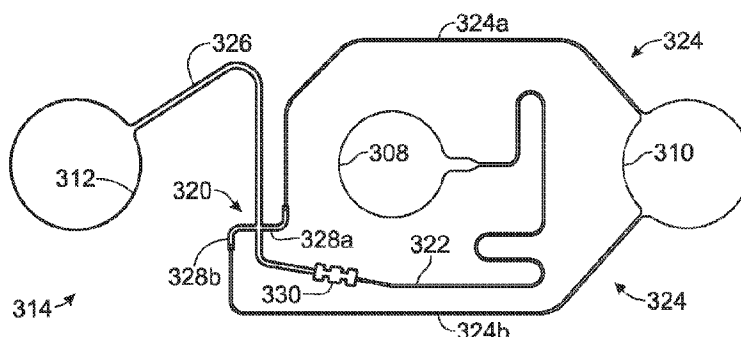




(86) **Date de dépôt PCT/PCT Filing Date:** 2011/03/25  
(87) **Date publication PCT/PCT Publication Date:** 2011/09/29  
(45) **Date de délivrance/Issue Date:** 2020/03/24  
(85) **Entrée phase nationale/National Entry:** 2011/12/30  
(86) **N° demande PCT/PCT Application No.:** US 2011/030101  
(87) **N° publication PCT/PCT Publication No.:** 2011/120024  
(30) **Priorité/Priority:** 2010/03/25 (US61/341,218)

(51) **Cl.Int./Int.Cl. G01N 35/10** (2006.01),  
**G01N 33/48** (2006.01)  
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(54) **Titre : GENERATION DE GOUTTELETTES POUR DOSAGES SUR GOUTTELETTES**  
(54) **Title: DROPLET GENERATION FOR DROPLET-BASED ASSAYS**



(57) **Abstrégé/Abstract:**

A system, including method and apparatus, for generating droplets suitable for droplet-based assays. The disclosed systems may include either one-piece or multi-piece droplet generation components configured to form sample-containing droplets by merging aqueous, sample-containing fluid with a background emulsion fluid such as oil, to form an emulsion of sample-containing droplets suspended in the background fluid. In some cases, the disclosed systems may include channels or other suitable mechanisms configured to transport the sample-containing droplets to an outlet region, so that subsequent assay steps may be performed.

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
29 September 2011 (29.09.2011)(10) International Publication Number  
**WO 2011/120024 A1**(51) International Patent Classification:  
G01N 33/00 (2006.01)(21) International Application Number:  
PCT/US2011/030101(22) International Filing Date:  
25 March 2011 (25.03.2011)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
61/341,218 25 March 2010 (25.03.2010) US(71) Applicant (for all designated States except US): **QUANTALIFE, INC.** [US/US]; A Delaware Corporation, 7068 Koll Center Parkway, Suite 401, Pleasanton, CA 94566 (US).

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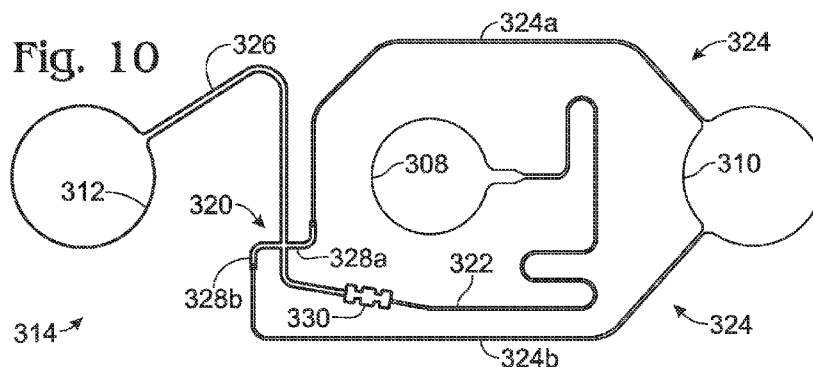
(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

(54) Title: DROPLET GENERATION FOR DROPLET-BASED ASSAYS



(57) Abstract: A system, including method and apparatus, for generating droplets suitable for droplet-based assays. The disclosed systems may include either one-piece or multi-piece droplet generation components configured to form sample-containing droplets by merging aqueous, sample-containing fluid with a background emulsion fluid such as oil, to form an emulsion of sample-containing droplets suspended in the background fluid. In some cases, the disclosed systems may include channels or other suitable mechanisms configured to transport the sample-containing droplets to an outlet region, so that subsequent assay steps may be performed.

## DROPLET GENERATION FOR DROPLET-BASED ASSAYS

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### Introduction

Many biomedical applications rely on high-throughput assays of samples combined with reagents. For example, in research and clinical applications, high-throughput genetic tests using target-specific reagents can provide high-quality information about samples for drug discovery, biomarker discovery, and clinical diagnostics, among others. As another example, infectious disease detection often requires screening a sample for multiple genetic targets to generate high-confidence results.

The trend is toward reduced volumes and detection of more targets. However, creating and mixing smaller volumes can require more complex instrumentation, which increases cost. Accordingly, improved technology is needed to permit testing greater numbers of samples and combinations of samples and reagents, at a higher speed, a lower cost, and/or with reduced instrument complexity.

Emulsions hold substantial promise for revolutionizing high-throughput assays. Emulsification techniques can create billions of aqueous droplets that function as independent reaction chambers for biochemical reactions. For example, an aqueous sample (e.g., 200 microliters) can be partitioned into droplets (e.g., four million droplets of 50 picoliters each) to allow individual

sub-components (e.g., cells, nucleic acids, proteins) to be manipulated, processed, and studied discretely in a massively high-throughput manner.

Splitting a sample into droplets offers numerous advantages. Small reaction volumes (picoliters to nanoliters) can be utilized, allowing earlier  
5 detection by increasing reaction rates and forming more concentrated products. Also, a much greater number of independent measurements (thousands to millions) can be made on the sample, when compared to conventional bulk volume reactions performed on a micoliter scale. Thus, the sample can be analyzed more accurately (i.e., more repetitions of the same  
10 test) and in greater depth (i.e., a greater number of different tests). In addition, small reaction volumes use less reagent, thereby lowering the cost per test of consumables. Furthermore, microfluidic technology can provide control over processes used for the generation, mixing, incubation, splitting, sorting, and detection of droplets, to attain repeatable droplet-based measurements.

15 Aqueous droplets can be suspended in oil to create a water-in-oil emulsion (W/O). The emulsion can be stabilized with a surfactant to reduce or prevent coalescence of droplets during heating, cooling, and transport, thereby enabling thermal cycling to be performed. Accordingly, emulsions have been used to perform single-copy amplification of nucleic acid target  
20 molecules in droplets using the polymerase chain reaction (PCR).

Compartmentalization of single molecules of a nucleic acid target in droplets of an emulsion alleviates problems encountered in amplification of larger sample volumes. In particular, droplets can promote more efficient and uniform amplification of targets from samples containing complex  
25 heterogeneous nucleic acid populations, because sample complexity in each droplet is reduced. The impact of factors that lead to biasing in bulk amplification, such as amplification efficiency, G+C content, and amplicon annealing, can be minimized by droplet compartmentalization. Unbiased amplification can be critical in detection of rare species, such as pathogens or  
30 cancer cells, the presence of which could be masked by a high concentration of background species in complex clinical samples.

Despite their allure, emulsion-based assays present technical challenges for high-throughput testing, which can require creation of tens, hundreds, thousands, or even millions of individual samples and sample/reagent combinations. Thus, there is a need for improved techniques for the generation, mixing, incubation, splitting, sorting, and detection of droplets.

### **Summary**

The present disclosure provides systems, including methods and apparatus, for generating droplets suitable for droplet-based assays. The disclosed systems may include either one-piece or multi-piece droplet generation components configured to form sample-containing droplets by merging aqueous, sample-containing fluid with a background emulsion fluid such as oil, to form an emulsion of sample-containing droplets suspended in the background fluid. In some cases, the disclosed systems may include channels or other suitable mechanisms configured to transport the sample-containing droplets to an outlet region, so that subsequent assay steps may be performed.

Accordingly, there is described a system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising: a substantially planar substrate having a top surface and a bottom surface; a sample well, a background fluid well, and a droplet outlet region selected from the group consisting of a pipette tip and a droplet well; a network of channels formed in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region; a droplet generation region defined by the network of channels and configured to generate sample-containing droplets suspended in the background fluid; and a substantially planar sealing member forming a substantially fluid tight seal with the bottom surface of the substrate to make the network of channels fluid tight; wherein the droplet generation region is defined by the intersection of a first channel, a second channel, and a third channel, wherein the first channel is configured to transport sample-containing fluid from the sample well to the droplet generation region, the second channel is configured to transport background fluid from the background fluid well to the droplet generation region, and the third channel is

## 3a

configured to transport sample-containing droplets from the droplet generation region to the droplet outlet region, wherein the sample well and the background fluid well each comprise a well protrusion projecting from the top surface of the substrate, and wherein the sample well, the background fluid well, and the droplet outlet region have  
5 been integrally formed with the substrate by injection molding.

In another aspect, there is described a method of generating sample-containing droplets suspended in a background fluid, the method comprising: transporting sample-containing fluid into a sample well attached to a substantially planar substrate having a top surface and a bottom surface; transporting background fluid into a  
10 background fluid well attached to the substrate; transporting sample-containing fluid through a first channel formed in the substrate, from the sample well to a droplet generation region; transporting background fluid through a second channel formed in the substrate, from the background fluid well to the droplet generation region; generating sample-containing droplets suspended in the background fluid at the  
15 droplet generation region; and transporting the sample-containing droplets through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region; wherein the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding, wherein each of the sample well and the background fluid well includes a respective well protrusion  
20 projecting from the top surface of the substrate, wherein each of the first, second, and third channels is formed in the bottom surface of the substrate, wherein a substantially planar sealing member forms a substantially fluid tight seal with the bottom surface of the substrate to make each of the first, second, and third channels fluid tight, and wherein the droplet outlet region is selected from the group consisting of a pipette tip  
25 and a droplet well.

In a further aspect, there is described a method of manufacturing a droplet generation system, the method comprising: (i) forming a substantially planar substrate having a top surface and a bottom surface; (ii) forming a sample well; (iii) forming a background fluid well; (iv) forming a droplet outlet region; (v) forming a network of  
30 channels in the bottom surface of the substrate and fluidically interconnecting the

sample well, the background fluid well, and the droplet outlet region; (vi) forming a droplet generation region defined by the intersection of a first channel fluidically connected with the sample well, a second channel fluidically connected with the background fluid well, and a third channel fluidically connected with the droplet outlet region; and (vii) attaching a substantially planar sealing member to the bottom surface of the substrate to form a substantially fluid tight seal with the bottom surface and make the network of channels fluid tight; wherein the substrate, the network of channels, the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding, wherein each of the sample well and the background fluid well includes a respective well protrusion projecting from the top surface of the substrate, and wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well.

In a further aspect, there is described a device for generating droplets, comprising: a body injection-molded as a single piece and including a bottom surface and a plurality of protrusions located opposite the bottom surface, each protrusion including an annular wall that tapers in thickness in a direction away from the bottom surface, a channel network being formed in the bottom surface and including a first channel, a second channel, and a third channel each arranged parallel to the bottom surface and meeting one another in a droplet-generation region; a plurality of wells including a carrier well configured to supply carrier fluid to the droplet-generation region via the first channel, a sample well configured to supply sample-containing fluid to the droplet-generation region via the second channel, and a droplet well configured to receive sample-containing droplets in carrier fluid from the third channel, wherein each well of the plurality of wells has an upper portion created by one of the protrusions; and a sealing member attached to the bottom surface of the body in a fluid-tight seal, and providing a bottom wall for each channel.

In a further aspect, there is described a device for generating droplets, comprising: a substantially planar substrate having a top surface opposite a bottom surface; a plurality of protrusions injection-molded along with the substrate and projecting upwardly from the top surface of the substrate; an array of wells including a

row of carrier wells configured to supply carrier fluid, a row of sample wells configured to supply sample-containing fluid, and a row of droplet wells configured to collect sample-containing droplets disposed in carrier fluid, wherein each well of the array of wells has an upper portion created by one of the protrusions, and wherein the carrier wells are larger than the sample wells and smaller than the droplet wells; a plurality of separate channel networks formed in the bottom surface of the substrate, each channel network interconnecting one of the carrier wells, one of the sample wells, and one of the droplet wells, and including a first channel, a second channel, and a third channel that meet one another in a droplet-generation region; and a sealing member attached to the bottom surface of the substrate in a fluid-tight seal, the sealing member providing a floor for each well and a bottom wall for each channel.

In a further aspect, there is described a device for generating droplets, comprising: a body injection-molded as a single piece and including a substrate having a bottom surface, the body also including a plurality of annular protrusions projecting from the substrate opposite the bottom surface, a channel network being formed in the bottom surface and including a first channel, a second channel, and a third channel each arranged parallel to the bottom surface; a plurality of wells including a carrier well configured to supply carrier fluid, a sample well configured to supply sample-containing fluid, and a droplet well configured to collect sample-containing droplets disposed in carrier fluid, wherein each well has an upper portion created by one of the protrusions; and a sealing member attached to the bottom surface of the substrate in a fluid-tight seal, the sealing member providing a bottom wall for each channel; wherein the first channel, the second channel, and the third channel meet one another in a droplet-generation region, and wherein the carrier well is fluidically connected to the droplet-generation region via the first channel, the sample well is fluidically connected to the droplet-generation region via the second channel, and the droplet well is fluidically connected to the droplet-generation region via the third channel.

In a further aspect, there is described a device for generating droplets, the device comprising: a body including a substrate and a plurality of protrusions, the substrate having a bottom side defining a channel network including a first channel, a



second channel, and a third channel that meet one another at a droplet-generation region, the plurality of protrusions being formed integrally with the substrate and projecting from a top side of the substrate; and a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network; wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel; wherein the device includes a sample well configured to receive sample-containing fluid that flows to the droplet-generation region via the second channel; wherein the device includes a droplet well configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet well via the third channel; and wherein each of the sample well and the droplet well has an upper portion created by a protrusion of the plurality of protrusions.

In a further aspect, there is described a device for generating droplets, the device comprising: a substrate having a bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region; and a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network; wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel, wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation region via the second channel, wherein the device includes a droplet outlet region configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel, wherein the sample well has a lower portion formed by the substrate, wherein the second channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the second channel by capillary action, and wherein in the air trap the cross-section of the second channel abruptly increases in one or more dimensions.

In a further aspect, there is described a device for generating droplets, the device comprising: a substrate having a top side and a bottom side, the bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region; a plurality of protrusions projecting from the top side of the substrate; and a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network; wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel, wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation region via the second channel, wherein the device includes a droplet outlet region selected from a droplet well and a pipette tip, the droplet outlet region being configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel, wherein the sample well has an upper portion created by a protrusion of the plurality of protrusions, wherein the second channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the second channel by capillary action, and wherein in the air trap the cross-section of the second channel abruptly increases in one or more dimensions.

In a further aspect, there is described a device for generating droplets, the device comprising: a body including a substrate and a plurality of protrusions, the substrate having a bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region, the plurality of protrusions being formed integrally with the substrate and projecting from a top side of the substrate; and a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network; wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel, wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation

region via the second channel, and wherein the device includes a droplet outlet region configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel.

In a further aspect, there is described a system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising: a planar substrate having a top surface and a bottom surface; a sample well, a background fluid well, and a droplet outlet region selected from the group consisting of a pipette tip and a droplet well, a network of channels formed in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region; a droplet generation region defined by the network of channels and configured to generate sample-containing droplets suspended in the background fluid; and a planar sealing member forming a substantially fluid tight seal with the bottom surface of the substrate to make the network of channels fluid tight; wherein the droplet generation region is defined by the intersection of a first channel, a second channel, and a third channel; wherein the first channel is configured to transport sample-containing fluid from the sample well to the droplet generation region, the second channel is configured to transport background fluid from the background fluid well to the droplet generation region, and the third channel is configured to transport sample-containing droplets from the droplet generation region to the droplet outlet region; and wherein the sample well, the background fluid well and the droplet outlet region each comprise a well protrusion from the top surface of the substrate; and the sample well, the background fluid well, and the droplet outlet region have been integrally formed with the substrate by injection molding; and in that the first channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions.

In a further aspect, there is described a method of generating sample-containing droplets suspended in a background fluid, comprising: transporting sample-containing fluid into a sample well attached to a planar substrate having a top surface

and a bottom surface; transporting background fluid into a background fluid well attached to the substrate; transporting sample-containing fluid through a first channel formed in the substrate, from the sample well to a droplet generation region; transporting background fluid through a second channel formed in the substrate, from the background fluid well to the droplet generation region; generating sample-containing droplets suspended in the background fluid at the droplet generation region; and transporting the sample-containing droplets through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region integrally attached to the substrate; wherein the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding, wherein each of the sample well, the droplet outlet region and the background fluid well includes a respective well protrusion from the top surface of the substrate, wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well, wherein each of the first, second, and third channels is formed in the bottom surface of the substrate, and wherein a planar sealing member forms a substantially fluid tight seal with the bottom surface of the substrate to make each of the first, second, and third channels fluid tight; and wherein the first channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions.

In a further aspect, there is described a method of manufacturing a droplet generation system, comprising: (i) forming a planar substrate having a top surface and a bottom surface; (ii) forming a sample well; (iii) forming a background fluid well; (iv) forming a droplet outlet region; (v) forming a network of channels in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region; (vi) forming a droplet generation region defined by the intersection of a first channel fluidically connected with the sample well, a second channel fluidically connected with the background fluid well, and a third channel fluidically connected with the droplet outlet region; and (vii) attaching a planar sealing

member to the bottom surface of the substrate to form a substantially fluid tight seal with the bottom surface and make the network of channels fluid tight; wherein the substrate, the network of channels, the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding, wherein each of the sample well, the droplet outlet region and the background fluid well includes a respective well protrusion from the top surface of the substrate, and wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well; and wherein the first channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions

#### **Brief Description of the Drawings**

Figure 1 is a top view of an exemplary droplet generator, in accordance with aspects of the present disclosure.

Figure 2 is a top view of another exemplary droplet generator, in accordance with aspects of the present disclosure.

Figure 3 is a top view of another exemplary droplet generator, in accordance with aspects of the present disclosure.

Figure 4 is a schematic top view of an exemplary droplet generation region, in accordance with aspects of the present disclosure.

Figure 5 is a schematic top view of another exemplary droplet generation region, in accordance with aspects of the present disclosure.

Figure 6 is a schematic top view of another exemplary droplet generation region, in accordance with aspects of the present disclosure.

Figure 7 is an isometric view of four different droplet generators, illustrating the relationship between various cross-type droplet generators, in accordance with aspects of the present disclosure.

Figure 8 is an isometric view of a top surface of a planar-mode droplet generation system, in accordance with aspects of the present disclosure.

Figure 9 is an isometric view of a bottom surface of the droplet generation system of Figure 8.

Figure 10 is a magnified view of a portion of the bottom surface of the droplet generation system shown in Figure 9.

Figure 11 is a magnified view of an air trap region suitable for use with a planar-mode droplet generation system, in accordance with aspects of the present disclosure.

Figure 12 is a magnified view of another air trap region suitable for use with a planar-mode droplet generation system, in accordance with aspects of the present disclosure.

Figure 13 is a semi-transparent top view of another exemplary droplet generation system, in accordance with aspects of the present disclosure.

Figure 14 is a semi-transparent top view of yet another exemplary droplet generation system, in accordance with aspects of the present disclosure.

Figure 15 is a top view of still another exemplary droplet generation system, in accordance with aspects of the present disclosure.

Figure 16 is a bottom view of the droplet generation system of Figure 15.

Figure 17 is a sectional view taken along the line 17-17 in Figure 16.

Figure 18 is a top view of yet another exemplary droplet generation system, in accordance with aspects of the present disclosure.

Figure 19 is an isometric view of a magnified portion of the droplet generation system of Figure 18.

Figure 20 is a top view of still another exemplary droplet generation system, in accordance with aspects of the present disclosure.

Figure 21 is an isometric view of a magnified portion of the droplet generation system of Figure 20.

Figure 22 is a top view of still another exemplary droplet generation system, in accordance with aspects of the present disclosure.

5        Figure 23 is an isometric view of a magnified portion of the droplet generation system of Figure 22.

Figure 24 is a bottom view of a portion of a droplet generation system according to the present teachings, showing channel networks suitable for use in conjunction with some of the other systems described herein.

10        Figure 25 is an isometric view of a droplet generator tube, in accordance with aspects of the present teachings.

Figure 26 is an exploded isometric view of another droplet generation system, in accordance with aspects of the present teachings.

15        Figure 27 is an assembled view of the droplet generation system of Figure 26.

Figure 28 is a partially transparent isometric view of a portion of still another droplet generation system, in accordance with aspects of the present teachings.

20        Figure 29 is a partially transparent isometric view of the portion of the droplet generation system shown in Figure 28 assembled with a droplet generation housing.

Figure 30 is an elevational view of still another droplet generation system, in accordance with aspects of the present teachings.

25        Figure 31 is a magnified sectional view of a portion of the droplet generation system of Figure 30.

Figure 32 is an exploded isometric view of another droplet generation system, in accordance with aspects of the present teachings.

Figure 33 is an exploded isometric view of yet another droplet generation system, in accordance with aspects of the present teachings.

30        Figure 34 is an exploded isometric view of a portion of the droplet generation system of Figure 33.

Figure 35 is an exploded isometric view of still another droplet generation system, in accordance with aspects of the present teachings.

Figure 36 is a magnified sectional view of a portion of the droplet generation system of Figure 35.

5        Figure 37 is a magnified sectional view of another portion of the droplet generation system of Figure 35.

Figure 38 is a magnified top view of another portion of the droplet generation system of Figure 35.

10       Figure 39 is a partially transparent isometric view of still another droplet generation system, in accordance with aspects of the present teachings.

Figure 40 is a sectional view of the droplet generation system of Figure 39.

Figure 41 is a partially transparent isometric view of yet another droplet generation system, in accordance with aspects of the present teachings.

15       Figure 42 is a sectional view of the droplet generation system of Figure 41.

Figure 43 is a partially transparent isometric view of another droplet generation system, in accordance with aspects of the present teachings.

20       Figure 44 is a magnified sectional view of a portion of the droplet generation system of Figure 43.

Figure 45 is a partially transparent isometric view of still another droplet generation system, in accordance with aspects of the present teachings.

Figure 46 is a magnified sectional view of a portion of the droplet generation system of Figure 45.

25       Figure 47 is an exploded isometric view of a disk stack of the droplet generation system of Figure 45.

Figure 48 is a stylized sectional view of another droplet generation system, in accordance with aspects of the present teachings.

30       Figure 49 is a stylized sectional view of still another droplet generation system, in accordance with aspects of the present teachings.

Figure 50 is a stylized sectional view of yet another droplet generation system, in accordance with aspects of the present teachings.



Figure 51 is a flow chart depicting a method of generating sample-containing droplets, in accordance with aspects of the present teachings.

Figure 52 is a flow chart depicting another method of generating sample-containing droplets, in accordance with aspects of the present  
5 teachings.

### **Detailed Description**

The present disclosure provides systems, including apparatus and methods, for generating droplets suitable for droplet-based assays. Droplet generation systems according to the present teachings may be part of an  
10 overall assay system configured to test for the presence of one or more target molecules in a sample. These overall systems may include methods and apparatus for (A) preparing a sample, such as a clinical or environmental sample, for analysis, (B) separating components of the samples by partitioning them into droplets or other partitions, each containing only about  
15 one component (such as a single copy of a nucleic acid target or other analyte of interest), (C) amplifying or otherwise reacting the components within the droplets, (D) detecting the amplified or reacted components, or characteristics thereof, and/or (E) analyzing the resulting data. In this way, complex samples may be converted into a plurality of simpler, more easily analyzed samples,  
20 with concomitant reductions in background and assay times.

Droplet generation systems according to the present teachings may involve, among others, the following four modes of droplet generation: (A) planar mode droplet generation, (B) continuous mode droplet generation, (C) two-part mode droplet generation, and (D) single hole mode droplet  
25 generation. Droplet generation systems according to each mode share the characteristic that portions of the system exposed to a sample are configured to be disposable, whereas other portions of the system may be reusable for multiple different samples. Features of the various modes, as well as exemplary embodiments corresponding to each mode, will be described in  
30 detail below, in the following sections: (I) definitions, (II) general principles of droplet generation, (III) planar mode examples, (IV) continuous mode

examples, (V) two-part mode examples, (VI) single hole mode examples, (VII) methods of operation, and (VIII) exemplary numbered paragraphs.

### **I. Definitions**

Technical terms used in this disclosure have the meanings that are  
5 commonly recognized by those skilled in the art. However, the following terms may have additional meanings, as described below.

Emulsion - a composition comprising liquid droplets disposed in an immiscible carrier fluid, which also is liquid. The carrier fluid, also termed a background fluid, forms a continuous phase, which may be termed a carrier  
10 phase, a carrier, and/or a background phase. The droplets (e.g., aqueous droplets) are formed by at least one droplet fluid, also termed a foreground fluid, which is a liquid and which forms a droplet phase (which may be termed a dispersed phase or discontinuous phase). The droplet phase is immiscible with the continuous phase, which means that the droplet phase (i.e., the  
15 droplets) and the continuous phase (i.e., the carrier fluid) do not mix to attain homogeneity. The droplets are isolated from one another by the continuous phase and encapsulated (i.e., enclosed/surrounded) by the continuous phase.

The droplets of an emulsion may have any uniform or non-uniform distribution in the continuous phase. If non-uniform, the concentration of the  
20 droplets may vary to provide one or more regions of higher droplet density and one or more regions of lower droplet density in the continuous phase. For example, droplets may sink or float in the continuous phase, may be clustered in one or more packets along a channel, may be focused toward the center or perimeter of a flow stream, or the like. When droplets are said to be  
25 "suspended in the background fluid," this is intended to cover all of these possibilities.

Any of the emulsions disclosed herein may be monodisperse, that is, composed of droplets of at least generally uniform size, or may be polydisperse, that is, composed of droplets of various sizes. If monodisperse,  
30 the droplets of the emulsion may, for example, vary in volume by a standard deviation that is less than about plus or minus 100%, 50%, 20%, 10%, 5%,

2%, or 1% of the average droplet volume. Droplets generated from an orifice may be monodisperse or polydisperse.

An emulsion may have any suitable composition. The emulsion may be characterized by the predominant liquid compound or type of liquid compound in each phase. The predominant liquid compounds in the emulsion may be water and oil. "Oil" is any liquid compound or mixture of liquid compounds that is immiscible with water and that has a high content of carbon. In some examples, oil also may have a high content of hydrogen, fluorine, silicon, oxygen, or any combination thereof, among others. For example, any of the emulsions disclosed herein may be a water-in-oil (W/O) emulsion (i.e., aqueous droplets in a continuous oil phase). The oil may, for example, be or include at least one silicone oil, mineral oil, fluorocarbon oil, vegetable oil, or a combination thereof, among others. Any other suitable components may be present in any of the emulsion phases, such as at least one surfactant, reagent, sample (i.e., partitions thereof), other additive, label, particles, or any combination thereof.

Standard emulsions become unstable when heated (e.g., to temperatures above 60 °C) when they are in a packed state (e.g., each droplet is near a neighboring droplet), because heat generally lowers interfacial tensions, which can lead to droplet coalescence. Thus, standard packed emulsions do not maintain their integrity during high-temperature reactions, such as PCR, unless emulsion droplets are kept out of contact with one another or additives (e.g., other oil bases, surfactants, etc.) are used to modify the stability conditions (e.g., interfacial tension, viscosity, steric hindrance, etc.). For example, the droplets may be arranged in single file and spaced from one another along a channel to permit thermal cycling in order to perform PCR. However, following this approach using a standard emulsion does not permit a high density of droplets, thereby substantially limiting throughput in droplet-based assays.

Any emulsion disclosed herein may be a heat-stable emulsion. A heat-stable emulsion is any emulsion that resists coalescence when heated to at least 50 °C. A heat-stable emulsion may be a PCR-stable emulsion, which is

an emulsion that resists coalescence throughout the thermal cycling of PCR (e.g., to permit performance of digital PCR). Accordingly, a PCR-stable emulsion may be resistant to coalescence when heated to at least 80 °C or 90 °C, among others. Due to heat stability, a PCR-stable emulsion, in contrast to a standard emulsion, enables PCR assays to be performed in droplets that remain substantially monodisperse throughout thermal cycling. Accordingly, digital PCR assays with PCR-stable emulsions may be substantially more quantitative than with standard emulsions. An emulsion may be formulated as PCR stable by, for example, proper selection of carrier fluid and surfactants, among others. An exemplary oil formulation to generate PCR-stable emulsions for flow-through assays is as follows: (1) Dow Corning 5225C Formulation Aid (10% active ingredient in decamethylcyclopentasiloxane) - 20% w/w, 2% w/w final concentration active ingredient, (2) Dow Corning 749 Fluid (50% active ingredient in decamethylcyclopentasiloxane) - 5% w/w, 2.5% w/w active ingredient, and (3) Poly(dimethylsiloxane) Dow Corning 200® fluid, viscosity 5.0 cSt (25 °C) - 75% w/w. An exemplary oil formulation to generate PCR-stable emulsions for batch assays is as follows: (1) Dow Corning 5225C Formulation Aid (10% active ingredient in decamethylcyclopentasiloxane) - 20% w/w, 2% w/w final concentration active ingredient, (2) Dow Corning 749 Fluid (50% active ingredient in decamethylcyclopentasiloxane) - 60% w/w, 30% w/w active ingredient, and (3) Poly(dimethylsiloxane) Dow Corning 200® fluid, viscosity 5.0 cSt (25 °C) - 20% w/w.

Partition - a separated portion of a bulk volume. The partition may be a sample partition generated from a sample, such as a prepared sample, that forms the bulk volume. Partitions generated from a bulk volume may be substantially uniform in size or may have distinct sizes (e.g., sets of partitions of two or more discrete, uniform sizes). Exemplary partitions are droplets. Partitions may also vary continuously in size with a predetermined size distribution or with a random size distribution.

Droplet - a small volume of liquid, typically with a spherical shape, encapsulated by an immiscible fluid, such as a continuous phase of an emulsion. The volume of a droplet, and/or the average volume of droplets in an emulsion, may, for example, be less than about one microliter (i.e., a  
5 "microdroplet") (or between about one microliter and one nanoliter or between about one microliter and one picoliter), less than about one nanoliter (or between about one nanoliter and one picoliter), or less than about one picoliter (or between about one picoliter and one femtoliter), among others. A droplet (or droplets of an emulsion) may have a diameter (or an average  
10 diameter) of less than about 1000, 100, or 10 micrometers, or of about 1000 to 10 micrometers, among others. A droplet may be spherical or nonspherical. A droplet may be a simple droplet or a compound droplet, that is, a droplet in which at least one droplet encapsulates at least one other droplet.

Surfactant - a surface-active agent capable of reducing the surface  
15 tension of a liquid in which it is dissolved, and/or the interfacial tension with another phase. A surfactant, which also or alternatively may be described as a detergent and/or a wetting agent, incorporates both a hydrophilic portion and a hydrophobic portion, which collectively confer a dual hydrophilic-lipophilic character on the surfactant. A surfactant may be characterized  
20 according to a Hydrophile-Lipophile Balance (HLB) value, which is a measure of the surfactant's hydrophilicity compared to its lipophilicity. HLB values range from 0-60 and define the relative affinity of a surfactant for water and oil. Nonionic surfactants generally have HLB values ranging from 0-20 and ionic surfactants may have HLB values of up to 60. Hydrophilic surfactants  
25 have HLB values greater than about 10 and a greater affinity for water than oil. Lipophilic surfactants have HLB values less than about 10 and a greater affinity for oil than water. The emulsions disclosed herein and/or any phase thereof, may include at least one hydrophilic surfactant, at least one lipophilic surfactant, or a combination thereof. Alternatively, or in addition, the  
30 emulsions disclosed herein and/or any phase thereof, may include at least one nonionic (and/or ionic) detergent. Furthermore, an emulsion disclosed

herein and/or any phase thereof may include a surfactant comprising polyethyleneglycol, polypropyleneglycol, or Tween 20, among others.

Packet - a set of droplets or other isolated partitions disposed in the same continuous volume or volume region of a continuous phase. A packet  
5 thus may, for example, constitute all of the droplets of an emulsion or may constitute a segregated fraction of such droplets at a position along a channel. Typically, a packet refers to a collection of droplets that when analyzed in partial or total give a statistically relevant sampling to quantitatively make a prediction regarding a property of the entire starting sample from which the  
10 initial packet of droplets was made. The packet of droplets also indicates a spatial proximity between the first and the last droplets of the packet in a channel.

As an analogy with information technology, each droplet serves as a “bit” of information that may contain sequence specific information from a  
15 target analyte within a starting sample. A packet of droplets is then the sum of all these “bits” of information that together provide statistically relevant information on the analyte of interest from the starting sample. As with a binary computer, a packet of droplets is analogous to the contiguous sequence of bits that comprises the smallest unit of binary data on which  
20 meaningful computations can be applied. A packet of droplets can be encoded temporally and/or spatially relative to other packets that are also disposed in a continuous phase (such as in a flow stream), and/or with the addition of other encoded information (optical, magnetic, etc.) that uniquely identifies the packet relative to other packets.

Test - a procedure(s) and/or reaction(s) used to characterize a sample, and any signal(s), value(s), data, and/or result(s) obtained from the procedure(s) and/or reaction(s). A test also may be described as an assay. Exemplary droplet-based assays are biochemical assays using aqueous assay mixtures. More particularly, the droplet-based assays may be enzyme  
25 assays and/or binding assays, among others. The enzyme assays may, for example, determine whether individual droplets contain a copy of a substrate molecule (e.g., a nucleic acid target) for an enzyme and/or a copy of an  
30

enzyme molecule. Based on these assay results, a concentration and/or copy number of the substrate and/or the enzyme in a sample may be estimated.

Reaction - a chemical reaction, a binding interaction, a phenotypic change, or a combination thereof, which generally provides a detectable  
5 signal (e.g., a fluorescence signal) indicating occurrence and/or an extent of occurrence of the reaction. An exemplary reaction is an enzyme reaction that involves an enzyme-catalyzed conversion of a substrate to a product.

Any suitable enzyme reactions may be performed in the droplet-based assays disclosed herein. For example, the reactions may be catalyzed by a  
10 kinase, nuclease, nucleotide cyclase, nucleotide ligase, nucleotide phosphodiesterase, polymerase (DNA or RNA), prenyl transferase, pyrophosphatase, reporter enzyme (e.g., alkaline phosphatase, beta-galactosidase, chloramphenicol acetyl transferase, glucuronidase, horse radish peroxidase, luciferase, etc.), reverse transcriptase, topoisomerase, etc.

Sample - a compound, composition, and/or mixture of interest, from  
15 any suitable source(s). A sample is the general subject of interest for a test that analyzes an aspect of the sample, such as an aspect related to at least one analyte that may be present in the sample. Samples may be analyzed in their natural state, as collected, and/or in an altered state, for example,  
20 following storage, preservation, extraction, lysis, dilution, concentration, purification, filtration, mixing with one or more reagents, pre-amplification (e.g., to achieve target enrichment by performing limited cycles (e.g., <15) of PCR on sample prior to PCR), removal of amplicon (e.g., treatment with uracil-d-glycosylase (UDG) prior to PCR to eliminate any carry-over  
25 contamination by a previously generated amplicon (i.e., the amplicon is digestable with UDG because it is generated with dUTP instead of dTTP)), partitioning, or any combination thereof, among others. Clinical samples may include nasopharyngeal wash, blood, plasma, cell-free plasma, buffy coat, saliva, urine, stool, sputum, mucous, wound swab, tissue biopsy, milk, a fluid  
30 aspirate, a swab (e.g., a nasopharyngeal swab), and/or tissue, among others. Environmental samples may include water, soil, aerosol, and/or air, among others. Research samples may include cultured cells, primary cells, bacteria,

spores, viruses, small organisms, any of the clinical samples listed above, or the like. Additional samples may include foodstuffs, weapons components, biodefense samples to be tested for bio-threat agents, suspected contaminants, and so on.

- 5           Samples may be collected for diagnostic purposes (e.g., the quantitative measurement of a clinical analyte such as an infectious agent) or for monitoring purposes (e.g., to determine that an environmental analyte of interest such as a bio-threat agent has exceeded a predetermined threshold).

Analyte - a component(s) or potential component(s) of a sample that is  
10   analyzed in a test. An analyte is a specific subject of interest in a test where the sample is the general subject of interest. An analyte may, for example, be a nucleic acid, protein, peptide, enzyme, cell, bacteria, spore, virus, organelle, macromolecular assembly, drug candidate, lipid, carbohydrate, metabolite, or any combination thereof, among others. An analyte may be tested for its  
15   presence, activity, and/or other characteristic in a sample and/or in partitions thereof. The presence of an analyte may relate to an absolute or relative number, concentration, binary assessment (e.g., present or absent), or the like, of the analyte in a sample or in one or more partitions thereof. In some examples, a sample may be partitioned such that a copy of the analyte is not  
20   present in all of the partitions, such as being present in the partitions at an average concentration of about 0.0001 to 10,000, 0.001 to 1000, 0.01 to 100, 0.1 to 10, or one copy per partition.

Reagent - a compound, set of compounds, and/or composition that is combined with a sample in order to perform a particular test(s) on the sample.  
25   A reagent may be a target-specific reagent, which is any reagent composition that confers specificity for detection of a particular target(s) or analyte(s) in a test. A reagent optionally may include a chemical reactant and/or a binding partner for the test. A reagent may, for example, include at least one nucleic acid, protein (e.g., an enzyme), cell, virus, organelle, macromolecular  
30   assembly, potential drug, lipid, carbohydrate, inorganic substance, or any combination thereof, and may be an aqueous composition, among others. In exemplary embodiments, the reagent may be an amplification reagent, which



may include at least one primer or at least one pair of primers for amplification of a nucleic acid target, at least one probe and/or dye to enable detection of amplification, a polymerase, nucleotides (dNTPs and/or NTPs), divalent magnesium ions, potassium chloride, buffer, or any combination thereof, among others.

Nucleic acid - a compound comprising a chain of nucleotide monomers. A nucleic acid may be single-stranded or double-stranded (i.e., base-paired with another nucleic acid), among others. The chain of a nucleic acid may be composed of any suitable number of monomers, such as at least about ten or one-hundred, among others. Generally, the length of a nucleic acid chain corresponds to its source, with synthetic nucleic acids (e.g., primers and probes) typically being shorter, and biologically/enzymatically generated nucleic acids (e.g., nucleic acid analytes) typically being longer.

A nucleic acid may have a natural or artificial structure, or a combination thereof. Nucleic acids with a natural structure, namely, deoxyribonucleic acid (DNA) and ribonucleic acid (RNA), generally have a backbone of alternating pentose sugar groups and phosphate groups. Each pentose group is linked to a nucleobase (e.g., a purine (such as adenine (A) or guanine (T)) or a pyrimidine (such as cytosine (C), thymine (T), or uracil (U))). Nucleic acids with an artificial structure are analogs of natural nucleic acids and may, for example, be created by changes to the pentose and/or phosphate groups of the natural backbone. Exemplary artificial nucleic acids include glycol nucleic acids (GNA), peptide nucleic acids (PNA), locked nucleic acid (LNA), threose nucleic acids (TNA), and the like.

The sequence of a nucleic acid is defined by the order in which nucleobases are arranged along the backbone. This sequence generally determines the ability of the nucleic acid to bind specifically to a partner chain (or to form an intramolecular duplex) by hydrogen bonding. In particular, adenine pairs with thymine (or uracil) and guanine pairs with cytosine. A nucleic acid that can bind to another nucleic acid in an antiparallel fashion by forming a consecutive string of such base pairs with the other nucleic acid is termed "complementary."

Replication - a process forming a copy (i.e., a direct copy and/or a complementary copy) of a nucleic acid or a segment thereof. Replication generally involves an enzyme, such as a polymerase and/or a ligase, among others. The nucleic acid and/or segment replicated is a template (and/or a target) for replication.

Amplification - a reaction in which replication occurs repeatedly over time to form multiple copies of at least one segment of a template molecule. Amplification may generate an exponential or linear increase in the number of copies as amplification proceeds. Typical amplifications produce a greater than 1,000-fold increase in copy number and/or signal. Exemplary amplification reactions for the droplet-based assays disclosed herein may include the polymerase chain reaction (PCR) or ligase chain reaction, each of which is driven by thermal cycling. The droplet-based assays also or alternatively may use other amplification reactions, which may be performed isothermally, such as branched-probe DNA assays, cascade-RCA, helicase-dependent amplification, loop-mediated isothermal amplification (LAMP), nucleic acid based amplification (NASBA), nicking enzyme amplification reaction (NEAR), PAN-AC, Q-beta replicase amplification, rolling circle replication (RCA), self-sustaining sequence replication, strand-displacement amplification, and the like. Amplification may utilize a linear or circular template.

Amplification may be performed with any suitable reagents. Amplification may be performed, or tested for its occurrence, in an amplification mixture, which is any composition capable of generating multiple copies of a nucleic acid target molecule, if present, in the composition. An amplification mixture may include any combination of at least one primer or primer pair, at least one probe, at least one replication enzyme (e.g., at least one polymerase, such as at least one DNA and/or RNA polymerase), and deoxynucleotide (and/or nucleotide) triphosphates (dNTPs and/or NTPs), among others. Further aspects of assay mixtures and detection strategies that enable multiplexed amplification and detection of two or more target species

in the same droplet are described elsewhere herein, such as in Section X, among others.

PCR - nucleic acid amplification that relies on alternating cycles of heating and cooling (i.e., thermal cycling) to achieve successive rounds of replication. PCR may be performed by thermal cycling between two or more temperature set points, such as a higher melting (denaturation) temperature and a lower annealing/extension temperature, or among three or more temperature set points, such as a higher melting temperature, a lower annealing temperature, and an intermediate extension temperature, among others. PCR may be performed with a thermostable polymerase, such as Taq DNA polymerase (e.g., wild-type enzyme, a Stoffel fragment, FastStart polymerase, etc.), Pfu DNA polymerase, S-Tbr polymerase, Tth polymerase, Vent polymerase, or a combination thereof, among others. PCR generally produces an exponential increase in the amount of a product amplicon over successive cycles.

Any suitable PCR methodology or combination of methodologies may be utilized in the droplet-based assays disclosed herein, such as allele-specific PCR, assembly PCR, asymmetric PCR, digital PCR, endpoint PCR, hot-start PCR, in situ PCR, intersequence-specific PCR, inverse PCR, linear after exponential PCR, ligation-mediated PCR, methylation-specific PCR, miniprimer PCR, multiplex ligation-dependent probe amplification, multiplex PCR, nested PCR, overlap-extension PCR, polymerase cycling assembly, qualitative PCR, quantitative PCR, real-time PCR, RT-PCR, single-cell PCR, solid-phase PCR, thermal asymmetric interlaced PCR, touchdown PCR, or universal fast walking PCR, among others.

Digital PCR - PCR performed on portions of a sample to determine the presence/absence, concentration, and/or copy number of a nucleic acid target in the sample, based on how many of the sample portions support amplification of the target. Digital PCR may (or may not) be performed as endpoint PCR. Digital PCR may (or may not) be performed as real-time PCR for each of the partitions.

PCR theoretically results in an exponential amplification of a nucleic acid sequence (analyte) from a sample. By measuring the number of amplification cycles required to achieve a threshold level of amplification (as in real-time PCR), one can theoretically calculate the starting concentration of nucleic acid. In practice, however, there are many factors that make the PCR process non-exponential, such as varying amplification efficiencies, low copy numbers of starting nucleic acid, and competition with background contaminant nucleic acid. Digital PCR is generally insensitive to these factors, since it does not rely on the assumption that the PCR process is exponential.

10 In digital PCR, individual nucleic acid molecules are separated from the initial sample into partitions, then amplified to detectable levels. Each partition then provides digital information on the presence or absence of each individual nucleic acid molecule within each partition. When enough partitions are measured using this technique, the digital information can be consolidated to

15 make a statistically relevant measure of starting concentration for the nucleic acid target (analyte) in the sample.

The concept of digital PCR may be extended to other types of analytes, besides nucleic acids. In particular, a signal amplification reaction may be utilized to permit detection of a single copy of a molecule of the analyte in individual droplets, to permit data analysis of droplet signals for other analytes

20 in the manner described in Section VII (e.g., using an algorithm based on Poisson statistics). Exemplary signal amplification reactions that permit detection of single copies of other types of analytes in droplets include enzyme reactions.

25 Qualitative PCR - a PCR-based analysis that determines whether or not a target is present in a sample, generally without any substantial quantification of target presence. In exemplary embodiments, digital PCR that is qualitative may be performed by determining whether a packet of droplets contains at least a predefined percentage of positive droplets (a positive sample) or not (a negative sample).

30

Quantitative PCR - a PCR-based analysis that determines a concentration and/or copy number of a target in a sample.

RT-PCR (reverse transcription-PCR) - PCR utilizing a complementary DNA template produced by reverse transcription of RNA. RT-PCR permits analysis of an RNA sample by (1) forming complementary DNA copies of RNA, such as with a reverse transcriptase enzyme, and (2) PCR amplification using the complementary DNA as a template. In some embodiments, the same enzyme, such as Tth polymerase, may be used for reverse transcription and PCR.

Real-time PCR - a PCR-based analysis in which amplicon formation is measured during the reaction, such as after completion of one or more thermal cycles prior to the final thermal cycle of the reaction. Real-time PCR generally provides quantification of a target based on the kinetics of target amplification.

Endpoint PCR - a PCR-based analysis in which amplicon formation is measured after the completion of thermal cycling.

Amplicon - a product of an amplification reaction. An amplicon may be single-stranded or double-stranded, or a combination thereof. An amplicon corresponds to any suitable segment or the entire length of a nucleic acid target.

Primer - a nucleic acid capable of, and/or used for, priming replication of a nucleic acid template. Thus, a primer is a shorter nucleic acid that is complementary to a longer template. During replication, the primer is extended, based on the template sequence, to produce a longer nucleic acid that is a complementary copy of the template. A primer may be DNA, RNA, an analog thereof (i.e., an artificial nucleic acid), or any combination thereof. A primer may have any suitable length, such as at least about 10, 15, 20, or 30 nucleotides. Exemplary primers are synthesized chemically. Primers may be supplied as at least one pair of primers for amplification of at least one nucleic acid target. A pair of primers may be a sense primer and an antisense primer that collectively define the opposing ends (and thus the length) of a resulting amplicon.

Probe - a nucleic acid connected to at least one label, such as at least one dye. A probe may be a sequence-specific binding partner for a nucleic acid target and/or amplicon. The probe may be designed to enable detection of target amplification based on fluorescence resonance energy transfer (FRET). An exemplary probe for the nucleic acid assays disclosed herein includes one or more nucleic acids connected to a pair of dyes that collectively exhibit fluorescence resonance energy transfer (FRET) when proximate one another. The pair of dyes may provide first and second emitters, or an emitter and a quencher, among others. Fluorescence emission from the pair of dyes changes when the dyes are separated from one another, such as by cleavage of the probe during primer extension (e.g., a 5' nuclease assay, such as with a TAQMAN probe), or when the probe hybridizes to an amplicon (e.g., a molecular beacon probe).

The nucleic acid portion of the probe may have any suitable structure or origin, for example, the portion may be a locked nucleic acid, a member of a universal probe library, or the like. In other cases, a probe and one of the primers of a primer pair may be combined in the same molecule (e.g., AMPLIFLUOR primers or SCORPION primers). As an example, the primer-probe molecule may include a primer sequence at its 3' end and a molecular beacon-style probe at its 5' end. With this arrangement, related primer-probe molecules labeled with different dyes can be used in a multiplexed assay with the same reverse primer to quantify target sequences differing by a single nucleotide (single nucleotide polymorphisms (SNPs)). Another exemplary probe for droplet-based nucleic acid assays is a Plexor primer.

Label - an identifying and/or distinguishing marker or identifier connected to or incorporated into any entity, such as a compound, biological particle (e.g., a cell, bacteria, spore, virus, or organelle), or droplet. A label may, for example, be a dye that renders an entity optically detectable and/or optically distinguishable. Exemplary dyes used for labeling are fluorescent dyes (fluorophores) and fluorescence quenchers.

Reporter - a compound or set of compounds that reports a condition, such as the extent of a reaction. Exemplary reporters comprise at least one dye, such as a fluorescent dye or an energy transfer pair, and/or at least one oligonucleotide. Exemplary reporters for nucleic acid amplification assays may  
5 include a probe and/or an intercalating dye (e.g., SYBR Green, ethidium bromide, etc.).

Code - a mechanism for differentiating distinct members of a set. Exemplary codes to differentiate different types of droplets may include different droplet sizes, dyes, combinations of dyes, amounts of one or more  
10 dyes, enclosed code particles, or any combination thereof, among others. A code may, for example, be used to distinguish different packets of droplets, or different types of droplets within a packet, among others.

Binding partner - a member of a pair of members that bind to one another. Each member may be a compound or biological particle (e.g., a cell,  
15 bacteria, spore, virus, organelle, or the like), among others. Binding partners may bind specifically to one another. Specific binding may be characterized by a dissociation constant of less than about  $10^{-4}$ ,  $10^{-6}$ ,  $10^{-8}$ , or  $10^{-10}$  M. Exemplary specific binding partners include biotin and avidin/streptavidin, a sense nucleic acid and a complementary antisense nucleic acid (e.g., a probe  
20 and an amplicon), a primer and its target, an antibody and a corresponding antigen, a receptor and its ligand, and the like.

Channel - a passage for fluid travel. A channel generally includes at least one inlet, where fluid enters the channel, and at least one outlet, where fluid exits the channel. The functions of the inlet and the outlet may be  
25 interchangeable, that is, fluid may flow through a channel in only one direction or in opposing directions, generally at different times. A channel may include walls that define and enclose the passage between the inlet and the outlet. A channel may, for example, be formed by a tube (e.g., a capillary tube), in or on a planar structure (e.g., a chip), or a combination thereof, among others. A  
30 channel may or may not branch. A channel may be linear or nonlinear. Exemplary nonlinear channels include a channel extending along a planar flow path (e.g., a serpentine channel) a nonplanar flow path (e.g., a helical

channel to provide a helical flow path). Any of the channels disclosed herein may be a microfluidic channel, which is a channel having a characteristic transverse dimension (e.g., the channel's average diameter) of less than about one millimeter. Channels also may include one or more venting mechanisms to allow fluid to enter/exit without the need for an open outlet. Examples of venting mechanisms include but are not limited to hydrophobic vent openings or the use of porous materials to either make up a portion of the channel or to block an outlet if present. A channel may or may not be elongate. For example, an elongate channel may take the form of a four-walled conduit, and a non-elongate channel may take the form of radial flow between two parallel disks. For example, the oil flow in a butted tube droplet generator may flow radially inward in a channel defined by the disk-shaped faces of the butted tubes.

Fluidics Network - an assembly for manipulating fluid, generally by transferring fluid between compartments of the assembly and/or by driving flow of fluid along and/or through one or more flow paths defined by the assembly. A fluidics network may include any suitable structure, such as one or more channels, chambers, reservoirs, valves, pumps, thermal control devices (e.g., heaters/coolers), sensors (e.g., for measuring temperature, pressure, flow, etc.), or any combination thereof, among others.

## **II. General Principles of Droplet Generation**

It may be desirable, in systems such as DNA amplification systems, among others, to generate sample-containing droplets using a partially or completely disposable apparatus. This may be accomplished by a disposable cartridge configured to generate droplets as part of a series of sample preparation steps that also may include lysing, purification, and concentration, among others. However, in other cases, it may be desirable to provide a partially or completely disposable apparatus configured to perform droplet generation without performing substantial additional sample preparation steps. This may be desirable, for example, when the DNA amplification system is configured to analyze samples that are typically prepared at another



location or by a practitioner. Under these circumstances, a dedicated droplet generation system may be the simplest and most economical solution.

The components of droplet generation systems described herein may include, for example, substrates, wells (i.e. reservoirs), channels, tubes, and  
5 the like. These components may be manufactured by any suitable method(s) known in the art, for example by injection molding, machining, and/or the like. In some cases, all of the components of a droplet generation system disclosed according to the present teachings may be proprietary. In other cases, one or more components of a disclosed system may be available as  
10 an off-the-shelf component, which may be integrated with other components either with or without modification.

Many configurations of droplet generators may be suitable as components of a droplet generation system according to the present teachings. For example, suitable droplet generators include butted tubes,  
15 tubes drilled with intersecting channels, tubes partially or completely inserted inside other tubes, and tubes having multiple apertures, among others, where “tubes” means elongate hollow structures of any cross-sectional shape. Suitable fluid reservoirs include pipette tips, spin columns, wells (either individual or in a plate array), tubes, and syringes, among others. This section  
20 describes some general principles of droplet generation that apply to the present teachings, and provides a few specific examples of droplet generators embodying those principles; see Figures 1-7.

In general, droplets generated according to the present teachings will be sample-containing droplets suspended in a background fluid such as oil.  
25 Droplets of this type may be referred to as “water-in-oil” droplets. “Sample-containing” means that the aqueous fluid from which the droplets are formed contains sample material to be analyzed for the presence of one or more target molecules. The droplets may contain additional components other than sample material. For example, droplet generation may be performed after the  
30 sample has been modified by mixing it with one or more reagents to form a bulk assay mixture.

Droplet generation may divide the sample fluid or the bulk assay mixture into a plurality of partitioned mixtures (and thus sample partitions) that are isolated from one another in respective droplets by an intervening, immiscible carrier fluid. The droplets may be generated from a sample  
5 serially, such as from one orifice and/or one droplet generator (which may be termed an emulsion generator). Alternatively, the droplets may be generated in parallel from a sample, such as from two or more orifices and/or two or more droplet generators in fluid communication with (and/or supplied by) the same sample. As another example, droplets may be generated in parallel  
10 from a perforated plate defining an array of orifices. In some examples, the droplets may be generated in bulk, such as by agitation or sonication, among others. In some examples, a plurality of emulsions may be generated, either serially or in parallel, from a plurality of samples.

Various exemplary droplet generation configurations may be suitable  
15 for generating water-in-oil droplets containing a mixture of sample and reagent. The generated droplets then may be transported to a thermocycling instrument for PCR amplification. Each depicted configuration is compatible with continuous production of emulsions and with any suitable method of pumping, including at least pressure-controlled pumping, vacuum-controlled  
20 pumping, centrifugation, gravity-driven flow, and positive displacement pumping. A droplet generator or droplet generation configuration according to the present disclosure may be connected to a pressure/pump source located on a complementary PCR instrument, or may include any pumps and/or pressure sources needed to facilitate droplet generation.

25 Each depicted droplet configuration in Figures 1-6 may be capable of high-throughput droplet generation (~1,000 droplets per second) in a disposable device, such as a cartridge. Each configuration may be constructed in a number of different ways. For example, fluid channels may be formed in a single injection molded piece of material, which is then sealed  
30 with a sealing member such as a featureless film or other material layer. Alternatively, fluid channels may be formed by injection molding two layers of material that fit together to form the channels, such as cylindrical channels

formed by complementary hemispherical grooves. The fluid channels of the droplet generation configurations depicted in Figures 1-6 may have varying channel depths, such as 50, 100, 150, 200, or 250 $\mu$ m, among others. Furthermore, the principles of droplet generation that apply to the exemplary  
5 droplet generators of Figures 1-6 apply to many droplet generation configurations other than cartridge-based configurations. Several of these alternate configurations are described in this disclosure.

Figure 1 depicts a 3-port cross droplet generation configuration 100 wherein oil from a first fluid well (or chamber) 102 is transferred through two  
10 similar branches of a fluid channel section 104. The oil from well 102 intersects with aqueous fluid from a second fluid chamber 106, which is transferred along a fluid channel section 108 to an intersection area generally indicated at 110. The oil from well 102 arrives at intersection 110 from two different and substantially opposite directions, whereas the aqueous solution  
15 arrives at the intersection along only a single path that is substantially perpendicular to both directions of travel of the arriving oil. The result is that at intersection 110, aqueous droplets in an oil background (i.e., a water-in-oil emulsion) are produced and transferred along a fluid channel section 112 to a third chamber 114, where the emulsion can be temporarily stored and/or  
20 transferred to a thermocycling instrument.

Figure 2 depicts a configuration 115 that is similar in most respects to droplet generation configuration 100 depicted in Figure 1. Specifically, in droplet generation configuration 115, oil from a first fluid chamber 116 is transferred through two similar branches of a fluid channel section 118. Fluid  
25 channel sections 118 intersect with a fluid channel section 122 that transfers aqueous fluid from a second fluid chamber 120, at an intersection area generally indicated at 124. As in configuration 100, the oil from chamber 116 arrives at intersection 110 from two different directions, but unlike in configuration 100, the oil does not arrive from substantially opposite  
30 (antiparallel) directions. Rather, channel sections 118 each intersect channel section 122 at a non-perpendicular angle, which is depicted as approximately 60 degrees in Fig. 48B. In general, configuration 115 may include oil fluid

channels that intersect an aqueous fluid channel at any desired angle or angles. Oil flowing through channel sections 118 and aqueous solution flowing through channel section 122 combine to form a water-in-oil emulsion of aqueous droplets suspended in an oil background. As in the case of  
5 configuration 100, the droplets then may be transferred along a fluid channel section 126 to a third fluid chamber 128, for storage and/or transfer to a thermocycling instrument.

Figure 3 depicts a four-port droplet generation configuration 129 that includes two separate oil wells or chambers. A first oil chamber 130 is  
10 configured to store oil and transfer the oil through a fluid channel section 132 toward a channel intersection point generally indicated at 142. A second oil chamber 134 is similarly configured to store and transfer oil toward the intersection point through a fluid channel section 136. An aqueous fluid chamber 138 is configured to store aqueous fluid, such as a sample/reagent  
15 mixture, and to transfer the aqueous fluid through fluid channel section 140 toward intersection point 142. When the oil traveling through fluid channel sections 132 and 136 intersects with the aqueous fluid traveling through fluid channel section 140, a water-in-oil emulsion of aqueous droplets suspended in oil is generated. Although fluid channel 140 is depicted as intersecting with  
20 each of fluid channels 132 and 136 at a perpendicular angle, in general the channels may intersect at any desired angle, as described previously with respect to droplet generation configuration 115 of Figure 2. The emulsion generated at intersection 142 travels through outgoing fluid channel section 144 toward an emulsion chamber 146, where the emulsion may be  
25 temporarily held for transfer to an instrument, such as a thermocycling instrument.

Figures 4-6 schematically depict fluid channel intersection regions of several other possible droplet generation configurations, in which the arrows within the depicted fluid channels indicate the direction of fluid flow within  
30 each channel. Although fluid chambers for receiving and/or storing oil, water, and any generated emulsion are not depicted in Figures 4-6, these chambers or at least some source of oil and aqueous fluid would be present in a

cartridge containing any of the depicted configurations. The fluid channels and any associated chambers may be formed by any suitable method, such as injection molding complementary sections of thermoplastic as described previously.

5           Figure 4 depicts a “single T” configuration 150 in which oil traveling in an oil channel 152 intersects with aqueous fluid traveling in an aqueous channel 154 at fluid channel intersection 156, to produce a water-in-oil emulsion that travels through outgoing fluid channel 158. This configuration differs from those of Figures 1-3 in that oil arrives at the oil/water intersection  
10           from only a single direction. Accordingly, droplets may be formed by a slightly different physical mechanism than in configurations where oil arrives from two directions. For example, droplets formed in the single T configuration of Figure 4 may be formed primarily by a shear mechanism rather than primarily by a compression mechanism. However, the physics of droplet formation is  
15           not completely understood and likely depends on many factors, including the channel diameters, fluid velocities, and fluid viscosities.

          Figure 5 depicts a “double T” configuration 160 in which oil traveling in an oil channel 162 intersects with aqueous fluid traveling in a first aqueous channel 164 at a first intersection 166, to produce a water-in-oil emulsion that  
20           travels through intermediate fluid channel 168. Channel 168 intersects with a second aqueous channel 170 at a second intersection 172, to generate additional water-in-oil droplets within the emulsion. This geometry also may be used to generate double emulsions of water-in-oil-in-water droplets, and/or to generate two populations of droplets with different compositions.

25           In any case, all of the generated droplets then travel through outgoing fluid channel 174. This configuration again differs from those of Figures 1-3 in that oil arrives at the oil/water intersections from only a single direction. In addition, configuration 160 differs from single T configuration 150 depicted in Figure 4 due to the presence of two oil/water intersections. This may result in  
30           a greater density of droplets in the water-in-oil emulsion generated by configuration 160 than in the emulsion generation by configuration 150, which includes only one oil/water intersection.

Figure 6 depicts a droplet generation configuration 180 in which oil traveling in an oil channel 182 intersects with aqueous fluid traveling in first and second aqueous channels 184 and 186 at an intersection 188. In this configuration, the aqueous fluid arrives at the intersection from two opposite  
5 directions, both of which are substantially perpendicular to the direction of travel of the oil in channel 182. More generally, the aqueous fluid can intersect with the oil at any desired angles. Depending on at least the sizes of the various channels, the flow rates of the oil and the aqueous fluid, and the angle of intersection of the aqueous fluid channels with the oil channel, a  
10 configuration of this type may be suitable for producing either an oil-in-water emulsion or a water-in-oil emulsion. In either case, the emulsion will travel away from intersection 188 through outgoing fluid channel 190.

Figure 7 illustrates various continuous droplet generators, which are characterized by being formed from a single piece of material, and the  
15 relationships between them. More specifically, Figure 7 shows a first continuous droplet generator 200 including a single transverse channel intersecting an inner axial channel, a second continuous droplet generator 240 including two transverse channels intersecting an inner axial channel, a third continuous droplet generator 260 including three transverse channels  
20 intersecting an inner axial channel, and a butted tube droplet generator 280, which as described below would not typically be characterized as a continuous droplet generator. Other continuous droplet generators similar to these examples are possible, such as generators with more than three transverse channels intersecting an inner axial channel, or partially butted  
25 type generators in which the tubes remain connected to each other along a portion of their cross-sections.

Droplet generator 200 includes hollow channels 202, 204 that intersect at an intersection region 206. To generate droplets, one of these channels will generally carry a foreground fluid toward intersection region 206 from one  
30 direction, while the other channel carries a background fluid toward intersection region 206 from both directions. Typically, channel 202 will carry a foreground fluid such as a sample-containing solution, and channel 204 will

carry a background fluid such as oil, but the opposite is also possible. In any case, an emulsion will be created at intersection region 206 and will continue moving through channel 202 in the direction of travel of the foreground fluid, as described in detail above.

5            Droplet generator 240 includes three hollow channels 242, 244, and 246 that intersect at an intersection region 248. To generate droplets, channel 242 will typically carry a foreground fluid such as a sample-containing solution toward intersection region 248 from a single direction, and each of channels 244, 246 will typically carry a background fluid such as oil toward intersection  
10    region 248 from two opposite directions. In that case, an emulsion will be created at intersection region 248 and will continue moving through channel 242 in the direction of travel of the foreground fluid. It is also possible that each of channels 244, 246 would carry a foreground fluid toward intersection region 248 from a single direction, and channel 242 would carry a background  
15    fluid toward intersection region 248 from two opposite directions. In that case, the emulsion created at intersection region 248 would travel through both channels 244 and 246, in the original directions of travel of the foreground fluid in each of those channels. Droplet generator 240 thus may function to produce droplets that emerge from two separate channels.

20            Similarly, droplet generator 260 includes four channels 262, 264, 266, 268 that intersect to generate an emulsion of foreground fluid droplets in background fluid at an intersection region 250. By analogy to the three-channel configuration of droplet generator 240, the four-channel configuration of droplet generator 260 may be used either to generate a single emulsion  
25    that travels through channel 262, or to generate different emulsions that travel through channels 264, 266, and 268.

            Droplet generator 280 is a butted tube generator that includes a first section of hollow tube 282 and a second section of hollow tube 284. Tube section 282 includes a fluid channel 286, and tube section 284 includes a fluid  
30    channel 288. The tube sections are separated by a small distance, forming an intersection region 290 between the tubes. Accordingly, if a foreground fluid flows toward intersection region 290 through channel 286, and a background

fluid flows radially inward toward intersection region 290 from the region outside the tubes, an emulsion can be created and flow into channel 288.

The progression from droplet generator 200 through droplet generator 280 illustrates the relationship between these various droplet generators.

5 Specifically, if the variable  $n$  is chosen to represent the number of radial fluid channels that intersect a longitudinal fluid channel at an intersection region within a tube, then droplet generator 200 may be characterized as an " $n = 1$ " cross-type droplet generator, droplet generator 240 may be characterized as an " $n = 2$ " cross-type droplet generator, droplet generator 260 may be

10 characterized as an " $n = 3$ " cross-type droplet generator, and droplet generator 280 may be characterized as an " $n = \infty$ " cross-type droplet generator, because the gap between tubes 282 and 284 may be viewed as formed from an infinite number of radial fluid channels extending continuously around the circumference of a single elongate tube. Because droplet

15 generator 280 is formed from two separate pieces of material, it would not typically be characterized as a continuous or continuous mode droplet generator.

### III. Planar Mode Examples

This section describes examples of "planar mode" droplet generators,

20 in which sample-containing droplets suspended in a background fluid are generated and transported substantially within a plane; see Figures 8-24. As used herein, "substantially within a plane" or "substantially planar" means that the radius of curvature of the space in which droplets are generated and transported is much greater than the cross-sectional dimensions of the

25 channels through which the droplets are created and transported, and the curvature does not substantially alter the hydraulic function of the channels.

In some cases (see, e.g., Figs. 8-17), well protrusions for sample-containing fluid, background fluid, and droplets may be integrally formed with a substantially planar substrate of the droplet generator. In other cases (see,

30 e.g., Figs. 18-24), the wells may be formed as one or more separate components, and configured to form a substantially fluid tight seal or interface with a substantially planar substrate of the droplet generator. In intermediate



cases, some wells may be integrally formed with the substrate, and some may be formed as one or more separate components. Although the Figures focus on the cases where the wells are either entirely integrally formed with, or entirely separately formed from, the planar substrate, the intermediate possibilities are also contemplated by the present teachings.

Figure 8 is a perspective view of a top surface of a planar-mode droplet generator, generally indicated at 300, in accordance with aspects of the present disclosure. Figure 9 is a perspective view of a bottom surface of droplet generator 300 of Figure 8. Droplet generator 300 includes a substantially planar substrate 302 having a top surface 304 and a bottom surface 306. In the embodiment of Figure 8, a sample well 308, a background fluid well 310, and a droplet outlet region (which in this example takes the form of a droplet well 312) are integrally formed with substrate 302. A network of channels, generally indicated at 314, is formed in the bottom surface 306 of substrate 302 and fluidically interconnects the sample well, the background fluid well, and the droplet outlet region. In droplet generator 300, eight identical sets of wells and channels are shown. More generally, any desired number of wells and channels may be formed with substrate 302. The same principle holds true for all of the planar mode droplet generators described in Section III.

A sealing member 316 (shown in Fig. 8) is configured to be disposed adjacent to the bottom surface of substrate 302, to form a substantially fluid tight seal with the bottom surface of the substrate and thus with channel network 314. Although sealing member 316 is shown in Figure 8 as a featureless, substantially planar member, in some cases the network of channels may be partially or entirely formed in the sealing member rather than exclusively in substrate 302. Regardless of whether the channel network is formed exclusively in the substrate, exclusively in the sealing member, or partially in each of those components, a fluid tight network of channels will be formed when the substrate and the sealing member are brought together. Furthermore, the sealing member can be a deformable film that can take on non-planar configurations when it is not bonded to the substrate.

As described in more detail below, a source of pressure will generally be applied at least to sample well 308 and background fluid well 310, and possibly also to droplet well 312, in order to generate droplets with droplet generator 300. Accordingly, wells 308, 310, and 312 should be configured to  
5 withstand the side forces expected when pressure is applied, as well as other expected forces such as the forces of integration with a pumping unit and the forces expected during shipping and handling. Wells 308, 310, and 312 therefore may have walls that are approximately 0.20 inches thick. More generally the well walls may have thicknesses in the approximate range from  
10 0.04 to 0.40 inches thick, depending on the expected forces and the material from which droplet generator 300 is constructed.

Figure 10 is a magnified view of a portion of bottom surface 306 of substrate 302, showing further details of channel network 314. Channel network 314 defines a droplet generation region indicated at 320, which is  
15 configured to generate sample-containing droplets suspended in the background fluid. More specifically, droplet generation region 320 is defined by the intersection of a first channel 322, a second channel 324, and a third channel 326. First channel 322 is configured to transport sample-containing fluid from sample well 308 to droplet generation region 320, second channel  
20 324 is configured to transport background fluid from background fluid well 310 to droplet generation region 320, and third channel 326 is configured to transport sample-containing droplets from droplet generation region 320 to droplet well 312. Droplets are formed at droplet generation region 320 according to principles that have already been described; see, e.g., Figure 1  
25 and accompanying discussion above.

Channel network 314 includes various features that can be selected or changed to affect the droplet generation accomplished by droplet generator 300. For example, second channel 324, which transports background fluid from background fluid well 310 to droplet generation region 320, may (as  
30 depicted in Figs. 9-10) include two background fluid sub-channels 324a, 324b, which intersect first channel 322 from two different directions. As a result of the intersection of sub-channels 324a, 324b with first channel 322 and third

channel 326, droplet generation region 320 is formed as a cross-shaped intersection region.

When two background fluid sub-channels are used, the two sub-channels may be configured to have substantially equal hydraulic resistances, so that the rate of background fluid flow through each sub-channel is substantially the same. This may be accomplished, for example, by giving the sub-channels approximately equal lengths, or by adjusting other parameters of the sub-channels such as their diameters and/or inner surface characteristics. Furthermore, the two sub-channels may include enlarged portions 328a, 328b in a portion of each sub-channel adjacent to the droplet generation region. These enlarged channel portions may, for example, affect the size of droplets that are generated. More generally, the sizes of the channels remote from the cross can be made bigger or smaller to control the resistance to flow in each channel, and thus the flow rate. The two oil channels are sized (width, depth, length) to give the same resistance so that their flow rates are substantially equal. The relative sizes of the oil and sample channels are selected to give a desired sample to oil flow rate.

As Figure 10 depicts, channel network 314 also includes an air trap 330 disposed along first channel 322, between sample well 308 and droplet generation region 320. Air trap 330, which can take various forms, is generally configured to prevent sample-containing fluid from being inadvertently drawn through first channel 322 by capillary action or other forces. Essentially, air trap 330 functions as a simple valve, to stop the flow of sample-containing fluid through first channel 322 until a desired time. This feature may be desirable to avoid uncontrolled emulsion formation.

More generally, air traps according to the present teachings function by pinning a liquid/air interface at a location where the channel cross-section abruptly increases in one or more dimensions. This has the effect of locally increasing the effective contact angle of the liquid/channel wall interface to a value greater than 90 degrees, which results in a local force that stops further liquid movement. The operation of the device therefore consists of loading sample into a dry device before the oil is loaded. The sample flows through its

channel (by gravity plus capillarity) to the air trap, where the flow stops due to the channel expansion at that point. Oil is then loaded and flows through its channels (by gravity plus capillarity) to the cross. Once oil reaches the cross, any air remaining in the air trap (and the channel between the air trap and cross) is trapped between the sample and oil and prevents the two fluids from prematurely coming into contact. Some oil can flow toward the air trap, being drawn along the corners of the channel by capillary forces; it bypasses the trapped air. The contraction/expansion features in the air trap slow the advance of this oil because capillary forces are reduced when the channel dimensions are expanding. The final result is that the air trap keeps the sample and oil substantially separated until a fluidic driving force is applied. This feature is desirable to avoid the uncontrolled emulsion formation that would occur if the oil and sample were allowed to mix prematurely.

Figures 11-12 depict exemplary air trap embodiments that may be suitable for use with a droplet generation system such as droplet generator 300, in accordance with aspects of the present teachings. More specifically, Figure 11 shows portions of a channel network 314, including a first channel 322, sub-channels 324a, 324b of a second channel 324, a third channel 326, and an exemplary air trap 330 disposed along channel 322. In the example depicted in Figure 11, components represented by primed reference numbers are configured to perform functions similar to the objects represented by corresponding unprimed reference numbers in Figure 10. Air trap 330 includes three sections 330a, 330b, 330c, each of which includes at least one bent angle 332a, 332b, 332c around which sample-containing fluid must pass in order to pass entirely through air trap 330. These locations where the channel changes in width and in depth create additional sites for stopping fluid flow by the capillary pinning mechanism described above.

Figure 12 shows portions of a channel network 314", including a first channel 322", sub-channels 324a", 324b" of a second channel 324", a third channel 326", and an exemplary air trap 330" disposed along channel 322". In the example depicted in Figure 12, components represented by double primed reference numbers are configured to perform functions similar to the

objects represented by corresponding unprimed reference numbers in Figure 10. Like air trap 330, air trap 330" includes three sections 330a", 330b", 330c". However, rather than including bent angles, the three sections of air trap 330" are separated from each other by a pair of narrowed neck regions 5 332a", 332b". Like the bent angles of air trap 330, these neck regions serve to create additional sites for stopping fluid flow by capillary pinning, to better prevent inadvertent flow of sample-containing fluid. Many configurations of air traps are possible, and the exact configuration may be chosen to result in a desired amount of resistance to capillary flow. Furthermore, although the term 10 "air trap" has been used, this does not imply that air must actually be trapped under all circumstances. In some cases, the shape of the air trap may serve to prevent undesirable fluid flow, even if there is no air trapped in the "air trap."

Figure 13 is a semi-transparent top view of another exemplary droplet 15 generator, generally indicated at 350, in accordance with aspects of the present disclosure. Droplet generator 350 includes a substantially planar substrate 352 having a top surface 354 and a bottom surface 356. A sample well 358 and a background fluid well 360 are integrally formed with substrate 352. A droplet outlet region, which in this example takes the form of a pipette 20 tip 362, may be integrally formed with substrate 352, or in some cases may be formed separately and integrated with the substrate, as described in more detail below. A network of channels, generally indicated at 364, is formed in the bottom surface 356 of substrate 352 and fluidically interconnects the sample well, the background fluid well, and the pipette tip.

25 As used herein, the terms "pipette" and "pipette tip" are not intended to be limited to the structure shown in Figure 13 or any of the other drawings. More generally, these terms are intended to mean a droplet outlet region that is capable of conveying droplets from a droplet generator to an accumulation vessel, or in some cases a sample inlet region that is capable of conveying 30 sample-containing fluid to a sample well or a sample inlet channel. A pipette tip can be in the form of a channel. It can be formed separately from a droplet generator housing such as a substrate, in which case the pipette tip can mate

with the substrate to convey droplets from the droplet generator to another vessel, or to convey sample fluid to the droplet generator. It can also be integrally formed with the droplet generator, as in Figure 13. An accumulation vessel suitable for use in conjunction with a pipette can be any container  
5 suitable for accumulating droplets. In particular, the accumulation vessel can be the well of a microtiter plate or a PCR plate. Pipette tip, as used in this disclosure, can also be a tip that is used with, e.g., a handheld or automated pipettor.

As in the case of droplet generator 300 described previously, a  
10 substantially planar sealing member (not shown) may be configured to be disposed adjacent to the bottom surface of substrate 352, to form a substantially fluid tight seal with the bottom surface of the substrate and thus with channel network 364. The sealing member may be a featureless planar member, or the network of channels may be partially or entirely formed in the  
15 sealing member rather than exclusively in substrate 352. In any case, a fluid tight network of channels will be formed when the substrate and the sealing member are brought together. Furthermore, in some cases, channel network 354 may be integrally formed and/or sealed within substrate 352 in a fluid tight manner, in which case there may be no sealing member provided.

20 Wells 358 and 360 configured to withstand the forces expected when pressure is applied, when the droplet generator integrated with a pumping unit, and when the droplet generator is handled and shipped to a customer or other destination. Accordingly, wells 358 and 360 may be similar in their characteristics to previously described wells 308, 310, and 312, i.e., wells 358  
25 and 360 may have thicknesses in the approximate range from 0.04 to 0.40 inches thick, depending on the expected forces and the material from which droplet generator 350 is constructed. Similarly, pipette tips 362 will generally be constructed to withstand these same forces. As mentioned previously, in some cases, pipette tips 362 may be integrally formed with substrate 352, for  
30 example in an injection molding process. In other cases, the substrate may be formed with suitable apertures or other connection structures (not shown in

Fig. 13) configured to receive suitably modified, standard pipette tips in a fluid tight manner.

Channel network 364 defines a droplet generation region indicated at 370, which is configured to generate sample-containing droplets suspended in the background fluid. As in the case of previously described droplet generation regions 320, each droplet generation region 370 is defined by the intersection of a first channel 372 configured to transport sample-containing fluid from sample well 358 to droplet generation region 370, a second channel 374 configured to transport background fluid from background fluid well 360 to droplet generation region 370, and a third channel 376 configured to transport sample-containing droplets from droplet generation region 370 to pipette tip 362. Droplets are formed in region 370 according to principles that have been described in detail above.

Also as described previously, second channel 374 includes two background fluid sub-channels 374a, 374b, which intersect first channel 372 from two different directions to form a cross-shaped droplet generation region. Sub-channels 374a, 374b have approximately equal lengths, so that they have substantially equal hydraulic resistances and the rate of background fluid flow through each sub-channel is substantially the same. In addition, an air trap 380 is disposed along first channel 372, between sample well 358 and droplet generation region 370, and is configured to prevent sample-containing fluid from being inadvertently drawn through first channel 372 by capillary action or other forces. Accordingly, droplets will be formed only when suitable pressures are applied to the sample wells, the background fluid wells, and/or the pipette tips, in which case the formed droplets will be transported through channels 376 to pipette tips 362, and emitted from apertures 382 formed in the pipette tips. The emitted sample-containing droplets then may be collected and/or further transported for additional processing steps such as thermocycling.

Figure 14 is a semi-transparent top view of yet another exemplary droplet generator, generally indicated at 350, in accordance with aspects of the present disclosure. Droplet generator 350 is substantially similar to

droplet generator 350 in most respects. Accordingly, primed reference numbers in Figure 14 represent substantially the same components as their unprimed counterparts in Figure 13, and those components will not be described again here. However, droplet generator 350 of Figure 14 differs  
5 from droplet generator 350 of Figure 13 in the following respect.

Rather than pipette tips 362 and corresponding apertures 382, third channels 376 of droplet generator 350 transport sample-containing droplets to droplet wells 390, which collect the droplets in a manner similar to droplet wells 312 of droplet generator 300. Thus, droplet generators 350 and 350  
10 may be viewed as slight variations of each other, with each best suited for a particular application or class of applications. Furthermore, these examples show that the droplet wells of any of the other planar mode droplet generator embodiments described herein may be replaced with pipette tips under appropriate circumstances.

Figure 15 is a top view of still another exemplary planar mode droplet generator, generally indicated at 400, in accordance with aspects of the present disclosure, and Figure 16 is a bottom view of droplet generator 400. Figure 17 is a sectional view of droplet generator 400 taken along the line 17-17 in Figure 15. Droplet generator 400 includes the same general  
20 components as droplet generator 300, and reference numbers starting with 400 in Figures 15-16 represent substantially the same components as their counterparts starting with 300 in Figures 8-10. Aside from the features of droplet generator 400 either not included in droplet generator 300 or not discussed in the description of droplet generator 300, those components will  
25 not be described again here.

Several features of droplet generator 400 exemplify features that may be adopted in any of the planar mode droplet generators described herein. Specifically, Figure 15 depicts background fluid wells 410 and droplet wells 412 as having an oval cross section near the top of each well, whereas  
30 sample wells 408 have a circular cross section. An oval shape, as opposed to a circular upper cross section, may facilitate fluid tight connections between the wells and other components of an overall assay system, such as pump



interfaces. The use of an oval shape is merely exemplary. In general, the upper portion of each well may be given any desired shape in a particular droplet generator, to best facilitate the integration of the droplet generator with the other portions of the assay system.

5           In addition, as can be best seen in Figure 17, one or more of the wells of a droplet generator according to the present teachings, in this case droplet wells 412, may have a stepped vertical cross section in which the well becomes narrower toward the bottom in a stepped fashion. On the other hand, also as depicted in Figure 17, other wells, such as sample wells 408  
10           and background fluid wells 410, may have smoothly tapered vertical cross sections, which also become narrow toward the bottom of the wells. The use of stepped and/or smoothly tapered vertical well cross sections may facilitate the manufacture of injection molded planar mode droplet generators.

          The depicted cross sections also may have other advantages, such as  
15           the following. The steps or other features in the well bottoms can guide a fluid dispenser, such as a pipette tip, to a position in the well that is optimal for liquid transfer in and out of the device. Without such features, a pipette tip could, for instance, be inserted such that, during liquid addition, the liquid is injected directly into the channels. Likewise, features in a droplet well can  
20           allow a pipette tip to be conveniently positioned a fixed distance from the well bottom, allowing droplet to be aspirated without being damaged while flowing through a “pinch” between the pipette tip and well bottom.

          Smoothly tapered well walls may help to facilitate drainage of the sample toward the well bottoms, which leaves less residual sample in the  
25           well, and increases the efficiency of sample conversion to droplets. Samples are often precious, and high sample conversion efficiency is a valuable feature. The use of smoothly tapered walls may result in a sample loss of less than 0.5  $\mu$ L, or even less than 0.3 nL. For a 20  $\mu$ L sample, the conversion efficiency is then over 95%.

30           Figure 16 illustrates a network of fluid channels 414 that differs slightly from its counterpart network 314 of droplet generator 300. Specifically, first channel 422, which is configured to transport sample-containing fluid from

sample well 408 to droplet generation region 420, does not include an air trap. As described previously, an air trap may be used in some cases to help prevent unwanted fluid transport from the sample well to the droplet generation region. However, as depicted in Figure 16, an air trap may not  
5 always be necessary. This may be the case, for example, if the channel between the sample well and the droplet generation region is given a hydraulic resistance sufficient to prevent unwanted fluid flow. As depicted for channel 422 of droplet generator 400, this may be accomplished if the channel has sufficient length, a great enough number of bends, a small  
10 enough diameter, and/or is given other characteristics (such as an appropriately coated inner surface) to raise its hydraulic resistance to a desired level.

Aside from giving channel 422 hydraulic resistance sufficient to avoid unwanted transport of sample-containing fluid, Figure 16 also shows how the  
15 various channels of network 414 may be given hydraulic resistances resulting in a desired rate of droplet production. More specifically, each of channels 422, 424 (including sub-channels 424a, 424b), and 426 may be configured to have any desired hydraulic resistance, by giving those channels desired lengths and/or other suitably chosen characteristics. In this manner, the  
20 overall hydraulic resistance of channel network 414 may be tuned to any desired level, to result in a predetermined flow rate of sample-containing droplets into droplet wells 412 for a given set of applied pressures. These same principles may be applied to any droplet generation system according to the present teachings.

25 Figures 18, 19, and 24 depict a first example of a planar mode droplet generator, generally indicated at 500, in which a planar substrate and a well vessel are formed as separate components. A benefit of this design is that a variety of well sizes can be used with a single droplet generator base. It also permits replacement of, e.g., the sample and oil wells with syringe pumps  
30 containing those liquids. This enables, for instance, "bulk" droplet generation on the mL scale versus the typical uL scale.

Figure 18 is a top view of droplet generator 500, and Figure 19 is a magnified perspective view of a portion of the droplet generator. Droplet generator 500 includes a substantially planar substrate 502 having a top surface 504 and a bottom surface 506, and a separate well vessel 508  
5 configured to be connected to substrate 502 to form a functional droplet generator. Although in the depicted examples, all of the fluid wells associated with the droplet generator are formed in a well vessel, in alternative configurations contemplated by the present teachings, one or more of the sample well, background fluid well(s), and droplet well may be integrally  
10 formed with the substrate (as in previously described embodiments), while the remainder of the wells are included in the well vessel.

To accommodate a connection with well vessel 508, top surface 504 of substrate 502 includes various ports configured to receive complementary portions of the well vessel. Specifically, a sample port 510, a pair of  
15 background fluid ports 512a, 512b, and a droplet outlet port 514 are all formed in top surface 504 of substrate 502. In droplet generator 500, each of these ports takes the form of a substantially cylindrical aperture, but any desired shape may be used for the ports. Well vessel 508 includes a sample well 516 configured to make a substantially fluid tight connection with sample port 510,  
20 a pair of background fluid wells 518a, 518b configured to make a substantially fluid tight connection with background fluid ports 512a, 512b, and a droplet outlet well 520 configured to make a substantially fluid tight connection with droplet outlet port 514.

To accomplish a fluid tight connection between each well and its  
25 associated port, each well includes a cylindrical attachment protrusion 522 configured to fit securely and in a fluid tight manner within the corresponding port. When the ports are given shapes other than cylindrical, the attachment protrusions of the well vessel will be given appropriate complementary shapes. Furthermore, according to the present teachings, the attachment  
30 between the ports and the wells may be made in many different ways. For example, in contrast to the depiction of Figures 18-19, the "male" portions of the attachment mechanisms (i.e., the protrusions) may be associated with the

ports, and the “female” portions of the attachment mechanisms (i.e., the complementary apertures) may be associated with the wells, for some or all of the wells. In any case, the ports and/or the wells may be provided with various elements such as o-rings, compression plates, or elastic apertures, to  
5 facilitate a fluid tight connection between the substrate and the well vessel. For instance, a short length of Tygon elastic tubing, sold by the Saint-Gobain Corporation of France, may fit snugly on the outsides of the “male” portions of any attachment mechanisms.

Figure 24 depicts the bottom surface of the droplet generator, with a  
10 possible sealing member (described below) removed for clarity. As depicted in the central portion of Fig. 24, a network of channels, generally indicated at 530, is formed in the bottom surface 506 of substrate 502. Network 530 is configured to fluidically interconnect sample port 510, background fluid ports 512a, 512b, and droplet outlet port 514. A droplet generation region 532 is  
15 defined by network of channels 530 and configured to generate sample-containing droplets suspended in the background fluid. More specifically, droplet generation region 532 is defined by the intersection of a sample channel 534, a pair of background fluid channels 536a, 536b, and droplet channel 538. Sample channel 534 is configured to transport sample-  
20 containing fluid from sample port 510 to droplet generation region 532, background fluid channels 536a, 536b are respectively configured to transport background fluid from background fluid ports 512a, 512b to droplet generation region 532, and droplet channel 538 is configured to transport sample-containing droplets from droplet generation region 532 to droplet outlet port  
25 514.

As depicted in Figure 19, a substantially planar sealing member 540 may be provided with droplet generator 500. As in the case of the other planar mode embodiments, sealing member 540 may be attached to substrate 502 by compression, adhesion, heat sealing, or any other suitable attachment  
30 mechanism, to make channel network 530 fluid tight. Also as described previously, in some cases the channel network may be formed partially or entirely in the sealing member rather than in the bottom surface of the

substrate. Furthermore, any other desired features may be introduced into channel network 530, such as increased channel lengths, changes in channel diameter and/or cross section, or an air trap disposed between the sample port and the droplet generation region, to control the timing and rate of droplet generation as described above.

Figures 20-21 depict another example of a planar mode droplet generator, generally indicated at 550, in which a planar substrate and a well vessel are formed as separate components. Figure 20 is a top view of droplet generator 550, and Figure 21 is a magnified perspective view of a portion of the droplet generator. Droplet generator 550 is similar in many respects to droplet generator 500 depicted in Figures 18-19, and accordingly some of the common features of droplet generator 550 and droplet generator 500 will not be described again in detail.

Droplet generator 550 includes a substantially planar substrate 552 having a top surface 554 and a bottom surface 556, and a separate well vessel 558 configured to be connected to substrate 552 to form a functional droplet generator. In this example, however, well vessel 558 has only three wells, rather than four as in the case of droplet generator 500. To accommodate a connection with well vessel 558, top surface 554 of substrate 552 includes ports configured to receive complementary portions of the well vessel. Specifically, a sample port 560, a background fluid port 562, and a droplet outlet port 564 are all formed in top surface 554 of substrate 552. Each of these ports takes the form of a substantially cylindrical aperture, but any desired shape may be used.

Well vessel 558 includes a sample well 566 configured to make a substantially fluid tight connection with sample port 560, a background fluid well 568 configured to make a substantially fluid tight connection with background fluid port 562, and a droplet outlet well 570 configured to make a substantially fluid tight connection with droplet outlet port 564. As in the case of droplet generator 500, regardless of the shapes of the ports, each well includes a complementary protrusion 572 configured to fit securely and in a fluid tight manner within the corresponding port. Furthermore, the connection

between the ports and the wells may be made in many different ways, and may include various components configured to facilitate a fluid tight connection, as described previously with respect to droplet generator 500.

As depicted in Figure 21, a substantially planar sealing member 574  
5 may be provided with droplet generator 550. As in the case of the other planar mode embodiments, sealing member 574 may be attached to substrate 552 by any suitable attachment mechanism. Also as described previously, a network of channels (not shown) may be formed in the substrate, or may be formed partially or entirely in the sealing member, to fluidically interconnect  
10 the sample port, the background fluid port, and the droplet outlet port. This network of channels will generally provide a droplet generation region configured to generate sample-containing droplets in the background fluid, and may include any suitable characteristics of the other channel networks described herein.

15 Figures 22-24 depict yet another example of a planar mode droplet generator, generally indicated at 600, in which a planar substrate and a well vessel are formed as separate components. Figure 22 is a top view of droplet generator 600, and Figure 23 is a magnified perspective view of a portion of the droplet generator. Figure 24 depicts the bottom surface of the droplet  
20 generator, with a planar sealing member removed for clarity. Droplet generator 600 is substantially similar to droplet generator 550 depicted in Figures 20-21 in some respects, and accordingly some of the similarities between droplet generator 600 and droplet generator 550 will not be described in detail below.

25 Droplet generator 600 includes a substantially planar substrate 602 having a top surface 604 and a bottom surface 606, and a separate well vessel 608 configured to connect to substrate 602. As in the case of droplet generator 550, well vessel 608 has only three wells, rather than four as in the case of droplet generator 500. Top surface 604 of substrate 602 includes a  
30 sample port 610, a background fluid port 612, and a droplet outlet port 614, all formed in top surface 604 of substrate 602. Each of these ports takes the form

of a substantially cylindrical aperture, but as described previously, any desired shape may be used.

Well vessel 608 includes a sample well 616, a background fluid well 618, and a droplet outlet well 620, each configured to make a substantially  
5 fluid tight connection with the associated ports of the substrate. As in the case of droplet generators 500 and 550, regardless of the shapes of the ports, each well includes a complementary protrusion 622 configured to fit securely and in a fluid tight manner within the corresponding port. In addition, the connection  
10 may include various components configured to facilitate a fluid tight connection, as described previously with respect to droplet generators 500 and 550.

The primary difference between droplet generator 600 and droplet generator 550 is the spacing between sample well 616 and background fluid  
15 well 618. As depicted in Figures 22-23, in the case of droplet generator 600, these wells are not configured to fit into adjacent ports of the substrate as in the case of droplet generator 550, but rather are configured to fit into ports that are separated from each other by another, unused port. This spacing provides certain possible advantages to the droplet generator, as described  
20 below.

As depicted in the non-central portions of Figure 24, a network of channels, generally indicated at 630, is formed in the bottom surface 606 of substrate 602. Network 630 is configured to fluidically interconnect sample port 610, background fluid port 612, and droplet outlet port 614. A droplet  
25 generation region 632 is defined by network of channels 630 and configured to generate sample-containing droplets suspended in the background fluid. More specifically, droplet generation region 632 is defined by the intersection of a sample channel 634, a pair of background fluid sub-channels 636a, 636b, and droplet channel 638. Sample channel 634 is configured to transport  
30 sample-containing fluid from sample port 610 to droplet generation region 632, background fluid sub-channels 636a, 636b are configured to transport background fluid from background fluid port 612 to droplet generation region

632, and droplet channel 638 is configured to transport sample-containing droplets from droplet generation region 632 to droplet outlet port 614.

As Figure 24 indicates, background fluid sub-channels 636a, 636b are each fed by a background fluid channel 636 that transports background fluid from background fluid well 618. Sub-channels 636a, 636b then transport background fluid to droplet generation region 632 so that the background fluid arrives at the droplet generation region from two different directions, forming a cross-shaped (or topologically equivalent) droplet generation region. As has been described with respect to previous planar droplet generator embodiments, sub-channels 636a, 636b may be configured to have substantially the same hydraulic resistance, for example by providing the sub-channels with substantially the same lengths, so that background fluid reaches droplet generation region 632 with substantially the same flow rate in each sub-channel.

In addition, other features may be provided to channel network 630, including an air trap 640 disposed along the path of the sample-containing fluid, and regions of varying channel diameter, as indicated by the diameter of sub-channels 636a, 636b in the vicinity of droplet generation region 632. Furthermore, a planar sealing member 642 may be provided, which in some cases may include all or a portion of the channel network. These features serve purposes that have been described previously.

#### **IV. Continuous Mode Examples**

This section describes examples of “continuous mode” droplet generators, in which the droplet generator is manufactured from a single piece of material; see Figures 7 and 25-33. As described in more detail below with respect to several specific examples, an advantage of this single piece design is that there is no need to precisely align multiple parts to form the droplet generator geometry.

One type of continuous mode droplet generator is based on a hollow tube with one or more holes drilled through the tube walls to intersect the hollow channel. For example, Figure 7, which has been described previously, depicts several different droplet generator geometries of this type.



Specifically, droplet generators 200, 220, and 240 all may be characterized as continuous mode droplet generator tubes, because they are formed from a single piece of material. In contrast, droplet generator 260 of Figure 7 is formed of two separate butted tubes requiring careful alignment, and thus would not be characterized as a continuous mode droplet generator.

Figure 25 depicts yet another type of continuous mode droplet generator, generally indicated at 700, which is similar in some respects to droplet generators 200, 220, and 240 of Figure 7. Droplet generator 700 includes a hollow droplet generator tube 702 having a channel 704 running along its length. A slit 706 is partially cut into the side of the tube, to intersect channel 704 and divide the channel into two aligned sections 704a and 704b. Slit 706 also forms a radial flow channel for the flow of background fluid. Accordingly, if sample-containing fluid is transported through channel section 704a and background fluid is transported into slit 706, sample-containing droplets may be created and suspended in the background fluid, and the resulting emulsion may be transported through channel section 704b.

Figures 26-27 depict an example of a more complete continuous mode droplet generator system, generally indicated at 750. Figure 26 is an exploded elevational view of droplet generator system 750, and Figure 27 is an assembled elevational view of the droplet generator system. Droplet generator system 750 includes a sample well 752, a tubular droplet generator 754, an oil feed connector 756, and a housing base 758, all configured to fit securely into a droplet generation housing 760. A compression plate 762 is used to compress housing base 758 against housing 760, to form a fluid tight surface at the bottom of the housing. Compression is caused by a pair of compression screws 764a, 764b, which fit into corresponding apertures 766a, 766b in the compression plate. Aligned apertures (not shown) in the housing base and the housing receive the compression screws and allow them to compress the housing base into the housing.

A segment of hollow, stainless steel tubing 768 fits within aligned central apertures 770, 772 of the housing base and compression plate, respectively, and extends into housing 760. Droplet generator 754 may be

inserted through tubing 768, into the interior of housing 760, and into a distal aperture 773 of sample well 752. More specifically, the droplet generator is inserted into the distal aperture of sample well 752 to form a (disposable) sample handling assembly. The sample handling assembly is inserted into the  
5 (non-disposable) housing assembly. After use, the sample handling assembly may be contaminated with sample and can be discarded. A sample handling assembly may be used with each sample to reduce cross-contamination.

In this example, sample well 752 includes a Luer taper 774 configured to fit into a corresponding "female" Luer mating portion of housing 760, to form  
10 a substantially leak-free connection between the sample well and the housing. Aperture 773 is disposed at the distal end of taper 774, and is configured to securely receive droplet generator 754. Similarly, oil feed connector 756 also may include a Luer taper (not shown), configured to fit into a corresponding aperture of housing 760, which thus provides a background fluid input channel  
15 for oil or some other background fluid to enter the housing.

Sample well 752 also includes a reservoir portion 776 configured to receive sample-containing fluid to be used in forming sample-containing droplets. A proximal aperture 778 of the reservoir portion may be configured to receive standardized or proprietary fluid fittings and/or pressure fittings.  
20 This may facilitate the transfer of sample-containing fluid to the sample well, and/or the application of pressure to the sample-containing fluid to cause the formation of sample-containing droplets. Similarly, a proximal aperture 779 of the oil feed connector may be configured to accept standard or proprietary fluid fittings and/or pressure fittings, to facilitate the transfer of pressurized oil  
25 or some other background fluid into housing 760.

Droplet generator 754 may be similar to any of the previously described droplet generator tubes, such as tubes 200, 220, 240, or 700. More specifically, in this example droplet generator 754 is a continuous hollow tube having a slit 780 formed at an intermediate location along the length of the  
30 tube. Slit 780 is oriented substantially normally relative to the length of the droplet generator tube, and extends far enough into the droplet generator tube to intersect the central channel of the tube. More generally, slits and/or

channels that penetrate from the outer periphery of the droplet generator to intersect its central channel may be oriented at any desired angle(s). Furthermore, these slits and/or channels need not pass linearly from the periphery of the droplet generator tube toward its central channel, but may be  
5 configured to have any desired trajectories. This may, for example, allow the hydraulic resistance of the background fluid channel to be tuned to a desired value, as a manner of controlling the rate of production of sample-containing droplets.

When droplet generator 754 is inserted into tubing 768 and passes  
10 through the interior of housing 760 and into distal aperture 773 of sample well 752, slit 780 will be exposed to any fluid present in the interior portion of housing 760. When sample-containing fluid is transported from sample well 752 into droplet generator tube 754, the sample-containing fluid eventually reaches slit 780, where it encounters pressurized background fluid that has  
15 been transported into housing 760 via the background fluid input channel of the housing. Sample-containing droplets suspended in the background fluid are created in the vicinity of the slit, and transported further down the droplet generator, where they eventually reach a droplet outlet region 782 defined by the distal end of the droplet generator tube.

20 Figures 28-29 depict another example of a continuous mode droplet generation system, generally indicated at 800. Droplet generation system 800 includes a sample well 802, a droplet generator 804 configured to receive sample-containing fluid from the sample well, and an outer housing 806 configured to selectively receive the droplet generator. In some cases, the  
25 sample well and the droplet generator, which come into direct contact with sample-containing material, may be configured as a disposable component, whereas the housing, which does not come into direct contact with sample-containing material, may be configured as a reusable component. The droplet generator may be integrally formed with the sample well, or it may be formed  
30 separately and selectively integrated with the sample well.

In system 800, droplet generator 804 takes the form of a droplet generation tube, of a type described previously. More specifically, droplet

generator 804 is a continuous hollow tube 808 having a slit 810 formed at an intermediate location along the length of the tube. One end of the droplet generator is in fluidic communication with sample well 802. This may occur during formation of the sample well and droplet generator, if they are integrally  
5 formed, or if the droplet generator and sample well are formed separately, the droplet generator may be selectively placed in fluid communication with the sample well, by positioning it securely against a lower outlet aperture of the well. The other end of the droplet generator defines a droplet outlet region 812 configured to receive sample-containing droplets generated within a droplet  
10 generation region of the droplet generator. The droplet generator and the sample well are disposed within a substantially frustoconical inner housing 814, which is configured to fit securely within a corresponding aperture 816 in outer housing 806.

Frustoconical inner housing 814 is sized so that sample well 802 will be  
15 disposed at or above the upper surface 818 of housing 806, while droplet outlet region 812 will be disposed at or below the lower surface 820 of housing 806. Inner housing 814 includes a slit 822, which exposes slit 810 of droplet generator tube 808 to any fluid that penetrates slit 822. When inner housing 814 is properly disposed within housing 806, slits 822 and 810 will be  
20 aligned with a pair of background fluid input channels 824a, 824b which are formed within housing 806 and configured to provide background fluid to the droplet generator from a background fluid source. A pair of elastic o-rings 826, or other suitable components, may be used to secure inner housing 814 within outer housing 806 in a leak-proof manner and at the proper location.  
25 When sample-containing fluid is transported from sample well 802 into droplet generator 804, it eventually reaches the region of intersection of slit 810 and background fluid input channels 824a, 824b, at which point sample-containing droplets suspended in the background fluid are generated and directed toward droplet outlet region 812, where they may be collected and/or  
30 transported for subsequent assay steps.

Figures 30-31 depict still another example of a continuous type droplet generation system, generally indicated at 850. Figure 30 is a schematic

elevational view of system 850, and Figure 31 is a magnified sectional view of a portion of the system. Droplet generation system 850 includes a sample well 852 and an integrated droplet generator 854, which collectively take the form of a modified pipette as generally indicated at 856. Droplet generation system  
5 850 also includes a housing 858 configured to selectively receive pipette 856.

Pipette 856 includes a central channel 860, which transports sample-containing fluid from the sample well portion 852 of the pipette, downward in Figures 30-31. Droplet generator 854 is provided in pipette 856 by forming a pair of horizontal channels 862a, 862b in the pipette tip, which intersect  
10 central channel 860. Thus, droplet generator 854 is similar to previously described examples in which a droplet generator is formed from a hollow tube that includes channels extending from the periphery of the pipette to its central channel. In other cases, the droplet generator of the current example can be formed using a slit that extends from the periphery of the pipette to its  
15 central channel, as has been described previously.

To receive the pipette, housing 858 includes a cavity, generally indicated at 860, which consists of a first, tapered bore section 864 and a second, cylindrical bore section 866. Pipette 856 fits securely within the tapered bore section, leaving a small amount of open space around a portion  
20 of the pipette disposed within the cylindrical bore section, in the vicinity of channels 862a, 862b. Housing 858 also includes a horizontal bore 868, which intersects cylindrical bore section 866 and extends beyond it. Adjacent to horizontal bore 868 (on the left-hand side of Fig. 31) is an internally threaded aperture 870, which is configured to receive a background fluid input device  
25 872.

The background fluid input device can be used to provide pressurized background fluid to horizontal bore 868. Background fluid provided by the fluid input device will fill horizontal bore 868 and cylindrical bore section 866, and enter horizontal channels 862a, 862b of the droplet generator, where it will  
30 intersect sample-containing fluid passing downward through channel 860. According to previously described principles, an emulsion of sample-containing droplets suspended in the background fluid will therefore be

produced, and will travel further down channel 860 until they reach a droplet outlet region 874 defined by a distal aperture of pipette 856.

Figure 32 depicts an exploded view of yet another example of a continuous type droplet generation system, generally indicated at 900. Droplet generator 900 includes a sample well 902, a droplet generator 904, and a housing 906. Sample well 902 includes a conical interior portion 908 configured to hold sample-containing fluid. An aperture 910 allows fluid to pass from the sample well into droplet generator 904, as described in more detail below. Droplet generator 904 is a tubular droplet generator of a type described previously, including a central fluid channel 912 and a transverse slit 914 that intersects the central channel. Droplet generator 904 is configured to fit securely within a complementary aperture (not shown) formed in the bottom surface of sample well 902, so that the droplet generator will be fluidically connected with the sample well, with a portion of the droplet generator (including slit 914) extending below the sample well.

Housing 906 includes a central aperture 916 configured to receive sample well 902, and a cylindrical bore 918 extending below the central aperture and configured to receive a lower portion of droplet generator 904. A plurality of alignment features 920 are provided in the interior of central aperture 916 and configured to align sample well 902 and droplet generator 904 in desired positions within housing 906. More specifically, when sample well 902 and droplet generator 904 are aligned correctly within housing 906, slit 914 of the droplet generator will be disposed below alignment features 920 and above cylindrical bore 918. At the same time, the upper portion of sample well 902 will be approximately aligned with the upper portion of housing 906, although in some cases the upper portions of well 902 and housing 906 may be offset by a desired predetermined amount.

Housing 906 is configured to receive a background fluid such as oil, and thus to function as a background fluid well. Specifically, background fluid may be disposed at least in the portion of central aperture 916 below alignment features 920 and above cylindrical bore 918. Accordingly, when system 900 is assembled so that sample well 902 and droplet generator 904

are aligned correctly within housing 906, slit 914 of droplet generator 904 will be submerged in background fluid. A pressure source (not shown) then may be connected to the upper surface of housing 906, and in some cases also to the upper surface of sample well 904, to apply pressure to both the sample-  
5 containing fluid in the sample well and the background fluid in the housing.

Upon application of pressure from a pressure source, the sample-containing fluid and the background fluid will intersect at a droplet generation region defined by the intersection of central channel 912 and slit 914, and sample-containing droplets suspended in the background fluid will be  
10 generated. This emulsion of droplets will then be transported through the lower portion of droplet generator 904, toward a droplet outlet region 922 defined by the distal outlet of the droplet generator. From there, the sample-containing droplets may be collected and/or transported for a subsequent assay step such as thermocycling.

15 Figures 33-34 depict an exemplary multi-sample continuous mode droplet system, generally indicated at 950. Figure 33 shows system 950 in an assembled state, and Figure 34 is an exploded view of a portion of system 950. Droplet generator system 950 includes a droplet generator assembly 952, and a droplet well plate 954 configured to receive sample-containing  
20 droplets that have been generated by the droplet generator assembly.

Droplet generator assembly 952 is similar in some respects to droplet generator system 750 depicted in Figures 26-27. Specifically, droplet generator assembly 952 includes a plurality of sample wells, generally indicated at 956, each with an associated tubular droplet generator 958, an oil  
25 feed connector 960, and a housing base 962, all configured to fit securely within a housing 964. A compression plate 966 is used to compress housing base 962 against housing 964, to form a fluid tight surface at the bottom of the housing. The compression plate may, for example, utilize compression screws (not shown) to compress the housing base against the housing, or it may be  
30 preloaded and then adhered to the housing in any suitable manner. Gasket seals 968 having central apertures 970 are disposed between housing base 962 and compression plate 966, to further prevent leakage from the housing.

Segments of hollow, stainless steel tubing 972 fit within aligned central apertures 970, 974, 976 of the gasket seals, the housing base and the compression plate, respectively, and tubing segments 972 extend partially into housing 964. When system 950 is assembled, droplet generators 978  
5 extend through tubing segments 972, into the interior of housing 964, and into distal apertures 980 of sample wells 956. Sample wells 956 may include Luer tapers 982 configured to fit into a corresponding "female" Luer mating portion of housing 964, to form a substantially leak-free connection between the sample well and the housing. Apertures 980 are disposed at the distal ends of  
10 tapers 982, and are configured to securely receive droplet generators 978. Similarly, oil feed connector 960 also may include a Luer taper 984, configured to fit into a corresponding aperture of housing 964, and thereby to provide a background fluid input channel for oil or some other background fluid to enter the housing.

15 Sample wells 956 also include reservoir portions, generally indicated at 986, configured to receive sample-containing fluid to be used in forming sample-containing droplets. A proximal aperture 988 of each reservoir portion may be configured to receive standardized or proprietary fluid fittings and/or pressure fittings. This may facilitate the transfer of sample-containing fluid to  
20 the sample well, and/or the application of pressure to the sample-containing fluid to cause the formation of sample-containing droplets. Similarly, a proximal aperture 990 of the oil feed connector may be configured to accept standard or proprietary fluid fittings and/or pressure fittings, to facilitate the transfer of pressurized oil or some other background fluid into housing 964.

25 Droplet generators 978 may be similar to any of the previously described droplet generator tubes, such as tubes 200, 220, 240, or 700. More specifically, in this example, droplet generators 978 each take the form of a continuous hollow tube having a slit 992 formed at an intermediate location along the length of the tube. Slits 992 extend far enough into the associated  
30 droplet generator tube to intersect the central channel of the tube. When droplet generators 978 are inserted into tubing segments 972 and pass through the interior of housing 964 and into distal apertures 980 of sample



wells 956, each slit 992 will be exposed to background fluid present in the interior portion of housing 964.

Thus, when sample-containing fluid is transported from each sample well 956 into droplet generator tubes 978, the sample-containing fluid eventually reaches a slit 992, where it encounters pressurized background fluid that has been transported into housing 964 via the background fluid input channel of the housing. Sample-containing droplets suspended in the background fluid are created in the vicinity of the slit, and transported further down the droplet generator, where they eventually reach one of droplet outlet regions defined by the distal end of the associated droplet generator tube.

#### **V. Two-part Mode Examples**

This section provides examples of two-part mode droplet generation systems, in which a first portion of the system contains a sample channel for transporting sample-containing fluid to a droplet generation region, and a second portion of the system contains a droplet channel for transporting sample-containing droplets away from the droplet generation region; see Figures 35-42. A background fluid channel for transporting background fluid to the droplet generation region may be included with either the first or second portions of the system, or may be included in a separate portion. In some cases, the first portion of the system, which comes into direct contact with the sample-containing fluid, may be configured as a disposable component, and the second portion of the system, which does not come into direct contact with the sample-containing fluid, may be configured as a reusable component. Furthermore, the term “two-part” is not meant to be limiting; in some cases, systems according to this mode may use three or more separate components.

Figures 35-38 depict a first example of a two-part droplet generation system, generally indicated at 1000, in accordance with aspects of the present teachings. As depicted in Figure 35, system 1000 includes a substantially planar droplet generator substrate 1002, and a sample container, which in this example takes the form of a pipette tip 1004. Substrate 1002 includes a droplet generation well 1006, and an emulsion well 1008. A droplet channel 1010 formed in the substrate fluidically interconnects the droplet

generation well and the emulsion well. Further details of substrate 1002 and pipette tip 1004 are shown in Figures 36-38.

Figure 36 is a magnified sectional view of an end portion of pipette tip 1004. As Figure 36 depicts, pipette tip 1004 includes a sample well portion 1012, and a sample channel 1014 through which sample-containing fluid may be transported from sample well portion 1012 to droplet generation well 1006 of substrate 1002.

Figure 37 is a magnified sectional view of droplet generation well 1006, and Figure 38 is a top view of droplet generation well 1006. As shown in Figure 38, the droplet generation well includes an upper well portion 1016, and a plus-shaped lower well portion 1018. An emulsion outlet channel 1020, formed in substrate 1002, fluidically interconnects lower well portion 1018 with droplet channel 1010. To seal channels 1010 and 1020, and thus to provide a leak-free fluid channel between droplet generation well 1006 and emulsion well 1008, a sealing member 1026 may be disposed along the bottom surface of substrate 1002. Sealing member 1026 may, for example, take the form of a flexible film that may be adhered to the bottom of the substrate, or it may be a relatively inflexible member that is constructed from a material similar to the material of the substrate itself, such as a thermoplastic material. In the latter case, all or a portion of channel 1010 may be formed in sealing member 1026 rather than in substrate 1002.

To generate an emulsion of sample-containing droplets suspended in background fluid such as oil, sample-containing fluid is loaded into sample well portion 1012 of pipette tip 1004, and background fluid is loaded into droplet generation well 1006. A distal end portion 1022 of pipette tip 1004 is then placed into the droplet generation well, partially within plus-shaped lower well portion 1018. The outer diameter of distal end portion 1022 is small enough to fit within the upper opening of plus-shaped lower well portion 1018, but too large to fit within the lower outlet of the plus-shaped well portion, due to the presence of step-like features 1019. Thus, when inserted fully into droplet generation well 1006, the pipette tip rests on top of step-like features 1019, but oil can pass around the outer periphery of end portion 1022 to reach

outlet 1024 of sample channel 1014 of the pipette tip. Furthermore, step-like features 1019 can be given any desired thickness, to space distal end portion 1022 of the pipette tip any desired distance from emulsion outlet channel 1020.

5           Accordingly, pipette tip 1004 and emulsion outlet channel 1020 form a butted tube type droplet generator, with the gap between pipette tip 1004 and channel 1020 set by the depth of plus-shaped lower well portion 1018. See, e.g., droplet generator 280 of Figure 7 and the accompanying discussion above. To form an emulsion, negative pressure is applied to emulsion well  
10   1008, drawing sample-containing fluid out of pipette tip 1004 and also drawing background fluid out of droplet generation well 1006. Sample-containing droplets are formed as the sample-containing fluid and the background fluid each pass through plus-shaped lower well portion 1018. The resulting emulsion then passes through emulsion outlet channel 1020 and droplet  
15   channel 1010, to reach emulsion well 1008.

          Figures 39-40 depict another example of a two-part droplet generation system, generally indicated at 1050, in accordance with aspects of the present teachings. Figure 39 is an isometric view of system 1050, and Figure 40 is a sectional view taken along the line 40-40 in Figure 39. System 1050  
20   includes an input housing 1052, a droplet generator housing 1054, which also may be referred to as a sample cartridge, and an output housing 1056. Input housing 1052 includes structures such as threaded apertures for receiving a sample pressure source 1058 and a background fluid source 1060; a threaded aperture 1062 for receiving pressure source 1058 can be seen in  
25   Figure 40. A lower aperture 1064 is formed at the bottom surface of input housing 1052, and configured to receive an upper portion of droplet generator housing 1054. Sample reservoir 1066 is configured to be in fluid communication with the distal end portion of sample pressure source 1058.

          Input housing 1052 also includes a background fluid channel, generally  
30   indicated at 1068, which is configured to transport background fluid from background fluid source 1060, through the input housing, and into droplet generator housing 1054. Specifically, background fluid channel 1068 includes

a first sub-channel 1068a configured to transport background fluid from background fluid source 1060 within input housing 1052, to a second sub-channel 1068b configured to transport background fluid from input housing 1052 into sub-channel 1068c of droplet generator housing 1054.

5           Droplet generator housing 1054 includes a background fluid channel 1068c that serves as a continuation of channel 1068b when input housing 1052 is aligned correctly with droplet generator housing 1054. Droplet generator housing 1054 also includes a hollow cylinder 1070, and a lower aperture 1072. In some cases, cylinder 1070 may be integrally formed with  
10   droplet generator housing 1054. In other cases, as depicted in Figure 40, the droplet generator may include a cylindrical bore 1074 configured to receive cylinder 1070. In either case, an axial sample-containing fluid channel 1076 of cylinder 1070 will be placed into contact with the distal end portion of sample reservoir 1066 when system 1050 is assembled.

15           Output housing 1056 includes a stepped cylindrical aperture 1078. Aperture 1078 is configured to receive a lower, outer portion of droplet generator housing 1054, in such a manner that a fluid tight seal is formed between the droplet generator and the output housing. Furthermore, when system 1050 is assembled, sample-containing fluid channel 1076 of cylinder  
20   1070 will be in substantial alignment with a droplet outlet channel 1080 formed in output housing 1056. Accordingly, cylinder 1070 and output housing 1056 form a butted tube style droplet generator, as has been described previously.

          An emulsion of sample-containing droplets suspended in a background  
25   fluid such as oil is generated with system 1050 as follows. Sample is placed in sample reservoir 1066. The system 1050 is assembled. Oil or some other background fluid is supplied via background fluid source 1060, which partially fills a lumen space between lower aperture 1072 and cylinder 1070. A pressure is supplied via pressure source 1058, causing sample to flow to  
30   droplet generation region 1082. Droplets are collected via droplet outlet channel 1080. The sample contacting portions of system 1050, including

hollow cylinder 1070 and housing 1054, are configured to be disposable after creating an emulsion.

Figures 41-42 depict still another example of a two-part mode droplet generation system, generally indicated at 1100. Droplet generation system  
5 1100 includes a droplet generator plate, generally indicated at 1102, and a removable sample module 1104. In some cases, the droplet generator plate will be configured as a reusable component, whereas the sample module will be configured as a disposable component.

Droplet generator plate 1102 includes a substantially planar substrate  
10 1106 having a pair of background fluid channels 1108, 1110 extending from opposite sides of the substrate toward the center of the substrate. More specifically, each background fluid channel includes a respective pair of sub-channels 1108a, 1108b and 1110a, 1110b, where one of the sub-channels on each side is parallel to the planar top and bottom surfaces of substrate 1106,  
15 and the other sub-channel on each side is normal to the planar surfaces of the substrate. Each of the vertical sub-channels 1108b, 1110b is in fluid communication with a horizontal background fluid channel 1112 formed in substrate 1106, which spans the geometric center of the substrate and intersects a vertical droplet outlet channel 1114 that is also formed in the  
20 substrate. Droplet generator plate 1102 further defines a central cylindrical bore 1115, configured to receive a cylindrical sample tube as described below.

Sample module 1104 includes a sample well portion 1116 formed in an upper portion of the sample module, which provides sample-containing fluid to  
25 a vertical sample fluid channel 1118. Sample fluid channel 1118 may be formed in a cylindrical tube 1120 inserted into or integrally formed with the sample module, and which extends a predetermined distance below a bottom surface 1122 of sample module 1104. This distance is determined by the thickness of a plus-shaped spacing feature 1123 of the sample module. Tube  
30 1120 is unable to fit through the aperture defined by plus-shaped feature 1123, and thus stops when it contacts the plus-shaped feature. As a result, sample tube 1120 extends into central cylindrical bore 1115 so that a slight

gap is left between the bottom of tube 1120 and the top of droplet outlet channel 1114, to form a butted tube type droplet generator defined by a droplet generation region, generally indicated at 1124, where background fluid transported by channel 1112 intersects with sample fluid transported by channel 1118.

To form an emulsion of sample-containing droplets with system 1100, sample-containing fluid is placed in sample well 1116, and sample module 1104 is assembled with droplet generator plate 1102. Background fluid is transported into droplet generator plate 1102 from each side, and pressure is applied to the system either in the form of positive pressure to the sample well and the background fluid channels, or negative pressure to the droplet outlet channel. In either case, sample-containing fluid is transported to droplet generation region 1124 through sample fluid channel 1118, and background fluid is transported to droplet generation region 1124 through background fluid channel 1112. Sample-containing droplets suspended in the background fluid are then formed in the droplet generation region, from which they are transported through droplet outlet channel 1114 to a droplet outlet 1126.

#### **VI. Single Hole Mode Examples**

This section describes examples of single hole mode droplet generation systems, which are characterized by the fact that a sample fluid channel and a droplet outlet channel are formed by creating a single channel aperture through successive layers of material. This automatically results in substantially perfect alignment of the sample fluid channel and the droplet outlet channel.

Figures 43-44 depict a first example of a single hole mode droplet generation system, generally indicated at 1150. Droplet generation system 1150 includes a substrate or droplet generator plate 1152, upon which are disposed a sample well 1154 and a background fluid well 1156. The terms "substrate" and "droplet generator plate" may be used interchangeably in the present teachings. The sample well and the background fluid well may be integrally formed with the substrate, for example by injection molding, or in some cases they may be formed separately and then attached to the

substrate. Furthermore, substrate 1152 may be substantially planar, as depicted in Figures 33-34, or it may have any other desired shape, such as a slightly curved cylindrical or spherical shape. Similarly, all of the other substrates described herein as “substantially planar” may take other  
5 alternative forms according to the present teachings.

As best seen in Figure 44, substrate 1152 includes three layers of stacked material 1158, 1160, 1162. These material layers may be bonded together by any suitable method, such as solvent bonding, gluing, or heat sealing, among others. Middle layer 1160 includes a central aperture 1164,  
10 which in this example has an oval shape, but which in general can be given any desired two-dimensional shape, or which may take the form of a substantially linear or non-linear channel. The key feature of central aperture 1164 is that it extends between a region underneath sample well 1154 and a region underneath background fluid well 1156.

Background fluid well 1156 is configured to have an aperture 1166  
15 extending completely through upper material layer 1158, so that background fluid well 1156 will automatically be fluidically connected to central aperture 1164 when material layers 1158, 1160, and 1162 are stacked together. Sample well 1154 is configured to have an aperture 1168 extending partially,  
20 but not completely, through upper material layer 1158. A channel 1170 is formed below aperture 1168, to fluidically interconnect sample well 1154 and central aperture 1164. Channel 1170 may be formed in a single operation, such as a drilling operation, after material layers 1158, 1160, and 1162 are assembled together. Thus, channel 1170 defines a sample channel 1170a  
25 and a droplet channel 1170b, which will necessarily be in substantially perfect alignment with each other. Alternatively, channel 1170a may be formed in a separate operation and then aligned to droplet channel 1170b during assembly.

Central aperture 1164 defines a background fluid channel that  
30 intersects with sample channel 1170a and droplet channel 1170b, to define a droplet generation region generally indicated at 1172. To create an emulsion of sample-containing droplets with system 1150, sample-containing fluid is

placed in sample well 1154, and background fluid is placed in background fluid well 1156. Positive pressure is applied to the upper portions of the sample well and the background fluid well, and/or negative pressure is applied to a droplet outlet region 1174 of the system. Background fluid is then  
5 transported through the background fluid channel defined by central aperture 1164, and sample-containing fluid is transported through sample channel 1170a. These fluids intersect at droplet generation region 1172, to form sample-containing droplets suspended in the background fluid according to previously described principles. The resulting emulsion is transported through  
10 droplet channel 1170b to droplet outlet region 1174, where it may be collected and/or further transported as desired.

Figures 45-47 depict another example of a single hole mode droplet generation system, generally indicated at 1200. System 1200 is similar in some respects to system 1150. Specifically, system 1200 includes a substrate  
15 1202, upon which are disposed a sample well 1204 and a background fluid well 1206. As in the case of system 1150, the sample well and the background fluid well of system 1250 may or may not be integrally formed with the substrate, and the substrate of system 1250 may or may not be substantially planar.

20 In a slight distinction from system 1150, substrate 1202 of system 1200 includes two primary layers of stacked material 1208, 1210, rather than three layers. These material layers again may be bonded together by any suitable method. One of layers 1208, 1210, which in this example is upper layer 1208, includes a pair of circular depressions, 1212a, 1212b, connected by a  
25 background fluid channel 1214. Channel 1214 is shown with a two-dimensional rectangular shape, but can take any desired form, including a linear or non-linear elongate, substantially one-dimensional channel.

Background fluid well 1206 has an aperture 1216 extending through upper material layer 1208, to fluidically connect background fluid well 1206  
30 with circular depression 1212a and thus with background fluid channel 1214. Similarly, sample well 1204 has an aperture 1218 extending through upper material layer 1208. Furthermore, a complementary droplet outlet channel



1219 extends through lower material layer 1210. Sandwiched between material layers 1208 and 1210, and disposed within circular depression 1212a, is a disk stack generally indicated at 1220. Disk stack 1220 includes three disks 1220a, 1220b, 1220c, stacked together and connected by any  
5 suitable method such as fusion welding or gluing.

When system 1200 is assembled, disk stack 1220 defines a droplet generation region as follows. Upper disk 1220a includes a sample inlet hole 1222 configured to transport sample-containing fluid from aperture 1218a through disk 1220a. Middle disk 1220b includes a background fluid inlet  
10 portion 1224 configured to fluidically interconnect with background fluid channel 1214, and a droplet generation region 1226 where sample-containing fluid emitted by sample inlet hole 1222 intersects with background fluid transported through background fluid inlet 1224, to form sample-containing droplets suspended in background fluid. Lower disk 1220c includes a droplet  
15 outlet hole 1228, which is aligned with sample inlet hole 1222.

In some cases, sample inlet hole 1222 and droplet outlet hole 1228 may be formed in a single operation such as by drilling the holes after disk stack 1220 is assembled, in which case the holes will have the same size and will automatically be substantially perfectly aligned. In other cases, however, it  
20 may be desirable to give the sample inlet hole and the droplet outlet hole different diameters and/or geometries, for example to control the rate of droplet formation by system 1200. In these cases, sample inlet hole 1222 and droplet outlet hole 1228 may be formed separately, before disk stack 1220 is assembled, and then aligned with each other prior to assembly of the disk  
25 stack.

Figures 48-50 depict stylized sectional views of additional examples that may be characterized as single hole mode droplet generations systems. As described in more detail below, each of these systems includes a plurality of wells and channels that may be integrally formed as a single component or  
30 as a pair of components that may be quickly and easily joined together, with a sample fluid channel and a droplet outlet channel that may be formed in a single operation.

Figure 48 is a stylized sectional view of a first example of the type of system described in the previous paragraph. Specifically, Figure 48 depicts a droplet generation system, generally indicated at 1250, formed from two sections of material 1252 and 1254. Each of sections 1252, 1254 may be injection molded, and configured to snap or otherwise fit together in desired alignment. Alternatively, a similar exemplary system may be formed from a single piece of material, for example by injection molding, and then suitably processed to become a functional droplet generation system.

In any case, assembled system 1250 includes a sample well 1256, a background fluid well 1258, and a droplet well 1260. As Figure 48 shows, sample well 1256 is formed in material section 1252, whereas background fluid well 1258 and droplet well 1260 are partially formed by each of sections 1252 and 1254. Material section 1252 also defines a sample-containing fluid channel 1262, which may be formed in section 1252, for example, by drilling or laser scribing. If materials sections 1252 and 1254 are joined together before fluid channel 1262 is formed, the same formation operation also may be used to form a first droplet channel segment 1264a, if that channel is not formed by the natural interface of sections 1252 and 1254.

Integration of sections 1252 and 1254 also results in the formation of a background fluid outlet aperture 1266, a background fluid channel 1268, a droplet generation region generally indicated at 1270, a second droplet channel segment 1264b, and a droplet outlet aperture 1272. All of the described channels collectively form an integrated network of channels configured to fluidically interconnect the sample well, the background fluid well, and the droplet well, and to define droplet generation region 1270. Accordingly, when positive pressure is applied to sample well 1256 and background fluid well 1258, and/or negative pressure is applied to droplet well 1260, sample-containing fluid and background fluid will each travel to droplet generation region 1270, where sample-containing droplets suspended in background fluid will be generated. The resulting emulsion will then travel to, and be collected in, droplet well 1260.

Figure 49 shows a stylized sectional view of another example that can be characterized as a single hole droplet generation system, generally indicated at 1300. System 1300 is similar in many respects to system 1250. As in the previous example, system 1300 includes two material sections 1302  
5 and 1304, which collectively define a sample well 1306, a background fluid well 1308, a droplet well 1310, a sample-containing fluid channel 1312, a droplet channel 1314, a background fluid outlet aperture 1316, a background fluid channel 1318, and a droplet generation region generally indicated at 1320. In system 1300, however, droplet well 1310 is disposed under the  
10 sample well and the background fluid well (in the orientation of Fig. 49), and therefore may be characterized as a catch well. Accordingly, system 1300 may be operated in conjunction with a centrifuge, to cause fluid transfer and droplet generation by the inertial forces associated with spinning the system.

Figure 50 shows a stylized sectional view of still another example that  
15 can be characterized as a single hole droplet generation system, generally indicated at 1350. System 1350 is similar in many respects to systems 1250 and 1300. As in the previous examples, system 1350 includes two material sections 1352 and 1354, which collectively define a sample well 1356, a background fluid well 1358, a droplet well 1360, a sample-containing fluid  
20 channel 1362, a droplet channel 1364, a background fluid outlet aperture 1366, a background fluid channel 1368, and a droplet generation region generally indicated at 1370.

System 1350, however, is configured so that sample-containing fluid and background fluid are respectively placed in sample well 1356 and  
25 background fluid well 1358 while the system is inverted relative to the orientation depicted in Figure 50, and before material section 1354 is integrated with section 1352. After material section 1354 is positioned to cover the sample well and the background fluid well, system 1350 then may be inverted to the orientation of Figure 50, at which point compressing material  
30 sections 1352 and 1354 together will cause pressure within sample well 1356 and background fluid well 1358, and thus cause droplets to be generated and transported into droplet well 1360.

## VII. Exemplary Methods of Operation

This section describes exemplary methods of operating droplet generation systems, including at least some of the systems described above, according to aspects of the present teachings; see Figures 51-52.

5        Figure 51 is a flowchart depicting an exemplary method, generally indicated at 1400, of generating sample-containing droplets suspended in a background fluid according to aspects of the present teachings. Method 1400 may be generally suitable for use with various droplet generation systems described according to the present teachings, at least including any of the  
10        systems shown in Figures 8-24 and described in the accompanying text above.

At step 1402, sample-containing fluid is transported into a sample well attached to a substrate. At step 1404, background fluid is transported into a background fluid well attached to the substrate. At step 1406, sample-  
15        containing fluid is transported through a first channel formed in the substrate, from the sample well to a droplet generation region. At step 1408, background fluid is transported through a second channel formed in the substrate, from the background fluid well to the droplet generation region. At step 1410, sample-containing droplets suspended in the background fluid are generated  
20        at the droplet generation region. At step 1412, the sample-containing droplets are transported through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region attached to the substrate.

Method 1400 may include more detailed steps than the basic steps described so far. For example, transporting the sample-containing fluid  
25        through the first channel may include transporting the sample-containing fluid through an air trap region configured to prevent inadvertent transport of the sample-containing fluid to the droplet generation region. In addition, transporting background fluid through the second channel may include transporting the background fluid through two background fluid sub-channels  
30        that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel. Furthermore, generating sample-containing droplets may include generating

droplets having volumes in the range of 0.1 nanoliters to 10 nanoliters. Any other details consistent with the disclosed droplet generation systems may be used in the steps of method 1400.

Aside from more details in the steps of method 1400, various additional  
5 steps may be performed. For example, method 1400 may include, as generally indicated at step 1409, applying negative pressure to the droplet well and/or applying positive pressure to one or more of the sample well and the background fluid well, to cause transport of the fluids through the various channels and thus to cause droplet generation. As has been previously  
10 described, pressure may be applied by any suitable means, including at least pressure-controlled pumping, vacuum-controlled pumping, centrifugation, gravity-driven flow, and positive displacement pumping.

Figure 52 is a flow chart depicting another method, generally indicated at 1450, for generating sample-containing droplets suspended in a  
15 background fluid according to aspects of the present teachings. As described below, method 1450 includes the step of integrating at least two components of a droplet generation system with each other, and thus may be suitable for use with any of the systems described previously that include two or more separate components. At step 1452, sample-containing fluid is transported  
20 into a sample well. At step 1454, background fluid is transported into a background fluid well.

At step 1456, at least one of the sample well or the background fluid well is integrated with a droplet generator housing, which may in some cases take the form of a substrate. At step 1458, sample-containing fluid is  
25 transported through a first channel formed in the housing, from the sample well to a droplet generation region. At step 1460, background fluid is transported through a second channel formed in the housing, from the background fluid well to the droplet generation region. At step 1462, sample-containing droplets suspended in the background fluid are generated at the  
30 droplet generation region. At step 1464, the sample-containing droplets are transported through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region attached to the substrate.

As in the case of method 1400, method 1450 may include more detailed steps than the basic steps described above. For example, transporting the sample-containing fluid through the first channel may include transporting the sample-containing fluid through an air trap region configured to prevent inadvertent transport of the sample-containing fluid to the droplet generation region, transporting background fluid through the second channel may include transporting the background fluid through two background fluid sub-channels that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel, and generating sample-containing droplets may include generating droplets having volumes in the range of 0.1 nanoliters to 10 nanoliters. Any other details consistent with the disclosed droplet generation systems may be used in the steps of method 1450.

Also as in the case of method 1400, various additional steps of method 1450 may be performed. For example, as generally indicated at step 1461, method 1450 may include applying negative pressure to the droplet well and/or applying positive pressure to one or more of the sample well and the background fluid well, to cause transport of the fluids through the various channels and thus to cause droplet generation. As has been previously described, pressure may be applied by any suitable means, including at least pressure-controlled pumping, vacuum-controlled pumping, centrifugation, gravity-driven flow, and positive displacement pumping.

#### **VIII. Exemplary Numbered Paragraphs**

This section describes additional aspects and features of droplet generation for droplet-based assays, presented without limitation as a series of numbered paragraphs.

##### **Prototype (Two-Piece) Planar Mode**

1. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising (A) a substrate having a top surface and a bottom surface; (B) a sample port formed in the top surface of the substrate; (C) a background fluid port formed in the top surface of the substrate; (D) a droplet outlet port formed in the top surface of the substrate;

(E) a network of channels formed in the bottom surface of the substrate and configured to fluidically interconnect the sample port, the background fluid port, and the droplet outlet port; (F) a droplet generation region defined by the network of channels and configured to generate sample-containing droplets suspended in the background fluid; and (G) a well vessel including a sample well configured to make a substantially fluid tight connection with the sample port, a background fluid well configured to make a substantially fluid tight connection with the background fluid port, and a droplet outlet well configured to make a substantially fluid tight connection with the droplet outlet port; wherein the droplet generation region is defined by the intersection of at least a first channel, a second channel, and a third channel, and wherein the first channel is configured to transport sample-containing fluid from the sample port to the droplet generation region, the second channel is configured to transport background fluid from the background fluid port to the droplet generation region, and the third channel is configured to transport sample-containing droplets from the droplet generation region to the droplet outlet port.

#### Continuous Mode

2. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising (A) a sample well; (B) a droplet generator configured to receive sample-containing fluid from the sample well; (C) a droplet outlet region configured to receive sample-containing droplets from the droplet generator; and (D) a housing configured to selectively receive the droplet generator, the housing including a background fluid input channel configured to provide background fluid to the droplet generator from a background fluid source; wherein the droplet generator is configured to generate sample-containing droplets suspended in the background fluid, and to direct the droplets toward the droplet outlet region.

3. The system of paragraph 2, wherein at least one of the droplet generator and the droplet outlet region are integrally formed with the sample well.

Two-Part Mode

4. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising (A) a sample well; (B) a sample channel configured to transport sample-containing fluid from the sample well  
5 to a droplet generation region; (C) a housing configured to selectively receive the sample channel; (D) a background fluid channel integrally formed with the housing and configured to transport background fluid from a background fluid source to the droplet generation region; and (E) a droplet channel integrally formed with the housing and configured to transport sample-containing  
10 droplets from the droplet generation region to a droplet outlet; wherein the droplet generation region is disposed within the housing and is defined by a region of intersection of the sample channel, the background fluid channel, and the droplet channel.

5. The system of paragraph 4, wherein the sample channel is  
15 integrally formed with the sample well.

Single-Hole Mode

6. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising (A) a droplet generator plate; (B) a sample well attached to the droplet generator plate; (C) a background fluid  
20 well attached to the droplet generator plate; (D) a droplet generation region formed within the droplet generator plate; (E) a background fluid channel formed within the droplet generator plate and configured to transport background fluid from the background fluid well to the droplet generation region; (F) a sample channel configured to transport sample-containing fluid  
25 from the sample well to the droplet generation region; and (G) a droplet outlet channel configured to transport sample-containing droplets from the droplet generation region to a droplet outlet formed in the droplet generator plate; wherein the sample inlet channel and the droplet outlet channel are integrally formed from a pair of aligned apertures which are separated by the  
30 background fluid channel.

7. The system of paragraph 6, wherein the sample inlet channel and the droplet outlet channel are each formed in the droplet generator plate.



8. The system of paragraph 7, wherein the sample inlet channel and the droplet outlet channel are integrally formed by a single drilling operation that passes through two rigidly attached planar surfaces of the droplet generator plate.

5 9. The system of paragraph 6, wherein the sample inlet channel and the droplet outlet channel are each formed in an insertable droplet generator member configured to be disposed within the droplet generator plate.

10 10. The system of paragraph 9, wherein the sample inlet channel and the droplet outlet channel are integrally formed by a single drilling operation that passes through two rigidly attached planar surfaces of the droplet generator member.

15 11. The system of paragraph 6, wherein at least one of the sample well and the background fluid well are integrally formed with the droplet generator plate.

The disclosure set forth above may encompass multiple distinct inventions with independent utility. Although each of these inventions has been disclosed in its preferred form(s), the specific embodiments thereof as disclosed and illustrated herein are not to be considered in a limiting sense, because numerous variations are possible. The subject matter of the inventions includes all novel and nonobvious combinations and subcombinations of the various elements, features, functions, and/or properties disclosed herein. The following claims particularly point out certain combinations and subcombinations regarded as novel and nonobvious.

20 25 Inventions embodied in other combinations and subcombinations of features, functions, elements, and/or properties may be claimed in applications claiming priority from this or a related application. Such claims, whether directed to a different invention or to the same invention, and whether broader, narrower, equal, or different in scope to the original claims, also are regarded as included within the subject matter of the inventions of the present disclosure.

30

**EMBODIMENTS IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:**

1. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising:

a substantially planar substrate having a top surface and a bottom surface;

a sample well, a background fluid well, and a droplet outlet region selected from the group consisting of a pipette tip and a droplet well;

a network of channels formed in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region;

a droplet generation region defined by the network of channels and configured to generate sample-containing droplets suspended in the background fluid; and

a substantially planar sealing member forming a substantially fluid tight seal with the bottom surface of the substrate to make the network of channels fluid tight;

wherein the droplet generation region is defined by the intersection of a first channel, a second channel, and a third channel,

wherein the first channel is configured to transport sample-containing fluid from the sample well to the droplet generation region, the second channel is configured to transport background fluid from the background fluid well to the droplet generation region, and the third channel is configured to transport sample-containing droplets from the droplet generation region to the droplet outlet region,

wherein the sample well and the background fluid well each comprise a well protrusion projecting from the top surface of the substrate, and

wherein the sample well, the background fluid well, and the droplet outlet region have been integrally formed with the substrate by injection molding.

2. The system of claim 1, wherein the droplet outlet region is a droplet well.

3. The system of claim 1, wherein the droplet outlet region is a pipette tip.

4. The system of any one of claims 1 to 3 wherein the first channel includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action.

5. The system of any one of claims 1 to 4, wherein the second channel includes two background fluid sub-channels that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel.

6. The system of claim 5, wherein the two background fluid sub-channels have substantially equal hydraulic resistances.

7. The system of any one of claims 1 to 6, wherein the droplet generation region is configured to generate sample-containing droplets with volumes in the range of 0.1 nanoliters to 10 nanoliters.

8. A method of generating sample-containing droplets suspended in a background fluid, the method comprising:

transporting sample-containing fluid into a sample well attached to a substantially planar substrate having a top surface and a bottom surface;

transporting background fluid into a background fluid well attached to the substrate;

transporting sample-containing fluid through a first channel formed in the substrate, from the sample well to a droplet generation region;

transporting background fluid through a second channel formed in the substrate, from the background fluid well to the droplet generation region;

generating sample-containing droplets suspended in the background fluid at the droplet generation region; and

transporting the sample-containing droplets through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region;

wherein the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding,

wherein each of the sample well and the background fluid well includes a respective well protrusion projecting from the top surface of the substrate,

wherein each of the first, second, and third channels is formed in the bottom surface of the substrate,

wherein a substantially planar sealing member forms a substantially fluid tight seal with the bottom surface of the substrate to make each of the first, second, and third channels fluid tight, and

wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well.

9. The method of claim 8, wherein the droplet outlet region is a droplet well.

10. The method of claim 8, wherein the droplet outlet region is a pipette tip.

11. The method of any one of claim 8 to 10, wherein transporting sample-containing fluid through the first channel includes transporting the sample-containing fluid through an air trap region configured to prevent inadvertent transport of the sample-containing fluid to the droplet generation region.

12. The method of any one of claims 8 to 11, wherein transporting background fluid through the second channel includes transporting the background fluid through two background fluid sub-channels that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel.

13. The method of claim 12, wherein the two background fluid sub-channels are configured to have substantially the same hydraulic resistance.

14. The method of any one of claims 8 to 12, wherein generating sample-containing droplets includes generating droplets having volumes in the range of 0.1 nanoliters to 10 nanoliters.

15. A method of manufacturing a droplet generation system, the method comprising:

- (i) forming a substantially planar substrate having a top surface and a bottom surface;

- (ii) forming a sample well;

- (iii) forming a background fluid well;

- (iv) forming a droplet outlet region;

- (v) forming a network of channels in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region;

- (vi) forming a droplet generation region defined by the intersection of a first channel fluidically connected with the sample well, a second channel fluidically connected with the background fluid well, and a third channel fluidically connected with the droplet outlet region; and

- (vii) attaching a substantially planar sealing member to the bottom surface of the substrate to form a substantially fluid tight seal with the bottom surface and make the network of channels fluid tight;

wherein the substrate, the network of channels, the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding,

wherein each of the sample well and the background fluid well includes a respective well protrusion projecting from the top surface of the substrate, and

wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well.

16. A device for generating droplets, comprising:

a body injection-molded as a single piece and including a bottom surface and a plurality of protrusions located opposite the bottom surface, each protrusion including an annular wall that tapers in thickness in a direction away from the bottom surface, a channel network being formed in the bottom surface and including a first channel, a second channel, and a third channel each arranged parallel to the bottom surface and meeting one another in a droplet-generation region;

a plurality of wells including a carrier well configured to supply carrier fluid to the droplet-generation region via the first channel, a sample well configured to supply sample-containing fluid to the droplet-generation region via the second channel, and a droplet well configured to receive sample-containing droplets in carrier fluid from the third channel, wherein each well of the plurality of wells has an upper portion created by one of the protrusions; and

a sealing member attached to the bottom surface of the body in a fluid-tight seal, and providing a bottom wall for each channel.

17. The device of claim 16, wherein the plurality of wells includes a row of carrier wells, a row of sample wells, and a row of droplet wells, and wherein the sealing member provides a floor for each well of the plurality of wells.

18. The device of claim 17, wherein each row has exactly eight wells.

19. The device of claim 17 or 18, wherein each carrier well is disposed on a same line as one of the sample wells and one of the droplet wells.

20. The device of any one of claims 17 to 19, wherein the row of sample wells is located intermediate the row of carrier wells and the row of droplet wells.

21. The device of any one of claims 16 to 20, wherein the sealing member is a substantially featureless film.

22. The device of any one of claims 16 to 21, wherein the device comprises another well that is fluidically connected to the droplet well via the channel network.

23. The device of any one of claims 16 to 22, wherein the upper portion of at least one well of the plurality of wells forms a rim having a non-circular outer perimeter.

24. A method of generating droplets with the device of any one of claims 16 to 23, the method comprising:

placing a discrete volume of carrier fluid into the carrier well, and a discrete volume of sample-containing fluid into the sample well; and

creating a pressure differential after the step of placing to drive generation of sample-containing droplets in the droplet-generation region and travel of the sample-containing droplets to the droplet well.

25. A device for generating droplets, comprising:

a substantially planar substrate having a top surface opposite a bottom surface;  
a plurality of protrusions injection-molded along with the substrate and projecting upwardly from the top surface of the substrate;

an array of wells including a row of carrier wells configured to supply carrier fluid, a row of sample wells configured to supply sample-containing fluid, and a row of droplet wells configured to collect sample-containing droplets disposed in carrier fluid, wherein each well of the array of wells has an upper portion created by one of the protrusions, and wherein the carrier wells are larger than the sample wells and smaller than the droplet wells;

a plurality of separate channel networks formed in the bottom surface of the substrate, each channel network interconnecting one of the carrier wells, one of the

sample wells, and one of the droplet wells, and including a first channel, a second channel, and a third channel that meet one another in a droplet-generation region; and a sealing member attached to the bottom surface of the substrate in a fluid-tight seal, the sealing member providing a floor for each well and a bottom wall for each channel.

26. The device of claim 25, wherein each row has exactly eight wells.

27. The device of claim 25 or 26, wherein each well includes an annular wall that tapers in thickness in a direction away from the substrate.

28. The device of any one of claims 25 to 27, wherein each sample well has an inside diameter that increases smoothly in a direction away from the substrate.

29. The device of any one of claims 25 to 28, wherein each sample well has an outside diameter that decreases in a direction away from the substrate.

30. The device of any one of claims 25 to 29, wherein the row of sample wells is located intermediate the row of carrier wells and the row of droplet wells.

31. The device of any one of claims 25 to 30, wherein the sealing member is a substantially featureless film.

32. The device of any one of claims 25 to 31, wherein the sealing member is thinner than the substrate.

33. The device of any one of claims 25 to 32, wherein the one carrier well, the one sample well, and the one droplet well interconnected by the channel network are arranged on a same line.



34. The device of claim 33, wherein the line is orthogonal to each of the rows.

35. The device of any one of claims 25 to 34, wherein a pair of channels of the channel network connect the one carrier well to the droplet-generation region and extend separately to the droplet-generation region.

36. The device of any one of claims 25 to 35, wherein each protrusion has a wall thickness of 0.04 to 0.4 inches.

37. The device of any one of claims 25 to 36, wherein each droplet well has a rim formed at a top of the droplet well by one of the protrusions.

38. The device of claim 37, wherein the rim has a non-circular outer perimeter.

39. A method of generating droplets with the device of any one of claims 25 to 38, the method comprising:

placing a discrete volume of carrier fluid into each carrier well, and a discrete volume of sample-containing fluid into each sample well; and

creating a pressure differential after the step of placing, to drive generation of sample-containing droplets in each droplet-generation region and collection of sample-containing droplets in each droplet well.

40. A device for generating droplets, comprising:

a body injection-molded as a single piece and including a substrate having a bottom surface, the body also including a plurality of annular protrusions projecting from the substrate opposite the bottom surface, a channel network being formed in the bottom surface and including a first channel, a second channel, and a third channel each arranged parallel to the bottom surface;

a plurality of wells including a carrier well configured to supply carrier fluid, a sample well configured to supply sample-containing fluid, and a droplet well configured to collect sample-containing droplets disposed in carrier fluid, wherein each well has an upper portion created by one of the protrusions; and

a sealing member attached to the bottom surface of the substrate in a fluid-tight seal, the sealing member providing a bottom wall for each channel;

wherein the first channel, the second channel, and the third channel meet one another in a droplet-generation region, and wherein the carrier well is fluidically connected to the droplet-generation region via the first channel, the sample well is fluidically connected to the droplet-generation region via the second channel, and the droplet well is fluidically connected to the droplet-generation region via the third channel.

41. A device for generating droplets, the device comprising:

a body including a substrate and a plurality of protrusions, the substrate having a bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region, the plurality of protrusions being formed integrally with the substrate and projecting from a top side of the substrate; and

a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network;

wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel;

wherein the device includes a sample well configured to receive sample-containing fluid that flows to the droplet-generation region via the second channel;

wherein the device includes a droplet well configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet well via the third channel; and

wherein each of the sample well and the droplet well has an upper portion created by a protrusion of the plurality of protrusions.

42. The device of claim 41, wherein the carrier port is provided by a carrier well.

43. The device of claim 42, wherein the carrier well has an upper portion created by a protrusion of the plurality of protrusions.

44. The device of any one of claims 41 to 43, wherein the body is an injection-molded, one-piece body.

45. The device of any one of claims 41 to 44, wherein the sealing member forms a bottom wall of the sample well and the droplet well.

46. The device of any one of claims 41 to 45, wherein the sealing member is a substantially featureless film.

47. The device of any one of claims 41 to 46, wherein the device includes another well that is in fluid communication with the droplet well via the channel network.

48. The device of any one of claims 41 to 47, wherein the upper portion of the droplet well has a top rim.

49. The device of any one of claims 41 to 48, wherein the second channel includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the second channel by capillary action.

50. The device of any one of claims 41 to 49, wherein the first channel includes two sub-channels that intersect the second channel from two different

directions to form a cross-shaped intersection region with the second channel and the third channel.

51. The device of claim 50, wherein the two sub-channels have substantially equal hydraulic resistances.

52. The device of any one of claims 41 to 51, further comprising a plurality of wells including the sample well and the droplet well, wherein an upper portion of each well of the plurality of wells is formed by a protrusion of the plurality of protrusions, and wherein the plurality of wells includes a row of sample wells and a row of droplet wells.

53. The device of claim 52, wherein a plurality of droplet-generation regions are defined by the bottom side of the substrate, wherein each sample well of the row of sample wells is configured to supply sample-containing fluid to a different droplet-generation region of the plurality of droplet-generation regions, and wherein each droplet well of the row of droplet wells is configured to receive droplets and carrier fluid from a different droplet-generation region of the plurality of droplet-generation regions.

54. The device of claim 52 or 53, wherein each row has exactly eight wells.

55. The device of any one of claims 52 to 54, wherein the sealing member provides a bottom wall for each well of the plurality of wells.

56. A method of generating droplets with the device of any one of claims 41 to 55, the method comprising:

placing sample-containing fluid into the sample well; and

creating a pressure differential after the step of placing to drive generation of sample-containing droplets at the droplet-generation region and travel of the sample-containing droplets and carrier fluid to the droplet well.

57. A device for generating droplets, the device comprising:

a substrate having a bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region; and

a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network;

wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel,

wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation region via the second channel,

wherein the device includes a droplet outlet region configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel,

wherein the sample well has a lower portion formed by the substrate,

wherein the second channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the second channel by capillary action, and

wherein in the air trap the cross-section of the second channel abruptly increases in one or more dimensions.

58. The device of claim 57, further comprising a plurality of protrusions projecting from a top side of the substrate, wherein the sample well has an upper portion formed by a protrusion of the plurality of protrusions.

59. The device of claim 58, wherein each protrusion of the plurality of protrusions creates an upper portion of a well.

60. The device of claim 58 or 59, wherein each protrusion of the plurality of protrusions is formed integrally with the substrate.

61. The device of any one of claims 57 to 60, wherein (i) the carrier port includes a carrier well and/or (ii) the droplet outlet region includes a droplet well.

62. A device for generating droplets, the device comprising:  
a substrate having a top side and a bottom side, the bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region;  
a plurality of protrusions projecting from the top side of the substrate; and  
a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network;  
wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel,  
wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation region via the second channel,  
wherein the device includes a droplet outlet region selected from a droplet well and a pipette tip, the droplet outlet region being configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel,  
wherein the sample well has an upper portion created by a protrusion of the plurality of protrusions,  
wherein the second channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the second channel by capillary action, and  
wherein in the air trap the cross-section of the second channel abruptly increases in one or more dimensions.

63. The device of claim 62, wherein the carrier port includes a carrier well.

64. The device of claim 63, wherein the carrier well has an upper portion created by a protrusion of the plurality of protrusions.

65. The device of any one of claims 62 to 64, wherein each protrusion of the plurality of protrusions is formed integrally with the substrate.

66. The device of any one of claims 62 to 65, wherein the droplet outlet region includes a droplet well.

67. The device of claim 66, wherein the droplet well has an upper portion created by a protrusion of the plurality of protrusions.

68. The device of any one of claims 62 to 67, wherein the device includes another well that is in fluid communication with the droplet well via the channel network.

69. The device of any one of claims 62 to 68, wherein the first channel includes two sub-channels that intersect the second channel from two different directions to form a cross-shaped intersection region with the second channel and the third channel.

70. The device of any one of claims 62 to 69, further comprising a plurality of wells including the sample well, wherein an upper portion of each well of the plurality of wells is created by a protrusion of the plurality of protrusions, and wherein the plurality of wells includes a row of sample wells.

71. The device of claim 70, wherein a plurality of droplet-generation regions are defined by the bottom side of the substrate, and wherein each sample well of the row of sample wells is configured to supply sample-containing fluid to a different droplet-generation region of the plurality of droplet-generation regions.

72. The device of claim 70 or 71, wherein the sealing member provides a bottom wall for each well of the plurality of wells.

73. A method of generating droplets with the device of any one of claims 57 to 72, the method comprising:

placing sample-containing fluid into the sample well; and

creating a pressure differential after the step of placing to drive generation of sample-containing droplets at the droplet-generation region and travel of the sample-containing droplets and carrier fluid to the droplet outlet region.

74. A device for generating droplets, the device comprising:

a body including a substrate and a plurality of protrusions, the substrate having a bottom side defining a channel network including a first channel, a second channel, and a third channel that meet one another at a droplet-generation region, the plurality of protrusions being formed integrally with the substrate and projecting from a top side of the substrate; and

a sealing member attached to the bottom side of the substrate in a fluid-tight seal and providing a bottom wall for each channel of the channel network;

wherein the device includes a carrier port configured to receive carrier fluid that flows from the carrier port to the droplet-generation region via the first channel,

wherein the device includes a sample well configured to receive sample-containing fluid that flows from the sample well to the droplet-generation region via the second channel, and

wherein the device includes a droplet outlet region configured to receive sample-containing droplets and carrier fluid that flow from the droplet-generation region to the droplet outlet region via the third channel.

75. The device of claim 74, wherein the second channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being



inadvertently drawn through the second channel by capillary action, and wherein in the air trap the cross-section of the second channel abruptly increases in one or more dimensions.

76. The device of claim 74 or 75, wherein (i) the carrier port includes a carrier well and/or (ii) the droplet outlet region includes a droplet well.

77. A system for forming a plurality of sample-containing droplets suspended in a background fluid, comprising:

- a planar substrate having a top surface and a bottom surface;

- a sample well, a background fluid well, and a droplet outlet region selected from the group consisting of a pipette tip and a droplet well,

- a network of channels formed in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region;

- a droplet generation region defined by the network of channels and configured to generate sample-containing droplets suspended in the background fluid; and

- a planar sealing member forming a substantially fluid tight seal with the bottom surface of the substrate to make the network of channels fluid tight;

wherein the droplet generation region is defined by the intersection of a first channel, a second channel, and a third channel; wherein the first channel is configured to transport sample-containing fluid from the sample well to the droplet generation region, the second channel is configured to transport background fluid from the background fluid well to the droplet generation region, and the third channel is configured to transport sample-containing droplets from the droplet generation region to the droplet outlet region; and

wherein the sample well, the background fluid well and the droplet outlet region each comprise a well protrusion from the top surface of the substrate; and the sample well, the background fluid well, and the droplet outlet region have been integrally formed with the substrate by injection molding; and in that the first channel has a

cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions.

78. The system of claim 77, wherein the droplet outlet region is a droplet well.

79. The system of claim 77, wherein the droplet outlet region is a pipette tip.

80. The system of any one of claims 77 to 79, wherein the second channel includes two background fluid sub-channels that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel, and wherein the two background fluid sub-channels have substantially equal hydraulic resistances.

81. The system of any one of claims 77 to 80, wherein the droplet generation region is configured to generate sample-containing droplets with volumes in the range of 0.1 nanoliters to 10 nanoliters.

82. The system of any one of claims 77 to 81, wherein the air trap comprises three sections where each section includes at least one bent angle.

83. The system of any one of claims 77 to 82, wherein the air trap comprises three sections where the sections are separated from each other by a pair of neck regions.

84. A method of generating sample-containing droplets suspended in a background fluid, comprising:

transporting sample-containing fluid into a sample well attached to a planar substrate having a top surface and a bottom surface;

transporting background fluid into a background fluid well attached to the substrate;

transporting sample-containing fluid through a first channel formed in the substrate, from the sample well to a droplet generation region;

transporting background fluid through a second channel formed in the substrate, from the background fluid well to the droplet generation region;

generating sample-containing droplets suspended in the background fluid at the droplet generation region; and

transporting the sample-containing droplets through a third channel formed in the substrate, from the droplet generation region to a droplet outlet region integrally attached to the substrate;

wherein the sample well, the background fluid well, and the droplet outlet region are integrally formed with the substrate by injection molding, wherein each of the sample well, the droplet outlet region and the background fluid well includes a respective well protrusion from the top surface of the substrate, wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well, wherein each of the first, second, and third channels is formed in the bottom surface of the substrate, and wherein a planar sealing member forms a substantially fluid tight seal with the bottom surface of the substrate to make each of the first, second, and third channels fluid tight;

and wherein the first channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions.

85. The method of claim 84, wherein the droplet outlet region is a droplet well.

86. The method of claim 84, wherein the droplet outlet region is a pipette tip.

87. The method of any one of claims 84 to 86, wherein transporting sample-containing fluid through the first channel includes transporting the sample-containing fluid through an air trap region configured to prevent inadvertent transport of the sample-containing fluid to the droplet generation region.

88. The method of any one of claims 84 to 87, wherein transporting background fluid through the second channel includes transporting the background fluid through two background fluid sub-channels that intersect the first channel from two different directions to form a cross-shaped intersection region with the first channel and the third channel.

89. A method of manufacturing a droplet generation system, comprising:

- (i) forming a planar substrate having a top surface and a bottom surface;
- (ii) forming a sample well;
- (iii) forming a background fluid well;
- (iv) forming a droplet outlet region;
- (v) forming a network of channels in the bottom surface of the substrate and fluidically interconnecting the sample well, the background fluid well, and the droplet outlet region;

(vi) forming a droplet generation region defined by the intersection of a first channel fluidically connected with the sample well, a second channel fluidically connected with the background fluid well, and a third channel fluidically connected with the droplet outlet region; and

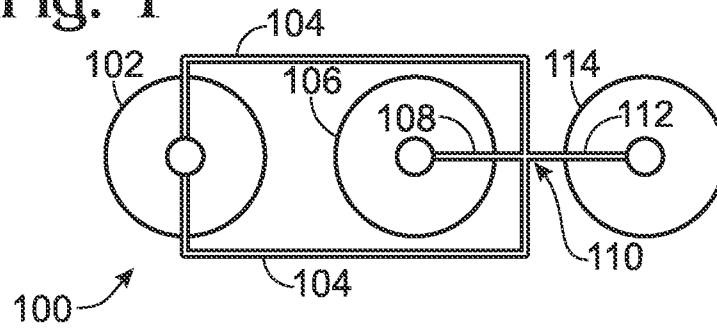
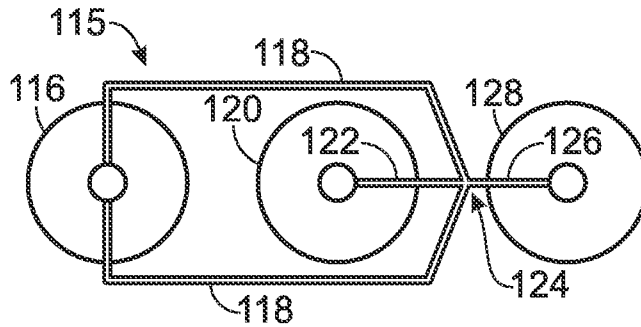
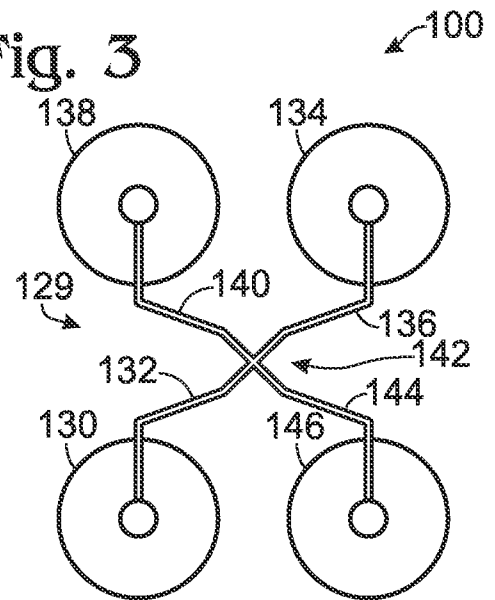
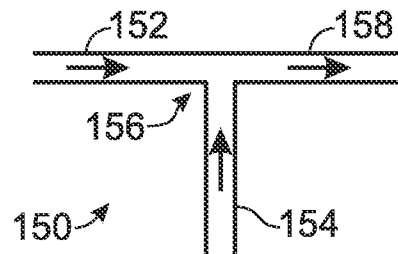
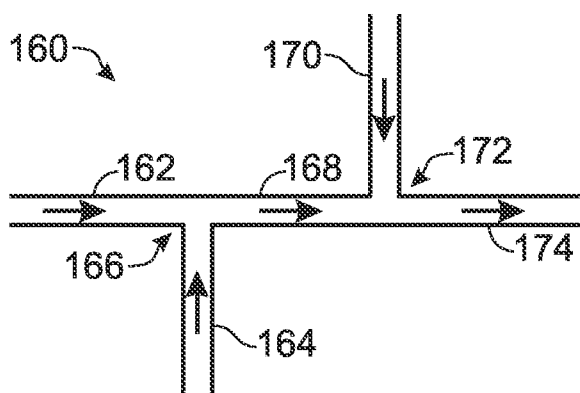
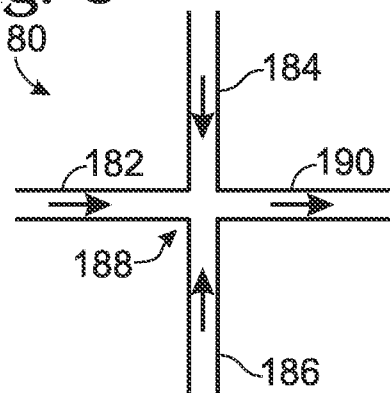
(vii) attaching a planar sealing member to the bottom surface of the substrate to form a substantially fluid tight seal with the bottom surface and make the network of channels fluid tight;

wherein the substrate, the network of channels, the sample well, the background fluid well, and the droplet outlet region are integrally formed with the

substrate by injection molding, wherein each of the sample well, the droplet outlet region and the background fluid well includes a respective well protrusion from the top surface of the substrate, and wherein the droplet outlet region is selected from the group consisting of a pipette tip and a droplet well; and

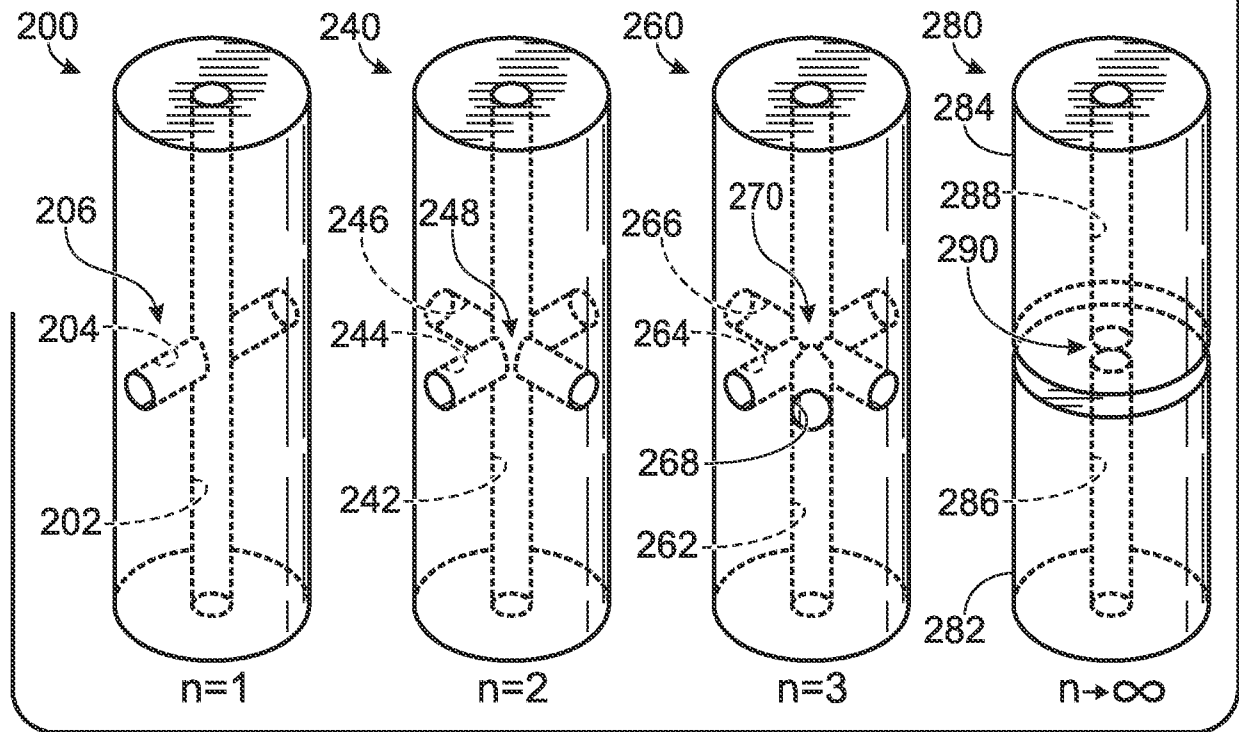
wherein the first channel has a cross-section and includes an air trap configured to prevent sample-containing fluid from being inadvertently drawn through the first channel by capillary action, wherein in the air trap the cross-section of the first channel abruptly increases in one or more dimensions.

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**Fig. 1****Fig. 2****Fig. 3****Fig. 4****Fig. 5****Fig. 6**

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Fig. 7



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Fig. 8

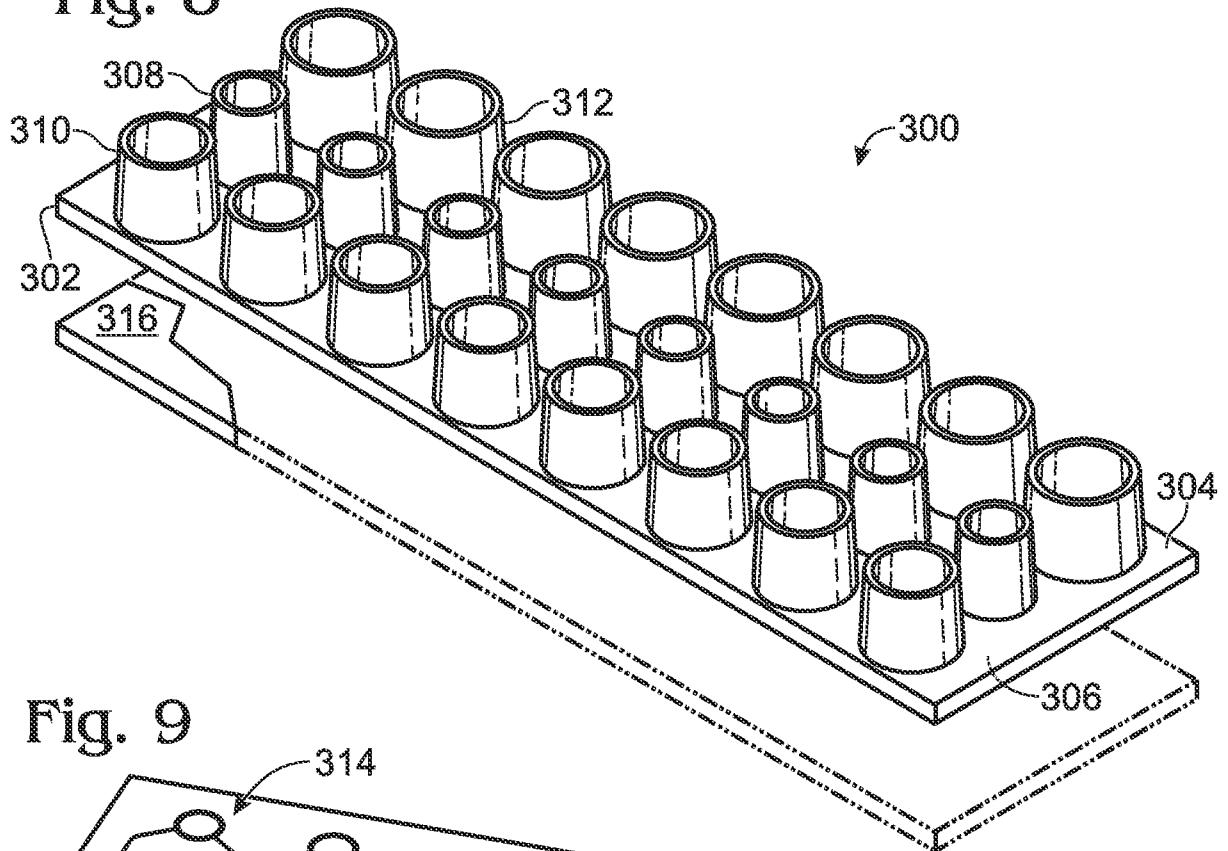


Fig. 9

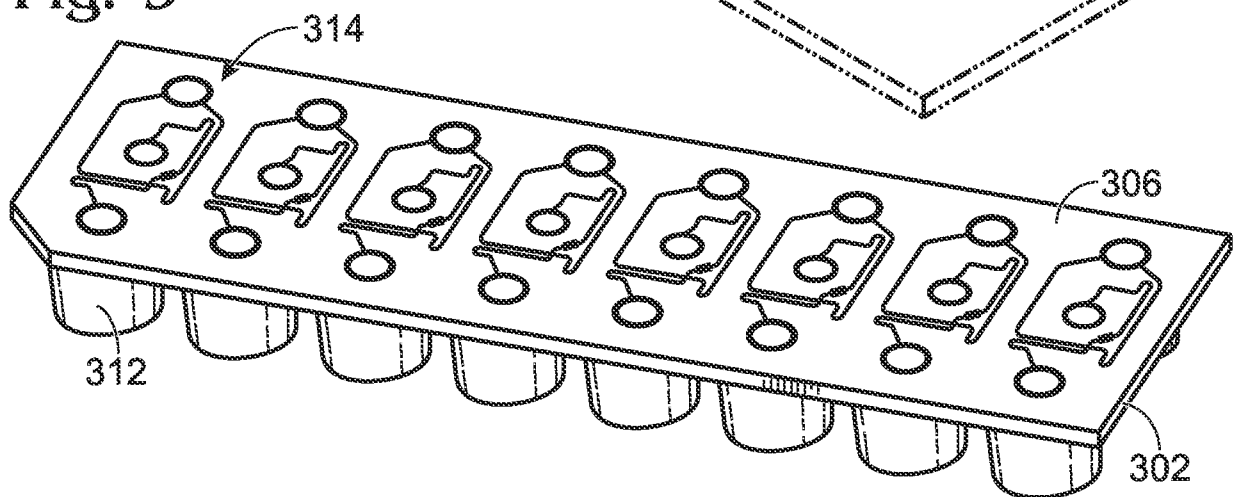
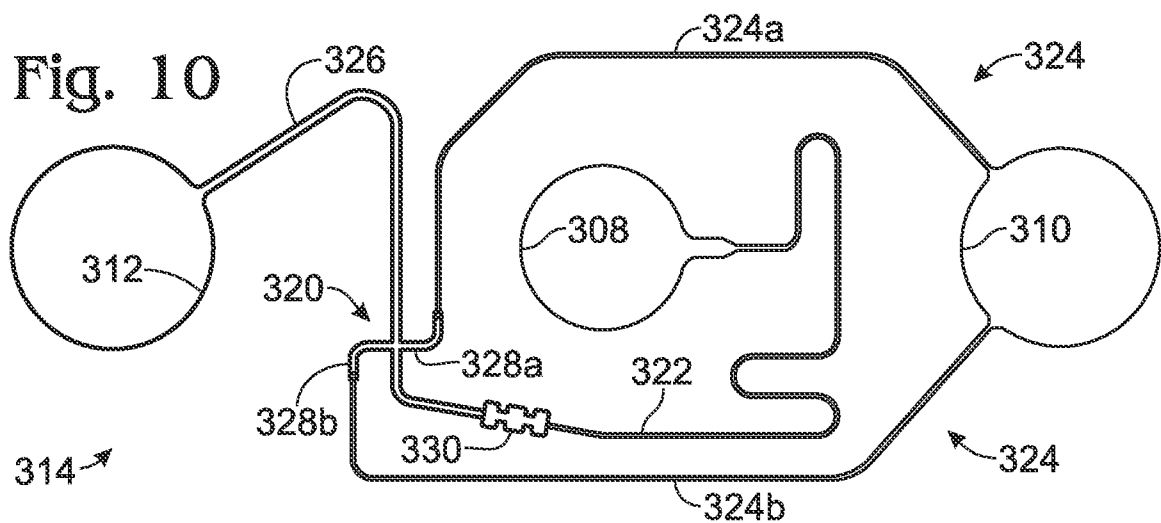


Fig. 10





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Fig. 11

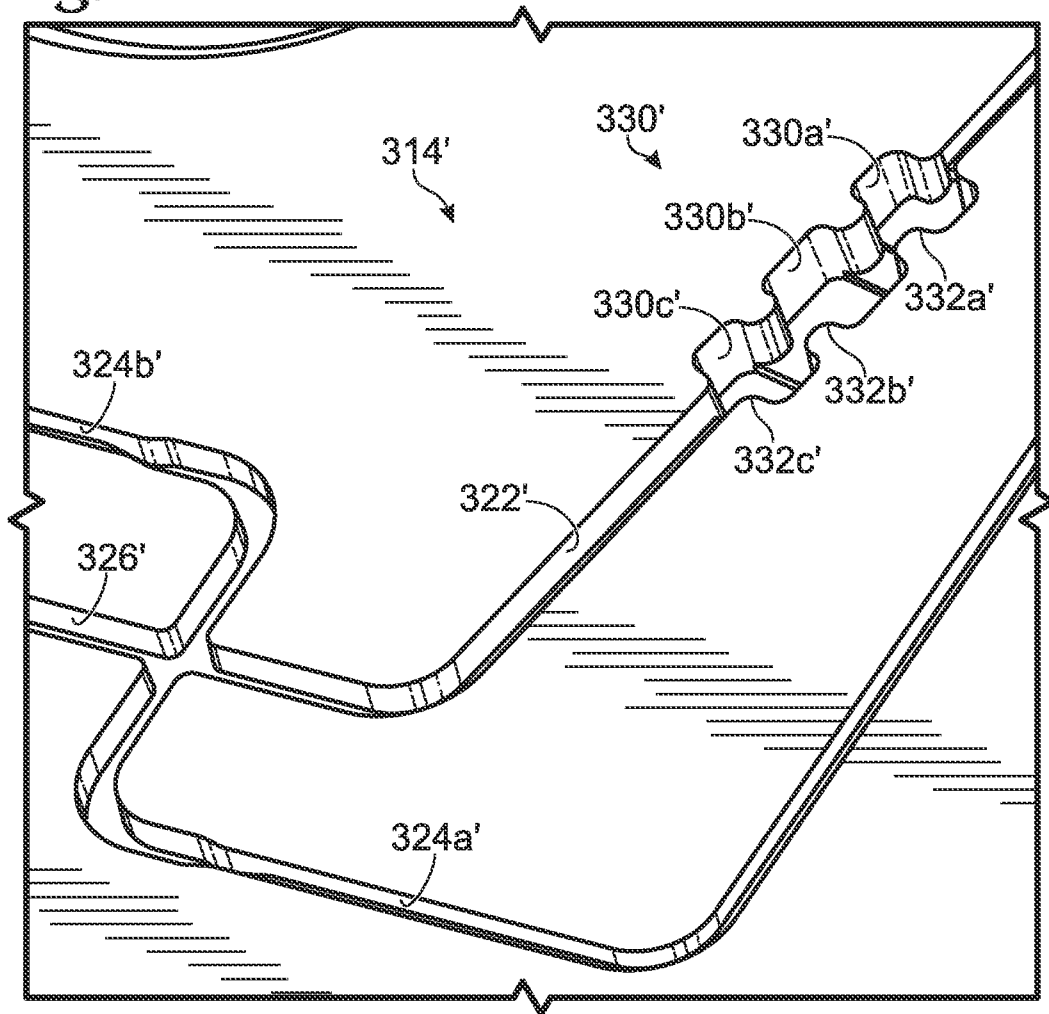
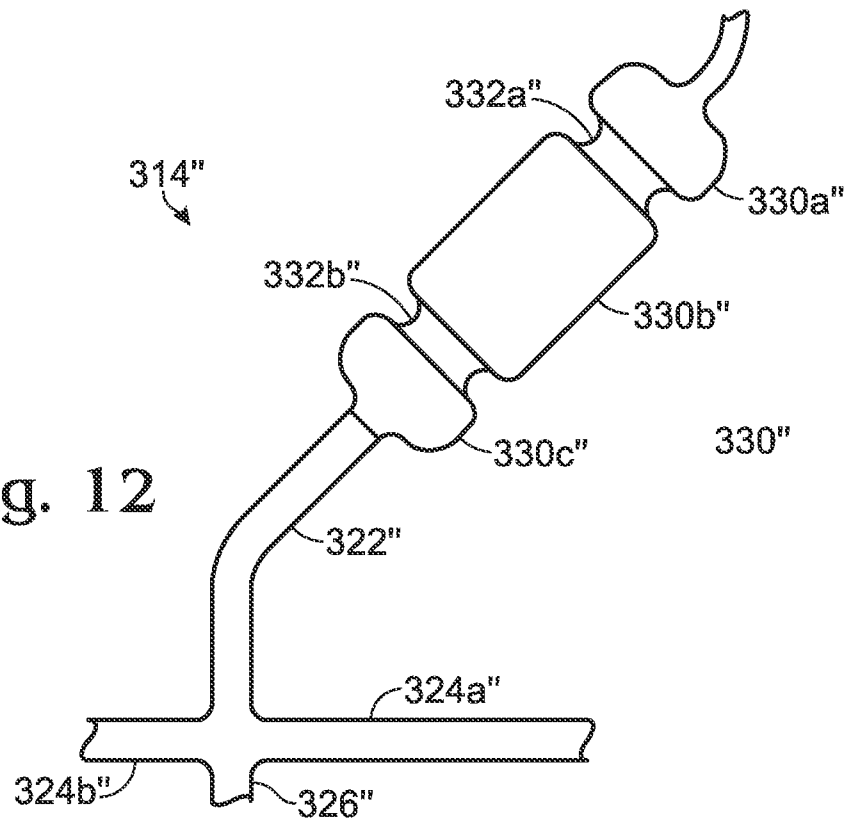


Fig. 12



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Fig. 13

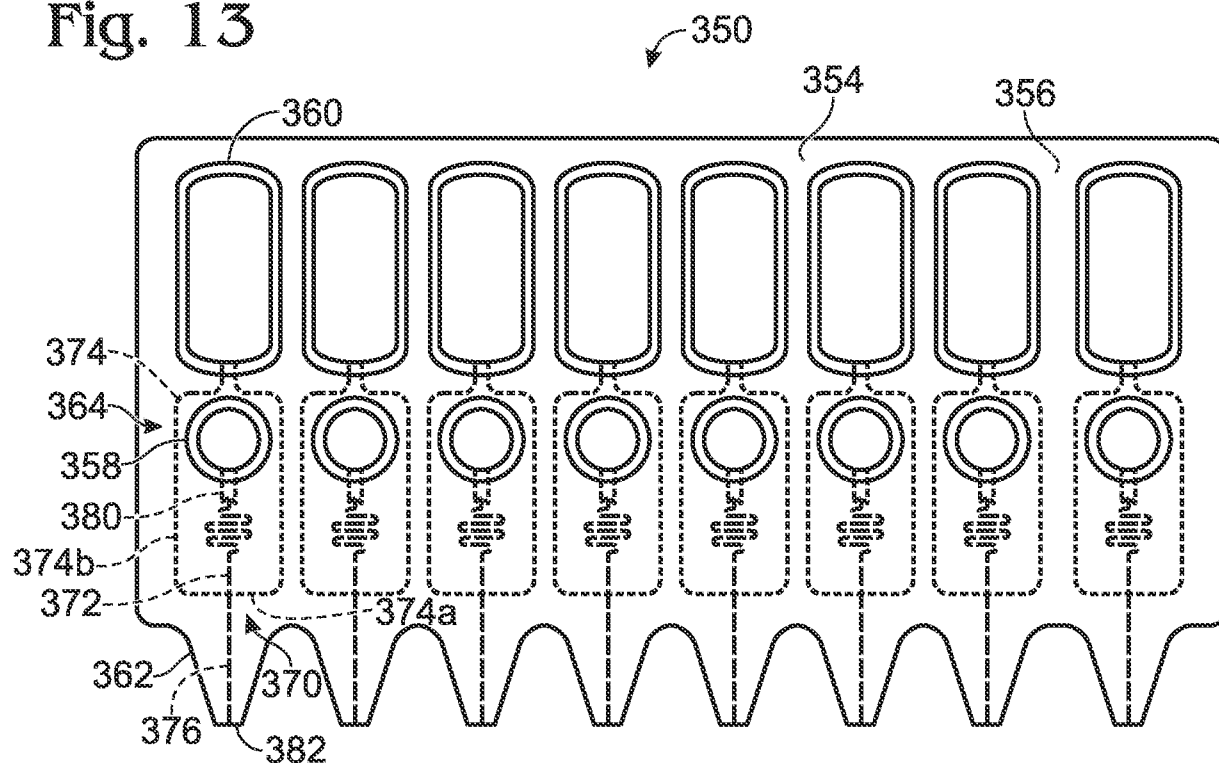
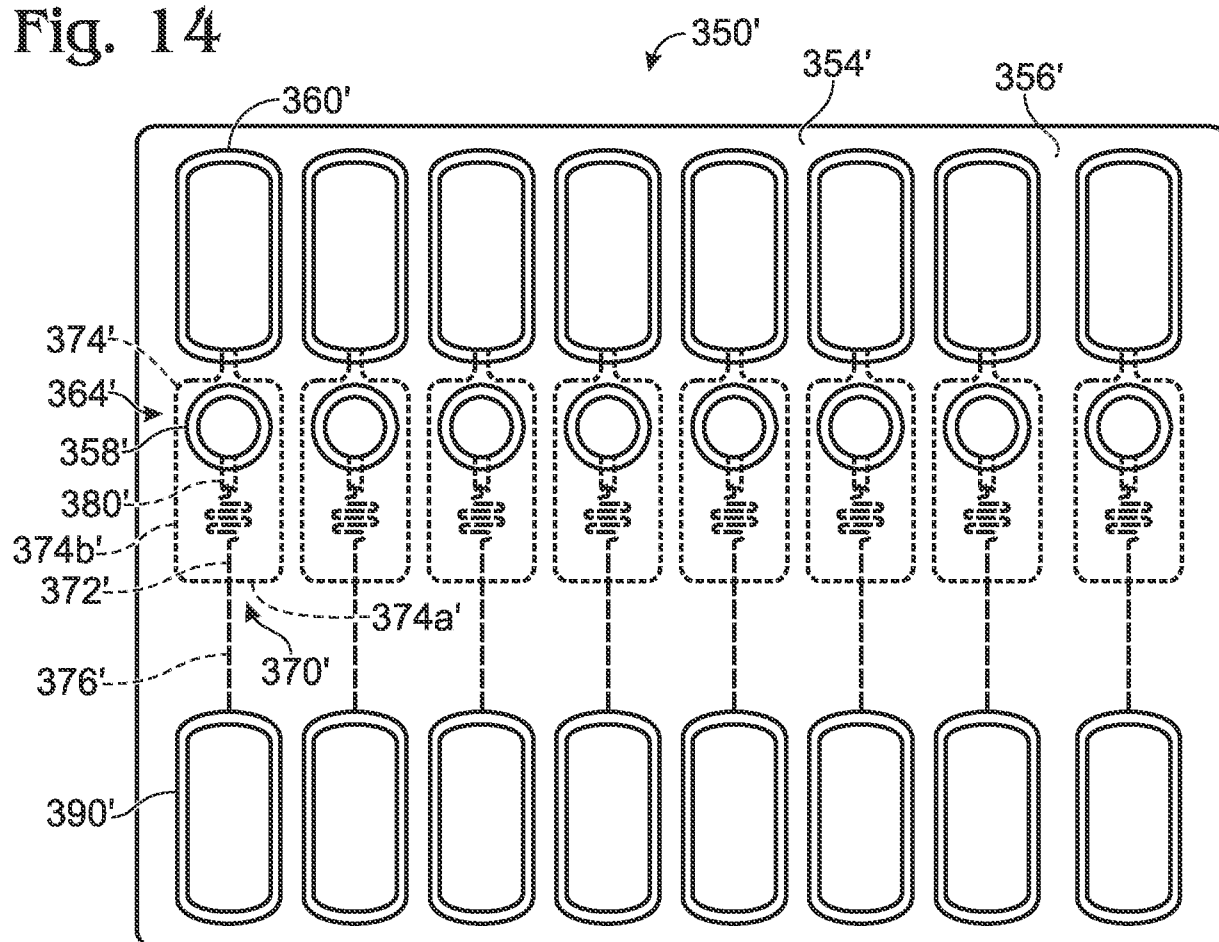


Fig. 14



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Fig. 15

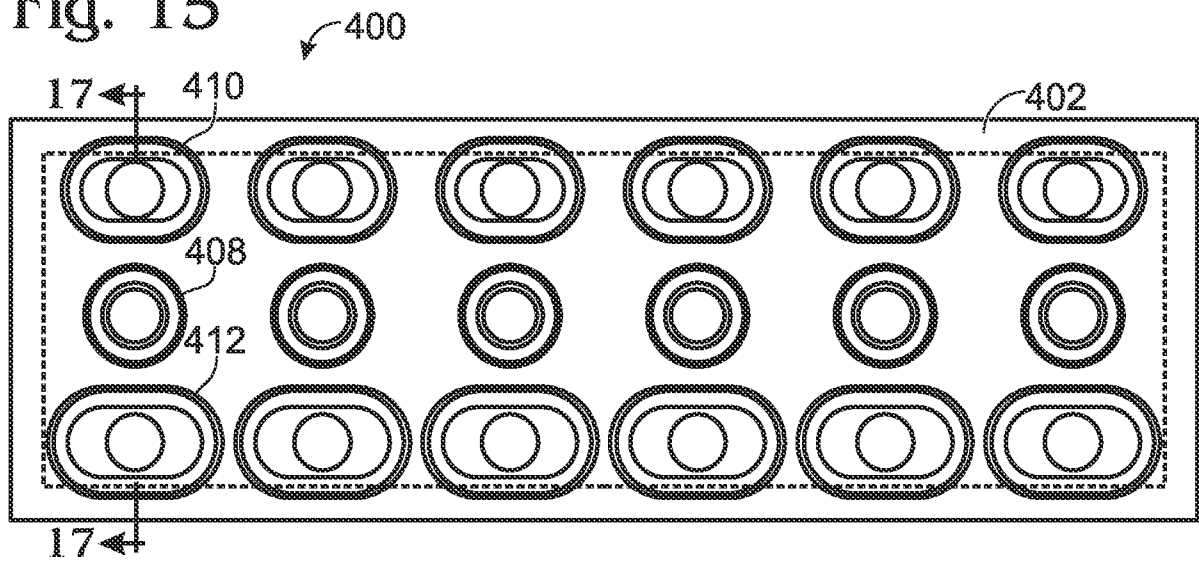


Fig. 16

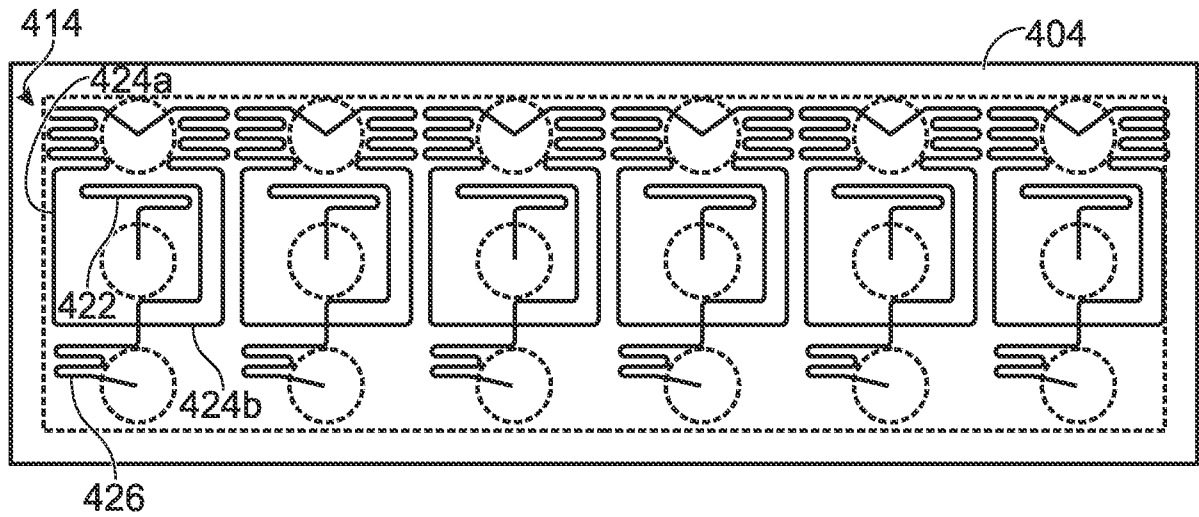
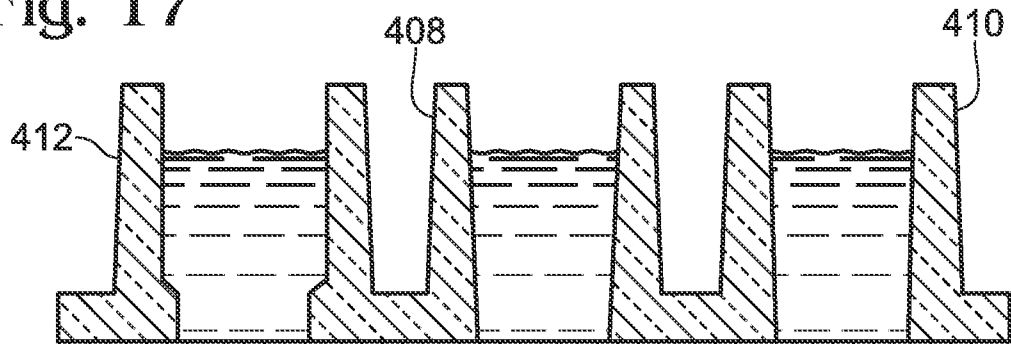


Fig. 17



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Fig. 18

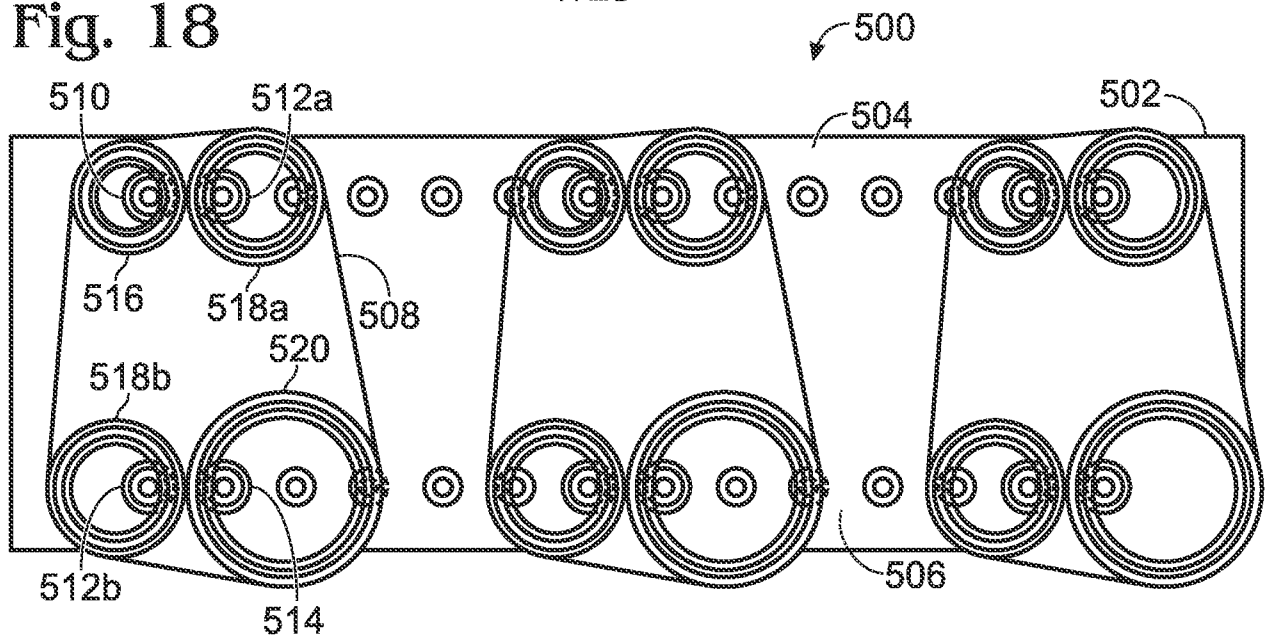
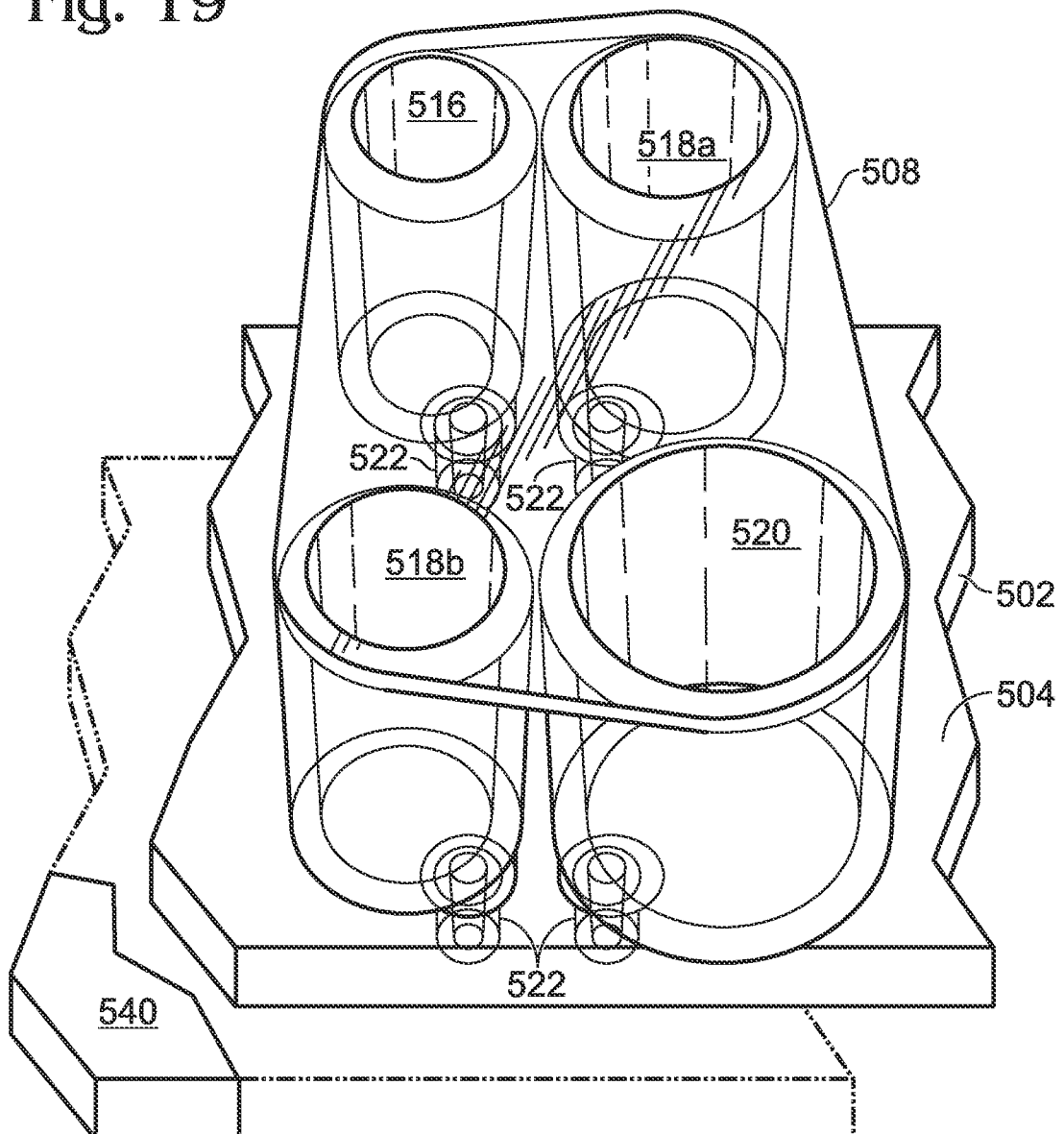


Fig. 19



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Fig. 20

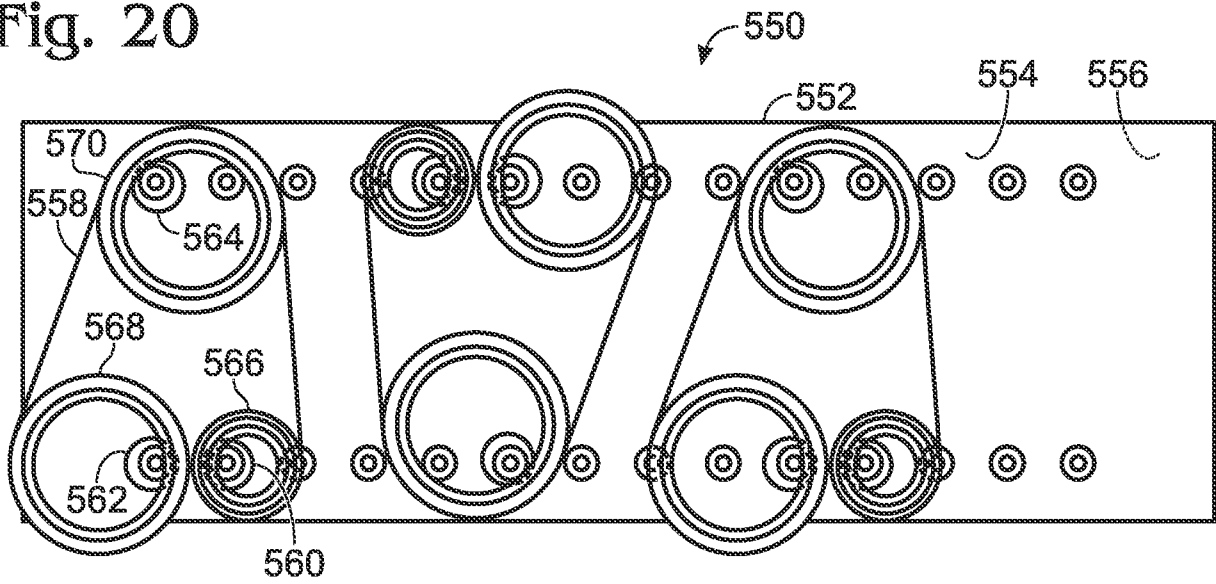
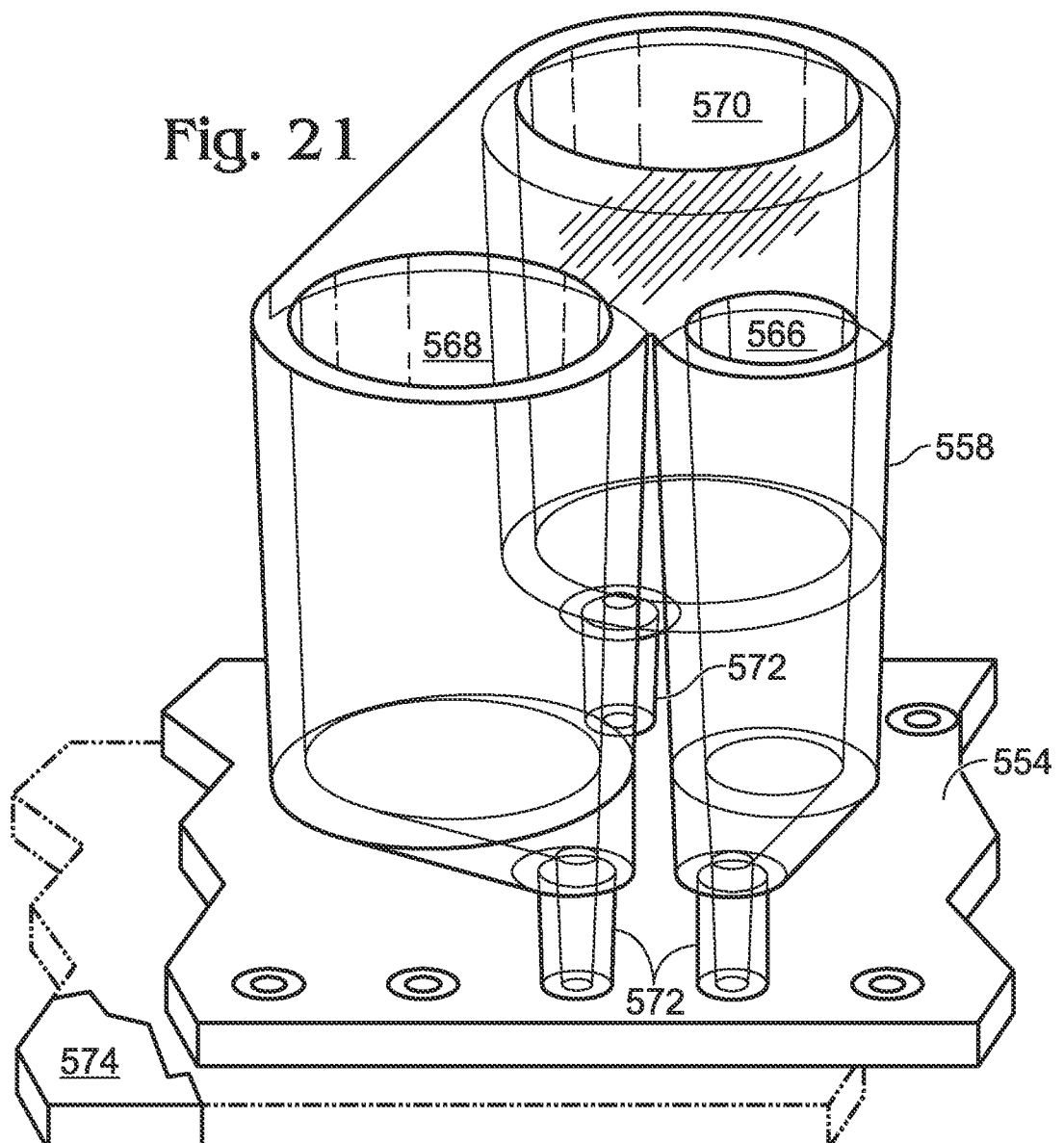


Fig. 21



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Fig. 22

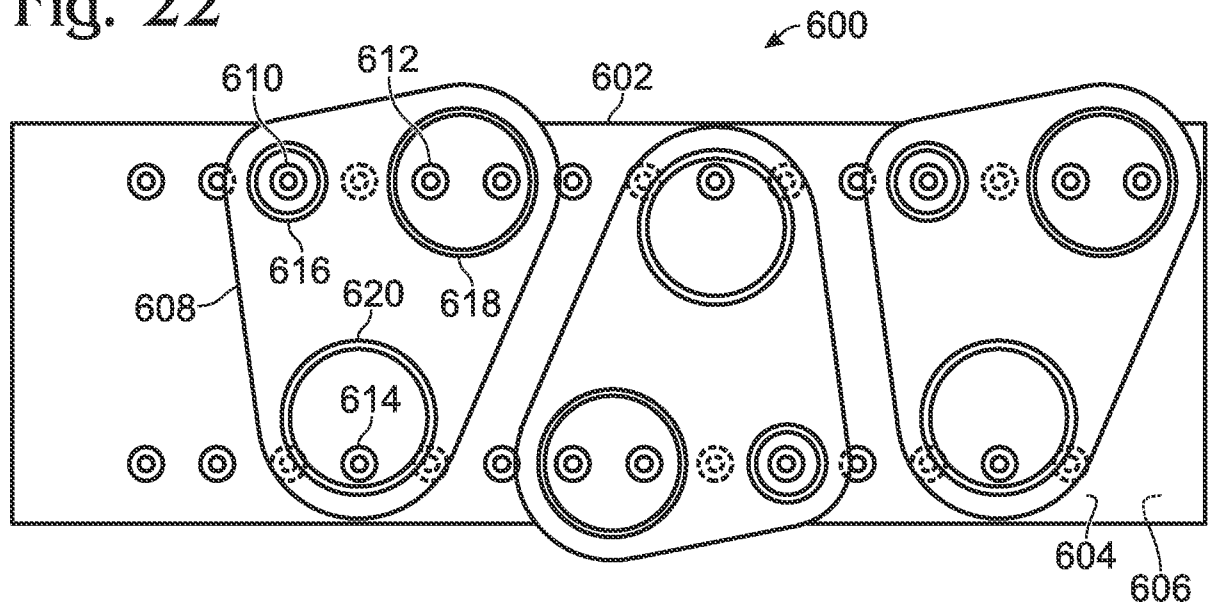
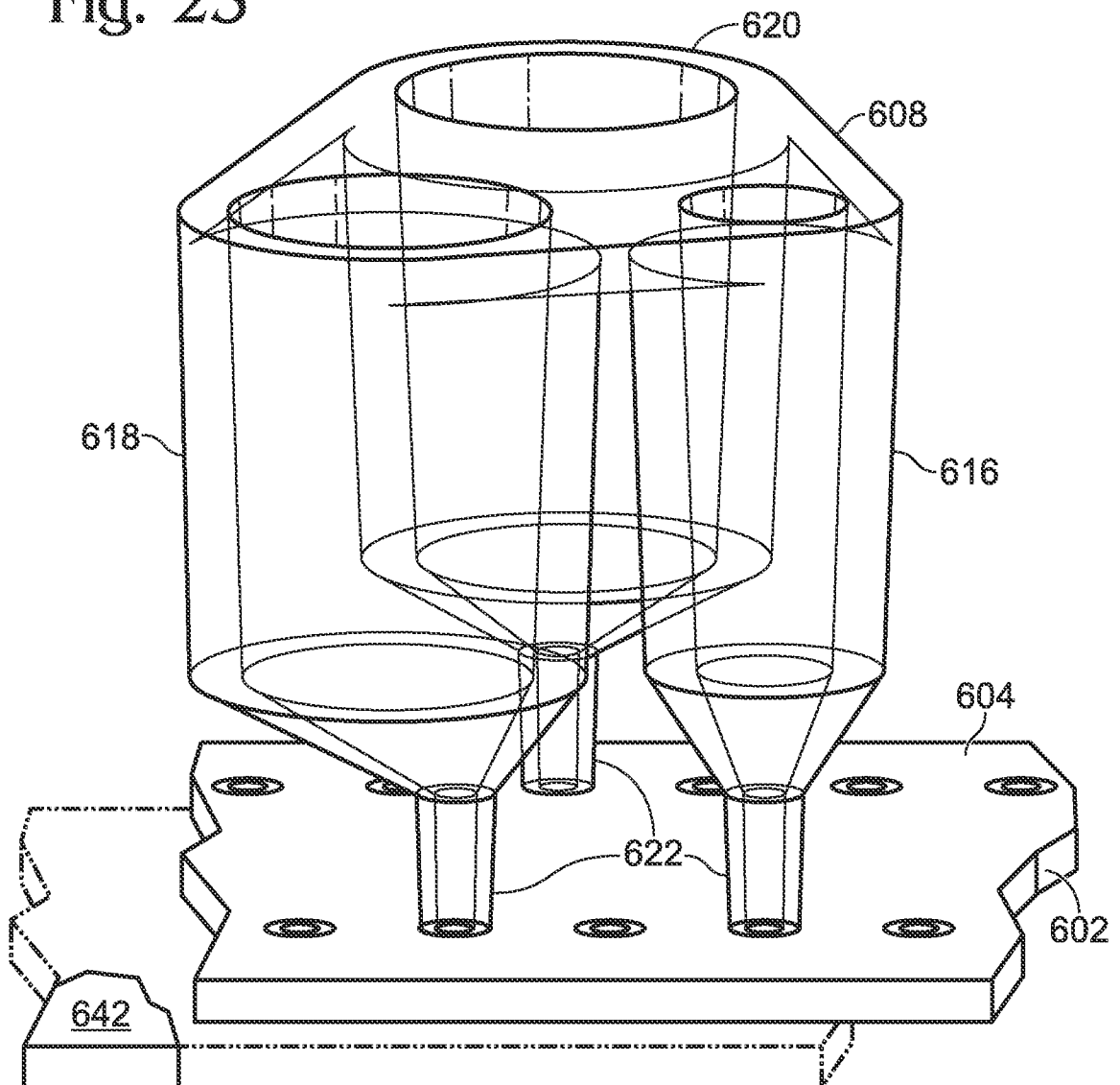
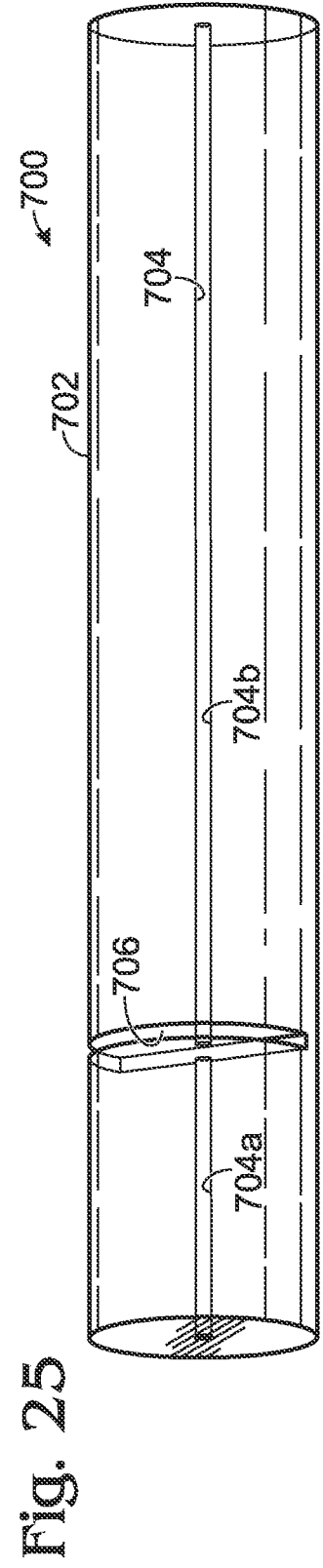
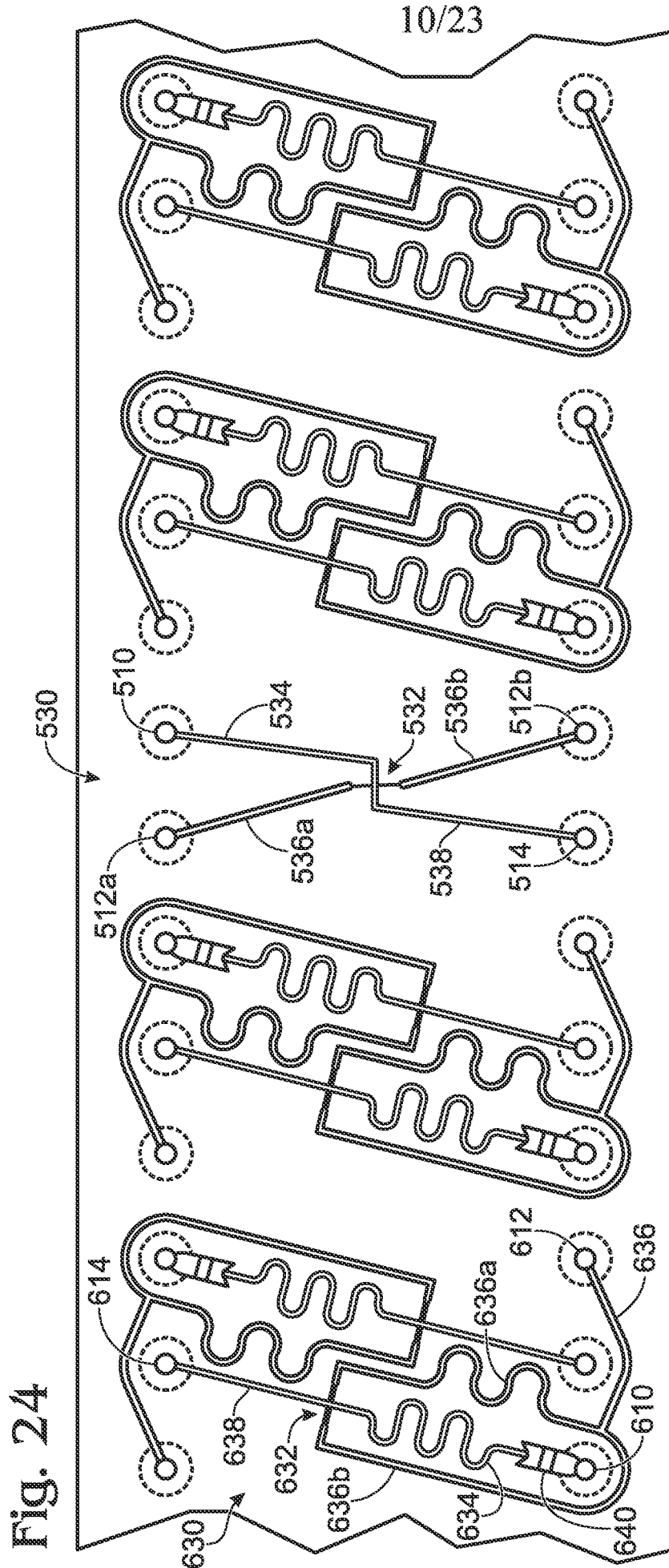
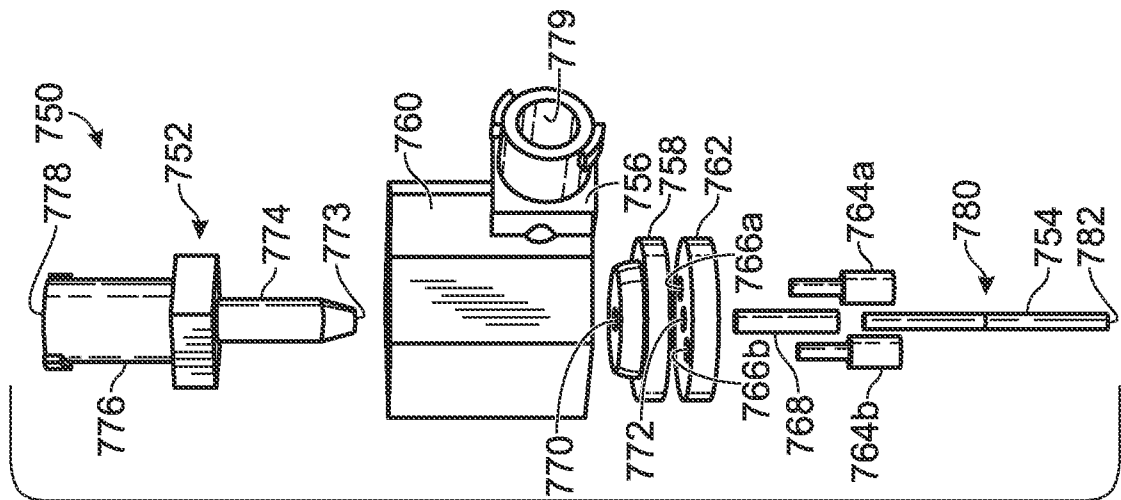
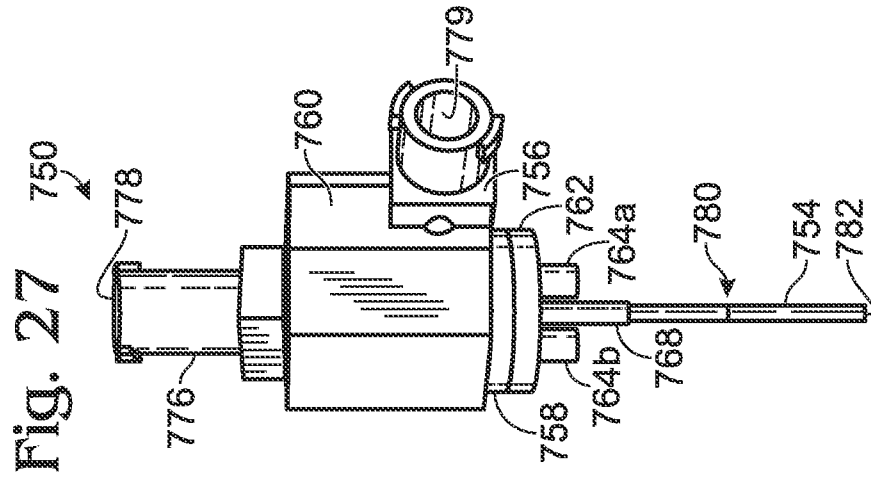
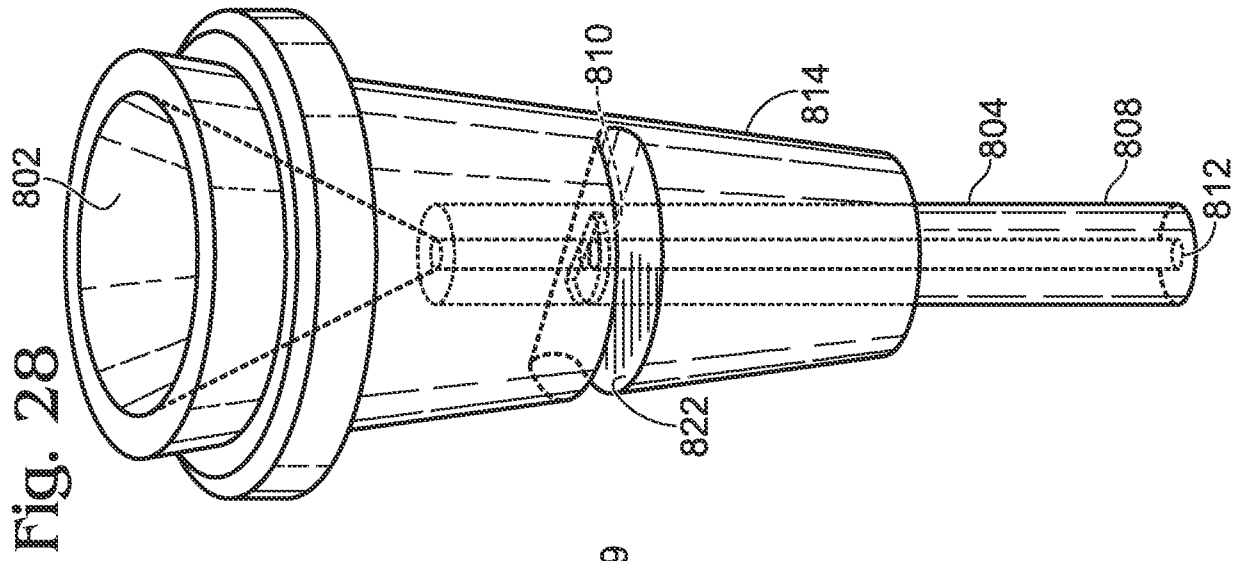


Fig. 23





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Fig. 30

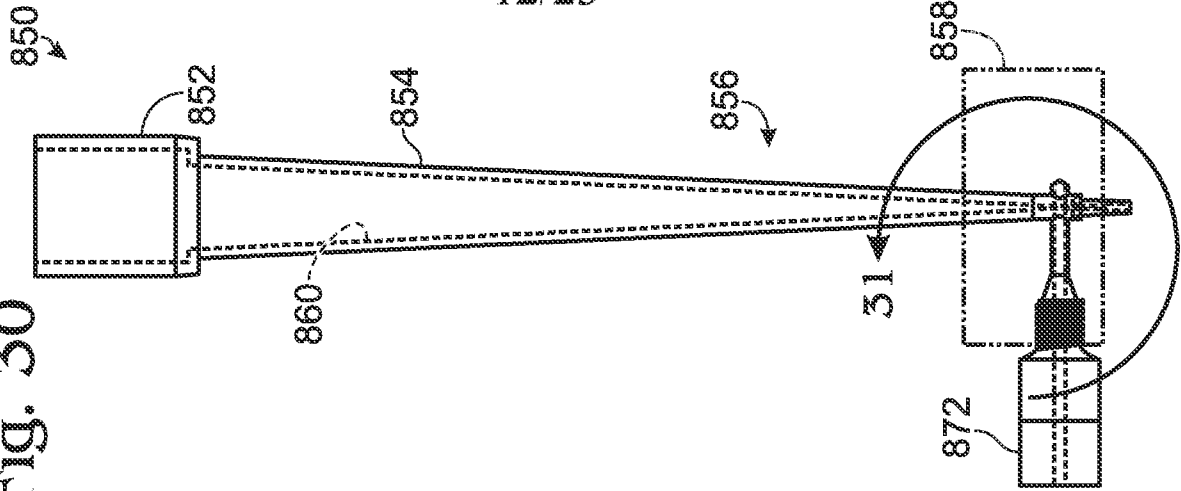
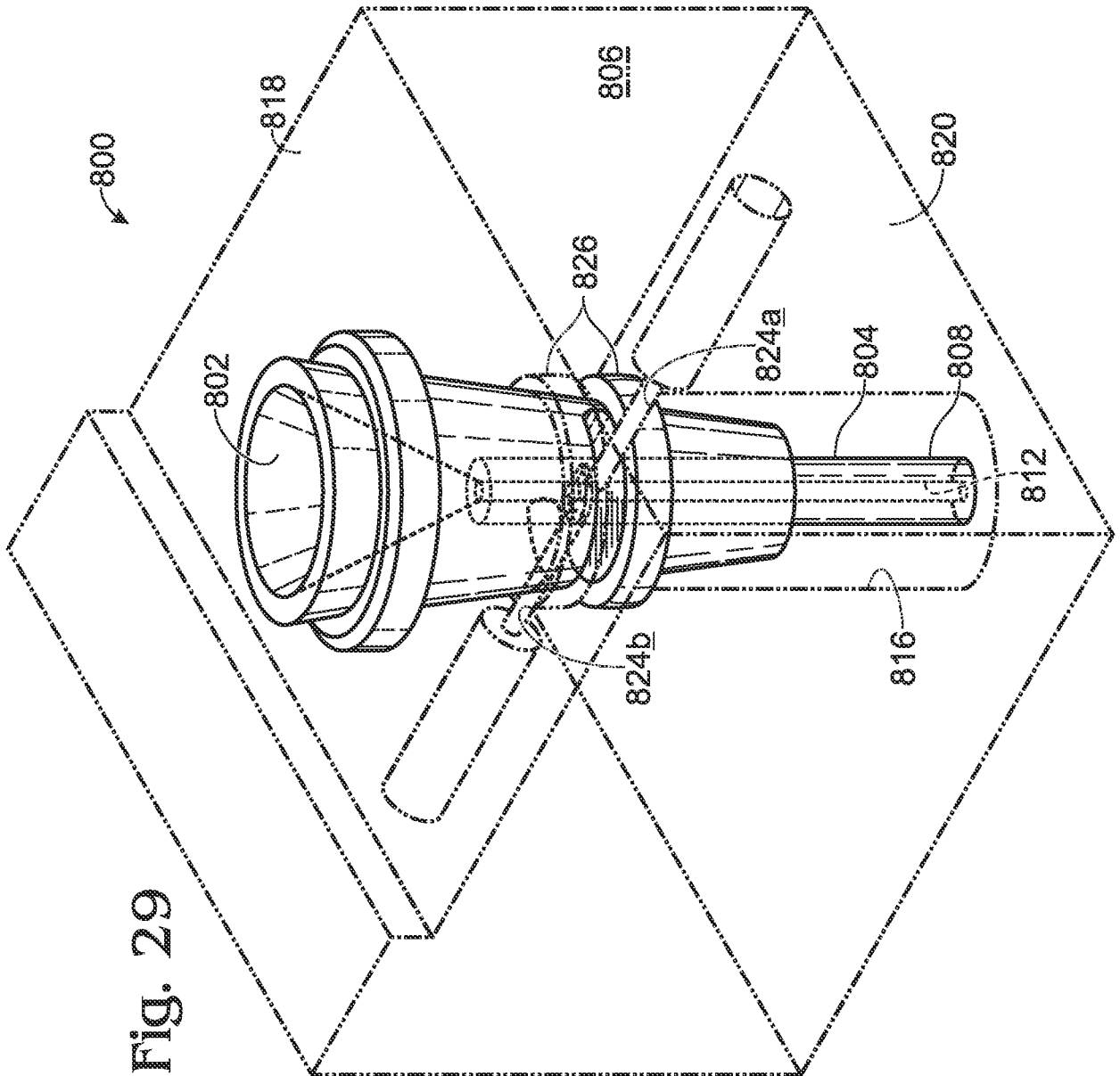


Fig. 29



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Fig. 31

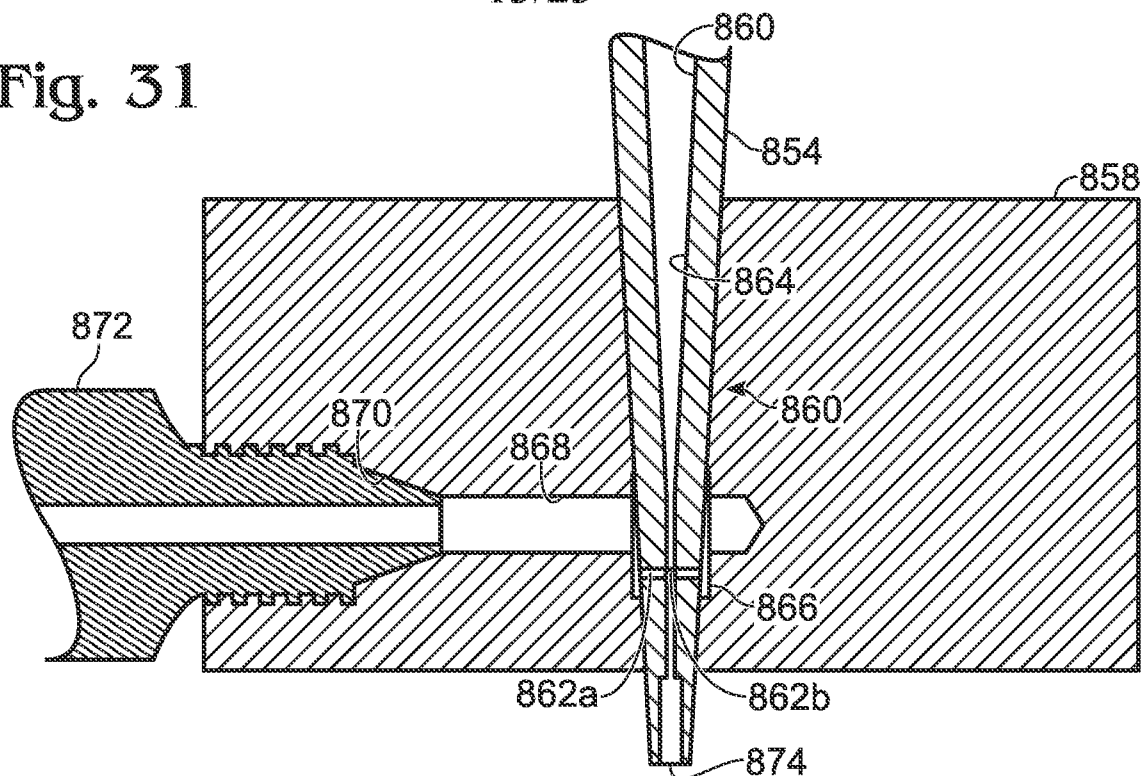
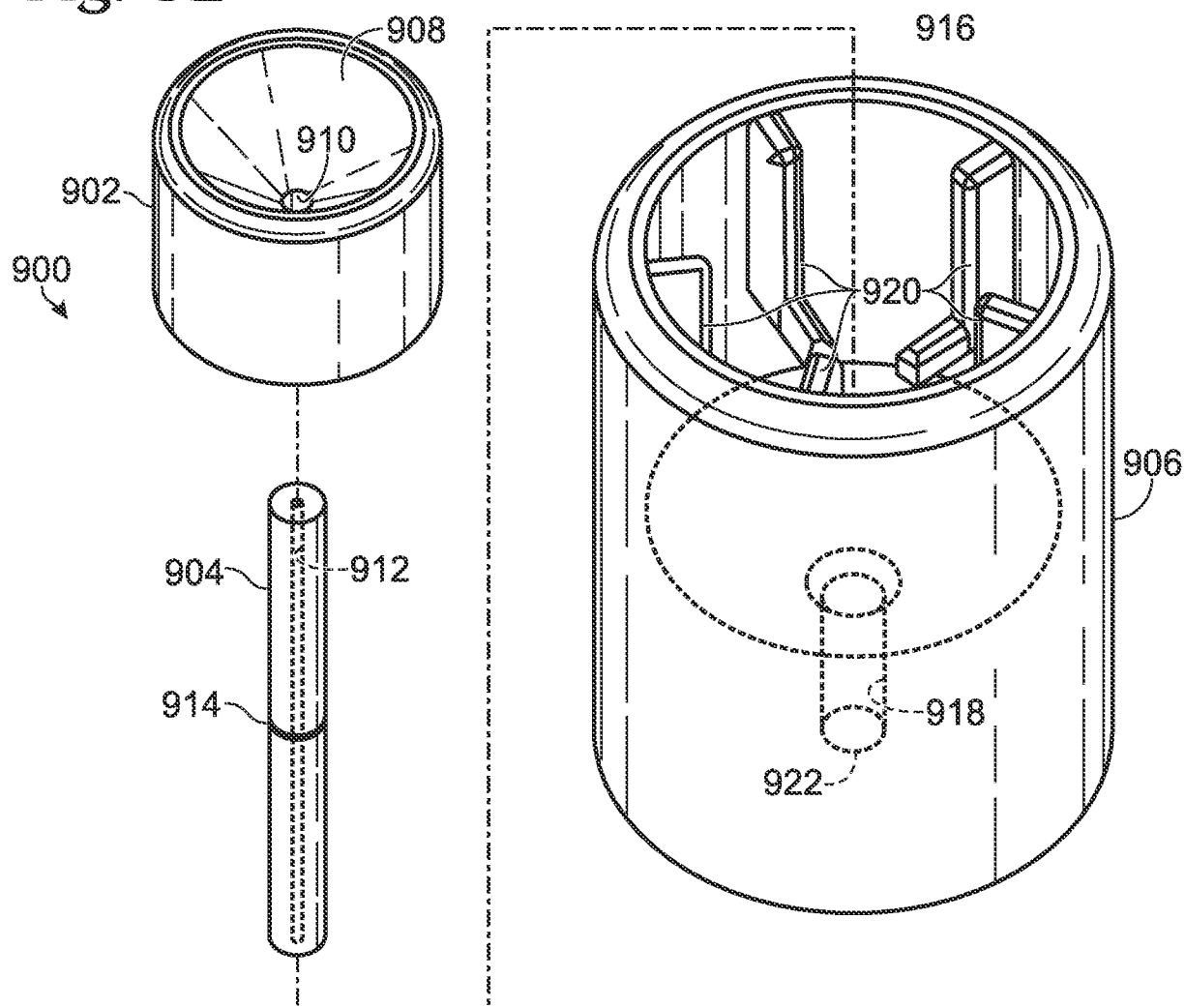
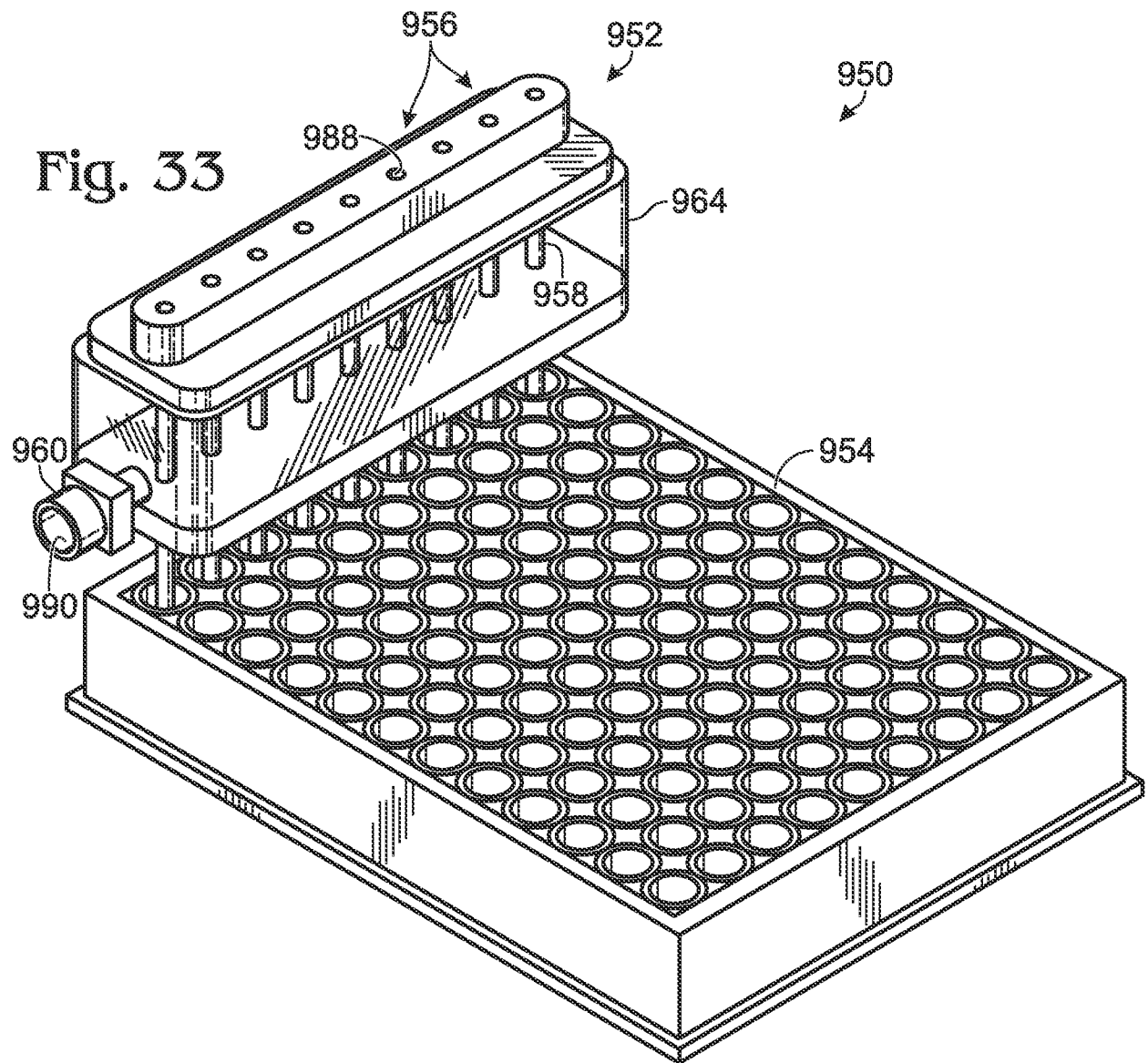


Fig. 32

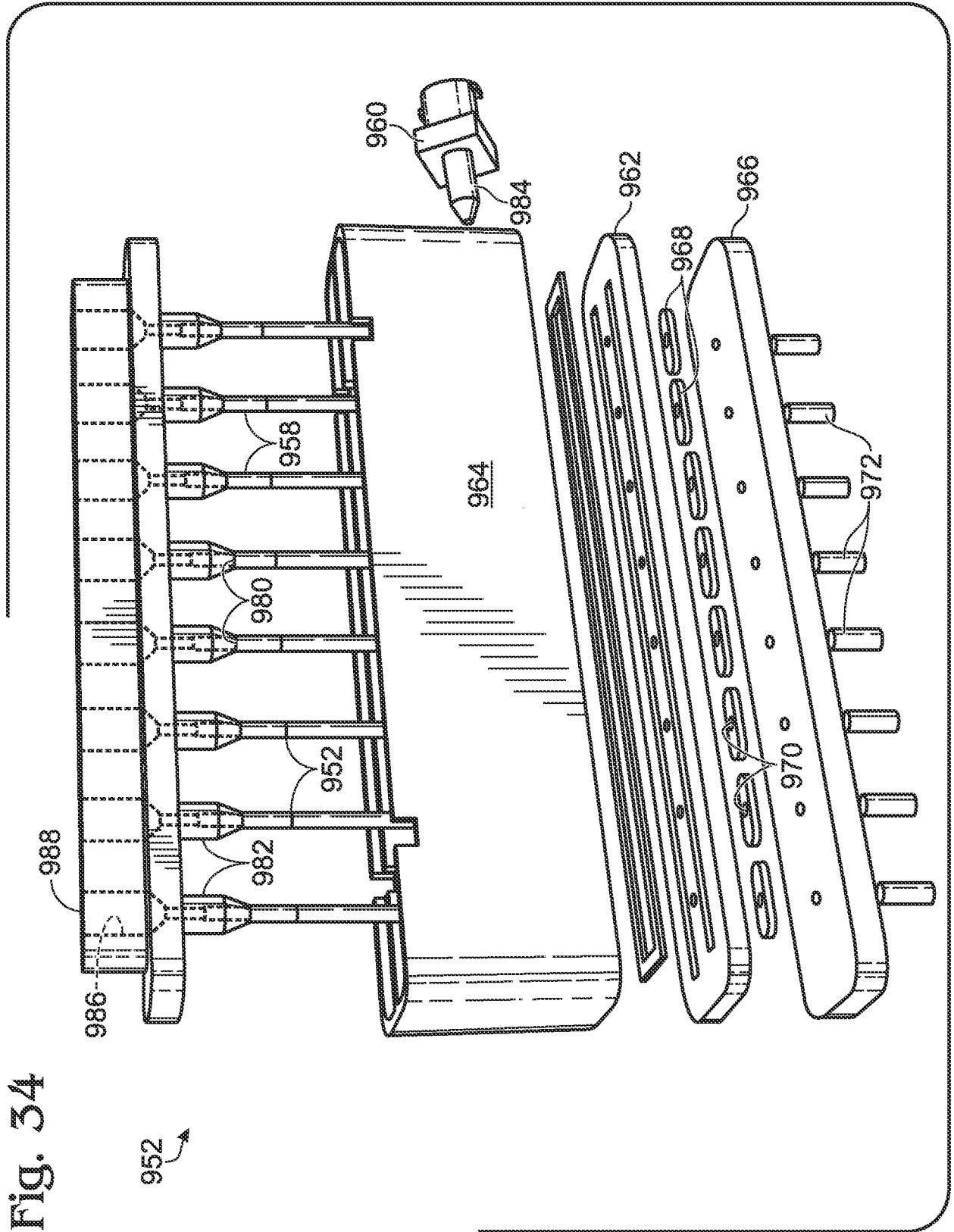


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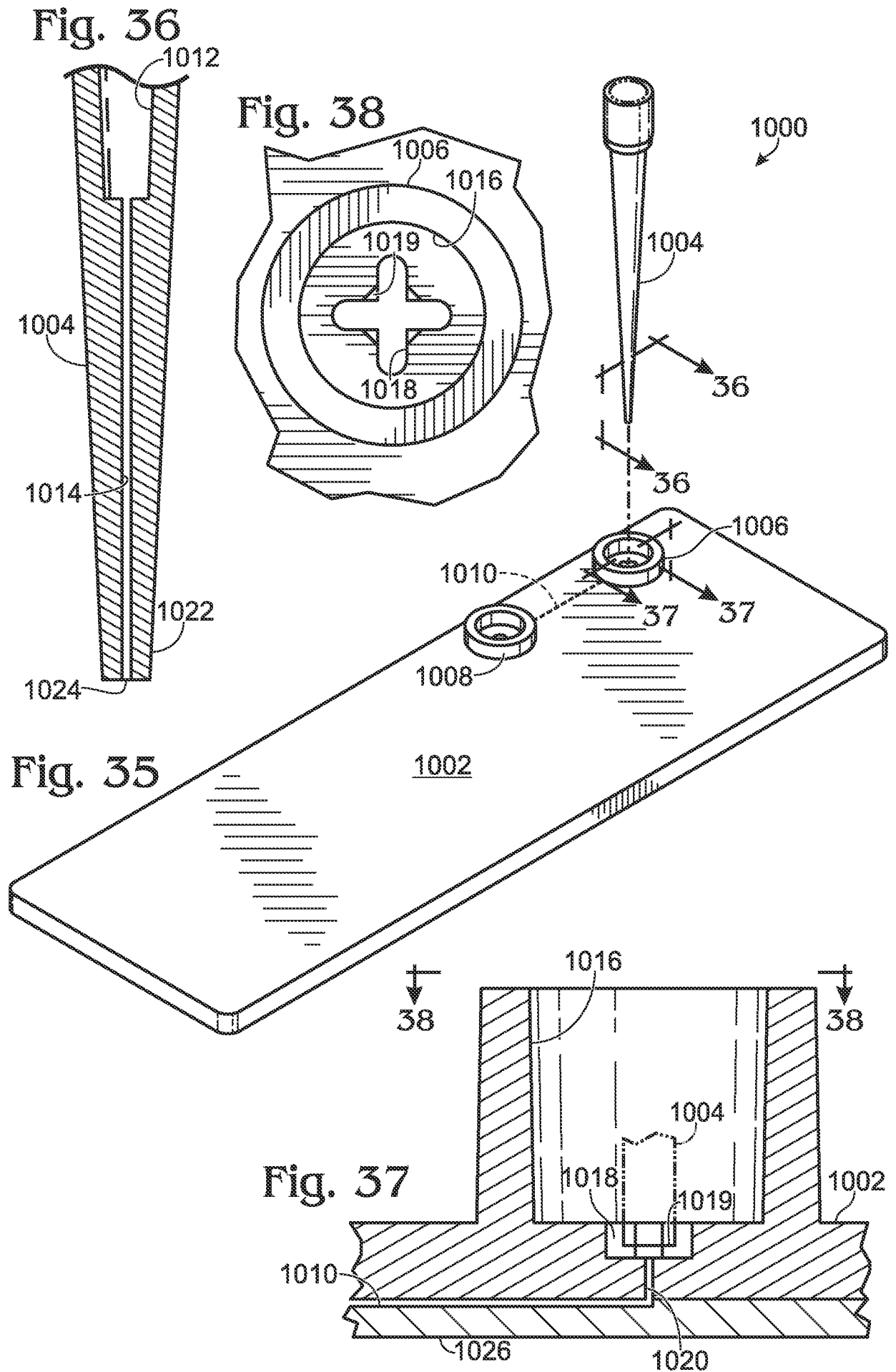


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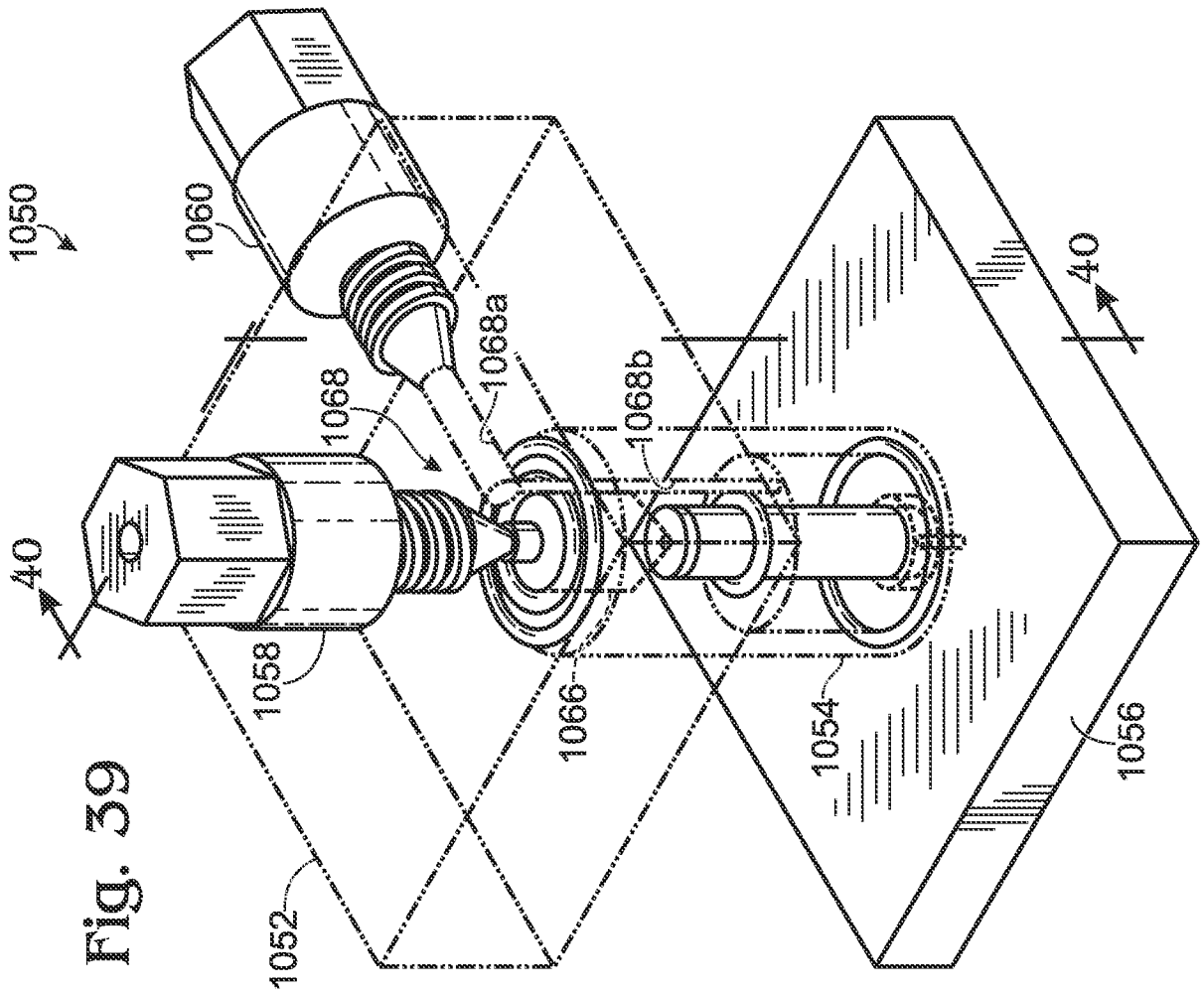
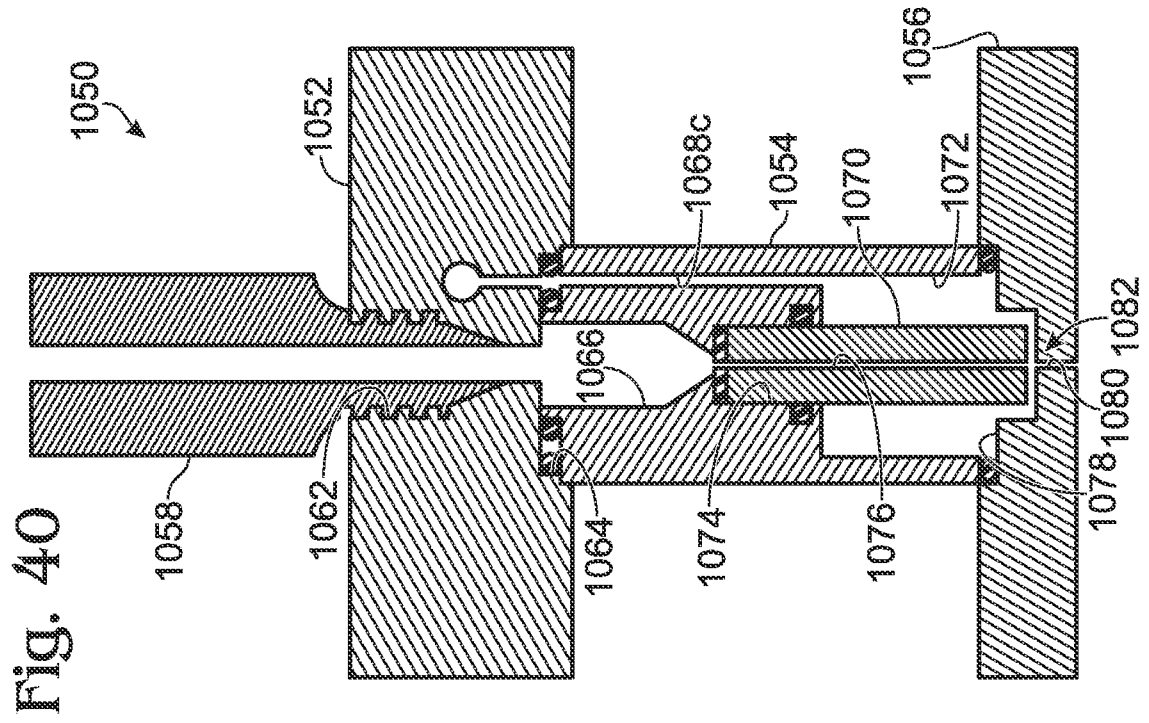
Fig. 34



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Fig. 43

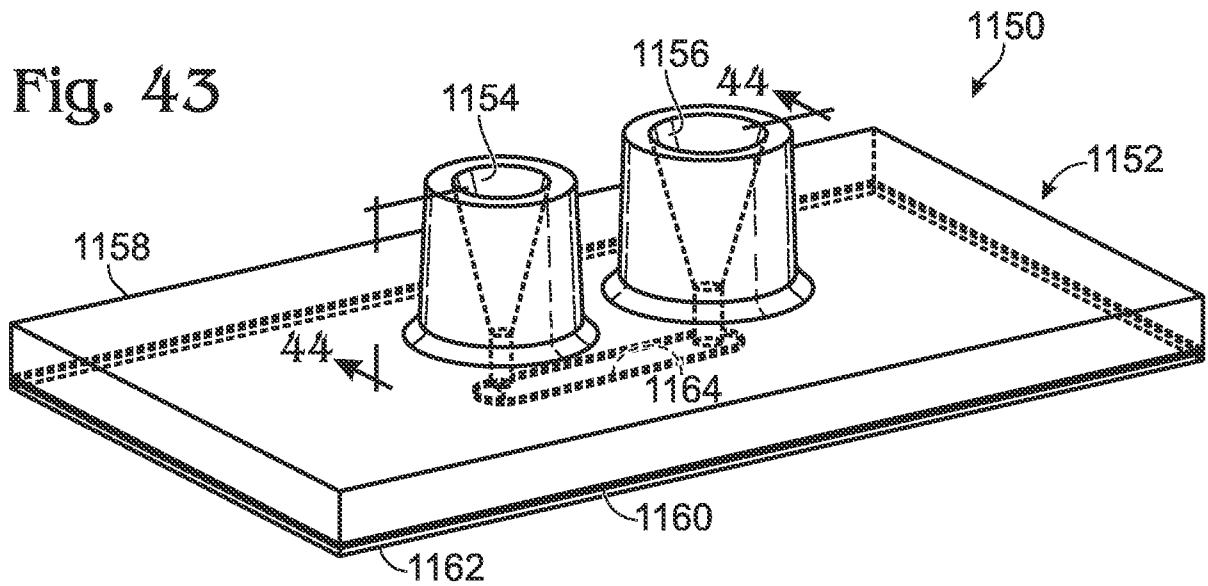
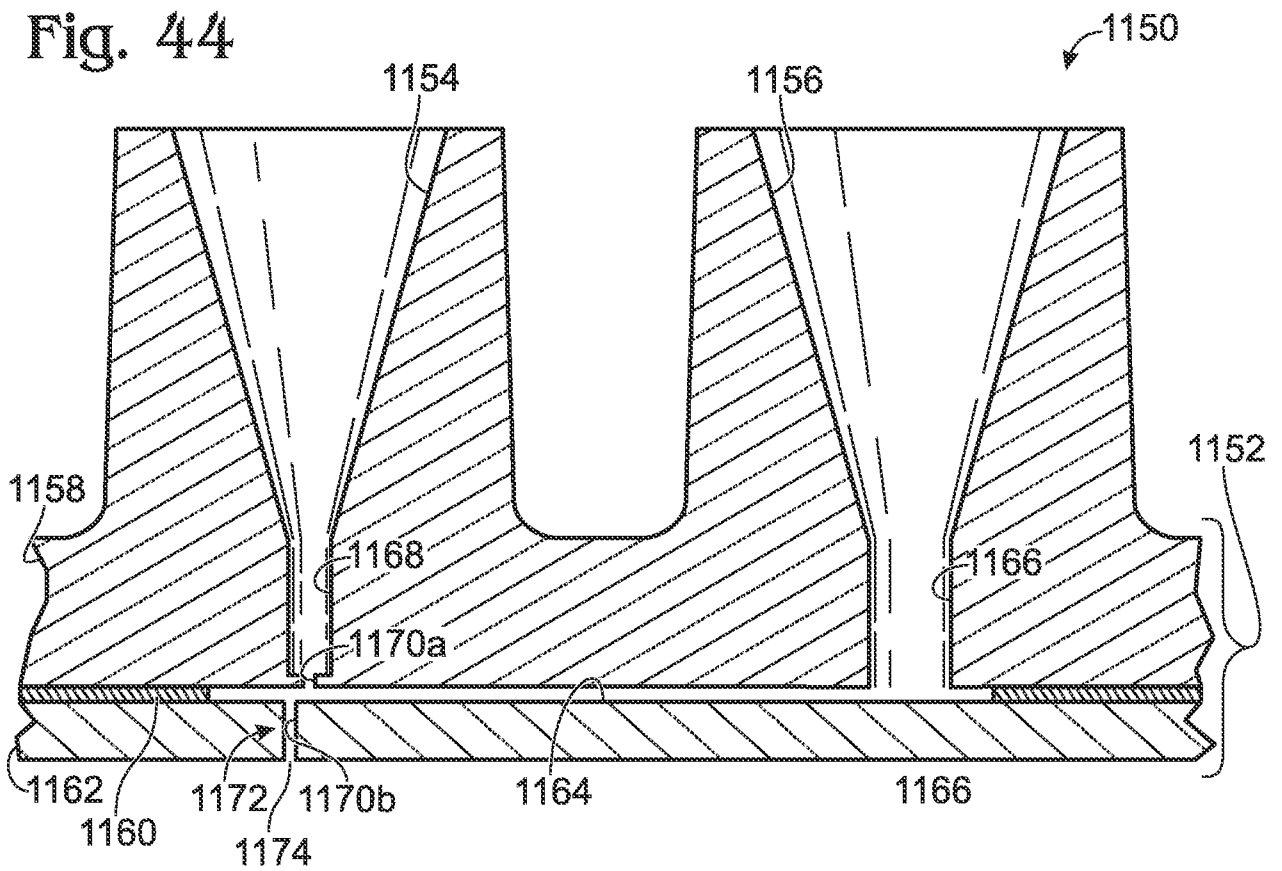


Fig. 44





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Fig. 45

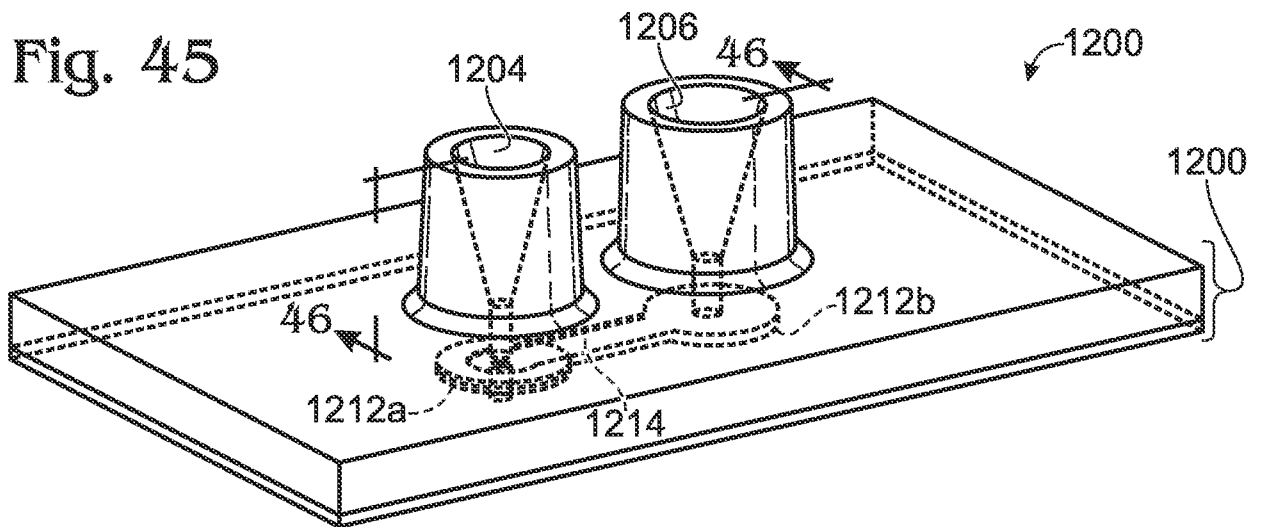


Fig. 46

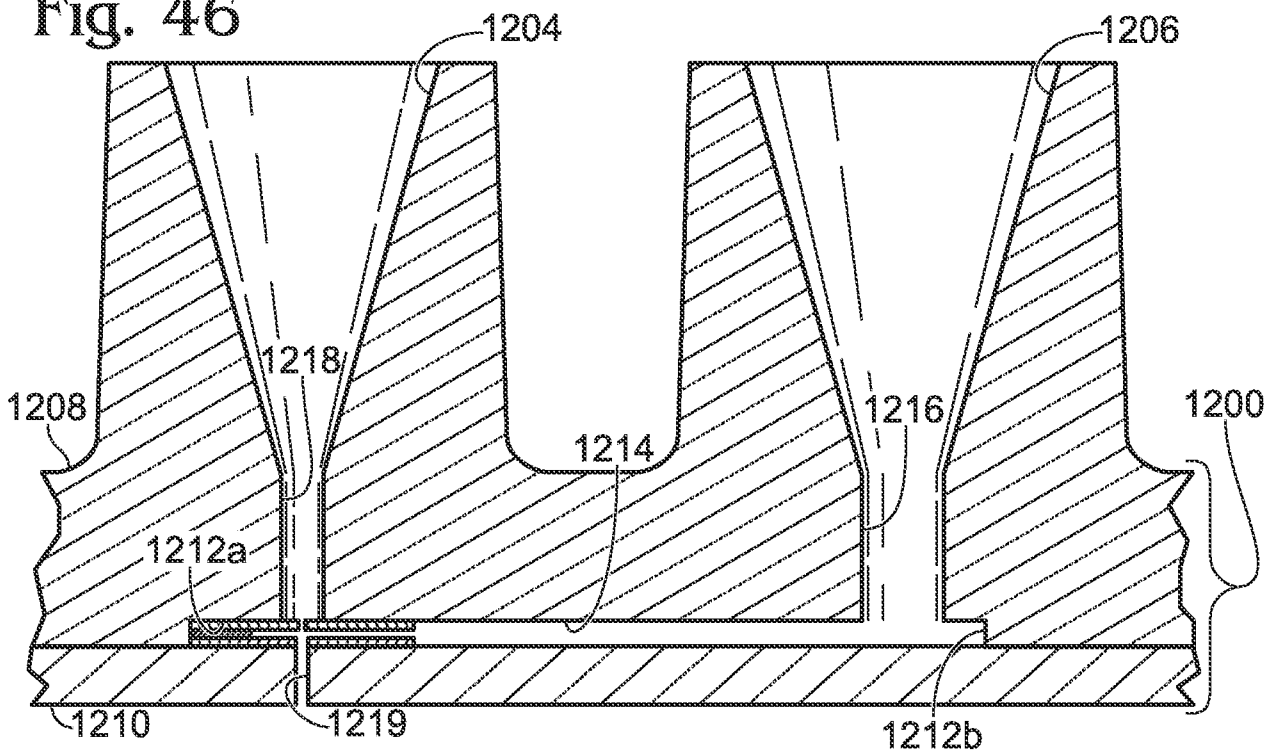
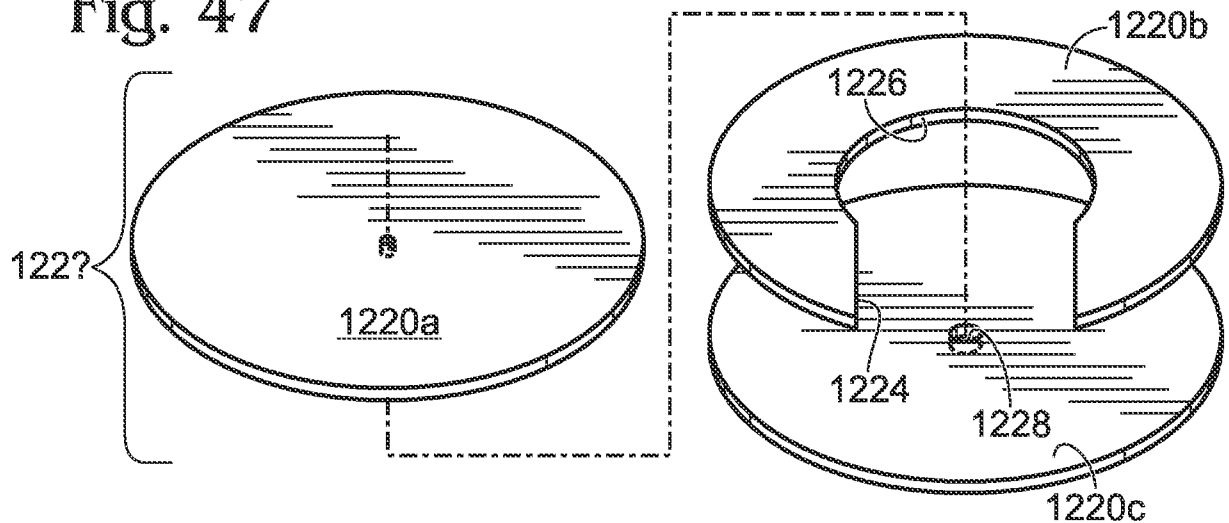
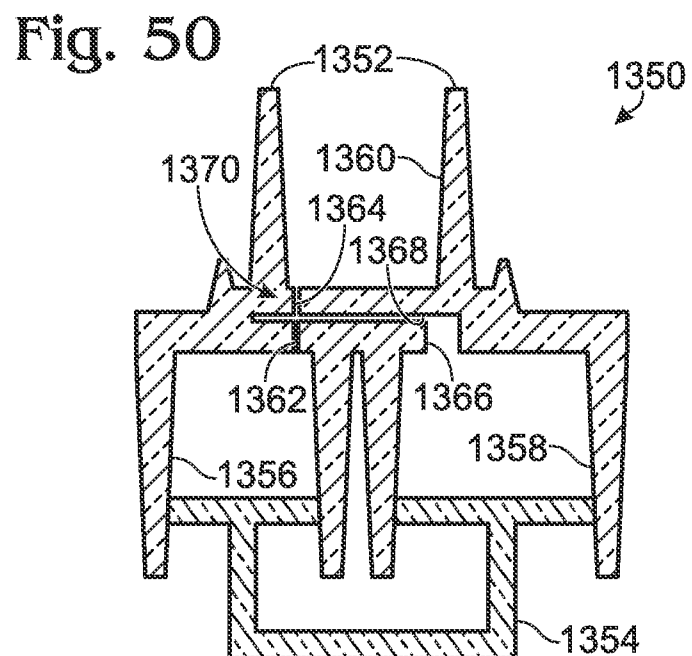
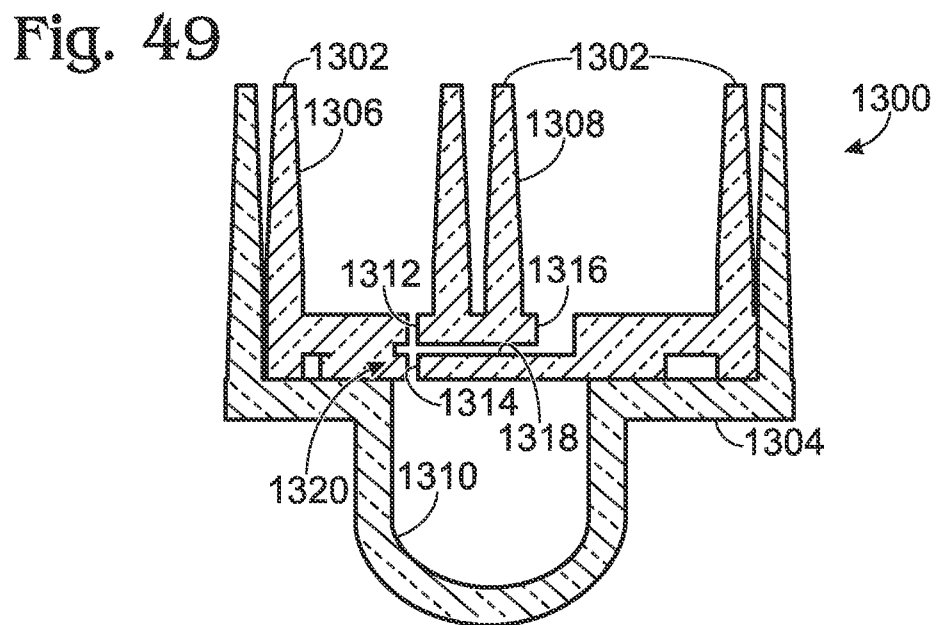
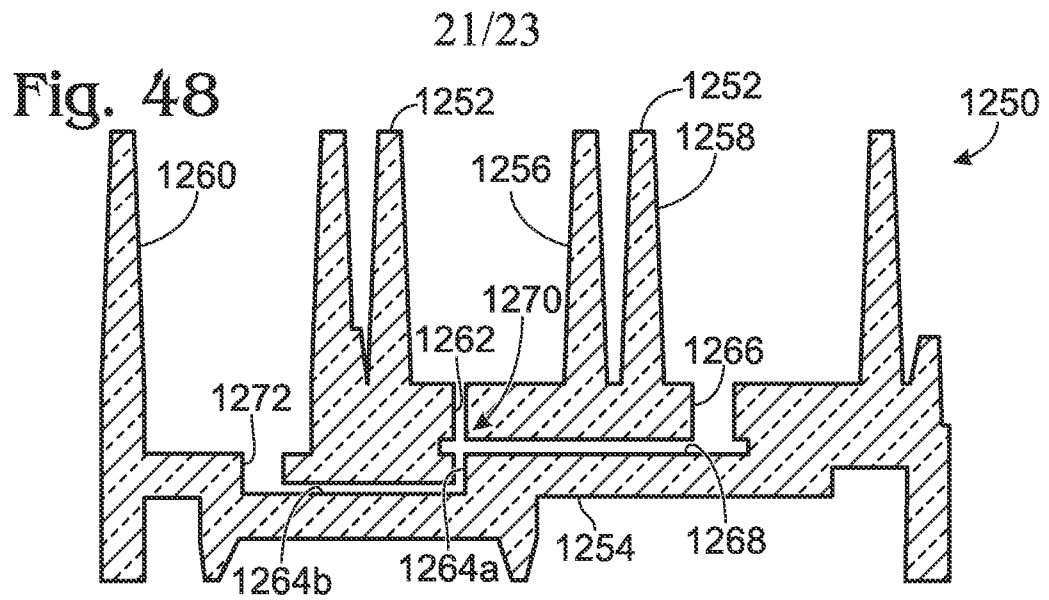


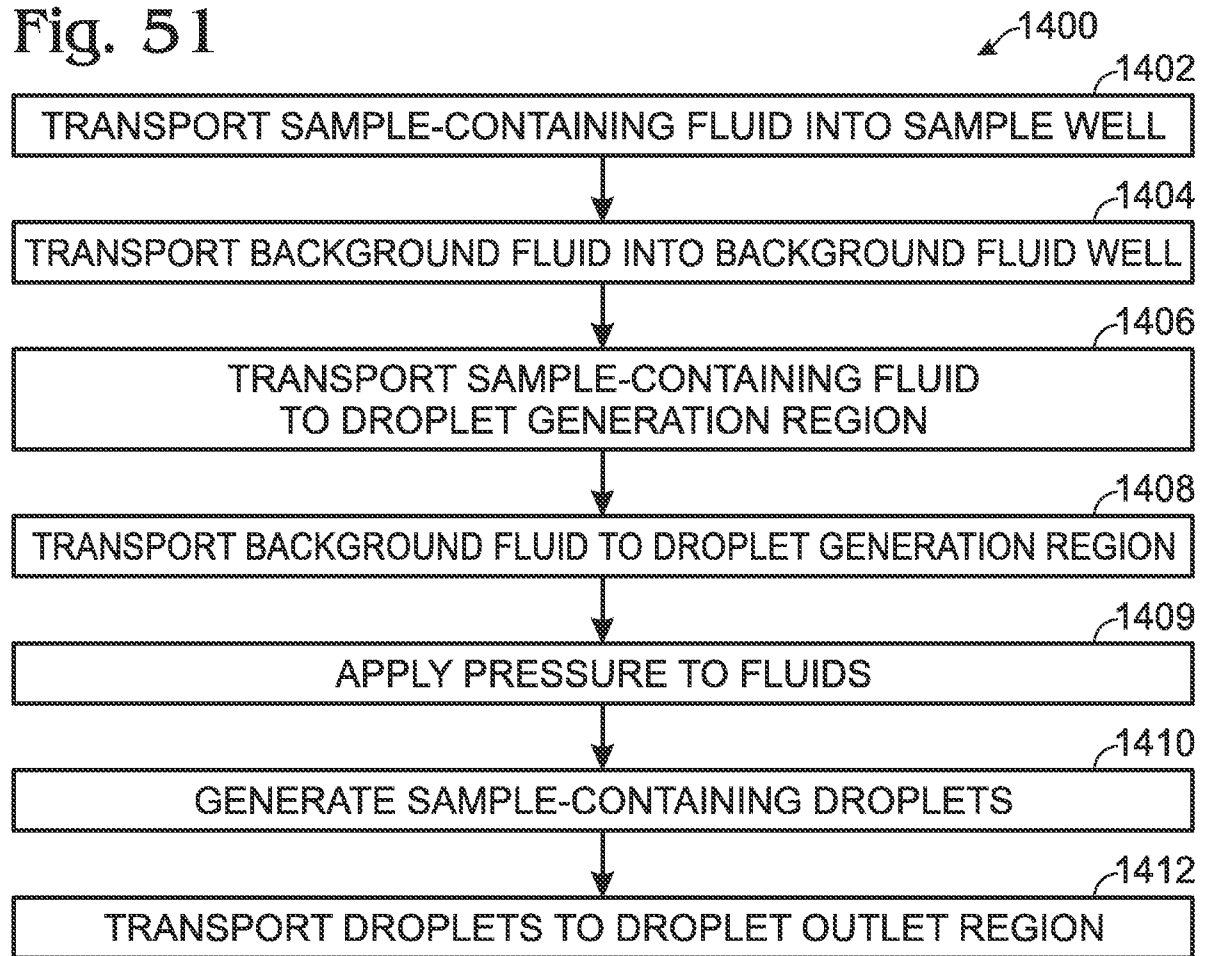
Fig. 47





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Fig. 51



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Fig. 52

