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(54) Title: DHA ESTER EMULSIONS

(57) Abstract: The present invention is directed to an emulsion comprising an emulsifier, an isotonic agent and a docosahexaenoic acid ethyl ester (DHA-EE) wherein the emulsion is substantially free of eicosapentaenoic acid (EPA) and is suitable for parenteral administration.



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DHA ESTER EMULSIONS

BACKGROUND

[0001] This application claims the benefit of the filing date of U.S. Appl. No. 61/305,949, filed February 18, 2010, U.S. Appl. No. 61/361,308, filed July 2, 2010, and U.S. Appl. No. 61/367,351, filed July 23, 2010, all of which are incorporated by reference.

Field of the Invention

[0002] The present invention is directed to emulsions comprising docosahexaenoic acid ethyl ester (DHA-EE) for parenteral administration.

BRIEF SUMMARY

[0003] An emulsion comprising an emulsifier, an isotonic agent and docosahexaenoic acid ethyl ester (DHA-EE) wherein the emulsion is substantially free of eicosapentaenoic acid (EPA) and is suitable for parenteral administration. In some embodiments the emulsion comprises a secondary emulsifier.

[0004] Also provided herein is a method of making an emulsion comprising dispersing an emulsifier and an isotonic agent in water to form a coarse dispersion; homogenizing the coarse dispersion to form a fine dispersion; mixing oil containing DHA-TG to the dispersion, more particularly to the fine dispersions, to form a coarse emulsion. Homogenizing the coarse emulsion to form the emulsion. In some embodiments the pH is adjusted to about 6 to about 9. The final emulsion may be autoclaved. In some embodiments a secondary emulsifier is mixed with the emulsion, more particularly to the coarse emulsion.

DETAILED DESCRIPTION

- [0005] For the descriptions herein and the appended claims, the singular forms “a”, “an” and “the” include plural referents unless the context clearly indicates otherwise. Thus, for example, reference to “a compound” refers to more than one compound.
- [0006] Also, the use of “or” means “and/or” unless stated otherwise. Similarly, “comprise,” “comprises,” “comprising,” “include,” “includes,” and “including” are interchangeable and not intended to be limiting.
- [0007] It is to be further understood that where descriptions of various embodiments use the term “comprising,” those skilled in the art would understand that in some specific instances, an embodiment can be alternatively described using language “consisting essentially of” or “consisting of.”
- [0008] Provided herein is an emulsion comprising an emulsifier, an isotonic agent and docosahexaenoic acid ethyl ester (DHA-EE) wherein the emulsion is substantially free of eicosapentaenoic acid (EPA) and is suitable for parenteral administration.
- [0009] In some embodiments provided herein, the concentration of the DHA-EE in the emulsion is about 150 milligrams per milliliter (mg/ml) to about 300 mg/ml of the emulsion. In some embodiments, the concentration of the DHA-EE is about 250 to about 290 milligrams per milliliter (mg/ml) of the emulsion. In particular embodiments, the concentration of the DHA is about 270 mg/ml of the emulsion.
- [0010] In some embodiments provided herein, the mean particle size of the emulsion is about 500 nanometers. In some embodiments, the emulsions provided herein have a mean diameter size of less than about 500 nanometers (or 0.5 μ m). In some embodiments, the emulsion provided herein have a percentage of fat residing in globules larger than 500 nm (PFAT5) of 0.05% or less. Examples of globule size distribution limits and their determination (e.g., mean diameter and large-diameter tail) of an injectable emulsion useful for total parenteral nutrition can be found for example in Chapter 729 of the United States Pharmacopeia (USP).
- [0011] In some embodiments, the mean particle size is about 100 nanometers to about 200 nanometers.
- [0012] In some embodiments the change in uniformity measurement of the emulsion is less than or equal to about 10%, more particularly 5% after two months at room temperature.

- [0013] In some embodiments, the change in mean diameter of the emulsion is less than or equal to about 10%, more particularly 5% after two months at room temperature.
- [0014] In some embodiments, the PFAT5 of the emulsion is about 0.05% or less after two months at room temperature.
- [0015] In some embodiments provided herein, the emulsion comprises about 0.6% to about 10%, by weight, of the emulsifier. In some embodiments, the emulsion comprises about 1 to about 4%, by weight, of the emulsifier. Particularly, in some embodiments the emulsion comprises about 1.8 or about 3.6%, by weight, of the emulsifier. Emulsifiers that are suitable for parenteral use (e.g., physiologically safe) may be used in embodiments provided herein. Emulsifiers that are suitable for parenteral use (e.g., physiologically safe) may be used in the embodiments provided herein. Non-limiting examples of emulsifiers include phospholipids of animal or vegetable origin. Other non-limiting examples include lecithin including, but not limited to, synthetic and semi-synthetic lecithins. Egg phospholipid mixtures, such as Lipoid E-80 SN (Lipoid GmbH, Ludwigshafen, Germany), are also particular examples of an emulsifier provided herein.
- [0016] An isotonic agent may be added to adjust the osmolarity of the emulsion to a desired physiologically acceptable level. In some embodiments, the emulsion has an osmolarity of about 270 to about 300, or about 280 to about 300 milliosmols/liter, particularly about 300 milliosmol/liter. In some embodiments, the emulsion comprises about 1% to about 5%, by weight, of the isotonic agent. In some embodiments, the emulsion comprises about 1% to about 2.5%, by weight, of the isotonic agent. Particularly, in some embodiments the emulsion comprises about 2.25 to about 2.5%, by weight, of the isotonic agent. Examples of suitable isotonic agents include, but are not limited to, glycerin, glucose, xylose, and sorbitol. In some embodiments, the particular isotonic agent comprises glycerin.
- [0017] In some embodiments the secondary emulsifier comprises about 0.03 % to about 0.4 %, by weight, more particularly about 0.03 % to about 0.3 %, by weight, of the emulsion. In some embodiments suitable secondary emulsifiers that may be used for example are linoleic acid, linolenic acid, oleic acid, palmitic acid or their pharmaceutically acceptable salts (e.g., but not limited to potassium and sodium). In some embodiments the secondary emulsifier is sodium oleate. In some embodiments the sodium oleate is provided in an amount of about 0.3% (equivalent to about 3 mg/ml).

- [0018] In some embodiments, an oil comprising a triglyceride is added to the emulsion in an amount sufficient to provide a PFAT5 value for the emulsion of 0.05% or less. In some embodiments, the oil containing a triglyceride is provided in an amount greater than about 0.5% by weight, more particularly from about 0.5% to 3.3%, by weight and more particularly about 3.3% by weight of the emulsion. In some embodiments, the triglyceride content of the oil is greater than 90%. In some embodiments, the triglyceride and DHA can be present in the same oil.
- [0019] In some embodiments, the emulsion comprises, about 2% to about 30% oil containing the DHA-EE, by total weight of the emulsion. In some embodiments, the emulsion comprises about 15% to about 30% of the oil containing the DHA-EE. In some embodiments, the oil in the emulsion comprises about 84% to about 95%, by weight, DHA-EE, more particularly about about 90% DHA-EE.
- [0020] In a particular embodiment, the emulsion comprises about 250 to about 290 milligrams of DHA-EE per milliliter of the emulsion wherein the DHA is provided as an ethyl ester; about 18 milligrams of a lecithin per milliliter of the emulsion; and about 25 milligrams of glycerin per milliliter of the emulsion wherein the emulsion has a mean particle size of to about 500 nanometers, more particularly, about 100 to about 200 nanometers, wherein the emulsion is provided substantially free of EPA and is suitable for parenteral administration.
- [0021] In some embodiments, the emulsion may also include antioxidants and other agents, including but not limited to vitamin E, vitamin C, carotenoids, flavonoids, lipoic acid, tocotrienols, and tocopherols. Other physiologically safe additives may also be used in some embodiments including, but not limited to, common intravenous salts such as sodium chloride and nonelectrolytes such as glucose, pH modifiers (such as acetic acid and sodium acetate) and buffers (such as acetate, lactate, and phosphate buffer systems composed of the acid and a salt of the acid), emulsion stabilizers like gelatin, polysaccharides, such as agar, and/or detergents like tweens and spans, as well as selenium compounds. In some embodiments, the emulsion is provided substantially free of detergents, for example, non-ionic detergents, e.g., tweens.
- [0022] In some embodiments the emulsion is made by mixing an oil containing DHA-EE, an isotonic agent, an emulsifier and water and further homogenizing the mixture to a desired particle size. The pH of the emulsion may be adjusted for example to a desired

pH. For example, in some embodiments, the emulsion has a pH of about 5 to about 9, particularly about 7 to about 9. In some embodiments, the emulsion has a pH of 6.5 to about 8.5, more particularly about 7 to about 8. In some embodiments, the pH is adjusted with a pH adjuster that is suitable for parenteral use, for example, but not limited to sodium hydroxide.

[0023] In some embodiments, an emulsion is provided substantially free of a therapeutic amount of an active agent other than DHA-EE. In some embodiments, an emulsion is provided in the absence of a therapeutic amount of an anti-cancer agent.

[0024] In some embodiments, an emulsion is provided substantially free of a medium chain fatty acid, in particular a medium chain triglyceride. In some embodiments, the medium chain fatty acid is present in an amount less than about 10% (w/w), less than about 5% (w/wt), less than about 2% (w/w), or less than about 1% (w/w) of the total fatty acid content of the emulsion, or the medium chain fatty acid is not detectable in the emulsion. In some embodiments there is no detectable medium chain fatty acid, in particular, no detectable medium chain triglyceride.

[0025] In some embodiments, chelating agents, such as ethylenediaminetetraacetic acid (EDTA) and its derivatives including, but not limited to their pharmaceutically acceptable salts, are present in the emulsion. Derivatives is meant to encompass structural analogs, for example, but not limited to, diethylenetriaminepentaacetic acid (DTPA) and its pharmaceutically acceptable salts,

[0026] In some embodiments, preservatives, such as benzyl alcohol or sodium benzoate are present in the emulsion.

[0027] Some embodiments provided herein may be used for therapeutic purposes.

[0028] In some embodiments, the emulsions provided herein can be provided in an effective amount to treat a subject suffering from traumatic brain injury, including but limited to a closed head injury, such as a concussion or a contusion; or a penetrating head injury. The type of traumatic head injury can be mild, moderate or severe, and involve diffuse axonal injury or hematoma.

[0029] Some embodiments of the emulsions provided herein are useful to treat subjects suffering from spinal cord injury.

[0030] Some embodiments provided herein may be used to treat a subject suffering from ischemic brain injury including but not limited to stroke. Some embodiments may be

used to treat a subject suffering from a hemorrhagic stroke or other types of brain trauma associated with bleeding.

[0031] In some embodiments, the emulsions provided herein may be used to treat inflammatory conditions including, but not limited to arthritis. Arthritis is defined herein as inflammatory diseases of the joints, including, but not limited to osteoarthritis, gouty arthritis, ankylosing spondylitis, psoriatic arthritis, reactive arthritis, rheumatoid arthritis, juvenile onset rheumatoid arthritis, infectious arthritis, inflammatory arthritis, septic arthritis, degenerative arthritis, arthritis mutilans, and lyme arthritis.

[0032] In some embodiments, the emulsions provided herein may be used to treat a subject suffering from liver disorders such as fatty liver (hepatosteatosis). In some embodiments the liver disorder includes, but is not limited to, nonalcoholic fatty liver disease (NAFLD). NAFLD refers liver diseases including, but not limited to, simple fatty liver (hepatosteatosis), nonalcoholic steatohepatitis (NASH), and cirrhosis (irreversible, advanced scarring of the liver), that result from accumulation of fat in liver cells, that is not due to excessive alcohol intake. Hepatosteatosis is the accumulation of fat in the liver. Steatohepatitis is characterized by fat accumulation in the liver concurrent with hepatic inflammation. In some embodiments, the emulsions provided herein may be used to treat a subject suffering from steatohepatitis, resulting from excessive alcohol intake. In some embodiments, an emulsion provided here may be used to treat a subject suffering from primary sclerosing cholangitis.

[0033] In some embodiments, the subject has e.g., hepatosteatosis, hepatic inflammation, cirrhosis, biliary obstruction, and/or hepatic fibrosis. In some embodiments, it is desirable to treat, e.g., to reduce hepatosteatosis, hepatic inflammation, cirrhosis, biliary obstruction, and/or hepatic fibrosis; prevent hepatosteatosis, hepatic inflammation, cirrhosis, biliary obstruction, and/or hepatic fibrosis; or retard the onset of hepatosteatosis, hepatic inflammation, cirrhosis, biliary obstruction, and/or hepatic fibrosis.

[0034] In some embodiments, the emulsions provided herein can be used to treat hepatic fibrosis. In some embodiments, the emulsions provided herein can be used to prevent formation of new fibroids. In some embodiments, the emulsions provided herein can be used to can be used to reduce the number of fibroids. In some embodiments, the emulsions provided herein can be used to retard the onset of fibroid formation.

- [0035] In some embodiments, the emulsions provided herein may be used to treat a subject suffering from congestive heart failure, including both chronic and acute congestive heart failure. In some embodiments, the emulsions provided herein may be used to treat heart arrhythmia originating in either the atrium or the ventricle.
- [0036] In some embodiments, the emulsions provided herein may be used to prevent or reduce the risk of post-operative cognitive dysfunction in a subject.
- [0037] Provided herein are emulsions for parenteral use. "Suitable for parenteral administration" refers to compositions, e.g., emulsions, that are, within the scope of sound medical judgment, suitable for parenteral administration into human beings and/or animals without excessive toxicity or other complications commensurate with a reasonable benefit/risk ratio. In some embodiments, "suitable for parenteral administration" refers to an emulsion which is deemed physiologically safe, or safe for human administration, by a governmental entity, e.g., the United States Food and Drug Administration. An example of a definition of parenteral may be found for example in Stedman's Medical Dictionary, 26th Edition. In some embodiments, parenteral administration of an emulsion provided herein refers particularly to the introduction of the emulsion into a subject by intravenous, subcutaneous, intramuscular, or intramedullary injection. In some embodiments an emulsion provided herein may be administered to a subject as a bolus injection. In some embodiments the bolus injections comprise about 1 ml to about 50 ml of an emulsion provided herein. In some embodiment, an emulsion is administered to a subject by at least one 5 ml bolus dose. In some embodiments the bolus injection can comprise about 5 ml of an emulsion provided herein. In some embodiments, an emulsion can be administered intravenously (IV) to a subject. In some embodiments, the IV administration can be infused continuously. A particular amount of DHA in an emulsion herein that can be administered parenterally to a subject can range about 0.1 gram to about 20 grams.
- [0038] The term "subject" refers to mammals such as humans or primates, such as apes, monkeys, orangutans, baboons, gibbons, and chimpanzees. The term "subject" can also refer to companion animals, e.g., dogs and cats; zoo animals; equids, e.g., horses; food animals, e.g., cows, pigs, and sheep; and disease model animals, e.g., rabbits, mice, and rats. The subject can be a human or non-human. The subject can be of any age. For example, in some embodiments, the subject is a human infant, i.e., post natal to about 1

year old; a human child, i.e., a human between about 1 year old and 12 years old; a pubertal human, i.e., a human between about 12 years old and 18 years old; or an adult human, i.e., a human older than about 18 years old. In some embodiments, the subject is an adult, either male or female.

[0039] As used herein, the terms "treat" and "treatment" refer to both therapeutic treatment and prophylactic or preventative measures, wherein the object is to prevent or slow down (lessen) an undesired physiological condition or disease, or obtain beneficial or desired clinical results. The term "treatment" also refers to the alleviation of symptoms associated with the above conditions or diseases.

[0040] In some embodiments, the DHA-EE is administered continuously. The term "continuous" or "consecutive," as used herein in reference to "administration," means that the frequency of administration is at least once daily. Note, however, that the frequency of administration can be greater than once daily and still be "continuous" or "consecutive," e.g., twice or even three or four times daily, as long as the dosage levels as specified herein are achieved.

[0041] "DHA" refers to docosahexaenoic acid, also known by its chemical name (all-Z)-4,7,10,13,16,19-docosahexaenoic acid, as well as any salts or derivatives thereof. Thus, the term "DHA" encompasses DHA ethyl ester (DHA-EE) as well as DHA free fatty acids, phospholipids, other esters, monoglycerides, diglycerides, and triglycerides containing DHA. DHA is an ω -3 polyunsaturated fatty acid.

[0042] In the embodiments provided herein, the DHA is an ethyl ester (DHA-EE). The term "ester" refers to the replacement of the hydrogen in the carboxylic acid group of the DHA molecule with an ethyl. In some embodiments, the ester substituent may be added to the DHA free acid molecule when the DHA is in a purified or semi-purified state. Alternatively, the DHA ester is formed upon conversion of a triglyceride to an ester. One of skill in the art can appreciate that some non-esterified DHA molecules may be present in the present invention, e.g., DHA molecules that have not been esterified, or DHA linkages that have been cleaved, e.g., hydrolyzed. In some embodiments, the non-esterified DHA molecules constitute less than 3% (mol/mol), about 2% to about 0.01% (mol/mol), about 1% to about 0.05% (mol/mol), or about 5% to about 0.1% (mol/mol) of the total DHA molecules.

[0043] In some embodiments, the oil containing DHA, or emulsion containing DHA-EE is substantially free of eicosapentaenoic acid (EPA). EPA refers to eicosapentaenoic acid, known by its chemical name (all-Z)-5,8,11,14,17-eicosapentaenoic acid, as well as any salts or derivatives thereof. Thus, the term "EPA" encompasses the free acid EPA as well as EPA alkyl esters and triglycerides containing EPA. EPA is an ω -3 polyunsaturated fatty acid. As used herein, an oil "substantially free of EPA" can refer to an oil in which EPA is less than about 3%, by weight, of the total fatty acid content of the oil. In some embodiments, the oil comprises, less than about 2% EPA, by weight, of the total fatty acid content of the oil, less than about 1% EPA, by weight, of the total fatty acid content of the oil, less than about 0.5% EPA, by weight, of the total fatty acid content of the oil, less than about 0.2% EPA, by weight, of the total fatty acid content of the oil, or less than about 0.01% EPA by weight, of the total fatty acid content of the oil. In some embodiments, the oil has no detectable amount of EPA. As used herein, an emulsion "substantially free of EPA" can refer to an emulsion in which EPA is less than about 3%, by weight, of the total fatty acid content of the emulsion. In some embodiments, the emulsion comprises, less than about 2% EPA, by weight, of the total fatty acid content of the emulsion, less than about 1% EPA, by weight, of the total fatty acid content of the emulsion, less than about 0.5% EPA, by weight, of the total fatty acid content of the emulsion, less than about 0.2% EPA, by weight, of the total fatty acid content of the emulsion, or less than about 0.01% EPA by weight, of the total fatty acid content of the emulsion. In some embodiments, the emulsion has no detectable amount of EPA.

[0044] With respect to comparison of DHA to total fatty acid content, weight % can be determined by calculating the area under the curve (AUC) using standard means, e.g., dividing the DHA AUC by the total fatty acid AUC.

[0045] In some embodiments, the oil containing DHA, or emulsion containing DHA-EE, is substantially free of docosapentaenoic acid 22:5n-6, (DPAn6). The term "DPAn6" refers to docosapentaenoic acid, omega 6, known by its chemical name (all-Z)-4,7,10,13,16-docosapentaenoic acid, as well as any salts or esters thereof. Thus, the term DPAn6 encompasses the free acid DPAn6, as well as DPAn6 ethyl esters and triglycerides containing DPAn6. DPAn6 can be removed during purification of DHA, or alternatively, the DHA can be obtained from an organism that does not produce DPAn6, or produces very little DPAn6. As used herein, an oil "substantially free of DPAn6"

refers to an oil containing less than about 2%, by weight, docosapentaenoic acid 22:5n-6, (DPAn6) of the total fatty acid content of the oil. In some embodiments, the oil contains less than about 1% DPAn6, by weight, of the total fatty acid content of the oil. In some embodiments, the oil contains less than about 0.5% DPAn6, by weight, of the total fatty acid content of the oil. In some embodiments, the oil does not contain any detectable amount of DPAn6. As used herein, an emulsion "substantially free of DPAn6" refers to an emulsion containing less than about 2%, by weight, docosapentaenoic acid 22:5n-6, (DPAn6) of the total fatty acid content of the emulsion. In some embodiments, the emulsion contains less than about 1% DPAn6, by weight, of the total fatty acid content of the emulsion. In some embodiments, the oil contains less than about 0.5% DPAn6, by weight, of the total fatty acid content of the emulsion. In some embodiments, the emulsion does not contain any detectable amount of DPAn6.

[0046] The oil containing DHA, or emulsion containing DHA-EE can also be substantially free of arachidonic acid (ARA). ARA refers to the compound (all-Z) 5,8,11,14-eicosatetraenoic acid (also referred to as (5Z,8Z,11Z,14Z)-icosa-5,8,11,14-tetraenoic acid), as well as any salts or derivatives thereof. Thus, the term "ARA" encompasses the free acid ARA as well as ARA alkyl esters and triglycerides containing ARA. ARA is an ω -6 polyunsaturated fatty acid. As used herein, an oil "substantially free of ARA" refers to an oil in which ARA is less than about 3%, by weight of the total fatty acid content of the oil. In some embodiments, the oil comprises, less than about 2% ARA, by weight, of the total fatty acid content of the oil, less than about 1% ARA, by weight, of the total fatty acid content of the oil, less than about 0.5% ARA, by weight, of the total fatty acid content of the oil, less than about 0.2% ARA, by weight, of the total fatty acid content of the oil, or less than about 0.01% ARA, by weight, of the total fatty acid content of the oil. In some embodiments, the oil has no detectable amount of ARA. As used herein, an emulsion "substantially free of ARA" refers to an emulsion in which ARA is less than about 3%, by weight of the total fatty acid content of the emulsion. In some embodiments, the emulsion comprises, less than about 2% ARA, by weight, of the total fatty acid content of the emulsion, less than about 1% ARA, by weight, of the total fatty acid content of the emulsion, less than about 0.5% ARA, by weight, of the total fatty acid content of the emulsion, less than about 0.2% ARA, by weight, of the total fatty acid content of the emulsion, or less than about 0.01% ARA, by weight, of the total fatty acid

content of the emulsion. In some embodiments, the emulsion has no detectable amount of ARA.

[0047] The DHA of the present invention can be derived from various sources, e.g., from oleaginous microorganisms. As used herein, "oleaginous microorganisms" are defined as microorganisms capable of accumulating greater than 20% of the dry weight of their cells in the form of lipids. In some embodiments, the DHA is derived from a phototrophic or heterotrophic single cell organism or multicellular organism, e.g., an algae. For example, the DHA can be derived from or initially derived from a diatom, e.g., a marine dinoflagellates (algae), such as *Crypthecodinium sp.*, *Thraustochytrium sp.*, *Schizochytrium sp.*, or combinations thereof. The source of the DHA can include a microbial source, including the microbial groups Stramenopiles, Thraustochytrids, and Labrinthulids. Stramenopiles includes microalgae and algae-like microorganisms, including the following groups of microorganisms: Hamatores, Proteromonads, Opalines, Develpayella, Diplophrys, Labrinthulids, Thraustochytrids, Biosecids, Oomycetes, Hypochytridiomycetes, Commation, Reticulosphaera, Pelagomonas, Pelagococcus, Ollicola, Aureococcus, Parmales, Diatoms, Xanthophytes, Phaeophytes (brown algae), Eustigmatophytes, Raphidophytes, Synurids, Axodines (including Rhizochromulinales, Pedinellales, Dictyochales), Chrysomeridales, Sarcinochrysidales, Hydrurales, Hibberdiales, and Chromulinales. The Thraustochytrids include the genera *Schizochytrium* (species include *aggregatum*, *limnaceum*, *mangrovei*, *minutum*, *octosporum*), *Thraustochytrium* (species include *arudimentale*, *aureum*, *benthicola*, *globosum*, *kinnei*, *motivum*, *multirudimentale*, *pachydermum*, *proliferum*, *roseum*, *striatum*), *Ulkenia* (species include *amoeboidea*, *kerguelensis*, *minuta*, *profunda*, *radiata*, *sailens*, *sarkariana*, *schizochytrops*, *visurgensis*, *yorkensis*), *Aplanochytrium* (species include *haliotidis*, *kerguelensis*, *profunda*, *stocchinoi*), *Japonochytrium* (species include *marinum*), *Althornia* (species include *crouchii*), and *Elina* (species include *marisalba*, *sinorifica*). The Labrinthulids include the genera *Labyrinthula* (species include *algeriensis*, *coenocystis*, *chattonii*, *macrocystis*, *macrocystis atlantica*, *macrocystis macrocystis*, *marina*, *minuta*, *roscoffensis*, *valkanovii*, *vitellina*, *vitellina pacifica*, *vitellina vitellina*, *zopfi*), *Labyrinthomyxa* (species include *marina*), *Labyrinthuloides* (species include *haliotidis*, *yorkensis*), *Diplophrys* (species include *archeri*), *Pyrrhosorus** (species include *marinus*), *Sorodiplophrys** (species include *stercorea*),

and *Chlamydomyxa** (species include labyrinthuloides, montana) (* = there is no current general consensus on the exact taxonomic placement of these genera). In some embodiments, the algal source is, e.g., *Cryptothecodinium cohnii*. Samples of *C. cohnii*, have been deposited with the American Type Culture Collection at Rockville, Md., and assigned accession nos. 40750, 30021, 30334-30348, 30541-30543, 30555-30557, 30571, 30572, 30772-30775, 30812, 40750, 50050-50060, and 50297-50300.

[0048] As used herein, the term microorganism, or any specific type of organism, includes wild strains, mutants or recombinant types. Organisms which can produce an enhanced level of oil containing DHA are considered to be within the scope of this invention. Also included are microorganisms designed to efficiently use more cost-effective substrates while producing the same amount of DHA as the comparable wild-type strains. Cultivation of dinoflagellates such as *C. cohnii* has been described previously. See, U.S. Pat. No. 5,492,938 and Henderson *et al.*, *Phytochemistry* 27:1679-1683 (1988). Organisms useful in the production of DHA can also include any manner of transgenic or other genetically modified organisms, e.g., plants, grown either in culture fermentation or in crop plants, e.g., cereals such as maize, barley, wheat, rice, sorghum, pearl millet, corn, rye and oats; or beans, soybeans, peppers, lettuce, peas, *Brassica* species (e.g., cabbage, broccoli, cauliflower, brussel sprouts, rapeseed, and radish), carrot, beets, eggplant, spinach, cucumber, squash, melons, cantaloupe, sunflowers, safflower, canola, flax, peanut, mustard, rapeseed, chickpea, lentil, white clover, olive, palm, borage, evening primrose, linseed, and tobacco.

[0049] Another source of oils containing DHA suitable for the compositions and methods of the present invention includes an animal source. Examples of animal sources include aquatic animals (e.g., fish, marine mammals, and crustaceans such as krill and other euphausiids) and animal tissues (e.g., brain, liver, eyes, etc.) and animal products such as eggs or milk. Thus, in some embodiments, the method of the present invention comprises administering daily to the subject an emulsion comprising DHA-EE substantially free of eicosapentaenoic acid (EPA), wherein the DHA is derived from a non-algal source, e.g., fish.

[0050] DHA can be purified to various levels. DHA purification can be achieved by any means known to those of skill in the art, and can include the extraction of total oil from an organism which produces DHA. In some embodiments, EPA, ARA, DPAn6, and/or

flavonoids are then removed from the total oil, for example, via chromatographic methods. Alternatively, DHA purification can be achieved by extraction of total oil from an organism which produces DHA, but produces little, if any, amount of EPA, ARA, DPA_n6, and/or flavonoids. Similarly, DHA-EE can be purified to various levels. For example, various purity levels of DHA-EE can be obtained by using various purities of DHA as described herein. In some embodiments, the oil can be diluted with sunflower oil to achieve the desired concentration of fatty acids.

[0051] Microbial oils useful in the present invention can be recovered from microbial sources by any suitable means known to those in the art. For example, the oils can be recovered by extraction with solvents such as chloroform, hexane, methylene chloride, methanol and the like, or by supercritical fluid extraction. Alternatively, the oils can be extracted using extraction techniques, such as are described in U.S. Pat. No. 6,750,048 and International Pub. No. WO/2001/053512, both filed Jan. 19, 2001, both of which are incorporated herein by reference in their entirety.

[0052] Additional extraction and/or purification techniques are taught in International Pub. No. WO2001076715; International Pub. No. WO/2001/076385; U.S. Pub. No. 2007/0004678; U.S. Pub. No. 2005/0129739; U.S. Pat. No. 6,399,803; and International Pub. No. WO/2001/051598; all of which are incorporated herein by reference in their entirety. The extracted oils can be evaporated under reduced pressure to produce a sample of concentrated oil material. Processes for the enzyme treatment of biomass for the recovery of lipids are disclosed in International Pub. No. WO2003092628; U.S. Pub. No. 20050170479; EP Pat. Pub. 0776356 and U.S. Pat. No. 5,928,696, all of which are incorporated herein by reference in their entirety.

[0053] In some embodiments, DHA can be prepared as esters using a method comprising: a) reacting a composition comprising polyunsaturated fatty acids in the presence of an alcohol and a base to produce an ester of a polyunsaturated fatty acid from the triglycerides; and b) distilling the composition to recover a fraction comprising the ester of the polyunsaturated fatty acid, optionally wherein the method further comprises: c) combining the fraction comprising the ester of the polyunsaturated fatty acid with urea in a medium; d) cooling or concentrating the medium to form a urea-containing precipitate and a liquid fraction; and e) separating the precipitate from the liquid fraction. See, e.g., U.S. patent publication no. US2009/0023808, incorporated by reference herein in its

entirety. In some embodiments, the purification process includes starting with refined, bleached, and deodorized oil (RBD oil), then performing low temperature fractionation using acetone to provide a concentrate. The concentrate can be obtained by base-catalyzed transesterification, distillation, and silica refining to produce the final DHA product. In some embodiments, DHA free fatty acids can be prepared using a method as described in U.S. Appl. No. TBD, entitled "Method of preparing free polyunsaturated fatty acids" filed February 18, 2011, incorporated herewith in its entirety.

[0054] Methods of determining purity levels of fatty acids are known in the art, and can include, e.g., chromatographic methods such as, e.g., HPLC silver ion chromatographic columns (ChromSpher 5 Lipids HPLC Column, Chrompack, Raritan NJ). Alternatively, the purity level can be determined by gas chromatography, with or without converting DHA to the corresponding methyl ester.

[0055] In some embodiments, DHA esters can be derived from undiluted oil from a single cell microorganism described above, and in some embodiments, from undiluted DHASCO[®]-T (Martek Biosciences Corporation, Columbia, MD). In some embodiments, the oil from which DHA of the invention are derived include single cell microorganism oils that are manufactured by a controlled fermentation process followed by oil extraction and purification using methods common to the vegetable oil industry. In certain embodiments, the oil extraction and purification steps include refining, bleaching, and deodorizing. In some embodiments, the undiluted DHA oil comprises about 40% to about 50% DHA by weight (about 400-500 mg DHA/g oil). In certain embodiments, the undiluted DHA oil is enriched by cold fractionation (resulting in oil containing about 60% w/w of DHA triglyceride), which DHA fraction optionally can be transesterified, and subjected to further downstream processing to produce the active DHA of the invention. In some embodiments of the invention, downstream processing of the oil comprises distillation and/or silica refinement.

[0056] Thus, to produce oil from which DHA of the invention are derived, in certain aspects of the invention, the following steps are used: fermentation of a DHA producing microorganism; harvesting the biomass; spray drying the biomass; extracting oil from the biomass; refining the oil; bleaching the oil; chill filtering the oil; deodorizing the oil; and adding an antioxidant to the oil. In some embodiments, the microorganism culture is progressively transferred from smaller scale fermenters to a production size fermenter. In

some embodiments, following a controlled growth over a pre-established period, the culture is harvested by centrifugation then pasteurized and spray dried. In certain embodiments, the dried biomass is flushed with nitrogen and packaged before being stored frozen at -20°C. In certain embodiments, the DHA oil is extracted from the dried biomass by mixing the biomass with n-hexane or isohexane in a batch process which disrupts the cells and allows the oil and cellular debris to be separated. In certain embodiments, the solvent is then removed.

[0057] In some embodiments, the crude DHA oil then undergoes a refining process to remove free fatty acids and phospholipids. The refined DHA oil is transferred to a vacuum bleaching vessel to assist in removing any remaining polar compounds and pro-oxidant metals, and to break down lipid oxidation products. The refined and bleached DHA oil undergoes a final clarification step by chilling and filtering the oil to facilitate the removal of any remaining insoluble fats, waxes, and solids.

[0058] Optionally, the DHA is deodorized under vacuum in a packed column, counter current steam stripping deodorizer. Antioxidants such as ascorbyl palmitate and alpha-tocopherol can optionally be added to the deodorized oil to help stabilize the oil. In some embodiments, the final, undiluted DHA oil is maintained frozen at -20°C until further processing.

[0059] In some embodiments, the DHA oil is converted to DHA ester by methods known in the art. In some embodiments, DHA esters of the invention are produced from DHA oil by the following steps: cold fractionation and filtration of the DHA oil (to yield for example about 60% triglyceride oil); direct transesterification (to yield about 60% DHA ethyl ester); molecular distillation (to yield about 88% DHA ethyl ester); silica refinement (to yield about 90% DHA ethyl ester); and addition of an antioxidant.

[0060] In some embodiments, the cold fractionation step is carried out as follows: undiluted DHA oil (triglyceride) at about 500 mg/g DHA is mixed with acetone and cooled at a controlled rate in a tank with -80°C chilling capabilities. Saturated triglycerides crystallize out of solution, while polyunsaturated triglycerides at about 600 mg/g DHA remain in the liquid state. The solids containing about 300 mg/g are filtered out with a 20 micron stainless steel screen from the liquid stream containing about 600 mg/g DHA. The solids stream is then heated (melted) and collected. The 600 mg/g DHA

liquid stream is desolventized with heat and vacuum and then transferred to the transesterification reactor.

[0061] In some embodiments, the transesterification step is carried out on the 600 mg/g DHA oil, wherein the transesterification is done via direct transesterification using ethanol and sodium ethoxide. The transesterified material DHA ethyl ester ("DHA-EE") is then subject to molecular distillation and thus, further distilled (3 passes, heavies, lights, heavies) to remove most of the other saturated fatty acids and some sterols and non-saponifiable material. The DHA-EE is further refined by passing it through a silica column.

[0062] Additional fatty acids can be present in the oil and/or the emulsion. These fatty acids can include fatty acids that are not removed during the purification process, i.e., fatty acids that are co-isolated with DHA from an organism. These fatty acids can be present in various concentrations. In some embodiments, the oil comprises 0.1% to 60% of one or more of the following fatty acids, or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid, (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); and (k) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8). In some embodiments, the oil comprises 20% to 40% of one or more of the following fatty acids, or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid; (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); and (k) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8). In some embodiments, the oil comprises less than about 1% each of the following fatty acids, or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid, (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); and (k) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8).

[0063] In some embodiments, an oil is characterized by a fatty acid content of about 0.1% to about 20% (w/w) of one or more of the following fatty acids or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid; (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); and (k) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8).

[0064] As used herein, the terms "or less" or "less than about" refers to percentages that include 0%, or amounts not detectable by current means. As used herein, "max" refers to percentages that include 0%, or amounts not detectable by current means.

[0065] In some embodiments, an oil is characterized by a fatty acid content of about 1.0% to about 5% (w/w) of one or more of the following fatty acids or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid; (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); and (k) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8).

[0066] In some embodiments, an oil is characterized by a fatty acid content of less than about 1% (w/w) each of the following fatty acids or esters thereof: (a) capric acid; (b) lauric acid; (c) myristic acid; (d) palmitic acid; (e) palmitoleic acid; (f) stearic acid; (g) oleic acid; (h) linoleic acid; (i) α -linolenic acid; (j) docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); (k) docosapentaenoic acid 22:5n-6, 22:5w6 (DPAn6); and (l) 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8). In some embodiments, the oil of the present invention does not contain a detectable amount of docosapentaenoic acid 22:5n-3, 22:5w3 (DPAn3); docosapentaenoic acid 22:5n-6, 22:5w6 (DPAn6); and/or 4,7,10,13,16,19,22,25 octacosaoctaenoic acid (C28:8); of the total fatty acid content of the oil or unit dose.

[0067] In some of embodiments an oil is characterized by one or more the following fatty acids (or esters thereof), expressed as wt% of the total fatty acid content. The embodiments provided herein may further comprise about 2% or less (w/w) of capric acid (C10:0). The embodiments herein may further comprise about 6% or less (w/w) of lauric acid (C12:0). The embodiments herein may further comprise about 20% or less, or about 5 to about 20% (w/w) of myristic acid (C14:0). The embodiments herein may further comprise about 20% or less, or about 5 to about 20% (w/w) of palmitic acid (C16:0). The embodiments herein may further comprise about 3% or less (w/w) of palmitoleic acid (C16:1n-7). The embodiments herein may further comprise about 2% or less (w/w) of stearic acid (C18:0). The embodiments herein may further comprise about 40% or less, or about 10 to about 40% (w/w) of oleic acid (C18:1n-9); The embodiments herein may further comprise about 5% or less (w/w) of linoleic acid (C18:2). The embodiments herein may further comprise about 2% or less (w/w) of nervonic acid (C24:1). The embodiments herein may further comprise about 3% or less (w/w) of other fatty acids or

esters thereof. An oil with the preceding characteristics may comprise DHASCO®, an oil derived from *Crypthecodinium cohnii* containing docosahexaenoic acid (DHA).

[0068] An exemplary DHA (triglyceride) containing oil derived from *Crypthecodinium cohnii* is characterized by the specified amount of components listed in **Table 1**, where “Max” refers to the amount of the component that can be present up to the specified amount.

Table 1

Fatty Acids	Concentration (wt/wt)
10:0	Max 2%
12:0	Max 6%
14:0	5%-20%
16:0	5%-20%
16:1	Max 3%
18:0	Max 2%
18:1	10%-40%
18:2	Max 5%
22:6 DHA	40% to 45%
24:1	Max 2%
Others	Max 3%
Elemental Composition	
Arsenic	Max 0.5 ppm
Copper	Max 0.1 ppm
Iron	Max 0.5 ppm
Lead	Max 0.2 ppm
Mercury	Max 0.04 ppm
Phosphorous	Max 10 ppm
Chemical Characteristics	
Peroxide value	Max 5 meq/kg
Free fatty acid	Max 0.4%
Unsaponifiable Matter	Max 3.5%

[0069] An exemplary undiluted DHA (triglyceride) containing oil derived from *Crypthecodinium cohnii* is characterized by amount of DHA described herein, and one or more, or all of the features listed below in **Table 2**, where “Max” refers to the amount of the component that can be present up to the specified amount.

Table 2: Characteristics of Undiluted DHA Oil

Test	Specification
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DHA content mg/DHA/g oil	Min 480 mg/g
Free Fatty Acid	Max.0.4%
Peroxide Value (PV)	Max. 5 meq/kg
Anisidine Value (AV)	Max 20
Moisture and Volatiles (M & V)	Max. 0.02%
Unsaponifiable Matter	Max. 3.5%
Insoluble Impurities	Max. 0.1%
Trans Fatty Acid	Max. 1%
Arsenic	Max. 0.5 ppm
Cadmium	Max. 0.2 ppm
Chromium	Max. 0.2 ppm
Copper	Max. 0.1 ppm
Iron	Max. 0.5 ppm
Lead	Max. 0.2 ppm
Manganese	Max. 0.04 ppm
Mercury	Max. 0.04 ppm
Molybdenum	Max. 0.2 ppm
Nickel	Max.0.2 ppm
Phosphorus	Max.10 ppm
Silicon	Max. 500 ppm
Sulfur	Max. 100 ppm
18:1 n-9 Oleic Acid	Max. 10%
20:5 n-3 EPA	Max. 0.1%
Unknown Fatty Acids	Max. 3.0%

[0070] In some embodiments, an oil is characterized by one or more the following fatty acids (or esters thereof), expressed as wt% of the total fatty acid content. The embodiments provided herein may further comprise about 2% or less (w/w) of capric acid (C10:0). The embodiments provided herein may further comprise about 6% or less (w/w) of lauric acid (C12:0). The embodiments provided herein may further comprise about 20% or less, or about 10 to about 20% (w/w) of myristic acid (C14:0). The embodiments provided herein may further comprise about 15% or less, or about 5 to about 15% (w/w) of palmitic acid (C16:0). The embodiments provided herein may further comprise about 5% or less (w/w) of palmitoleic acid (C16:1n-7). The embodiments provided herein may further comprise about 2% or less (w/w) of stearic acid (C18:0). The embodiments provided herein may further comprise about 20% or less, or about 5% to about 20% (w/w) of oleic acid (C18:1n-9). The embodiments provided herein may further comprise about 2% or less (w/w) of linoleic acid (C18:2). The embodiments provided herein may further comprise about 2% or less (w/w) of nervonic acid (C24:1). The embodiments provided herein may further comprise about 3% or less (w/w) of other fatty acids. An oil

with the preceding characteristics may be an oil derived from *Crypthecodinium cohnii* containing docosahexaenoic acid (DHA).

[0071] An exemplary DHA containing oil derived from *Crypthecodinium cohnii* is characterized by the specified amount of components listed in **Table 3**, where “Max” refers to the amount of the component that can be present up to the specified amount.

Table 3

Fatty Acids	Concentration (wt/wt)
10:0	0-2%
12:0	0-6%
14:0	10%-20%
16:0	5%-15%
16:1	0-5%
18:0	0-2%
18:1	5%-20%
18:2	0-2%%
22:6 n-3 DHA	57%-65%
24:1	0-2%
Others	0-3%
Elemental Composition	
Arsenic	Max 0.5 ppm
Copper	Max 0.1 ppm
Iron	Max 0.5 ppm
Lead	Max 0.2 ppm
Mercury	Max 0.2 ppm
Phosphorous	Max 10 ppm
Chemical Characteristics	
Peroxide value	Max 5 meq/kg
Free fatty acid	Max 0.4%
Unsaponifiable Matter	Max 3.5%
Trans fatty acids	<3.5%
Moisture and Volatiles	<0.1%
Insoluble impurities	<0.1%

[0072] In some embodiments and oil is characterized by one or more the following fatty acids (or esters thereof), expressed as wt% of the total fatty acid content: The embodiments provided herein may further comprise about 0.1% or less (w/w) of myristic acid (C14:0) or is not detectable. The embodiments provided herein may further comprise about 0.5% or less (w/w) of palmitic acid (C16:0). The embodiments provided herein may further comprise about 0.5% or less (w/w) of palmitoleic acid (C16:1n-7).

The embodiments provided herein may further comprise about 0.5% or less (w/w) of stearic acid (C18:0), or is not detectable. The embodiments provided herein may further comprise about 4% or less (w/w) of oleic acid (C18:1n-9). The embodiments provided herein may further comprise less than 0.1% (w/w) of linoleic acid (C18:2) or is not detectable. The embodiments provided herein may further comprise less than 0.1% (w/w) of eicosapentaenoic acid (C20:5) or is not detectable. The embodiments provided herein may further comprise about 2% or less (w/w) of docosapentaenoic acid (22:5n-3). The embodiments provided herein may further comprise about 1% or less (w/w) of octacosaoctaenoic acid (28:8 n-3). The embodiments provided herein may further comprise about 0.5% or less (w/w) of tetracosaoenoic acid (24:1n9). The embodiments provided herein may further comprise about 1% or less (w/w) of other fatty acids. The DHA in oil with the preceding characteristics may be in the form of a DHA ester, preferably an alkyl ester, such as a methyl ester, ethyl ester, propyl ester, or combinations thereof, prepared from an algal oil prepared from the *Cryptocodinium*, *cohnii* sp.

[0073] An exemplary DHA-containing oil derived from the algal oil of *Cryptocodinium Cohnii*, wherein the DHA comprises an ethyl ester, can be characterized by the specified amount of components listed in **Table 4**, where “Max” refers to the amount of the component that can be present up to the specified amount.

Table 4

DHA content (mg/g)	855-945
Fatty Acid Content: % of total EE	
Eicosapentaenoic Acid (20:5ω3)	ND
Myristic Acid (14:0)	0.1%
Palmitic Acid (16:0)	0.5%
Palmitoleic Acid (16:1ω7)	0.4%
Stearic Acid (18:0)	ND
Oleic Acid (18:1ω9)	4%
Linoleic Acid (18:2ω6)	ND
Docosapentaenoic acid (22:5ω3)	1.3%
Octacosaoctaenoic acid (28:8ω3)	0.9%
Tetracosaoenoic Acid (24:1ω9)	0.3%

Others	1.1%
Elemental Composition	
Arsenic	Max 0.5 ppm
Copper	Max 0.1 ppm
Iron	Max 0.5 ppm
Lead	Max 0.2 ppm
Mercury	Max 0.04 ppm
Chemical Characteristics	
Peroxide value	Max 10.0 meq/kg

ND = not detectable

[0074] In some embodiments of the oil is characterized by one or more the following fatty acids (or esters thereof), expressed as wt% of the total fatty acid content. The embodiments provided herein may further comprise about 12% or less, or about 6% to about 12% (w/w) of myristic acid (C14:0). The embodiments provided herein may further comprise about 28% or less, or about 18 to about 28% (w/w) of palmitic acid (C16:0). The embodiments provided herein may further comprise about 2% or less (w/w) of stearic acid (C18:0). The embodiments provided herein may further comprise about 8% or less of (w/w) oleic acid (C18:1n-9). The embodiments provided herein may further comprise about 2% or less (w/w) of linoleic acid (C18:2). The embodiments provided herein may further comprise about 2% or less (w/w) of arachidonic acid (C20:4). The embodiments provided herein may further comprise about 3% or less (w/w) of eicosapentaenoic acid (C20:5). The embodiments provided herein may further comprise about 18% or less, or about 12% to about 18% (w/w) of docosapentaenoic acid (22:5n-6). The embodiments provided herein may further comprise about 10% or less (w/w) of other fatty acids. In some of these embodiments, the ratio of wt% of DHA to wt% of DPAn6 is about 2.5 to about 2.7. An oil with the preceding characteristics may comprise Life's DHA™ (also formerly referenced as DHA™-S and DHASCO), Martek Biosciences, Columbia, MD), an oil derived from the Thraustochytrid, *Schizochytrium sp.*, that contains a high amount of DHA and also contains docosapentaenoic acid (n-6) (DPAn-6).

[0075] An exemplary DHA (triglyceride) containing oil derived from *Schizochytrium sp.* is characterized by the specified amount of components listed in **Table 5**, where “Max” refers to the amount of the component that can be present up to the specified amount.

Table 5

Fatty Acids	Concentration (wt/wt)
14:0	6.0%-12.0%
16:0	18%-28%
18:0	Max 2%
18:1	Max 8%
18:2	Max 2%
20:4 ARA	Max 2%
20:5 EPA	Max 3%
22:5n-6 DPA	12%-18%
22:6 DHA	Min 35%
Others	Max 10%
Elemental Composition	
Arsenic	Max 0.2 ppm
Copper	Max 0.05 ppm
Iron	Max 0.2 ppm
Lead	Max 0.1 ppm
Mercury	Max 0.04 ppm
Chemical Characteristics	
Peroxide value	Max 5 meq/kg
Free fatty acid	Max 0.25%
Moisture and Volatiles	Max 0.05%
Unsaponifiable Matter	Max 4.5%
Trans fatty acids	Max 1%

[0076] The DHA in an oil may be in the form of a DHA ester, preferably an alkyl ester, such as a methyl ester, ethyl ester, propyl ester, or combinations thereof, prepared from an algal oil prepared from derived from the Thraustochytrid, *Schizochytrium sp.* An exemplary DHA (ethyl esters) containing oil derived from *Schizochytrium sp.* is characterized by the specified amount of components listed in Table 4 of WO 2009/006317, incorporated by reference herein. In some of these embodiments, an oil comprises DHA $\geq$ than about 57% (w/w), particularly $\geq$ about 70% (w/w) of the total fatty acid content of the oil or unit dose. In some of these embodiments, the ratio of wt% of DHA to wt% of DPAn6 is about 2.5 to about 2.7.

[0077] An exemplary DHA (free fatty acid) containing oil is characterized by the specified amount of components listed in **Table 6**:

Table 6

Fatty Acids	Concentration (wt/wt)
10:0	Max 0.5%
12:0	Max 0.5%
14:0	Max 0.5%
14:1	Max 0.5%
16:0	Max 0.5%
16:1	Max 0.5%
18:1 (n-9)	Max 0.5%
20:5 (n-3) EPA	Max 0.5%
22:5 (n-3) DPA	Max 1%
22:6 (n-3) DHA	Min 95%
28:8	Max 1.5%
Chemical Characteristics	
Docosahexaenoic acid	946 mg/g
Docosahexaenoic acid	98%
Free Fatty Acids	93%
Trans Fatty Acids	<1%

[0078] The following examples are for illustrative purposes and are not meant to be limiting.

Examples

Example 1

[0079] Using a Silverson high shear mixer, 216 g of Lipoid E 80 SN was dispersed while still frozen in 648 ml of distilled water (nitrogen protected) with the temperature of water for injection used being between 65 – 90°C under nitrogen. The dispersion was continued under a blanket of nitrogen until Lipoid E 80 SN is finely divided and a viscous fluid is formed. 300 g of glycerin was added while continuing the dispersion under a blanket of

nitrogen. The distilled water (nitrogen protected, between 65 – 90°C) was added to bring the total volume to 1,296 ml. The diluted Lipoid E 80 SN/glycerin dispersion was then passed through a homogenizer (Niro Soavi NS1001L2K) at ~5,000 psi for a time equivalent to 10 continuous discrete passes. The dispersion in the reservoir was continuously stirred with an overhead stirrer under a blanket of nitrogen. After the homogenization, pH of the dispersion was adjusted to 9.0 with a solution of 0.5N sodium hydroxide, to obtain 1,754 g of almost transparent light tan Lipoid E80 SN/glycerin dispersion.

[0080] To the pH adjusted Lipoid E80 SN/glycerin dispersion (146 g, one twelfth of the dispersion) at 40 - 75°C was added a thin stream of 300 g of a DHA ethyl ester oil (Table 4; may contain about 90% DHA ethyl ester) that has been previously heated to 70°C, while dispersing using a Silverson high shear mixer under a blanket of nitrogen. The distilled water (nitrogen protected, between 65 – 90°C) was added to bring the total volume to ~1,000 ml. The coarse emulsion was then passed through a homogenizer (Niro Soavi NS1001L2K) at ~10,000 psi for a time equivalent to 10 discrete passes at temperatures between 50 – 70°C. The dispersion in the reservoir was continuously stirred with an overhead stirrer under a blanket of nitrogen. A white lipid emulsion resulted, and the mean particle size of lipid emulsion was measured using a Malvern Mastersizer 2000. See **Table 7**.

Table 7

Instrument settings			
Accessory Name	Hydor 2000S	Obscuration	18.51%
Analysis model	General purpose	Dispersant name	Water
Sensitivity	Enhanced	Dispersant RI	1.330
Particle RI	1.390	Weighted Residual	3.568%
Absorption	0.001	Result Emulation	Off
Size Range	0.020 to 2000.000 μm		
Sample Characteristics			
Concentration	0.1785 %vol	Specific Surface Area	48.8 m^2/g
Span	1.562	Surface Weighted Mean	0.123 μm
Uniformity	0.598	Vol Weighted Mean	0.184 μm
Results Units	Volume	d(0.1):	0.071 μm
		d(0.5):	0.123 μm
		d(0.9):	0.298 μm
PFAT5	0.944%		
DHA potency	177.4 mg/ml		
Oil/solid percentage	25.95%		

[0081] Low potency was likely due to line and process loss. Peak widening (increase in mean diameter and change in uniformity) was seen shortly after the emulsion was made.

Example 2

[0082] Frozen Lipoid E 80 SN (324 g) was added portion wise to 200 ml of distilled water while stirring with a Silverson high shear mixer at temperatures between 65 – 90°C under a nitrogen blanket. The mixing was continued until Lipoid E 80 SN was finely divided and a viscous fluid was formed (coarse dispersion, or "large particle" dispersion). Glycerin (300 g) was then added to the mixture portion wise. Additional distilled water was added to bring the total volume to 2,000 ml. The diluted mixture was then transferred to a homogenizer (Niro Soavi NS1001L2K). The mixture was continuously

passed through the homogenizer at 5,000 psi (ca 350 bars) for a time equivalent to 10 discrete passes while maintaining the temperature at around 70°C and stirring the retained mixture with an overhead stirrer under a nitrogen atmosphere. After the homogenization, the dispersion was filtered over 0.45 micron membrane filters. The pH of the filtered dispersion was adjusted to ca. 10.0 with a solution of 0.5 N sodium hydroxide. At this point, the dispersion (2400 g) thus prepared was intended for 12 liters of final lipid emulsions.

[0083] Oil containing DHA (Table 4; containing about 90% DHA ethyl ester) was preheated at 70 °C. To 300 g of the dispersion prepared above, 4.5 g of Lipoid sodium oleate followed by a thin stream of 450 g of the preheated DHA ethyl ester oil was added while stirring with a Silverson high shear mixer at temperatures between 40 – 75°C under a nitrogen atmosphere. Distilled water was used to rinse the containers. At this point, the combined volume of the dispersion was at 90% of the final intended volume. The mixture was stirred at a high shear for 20 min. The coarse emulsion formed was then transferred to a homogenizer (Niro Soavi NS1001L2K). The containers were rinsed with distilled water to allow the combined coarse emulsion to reach a total volume of 1.5 liters. The emulsion was continuously passed through the homogenizer at 5,000 psi (ca 350 bars) for a time equivalent to 6 discrete passes while maintaining the temperature at around 70°C and stirring the retained emulsion with an overhead stirrer under a nitrogen atmosphere. During the homogenization process, the pH and particle size distributions (mean diameter size (D[4,3]) and uniformity) of the emulsion were monitored with a pH meter and Malvern MasterSizer 2000. Upon completion of the homogenization, a white lipid emulsion was obtained and weighed. The emulsion was aliquoted into 20-ml Type 1 glass vials (15 ml/vial). The aliquot samples were flushed with nitrogen and sealed with chlorobutyl rubber stoppers and aluminum seals. The sealed samples were autoclaved at 122°C for 15 min. Finally the pH, D[4,3], and uniformity of the final emulsion were measured again. A sample emulsion was lyophilized to provide an oil-solid mixture. The oil-solid mixture was further analyzed for DHA potency (Table 8).

Table 8

Instrument Settings			
Accessory name	Hydro 2000S	Obscuration	16.25%
Analysis Model	General purpose	Dispersant name	Water
Sensitivity	Enhanced	Dispersant RI	1.330
Particle RI	1.390	Weighted Residual	2.434%
Absorption	0.001	Result Emulation	Off
Size Range	0.020-2000.000 μm		
Sample Characteristics (TX-1598-55)			
Concentration	0.2035% Vol	Specific Surface Area	51.9 m^2/g
Span	1.247	Surf. Weighted Mean D[3,2]	0.116 μm
Uniformity	0.388	Vol. Weighted Mean D[4,3]	0.143 μm
Results Units	Volume	d(0.1)	0.071 μm
		d(0.5)	0.130 μm
		d(0.9)	0.233 μm
DHA Potency	256.4 mg/ml	pH	9.0
Oil/solid percentage	33.3 g/100 ml	PFAT5(%)	0.085

[0084] It was observed that the particle size distribution of emulsions thus prepared experienced changes either through the autoclaving process or by storing (even at low temperature) for less than 24 hours. The mean particle size and uniformity increased during this quick and observable process. But no oil/water separation was observed by visual inspection and instrumental measurement. It was also noticed that after this quick, initial change, the size distribution changes were far less significant over a 3-month period at room temperature (Table 9).

Table 9

Instrument Settings			
Accessory name	Hydro 2000S	Obscuration	15.83%
Analysis Model	General purpose	Dispersant name	Water
Sensitivity	Enhanced	Dispersant RI	1.330
Particle RI	1.390	Weighted Residual	2.165%
Absorption	0.001	Result Emulation	Off
Size Range	0.020-2000.000 μm		
Sample Characteristics (TX-1598-55)			
Concentration	0.1681% Vol	Specific Surface Area	45.4 m^2/g
Span	1.372	Surf. Weighted Mean D[3,2]	0.132 μm
Uniformity	0.427	Vol. Weighted Mean D[4,3]	0.169 μm
Results Units	Volume	d(0.1)	0.078 μm
		d(0.5)	0.151 μm
		d(0.9)	0.285 μm
DHA Potency	N/A	pH	9.15
Oil/solid percentage	N/A	PFAT5(%)	0.091

[0085] Oil containing DHA (containing about a 9:1 (w:w) mixture of about 90% DHA ethyl ester oil (Table 4) and about 60% DHA and triglyceride oil (Table 3))) was mixed and preheated at 70 °C. Lipoid sodium oleate (0.45g) was added to 300 g of the dispersion prepared above while stirring with a Silverson high shear mixer at temperatures between 40 – 75°C under a nitrogen atmosphere; this was followed by the addition of a thin stream of 500 g of the preheated DHA ethyl ester/triglyceride oil. The distilled water was used to rinse the containers. At this point, the combined volume of the dispersion was at 90% of the final intended volume. The mixture was allowed to stir at a high shear for 20 min. The coarse emulsion formed was then transferred to a homogenizer (Niro Soavi NS1001L2K). The containers were rinsed with distilled water to allow the combined coarse emulsion to reach a total volume of 1.5 liters. The emulsion was continuously passed through the homogenizer at 5,000 psi (ca 350 bars) for a time equivalent to 9 discrete passes while maintaining the temperature at around 70°C and stirring the retained emulsion with an overhead stirrer under a nitrogen atmosphere. During the homogenization process, the pH and particle size distributions (mean diameter size (D[4,3]) and uniformity) of the emulsion were monitored with a pH meter and Malvern MasterSizer 2000. Upon completion of the homogenization, a white lipid

emulsion was obtained and weighed. The emulsion was aliquoted into 20-ml Type 1 glass vials (15 ml/vial). The aliquot samples were flushed with nitrogen and sealed with chlorobutyl rubber stoppers and aluminum seals. The sealed samples were autoclaved at 122°C for 15 min. Finally the pH, D[4,3], and uniformity of the final emulsion were measured again. A sample emulsion was lyophilized to provide an oil-solid mixture. The oil-solid mixture was further analyzed for the DHA potency (Table 10).

Table 10

Instrument Settings			
Accessory name	Hydro 2000S	Obscuration	14.64%
Analysis Model	General purpose	Dispersant name	Water
Sensitivity	Enhanced	Dispersant RI	1.330
Particle RI	1.390	Weighted Residual	2.328%
Absorption	0.001	Result Emulation	Off
Size Range	0.020-2000.000 μm		
Sample Characteristics (TX-1598-77)			
Concentration	0.1707% Vol	Specific Surface Area	48.3 m^2/g
Span	1.236	Surf. Weighted Mean D[3,2]	0.124 μm
Uniformity	0.382	Vol. Weighted Mean D[4,3]	0.153 μm
Results Units	Volume	d(0.1)	0.075 μm
		d(0.5)	0.141 μm
		d(0.9)	0.249 μm
PFAT5	0.117	pH	8.00

[0086] The sample was stored at room temperature for 3 weeks. The mean particle size and uniformity experience no significant change.

[0087] It is to be appreciated that the Detailed Description section, and not the Summary and Abstract sections, is intended to be used to interpret the claims. The Summary and Abstract sections may set forth one or more but not all exemplary embodiments of the present invention as contemplated by the inventor(s), and thus, are not intended to limit the present invention and the appended claims in any way.

[0088] The present invention has been described above with the aid of functional building blocks illustrating the implementation of specified functions and relationships thereof. The boundaries of these functional building blocks have been arbitrarily defined herein for the convenience of the description. Alternate boundaries can be defined so long as the specified functions and relationships thereof are appropriately performed.

[0089] The foregoing description of the specific embodiments will so fully reveal the general nature of the invention that others can, by applying knowledge within the skill of the art, readily modify and/or adapt for various applications such specific embodiments, without undue experimentation, without departing from the general concept of the present invention. Therefore, such adaptations and modifications are intended to be within the meaning and range of equivalents of the disclosed embodiments, based on the teaching and guidance presented herein. It is to be understood that the phraseology or terminology herein is for the purpose of description and not of limitation, such that the terminology or phraseology of the present specification is to be interpreted by the skilled artisan in light of the teachings and guidance.

[0090] The breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

WHAT IS CLAIMED IS:

1. An emulsion comprising an emulsifier, an isotonic agent and an oil comprising docosahexaenoic acid ethyl ester (DHA-EE), wherein the emulsion is substantially free of eicosapentaenoic acid (EPA) and is suitable for parenteral administration.
2. The emulsion as recited in Claim 1, wherein the concentration of the DHA-EE is greater than or equal to about 150 mg/ml of the emulsion.
3. The emulsion as recited in Claim 2 wherein the concentration of the DHA-EE is about 250 to about 290 mg/ml of the emulsion.
4. The emulsion as recited in Claim 3, wherein the concentration of the DHA-EE is about 270 mg/ml of the emulsion.
5. The emulsion as recited in Claim 1, wherein the mean particle size of the emulsion is less than about 500 nanometers.
6. The emulsion as recited in Claim 1, wherein the emulsion comprises about 0.6% to about 10%, by weight, of the emulsifier.
7. The emulsion as recited in Claim 6, wherein the emulsion comprises about 1% to about 4%, by weight of the emulsifier.
8. The emulsion as recited in Claim 1, wherein the emulsion comprises about 1% to about 2.5% by weight of the isotonic agent.
9. The emulsion as recited in Claim 8, wherein the emulsion comprises about 2.25% to about 2.5% by weight of the isotonic agent.
10. The emulsion as recited in Claim 1, wherein the emulsion is substantially free of arachidonic acid (ARA).
11. The emulsion as recited in Claim 1, wherein the emulsion comprises about 30% by weight of the oil.

12. The emulsion as recited in Claim 10, wherein the oil comprises about 84% to about 95% DHA-EE of the total weight of the oil.
13. The emulsion as recited in Claim 12, wherein the oil comprises about 90% DHA-EE of the total weight of the oil.
14. The emulsion as recited in Claim 1, wherein the isotonic agent comprises glycerin.
15. The emulsion as recited in Claim 1, wherein the emulsifier is selected from the group consisting of lecithins.
16. The emulsion as recited in Claim 1, comprising a secondary emulsifier in an amount from about 0.03% to about 0.4%, by weight, of the emulsion.
17. The emulsion as recited in Claim 16, wherein the secondary comprises about 0.03% to about 0.3%, by weight, of the emulsion.
18. The emulsion as recited in Claim 17, wherein the secondary emulsion comprises about 0.3%, by weight, of the emulsion.
19. The emulsion as recited in Claim 16, where the secondary emulsifier is selected from the group consisting of linoleic acid, linolenic acid, oleic acid, and palmitic acid or their pharmaceutically acceptable salts.
20. The emulsion as recited in Claim 17, wherein the secondary emulsifier is selected from the group consisting of linoleic acid, linolenic acid, oleic acid, and palmitic acid or their pharmaceutically acceptable salts.
21. The emulsion as recited in Claim 18, wherein the secondary emulsifier is selected from the group consisting of linoleic acid, linolenic acid, oleic acid, and palmitic acid or their pharmaceutically acceptable salts.
22. The emulsion as recited in Claim 19, wherein the secondary emulsifier is sodium oleate.
23. The emulsion as recited in Claim 20, wherein the secondary emulsifier is sodium oleate.

24. The emulsion as recited in Claim 21, wherein the secondary emulsifier is sodium oleate.
25. The emulsion as recited in Claim 1, further comprising an oil comprising a triglyceride.
26. The emulsion as recited in Claim 25, wherein the oil comprising a triglyceride is about 0.5% to about 3.3%, by weight of the emulsion.
27. The emulsion as recited in Claim 26, wherein the oil comprising a triglyceride is about 3.3%, by weight of the emulsion.
28. An emulsion comprising about 250 to about 290 milligrams of DHA-EE per milliliter of the emulsion; about 18 milligrams of a lecithin per milliliter of the emulsion; and about 25 milligrams of glycerin per milliliter of the emulsion wherein the emulsion has a mean particle size of about 100 to about 300 nanometers and wherein the emulsion is substantially free of EPA and is suitable for parenteral administration.
29. The emulsion as recited in Claim 28, further comprising about 0.3 milligrams per milliliter of sodium oleate.
30. The emulsion as recited in Claim 29, further comprising about 3.3% by weight, an oil comprising a triglyceride.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 11/25551

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 31/23; A61P 25/28 (2011.01)

USPC - 514/549; 554/167; 554/9

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

USPC - 514/549; 554/167; 554/9

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
USPC - 424/93.7; 435/377; 514/549, 514/560; 554/9, 554/167; 554/224 (words only)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

*** Databases: WEST (PGPB, USPT, USOC, EPAB, JPAB); Google

*** See extra sheet for Search Terms Used ***

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 5,589,508 A (Schlotzer et al.) 31 December 1996 (31.12.1996), especially col 3, ln 31, 36-38, 56-57; col 4, ln 1-3, 5, 22-27, 33-34, 37-40, 49-50, 54, 60-65; col 5, ln 25-26	1, 6, 7, 10, 11, 14, 15, 25 ----- 2-5, 8, 9, 16-24, 26-30
X	CA 2,598,525 A1 (Shido et al.) 20 August 2007 (20.08.2007), para [0016], [0024], [0029], [0031], [0036], [0037], [0051], [0056]	1, 10, 12-14, 25
X	WO 2006/116755 A2 (Johnson et al.) 02 November 2006 (02.11.2006), especially pg 6, ln 30-31; pg 10, ln 7-9; pg 17, ln 4; pg 17, ln 11-12; pg 17, ln 23; pg 17, ln 29; pg 18, ln 13-14	1, 14, 25
X	US 2004/0044028 A1 (Obukowicz et al.) 04 March 2004 (04.03.2004), especially para [0043], [0061], [0385], [0387], [0388]	1, 15, 25
Y	US 2007/0281993 A1 (Rozen et al.) 06 December 2007 (06.12.2007), especially para [0019], [0020], [0036], [0039], [0042]-[0050], [0086], [0140], [0141]; claim 51	2-4, 8, 9, 16-24, 26-30
Y	WO 2008/036353 A2 (Carpentier) 27 March 2008 (27.03.2008), especially para [0027], [0042], [0045], [0060]	5, 28-30

☐ Further documents are listed in the continuation of Box C.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

02 April 2011 (02.04.2011)

Date of mailing of the international search report

27 APR 2011

Name and mailing address of the ISA/US

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INTERNATIONAL SEARCH REPORT

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*** Search Terms Used ***

Martek Biosciences, Lee, Mikrut, Tang, docosahexaenoic acid, ethyl ester, DHA, DHA-E, DHA-EE, emulsion, emulsifier, emulsifying, emulsification, agent, component, linoleic, linolenic, oleic, palmitic, acid, isotonic, isotonicity, glycerin, glycerine, sodium chloride, potassium chloride, propylene glycol, polyethylene glycol, parenteral, parenterally, intravenous, intravenously, eicosapentaenoic, icosapentaenoic, arachidonic, sodium oleate, oleic acid, sodium salt,