(CONVENTION: By one or more persons and/or a Company.)

611750

COMMONWEALTH OF AUSTRALIA

Patents Act 1952-1969

CONVENTION APPLICATION FOR A PATENT

(1) Here insert (in full) Name or Names of Applicant or Applicants, followed by Address (es).

I/We (1) DOW CORNING CORPORATION of Midland, State of Michigan, United States of America

hereby apply for the grant of a Patent for an invention entitled: (2) SYNERGISTIC SURFACTANT COMPOSITIONS

(2) Here insert Title of Invention.

which is described in the accompanying complete specification. This application is a Convention application and is based on the application numbered (3) 149,241

(3) Here insert number(s) of basic application(s)

for a patent or similar protection made in (4) United States of America on 25th January 1988

(4) Here insert Name of basic Country or Countries, and basic date or dates

My/Our address for service is Messrs. Edwd. Waters & Sons, Patent Attorneys, 50 Queen Street, Melbourne, Victoria, Australia.

DATED this 24th day of January 19 89

(5) DOW CORNING CORPORATION

by

Ian A. Scott

Registered Patent Attorney

(5) Signature (s) of Applicant (s) or Seal of Company and Signatures of its Officers as prescribed by its Articles of Association.

1006080 25/01/89

To:

THE COMMISSIONER OF PATENTS.

Edwd. Waters & Sons, Melbourne.

Patents Act 1952-1969

DECLARATION IN SUPPORT OF A CONVENTION APPLICATION FOR A PATENT OR PATENT OF ADDITION

(1) Here insert (in full) Name of Company.

In support of the Convention Application made by(1) DOW CORNING CORPORATION of Midland, State of Michigan, United States of America

(hereinafter referred to as the applicant) for a Patent

(2) Here insert title of Invention.

for an invention entitled:(2) SYNERGISTIC SURFACTANT COMPOSITIONS

(3) Here insert full Name and Address, of Company official authorized to make declaration.

I,(3) NORMAN EDWARD LEWIS, General Patent Counsel & Assistant Secretary of DOW CORNING CORPORATION of Midland, State of Michigan, United States of America

do solemnly and sincerely declare as follows:

1. I am authorised by the applicant for the patent to make this declaration on its behalf.

(4) Here insert basic Country or Countries followed by date or dates and basic Applicant or Applicants.

2. The basic application as defined by Section 141 of the Act was made in(4) United States of America, Serial No. 149,241 on the 25th day of January 1988, by LENIN JAMES PETROFF on ~~the~~ day ~~of~~ ~~in~~

(5) Here insert (in full) Name and Address of Actual Inventor or Inventors.

3.(5) LENIN JAMES PETROFF, 3071 Shillair, Bay City, Michigan, United States of America

is/~~are~~ the actual inventor of the invention and the facts upon which the applicant is entitled to make the application are as follow:

The applicant is the assignee of the invention from LENIN JAMES PETROFF

4. The basic application referred to in paragraph 2 of this Declaration was the first application made in a Convention country in respect of the invention the subject of the application.

DECLARED at Midland, Michigan, U.S.A.

this 7th day of December 19 88.

(6) Signature.

(6) DOW CORNING CORPORATION

To: THE COMMISSIONER OF PATENTS. By: Norman Edward Lewis

Norman Edward Lewis
General Patent Counsel &
Assistant Secretary

Edwd. Waters & Sons, Melbourne.

(12) PATENT ABRIDGMENT (11) Document No. AU-B-28761/89
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 611756

(54) Title
SYNERGISTIC SURFACTANT COMPOSITIONS

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(51)[4]
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149241 25.01.88 US UNITED STATES OF AMERICA

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(71) Applicant(s)

DOW CORNING CORPORATION

(72) Inventor(s)

LENIN JAMES PETROFF

(74) Attorney or Agent
WATERMARK PATENT & TRADEMARK ATTORNEYS, Locked Bag 5, HAWTHORN VIC 3122

(57) Claim

1. A synergistic surfactant composition comprising an alkylbenzenesulfonate anionic surfactant and at least one organic zwitterionic functional siloxane amphoteric surfactant.

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611756

Form 10

PATENTS ACT 1952-69

COMPLETE SPECIFICATION

(ORIGINAL)

Class Int. Class

Application Number:
Lodged:

Complete Specification Lodged:
Accepted:
Published:

Priority :

Related Art :

Name of Applicant : DOW CORNING CORPORATION

Address of Applicant : Midland, State of Michigan, United States of America

Actual Inventor: LENIN JAMES PETROFF

Address for Service : EDWD. WATERS & SONS,
50 QUEEN STREET, MELBOURNE, AUSTRALIA, 3000.

Complete Specification for the invention entitled:

SYNERGISTIC SURFACTANT COMPOSITIONS

The following statement is a full description of this invention, including the best method of performing it known to :- us

SYNERGISTIC SURFACTANT COMPOSITIONS

This invention relates to a synergistic surfactant composition formed by combining an alkylbenzenesulfonate anionic surfactant with at least one organic zwitterionic functional silicone amphoteric surfactant represented by the formula $Me_3SiO[SiMe_2O]_x[SiMeR^1O]_ySiMe_3$ and wherein Me = methyl; $R^1 = CH_2CH_2CH_2N(R^2)_2(CH_2)_zSO_3$; R^2 = methyl or ethyl; x = 0-3; y = 1-2 and z = 3-4.

More particularly, the amphoteric surfactants are represented by the following formulas:

$$(Me_3SiO)_2Si(Me)(CH_2)_3NMe_2(CH_2)_3SO_3 \text{ and}$$

$$Me_3SiO(\overset{\overset{Me}{|}}{\underset{\underset{(CH_2)_3NMe_2(CH_2)_3SO_3}{|}}{Si}}O)_2SiMe_3$$

A surfactant is a compound that reduces surface tension when dissolved in a liquid decreasing the attractive force exerted by molecules below the surface of the liquid upon those molecules at the surface of the liquid enabling the liquid to flow more readily. Liquids with low surface tensions flow more readily than water, while mercury with the highest surface tension of any liquid does not flow but disintegrates into droplets.

Surfactants exhibit combinations of cleaning, detergency, foaming, wetting, emulsifying, solubilizing and dispersing properties. They are classified depending upon the charge of the surface active moiety, usually the larger part of the molecule. In anionic surfactants, the moiety carries a negative charge as in soap. In cationic surfactants, the charge is positive. In non-ionic

surfactants, there is no charge on the molecule and in amphoteric surfactants, solubilization is provided by the presence of positive and negative charges in the molecule.

Amphoteric surfactants of the type disclosed herein are generally considered specialty surfactants. They do not irritate skin and eyes and exhibit good surfactant properties over a wide pH range. This category of surfactant is compatible with anionic, cationic and nonionic surfactants. The use of these amphoteric surfactants ranges from detergents, emulsifiers, wetting and hair conditioning agents, foaming agents, fabric softeners, to anti-static agents. In cosmetic formulations, certain specialized amphoteric surfactants reduce eye irritation caused by sulfate and sulfonate surfactants present in such products.

In U.S. Patent No. 3,562,786 issued February 9, 1971, to Bailey et al., there is disclosed the broad concept of blending organic surfactants with silicone-glycol type surfactants in order to achieve a synergy. The surfactants in Bailey et al., however, are generally considered to be of the standard non-ionic silicone type, rather than amphoteric, as in the present invention. Thus, in contrast to Bailey et al., the present invention blends organic surfactants with a new class of silicone sulfobetaine zwitterionic surfactants in order to achieve a synergistic effect. The sulfobetaine surfactants of the present invention, because they are a new class of silicone surfactant, possess advantages not inherent in Bailey et al. For example, one would not expect a zwitterionic or amphoteric surfactant to perform in the same fashion as a non-ionic surfactant as in Bailey et al. because of the differences in the charged natures of the two categories of surfactants. Further, the zwitterionic surfactants of the present invention are solids and have a low water solubility in comparison to the Bailey et al.

liquid surfactants which are very water soluble. In addition, the zwitterion surfactants of the present invention possess much lower critical micelle concentrations than the non-ionic surfactants in Bailey et al.

Such disadvantages of the prior art are overcome with the present invention wherein not only is a new class of silicone surfactant disclosed but a surfactant that possesses synergistic properties when combined with organic surfactants.

Synergistic surfactant composition comprising an alkylbenzenesulfonate anionic surfactant and at least one organic zwitterionic functional siloxane amphoteric surfactant.

The present invention also provides a method of reducing the surface tension of an aqueous solution comprising adding to the aqueous solution an effective amount of a synergistic surfactant composition comprising an alkylbenzenesulfonate anionic surfactant and at least one organic zwitterionic functional siloxane amphoteric surfactant.

Preferably the anionic surfactant is a linear alkylate sulfonate anionic surfactant more particularly sodiumdodecylbenzenesulfonate. Preferably the amphoteric surfactant is of the formula

$$Me_3SiO[SiMe_2O]_x[SiMeR^1O]_ySiMe_3$$

wherein Me = methyl;

$R^1 = CH_2CH_2CH_2N(R^2)_2(CH_2)_zSO_3$; R^2 = methyl or ethyl;

x = 0-3; y = 1-2 and z = 3-4, more particularly

$$(Me_3SiO)_2Si(Me)(CH_2)_3NMe_2(CH_2)_3SO_3;$$ or

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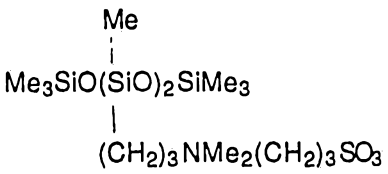


wherein Me is methyl.

These and other features, objects and advantages of the present invention will become apparent from the following detailed description of preferred embodiments of the invention wherein reference is made to the several figures in the accompanying drawings.

Figure 1 is a graphical representation illustrating the effects on equilibrium surface tension of combining one of the amphoteric surfactants of the present invention with an anionic surfactant.

Figure 2 is another graphical representation illustrating the effects on equilibrium surface tension of combining another of the amphoteric surfactants of the present invention with an anionic surfactant.

Figure 3 is a graphical representation illustrating the effects on dynamic surface tension of combining the amphoteric surfactant of Figure 1 with an anionic surfactant at a slow bubble evolution, and

Figure 4 is a graphical representation illustrating the effects on dynamic surface tension of combining the amphoteric surfactant of Figure 1 with an anionic surfactant at a fast bubble evolution.

In the present invention, silicone sulfobetaine surfactants have been found to behave synergistically in terms of surface tension reduction when used in combination with an alkylbenzenesulfonate such as sodium dodecylbenzenesulfonate. It has been determined experimentally, that the surface

tension of an aqueous solution containing a silicone sulfobetaine surfactant together with the alkylbenzenesulfonate is lower than if the aqueous solution contained only one of the ingredients individually. Data were obtained relating to both the equilibrium surface tension as well as the dynamic surface tension. A DuNouy ring tensiometer was

used to generate equilibrium surface tension data, whereas the dynamic surface tension data were obtained by a procedure which is a refinement of the standard maximum bubble pressure method with the aid of a SensaDyne 5000 surface tensiometer manufactured by CHEM-DYNE Research Corporation, Madison, Wisconsin.

The experimental data has been set forth graphically in the form of Figures 1-4 as seen in the accompanying drawings in order to better facilitate an understanding of the present invention. It should be noted that Figures 1, 3 and 4, pertain to the amphoteric surfactant represented by Formula 1, whereas Figure 2 pertains to the amphoteric surfactant represented by Formula 2. Further, Figures 1 and 2 portray equilibrium surface tension data, whereas Figures 3 and 4 portray dynamic surface tension data.

Specifically, Figure 1 shows the effects of blending the surfactant represented by Formula 1 with linear sodium dodecylbenzenesulfonate. This figure depicts the relationship between equilibrium surface tension and a series of blends of the Formula 1 surfactant with the sulfonate surfactant. The blends range from pure sodium dodecyl-benzenesulfonate anionic surfactant to pure amphoteric surfactant represented by Formula 1. As noted above, the equilibrium surface tension data were generated by employing a DuNouy ring tensiometer in accordance with the method described in ASTM D1331-54-T.

The surface tension data for the various blends were obtained by utilizing solutions containing 0.1% of the blend of the anionic and amphoteric surfactants. Hence, a 0.0% silicone sample was in actuality a 0.1% solution of the anionic surfactant. A 50% silicone sample contained 0.05% of the amphoteric surfactant and 0.05% of the anionic surfactant. The 100% silicone sample was equivalent to 0.1%

amphoteric surfactant. Figure 1, therefore, shows the relationship that exists between the surface tension versus the percentage of silicone in the blend. The figure in addition illustrates what the surface tension would be in the event that only the individual surfactants were present at the effective concentrations of the blend.

An examination of Figure 1 reveals that a synergistic effect is achieved by blending the linear sodium dodecylbenzenesulfonate anionic surfactant with the silicone sulfobetaine amphoteric surfactant represented by Formula 1. It should be noted that throughout the range, the surface tension of the blend is lower than the surface tension exhibited by either of the two components individually. For example, the surface tension of a 0.1% solution of a 10/90 blend of the two surfactants can be seen to be 28.34 dynes/cm. The effective concentration of silicone sulfobetaine amphoteric surfactant in such blend (0.01%) yields a surface tension value of 38.73 dynes/cm. Similarly, the effective concentration of the anionic surfactant (0.09%) provides a surface tension value of 43 dynes/cm. A synergy of 10.39 dyne/cm was therefore achieved by employing a blending of each of the two materials rather than using them individually. The synergistic effect, it should be noted, begins to diminish in the event that the blend of the anionic surfactant and the amphoteric surfactant contains less than about 5% and more than about 15% silicone sulfobetaine amphoteric surfactant.

Figure 2 is similar to Figure 1 except that the amphoteric surfactant represented by Formula 2 was employed, otherwise the procedures noted above with respect to Figure 1 are the same in Figure 2. In Figure 2, the synergistic effect is not as pronounced as is illustrated in Figure 1, yet the synergistic effect in Figure 2 is still apparent.

Thus, a 0.1% solution of a 5/95 blend of the anionic surfactant with the amphoteric surfactant represented by Formula 2 yielded a surface tension of 37.64 dynes/cm. By way of comparison, the effective concentration employing the amphoteric surfactant alone yielded a surface tension of about 52 dynes/cm, whereas the effective concentration utilizing only the anionic surfactant provided a surface tension of 41.5 dynes/cm. Thus, there can be seen a synergistic effect in the amount of 3.86 dynes/cm.

With reference to Figures 3 and 4, there is illustrated therein the response of the surfactants of the present invention to dynamic surface tension measurements. Dynamic surface tension is a second measure of surface activity and measures the surface energy of the test fluid and the speed of surfactant migration. As noted above, dynamic surface tension is measured utilizing the maximum bubble pressure method with a SensaDyne 5000 surface tensiometer. This instrument measures surface tension by determining the force required to blow bubbles from an orifice and into the test solution. Thus, a low surface energy fluid requires less energy to force a bubble out of the orifice than does a fluid of high surface energy. The speed of surfactant migration, however, is determined by changing the speed of the evolution of the bubbles. With a slow bubble rate, the surfactants have more time to reach the bubble-liquid interface and to orient in order to reduce the surface energy at the interface. With a fast bubble rate, the surfactants have less time to reach the newly formed bubble before the bubble is forced from the orifice. Hence, the surface energy for the fast rate is higher than the surface energy for the slow rate. In the instrument itself, a process gas such as dry nitrogen or clean dry air, is bubbled through two tubes of different diameter that are

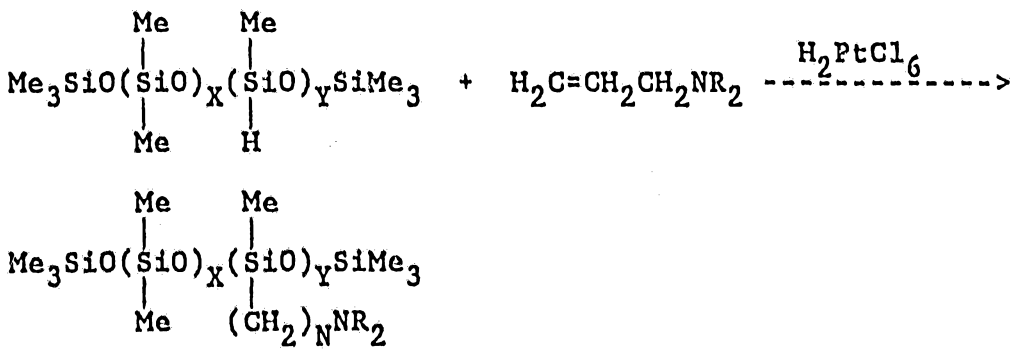
immersed in the fluid being tested. At each orifice, a bubble is formed in a controlled manner until the bubble reaches a maximum value where it breaks off rising to the surface of the test fluid. Since the two orifices differ in diameter, the two bubbles differ in maximum size and in the maximum pressure required to expand each bubble. This differential pressure is sensed by a transducer and the resulting output signal is used to measure dynamic surface tension directly.

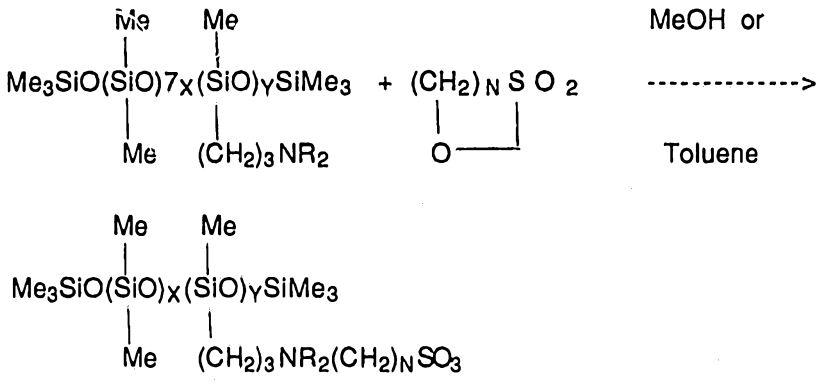
The foregoing technique was used in order to determine the dynamic surface tension of blends of the amphoteric surfactant represented by Formula 1 and the anionic surfactant sodium dodecylbenzenesulfonate and the results are graphically represented in Figures 3 and 4. Blends were prepared of the anionic and the amphoteric surfactants ranging from 100% of sodium dodecylbenzene-sulfonate to 100% of the silicone sulfobetaine surfactant represented by Formula 1. The various blends were tested at concentrations of 0.1%. Evaluations of the blends was made on the SensaDyne 5000 tensiometer, with such evaluations being conducted at a low bubble speed and at a high bubble speed. Data from the tests was then plotted graphically and represented as Figures 3 and 4 in order to show the synergistic effects of employing both materials in comparison to using either individually.

Specifically, in Figure 3 there will be seen the relationship between surface tension and percentage of silicone in the blend and at a slow bubble evolution rate. The concentration of the blends evaluated was 0.1% and the surface tension of the various blends was compared to the surface tension of the individual components at the effective concentration of the blend. Figure 3 clearly reveals that the combination of the two surfactants is far superior to

either of the surfactants when employed individually. Thus, the surface tension of the blend is lower than the surface tension of the individual components at any blend ratio. Figure 4 covers the same concept as Figure 3 except that in Figure 4 the surface tension was measured at a fast bubble rate of evolution. The effect of the fast bubble rate in Figure 4 in comparison to the slow bubble rate in Figure 3 is that the surface tension values in Figure 4 are higher than the surface tension values computed for Figure 3. However, even at the fast bubble rate in Figure 4, the synergistic effect is still apparent at blend ratios greater than 10/90. Therefore, the foregoing data as represented by Figures 1-4 clearly shows that blends of silicone sulfobetaines with linear dodecylbenzenesulfonates exhibit properties superior than if either material was used individually. The synergistic effect is also apparent for both the equilibrium surface tension as well as the dynamic surface tension measured.

The compounds of the present invention, more particularly the zwitterionic organofunctional siloxanes represented by Formulas 1 and 2, for example, are prepared by the quaternization of precursor aminofunctional siloxanes with either cyclic propane sultone or cyclic butane sultone. Specifically, these silicone sulfobetaines are prepared by a two-step process as set forth below:





where Me = methyl; x = 0-3; y = 1, 2; R = methyl or ethyl and n = 3, 4.

These types of compounds are colorless solids and are non-toxic and useful as organic surfactant enhancers. They have been found to be particularly useful in order to enhance detergent surfactants, in liquid detergents, cleaners, automatic dishwashing detergents and in powdered detergents for washing machines. Details of the synthesis of these materials are set forth in U.S. Patent No. 4,918,210 of William N Fenton et al.

It will be apparent from the foregoing that many other variations and modifications may be made in the structures, compounds, compositions and methods described herein without departing substantially from the essential concepts of the present invention. Accordingly, it should be clearly understood that the forms of the invention described herein and depicted in the accompanying drawings are exemplary only and are not intended as limitations on the scope of the present invention.



THE CLIAMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A synergistic surfactant composition comprising an alkylbenzenesulfonate anionic surfactant and at least one organic zwitterionic functional siloxane amphoteric surfactant.

2. A composition as claimed in Claim 1 in which the anionic surfactant is a linear alkylate sulfonate anionic surfactant.

3. A composition as claimed in Claim 1 or 2 in which the anionic surfactant is sodiumdodecylbenzenesulfonate.

4. A composition as claimed in Claim 1, 2 or 3 in which the amphoteric surfactant is of the formula

$$Me_3SiO[SiMe_2O]_x[SiMeR^1O]_y SiMe_3$$

wherein Me = methyl;

$R^1 = CH_2CH_2CH_2N(R^2)_2(CH_2)_zSO_3$; R^2 = methyl or ethyl;

x = 0-3; y = 1-2 and z = 3-4.

5. A composition as claimed in any one of Claims 1 to 4 wherein the amphoteric surfactant is:

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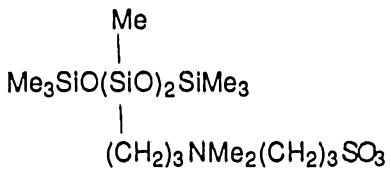
$(Me_3SiO)_2Si(Me)(CH_2)_3NMe_2(CH_2)_3SO_3$

wherein Me is methyl.

6. A composition as claimed in any one of Claims 1 to 4 wherein the amphoteric surfactant is:



wherein Me is methyl.

7. A method of reducing the surface tension of an aqueous solution comprising adding to the aqueous solution an effective amount of a synergistic surfactant composition comprising an alkylbenzenesulfonate anionic surfactant and at least one organic zwitterionic functional siloxane amphoteric surfactant.

8. A method as claimed in Claim 7 in which the anionic surfactant is a linear alkylate sulfonate anionic surfactant.

9. A method as claimed in Claim 7 or 8 in which the anionic surfactant is sodiumdodecylbenzenesulfonate.

10. A method as claimed in Claim 7, 8 or 9 in which the amphoteric surfactant is of the formula:

$$Me_3SiO[SiMe_2O]_x[SiMeR^1O]_ySiMe_3$$

wherein Me = methyl;

$R^1 = CH_2CH_2CH_2N(R^2)_2(CH_2)_zSO_3$; R^2 = methyl or ethyl;

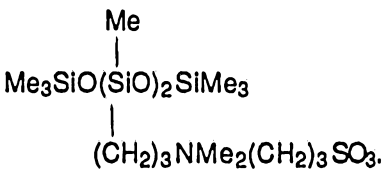
x = 0-3; y = 1-2 and z = 3-4..

11. A method as claimed in any one of Claims 7 to 10 wherein the amphoteric surfactant is:

$$(Me_3SiO)_2Si(Me)(CH_2)_3NMe_2(CH_2)_3SO_3$$

wherein Me is methyl.

12. A method as claimed in any one of Claims 7 to 10 wherein the amphoteric surfactant is:



wherein Me is methyl.

13. A synergistic surfactant composition comprising an anionic surfactant

and an amphoteric surfactant substantially as hereinbefore described.

14. A method of reducing the surface tension of an aqueous solution comprising adding to the solution an effective amount of an anionic surfactant substantially as hereinbefore described.

DATED this 11th day of February, 1991

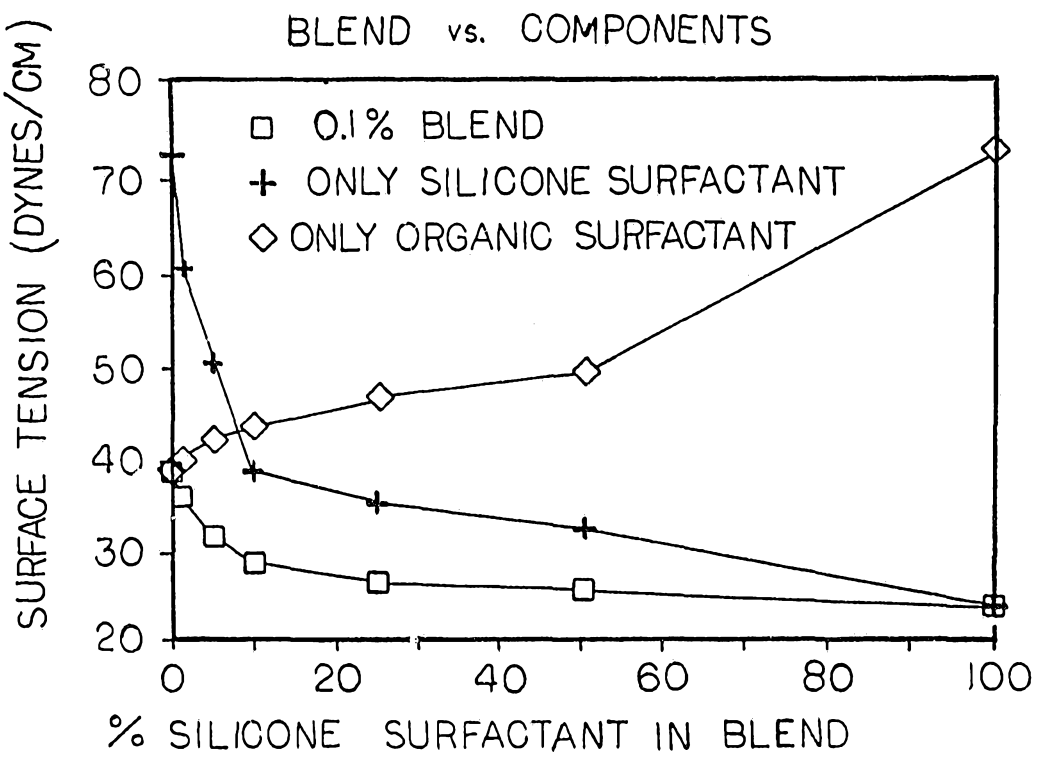
DOW CORNING CORPORATION

WATERMARK PATENT & TRADEMARK ATTORNEYS
2nd Floor, "The Atrium"
290 Burwood Road
HAWTHORN, VICTORIA, 3122
AUSTRALIA

IAS:JJC



Fig. 1


BLEND vs. COMPONENTS
SURFACE TENSION (DYNES/CM)
80
70
60
50
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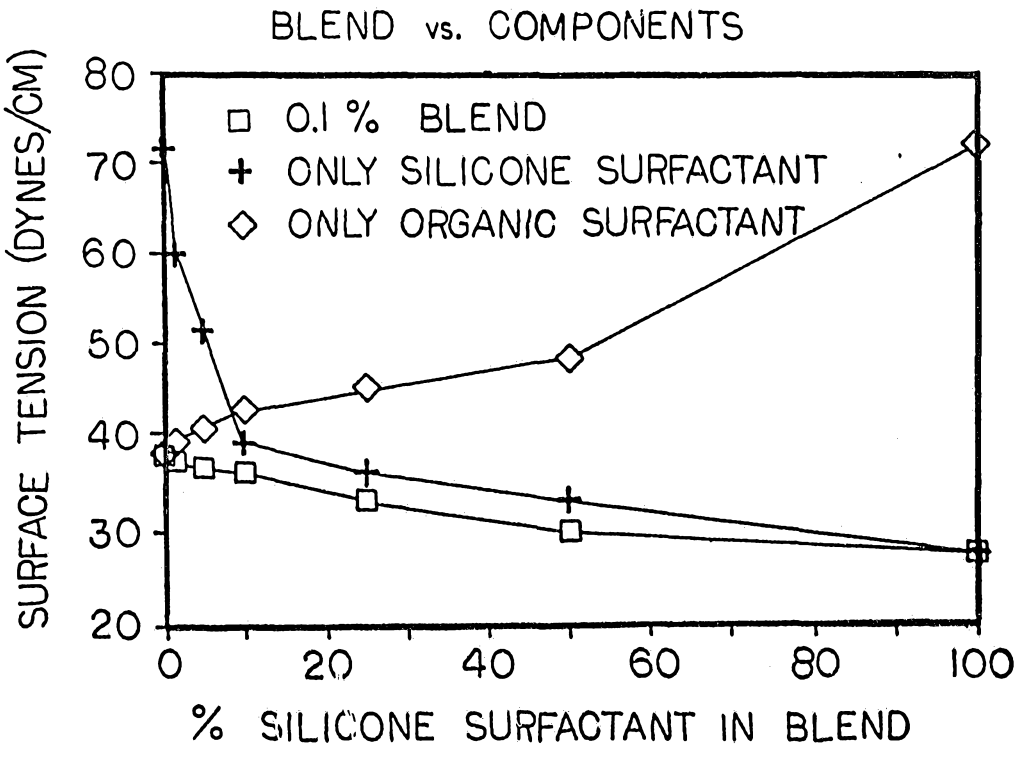
100
□ 0.1% BLEND
+ ONLY SILICONE SURFACTANT
◇ ONLY ORGANIC SURFACTANT
% SILICONE SURFACTANT IN BLEND

Fig. 2


BLEND vs. COMPONENTS
SURFACE TENSION (DYNES/CM)
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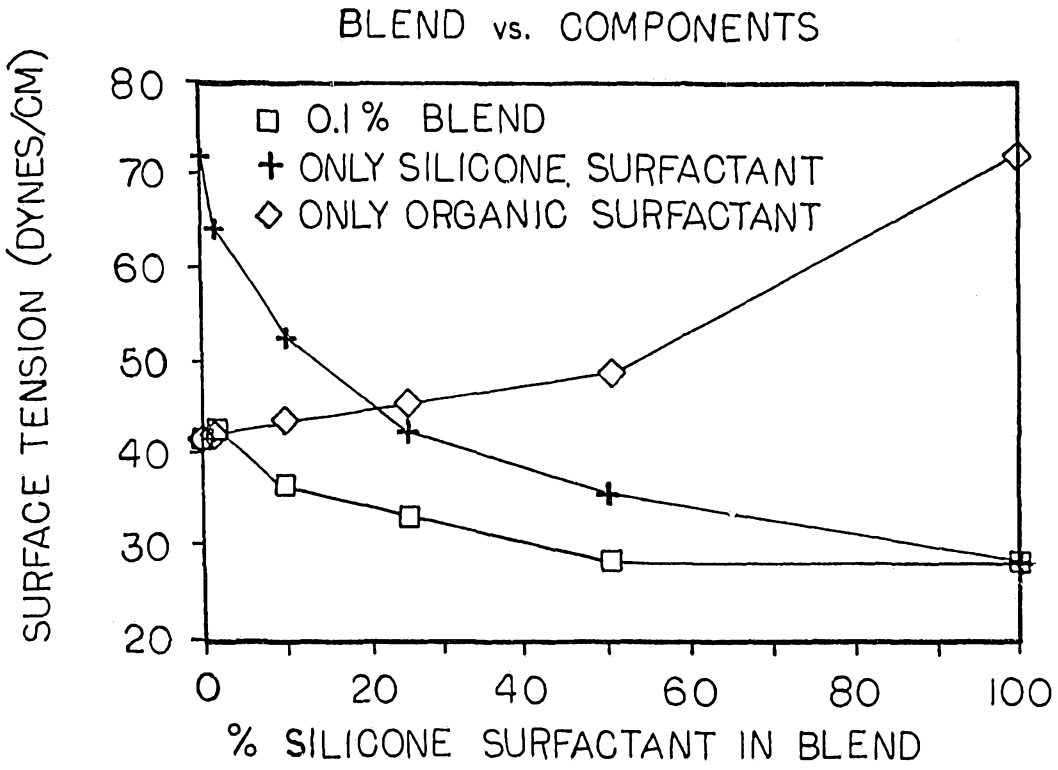
□ 0.1 % BLEND
+ ONLY SILICONE SURFACTANT
◇ ONLY ORGANIC SURFACTANT
% SILICONE SURFACTANT IN BLEND

Fig. 3


BLEND vs. COMPONENTS
SURFACE TENSION (DYNES/CM)
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ONLY SILICONE SURFACTANT
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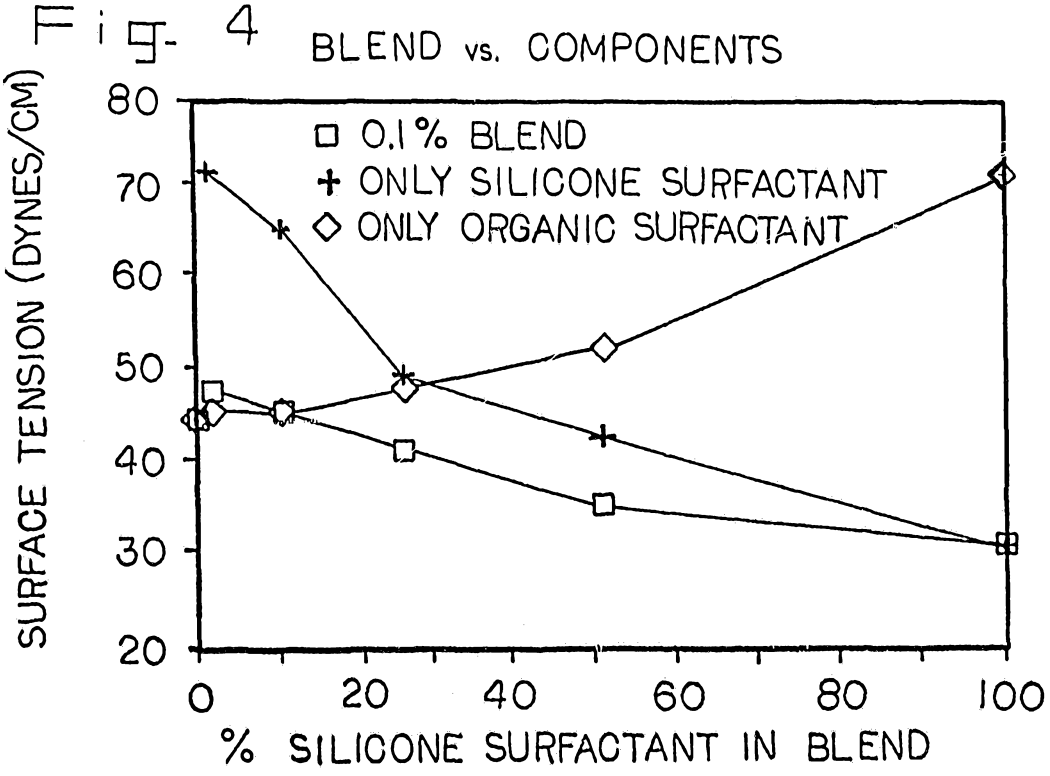
Fig. 4


BLEND vs. COMPONENTS
SURFACE TENSION (DYNES/CM)
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