistically using one-tailed t-contrasts after small-volume correction for the hippocampus. False discovery rate estimations were used to correct for multiple comparisons, and a probability of 0.05 was considered to be significant. Anatomical hippocampal ROIs were created using the WFU Pick atlas (on the world-wide-web at fmri.wfubmc.edu) software. We

used the volume of interest (VOI) SPM2 toolbox to extract the adjusted gray matter volumetric data from the peak of most significant hippocampal clusters.

Functional task: During fMRI scanning, subjects performed a declarative memory task previously described to robustly engage the hippocampal formation (A. R. Hariri et al. 2003 J Neurosci 23:6690). The paradigm consisted of the encoding and subsequent retrieval of novel, complex scenes. Four encoding blocks were followed by four retrieval blocks in an interleaved design with a passive cross-hair fixation rest condition, resulting in a total of 17 blocks. During encoding blocks, subjects viewed six images, presented serially for 3 sec each, and determined whether each image represented an "indoor" or "outdoor" scene. All scenes were of neutral emotional valence and were derived from a standardized set (P. Lang, B.M., B. Cuthbert, International Affective Picture System (IAPS): technical manual and affective ratings, (NIMH Center for the Study of Emotion and Attention, University of Florida, Gainesville, FL, 1997)).

During subsequent retrieval blocks, subjects again viewed six images, presented serially for 3 sec each, and determined whether each scene was "new" or "old." In each retrieval block, half the scenes were "old" (*i.e.*, presented during the encoding blocks) and half were "new" (*i.e.*, not presented during the encoding blocks). The order of presentation of the images was counterbalanced across the subjects. During scanning, all subjects responded by button presses with their dominant hand, allowing for the determination of accuracy and reaction time.

Functional image processing: BOLD fMRI was performed on a GE Signa 3 -T scanner using gradient echo EPI (24 axial slices, 4 mm thickness, 1 mm gap, TR/TE = 2000/28 ms, FOV = 24 cm, matrix = 64 x 64). Images were processed as described previously (A. R. Hariri et al. 2003 J Neurosci 23:6690) using SPM99 (on the world-wide-web at fil.ion.ucl.ac.uk/spm). Briefly, images were realigned to the first image of the scan run, spatially normalized into a standard stereotactic space (MNI template) using an affine and nonlinear (4 x 5 x 4 basis-functions) transformation, smoothed with a 8-mm FWHM Gaussian filter and ratio normalized to the whole-brain global mean. In the first level analyses, linear contrasts were computed producing t statistical parameter maps at each voxel for encoding, and retrieval assuming the rest condition as a baseline. These statistical images were entered in a second-level random effects model (ANOVA) to identify significant activations within and between genotype groups. A hypothesis-driven region of interest (ROI) approach was used to investigate genotype related alterations in functional activity within the hippocampal formation ($P < 0.05$, corrected for the

small volume and the multiple comparisons, FWE within the hippocampal VOI). Mean percent BOLD signal change was extracted with the MarsBar toolbox within SPM2 (M. Brett et al. in 8th International Conference on Functional Mapping of the Human Brain. (Neuroimage, 16, abstract 497, Sendai, Japan, 2002)) in significant clusters.

5 Behavioral results: There were no behavioral differences between the three groups in the accuracy and reaction times for each of the SNPs during either the encoding or the retrieval part of the task.

Isoform 3.1 Cloning and Expression

10 5'-RACE and Isoform 3.1 cloning: 5'-RACE was performed using a 5'-RACE System (v2.0) as recommended (Invitrogen). Template cDNA was made from commercially available total RNA from 10 human cell lines and tissues (Stratagene). Reverse primers in exon 5 (5'-TGTGGGTTTCGCTCCTTTATC) (SEQ ID NO: 21) were used as the first gene specific primer followed by primers in Exon 4 in the first PCR (5'-CATGGCCTCGATGTCGTC) (SEQ ID NO: 22). Nested PCR was performed using primers in Exon 3 (5'-ATGATGACAGCCCCATCCT)
15 (SEQ ID NO: 23). 3 products were gel purified, cloned, and sequenced corresponding to hERG-1A (NM_000238), BCOO1 914, and Isoform 3.1. Products were reproduced using total RNA isolated and pooled from the prefrontal cortex of 10 schizophrenia control patients (B. K. Lipska et al. 2006 Hum Mol Genet 15:1245).

To determine the 3'-end of Isoform 3.1, forward primers in the unique region of Isoform
20 3.1 (5'-CATACGGGGAGGCAGAAGT) (SEQ ID NO: 24) were used to produce PCR products spanning to exons 3 through 15. All products were confirmed by cloning and sequencing.

Cloning and Transfection: Novel KCNH2 isoform3.1 cDNA was cloned by long PCR with specific primers (3.1F 5'-CATACGGGGAGGCAGAAGT, SEQ ID NO: 25; ExI 5-R
25 CTTCTTGGGGAAGCTCTGG, SEQ ID NO: 26) and high fidelity DNA polymerase in the vector pZero-Blunt (Invitrogen), and then subcloned into the vector pCDNA3 (Invitrogen). Human KCNH2 cDNA in pCDNA3 is a gift from Dr. Gail A. Robertson. Isoform 3.1 cDNA, with deletion of exons 1 and 2 and addition of a Kozak consensus sequence, was generated by long PCR with high fidelity DNA polymerase Pfx (Invitrogen), and cloned into pCDNA3. HEK293 cells were transfected with the KCNH2, Isoform 3.1, and pCDNA3 vector,
30 respectively. The transfected cells were lysed with 250 ul of T-PER protein extraction reagent (Pierce Chemical) with 1% proteinase inhibitor cocktail (Sigma) and phosphatase inhibitor

(Sigma). Protein concentrations of homogenates were measured by the BCA protein assay reagent kit (Pierce Chemical, Rockford, IL). All samples were diluted with isolation solution to a specific concentration needed and denatured at 95°C for 5 min in protein sample loading buffer (Invitrogen).

5 Cortex protein extraction: Human dorsolateral prefrontal cortex and hippocampal tissues were homogenized with tissue lysis buffer (Pierce Chemical). Protein concentrations of homogenates were measured by the BCA protein assay reagent kit (Pierce Chemical, Rockford, IL).

Immunoprecipitation: Two μ g of anti-HERG (N-20) antibody (Santa Cruz
10 Biotechnology, Inc) was mixed with 500 μ g of human cortical or hippocampal proteins, and incubated at 40°C on a rotator overnight. After the incubation, 20 μ l of gamma protein G beads (Invitrogen) were added, and the mixture was incubated at 4°C on a rotator for 1 hour. The antigen-antibody-protein G bead complex was precipitated by spinning at 10,000x g, 40°C for 30
15 seconds. The pellet was rinsed five times with washing buffer. 50 μ l of IX sample buffer was added to the pellet and heated at 95°C for 10 minutes to release the bound hERG proteins. The free hERG proteins were separated from the beads by spinning at 10,000x g for 5 minutes. The supernatant containing the hERG proteins was loaded to a 4-12% gradient polyacrylamide gel for Western blotting with the same antibody.

Western blot analysis: Protein samples were loaded to 4-12% gradient precast mini gel
20 (Invitrogen) and run at 200V for 3 hours in the Tris NuPAGE running buffer. The proteins in the gel were transferred into nitrocellulose membrane in NuPAGE transfer buffer with 10% methanol at 100 V for 1.5 hours. The membrane was blocked with 5% dry milk in Tris-buffered saline (TBS) with 0.1% Tween-20 (TBS-T) and then was incubated with the polyclonal rabbit anti-hergl antibody (1:1,000 dilution, Chemicon) at 4°C overnight. The blot was rinsed in TBS-
25 T, incubated in a peroxidase-conjugated goat anti-rabbit antibody (1:1,000 dilution, Santa Cruz) for 2 h in 5% normal goat serum in TBS-T and rinsed in TBS-T. The blot was developed in ECL Plus (Amersham) and was exposed to Kodak BioMax film. Films were digitized using a scanner, and the resulting images were analyzed using NIH image J.

Immunohistochemistry: Cortex was dissected from embryonic day 18 rats, dissociated in
30 Ca and Mg free HBSS containing 0.125% tyrosine for 15 min, triturated in DMEM/10% FBS, then plated on poly-D-lysine coated 12-well plates at 5,000 per coverslip. Cells were grown at

37°C, 5% CO₂ and 95% humidity, first in 10% FBS/DMEM, and 1 d later switched to serum-free medium Neurobasal plus B27. After 7 days, the cortical neurons were transfected with KCNH2, Isoform 3.1 in pcDNA3.0 vector or vector only. The transfected cells were then fixed with 4% paraformaldehyde. Slices were double labeled with anti-KCNH2 (hERG) rabbit antibody at 1:200 (Chemicon International). The primary antibodies were applied overnight (4°C), and then tissues were rinsed in HSPBS. The secondary antibody used was Alexa-488 goat-anti-rabbit IgG applied 1 h at room temperature. Tissues were then rinsed again then mounted with antifad mounting medium. Confocal images were obtained using a Zeiss confocal microscope (LSM 510).

10 Electrophysiology

Cell culture and transient transfection: cDNA for KCNH2-1A and Isoform 3.1 were cloned and subcloned as described above. HEK293T cells were plated at 50% confluency in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum (FBS). At the time of plating, HEK293T cells were transfected with the KCNH2-1A and Isoform 3.1 cDNA constructs, using Lipofectamine 2000 (Invitrogen), using manufacturer's protocols. pEGFP-C1 (Clontech) DNA was cotransfected for visualization of transfected cells. Cells transfected for 2-4 days were used for electrophysiology. Cortical neurons dissociated from embryonic day 18 (E18) rats were first nucleofected with Isoform 3.1 and EGFP cDNAs according to instruction provided by Amaxa. The cells were plated in DMEM containing 10% FBS at 1 million cells per 35 mm dish, and then transferred to Neurobasal medium supplemented with B27 (Invitrogen), glutamax I (Invitrogen) on the following day. Neurons grown for 11-15 days were used for electrophysiology.

Cell culture protein extraction: HEK293T cells were lysed 48 h after transfection with KCNH2-1A and Isoform 3.1 DNA constructs in RIPA buffer (50 mM Tris-HCl pH 7.4, 150 mM NaCl, 1% NP-40, 0.5% Sodium deoxycholate, 0.1% SDS) supplemented with a protease inhibitor cocktail (Sigma) and a phosphatase inhibitor cocktail (Calbiochem). Protein concentrations were determined by Bradford assay (Bio-Rad). Proteins were resolved by western blot as described above.

Electrophysiological recordings: Transfected HEK293T cells and cortical neurons on coverglass were transferred to a small cell bath mounted on the stage of an inverted microscope (Diaphot, Nikon), identified as GFP-positive under the fluorescence microscope, and superfused

with extracellular saline solution containing (in mM): 137 Na-Isethionic acid, 4 K-gluconate, 1.8 CaCl₂, 1 MgCl₂, 10 HEPES, 10 glucose (pH 7.4 with NaOH). Standard whole-cell recordings were performed at room temperature. The recording pipette was filled with the internal pipette solution containing (in mM) 130 K-gluconate, 1 MgCl₂, 5 EGTA, 5 MgATP, 10 HEPES and 0.4 Na₂GTP (pH 7.2 with KOH), and had a resistance of 2-4 MΩ. Data were collected by a patch clamp amplifier (Axopatch 200B) and analyzed by pClamp 9.0 software (Molecular Devices, Sunnyvale, California). After the whole-cell configuration was achieved, series resistance were compensated by 80-90% and monitored periodically. Most cultured cortical neurons had series resistance around 7-8 MΩ (range, 4-13 MΩ). A small percentage of cortical neurons with a resting membrane potential of less than -50 mV, or gradual changes in membrane potential, input resistance or action potential amplitudes, were considered as unhealthy and discarded. Voltage protocols given by pClamp were delivered to the HEK293T cells under voltage-clamp conditions through the patch pipette. The decay of the "tail" currents in HEK cells, attributed to channel deactivation, was fitted by a mono-exponential equation $I_{(t)} = I_1[\exp(-t/\tau)] + I_0$, where $I_{(t)}$ is the total current at time t , I_1 is the initial amplitude, τ is the deactivation time constant, and I_0 is the non-inactivating residual current. For cortical neurons, a double-exponential equation was used to generate τ_1 and τ_2 . Current-clamp recordings were also performed. A depolarizing current step was injected to induce multiple action potentials. Both spike frequency (number of spikes/sec depolarization) and degree of adaptation (ratio of 1st to last interspike intervals) were calculated.

20 **Example 2**

Genetic Variation in KCNH2 Associated with Expression in Brain of a unique hERG Isoform Modulates Treatment Response in Patients with Schizophrenia

Context

Antidopaminergic drugs, the only evidence based medical treatments for schizophrenia, bind to HERG1 potassium channels encoded by KCNH2, accounting for their side effect of QT interval prolongation. Recently, risk for schizophrenia has been associated with genetic variation in KCNH2, which also impacts on cognitive function, physiologic engagement of hippocampus and prefrontal cortex during memory, and increased expression of a novel, brain selective isoform KCNH2-3.1 with unique physiologic properties.

30 Objective

To assess the possibility that genetic variation associated with KCNH2 3.1 may impact the therapeutic effects of antipsychotic drugs.

Design, Setting, and Participants

We evaluated the therapeutic effects of antipsychotic drugs in a pharmacogenetic study of patients with schizophrenia in two independent studies: 1) an NIMH inpatient double blind, placebo-controlled, crossover trial (N=54), and 2) the multicenter, nationwide, outpatient CATIE study (N=364). KCNH2 genotype associated with increased expression of KNCH2 3.1 in brain was treated as a predictor variable.

Main Outcome Measure

Treatment associated changes in PANSS symptom ratings were evaluated in both groups. Time to discontinuation of olanzapine was also considered in the CATIE trial.

Results

In the first study, individuals who were homozygote for the KCNH2 3.1 expression-associated T allele of rs1036145 had highly significant improvement of positive symptoms, general psychopathology and thought disturbance, while patients with other genotypes showed little change. In the second larger study, analogous genotypic effects were observed for positive symptoms and total psychopathology ratings. Analysis of medication discontinuation in the CATIE study showed that individuals who are homozygote for the T allele at rs1036145 were 5-times less likely to discontinue medication.

Conclusion

These consistent findings in two markedly different studies of patients with schizophrenia support the hypothesis that hERG1 mediated effects of antipsychotics may not be limited to their potential cardiovascular side effects but also may involve therapeutic actions related to the brain-specific 3.1 isoform of KCNH2.

Schizophrenia is a complex and heterogeneous psychiatric illness with significant interplay between environmental and genetic components. Among the neurotransmitter systems implicated in this illness, dopamine (DA) has been at the forefront of research for almost fifty years. Both experimental and clinical studies support a role for this neurotransmitter in the pathophysiology of schizophrenia.(Snyder SH. Dopamine hypothesis of schizophrenia-Focus on dopamine receptor. *Am. J. Psychiat.* 1976;133(2):197-202; Crow TJ, Johnstone EC, Longden AJ, Owen F. Dopaminergic mechanisms in schizophrenia-Antipsychotic effect and disease process.

Life Sci. 1978;23(6):563; Grace AA. Phasic versus tonic dopamine release and the modulation of dopamine system responsivity- A hypothesis for the etiology of schizophrenia. Neuroscience. 1991;41(1):1-24.) In this context, initial studies on the relationship between the potency of antipsychotic medications to block DA receptors and their clinical efficacy represented a milestone in the formulation of the DA hypothesis of schizophrenia and its treatment. (Creese I, Burt DR, Snyder SH. Dopamine receptor-binding predicts clinical and pharmacological potencies of anti-schizophrenic drugs Science. 1976;192(4238):481-483.) Over the last 60 years, the singular approach for the treatment of schizophrenia is the use of DA receptor (D2 subtype) inhibitors. (Bennett MR. Monoaminergic synapses and schizophrenia: 45 years of neuroleptics. J Psychopharmacol. 1998;12(3):289-304; Delay J, Deniker P, Ropert R Four years of experience with chlorpromazine in therapy of psychoses. Presse Med. 1956;64(22):493-496; Kurland AA. Chlorpromazine in the treatment of schizophrenia; a study of 75 cases. J Nerv Ment Dis. 1955 121(4):321-329.) More recently, the development of so-called atypical antipsychotics with additional serotonin receptor (5-HT₂ subtype) blocking properties provided modified therapeutic tools with less motor side effects but with more metabolic effects. (Costall B, Naylor, RJ. . Detection of the neuroleptic properties of clozapine, sulpiride and thioridazine. Psychopharmacologia. 1975;43(1):69-74; Komossa K, C. Rummel-Kluge, et al. Risperidone versus other atypical antipsychotics for schizophrenia. Cochrane Database of Systematic Reviews. 2011;1; Newcomer JW. Second-generation (atypical) antipsychotics and metabolic effects - A comprehensive literature review. CNS Drugs. 2005;19:1-93.) While the newer drugs are better tolerated and effectively reduce positive symptoms, they rarely induce recovery. This unfortunate reality is not different from the older typical antipsychotics, indicating that neither the old nor the new antipsychotic medications are specific enough to address the complex pathophysiology of the disease.

One of the variable limitations of antipsychotic medications is prolongation of the QT interval (long QT syndrome [LQT]) and increased rates of sudden death, potentially related to this side effect.(Recanatini M, Poluzzi E, Masetti M, Cavalli A, De Ponti F. QT prolongation through hERG K⁺ channel blockade: Current knowledge and strategies for the early prediction during drug development. Med. Res. Rev. Mar 2005;25(2):133-166.) The QT prolongation associated with antipsychotic drugs results from the blockade of the human ether-a-go-go-related potassium channel (hERG1) in the heart.(Kongsamut S, Kang JS, Chen XL, Roehr J, Rampe D.

A comparison of the receptor binding and HERG channel affinities for a series of antipsychotic drugs. *Eur J Pharmacol.* Aug 2002;450(1):37-41; Shepard PD, Canavier CC, Levitan ES. Ether-a-go-go-Related gene potassium channels: What's all the buzz about? *Schizophr. Bull.* Nov 2007;33(6):1263-1269.) hERG1, along with hERG2 and hERG3 (Gutman G, Chandy KG, Grissmer S, Lazdunski M, McKinnon D, Pardo LA, Robertson GA, Rudy B, Sanguinetti MC, Stühmer W, Wang X. *International Union of Pharmacology. LIII. Nomenclature and molecular relationships of voltage-gated potassium channels. Pharmacol Rev.* 2005 2005;12(91):473-508), belong to a subfamily of voltage-gated K⁺ channels (Warmke JW, Ganetzky B. A family of potassium channel genes related to EAG in drosophila and mammals. *P Natl Acad Sci USA.* Apr 1994;91(8):3438-3442) that plays a critical role in regulating excitability and repolarization in cardiac and smooth muscle, as well as in neurons.(Schwarz JR, Bauer CK. Functions of erg K⁺ channels in excitable cells. *Journal of Cellular and Molecular Medicine.* Jan-Mar 2004;8(1):22-30.) The hERG1 channel is responsible for the delayed rectifier potassium current (IKr), a major component of cardiac repolarization.(Schwarz JR, Bauer CK. Functions of erg K⁺ channels in excitable cells. *Journal of Cellular and Molecular Medicine.* Jan-Mar 2004;8(1):22-30; Wehrens X. Structural determinants of potassium channel blockade and drug-induced arrhythmias. *Handb Exp Pharmacol.* 2006;171:123-157.) The hERG1 channel displays a tetrameric structure with one α -subunit with six transmembrane spanning domains and one auxiliary beta subunit with one transmembrane spanning domain.(Phartiyal P, Jones EMC, Robertson GA. Heteromeric assembly of human ether-a-go-go-related gene (hERG) 1a/1b channels occurs cotranslationally via N-terminal interactions. *J Biol Chem.* Mar 2007;282(13):9874-9882.) The assemblage of the different hERG channels gives rise to a supramolecular structure that serves as the voltage-gated K⁺ channel.(Perry M, Sachse FB, Sanguinetti MC. Structural basis of action for a human ether-a-go-go-related gene 1 potassium channel activator. *P Natl Acad Sci USA.* Aug 2007;104(34):13827-13832.) The hERG1 protein is encoded by the KCNH2 gene which contains 15 exons on chromosome 7q36.1 and produces at least three distinct isoforms by alternative splicing. Studies have identified over 300 genetic variants in KCNH2 that can either cause a congenital form of LQT syndrome or predispose to acquired LQT syndromes.(Thomas D, Karle CA, Kiehn J. . The cardiac hERG/IKr potassium channel as pharmacological target: structure, function, regulation, and clinical applications. *Curr Pharm Des.* 2006;12(18):2271-2283; Witchel H. The hERG potassium channel as a therapeutic target. *Expert Opin Ther*

Targets. 2007;11(3):321-336.) Blockade of hERG1 channels by antipsychotics may represent the most frequent cause of the acquired LQT syndrome.(Perrin M, Subbiah RN, Vandenberg JI, Hill AP. Human ether-a-go-go related gene (hERG) K⁺ channels: function and dysfunction. Prog Biophys Mol Biol. 2008;98(2-3):137-148.)

5 Using mRNA characterization techniques in human postmortem brain samples, Huffaker et al. identified a brain- selective and primate-specific isoform (3.1) of the KCNH2 gene that regulates neuronal firing patterns. When compared with the full-length KCNH2 (1A) isoform, KCNH2-3.1 messenger RNA levels are similar in the brain but 3-orders of magnitude lower in the heart. This novel isoform has a novel 5' exon extension and is lacking the PAS domain of
10 the full length protein that is critical for slow channel deactivation and repolarization. Meta-analysis of five independent clinical data sets showed an association of single nucleotide polymorphisms (SNPs) in KCNH2 with schizophrenia and the risk associated alleles predicted lower intelligence quotient (IQ) scores and reduced speed of cognitive processing in living human subjects. (Huffaker SJ, Chen JS, Nicodemus KK, et al. A primate-specific, brain isoform
15 of KCNH2 affects cortical physiology, cognition, neuronal repolarization and risk of schizophrenia. Nat. Med. May 2009;15(5):509-518.) The same risk-associated alleles also predicted increased KCNH2.3.1 mRNA expression in postmortem hippocampus of both patients and healthy subjects, implicating a molecular mechanism of the clinical associations. Moreover, in postmortem brain of patients with schizophrenia, the relative expression of the novel 3.1
20 isoform was increased 2.5 fold compared with normal brain, suggesting a potential pathophysiologic role of KCNH2 3.1 in the clinical state. This primate-specific isoform of KCNH2 was shown to have unique physiological properties in neuronal culture, mediating fast neuronal spiking. Two recent studies have further confirmed the association of the same SNPs in KCNH2 with schizophrenia.(Atalar F, Acuner TT, Cine N, et al. Two four-marker haplotypes on
25 7q36.1 region indicate that the potassium channel gene HERG1 (KCNH2, Kv11.1) is related to schizophrenia: a case control study. Behav. Brain Funct. May 2010;6(27); Hashimoto R, Ohi K, Yasuda Y, et al. The KCNH2 gene is associated with neurocognition and the risk of schizophrenia. The World Journal of Biological Psychiatry. 2011;In Press.) While it is not known whether antipsychotic drugs affect the brain-specific KCNH2 3.1 channel as they do the
30 full-length channel, the fact that antipsychotic drugs have hERG1 effects and KCNH2 3.1 is genetically associated with schizophrenia at both the clinical and molecular level, raises the

possibility that the therapeutic effects of antipsychotic drugs may at least in part relate to changes in KCNH2 3.1 activity.

In this study we have evaluated the impact of genetic variation in KCNH2, which was previously associated with risk for schizophrenia and with increased expression of the 3.1 isoform in postmortem brain, in two independent clinical trials of antipsychotic medications in patients with schizophrenia: 1) a group of patients admitted to NIMH to participate in a double blind, placebo-controlled, crossover study, and b) a sample of patients with schizophrenia who participated in the NIMH sponsored multi-center CATIE trial. The hypothesis of this pharmacogenetic study is that KCNH2 genotype related to risk for schizophrenia and expression of the brain-selective and primate-specific isoform 3.1 of KCNH2 impacts treatment response to antipsychotic drugs. The results of both independent trials support this hypothesis.

METHODS

Patients

Two independent cohorts of patients undergoing antipsychotic treatment trials were included in this study. The first cohort consisted of 54 partially treatment-resistant patients with schizophrenia admitted to the Clinical Brain Disorders Branch schizophrenia research inpatient unit at the NIMH Clinical Center between 1998 and 2010. All of these subjects were self-reported Caucasian of European ancestry and were diagnosed with chronic schizophrenia using DSM-IV criteria. (Task Force on DSM-IV. Diagnostic and Statistical Manual of Mental Disorders. Fourth ed. Washington, DC: American Psychiatric Association; 2005.) They volunteered to participate in a double-blind, placebo-controlled, crossover study with standard doses of atypical antipsychotics, including olanzapine, perphenazine, quetiapine, risperidone, or ziprasidone. All patients, and a family member if necessary, provided informed consent for participation in the study, which was approved by the NIH Institutional Review Board. The program typically enrolled patients who already had adequate trials of standard antipsychotic medication interventions but were only partially effective in decreasing their symptoms. Upon admission, all patients underwent medical, neurological, and psychiatric evaluation lasting four to twelve weeks. If it was then concluded that the patient had significant medical problems, a history of violence or suicidal behavior not identified during the pre-hospitalization screening, or if the patient decided to withdraw his/her consent, he/she could leave the hospital or participate

in other protocols not involving placebo studies. The demographic characteristics of the patients are shown in Table 12.

All patients included in this study were on atypical antipsychotic medications prior to their admission. After the initial evaluation period, patients were maintained on a standard dose of one atypical antipsychotic and all other medications were discontinued. The decision about which antipsychotic medication would be used in the coded study was based on best prior response, patient preference, and side effect profile. The patients then remained on the single antipsychotic medication in an open-label fashion for several weeks before transitioning to coded medication and were tapered from their medication over a period of four to seven days.

Subgroup 1 (N=35) received sequentially four weeks of coded placebo followed by four weeks of coded standard atypical antipsychotics. Subgroup 2 (N=19) received the inverse sequence, i.e. after a similar open medication and taper period they received standard antipsychotic treatment for four weeks followed by placebo for four weeks. Because of the highly supervised and structured nature of the NIMH inpatient environment, most patients tolerated the four weeks of placebo well. At the end of the coded double-blind protocol, the patients were restarted on an active medication for six or more weeks before starting another experimental protocol, or until they were well enough to be discharged.

Weekly rating on the 16-item Positive and Negative Syndrome Scale (PANSS) (Kay SR, Fiszbein A, Opler LA. The positive and negative syndrome scale (PANSS) for schizophrenia. *Schizophr. Bull.* 1987;13(2):261-276) was performed independently by one of four trained research nurses. Two weeks prior to starting the double blind protocol and up to four weeks after completing the protocol, ratings were performed twice a week. The PANSS is a 7-point rating scale (1-7), with one indicating the absence of a symptom or behavior and seven indicating greatest severity of illness. The PANSS items comprise three different scales, which include Positive Syndrome, Negative Syndrome and General Psychopathology, and five different clusters comprised of Anergia, Thought Disturbance, Activation, Paranoid/Belligerence and Depression. Mean PANSS baseline ratings in the NIMH study before entering the placebo-controlled protocol were: Positive Syndrome: 14.25+4.09, Negative Syndrome: 15.69+4.6, General Psychopathology: 29.58+5.81, Anergia: 8.48+2.23, Thought Disturbance: 8.36+3.61, Activation: 5.08+1.31, Paranoid/Belligerence: 4.89+0.85 and Depression: 8.40+2.18.

The second cohort consisted of patients randomly assigned to five medications during the Phase 1/1A (first drug assigned) of the Clinical Antipsychotic Trials of Intervention Effectiveness (CATIE),(Stroup TS, McEvoy JP, Swartz MS, et al. The National Institute of Mental Health Clinical Antipsychotic Trials of Intervention Effectiveness (CATIE) project: Schizophrenia trial design and protocol development. *Schizophr. Bull.* 2003;29(1):15-31) a clinical trial to compare the effectiveness of antipsychotic drugs. The demographic characteristics of the patients in the CATIE cohort are shown in Table 13. Patients were initially randomly assigned to receive olanzapine, perphenazine, quetiapine, risperidone or ziprasidone under double-blind conditions and followed up for 18 months or until treatment was discontinued or switched to another antipsychotic (i.e. Phase 2). Eligible patients were 18 to 65 years of age, had received a diagnosis of schizophrenia based on DSM-IV criteria²⁶ and were able to take oral antipsychotic medication. The primary outcome measure in the CATIE sample was time to medication discontinuation, most often due to lack of efficacy or side-effects. PANSS ratings were included as a secondary efficacy outcome. Details on the CATIE trial methods have been previously published.(Stroup TS, McEvoy JP, Swartz MS, et al. The National Institute of Mental Health Clinical Antipsychotic Trials of Intervention Effectiveness (CATIE) project: Schizophrenia trial design and protocol development. *Schizophr. Bull.* 2003;29(1):15-31.) For consistency with the NIMH inpatient study and to reduce effects of genetic heterogeneity, only Caucasian subjects were included for analysis. Because of the outpatient and parallel design of this study, information about compliance based on drug clearance is an important factor determining symptom change during the CATIE trial.(Bigos KL, Pollock BG, Coley KC, et al. Sex, race, and smoking impact olanzapine exposure. *Journal of Clinical Pharmacology.* 2008;48(2):157-165; Bigos KL, Bies RR, Pollock BG, Lowy JJ, Zhang F, Weinberger DR. Genetic Variation in CYP3A43 Explains Racial Difference in Olanzapine Clearance. *Molecular Psychiatry.* In Press.) Thus, we only analyzed treatment response from subjects on whom we had drug clearance data (N=364) (Bigos KL, Pollock BG, Coley KC, et al. Sex, race, and smoking impact olanzapine exposure. *Journal of Clinical Pharmacology.* 2008;48(2):157-165). Drug clearance was estimated using population pharmacokinetic modeling of drug concentrations and used in the statistical analysis of treatment response as previously described. (Bigos KL, Pollock BG, Coley KC, et al. Sex, race, and smoking impact olanzapine exposure. *Journal of Clinical Pharmacology.* 2008;48(2):157-165. Bigos KL, Bies RR, Pollock

BG, Lowy JJ, Zhang F, Weinberger DR. Genetic Variation in CYP3A43 Explains Racial Difference in Olanzapine Clearance. *Molecular Psychiatry*. In Press.)

Genotyping

We genotyped three SNPs rs3900779, rs748693 and rs1036145 in KCNH2 which have
5 been associated with schizophrenia and with expression of the novel KCNH2 3.1 isoform in
human post-mortem brain.(Huffaker SJ, Chen JS, Nicodemus KK, et al. A primate-specific,
brain isoform of KCNH2 affects cortical physiology, cognition, neuronal repolarization and risk
of schizophrenia. *Nat. Med.* May 2009;15(5):509-518.) Genotypes were determined using the
10 5'-exonuclease fluorescent Taqman assay (assay by design or assay on demand) and the allelic
discrimination was read on an ABI 7900 SDS system (Applied Biosystems, Foster City, CA).
(Livak KJ. Allelic discrimination using fluorogenic probes and the 5' nuclease assay. *Genet.*
Anal.-Biomol. Eng. Feb 1999;14(5-6):143-149.)

Statistical Analysis

Several statistical analyses were performed based on the specifics of study design and
15 measurement of outcomes. In the NIMH inpatient study (cohort 1), which is a within-subject
crossover design with repeated measures, with some discontinuation due to clinical
decompensation, we employed a linear mixed-effect model to examine the effects of treatment,
genotype, and their interaction on PANSS ratings. In the CATIE sample (cohort 2), a general
linear model was used to examine the association of KCNH2 genotype with change (first –last
20 evaluation) in PANSS ratings while controlling for covariates such as age, sex, drug clearance,
medications, time from the first evaluation to the last evaluation. Because the mean and
variations of drug clearance measure varied with drugs, we assigned an ordinal measure of 1 to 4
according to the quartile distribution of each drug clearance to make the measurement of
clearance comparable between drugs.

25 We also attempted to examine time to discontinuation of medication, which was a
primary outcome measure of the CATIE trial. Time to discontinuation in the CATIE trial,
however, is a problematic measure in a pharmacogenetic analysis of treatment response, because
it was confounded by many issues, including side effects, patient preference and other subjective
individual considerations. Patients in the CATIE trial were allowed to stop medication for
30 literally any reason at any time. An illustration of the uncertainties inherent in time to
discontinuation is that it was not predicted by drug clearance rate, which did predict symptom

change during treatment (Bigos KL, Pollock BG, Coley KC, et al. Sex, race, and smoking impact olanzapine exposure. *Journal of Clinical Pharmacology*. 2008;48(2):157-165; Bigos K, Bies RR, Pollock BG, Lowy JJ, Zhang F, Weinberger DR. *Molecular Psychiatry*. Genetic Variation in CYP3A43 Explains Racial Difference in Olanzapine Clearance. In Press. 2011). In addition, the various drug arms differed substantially in reasons for discontinuation and time to discontinuation for whatever reason. Nevertheless, we assessed genotype effect on time to discontinuation in the olanzapine response data. Olanzapine had the longest time to discontinuation in the study and the least number of discontinuations for reasons other than efficacy; thus, time to discontinuation of olanzapine may better reflect variable efficacy, per se. Furthermore, we had previously meticulously curated the olanzapine data to exclude noncompliant subjects and subjects receiving other medications as part of the clearance determinations. The Cox proportional hazard model was used to analyze the time to discontinuation data while controlling for age, sex, and drug clearance.

RESULTS

Demographics of the NIMH sample are shown in Table 12 based on rs1036145 genotype, a SNP showing effects on treatment in this sample. Age at admission (p<0.032) and duration of illness (p<0.001) were statistically different by genotype groups.

Table 12. Demographics of patients in the NIMH cohort by KCNH2 genotype at rs1036145

	CC	TC	TT	Genotype p value
N (M/F)#	16 (15/1)	28 (16/12)	10 (9/1)	0.014*
Age, years	26.6 ± 5.45	26.6 ± 6.01	32.8 ± 9.07	0.032*
FSIQ	88.1 ± 13.91	95.6 ± 13.45	89.5 ± 12.52	0.175
Education, years	13.75 ± 2.41	13.79 ± 1.71	13.60 ± 1.58	0.966
Age of onset, years	22.1 ± 4.95	20.7 ± 4.83	19.7 ± 2.54	0.390
Duration of illness, years	4.8 ± 3.54	6.5 ± 5.00	13.5 ± 9.16	0.001*
Smokers/Nonsmokers#	8/8	12/16	7/3	0.051

Values represent the mean ± standard deviation.
Fisher exact test p value

The genotype groups differed slightly in gender proportions and in frequency of smokers. None of these effects can account for the genotype effects on outcome variables, as described below. No significant differences by genotype groups were observed for IQ, years of education

and prestudy antipsychotic dose in CPZ equivalents. Demographics of the CATIE sample by KCNH2 genotype of rs1036145 are shown in Table 13. No significant differences were observed for mean CPZ equivalents, education, and age of onset or duration of illness. Smoking status did not differ by genotypes.

5

[insert table 13 here]

In the NIMH inpatient study, a significant effect of antipsychotic treatment on psychopathology ratings was observed in different scales and clusters of the PANSS (Table 14). Overall, medications had a significant impact on alleviation of positive symptoms (Beta=-1.356, p=0.0007) and general psychopathology (Beta=-2.285, p=0.0093), and a trend towards decreased negative symptoms was also observed (Beta=-1.084, p=0.0124) that did not survive correction for multiple testing. Analysis of the PANSS clusters also revealed significant changes in thought disturbance (Beta=-0.893, p=0.0004) and activation (Beta=-0.684, p=0.0018).

Table 14. Treatment Effect on Neuropsychiatric Symptoms from general linear mixed model (coded ARM1 and ARM2)

Effect	Beta	SE	t	P	
Negative syndrome	-1.0836	0.4204	-2.58	0.0124	
Positive syndrome	-1.3565	0.3798	-3.57	0.0007	**
Composite index	-0.2667	0.3806	-0.7	0.4861	
General	-2.2851	0.8507	-2.69	0.0093	
Psychopathology					**
Anergia	-0.3037	0.2398	-1.27	0.2102	
Thought disturbance	-0.8928	0.2383	-3.75	0.0004	**
Activation	-0.6841	0.21	-3.26	0.0018	**
Paranoid belligerence	-0.268	0.1474	-1.82	0.0742	
Depression	-0.4189	0.3173	-1.32	0.1918	

Note: Beta: coefficient estimates; SE: standard error of coefficient estimate.

rs1036145 genotype showed a significant effect on treatment outcome (Table 15). Individuals with the TT genotype had a better response to antipsychotic treatment. Specifically, individuals who were homozygote of the risk and isoform 3.1 expression associated T allele (i.e. genotype TT) had a significant reduction in ratings of positive syndrome (Beta=-2.84, p=0.0078), general psychopathology (Beta=-6.23, p=0.022) and thought disturbance (Beta=-2.08, p=0.0041) when they were on medications; whereas individuals carrying other genotypes (CC+TC) had relatively little change in the same PANSS ratings (Table 15). The effect size of TT genotype on treatment was much larger than for genotypes CC+TC. The ratio of effect size for TT compared with TC+CC genotypes was 1.65 (0.42/0.25) for the positive syndrome, 1.88 (0.339/0.180) for general psychopathology and 1.84 (0.458/0.249). There were no significant

effects of the other two SNPs (rs3900779 and rs748693) on the change in PANSS scores in the NIMH cohort.

Table 15. Mean differences in antipsychotic treatment response by genotypes of rs1036145

Symptoms	Group 1		Group2		Beta	SE	P	Effect size	
	Treatment	Genotype	Treatment	Genotype					
Positive symptom									
	Medication	CC+TC	Placebo	CC+TC	-0.73	0.44	0.1042		0.254
	Medication	TT	Placebo	TT	-2.84	1.02	0.0078	***	0.420
General psychopathology									
	Medication	CC+TC	Placebo	CC+TC	-1.39	1.15	0.2336		0.180
	Medication	TT	Placebo	TT	-6.23	2.63	0.022	**	0.339
Thought disturbance									
	Medication	CC+TC	Placebo	CC+TC	-0.48	0.3	0.1117		0.249
	Medication	TT	Placebo	TT	-2.08	0.69	0.0041	***	0.458

5 Note: Beta is mean difference in PANSS ratings based on least-square estimation

Similar genotypic effects on PANSS ratings were also observed in the larger CATIE cohort for each of the genotyped SNPs (Table 16). The change in total PANSS score was associated with all three SNPs: rs390079 (p=0.0236), rs748693 (p=0.0213) and rs1036145 (p=0.012). Compared with homozygotes of the risk allele, the change in total PANSS score was less for genotype GT (Beta=-5,335, p=0.0173) and GG (Beta=-1.884, p=0.3908) at rs390079; for AG (Beta=-4.813, p=0.0286) and AA (Beta=-1.033, p=0.645) at rs748693; and for CT (Beta=-5,186, p=0.0192) and CC (Beta=-1.082, p=0.6266) at rs1036145 (Table 16). The change in PANSS positive symptoms also significantly varied with rs390079 (p=0.0327), rs748693 (p=0.0223) and rs1036145 (p=0.0046) genotypes; and similarly, the change in PANSS rating was greater for individuals homozygote for the risk associated alleles at all three SNPs compared with other genotypes. We did not observe significant associations of the change in negative symptoms with any SNP.

20

Table 16. Effect of SNPs in KCNH2 on the change in PANSS rating in the CATIE trial

	Positive symptom			P*	Negative symptom			P	Total PANSS score			P*
	Beta	t	p		Beta	t	p		Beta	t	P	
rs3900779				0.03				0.2365				0.0236
GG	-0.394	-0.54	0.5901		-0.05	-0.06	0.9495		-1.884	-0.86	0.3908	
GT	-1.59	-2.13	0.0336		-0.92	-1.19	0.2359		-5.335	-2.39	0.0173	
TT	0				0				0			
rs748693				0.02				0.3082				0.0213
AA	0.053	0.07	0.9434		0.08	0.1	0.9221		-1.033	-0.46	0.6425	
AG	-1.334	-1.82	0.0696		-0.73	-0.96	0.3382		-4.813	-2.2	0.0286	
GG	0				0				0			
rs1036145				0				0.1747				0.012
CC	0.294	0.4	0.689		-0.05	-0.07	0.9475		-1.082	-0.49	0.6266	
CT	-1.402	-1.92	0.0559		-1.01	-1.31	0.1916		-5.186	-2.35	0.0192	
TT	0				0				0			

Note: P* is p value from testing for association of genotype with change in PANSS rating; Beta is estimate of genotype effect (0 for reference group)

5 Analysis of the time to discontinuation of olanzapine in the CATIE sample (n=89) also indicated that SNPs in KCNH2 affected this measure of response to antipsychotic treatment (Table 17). While we observed trends for genotypic variation in overall time to discontinuation of medication at rs748693 (p=0.0781) and rs1036145 (p=0.0633), compared with non-risk allele homozygote individuals, individuals with GG genotype at rs748693 were nearly 4 times
 10 (HR=0.254, p=0.031), and TT genotypes at rs1036145 were nearly 5 times (HR=0.208, p=0.033) less likely to have discontinued medication.

Table 17. Hazard ratio estimates of three SNPs in KCNH2 from the COX proportional hazard model (Olanzapine; n=89, event=46)

	Est	Se	Chi-square	p	HR	DF	Chi-Square	P
rs3900779	0				1	2	2.4947	0.2873
	-0.431	0.344	1.567	0.211	0.65			
	-0.688	0.536	1.646	0.2	0.503			
rs748693	0				1	2	5.0986	0.0781
	-0.119	0.352	0.115	0.735	0.888			
	-1.371	0.634	4.683	0.031	* 0.254			
rs1036145	0				1	2	5.5206	0.0633
	-0.06	0.397	0.023	0.88	0.942			
	-1.569	0.736	4.546	0.033	* 0.208			

This study provides strong and consistent evidence of an association between variation in KCNH2 and short- and long-term clinical response to antipsychotic drugs in patients with schizophrenia. In fact, in two different treatment cohorts ascertained and examined with notably differing approaches, KCNH2 genotype previously associated with schizophrenia and with expression of a brain-selective isoform of this potassium channel modulated the therapeutic effects of antipsychotic drugs.

KCNH2 genotype (rs1036145) had a significant impact on the response to antipsychotic treatment in the NIMH cohort in two separate groups (i.e. those receiving placebo first, and those receiving active drug first). Interestingly, patients who were homozygous for the risk-associated allele (TT genotype) showed a greater change in positive symptoms, thought disturbance and general psychopathology when compared with carriers of the C allele. This strong effect of genotype, which remained significant after correction for multiple testing and covarying for age, sex and IQ, is remarkable considering the relatively small sample size of this cohort and the variation in the protocol sequence of the two groups.

The pharmacogenetic associations and their directionality were confirmed and further extended in the analysis of the larger CATIE trial cohort. Interestingly, the significant changes in positive symptoms and general psychopathology were observed in the homozygous risk associated alleles at all three SNPs: rs3900779-TT, rs748693-GG and rs1036145-TT, the latter showing the strongest statistical significance in line with the results obtained in the smaller NIMH cohort. The impact of this replication in two independent cohorts is underscored by the fact that these trials were performed with two different clinical and experimental models, one that involved an outpatient, parallel cohort design while the other was a double blind, placebo-controlled, in-patient crossover, as well as different outcome measures. The effect size of the association also is noteworthy. In the placebo controlled NIMH inpatient study, individuals homozygote for the risk allele showed almost twice the effect size of response change compared with the other genotype groups. In the CATIE trial, individuals with the risk genotypes showed a four to five times lesser likelihood of discontinuing olanzapine, a primary outcome measure of the trial.

In both the NIMH and the CATIE samples, individuals with risk-associated genotypes, which predict increased expression of the novel, brain- and primate-specific isoform of KCNH2,

responded better to antipsychotic drug therapy. In other words, the greater the putative expression of the novel isoform, the larger the therapeutic response. It is tempting to conclude that our pharmacogenetic results reflect the ability of typical and atypical antipsychotics to bind to this novel KCNH2 potassium channel and that their therapeutic effects are at least in part related to this effect. (Kongsamut S, Kang JS, Chen XL, Roehr J, Rampe D. A comparison of the receptor binding and hERG channel affinities for a series of antipsychotic drugs. *Eur J Pharmacol.* Aug 2002;450(1):37-41; Shepard PD, Canavier CC, Levitan ES. Ether-a-go-go-Related gene potassium channels: What's all the buzz about? *Schizophr. Bull.* Nov 2007;33(6):1263-1269.) This conclusion, however, must be viewed with caution. Clearly, many drugs bind to hERG channels, and only few of them are antipsychotic. hERG channels are heterodimers of various hERG components and the combinations of full length and 3.1 isoform channels may be critical for understanding the action in brain of varying effects of KCNH2 active drugs. Moreover, if the antipsychotic effect of drugs is selectively related to their modulation of channels containing 3.1 isoforms, then drugs that impact only 3.1-lacking hERG channels might not be antipsychotic. It is also worth noting that the physiological effect of the 3.1 isoform as described in Huffaker et al (2009) suggests that the optimum therapeutic effect would not be channel blockade per se, but restoration of normal KCNH2 potassium conductance kinetics. Whether antipsychotic drugs act in part by this mechanism is also not known.

An alternative, indirect mechanism for the relationship of KCNH2 genotype and antipsychotic drug response might also be considered. Since hERG1 channels are expressed on DA neurons, it is conceivable that their relationship to antipsychotic drug action is via an indirect mechanism related to the firing characteristics of these neurons. If DA neurons are overactive in psychotic patients, as is implicated from *in vivo* imaging studies (Laruelle M, Abi-Dargham A, Gil R, Kegeles L, Innis R. Increased dopamine transmission in schizophrenia: Relationship to illness phases. *Biological Psychiatry.* Jul 1999;46(1):56-72; Abi-Dargham A, Gil R, Krystal J, et al. Increased striatal dopamine transmission in schizophrenia: Confirmation in a second cohort. *Am. J. Psychiat.* Jun 1998;155(6):761-767), increased KCNH2.3.1 expression may at least in part drive this over activity, which is compensated by postsynaptic blockade of D2 receptors. By either mechanism, our results raise intriguing questions for further work and for the potential of KCNH2 3.1 selective drugs to be novel antipsychotic therapies.

Each of the clinical trials in this report has limitations. The NIMH study involved a relatively small number of patients. However, it was a double-blind, placebo-controlled, crossover design in a stable, inpatient population with chronic, unremitting schizophrenia in which medication intake is strictly monitored by experienced nursing staff in a highly structured
5 research environment. A limitation of the CATIE cohort is the relatively uncontrolled outpatient nature of the study in which no stringent control existed for medication compliance. This problem was addressed by determination of antipsychotic medication levels and only those individuals in whom drug level was sufficient to determine plasma clearance rates were included in this analysis.

10 One of the main concerns related to the pharmacological action of antipsychotic drugs has been the inconsistent therapeutic response observed between individuals. This may represent one of the reasons why some individuals discontinue medications earlier than others due to the limited efficacy of the drugs. (Lieberman JA, Stroup TS, McEvoy JP, et al. Effectiveness of antipsychotic drugs in patients with chronic schizophrenia. *N. Engl. J. Med.* Sep
15 2005;353(12):1209-1223.) While there are likely many factors relating to this variable treatment response, our data suggest that KCNH2 genotype is one important factor. Moreover, our findings support the hypothesis that KCNH2 activity of antipsychotics may be connected not only with their potential cardiovascular side effects 36 but also with their therapeutic actions involving the brain-selective 3.1 isoform of KCNH2. (Huffaker SJ, Chen JS, Nicodemus KK, et
20 al. A primate-specific, brain isoform of KCNH2 affects cortical physiology, cognition, neuronal repolarization and risk of schizophrenia. *Nat. Med.* May 2009;15(5):509-518; Atalar F, Acuner TT, Cine N, et al. Two four-marker haplotypes on 7q36.1 region indicate that the potassium channel gene HERG1 (KCNH2, Kv11.1) is related to schizophrenia: a case control study. *Behav. Brain Funct.* May 2010;6(27)).

25 While the present invention has been described in some detail for purposes of clarity and understanding, one skilled in the art will appreciate that various changes in form and detail can be made without departing from the true scope of the invention. All figures, tables, and appendices, as well as patents, applications, and publications, referred to above, are hereby incorporated by reference.

30

What is claimed is:

1. A method for predicting the clinical response of a schizophrenic patient to an antipsychotic medication comprising:
 - a) obtaining a biological sample from a patient diagnosed with schizophrenia;
 - 5 b) detecting in the biological sample the presence of at least one marker selected from:
 - i) at least one mutation in a KCNH2 nucleic acid molecule that is associated with expression of the 3.1 isoform of the KCNH2 gene;
 - ii) a single nucleotide polymorphism in the KCNH2 gene;
 - iii) a marker selected from the group consisting of Marker M1, M2, M3, M4, M5,
10 M6, M7, M8, M9, M10, M11, M12, M13, M14, M15, M16, M17, M18, M19, M20, M21, M22, M23, M24, M25, M26, M27, M28, M29, M30, M31, M32, M33, M34, M35, M36, M37, M38, M39, M40, M41, M42, and M43; and,
 - iv) at least one single nucleotide polymorphism in the KCNH2 gene selected from rs3900779, rs748693 and rs1036145;
- 15 wherein the presence of the at least one marker is indicative of a schizophrenic patient who will benefit from an antipsychotic medication.
2. The method of Claim 1, wherein the antipsychotic medication is an atypical antipsychotic medication selected from the group consisting of olanzapine, perphenazine, quetiapine, risperidone, and ziprasidone.
- 20 3. The method of Claim 1, wherein the benefit is selected from a significant reduction in ratings of positive syndrome, significant improvement in general psychopathology, and significant decrease in thought disturbance.
4. The method of claim 1, wherein the biological sample is a sample of biological fluid or a tissue sample.
- 25 5. The method of Claim 4, wherein the sample is selected from the group consisting of blood, tears, urine, saliva, skin, muscle and lymph tissue.
6. The method of Claim 1, wherein the step of detecting comprises determining the sequence of KCNH2 nucleic acid molecules in the sample.
7. The method of Claim 1, wherein the step of detecting comprises detecting the presence of
30 the at least one marker in both alleles of a KCNH2 gene in the patient.
8. The method of Claim 7, wherein the at least one marker is rs1036145.

9. The method of Claim 1, wherein the KCNH2 nucleic acid molecule hybridizes under stringent hybridization conditions to a polynucleotide consisting of SEQ ID NO:3 or SEQ ID NO: 4, or SEQ ID NO: 5.
10. The method of Claim 1, wherein the step of detecting comprises performing at least one technique selected from the group consisting of Sanger dideoxy sequencing, Pyrosequencing, RNA sequencing, next-generation sequencing technologies, single-strand conformation polymorphism, heteroduplex analysis, DNA microarray technology, denaturing gradient gel electrophoresis, allele-specific PCR, Scorpion Amplification Refractory Mutation System (SARMS) technology, SNaPshot analysis of PCR products, and mass spectrometry.
11. A method for determining if a schizophrenic patient is predicted to respond to the administration of an antipsychotic medication, comprising detecting in a biological sample from a patient diagnosed with schizophrenia, a level of expression of the 3.1 isoform of the KCNH2 gene,
wherein the level of expression of the KCNH2 gene is indicative of whether the patient will benefit from treatment with an antipsychotic medication.
12. The method of claim 11, wherein a level of expression of the 3.1 isoform of the KCNH2 gene is determined and compared to a level or reference range of expression of the 3.1 isoform of the KCNH2 gene from subjects not diagnosed with schizophrenia.
13. The method of claim 11, wherein the presence of the marker is determined by detecting the presence of a polypeptide encoded by the 3.1 isoform of the KCNH2 gene.
14. The method of Claim 13, wherein the step of detecting comprises performing at least one technique selected from the group consisting of an ELISA, immunoprecipitation assay, and direct measurement of an isoform 3.1 of KCNH2 protein activity.
15. The method of claim 13, wherein the method comprises detecting the presence of the polypeptide using a reagent that specifically binds to the polypeptide or a fragment thereof.
16. The method of claim 15, wherein the reagent is selected from the group consisting of an antibody, an antibody derivative, and an antibody fragment.
17. The method of claim 11, wherein the presence of the marker is determined by obtaining RNA from the biological sample; generating cDNA from the RNA; amplifying the cDNA with

probes or primers for marker genes; and obtaining from the amplified cDNA the expression levels of the genes or gene expression products in the sample.

18. The method of claim 11, wherein the biological sample comprises brain tissue.

19. The method of claim 11, wherein the antipsychotic medication is an atypical antipsychotic medication selected from the group consisting of olanzapine, perphenazine, 5 quetiapine, risperidone, and ziprasidone.

20. The method of Claim 11, further comprising:

a) comparing the expression level of the 3.1 isoform of the KCNH2 gene in the biological sample to a control level of the 3.1 isoform of the KCNH2 gene selected 10 from the group consisting of:

i) a control level of the 3.1 isoform of the KCNH2 gene that has been correlated with beneficial response to the administration of an antipsychotic medication; and

15 ii) a control level of the 3.1 isoform of the KCNH2 gene that has been correlated with lack of beneficial response to the administration of an antipsychotic medication; and,

b) selecting the patient as being predicted to benefit from the administration of an antipsychotic medication, if the expression level of the 3.1 isoform of the KCNH2 gene in the biological sample is greater than the control level of expression of the 3.1 isoform 20 of the KCNH2 gene that has been correlated with beneficial response to an antipsychotic medication, or

c) selecting the patient as being predicted to not benefit from the administration of an antipsychotic medication, if the level of the 3.1 isoform of the KCNH2 gene in the biological sample is statistically less than or equal to the control level of the 3.1 isoform 25 of the KCNH2 gene that has been correlated with beneficial response to the administration of an antipsychotic medication.

21. A method of identifying a schizophrenic patient predicted to respond to the administration of an antipsychotic medication comprising:

a) detecting in a biological sample from a patient diagnosed with schizophrenia, a 30 marker of the level of gene expression of the 3.1 isoform of the KCNH2 gene selected from the group consisting of:

- i) the expression level of marker genes having at least 95% sequence identity with the 3.1 isoform of the KCNH2 gene, or homologs or variants thereof;
- ii) homologs of the 3.1 isoform of the KCNH2 gene, wherein the genes detected share 100% sequence identity with the 3.1 isoform of the KCNH2 gene;
- 5 iii) polypeptides encoded by the 3.1 isoform of the KCNH2 gene;
- iv) fragments of polypeptides of the 3.1 isoform of the KCNH2 gene;
- v) a polynucleotide which is fully complementary to the marker genes of i);
- vi) SEQ ID NO:3; and,
- vii) SEQ ID NO:4;
- 10 b) selecting the patient as being predicted to benefit from the administration of an antipsychotic medication, if the expression level of the marker in the biological sample is greater than the control level of expression of the marker that has been correlated with beneficial response to an antipsychotic medication by a schizophrenic medication, or
- c) selecting the patient as being predicted to not benefit from the administration of
- 15 an antipsychotic medication, if the level of the marker in the biological sample is statistically less than or equal to the control level of the marker gene that has been beneficial response to an antipsychotic medication by a schizophrenic medication.
22. A method for predicting the likelihood of a schizophrenic patient to discontinue a prescribed antipsychotic medication regimen comprising:
- 20 a) obtaining a biological sample from a patient diagnosed with schizophrenia;
- b) detecting in the biological sample the presence of at least one marker selected from:
- i) at least one mutation in a KCNH2 nucleic acid molecule that is associated with expression of the 3.1 isoform of the KCNH2 gene;
- ii) a single nucleotide polymorphism in the KCNH2 gene;
- 25 iii) a marker selected from the group consisting of Marker M1, M2, M3, M4, M5, M6, M7, M8, M9, M10, M11, M12, M13, M14, M15, M16, M17, M18, M19, M20, M21, M22, M23, M24, M25, M26, M27, M28, M29, M30, M31, M32, M33, M34, M35, M36, M37, M38, M39, M40, M41, M42, and M43; and,
- iv) at least one single nucleotide polymorphism in the KCNH2 gene selected from
- 30 rs3900779, rs748693 and rs1036145;

wherein the presence of the at least one marker is indicative of a schizophrenic patient who will likely discontinue a prescribed antipsychotic medication regimen.

23. A method for predicting the likelihood of a schizophrenic patient to discontinue a prescribed antipsychotic medication regimen comprising detecting in a biological sample from a patient diagnosed with schizophrenia, a level of expression of the 3.1 isoform of the KCNH2 gene,

wherein the level of expression of the KCNH2 gene is indicative of a schizophrenic patient who will likely discontinue a prescribed antipsychotic medication regimen.

10

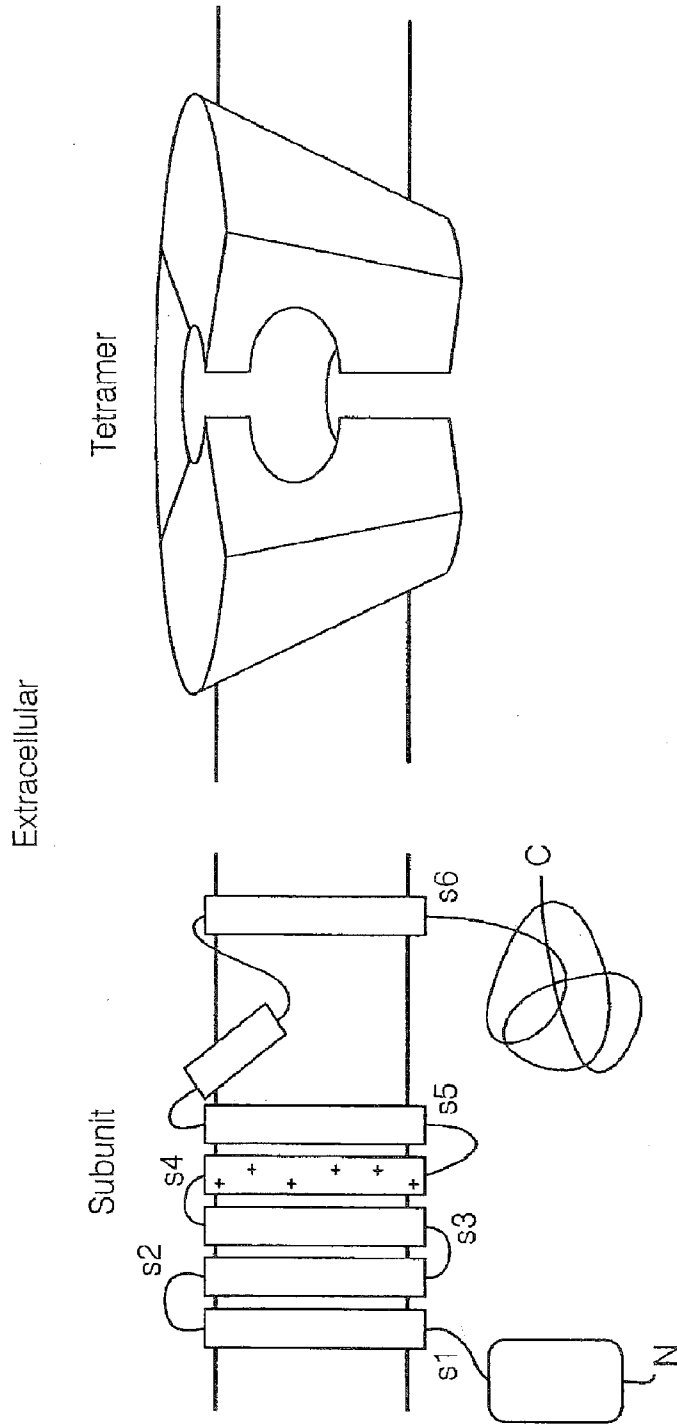


FIG. 1

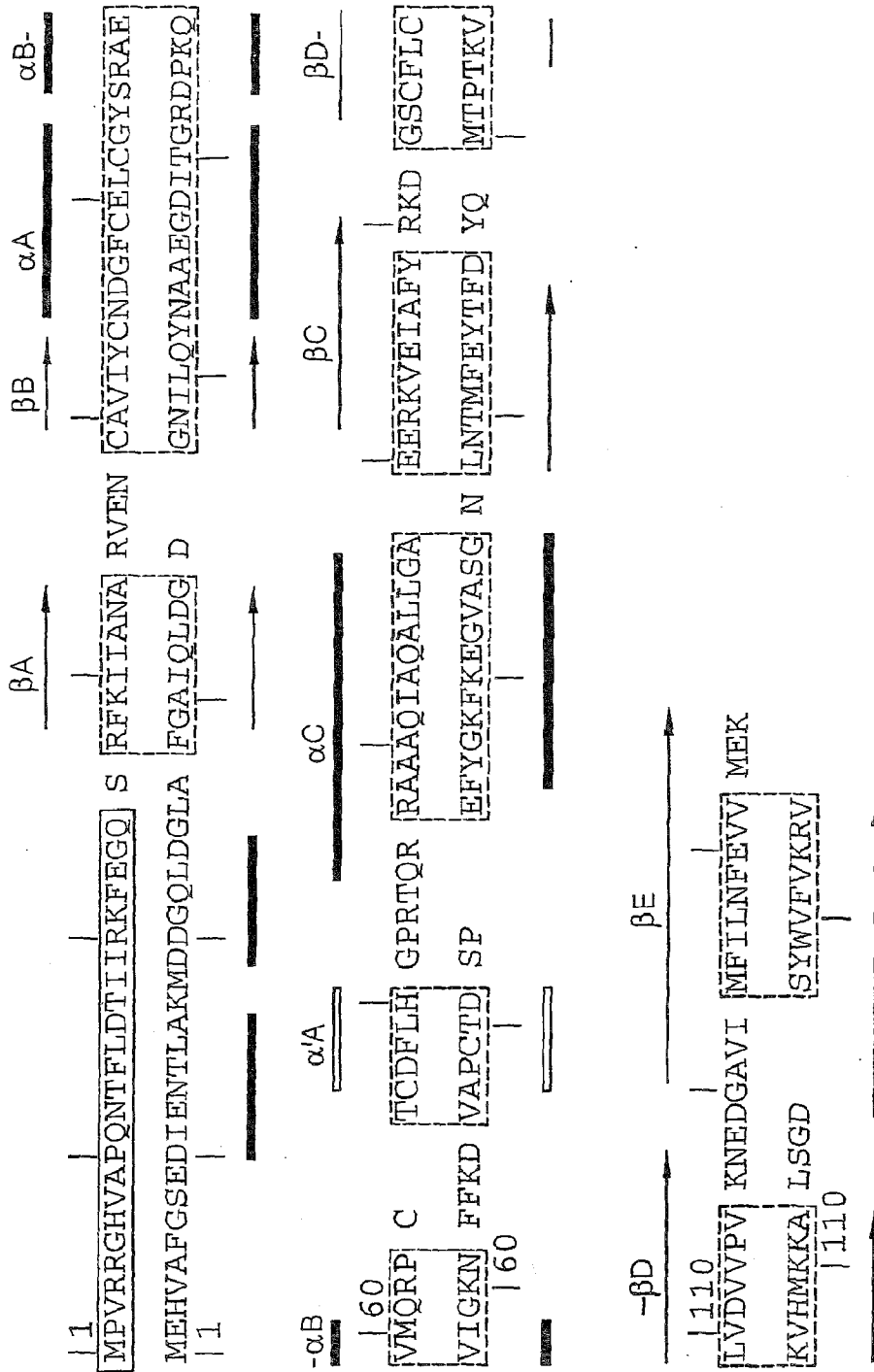


FIG. 2

KCNH2-1A Protein

SEQUENCE 1159 AA; 126,670 MW

MPVRRGHVAP	QNTFLDTIIR	KFEGQSRKFI	IANARVENCA	VIYCNDGFCE	LCGYSRAEVM
QRPCTCDFLH	GPRTORRAAA	QIAQALLGAE	ERKVEIAFYR	KDGSCFLCLV	DVVPVKNEDG
AVIMFILNFE	VMEKDMVGS	PAHDTNHRGP	PTSWLAPGRA	KTFRLKLPAL	LALTARESSV
RSQGAGGAGA	PGAVVVDVDL	TPAAPSSSESL	ALDEVTAMDN	HVAGLGPAEE	RRALVGPSP
PRSAPGQLPS	PRAHSLNPDA	SGSSCSLART	RSRESCASVR	RASSADDIEA	MRAGVLPPPP
RHASTGAMHP	LRSGLLNSTS	DSDLVRYRTI	SKIPQITLNF	VDLKGDPFLA	SPTSDREIIA
PKIKERTHNV	TEKVTQVLSL	GADVLPEYKL	QAPRIHRWTI	LHYSPEKAVW	DWLILLLVIIY
TAVFTPYSA	FLLKETEELP	PATECGYACQ	PLAVVDLIVD	IMFIVDILIN	FRTTYVNANE
EVVSHPGRIA	VHYFKGWFLI	DMVAALPFDL	LIFGSGSEEL	IGLLKTARLL	RLVRVARKLD
RYSEYGA AVL	FLLMCTFALI	AHWLACIWYA	IGNMEQPHMD	SRIGWLHNLG	DQIGKPYNSS
GLGGPSIKDK	YVTALYFTFS	SLTSVGFNGV	SPNTNSEKIF	SICVMLIGSL	MYASIFGNVS
AIQRLYSGT	ARYHTQMLRV	REFIRFHQIP	NPLRQRLEEY	FQHAWSYTNG	IDMNAVLKGF
PECLQADICL	HLNRSLLQHC	KPFRGATKGC	LRALAMKFKT	THAPPGDTLV	HAGDLLTALY
FISRGSIEIL	RGDVVAILG	KNDIFGEPLN	LYARPGKSNG	DVRALTYCDL	HKIHRRDLE
VLDMYPEFSD	HFWSLEITF	NLRDTNMI PG	SPGSTELEGG	FSRQRKRKLS	FRRRTDKDTE
QPGEVSALGP	GRAGAGPSSR	GRPGGPWGES	PSSGPSSPES	SEDEGPGRSS	SPLRLVPFSS
PRPPGEPGG	EPLMEDCEKS	SDTCNPLSGA	FSGVSNIFSF	WGDSRGRQYQ	ELPRCPAPTP
SLLNIPLSSP	GRRPRGDVES	RLDALQRQLN	RLETRLSADM	ATVLQQLQRQ	MTLVPPAYSA
VTPPGPGPTS	TSPLLPVSP	PTLTLDSLQ	VSQFMACEEL	PPGAPELPQE	GPTRRLSLPG
QLGALTSQPL	HRHGSDPGS				

Isoform 3.1 Protein sequence

SEQUENCE 1063 AA; 115,650 MW

MSSH	SAGSCFLCLV	DVVPVKNEDG	AVIMFILNFE	VMEKDMVGS	PAHDTNHRGP
PTSWLAPGRA	KTFRLKLPAL	LALTARESSV	RSQGAGGAGA	PGAVVVDVDL	TPAAPSSSESL
ALDEVTAMDN	HVAGLGPAEE	RRALVGPSP	PRSAPGQLPS	PRAHSLNPDA	SGSSCSLART
RSRESCASVR	RASSADDIEA	MRAGVLPPPP	RHASTGAMHP	LRSGLLNSTS	DSDLVRYRTI
SKIPQITLNF	VDLKGDPFLA	SPTSDREIIA	PKIKERTHNV	TEKVTQVLSL	GADVLPEYKL
QAPRIHRWTI	LHYSPEKAVW	DWLILLLVIIY	TAVFTPYSA	FLLKETEELP	PATECGYACQ
PLAVVDLIVD	IMFIVDILIN	FRTTYVNANE	EVVSHPGRIA	VHYFKGWFLI	DMVAALPFDL
LIFGSGSEEL	IGLLKTARLL	RLVRVARKLD	RYSEYGA AVL	FLLMCTFALI	AHWLACIWYA
IGNMEQPHMD	SRIGWLHNLG	DQIGKPYNSS	GLGGPSIKDK	YVTALYFTFS	SLTSVGFNGV
SPNTNSEKIF	SICVMLIGSL	MYASIFGNVS	AIQRLYSGT	ARYHTQMLRV	REFIRFHQIP
NPLRQRLEEY	FQHAWSYTNG	IDMNAVLKGF	PECLQADICL	HLNRSLLQHC	KPFRGATKGC
LRALAMKFKT	THAPPGDTLV	HAGDLLTALY	FISRGSIEIL	RGDVVAILG	KNDIFGEPLN
LYARPGKSNG	DVRALTYCDL	HKIHRRDLE	VLDMYPEFSD	HFWSLEITF	NLRDTNMI PG
SPGSTELEGG	FSRQRKRKLS	FRRRTDKDTE	QPGEVSALGP	GRAGAGPSSR	GRPGGPWGES
PSSGPSSPES	SEDEGPGRSS	SPLRLVPFSS	PRPPGEPGG	EPLMEDCEKS	SDTCNPLSGA
FSGVSNIFSF	WGDSRGRQYQ	ELPRCPAPTP	SLLNIPLSSP	GRRPRGDVES	RLDALQRQLN
RLETRLSADM	ATVLQQLQRQ	MTLVPPAYSA	VTPPGPGPTS	TSPLLPVSP	PTLTLDSLQ
VSQFMACEEL	PPGAPELPQE	GPTRRLSLPG	QLGALTSQPL	HRHGSDPGS	

FIG. 3-1

KCNH2-1A cDNA Sequence

(3,897 bps)

CCATGGGCTC	AGGATGCCGG	TGCGGAGGGG	CCACGTCCGG	CCGCAGAACA	50
CCTTCCTGGA	CACCATCATC	CGCAAGTTTG	AGGGCCAGAG	CCGTAAGTTC	100
ATCATCGCCA	ACGCTCGGGT	GGAGAACTGC	GCCGTCATCT	ACTGCAACGA	150
CGGCTTCTGC	GAGCTGTGCG	GCTACTCGCG	GGCCGAGGTG	ATGCAGCGAC	200
CCTGCACCTG	CGACTTCCTG	CACGGGCCCG	GCACGCAGCG	CCGCGCTGCC	250
GCGCAGATCG	CGCAGGCACT	GCTGGGCGCC	GAGGAGCGCA	AAGTGGAAAT	300
CGCCTTCTAC	CGGAAAGATG	GGAGCTGCTT	CCTATGTCTG	GTGGATGTGG	350
TGCCCGTGAA	GAACGAGGAT	GGGGCTGTCA	TCATGTTTCA	CCTCAATTTT	400
GAGGTGGTGA	TGGAGAAGGA	CATGGTGGGG	TCCCCGGCTC	ATGACACCAA	450
CCACCGGGGC	CCCCCACC	GCTGGCTGGC	CCCAGGCCGC	GCCAAGACCT	500
TCCGCTGAA	GCTGCCCGCG	CTGCTGGCGC	TGACGGCCCG	GGAGTCGTCC	550
GTGCGGTCCG	GCGGCGCGGG	CGGCGCGGGC	GCCCCGGGGG	CCGTGGTGGT	600
GGACGTGGAC	CTGACGCCCG	CGGCACCCAG	CAGCGAGTCG	CTGGCCCTGG	650
ACGAAGTGAC	AGCCATGGAC	AACCACGTGG	CAGGGCTCGG	GCCCCGGGAG	700
GAGCGGCGTG	CGCTGGTGGG	TCCCCGCTCT	CCGCCCCGCA	GCGCGCCCGG	750
CCAGCTCCCA	TGCCCCGGG	CGCACAGCCT	CAACCCCGAC	GCCTCGGGCT	800
CCAGCTGCAG	CCTGGCCCGG	ACGCGCTCCC	GAGAAAGCTG	CGCCAGCGTG	850
CGCCGCGCCT	CGTCGGCCGA	CGACATCGAG	GCCATGCGCG	CCGGGGTGCT	900
GCCCCCGCCA	CCGCGCCACG	CCAGCACCGG	GGCCATGCAC	CCACTGCGCA	950
GCGGCTTGCT	CAACTCCACC	TCCGACTCCG	ACCTCGTGCG	CTACCGCACC	1000
ATTAGCAAGA	TTCCCCAAAT	CACCCCTCAAC	TTTGTGGACC	TCAAGGGCGA	1050
CCCCTTCTTG	GCTTCGCCCA	CCAGTGACCG	TGAGATCATA	GCACCTAAGA	1100
TAAAGGAGCG	AACCCACAAT	GTCACTGAGA	AGGTCACCCA	GGTCTGTGCC	1150
CTGGGCGCCG	ACGTGCTGCC	TGAGTACAAG	CTGCAGGCAC	CGCGCATCCA	1200
CCGCTGGACC	ATCCTGCATT	ACAGCCCCTT	CAAGGCCGTG	TGGGACTGGC	1250
TCATCCTGCT	GCTGGTTCATC	TACACGGCTG	TCTTCACACC	CTACTCGGCT	1300
GCCTTCCTGC	TGAAGGAGAC	GGAAGAAGGC	CCGCCTGCTA	CCGAGTGTGG	1350
CTACGCCCTGC	CAGCCGCTGG	CTGTGGTGGG	CCTCATCGTG	GACATCATGT	1400
TCATTGTGGA	CATCCTCATC	AACTTCGCGA	CCACCTACGT	CAATGCCAAC	1450
GAGGAGGTGG	TCAGCCACCC	CGGCCGCATC	GCCGTCCACT	ACTTCAAGGG	1500
CTGGTTCCTC	ATCGACATGG	TGGCCGCCAT	CCCCTTGAC	CTGCTCATCT	1550
TCCGCTCTGG	CTCTGAGGAG	CTGATCGGGC	TGCTGAAGAC	TGCGCGGCTG	1600
CTGCGGCTGG	TGCGCGTGGC	GCGGAAGCTG	GATCGCTACT	CAGAGTACGG	1650
CGCGGCCGTG	CTGTTCTTGC	TCATGTGCAC	CTTTGCGCTC	ATCGCGCACT	1700
GGCTAGCCTG	CATCTGGTAC	GCCATCGGCA	ACATGGAGCA	GCCACACATG	1750
GACTCACGCA	TGCGCTGGCT	GCACAACCTG	GGCGACCAGA	TAGGCAAACC	1800
CTACAACAGC	AGCGGCCTGG	GCGGCCCTC	CATCAAGGAC	AAGTATGTGA	1850
CGGCGCTCTA	CTTCACCTTC	AGCAGCCTCA	CCAGTGTGGG	CTTCGGCAAC	1900
GTCTCTCCCA	ACACCAACTC	AGAGAAGATC	TTCTCCATCT	GCGTCATGCT	1950
CATTGGCTCC	CTCATGTATG	CTAGCATCTT	CGGCAACGTG	TGGCCATCA	2000
TCCAGCGGCT	GTACTCGGGC	ACAGCCCCTG	ACCACACACA	GATGCTGCGG	2050
GTGCGGGAGT	TCATCCGCTT	CCACCAGATC	CCCAATCCCC	TGCGCCAGCG	2100
CCTCGAGGAG	TACTTCCAGC	ACGCCTGGTC	CTACACCAAC	GGCATCGACA	2150

FIG. 3-2

TGAACGCGGT GCTGAAGGGC TTCCCTGAGT GCCTGCAGGC TGACATCTGC 2200
 CTGCACCTGA ACCGCTCACT GCTGCAGCAC TGCAAACCCT TCCGAGGGGC 2250
 CACCAAGGGC TGCCCTCGGG CCCTGGCCAT GAAGTTCAAG ACCACACATG 2300
 CACCGCCAGG GGACACACTG GTGCATGCTG GGGACCTGCT CACCGCCCTG 2350
 TACTTCATCT CCCGGGGCTC CATCGAGATC CTGCGGGGCG ACGTCGTCGT 2400
 GGCCATCCTG GGAAGAATG ACATCTTTGG GGAGCCTCTG AACCTGTATG 2450
 CAAGGCCTGG CAAGTCGAAC GGGGATGTGC GGGCCCTCAC CTACTGTGAC 2500
 CTACACAAGA TCCATCGGGA CGACCTGCTG GAGGTGCTGG ACATGTACCC 2550
 TGAGTTCTCC GACCACTTCT GGTCCAGCCT GGAGATCACC TTCAACCTGC 2600
 GAGATACCAA CATGATCCCG GGCTCCCCCG GCAGTACGGA GTTAGAGGGT 2650
 GGCTTCAGTC GGCAACGCAA GCGCAAGTTG TCCTTCCGCA GGCACACGGA 2700
 CAAGGACACG GAGCAGCCAG GGGAGTGTG GGCCTTGGGG CCGGGCCGGG 2750
 CGGGGGCAGG GCCGAGTAGC CGGGGCCGGC CGGGGGGGCC GTGGGGGGAG 2800
 AGCCCGTCCA GTGGCCCCTC CAGCCCTGAG AGCAGTGAGG ATGAGGGCCC 2850
 AGGCCGCAGC TCCAGCCCCC TCCGCCCTGGT GCCCTTCTCC AGCCCCAGGC 2900
 CCCCCGAGA GCCGCCGGGT GGGGAGCCCC TGATGGAGGA CTGCGAGAAG 2950
 AGCAGCGACA CTTGCAACCC CCTGTCAGGC GCCTTCTCAG GAGTGTCCAA 3000
 CATTTTCAGC TTCTGGGGGG ACAGTCGGGG CCGCCAGTAC CAGGAGCTCC 3050
 CTCGATGCCC CGCCCCACC CCCAGCCTCC TCAACATCCC CCTCTCCAGC 3100
 CCGGTCGGC GGCCCCGGGG CGACGTGGAG AGCAGGCTGG ATGCCCTCCA 3150
 GCGCCAGCTC AACAGGCTGG AGACCCGGCT GAGTGCAGAC ATGGCCACTG 3200
 TCCTGCAGCT GCTACAGAGG CAGATGACGC TGGTCCCGCC CGCCTACAGT 3250
 GCTGTGACCA CCCCCGGGCC TGGCCCCACT TCCACATCCC CGCTGTTGCC 3300
 CGTCAGCCCC CTCCCCACCC TCACCTTGA CTGCTTTCT CAGGTTTCCC 3350
 AGTTCATGGC GTGTGAGGAG CTGCCCCCGG GGGCCCCAGA GCTTCCCCAA 3400
 GAAGGCCCCA CACGACGCCT CTCCCTACCG GCCAGCTGG GGGCCCTCAC 3450
 CTCCAGCCC CTGCACAGAC ACGGCTCGGA CCGGGCAGT TAGTGGGGCT 3500
 GCCAGTGTG GACACGTGGC TCACCCAGGG ATCAAGGCGC TGCTGGGCCG 3550
 CTCCCCTTGG AGGCCCTGCT CAGGAGGCCC TGACCGTGA AGGGGAGAGG 3600
 AACTCGAAAG CACAGCTCCT CCCCCAGCCC TTGGGACCAT CTCTCCTGC 3650
 AGTCCCCTGG GCCCCAGTGA GAGGGGCAGG GGCAGGGCCG GCAGTAGGTG 3700
 GGGCCTGTGG TCCCCCACT GCCCTGAGGG CATTAGCTGG TCTAACTGCC 3750
 CGGAGGCACC CGGCCCTGGG CCTTAGGCAC CTCAAGGACT TTTCTGCTAT 3800
 TTACTGCTCT TATTGTTAAG GATAATAATT AAGGATCATA TGAATAATTA 3850
 ATGAAGATGC TGATGACTAT GAATAATAAA TAATTATCCT GAGGAGA 3897

Isoform 3.1 cDNA Sequence

(4,717 bps)

GGGGCGGGGG	GCGGTGGGGC	AGCAGGGGGC	CCATGTGCTC	AACAGGGAGC	50
TGAGGGAGAC	CAGGGGTGCT	TGGCTTAGGG	GACTGACCTC	ATCTGGTACA	100
GGGCCTTTGG	TGGACAGAGA	GAGACTGGAA	AAGAAAAAGT	CAAGGATCGA	150
GGGGAACCGG	AGGCGAGGTG	AAGATGAAAG	ATCTAGAAGC	AGTGCTTCTT	200
AGACTCTTTG	GTGAAGGATC	AGTGTTTTTT	AATGCTAATA	TGTTATAGGC	250
TGGTACATCT	GTGAAATACA	AGAGCACATG	CGTGGATTTT	GTGGTGATGC	300
CAAATTGCTG	TAAAGTTTTT	GAAATTTCTG	CTCTCAACGT	CTGTCCPTAT	350

FIG. 3-3

CTTGTGTGCT	GACACAACGG	CTGGTGCTAA	TCTAGAGAGA	ACAGAGAGAC	400
AGATAGTAAC	AGCTAACCGT	CTGTAGTGTG	CGGCATACGC	CAGGCATTGC	450
CTAAGCGCTC	AGCATGTGCT	GACTTGGTTA	ATCTTTATAA	CTCATGAGAA	500
AAGAATTATA	TACATTATGT	GTATCACAAC	ATCACTGTGT	ACCCCATAAA	550
TATGTACAAT	TATTGTGTCA	ATTAAAAAGT	TAAAAAATTT	TAAAAAGAAA	600
AAATTATTAT	TCTTCCCATT	TTATAGATGG	AAAAAATGAG	GCACAAGGAT	650
GTTAAATAAT	TTAGGAAAAA	AGCATAAGTG	ATTAAGCAGC	AGATCCGGGC	700
TATGAACCCA	GAGATCGTAG	GCCTAAAGTC	TGTGGGCTTA	AGCACTGTGC	750
TACAGCGCCT	CGCTTGAGGG	AAAGGCAGAG	GTGCTGGGAG	GATATGAGGA	800
AATGAGATAG	GGAGGAAATG	AGATCAAAGA	TGGCAGAAGA	AAGGATCATA	850
GCCAGCGTGA	GAAAAAGTGC	AGCCGGGAAA	GAGCTAGATA	AATCATATAG	900
GCAGAGAGAG	GGGTAGGGGA	GCCTGGCAGC	AACAAGCTGG	GGTAGACAGA	950
TTGAGGGGAG	CCATAAGGGC	GGCAGGCACA	TGGCCGGGTG	GGGGATCAGG	1000
ACGGGAGATC	CCGGAGAGGA	AGGGCCATAC	GGGGAGGCAG	AAGTGGACGG	1050
GCCCACTTGG	GTTCAGGGT	CCATCCTGCG	TGGCTTTCTG	CTCTGCCAC	1100
TGAGTGGGTG	CCAAGGGGGC	TATGTCCTCC	CACTCTGCA	GGAGCTGCTT	1150
CCTATGTCTG	GTGGATGTGG	TGCCCGTGAA	GAACGAGGAT	GGGGCTGTCA	1200
TCATGTTTAT	CCTCAATTTT	GAGGTGGTGA	TGGAGAAGGA	CATGGTGGGG	1250
TCCCCGGCTC	ATGACACCAA	CCACCGGGGC	CCCCCACCA	GCTGGCTGGC	1300
CCCAGGCCGC	GCCAAGACCT	TCCGCCTGAA	GCTGCCCGCG	CTGCTGGCGC	1350
TGACGGCCCG	GGAGTCGTCG	GTCCGGTCGG	GCGGCGCGGG	CGGCGCGGGC	1400
GCCCCGGGGG	CCGTGGTGGT	GGACGTGGAC	CTGACGCCCG	CGGCACCCAG	1450
CAGCGAGTCG	CTGGCCCTGG	ACGAAGTGAC	AGCCATGGAC	AACCACGTGG	1500
CAGGGCTCGG	GCCCCGCGAG	GAGCGGCGTG	CGCTGGTGGG	TCCCGGCTCT	1550
CCGCCCCGCA	GCGCGCCCGG	CCAGCTCCCA	TGCCCCCGGG	CGCACAGCCT	1600
CAACCCCGAC	GCCTCGGGCT	CCAGCTGCAG	CCTGGCCCCG	ACGCGCTCCC	1650
GAGAAAGCTG	CGCCAGCGTG	CGCCGCGCCT	CGTCGGCCGA	CGACATCGAG	1700
GCCATGCGCG	CCGGGGTGTCT	GCCCCCGCCA	CCGCGCCACG	CCAGCACCCG	1750
GGCCATGCAC	CCACTGCGCA	GCGGCTTGTCT	CAACTCCACC	TCGGACTCCG	1800
ACCTCGTGCG	CTACCGCACC	ATTAGCAAGA	TTCCCCAAAT	CACCCTCAAC	1850
TTTGTGGACC	TCAAGGGCGA	CCCCTTCTTG	GCTTCGCCCA	CCAGTGACCG	1900
TGAGATCATA	GCACCTAAGA	TAAAGGAGCG	AACCCACAAT	GTCACTGAGA	1950
AGGTCACCCA	GGTCTCTGTC	CTGGGCGCCG	ACGTGCTGCC	TGAGTACAAG	2000
CTGCAGGCAC	CGCGCATCCA	CCGCTGGACC	ATCCTGCATT	ACAGCCCCTT	2050
CAAGGCCGTG	TGGGACTGGC	TCATCCTGCT	GCTGGTCAAT	TACACGGCTG	2100
TCTTCACACC	CTACTCGGCT	GCCTTCCTGC	TGAAGGAGAC	GGAAGAAGGC	2150
CCGCCGTGTA	CCGAGTGTGG	CTACGCCTGC	CAGCCGCTGG	CTGTGGTGGG	2200
CCTCATCGTG	GACATCATGT	TCATTGTGGA	CATCCTCATC	AACTTCCGCA	2250
CCACCTACGT	CAATGCCAAC	GAGGAGGTGG	TCAGCCACCC	CGGCCGCATC	2300
GCCGTCCACT	ACTTCAAGGG	CTGGTTCCCTC	ATCGACATGG	TGGCCGCCAT	2350
CCCCTTGAC	CTGCTCATCT	TCGGCTCTGG	CTCTGAGGAG	CTGATCGGGC	2400
TGCTGAAGAC	TGCCGCGCTG	CTGCGGCTGG	TGCGCGTGGC	GCGGAAGCTG	2450
GATCGCTACT	CAGAGTACGG	CGCGGCCGTG	CTGTTCTTGC	TCATGTGCAC	2500
CTTTGCGCTC	ATCGCGCACT	GGCTAGCCTG	CATCTGGTAC	GCCATCGGCA	2550
ACATGGAGCA	GCCACACATG	GACTCACGCA	TCGGCTGGCT	GCACAACCTG	2600

FIG. 3-4

GGCGACCAGA	TAGGCAAACC	CTACAACAGC	AGCGGCCTGG	GCGGCCCTC	2650
CATCAAGGAC	AAGTATGTGA	CGGCGCTCTA	CTTCACCTTC	AGCAGCCTCA	2700
CCAGTGTGGG	CTTCGGCAAC	GTCTCTCCCA	ACACCAACTC	AGAGAAGATC	2750
TTCTCCATCT	GCGTCATGCT	CATTGGCTCC	CTCATGTATG	CTAGCATCTT	2800
CGGCAACGTG	TCGGCCATCA	TCCAGCGGCT	GTACTCGGGC	ACAGCCCCTG	2850
ACCACACACA	GATGCTGCGG	GTGCGGGAGT	TCATCCGCTT	CCACCAGATC	2900
CCCAATCCCC	TGCGCCAGCG	CCTCGAGGAG	TACTTCCAGC	ACGCCTGGTC	2950
CTACACCAAC	GGCATCGACA	TGAACGCGGT	GCTGAAGGGC	TTCCCTGAGT	3000
GCCTGCAGGC	TGACATCTGC	CTGCACCTGA	ACCGCTCACT	GCTGCAGCAC	3050
TGCAAACCCT	TCCGAGGGGC	CACCAAGGGC	TGCCCTCGGG	CCCTGGCCAT	3100
GAAGTTCAAG	ACCACACATG	CACCGCCAGG	GGACACACTG	GTGCATGCTG	3150
GGGACCTGCT	CACCGCCCTG	TACTTCATCT	CCCGGGGCTC	CATCGAGATC	3200
CTGCGGGGCG	ACGTCGTCGT	GGCCATCCTG	GGGAAGAATG	ACATCTTTGG	3250
GGAGCCTCTG	AACCTGTATG	CAAGGCCTGG	CAAGTCGAAC	GGGGATGTGC	3300
GGGCCCTCAC	CTACTGTGAC	CTACACAAGA	TCCATCGGGA	CGACCTGCTG	3350
GAGGTGCTGG	ACATGTACCC	TGAGTTCTCC	GACCACTTCT	GGTCCAGCCT	3400
GGAGATCACC	TTCAACCTGC	GAGATACCAA	CATGATCCCG	GGCTCCCCCG	3450
GCAGTACGGA	GTTAGAGGGT	GGCTTCAGTC	GGCAACGCAA	GCGCAAGTTG	3500
TCCTTCCGCA	GGCGCACGGA	CAAGGACACG	GAGCAGCCAG	GGGAGGTGTC	3550
GGCCTTGGGG	CCGGGCCGGG	CGGGGGCAGG	GCCGAGTAGC	CGGGGCCGGC	3600
CGGGGGGGCC	GTGGGGGGAG	AGCCCGTCCA	GTGGCCCCCTC	CAGCCCTGAG	3650
AGCAGTGAGG	ATGAGGGCCC	AGGCCCGAGC	TCCAGCCCCC	TCCGCTGGT	3700
GCCCTTCTCC	AGCCCCAGGC	CCCCCGGAGA	GCCGCCGGGT	GGGGAGCCCC	3750
TGATGGAGGA	CTGCGAGAAG	AGCAGCGACA	CTTGCAACCC	CCTGTCAGGC	3800
GCCTTCTCAG	GAGTGTCCAA	CATTTTCAGC	TTCTGGGGGG	ACAGTCGGGG	3850
CCGCCAGTAC	CAGGAGCTCC	CTCGATGCCC	CGCCCCCACC	CCCAGCCTCC	3900
TCAACATCCC	CCTCTCCAGC	CCGGGTCCGC	GGCCCCGGGG	CGACGTGGAG	3950
AGCAGGCTGG	ATGCCCTCCA	GCGCCAGCTC	AACAGGCTGG	AGACCCGGCT	4000
GAGTGCAGAC	ATGGCCACTG	TCCTGCAGCT	GCTACAGAGG	CAGATGACGC	4050
TGGTCCCGCC	CGCCTACAGT	GCTGTGACCA	CCCCGGGGCC	TGGCCCCACT	4100
TCCACATCCC	CGCTGTTGCC	CGTCAGCCCC	CTCCCCACCC	TCACCTTGA	4150
CTCGCTTTCT	CAGGTTTCCC	AGTTCATGGC	GTGTGAGGAG	CTGCCCCCGG	4200
GGGCCCCAGA	GCTTCCCCAA	GAAGGCCCCA	CACGACGCCT	CTCCCTACCG	4250
GGCCAGCTGG	GGGCCCTCAC	CTCCCAGCCC	CTGCACAGAC	ACGGCTCGGA	4300
CCCGGGCAGT	TAGTGGGGCT	GCCCAGTGTG	GACACGTGGC	TCACCCAGGG	4350
ATCAAGGCGC	TGCTGGGCCG	CTCCCCTTGG	AGGCCCTGCT	CAGGAGGCC	4400
TGACCCTGGA	AGGGGAGAGG	AACTCGAAAG	CACAGCTCCT	CCCCCAGCCC	4450
TTGGGACCAT	CTTCTCCTGC	AGTCCCCTGG	GCCCCAGTGA	GAGGGGCAGG	4500
GGCAGGGCCG	GCAGTAGGTG	GGGCCTGTGG	TCCCCCCTACT	GCCCTGAGGG	4550
CATTAGCTGG	TCTAACTGCC	CGGAGGCACC	CGGCCCTGGG	CCTTAGGCAC	4600
CTCAAGGACT	TTTCTGCTAT	TTACTGCTCT	TATTGTTAAG	GATAATAAATT	4650
AAGGATCATA	TGAATAATTA	ATGAAGATGC	TGATGACTAT	GAATAATAAAA	4700
TAATTATCCT	GAGGAGA				4717

FIG. 3-5

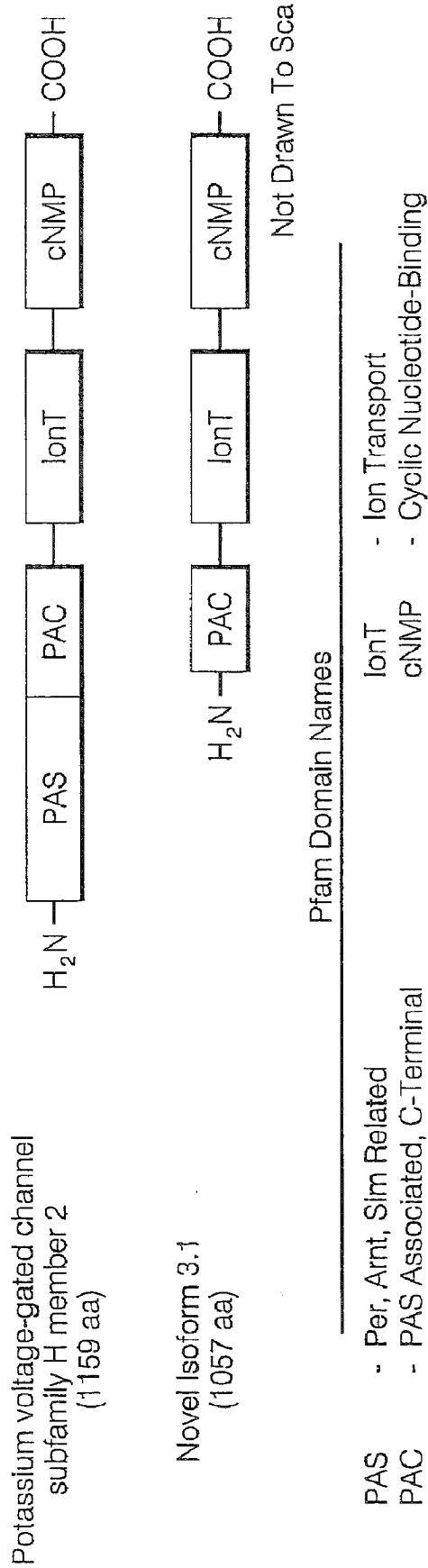


FIG. 4

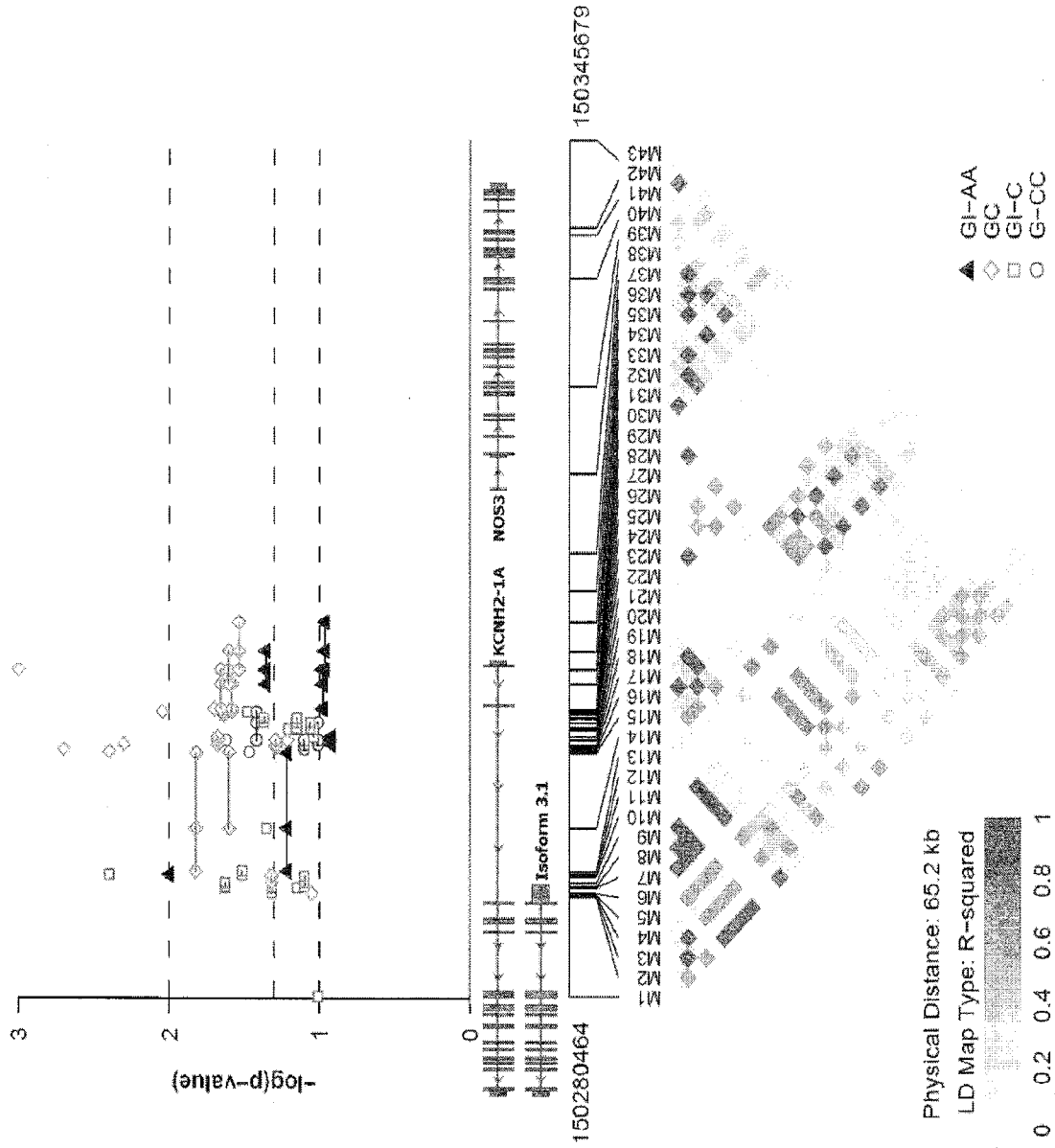


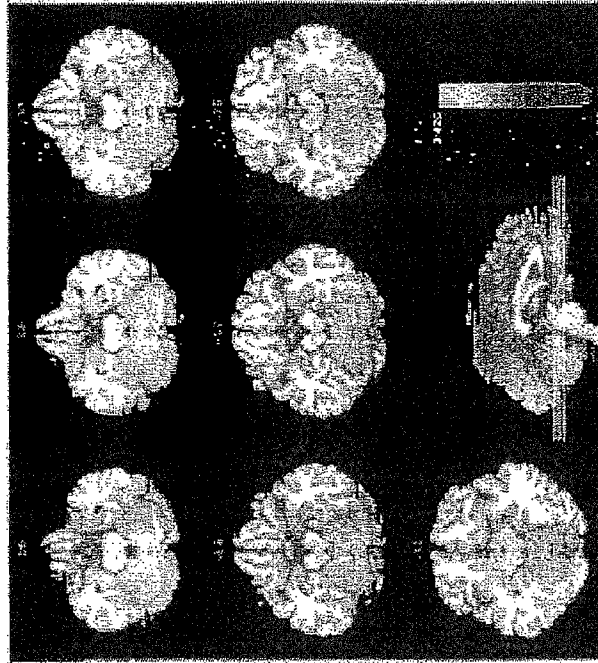
FIG. 5

Genotype vs. Cognitive Performance

Cognitive Trait	Model	1/2	M30	2/2
Factor 1 (n = 231): Verbal Memory	0.81	0.77 (0.025)	0.52 (0.087)	
Factor 2 (n = 289): Nback	0.66	0.45 (-0.079)	0.46 (-0.12)	
Factor 3 (n = 230): Visual Memory	0.55	0.44 (0.078)	0.33 (0.15)	
Factor 4 (n = 320): Processing Speed	0.044	0.94 (0.0040)	0.02 (-0.196)	
Factor 5 (n = 295): Card Sorting	0.630	0.54 (0.061)	0.62 (-0.078)	
Factor 6 (n = 304): Attention	0.21	0.091 (-0.132)	0.28 (-0.127)	
Factor 7 (n = 231): Digit Span	0.71	0.45 (0.085)	0.94 (-0.013)	

FIG. 6A

Genotype vs. Structural Volumes



G/G > G/T > T/T

FIG. 6B

Genotype vs. Regional Activation During Memory Task

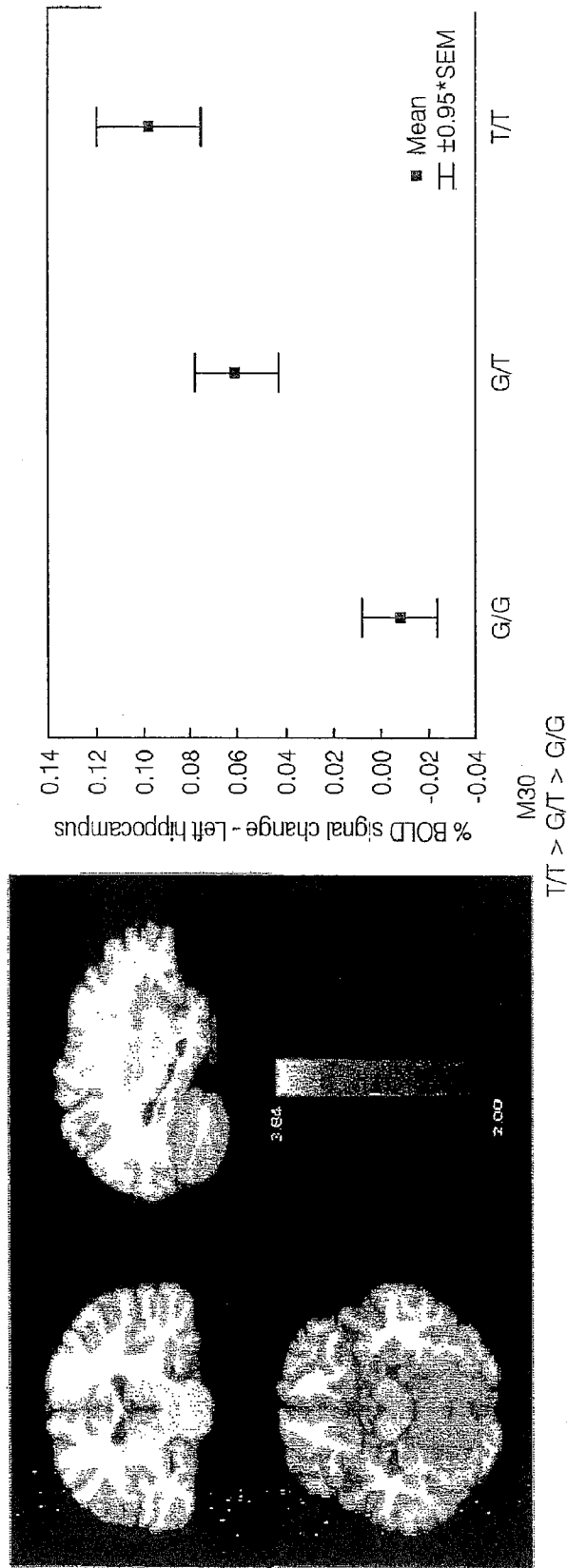


FIG. 6C

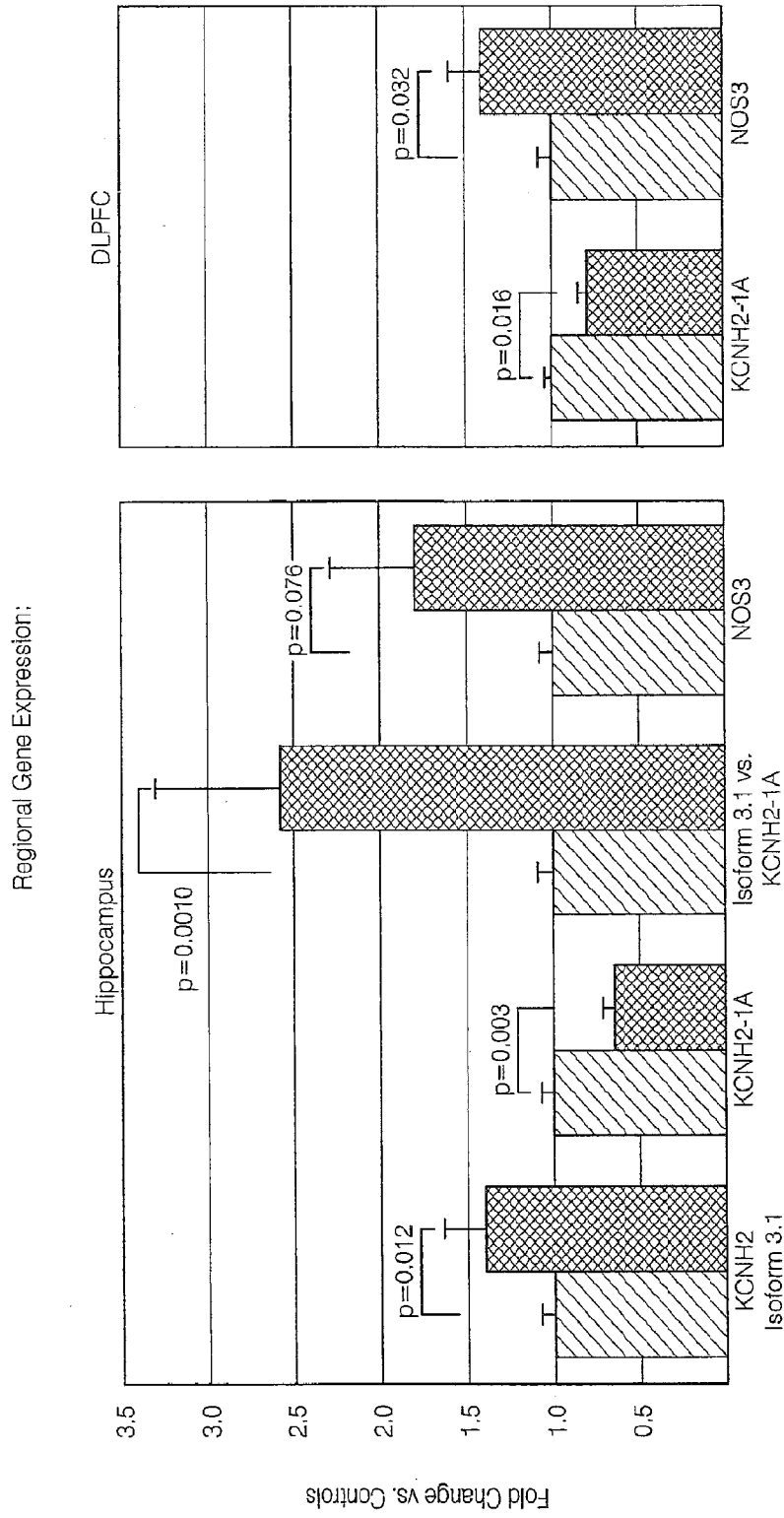


FIG. 7A

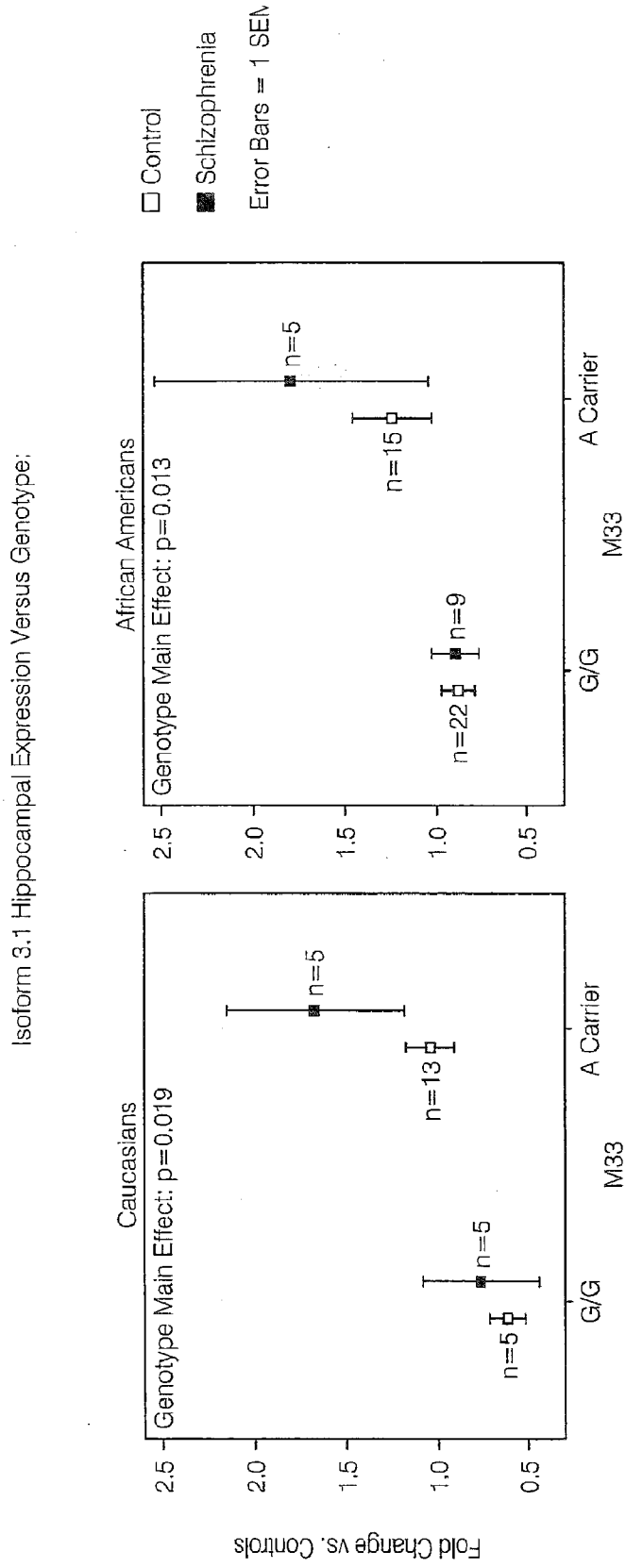


FIG. 7B

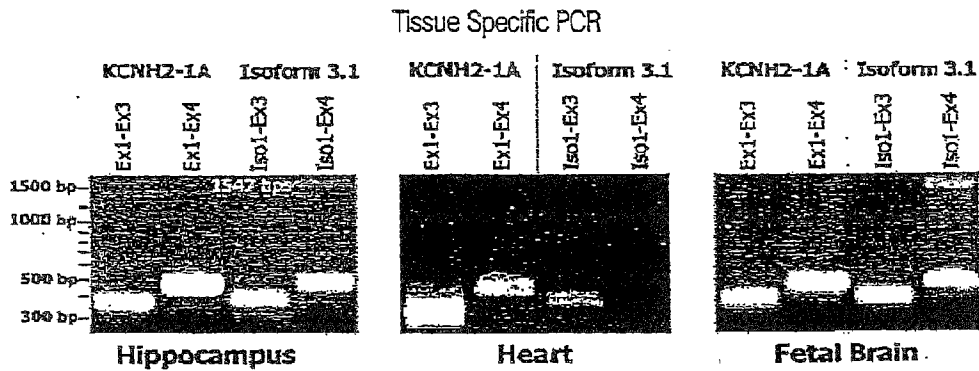


FIG. 8A

Tissue Specific QPCR

	Hippocampus	PFC	Heart	Skeletal Muscle
Isoform 3.1 vs. KCNH2-1A Expression	-188.7 % (± 19.7)	-3.8 % (± 9.9)	-1297.2 % (± 91.6)	Undetectable

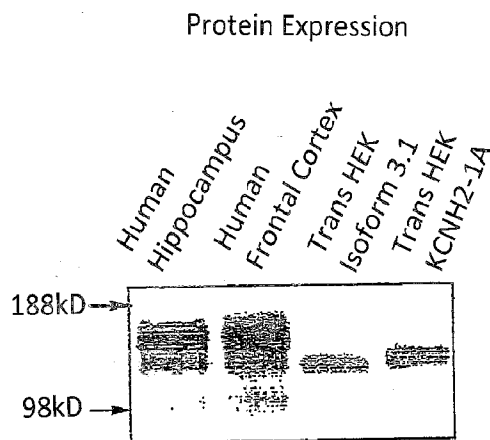


FIG. 8C

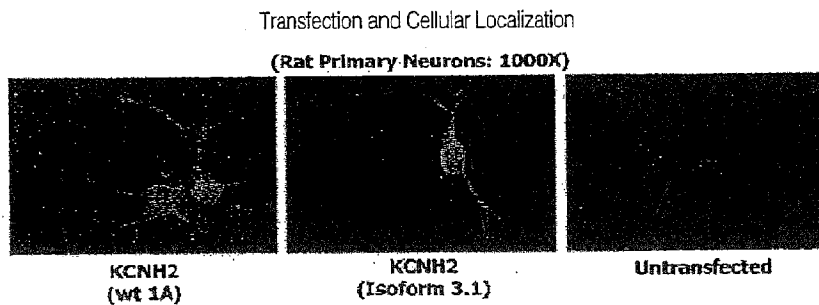


FIG. 8D

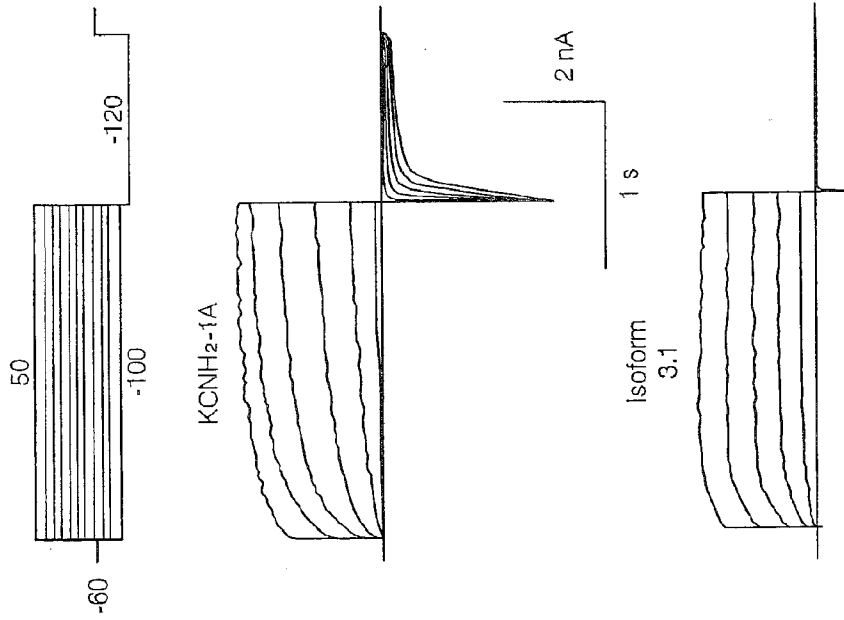


FIG. 9B

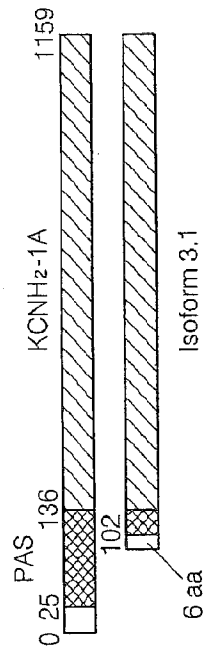


FIG. 9A

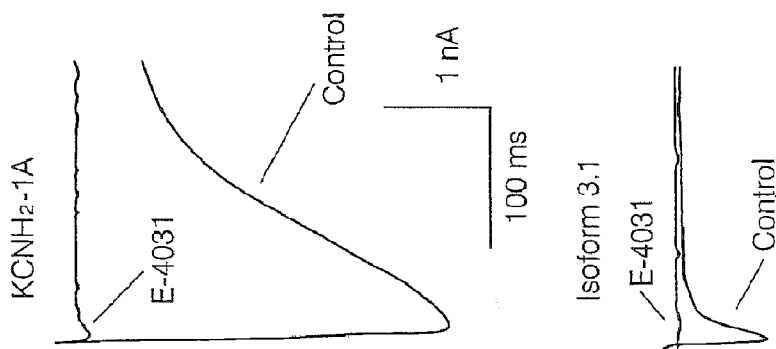


FIG. 9C

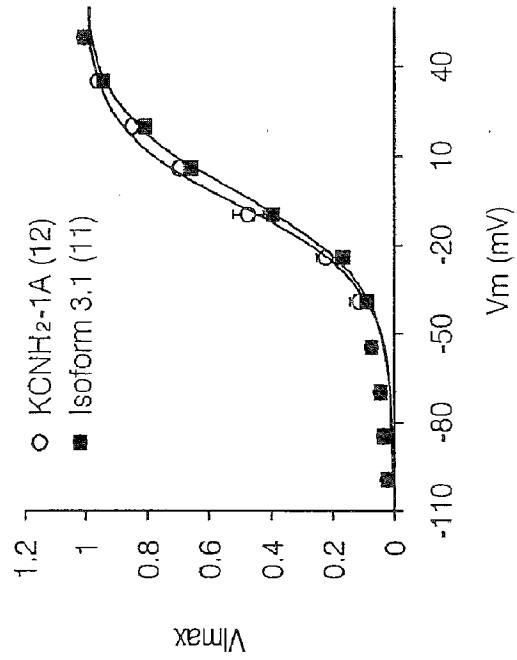


FIG. 9D

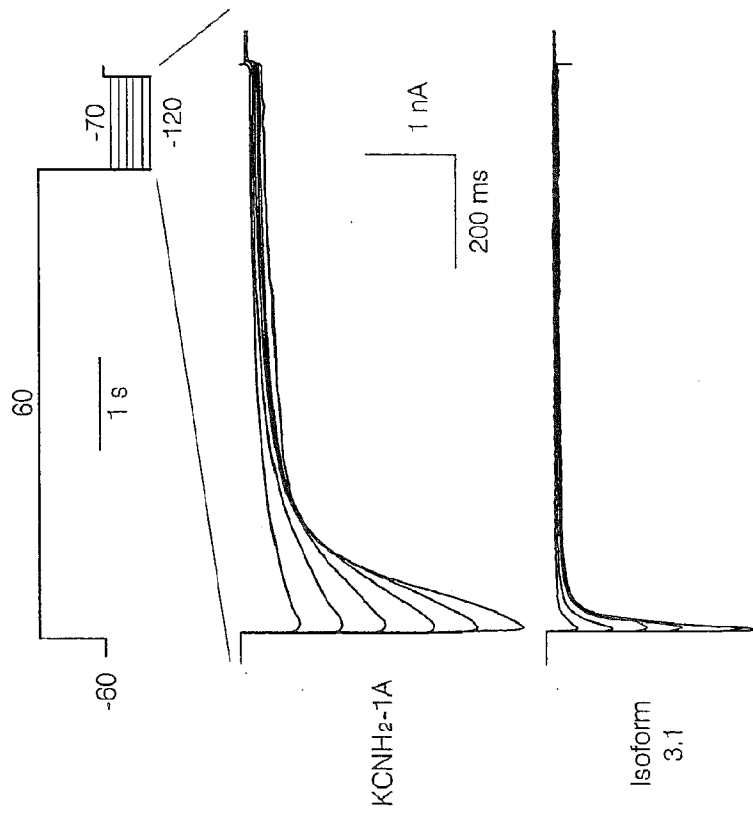


FIG. 9E

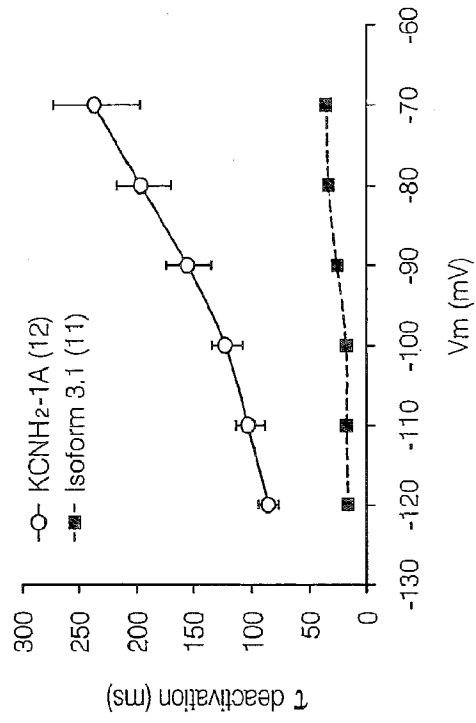


FIG. 9F

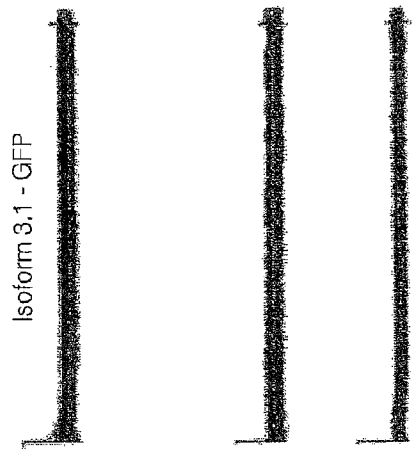
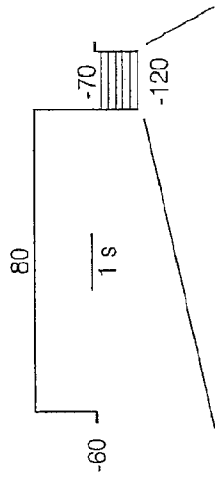


FIG. 10B

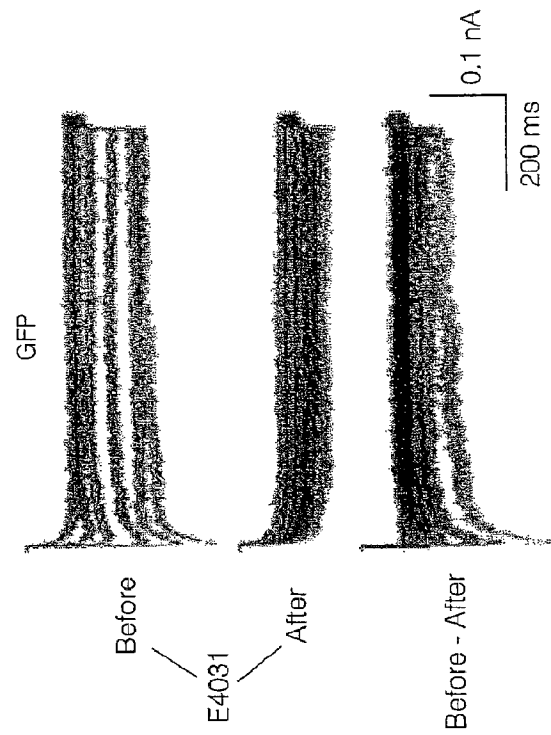
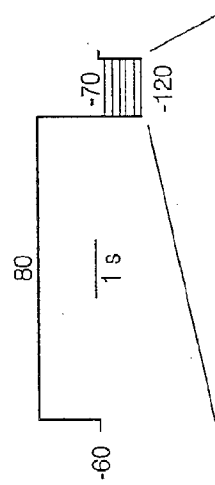


FIG. 10A

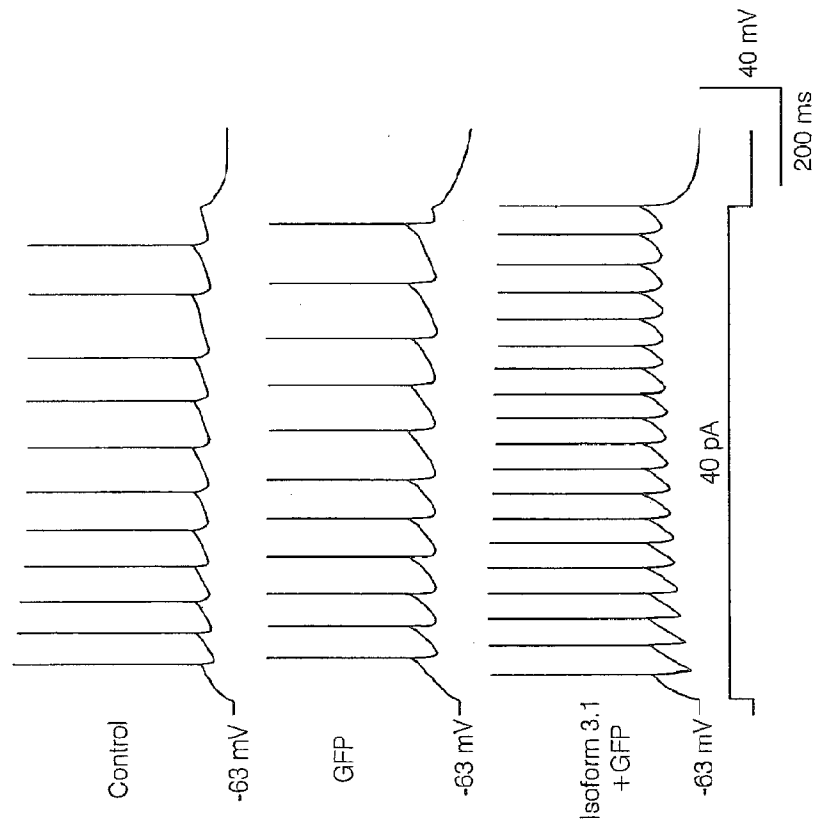


FIG. 10D

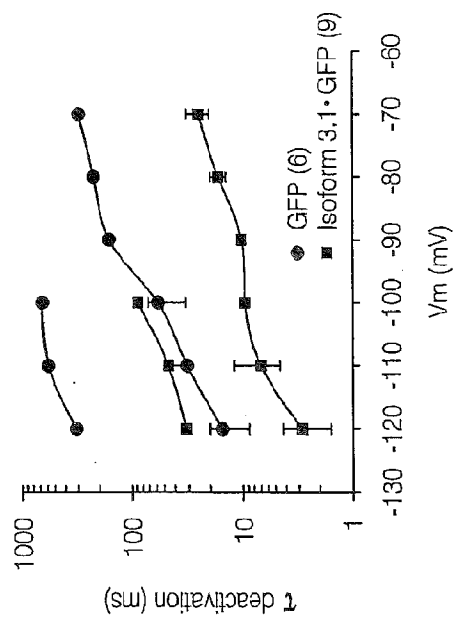


FIG. 10C

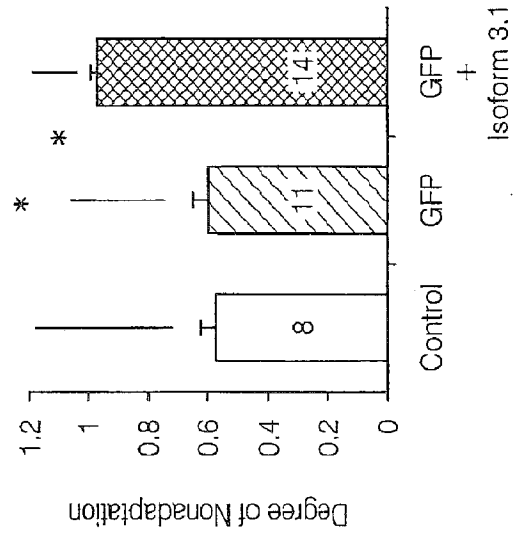


FIG. 10F

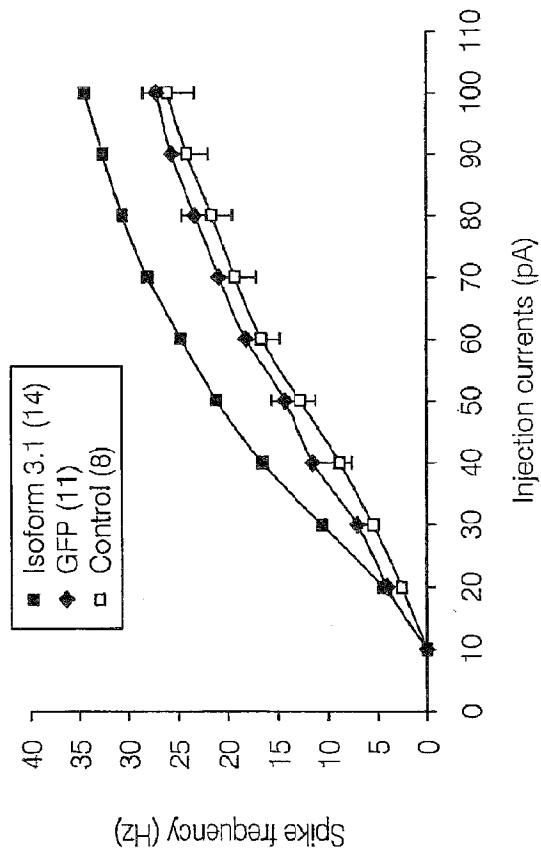


FIG. 10E

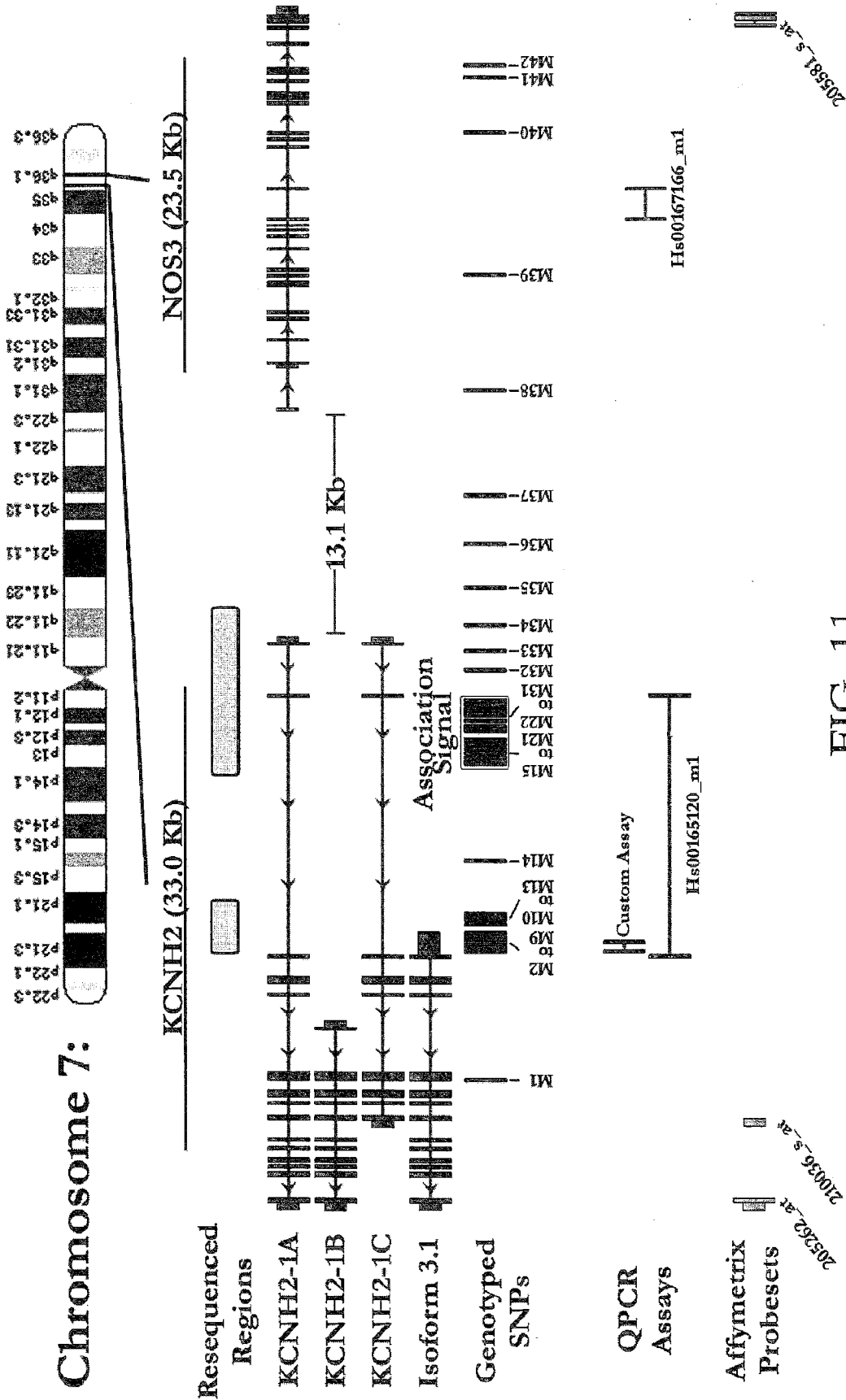


FIG. 11

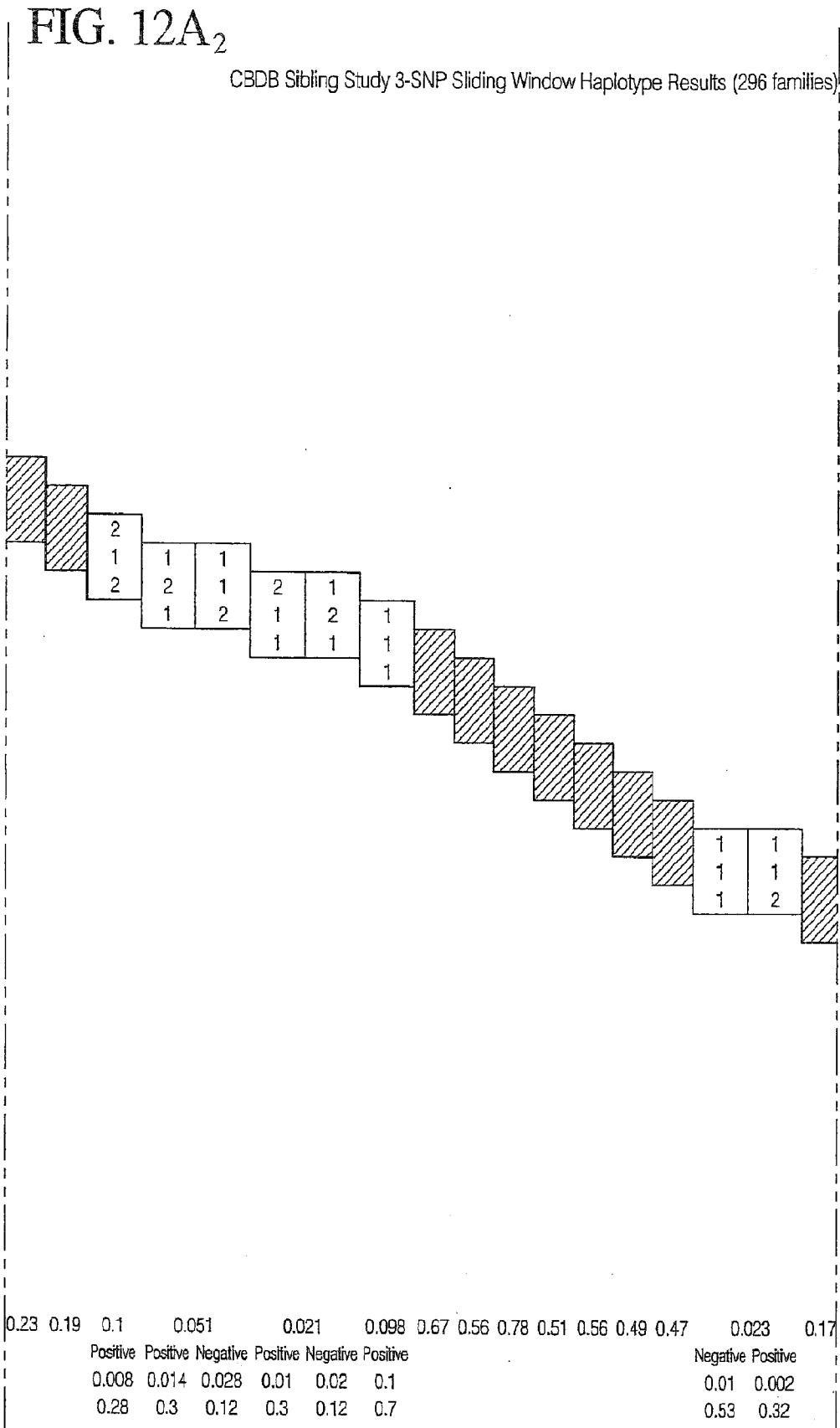
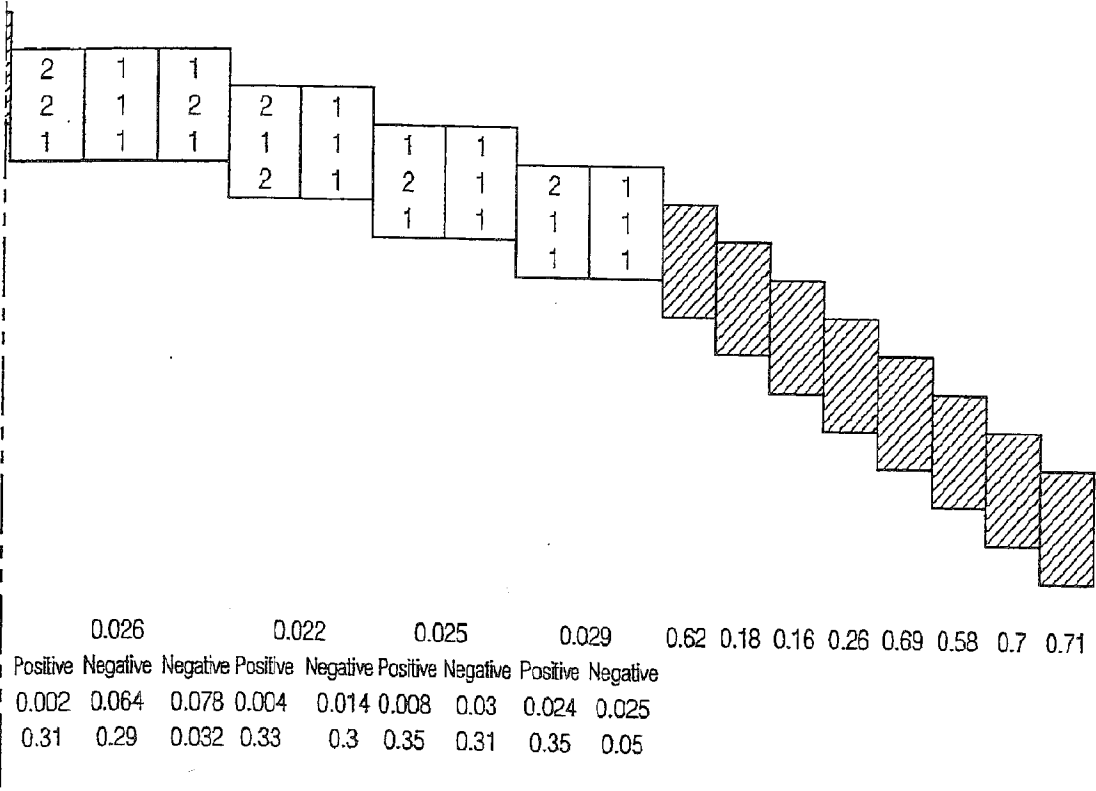
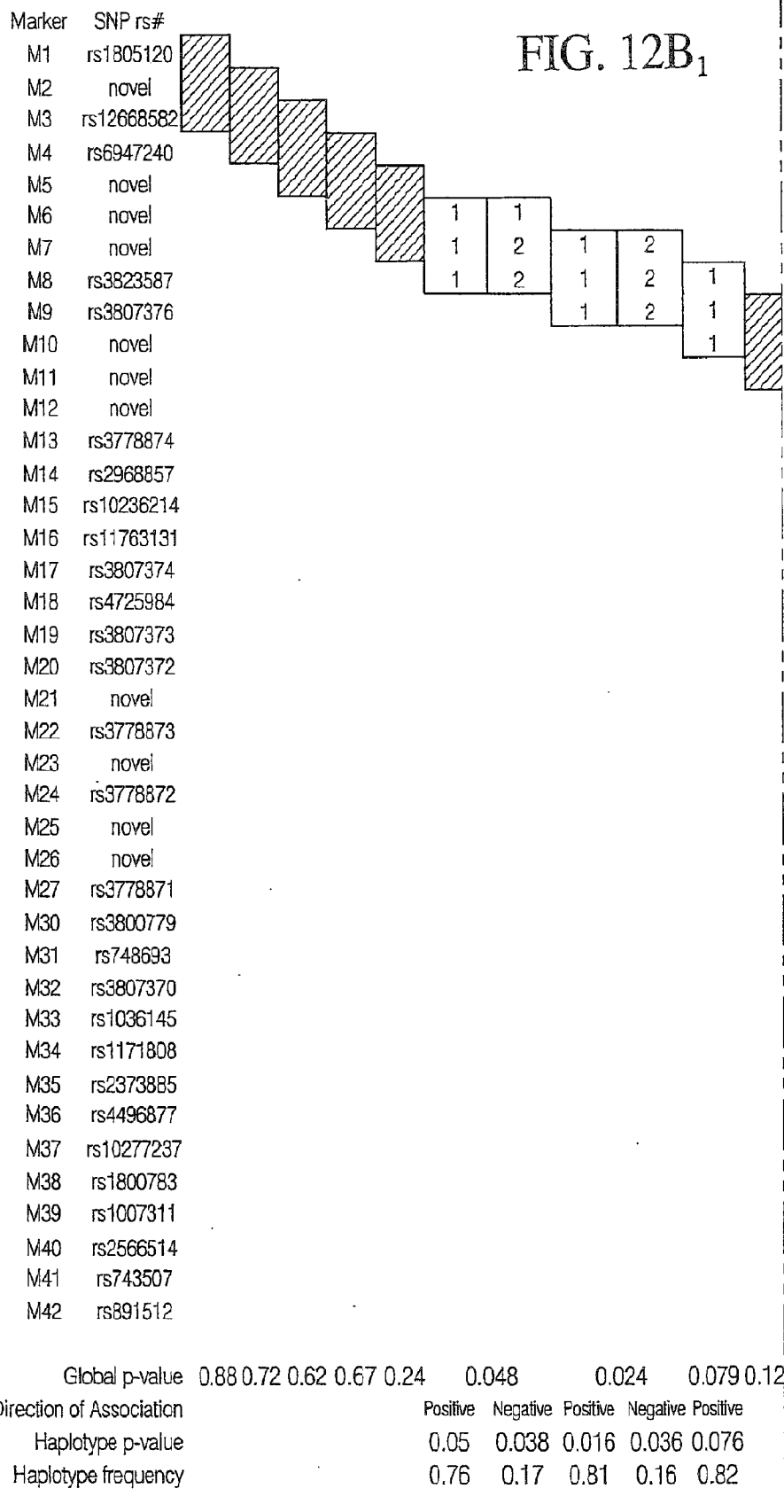


FIG. 12A₃

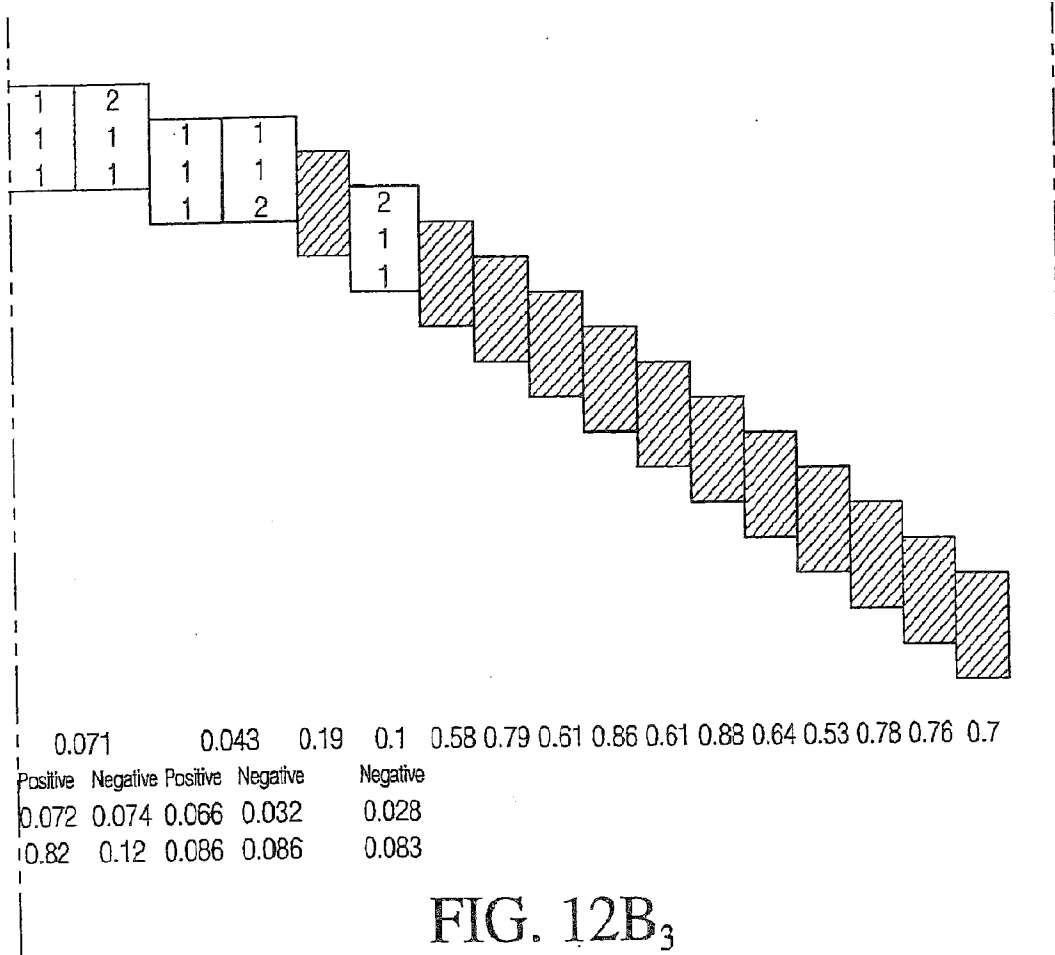




NIMHGI-C3-SNP Slicing Window Haplotype Results (71 families)



FIG. 12B₂



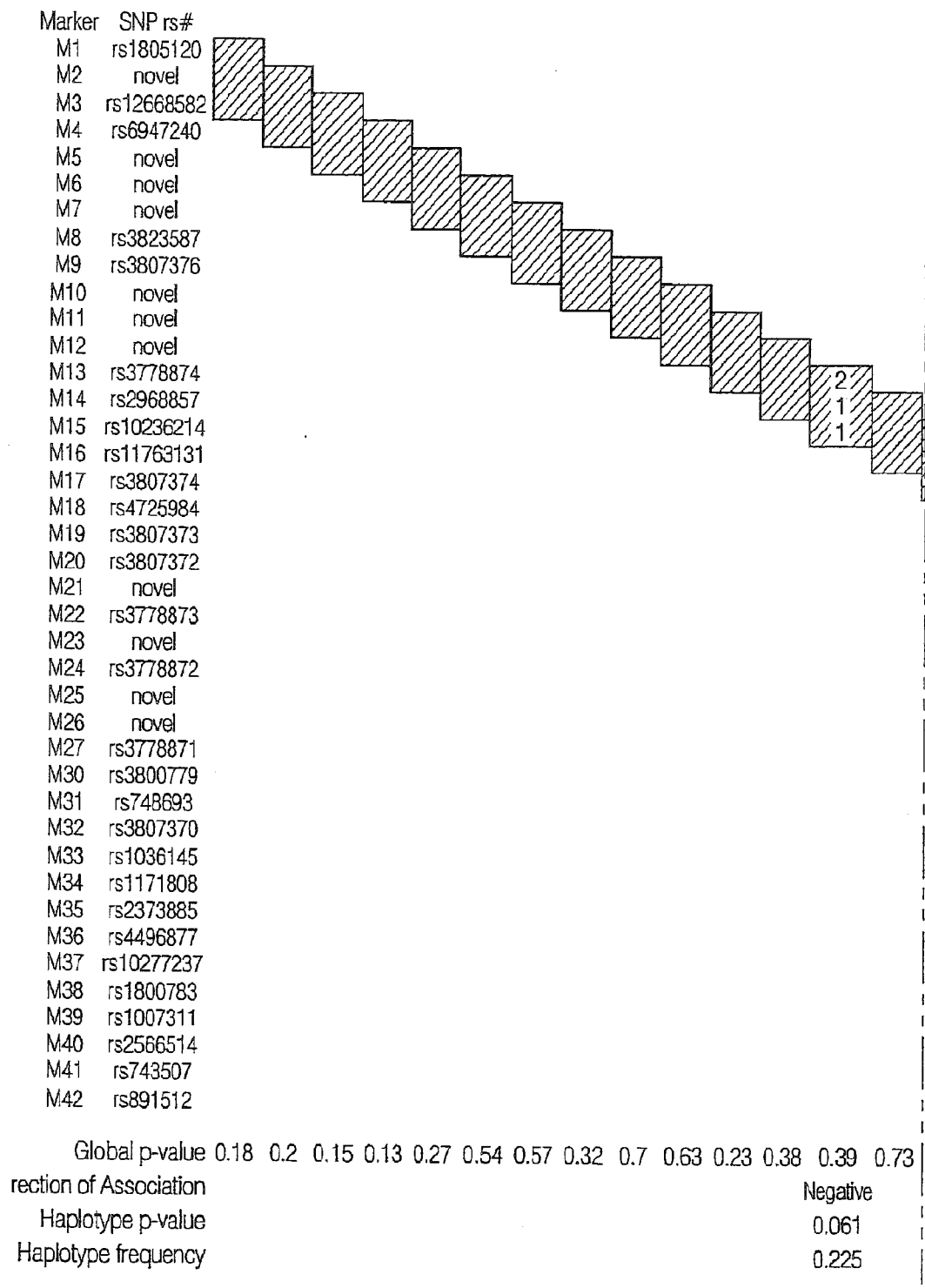


FIG. 12C₁

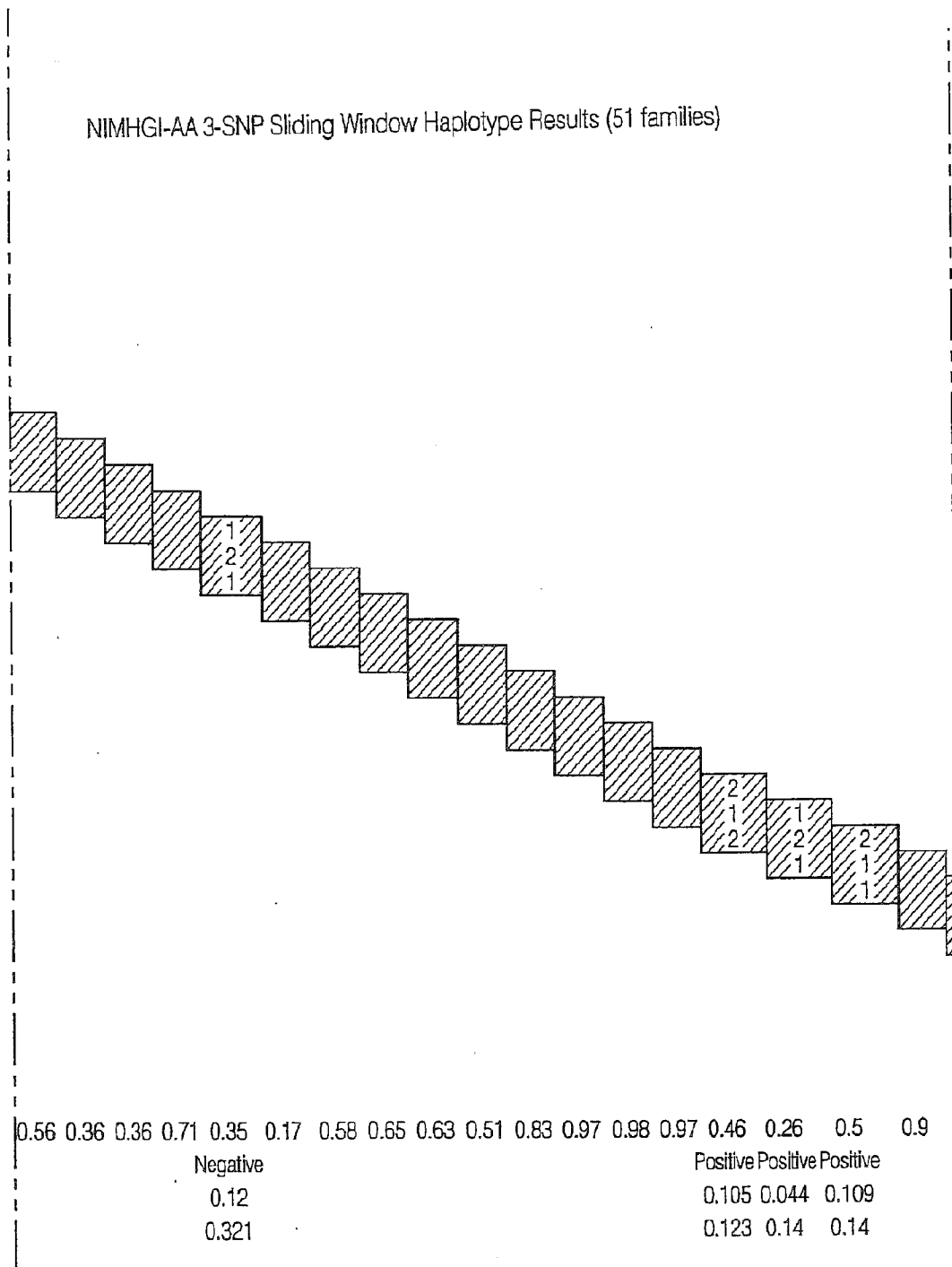


FIG. 12C₂

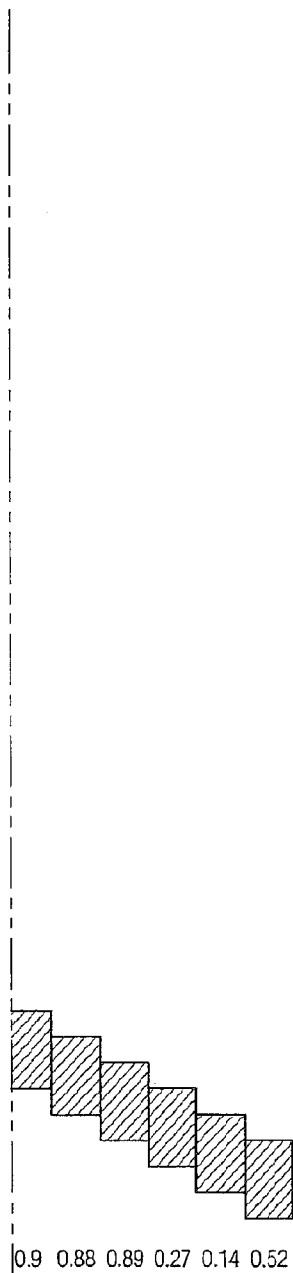


FIG. 12C₃

German Case-Control 3-SNP Sliding Window Haplotype Results

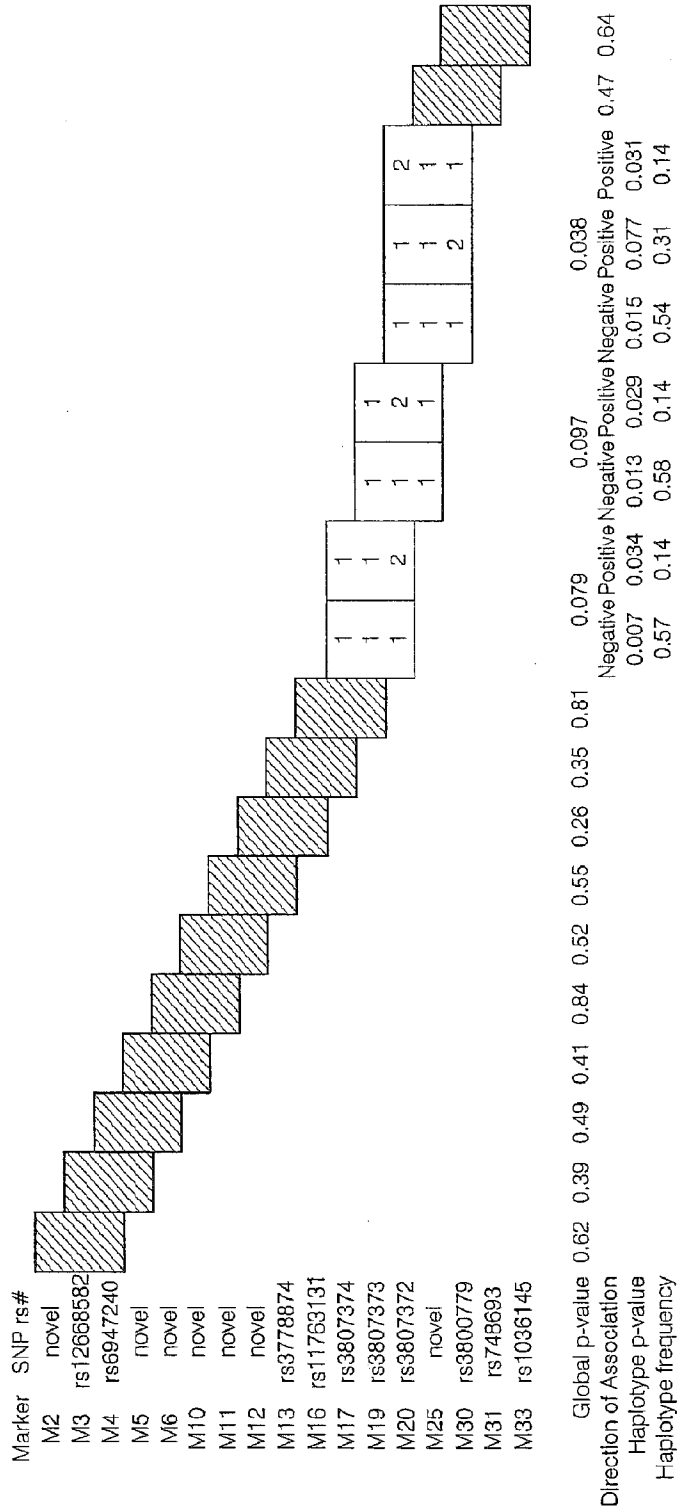


FIG. 12D

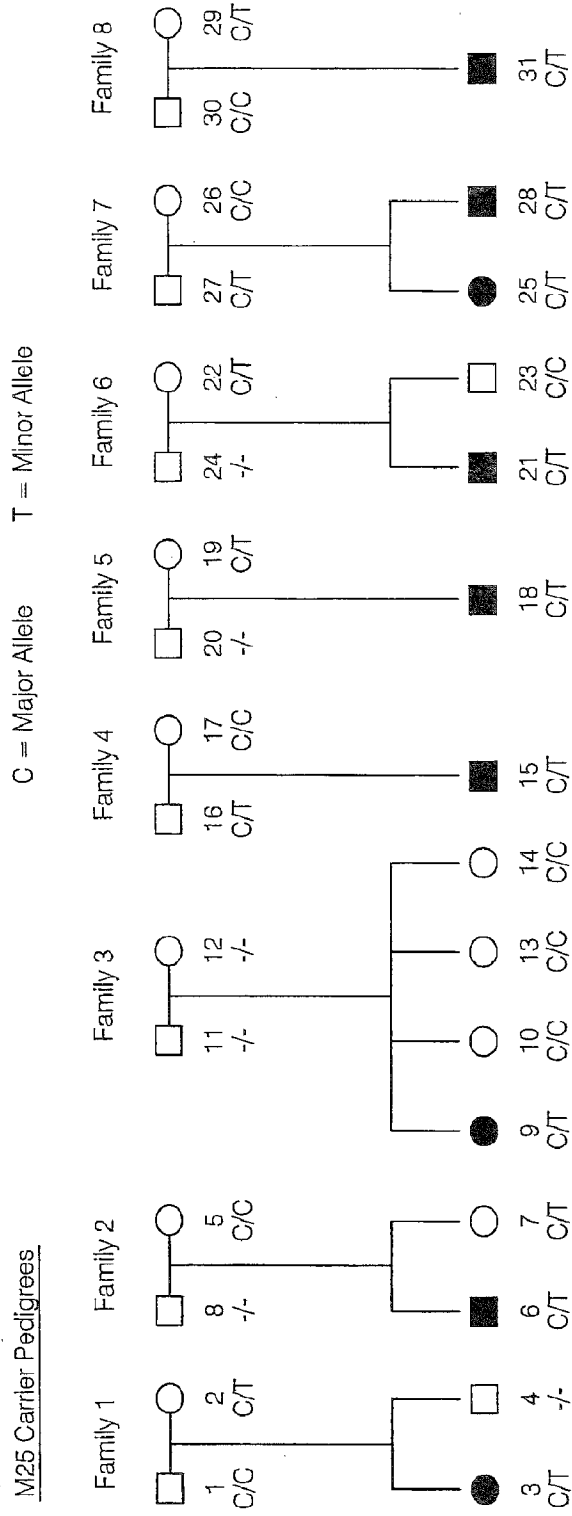


FIG. 13

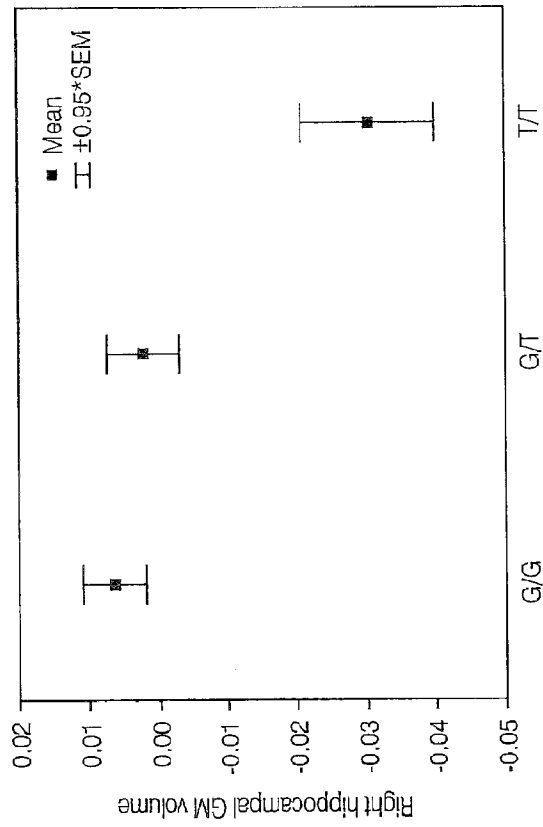


FIG. 14A

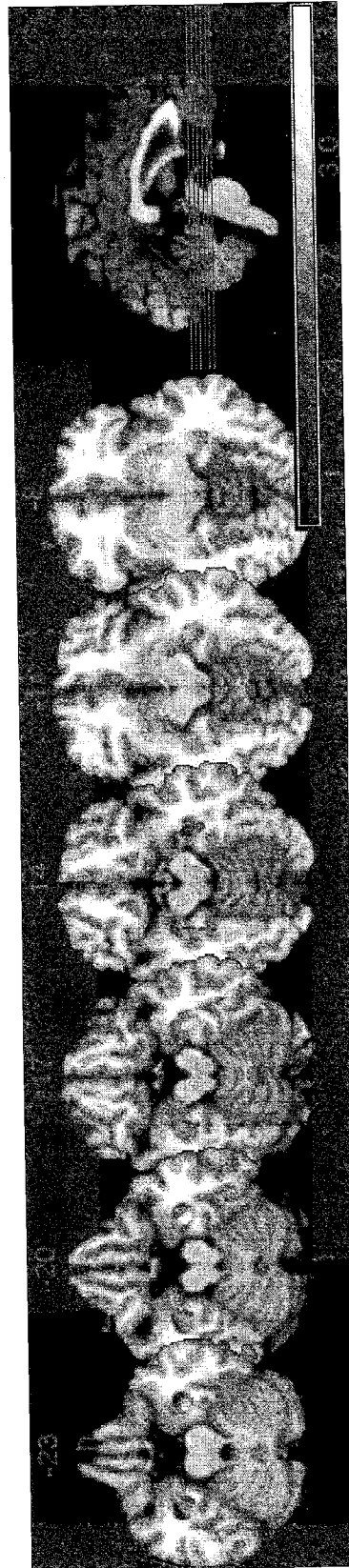


FIG. 14B

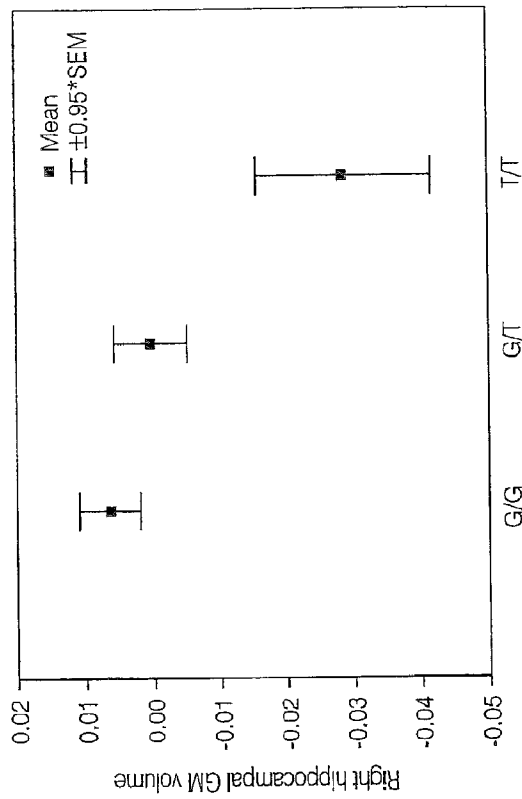


FIG. 15A

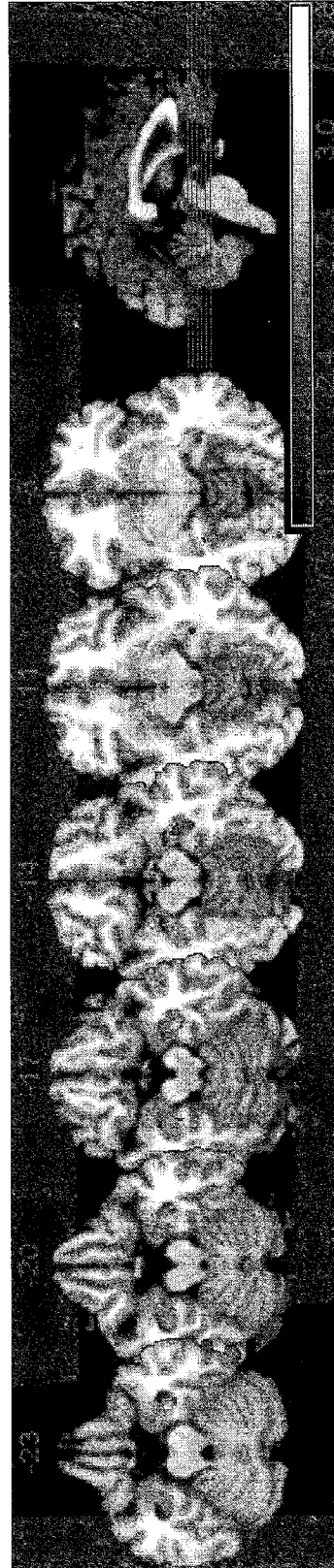


FIG. 15B

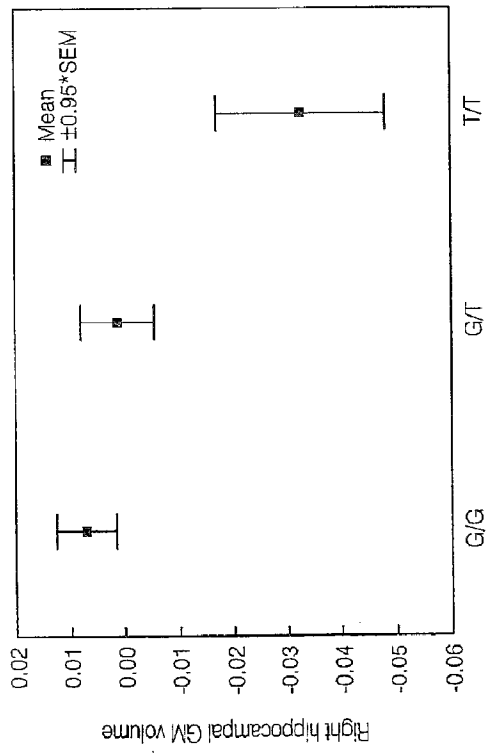


FIG. 16A

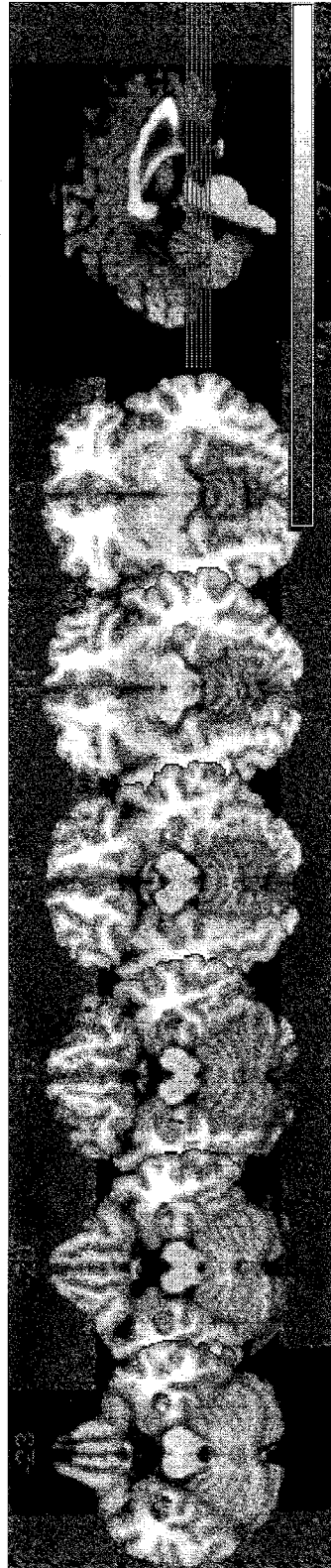


FIG. 16B

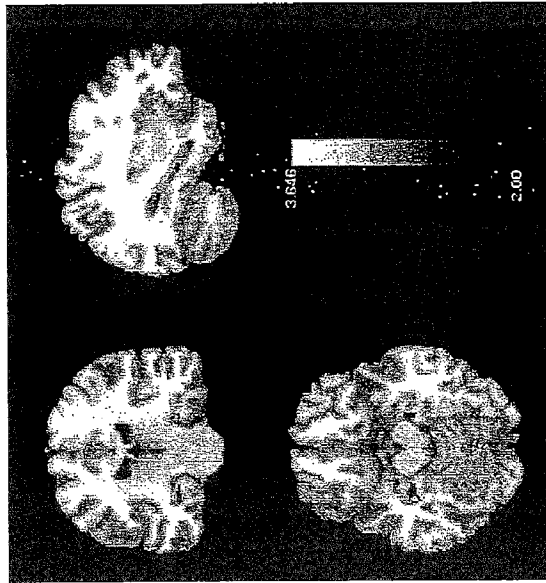


FIG. 17B

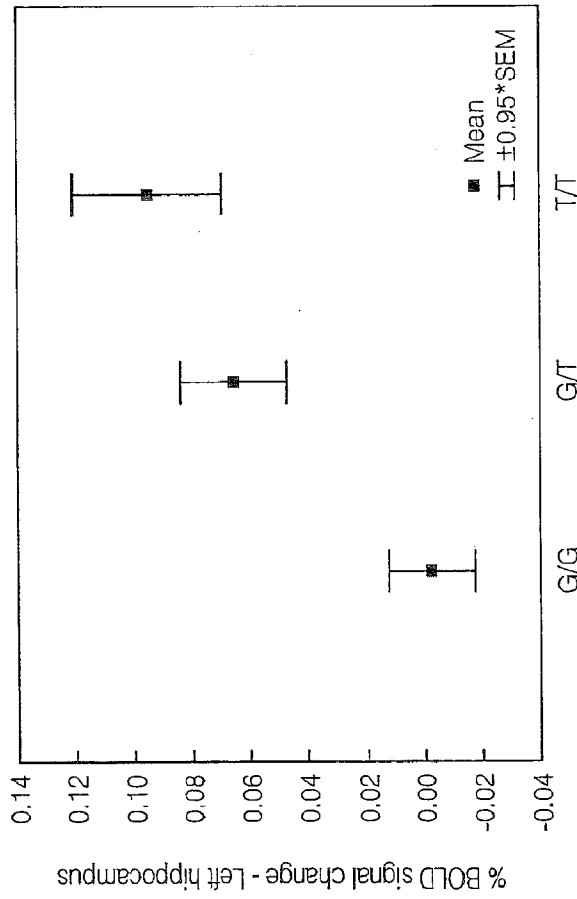


FIG. 17A

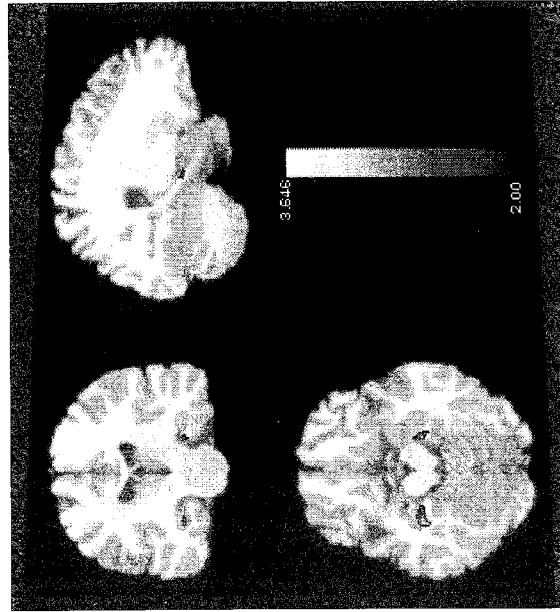


FIG. 18B

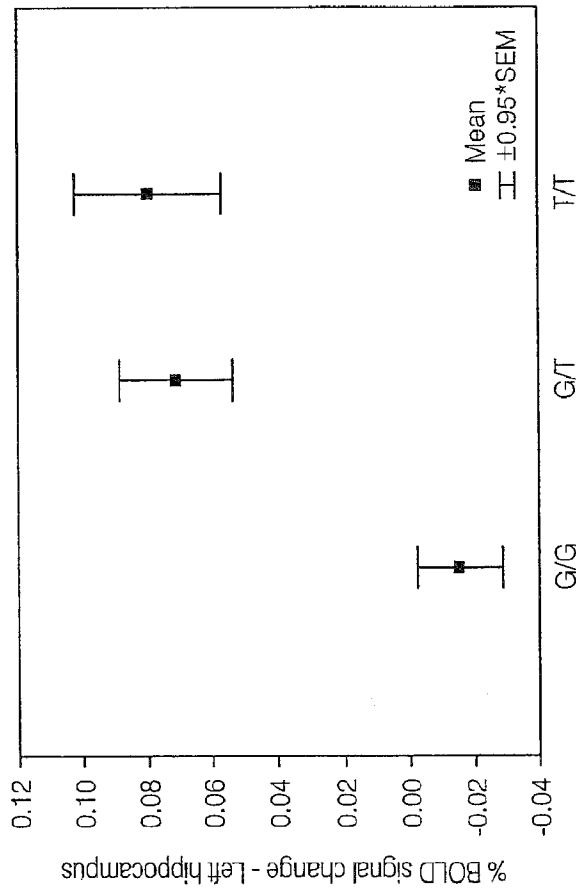


FIG. 18A

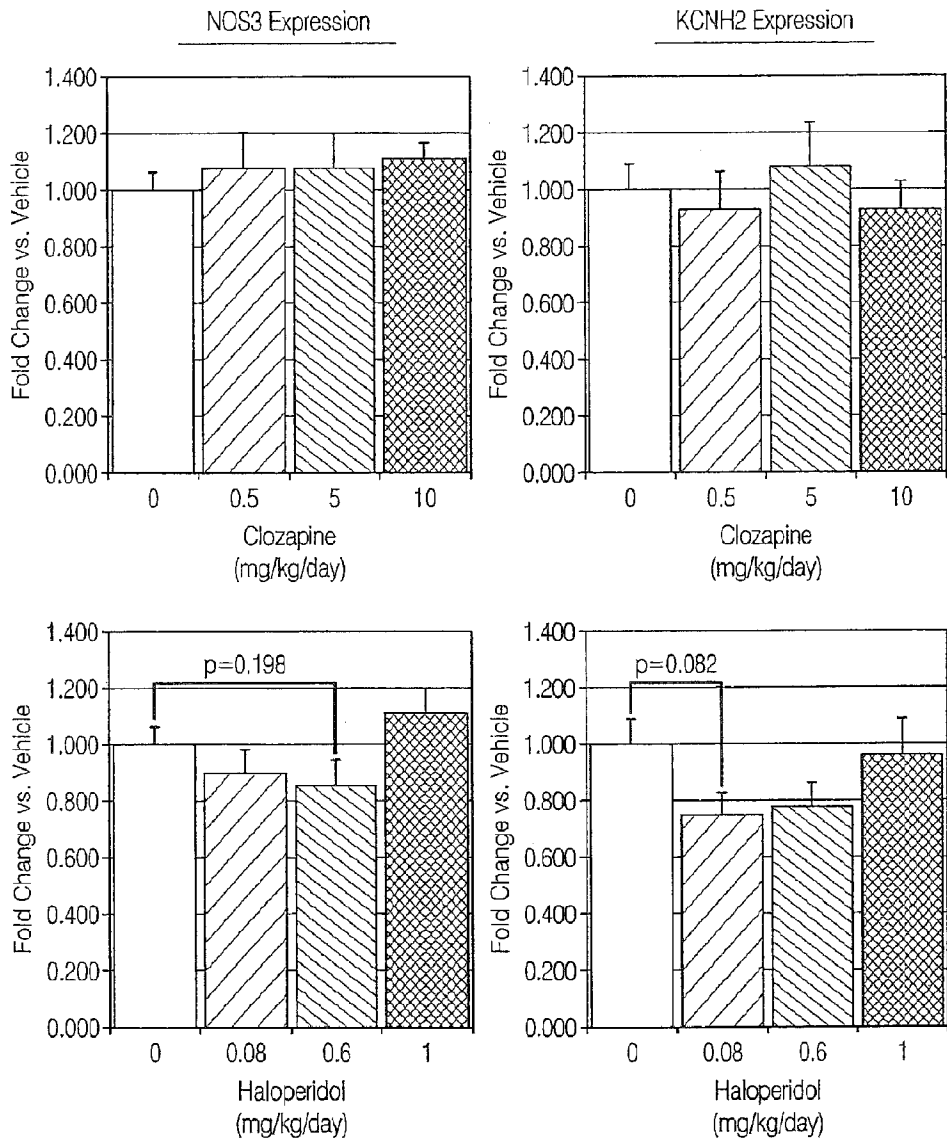
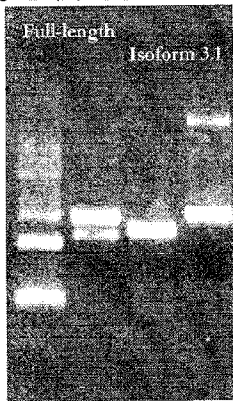
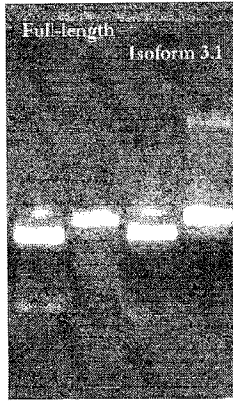


FIG. 19

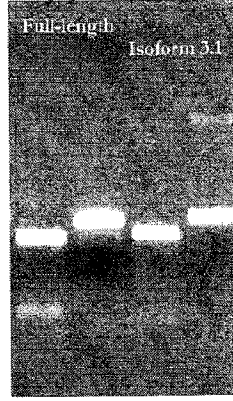
13 Pooled Tissues



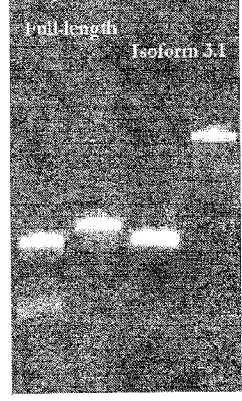
PFC



Hippocampus

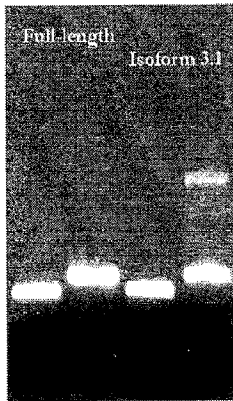


Adrenal Gland

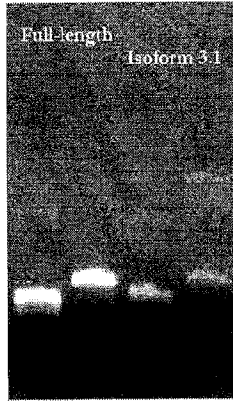


Ladder
(bp)
-3000-
-1500-
-1000-
-500-
-300-

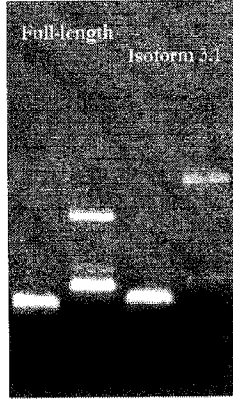
Fetal Brain



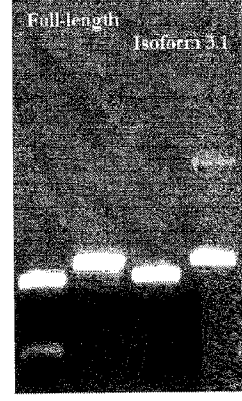
Placenta



Pancreas

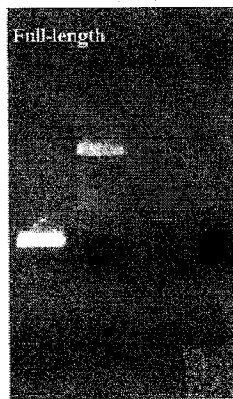


Caudate

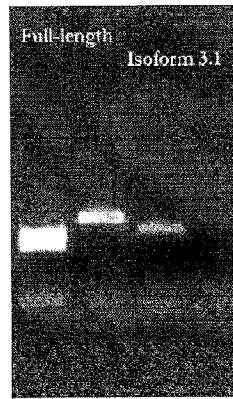


Ladder
(bp)
-3000-
-1500-
-1000-
-500-
-300-

Skeletal Muscle



Heart



Ladder
(bp)
-3000-
-1500-
-1000-
-500-
-300-

FIG. 20

(A) DNA Sequence alignment of upstream region of exon 3

Conserved splice acceptor site
Herg-1a exon 3 sequence conservation and 250 bps upstream

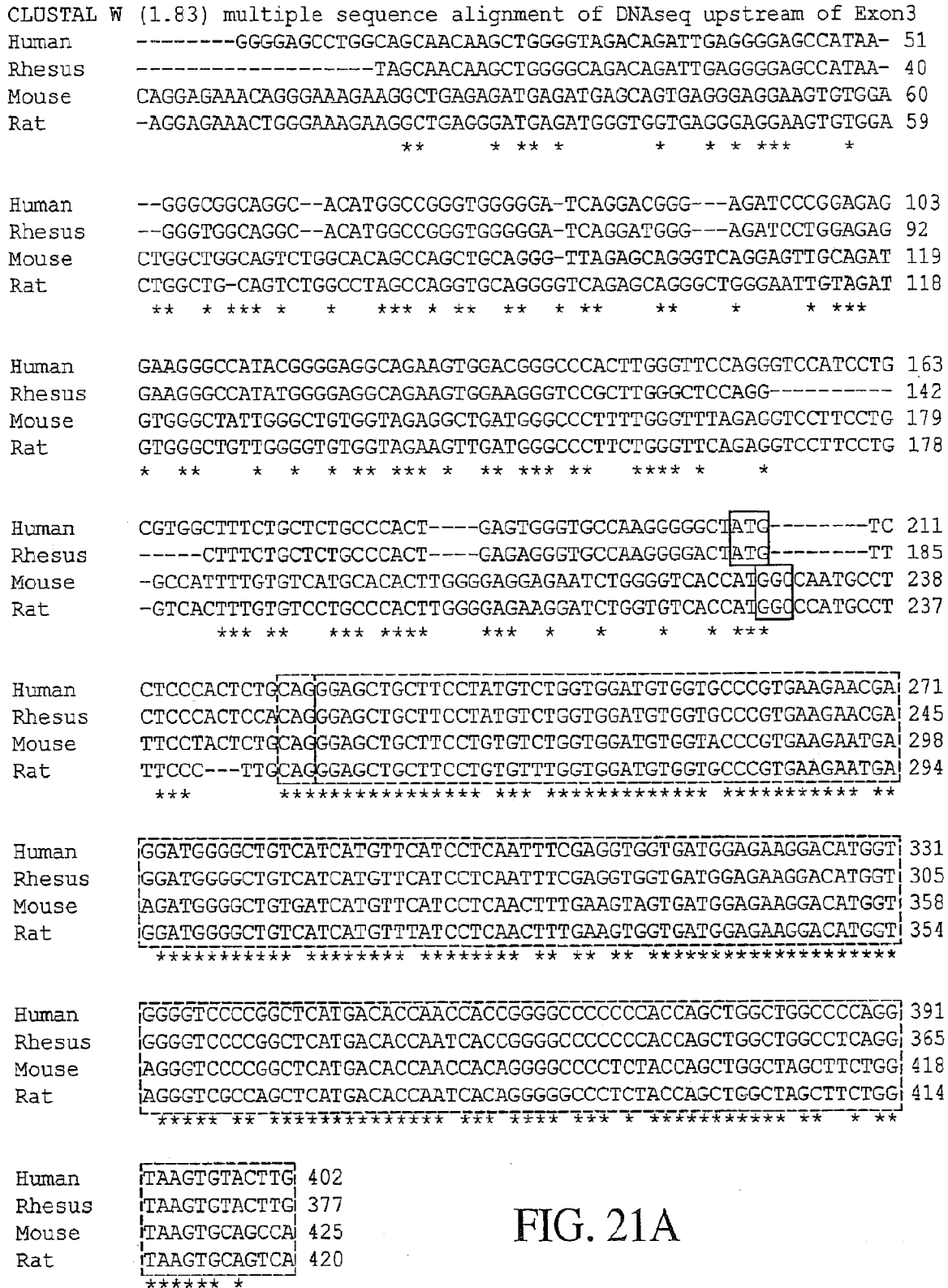


FIG. 21A

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2013/022878

A. CLASSIFICATION OF SUBJECT MATTER
 INV. C12Q1/68
 ADD.
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
 Minimum documentation searched (classification system followed by classification symbols)
 C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	ATALAR FATMAHAN ET AL: "Two four-marker haplotypes on 7q36.1 region indicate that the potassium channel gene HERG1 (KCNH2, Kv11.1) is related to schizophrenia: a case control study", BEHAVIORAL AND BRAIN FUNCTIONS, BIOMED CENTRAL, LONDON, GB, vol. 6, no. 1, 28 May 2010 (2010-05-28), page 27, XP021080739, ISSN: 1744-9081, DOI: 10.1186/1744-9081-6-27 abstract page 8, column 2, last paragraph ----- -/--	1-23

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents :

<p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p>
---	---

Date of the actual completion of the international search 19 March 2013	Date of mailing of the international search report 27/03/2013
--	--

Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Celler, Jakub
--	---

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2013/022878

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	STEPHEN J HUFFAKER ET AL: "A primate-specific, brain isoform of KCNH2 affects cortical physiology, cognition, neuronal repolarization and risk of schizophrenia", NATURE MEDICINE, vol. 15, no. 5, 1 May 2009 (2009-05-01), pages 509-518, XP055056910, ISSN: 1078-8956, DOI: 10.1038/nm.1962 abstract	1-23
A	----- US 2011/105466 A1 (RAMSEY TIMOTHY L [US] ET AL) 5 May 2011 (2011-05-05) abstract -----	1-23

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2013/022878

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2011105466	A1	NONE	