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<p>(21) International Application Number: PCT/EP98/01968</p> <p>(22) International Filing Date: 3 April 1998 (03.04.98)</p> <p>(30) Priority Data: 197 13 956.6 4 April 1997 (04.04.97) DE</p> <p>(71) Applicant: TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE).</p> <p>(72) Inventor: GEULEN, Eckhardt; Hoeverstraat 7, NL-6462 CH Kerkrade (NL).</p> <p>(74) Agents: VON FISCHERN, Bernhard et al.; Hoffmann . Eitle, Arabellastrasse 4, D-81925 München (DE).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i></p>	
<p>(54) Title: METHOD, COMMUNICATION NETWORK AND SERVICE ACCESS INTERFACE FOR COMMUNICATIONS IN AN OPEN SYSTEM INTERCONNECTION ENVIRONMENT</p> <p>(57) Abstract</p> <p>The invention relates to a method, a communication network and a service access interface for performing communications between cooperating open systems in an open system interconnection environment. The upward service access point (USAP) is adapted to transfer layer-specific parameters, e.g. quality of service parameters to a layer of higher order. Thus, the running application can adaptively change its own performance to transmission characteristics in any one lower layer.</p>			
<p><b>New Architecture</b></p>			

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METHOD, COMMUNICATION NETWORK AND SERVICE ACCESS  
INTERFACE FOR COMMUNICATIONS IN AN OPEN SYSTEM  
INTERCONNECTION ENVIRONMENT

10

Field of the invention

The invention relates to a method, a communication  
15 network and a service access interface for performing  
communications between cooperating open systems in an  
open-system interconnection environment, where a  
communication between at least two open systems is  
performed by use of at least two layered layer  
20 communication means, which are interconnected through the  
service access interface. Each layer communication means  
comprises a number of layer-specific services and uses a  
number of layer-specific parameters for a communication  
between said services in the respective layer  
25 communication means.

Background of the invention

Broadly speaking, the invention relates to open-system  
30 interconnection environments as is shown in the attached  
fig. 4. The term "open-system interconnection (OSI)"  
qualifies standards for the exchange of information among  
systems, that are "open" to one another for this purpose

by virtue of their mutual use of the applicable standards. Thus, the open-system interconnection environment is an abstract representation of the set of concepts, elements, functions, services, protocols etc.

5 and is defined by a OSI-reference model and the derived specific standards, which, when applied to the configuration in fig. 4, enable communications among the open systems A, B, C, S.

10 In the concept of OSI, a real system is a set of one or more computers, associated software, peripherals, terminals, human operators, physical processes, information transfer means etc. that forms an autonomous unit capable of performing information processing and/or

15 information transfer. The "application process" is an element within a real open system, which performs the information processing for a particular application and some examples of application processes which are applicable to the open system definition are a FORTRAN

20 program executing in a computer center and accessing a remote database or a process control program executing in a dedicated computer attached to some industrial equipment. Furthermore, as is shown in fig. 4, the physical media for open systems interconnection provides

25 the means for the transfer of information between the open systems.

To allow an interconnection of the real open systems, use is made of abstract models, which, however, find their equivalent in hardware or software realizations. A widespread standard is the OSI RM-international standards - organization open systems interconnection reference

model-, which uses a layered architecture for interconnection as is shown in fig. 5.

As is seen in fig. 5, the concept of layering in 5 cooperating open systems is based on the idea of introducing several communication layers from the physical media, wherein the highest layer is provided for interconnecting to a running application. Thus, each 10 layer, which interconnects specific entities (services) of the respective open systems may be regarded as a "layer communication means". As is seen in fig. 6, the individual entities within one layer communicate via the 15 use of the (N)-protocol.

Thus, in such conventional data-communication systems, the communication requirements from the application into 20 data streams in the lower layers is translated. In this translation process, each layer inserts a specific portion of intelligence which is specific to this layer's functionality.

Since data communication in advanced environments does include transfer over wireless systems and furthermore, 25 system integration efforts lead to a decoupling of actual bearer capabilities and higher abstract (data) communication services, it will soon be common to use various networks for various data transmission services of one application simultaneously. It is obvious that the 30 layered architecture described above is particularly advantageous, since the focus is on seamless roaming without the need to give the end-user any feedback about the actual used transmission media.

Fig. 7 shows an architecture with seven layers on top of the physical media. As aforesaid, within each layer, the "layer communication means" uses layer-specific parameters for the exchange of information to its peer-  
5 layer. Such layer-specific parameters are e.g. single transmission related parameters, such as the expected transmission delay, probability of corruption, probability of loss or duplication, probability of wrong delivery, cost, protection from unauthorized access and  
10 priority, multiple transmission related parameters like the expected throughput and the probability of out-of-sequence delivery or connection-mode parameters such as connection establishment delay, connection establishment failure probability, connection release delay, connection  
15 release failure probability and connection resiliency. Such layer-specific parameters may be summarized as "quality of service (QOS) parameters".

Since the layered architecture of transmission protocols  
20 is structured in a top-down way, service access points SAP (a service access interface) are needed to request/use a service from a layer of the next lower order by the layer on top of it. The lower order layer then provides the service to the layer of higher order.  
25 Fig. 8 shows such service access interfaces between two layers N, N+1 to interconnect the respective entities in the layers. Here, the service access interfaces may connect entities which lie in the same open system or in fact in two different open systems.

30

As is further shown in fig. 10, 11, the current architecture uses the service access interfaces SAP between two layers in order to request a service through

the services access interface, whilst the lower layer provides the service to the higher layer. In order to establish communication within each layer (or in each layer communication means), the layer-specific parameters 5 are used. In fig. 10, the individual layers are illustrated as rectangular blocks, however, it should be understood that they comprise the configuration of fig. 7, i.e. the exchange of information between two open systems A, B via the use of protocols and the layer-specific parameters. 10

#### Disadvantages of the current architecture

As explained above, when an application is run or 15 requested from the highest layer, in the translation process to the lower layers, each layer inserts a specific portion needed for the complete data communication. However, the applied rules, i.e. the layer-specific parameters (in particular the quality of 20 service parameters QOS) remain in the same layer, since the service access interface is only uni-directional to allow the requesting of a service from the next lower layer. Thus, the application running from the top most layer has no information whatsoever about the quality of 25 service of information exchange within the layers below. Therefore, there is the prime disadvantage in the current architecture that the running application has no information as to whether the communication in the respective lower layers is sufficient or not for 30 supporting a particular aspect of the running application on the specific layer or not and can therefore not adapt its performance to the actual communication conditions.

For example, the application may want to adapt its transmission rate to a bit error rate (BER) which has been detected on one of the lower layers, in particular the physical layer. The problems and background as 5 mentioned above are well described in the following two standard documents, namely:

10 [1] *ITU-T X.200 (07/94) Information Technology - Open Systems Interconnection - Basic reference model: The basic model*

15 [2] *ITU-T X.207 (11/93) Information Technology - Open Systems Interconnection - Application Layer structure*

#### Summary of the invention

Thus, the object of the invention is to provide 20 - a method, a communication network and a service access interface, where the running application from the application layer can adapt its performance to the actual communication conditions present in the lower layers.

25 This object is solved by a method for performing communications between cooperating open systems in an open system interconnection communication network where a communication between at least two open systems is 30 performed by use of at least two hierarchically layered layer communication means interconnected through a service access interface and each comprising a number of layer specific services and using a number of layer specific parameters for a communication between said 35 services in the respective layer communication means,

wherein said service access interface is a bi-directional upward service access interface and said layer specific parameters are respectively transferred to a next higher order layer communication means through a respective bi-directional service access interface between two layer communication means.

The object is also solved by a communication network performing communications between cooperating open systems arranged in an open system interconnection architecture, comprising:

- a) at least two open systems; and
- 15 b) at least two hierarchically layered layer communication means interconnected through a service access interface and each comprising a number a layer specific services and using a number of layer specific parameters for a communication between said services in the respective layer communication means;

wherein

- 25 d) said service access interface is a bi-directional upward service access interface for respectively transferring said layer specific parameters to a next higher order layer communication means.
- 30 The object is also solved by a service access interface for interconnecting two hierarchically layered layer communication means used for performing communications between at least two cooperating open systems in an open

system interconnection communication network, each layer communication means comprising a number a layer specific services and using a number of layer specific parameters for a communication between said services in a respective

5 layer communication means; said service access interface (SAP) comprising transfer means for requesting/using a service from a layer communication means of lower order and providing said service to a layer communication means of a higher order, said transfer means further providing

10 to said layer communication means of higher order said layer specific parameters of said layer communication means of lower order.

While the current standards do not allow the running

15 application to be provided with layer-specific parameters from the other lower layers, according to the running application from the application layer has access to the layer-specific parameters used in other layers below.

20 The inventive solution resides in the fact that lower layer characteristics (i.e. layer-specific parameters from lower layers) are reported at least up to the application layer, in order to allow a proper adaptation of the running application and thus a more efficient

25 execution of the running applications. In the inventive solution, the upward service access interface is not unidirectional as in the case of the prior art, but it is in fact bi-directional allowing the forwarding of layer-specific parameters to the next higher layer. This allows

30 the development of "QOS (quality of service) dependent applications", which is an important factor in developing more advanced applications.

Further advantageous embodiments and improvements of the invention are listed in the dependent claims.

Hereinafter, an embodiment of the invention will be described with reference to the attached drawings.

5

**Brief description of the drawings**

Fig. 1 shows the layered architecture of an open system interconnection network using upward service access points according to the invention;

10 Fig. 2 shows the requesting of a service, the provision of the service and the communication of layer-specific parameters to a higher layer;

15 Fig. 3 shows a comparison between the current architectures and the new architecture;

20 Fig. 4 shows an overview of an open system interconnection environment;

25 Fig. 5 shows a model of the concept of using a layered architecture;

Fig. 6 shows how the individual entities in each layer (layer communication means) exchange information via the use of protocols;

30 Fig. 7 shows a conventional standard reference model with seven layers;

Fig. 8 shows how the individual entities in two adjacent layers cooperate through the use of service access points (interfaces);

5 Fig. 9 shows a conventional current architecture with seven layers using conventional uni-directional service access points; and

10 Fig. 10 shows the conventional requesting of a service and provision of a service using conventional service access points.

An embodiment of the invention will be described with reference to fig. 1, 2. As is seen in fig. 1, the new 15 proposed architecture is layered in the same manner as in the prior art shown in fig. 10. That is, each layer consists of a communication means that performs data communication between the open systems A, B (or any further entities as illustrated in fig. 5). Two layer 20 communication means are respectively interconnected through an upward service access point USAP (interface) similarly as in fig. 8. That is, the upward service access point USAP may connect entities in the same open system A or entities in two different open systems A, B. 25 The dashed vertical line is to illustrate that the layer communication means in each layer consists of entities of both open systems A, B. In fact, although the layered architecture is an abstract model, it is self-evident that each layer may be represented separately by hardware 30 or software and also the interconnection interface USAP between two layers (the upward service access point) may be realized via hardware or software. Thus, each layer communication means in a respective layer performs all

the information exchange needed for an information transfer between entities on the same layer (e.g. the use of specific protocols as is shown in fig. 6).

5 As is illustrated in fig. 2, the upward service access point USAP is not only used for requesting the service from the lower layer M, but it is also used to communicate the layer-specific parameters to the next higher layer. Thus, successively, layer-specific  
10 parameters from the lowest layer, e.g. the physical layer, may be communicated to the running application. With the upward service access point clearly being bi-directional, lower layer characteristics may be communicated to higher layers and in particular to the  
15 running applications. Thus, every layer communication means may use information from each lower layer below it. Such an upward service access point USAP can thus provide the additional functionality, which is nowadays found in call-back functions of object-orientated APIs  
20 (application programmer interfaces). It allows the development of QOS dependent applications, where the application can adapt its own performance to characteristics of the data communications in the other lower layers.

25

Fig. 3 shows the comparison with the current architectures and it is seen that the new architecture allows a flexible exchange of layer-specific parameters between the application and the application layer.

30

An example for the usage of an upward SAP is the permanent monitoring of a bit error rate (BER) by an application in order to adapt a forward error correction

algorithm to the connection characteristics. In this case, the application would receive information about the current bandwidth and can adapt the generation of data to the current bandwidth value. Thus, the application adapts 5 its performance (a forward error correction algorithm) to the connection characteristics of a lower layer.

The advantages of the invention are especially significant in mobile radio communication or data 10 communication systems, where the bearer quality (which may include may different parameters) can vary in wide ranges and within very short intervals. This is especially true for systems using different radio bearer networks. For example, when setting up a call in a mobile 15 radio communication network, the bandwidth, bit error rate etc. is communicated in the physical layer for setting up the transmission requirements for the call. By using the inventive upward service access point USAP, such characteristics can now be communicated to the 20 application (mobile station), which can then react accordingly and adapt its own performance to the characteristics of the behavior of one or more lower layers. The reaction can either be based on a predetermined profile or application-part, e.g. "do not 25 transmit pixel graphics when bandwidth is below 19.2 kbps", or on real-time input from the use, e.g. "downloading the following graphic takes approximately 30 sec; download (Y/N)?".

30 Thus, the application may automatically react to specific characteristics of the lower layers, whilst conventionally, the application was restricted to trial and error, i.e. that the pixel graphics transmission

eventually took an unexpected and undesirable long time or failed completely. According to the invention, the application can now be provided with information and the application can process this information in order to  
5 adapt its performance flexibly.

Therefore, the invention allows the development of applications, which take into account the quality of service in other layers also in real-time.  
10

As a further embodiment of the invention, the service access points do not only communicate the layer-specific parameters to a higher layer, but allow the transfer of layer-specific parameters from a higher layer to a lower  
15 layer. Thus, each layer communication means may also adapt its performance to the quality of service in a higher layer.

Reference numerals in the claims do not limit the scope  
20 and are included only for reference purposes.

Claims

1. A method for performing communications between  
5 cooperating open systems (OS) in an open system  
interconnection (OSI) communication network where a  
communication between at least two open systems (A,  
B) is performed by use of at least two  
hierarchically layered layer communication means (N-  
10 1, N, N+1) interconnected through a service access  
interface (SAP) and each comprising a number of  
layer specific services and using a number of layer  
specific parameters for a communication between said  
services in the respective layer communication  
15 means,

*characterized in that*

20 said service access interface (SAP) is a bi-  
directional upward service access interface (USAP)  
and said layer specific parameters are respectively  
transferred to a next higher order layer  
communication means (N+1) through a respective bi-  
directional service access interface (SAP, USAP)  
25 between two layer communication means.

2. A method according to claim 1,  
*characterized in that*  
when the running of an application is requested from  
30 the layer communication means (N+1) of highest order  
in the hierarchy each layer communication means  
(e.g. N+1) requests from the layer communication  
means with the next lower order (e.g. N) a service

needed for supporting the running of the application in this layer communication means through a service access interface (SAP) and said next lower order layer communication means (N) in response to said 5 request provides said requested service to said next higher layer communication means having requested said service through said respective access interface (SAP).

10 3. A method according to claim 1,

*characterized in that*

a running application adapts its performance to said transferred layer specific parameters received from a service access interface (USAP) of the layer 15 communication means (N) of highest order.

4. A method according to claim 1,

*characterized in that*

20 said layer specific parameters (QoS) include at least one of the following parameters:

25 - single transmission related parameters comprising at least one of a expected transmission delay, a probability of corruption, a probability of loss or duplication, a probability of wrong delivery, a cost, a protection from unauthorized access, a priority, a bit error rate (BER) and a current bandwidth;

30

- multiple transmission related parameters comprising at least one of an expected

throughput and/or probability of out of sequence delivery, and

5        - connection-mode parameters comprising at least one of a connection establishment delay, connection establishment failure probability, connection release delay, connection release failure probability and connection resilience.

10      5. A method according to claim 1,

***characterized in that***

said communication network is a mobile radio communication network, said open systems (A, B) are mobile radio stations and said layer specific parameters (QoS) include at least one of bandwidth, a bit error rate (BER) and a bearer capability of the transmission path.

15      6. A method according to claim 3 and 4,

***characterized in that***

said application adapts its performance to the connections characteristics transferred by said layer specific parameters.

20      7. A method according to claim 1,

***characterized in that***

said layer communication means are formed by a seven layer reference model comprising in hierarchical order from the application: an Application Layer means (No. 7), a Presentation Layer means (No. 6), a Session Layer means (No. 5), a Transport Layer means (No. 4), a Network Layer means (No. 3), a Data Link

Layer means (No. 2) and a Physical Layer means (No. 1).

8. A method according to claim 1,  
5 *characterized in that*  
an entity of a layer communication means (N+1)  
requesting a service and an entity in the next lower  
layer communication means providing said service  
through said service access interface (USAP) are in  
10 the same system or in two different systems.
9. A communication network for performing  
communications between cooperating open systems (OS)  
arranged in an open system interconnection (OSI)  
15 architecture, comprising:
  - a) at least two open systems (A, B); and
  - b) at least two hierarchically layered layer  
20 communication means (N-1, N, N+1)  
interconnected through a service access  
interface (SAP) and each comprising a number a  
layer specific services and using a number of  
layer specific parameters for a communication  
25 between said services in the respective layer  
communication means;

*characterized in that*

- 30 d) said service access interface (SAP) is a bi-  
directional upward service access interface  
(USAP) for respectively transferring said layer-

specific parameters to a next higher order layer communication means (N+1) .

10. A communication network according to claim 9,  
5 ***characterized in that***  
when the running of an application is requested from  
the layer communication means (N+1) of highest order  
in the hierarchy each layer communication means  
(e.g. N+1) requests from the layer communication  
10 means of the next lower order (e.g. N) a service  
needed for supporting the running of the application  
in this layer communication means through a service  
access interface (SAP) and said next lower order  
layer communication means (N) in response to said  
15 request provides said requested service to said next  
higher layer communication means having requested  
said service through said respective access  
interface (SAP) .
  
- 20 11. A communication network according to claim 9,  
a running application adapts its performance to said  
transferred layer specific parameters received from  
25 a service access interface (USAP) of the layer  
communication means (N) of the highest order.
  
12. A communication network according to claim 9,  
30 ***characterized in that***  
said layer specific parameters (QoS) include at  
least one of the following parameters:  
  
- single transmission related parameters  
comprising at least one of a expected

5 transmission delay, a probability of corruption, a probability of loss or duplication, a probability of wrong delivery, a cost, a protection from unauthorized access, a priority, a bit error rate (BER) and a current bandwidth;

10 - multiple transmission related parameters comprising at least one of an expected throughput and/or probability of out of sequence delivery, and

15 - connection-mode parameters comprising at least one of a connection establishment delay, connection establishment failure probability, connection release delay, connection release failure probability and connection resilience.

20 13. A communication network according to claim 9,  
*characterized in that*  
said communication network is a mobile radio  
communication network, said open systems (A, B) are  
mobile radio stations and said layer specific  
parameters (QoS) include at least one of bandwidth,  
a bit error rate (BER) and a bearer capability of  
the transmission path.

25

14. A communication network according to claim 11 and  
12,  
30           *characterized in that*  
          said application adapts its performance to the  
          connections characteristics transferred by said  
          layer specific parameters.

15. A communication network according to claim 9,  
*characterized in that*  
5       said layer communication means are formed by a seven  
layer reference model comprising in hierarchical  
order from the application: an Application Layer  
means (No. 7), a Presentation Layer means (No. 6), a  
Session Layer means (No. 5), a Transport Layer means  
(No. 4), a Network Layer means (No. 3), a Data Link  
10      Layer means (No. 2) and a Physical Layer means (No.  
1).  
  
16. A communication network according to claim 9,  
*characterized in that*  
15      an entity of a layer communication means (N+1)  
requesting a service and an entity in the next lower  
layer communication means providing said service  
through said service access interface (USAP) are in  
the same system or in two different systems.  
20  
17. A service access interface (SAP, USAP) for  
interconnecting two hierarchically layered layer  
communication means (N-1, N, N+1) used for  
performing communications between at least two  
25      cooperating open systems (OS) in an open system  
interconnection (OSI) communication network, each  
layer communication means comprising a number a  
layer specific services and using a number of layer  
specific parameters for a communication between said  
30      services in a respective layer communication means;  
  
said service access interface (SAP) comprising  
transfer means for requesting/using a service from a

layer communication means of lower order and providing said service to a layer communication means of a higher order,

5           *characterized by*

10           said transfer means further providing to said layer communication means of higher order said layer specific parameters of said layer communication means of lower order.

Fig. 1

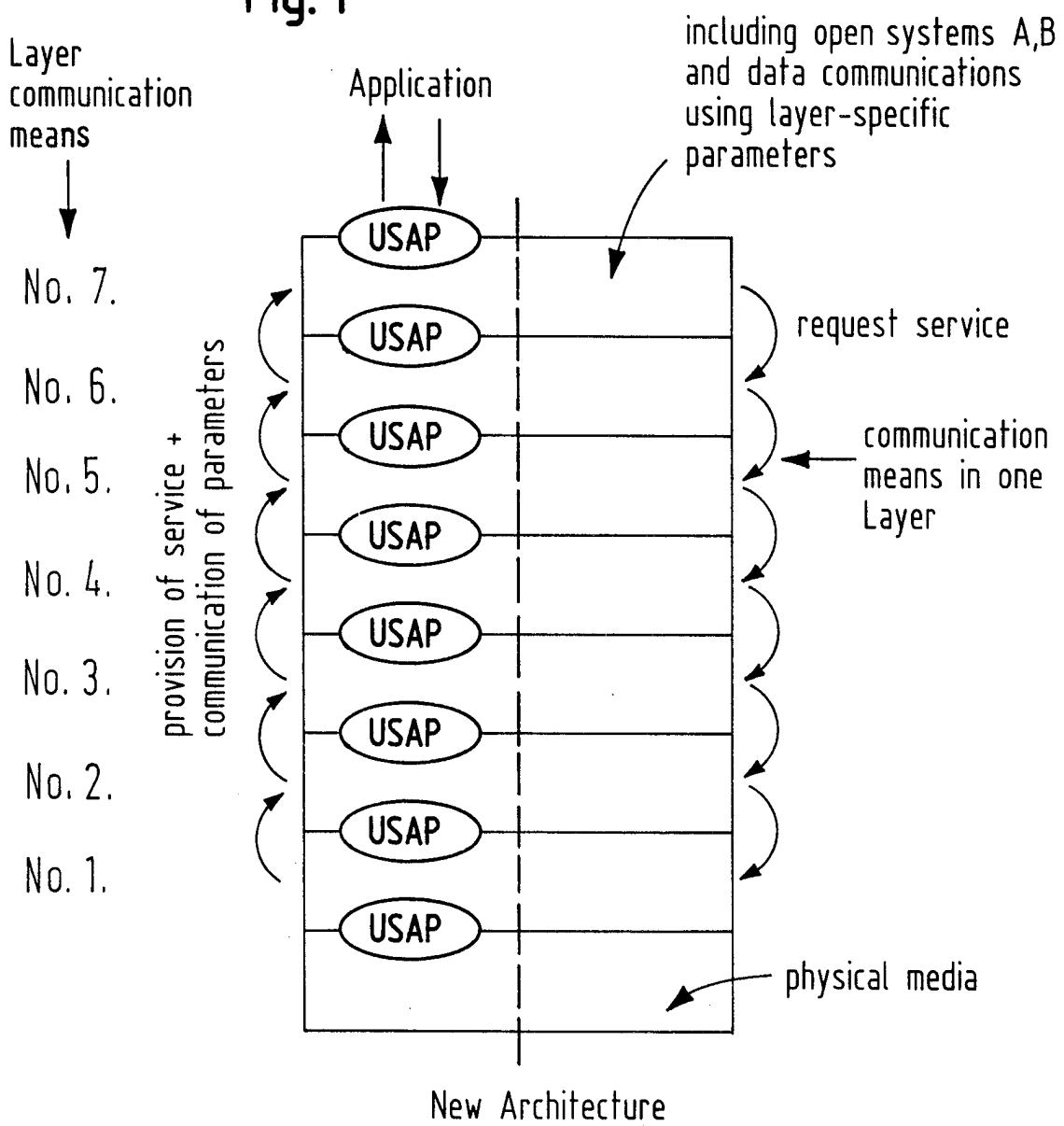


Fig. 2

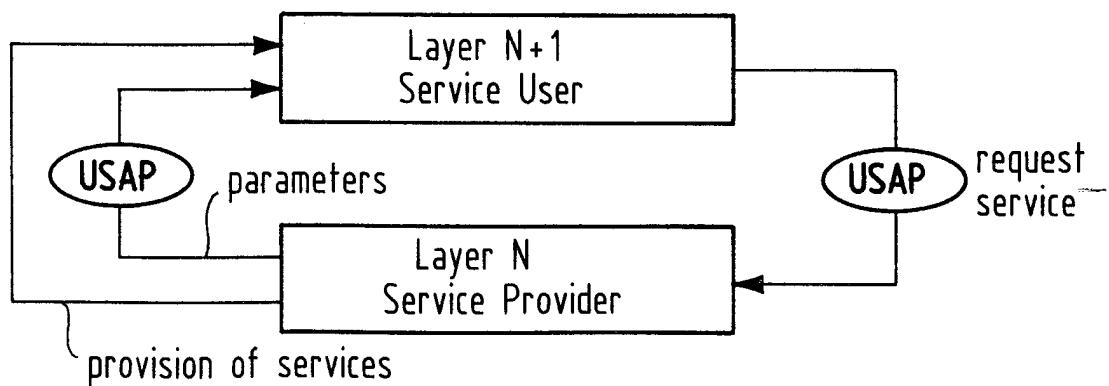
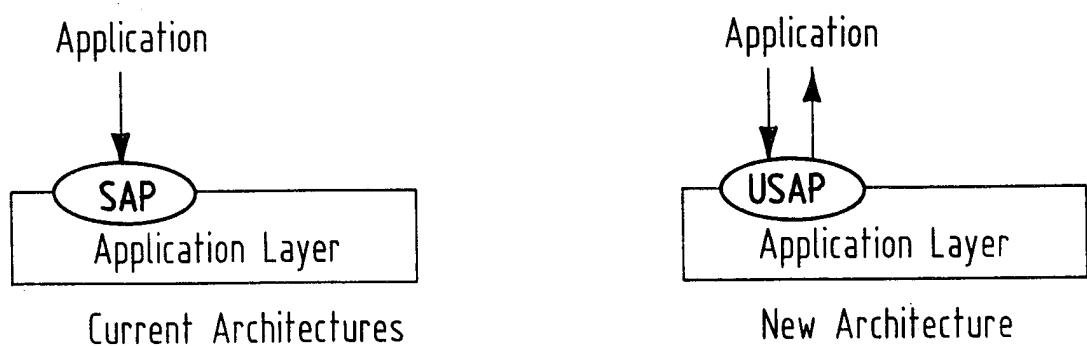


Fig. 3



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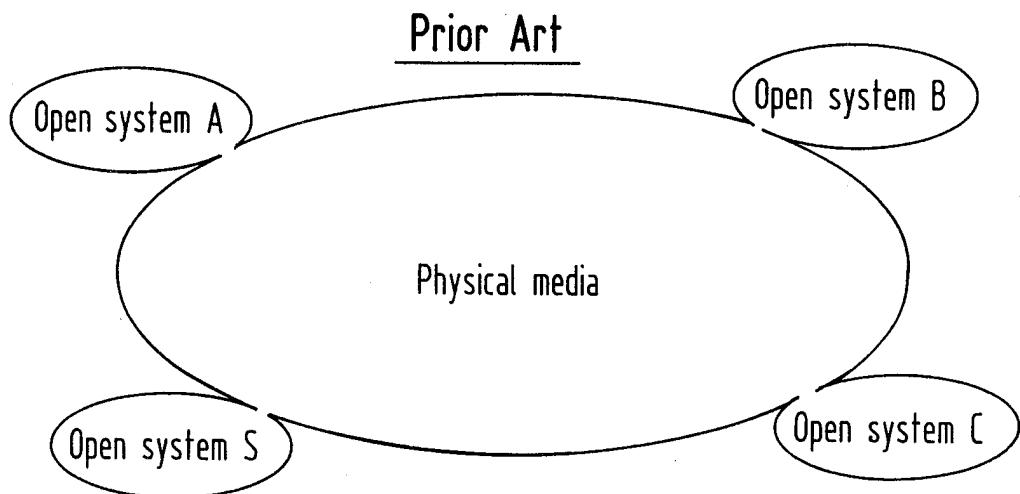


Fig. 4

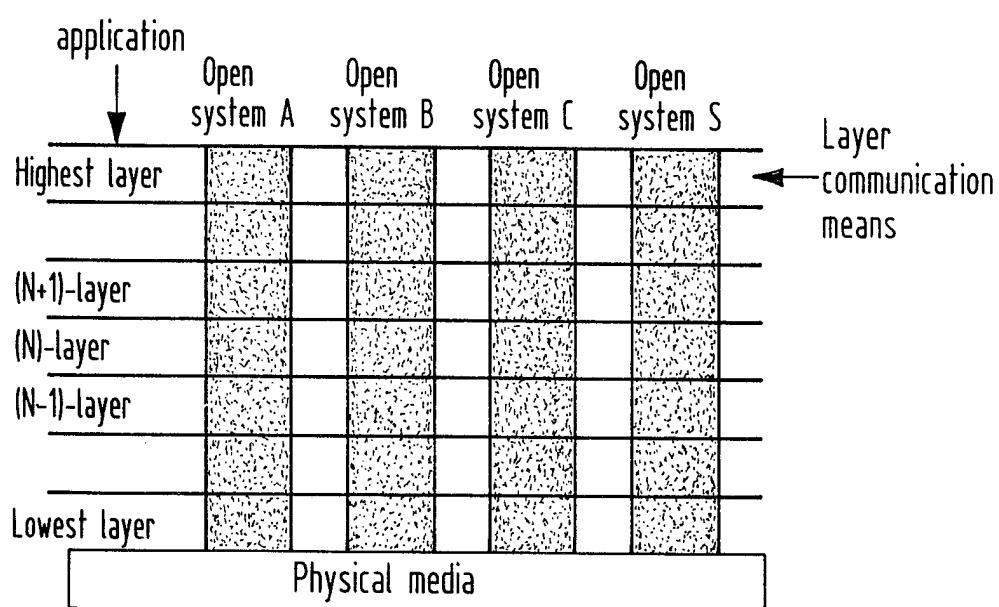


Fig. 5

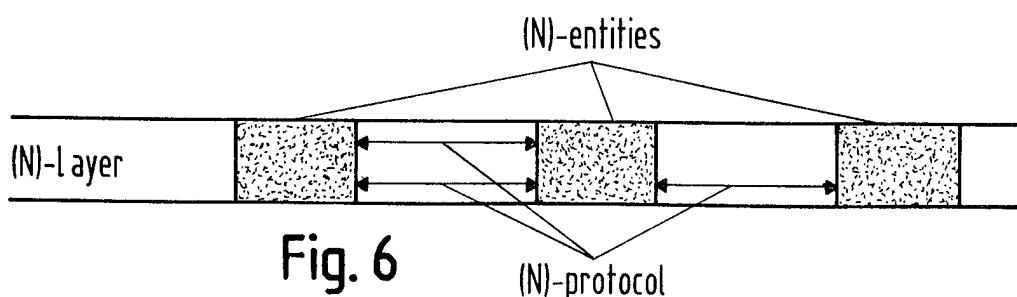


Fig. 6

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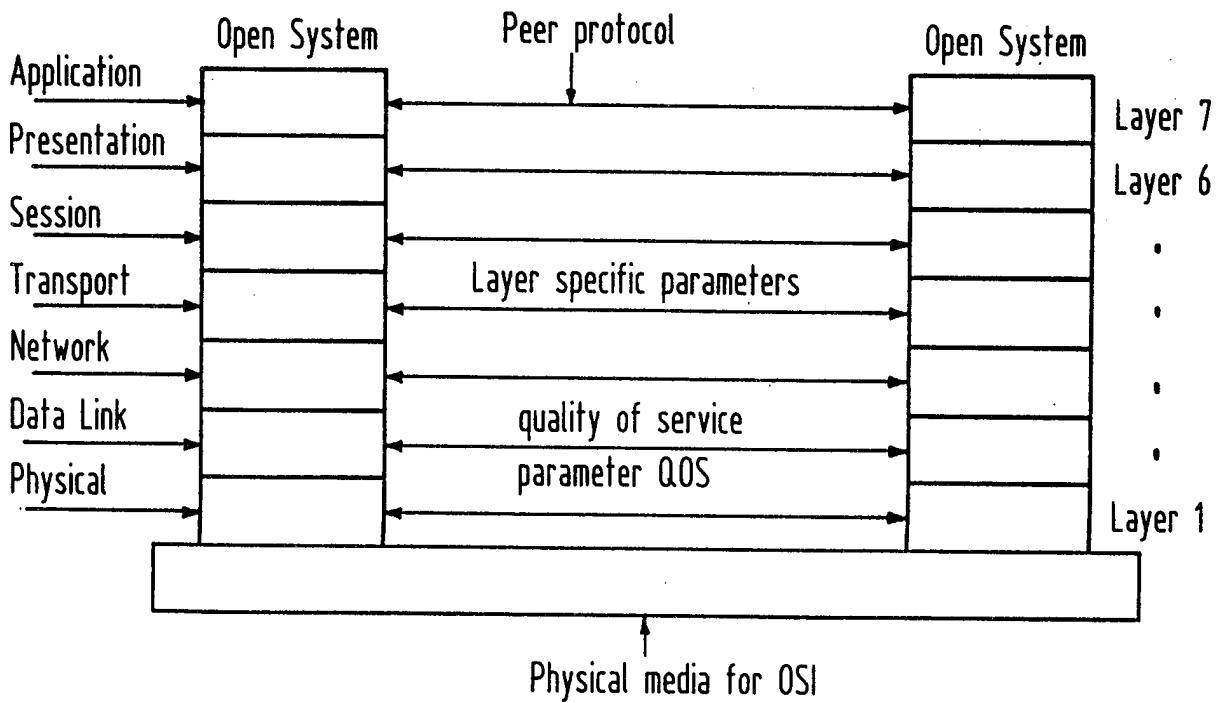
Prior Art

Fig. 7

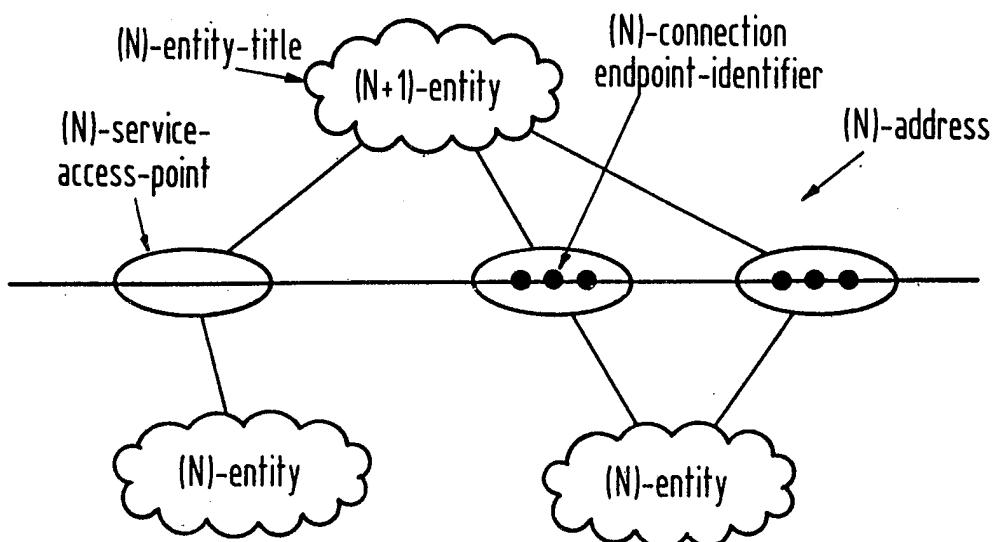
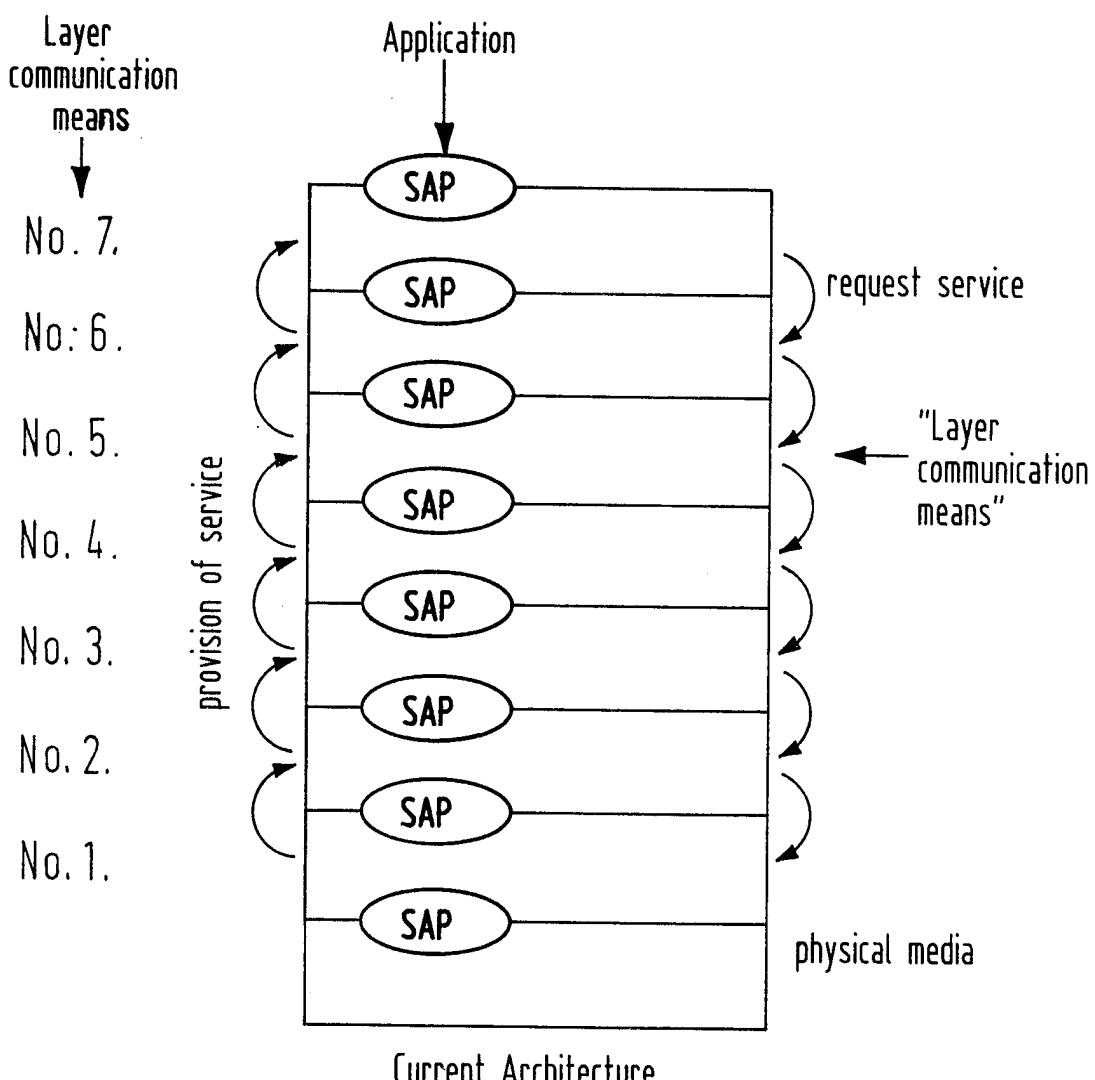


Fig. 8

5 / 5

Prior Art

Current Architecture

Fig. 9

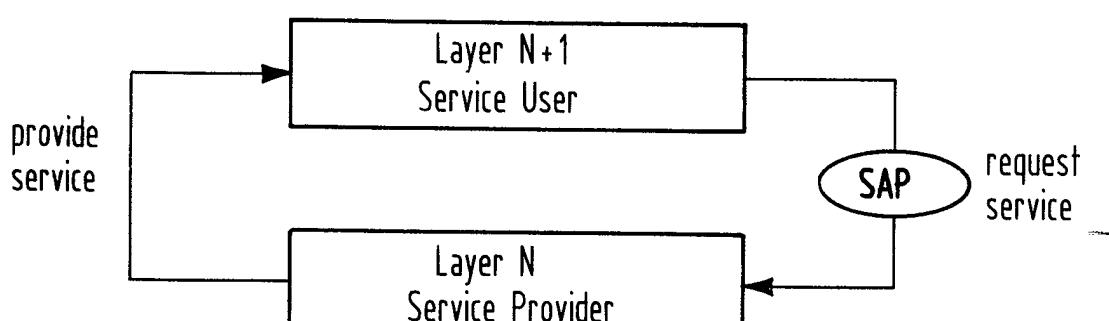


Fig. 10