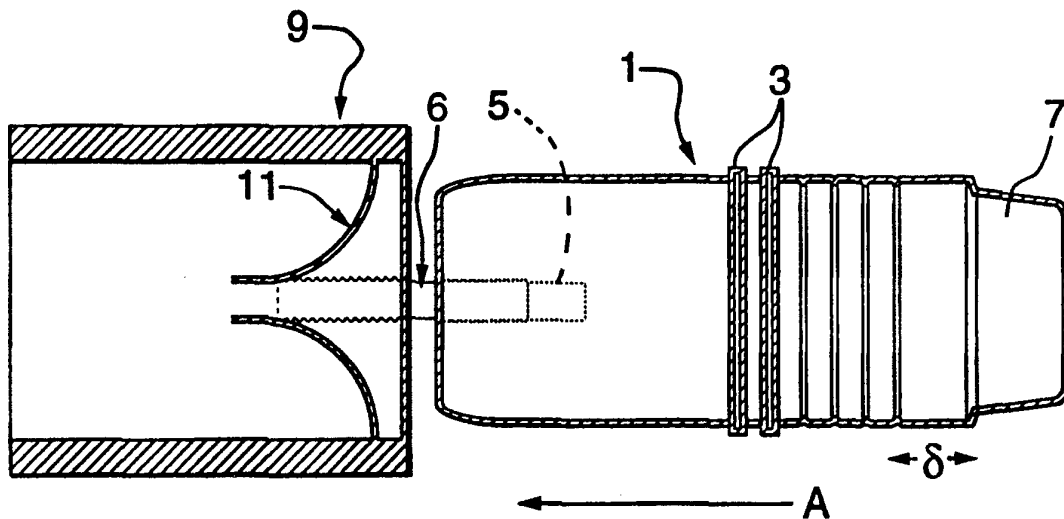




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: STENTLESS HEART VALVE SURGICAL SUPPORT DEVICE



(57) Abstract

This invention relates to a stentless heart valve surgical support device that allows accurate and easy positioning of a heart valve and facilitates suturing by adding rigidity to the valve structure. Suturing is further facilitated by the reduced diameter of the device at the suturing end and by marking lines on the device to indicate to a surgeon where the sutures should be applied. This device is reusable with the exception of a detachable handle.

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Stentless Heart Valve Surgical Support Device

Field of the Invention

5           This invention relates in general to surgical devices, and more particularly to a stentless heart valve surgical support device.

Background of the Invention

10           Heart valve disfunction can usually be corrected with surgery, involving repair or replacement of the diseased valves. The very best replacement for such a valve is a homograft, which is another human valve.  
15           Nevertheless, the availability of these valves is limited, so generally two types of replacement valves are currently used; mechanical and bioprosthetic (i.e. tissue). Mechanical valves are typically composed of tough, rigid materials, and usually do not fail  
20           structurally. However, mechanical valves require that the patient receive chronic anticoagulation therapy. Bioprosthetic valves are structured of chemically preserved animal tissue, usually from porcine or bovine sources. Tissue valves, because of their soft tissue  
25           composition, do not require chronic anticoagulation therapy. However, the tissue valves deteriorate in a similar manner to the patient's original valve, such that the survival rate is approximately 95% at five years, but only 40% at 15 years. Failure of the valve is usually a  
30           result of inadequate mechanical durability, atypical loading conditions and calcification.

          Currently, bioprosthetic valve leaflets are made of tanned porcine aortic valves or calf pericardium, mounted on a rigid or pliable frame (also known as a stent). The  
35           purpose of the stent is to make it easier for the surgeon to implant the valve, since it allows the valve to retain its shape during surgery. Recently, using pathological and engineering analysis methods, it has been determined that the rigid stents themselves change the way in which

the leaflets deform and carry load. To alleviate this problem, some valve manufacturers have begun producing stentless bioprosthetic valves. The lack of a stent and rigid cloth-covered ring, however, makes implantation of stentless valves difficult and time consuming. Several assistants are usually needed to hold the stentless valve in position with sutures and/or forceps or haemostats. This procedure is awkward for both the assistants and the surgeon performing the suturing. It is clearly advantageous to perform the valve surgery as quickly as possible, in order to ensure patient survival and rapid recovery.

To this end, at least one prior art holding device is known to facilitate surgical implantation of a heart valve. A stentless heart valve and holder is described in U.S. Patent 5,197,979. This stentless heart valve holder is disposable and is detachably affixed to a suturable covering on the aortic segment of a heart valve, preferably via sutures which pass through holes in the holder.

However, this prior art holder suffers from the disadvantage that it cannot be thoroughly cleaned after use, due to the inclusion of internal threads for attaching a handle portion of the holder to a main body portion thereof. A further disadvantage of this prior art holder is that the holder is affixed to the valve via sutures, which necessitates time consuming connection. The prior art also does not provide space between the valve and holder for suturing of the valve to the patient's heart.

#### Summary of the Invention

According to the present invention, a holding device for stentless valves is provided that allows accurate and easy positioning of the valve and facilitates suturing by adding rigidity to the valve structure. By utilizing the

valve holding device of the present invention, surgery is made less complicated and faster.

5 Unlike U.S. Patent '979, the valve holding device of the present invention is reusable with the exception of a detachable handle. A further advantage of the present invention is that it does not require the aortic segment of the valve to be enclosed by a suturable covering, as required in the prior art '979 patent. The present invention is not attached via sutures, but rather is  
10 preferably affixed by a tie wrap being wound around the valve. Furthermore, according to an aspect of the present invention markings are provided on the valve holder for indicating to the surgeon where sutures should be placed to ensure symmetrical suturing and accurate  
15 placement of the prosthesis into proper position.

#### Brief Description of the Drawings

20 A preferred embodiment of the invention is described hereinbelow with reference to the following drawings, in which:

Figure 1 is a perspective view of the stentless heart valve holder according to the present invention;

25 Figure 2 is an end view of the valve holder of Figure 1;

Figure 3 shows insertion of the valve holder into a bioprosthesis heart valve;

30 Figure 4 shows the heart valve holder of the present invention in place within a bioprosthesis valve during surgical installation; and

Figure 5 is an end view of the heart valve holder according to the preferred embodiment showing markings for suture placement.

#### 35 Detailed Description of the Preferred Embodiment

Turning to the drawings, the stentless heart valve

holder of the present invention is shown comprising a generally cylindrical body 1 having a pair of annular ridges 3 circumscribing the centre thereof. At one end of the holder, a threaded hole 5 is provided for attachment to an approximately 1" rod 6, or other fastener, to which a handle H is attached. At an opposite end of the holder, a reduced diameter portion 7 is provided, the purpose of which is explained in greater detail below.

Various sizes of the valve may be accommodated by using different diameters and lengths of holder. With reference to Figure 4, the diameter is denoted as  $\emptyset$ , while in Figure 3, the length of the holder is given by  $46.5 \text{ mm} + \delta$ , where  $\emptyset$  and  $\delta$  are given in Table A, below, for different valve sizes (all dimensions being expressed in millimeters)

TABLE A

| VALVE SIZE | $\emptyset$ | $\delta$ |
|------------|-------------|----------|
| 19         | 15          | 3        |
| 21         | 17          | 3.5      |
| 23         | 19          | 4.5      |
| 25         | 21          | 6        |
| 27         | 23          | 7.5      |

Turning to Figures 3 and 4, a bioprosthetic valve 9 is shown which comprises the aortic root of a pig or other animal. The valve is generally cylindrical having three bioprosthetic leaflets 11 adjacent one end thereof.

In operation, the valve holder of the present invention is inserted into the valve 9 in the direction of arrow A (Figure 3). As the valve holder is inserted into the valve, the leaflets 11 are gently pushed backwards. The holder is advanced into the valve 9 so as to form a friction fit therewith. Once the holder has

been inserted into the valve to the position shown in Figure 4, the handle H (Figure 1) is attached to the rod 6. Next, a tie wrap (not shown) is wound around the valve at the location identified as B in Figure 4, which is intermediate the pair of annular ridges 3. By tying the plastic wrap around the upper end of the valve 9 so as to compress and squish the portion B of the valve into the groove between ridges 3, a snug and secure connection is effected between the holder and the valve 9.

Alternative embodiments of the invention are contemplated which would allow the prosthesis to be clipped onto the holder with spring loaded clips or with a metallic snap ring.

As shown in Figure 4, the leaflets 11 are bent backward into the valve 9. The reduced diameter portion 7 results in a clearance between the leaflets 11 and the valve holder which provides adequate maneuvering room for the surgeon to apply sutures 13. Figure 5 demonstrates that markings 17 are provided on the holder for indicating to the surgeon where sutures should be placed in order to ensure proper positioning of the valve in the recipient aortic root and to minimize leakage. These alignment markers may be engraved on the reduced diameter portion 7 at 120 degrees apart.

During suturing of the valve into the patient's heart, the valve holder ensures that the valve does not collapse in response to tugging or pulling at the top and bottom ends of the valve. Once the valve has been installed, the holder may be removed by releasing the tie wrap or cutting the valve below the tie wrap, and pulling out the holder via the handle H attached to rod 6. The handle H attached to rod 6 is made via injection molding, and it is contemplated that the handle will be disposed of after each operation and a new handle H used for each subsequent surgical operation. The valve holder itself may be cleaned and sterilized for repeated use. The short rod 6 remains affixed to the holder 1 and can be

easily cleaned since the threads are exposed. The handle H is disposed of after each operation because the threaded hole which connects to rod 6 cannot be easily cleaned.

5           According to one method of fabricating the holder of the present invention, the devices may be machined on a lathe from cylindrical acrylic or polycarbonate, and then sanded and polished. According to the best mode of the invention at the time of filing this application, the  
10           machining process is as follows:

1.    Rough cut a length of acrylic (or polycarbonate).
2.    Remove extra material by turning down to almost final diameter.
- 15    3.    Contour holder according to Figures 1, 2 and 3.
4.    Bore tap hole 5 for mounting handle.
5.    Sand with wet/dry sand paper using 320, 400 then 600 grit (begin with 240 grit if necessary).
- 20    6.    Polish with a polishing compound such as Autosol(c)<sup>TM</sup>.
7.    Tap hole 5 for threaded rod 6.
8.    Scribe commissural lines 17 at 120°
9.    Clean and spot polish if necessary.
- 25    10.   Apply glue to rod 6 and insert into hole 5.

Acrylic or polycarbonate in cylindrical sections have been identified as desirable materials because of their durability, lightness, transparency and ease of sterilization. It is contemplated that raw sections  
30           should be used which are only slightly larger than final dimensions in order to minimize waste. The machining method for producing the device according to the present invention requires only a lathe, cutters, tap drill bit and tap. A numerically controlled lathe may be used for  
35           larger scale production.

          If demand for the device according to the present invention is sufficient, it is also contemplated that



injection molding of the device would be possible.

In summary, surgeons currently use sutures, forceps and/or haemostats to position and hold the prosthesis during suturing. Because the valve is very pliable and the aortic wall of the valve is tough, suturing is difficult. Usually, an assistant is required to hold positioning (alignment) sutures while the attachment is completed. The valve holder of the present invention eliminates the need for positioning sutures, and eases the suturing process. The valve holder itself is attached to the valve by a tie wrap which fits snugly into a groove in the valve rather than by sutures. The device increases the rigidity of the aortic root during surgery, resulting in easier suturing. In addition, the reduced diameter of the valve holder at the suturing end provides maneuvering room for a surgeon to apply the sutures. Furthermore, according to the embodiment of Figure 5, markings 17 are provided on the holder for indicating to the surgeon where sutures should be placed in order to ensure proper positioning of the valve in the recipient root. The holder of the present invention replaces conventional tools while providing more positive control over the position of the prosthesis. This holder is also economical since it is reusable with the exception of the detachable handle.

The present invention is not limited to the features of the embodiments described herein, but includes all variations and modifications within the scope of the claims.

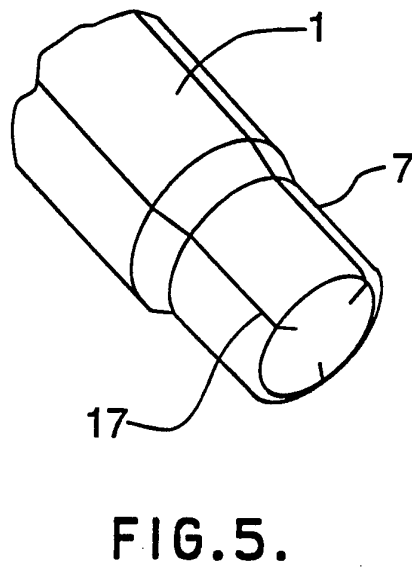
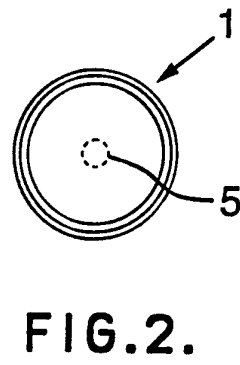
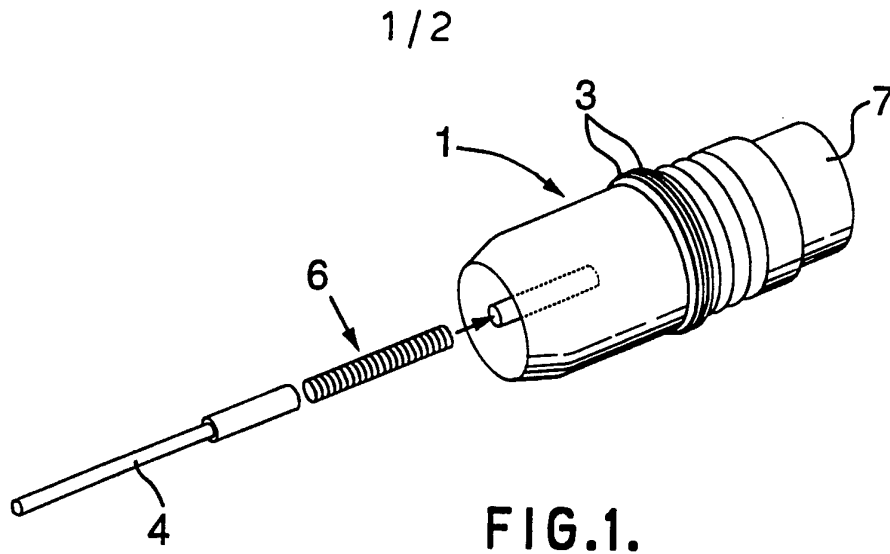
THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

1. A reusable surgical device for holding a stentless valve comprising a cylindrical body having:
  - (i) a first end;
  - (ii) a second end having a reduced diameter; and
  - (iii) a rod extending perpendicularly from the first end for detachably affixing a disposable elongated handle.
2. The device of claim 1 wherein the cylindrical body further comprises:
  - (iv) two or more annular ridges circumscribing the center.
3. The device of claim 1, wherein the second end of the cylindrical body includes marking lines extending radially to indicate where sutures should be applied to said valve.
4. The device of claim 3, wherein the marking lines are spaced 120 degrees apart.
5. The device of claim 1, wherein the diameter of the second end of the cylindrical body is gradually reduced to a diameter which provides maneuvering space between a valve and the second end of the cylindrical body, for suturing during surgery.
6. The device of claim 2, wherein the annular ridges are spaced a predetermined distance apart to form a groove between them such that a secure connection of said valve to the device is effected when a tie wrap is tied around the valve so as to squeeze a portion of the valve into a groove between any two adjacent ones of said

ridges.

7. The device of claim 1, wherein the rod is threaded  
on the outside thereof for connection to an internally  
5 threaded disposable handle.

8. The device of claim 7, fabricated from one of either  
acrylic or polycarbonate.



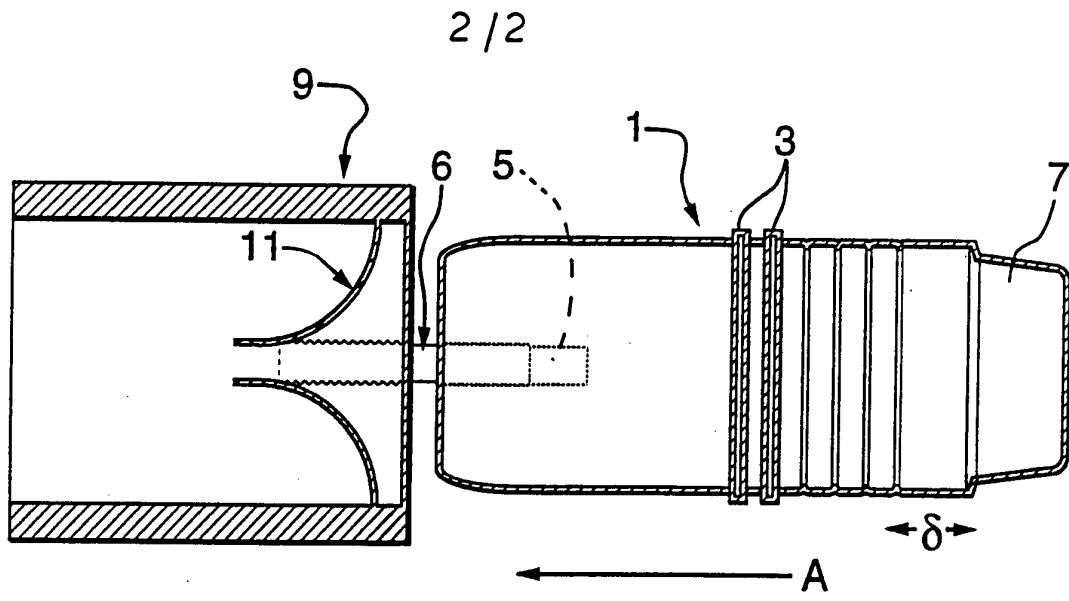


FIG. 3.

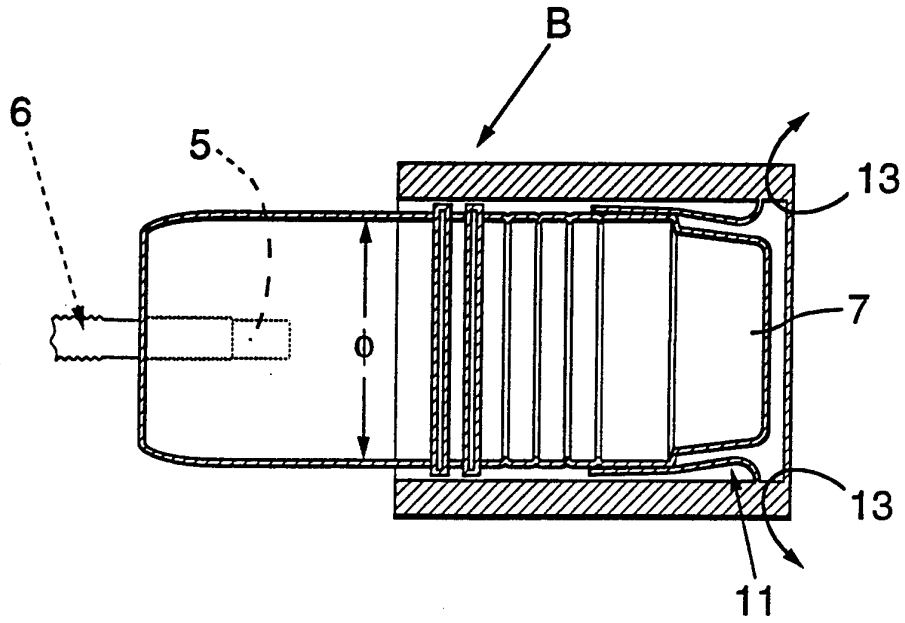


FIG. 4.

**INTERNATIONAL SEARCH REPORT**

Internat Application No  
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| <p><b>A. CLASSIFICATION OF SUBJECT MATTER</b><br/>IPC 6 A61F2/24</p> <p>According to International Patent Classification (IPC) or to both national classification and IPC</p>  |  |  |  |  |                       |   |   |   |   |   |  |   |  |  |
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| <p><b>B. FIELDS SEARCHED</b></p> <p>Minimum documentation searched (classification system followed by classification symbols)<br/>IPC 6 A61F A61B</p> <p>Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched</p> <p>Electronic data base consulted during the international search (name of data base and, where practical, search terms used)</p>   |  |  |  |  |                       |   |   |   |   |   |  |   |  |  |
| <p><b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:10%;">Category °</th> <th style="width:70%;">Citation of document, with indication, where appropriate, of the relevant passages</th> <th style="width:20%;">Relevant to claim No.</th> </tr> </thead> <tbody> <tr> <td align="center">A</td> <td>US,A,5 197 979 (BAXTER INTERNATIONAL INC.)<br/>30 March 1993<br/>cited in the application<br/>see abstract; figures<br/>---</td> <td align="center">1</td> </tr> <tr> <td align="center">A</td> <td>US,A,4 702 250 (GALIL ADVANCED TECHNOLOGIES) 27 October 1987<br/>---</td> <td></td> </tr> <tr> <td align="center">A</td> <td>US,A,5 053 043 (VANCE PRODUCTS INCORPORATED) 1 October 1991<br/>-----</td> <td></td> </tr> </tbody> </table>  |  |  | Category °   | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. | A | US,A,5 197 979 (BAXTER INTERNATIONAL INC.)<br>30 March 1993<br>cited in the application<br>see abstract; figures<br>--- | 1 | A | US,A,4 702 250 (GALIL ADVANCED TECHNOLOGIES) 27 October 1987<br>--- |  | A | US,A,5 053 043 (VANCE PRODUCTS INCORPORATED) 1 October 1991<br>----- |  |
| Category °   | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.  |  |  |                       |   |   |   |   |   |  |   |  |  |
| A  | US,A,5 197 979 (BAXTER INTERNATIONAL INC.)<br>30 March 1993<br>cited in the application<br>see abstract; figures<br>---  | 1  |  |  |                       |   |   |   |   |   |  |   |  |  |
| A  | US,A,4 702 250 (GALIL ADVANCED TECHNOLOGIES) 27 October 1987<br>---  |  |  |  |                       |   |   |   |   |   |  |   |  |  |
| A  | US,A,5 053 043 (VANCE PRODUCTS INCORPORATED) 1 October 1991<br>-----   |  |  |  |                       |   |   |   |   |   |  |   |  |  |
| <p><input type="checkbox"/> Further documents are listed in the continuation of box C.      <input checked="" type="checkbox"/> Patent family members are listed in annex.</p>   |  |  |  |  |                       |   |   |   |   |   |  |   |  |  |
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| <p>Date of the actual completion of the international search</p> <p align="center"><b>25 January 1995</b></p>  |  | <p>Date of mailing of the international search report</p> <p align="center"><b>15.0 2.95</b></p> |  |  |                       |   |   |   |   |   |  |   |  |  |
| <p>Name and mailing address of the ISA</p> <p align="center">European Patent Office, P.B. 5818 Patentlaan 2<br/>NL - 2280 HV Rijswijk<br/>Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,<br/>Fax: (+ 31-70) 340-3016</p>  |  | <p>Authorized officer</p> <p align="center"><b>Steenbakker, J</b></p>                            |  |  |                       |   |   |   |   |   |  |   |  |  |

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 94/00647

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
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