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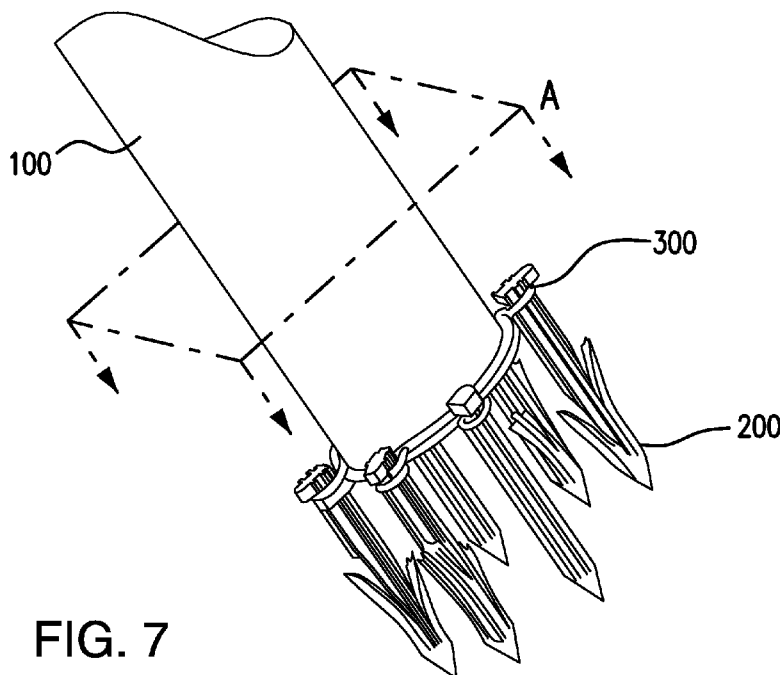
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- (54) Title: TISSUE CLOSURE DEVICE AND METHOD



- (57) Abstract: A device that, when implanted in the heart, closes the wound and complies with wall motion (i.e., expands and contracts with the myocardium).

## TISSUE CLOSURE DEVICE AND METHOD

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Patent Application Serial No. 14/301,106, filed June 10, 2014, which is a continuation-in-part of and claims the benefit of the filing date of U.S. Patent Application Serial No. 13/843,930, filed March 15, 2013, which is a  
5 continuation-in-part of and claims the benefit of the filing date of U.S. Patent Application Serial No. 13/010,769, filed January 20, 2011, which claims the benefit of U.S. Provisional Patent Application Serial No. 61/296,868, filed on January 20, 2010, U.S. Patent Application Serial No. 13/010,766, filed on January 20, 2011, U.S. Patent Application Serial No. 13/010,777, filed on January 20, 2011; and U.S. Patent Application Serial No. 13/010,774,  
10 filed on January 20, 2011. U.S. Patent Application Serial No. 14/301,106 is also a continuation-in-part of and claims priority to PCT Application No. PCT/US14/30868, filed March 17, 2014, which claims priority to U.S. Patent Application Serial No. 13/843,930, filed March 15, 2013.

Further, each of the following is hereby incorporated in its entirety by reference  
15 thereto: U.S. Patent Application Serial No. 14/301,106, filed June 10, 201, U.S. Patent Application Serial No. 13/843,930, filed March 15, 2013, PCT Application No. PCT/US14/30868, filed March 17, 2014, U.S. Patent Application Serial No. 13/010,769, filed January 20, 2011, U.S. Provisional Patent Application Serial No. 61/296,868, filed on January 20, 2010, U.S. Patent Application Serial No. 13/010,766, filed on January 20, 2011,  
20 U.S. Patent Application Serial No. 13/010,777, filed on January 20, 2011, and U.S. Patent Application Serial No. 13/010,774, filed on January 20, 2011.

FIELD OF THE INVENTION

The present invention relates to a tissue closure device and method.  
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BACKGROUND INFORMATION

Surgical interventions require gaining access to the surgical site where viscera is damaged and/or diseased. This involves piercing or cutting an aperture into healthy tissue layers to gain access. For example, during a thoracotomy procedure, a surgeon would  
30 typically incise the skin between the ribs thus piercing one or more tissue layers with a trocar, scalpel or other sharp device to allow the insertion of a cannula or retractor to maintain an

aperture in the tissue. Surgical instruments may be inserted through the cannula or retractor in order to access the surgical site. For example, a surgeon and/or interventionist would obtain access to a diseased or damaged aortic valve via a thoracotomy and myocardotomy via the apex of the heart. This procedure requires that a surgeon gain access to the myocardium of the patient's heart, e.g., via a small intercostal incision in the patient's chest. This procedure further involves incising the myocardium of the heart to form an access aperture, and insertion of a sheath introducer to maintain a desired diameter of the access aperture and to protect the heart tissue during subsequent insertion and/or removal of catheters and other instrumentation through the sheath. Catheters and other instrumentation may then be inserted through the cannula and into one or more chambers of the heart in order to repair defects or damaged portions of the heart.

Further, some pericardiocentesis procedures involve inserting a needle, via an intercostal opening in the patient, into the pericardial sac, guiding a flexible guide wire through the needle, and subsequent removal of the needle with the guide wire left in place. After removal of the needle, a tapered dilator may be advanced over the guide wire to dilate the opening in the pericardium tissue. The dilated opening, or tract, allows room for a catheter. After the dilation, the catheter is guided over the guide wire into the pericardial sac to drain fluid from the pericardium.

Transpericardial or transapical access to the myocardium is generally less intrusive than more traditional forms of surgery, since they generally require relatively small entry openings or apertures. However, these small apertures may be difficult to close, especially as the closure location is inside the patient's body. For example, referring the procedures described above, after removal of the sheath introducer and any catheters or other instrumentation extending therethrough, the aperture formed in the tissue, e.g., the heart or pericardium tissue, is closed within the patient's body. Since these exemplary procedures involve accessing the \*patient's thorax through a small intercostal aperture through the patient's skin and other underlying tissues (e.g., fat and/or fascia), closure methods such as suturing are more complicated than with traditional open surgical procedures. In particular, applying sutures to a closure location inside the patient's body through a small aperture such as a mini-thoracotomy is more difficult and complicated than directly manipulating a suture needle by hand at an open surgical site. This difficulty can result in defective closures and/or closures that require more time than necessary.

Defective closures may expose the patient to increased risk of complications such as internal bleeding and/or infection. Even where defective closures are recognized and

addressed prior to completion of the surgical procedure, the correction of defective closures increases the time required to affect the closure and may expose the tissue to additional trauma. It is generally desirable to minimize the amount of time for a surgical procedure in order to reduce the possibility of complications and unnecessary trauma to the patient.

5           Thus, there is a need for a closure mechanism and method that is simple to operate, reliable, and requires a small amount of time in which to form an effective closure.

## SUMMARY

10           In accordance with example embodiments of the present invention, a device includes:  
a plurality of anchors; at least one elastic closure element coupled to the anchors and  
configured to urge the anchors toward each other; and a driver configured to drive the  
anchors, with the closure element coupled to the anchors, into tissue; wherein the closure  
element has an elasticity sufficient to urge the anchors, driven into the tissue, toward each  
other to close an aperture in the tissue located between the anchors driven into the tissue and  
15   to resist opposing forces exerted on the anchors that urge the anchors apart.

The opposing forces may be exerted on the anchors by at least one of (a) the tissue,  
(b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

The device may further include a safety release mechanism including a plurality of  
spring-loaded members, each spring-loaded member independently movable between an  
20   engagement position and a disengagement position, the safety release mechanism adapted to  
prevent the driver from driving the anchors unless all of the spring-loaded members are in the  
engagement position.

The anchors may each include an elongated body having a distal tip configured to  
pierce the tissue when the respective anchor is distally driven into the tissue.

25           The anchors may each include an anchoring projection configured to resist proximal  
movement of the anchor after the anchor is driven into the tissue.

The anchoring projection is a wing extending proximally and radially from a  
connection between the wing and the elongated body to a free end.

30           The wing may include a plurality of proximally extending cutting projections at the  
free end of the wing.

The wing may be formed by a cut progressing radially inwardly and distally into the  
elongated body.

The elongated body and the wing may include a plurality of longitudinally extending corrugations, the corrugations providing a plurality of proximally extending cutting projections at the free end of the wing.

5 The anchors may each include first and second anchoring projections configured to resist proximal movement of the anchor after the anchor is driven into the tissue, the first and second anchoring projections being disposed at respective positions that are offset from each other along the length of the elongated body.

10 The first and second anchoring projections may be first and second wings formed respectively by first and second cuts progressing radially inwardly and distally into the elongated body and ending at respective locations that are offset from each other along the length of the elongated body.

The closure element may include at least one of a band, an elastomeric band, and a band formed of silicon.

15 The anchors may each include a hooked projection configured to receive the band. The hooked projection may be configured to maintain engagement between the band and the anchor by preventing the band from moving off the proximal end of the anchor.

The device may include a plurality of closure elements.

Each of the plurality of closure elements may contact two or more of the anchors.

The closure elements may form a pattern of two overlapping V-shaped configurations.

20 The plurality of closure elements may contact three or more of the anchors.

The at least one closure element may include a monolithic V-shaped element coupling three of the anchors.

25 The device may include two monolithic V-shaped closure elements each configured to contact three of the anchors. The two V-shaped closure elements may overlap to form a diamond-shaped operational window.

The device may further include a centering element configured to receive a guide wire. The centering element may be a tubular shaft.

The anchors may be disposed along a ring-shaped circumference in the first configuration.

30 The closure element may be prevented from extending within the ring-shaped circumference by one or more tubes.

The driver may configured to simultaneously drive the plurality of anchors.

The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors.

The device may further include a trigger configured to release the spring-loaded element from a preloaded position in order to drive the plurality of anchors.

The device may further include a handle, the trigger being disposed in handle.

5 The handle, the trigger, and the driver may be detachable from the cannula, the outer working tube, the plurality of anchors, and the closure element.

The plurality of anchors and the closure element may be formed of bioabsorbable materials.

10 In accordance with example embodiments of the present invention, a device includes: a plurality of anchors; and at least one elastic closure element coupled to the anchors and configured to urge the anchors toward each other; wherein the closure element has an elasticity sufficient to urge the anchors, driven into the tissue, toward each other to close an aperture in the tissue located between the anchors driven into the tissue and to resist opposing forces exerted on the anchors that urge the anchors apart.

15 The opposing forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

20 In accordance with example embodiments of the present invention, a method includes: implanting a plurality of anchors into tissue; and urging the implanted anchors towards each other by at least one elastic closure element coupled to the anchors with sufficient force to (a) close an aperture in the tissue located between the implanted anchors and (b) resist opposing forces exerted on the implanted anchors that urge the anchors apart and the aperture open.

The opposing forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

25 In accordance with example embodiments of the present invention, a method includes: implanting a plurality of anchors into tissue; urging the implanted anchors towards each other by at least one elastic closure element coupled to the anchors; forming an aperture in the tissue between the implanted anchors, the elastic closure element urging the implanted anchors towards each other and towards the aperture with sufficient force to (a) maintain the aperture in the tissue in a closed position and (b) resist opposing forces exerted on the  
30 implanted anchors that urge the anchors apart and urges the aperture open; inserting an instrument through the aperture; and after removing the instrument from the aperture, again urging the implanted anchors towards each other and towards the aperture by the elastic closure element with sufficient force to (a) maintain the aperture in the tissue in the closed

position and (b) resist opposing forces exerted on the implanted anchors that urge the anchors apart and the aperture open.

The opposing forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

5 In accordance with example embodiments of the present invention, a method includes: forming an aperture in tissue; inserting a centering device through the aperture; implanting a plurality of anchors into the tissue using the centering device to center the anchors about the aperture; urging the implanted anchors towards each other and towards the aperture by at least one elastic closure element coupled to the anchors; inserting an  
10 instrument through the aperture; and after removing the instrument from the aperture, again urging the implanted anchors towards each other and towards the aperture by the elastic closure element with sufficient force to (a) maintain the aperture in the tissue in the closed position and (b) resist opposing forces exerted on the implanted anchors that urge the anchors apart and the aperture open.

15 The opposing forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

In accordance with example embodiments of the present invention, a surgical device comprises two or more anchors, a driver configured to drive the anchors into a tissue, and at least one elastic closure element extending between the anchors and configured to urge the  
20 anchors from a first configuration in which the anchors are a first distance from each other, toward a second configuration in which the anchors are a second distance from each other, the second distance being less than the first distance, wherein the surgical device is configured to maintain the driven anchors in the first configuration and to selectably release the driven anchors to allow the anchors to be moved by the at least one closure element  
25 toward the second configuration.

The anchors may each include an elongated body having a distal tip configured to pierce the tissue when the respective anchor is distally driven into the tissue.

The anchors may each include an anchoring projection configured to resist proximal movement of the anchor after the anchor is driven into the tissue.

30 The anchoring projection may be a wing extending proximally and radially from a connection between the wing and the elongated body to a free end.

The wing may include a plurality of proximally extending cutting projections at the free end of the wing.

The wing may be formed by a cut progressing radially inwardly and distally into the elongated body.

The elongated body and the wing may include a plurality of longitudinally extending corrugations, the corrugations providing a plurality of proximally extending cutting

5 projections at the free end of the wing.

The anchors may each include first and second anchoring projections configured to resist proximal movement of the anchor after the anchor is driven into the tissue, the first and second anchoring projections being disposed at respective positions that are offset from each other along the length of the elongated body.

10 The first and second anchoring projections may be first and second wings formed respectively by first and second cuts progressing radially inwardly and distally into the elongated body and ending at respective locations that are offset from each other along the length of the elongated body.

The closure element may be a band. The band may form a continuous loop. The  
15 band may be elastomeric. The band may be formed of silicon.

The anchors may each include a hooked projection configured to receive the band.

The hooked projection may be configured to maintain engagement between the band and the anchor by preventing the band from moving off the proximal end of the anchor.

The device may include a two or more closure elements. Each of the plurality of  
20 closure elements may contact only two of the anchors. For example, the two or more closure elements may include four closure elements or may include six anchors, two of the six anchors being connected to only two of four closure elements, and four of the six anchors being connected to only a respective one of the four closure elements. The closure elements may form a pattern of two or more overlapping V-shaped configurations.

25 The surgical plurality of closure elements may contact three or more of the anchors.

The at least one closure element may include a monolithic V-shaped element configured to contact three of the anchors.

The at least one closure element may include two or more monolithic V-shaped elements each configured to contact three of the anchors. For example, the V-shaped  
30 elements may overlap to form a diamond-shaped operational window.

The device may further comprise a centering element configured to receive a guide wire. The device of claim 25, wherein the centering element is a tubular shaft. The centering element may have a proximal portion configured to allow the centering mechanism to be retracted from the remainder of the surgical device.

The device may further comprise at least one pressure sensor configured to indicate whether the device is adequately contacting the tissue prior to driving the anchors.

5 The at least one pressure sensor may include at least one contact element extending distally from a distal end of the device. The at least one contact element may be depressible when a distal end of the device is pressed against the tissue.

The device may further comprise a key plate and at least one key member, the at least one key member having a first position in which the at least key member is engaged with the key plate and a second position in which the at least one key member is disengaged with the key plate, wherein depression of the contact element causes the at least one key member to  
10 move from the first position to the second position.

The key plate may prevent driving of the anchors when the at least one key member is engaged with the key plate.

The at least one key member includes a plurality of key members each being independently movable by a respective contact element. The key plate may prevent driving  
15 of the anchors if any one of the key members is engaged with the key plate.

The anchors may be disposed along a ring-shaped circumference in the first configuration.

The closure element may be prevented from extending within the ring-shaped circumference when the anchors are maintained in the first configuration.

20 The surgical device may further comprise a cannula configured to provide access to a surgical site disposed between the anchors when the anchors are maintained in the first configuration.

The cannula may be configured to maintain the anchors in the first configuration.

25 The anchors and closure element may be disposed at a position radially exterior to the cannula.

The surgical device may further comprise an outer working tube, the cannula extending within the outer working tube.

30 At least one of the cannula and the outer working tube may have an outer surface configured to prevent the anchor and the closure element from extending to any radial position corresponding to an interior of the cannula.

The surgical device may include a plurality of closure elements prevented from extending to any radial position corresponding to the interior channel of the cannula.

The cannula may include a distal portion having a flanged orientation in which the distal portion forms a radially extending flange configured to prevent the closure elements

from moving distally beyond the distal end of the cannula. The flange may extend radially beyond an outer surface of the outer working tube.

The distal portion of the cannula may be actuatable to a second orientation, in which the distal portion of the inner working channel does not prevent the closure elements from moving distally beyond the distal end of the cannula.

The flange may extend distally when the distal portion of the cannula is in the second orientation.

The distal portion of the cannula may be actuatable from the flanged orientation to the second orientation by proximally sliding the cannula with respect to the outer working tube.

The depth to which the anchors are driven by the driver may be limited by contact between the closure element and the radially extending flanges.

The driver may be configured to simultaneously drive the plurality of anchors.

The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors.

The surgical device may further comprise a trigger configured to release the spring-loaded element from a preloaded position in order to drive the plurality of anchors.

The surgical device may further comprise a handle, the trigger being disposed in handle.

The surgical device may further comprise a safety element configured to prevent the trigger from releasing the spring-loaded element when the safety element is in a safety position.

The handle, the trigger, and the driver may be detachable from the cannula, the outer working tube, the plurality of anchors, and the closure element.

The plurality of anchors and/or the closure element may be formed of bioabsorbable materials.

In accordance with example embodiments of the present invention, a method comprises: implanting two or more anchors into a tissue; maintaining the implanted anchors in a first configuration in which the anchors are a first distance from each other; urging the anchors from the first configuration toward a second configuration in which the anchors are a second distance from each other, the second distance being less than the first distance; forming an aperture in the tissue in an area between the two or more anchors; and constricting the aperture by allowing the anchors to move from the first configuration to the second configuration..

The aperture may be formed while the implanted anchors are maintained in the first configuration.

The aperture may be formed with a trocar, scalpel or other sharp device and may be expanded using a dilator, sheath introducer or catheter.

5        The method may further comprise performing a thoroscopic surgical procedure through the aperture.

The closure device may include a cannula or sheath introducer configured to maintain the closure device in the preloaded state, the surgical procedure being performed through the cannula or sheath introducer.

10       The tissue may be a blood vessel or heart tissue.

The surgical procedure may be a trans-apical valve replacement or repair.

In accordance with example embodiments of the present invention, a surgical device comprises a plurality of anchors configured to be driven into a tissue, and at least one closure element extending between the anchors and configured to urge the anchors from a first  
15       configuration in which the anchors are a first distance from each other, toward a second configuration in which the anchors are a second distance from each other, the second distance being less than the first distance, wherein the surgical device is configured to maintain the anchors in the first configuration during a surgical procedure and to subsequently allow the anchors to be moved by the closure element toward the second configuration.

20       In accordance with example embodiments of the present invention, a surgical device comprises a driver configured to drive a plurality of anchors into a tissue in a first anchor configuration in which the anchors are a first distance from each other, wherein the device is configured to maintain the driven anchors in the first anchor configuration and to selectably release the driven anchors to allow the anchors to be moved by at least one closure element  
25       toward a second anchor configuration in which the anchors are closer to each other than when the anchors are in the first anchor configuration.

The driver may be configured to drive each anchor by striking, e.g., a) the respective anchor or b) a pin configured to transfer momentum from the driver to the anchor.

30       The driver may be configured to be actuated from a proximal position to a distal position in which the driver imparts momentum to each respective anchor by striking a) the respective anchor or b) a pin configured to transfer momentum from the driver to the respective anchor. The driver may be configured to be actuated by a spring.

In accordance with example embodiments of the present invention, a device comprises a plurality of anchors, at least one tissue compression band coupled to the anchors

and configured to urge the anchors toward each other, and a driver configured to drive the anchors, with the tissue compression band coupled to the anchors, into tissue, wherein the tissue compression band has an elasticity sufficient to urge the anchors, driven into the tissue, toward each other to close an aperture in the tissue located between the anchors driven into the tissue.

Forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

The anchors may each include a distal tip configured to pierce the tissue when the respective anchor is distally driven into the tissue.

The anchors may each include an anchoring projection configured to resist proximal movement of the anchor after the anchor is driven into the tissue.

The anchoring projection may be a wing extending proximally and radially from a proximal end of the distal tip to a free end.

The wing may include a plurality of proximally extending cutting projections at the free end of the wing.

The wing may include a plurality of longitudinally extending corrugations, the corrugations providing a plurality of proximally extending cutting projections at the free end of the wing.

The tissue compression band may include at least one of a band, an elastomeric band, and a band formed of silicon.

The tissue compression band may comprise a first and second end, each of the first and second end having at least one projection extending radially from the end, and further wherein each of the plurality of anchors comprises a coupling element.

The coupling element may be configured to maintain engagement between the tissue compression band and the anchor by preventing the band from moving off of the anchor.

The device may include a plurality of tissue compression bands.

Each of the tissue compression bands may contact two or more of the anchors.

Each of the tissue compression bands may contact two anchors.

The tissue compression bands may overlap other tissue compression bands.

Four tissue compression bands may overlap to form a rectangle.

Four tissue compression bands may overlap to form a diamond.

The anchors may be disposed along a ring-shaped circumference in the first configuration.

The anchors may be disposed in a square in the first configuration.

The driver may be configured to simultaneously drive the plurality of anchors.

The driver may be configured to drive the plurality of anchors a predefined distance.

The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors.

5           A trigger may be configured to release the spring-loaded element from a preloaded position in order to drive the plurality of anchors.

A handle, the trigger may be disposed in handle.

The plurality of anchors and the tissue compression bands may be formed of bioabsorbable materials.

10           The tissue compression band may have a relaxed state, in which the tissue compression band exerts one of (i) no force and (ii) minimal force on the anchors, and a tensed state, in which the tissue compression band exerts a force urging the anchors toward each other.

15           The tissue compression band may be in the relaxed state before being driven into the tissue by the driver.

The tissue compression band may be in the tensed state after being driven into the tissue by the driver.

The tissue compression band may be coupled to the anchor at a point disposed inside the tissue after the anchor is driven into the tissue by the driver.

20           In accordance with example embodiments of the present invention, a device comprises a plurality of anchors, and at least one tissue compression band coupled to the anchors and configured to urge the anchors toward each other, wherein the tissue compression band has an elasticity sufficient to urge the anchors, driven into the tissue, toward each other to close an aperture in the tissue located between the anchors driven into  
25           the tissue.

In accordance with example embodiments of the present invention, a method comprises implanting a plurality of anchors into tissue, and urging the implanted anchors towards each other by at least one tissue compression band coupled to the anchors with sufficient force to close an aperture in the tissue located between the implanted anchors.

30           In accordance with example embodiments of the present invention, a device comprises a plurality of anchors, a closure plate coupled to the anchors, and a driver configured to drive the anchors, with the closure plate coupled to the anchors, into tissue, wherein the closure plate coupled with the anchors is configured to close an aperture in the tissue located between the anchors driven into the tissue.

The closure plate may be rigid.

The closure plate may be configured to urge the anchors toward each other.

The anchors each may include an elongated body having a distal tip configured to pierce the tissue when the respective anchor is distally driven into the tissue.

5        The anchors each may include an anchoring projection configured to resist proximal movement of the anchor after the anchor is driven into the tissue.

The anchoring projection may be a wing extending proximally and radially from a connection between the wing and the elongated body to a free end.

10       The wing may include a plurality of proximally extending cutting projections at the free end of the wing.

The wing may be formed by a cut progressing radially inwardly and distally into the elongated body.

15       The elongated body and the wing may include a plurality of longitudinally extending corrugations, the corrugations providing a plurality of proximally extending cutting projections at the free end of the wing.

The anchors may each include first and second anchoring projections configured to resist proximal movement of the anchor after the anchor is driven into the tissue, the first and second anchoring projections being disposed at respective positions that are offset from each other along the length of the elongated body.

20       The first and second anchoring projections may be first and second wings formed respectively by first and second cuts progressing radially inwardly and distally into the elongated body and ending at respective locations that are offset from each other along the length of the elongated body.

25       Closure elements may have an elasticity sufficient to urge the anchors, driven into the tissue, toward each other to close an aperture in the tissue located between the anchors driven into the tissue.

The closure element may include at least one of a band, an elastomeric band, and a band formed of silicon.

The anchors may each include a hooked projection configured to receive the band.

30       The hooked projection may be configured to maintain engagement between the band and the anchor by preventing the band from moving off the proximal end of the anchor.

The closure plate may be round.

The closure plate may be rectangular.

The closure plate may comprise a plurality of sliding braces.

The driver may be configured to simultaneously drive the plurality of anchors.

The driver may be configured to drive the plurality of anchors a predefined distance.

The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors.

5           A trigger may be configured to release the spring-loaded element from a preloaded position in order to drive the plurality of anchors.

The trigger may be disposed in a handle.

The plurality of anchors and the closure plate may be formed of bioabsorbable materials.

10           In accordance with example embodiments of the present invention, a device comprises a plurality of anchors, a closure plate coupled to the anchors, and wherein the closure plate coupled with the anchors is configured to close an aperture in the tissue located between the anchors driven into the tissue.

          In accordance with example embodiments of the present invention, a method  
15           comprises implanting a plurality of anchors into tissue, and closing an aperture in the tissue located between the anchors driven into the tissue.

          In accordance with example embodiments of the present invention, a device  
          comprises a plurality of anchors, at least one closure element coupled to the anchors and  
          configured to urge the anchors toward each other, and a driver configured to drive the  
20           anchors, with the closure element coupled to the anchors, into tissue, wherein the closure  
          element has an elasticity sufficient to urge the anchors, driven into the tissue, toward each  
          other to close an aperture in the tissue located between the anchors driven into the tissue.

Forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow,  
(c) pneumatic pressure, (d) hydraulic pressure, (e) external forces, and (f) manual pressure.

25           The anchors may each include a distal tip configured to pierce the tissue when the  
          respective anchor is distally driven into the tissue.

The anchors may each include an anchoring projection configured to resist proximal  
movement of the anchor after the anchor is driven into the tissue.

          The anchoring projection may be a wing extending proximally and radially from a  
30           proximal end of the distal tip to a free end.

The wing may include a plurality of proximally extending cutting projections at the  
free end of the wing.

The wing may include a plurality of longitudinally extending corrugations, the corrugations providing a plurality of proximally extending cutting projections at the free end of the wing.

5 The closure element may include at least one of a band, a tissue compression band, an elastomeric band, and a band formed of silicon.

The closure element may comprise a first and second end, each of the first and second end having at least one projection extending radially from the end, and further wherein each of the plurality of anchors comprises a coupling element.

10 The coupling element may be configured to maintain engagement between the closure element and the anchor by preventing the band from moving off of the anchor.

The device may include a plurality of closure elements.

Each of the closure elements may contact two or more of the anchors.

Each of the closure elements may contact two anchors.

15 The driver may be tubular, and wherein each of the closure elements is wrapped around the tubular driver.

The tubular driver may comprise at least one pusher pin configured to hold the closure element in a wrapped position around the tubular driver.

A tubular outer sheath may be disposed annularly about the wrapped closure element.

20 The outer sheath may be configured to slide in a proximal direction to expose the wrapped closure element.

The driver may be further configured to drive the anchors radially outward.

In accordance with example embodiments of the present invention, a method comprises inserting, into an aperture in a tissue, a surgical device having at least one closure element wrapped around a tubular drive, the closure element coupled to a plurality of  
25 anchors, removing an outer sheath from an annular position about the wrapped closure element, driving the anchors coupled to the closure element into the tissue from beneath the surface of the tissue, and removing the surgical device from the tissue, wherein the anchors and closure element remain within the tissue.

30 In accordance with an example embodiment of the present invention, a surgical anchor includes a distal end tapered to a distal tip configured to pierce tissue, a flexible element extending proximally from the distal end, and at least one wing extending proximally and radially outwardly from the distal end to a free end, including a radially exterior surface and a radially interior surface, wherein the radially exterior surface includes longitudinally

extending corrugations providing a plurality of proximally extending projections at the free end.

Forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

5 The radially interior surface may be concave.

The flexible element may be configured to flex in cooperation with a force exerted on the anchor.

The wing may be configured to resist proximal movement of the anchor after the anchor is driven into the tissue. The wing may further extend to a free end, and include a  
10 plurality of proximally extending cutting projections at the free end. The corrugations providing a plurality of proximally extending cutting projections at the free end of the wing.

The anchor may be disposed in a configuration with a plurality of anchors along a ring-shaped circumference. The anchor may disposed in a configuration with a plurality of anchors in a rectangular shape. The anchor may be formed of bioabsorbable materials.

15 In accordance with an example embodiment of the present invention, a surgical anchor includes a distal end tapered to a distal tip configured to pierce tissue, and at least one wing extending proximally and radially outwardly from the distal end to a free end, including a radially exterior surface and a radially interior surface, wherein the radially exterior surface includes longitudinally extending corrugations providing a plurality of proximally extending  
20 projections at the free end.

In accordance with an example embodiment of the present invention, a surgical device includes an anchor having a distal end tapered to a distal tip configured to pierce tissue, at least one wing extending proximally and radially outwardly from the distal end to a free end, the wing extending from the distal end at a shoulder of the anchor, and a flexible  
25 element extending proximally from the distal end, the shoulder located at, or distal to, the intersection of the flexible element and a distal portion of the wing, and a driver configured to exert a driving force on the shoulder of the anchor to drive the anchor into the tissue, wherein the wing includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of  
30 proximally extending projections at the free end.

The anchor may be disposed in a configuration with a plurality of anchors, and the driver may be configured to simultaneously drive the anchors, or drive the anchors a predefined distance. The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors

The wing may extend proximally beyond the shoulder, and the driver may include a pin in contact with the shoulder of the anchor, the pin configured to drive the anchor distally into tissue.

5 In accordance with an example embodiment of the present invention, a surgical device includes a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue, at least one wing extending proximally and radially outwardly from the distal end to a free end, and a delivery mechanism having a distal end including at least one window configured to permit the wing to expand to a relaxed position and at least one narrowing element configured to compress the wing to a compressed position, wherein the  
10 wing includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of proximally extending projections at the free end, wherein, prior to the delivery mechanism ejecting the anchor, the wing is situated in the window so that the wing is in the relaxed position, wherein, during the delivery mechanism ejecting the anchor, the wing encounters the  
15 narrowing element so that the wing narrows from the relaxed position to the compressed position, and wherein the wing is configured to return to the relaxed position once ejected from the delivery mechanism.

In accordance with an example embodiment of the present invention, a surgical anchor comprises a distal end tapered to a distal tip configured to pierce tissue, a flexible  
20 stem extending proximally from the distal end, and at least one barb extending proximally and radially outwardly from the distal end to a free end, including a radially exterior surface and a radially interior surface, wherein the radially exterior surface includes longitudinally extending corrugations providing a plurality of proximally extending projections at the free end, and wherein the flexible stem is flexible with respect to the at least one barb and distal  
25 tip. The radially interior surface may be concave.

Forces may be exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces. The flexible stem may be configured to flex in cooperation with a force exerted on the anchor.

The barb may be configured to resist proximal movement of the anchor after the  
30 anchor is driven into the tissue. The barb may extend to a free end, and include a plurality of proximally extending cutting projections at the free end. The corrugations may provide a plurality of proximally extending cutting projections at the free end of the barb.

The anchor may be disposed in a configuration with a plurality of anchors along a ring-shaped circumference. The anchor may be disposed in a configuration with a plurality of anchors in a rectangular shape. The anchor may be formed of bioabsorbable materials.

5 The flexible stem may further comprise a proximal eyelet configured to receive a closure element. The closure element may be a suture.

10 In accordance with an example embodiment of the present invention, a surgical device comprises an anchor having a distal end tapered to a distal tip configured to pierce tissue, at least one barb extending proximally and radially outwardly from the distal end to a free end, the barb extending from the distal end at a shoulder of the anchor, and a flexible stem extending proximally from the distal end, the shoulder located at, or distal to, the intersection of the flexible stem and a distal portion of the barb, and a driver configured to exert a driving force on the shoulder of the anchor to drive the anchor into the tissue, wherein the barb includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of  
15 proximally extending projections at the free end.

The anchor may be disposed in a configuration with a plurality of anchors, and further wherein the driver is configured to simultaneously drive the anchors. The driver may be configured to drive the anchors a predefined distance. The driver may comprise a spring-loaded element configured to impact and impart a distally directed momentum to the anchors

20 The barb may extend proximally beyond the shoulder. The driver may include a pin in contact with the shoulder of the anchor, the pin configured to drive the anchor distally into tissue.

In accordance with an example embodiment of the present invention, a surgical device comprises a surgical anchor including a distal end tapered to a distal tip configured to  
25 pierce tissue, and at least one barb extending proximally and radially outwardly from the distal end to a free end, and a delivery mechanism having a distal end including at least one window configured to permit the barb to expand to a relaxed position and at least one narrowing element configured to compress the barb to a compressed position, wherein the barb includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of proximally  
30 extending projections at the free end, wherein, prior to the delivery mechanism ejecting the anchor, the barb is situated in the window so that the barb is in the relaxed position, wherein, during the delivery mechanism ejecting the anchor, the barb encounters the narrowing element so that the barb narrows from the relaxed position to the compressed position, and

wherein the barb is configured to return to the relaxed position once ejected from the delivery mechanism.

In accordance with an example embodiment of the present invention, a surgical device comprises a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue, at least one barb extending proximally and radially outwardly from the distal end to a free end, a flexible stem extending proximally from the distal end, and a proximal eyelet, a closure element configured to be received by the proximal eyelet, and a tensioner including a hollow axial core configured to receive the closure element and to permit the closure element to slide through the tensioner. The closure element may be a suture.

Further features and aspects of example embodiments of the present invention are described in more detail below with reference to the appended Figures.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1 and 2 show a surgical closure device and a detailed view of a distal tip of the surgical device in accordance with an example embodiment of the present invention.

Figure 3 is a front view with an inset partial front view of the surgical closure device of Figure 1.

Figure 4 shows an anchor of the self-acting closure arrangement of the device of Figure 1.

Figure 5A shows a subassembly of the surgical closure device of Figure 1.

Figure 5B is a partial view of the subassembly of Figure 4.

Figure 5C is a partial sectional view of the device of Figure 1 taken through a plane containing the longitudinal axis of the device and bisecting two opposed anchors.

Figure 6A is a partial cross-sectional view of the subassembly of Figure 5A with a safety mechanism engaged.

Figure 6B is a partial cross-sectional view of the subassembly of Figure 5A with the safety mechanism disengaged.

Figure 6C is a partial cross-sectional view of the subassembly of Figure 5A when a trigger is in a depressed state.

Figure 7 is a partial view of the working tube and a self-acting closure arrangement of the surgical closure device of Figure 1.

Figure 8A is a cross-sectional view according to plane A of Figure 7.

Figure 8B is a cross-sectional view according to plane A of Figure 7 when a cannula is disposed in the outer working tube.

Figures 8C, 8D, and 8E sequentially and schematically illustrate the retraction of the cannula of Figure 8B with respect to the outer working tube and the release of the closure elements.

5 Figure 9A is a partial view of the outer working tube of the device of Figure 1 with the self-acting closure arrangement inserted into a tissue.

Figure 9B is a partial view of the outer working tube and a cannula with the self-acting closure arrangement of the device of Figure 1 inserted into a tissue.

Figure 10A shows the self-acting closure arrangement of the device of Figure 1 inserted in the tissue after removal of the cannula and working tube.

10 Figures 10B and 10C schematically illustrate the forces exerted by the anchors of Figure 10A.

Figures 10D and 10E illustrate the anchors of Figure 10A when drawn to their closed or approximated positions to close a hole in a tissue.

15 Figure 11 shows a closure element with a V-shaped configuration in accordance with an example embodiment of the present invention.

Figure 12 shows another V-shaped closure element in accordance with an example embodiment of the present invention.

Figure 13 shows an anchor in accordance with an example embodiment of the present invention.

20 Figure 14 shows a plurality of anchors of Figure 13 and closure elements of Figure 12 when closing a hole in a tissue.

Figure 15 shows a surgical closure device in accordance with an example embodiment of the present invention.

25 Figure 16 shows a front perspective view of a distal end portion of the surgical closure device of Figure 15 with anchors and closure elements.

Figure 17A is a partial view of a subassembly of the device of Figure 15.

Figure 17B is a side view of the trigger of the device of Figure 15.

Figure 17C is a top view of the trigger of the device of Figure 15.

Figure 17D is a bottom view of the trigger of the device of Figure 15.

30 Figure 18A is a partial view of a trigger subassembly of the device of Figure 15 with the trigger in an initial state.

Figure 18B is a partial view of the trigger subassembly of Figure 18 with the trigger depressed.

Figure 18C is a front cross-sectional view of a subassembly of the device of Figure 15 showing the key plate in an engaged state and in a first position.

Figure 18D is a front cross-sectional view of the subassembly of Figure 18C showing the key plate in a disengaged state and in the first position.

5        Figure 18E is a front cross-sectional view of the subassembly of Figure 18C showing the key plate in a disengaged state and in a second position.

Figure 19A is a schematic illustration showing the engagement of the trigger bar of the device of Figure 15 with a hammer sleeve.

10       Figure 19B is a schematic illustration showing the trigger bar of the device of Figure 15 disengaged with the hammer sleeve.

Figure 19C is a schematic front view of the latch member and safety switch of the device of Figure 15 with the safety switch in an engaged state.

Figure 19D is a schematic front view of the latch member and safety switch of the device of Figure 15 with the safety switch in a disengaged state.

15       Figure 20A shows the anchors driven into a tissue without closure elements.

Figure 20B shows the tissue of Figure 20A punctured at a location within the periphery defined by the anchors.

Figure 20C shows the anchors disposed around the puncture formed in Figure 20B.

20       Figure 20D shows the puncture of Figures 20B and 20C closed by the anchors and closure elements.

Figure 20E shows the anchors surrounding the punctured tissue.

Figure 21A is a perspective view of the tissue compression band assembly in accordance with an example embodiment of the present invention.

25       Figure 21B is a cross-sectional view of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 21C is a side view of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 21D is a cross-sectional view of the tissue compression band assembly in accordance with an example embodiment of the present invention.

30       Figure 21E is a perspective view of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 22 is a front view of the end portion and the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 23 is a perspective view of the end portion and the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 24 is a perspective view of the pusher plate in accordance with an example embodiment of the present invention.

5        Figure 25 is a cross-sectional view of the tissue compression band assembly and pusher pin in accordance with an example embodiment of the present invention.

Figure 26A shows a tissue compression band assembly and an opening in tissue in accordance with an example embodiment of the present invention.

10       Figure 26B shows a tissue compression band assembly and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 26C shows a tissue compression band assembly and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 26D shows tissue closed by the tissue compression band assembly in accordance with an example embodiment of the present invention.

15       Figure 27A is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 27B is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

20       Figure 27C is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 27D is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 27E is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

25       Figure 27F is a schematic front view of an arrangement of the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 28A is a front view of an end portion in accordance with an example embodiment of the present invention.

30       Figure 28B is a front view of an end portion and the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 28C is a perspective schematic view of an end portion and the tissue compression band assembly in accordance with an example embodiment of the present invention.

Figure 29A is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 29B is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

5        Figure 29C is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 29D is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

10       Figure 29E is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 30A is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 30B is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

15       Figure 31A is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 31B is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

20       Figure 31C is a perspective view of a sliding brace of the device of Figures 31A and Figures 31B.

Figure 32A is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

Figure 32B is a perspective view of a large bore closure in accordance with an example embodiment of the present invention.

25       Figure 33 is a perspective view of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 34A is a perspective view of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

30       Figure 34B is a perspective view of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 34C is a perspective view of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 35A shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 35B shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 35C shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

5        Figure 35D shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 35E shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

10       Figure 35F shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 35G shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

Figure 35H shows a percutaneous tissue closing device and an opening in tissue in accordance with an example embodiment of the present invention.

15       Figure 36A shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 36B shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

20       Figure 37A shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 37B shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 38A shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

25       Figure 38B shows a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 39 shows a cam of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

30       Figure 40 shows a sleeve of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 41A shows a working tube of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 41B shows a working tube of a percutaneous tissue closing device in accordance with an example embodiment of the present invention.

Figure 42 shows an anchor in accordance with an example embodiment of the present invention.

Figure 43 shows a deployed arrangement of the anchors and closure element in accordance with an example embodiment of the present invention.

5        Figure 44 shows an anchor in accordance with an example embodiment of the present invention.

Figures 45A and 45B show a tensioning device in accordance with an example embodiment of the present invention.

10       Figures 46A, 46B, 46C, and 46D show a distal end of the surgical closure device and deployment of anchors in accordance with an example embodiment of the present invention.

Figures 47A, 47B, 47C, and 47D show a distal end of the surgical closure device and deployment of anchors in accordance with an example embodiment of the present invention.

#### DETAILED DESCRIPTION

15        As set forth in greater detail below, example embodiments of the present invention allow for the reliable and effective closure of an opening in tissue (e.g., a pericardial or myocardial window) that limits the possibility of human error, e.g., by eliminating the need for suturing. In some examples, a surgical device anchors a plurality of anchors, which are connected to each other by one or more elastic closure elements, into the tissue. The anchors  
20       are driven into the tissue in a spaced-apart configuration in which the elastic closure elements are tensioned between the anchors. The anchors are held in the spaced-apart arrangement while a surgical procedure is performed through a tissue opening formed between the anchored locations of the anchors. In order to close the opening, the device simply releases the anchors from the spaced-apart arrangement such that the tensioned elastic closure  
25       elements draw the anchors, as well as the tissue in which the anchors are anchored, toward the tissue opening. Thereby, the tissue opening is held closed. The tension remaining in the elastic closure elements offsets the opposing forces that may be entered on the anchors by at least one of (a) the tissue, (b) the fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.

30        Referring, for example, to Figures 1 to 10E, a surgical procedure involves positioning a surgical closure device 5 at a surgical entry location, e.g., a location on the wall of a heart where access to the interior of the heart is desired. The surgical closure device 5 is then actuated, e.g., via a trigger, to drive a plurality of anchors 200 into the tissue at predetermined locations spaced around the surgical entry location. The anchors 200 are preloaded toward

the entry location by pre-tensioned closure elements 300 in the form of elastic bands. The anchors 200 are maintained in their outward positions by a cannula 400 and/or an outer working tube 100. After the anchors 200 are driven, the portions of the surgical device other than the cannula 400, outer working tubes 100, the anchors 200, and the closure elements 300 are removed.

The cannula 400 then provides a working channel through which the surgical procedure may be performed. For example, a trocar may be extended through the channel of the cannula 400 to pierce the tissue 900. Catheters, guide wires and/or other instrumentation may then be inserted through the working channel in accordance with any suitable interventional or surgical procedure. To conclude the procedure, any catheters or other instrumentation extending through the working channel are withdrawn and the cannula 400 and working tube 100 are proximally withdrawn from the surgical entry location. The withdrawal of the cannula 400 and working tube 100 causes the pre-tensioned closure elements 300 to draw the anchors 200 toward the surgical entry site. Since the anchors 200 are anchored in the tissue surrounding the surgical entry location, this results in the tissue surrounding the surgical entry location being drawn together, thereby closing the surgical entry hole. In contrast to conventional procedures, no sutures are required.

Although a cannula 400 is provided separately from the outer working tube 100, it should be understood that example embodiments may include only a single tube. For example, if the cannula 400 is not provided in the device 5, the working tube 100 functions as the cannula.

Figures 1 and 2 illustrate an example surgical closure device 5. The surgical closure device 5 includes a handle 10 configured to be held by an operator, e.g., a surgeon, to operate the surgical closure device 5 during a surgical procedure. A shaft 20 extends distally from the handle 10 and includes a distal end portion 25. An outer working tube 100 is disposed in a bore of the shaft 20 and extends concentrically along the longitudinal axis x of the shaft 20. The outer working tube 100 is distally exposed through an opening in the shaft 20. The outer working tube 100 has an outer diameter that is smaller than an inner diameter of the shaft 20, thus allowing the outer working tube 100 to be slidable along the longitudinal axis x.

Although each of the outer working tube 100 and the shaft 20 are configured as right circular cylinders with concentric through bores, it should be understood that the outer working tube 100 and/or the shaft 20 may be provided with any appropriate geometry, e.g., a cross-section that is oval, polygonal, etc. and/or a cross-section that varies along the longitudinal axis x.

Further, the geometry of the bore may differ substantially from the outer geometry for the outer working tube 100 and/or the shaft 20.

Referring to the inset partial view in Figure 1, the distal end portion 25 of the shaft 20 includes six notches or slots 26, which extend from the distal tip of the shaft 20 a proximal distance along the longitudinal axis x. The slots 26 may be formed in any suitable manner, e.g., making three cuts in the distal end portion 25, each cut forming two of the slots 26 on opposed sides of the axis x. The dimensions of the slots 26 are selected to allow six respective anchors 200 to be disposed in the slots 26. In this regard, the wall thickness of the shaft (i.e., the distance between the bore and the outer surface) and the width of each slot 26 may be selected to be slightly greater than a respective lateral dimension of the anchor 200. Where the anchor 200 has a radial projection, the width of the slot 26 may be less than a diameter of the anchor through the projection. Thus, the geometry of the slot 26 may require that the anchor 200 be oriented such that the radial projection is at least approximately aligned with the longitudinal axis x of the shaft 20, since the anchor 200 would not otherwise fit into the slot 26.

Figure 3 is a front view of the surgical closure device 5. The slots 26, with respective anchors 200, are non-uniformly spaced apart along the circumferential periphery of the shaft 20. In particular, two groups of slots 26 are provided, one on the opposite side of the axis x from the other. Each of the two groups includes three slots 26 equally spaced apart. The circumferential spacing between the groups is greater than the circumferential spacing between the individual slots 26 in each group.

Referring to the inset partial view in Figure 3, the slots 26 include side walls with opposed, longitudinally extending cylindrical grooves 27 for receiving the body 201 of the anchor 200. Further, the closure element 300 attached to the anchor 200 is able to pass along the cylindrical grooves 27. The slots 26 are also elongated in the radial direction to accommodate wings 207 and 208, which are described in greater detail below with regard to Figure 4. Further, there is a gap between the outer working tube 100 and the end portion 25 of the shaft 20 to allow the closure elements 300 to be disposed therebetween as illustrated in Figure 3.

Figure 4 shows an anchor or implant 200 which is configured to be driven into a tissue. The anchor 200 includes a corrugated body 201. The body 201 includes grooves 203 that extend axially along the length of the body 201. Thus, extending circumferentially around the body 201, a plurality of grooves 203 alternate with a plurality of ridges 205. Further, the anchor body 201 includes a pair of wings or split portions 207 and 208. The split

portions 207 and 208 are formed by respective splits or cuts 209 into the body 201. In this regard, the splits 209 may be formed by making a cut radially into the body 201 and extending in an axial direction. Thus, the two split portions 207 and 208 are attached to the remainder of the body 201 at a distal position and extend proximally to free ends. The free ends include a plurality of sharp protrusions along a curved surface. These points are formed due to the corrugations. In particular, the ridges 205 form the sharp protrusions, as illustrated in the inset partial side view in Figure 4, which are advantageous for gripping tissue and preventing distal sliding of the anchor 200. Although each split portion 207 and 208 includes three such protrusions as illustrated, it should be understood that the anchor 200 may be designed such that one or more of the split portions has any other number of protrusions, including a single sharp protrusion. For example, if a larger number of sharp protrusions are desired, the body 201 could be more densely corrugated (i.e., a greater number of alternating grooves 203 and ridges 205 could be provided) and/or the angle of the cut or slice could be adjusted. Further, the length of proximal extension of the projections may be adjusted by varying the depth of the grooves 203 with respect to the ridges 205.

The split portions 207 and 208 do not substantially impede distal insertion into tissue but resist proximal movement from an insertion location by engaging the tissue. It has been discovered that the combination of the pointed and/or sharp-edged proximal ends of the split portions 207 and 208 with the alternating ridges on the proximal end of the split portions creates improved performance.

Further, the split portions or wings 207 and 208 are axially offset from each other. For example, split 207 is axially located at position along axis xx and split 208 is axially located at position b along axis xx. This allows for greater structural strength of the other portions of the body 201 as compared to a non-offset configuration. In particular, since the cuts progress continually radially inward as they progress distally, a non-offset portion would have a substantially smaller amount of material in cross-section at the distal end of the cut. This would lead to a mechanically weak point or region along the axis of the body and could lead to mechanical failure, especially in anchors of small dimensions. Although the anchors 200 utilize a pair of wings 207 and 208 to anchor the anchors 200 against proximal retraction from a tissue, it should be appreciated that any number of wings may be provided, and that as an alternative or in addition to the wings 207 and 208, any other appropriate anchoring structure(s), e.g., anchoring filaments, may be provided.

The distal tip of the anchor 200 is pyramidal, with a sharp point, and a plurality of surfaces separated by edges that converge at the sharp point. Although four planar surfaces

are provided, it should be appreciated that any appropriate suitable number of surfaces may be provided and that one or more or all of the surfaces may be non-planar.

The anchor 200 also includes a hooked end portion 210. The hooked portion 210 is configured to receive one or more closure elements 300. On the side of the anchor 200  
5 opposite the hooked portion 210 is an alignment projection 220 configured to rotationally align the anchor 200 about its longitudinal axis xx. Although the anchors 200 in the illustrated examples are aligned with the alignment projection 220 and the split portions 207 and 208 being intersected by and aligned along a plane containing the longitudinal axis x of the shaft 20 and the longitudinal axis xx of the anchor 200, it should be understood that the  
10 alignment projection 220 and the split portions 207 and 208 may be intersected by and aligned along a plane that contains the longitudinal axis xx of the anchor 200 and is transverse, e.g., perpendicular, to the plane containing the longitudinal axis x of the shaft 20 and the longitudinal axis xx of the device 20. Further, the alignment projection may be provided at any appropriate location around the circumference of the anchor 200 relative to  
15 the split portions 207 and 208 and that any appropriate number of alignment projections 220 may be provided for a particular anchor 200.

The anchor 200 may include one or more shoulders, formed by the junction of a wing 207, 208, with the body 201, or otherwise defined by the area of the anchor 200 where the wing 207, 208, extends proximally and radially outwardly from the distal end, or distal  
20 thereto. As illustrated in Figure 4, wings 207, 208, have a relaxed, uncompressed position, but may be compressed to a second, compressed position, in closer approximation with the body 201. Further, the body 201 may be flexible, such that forces experienced in the proximal end may influence the position of the body or stem 201 with respect to the wings 207, 208, and the distal end.

Although the anchor 200 is shown in the exemplary illustrations with closure  
25 elements 300, it should be understood that the anchor 200 may be used in connection with any other closure elements, including, e.g., closure elements 1300, 2300 described in greater detail below.

The anchor 200 may be produced by first forming the body 201 with the corrugations,  
30 e.g., by injection molding or extrusion, and subsequently forming split portions 207 and 208, e.g., by cutting radially into the side of the body 201. As illustrated, the cut is curved, with an angle (at the proximal entry point), relative to the longitudinal axis xx of the body 201, that gradually decreases from the proximal initial cutting location toward the distal end of the anchor 200 and eventually becoming linear. Although the split or cut of the illustrated

example is made with a curved or varying angle with respect to the longitudinal axis xx of the body 201, it should be understood that any appropriate cut, including a linear cut, may be made.

Although the anchor 200 includes two wings or split portions spaced equally around the radial periphery of the body 201, it should be appreciated that any number of split portions, including a single split portion may be provided and at any appropriate spacing around the radial periphery of the anchor 200.

Modern manufacturing processes allow for near nano technology applications. This allows the anchors 200 to be manufactured in a size and complexity that may not have been possible in years past. The anchor 200 may be injection molded of either absorbable or non-absorbable polymers and then processed (e.g., by cutting) to add the features of the wings 207 and 208. Although the anchors 200 are formed of polymer, it should be appreciated that any appropriate material may be used, e.g., metal or a composite material. The anchors 200 may have a diameter of, e.g., one millimeter, or approximately one millimeter, and a length that is in a range from, e.g., 5 millimeters to 10 millimeters. According to some example embodiments, the diameter is less than one millimeter. According to some example embodiments, the diameter is in a range from 0.8 millimeters to 1.2 millimeters. It should be understood, however, that other dimensions may be provided.

Figure 5 shows a subassembly of the surgical closure device 5. The subassembly includes the trigger 30, the safety slide 35, a safety slide bias spring 40, a hammer sleeve 500, a driving spring 550, anvil pins 600, the outer working sleeve 100, and anchors 200. In the state illustrated in Figure 5, the surgical closure device 5 is loaded and ready to be actuated in order to drive the anchors 200. In this regard, a proximal end of the hammer sleeve 500 contacts a distal end of the driving spring 550, which is in a compressed state as illustrated in Figure 5. To maintain the hammer sleeve 500 in its proximal position while the compressed driving spring 550 applies a distally directed force, the hammer sleeve 500 latches with a trigger plate 32 of the trigger 30, as schematically illustrated in Figure 6A. In Figures 6A to 6C, the hammer sleeve 500 and the trigger plate 32 are shown in cross-section to facilitate illustration. To latch the hammer sleeve 500, the hammer sleeve 500 is pushed proximally, while the trigger 30 is in a depressed state (such as illustrated in Figure 6C) until a lip or step proximally clears the proximal side of the trigger plate 32. The trigger 32 is then moved (e.g., via a spring bias force and/or manually) to a non-depressed position, as illustrated in Figure 6A. The trigger moves in a transverse direction between the depressed and non-

depressed positions by sliding within lateral channels in the housing of the handle 10.

However, any appropriate guiding mechanism may be provided.

To maintain the trigger 32 in the non-depressed position in order to prevent or reduce the likelihood of accidental driving of the anchors 200 (e.g., due to user error, during shipping, storage, etc.), the safety slide includes a safety rib or bar 38 which, as illustrated in Figure 6A, is positioned adjacent the trigger plate 32 to form a positive or hard stop, thereby obstructing movement of the trigger 30 from the non-depressed position of Figure 6A to the depressed position of Figure 6C. As illustrated, e.g., in Figure 6A, the safety slide 35 includes a pair of lateral projections 36 configured to longitudinally slide within a corresponding channel in the housing of the handle 10. It should be understood, however, that any appropriate guide mechanism may be provided. The safety slide 35 also includes a knob portion 37 to facilitate sliding of the safety slide 35 using, e.g., one of the operator's fingers.

When the operator desires to drive the anchors 200, the operator must first move the safety slide 35 into a driving position in which the safety bar 38 does not obstruct movement of the trigger plate 32. Referring to Figure 5, the safety slide is urged or biased toward the proximal safety position by a compression spring 40. Thus, the operator must continuously apply a force to the knob 37 until the bottom of the trigger plate 32 moves to a position that prevents or blocks the safety bar 38 from returning to the safety position. This may provide for even greater safety, since the operator must generally coordinate the holding of the safety slide 35 in the driving position while depressing the trigger 30. It should be understood, however, that the safety slide 35 may be configured to remain in the driving position without continuous application of force. Further, it should be understood that the device 5 may be provided without any safety mechanism.

Figure 6B shows safety slide 35 in the driving position. Although the safety slide is moved distally, i.e., in the direction of the arrow shown in Figure 6B, it should be understood that the safety switch may be configured to move in any suitable direction to move between safety and firing positions. After the safety slide 35 is moved to the driving position shown in Figure 6B, the operator depresses the trigger 30, e.g., with one of the operator's fingers, until the lower portion of the trigger plate 32 clears the step 505 of the hammer sleeve 500, thereby releasing the hammer sleeve 500 for distal movement actuated by the compressed driving spring 550.

Referring, e.g., to the partial sectional view of Figure 6B, the hammer sleeve 500 is spaced apart from the anvil pins 600 prior to depressing the trigger. The anvil pins 600 are

slidable along the longitudinal axis x of the shaft 20 within respective bores of the shaft 20 corresponding to respective anchors 200. As the hammer sleeve 500 moves forward, it gains speed and momentum. Upon contact with the proximal ends of the anvil pins 600, the hammer sleeve 600 imparts a momentum to the anchors 200, since the distal ends of the anvil pins 600 are in alignment with the proximal ends of the anchors 200. In this manner, the anchors 200 are driven at a substantial speed, which facilitates driving of the anchors 200 into soft tissue.

The anchors are preferably driven at a speed greater than 50 meters per second, more preferably in a range of 50 to 350 meters per second, and most preferably at 350 meters per second. However, it should be understood that the anchors 200 may be driven at any suitable speed sufficient for the anchors to puncture tissue.

Further, the anchors 200 may be driven into a single layer or multiple layers of tissue and that the speed may be selected based on the structural properties, dimensions, and relative locations of the one or more tissues into which the anchors are driven.

In order to accurately penetrate soft tissues that are not held or secured on a distal side, a rapid penetration of each layer of tissue may be required in order to effect penetration of the tissue layer or layers. If an anchor 200 is applied slowly, the tissue or tissues may be pushed distally away by the anchor 200 without adequate penetration. Thus, some example delivery mechanisms eject each implant at a relatively high speed, as set forth above.

Although the example device 5 utilizes a spring-loaded mechanical driving mechanism, it should be understood that other drivers may be provided. In some examples, saline is used to pressurize a channel within a catheter, needle, or other tube at such a rate that a plunger will eject the anchor at the precise speed. Further example embodiments push the anchors using long push rods which run the length of a catheter or other tube. The ejection modality may be computer-controlled and/or operator-controlled. For example, as with the spring loaded mechanical system of the illustrated examples, an ejection force may be predetermined and repeatable by an operator's actuation of a trigger 30.

Moreover, the driver may be configured to drive the anchors 200 to a predetermined depth. Although the illustrated examples control the depth by contact between closure elements 300 (described in greater detail below), which are coupled to the anchors 200, and flanges or flared portions 405, any other depth-controlling mechanism may additionally or alternatively be provided. For example, the precision of the depth may be accomplished by a precise hydraulic driving force, engagement with other stops, or a suture that tautens to limit the depth. Further, the depth may be monitored using fluoroscopy, echocardiography,

intravascular ultrasound or any other appropriate imaging mechanism. The driving mechanism may include pressurized saline or other hydraulic fluid that is pressurized through the thoracoscopic catheter shaft. Thus, very precise control may be accomplished.

Figure 6 is an enlarged partial view of the subassembly of Figure 4. As illustrated, a plurality of closure elements 300 are coupled to the hook portions 210 of the anchors 200. There are four closure elements 300, each of which is coupled to the hook portions 210 of exactly two anchors 200. Thus, as illustrated, e.g., in Figure 10, two anchors 200 are attached to exactly two different closure elements 300 and four anchors 200 are attached to exactly one closure element 300. It should be understood, however, that other arrangements may be provided.

Figure 7 is a partial view of the working tube 100, the anchors 200, and the closure elements 300. As illustrated in Figure 7, the anchors 200 have been driven, e.g., into tissue. The anchors 200 and the closure elements 300 form a self-acting closure arrangement of the surgical closure device 5. During driving of the anchors 200, the closure elements 300 are also driven an analogous distance due to the engagement of the closure elements 300 with the anchors 200.

Referring to the cross-sectional view of Figure 8A, the closure elements 300 are layered and are held along the periphery of the outer working tube 100, thereby preventing the closure elements 300 from pulling the anchors 200 toward each other.

Figure 8B is the same as Figure 8A, except that a cannula 400 is disposed within the outer working tube 100. The elements shown in Figure 8B may be separated from the remainder of the surgical device 5 to allow a surgical procedure to be conducted. For example, a trocar may be inserted longitudinally through the interior of the cannula 400 to pierce the tissue at a location encircled by the anchors 200 that are anchored into the tissue. The piercing of the tissue may provide access to the opposed side of the tissue (e.g., the interior of a viscus such as the heart, etc.) by thoracoscopic or other surgical and interventional instruments including guide wires and catheters.

The cannula 400 includes six radially extending flared portions or flats 405. The cannula 400 extends concentrically within the outer working tube 100. The cannula 400 extends distally beyond the distal end of the outer working tube 100 such that the flats 404 fold over the distal end of the outer working tube 100. The radial extension of the flats 405 beyond the circumferential periphery of the outer working tube 100 allows the flats 405 to form positive or hard stops that prevent or resist the closure elements 300 from inadvertently

sliding off the end of the outer working tube 100, e.g., during thoracoscopic procedures being performed with access through the cannula 400.

When the procedure no longer requires access through the cannula 400, any surgical instruments may be retracted via the cannula 400 from the viscus being operated upon. At this stage, the hole in the tissue formed by the trocar should be closed. In order to do so, the cannula 400 is moved relative to the outer working tube 100, as illustrated sequentially in Figures 8C and 8D. In doing so, the flats 405, which are formed as leaf springs, rotate to a longitudinal orientation and are retracted. Thus, the flats 405 no longer form stops against distal sliding of the closure elements 300 along the outer working tube 100. This orientation is illustrated in Figure 8D. The flats 405 may be formed of any suitable material, e.g., a shape memory material such as nitinol, spring steel, etc.

The flats 405 may be bistable, with two rest orientation: one corresponding to the radially flared orientation, and the other corresponding to the longitudinal orientation.

After the flats are retracted, the cannula 400 and the outer working tube 100 are proximally retracted from the surgical entry site. Since the closure elements 300 are engaged with the hooked portions 210 of the anchors 200, which are anchored into the tissue against proximal retraction, the closure elements remain adjacent the surgical closure site. Thus, the proximal retraction of the cannula 400 and the outer working tube 100 causes the outer working tube 100 to slide distally with respect to the closure elements 300. Further distal retraction of the cannula 400 and outer working tube 100 causes the closure elements 300 to slip off of the distal end of the outer working tube 100, thereby entirely disengaging the closure tubes 300, as well as the anchors 200, from the cannula 400 and working tube 100. Since the closure elements 300 are pre-tensioned, they pull the anchors 200 toward the hole formed at the surgical entry location. Since the anchors 200 are anchored into the tissue surrounding the hole, the pulling of the anchors into approximation causes the surrounding tissue to be pulled toward the hole. Thus, the hole is squeezed shut, with the closure elements 300 maintaining a closure force to keep the hole closed.

Figure 9A is a partial view of the outer working tube 100 with the anchors 200 inserted into the tissue 900. Figure 9B is the same as Figure 9A but schematically shows the flats 405, which extend between the outer working tube 100 and the closure elements 300 to prevent the closure elements 300 from causing premature or inadvertent closure of entry opening in the tissue. Figure 9B may be a working arrangement, whereby the portions of the surgical device 5 other than the cannula 400, the outer working tube 100, the anchors 200, and the closure elements 300 are removed. Thus, various other surgical instruments, e.g.,

catheters, guide wires and other instrumentation, may be maneuvered through the interior of the cannula 400 and the working tube 100.

Figure 10 shows the self-acting closure arrangement, in this case the anchors 200 and the closure elements 300, inserted in the tissue after removal of the cannula 400 and working tube 100. For illustration purposes, the anchors 200 are shown in their initial driven positions in the tissue 900. In other words, for ease of illustration, the arrangement is illustrated as though the anchors 200 are being prevented from being pulled together by the closure elements 300. The anchors 200 are disposed around a surgical entry opening 905, such as formed, e.g., by a trocar.

The anchors 200 are arranged in two opposed groups of anchors. To facilitate the description of the arrangement shown in Figure 10A, the anchors 200 are provided individual reference numbers 200a, 200b, 200c, 200d, 200e, and 200f. The first group includes anchors 200a, 200b, and 200c, and the second group includes anchors 200d, 200e, and 200f. Each of the anchors in each group is connected by a closure element 300 directly to at least one anchor of the other group. Further, no two anchors within either group are directly connected to each other by a closure element. That is, each closure element 300 is connected at one end to an anchor of the first group 200a, 200b, 200c and at the other end to an anchor of the second group 200d, 200e, 200f. Thus, the forces exerted by the elements 300 are primarily directed in a direction from one group toward the other group.

It is further seen from Figure 10A that the anchor/closure element arrangement is configured as two opposed and overlapping V-shaped groups. The first V-shaped group is formed of anchors 200a, 200e, 200c and closure elements 301, 304. The second V-shaped group is formed of anchors 200d, 200b, 200f and closure elements 302, 303.

Since each closure element is wrapped around two anchors and forms a single complete loop, the force exerted by the respective closure element at each anchor is equal to the sum of the tension forces in the two band portions extending between the two anchors to which the closure element is connected. Moreover, the force is exerted along a line extending between the two anchors to which the closure element is connected. In this regard, the forces exerted at the locations of the anchors 200a, 200b, 200c, 200d, 200e, 200f are illustrated in Figure 10B by arrows F301a, F301e, F302b, F302d, F303b, F303f, F304c, and F304e which represent respective force vectors. In particular, F301a represents the force exerted by closure element 301 at the anchored location of anchor 200a, F301e represents the force exerted by closure element 301 at the anchored location of anchor 200e, F302b represents the force exerted by closure element 302 at the anchored location of anchor 200b,

F302d represents the force exerted by closure element 302 at the anchored location of anchor 200d, F303b represents the force exerted by closure element 303 at the anchored location of anchor 200b, F303f represents the force exerted by closure element 303 at the anchored location of anchor 200f, F304c represents the force exerted by closure element 304 at the anchored location of anchor 200c, and F304e represents the force exerted by closure element 304 at the anchored location of anchor 200e. Further, the forces form three pairs of complementary forces that are equal and opposite to each other. In particular, a first pair F301a, F301e, a second pair F302b, F302d, a third pair F303b, F303f, and a fourth pair F304c, F304e. Each pair corresponds to a single closure element 301, 302, 303, 304, respectively and are directed in opposite directions along the extension of the respective closure element 301, 302, 303, 304 between the two anchors 200 to which the respective closure element 301, 302, 303, 304 is connected.

Since anchors 200a, 200c, 200d, 200f are each connected to a single closure element 301, 304, 302, 303, respectively, only a single force vector F301a, F304c, F302d, F303f, respectively, is shown in Figure 10B. Since anchors 200b and 200e are each connected to two closure elements, two force vectors are associated with each of anchors 200b and 200e in Figure 10B. That is, anchor 200b, which is connected to closure elements 302 and 303, has two force vectors F302b and F303b acting through the anchored location of anchor 200b, and anchor 200e, which is connected to closure elements 301 and 304, has two force vectors F301e, F304e acting through the anchored location of anchor 200b.

Since the forces represented by vectors F302b and F303b both act through the same location, i.e., the anchored location of the anchor 200b, the resultant force through the anchored location of anchor 200b may be determined as the sum of the two vectors F302b and F303b. Likewise, since the forces represented by vectors F301e and F304e both act through the anchored location of the anchor 200e, the resultant force through the anchored location of anchor 200b may be determined as the sum of the two vectors F302b and F303b. Accordingly, Figure 10C schematically illustrates the total forces exerted by the closure elements on each anchor, with the force exerted through anchor 200b represented by the resultant vector  $F302f + F303f$  and the force exerted through anchor 200e represented by the resultant vector  $F301e + F304e$ .

Due to the positioning of the anchors 200a, 200b, 200c, 200d, 200e, 200f and the arrangement of the closure elements 301, 302, 303, 304, a greater amount of compressive force is exerted in the direction of a y axis than a z axis. The z axis corresponds to a line that extends between the first group of anchors 200a, 200b, 200c and the second group of anchors

200d, 200e, 200f and is at least approximately equidistant from the first group of anchors 200a, 200b, 200c and the second group of anchors 200d, 200e, 200f. The y axis is perpendicular to the z axis, and both the x axis and the y axis extend along the surface of the tissue 900.

5 Since compressive force is greater in directions parallel to the x axis than in directions parallel to the z axis, the self-acting closure formed by the anchors 200a, 200b, 200c, 200d, 200e, 200f and the closure elements 301, 302, 303, 304 tends to close the opening 905 such that the opening 905 is flattened or elongated along the z axis, as illustrated in closure of Figure 10D. This may be desirable to maintain a more reliable closure that is more resistant  
10 to leaking.

As schematically illustrated in Figure 10D, the anchors 200a, 200b, 200c, 200d, 200e, 200f have been drawn into their closed or approximated positions, thereby pulling the tissue, to which they are anchored, toward the opening 905, thereby closing the opening 905 as illustrated. To facilitate illustration, the closure elements 301, 302, 303, 304 are not shown in  
15 Figure 10D. However, Figure 10E shows the closure of 10D with the closure elements 301, 302, 303, 304. The forces being exerted by the closure elements 301, 302, 303, 304 on the anchors 200a, 200b, 200c, 200d, 200e, 200f are analogous to those illustrated in Figures 10B and 10C. However, since the exemplary closure elements 301, 302, 303, 304 are have a spring-like elasticity, the force exerted by the closure elements 301, 302, 303, 304 may be  
20 reduced as the anchors 200a, 200b, 200c, 200d, 200e, 200f are drawn into approximation.

In the resting closure position (i.e., the position at which the anchors 200a, 200b, 200c, 200d, 200e, 200f settle after transient movement from the orientation around the working tube 100) illustrated in Figures 10D and 10E, the force exerted by the closure elements 301, 302, 303, 304 through each anchor 200a, 200b, 200c, 200d, 200e, 200f is equal  
25 to an oppositely directed resistance force exerted onto the anchors 200a, 200b, 200c, 200d, 200e, 200f by the tissue at the respective location of each anchor 200a, 200b, 200c, 200d, 200e, 200f.

Figure 11 shows another closure element 1300. The closure element 1300 includes three anchor-receiving portions 1310, 1320, 1330 arranged in a V-shaped configuration with  
30 portion 1320 being disposed at the vertex. Arm 1340 spans directly from anchor-receiving portion 1310 to anchor-receiving portion 1320, and arm 1350 spans directly from anchor-receiving portion 1320 to anchor-receiving portion 1330. The anchor-receiving portions 1310, 1320, 1330 each have a respective aperture 1312, 1322, 1332 for receiving a respective anchor, e.g., the anchor 200 described above or the anchor 1200 described in greater detail

below with respect to Figure 13. The anchor-receiving portions 1310, 1320, 1330 are each toroidal in shape and have a greater material thickness than the arms 1340 and 1350. It should be understood, however, that any appropriate geometry may be provided and that any appropriate material thickness may be provided. The toroidal shape of the anchor-receiving portions 1310, 1320, 1330 couple with the anchors 200, 1200 in a manner analogous to the band-shaped closure elements 300 described above with regard to anchor 200.

The closure element 1300 functions in the same manner described above with regard to the closure elements 300, but differs in that only two closure elements are required to generate the same forces illustrated in Figures 10B and 10C. In particular, the closure element 1300 performs the same function as the two closure elements 301, 304, or the two closure elements 302, 303 of the second V-shaped groups described above with respect to Figure 10A. Further, the closure element 1300 differs in that a single structural element, i.e., each of arms 1320, extends between opposed anchors.

Figure 12 shows another closure element 2300, which includes three anchor-receiving portions 2310, 2320, 2330 arranged in a V-shaped configuration with portion 2320 being disposed at the vertex. Arm 2340 spans directly from anchor-receiving portion 2310 to anchor-receiving portion 2320, and arm 2350 spans directly from anchor-receiving portion 2320 to anchor-receiving portion 2330. The anchor-receiving portions 2310, 2320, 2330 each have a respective aperture 2312, 2322, 2332 for receiving a respective anchor. The anchor 2300 includes all of the features described above with respect to anchor 1300, but differs only in that the Arms 2340, 2350 have are widened to be substantially the same width as the outer diameter of each of the anchor-receiving portions 2310, 2320, 2330. This may be advantageous to provide additional strength and tension force when the arms 2340, 2350 are stretched.

Figure 13 shows an anchor 1200. Anchor 1200 is identical to anchor 200 described above except that a proximal end portion 1250 includes a circumferential channel 1255 formed as a continuous radial recess extending around the entire circumference of the anchor 1200. The channel opens in the radial direction and includes a distally directed first surface 1260 and an opposed proximally directed second surface 1265. Extending between the first and second surfaces 1260 and 1265 is a surface 1270 corresponding to a reduced-diameter portion 1280 of the anchor 1200. Although the reduced-diameter portion 1280 is cylindrical and concentric with the longitudinal axis  $xx'$  of the anchor 1200, it should be understood that any appropriate geometry and orientation may be provided. For example, the reduced-diameter portion 1280 may be frustoconical and/or have a cross section that is curved when

viewed in a direction perpendicular to the longitudinal axis xx' of the anchor 1200. Further, the surface 1270 of the reduced-diameter portion 1280 may vary along the circumference of the anchor 1200.

The circumferential channel 1255 axially separates a proximal head portion 1285  
5 from the distal remainder of the body of the anchor 1200.

When one or more closure elements 300, 1300, 2300 is coupled to the anchor 1200, the first surface 1260 restrains the one or more closure elements 300, 1300, 2300 from proximally sliding beyond the channel 1255 and off the end of the anchor 1200. Likewise, the second surface 1265 restrains the one or more closure elements 300, 1300, 2300 from sliding  
10 distally beyond the channel 1255. In this regard, the dimensions of the channel 1265, e.g., the width and depth of the channel 1265, may be selected to accommodate a particular number of closure elements 300, 1300, 2300, or a single closure element 300, 1300, 2300.

A particular closure element 300, 1300, 2300 is mated to the anchor 1200 by mating placing the anchor 300, 1300, 2300 around the reduced-diameter portion 1280 of the anchor  
15 1200. For example, an anchor-receiving portion 1310, 1320, 1330 of anchor 1300 and/or an anchor-receiving portion 2310, 2320, 2330 of anchor 2300 may be mated to the anchor 1200 stretching the respective anchor-receiving portion 1310, 1320, 1330, 2310, 2320, 2330 over the proximal head portion 1285 and onto the reduced-diameter portion 1280 of the anchor 1200. When mated in this manner, the reduced-diameter portion 1280 extends through the  
20 respective aperture 1312, 1322, 1332, 2312, 2322, 2332, with the anchor-receiving portion 1310, 1320, 1330, 2310, 2320, 2330 constrained between the first and second walls or surfaces 1260 and 1265 of the channel 1255. In this regard, the apertures 1312, 1322, 1332, 2312, 2322, 2332 may have resting diameters that are the same, larger, or smaller than the diameter of the reduced-diameter portion 1280. It may be advantageous, however, to provide  
25 a resting diameter that is less than the outer diameter of the first surface 1260, the second surface 1265, and/or the proximal head portion 1285 in order to resist inadvertent disengagement of the closure element 1300, 2300 from the anchor 1200.

The channel 1255 performs a function analogous to that of the hooked portion 210 described above with respect to Although the anchor 1200 does not include a hooked portion  
30 such as hooked portion 210 of anchor 200, it should be understood that one or more hooked portions may be provided in combination with the channel arrangement of anchor 1200.

Figure 14 shows a plurality of anchors 1200 of Figure 13 and closure elements 2300 of Figure 12 when closing an hole 1905 in a tissue 1900. As with the example described above regarding anchors 200, individual instances of the anchor 1200 are denoted with lower-case

letters. In this regard, anchors 1200a, 1200b, 1200c, 1200d, 1200e, and 1200f are arranged in the same configuration as described above with respect to anchors 200a, 1200b, 1200c, 1200d, 1200e, and 1200f and exert the same forces respectively. Axes yy and zz in Figure 14 correspond to axes y and z described above.

5 In Figure 14, there are first and second instances of closure element 2300, with the second instance being distinguished by like reference characters being followed with the character ' (prime). In comparison to the overlapping V-shaped arrangements shown in Figure 10A, arm 2350 of Figure 14 performs a function analogous to the closure element 301, arm 2340' performs a function analogous to the closure element 302, arm 2350' performs a  
10 function analogous to the closure element 303, and arm 2340 performs a function analogous to the closure element 304. Further, as with the arrangement of Figure 10A, the two V-shaped arrangements are both overlapping and interlocking. That is, when viewed along a line normal to the surface of the tissue 900, 1900, each V-shaped arrangement of each configuration has a first extension that intersects on a proximal side of the respective opposed  
15 V-shaped configuration and a second extension that intersects on a distal side of the respective V-shaped configuration. Thus, referring to Figure 10A, closure element 302 overlaps closure element 301 and closure element 304 overlaps closure element 303, with respect to the surface of the tissue 900. Likewise, referring to Figure 14, arm 2340' overlaps arm 2350 and arm 2340 overlaps arm 2350'. It should be understood, however, that other  
20 configurations may be provided.

Figure 15 shows a surgical closure device 1005 according to an example embodiment of the present invention. Except as indicated otherwise, the surgical closure device 1005 includes features that are the same or analogous to all of the features of the surgical device 5 described in greater detail above. Further, the features described with respect to surgical  
25 closure device 1005 may be provided in combination with any feature of surgical closure device 5.

The surgical closure device 1005 includes a handle 1010 including a pistol grip 1015 configured to be held by an operator, e.g., a surgeon or interventionalist, to operate the surgical closure device 1005 during a surgical procedure. A shaft 1020 extends distally from  
30 the handle 10 and includes a distal end portion 1025. Unlike the surgical closure device 5, the surgical closure device 1005 does not, at least initially, include an outer working tube or a cannula extending therewithin. Instead, the surgical closure device 1005 includes a centering mechanism 1800 in the form an elongated tubular shaft with a distal portion 1805 that tapers to have a reduced diameter at the a distal end of the centering mechanism 1800. An inner

guide bore 1810 extends along the longitudinal axis of the centering mechanism 1800 from the distal end 1815 to the proximal end 1825 of the centering mechanism 1800. The longitudinal axis of the centering mechanism 1800 corresponds to the longitudinal axis x' of the shaft 1020 when the device is assemble in the state illustrated in Figure 15.

5           The centering mechanism 1800 may be especially advantageous during “over the wire” surgical procedures such as pericardiocentesis. Some pericardiocentesis procedures involve inserting a needle, via an intercostal opening into the patient’s thorax, into the pericardial sac, guiding a guide wire through the needle, and subsequent removal of the needle with the guide wire left in place. After removal the needle, a tapered dilator may be  
10           advanced over the guide wire to dilate the opening in the pericardium tissue. The dilated opening, or tract, allows room for a catheter. After the dilation, the catheter is guided over the guide wire into the pericardial sac to drain fluid from the pericardium.

          Referring the device 1005, after the flexible guide wire is placed at the desired location in the pericardial sac and needle has been withdrawn, the free proximal end of the  
15           guide wire is introduced into the distal opening of the guide bore 1810 and extended entirely through the guide bore 1810 until the guide wire extends from the proximal end portion 1820. The device 1005 is then guided into the patient’s body to the location of the pericardial tissue by distally sliding along the guide wire extending through the guide bore 1810. Once  
20           positioned such that the distal end portion 1025 of the shaft 1020 abuts the tissue, six anchors 1200 are driven into the tissue in the same general manner described above with regard to the anchors 200.

          Referring to Figure 16, the anchors 1200 are mated with two overlapping closure elements 1300 in the same manner described above. In contrast to the closure elements 300 of the device 5, the closure elements 1300 are not held radially outwardly on the surface of  
25           any tube or other structure during driving of the anchors 1200. Rather, the closure elements 1300 form an operational window 1060 via the overlapping V-shaped structure of the closure elements 1300, which is described in greater detail above with regard to closure elements 300, 2300.

          Since the centering mechanism 1800, including the guide bore 1810, extends through  
30           the operational window 1060 when the guide wire is threaded through the guide bore 1810, it is ensured that the guide wire 1810, as well as any instruments passing over the guide wire 1810, extend through the operation window 1060 after the anchors are driven.

          As illustrated in Figure 16, the tension on the elastomeric closure elements 1300 causes the anchor-receiving portions 1310, 1320, 1330 to stretch and elastically deform.

Thus, the apexes of the V-shaped portions have moved closure to each other. Further, the displacement of the vertices causes the anchors 1300 to each have a Y-shaped configuration as illustrated in Figure 16.

After the anchors are driven into the tissue, the centering mechanism 1800 is  
5 separated from the remainder of the device 1005 and distally retracted by sliding along the longitudinal axis x' and along the guide wire away from the surgical site. The centering mechanism 1050 may be removed by the operator by proximally pulling a proximal knob 1057 that projects proximally from the handle 1010.

Upon removal of the guide mechanism 1050, the guide wire exits the guide bore  
10 1810. The proximal free end of the guide wire may then be threaded into a tapered dilator, which may be guided along the guide wire and through the shaft 1020 to the operational window 1060. The dilator may then further progress in order to contact and dilate the tract of tissue through which the guide wire extends. After dilation, the dilator may be proximally retracted and disengaged from the guide wire, at which stage a catheter may be threaded and  
15 progressed along the wire, through the shaft 1020 and the operational window 1060. The catheter is further progressed through the dilated tissue opening and into the pericardium. At this stage, the guide wire may be retracted and pericardial fluid allowed to drain through the catheter.

Upon completion of the draining, the catheter may be proximally withdrawn from the  
20 surgical site and through the shaft 1020, at which stage there are no surgical components extending through the dilated opening. At this stage, the device 1005 may be proximally retracted from the tissue. The pulling the distal end of the shaft 1020 from the tissue causes disengagement, or release, of the anchors 1200, allowing the closure elements 1300 to pull the anchors 1200 together in the same manner schematically illustrated in Figure 14, thereby  
25 closing the opening in the same manner the opening 1905 is closed in Figure 14.

Referring to the inset partial view in Figure 15, the distal end portion 1025 of the shaft 1020 includes six slots 1026 analogous to the slots 26 described above with regard to device 5. In the inset partial view, the anchors 1200 are shown schematically to facilitate illustration of the other components of the device 1005.

Referring to Figure 16, the slots 1026 of the device 1005 have a cross-sectional shape  
30 analogous to the slots 26 of the device 5, including circular bulges corresponding to cylindrical grooves 1027 and dimensioned to allow a small clearance between the diameter of the main body of the anchor 1200.

Narrowed portions 1028 extend from opposite sides of the enlarged region 1029 created by the cylindrical grooves 1027. The narrowed portions 1028 are configured to receive the split portions 1207, 1208 of the anchor 1200 but are more narrow than the diameter of the body 1201 of the anchor 1200, thereby ensuring that the anchor 1200 is constrained in the enlarged region 1029 of the cylindrical grooves 1027. Thus, when received in the slots 1026, the anchors 1200 are retained in their axial alignment such that the longitudinal axis  $xx'$  is aligned with the longitudinal axis  $x'$  of the shaft 1020.

The end portion 1025 is as a separate piece that is attached to the remainder of the shaft 1020. In this regard, the end portion 1025 may be replaced with a like end portion 1025 or an end portion 1025 with a different configuration, e.g., an end portion that holds the anchors in a different pattern. Further, the end portion 1025, together with the anchors and closure elements, may form a cartridge that is used once and discarded, with a new cartridge attached for additional procedures. Moreover, it should be understood that the end portion 1025 may be integrally formed as a single monolithic piece with the remainder of the shaft 1020.

Although the surgical closure device 1005 uses a driving mechanism analogous to the driving mechanism of device 5, including a hammer sleeve and anvil pins (obstructed from view by the shaft 1020 in Figure 15), the device 1005 includes a different trigger and safety mechanism.

Referring to Figure 15, the device 1005 includes a trigger 1030 extending below the housing 1010 in the same general direction as the pistol grip 1015 such that when the operator, e.g., a surgeon, grasps the pistol grip 1015, the trigger 1030 is actuatable with the operators fingers, e.g., the index and/or middle fingers, by proximally pulling a gripping portion 1031, which is exposed from the housing 1010, to pivot the trigger 1030 as set forth in greater detail below.

Referring to Figures 15 and 17 to 19, the trigger 1030 is pivotable with respect to the handle 1010 about a pivot axis  $p$  which corresponds to the longitudinal axis defined by a pivot pin 1040 on which the trigger 1030 is mounted. In particular, the pivot pin 1040 extends within corresponding bore 1032 of the trigger 1030, which is illustrated, e.g., in Figure 18C. The axial ends of the pivot pin 1040 are mounted in corresponding recesses in the handle 1010.

The trigger 1030 includes a pair of planar faces 1033 that face away from each other in opposite directions along the pivot axis  $p$ . The planar faces 1033 extend along in the

regions of the trigger around the bore 1032 and extending proximally along a proximal arm 1033.

The proximal arm 1033 extends proximally with respect to the pivot axis p and has a curved upper surface 1034. Extending from each lateral side of the proximal arm are lateral  
5 projections 1036, which project outwardly away from respective planar faces 1031 and generally extend parallel to the pivot axis p. The lateral projections 1036 each have a curved upper surface 1037.

A latch member 1045 includes a distally disposed transverse portion 1050 that extends generally along the pivot axis p and transverse with respect to the longitudinal axis x'  
10 of the shaft 1020 when the device is assembled. A pair of parallel arms 1055 extends proximally from the transverse portion 1050. Each of the parallel arms 1055 includes a bore 1056 configured to receive the pivot pin 1040 and a pair of opposed faces 1057 configured to receive the trigger 1030 therebetween such that each of the outwardly directed faces 1033 of the trigger 1030 faces a respective one of the inwardly directed faces 1057 of the arms 1055  
15 when the device 1005 is in the assembled state. When the trigger 1030 is received between the arms 1055 of the latch element 1045 in the assembled state of the device 1005, the bores 1056 are concentric with the bore 1032 of the trigger 1030, with the pivot pin 1040 extending through each of the two bores 1056 of the arms 1055 and the bore 1032 of the trigger 1030, thereby provided a mechanism about which the trigger 1030 and the latch element 1045 are  
20 pivotable about their common pivot axis p. Thus, the latch member 1045 engages the trigger 1030 at the pivot pin 1040 in a manner analogous to a clevis. Although the trigger 1030 and the latch element 1045 pivot about a single common axis p, it should be understood that the trigger 1030 and the latch element 1045 may pivot about separate axes.

The portions of arms 1055 extending proximally from the pivot axis p include lower  
25 surfaces 1058 configured to engage with the upper surface 1035 of the proximal arm 1034 of the trigger 1030. Thus, when the trigger is pulled proximally, the trigger pivots about the pivot axis p in a first rotational direction CW that is clockwise when viewed from the side shown in Figure 17B.

The transverse portion 1050 of the latch member 1045 also includes a latching  
30 projection 1052 that projects upwardly beyond the adjacent structure of the latch member 1045.

Referring to Figure 19A, a driver in the form of a hammer sleeve 1500 is in its preloaded proximal position and being urged or biased distally by a driving spring 1550 (shown in Figure 17A) in the same manner as the hammer sleeve 500 of the device 5. The

driving spring 1550 is mounted concentrically with respect to the hammer sleeve 1500 and the shaft 1020 and exerts the distally directed force on the hammer sleeve 1500 via a force transfer flange 1560 extending circumferentially around the hammer sleeve 1500. Although the driving springs 550 and 1550 described in connection with devices 5 and 1005 are  
5 configured as compression springs, it should be understood that tension springs or other drive mechanisms may be provided.

The hammer sleeve 1500 includes a latching channel 1510 that is configured to receive the latching projection 1052 to thereby restrain the hammer sleeve 1500 by forming a positive stop between the latching projection 1052 and the latching channel 1510. In order to  
10 release the hammer sleeve to drive the anchors 1200 in the same manner described above with regard to the device 5, the trigger is pulled distally to pivot the trigger in the first rotational direction CW about the pivot axis p. This pivoted orientation is illustrated in Figure 19B.

As illustrated in Figure 19B, the rotation of the trigger 1030 causes the lateral  
15 projections 1036 to contact and push against the lower surface 1058 of the arms 1055, thereby rotating the latch member 1045 into its triggered position, i.e., the position shown in Figure 19B. In the triggered orientation of the latch member 1045, the rotation of the latch member 1045 has caused the distally located latching projection 1052 to disengage the latching channel 1510 of the hammer sleeve, thereby allowing the hammer sleeve to be  
20 driven along the longitudinal axis x' of the shaft 1020 in the distal direction D to drive the anchors 1020.

As illustrated in Figure 1, there are two safety mechanisms that prevent the release of the hammer sleeve 1500 by the latch member 1045. Both of these safety mechanisms must be simultaneously disengaged, or changed from a locked state to an unlocked state, in order  
25 for device to drive the anchors 1200.

The first safety mechanism includes a pressure sensing mechanism including spring-loaded contact elements 1100, illustrated, e.g., in the inset portion of Figure 15. The contact elements 1100 are configured as rectangular blocks that slide along the longitudinal axis x' of the shaft 1020 between an extended position as illustrated in the inset portion of Figure 15,  
30 wherein the contact elements 1100 extend distance beyond the distal end surface of the shaft 1020, and a proximal position in which the contact elements 1100 are pushed proximally with respect to the shaft 1020, e.g., until the contact elements 1100 are flush with the distal ends of the shaft 1020. The safety release mechanism may include a plurality of spring-loaded members, each spring-loaded member independently movable between an engagement

position and a disengagement position, the safety release mechanism adapted to prevent the driver from driving the anchors unless all of the spring-loaded members are in the engagement position.

Each contact element 1100 is axially slidable within a respective correspondingly dimensioned slot 1080, illustrated, e.g., in Figure 16. Although the illustrated example includes four rectangular contact elements that are evenly spaced at approximately 90-degree increments about the longitudinal axis  $x'$  of the shaft 1020, it should be understood that any appropriate number (including one) of contact elements 1100 having any suitable geometry and disposed at any suitable location(s) may be provided.

Each contact element 1100 is supported on a respective pressure transfer shaft 1120 that extends and is axially slidable within a respective bore 1085 that extends parallel to the longitudinal axis  $x'$  of the shaft 1020. Each pressure transfer shaft 1120 is proximally coupled to a key member 1140, which as illustrated in Figure 17A, extends into and engages a key plate 1160. One or more springs exerts a spring force on the key members 1140 to urge or bias the contact elements 1100 toward their distally extended positions.

When the distal end of the shaft 1020 is pressed against a tissue through which the anchors 1200 are desired to be driven, the tissue exerts a proximally directed pressure on the contact elements 1100, which are initially in their distally extended positions due to the spring loading. The contact elements are pushed proximally with respect to the shaft 1020 when the pressure exerted by the tissue exceeds the bias or urging force of the spring(s). This proximal movement within each slot 1080 is mechanically transferred via the respective pressure transfer shaft 1120 to the key element 1140, thereby moving the key member proximally beyond the key plate 1160. In this regard, there is a substantially 1:1 relationship between the axial movement of each contact element 1100 and the respective key member 1140. It should be understood, however, that the device may be configured to provide a relationship between axial movement of the key member 1140 and the axial movement of the respective contact element 1100 that is other than 1:1. Further, although the example device 1005 utilizes sliding shafts 1120 to mechanically couple and transfer force from the contact elements 1100 to the respective key members 1140, the contact elements may be mechanically coupled to the key members 1140 by other mechanisms, e.g., hydraulic and/or pneumatic systems.

The key plate 1160 is slidable within the handle 1010 along an axis transverse to the longitudinal axis  $x'$  of the shaft 1020 and the pivot axis  $p$  defined by the pivot pin 1040. In this regard, the key plate 1160 is slidable between a first position, illustrated in Figures 17A,

18A, and 19A, and a second position, illustrated in Figures 18B and 19A. The movement of the key plate 1160 between the first and second positions is along a path that is substantially within a plane perpendicular to the pivot axis p. Referring the Figure 19B, the key plate 1160 moves from the first position to the second position by moving in the direction U. Although the path the key plate 1160 travels between the first and second positions is linear, it should be appreciated that the path may be non-linear, e.g., curved. Further, a plane that includes the pivot axis p and intersects a bottom surface 1161 of the key plate 1160 rotates in the first rotational direction CW when the key plate 1160 moves from the first position to the second position. Likewise, the plane rotates in a second rotational direction opposite the first direction CW when the key plate moves from the second position to the first position.

The key plate 1160 is slidably supported by a proximal support block 1090 that is fixedly mounted in the handle 1010 of the device 1005. In the illustrated example, the key plate 1140 is supported by a pair of parallel guide ribs 1092 of the support block 1090 so that the key plate 1160 is slidable between the first and second positions. The support block 1090 also supports each of the key members 1140 so that each of the key members 1140 are slidable along the longitudinal axes f, g, h, i of the respective shaft 1140 to which the key member 1140 is attached. Thus, the key members 1140 are permitted to slide axially along axes f, g, h, i, but are constrained from moving with respect to the handle 1010, shaft 1020, and other fixed components of the housing of the device 1005.

The geometry of the key plate 1160 is selected such that the key plate 1160 is prevented from moving to the second position if any one of the key members 1140 is still engaged with the plate, which would indicate that one of the contact elements 1100 at the distal end of the shaft 1020 is not fully proximally depressed.

The geometry of the key plate 1160 is such that each of the pressure transfer shafts are allowed to pass through the key plate 1160 when the key plate is either of the first and second positions. However, the geometry of the key plate 1160 does not allow any of the key members 1140 to extend axially into any recess defined by the key plate 1160 when the key plate 1160 is in the second position. In the illustrated example, this is achieved due to the fact that each key member 1140 has a diameter, when viewed along a line parallel to the direction of movement of the plate 1160, that is greater than a diameter of the respective pressure transfer shaft 1120 to which it is coupled.

Referring to Figures 18A to 18E, the key plate 1160 has a complex cutout geometry including enlarged regions 1165 configured to axially receive respective key members 1140. Referring to Figure 18A, when the key plate 1160 is in the first position, the clearance

between the structure of the key plate 1160 and the respective enlarged regions 1165 where the longitudinal axes f, g, h, and i of the four respective pressure transfer shafts 1120 pass through the key plate 1160 is sufficient to axially receive the key member 1140. Referring to Figure 18B, when the key plate 1160 is in the second position, the clearance between the structure of the key plate 1160 and the respective regions 1170 where the longitudinal axes of the pressure transfer shafts 1120 pass through the key plate 1160 is insufficient to axially receive the key member 1140, but great enough to allow the pressure transfer shafts 1120 to pass through.

As illustrated in Figure 18C the geometry of each key member 1140 is received in a closely fitting corresponding recess of the key plate 1160 such that the key plate 1160 is not able to move in the direction U from the first position (illustrated, e.g., in Figures 18C and 18D) to the second position (illustrated in Figure 18E). Referring to Figure 18D, all four of the key members 1140 have been proximally depressed via the proximal depression of the corresponding contact elements 1100 at the distal end of the shaft 1020, thereby resulting in the key plate being in a disengaged state with respect to the key members 1140. As illustrated in Figure 18D, the key members 1140 are have proximally cleared the structure of the key plate 1160 while the key plate 1160 is in the first position. At this stage, the regions 1170 of the key plate 1140 are able to receive the shafts 1120, which have reduced diameters with respect to the respective key members 1140 to which the shafts 1120 are attached. Thus, the key plate 1140 is in an unlocked state since it is able to be moved in the direction U from the first position illustrated in Figure 18D to the second position illustrated in Figure 18E. As previously indicated, this movement is achieved by contact and application of force between the upper surface 1035 of the proximal extension 1034 of the trigger 1030

Since the key members 1140 are radially constrained in the handle 1010, the key plate 1160 is prevented from moving to the second position when any one or more of the key members 1160 are extended into the cutout geometry of the key plate 1160. Thus, the first safety mechanism is in a locked state when any one of the contact elements 1100 is not fully depressed, leading to engagement between at least one of the key members 1140 and the key plate 1160.

Referring again to Figure 19A, since the key plate is not allowed to move from the illustrated first position in the locked state, contact between the upper surface 1035 of the proximal arm 1034 of the trigger 1030 and the lower surface 1161 as the trigger 1030 would form a positive stop to prevent the trigger 1030 from adequately rotating to disengage the latch member 1045 from the hammer sleeve 1500. Thus, all four contact elements 1100 must

be depressed in order for the device 1005 to drive the anchors 1200. This safety mechanism is advantageous because it requires that the distal end of the shaft 1020 be properly seated against the tissue before driving the anchors 1200, thereby reducing the possibility of inadvertent or improper driving of the anchors 1200.

5 As illustrated in Figure 17A, the key plate 1160 is urged toward the first position by a spring 1162. Since the operator may need to reposition the distal end of the shaft 1020 before driving the anchors 1200, the spring urging or biasing of the contact elements 1100 toward the first position ensures that the contact elements 1100 will spring back to their extending positions. For example, the operator may press the distal end of the shaft 1020 against a first  
10 portion of tissue such that all four of the contact elements 1100 are sufficiently depressed, thereby causing all four of the key members 1140 to move proximally from the key plate 1160. At this stage, the first safety mechanism is in a disengaged state, in order to allow firing if the operator pulls the trigger 1030. Thus, the key plate 1160 is slidable between the first and second positions. If there were no urging of the key plate 1160 toward the first  
15 position, the key plate 1160 could inadvertently slide to a position (e.g., the second position or a position between the first and second positions) that would prevent the key members 1140 from re-engaging the key plate 1160. Thus, even if the operator pulls the distal end of the shaft 1020 away from the first portion of tissue, e.g., to reposition the device 1005, first safety mechanism would remain in the disengaged state and the contact elements 1100 would  
20 not be returned to their distally extended positions via the bias spring force. Thus, the first safety mechanism would not be effective at this stage. Since the spring 1162 acts to urge the key plate 1160 toward its first position, it serves to ensure that the distal end of the shaft 1020 may be repositioned multiple times without rendering the first safety mechanism ineffective.

The housing 1010 includes a window 1013 that provides a visual indication to the  
25 operator regarding the state of the contact elements 1100. For example, there may be four discrete indicators that corresponding to respective contact elements 1100. Thus, the operator would be able to see that less than all of the four contact elements 1100 are depressed and would therefore know to continue maneuvering the device until all four contact elements 1100 are depressed. Further, the indicators may allow the operator to know which specific  
30 contact element 100 is not depressed, so that that the operator may maneuver the device 1005 accordingly.

Although the pressure sensing of device 1005 is purely mechanical, it should be understood that other pressure sensing arrangements may be provided. For example, electronic pressure sensors may be provided.

The second safety mechanism includes the safety switch 1060. As illustrated in Figures 19A and 19C, the safety switch 1060 is in a first position in which a first surface 1062 of the safety switch 1060 forms a positive stop against the bottom surface of the latch member 1045 to prevent the latch member 1045 from rotating about the pivot axis p into the disengaged position illustrated, e.g., in Figure 19B.

The safety switch 1060 is slidably mounted within a corresponding bore of the handle 1010. The safety switch 1060 is slidable about its longitudinal axis s between the first position with respect to the latch member 1045 and the second position with respect to the latch member 1045, illustrated in Figures 19B and 19D. In this regard, a first axial end 1066 is exposed from a first side of the housing 1010 and an opposite axial end 1068 is exposed from a second side of the housing 1010. The operator may move the safety switch from the first position to the second position by pressing the first axial end 1066 along the axis s. Likewise, the operator may move the safety switch from the second position to the first position by pressing the second axial end 1068 along the axis s.

In the second position, the first surface 1062 has moved along the axis s to a position that does not impede the rotation of the latch member 1045. Thus, the latch member 1045 is freed to rotate to the second position to thereby release the hammer sleeve 1500 and drive the anchors 1200. Accordingly, the second safety mechanism is engaged when the safety switch is in the first position and disengaged when the safety switch is in the second position.

A second surface 1064 forms a positive stop to prevent the latch member 1045 from rotating in the direction CW beyond the second position.

As indicated above, both safety mechanisms must be disengaged in order to drive the anchors 1200 from the device 1005. The first safety mechanism ensures that the distal end of the shaft 1020 is properly seated against the tissue and the second safety mechanism prevents unintended firing due to inadvertent pulling of the trigger 1030. In this regard, the operator may wish to keep the second safety mechanism engaged until satisfied with the placement of the distal end of the shaft 1020.

Although the first and second safety mechanisms in the illustrated examples are entirely mechanical, it should be understood that other mechanisms may be provided. For example, electronic elements may be incorporated into the system and/or specific force or pressure values at the locations of the contact elements may be interpreted by a processor and a decision made, e.g., according to an algorithm, whether or not to allow driving of the anchors 1200.

Referring to Figure 17A the handle 1010 is formed as two corresponding injection molded halves, one of which is illustrated in Figure 17A. Each half of the handle 1010 includes various structures configured to receive and support other components within the handle 1010. For example, a plurality of support ribs 1012 mate with a corresponding pair of  
5 respective support slots 1012 in the shaft 1020 to secure the shaft 1020 to the handle 1010 when the device is assembled. In the assembled state, the first and second halves are connected by anchors 1011, which are screws in the illustrated example. Although an injection molded handle with two joined halves is provided, it should be appreciated that the handle 1010 may be formed in any appropriate manner.

10 According to exemplary embodiments of the present invention, the closure element includes tissue compression bands 3300, attached on either end to anchors 3200. Referring to Figure 21A, tissue compression band 330 is illustrated in a relaxed (i.e., no force, or minimal force) state. Anchors 3200 are attached to either end of tissue compression band 3300. Thus, in this exemplary embodiment, each anchor 3200 is connected, via a tissue compression band  
15 3300, to on other anchor 3200, in contrast to other embodiments of the invention in which an anchor may be connected to two or more other anchors. This example embodiment, and advantages thereof, are described in further detail below.

Anchors 3200 include wings 3207 and coupling element 3210. Referring to Figures 21A–21E, wings 3207 have a relaxed, uncompressed position, and form a shoulder with  
20 anchor 3200 where the wing 3207 extends proximally and radially outwardly from the distal end of anchor 3200, or distal thereto. As further illustrated in Figures 21A–21E, wings 3207 include a radially exterior surface and a radially interior surface. The radially exterior surface, as described above in connection with Figure 4, may include longitudinally extending corrugations, which may provide a plurality of extending projections at a free end  
25 of the wing. The radially interior surface may be concave.

Referring to Figure 21B, a cross-sectional view of the tissue compression band assembly 3000, each end of tissue compression band 3300 is terminates in a cavity 3211 of an anchor 3200. Wings 3207 of anchor 3200 project away from the distal tip of the anchor 3200, beyond the cavity 3211 and radially outward from the axis of the anchor 3200. In this  
30 manner, wings 3207 create separation between the anchor 3200 and the tissue compression band 3300.

Referring to Figures 21C–21D, coupling element 3210 is used to secure each end of the tissue compression band 3300 in the cavity 3211 of the anchor 3200. The ends 3310 of tissue compression bands 3300 may include projections 3311, such that the ends 3310 have a

larger radius than the main portion of tissue compression band 3300, and a larger radius than the inner portion of coupling element 3210. When situated in the cavity 3211 of anchor 3200, projections 3311 and coupling element 3210 operate to maintain a mechanical coupling between tissue compression band 3300 and anchor 3200.

Referring to Figure 21E, the tissue compression band assembly 3000 is shown, including anchors 3200 and tissue compression band 3300. Each anchor 3200 is shown as including two wings 3207, although any number of wings may be provided. As illustrated in Figure 21E, tissue compression band 3300 is coupled to each of two anchors 3200. Anchors 3200 are situated at an angle to the axis of tissue compression band 3300, such that tissue compression band 3300 is bent near each end, inside the coupling to the anchors 3200. Tissue compression band 3300 may pass through a space between two wings 3207. It is in this position, with anchors 3200 situated as an angle with the axis of tissue compression band 3300, that the tissue compression band assembly 3000 will be situated in surgical closure device 5 before being implanted .

Referring to Figure 22, a front view of end portion 3025 of device 5 is illustrated, including four tissue compression band assemblies 3000. Each tissue compression band assembly 3000 is depicted as shown in Figure 21E, with each anchor 3200 situated at an angle with the axis of tissue compression band 3300, and located in a slot 3026. Tissue compression bands 3300 form a crossing pattern over the central area of the end portion 3025, which will be targeted at the opening 3905 in the tissue 3900, as described below.

Figure 23 is a perspective view of the end portion 3025 of device 5. Tissue compression band assemblies 3000 are shown loaded onto pusher pins 3600, which, in the current illustration, are depicted extending beyond the end of end portion 3025.

Distal end of device 5 may further include windows and narrowing elements. Prior to the ejection of the anchors 32300 from the distal end of device 5, wings 3207 of anchor 3200 may be permitted to expand to a relaxed, first position, such that the wings are not compressed. During ejection from the device 5, the anchors 3200 may encounter narrowing elements, such that the narrowing elements may compress the wings to a second, compressed position. Once the anchors have been ejected from the device 5, the wings are permitted to expand back to their relaxed, uncompressed position.

Referring to Figures 24 and 25, pusher plate 3500 includes pusher pins 3600 having fingers 3610 for acting on the tissue compression band assemblies 3000. Fingers 3610 meet with anchors 3200 at coupling element 3210. Fingers 3610 may also meet with anchors 3200 at the shoulder, where wing 3207 extends proximally and radially outwardly from the distal

end of anchor 3200, or distal thereto. Fingers 3610 contact anchor 3200 closer to the distal tip, such that wings 3207 extend beyond the point of contact between fingers 3610 and anchor 3200. The delivery mechanism or driver may drive the anchors by exerting a driving force on the shoulder to drive the anchor into tissue.

5           Use of the tissue compression band assembly 3000 to close an opening 3905 in tissue 3900 will now be described. In reference to Figures 26A–26D, tissue 3900 is shown with opening 3905. Tissue compression band assembly 3000, having tissue compression band 3300 and anchors 3200, is shown, for convenience, without surgical device 5. Tissue compression band 3300 connects two anchors 3200, and wings 3207 extend beyond the  
10   coupling point of the tissue compression band 3300 and anchors 3200. In Figure 26A, tissue compression band assembly 300 is illustrated in its relaxed (i.e., no force, or minimal force) state, and is situated at a distance from tissue 3900. In Figure 26B, the distal tips of anchors 3200 have been pushed through the surface of tissue 3900, on either side of opening 3905. Anchors 3200 have only penetrated tissue 3900 as far as the distal tips, and have not yet  
15   penetrated beyond the coupling elements 3210, such that wings 3207 remain outside the surface of tissue 3900.

In Figure 26C, anchors 3200 have now penetrated tissue 3900 at a depth equal to the height of the anchors 3200. Wings 3207 are now just inside tissue 3900, on either side of opening 3905. While tissue compression band 3300 remains largely above the surface of  
20   tissue 3900, either end of tissue compression band 3300 has penetrated the surface of tissue 3900 with the anchors 3200, so that tissue compression band 3300 tenses against tissue 3900, entering a tensed (i.e., forced) state. Fingers 3610 of pusher pins 3600 remain in contact with anchors 3200. As anchors 3200 are pushed into tissue 3900, tissue compression band 3300 forces the sides of opening 3905 to move closer together, shrinking the size of opening 3905.

25           In Figure 26D, anchors 3200 have now been pushed to a predetermined distance below the surface of tissue 3900, pulling tissue compression band 3300 against the surface of tissue 3900, pressing the sides of opening 3905 closer together until the opening closes. Fingers 3610 of pusher pins 3600 are retracted, leaving tissue compression band assembly 3000 in tissue 3900. As tissue compression band 3300 tenses against tissue 3900, tissue  
30   compression band acts on anchors 3200, pulling them in against the direction of insertion. Wings 3207 resist this proximal movement, as described above, holding anchors 3200 in place, which in turn maintains tissue compression band 3300 in its tensed (i.e., forced) state, which in turn holds closed opening 3905. This closure of the tissue is maintained in hemostasis.

In accordance with the measures described herein several advantages may be achieved. For example, because tissue compression band assembly 3000 may be loaded into surgical device 5 in its relaxed state, the device is more easily stored and transported, with fewer concerns that the assembly may wear out, cease to function, or cause injury. The assembly only enters its tensed state once anchors 3200 penetrate tissue 3900.

Further, tissue compression band assembly 3000 may have a lower mass than similar closure implants. Anchors 3200 require less material, as the anchor bodies are significantly shorter than other configurations. Tissue compression bands 3300 couple to the anchors 3200 just inside the distal tip, instead of at the end of a longer proximal body, so that a longer body is not necessary. Because tissue compression band 3300 includes small projections 3310 to mechanically couple with anchors 3200, less material is required to make tissue compression bands than similar closure devices that wrap entirely around the proximal end of an anchor. The low mass of the assembly allows for greater compliance with any movement of tissue 3900, so that the hemostasis achieved in the initial application is more likely to withstand such movement. This can be crucial in certain organs, such as the heart, where movement is expected, and cannot be prevented. Compliance with movement may also be achieved due to the reduced mass and, consequently, reduced momentum.

The position of the connection between tissue compression band 3300 and anchors 3200, below the surface of tissue 3900, creates a more rounded diagram of forces acting on tissue 3900 and opening 3905. The forces from the anchors acting on the assembly are located below the surface of the tissue, creating, in part, a downward pull on the compression bands. This distribution of force can prevent a strangling of the tissue that may arise from closure devices that only apply forces in parallel along the surface of the tissue. The more rounded force distribution may help maintain, and may enhance, hemostasis in the tissue.

In general, this configuration can provide greater compliance with any movement of tissue 3900. Compliance with tissue 3900 is maintained through an equilibrium of forces acting in the x-direction (i.e., in the proximal direction against wings 3207 embedded in the tissue) and forces acting in the y-direction (i.e., across opening 3905, along the length of tissue compression band 3300). Maintaining this equilibrium between these forces helps to maintain hemostasis in the tissue. Accordingly, the position of the anchors, in either the x-direction (i.e., depth in the tissue) or in the y-direction (i.e., distance between anchors) is important for providing this equilibrium. The forces acting in the y-direction may be greater than or equal to twice the forces acting in the x direction. As an example, tissue compression

band 3300 may be 13mm long in the relaxed state, and may be extended to 26mm long in the tensed state.

Fingers 3610 may push anchors 3200 to a predetermined depth for optimal purchase and tension, to optimize the equilibrium and create hemostasis in the tissue.

5 Additional configurations for the distribution of tissue compression band assemblies are shown in Figures 27A–27E. Figure 27A presents a squared, or boxed arrangement. Figures 27B–27D present a circular arrangement of anchors, with a squared, or boxed, configuration of bands, at varying distances. Figure 27E presents a circular arrangement of anchors providing for five tissue compression bands. Figure 27F presents a squared, or boxed,  
10 arrangement providing for five tissue compression bands. It should be understood that any number of tissue compression bands may be used. Moreover, the equilibrium of forces is reached through the proper distances between anchors, and proper depths of insertion into tissue.

Additional configurations for the distribution of tissue compression band assemblies  
15 are presented in Figures 28A–28C. Two rows of opposing anchors may be provided, with four tissue compression bands reaching across the rows to diagonally connect anchors. It should be understood that any orientation of tissue compression band assemblies may be used.

Larger openings in tissue may require more substantial closure elements.  
20 Accordingly, certain arrangements are directed to a large bore closure.

An exemplary embodiment of large bore closure plate assembly 4000 is illustrated in Figures 29A and 29B. The closure plate assembly includes anchors 200 coupled to closure plate 4300. Closure plate 4300 may be a circular disk, having a central opening. Anchors 200 are coupled, using coupling elements 4310, to closure plate 4300 around the  
25 circumference of the plate, all anchors 200 extending in the same direction, in parallel with the axis of the closure plate. In this configuration, closure plate 4300 is a rigid plate, having a set form used to strictly hold tissue in place. The large bore closure plate 4300, as illustrated in Figure 29C, may be constructed as a disk having openings 4320 in its circumference, so that the closure plate 4300 resembles two concentric annular disks connected via coupling  
30 elements 4310. This arrangement has the benefits of using fewer materials, and having a lower mass.

Alternatively, anchors 200 can be coupled to closure plate 4300 using hinged coupling elements 4330 (as illustrated in Figures 29D and 29E), so that anchors 200 are

permitted to rotate about the axis created by the circumference of closure plate 4300 meeting the proximal end of anchors 200.

Other arrangements of the large bore closure plate assembly may provide for additional movement in the closure plate. For example, as illustrated in Figures 30A and 30B, large bore closure plate assembly 5000 includes a sliding closure plate 5300 made up of two sliding braces 5350 and 5360. Sliding braces 5350, 5360 form a semicircle, having a sliding rack 5351, 5361, respectively, situated in one of the two open ends of the semicircle. Sliding rack 5351 is inserted into a complimentary cavity in sliding brace 5360, and sliding rack 5361 is inserted into a complimentary cavity in sliding brace 5350, so that the two sliding braces meet to form a circular sliding closure plate 5300.

Sliding closure plate 5300 further includes anchors 200, which may be used, as described above, to support closure elements 5301, 5302, 5303, 5304, which may be bands, monolithic V-shaped elements, or other similar elements as described herein. Closure elements 5301–5304 are, for example, used to keep sliding closure element 5300 closed (i.e., to hold together sliding braces 5350, 5360). Although the closure plate has some freedom of movement in the direction of sliding racks 5351, 5361, large bore closure plate assembly 5000 is held tightly, applying force to anchors 200, to bind together the tissue and close the large bore opening.

Another exemplary embodiment of a large bore closure plate assembly having some freedom for movement of the closure plate is illustrated in Figures 31A–31C. Large bore closure plate assembly 6000 includes a sliding closure plate 6300 made up of two sliding braces 6350 and 6360. Sliding braces 6350, 6360 have sliding racks 6351, 6361, respectively, situated in one of the two open ends of the brace, and sliding cradles 6352, 6362, respectively, situated in the other open end of the brace. Sliding rack 6351 is inserted into sliding cradle 6362 in sliding brace 6360, and sliding rack 6361 is inserted into sliding cradle 6352 in sliding brace 6350, so that the two sliding braces are brought together into sliding closure plate 6300.

Sliding closure plate 6300 further includes anchors 200, which may be used, as described above, to support closure elements, which may be bands, monolithic V-shaped elements, or other similar elements as described herein. Although the closure plate has some freedom of movement in the direction of sliding racks 6351, 6361, large bore closure plate assembly 6000 is held by applying force to anchors 200, to bind together the tissue and close the large bore opening.

Another exemplary embodiment of a large bore closure plate assembly having some freedom for movement of the closure plate is illustrated in Figures 32A–32B. Large bore closure plate assembly 7000 includes a rectangular sliding closure plate 7300 made up of two sliding braces 7350 and 7360. Sliding braces 7350, 7360 have sliding tubes 7351, 7361, respectively, situated in one end of the brace, and cylindrical cavities 7352, 7362, respectively, situated in the other open end of the brace. Sliding tube 7351 is inserted into cylindrical cavity 7362 in sliding brace 7360, and sliding tube 7361 is inserted into cylindrical cavity 7352 in sliding brace 7350, so that the two sliding braces are brought together into sliding closure plate 7300.

Sliding closure plate 7300 further includes anchors 200, which may be used, as described above, to support closure elements (not shown), which may be bands, monolithic V-shaped elements, or other similar elements as described herein. Though the closure plate has some freedom of movement in the direction of sliding tubes 7351, 7361, large bore closure plate assembly 7000 is held by applying force to anchors 200, to bind together the tissue and close the large bore opening.

Tissue closure may also be achieved percutaneously, using a percutaneous tissue closing device. Such a device can apply forces to open tissue to draw closed an opening in tissue from the inside of that tissue, in contrast to the various embodiments presented herein that apply forces to a tissue's outer surfaces.

In exemplary embodiments, a percutaneous tissue closure device 8005 is provided, for inserting closing elements beneath the surface of the tissue, and drawing the tissue's opening to a close from within the tissue. As illustrated in Figure 33, a percutaneous tissue closure device 8005 includes a working tube 8100 having a distal end, about which, in its pre-application state, pusher pins and closure elements are wrapped. Closure elements 8300 are shown in Figure 33 wrapped around the distal end of working tube 8100, and attached to anchors 3200 (described above). Pusher pins 8600, in the pre-application state, are wrapped around working tube 8100. Each pusher pin 8600 may include pusher collar 8610. In its pre-application state, closure elements 8300 are wrapped around working tube 8100, in line with each pusher pin 8600, so that pusher collar 8610 fits around closure element 8300, just below the coupling element 3210 of anchor 3200. In alternative example embodiments, pusher pins 8600 do not include pusher collars 8610.

Outer sheath 8800, illustrated in Figure 34A, may be introduced over the exterior of working tube 8100 and wrapped closure elements 8300 and pusher pins 8600. Outer sheath 8800 may be removable by sliding it in the proximal direction, exposing the working tube

8100 and wrapped closure elements 8300 and pusher pins 8600, as shown in Figures 34B and 34C. Also illustrated in Figures 34A–34C is dilator 8700, used to dilate or expand the opening in the tissue, for application of the closure elements 8300.

Although exposed closure elements 8300 and anchors 3200 are illustrated at an angle that is neither parallel nor perpendicular to the axis of working tube 8100 and outer sheath 8800, it may be provided to dispose closure elements 8300 and anchors 3200 at a range of angles not parallel to the axis of working tube 8100 and outer sheath 8800, including a perpendicular angle.

Referring to Figures 35A–35H, use of the percutaneous tissue closure device 8005 will now be described. Figure 35A illustrates tissue 8900, having been penetrated by guide wire 8710. Guide wire 8710 is attached to the device 8005 via dilator 8700. In this figure, outer sheath 8800 covers working tube 8100 and wrapped closure elements 8300 and pusher pins 8600.

Figure 35B shows device 8005 once dilator 8700 has been pushed through tissue 8900, so that distal end of working tube 8100 (not shown) beneath outer sheath 8800 is beneath the surface of tissue 8900. In Figure 35C, outer sheath 8800 has been moved in a proximal direction, exposing the wrapped closure elements 8300 and pusher pins 8600. For simplicity, only two closure elements 8300 are illustrated, although any number of closure elements 8300 may be used. Figure 35D provides a closer view of closure elements 8300 and anchors 3200, still in their wrapped (i.e., pre-application) state, with outer sheath 8800 removed to expose the closure elements to the tissue.

Figure 35E illustrates the extension of the closure elements. Extension of the operator elements may be manual, and an operator may apply an extending force by turning a knob located at the proximal end of device 8005, or may be exerted by a fluid flow, pneumatic pressure, hydraulic pressure, or any other external forces. As closure elements 8300 extend, anchors 3200 are pushed into tissue 8900 through the surface 8910 of the dilated opening in the tissue. Once extended, as in Figure 35F, anchors 3200 pass entirely into tissue 8900, and closure elements 8300 reach their full extension length. Closure elements 8300 may be formed from any appropriate shape-memory alloys, e.g., nitinol, spring-loaded steel or other alloy or material with appropriate properties, such that the extended closure elements 8300 will exert a force in the proximal direction from the perspective of anchors 3200, pulling anchors 3200 against the direction of insertion. Wings 3207 resist this proximal movement, as described above, holding anchors 3200 in place, and exerting a closing force on tissue 8900.

In Figures 35G and 35H, percutaneous tissue closing device 8005 is removed from tissue 8900, leaving behind closure elements 8300. The closing force exerted by closure elements 8300 on tissue 8900 holds closed the opening in the tissue. This closure of the tissue is maintained in hemostasis. Further, because the device operates percutaneously, all of the closure forces act in a direction parallel to the closure elements 8300, helping to maintain a proper hemostatic equilibrium. Moreover, less material may be left behind in the tissue, and no material may be left on the surface of the tissue where it might interact with other parts of the body.

In exemplary embodiments, as illustrated in Figures 36A and 36B, percutaneous tissue closing device 8005 includes knob 8035 for initiating mechanical action to drive anchors 3200 into tissue. In Figure 36A, closure elements 8300 are wrapped about working tube 8100. In Figure 36B, following rotation of knob 8035, sleeves 8350 are used to drive anchors 3200, attached to closure elements 8300, into tissue percutaneously.

In exemplary embodiments, working tube 8100 may include cam 8150. The mechanical action initiated by turning knob 8035 may then turn cam 8150, shown in Figure 37A and 37B. As cam 8150 is turned, sleeves 8350 extend from a wrapped position around cam 8150 into an extended position, as shown in Figure 37B. Sleeves 8350 are situated against the coupling element 3210 of anchors 3200, so that as sleeves 8350 extend, anchors 3200 are driven radially outward from cam 8150. The exemplary embodiment is shown in view from the proximal perspective in Figures 38A and 38B.

Figure 39 illustrates cam 8150 and one closure elements 8300, in isolation, with anchors 3200 and extended sleeves 8350.

Figure 40 illustrates sleeve 8350 in its extended form, including fingers 8351 used to couple with anchor 3200.

In exemplary embodiments, as illustrated in Figures 41A and 41B, anchors 3200 may be driven into tissue using working tube 8160, include press 8161 and troughs 8162. As shown, press 8161 may drive, in the distal direction, closure devices 8300, driving anchors 3200 into tissue.

The closure elements 300, 1300, 2300, 3300, 4300, 5300, 6300, 7300, 8300 disclosed herein may be elastomeric, e.g., silicon. It should be understood, however, that the closure elements 300, 1300, 2300, 3300, 4300, 5300, 6300, 7300, 8300 may be formed of any appropriate material, e.g., a bio-absorbable material. Further, where the anchors 200, 1200, 3200 are also formed of bio-absorbable material, the entire self-acting closure assembly including anchors 200, 1200, and/or 3200 as well as closure elements 300, 1300, 1400, 3300,

4300, 5300, 6300, 7300 and/or 8300 (which is typically left in the patient after completion of the procedure) may be absorbable into the patient's body. Although a plurality of elastomeric closure elements 300, 1300, 2300, 3200 are described in connection with the exemplary embodiments, it should be a single continuous closure element may be provided (e.g., a  
5 single monolithic piece that extends among the various anchors 200, 1200, 3200). Further, as an alternative or in addition to the one or more elastomeric closure elements, any other urging mechanism, e.g., springs, may be provided as a closure element. Further, it should be understood that the pattern according to which the anchors 200, 1200, 3200 and closure elements 300, 1300, 2300, 3300, 4300, 5300, 6300, 7300, 8300 are oriented may vary from  
10 the exemplary embodiments described herein.

In an exemplary embodiment of the present invention, the anchor 4200 illustrated in Figure 42 is described. Anchor 4200 includes a distal tip 4230, and a stem 4201 extending proximally from the base of distal tip 4230. Stem 4201 joins the base of distal tip 4230 at shoulder 4240. Wings or barbs 4207, 4208 extend proximally, and, to some degree, radially,  
15 from the base of distal tip 4230, and join the base of distal tip 4230 at shoulder 4240. Barbs 4207, 4208 extend proximally and radially from the distal tip 4230 to free ends. The free ends may flare further radially outward, as illustrated in Figure \_\_, Unlike the wings or split portions 207, 208 described above, wings or barbs 4207, 4208 are not formed from cuts or splits to the body of the anchor, so that the thickness of stem 4201 may be unaffected by the  
20 inclusion of barbs 4207, 4208. Wings or barbs 4207, 4208 may have a relaxed, uncompressed position, illustrated in Figure 42. In the uncompressed position, barbs 4207, 4208 are unbiased, having a barb opening W. Barbs 4207, 4208 may be compressed into closer approximation with stem 4201. Varying amounts of compression may be applied to the barbs, such that the greater the compression, the closer approximation of the barbs to the  
25 stem. Barbs 4207, 4208 may include protrusions at the free ends of the barbs, to engage with tissue once the anchor has been deployed. While two barbs 4207, 4208 are illustrated, it should be appreciated that any number of barbs may be provided. Similarly, any number of protrusions at the free ends of the barbs may be provided, including one sharp protrusion.

Stem 4201 may be flexible, able to be bent or flexed with respect to barbs 4207, 4208  
30 and distal tip 4230. Once deployed into tissue, a flexible stem provides for a different profile of forces acting on the anchor 4200, as compared to an anchor having a rigid or stiff stem. A flexible shaft, able to flex in relation to the barbs and the distal tip, creates a living hinge between these elements of the anchor. Forces acting on the anchor from its proximal end (i.e., closure elements coupled to the proximal end of the anchor) may be at least partially

absorbed by the flexible stem, so that the impact of these forces on the wings or barbs of the anchor may be reduced. In certain tissue environments, a flexible shaft may be more likely to prevent a levering action by the anchor, and may thereby prevent the anchor from partially or even completely pulling out of the tissue. Stem 4201 may include, at its proximal end, eyelet 5 4210, which may be designed to receive a suture 4400. In example embodiments, the flexible nature of stem 4201 permits the use of suture 4400, as opposed to an elastomeric closure element. In combination, by applying the closing force through a suture 4400 in the proximal eyelet 4210 to a flexible stem 4201, the force to close the wound is lessened in comparison to the force that would be required to pull the anchor 4200 from the tissue. In this manner, a 10 more complete closure of the wound may be achieved.

It may be beneficial to reduce the size of anchor 4200. For example, reducing the thickness of the tip 4230, barbs 4207, 4208, and stem 4201, a greater number of anchors may be deployed in a smaller space in tissue, permitting circular deployment configurations. In reference to Figure 43, a deployment of anchors in a configuration more closely 15 approximating a circle creates a more uniform force distribution about the wound. In combination with the flexible stem 4201 and proximal eyelet 4210, the even distribution of force further prevents anchors 4200 from pulling out of tissue, and more completely closes the wound.

As another example, reducing the mass of the anchor 4200 aids in increasing the 20 velocity of the driving of the anchor 4200 into tissue. In remote anchoring, the tissue receiving the anchors is not held or secured during deployment of the anchors. As noted above, in order to accurately penetrate soft tissue that is not being held or secured, rapid penetration may be required. Lowering the mass of the anchor 4200 increases the achieved driving velocity. In an exemplary embodiment, the velocity of the driven anchors may be 25 described according to the below equation, where  $m$  is the mass of the anchor,  $k_{spring}$  is the spring constant of the driving spring,  $F_{friction}$  is the coefficient of friction between the anchor and the distal end of the driver, and  $l_2 - l_1$  indicates the difference in the length of the spring.

$$v = \sqrt{\frac{(l_2 - l_1)(k_{spring}(l_2 - l_1) - 2F_{friction})}{m}}$$

In another exemplary embodiment, the velocity of the driven anchors may be described according to the below equation, where  $l$  is the spring length and  $l_0 - l$  is the difference in the length of the spring.

$$v = \sqrt{\frac{k_{\text{spring}} \times (l_0 - l)^2 - F_{\text{friction}} \times l}{m}}$$

In addition to the driving velocity of the anchor 4200, the shape of the anchor 4200, as illustrated in Figure 42, allows for control of the driving of the anchor, so that the anchor is driven to the appropriate depth within the tissue. The shape of the anchor 4200 includes the shape of the distal tip 4230, the shape of the barbs 4207, 4208, corrugations 4203 along the radially exterior length of the barbs 4207, 4208 or protrusions 4204 at the proximal and radial free end of the barbs 4207, 4208, the concave radially interior surface of the barbs 4207, 4208, the length of the anchor 4200 including the length of the stem 4201, and the shape of eyelet 4210. As the anchor 4200 is driven into tissue, the anchor experiences forces exerted by the tissue. The combination of the driving velocity and the shape of the anchor prevents the anchor from being driven beyond the required depth. Anchor 4200 deployed into tissue is illustrated in Figure 44.

As noted, proximal eyelet 4210 may be configured to receive suture 4400. In contrast to elastomeric closure elements, once the anchors 4200 have been driven into tissue with suture 4400 threaded through eyelets 4210, application of a tensioning force may be required to close the wound. In example embodiments of the present invention, a tensioner 4410 may be used to apply tension to the suture 4400. As illustrated in Figure 43, anchors 4200 may be deployed in a circular configuration, with suture 4400 following the circular pattern through each proximal eyelet 4210. A first end of suture 4400 may be tied into a knot 4420. Suture 4400 then runs through the circular pattern of eyelets, through the knot 4420, and proximally away from the wound closure to tensioner 4410. As an alternative to the knot 4420, other structure may be provided in accordance with the present invention, if that structure is fixed to the first end of the suture 4400 or near to the first end of the suture 4400, and permits the suture 4400 to slide through.

As illustrated in Figure 45A, tensioner 4410 may be a hollow tubular member, having a narrowed distal tip 4411, and a hollow axial interior core 4412. Suture 4400 runs through tensioner 4410 via hollow core 4412, such that a surgeon or other operator may pull or draw

proximally on suture 4400. In one exemplary embodiment, suture 4400 extends beyond the proximal end of tensioner 4410, so that the operator may engage directly with the suture. In another exemplary embodiment, tensioner 4410 may include a proximal end 4413 that may be removed from the body of tensioner 4410. Suture 4400 may be coupled to the proximal end 4413 of tensioner 4410, such that when the proximal end 4413 is removed from the tensioner 4410, the operator may use the proximal end 4413 as a suture grip, to pull or draw on the suture 4400. Tensioner 4410 may include a scoring, cut, break, groove, or the like, to permit an operator to snap the proximal end suture grip 4413 from the tensioner 4410. In another exemplary embodiment, suture grip 4413 may be unattached to the tensioner 4410.

As the suture 4400 is drawn proximally through the tensioner 4410, tensioner 4410 moves distally into approximation the knot 4420. The relative size of the knot 4420 and the diameter of hollow axial interior core 4412 must be configured such that knot 4420 is larger than, and will not fit into, the distal opening of the hollow axial interior core 4412. As illustrated in Figure 45B, drawing the suture 4400 proximally through the tensioner 4410 tightens the suture 4400 around the circular configuration of anchors 4200 in a cinching action, closing the wound. In this manner, using a suture 440 and tensioner 4410, a surgeon or operator may control the tensioning force applied to the suture 4400 and the configuration of anchors 4200.

Any of the delivery devices described herein, including delivery device 5, 1005, and 8005, may be configured to drive the anchors 4200. Specifically, anchors 4200 may be driven by exertion of a driving force against the shoulder 4240 of the anchor 4200, driving anchors 4200 in a distal direction. Delivery devices 5, 1005, 8005 may include fingers 3610 that contact anchor 4200 at the shoulder 4240, such that wings or barbs 4207, 4208 extend proximally beyond the point of contact between fingers 3610 and anchor 4200. Similarly, stem 4201 may extend proximally beyond the point of contact between fingers 3610 and anchor 4200.

Delivery device 4005 may include the features described above with respect to devices 5, 1005, and 8005. Delivery device 4005 includes distal end portion 4025, illustrated in Figures 47A–48D. In one exemplary embodiment, as the delivery device 4005 may be applied endoscopically, distal end portion 4025 may be radio-opaque, to permit a surgeon or operator to view the distal end through a monitoring system.

Figures 46A–D provide a cross-sectional view of the deployment of anchors 4200 from distal end portion 4025. Figures 47A–47D illustrate the same deployment, viewed from the exterior of distal end portion 4025. Distal end portion 4025 may include windows 4026.

Windows 4026 may be configured and formed similarly to notches or slots 26, described above. Windows 4026 differ from slots 26 in that the opening of windows 4026 does not extend to the distal end of distal end portion 4025, but instead terminate in narrowing elements 4027. Before deployment, when anchors 4200 are situated within delivery device 4005, anchors 4200 may be oriented such that barbs 4207, 4208 extend into windows 4026. Windows 4026 thus allow for anchors 4200 to be situated within delivery device 4005, while allowing barbs 4207, 4208 to take the relaxed, uncompressed position. In this manner, delivery device 4005 may be stored or shipped with anchors 4200 situated therein, without concern that the structural characteristics of the barbs, such as response to compressive force, will be affected.

Narrowing elements 4027 are situated between windows 4026 and the ultimate distal end of distal end portion 4025. As illustrated in Figure 46B, when deployment of the anchors 4200 is initiated, and fingers 3610 begin to drive the anchors 4200 through distal end 4025, barbs 4207, 4208 contact narrowing elements 4027. As anchors 4200 are forced past narrowing elements 4027, narrowing elements 4027 exert a compressive force against the barbs 4207, 4208, compressing the barbs into closer approximation with stem 4201. As illustrated in Figure 46C, as the driver continues to drive the anchors 4200 from the distal end portion 4026 and into tissue, barbs 4207, 4208 pass beyond the distal end portion 4026, including narrowing elements 4027. Beyond the distal end portion 4026 and the narrowing elements 4027, barbs 4207, 4208 expand towards the relaxed, uncompressed position. In practice, a surgeon or operator presses distal end portion 4026 against the tissue at the deployment location. Thus, upon passing beyond the distal end portion 4026, anchors 4200 are driven into tissue. As barbs 4207, 4208 pass beyond narrowing elements 4027 and into the tissue, the barbs have a compressed profile, as the barbs have not fully expanded to the relaxed, uncompressed position, such that the anchors 4200 are more easily driven into tissue. As the anchors 4200 continue to enter the tissue, barbs 4207, 4208 expand towards the uncompressed position. This expansion of the barbs from a compressed position to an uncompressed position contributes to the slowing of the anchor's velocity as it drives into the tissue, limiting the depth that the anchor is driven into tissue.

As described above, the shape of the anchors 4200, including the profile of the barbs 4207, 4208 as the anchors enter the tissue, as well as the driving velocity of the anchors 4200, contribute to the precise depth to which anchors 4200 are driven. Adjustments in the shape of the anchors, including compressing the barbs just before entry into tissue, allow the surgeon or operator to define precise depths for anchor deployment.

In an example embodiment of the present invention, device 4005 is formed of a proximal part and a distal part. The proximal and distal parts may be separable, and may be connected to enclose a kinetically stored force, such as a spring. The spring may be compressed between the proximal and distal parts, and this compression may be held by a latching mechanism having a trigger element. As described above in reference to Figures 5A to 6C, in the distal direction the spring may be in contact with driving elements of the device 4005, such as a hammer sleeve or extending arms or fingers. Upon actuation of the trigger device by an operator, the latching mechanism releases the compressed spring, which quickly expands, transferring its kinetic energy into driving the anchors.

Although the described use of the example device 5 includes driving of the anchors 200, 1200, 3200, 4200 prior to forming a surgical access aperture, it should be understood that the anchors 200, 1200, 3200, 4200 may be driven after forming the aperture. Similarly, it is feasible to drive the anchors 200, 1200, 3200, 4200 from the device 1005 prior to dilating the hole. However, driving the anchors after forming the aperture or dilating the hole may be less advantageous because the formation of the aperture in the former procedure and the dilation in the latter presses tissue away from the hole and any subsequently driven anchors would therefore be at a location closer to the aperture when the tissue is in a relaxed state. Thus, the amount of tissue between the anchors 200, 1200, 3200, 4200 would be less, likely resulting in less compressive force being exerted to the tissue in comparison to anchors driven prior to forming the surgical access aperture.

Further, it should be understood that the closure devices 5, 1005, 8005 may be provided in connection with any appropriate surgical device, e.g., a catheter or flexible thoracoscopic shaft. Moreover, any appropriate driving mechanism for driving the anchors 200, 1200, 3200, 4200 may be provided.

Although the closure elements 300, 1300, 2300, 3300, 4300, 4400, 5300, 6300, 7300, 8300 are each formed as a single monolithic piece, it should be understood that any closure element described herein may be comprised of multiple component pieces.

Moreover, although the examples described herein are describes as firing a plurality of anchors 200, 1200, 3200, 4200 that are each identical to each other, it should be understood that a driven set of anchors may include one or more anchors that differ from the other anchors of the set. For example, situations with non-uniform tissue properties and/or dimensions may be addressed by firing, e.g., simultaneously, different types of anchors at different locations. In this regard, the device 5, 1005, 8005 may be adapted to receive

different types of anchors in the same slot and/or have interchangeable housing portions to receive the various anchors.

Further, the anchors 200, 1200, 3200, 4200 may include any of the features of the fasteners or other analogous implants disclosed in U.S. Provisional Patent Application Serial  
5 No. 61/296,868, filed on January 20, 2010 and in U.S. Patent Application Serial No. 13/010,766, , filed on January 20, 2011, and may be driven using any mechanism disclosed therein.

Further, any of the implantable elements described herein, e.g., anchors 200, 1200, 3200, 4200 and/or closure elements 300, 1300, 2300, 3300, 4300, 4400, 5300, 6300, 7300,  
10 8300 may be formed wholly or partly of a material absorbable into the patient's body, or of a non-absorbable material, depending on, e.g., the specific application. For example, these elements may be formed of polyglycolic acid (PGA), or a PGA copolymer. These elements may also, or alternatively, be formed of copolymers of polyester and/or nylon and/or other polymer(s). Moreover, these elements may contain one or more shape-memory alloys, e.g.,  
15 nitinol, spring-loaded steel or other alloy or material with appropriate properties.

Absorbable materials may be advantageous where there is a potential for misfiring or improper locating of the various implants. For example, in a situation where the driver drives an anchor 200, 1200, 3200, 4200 at an unintended location, or where the tissue does not properly receive the anchor 200, 1200, 3200, 4200, the anchor 200, 1200, 3200, 4200 even  
20 where not needed, would be relatively harmless, as it would eventually absorb into the patient's body.

Although particular example surgical applications have been described above, the devices 5, 1005, 8005 are in no way limited to these examples.

Although the present invention has been described with reference to particular  
25 examples and exemplary embodiments, it should be understood that the foregoing description is in no manner limiting. Moreover, the features described herein may be used in any combination.

WHAT IS CLAIMED IS:

1. A surgical anchor, comprising:  
a distal end tapered to a distal tip configured to pierce tissue;  
a flexible stem extending proximally from the distal end; and  
at least one barb extending proximally and radially outwardly from the distal end to a free end, including a radially exterior surface and a radially interior surface;  
wherein the radially exterior surface includes longitudinally extending corrugations providing a plurality of proximally extending projections at the free end; and  
wherein the flexible stem is flexible with respect to the at least one barb and distal tip.
2. The anchor of claim 1, wherein forces are exerted on the anchors by at least one of (a) the tissue, (b) a fluid flow, (c) pneumatic pressure, (d) hydraulic pressure, and (e) external forces.
3. The anchor of claim 1, wherein the radially interior surface is concave.
4. The anchor of claim 1, wherein the flexible stem is configured to flex in cooperation with a force exerted on the anchor.
5. The anchor of claim 1, wherein the barb is configured to resist proximal movement of the anchor after the anchor is driven into the tissue.
6. The anchor of claim 1, wherein the barb extends to a free end, and includes a plurality of proximally extending cutting projections at the free end.
7. The anchor of claim 1, wherein the bar extends to a free end, the corrugations providing a plurality of proximally extending cutting projections at the free end of the barb.
8. The anchor of claim 1, wherein the anchor is disposed in a configuration with a plurality of anchors along a ring-shaped circumference.
9. The anchor of claim 1, wherein the anchor is disposed in a configuration with a plurality of anchors in a rectangular shape.

10. The anchor of claim 1, wherein the anchor is formed of bioabsorbable materials.
11. The anchor of claim 1, wherein the flexible stem further comprises a proximal eyelet configured to receive a closure element.
12. The anchor of claim 11, wherein the closure element is a suture.
13. A surgical device, comprising:
  - an anchor having a distal end tapered to a distal tip configured to pierce tissue, at least one barb extending proximally and radially outwardly from the distal end to a free end, the barb extending from the distal end at a shoulder of the anchor, and a flexible stem extending proximally from the distal end, the shoulder located at, or distal to, the intersection of the flexible stem and a distal portion of the barb; and
  - a driver configured to exert a driving force on the shoulder of the anchor to drive the anchor into the tissue;
  - wherein the barb includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of proximally extending projections at the free end.
14. The surgical device of claim 13, wherein the anchor is disposed in a configuration with a plurality of anchors, and further wherein the driver is configured to simultaneously drive the anchors.
15. The surgical device of claim 14, wherein the driver is configured to drive the anchors a predefined distance.
16. The surgical device of claim 14, wherein the driver comprises a spring-loaded element configured to impact and impart a distally directed momentum to the anchors
17. The surgical device of claim 13, wherein the barb extends proximally beyond the shoulder.
18. The surgical device of claim 13, wherein the driver includes a pin in contact with the shoulder of the anchor, the pin configured to drive the anchor distally into tissue.

19. A surgical device, comprising:

a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue, and at least one barb extending proximally and radially outwardly from the distal end to a free end; and

a delivery mechanism having a distal end including at least one window configured to permit the barb to expand to a relaxed position and at least one narrowing element configured to compress the barb to a compressed position;

wherein the barb includes a radially exterior surface and a radially interior surface, the radially exterior surface including longitudinally extending corrugations providing a plurality of proximally extending projections at the free end;

wherein, prior to the delivery mechanism ejecting the anchor, the barb is situated in the window so that the barb is in the relaxed position;

wherein, during the delivery mechanism ejecting the anchor, the barb encounters the narrowing element so that the barb narrows from the relaxed position to the compressed position; and

wherein the barb is configured to return to the relaxed position once ejected from the delivery mechanism.

20. A surgical device, comprising:

a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue, at least one barb extending proximally and radially outwardly from the distal end to a free end, a flexible stem extending proximally from the distal end, and a proximal eyelet;

a closure element configured to be received by the proximal eyelet; and

a tensioner including a hollow axial core configured to receive the closure element and to permit the closure element to slide through the tensioner.

21. The surgical device of claim 20, wherein the closure element is a suture.

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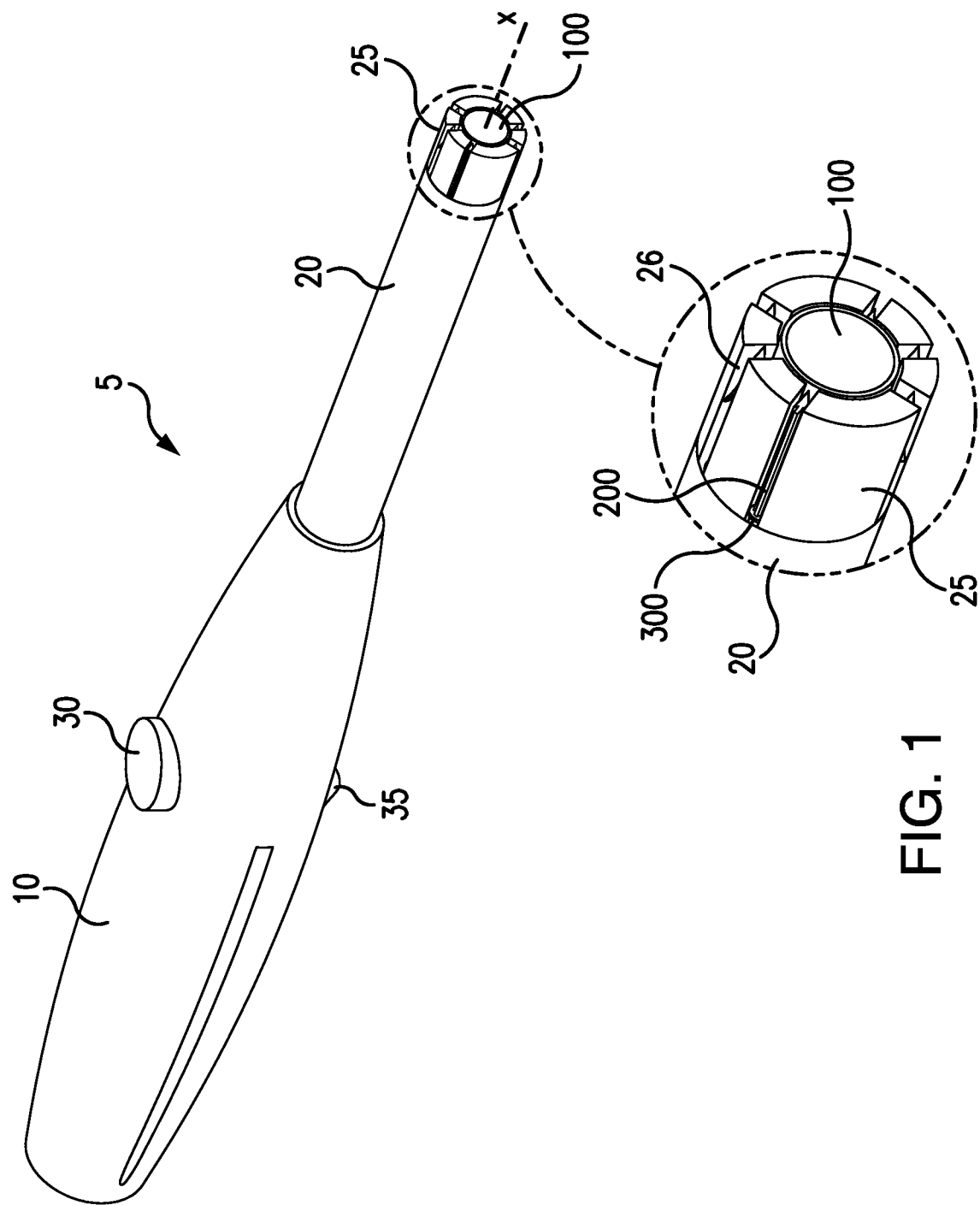


FIG. 1

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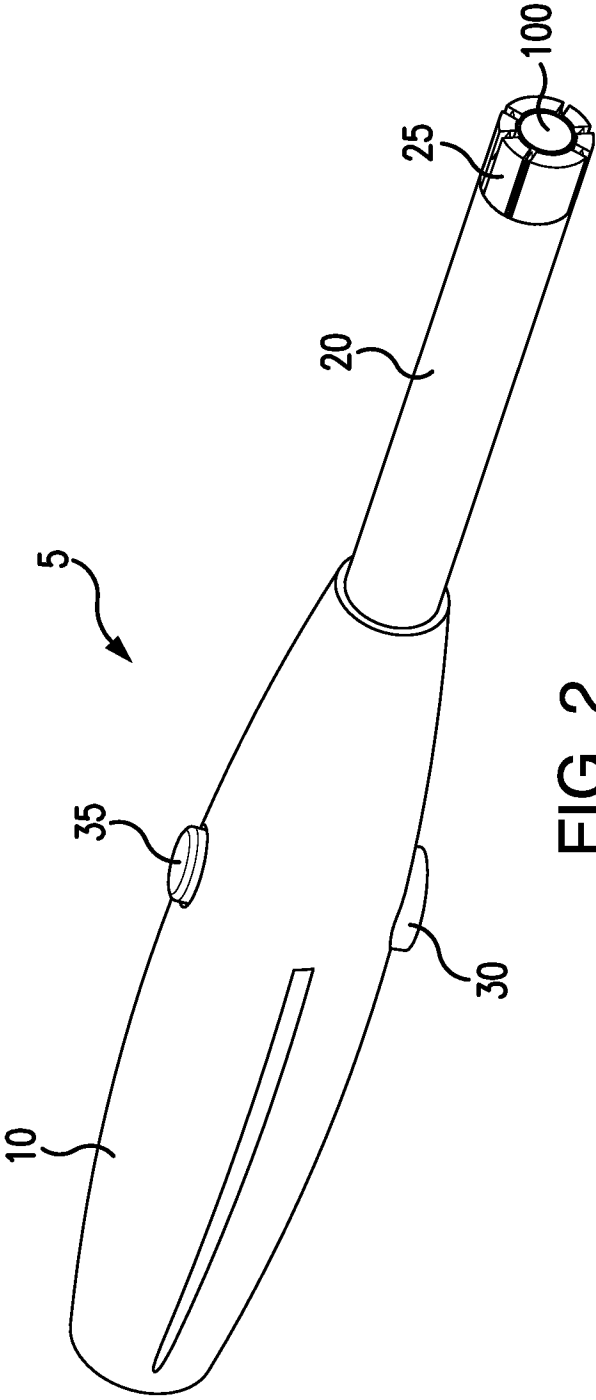


FIG. 2

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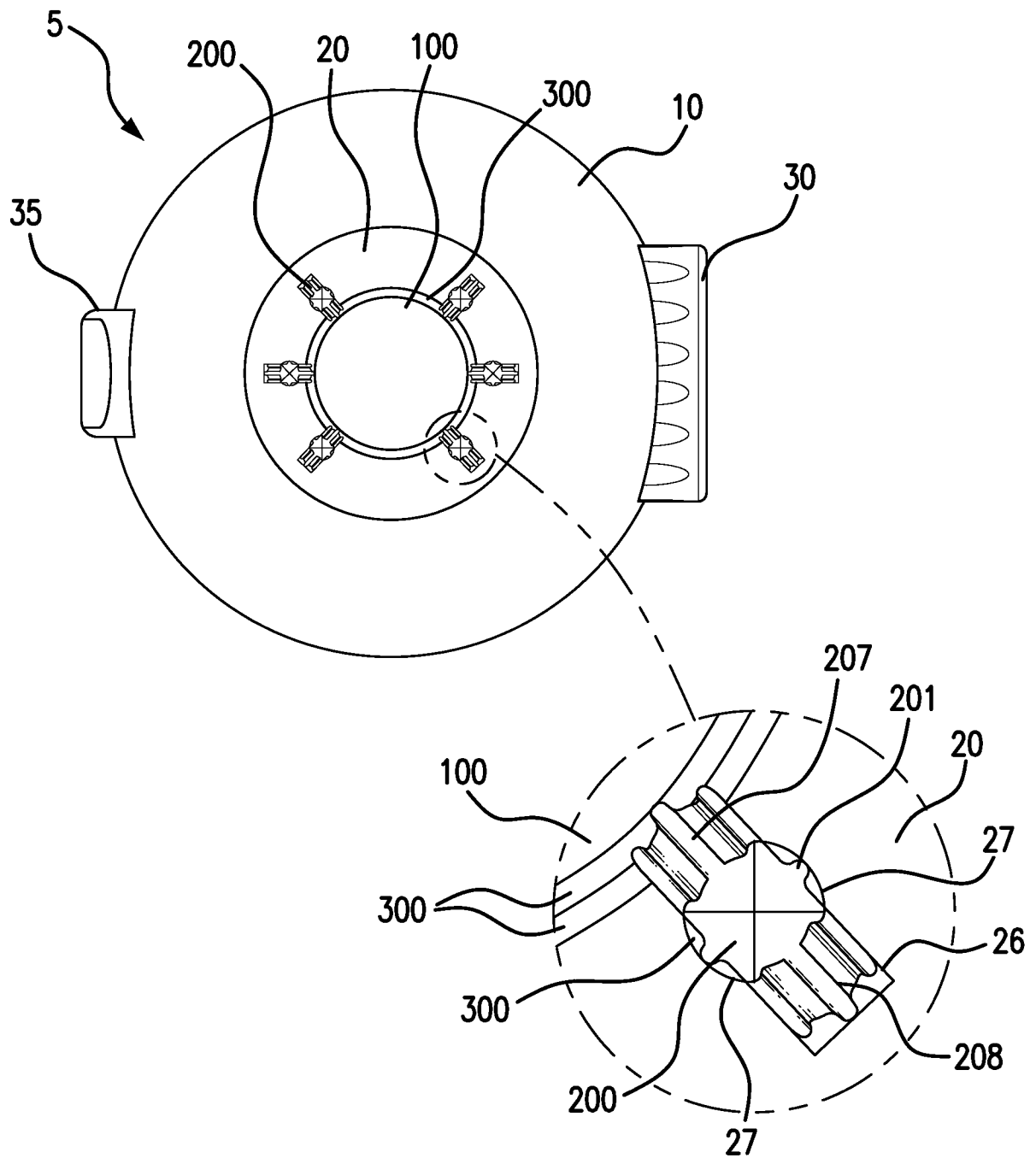
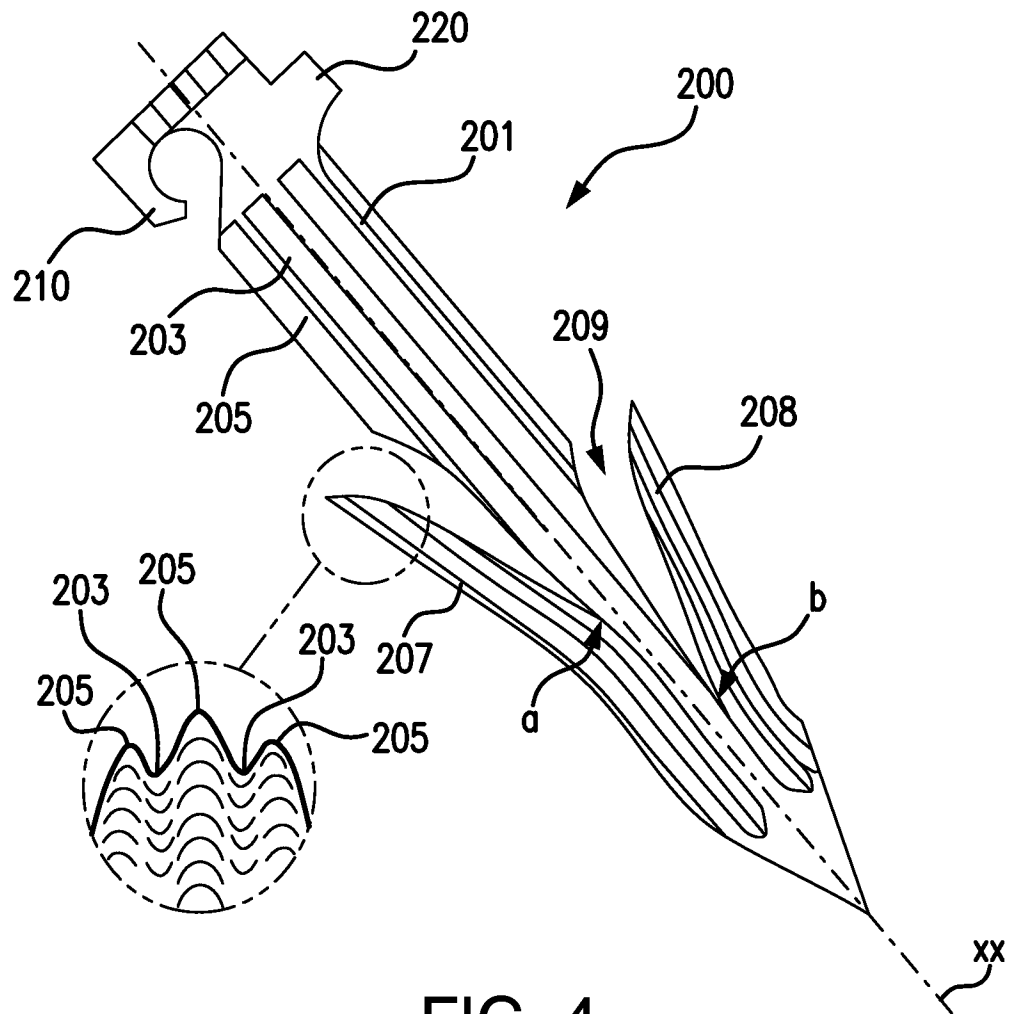
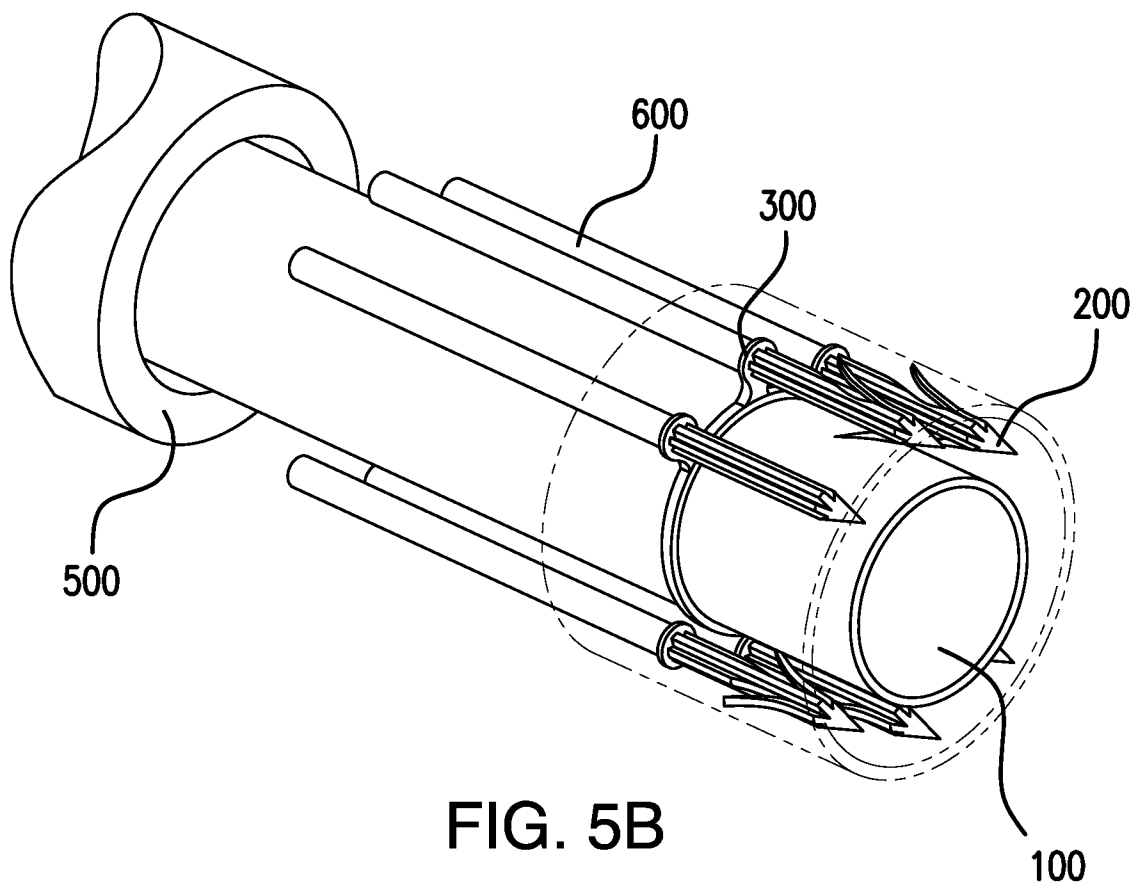
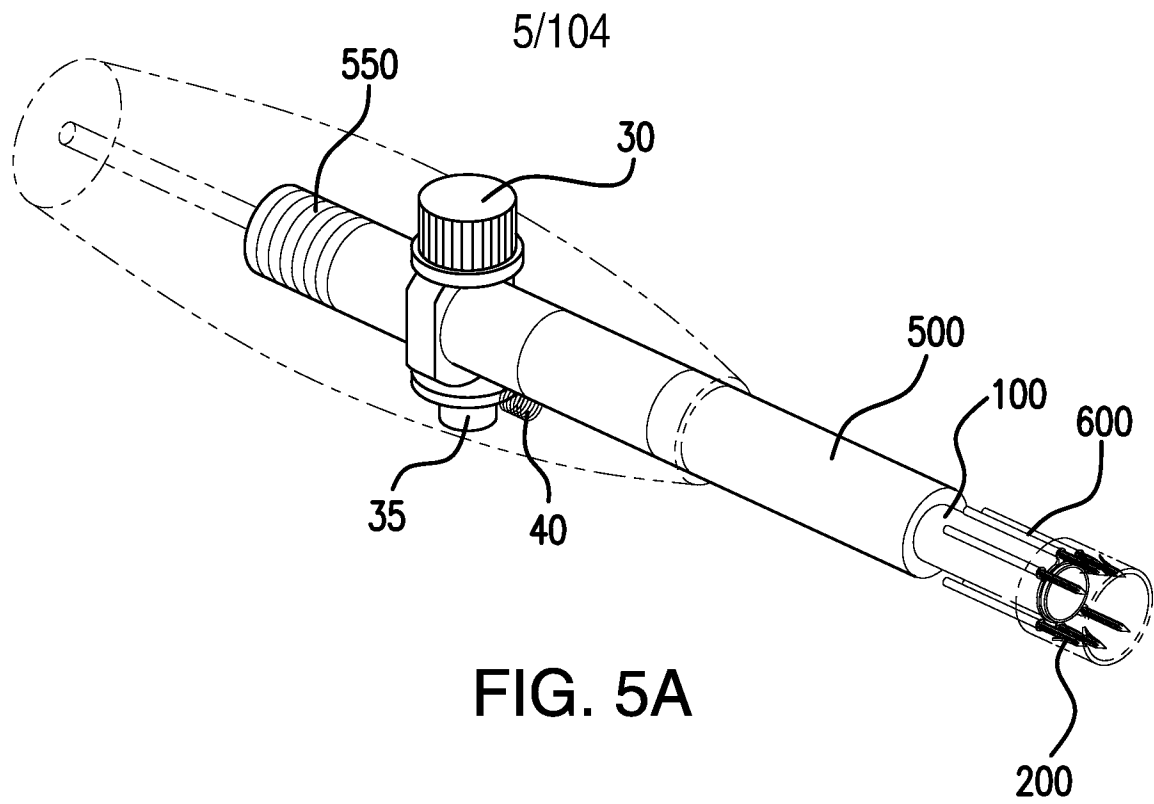


FIG. 3

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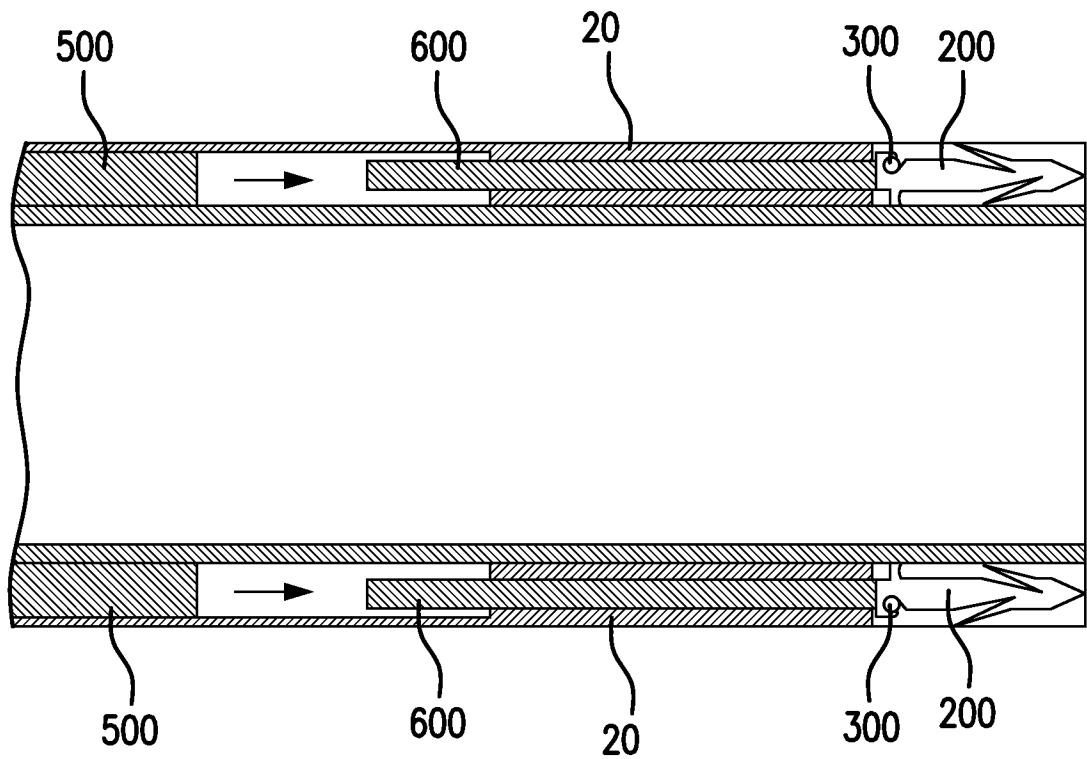


FIG. 5C

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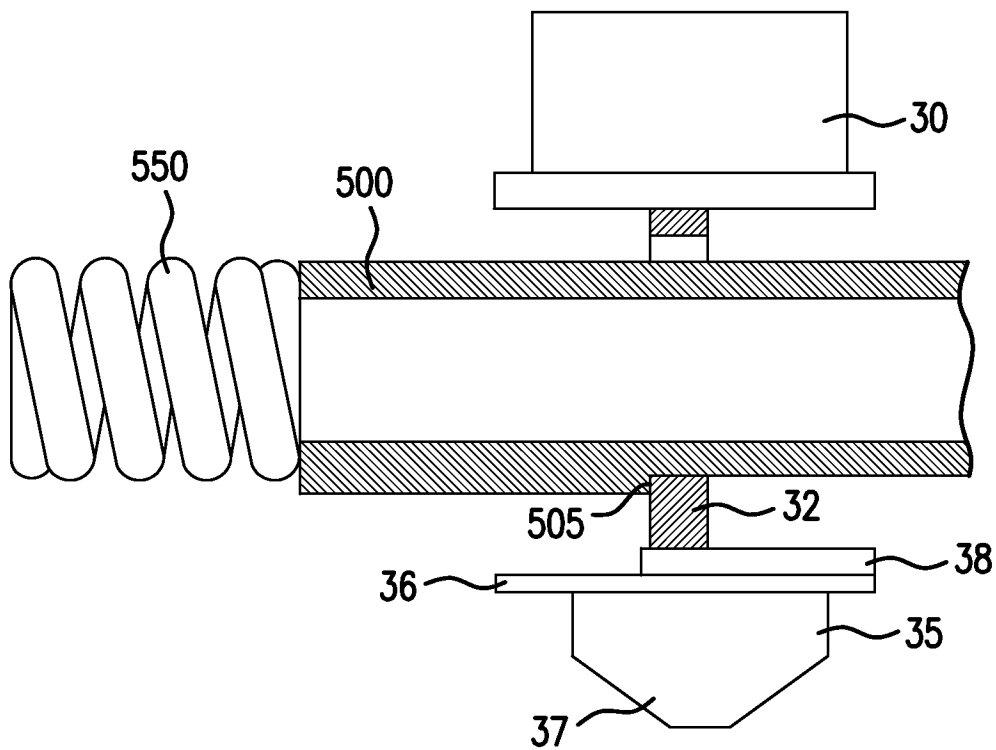


FIG. 6A

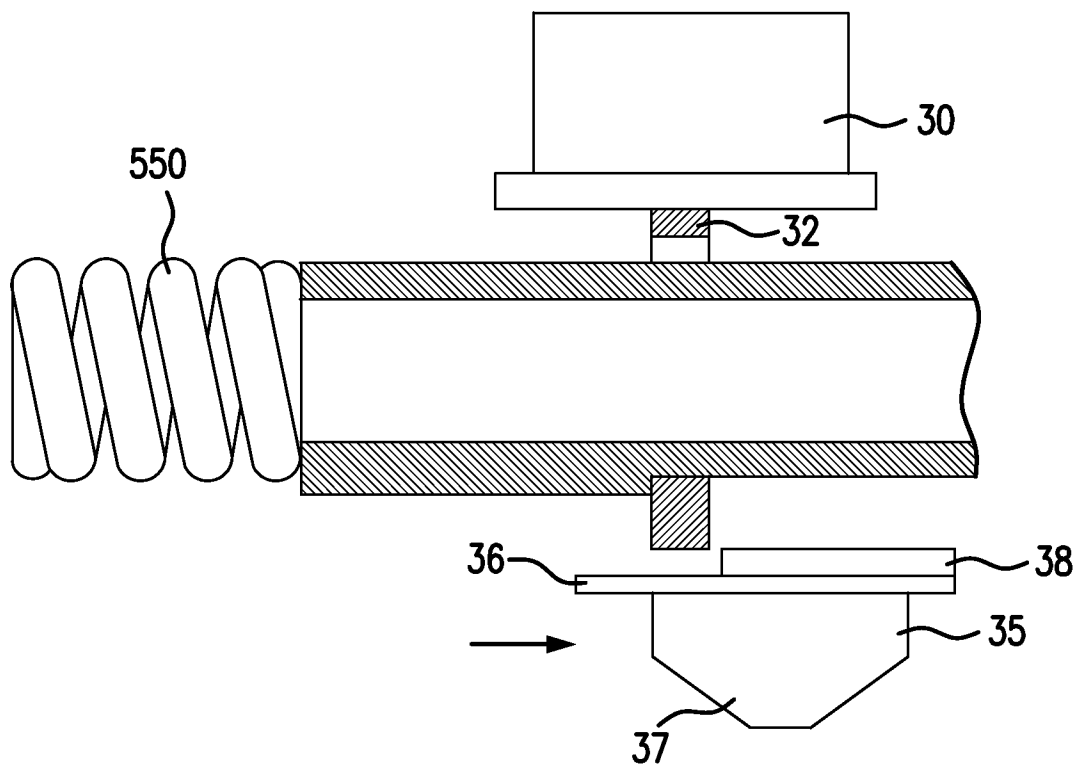


FIG. 6B

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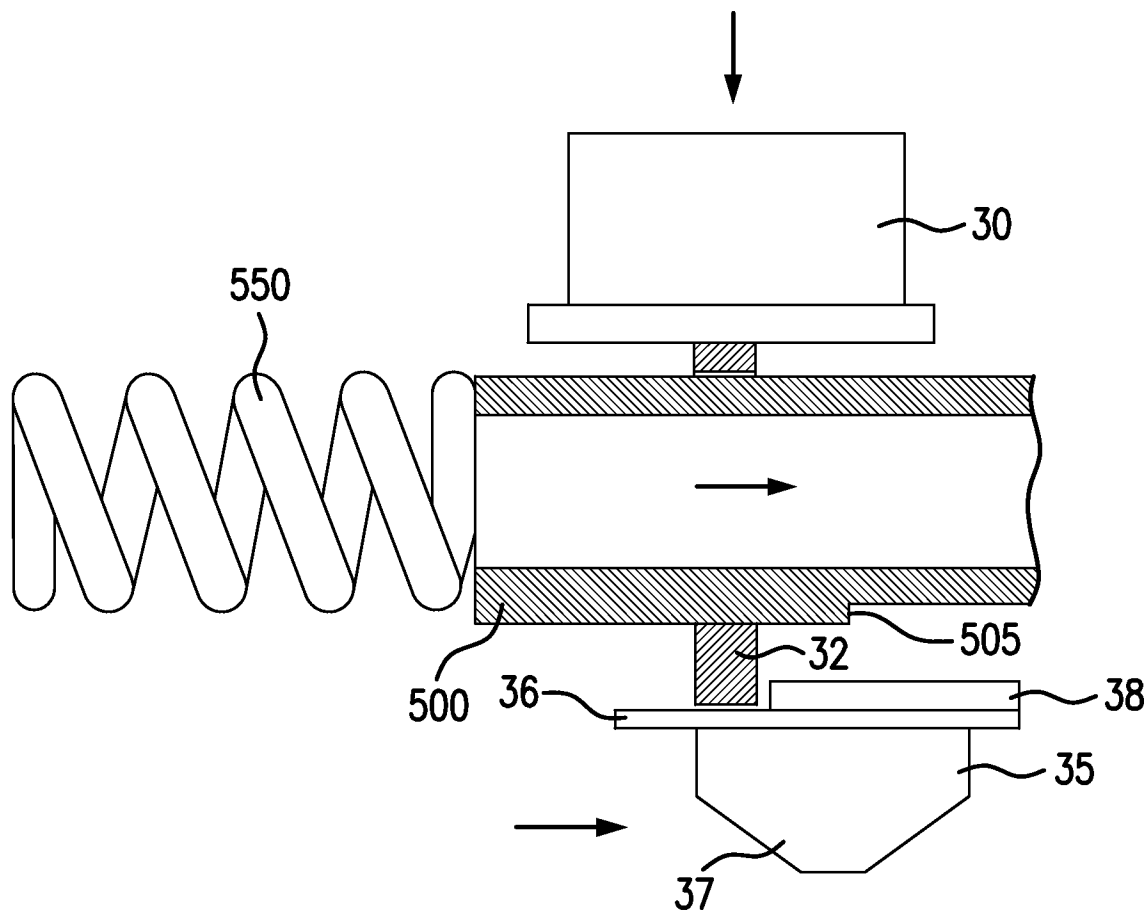


FIG. 6C

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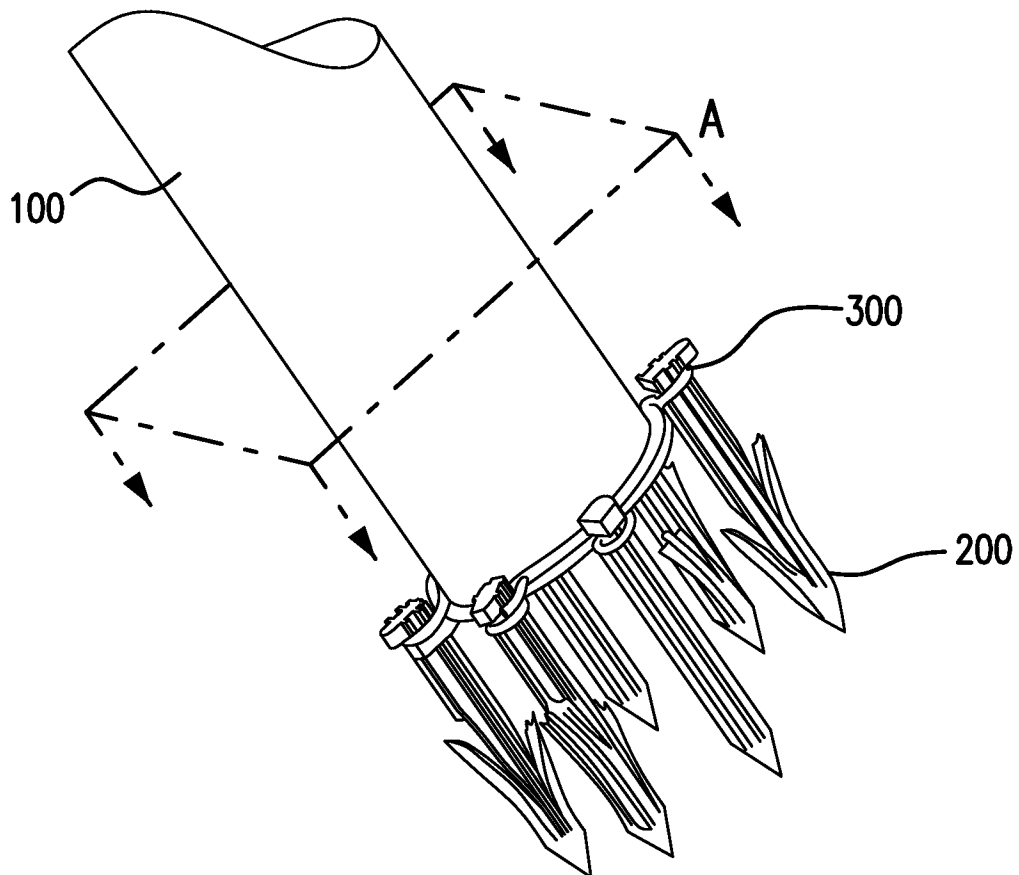


FIG. 7

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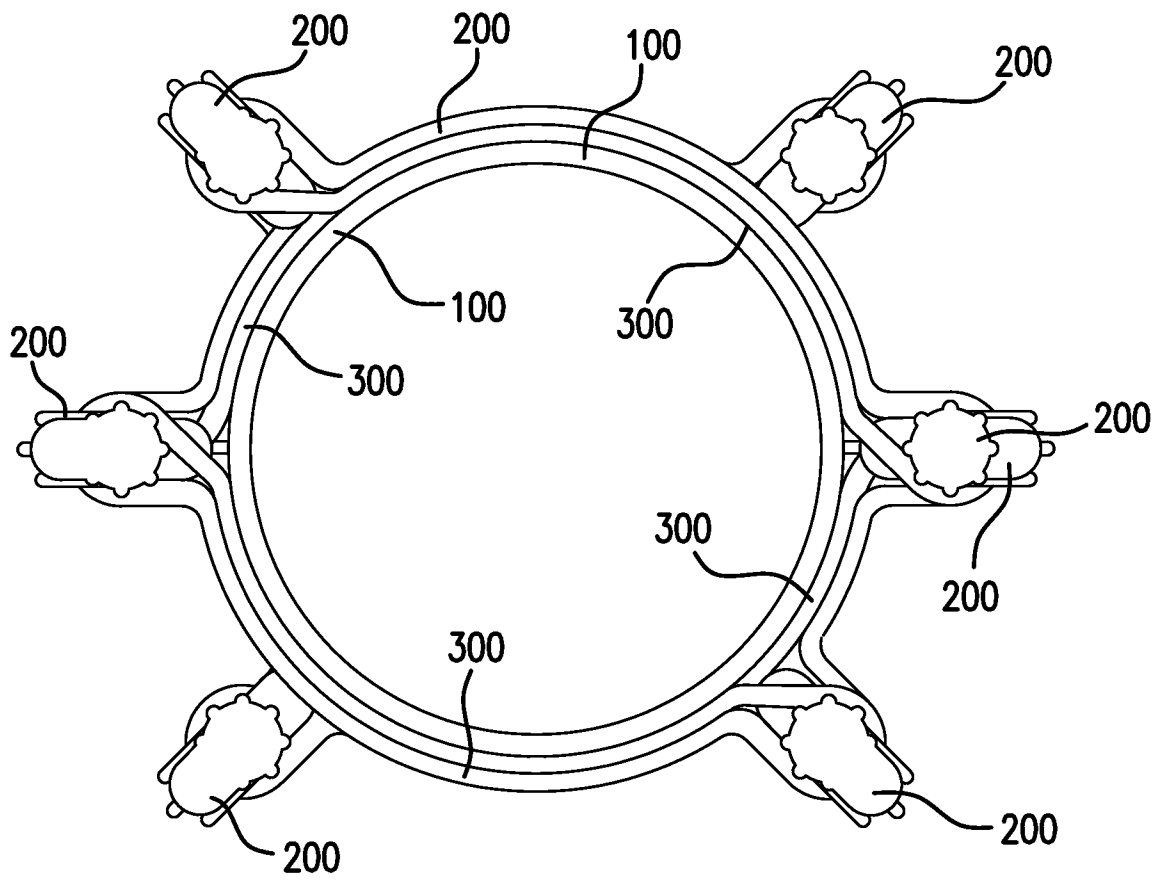


FIG. 8A

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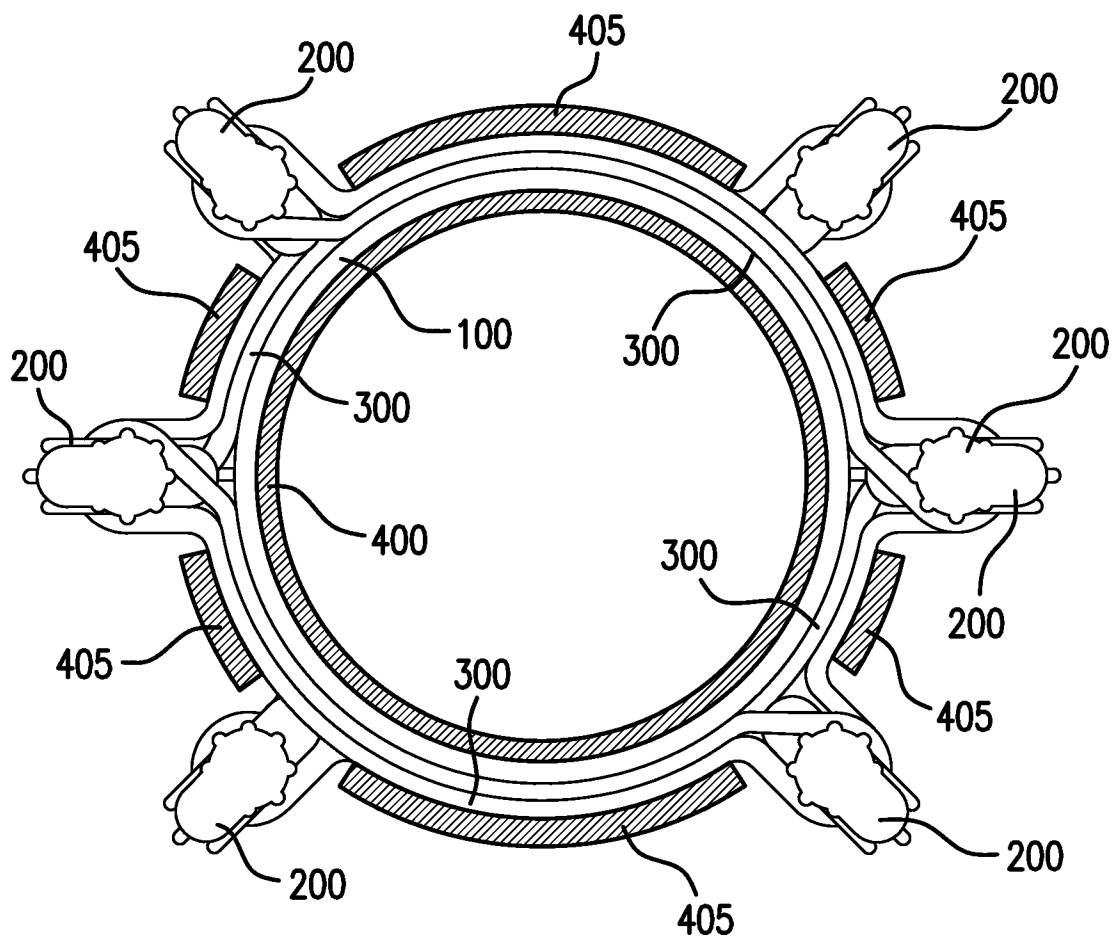


FIG. 8B

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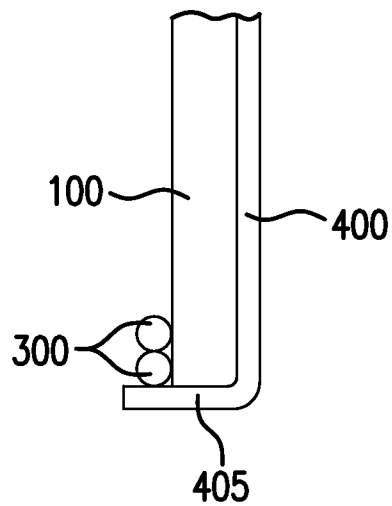


FIG. 8C

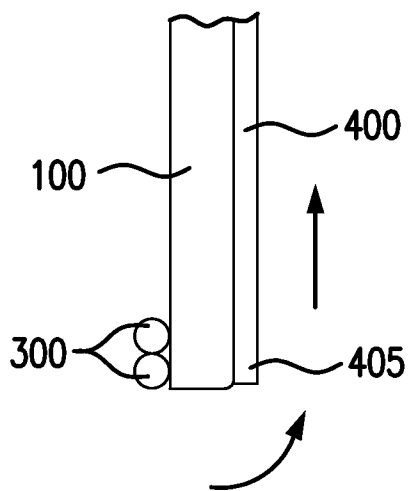


FIG. 8D

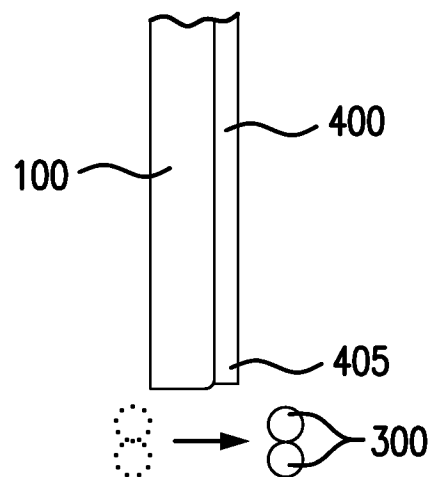


FIG. 8E

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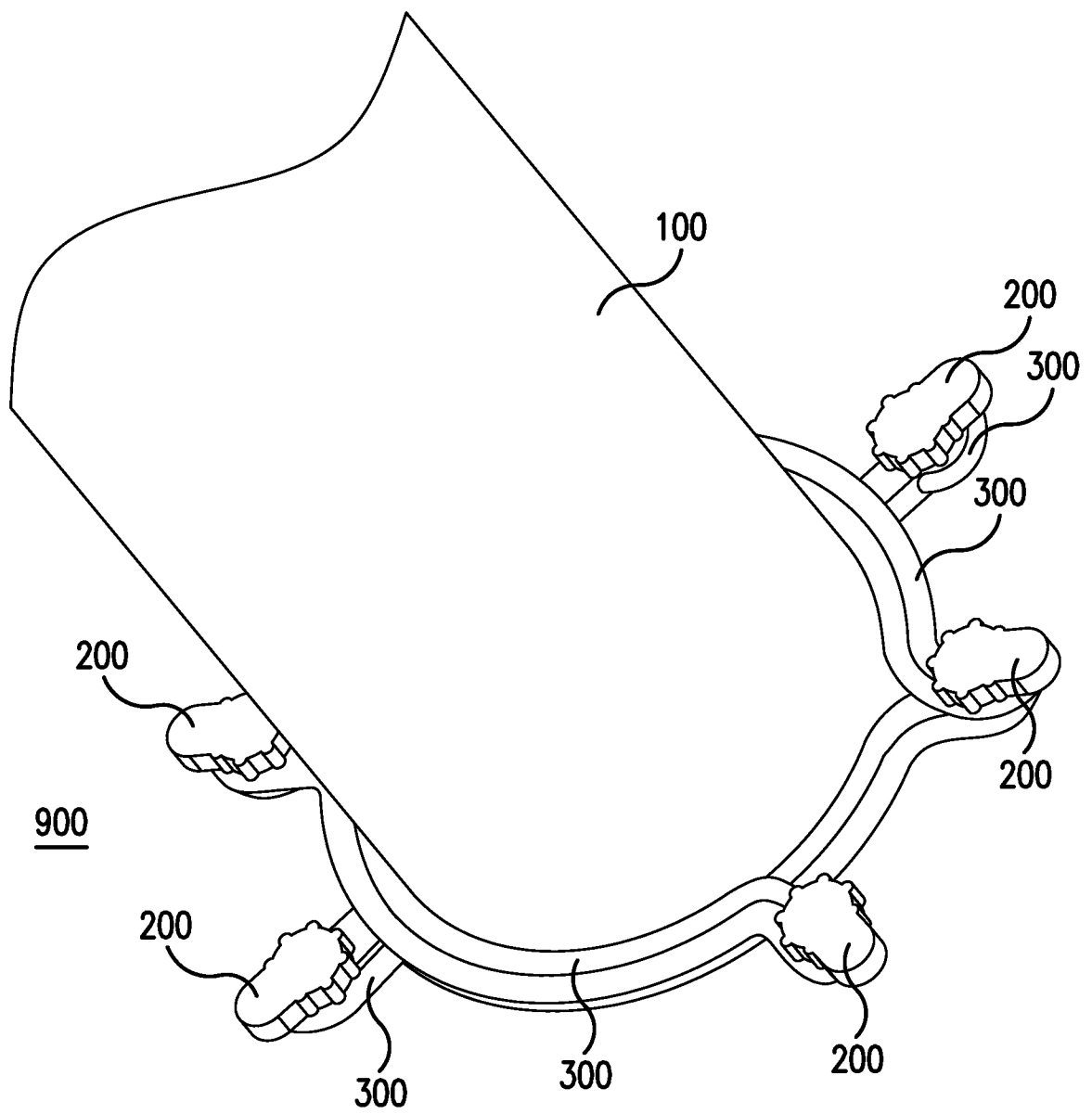


FIG. 9A

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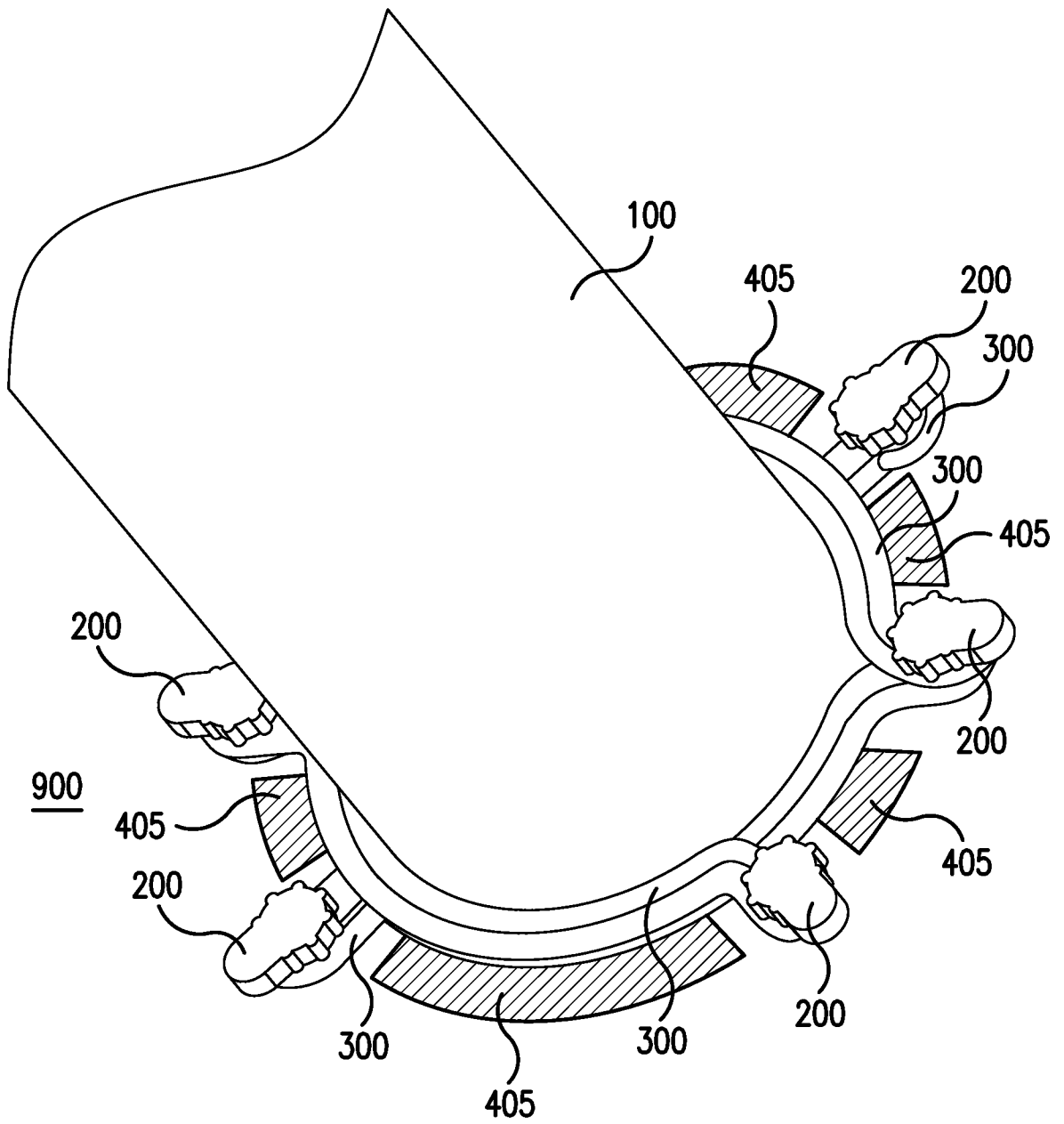


FIG. 9B

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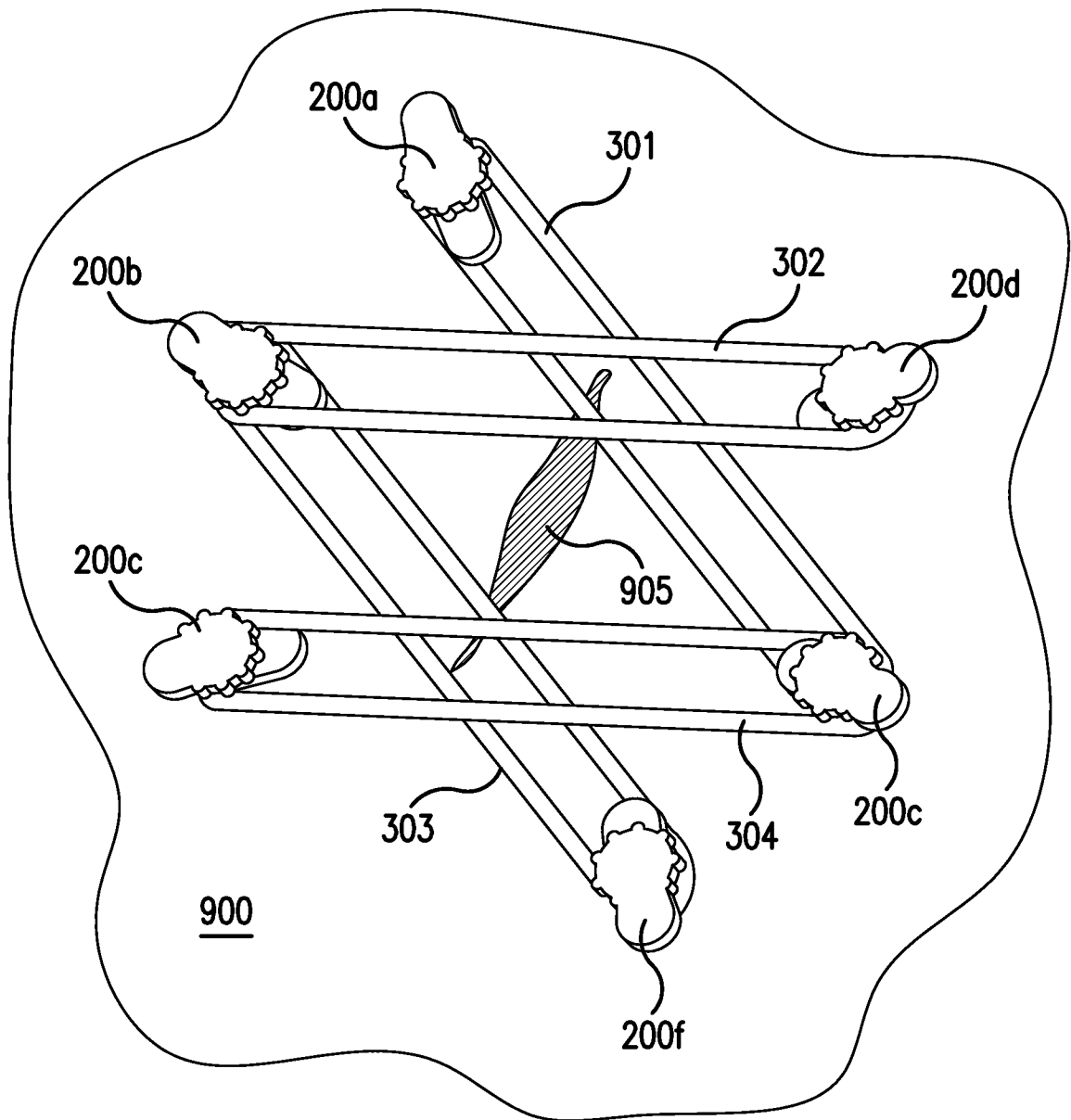


FIG. 10A

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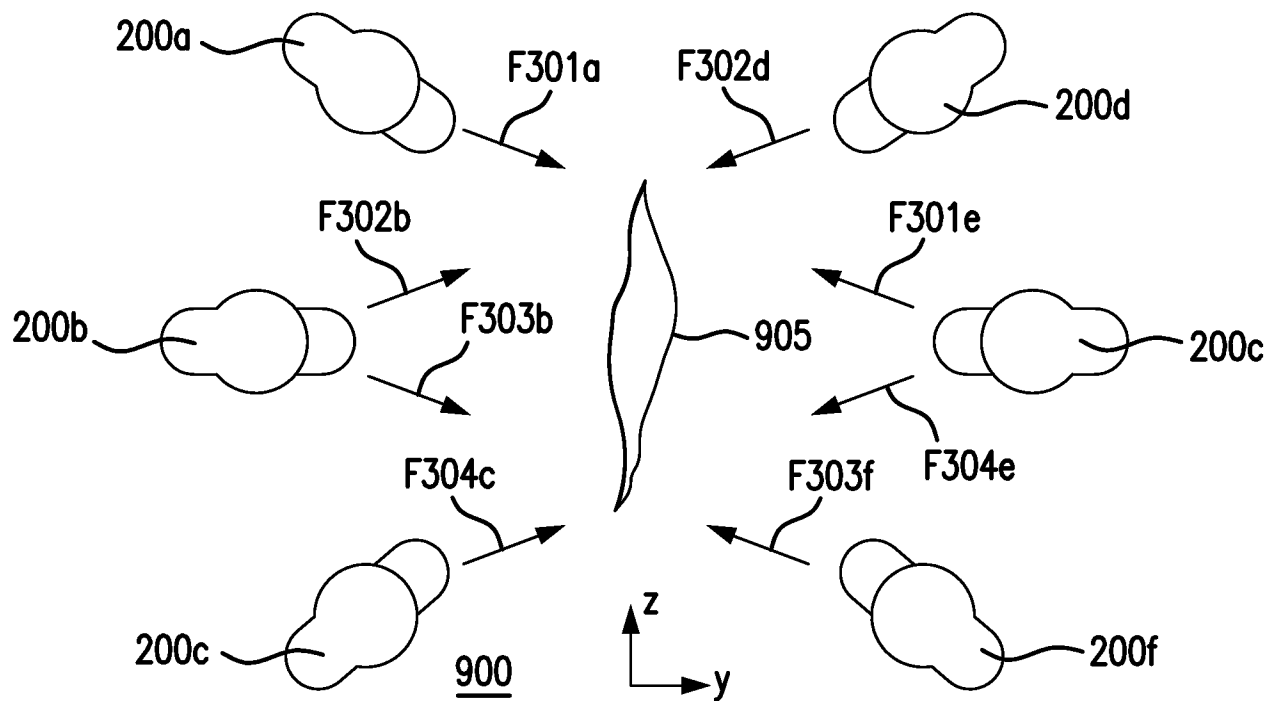


FIG. 10B

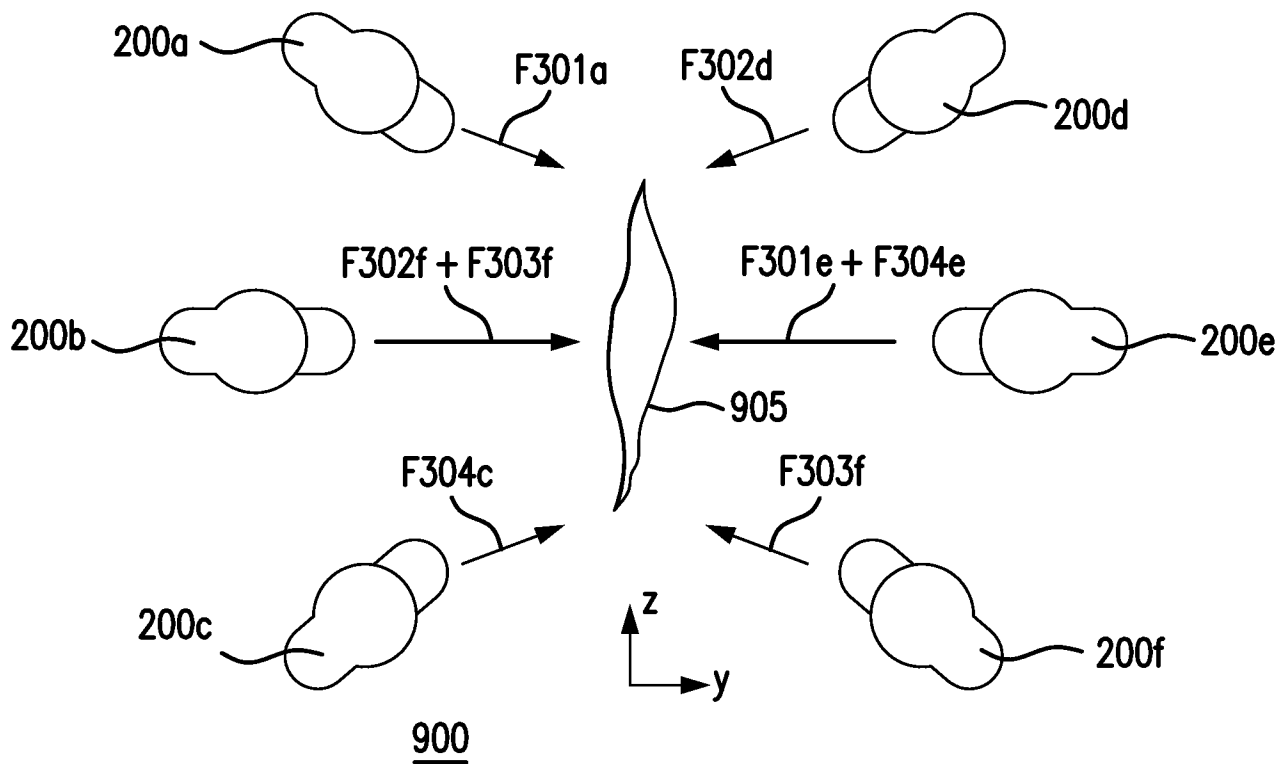


FIG. 10C

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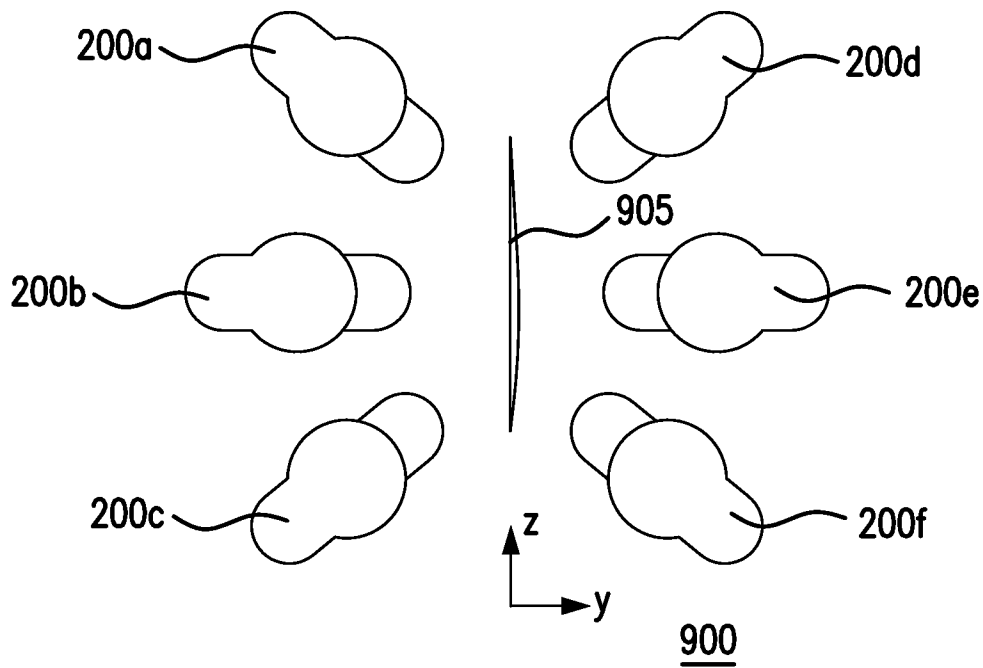


FIG. 10D

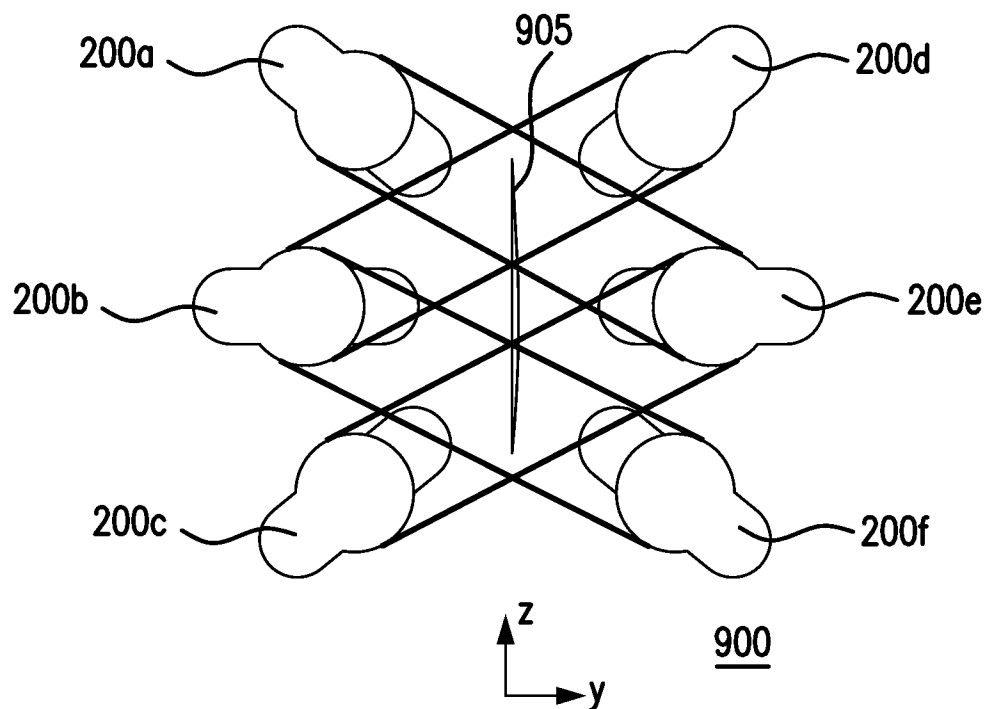


FIG. 10E

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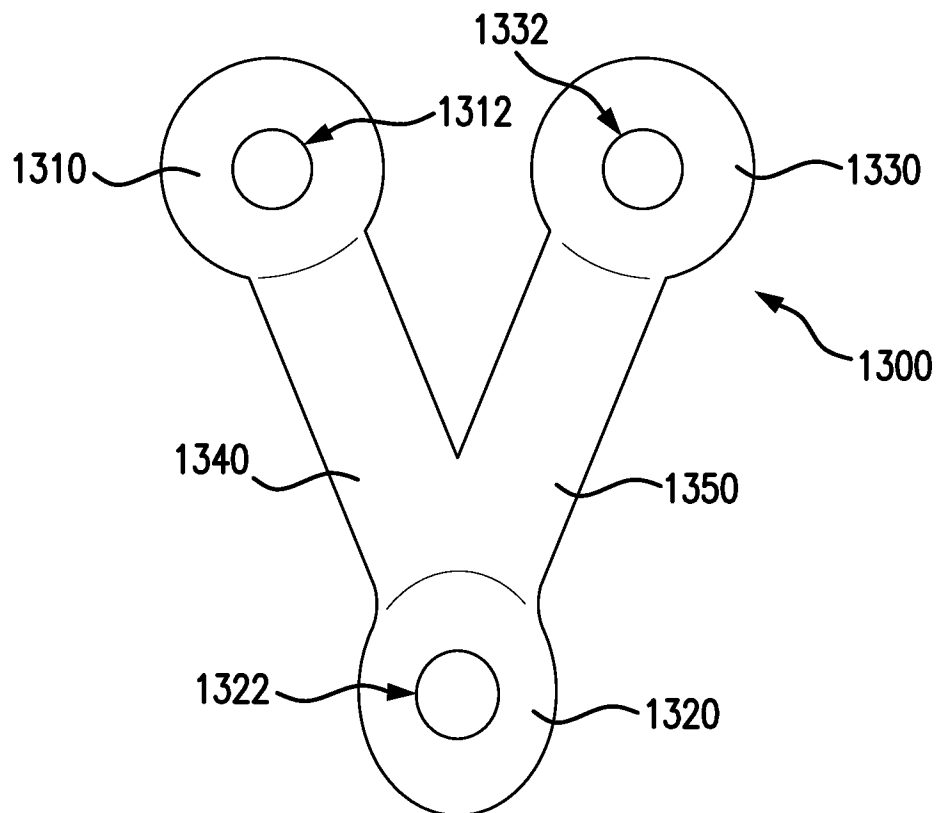


FIG. 11

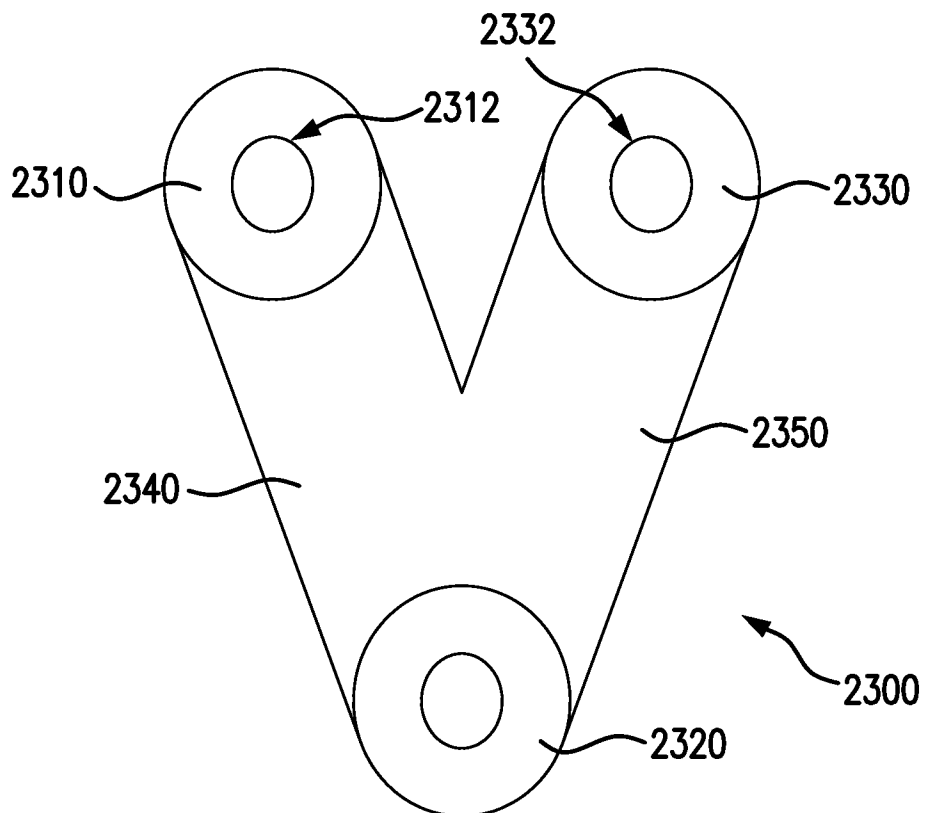


FIG. 12

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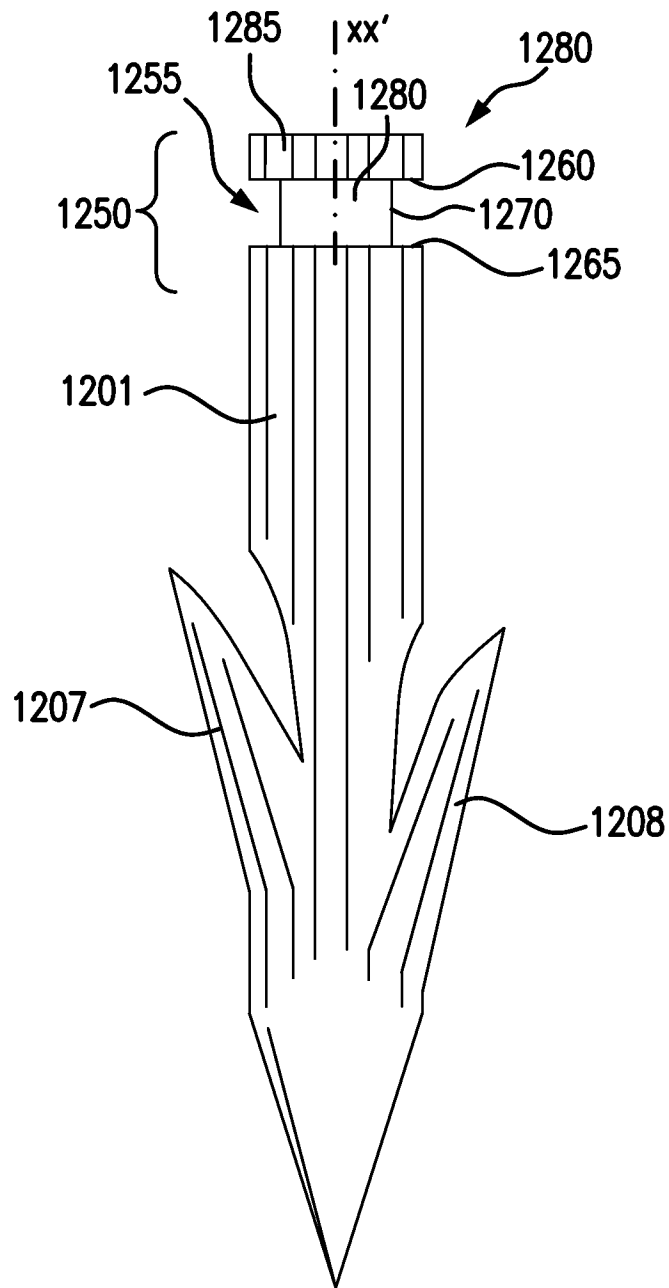


FIG. 13

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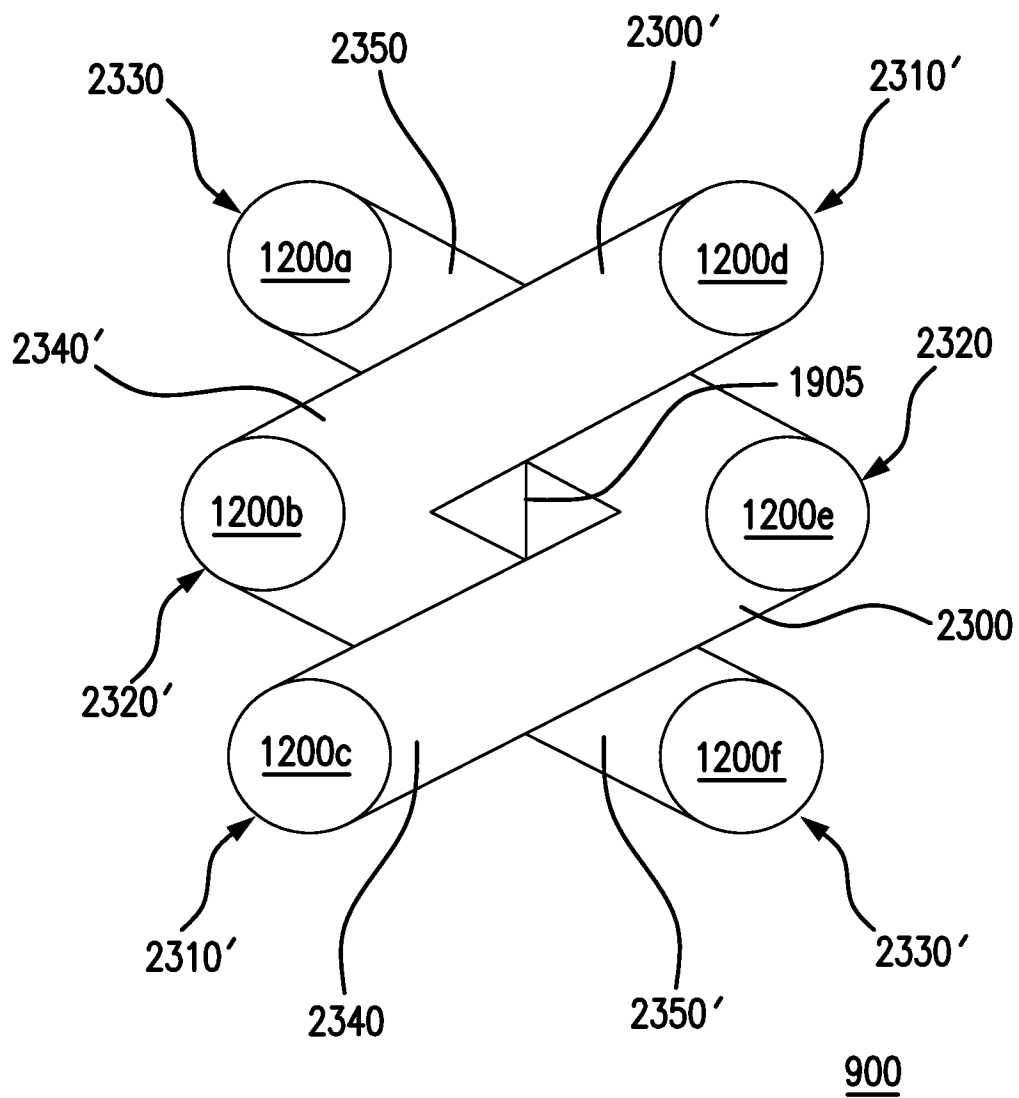


FIG. 14

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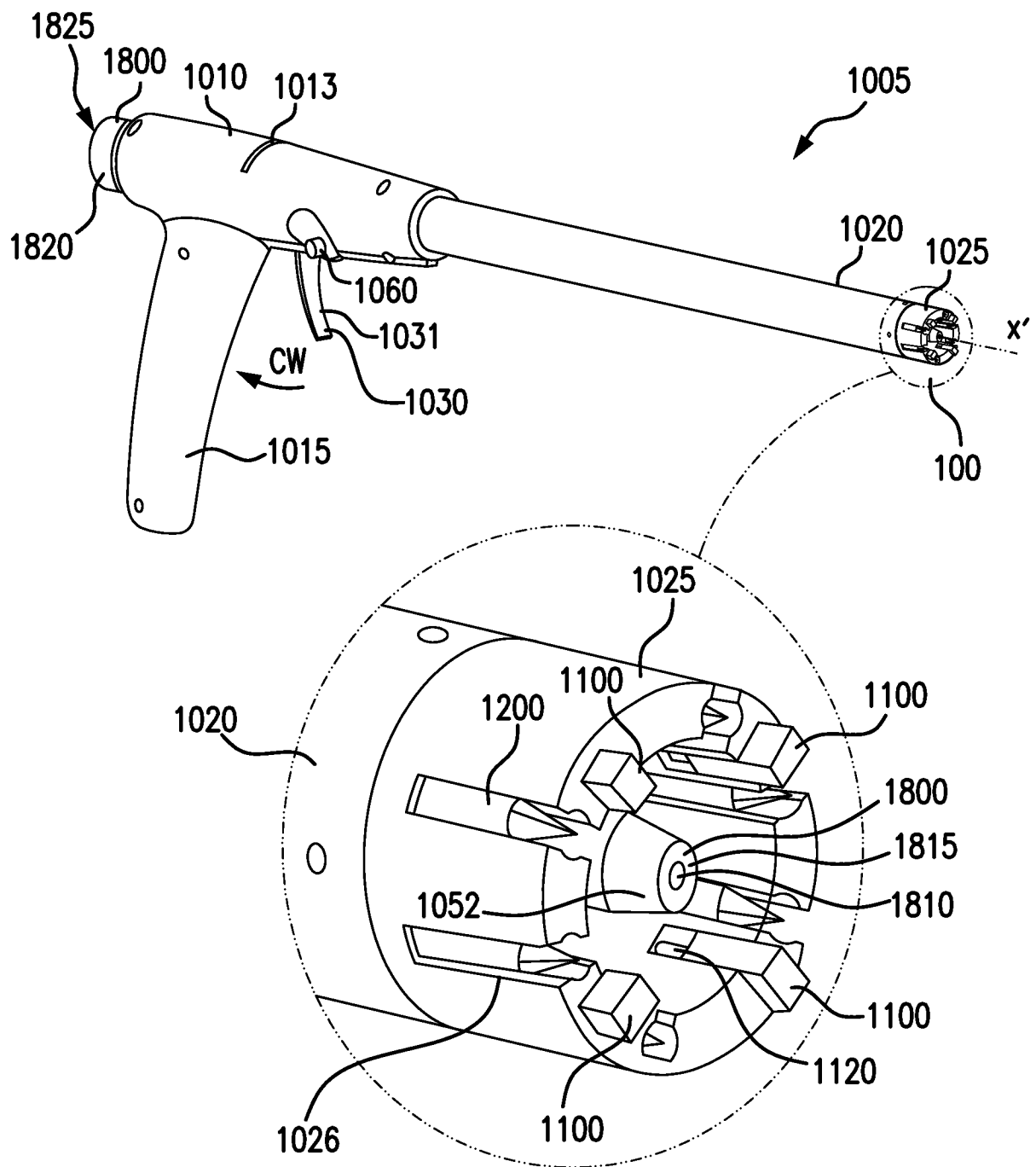


FIG. 15

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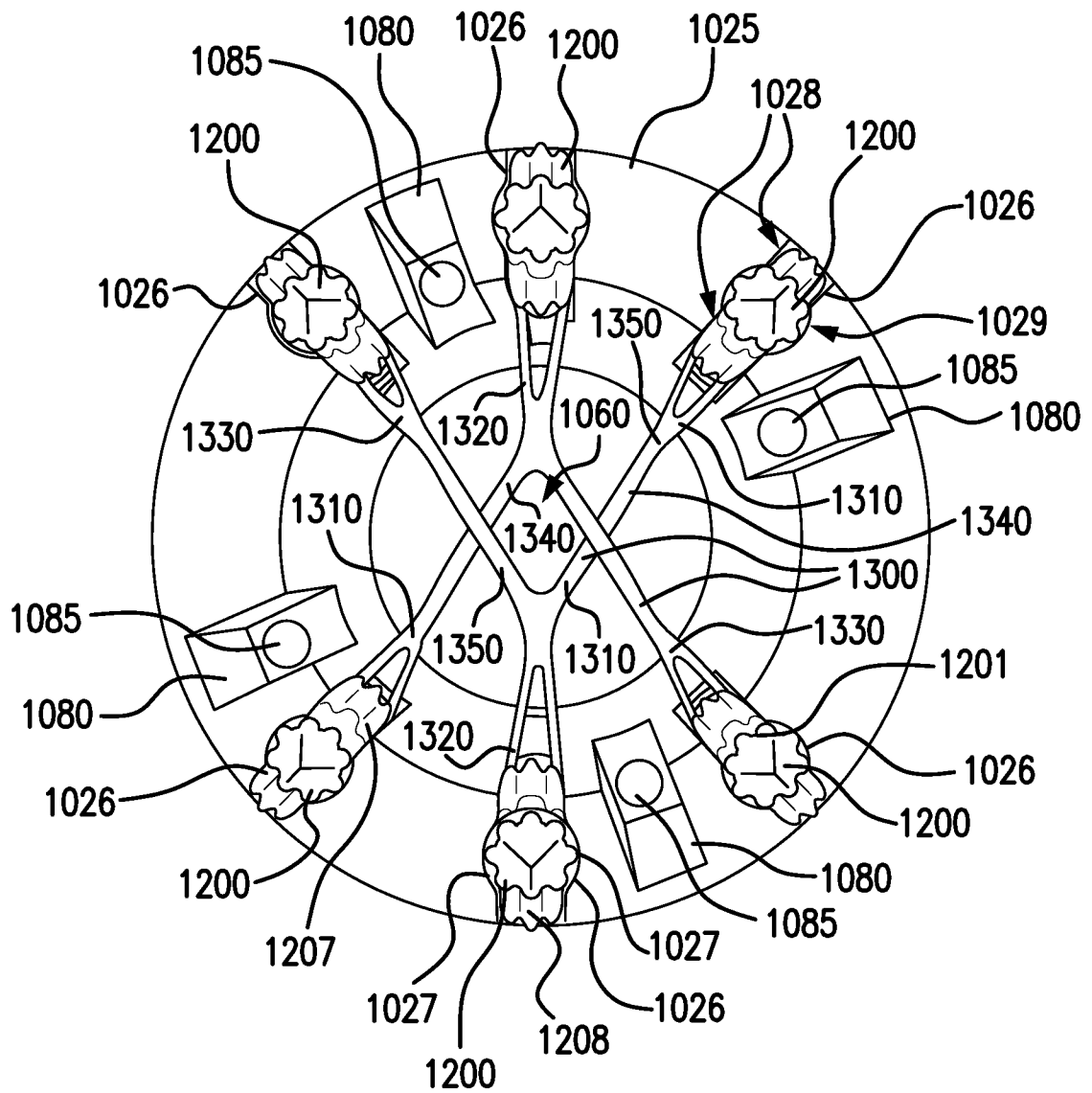


FIG. 16

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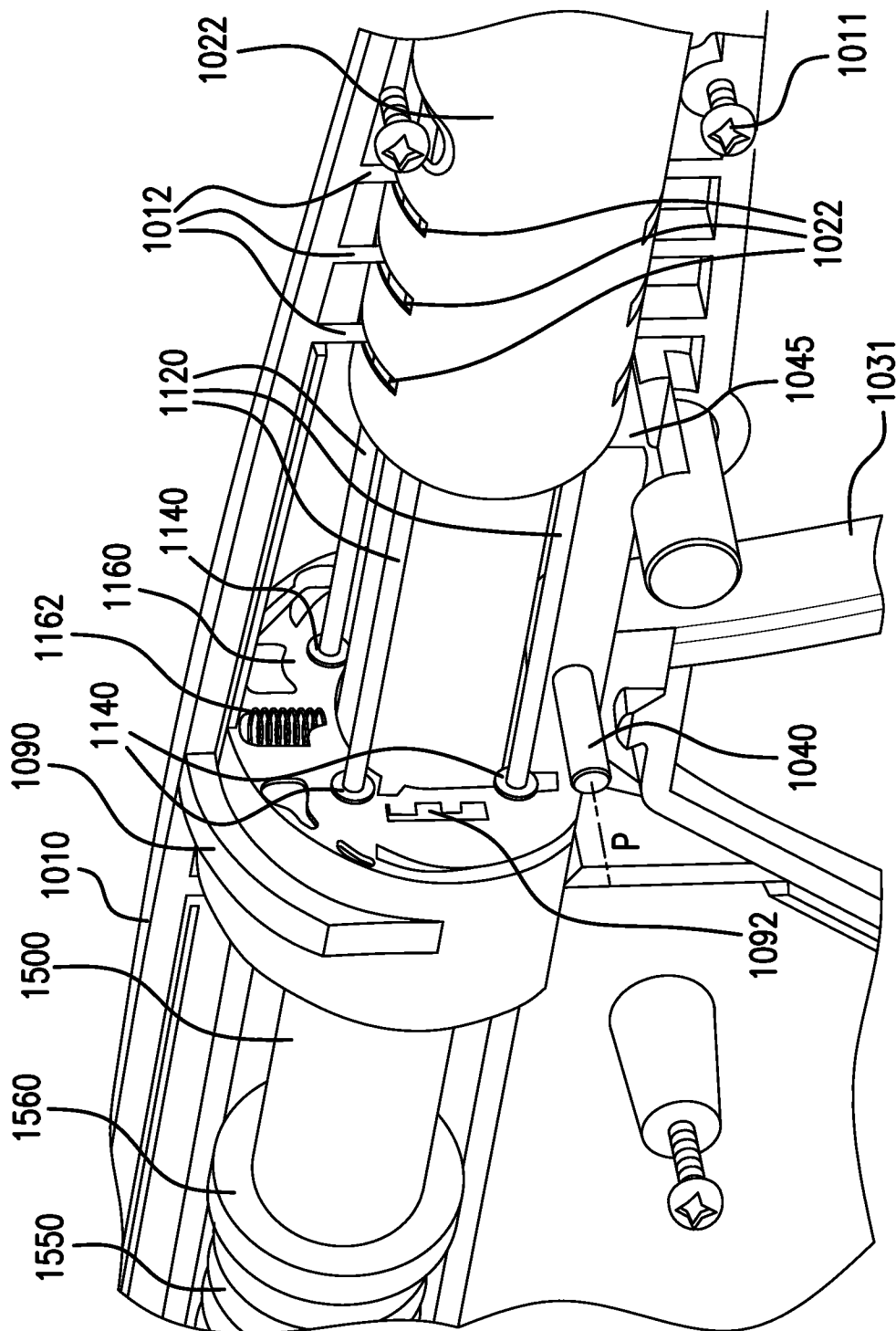


FIG. 17A

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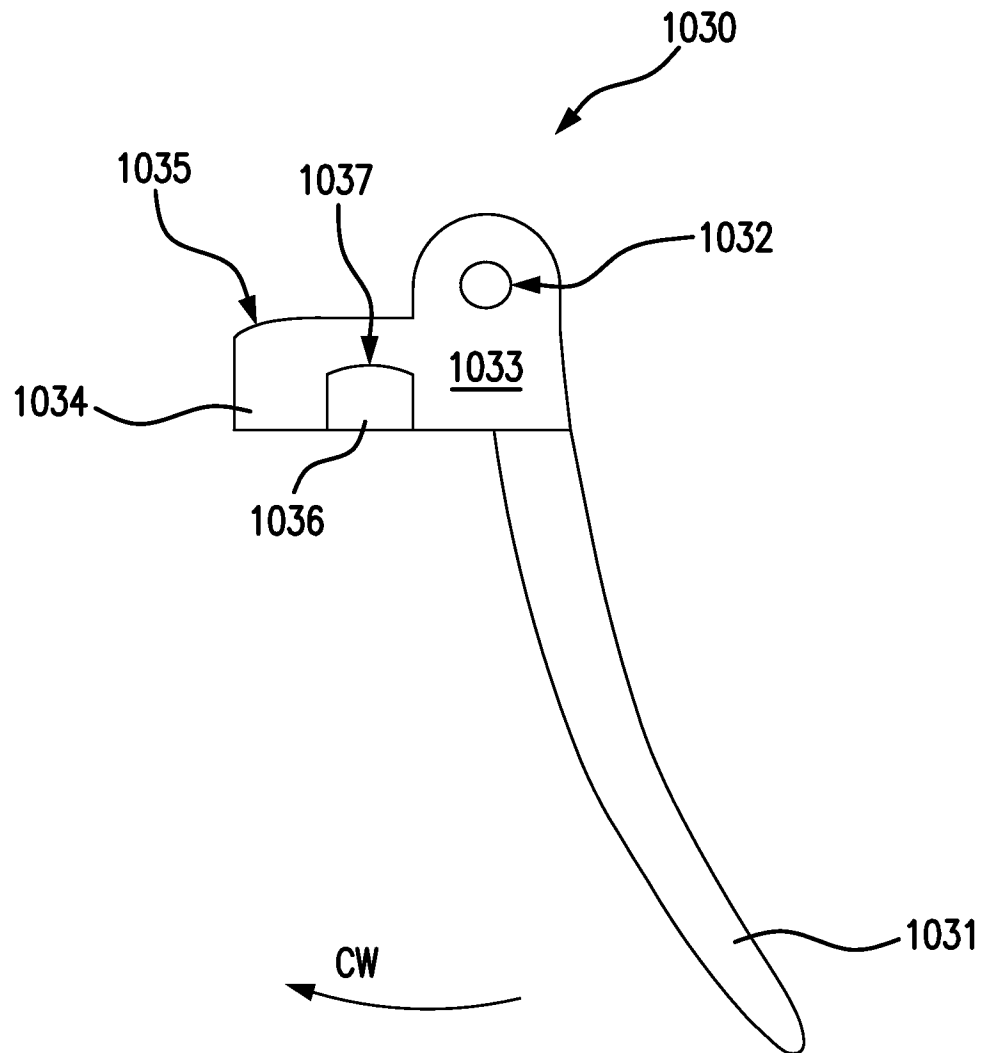


FIG. 17B

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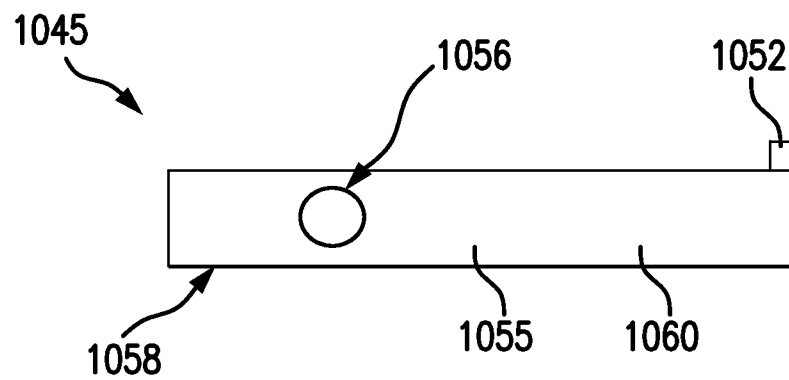


FIG. 17C

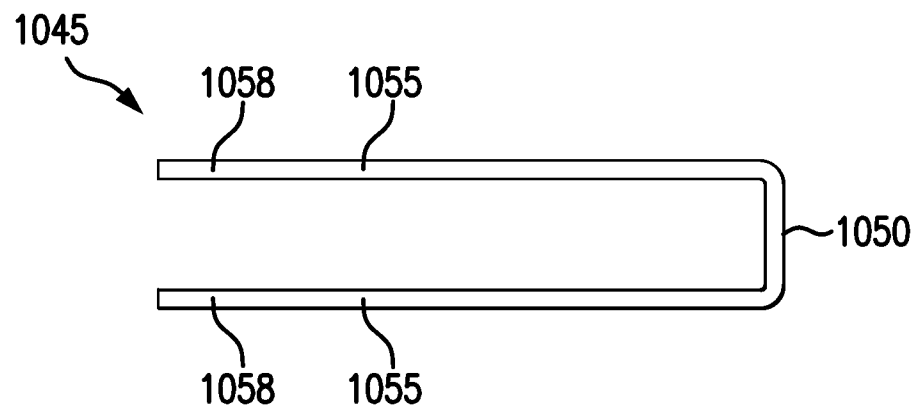


FIG. 17D

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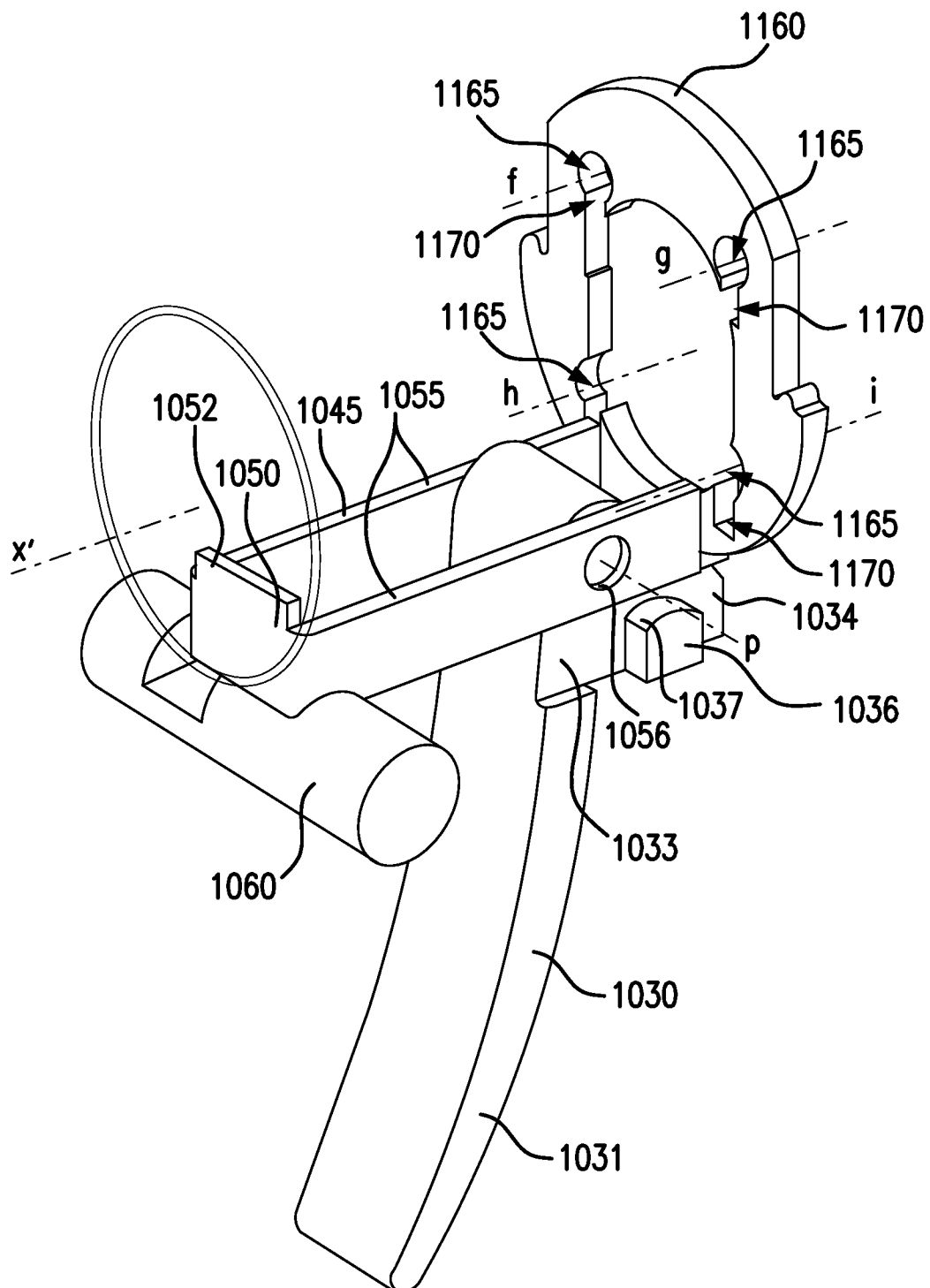


FIG. 18A

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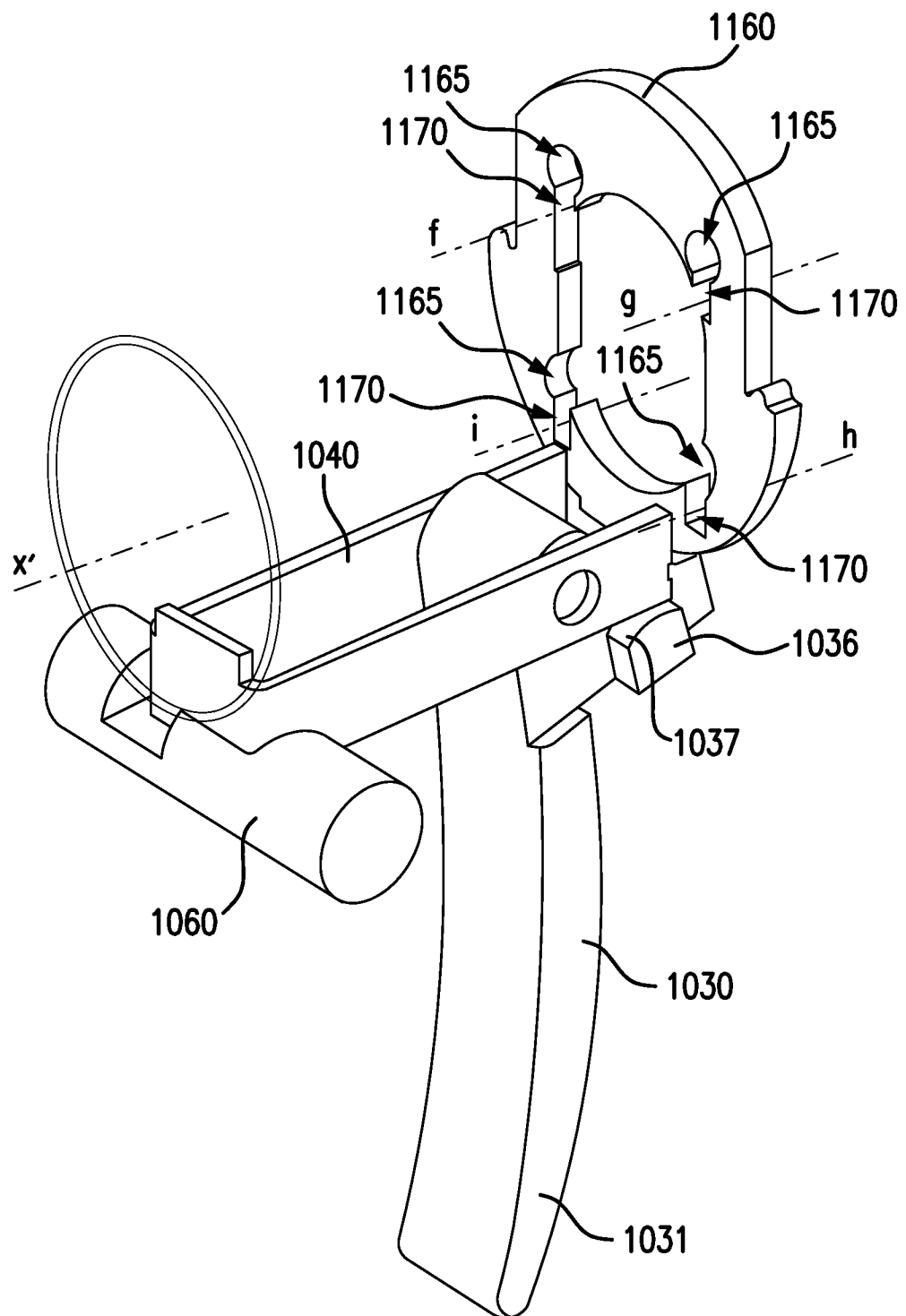


FIG. 18B

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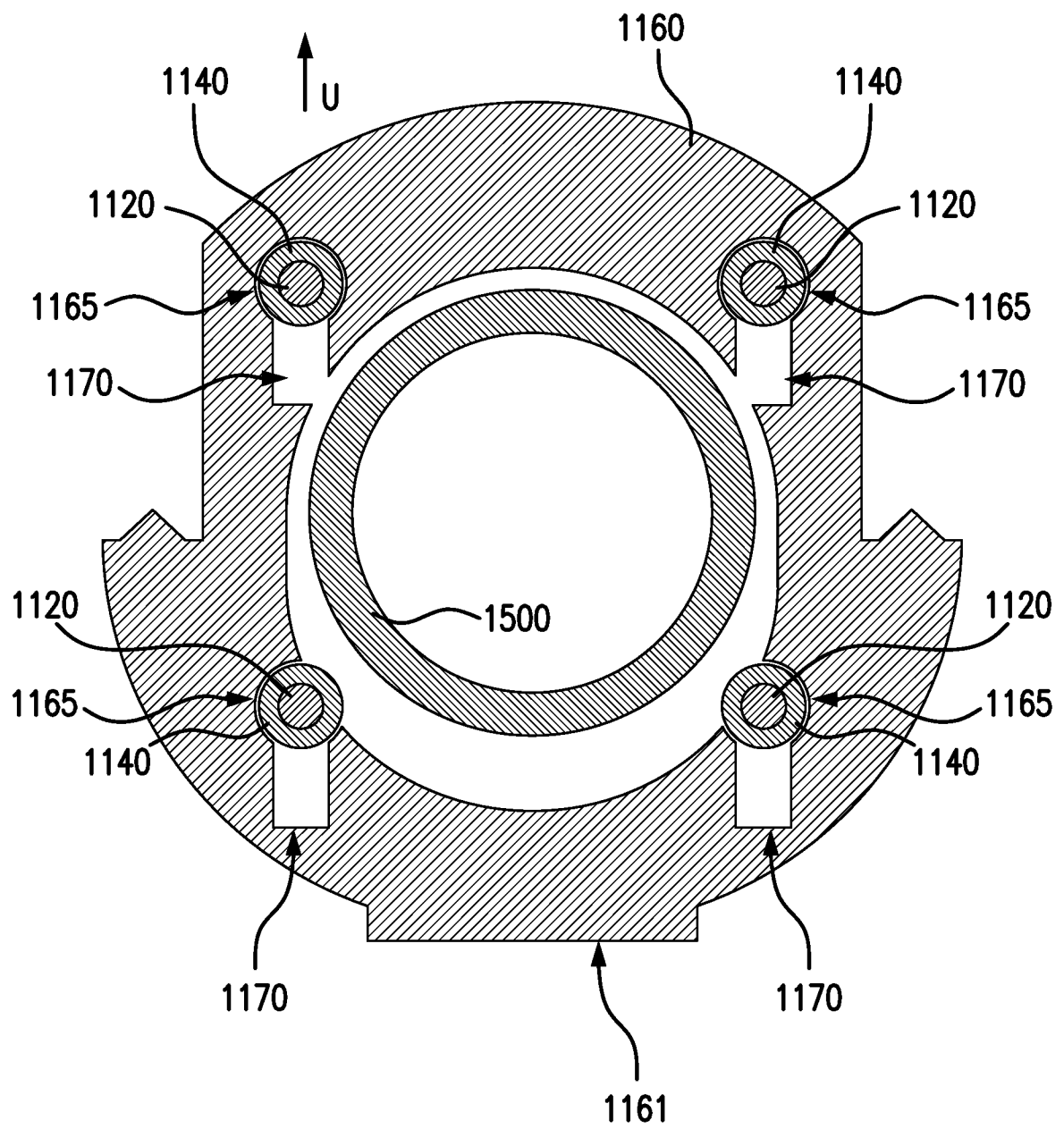


FIG. 18C

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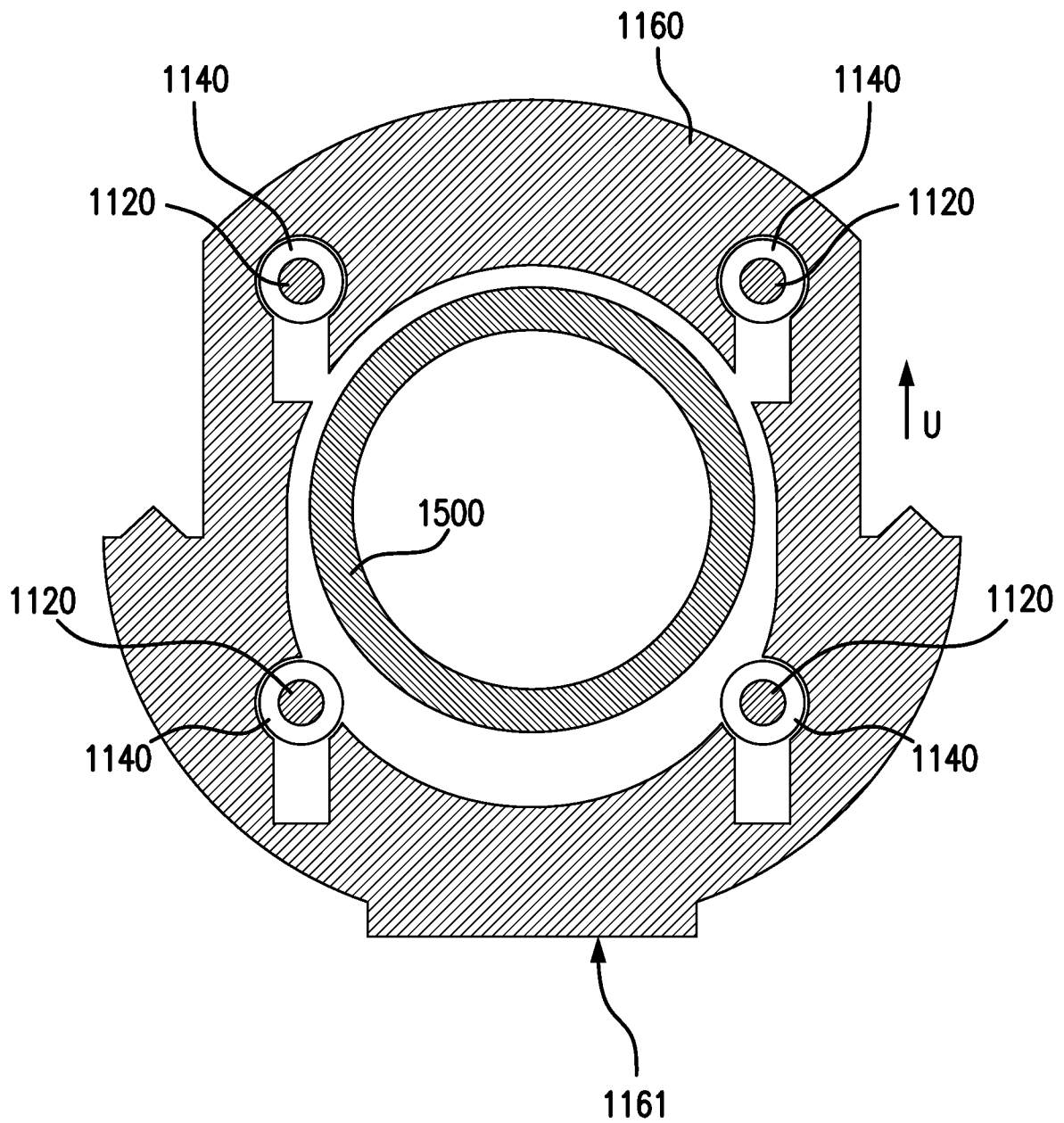


FIG. 18D

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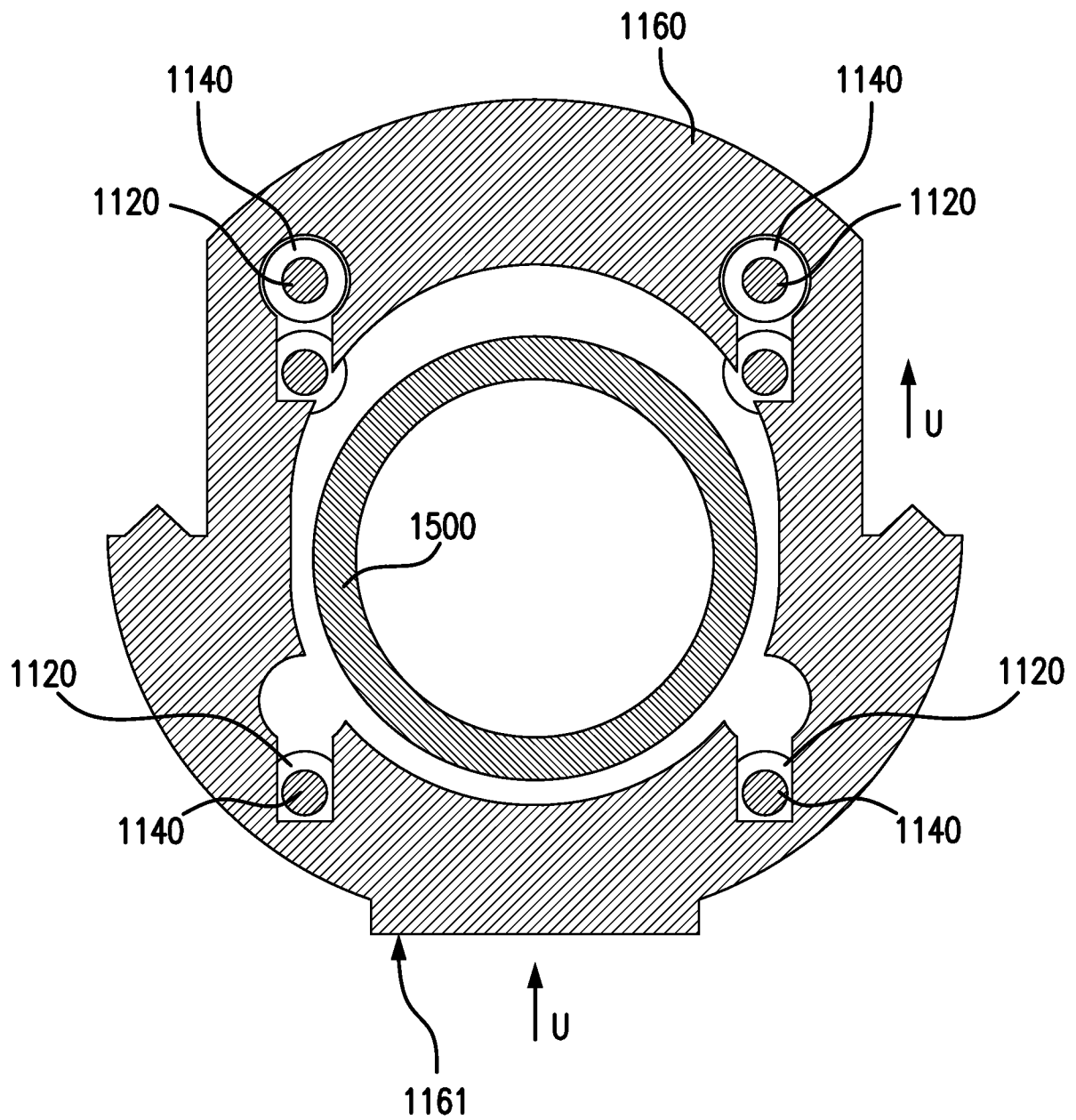


FIG. 18E

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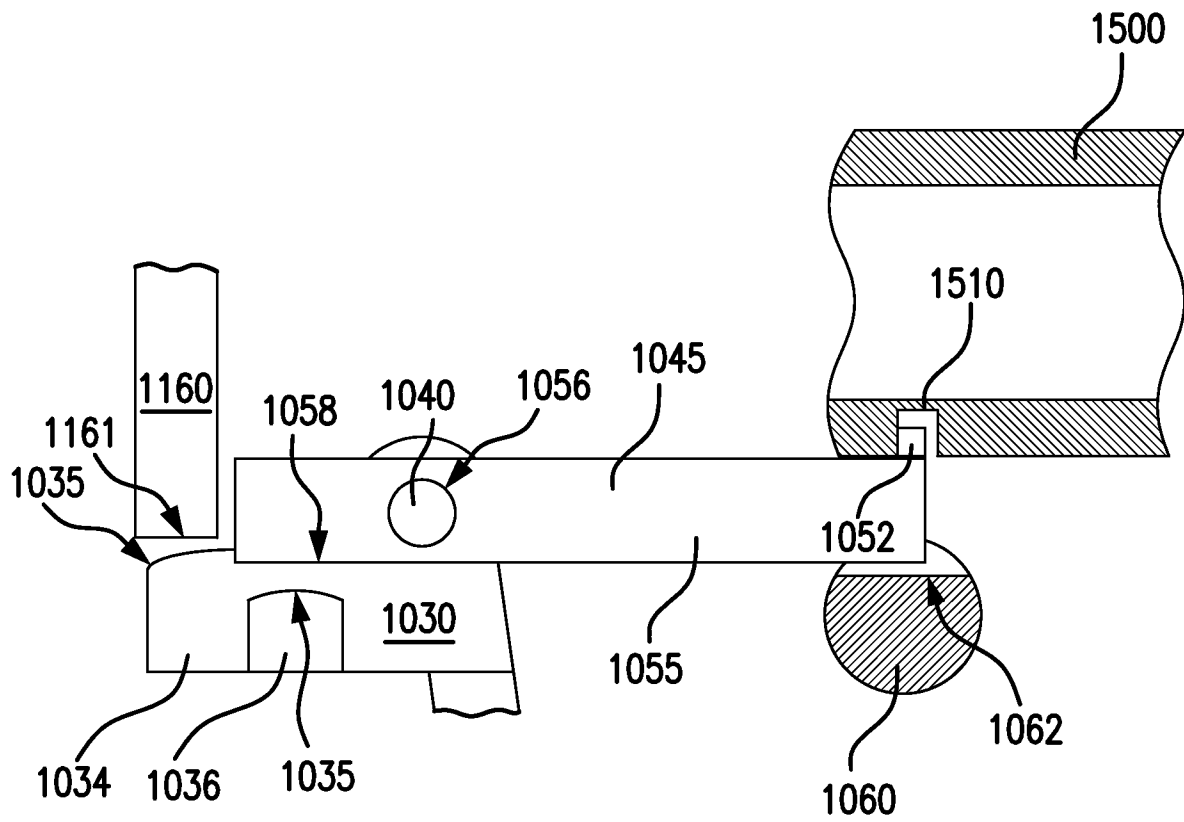


FIG. 19A

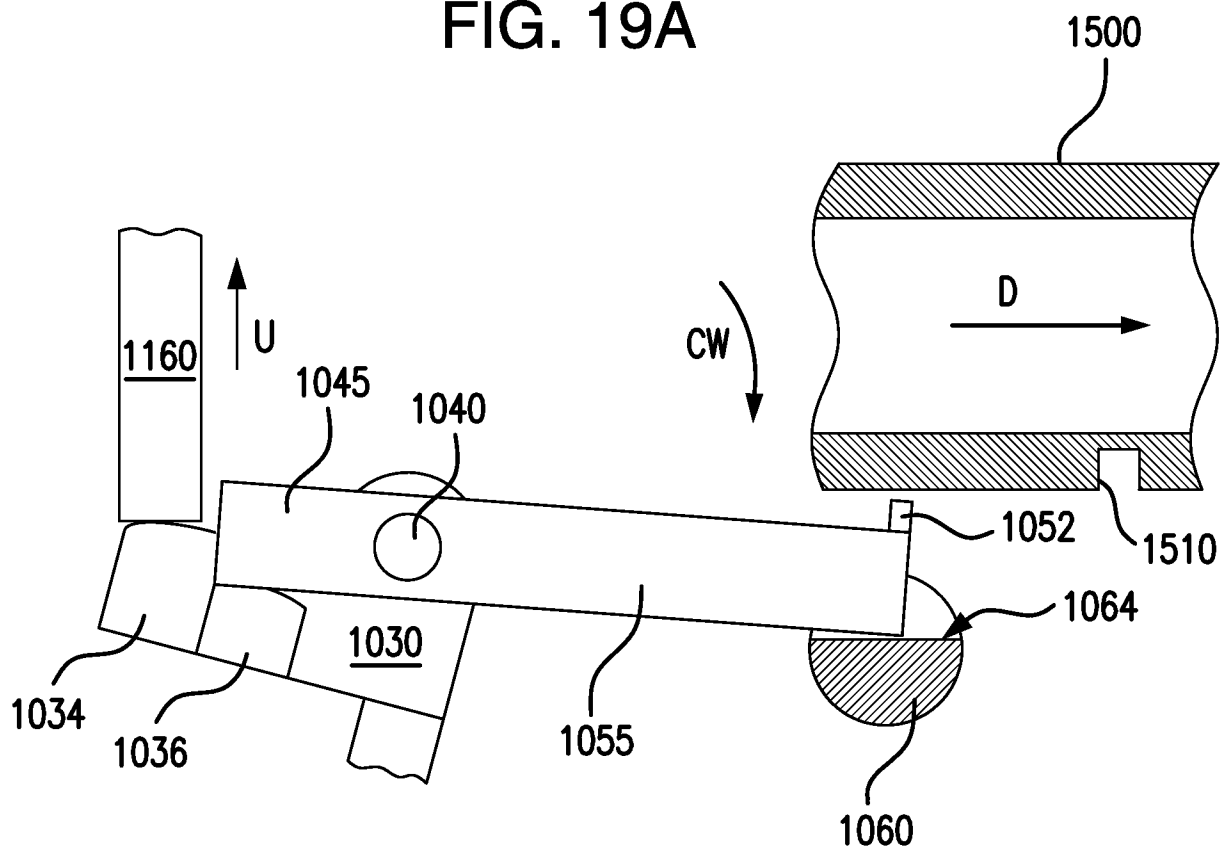


FIG. 19B

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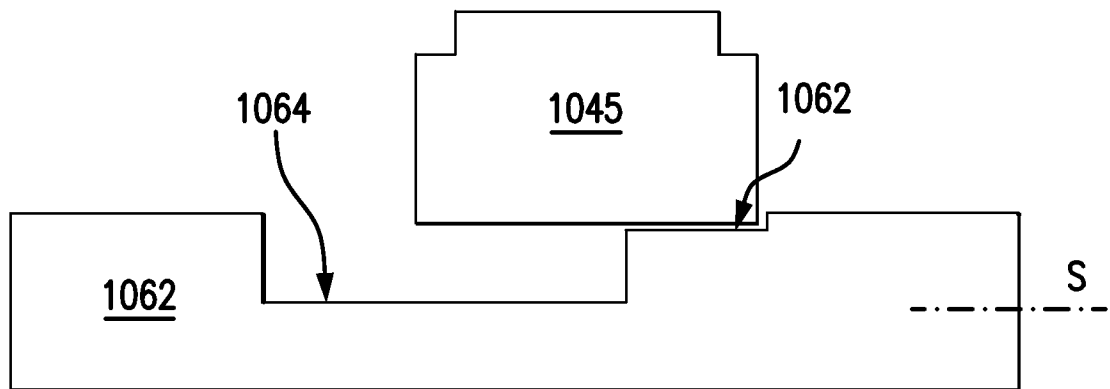


FIG. 19C

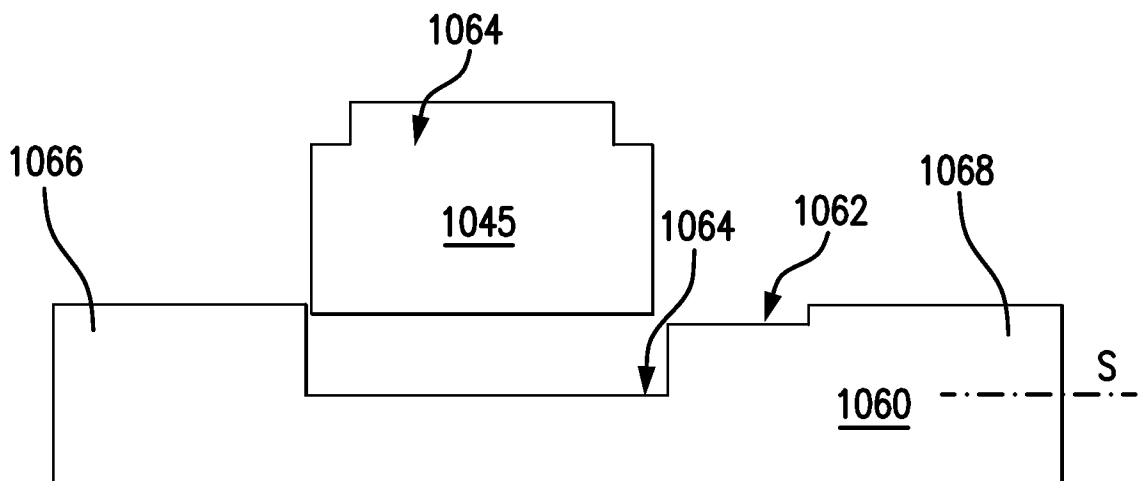


FIG. 19D

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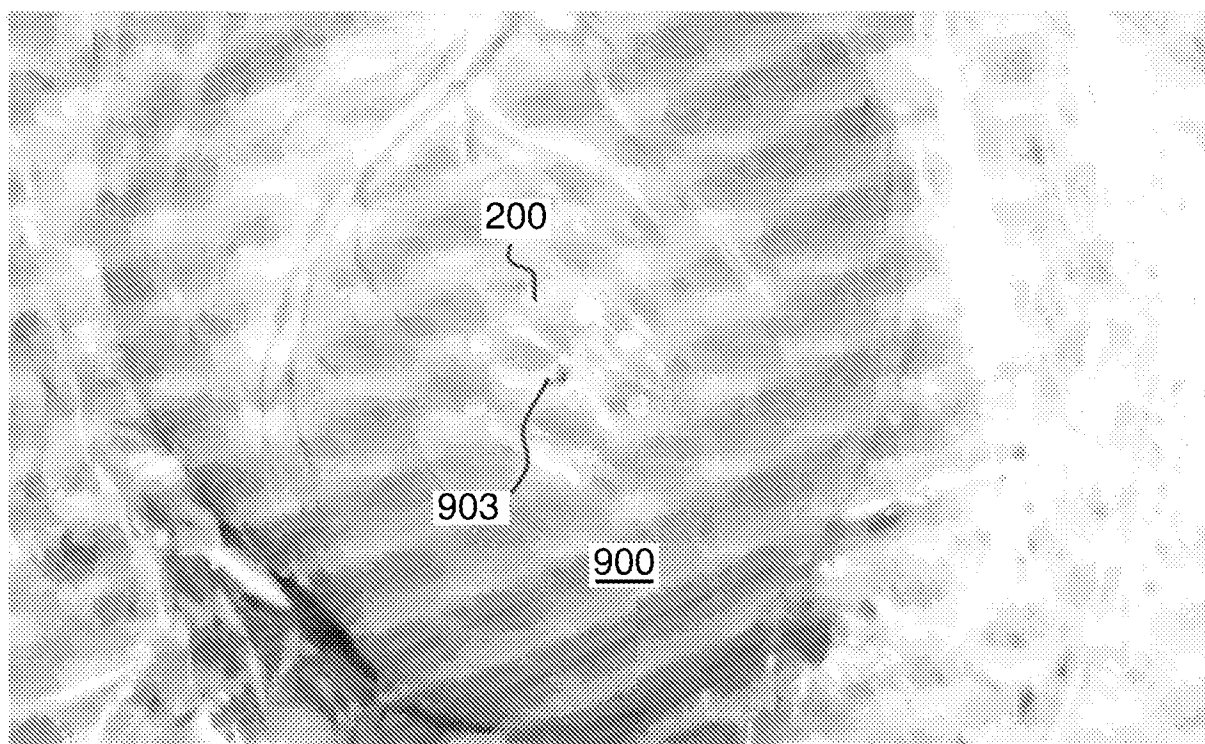


FIG. 20A

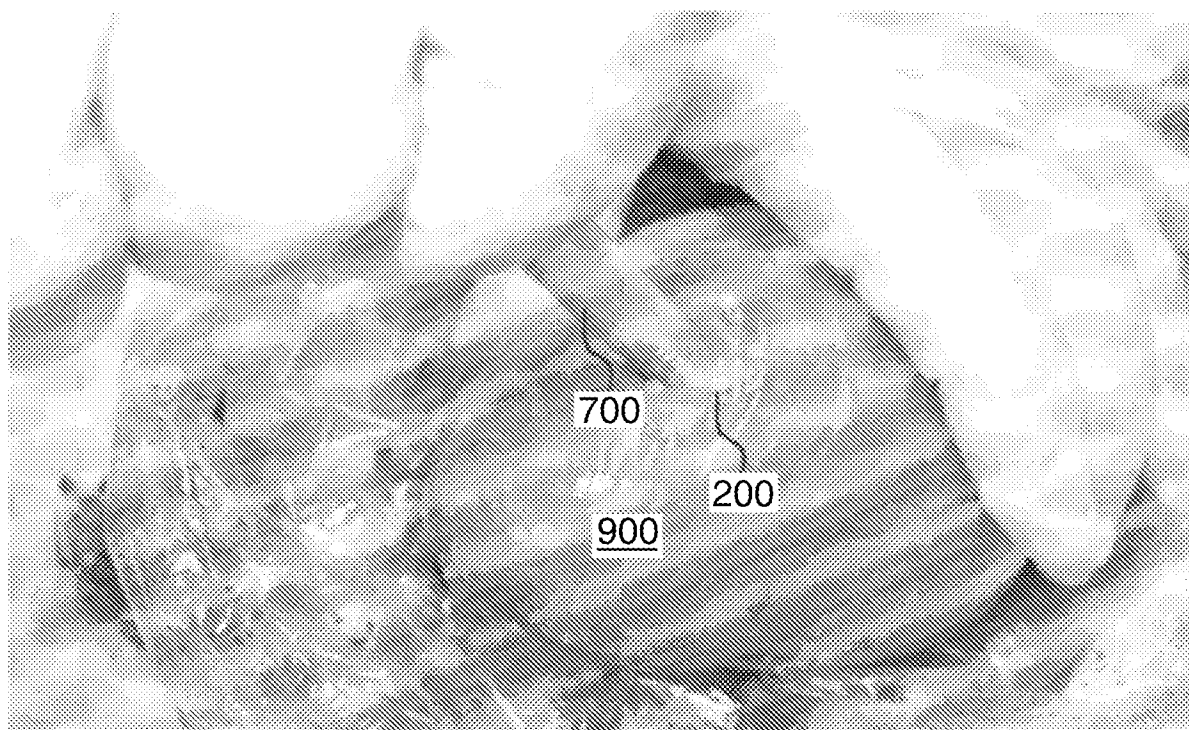


FIG. 20B

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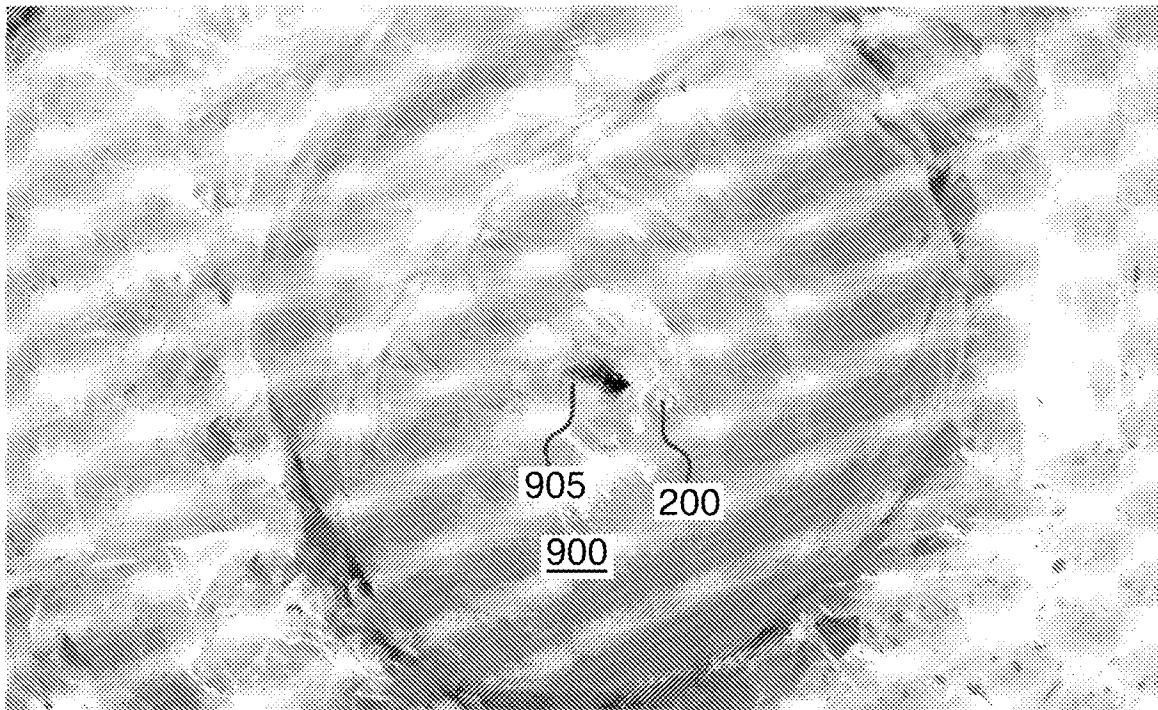


FIG. 20C

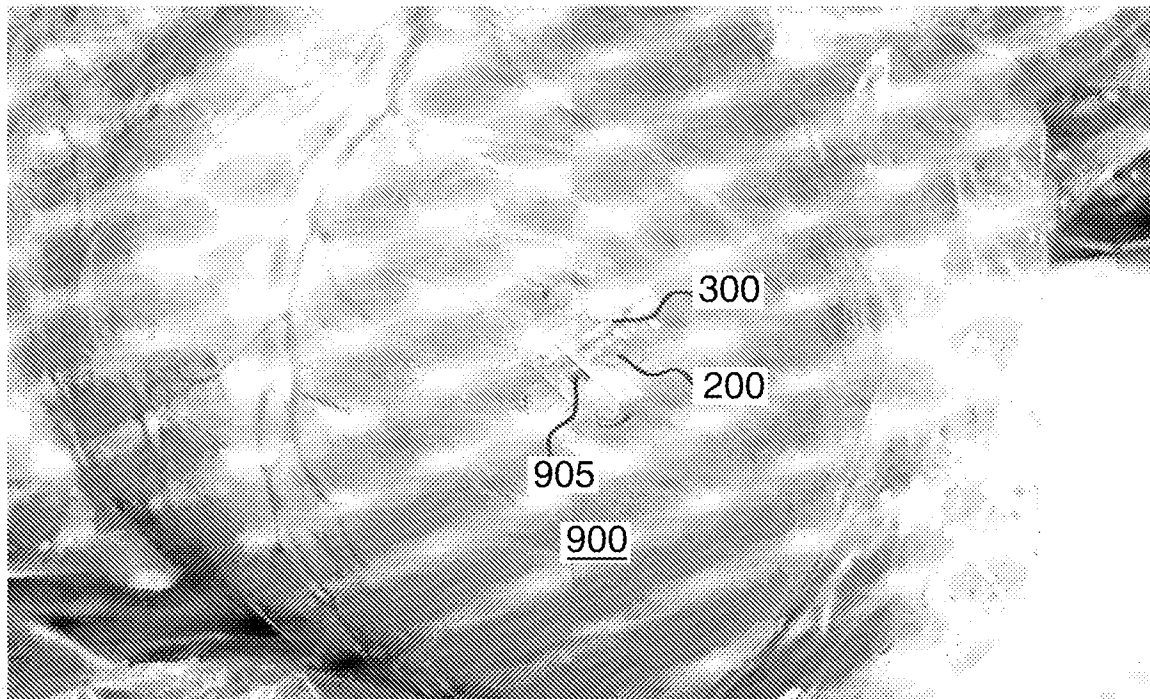


FIG. 20D

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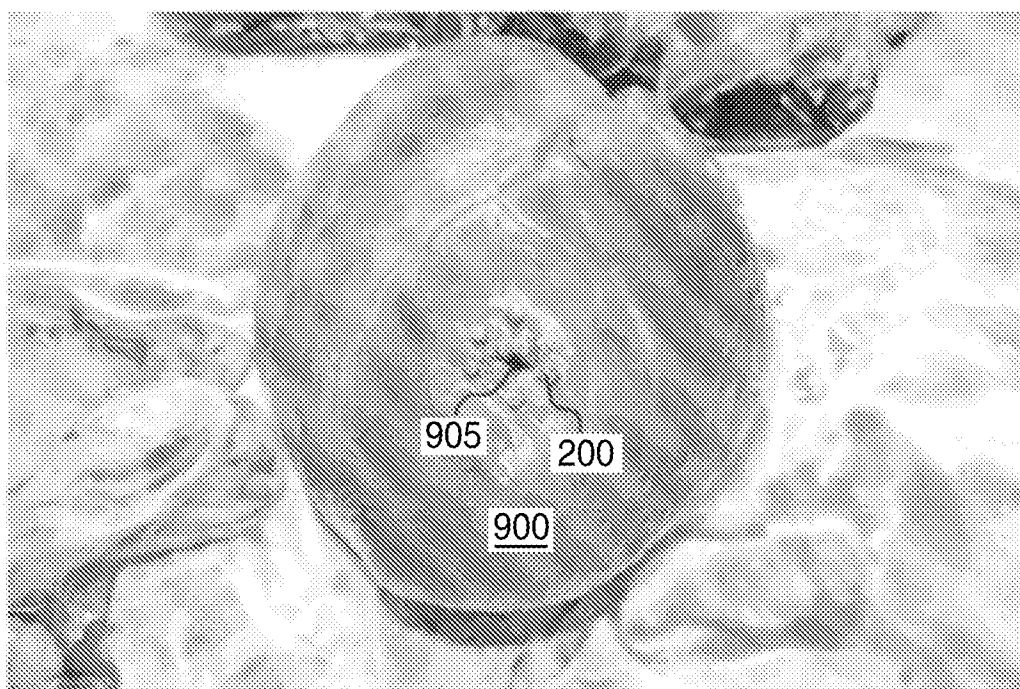
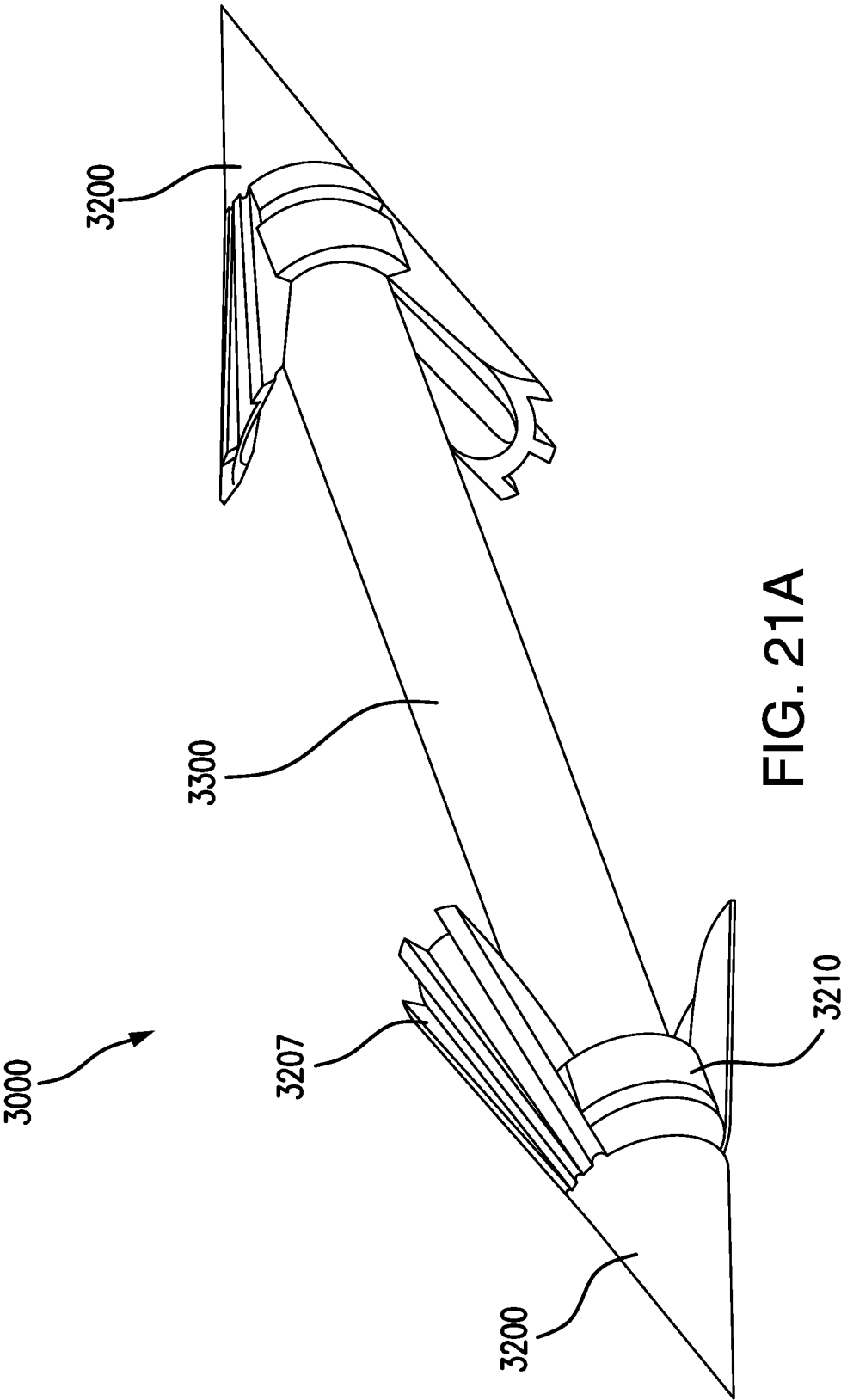


FIG. 20E

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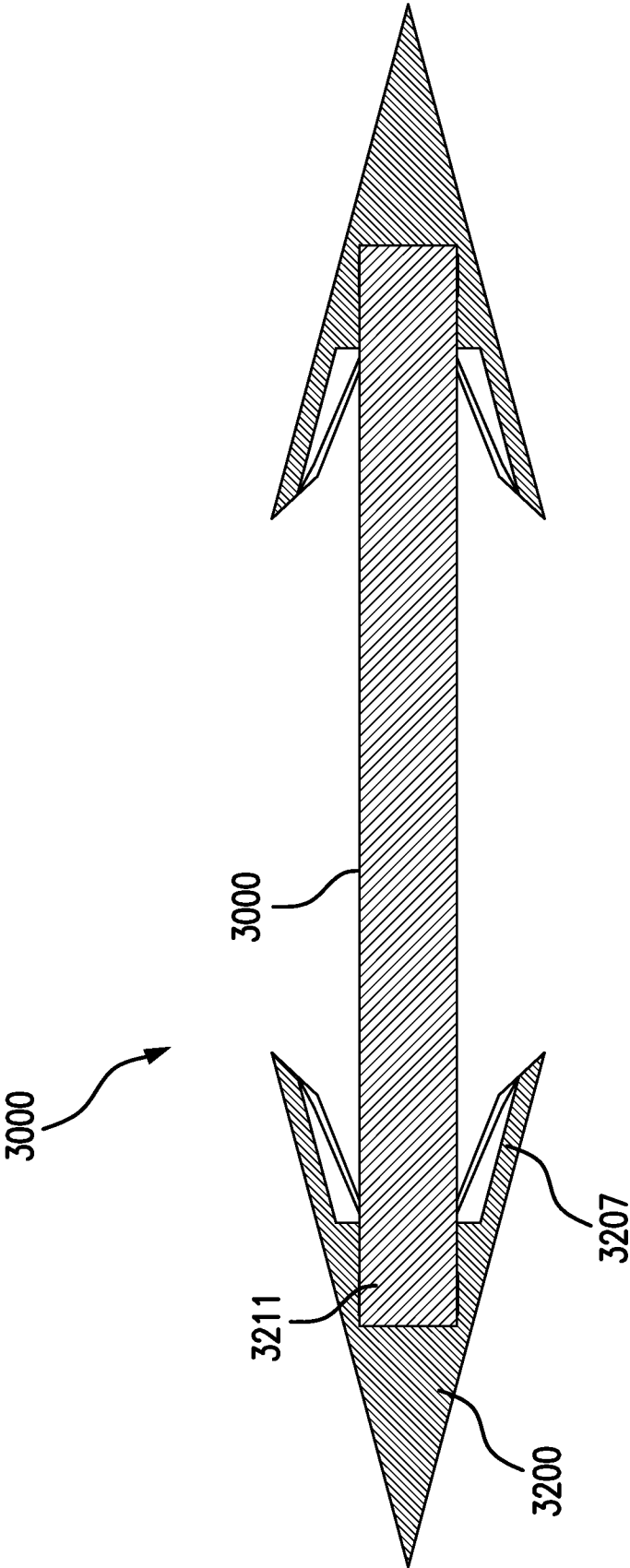


FIG. 21B

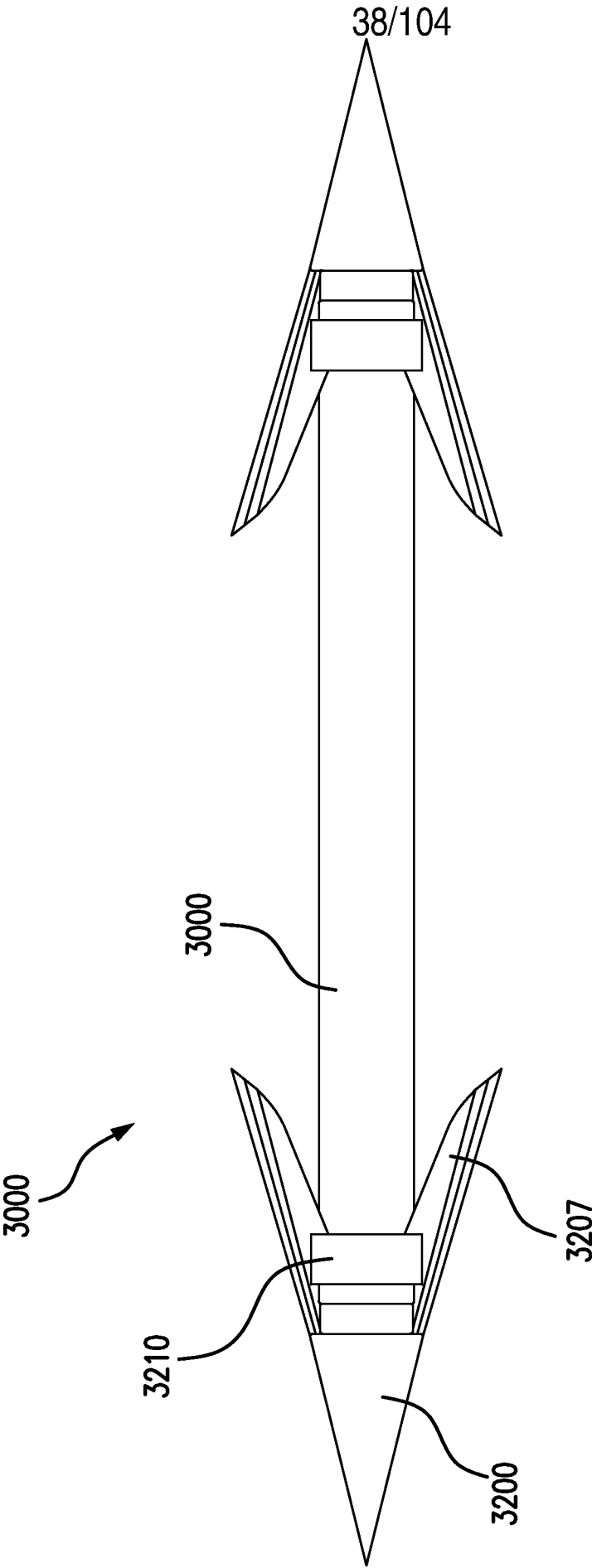


FIG. 21C

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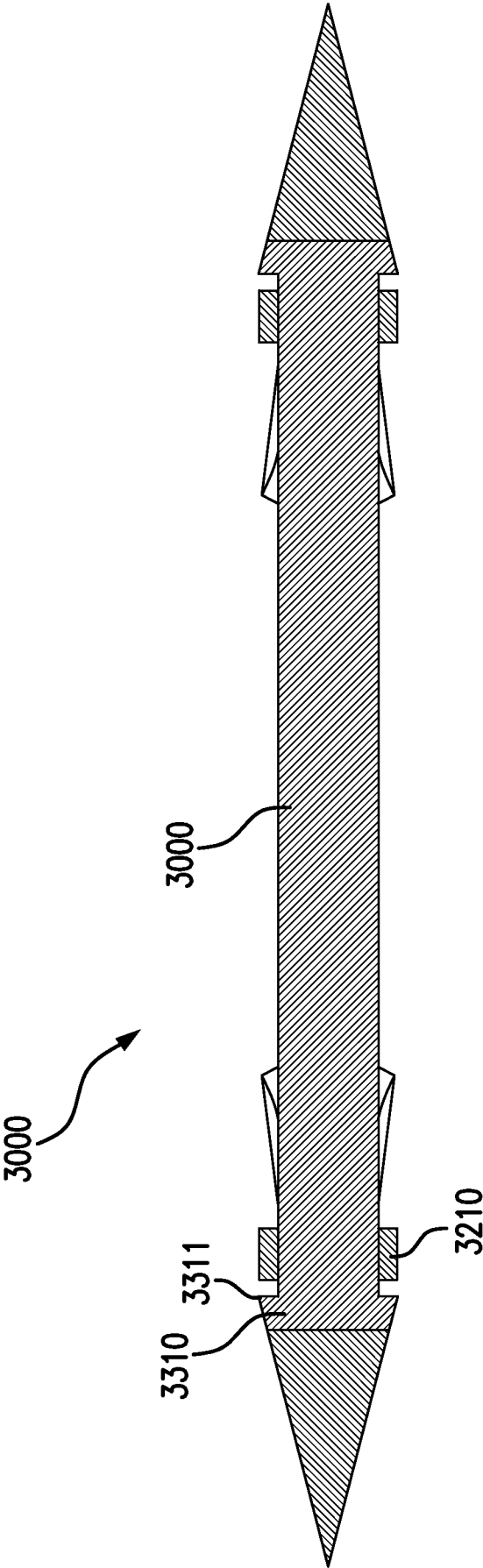


FIG. 21D

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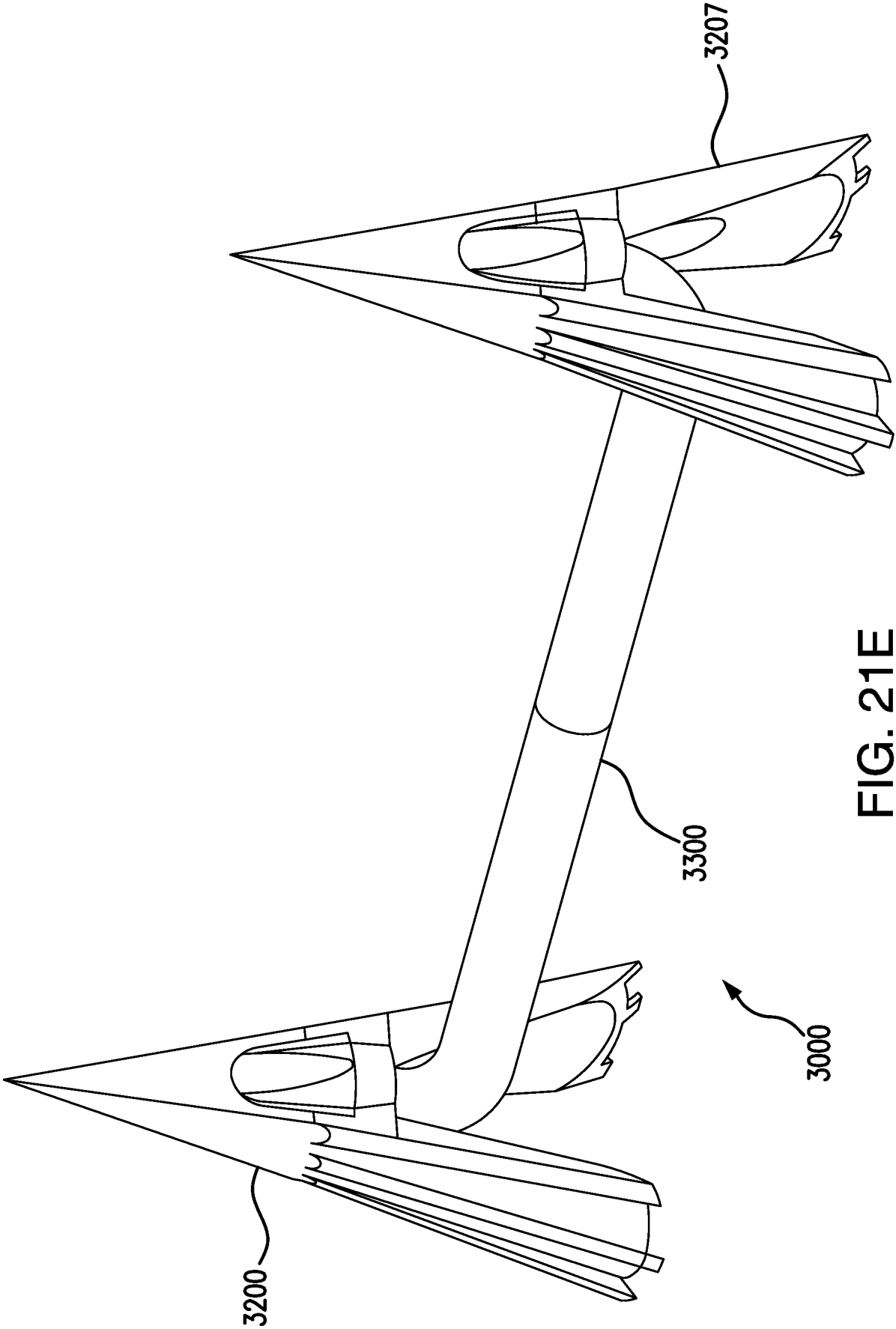


FIG. 21E

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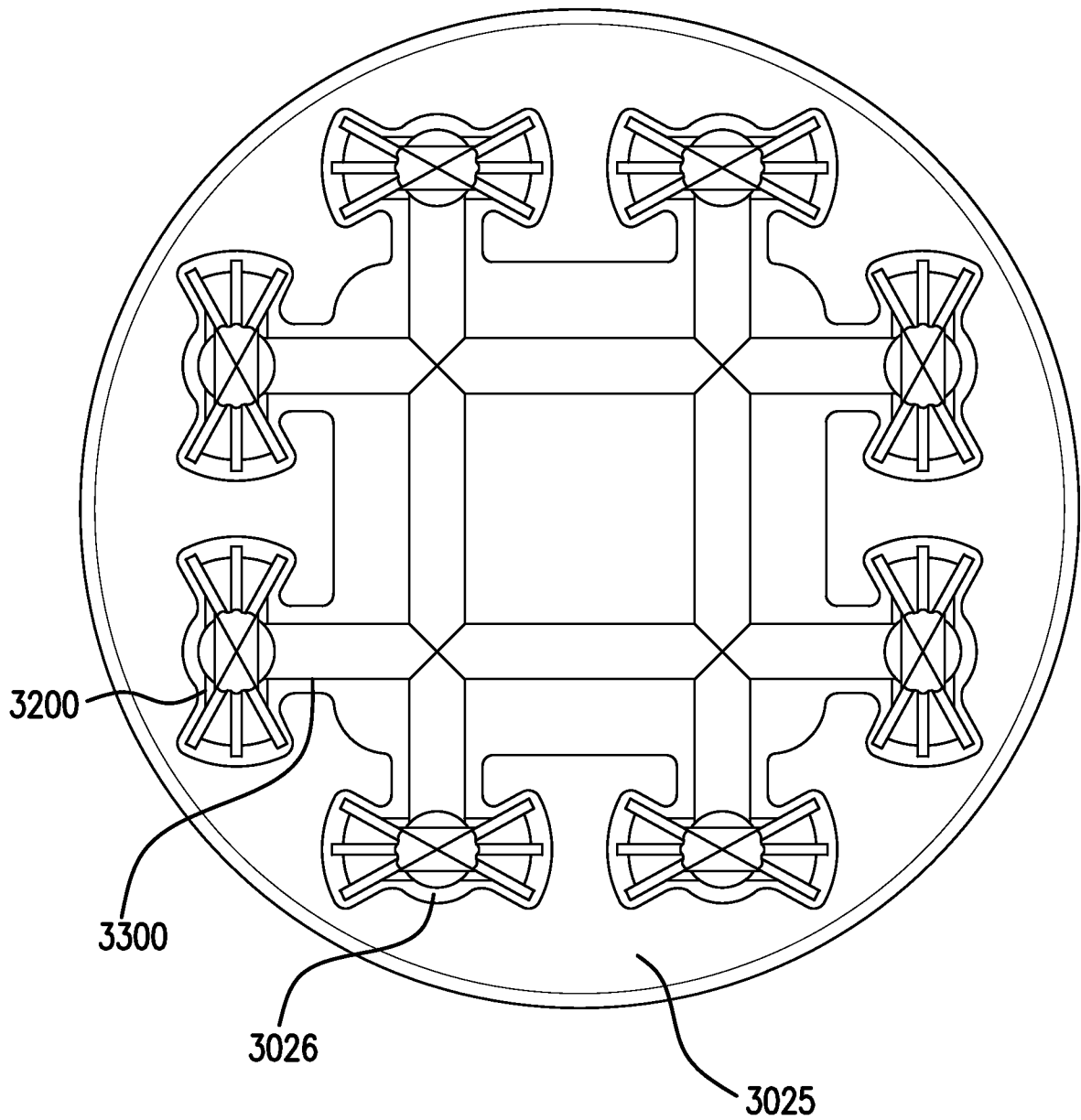


FIG. 22

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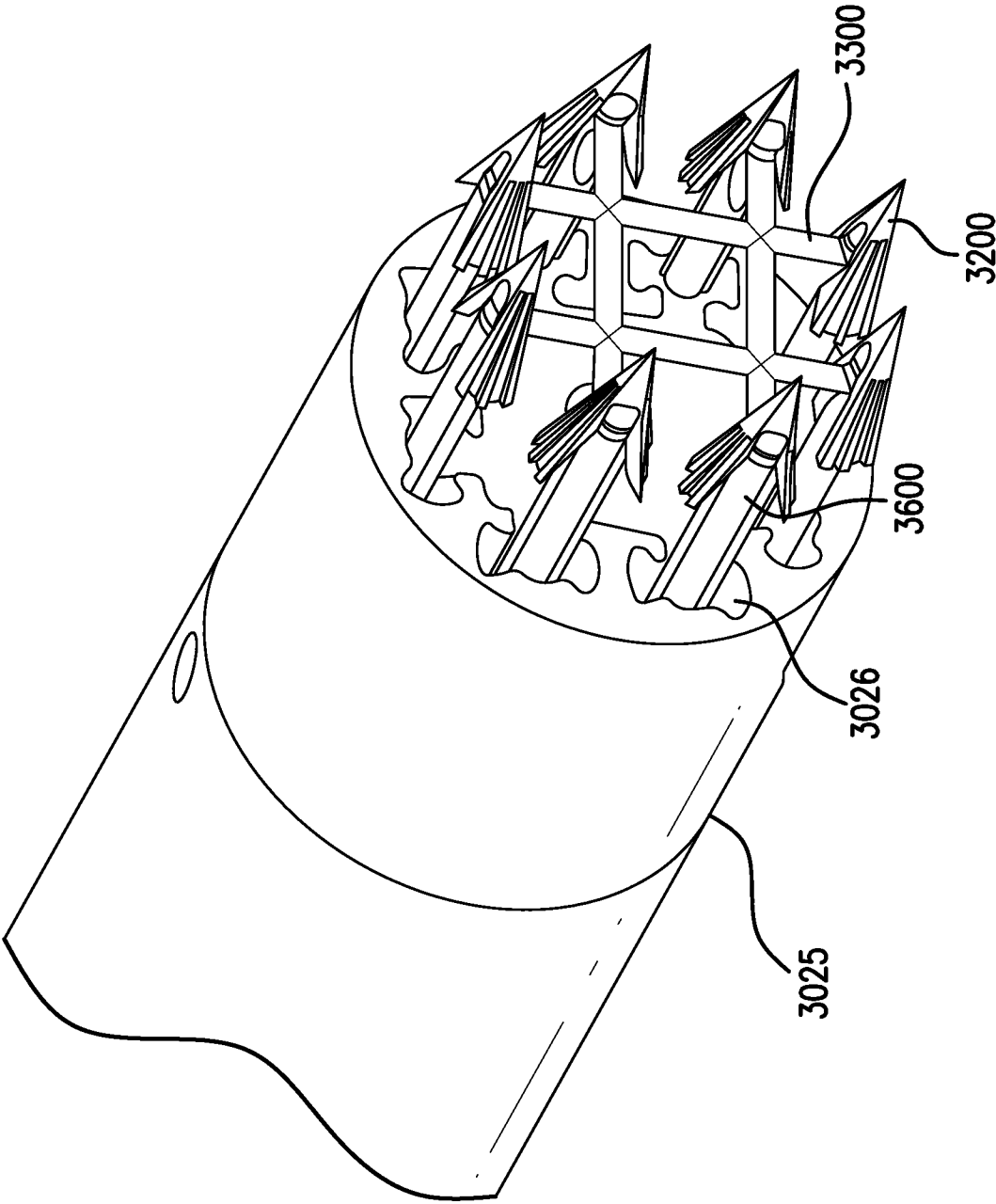
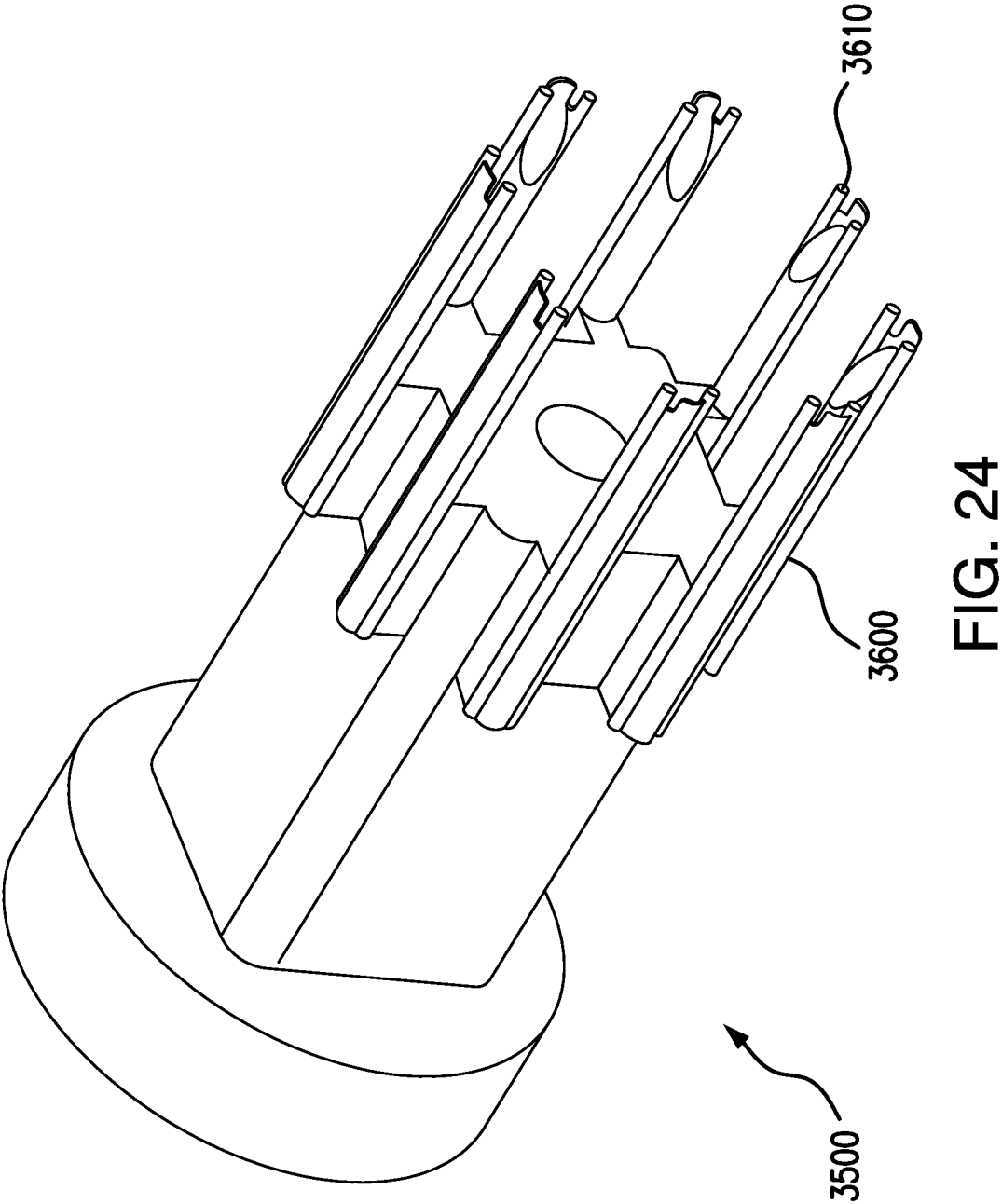


FIG. 23

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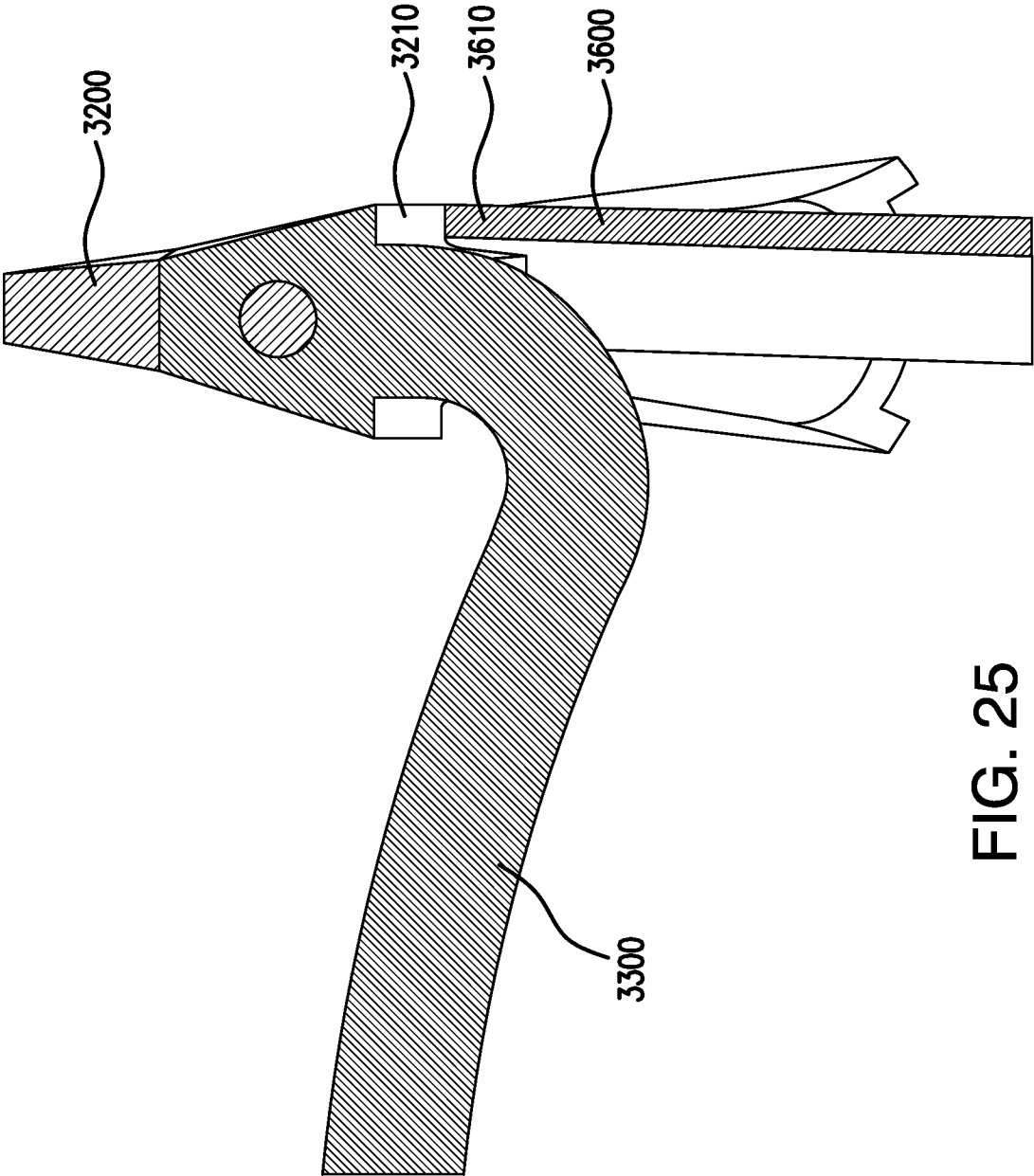


FIG. 25

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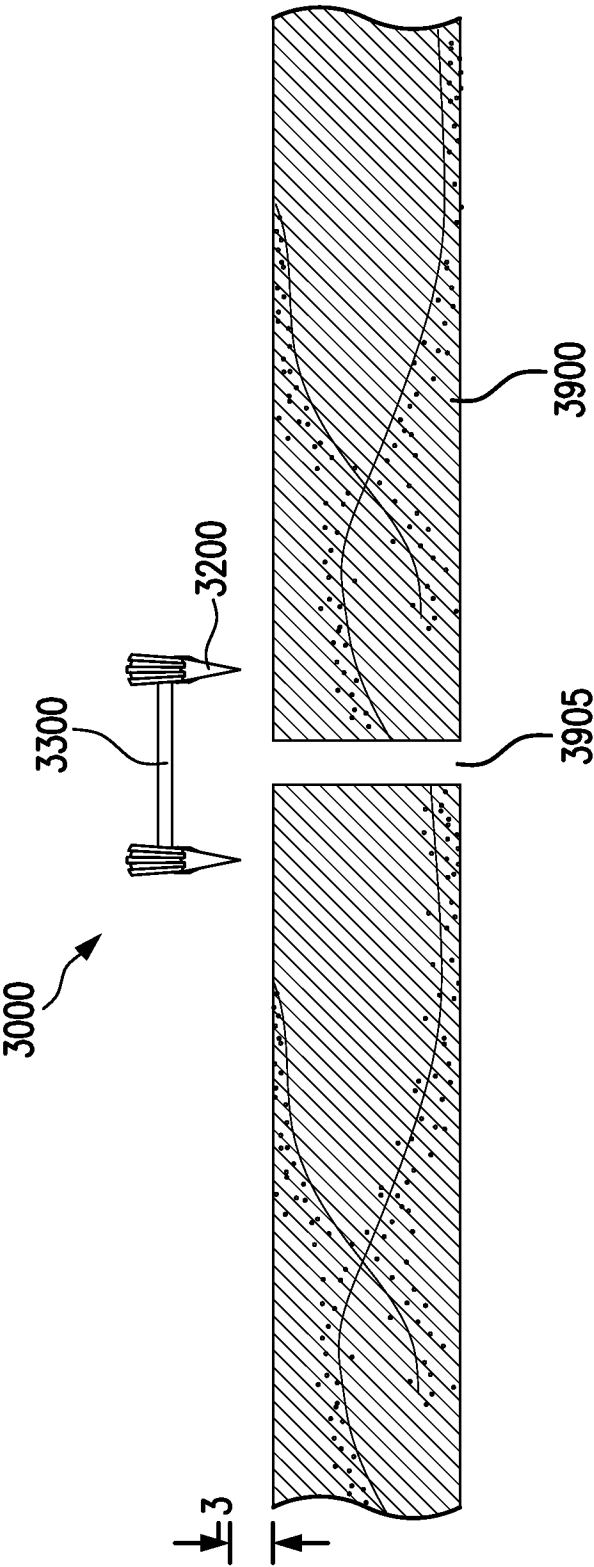


FIG. 26A

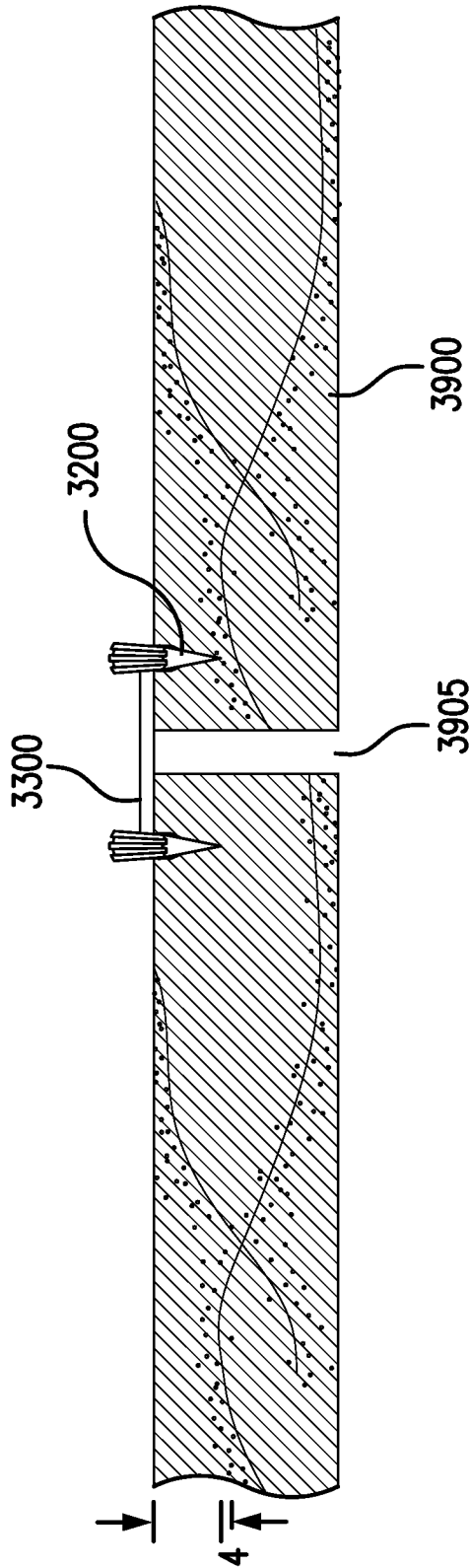


FIG. 26B

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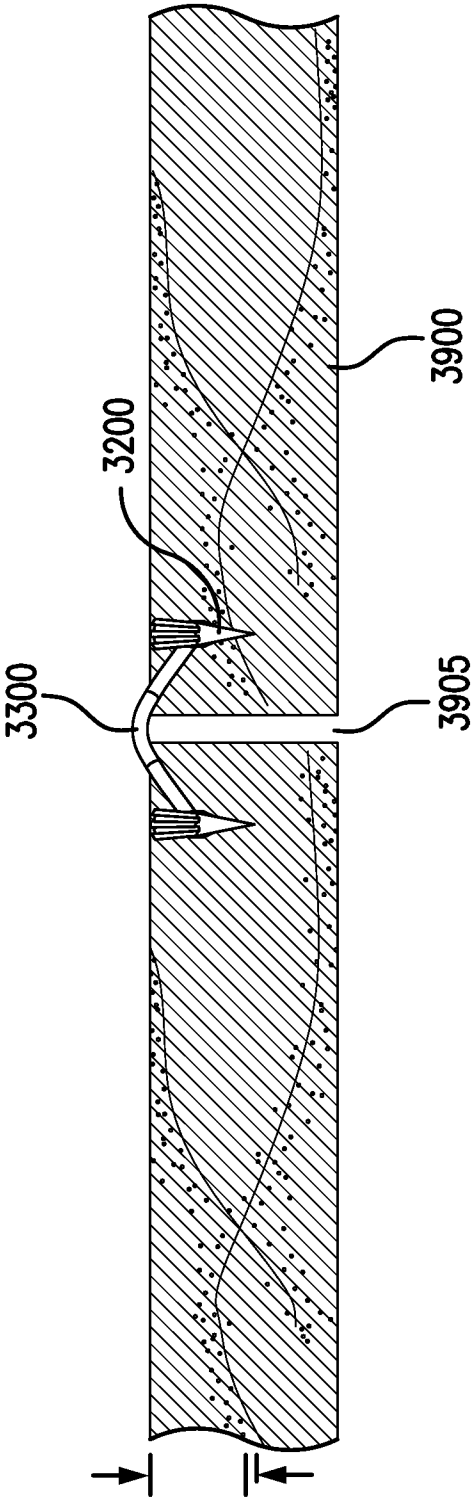


FIG. 26C

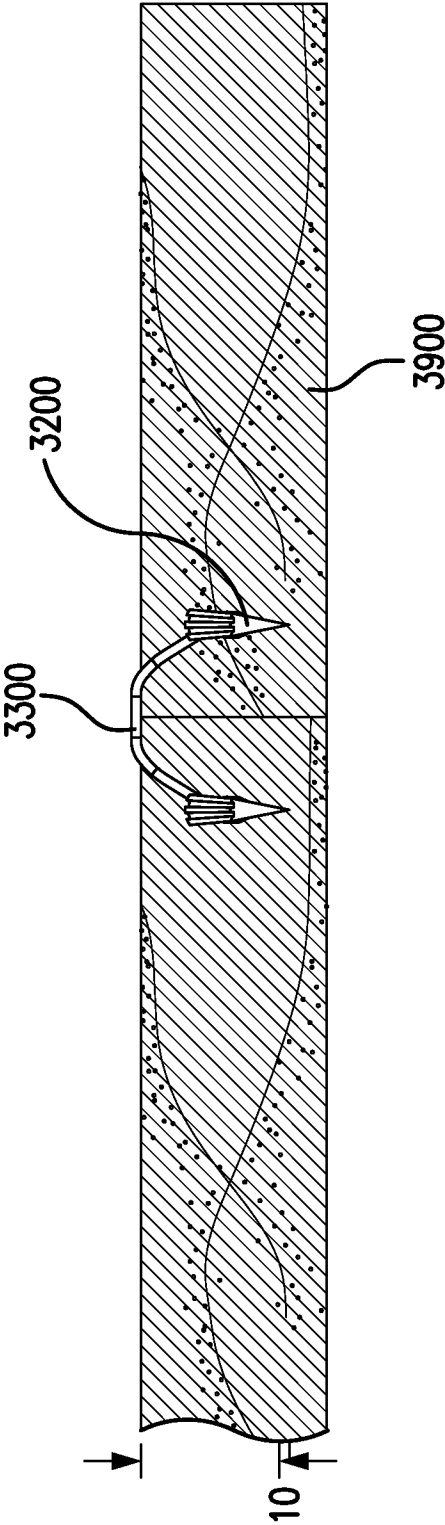


FIG. 26D

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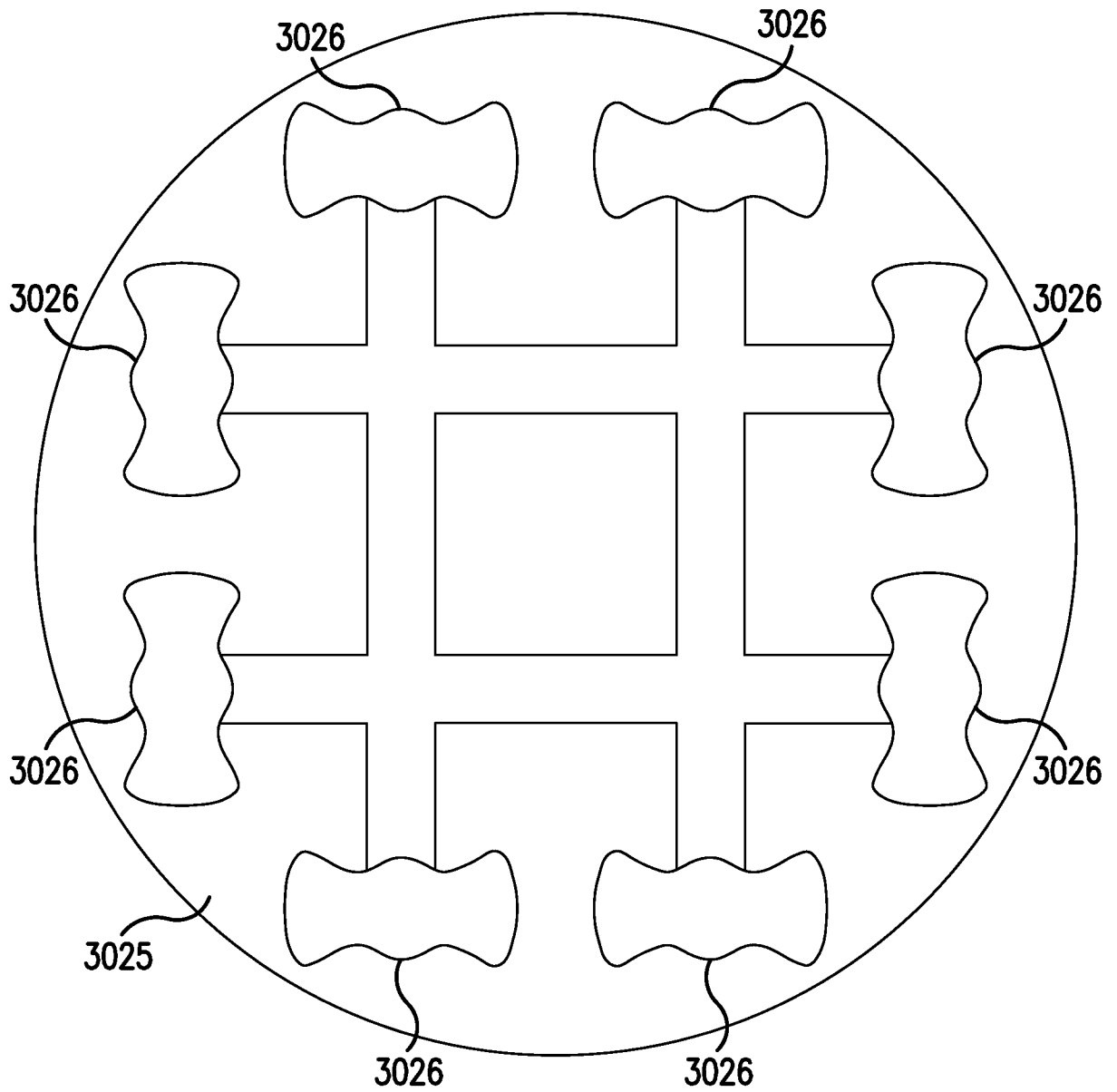


FIG. 27A

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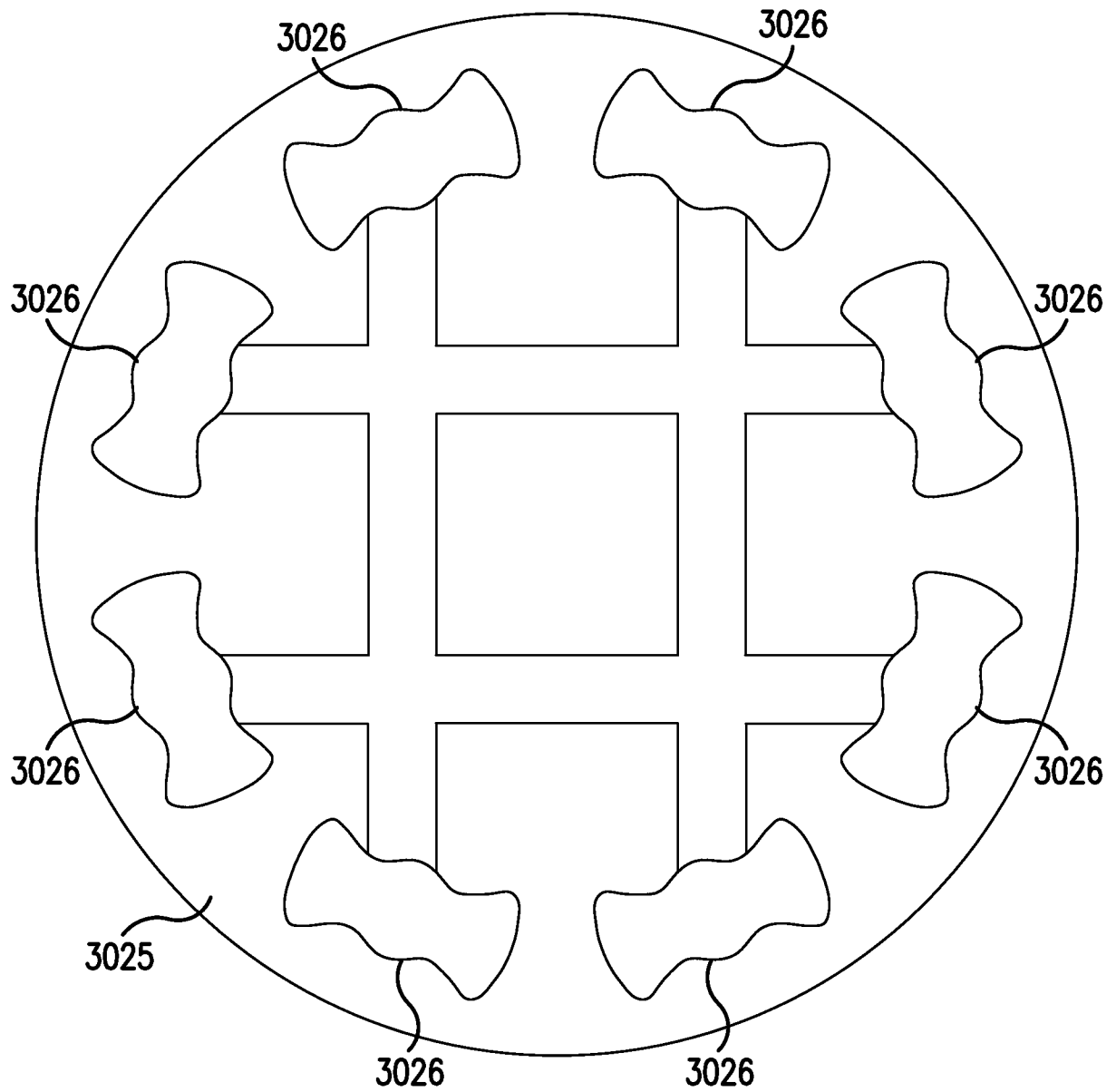


FIG. 27B

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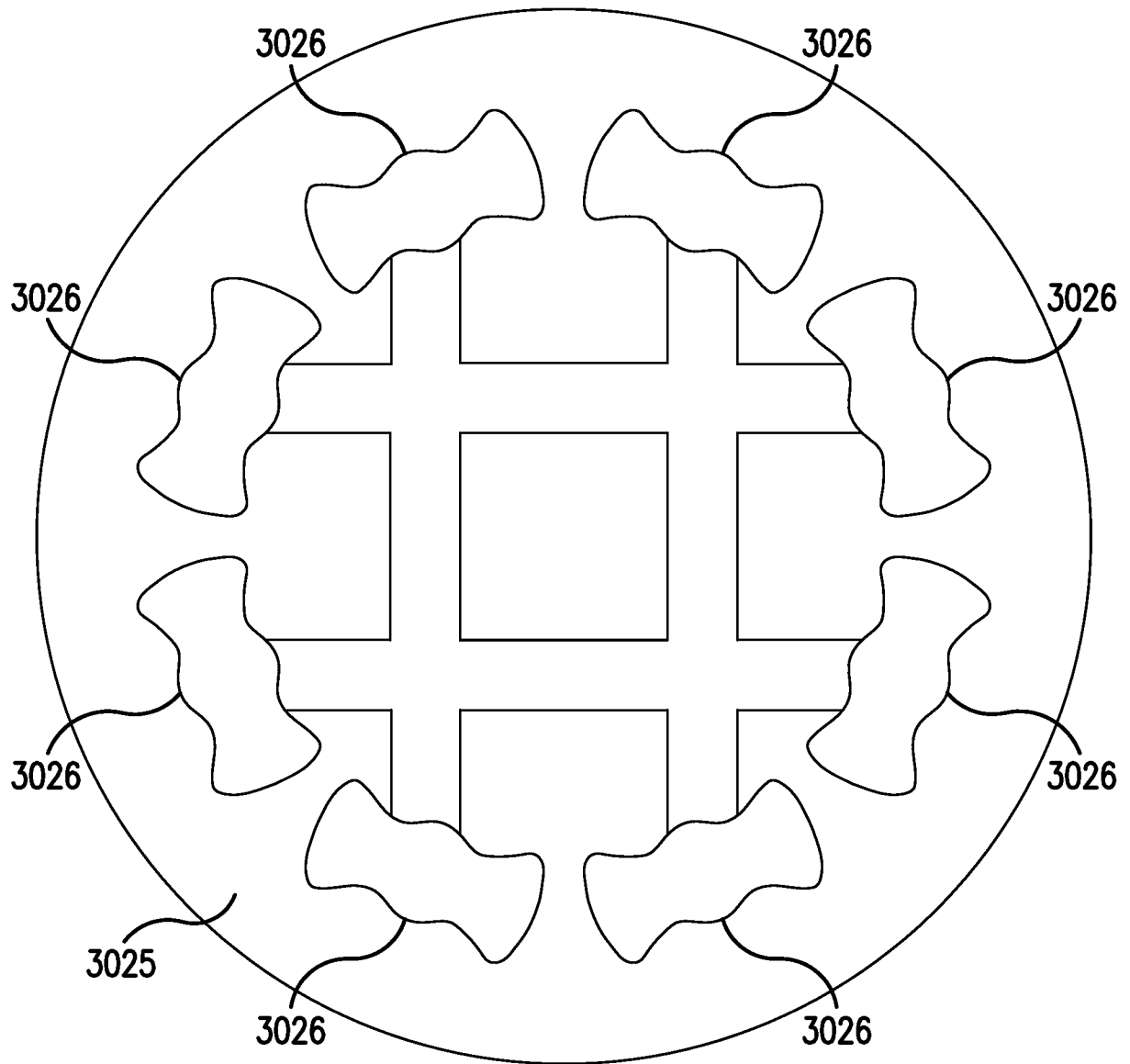


FIG. 27C

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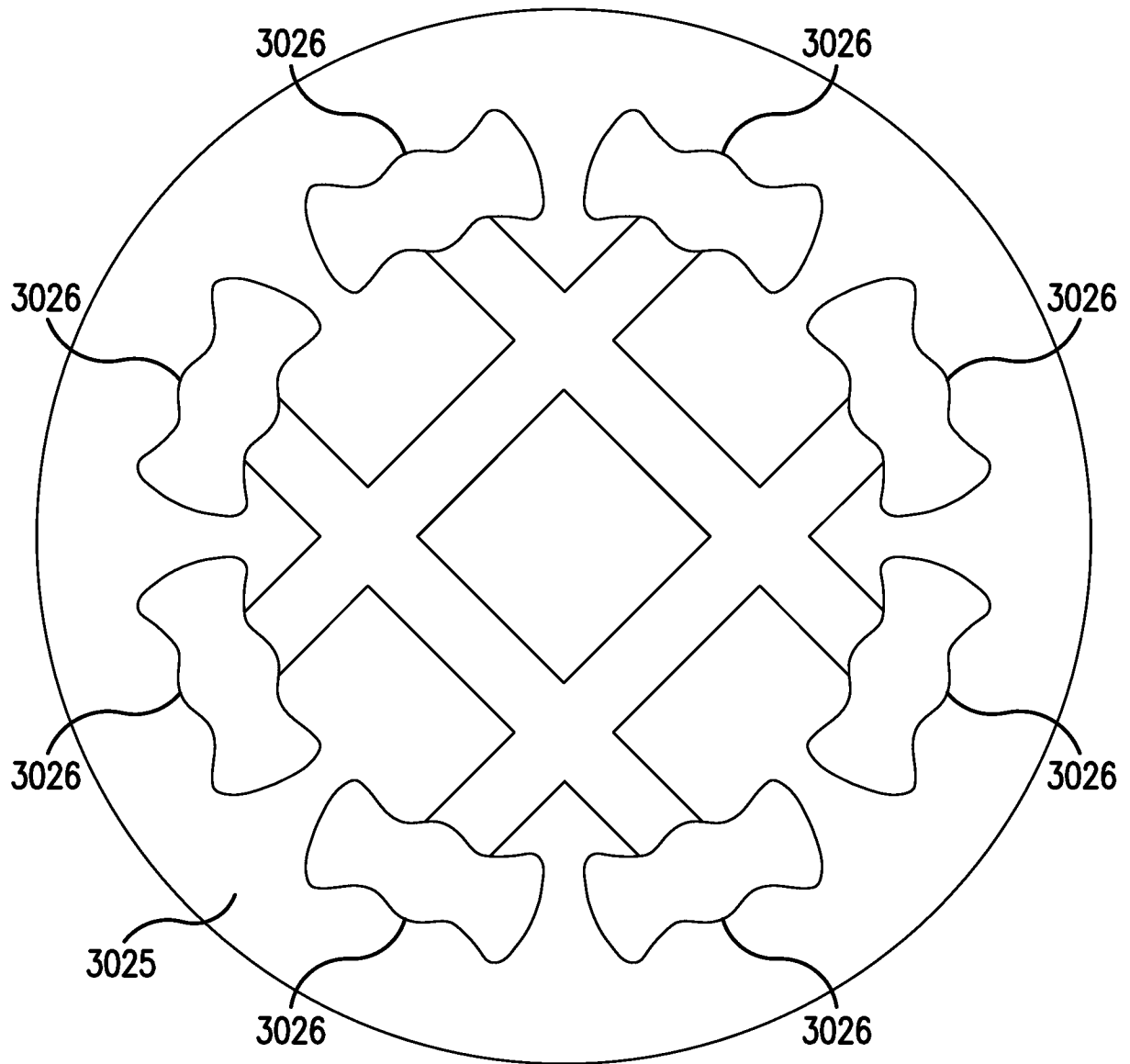


FIG. 27D

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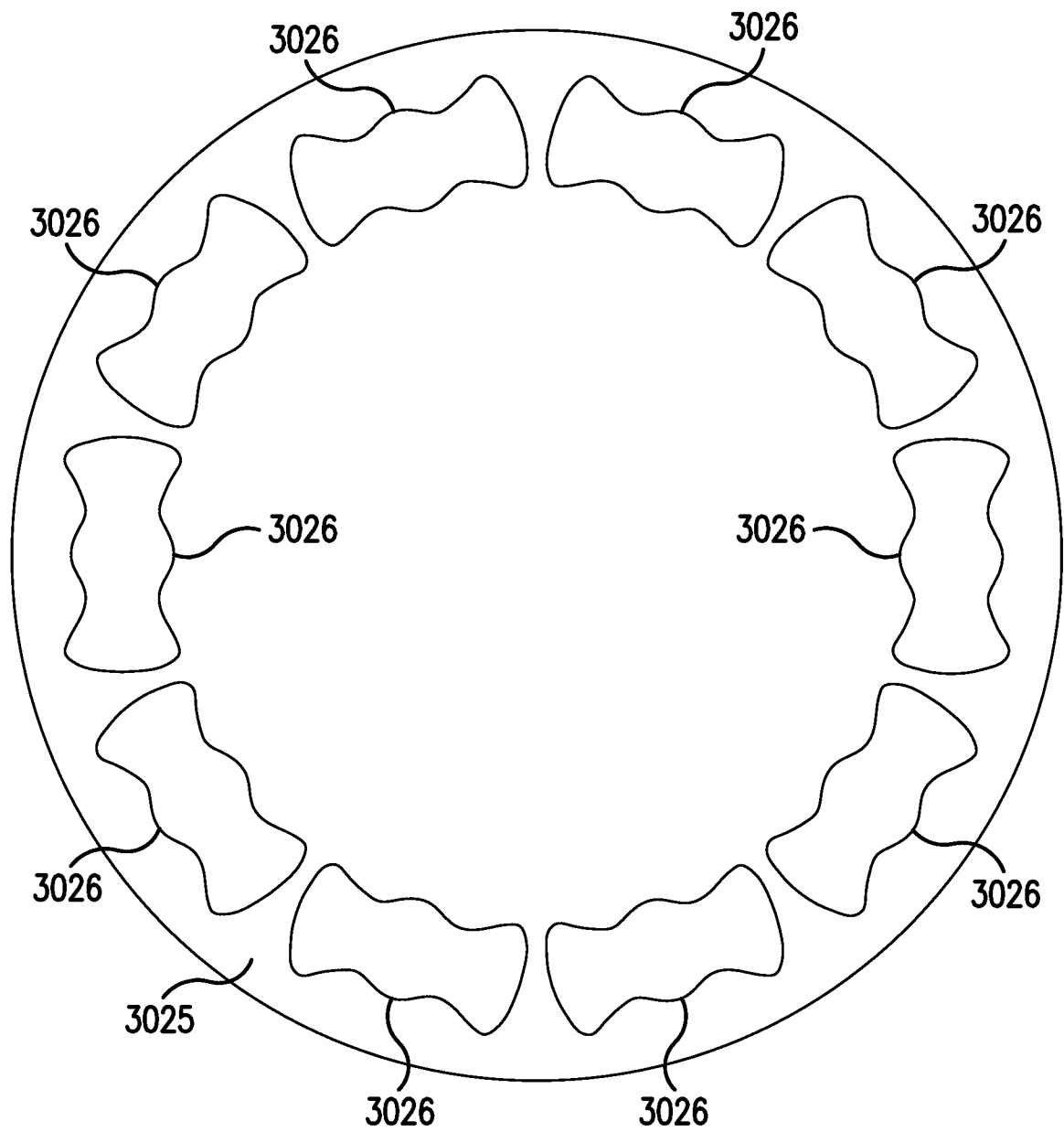


FIG. 27E

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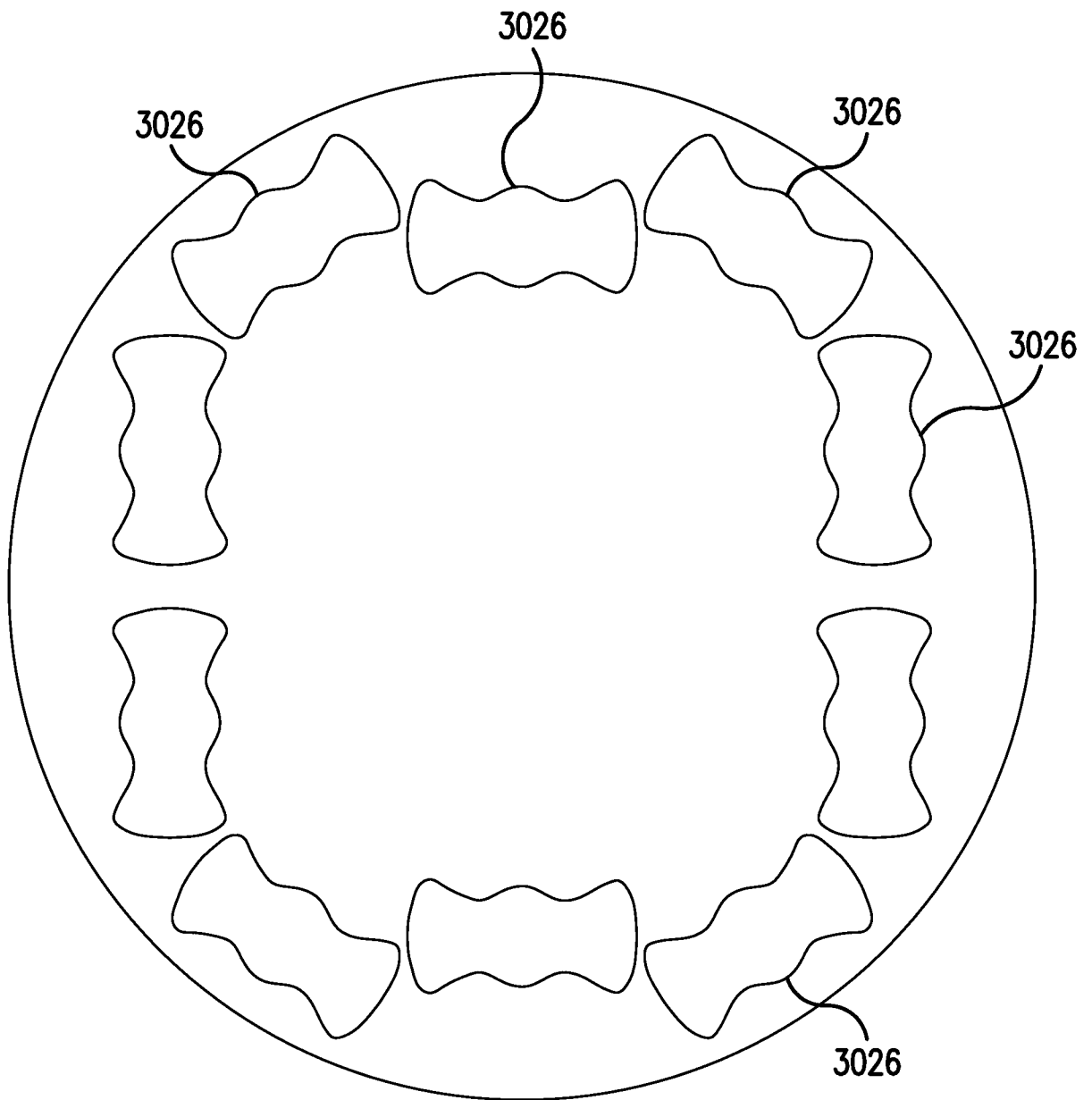


FIG. 27F

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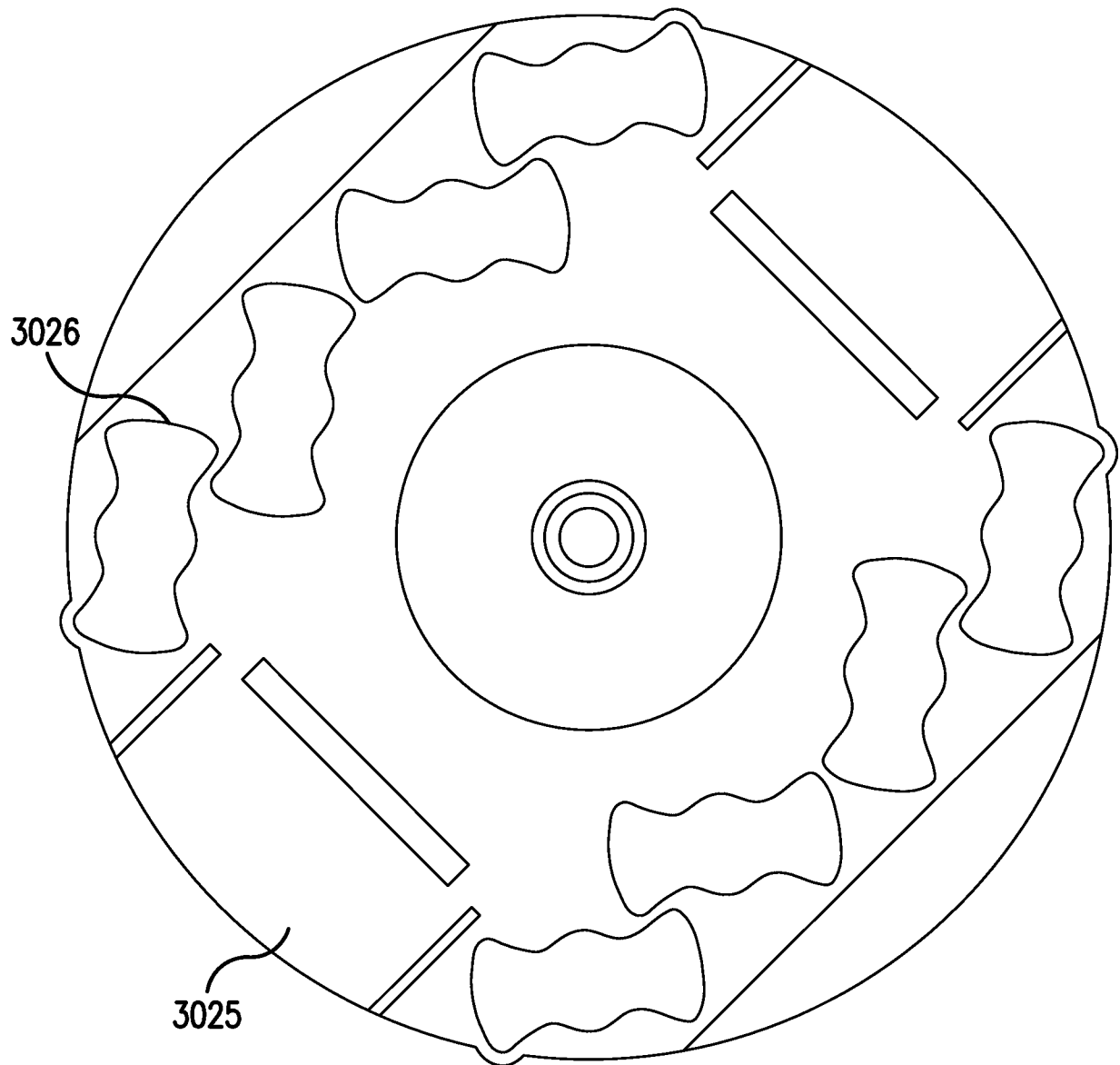


FIG. 28A

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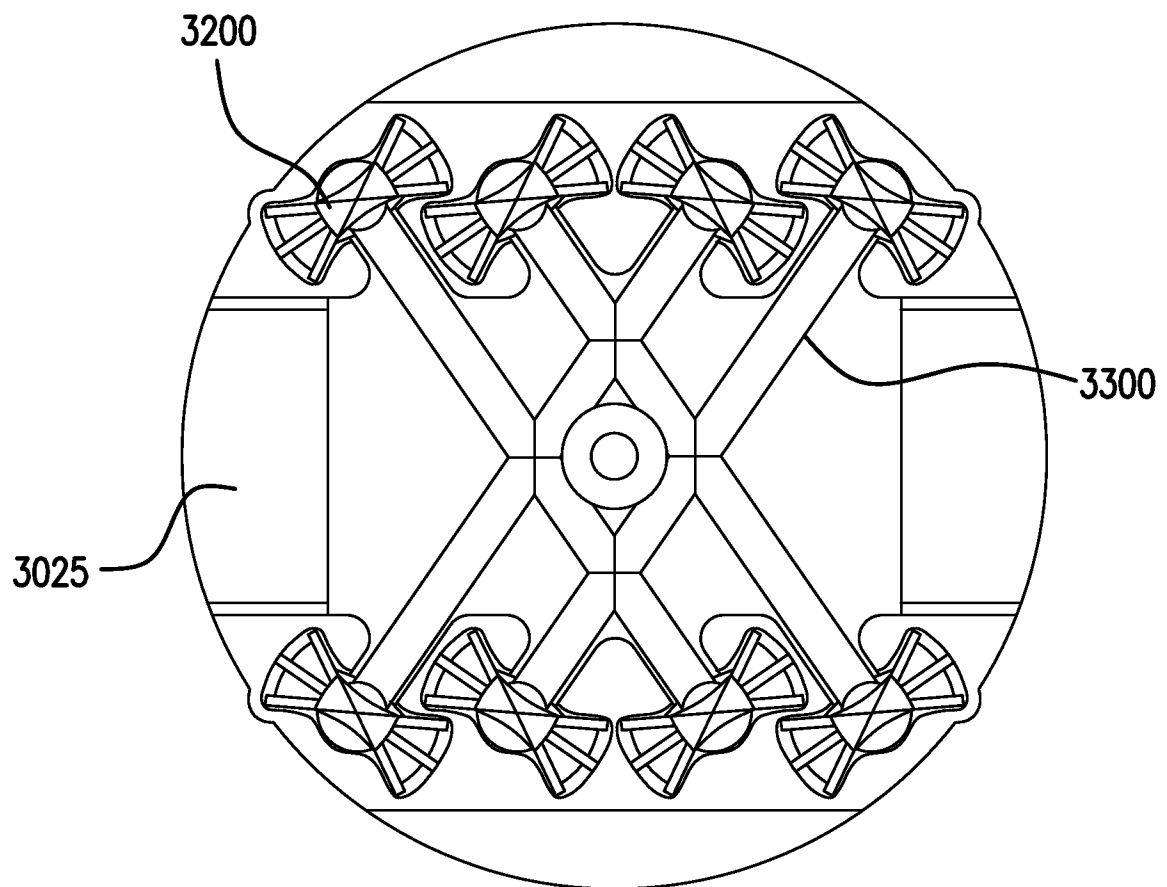


FIG. 28B

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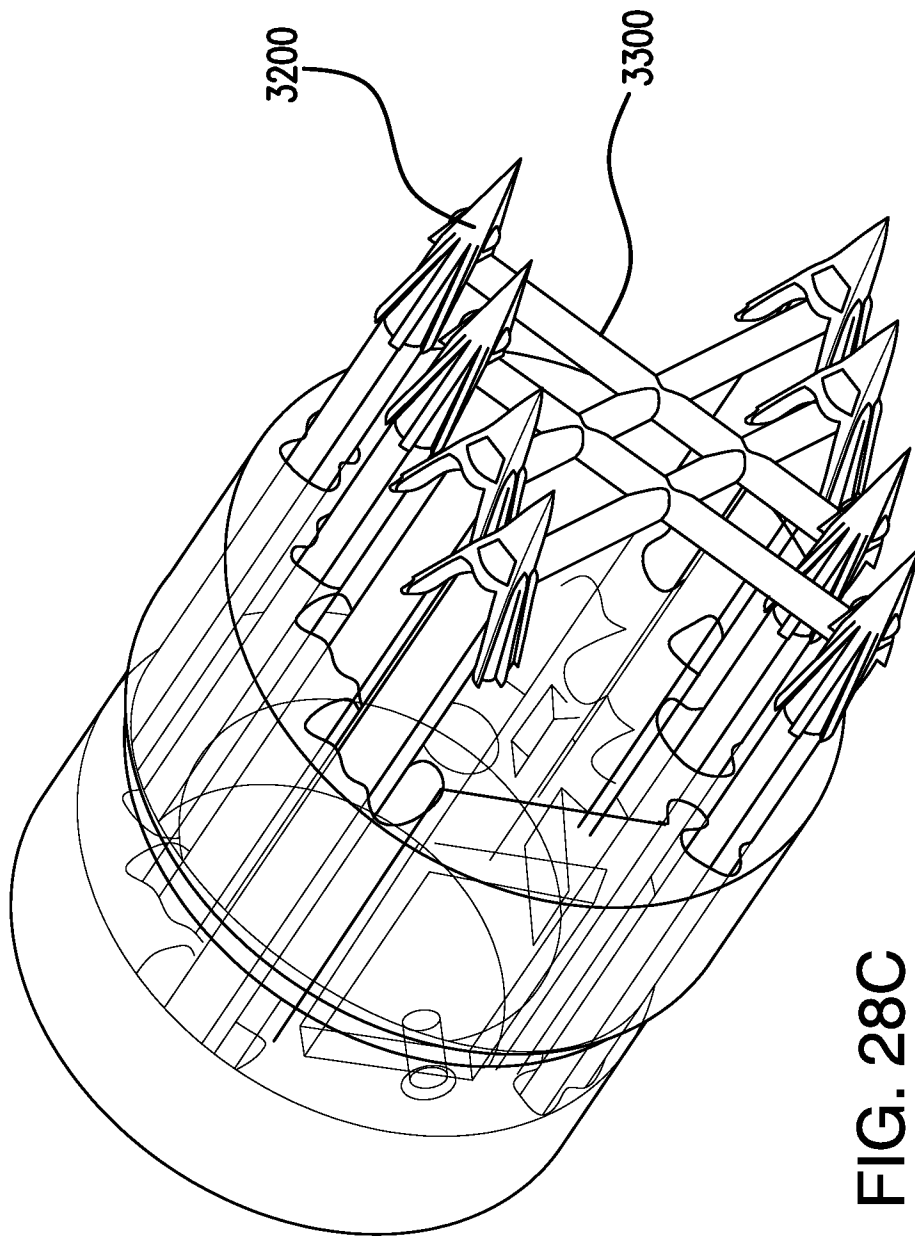


FIG. 28C

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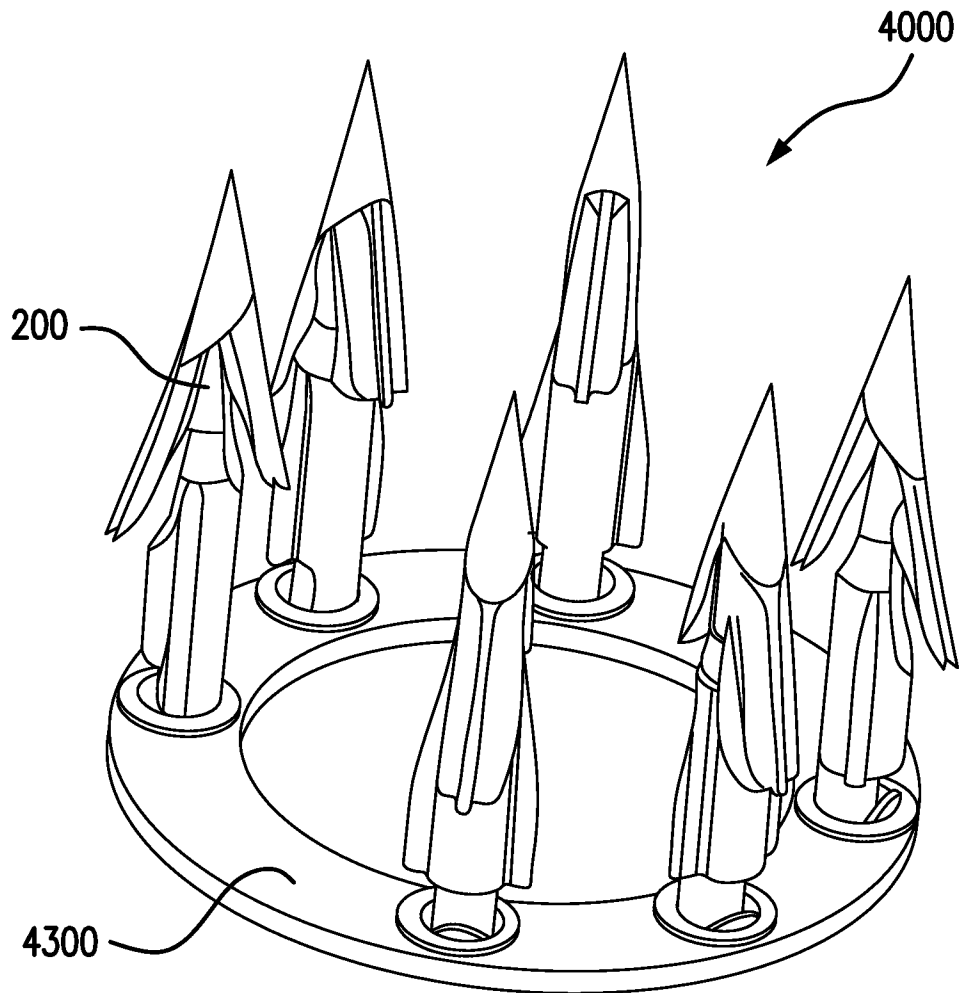


FIG. 29A

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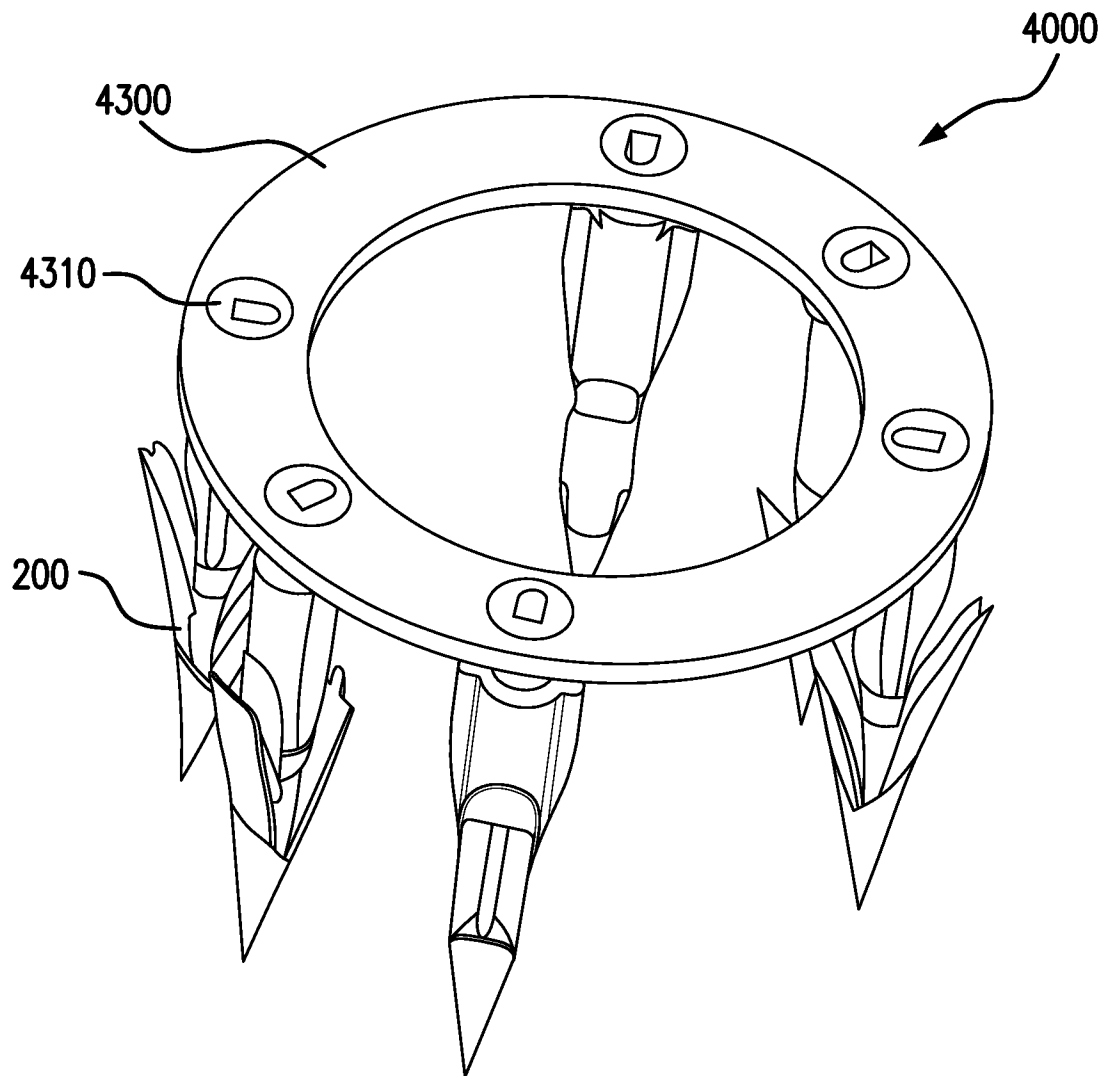


FIG. 29B

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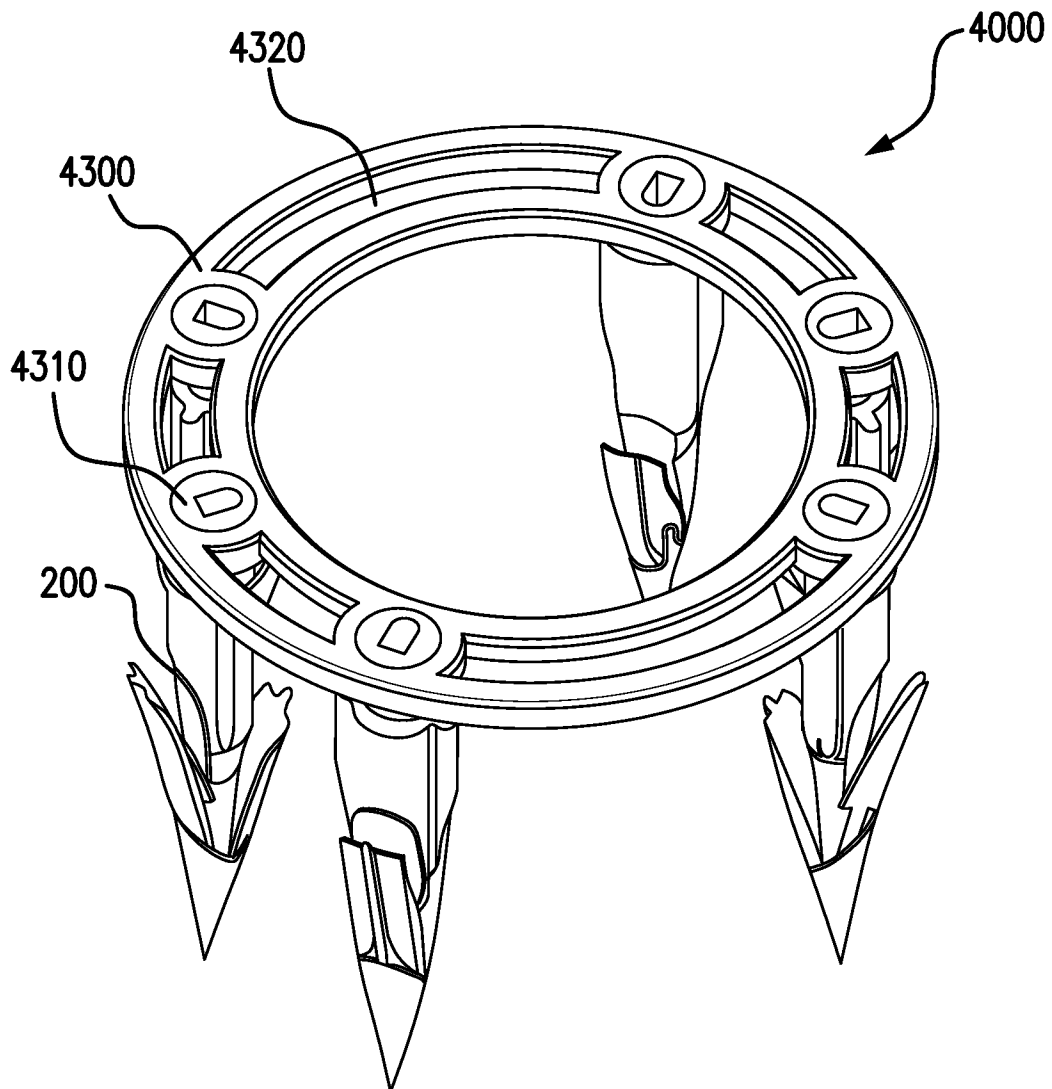


FIG. 29C

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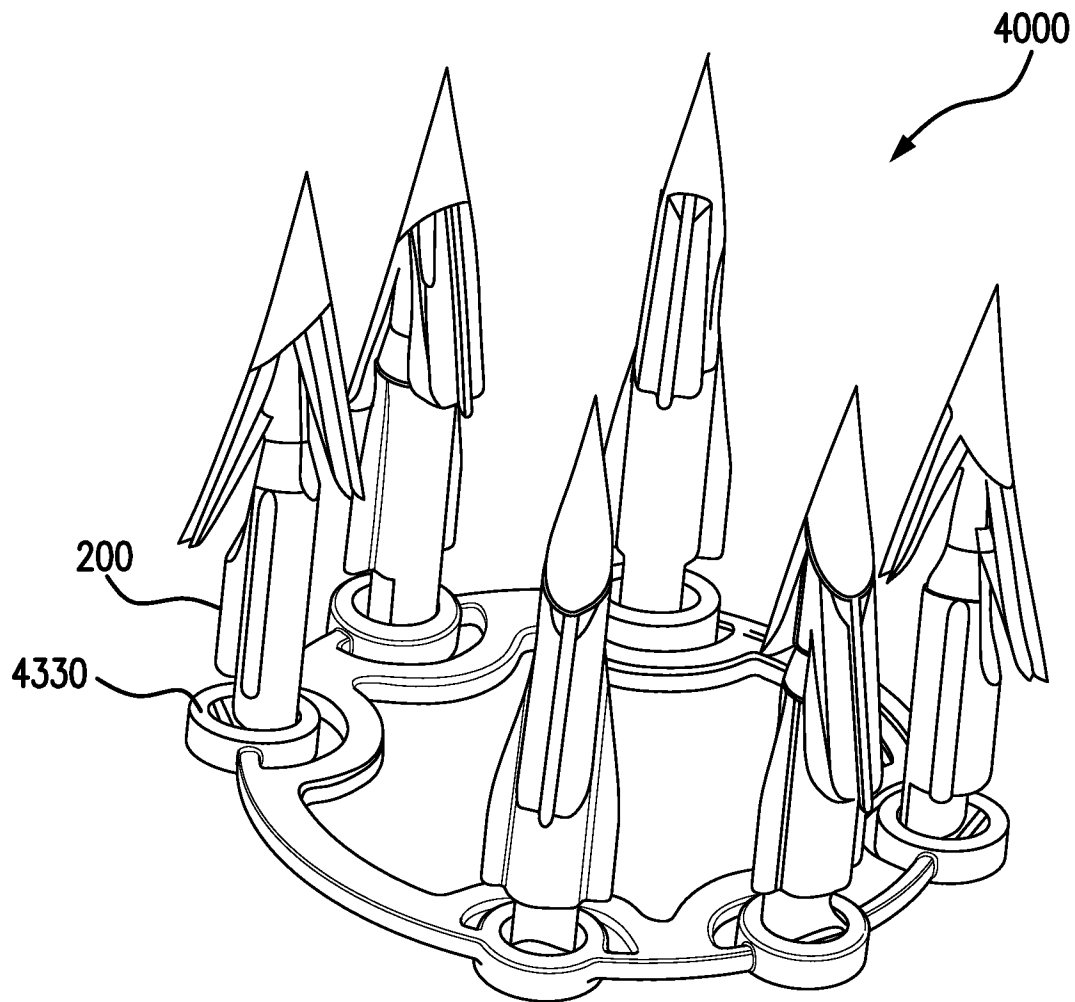


FIG. 29D

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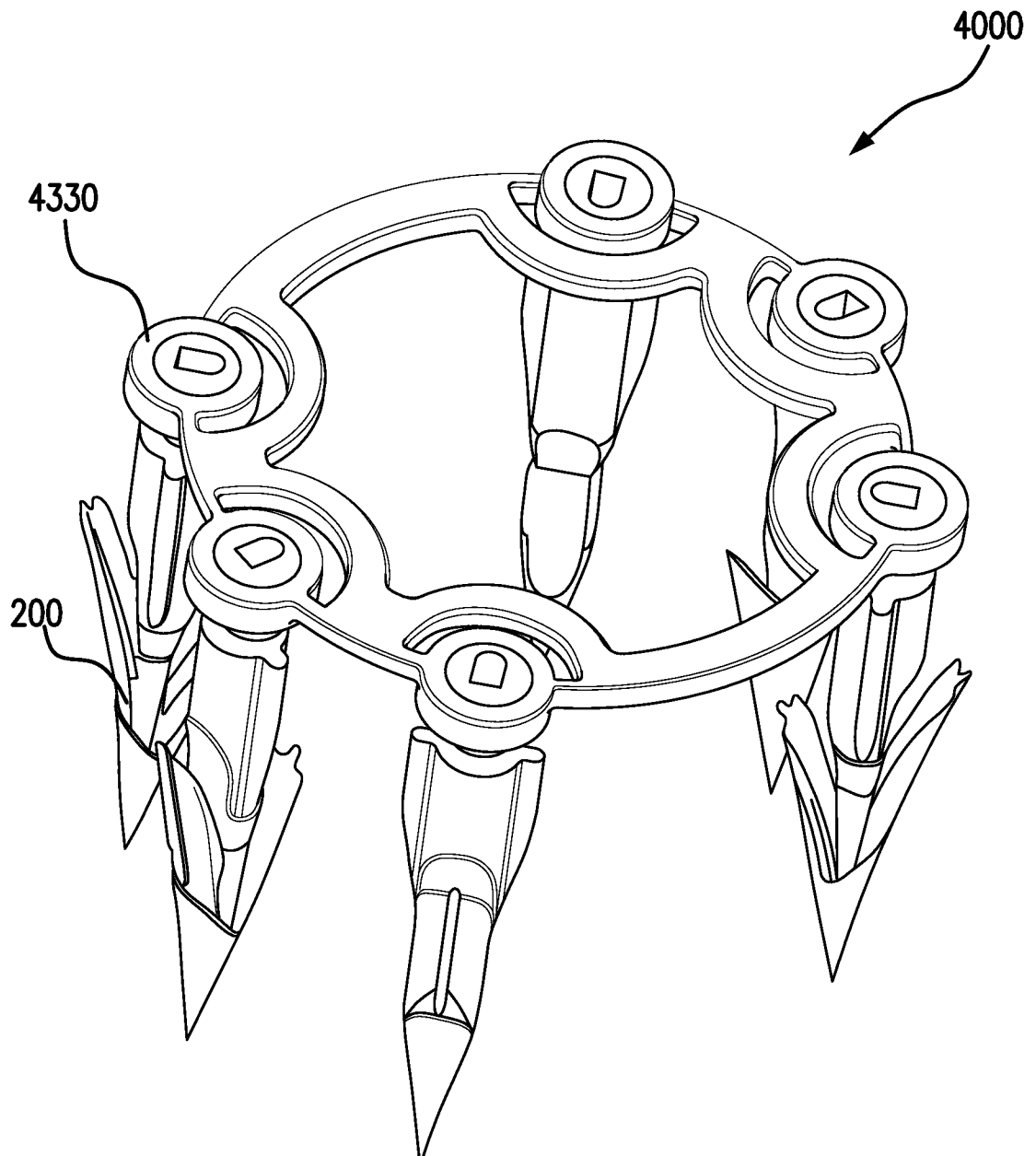


FIG. 29E

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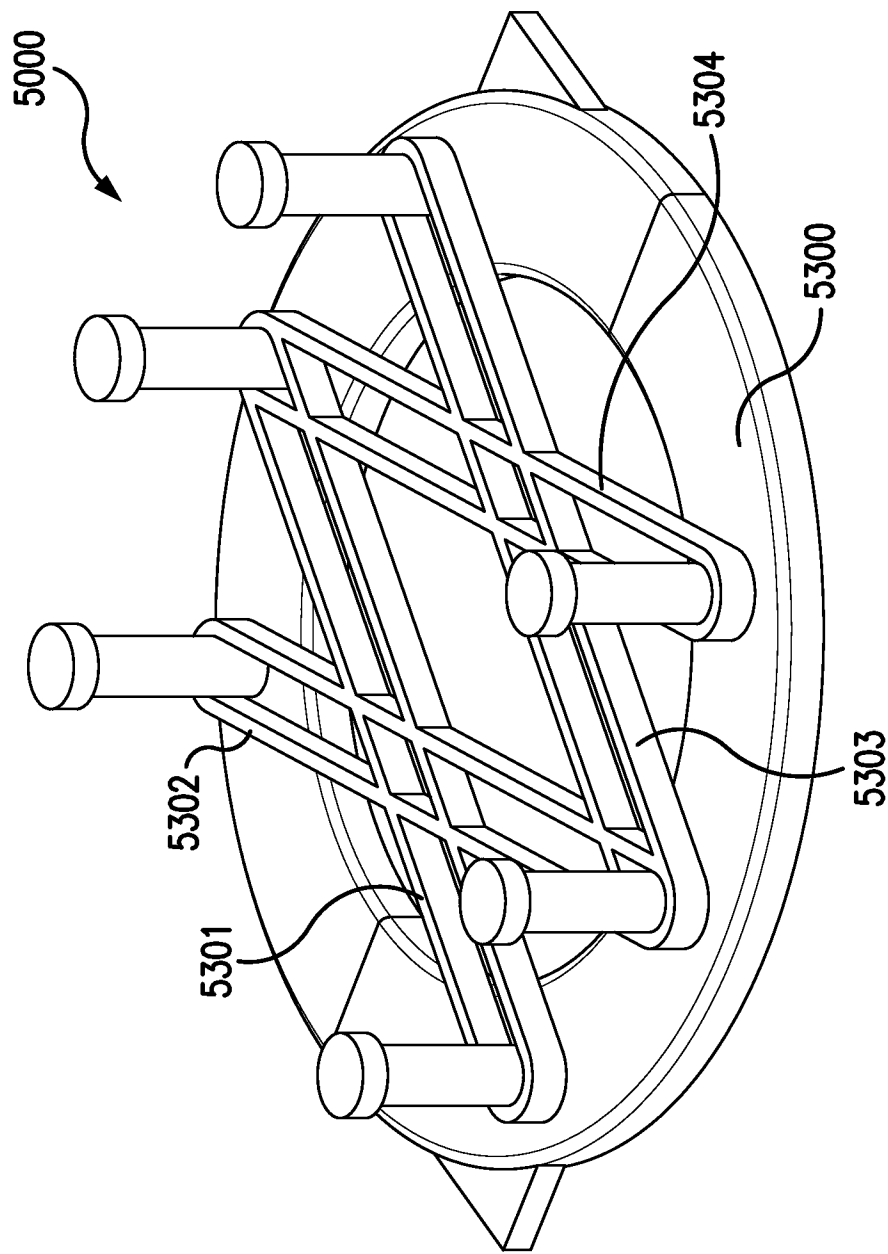


FIG. 30A

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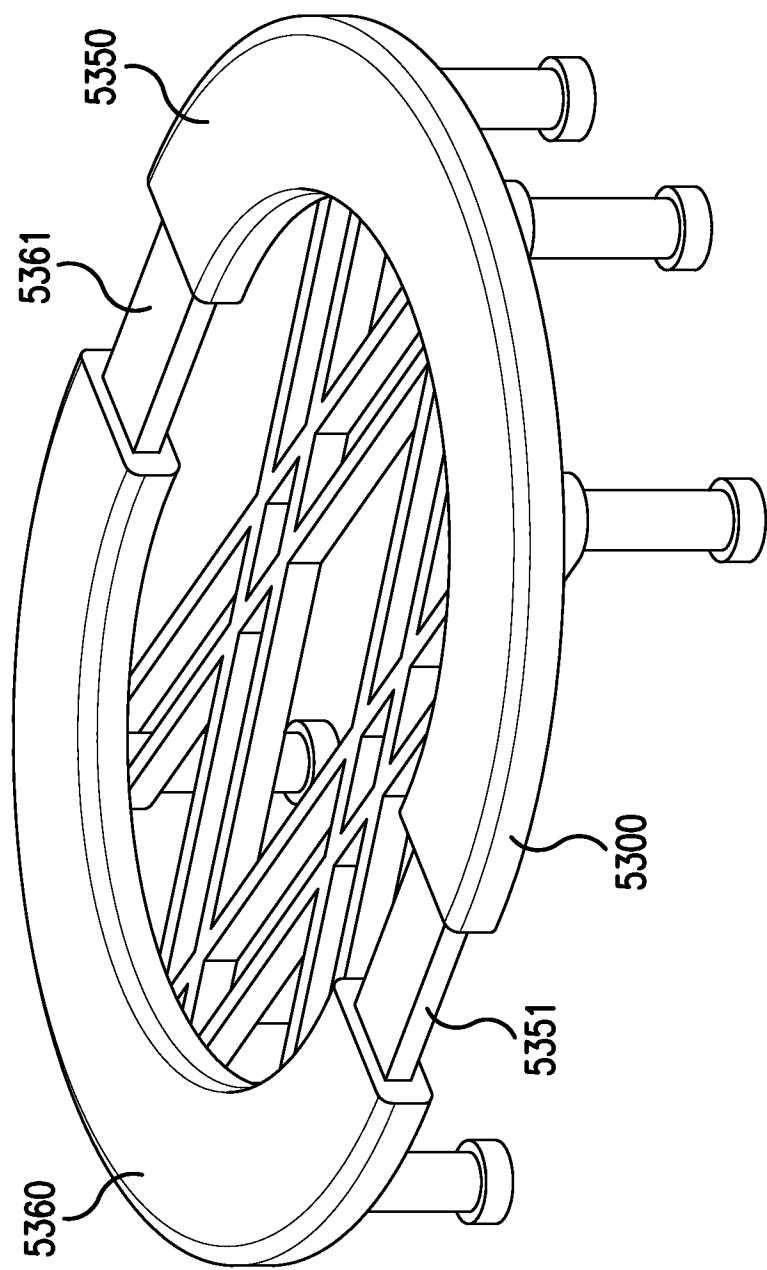


FIG. 30B

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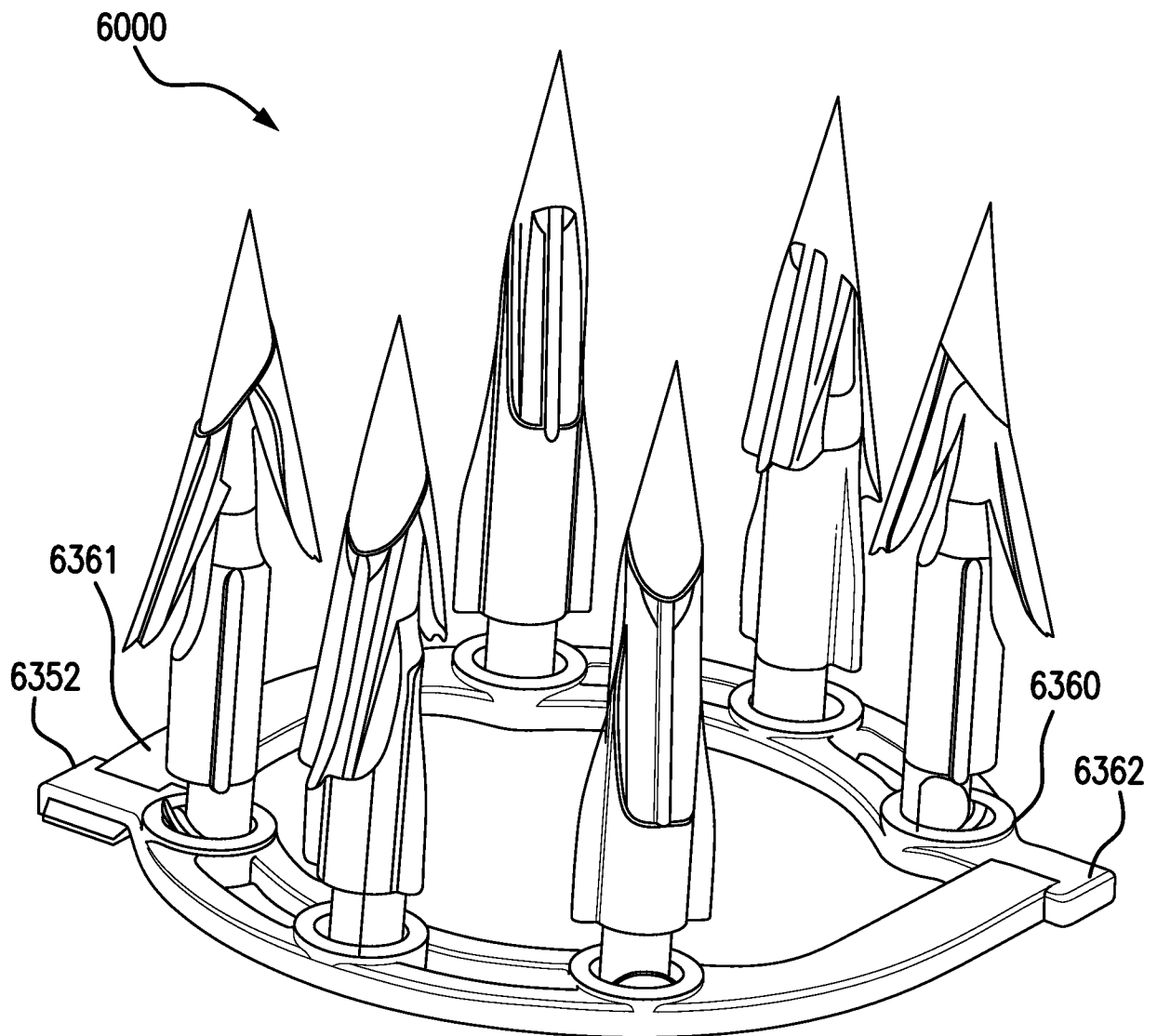


FIG. 31A

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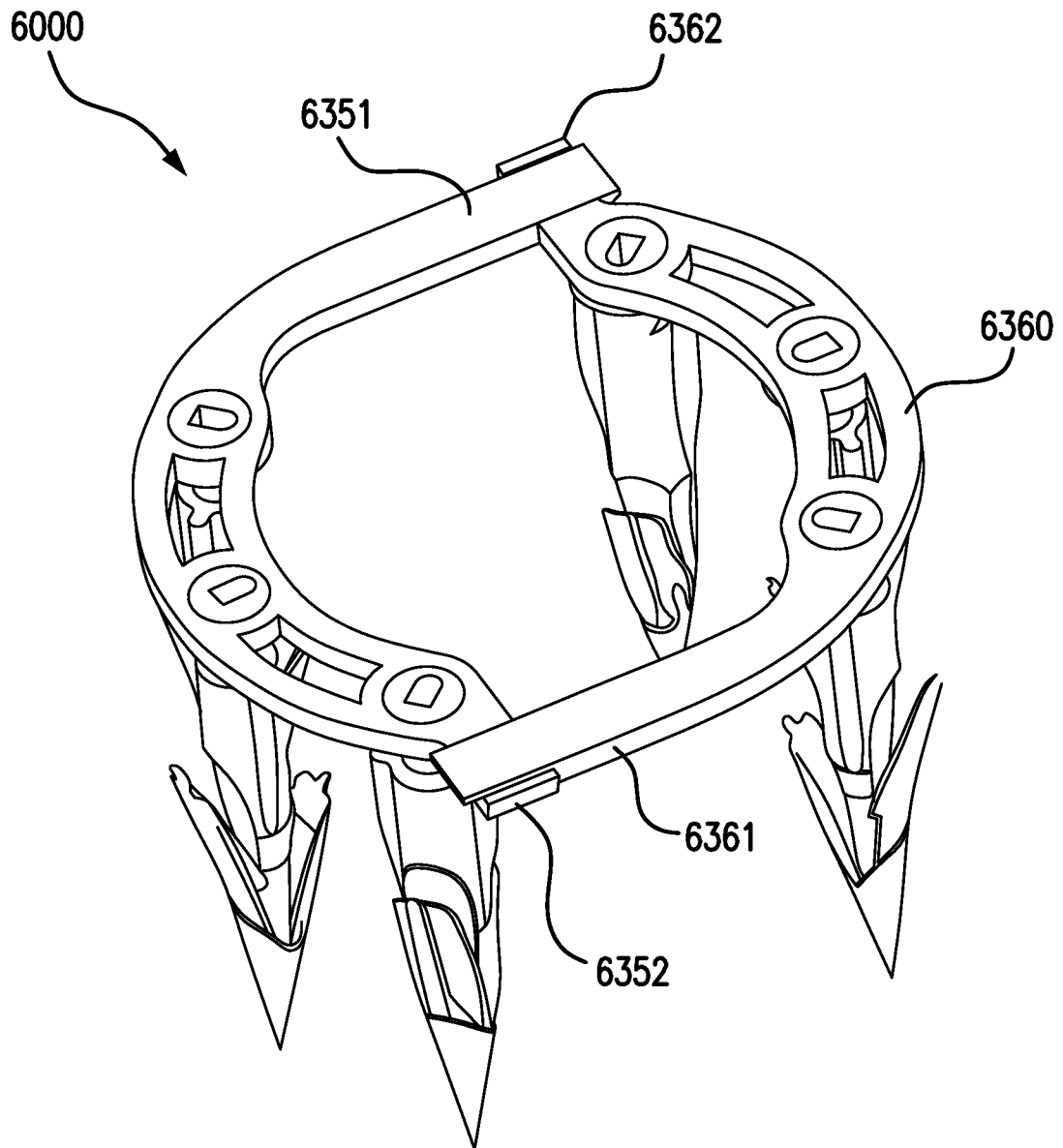


FIG. 31B

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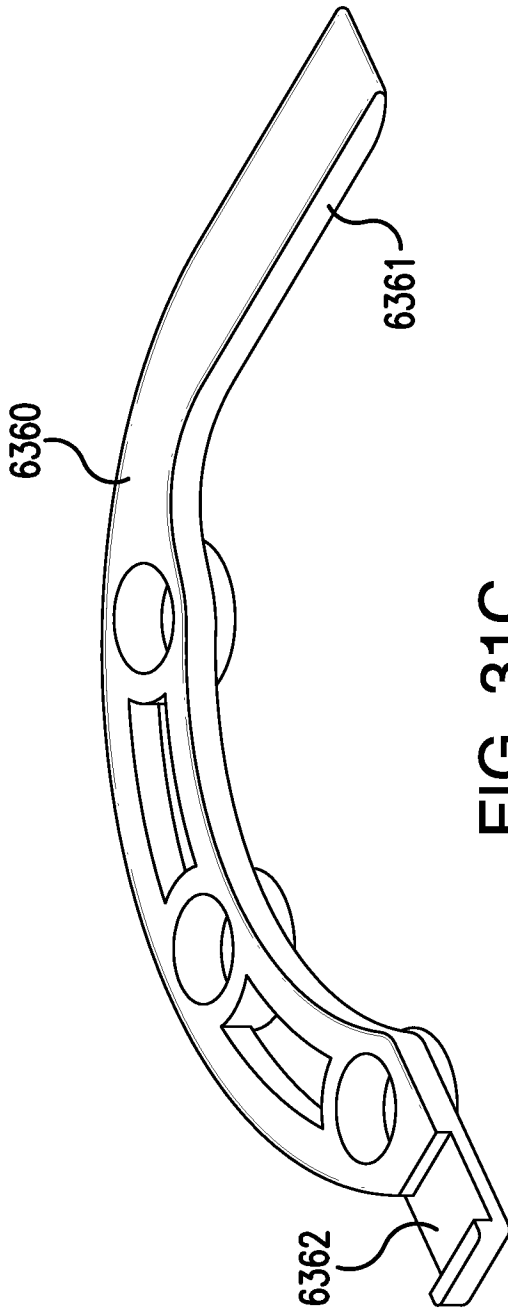


FIG. 31C

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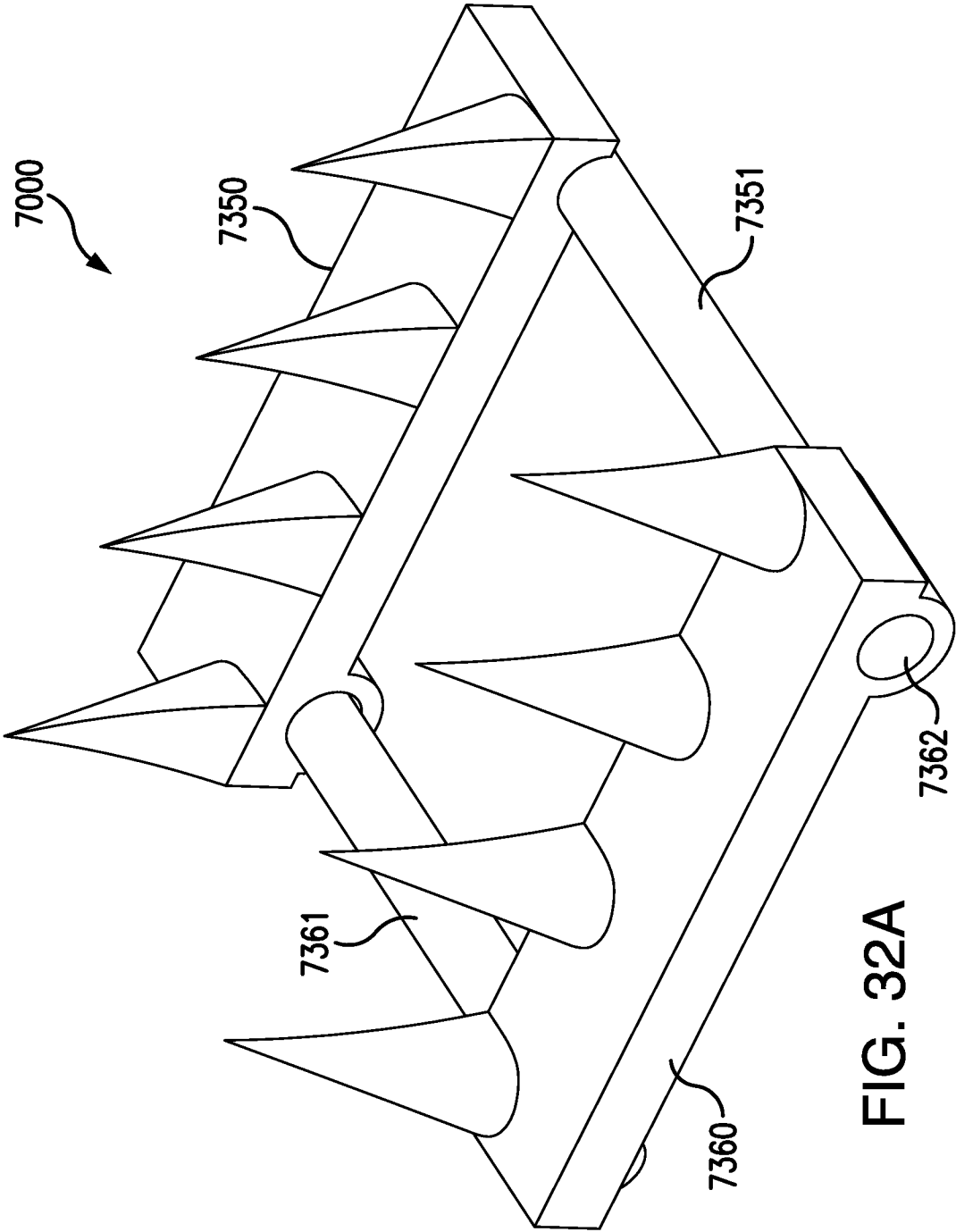


FIG. 32A

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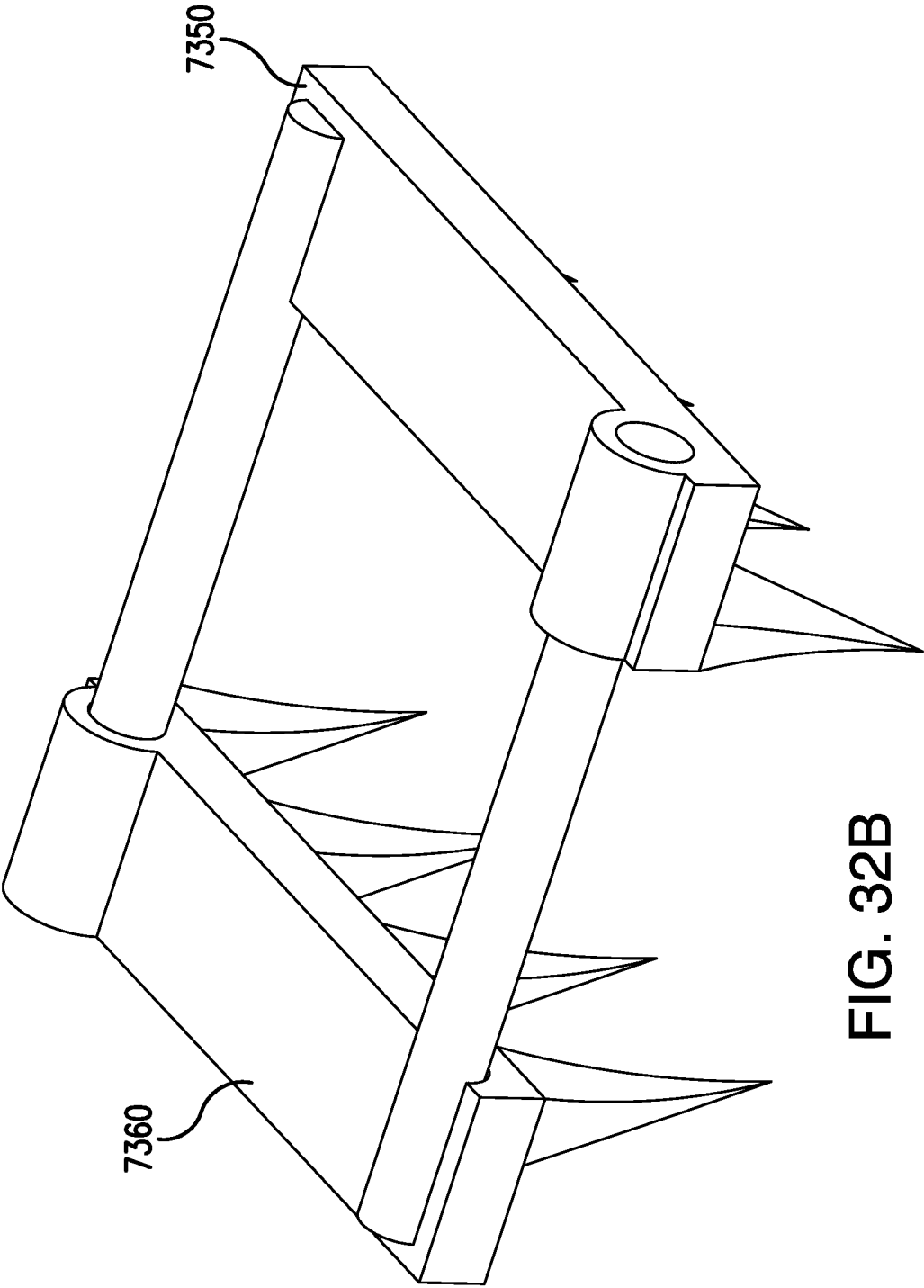


FIG. 32B

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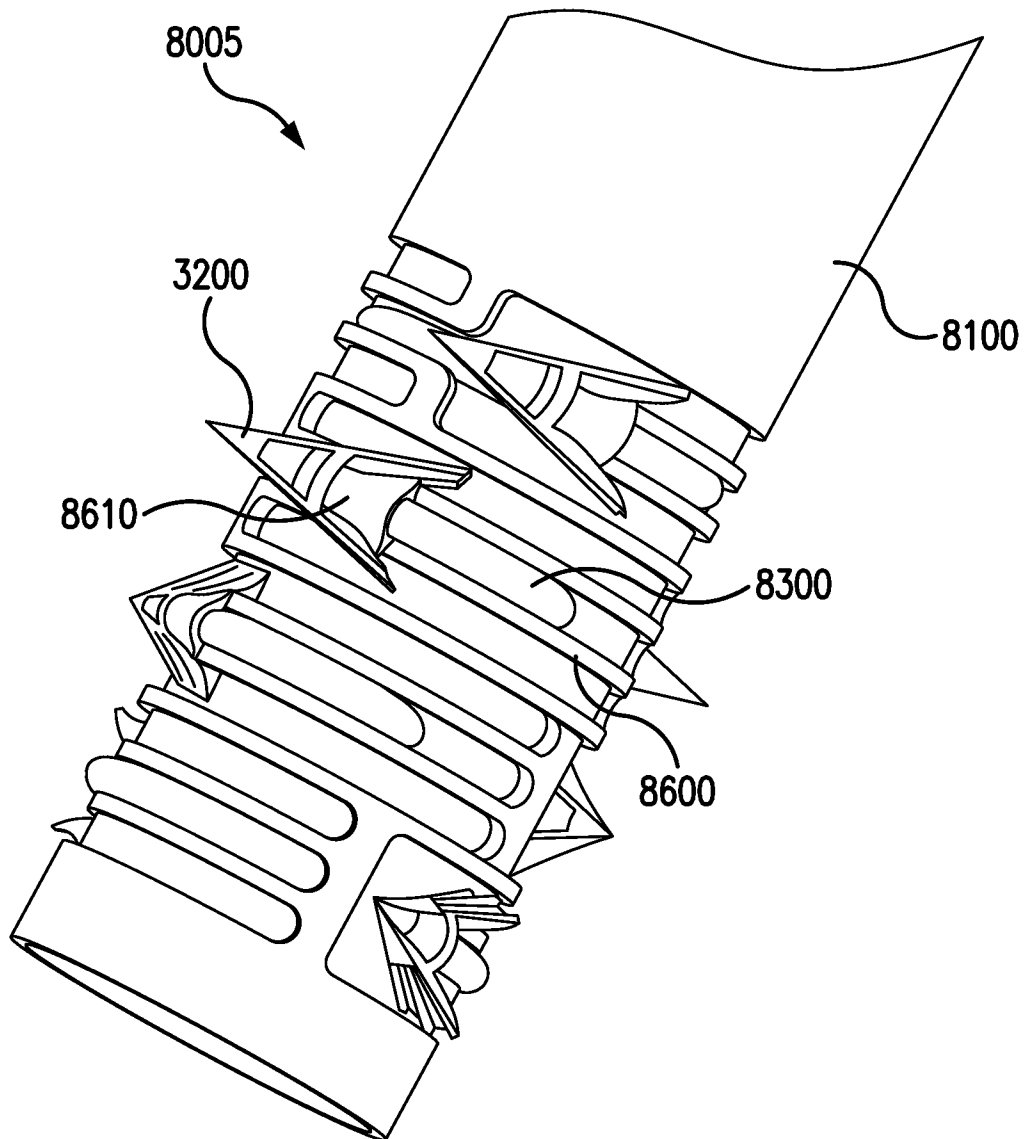


FIG. 33

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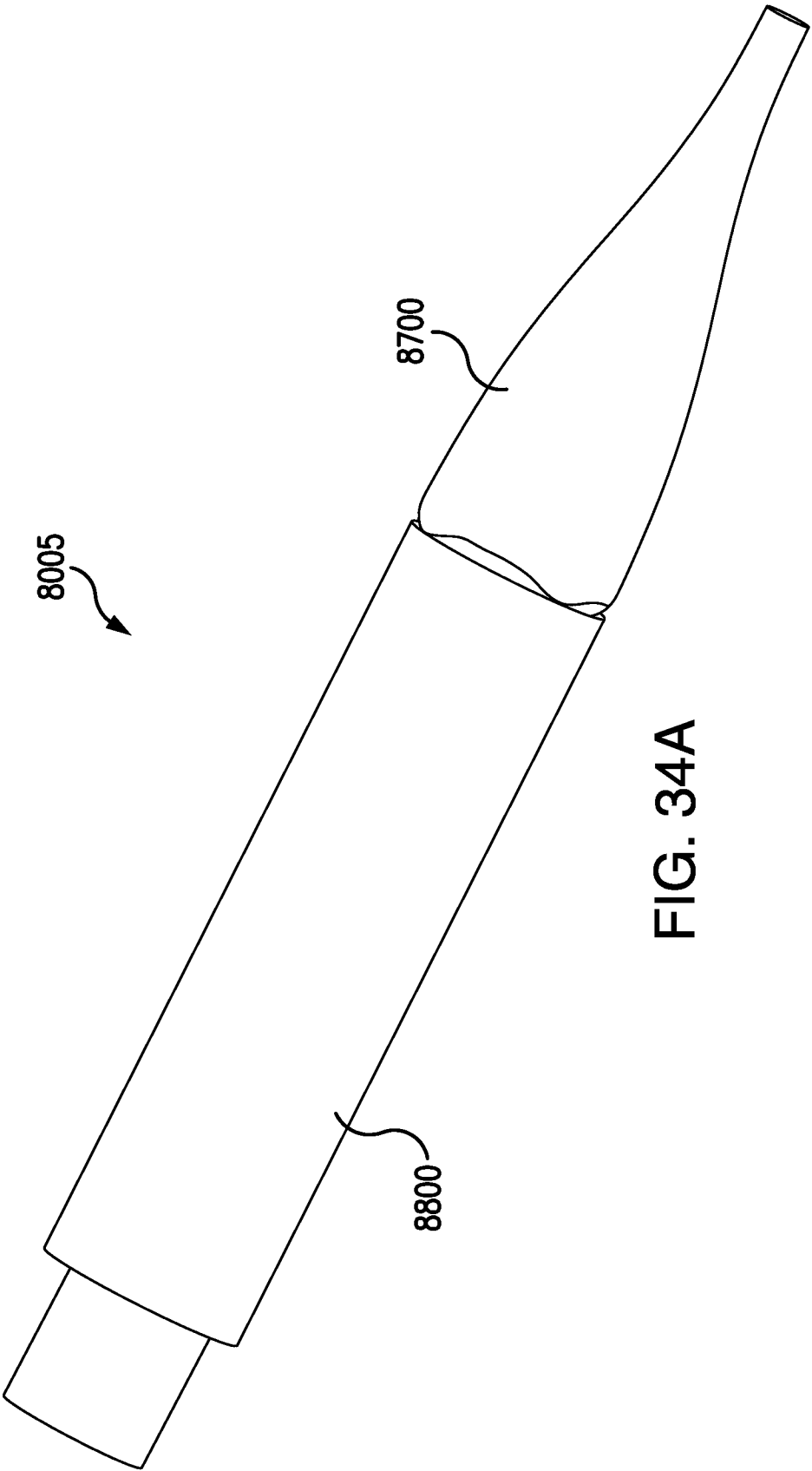


FIG. 34A

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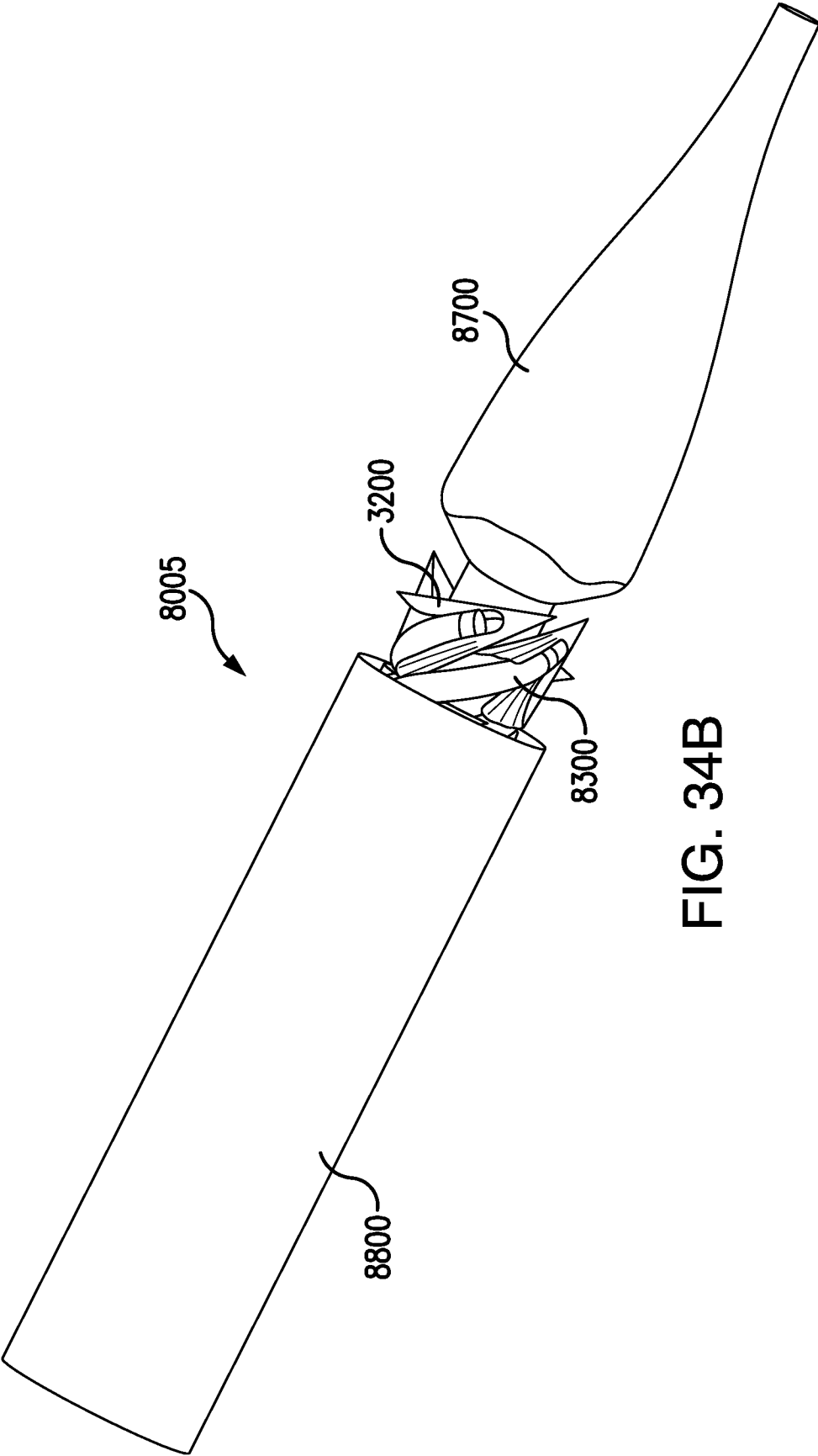


FIG. 34B

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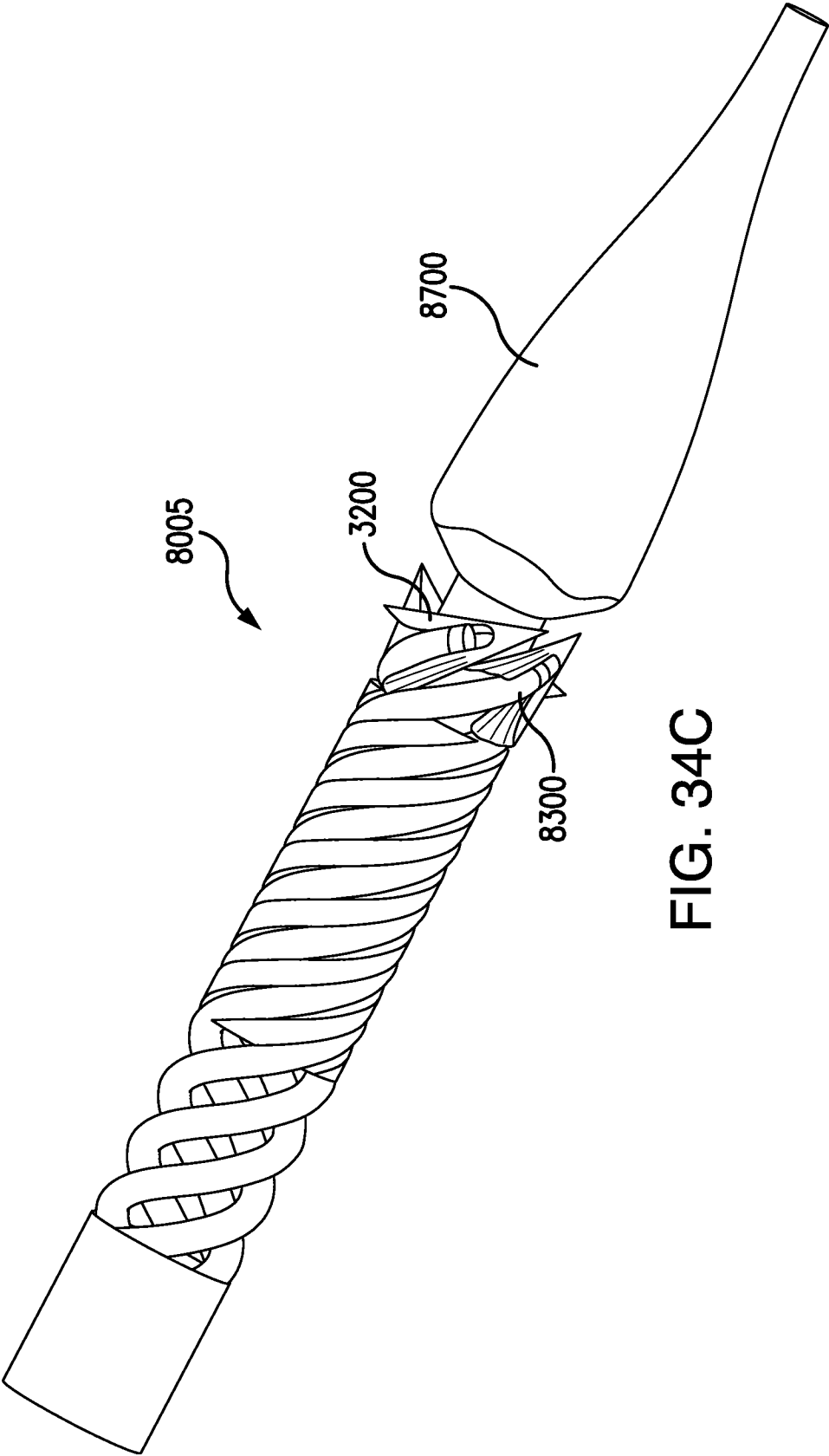


FIG. 34C

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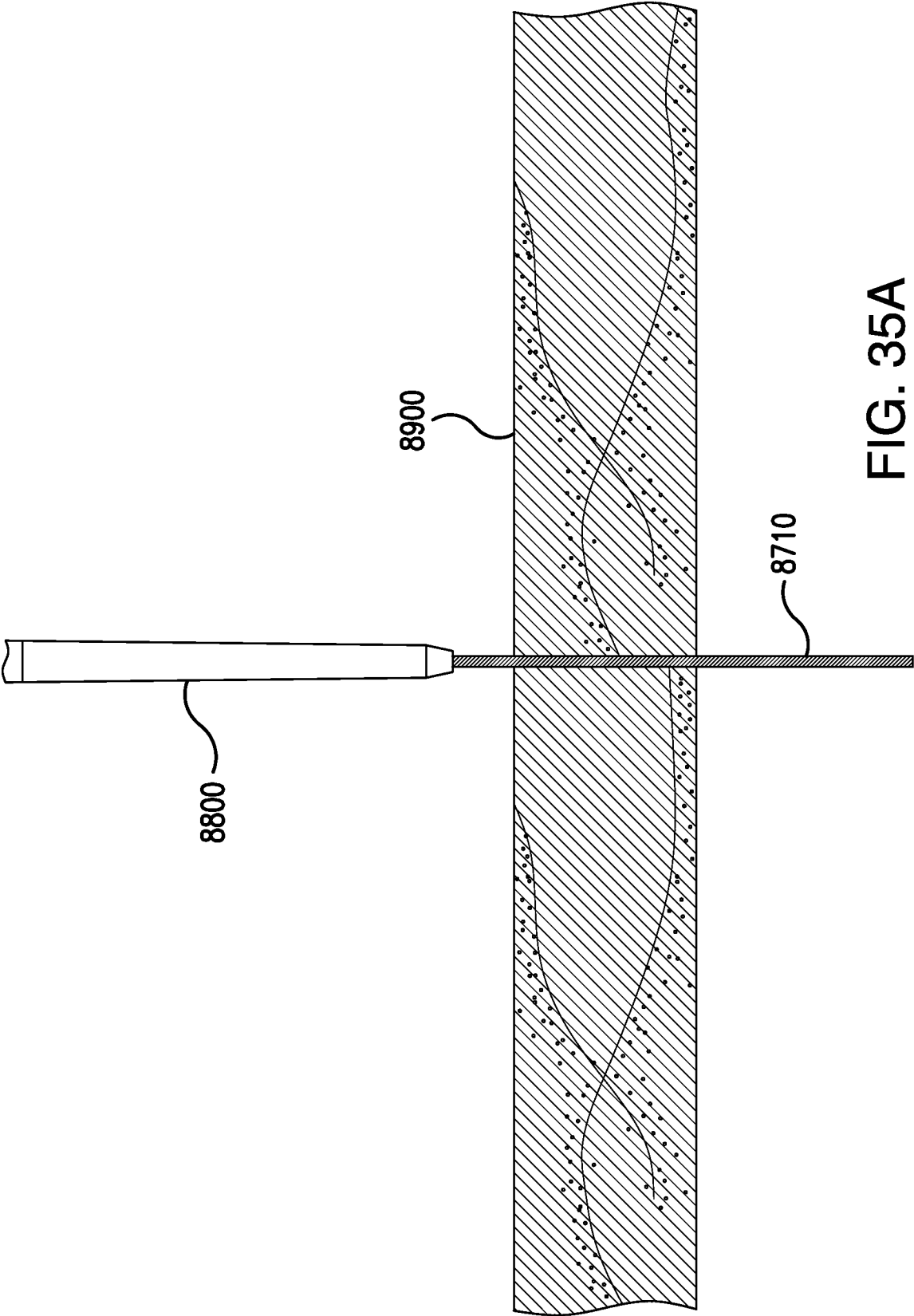


FIG. 35A

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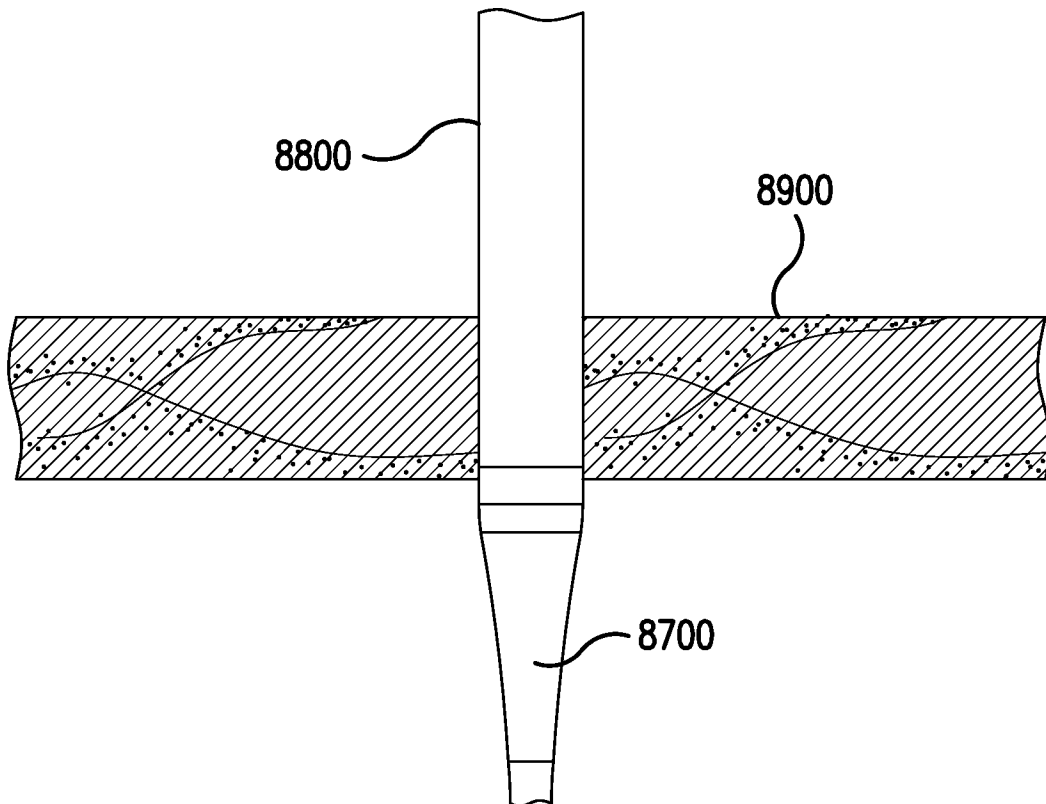


FIG. 35B

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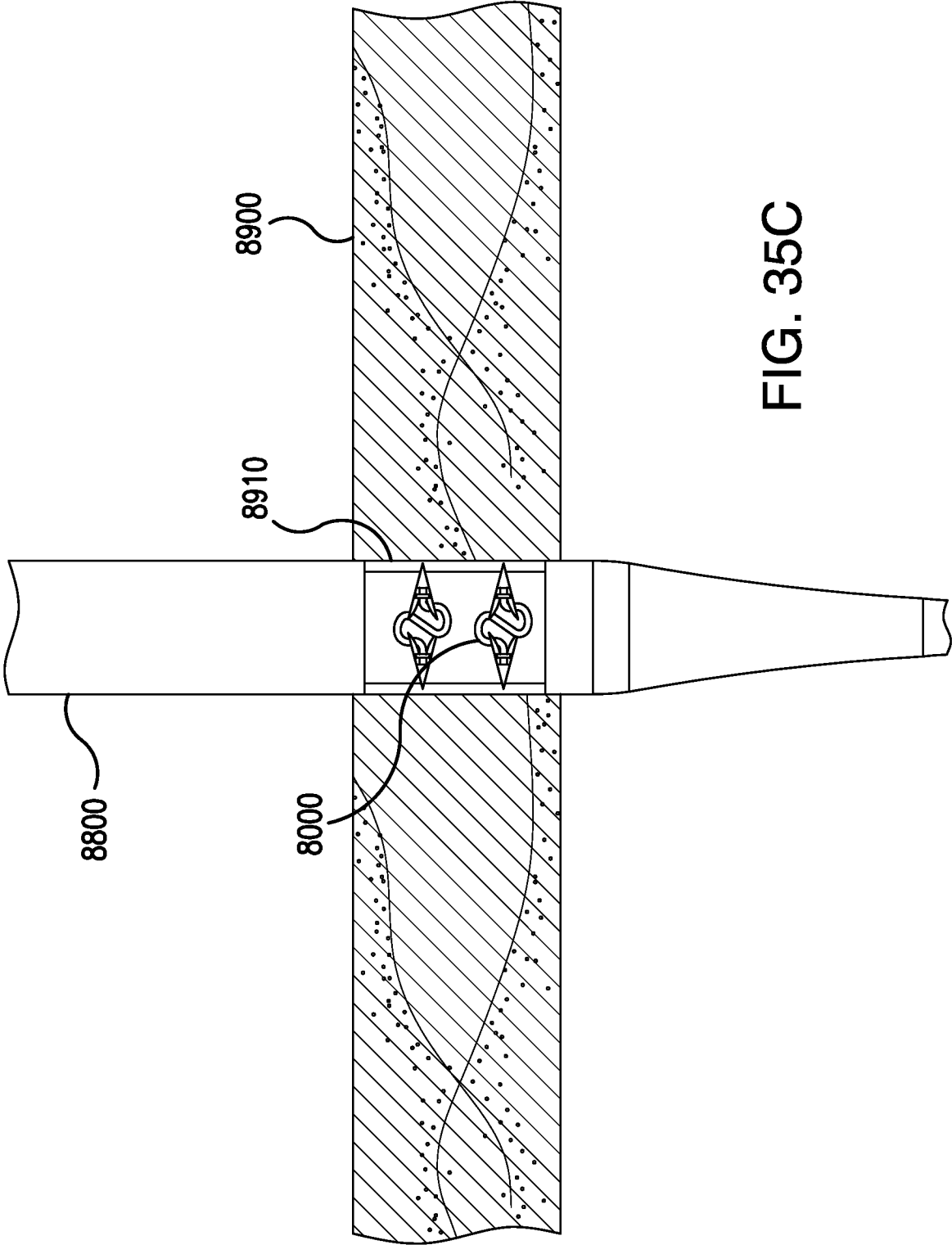


FIG. 35C

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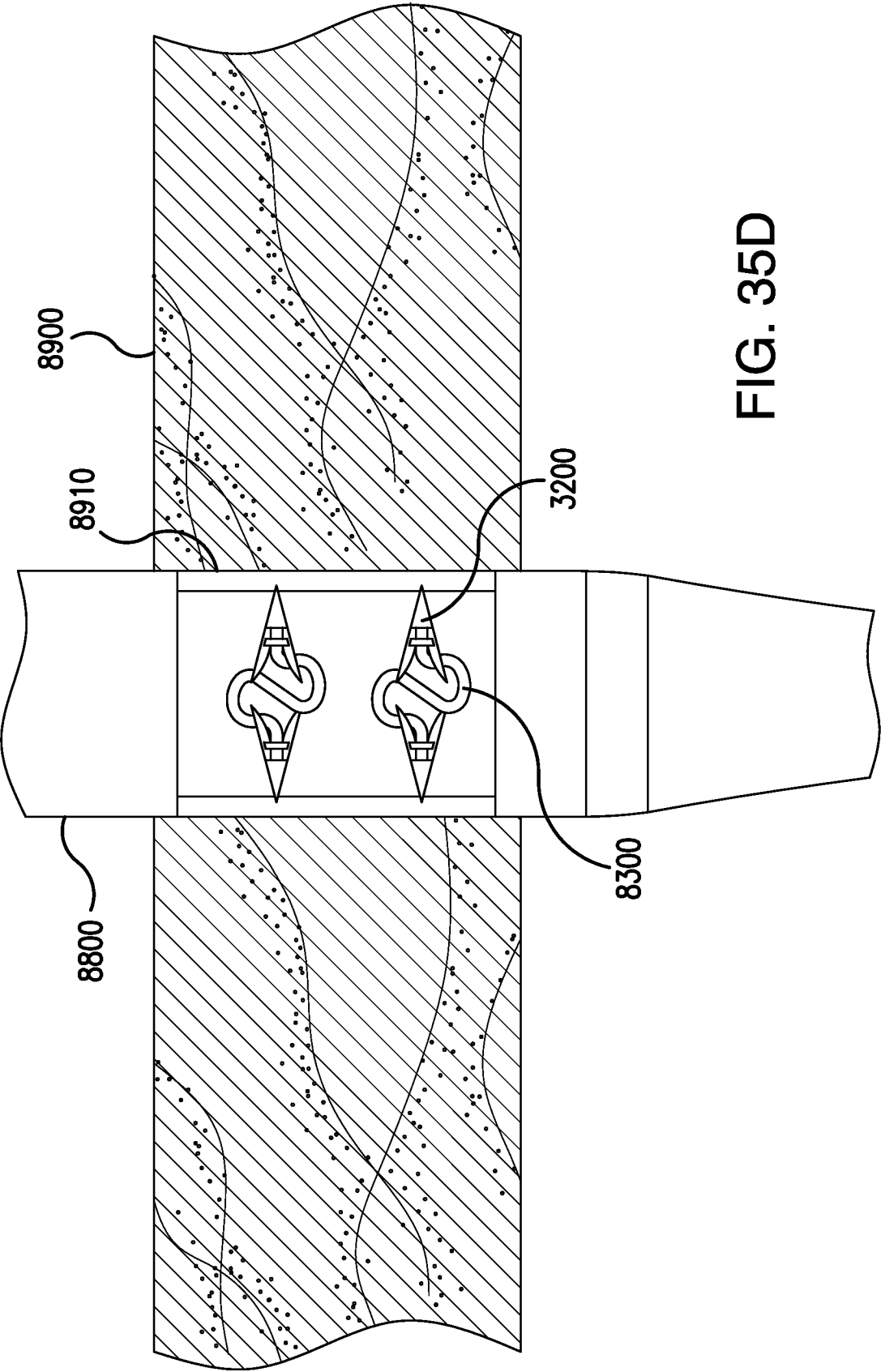


FIG. 35D

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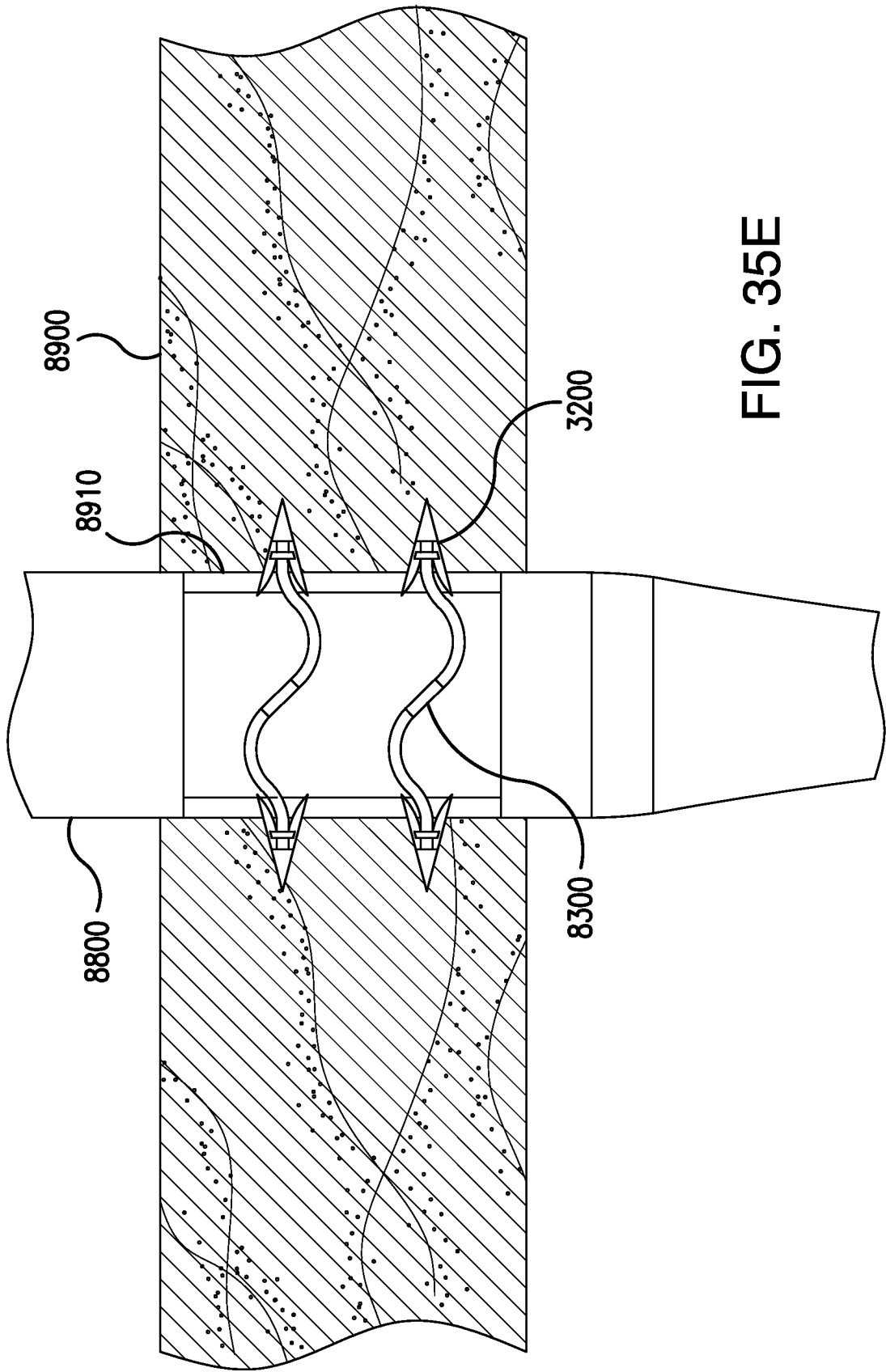


FIG. 35E

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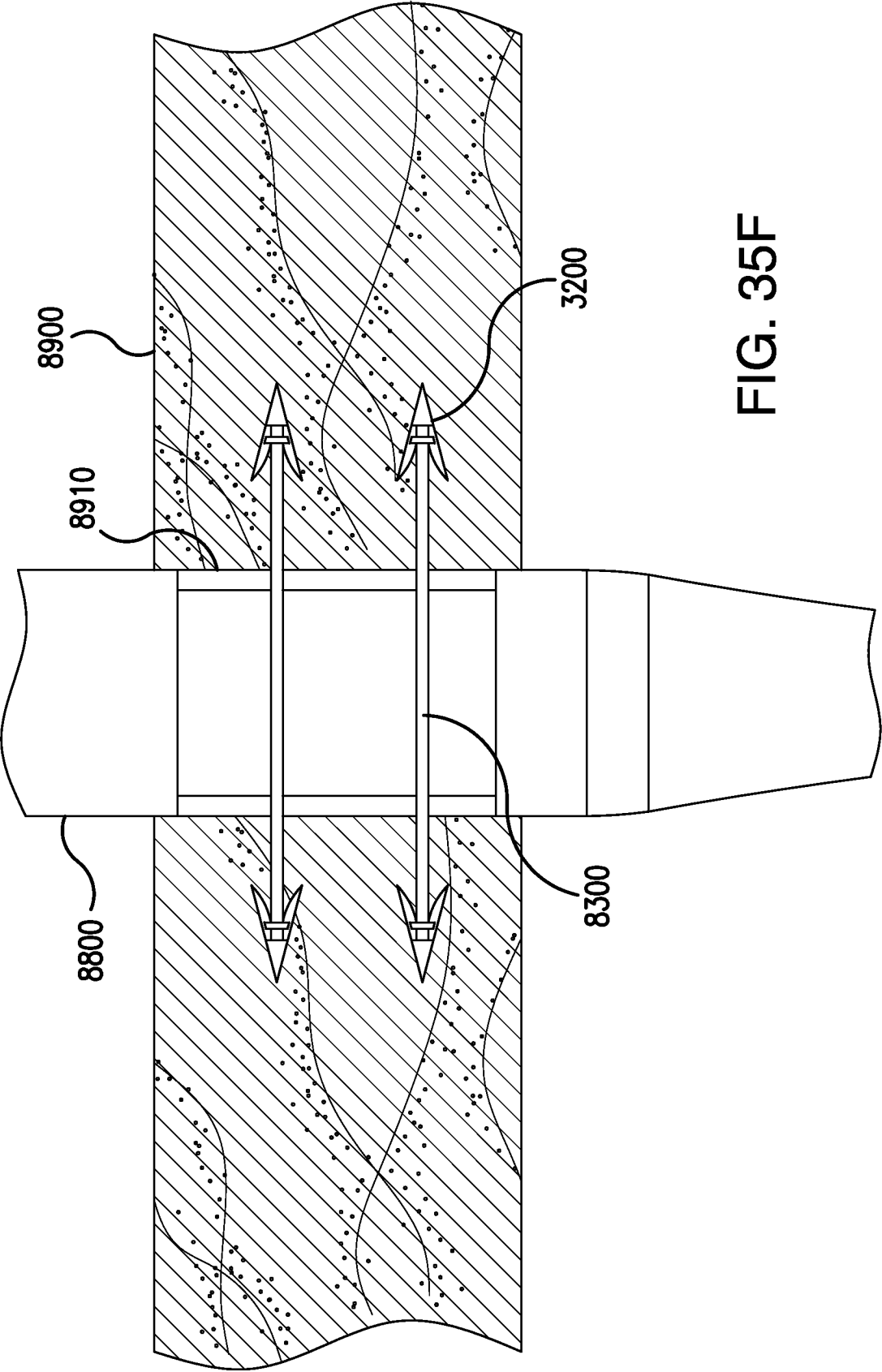


FIG. 35F

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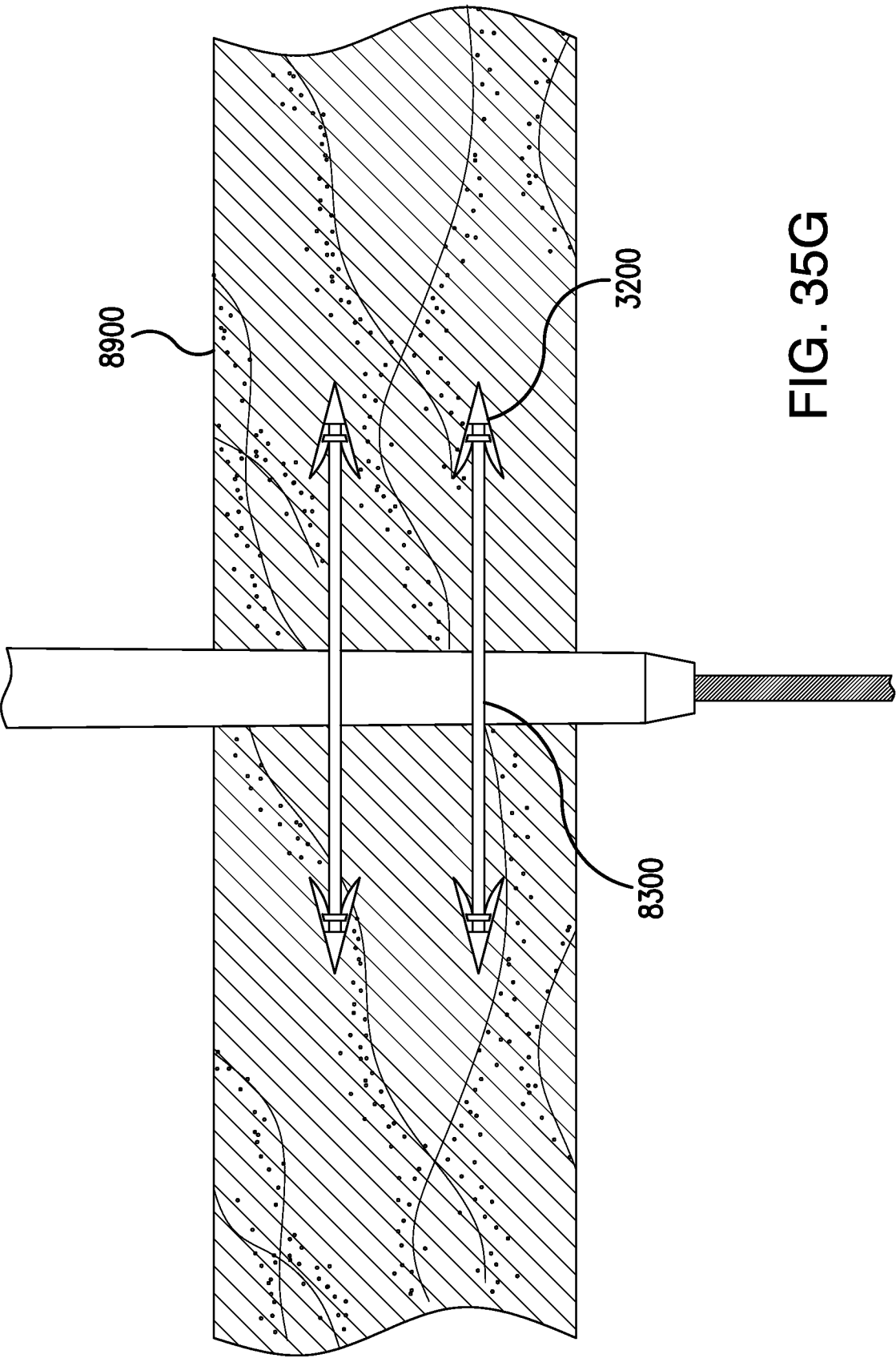


FIG. 35G

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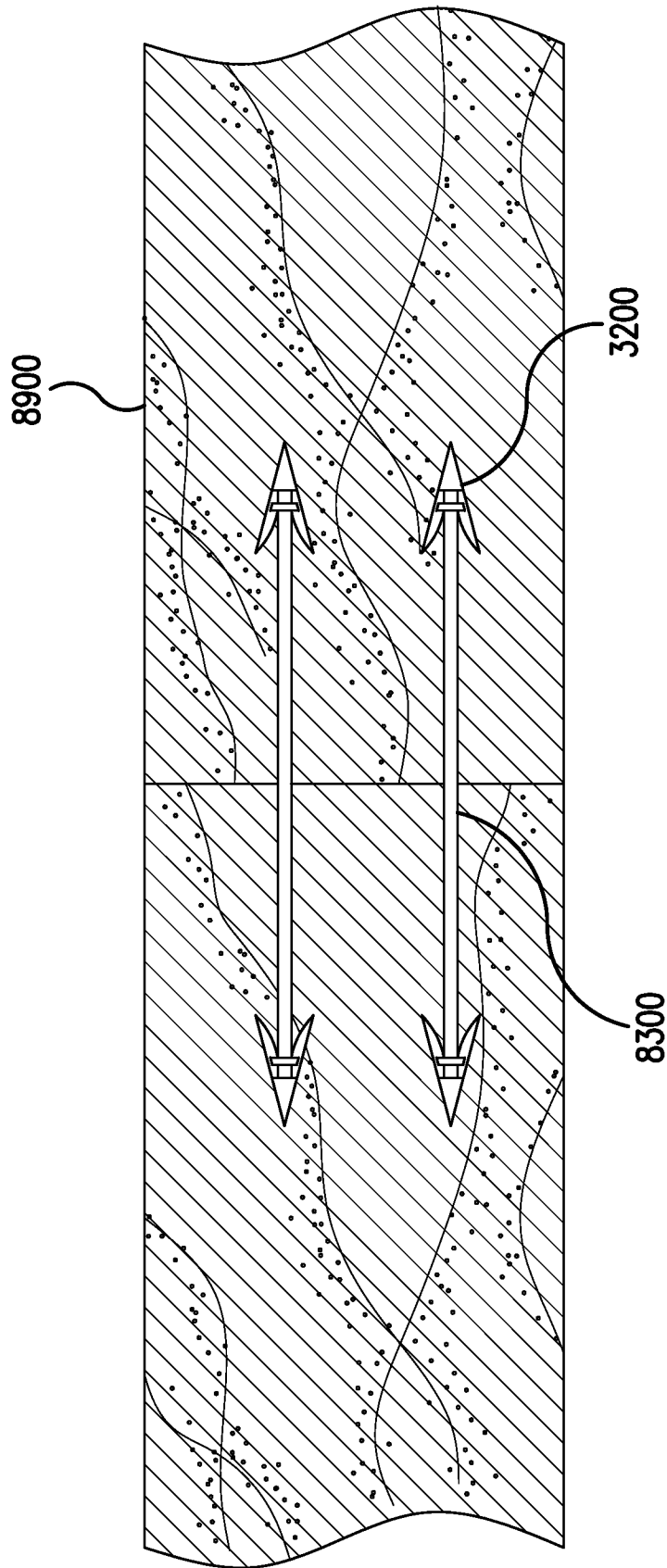


FIG. 35H

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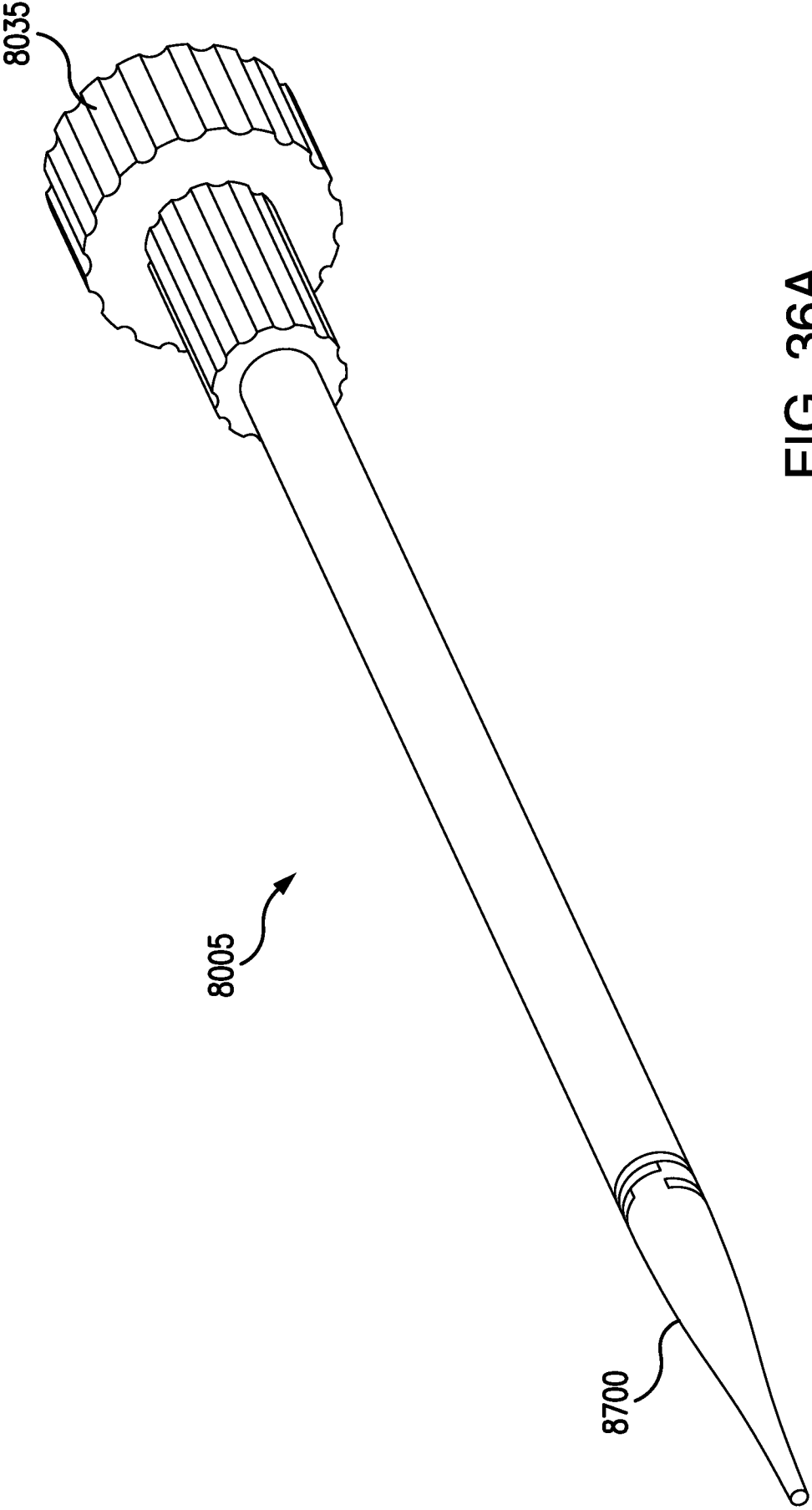


FIG. 36A

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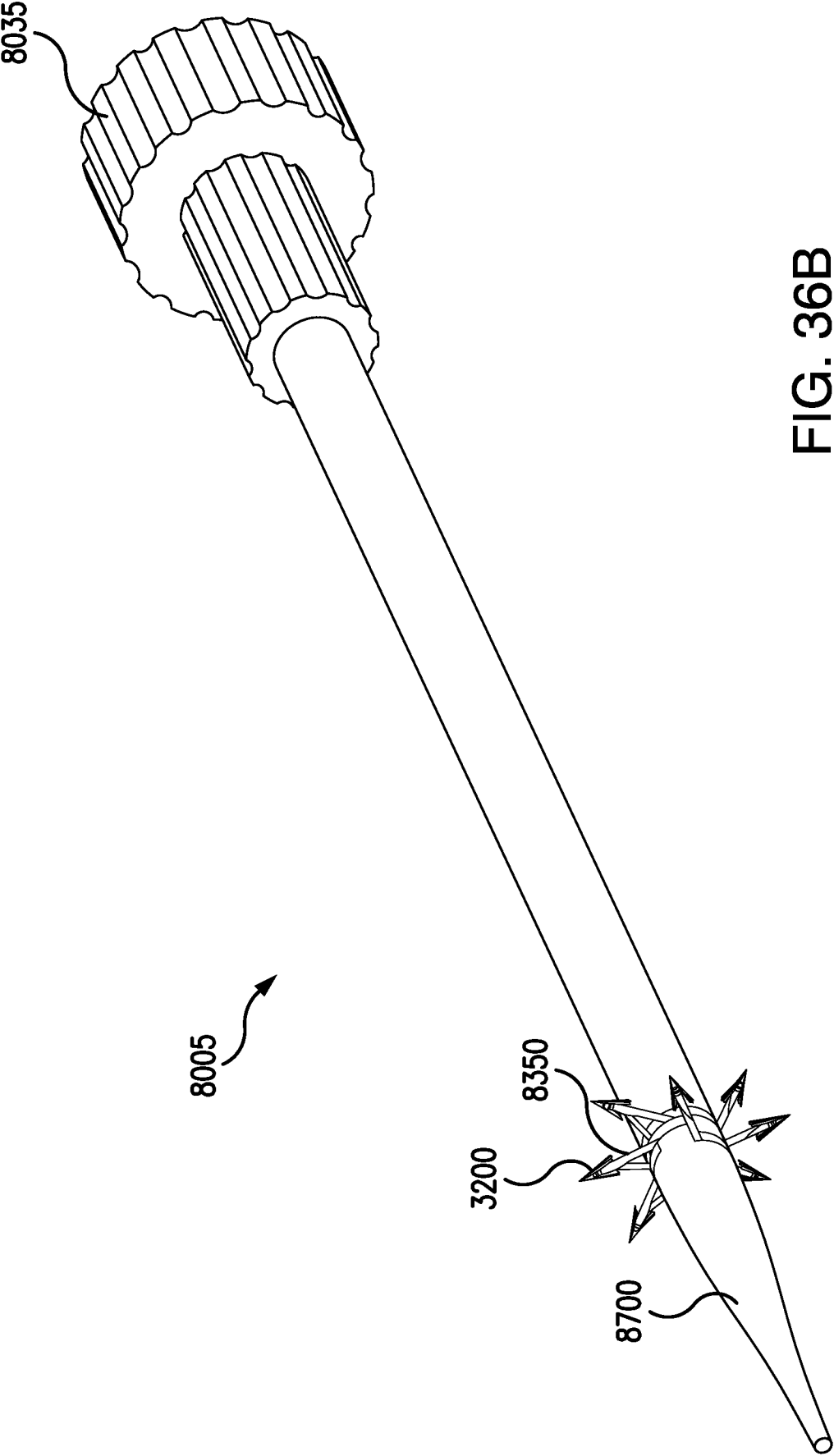


FIG. 36B

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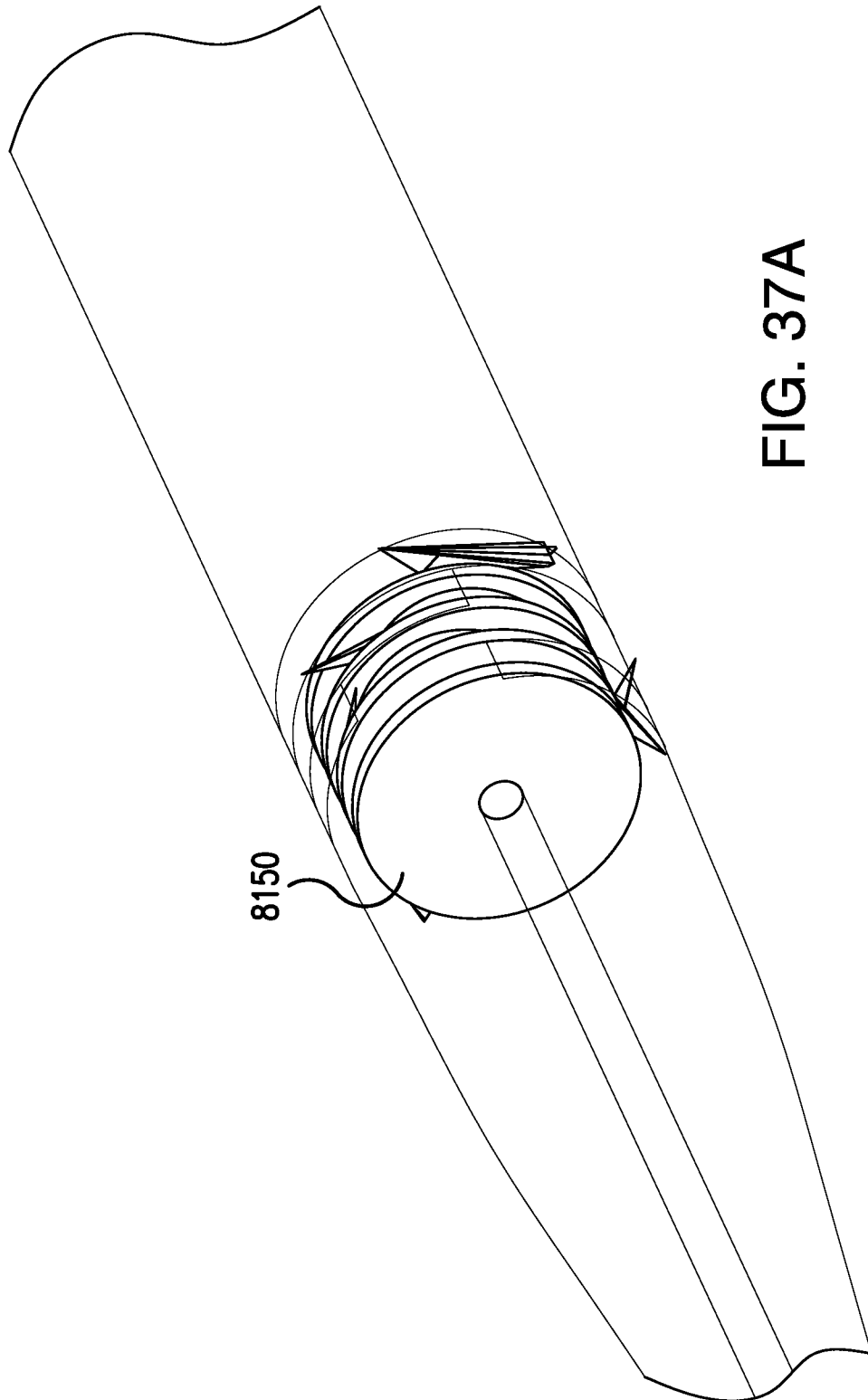


FIG. 37A

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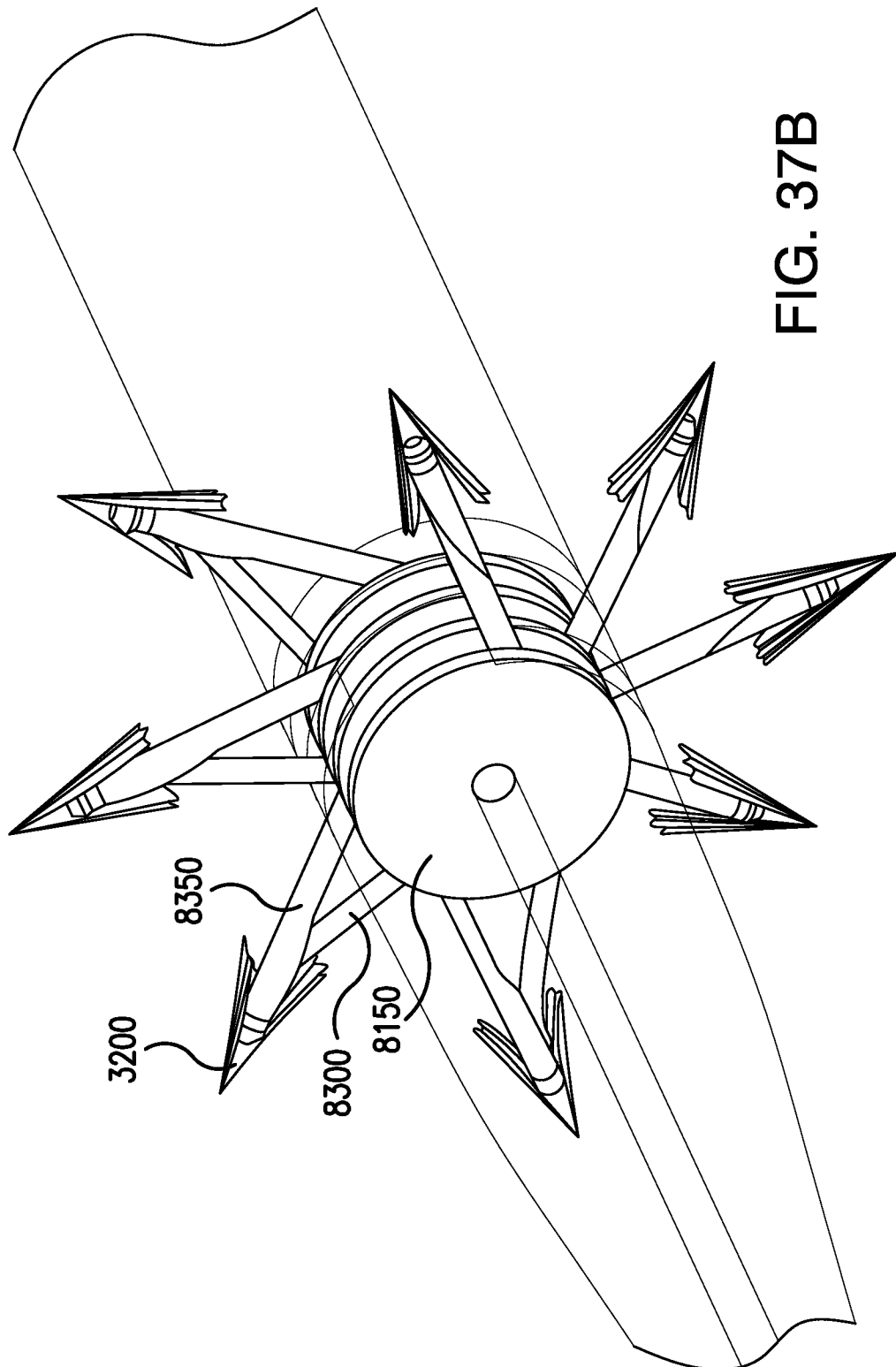


FIG. 37B

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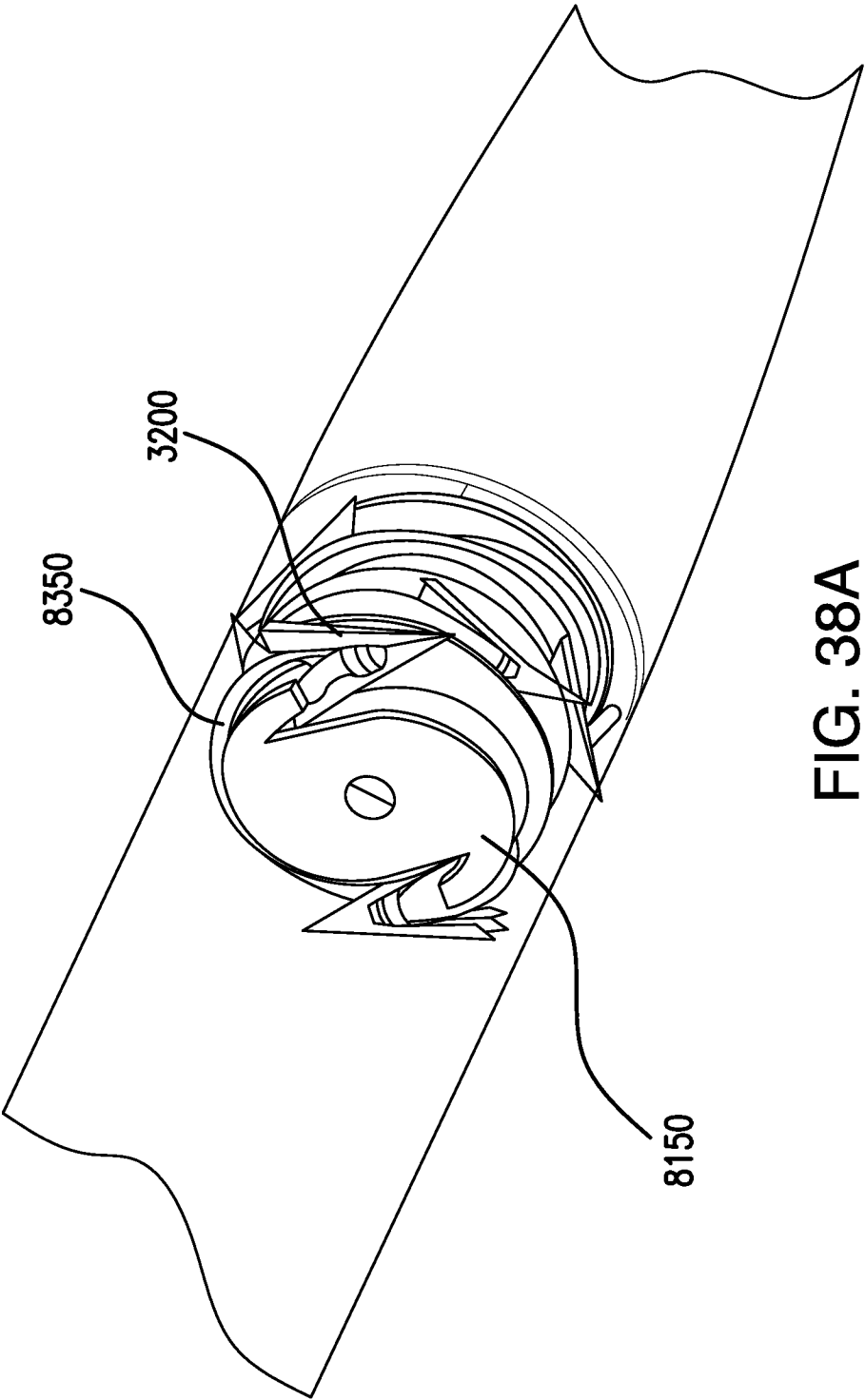


FIG. 38A

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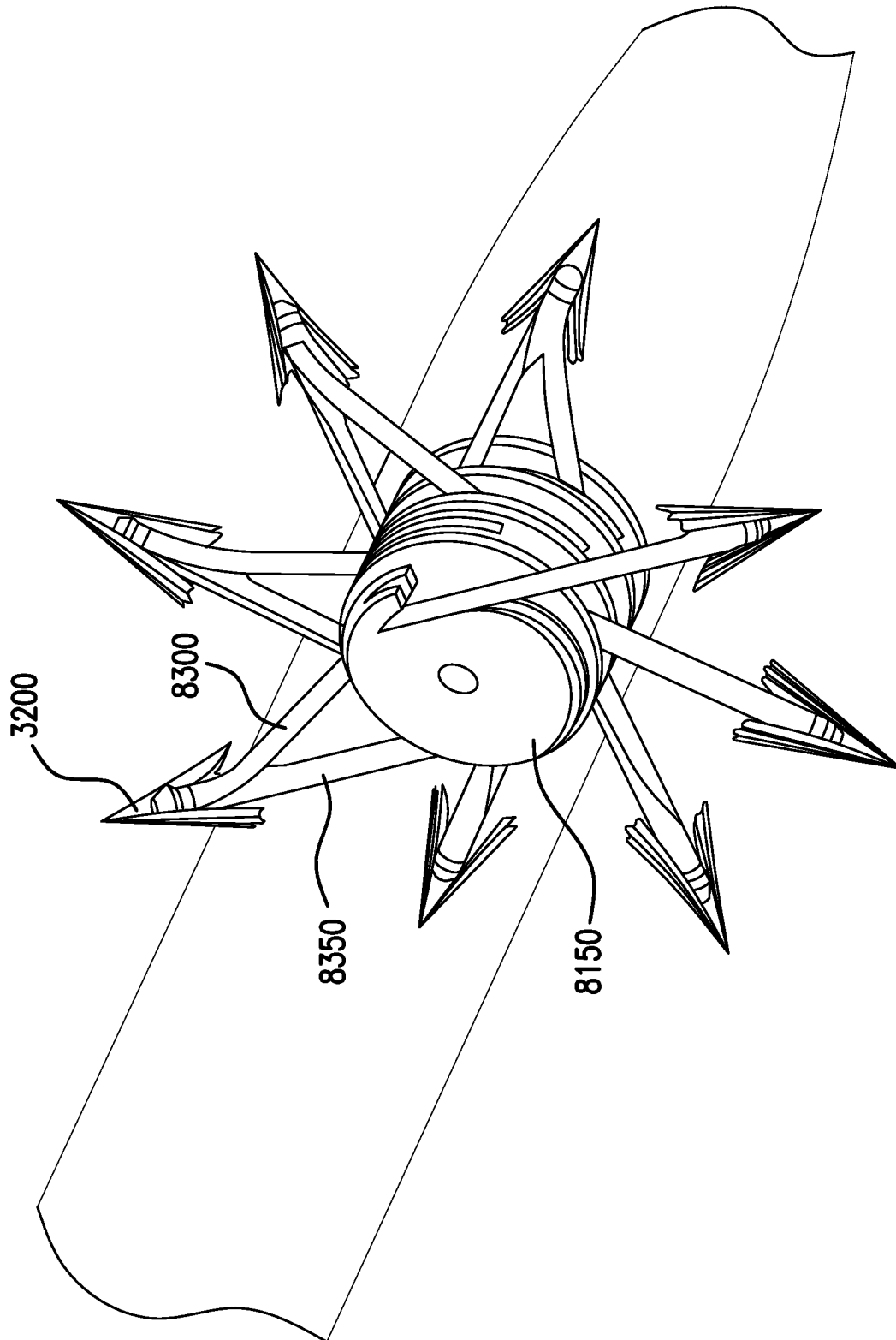


FIG. 38B

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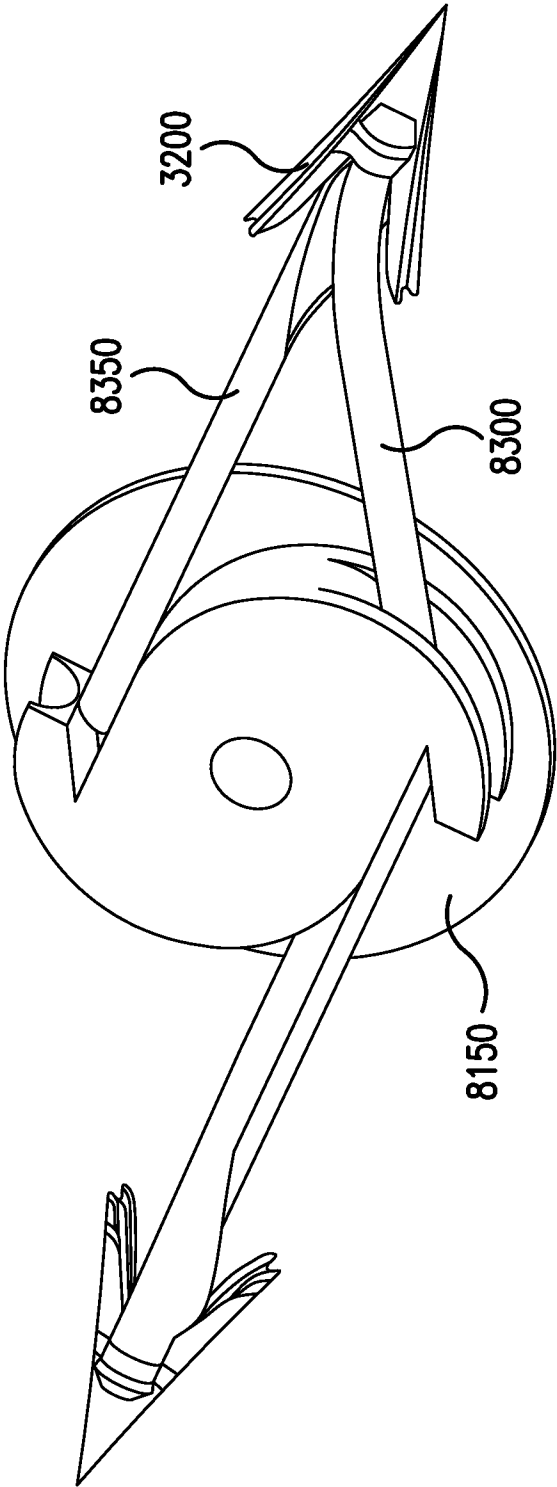
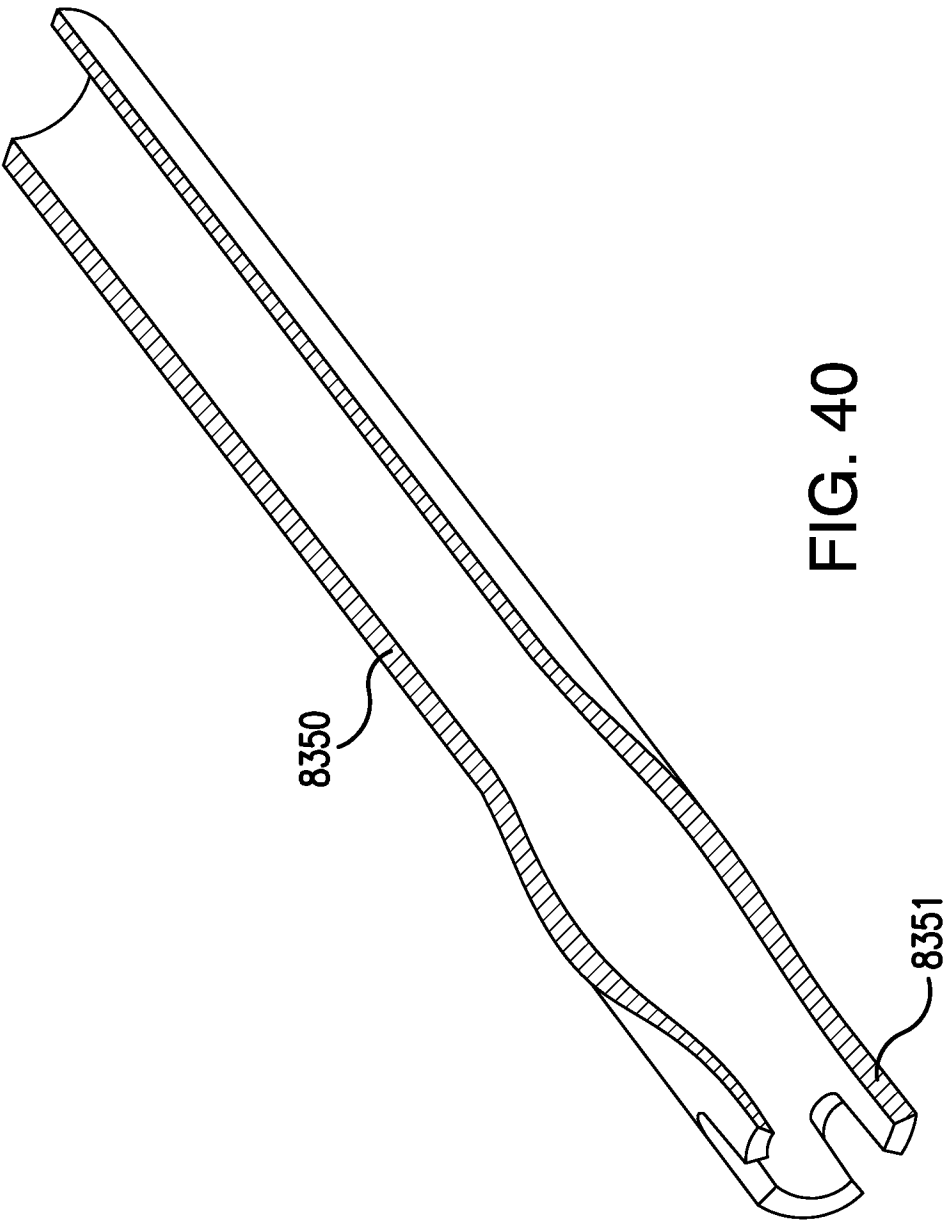
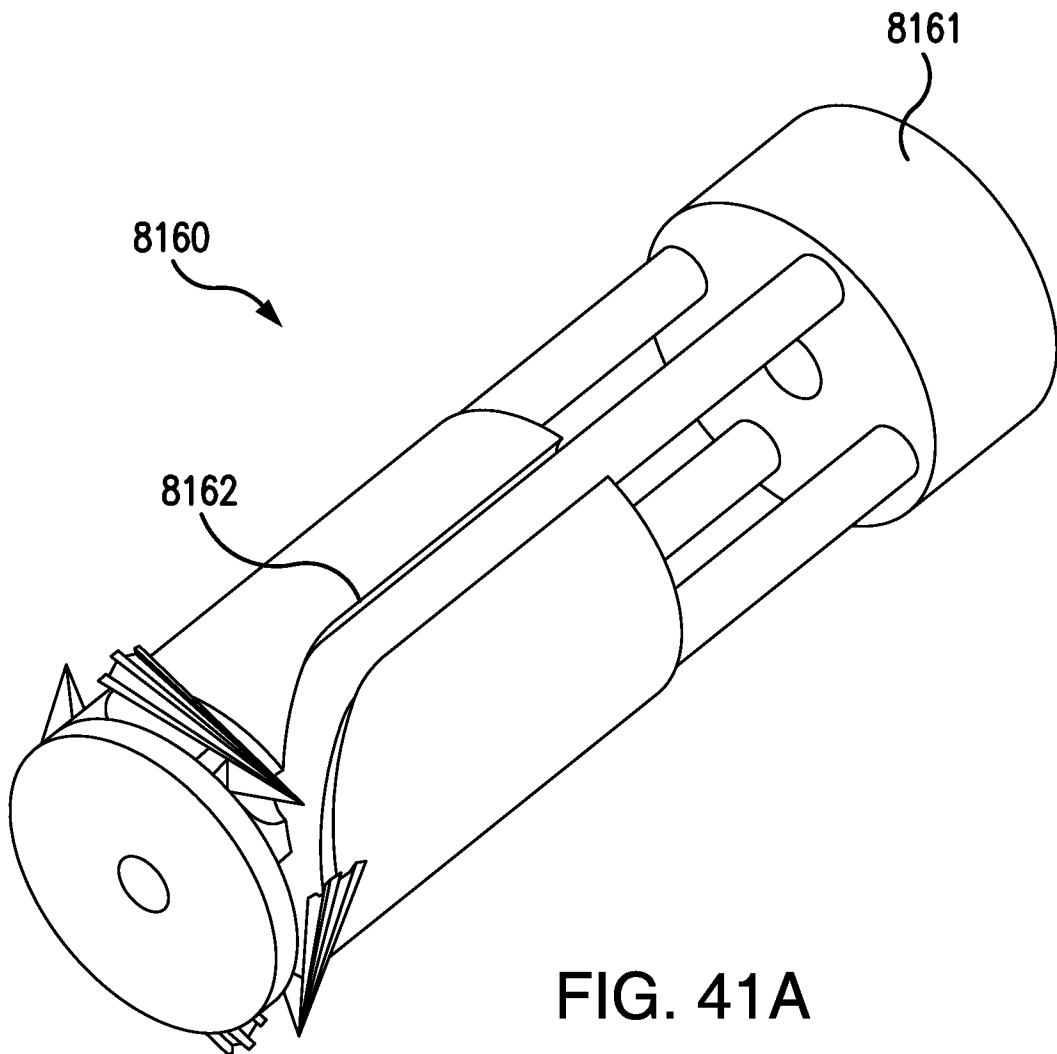


FIG. 39

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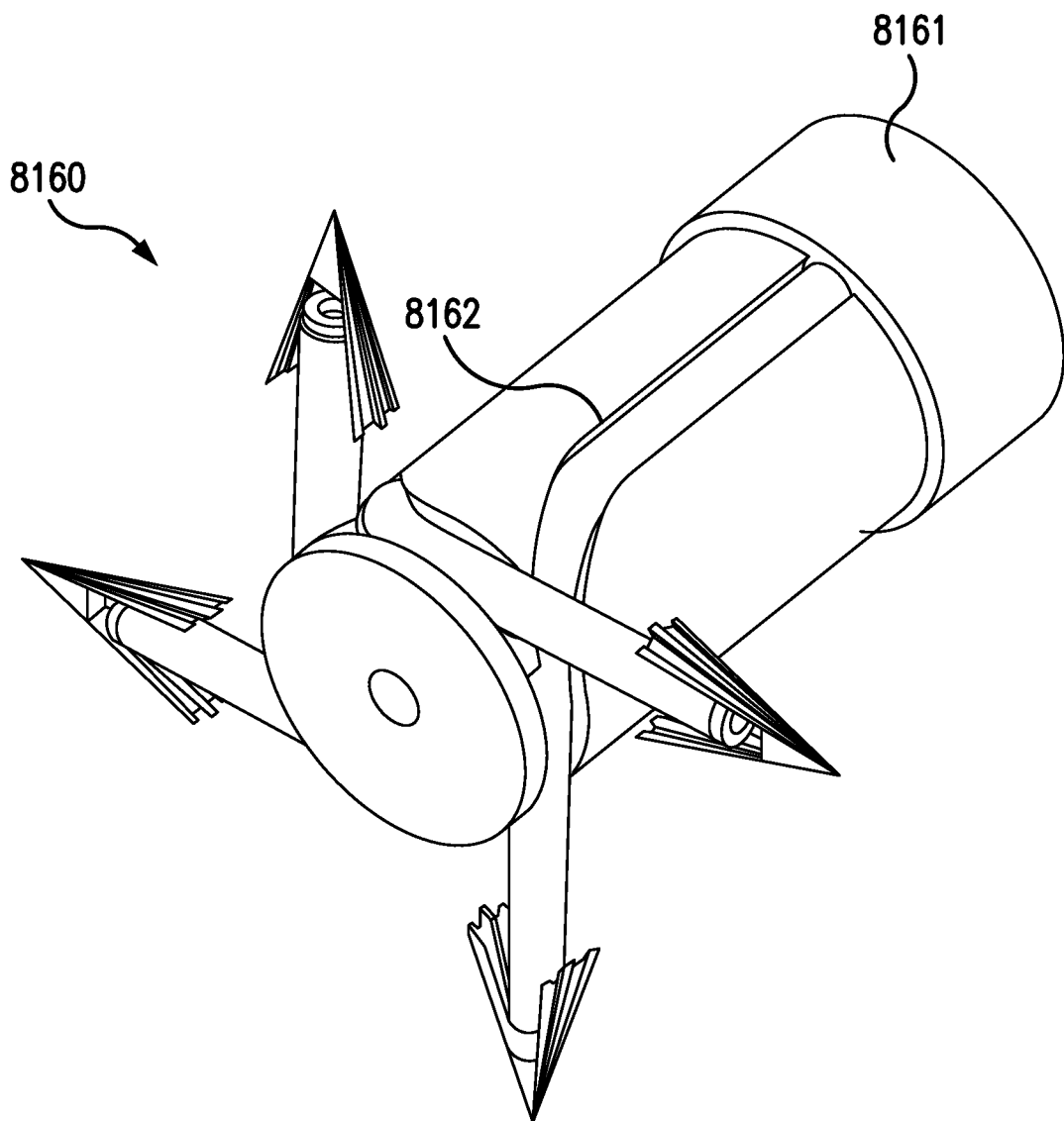


FIG. 41B

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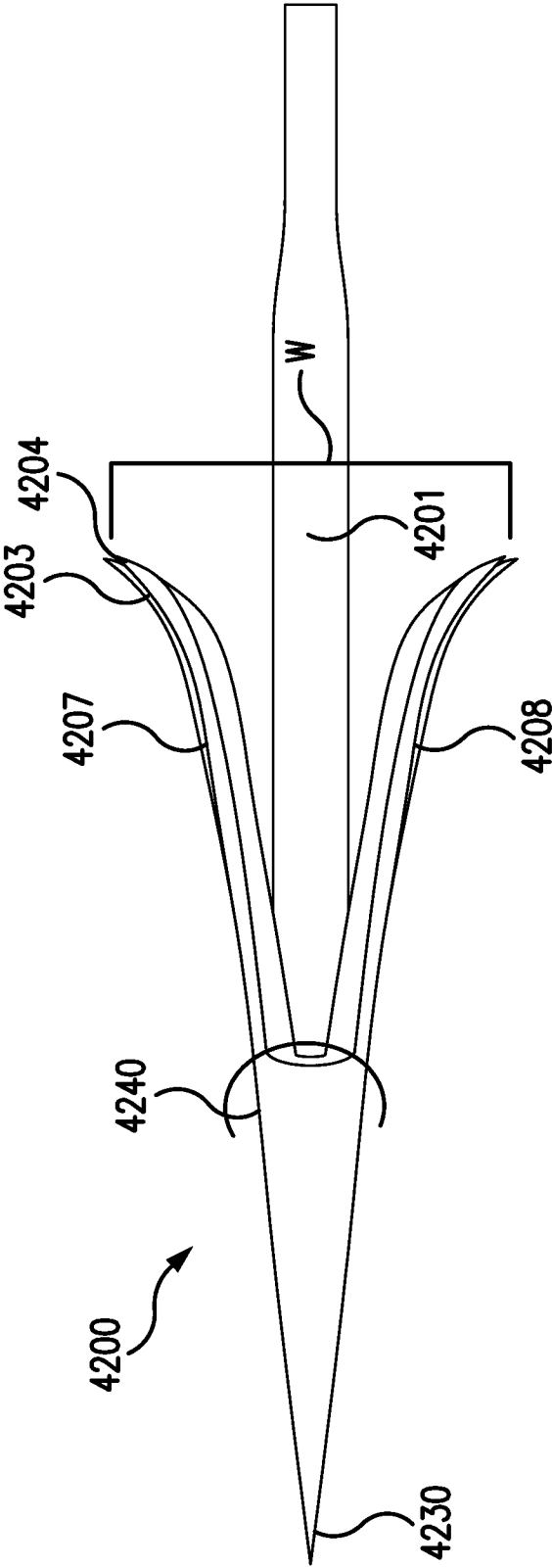


FIG. 42

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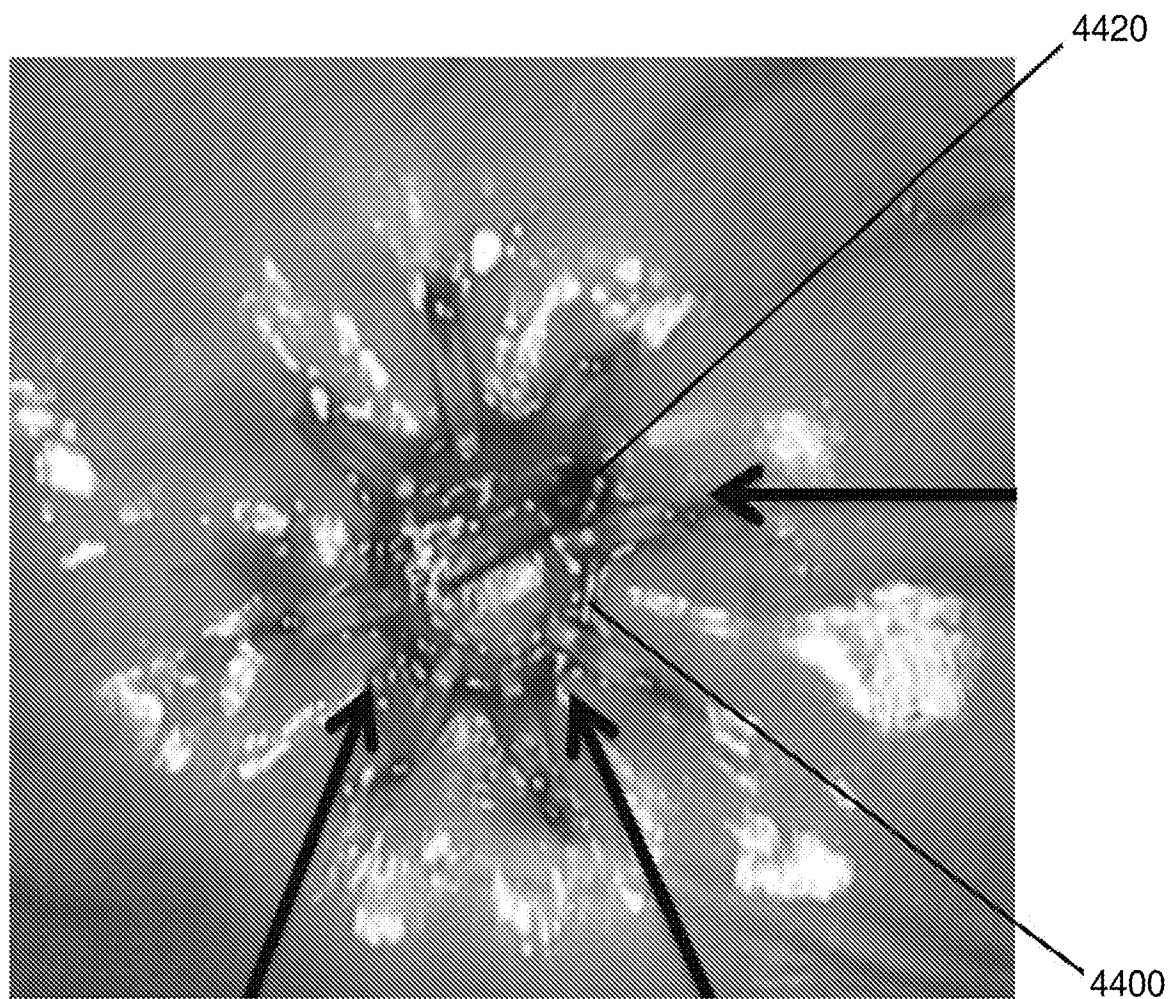


FIG. 43

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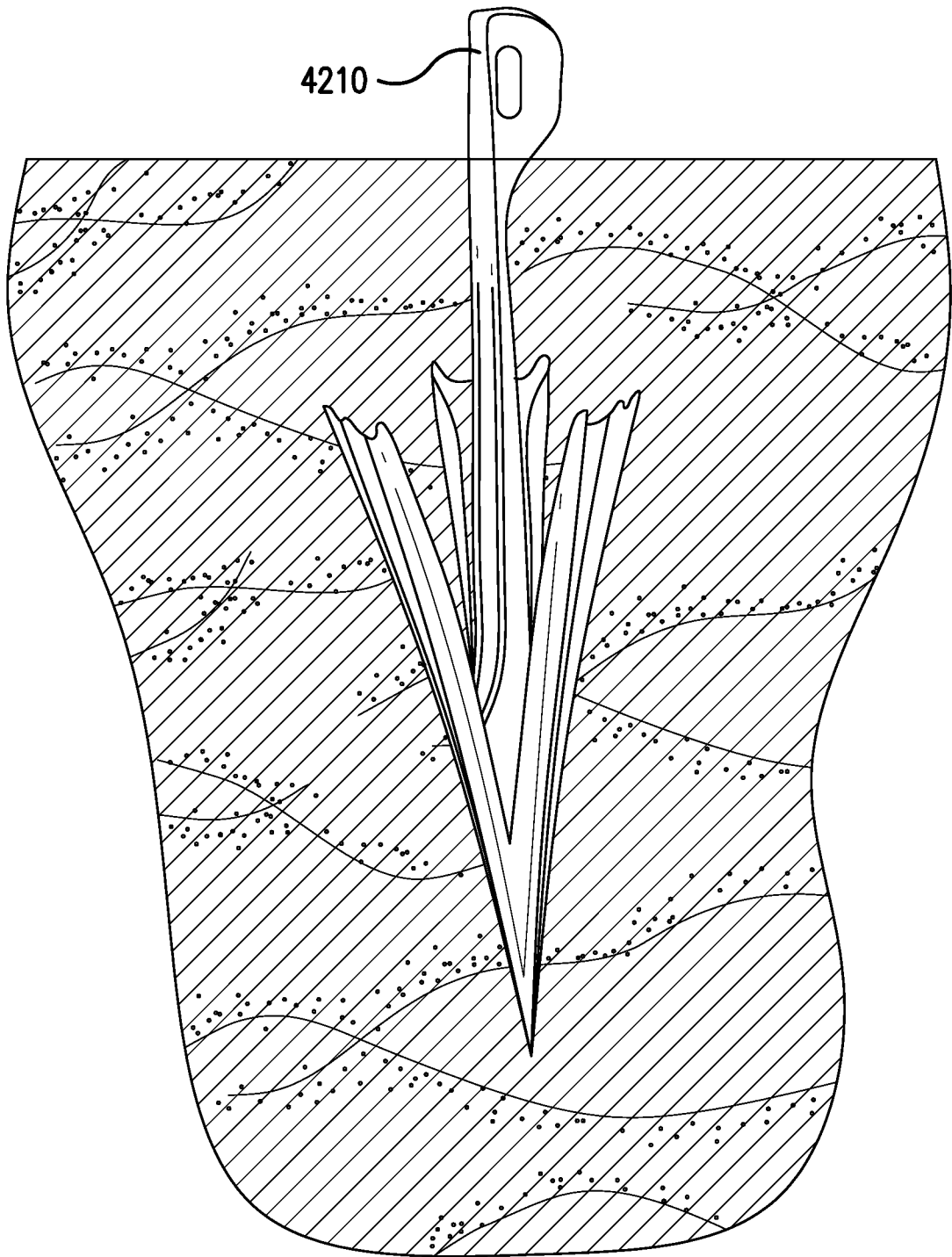


FIG. 44

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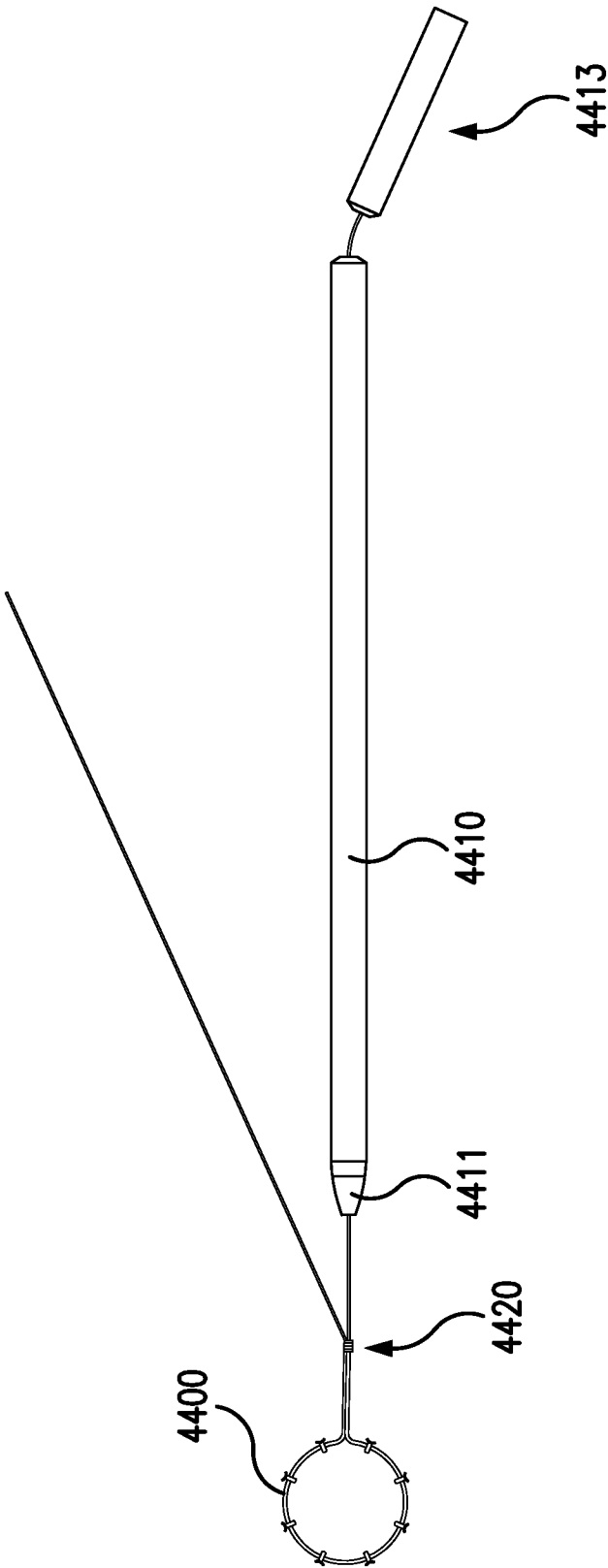
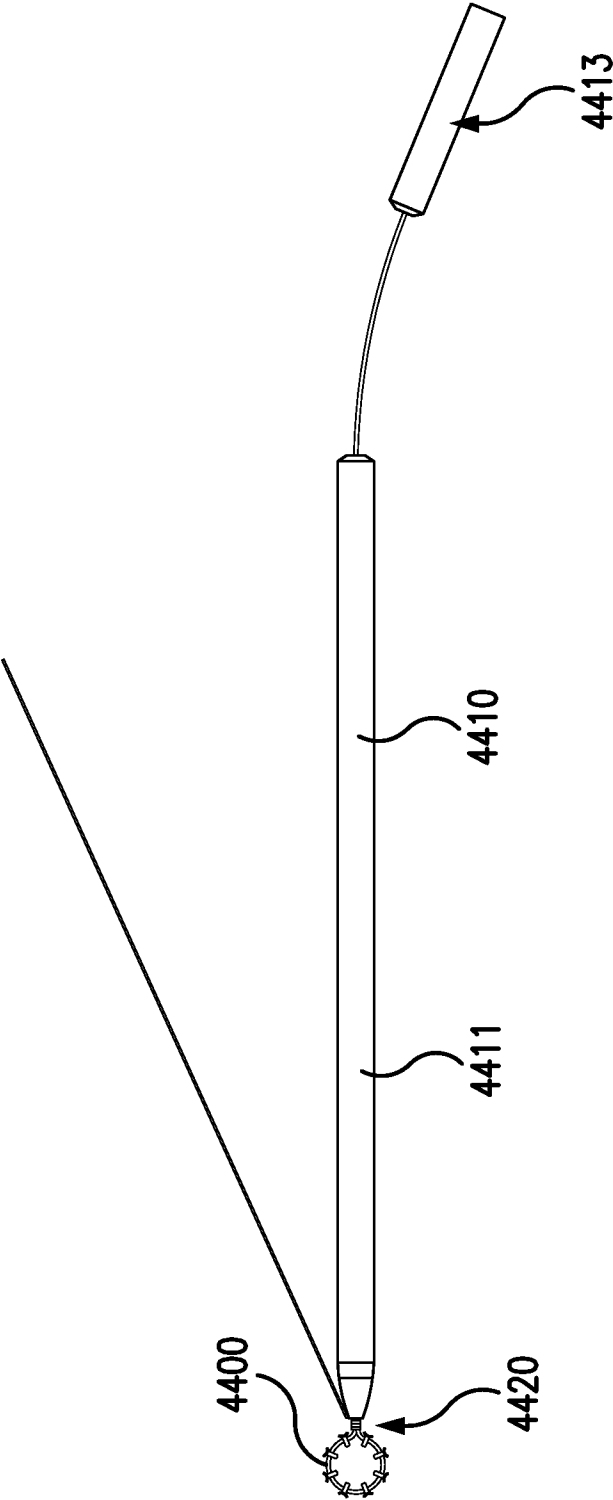


FIG. 45A

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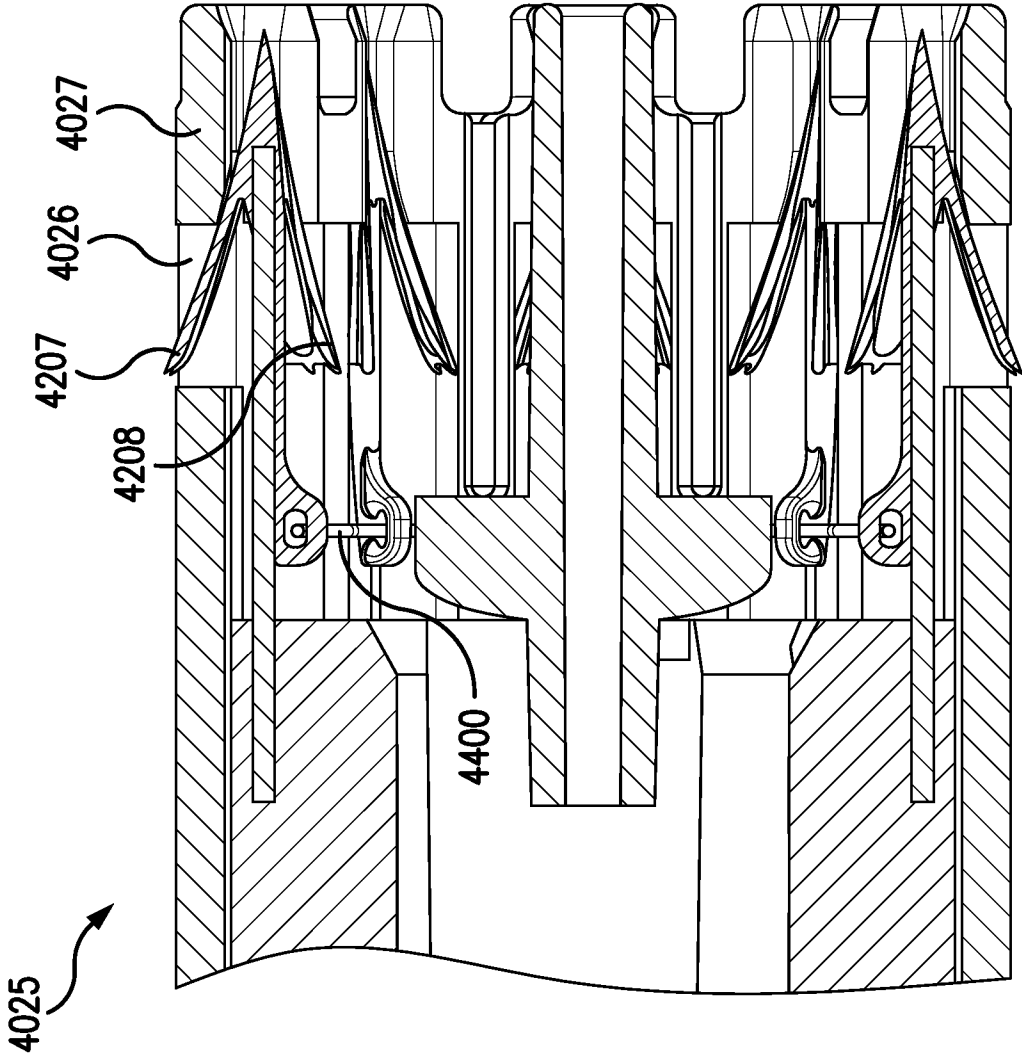


FIG. 46A

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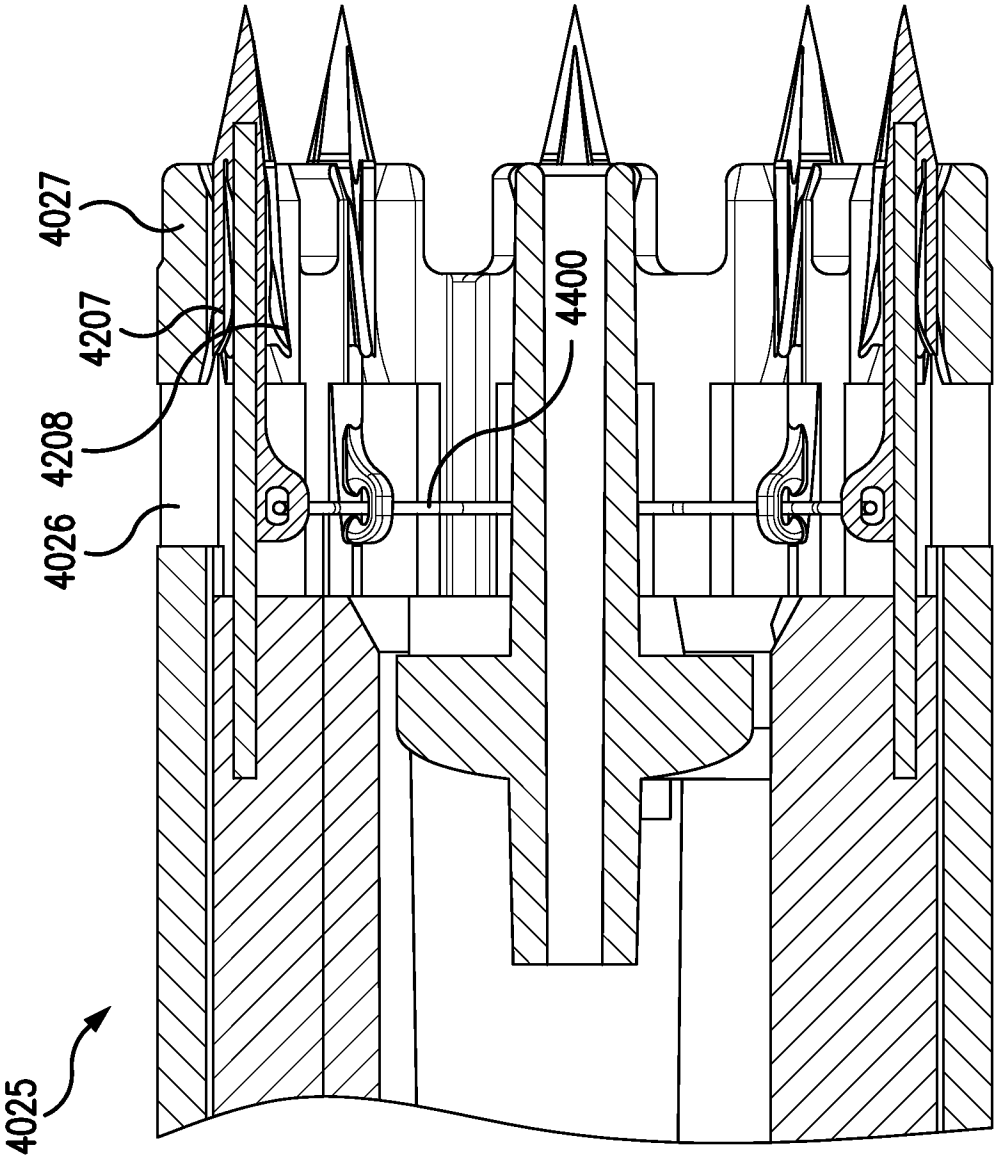


FIG. 46B

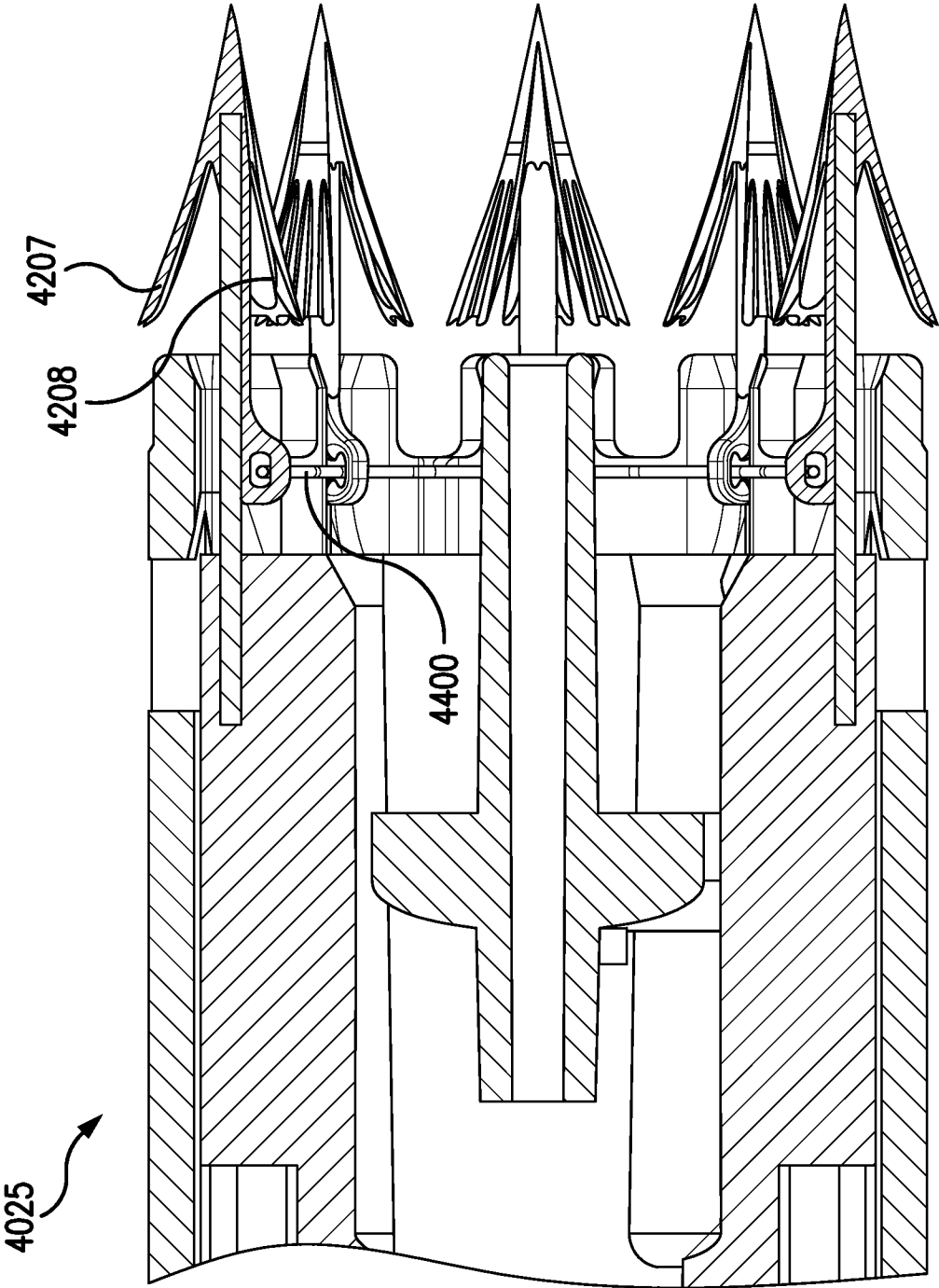


FIG. 46C

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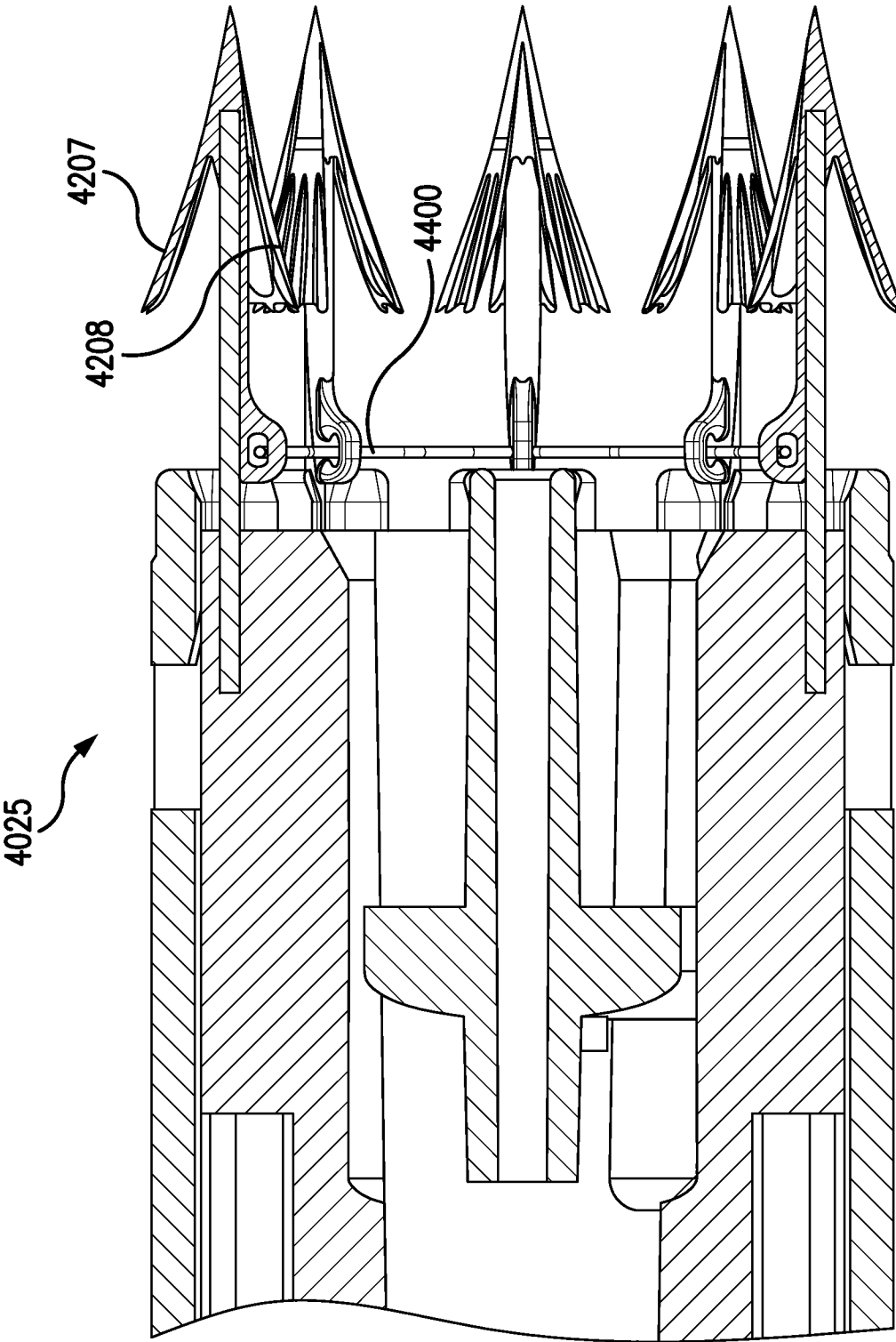


FIG. 46D

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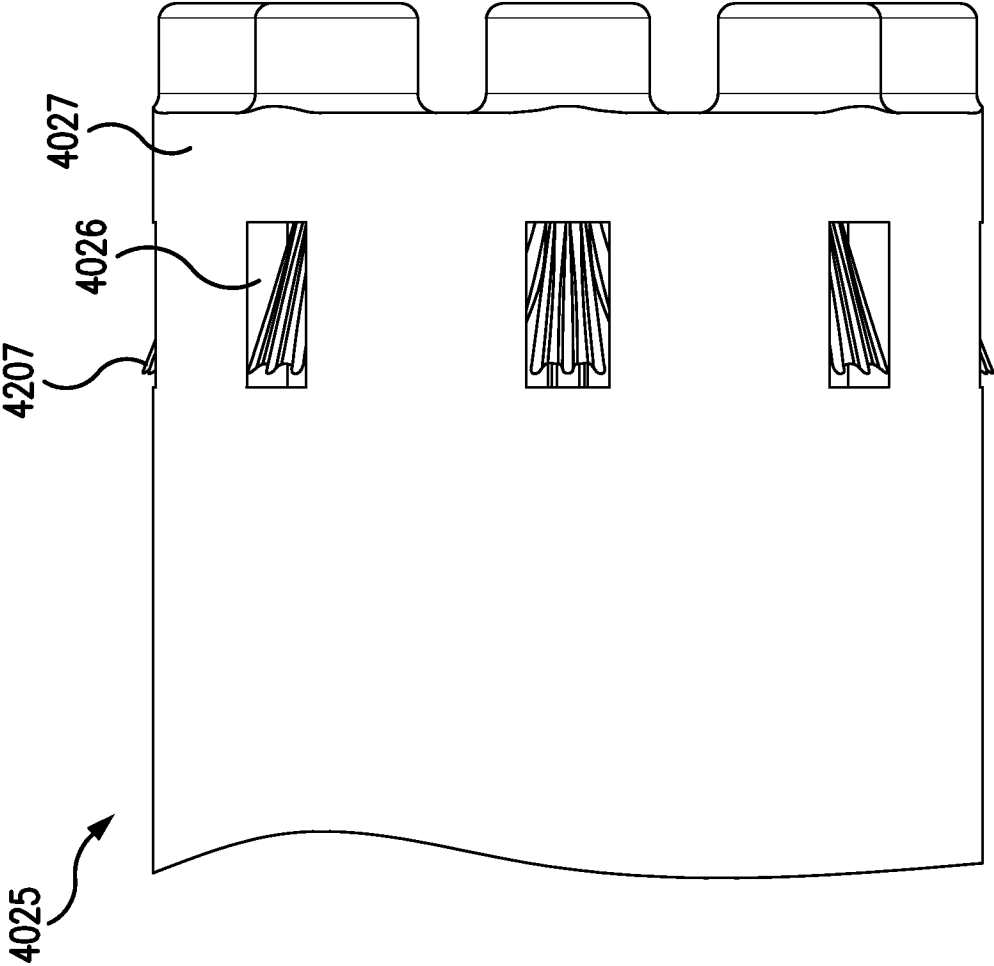


FIG. 47A

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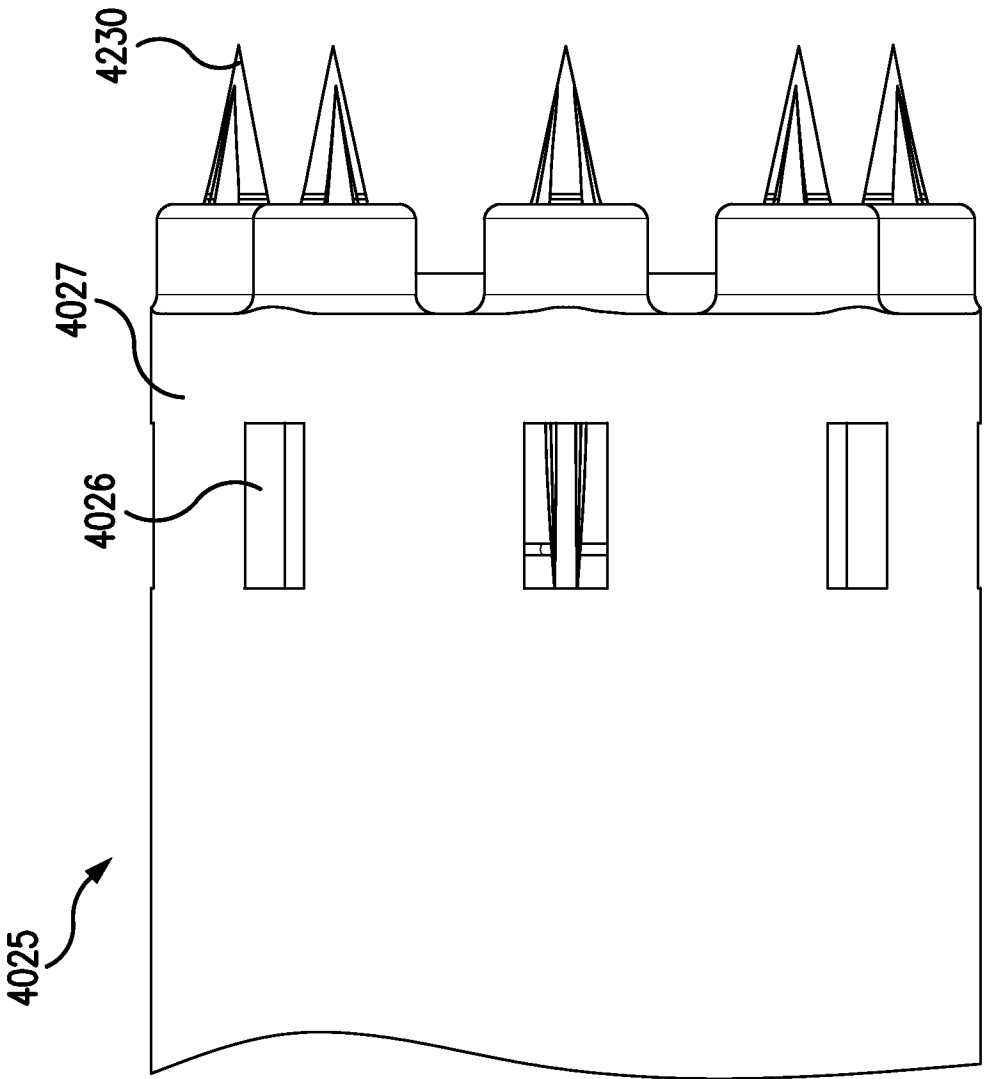


FIG. 47B

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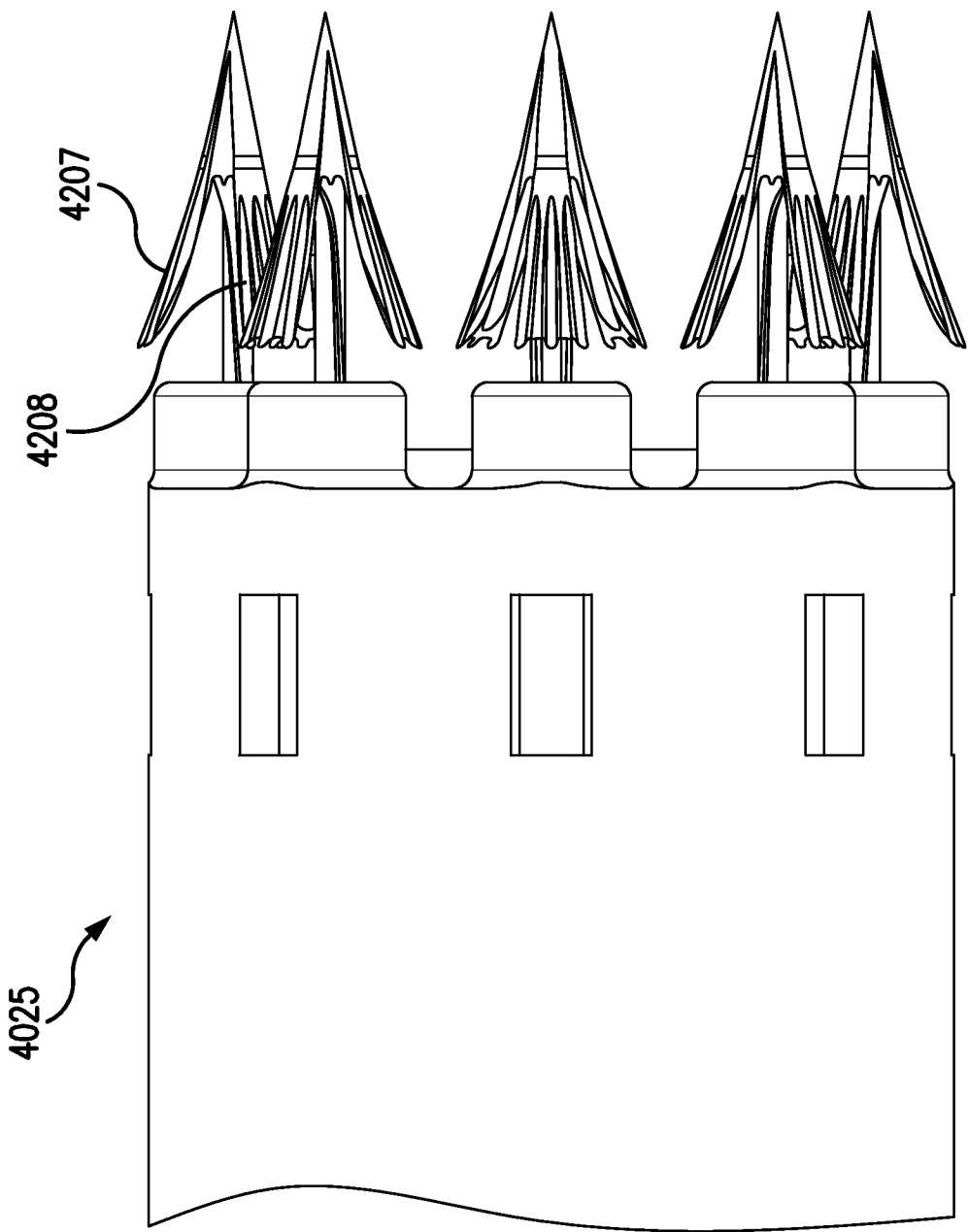


FIG. 47C

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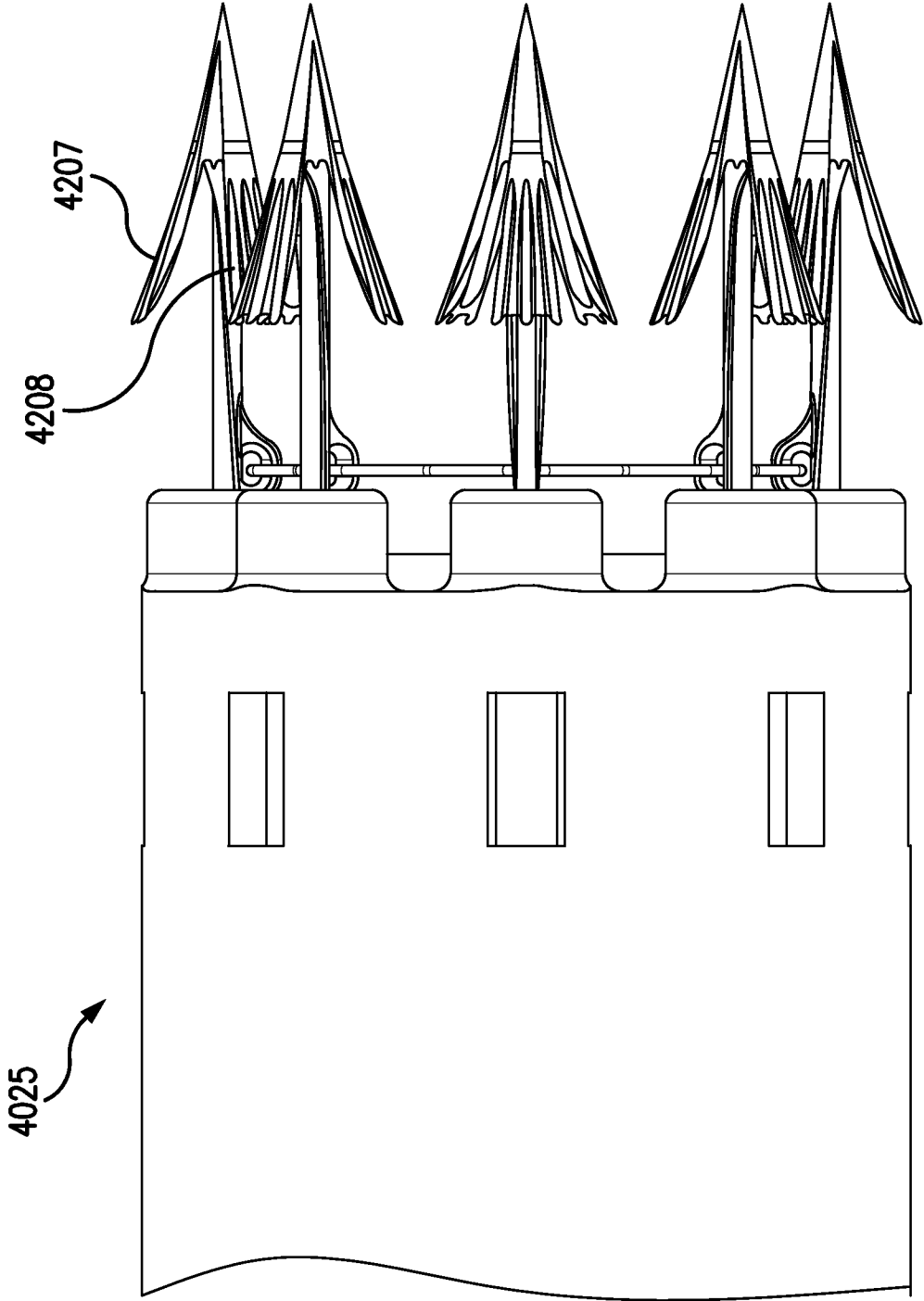


FIG. 47D

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/35191

| <b>A. CLASSIFICATION OF SUBJECT MATTER</b><br>IPC(8) - A61B 17/04 (2015.01)<br>CPC - A61B 2017/0427, A61B 2017/0412, A61B2017/0437, A61B 2017/0409<br>According to International Patent Classification (IPC) or to both national classification and IPC                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        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| <b>B. FIELDS SEARCHED</b><br>Minimum documentation searched (classification system followed by classification symbols)<br>IPC(8) - A61B 17/04 (2015.01)<br>CPC - A61B 2017/0427, A61B 2017/0412, A61B2017/0437, A61B 2017/0409<br>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched<br>A61B 2017/0427, 0429, 043, 0432, 0433, 0435, 0437; A61B 2017/06176, A61B 2017/00579; A61B 17/0401, 2017/040*, 041*, 042*, 043*, 044*, 045*, 0461, 0462, 0464; A61B 17/04*, 2017/04*, A61B 17/08*, 2018/08* (CPC) 606/213,216; 606/228-231; 606/232 (UPC)<br>Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)<br>PubWest (PGPB, USPT, EPAB, JPAB); Google; PatBase (All);<br>Search Terms: Barb*, suture anchor*, anchor*, tissue, complex*, trident, enhanced, multi, plural, point, tip, end, enhanced, outer, outside, external, exterior, face, surface, wall, shoulder, lip, flange, disc, driver, expand*, resilient*, fold*, relax*, contract*, collaps*, comp                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                      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DOCUMENTS CONSIDERED TO BE RELEVANT</b> <table border="1"> <thead> <tr> <th>Category*</th> <th>Citation of document, with indication, where appropriate, of the relevant passages</th> <th>Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td>X</td> <td>US 2011/0288583 A1 (GORALTCHOUK et al.) 24 November 2011 (24.11.2011) Entire document, especially Abstract, para[0038], para[0052]- para[0055], para[0069]- para[0081] and FIGS. 1-7.</td> <td>1-2, 4-7, 10</td> </tr> <tr> <td>X</td> <td rowspan="4">US 2003/0078604 A1 (WALSCHE) 24 April 2003 (24.04.2003) Entire document, especially Abstract, para[0069], para[0077]- para[0079], para[0084], para[0140] and FIGS. 4.</td> <td>1, 3, 8-9, 11-12, 20-21</td> </tr> <tr> <td>--</td> <td>-----</td> </tr> <tr> <td>Y</td> <td>19</td> </tr> <tr> <td>--</td> <td>-----</td> </tr> <tr> <td>A</td> <td></td> <td>13-18</td> </tr> <tr> <td>Y</td> <td>US 4,968,315 A (GATTURNA) 06 November 1990 (06.11.1990) Entire document, especially Abstract, col 8, ln 10-35, col 9, ln 59- col 10, ln 3 and FIGS. 14A, 21A.</td> <td>19</td> </tr> <tr> <td>A</td> <td>US 7,780,701 B1 (MERIDEW et al.) 24 August 2010 (24.08.2010) Entire document, especially Abstract, FIGS. 8-12.</td> <td>18</td> </tr> <tr> <td>A</td> <td>US 2012/0296345 A1 (WACK et al.) 22 November 2012 (22.11.2012) Entire document.</td> <td>1-21</td> </tr> <tr> <td>A</td> <td>US 2009/0287245 A1 (OSTROVSKY et al.) 19 November 2009 (19.11.2009) Entire document.</td> <td>1-21</td> </tr> <tr> <td>A</td> <td>US 2003/0078671 A1 (LESNIAK et al.) 24 April 2003 (24.04.2003) Entire document.</td> <td>1-21</td> </tr> <tr> <td>A</td> <td>US 2003/0093117 A1 (SAADAT) 15 May 2003 (15.05.2003) Entire document.</td> <td>1-21</td> </tr> <tr> <td>A</td> <td>US 2012/0022586 A1 (WHITMAN et al.) 26 January 2012 (26.01.2012) Entire document.</td> <td>1-21</td> </tr> </tbody> </table> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            | Category*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Citation of document, with indication, where appropriate, of the relevant passages                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Relevant to claim No. | X | US 2011/0288583 A1 (GORALTCHOUK et al.) 24 November 2011 (24.11.2011) Entire document, especially Abstract, para[0038], para[0052]- para[0055], para[0069]- para[0081] and FIGS. 1-7. | 1-2, 4-7, 10 | X | US 2003/0078604 A1 (WALSCHE) 24 April 2003 (24.04.2003) Entire document, especially Abstract, para[0069], para[0077]- para[0079], para[0084], para[0140] and FIGS. 4. | 1, 3, 8-9, 11-12, 20-21 | -- | ----- | Y | 19 | -- | ----- | A |  | 13-18 | Y | US 4,968,315 A (GATTURNA) 06 November 1990 (06.11.1990) Entire document, especially Abstract, col 8, ln 10-35, col 9, ln 59- col 10, ln 3 and FIGS. 14A, 21A. | 19 | A | US 7,780,701 B1 (MERIDEW et al.) 24 August 2010 (24.08.2010) Entire document, especially Abstract, FIGS. 8-12. | 18 | A | US 2012/0296345 A1 (WACK et al.) 22 November 2012 (22.11.2012) Entire document. | 1-21 | A | US 2009/0287245 A1 (OSTROVSKY et al.) 19 November 2009 (19.11.2009) Entire document. | 1-21 | A | US 2003/0078671 A1 (LESNIAK et al.) 24 April 2003 (24.04.2003) Entire document. | 1-21 | A | US 2003/0093117 A1 (SAADAT) 15 May 2003 (15.05.2003) Entire document. | 1-21 | A | US 2012/0022586 A1 (WHITMAN et al.) 26 January 2012 (26.01.2012) Entire document. | 1-21 |
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para[0052]- para[0055], para[0069]- para[0081] and FIGS. 1-7.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1-2, 4-7, 10                                                                               |                                                                                                                                                                                                                                                                                                                                                                          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para[0079], para[0084], para[0140] and FIGS. 4.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1, 3, 8-9, 11-12, 20-21                                                                    |                                                                                                                                                                                                                                                                                                                                                                        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col 10, ln 3 and FIGS. 14A, 21A.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 19                                                                                         |                                                                                                                                                                                                                                                                                                                                                                               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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1-21                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                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| <input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           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| <table border="0"> <tr> <td>           * Special categories of cited documents:<br/>           "A" document defining the general state of the art which is not considered to be of particular relevance<br/>           "E" earlier application or patent but published on or after the international filing date<br/>           "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)<br/>           "O" document referring to an oral disclosure, use, exhibition or other means<br/>           "P" document published prior to the international filing date but later than the priority date claimed         </td> <td>           "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention<br/>           "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone<br/>           "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art<br/>           "&amp;" document member of the same patent family         </td> </tr> </table>                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                            | * Special categories of cited documents:<br>"A" document defining the general state of the art which is not considered to be of particular relevance<br>"E" earlier application or patent but published on or after the international filing date<br>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)<br>"O" document referring to an oral disclosure, use, exhibition or other means<br>"P" document published prior to the international filing date but later than the priority date claimed | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention<br>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone<br>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art<br>"&" document member of the same patent family |                       |   |                                                                                                                                                                                       |              |   |                                                                                                                                                                       |                         |    |       |   |    |    |       |   |  |       |   |                                                                                                                                                               |    |   |                                                                                                                |    |   |                                                                                 |      |   |                                                                                      |      |   |                                                                                 |      |   |                                                                       |      |   |                                                                                   |      |
| * Special categories of cited documents:<br>"A" document defining the general state of the art which is not considered to be of particular relevance<br>"E" earlier application or patent but published on or after the international filing date<br>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)<br>"O" document referring to an oral disclosure, use, exhibition or other means<br>"P" document published prior to the international filing date but later than the priority date claimed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention<br>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone<br>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art<br>"&" document member of the same patent family |                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                       |   |                                                                                                                                                                                       |              |   |                                                                                                                                                                       |                         |    |       |   |    |    |       |   |  |       |   |                                                                                                                                                               |    |   |                                                                                                                |    |   |                                                                                 |      |   |                                                                                      |      |   |                                                                                 |      |   |                                                                       |      |   |                                                                                   |      |
| Date of the actual completion of the international search<br>15 October 2015 (15.10.2015)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date of mailing of the international search report<br><b>23 NOV 2015</b>                   |                                                                                                                                                                                                                                                                                                                                                                                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| Name and mailing address of the ISA/US<br>Mail Stop PCT, Attn: ISA/US, Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450<br>Facsimile No. 571-273-8300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Authorized officer:<br>Lee W. Young<br>PCT Helpdesk: 571-272-4300<br>PCT OSP: 571-272-7774 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                       |   |                                                                                                                                                                                       |              |   |                                                                                                                                                                       |                         |    |       |   |    |    |       |   |  |       |   |                                                                                                                                                               |    |   |                                                                                                                |    |   |                                                                                 |      |   |                                                                                      |      |   |                                                                                 |      |   |                                                                       |      |   |                                                                                   |      |

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/35191

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: claims 1-18, drawn an anchor with a shoulder and a driver for said anchor

Group II: claims 1-12, 19, drawn to an collapsable anchor and a delivery mechanism with a window for said anchor

Group III: claims 20-21, drawn to an anchor with a proximal eyelet, closure element and tensioner

\*Claims 1-12 are generic to groups I and II.

----- see continuation sheet -----

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☒ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/35191

----- Continuation of Box III: Observations where unity of invention is lacking (Continuation of item 3 of first sheet) -----

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: claims 1-18, drawn an anchor with a shoulder and a driver for said anchor

Group II: claims 1-12, 19, drawn to an collapsable anchor and a delivery mechanism with a window for said anchor

Group III: claims 20-21, drawn to an anchor with a proximal eyelet, closure element and tensioner

\*Claims 1-12 are generic to groups I and II.

The inventions listed as Groups I - III do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Group III shares with groups I-II a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue, at least one barb extending proximally and radially outwardly from the distal end to a free end, a flexible stem extending proximally from the distal end, however, said features are known in the art to US 2011/0288583 A1 Goraltchouk et al. (hereinafter: Goraltchouk). Goraltchouk describes a surgical anchor including a distal end tapered to a distal tip configured to pierce tissue (needle or sharpened tip of suture; para[0038],[0052]-[0055],[0081]), at least one barb extending proximally and radially outwardly from the distal end to a free end (retainer, eg. 104, FIGS. 1-7; para[0079]-[0081]), a flexible stem extending proximally from the distal end (suture; para[0087], FIG. 5).

Group II shares with group I, the features of the common anchor of claim 1. However, said features are known to Goraltchouk who teaches a distal end tapered to a distal tip configured to pierce tissue (needle or sharpened tip of suture; para[0038],[0052]-[0055],[0081]); a flexible stem extending proximally from the distal end (suture body, eg. 102, FIGS. 1-7, para[0069]-[0081]); and at least one barb extending proximally and radially outwardly from the distal end to a free end (retainer, eg. 104, FIGS. 1-7; para[0079]-[0081]), including a radially exterior surface and a radially interior surface (FIGS. 1-7; para[0079]-0082],[0072]); wherein the radially exterior surface includes longitudinally extending corrugations (faces, eg. 610 separated by grooves 106, FIGS. 6, 1-7; para[0079], para[0070]-0071]), providing a plurality of proximally extending projections at the free end (protrusions 108, FIGS. 6; para[0079]); and wherein the flexible stem is flexible with respect to the at least one barb and distal tip (suture; para[0087], FIG. 5).

Consequently, the groups comprise:

Group I: an anchor with a shoulder and a driver for said anchor

Group II: a collapsable anchor with a delivery mechanism comprising a window for said anchor

Group III: drawn to an anchor with a proximal eyelet, closure element and tensioner

These features are unique to each group and not required in the other groups. Thus, Groups I-III lack unity of invention because they do not share a same or corresponding special technical feature providing a contribution over the prior art.