

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
13 February 2003 (13.02.2003)

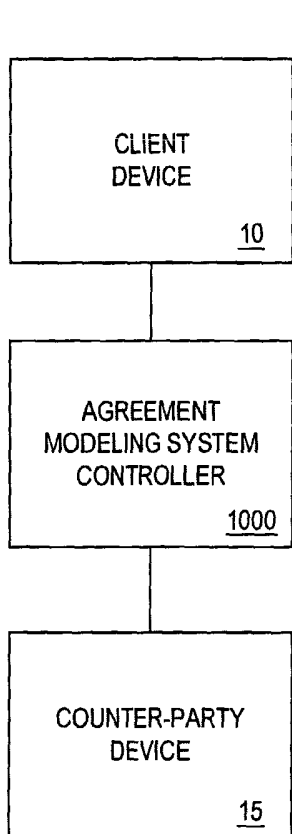
PCT

(10) International Publication Number
WO 2003/012586 A3

- (51) International Patent Classification⁷: **G06F 17/60**
- (21) International Application Number: PCT/US2002/023898
- (22) International Filing Date: 29 July 2002 (29.07.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/916,881 27 July 2001 (27.07.2001) US
09/929,184 14 August 2001 (14.08.2001) US
- (71) Applicant: **GOLDMAN, SACHS & CO.** [US/US]; 85 Broad Street, New York, NY 10004 (US).
- (71) Applicants and
(72) Inventors: **WILCE, Scot, D.** [US/US]; 31 Sherman Place, Morristown, NJ 07960 (US). **GEORGE, Vincent, A.** [US/US]; 111 Southampton Terr, Edgewater, NJ 07020 (US). **NGUYEN, Hien, Q.** [US/US]; 1 Green Street, Apt.M8, Jersey City, NJ 07302 (US). **CONTI, Donna, L.** [US/US]; PO Box 147, Cream Ridge, NJ 08514 (US). **HARRIS, Patrick, E.** [US/US]; 380 Rector Place, Apt. 8C, New York, NY 10280 (US). **MANSFIELD, Donna, M.** [US/US]; 40 Dunes Lane, Port Washington, NY 11050 (US).
- (74) Agent: **BUCKLEY, Patrick**; Buckley, Maschoff, Talwalkar & Allison LLC, Five Elm Street, New Canaan, CT 06840 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,

[Continued on next page]

(54) Title: SYSTEMS AND METHODS FOR FACILITATING AGREEMENT GENERATION AND NEGOTIATION VIA AN AGREEMENT MODELING SYSTEM



(57) Abstract: Systems and methods are provided to facilitate generation and/or negotiation of an agreement document via an agreement modeling system (1000). According to one embodiment, agreement information associated with an agreement between a party and a counter-party (15) is determined. An agreement document is generated in accordance with the agreement information, and it is automatically arranged for the agreement document to be received by the party and/or the counter-party. For example, an agreement modeling system controller may transmit the agreement document to the counter-party via an electronic mail address.

WO 2003/012586 A3



MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(88) Date of publication of the international search report:
22 July 2004

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(15) Information about Correction:

Previous Correction:

see PCT Gazette No. 21/2004 of 21 May 2004, Section II

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/23898

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 17/60
 US CL : 705/37

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 U.S. : 705/37

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,970,479 A (SHEPHERD) 19 October 1999 (19.10.1999), col.6, line 55-col.16, line 26	1-29
A	US 6,125,391 A (MELTZER et al) 26 September 2000 (26.09.2000), abstract, claims 1-25	1-29

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

25 January 2004 (25.01.2004)

Date of mailing of the international search report

22 APR 2004

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

Facsimile No. (703)305-3230

Authorized officer

Jeffrey Pwu

Telephone No. 703 308-7835

Victor Batar
for

INTERNATIONAL SEARCH REPORT

PCT/US02/23898

Continuation of Item 4 of the first sheet:

Title is too long: "FACILITATING GENERATION AND NEGOTIATION OF AN AGREEMENT DOCUMENT"