

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
24 August 2006 (24.08.2006)

PCT

(10) International Publication Number  
WO 2006/087314 A1

- (51) International Patent Classification:  
H04N 7/26 (2006.01)
- (21) International Application Number:  
PCT/EP2006/050897
- (22) International Filing Date:  
13 February 2006 (13.02.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
 

05101224.3	18 February 2005 (18.02.2005)	EP
0550477	21 February 2005 (21.02.2005)	FR
05102465.1	29 March 2005 (29.03.2005)	EP
05290819.1	13 April 2005 (13.04.2005)	EP
- (71) Applicant (for all designated States except US): THOMSON LICENSING [FR/FR]; 46, Quai Alphonse Le Gallo, F-92100 Boulogne Billancourt (FR).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BOISSON, Guillaume [FR/FR]; 12 rue Jean Malo-Renault, F-35000

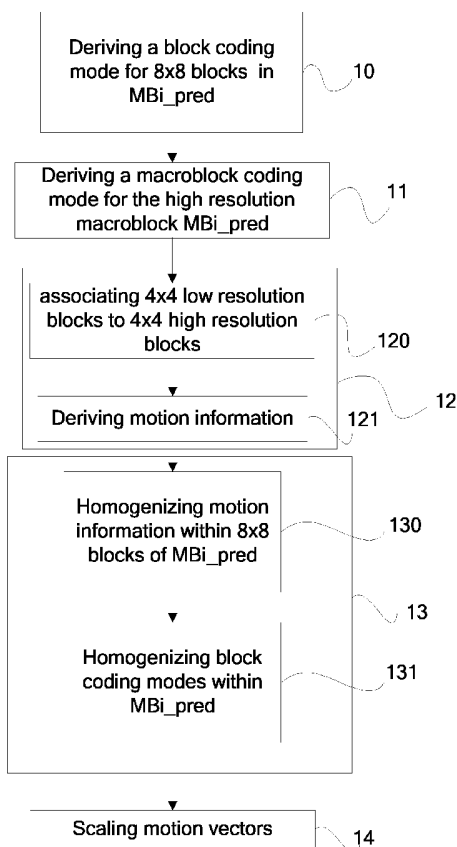
Rennes (FR). BURDIN, Nicolas [FR/FR]; 4 Square Armand de la Rouerie, F-35700 Rennes (FR). FRANCOIS, Edouard [FR/FR]; 18 Allée du Locar, F-35890 Bourg des Comptes (FR). LOPEZ, Patrick [FR/FR]; 4 allée du Clos de la Mercerye, F-35450 Livre sur Changeon (FR). MARQUANT, Gwenaelle [FR/FR]; 1 rue du Général de Gaulle, F-35340 Liffre (FR). VIERON, Jérôme [FR/FR]; 15 rue Frédéric Cournet, F-35000 Rennes (FR).

(74) Agents: LE DANTEC, Claude et al.; THOMSON, 46, Quai Alphonse Le Gallo, F-92100 Boulogne Billancourt (FR).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

[Continued on next page]

(54) Title: METHOD FOR DERIVING CODING INFORMATION FOR HIGH RESOLUTION IMAGES FROM LOW RESOLUTION IMAGES AND CODING AND DECODING DEVICES IMPLEMENTING SAID METHOD



(57) Abstract: The invention relates to spatially scalable encoding and decoding processes using a method for deriving coding information. More particularly, it relates to a method for deriving coding information used to encode high resolution images from coding information used to encode low resolution images when the ratio between high resolution and low resolution images dimensions is a multiple of 3/2. The method mainly comprises the following steps: - deriving (10) a block coding mode for each 8x8 blocks of a prediction macroblock *Mbi\_pred* from the macroblock coding mode of the associated base layer macroblocks on the basis of the macroblock class of *Mbi* and on the basis of the position of the 8x8 block within *Mbi\_pred*; - deriving (11) a macroblock coding mode for *Mbi\_pred* from the coding modes of the associated base layer macroblocks; and - deriving (12) motion information for each macroblock *Mbi\_pred* from the motion information of the associated base layer macroblocks.

WO 2006/087314 A1



(84) **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report

— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHOD FOR DERIVING CODING INFORMATION FOR HIGH  
RESOLUTION IMAGES FROM LOW RESOLUTION IMAGES AND CODING  
AND DECODING DEVICES IMPLEMENTING SAID METHOD

5           1. Field of the invention

The invention relates to spatially scalable encoding and decoding processes that use a method for deriving coding information. More particularly, it relates to a method, also called inter-layer prediction method, for deriving coding information for high resolution images from the coding  
10 information of low resolution images.

          2. Background of the invention

State-of-art scalable hierarchical coding methods allow to encode the information hierarchically in order that it can be decoded at different resolution  
15 and/or quality levels. A data stream generated by a scalable coding device is thus divided into several layers, a base layer and one or more enhancement layers, also called high layers. These devices allow to adapt a unique data stream to variable transmission conditions (bandwidth, error rate ...) and also to the capacities of reception devices (CPU, characteristics of reproduction  
20 device...). A spatially scalable hierarchical encoding method encodes (or decodes) a first part of data called base layer relating to low resolution images, and from this base layer encodes (or decodes) at least another data part called enhancement layer relating to high resolution images. The coding information relating to enhancement layer are possibly inherited (i.e. derived)  
25 from coding information relating to the base layer by a method called inter-layer prediction method. The derived coding information may possibly comprise: a partitioning pattern associated with block of pixels of the high resolution image (for splitting said block into several sub-blocks), coding modes associated with said blocks, possibly motion vectors and one or more  
30 image reference indices associated with some blocks allowing to reference the image used to predict said block. A reference image is an image of the sequence used to predict another image of the sequence. Thus, if not explicitly coded in the data stream, the coding information relating to the enhancement layer has to be derived from the coding information relating to

low resolution images. State-of-art methods for deriving coding information cannot be used for high resolution images whose format is not linked to the format of low resolution images by a dyadic transform.

### 3. Summary of the invention

5           The invention relates to a method for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image, each image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a first size. Non-overlapping sets of three lines of three macroblocks  
10 defines hyper-macroblocks and coding information comprises at least macroblock coding modes and block coding modes. According to the invention, at least one macroblock of the at least one low resolution image part, called low resolution macroblock, is associated with each macroblock of the high resolution image part, called high resolution macroblock, so that the  
15 associated low resolution macroblock covers at least partly the high resolution macroblock when the low resolution image part upsampled by a predefined ratio multiple of 1,5 in both horizontal and vertical direction is superposed with the high resolution image part. The method comprises the following steps:

- deriving a block coding mode for each block of a first size in the high  
20 resolution image part, called high resolution block of a first size, from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock to which the high resolution block of a first size belongs, on the basis of the position of the high resolution block of a first size in the high resolution macroblock and on the basis of the position within an  
25 hyper-macroblock of the high resolution macroblock, called macroblock class; and/or
- deriving a macroblock coding mode for each high resolution macroblock in the high resolution image part from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock on the  
30 basis of the class of the high resolution macroblock.

According to a preferred embodiment, a macroblock coding mode of a macroblock is called INTER if the macroblock is predicted temporally for

coding or is called INTRA if the macroblock is not predicted temporally for coding. A macroblock coding mode is thus derived for a high resolution macroblock from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock as follows:

- 5           - if the high resolution macroblock is a center macroblock of an hyper-macroblock, four low resolution macroblocks are associated with the high resolution macroblock, then if the macroblock coding modes of the four low resolution macroblocks are INTRA then the high resolution macroblock coding mode is INTRA else the high resolution macroblock coding mode is INTER ;
- 10           - if the high resolution macroblock is one of the four corner macroblocks of an hyper-macroblock then if the macroblock coding mode of the low resolution macroblock associated with the high resolution macroblock is INTRA then the high resolution macroblock coding mode is INTRA else the high resolution macroblock coding mode is INTER;
- 15           - if high resolution macroblock is one of the two vertical macroblocks of an hyper-macroblock located above and below the center macroblock of the hyper-macroblock, two low resolution macroblocks are associated with the high resolution macroblock, then if the modes of both the low resolution macroblocks are INTRA then the high resolution macroblock coding mode is
- 20 INTRA else high resolution macroblock coding mode is INTER.
- if high resolution macroblock is one of the two horizontal macroblock of an hyper-macroblock located on the left and on the right of the center macroblock of the hyper-macroblock, two low resolution macroblocks are associated with the high resolution macroblock, then if the modes of both the
- 25 low resolution macroblocks are INTRA then the high resolution macroblock coding mode is INTRA else high resolution macroblock coding mode is INTER.

Each high resolution macroblock of the high resolution image part is divided in four non-overlapping blocks of a first size arranged in two lines of

30 two blocks, one block located top left, called block B<sub>1</sub>, one block located top right, called block B<sub>2</sub>, one block located bottom left, called block B<sub>3</sub>, one block located bottom right, called block B<sub>4</sub>. According to a preferred embodiment, a block coding mode of a block is called INTER if the block is predicted temporally for coding or is called INTRA if the block is not predicted temporally

for coding. Advantageously, a block coding mode is derived for each high resolution block of a first size which belong to a center macroblock of an hyper-macroblock from the macroblock coding modes of the four low resolution macroblocks associated with the center macroblock, one low resolution macroblock located top left, called macroblock cMB1, one low resolution macroblock located top right, called macroblock cMB2, one low resolution macroblock located bottom left, called macroblock cMB3, one low resolution macroblock located bottom right, called macroblock cMB4, as follows:

- 10 - if the macroblock coding mode of cMB1 is INTRA then block coding mode of B1 is INTRA else the block coding mode of B1 is INTER;
- if the macroblock coding mode of cMB2 is INTRA then block coding mode of B2 is INTRA else the block coding mode of B2 is INTER;
- if the macroblock coding mode of cMB3 is INTRA then block coding mode of
- 15 B3 is INTRA else the block coding mode of B3 is INTER; and
- if the macroblock coding mode of cMB4 is INTRA then block coding mode of B4 is INTRA else the block coding mode of B4 is INTER.

A block coding mode is derived for each high resolution blocks of a first size which belong to a corner macroblock of an hyper-macroblock from the macroblock coding modes of the low resolution macroblock, called macroblock cMB, associated with the corner macroblock as follows:

- if the macroblock coding mode of cMB is INTRA then block coding modes of B1, B2, B3 and B4 are INTRA;
- else the block coding modes of B1, B2, B3 and B4 are INTER.

25 A block coding mode is derived for each high resolution blocks of a first size which belong to a vertical macroblock of an hyper-macroblock from the macroblock coding modes of the two low resolution macroblocks associated with the vertical macroblock, one low resolution macroblock located left, called macroblock cMBl, one low resolution macroblock located right, called macroblock cMBr, as follows:

- 30 - if the macroblock coding mode of cMBl is INTRA then block coding modes of B1 and B3 are INTRA else block coding modes of B1 and B3 are INTER; and
- if the macroblock coding mode of cMBr is INTRA then block coding modes of B2 and B4 are INTRA else block coding modes of B2 and B4 are INTER.

A block coding mode is derived for each high resolution blocks of a first size which belong to an horizontal macroblock of an hyper-macroblock from the macroblock coding modes of the two low resolution macroblocks associated with the horizontal macroblock, one low resolution macroblock located top, called macroblock cMBu, one low resolution macroblock located bottom, called macroblock cMBd, as follows:

- if the macroblock coding mode of cMBu is INTRA then block coding modes of B1 and B2 are INTRA else block coding modes of B1 and B2 are INTER; and
- 10 - if the macroblock coding mode of cMBd is INTRA then block coding modes of B3 and B4 are INTRA else block coding modes of B3 and B4 are INTER.

Preferentially, the method further comprises a step for homogenizing block coding modes of blocks of a first size within each high resolution macroblock when the high resolution macroblock contains at least one block of a first size whose block coding mode is INTRA.

Advantageously, coding information further comprises motion information and the method further comprises a step for deriving motion information for each high resolution macroblock from motion information of the low resolution macroblocks associated with the high resolution macroblock.

20 The step for deriving motion information for a high resolution macroblock comprises the following steps:

- associating with each block of a second size in the high resolution macroblock, called high resolution block of a second size, a block of a second size in the low resolution macroblocks associated with the high resolution macroblock, called low resolution block of a second size, on the basis of the class of the high resolution macroblock and on the basis of the position of the high resolution block of a second size within the high resolution macroblock; and

- deriving motion information for each block of a second size in the high resolution macroblock from motion information of the low resolution block of a second size associated with the high resolution block of a second size.

30 Preferentially, the motion information of one block or one macroblock comprises at least one motion vector having a first and a second component and at least one reference index associated with the motion vector selected

among a first or a second list of reference indices, the indices identifying reference images.

Advantageously, after the step for deriving motion information, the method further comprises a step for homogenizing, for each high layer macroblock, motion information between sub-blocks of same block of a first size. This step consists, for each list of reference indices, in:

- identifying, for each high resolution block of a first size of the high layer macroblock, the lowest index of the sub-blocks among the reference indices of said list of reference indices;
- 10       - associating the lowest reference index with each of the sub-blocks whose current reference index is not equal to the lowest reference index, the current reference index becoming a previous reference index; and
- associating, with each of the sub-block whose previous reference index is not equal to the lowest index, the motion vector of one of its neighboring
- 15       sub-block whose the previous reference index is equal to the lowest reference index.

Preferentially, the associated motion vector is the motion vector of the first neighboring sub-block encountered when checking first the horizontal neighboring sub-block, secondly the vertical neighboring sub-block and thirdly

20       diagonal neighboring sub-block.

Preferentially, the motion vector components of motion vectors of each high resolution macroblock in the high resolution image part and of each block in high resolution macroblocks if any are scaled by the following equations:

$$\begin{cases} d_{sx} = (dx * 3 + \text{sign}[d_x]) / 2 \\ d_{sy} = (dy * 3 + \text{sign}[d_y]) / 2 \end{cases}$$

- 25       Where: -  $d_x$  and  $d_y$  represent the coordinates of the derived motion vector;
- $d_{sx}$  and  $d_{sy}$  represents the coordinates of the scaled motion vector;
- and       -  $\text{sign}[x]$  is equal to 1 when  $x$  is positive and -1 when  $x$  is negative.

According to a specific embodiment, predefined ratio equals three divided by two and the blocks of a first size have a size of 8 by 8 pixels, the

30       macroblocks have a size of 16 by 16 pixels, and the blocks of a second size have a size of 4 by 4 pixels.



Preferentially, the method is part of a process for coding video signals and/or is part of a process for decoding video signals.

The invention also relates to a device for coding at least a sequence of high resolution images and a sequence of low resolution images, each image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a first size. It comprises:

- first coding means for coding the low resolution images, said first coding means generating coding information for the low resolution images and a base layer data stream;
- inheritance means for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image; and
- second coding means for coding the high resolution images using said derived coding information, said second coding means generating an enhancement layer data stream.

Moreover, the invention relates to a device for decoding at least a sequence of high resolution images and a sequence of low resolution images coded with the coding device defined previously, the coded images being represented by a data stream and each image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a first size. It comprises:

- first decoding means for decoding at least a first part of the data stream in order to generate low resolution images and coding information of the low resolution images;
- inheritance means for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image; and
- second decoding means for decoding at least a second part of the data stream using the derived coding information in order to generate high resolution images.

According to an important feature of the invention, non-overlapping sets of three lines of three macroblocks in said at least one image part of said high resolution image defining hyper-macroblocks and said coding information comprising at least macroblock coding modes and block coding modes, the inheriting means of the coding and decoding devices comprise:

- means for associating at least one macroblock of the low resolution image part, called low resolution macroblock, with each macroblock of the high resolution image part, called high resolution macroblock, so that the associated low resolution macroblock covers at least partly the high resolution macroblock when the low resolution image part upsampled by a predefined ratio multiple of 1,5 in both horizontal and vertical direction is superposed with the high resolution image part;
- means for deriving a block coding mode for each block of a first size in the high resolution image part, called high resolution block of a first size, from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock to which the high resolution block of a first size belongs, on the basis of the position of the high resolution block of a first size in the high resolution macroblock and on the basis of the position, called macroblock class, of the high resolution within an hyper-macroblock macroblock; and/or
- means for deriving a macroblock coding mode for each high resolution macroblock in the high resolution image part from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock on the basis of the class of the high resolution macroblock.

Advantageously, the coding device further comprises a module for combining said base layer data stream and said enhancement layer data stream into a single data stream.

Advantageously, the decoding device further comprises extracting means for extracting said first part of said data stream and said second part of said data stream from said data stream.

#### 4. Drawings

Other features and advantages of the invention will appear with the following description of some of its embodiments, this description being made  
5 in connection with the drawings in which:

- Figure 1 depicts the geometrical relations between high and low resolution images;
- Figure 2 identifies (grey-colored area) the macroblocks of the high resolution image that can be predicted using inter-layer prediction;
- 10 – Figure 3 depicts partitioning and sub-partitioning patterns according to MPEG4 AVC;
- Figure 4 depicts an hyper-macroblock (i.e. 9 enhancement layer macroblocks), the four base layer macroblocks associated with said enhancement layer macroblocks and the upsampled version of these four  
15 base layer macroblocks;
- Figure 5 depicts an hyper-macroblock whose macroblocks are labeled with a class (Corner, Vertical, Horizontal and Center) depending on their position within the hyper-macroblock;
- Figure 6 an hyper-macroblock of 9 macroblock superposed with the four  
20 upsampled base layer macroblocks associated with them;
- Figure 7 depicts the flowchart of the method according to the invention;
- Figure 8 depicts a macroblock divided into four 8x8 blocks;
- Figure 9 depicts a macroblock divided into 16 4x4 blocks;
- Figure 10 depicts an 8x8 block divided into four 4x4 blocks;
- 25 – Figure 11 depicts an encoding device according to the invention; and
- Figure 12 depicts a decoding device according to the invention.

#### 5. Detailed description of the invention

The invention relates to a method for deriving coding information of at  
30 least a part of a high resolution from coding information of at least a part of a low resolution image when the ratio between the high resolution image part dimensions and the low resolution image part dimensions are linked with a specific ratio, called inter-layer ratio, equal to  $3/2$  which corresponds to a non

dyadic transform. The method can be extended to inter-layer ratios that are multiple of  $3/2$ . Each image is divided in macroblocks. A macroblock of a low resolution image is called low resolution macroblock or base layer macroblock and is denoted BL MB. A macroblock of a high resolution image is called high resolution macroblock or high layer macroblock and is denoted HL MB. The preferred embodiment describes the invention in the context of spatially scalable coding and decoding and more particularly in the context of spatially scalable coding and decoding in accordance with the standard MPEG4 AVC described in the document ISO/IEC 14496-10 entitled « Information technology -- Coding of audio-visual objects -- Part 10: Advanced Video Coding ». In this case, the low resolution images are coded and thus decoded according to the coding/decoding processes described in said document. When coding low resolution images coding information is associated with each macroblock in said low resolution image. This coding information comprises for example partitioning and sub-partitioning of the macroblock in blocks, coding mode (e.g. inter coding mode, intra coding mode ...), motion vectors and reference indices. A reference index associated with a current block of pixels allows to identify the image in which the block used to predict current block is located. According to MPE4-AVC, two reference index lists  $L_0$  and  $L_1$  are used. The method according to the invention thus allows to derive such coding information for the high resolution images, more precisely for at least some macroblocks comprised in these images. The high resolution images are then possibly coded using these derived coding information. In this case, the number of bits required to encode the high resolution images is decreased since no coding information is encoded in the data stream for each macroblock whose coding information is derived from low resolution images. Indeed, since the decoding process uses the same method for deriving coding information for the high resolution images, there is no need to transmit it.

In the sequel, two spatial layers are considered, a low layer (called base layer) corresponding to the images of low resolution and a high layer (called enhancement layer) corresponding to the images of high resolution. The high and low resolution images may be linked by the geometrical relations depicted on the figure 1. Width and height of enhancement layer images (i.e. high resolution images) are defined respectively by  $w_{\text{enh}}$  and  $h_{\text{enh}}$ .

Width and height of base layer images (i.e. low resolution images) are defined respectively by  $w_{base}$  and  $h_{base}$ . Low resolution images may be a downsampled version of sub-images of enhancement layer images, of dimensions  $w_{extract}$  and  $h_{extract}$ , positioned at coordinates  $(x_{orig}, y_{orig})$  in the enhancement layer images coordinates system. Low and high resolution images may also be provided by different cameras. In this case, the low resolution images are not obtained by downsampling high resolution images and geometrical parameters may be provided by external means (e.g. by the cameras themselves). The values  $x_{orig}$  and  $y_{orig}$  are aligned on the macroblock structure of the high resolution image (i.e. for a macroblock of size 16 by 16 pixels,  $x_{orig}$  and  $y_{orig}$  have to be multiple of 16). On figure 1, the bold line delimits the part of the high resolution image, called cropping window that is put in correspondence with the low resolution image. More generally, the part of the high resolution image is put in correspondence with a part of the low resolution image. A base layer macroblock is associated with a macroblock of the high resolution image part if when superposing the low resolution image part upsampled by the inter-layer ratio in both directions with the high resolution image part delimited by the cropped window, the associated base layer macroblock covers at least partly the macroblock of the high resolution image. On borders of the enhancement layer image, macroblocks may either have no base layer associated macroblock, or be only partially covered by scaled base layer macroblocks. Consequently a different managing of the inter layer prediction than in the document from the Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG JVT-N021 entitled "Joint Scalable Video Model JSVM 1", J.Reichel, H.Schwarz, M.Wien is necessary. This document is referenced as [JSVM1] in the sequel.

In the context of spatially scalable coding process such as described in [JSVM1], high resolution macroblocks may be coded using classical coding modes (i.e. intra prediction and inter prediction) as those used to encode low resolution images. Besides, some specific macroblocks of high resolution images may use a new mode called inter-layer prediction mode (i.e. inter layer motion and texture prediction). This latter mode is notably authorized for enhancement layer macroblocks fully covered by scaled based layer, that is, whose coordinates  $(Mb_x, Mb_y)$  verify the following conditions (i.e. grey-colored

area in figure 2 where bold line represents the upsampled base layer window and delimits the cropping window:

$$MB_x \geq \text{scaled\_base\_column\_in\_mbs} \text{ and}$$

$$MB_x < \text{scaled\_base\_column\_in\_mbs} + \text{scaled\_base\_width} / 16$$

5 And

$$MB_y \geq \text{scaled\_base\_line\_in\_mbs} \text{ and}$$

$$MB_y < \text{scaled\_base\_line\_in\_mbs} + \text{scaled\_base\_height} / 16$$

Where: -  $\text{scaled\_base\_column\_in\_mbs} = x_{\text{orig}} / 16$ ;

-  $\text{scaled\_base\_line\_in\_mbs} = y_{\text{orig}} / 16$ ;

10 -  $\text{scaled\_base\_width} = w_{\text{extract}}$  ; and

-  $\text{scaled\_base\_height} = h_{\text{extract}}$ .

Macroblocks that do not follow these conditions may only use classical modes, i.e. intra prediction and inter-prediction modes, while macroblocks following these conditions may use either intra prediction, inter prediction or inter-layer prediction modes. Such enhancement layer macroblock can exploit inter-layer prediction using scaled base layer motion information, using either "BASE\_LAYER\_MODE" or "QPEL\_REFINEMENT\_MODE", as in the case of the macroblock aligned dyadic spatial scalability described in [JSVM1]. When using "QPEL\_REFINEMENT\_MODE" mode a quarter-sample motion vector refinement is achieved. Afterward, the encoding process will have to decide for each macroblock fully included in the cropping window, which coding mode to select between intra, inter prediction or and inter-layer. Before deciding which mode to finally select, it is required to derive for each macroblock in the grey-colored area the coding information that will be used to predict this macroblock if inter-layer coding mode if finally selected by the encoding process.

15

20

25

The figure 3 represents the partitioning of a macroblock in blocks according to MPEG4 AVC. On the first line, macroblocks are represented with the different possible macroblock partition as proposed in MPEG4 AVC (e.g. block of size 16 by 8 pixels, called 16x8 block, block 8 by 16 pixels, called block 8x16, and 8 by 8 pixels, called block 8x8). The second line of figure 3 represent blocks of size 8 by 8 pixels (8x8 blocks) with the different possible 8x8 block partition, also called sub-partition, as proposed in MPEG4 AVC. Indeed according to MPEG4 AVC when a macroblock is divided into 4 blocks

30

8x8, each of said blocks may be further divided in 8x4 sub-blocks, in 8x4 sub-blocks, or in 4x4 sub-blocks.

The method for deriving coding information, also called inter-layer prediction, is described in the sequel for a group of nine macroblocks referenced  $M_{HR}$  on figure 4, called hyper-macroblock  $SM_{HR}$ , of the high resolution image and can be extended directly to the colored grey-area identified on figure 2. Assuming the 3/2 ratio, these 9 macroblocks inherit from 4 macroblocks of the base layer as depicted on figure 4. More precisely, the method according to the invention consists in determining for each macroblock  $M_{HR}$  a possible partition and sub-partition in blocks of smaller size (for example in blocks 8x8, 8x16, 16x8, 8x4, 4x8, or 4x4) and possibly associated parameters (e.g. motion vectors and reference indices) to each block belong to it. The macroblocks enclosed in an hyper-macroblock  $SM_{HR}$  can be classified in 4 classes depending on their respective position as depicted on figures 5 and 6. The macroblocks located in the corner of the hyper-macroblock  $SM_{HR}$  are referenced Corner\_0, Corner\_1, Corner\_2 and Corner\_3, the macroblock located in the center of the hyper-macroblock is referenced C, the macroblocks located on a vertical axe above and below C are referenced Vert\_0 and Vert\_1, and the macroblocks located on an horizontal axe left and right from C are referenced Hori\_0 and Hori\_1.

According to a preferred embodiment, a prediction macroblock  $MBi_{pred}$  also called inter-layer motion predictor is associated with each macroblock  $MBi$  of an hyper-macroblock. According to another embodiment, a macroblock  $MBi$  inherits directly from base layer macroblocks without using such a prediction macroblock. In this case  $MBi_{pred}$  is identified with  $MBi$  in the method described below.

The method for deriving  $MBi_{pred}$  coding information is depicted on figure 7 and comprises the steps of:

- 30 – deriving (10) a block coding mode (also called block label) for each 8x8 blocks of the prediction macroblock  $MBi_{pred}$  from the macroblock coding mode (also called macroblock label) of the associated base layer macroblocks on the basis of the macroblock

- class of  $MBi$  and on the basis of the position of the 8x8 block within the prediction macroblock; and/or
- deriving (11) a macroblock coding mode for the prediction macroblock  $MBi\_pred$  from the coding modes of the associated base layer macroblocks;
  - deriving (12) motion information (i.e. reference indices and motion vectors) for each prediction macroblock  $MBi\_pred$  from the motion information of the associated base layer macroblocks:
    - o associating (120) with each 4x4 block of  $MBi\_pred$ , a 4x4 base layer block;
    - o deriving (121) motion information for each 4x4 block of  $MBi\_pred$  on the basis of the motion information of the associated 4x4 base layer block;
  - cleaning (13) 8x8 block and macroblock:
    - o homogenizing motion information (130) within each 8x8 block of  $MBi\_pred$  by merging reference indices and motion vectors;
    - o homogenizing block coding modes (131) within  $MBi\_pred$  by removing isolated 8x8 intra blocks;
  - scaling (14) motion vectors.

A macroblock coding mode or macroblock label contains information on the type of macroblock prediction, i.e. temporal prediction (INTER) or spatial prediction (INTRA) and for INTER macroblock coding modes it may further contain information on how a macroblock is partitioned (i.e. divided in sub-blocks). The macroblock coding mode INTRA means that the macroblock will be intra coded, while the macroblock coding mode defined as  $MODE\_X\_Y$  means that the macroblock will be predicted and that it is furthermore partitioned into blocks of size X by Y as depicted on figure 3. The same description applies to block coding modes defined as INTRA or INTER and for INTER block coding modes as  $BLK\_X\_Y$ .

To each macroblock  $MBi$  of an hyper-macroblock, is associated a set containing the base layer associated macroblocks as depicted on figure 6. More precisely, the nine macroblocks of an hyper-macroblock are superposed



with four upsampled base layer macroblocks depending on the geometrical parameters defined previously, i.e.  $x_{orig}$  and  $y_{orig}$ . To each upsampled base layer macroblock is associated the coding information of the base layer macroblock from which it is upsampled. This upsampling step is not required is just described for sake of clarity. For example, to a macroblock  $MBi$  classified as Corner\_0 corresponds a single base layer macroblock, the base layer macroblock referenced 1 on figure 4, while to a macroblock  $MBi$  classified as Vert\_0 corresponds two base layer macroblocks, those referenced 1 and 2 on figure 4. In the sequel, a base layer macroblock is identified with its upsampled version. Then, according to the mode of these latter macroblocks, a specific block coding mode is derived for each 8x8 block of  $MBi_{pred}$ . This step 10 is referenced as "8x8 block coding mode labelling". A macroblock coding mode is also directly derived for  $MBi_{pred}$ . This step 11 is referenced as "Macroblock coding mode labelling". In the following, 8x8 blocks of a macroblock are referenced B1, B2, B3, B4 as indicated in figure 8. For each  $MBi$  of the hyper-macroblock the following process is applied:

IF  $MBi$  class is "Corner" THEN,

8x8 block coding mode labelling

- As depicted on figure 6, a single base layer macroblock, referenced cMB afterward, corresponds to the macroblock  $MBi$ . Then according to the mode of cMB, a label for each 8x8 block of  $MBi_{pred}$  is derived as follows:
- IF  $mode[cMB] == INTRA$ , i.e. the macroblock coding mode associated with cMB is the INTRA mode, THEN all 8x8 blocks are labeled as INTRA blocks
- ELSE the 8x8 blocks labels are given by the following table :

Corner_0		B1	B2	B3	B4
Corner_1		B2	B1	B4	B3
Corner_2		B3	B4	B1	B2
Corner_3		B4	B3	B2	B1
cMB mode	MODE_SKIP	BLK_8x8	BLK_4x8	BLK_8x4	BLK_4x4
	MODE_8x8	BLK_8x8	BLK_4x8	BLK_8x4	BLK_4x4
	MODE_8x16	BLK_8x8	BLK_4x8	BLK_8x8	BLK_4x8
	MODE_16x8	BLK_8x8	BLK_8x8	BLK_8x4	BLK_8x4
	MODE_16x16	BLK_8x8	BLK_8x8	BLK_8x8	BLK_8x8

Thus for example, if mode[cMB]==MODE\_8x16 and if the MBi under consideration is the macroblock referenced Corner\_0 on figure 5 or 6, the 8x8 block B1 of MBi\_pred is thus labeled as BLK\_8x8 while the block B2 of MBi\_pred is labeled as BLK\_4x8.

Macroblock coding mode labelling

- IF mode[cMB]==INTRA THEN, MBi\_pred mode is labeled INTRA;
- ELSE IF mode[cMB]==MODE\_16x16 THEN MBi\_pred is labeled MODE\_16x16;
- ELSE MBi\_pred is labeled MODE\_8x8.

IF MBi class is "Vertical" THEN,

8x8 block coding mode labelling

- As depicted on figure 6, two base layer macroblocks correspond to the macroblock MBi. They are referenced cMBI and cMBr (l for left and r for right) in the sequel. Then according to their modes, a label or block coding mode for each 8x8 block of MBi\_pred is derived as follows:
- IF mode[cMBI]==INTRA, THEN B1 and B3 are labeled as INTRA blocks
- ELSE the B1 and B3 labels are directly given by the following table

25

Vert_0 Vert_1		B1 B3	B3 B1
cMBI mode	MODE_SKIP MODE_8x8 MODE_16x8	BLK_8x8	BLK_8x4
	MODE_16x16 MODE_8x16	BLK_8x8	BLK_8x8

- IF mode[cMBr]==INTRA, THEN B2 and B4 are labeled as INTRA blocks
- ELSE B2 and B4 labels are directly given by the following table :

Vert_0 Vert_1		B2 B4	B4 B2
cMBr mode	MODE_SKIP MODE_8x8 MODE_16x8	BLK_8x8	BLK_8x4
	MODE_16x16 MODE_8x16	BLK_8x8	BLK_8x8

Thus for example, if mode[cMBI]==MODE\_8x16, if mode[cMBr]==MODE\_8x8 and if the MBi under consideration is the macroblock referenced Vert\_0 on figure 5 or 6, the 8x8 blocks B1 and B3 of MBi\_pred are both labeled as BLK\_8x8 while the block B2 of MBi\_pred is labeled as BLK\_8x8 and the block B2 of MBi\_pred is labeled as BLK\_8x4.

Macroblock coding mode labelling

- IF mode[cMBI]==INTRA and mode[cMBr]==INTRA THEN, MBi\_pred is labeled INTRA;
- ELSE IF at least one 8x8 block coding mode is equal to BLK\_8x4 THEN MBi\_pred is labeled MODE\_8x8;
- ELSE, IF mode[cMBI]==INTRA or mode[cMBr]==INTRA, THEN MBi\_pred is labeled MODE\_16x16;
- ELSE MBi\_pred is labeled MODE\_8x16;

IF MBi class is "Horizontal" THEN,

8x8 block coding mode labelling

- As depicted on figure 6, two base layer macroblocks correspond to the macroblock MBi. They are referenced cMBu and cMBd (u for up and d for down) in the sequel. Then according to their modes, a label for each 8x8 block of MBi\_pred is derived as follows:
- IF mode[cMBu]==INTRA, THEN B1 and B2 are labeled as INTRA blocks
- ELSE the B1 and B2 labels are directly given by the following table :

Hori_0 Hori_1		B1 B2	B2 B1
cMod u	MODE_SKIP MODE_8x8 MODE_8x16	BLK_8x8	BLK_4x8

	MODE_16x16 MODE_16x8	BLK_8x8	BLK_8x8
--	-------------------------	---------	---------

- IF mode[cMBd]==INTRA, THEN B3 and B4 are labeled as INTRA blocks
- ELSE B3 and B4 labels are directly given by the following table :

5

Hori_0 Hori_1		B3 B4	B4 B3
cMBd mode	MODE_SKIP MODE_8x8 MODE_8x16	BLK_8x8	BLK_4x8
	MODE_16x16 MODE_16x8	BLK_8x8	BLK_8x8

Macroblock coding mode labelling

- IF mode[cMBu]==INTRA and mode[cMBd]==INTRA THEN, MBi\_pred is labeled INTRA;
- 10 - ELSE IF at least one 8x8 block coding mode is equal to BLK\_4x8 THEN MBi\_pred is labeled MODE\_8x8;
- ELSE, IF mode[cMBI]==INTRA or mode[cMBR]==INTRA, THEN MBi\_pred is labeled MODE\_16x16;
- ELSE MBi\_pred is labeled MODE\_16x8.

15

IF MBi class is "Center" THEN,

8x8 block coding mode labelling

- As depicted on figure 6, four base layer macroblocks correspond to the macroblock MBi. They are referenced cMB1, cMB2, cMB3 and cMB4 in the sequel (they are the four macroblocks of the base layer associated with the current hyper macroblock and referenced 1, 2, 3 and 4 on figure 4). Then according to their modes, a label for each 8x8 block of MBi\_pred is derived as follows:

25

- For each Bj
  - IF mode[cMBj]==INTRA, THEN Bj is labeled as INTRA blocks
  - ELSE Bj is labeled as BLK\_8x8.

Macroblock coding mode labelling

- IF all mode[cMBj] are equal to INTRA THEN, MBi\_pred is labeled INTRA;
- ELSE MBi\_pred is labeled MODE\_8x8.

5

The step 12 consists in deriving for each macroblock *MBi\_pred* motion information from the motion information of its associated base layer macroblocks.

To this aim a first step 120 consists in associating with each 4x4 block of the macroblock *MBi\_pred*, a base layer 4x4 block also called low resolution 4x4 block (from the base layer associated macroblocks). In the following, the 4x4 blocks location within a macroblock are identified by their number as indicated on figure 9. For each 4x4 blocks of a macroblock *MBi\_pred*, the associated base layer 4x4 block is defined on the basis of the *MBi* class and of the number of the 4x4 block within the macroblock *MBi\_pred* as specified in the following tables :

		4x4 Block number of MBi_pred															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
<b>MBi Classes</b>	<b>Corner_0</b>	0	0	1	2	0	0	1	2	4	4	5	6	8	8	9	10
	<b>Corner_1</b>	1	2	3	3	1	2	3	3	5	6	7	7	9	10	11	11
	<b>Corner_2</b>	4	4	5	6	8	8	9	10	12	12	13	14	12	12	13	14
	<b>Corner_3</b>	5	6	7	7	9	10	11	11	13	14	15	15	13	14	15	15
	<b>Vert_0</b>	3	3	0	0	3	3	0	0	7	7	4	4	11	11	8	8
	<b>Vert_1</b>	7	7	4	4	11	11	8	8	15	15	12	12	15	15	12	12
	<b>Hori_0</b>	12	12	13	14	12	12	13	14	0	0	1	2	0	0	1	2
	<b>Hori_1</b>	13	14	15	15	13	14	15	15	1	2	3	3	1	2	3	3
	<b>Center</b>	15	15	12	12	15	15	12	12	3	3	0	0	3	3	0	0

The second table defined below gives the number of the associated macroblock (among the four macroblocks referenced 1, 2, 3, and 4 on figure 4) of the low resolution image to which the 4x4 block of the low resolution image identified by the previous table belongs.

20

		4x4 Block number of MBi_pred															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
MBi Classes	Corner_0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Corner_1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	Corner_2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
	Corner_3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
	Vert_0	0	0	1	1	0	0	1	1	0	0	1	1	0	0	1	1
	Vert_1	2	2	3	3	2	2	3	3	2	2	3	3	2	2	3	3
	Hori_0	0	0	0	0	0	0	0	0	2	2	2	2	2	2	2	2
	Hori_1	1	1	1	1	1	1	1	1	3	3	3	3	3	3	3	3
	Center	0	0	1	1	0	0	1	1	2	2	3	3	2	2	3	3

A second step 121 consists in inheriting (i.e. deriving) motion information of MBi\_pred from base layer associated macroblocks. For each list *listx* ( $Lx = 0$  or  $1$ ), the 4x4 block of MBi\_pred gets the reference index and motion vector from the associated base layer 4x4 block which has been identified previously by its number. More precisely, the enhancement layer 4x4 block gets the reference index and motion vectors from the base layer block (i.e. partition or sub-partition) to which the associated base layer 4x4 block belongs. For example, if the associated base layer 4x4 block belongs to a base layer macroblock whose coding mode is MODE\_8x16, then the 4x4 block of MBi\_pred gets the reference index and motion vectors from the base layer 8x16 block to which associated base layer 4x4 block belongs.

According to a specific embodiment, If MBi\_pred coding mode is not sub-partitioned (e.g. for example labeled with MODE\_16x8), then it is not required to check each 4x4 blocks belonging to it. Indeed, the motion information inherited by one of the 4x4 blocks belonging to one of the macroblock partition (e.g. 16x8 block) may be associated with the whole partition.

According to a preferred embodiment, the step 13 consists in cleaning each MBi\_pred in order to remove configurations that are not compatible with a given coding standard, in this case MPEG4 AVC. This step may be avoid if the inheriting method is used by a scalable coding process that does not require to generate a data stream in accordance with MPEG4 AVC.

To this aim a step 130 consists in homogenizing the 8x8 blocks of macroblocks *MBi\_pred* with configurations not compatible with MPEG4-AVC standard by removing these 8x8 blocks configurations. For example,

according to MPEG4-AVC, for each list, 4x4 blocks belonging to the same 8x8 block should have the same reference indices. The reference indice for a given list  $L_x$  referenced as  $r_{b_i}(L_x)$  and the motion vector referenced as  $mv_{b_i}(L_x)$  associated with a 4x4 block  $b_i$  within an 8x8 block are thus possibly merged. In the following, each 4x4 blocks  $b_i$  of an 8x8 block B are identified as indicated in figure 10. In the sequel, predictor[B] represents the 4x4 block predictor  $b_i$  of the 8x8 block B. This predictor[B] is defined as follows:

IF (MBi class is equal to Corner\_X (With X=0..3) or MBi class is equal to Hori\_X (With X=0..1)) THEN,  
 10 Predictor[B] is set to  $b_{(X+1)}$   
 ELSE, IF (MBi class is equal to Vert\_X (With X=0..1))  
 Predictor[B] is set to  $b_{(2*X+1)}$   
 OTHERWISE nothing is done.

For each 8x8 block B (i.e.  $B_1, B_2, B_3, B_4$  as depicted on figure 8) of a macroblock MBi\_pred, the following reference indices and motion vectors choice is applied:

- for each list  $L_x$  (i.e.  $L_0$  or  $L_1$ )
  - IF no 4x4 block uses this list , i.e. has no reference index in this list, THEN, no reference index and motion vector of this list are set to  $B$
  - 20 - ELSE, reference index  $r_B(L_x)$  for  $B$  is computed as follows  
 IF B block coding mode is equal to BLK 8x4 or BLK 4x8 THEN,  
 IF  $r_{b_1}(L_x)$  is equal to  $r_{b_3}(L_x)$  THEN,  $r_B(L_x) = r_{b_1}(L_x)$   
 ELSE  
 Let  $r_{predictor}(L_x)$  be the reference index of Predictor[B]  
 25 IF  $r_{predictor}(L_x)$  is not equal to -1, i.e. is available, THEN,  $r_B(L_x) = r_{predictor}(L_x)$   
 ELSE, IF predictor[B] is equal to  $b_1$  THEN,  $r_B(L_x) = r_{b_3}(L_x)$   
 ELSE,  $r_B(L_x) = r_{b_1}(L_x)$   
 ELSE IF B block coding mode is equal to BLK 4x4  
 30 index  $r_B(L_x)$  for  $B$  is computed as the minimum of the existing reference indices of the four 4x4 blocks of B block:
    - $r_B(L_x) = \min_{b \in \{b_1, b_2, b_3, b_4\}} (r_b(L_x))$
  - IF ( $r_{b_1}(L_x) \neq r_B(L_x)$ ) THEN,

- $r_{b1}(Lx) = r_B(Lx)$
- IF ( $r_{b2}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b1}(Lx) = mv_{b2}(Lx)$
- ELSE IF ( $r_{b3}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b1}(Lx) = mv_{b3}(Lx)$
- ELSE IF ( $r_{b4}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b1}(Lx) = mv_{b4}(Lx)$
- 5 – IF ( $r_{b2}(Lx) != r_B(Lx)$ ) THEN,
  - $r_{b2}(Lx) = r_B(Lx)$
  - IF ( $r_{b1}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b2}(Lx) = mv_{b1}(Lx)$
  - ELSE IF ( $r_{b4}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b2}(Lx) = mv_{b4}(Lx)$
  - ELSE IF ( $r_{b3}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b2}(Lx) = mv_{b3}(Lx)$
- 10 – IF ( $r_{b3}(Lx) != r_B(Lx)$ ) THEN,
  - $r_{b3}(Lx) = r_B(Lx)$
  - IF ( $r_{b4}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b3}(Lx) = mv_{b4}(Lx)$
  - ELSE IF ( $r_{b1}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b3}(Lx) = mv_{b1}(Lx)$
  - ELSE IF ( $r_{b2}(Lx) == r_B(Lx)$ ) THEN,  $mv_{b3}(Lx) = mv_{b2}(Lx)$
- 15 – IF ( $r_{b4}(Lx) != r_B(Lx)$ ) THEN,
  - $r_{b4}(Lx) = r_B(Lx)$
  - IF ( $r_{b3}(Lx) == r_B(Lx)$ )  $mv_{b4}(Lx) = mv_{b3}(Lx)$
  - ELSE IF ( $r_{b2}(Lx) == r_B(Lx)$ )  $mv_{b4}(Lx) = mv_{b2}(Lx)$
  - ELSE IF ( $r_{b1}(Lx) == r_B(Lx)$ )  $mv_{b4}(Lx) = mv_{b1}(Lx)$
- 20 A step 131 consists in cleaning (i.e. homogenizing) the macroblocks *MBi\_pred* with configurations not compatible with MPEG4-AVC by removing within these macroblocks the remaining (i.e. isolated) INTRA 8x8 blocks and to enforce them to be INTER 8x8 blocks. Indeed MPEG4 AVC does not allow to have within a macroblock 8x8 INTRA blocks and INTER 8x8 blocks. Step
- 25 131 may be applied before step 130. This step is applied to the *MBi\_pred* associated with the macroblocks *MBi* whose class is *Vert\_0*, *Vert\_1*, *Hori\_0*, *Hori\_1*, or *C*. In the sequel, *Vertical\_predictor[B]* and *Horizontal\_predictor[B]* represent respectively the vertical and horizontal 8x8 blocks neighbours of the 8x8 block *B*.
- 30 IF *mode[MBi] == MODE\_8x8* THEN,
  - For each 8x8 blocks
    - 8x8 blocks whose block coding mode is INTRA are enforced to be INTER blocks with 8x8 partitioning, i.e. are labelled *BLK\_8x8*. Their



reference indices and motion vectors are computed as follows. Let  $B_{INTRA}$  be such a 8x8 block.

- IF Horizontal\_predictor[ $B_{INTRA}$ ] is not classified as INTRA THEN,
  - for each list  $lx$ 
    - reference index  $r(lx)$  is equal to reference index  $rhoriz(lx)$  of its horizontal predictor; and
    - motion vector  $mv(lx)$  is equal to motion vector  $mvhoriz(lx)$  of its horizontal predictor.
- ELSE, IF Vertical\_predictor[ $B_{INTRA}$ ] is not classified as INTRA THEN,
  - for each list  $lx$ 
    - reference index  $r(lx)$  is equal to reference index  $rvert(lx)$  of its vertical predictor; and
    - motion vector  $mv(lx)$  is equal to motion vector  $mvvert(lx)$  of its horizontal predictor.
- ELSE,
  - Clean Horizontal\_predictor[ $B_{INTRA}$ ], i.e. the step 141 is applied on the block Horizontal\_predictor[ $B_{INTRA}$ ];
  - Clean  $B_{INTRA}$ , i.e. the step 141 is applied on the block  $B_{INTRA}$ .

The step 14 consists in scaling derived motion vectors. To this aim, a motion vector scaling is applied to every existing motion vectors of the prediction macroblock  $MBi\_pred$ . A motion vector  $mv = (d_x, d_y)$  is scaled using the following equations:

$$\begin{cases} d_{sx} = (dx * 3 + sign[d_x]) / 2 \\ d_{sy} = (dy * 3 + sign[d_y]) / 2 \end{cases}$$

where  $sign[x]$  is equal to 1 when  $x$  is positive and -1 when  $x$  is negative.

Steps 10 to 14 allows to derive coding information for each  $MBi$  (or for each corresponding intermediate structure  $MBi\_pred$ ) fully included in the

cropping window from the coding information of associated macroblocks and blocks of base layer.

The following optional step consists in predicting texture based on the same principles as inter layer motion prediction. This step may also be referenced as inter layer texture prediction step. It can be possibly used for macroblocks fully embedded in the scaled base layer window cropping window (grey-colored area in Figure 2). For Intra texture prediction, the interpolation filter is applied across transform blocks boundaries. For residual texture prediction, this process only works inside transform blocks (4x4 or 8x8 depending on the transform).

The process in a decoding device works as follows. Let  $MB_i$  be an enhancement layer texture macroblock to be interpolated. Texture samples of  $MB_i$  are derived as follows:

Let  $(x_P, y_P)$  be the position of the upper left pixel of the macroblock in the enhancement layer coordinates reference. A base layer prediction array is first derived as follows:

- the corresponding quarter-pel position  $(x_4, y_4)$  of  $(x_P, y_P)$  in the base layer is computed as:

$$\begin{cases} x_4 = (x_P * 3) / 3 \\ y_4 = (y_P * 3) / 3 \end{cases}$$

- the integer-pel position  $(x_B, y_B)$  is then derived as:

$$\begin{cases} x_B = x_4 \gg 2 \\ y_B = y_4 \gg 2 \end{cases}$$

- the quarter-pel phase is then derived as:

$$\begin{cases} px = x_4 - x_B \ll 2 \\ py = y_4 - y_B \ll 2 \end{cases}$$

The base layer prediction array corresponds to the samples contained in the area  $(x_B-8, y_B-8)$  and  $(x_B+16, y_B+16)$ . The same filling process, as used in the dyadic case and described in [JSVM1], is applied to fill samples areas corresponding to non existing or non available samples (for instance, in case of intra texture prediction, samples that do not belong to intra blocks). The base layer prediction array is then upsampled. The upsampling is applied in two steps : first, texture is upsampled using the AVC half pixel 6-tap filter

defined in the document JVT-N021 from the Joint Video Team (JVT) of ISO/IEC MPEG & ITU-T VCEG, entitled "Draft ITU-T Recommendation and Final Draft International Standard of Joint Video Specification (ITU-T Rec. H.264 | ISO/IEC 14496-10 AVC)" and written by T. Wiegand, G. Sullivan and  
 5 A. Luthra, then a bilinear interpolation is achieved to build the quarter pel samples, which results in a quarter-pel interpolation array. For intra texture, this interpolation crosses block boundaries. For residual texture, interpolation does not cross transform block boundaries.

The prediction sample  $\text{pred}[x, y]$  at each position  $(x, y)$ ,  $x=0..N-1, y=0..N-1$ , of the enhancement layer block is computed as:

$$\text{pred}[x, y] = \text{interp}[x_I, y_I]$$

$$\text{with} \begin{cases} x_I = px + 8 * x / 3 \\ y_I = py + 8 * y / 3 \end{cases}$$

$\text{interp}[x_I, y_I]$  is the quarter-pel interpolated base layer sample at position  $(x_I, y_I)$

15

#### Inter-Layer Intra texture Prediction

A given macroblock MB of current layer can exploit intra layer residual prediction only if co-located macroblocks of the base layer exist and are intra macroblocks. For generating the intra prediction signal for high-pass  
 20 macroblocks coded in I\_BL mode, the corresponding 8x8 blocks of the base layer high-pass signal are directly de-blocked and interpolated, as in case of 'standard' dyadic spatial scalability. The same padding process is applied for deblocking.

#### 25 Inter-Layer Residual Prediction

A given macroblock MB of current layer can exploit inter layer residual prediction only if co-located macroblocks of the base layer exist and are not intra macroblocks. At the encoder, the upsampling process consists in upsampling each elementary transform block, without crossing the block  
 30 boundaries. For instance, if a MB is coded into four 8x8 blocks, four upsampling processes will be applied on exactly 8x8 pixels as input. The interpolation process is achieved in two steps : first, the base layer texture is

upsampled using the AVC half pixel 6-tap filter; then a bilinear interpolation, is achieved to build the quarter pel samples. Interpolated enhancement layer samples The nearest quarter pel position is chosen as the interpolated pixel.

5           The invention concerns a coding device 8 depicted on figure 11. The coding device 8 comprises a first coding module 80 for coding the low resolution images. The module 80 generates a base layer data stream and coding information for said low resolution images. Preferentially the module 80 is adapted to generate a base layer data stream compatible with MPEG4 AVC  
10           standard. The coding device 8 comprises inheritance means 82 used to derive coding information for high resolution images from the coding information of the low resolution images generated by the first coding module 80. The inheritance means 82 are adapted to implement the steps 10, 11, 12, 13 and 14 of the method according to the invention. The coding device 8 comprises a  
15           second coding module 81 for coding the high resolution images. The second coding module 81 uses the coding information derived by the inheritance means 82 in order to encode the high resolution images. The second coding module 81 thus generates an enhancement layer data stream. Preferentially, the coding device 8 also comprises a module 83 (for example a multiplexer)  
20           that combines the base layer data stream and the enhancement layer data stream provided by the first coding module 80 and the second coding module 81 respectively to generate a single data stream. The coding information related to the high resolution images are not coded in the data stream since they are derived from the coding information related to the low resolution  
25           images that are provided by the module 80. This allows to save some bits.

          The invention also concerns a decoding device 9 depicted on figure 12. This device 9 receives a data stream generated with the coding device 8. The decoding device 9 comprises a first decoding module 91 for decoding a first  
30           part of the data stream, called base layer data stream, in order to generate low resolution images and coding information for said low resolution images. Preferentially the module 91 is adapted to decode a data stream compatible with MPEG4 AVC standard. The decoding device 9 comprises inheritance means 82 used to derive coding information for high resolution images from

the coding information of the low resolution images generated by the first decoding module 91. The decoding device 9 comprises a second decoding module 92 for decoding a second part of the data stream, called enhancement layer data stream. The second decoding module 92 uses the coding information derived by the inheritance means 82 in order to decode a second part of the data stream. The second decoding module 92 thus generates the high resolution images. Advantageously, the device 9 comprises also an extracting module 90 (e.g. a demultiplexer) for extracting from the received data stream the base layer data stream and the enhancement layer data stream.

According to another embodiment the decoding device receives two data stream: a base layer data stream and an enhancement layer data stream. In this case the device 9 does not comprise an extracting module 90.

The invention is not limited to the embodiments described. Particularly, the invention described for two sequences of images, i.e. two spatial layers, may be used to encode more than two sequences of images.

## Claims

1. Method for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image, each image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a first size, characterized in that, non-overlapping sets of three lines of three macroblocks in said at least one image part of said high resolution image defining hyper-macroblocks and said coding information comprising at least macroblock coding modes and block coding modes, at least one macroblock of said at least one low resolution image part, called low resolution macroblock, is associated with each macroblock of said at least one high resolution image part, called high resolution macroblock, so that said associated low resolution macroblock covers at least partly said high resolution macroblock when said at least one low resolution image part upsampled by a predefined ratio multiple of 1,5 in both horizontal and vertical direction is superposed with said at least one high resolution image part and in that said method comprises the following steps:
- deriving (10) a block coding mode for each block of a first size in said at least one high resolution image part, called high resolution block of a first size, from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock to which said high resolution block of a first size belongs, on the basis of the position of said high resolution block of a first size in said high resolution macroblock and on the basis of the position, called macroblock class, of said high resolution macroblock within an hyper-macroblock; and/or
  - deriving (11) a macroblock coding mode for each high resolution macroblock in said at least one high resolution image part from the macroblock coding modes of the low resolution macroblocks associated with said high resolution macroblock on the basis of the class of said high resolution macroblock.
2. Method according to claim 1, wherein a macroblock coding mode of a macroblock is called INTER if said macroblock is predicted temporally for coding or is called INTRA if said macroblock is not predicted temporally for

coding and wherein a macroblock coding mode is derived (11) for a high resolution macroblock from the macroblock coding modes of the low resolution macroblocks associated with said high resolution macroblock as follows:

5           - if said high resolution macroblock is a center macroblock of an hyper-macroblock, four low resolution macroblocks are associated with said high resolution macroblock, then if the macroblock coding modes of said four low resolution macroblocks are INTRA then said high resolution macroblock coding mode is INTRA else said high resolution macroblock coding mode is  
10 INTER ;

          - if said high resolution macroblock is one of the four corner macroblocks of an hyper-macroblock then if the macroblock coding mode of the low resolution macroblock associated with said high resolution macroblock is INTRA then said high resolution macroblock coding mode is INTRA else said  
15 high resolution macroblock coding mode is INTER;

          - if high resolution macroblock is one of the two vertical macroblocks of an hyper-macroblock located above and below the center macroblock of said hyper-macroblock, two low resolution macroblocks are associated with said high resolution macroblock, then if the modes of both said low resolution  
20 macroblocks are INTRA then said high resolution macroblock coding mode is INTRA else high resolution macroblock coding mode is INTER.

          - if high resolution macroblock is one of the two horizontal macroblock of an hyper-macroblock located on the left and on the right of the center macroblock of said hyper-macroblock, two low resolution macroblocks are  
25 associated with said high resolution macroblock, then if the modes of both said low resolution macroblocks are INTRA then said high resolution macroblock coding mode is INTRA else high resolution macroblock coding mode is INTER.

30 3. Method according to claim 2, wherein each high resolution macroblock of said at least one high resolution image part is divided in four non-overlapping blocks of a first size arranged in two lines of two blocks, one block located top left, called block B<sub>1</sub>, one block located top right, called block B<sub>2</sub>, one block located bottom left, called block B<sub>3</sub>, one block located bottom right, called

block B<sub>4</sub>, wherein a block coding mode of a block is called INTER if said block is predicted temporally for coding or is called INTRA if said block is not predicted temporally for coding, and wherein a block coding mode is derived (10) for each high resolution block of a first size which belong to a center  
5 macroblock of an hyper-macroblock from the macroblock coding modes of the four low resolution macroblocks associated with said center macroblock, one low resolution macroblock located top left, called macroblock cMB1, one low resolution macroblock located top right, called macroblock cMB2, one low resolution macroblock located bottom left, called macroblock cMB3, one low  
10 resolution macroblock located bottom right, called macroblock cMB4, as follows:

- if the macroblock coding mode of cMB1 is INTRA then block coding mode of B1 is INTRA else said block coding mode of B1 is INTER;
- if the macroblock coding mode of cMB2 is INTRA then block coding mode of  
15 B2 is INTRA else said block coding mode of B2 is INTER;
- if the macroblock coding mode of cMB3 is INTRA then block coding mode of B3 is INTRA else said block coding mode of B3 is INTER; and
- if the macroblock coding mode of cMB4 is INTRA then block coding mode of B4 is INTRA else said block coding mode of B4 is INTER.

20

4. Method according to claim 2 or 3, wherein each high resolution macroblock of said at least one high resolution image part is divided in four non-overlapping blocks of a first size arranged in two lines of two blocks, one block located top left, called block B<sub>1</sub>, one block located top right, called block B<sub>2</sub>,  
25 one block located bottom left, called block B<sub>3</sub>, one block located bottom right, called block B<sub>4</sub>, wherein a block coding mode of a block is called INTER if said block is predicted temporally for coding or is called INTRA if said block is not predicted temporally for coding and wherein a block coding mode is derived (10) for each high resolution blocks of a first size which belong to a  
30 corner macroblock of an hyper-macroblock from the macroblock coding modes of the low resolution macroblock, called macroblock cMB, associated with said corner macroblock as follows:

- if the macroblock coding mode of cMB is INTRA then block coding modes of B1, B2, B3 and B4 are INTRA;



- else said block coding modes of B1, B2, B3 and B4 are INTER.

5. Method according to any of claim 2 to 4, wherein each high resolution macroblock of said at least one high resolution image part is divided in four non-overlapping blocks of a first size arranged in two lines of two blocks, one block located top left, called block B<sub>1</sub>, one block located top right, called block B<sub>2</sub>, one block located bottom left, called block B<sub>3</sub>, one block located bottom right, called block B<sub>4</sub>, wherein a block coding mode of a block is called INTER if said block is predicted temporally for coding or is called INTRA if said block is not predicted temporally for coding and wherein a block coding mode is derived (10) for each high resolution blocks of a first size which belong to a vertical macroblock of an hyper-macroblock from the macroblock coding modes of the two low resolution macroblocks associated with said vertical macroblock, one low resolution macroblock located left, called macroblock cMBL, one low resolution macroblock located right, called macroblock cMBR, as follows:

- if the macroblock coding mode of cMBL is INTRA then block coding modes of B<sub>1</sub> and B<sub>3</sub> are INTRA else block coding modes of B<sub>1</sub> and B<sub>3</sub> are INTER; and  
- if the macroblock coding mode of cMBR is INTRA then block coding modes of B<sub>2</sub> and B<sub>4</sub> are INTRA else block coding modes of B<sub>2</sub> and B<sub>4</sub> are INTER.

6. Method according to any of claim 2 to 5, wherein each high resolution macroblock of said at least one high resolution image part is divided in four non-overlapping blocks of a first size arranged in two lines of two blocks, one block located top left, called block B<sub>1</sub>, one block located top right, called block B<sub>2</sub>, one block located bottom left, called block B<sub>3</sub>, one block located bottom right, called block B<sub>4</sub>, wherein a block coding mode of a block is called INTER if said block is predicted temporally for coding or is called INTRA if said block is not predicted temporally for coding and wherein a block coding mode is derived (10) for each high resolution blocks of a first size which belong to an horizontal macroblock of an hyper-macroblock from the macroblock coding modes of the two low resolution macroblocks associated with said horizontal macroblock, one low resolution macroblock located top, called macroblock

cMBu, one low resolution macroblock located bottom, called macroblock cMBd ,as follows:

- if the macroblock coding mode of cMBu is INTRA then block coding modes of B1 and B2 are INTRA else block coding modes of B1 and B2 are INTER ;

5 and

- if the macroblock coding mode of cMBd is INTRA then block coding modes of B3 and B4 are INTRA else block coding modes of B3 and B4 are INTER.

7. Method according to any of claims 3 to 6, wherein said method further  
10 comprises a step (131) for homogenizing block coding modes of blocks of a first size within each high resolution macroblock when said high resolution macroblock contains at least one block of a first size whose block coding mode is INTRA.

15 8. Method according to any of claims 1 to 7, wherein said coding information further comprises motion information and wherein said method further comprises a step for deriving (12) motion information for each high resolution macroblock from motion information of the low resolution macroblocks associated with said high resolution macroblock.

20

9. Method according to claim 8, wherein the step for deriving (12) motion information for a high resolution macroblock comprises the following steps:

- associating (120) with each block of a second size in said high resolution macroblock, called high resolution block of a second size, a block of  
25 a second size in the low resolution macroblocks associated with said high resolution macroblock, called low resolution block of a second size, on the basis of the class of said high resolution macroblock and on the basis of the position of said high resolution block of a second size within said high resolution macroblock; and

30 - deriving (121) motion information for each block of a second size in said high resolution macroblock from motion information of the low resolution block of a second size associated with said high resolution block of a second size.

10. Method according to claim 8 or 9, wherein said motion information of one block or one macroblock comprises at least one motion vector having a first and a second component and at least one reference index associated with said motion vector selected among a first or a second list of reference indices,  
5 said indices identifying reference images.

11. Method according to claim 10, wherein, after the step (12) for deriving motion information, the method further comprises a step (130) for homogenizing, for each high layer macroblock, motion information between  
10 sub-blocks of same block of a first size and wherein said step (130) consists, for each list of reference indices, in:

- identifying, for each high resolution block of a first size of said high layer macroblock, the lowest index of said sub-blocks among the reference indices of said list of reference indices;

15 - associating said lowest reference index with each of said sub-blocks whose current reference index is not equal to said lowest reference index, said current reference index becoming a previous reference index; and

- associating, with each of said sub-block whose previous reference index is not equal to said lowest index, the motion vector of one of its  
20 neighboring sub-block whose said previous reference index is equal to said lowest reference index.

12. Method according to claim 11, wherein the associated motion vector is the motion vector of the first neighboring sub-block encountered when checking  
25 first the horizontal neighboring sub-block, secondly the vertical neighboring sub-block and thirdly diagonal neighboring sub-block.

13. Method according to any claims 10 to 12, wherein the motion vector components of motion vectors of each high resolution macroblock in said at  
30 least one high resolution image part and of each block in high resolution macroblocks if any are scaled by the following equations:

$$\begin{cases} d_{sx} = (dx * 3 + \text{sign}[d_x]) / 2 \\ d_{sy} = (dy * 3 + \text{sign}[d_y]) / 2 \end{cases}$$

Where: -  $d_x$  and  $d_y$  represent the coordinates of the derived motion vector;  
-  $d_{sx}$  and  $d_{sy}$  represents the coordinates of the scaled motion vector;  
and -  $sign[x]$  is equal to 1 when  $x$  is positive and -1 when  $x$  is negative.

5 14. Method according to any of claims 1 to 13, wherein said predefined ratio equals 1.5.

15. Method according to any of claims 1 to 14, wherein said blocks of a first size have a size of 8 by 8 pixels, said macroblocks have a size of 16 by 16  
10 pixels, and said blocks of a second size have a size of 4 by 4 pixels.

16. Method according to any of claims 1 to 15, wherein said method is part of a process for coding video signals.

15 17. Method according to any of claims 1 to 15, wherein method is part of a process for decoding video signals.

18. Device (8) for coding at least a sequence of high resolution images and a sequence of low resolution images, each image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a  
20 first size, comprising:

- first coding means (80) for coding said low resolution images, said first coding means generating coding information for said low resolution images and a base layer data stream;
- 25 - inheritance means (82) for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image; and
- second coding means (81) for coding said high resolution images using said derived coding information, said second coding means  
30 generating an enhancement layer data stream;

characterized in that, non-overlapping sets of three lines of three macroblocks in said at least one image part of said high resolution image defining hyper-

macroblocks and said coding information comprising at least macroblock coding modes and block coding modes, the inheriting means (82) comprise:

- 5           – means for associating at least one macroblock of said at least one low resolution image part, called low resolution macroblock, with each macroblock of said at least one high resolution image part, called high resolution macroblock, so that said associated low resolution macroblock covers at least partly said high resolution macroblock when said at least one low resolution image part upsampled by a predefined ratio multiple of 1,5 in both horizontal and vertical direction is superposed with said at least one high resolution image part;
- 10           – means for deriving a block coding mode for each block of a first size in said at least one high resolution image part, called high resolution block of a first size, from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock to which said high resolution block of a first size belongs, on the basis of the position of said high resolution block of a first size in said high resolution macroblock and on the basis of the position, called macroblock class, of said high resolution within an hyper-macroblock macroblock; and/or
- 15           – means for deriving a macroblock coding mode for each high resolution macroblock in said at least one high resolution image part from the macroblock coding modes of the low resolution macroblocks associated with said high resolution macroblock on the basis of the class of said high resolution macroblock.
- 20
- 25

19. Device according to claim 18, wherein said device (8) further comprises a module (83) for combining said base layer data stream and said enhancement layer data stream into a single data stream.

30

20. Device (9) for decoding at least a sequence of high resolution images and a sequence of low resolution images coded with the device according to claim 18 or 19, the coded images being represented by a data stream and each

image being divided into non-overlapping macroblocks themselves divided into non-overlapping blocks of a first size, comprising:

- 5           – first decoding means (91) for decoding at least a first part of said data stream in order to generate low resolution images and coding information of said low resolution images;
- inheritance means (82) for deriving coding information for at least one image part of a high resolution image from coding information of at least one image part of a low resolution image; and
- 10          – second decoding means (92) for decoding at least a second part of said data stream using said derived coding information in order to generate high resolution images;

characterized in that, non-overlapping sets of three lines of three macroblocks in said at least one image part of said high resolution image defining hyper-macroblocks and said coding information comprising at least macroblock coding modes and block coding modes, said inheriting means (82) comprise:

- 15           - means for associating at least one macroblock of said at least one low resolution image part, called low resolution macroblock, with each macroblock of said at least one high resolution image part, called high resolution macroblock, so that said associated low resolution macroblock covers at least partly said high resolution macroblock when said at least one low resolution image part upsampled by a predefined ratio multiple of 1,5 in both horizontal and vertical direction is superposed with said at least one high resolution image part;
- 20           - means for deriving a block coding mode for each block of a first size in said at least one high resolution image part, called high resolution block of a first size, from the macroblock coding modes of the low resolution macroblocks associated with the high resolution macroblock to which said high resolution block of a first size belongs, on the basis of the position of said high resolution block of a first size in said high resolution macroblock and on the basis of the position within an hyper-macroblock of said high resolution macroblock, called macroblock class; and/or
- 25
- 30

5 - means for deriving a macroblock coding mode for each high resolution macroblock in said at least one high resolution image part from the macroblock coding modes of the low resolution macroblocks associated with said high resolution macroblock on the basis of the class of said high resolution macroblock.

21. Device according to claim 20, wherein said device (9) further comprises extracting means (90) for extracting said first part of said data stream and said second part of said data stream from said data stream.

10

1/8

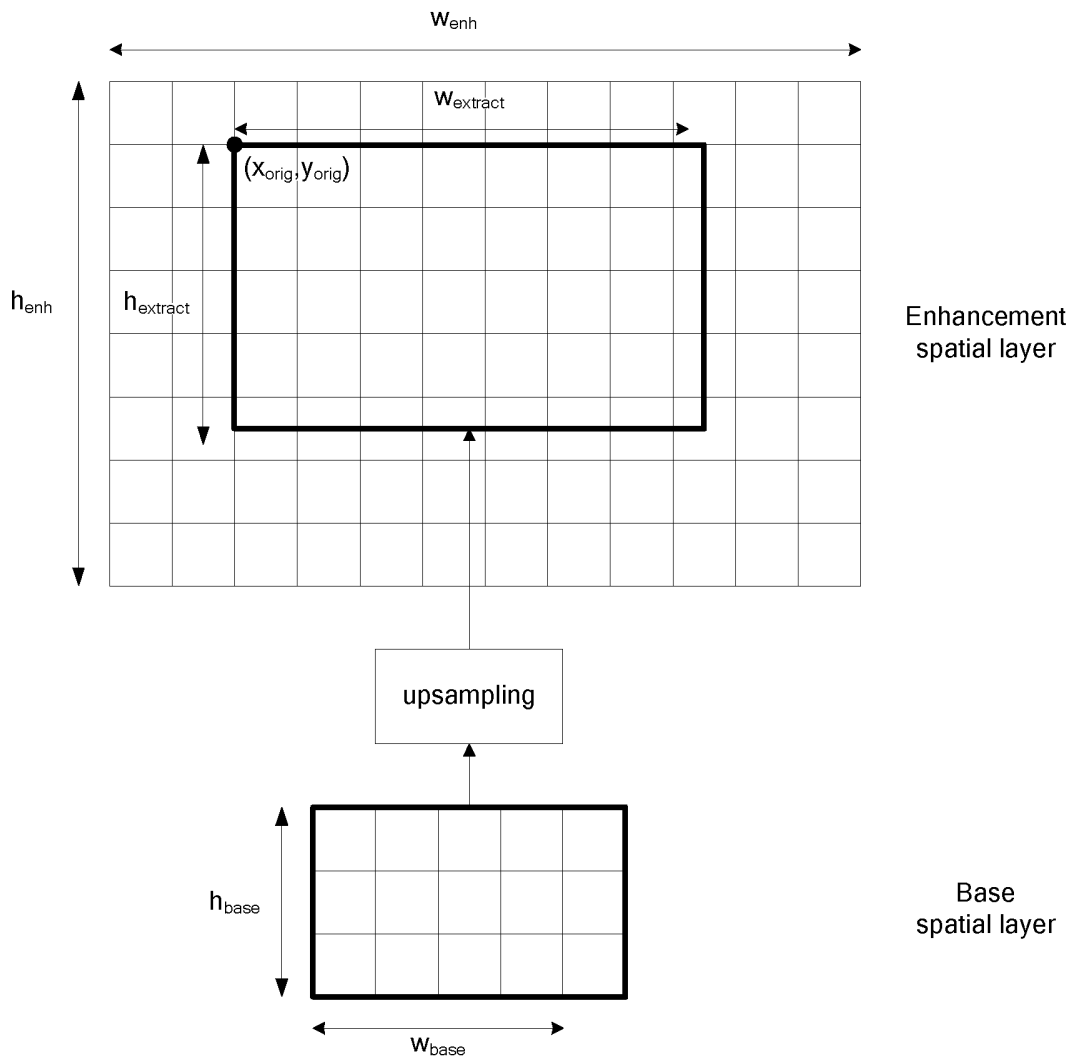


FIG. 1



2/8

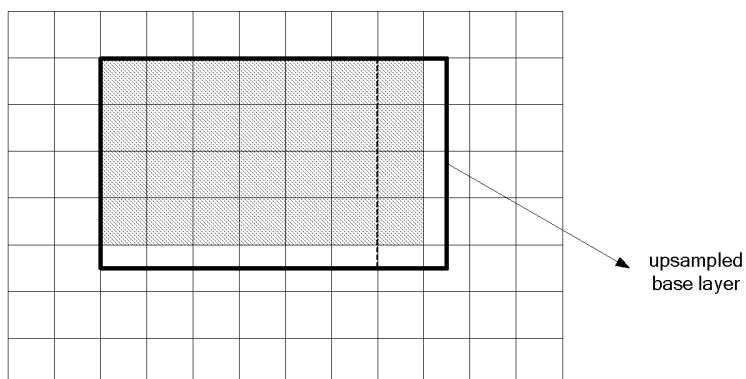


FIG. 2

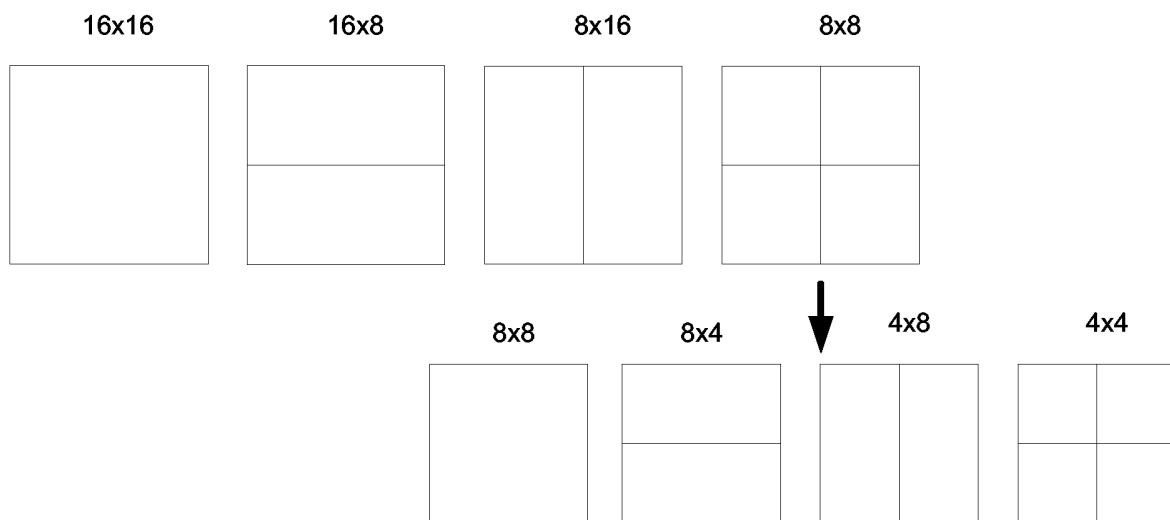


FIG. 3

3/8

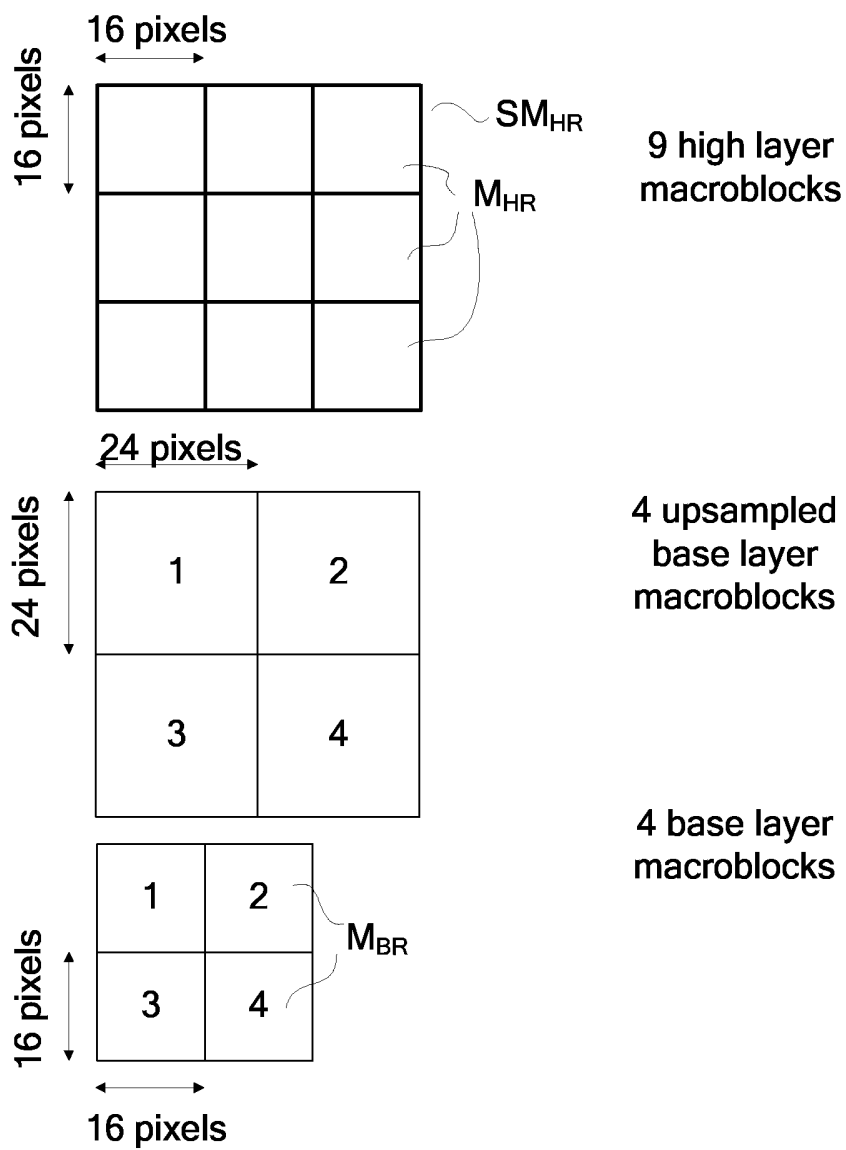


FIG. 4

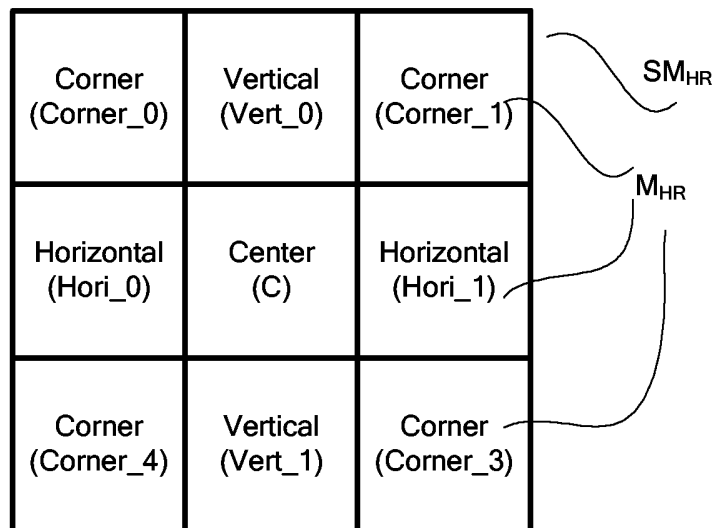


FIG. 5

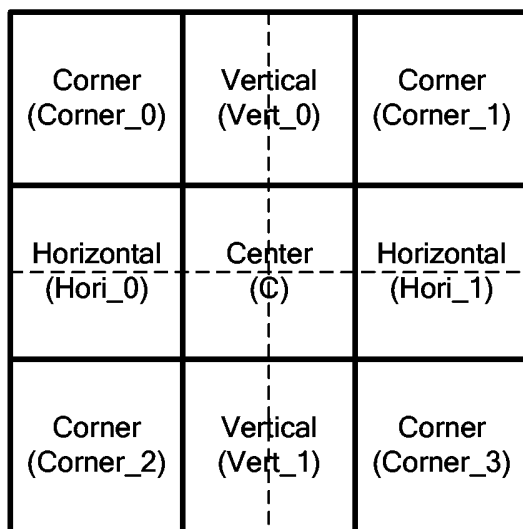


FIG. 6

5/8

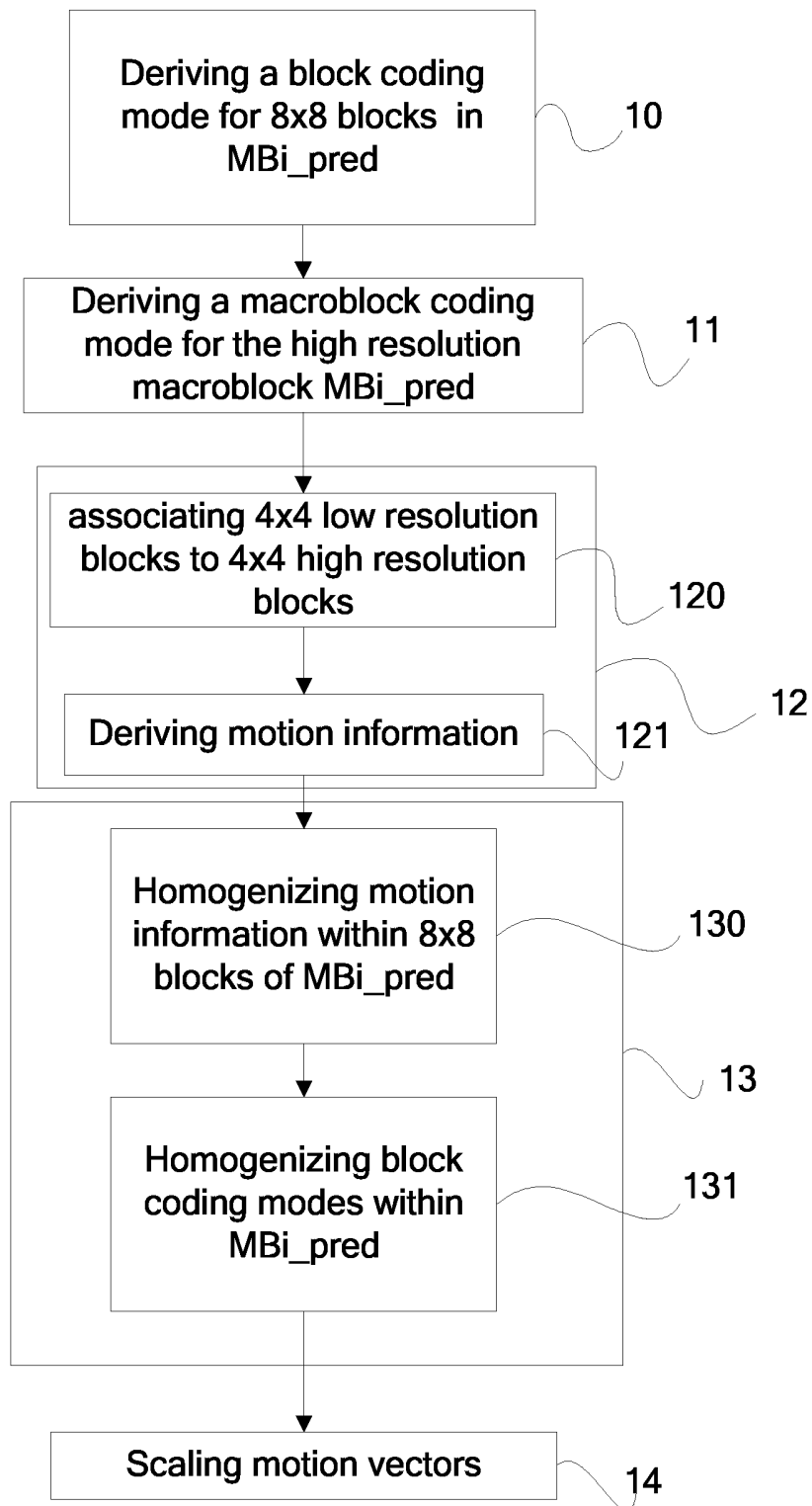


FIG. 7

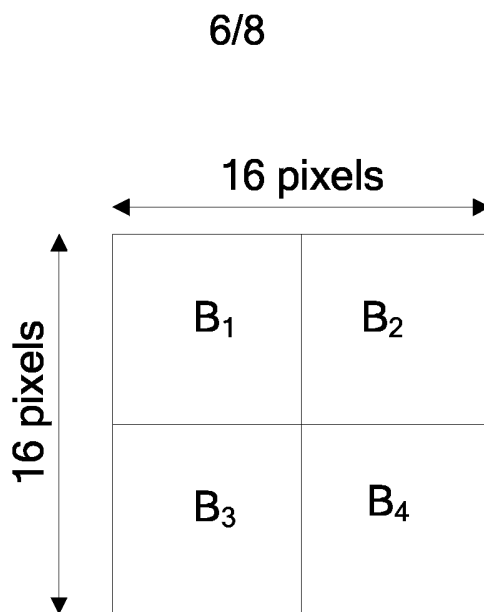


FIG. 8

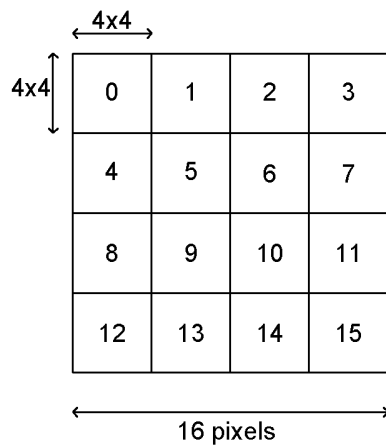


FIG. 9

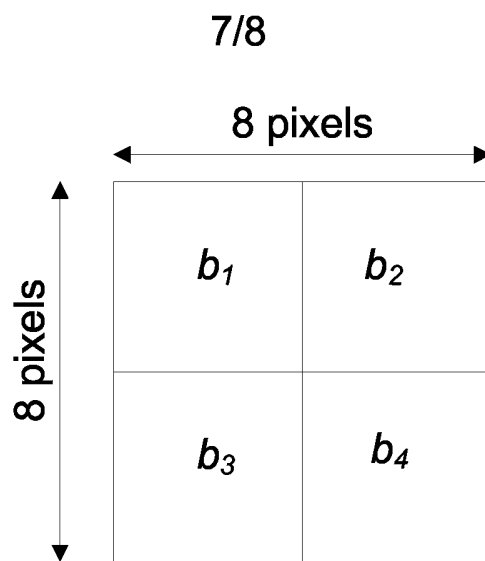


FIG. 10

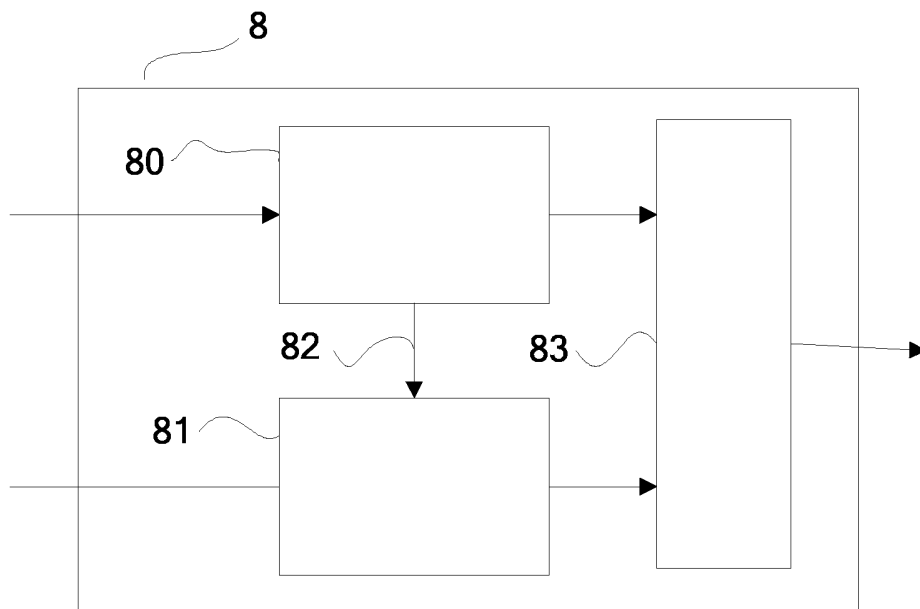


FIG. 11

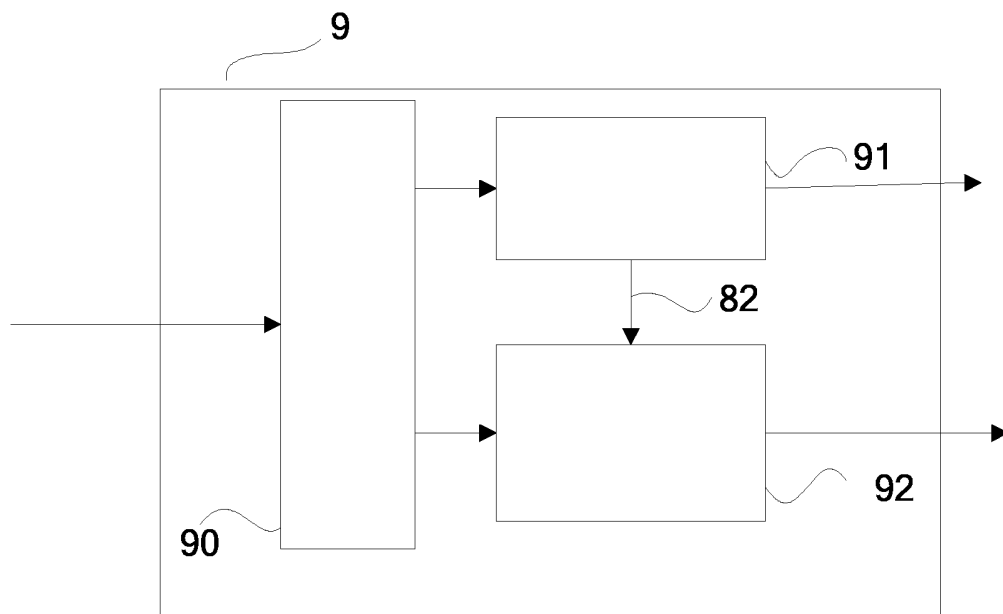


FIG. 12

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2006/050897

A. CLASSIFICATION OF SUBJECT MATTER INV. H04N7/26		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) H04N		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EP0-Internal		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"Description of core experiments in SVC" ISO/IEC JTC1/SC29/WG11/N6898, January 2005 (2005-01), XP002340411 Hong Kong paragraph [CE10]	1-21
X,P	E. FRANCOIS, J. VIERON, G. MARQUANT, N. BURDIN, P. LOPEZ: "extended spatial scalability with a 3/2 size ratio" ISO/IEC JTC1/SC29/WG11 MPEG2005/M11958, 13 April 2005 (2005-04-13), pages 1-34, XP002383335 Busan the whole document	1-21
----- -/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents :		
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"E" earlier document but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.	
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	
"P" document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search  12 June 2006	Date of mailing of the international search report  23/06/2006	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Raeymaekers, P	



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2006/050897

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.1

1. The subject matter of method claims 1 to 15 can be construed as a method for performing mental acts as such and is, therefore, excluded from patentability under Article Article 17(2) and Rule 39 PCT.

Claims 1 to 15 do not specify any technical means that would be used to carry out any of the steps of the claimed methods. Also, no tangible technical effect is achieved by the claimed methods. The methods of claims 1 to 15 may all exclusively be carried out mentally (at least in theory). Accordingly, the subject matter of claims 1 to 15 falls under the exclusion from patentability of methods for performing mental acts as such (Rule 39 PCT). In this respect, the attention of the application is drawn to decision T914/02.

2. It is suggested to overcome this objection by adding "as part of a process of coding or decoding video signals" (claims 16 and 17) after "deriving coding information" in line 1 of claim 1.

## INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2006/050897

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	REICHEL J; SCHWARZ H; WIEN M: "Joint Scalable Video Model (JSVM) 1.0 Reference Encoding Algorithm Description" JTC1/SC29/WG11 AND ITU-T SG16 Q6, N6899, January 2005 (2005-01), pages 1-39, XP002383964 cited in the application page 12, paragraph 1.2.3.2 - page 13 -----	1-21