



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>A61K 7/48</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 91/11171</b> <b>(43) International Publication Date:</b> 8 August 1991 (08.08.91)
<b>(21) International Application Number:</b> PCT/US91/00526 <b>(22) International Filing Date:</b> 28 January 1991 (28.01.91)  <b>(30) Priority data:</b> 9002290.6 1 February 1990 (01.02.90) GB  <b>(71) Applicant:</b> THE PROCTER & GAMBLE COMPANY [US/US]; One Procter & Gamble Plaza, Cincinnati, OH 45202 (US).  <b>(72) Inventors:</b> DATE, Robert, Francis ; 111 St. Jones Road, Woking Surrey GU21 1QB (GB). STEWART, Karen ; 76B Church Street, Staines, Middlesex TW18 4XS (GB). GRAEME, Douglas, Telfer, Smith ; 30 Alexandra Road, Windsor, Berkshire SL4 1HR (GB). CHAMBERS, Gilli- an ; Flat 4, Avenue Road, Staines TW18 3AW (GB).		<b>(74) Agents:</b> WITTE, Monte, D. et al.; The Procter & Gamble Company, Ivorydale Technical Ctr., 5299 Spring Grove Avenue, Cincinnati, OH 45217-1087 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), BR, CA, CH (European patent), DE (Eu- ropean patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European pa- tent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), SE (European patent).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> COSMETIC COMPOSITIONS  <b>(57) Abstract</b>  A skin or hair care composition in the form of a substantially oil-free aqueous gel comprising glycerine, a water-soluble polyglycerylmethacrylate lubricant having a viscosity of less than 4000 cps, and a hydrophilic gelling agent. The compositions provide improved skin feel and residue characteristics together with excellent moisturising, emolliency, rub-in and absorption characteristics.		

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Cosmetic Compositions

The present invention relates to skin- and hair-care cosmetic compositions. In particular it relates to cosmetic compositions in the form of substantially oil-free aqueous gels which provide improved skin feel and residue characteristics together with excellent moisturising, emolliency, rub-in and absorption characteristics.

Skin is made up of several layers of cells which coat and protect the keratin and collagen fibrous proteins that form the skeleton of its structure. The outermost of these layers, referred to as the stratum corneum, is known to be composed of 250 Å protein bundles surrounded by 80 Å thick layers. Anionic surfactants and organic solvents typically penetrate the stratum corneum membrane and, by delipidization (i.e. removal of the lipids from the stratum corneum), destroy its integrity. This destruction of the skin surface topography leads to a rough feel and may eventually permit the surfactant or solvent to interact with the keratin, creating irritation.

It is now recognised that maintaining the proper water gradient across the statum corneum is important to its functionality. Most of this water, which is sometimes considered to be the stratum corneum's plasticizer, comes from inside the body. If the humidity is too low, such as in a cold climate, insufficient water remains in the outer layers of the stratum corneum to properly plasticize the tissue; and the skin begins to scale and becomes itchy. Skin permeability is also decreased somewhat when

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there is inadequate water across the stratum corneum. On the other hand, too much water on the outside of the skin causes the stratum corneum to ultimately sorb three to five times its own weight of bound water. This swells and puckers the skin and results in approximately a two to three fold increase in the permeability of the skin to water and other polar molecules.

Hair consists of many of the same constituents as the stratum corneum. The outermost region of cells forms a rather thick chemically resistant protective coating enclosing the hair fibre which is called the cuticle. The surface of the cuticle is covered with a thin layer called the epicuticle which is thought to contain lipids and protein. The cuticle envelopes the cortex cells which comprise the major part of the fibre mass. Keratinization takes place in the cortex to build stability into the hair structure.

Thus, a need exists for compositions which will assist the stratum corneum and hair cuticle in maintaining their barrier and water retention functions at optimum performance in spite of deleterious interactions which the skin and hair may encounter in washing, work, and recreation.

Conventional cosmetic cream and lotion compositions as described, for example, in Sagarin, Cosmetics Science and Technology, 2nd Edition, Vol.1, Wiley Interscience (1972) and Encyclopaedia of Chemical Technology, Third

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Edition, Volume 7 are known to provide varying degrees of emolliency, barrier and water-retention (moisturising) benefits. However, they can also suffer serious negatives in terms of skin feel (i.e. they often feel very greasy on the skin) as well as having poor rub-in, absorption and residue characteristics. In the case of hair-care compositions they can also suffer from resoiling negatives.

The present invention therefore provides skin- and hair-care cosmetic compositions which provide improvements in absorption, residue and skin-feel characteristics without detriment to either short or longer term moisturizing effectiveness or emolliency.

Accordingly, the present invention provides a skin or hair care composition in the form of a substantially oil-free aqueous gel comprising:

- (a) from 0.5% to 20% of weight of glycerine,
- (b) from 0.1% to 10% by weight of a water-soluble polyglycerylmethacrylate lubricant having a viscosity (10% aqueous solution, 20°C, Brookfield RVT) of less than 4000 cps, and
- (c) from 0.1% to 20% by weight of a hydrophilic gelling agent.

The compositions of the present invention contain three essential ingredients as well as various optional components as indicated below. All levels and ratios are by weight of total composition, unless otherwise indicated.

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A first essential ingredient is glycerine (sometimes known as glycerol or glycerin). Chemically, glycerine is 1,2,3-propanetriol and is a product of commerce. One large source of the material is in the manufacture of soap.

In the present compositions, glycerine is present at a level of from about 0.5% to about 20%, preferably from about 1% to about 10%, more preferably from about 4% to about 8% by weight of composition.

A second essential component is a water soluble polyglycerylmethacrylate lubricant. This generally should have a viscosity (10% aqueous solution, 20°C, Brookfield RVT) of less than about 4000 cps, preferably less than about 1000 cps and more preferably less than about 500 cps. In addition, the polyglycerylmethacrylate lubricant preferably also has a viscosity (neat) in the range of from about 200 to about 5000 cps (Brookfield RVT, 20°C), more preferably from about 500 to about 2000 cps and especially from about 700 to about 900 cps.

The polyglycerylmethacrylate lubricants which can be used in the compositions of this invention are available under the trademark Lubragel (RTM) from Guardian Chemical Corporation, 230 Marcus Blvd., Hauppauge, N.Y. 11787. In general, Lubragels can be described as hydrates or clathrates which are formed by the reaction of sodium glycerate with a methacrylic acid polymer. Thereafter, the hydrate or clathrate is stabilized with a small amount of propylene glycol, followed by controlled hydration of the resulting product. Lubragels are marketed in a number of

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grades of varying glycerate: polymer ratio and viscosity. Preferred for use herein, however, is so-called Lubragel Oil which has a typical viscosity of about 800 cps. Other suitable Lubragels include Lubrigel TW, Lubragel CG and Lubragel MS.

In the present compositions, the polyglycerylmethacrylate lubricant is incorporated at a level of from about 0.1% to about 10%, preferably from about 0.2% to about 2%, and more preferably from about 0.3% to about 1% by weight of composition.

The compositions of the invention also contain a hydrophilic gelling agent at a level preferably from about 0.1% to about 20%, more preferably from about 0.2% to about 2%, and especially from about 0.3% to about 1%. The gelling agent preferably has a viscosity (1% aqueous solution, 20°C, Brookfield RVT) of at least about 4000 cps, more preferably at least about 10,000 cps, and especially at least 50,000.

Suitable hydrophilic gelling agents can generally be described as water-soluble or colloiddally water-soluble polymers, and include cellulose ethers (e.g. hydroxyethyl cellulose, methyl cellulose, hydroxy propylmethyl cellulose), polyvinylpyrrolidone, polyvinylalcohol, guar gum, hydroxypropyl guar gum and xanthan gum.

Preferred hydrophilic gelling agents herein, however, are acrylic acid/ethyl acrylate copolymers and the carboxyvinyl polymers sold by the B.F. Goodrich Company under the trade mark of Carbopol resins. These resins

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consist essentially of a colloiddally water-soluble polymer of acrylic acid crosslinked with from 0.75% to 2.00% of a crosslinking agent selected from polyallyl sucrose and polyallyl pentaerythritol. Examples include Carbopol 934, Carbopol 940 and Carbopol 950. Carbopol 934 is a water-soluble polymer of acrylic acid crosslinked with about 1% of a polyallyl ether of sucrose having an average of about 5.8 allyl groups for each sucrose molecule. A most preferred polymer is Carbopol 950 which has an average molecular weight of about 4,000,000.

Neutralizing agents suitable for use in neutralizing acidic group containing hydrophilic gelling agents herein include sodium hydroxide, potassium hydroxide, ammonium hydroxide, monoethanolamine, diethanolamine and triethanolamine.

The compositions of the invention are in aqueous gel form and are preferably formulated so as to have a product viscosity of at least about 4,000 and preferably in the range from about 4,000 to about 300,000 cps, more preferably from about 20,000 to about 200,000 cps and especially from about 80,000 to about 150,000 cps (20°C, neat, Brookfield RVT). Preferably the compositions are visually clear. The compositions are also substantially free of oil, i.e. contain less than about 1%, and preferably less than about 0.1% of materials which are insoluble or which are not colloiddally-soluble in the aqueous gel matrix at 10°C. "Colloiddally-soluble" herein refers to particles in the usual colloiddal size range, typically from 1 to 1000 nm, especially from 1 to 500 nm. In highly preferred embodiments, the compositions are substantially free of materials which are insoluble or not colloiddally soluble in distilled water at 20°C. Such materials include many conventional emollient materials such as hydrocarbon oils



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and waxes, glyceride esters, alkyl esters, alkenyl esters, fatty alcohols, certain fatty alcohol ethers and fatty acid esters of ethoxylated fatty alcohols, sterols extracted from lanolin, lanolin esters, wax esters, beeswax derivatives, vegetable waxes, phospholipids, sterols and amides. The compositions can, however, contain low levels of insoluble ingredients added, for example for visual-effect purposes, e.g. thermochromic liquid crystalline materials such as the microencapsulated cholesteryl esters and chiral nematic (non-sterol) based chemicals such as the (2-methylbutyl)phenyl 4-alkyl(oxy)benzoates available from Hallcrest, Glenview, Illinois 60025, U.S.A.

The compositions of the invention have no need of and are preferably also substantially free of surfactant materials which are conventionally added to cosmetic cream and lotion compositions in order to emulsify a water-insoluble oily phase. Again, "substantially free" means less than about 1%, preferably less than about 0.1% of the indicated materials. Such emulsifiers include ethoxylated fatty acids, ethoxylated esters, phosphated esters, ethoxylated fatty alcohols, polyoxyethylene fatty ether phosphates, fatty acid amides, acyl lactylates, soaps, etc.

A number of additional water-soluble materials can be added to the compositions of the invention, however. Such materials include the other humectants such as sorbitol, propylene glycol, ethoxylated glucose and hexanetriol; keratolytic agents such as salicylic acid; proteins and polypeptides and derivatives thereof; water-soluble or solubilizable preservatives such as Germall 115, methyl, ethyl, propyl and butyl esters of hydroxybenzoic acid, EDTA, Euxyl(RTM)K400, Bromopol

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(2-bromo-2-nitropropane-1,3-diol) and phenoxypropanol; anti-bacterials such as Irgasan (RTM) and phenoxyethanol (preferably at levels of from 0.5% to about 5%); soluble or colloidally-soluble moisturising agents such as hylaronic acid, chitin, and starch-grafted sodium polyacrylates such as Sanwet (RTM) IM-1000, IM-1500 and IM-2500 available from Celanese Superabsorbent Materials, Portsmouth, VA, USA and described in USA-A-4,076,663; colouring agents; perfumes and perfume solubilizers etc. Water is also present at a level of from about 50% to about 99.3%, preferably from about 80% to about 95% by weight of the compositions herein.

The pH of the compositions is preferably from about 4 to about 9, more preferably from about 4.5 to about 7.

The invention is illustrated to the following limiting examples.

#### Examples I to IV

	I	II	III	IV
Glycerine	5	5	10	5.0
Lubragel Oil	0.5	0.5	2.0	0.5
Lubragel TW	-	1.0	-	5.0
Carbopol 950	0.5	0.5	1.0	1.0
Sodium hydroxide	0.25	0.25	0.5	0.5
Methyl parabens	0.2	0.3	0.2	0.3
Euxyl K400	0.1	-	0.1	-
Phenoxyethanol	-	-	-	2.5
Deionised Water	-----To 100 -----			

The compositions are made by mixing at ambient temperature.

The compositions display improved skin feel and residue characteristics together with excellent moisturizing, emolliency, rub-in and absorption characteristics.

CLAIMS

1. A skin or hair care composition in the form of a substantially oil-free aqueous gel comprising:
  - (a) from 0.5% to 20% of weight of glycerine,
  - (b) from 0.1% to 10% by weight of a water-soluble polyglycerylmethacrylate lubricant having a viscosity (10% aqueous solution, 20°C, Brookfield RVT) of less than 4000 cps, and
  - (c) from 0.1% to 20% by weight of a hydrophilic gelling agent.
2. A composition according to claim 1 comprising from 1% to 10%, preferably from 4% to 8% by weight of glycerine.
3. A composition according to claim 1 or 2 wherein the polyglycerylmethacrylate lubricant is a hydrate or clathrate formed by the reaction of sodium glycerate with a methacrylic acid polymer.
4. A composition according to any of claims 1 to 3 wherein the polyglycerylmethacrylate lubricant has a viscosity (neat) in the range of from 200 to 5000 cps (Brookfield RVT, 20°C), preferably from 500 to 2000 cps, more preferably from 700 to 900 cps.
5. A composition according to any of claims 1 to 4 comprising from 0.2% to 2%, preferably from 0.3% to 1% by weight of the polyglycerylmethacrylate lubricant.
6. A composition according to any of claims 1 to 5 having a viscosity (20°C, neat, Brookfield RVT) of from 4000 to 300,000 cps.

7. A composition according to any of claims 1 to 6 wherein the gelling agent has a viscosity (1% aqueous solution, 20°C, Brookfield RVT) of at least 4000 cps, preferably at least 10,000 cps.
8. A composition according to any of claims 1 to 7 wherein the gelling agent is a carboxyvinyl polymer, preferably a colloiddally water-soluble polymer of acrylic acid cross-linked with from 0.75% to 2.0% of a cross-linking agent selected from polyallyl sucrose and polyallyl pentaerythritol.
9. A composition according to any of claims 1 to 8 comprising from 0.2% to 2%, preferably from 0.3% to 1% of the gelling agent.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/00526

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL.5 A61K 7/48 U.S. CL. 514/944														
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border: 1px solid black;">Classification System</th> <th style="border: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: middle;">U.S.</td> <td style="border: 1px solid black; text-align: center; vertical-align: middle;">514/944</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	U.S.	514/944								
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U.S.	514/944													
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border: 1px solid black;">Category <sup>*</sup></th> <th style="width: 60%; border: 1px solid black;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 30%; border: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">X</td> <td style="border: 1px solid black; vertical-align: top;">U.S., A, 4,863,725 (DECKNER ET AL) 05 SEPTEMBER 1989 column 4, lines 18 to 50</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1 - 9</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">X,P</td> <td style="border: 1px solid black; vertical-align: top;">U.S., A, 4,965,071, (KAWAN) 23 OCTOBER 1990 column 11, lines 30 to 61</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1 - 9</td> </tr> <tr> <td style="border: 1px solid black; text-align: center; vertical-align: top;">X,P</td> <td style="border: 1px solid black; vertical-align: top;">U.S., A, 4,956,170, (LEE) 11 SEPTEMBER 1990 column 8 lines 28 to 50</td> <td style="border: 1px solid black; text-align: center; vertical-align: top;">1 - 9</td> </tr> </table>			Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	U.S., A, 4,863,725 (DECKNER ET AL) 05 SEPTEMBER 1989 column 4, lines 18 to 50	1 - 9	X,P	U.S., A, 4,965,071, (KAWAN) 23 OCTOBER 1990 column 11, lines 30 to 61	1 - 9	X,P	U.S., A, 4,956,170, (LEE) 11 SEPTEMBER 1990 column 8 lines 28 to 50	1 - 9
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>														
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; vertical-align: top;">           Date of the Actual Completion of the International Search             08 MAY 1991         </td> <td style="width: 50%; border: 1px solid black; vertical-align: top;">           Date of Mailing of this International Search Report   <div style="font-size: 1.2em; font-weight: bold;">11 JUN 1991</div> </td> </tr> <tr> <td style="border: 1px solid black; vertical-align: top;">           International Searching Authority             ISA/US         </td> <td style="border: 1px solid black; vertical-align: top;">           Signature of Authorized Officer <i>Nguyen Ngoc-Ho</i>            NGUYEN NGOC-HO            DALE R. ORE INTERNATIONAL DIVISION         </td> </tr> </table>			Date of the Actual Completion of the International Search  08 MAY 1991	Date of Mailing of this International Search Report  <div style="font-size: 1.2em; font-weight: bold;">11 JUN 1991</div>	International Searching Authority  ISA/US	Signature of Authorized Officer <i>Nguyen Ngoc-Ho</i> NGUYEN NGOC-HO DALE R. ORE INTERNATIONAL DIVISION								
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