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(54) **PHYSICAL LAYER METRICS TO SUPPORT ADAPTIVE STATION-DEPENDENT CHANNEL STATE INFORMATION FEEDBACK RATE IN MULTI-USER COMMUNICATION SYSTEMS**

PL-METRIK ZUR UNTERSTÜTZUNG DER ADAPTIVEN STATIONSABHÄNGIGEN KANALSTATUSINFORMATIONSRÜCKMELDUNGSRATE IN KOMMUNIKATIONSSYSTEMEN MIT MEHREREN BENUTZERN

MESURES DE COUCHE PHYSIQUE POUR PRISE EN CHARGE DE DÉBIT DE RETOUR ADAPTATIF D'INFORMATIONS D'ÉTAT DE CANAL DÉPENDANT DE LA STATION DANS DES SYSTÈMES DE COMMUNICATION MULTI-UTILISATEURS

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**EP 2 481 177 B1**

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**Description****Claim of Priority**

5 **[0001]** The present Application for Patent claims benefit of U.S. Provisional Patent Application Serial No. 61/244,528, entitled, "Physical Layer Metrics to Support Adaptive Station-Dependent Channel State Information Feedback Rate in Multi-User Communication Systems," filed September 22, 2009, and U.S. Provisional Patent Application Serial No. 61/304,929, entitled, "Physical Layer Metrics to Support Adaptive Station-Dependent Channel State Information Feed-  
10 back Rate in Multi-User Communication Systems," filed February 16, 2010, both assigned to the assignee hereof.

**TECHNICAL FIELD**

15 **[0002]** Certain aspects of the present disclosure generally relate to wireless communications and, more particularly, to designing physical layer metrics to support an adaptive station-dependent channel state information feedback rate in multi-user communication systems.

**BACKGROUND**

20 **[0003]** In order to address the issue of increasing bandwidth requirements that are demanded for wireless communications systems, different schemes are being developed to allow multiple user terminals to communicate with a single access point (AP) by sharing the channel resources while achieving high data throughputs. Multiple Input or Multiple Output (MIMO) technology represents one such approach that has recently emerged as a popular technique for the next generation communication systems. MIMO technology has been adopted in several emerging wireless communications standards such as the Institute of Electrical and Electronic Engineers (IEEE) 802.11 standard. IEEE 802.11 denotes a  
25 set of Wireless Local Area Network (WLAN) air interface standards developed by the IEEE 802.11 committee for short-range communications (e.g., tens of meters to a few hundred meters).

30 **[0004]** A MIMO wireless system employs a number ( $N_T$ ) of transmit antennas and a number ( $N_R$ ) of receive antennas for data transmission. A MIMO channel formed by the  $N_T$  transmit and  $N_R$  receive antennas may be decomposed into  $N_S$  spatial streams, where, for all practical purposes,  $N_S \leq \min\{N_T, N_R\}$ . The  $N_S$  spatial streams may be used to transmit  $N_S$  independent data streams to achieve greater overall throughput.

35 **[0005]** In wireless networks with a single Access Point and multiple stations, concurrent transmissions may occur on multiple channels toward different stations, both in the uplink (UL) and downlink (DL) direction. Many challenges are presented in such systems. For example, an access point may transmit signals using different standards such as the IEEE 802.11n/a/b/g or the IEEE 802.11ac standards. The receiver should be able to detect the transmission mode of the signal based on the information included in the preamble of the packet. Patent publication WO 2008/054099 discloses to adapt, in such wireless networks, the reporting period for channel state information feedback. The reporting period is a function of the change in the reported channel state information and/or the time elapsed since the last feedback.

**SUMMARY**

40 **[0006]** Certain aspects of the present disclosure provide a method for wireless communications by a first apparatus. The method generally includes obtaining a current channel estimate, obtaining a recent channel estimate that was communicated to a second apparatus, and calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI,  
45 over time and is used for determining whether a channel state information (CSI) update is to be sent to the second apparatus. The decision whether to transmit the CSI update is based at least on comparing the first metric with an SNR-dependent threshold, wherein the SNR indicates the current SNR conditions of the first apparatus.

50 **[0007]** Certain aspects of the present disclosure provide an apparatus for wireless communications. The apparatus generally includes an estimator configured to obtain a current channel estimate, wherein the estimator is also configured to obtain a recent channel estimate that was communicated to another apparatus, and a circuit configured to calculate a first metric based at least on the current channel estimate or the recent channel estimate, wherein the first metric is used for determining whether a channel state information (CSI) update is to be sent to the other apparatus.

55 **[0008]** The apparatus generally includes means for obtaining a current channel estimate, means for obtaining a recent channel estimate that was communicated to another apparatus, and means for calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for determining whether a channel state information (CSI) update is to be sent to the other apparatus. The apparatus for wireless communications further comprises means for calculating a second metric by comparing the first metric with an SNR-dependent threshold, wherein SNR indicates the

current SNR conditions of said apparatus for wireless communications. The apparatus also includes means for deciding if the CSI update should be sent to the other apparatus based on the second metric.

5 [0009] Certain aspects of the present disclosure provide a method for wireless communications. The method generally includes obtaining a current channel estimate and a recent channel estimate from an apparatus, and calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for determining whether a channel state information (CSI) update is to be requested from the apparatus. The decision whether to transmit a channel state information request is based at least on comparing the first metric with an SNR-dependent threshold, wherein the SNR indicates the current SNR conditions of the apparatus.

10 [0010] Certain aspects of the present disclosure provide an apparatus for wireless communications. The apparatus generally includes a receiver configured to obtain a current channel estimate and a recent channel estimate from another apparatus, and a circuit configured to calculate a first metric based at least on the current channel estimate or the recent channel estimate, wherein the first metric is used for determining whether a channel state information (CSI) update is to be requested from the other apparatus.

15 [0011] The apparatus generally includes means for obtaining a current channel estimate and a recent channel estimate from another apparatus, and means for calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for determining whether a channel state information (CSI) update is to be requested from the other apparatus. The apparatus for wireless communications further comprises means for calculating a second metric by comparing the first metric with an SNR-dependent threshold, wherein SNR indicates the current SNR conditions of said other apparatus for wireless communications. The apparatus also includes means for deciding if a channel state information request should be sent to the other apparatus based on the second metric.

20 [0012] Certain aspects of the present disclosure provide a computer-program product for wireless communications. The computer-program product includes a computer-readable medium comprising instructions executable to carry out the above methods.

#### BRIEF DESCRIPTION OF THE DRAWINGS

30 [0013] So that the manner in which the above-recited features of the present disclosure can be understood in detail, a more particular description, briefly summarized above, may be had by reference to aspects, some of which are illustrated in the appended drawings. It is to be noted, however, that the appended drawings illustrate only certain typical aspects of this disclosure and are therefore not to be considered limiting of its scope, for the description may admit to other equally effective aspects.

35 FIG. 1 illustrates a diagram of a wireless communications network.

FIG. 2 illustrates a block diagram of an example access point and user terminals.

40 FIG. 3 illustrates a block diagram of an example wireless device.

FIG. 4 illustrates a two-step medium access control (MAC) protocol for heterogeneous channel state information (CSI) feedback.

45 FIG. 5 illustrates example operations that may be performed by an access point for a two-step MAC protocol for heterogeneous CSI feedback.

FIG. 5A illustrates example components capable of performing the operations shown in FIG. 5.

50 FIG. 6 illustrates example operations that may be performed by a station for a two-step MAC protocol for heterogeneous CSI feedback.

FIG. 6A illustrates example components capable of performing the operations shown in FIG. 6.

55 FIG. 7 illustrates a MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers.

FIG. 8 illustrates example operations that may be performed by an access point for a MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers.

FIG. 8A illustrates example components capable of performing the operations shown in FIG. 8.

FIG. 9 illustrates example operations that may be performed by a station for a MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers.

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FIG. 9A illustrates example components capable of performing the operations shown in FIG. 9.

FIG. 10 illustrates a MAC protocol for heterogeneous CSI feedback based on polling of stations.

10 FIG. 11 illustrates example operations that may be performed by an access point for a MAC protocol for heterogeneous CSI feedback based on polling of stations.

FIG. 11A illustrates example components capable of performing the operations shown in FIG. 11.

15 FIG. 12 illustrates example operations that may be performed by a station for a MAC protocol for heterogeneous CSI feedback based on polling of stations

FIG. 12A illustrates example components capable of performing the operations shown in FIG. 12.

20 FIG. 13 illustrates example operations for calculating physical layer metrics to support heterogeneous CSI feedback that may be performed by a station, in accordance with certain aspects of the present disclosure.

FIG. 13A illustrates example components capable of performing the operations shown in FIG. 13.

25 FIG. 14 illustrates example operations for calculating physical layer metrics to support heterogeneous CSI feedback that may be performed by an access point, in accordance with certain aspects of the present disclosure.

FIG. 14A illustrates example components capable of performing the operations shown in FIG. 14.

30 **DETAILED DESCRIPTION**

[0014] Various aspects of certain aspects of the present disclosure are described below. It should be apparent that the teachings herein may be embodied in a wide variety of forms and that any specific structure, function, or both being disclosed herein is merely representative. Based on the teachings herein one skilled in the art should appreciate that an aspect disclosed herein may be implemented independently of any other aspects and that two or more of these aspects may be combined in various ways. For example, an apparatus may be implemented or a method may be practiced using any number of the aspects set forth herein. In addition, such an apparatus may be implemented or such a method may be practiced using other structure, functionality, or structure and functionality in addition to or other than one or more of the aspects set forth herein. Furthermore, an aspect may comprise at least one element of a claim.

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[0015] The word "exemplary" is used herein to mean "serving as an example, instance, or illustration." Any aspect described herein as "exemplary" is not necessarily to be construed as preferred or advantageous over other aspects. Also as used herein, the term "legacy stations" generally refers to wireless network nodes that support 802.11n or earlier versions of the Institute of Electrical and Electronics Engineers (IEEE) 802.11 standard.

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[0016] The multi-antenna transmission techniques described herein may be used in combination with various wireless technologies such as Code Division Multiple Access (CDMA), Orthogonal Frequency Division Multiplexing (OFDM), Time Division Multiple Access (TDMA), Spatial Division Multiple Access (SDMA), and so on. Multiple user terminals can concurrently transmit/receive data via different (1) orthogonal code channels for CDMA, (2) time slots for TDMA, or (3) sub-bands for OFDM. A CDMA system may implement IS-2000, IS-95, IS-856, Wideband-CDMA (W-CDMA) or some other standards. An OFDM system may implement IEEE 802.11 or some other standards. A TDMA system may implement GSM or some other standards. These various standards are known in the art.

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**AN EXAMPLE MIMO SYSTEM**

[0017] FIG. 1 illustrates a multiple-access MIMO system 100 with access points and user terminals. For simplicity, only one access point 110 is shown in FIG. 1. An access point (AP) is generally a fixed station that communicates with the user terminals and may also be referred to as a base station or some other terminology. A user terminal may be fixed or mobile and may also be referred to as a mobile station, a station (STA), a client, a wireless device or some other terminology. A user terminal may be a wireless device, such as a cellular phone, a personal digital assistant (PDA), a

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handheld device, a wireless modem, a laptop computer, a personal computer, etc.

**[0018]** Access point 110 may communicate with one or more user terminals 120 at any given moment on the downlink and uplink. The downlink (i.e., forward link) is the communication link from the access point to the user terminals, and the uplink (i.e., reverse link) is the communication link from the user terminals to the access point. A user terminal may also communicate peer-to-peer with another user terminal. A system controller 130 couples to and provides coordination and control for the access points.

**[0019]** System 100 employs multiple transmit and multiple receive antennas for data transmission on the downlink and uplink. Access point 110 is equipped with a number  $N_{ap}$  of antennas and represents the multiple-input (MI) for downlink transmissions and the multiple-output (MO) for uplink transmissions. A set  $N_u$  of selected user terminals 120 collectively represents the multiple-output for downlink transmissions and the multiple-input for uplink (UL) transmissions. In certain cases, it may be desirable to have  $N_{ap} \geq N_u \geq 1$  if the data symbol streams for the  $N_u$  user terminals are not multiplexed in code, frequency or time by some means.  $N_u$  may be greater than  $N_{ap}$  if the data symbol streams can be multiplexed using different code channels with CDMA, disjoint sets of sub-bands with OFDM, and so on. Each selected user terminal transmits user-specific data to and/or receives user-specific data from the access point. In general, each selected user terminal may be equipped with one or multiple antennas (i.e.,  $N_{ut} \geq 1$ ). The  $N_u$  selected user terminals can have the same or different number of antennas.

**[0020]** MIMO system 100 may be a time division duplex (TDD) system or a frequency division duplex (FDD) system. For a TDD system, the downlink and uplink share the same frequency band. For an FDD system, the downlink and uplink use different frequency bands. MIMO system 100 may also utilize a single carrier or multiple carriers for transmission. Each user terminal may be equipped with a single antenna (e.g., in order to keep costs down) or multiple antennas (e.g., where the additional cost can be supported).

**[0021]** FIG. 2 shows a block diagram of access point 110 and two user terminals 120m and 120x in MIMO system 100. Access point 110 is equipped with  $N_{ap}$  antennas 224a through 224ap. User terminal 120m is equipped with  $N_{ut,m}$  antennas 252ma through 252mu, and user terminal 120x is equipped with  $N_{ut,x}$  antennas 252xa through 252xu. Access point 110 is a transmitting entity for the downlink and a receiving entity for the uplink. Each user terminal 120 is a transmitting entity for the uplink and a receiving entity for the downlink. As used herein, a "transmitting entity" is an independently operated apparatus or device capable of transmitting data via a frequency channel, and a "receiving entity" is an independently operated apparatus or device capable of receiving data via a frequency channel. In the following description, the subscript "dn" denotes the downlink, the subscript "up" denotes the uplink,  $N_{up}$  user terminals are selected for simultaneous transmission on the uplink,  $N_{dn}$  user terminals are selected for simultaneous transmission on the downlink,  $N_{up}$  may or may not be equal to  $N_{dn}$ , and  $N_{up}$  and  $N_{dn}$  may be static values or can change for each scheduling interval. The beam-steering or some other spatial processing technique may be used at the access point and user terminal.

**[0022]** On the uplink, at each user terminal 120 selected for uplink transmission, a TX data processor 288 receives traffic data from a data source 286 and control data from a controller 280. TX data processor 288 processes (e.g., encodes, interleaves, and modulates) the traffic data  $\{d_{up,m}\}$  for the user terminal based on the coding and modulation schemes associated with the rate selected for the user terminal and provides a data symbol stream  $\{s_{up,m}\}$ . A TX spatial processor 290 performs spatial processing on the data symbol stream  $\{s_{up,m}\}$  and provides  $N_{ut,m}$  transmit symbol streams for the  $N_{ut,m}$  antennas. Each transmitter unit (TMTR) 254 receives and processes (e.g., converts to analog, amplifies, filters, and frequency upconverts) a respective transmit symbol stream to generate an uplink signal.  $N_{ut,m}$  transmitter units 254 provide  $N_{ut,m}$  uplink signals for transmission from  $N_{ut,m}$  antennas 252 to the access point 110.

**[0023]** A number  $N_{up}$  of user terminals may be scheduled for simultaneous transmission on the uplink. Each of these user terminals performs spatial processing on its data symbol stream and transmits its set of transmit symbol streams on the uplink to the access point.

**[0024]** At access point 110,  $N_{ap}$  antennas 224a through 224ap receive the uplink signals from all  $N_{up}$  user terminals transmitting on the uplink. Each antenna 224 provides a received signal to a respective receiver unit (RCVR) 222. Each receiver unit 222 performs processing complementary to that performed by transmitter unit 254 and provides a received symbol stream. An RX spatial processor 240 performs receiver spatial processing on the  $N_{ap}$  received symbol streams from  $N_{ap}$  receiver units 222 and provides  $N_{up}$  recovered uplink data symbol streams. The receiver spatial processing is performed in accordance with the channel correlation matrix inversion (CCMI), minimum mean square error (MMSE), successive interference cancellation (SIC), or some other technique. Each recovered uplink data symbol stream  $\{s_{up,m}\}$  is an estimate of a data symbol stream  $\{s_{up,m}\}$  transmitted by a respective user terminal. An RX data processor 242 processes (e.g., demodulates, deinterleaves, and decodes) each recovered uplink data symbol stream  $\{s_{up,m}\}$  in accordance with the rate used for that stream to obtain decoded data. The decoded data for each user terminal may be provided to a data sink 244 for storage and/or a controller 230 for further processing.

**[0025]** On the downlink, at access point 110, a TX data processor 210 receives traffic data from a data source 208 for  $N_{dn}$  user terminals scheduled for downlink transmission, control data from a controller 230 and possibly other data from a scheduler 234. The various types of data may be sent on different transport channels. TX data processor 210

processes (e.g., encodes, interleaves, and modulates) the traffic data for each user terminal based on the rate selected for that user terminal. TX data processor 210 provides  $N_{dn}$  downlink data symbol streams for the  $N_{dn}$  user terminals. A TX spatial processor 220 performs spatial processing on the  $N_{dn}$  downlink data symbol streams, and provides  $N_{ap}$  transmit symbol streams for the  $N_{ap}$  antennas. Each transmitter unit (TMTR) 222 receives and processes a respective transmit symbol stream to generate a downlink signal.  $N_{ap}$  transmitter units 222 provide  $N_{ap}$  downlink signals for transmission from  $N_{ap}$  antennas 224 to the user terminals.

**[0026]** At each user terminal 120,  $N_{ut,m}$  antennas 252 receive the  $N_{ap}$  downlink signals from access point 110. Each receiver unit (RCVR) 254 processes a received signal from an associated antenna 252 and provides a received symbol stream. An RX spatial processor 260 performs receiver spatial processing on  $N_{ut,m}$  received symbol streams from  $N_{ut,m}$  receiver units 254 and provides a recovered downlink data symbol stream  $\{s_{dn,m}\}$  for the user terminal. The receiver spatial processing is performed in accordance with the CCMI, MMSE or some other technique. An RX data processor 270 processes (e.g., demodulates, deinterleaves, and decodes) the recovered downlink data symbol stream to obtain decoded data for the user terminal.

**[0027]** FIG. 3 illustrates various components that may be utilized in a wireless device 302 that may be employed within the system 100. The wireless device 302 is an example of a device that may be configured to implement the various methods described herein. The wireless device 302 may be an access point 110 or a user terminal 120.

**[0028]** The wireless device 302 may include a processor 304 which controls operation of the wireless device 302. The processor 304 may also be referred to as a central processing unit (CPU). Memory 306, which may include both read-only memory (ROM) and random access memory (RAM), provides instructions and data to the processor 304. A portion of the memory 306 may also include non-volatile random access memory (NVRAM). The processor 304 typically performs logical and arithmetic operations based on program instructions stored within the memory 306. The instructions in the memory 306 may be executable to implement the methods described herein.

**[0029]** The wireless device 302 may also include a housing 308 that may include a transmitter 310 and a receiver 312 to allow transmission and reception of data between the wireless device 302 and a remote location. The transmitter 310 and receiver 312 may be combined into a transceiver 314. A plurality of transmit antennas 316 may be attached to the housing 308 and electrically coupled to the transceiver 314. The wireless device 302 may also include (not shown) multiple transmitters, multiple receivers, and multiple transceivers.

**[0030]** The wireless device 302 may also include a signal detector 318 that may be used in an effort to detect and quantify the level of signals received by the transceiver 314. The signal detector 318 may detect such signals as total energy, energy per subcarrier per symbol, power spectral density and other signals. The wireless device 302 may also include a digital signal processor (DSP) 320 for use in processing signals.

**[0031]** The various components of the wireless device 302 may be coupled together by a bus system 322, which may include a power bus, a control signal bus, and a status signal bus in addition to a data bus.

**[0032]** Those skilled in the art will recognize the techniques described herein may be generally applied in systems utilizing any type of multiple access schemes, such as SDMA, OFDMA, CDMA, SDMA and combinations thereof.

**[0033]** Certain aspects of the present disclosure provide a protocol that allows user-dependent channel state information (CSI) feedback rates in a downlink SDMA system to improve system performance. In addition, certain aspects propose physical layer metrics that may be used by an access point or a station to determine the CSI feedback rate for each station.

**[0034]** A downlink multi-user MIMO (MU-MIMO) or SDMA system may serve multiple spatially separated stations simultaneously by transmit-beamforming from an antenna array at the base station or the access point (AP). Complex transmit pre-coding weights may be calculated by the AP based on channel state information (CSI) received from each of the stations.

**[0035]** Since the channel varies with time due to station mobility, or mode stirring by objects moving in the environment, the CSI should be updated periodically in order for the AP to beamform accurately to each station. The required rate of CSI feedback for each station may depend on the coherence time of the channel between the AP and that station. An insufficient feedback rate may adversely impact performance due to inaccurate beamforming. On the other hand, an excessive feedback rate may produce minimal additional benefit, while wasting valuable medium time.

**[0036]** In a scenario consisting of multiple spatially separated stations, it may be expected that the channel coherence time, and therefore the appropriate CSI feedback rate, varies spatially across the stations. In addition, due to various factors, such as changing channel conditions and mobility of the stations, the appropriate CSI feedback rate may also vary temporally for each of the stations.

**[0037]** For example, some stations such as a high definition television (HDTV) or a set-top box are stationary, whereas other stations such as handheld devices are subject to motion. Furthermore, a subset of stations may be subject to high Doppler from fluorescent light effects. Finally, multi-paths to some stations may have more Doppler than others since different scatterers may move at different velocities and affect different subsets of stations.

**[0038]** Therefore, if a single rate of CSI feedback is used for all the stations, system performance may suffer due to inaccurate beamforming for stations with insufficient feedback rates and/or excessive feedback overhead for stations

with unnecessarily high feedback rates.

**[0039]** In conventional schemes, the CSI feedback may occur at a rate consistent with the worst-case user in terms of mobility or temporal channel variation. However, for an SDMA system consisting of stations that experience a range of channel conditions, a single CSI feedback rate may not be appropriate for all the stations. Catering to the worst-case station may result in an unnecessary waste of channel resources by forcing stations in relatively static channel conditions to feedback CSI values at the same rate as those in highly dynamic channels.

**[0040]** For example, in the CDMA2000 standard, in evolution- data optimized (EV-DO) data rate control channel (DRC), the channel state information reflects the signal to interference plus noise ratio (SINR) of the received pilot. In addition, the channel state information is sent by the station to facilitate rate selection for the next transmission. This information is updated at a fixed rate for all of the stations, presumably at a rate sufficient to track channel variations associated with the worst-case expected mobility situations. It is likely that this rate of channel state feedback is unnecessarily high for static users. It should be noted that the DRC was designed to provide minimal overhead. Because CSI in an SDMA system is used to support complex beamforming at the AP, it may not be feasible to compress or streamline the CSI feedback to the degree accomplished in the EV-DO design.

**[0041]** As a second example, the IEEE 802.11n standard, which supports transmit beamforming, does not specify a rate at which CSI feedback should be sent. Therefore, the CSI feedback rate may be considered an implementation factor. In contrast, due to the potentially high overhead of CSI feedback for multiple SDMA users in the IEEE 802.11ac standard, and the potential for abuse of such CSI feedback mechanism by rogue stations, it may be necessary to specify these protocols in the standard specification.

**[0042]** As described above, an appropriate rate of CSI feedback for a particular station may depend on signal to noise ratio (SNR) conditions of the station. For certain aspects, users with lower SNR values (and hence lower downlink modulation and coding scheme (MCS) levels) may be biased toward a lower CSI feedback rate. Because throughput penalty due to precoding based on staled CSI may be smaller for low MCS/SNR users than the penalty for high MCS/SNR users.

**[0043]** In addition, uplink resources required to communicate CSI are greater for low MCS (i.e., low data rate) users than stations in high SNR conditions. Therefore, it may also be desirable to exclude low-SNR users from downlink multi user (MU)-MIMO completely.

**[0044]** Certain aspects of the present disclosure propose a medium access control (MAC) layer protocol to allow user-dependent and time-dependent CSI feedback transmissions, so that each station in a multi-user MIMO system sends CSI at a rate appropriate to its channel conditions. In many scenarios, this protocol may lead to substantial improvements in network throughput and channel efficiency.

**[0045]** FIG. 4 illustrates a two-step MAC protocol for heterogeneous CSI feedback, in accordance with certain aspects of the present disclosure. In the first step, the access point may request channel evolution feedback (CEFB, 406) from one or more stations. In the second step, the AP may request CSI feedback 410 from a subset of stations. The AP may decide to request feedback from a subset of stations based on the degree of channel evolution of each station, SNR or MCS values of each station, and the overall expected interference level in the next SDMA transmission. The proposed method allows minimization of feedback overhead by exploiting uplink SDMA.

**[0046]** For certain aspects, the transaction illustrated in FIG. 4 may be initiated by the AP using a training request message (TRM) 402. The TRM message may be sent using the lowest supported rate with a format decodable by legacy IEEE 802.11a/g stations and may serve two purposes. First, requesting channel evolution data from all users or a subset of users, such as candidates for an impending downlink SDMA transmission. Second, protecting the channel evolution feedback transaction by setting the duration field of the TRM message to cause all non-participating stations to set their network allocation vector (NAV) appropriately.

**[0047]** The payload of the TRM message may contain bits to indicate the request for channel evolution (i.e., channel state information request). Following a short interframe space (SIFS) interval, the AP may send a Null Data Packet (NDP) 404 containing a very high throughput (VHT) preamble to the stations, which may be used for downlink channel sounding. Unlike the TRM, the NDP message (i.e., the channel sounding message) may not be decodable by the legacy stations. Each station may respond to the combination of the TRM and NDP messages with a CEFB message 406, which may contain a metric or metrics indicating degree of channel aging since the most recent CSI was sent.

**[0048]** Based on the metrics received from each station, as well as other network status such as the total number of SDMA stations and the MCS and transmit power for each station, the AP may send a second TRM message 408, requesting channel feedback from a subset of stations from whom the AP has determined CSI feedback is required. This TRM message may also specify the MCS at which each station shall send its CSI feedback value. Stations may then respond with their CSI feedback values. The duration field of the second TRM message 408 may be set to protect the entire duration of CSI feedback transaction from interference from non-participating stations, including legacy stations.

**[0049]** After updating its precoding weights based on the received CSI feedback, the AP may transmit downlink SDMA data 412 to the stations. For certain aspects, the downlink SDMA data transmission may be protected by preceding it with a clear to send (CTS)-to-Self message. In addition, the CTS message may also be protected by the duration field

in the second TRM message 408.

**[0050]** If a standard supports uplink SDMA (UL-SDMA), simultaneous transmission of CEFB or CSI messages utilizing UL-SDMA from all the stations may be the most efficient implementation of the protocol illustrated in FIG. 4. In the absence of UL-SDMA, CEFB and CSI messages may be transmitted serially by time division multiple access (TDMA) or orthogonal frequency division multiple access (OFDMA).

**[0051]** FIG. 5 illustrates example operations 500 that may be performed by an access point for a two-step MAC protocol for heterogeneous CSI feedback, in accordance with certain aspects of the present disclosure. At 502, the access point may send a TRM to request channel evolutionary data from a plurality of stations. At 504, the access point may receive channel evolution feedback from the stations, wherein the channel evolution feedback may indicate degree of channel aging since the most recent CSI was sent.

**[0052]** At 506, the access point may determine a subset of stations that should update their CSI feedback based at least in part on the channel evolution feedback received from the stations. At 508, the access point may send a TRM message to the subset of stations requesting CSI feedback. At 510, the access point may receive one or more CSI feedback messages from the subset of stations. At 512, the access point may update precoding weights based on the received CSI feedback messages.

**[0053]** FIG. 6 illustrates example operations 600 that may be performed by a station for a two-step MAC protocol for heterogeneous CSI feedback, in accordance with certain aspects of the present disclosure. At 602, the station may receive a TRM message that requests channel evolutionary data from an AP. At 604, the station may send a channel evolution feedback to the AP. The channel evolution feedback may indicate degree of channel aging since the most recent CSI was sent. At 606, the station may receive a TRM message from the AP requesting CSI feedback, if the station is selected by the AP as one of the stations that need to resend CSI information. At 608, the station may send a CSI feedback message to the AP if the TRM message requesting CSI feedback was received.

**[0054]** For certain aspects, if CSI feedback is not accomplished by UL-SDMA, the duration field contained in the second TRM message may be calculated by the AP assuming that all the stations will send CSI feedback. In general, this mechanism may protect the CEFB and CSI messages from collisions occurring due to transmissions from the stations not participating in feedback transmissions.

**[0055]** For certain aspects, a 'soft' channel evolution metric may be used that centralizes the decision to request CSI at the AP. The AP may also consider other factors such as the multi-user interference level and per-user MCS in the decision.

**[0056]** FIG. 7 illustrates an alternative MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers, in accordance with certain aspects of the present disclosure. As illustrated, the decision to send a CSI feedback message may be performed in a single step. In addition, each of the stations may decide whether or not to transmit a CSI feedback. The decision may be based, at least in part, on defined metric and predetermined criteria. Only the stations which determine that the channel has changed since the last time a CSI feedback message was sent may transmit CSI feedback. As a result, the CSI feedback overhead may be reduced.

**[0057]** The protocol illustrated in FIG. 7 may be more appropriate for air interfaces in which UL-SDMA is not available. In the proposed protocol, each SDMA station may decide whether or not to feed back CSI based on an internal calculation akin to a hard metric. Timing of the serial CSI transmissions may be accomplished by exploiting a deterministic backoff timer.

**[0058]** The AP may initiate the transactions in FIG. 7 by sending a TRM message addressed to those stations intended for a pending DL-SDMA transmission. The TRM message may contain a deterministic backoff assignment for each station. Similar to FIG. 4, the TRM message may be followed by an NDP message providing a sounding preamble. Each station may respond in turn with CSI feedback if the station decides a CSI update is needed at the AP. If a station decides CSI update is not required, the station may not transmit anything.

**[0059]** Utilizing a deterministic back-off timer, each station transmits only when its backoff timer expires. Each station may also pause its timer when it detects transmission by other stations. Timers may resume counting down after the other station completes its transmission and vacates the medium. With appropriately selected backoff values, the time lost due to non-responding stations may be minimized, which may help reduce the time required to receive all the required CSI feedback messages.

**[0060]** Following the reception of a CSI message from the last station, or the expiration of the longest backoff timer, the AP may recalculate precoding weights and may start DL-SDMA transmission 412. In the example illustrated in Figure 7, STA3 does not transmit a CSI feedback message, and STA4 begins transmitting a CSI feedback message after a minimal delay.

**[0061]** For certain aspects of the present disclosure, the request message may provide an indication that the CSI is to be sent using a sounding frame or a data frame.

**[0062]** FIG. 8 illustrates example operations 800 that may be performed by an access point for a MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers, in accordance with certain aspects of the present disclosure. At 802, the access point sends a TRM message to a plurality of stations. The TRM is a request for CSI and



the TRM message contains a deterministic backoff timer assignment for each of the stations. At 804, the access point receives a plurality of CSI feedback messages from the stations in accordance with their corresponding backoff timers. At 806, the access point updates precoding weights based on the received CSI feedback messages.

**[0063]** FIG. 9 illustrates example operations 900 that may be performed by a station for a MAC protocol for heterogeneous CSI feedback based on deterministic back-off timers, in accordance with certain aspects of the present disclosure. At 902, the station receives a TRM from an AP, wherein the TRM contains a deterministic backoff timer assignment for the station. At 904, the station determines if CSI needs to be updated. If yes, at 906, the station transmits a CSI feedback in accordance with the backoff timer. If the CSI does not need to be updated, at 908, the station does not transmit a CSI feedback.

**[0064]** One disadvantage of this protocol is that the deterministic backoff concept assumes all the stations can detect the transmissions of the other stations by sensing the medium. However, in the presence of hidden nodes, backoff timers may not pause as expected, potentially leading to collisions of CSI feedback data.

**[0065]** FIG. 10 illustrates a MAC protocol for heterogeneous CSI feedback based on polling of stations, in accordance with certain aspects of the present disclosure. This protocol avoids the hidden node problem and hence avoids collision of the transmissions from different stations by utilizing a polling protocol.

**[0066]** As illustrated in FIG. 10, following transmission of the TRM and sounding NDP messages, each station is polled sequentially for CSI feedback. A station may respond to polling 1002 by transmitting CSI feedback if the station determines that a CSI update is required. Otherwise, the station may transmit nothing. If the AP does not detect a response to a poll after one timeslot, the AP polls the next station. Following the reception of CSI from the last station, or no response from the final polled station, the AP may recalculate the precoding weights and may begin DL-SDMA data transmission. In the example illustrated in FIG. 10, STA3 does not transmit a CSI feedback message. When the AP does not detect a response from STA3 in a certain time, it may poll STA4 for CSI feedback.

**[0067]** FIG. 11 illustrates example operations 1100 that may be performed by an access point for a MAC protocol for heterogeneous CSI feedback based on polling of stations, in accordance with certain aspects of the present disclosure. At 1102, the access point sends a TRM message to a plurality of stations. At 1104, the access point sends a polling message to each of the stations. At 1106, the access point in response to the polling message, receives one or more CSI feedback messages from the stations. At 1108, the access point updates precoding weights based on the received CSI feedback messages.

**[0068]** FIG. 12 illustrates example operations 1200 that may be performed by a station for a MAC protocol for heterogeneous CSI feedback based on polling of stations, in accordance with certain aspects of the present disclosure. At 1202, the station receives a TRM message from an AP. At 1204, the station determines if a CSI at the AP needs to be updated. At 1206, the station receives a polling message from the AP. At 1208, in response to the polling message, the station transmits a CSI feedback if it was determined that the CSI needs to be updated, otherwise, the station does not transmit anything.

**[0069]** For certain aspects of the present disclosure, the TRM message may have a legacy decodable format. Therefore, the TRM message may be decoded by all the stations, even those stations that do not support DL-SDMA (i.e., legacy stations). The TRM message may carry a duration field so that some of the stations defer their transmissions by setting their NAV appropriately. The stations who defer their transmissions may be the stations that are not taking part in the upcoming DL SDMA transmission or stations (even legacy stations) who are not SDMA capable.

**[0070]** For certain aspects, the duration field contained in the TRM message may be calculated by the AP assuming that all of the stations may feedback CSI messages. This protects the sounding NDP and CSI messages from collisions occurring due to transmissions of stations not participating in feedback transmissions.

**[0071]** The present disclosure proposed protocols to reduce the CSI feedback overhead when uplink SDMA is supported. Certain aspects optimize feedback overhead when UL-SDMA is not supported. As described in the document, the channel evolution and CSI feedback may be protected from data collisions by informing the legacy stations or other stations that are not participating in any specific SDMA transmission about the upcoming feedback transmissions.

## ANALYSIS

**[0072]** Expanding on the scenarios described in this disclosure document, a 40 MHz 802.11ac network is assumed with an 8-antenna AP and 10 dual-antenna stations experiencing a range of channel coherence times, such as 100ms, 200ms, 400ms, 600ms, 800ms, 1000ms and 1200ms. These values are consistent with recent measurement campaigns involving stationary stations in indoor conditions with deliberate pedestrian activity in the channel (100ms represents approximately the one percentile of the measurements). It is assumed that a preferred CSI feedback interval for a given station is 10% of its channel coherence time. In addition, a nominal uplink capacity of 54Mbps may be assumed for all the stations.

**[0073]** If the proposed protocol is not implemented, the system must be designed so that all stations send CSI feedback at a rate suitable for the expected worst-case Doppler condition. Assuming 100ms coherence time, all users may therefore

feedback CSI messages 100 times per second. Therefore, total capacity required for all CSI feedback messages may be written as:

$$100 \text{ CSI/sec} \times 16\text{bit/CSI} \times 8\text{Tx} \times 2\text{Rx} \times 114 \text{ subcarriers} \times 10 \text{ stations} \times 110\% \text{ MAC overhead} = 30.6 \text{ Mbps,}$$

which represents ~57% of the available 54 Mbps uplink capacity.

**[0074]** If the proposed protocol is implemented, CSI feedback may occur at a rate appropriate for channel coherence time of each station. In this case, total throughput required for transmitting all the CSI feedback messages is 8.3 Mbps, which represents approximately 15% of the available 54Mbps uplink capacity. This represents a 73% reduction in the channel overhead required for explicit CSI feedback compared to the case where the proposed techniques are not implemented.

**[0075]** In conditions where stations are subject to a range of SNRs or SINRs, further optimization may be possible by applying lower feedback rates to low MCS users, resulting in additional overhead reduction.

**CSI Evolution Metric**

**[0076]** For certain aspects of the present disclosure, in a MAC-layer protocol for adaptive station-dependent channel state information feedback rate, a channel state information evolution metric may be calculated by either an access point or a station. The CSI evolution metric may be utilized by either the AP or the station to assess the degree of CSI "aging" or "evolution" for the station. The CSI evolution metric may be calculated at the station and fed back to the access point for further processing. Or, the CSI evolution metric may be calculated at the AP using two of the most recent CSI values received from a station.

**[0077]** The CSI evolution metric may account for both the degree of CSI evolution for each station, and the SNR conditions of the station. In order to prevent "rogue" stations from unfairly exploiting the protocol by communicating an artificial metric which may encourage an unnecessarily high CSI feedback rate, a CSI evolution metric may need to be defined in the air interface standard specification, rather than being left up to the implementer.

**[0078]** For certain aspects, the CSI evolution metric may be defined as a "soft metric," which is calculated by a station and communicated to an AP or directly calculated by the AP. In this case, the AP may be responsible for the decision as to whether or not a CSI update is required from the station. The CSI evolution metric,  $D_{CSI}$ , may be calculated as follows:

$$D_{CSI} = \frac{\|H_{old} - H_{new}\|^2}{\|H_{old}\| \|H_{new}\|} \quad (1)$$

where  $\|H\|$  denotes norm of matrix  $H$ ,  $H_{new}$  is the latest downlink complex channel estimate measured at the station.  $H_{old}$  is the complex channel estimate most recently fed back to the AP, based on which current precoding weights are calculated. The value of  $D_{CSI}$  may approach zero when  $H_{old}$  and  $H_{new}$  are similar. The value of  $D_{CSI}$  may become larger as either magnitude or phase characteristics of  $H_{new}$  deviate from the magnitude or phase characteristics of  $H_{old}$ . Note that  $H_{new}$  and  $H_{old}$  in this document refer to pilot-adjusted channel estimations to correct for the effects of phase noise in the receiver.

**[0079]** For certain aspects of the present disclosure, the CSI evolution metric,  $D_{CSI}$ , may be sent to the AP accompanied by an indication of the current SNR conditions of the station. The AP may decide whether or not to request CSI feedback from the station based at least in part on the information received from the station. The indication of the current SNR conditions of the station may be an explicit SNR estimate. The current SNR conditions may also be ascertained implicitly from the current downlink and/or uplink MCS for that station.

**[0080]** For certain aspects, the AP may also consider number of stations expected in the next SDMA transmission, and the downlink transmit power assigned to each station to decide whether or not to request CSI feedback from stations.

**[0081]** In a high SNR regime, SINR of a user decreases as its channel evolves because nulls of the interfering users are no longer optimally steered, resulting in higher interference to that user. Therefore, as the number of stations served by an access point through SDMA increases (assuming equidistant users), potential for interference is also increased. As a result, the SINR and achievable data rate of the user become increasingly sensitive to channel evolution.

**[0082]** Consequently, for certain aspects, the AP may request CSI more frequently when number of SDMA stations is large. Similarly, if high power is transmitted to some users, more frequent feedback may be required from some of

the stations, due to higher potential for interference.

**[0083]** For certain aspects, in an OFDM system, the CSI evolution metric,  $D_{CSI}$ , may be calculated for all of the subcarriers or a subset of the subcarriers. The channel evolution feedback provided to the AP may take the form of separate metrics for each subcarrier or an average across all subcarriers. The average channel evolution metric may require less uplink channel resources to be communicated, and may also be a more reliable estimate of the degree of channel evolution.

**[0084]** For certain aspects, for a station with multiple antennas, the  $D_{CSI}$  may be calculated separately for each receive antenna.  $H_{old}$  and  $H_{new}$  may also take the form of a full  $N_{rx} \times N_{tx}$  channel estimate. Therefore, the channel evolution feedback provided to the AP may either be separate metrics for each receive antenna, or an average across all antennas.

**[0085]** For certain aspects of the present disclosure, the  $D_{CSI}$  may be defined as a "hard metric," that may be calculated by the station and communicated to the AP. In this case, the station may be responsible for the decision as to whether or not a CSI update to the AP is required. The hard  $D_{CSI}$  metric may be written as a logical value (i.e., true or false) and may be specified as follows:

$$\frac{\|H_{old} - H_{new}\|^2}{\|H_{old}\| - \|H_{new}\|} > \frac{1}{SNR} \times Margin \quad (2)$$

where the SNR may be the current SNR in linear units experienced by the station, and *Margin* may be a fixed threshold or margin defined in the system.

**[0086]** Note that the hard  $D_{CSI}$  metric in Eqn (2) is generated by comparing the soft  $D_{CSI}$  metric described in Eqn (1) with an SNR-dependent threshold. If the channel has evolved sufficiently to warrant an update of CSI to the AP, Eqn (2) will be 'true.' The hard  $D_{CSI}$  metric is more likely to be true for high-SNR stations. This is desirable since more frequent feedback is required from stations with high SNR or high MCS.

**[0087]** For certain aspects, a second soft  $D_{CSI}$  metric for tracking channel evolution at a station may be defined as follows:

$$D_{CSI} = \left| \frac{H_{old} \cdot H'_{new}}{\|H_{old}\| \|H_{new}\|} \right| \quad (3)$$

where  $H_{new}$  and  $H_{old}$  are row vectors containing complex channel estimates for a single station antenna,  $A \cdot B$  represents the inner product of vectors  $A$  and  $B$ ,  $B'$  represents the Hermitian transpose of vector  $B$ , and  $|x|$  denotes the magnitude of  $x$ . The value of the soft  $D_{CSI}$  metric in Eqn (3) may be equal to one when  $H_{old}$  and  $H_{new}$  are similar.  $D_{CSI}$  becomes smaller as the phase characteristics of  $H_{new}$  deviate from  $H_{old}$ . It should be noted that, however, channel evolution due to changes in magnitude of  $H$  such as changes in path loss may not be detected by this metric.

**[0088]** For certain aspects, a third soft  $D_{CSI}$  metric may be defined as follows:

$$D_{CSI} = \left| \arg\left(\frac{H_{old} \cdot H'_{new}}{\|H_{old}\| \|H_{new}\|}\right) \right| \quad (4)$$

where  $H_{new}$  and  $H_{old}$  are complex channel estimates for a single antenna station,  $\arg(x)$  represents the argument (i.e., angle) function of  $x$ . The value of the soft  $D_{CSI}$  metric in Eqn (4) may be equal to zero when  $H_{old}$  and  $H_{new}$  are similar.  $D_{CSI}$  may become larger as the phase characteristics of  $H_{new}$  deviate from  $H_{old}$ . However, it should be noted that channel evolution due to changes in the magnitude of  $H$  such as changes in path loss are not well detected by this metric.

**[0089]** Because neither of the soft metrics defined in Eqns (3) and (4) are akin to an SNR, they may not be as suitable for the basis of a hard metric as the soft metric defined in Eqn (1).

**[0090]** For certain aspects, a fourth metric for tracking channel evolution may be defined as follows:

$$D_{CSI} = \frac{1 - \rho^2}{\rho^2} \quad (5)$$

5

where

$$\rho^2 = \frac{|H_{old} \cdot H'_{new}|}{\|H_{old}\| \|H_{new}\|}, \quad (6)$$

10

in which  $\rho^2$  is equivalent to the metric described in Eqn (3). The metric described in Eqn (5) is a good estimator of the metric described in Eqn (1), and may be used in its place as an estimate of the mean squared error between  $H_{old}$  and  $H_{new}$ .

15 **[0091]** The metric in Eqn (5) has the advantage of being immune to the impact of phase reference drift between the station and the AP, which does not impact multi-user MIMO performance. In the presence of phase drift, the metric described in Eqn (1) may trigger result in an overestimation of the degree of channel evolution.

20 **[0092]** FIG. 13 illustrates example operations 1300 for calculating physical layer metrics to support heterogeneous CSI feedback, in accordance with certain aspects of the present disclosure. At 1302, a station obtains a current channel estimate. At 1304, the station obtains a most recent channel estimate that was communicated to an AP. At 1306, the station calculates a first metric (i.e., a soft metric) based at least on the current channel estimate and the most recent channel estimate that was communicated to the AP.

25 **[0093]** At 1308, the station may compare the first metric with an SNR-dependent threshold to generate a second metric (i.e., a hard metric). The station may transmit the first or the second metrics to the access point, from which the access point decides whether or not it should ask for a CSI update. The station may also use the second metric to decide whether or not it should send a CSI update to the access point.

30 **[0094]** For certain aspects, the metrics described in equations 1 through 5, may be calculated by the AP. The AP may use the calculated metrics to decide whether or not to request CSI from each STA. Therefore, the metrics described above may be considered part of the decision-making algorithm in the AP, rather than a metric to be tracked and communicated to the AP by the station.

**[0095]** For certain aspects, the decision of whether to request a CSI update from the station may depend on the time elapsed since the last CSI update in addition to the channel evolution metric.

**[0096]** FIG. 14 illustrates example operations for calculating physical layer metrics to support heterogeneous CSI feedback that may be performed by an access point, in accordance with certain aspects of the present disclosure.

35 **[0097]** At 1402, the AP obtains a current channel estimate and a recent channel estimate from a station. At 1404, the AP calculates a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is used for determining whether a channel state information (CSI) update is to be requested from the user equipment.

40 **[0098]** Aspects of the present disclosure proposed metrics and methods to support adaptive station-dependent channel state information feedback rate in multi-user communication systems. As described earlier, channel evolution metrics may be calculated either by the AP or by the station.

**[0099]** The various operations of methods described above may be performed by any suitable means capable of performing the corresponding functions. The means may include various hardware and/or software component(s) and/or module(s), including, but not limited to a circuit, an application specific integrate circuit (ASIC), or processor. Generally, where there are operations illustrated in Figures, those operations may have corresponding counterpart means-plus-function components with similar numbering. For example, operations 500 and 600, 800, 900, 1100, 1200, 1300 and 1400 illustrated in FIGS. 5, 6, 8, 9, 11, 12, 13 and 14 respectively correspond to components 500A, 600A, 800A, 900A, 1100A, 1200A, 1300A and 1400A illustrated in FIGS. 5A, 6A, 8A, 9A, 11A, 12A, 13A and 14A respectively.

50 **[0100]** As used herein, the term "determining" encompasses a wide variety of actions. For example, "determining" may include calculating, computing, processing, deriving, investigating, looking up (e.g., looking up in a table, a database or another data structure), ascertaining and the like. Also, "determining" may include receiving (e.g., receiving information), accessing (e.g., accessing data in a memory) and the like. Also, "determining" may include resolving, selecting, choosing, establishing and the like.

55 **[0101]** The various operations of methods described above may be performed by any suitable means capable of performing the operations, such as various hardware and/or software component(s), circuits, and/or module(s). Generally, any operations illustrated in the Figures may be performed by corresponding functional means capable of performing the operations.

**[0102]** The various illustrative logical blocks, modules and circuits described in connection with the present disclosure

may be implemented or performed with a general purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array signal (FPGA) or other programmable logic device (PLD), discrete gate or transistor logic, discrete hardware components or any combination thereof designed to perform the functions described herein. A general-purpose processor may be a microprocessor, but in the alternative, the processor may be any commercially available processor, controller, microcontroller or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, a plurality of microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

**[0103]** The steps of a method or algorithm described in connection with the present disclosure may be embodied directly in hardware, in a software module executed by a processor, or in a combination of the two. A software module may reside in any form of storage medium that is known in the art. Some examples of storage media that may be used include random access memory (RAM), read only memory (ROM), flash memory, EPROM memory, EEPROM memory, registers, a hard disk, a removable disk, a CD-ROM and so forth. A software module may comprise a single instruction, or many instructions, and may be distributed over several different code segments, among different programs, and across multiple storage media. A storage medium may be coupled to a processor such that the processor can read information from, and write information to, the storage medium. In the alternative, the storage medium may be integral to the processor.

**[0104]** The methods disclosed herein comprise one or more steps or actions for achieving the described method. The method steps and/or actions may be interchanged with one another without departing from the scope of the claims. In other words, unless a specific order of steps or actions is specified, the order and/or use of specific steps and/or actions may be modified without departing from the scope of the claims.

**[0105]** The functions described may be implemented in hardware, software, firmware or any combination thereof. If implemented in software, the functions may be stored as one or more instructions on a computer-readable medium. A storage media may be any available media that can be accessed by a computer. By way of example, and not limitation, such computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM or other optical disk storage, magnetic disk storage or other magnetic storage devices, or any other medium that can be used to carry or store desired program code in the form of instructions or data structures and that can be accessed by a computer. Disk and disc, as used herein, include compact disc (CD), laser disc, optical disc, digital versatile disc (DVD), floppy disk, and Blu-ray<sup>®</sup> disc where disks usually reproduce data magnetically, while discs reproduce data optically with lasers.

**[0106]** Thus, certain aspects may comprise a computer program product for performing the operations presented herein. For example, such a computer program product may comprise a computer readable medium having instructions stored (and/or encoded) thereon, the instructions being executable by one or more processors to perform the operations described herein. For certain aspects, the computer program product may include packaging material.

**[0107]** Software or instructions may also be transmitted over a transmission medium. For example, if the software is transmitted from a website, server, or other remote source using a coaxial cable, fiber optic cable, twisted pair, digital subscriber line (DSL), or wireless technologies such as infrared, radio, and microwave, then the coaxial cable, fiber optic cable, twisted pair, DSL, or wireless technologies such as infrared, radio, and microwave are included in the definition of transmission medium.

**[0108]** Further, it should be appreciated that modules and/or other appropriate means for performing the methods and techniques described herein can be downloaded and/or otherwise obtained by a user terminal and/or base station as applicable. For example, such a device can be coupled to a server to facilitate the transfer of means for performing the methods described herein. Alternatively, various methods described herein can be provided via storage means (e.g., RAM, ROM, a physical storage medium such as a compact disc (CD) or floppy disk, etc.), such that a user terminal and/or base station can obtain the various methods upon coupling or providing the storage means to the device. Moreover, any other suitable technique for providing the methods and techniques described herein to a device can be utilized.

**[0109]** It is to be understood that the claims are not limited to the precise configuration and components illustrated above. Various modifications, changes and variations may be made in the arrangement, operation and details of the methods and apparatus described above without departing from the scope of the claims.

**[0110]** The techniques provided herein may be utilized in a variety of applications. For certain aspects, the techniques presented herein may be incorporated in an access point station, an access terminal, a mobile handset, or other type of wireless device with processing logic and elements to perform the techniques provided herein.

**[0111]** While the foregoing is directed to aspects of the disclosure, other and further aspects of the disclosure may be devised without departing from the basic scope thereof, and the scope thereof is determined by the claims that follow.

## Claims

1. A method for wireless communications by a first apparatus, comprising:

obtaining a current channel estimate (1302);  
obtaining a recent channel estimate that was communicated to a second apparatus (1304); and  
calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein  
the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for  
determining whether a CSI update is to be sent to the second apparatus (1306);  
deciding whether to transmit the CSI update to the second apparatus, wherein the method is further **characterised in that** it comprises: deciding whether to transmit the CSI update comprises:

calculating a second metric by comparing the first metric with an SNR-dependent threshold, wherein SNR  
is an indication of the current SNR conditions of the apparatus (1308); and  
deciding if the CSI update should be sent to the second apparatus based on the second metric.

2. The method of claim 1, further comprising:

receiving a request message from the second apparatus; and  
in response to the request message, transmitting the first metric to the second apparatus.

3. The method of claim 1, further comprising:

transmitting the second metric to the second apparatus.

4. An apparatus for wireless communications, comprising:

means for obtaining a current channel estimate (1302A);  
means for obtaining a recent channel estimate that was communicated to another apparatus (1304A);  
means for calculating a first metric based at least on the current channel estimate and the recent channel  
estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI, over time  
and is used for determining whether a CSI update is to be sent to the other apparatus (1306A); and  
means for deciding whether transmit the CSI update to the other apparatus wherein the apparatus for wireless  
communications is further **characterised in that** means for deciding whether to transmit the CSI update comprises:

means for calculating a second metric by comparing the first metric with an SNR-dependent threshold,  
wherein SNR is an indication of the current SNR conditions of the apparatus (1308A); and  
means for deciding if the CSI update should be sent to the other apparatus based on the second metric.

5. The apparatus of claim 4, further comprising:

means for receiving a request message from the other apparatus; and  
means for transmitting, in response to the request message, the first metric to the other apparatus.

6. The apparatus of claim 4, further comprising:

means for transmitting the second metric to the other apparatus.

7. A method for wireless communications, comprising:

obtaining a current channel estimate and a recent channel estimate from an apparatus (1402);  
calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein  
the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for  
determining whether a CSI update is to be requested from the apparatus (1404); and  
deciding whether to request the CSI update from the apparatus, wherein the method is further **characterised in that** it comprises:

deciding whether to request the CSI update comprises:

calculating a second metric by comparing the first metric with an SNR-dependent threshold, wherein  
SNR is an indication of the current SNR conditions of the apparatus (1406); and

deciding if a channel state information request should be sent to the apparatus based on the second metric.

8. The method of claim 7, further comprising:

transmitting, to the apparatus based on the decision, a request for the CSI update.

9. The method of claim 8, wherein the request for the CSI update comprises at least one of a training request message or a channel sounding message.

10. An apparatus for wireless communications, comprising:

means for obtaining a current channel estimate and a recent channel estimate from another apparatus (1402A); means for calculating a first metric based at least on the current channel estimate and the recent channel estimate, wherein the first metric is a measure of the evolution of a channel state information, CSI, over time and is used for determining whether a CSI update is to be requested from the other apparatus (1404A); and means for deciding whether to request the CSI update from the other apparatus wherein the apparatus for wireless communications is further **characterised in that** the means for deciding whether to request the CSI update comprises:

means for calculating a second metric by comparing the first metric with an SNR-dependent threshold, wherein SNR is an indication of the current SNR conditions of the other apparatus (1406A); and means for deciding if a channel state information request should be sent to the other apparatus based on the second metric.

11. The apparatus of claim 10, further comprising:

means for transmitting, to the other apparatus based on the decision, a request for the CSI update.

12. The apparatus of claim 11, wherein the request for the CSI update comprises at least one of a training request message or a channel sounding message.

13. A computer-program product for wireless communications, comprising a computer-readable medium comprising instructions executable to carry out the steps of any of claims 1 to 3 or 7 to 9.

## Patentansprüche

1. Ein Verfahren für Drahtloskommunikationen durch eine erste Vorrichtung, das Folgendes aufweist:

Erlangen einer aktuellen Kanalschätzung (1302);  
Erlangen einer vorhergehenden bzw. kürzlichen Kanalschätzung, die an eine zweite Vorrichtung (1304) kommuniziert wurde, und  
Berechnen einer ersten Metrik basierend auf der aktuellen Kanalschätzung und der kürzlichen Kanalschätzung, wobei die erste Metrik eine Messung der Evolution bzw. Entwicklung einer Kanalzustandsinformation bzw. CSI (CSI = channel state information) ist, und zum Bestimmen verwendet wird, ob eine CSI-Aktualisierung an die zweite Vorrichtung (1306) gesendet werden soll;  
Bestimmen, ob die CSI-Aktualisierung an die zweite Vorrichtung gesendet werden soll, wobei das Verfahren weiter **dadurch gekennzeichnet ist, dass** es Folgendes aufweist:

Entscheiden, ob die CSI-Aktualisierung gesendet werden soll, was Folgendes aufweist:

Berechnen einer zweiten Metrik durch Vergleichen der ersten Metrik mit einem SNR-abhängigen Schwellenwert, wobei das SNR eine Anzeige der aktuellen SNR-Bedingungen der Vorrichtung (1308) ist;  
und  
Entscheiden, ob die CSI-Aktualisierung an die zweite Vorrichtung gesendet werden sollte, basierend auf der zweiten Metrik.

2. Verfahren nach Anspruch 1, das weiter Folgendes aufweist:

Empfangen einer Anfragenachricht von der zweiten Vorrichtung; und  
ansprechend auf die Anfragenachricht, Senden der ersten Metrik an die zweite Vorrichtung.

5

3. Verfahren nach Anspruch 1, das weiter Folgendes aufweist:

Senden der zweiten Metrik an die zweite Vorrichtung.

10

4. Eine Vorrichtung für Drahtloskommunikationen, die Folgendes aufweist:

Mittel zum Erlangen einer aktuellen Kanalschätzung (1302A);

Mittel zum Erlangen einer vorherigen bzw. kürzlichen Kanalschätzung, die an eine weitere bzw. andere Vorrichtung (1304A) kommuniziert wurde, und

15

Mittel zum Berechnen einer ersten Metrik basierend auf der aktuellen Kanalschätzung und der kürzlichen Kanalschätzung, wobei die erste Metrik eine Messung der Evolution bzw. Entwicklung einer Kanalzustandsinformation bzw. CSI (CSI = channel state information) ist, und verwendet wird zum Bestimmen, ob eine CSI-Aktualisierung an die andere Vorrichtung (1306A) gesendet werden soll; und

20

Mittel zum Entscheiden, ob die CSI-Aktualisierung an die andere Vorrichtung gesendet werden soll,

wobei

die Vorrichtung für Drahtloskommunikationen weiter **dadurch gekennzeichnet ist, dass** die Mittel zum Entscheiden, ob die CSI-Aktualisierung gesendet werden soll, Folgendes aufweisen:

25

Mittel zum Berechnen einer zweiten Metrik durch Vergleichen der ersten Metrik mit einem SNR-abhängigen Schwellenwert, wobei das SNR eine Anzeige der aktuellen SNR-Bedingungen der Vorrichtung (1308A) ist; und

30

Mittel zum Entscheiden, ob die CSI-Aktualisierung an die andere Vorrichtung gesendet werden sollte, und zwar basierend auf der zweiten Metrik.

5. Vorrichtung nach Anspruch 4, die weiter Folgendes aufweist:

Mittel zum Empfangen einer Anfragenachricht von der anderen Vorrichtung;

35

und

Mittel zum Senden, ansprechend auf die Anfragenachricht, der ersten Metrik an die andere Vorrichtung.

6. Vorrichtung nach Anspruch 4, die weiter Folgendes aufweist:

40

Mittel zum Senden der zweiten Metrik an die andere Vorrichtung.

7. Ein Verfahren für Drahtloskommunikationen, das Folgendes aufweist:

Erlangen einer aktuellen Kanalschätzung und einer vorhergehenden bzw.

45

kürzlichen Kanalschätzung von der Vorrichtung (1402);

Berechnen einer ersten Metrik basierend wenigstens auf der aktuellen Kanalschätzung und der kürzlichen Kanalschätzung, wobei die erste Metrik eine Messung der Evolution bzw. Entwicklung einer Kanalzustandsinformation bzw. CSI (CSI = channel state information) ist und verwendet wird zum Bestimmen, ob eine CSI-Aktualisierung von der Vorrichtung (1404) angefragt werden soll; und

50

Entscheiden, ob die CSI-Aktualisierung von der Vorrichtung angefragt werden soll,

wobei das Verfahren weiter **dadurch gekennzeichnet ist, dass** es Folgendes aufweist;

Entscheiden, ob die CSI-Aktualisierung angefragt werden soll, was Folgendes aufweist:

55

Berechnen einer zweiten Metrik durch Vergleichen der ersten Metrik mit einem SNR-abhängigen Schwellenwert, wobei das SNR eine Anzeige der aktuellen SNR-Bedingungen der Vorrichtung (1406) ist; und Entscheiden, ob eine Kanalzustandsinformationsanfrage an die Vorrichtung gesendet werden sollte, und zwar basierend auf der zweiten Metrik.



## EP 2 481 177 B1

8. Verfahren nach Anspruch 7, das weiter Folgendes aufweist:

Senden, an die Vorrichtung basierend auf der Entscheidung, einer Anfrage nach der CSI-Aktualisierung.

5 9. Verfahren nach Anspruch 8, wobei die Anfrage nach der CSI-Aktualisierung eine Trainingsanfragenachricht und/oder eine Kanalsondierungsnachricht aufweist.

10. Eine Vorrichtung für Drahtloskommunikationen, die Folgendes aufweist:

10 Mittel zum Erlangen einer aktuellen Kanalschätzung und einer vorhergehenden bzw. kürzlichen Kanalschätzung von der anderen Vorrichtung (1402A);

Mittel zum Berechnen einer ersten Metrik basierend auf wenigstens der aktuellen Kanalschätzung und der kürzlichen Kanalschätzung, wobei die erste Metrik eine Messung der Evolution bzw. Entwicklung einer Kanalzustandsinformation bzw. CSI (CSI = channel state information) ist und verwendet wird zum Bestimmen, ob

15 eine CSI-Aktualisierung von der weiteren Vorrichtung (1404A) angefragt werden soll; und Mittel zum Entscheiden, ob die CSI-Aktualisierung von der anderen Vorrichtung angefragt werden soll,

wobei die Vorrichtung für Drahtloskommunikationen weiter **dadurch gekennzeichnet ist, dass** die Mittel zum Entscheiden, ob die CSI-Aktualisierung angefragt werden soll, was Folgendes aufweisen:

20 Mittel zum Berechnen einer zweiten Metrik durch Vergleichen der ersten Metrik mit einem SNR-abhängigen Schwellenwert, wobei das SNR eine Anzeige der aktuellen SNR-Bedingungen der anderen Vorrichtung (1406A) ist; und

25 Mittel zum Entscheiden, ob eine Kanalzustandsinformationsanfrage an die Vorrichtung gesendet werden sollte, und zwar basierend auf der zweiten Metrik.

11. Vorrichtung nach Anspruch 10, die weiter Folgendes aufweist:

30 Mittel zum Senden, an die andere Vorrichtung basierend auf der Entscheidung, einer Anfrage nach der CSI-Aktualisierung.

12. Vorrichtung nach Anspruch 11, wobei die Anfrage nach der CSI-Aktualisierung eine Trainingsanfragenachricht und/oder eine Kanalsondierungsnachricht aufweist.

35 13. Ein Computerprogrammprodukt für Drahtloskommunikationen, das ein computerlesbares Medium aufweist, das Instruktionen aufweist, die ausgeführt werden können, um die Schritte nach einem der Ansprüche 1 bis 3 oder 7 bis 9 durchzuführen.

## 40 Revendications

1. Procédé pour des communications sans fil par un premier dispositif, comprenant :

obtenir une estimation de canal courante (1302) ;

45 obtenir une estimation de canal récente qui a été communiquée à un deuxième dispositif (1304) ; et calculer une première métrique sur la base au moins de l'estimation de canal courante et de l'estimation de canal récente, la première métrique étant une mesure de l'évolution d'informations d'état de canal, CSI, et étant utilisée pour déterminer si une mise à jour CSI doit être envoyée au deuxième dispositif (1306) ;

50 décider s'il faut transmettre la mise à jour CSI au deuxième dispositif, le procédé étant en outre **caractérisé en ce qu'**il comprend :

décider s'il faut transmettre la mise à jour CSI comprend :

55 calculer une deuxième métrique en comparant la première métrique à un seuil dépendant du SNR, le SNR étant une indication des conditions de SNR courantes du dispositif (1308) ; et décider si la mise à jour CSI doit être envoyée au deuxième dispositif sur la base de la deuxième métrique.

## EP 2 481 177 B1

2. Procédé selon la revendication 1, comprenant en outre :

recevoir un message de requête à partir du deuxième dispositif ; et  
en réponse au message de requête, transmettre la première métrique au deuxième dispositif.

5

3. Procédé selon la revendication 1, comprenant en outre :

transmettre la deuxième métrique au deuxième dispositif.

10

4. Dispositif pour des communications sans fil, comprenant :

des moyens pour obtenir une estimation de canal courante (1302A) ;  
des moyens pour obtenir une estimation de canal récente qui a été communiquée à un autre dispositif (1304A) ;  
des moyens pour calculer une première métrique sur la base au moins de l'estimation de canal courante et de  
l'estimation de canal récente, la première métrique étant une mesure de l'évolution d'informations d'état de  
canal, CSI, et étant utilisée pour déterminer si une mise à jour CSI doit être envoyée à l'autre dispositif (1306A) ; et  
des moyens pour décider s'il faut transmettre la mise à jour CSI à l'autre dispositif,

15

dans lequel le dispositif pour des communications sans fil est en outre **caractérisé en ce que** les moyens pour  
décider s'il faut transmettre la mise à jour CSI comprennent :

20

des moyens pour calculer une deuxième métrique en comparant la première métrique à un seuil dépendant du  
SNR, le SNR étant une indication des conditions de SNR courantes du dispositif (1308A) ; et  
des moyens pour décider si la mise à jour CSI doit être envoyée à l'autre dispositif sur la base de la deuxième  
métrique.

25

5. Dispositif selon la revendication 4, comprenant en outre :

des moyens pour recevoir un message de requête à partir de l'autre dispositif ; et  
des moyens pour transmettre, en réponse au message de requête, la première métrique à l'autre dispositif.

30

6. Dispositif selon la revendication 4, comprenant en outre :

des moyens pour transmettre la deuxième métrique à l'autre dispositif.

35

7. Procédé de communication sans fil, comprenant :

obtenir une estimation de canal courante et une estimation de canal récente à partir d'un dispositif (1402) ;  
calculer une première métrique sur la base au moins de l'estimation de canal courante et de l'estimation de  
canal récente, la première métrique étant une mesure de l'évolution d'informations d'état de canal, CSI, et étant  
utilisée pour déterminer si une mise à jour CSI doit être demandée au dispositif (1404) ; et  
décider s'il faut demander la mise à jour CSI au dispositif,

40

le procédé étant en outre **caractérisé en ce qu'il** comprend :

45

décider s'il faut demander la mise à jour CSI comprend :

calculer une deuxième métrique en comparant la première métrique à un seuil dépendant du SNR, le SNR  
étant une indication des conditions de SNR courantes du dispositif (1406) ; et  
décider si une requête d'informations d'état de canal doit être envoyée au dispositif sur la base de la  
deuxième métrique.

50

8. Procédé selon la revendication 7, comprenant en outre :

émettre, vers le dispositif sur la base de la décision, une requête pour la mise à jour CSI.

55

9. Procédé selon la revendication 8, dans lequel la requête pour la mise à jour CSI comprend au moins l'un d'un  
message de requête d'apprentissage ou d'un message de sondage de canal.

10. Dispositif pour des communications sans fil, comprenant :

des moyens pour obtenir une estimation de canal courante et une estimation de canal récente à partir d'un autre dispositif (1402A) ;

des moyens pour calculer une première métrique sur la base au moins de l'estimation de canal courante et de l'estimation de canal récente, la première métrique étant une mesure de l'évolution d'informations d'état de canal, CSI, et étant utilisée pour déterminer si une mise à jour CSI doit être demandée à l'autre dispositif (1404A) ; et

des moyens pour décider s'il faut demander la mise à jour CSI à l'autre dispositif,

dans lequel le dispositif pour des communications sans fil est en outre **caractérisé en ce que** les moyens pour décider s'il faut demander la mise à jour CSI comprennent :

des moyens pour calculer une deuxième métrique en comparant la première métrique à un seuil dépendant du SNR, le SNR étant une indication des conditions de SNR courantes de l'autre dispositif (1406A) ; et

des moyens pour décider si une requête d'informations d'état de canal doit être envoyée à l'autre dispositif sur la base de la deuxième métrique.

11. Dispositif selon la revendication 10, comprenant en outre :

des moyens pour émettre, vers l'autre dispositif sur la base de la décision, une requête pour la mise à jour CSI.

12. Dispositif selon la revendication 11, dans lequel la requête pour la mise à jour CSI comprend au moins l'un d'un message de requête d'apprentissage ou d'un message de sondage de canal.

13. Produit programme d'ordinateur pour des communications sans fil, comprenant un support lisible par un ordinateur comprenant des instructions exécutables pour réaliser les étapes de l'une quelconque des revendications 1 à 3 ou 7 à 9.

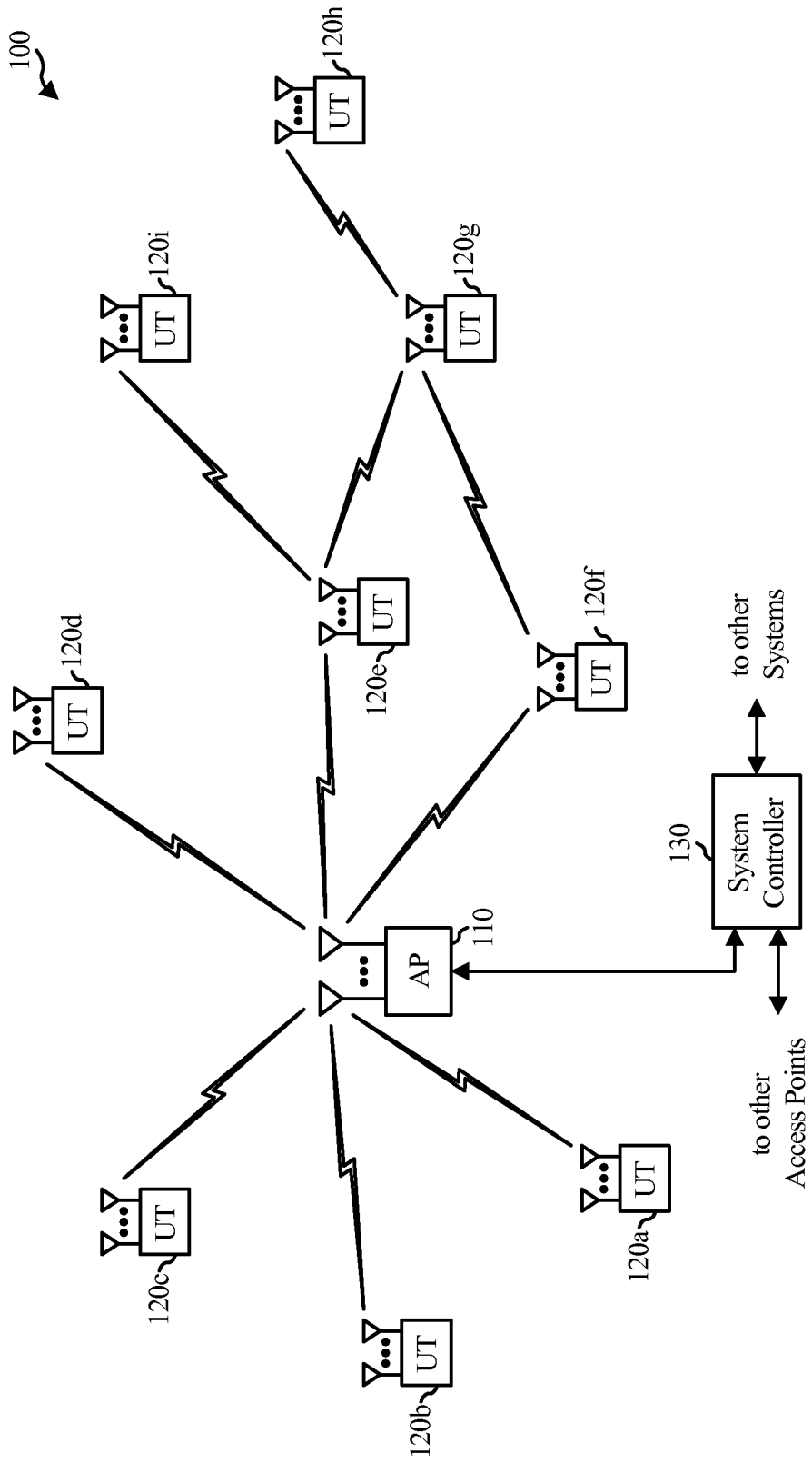


FIG. 1

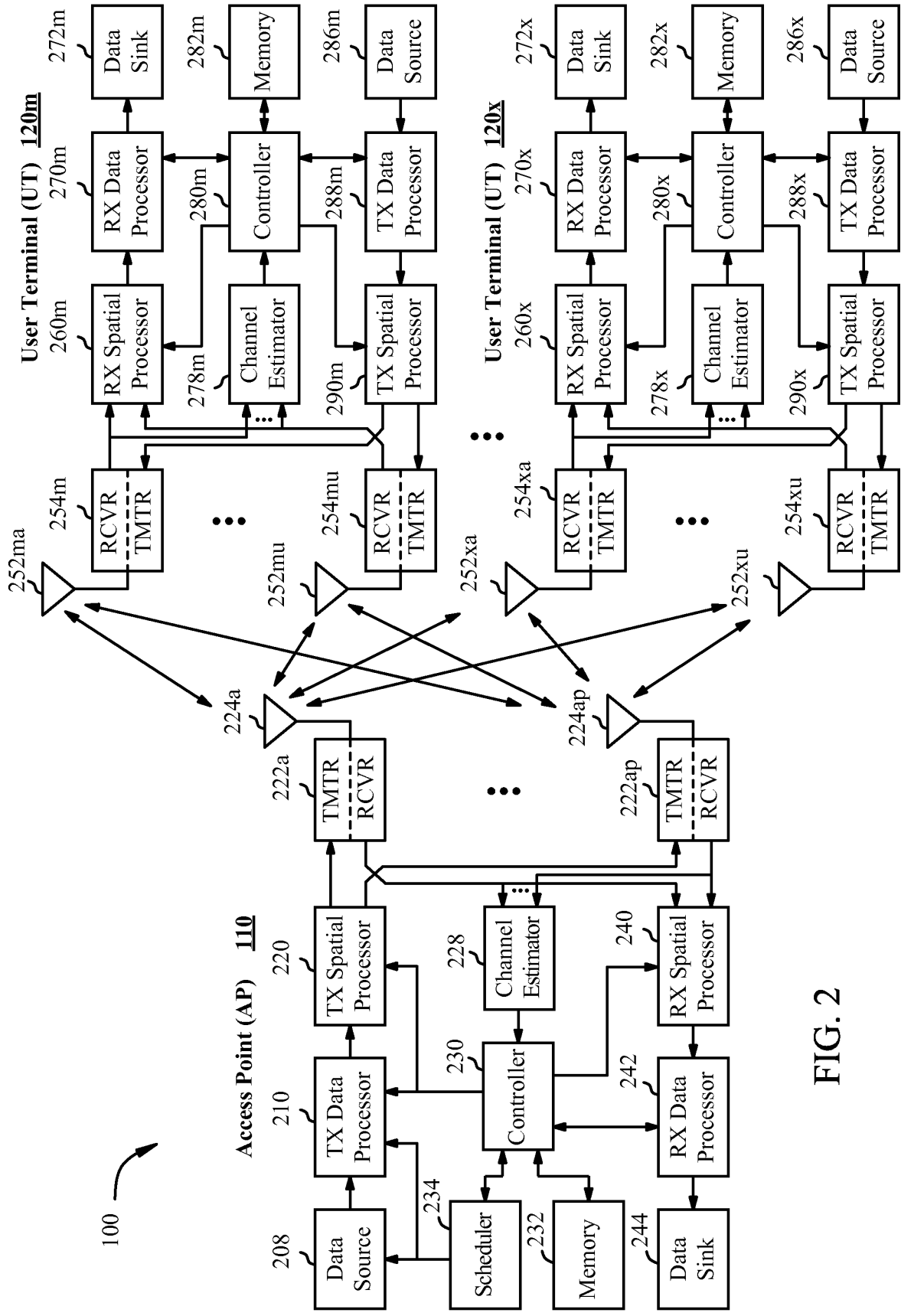


FIG. 2

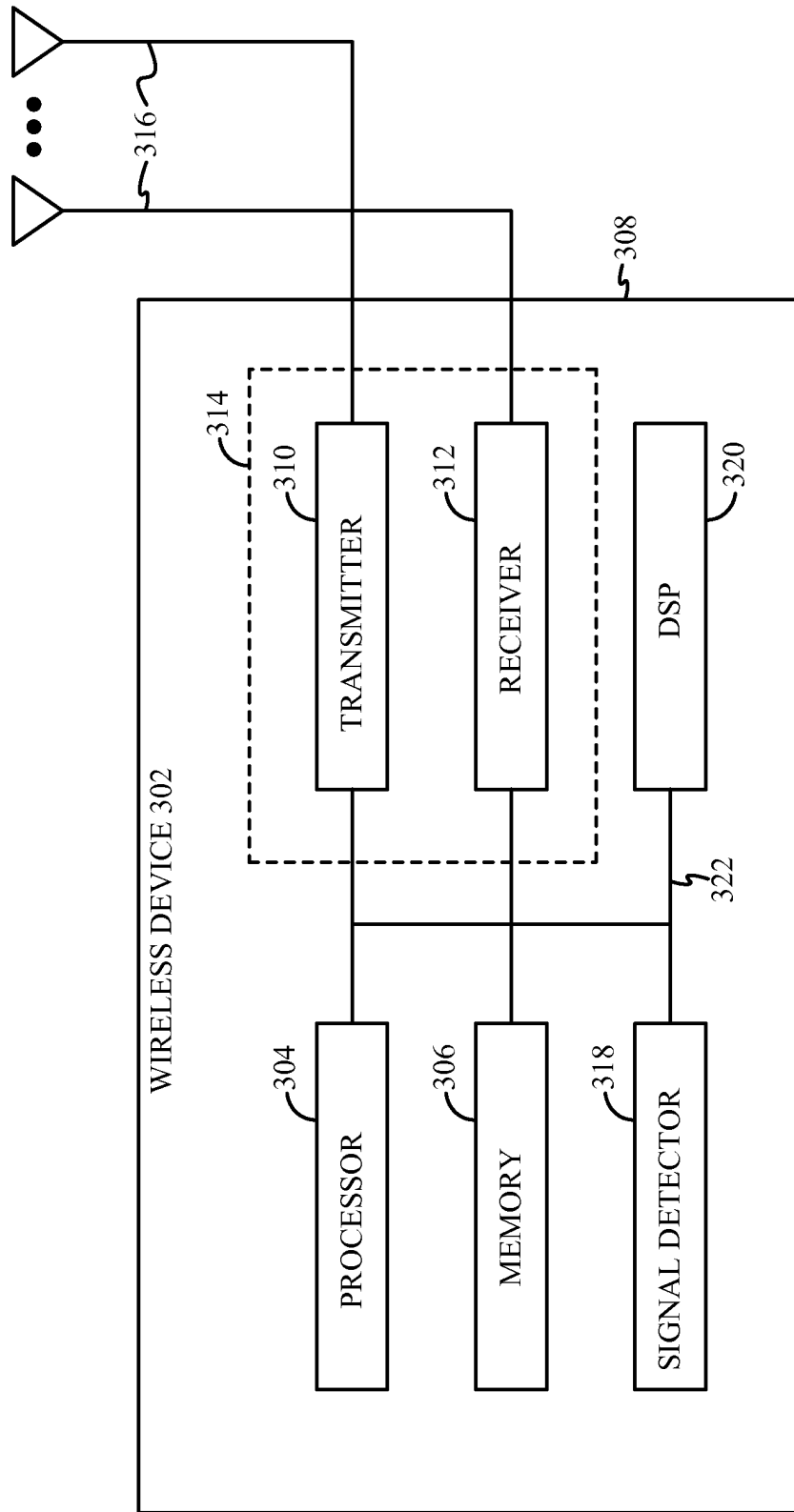


FIG. 3

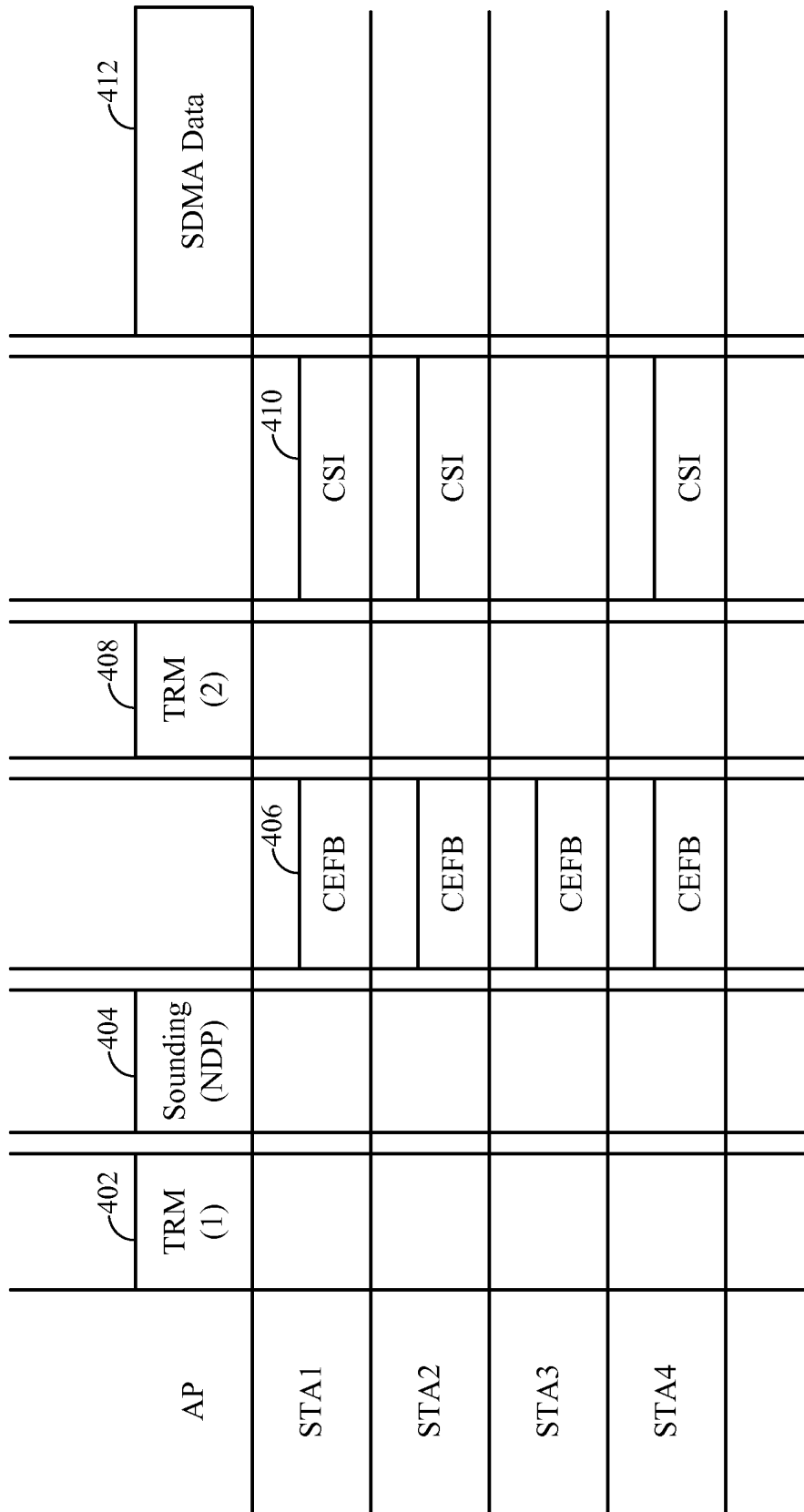


FIG. 4

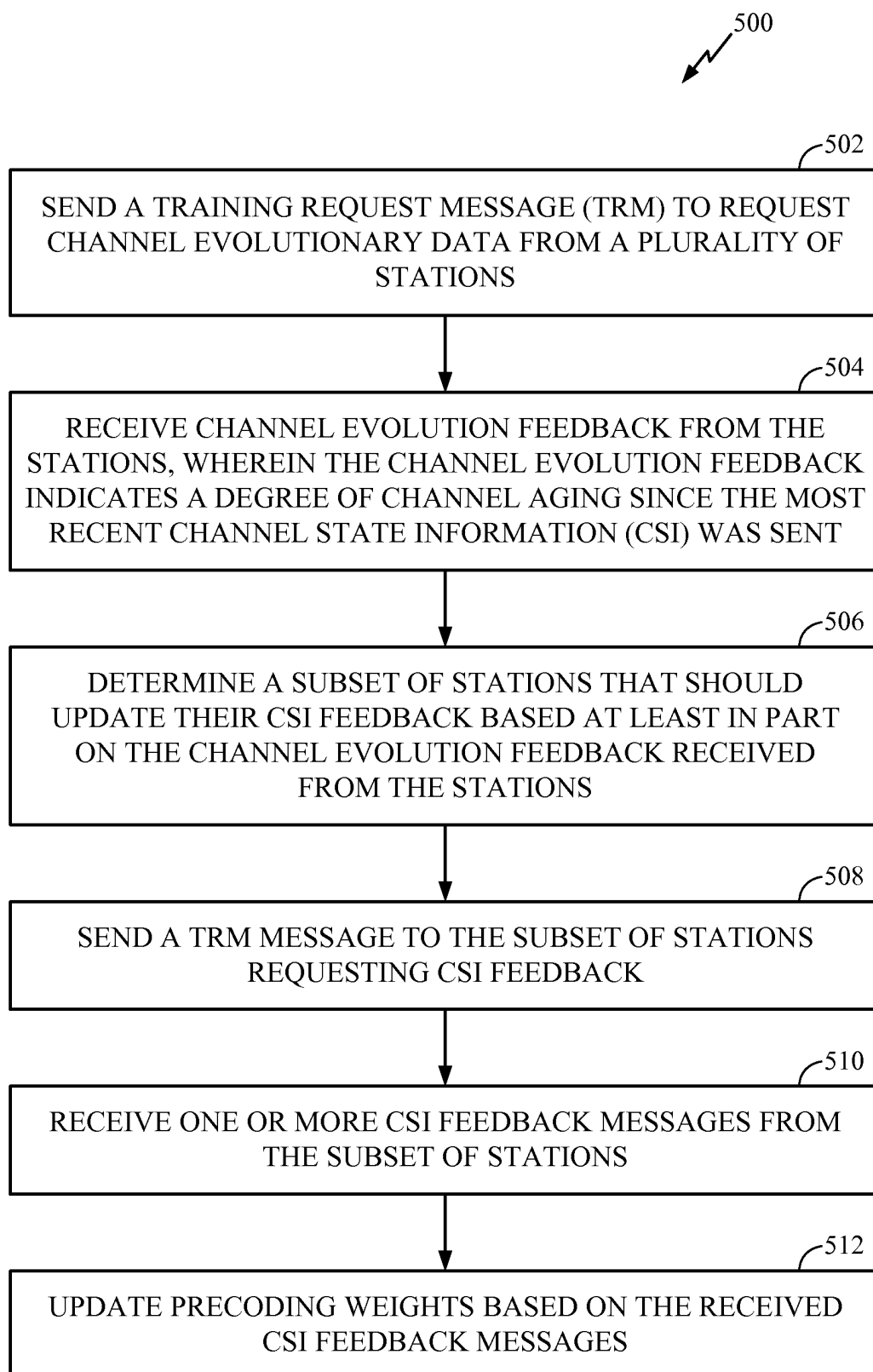


FIG. 5



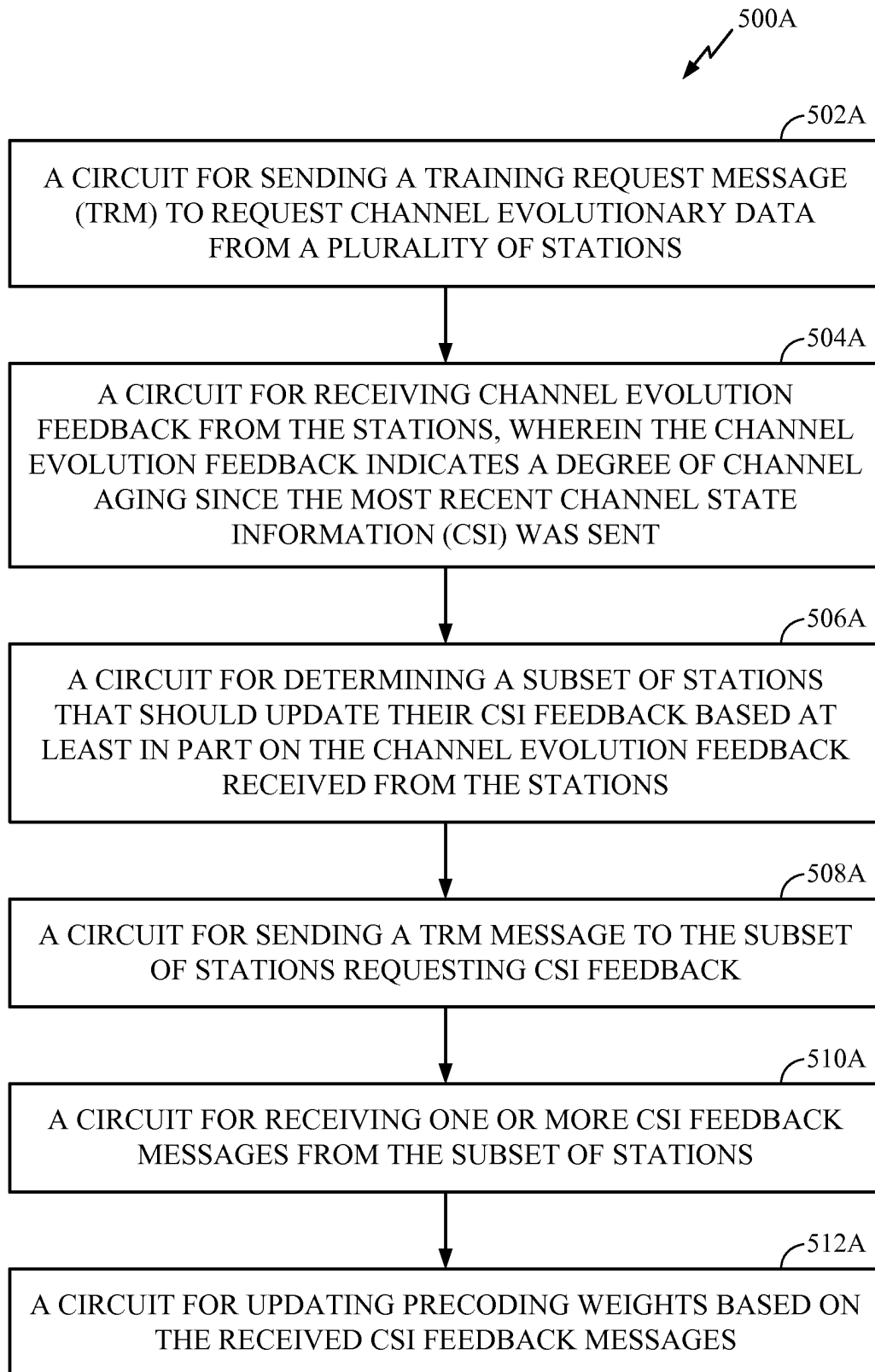


FIG. 5A

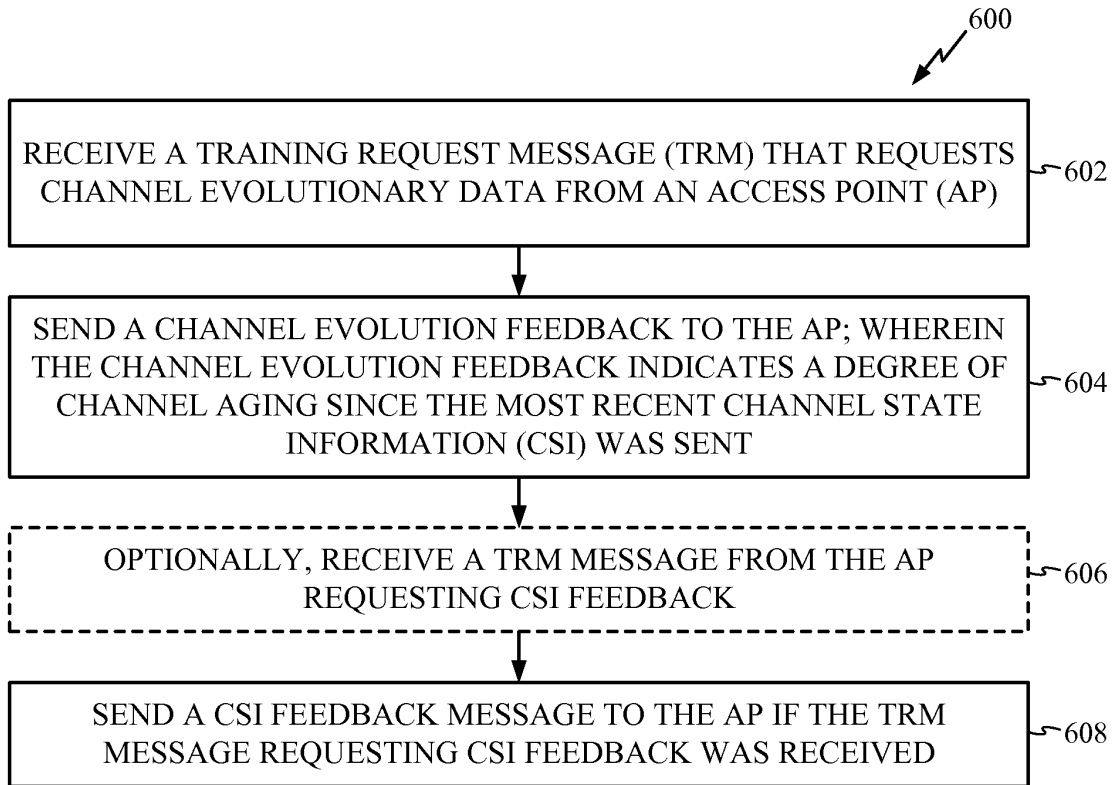


FIG. 6

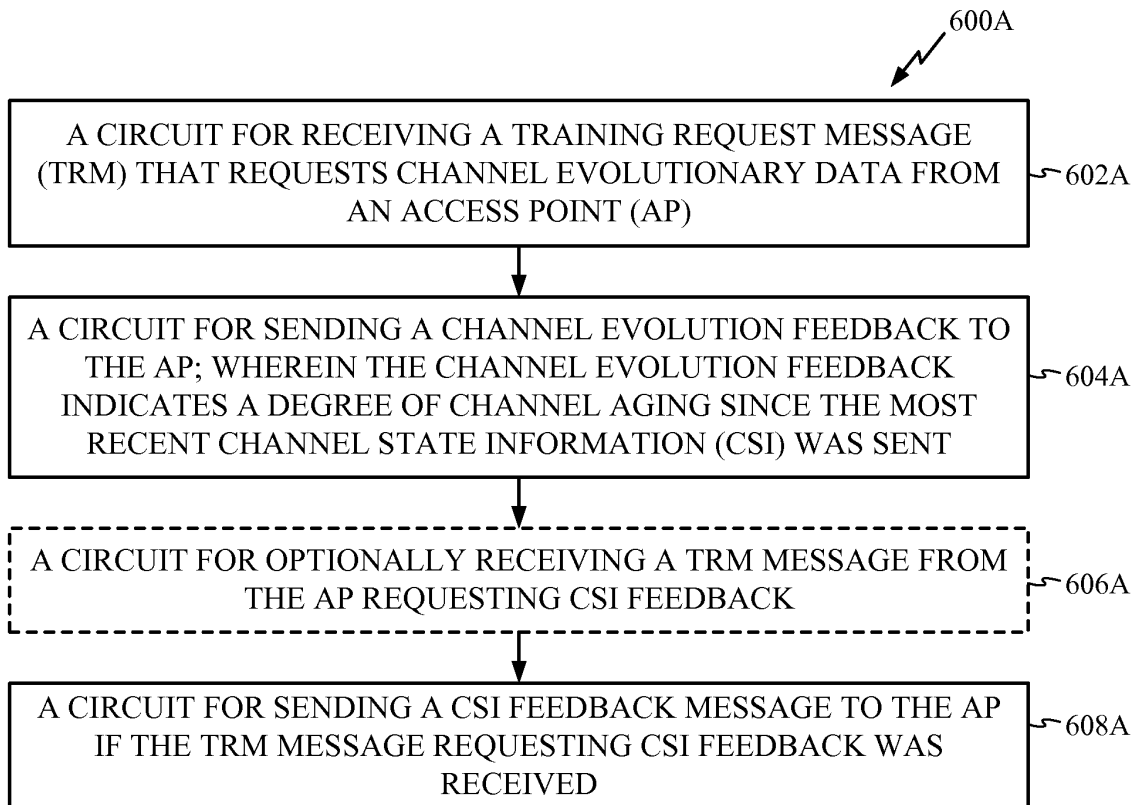


FIG. 6A

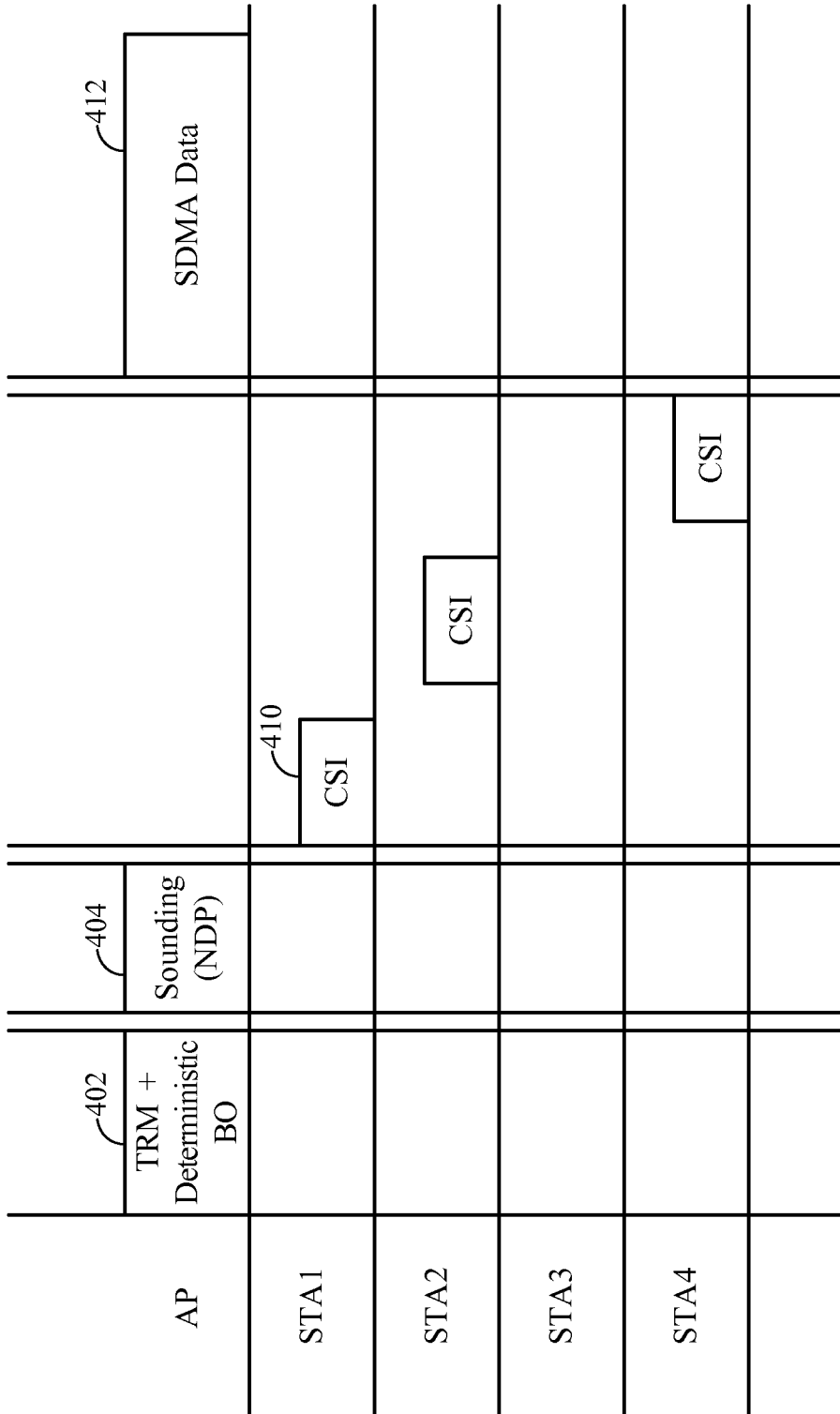


FIG. 7

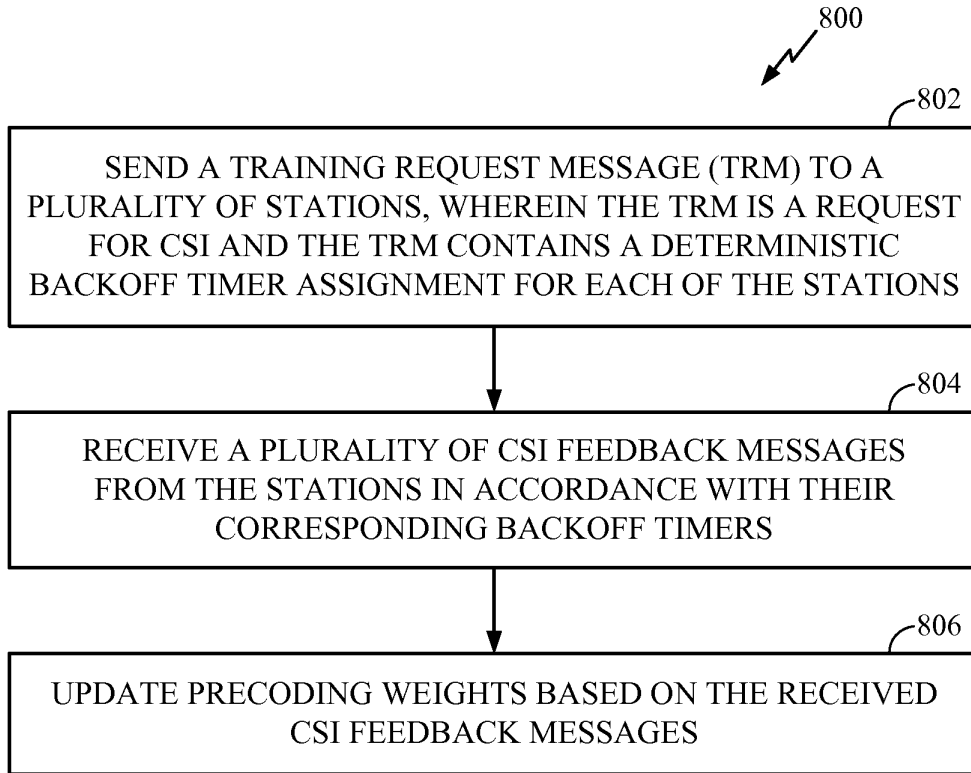


FIG. 8

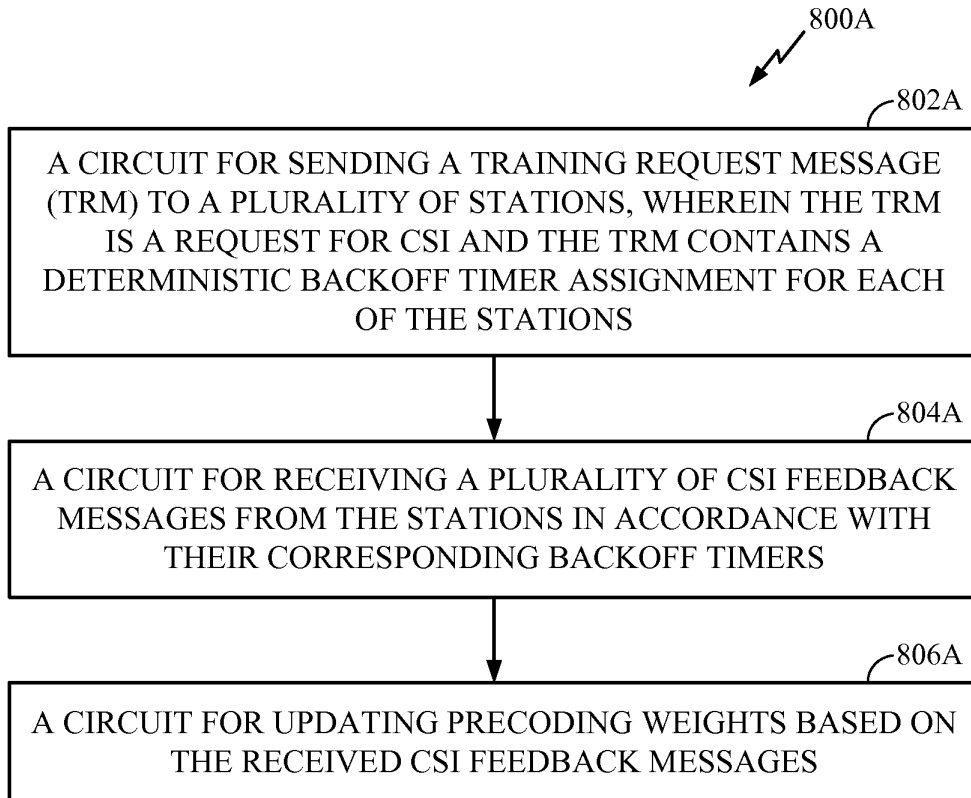


FIG. 8A

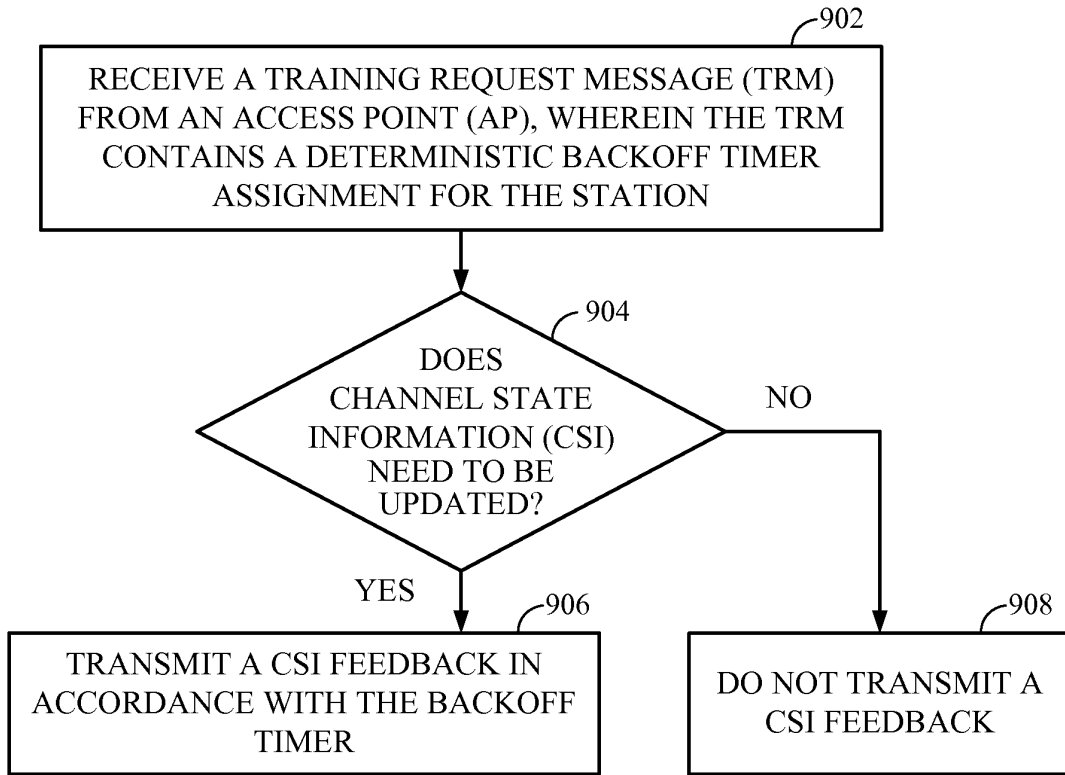


FIG. 9

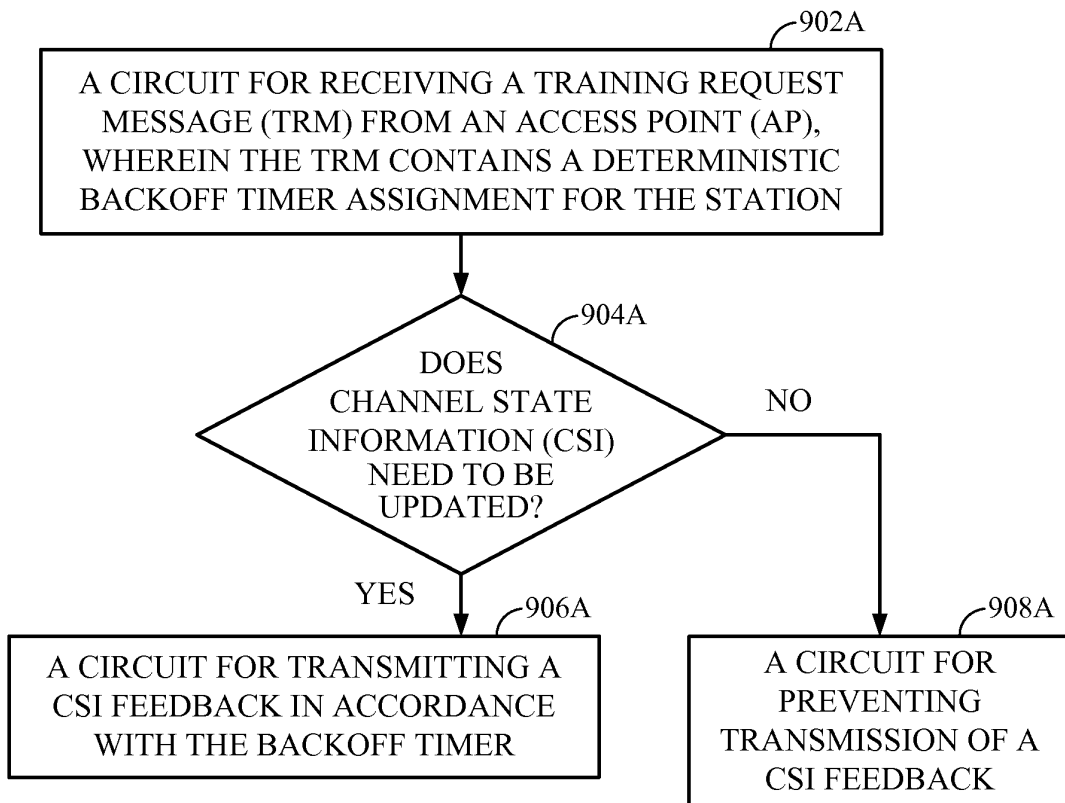


FIG. 9A

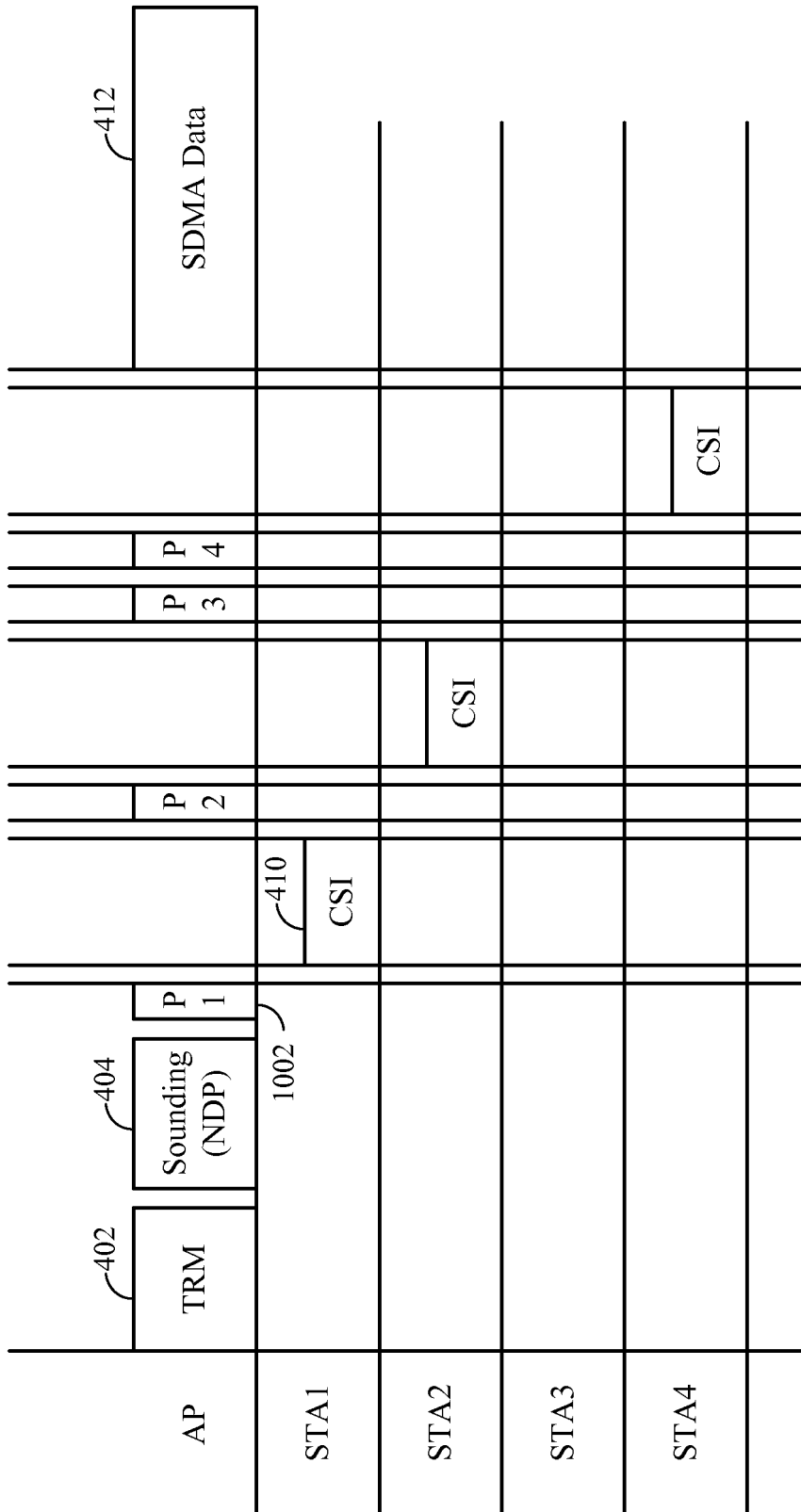


FIG. 10

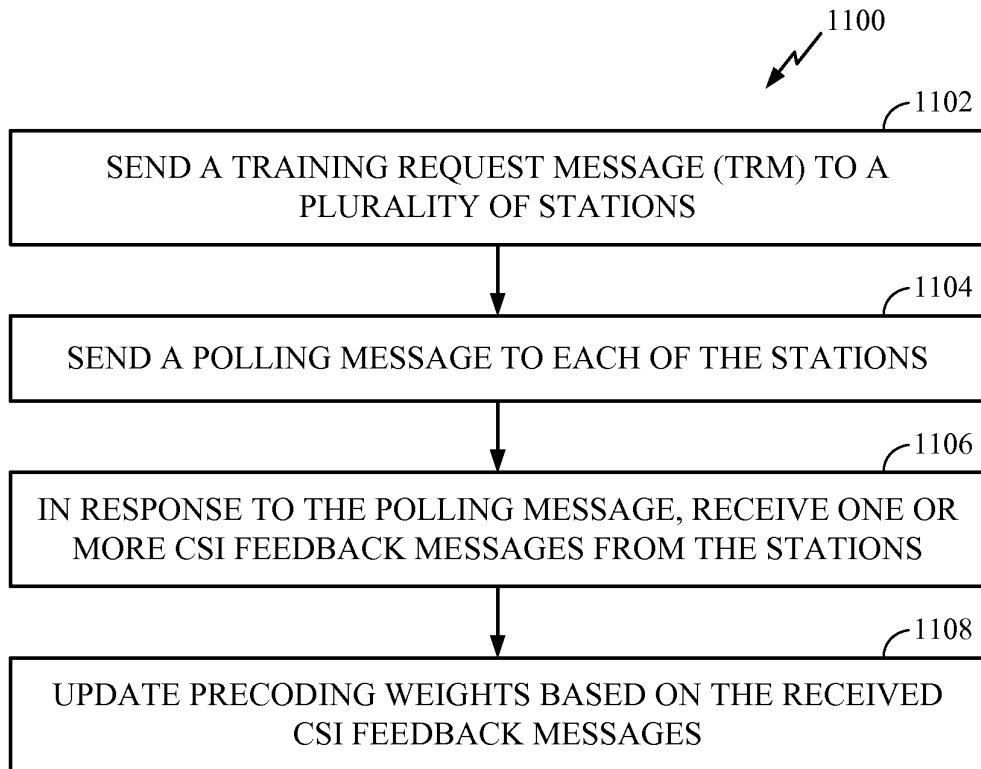


FIG. 11

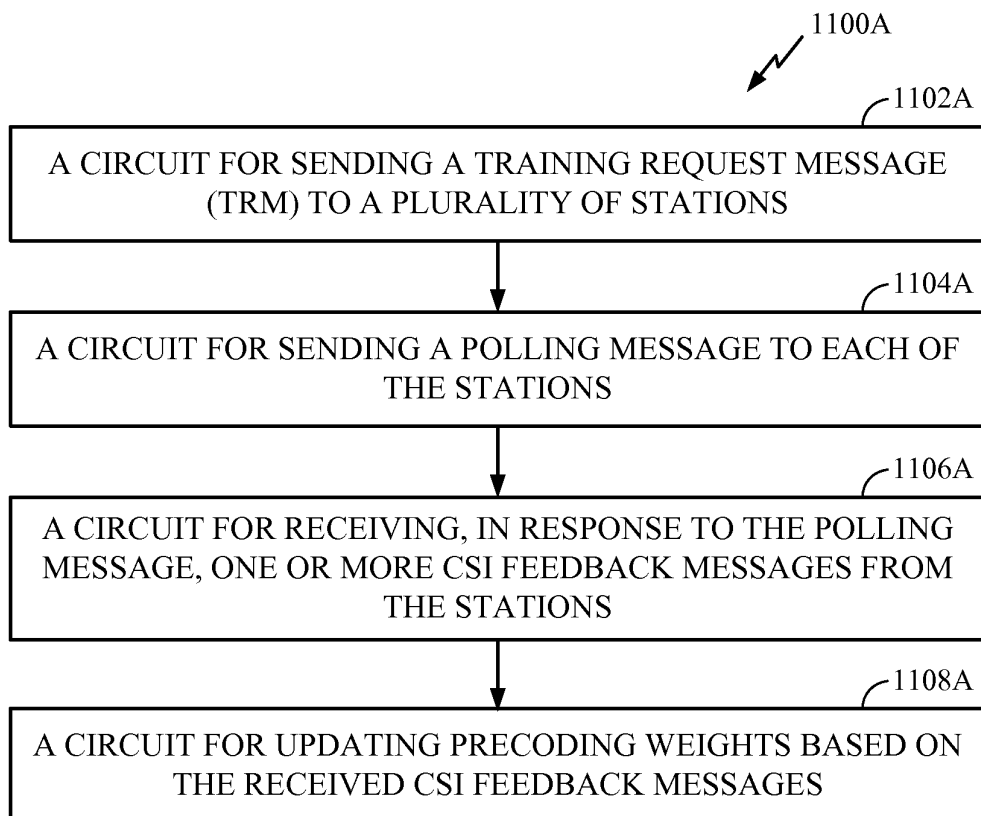


FIG. 11A

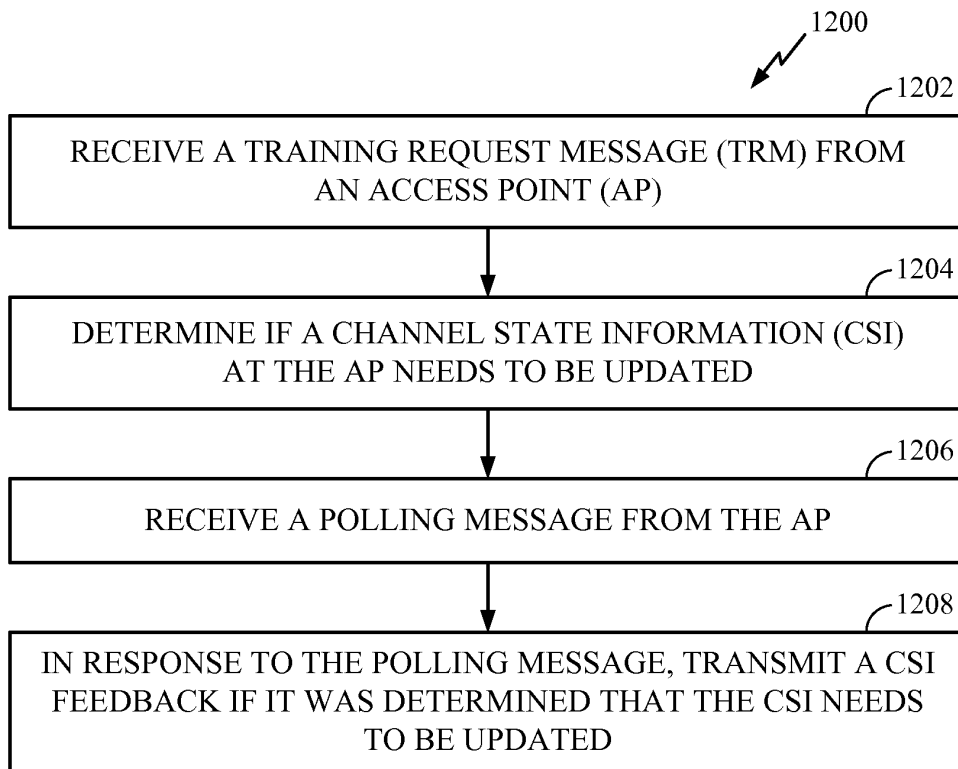


FIG. 12

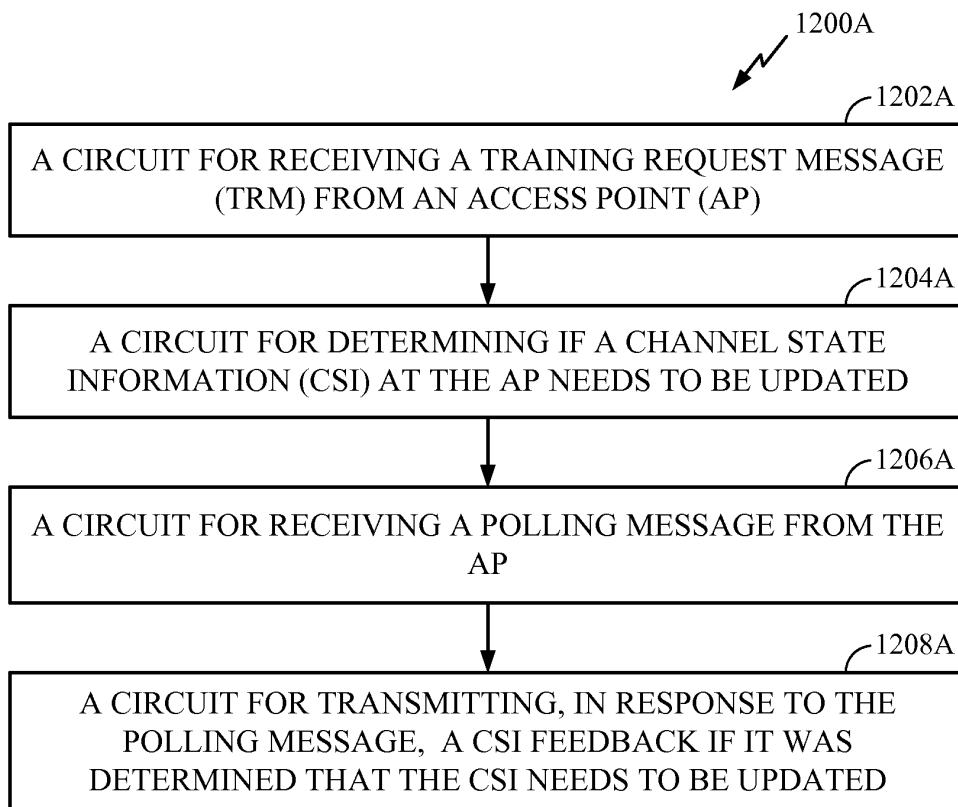


FIG. 12A



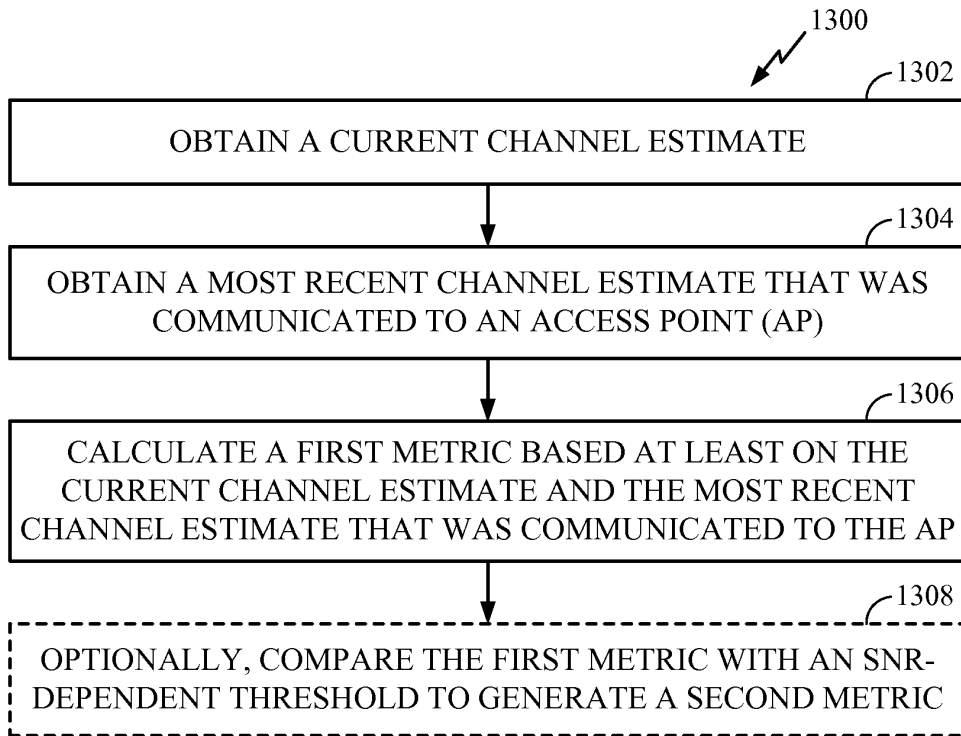


FIG. 13

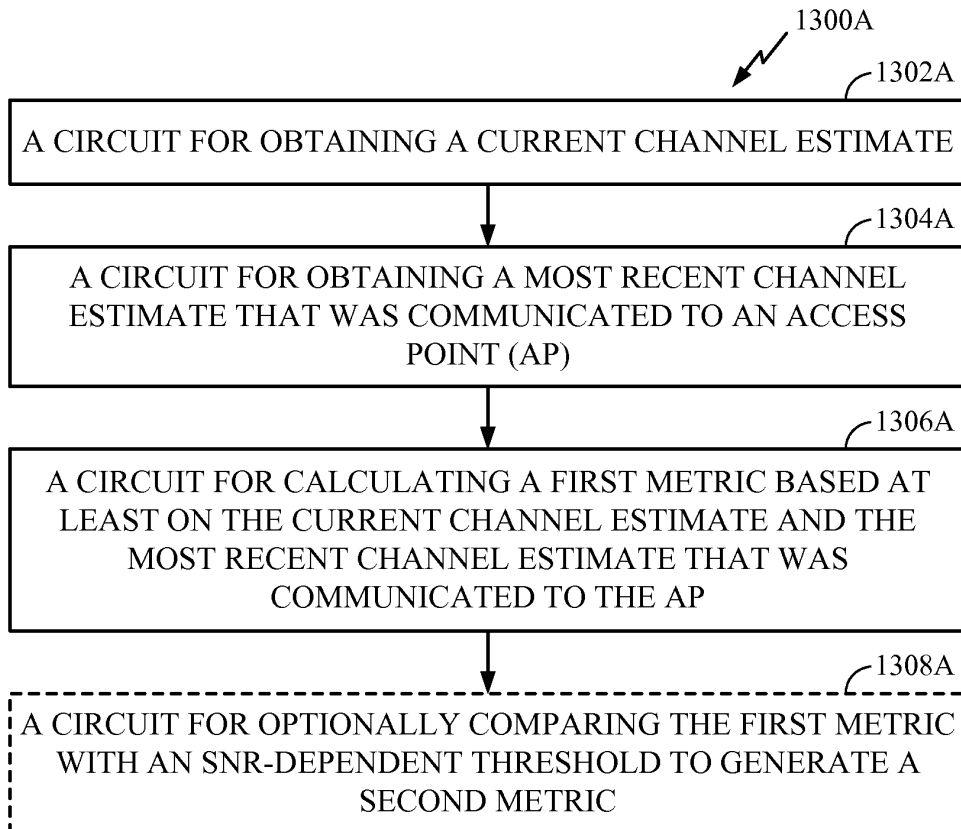


FIG. 13A

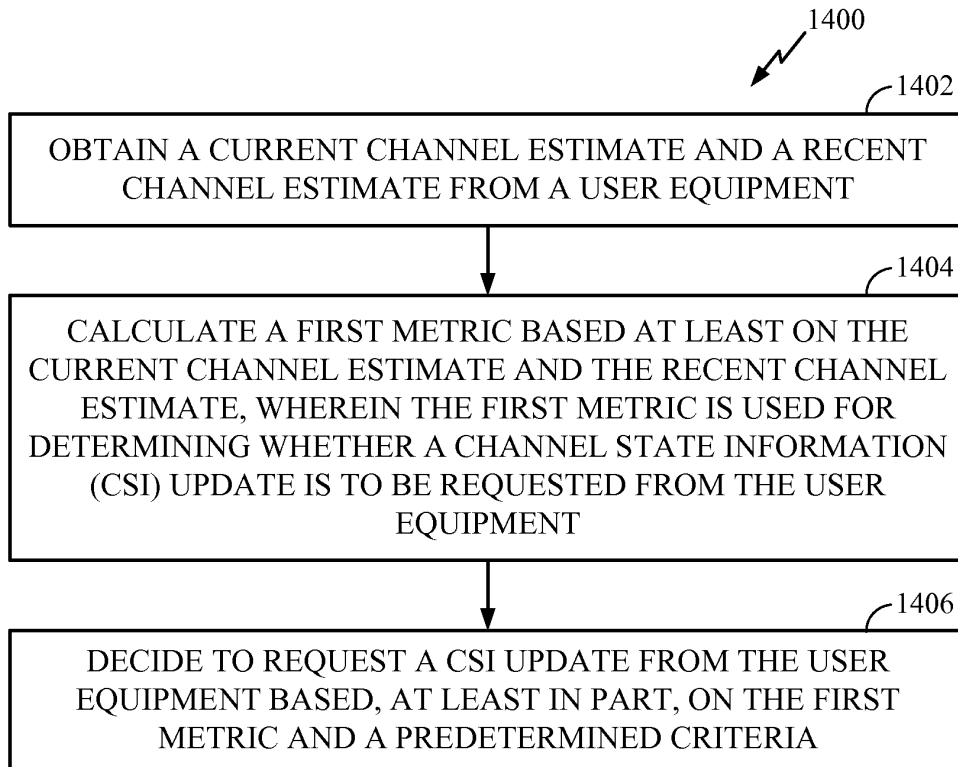


FIG. 14

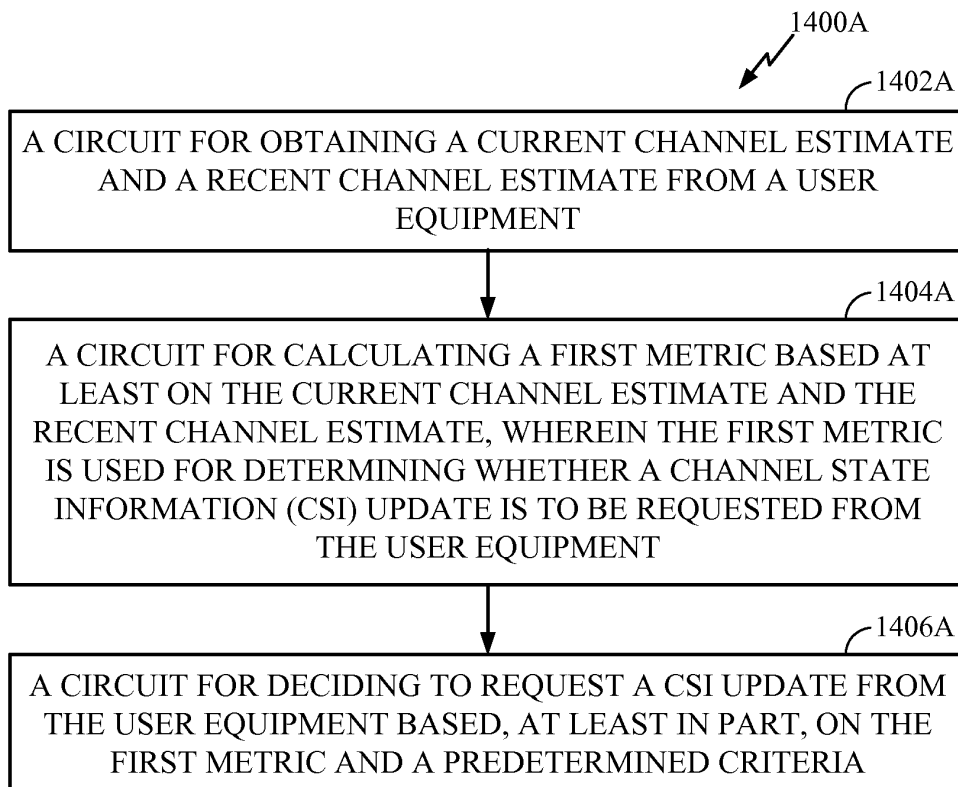


FIG. 14A

**REFERENCES CITED IN THE DESCRIPTION**

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