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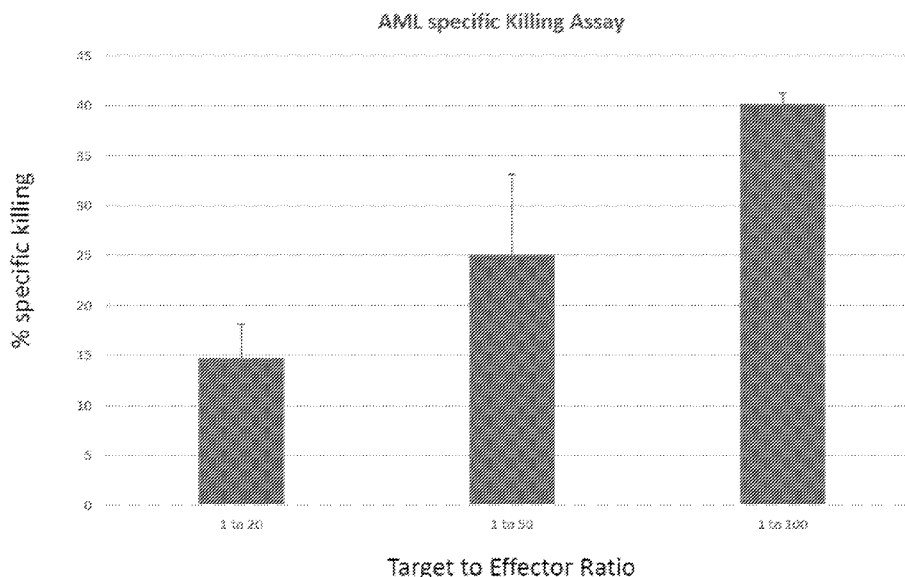
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FIGURE 6



(57) Abstract: The present invention provides an isolated cell composition suitable for adoptive immunotherapy, as well as methods of manufacturing the cell compositions and methods of treatment with the cell compositions. The composition comprises, in a pharmaceutically acceptable carrier, at least about 10<sup>6</sup> CD8<sup>+</sup> T cells specific for target peptide antigen(s). In various embodiments, the composition is predominately CD8<sup>+</sup> T cells, and at least about 20% of T cells in the composition exhibit a central or effector memory phenotype, providing for a robust and durable adoptive therapy from a natural T cell repertoire that has undergone natural selection.



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CELL COMPOSITIONS COMPRISING ANTIGEN-SPECIFIC T CELLS FOR  
ADOPTIVE THERAPY

5

PRIORITY

This application claims the benefit of U.S. Provisional Application No. 62/561,044, filed September 20, 2017, and the benefit of U.S. Provisional Application No. 62/656,679, filed April 12, 2018, each which is incorporated herein by reference in  
10 its entirety.

BACKGROUND

Adoptive immunotherapies, such as donor lymphocyte infusions, are used for  
15 the treatment of leukemia relapse post hematopoietic stem-cell transplantation (HSCT) to enhance the graft versus leukemia (GVL) effect. These approaches often take several months to take effect; and require very large doses of cells, which results in a substantial risk of graft versus host disease (GVHD). See, McLaughlin L, et al., Adoptive T-cell therapies for refractory / relapsed leukemia and lymphoma; current  
20 strategies and recent advances. *Ther. Adv. Hematol.* 2015 Vol. 6(6) 295-307.

With current therapeutic options, the outcome for leukemia patients who relapse e.g., after HSCT, is bleak. While adoptive cell therapies can provide some benefit, the numbers of target-specific cells that can be provided are often insufficient and highly variable, and it is difficult to activate and expand naive T cell populations from donor  
25 lymphocytes *ex vivo*, especially with regard to cancer-specific CTL precursors that are often extremely low and even undetectable in peripheral blood of healthy individuals. Quintarelli C, et al., Cytotoxic T lymphocytes directed to the preferentially expressed antigens of melanoma (PRAME) target chronic myeloid leukemia. *Blood* 2008; 112: 1876-1885. Further, cell therapies such as chimeric antigen receptor (CAR) T cells and  
30 natural killer cell therapies tend to induce exhausted cell phenotypes that are not sufficiently robust and/or have limited persistence *in vivo*, and can exhibit on target off-tissue toxicities. See, Cruz and Bollard, T-cell and natural killer cell therapies for hematological malignancies after hematopoietic stem cell transplantation; enhancing the graft-versus-leukemia effect. *Haematologica* 2015; 100(6) 709-719. Further, these  
35 therapies generally have limited flexibility due to the engineered single target.

Cell compositions are needed to provide for more effective and safer adoptive immunotherapy options, including for patients suffering from leukemia or lymphoma (including acute or chronic leukemia), as well as other patients that could benefit from adoptive immunotherapy. In various aspects and embodiments, the present invention  
5 addresses these needs.

### SUMMARY OF THE INVENTION

In various aspects and embodiments, the invention provides an isolated cell  
10 composition suitable for adoptive immunotherapy, as well as methods of manufacturing the cell compositions and methods of treatment with the cell compositions. The composition comprises, in a pharmaceutically acceptable carrier, at least about  $10^6$  CD8<sup>+</sup> T cells specific for target peptide antigen(s). In various embodiments, the composition is predominately CD8<sup>+</sup> T cells, and at least about 20% of T cells in the  
15 composition exhibit a central or effector memory phenotype, providing for a robust and durable adoptive therapy from a natural T cell repertoire that has undergone natural selection. The cell composition does not comprise T cells expressing a chimeric antigen receptor or a recombinant TCR, and therefore, in various embodiments, provides an alternative to these technologies that often produce more exhausted T cell phenotypes  
20 and less durable responses and greater toxicities.

In various embodiments, the cell composition comprises at least about  $10^7$  CD8<sup>+</sup> T cells specific for the target peptide antigens, or at least about  $10^8$ , at least about  $10^9$ , or at least about  $10^{10}$  CD8<sup>+</sup> T cells specific for the target peptide antigens, to provide robust destruction of target cells and a long persistence *in vivo*. For example,  
25 for treatment of acute myelogenous leukemia (AML) or myelodysplastic syndrome, the cell composition may comprise T cells specific for WT1, PRAME, Survivin, and Cyclin A1 peptide antigens.

In various embodiments, the T cells in the composition (and/or the T cells specific for the target antigens) are at least about 50% central or effector memory T  
30 cells, or in some embodiments are at least about 70% central or effector memory cells, or at least about 80% central or effector memory T cells. In some embodiments, the memory cells are from about 25:75 to about 75:25 central to effector memory cells. The cell composition comprises less than about 20% terminally differentiated memory T

cells (e.g.,  $T_{emra}$  cells), and no more than about 20% naive cells. In some embodiments, the cell composition comprises from about 5 to about 25% T memory stem cells ( $T_{SCM}$ ). This cell phenotype can be created and/or controlled using an enrichment and expansion process with paramagnetic artificial Antigen Presenting Cells (aAPCs) and a recombinant T cell growth factor cocktail.

In various embodiments, the cell composition is at least 90% CD8<sup>+</sup> T cells (e.g., CD3<sup>+</sup> CD8<sup>+</sup> cells). For example, the isolated cell composition may be characterized by having less than about 10%, or less than about 5% CD4<sup>+</sup> T cells. When expanding CD8<sup>+</sup> T cells *ex vivo*, CD4<sup>+</sup> cells have a tendency to overgrow the CD8<sup>+</sup> cells and compete for growth signals, and are not necessary for a robust and durable *in vivo* response.

In various embodiments, the antigen-specific T cells display a polyfunctional phenotype upon activation. For example, upon activation the T cells are positive for two or more of: intracellular staining for IL-2, IFN- $\gamma$  production, production of TNF- $\alpha$ , and CD107A. In various embodiments, at least 50%, or at least 70%, of the antigen-specific T cells display at least two of these markers. In various embodiments, at least 50% or at least 70% of the antigen-specific T cells display at least three of these markers, or in some embodiments all four of these markers.

Cell compositions in accordance with various embodiments can be prepared by an enrichment and expansion process. In some embodiments, CD8<sup>+</sup> cells are enriched that are specific for the target antigen(s) (e.g., tumor associated antigens or viral-associated antigens). This cell population, even when predominately naive cells in the source lymphocytes, can be rapidly expanded in culture to arrive at the cell compositions described herein. Enrichment can take place using paramagnetic beads to positively select cell populations, and which can have the added advantage of activating naive cells due to potent magnetic clustering of T cell surface receptors. For example, paramagnetic beads or nanoparticles may contain monomeric or multimeric (e.g., dimeric) HLA ligands presenting peptide antigens, along with a co-stimulation signal on the same or different particles, such as an agonist for CD28 (e.g., an antibody agonist of CD28). In some embodiments, CD28<sup>+</sup> cells are also enriched, which can be simultaneous with antigen-specific enrichment.

In various embodiments, the target peptide antigens are tumor or cancer associated antigens, including tumor-derived, tumor-specific antigens, and neoantigens. T cells specific for tumor associated antigens are often very rare, and in many cases undetectable, in the peripheral blood of healthy individuals. This is often a distinction  
5 observed between viral-specific and tumor antigen specific T cells.

In some embodiments, the target peptide antigens include at least one that is associated with or derived from a pathogen, such as a viral, bacterial, fungal, or parasitic pathogen. For example, at least one peptide antigen may be associated with HIV, hepatitis (e.g., B, C, or D) CMV, Epstein-Barr virus (EBV), influenza, herpes  
10 virus (e.g., HSV 1 or 2, or varicella zoster), and Adenovirus. CMV, for example, is the most common viral pathogen found in organ transplant patients and is a major cause of morbidity and mortality in patients undergoing bone marrow or peripheral blood stem cell transplants. Viral activation is known to be implicated in cancer biology.

In still other embodiments, the cell composition comprises T cells specific for  
15 tumor associated antigens, with pathogen-associated T cells provided as bystander cells. Specifically, by enriching for CD8<sup>+</sup> T cells based on selection of both HLA-peptide and anti-CD28, bystander cells will be enriched, and expanded, particularly when using a T cell growth factor cocktail that can drive some non-specific expansion of these cells without antigen-specific activation. In these embodiments, while a large  
20 portion of the composition are T cells specific for the target peptides (e.g., from 5% to 75%), remaining T cells (from about 0.25% to about 25%) provide some reconstitution of the immune system for common pathogens, which is particularly beneficial after transplant or beneficial in cancers with viral etiology.

Some embodiments employ T cell growth factors during expansion, which  
25 affect proliferation and/or differentiation of T cells. Particularly useful cytokines include MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-10, IL-12, IL-15, IL-21, IFN- $\gamma$ . In these or other embodiments, the cells are expanded in culture in the presence of one, two, or three cytokines selected from MIP-1 $\beta$ , IL-1 $\beta$ , and IL-6. In some embodiments, the cytokines further comprise IL-10. Cells can be expanded in culture from 1 to 4  
30 weeks, such as from about 10 to about 21 days.

In other aspects, the invention provides methods for manufacturing the cell compositions, including by enrichment and expansion with aAPCs as described herein. Specifically, after depletion of CD4<sup>+</sup> cells from source lymphocytes (e.g., from a healthy donor), antigen-specific CD8<sup>+</sup> T cells are enriched for T cells specific for the target peptide antigens, as well as CD28<sup>+</sup> cells in some embodiments. Target cells can be enriched using nanoparticle or microparticle aAPCs, such as paramagnetic particles that activate T cells *ex vivo* by magnetic field induced clustering of cell surface receptors. Other materials, including latex or other polymeric-based particles can also be used to cluster cell surface receptors (without magnetic-induced clustering). Enriched T cells can then be rapidly expanded *ex vivo*, including with the use of reconstituted T cell growth factors (e.g., comprising factors selected from MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-10, IL-12, IL-15, IL-21, INF- $\gamma$ ). In some embodiments, the cells are expanded in culture in the presence of one, two, or three cytokines selected from MIP-1 $\beta$ , IL-1 $\beta$ , and IL-6, and optionally IL-10. In some embodiments, the growth factors comprise or consist essentially of IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ .

In other aspects, the invention provides methods for adoptive cell therapy, including methods for treating a patient with cancer, and/or patients that have undergone allogeneic stem cell transplantation, with or without lympho-deleting therapy, cyto-reductive therapy, immunomodulatory therapy (prior to administration of the cell therapy). The cell therapy may be further provided with or without cytokine support post treatment. In some embodiments, the patient has a hematological cancer, which in some embodiments has relapsed after allogeneic stem cell transplantation. In some embodiments, the patient has acute myelogenous leukemia (AML) or myelodysplastic syndrome. For example, in some embodiments, the cell composition comprises T cells specific for WT1, PRAME, Survivin, and Cyclin A peptide antigens. However, in other embodiments, the cancers include various types of solid tumors, including carcinomas, sarcomas, and lymphomas. Exemplary target peptide antigens are described herein.

In some embodiments, the patient has an infectious disease or is at risk for an infectious disease. For example, patients that have undergone HSCT are at particular risk for infectious disease, given the immunocompromised state. Infectious diseases that can be treated or prevented include those caused by bacteria, viruses, prions, fungi,

parasites, helminths, etc. Such diseases include AIDS, hepatitis B/C, CMV infection, Epstein-Barr virus (EBV) infection, influenza, herpes virus infection (including shingles), and adenovirus infection.

Other aspects and embodiments will be apparent from the following detailed  
5 description.

### DESCRIPTION OF THE FIGURES

FIGURE 1 shows that MART-1 specific T cells enriched and expanded *ex vivo*  
10 from donor lymphocytes show a polyfunctional phenotype, including intracellular staining for IL-2 (proliferation and memory), IFN- $\gamma$  (activating other T cells, memory, upregulation of MHC), TNF- $\alpha$  (pro-inflammatory), and CD107A (granzyme release, cytotoxic activity). The majority of T cells show at least three functional phenotypes.

FIGURE 2 shows that MART-1 and AML specific T cells enriched and  
15 expanded *ex vivo* from donor lymphocytes using paramagnetic aAPCs are predominately central memory (T<sub>cm</sub>) and effector memory (T<sub>em</sub>) phenotype.

FIGURE 3 shows that antigen-specific T cells can be enriched and expanded in batch. The figure also shows batch enrichment and expansion of T cells specific for Prame<sub>100</sub> RHAMM, WT1, and Survivin antigenic peptides.

FIGURE 4 shows that the composition with individual stimulation and  
20 expansion has consistent levels of AML antigen-specific T cells. Individual stimulation and expansion process consistently generates ~15% antigen-specific T cells.

FIGURE 5 shows that simultaneous stimulation/expansion process generates AML-specific T cell frequencies comparable to individual stimulation/expansion. The  
25 composition shown prepared by batch stimulation/expansion has ~47% antigen-specific T cells.

FIGURE 6 shows that the generated T cells demonstrate antigen-specific killing of AML tumor cells (THP-1 cell line). AML specific T cells are directed at 5 epitopes from WT-1, PRAME, and Survivin.



FIGURE 7 shows that the cytokine cocktail used for *ex vivo* expansion impacts the number and phenotype of resulting cells. Reconstituted T cell growth factor (TF) includes IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-21, IFN- $\gamma$ , and MIP1 $\beta$ .

FIGURE 8 shows the presence of virus-specific bystander T cells on day 7 after  
5 MART-1-specific enrichment and expansion.

FIGURE 9 shows the presence of virus-specific bystander T cells on day 14 after MART-1-specific enrichment and expansion.

FIGURE 10 shows the presence of virus-specific bystander T cells on day 14 after AML-specific enrichment and expansion. These cells were largely of a memory  
10 phenotype.

FIGURE 11 shows detection of CMV-specific bystander T cells during MART-1 specific enrichment and expansion process. The percent of virus-specific bystander cells remains constant through Day 14, while the number and percent of MART-1 specific T cells rises dramatically.

FIGURE 12 shows detection of virus specific bystander cells on Day 14 after  
15 MART-1-specific enrichment and expansion using a recombinant T cell growth factor cocktail (IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-21, IFN- $\gamma$ , and MIP1- $\beta$ ), which improves expansion of these bystander cells.

FIGURE 13 has two panels (Figure 13A and Figure 13B) showing the  
20 specificity and phenotype of Mart-1 specific T cells generated by the enrichment and expansion process using a recombinant T cell growth factor cocktail (IL-2, IL-4, IL-6, IFN- $\gamma$ , and IL1- $\beta$ ). The Mart-1 specific T cells (Figure 13A, right panel) constituted about 35% of the culture, and showed a central memory (~89%) and effector memory (~9%) phenotype. The total culture showed a phenotype of ~66% central memory and  
25 ~32% effector memory.

#### DETAILED DESCRIPTION OF THE INVENTION

In various aspects and embodiments, the invention provides an isolated cell  
30 composition suitable for adoptive immunotherapy, as well as methods of manufacture for the cell compositions and methods of treatment with the cell compositions. The

composition comprises, in a pharmaceutically acceptable carrier, at least about  $10^6$  CD8+ T cells specific for target peptide antigen(s). In various embodiments, at least about 20% of T cells in the composition exhibit a central or effector memory phenotype, providing for a robust and durable adoptive therapy. The cell composition  
5 does not comprise T cells expressing a chimeric antigen receptor or a recombinant TCR, and therefore, in various embodiments, provides an alternative to these technologies that often produce more exhausted T cell phenotypes and less durable responses.

As used herein, the term “target peptide antigen(s)” or “target antigens” refers  
10 to peptide antigens employed *ex vivo* to enrich and/or expand the desired CD8+ cell population, for example in connection with artificial Antigen Presenting Cell (aAPC) or professional Antigen Presenting Cell (pAPC) platforms (e.g., dendritic cells). The aAPCs or pAPCs are employed to activate and expand CTLs from donor or patient lymphocytes. In some embodiments, the target peptide antigens are peptide epitopes  
15 loaded onto aAPCs for *ex vivo* enrichment and expansion of specific CD8+ T cells. Thus, the term “specific for the target peptide antigen” means that the T cell is antigen experienced with the target antigen.

In various embodiments, the cell composition comprises at least about  $10^7$  CD8+ T cells specific for the target peptide antigens, or at least about  $10^8$ , at least about  
20  $10^9$ , or at least about  $10^{10}$  CD8+ T cells specific for the target peptide antigens, to provide robust destruction of target cells. In some embodiments, the cell composition contains from  $1 \times 10^7$  to  $1 \times 10^9$  CD8+ T cells specific for the target antigens, or in some embodiments from  $5 \times 10^7$  to  $5 \times 10^8$  CD8+ T cells specific for the target antigens. For example, the composition can comprise from about  $5 \times 10^5$  to about  $5 \times$   
25  $10^6$  cells per ml, in a volume of from 50 to 200 ml. In certain embodiments, the volume of the composition is  $\leq 100$  ml (e.g., from 50 to 100 ml). The cells of the composition in various embodiments are at least 70% viable, and provided in a sterile medium, which may be a cryoprotectant medium (e.g., 10% DMSO).

The cells of the composition, which are predominately CD8+ cytotoxic  
30 lymphocytes (CTLs), are also substantially of a central or effector memory phenotype. CTLs generally include the following phenotypic populations: naive, T memory stem cell ( $T_{scm}$ ), central memory, effector memory, and terminally differentiated memory

cells. In accordance with embodiments of the invention, T cells specific for the target antigens are substantially composed of central memory and effector memory phenotypes. In some embodiments, T cells specific for the target antigens further comprise T memory stem cells ( $T_{scm}$ ). The cell composition thereby provides a durable  
5 response, including *in vivo* persistence of antigen-specific T cells for at least about 1 month, or at least about 3 months, or at least about 6 months, or at least about 12 months, or at least about 18 months, or at least about two years in some embodiments.

A naive T cell has differentiated in bone marrow, and successfully undergone the positive and negative processes of central selection in the thymus. A naive T cell is  
10 considered mature and, unlike activated or memory T cells, has not encountered its cognate antigen. Naive T cells can be characterized by the surface expression of L-selectin (CD62L) and the absence of activation markers. In the naive state, T cells are generally quiescent and non-dividing. In accordance with this disclosure, naive T cells are defined as CD62L<sup>+</sup> and CD45RA<sup>+</sup>.

15 Memory T cells include T memory stem cells ( $T_{scm}$ ), central memory and effector memory T cells. Memory T cells have previously responded to their cognate antigen. At a second encounter with the cognate antigen, memory T cells can reproduce to mount a faster and stronger immune response. Memory T cells include at least effector and central memory subtypes. Memory T cell subtypes are long-lived and can  
20 quickly expand to large numbers of effector T cells upon re-exposure to their cognate antigen.

T memory stem cells ( $T_{scm}$ ) are defined herein as CD45RA<sup>+</sup> and as having at least two markers (or in some embodiments at least three or all four markers) selected from CXCR3<sup>+</sup>, CD95<sup>+</sup>, CD11a<sup>+</sup>, and CD58<sup>+</sup>. This memory subpopulation has the  
25 stem cell-like capacity for self-renewal, as well as the multipotent capacity to reconstitute the memory and effector T cell subpopulations.  $T_{scm}$  cells can represent a small fraction of circulating T lymphocytes (e.g., >5%), and have the ability to proliferate rapidly and release inflammatory cytokines in response to antigen re-exposure. Accordingly,  $T_{scm}$  cells are a subset of the memory T cell subpopulation. The  
30  $T_{scm}$  cell phenotypes can be created and/or controlled using, as disclosed herein, an enrichment and expansion process with paramagnetic artificial Antigen Presenting Cells (aAPCs) and a recombinant T cell growth factor cocktail.

In accordance with this disclosure, central memory T cells ( $T_{cm}$  cells) are defined as CD62L<sup>+</sup> and CD45RA<sup>-</sup>. This memory subpopulation is commonly found in the lymph nodes and in the peripheral circulation. Effector memory T cells ( $T_{em}$  cells) are defined as CD62L<sup>-</sup> and CD45RA<sup>-</sup>. These memory T cells lack lymph node-homing  
5 receptors and are thus found in the peripheral circulation and tissues. TEMRA stands for terminally differentiated effector memory cells re-expressing CD45RA. These cells do not have the capacity to divide, and are CD62L<sup>-</sup> and CD45RA<sup>+</sup>.

$T_{cm}$  cells display a capacity for self-renewal, and in accordance with embodiments of the invention, are important for obtaining a long-lived effect.  $T_{em}$  cells  
10 also have some capacity for self-renewal, and strongly express genes essential to the cytotoxic function.  $T_{emra}$  cells also provide robust cytotoxic function, but do not display a capacity for self-renewal.

The compositions in various embodiments comprise CTLs that are substantially composed of  $T_{scm}$ ,  $T_{cm}$  and  $T_{em}$  cells to balance duration of the effect versus potent  
15 destruction of the malignancy or other target cells.

In various embodiments, the T cells in the composition are at least about 30% central and effector memory cells, or at least about 40% central or effector memory cells, or at least about 50% central or effector memory T cells, or in some embodiments are at least about 70% central or effector memory cells, or at least about 80% central or  
20 effector memory T cells. In some embodiments, the memory cells are about 10:90 to about 90:10 central to effector memory cells. In some embodiments, the T cells in the composition are from about 25:75 to about 75:25 central to effector memory cells. In some embodiments, the memory T cells are from about 40:60 to about 60:40 central to effector memory T cells. The cell composition comprises less than about 20%  
25 terminally differentiated memory T cells (e.g.,  $T_{emra}$  cells), or less than about 10% or less than about 5% or less than about 4% terminally differentiated memory T cells in some embodiments. In various embodiments, the CD8<sup>+</sup> T cells contain no more than about 20% naive cells, or in some embodiments, no more than about 15% naive cells, or no more than about 10% naive cells, or no more than about 5% naive cells, or no  
30 more than about 4% naive cells, or no more than about 3% naive cells, or no more than about 2% naive cells, or no more than about 1.5%, or no more than about 1% naive cells. In various embodiments, the CD8<sup>+</sup> T cells contain from about 5% to about 25%

T<sub>scm</sub> cells, or in some embodiments, from about 5% to about 20% T<sub>scm</sub> cells, or from about 5% to about 15% T<sub>scm</sub> cells.

In various embodiments, the T cells specific for the target antigens are at least about 30% central and effector memory cells, or at least about 40% central or effector memory cells, or at least about 50% central or effector memory T cells, or in some embodiments are at least about 70% central or effector memory cells, or at least about 80% central or effector memory T cells. In some embodiments, these memory cells are about 10:90 to about 90:10 central to effector memory cells. In some embodiments, these T cells are from about 25:75 to about 75:25 central to effector memory cells. In some embodiments, the memory T cells are from about 40:60 to about 60:40 central to effector memory T cells. The T cells specific for the target antigen(s) are less than about 20% terminally differentiated memory T cells (e.g., TEMRA cells), or less than about 10% or less than about 5% or less than about 4% terminally differentiated memory T cells. In various embodiments, the T cells specific for target antigens contain no more than about 20% naive cells, or in some embodiments, no more than about 15% naive cells, or no more than about 10% naive cells, or no more than about 5% naive cells, or no more than about 2%, or 1.5%, or 1% naive cells. In various embodiments, the T cells specific for target antigens contain from about 5% to about 25% T<sub>scm</sub> cells, or in some embodiments, from about 5% to about 20% T<sub>scm</sub> cells, or from about 5% to about 15% T<sub>scm</sub> cells. This phenotype can be created by the enrichment and expansion process with paramagnetic artificial Antigen Presenting Cells (aAPCs).

In various embodiments, the cell composition is at least 90% T cells, or at least 95% T cells, or at least 98%, or at least 99% T cells. For purposes of this disclosure, T cells are characterized by CD3+ cells. The T cells are generally CD8+. For example, the isolated cell composition may be characterized by having less than about 10%, or less than about 5% CD4+ T cells, or in some embodiments, less than about 2%, less than about 1.5%, or less than about 1% CD4+ T cells. When expanding CD8+ T cells *ex vivo*, CD4+ cells have a tendency to overgrow the CD8+ cells and compete for growth signals, and are not necessary for a robust and durable response.

It has been described that the presence of polyfunctional CD4+ and CD8+ T cells correlates with response to cancer vaccine therapy with peptide neoantigens. Ott PA, et al., An immunogenic personal neoantigen vaccine for patients with melanoma,

*Nature* 547(7662):217-221 (2017). CD4<sup>+</sup> and CD8<sup>+</sup> T cells are further described as being important for mediating tumor cell destruction. See, Tran E, Cancer immunotherapy based on mutation-specific CD4<sup>+</sup> T cells in a patient with epithelial cancer, *Science* 344, 641-645 (2014); Sahin U, et al., Personalized RNA mutanome vaccines mobilize poly-specific therapeutic immunity against cancer, *Nature* 547(7662):222-226 (2017). With respect to this disclosure, it is believed that adoptive cell compositions need only provide substantial numbers of antigen-specific CD8<sup>+</sup> T cells for a robust and durable response, and particularly where the antigen-specific CD8<sup>+</sup> T cells are provided in sufficient numbers and are substantially of the central and effector memory phenotype. In various embodiments, the antigen-specific CD8<sup>+</sup> T cells further comprise T memory stem cells.

In various embodiments, the cell composition is substantially CD28<sup>+</sup>.

In various embodiments, the antigen-specific T cells display a polyfunctional phenotype upon activation. For example, upon activation the T cells are positive for two or more of: intracellular staining for IL-2, which is a marker for proliferation and memory; IFN- $\gamma$  production, which activates other T cells, and induces memory and upregulation of MHC); production of TNF- $\alpha$ , a pro-inflammatory marker; and CD107A, which is a marker for granzyme release and cytotoxic activity. In various embodiments, at least 30%, at least 40%, at least 50%, at least 60%, at least 70%, or at least 80% of the antigen-specific T cells display at least three of these markers. In various embodiments, at least 30%, at least 40%, at least 50%, at least 60%, at least 70%, or at least 80% of the antigen-specific T cells display all four of these markers. In some embodiments, polyfunctionality is assessed or quantified using target killing assays, which assess the ability of CD8<sup>+</sup> cytotoxic T cells to lyse target cells presenting the peptide antigen in complex with MHC.

Cell compositions in accordance with various embodiments can be prepared by enrichment of CD8<sup>+</sup> cells that are specific for the target antigen(s) (e.g., tumor associated antigens or viral-associated antigens). This cell population, even when predominately naive cells in the source lymphocytes, can be rapidly expanded in culture to arrive at the cell compositions described herein. CD4<sup>+</sup> cells can be depleted (pre- or post- antigen-specific enrichment) from the lymphocytes using CD4<sup>+</sup> cell depletion microbeads. Antigen specific enrichment of CD8<sup>+</sup> cells can take place using

paramagnetic beads to positively select cell populations, and which can have the added advantage of activating naive cells due to potent magnetic clustering of T cell surface receptors. For example, paramagnetic beads or nanoparticles may contain monomeric or multimeric (e.g., dimeric) HLA ligands presenting peptide antigens, along with a co-stimulation signal in some embodiments, such as an agonist for CD28 (e.g., an antibody agonist of CD28). Exemplary methods according to these embodiments are described in WO 2016/044530 and PCT/US2017/22663, which are hereby incorporated by reference in its entirety.

In some embodiments, CD28<sup>+</sup> cells are also enriched, which can be simultaneous with antigen-specific enrichment. CD28 is expressed on T cells, and is a co-stimulatory signal required for T cell activation and survival. CD28 is the only B7 receptor constitutively expressed on naive T cells. Association of the TCR of a naive T cell with MHC-antigen complex without CD28 co-stimulation can result in a T cell that is anergic. In some embodiments, CD28<sup>+</sup> cells are not enriched, but a CD28 agonist is added in soluble form during the enrichment process, or added as conjugated to non-paramagnetic beads. In some embodiments, CD28 (in conjugated or non-conjugated form) is added to the cells after antigen-specific enrichment, in order to activate cells for the expansion phase.

In various embodiments, the T cells specific for target antigens (e.g., by virtue of the peptides displayed by the aAPCs or pAPCs) are specific for from 1 to about 100 target antigens, or from 1 to about 75 target antigens, or from 1 to about 50 target antigens, or from 1 to about 25 target antigens, or from 1 to about 20 target antigens, or from 1 to about 15 target antigens, or from 1 to 10 target antigens, or from 1 to 5 target antigens. In various embodiments, there are at least 3, or at least 4, or at least 5 target antigens. The distinct target antigens can include overlapping peptide epitopes in some embodiments. T cells specific for these peptide antigens can be enriched and expanded in batch, allowing for rapid, parallel production of cell compositions. In some embodiments, the composition contains T cells specific for from 5 to 15 or from 5 to 10 peptide antigens. T cell specificity toward a target peptide antigen in the composition is defined by MHC multimer staining (e.g., dimer or tetramer staining) as is well known in the art.

For example, a cocktail of nano-aAPCs, each aAPC presenting a different, distinct target antigen, can be used to enrich T cells against multiple antigens simultaneously. For example, T cells specific for from 2 to 10 antigens can be enriched simultaneously from the lymphocyte source. In this embodiment, a number of different  
5 nano-aAPC batches, each bearing a different MHC-peptide, would be combined and used to simultaneously enrich T cells against each of the antigens of interest. The resulting T cell pool would be activated against each of these antigens, and expanded together in culture. These antigens could be related to a single therapeutic intervention; for example, multiple antigens present on a single tumor or malignant cell.

10 The target peptide antigens are generally suitable for presentation by an HLA-A, B, or C molecular complex, and in some embodiments an HLA-A2 molecular complex.

In various embodiments, the target peptide antigens are tumor or cancer associated antigens, including tumor-derived or tumor-specific antigens. T cells  
15 specific for tumor associated antigens are often very rare, and in many cases undetectable, in the peripheral blood of healthy individuals. Further, the cells are often of a naive phenotype, particularly when using donor T lymphocytes. See, Quintarelli et al., Cytotoxic T lymphocytes directed to the preferentially expressed antigens of melanoma (PRAME) target chronic myeloid leukemia. *Blood* 2008; 112: 1876-1885.

20 This is often a distinction observed between viral-specific and tumor antigen specific T cells.

“Tumor-associated antigens” or “cancer specific antigens” include unique tumor or cancer antigens expressed exclusively by the tumor or malignant cells from which they are derived, shared tumor antigens expressed in many tumors but not in  
25 normal adult tissues (oncofetal antigens), and tissue-specific antigens expressed also by the normal tissue from which the tumor arose. Tumor associated antigens can be, for example, embryonic antigens, antigens with abnormal post-translational modifications, differentiation antigens, products of mutated oncogenes or tumor suppressors, fusion proteins, or oncoviral proteins.

30 In some embodiments, the target peptide antigens include one or more associated with or derived from hematological cancer, such as leukemia, lymphoma, or



myeloma. For example, the hematological malignancy may be acute myeloid leukemia, chronic myelogenous leukemia, childhood acute leukemia, non-Hodgkin's lymphomas, acute lymphocytic leukemia, chronic lymphocytic leukemia, myelodysplastic syndrome, malignant cutaneous T-cells, mycosis fungoids, non-MF cutaneous T-cell  
5 lymphoma, lymphomatoid papulosis, and T-cell rich cutaneous lymphoid hyperplasia. In other embodiments, the target peptide antigens include one or more associated with or derived from a solid tumor, including melanoma, colon cancer, duodenal cancer, prostate cancer, breast cancer, ovarian cancer, ductal cancer, hepatic cancer, pancreatic cancer, renal cancer, endometrial cancer, testicular cancer, stomach cancer, dysplastic  
10 oral mucosa, polyposis, head and neck cancer, invasive oral cancer, non-small cell lung carcinoma, small-cell lung cancer, mesothelioma, transitional and squamous cell urinary carcinoma, brain cancer, neuroblastoma, and glioma.

A variety of tumor-associated antigens are known in the art. Oncofetal and embryonic antigens include carcinoembryonic antigen and alpha-fetoprotein (usually  
15 only highly expressed in developing embryos but frequently highly expressed by tumors of the liver and colon, respectively), MAGE-1 and MAGE-3 (expressed in melanoma, breast cancer, and glioma), placental alkaline phosphatase sialyl-Lewis X (expressed in adenocarcinoma), CA-125 and CA-19 (expressed in gastrointestinal, hepatic, and gynecological tumors), TAG-72 (expressed in colorectal tumors),  
20 epithelial glycoprotein 2 (expressed in many carcinomas), pancreatic oncofetal antigen, 5T4 (expressed in gastric carcinoma), alpha-fetoprotein receptor (expressed in multiple tumor types, particularly mammary tumors), and M2A (expressed in germ cell neoplasia).

Tumor-associated differentiation antigens include tyrosinase (expressed in  
25 melanoma) and particular surface immunoglobulins (expressed in lymphomas).

Mutated oncogene or tumor-suppressor gene products include Ras and p53, both of which are expressed in many tumor types, Her-2/neu (expressed in breast and gynecological cancers), EGF-R, estrogen receptor, progesterone receptor, retinoblastoma gene product, myc (associated with lung cancer), ras, p53, nonmutant  
30 associated with breast tumors, MAGE-1, and MAGE-3 (associated with melanoma, lung, and other cancers). Fusion proteins include BCR-ABL, which is expressed in

chronic myeloid leukemia. Oncoviral proteins include HPV type 16, E6, and E7, which are found in cervical carcinoma.

Tissue-specific antigens include melanotransferrin and MUC1 (expressed in pancreatic and breast cancers); CD10 (previously known as common acute lymphoblastic leukemia antigen, or CALLA) or surface immunoglobulin (expressed in B cell leukemias and lymphomas); the  $\alpha$  chain of the IL-2 receptor, T cell receptor, CD45R, CD4+/CD8+ (expressed in T cell leukemias and lymphomas); prostate specific antigen and prostatic acid-phosphatase (expressed in prostate carcinoma); GP 100, MelanA/Mart-1, tyrosinase, gp75/brown, BAGE, and S-100 (expressed in melanoma); cytokeratins (expressed in various carcinomas); and CD19, CD20, and CD37 (expressed in lymphoma).

Tumor-associated antigens also include altered glycolipid and glycoprotein antigens, such as neuraminic acid-containing glycosphingolipids (e.g., GM2 and GD2, expressed in melanomas and some brain tumors); blood group antigens, particularly T and sialylated Tn antigens, which can be aberrantly expressed in carcinomas; and mucins, such as CA-125 and CA-19-9 (expressed on ovarian carcinomas) or the underglycosylated MUC-1 (expressed on breast and pancreatic carcinomas).

For example, in some embodiments, one or more target antigens are associated with bladder cancer, such as one or more of NY-ESO-1, MAGE-A10, and MUC-1 antigens. In some embodiments, one or more target antigens are associated with brain cancer, and may include one or more of NY-ESO-1, Survivin, and CMV antigens. In some embodiments, one or more target antigens are associated with breast cancer, and may include one or more of MUC-1, Survivin, WT-1, HER-2, and CEA antigens. In some embodiments, one or more target antigens are associated with cervical cancer, and may include HPV antigen. In some embodiments, one or more target antigens are associated with colorectal cancer, and may include one or more of NY-ESO-1, Survivin, WT-1, MUC-1, and CEA antigens. In some embodiments, one or more target antigens are associated with esophageal cancer, and may include NY-ESO-1 antigen. In some embodiments, one or more target antigens may be associated with head and neck cancer, and may include HPV antigen. In some embodiments, the target antigen is associated with kidney or liver cancer, and may include NY-ESO-1 antigen. In some embodiments, the target antigen is associated with lung cancer, and may include one or

more of NY-ESO-1, Survivin, WT-1, MAGE-A10, and MUC-1 antigens. In some embodiments, one or more target antigens is associated with melanoma, and may include one or more of NY-ESO-1, Survivin, MAGE-A10, MART-1, and GP-100. In some embodiments, one or more peptide antigens are associated with ovarian cancer, and may include one or more of NY-ESO-1, WT-1, and Mesothelin antigen. In some embodiments, one or more target antigens are associated with prostate cancer, and may include one or more of Survivin, hTERT, PSA, PAP, and PSMA antigens. In some embodiments, the target antigen is associated with a sarcoma, and may include NY-ESO-1 antigen. In some embodiments, one or more target antigens are associated with lymphoma, and may include EBV antigen. In some embodiments, one or more target antigens are associated with multiple myeloma, and may include one or more of NY-ESO-1, WT-1, and SOX2 antigens.

In some embodiments, one or more target antigens are associated with acute myelogenous leukemia or myelodysplastic syndrome, and may include one or more of (including 1, 2, 3, 4, or 5 of) Survivin, WT-1, PRAME, RHAMM, PR3, and Cyclin A1 antigens. In some embodiments, the target antigens include at least 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or all target antigens from Table 1 below.

Table 1: Exemplary AML target peptide antigens

Antigen	Peptide name/position	Sequence	SEQ ID NO:
WT-1	126-134	RMFPNAPYL	SEQ ID NO:1
	235-243	CMTWNQMNL	SEQ ID NO:2
	37-45	VLDFAPPGA	SEQ ID NO:3
	187-195	SLGEQQYSV	SEQ ID NO:4
Prame	P100	VLDGLDVLL	SEQ ID NO:5
	P435	NLTHVLYPV	SEQ ID NO:6
	P142	SLYSFPEPEA	SEQ ID NO:7
	P300	ALYVDSLFFL	SEQ ID NO:8
	P425	SLLQHLIGL	SEQ ID NO:9

Survivin	ELT 95-104	ELTLGEFLKL	SEQ ID NO:10
	LDR 104-113	LDRERAKNKI	SEQ ID NO:11
Cyclin A1	227-235	FLDRFLSCM	SEQ ID NO: 12
	341-351	SLIAAAAFCLA	SEQ ID NO: 13

In some embodiments, one or more target peptide antigens are neoantigens. For example, in some embodiments, neoantigens specific to the patient are identified, and synthesized for loading aAPCs. In some embodiments, between three and ten  
5 neoantigens are identified through genetic analysis of the patient's malignancy (e.g., by nucleic acid sequencing of malignant cells), followed by predictive bioinformatics. In some embodiments, the antigens are natural, non-mutated, cancer antigens, of which many are known.

In various embodiments, at least one of the target peptide antigens is recognized  
10 by a low frequency precursor T cell. In accordance with these embodiments, the invention enables rapid activation and expansion of these cells for adoptive therapy.

In some embodiments, the target peptide antigens include at least one that is associated with or derived from a pathogen, such as a viral, bacterial, fungal, or parasitic pathogen. For example, at least one peptide antigen may be associated with  
15 HIV, hepatitis (e.g., A, B, C, or D) CMV, Epstein-Barr virus (EBV), influenza, herpes virus (e.g., HSV 1 or 2, or varicella zoster), and Adenovirus. CMV, for example, is the most common viral pathogen found in organ transplant patients and is a major cause of morbidity and mortality in patients undergoing bone marrow or peripheral blood stem cell transplants. This is due to the immunocompromised status of these patients, which  
20 permits reactivation of latent virus in seropositive patients or opportunistic infection in seronegative individuals. In these embodiments, the patient may receive adoptive immunotherapy comprising T cells specific for pathogen antigens. The method can entail generation of virus-specific CTL derived from the patient or from an appropriate donor before initiation of the transplant procedure.

In some embodiments, at least one target antigen is a pathogen-associated antigen, including antigens associated with protozoa, bacteria, fungi (both unicellular and multicellular), viruses, prions, intracellular parasites, helminths, and other infectious agents.

- 5 Bacterial antigens include antigens of gram-positive cocci, gram positive bacilli, gram-negative bacteria, anaerobic bacteria, such as organisms of the families Actinomycetaceae, Bacillaceae, Bartonellaceae, Bordetellae, Captophagaceae, Corynebacteriaceae, Enterobacteriaceae, Legionellaceae, Micrococcaceae, Mycobacteriaceae, Nocardaceae, Pasteurellaceae, Pseudomonadaceae, 10 Spirochaetaceae, Vibrionaceae and organisms of the genera Acinetobacter, Brucella, Campylobacter, Erysipelothrix, Ewingella, Francisella, Gardnerella, Helicobacter, Levinea, Listeria, Streptobacillus and Tropheryma.

Antigens of protozoan infectious agents include antigens of malarial plasmodia, Leishmania species, Trypanosoma species and Schistosoma species.

- 15 Fungal antigens include antigens of Aspergillus, Blastomyces, Candida, Coccidioides, Cryptococcus, Histoplasma, Paracoccidioides, Sporothrix, organisms of the order Mucorales, organisms inducing chromomycosis and mycetoma and organisms of the genera Trichophyton, Microsporum, Epidermophyton, and Malassezia.

- Viral peptide antigens include, but are not limited to, those of adenovirus, 20 herpes simplex virus, papilloma virus, respiratory syncytial virus, poxviruses, HIV, influenza viruses, EBV, hepatitis, and CMV. Particularly useful viral peptide antigens include HIV proteins such as HIV gag proteins (including, but not limited to, membrane anchoring (MA) protein, core capsid (CA) protein and nucleocapsid (NC) protein), HIV polymerase, influenza virus matrix (M1) protein and influenza virus 25 nucleocapsid (NP) protein, hepatitis B surface antigen (HBsAg), hepatitis B core protein (HBcAg), hepatitis e protein (HBeAg), hepatitis B DNA polymerase, hepatitis C antigens, and the like.

- In some embodiments, the target peptide antigens include one or more tumor associated antigens, and one or more virus-associated antigens (such as CMV, EBV, 30 influenza, or Adenovirus), to provide an antitumor response while protecting against common pathogens that complicate recovery after HSCT.

Patients that have undergone HSCT are at particular risk for infectious disease, given the immunocompromised state. The immunocompromised status of these patients permits reactivation of latent virus in seropositive patients or opportunistic infection in seronegative individuals. For example, Post-transplant lymphoproliferative disease (PTLD) occurs in a significant fraction of transplant patients and results from Epstein-Barr virus (EBV) infection. EBV infection is believed to be present in approximately 90% of the adult population in the United States. Active viral replication and infection is kept in check by the immune system, but, as in cases of CMV, individuals immunocompromised by transplantation therapies lose the controlling T cell populations, which permits viral reactivation. This represents a serious impediment to transplant protocols. EBV may also be involved in tumor promotion in a variety of hematological and non-hematological cancers.

In still other embodiments, the cell composition comprises T cells specific for tumor associated antigens, with pathogen-associated T cells provided as bystander cells. Specifically, by enriching for CD8<sup>+</sup> T cells based on selection with both HLA-peptide complexes and anti-CD28, bystander cells will be enriched, and expanded, particularly when using a T cell growth factor cocktail that can drive some non-specific expansion of these cells without antigen-specific activation. In these embodiments, while a large portion of the composition are T cells specific for the target peptides (e.g., from 5% to 75%, or from 10 to 50%), the remaining T cells provide some reconstitution of the immune system against common pathogens, which is particularly beneficial after transplant. For example, the composition may comprise T cells specific for CMV, EBV, influenza, and Adenovirus. In each case, pathogen-specific T cells may be present at from 0.1% to about 4% of the composition.

In various embodiments the invention involves compositions prepared by enrichment and expansion of antigen-specific CD8<sup>+</sup> T cells. Precursor T cells can be obtained from the patient or from a suitable HLA-matched donor. Source T cells can be either fresh or frozen samples. Precursor T cells can be obtained from a number of sources that comprise WBCs, including peripheral blood mononuclear cells (PBMC), bone marrow, lymph node tissue, spleen tissue, buffy coat fraction, and tumors. In some embodiments, precursor T cells are obtained from a unit of blood collected from a subject using any number of techniques known to one or skill in the art. For example,

precursor T cells from the circulating blood of an individual can be obtained by apheresis or leukapheresis. The apheresis product typically contains lymphocytes, including T cells and precursor T cells, monocytes, granulocytes, B cells, other nucleated white blood cells, red blood cells, and platelets. Leukapheresis is a laboratory  
5 procedure in which white blood cells are separated from a sample of blood.

Cells collected by apheresis can be washed to remove the plasma fraction and to place the cells in an appropriate buffer or media for subsequent processing steps. Washing steps can be accomplished by methods known to those in the art, such as by using a semi-automated “flow-through” centrifuge. After washing, the cells may be  
10 resuspended in a variety of biocompatible buffers, such as, for example, Ca-free, Mg-free PBS. Alternatively, the undesirable components of the apheresis sample can be removed and the cells directly re-suspended in a culture medium.

If desired, precursor T cells can be isolated from peripheral blood lymphocytes by lysing the red blood cells and depleting the monocytes, for example, by  
15 centrifugation through a PERCOLL™ gradient.

In certain embodiments, leukocytes are collected by leukapheresis, and may be subsequently enriched for CD8+ T cells, for example, by depleting the sample of CD4+ cells and/or positively enriching for CD8+ cells. In some embodiments, other cell types are depleted, such as NK cells. The CD8-enriched cells may then be further enriched  
20 for antigen-specific T cells.

In various embodiments, the sample comprising the immune cells (e.g., CD8+ T cells) is contacted with an artificial Antigen Presenting Cell (aAPC) having magnetic properties. Paramagnetic materials have a small, positive susceptibility to magnetic fields. These materials are attracted by a magnetic field and the material does not retain  
25 the magnetic properties when the external field is removed. Exemplary paramagnetic materials include, without limitation, magnesium, molybdenum, lithium, tantalum, and iron oxide. Paramagnetic beads suitable for magnetic enrichment are commercially available (DYNABEADS™, MACS MICROBEADS™, Miltenyi Biotec). In some  
30 embodiments, the aAPC particle is an iron dextran bead (e.g., dextran-coated iron-oxide bead).

Antigen presenting complexes comprise an antigen binding cleft, and are generally MHC class I, which can be linked or tethered to provide dimeric or multimeric MHC. In some embodiments, the MHC are monomeric, but their close association on the nano-particle is sufficient for avidity and activation. In some  
5     embodiments, the MHC are dimeric. Dimeric MHC class I ligands can be constructed by fusion to immunoglobulin heavy chain sequences, which are then associated through one or more disulfide bonds (with or without associated light chains). MHC multimers can be created by direct tethering through peptide or chemical linkers, or can be multimeric via association with streptavidin through biotin moieties. In some  
10    embodiments, the antigen presenting complexes are MHC class I complexes involving fusions with immunoglobulin sequences.

MHC class I molecular complexes having immunoglobulin sequences are described in U.S. Patent 6,268,411, which is hereby incorporated by reference in its entirety. These MHC class I molecular complexes may be formed in a conformationally  
15    intact fashion at the ends of immunoglobulin heavy chains. MHC class I molecular complexes to which antigenic peptides are bound can stably bind to antigen-specific lymphocyte receptors (e.g., T cell receptors). In various embodiments, the immunoglobulin heavy chain sequence is not full length, but comprises an Ig hinge region, and one or more of CH1, CH2, and/or CH3 domains. The Ig sequence may or  
20    may not comprise a variable region, but where variable region sequences are present, the variable region may be full or partial. The complex may further comprise immunoglobulin light chains. MHC class I ligands (e.g., HLA-Ig) lacking variable chain sequences (and lacking any light chain) may be employed with site-directed conjugation to particles, as described in WO 2016/105542, which is hereby  
25    incorporated by reference in its entirety.

Exemplary MHC class I molecular complexes comprise at least two fusion proteins. A first fusion protein comprises a first MHC class I  $\alpha$  chain and a first immunoglobulin heavy chain (or portion thereof comprising the hinge region), and a second fusion protein comprises a second MHC class I  $\alpha$  chain and a second  
30    immunoglobulin heavy chain (or portion thereof comprising the hinge region). The first and second immunoglobulin heavy chains associate to form the MHC class I molecular complex, which comprises two MHC class I peptide-binding clefts. The



immunoglobulin heavy chain can be the heavy chain of an IgM, IgD, IgG1, IgG3, IgG2 $\beta$ , IgG2 $\alpha$ , IgG4, IgE, or IgA. In some embodiments, an IgG heavy chain is used to form MHC class I molecular complexes. If multivalent MHC class I molecular complexes are desired, IgM or IgA heavy chains can be used to provide pentavalent or  
5 tetravalent molecules, respectively.

Exemplary class I molecules include HLA-A, HLA-B, HLA-C, HLA-E, and these may be employed individually or in any combination. In some embodiments, the antigen presenting complex is an HLA-A2 ligand. The term MHC as used herein, can be replaced by HLA in each instance.

10 Immunoglobulin sequences in some embodiments are humanized monoclonal antibody sequences.

The aAPCs may contain a "Signal 2", such as an anti-CD28 ligand. Signal 2 is generally a T cell affecting molecule, that is, a molecule that has a biological effect on a precursor T cell or on an antigen-specific T cell. In certain embodiments, signal 2 is a T  
15 cell costimulatory molecule. T cell costimulatory molecules contribute to the activation of antigen-specific T cells. Such molecules include, but are not limited to, molecules that specifically bind to CD28 (including antibodies), CD80 (B7-1), CD86 (B7-2), B7-H3, 4-1BB, 4-1BBL, CD27, CD30, CD134 (OX-40L), B7h (B7RP-1), CD40, LIGHT, antibodies that specifically bind to HVEM, antibodies that specifically bind to CD40L,  
20 and antibodies that specifically bind to OX40. In some embodiments, the costimulatory molecule (signal 2) is an antibody (e.g., a monoclonal antibody) or portion thereof, such as F(ab')<sub>2</sub>, Fab, scFv, or single chain antibody, or other antigen binding fragment. In some embodiments, the antibody is a humanized monoclonal antibody or portion thereof having antigen-binding activity, or is a fully human  
25 antibody or portion thereof having antigen-binding activity.

Combinations of co-stimulatory ligands that may be employed (on the same or separate nanoparticles) include anti-CD28/anti-CD27 and anti-CD28/anti-41BB. The ratios of these co-stimulatory ligands can be varied to effect expansion.

Exemplary signal 1 and signal 2 ligands are described in WO 2014/209868,  
30 which describe ligands having a free sulfhydryl (e.g., unpaired cysteine), such that the

constant region may be coupled to nanoparticle supports having the appropriate chemical functionality.

Adhesion molecules useful for nano-aAPC can be used to mediate adhesion of the nano-aAPC to a T cell or to a T cell precursor. Useful adhesion molecules include, for example, ICAM-1 and LFA-3.

In some embodiments, signal 1 is provided by peptide-HLA-A2 complexes, and signal 2 is provided by B7.1-Ig or anti-CD28. An exemplary anti-CD28 monoclonal antibody is 9.3 mAb (Tan et al., J. Exp. Med. 1993 177:165), which may be humanized in certain embodiments and/or conjugated to the bead as a fully intact antibody or an antigen-binding fragment thereof.

Magnetic activation may take place for from 2 minutes to 5 hours, or from 5 minutes to 2 hours, followed by expansion in culture for at least 5 days, and up to 2 weeks or up to 3 weeks in some embodiments. In some embodiments, magnetic activation occurs for at least 2 minutes, but less than 30 minutes (e.g., about 5 or 10 minutes). Resulting CD8<sup>+</sup> T cells may be phenotypically characterized to confirm the presence of T memory stem cells (T<sub>scm</sub>), as well as high central and effector memory phenotype.

Some embodiments employ T cell growth factors during expansion, which affect proliferation and/or differentiation of T cells. Examples of T cell growth factors include cytokines (e.g., interleukins, interferons) and superantigens. If desired, cytokines can be present in molecular complexes comprising fusion proteins, or can be encapsulated by the aAPC, or provided in soluble form. Particularly useful cytokines include MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-10, IL-12, IL-15, IL-21, IFN- $\gamma$ , and CXCL10. In some embodiments, the growth factors include 3, 4, 5, or 6 from MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-15, IL-21, and INF- $\gamma$ . In these or other embodiments, the cells are expanded in culture in the presence of one, two, three cytokines selected from MIP-1 $\beta$ , IL-1 $\beta$ , and IL-6, and optionally IL-10. In some embodiments, the cells are not cultured in the presence of IL-7 and/or IL-21 and/or IL-15. Cells can be expanded in culture from 1 to 4 weeks, such as about 2 weeks (about 14 days), or about 3 weeks.

In some embodiments, the cells are expanded in culture in the presence of from 4 to 8 cytokines, to achieve a balance between T cell expansion (including antigen-specific T cell expansion), activation, and memory phenotype. In some embodiments, the cells are expanded in the presence of IL-4. In some embodiments, the cells are expanded in the presence of IL-4 and IL-6. In some embodiments, the cells are expanded in the presence of IL-4 and IL-1 $\beta$ . In some embodiments, the cells are expanded in the presence of IL-4, IL-6, and IL-1 $\beta$ . In some embodiments, the cells are expanded in the presence of IL-2, IL-4, and IL-6. In some embodiments, the cells are expanded in culture in the presence of IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ . In some  
10      embodiments, the cells are further expanded in the presence of IL-10.

In some embodiments, the growth factors consist, or consist essentially of, IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ , and optionally IL-10.

In some embodiments, IL-2 is present at the start of culture at 10 to 200 International Units (IU) per ml, such as from about 20 to about 100 IU/ml, or about 20  
15      to about 60 IU/ml. In some embodiments, IL-2 is present at the start of culture at about 30 to about 50 IU/ml (e.g., about 40 IU/ml). IL-2 IU (86/500 NIBSC) can be determined using a proliferation assay (e.g., using CTLL-2 cell line), as described for example by Gearing and Bird (1987) in Lymphokines and Interferons, A Practical Approach. Clemens, MJ et al. (eds): IRL Press. 295. In some embodiments, IL-2 is  
20      present at the start of culture at about 2 to about 25 ng/ml, such as from about 5 to about 15 ng/ml.

In these or independent embodiments, IL-4 is present at the start of culture at 0.2 to 25 International Units (IU) per ml, such as from about 0.5 to about 10 IU/ml, or from about 0.5 to about 5 IU/ml. In some embodiments, IL-4 is present at the start of  
25      culture at about 1 IU/ml. IL-4 IU (88/656 NIBSC) can be defined using a proliferation assay (e.g., using TF-1 cell line), as described for example, by Kitamura T. et al., (1991) IL-1 up-regulates the expression of cytokine receptors on a factor-dependent human hemopoietic cell line, TF-1. *Int. Immunol.* 3:571-577. In some embodiments, IL-4 is present at the start of culture at about 0.2 to about 2 ng/ml, such as from about  
30      0.2 to about 1 ng/ml (e.g., about 0.5 ng/ml).

In these or independent embodiments, IL-6 may be present at the start of culture at 10 to 200 International Units (IU) per ml, such as from about 25 to about 100 IU/ml, such as from 25 to 75 IU/ml. In some embodiments, IL-6 is present at the start of culture at about 40 to about 60 IU/ml (e.g., about 50 IU/ml). IL-6 IU (89/548 NIBSC) can be defined using a proliferation assay (e.g., using B9 cell line), as described for example by Gaines-Das RE and Poole S. (1993) The international standard for interleukin-6. Evaluation in an international collaborative study. *J. Immunol. Methods* 160:147-153. In some embodiments, IL-6 is present at the start of culture at about 0.2 to about 10 ng/ml, such as from about 0.2 to about 5 ng/ml (e.g., about 0.5 to 2 ng/ml).

In these or independent embodiments, Interferon gamma (INF- $\gamma$ ) may be present at the start of culture at from 10 to 200 International Units (IU) per ml, such as from about 20 to about 100 IU/ml, such as from 20 to 60 IU/ml. In some embodiments, INF- $\gamma$  is present at the start of culture at about 30 to about 50 IU/ml (e.g., about 40 IU/ml). INF- $\gamma$  IU (87/586 NIBSC) can be defined using an antiviral assay (e.g., with Hela cells infected with EMC), as described for example in Meager A. (1987) Lymphokines and interferons, a Practical Approach. Clemens, MJ, et al. (eds): IRL Press. 129. In some embodiments, INF- $\gamma$  is present at the start of culture at about 0.5 to about 20 ng/ml, such as from about 1 to about 10 ng/ml (e.g., from 1 to 5 ng/ml).

IL-1 $\beta$  may be present at the start of culture at 5 to 100 International Units (IU) per ml, such as from about 10 to about 50 IU/ml, such as from about 10 to about 30 IU/ml. In some embodiments, IL-1 $\beta$  is present at the start of culture at about 10 to about 20 IU/ml (e.g., about 15 IU/ml). IL-1 $\beta$  IU (86/680 NIBSC) can be defined using a proliferation assay (e.g., using D.10.G4.1 cells), as described for example by Poole, S. and Gaines-Das, RE (1991) The international standards for interleukin-1 alpha and interleukin-1 beta. Evaluation in an international collaborative study. *J. Immunol. Methods* 142:1-13. In some embodiments, IL-1 $\beta$  is present at the start of culture at about 0.2 to about 5 ng/ml, such as from about 0.2 to about 2 ng/ml, or from about 0.2 to about 1 ng/ml.

In various embodiments, the cells are cultured in the presence of a growth factor cocktail comprising or consisting of IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ . In some embodiments, the relative activity (defined by the respective IU) of IL-2 and INF- $\gamma$  is about 0.5:1 to about 1:0.5 (e.g., about 1:1). In these or independent embodiments, the

relative activity (defined by respective IU) of IL-2 and IL-6 is about 0.5:1 to 1:0.5. In these or independent embodiments, the relative activity of IL-1 $\beta$  with respect to IL-2, IL-6, and/or IFN- $\gamma$  (defined by respective IUs) is from 1:4 to 1:2 (e.g., about 1:3). In these or independent embodiments, the relative activity of IL-4 with respect to IL-2, IL-6, and/or IFN- $\gamma$  (defined by respective IUs) is from 1:30 to 1:60. In these or independent embodiments, the relative activity of IL-4 with respect to IL-1 $\beta$  (defined by respective IUs) is from about 1:5 to about 1:25, such as from about 1:10 to about 1:20.

In some embodiments, the specific activity of each growth factor (IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ ) at the start of culture (in IUs) can be shown as a percentage when the total IUs of all the growth factors in the culture is considered as 100%. For example, in some embodiments, the percentage of each growth factor in the culture can be as follows:

20% to 40% IL-2 (e.g., 20 to 30% IL-2);  
 0.5% to 5% IL-4 (e.g., 1 to 3% IL-4);  
 25% to 50% IL-6 (e.g., 30 to 40% IL-6);  
 20% to 40% IFN- $\gamma$  (e.g., 20 to 30% IFN- $\gamma$ ); and  
 5% to 20% IL-1 $\beta$  (e.g., 5 to 15% IL-1 $\beta$ ).

The aAPC nanoparticles can be made of any material, and materials can be appropriately selected for the desired magnetic property, and may comprise, for example, metals such as iron, nickel, cobalt, or alloy of rare earth metal. Paramagnetic materials also include magnesium, molybdenum, lithium, tantalum, and iron oxide. Paramagnetic beads suitable for enrichment of materials (including cells) are commercially available, and include iron dextran beads, such as dextran-coated iron oxide beads. In aspects of the invention where magnetic properties are not required, nanoparticles can also be made of nonmetal or organic (e.g., polymeric) materials such as cellulose, ceramics, glass, nylon, polystyrene, rubber, plastic, or latex. In exemplary material for preparation of nanoparticles is poly(lactic-co-glycolic acid) (PLGA) or PLA and copolymers thereof, which may be employed in connection with these

embodiments. Other materials including polymers and co-polymers that may be employed include those described in PCT/US2014/25889, which is hereby incorporated by reference in its entirety.

5 In various embodiments, the particle has a size (e.g., average diameter) within about 10 to about 500 nm, or within about 40 to about 400 nm, or within about 100 nm to 400 nm. For magnetic clustering, it is preferred that the nanoparticles have a size in the range of 10 to 250 nm, or 20 to 100 nm in some embodiments. Receptor-ligand interactions at the cell-nanoparticle interface are not well understood. However, nanoparticle binding and cellular activation are sensitive to membrane spatial  
10 organization, which is particularly important during T cell activation, and magnetic fields can be used to manipulate cluster-bound nanoparticles to enhance activation. For example, T cell activation induces a state of persistently enhanced nanoscale TCR clustering and nanoparticles are sensitive to this clustering in a way that larger particles are not.

15 Furthermore, nanoparticle interactions with TCR clusters can be exploited to enhance receptor triggering. T cell activation is mediated by aggregation of signaling proteins, with “signaling clusters” hundreds of nanometers across, initially forming at the periphery of the T cell-APC contact site and migrating inward. As described herein, an external magnetic field can be used to enrich antigen-specific T cells (including rare  
20 naïve cells) and to drive aggregation of magnetic nano-aAPC bound to TCR, resulting in aggregation of TCR clusters and enhanced activation of naïve T cells. Magnetic fields can exert appropriately strong forces on paramagnetic particles, but are otherwise biologically inert, making them a powerful tool to control particle behavior. T cells bound to paramagnetic nano-aAPC are activated in the presence of an externally  
25 applied magnetic field. Nano-aAPC are themselves magnetized, and attracted to both the field source and to nearby nanoparticles in the field, inducing bead and thus TCR aggregation to boost aAPC-mediated activation.

Activation chemistries can be used to allow the specific, stable attachment of molecules to the surface of nanoparticles. There are numerous methods that can be used  
30 to attach proteins to functional groups. For example, the common cross-linker glutaraldehyde can be used to attach protein amine groups to an aminated nanoparticle surface in a two-step process. The resultant linkage is hydrolytically stable. Other

methods include use of cross-linkers containing n-hydrosuccinimido (NHS) esters which react with amines on proteins, cross-linkers containing active halogens that react with amine-, sulfhydryl-, or histidine-containing proteins, cross-linkers containing epoxides that react with amines or sulfhydryl groups, conjugation between maleimide  
5 groups and sulfhydryl groups, and the formation of protein aldehyde groups by periodate oxidation of pendant sugar moieties followed by reductive amination.

The ratio of particular ligands when used simultaneously on the same or different particles can be varied to increase the effectiveness of the nanoparticle in antigen or costimulatory ligand presentation. For example, nanoparticles can be  
10 coupled with HLA-A2-Ig and anti-CD28 (or other signal 2 ligands) at a variety of ratios, such as about 30:1, about 25:1, about 20:1, about 15:1, about 10:1, about 5:1, about 3:1, about 2:1, about 1:1, about 0.5:1, about 0.3:1; about 0.2:1, about 0.1:1, or about 0.03:1. In some embodiments, the ratio is from 2:1 to 1:2. The total amount of protein coupled to the supports may be, for example, about 250 mg/ml, about 200  
15 mg/ml, about 150 mg/ml, about 100 mg/ml, or about 50 mg/ml of particles. Because effector functions such as cytokine release and growth may have differing requirements for Signal 1 versus Signal 2 than T cell activation and differentiation, these functions can be determined separately.

In certain embodiments, the aAPCs are paramagnetic particles in the range of  
20 50 to 150 nm, with a PDI (size distribution) of less than 0.2, or in some embodiments less than 0.1. The aAPCs may have a surface charge of from 0 to -10 mV, such as from about -2 to -6 mV. aAPCs may have from 10 to 120 ligands per particle, such as from about 25 to about 100 ligands per particle, with ligands conjugated to the particle through a free cysteine introduced in the Fc region of the immunoglobulin sequences.  
25 The particles may contain about 1:1 ratio of HLA dimer:anti-CD28, which may be present on the same or different populations of particles. The nanoparticles provide potent expansion of cognate T cells, while exhibiting no stimulation of non-cognate TCRs, even with passive loading of peptide antigen. Particles are stable in lyophilized form for at least two or three years.

30 After enrichment and expansion, the antigen-specific T cell component of the sample will be at least about 5%, or at least about 10%, or at least about 15%, or at least about 20%, or at least about 25% antigen specific T cells. Further, these T cells

generally display a memory phenotype (including both central and effector memory, as well as T memory stem cells). From the original sample isolated from the patient, the antigen-specific T cells in various embodiments are expanded (in about 7 days) from about 100-fold to about 10,000 fold, such as at least about 100-fold, or at least about 200-fold. After 2 weeks, antigen-specific T cells are expanded at least 1000-fold, or at least about 2000-fold, at least about 3,000 fold, at least about 4,000-fold, or at least about 5,000-fold in various embodiments. In some embodiments, antigen-specific T cells are expanded by greater than 5000-fold or greater than 10,000 fold after two weeks. After one or two weeks of expansion, at least about  $10^6$ , or at least about  $10^7$ , or at least about  $10^8$ , or at least about  $10^9$  antigen-specific T cells are obtained.

Suitable incubation conditions (culture medium, temperature, etc.) include those used to culture T cells or T cell precursors, as well as those known in the art for inducing formation of antigen-specific T cells using DC or artificial antigen presenting cells.

The cell composition can be administered to patients by any appropriate routes, including intravenous infusion, intra-arterial administration, intralymphatic administration, and intratumoral administration.

In some embodiments, the patient receives immunotherapy with one or more checkpoint inhibitors, prior to (or optionally after) receiving the cell composition by adoptive transfer. In various embodiments, the checkpoint inhibitor(s) target one or more of CTLA-4 or PD-1/PD-L1, which may include antibodies against such targets, such as monoclonal antibodies, or portions thereof, or humanized or fully human versions thereof. In some embodiments, the checkpoint inhibitor therapy comprises ipilimumab or Keytruda (pembrolizumab).

In some embodiments, the patient receives about 1 to 5 rounds of adoptive immunotherapy (e.g., one, two, three, four or five rounds). In some embodiments, each administration of adoptive immunotherapy is conducted simultaneously with, or after (e.g., from about 1 day to about 1 week after), a round of checkpoint inhibitor therapy. In some embodiments, adoptive immunotherapy is provided about 1 day, about 2 days, about 3 days, about 4 days, about 5 days, about 6 days, or about 1 week after a



checkpoint inhibitor dose. In some embodiments, the patient receives only a single administration of the cell composition.

In some aspects, the invention provides methods for personalized cancer immunotherapy. The methods are accomplished using the aAPCs to identify antigens to which the patient will respond, followed by administration of the appropriate peptide-loaded aAPC to the patient, or followed by enrichment and expansion of the antigen specific T cells *ex vivo*.

Genome-wide sequencing has dramatically altered our understanding of cancer biology. Sequencing of cancers has yielded important data regarding the molecular processes involved in the development of many human cancers. Driving mutations have been identified in key genes involved in pathways regulating three main cellular processes (1) cell fate, (2) cell survival and (3) genome maintenance. Vogelstein et al., Science 339, 1546-58 (2013).

Genome-wide sequencing also has the potential to revolutionize our approach to cancer immunotherapy. Sequencing data can provide information about both shared as well as personalized targets for cancer immunotherapy. In principle, mutant proteins are foreign to the immune system and are putative tumor-specific antigens. Indeed, sequencing efforts have defined hundred if not thousands of potentially relevant immune targets. Limited studies have shown that T cell responses against these neo-epitopes can be found in cancer patients or induced by cancer vaccines. However, the frequency of such responses against a particular cancer and the extent to which such responses are shared between patients are not well known. One of the main reasons for our limited understanding of tumor-specific immune responses is that current approaches for validating potential immunologically relevant targets are cumbersome and time consuming.

Although central tolerance abrogates T cell responses against self-proteins, oncogenic mutations induce neo-epitopes against which T cell responses can form. Mutation catalogues derived from whole exome sequencing provide a starting point for identifying such neo-epitopes. Using HLA binding prediction algorithms (Srivastava, PLoS One 4, e6094 (2009), it has been predicted that each cancer can have up 7-10 neo-epitopes. A similar approach estimated hundreds of tumor neo-epitopes. Such

algorithms, however, may have low accuracy in predicting T cell responses, and only 10% of predicted HLA-binding epitopes are expected to bind in the context of HLA (Lundegaard C, Immunology 130, 309-18 (2010)). Thus, predicted epitopes must be validated for the existence of T cell responses against those potential neo-epitopes.

5           In certain embodiments, the nano-aAPC system is used to screen for neo-epitopes that induce a T cell response in a variety of cancers, or in a particular patient's cancer. Cancers may be genetically analyzed, for example, by whole exome-sequencing.

10           A list of candidate peptides can be generated from overlapping nine amino acid windows in mutated proteins. All nine-AA windows that contain a mutated amino acid, and 2 non-mutated "controls" from each protein will be selected. These candidate peptides will be assessed computationally for MHC binding using a consensus of MHC binding prediction algorithms, including Net MHC and stabilized matrix method (SMM). Nano-aAPC and MHC binding algorithms have been developed primarily for  
15   HLA-A2 allele. The sensitivity cut-off of the consensus prediction can be adjusted until a tractable number of mutation containing peptides (~500) and non-mutated control peptides (~50) are identified.

          In an exemplary embodiments, the cell composition comprises, in a pharmaceutically acceptable carrier: at least 90% CD8<sup>+</sup> T cells and less than 5% CD4<sup>+</sup>  
20   T cells; at least 10<sup>6</sup> CD8<sup>+</sup> T cells specific for from 1 to 10 tumor-associated target peptide antigens, and CD8<sup>+</sup> T cells specific for bacterial, viral, and/or fungal pathogens, wherein at least 30% of the CD8<sup>+</sup> T cells are central memory and effector memory T cells with a ratio of from 25:75 to 75:25, with less than 10% of the CD8<sup>+</sup> T cells being terminally differentiated T cells. In some embodiments, at least 50% of the  
25   CD8<sup>+</sup> T cells specific for the tumor-associated target peptide antigens are central memory and effector memory T cells with a ratio of from 25:75 to 75:25, and with less than 10% of the CD8<sup>+</sup> T cells being terminally differentiated T cells. In some embodiments, the cell composition further comprises from about 5% to about 20% T memory stem cells (T<sub>scm</sub>), or from about 5% to about 15% T memory stem cells.

30           The cell composition further comprises a pharmaceutically acceptable carrier suitable for intravenous infusion, and which may be suitable as a cryoprotectant. In

exemplary carrier is DMSO (e.g., about 10%). Cell compositions may be provided in unit vials or bags, and stored frozen until use. Unit doses may comprise from about  $5 \times 10^5$  to about  $5 \times 10^6$  cells per ml, in a volume of from 50 to 200 ml. In certain embodiments, the volume of the composition is  $\leq 100$  ml (e.g., from 50 to 100 ml).

5

In some aspects, the invention provides a method for treating a patient with cancer, comprising administering the cell composition described herein to a patient in need.

10 In some embodiments, the patient has a hematological cancer, which in some embodiments has relapsed after allogeneic stem cell transplantation. In some embodiments, the patient has acute myelogenous leukemia (AML) or myelodysplastic syndrome.

Other cancers that can be treated according to this disclosure include cancers that historically illicit poor immune responses or have a high rate of recurrence. Exemplary cancers include various types of solid tumors, including carcinomas, sarcomas, and lymphomas. In various embodiments the cancer is melanoma (including metastatic melanoma), colon cancer, duodenal cancer, prostate cancer, breast cancer, ovarian cancer, ductal cancer, hepatic cancer, pancreatic cancer, renal cancer, endometrial cancer, testicular cancer, stomach cancer, dysplastic oral mucosa, polyposis, head and neck cancer, invasive oral cancer, non-small cell lung carcinoma, small-cell lung cancer, mesothelioma, transitional and squamous cell urinary carcinoma, brain cancer, neuroblastoma, and glioma. In various embodiments, the cancer is stage I, stage II, stage III, or stage IV. In some embodiments, the cancer is metastatic and/or recurrent, and/or is nonresectable.

25 In some embodiments, the patient is refractory to chemotherapy and/or checkpoint inhibitor therapy.

In some embodiments, the patient further receives low dose cytokine therapy, which may improve the persistence and in vivo response.

30 In some embodiments, the cancer is a hematological malignancy, including leukemia, lymphoma, or myeloma. For example, the hematological malignancy may be

acute myeloid leukemia, chronic myelogenous leukemia, childhood acute leukemia, non-Hodgkin's lymphomas, acute lymphocytic leukemia, chronic lymphocytic leukemia, myelodysplastic syndrome, malignant cutaneous T-cells, mycosis fungoids, non-MF cutaneous T-cell lymphoma, lymphomatoid papulosis, and T-cell rich  
5 cutaneous lymphoid hyperplasia. In an exemplary embodiment, the patient has a hematological cancer such as acute myelogenous leukemia (AML) or myelodysplastic syndrome, and in some embodiments the patient has relapsed after allogeneic stem cell transplantation. In some embodiments, the therapy does not induce GVHD.

10 In some embodiments, the patient, in addition to allogeneic stem cell transplantation, has also undergoes lympho-deleting therapy, cyto-reductive therapy, or immunomodulatory therapy (prior to administration of the cell therapy). In some embodiments, the cell therapy may be further provided with or without cytokine support post treatment.

15 In some embodiments, the patient has an infectious disease or is at risk for an infectious disease. For example, patients that have undergone HSCT are at particular risk for infectious disease, given the immunocompromised state. Infectious diseases that can be treated or prevented include those caused by bacteria, viruses, prions, fungi, parasites, helminths, etc. Such diseases include AIDS, hepatitis B/C, CMV infection, Epstein-Barr virus (EBV) infection, influenza, herpes virus infection (including  
20 shingles), and adenovirus infection. CMV, for example, is the most common viral pathogen found in organ transplant patients and is a major cause of morbidity and mortality in patients undergoing bone marrow or peripheral blood stem cell transplants. This is due to the immunocompromised status of these patients, which permits reactivation of latent virus in seropositive patients or opportunistic infection in  
25 seronegative individuals. In these embodiments, the patient may receive adoptive immunotherapy comprising T cells specific for pathogen antigens. The method can entail generation of virus-specific CTL derived from the patient or from an appropriate donor before initiation of the transplant procedure.

PTLD occurs in a significant fraction of transplant patients and results from  
30 Epstein-Barr virus (EBV) infection. EBV infection is believed to be present in approximately 90% of the adult population in the United States. Active viral replication and infection is kept in check by the immune system, but, as in cases of CMV,

individuals immunocompromised by transplantation therapies lose the controlling T cell populations, which permits viral reactivation. This represents a serious impediment to transplant protocols. EBV may also be involved in tumor promotion in a variety of hematological and non-hematological cancers.

- 5           Other aspects and embodiments of the invention will be apparent to the skilled artisan.

### EXAMPLES

Antigen-specific T cells were enriched and expanded from donor cells isolated by leukapheresis. Cells were depleted of CD4<sup>+</sup> cells by negative selection with CD4  
5 microbeads. Resulting cells were enriched for antigen-specific T cells by incubating with paramagnetic nanoparticles (dextran-coated iron oxide nanoparticles, about 80-200 nm in diameter). The nanoparticles have dimeric HLA ligands conjugated to the surface (presenting the target peptide antigen), as well as an agonistic anti-CD28 monoclonal antibody. The dimeric HLA ligand contains two HLA-A2 domains,  
10 comprising the peptide binding clefts, each fused to an arm of the Ig hinge region. Dimeric HLA-Ig are co-expressed with  $\beta_2$  microglobulin. Ligands and aAPC constructs are disclosed in WO 2016/044530 and WO 2016/105542, which are hereby incorporated by reference in their entirety.

Cells were incubated in the presence of the paramagnetic aAPC, then in the  
15 presence of a magnetic field for about 5 minutes. Cells associated with the particles were then recovered and expanded *ex vivo* for various lengths of time (generally from 1-2 weeks). Expansion was conducted in the presence of growth factors. For a two-week culture period, growth factors were added on days 1 and 7. Cells were re-stimulated with aAPCs on day 7.

20 Antigen-specific T cells were also enriched and expanded in batch. For example, FIG. 3 shows batch enrichment and expansion of AML-specific peptides Prame100 RHAMM, WT1, and Survivin. At Day 7, the cells contain 1.4% specific for Prame, 1.8% specific for RHAMM, 7.0% specific for WT1, and 2.3% specific for Survivin. The total antigen-specific T cell component is 12.5% in this embodiment. T  
25 cells were characterized by tetramer staining.

FIGURE 4 shows that the composition with individual stimulation and expansion for 2 weeks has consistent levels of AML antigen-specific T cells. Individual stimulation and expansion process consistently generates ~15% antigen-specific T cells.

30 FIGURE 5 shows that simultaneous stimulation/expansion process generates AML-specific T cell frequencies comparable to individual stimulation/expansion. The

composition shown prepared by batch stimulation/expansion has ~47% antigen-specific T cells.

FIGURE 6 shows that the generated T cells demonstrate antigen-specific killing of AML tumor cells (THP-1 cell line). AML specific T cells are directed at 5 epitopes from WT-1, PRAME, and Survivin. At 1 to 100 (Target to Effector ratio), ~40% of target cells were killed.

As shown in FIG 7, the cytokine cocktail used for *ex vivo* expansion can impact the number and phenotype of resulting cells.

Cells were further characterized for their phenotype, either naive (CD62L+, CD45RA+), central memory (CD62L+, CD45RA-), effector memory (CD62L-, CD45RA-), and terminally differentiated memory (CD62L-, CD45RA+). MART-1 and AML specific T cells enriched and expanded *ex vivo* from donor lymphocytes are predominately central memory and effector memory phenotype. See FIG. 2. Particularly for AML peptides, in three representative experiments, naive cells were present at 3.82%, 14.2%, and 14.8%. Terminally differentiated memory cells were present at 3.82%, 3%, and 6.7%. Meanwhile, the central and effector memory component of the antigen-specific cells was 92.3%, 82.8%, and 78.52%.

Cells were characterized by activation phenotype, namely, staining for IL-2 (proliferation and memory), IFN- $\gamma$  (activating other T cells, memory, upregulation of MHC), TNF- $\alpha$  (pro-inflammatory), and CD107A (granzyme release, cytotoxic activity). See FIG. 1. As shown, the majority of cells have 3 or even 4 functions. For example, 32.5% of cells produce both IL-2 and IFN- $\gamma$  upon activation, and 94.2% of the cells produce TNF- $\alpha$  and CD107a upon activation.

Bystander cells specific for viral antigens were further quantified by tetramer staining. FIG. 8 shows the presence of virus-specific bystander T cells on day 7 after MART-1-specific enrichment and expansion. FIG. 9 shows the presence of virus-specific bystander T cells on day 14 after MART-1-specific enrichment and expansion. These cells are also largely of central and effector memory phenotype. FIG. 10 shows the presence of virus-specific bystander T cells on day 14 after AML-specific enrichment and expansion. FIG. 11 shows detection of CMV-specific bystander T cells during MART-1 specific enrichment and expansion process. The percent of virus-

specific bystander cells remains constant through Day 14 (between 0.5 and 1%), while the number and percent of MART-1 specific T cells rises dramatically.

FIG. 12 shows detection of virus specific bystander cells on Day 14 after MART-1-specific enrichment and expansion using a recombinant T cell growth factor cocktail (IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-21, IFN- $\gamma$ , and MIP1- $\beta$ ), demonstrates maintenance and bystander expansion of viral specific T cells directed at multiple epitopes across Adeno, CMV, EBV and influenza.

As shown in Figure 13A and Figure 13B, Mart-1 specific T cells were generated by the enrichment and expansion process, in the presence of the following cytokines during expansion: IL-2, IL-4, IL-6, IFN- $\gamma$ , and IL1- $\beta$ . The composition of this cytokine cocktail is shown in Table 1.

Table 1: Cytokine Cocktail for Expansion Phase

Cytokines	Specific Activity in final culture media (IU/ml)	Specific Activity in Stock Solution 50X (IU/ml)
IL-2	40	2000
IL-4	2.5	125
IL-6	50	2500
IFN $\gamma$	40	2000
IL-1 $\beta$	15	750

In this experiment,  $6.74 \times 10^9$  CD8<sup>+</sup> lymphocytes from a healthy donor were enriched as described above. After enrichment, there were  $2.81 \times 10^8$  total cells. At day 14 of expansion, there were  $5.28 \times 10^8$  total cells, showing a 1.88 fold expansion of total cells. These expanded cells at day 14 were ~35% specific for MART-1, and about 94% viable (Figure 13B). MART-1 -specific cells were expanded about 2776 fold, assuming about 1 in  $10^5$  precursor cells were MART-1 specific.

In evaluating the total culture, T cells had a phenotype of about 66% central memory and about 32% effector memory. Less than 2% of cells were naive, and the amount of T<sub>EMRA</sub> cells were negligible. Further, MART-1 specific cells were about 89% central memory and about 9% effector memory, with less than 2% naive and a negligible number of T<sub>EMRA</sub> cells.



## CLAIMS:

1. An isolated cell composition suitable for adoptive immunotherapy, the composition comprising, in a pharmaceutically acceptable carrier: at least  $10^6$  CD8+ T  
5 cells specific for one or more target peptide antigens, wherein at least 20% of T cells in the composition exhibit a central memory or effector memory phenotype.
2. The isolated cell composition of claim 1, wherein the CD8+ T cells are specific for from 1 to 100 target peptide antigens.
- 10 3. The isolated cell composition of claim 1, wherein T cell specificity toward a target peptide antigen in the composition is defined by MHC multimer staining.
4. The isolated cell composition of any one of claims 1 to 3, wherein the target  
15 peptide antigens are tumor associated antigens.
5. The isolated cell composition of any one of claims 1 to 4, wherein one or more target peptide antigens are tumor derived or tumor specific neoantigens.
- 20 6. The isolated cell composition of any one of claims 1 to 3, wherein one or more target peptide antigens are bacterial, viral, fungal, or parasitic antigens.
7. The isolated cell composition of any one of claims 1 to 6, comprising CD8+ T  
25 cells specific for at least one, two, three, four, or five target peptide antigens.
8. The isolated cell composition of claim 7, wherein at least one of the target peptide antigens is recognized by a low frequency precursor T cell.
9. The isolated cell composition of any one of claims 1 to 8, wherein the cell  
30 composition is at least 90% T cells.
10. The isolated cell composition of claim 9, wherein the cell composition is at least 98% T cells.

11. The isolated cell composition of any one of claims 1 to 9, wherein the cell composition is at least 5% CD8+ T cells specific for the target peptide antigens.
12. The isolated cell composition of claim 11, wherein the cell composition is at least 10% CD8+ T cells specific for the target peptide antigens.
13. The isolated cell composition of claim 12, wherein the cell composition is at least 15% CD8+ T cells specific for the target peptide antigens.
14. The isolated cell composition of any one of claims 4 or 5, wherein the cell composition further comprises CD8+ T cells specific for bacterial, viral, and/or fungal pathogens.
15. The isolated cell composition of claim 14, wherein the CD8+ T cells specific for bacterial, viral, or fungal pathogens include T cells specific for antigens of influenza, CMV, EBV, and/or adenovirus.
16. The isolated cell composition of any one of claims 1 to 15, wherein the T cells are at least 30% central and effector memory T cells.
17. The isolated cell composition of claim 16, wherein the T cells are at least 50% central and effector memory T cells.
18. The isolated cell composition of claim 16, wherein the T cells are at least 70% central and effector memory T cells.
19. The isolated cell composition of claim 16, wherein the T cells are at least 80% central and effector memory T cells.
20. The isolated cell composition of any one of claims 16 to 19, wherein the T cells specific for the one or more target antigens are at least 50% central and effector memory T cells.

21. The isolated cell composition of claim 20, wherein the T cells specific for the one or more target antigens are at least 60% central and effector memory T cells.
22. The isolated cell composition of claim 20, wherein the T cells specific for the  
5 one or more target antigens are at least 70% central and effector memory T cells.
23. The isolated cell composition of claim 20, wherein the T cells specific for the one or more target antigens are at least 80% central and effector memory T cells.
- 10 24. The isolated cell composition of any one of claims 1 to 23, wherein the central and effector memory T cells are from 10:90 to 90:10 central to effector memory cells.
25. The isolated cell composition of claim 24, wherein the central and effector memory T cells are from 25:75 to 75:25 central to effector memory cells.  
15
26. The isolated cell composition of claim 24, wherein the central and effector memory cells are from 40:60 to 60:40 central to effector memory T cells.
27. The isolated cell composition of any one of claims 1 to 26, wherein the T cells  
20 are less than 20% terminally differentiated.
28. The isolated cell composition of claim 27, wherein the T cells are less than 10% terminally differentiated.
- 25 29. The isolated cell composition of claim 27, wherein the T cells are less than 10% terminally differentiated.
30. The isolated cell composition of any one of claims 1 to 29, wherein the composition comprises less than 20% naive cells.  
30
31. The isolated composition of claim 30, wherein the composition comprises less than 10% naive cells.

32. The isolated composition of claim 30, wherein the composition comprises less than 5% naive cells.
33. The isolated composition of claim 30, wherein the composition comprises less  
5 than 1.5% naive cells.
34. The isolated composition of any one of claims 1 to 33, further comprising T memory stem cells.
- 10 35. The isolated composition of claim 34, comprising from about 5% to about 25% T memory stem cells.
36. The isolated cell composition of any one of claims 1 to 35, wherein the CD8<sup>+</sup> T cells display a polyfunctional phenotype upon activation.  
15
37. The isolated cell composition of any one of claims 1 to 36, wherein the cell composition is less than 10% CD4<sup>+</sup> T cells.
38. The isolated cell composition of claim 37, wherein the cell composition is less  
20 than 5% CD4<sup>+</sup> T cells.
39. The isolated cell composition of claim 37, wherein the cell composition is less than 2% CD4<sup>+</sup> T cells.
- 25 40. The isolated cell composition of claim 37, wherein the cell composition is less than 1.5% CD4<sup>+</sup> T cells.
41. The isolated cell composition of claim 37, wherein the cell composition is less than 1% CD4<sup>+</sup> T cells.  
30
42. The cell composition of any one of claims 1 to 41, wherein the composition does not comprise T cells expressing a chimeric antigen receptor or a recombinant TCR.

43. The cell composition of any one of claims 1 to 42, wherein the composition is produced by enrichment of CD8<sup>+</sup> T cells specific for the target peptide antigens from source cells; and/or expansion of CD8<sup>+</sup> T cells specific for the target peptide antigens from source cells.

5

44. The cell composition of claim 43, wherein source cells are from a patient or an HLA-matched donor.

45. The cell composition of claim 43, wherein the donor cells are isolated by leukapheresis.

46. The cell composition of claim 43, wherein the source cells are isolated from a patient's tumor.

47. The cell composition of claim 43, wherein the source cells are a buffy coat fraction.

48. The isolated cell composition of any one of claims 43 to 47, wherein the cell source is depleted for CD4<sup>+</sup> T cells prior to enrichment or prior to expansion.

20

49. The isolated cell composition of any one of claims 43 to 48, wherein the source cells are CD8<sup>+</sup> enriched.

50. The isolated cell composition of any one of claims 43 to 49, wherein the cell source is NK cell depleted.

25

51. The isolated cell composition of any one of claims 43 to 50, wherein the antigen-specific T cells are enriched by aAPCs having an MHC class I ligand and optionally a co-stimulatory ligand.

30

52. The isolated cell composition of claim 51, wherein the aAPCs comprise a co-stimulatory ligand that is a ligand that binds CD28.

53. The isolated cell composition of claim 52, wherein the co-stimulatory ligand is a monoclonal antibody, or portion thereof, that is an agonist for CD28.

54. The isolated cell composition of any one of claims 1 to 53, wherein the  
5 enrichment is magnetic enrichment with paramagnetic aAPCs, and wherein the cells and aAPCs are optionally incubated in the presence of a magnetic field for at least one minute.

55. The isolated cell composition of any one of claims 1 to 53, wherein the  
10 enrichment is magnetic enrichment with paramagnetic aAPCs, and wherein the cells and aAPCs are optionally incubated in the presence of a magnetic field for at least five hours or the duration of culture.

56. The isolated cell composition of any one of claims 1 to 55, wherein the cells  
15 and aAPCs are incubated in the presence of a magnetic field for no more than about five hours.

57. The isolated cell composition of any one of claims 1 to 53, wherein the antigen-specific T cells are enriched and/or expanded without the use of a magnetic field.  
20

58. The isolated cell composition of any one of claims 43 to 53, wherein the enriched cells are expanded in culture for from 1 to 4 weeks.

59. The isolated cell composition of claim 58, wherein the cells are expanded in  
25 culture in the presence of one or more of MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-15, IL-21, and INF- $\gamma$ , and optionally IL-10.

60. The isolated cell composition of claim 58, wherein the cells are expanded in  
30 culture in the presence of two or three of MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-7, IL-15, IL-21, and INF- $\gamma$ , and optionally IL-10.

61. The isolated cell composition of claim 60, wherein the cells are expanded in culture in the presence of one, two, three, four, or five of MIP-1 $\beta$ , IL-1 $\beta$ , IL-2, IL-4, IL-6, IL-21, and INF- $\gamma$ , and optionally IL-10.

62. The isolated cell composition of claim 60, wherein the cells are expanded in culture in the presence of at least one cytokine selected from MIP-1 $\beta$ , IL-1 $\beta$ , and IL-6, and optionally IL-10.

5

63. The isolated cell composition of claim 60, wherein the cells are expanded in the presence of IL-4.

64. The isolated cell composition of claim 60, wherein the cells are expanded in the presence of IL-4 and IL-6.

10

65. The isolated cell composition of claim 60, wherein the cells are expanded in the presence of IL-4 and IL-1 $\beta$ .

66. The isolated cell composition of claim 60, wherein the cells are expanded in the presence of IL-4, IL-6, and IL-1 $\beta$ .

15

67. The isolated cell composition of claim 60, wherein the cells are expanded in the presence of IL-2, IL-4, and IL-6.

20

68. The isolated cell composition of claim 60, wherein the cells are expanded in culture in the presence of IL-2, IL-4, IL-6, INF- $\gamma$ , and IL-1 $\beta$ , and optionally IL-10.

69. The isolated cell composition of any one of claims 1 to 68, wherein functional aAPCs are undetectable in the composition, or the composition comprises less than 1% of the aAPC material.

25

70. The isolated cell composition of any one of claims 1 to 69, wherein one or more target peptide antigens are selected from peptide epitopes of Survivin, WT-1, PRAME, Cyclin A1, and PR3.

30

71. An isolated cell composition suitable for adoptive immunotherapy, the composition comprising, in a pharmaceutically acceptable carrier:

at least 90% CD8<sup>+</sup> T cells and less than 5% CD4<sup>+</sup> T cells;

the CD8<sup>+</sup> cells comprising at least 10<sup>6</sup> CD8<sup>+</sup> T cells specific for from 1 to 10 target peptide antigens, and CD8<sup>+</sup> T cells specific for bacterial, viral, fungal and/or parasitic pathogens,

wherein at least 30% of the CD8<sup>+</sup> T cells are central memory and effector  
5 memory T cells with a ratio of from 25:75 to 75:25, with less than 10% of the CD8<sup>+</sup> T cells being terminally differentiated T cells and less than 10% of the CD8<sup>+</sup> cells being naive cells; and

wherein at least 50% of the CD8<sup>+</sup> T cells specific for the target peptide antigens are central memory and effector memory T cells with a ratio of from 25:75 to 75:25,  
10 are less than 10% terminally differentiated T cells, and are less than 10% naive cells.

72. The isolated cell composition of claim 71, comprising at least 95% CD8<sup>+</sup> T cells and less than 2% CD4<sup>+</sup> T cells.

15 73. The isolated cell composition of claim 71 or 72, comprising at least 10<sup>7</sup> or 10<sup>8</sup> CD8<sup>+</sup> T cells specific for the target peptide antigens.

74. The isolated cell composition of any one of claims 71 to 73, comprising less than 5% terminally differentiated T cells, and/or less than 5% naive cells.

20

75. The isolated cell composition of any one of claims 71 to 73, further comprising from 5% to about 20% T memory stem cells.

76. The isolated cell composition of any one of claims 71 to 75, wherein the target  
25 peptide antigens are tumor associated antigens, and are optionally associated with hematological malignancy.

77. The isolated cell composition of any one of claims 71 to 76, wherein one or more target peptide antigens are selected from peptide epitopes of Survivin, WT-1, PRAME, Cyclin A1, and PR3.  
30

78. A method for treating a patient with cancer, comprising administering the cell composition of any one of claims 1 to 77 to a patient in need.



79. The method of claim 78, wherein the patient has a hematological cancer.

80. The method of claim 79, wherein the hematological cancer has relapsed after allogeneic stem cell transplantation.

5

81. The method of claim 79 or 80, wherein the patient has acute myelogenous leukemia (AML) or myelodysplastic syndrome.

82. The method of any one of claims 78 to 81, wherein the patient has also  
10 undergone lympho-deleting therapy, or cyto-reductive therapy, or immunomodulatory therapy prior to administration of the cell therapy.

83. The method of any one of claims 78 to 82, wherein the cell therapy may be further provided with or without cytokine support post treatment.

15

FIGURE 1

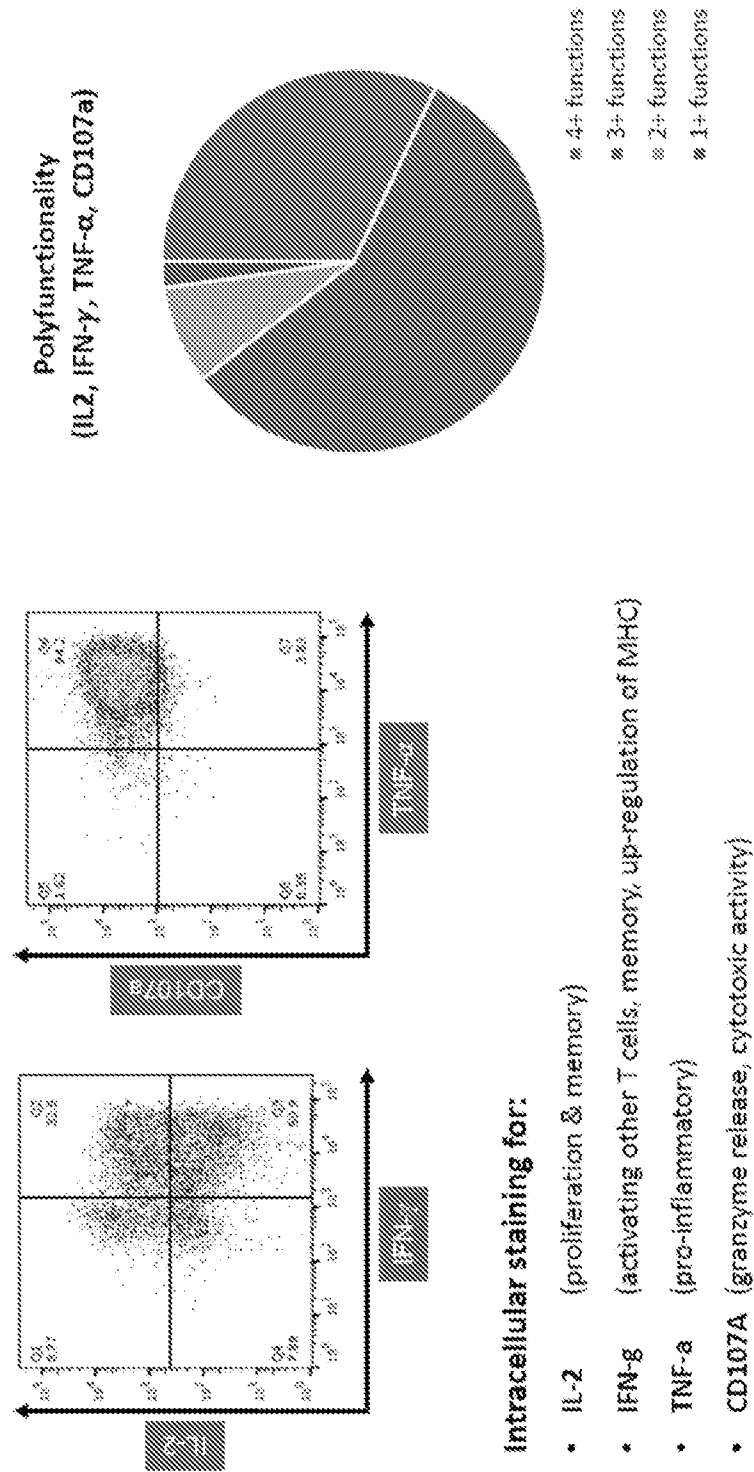


FIGURE 2

Experiment	specificity	% naive	% $T_{cm} + T_{cm}$	% $T_{cm8A}$
1	Mart-1	4.13	95.5 (80/15.5)	0.29
2	Mart-1	3.13	96.6 (78/18)	0.3
3	Mart-1	6.47	91.4 (56/40)	3.1
4	Mart-1	3.9	93.6 (51/42)	2.47
5	Mart-1	4.45	93.9 (60/33)	1.64
6	Mart-1	5.2	91.8 (46/46)	3
7	Mart-1	3.6	95.2 (81/14)	1.24
8	Mart-1	4.7	92.8 (67/26)	2.35
9	Mart-1	3.6	94.2 (60/34)	2.2
10	Mart-1	5	90.5 (69/21)	2.3
1	AML	3.82	92.3 (60/32)	3.82
2	AML	14.2	82.8 (72/10)	3
3	AML	14.8	78.52 (70/8)	6.7

FIGURE 3

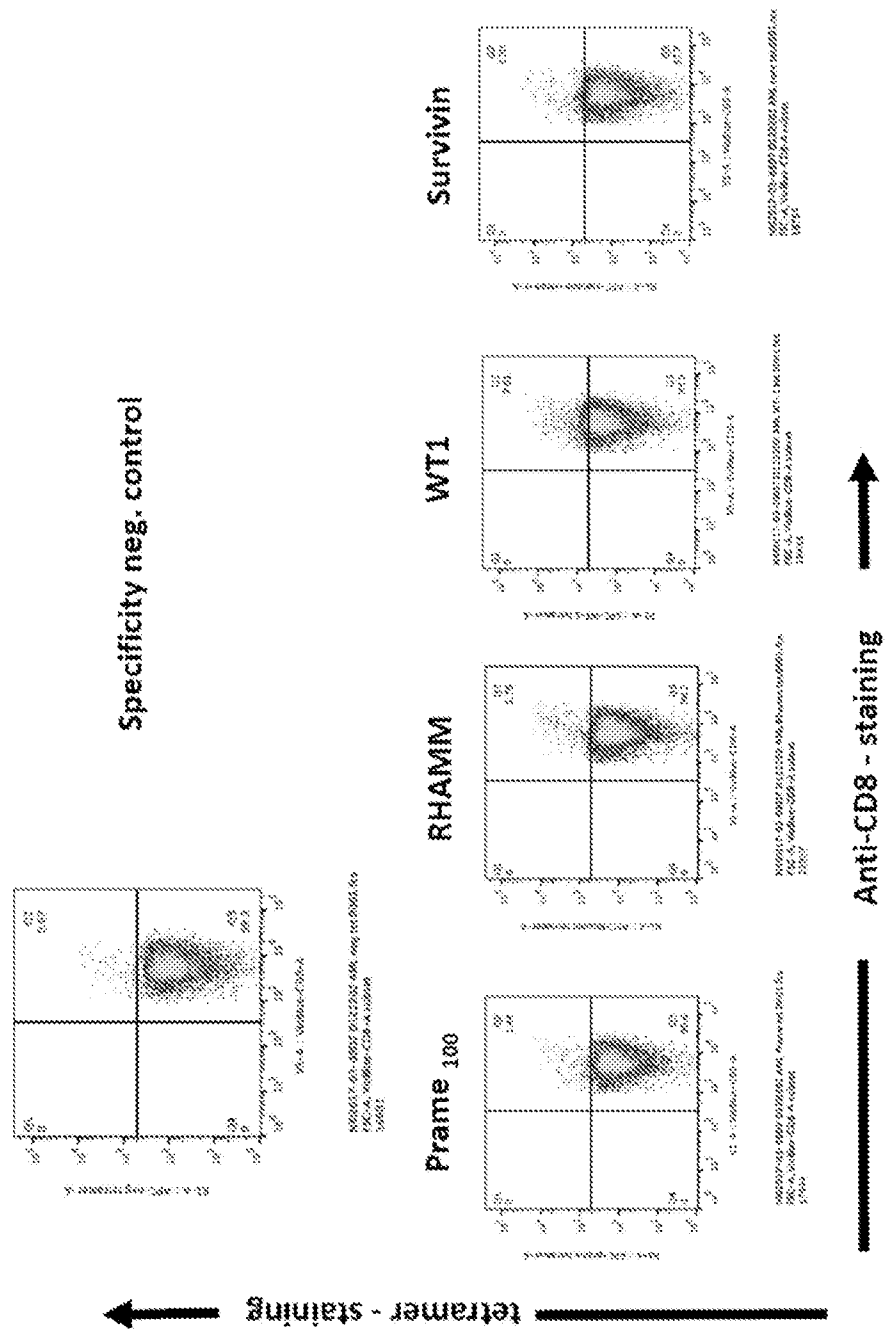


FIGURE 4

Tetramer analysis of E+E generated AML specific T cells

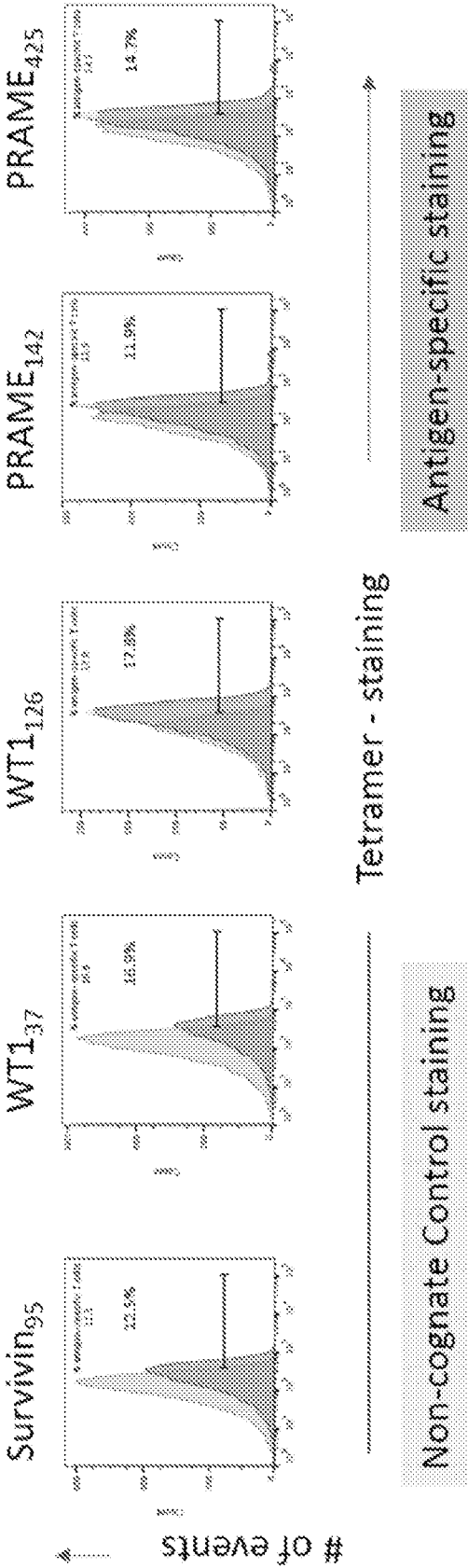


FIGURE 5

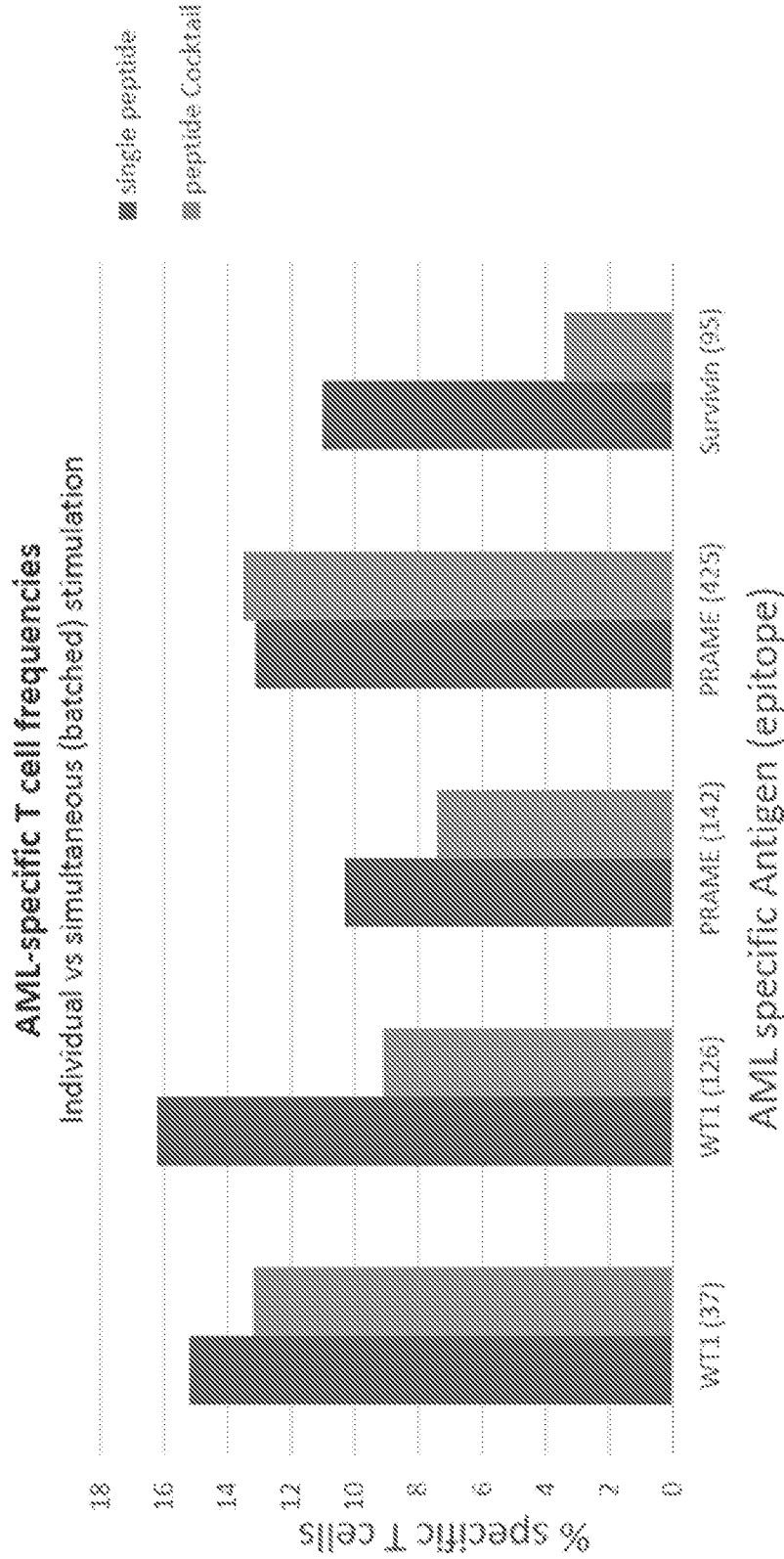


FIGURE 6

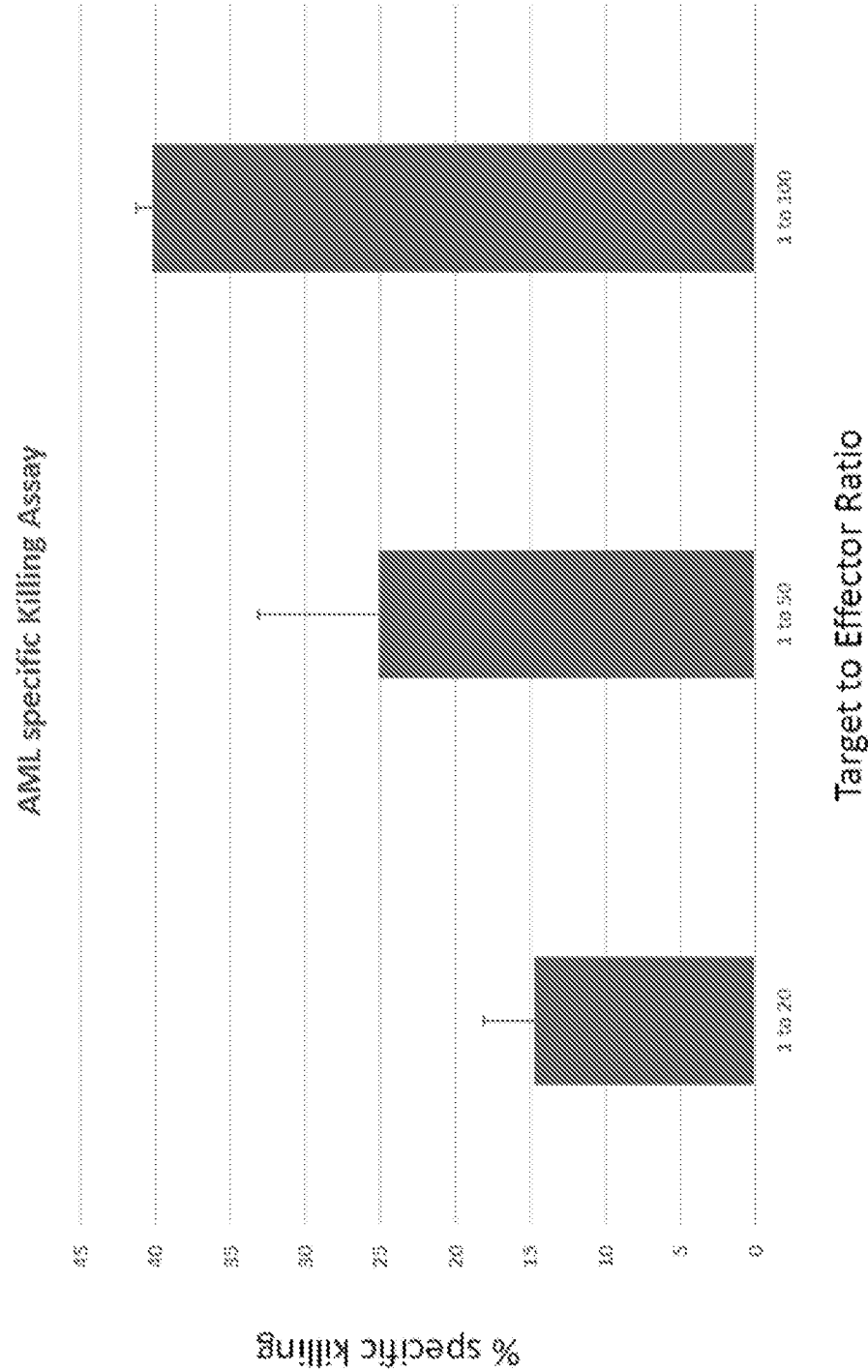


FIGURE 7

Approach	cytokines	% Tet +	Total cell # x10 <sup>6</sup>	% Tcm/Te m
A	TF	31.2	3.26	57/42
B	rTF (5D) {IL-1b, 2, 4, 6, 21 IFNg, MIP1b}	16.9	1.79	60/37
C	rTF (6)	11.6	2.03	53/43
D	rTF (7)	14.1	1.94	49/42
E	Miltenyi cocktail {IL-7, 15, 21}	1.7	5.9	62/34
F	Woelfel/Gree nberg cocktail IL21 (day1), IL7, 15 (day 3)	0.8	1.59	68/22 {8 naive}



FIGURE 8

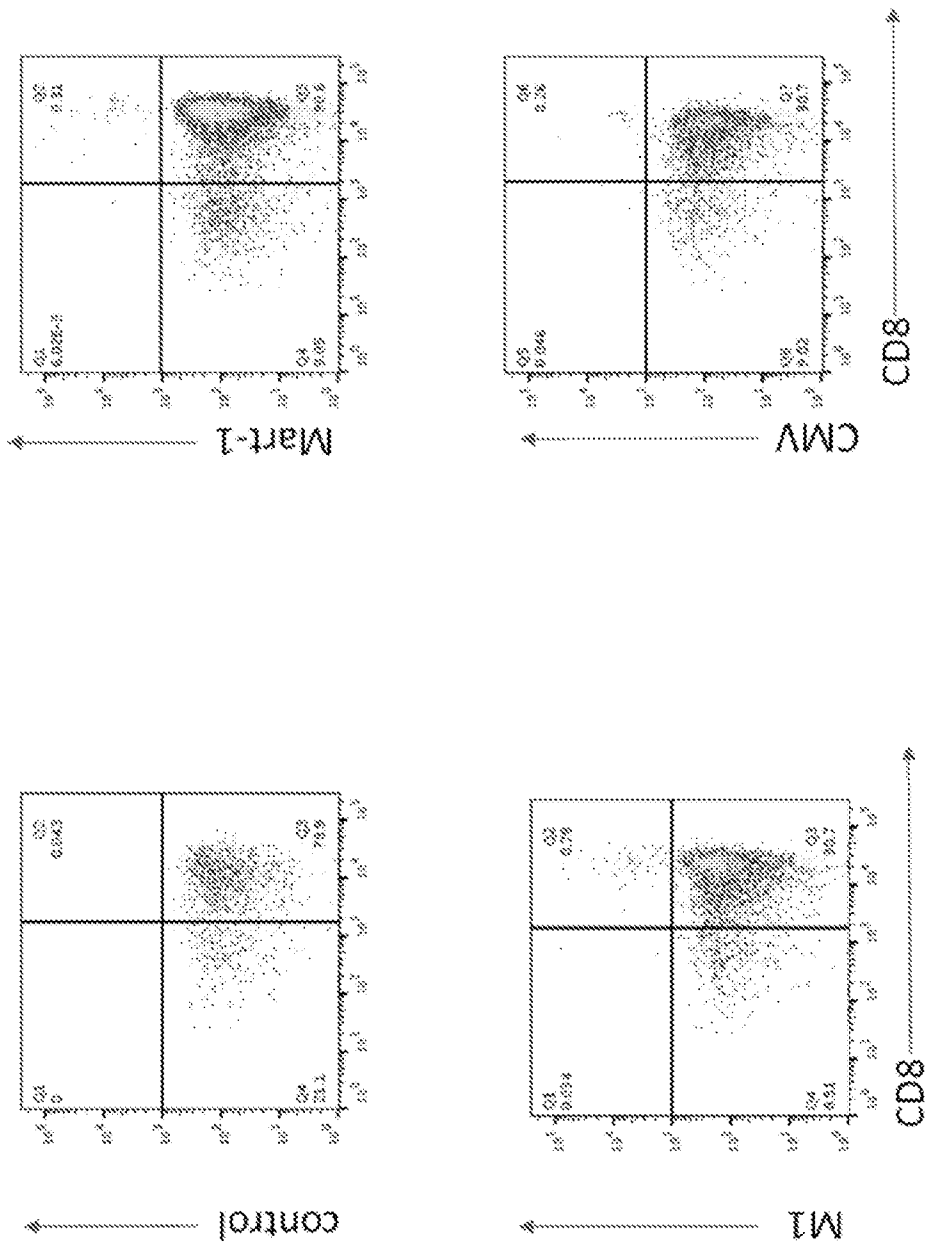


FIGURE 9

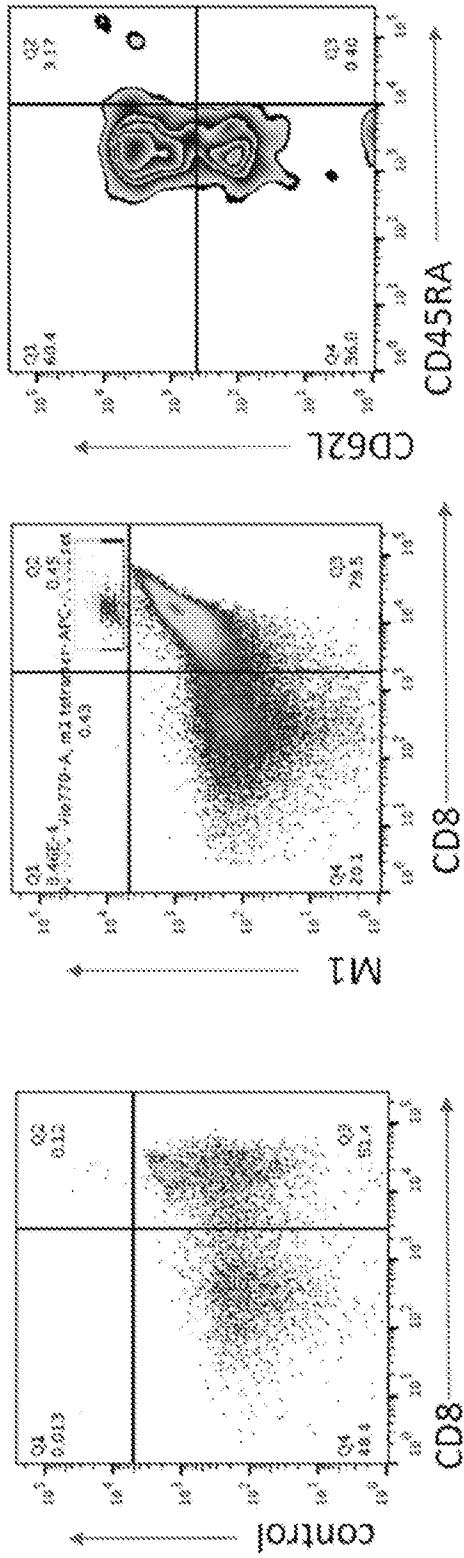


FIGURE 10

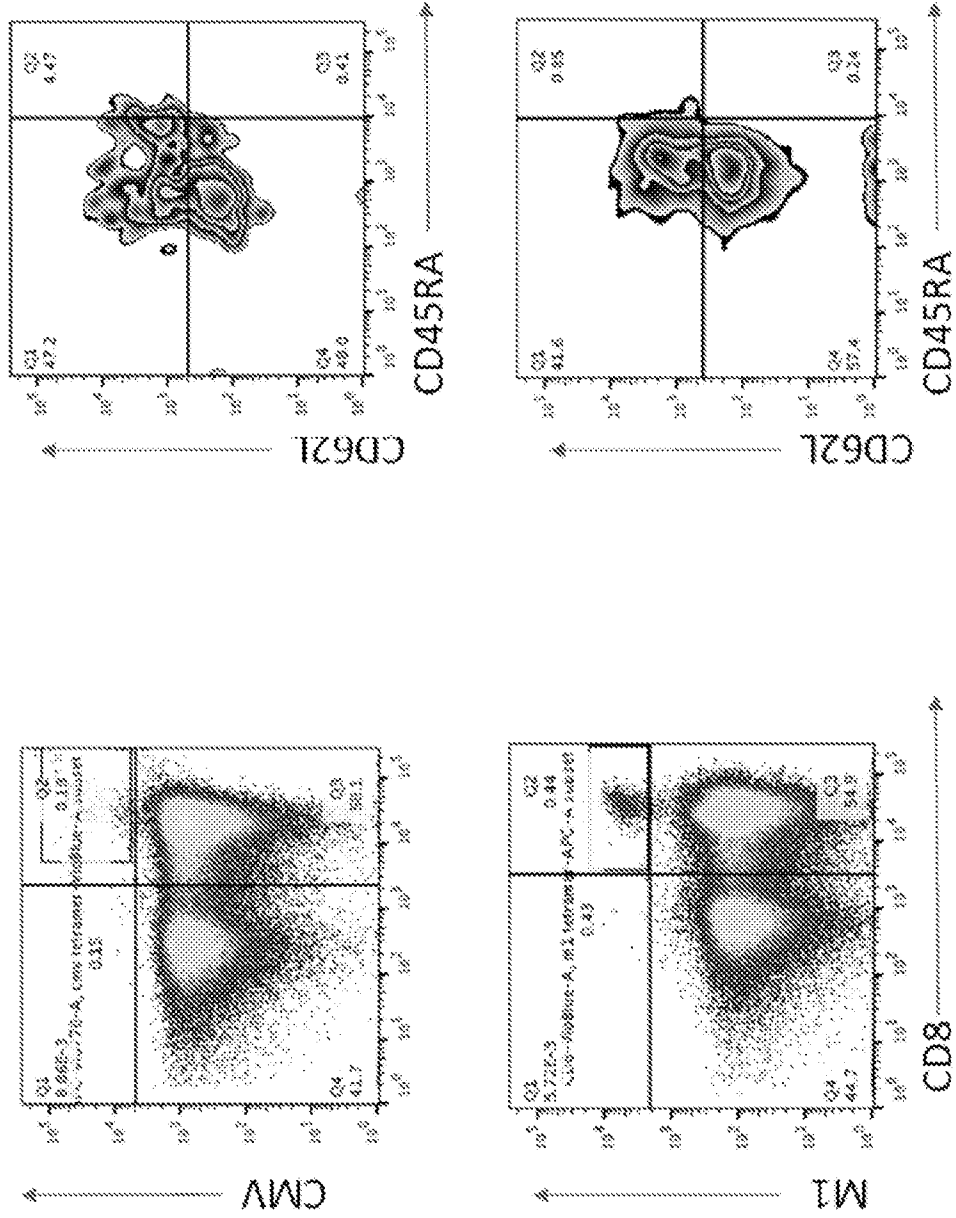


FIGURE 11

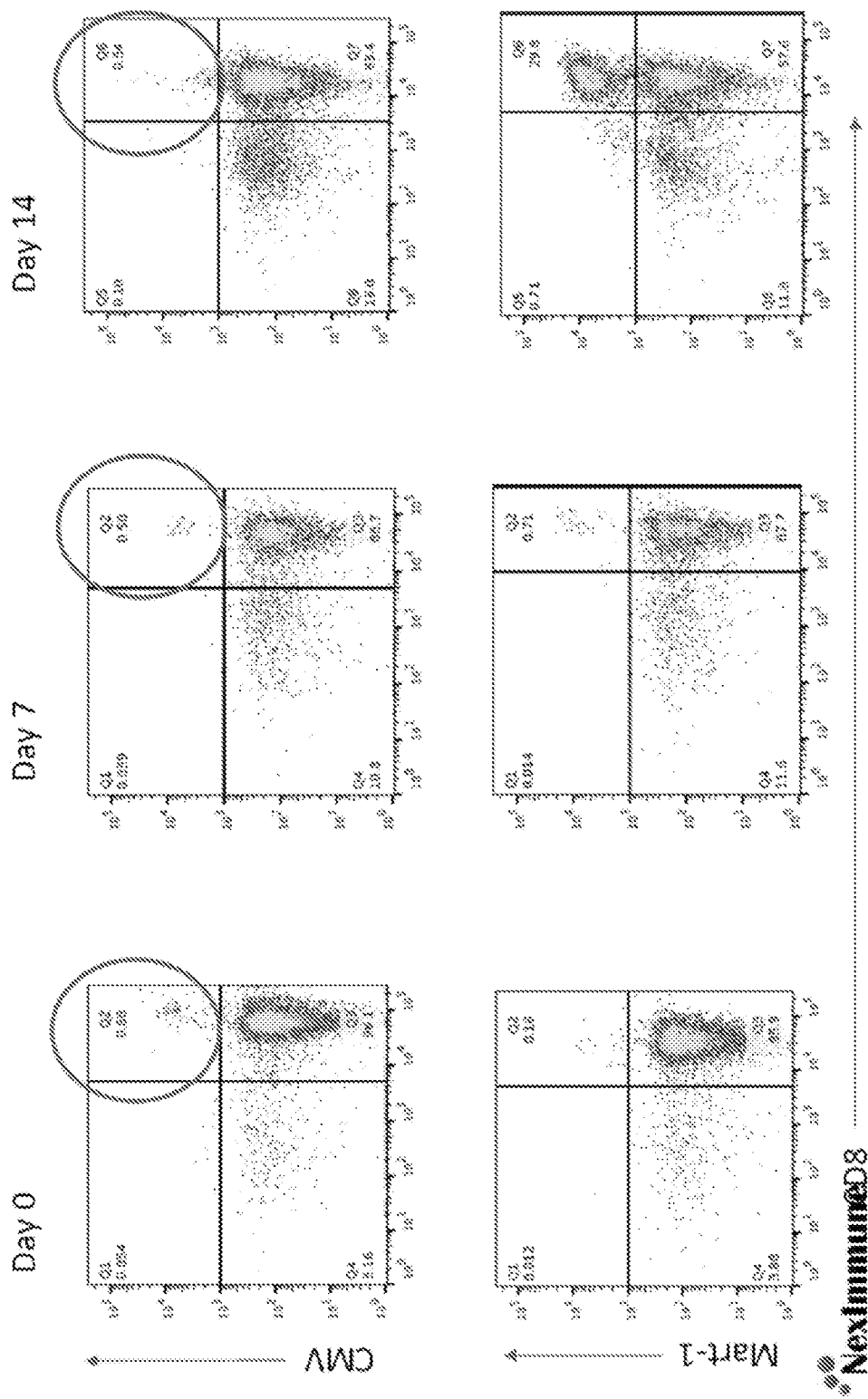


FIGURE 12

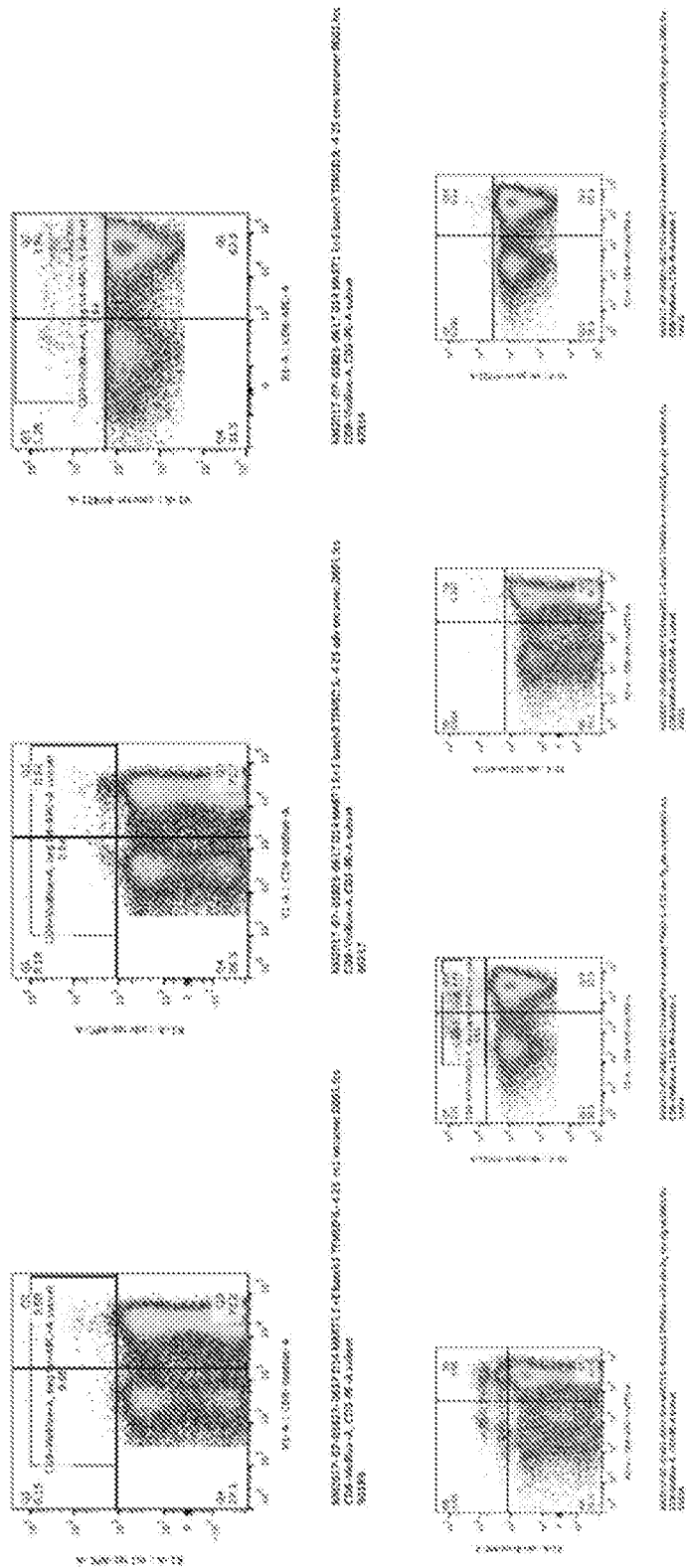


FIGURE 13

FIGURE 13A

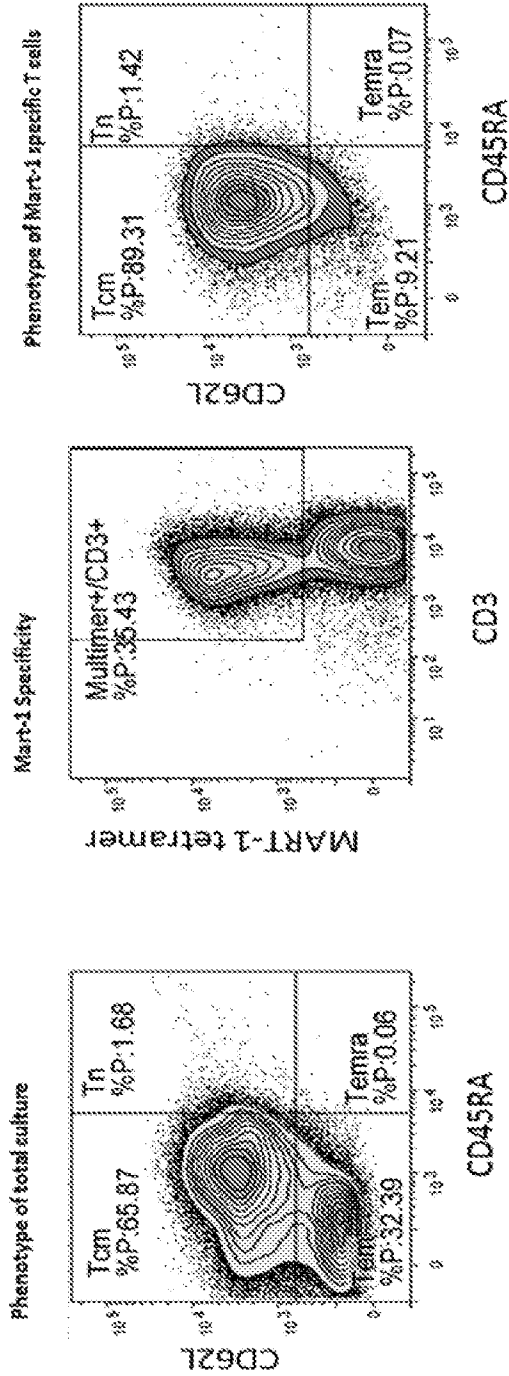


FIGURE 13B

Antigen	EC starting cell number	Cell number after Enrichment	Cell number at Day 14	Viability at Day 14	Total fold expansion	Fold expansion of Mart-1 specific T cells based on the assumption of 1 in 10 <sup>5</sup> Mart-1 precursor T cells in the CD4 depleted leucopak
MART-1	6.74 x10 <sup>5</sup>	2.81 x10 <sup>6</sup>	5.28 x10 <sup>6</sup>	93.8%	1.88	2776

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/51971

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC(8) - A61K 39/00, C12N 13/00, B03C 1/28 (2018.01)  
 CPC - B03C 1/01, A61K 2039/585, G01N 33/54326

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

See Search History Document

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

See Search History Document

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

See Search History Document

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2017/0248277 A1 (THE JOHNS HOPKINS UNIVERSITY) 31 August 2017 (31.08.2017) claims 1, 3, 13, 39, 47 and 48; para [0035]-[0038]; [0066]-[0069]; [0086]-[0098]; [0113]; [0114]; [0122]; [0127].	1-4, 6, 71-73
A	US 2007/0141704 A1 (NICOLETTE et al.) 21 June 2007 (21.06.2007) abstract; claim 1; para [0006]-[0009].	1
X,P	WO 2017/161092 A1 (NEXIMMUNE INC) 21 September 2017 (21.09.2017) abstract.	1-4, 6, 71-73

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

03 January 2019

Date of mailing of the international search report

29 JAN 2019

Name and mailing address of the ISA/US

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 PCT OSP: 571-272-7774

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/51971

Box No. 1 Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:

- a. ☒ forming part of the international application as filed:
    - ☒ in the form of an Annex C/ST.25 text file.
    - ☐ on paper or in the form of an image file.
  - b. ☐ furnished together with the international application under PCT Rule 13ter. 1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
  - c. ☐ furnished subsequent to the international filing date for the purposes of international search only:
    - ☐ in the form of an Annex C/ST.25 text file (Rule 13ter. 1(a)).
    - ☐ on paper or in the form of an image file (Rule 13ter. 1(b) and Administrative Instructions, Section 713).
2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 18/51971

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 5, 7-70, 74 82  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

- Remark on Protest**
- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
  - ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
  - ☐ No protest accompanied the payment of additional search fees.