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#### **Declarations under Rule 4.17:**

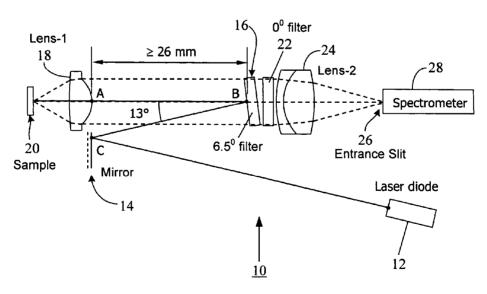
- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
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with international search report

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## (54) Title: COMPACT RAMAN OR FLUORESCENCE EXCITATION SYSTEM



(57) Abstract: A compact Raman and fluorescence spectroscopy system that uses a microprism or micromirror based optical structure to accomplish the introduction of excitation radiation with compactness and simplified system configuration for portable or mobile spectroscopy applications. A microprism may be glued to a surface location of a focusing lens in the system to directly receive the illumination signal without intervening optical components. Alternatively, the microprism may be simply placed in close physical proximity of the focusing lens without being glued thereto. On the other hand, a micromirror may be used instead of the microprism. The illuminating photons received by the microprism or micromirror may be directly transferred to the sample under investigation via the focusing lens. The compact system may be made portable and may further include an on-board spectrometer with or without a display unit. For chemical detecting applications, a detector may also be provided along with the spectrometer.



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A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - G01B 9/02 (2008.01) USPC - 356/451 According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED					
Minimum documentation searched (classification system followed by classification symbols) IPC(8) - G01B 9/02 (2008.01) USPC - 356/451					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) USPTO WEST System (US, USPG-PUB, EPO, DERWENT), Patbase					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	opropriate, of the releva	ant passages	Relevant to claim No.	
Y Y Y Y Y Y Y Y	US 5,923,423 A (SAWATARI et al) 13 July 1999 (13.07.1999) entire document US 2005/0047724 A1 (FARR) 03 March 2005 (03.03.2005) entire document US 2005/0237642 A1 (TAKAHASHI et al) 27 October 2005 (27.10.2005) entire document US 4,076,978 A (BRENNAN et al) 28 February 1978 (28.02.1978) entire document US 6,069,698 A (OZAWA et al) 30 May 2000 (30.05.2000) entire document JP 02-039006 A (MASAAKI et al) 08 February 1990 (08.02.1990) entire document EP 0 176 625 B1 (TANIMOTO et al) 14 March 1990 (14.03.1990) entire document US 5,784,507 A (HOLM-KENNEDY et al) 21 July 1998 (21.07.1998) entire document US 2004/0096152 A1 (NAKAMA et al) 20 May 2004 (20.05.2004) entire document		1-37 1-37 5-7 8-10 9-10, 30 15-19 20-26, 32-33, 36-37 27 28-33		
Further documents are listed in the continuation of Box C.  * Special categories of cited documents:  "A" document defining the general state of the art which is not considered date and not in conflict with the application but cited to understand					
to be o "E" earlier filing o	f particular relevance application or patent but published on or after the international	"X" document of part considered novel	the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone		
cited to special "O" docum means	o establish the publication date of another citation or other reason (as specified) ent referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art			
"P" document published prior to the international filing date but later than "&" document member of the same patent the priority date claimed			family		
11 February		Date of mailing of the international search report  12 MAR 2008			
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