

594019

COMMONWEALTH OF AUSTRALIA

PATENTS ACT 1952

APPLICATION FOR A STANDARD PATENT

PATENT OFFICE
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Collector of Public Moneys

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We

CHEVRON RESEARCH COMPANY
of 200 Bush Street,
San Francisco,
California 94104

LODGED AT SUB-OFFICE
4 JUL 1985
Melbourne

hereby apply for the grant of a Standard Patent for an invention entitled:

"MODIFIED SUCCINIMIDES"

which is described in the accompanying ~~provisional~~ complete specification.

Details of basic application(s):—

<u>Number</u>	<u>Convention Country</u>	<u>Date</u>
632,777	United States of America	20 July 1984
722,939	United States of America	12 April 1985

APPLICATION ACCEPTED AND AMENDMENTS
ALLOWED 13.12.89

The address for service is care of DAVIES & COLLISON, Patent Attorneys, of 1 Little Collins Street, Melbourne, in the State of Victoria, Commonwealth of Australia.

Dated this 4 day of July 19 85

To: THE COMMISSIONER OF PATENTS

H. M. Pirbright
.....
(a member of the firm of DAVIES & COLLISON for and on behalf of the Applicant).

Davies & Collison, Melbourne and Canberra.

COMMONWEALTH OF AUSTRALIA

PATENTS ACT 1952

DECLARATION IN SUPPORT OF CONVENTION OR NON-CONVENTION APPLICATION FOR A PATENT

Insert title of invention.

In support of the Application made for a patent for an invention entitled: "MODIFIED SUCCINIMIDES"

Insert full name(s) and address(es) of declarant(s) being the applicant(s) or person(s) authorized to sign on behalf of an applicant company.

I James A. Buchanan, Jr. We of 555 Market Street, San Francisco, California, United States of America

Cross out whichever of paragraphs 1(a) or 1(b) does not apply

do solemnly and sincerely declare as follows :-

1(a) relates to application made by individual(s) 1(b) relates to application made by company; insert name of applicant company.

1. (a) I am the applicant for the patent We are or (b) I am authorized by CHEVRON RESEARCH COMPANY

the applicant for the patent to make this declaration on its behalf.

2. (a) I am the actual inventor of the invention We are or (b) ROBERT H. WOLLENBERG, FRANK PLAVAC, and TIMOTHY R. ERDMAN, citizens of the United States of America, residing, respectively, at 20 Minor Court, San Rafael, California 94903; 152 H Lane, Novato, California 94947; and 760 Las Colindas Road, San Rafael, California 94903, United States of America,

is the actual inventor of the invention and the facts upon which the applicant is entitled to make the application are as follows :- CHEVRON RESEARCH COMPANY is the assignee of the invention and the right to apply for Letters Patent therefor in Australia from the said ROBERT H. WOLLENBERG, FRANK PLAVAC, and TIMOTHY R. ERDMAN.

3. The basic applications as defined by Section 141 of the Act were made in United States of America on the 20th day of July 1984 by Robert H. Wollenberg in United States of America on the 12th day of April 1985 by Robert H. Wollenberg, Frank Plavac and Timothy R. Erdman in on the by

4. The basic applications referred to in paragraph 3 of this Declaration were the first applications made in a Convention country in respect of the invention the subject of the application.

Insert place and date of signature.

Declared at San Francisco, this 13th day of May 1985 California, U.S.A.

Signature of declarant(s) (no attestation required)

CHEVRON RESEARCH COMPANY

Note: Initial all alterations.

DAVIES & COLLISON, MELBOURNE and

Handwritten signature of James A. Buchanan, Jr. Vice President

(12) PATENT ABRIDGMENT (11) Document No. AU-B-44653/85
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 594019

(54) Title
MODIFIED POLYISOBATENYL AND OTHER POLYOLEFIN SUCCINIMIDES

International Patent Classification(s)
(51)⁴ C08F 008/32 C08F 005/10 C08F 110/10 C10L 001/22
C10M 129/24 C10M 133/40 C10M 133/56

(21) Application No. : 44653/85 (22) Application Date : 04.07.85

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632777	20.07.84	US UNITED STATES OF AMERICA
722139	12.04.85	US UNITED STATES OF AMERICA

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(71) Applicant(s)
CHEVRON RESEARCH COMPANY

(72) Inventor(s)
ROBERT H. WOLLENBERG; FRANK PLAVAC; TIMOTHY R. ERDMAN

(74) Attorney or Agent
DAVIES & COLLISON, MELBOURNE

(56) Prior Art Documents
US 3862981
US 3652240
US 2802022

(57) It has now been found that polyamino alkenyl or alkyl succinimides may be modified to yield a polyamino alkenyl or alkyl succinimide wherein one or more of the nitrogens of the polyamino moiety is substituted with a hydrocarbyl oxycarbonyl, a hydroxyhydrocarbyl oxycarbonyl or a hydroxy poly(oxyalkylene) oxycarbonyl. These modified succinimides are improved dispersants and/or detergents for use in fuels or oils. Accordingly, the present invention also relates to a lubricating oil composition comprising a major amount of an oil of lubricating viscosity and an amount of a modified polyamino alkenyl or alkyl succinimide sufficient to provide dispersancy and/or detergency.

Another composition aspect of this invention is a fuel composition comprising a major portion of a hydrocarbon boiling in a gasoline or diesel range and an amount of a modified polyamino alkenyl or alkyl succinimide sufficient to provide dispersancy and/or detergency.

CLAIM

1. A polyamino alkenyl or alkyl succinimide wherein one or more of the nitrogens of the polyamino moiety is substituted with hydroxyhydrocarbyl oxycarbonyl wherein the hydroxyhydrocarbyl group of said hydroxyhydrocarbyl oxycarbonyl contains from 2 to 20 carbon atoms and 1 to 6 hydroxy groups with the proviso that there is no hydroxy substitution on the hydrocarbyl carbon atom attaching the hydroxyhydrocarbyl group to the oxy atom of the oxycarbonyl group and with the further proviso that when more than one hydroxy groups are contained in the hydroxyhydrocarbyl group, no more than one hydroxy group is attached to the same carbon atom and the number of carbon atoms in the hydroxyhydrocarbyl group is minimally one greater than the number of hydroxy groups.

3. The polyamino alkenyl or alkyl succinimide ~~of Claim 1~~ wherein one or more of the nitrogens of the polyamino moiety is substituted with hydrocarbyl oxycarbonyl.

5. The polyamino alkenyl or alkyl succinimide ~~of Claim 1~~ wherein one or more of the nitrogens of the polyamino moiety is substituted with a hydroxy poly(oxyalkylene) oxycarbonyl.

PATENTS ACT 1952

COMPLETE SPECIFICATION

(Original)

FOR OFFICE USE

Class Int. Class

Application Number:
Lodged:

Complete Specification Lodged:
Accepted:
Published:

Priority:

Related Art:

This document contains the amendments made under Section 49 and is correct for printing.

44653/85

Name of Applicant: CHEVRON RESEARCH COMPANY

Address of Applicant: 200 Bush Street,
San Francisco,
California 94104

Actual Inventor(s): ROBERT H. WOLLENBERG,
FRANK PLAVAC and
TIMOTHY R. ERDMAN

Address for Service: DAVIES & COLLISON, Patent Attorneys,
1 Little Collins Street, Melbourne, 3000.

Complete specification for the invention entitled:
"MODIFIED SUCCINIMIDES"

The following statement is a full description of this invention,
including the best method of performing it known to us :-

MODIFIED SUCCINIMIDES

05

BACKGROUND OF THE INVENTION1. Field of the Invention

This invention relates to additives which are useful as dispersants and/or detergents in lubricating oils. In particular, this invention is directed toward polyamino alkenyl or alkyl succinimides wherein one or more of the amino nitrogens of the succinimide has been converted to a hydrocarbyl carbamate, a hydroxyhydrocarbylcarbamate or a hydroxy polyoxyalkylene carbamate. The modified polyamino alkenyl or alkyl succinimides of this invention have been found to possess improved dispersancy and/or detergency properties when employed in a lubricating oil. These modified succinimides are also useful as detergents and/or dispersants in fuels.

2. Prior Art

Alkenyl or alkyl succinimides have been previously modified with alkylene oxides to produce poly(oxyalkylene)hydroxy derivatives thereof. These alkylene oxide treated succinimides are taught as additives for lubricating oils (see U.S. 3,373,111 and 3,367,943). U.S. Patent No. 2,991,162 discloses carburetor detergent additives for gasoline obtained by reacting an N-alkyl propylene diamine with ethylene carbonate to produce a two-component detergent additive consisting of a carbamate and a urea compound. U.S. Patent No. 3,652,240 discloses carburetor detergent additives for hydrocarbonaceous fuel which are carbamates formed by the reaction of an amino-amide with ethylene carbonate. Karol et al, U.S. Patent No. 4,460,381 discloses oxalic acid derivatives of mono- or bis-succinimides as fuel stabilizers. Karol et al, U.S. Patent No. 4,482,464, discloses succinimides which have been modified by treatment with a hydroxyalkylene carboxylic acid selected from glycolic acid, lactic acid, 2-hydroxymethyl propionic acid and 2,2'-bis-hydroxymethylpropionic acid. These modified succinimides of U.S.

4,482,464 are disclosed as lubricating oil additives. U.S. 4,490,154 discloses fuels containing an alkenyl-succinyl polyglycolcarbonate ester as a deposit control additive. U.S. Patent No. 3,216,936 discloses a product prepared from an aliphatic amine, a polymer substituted succinic acid and an aliphatic monocarboxylic acid. However, there is no teaching in these patents, or apparently elsewhere, to modify these polyamino alkenyl or alkyl succinimides in the manner of this invention.

SUMMARY OF THE INVENTION

It has now been found that polyamino alkenyl or alkyl succinimides may be modified to yield a polyamino alkenyl or alkyl succinimide wherein one or more of the nitrogens of the polyamino moiety is substituted with a hydrocarbyl oxycarbonyl, a hydroxyhydrocarbyl oxycarbonyl or a hydroxy poly(oxyalkylene) oxycarbonyl. These modified succinimides are improved dispersants and/or detergents for use in fuels or oils. Accordingly, the present invention also relates to a lubricating oil composition comprising a major amount of an oil of lubricating viscosity and an amount of a modified polyamino alkenyl or alkyl succinimide sufficient to provide dispersancy and/or detergency.

Another composition aspect of this invention is a fuel composition comprising a major portion of a hydrocarbon boiling in a gasoline or diesel range and an amount of a modified polyamino alkenyl or alkyl succinimide sufficient to provide dispersancy and/or detergency.

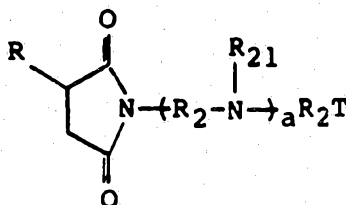
~~DETAILED DESCRIPTION OF THE INVENTION~~

The modified polyamino alkenyl or alkyl succinimides of this invention are prepared from a polyamino alkenyl or alkyl succinimide. In turn, these materials are prepared by reacting an alkenyl or alkyl succinic anhydride with a polyamine as shown in reaction (1) below:

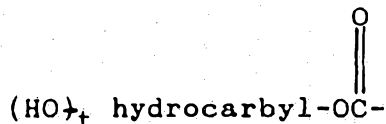


1 The present invention provides a polyamino alkenyl or
2 alkyl succinimide wherein one or more of the nitrogens of
3 the polyamino moiety is substituted with hydroxyhydrocarbyl
4 oxycarbonyl wherein the hydroxyhydrocarbyl group of said
5 hydroxyhydrocarbyl oxycarbonyl contains from 2 to 20 carbon
6 atoms and 1 to 6 hydroxy groups with the proviso that there
7 is no hydroxy substitution on the hydrocarbyl carbon atom
8 attaching the hydroxyhydrocarbyl group to the oxy atom of
9 the oxycarbonyl group and with the further proviso that when
10 more than one hydroxy groups are contained in the
11 hydroxyhydrocarbyl group, no more than one hydroxy group is
12 attached to the same carbon atom and the number of carbon
13 atoms in the hydroxyhydrocarbyl group is minimally one
14 greater than the number of hydroxy groups.

15 The present invention also provides the polyamino
16 alkenyl or alkyl succinimide of the formula:



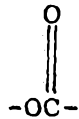
24 wherein R is alkenyl or alkyl of from 10 to 300 carbon
25 atoms; R₂ is alkylene of 2 to 10 carbon atoms; R₂₁ is
26 hydrogen, lower alkyl of from 1 to 6 carbon atoms, lower
27 hydroxy alkyl of from 1 to 6 carbon atoms;



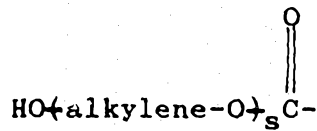
33 wherein t is an integer from 0 to 6, and hydrocarbyl is a
34 hydrocarbyl group of from 2 to 20 carbon atoms with the
35 proviso that there is no hydroxy substitution on the
36 hydrocarbyl carbon atom attaching the (HO)_t hydrocarbyl
37 group to the oxy atom of the



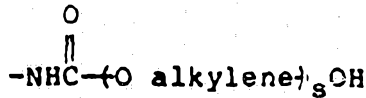
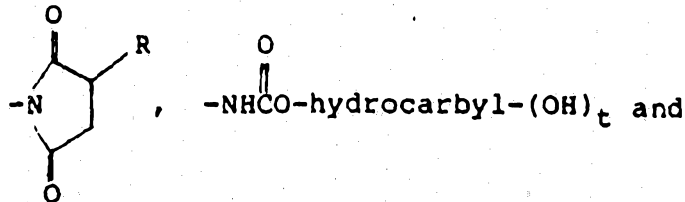
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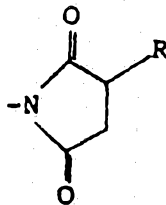
group and with the further proviso that when t is greater than one, the hydroxy groups are not attached to the same carbon atom and the number of carbon atoms in the (HO)_t hydrocarbyl group is minimally equal to t+1; and



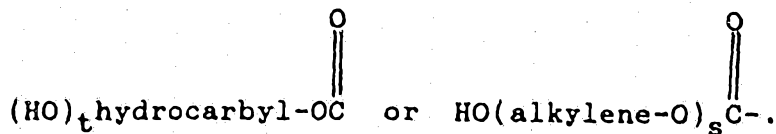
wherein alkylene-O is a C₂-C₅ oxyalkylene and s is an integer from 2 to 30; a is an integer of from 0 to 10; and T is -NH₂,



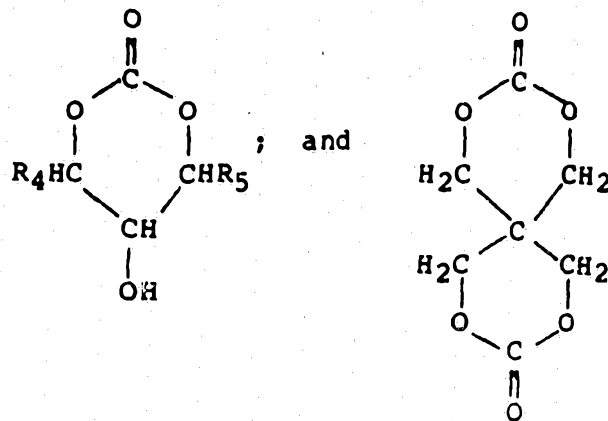
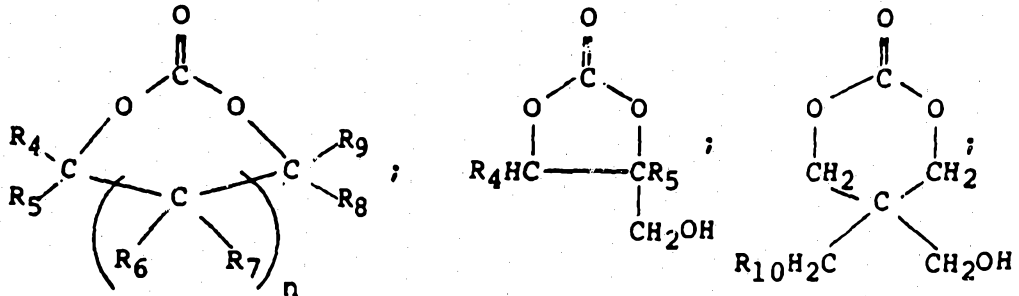
wherein R, hydrocarbyl, alkylene, s and t are as defined above; with the proviso that if T is -NH₂ or



then a is not zero and at least one of R₂₁ is either



1 The present invention also provides a product as
2 defined in Claim 23 wherein the cyclic carbonate is selected
3 from the group consisting of:

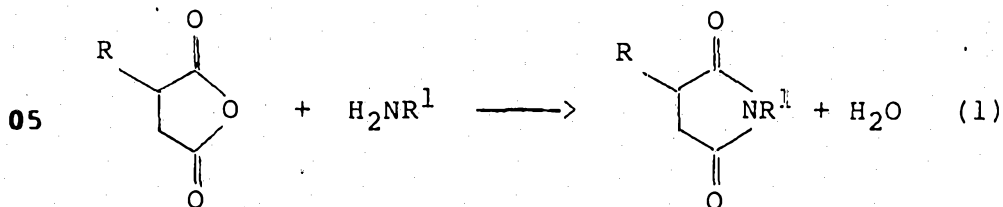


24 wherein R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are independently selected
25 from hydrogen or alkyl of 1 to 2 carbon atoms; R_{10} is either
26 hydrogen or hydroxy; and n is an integer from 0 to 1.

27 DETAILED DESCRIPTION OF PREFERRED ASPECTS OF THE INVENTION

28
29 The modified polyamino alkenyl or alkyl succinimides of
30 this invention are prepared from a polyamino alkenyl or
31 alkyl succinimide. In turn, these materials are prepared by
32 reacting an alkenyl or alkyl succinic anhydride with a
33 polyamine as shown in reaction (1) below:





10 wherein R is an alkenyl or alkyl group of from 10 to 300 carbon atoms; and R¹ is the remainder of the polyamino moiety.

15 In general, the alkenyl or alkyl group of the succinimide is from 10 to 300 carbon atoms. While the modified succinimides of this invention possess good detergency properties even for alkenyl or alkyl groups of less than 20 carbon atoms, dispersancy is enhanced when the alkenyl or alkyl group is at least 20 carbon atoms. Accordingly, in a preferred embodiment, the alkenyl or alkyl group of the succinimide is at least 20 carbon atoms.

20 These alkenyl or alkyl succinimides that can be used herein are disclosed in numerous references and are well known in the art. Certain fundamental types of succinimides and related materials encompassed by the term of art "succinimide" are taught in U.S. Patent Nos. 2,992,708; 3,018,291; 3,024,237; 3,100,673; 3,219,666; 25 3,172,892; and 3,272,746, the disclosures of which are hereby incorporated by reference. The term "succinimide" is understood in the art to include many of the amide, imide and amidine species which are also formed by this reaction. The predominant product however is succinimide and this term has been generally accepted as meaning the product of a reaction of an alkenyl substituted succinic acid or anhydride with a polyamine as shown in reaction 30 (1) above. As used herein, included within this term are the alkenyl or alkyl mono-, bis-succinimides and other higher analogs.

35 A(1) Succinic Anhydride

40 The preparation of the alkenyl-substituted succinic anhydride by reaction with a polyolefin and

maleic anhydride has been described, e.g., U.S. Patents Nos. 3,018,250 and 3,024,195. Such methods include the thermal reaction of the polyolefin with maleic anhydride and the reaction of a halogenated polyolefin, such as a chlorinated polyolefin, with maleic anhydride. Reduction of the alkenyl-substituted succinic anhydride yields the corresponding alkyl derivative. Alternatively, the alkenyl substituted succinic anhydride may be prepared as described in U.S. Patents Nos. 4,388,471 and 4,450,281 which are totally incorporated herein by reference.

Polyolefin polymers for reaction with the maleic anhydride are polymers comprising a major amount of C₂ to C₅ mono-olefin, e.g., ethylene, propylene, butylene, isobutylene and pentene. The polymers can be homopolymers such as polyisobutylene as well as copolymers of 2 or more such olefins such as copolymers of: ethylene and propylene, butylene, and isobutylene, etc. Other copolymers include those in which a minor amount of the copolymer monomers, e.g., 1 to 20 mole percent is a C₄ to C₈ nonconjugated diolefin, e.g., a copolymer of isobutylene and butadiene or a copolymer of ethylene, propylene and 1,4-hexadiene, etc.

The polyolefin polymer, the alkenyl or alkyl moiety which is represented as R, usually contains from about 10 to 300 carbon atoms, although preferably 10 to 200 carbon atoms; more preferably 12 to 100 carbon atoms and most preferably 20-100 carbon atoms.

A particularly preferred class of olefin polymers comprises the polybutenes, which are prepared by polymerization of one or more of 1-butene, 2-butene and isobutene. Especially desirable are polybutenes containing a substantial proportion of units derived from isobutene. The polybutene may contain minor amounts of butadiene which may or may not be incorporated in the polymer. Most often the isobutene units constitute 80%, preferably at least 90%, of the units in the polymer. These polybutenes are readily available commercial materials well known to those skilled in the art. Disclosures

thereof will be found, for example, in U.S. Patents Nos. 3,215,707; 3,231,587; 3,515,669; and 3,579,450, as well as
05 U.S. Patent No. 3,912,764. The above are incorporated by reference for their disclosures of suitable polybutenes.

In addition to the reaction of a polyolefin with maleic anhydride, many other alkylating hydrocarbons may likewise be used with maleic anhydride to produce alkenyl
10 succinic anhydride. Other suitable alkylating hydrocarbons include cyclic, linear, branched and internal or alpha olefins with molecular weights in the range 100-4,500 or more with molecular weights in the range of 200-2,000 being more preferred. For example, alpha
15 olefins obtained from the thermal cracking of paraffin wax. Generally, these olefins range from 5-20 carbon atoms in length. Another source of alpha olefins is the ethylene growth process which gives even number carbon olefins. Another source of olefins is by the dimerization
20 of alpha olefins over an appropriate catalyst such as the well known Ziegler catalyst. Internal olefins are easily obtained by the isomerization of alpha olefins over a suitable catalyst such as silica.

A(2) Polyamine

25 The polyamine employed to prepare the polyamino alkenyl or alkyl succinimides is preferably a polyamine having from 2 to about 12 amine nitrogen atoms and from 2 to about 40 carbon atoms. The polyamine is reacted with an alkenyl or alkyl succinic anhydride to produce the
30 polyamino alkenyl or alkyl succinimide, employed in this invention. The polyamine is so selected so as to provide at least one basic amine per succinimide. Since the reaction of a nitrogen of a polyamino alkenyl or alkyl succinimide to form a hydrocarbyl oxycarbonyl, a hydroxy
35 hydrocarbyl oxycarbonyl or a hydroxy polyoxyalkylene oxycarbonyl is believed to efficiently proceed through a secondary or primary amine, at least one of the basic amine atoms of the polyamino alkenyl or alkyl succinimide must either be a primary amine or a secondary amine.
40 Accordingly, in those instances in which the succinimide

contains only one basic amine, that amine must either be a primary amine or a secondary amine. The polyamine preferably has a carbon-to-nitrogen ratio of from about 1:1 to about 10:1.

The polyamine portion of the polyamino alkenyl or alkyl succinimide may be substituted with substituents selected from (A) hydrogen, (B) hydrocarbyl groups of from 1 to about 10 carbon atoms, (C) acyl groups of from 2 to about 10 carbon atoms, and (D) monoketo, monohydroxy, mononitro, monocyano, lower alkyl and lower alkoxy derivatives of (B) and (C). "Lower", as used in terms like lower alkyl or lower alkoxy, means a group containing from 1 to about 6 carbon atoms. At least one of the substituents on one of the amines of the polyamine is hydrogen, e.g., at least one of the basic nitrogen atoms of the polyamine is a primary or secondary amino nitrogen atom.

Hydrocarbyl, as used in describing the polyamine components of this invention, denotes an organic radical composed of carbon and hydrogen which may be aliphatic, alicyclic, aromatic or combinations thereof, e.g., aralkyl. Preferably, the hydrocarbyl group will be relatively free of aliphatic unsaturation, i.e., ethylenic and acetylenic, particularly acetylenic unsaturation. The substituted polyamines of the present invention are generally, but not necessarily, N-substituted polyamines. Exemplary hydrocarbyl groups and substituted hydrocarbyl groups include alkyls such as methyl, ethyl, propyl, butyl, isobutyl, pentyl, hexyl, octyl, etc., alkenyls such as propenyl, isobutenyl, hexenyl, octenyl, etc., hydroxyalkyls, such as 2-hydroxyethyl, 3-hydroxypropyl, hydroxyisopropyl, 4-hydroxybutyl, etc., ketoalkyls, such as 2-ketopropyl, 6-ketooctyl, etc., alkoxy and lower alkenoxy alkyls, such as ethoxyethyl, ethoxypropyl, propoxyethyl, propoxypropyl, 2-(2-ethoxyethoxy)ethyl, 2-(2-(2-ethoxyethoxy)ethoxy)ethyl, 3,6,9,12-tetraoxatetradecyl, 2-(2-ethoxyethoxy)hexyl, etc. The acyl groups of the aforementioned (C) substituents are such as propionyl, acetyl,

etc. The more preferred substituents are hydrogen, C₁-C₆ alkyls and C₁-C₆ hydroxyalkyls.

05 In a substituted polyamine the substituents are found at any atom capable of receiving them. The substituted atoms, e.g., substituted nitrogen atoms, are generally geometrically inequivalent, and consequently the substituted amines finding use in the present invention can be mixtures of mono- and polysubstituted polyamines with substituent groups situated at equivalent and/or
10 inequivalent atoms.

The more preferred polyamine finding use within the scope of the present invention is a polyalkylene polyamine, including alkylene diamine, and including substituted polyamines, e.g., alkyl substituted polyalkylene polyamine. Preferably, the alkylene group contains from 2 to 6 carbon atoms, there being preferably from 2 to 3 carbon atoms between the nitrogen atoms. Such groups are exemplified by ethylene, 1,2-propylene, 2,2-dimethylpropylene, trimethylene, etc. Examples of such polyamines include ethylene diamine, diethylene triamine, di(trimethylene)triamine, dipropylene triamine, triethylene tetramine, tripropylene tetramine, tetraethylene pentamine, and pentaethylene hexamine. Such amines encompass isomers such as branched-chain polyamines and the previously mentioned substituted polyamines, including hydrocarbyl-substituted polyamines. Among the polyalkylene polyamines, those containing 2-12 amine nitrogen atoms and 2-24 carbon atoms are especially preferred, and the C₂-C₅ alkylene polyamines are most preferred, in particular, the lower polyalkylene polyamines, e.g., ethylene diamine, dipropylene triamine, etc.

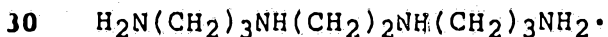
The polyamine component also may contain heterocyclic polyamines, heterocyclic substituted amines and substituted heterocyclic compounds, wherein the heterocycle comprises one or more 5-6 membered rings containing oxygen and/or nitrogen. Such heterocycles may be saturated or unsaturated and substituted with groups selected from the aforementioned (A), (B), (C) and (D).

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The heterocycles are exemplified by piperazines, such as 2-methylpiperazine, N-(2-hydroxyethyl)piperazine, 1,2-bis-(N-piperazinyl)ethane, and N,N'-bis(N-piperazinyl)piperazine, 2-methylimidazoline, 3-aminopiperidine, 2-aminopyridine, 2-(3-aminoethyl)-3-pyrroline, 3-aminopyrrolidine, N-(3-aminopropyl)-morpholine, etc. Among the heterocyclic compounds, the piperazines are preferred.

Typical polyamines that can be used to form the compounds of this invention include the following: ethylene diamine, 1,2-propylene diamine, 1,3-propylene diamine, diethylene triamine, triethylene tetramine, hexamethylene diamine, tetraethylene pentamine, methylamino-propylene diamine, N-(betaaminoethyl)piperazine, N,N'-di(betaaminoethyl)piperazine, N,N'-di(beta-aminoethyl)-imidazolidone-2, N-(beta-cyanoethyl)ethane-1,2-diamine, 1,3,6,9-tetraaminooctadecane, 1,3,6-triamino-9-oxadecane, N-(beta-aminoethyl)diethanolamine, N-methyl-1,2-propanediamine, 2-(2-aminoethylamino)-ethanol, 2-[2-(2-aminoethylamino)ethylamino]-ethanol.

Another group of suitable polyamines are the propyleneamines, (bisaminopropylethylenediamines). Propyleneamines are prepared by the reaction of acrylonitrile with an ethyleneamine, for example, an ethyleneamine having the formula $H_2N(CH_2CH_2NH)_Z H$ wherein Z is an integer from 1 to 5, followed by hydrogenation of the resultant intermediate. Thus, the product prepared from ethylene diamine and acrylonitrile would be



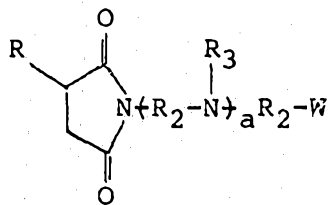
In many instances the polyamine used as a reactant in the production of succinimides of the present invention is not a single compound but a mixture in which one or several compounds predominate with the average composition indicated. For example, tetraethylene pentamine prepared by the polymerization of aziridine or the reaction of dichloroethylene and ammonia will have both lower and higher amine members, e.g., triethylene tetramine, substituted piperazines and pentaethylene

hexamine, but the composition will be largely tetra-
ethylene pentamine and the empirical formula of the total
amine composition will closely approximate that of tetra-
ethylene pentamine. Finally, in preparing the succinimide
for use in this invention, where the various nitrogen
atoms of the polyamine are not geometrically equivalent,
several substitutional isomers are possible and are
encompassed within the final product. Methods of
preparation of polyamines and their reactions are detailed
in Sidgwick's "The Organic Chemistry of Nitrogen",
Clarendon Press, Oxford, 1966; Noller's "Chemistry of
Organic Compounds", Saunders, Philadelphia, 2nd Ed., 1957;
and Kirk-Othmer's "Encyclopedia of Chemical Technology",
2nd Ed., especially Volumes 2, pp. 99-116.

The reaction of a polyamine with an alkenyl or
alkyl succinic anhydride to produce the polyamino alkenyl
or alkyl succinimides is well known in the art and is
disclosed in U.S. Patents Nos. 2,992,708; 3,018,291;
3,024,237; 3,100,673; 3,239,666; 3,172,892 and
3,272,746. The above are incorporated herein by reference
for their disclosures of preparing alkenyl or alkyl
succinimides.

As noted above, the term "polyamino alkenyl or
alkyl succinimide" refers to both polyamino alkenyl or
alkyl mono- and bis-succinimides and to the higher analogs
of alkenyl or alkyl poly succinimides. Preparation of the
bis- and higher analogs may be accomplished by controlling
the molar ratio of the reagents. For example, a product
comprising predominantly mono- or bis-succinimide can be
prepared by controlling the molar ratios of the polyamine
and succinic anhydride. Thus, if one mole of polyamine is
reacted with one mole of an alkenyl or alkyl substituted
succinic anhydride, a predominantly mono-succinimide prod-
uct will be prepared. If two moles of an alkenyl or alkyl
substituted succinic anhydride are reacted per mole of
polyamine, a bis-succinimide is prepared. Higher analogs
may likewise be prepared.

A particularly preferred class of polyamino alkenyl or alkyl succinimides employed in the process of the instant invention may be represented by Formula II:

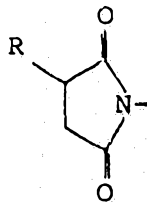


10

II

wherein R is alkenyl or alkyl of from 10 to 300 carbon atoms; R_2 is alkylene of 2 to 10 carbon atoms; R_3 is hydrogen, lower alkyl or lower hydroxy alkyl; a is an integer from 0 to 10; and W is $-NH_2$ or represents a group of Formula III:

15



20

III

wherein R is alkenyl or alkyl of from 10 to 300 carbon atoms; with the proviso that when W is the group of Formula III above, then a is not zero and at least one of R_3 is hydrogen.

25

As indicated above, the polyamine employed in preparing the succinimide is often a mixture of different compounds having an average composition indicated as the Formula II. Accordingly, in Formula II each value of R_2 and R_3 may be the same as or different from other R_2 and R_3 .

30

Preferably R is alkenyl or alkyl is preferably 10 to 200 carbon atoms and most preferably 20 to 100 carbon atoms.

35

40

Preferably R_2 is alkylene of 2 to 6 carbon atoms and most preferably is either ethylene or propylene.

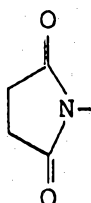
05

Preferably, R_3 is hydrogen.

Preferably, a is an integer from 1 to 6.

In formula II, the polyamino alkenyl or alkyl succinimides may be conveniently viewed as being composed of three moieties that is the alkenyl or alkyl moiety R , the succinimide moiety represented by the formula:

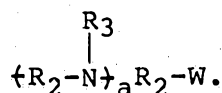
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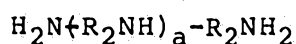
and the polyamino moiety represented by the group

20



The preferred alkylene polyamines employed in this reaction are generally represented by the formula:

25



wherein R_2 is an alkylene moiety of 2 to 10 carbon atoms and a is an integer from about 0 to 10. However, the preparation of these alkylene polyamines do not produce a single compound and cyclic heterocycles, such as piperazine, may be included to some extent in the alkylene diamines.

30

B. POLYAMINO ALKENYL OR ALKYL SUCCINIMIDES WHEREIN ONE OR MORE OF THE NITROGENS IS SUBSTITUTED WITH HYDROCARBYL OXYCARBONYL, HYDROXY HYDROCARBYL OXYCARBONYL, OR HYDROXY POLY(OXYALKYLENE) OXYCARBONYL

35

The polyamino alkenyl or alkyl succinimides wherein one or more of the nitrogens of the polyamino moiety is substituted with a hydrocarbyl oxycarbonyl, or a hydroxy hydrocarbyl oxycarbonyl wherein said hydrocarbyl contains from 1 to about 20 carbon atoms and said hydroxy

40

hydrocarbyl contains from 2 to about 20 carbon atoms may be prepared by reaction with a cyclic carbonate; by reaction with a linear mono- or poly-carbonate; or by reaction with a suitable chloroformate. Hydroxy poly-(oxyalkylene) oxycarbonyl may be formed by reaction with a suitable chloroformate. The products so produced are effective dispersant and detergent additives for lubricating oils and for fuel.

Hydrocarbyl, as used in describing the hydrocarbyl oxycarbonyl components of this invention, denotes an organic radical composed of carbon and hydrogen which may be aliphatic, aromatic or combinations thereof, e.g., aralkyl. The hydrocarbyl group contains from about 1 to 20 carbon atoms, preferably 2 to 10 carbon atoms and most preferably 2 to 7 carbon atoms. Suitable hydrocarbyls are alkyls such as methyl, ethyl, propyl, butyl, isobutyl, pentyl, hexyl, octyl, etc.; alkenyls such as propenyl, isobutenyl, hexenyl, octenyl, etc.; aralkyl such as benzyl, and the like; aryls such as phenyl, naphthyl, and the like.

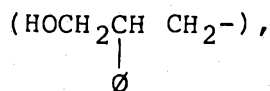
Hydroxy substituted hydrocarbyl, as used in describing the hydroxy hydrocarbyl oxycarbonyl components of this invention, denotes an organic radical composed of carbon and hydrogen containing 1 to 6 hydroxy groups, preferably 1 to 3, more preferably 1 to 2 hydroxy groups and most preferably 1 hydroxy group. It is also possible that some keto and aldehyde groups may be present in these hydroxy substituted hydrocarbyls. In the preferred embodiment the hydroxy hydrocarbyl does not contain ketone or aldehyde groups. The hydroxy substituted hydrocarbyl group contains from 2 to 20 carbon atoms, preferably 2 to 10 carbon atoms and most preferably 2 to 7 carbon atoms. Suitable hydroxy hydrocarbyls are hydroxy alkyls such as 2-hydroxyethyl, 3-hydroxypropyl, hydroxyisopropyl, 4-hydroxybutyl, 6-hydroxyhexyl, 2,3-dihydroxypropyl and the like. Some hydroxy alkyls may also be termed "hydroxyalkylene" such as 3-hydroxypropylene ($\text{HOCH}_2\text{CH}_2\text{CH}_2-$) and are included within the term hydroxy alkyls defined

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-13-

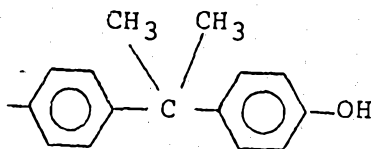
above. Other suitable hydroxyhydrocarbyls are hydroxy
aralkyls such as 3-hydroxy-2-phenylpropyl

05



1-hydroxy-4,4'-diphenylene dimethylmethane

10

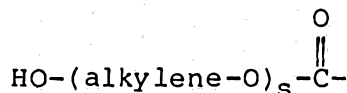


15

and the like.

Hydroxy poly(oxyalkylene), as used in describing
the hydroxy poly(oxyalkylene) oxycarbonyl components of
this invention, denotes a polymer containing from 2 to 30
C₂-C₅ oxyalkylene units and may be represented by the
formula:

20



25

wherein alkylene is a C₂-C₅ alkylene group and s is an
integer from 2 to 30.

B(1) Cyclic Carbonates

30

The polyamino alkenyl or alkyl succinimide
wherein one or more of the nitrogens of the succinimide is
substituted with a hydroxy hydrocarbyl oxycarbonyl may be
prepared by reaction of a polyamino alkenyl or alkyl
succinimide with a cyclic carbonate. This reaction is
conducted at a temperature sufficient to cause reaction of
the cyclic carbonate with the polyamino alkenyl or alkyl
succinimide. In particular, reaction temperatures of from
about 0°C to about 250°C are preferred with temperatures
of from about 100°C to 200°C being more preferred and
temperatures of from 150° to 180°C are most preferred.

35

40

The reaction may be conducted neat - that is,
both the alkenyl or alkyl succinimide and the cyclic
carbonate are combined in the proper ratio, either alone

or in the presence of a catalyst, such as an acidic, basic
or Lewis acid catalyst, and then stirred at the reaction
05 temperature. Examples of suitable catalysts include, for
instance, phosphoric acid, boron trifluoride, alkyl or
aryl sulfonic acid, alkali or alkaline carbonate.

Alternatively, the reaction may be conducted in
a diluent. For example, the reactants may be combined in
10 a solvent such as toluene, xylene, oil or the like, and
then stirred at the reaction temperature. After reaction
completion, volatile components may be stripped off. When
a diluent is employed, it is preferably inert to the
reactants and products formed and is generally used in an
15 amount sufficient to insure efficient stirring.

Water, which can be present in the polyamino
alkenyl or alkyl succinimide, may be removed from the
reaction system either before or during the course of the
reaction via azeotroping or distillation. After reaction
20 completion, the system can be stripped at elevated
temperatures (100°C to 250°C) and reduced pressures to
remove any volatile components which may be present in the
product.

Another embodiment of the above process is a
25 continuous system in which the alkenyl or alkyl succinic
anhydride and polyamine are added at the front end of the
system while the organic carbonate is added further
downstream in the system.

In such a continuous system, the organic
30 carbonate may be added at any time after mixing of the
alkenyl or alkyl succinic anhydride with the polyamine has
occurred. Preferably, the organic carbonate is added
within two hours after mixing of the alkenyl or alkyl
succinic anhydride with the polyamine, preferably after
35 the major portion of the amine has reacted with the
anhydride.

In a continuous system, the reaction temperature
may be adjusted to maximize reaction efficiency. Accord-
40 ingly, the temperature employed in the reaction of the
alkyl or alkenyl succinic anhydride with a polyamine may

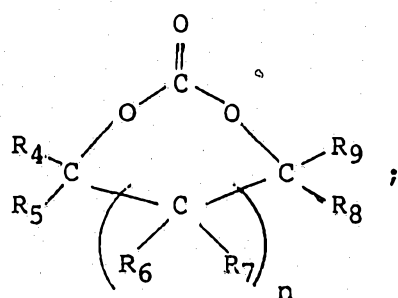
be the same as or different from that which is maintained for the reaction of this resulting product with the cyclic carbonate. In such a continuous system, the reaction temperature is generally between 0-250°C; preferably between 125-200°C; and most preferably between 150-180°C. Thus, another aspect of the instant invention is a continuous process which comprises (a) contacting at a temperature sufficient to cause reaction an alkenyl or alkyl succinic anhydride with a polyamine; and (b) then contacting at a temperature sufficient to cause reaction the product of (a) above with a cyclic carbonate.

Mole ratios of the cyclic carbonate to the basic amine nitrogen of the polyamino alkenyl or alkyl succinimide employed in the process of this invention are generally in the range of from about 0.2:1 to about 10:1; although preferably from about 0.5:1 to about 5:1; more preferably from 1:1 to 3:1 another preferred embodiment is 2:1.

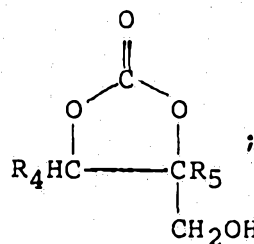
The reaction is generally complete from with 0.5 to 10 hours.

Preferred cyclic carbonates include:

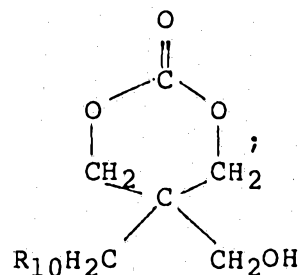
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(1)



(2)

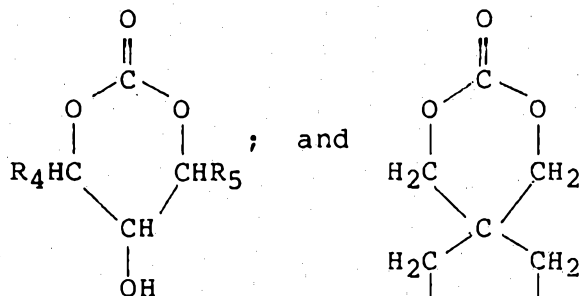


(3)

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05



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(4)

(5)

15 wherein R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are independently selected from hydrogen or lower alkyl of 1 to 2 carbon atoms; R_{10} is either hydrogen or hydroxy; and n is an integer from 0 to 1.

20 Preferred cyclic carbonates for use in this invention are those of formula 1 above. Preferred R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are either hydrogen or methyl. Most preferably R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are hydrogen when n is one. R_7 is most preferably hydrogen or methyl while R_4 , R_5 , and R_9 are hydrogen when n is zero.

25 The following are examples of suitable cyclic carbonates for use in this invention: 1,3-dioxolan-2-one(ethylene carbonate); 4-methyl-1,3-dioxolan-2-one(propylene carbonate); 4-hydroxymethyl-1,3-dioxolan-2-one; 4,5-dimethyl-1,3-dioxolan-2-one; 4-ethyl-1,3-dioxolan-2-one; 4,4-dimethyl-1,3-dioxolan-2-one; 4-methyl-5-ethyl-1,3-dioxolan-2-one; 4,5-diethyl-1,3-dioxolan-2-one; 4,4-diethyl-1,3-dioxolan-2-one; 1,3-dioxan-2-one; 4,4-dimethyl-1,3-dioxan-2-one; 5,5-dimethyl-1,3-dioxan-2-one; 5,5-dihydroxymethyl-1,3-dioxan-2-one; 5-methyl-1,3-dioxan-2-one; 4-methyl-1,3-dioxan-2-one; 5-hydroxy-1,3-dioxan-2-one; 5-hydroxymethyl-5-methyl-1,3-dioxan-2-one; 5,5-diethyl-1,3-dioxan-2-one; 5-methyl-5-propyl-1,3-dioxan-2-one; 4,6-dimethyl-1,3-dioxan-2-one; 4,4,6-trimethyl-1,3-dioxan-2-one and spiro[1,3-oxa-2-cyclohexanone-5,5'-1',3'-oxa-2'-cyclohexanone]. Other suitable cyclic carbonates

30

35

40

may be prepared from sacchrides such as sorbitol, glucose,
fructose, galactose and the like and from visconal diols
05 prepared from C₁-C₃₀ olefins by methods known in the art.

Several of these cyclic carbonates are commercially available such as 1,3-dioxolan-2-one or 4-methyl-
1,3-dioxolan-2-one. Cyclic carbonates may be readily
prepared by known reactions. For example, reaction of
10 phosgene with a suitable alpha alkane diol or an alkan-
1,3-diol yields a carbonate for use within the scope of
this invention as for instance in U.S. 4,115,206 which is
incorporated herein by reference.

Likewise, the cyclic carbonates useful for this
15 invention may be prepared by transesterification of a
suitable alpha alkane diol or an alkan-1,3-diol with,
e.g., diethyl carbonate under transesterification condi-
tions. See, for instance, U.S. Patent Nos. 4,384,115 and
4,423,205 which are incorporated herein by reference for
20 their teaching of the preparation of cyclic carbonates.

As used herein, the term "alpha alkane diol"
means an alkane group having two hydroxyl substituents
wherein the hydroxyl substituents are on adjacent carbons
to each other. Examples of alpha alkane diols include
25 1,2-propanediol, 2,3-butanediol and the like.

The term "alkan-1,3-diol" means an alkane group
having two hydroxyl substituents wherein the hydroxyl
substituents are beta substituted. That is, there is a
methylene or a substituted methylene moiety between the
30 hydroxyl substituted carbons. Examples of alkan-1,3-diols
include propan-1,3-diol, pentan-2,4-diol and the like.

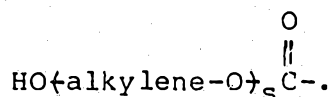
As used herein, the term "hydroxy hydrocarbyl
oxycarbonyl" refers to the group

35 hydroxy hydrocarbyl- $\overset{\text{O}}{\parallel}$ OC-; the term "hydrocarbyloxy
carbonyl" refers to the group

hydrocarbyl- $\overset{\text{O}}{\parallel}$ OC-; and the term "hydroxy poly(oxyalkylene)
oxycarbonyl" refers to the group
40

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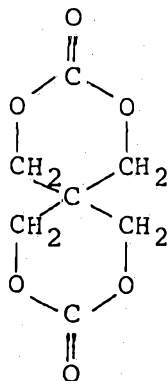
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05

As used herein, the term "spiro[1,3-oxa-2-cyclohexanone-5,5'-1',3'-oxa-2'-cyclohexanone]" means the group

10



15

As used herein, the term "molar charge of cyclic carbonate (or chloroformate or linear carbonate) to the basic nitrogen of a polyamino alkenyl or alkylsuccinimide" means that the molar charge of cyclic carbonate (or chloroformate or linear carbonate) employed in the reaction is based upon the theoretical number of basic nitrogens contained in the succinimide. Thus, when 1 equivalent of triethylene tetraamine (TETA) is reacted with an equivalent of succinic anhydride, the resulting monosuccinimide will theoretically contain 3 basic nitrogens. Accordingly, a molar charge of 1 would require that a mole of cyclic carbonate (or chloroformate or linear carbonate) be added for each basic nitrogen or in this case 3 moles of cyclic carbonate for each mole of monosuccinimide prepared from TETA.

30

The alpha alkane diols, used to prepare the 1,3-dioxolan-2-ones employed in this invention, are either commercially available or may be prepared from the corresponding olefin by methods known in the art. For example, the olefin may first react with a peracid, such as peroxyacetic acid or hydrogen peroxide to form the corresponding epoxide which is readily hydrolyzed under acid or base catalysis to the alpha alkane diol. In another process,

40

01

the olefin is first halogenated to a dihalo derivative and subsequently hydrolyzed to an alpha alkane diol by reaction first with sodium acetate and then with sodium hydroxide. The olefins so employed are known in the art.

05

The alkan-1,3-diols, used to prepare the 1,3-dioxan-2-ones employed in this invention, are either commercially available or may be prepared by standard techniques, e.g., derivatizing malonic acid.

10

4-Hydroxymethyl 1,3-dioxolan-2-one derivatives and 5-hydroxy-1,3-dioxan-2-one derivatives may be prepared by employing glycerol or substituted glycerol in the process of U.S. Patent 4,115,206. The mixture so prepared may be separated, if desired, by conventional techniques. Preferably the mixture is used as is.

15

5,5-Dihydroxymethyl-1,3-dioxan-2-one may be prepared by reacting an equivalent of pentaerythritol with an equivalent of either phosgene or diethylcarbonate (or the like) under transesterification conditions.

20

5-hydroxymethyl-5-methyl-1,3-dioxan-2-one may be prepared by reacting an equivalent of trimethylolethane with an equivalent of either phosgene or diethylcarbonate (or the like) under transesterification conditions.

25

Spiro[1,3-oxa-2-cyclohexanone-5,5'-1',3'-oxa-2'-cyclohexanone may be prepared by reacting an equivalent of pentaerythritol with two equivalents of either phosgene or diethylcarbonate (or the like) under transesterification conditions.

30

Cyclic carbonates of Formula I are used to illustrate the reaction of a cyclic carbonate with a polyamino alkenyl or alkyl succinimide. It is to be understood that the other cyclic carbonates employed in this invention react similarly. Cyclic carbonates may react with the primary and secondary amines of a polyamino alkenyl or alkyl succinimide to form two types of compounds. In the first instance, strong bases, including unhindered amines such as primary amines and some secondary amines, react with an equivalent of cyclic

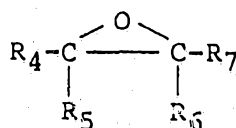
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hinders the amine. Unlike the carbamate products of reaction (1), the hydroxyalkyleneamine products of reaction (2) retain their basicity. These hydroxyalkyleneamine derivatives, VII, (when n=0) are believed to be similar to those which are produced by the addition to an alkenyl or alkyl succinimide of a substituted ethylene oxide of the formula:

10



VIII

15

wherein R₄, R₅, R₆ and R₇ are as defined above. (See for instance U.S. Patents Nos. 3,367,943 and 3,377,111).

In theory, if only primary and secondary amines are employed in the polyamine moiety of the succinimide a determination of whether the carbonate addition follows reaction (1) or reaction (2) could be made by monitoring the AV (alkalinity value or alkalinity number - refers to the amount of base as milligrams of KOH in 1 gram of a sample) of the product. Accordingly, if the reaction proceeded entirely via reaction (1) above, a reaction product prepared by reacting an equivalent of carbonate for each basic nitrogen should yield an AV of zero. That is to say that all the basic amines in the polyamine moiety have been converted to nonbasic carbamates.

30

However, as previously noted, alkylene polyamines such as triethylene tetraamine and tetraethylene pentamine (e.g., tetraethylenepentaamine-TEPA and triethylenetetraamine-TETA), contain tertiary amines (piperazines, etc.) which may account for as much as 30% of the basic nitrogen content. Although Applicant does not want to be limited to any theory, it is believed that these tertiary amines, although basic, are not reactive with the carbonate. Accordingly, even if the reaction proceeded entirely by reaction (1) above, an AV of approximately 30% of the original AV may be retained in

40

the final product. Nevertheless, a large drop in the AV
of the product is significant evidence that a substantial
05 portion of the reaction product contains carbamic
esters.

In fact, the addition of approximately one
equivalent of ethylene carbonate for each basic nitrogen
appreciably lowers the AV for the monosuccinimide (1), for
10 the bis-succinimide (2), and for the mono-succinimide
(3). This indicates that a substantial portion of the
first equivalent of ethylene carbonate is adding to the
succinimide via reaction (1) yielding hydroxy hydrocarbyl
carbamic esters.

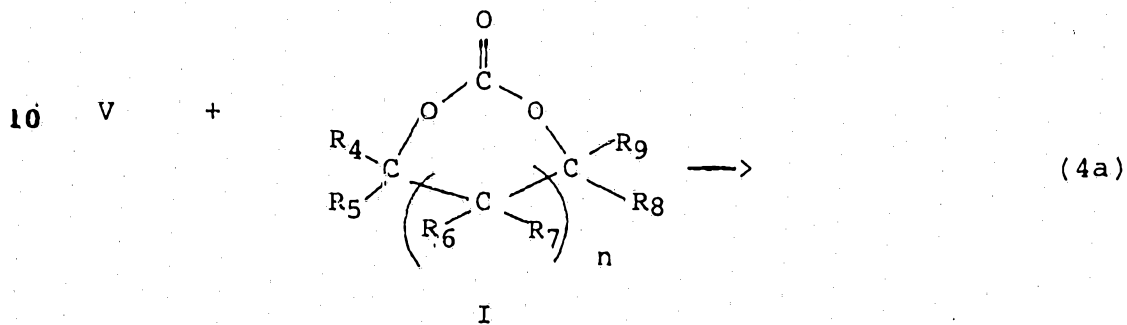
15 1. Succinimide (1) is the product obtained from the
reaction of triethylenetetramine (TETA) and
polyisobutenyl succinic anhydride (average MW=1050)
wherein the molar charge of TETA to the polyiso-
butenyl succinic anhydride is 0.90. Diluent oil is
20 then added to obtain a concentration of approximately
50 percent actives.

25 2. Succinimide (2) is the product obtained from the
reaction of tetraethylene pentamine (TEPA) and
polyisobutenyl succinic anhydride (Average MW=1050).
The molar charge of TEPA to the polyisobutenyl-
succinic anhydride is 0.5 which gives a bis-
succinimide. Diluent oil is then added to obtain a
concentration of approximately 50 percent actives.

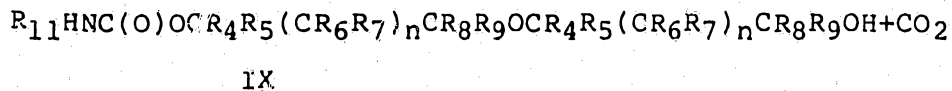
30 3. Succinimide (3) is the reaction product obtained
from tetraethylene pentamine (TEPA) and poly-
isobutenyl succinic anhydride (Average MW=1050). The
molar charge of TEPA to the polyisobutenyl succinic
anhydride is 0.87 which gives a mono-succinimide.
35 Diluent oil is then added to obtain a concentration
of approximately 50 percent actives.

On the other hand, the addition of a second
equivalent of ethylene carbonate in these reactions does
not result in appreciably further lowering of the AV.
40 This suggests that the additional carbonate either reacts

via reaction (2) above, if reactive amino nitrogen is available, to form hydroxyalkylamine groups or are reacting with the hydroxyl group of the carbamate as shown in reaction 3(a) below:

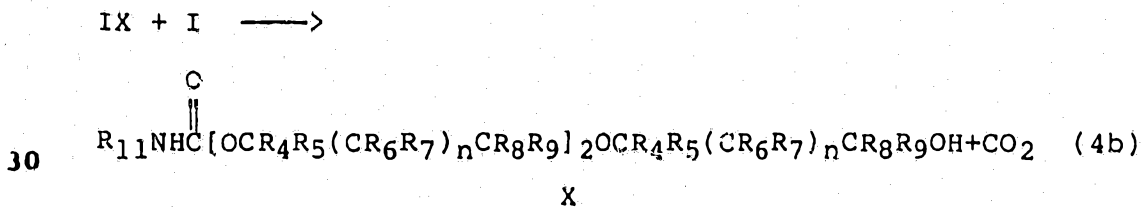


15



20 wherein R_4 , R_5 , R_6 , R_7 , R_8 , R_9 , R_{11} and n are as defined above.

The process of reaction 4a allows for additional carbonate to add to the hydroxyl group of product IX to form a hydroxy tri(oxyalkylene) carbamate as shown in reaction 3(b) below:

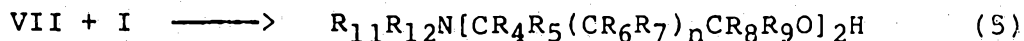


wherein R_4 , R_5 , R_6 , R_7 , R_8 , R_9 and R_{11} are as defined above. As is apparent from the above reaction, the poly(oxyalkylene) portion of the carbamate can be repeated several times, generally up to 10 times or more, simply by addition of more carbonate to form a hydroxy poly(oxyalkylene) carbamate.

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Likewise, additional equivalents of carbonate could equally add to the hydroxyl group of the hydroxy-alkyleneamine derivative, VII, of reaction (3) as shown in reaction (5) below:

05

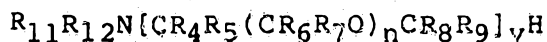


XI

10

wherein R₄, R₅, R₆, R₇, R₈, R₉, R₁₁ and R₁₂ are as defined above. Repeating the process of reaction (5) above by the addition of increasing amounts of carbonate produces a hydroxyalkylenepoly(oxyalkylene)amine derivative of Formula XII below:

15



XII

20

wherein R₄, R₅, R₆, R₇, R₈, R₉, R₁₁ and R₁₂ and n are as defined above and y is an integer from 3 to 10.

25

It is also contemplated that reactions (4) and (5) above may also produce acyclic carbonate linkages with the terminal hydroxyl group. Likewise, if R₁₁ (or R₁₂) is hydrogen, then an additional hydroxyalkylene could add to the amino group.

30

Accordingly, it is expected that the reaction of a cyclic carbonate with a polyamino alkenyl or alkyl succinimide will yield a mixture of products. When the molar charge of the cyclic carbonate to the basic nitrogen of the succinimide is about 1 or less, it is anticipated that a large portion of the primary and secondary amines of the succinimide will have been converted to hydroxy hydrocarbyl carbamic esters with some hydroxyhydrocarbyl-amine derivatives also being formed. As the mole ratio is raised above 1, poly(oxyalkylene) polymers of the carbamic esters and the hydroxyhydrocarbylamine derivatives are expected.

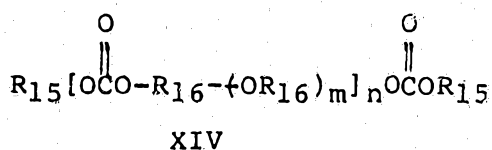
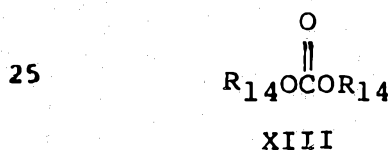
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It is expected that use of the spiro[1,3-oxa-2-cyclohexanone-5,5'-1',3'-oxa-2'-cyclohexanone] may yield internally cyclized products and also bring about cross-linking between two succinimides.

In some instances, it may be desirable to increase the proportion of carbamic esters formed in these reactions. This may be accomplished by changing reaction conditions such as temperature or the rate of addition of cyclic carbonate, etc. or employing a polyamine with a large percentage of primary amine. Another method may be to employ alkyl-substituted (i.e., one or more of R_1 , R_2 , R_3 , R_4 , R_5 , or R_6 is alkyl) or hydroxyalkyl substituted carbonates. Still another method would be to employ a 6-membered ring cyclic carbonate.

B(2) Linear Mono- or Polycarbonates

Linear carbonates react with a basic nitrogen of a polyamino alkenyl or alkyl succinimide to form carbamates. Suitable linear carbonates include both monocarbonates of formula XIII and polycarbonates of formula XIV:



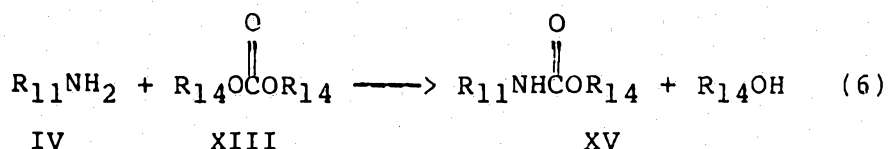
wherein R_{14} is independently hydrocarbyl of from 1 to about 20 carbon atoms; R_{15} is hydroxy hydrocarbyl of from 2 to 20 carbon atoms; R_{16} is a divalent hydrocarbyl group of from 2 to 20 carbon atoms, m is an integer from 0 to 10 or more; n is an integer of from 1 to 200.

Preferably R_{14} is hydrocarbyl of from 1 to 10 carbon atoms; R_{15} is hydroxy hydrocarbyl of from 2 to 10 carbon atoms; R_{16} is a divalent hydrocarbyl of from 2 to 10 carbon atoms; and n is preferably an integer from 1 to 100 and most preferably 1 to 10.

Monocarbonates, XIII, are believed to react with primary or secondary amines of a polyamino alkenyl or

alkyl succinimide, with the concomittant elimination of the alcohol, $R_{14}OH$, as shown in reaction (6) below:

05



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wherein R_{11} and R_{14} are as defined above.

Reaction (6) is conducted by contacting the monocarbonate with a polyamino alkenyl or alkyl succinimide. The reaction is conducted at a temperature sufficient to cause reaction of the monocarbonate with the polyamino alkenyl or alkyl succinimide. In particular, reaction temperatures of from about 100°C to about 250°C are preferred with temperatures of from about 150°C to 250°C being most preferred.

20

The reaction may be conducted neat - that is, both the polyamino alkenyl or alkyl succinimide and the carbonate are combined in the proper ratio, either alone or in the presence of a catalyst, such as an acidic, basic or Lewis acid catalyst, and then stirred at the reaction temperature. Examples of suitable catalysts include, for instance, phosphoric acid, boron trifluoride, alkyl or aryl sulfonic acid, alkali or alkaline carbonate.

25

Alternatively, the reaction may be conducted in a diluent. For example, the reactants may be combined in a solvent such as toluene, xylene, oil or the like, and then stirred at the reaction temperature. After reaction completion, volatile components may be stripped off. When a diluent is employed, it is preferably inert to the reactants and products formed and is generally used in an amount sufficient to insure efficient stirring.

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Water, which can be present in the polyamino alkenyl or alkyl succinimide, may be removed from the reaction system either before or during the course of the reaction via azeotroping or distillation. After reaction completion, the system can be stripped at elevated

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temperatures (100°C to 250°C) and reduced pressures to remove any volatile components which may be present in the product.

05

Another embodiment of the above process is a continuous flow system in which the alkenyl or alkyl succinic anhydride and polyamine are added at the front end of the flow while the hydrocarbyl carbonate is added further downstream in the system.

10

Mole ratios of the hydrocarbyl carbonate to the basic amine nitrogen of the polyamino alkenyl or alkyl succinimide employed in the process of this invention are generally in the range of from about 0.2:1 to about 1:1; preferably 0.5:1 to about 1:1 and most preferably 0.7:1 to about 1:1.

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The reaction is generally complete from within 0.5 to 10 hours.

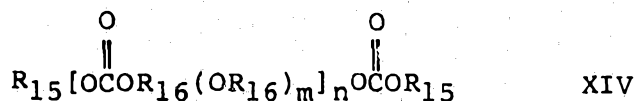
Suitable monocarbonates, XIII, may be prepared by transesterifying diethyl carbonate or a similar material using conditions well known in the art. Suitable monocarbonates include dimethyl carbonate, diethyl carbonate, di-n-propyl carbonate, diisopropylcarbonate, diphenyl carbonate, di-n-butyl carbonate, dibenzyl carbonate, and the like.

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Linear polycarbonates are of the general formula:

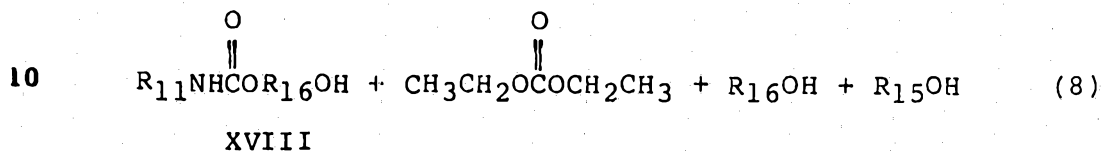
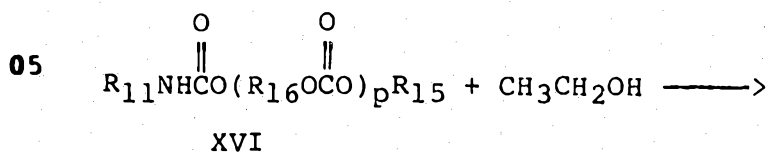
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wherein R_{15} , R_{16} , m and n as defined above. These polycarbonates react with a primary or secondary amine of the polyamino alkenyl or alkyl succinimide to form a carbamate as shown in reaction (7) below wherein for the sake of illustration m is limited to 0:

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15 The carbamates of formula XVIII may be post-treated with a cyclic carbonate such as ethylene carbonate to form a hydroxy polyoxyalkylene derivative similar to that of formula X above.

20 Reaction (7) is conducted at a temperature sufficient to cause reaction of the polycarbonate, XIV, with the polyamino alkenyl or alkyl succinimide, IV. In particular, reaction temperatures of from about 0°C to about 250°C are preferred with temperatures of from about 100°C to 200°C being most preferred.

25 The reaction may be conducted neat - that is, both the polyamino alkenyl or alkyl succinimide and the polycarbonate are combined in the proper ratio, either alone or in the presence of a catalyst, such as an acidic, basic or Lewis acid catalyst, and then stirred at the reaction temperature. Examples of suitable catalysts include, for instance, phosphoric acid, boron trifluoride, alkyl or aryl sulfonic acid, alkali or alkaline carbonate.

30 Alternatively, the reaction may be conducted in a diluent. For example, the reactants may be combined in a solvent such as toluene, xylene, oil or the like, and then stirred at the reaction temperature. After reaction completion, volatile components may be stripped off. When a diluent is employed, it is preferably inert to the reactants and products formed and is generally used in an amount sufficient to insure efficient stirring.

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Water, which can be present in the polyamino alkenyl or alkyl succinimide, may be removed from the reaction system either before or during the course of the reaction via azeotroping or distillation. After reaction completion, the system can be stripped at elevated temperatures (100°C to 250°C) and reduced pressures to remove any volatile components which may be present in the product.

Another embodiment of the above process is a continuous flow system in which the alkenyl or alkyl succinic anhydride and polyamine are added at the front end of the flow while the polycarbonate is added further downstream in the system.

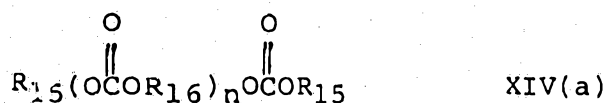
Mole ratios of the individual carbonate units of polycarbonate to the basic amine nitrogen of the polyamino alkenyl or alkyl succinimide employed in the process of this invention are generally in the range of from about 0.1:1 to about 5:1 although preferably from about 0.5:1 to about 1:1.

The reaction is generally complete from within 0.5 to 10 hours.

Suitable polycarbonates may be prepared as described in U.S. Patent No. 4,423,205. This patent is incorporated herein by reference for its teaching of the preparation of polycarbonates.

In preparing the polycarbonates of formula XIV, an excess of a suitable hydrocarbyl glycol, such as ethylene glycol, propylene glycol and the like, is added to a dihydrocarbyl carbonate, such as diethylcarbonate, under transesterification conditions to theoretically produce the polycarbonates of formula XIV(a) (i.e. m=0)

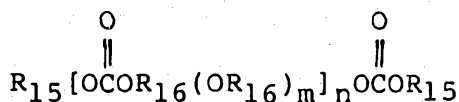
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However, in practice, carbon dioxide is evolved during this reaction and the resulting polycarbonate contains some oxyhydrocarbyl content as shown below:

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wherein m is an integer generally from 0 to 10 or more and hydrocarbyl is derived the hydrocarbyl glycol employed. The amount of oxyhydrocarbyl content between the n carbonate units varies from carbonate unit to carbonate unit.

15

Preferred polycarbonates for use in this invention are those wherein R₁₅ is hydroxyalkylene and R₁₆ is alkylene wherein alkylene is from 2 to 10 carbon atoms; preferably 2 to 5 carbon atoms. Other preferred polycarbonates are those wherein R₁₅ is HO-Aryl-R₁₇-Aryl- and R₁₆ is -Aryl-R₁₇-Aryl- wherein R₁₇ is alkylene of from 2 to 5 carbon atoms and aryl is a C₆ to C₁₀ aryl. Suitable aryls include benzyl and naphthyl.

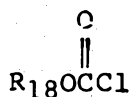
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B(3) Chloroformates

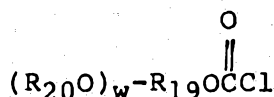
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Chloroformates and other haloformates react with a primary or secondary amine nitrogen of a polyamino alkenyl or alkyl succinimide to form carbamates. Suitable chloroformates include hydrocarbyl chloroformates of formula XIX below; hydroxy protected hydrocarbyl chloroformates of formula XX below and hydroxy protected poly(oxyalkylene) chloroformates of formula XXI:

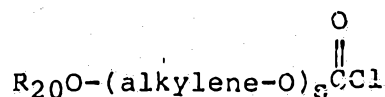
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XIX



XX



XXI

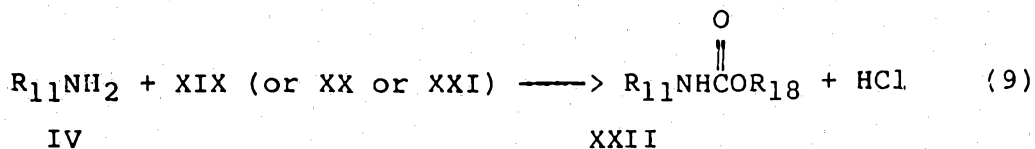
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wherein w is an integer from 1 to 6; R₁₈ is hydrocarbyl of from 1 to 20 carbon atoms, R₁₉ is hydrocarbyl of 2 to 20 carbon atoms, R₂₀ is a hydroxy protecting group, alkylene is a C₂-C₅ alkylene group and s is an integer from 2 to 30, preferably 2 to 20.

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The chloroformates of formulas XIX, XX and XXI react with a primary or secondary amine to form a carbamate as shown in reaction (9) below:



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wherein R_{11} and R_{18} are as defined above.

Reaction (9) is conducted by contacting the chloroformate, XIX (or XX or XXI), with the polyamino alkenyl or alkyl succinimide, IV. The reaction may be conducted neat or in a suitable inert diluent. Suitable diluents include ethyl acetate, toluene, xylene, oil and the like. An organic base such as pyridine, triethylamine and the like may be added to the reaction to scavenge the acid generated. However, the generated acid is preferably removed by an alkaline water wash (pH of from 8-9) or an alkaline brine wash (pH 8-9) of the reaction solution after reaction completion without the need of added base. The reaction is generally conducted at from -78°C to 50°C with 0°C - 30°C being preferred. However, when chloroformate XX or XXI is employed, and the protecting R_{20} group is trichloroacetate, use of lower temperatures, i.e., -78°C to 0°C help prevent possible side products, from forming and may be preferred for this purpose. The reaction is generally complete from within 0.5 to 24 hours. However, if the polyamino moiety of the alkenyl or alkyl succinimide contains hydroxyalkyl substitution, it is preferable to conduct reaction (9) at a sufficiently low temperature to prevent reaction of the chloroformate with the hydroxy group resulting in carbonate formation. Generally, temperatures of from -78°C to 0°C are sufficiently low to minimize this carbonate formation. In any event, any carbonate so formed from the hydroxyalkyl group during the chloroformate reaction may itself react with a primary or secondary amino nitrogen of the

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succinimide or may be readily removed by posttreating the product with an alkanol (e.g., ethanol) under trans-esterification conditions.

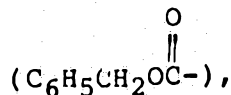
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After the water washing, the product may be further isolated by conventional techniques such as chromatography, filtration and the like or used in reaction (10) without additional isolation.

10

The hydroxy protecting group, R_{20} , used in chloroformate, XX and XXI, is any acceptable hydroxy protecting groups which do not contain a functionality which is reactive with a chloroformate or an amine of the succinimide under the reaction conditions. Suitable protecting groups include benzyl, carbobenzoxy

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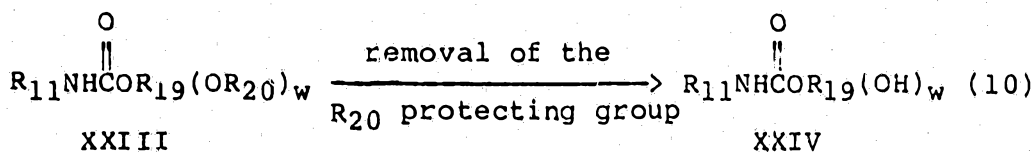


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trichloroacetyl ($\text{CCl}_3\overset{\text{O}}{\parallel}\text{C-}$) and the like. The identity of the particular protecting group is not critical provided it can be readily removed from the hydroxy group after reaction (9) is completed. For instance, trichloroacetyl may be removed by an alkaline brine wash (pH of from 8-9); by addition of a dialkylamine (e.g., dimethylamine into the reaction medium; or di-n-butylamine) or by an aqueous solution of tetrahydrofuran containing approximately 30% water at a pH 9-10, conducted at the completion of reaction (9) as shown in reaction (10) below:

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More extreme reaction conditions (i.e. higher temperature or pH >9-10) may result in product decomposition. Removal of other R_{20} protecting groups is well known in the art. For example, benzyl and carbobenzoxy protecting groups may

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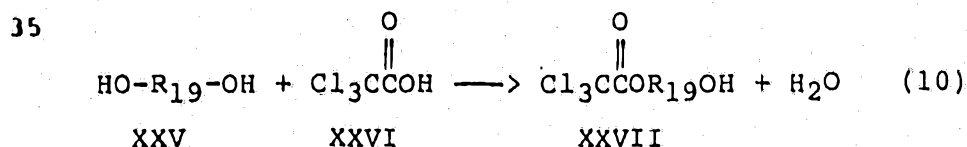
be readily removed by hydrogenation using a suitable catalyst such as palladium on carbon. Similarly, carbobenzoxy protecting groups may also be removed by trifluoroacetic acid.

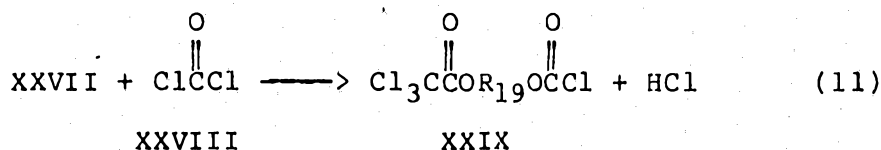
If additional chloroformate, XIX, XX, or XXI is added to the reaction it will react with any available primary or secondary amine of the polyamine alkenyl or alkyl succinimide and convert these to carbamates. Preferably, it is desirable to convert at least 20% of the primary and secondary amines to carbamates; more preferably at least 50% of the primary and secondary amines should be converted to carbonates; and most preferably all of the primary and secondary amines to carbamates.

In general, maximum carbamate formation in the polyamino alkenyl or alkyl succinimide can be obtained by employing a molar charge of chloroformate to the theoretical basic nitrogen of the alkenyl or alkyl succinimide of from 0.7:1 to about 1:1. In some cases, a slight excess of chloroformate may be employed to enhance reaction rate.

Suitable chloroformates of formula XIX include C₁ to C₂₀ alkyl chloroformates prepared from the corresponding alcohol by reaction with phosgene. The alcohols are either commercially available or may be readily prepared by reduction of the corresponding carboxylic acid by art recognized techniques.

Suitable chloroformates of formula XX wherein w=1 may be prepared as shown in reactions (10) and (11) below. In these reactions the protecting group R²⁰ is trichloroacetyl although it is understood that other suitable protecting groups may be similarly employed.





05

wherein R_{19} is as defined above.

10

Reaction (10) is a conventional esterification reaction and is conducted by combining the diol, XXV, with the acid XXVI, to yield the monoester, XXVII. In order to prevent formation of a diester, an excess of diol, XXV, is employed. In general, from 1.1 to 4 equivalents of diol, XXV, and preferably 2 equivalents per equivalent of acid XXVI are employed in reaction (10) although larger

15 excesses may be employed. The reaction may be conducted neat or in a suitable diluent such as toluene, benzene and the like. The water generated during the reaction may be readily removed via a Dean-Stark trap. The product ester, XXVII, may be isolated by conventional techniques such as

20

Alternatively, the monoester, XXVII, may be prepared by forming the diester of glycol XXV and then hydrolyzing one of the esters to the alcohol to form monoester XXVII.

25

Reaction (11) is conducted by adding the ester, XXVII, to a suitable inert diluent such as toluene, benzene and the like. Phosgene, XXVIII, is then added to the system over a period of time. Generally, an excess of phosgene is employed. In particular, from approximately 1.1-2.5 equivalents of phosgene is added per equivalent of

30 ester, XXVII. The reaction is conducted at from -10° to 10°C and is generally complete from within 1/2 to 12 hours. If it is necessary to prevent formation of side products, the ester, XVII, may be slowly added to an excess of phosgene XXVIII. The chloroformate, XXIX, may

35 be isolated by conventional techniques such as distillation but preferably the system is stripped of a portion of the inert diluent which also removes the hydrochloride gas generated. The product XXVIII, and the

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remaining diluent are then used as is in reaction (9) above.

05

The glycol, XXV, is either commercially available or may be readily prepared from art recognized techniques.

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When w is 2 or more, the chloroformate, XX, is prepared similarly as to reactions (10) and (11) above. However, it is noted that excess polyol in these reactions is not necessary since all but one of the hydroxy groups of the polyol should be protected. Accordingly, if the polyol contains 4 hydroxy groups, three of these should be protected. Accordingly, if the polyol contains 4 hydroxy groups, three of these hydroxy groups should be protected.

15

This can be accomplished by using 3 equivalents of the protecting agent such as trichloroacetic acid. Alternatively, the triester may be prepared by first forming the tetraester and then hydrolyzing one of these esters to a hydroxy group to form the triester. In any case, a mixture is obtained from both procedures and the desired product being isolated by conventional techniques (i.e., chromatography).

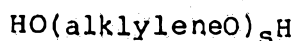
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Polyols are either commercially available (i.e. glycerol, pentaerythritol, etc.) or may be readily prepared by art recognized techniques.

30

Chloroformates of formula XXI are prepared similarly as those of formula XX by substituting a poly(oxyalkylene) glycol, XXX, in reactions (10) and (11) above.



XXX

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wherein alkylene and s are as defined above.

40

The poly(oxyalkylene) glycol materials, XXX', are the addition polymers of lower aliphatic oxides such as ethylene oxide, propylene oxide, the butylene oxides and the pentylene oxides and are prepared by employing a glycol such as ethylene glycol, propylene glycol and the

like under polymerization conditions. These materials are commercially available or may be readily prepared.

05 In the polymerization reaction, a single type of alkylene oxide may be employed, e.g., propylene oxide, in which case the product is a homopolymer, e.g., a poly(oxypropylene) propanol. However, copolymers are equally satisfactory and random copolymers are readily prepared by
10 contacting the hydroxyl-containing compound with a mixture of alkylene oxides, such as a mixture of propylene and butylene oxides. Block copolymers of oxyalkylene units also provide satisfactory poly(oxyalkylene) polymers for the practice of the present invention.

15 In general, the poly(oxyalkylene) polymers are mixtures of compounds that differ in polymer chain length. However, their properties closely approximate those of the polymer represented by the average compositic and molecular weight.

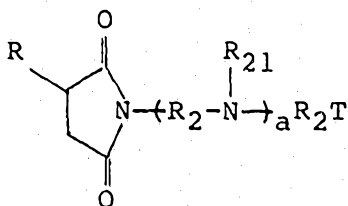
20 If the polyamino moiety of the alkenyl or alkyl succinimide does not contain hydroxy alkyl substitution, hydroxy alkyl groups may be introduced into the modified succinimides of this invention by addition of a chloroalkanol (e.g., chloroethanol) provided the succinimide
25 retains some basic nitrogen. The chloroalkanol will react with basic nitrogen to yield the hydroxy alkyl group. This reaction may also produce some quaterinized nitrogen products but this may be minimized by controlling the reaction conditions such as by limiting the amount of
30 chloroalkanol added.

35 Alternatively, the hydroxy hydrocarbyl carbamates may be prepared by reacting the succinimide with an epoxide or hydrocarbyl hydroxy chloride in the presence of CO₂. Accordingly, by employing chloroformate, XIX, XX, or XXI, and a polyamino alkenyl or alkyl succinimide of formula II above in the above reactions, compounds of the following formula are produced.

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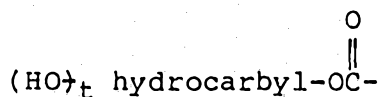


XXXI

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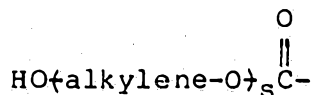
wherein R is alkenyl or alkyl of from 10 to 300 carbon atoms; R₂₁ is hydrogen; lower alkyl of from 1 to 6 carbon atoms, lower hydroxy alkyl of from 1 to 6 carbon atoms,

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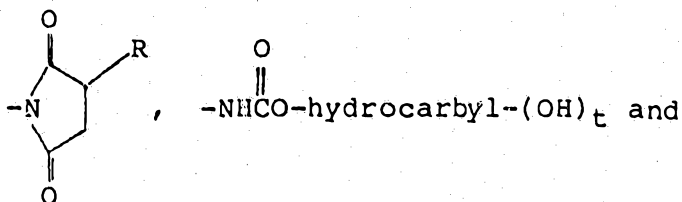
wherein t is an integer from 0 to 6, and hydrocarbyl is a hydrocarbyl group of from 2 to 20 carbon atoms; and

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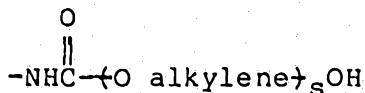


wherein alkylene-O is a C₂-C₅ oxyalkylene and s is an integer from 2 to 30; a is an integer of from 0 to 10; and T is -NH₂,

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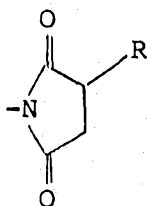
wherein R, hydrocarbyl, alkylene, s and t are as defined above; with the proviso that if T is -NH₂ or

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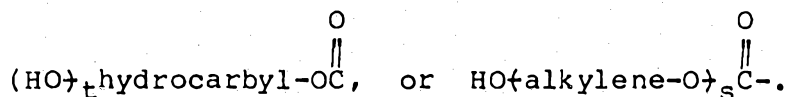
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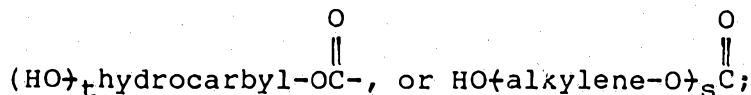
then a is not zero and at least one of R_{21} is either

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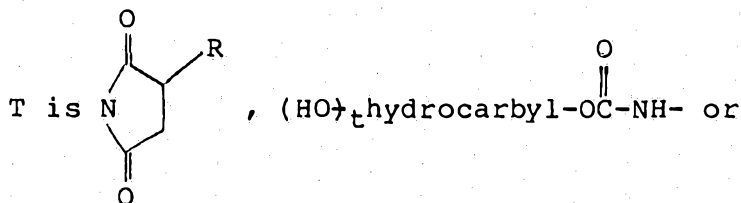


Preferably R is alkenyl or alkyl of from about 12 to 100 carbon atoms; R_2 is alkylene of from 2 to 6 carbon atoms; a is an integer of from 1 to 6; R_{21} is

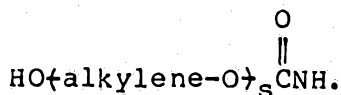
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Preferably t is an integer of from 1 to 6, more preferably 1 to 3, most preferably 1. Carbon atoms having 2 hydroxy groups are hemiketals which readily lose water to form ketones (or aldehydes). For the purpose of this invention, if t is 2 or more then the hydroxy groups are not on the same carbon atom. Moreover, the carbon atom attached to the carbamate cannot be substituted with hydroxy since such hydroxy substitution would require that the starting alcohol XXV (or its equivalent if t is greater than 1) be a hemiketal which is not within the scope of this invention.

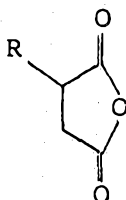
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In still another aspect of this invention, the hydroxy hydrocarbyl carbamates of formula V, XVIII, XXIII and the like may be post-treated with an alkenyl or alkyl succinic anhydride of the formula:

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wherein R is alkenyl or alkyl of from about 10 to 300 carbon atoms.

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The reaction is generally conducted by combining the hydroxy hydrocarbyl carbamate and the alkenyl or alkyl succinic anhydride. The reaction may be conducted neat but preferably on an inert diluent such as toluene, xylene, oil or the like is employed. The reaction is generally conducted at from 50° to 250°C, preferably 100-200°C and most preferably 150-180°C and is generally complete from within 1 to 24 hours. After reaction completion, the system can be stripped at elevated temperatures and reduced pressures to remove any volatile components which may be present in the product.

25

Generally, from about 0.1 to 1.5 equivalents of an alkenyl or alkyl succinic anhydride per equivalent of modified polyamino alkenyl or alkyl succinimide is employed, although preferably from about 0.5 to 1.0. In some cases higher amounts (>1.5 equivalents) may be used.

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The modified succinimides of this invention can also be reacted with boric acid or a similar boron compound to form borated dispersants having utility within the scope of this invention. In addition to boric acid (boron acid), examples of suitable boron compounds include boron oxides, boron halides and esters of boric acid. Generally from about 0.1 equivalents to 10 equivalents of boron compound to the modified succinimide may be employed.

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The modified polyamino alkenyl or alkyl succinimides of this invention are useful as detergent and dispersant additives when employed in lubricating oils. When employed in this manner, the modified polyamino alkenyl or alkyl succinimide additive is usually present in from 0.2 to 10 percent by weight to the total composition and preferably at about 0.5 to 5 percent by weight. The lubricating oil used with the additive compositions of this invention may be mineral oil or synthetic oils of lubricating viscosity and preferably suitable for use in the crankcase of an internal combustion engine. Crankcase lubricating oils ordinarily have a viscosity of about 1300 Cst 0°F to 22.7 Cst at 210°F (99°C). The lubricating oils may be derived from synthetic or natural sources. Mineral oil for use as the base oil in this invention includes paraffinic, naphthenic and other oils that are ordinarily used in lubricating oil compositions. Synthetic oils include both hydrocarbon synthetic oils and synthetic esters. Useful synthetic hydrocarbon oils include liquid polymers of alpha olefins having the proper viscosity. Especially useful are the hydrogenated liquid oligomers of C₆ to C₁₂ alpha olefins such as 1-decene trimer. Likewise, alkyl benzenes of proper viscosity such as didodecyl benzene, can be used. Useful synthetic esters include the esters of both monocarboxylic acid and polycarboxylic acids as well as monohydroxy alkanols and polyols. Typical examples are didodecyl adipate, pentaerythritol tetracaproate, di-2-ethylhexyl adipate, dilaurylsebacate and the like. Complex esters prepared from mixtures of mono and dicarboxylic acid and mono and dihydroxy alkanols can also be used.

Blends of hydrocarbon oils with synthetic oils are also useful. For example, blends of 10 to 25 weight percent hydrogenated 1-decene trimer with 75 to 90 weight percent 150 SUS (100°F) mineral oil gives an excellent lubricating oil base.

05 Additive concentrates are also included within
the scope of this invention. The concentrates of this
invention usually include from about 90 to 10 weight per-
cent of an oil of lubricating viscosity and from about 10
to 90 weight percent of the complex additive of this
invention. Typically, the concentrates contain sufficient
10 diluent to make them easy to handle during shipping and
storage. Suitable diluents for the concentrates include
any inert diluent, preferably an oil of lubricating vis-
cosity, so that the concentrate may be readily mixed with
lubricating oils to prepare lubricating oil compositions.
Suitable lubricating oils which can be used as diluents
15 typically have viscosities in the range from about 35 to
about 500 Saybolt Universal Seconds (SUS) at 100°F (38°C),
although an oil of lubricating viscosity may be used.

20 Other additives which may be present in the
formulation include rust inhibitors, foam inhibitors,
corrosion inhibitors, metal deactivators, pour point
depressants, antioxidants, and a variety of other well-
known additives.

25 It is also contemplated the modified succini-
mides of this invention may be employed as dispersants and
detergents in hydraulic fluids, marine crankcase
lubricants and the like. When so employed, the modified
succinimide is added at from about 0.1 to 10 percent by
weight to the oil. Preferably, at from 0.5 to 5 weight
percent.

30 When used in fuels, the proper concentration of
the additive necessary in order to achieve the desired
detergency is dependent upon a variety of factors includ-
ing the type of fuel used, the presence of other deter-
gents or dispersants or other additives, etc. Generally,
35 however, and in the preferred embodiment, the range of
concentration of the additive in the base fuel is 10 to
10,000 weight parts per million, preferably from 30 to
2,000 weight parts per million, and most preferably from
30 to 700 parts per million of the modified succinimide

per part of base fuel. If other detergents are present, a lesser amount of the modified succinimide may be used.

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The modified succinimide additives of this invention may be formulated as a fuel concentrate, using an inert stable oleophilic organic solvent boiling in the range of about 150° to 400°F. Preferably, an aliphatic or an aromatic hydrocarbon solvent is used, such as benzene, 10 toluene, xylene or higher-boiling aromatics or aromatic thinners. Aliphatic alcohols of about 3 to 8 carbon atoms, such as isopropanol, isobutylcarbinol, n-butanol and the like, in combination with hydrocarbon solvents are also suitable for use with the fuel additive. In the fuel 15 concentrate, the amount of the additive will be ordinarily at least 10 percent by weight and generally not exceed 70 percent by weight and preferably from 10 to 25 weight percent.

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The following examples are offered to specifically illustrate this invention. These examples and illustrations are not to be construed in any way as limiting the scope of this invention.

EXAMPLES

Example 1

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To a 5-liter reaction flask fitted with a stirrer, Dean-Stark trap, condensor and nitrogen inlet, was charged 2000 g of a succinimide dispersant composition [prepared by reacting 1 mole of polyisobutenyl succinic anhydride, where the polyisobutenyl group has a number average molecular weight of about 950, with 0.9 mole of triethylenetetramine then diluting to about 50% actives with diluent oil to give a material with an AV=40.9 mg KOH/g]. To this mixture was added 352 g ethylene carbonate. The reaction mixture was stirred and heated at 30 150°C under N₂ for 4 hours, then stripped for 30 minutes at 175-180°C and 2 mm Hg. Recovered 2020 g of product with AV=25.5.

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Example 2

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To a 5-liter reaction flask was added 2000 g of a succinimide dispersant composition as described in

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Example 1 and 352 g ethylene carbonate. The mixture was stirred and heated at 150°C under N₂ for 4 hours. The product was then cooled, diluted with 400 g diluent, and stripped to 200°C and 10 mm Hg. Recovered 2048 g of product with AV=25.4 and containing 2.13%N.

Example 3

To a 500-ml reaction flask was charged 100 g of a succinimide dispersant composition [prepared by reacting 1 mole of polyisobutenyl succinic anhydride, where the polyisobutenyl group has a number average molecular weight of about 950, with 0.87 mole of tetraethylenepentamine; then diluting to about 50% actives with diluent oil to give a material with an AV=46.3 mg KOH/g]. The succinimide was warmed to 150°C, 29.9 g ethylene carbonate was added, and the mixture stirred and heated at 150°C under N₂ for 4 hours. The product was then cooled, diluted with 250 hydrocarbon thinner which is a mixture of aromatics, paraffins and naphthenes, and stripped to 175°C and 13 mm Hg. Recovered 117.5 g of product having an AV=24.3 and containing 1.74%N.

Example 4

To a 3-liter reaction flask was charged 1500 g of a succinimide dispersant composition [prepared by reacting 1 mole of polyisobutenyl succinic anhydride, where the polyisobutenyl group has a number average MW of about 950, with 0.5 mole of tetraethylenepentamine then diluting to about 50% actives with diluent oil and to give a material with an AV=27.5]. The succinimide was warmed to 170°C and 171 g ethylene carbonate added over a period of about 5 minutes. The reaction mixture was stirred at 170°C under N₂ for 4 hours to yield 1605 g of product with AV=15.5 and containing 1.40%N.

Example 5

To a 3-liter reaction flask was charged 1700 g of the succinimide dispersant composition of Example 4. The succinimide was warmed to 170°C under N₂ and 88.5 g ethylene carbonate was added. The reaction mixture was

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stirred and heated at 170°C for 4 hours. Recovered 1702 g product having an AV=16.0 and containing 1.32%N.

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Example 6

To a 500-ml reaction flask was charged 100 g of the product of Example 5. The dispersant was warmed to 60°C whereupon 6.2 g boric acid was added. The reaction mixture was stirred and heated at 160° under N₂ for 2 hours, then stripped to 175°C and about 80 mm Hg. Recovered 101.6 g product having an AV=15.8 and containing 1.26%N and 1.01%B.

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Example 7

To a 500-ml reaction flask was charged 100 g of succinimide dispersant composition of Example 4 and 5.91 g propylene carbonate. The reaction mixture was stirred and heated under nitrogen at 150° for 4 hours. The product was then cooled, diluted with 350 thinner, and stripped to 175°C and 10 mm Hg. Recovered 102.6 g of product with an AV=21.9 and containing 1.31%N.

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Example 8

To a 500-ml flask was charged 150 g succinimide dispersant composition of Example 4 and 150 ml xylenes. The reaction mixture was brought to reflux and 17.1 g ethylene carbonate, mixed with 20 ml xylenes at 64°C, was added. The mixture was refluxed under N₂ for 4 hours, then stripped to 170°C and 50 mm Hg. Recovered 157.8 g product having an AV=23.5 and containing 1.46%N.

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Example 9

To a 500-ml reaction flask was charged 150 g succinimide dispersant composition of Example 4. The succinimide was warmed to 170°C and 17.1 g ethylene carbonate was then added over a period of 65 minutes. The reaction mixture was stirred and heated at 170°C under N₂ for another 3 hours. Recovered 161.6 g product having an AV=15.9 and containing 1.40%N.

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Example 10

To a 1-liter reaction flask was charged 500 g succinimide dispersant composition of Example 4. This material was then stripped to 170°C and 5 mm Hg to remove

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1.5 g entrained water. 56.8 g Ethylene carbonate was then added over a 2-minute period and the reaction mixture stirred and heated at 170°C under N₂ for 4 hours. Recovered 535.7 g product having AV=14.2 and containing 1.36%N.

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Example 11

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To a 5-liter reaction flask was charged 2800 g succinimide dispersant composition of Example 4 and 493 g ethylene carbonate. The reaction mixture was then stirred and heated at 150°C under N₂ for 4 hours. The product was cooled, diluted with 600 ml 450 thinner and stripped to 210°C and 10 mm Hg. Recovered 2952 g product having an AV=12.3 and containing 1.25%N.

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Example 12

To a 500-ml reaction vessel was charged 264 g of an approximately 50% solution of polyisobutenyl succinic anhydride in diluent oil (where the polyisobutenyl group has a number average molecular weight of about 950) and 11.8 g tetraethylenepentamine (a commercial product containing a mixture of polyamines). The reaction mixture was heated under nitrogen to 153°C and stirred for one hour. 16.5 g Ethylene carbonate was then added and the heating and stirring continued for another 2 hours. Recovered 276 g of material having AV=21.9 and containing 1.48%N.

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Example 13

To a 250 ml 3 neck flask fitted with a stirrer, Dean-Stark trap, condensor and nitrogen inlet was charged 62 g ethylene diamine. While stirring at 60°C, 26.7 g of dodecenyl succinic anhydride (mw=266) was added slowly dropwise over 1 hr. The mixture was then refluxed at 118°C for 30 minutes, afterwards the excess ethylene diamine was distilled out at 160°C over 3 hours. To the mixture was added 8.8 g of ethylene carbonate (mw=88). The system was then heated at 160°C for 3 hrs. Recovered 40.7 g of product with AV=40 and N=7%.

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Example 14

To a 250 ml 3 neck flask fitted with a stirrer,
Dean-Stark trap, condensor and nitrogen inlet was charged
05 26.7 g of dodecanyl succinic anhydride (mw=266). After
heating to 120°C, 9.5 g of tetraethylene pentaamine
(mw=189) was added over 30 minutes. The mixture was
heated and stirred at 170°C for 3 hrs. To this mixture
10 was added 23.1 g of ethylene carbonate (mw=88). This
system was stirred at 170°C for 3 hrs. Recovered 43.2 g
of product with AV=73 and N=6.09%.

Example 15

To a 250 ml 3 neck flask fitted with a stirrer,
15 Dean-Stark trap, condensor and nitrogen inlet was charged
68 g of 37% oil solution of a polybutenyl succinic
anhydride (average mw=430); 9.5 g of tetraethylene penta-
amine (mw=189) was added over 30 minutes. The mixture was
heated and stirred at 170°C for 3 hrs. To this mixture
20 was added 26.4 g of ethylene carbonate (mw=88). This
system was stirred at 170°C for 3 hrs. Recovered 90.5 g
of product with AV=45 and N=3.8%.

Example 16

To a 500 ml 3 neck flask fitted with a stirrer,
25 Dean-Stark trap, condensor and nitrogen inlet was charged
250 g of a 50% oil solution of a polybutenyl succinic
anhydride (average mw=1050). 17.9 g of Dow E-100® heavy
polyamine (average mw=303 available from Dow Chemical
Company, Midland, Michigan) was added over 30 minutes.
30 The mixture was heated and stirred at 170°C for 3 hrs. To
this mixture was added 52 g ethylene carbonate. This
system was stirred at 160°C for 4 hrs. Recovered 296.5 g
of product with AV=27.1 and N=1.9%.

Example 17

To a 250 ml 3 neck flask fitted with a stirrer,
35 Dean-Stark trap, condensor and nitrogen inlet was charged
140 g of a 50% oil solution of a polybutenyl succinic
anhydride (average mw=1400). 4.75 g of tetraethylene
pentaamine (mw=189) was added over 30 minutes. The
40 mixture was heated and stirred at 170°C for 3 hrs. To

this mixture was added 13.2 g of ethylene carbonate (mw=88). This system was stirred at 170°C for 3 hrs.

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Recovered 143.6 g of product with AV=13.3 and N=1.2%.

Example 18

To a 250 ml 3 neck flask fitted with a stirrer, Dean-Stark trap, condensor and nitrogen inlet was charged 100 g of the succinimide dispersant composition of Example 4 and 13.2 g of 1,3-dioxan-2-one. The mixture was heated at 165°C for 3 hrs. under nitrogen. After cooling the recovered product had an AV=18.1.

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Similarly, other polyamino alkenyl or alkyl succinimides may be employed in place of the succinimides used in Examples 1-11 to produce modified succinimides useful in this invention. Examples of suitable succinimides include the reaction product of either polyisopropenyl succinic anhydride or polyisobutenyl succinic anhydride with bisaminopropylethylene diamine and the reaction product of a hydrogenated polyisobutenyl succinic anhydride with tetraethylene pentamine.

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Example 19

A 500 ml, 3-necked flask was charged with 123.3 g succinimide dispersant composition of Example 4 and 46 g pentaerythritol carbonate (spiro[1,3-oxa-2-cyclohexanone-5,5'-1',3'-oxa-2'-cyclohexanone]) which was prepared by reacting pentaerythritol with an excess of diethylcarbonate in the presence of catalytic amounts of potassium carbonate. The system was stirred and heated under nitrogen to 175°C for 6-1/2 hours to yield 138 g of a product having an AV=12.6.

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Example 20

A 500 ml, 3-necked flask was charged with 100 g succinimide dispersant composition of Example 4. The system is heated to 100°C and 7.64 g of a mixture of 4-hydroxymethyl-1,3-dioxolan-2-one and 5-hydroxy-1,3-dioxan-2-one (which was prepared by reacting glycerol with an equivalent of diethylcarbonate in the presence of catalytic amounts of potassium carbonate without purifying the resulting product) was then added. The system was

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stirred and heated under nitrogen to 165°C for 3 hours to yield 104.7 g of a product having %N=1.48.

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Likewise, by following the procedures in the above examples, the following cyclic carbonates may be substituted for ethylene carbonate (1,3-dioxolan-2-one) to yield modified succinimides useful in this invention:

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4-methyl-1,3-dioxolan-2-one; 4-hydroxymethyl-1,3-dioxolan-2-one; 4,5-dimethyl-1,3-dioxolan-2-one; 4-ethyl-1,3-dioxolan-2-one; 4-methyl,5-ethyl-1,3-dioxolan-2-one; 4,4-dimethyl-1,3-dioxolan-2-one; 4-n-propyl-1,3-dioxolan-2-one; 4,4-diethyl-1,3-dioxolan-2-one; 1,3-dioxan-2-one; 4,4-dimethyl-1,3-dioxan-2-one; 5,5-dimethyl-1,3-dioxan-2-one; 5-methyl-1,3-dioxan-2-one; 4-methyl-1,3-dioxan-2-one; 5-hydroxymethyl-1,3-dioxan-2-one; 5,5-diethyl-1,3-dioxan-2-one; 5-methyl-5-npropyl-1,3-dioxan-2-one; 4,6-dimethyl-1,3-dioxan-2-one; 4,4,6-trimethyl-1,3-dioxan-2-one and spiro[1,3-oxa-2-cyclohexanon-5,5'-1',3'-oxa-2'-cyclohexanone].

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Example 21

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A linear polyethylene carbonate was prepared according to U.S. Patent 3,248,414. A stirred steel autoclave was charged with 12.4 g ethylene glycol, 274 g ethylene carbonate, and 0.4 g potassium carbonate. The temperature was raised to 200°C and held there for 24 hours. The pressure in the vessel rose from 155 psi to 1300 psi and was constant at 1300 psi for at least the last 5 hours of the reaction. The reactor temperature was lowered to 115-120°C and the reaction gases were vented. The product was then stripped under vacuum to 165-170°C to remove excess ethylene carbonate. Recovered 158.9 g product having an hydroxyl number of 157 and containing 14.9 weight percent CO₂.

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Example 22

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A 500 ml, 3-necked flask was charged with 80 g monosuccinimide disperesant composition of Example 3 and 20.8 g polycarbonate of Example 21. The mixture was stirred and heated under nitrogen for 4 hours at 160°C, whereupon the AV of the mixture dropped from 39.8 to

33.8 mg KOH/g. The mixture was then heated at 180°C for another 5 hours, whereupon the AV dropped to 28.7 mg KOH/g. The mixture was finally heated at 200°C for 2-1/2 hours to give a product having an AV=28.4 mg KOH/g and showing no unreacted carbonate by infrared spectroscopy.

Example 23

A 500 ml, 3-necked flask was charged with 100 g bissuccinimide dispersant composition of Example 4, 11.8 g polycarbonate of Example 21, and 180 ml p-dioxane. The mixture was refluxed for 1 hour, then the p-dioxane was removed by distillation. The remaining reaction mixture was warmed to 180°C under nitrogen for 5 hours, then at 220°C for 5-1/2 hours. Recovered a product having an AV=17.6 mg KOH/g.

Example 24

To a 250 ml, 3-necked flask was charged 46.4 g of a bissuccinimide dispersant composition of Example 4 and 1.3 g of a polycarbonate resin having the generic formula $\{C_6H_4-C(CH_3)_2-C_6H_4OCO_2\}_n$ and an MW=20,000-25,000 (available from Aldrich Chemical Co., Milwaukee, Wisconsin, as Aldrich No. 78,162-5). The mixture was heated under nitrogen to 150°C for 5 hours. Recovered 0.7 g unreacted polycarbonate resin. The recovered product had an AV=25.3 mg KOH/g.

Example 25

To a 250 ml, 3-necked flask was charged 46.4 g of the bissuccinimide dispersant composition of Example 4 and 5.1 g polycarbonate resin as described in Example 24. The mixture was heated under nitrogen to 150-160°C for 3-1/2 hours, then to 180-190°C for another 1-1/2 hours. Recovered 3.15 g unreacted polycarbonate resin. The product contained 1.42% N and had an AV=16.4 mg KOH/g.

Example 26

To a 500 ml, 3-necked flask was added 5.1 g of the polycarbonate resin described in Example 24 and 100 g p-dioxane. The solvent was refluxed until all the resin had dissolved. 46.4 g of the bissuccinimide dispersant composition of Example 4 was then added and refluxing

continued for another 21 hours. The reaction mixtures was then stripped to remove dioxane and heated to 180-190°C under N₂ for 3 hours. The product contained 1.32% N and had an AV=10.0 mg KOH/g.

Example 27

To a 3-liter, 3-necked flask was charged 1700 g of a bissuccinimide (prepared by reacting 2 moles of polyisobutenyl succinic anhydride where the polyisobutenyl group has a number average MW=950, with 1 mole of tetraethylene pentamine, then diluting to about 50% actives with diluent oil to give a material with an AV=27.5). The bissuccinimide was brought to 170°C under a nitrogen atmosphere and 88.5 g ethylene carbonate was added over a period of about three minutes. The mixture was stirred at 170°C for 4 hours. Recovered 1762 g product containing 1.32% nitrogen and having an AV=15.7 mg KOH/g.

Example 28

To a 500 ml, 3-necked flask was charged 132.6 g of the product of Example 27 and 76.5 g of an approximately 50% oil solution of polyisobutenyl succinic anhydride (MW=1050). The mixture was stirred and heated under nitrogen at 160°C for 2 hours. Recovered 209.2 g product containing 0.85% N and having an AV=8.4 mg KOH/g.

Example 29

To a 3-liter, 3-necked flask was charged 1500 g of a bissuccinimide dispersant composition of Example 27. The succinimide was warmed to 170°C under a nitrogen atmosphere and 171 g ethylene carbonate was added over a period of 8 minutes. The mixture was stirred at 170°C for 4 hours. Recovered 1605 g product containing 1.41% N and having an AV=15.5 mg KOH/g.

Example 30

To a 500 ml, 3-necked flask was charged 197.2 g of the product of Example 29 and 40.8 g of an approximately 50% oil solution of polyisobutylene succinic anhydride (MW=1050). The mixture was warmed to 170°C and stirred for 3 hours under a nitrogen atmosphere.

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Recovered 240 g product containing 1.17% N and having an AV=11.5 mg KOH/g.

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Example 31

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To a 500 ml, 3-necked flask was charged 263.2 g of the dispersant of Example 29 and 76.5 g of an approximately 50% oil solution of polyisobutenyl succinic anhydride (MW=1050). The mixture was stirred and heated under nitrogen at 160°C for 2 hours. Recovered 339 g product having an AV=10.8 mg KOH/g.

Example 32

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To a 500 ml, 3-necked flask was charged 197.2 g of the product of Example 29 and 81.6 g of an approximately 50% oil solution of polyisobutenyl succinic anhydride (MW=1050). The mixture was stirred and heated under nitrogen at 170°C for 3 hours. Recovered 279.2 g of product containing 0.98% N and having an AV=10.1 mg KOH/g.

Example 33

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To a 500 ml, 3-necked flask was charged 150 g of a bisuccinimide dispersant composition of Example 27. This succinimide was warmed to 150°C under nitrogen and 25.6 g ethylene carbonate was added. The mixture was stirred and heated at 150°C for 4 hours; 150 ml xylenes was then added and the product stripped to 170°C and 50 mm Hg for 30 minutes. Recovered 165.1 g product containing 1.38% N and having an AV=14.4 mg KOH/g.

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Example 34

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To a 500 ml, 3-necked flask was charged 126.8 g of the product of Example 33 and 76.5 g of an approximately 50% oil solution of polyisobutenyl succinic anhydride (MW=1050). The mixture was placed under nitrogen and heated and stirred at 160°C for 2 hours. Recovered 203.3 g product containing 0.86% N and having an AV=7.7 mg KOH/g.

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Example 35

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To a 500 ml, 3-necked flask was charged 100 g of bisuccinimide dispersant composition of Example 27. This succinimide was warmed to 160°C under nitrogen and 6.7 g propylene carbonate added. The mixture was heated and

stirred at 160°C for 4 hours. 67.3 g polyisobutenyl-succinic anhydride (MW=1050) was then added and the mixture stirred at 160°C for an additional 2 hours. Recovered 172.6 g product containing 0.87% N and having an AV=7.2 mg KOH/g.

Example 36

To a 3-liter, 3-necked flask was charged 1500 g of an approximately 43% oil solution of a monosuccinimide (prepared by reacting 1 mole polyisobutenyl succinic anhydride where the polyisobutenyl group has a number average MW=950 with 0.87 mole TEPA, and containing 2.06% N and having an AV=45.1). This succinimide was warmed to 170°C under nitrogen and 149.6 g ethylene carbonate was added. The mixture was stirred at 170°C for 2 hours. Recovered 1551 g product containing 1.97% N and having AV=20.0 mg KOH/g.

Example 37

To a 500 ml, 3-necked flask was charged 177.7 g of the product of Example 36 and 204.0 g of an approximately 50% oil solution of polyisobutenylsuccinic anhydride (MW=1050). The mixture was warmed to 160°C under nitrogen and stirred for 2 hours. Recovered 381.6 g product containing 0.82% N and having an AV=10.3 mg KOH/g.

Example 38

To a 500 ml, 3-necked flask was charged 100 g of the monosuccinimide dispersant composition of Example 36. This succinimide was warmed to 160°C under nitrogen and 21.1 g ethylene carbonate added. The mixture was stirred at 160°C for 4 hours, whereupon 122.4 g of an approximately 50% oil solution of polyisobutenylsuccinic anhydride (MW=1050) was added. Heating was continued for another 2 hours. Recovered 232 g product containing 0.92% N and having an AV=10.5 mg KOH/g.

Example 39

To a 500 ml, 3-necked flask equipped with a nitrogen inlet, mechanical stirrer and addition funnel was charged 150 g of the monosuccinimide dispersant composition of Example 3 and 20.9 g of diethylcarbonate. The

reaction system was heated to 160°C for 6 hours. The temperature was raised to 175°C and then the reaction system was stripped under vacuum to remove volatiles and some diluent oil. 150.5 g of the product was recovered having an AV=42.2. Infrared shows carbamate and succinimide bands at from 1710 cm^{-1} to 1690 cm^{-1} .

Example 40

10 To a 100 ml flask under nitrogen equipped with a stirrer and an addition funnel was charged 5 g of the bissuccinimide dispersant composition of Example 27. Afterwards approximately 1.5 g of methyl chloroformate was slowly added dropwise over 1 hour to the reaction system at a temperature of from 25°C to 30°C at this time, 15 infrared analysis shows the presence of unreacted chloroformate. The reaction was exothermic and the system was heated from 45° to 75°C over 1 hour and then allowed to cool and 250 hydrocarbon thinner, which is a mixture of aromatics, paraffins and naphthenes, was added. The 20 organic solution was washed with brine to remove hydrogen chloride and unreacted chloroformate and then stripped to yield methyl carbamate derivatives of the bissuccinimide having an AV=5.42.

Example 41

25 To a 3-liter, 3-necked flask is charged 1,250 g of the monosuccinimide dispersant composition of Example 36. Afterwards, 276 g of tetradecyl chloroformate (prepared by reacting 1-tetradecanol with phosgene) is slowly added to the reaction system at a temperature from 30 20°-25°C. The reaction system is stirred at this temperature for 2 hours at which time the reaction solution is added to 250 hydrocarbon thinner which is a mixture of aromatics, paraffins, and naphthenes. The 35 organic solution is washed with brine and then stripped to remove volatiles to yield a dispersant product containing tetradecyl carbamate functionalities.

Example 42

40 To a 5-liter, 3-necked flask is charged 1,250 g of the monosuccinimide dispersant composition of

05 Example 36. Afterwards, 1,440 g of eicosyl chloroformate (prepared by reacting 1-eicosanol with phosgene) is slowly added to the reaction system at a temperature from 20°-25°C. The reaction system is stirred at this temperature for 3 hours at which time the reaction solution is added to 250 hydrocarbon thinner which is a mixture of aromatics, paraffins and naphthenes. The organic solution is washed with brine and then stripped to remove volatiles to yield a dispersant product containing eicosyl carbamate functionalities.

Example 43

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Preparation of Ethylene Glycol Mono-Trichloroacetate

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To a 3-neck flask equipped with a nitrogen inlet tube, a mechanical stirrer and a dean stark trap was added 37.2 g of ethylene glycol (0.6 moles) and 49.0 g of tri-chloroacetic acid (0.3 moles). The mixture was heated at 150°C for 3.5 hours. Water distills out of the reaction mixture and is collected in the Dean-Stark trap. After cooling, the crude mixture was dissolved in 150 ml of methylene chloride and was washed three times with 150 ml of ice water. The organic phase was dried over anhydrous sodium sulfate, filtered and the solvent was removed under vacuum to give the mono-trichloroacetate as the major product.

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A sample of ethylene glycol mono-trichloroacetate, prepared similarly to the procedure outlined above, was placed on TLC (thin layer chromatography). TLC shows the mono-trichloroacetate having a $R_f=0.33$ and the bis-trichloroacetate having a $R_f=0.67$ using 1/5 ethyl acetate/petroleum ether as development solvent and a dichromate stain for visualization.

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A sample of mono-trichloroacetate was purified by silica gel chromatography. The crude material (90.8 g) was placed on a column packed with 484 g of silica gel and eluted with 5% ethyl acetate/hexane. The mono-trichloroacetate has an $R_f=0.25$ in this solvent system and 58.2 g

was obtained as single spot material. IR shows hydroxy at 3400 cm^{-1} and carbonyl at 1765 cm^{-1} . NMR (CDCl_3) shows 1H(-OH) at delta 3.35, 2H(- $\text{CH}_2\text{-O}$) at delta 4.0 and 2H(C-O- $\text{CH}_2\text{-C}$) at delta 4.55. The bis-trichloroacetate was also obtained pure by silica gel chromatography. IR shows carbonyl at 1770 cm^{-1} and no hydroxy. NMR (CDCl_3) shows only 4H(- $\text{CH}_2\text{-O}$) at delta 4.75.

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Example 44

Preparation of Chloroformate of Ethylene Glycol Mono-Trichloroacetate

Ethylene glycol mono-trichloroacetate, 14.5 g, 0.07 moles, was dissolved in 100 ml of toluene and excess phosgene was carefully passed through the solution for several hours. (The reaction was performed in a well ventilated hood and a KOH scrubber was used to destroy unreacted phosgene and HCl gas). The reaction was monitored by TLC until all of the starting material was gone. After the reaction was completed, nitrogen was bubbled through the solution to remove any unreacted phosgene. The toluene solution containing the chloroformate can be used in subsequent reactions.

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A sample of the chloroformate of ethylene glycol mono-trichloroacetate was prepared similarly to the procedure outlined above and placed on a TLC. The chloroformate appears as a new single spot on TLC at $R_f=0.6$ using 1/3 ethyl acetate/hexane as solvent (dichromate visualization). A portion of a chloroformate/toluene solution was stripped and IR shows carbonyl (trichloroacetate and chloroformate) at 1770 cm^{-1} and no hydroxyl group. NMR shows a broad 4H singlet at delta 4.7.

Example 45

Preparation of a Hydroxy Ethyl Carbamate Modified Succinimide. Reaction of Protected Chloroformate with Bissuccinimide

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(a) The chloroformate of ethylene glycol mono-trichloroacetate, 3.9 g (0.0144 moles) was dissolved in 20 ml toluene. 20.3 g of a bissuccinimide dispersant composition (prepared by reacting 1 mole of polyisobutenyl succinic anhydride, where the polyisobutenyl group has a

number average MW of about 950, with 0.5 mole of tetra-
ethylene pentaamine then diluting to about 50% actives in
05 diluent oil to give a material with an AV=29.7 and a
nitrogen content of 1.51%) was dissolved in 25 ml of
toluene. Both solutions were cooled to below 0°C
(approximately -2°C) using a salt ice-water bath. The
solutions were poured together into a 500 ml flask
10 equipped with a mechanical stirrer and drying tube
attached. The reaction solution was mixed with strong
stirring and kept below 0°C for 40 minutes and then
allowed to warm to room temperature.

(b) After stirring at room temperature for
15 several hours, about 65 mls of the reaction solution was
added to 130 mls hexane and 65 mls 1N NaOH in a separatory
funnel in order to remove the trichloroacetate group. The
mixture was intermittently shaken for 30 minutes. After
phase separation, the organic layer was washed several
20 times with brine, dried over anhydrous magnesium sulfate,
filtered and stripped to yield the title product having an
AV=18.4. The infrared spectrum of this product contains a
hydroxy band at 3400 cm^{-1} and succinimide and carbamate
bands at 1710 cm^{-1} to 1690 cm^{-1} .

(c) Alternatively, the trichloroacetyl group
25 may be removed as follows:

A 5 ml sample from (a) above was added to 10 ml
of hexane and about 0.25 ml of di-n-butyl amine.
Afterwards, the solution was stirred in a 50 ml flask at
30 room temperature overnight and then heated to 40°C for 40
minutes, followed by 2 additional hours at room tempera-
ture. An aliquot was then removed and stripped. Infrared
analysis of this sample indicated that the trichloroacetyl
group had been removed. The reaction mixture was then
35 washed several times with brine and then stripped under
vacuum to yield a product identical to that produced in
(b) above.

Example 46

(a) The chloroformate of ethylene glycol mono-
40 trichloroacetate, 1.7 g, was dissolved in 25 ml toluene.

3.8 g of a bissuccinimide (prepared by reacting 1 mole of
05 dodecanyl succinic anhydride with 0.5 mole of diethylene
35 ml of toluene. Both solutions were cooled to below 0°C
(approximately -2°C) using a salt ice-water bath. The
solutions were poured together into a flask equipped with
a mechanical stirrer and drying tube. The reaction
10 solution was mixed with strong stirring and kept below 0°C
for 40 minutes and then allowed to warm to room tempera-
ture. After reaction completion, the reaction solution
was stripped under vacuum to yield a crude product. This
product was purified by column chromatography using 80 g
15 silica gel and 1:1 ethyl acetate/hexane as the eluting
solvent to recover 3.7 g of the trichloroacetyl ethyl
carbamate of the bissuccinimide. Infrared analysis shows
a trichloroacetyl band at 1770 cm⁻¹ and succinimide and
carbamate bands at 1710-1690 cm⁻¹.

20 (b) 1 g of the product of (a) above was added
to 20 ml hexane and 10 ml 1N NaOH in order to remove the
trichloroacetate group. the mixture was intermittantly
mixed. After phase separation, the organic layer was
washed several times with brine, dried over anhydrous
25 magnesium sulfate, filtered and stripped to yield the
title compound.

Example 47

Preparation of Glycerol Di-(trichloroacetate)

To a 3-neck flask equipped with a nitrogen inlet
30 tube, a mechanical stirrer and a Dean-Stark trap is added
92 g of glycerol and 326.8 g of trichloroacetic acid. The
mixture is heated at 150°C for 3.5 hours. Water distills
out of the reaction mixture and is collected in the Dean-
Stark trap. After cooling, the crude mixture is dissolved
35 in 150 ml of methylene chloride and is washed three times
with 150 ml of ice water. The organic phase is dried over
anhydrous sodium sulfate, filtered and the solvent is
removed under vacuum to give the di(trichloroacetate) of
glycerol which is purified by column chromatography using
40 silica gel.

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Example 48

Preparation of Chloroformate
of Glycerol Di-(trichloroacetate)

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Glycerol di-(trichloroacetate) 36.1 g, is dissolved in 200 ml of toluene and excess phosgene is carefully passed through the solution for several hours. (The reaction is preformed in a well ventilated hood and a KOH scrubber is used to destroy unreacted phosgene and HCl gas.) The reaction is monitored by TLC until all of the starting material is gone. After reaction completion, nitrogen is bubbled through the solution to remove any unreacted phosgene to yield a toluene solution containing the title product.

Example 49

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The chloroformate of glycerol di(trichloroacetate), 42.3 g, is dissolved in 200 ml toluene. 300 g of a monosuccinimide dispersant composition (prepared by reacting 1 mole of polyisobutenyl succinic anhydride, where the polyisobutenyl group has a number average molecular weight of about 950, with 0.87 mole of tetraethylene pentaamine then diluting to about 50% actives in diluent oil) is dissolved in 200 ml toluene. Both solutions are cooled to below 0°C (approximately -2°C) using a salt ice-water bath. The solutions are poured together into a 2 l flask equipped with a mechanical stirrer and a drying tube. The reaction solution is mixed with strong stirring and kept below 0°C for 40 minutes and then is allowed to warm to room temperature. After stirring at room temperature for several hours, about 65 mls of the reaction mixture is added to 130 mls hexane and 65 mls 1N NaOH in a separatory funnel in order to remove the trichloroacetate group. The mixture is intermittantly shaken for 30 minutes. After phase separation, the organic layer is washed several times with brine, is dried over anhydrous magnesium sulfate, is filtered and stripped to yield dihydroxypropyl carbamate derivatives of the monosuccinimide.

Example 50

05 To a 3-neck flask equipped with a nitrogen inlet
tube, a mechanical stirrer and a Dean-Stark trap is added
36 g of polyethylene glycol (average MW=600 - available
from Aldrich Chemical Co., Milwaukee, Wisconsin as Aldrich
20,240-1) and 4.9 g of trichloroacetic acid. The mixture
is heated at 150°C for 3.5 hours. Water distills out of
10 the reaction mixture and is collected in the Dean-Stark
trap. After cooling, the crude mixture is dissolved in
150 ml of methylene chloride and is washed three times
with 150 ml of ice-water. The organic phase is dried over
anhydrous sodium sulfate, filtered and the solvent is
15 removed to give polyethylene glycol monotrchloroacetate
which is purified by column chromatography using silica
gel.

By following the procedures outlined in Examples
44, 45, 46, 48 and 49, the chloroformate of the poly-
20 ethylene glycol monotrchloroacetate is prepared which
then is reacted with a succinimide of this invention and
then is then deprotected to yield a succinimide wherein
one or more of the basic nitrogens has been converted to a
hydroxy polyoxyethylene carbamate.

25 By following the procedures of Examples 43-50,
the following alkylene glycols may be substituted for
ethylene glycol:

1,3-propylene glycol; 1,3-butanediol; 1,4-
butanediol; 1,4-pentanediol; 1,5-pentanediol; 1,6-
30 hexanediol; 1,9-nonanediol; 1,10-decanediol; 1,2-octa-
decanediol; 1,2-hexadecanediol; pentaerythritol and
glucose.

Example 51

35 Formulated oils containing different modified
succinimides of the invention were tested in a Sequence
V-D Test method (according to candidate test for ASTM).
This procedure utilizes a Ford 2.3-liter, four-cylinder
Pinto engine. The test method simulates a type of severe
field test service characterized by a combination of low
40 speed, low temperature "stop and go" city driving and

05 moderate turnpike operation. The effectiveness of the additives in the oil is measured in terms of the protection against sludge and varnish deposits on a 0 to 10 scale with 0 being black and 10 indicating no varnish or sludge deposits. The results are indicated in Table II.

10 The comparisons were made in a formulated oil containing a succinimide dispersant, 20 mmoles of an overbased calcium phenate, 30 mmoles as an overbased calcium sulfonate, 0.1% zinc as primary alkyl zinc dithiophosphate, and a nondispersant ethylene-propylene copolymer VI improver to give an SAE 10W40 oil.

15

TABLE II

	<u>Formulation Contained</u> <u>6% Succinimide of Example</u>	<u>Average⁴</u> <u>Varnish</u>	<u>Average⁴</u> <u>Sludge</u>
	Starting succinimide of		
20	Example 4	4.8	9.5
	Example 4	5.6	9.5
	Example 5	6.8	9.5
	Example 11	7.4	9.6
	⁴ mean of 2 runs		

25

Example 52

30 In some cases, succinimides which give superior results in spark ignition engines give less than desirable performance in diesel engines. However, the modified succinimides of the instant invention give diesel engine dispersancy performance comparable to succinimides as reported below. The compositions of this invention were tested in a Caterpillar 1-G2 test in which a single-cylinder diesel engine having a 5-1/8" bore by 6-1/2" stroke is operated under the following conditions:

35 timing, degrees BTDC, 8; brake mean effective pressure, psi 141; brake horsepower 42; Btu's per minute 5850; speed 1800 RPM; air boost, 53" Hg absolute, air temperature in, 255°F; water temperature out, 190°F; and sulfur in fuel,

40 0.4%. At the end of each 12 hours of operation,

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sufficient oil is drained from the crankcase to allow addition of 1 quart of new oil. In the test on the lubricating oil compositions of this invention, the 1-G2 test is run for 60 hours. At the end of the noted time period, the engine is dismantled and rated for cleanliness. These results are reported below. Lower values represent cleaner engines.

05

10

The base oil used in these tests is a mid-Continent base stock SAE 30 oil containing 18 mmols/kg of a zinc dihydrocarbyl dithiophosphate, 36 mmols/kg of an overbased calcium phenate, and the amount noted in the table of dispersant.

15

Test Results -- 1-G2 Caterpillar Test (60 Hours)

20

<u>6% Dispersant of Example</u>	<u>Top Groove fill, %</u>	<u>Weighted Total Demerits (WTD)</u>
Starting Succinimide of Example 1	63 ±15 ⁶	259 ±51 ⁶
Example 1	67	241
Example 1	75	289

25

⁶ average of 7 runs.

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1 THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

2

3 1. A polyamino alkenyl or alkyl succinimide wherein one or
4 more of the nitrogens of the polyamino moiety is substituted
5 with hydroxyhydrocarbyl oxycarbonyl wherein the
6 hydroxyhydrocarbyl group of said hydroxyhydrocarbyl
7 oxycarbonyl contains from 2 to 20 carbon atoms and 1 to 6
8 hydroxy groups with the proviso that there is no hydroxy
9 substitution on the hydrocarbyl carbon atom attaching the
10 hydroxyhydrocarbyl group to the oxy atom of the oxycarbonyl
11 group and with the further proviso that when more than one
12 hydroxy groups are contained in the hydroxyhydrocarbyl
13 group, no more than one hydroxy group is attached to the
14 same carbon atom and the number of carbon atoms in the
15 hydroxyhydrocarbyl group is minimally one greater than the
16 number of hydroxy groups.

17

18 2. The polyamino alkenyl or alkyl succinimide of Claim 1
19 wherein said hydroxyhydrocarbyl group of said
20 hydroxyhydrocarbyl oxycarbonyl is 2-hydroxyethyl carbamate
21 (e.g.,

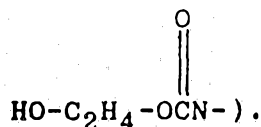
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3. The polyamino alkenyl or alkyl succinimide ~~of Claim 1~~
wherein one or more of the nitrogens of the polyamino moiety
is substituted with hydrocarbyl oxycarbonyl.

4. The polyamino alkenyl or alkyl succinimide of Claim ³/~~4~~
wherein the hydrocarbyl group of said hydrocarbyl
oxycarbonyl contains from 1 to 20 carbon atoms.

5. The polyamino alkenyl or alkyl succinimide ~~of Claim 1~~
wherein one or more of the nitrogens of the polyamino moiety
is substituted with a hydroxy poly(oxyalkylene) oxycarbonyl.



1 6. The polyamino alkenyl or alkyl succinimide of Claim 5
2 wherein said poly(oxyalkylene) of said hydroxy
3 poly(oxyalkylene) oxycarbonyl contains from 2 to 30 C₂-C₅
4 oxyalkylene units.

5

6 7. The polyamino alkenyl or alkyl succinimide of any one
7 of Claims 1, 3 or 5 wherein said alkenyl or alkyl moiety is
8 a C₂₀-C₁₀₀ alkenyl or alkyl group.

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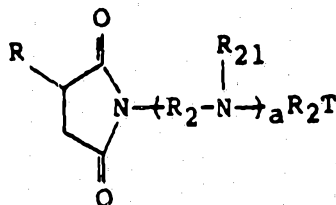
10 8. The polyamino alkenyl or alkyl succinimide of the
11 formula:

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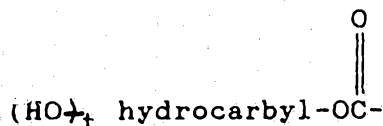
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23 wherein R is alkenyl or alkyl of from 10 to 300 carbon
24 atoms; R₂ is alkylene of 2 to 10 carbon atoms; R₂₁ is
25 hydrogen, lower alkyl of from 1 to 6 carbon atoms, lower
26 hydroxy alkyl of from 1 to 6 carbon atoms;

27

28

29



31

32 wherein t is an integer from 0 to 6, and hydrocarbyl is a
33 hydrocarbyl group of from 2 to 20 carbon atoms with the
34 proviso that there is no hydroxy substitution on the
35 hydrocarbyl carbon atom attaching the (HO)_t hydrocarbyl
36 group to the oxy atom of the

37

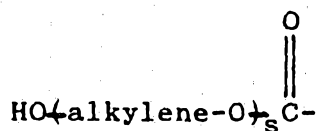
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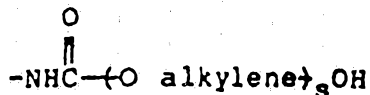
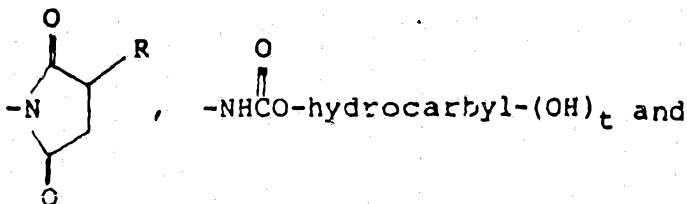
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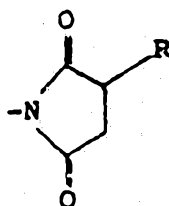
group and with the further proviso that when t is greater than one, the hydroxy groups are not attached to the same carbon atom and the number of carbon atoms in the (HO)_t hydrocarbyl group is minimally equal to t+1; and



wherein alkylene-O is a C₂-C₅ oxyalkylene and s is an integer from 2 to 30; a is an integer of from 0 to 10; and T is -NH₂,



wherein R, hydrocarbyl, alkylene, s and t are as defined above; with the proviso that if T is -NH₂ or



then a is not zero and at least one of R₂₁ is either



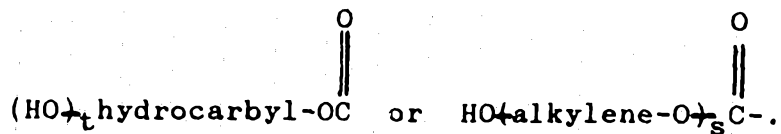
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9. The compound of Claim 8 wherein R is alkenyl or alkyl of from 20 to 100 carbon atoms.

10. The compound of Claim 8 wherein R₂ is alkylene of from 2 to 6 carbon atoms.

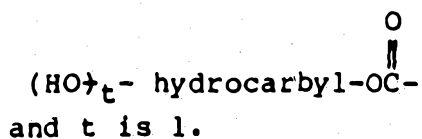
11. The compound of Claim 10 wherein a is an integer from 1 to 6.



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12. The compound of Claim 11 wherein R₂₁ is

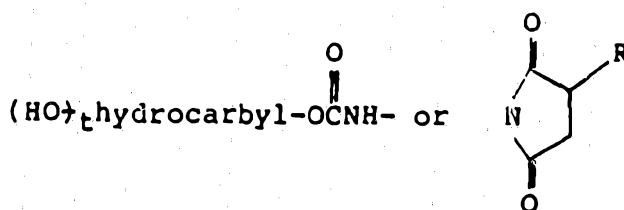
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13. The compound of Claim 12 wherein T is

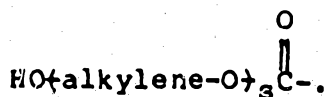
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14. The compound of Claim 11 wherein R₂₁ is

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in accordance with claim 1, 3 or 5

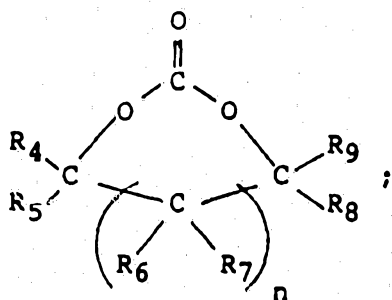
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15. A product prepared by the process which comprises reacting at a temperature sufficient to cause reaction an alkenyl or alkyl succinimide containing at least one primary or secondary amine with a cyclic carbonate.

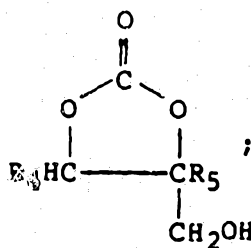
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16. A product prepared as in the process of Claim 15 wherein the cyclic carbonate is selected from the group consisting of:

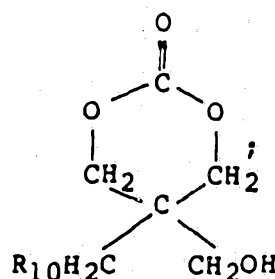
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(1)



(2)



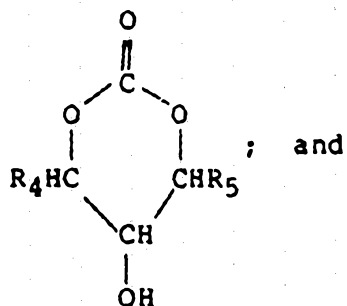
(3)



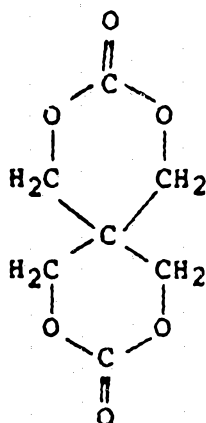
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(4)



(5)

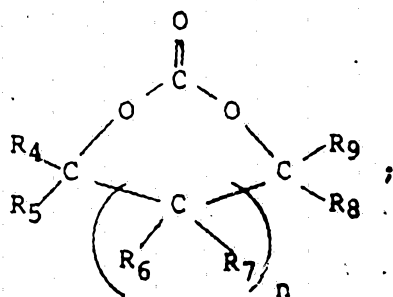
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15

wherein R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are independently selected from hydrogen or alkyl of 1 to 2 carbon atoms; R_{10} is hydroxy or hydrogen; and n is an integer from 0 to 1.

20

17. A product prepared as in the process of Claim 16 wherein the cyclic carbonate is



25

30

18. A product prepared as in the process of Claim 17 wherein n is zero and R_4 , R_5 , R_6 are hydrogen and R_9 is hydrogen or methyl.

35

19. A product prepared as in the process of Claim 15 wherein the reaction is conducted at from 0° to 250°C .

20. A product as defined in Claim 19 wherein the molar charge of the cyclic carbonate to the basic nitrogens of the alkenyl or alkyl succinimide is from

40

about 0.2:1 to about 10:1.

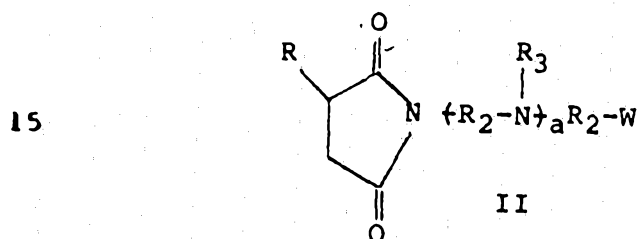


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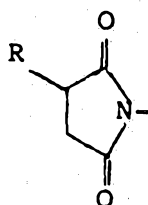
21. A product as defined in Claim 20 wherein the
 05 molar charge of the cyclic carbonate to the basic
 nitrogens of the alkenyl or alkyl succinimide is
 approximately 2:1.

22. A product prepared by the process which com-
 10 prises reacting an alkenyl or alkyl succinimide of the
 Formula II:



20 wherein R is an alkenyl or alkyl group containing from
 about 10 to 300 carbon atoms; R₂ is alkylene of 2 to 10
 carbon atoms; R₃ is hydrogen or lower alkyl of from 1 to 6
 carbon atoms; a is an integer from 0 to 10; and W is -NH₂
 or represents a group:

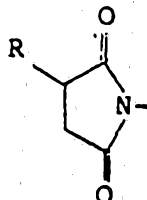
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wherein R is an alkenyl or alkyl group containing from
 about 10 to 300 carbon atoms; with a cyclic carbonate;
 with the proviso that if W is

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then a is not zero and at least one of R_3 is hydrogen.

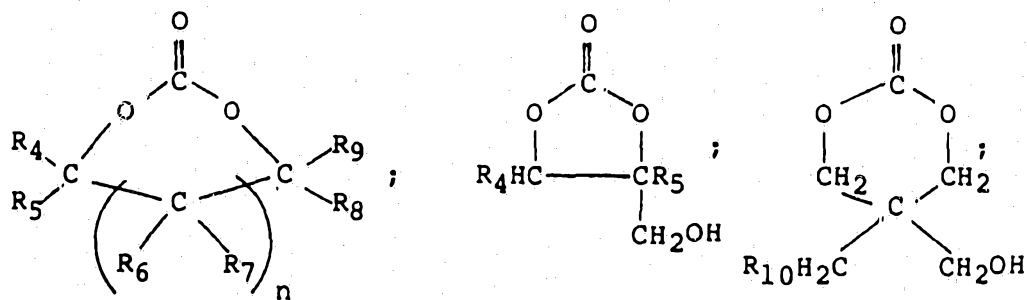
05

23. A product as defined in Claim 22 wherein R is an alkenyl or alkyl group of from 12 to 100 carbon atoms.

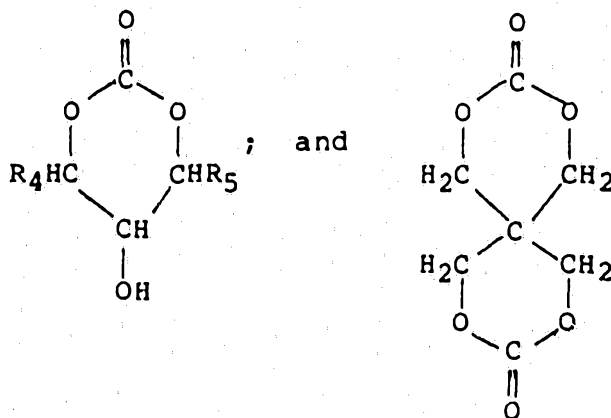
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24. A product as defined in Claim 23 wherein the cyclic carbonate is selected from the group consisting of:

15



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wherein R_4 , R_5 , R_6 , R_7 , R_8 and R_9 are independently selected from hydrogen or alkyl of 1 to 2 carbon atoms; R_{10} is either hydrogen or hydroxy; and n is an integer from 0 to 1.

35

25. A product prepared by the process which comprises contacting an polyamino alkenyl or alkyl succinimide with a linear mono- or polycarbonate at a temperature sufficient to cause reaction.

40



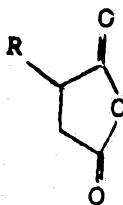
1 26. The product prepared by the process of Claim 25 wherein
2 said linear mono- or polycarbonate is selected from the
3 group consisting of



9
10
11 wherein R_{14} is independently hydrocarbyl of from 1 to 20
12 carbon atoms; R_{15} is a hydroxy hydrocarbyl of from 1 to 20
13 carbon atoms; R_{16} is a divalent hydrocarbyl group of from 1
14 to 20 carbon atoms; m is an integer from 1 to 10 and n is an
15 integer from 1 to 300.

16
17 27. A product prepared as in the process of Claim 26
18 wherein the reaction is conducted at from 0° to 250°C .

19
20 28. A product prepared by the process which comprises
21 reacting a compound as defined in any one of Claims 1, 3, 5,
22 8, 15 and 25 with



30 wherein R is alkenyl or alkyl of from 10 to 300 carbon
31 atoms.

32
33 29. A product prepared by the process which comprises
34 reacting a compound as defined in any one of Claims 1, 3, 5,
35 8, 15, 25 and 28 with boric acid.

36
37 30. A lubricating oil composition comprising an oil of
38 lubricating viscosity and an amount effective to provide



1 dispersancy of a compound as defined in any one of Claims 1,
2 2, 3, 5, 8, 15, 22, 25, 28 and 29.

3

4 31. A lubricating oil concentrate comprising from 90 to 10
5 weight per cent of an oil of lubricating viscosity and from
6 10 to 90 weight per cent of a compound as defined in any of
7 Claims 1, 2, 3, 5, 8, 15, 22, 25, 28 and 29.

8

9 32. A fuel composition comprising a hydrocarbon boiling
10 in the gasoline range and from 10 to 10,000 parts per
11 million of a compound as defined in any one of Claims 1, 2,
12 3, 4, 8, 15, 22, 25, 28 and 29.

13

14 33. A fuel concentrate comprising 30 to 90 weight per cent
15 of an inert stable oleophilic organic solvent and 10 to 70
16 weight per cent of a compound as defined in any one of
17 Claims 1, 2, 3, 5, 8, 15, 22, 25, 28 and 29.

18

19 34. A continuous process for the preparation of a modified
20 succinimide of Claim 15 which comprises (a) contacting at a
21 temperature sufficient to cause reaction an alkenyl or alkyl
22 succinic anhydride with a polyamine; and (b) then contacting
23 at a temperature sufficient to cause reaction the product of
24 (a) above with a cyclic carbonate.

25

26 35. The process as defined in Claim 34 wherein the alkenyl
27 or alkyl is derived from a polyolefin polymer containing
28 from about 10 to 300 carbon atoms.

29

30 36. The process as defined in Claim 35 wherein the
31 polyamine is a polyalkylene polyamine containing 2-12 amine
32 nitrogen atoms and from 2-24 carbon atoms.

33

34 37. The process as defined in Claim 36 wherein the cyclic
35 carbonate is selected from ethylene carbonate and propylene
36 carbonate.

37

38 38. A polyamino alkenyl or alkyl succinimide according to



1 claim 1, or a lubricating oil concentrate, or fuel
2 composition containing such a succinimide, substantially as
3 hereinbefore described with reference to the examples.

4

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7 Dated this 27th day of May, 1988.

8 CHEVRON RESEARCH COMPANY

9 By its Patent Attorneys

10 Davies & Collison

