

COMMONWEALTH OF AUSTRALIA

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CONVENTION APPLICATION FOR A PATENT

020840 0990

We, HULS AMERICA INC., a corporation of the State of Delaware, of 80 Centennial Avenue, P.O. Box 456, Piscataway, New Jersey, 08855-0456, United States of America, hereby apply for the grant of a Patent for an invention entitled "**STAIN-RESISTANT PLASTICIZER COMPOSITIONS AND METHOD OF MAKING SAME**" which is described in the accompanying complete specification.

This application is a Convention application and is based on the Application Numbered 402,570 for a patent or similar protection made in United States of America on 5 September 1989.

Our address for service is care of CALLINAN LAWRIE, Patent Attorneys, of 278 High Street, Kew, 3101, Victoria, Australia.

D A T E D this 5 day of September 1990.

HULS AMERICA INC.

By their Patent Attorneys:

CALLINAN LAWRIE

Jeffrey A. Ryder

020840 0990

To: The Commissioner of Patents.

Declaration in Support of an Application for a Patent

*Strike out for
non-convention

In support of the *Convention** application made for a ~~patent~~ ^{patent of addition} for an invention entitled
"STAIN-RESISTANT PLASTICIZER COMPOSITIONS AND
METHOD OF MAKING SAME"

Insert full
name and address
of declarant

I, Paul T. O'Brien
of and on behalf of Huls America Inc.

do solemnly and sincerely declare as follows:

1. ~~I am~~ ^{We are} the applicant(s) for the ~~patent~~ ^{patent of addition}
(or in the case of an application by a body corporate)

1. I am authorised by Huls America Inc.
the applicant for the ~~patent~~ ^{patent of addition} to make this declaration on its behalf.

2. The basic application(s) as defined by section 141 of the Act ^{is} ~~are~~ —

Strike out Para. 2
for non-convention

Filing Date	Country	Applicant(s)
September 5, 1989	United States of America	Eugene P. DiBella
Serial No. 402,570		

Insert details
for the/or EACH
basic application

Strike out Para. 3
for non-convention

3. The basic application(s) referred to in this Declaration ^{was} ~~were~~ the first application(s) made in a
Convention country in respect of the invention the subject of the application.

4. ~~I am~~ ^{We are} the actual inventor(s) of the invention.
(or, where a person other than the inventor is the applicant:)

4. Eugene P. DiBella
of 19 Ralston Avenue
Piscataway, New Jersey 08854, U.S.A.

Insert full
name(s) and
address(es) of
inventor(s)

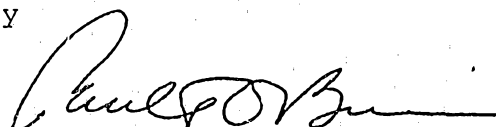
^{is} ~~are~~ the actual inventor(s) of the invention and the facts upon which the applicant is entitled to make the
application are as follows: Applicant is the assignee of the invention
from the said actual inventor.

See over for
instructions

DECLARED AT Piscataway, New Jersey

No Legalization
No Corporate Seal

this 23rd day of August 1990


Signature of Declarant

To: The Commissioner of Patents.

(12) PATENT ABRIDGMENT (11) Document No. AU-B-62145/90
 (19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 628221

(54) Title
 STAIN-RESISTANT PLASTICIZER COMPOSITIONS AND METHOD OF MAKING SAME

International Patent Classification(s)
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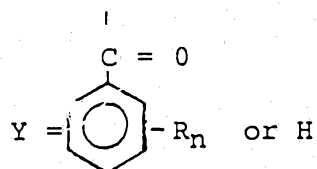
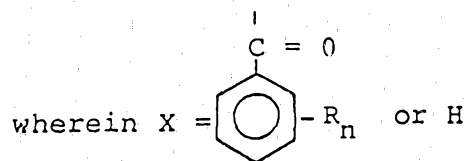
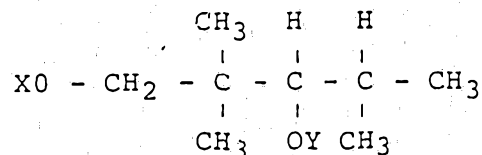
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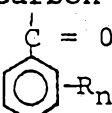
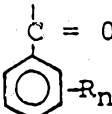
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(57) Claim

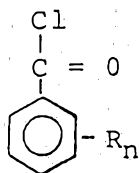
1. Monoester compounds of the formula:



R is an alkyl group having from 1 to 4 carbon atoms, and
 n=0-5; with the proviso that when X is , Y is
 H, and when Y is , X is H.

4. A plasticized resinous composition that comprises an admixture of at least one polymer resin and a plasticizingly-effective amount of one or more of the compounds of claim 1.

8. A process for the preparation of 2,2,4-trimethyl-1,3-pentanediol monobenzoates, 2,2,4-trimethyl-1,3-pentanediol mono (alkyl-substituted) benzoates, or mixtures thereof, that comprises: forming a reaction mixture of 2,2,4-trimethyl-1,3-pentanediol, a tertiary amine, and an inert organic solvent; adding to said reaction mixture at least one acid chloride selected from compounds having the formula



wherein R is an alkyl group having from 1 to 4 carbons and $n=0-5$, said 2,2,4-trimethyl-1,3-pentanediol, said tertiary amine, and said acid chloride being present in substantially equimolar amounts, completing the reaction and recovering the reaction products.

25. In a resinous composition comprising an admixture of at least one polymer resin and at least one plasticizer, the improvement wherein the plasticizer comprises from about 60% to 100% by weight of compounds selected from the group consisting of 2,2,4-trimethyl-1,3-pentanediol dibenzoate, the diester of 2,2,4-trimethyl-1,3-pentanediol and benzoic acids having from 1 to 4 alkyl substituents each containing from 1

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(10) 628221

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to 4 carbon atoms, and mixtures thereof, and from 0% to about 40% by weight of compounds selected from the group consisting of monobenzoate esters of 2,2,4-trimethyl-1,3-pentanediol, mono-esters of benzoic acids having from 1 to 4 alkyl substituents each containing from 1 to 4 carbon atoms and 2,2,4-trimethyl-1,3-pentanediol, and mixtures thereof.

COMPLETE SPECIFICATION

(ORIGINAL)

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TO BE COMPLETED BY APPLICANT

Name of Applicant: HULS AMERICA INC.

Address of Applicant: a corporation of the State of Delaware of 80 Centennial Avenue, P.O. Box 456, Piscataway, New Jersey, 08855-0456, United States of America

Actual Inventors: Eugene P. DiBella

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Complete Specification for the invention entitled: "STAIN-RESISTANT PI STICIZER COMPOSITIONS AND METHOD OF MAKING SAME"

The following statement is a full description of this invention, including the best method of performing it known to me:-

1
2 BACKGROUND OF THE INVENTION
3
4

5 1. Field of the Invention
6

7 This invention pertains to esters of 2,2,4-trimethyl-1,3-
8 pentanediol and benzoic acid or alkyl-substituted benzoic
9 acids; to processes for making said esters; and to the use of
10 said esters as stain-resistant plasticizers for poly (vinyl
11 chloride) and other polymers, especially for poly (vinyl
12 chloride)-based floor-covering compositions.

13
14 2. Description of the Prior Art
15

16 Many polymeric resins, such as vinyl chloride polymers by way
17 of example, are hard and even brittle in their natural state,
18 in the absence of plasticizers. Although such unplasticized
19 resins can often be used to manufacture useful articles of
20 commerce, such as pipes, house siding, phonograph records,
21 and so forth, for many other applications plasticizers are
22 required in order to lower processing temperatures or to
23 impart flexibility and softness to end products made from
24 such resins. In addition to improving processability and
25 imparting flexibility, suitable plasticizers must be
26 compatible with the resin, must be thermally stable
27
28

1 during processing and under end-use conditions, should not
2 impart substantial color or odor, and should be permanent,
3 i.e., should be resistant to removal from the resin due to
4 volatilization, extraction by solvents, or migration into
5 any material in contact with the plasticized resin.

6
7 Polymers and copolymers of vinyl chloride are widely used
8 as plasticized compositions, and a very large number of
9 compounds have been found to be useful, in varying degrees,
10 as plasticizers for such resins. In particular, the most
11 useful of such plasticizers include diesters of alkanols
12 and dicarboxylic acids, polyesters derived from diols and
13 dicarboxylic acids, and, to a lesser extent, diesters of
14 diols and monocarboxylic acids.

15
16 One of the major applications for plasticized vinyl chloride
17 compositions is as floor coverings and wall coverings, for
18 purposes of both protection and decoration. In these appli-
19 cations in particular, a further required attribute of a
20 suitable plasticizer is to impart resistance to staining when
21 contacted by such things as road tar, crayons, shoe polish,
22 foodstuffs, and so on.

23
24 Floor and wall covering compositions based on vinyl chloride
25 polymers are manufactured by various methods, especially by
26 calendering or by spread-coating of a liquid dispersion--a
27 plastisol or an organosol--onto a substrate. In the latter
28

1 case, a still further requirement must be met in order for
2 a plasticizer to be suitable: the plasticizer must have a
3 sufficiently low viscosity to impart fluidity to the
4 plastisol or organosol, must have solvating power for the
5 resin at elevated temperatures sufficient to readily fuse
6 resin and plasticizer into a coherent mass but, at the same
7 time, its solvating power for the resin at ordinary room
8 temperature should be low enough to avoid undue increase in
9 the viscosity of the dispersion after preparation and during
10 storage. A large and rapid viscosity increase may make it
11 difficult, or impossible, to spread the dispersion properly
12 onto the substrate. As an example, butyl benzyl phthalate ,
13 has many of the desirable attributes of a plasticizer for
14 vinyl chloride polymers, including fairly good stain
15 resistance, but its solvating power at ordinary temperatures
16 causes rapid viscosity increase in plastisols and therefore
17 limits its applicability in such dispersions.

18
19 There are numerous disclosures in the prior art of
20 plasticizers for vinyl chloride polymers (PVC) and other
21 resins that are said to impart stain resistance, some of
22 which are esters of benzoic acid and some of which are
23 diesters of 2,2,4-trimethyl-1,3-pentanediol (hereinafter
24 referred to as TMPD). In U.S. Pat. 3,158,585 Kelso et al
25 discloses phthalic acid esters of various alcohols as stain
26 resistant plasticizers. In U.S. Pat. 3,160,599 Scullin
27 discloses the stain resistance of the monoisobutyrate
28

1 monobenzoate ester of TMPD. Bailey et al, J. Amer. Oil Chem.
2 Soc. vol. 53, 176-178 (1976) reports on the utility as PVC
3 plasticizers of mixed esters of ethylene glycol, diethylene
4 glycol, and 2-butene-1,4-diol wherein one of the ester
5 moieties was benzoate. U.S. Pats. 4,024,164; 4,074,058;
6 and 4,107,192 to Bailey contain related disclosures.

7
8 Wickson et al, Soc. Plastic Eng. Preprint, Annual Technical
9 Conference, p. 238-42 (1969) compares the properties as PVC
10 plasticizers of ethylene glycol diesters. In U.S. Pat.
11 2,454,274 Daly et al discloses the utility of ethylene glycol
12 acetate benzoate as a plasticizer for esters and ethers of
13 cellulose.

14
15 In U.S. Pats. 2,700,656 and 2,766,266 Emerson et al discloses
16 diesters of substituted 1,5-pentanediols, in which one ester
17 group is an aromatic acid moiety and the other an aliphatic
18 acid moiety. In U.S. Pat. 3,072,591 there are disclosed, as
19 PVC plasticizers, aromatic-aliphatic carboxylic acid esters
20 of a polymethylolalkane.

21
22 U.S. Pat. 3,433,661 to Maggart et al discloses complex
23 monoesters derived from aromatic hydrocarbons, formaldehyde,
24 and monocarboxylic acids as stain resistant plasticizers.
25 U.S. Pat. 3,562,300 to Chao et al discloses the use of
26 neoalkylpolyol esters of neoacids and straight or branched
27 chain aliphatic acids as plasticizers.

1 In U.S. Pat. 3,652,610 Coopersmith discloses plasticizers
2 derived from the reaction of a hindered acid glycol monoester
3 and di- or tri-basic acids. Japanese Patent Publication
4 52-101253 discloses as plasticizers polyalkylene glycol
5 esters containing 1-14 ether bonds, and having one benzoic
6 acid ester group and one aliphatic acid ester group. In U.S.
7 Pat. 4,656,214 Wickson discloses stain resistant plasticizers
8 that are diesters of ethylene glycol, propylene glycol, or
9 1,4-butanediol in which one ester group is a benzoate or
10 toluate moiety, and the other a neoacid moiety. This
11 reference also contains an incidental disclosure of
12 plasticizer that is a mixture of diesters of TMPD, including,
13 TMPD dibenzoate as one of the lesser components.

14
15 In processes involving TMPD as a reactant, the thermal insta-
16 bility of this glycol under various conditions must be taken
17 into account. Thus, the review of TMPD in the Encyl. Chem.
18 Tech. (Kirk-Othmer), 2nd Ed. p. 679 (1966) points out that
19 TMPD diesters undergo pyrolysis to the corresponding
20 monoesters of 2,2,4-trimethyl-3-penten-1-ol. P. Morison and
21 J. E. Hutchins, Am. Chem. Soc., Div. Org. Coatings Plastics
22 Chem.; Preprints 21, No. 1, 159-70 (1961); CA. 57, 15272 e,
23 reported that, among various glycols studied, TMPD was the
24 most prone to thermal degradation.

1 B. Yoemans, Brit. 1,290,094 (1972); CA. 78, 15503a produced
2 2,2,4-trimethylpenten-1-isobutyrate by acid catalysed dehy-
3 dration of a mixture of TMPD isobutyrate, TMPD diisobutyrate
4 and TMPD itself. In related work, M. Mazet and M. Desmaison,
5 Brit. Bull. Soc. Chem. Fr. 1971 (7) 2656; CA. 75, 117725e
6 reported that the acid-catalysed dehydration of the secondary
7 hydroxyl group of TMPD is also accompanied by some methyl
8 migration from C₂ to C₃.
9
10 Instability under basic conditions also was described by
11 E. Harrer and K. Ruhl, Ger. 1,011,865 (1957). Thus heating
12 TMPD with potassium hydroxide at 145°C reversed the process,
13 of its formation by producing isobutyraldehyde, isobutyl
14 alcohol and isobutyrate ion.
15
16 Despite the inherent instability associated with the structure
17 of TMPD, fair-to-excellent results have been achieved in
18 preparing aliphatic diesters, with acidic conditions appear-
19 ing the most favorable. Thus, TMPD diacetate was prepared in
20 93% yield by H. Nosler and H. Schnegelperger, U.S. 3,671,654
21 (1967); CA. 78, 75876j, by the action of acetic anhydride at
22 120-130°C in the presence of p-toluenesulfonic acid. TMPD
23 diformate has also been prepared using sulfuric acid as a
24 catalyst for the reaction of TMPD with excess formic acid by
25 R. Boden and M. Licciardello, U.S. 4,405,646 (1983); CA. 100,
26 5039n, but no yield was reported.

1 A. Bell, U.S. 2,625,563 (1953); CA. 47, 11229b, prepared the
2 bis 2-ethylbutanoic and 2-ethylhexanoic esters at 60% and 42%
3 yields respectively via uncatalysed esterifications of TMPD
4 with the corresponding acids at 200-210°C. The bis decanoic
5 and tridecanoic esters were also prepared by A. Bell and
6 G. Lappin, Brit. 767,455; CA. 51, 13379i, but no experimental
7 details were provided.

8
9 TMPD diesters have also been prepared by transesterification.
10 Thus in Japan Kokai Tokkyo Koho JP 58 49377 (83 49 377)
11 (1983); CA. 99, 53768g, the p-toluenesulfonic acid-catalysed
12 reaction of TMPD with ethylene carbonate at 110°C led to a ,
13 93% yield of the cyclic carbonate ester, i.e. a disubstituted
14 TMPD ester derivative.

15
16 T. Ogawa et al, Japan Kokai Tokkyo Koho 79 46708 (1979);
17 CA. 91, 140357a, prepared TMPD diisobutyrate in 96% yield via
18 the transesterification reaction of TMPD with isobutyl
19 isobutyrate using tin or titanium, Lewis acid-type catalysts
20 at 120-250°C. With a basic system employing sodium hydroxide
21 catalysis, the yield was only 64%. A similar basic system
22 for preparing TMPD diisobutyrate from TMPD and isobutyl
23 isobutyrate, in the presence of sodium hydroxide in isobutyl
24 alcohol at 120-170°C, was employed by T. Kojima et al., Japan
25 Kokai 74 94620 (1974); CA. 82, 139395u. No yield was
26 reported, however.

1 order to be and remain compatible, should be essentially
2 completely esterified and free from unreacted hydroxyl or
3 carboxylic acid groups. By way of contrast to this, TMPD
4 monobenzoate has a hydroxyl content of about 6.8% (a hydroxyl
5 number of about 224).

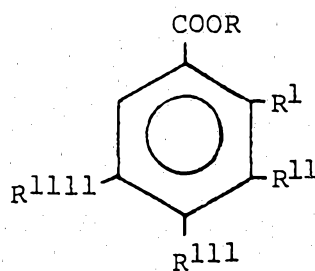
6
7 Two processes are provided for the preparation of the novel
8 monoesters: via benzoylation in an amine such as pyridine,
9 and via base-catalyzed transesterification of TMPD, or a
10 lower alkyl ester of TMPD, and an ester of benzoic acid or
11 alkyl-substituted benzoic acid.

12
13 The diesters of the invention are also prepared by the novel
14 process of transesterification in the presence of a base as
15 catalyst. In contrast to prior art processes, wherein acidic
16 conditions have been preferred and wherein thermal insta-
17 bility of TMPD and of its diesters have been found to be a
18 problem, base-catalyzed transesterification provides a means
19 of obtaining TMPD benzoates and alkyl-substituted benzoates
20 in high yields and at excellent selectivities. Products of
21 high diester content can be produced, if desired. The trans-
22 esterification can be carried to above 90% diester, prefer-
23 ably by using a combination catalyst system, as will be
24 described. Comparative examples using acid-catalyzed
25 processes are provided, that gave lower yields of, and
26
27
28

1 selectivities for, TMPD benzoate products together with
2 accompanying by-products that resulted from degradation of
3 the TMPD moiety.

4
5
6 DETAILED DESCRIPTION OF THE INVENTION
7
8

9 Diesters of TMPD and benzoic acid and/or alkyl-substituted
10 benzoic acids are obtained in high yield by a process of
11 transesterification of TMPD, or of an ester of TMPD and one
12 or more monocarboxylic acids having from 1 to about 4 carbon
13 atoms, with an ester having the formula



21 wherein R=a C₁-C₄ alkyl, alkenyl or alkynyl group, and R¹,
22 R¹¹, R¹¹¹ and R¹¹¹¹ are any combination of H and alkyl groups
23 having 1-4 carbon atoms, in the presence of a catalytic
24 amount of a base.

25 By employing the novel process disclosed herein it is possi-
26 ble to prepare the diesters at yields of at least about 45
27 mole %, and up to greater than 90 mole %, based on TMPD
28

1 charged, which corresponds to yields of total ester (combined
2 di- and mono-esters) of from about 84 mole % up to greater
3 than 98 mole % based on TMPD charged, depending on the base
4 or combination of bases employed as the catalyst. The
5 resultant products have a total ester content of greater than
6 98 weight %, and a diester content ranging from about 60
7 weight % to about 97 weight %. The principal ingredient of
8 the products, other than diester, consists of monoesters of
9 TMPD. Although the mixtures of diesters and monoesters,
10 having a diester content of about 60 weight % or higher, are
11 suitable for use (as plasticizers, for example) without
12 further separation, it will be obvious to those skilled in
13 the art that, if desired, the monoesters can be removed by
14 known techniques (e.g. by fractional distillation) to obtain
15 products of even higher diester content, up to essentially
16 100% by weight.

17
18 The reaction can be run at temperatures from about 30°C to
19 about 150°C as desired, although a range of from about 90°C
20 to about 105°C is preferred. It will be understood that
21 molar ratios of TMPD (or a lower ester of TMPD) to benzoic
22 acid ester of about 1/2 will be employed in order to obtain
23 the desired diester product, although this is not critical
24 and can be modified to a reasonable degree without departing
25 from the scope of the invention. A slight excess of the
26 benzoic acid ester is preferred.

27

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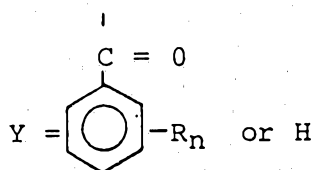
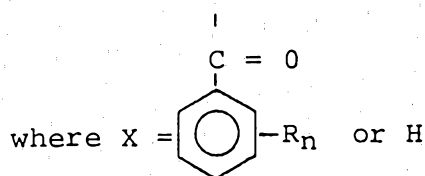
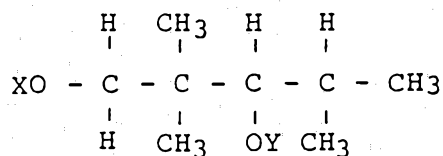
1 Although the free diol, TMPD, is the preferred reactant,
2 derivatives of TMPD which would be converted to a TMPD anion
3 under the conditions of base-catalyzed transesterification
4 can also be used in the process of this invention. Such
5 derivatives include TMPD esters where the acid moiety is,
6 in turn, derived from a carboxylic acid which, as an ester
7 formed during transesterification with the benzoic acid
8 ester, would be sufficiently volatile to be removed from
9 the reaction mass.
10
11 Examples of suitable benzoate esters that can be used as re-
12 actants include esters of methanol, ethanol, n-propanol, isop-
13 ropanol, n-butanol, sec-butanol, tert-butanol, allyl
14 alcohol, propargyl alcohol, crotyl alcohol, allylcarbinol,
15 3-butyne-1-ol, 2-butyne-1-ol, 3-butyne-2-ol, and methallyl
16 alcohol, and benzoic acid and the following alkyl-substituted
17 benzoic acids: 2-methylbenzoic acid, 3-methylbenzoic acid,
18 4-methylbenzoic acid, 2,3-dimethylbenzoic acid,
19 2,4-dimethylbenzoic acid, 2,5-dimethylbenzoic acid,
20 3,4-dimethylbenzoic acid, 3,5-dimethylbenzoic acid,
21 2,3,4-trimethylbenzoic acid, 2,3,5-trimethylbenzoic acid,
22 2,4,5-trimethylbenzoic acid, 3,4,5-trimethylbenzoic acid,
23 2,3,4,5-tetramethylbenzoic acid, 2-ethylbenzoic acid,
24 3-ethylbenzoic acid, 4-ethylbenzoic acid, 2,3-diethylbenzoic
25 acid, 2,4-diethylbenzoic acid, 2,5-diethylbenzoic acid,
26 2,3,4-triethylbenzoic acid, 2,3,5-triethylbenzoic acid,
27 2-n-propylbenzoic acid, 4-sec-propylbenzoic acid,
28

1 4-n-butylbenzoic acid, 4-sec-butylbenzoic acid, 2-tert-
2 butylbenzoic acid, 3-tert-butylbenzoic acid, 4-tert-
3 butylbenzoic acid. Preferred reactants are the esters of
4 2-methyl, 3-methyl, or 4-methyl benzoic acid and any of the
5 alcohols mentioned above. Particularly preferred is methyl
6 benzoate. The use of a single benzoate ester is preferred,
7 but combinations of any of the foregoing benzoate esters, in
8 any proportions, are included in the scope of this invention.
9

10 Examples of suitable basic catalysts include the hydroxides,
11 alkoxides, glycolates, amides, hydrides, and other comparably
12 strongly basic anionic species of the alkali metals, or of
13 the alkaline earth metals (excluding magnesium), and
14 quaternary ammonium hydroxides and alkoxides.
15

16 The reaction can be carried out under any set of conditions
17 at or below atmospheric pressure under which the volatile
18 by-product of reaction, e.g. methanol, can be removed in
19 order to shift the equilibrium and allow continuation of the
20 transesterification reaction. This is preferably accom-
21 plished at subatmospheric pressure in the 10-100mm Hg range.
22 However, higher pressures can be employed in conjunction
23 with nitrogen sparging to accomplish the same result.
24
25
26
27
28

Monobenzoate esters of TMPD, including mono-esters of alkyl-substituted benzoic acids, having the formula



R is an alkyl group having from 1 to 4 carbon atoms, and n=0-5 and wherein either X or Y is H

have been found to be excellent stain-resistant plasticizers, especially for homopolymers and copolymers of vinyl chloride. Either of the two isomers, or mixtures thereof in any proportions, can be used, as well as mixtures with any of the dibenzoates (or substituted dibenzoates) of TMPD previously described. The preferred monoesters are those wherein R=methyl and n=1, and wherein R=H. The latter, i.e. mono-esters of benzoic acid itself, are particularly preferred.

1 These monoesters can be prepared by means of transesterifi-
2 cation, essentially in the manner as described above for the
3 preparation of diesters, using 2,2,4-trimethyl-1,3-
4 pentanediol as the diol, any of the above esters of benzoic
5 acid or substituted benzoic acids, and a basic catalyst as
6 previously described. It will be obvious that, in order to
7 obtain monoesters in high yield, the mole ratio of TMPD to
8 benzoate ester will be approximately 1/1, although the
9 precise ratio is not critical. A slight excess of either
10 reactant can be used, if desired.

11
12 A preferred method of preparing the novel monoesters is by
13 means of benzylation of 2,2,4-trimethyl-1,3-pentanediol in
14 pyridine or any other suitable tertiary amine that functions
15 as an HCl absorber, using an approximately equimolar amount
16 of benzoyl chloride or alkyl-substituted benzoyl chloride.
17 In this method, preferably carried out in a suitable solvent,
18 such as carbon tetrachloride for example, the acid chloride
19 is combined with a solution of TMPD and amine at a rate
20 sufficient to control the exothermic heat of reaction,
21 using external cooling means if and as necessary. Once the
22 benzylation step has been completed, the resulting reaction
23 mixture is washed with an aqueous solution of an acid, such
24 as phosphoric acid for example, to remove by-product amine
25 hydrochloride and any excess amine. The organic layer can
26 then be washed with water and/or an aqueous alkaline solution
27 to remove chloride ion, and stripped to remove solvent, as is
28

1 well-known in the art. The product thus obtained can be
2 used as such, if desired, but preferably is further purified
3 by means of distillation.

4
5 In the benzoylation process, it is preferred to use a mono-
6 methylbenzoyl chloride or benzoyl chloride, and particularly
7 preferred to use benzoyl chloride. However, the acid
8 chlorides of any of the alkyl-substituted benzoic acids
9 described above for use in transesterification, as well as
10 benzoic acids, substituted in the 6-position, such as
11 2,6-dimethylbenzoic acid, 2,4,6-trimethylbenzoic acid,
12 2,3,4,6-tetramethylbenzoic acid and 2,3,4,5,6-
13 pentamethylbenzoic acid can also be used if desired,
14 as well as mixtures of any of the foregoing.

15
16 In addition to carbon tetrachloride, other solvents suitable
17 for use in this process are any inert organic solvents, such
18 as benzene, toluene, naphtha, halogenated hydrocarbons, and
19 so forth. Any suitable water-soluble acid can be used, as
20 an aqueous solution, for washing the reaction mixture, but
21 inorganic acids are preferred.

22
23 The benzoate mono- and di-esters of TMPD as herein disclosed
24 are useful for a variety of purposes for which esters are
25 commonly employed, including use as lubricants, functional
26 fluids, antimicrobial agents, and so forth. They are of
27 particular utility and value as plasticizers for polymeric
28

1 resins and synthetic rubbers. Such plasticized compositions
2 can be fabricated into useful articles by any of the known
3 methods, including molding, extrusion, calendering, and
4 spread coating.

5
6 The term polymeric resins as used herein includes homo-
7 polymers and copolymers of: vinyl esters of carboxylic acids
8 such as vinyl acetate, propionate and butyrate, esters of
9 unsaturated acids such as methyl acrylate and methyl
10 methacrylate; polyvinyl alcohol; polyvinyl butyral;
11 polyvinylidene chloride, and cellulose esters and ethers.

12
13 A particular class of polymeric resins with which the mono-
14 and di-esters of this invention are especially useful as
15 plasticizers are the vinyl resins, by which is meant
16 homopolymers of vinyl chloride and copolymers of vinyl
17 chloride and one or more other mono- or di-olefinically
18 unsaturated monomer copolymerizable therewith. Such other
19 monomers include ethylene, propylene, vinylidene chloride,
20 vinyl acetate, and methyl acrylate, by way of examples.

21
22 The vinyl resins are preferred as components of the plasti-
23 cized resinous compositions which represent one embodiment of
24 this invention. The plasticizer, monobenzoate ester of TMPD
25 or dibenzoate ester of TMPD (which terms include esters of
26 alkylbenzoic acids) or mixtures thereof in any proportions,
27 can be used in amounts ranging from about 1 to about 200

28

1 parts by weight per hundred parts by weight of resin,
2 depending on the properties desired. Generally, the amount
3 of plasticizer will be from about 10 to about 100 parts per
4 hundred parts of resin.

5
6 As will be apparent to those skilled in the art, such resin-
7 ous compositions may, if desired, also contain any of the
8 common additives in the usual amounts. Such additives
9 include heat stabilizers, light stabilizers, lubricants,
10 flame retardants, microbicides, impact modifiers, flow
11 modifiers, anti-static agents, fillers, and pigments. Other
12 known plasticizers such as phthalate esters, adipate esters,
13 phosphate esters, epoxidized oils, and so forth can also be
14 present in the resinous compositions without departing from
15 the scope of this invention. All of the foregoing additives
16 are optional and do not, per se, constitute a part of the
17 invention.

18
19 This invention is further illustrated by, but is not to be
20 considered limited by, the following examples.

21
22
23
24
25
26
27
28

1 Example 1: Preparation of 2,2,4-Trimethyl-1,3-pentanediol
2 Dibenzoate via Base-catalysed Transesterification

3 a. Preferred Procedure: Catalysis by Lithium Amide/Sodium
4 Methoxide

5
6 A suitable reaction vessel was charged with

7
8 584g (4.00m) of 2,2,4-trimethyl-1,3-pentanediol
9 (TMPD)

10 1200g (8.82m) of methyl benzoate

11 and

12 1.38g (0.06m) of lithium amide (1.5 mole % based
13 on TMPD).

14 Vacuum was applied down to ca. 15mm Hg while the
15 mixture was heated to effect solution of the reactants
16 at 50-55°C and volatilization of the methanol-of-
17 reaction at 60°C. After methanol evolution had
18 diminished over the course of two hours, the pot
19 temperature was raised to 100°C and maintained at
20 this temperature and 15mm Hg absolute pressure for
21 another hour.

22
23 At this point, precipitated material identified as
24 lithium benzoate had formed in the reaction mixture.
25 This was indicative of a side reaction between methyl
26 benzoate and the propagating methoxide ions required
27
28

1 for continuing the transesterification; see, for
2 example, Bunnett et al., J. Am. Chem. Soc. 72,2378
3 (1950).

4
5 In order to economically regenerate propagating alkoxide
6 species, there was added to the reaction mixture

7
8 6.1g (0.03m) of a 25% solution of sodium
9 methoxide-in-methanol

10 (solubilization of lithium also effected via formation
11 of the more insoluble sodium benzoate) and heating at
12 100°C and 15mm Hg absolute pressure was continued for
13 an additional two hours to carry the transesterification
14 to a greater degree of completion. The vacuum was then
15 increased down to an absolute pressure of 0.1mm Hg at
16 100°C in order to recover

17
18 127g of methyl benzoate

19
20 as an overhead distillate. Unreacted TMPD as well as
21 the last traces of methyl benzoate were removed by
22 vacuum steam distillation at 125-130°C and 25-50mm Hg
23 after which the resulting crude product was washed with

24
25 300g of 1% sodium carbonate solution.
26
27
28

1 The resulting organic layer was dried by vacuum
2 stripping at 90-95°C and 15mm Hg and vacuum-filtered
3 with the aid of

4
5 5.2g of diatomaceous earth

6
7 to obtain

8
9 1322g of product

10
11 assaying (wt. %) as follows by gas chromatography:

12
13 TMPD dibenzoate 97.1%
14 TMPD monobenzoates 2.7%
15 Minor components 0.2%

16 Based on the TMPD charged, this analysis corresponded to
17 a 90.7% yield of the dibenzoate ester and a 94.2% yield
18 of TMPD di/mono benzoate mixture with a high diester
19 content.

20
21 b. Use of Lithium Amide as the Sole Catalyst

22
23 The above was carried out without the use of sodium
24 methoxide as a secondary catalyst. From this system,

25
26 182g of methyl benzoate
27
28

1 was recovered and there was obtained

2
3 1292g of product

4
5 assaying as follows:

6
7
8

TMPD dibenzoate	84.3%
TMPD monobenzoate	14.9%
Minor components	0.8%

9
10 In this case, the yield of the dibenzoate was 76.9%
11 while the yield of the TMPD di/mono benzoate mixture of
12 high diester content was 96.2%.

13
14 c. Using Other Catalyst Systems

15
16 The above procedures were carried out using the follow-
17 ing catalyst systems at the corresponding molar levels.
18 Results are listed in Table I:

28 27 26 25 24 23 22 21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1

TABLE I
PREPARATION OF TMPD DIBENZOATE USING OTHER CATALYST SYSTEMS

CATALYST	PRODUCT COMPOSITION (Wt %)			YIELD (Mole % Based on TMPD)	
	TMPD Dibenzoate	TMPD Monobenzoate	Minor Components	TMPD Dibenzoate	TMPD/Di/Mono Benzoates
<u>Sole Catalysts (following Example 1b)</u>					
(1) Lithium methoxide	67.7	30.9	1.4	57.9	95.4
(2) Lithium hydroxide	65.5	31.7	2.8	56.5	95.2
(3) Monolithium ethyl- eneglycolate	82.9	15.1	2.0	76.9	96.7
(4) Sodium methoxide	61.3	37.8	0.9	45.1	84.5
(5) Magnesium ethoxide			no reaction		
(6) Aluminum isopropoxide			no reaction		
(7) Tetramethylammonium hydroxide	85.7	13.0	1.3	81.2	98.7
<u>Catalyst Combinations (following Example 1a)</u>					
(8) Monolithium ethyl- eneglycolate/Sodium methoxide	94.5	4.5	1.0	90.6	96.7
(9) Lithium diisopro- pylamide/Sodium methoxide	90.5	7.7	1.8	79.2	88.7

1 The results on the foregoing base-catalysed TMPD/methyl
2 benzoate transesterification reactions show a pattern of
3 generally high yields of, and excellent selectivity for,
4 TMPD benzoates. The products show quite low levels of
5 minor components and yields less-than-quantitative
6 appear to be due to unreacted TMPD rather than by-
7 product formation resulting from degradation of TMPD or
8 the TMPD esters.

9
10 All the systems described provided TMPD benzoate prod-
11 ucts having the diester component as the major product.
12 However, the use of the combination catalyst systems in,
13 which sodium methoxide is used to regenerate propagating
14 alkoxide species was especially effective for producing
15 TMPD benzoate compositions with diester levels above
16 90%. Surprisingly, sodium methoxide as the sole
17 catalyst appears to promote a slower rate of reaction.

18
19 Example 2: Comparative Example: Preparation via
20 Transesterification Catalysed by Butylstannoic Acid

21 This example describes an acid-catalysed transesterification
22 system using a weak acid/amphoteric-type catalyst. Thus, a
23 mixture of
24

25 146g (1.00m) of TMPD

26 300g (2.21m) of methyl benzoate
27
28

1 and

2 0.98g (0.0047m) of butylstannoic acid

3

4 was heated with agitation in a reactor equipped with a
5 Goodloe-packed, 12" x 1" fractionating column surmounted with
6 a condensing system. Over a period of three hours, 65.5g of
7 overhead distillate was collected at a pot temperature
8 ranging from 192 to 213°C and a head temperature of 65-70°C
9 (with a final rise to 117°C). This distillate was found by
10 gas chromatography to consist of 96.4% methanol and 3.5%
11 water.

12

13 Workup according to Example 1a led to isolation of

14

15 284g of product

16

17 assaying as follows:

18

19	TMPD dibenzoate	70.4%
20	TMPD monobenzoates	15.2%
21	Other components	14.4%

22

23 These results corresponded to a 56.5% yield of the dibenzoate
24 ester and a 73.8% yield of TMPD di/mono benzoates.

25

26

27

28

29

1 By trapping of a gas chromatographic peak, the main con-
2 stituent of the other components was identified as a 2,2,4-
3 trimethylpentenyl benzoate, a side reaction product re-
4 sulting, apparently, from the catalyst-sponsored internal
5 dehydration of the TMPD.

6
7 Example 3: Comparative Example: Preparation of 2,2,4-
8 Trimethyl-1,3-pentanediol Dibenzoate via Esterification
9 Catalysed by Butylstannoic Acid

10 In a similar manner, a weak acid/amphoteric catalyst system
11 was used to promote the direct esterification of TMPD with
12 excess benzoic acid. Thus, a mixture of

13
14 146g (1.00m) of TMPD
15 268g (2.20m) of benzoic acid
16 1.25g (0.0060m) of butylstannoic acid
17 and
18 40g of toluene

19
20 was heated with agitation. Over a period of 5-6 hours at a
21 pot temperature (reflux) ranging from 197° to 212°C (toluene
22 removed as necessary to achieve temperature),

23
24 34.3g (1.91m) of water-of-reaction

25
26 was removed with the aid of a Dean-Stark trap.

1 The final reaction mixture was found to contain 0.50 mole
2 benzoic acid per mole of TMPD charged and thus, 1.70 moles
3 benzoic acid had reacted per mole of TMPD. This difference
4 between the number of moles of water evolved and of benzoic
5 acid consumed, viz. 0.21 mole/mole TMPD, was indicative of a
6 competing internal dehydration reaction under the prevailing
7 acidic conditions.

8
9 The residual benzoic acid was removed by washing with dilute
10 (4-5%) aqueous alkali, vacuum stripped of volatile material
11 at 100°C and 15mm absolute pressure to obtain, after filtra-
12 tion aided by 0.5% diatomaceous earth,

13
14 275g of product

15
16 assaying as follows

17
18 TMPD dibenzoate 87.7%
19 TMPD monobenzoate 1.5%
20 By-product 9.8%
21 Minor components 1.0%

22 The yields of dibenzoate ester and the combined TMPD di/mono
23 benzoates were therefore 68.1% and 69.8% respectively.
24 Similarly to the previous example, the by-product was
25 identified as 2,2,4-trimethylpentenyl benzoate.

1 Example 4: Comparative Example: Preparation of 2,2,4-Tri-
2 methyl-1,3-pentanediol Dibenzoate via Esterification
3 Catalysed by p-Toluenesulfonic Acid

4 In order to show the effect of a strong acid catalyst on the
5 course of the direct esterification of TMPD with benzoic
6 acid, a mixture of

7 146g (1.00m) of TMPD

8 268g (2.20m) of benzoic acid

9 2.62g (0.014m) of p-toluenesulfonic acid

10 and

11 40g of toluene

12
13 was refluxed with agitation to collect, over a pot tempera-
14 ture range of 121-148°C and a period of ca. 9 hours

15
16 30.4g (1.69m) of water.

17
18 At this point, the evolution-of-water-of-reaction had ceased
19 (short of the theoretical 2.00 moles water/mole TMPD) --
20 possibly due to inter and/or intramolecular ether formation.

21
22 The resulting pot mixture was found to still contain 1.13
23 moles benzoic per mole of TMPD charged. In this case,
24 therefore, only 1.07 moles benzoic acid had reacted per mole
25 of TMPD.
26

1 Similarly to Example 3, these results were indicative of
2 competing side reactions and workup in a similar manner led to

3
4 196g of product

5
6 assaying as follows:

7
8 TMPD dibenzoate 7.2%
9 TMPD monobenzoate 0.9%
10 By-product 63.0%
11 Other components 28.9%

12 The yield of combined TMPD benzoates was therefore only 4.7%
13 and, consistent with the preceding two examples, the by-
14 product was identified as 2,2,4-trimethylpentenyl benzoate
15 which, in this case, was formed to the extent of 53.2%. The
16 other components were not identified but would appear to be
17 products of side reactions derived from the TMPD.

18 By contrast with the base-catalysed transesterification
19 systems, the acid-catalysed reactions furnished lower yields
20 of TMPD benzoate products with accompanying by-product(s)
21 formation resulting from degradation of the TMPD moiety. The
22 system of Example 4, employing p-toluenesulfonic catalysis,
23 was an extreme example of such degradation which, however,
24 was also evident with the milder butylstannoic acid catalyst
25 under the described laboratory conditions. In a scaled-up,
26 commercial operation involving extended time/temperature
27
28

1 cycles, it would be expected that the detrimental effect of
2 butylstannoic acid or similarly mild tin or titanium
3 catalysts would be more pronounced.

4
5 Example 5: Preparation of 2,2,4-Trimethyl-1,3-pentanediol
6 Monobenzoate Compositions

7 a. Via Benzoylation in Pyridine

8
9 A suitable reaction vessel was charged with

10
11 584g (4.00m) of 2,2,4-trimethyl-1,3-pentanediol

12 383g (4.85m) of pyridine

13 and

14 500g of carbon tetrachloride.

15
16 The resulting mixture was stirred to effect solubili-
17 zation and cooled with an ice/water bath to 5°C.

18
19 There was then added

20
21 620g (4.41m) of benzoyl chloride

22
23 over about 2.5 hours during which time the reaction
24 temperature was maintained below 10°C using external
25 cooling. The resulting mixture was then agitated with
26 a solution of
27
28

1 100g of 85% phosphoric acid
2
3 in
4
5 2000g of water
6
7 in order to extract the pyridine hydrochloride by-
8 product and excess pyridine into the aqueous phase.
9 The resulting organic layer was washed three times with
10
11 2 kg portions of water
12
13 to ca. pH 5 at which point a test for chloride ion in
14 an aqueous extract was negative. Washing was continued
15 using, in sequence, 2 kg portions of
16
17 0.8% potassium hydroxide solution
18
19 and
20
21 water (3-5 times to pH 6-7).
22
23
24
25
26
27
28

1 The washed organic phase was vacuum stripped to remove
2 solvent and subsequently distilled through a 6" Vigreux
3 column at 0.05mm Hg absolute pressure to obtain, at a head
4 temperature cut of 130-132°C,

5
6 717g of distillate

7
8 assaying (wt. %) by gas chromatography as follows:

9
10 TMPD monobenzoates 98.2%
11 TMPD dibenzoate 1.0%
12 Minor components 0.8%

13 Proton magnetic resonance analysis of this product indicated
14 a 1/1 ratio for the two possible monobenzoate components,
15 viz. the 2,2,4-trimethyl-3-hydroxypent-1-yl and
16 2,2,4-trimethyl-1-hydroxypent-3-yl benzoates.

17
18 b. Via Base-Catalysed Transesterification

19
20 A mixture of

21
22 146g of (1.00m) of 2,2,4-trimethyl-1,3-pentanediol

23 150g (1.10m) of methyl benzoate

24 and

25 1.02g (0.015m) of monolithium ethyleneglycolate
26
27
28

1 was reacted similarly to the procedure described in
2 Example 1b. In this case, the final transesterification
3 reaction mixture was submitted directly to the vacuum steam
4 stripping step to obtain

5
6 30.3g of an upper organic layer
7
8 containing (by gas chromatography) the following
9 percentages of unreacted raw materials:

10
11 TMPD 95.9%
12 Methyl benzoate 4.1%

13 If suitably dried, this mixture of recovered raw materials
14 could be recycled in a subsequent run. There was finally
15 obtained

16
17 217g of product

18
19 assaying as follows:

20
21 TMPD monobenzoate 52.2%
22 TMPD dibenzoate 46.1%
23 Minor components 1.7%

24

25

26

27

28

1 Based on the TMPD charged, the yield of the TMPD benzoate
2 species was 73.7% with 45.3% selectivity for the TMPD
3 monobenzoates. With recycle of the TMPD recovered in the
4 steam distillate, the yield of all TMPD benzoates obtained,
5 based on the TMPD consumed, would be 91.9%.

6
7 Example 6: Evaluation of Selected Plasticizer Compositions
8 for Stain Resistance and Plasticizer Properties

9
10 a. For Stain-Resistance Efficacy

11 Four plastisols, each containing a different plasti-
12 cizer, were prepared by mixing the following ingredi-
13 ents in a high-intensity Cowles mixer at room tempera-
14 ture until a uniform dispersion of resin particles was
15 obtained.

16

17 Poly (vinyl chloride) resin	100
18 Epoxidized soybean oil	3
19 Stabilizer (liquid Ca-Zn complex 20 stabilizer)	3
21 Plasticizer	50

22
23
24
25
26
27
28

1 After thorough mixing, the plastisols were cast in films on
2 coated paper and fused for two minutes at 170°C. The
3 following five staining agents were then smeared on the fused
4 films and allowed to stand at room temperature for two hours:

5
6 Driveway sealer
7 Shoe polish, brown
8 Felt-tip marker, black
9 Coal tar
10 Fabric dye, black

11 At the end of this period, the staining agents were wiped
12 off with a paper towel wet with isopropyl alcohol.

13 Visual ratings of residual stains are shown in Table II.

14
15 TABLE II
16 STAIN RATINGS (1)

17 Films Containing as Plasticizers

	Ex 1a	Ex 5a		
	<u>Product</u>	<u>Product</u>	<u>DOP(2)</u>	<u>BBP(3)</u>
18 Driveway sealer	3+	0	5	4
19 Shoe polish	4	0	5	4
20 Felt-tip marker	4	0.5	5	4
21 Coal tar	1	0	5	4
22 Fabric dye	2	0	5	4

23 (1) Stain scale: 0 = none; 1 = very slight;
24 2 = slight; 3 = light;
25 4 = moderate; 5 = heavy.

26 (2) Bis (2-ethylhexyl) phthalate

27 (3) n-Butyl benzyl phthalate
28

1 b. For Viscosity of Plastisols

2
3 Each of the above plastisol formulations was stirred
4 for fifteen minutes after a uniform dispersion had
5 been obtained. Viscosity was then measured with a
6 Brookfield Viscometer, Model LVF, at a spindle speed
7 of 20 rpm. Measurements were made at zero time and
8 after aging at 25°C for 24 and 48 hours. Results are
9 shown in Table III.

10
11 TABLE III
12 VISCOSITY OF PLASTISOLS

13 Plasticizer Component	Initial	24 hours	48 hours
14 Ex. 1a product	46000	53500	54500
15 Ex. 5a product	7600	11000	12800
16 DOP	4500	6280	10760
17 BBP	10000	41000	75000

18
19 c. For Oven Heat Stability

20 Fused film specimens were placed on a Teflon sheet in a
21 Warner-Mathis forced-air oven at 350°F. Color
22 development is summarized in Table IV.
23
24
25
26
27
28

TABLE IV
OVEN HEAT STABILITY AT 350°F

Oven Time, Minutes	Color Rating *			
	Ex 1a Product	Ex 5a Product	DOP	BBP
Initial	0	0	0	0
10	1	1	1	1
20	2	2	2	2
30	5+	6+	6+	5
40	7	8	7	7

*Color Ratings: On a scale of 0 to 10, ranging from no discoloration (0), through yellow and brown, to black (10).

d. For Performance Properties

Performance properties on fused film specimens are summarized in Table V.

TABLE V
PLASTICIZER PERFORMANCE

		Films containing plasticizers from			
		Ex 1a	Ex 5a		
<u>Property</u>		<u>Product</u>	<u>Product</u>	<u>DOP</u>	<u>BBP</u>
Gloss 60° (1)		89.1	90.7	88.7	90.3
Shore A Hardness (2)					
Initial		71	85	79	80
10 Sec.		66	75	71	67
Tensile Strength,					
psi (3)		3500	3420	2260	2865
Elongation, % (3)		315	305	360	325
100% Modulus,					
psi (3)		2145	2000	1215	1145

(1) Measured with a BYK Chemie Gloss Unit

(2) ASTM D2240

(3) ASTM D638

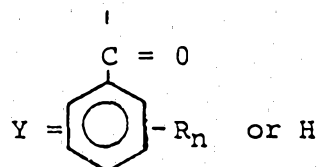
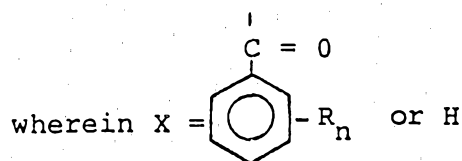
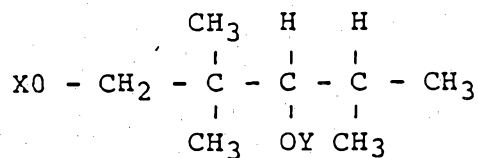
The results set forth in Table II show that the two products of this invention, viz. TMPD mono- and di-benzoate, exhibit generally better stain-resistance performance than either bis (2-ethylhexyl) phthalate (DOP), a commodity plasticizer product, or n-butyl benzyl phthalate, a specialty plasticizer, both used in the flooring industry.

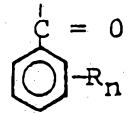
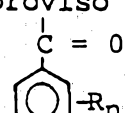
1 Tables III, IV and V show that the TMPD benzoates impart
2 properties to plastisols and cured films which are in
3 the range of those associated with accepted commercial
4 plasticizer products.

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The claims defining the invention are as follows:-

1. Monoester compounds of the formula:



R is an alkyl group having from 1 to 4 carbon atoms, and n=0-5; with the proviso that when X is , Y is H, and when Y is , X is H.

2. Compounds according to claim 1 wherein R is a methyl group and n is 1.

3. Compounds according to claim 1 comprising 2,2,4-trimethyl-3-hydroxypent-1-yl benzoate, 2,2,4-trimethyl-1-hydroxypent-3-yl benzoate, and mixtures thereof.

1 4. A plasticized resinous composition that comprises
2 an admixture of at least one polymer resin and a plastici-
3 zingly-effective amount of one or more of the compounds of
4 claim 1.

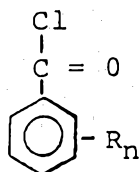
5
6 5. A plasticized resinous composition according to
7 claim 4 wherein the polymer resin is selected from the group
8 consisting of homopolymers of vinyl chloride, copolymers of
9 vinyl chloride and at least one mono- or di-olefinically
10 unsaturated monomer copolymerizable therewith, and mixtures
11 thereof.

12
13 6. A plasticized resinous composition according to
14 claim 5 wherein the plasticizing compound comprises one or
15 more mono-esters of 2,2,4-trimethyl-1,3-pentanediol and a
16 methylbenzoic acid.

17
18 7. A plasticized resinous composition according to
19 claim 5 wherein the plasticizing compound comprises
20 2,2,4-trimethyl-3-hydroxypent-1-yl benzoate, 2,2,4-
21 trimethyl-1-hydroxypent-3-yl benzoate, and mixtures thereof.

22
23 8. A process for the preparation of 2,2,4-
24 trimethyl-1,3-pentanediol monobenzoates, 2,2,4-trimethyl-1,3-
25 pentanediol mono (alkyl-substituted) benzoates, or mixtures
26 thereof, that comprises: forming a reaction mixture of
27 2,2,4-trimethyl-1,3-pentanediol, a tertiary amine, and an
28

1 inert organic solvent; adding to said reaction mixture
2 at least one acid chloride selected from compounds
3 having the formula

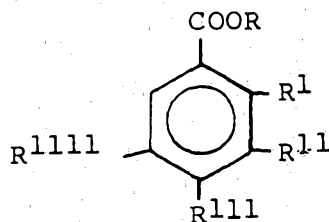


7 wherein R is an alkyl group having from 1 to 4 carbons and
8 n=0-5, said 2,2,4-trimethyl-1,3-pentanediol, said tertiary
9 amine, and said acid chloride being present in substantially
10 equimolar amounts, completing the reaction and recovering
11 the reaction products.

12
13 9. A process according to claim 8 wherein said acid
14 chloride is added to said reaction mixture over a period of
15 time and while applying external cooling means sufficient to
16 control the heat of reaction until said reaction is complete;
17 washing the reaction mixture with an aqueous solution of an
18 inorganic acid to remove any excess amine and the by-product
19 amine hydrochloride; separating the organic phase and washing
20 it with an aqueous alkaline solution and with water until the
21 aqueous phase has a pH in the range 6-7; separating the
22 organic phase and removing the solvent therefrom by vacuum
23 stripping; and, optionally, purifying the product by means
24 of distillation.

1 10. A process according to claim 8 wherein said
2 tertiary amine is pyridine, said inert organic solvent is
3 carbon tetrachloride, said acid chloride is benzoyl chloride,
4 said inorganic acid is phosphoric acid, and said aqueous
5 alkaline solution is an aqueous solution of potassium
6 hydroxide.

7
8 11. A process for the preparation of 2,2,4-
9 trimethyl-1,3-pentanediol monobenzoates, mono- (alkyl-
10 substituted) benzoates, or mixtures thereof, that comprises:
11 transesterifying 2,2,4-trimethyl-1,3-pentanediol, monoesters
12 of 2,2,4-trimethyl-1,3-pentanediol and monocarboxylic acids
13 having from 1 to 4 carbon atoms or mixtures thereof, with a
14 substantially equimolar amount of at least one ester of
15 benzoic acid or substituted-benzoic acid having the formula

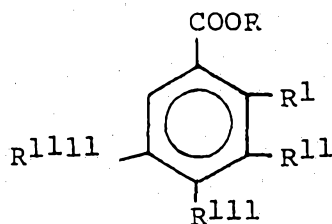


16
17
18
19
20
21 wherein R=an alkyl, alkenyl or alkynyl group having from 1 to
22 4 carbon atoms and R¹, R¹¹, R¹¹¹, and R¹¹¹¹ are any
23 combination of H and alkyl groups having from 1 to 4 carbon
24 atoms, in the presence of a catalytic amount of one or more
25 basic compounds selected from the group consisting of the
26 hydroxides, alkoxides, glycolates, amides, hydrides and other
27 comparably strongly basic anionic species of the alkali and
28

1 alkaline earth (excluding magnesium) metals, and quaternary
2 ammonium hydroxides and alkoxides, at a temperature between
3 about 30°C to about 150°C, while continuously removing the
4 alcohol or ester formed as a by-product of the
5 transesterification.

6
7 12. A process according to claim 11 wherein 2,2,4-
8 trimethyl-1,3-pentanediol is transesterified with methyl
9 benzoate in the presence of a catalytic amount of monolithium
10 ethyleneglycolate.

11
12 13. In a process for the preparation of 2,2,4-
13 trimethyl-1,3-pentanediol dibenzoate or di- (alkyl-
14 substituted) benzoates by transesterification of 2,2,4-
15 trimethyl-1,3-pentanediol or esters of 2,2,4-trimethyl-
16 1,3-pentanediol with esters of benzoic acid or alkyl-
17 substituted benzoic acids while removing volatile alcohol or
18 ester by-product, the improvement that comprises transester-
19 ifying 2,2,4-trimethyl-1,3-pentanediol or an ester of
20 2,2,4-trimethyl-1,3-pentanediol and one or more mono-
21 carboxylic acids having from 1 to 4 carbon atoms, or
22 mixtures thereof, with an ester having the formula



1 wherein R=a C₁-C₄ alkyl, alkenyl or alkynyl group and R¹,
2 R¹¹, R¹¹¹, and R¹¹¹¹ are any combination of H and alkyl
3 groups having 1 to 4 carbon atoms, in the presence of a
4 catalytic amount of one or more basic compounds selected
5 from the group consisting of the hydroxides, alkoxides,
6 glycolates, amides, hydrides, and other comparably strongly
7 basic anionic species of the alkali and alkaline earth
8 (excluding magnesium) metals, and quaternary ammonium
9 hydroxides and alkoxides, whereby the diester is obtained
10 in high yield.

11
12 14. A process according to claim 13 wherein the
13 2,2,4-trimethyl-1,3-pentanediol or ester thereof and the
14 ester of benzoic acid or substituted benzoic acid are used
15 in molar proportions of about 1/2, and wherein the trans-
16 esterification is carried out at temperatures between about
17 30°C and about 150°C.

18
19 15. A process according to claim 13 wherein 2,2,4-
20 trimethyl-1,3-pentanediol is transesterified with methyl
21 benzoate to produce 2,2,4-trimethyl-1,3-pentanediol diben-
22 zoate.

23
24 16. A process according to claim 13 wherein the basic
25 catalyst is lithium amide.

1 17. A process according to claim 13 wherein the basic
2 catalyst is a combination of lithium amide and sodium
3 methoxide.

4
5 18. A process according to claim 13 wherein the basic
6 catalyst is lithium methoxide.

7
8 19. A process according to claim 13 wherein the basic
9 catalyst is lithium hydroxide.

10
11 20. A process according to claim 13 wherein the basic
12 catalyst is monolithium ethyleneglycolate.

13
14 21. A process according to claim 13 wherein the basic
15 catalyst is sodium methoxide.

16
17 22. A process according to claim 13 wherein the basic
18 catalyst is tetramethylammonium hydroxide.

19
20 23. A process according to claim 13 wherein the basic
21 catalyst is a combination of monolithium ethyleneglycolate
22 and sodium methoxide.

23
24 24. A process according to claim 13 wherein the basic
25 catalyst is a combination of lithium diisopropylamide and
26 sodium methoxide.

27

28

1 25. In a resinous composition comprising an admixture
2 of at least one polymer resin and at least one plasticizer,
3 the improvement wherein the plasticizer comprises from about
4 60% to 100% by weight of compounds selected from the group
5 consisting of 2,2,4-trimethyl-1,3-pentanediol dibenzoate, the
6 diester of 2,2,4-trimethyl-1,3-pentanediol and benzoic acids
7 having from 1 to 4 alkyl substituents each containing from 1
8 to 4 carbon atoms, and mixtures thereof, and from 0% to about
9 40% by weight of compounds selected from the group consisting
10 of monobenzoate esters of 2,2,4-trimethyl-1,3-pentanediol,
11 mono-esters of benzoic acids having from 1 to 4 alkyl
12 substituents each containing from 1 to 4 carbon atoms
13 and 2,2,4-trimethyl-1,3-pentanediol, and mixtures thereof.

14
15 26. A composition according to claim 25 wherein the
16 polymer resin is selected from the group consisting of homo-
17 polymers of vinyl chloride and copolymers of vinyl chloride
18 and at least one mono- or di-olefinically unsaturated monomer
19 copolymerizable therewith, and the plasticizer comprises from
20 about 60% to 100% by weight of 2,2,4-trimethyl-
21 1,3-pentanediol dibenzoate, and from 0% to about 40% by
22 weight of monobenzoate esters of 2,2,4-trimethyl-
23 1,3-pentanediol.

24
25 27. In a composition suitable for use as a protective
26 and decorative surface-covering material, comprising a backing
27 having a decorated surface covered with a substantially clear
28

1 wear layer, said wear layer comprising a homopolymer or
2 copolymer of vinyl chloride, or mixtures thereof, in
3 admixture with a plasticizer, the improvement that
4 comprises using as plasticizer for said wear layer from
5 about 10 to about 90 parts per weight per 100 parts per
6 weight of said homopolymer or copolymer of an ester selected
7 from the group consisting of 2,2,4-trimethyl-1,3-pentanediol
8 dibenzoate, 2,2,4-trimethyl-1,3-pentanediol monobenzoates,
9 and mixtures thereof in any proportions, whereby the stain
10 resistance of said wear layer is improved.

11 28. Monoester compounds as claimed in claim 1, substantially as
12 described herein with reference to any one of the Examples.

13 29. A plasticized resinous composition as claimed in claim 4,
14 substantially as hereindescribed with reference to the Examples.

15 30. A process as claimed in any one of claims 8 to 24, substantially
16 as hereinbefore described with reference to the Examples.

17 31. A resinous composition as claimed in claim 25, substantially
18 as hereinbefore described with reference to the Examples.

19 DATED This 5 day of September 1990

20 HULS AMERICA, INC.
21 by their Patent Attorneys
22 CALLINAN LAWRIE

23 *Jeffrey A. Ryder*
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