

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
5 July 2001 (05.07.2001)

PCT

(10) International Publication Number  
**WO 01/47637 A1**

- (51) International Patent Classification<sup>7</sup>: **B01L 3/00** (74) Agents: **ROLLINS, Anthony, John et al.**; Nycomed Amersham plc, Amersham Laboratories, White Lion Road, Amersham, Buckinghamshire HP7 9LL (GB).
- (21) International Application Number: **PCT/EP00/12478**
- (22) International Filing Date:  
11 December 2000 (11.12.2000)
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:  
9904802-7 23 December 1999 (23.12.1999) **SE**
- (71) Applicant (for all designated States except US): **GYROS AB** [SE/SE]; Uppsala Science Park, S-751 83 Uppsala (SE).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **DERAND, Helene** [SE/SE]; Enstavagen 33, Taby, S-187 35 Stockholm (SE). **LARSSON, Anders** [SE/SE]; Tapetserarvagen 14, S-167 72 Bromma (SE). **VAN ALSTINE, James** [CA/SE]; Odd-var Odds vag 8, 2tr, S-112 54 Stockholm (SE).
- Published:**  
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



**WO 01/47637 A1**

(54) Title: **MICROFLUIDIC SURFACES**

(57) Abstract: A microfluidic device comprising a set of one or more, preferably more than 5, covered microchannel structures manufactured in the surface of a planar substrate. The device is characterized in that a part surface of at least one of the microchannel structures has a coat exposing a non-ionic hydrophilic polymer. The non-ionic hydrophilic polymer is preferably attached covalently directly to the part surface or to a polymer skeleton that is attached to the surface.

**MICROFLUIDIC SURFACES****Technical field**

5 The invention concerns a microfluidic device comprising a set of one or more, preferably more than 5, covered microchannel structures fabricated in the surface of a planar substrate.

By the term "covered" is meant that a lid covers the  
10 microchannel structures thereby minimising or preventing undesired evaporation of liquids. The cover/lid may have microstructures matching each microchannel structure in the substrate surface.

15 The term "fabricated" means that two-dimensional and/or three-dimensional microstructures are present in the surface. The difference between a two-dimensional and a three-dimensional microstructure is that in the former variant there are no physical barriers delineating the structure while in the  
20 latter variant there are. See for instance WO 9958245 (Larsson et al).

The part of the cover/lid, which is facing the interior of a microchannel is included in the surface of a microchannel  
25 structure.

The planar substrate typically is made of inorganic and/or organic material, preferably of plastics. For examples of various inorganic and organic materials see under the heading  
30 "Material in the microfluidic device".

A microfluidic device encompasses that there is a liquid flow that causes mass transport of solutes and/or particles dispersed in the liquid from one functional part of the

structure to another. Sole capillaries, possibly with an area for application and an area for detection, as used in capillary electrophoresis in which solutes are caused to migrate by an applied electric field for separation purposes are not microfluidic devices as contemplated in the context of the invention. An electrophoresis capillary may, however, be part of a microfluidic device if the capillary is part of a microchannel structure in which there are one or more additional functional parts from and/or to which mass transport of a solute by a liquid flow is taking place as defined above.

The liquid is typically polar, for instance aqueous such as water.

15

#### **Technical background.**

Microfluidic devices require that liquid flow easily pass through the channels and that non-specific adsorption of reagents and analytes should be as low as possible, i.e. insignificant for the reactions to be carried out.

Reagents and/or analytes includes proteins, nucleic acids, carbohydrates, cells, cell particles, bacteria, viruses etc. Proteins include any compound exhibiting poly- or oligopeptide structure.

The hydrophilicity of surfaces within microchannel structures shall support reproducible and predetermined penetration of an aqueous liquid into the various parts of a structure. It is desirable that once the liquid has passed a possible break at the entrance of a part of the structure then the liquid spontaneously shall enter the part by capillary action (passive movement). This in turn means that the hydrophilicity of the surfaces within microchannel structures becomes of

increasing importance when going from a macroformat to a microformat.

From our experience, water contact angles around 20 degrees or lower may often be needed to accomplish reliable passive fluid movement into microchannel structures. However, it is not simple to manufacture surfaces which permanently have such low water contact angles. There is often a tendency for a change in water contact angles during storage, which renders it difficult to market microfluidic devices having standardised flow properties.

The situation is complicated by the fact that methods for preparing surfaces with very low water contact angles do not necessarily reduce the ability to non-specifically adsorb reagents and sample constituents. The surface/volume ratio increases when going from a macroformat down to smaller formats. This means that the capacity for non-specific adsorption of a surface increases inversely with the volume surrounded by the surface. Non-specific adsorption therefore becomes more critical in microformat devices than in larger devices.

An unacceptable non-specific adsorption of biomolecules is often associated with the presence of hydrophobic surface structures. This particular problem therefore is often more severe in relation to surfaces made of plastics and other hydrophobic materials compared to surfaces of native silicon surfaces and other similar inorganic materials.

30

There are a number of methods available for treating surfaces to make them hydrophilic in order to reduce non-specific adsorption of various kinds of biomolecules and other reagents. However, these methods generally do not concern balancing a low non-specific adsorption with a reliable and

reproducible liquid flow when miniaturizing macroformats down into microformats. Compare for instance Elbert et al., (Annu. Rev. Mater. Sci. 26 (1996) 365-394).

- 5 Surfaces that have been rendered repelling for biopolymers in general by coating with adducts between polyethylenimines and hydrophilic polymers have been described during the last decade (Brink et al (US 5,240,994), Bergström et al., US 5,250,613; Holmberg et al., J. Adhesion Sci. Technol. 7(6)  
10 (1993) 503-517; Bergström et al., Polymer Biomaterials, Eds Cooper, Bamfors, Tsuruta, VSP (1995) 195-204; Holmberg et al., Mittal Festschrift, Eds Van Ooij, Anderson, VSP 1998, p 443-460; and Holmberg et al., Biopolymers at Interfaces, Dekker 1998 (Surfactant Science Series 75), 597-626). Sequential  
15 attachment of a polyethylenimine and a hydrophilic polymer has also been described (Kiss et al., Prog. Colloid Polym. Sci. 74 (1987) 113-119).

Non-specific adsorption and/or electroendosmosis have been  
20 controlled in capillary electrophoresis by coating the inner surface of the capillary used with a hydrophilic layer, typically in form of a hydrophilic polymer (e.g. van Alstine et al US 4,690,749; Ekström & Arvidsson WO 9800709; Hjertén, US 4,680,201 (poly methacrylamide); Karger et al., US  
25 5,840,388 (polyvinyl alcohol (PVA)); and Soane et al., US 5,858,188 and US 6,054,034 (acrylic microchannels). Capillary electrophoresis is a common name for separation techniques carried out in a narrow capillary utilizing an applied electric field for mass transport and separation of the  
30 analytes.

Larsson et al (WO 9958245, Amersham Pharmacia Biotech) presents among others a microfluidic device in which microchannels between two planar substrates are defined by the  
35 interface between hydrophilic and hydrophobic areas in at

least one of the substrates. For aqueous liquids the hydrophilic areas define the fluid pathways. Various ways of obtaining a pattern of hydrophobic and hydrophilic surfaces for different purposes are discussed, for instance, plasma  
5 treatment, coating a hydrophobic surfaces with a hydrophilic polymer etc. The hydrophilic coat polymers suggested may or may not have aryl groups suggesting that Larsson et al are not focusing on lowering the water contact angle as much as possible or avoiding non-specific adsorption.

10 Larsson, Ocklind and Derand (PCT/EP00/05193 claiming priority from SE 9901100-9, filed 1999-03-24) describe the production of highly hydrophilic surfaces made of plastics. The surfaces retain their hydrophilicity even after being in contact with  
15 aqueous liquids. An additional issue in PCT/EP00/05193 is to balance a permanent hydrophilicity with good cell attachment properties. The surfaces are primarily suggested to be used in microfabricated devices.

20 Polyethylene glycol has been linked directly to the surface of a microchannel fabricated in silicone for testing the ability of polyethylene glycol to prevent protein adsorption. See Bell, Brody and Yager (SPIE-Int. Soc. Opt. Eng. (1998) 3258 (Micro- and Nanofabricated Structures and Devices for  
25 Biomedical Environmental Applications) 134-140).

#### **The objectives of the invention.**

A first objective is to accomplish a sufficiently reliable and reproducible mass transport of reagents and sample  
30 constituents (e.g. analytes) in microfluidic devices.

A second objective is to enable a reliable and reproducible aqueous liquid flow in the microfluidic devices.

A third objective is to optimise non-specific adsorption and hydrophilicity in relation to each other for surfaces of fluid pathways in microfluidic devices.

5

### **The invention**

We have discovered that by attaching a hydrophilic non-ionic polymer to the surface of a microchannel structure in a microfluidic device one can easily minimize the above-mentioned problems also for the most critical surface materials. This discovery facilitates creation of surfaces that permit reliable and reproducible transport of reagents and sample constituents in microfluidic devices.

15 The main aspect of the invention is a microfluidic device as defined under the heading "Technical Field". The characterizing feature is that at least a part surface of each microchannel structure exposes a firmly attached non-ionic hydrophilic polymer to the interior of the structure.

20

The non-ionic hydrophilic polymer may be attached directly to the surface of the microchannel structure or via a polymer skeleton that in turn is attached to the surface via multipoint attachment.

### **25 The non-ionic hydrophilic polymer**

The non-ionic hydrophilic polymer contains a plurality of hydrophilic neutral groups. Neutral groups excludes non-charged groups that can be charged by a pH-change. Typical neutral hydrophilic groups contains an heteroatom (oxygen, sulphur or nitrogen) and may be selected among hydroxy, ether such as ethylene oxy (e.g. in polyethylene oxide), amides that may be N-substituted etc. The polymer as such is also inert towards the reagents and chemicals that are to be used in the microfluidic device.

35

Illustrative non-ionic hydrophilic polymers are preferably water-soluble when not bound to a surface. Their molecular weight is within the range from about 400 to about 1,000,000 daltons, preferably from about 1,000 to about 2000,000, such as below 100,000 daltons.

Non-ionic hydrophilic polymers are illustrated with polyethylene glycol, or more or less randomly distributed or block-distributed homo- and copolymers of lower alkylene oxides ( $C_{1-10}$ , such as  $C_{2-10}$ ) or lower alkylene ( $C_{1-10}$ , such as  $C_{2-10}$ ) bisepoxides in which the epoxide groups are linked together via a carbon chain comprising 2-10  $sp^3$ -carbons. The carbon chain may be interrupted at one or more positions by an ether oxygen, i.e. an ether oxygen is inserted between two carbon atoms. A hydrogen atom at one or more of the methylene groups may be replaced with hydroxy groups or lower alkoxy groups ( $C_{1-4}$ ). For stability reasons at most one oxygen atom should be bound to one and the same carbon atom.

Other suitable non-ionic hydrophilic polymers are polyhydroxy polymers that may be completely or partly natural or completely synthetic.

Completely or partly natural polyhydroxy polymers are represented by polysaccharides, such as dextran and its water-soluble derivatives, water-soluble derivatives of starch, and water-soluble derivatives of cellulose, such as certain cellulose ethers. Potentially interesting cellulose ethers are methyl cellulose, methyl hydroxy propyl cellulose, and ethyl hydroxy ethyl cellulose.

Synthetic polyhydroxy polymers of interest are also polyvinyl alcohol possibly in partly acetylated form, poly(hydroxy lower alkyl vinyl ether) polymers, polymers obtained by

polymerisation of epichlorohydrin, glycidol and similar bifunctionally reactive monomers giving polyhydroxy polymers.

Polyvinylpyrrolidone (PVP), polyacrylamides, 5 polymethacrylamides etc are examples of polymers in which there are a plurality of amide groups.

Further suitable hydrophilic polymers are reaction products (adducts) between ethylene oxide, optionally in combination 10 with higher alkylene oxides or bisepoxides, or tetrahydrofuran, and a dihydroxy or polyhydroxy compound as illustrated with glycerol, pentaerythritol and any of the polyhydroxy polymers referred to in the preceding paragraphs.

15 The non-ionic hydrophilic polymer may have the same structure as described for the extenders defined in Berg et al (WO 9833572) which is hereby incorporated by reference. In contrast to Berg et al there is no imperative need for the presence of an affinity ligand on the hydrophilic polymer used 20 in the present invention.

One or more positions in the non-ionic hydrophilic polymer may be utilized for attachment. In order to make the hydrophilic polymer flexible the number of attachment points should be as 25 low as possible, for instance one, two or three positions per polymer molecule. For straight chain polymers, such as lower alkylene oxide polymers similar to polyethylene oxide, the number of attachment points is typically one or two, with preference for one.

30

Depending on the position of a coated part surface within a microchannel structure, the hydrophilic polymer may carry an immobilized reactant (often called ligand when affinity reactions are concerned). Depending on the particular use of a 35 microchannel structure such reactants can be so called

affinity reactants that are used to catch an analyte or an added reactant or a contaminant present in the sample. Immobilized ligands also include immobilized enzymes. According to the invention this kind of reactants are  
5 preferably present in reaction chambers/cavities (see below).

### **The skeleton**

The skeleton may be an organic or inorganic cationic, anionic or neutral polymer of inorganic or organic material.

10

With respect to inorganic skeletons, the preferred variants are polymers such as silicon oxide. See the experimental part.

With respect to organic skeletons, the preferred variants are  
15 cationic polymers, such as a polyamine, i.e. a polymer containing two or more primary, secondary or tertiary amine groups or quaternary ammonium groups. The preferred polyamines are polyalkylenimines, i.e. polymers in which amine groups are interlinked by alkylene chains. The alkylene chains are for  
20 instance selected among  $C_{1-6}$  alkylene chains. The alkylene chains may carry neutral hydrophilic groups, for instance hydroxy (HO) or poly (including oligo) lower alkylene oxy groups  $[-O-((C_2H_4)_nO)_mH]$  where  $n$  is 1-5 and  $m$  is from 1 and upwards for instance  $\leq 100$  or  $\leq 50$  ], amide groups, acyl,  
25 acyloxy, lower alkyl (for instance  $C_{1-5}$ ) and other neutral groups and/or groups that are unreactive under the conditions to be applied in the microfluidic device.

The preferred molecular weight of the skeleton including  
30 polyamine skeletons is within the range of 10,000-3,000,000 daltons, preferably about 50,000-2,000,000 daltons. The structure of the skeleton can be linear, branched, hyperbranched or dendritic. The preferred polyamine skeleton is polyethylenimine, a compound that is achievable e.g. by

polymerizing ethylene imine, usually giving hyperbranched chains.

#### **Attachment of the non-ionic hydrophilic polymer**

5 The introduction of the non-ionic hydrophilic polymer groups on the channel surfaces may be done according to principles well-known in the field, for instance by directly attaching the hydrophilic polymer to the desired part surface or via the kind of skeleton discussed above. The adduct between the  
10 skeleton and the non-ionic hydrophilic polymer may be (i) formed separately before it is attached to the surface or (ii) on the surface by first attaching the skeleton and then the hydrophilic polymer. Alternative (ii) can be carried out by (a) grafting a preprepared non-ionic hydrophilic polymer to  
15 the skeleton or (b) graft polymerisation of suitable monomers.

Both the non-ionic hydrophilic polymer and the skeleton may be stabilized to the underlying surfaces via covalent bonds, electrostatic interaction etc and/or by cross-linking *in situ*  
20 or afterwards. A polyamine skeleton, for instance, may be attached covalently by reacting its amine functions with aminereactive groups that are originally present or have been introduced on the uncoated substrate surface.

It is important that the nude part surface to be coated  
25 according to the invention has groups, which enable stable interaction between the non-ionic hydrophilic polymer and the surface and between the skeleton and the surface. Cationic skeletons, for instance polyamines, require that negatively charged or chargeable groups or groups otherwise capable of  
30 binding to amine groups, typically hydrophilic, are exposed on the surface. Polar and/or charged or chargeable groups may easily be introduced on plastics surfaces, for instance by treatment with  $O_2$ - and acrylic acid-containing plasmas, by oxidation with permanaganate or bichromate in concentrated  
35 sulphuric acid, by coating with polymers containing these type

of groups etc. In other words by techniques well-known in the scientific and patent literature. The plastics surface as such may also contain this kind of groups without any pretreatment, i.e. by being obtained from polymerisation of monomers either  
5 carrying the above-mentioned type of groups or groups that subsequent to polymerisation easily can be transformed to such groups.

If the surface to be coated is made of a metal, for instance  
10 of gold or platina, and the non-ionic hydrophilic polymer or skeleton has thiol groups, attachment can be accomplished via bonds that are partly covalent.

If the non-ionic hydrophilic polymer or the skeleton have  
15 hydrocarbon groups, for instance pure alkyl groups or phenyl groups, one can envisage that attachment to the substrate surface can take place via hydrophobic interactions.

#### **Water contact angles**

20 The optimal water contact angle depends on the analyses and reactions to be carried out in the microchannel structure, dimensions of the microchannels and chambers of the structures, composition and surface tension of liquids used, etc. As a rule of thumb, the inventive coat should be selected  
25 to provide a water contact angle that is  $\leq 30^\circ$ , such as  $\leq 25^\circ$  or  $\leq 20^\circ$ . These figures refer to values obtained at the temperature of use, primarily room temperature.

So far the most superior surfaces have been those based on  
30 adducts between polyethylene imine and polyethylene glycol with monosite (mono group terminal) attachment of the non-ionic hydrophilic polymer to the polyethylene imine skeleton. The best mode to date of this preferred variant is given in the experimental part (example 1).

**Thickness of the coat**

The thickness of the hydrated coat provided by the non-ionic hydrophilic polymers should be  $\leq 50\%$ , for instance  $\leq 20\%$  of the smallest distance between two opposing sides of a part of the microchannel structure comprising the surface coated according to the invention. This typically means that an optimal thickness will be within the interval 0.1-1000 nm, for instance 1-100 nm, with the provision that the coat shall permit a desired flow to pass through.

**Structures in the microfluidic device.**

The microfluidic device may be disc-formed of various geometries, with the round form being the preferred variant (CD-form).

On devices having round forms, the microchannel structures may be arranged radially with an intended flow direction from an inner application area radially towards the periphery of the disc. In this variant the most practical ways of driving the flow is by capillary action, centripetal force (spinning the disc) and/or hydrodynamically.

Each microchannel structure comprises one or more channels and/or one or more cavities in the microformat. Different parts of a structure may have different discrete functions. Thus there may be one or more parts that function as (a) application chamber/cavity/area (b) conduit for liquid transport, (c) reaction chamber/cavity, (d) volume defining unit, (e) mixing chamber/cavity, (f) chamber for separating components in the sample, for instance by capillary electrophoresis, chromatography and the like (g) detection chamber/cavity, (h) waste conduit/chamber/cavity etc. According to the invention at least one of these parts may

have the inventive coat on its surface, i.e. corresponds to the part surface discussed above.

When the structure is used, necessary reagents and/or sample including the analyte are applied to an application area and transported downstream in the structure by an applied liquid flow. Some of the reagents may have been predispensed to a chamber/cavity. The liquid flow may be driven by capillary forces, and/or centripetal force, pressure differences applied externally over a microchannel structure and also other non-electrokinetic forces that are externally applied and cause transport of the liquid and the analytes and reagents in the same direction. The liquid flow may also be driven by pressure generated by electroendosmosis created within the structure. The liquid flow will thus transport reagents and analytes and other constituents from an application area/cavity/chamber into a sequence comprising a particular order of preselected parts (b)-(h). The liquid flow may be paused when a reagent and/or analyte have reached a preselected part in which they are subjected to a certain procedure, for instance capillary electrophoresis in a separation part, a reaction in a reaction part, detection in a detection part etc.

Analytical and preparative methods as discussed below utilizing the microfluidic device of the invention with transport of liquid, reagents and analytes as described in the preceding paragraph constitute a separate aspect of the invention.

Microformat means that at least one liquid conduit in the structure has a depth and/or width that is in the microformat range, i.e.  $< 10^3 \mu\text{m}$ , preferably  $< 10^2 \mu\text{m}$ . Each microchannel structure extends in a common plane of the planar substrate material. In addition there may be extensions in other

directions, primarily perpendicular to the common plane. Such other extensions may function as sample or liquid application areas or connections to other microchannel structures that are not located in the common plane, for instance.

5

The distance between two opposite walls in a channel is  $\leq 1000$   $\mu\text{m}$ , such as  $\leq 100$   $\mu\text{m}$ , or even  $\leq 10$   $\mu\text{m}$ , such as  $\leq 1$   $\mu\text{m}$ . The structures may also contain one or more chambers or cavities connected to the channels and having volumes being  $\leq 500$   $\mu\text{l}$ ,  
10 such as  $\leq 100$   $\mu\text{l}$  and even  $\leq 10$   $\mu\text{l}$  such as  $\leq 1$   $\mu\text{l}$ . The depths of the chambers/cavities may typically be in the interval  $\leq 1000$   $\mu\text{m}$  such as  $\leq 100$   $\mu\text{m}$  such as  $\leq 10$   $\mu\text{m}$  or even  $\leq 1$   $\mu\text{m}$ . The lower limit is always significantly greater than the largest of the reagents used. The lower limits of chambers and channels are  
15 typically in the range 0.1-0.01  $\mu\text{m}$  for devices that are to be delivered in dry form.

It is believed that the preferred variants of the inventive microfluidic devices will be delivered to the customer in a  
20 dried state. The surfaces of the microchannel structures of the device therefore should have a hydrophilicity sufficient to permit the aqueous liquid to be used to penetrate the different parts of the channels of the structure by capillary forces (self-suction).

25

There may be conduits enabling liquid communication between individual microchannel structures within a set.

#### **Material in the microfluidic device.**

30 The surface to be coated according to the invention typically is made of inorganic and/or organic material, preferably of plastics. Diamond material and other forms of elemental carbon are included in the term organic material. Among suitable

inorganic surface materials can be mentioned metal surfaces, e.g. made of gold, platina etc.

Plastics to be coated according to the invention may have been  
5 obtained by polymerisation of monomers comprising unsaturation such as carbon-carbon double bonds and/or carbon-carbon-triple bonds.

The monomers may, for instance, be selected from mono-, di and  
10 poly/oligo-unsaturated compounds, e.g. vinyl compounds and other compounds containing unsaturation. Illustrative monomers are:

- (i) alkenes/alkadienes (such as ethylene, butadiene, propylene and including substituted forms such as vinyl  
15 ethers), cycloalkenes, polyfluorovinyl hydrocarbons (for instance tetrafluoroethylene), alkene-containing acids, esters, amides, nitriles etc for instance various methacryl/acryl compounds; and
- (ii) vinyl aryl compounds (such as mono-, di- and trivinyl  
20 benzenes) that optionally may be substituted with for instance lower alkyl groups (C1-6) etc.

Another type of plastics are based on condensation polymers in which the monomers are selected from compounds exhibiting two  
25 or more groups selected among amino, hydroxy, carboxy etc groups. Particularly emphasised monomers are polyamino monomers, polycarboxy monomers (including corresponding reactive halides, esters and anhydrides), poly hydroxy monomers, amino-carboxy monomers, amino-hydroxy monomers and  
30 hydroxy-carboxy monomers, in which poly stands for two, three or more functional groups. Polyfunctional compounds include compounds having a functional group that is reactive twice, for instance carbonic acid or formaldehyde. The plastics contemplated are typically polycarbonates, polyamides,

polyamines, polyethers etc. Polyethers include the corresponding silicon analogues, such as silicone rubber.

The polymers of the plastics may be in cross-linked form.

5

The plastics may be a mixture of two or more different polymer(s)/copolymer(s).

Particularly interesting plastics are those that have a non-significant fluorescence for excitation wavelengths in the interval 200-800 nm and emission wavelengths in the interval 400-900 nm. By non-significant fluorescence is meant that the fluorescence intensity in the above-given emission wavelength interval should be below 50 % of the fluorescence intensity for a reference plastics (= a polycarbonate of bisphenol A without fluorescent additives). In fact it does not harm in case the fluorescence intensity of the plastics is even lower, such as < 30 % or < 15 %, such as < 5 % or < 1 %, of the fluorescence intensity of the reference plastics. Typical plastics having an acceptable fluorescence are based on polymers of aliphatic monomers containing polymerizable carbon-carbon double bonds, such as polymers of cykloalkenes (e.g. norbornene och substituted norbornenes), ethylene, propylenes etc, as well as other non-aromatic polymers of high purity, e.g. certain grades of polymethylmethacrylate.

30

In preferred variants of the invention the same limits for fluorescence also apply to the microfluidic structure after having been coated in accordance with the invention.

**Applications in which the inventive microfluidic device can be used.**

The primary use of the microfluidic devices of the invention is in analytical and preparative chemical and biochemical systems.

35

Typical analytical systems in which the microfluidic systems described herein may comprise as the main steps one or more of (a) sample preparation, (b) assay reactions and (c) detection.

5 Sample preparation means the preparation of a sample in order to make it suitable for the assay reactions and/or for the detection of a certain activity or molecular entity. This may for example mean that substances interfering with the assay reactions and/or detection is removed or otherwise  
10 neutralized, that substances are amplified and/or derivatized etc. Typical examples are (1) amplifying one or more nucleic acid sequences in a sample, for instance by polymerase chain reaction (PCR), (2) removing of species cross-reacting with an analyte in assays involving affinity reactions etc. Typical  
15 assay reactions are (i) reactions involving cells, (ii) affinity reactions, for instance biospecific affinity including immune reactions, enzymatic reactions, hybridization/annealing etc, (iii) precipitation reactions, (iv) pure chemical reactions involving formation or breaking  
20 up of covalent bonds, etc. The detection reaction may involve fluorometry, chemiluminometry, mass spectrometry, nephelometry, turbidometry etc. The detection reaction aims at detection of the result of the assay reaction(s) and at relating a found result with the qualitative or quantitative  
25 presence of an activity in the original sample. The activity can be a biological, a chemical, a biochemical etc activity. It may be as the presence of a compound as such or simply as an activity of a known or unknown compound. If the system is used for diagnostic purposes the result in the detection step  
30 is further correlated to the medicinal status of the individual from which the sample derives. The applicable analytical systems may thus comprise affinity assays, such as immune assays, hybridisation assays, cell biology assays, mutation detection, genome characterisation, enzyme assays,  
35 screening assays for finding new affinity pairs etc. Methods

for the analysis of sample content of proteins, nucleic acids, carbohydrates, lipids and other molecules with particular emphasis of other bio-organic molecules are also included.

5 The microfluidic device of the present invention may also find use for the set up of libraries of compounds including synthetic peptide and oligonucleotide libraries, for instance by solid phase synthesis. The synthesis of so called combinatorial libraries of compounds is also included.

10

The invention will now be described with reference to non-limitative experiments that function as proof of principle.

#### EXPERIMENTAL PART

15

##### A. COAT OF PEG-PEI ADDUCT

###### a. Synthesis of PEG-PEI adduct

0.43 g of polyethylenimine (Polymin SN from BASF, Germany) was  
20 dissolved in 45 ml of 50 mM sodium borate buffer (pH 9.5) at 45°C. 5 g of the glycidyl ether of monomethoxy polyethylene glycol (Mw 5 000) was added during stirring and the mixture was stirred for 3 h at 45°C.

###### 25 b. Surface treatment

A polycarbonate CD disc (polycarbonate of Bisphenol A, Macrolon DP-1265, Bayer AG, Germany) with a recessed microchannel pattern was placed in a plasma reactor (Plasma Science PS0500, BOC Coating Technology, USA) and treated with  
30 an oxygen plasma at 5 sccm gas flow and 500 W RF power for 10 min. After venting the reactor, the disc was immersed in a 0.1% solution of the PEG-PEI adduct in borate buffer pH 9.5 for 1 h. The disc was then rinsed with distilled water, blown dry with nitrogen and the water contact angle (sessile drop)  
35 was measured on a Ramé-Hart manual goniometer bench. The average of six equilibrium measurements (three droplets) was

24 degrees. An XPS spectrum of the treated surface gave the following molar elemental composition: 73.2% C, 3.7 % N, 23.1% O, showing that the surface was essentially covered by the adsorbed PEG-PEI adduct.

5

### **c. Capillary wetting**

Another polycarbonate CD disc of the same material as above with a recessed microchannel pattern was treated as in example 2. It was then covered with a thin silicone rubber lid, with a  
10 hole placed over a microchannel. When a droplet of water was placed in the hole with a micropipette, the water was drawn in by capillary forces and penetrated the entire accessible channel system.

### **15 d. Comparative examples of surface treatments**

a) A polycarbonate disc of the same material as above with a recessed microchannel pattern was dipped into a 0.5% water solution of phenyl dextran (degree of substitution: 0.2 per monosacharide unit of dextran, Mw 40 000) for 1 h. After  
20 water rinsing, the disc was blown dry with nitrogen. The water contact angle was 30 degrees. When a silicone rubber lid was placed over the disc with a hole over a channel, the droplet was not spontaneously drawn in. When a vacuum was applied to the channel through another hole in the lid, the  
25 droplet could however be introduced by suction.

b) A polycarbonate disc of the same material as above with a recessed microchannel pattern was immersed over night in a 1 % water solution of a polyethylene glycol "polypropylene glycol" polyethylene glycol triblock copolymer (Pluronic  
30 F108 from BASF). After water rinsing the disc was blown dry with nitrogen. The water contact angle was 60 degrees. When a silicone rubber lid was placed over the disc with a hole over a channel, the droplet was not spontaneously drawn in. When a vacuum was applied to the channel through another

hole in the lid, the droplet could however be introduced by suction.

## 5 B. POLY(ACRYLAMIDE) COATING.

### a) Activation of the surface.

A PET foil (polyethylene terephthalate, Melinex®, ICI), evaporation coated with a thin film of silicon oxide, was used  
10 as a lid. The silicon oxide side of the PET foil was washed with ethanol and thereafter UV/Ozone (UVO cleaner, Model no 144A X-220, Jelight Company, USA) treated for 5 minutes. 15 mm Bind silane (3-methacryloloxypropyl trimethoxysilane, Amersham Pharmacia Biotech), 1.25 ml 10% acetic acid and 5 ml ethanol  
15 was mixed and thereafter applied onto the foil using a brush. After evaporation of the solvent, the foil was washed with ethanol and blown dry with nitrogen. The water contact angle (sessile drop) was measured on a Ramé-Hart manual goniometer. The average of repeated measurements was 62 degrees.

20

### b. Grafting polyacrylamide to the activated surface

8.5 ml of 3 M acrylamide in water and 1.5 ml of 100 mM Irgacure 184 (dissolved in ethylene glycol, Ciba-Geigy) was mixed. The resulting solution was spread out on a quartz  
25 plate, and the activated PET foil was placed on top. The monomer solution was UV illuminated for 20 minutes through the quartz plate. The PET foil was then washed thoroughly in water and the average contact angle of repeated measurements was 17 degrees.

30

### c. Capillary wetting

A piece of room temperature vulcanizing silicone rubber (Memosil, Wacker Chemie) having a microchannel structure and two holes was placed onto the polyacrylamide grafted PET foil  
35 (lid) (according to b above). When a droplet of water was

placed in the hole with a micropipette, the water was drawn in by capillary forces.

**d. Comparative example of capillary wetting**

- 5 A piece of room temperature vulcanizing silicone rubber (Memosil, Wacker Chemie) having a microchannel pattern and two holes were placed onto the activated PET foil (lid) (according to a above). When a droplet of water was placed in the hole with a micropipette, no water was drawn in by capillary  
10 forces. When vacuum was applied to the channel through the other hole, the droplet was sucked into the channel.

## C L A I M S

1. A microfluidic device comprising a set of one or more,  
preferably more than 5, covered microchannel structures  
manufactured in the surface of a planar substrate,  
5 **characterized** in that a part surface of at least one of the  
microchannel structures has a coat exposing a non-ionic  
hydrophilic polymer that preferably is attached covalently  
directly to the surface or to a polymer skeleton that is  
attached to the surface.  
10
2. The microfluidic device of claim 1, **characterized** in that  
the surface of the planar substrate is made of plastics.
3. The microfluidic device according to any of claims 1-2,  
15 **characterized** in that the non-ionic hydrophilic polymer is  
attached to the polymer skeleton that is attached to the  
part surface, said skeleton preferably being branched and/or  
preferably being a polyamine.
- 20 4. The microfluidic device according to any of claims 1-3,  
**characterized** in that the substrate surface without the coat  
is made of plastics and that said part surface without coat  
is hydrophilized by plasma treatment or by an oxidation  
agent in order to introduce functional groups that allow for  
25 a subsequent attachment of the coat onto said part surface.
5. The microfluidic device according to any of claims 1-4,  
**characterized** in that the non-ionic hydrophilic polymer  
comprises one or more blocks of polyoxyethylene chains, with  
30 preference for the polymer being polyethylene glycol  
covalently attached at one of its ends to the skeleton or  
directly to the part surface and possibly having the  
remaining hydroxy group etherified.

6. The microfluidic device according to any of claims 1-6,  
**characterized** in that the hydrophilic non-ionic polymer is a  
polyethylene glycol, preferably a monoalkoxy variant such as  
the monomethoxy variant, which is attached to said part  
5 surface via the polymer skeleton which preferably is a  
polyethylenimine.
7. The microfluidic device according to any of claims 1-6,  
**characterized** in that the hydrophilic non-ionic polymer is  
10 attached to said part surface or to said polymer skeleton  
via one-point attachment, preferably covalently.
8. The microfluidic device according to any of claims 2-7,  
**characterized** in that the plastics has a non-significant  
15 fluorescence for excitation wavelengths in the interval 200-  
800 nm and emission wavelengths in the interval 400-900 nm.
9. The microfluidic device according to any of claims 1-3 and  
5-8, **characterized** in that said polymer skeleton is an  
20 inorganic or an organic polymer.
10. The microfluidic device according to any of claims 1-  
4 and 7-9, **characterized** in that said non-ionic hydrophilic  
polymer comprises a plurality of amide bonds, e.g. is  
25 polymerisate/copolymerisate with monomers at least selected  
from acrylamide, methacrylamide, vinylpyrrolidone etc.
11. The microfluidic device according to any of claims 1-  
10, **characterized** in that it is in a dried state that is  
30 capable of being rehydrated.
12. The use of the microfluidic device according to any  
of claims 1-11 in analytical systems in which an assay  
comprising one or more of the steps:
- 35 (a) sample preparation,

24

(b) assay reaction and

(c) detection,

at least one and preferably more than two of said steps  
being carried out within the microfluidic device.

5

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/12478

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B01L3/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01L B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP 1 076 239 A (STUDIENGESELLSCHAFT KOHLE MBH) 14 February 2001 (2001-02-14) abstract; claims 1,7-17; figure 6 column 1, line 1 -column 1, line 18 column 4, line 26 -column 4, line 49 column 5, line 48 -column 6, line 4 column 6, line 33 -column 6, line 37	1-3,7, 11,12
A	---	4-6,8-10
X	DE 197 53 847 A (ROCHE DIAGNOSTICS GMBH) 10 June 1999 (1999-06-10) abstract; figure 1 column 3, line 67 -column 4, line 60 column 9, line 50 -column 10, line 33	1-3,7, 11,12
Y	---	4
A	---	5,6,8-10
	-/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

16 March 2001

Date of mailing of the international search report

23/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Runser, C

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/12478

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99 58245 A (AMERSHAM PHARM BIOTECH AB ;ALLMER KLAS (SE); ANDERSSON PER (SE); L) 18 November 1999 (1999-11-18) cited in the application abstract; figures 6-8 page 2, line 7 -page 5, line 30 page 7, line 11 -page 8, line 25 page 10, line 8 -page 11, line 23	4
A	---	1-3,5-12
A	US 5 250 613 A (BERGSTROM KARIN ET AL) 5 October 1993 (1993-10-05) cited in the application the whole document	1-7,9,10
A	---	
A	US 5 240 994 A (OSTERBERG EVA ET AL) 31 August 1993 (1993-08-31) cited in the application the whole document	1-7,9,10
A	---	
A	US 5 858 188 A (SOANE DAVID S ET AL) 12 January 1999 (1999-01-12) cited in the application the whole document	1-12
A	---	
A	EP 0 430 248 A (MOCHIDA PHARM CO LTD) 5 June 1991 (1991-06-05) abstract page 9, line 49 -page 10, line 12 page 10, line 33 -page 10, line 41 -----	1,2,4,8, 11,12

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/12478

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 1076239	A	14-02-2001	DE 19938002 A	15-02-2001
DE 19753847	A	10-06-1999	AU 2158399 A	28-06-1999
			CN 1284012 T	14-02-2001
			WO 9929429 A	17-06-1999
			EP 1035921 A	20-09-2000
WO 9958245	A	18-11-1999	AU 3624399 A	29-11-1999
			EP 1077771 A	28-02-2001
			GB 2350678 A	06-12-2000
			GB 2341924 A	29-03-2000
US 5250613	A	05-10-1993	SE 467308 B	29-06-1992
			AU 8747991 A	20-05-1992
			EP 0554318 A	11-08-1993
			JP 6502156 T	10-03-1994
			SE 9003363 A	23-04-1992
			WO 9207006 A	30-04-1992
US 5240994	A	31-08-1993	SE 467309 B	29-06-1992
			AU 8749491 A	20-05-1992
			EP 0554324 A	11-08-1993
			JP 6502201 T	10-03-1994
			SE 9003364 A	23-04-1992
			WO 9207023 A	30-04-1992
US 5858188	A	12-01-1999	US 5126022 A	30-06-1992
			AU 715268 B	20-01-2000
			AU 2436497 A	29-10-1997
			CA 2249886 A	16-10-1997
			EP 0990147 A	05-04-2000
			JP 2000508763 T	11-07-2000
			WO 9738300 A	16-10-1997
			US 6054034 A	25-04-2000
			US 5750015 A	12-05-1998
			US 6093296 A	25-07-2000
			AU 637895 B	10-06-1993
			AU 7467591 A	18-09-1991
			CA 2075969 A	29-08-1991
			EP 0521911 A	13-01-1993
			JP 3103031 B	23-10-2000
			JP 8327597 A	13-12-1996
			JP 2601595 B	16-04-1997
			JP 5504628 T	15-07-1993
			WO 9112904 A	05-09-1991
EP 0430248	A	05-06-1991	AU 642444 B	21-10-1993
			AU 6702690 A	06-06-1991
			CA 2031001 A	31-05-1991
			JP 3223674 A	02-10-1991
			US 5147607 A	15-09-1992